

JOB ORDER CONTRACT

JOB ORDER CONTRACTS

EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C



SAN FRANCISCO BAY REGION NETWORK CALIFORNIA STATE UNIVERSITY, EAST BAY

**California State University, East Bay
25800 Carlos Bee Blvd
Hayward, CA 94542**

June 2026

SAN FRANCISCO BAY REGION NETWORK CALIFORNIA STATE UNIVERSITY, EAST BAY



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Bid Opening Time: 2:00 PM

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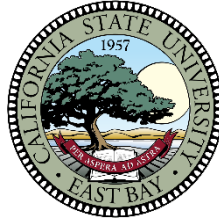
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CALIFORNIA STATE UNIVERSITY, EAST BAY



NOTICE TO CONTRACTORS
EXHIBIT "A"
JOB ORDER CONTRACT

Bid Number:.....EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
Bid Opening Date:..... July 10, 2026
Bid Opening Time: 2:00 PM

Request bid packets from:
San Francisco Bay Region Network
Procurement Services
Attention: Larry Lee
larrylee@sfsu.edu

Submit bids on the attached bid proposal form in a sealed envelope **plainly marked "BID OPENING"** and with the following information:

Date of Bid Opening: July 10, 2026, 2:00 p.m.
Project: JOB ORDER CONTRACT
Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

*****Bid received after 2:00 PM (PST) will be rejected*****

**NOTICE TO CONTRACTORS
JOB ORDER CONTRACT**

BID SOLICITATION NO. EBJOC2627-1A, EBJOC2627-1B, and EBJOC2627-1C

**SAN FRANCISCO BAY REGION NETWORK
CALIFORNIA STATE UNIVERSITY, EAST BAY
2585 Carlos Bee Blvd
Hayward, CA 94542**

The Trustees of the California State University will receive sealed bid proposals in Administration Building Room 350 (ADM 350) at San Francisco State University, 1600 Holloway Ave, San Francisco, CA 94132, for furnishing all labor and materials for a single Job Order Contract, Bid Solicitation No. EBJOC2627-1A, EBJOC2627-1B, and EBJOC2627-1C.

Electronic Proposal submissions will be received by emailing a copy of the Bid Documents and required supporting documentation to Larry Lee at larrylee@sfsu.edu by 2:00 PM on July 10, 2026, at which time the proposals will be publicly opened and read via Zoom. Zoom meeting details are below.

Topic: CSU East Bay JOC 2026-2027 Bid Opening 7/10/2026

Time: Jul 10, 2026 02:00 PM Pacific Time (US and Canada)

Join Zoom Meeting

<https://sfsu.zoom.us/j/88661311209?pwd=e3LfXeBCqavseha7WFhDYxHqoxKhGW.1>

Meeting ID: 886 6131 1209

Passcode: 139503

One tap mobile

+16699006833,,88661311209# US (San Jose)

+16694449171,,88661311209# US

Join by SIP

• 88661311209@zoomcrc.com

Passcode: 139503

Original/Hard Copy Bid Proposal and Supporting Documents must be postmarked no later than July 10, 2026 and sent to

Attn: Steven Chang
San Francisco Bay Region Network
San Francisco State University
1600 Holloway Ave, ADM 350
San Francisco, CA 94132

Original Documents must match the electronic submission. Variation in the submission may be deemed unresponsive.

This Notice to Contractors is for the award of three (3) Job Order Contracts (JOC), which is a competitively bid, firm fixed priced, indefinite quantity contract. The Contract scope of work includes a collection of detailed repair and construction tasks and specifications that have established unit prices. It is awarded to the "Contractor" for the accomplishment of repair, alteration, modernization, maintenance, rehabilitation,

demolition and construction of infrastructure, buildings, structures, or other real property. The University issues to the Contractor discrete, fixed-price task or job orders, as needed, under the contract. Under the JOC concept, the Contractor furnishes all management, documentation, labor, materials, and equipment needed to perform the work.

The JOC agreement awarded under this solicitation will have a minimum total contract value of \$0.00 and a maximum total contract value of \$1,000,000, with the option by the University to increase the maximum not-to-exceed value to \$3,000,000. The term of the contract will be twelve (12) months, unless the maximum value of the contract is achieved prior to the completion of the contract term.

In the event that the contractor submitting the lowest responsive and responsible bid refuses to enter into a contract with the CSU if tendered, or, in the event that the contractor materially breaches the JOC contract necessitating its termination, CSU reserves the right to award a second JOC under the same solicitation, provided such award is made within 120 days of the bid opening.

Each bidder offering a proposal must comply with bidding provisions of Article 02.00 *et seq.* in the Contract General Conditions, and should be familiar with all the provisions of the Contract General Conditions and Supplementary General Conditions.

This contract is for public works projects and is subject to prevailing wage rate laws (see Contract General Conditions, Article 04.02). All contractors and all tiers of subcontractors bidding on this project shall register to bid public works projects with the Department of Industrial Relations (DIR), and maintain current this registration pursuant to Labor Code Section 1725.5. Please go to <http://www.dir.ca.gov/PublicWorks/PublicWorks.html> for more information and to register.

As a condition of bidding, prospective bidders must attend a **mandatory** pre-bid conference. The pre-bid conference will be held on June 24, 2026 at 10:00 AM for the purpose of discussing the JOC concept, documents, bid considerations and to discuss JOC from a contractor's viewpoint. Pre-bid conference attendees should attend the virtual Zoom meeting on June 24, 2026 at 10:00 AM. **Prospective bidders who arrive late to the pre-bid conference will not be admitted.**

The bid documents will be available after the mandatory pre-bid conference on June 24, 2026 and bidders are prequalified with the Trustees.

Topic: CSU East Bay JOC 2026-2027 Mandatory Pre-Bid Conference

Time: Jun 24, 2026 10:00 AM Pacific Time (US and Canada)

Join Zoom Meeting

<https://sfsu.zoom.us/j/84206683597?pwd=Kozi9JslAmqYzZXZgWS6kuxmbzGv2p.1>

Meeting ID: 842 0668 3597

Passcode: 710320

One tap mobile

+16699006833,,84206683597# US (San Jose)

+16694449171,,84206683597# US

Join by SIP

• 84206683597@zoomcrc.com

Passcode: 710320

Small Business Preference: The Trustees shall give a small business a bid advantage of five percent to contracting firms that have been certified as a “Small Business” by the Department of General Services, Office of Small Business and DVBE Services, in accordance with Contract General Conditions (and Supplementary General Conditions) for Job Order Contracts, Article 02.10, Small Business Five Percent Bid Advantage.

DVBE Incentive: The Trustees *REQUIRE* the successful bidder to achieve a required minimum of 3% DVBE participation in each construction project under the Job Order Contract as established in the bidding documents. Additionally, the Trustees are granting a bid incentive for evaluation purposes only to bidders that exceed the three (3) percent DVBE participation requirement. The level of DVBE incentive will correlate to the level of participation; that is, the more DVBE participation proposed, the higher the incentive. The bid incentives are as follows:

DVBE Participation	Incentive
3.00% to 3.99%	None
4.00% to 4.99%	1%
5.00% to 5.99%	2%
6.00% or more	3%

The DVBE incentive may not exceed \$50,000 when used in combination with the Small Business Preference; the cumulative adjustment amount shall not exceed \$100,000. If the lowest responsive, responsible bid is a California certified small business, the only bidders eligible for the incentive will be California certified small businesses.

Each bidder offering a proposal must comply with all provisions of the Contract General Conditions for Job Order Contracts (note Article 2.00 *et. seq.*), Supplementary General Conditions to Contract General Conditions for Job Order Contracts, and Special Conditions for Job Order Contracts. Bidders should familiarize themselves with all the provisions, especially:

- Article 02.02 of the Contract General Conditions for Job Order Contracts, regarding the necessity to prequalify with the Trustees, paying special attention to the prequalification requirements;
- Article 02.05-III of the Contract General Conditions for Job Order Contracts, regarding the necessity to provide Bid Security in the amount of \$25,000 in the payment forms specified, and the successful bidder will be required to provide Performance and Payment Bonds equal to 100% of the maximum contract amount; and
- Article 04.02 of the Contract General Conditions for Job Order Contracts regarding the payment of prevailing wage rates.

Bidders must be prequalified with the Trustees. Bidders shall register and log in to “PlanetBids” to apply for financial prequalification and JOC supplemental prequalification at

<https://pbsystem.planetbids.com/portal/15331/portal-home>. Both prequalification applications are due no less than 10 business days prior to the bid due date.

A project specific approval letter is required to obtain the required approval to bid for Job Order Contract, Bid Solicitation No. EBJOC2627-1A, EBJOC2627-1B, and EBJOC2627-1C. Contractors shall email the Notice to Contractors to the Prequalification Administrator at cocm.prequal@calstate.edu. The project specific approval will be issued upon confirmation the contractor satisfies all the requirements for this solicitation and their systemwide prequalification financial aggregate rating is sufficient to propose.

It will be the responsibility of each bidder to obtain a bid proposal package in sufficient time to fulfill requirements therein. Bid proposal packages are obtainable only by prequalified contractors, licensed in the State of California with a “B” license, and registered with the DIR to bid public works projects. Evidence of prequalification must be submitted to the following office prior to receiving a bid package. Bid packages must be requested from the University, by contacting Larry Lee at larrylee@sfsu.edu. Contractors may contact this person for additional information.

-End of Notice to Contractors-

CSUEB 2026-2027 JOC Schedule of Events

<u>Event</u>	<u>Due Date</u>	
Bid Advertised	Tuesday, June 9, 2026	
15 Day Quiet Period		
Mandatory Pre-Bid Conference	Wednesday, June 24, 2026	10:00 AM PST
Prequalification Due	Thursday, June 25, 2026	5:00 PM PST
Supplementary Prequalification Due	Thursday, June 25, 2026	5:00 PM PST
Last Day to Submit Questions	Tuesday, June 30, 2026	5:00 PM PST
Affidavit of Joint Venture Form Due	Tuesday, June 30, 2026	5:00 PM PST
Last Day to Issue Addendum If Necessary	Tuesday, June 30, 2026	5:00 PM PST
Bid Opening	Friday, July 10, 2026	2:00 PM PST
Bid Bond Due	Monday, July 13, 2026	5:00 PM PST
Post Bid Abstract	Wednesday, July 15, 2026	(Estimated)
Notice of Intent to Award	Friday, July 24, 2026	(Estimated)
Contract Executed	Friday, August 21, 2026	(Estimated)

PREVAILING WAGE RATES

CSU EAST BAY JOB ORDER CONTRACT
Project Number EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
SAN FRANCISCO BAY REGION NETWORK
CALIFORNIA STATE UNIVERSITY EAST BAY

This project is a public works project and is subject to prevailing wage rate laws (see Contract General Conditions, Article 36.02).

Pursuant to Labor Code sections 1770 *et seq.*, the Trustees have obtained from the Department of Industrial Relations determinations of the prevailing wage rates and the prevailing wage rates for holiday and overtime work in the locality in which the Work is to be performed. Copies of these prevailing wage rates (determination 2026-1) are on file and available to any interested party upon request at the Trustees' principal office and on the following Department of Industrial Relations website: <http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm>.

Prevailing wage determinations with a single asterisk (*) after the expiration date remain in effect for the life of the project. Prevailing wage determinations with double asterisks (**) after the expiration date indicate that the basic hourly wage rate, overtime and holiday pay rates, and employer payments to be paid for work performed after this date have been predetermined. If work is to extend past this date, the new rate must be paid and should be incorporated into contracts entered now. The Contractor should contact the Prevailing Wage Unit, DLSR, to obtain predetermined wage changes. All determinations that do not have double asterisks (**) after the expiration date remain in effect for the life of the project.

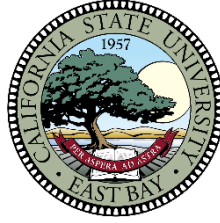
If it becomes necessary to employ crafts, classifications or types of workers other than those listed, the Contractor shall immediately notify the Trustees who will then inform the Contractor of the prevailing rate which shall be applicable as a minimum from the time of initial employment.

These rates are the minimum that may be paid by the Contractor. Nothing contained in the contract documents shall be construed as preventing the Contractor from paying more than the minimum rate.

CONTRACTOR shall post a schedule showing all applicable prevailing wage rates at appropriate and conspicuous locations on the Project site in accordance with Labor Code section 1773.2.

End of Page

CALIFORNIA STATE UNIVERSITY, EAST BAY



PREQUALIFICATION DOCUMENTS
EXHIBIT "B"
JOB ORDER CONTRACT

Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
Bid Opening Date: July 10, 2026
Bid Opening Time: 2:00 PM

Request bid packets from:
San Francisco Bay Region Network
Procurement Services
Attention: Larry Lee
larrylee@sfsu.edu

Submit bids on the attached bid proposal form in a sealed envelope **plainly marked "BID OPENING"** and with the following information:

Date of Bid Opening: July 10, 2026, 2:00 PM
Project: JOB ORDER CONTRACT
Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

*****Bid received after 2:00 PM PST will be rejected*****

We, the undersigned, each currently licensed contractor in the State of California and prequalified with a prequalification rating on file with the Trustees, have entered a joint venture to bid on and perform the work of the project listed below for the Trustees of the California State University. The provisions of this joint venture are such that each of the parties, including any individual who is a member of the partnership, makes their assets and those of any partnership available for this joint venture. Bonds and insurance required by the project must be executed in the name of the joint venture.

Project No. _____ University _____

Bids Received at _____ On _____

Name of the Joint Venture _____

Members of the Joint Venture

Name of Firm or Individual, Address, Contractor's License and DIR Registration No.

1. Name and Title _____ Signature _____

Address _____

Contractor's License No. _____ DIR Registration No. _____

Subscribed and Sworn to before me, this _____ day of _____

Notary Public in and for the County of _____, California.

2. Name and Title _____ Signature _____

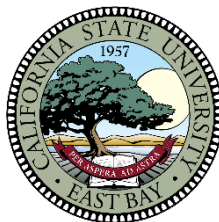
Address _____

Contractor's License No. _____ DIR Registration No. _____

Subscribed and Sworn to before me, this _____ day of _____

Notary Public in and for the County of _____, California.

CALIFORNIA STATE UNIVERSITY, EAST BAY



SAMPLE BID DOCUMENTS
EXHIBIT "C"
JOB ORDER CONTRACT

Bid Number:.....EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
Bid Opening Date:July 10, 2026
Bid Opening Time:2:00 PM

Request bid packets from:
San Francisco Bay Region Network
Procurement Services
Attention: Larry Lee
larrylee@sfsu.edu

Submit bids on the attached bid proposal form in a sealed envelope **plainly marked "BID OPENING"** and with the following information:

Date of Bid Opening: July 10, 2026, 2:00 PM
Project: JOB ORDER CONTRACT
Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

*****Bid received after 2:00 PM PST will be rejected*****

BID PROPOSAL FORM FOR JOB ORDER CONTRACT
BID SOLICITATION NUMBER EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
San Francisco Bay Region Network
California State University, East Bay
25800 Carlos Bee Blvd, Hayward, CA 94542

To the Trustees of the California State University, on behalf of the State of California (hereinafter called the Trustees):

The undersigned Bidder, who is familiar with the local conditions affecting the cost of the work and with the contract documents, hereby proposes, with the rates stated below, to provide and furnish all of the labor, materials, tools, equipment, expendable and otherwise, apparatus, facilities, transportation, and permits, and to perform and complete in a workmanlike manner and within the specified time the work required, all in accordance with the contract documents and the Trustees' unit prices as adjusted as hereinafter set forth.

The Bidder understands that the Trustees reserve the right to reject any or all bids, to waive minor irregularities in any bid, and to award the contract to the lowest responsible bidder.

BIDDER SHALL ACKNOWLEDGE EACH ADDENDUM RECEIVED ON THE LINE BELOW.

The bid is subject to the provisions contained in the Job Order Contract Contract General Conditions (note especially Article 02.00 *et seq.* regarding instructions to bidders), and the bidder agrees that failure to comply with the conditions thereof shall be basis for rejection of this bid.

The bid must be submitted on this Proposal Form, completely filled out and in a sealed envelope provided by the Trustees, and submitted to Administration Building Room 350 (ADM350), at San Francisco State University, 1600 Holloway Ave, San Francisco, CA 94132 (and submitted electronically to Larry Lee at larrylee@sfsu.edu), before 2:00 PM on July 10, 2026, or it will be disregarded. The Trustees will only accept bids from prequalified contractors with a current California State License Board-issued type 'B' license and current California Department of Industrial Relations Public Works Registration number.

Five Percent Small Business Preference

The undersigned bidder is hereby requesting the five percent Small Business Preference. To receive the five percent advantage, Bidder must submit with its bid a completed "Small Business Preference and Certification Request" form 701.09, and also indicate its intent by checking the appropriate response below:

By checking the appropriate box below, Bidder is requesting the five percent Small Business Preference, as either a:

- 1) CA certified Small Business, upon verification in accordance with the CA Code of Regulations, Title 2, Section 1896.2, having applied for certification no later than 5:00 p.m. on bid opening date, or Yes ☐ No ☐
- 2) Non-small business that commits to subcontracting at least 25% of each net job order price to CA certified small businesses and/or microbusinesses. Yes ☐ No ☐

If Proposer checks one of the boxes above, and submits the completed Small Business Preference and Certification form 701.09, the Trustees will grant a bid preference of 5% of the lowest responsive bidder's bid. Reference the Contract General Conditions and Supplementary General Conditions for Job Order Contracts, Article 02.10, Small Business Five Percent Bid Advantage.

DVBE Participation / Request for DVBE Bid Incentive

The Trustees require the successful bidder to achieve three percent (3%) DVBE participation in contracting construction projects as established in the bidding documents. Bidder will list its DVBE subcontractors/suppliers with its bid. Bidders shall contact the Trustees' DVBE Program Advocate, Steven Chang, at stevenchang@sfsu.edu.

The Trustees are granting a DVBE Bid Incentive, for bid evaluation purposes only, in accordance with the Contract General Conditions for Job Order Contracts, Article 02.11 and Article 05.05-I-G. Bidder shall indicate whether or not Bidder is requesting the DVBE Bid Incentive by checking the appropriate response below. Bidder commits to

subcontract at least the percentage of DVBE participation of its net bid price as stated hereon with one or more DVBE(s).

DVBE Participation	Incentive
3.00% to 3.99%	None
4.00% to 4.99%	1%
5.00% to 5.99%	2%
6.00% or more	3%

Bidder is requesting the DVBE Bid Incentive (check one): Yes ☐ No ☐

Bidder shall indicate in the spaces below its Total DVBE Participation Percentage Commitment:

3% Mandatory + ____% DVBE Incentive = ____% Total DVBE Participation Percentage Commitment

Notice: The attention of bidders is particularly called to the fact that, unless the bid is made in strict conformity with the directions given, it will be considered informal and may be rejected.

Schedule of Prices

The undersigned agrees to perform all work required, necessary, proper for, or incidental to completing the work called for in each individual job order issued under this Job Order Contract using the Construction Task Catalog and Technical Specifications incorporated herein with the following adjustment factors:

Normal Working Hours

Undersigned shall perform any or all functions called for during normal working hours in the quantities specified in individual job orders against this contract for the unit price sum specified in the Construction Task Catalog multiplied by the adjustment factor of:

. (Specify to four (4) decimal places)

Other Than Normal Working Hours

Undersigned shall perform any or all functions called for during other than normal working hours in the quantities specified in individual job orders against this contract for the unit price sum specified in the Construction Task Catalog multiplied by the adjustment factor of:

. (Specify to four (4) decimal places)

NOTE – NO FACTOR MAY BE LESS THAN THE NORMAL WORKING HOURS ADJUSTMENT FACTOR.

Premium Working Hours

Undersigned shall perform any or all functions called for during premium working hours in the quantities specified in individual job orders against this contract for the unit price sum specified in the Construction Task Catalog multiplied by the adjustment factor of:

. (Specify to four (4) decimal places)

NOTE – NO FACTOR MAY BE LESS THAN THE NORMAL WORKING HOURS ADJUSTMENT FACTOR.

Basis of Contract Award

The award formula that follows is an integral part of this proposal, and to be responsive, the bidder shall quote for the total works above, and also shall complete the award formula below.

Award Formula

- | | | | |
|---------|---|----------|----------|
| Line 1. | Normal working hours Adjustment Factor | 1. _____ | |
| Line 2. | Multiply Line 1 by (80) % | | 2. _____ |
| Line 3. | Other Than Normal working hours Adjustment Factor | 3. _____ | |
| Line 4. | Multiply Line 3 by (15) % | | 4. _____ |
| Line 5. | Premium working hours Adjustment Factor | 5. _____ | |
| Line 6. | Multiply Line 5 by (5) % | | 6. _____ |

Line 7 Add Lines 2 and 4 and 6
(This is the weighted, composite score, as bid for this contract.) 7. _____

The above adjustment factors are to be specified to four decimal places. Any alteration, erasure, or change must be clearly indicated and initialed by the bidder. All prices and information required on the bid form must be either typewritten or neatly printed in ink (use figures only). The University reserves the right to revise all arithmetic errors in calculations for correctness. The bidder agrees that if there are any discrepancies or questions in the figures, the Trustees will use the lower figure despite the bidder's intent. The Trustees reserve the right to reject any and all bids and to waive any irregularities.

Bidder shall enclose with this Proposal Form bidder's security in the sum of twenty-five thousand dollars (\$25,000.00), in the form prescribed in the Contract General Conditions, Article 02.05-III. If the bidder is awarded the contract and then fails to execute the contract, this bidder's security shall be forfeited to the State.

The time period for this contract shall be 365 calendar days from the date of the overall contract Notice to Proceed or the point at which the maximum value of the contract is achieved, whichever occurs first. Work ordered but not completed prior to the expiration of the time period shall be completed with all terms and conditions of the contract in force and effect.

-End of Bid Proposal Form – Job Order Contract-

BID PROPOSAL FORM SIGNATURE PAGE

Date	Project Name
Name of Bidder's Firm ¹	

ALL BIDS AND CONTRACTS MUST BE SIGNED BY AN OFFICER OR EMPLOYEE HAVING THE AUTHORITY TO BIND THE COMPANY OR FIRM. *The undersigned Bidder, as authorized by its firm, hereby submits this bid pursuant to the contract documents. If Bidder is:*

A. An Individual Proprietorship:

(Postal Address of Firm)	(License Number)
(Signature, Printed Name of Person Signing)	(Phone Number)

B. A Partnership: (check one) ☐ Partnership ☐ Limited Partnership ☐ Other (specify type) _____

(Postal Address of Principal Office of Partnership)	(License Number)
(Signature, Printed Name and Title of Authorized Person(s) Signing) ³	(Phone Number)

C. A Corporation/LLC/Other: (check one) ☐ Corporation ☐ Ltd. Liability Co. ☐ Other (specify type) _____

(State of Incorporation/Organization)	(Phone Number)	(License Number)
(Postal Address of Principal Office of Corporation/Organization)		
(Signature, Printed Name and Title of Authorized Person(s) Signing) ³		

D. A Joint Venture:

(Name of Joint Venture)	(License Number, if known) ⁴
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An Affidavit of Joint Venture form must be filed five days prior to bid opening and each member of the joint venture must be prequalified one day prior to bid opening as provided in Article 2.02 of the Contract General Conditions. Each member of the joint venture shall fill in the information below corresponding to the member's type of business organization. If the joint venture has more than one of the same types of business organizations, additional copies of this page containing the required information and signatures shall be added and attached to this proposal form. Any such attachments are a part of this proposal form.

First Member of the Joint Venture		Second Member of the Joint Venture	
(Name and Type of Firm) ¹	(License No.)	(Name and Type of Firm) ¹	(License No.)
(Postal Address of Principal Office of Firm)	(State of Organization)	(Postal Address of Principal Office of Firm)	(State of Organization)
(Signature and Printed Name of Authorized Person(s) Signing) ³		(Signature and Printed Name of Authorized Person(s) Signing) ³	
(Title of Person Who Signs Above) ²	(Phone Number)	(Title of Person Who Signs Above) ²	(Phone Number)

Notes:

- ¹ Name of firm must be the same as appears on state contractor's license and on the CSU prequalification letter.
- ² If signature is by an agent, other than by an authorized signatory of a corporation or a partnership, a power of attorney must be on file with the Trustees before bid opening or be submitted with this bid.
- ³ For the following types of firm, a certified copy of the official record/documentation as indicated below authorizing signatory to bind this bid/contract must be submitted with the Trustees-prescribed Certification form 702.03:
 Partnership: partnership agreement or power of attorney.
 Limited Partnership: certificate of limited partnership as filed by the CA Secretary of State.
 Corporation: corporate resolution of board action.
 Limited Liability Company: Articles of Organization, filed by the CA Secretary of State.
 Other Type of Organization: identify type of firm above; provide firm's official record or documentation authorizing signatory to bind this bid/contract.
- ⁴ The Joint Venture must have the required license in place at time of award of contract, and if awarded the contract, must return with the contract a resolution authorizing someone to sign contracts, change orders, and other documents for the joint venture.

CERTIFICATION

Contract No. EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Project No. EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Instructions:

ALL BIDS AND CONTRACTS MUST BE SIGNED BY AN OFFICER OR EMPLOYEE HAVING THE AUTHORITY TO BIND THE COMPANY OR FIRM.

Provide the information requested below, including the type of organization for your firm, such as partnership, limited partnership, corporation, limited liability company, etc., and attach to this form a true and accurate copy of the firm's official record adopted by the firm's executives/board that authorizes certain of the firm's officers or employees to bind the firm. An example of such official record would be a corporate resolution duly adopted by a Board of Directors for a Corporation.

This is to certify that

- 1) I am _____
Name and Title of Authorized Signatory (such as John Smith, President)
- 2) of _____; and
Name of Firm
- 3) the attached official record, which lists only the officers or employees of our firm who are authorized to bind the firm, is a true and accurate copy as duly adopted by the Executives/Board of the firm on _____.
Date

Signature

Date

Firm's Type of Organization (see instructions above)

IMPORTANT NOTE

(If your firm is a sole proprietorship, you need not complete this form. For all other types of firms, be sure to attach to this certification a copy of firm's official record authorizing officers or employees of the firm to execute Contract Documents or to execute a bid submittal. If attaching more than one document, modify the form to reflect that fact.)

BIDDERS BOND

Counterpart No. EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
Job Order Contract No. EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Know All Persons by These Presents:

THAT WE

as Principal, and

as Surety, are held and firmly bound unto the Trustees of the California State University hereinafter called the Trustees, in the penal sum of Twenty-Five Thousand Dollars (\$25,000.00) of the Principal above named, submitted by said Principal to the said Trustees for the work described below, for the payment of which sum in lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents.

IN NO CASE shall the liability of the Surety hereunder exceed the sum of **Twenty-Five Thousand Dollars (\$25,000.00)**.

THE CONDITION of this obligation is such that:

WHEREAS, the Principal has submitted the above-mentioned bid to the Trustees for certain construction specifically described as follows, for which bids

are to be opened at _____

on _____ at _____ for job order contract no. _____

Project Description

(Exact description of work and location as given in the proposal)

NOW, THEREFORE, if the aforesaid Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to the principal for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files the two bonds with the Trustees, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void, otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit.

IN WITNESS WHEREOF, We have hereunto set our hands and seals on this _____ day of _____, 20____

CONTRACTOR
AS
PRINCIPAL

Contractor Name: _____

Contractor Address: _____ (SEAL)

By: _____

SURETY

Surety Name: _____

Surety Address: _____ (SEAL)

By: _____

Signatures executed in behalf of the Surety must be properly acknowledged.

**NONCOLLUSION DECLARATION
TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID FOR:**

Project No. EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Project Name: Job Order Contract

The undersigned declares:

I am the _____ of _____, the party making the forgoing bid.

Title

Company

The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid, and will not pay, any person for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on _____, 20__
at _____, _____.
City State

Printed Name

Signature



**CERTIFICATION OF APPROPRIATE LICENSE,
DIR PUBLIC WORKS REGISTRATION, AND CALIFORNIA COMPANY**

(Public Contract Code, Chapter 6100 *et seq.*)

Project No. EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Project Name: Job Order Contract

The undersigned bidder declares:

- 1) That the attached pocket license or certificate of licensure is the Contractor's, is current and valid, and is in a classification appropriate to the work to be undertaken on the above-referenced project for which bidder is submitting a bid, per Public Contract Code Section 6100(b). Attach a copy of the pocket license issued from Contractor's State License Board.
- 2) That the Contractor is currently registered to bid public works projects with the Department of Industrial Relations (DIR), and shall maintain this registration current through the duration of this project. Attach documentation of the registration number issued by the DIR.
- 3) The bidder qualifies as a "California Company" per Public Contract Code Section 6107. Check one of the following statements that applies to the bidder:
 - ☐ Bidder has its principal place of business in California.
 - ☐ Bidder has its principal place of business in a state in which there is no local contractor preference on construction contracts.
 - ☐ Bidder has its principal place of business in a state in which there is a local contractor construction preference, and bidder has paid not less than five thousand dollars (\$5,000) in sales or use taxes to California for construction related activity for each of the five years immediately preceding the submission of this bid.

If bidder does not qualify as a California company (and has not checked one of the statements under item 3 above), please complete the following information:

State in which Bidder has its principal place of business

Amount of the local contractor construction preference offered

The undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed on this _____ day of _____, 20_____, at _____, California.

Signature of Bidder or officer of Bidder authorized to execute contracts

Print or type name of person signing

Print or type name of business entity

Address

SMALL BUSINESS PREFERENCE AND CERTIFICATION REQUEST

(Bidders requesting a 5% Small Business Preference must sign below and enclose this form with their bid/proposal.

If your firm is not claiming the small business preference, do not submit this form with your bid/proposal.

Project No. EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Project Name: Job Order Contract

The undersigned hereby requests the small business preference and further certifies under penalty of perjury, that the firm still meets the requirements of the California Code of Regulations, Title 2 section 1896 *et seq.*

NOTICE TO ALL BIDDERS: The California Government Code, section 14835 *et seq.* requires that a five percent preference be given to bidders who qualify as a small business. The rules and regulations of this law, including the definition of a small business for the delivery of service, are contained in California Code of Regulations, Title 2 section 1896, *et seq.* A copy of the regulations is available upon request. The small business preference is applied by either 1) factoring 5% if a non-small business low bid total, and subcontracting this amount from the small business total, not to exceed \$50,000, or 2) where award is to be made to the highest scoring bidder based on evaluation factors in addition to price, the preference shall be 5% of the highest responsible bidder's total score.

If your firm is a Small Business and wishes to claim the small business preference, which may not exceed \$50,000 for any bid, your firm must have its principal place of business located in California, have a complete application (including proof of annual receipts) on file with the Small Business & DVBE Services Branch, in the Procurement Division of the State of California Department of General Services, by 5:00 p.m. on the date bids are opened, and be verified by such office. **Please indicate by checking the box below whether your firm is claiming the preference and is a Small Business.**

☐ Small Business

OR

If your firm is a Non-Small Business and wishes to claim the small business preference, your firm must notify the Trustees by signing below, that your firm commits to subcontract at least 25% of its net bid price with one or more small businesses, submit a timely responsive bid, list the small business subcontractors and include name, address, phone number, portion of the work to be performed, and the dollar amount and percentage per subcontractor, and be determined a responsible bidder. **Please indicate by checking the box below whether your firm is claiming the preference and is a Non-Small Business.**

☐ Non-Small Business

Questions regarding the preference approval process should be directed to Small Business & DVBE Services, telephone (800) 559-5529 or (916) 375-4940, address: 707 Third Street, First Floor-Room 400, West Sacramento, CA 95605, or if by mail: P.O. Box 989052, West Sacramento, CA 95798-9052. You can also reach them via email (osdchelp@dgs.ca.gov) or on the Internet: www.pd.dgs.ca.gov/smbus.

IMPORTANT NOTICE *(Read before signing)*

The "Small Business Preference and Certification Request" **must be signed** in the same name style in which the bidder is licensed by the Contractors State License Board. Bidders bidding jointly or as a combination of several business organizations are specially cautioned that such bidders must be jointly licensed and approved in the same form and style in which the bid is executed.

Legal Name Style of Bidder(s): _____

Signature of Bidder: _____ Date _____

In the event the bidder has received assistance in obtaining bonding for this project, it shall set forth below the name and nature of the firm providing such assistance. Should the firm be listed as a subcontractor, bidder shall set forth the percentage of the contract to be performed by the subcontractor.

Name of Firm: _____

Is Firm a Listed Subcontractor? ☐ If Yes, indicate Percentage _____ or, ☐ No, if not a Listed Subcontractor

Special attention is directed to California Code of Regulations, Title 2 section 1896.16 for penalties for furnishing incorrect supporting information in obtaining preference.

CALIFORNIA STATE UNIVERSITY, EAST BAY



CONTRACT DOCUMENTS
EXHIBIT "D"
JOB ORDER CONTRACT

Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Bid Opening Date: July 10, 2026

Bid Opening Time: 2:00 PM

Request bid packets from:
California State University East Bay
San Francisco Bay Region Network
Procurement Services
Attention: Larry Lee
larrylee@sfsu.edu

Submit bids on the attached bid proposal form in a sealed envelope **plainly marked "BID OPENING"** and with the following information:

Date of Bid Opening: July 10, 2026, 2:00 PM

Project: JOB ORDER CONTRACT

Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

*****Bid received after 2:00 PM PST will be rejected*****

AGREEMENT

CSU Vendor ID No. <insert #>

Contract No. <insert #>

THIS AGREEMENT, made on <Month XX, Year>, BY AND BETWEEN the State of California, acting through the Board of Trustees of the California State University, on behalf of {insert full Campus Name}, hereinafter designated the Trustees, and

Contractor
Address
City, ST Zip
Phone No.; Fax No.; E-mail

hereinafter designated the Contractor.

WITNESSETH

1. That the Contractor, in consideration of the covenants and agreements herein contained on the part of the Trustees, covenants, promises and agrees with the Trustees, at his, her, its or their own proper cost and expense, to furnish all labor, materials, and equipment, and to perform all Work necessary to construct and complete in a good workmanlike and substantial manner, and to the satisfaction of the Trustees, the

Job Order Contract No.: <insert #>, at
<insert full Campus Name>,

in accordance with the Contract Documents (as defined in the Contract General Conditions, Article 1, Definitions) as approved by and on file with the Trustees and are made a part of this Agreement by this reference. The Contract is an indefinite quantity contract for the repair and / or construction of the items specified.

The Minimum Contract Amount that must be ordered during the term of the Contract is {insert minimum contract value}.

The Maximum Contract Amount that may be ordered during the term of the Contract is {\$3,000,000.00 or insert maximum contract value if lower than \$3MM}.

The parties may mutually agree to amend this Agreement in writing to increase the Maximum Contract Amount up to \$3,000,000.00.

The Contractor shall use the following Adjustment Factors for {insert full Campus Name} when submitting individual Job Orders:

Normal Working Hours	X.XXXX
Other than Normal Working Hours	X.XXXX
Premium Hours	X.XXXX

The Contractor agrees to receive and accept the sum designated on each individual Job Order issued pursuant to this Contract as full compensation therefor, and also, unless expressly excepted in the Contract Documents, as full compensation for the following: all loss or damage, arising out of the nature of the Work, or from the action of the elements or from any unforeseen difficulties or obstructions which may arise or be encountered in the prosecution of the Work until its acceptance by the Trustees and for all risks of every description connected with the Work, and for all expenses incurred by or in consequence of the suspension or discontinuance of Work, and for well and faithful completion of the Work in the manner and according to the Contract Documents and the requirements of the Trustees under them. Payment will be made in accordance with the Contract General Conditions, Article 09.00, Payment and Completion.

2. Contractor requested the Small Business Preference and is either a Certified Small Business OR classifies as a Non-Small Business and commits to subcontract at least 25% of the contract value with one or more small businesses. (check only one applicable box below)

- ☐ Yes – Contractor is a Certified Small Business and this section 2 applies to this agreement OR
- ☐ Yes – Contractor classifies as a Non-Small Business and subcontracts at least 25% of the contract value to one or more Certified Small Business(es) and this section 2 applies to this agreement OR
- ☐ No – This section 2 does not apply to this agreement.

3. That the Contractor, in accordance with its Bid Proposal documents, agrees to subcontract <insert %> of each job order issued under this Contract (including change orders) to Disabled Veteran Business Enterprises (DVBE).

4. That the Trustees hereby promise and agree with the Contractor to employ, and do hereby employ, the Contractor to provide the materials and do the Work according to the terms and conditions herein contained and referred to, for the sum designated on each individual Job Order issued pursuant to this Contract, and hereby agree to pay the same at the time, in the manner and upon the conditions set forth herein, and the said parties for themselves, their heirs, executors, administrators, successors and assigns, do hereby agree to the full performance of the covenants herein contained.

5. That the one (1) year contract period will start on the earlier of the date specified on the Contract Agreement Notice to Proceed (NTP) or six (6) months after the Agreement is fully executed (signed by all parties hereto). The Trustees may issue this NTP as early as the date the Agreement is fully executed or as late as six (6) months after the Agreement is fully executed. If this Contract Agreement NTP is not issued within the six (6) month period after the Agreement is fully executed, then the one (1) year contract period shall still start on the date that is exactly six (6) months from the date the Agreement is fully executed. Job orders authorized prior to contract expiration and any supplemental job orders required to complete the work as needed to be used for its intended purpose may continue through completion. In no event shall the duration of the Agreement exceed one (1) year. The starting dates for each individual Job Order issued pursuant to this Agreement shall be the date listed in the individual Notices to Proceed issued for said Job Orders. The Contractor agrees to fully complete the Work authorized under each individual Job Order issued, in first class working order and ready for acceptance by the Trustees, on or before the date agreed to in said Job Order. The Contractor will pay to the Trustees the sum of money stipulated per day in said Job Order for each day completion is delayed beyond the time prescribed, in accordance with the Contract General Conditions, Article 08.02, Delay in Completion—Liquidated Damages.

6. That if there is a conflict between the terms of the Proposal Form and the other Contract Documents, the other Contract Documents shall control, and nothing contained herein shall be considered as an acceptance of any terms of the Proposal Form in conflict herewith.

7. a. That contractors are required by law to be licensed and regulated by the Contractor's State License Board. Any questions concerning a contractor may be referred to the registrar of the Board.

b. That contractors and subcontractors of all tiers, by law, are required to register with the Department of Industrial Relations to bid and contract for public works projects.

8. That any notice to the Trustees may be served effectually upon the Trustees by mailing or delivering it in writing, addressed to the Trustees of the California State University, attention of the official executing this Agreement for the Trustees, at <insert full campus name and full address>.

9. That this Agreement may be executed in counterparts, each of which shall be deemed to be an original, but all of which, taken together, shall constitute one and the same Agreement. The exchange of copies of this Agreement and of signature pages by electronic mail in "portable document format" (".pdf") form or by any other electronic means shall constitute effective execution and delivery of this Agreement and shall have the same effect as copies executed and delivered with original signatures.

Contract No. <insert #>

IN WITNESS WHEREOF, the parties to these presents have hereto set their hands the year and date first above written.

CONTRACTOR

(State full legal name of business entity; check appropriate box below.)

☐ Sole Proprietorship ☐ Partnership ☐ Corporation ☐ Limited Liability Co. ☐ Other (specify) _____

Contractor's License No.

Contractor's DIR Public Works Registration No.

By: _____

Name, Title

TRUSTEES

By: _____ Date _____
Name, Title
Department

UNIVERSITY ACCOUNTING
FUNDING CERTIFICATION

Funding certification is not required for this Agreement. Funding certification shall be provided by the University on each job order that is issued under this Agreement.

I hereby certify that I have examined the written contract and find the same to be in accordance with the requirements of the California State University Contract Law.

Dawn S. Theodora
Executive Vice Chancellor and General Counsel (Interim)
Office of General Counsel

By: _____
Name, University Counsel *Date*

PAYMENT BOND

Contract No. <insert #>

Know All Persons by These Presents:

THAT WHEREAS, the State of California acting by and through the Trustees of the California State University, hereinafter called the Trustees, has awarded to

Contractor
Address
City, ST Zip

as Principal, hereinafter designated as the "Contractor," a Contract for the Work described as follows:

Job Order Contract No.: <insert #>,
at <insert full Campus name>

AND WHEREAS, the Contractor is required to furnish a bond in connection with said Contract, to secure the payment of claims of laborers, mechanics, and other persons, as provided by law:

NOW, THEREFORE, we the undersigned Contractor and Surety are held and firmly bound unto the State of California through the said Trustees in the amount required by law, in the sum of:

<insert 100% of the awarded maximum contract value>

for which payment well and truly to be made we bind ourselves, our heirs, executors and administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION of this obligation is such,

That if the Contractor, his, her, or its heirs, executors, administrators, successors or assigns, or subcontractors shall fail to pay any of the persons referred to in Civil Code section 9100 or amounts due under the Unemployment Insurance Code with respect to work or labor performed by any such claimant, that the Surety or Sureties herein will pay for the same, in an amount not exceeding the sum specified in this bond, otherwise the above obligation shall be void. In case suit is brought on this bond, the said Surety will pay a reasonable attorney's fee to be fixed by the court.

This bond shall inure to the benefit of any of the persons referred to in Civil Code section 9100 so as to give a right of action to such persons or their assigns in any suit brought upon this bond. Any such right of action shall be subject to the provisions of Civil Code sections 8608 and 9566. Furthermore, in the event suit is brought upon this bond by THE TRUSTEES, Surety shall pay all reasonable attorneys' fees and costs incurred by THE TRUSTEES in such suit.

IN WITNESS WHEREOF, We have hereunto set our hands and seals on this _ day of _____, 20____

CONTRACTOR

Contractor Name: _____

AS

PRINCIPAL

Contractor Address: _____ (SEAL)

By: _____

SURETY

Surety Name: _____

Surety Address: _____ (SEAL)

By: _____

Signatures executed in behalf of the Surety must be properly acknowledged.

PERFORMANCE BOND

Contract No. <insert #>

Know All Persons by These Presents:

THAT WHEREAS, the State of California acting by and through the Trustees of the California State University, hereinafter called the Trustees, has awarded to

Contractor

Address

City, ST Zip

as Principal, hereinafter designated as the "Contractor," a Contract for the Work described as follows:

Job Order Contract No.: <insert #>,

at <insert full Campus name>:

AND WHEREAS, the Contractor is required to furnish a bond in connection with said Contract, guaranteeing the faithful performance thereof:

NOW, THEREFORE, we the undersigned Contractor and Surety are held and firmly bound unto the State of California through the said Trustees in the sum of:

<insert 100% of the awarded maximum contract value>

to be paid to the said Trustees, State or its certain attorney, its successors and assigns: for which payment, well and truly to be made, we bind ourselves, our heirs, executors and administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION of this obligation is such,

That if the above bounden Contractor, his, her, or its heirs, executors, administrators, successors or assigns, shall in all things stand to and abide by, and well and truly keep and perform the covenants, conditions and agreements in the foregoing contract and any alteration thereof made as therein provided, on his, her, its or their part to be kept and performed at the time and in the manner therein specified, and in all respects according to their true intent and meaning, and shall indemnify and save harmless the State of California, its officers and agents, as therein stipulated, then this obligation shall become and be null and void; otherwise, it shall be and remain in full force and virtue. Furthermore, in the event suit is brought upon this bond by THE TRUSTEES, Surety shall pay all reasonable attorneys' fees and costs incurred by THE TRUSTEES in such suit.

IN WITNESS WHEREOF, We have hereunto set our hands and seals on this _ day of _____, 20____

CONTRACTOR

Contractor Name: _____

AS

PRINCIPAL

Contractor Address: _____ (SEAL)

By: _____

SURETY

Surety Name: _____

Surety Address: _____ (SEAL)

By: _____

Signatures executed in behalf of the Surety must be properly acknowledged.

CERTIFICATION

Contract No. <insert #>

Instructions:

ALL BIDS AND CONTRACTS MUST BE SIGNED BY AN OFFICER OR EMPLOYEE HAVING THE AUTHORITY TO BIND THE COMPANY OR FIRM.

Provide the information requested below, including the type of organization for your firm, such as partnership, limited partnership, corporation, limited liability company, etc., and attach to this form a true and accurate copy of the firm's official record adopted by the firm's executives/board that authorizes certain of the firm's officers or employees to bind the firm. An example of such official record would be a corporate resolution duly adopted by a Board of Directors for a Corporation.

This is to certify that

1) I am _____
Name and Title of Authorized Signatory (such as John Smith, President)

2) of _____; and
Name of Firm

3) the attached official record, which lists only the officers or employees of our firm who are authorized to bind the firm, is a true and accurate copy as duly adopted by the Executives/Board of the firm on _____.
Date

Signature

Date

Firm's Type of Organization (see instructions above)

IMPORTANT NOTE

(If your firm is a sole proprietorship, you need not complete this form. For all other types of firms, be sure to attach to this certification a copy of firm's official record authorizing officers or employees of the firm to execute Contract Documents or to execute a bid submittal. If attaching more than one document, modify the form to reflect that fact.)

CONTRACT GENERAL CONDITIONS FOR JOB ORDER CONTRACTS



THE CALIFORNIA STATE UNVIERSITY

Prepared by:

OFFICE OF THE CHANCELLOR

CAPITAL PLANNING, DESIGN AND CONSTRUCTION

(www.calstate.edu/cpdc)



Contract General Conditions for Job Order Contracts Revisions, March, 2026 Revisions Made Since Last Major Update:	
Article No.	Revision Description
4.03(i)	Division 1 Reference removed.

CONTRACT GENERAL CONDITIONS FOR JOB ORDER CONTRACTS

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1.00 DEFINITIONS

Acceptance – When the Project is complete in all respects in accordance with the Plans and Specifications, and the Contract has been otherwise fully performed by the Contractor, to the full satisfaction of the Trustees, the Trustees will accept the Project as complete.

Addendum – A document issued by the University during the bidding period that modifies or supersedes portions of the Contract documents.

Adjustment Factor – The Contractor's competitively bid price adjustment to the unit prices as published in the Construction Task Catalog. Contractors must bid three Adjustment Factors, one for Work to be accomplished during Normal Working Hours, one for Work to be performed during Other Than Normal Working hours and one for Work to be performed during Premium Working Hours. All Adjustment Factors are expressed as an increase or decrease from the published prices.

Agreement – A form executed by both the Contractor and the Trustees, which provides the Work will be done in accordance with the Contract Documents, as approved and on file with the Trustees and as incorporated into the Contract Documents by reference.

Architect – The person or organization, including the authorized representative thereof, commissioned by the University for the design of the Project. For projects on which an engineer or landscape architect is commissioned instead of an architect, the term 'Architect' shall mean the design professional so commissioned for the Project (if any).

Bid Date – The Day on which bid proposals for the Contract are opened.

Bidder – Any individual or business entity acting directly or through an authorized representative that submits a proposal for the Work.

Business Day – Calendar day excluding Saturdays, Sundays, national holidays and state holidays; same as Working Day.

Campus – The California State University campus on which the Project is located.

Campus Deputy Building Official – A campus-appointed individual responsible for campus-specific building code administrative and operational control. This individual acts as a deputy under the authority of the Building Official.

Change Order – See Supplemental Job Order.

Capital Planning Design and Construction (CPDC) – A department within Business and Finance, a division in the Office of the Chancellor of The California State University, responsible for all major capital outlay projects.

Construction Administrator – The person delegated by the University to manage the construction phase of a Job Order.

Construction Inspector – The inspector on the Project Site who receives administrative direction from the University.

Construction Task Catalog – A comprehensive listing of specific construction related tasks together with a specific unit of measurement and a unit price.

Contract – The Contract Documents which collectively represent the entire agreement between the University and the Contractor, and which supersede any prior negotiations, representations, or agreements either written or oral.

Contract Award Figure – The price as determined on the Contract award worksheet provided in the bid form.

Contract Documents – The Bid Proposal Form, Notice to Contractors, the Construction Task Catalog, Technical Specifications, Addenda, Agreement, Bonds, Contract General Conditions, Supplementary General Conditions, Special Conditions, Job Orders, Supplemental Job Orders, and any other documents designated by the Trustees.

Contractor – The individual, firm, or corporation, its successors and assigns, that enters into this Agreement with the Trustees.

Day – Unless otherwise indicated herein, Day is a Calendar Day.

Detailed Scope of Work – The complete description of services to be provided by the Contractor pursuant to an individual Job Order. The scope of Work may include a narrative description of the Work, partial design documents, or full design documents, depending on the complexity of the specific Project.

Directed – ‘Directed’, ‘required’, ‘permitted’, ‘ordered’, ‘designated’, ‘selected’, ‘prescribed’ or words of like import used in the specifications or in the Contract Documents to mean respectively the direction, requirement, permission, order, designation, selection or prescription of the Construction Administrator, and similarly the words ‘approved’, ‘acceptable’, ‘satisfactory’, ‘equal’, ‘necessary’ or words of like import to mean respectively, approved by, or acceptable or satisfactory to, or equal, or necessary in the opinion of the Construction Administrator.

Environmental Requirements – All applicable federal, state and local laws, ordinances, rules, regulations, and executive orders pertaining to environmental compliance requirements.

Executive Facilities Officer – University official who oversees the capital outlay process.

Field Instruction – A written communication from the trustees to the Contractor, signed by the Construction Administrator.

Final Complete of the Job Order – The last date on which all of the following events have occurred: the University has determined that all punch list Work and any other remaining Work has been completed in accordance with the Contract Documents; final inspections have been completed and all operation systems and equipment testing have been completed; certificates of occupancy (if any) have been issued; all deliverables have been provided to the University and all contractual requirements for final payment have been completed.

Hazardous Materials – Asbestos and asbestos-containing materials, polychlorinated biphenyl (PCB), oil or any other petroleum products, natural gas, source material, special nuclear materials, and by-product materials regulated under the Atomic Energy Act (42 U.S.C. Sec 2011 et seq.), pesticides under the Federal Insecticide, Fungicide and Rodenticide Act (7 U.S.C. Sec

136, et seq.) and any hazardous waste, 'toxic substance' (or comparable term) in the Comprehensive Environment Responsibility, Compensation and Liability Act (42 U.S.C. Sec 1801, et seq.), the Resource Conservation and Recovery Act (42 U.S.C. Sec 6901, et seq.), the Toxic Substance Control Act (15 U.S.C. Sec 2601, et seq.) and any rules or regulations promulgated pursuant to such statutes or any other applicable Federal or State statute, rule, or regulation or local law, ordinance, rule, or regulation, as amended in each case.

Job Order – A document that describes the Work to be accomplished under this Contract. The University will be responsible for the development of the Job Order as well as the supervision and acceptance of the Work contained within the Job Order description. The University will review the Contractor's Job Order Price Proposal for each Job Order requested and, if acceptable, shall issue a Job Order for the Work described therein. Each Job Order will include a Detailed Scope of Work, a lump sum, a firm fixed Job Order Price Proposal from the Contractor, a time duration for the completion of the Work and any special conditions that might apply to that specific Job Order, such as liquidated damages.

Job Order Completion Time – The period, set out in Calendar Days, established in the Job Order within which the Work must be completed. The Job Order Completion Time may be adjusted by time extensions through Supplemental Job Orders.

Job Order Contract – A firm, fixed price, competitively bid, indefinite quantity type Contract designed to accomplish small to medium, multi-traded maintenance, repair and minor new construction projects.

Job Order Contractor – See 'Contractor'.

Job Order Price – The amount a Contractor will be paid for completing a Job Order.

Job Order Price Proposal – A price proposal prepared by the Contractor that includes the Pre-Priced Task Non Pre-priced Tasks, quantities and appropriate Adjustment Factors required to complete the Detailed Scope of Work. See 'Proposal'.

Joint Scope Meeting – A Site meeting to discuss the work before the Detailed Scope of Work is finalized.

Materials – All material of any kind, nature and class as may be specified which becomes part of or is used in the performance of the Work together with all manufactured or prepared materials, articles, accessories, appurtenances and parts used therein or placed thereon.

Maximum Contract Amount – The maximum dollar value of Work that can be ordered during the base term of the Contract. This Maximum Contract Amount may be increased by mutual agreement to an amount not greater than \$3,000,000. Refer to the Special Conditions.

Minimum Contract Amount – The minimum dollar value of Work that will be ordered under this Contract during the base term of the Contract. Refer to the Special Conditions.

Non-Pre-Priced Tasks – Those units of Work that are not included in the Construction Task Catalog but are within the general scope and intent of this Contract and may be negotiated into the Contract as needs arise. Such Work requirements as shall be incorporated into and made a part of this Contract for the Job Order to which they pertain, and may be incorporated into the Construction Task Catalog, if determined appropriated by the University, at the base price

determined in this Contract. Non-pre-priced tasks shall be separately identified and submitted in the Job Order Price Proposal.

Normal Working Hours – A work shift of eight (8) hours per day and 40 hours per week, Mondays through Fridays. Exact applicable hours are defined in the Special Conditions of this Contract.

Notice to Proceed – Written notification from the University identifying the beginning and ending date of the Job Order Contract. In reference to a Job Order issued under the Job Order Contract, it is written authorization from the University for the Contractor to commence a Job Order that also specifies start and completion dates for that Job Order.

Other Contractor – Any individual, firm or corporation (other than the Contractor) having a Contract with the University for Work at or near the Project Site.

Owner – The Trustees of the California State University.

Plans – the scope of work including task descriptions in the Construction Task Catalog, drawings, sketches, illustrations, specifications or other pertinent information included on or attached to the Job Order.

Premium Working Hours – The working hours not designated by the University as Normal or Other Than Normal working hours. Premium working hours will include overtime, Sundays and holidays. The exact applicable hours are defined in the Special Conditions of this Contract.

Prevailing Wage – The general prevailing rate of wages identified by the Director of the Department of Industrial Relations of the State of California pursuant to section 1770 of the Labor Code.

Pre-Priced Task – A unit of Work included within the Construction Task Catalog for which a unit price has been established

Project – Collectively, the improvements to be constructed by the Contractor pursuant to a discrete, fixed-price Job Order.

Project Completion Time – The period allotted for the Contractor to achieve final completion of Job Order.

Project Limit Lines – The geographical boundaries of a Project, as more fully identified and described in the Job Order.

The Project Record Documents – All documents the Contract requires the Contractor to provide to the University, including but not limited to shop drawings, as-built documents, parts manuals, operation and maintenance manuals, blue line drawings, Project manuals and specifications.

Project Site – A area where the Work will be performed.

Proposal – The Contractor-prepared document including a lump sum, firm fixed price and schedule for the completion of a Detailed Scope of Work as requested by the University. The proposal shall also contain, when appropriate, approved drawings, permits, or other such documentation as the University may require for the Job Order.

Punch List Work – A list of items that have not been completed in accordance with the Contract Documents.

Request for Job Order Price Proposal – The University’s invitation for a Proposal for a specific Job Order, hereafter, Request for Proposal’ or ‘RFP’.

Sample Forms – Many of the forms referenced herein, are available on the CPDC website.

Site – The area specified in the specific, discrete Job Order made available for the Job Order Contractor’s operation.

State – The State of California.

Stop Work Order – The suspension of the Work as set forth in [Article 05.11](#).

Standard Specifications – A body of documents, maintained by the University, containing instructions and requirements prepared by an Architect which complement the individual Job Order scopes of Work and describe the manner of performing the Work or the quantities, qualities and types of materials to be furnished.

Subcontractor – Any person or firm, other than the employees of the Contractor, who contracts with the Contractor or another subcontractor to furnish labor, or labor and materials, at the Work Site or in connection with the Project with appropriate license for the Work to be accomplished.

Superintendent – The representative of the Contractor at the construction Site, who is authorized to receive instructions from the University, and who is authorized to direct the performance of the Work on behalf of the Contractor.

Supplemental Job Order – A written agreement entered after the award of the Job Order that alters or amends the Job Order. Changes in the Work requested are accomplished by the issuance of a Supplemental Job Order. Changes involving extensions of time, adjustment for net credits, and minor additive changes are also accomplished by issuance of a Supplemental Job Order to the original Job Order.

Supplier or Vendor – Any person or business entity that contracts with the Contractor to provide materials or equipment.

Trustees – The Board of Trustees of The California State University and their authorized representatives who act on behalf of the Trustees. See also the Executive Facilities Officer and Construction Administrator.

University – The California State University upon which the Work is located and the University President and other University officers and employees acting within the scope of their duties.

Unit Price – The price published in the Construction Task Catalog for a specific construction or construction related task. The unit prices are fixed for the duration of the Contract. Each unit price is comprised of the labor, equipment and material costs to accomplish that specific task.

Work – That which is proposed to be constructed or done under the Job Order, including the furnishing of all labor, materials, equipment and other incidentals.

Working Day – Calendar Day excluding Saturdays, Sundays, national holidays or state holidays; same as Business Day.

2.00 BIDDING

2.01 License and Public Works Registration

a. License

No Bidder may bid on Work for which it is not properly licensed. The Trustees shall disregard any bid received from a bidder who is not properly licensed (Business and Professions Code, section 7028.15). A Contract shall not be awarded to a Bidder who does not possess the appropriate contractor's license, which is that specified in the Notice to Contractors. Bidders participating in a joint venture must individually possess a current license when submitting the joint venture bid, and the joint venture must possess a joint venture license at the time of award (Public Contract Code section 3300).

b. Public Works Registration with Department of Industrial Relations

The Trustees will only issue public works bids and award public works contracts to currently registered contractors and subcontractors on the Trustees' public works projects. All bidders and subcontractors of all tiers must register to bid public works projects with the Department of Industrial Relations (DIR) and obtain and maintain current registration numbers (Labor Code section 1725.5). Note: DIR will assess a penalty on any public works contractor who allows its registration to lapse. DIR will also assess a penalty on any contractor who subcontracts to any contractor who is not registered with the DIR for each day the subcontractor is not registered. For more information review the DIR public works registration requirements at <https://www.dir.ca.gov/Public-Works/PublicWorks.html>.

The following applies to this Contract:

- A contractor will not be in violation for working on a private Project that is later determined to be public work;
- The contractor shall check the public works registration for all subcontractors that is proposes to list to ensure that each subcontractor is registered to bid public works projects with the DIR.;
- An unregistered contractor or subcontractor can be replaced with one who is registered;
- A contract with an unregistered contractor or subcontractor is subject to cancellation but is not void as to past work.

2.02 Prequalification

The Trustees shall issue proposal packages only to Bidders who have prequalified with the Trustees (Public Contract Code section 10764). To prequalify, all bidders must file their prequalification applications online, and the application includes bidders' responses to a standard questionnaire, a statement of financial condition, and previous experience in performing public works, all in accordance with the instructions contained in the Notice to Contractors.

Bidders shall go to CPDC's website: <http://www.calstate.edu/contractor-prequalification> under 'Contractor Prequalification' and login into the database using the link provided (PlanetBids). Bidders shall verify the information contained in the prequalification application under oath and

submit the completed prequalification application online at least ten (10) Business Days prior to the date for opening bids. The Trustees' Prequalification Administrator must approve Bidders not less than one (1) Business Day prior to the date set for opening bids.

The Trustees' Prequalification Administrator will review the Bidder's statement of experience and financial condition upon receipt of a complete application, check Bidder's references, and notify the Bidder of the rating established based on the information contained in the application. The Bidder's assigned prequalification rating will be the maximum amount of a contract or contracts that the Bidder may undertake with the Trustees. Bidder may request an increase in rating from the Prequalification Administrator. The request shall be in writing and specify the reason(s) for increase. The Trustees' Prequalification Administrator will review Bidder's request, check new references submitted and notify Bidder of the resulting decision. The Prequalification Administrator's decision is final.

The Trustees shall disregard any bid received either from a Bidder that is not currently prequalified, or from a Bidder that is prequalified, but the rating is not high enough to accommodate its bid. Although this prequalification permits participation in the bidding of the project, it does not mean that the Bidder satisfies the requirements of being a "responsible" Bidder. This determination occurs later in the process (reference Article 02.09, Failure to be a Responsible Bidder).

a. Joint Ventures

If two or more prospective Bidders desire to bid as a joint venture on a single project, they must file an affidavit of joint venture form 703.12 with the Trustees at least five (5) Days prior to the date and time set for opening bids on a form obtained from the Trustees. The affidavit of joint venture is valid only for the specific project for which it is filed. Each party to the joint venture must be prequalified, as provided herein, at least one (1) Business Day before the time set for opening bids.

If the Trustees announce that the joint venture is the successful bidder, the joint venture shall, prior to the Trustees' award of the Contract:

- obtain the joint venture license (Business and Professions Code sections 7029 and 7029.1), and
- register the joint venture with the Department of Industrial Relations.

b. Supplementary Prequalification

In addition to the prequalification requirements in Article 02.02 above, the Trustees require a supplementary prequalification of the general contractors bidding on this Contract. Bidders must complete and submit the supplementary prequalification application via PlanetBids at least ten (10) business days before the bid opening. The application must be approved at least one (1) business day prior to the bid opening date. Bidders will be notified of their status in writing, and the decision of the Trustees' Prequalification Administrator shall be final.

c. Specialty Contractor Self-Performance Requirement

Bidders holding a specialty license (for example, C-20 Mechanical, C-39 Roofing, C-12 Paving, C-22 Asbestos Abatement, or similar specialty classifications) shall demonstrate the ability to self-perform not less than fifty-one percent (51 %) of the total Work under the Contract. The percentage shall be calculated based on the combined value of direct labor and materials for each Job Order. This requirement applies only to specialty trade Job Order Contracts and is intended to ensure that the Contractor possesses the experience, personnel, and resources to execute the majority of the Work with its own forces. Failure to demonstrate this capability shall render the bid non-responsive.

d. Proof of Prequalification

Bidders shall include a current CSU CPDC prequalification letter with their bid proposal. Failure to include this document shall render the bid non-responsive.

2.03 Necessity for Careful Examination of Site and Contract Documents

Prospective bidders should visit the University campus for which this Job Order Contract is applicable to familiarize themselves with the general state of the facilities, any special conditions that they may encounter (such as possible isolated locales), or typical weather conditions that may affect Work during certain seasons. Some campuses are not located near large metropolitan areas; hence, the availability of subcontractors may be a bidding concern.

Generally, prospective bidders shall examine the Contract Documents carefully and, before bidding, shall make a written request to the University for clarification of any ambiguity, or correction of any inconsistency or error in the documents. Requests for such clarification must be received at least ten (10) Days before the date fixed for the opening of the bid.

Only the University official, as identified in the Notice to Contractors, is authorized to answer questions or prepare addenda relative to the Project. Information obtained verbally from any source has no contractual authority, may not be relied upon, and shall have no standing in any event that may occur.

2.04 Bidding Information

a. Preparation of Bids

Bids must be submitted on the forms supplied by the University. Bids shall be enclosed in a sealed envelope, addressed to the University and marked with the name and address of the bidder, the bid opening date and time, and the description of the Contract. All blank spaces must be filled in, using figures. Conditional bids will be rejected. Bids shall not contain any recapitulation of the Work to be done. No oral, telegraphic or telephonic bids or modifications will be considered.

Bids that are illegible or that contain omissions, alterations, additions or items not called for in the bidding documents may be rejected as non-responsive. Any bid that modifies, limits, or restricts all or any part of such bid, other than as expressly provided for in the Contract Documents, will be rejected as non-responsive.

The University may reject as non-responsive any bid not prepared and submitted in accordance with the provisions of the Contract Documents.

Any bid received after the scheduled closing time for receipt of bids shall not be considered and will be returned to the bidder unopened (Public Contract Code section 10766).

b. Pre-Bid Conferences

University is required to conduct a pre-bid conference or post a recorded pre-bid presentation online. Bidders are encouraged to attend or review one (1) pre-bid conference every bid, regardless of previous bids or experience with Job Order Contracting. Bidders may attend a second pre-bid conference if so desired at the discretion of the University. The pre-bid conferences will be held at times and dates or be posted online at the dates described in the Notice to Contractors and Special Conditions issued by the University. The purpose of the first pre-bid conference is to discuss the concept, documents and bid considerations. The purpose of the second pre-bid conference is to answer questions and discuss Job Order contracting from a Contractor's viewpoint.

c. Description of the Job Order Contract Agreement

This solicitation is for the award of a Job Order Contract. A Job Order Contract is a competitively bid, indefinite quantity contract. It includes a collection of detailed repair and construction tasks and specifications that have established unit prices. It is awarded to a Contractor for the accomplishment of repair, alteration, modernization, maintenance, rehabilitation, and construction of infrastructure, buildings, structures, or other real property. The University issues discrete fixed price Job Orders under the Contract to accomplish the Work.

(1) Minimum and Maximum Contract Amounts

The Minimum Contract Amount is the amount stated by the University. in the Special Conditions of the Contract. The Contractor is guaranteed to be offered Work valued not less than the minimum amount so stated in the Special Conditions during the base Contract period.

The Maximum Contract Amount is the amount stated by the University. in the Special Conditions of the Contract. The Contractor will not be issued Job Orders exceeding the Maximum Contract Amount during any Contract period. However, the Contractor is not guaranteed to receive this volume of Work.

The Maximum Contract Amount may be increased by mutual agreement to an amount not greater than \$3,000,000.00. Refer to Special Conditions.

(2) Contract Term

The term of the Contract is one year, as specified in the Contract Notice to Proceed, or when an amount equal to the Maximum Contract Amount has been ordered, whichever occurs first. Please see section 3.02 JOC Contractor Agreement Notice to Proceed for more information.

(3) Adjustment Factors

There are four Adjustment Factors for the Contract, as listed below. When preparing a proposal for an individual Job Order, the Contractor shall select the appropriate Adjustment Factor for each task.

(4) Work to be Performed

The Contractor shall perform the Work described in the Detailed Scope of Work referenced in each Job Order. The University shall have the right to require the Contractor to perform Work at any location within the campus at the Adjustment Factors set forth herein.

The price of each Job Order shall be:

- the sum of the following calculation for each pre-priced task: the unit price multiplied by the quantity of units, multiplied by the appropriate Adjustment Factor; plus
- the total of all Non Pre-priced Tasks.

d. Filings and Permits

The contractor shall make the necessary arrangements for and obtain all filings and permits, including payment therefore, if any are required for the Work, including the preparation of all drawings, sketches, calculations and other documents and information that may be required therefor. If the Contractor is required to pay an application fee for filing a project, a fee to obtain a building permit, or any other permit fee to the City, State or some other governmental or regulatory agency, (to include the Office of Fire Safety), then the amount of such fee paid by the Contractor for which a receipt is obtained shall be treated as a Reimbursable Task to be paid without mark-up. The University will not reimburse the Contractor the cost of expediting services or equipment use fees, unless approved by the University in advance. The contractor is responsible, per project related costs as an overhead expense (and not reimbursable), for any labor and/or travel associated with procuring permits.

e. Final Approvals

The Contractor shall obtain all final approvals for the Work, in the form of such certificates that are required by all governmental agencies having jurisdiction over the Work. Contractor is responsible, per project related costs as an overhead expense (and not reimbursable), for the labor associated with the creation and submittal of all compliance and commissioning documents.

f. Incidental Engineering and Architecture Services

The Contractor shall provide incidental engineering, architectural and consultant services required in connection with a particular Job Order including drawings required for filing with appropriate agencies (including hazardous materials, if necessary). Any associated cost is considered a construction-related cost and shall be covered by the Adjustment Factor.

(1) Codes

The Construction Documents and resulting construction shall comply with all laws, ordinances, rules and regulations of the state of California, including:

- The California Building Standards Code (CBC), in Title 24 of the California Code of Regulations, as adopted and published by the California Building Standards Commission.
- Compliance with the Department of State Architect, Access Compliance Unit's accessibility regulations in the California Code of Regulations Title 24 (Parts 2 and 3); 2010 federal ADA standards for accessible design; standards for state and local government facilities – Title II (where more restrictive than CBC).
- Compliance with the Office of Fire Safety (OFS), fire and life safety regulations, including the most recent editions of NFPA, ASTM, and other referenced standards.
- Compliance with the California State University Seismic Review Board seismic project peer review comments.
- Other agencies (as may apply) elevators and escalators, Division of Occupational Safety & Health (DOSH), county health department (food and aquatics).
- Codes and specifications incorporated by reference shall be those of the latest edition at the time of receiving proposals, unless otherwise specified.

2.05 Bidding Documents

The Trustees will identify in the Project advertisement and in the Notice to Contractors if the project is a paper submittal bid, or an electronic, online bid. The following are forms that Bidder shall tender with its bid in either case.

a. Bid Proposal Package

Following a request from a prequalified Bidder, the University will furnish a bid proposal package which, when filled out and executed by Bidder, may be submitted as a bid. Bids not presented using the furnished bid proposal package shall be disregarded (Public Contract Code section 10764). The bid proposal package is not transferable to another bidder and must be submitted in the same name as is used on the bidder's license and prequalification.

The University's bid proposal package contains a standard proposal form that shall be used for bidder's proposal. Each proposal shall give the Adjustment Factors proposed in the manner required by the bid and shall be signed by the bidder or the bidder's duly authorized representative, with its address and telephone number.

- (1) If an individual makes the proposal, the individual's name, postal address and telephone number must be shown.
- (2) A proposal submitted by a partnership, a limited partnership, or other type of partnership, shall have the signature of all partners or an affidavit signed by all partners empowering one partner as an agent to act in their behalf, and shall include the address and telephone number of the partnership.

- (3) A proposal submitted by a corporation, or a limited liability company (LLC), or other type of firm, shall show the name of the state in which the firm is chartered, the name of the firm, its address and telephone number, and the title of the person who signs on behalf of the firm. The firm shall also submit a certified copy of the firm's board action that identifies and authorizes the person who may sign and submit bids for the firm. The Trustees shall reject any proposal submitted that is not signed by the bidder or by the bidder's duly authorized representative.

b. Listing of Subcontractors

The Trustees do not require Bidders on this Contract to submit the List of Proposed Subcontractors form with their bids, since the Detailed Scopes of Work are not known at the time of bidding the Job Order Contract. After the Trustees award the Contract to the successful Bidder, Bidder shall list the subcontractors for each Job Order Price Proposal.

For each proposed subcontractor that will perform Work or labor or render services for the Contractor in excess of one-half of one percent of the Bidder's net bid price for each Job Order Price Proposal, the successful Bidder shall list on each Job Order Price Proposal the subcontractor's name, location of the place of business, California Contractors State License Board-issued contractor license number, and California Department of Industrial Relations Public Works Registration number. Bidders shall also state on each Job Order Price Proposal the portion of Work or labor or rendition of services that each such subcontractor will do. For each alternative, Contractor shall list any subcontractor not included in the base contract subcontractor listing.

Note: Contractor's submittal of a correction to a listed subcontractor's contractor license number, provided that number corresponds to the listed subcontractor's name and location, is not grounds for considering the Job Order Price Proposal nonresponsive (Public Contract Code section 4104). All tiers of subcontractors working on each Project shall register with the DIR.

(1) Non-small Business Bidders Claiming the Small Business Preference

If a Non-small Business Bidder claims the small business preference, Contractor shall list all subcontractors certified as California small businesses for each Job Order Price Proposal, and the total of these subcontracts shall be at least 25% of each Job Order Price Proposal.

(2) Disabled Veteran Business Enterprises (DVBE) Participation Requirement

The successful Bidder is required to achieve three percent DVBE participation in this Contract and may qualify for the DVBE bid incentive if Bidder proposes sufficient additional DVBE participation in this Contract. Trustees will calculate the Bidder's DVBE participation on each Job Order Price Proposal.

(3) List of Subcontractors – Additional Information Form

The contractor shall submit the List of Subcontractors—Additional Information form, which contains more detailed information, such as complete subcontractor names, addresses, telephone numbers, verified contractor license and registration

numbers, etc. (Public Contract Code sections 4104, 4105 and 4106) within 24 hours after the acceptance of the Job Order Price Proposal. The contractor shall indicate on this form the dollar amount of each small business (if the Contractor claimed the non-small business bid preference in its bid) and each DVBE participating in its Job Order Price Proposal.

Contractors may submit the requisite subcontractor listings described above on Trustees' subcontractor listing forms, or Contractors may key the subcontractor's listing information into the job order contracting software provided and transmit the subcontractor listings electronically to the University along with the Contractor's Job Order Price Proposal.

c. Bidder's Security

All bids shall be presented under sealed cover and have enclosed an amount equal to twenty-five thousand dollars (\$25,000) as bid security. Bidders may submit the bid security in one of the following forms: a cashier's check or certified check made payable to the University, or a bidder's bond. The Trustees shall not consider any bid unless Bidder encloses one of these forms therewith (Public Contract Code section 10765). If the bid security is a bond, a corporation authorized as an admitted surety to issue surety bonds in California shall execute the bond, and it shall be executed on the form prescribed by the University.

For bids submitted electronically or online, Bidders shall present their bidder's security in electronic form when tendering their bids and then shall submit original bidder's security within 24 hours of bid opening, or their bids will be deemed nonresponsive.

The Trustees will not accept riders or modifications of any kind on bidder's bonds, and, if presented by Bidder, may result in Bidder's disqualification as nonresponsive. Bidder must use bond document forms approved by the Trustees without alteration.

2.06 Bidding Proposal

a. Submission of Bids

Bidders shall submit proposals as instructed on the bid proposal. It is the responsibility of the bidder to see that its bid is received in the proper time. Delays in timely receipt of the bid caused by the United States or the University mail system, independent carriers, acts of God, electronic communication failures, or any other cause shall not excuse late receipt of a bid. The Trustees shall return unopened, any bid received after the time specified in the Notice to Contractors or in any addendum (Public Contract Code sections 4104.5 and 10766).

b. Withdrawal of Bids

A bidder may withdraw its bid at any time prior to the time fixed in the public notice for the opening of bids but only by a written request from the Bidder or its authorized representative. The request shall be executed by the Bidder or its authorized representative and filed with the Trustees. A request to withdraw a bid that is communicated orally, either in person, or by use of telegram or telephone is not

acceptable. The withdrawal of a bid shall not prejudice the right of a bidder to file a new bid. This paragraph does not authorize the withdrawal of any bid after the time fixed in the public notice for the opening of bids (Public Contract Code section 10767).

c. Public Opening of Bids

Proposals will be publicly opened and read or posted online as stated in the Notice to Contractors. Bidders or their agents are invited to be present if held in person or online. (Public Contract Code section 10780).

d. Rejection of Irregular Bids

The Trustees may reject any proposal if it shows any alterations of forms, additions not called for, conditional bids, incomplete bids, erasures, unsigned bids, or irregularities of any kind. If Bidder changes the bid amount after the amount has been once inserted, Bidder shall initial the change.

e. Power of Attorney or Agent

When an agent signs the proposal, a power of attorney shall either be on file with the University before the opening of bids or be submitted with the proposal. Failure to submit a power of attorney may result in the rejection of the proposals irregular and unauthorized. A power of attorney is not necessary in the case of a general partner of a partnership.

f. Waiver of Irregularities

The University reserves the right to waive minor irregularities in proposals submitted.

g. Basis of Award

The bid proposal package contains a standard proposal form that shall be used for the bidder's proposal. In its bid proposal, the Bidder shall specify each of four Adjustment Factors to four decimal places. Each Adjustment Factor is independent of the other. Bidder shall not specify Adjustment Factors for Other Than Normal Working Hours and Premium Working Hours that are less than the specified Adjustment Factor for Normal Working Hours. The University shall assign weight to each of these factors, in order to calculate a composite score for each bidder. Refer to the Special Conditions of the Contract. If the University awards a contract, it will be awarded to the bidder with the lowest composite score.

2.07 Competitive Bidding

If more than one bid proposal is offered by an individual or business entity or combination thereof, under the same or different names, all such bid proposals may be rejected, or the Trustees may accept the lowest proposal. A party who has quoted prices on materials or Work to a Bidder is not thereby disqualified from quoting prices to other Bidders, or from submitting a bid directly for the materials or Work.

All bidders are hereby notified that any collusive agreement fixing the prices to be bid to control or affect the awarding of this Contract may render void any contract awarded under such circumstances. The bidder, by act of submitting a bid, certifies that in the preparation of the bid,

no bid was received by the bidder from a bid depository, which depository, as to any portion of the Work, prohibits, or imposes sanctions for, the obtaining by the bidder, or the submission to the bidder by any subcontractor, vendor or supplier of goods and services, of a bid outside the bid depository. The certification shall constitute a warranty, the falsity of which shall entitle the University to pursue any remedy authorized by law and shall include the right at the option of the University of declaring any contract made as a result thereof to be void (Business & Professions Code section 16600 et seq.).

2.08 Mistake in Bid

As required by Public Contract Code section 5100 et seq., a bidder shall not be relieved of a bid without the consent of the University, nor shall any change be made in a bid because of mistakes. However, a bidder may pursue relief of its bid in accordance with section 5100 et seq. of the Public Contract Code.

2.09 Failure to be a Responsible Bidder

To be considered for award of a Contract a bidder must be a responsible bidder (Public Contract Code section 10780). To be responsible, the bidder, in the judgment of the University, must be sufficiently trustworthy and possessed of the requisite quality, fitness, capacity and experience to satisfactorily perform the Work (Public Contract Code section 1103). Should the University question the bidder's responsibility, the bidder shall be given an opportunity to rebut any evidence of non- responsibility, and to present evidence of responsibility. The hearing shall be informal, and an individual appointed by the University to hear the matter may conduct it in whole or in part in writing. A decision concerning the bidder's responsibility shall be mailed to the bidder within ten (10) Days of the conclusion of the hearing.

2.10 Disabled Veteran Business Enterprise – Five Percent Bid Advantage

To be considered for award of a Contract a bidder must be a responsible bidder (Public Contract Code section 10780). To be responsible, the bidder, in the judgment of the University, must be sufficiently trustworthy and possessed of the requisite quality, fitness, capacity and experience to satisfactorily perform the Work (Public Contract Code section 1103). Should the University question the bidder's responsibility, the bidder shall be given an opportunity to rebut any evidence of non- responsibility, and to present evidence of responsibility. The hearing shall be informal, and an individual appointed by the University to hear the matter may conduct it in whole or in part in writing. A decision concerning the bidder's responsibility shall be mailed to the bidder within ten (10) Days of the conclusion of the hearing.

The Trustees calculate the five (5%) percent Small Business Preference as a percentage of the lowest overall responsive bidder's bid, per award. If multiple awards are made during a single bid, the Small Business Preference will be recalculated per award based on the percentage of the lowest overall responsive bidder's bid. For the award only, a certified Small Business may only be displaced by another certified Small Business. No combination of incentives or preferences shall allow a Small Business to be displaced by a Non-Small Business.

a. Preference for Small Business

In accordance with Government Code section 14835 et seq., and California Code of Regulations, Title 2, section 1896 et seq., the Trustees shall give a small business bid

advantage of five percent up to a maximum of \$50,000 to contracting firms that have been certified as a "Small Business" by the Office of Small Business & DVBE Services, in the Procurement Division of the Department of General Services. To receive the five percent advantage, certified small businesses shall:

- submit with the bid proposal a completed "Request for Small Business Five Percent Preference Certification" form [701.09](#),
- be certified Small Business upon verification in accordance with California Code of Regulations section 1896.2, having applied for certification no later than 5:00 PM on bid date,
- submit a timely and responsive bid,
- be determined to be a responsible bidder.

b. Preference for Non-Small Businesses

The application of the five percent small business bidding preference is also extended to any non- small business that commits to subcontracting at least 25% of its Maximum Contract Amount to California certified small businesses and/or microbusinesses, not to exceed \$50,000. To receive this preference, the non-small business must satisfy the following criteria:

- indicate in the bid proposal its commitment to subcontract at least 25% of each Job Order Price Proposal with one or more small businesses (submit the Request for Small Business Bidding Preference form) until reaching 25% of the Maximum Contract Amount,
- submit a timely and responsible bid,
- be determined to be a responsible bidder,
- submit the California certified small businesses on each Job Order Price Proposal and indicate the dollar amount of the small business subcontract bid for that Job Order Price Proposal on the List of Subcontractors – Additional Information form, which is to be submitted with each Job Order Price Proposal.

(1) Failure to Subcontract with Listed Small Businesses

Failure of the non-small business contractor to subcontract with the small businesses listed on its bid or follow the substitution provisions identified in [Article 04.04](#) , may be grounds for the Department of General Services to impose sanctions pursuant to Government Code section 14842.5 and California Code of Regulations section 1896.16. In the event such sanctions are to be imposed, the contractor shall be notified in writing and entitled to a hearing pursuant to the California Code of Regulations, sections 1896.18 and 1896.20.

c. Calculation of the Small Business Preference

In calculating the Small Business preference, the University official shall multiply the total weighted composite Adjustment Factors by the Maximum Contract Amount to determine the lowest, responsible bidder, taking into consideration the five percent bid preference, not to exceed \$50,000. See the following example for basis of award:

Maximum Contract Amount is \$1,000,000. Use the total weighted composite Adjustment Factor in this bid evaluation.

Bid #1 = 0.9921

Bid #2 = 1.0201 (this bidder is a certified Small Business)

Multiply the total weighted composite Adjustment Factors by the Maximum Contract Amount: Bid #1 = 0.9921 (x \$1,000,000 = \$992,100)

Bid #2 = 1.0201 (x \$1,000,000 = \$1,020,100)

Take 5% of Bid #1, or \$49,605, and subtract that amount from Bid #2 to get \$970,495. Award to Bid #2 at 1.0201.

Even if a Small Business is the low bidder, the Trustees will calculate the Small Business Preference for any and all bidders, except when to do so would displace a Small Business with a Non-Small Business or Business that does not qualify as either Small Business or Non-Small Business (Neither). No combination of the Small Business Enterprise (SBE) Preference and/or Disabled Veteran Business Enterprise (DVBE) Incentive shall result in the displacement of a Small Business for the award, unless by another Small Business. In other words, if by the application of either/both the SBE Preference and DVBE Incentive, a Non-Small Business displaces a Small Business for the award, the SBE Preference and/or DVBE Incentive shall not be applied to the Non-Small Business. Furthermore, if despite the application of both the SBE Preference and DVBE Incentive, any Small Business mathematically cannot become the lowest bidder, then the SBE Preference and DVBE Incentive shall be applied appropriately to the Non-Small Businesses. However, a Non-Small Business cannot be displaced by a Neither Business (neither Small nor Non-Small) by the use of the DVBE Incentive. Lastly, the award is subject to the rest of the provisions in the General Conditions before the Trustees make the final determination on an award.

d. Trustees' Reporting of Small Business Participation

Responsive to direction from the State Legislature, the Trustees are seeking to report increased statewide participation of certified small businesses in contract awards. To this end, the successful Bidder shall inform the Trustees of any contractual arrangements with subcontractors, consultants or suppliers that are certified small businesses.

2.11 Disabled Veteran Business Enterprise Participant Requirement

The Contractor shall comply with rules, regulations, ordinances, and statutes that apply to the California Disabled Veteran Business Enterprise Program as defined in Section 999 of the Military and Veterans Code.

California state law requires that its state agencies achieve three (3) percent participation for disabled veteran business enterprises (DVBE) in state contracts. The Contractor must therefore meet or exceed the three percent (3%) DVBE participation requirement over the full term of the Contract unless a valid DVBE exception is approved by the Trustees. Incentives may only be applied when the Contractor demonstrates verified participation consistent with State policy. Under the Job Order Contracting system it is not feasible to identify potential DVBE

subcontractors at time of bidding since the individual jobs are not known. However, the successful low bidder will be required to meet or exceed the DVBE participation requirement for sum of Job Orders completed within the master agreement. It shall be the responsibility of the contractor to identify the DVBEs that will be utilized on each Job Order during the Price Proposal submission phase and ensure that the cumulative DVBE requirement is met prior to the end of the master agreement term. Failure of the Job Order Contractor to comply with the DVBE requirement may result in sanctions.

In the event the Contractor cannot meet the DVBE requirement on a single Job Order Proposal, the contractor must provide evidence of thorough due diligence in finding and attempting to procure a DVBE contractor or vendor that could have performed Work on the Job Order. The Contractor shall complete and sign a "DVBE Exception Form." Backup or documentation must be attached. The form must include the following detailed information:

- A written description of the unique nature of the Scope of Work and lack of availability of DVBE contractors.
- An explanation of the reason for the exception.

The Contractor must then provide a signed (by a listed delegate of authority) "DVBE Exception Form" to the Campus. Upon receipt of the signed "DVBE Exception Form," the Campus shall then have the form signed by a delegated representative from the Campus. Approval or rejection of the "DVBE Exception Form" is at the sole discretion of Trustees. If the "DVBE Exception Form" is approved, Contractor may deviate from the contractual DVBE incentive only for the listed Job Order Proposal on the "DVBE Exception Form." The approval of the "DVBE Exception Form" cannot be applied across multiple Job Orders nor across any set length of time on a contract, only per Job Order Proposal. The Trustees have the right to reject any and all "DVBE Exception Forms" if the campus determines that it is in the best interest of the Trustees not to proceed with the Job Order.

In accordance with section 999.5 of the Military and Veterans Code, a person or entity that knowingly provides false information shall be subject to a civil penalty for each violation in the minimum amount of two thousand five hundred dollars (\$2,500) and the maximum amount of twenty-five thousand dollars (\$25,000).

a. Incentive

In accordance with Government Code section 14838(f), and Military and Veterans Code sections 999.5(a) and 999.5(d), the Trustees are granting a bid incentive for bid evaluation purposes only to bidders that exceed the three percent DVBE participation requirement. The level of DVBE incentive will correlate to the level of participation; that is, the more DVBE participation proposed, the higher the incentive. The bid incentives are as follows:

DVBE Participation	Incentive
3.00% to 3.99%	None
4.00% to 4.99%	1%
5.00% to 5.99%	2%
6% or more	3%

The DVBE incentive may not exceed \$50,000. When used in combination with the Small Business Preference, the cumulative adjustment amount shall not exceed \$100,000. The application of the DVBE Incentive follows the similar guidelines as the Small Business Preference. Both the Small Business Preference and DVBE Incentive shall be applied under all conditions for all bidders, except when to do so would:

- Displace a Small Business with a Non-Small or Neither Business for the award.
- Displace a Non-Small Business with a Neither Business, when a Small Business, despite the application of both SBE Preference and DVBE Incentive, cannot become the lowest bidder.

Rule: No combination of the application of the SBE Preference and/or DVBE Incentive shall result in the displacement of a Small Business for the award, unless by another Small Business.

Order of Priority of the application of the Small Business Preference and DVBE Incentive. Listed in order of priority, another classification cannot displace the level above by using either/both the SBE Preference and DVBE Incentive. For example, the application of the SBE Preference and/or DVBE Incentive on level 3 business cannot be used to displace a level 2 business.

- Small Business w/DVBE Incentive
- Small Business
- Non-Small Business w/DVBE Incentive
- Non-Small Business
- Uncertified/Neither w/DVE Incentive
- Uncertified/Neither

Trustees shall utilize the Small Business Preference and DVBE Incentive when applicable, in the order of priority of business classifications based on the table above. For example, if classification level 1 (Certified Small Businesses w/DVBE Incentive) cannot become the lowest bidder with the application of both the SBE Preference and DVBE Incentive, then the next level of business classification (level 2) shall be prioritized above the rest. This order of priority shall be followed in sequence.

b. Sanction for Contractor's Failure to Achieve DVBE Incentive for Full Term of Contract

The Trustees may sanction any Contractor who receives the DVBE incentive and does not contract the incentive percentage dollar amount of its net awarded job order amounts to DVBEs. The sanction will be calculated as two times the amount of the bid incentive

received. For example, if the Contractor received a bid incentive of \$49,000, and does not contract the incentive percentage dollar amount of its net job order amounts with DVBEs, then the Trustees will assess an amount to be forfeited by the Contractor of \$98,000.

c. Closeout Documentation

In accordance with section 999.5 of the Military and Veterans Code, upon completion of an awarded contract, the Contractor shall certify to the Trustees all the following in a final DVBE participation summary:

- The total amount of the Job Order
- The name and address of the disabled veteran business enterprises that participated in the performance of the contract and the contract number.
- The amount and percentage of work the Contractor committed to provide to one or more disabled veteran business enterprises under the requirements of the contracts and the amount each disabled veteran business enterprise received from the Contractor.
- The actual DVBE participation dollar amount and percentage of the Job Order.

Contractor shall submit proof that all payments under the contract have been made to DVBEs. Proof shall be in the State approved form "unconditional waivers and release on final payment." The waivers shall indicate the amount of total payment each DVBE received on the project and shall include a notarized signature from the owner or delegated officer of the DVBE.

In accordance with section 999.7 of the Military and Veterans Code, an amount of ten thousand dollars (\$10,000) must be withheld from final payment until the final participation summary and proofs of payment have been received. If the Contractor fails to comply with the certification requirement, after notice, shall be allowed to cure the defect. If after 30 calendar days from the date of notice, the Contractor cannot comply with the certification requirements, the Trustees must permanently deduct ten thousand dollars (\$10,000) from the final payment.

2.12 Experience Modification Rate Requirements

The Experience Modification Rate (EMR) is a critical metric used by the insurance industry to determine workers' compensation insurance premiums. It reflects a company's safety record and claims history relative to other companies in the same industry.

Submission of EMR Documentation: Contractors must submit official documentation of their current EMR, issued by their insurance provider, as part of the prequalification process.

Verification Process: CSU reserves the right to verify EMR documentation directly with the contractor's insurance provider. Any discrepancies found during the verification process may result in disqualification.

Ongoing Compliance: Any significant changes to the EMR must be reported to CSU immediately.

Periodic Audits: CSU may conduct periodic audits to ensure continued compliance with the EMR requirement.

3.00 AWARD AND EXECUTION OF CONTRACT

3.01 Award of Contract

If the University deems the acceptance of the lowest responsible bid or bids is not in the best interests of the State, the University may reject all bids (Public Contract Code section 10785). If the Trustees accept the bid and award the Contract, the Trustees' award shall be to the lowest responsible bidder whose proposal complies with all the requirements prescribed (Public Contract Code section 10780). Such award shall be made within 60 Days after the opening of the proposals.

If the lowest responsible bidder refuses or fails to execute the Contract, or perform the Contract, or is found to be non-responsible, the University may award the Contract to the second lowest responsible bidder. Such award shall be made within 120 Days after the opening of proposals. The above time periods within which the award of Contract may be made are subject to such no-cost extensions as may be agreed upon in writing between the University and the bidder concerned (Public Contract Code section 10782).

3.02 JOC Contractor Agreement Notice to Proceed and Contract Period

To allow the contractor to perform as much work as possible within the master contract duration, the one (1) year contract period shall commence on the date of the first Notice to Proceed (NTP) issued by the University, or six (6) months from the date of final contract execution, whichever occurs first. The contract execution date shall be the date of the last required signature on the Agreement. The Agreement duration shall end one (1) year after the date specified on the Contract Agreement NTP or eighteen (18) months after the Agreement is executed, whichever is sooner. Job orders authorized prior to contract expiration and any supplemental job orders required to complete the work as needed to be used for its intended purpose may continue through completion.

3.03 Return of Bidder's Security

The Trustees may withhold the bidder's security of the second lowest responsible bidder until the Contract has been finally executed. The Trustees shall return to all other unsuccessful Bidders the cashier's checks and certified checks submitted by them within ten (10) Days after the Contract is awarded, and their bidder's bonds shall be of no further effect (Public Contract Code section 10784).

3.04 Contract Bonds

The successful bidder shall furnish for each counterpart signed, two surety bonds in the form prescribed by the University. Each bond shall be in an amount equal to 100 percent of the awarded Maximum Contract Amount and executed by an admitted surety insurer licensed in the State of California and listed in the latest published United States Treasury Department list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies." Reference the following websites:

- State of California Department of Insurance at: <https://www.insurance.ca.gov/>,
- US Treasury listing at: <https://www.fiscal.treasury.gov/surety-bonds/circular-570.html>.

One of the surety bonds shall guarantee faithful performance of the Contract by the Contractor and the other shall secure payment of laborers, mechanics, or materialmen employed on the Project. Such bonds are subject to the approval of the Trustees. Contract bonds shall remain in full force and effect during the term of the Contract including the one-year guarantee period (Public Contract Code sections 10821-10824).

The Trustees will accept Riders on original performance and payment bonds provided at award. However, this Rider can only be submitted for a Contract Change Order and the acceptance of the Rider is at the sole discretion of the Trustees. Submission of a Rider, in lieu of new performance and payment bonds for a change order, must first be approved by the Trustees. Modifications of any kind on original performance bonds and The University shall make all alterations, extensions of time, extra and additional Work, and other changes authorized by any part of the Contract, including determinations made under [Article 08.01, Claims](#), without securing the consent of the surety or sureties on the Contract bonds. If the original Contract is amended during the term to increase the awarded Maximum Contract Amount, the Contractor shall furnish additional or revised performance and payment surety bonds, so that each such performance and payment bond equals 100% of the increased Maximum Contract Amount.

Whenever the Trustees have cause to believe that the surety has become insufficient, the Trustees may demand in writing that the Contractor provide such further bonds or additional surety, as in the Trustees' opinion is necessary, considering the extent of the Work added or remaining to be done. Thereafter the University shall make no payment to the Contractor or any assignee of the Contractor until the further bonds or additional surety has been furnished (Public Contract Code section 10825). To address the sufficiency of the surety, the Trustees will accept a Rider to both bonds that will increase the Contract Amount, but such Rider shall not change any other Contract terms and conditions.

3.05 Execution of Contract

The successful Bidder shall sign each Contract counterpart and return the Contract counterparts to the University together with the Contract bonds and certification, along with other requisite documentation such as certificates evidencing the required insurance coverage (reference Article 4.06, Contractor Insurance), within ten (10) Business Days of receipt from the University. Reference the following Article 03.06 for failure of successful Bidder to execute the Contract timely. If the successful Bidder is a joint venture, then the joint venture shall submit with the Contract certification form a formal resolution designating the person authorized to sign on behalf of the joint venture. No contract shall be binding upon the Trustees until it has been executed by the Contractor and the University and approved by the Trustees' attorney (in the Office of General Counsel) appointed according to law and authorized to represent the Trustees (Public Contract Code section 10820). After the Trustees' attorney has fully executed the Contract, the University will issue a Notice to Proceed for the overall Contract, documenting the overall Contract start and completion. The University may then contact the Contractor to begin joint scoping of potential projects. The University will issue individual Notices to Proceed for each discrete Job Order. The Contractor may not begin Work before receiving the Trustees' written Notice to Proceed. Any Work performed by the Contractor before receipt of the written Notice to Proceed shall be considered as having been done at the Contractor's own risk.

3.06 Failure of Refusal to Execute Contract

Failure or refusal by the Bidder to execute the Contract within the time set in Article 03.05, Execution of Contract, shall be just cause for the Trustees' rescission of the award and the forfeiture of the bidder's security. Failure or refusal by the Bidder to file acceptable bonds within the time set in Article 03.04 constitutes a failure or refusal to execute the Contract. If the successful Bidder fails or refuses to execute the Contract, the University may award the Contract as set forth in Article 03.01, Award of Contract. On the failure or refusal of the first, second, or third lowest responsible Bidder to execute the Contract, Bidder's security in each case shall be forfeited (Public Contract Code sections 10781-10783).

4.00 CONDUCT OF WORK

4.01 Laws to be Observed – Generally

a. State and Federal Laws

The Contractor shall observe all state and federal laws that affect the Work under this Contract. The Contractor shall hold harmless, defend and indemnify the University against any claim arising from the violation of any law, whether by itself or its agents, employees or subcontractors. If a conflict arises between the provisions of this Contract and a law, the Contractor shall immediately notify the University in writing. 'Law' as used in this paragraph includes statutes and regulations adopted pursuant to statute, as well as executive orders, authoritative interpretations and other rules and directives issued by legally constituted authority. In executing this Contract, the Contractor swears, under penalty of perjury, that no more than one final, unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two-year period because of the Contractor's failure to comply with an order of a federal court which directs the Contractor to comply with an order of the National Labor Relations Board. The University may rescind this Contract if Contractor falsely swears to this statement (Public Contract Code section 10296).

b. Child and Family Support Obligations

The Contractor acknowledges State of California policy regarding the importance of child and family support obligations expressed in Public Contract Code section 7110(a). The Contractor acknowledges that to the best of its knowledge, it is fully complying with the earning assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the State's Employment Development Department.

c. Audit Provisions

The contracting parties shall be subject to examination and audit by both the Trustees (or designee) of The California State University and the State Auditor of the State of California at any time during construction and for a period of three years after final payment of the Contract. Such examination and audit shall include access to the Contractor and the subcontractor records as delineated in the following:

The Contractor's records which shall include but not be limited to accounting records (hard copy, as well as computer readable data if it can be made available), written policies and procedures; subcontract files (including proposals of successful and unsuccessful bidders, bid recaps, etc.); original estimates; estimating work sheets; correspondence; supplemental job order files (including documentation covering negotiated settlements); back charge logs and supporting documentation; general ledger entries detailing cash and trade discounts earned, insurance rebates and dividends; and any other supporting evidence deemed necessary by the Trustees/Auditor General to substantiate charges related to this Contract (all foregoing hereinafter referred to as 'records') and shall be open to inspection and subject to audit and/or reproduction to adequately permit evaluation and verification of (a) the Contractor's compliance with Contract requirements and (b) compliance with provisions for pricing change orders, payments or claims submitted by the Contractor or any of its payees. The Contractor is required to have as part of the records the following reports: a detailed cost ledger reflecting total charges against the Project which present an itemization by invoice and labor costs by cost codes; a summary report identifying total Project costs by cost codes; and a subcontractor history report including each subcontract amount and supplemental job orders issued thereto.

d. Building Codes

The Contractor's Work under this Contract shall comply with all applicable building codes pertaining to the individual Project Sites. As a minimum, compliance with Title 24 will apply.

The Construction Documents and resulting construction shall comply with all laws, ordinances rules and regulations of the State of California, including:

- The California Building Standards Code (CBC), in Title 24 of the California Code of Regulations, as adopted and published by the California Building Standards Commission
- Compliance with the Department of State Architect, Access Compliance Unit's accessibility regulations in the California Code of Regulations Title 24 (Parts 2 and 3); 2010 federal ADA standards for accessible design; standards for state and local government facilities – Title II (where more restrictive than CBC).
- Compliance with the Office of Fire Safety (OFS), fire and life safety regulations, including the most recent editions of NFPA, ASTM, and other referenced standards.
- Compliance with the California State University Seismic Review Board seismic project peer review comments.
- Other agencies (as may apply) elevators and escalators, Division of Occupational Safety & Health (DOSH), county health department (food and aquatics).
- Codes and specifications incorporated by reference shall be those of the latest edition at the time of receiving proposals, unless otherwise specified. Reference Article 34.08, Standard Specifications.

e. Personal Responsibility and Work Opportunity Reconciliation Act of 1996

If the Contractor is a natural person, the Contractor certifies in accepting this Contract that she/he is a citizen or national of the United States or otherwise qualified to receive public benefits under the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193; 110 Stat. 2105, 2268-69).

f. Declaration of Eligibility to Contract with the State

If the Contractor is a corporation, the Contractor certifies and declares by signing the Agreement that it is eligible to contract with the State of California pursuant to the California Taxpayer and Shareholder Protection Act of 2003 (Public Contract Code section 10286 et seq.).

4.02 Laws to be Observed – Regarding Labor

a. Prevailing Wage

All Work under this Contract is public works, (reference definition of public works, Labor Code section 1720 et seq.) and must be performed in accordance with the requirements of Labor Code sections 1720 to 1815 and Title 8 California Code of Regulations sections 16000 to 17270, which govern the payment of prevailing wage rates on public works projects. The Projects under this Contract are subject to compliance monitoring and enforcement by the Department of Industrial Relations (DIR). Contractor and all subcontractors must comply with all applicable laws and regulations, and perform all obligations required by the DIR pursuant to such authority.

The effective prevailing wage rate for this agreement is the determination that is in effect at the time the job order Master Enabling Agreement was first advertised by the University as indicated in the Notice to Bidders or similar advertisement.

The prevailing wage rates set forth are the minimum that must be paid by the Contractor on a public works contract. Nothing herein contained shall be construed as preventing the Contractor from paying more than the minimum rates set forth. If a worker employed by a subcontractor on a public works project is not paid the general prevailing per diem wages by the subcontractor, the Contractor is liable for any penalties under section 1775(a), if the Contractor fails to comply with the requirements of section 1775(b). Contractor shall periodically review and monitor all subcontractors' certified payroll records. If Contractor learns that any subcontractor has failed to comply with the prevailing wage requirements herein, Contractor shall take corrective action.

Contractor represents and warrants that the Contract Amount includes sufficient funds to allow Contractor and all subcontractors to comply with all applicable laws and contractual agreements. Contractor shall defend, indemnify and hold the Trustees of the California State University, the University, its officers, employees and agents harmless from and against any and all claims, demands, losses, liabilities, and damages arising out of or relating to the failure of Contractor or any subcontractor to comply with any applicable law in this regard, including, but not limited to, Labor Code section 2810. Contractor agrees to pay any and all assessments, including wages, penalties and liquidated damages (those liquidated damages pursuant to Labor Code section 1742.1) made against the Trustees in relation to such failure.

(1) Wage Responsibility

The Contractor shall be responsible for ensuring that all workers of every tier engaged in the performance of Work under this Contract are paid the wages and benefits required by law.

(2) Pension and Benefit Credit Documentation

The Contractor and all Subcontractors shall maintain records supporting any fringe-benefit contributions claimed toward prevailing-wage obligations. Documentation shall show that credits are properly calculated, immediately vested, and eligible for credit under applicable law, and shall be provided to the Trustees or Labor Compliance Program upon request. Failure to provide adequate proof may result in denial of credit for such payments.

(3) Hours of Labor

Eight (8) hours of labor constitutes a legal day's work. The Contractor or any subcontractor shall forfeit, as a penalty to State, \$25.00 for each worker employed in the execution of the Contract by the Contractor or any subcontractor, for each Day during which the worker is required or permitted to work more than eight hours in any one Day and forty hours in any one calendar week, in violation of the provisions of the Labor Code sections 1810 to 1814, thereof, inclusive. Notwithstanding the provisions of Labor Code sections 1810 to 1814, Work performed by employees of the Contractor or any subcontractor in the execution of the Contract in excess of eight hours per Day, and forty hours during any one week, shall be permitted upon compensation for all hours worked in excess of eight hours per Day at not less than one and one-half times the basic rate of pay as provided in Labor Code section 1815.

If it becomes necessary to employ crafts other than those listed, the Contractor shall notify the Trustees immediately, and the Trustees will ascertain additional prevailing rates and the rates thus determined shall be applicable as minimum from time of initial employment.

(4) Pursuant to Labor Code section 1770, the Director of the DIR has ascertained the general prevailing rate of per diem wages and the general prevailing rate for legal holiday and overtime Work for each craft needed in execution of the Contract as set forth in the Notice to Contractors. Contractor shall post a schedule showing all applicable prevailing wage rates at appropriate and conspicuous locations on the Project Site in accordance with Labor Code section 1773.2. The Trustees shall maintain copies of the prevailing rate of per diem wages and shall make them available to any interested party upon request. Contractor shall also post jobsite notices as required by the DIR pursuant to Labor Code section 1771.4 (a) (2) and applicable regulations.

(5) The Contractor and any subcontractor under subcontract to the Contractor on the Project shall comply with Labor Code section 1775, and the Contractor shall include provisions in its Contract with its subcontractors that will require

- compliance with Labor Code section 1775. As required by section 1775(b) the Contractor shall include a copy of the provisions of sections 1771, 1775, 1776, 1777.5, 1813, and 1815 in the Contract between the Contractor and the subcontractor. The Contractor shall monitor its subcontractors' compliance with the prevailing wage law as required by section 1775(b). In accordance with section 1775, the Contractor and any subcontractor under the Contractor shall forfeit as a penalty to the State not more than \$200 for each Day or portion thereof, for each worker paid less than the prevailing wage rates for the work or craft in which the worker is employed for any public work done under the Contract by it or, except as provided in section 1775(b), by any subcontractor under it. In addition to this penalty, the Contractor or subcontractor shall pay each worker the difference between the prevailing wage rates and the amount paid to each worker for each Day or portion thereof for which each worker was paid less than the prevailing wage rate.
- (6) In accordance with Labor Code section 1776, the Contractor and subcontractors shall keep an accurate payroll record on forms provided by the Division of Labor Standards Enforcement (or shall contain the same information as the forms provided by the division). The payroll records may consist of printouts of payroll data that are maintained as computer records, if the printouts contain the same information as the forms provided by the division, and the printouts are verified in the manner specified herein. Payroll records shall show the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and actual per diem wages paid to each journeyman, apprentice or worker employed in connection with the public work. Each payroll record shall contain verification by written declaration under penalty of perjury that the information contained in the payroll record is true and correct and that the Contractor and subcontractors have complied with the requirements of Labor Code sections 1771, 1776, 1777.5, 1811 and 1815 for any work performed by its employees on the Project.
- (a) The Contractor and all subcontractors must furnish payroll records to the Labor Commissioner at least monthly and in a format prescribed by the Labor Commissioner, as required by Labor Code section 1776.
- (b) The Contractor's and subcontractors' certified payroll records shall be available for inspection at all reasonable hours or certified copies furnished upon request to the following requesting parties:
- the employee or his or her authorized representative, or his or her authorized representative,
 - the Trustees, the Division of Labor Standards Enforcement (DLSE), the Division of Apprenticeship Standards (DAS),
 - the public: however, a request by the public shall be made through the Trustees or the DLSE or DAS. If the requested payroll records have not been provided pursuant to the paragraph above, the Contractor shall collect from the requesting party the costs of preparation by the

contractor, subcontractors, and the Trustees. The public may not be given access to the records at the principal office of the Contractor.

- (c) Records made available for inspection as copies and furnished upon request to the public or any public agency by the Trustees or the DLSE or the DAS shall be marked or obliterated to prevent disclosure of an individual's name, address, and social security number. The name and address of the Contractor or subcontractor awarded the contract or subcontractor performing the contract shall not be marked or obliterated.
- (d) Any copy of records made available for inspection by, or furnished to, a multiemployer Taft-Hartley trust fund (29 U.S.C. Sec. 186(c)(5)) that requests the records for the purposes of allocating contributions to participants shall be marked or obliterated only to prevent disclosure of an individual's full social security number but shall provide the last four digits of the social security number.
- (e) Any copy of records made available for inspection by, or furnished to, a joint labor-management committee established pursuant to the federal Labor Management Cooperation Act of 1978 (29 U.S.C. Sec. 75a) shall be marked or obliterated only to prevent disclosure of an individual's social security number.
- (f) Any copy of records made available for inspection by, or furnished to, agencies that are included in the Joint Enforcement Strike Force on the Underground Economy established pursuant to section 329 of the Unemployment Insurance Code, and other law enforcement agencies investigating violations of law shall, upon request, be provided nonredacted copies of certified payroll records.
- (g) Any copies of records or certified payroll made available for inspection and furnished upon request to the public by an agency included in the Joint Enforcement Strike Force on the Underground Economy or to a law enforcement agency investigating a violation of law shall be marked or redacted to prevent disclosure of an individual's name, address, and social security number.
- (h) The Contractor or subcontractor shall file a certified copy of the payroll records with the requesting entity within 10 Days after receipt of a written request. In the event the Contractor or subcontractor fails to comply within the 10-Day period, the Contractor or subcontractor shall, as penalty to the state or Trustees, forfeit one hundred dollars (\$100) for each Day, or portion thereof, for each worker, until strict compliance is effectuated. Upon the request of the DLSE or the DAS, these penalties shall be withheld from progress payments then due. The Contractor is not subject to a penalty assessment pursuant to this section due to the failure of a subcontractor to comply with this section. A contractor or subcontractor may be subject

to debarment by the Labor Commissioner for failure to submit certified payrolls timely.

- (7) The Contractor is required to submit to the Trustees a minimum of the first week's certified payroll for its workers and all subcontractors on each job order. Additional weeks of certified payroll records may be required at the discretion of the University.
- (8) When requested by the Trustees or an authorized agency, the Contractor shall obtain certified payroll records from any Subcontractor and provide them to the Trustees within ten (10) days of receiving notice.
- (9) Consistent with Public Contract Code section 6109, the Contractor is prohibited from performing a portion of Work with a subcontractor who is debarred pursuant to Labor Code section 1777.1 or 1777.7.
- (10) Apprentices

If the Contractor or any subcontractor employs workers on the Project in any apprentice able craft, it may apply to any apprenticeship program in the craft in the area of the Work for a certificate approving the Contractor or subcontractor for the employment and training of apprentices. The Contractor or subcontractor shall employ the number of apprentices or the ratio of apprentices to journeymen specified in the certificate unless the conditions set out in Labor Code section 1777.5 excuse it from this requirement.

Every apprentice shall be paid the standard wage paid to apprentices under the regulations of the craft or trade in which they are employed and shall be employed only in the Work of the craft or trade to which they are indentured. The employment and training of each apprentice shall be in accordance with the provisions of the apprenticeship agreements under which a person is training.

The Contractor or subcontractor employing journeymen or apprentices in any apprentice able craft or trade shall contribute to the fund or funds set up in the area of Work to administer the apprenticeship program in each trade in which it employs such journeymen or apprentices in the same amount and manner as the contributing contractors.

Special attention is directed to Labor Code sections 1777.5, 1777.6 and 1777.7, and California Code of Regulations, Title 8, section 200 et seq. Each Contractor and subcontractor must, before commencement of Work under this Contract, contact the Division of Apprenticeship Standards, 455 Golden Gate, 8th Floor, San Francisco, California, 94102, or one of its branch offices to ensure compliance and understanding of the law regarding apprentices and specifically the required ratio thereunder. Reference the following Division of Apprenticeship Standards website for contacts and other pertinent apprenticeship information, https://www.dir.ca.gov/das/das_contactUS.html. Responsibility for compliance with this section lies with the prime Contractor. Contractor's or subcontractor's

failure to comply with Labor Code section 1777.5 may result in penalties or debarment pursuant to Labor Code section 1777.7.

b. Nondiscrimination

During the performance of this Contract, the recipient, Contractor, and its subcontractors shall not deny the Contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. The contractor shall ensure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. "Recipient" means any contractor, local agency, or person, who regularly employs five or more persons, and who receives State support, as defined in this Section, in an amount in excess of \$10,000 in the aggregate per State fiscal year or in an amount in excess of \$1,000 per transaction. For more information, reference California Code of Regulations, Title 2, section 11150.

The contractor shall comply with the following:

- the provisions of the Fair Employment and Housing Act, Government Code. section [12900 et seq.](#))
- the regulations promulgated thereunder (California Code of Regulations, Title 2, section [11000 et seq.](#)),
- the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code, sections [11135-11139.8](#)), and
- the regulations or standards adopted by the Trustees to implement such article.

Contractor or Recipient shall permit access by representatives of the Department of Fair Employment and Housing and the Trustees upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Trustees shall require to ascertain compliance with this clause.

Recipient, Contractor and its subcontractors shall given written notice of their obligation under this clause to labor organizations with which they have a collective bargaining or other agreement.

The Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the contract.

c. Worker's Compensation

The Contractor shall be required to secure payment of workers' Compensation to its employees in accordance with Labor Code section 3700 and shall file with the University prior to performing the Work the certification required in Labor Code section 1861.

d. Education, Counseling and Training Programs

All educational, counseling and vocational guidance programs and all apprenticeship and on-the-job training programs, under this Contract, shall be open to all qualified persons, without regard to race, sex, color, religion, national origin or ancestry. Such programs shall be conducted to encourage the fullest development of the interests, skills, aptitudes, and capacities of all students and trainees, with special attention to the problems of culturally deprived, educationally handicapped, or economically disadvantaged persons. Expansion of training opportunities under these programs shall also be encouraged with a view toward involving larger numbers of participants from these segments of the labor force where the need for upgrading levels of skills is the greatest.

e. Occupational Safety and Health

The Contractor shall comply with all the provisions of the Federal Occupational Safety and Health Act of 1970 (29 U.S.C. section 651 et seq.) and all rules, regulations, and orders adopted pursuant thereto. The Contractor shall comply with all the provisions of the California Occupational Safety and Health Act of 1973 (Labor Code section 6300 et seq.) and all rules, regulations and orders adopted pursuant thereto. These laws provide job safety and health protection for workers.

The Contractor shall obtain copies of such safety orders as are applicable to the type of work to be performed and shall be governed by their requirements in all construction operations. The Contractor shall fully inform each subcontractor and materials supplier as to the requirements of the applicable safety orders.

f. Assignment of Rights Relating to Federal and State Anti-Trust Actions

The Contractor and all subcontractors shall be bound by the provisions of Public Contract Code section 7103.5 as follows: in entering into a public works contract or a subcontract to supply goods, services, or materials pursuant to a public works contract, the Contractor or subcontractor offers and agrees to assign to the Trustees all rights, title, and interest in and to all causes of action it may have under section 4 of the Clayton Act (15 U.S.C. section 15) or under the Cartwright Act (Chapter 2, (commencing with section 16700) or Part 2 of Division 7 of the California Business and Professions Code), arising from purchases of goods, services, or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time the Trustees tender final payment to the Contractor, without further acknowledgment by the parties.

4.03 Environmental Requirements

Mitigation Monitoring and Reporting Programs (MMRP), when included in California Environmental Quality Act (CEQA) documentation, provide a description of required mitigation measures associated with California State University capital projects. The Contractor shall implement those mitigation measures in the MMRP for which the Contractor has been designated the responsible party. In addition, the Contractor shall comply with the following environmental requirements.

a. Air Pollution Control

The Contractor shall comply with all air pollution control rules, regulations, ordinances and statutes which apply to the Work performed under the Contract, including any air pollution control rules, regulations, ordinances and statutes adopted under the authority of Government Code section 11017. Contractor must be eligible to perform work for the State and is deemed eligible if not found to be in violation of any order, resolution, or regulation relating to air or water pollution adopted in accordance with Government Code section 4477.

(1) Solvents

In the absence of any applicable air pollution control rules, regulations, ordinances or statutes governing solvents, the Contractor shall ensure that all solvents, including but not limited to the solvent portions of paints, thinners, curing compounds, and liquid asphalt used on the Project, comply with the applicable material requirements of the Air Quality Management District (AQMD). All containers of solvent, paint, thinner, curing compound or liquid asphalt shall be labeled to indicate that the contents fully comply with these requirements.

(2) Disposal of Material

Unless otherwise provided in the special provisions, material to be disposed of shall not be burned either inside or outside the premises.

(3) Fugitive Dust

A regular watering program shall be initiated to adequately control the amount of fugitive dust in accordance with applicable AQMD rules. Exposed soil surfaces shall be sprayed with water at least daily and as needed to mitigate dust.

(4) Construction Vehicles and Equipment

Trucks hauling dirt from the Site shall be covered in accordance with applicable state and local requirements. To reduce exhaust emissions, unnecessary idling of construction vehicles and equipment shall be avoided.

Construction equipment shall be fitted with modern emission control devices and shall be kept in proper tune.

b. Water Pollution Control

The Contractor shall have design-build responsibilities to comply with all water pollution control rules, regulations, ordinances and statutes that apply to the Work performed under the Contract, including the California General Permit (NPDES) 2009-009-DWQ for Storm Water Discharges Associated with Construction Activities issued by the California State Water Resources Control Board (SWRCB) and as modified by order 2010-0014-DWQ, also issued by the SWRCB.

(1) Storm Water Pollution Prevention Plan

Contractor shall develop and implement a Storm Water Pollution Prevention Plan (SWPPP) that complies with the State of California Construction General Permit

for Storm Water Discharges. The Contractor shall contract for, or have on payroll, a California Certified Qualified SWPPP Developer (QSD). The Contractor shall be responsible for hiring or contracting for the services of a California certified Qualified SWPPP Practitioner (QSP).

Contractor shall be responsible for development and implementation of SWPPP when required. [Reference Specifications for additional requirements.]

(2) Compliance

The Contractor shall comply with the California General Permit for Waste Discharge Requirements for Storm Water Discharges from Small Municipal Separate Storm Sewer Systems (MS4s), Order Number 2013-0001- DWQ. The Contractor shall comply with the University's Post Construction Storm Water Management Program requirements.

Post Construction Storm Water Management Program Best Management Program Practice (BMP) details shall be designed by a competent individual licensed to practice as a Civil Engineer in California.

(3) Maintenance Manual for Post-Construction BMPs

The Contractor shall incorporate into the Project a maintenance program for post-construction BMPs that will be permanent components of the completed project. The maintenance program shall be delivered in a bound manual. The manual shall meet the requirements described in the California Stormwater Quality Association's (<https://www.casqa.org/>) New Development & Redevelopment BMP Handbook.

c. Sound Control Requirements

The Contractor shall comply with all sound control and noise level rules, regulations and ordinances that apply to the Work. In the absence of any such rules, regulations and ordinances, the Contractor shall conduct its Work to minimize disruption to others due to sound and noise from the workers, and shall be responsive to the University's requests to reduce noise levels.

The Contractor shall not cause or allow sounds to be produced in excess of 65 decibels measured at the jobsite between the hours of 7:00 p.m. and 7:00 a.m. The Contractor shall not cause or allow sounds to be produced in excess of 85 decibels measured at the jobsite between the hours of 7:00 a.m. and 7:00 p.m. without the consent of the University.

Each internal combustion engine, used for any purpose on the Project or related to the Project, shall be equipped with a muffler of a type recommended by the manufacturer. No internal combustion engine shall be operated on the Project without a muffler.

Loading and unloading of construction materials will be scheduled so as to minimize disruptions to university activities. Construction activities will be scheduled to minimize disruption to the University and to University users.

d. Fire Protection and Defensible Space Compliance

Contractor shall comply with all the applicable state and local fire-protection and defensible-space regulations adopted pursuant to Public Resources Code section 4291. Where a local fire-protection agency has established, by ordinance, defensible-space requirements or approved alternative practices, the Contractor shall implement those measures in coordination with the University's Office of Fire Safety (OFS) and the Campus Deputy Building Official. Defensible-space measures may include vegetation clearance, spacing, and maintenance standards around structures or project areas. Compliance with such local ordinances shall supplement, and not replace, requirements of the California Fire Code and CSU fire-safety standards.

e. Environmental Clearances

The Contractor shall provide state and federal agencies all information necessary for environmental clearances and other authorizations necessary for this Project. The Contractor shall comply with the provisions, including giving notices during construction when so required. The University shall not compensate the Contractor for the delays in obtaining environmental clearances and authorizations. However, the University will grant an appropriate extension of time in accordance with the provisions in [Article 04.14](#) , University's Adjustment of Job Order Completion Time, if the Contractor demonstrates to the satisfaction of the University that it has made every reasonable effort to obtain the requisite clearance or authorizations, and cannot obtain it in a timely manner.

f. Sources of Aggregates

The Public Contract Code section 10295.5 requires that no State agency shall purchase or utilize sand, gravel, aggregates, or other minerals unless the source is on an eligible list identifying operations that have met certain requirements of the Surface Mining and Reclamation Act of 1975 (Public Resources Code section 2710 et seq.). Accordingly, the Contractor shall submit to the University documentation that it is complying with the requirements of this law in purchasing these materials.

g. Archaeological Finds

If the Contractor discovers any artifacts during excavation and/or construction, the Contractor shall stop all affected Work and notify the Trustees immediately, who will call in a qualified archaeologist to assess the discovery and suggest further mitigation, as necessary. Reference the [California Archaeological Inventory](#).

If the Contractor discovers human remains, the Contractor shall notify the University who will be responsible for contacting the county coroner and a qualified archaeologist. If the remains are determined to be Native American, the University shall contact the appropriate tribal representatives to oversee removal of the remains.

h. Integrated Waste Management

Pursuant to the State Agency Integrated Waste Management Plan (Public Resources Code, Division 30, Part 3, Chapter 18.5), the California State University shall divert 50% of all solid waste generated in construction activities from landfill disposal or

transformation facilities through source reduction, recycling and composting. Contractor shall report all source reduction, recycling and composting relative to this Contract to the Trustees. Reference to Contract Documents for further requirements.

i. Global Warming Potential Limits and Environmental Product Declarations

Contractor shall comply with Title 24 Part 11 (CalGreen) and the Buy Clean California Act (BCCA) (Public Contract Code Sections 3500-3505) which targets the carbon emissions associated with the production of various construction materials. Contractor is responsible for all delays related to rejected submittals, failed inspections, and/or rework related to a failure to identify or follow these requirements.

4.04 Substitution of Subcontractors

The Contractor shall not substitute any subcontractor in place of a subcontractor listed in its Job Order Price Proposal except as authorized in the Subletting and Subcontracting Fair Practices Act (Public Contract Code section 4100 et seq.).

a. Bond requirements

It is the Trustees' interpretation of section 4108 of the Public Contract Code that the Contractor clearly advertises the specific bond requirements for the Project, including the requirement of a bond, the kind of a bond, and the amount of the bond, in order to be eligible to substitute a subcontractor under section 4107(a)(4) of the Public Contract Code.

b. Substitution of a Small Business Subcontractor

After award of the Contract based in part on the application of the small business preference, the Non-Small Business Contractor shall use the small business subcontractor(s) and/or suppliers listed in its Job Order Price Proposal unless a substitution is requested in writing to the Trustees, and the Trustees approve the substitution in writing before the commencement of any Work. The substitution request must include at least the following:

- An explanation of the reason for the substitution,
- The Contractor must substitute a small business with another small business. If the small business substitution cannot occur, the Contractor must include a written justification and the steps taken to try to acquire a new small business subcontractor and how that portion of the Contract will be fulfilled.
- A description of the Work to be performed, identified both as a task(s) and as a dollar amount or percentage of the overall Job Order that the substituted business will perform. The substituted business(es), if approved, shall be required to perform a commercially useful function in the Contract pursuant to California Code of Regulations section 1896.6.

Any substitution of subcontractors shall be performed in accordance with the Subletting and Subcontracting Fair Practices Act (Public Contract Code section 4100 et seq.). Failure of the Contractor to subcontract with the small business listed on its Job Order Price Proposal or to follow these substitution requirements may be grounds for the

Trustees to notify the Department of General Services to impose sanctions pursuant to Government Code section 14842.5 or Code of Regulations section 1896.16. In the event such sanctions are to be imposed, the Contractor shall be notified in writing and entitled to a hearing pursuant to Code of Regulations sections 1896.18 and 1896.20.

c. Substitution of a Disabled Veteran Business Enterprise

The Contractor shall use the Disabled Veteran Business Enterprise (DVBE) companies listed on the List of Proposed Subcontractors form submitted with its Job Order Price Proposal unless a substitution is requested in writing to the Trustees, and the Trustees and the Department of General Services (DGS) approve the substitution in writing before the commencement of any Work. The substitution request must include at least the following:

An explanation of the reason for the substitution.

- A written description of the business enterprise to be substituted, including its business status as a sole proprietorship, partnership, corporation or other entity, and the DVBE certification status of the firm, if any.
- The Contractor must substitute a DVBE with another DVBE. If the DVBE substitution cannot occur, the Contractor must include a written justification along with the "DVBE Exception Form" and the steps that were taken to try to acquire a new DVBE subcontractor and how that portion of the contract will be fulfilled.
- A description of the Work to be performed identified both as a task(s) and as a dollar amount or percentage of the overall contract that the substituted business will perform.

The request for substitution of a DVBE and the Trustees' and DGS approval or disapproval cannot be used as an excuse for noncompliance with any other provision of law, including, but not limited to, the Subletting and Subcontracting Fair Practices Act (Sections 4100 et seq., Public Contract Code) or any other contract requirements relating to substitution of subcontractors.

4.05 Delegation of performance and Assignment of Money Earned

The performance of all or any part of this Contract may not be delegated without the written consent of the University. Consent will not be given to any proposed delegation that would relieve the Contractor or its surety of their responsibilities under the Contract.

The Contractor may assign moneys due or to become due under the Contract, only upon written consent of the University. Assignment of moneys earned by the Contractor shall be subject to proper retention in favor of the University and to all deductions provided for in the Contract, and such moneys shall be subject to use by the University for the completion of the Work in the event the Contractor is in default.

4.06 Insurance Requirements

The Contractor shall not commence Work on this Site until it has obtained all the insurance required in this Article, and such insurance has been approved by the Trustees.

a. Policies and Coverage

- (1) The Contractor shall obtain and maintain for the term of the Contract the following policies and coverage:

(a) Comprehensive or Commercial Form General Liability Insurance

On an Occurrence basis, covering Work done or to be done by or on behalf of the Contractor and providing insurance for bodily injury, personal injury, property damage and contractual liability. The aggregate limit shall apply separately to the Work.

(b) Business Automobile Liability Insurance

On an occurrence basis, covering owned, hired and non-owned automobiles used by or on behalf of the Contractor and providing insurance for bodily injury, property damage, and contractual liability. Such insurance shall include coverage for uninsured and underinsured motorists.

(c) Worker's Compensation

Including Employers Liability Insurance as required by law.

- (2) The Contractor also may be required to obtain and maintain the following policies and coverage:

(a) Contractors Pollution Liability

May be required should the Work involve hazardous materials, such as asbestos, lead, fuel storage tanks, and PCBs.

(b) Other Insurance

By agreement between the Trustees and the Contractor.

b. Verification of Coverage

The Contractor shall submit original certificates of insurance and endorsements to the policies of insurance required by the Contract to the Trustees as evidence of the insurance coverage. The scope of coverage and deductible shall be shown on the certificate of insurance. Contractor shall timely file renewal certifications and endorsements for all coverage until the Work is accepted as complete pursuant to [Article 09.01, Acceptance](#). The Trustees reserve the right to require the Contractor to furnish the Trustees complete, certified copies of all required insurance policies.

c. Insurance Provisions

Nothing in these insurance provisions shall be deemed to alter the indemnification provisions in [Article 04.07](#). The insurance policies shall contain, or be endorsed to contain, the following provisions:

- (1) For the General and Automobile Liability Policies, the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents are to be covered as additional insureds.

- (2) For all liability coverages, Workers' Compensation, General and Automobile Liability, required by this section, policies shall contain an endorsement providing a waiver of transfer of rights of recovery against others (waiver of subrogation) as to the State of California, CSU, the Trustees of the California State University, and their officers, employees, representatives, volunteers, and agents.
- (3) For any claims related to the Work, the Contractor's insurance coverage shall be primary insurance as respects the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents. Any insurance or self-insurance maintained by the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall be in excess of the Contractor's insurance and shall not contribute with it.
- (4) Each insurance policy required by this Article shall state that coverage shall not be canceled by either the Contractor or the insurance carrier, except after thirty Days prior written notice by certified mail, return receipt requested, has been given to the Trustees.
- (5) The State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall not by reason of their inclusion as additional insureds incur liability to the insurance carriers for payment of premiums for such insurance.

d. Amounts of Insurance

- (1) Contractor shall furnish evidence of insurance prior to execution of this Contract. The insurance furnished by Contractor under this Article shall provide coverage in amounts not less than the following:

Comprehensive or Commercial Form General Liability Insurance – Limits of Liability

- \$4,000,000 General Aggregate
- \$2,000,000 Each Occurrence – combined single limit for bodily injury and property damage.

- (2) Business Automobile Liability Insurance – Limits of Liability (Each Accident–combined single limit of bodily injury and property damage to include uninsured and underinsured motorist coverage.)

Vehicle Type	Autos or Pick up Trucks (up to one ton)	Dump Trucks or Semi-trucks (Hauling Materials or equipment)
Each Accident	\$2M	\$5M

- (3) Workers Compensation limits as required by law with Employer's Liability limits of \$1,000,000. These requirements and limits are the same for all size contracts.

- (4) For Job Orders involving hazardous materials, the Contractor shall provide additional coverage in amounts not less than the following:

Contractor's Pollution Liability – Limits of Liability

Contract Amount	Minimum Limits – Each Per Occurrence and Aggregate
Under \$1M	\$3M
\$1M to under \$5M	\$5M
\$5M to under \$25M	\$10M
\$25M to under \$100M	\$15M
\$100M +	\$25M - \$50M (Amount to be determined by Trustees)

- Coverage shall include bodily injury, property damage and remediation for new pollution conditions as well as the exacerbation of known and unknown pre-existing pollution conditions.
 - Coverage shall include pollution conditions caused during the course of transportation, mis delivery, illicit abandonment as well as at non-owned disposal sites.
 - Coverage shall include 10 years completed operations coverage.
 - For the Contractor's Pollution Liability Policy, the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers and agents are to be covered as additional insureds.
- (5) In addition to the coverage described herein, Business Automobile Liability Insurance, the Contractor shall obtain for hazardous material transporter services:
- (a) MCS-90 endorsement
 - (b) Sudden & Accidental Pollution endorsement – Limits of Liability*
 - \$2,000,000 Each Occurrence
 - \$2,000,000 General Aggregate

*These requirements and limits are the same for all size contracts. A higher limit on the MCS-90 endorsement required by law must be matched by the Sudden & Accidental Pollution Insurance.

- (6) With the Trustees' approval, the <Contractor> may delegate the responsibility to provide this additional coverage, as described herein above, to its hazardous materials subcontractor. When the Contractor submits the Job Order Price Proposal to the Trustees, the Contractor shall also provide the Trustees with a letter stating that it is requiring its hazardous materials subcontractor to provide this additional coverage, if applicable. The Contractor shall affirm in this letter that

the hazardous materials subcontractor's certificate of insurance shall also adhere to all of the requirements herein: (2) Verification of Coverage and (3) Insurance Provisions. Further, this letter will provide that the subcontractor's certificate of insurance will be provided to the Trustees as soon as the Contractor fully executes its subcontract with the hazardous materials subcontractor, or within 30 Days of the Notice to Proceed, whichever is less.

e. Acceptability of Insurers

Insurers shall be licensed by the State of California to transact insurance and shall hold a current A.M. Best's rating of no less than A:VII, or shall be a carrier otherwise acceptable to the University.

f. Subcontractor's Insurance

Contractor shall ensure that its subcontractors are covered by insurance of the types required by this Article, and that the amount of insurance for each subcontractor is appropriate for that subcontractor's work.

Contractor shall not allow any subcontractor to commence work on its subcontract until the insurance has been obtained.

g. Miscellaneous

- (1) Any deductible under any policy of insurance required in this Article shall be Contractor's liability.
- (2) Acceptance of certificates of insurance by the Trustees shall not limit the Contractor's liability under the contract.
- (3) In the event the Contractor does not comply with these insurance requirements, the Trustees may opt to provide insurance coverage to protect the Trustees. The cost of the insurance shall be paid by the Contractor and, if prompt payment is not received, may be deducted from contract sums otherwise due the Contractor.
- (4) If the Trustees are damaged by the failure of Contractor to provide or maintain the required insurance, the Contractor shall pay the Trustees for all such damages.
- (5) The Contractor's obligations to obtain and maintain all required insurance are nondelegable duties under this contract.
- (6) The Contractor's liability for damages proximately caused by acts of God (as defined in Public Contract Code section 7105) and not involving Contractor negligence shall be limited to five percent of the Job Order Price if the Work damaged is built in accordance with the Contract and applicable building standards.

4.07 Indemnification

Nothing in these indemnification provisions shall be deemed to alter the insurance provisions in [Article 04.06](#).

- a. The Contractor shall hold harmless, defend, and indemnify the State of California, the Board of Trustees of The California State University, the University, and the officers, employees, representatives and agents of each of them, from and against all claims, damages and losses arising out of, resulting from, or relating to:
 - (1) the failure of the Contractor to perform its obligations under the Contract or the performance of its obligation in a willful, reckless, or negligent manner;
 - (2) the inaccuracy of any representation or warranty by the Contractor given in accordance with or contained in the Contract Documents; and
 - (3) and claim of damage or loss by any subcontractor, or supplier, or laborer against the Trustees arising out of any alleged act or omission of the Contractor or any other subcontractor, or anyone directly or indirectly employed by the Contractor or any subcontractor.
- b. The Contractor shall hold harmless, defend, and indemnify the State of California, the Board of Trustees of The California State University, the University, and its officers, employees, representatives and agents from and against all claims, damages and losses arising out of, resulting from, or relating to the negligent acts or omissions, recklessness, or willful misconduct of the Contractor, a subcontractor, or anyone directly or indirectly employed by either of them, or anyone for whose acts either of them may be liable. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity which would otherwise exist as to a party or person described in the following. Such obligation shall, however, apply in proportion to and to the extent that any such losses result from negligent acts or omissions by an employee of the Contractor, a subcontractor, or a person indirectly employed by the Contractor or a subcontractor, or anyone for whose acts may be liable.
- c. In claims against any person or entity indemnified under this Article made by an employee of the Contractor or a subcontractor, or indirectly employed by either of them, or anyone for whose acts either may be liable, the indemnification obligation under this Article shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a subcontractor under workers compensation laws, disability benefit laws, or other laws providing employee benefits.
- d. The indemnification obligations under this Article shall not be limited by any assertion or finding that the person or entity indemnified is liable by reason of a non-delegable duty.
- e. The Contractor shall hold harmless, defend, and indemnify the State of California, the Board of Trustees of The California State University, the University, and its officers, employees, representatives and agents from and against all claims, damages and losses resulting from any claim of damage made by any separate Contractor of the Trustees against the Trustees arising out of any alleged acts or omissions of the Contractor, a subcontractor, anyone directly or indirectly employed by either the Contractor or subcontractor, or anyone for whose acts either the Contractor or subcontractor may be liable.

- f. The Contractor shall hold harmless, defend, and indemnify the separate Contractors of the State of California, the Board of Trustees of the California State University, the University, and its officers, employees, representatives and agents from and against all claims, damages and losses arising out of the negligent acts or omissions, recklessness, or willful misconduct of the Contractor, a subcontractor, anyone directly or indirectly employed by the Contractor or subcontractor, or anyone for whose acts the Contractor or subcontractor may be liable. The Trustees shall cause a reciprocal indemnification provision in favor of the Contractor to be included in its contracts with separate Contractors of the Trustees. Liability for any negligent act or omission or willful misconduct shall be apportioned pursuant to the applicable law of the State of California.

4.08 Contractor's Responsibility for the Work

The Contractor shall be responsible for all Work performed under this Contract, and no subcontractor will be recognized as such. For purposes of assessing responsibility to the Contractor, all persons engaged in the Work shall be considered employees of the Contractor. The Contractor shall give its personal attention to the fulfillment of the Contract and keep all phases of the Work under its control.

The Contractor shall create a report of construction activities occurring each day and include a listing of all subcontractors of all tiers and the numbers of workers for each that are on Site each day, briefly describing the Work the subcontractors are performing. Each subcontractor shall create report of construction activities occurring each day and include a listing of all subcontractors of all tiers and the numbers of workers for each that are on Site each day, briefly describing the Work the subcontractors are performing. Contractor and every subcontractor shall submit these reports to the Trustees' Construction Administrator daily. At the end of the Project, Contractor shall submit to the Trustees' Construction Administrator a complete listing of all subcontractors, suppliers and other businesses that performed Work on the Project.

The University will not arbitrate disputes among subcontractors nor between the Contractor and one or more subcontractor's concerning responsibility for performing any part of the Project.

a. **Quality Control**

The Contractor shall be fully responsible for the quality of materials and workers' skill in the Project. The Contractor shall not rely upon the inspection and testing provided by the University other than those special inspections and tests performed by the University's selected laboratories for which there are written reports.

b. **Peer Review**

Should the Contractor be responsible for any Incidental Engineering and Architectural Services required in connection with a particular Job Order, as defined in section 2.04, Contractor shall be responsible for completing all Peer Reviews deemed required by the Deputy Building Official.

(1) **Plan Review Related Appointments by Trustees**

(a) **Plan Check Service Provider**

The Trustees shall appoint a plan check service provider for the Project from the Trustees' approved list of such firms. The selected service provider will review the Project Plans and Specifications for adherence to applicable codes and standards, providing an assessment of code compliance to the Campus Deputy Building Official. The service provider is solely responsible to the Trustees in the provision of these services.

(b) Seismic Peer Reviewer

Per the Trustees' Seismic Policy, the California State University Seismic Review Board shall appoint a seismic peer reviewer for the Project.

(c) Materials Testing and Inspection Services

The Trustees shall appoint a firm(s) to provide materials testing and inspection services during construction. The testing and inspection services firms are solely responsible to the Trustees for observation of construction, determination of adherence to the Construction Documents (including approved Plans and Specifications) and compliance with the applicable codes and standards.

(2) Plans and Specifications – Miscellaneous Requirements

The Plans and Specifications shall identify the design codes, standards, and requirements used for the development of the plans, including the edition and applicable sections.

The Construction Documents shall include a quality control program and an implementation plan to ensure that the completed Project complies with the approved Project criteria. The design professional-of-record shall specify within the Construction Documents all tests and inspections that are required by the building code and those that are appropriate to achieve compliance with the Contract. Contractor shall retain the design professional-of-record to provide in a professional capacity, timely construction administration services. These services shall include shop drawing review, response to requests for information regarding the Construction Documents, and periodic visits to the Site to observe the quality of the Work.

The final, approved-for-construction set of Construction Documents shall be signed and stamped by the respective California-licensed professionals who prepared the documents, certifying their compliance with codes, standards, practices and regulations. The design professionals-of-record shall retain full responsibility for the design.

(3) Plan Check Review

The Contractor shall submit to the Trustees three copies of the completed Plans and Specifications and two copies each of the structural calculations and soils report, for code review, and shall coordinate, monitor and secure all required review approvals. The Trustees will provide contact information for the individual

review agencies and support in status inquiries for the Project reviews. When submitting these documents, the Contractor must allow sufficient time to conduct the reviews and to correct identified deficiencies before construction. For construction projects with an estimated cost exceeding ten million dollars, the minimum review time for the completed design documents is 31 Days, 21 Days for projects less than ten million dollars. These durations may vary. The contractor shall allocate appropriate additional time for resolution of back check review comments for all reviews.

The Contractor is encouraged to seek guidance and clarification of Project-specific code compliance issues from the respective agencies and/or assigned plan review firm.

The Trustees shall pay plan check fees and seismic peer review fees associated with the Project, either directly or as a reimbursable item.

The Contractor shall incorporate changes, if any, resulting from plan reviews, and/or Campus Deputy Building Official code determinations into the final design without additional cost to the Trustees. Such final drawings and specifications shall be resubmitted to the Trustees for approval.

The final authority for code interpretations shall be as follows for:

- Existing and fire-life safety issues Office of Fire Safety (OFS)
- Access compliance issues, the Division of the State Architect Access Compliance Unit
- All other items, code issues shall be issued by the Campus Deputy Building Official, with the Building Official as the final authority.

(4) Seismic Safety Structural Peer Review

Contractor shall direct its design team to interact with the appointed Seismic Safety Peer Reviewer at the beginning of the design process, as required by Trustees' policy, and continue at regular intervals during the design process and during construction as required. The contractor shall submit one (1) set at each submittal point within the peer review process (Schematic, Preliminary Design, and Construction Documents). Seismic Peer Review comments shall be resolved before the start of construction.

In the event that there are disputes over interpretation of the Trustees' seismic safety policy, the full California State University Seismic Review Board shall make a final determination under the authority of the Building Official.

(5) Access Law Compliance

For all new alteration or remodeling projects, the Trustees must be in compliance with access requirements. Compliance must be certified by the State of California, Department of General Services, and Division of the State Architect. The appointed plan review firm will coordinate the access compliance submittal.

Contractor shall supply one (1) copy of the Construction Documents (structural calculations are not required) for certification of access compliance (Government Code section 4450 et seq.). Contractor shall incorporate modifications required in the Construction Documents without additional cost to the Trustees. Access compliance review and certification can take six to eight weeks or more. The Trustees will consider administrative appeals in the event that Access Compliance review extends beyond eight weeks due to reasons outside the control of Contractor.

(6) Office of Fire Safety (OFS)

Contractor shall direct its design team to interact with the Office of Fire Safety and the appointed OFS Reviewer at the beginning of the design process, as required by Trustees' policy, and continue at regular intervals during construction as required. Contractor shall submit copies of the project design at all phases of completion through final Construction Documents as required (structural calculations are not required) for review and approval by the Office of Fire Safety. Contractor shall incorporate modifications required in the Construction Documents without additional costs to the Trustees. Complex code issues will increase OFS plan review times.

During construction the OFS and the State Fire Marshal will conduct periodic field reviews of the construction. Notwithstanding OFS stamped and approved plans, the OFS and State Fire Marshal have the authority to require revisions or corrections to secure code compliance, based on their field review inspection findings. These corrections, when ordered by the OFS or State Fire Marshal to achieve code compliance, shall be provided by Contractor without additional costs to the Trustees.

(7) Plan Approval by Campus Deputy Building Official

Plan for construction require the express written approval of the responsible Campus Deputy Building Official, who will require resolution of issues from building code, accessibility, OFS and Seismic Peer Review and other agency reviews as may apply, as a prerequisite to the approval of documents for construction.

Contractor shall address all review comments and appropriately reserve Project schedule time for their completion. Delays in meeting the schedule are the responsibility of Contractor, not the Trustees.

Changes, alterations, substitutions, or modifications made to previously stamped and approved Plans during construction that affect code compliance must be approved in writing by the Campus Deputy Building Official.

Contractor shall incorporate without additional cost to the Trustees any changes, alterations, substitutions, or modifications made to the approved Plans that are required during construction to satisfy code requirements, including those not

previously identified in the approved Plans, or to properly implement the approved Plans, or where observed workmanship and/or discovered conditions so require.

As a prerequisite to the Trustees filing the Notice of Completion for the Project, the Campus Deputy Building Official will issue a certificate of completion when satisfied that the approved Plans have been implemented and that all inspection and technical code and standards compliance issues identified during construction have been satisfactorily resolved.

c. Burden for Damage

From the issuance of the Job Order Notice to Proceed until the formal acceptance of each discrete Job Order by the University, the Contractor shall have the charge and care of and shall bear the liability for any damage to the University facilities and materials and equipment for the Job Order.

The Contractor, at its own expense, shall promptly rebuild, repair, restore, and make good all such damage to any portion or to all of the Project and materials therefor before the acceptance of the Project by the University except for such damage as is proximately caused by acts of the federal government or public enemy. In case of suspension of Work from any cause whatever, the Contractor shall be responsible for all materials, and shall properly store them, if necessary, and shall provide suitable drainage and erect temporary structures where necessary.

If the Contractor damages any property belonging to the University, the University, in addition to other remedies available to the University, may retain from the money due to the Contractor an amount sufficient to ensure repair of the damage or an amount to contribute toward repair of the damage.

Neither the State of California, the Trustees of The California State University, the University, nor the officers, employees, representatives, nor agents of each of them shall be responsible for any damage to the Project, and to materials and equipment for the Project.

d. Protection of Facilities

From the University's issuance of the official Notice to Proceed to the Contractor, until the University's formal acceptance of the Job Order, Contractor, shall protect the Site and Work from theft, acts of malicious mischief, vandalism and unauthorized entry. During all hours that the Contractor is not prosecuting Work, Contractor shall furnish such security services as necessary to safeguard materials and equipment in storage on the Project Site, including Work in place or in process of fabrication, against theft, acts of malicious mischief, vandalism and other losses or damages. The Contractor shall be liable for any loss or damage that result from its failure to protect the Site and the Work.

Contractor shall protect adjoining property and nearby buildings, roads, and other facilities and improvements from dust dirt, debris and other nuisances arising out of Contractor's operations or storing practices. Dust shall be controlled by sprinkling or other effective methods acceptable to University. Contractor shall initiate an erosion and sedimentation control program, which includes measures addressing erosion caused by

wind and water and sediment in runoff from Site. Also, Contractor shall initiate a regular watering program to adequately control the amount of fugitive dust in accordance with applicable Air Quality Management District (AQMD) rules (see also Article 04.03, subsections: Air Pollution Control and Water Pollution Control).

d. Safety

The Contractor shall exercise precaution at all times for the protection of persons and their property.

- (1) Contractor shall provide and maintain a daily sign in sheet which includes the name of each individual performing work under the job order, and number of hours worked, signed by the contractor and transmitted to the Campus by 9:00 am for the previous day. Any failure to submit daily construction activity reports along with the requisite subcontractor reports and employee sign in sheets is considered an incident of contractor specific non-performance. Any incident of such specific non-performance is grounds for penalties, including but not limited to, withholding of payment.
- (2) Contractor shall install adequate safety guards and protective devices for all equipment and machinery, whether used in the Work or permanently installed as part of the Project.
- (3) Contractor shall also provide and adequately maintain all proper temporary walks, roads, guards, railings, lights, and warning signs.
- (4) Contract shall comply with all applicable laws relating to safety precautions, including the safety regulations of the California Division of Industrial Safety. Unless the Contractor designates other employees, its superintendent shall have the duty of prevention of accidents. The Contractor shall institute a safety program which includes all trades on the Site.
- (5) Renovation, expansion, or remodel Work of any existing building may expose workers to asbestos and/or lead- containing materials. The Contractor shall comply with all applicable laws addressing such exposure, including the Cal/OSHA Lead in Construction Standards (Title 8, California Code of Regulations, section 1532.1).
- (6) The University may bring to the attention of the Contractor a possible hazardous situation in the field regarding the safety of personnel on the Site. The Contractor shall be responsible for verifying the observance of all local, state, and federal workplace safety guidelines. In no case shall this right to notify the Contractor absolve the Contractor of its responsibility for monitoring safety conditions. Such notification shall not imply that anyone other than the Contractor has assumed any responsibility for field safety operations.
- (7) Contractor shall not use explosives without first obtaining written permission from the University, and then shall use them only with the utmost care and within the limitations set in the written permission, and in accordance with prudence and safety standards required by law. The Trustees prohibit storage of explosives on

the Project Site or University. Powder activated tools are not explosive for purposes of this Article; however, such tools shall only be used in conformance with State safety regulations.

In the event of an accident, the Contractor shall make available to the University copies of its accident report to its insurance carrier. The Contractor shall determine the cause of the accident and immediately correct any equipment, procedure, or condition contributing to the accident.

- (8) Contractor shall submit a copy of their Injury Illness Prevention Program (IIPP) prior to starting any work on campus. The primary purpose of an IIPP is to identify and mitigate workplace hazards, promote employee safety, and ensure compliance with safety regulations. The Key Components of the IIPP shall include the following:
- (a) Responsibility: Clearly outline who is responsible for implementing the program (e.g., managers, supervisors).
 - (b) Compliance: Establish a system to ensure employees comply with safe work practices.
 - (c) Hazard Identification: Include procedures for identifying and evaluating workplace hazards, such as regular inspections.
 - (d) Accident/Exposure Investigation: Outline methods for investigating workplace injuries, illnesses, or exposures to hazardous substances.
 - (e) Hazard Correction: Describe how hazards will be corrected, including timelines and methods.
 - (f) Training and Instruction: Provide safety training for all employees, including new hires and those performing new tasks.
 - (g) Communication: Ensure an open channel for employees to report safety concerns without fear of retaliation.
 - (h) Recordkeeping: Maintain records of hazard assessments, training sessions, and incident reports.
- (9) Contractor shall be required to prepare and to submit for approval by the CSU Office of Fire Safety a construction fire safety plan prior to the commencement of any on-site activities. The key components of the Construction Fire Safety Plan include:
- Emergency contact lists
 - Site plan including emergency access and existing
 - Hot works procedure
 - Improvement plan if any portion of the fire alarm, sprinkler or fire water supply are temporarily impaired or inaccessible.

e. Utilities

If the Contractor discovers utility facilities not identified in the Detailed Scope of Work, the Contractor shall immediately notify the University and the utility involved, in writing, of such discovery. When the Contractor is required by the Detailed Scope of Work to locate, remove or relocate utility facilities not identified in the individual discrete Job Order with reasonable accuracy, it shall be compensated for any reasonable actual added cost incurred by the issuance of a new Job Order. The University shall also compensate the Contractor for the cost of repairing any damage resulting from the discovery of such unidentified utility facility, when such damage does not result from the failure of the Contractor to exercise reasonable care. The Trustees shall base all such compensation to the Contractor, utilizing the pricing procedure described in Article 02.04 (III), Description of the Job Order Contract Agreement. The University or the public utility, where it is the owner of the utility facilities, shall have the sole discretion to perform repairs, or relocation Work or permit the Contractor to do such repairs or relocation Work at a reasonable price, where such Work is required to facilitate the Project. If the Trustees or the owner of the utility fail to provide for removal or relocation of such unidentified utility facilities, the University shall not assess liquidated damages on the Contractor for delay in the completion of the Project.

With the exception of the identification of main or trunk line utility facilities in the Contract Documents, the foregoing provisions of subdivision 1 shall not apply to the presence of existing service laterals or appurtenances. Additionally, the Trustees shall have no obligation to indicate them whenever the presence of such utilities on the Site of the Project can be inferred from the presence of other visible facilities, such as buildings, meters and junction boxes, on or adjacent to the Site of the construction.

Except as expressly provided in subdivisions 1 and 2 above, the Contractor shall be responsible at its own cost for all Work, expense, or special precautions caused by the existence or proximity of utilities encountered at the Site or in the performance of the Project Work. This would include, without limitation, repair of any damage that may result, including any damage resulting from hand or exploratory excavation.

The Trustees caution the Contractor that the utilities encountered at the Site may include communication cables or electrical cables conducting high voltage. When excavating in the vicinity of the ducts enclosing such high voltage cables, the Contractor shall:

- observe special precautions at its own cost, and
- expose all cables nor cause injury to people, and
- erect appropriate warning signs, barricades and safety devices.

Upon completion of Work under each job order, the Contractor shall provide as-built drawings of all utilities encountered and constructed to the University, indicating the size, horizontal location, and vertical location based on the Project benchmark or a stable datum.

f. Hazardous Materials

(1) Asbestos

The Contractor shall not install any asbestos-containing materials or products in any Work be performed under this Contract without the written consent of the University Executive Facilities Officer and University Director of Environmental Health and Safety.

The Contractor shall be responsible for removal and replacement costs should it be determined this provision has been violated; this responsibility shall not be limited in duration by Project completion, the warranty period, or other provisions of this Contract.

(2) Lead

The Contractor shall not install any lead-containing materials or products, including paint, in any Work performed under this Contract without the written consent of the Executive Facilities Officer and the Director of Environmental Health and Safety. The Contractor shall be responsible for removal and replacement costs should it be determined this provision has been violated; this responsibility shall not be limited in duration by Project completion, the warranty period, or other provisions of this Contract.

4.09 Payment by Contractor

In accordance with the Business and Professions Code section 7108.5, and unless otherwise agreed in writing by the parties, the Contractor agrees to promptly pay all subcontractors within seven (7) Days of receipt of each progress payment, the respective amounts allowed Contractor on account of the Work performed by its subcontractors, to the extent of each such subcontractor's interest therein.

4.10 Responsibility to Secure and Pay for Permits, Licenses, Utility Connections, Etc.

The Contractor shall secure all permits and licenses required for any operations required under this Contract and shall pay all costs relating thereto as well as all other fees and charges that are required by the United States, the State, the county, the city, a public utility, telephone company, special district, or quasi-governmental entity. The Contractor is responsible to ascertain the necessity of such permits and licenses in preparing its Job Order Price Proposal and include in its Job Order Price Proposal the cost thereof as well as adjustments for any delays that may occur by securing permits and licenses.

4.11 Patented or Copyrighted Materials

The Contractor shall assume all costs arising from the use of patented or copyrighted materials, equipment, devices, or processes used on or incorporated in the Project. The Contractor agrees to save harmless, defend, and indemnify the State of California, the Trustees of the California State University, the University, and the officers, employees, representatives and agents of each of them from all suits, actions, or claims for, or on account of, the use of any patented or copyrighted materials, equipment, devices, or processes.

4.12 Property Rights in Materials and Equipment

Nothing in the Contract shall be construed as vesting in the Contractor any property right in the materials or equipment after the Job Order is complete. All such materials or equipment shall

become the property of university upon completion of the Job Order, and the Contractor warrants that all such property shall pass to University free and clear of all liens, claims, security interests, or encumbrances.

4.13 Taxes

The Contractor shall pay all levied taxes imposed by law that become payable resulting from the Contractor's performance under this Contract.

4.14 Contract Time

a. Time of the Essence

All time limits specified in this Contract are of the essence of the Contract.

b. Starting and Completion Date

The University shall designate in the Job Order Notice to Proceed with the starting date of each discrete Job Order on which the Contractor shall immediately begin and thereafter diligently prosecute the Work to completion. The Contractor agrees to complete the Work on the date specified for completion of the Contractor's performance in the Job Order unless such time is adjusted, in writing, by the University. The Contractor may complete the Work before the completion date if it will not interfere with the University or their other Contractors engaged in related or adjacent Work. The Work shall be regarded as completed on the acceptance date noted on the University's Notice of Completion. This date shall be used as the date the guarantee period begins as defined in [Article 09.05, Guarantee, herein](#).

c. Adjustment of Job Order Completion Time Due to Acts of God, etc.

The Contractor shall not be assessed with liquidated damages, nor the cost of engineering and inspection during any delay in the completion of the Project caused by acts of God, the public enemy, fire, flood, earthquake, epidemic, quarantine restriction, strike, freight embargo, discovery of archaeological or paleontological artifacts, and unusual action of the elements; provided that the Contractor shall notify the University in writing of the causes of delay within 24 hours from the beginning of any such delay. The University shall determine the facts with regard to the delay and the reasonable period of time by which the date of completion should be extended by reason thereof, if any. The University's findings thereon shall be final and conclusive.

There shall be no compensation to the Contract for costs associated with this kind of delay.

The term 'unusual action of the elements' is limited to extraordinary, adverse weather conditions and conditions immediately resulting therefrom which cause a cessation in the progress of the Work which will delay the time of completion of a Job Order. Adverse weather is subject to a Contract Time adjustment if it exceeds weather normal for the locality as defined by the National Oceanic and Atmospheric Administration (NOAA).

The Contractor shall have no right to an adjustment in the time of completion due to weather conditions or industrial conditions which are normal for the locality of the Site.

The time for completion of each Job Order will be calculated with consideration given to the average climatic range and usual industrial conditions prevailing in the locality of the Site.

d. Adjustment of Job Order Completion Time Due to Acts of the University

If the Contractor experiences a delay in completing a Job Order:

- by reason of any act of the University not provided by the Contract, or
- by reason of changes made without reaching agreement as to any time adjustments, the University may extend the time for completion of a Job Order may for a period commensurate with the delay. The Contractor shall notify the University in writing of the causes of the delay within seven Days from the beginning of the delay.

e. Contractor to Prosecute the Work Fully

The University will grant no extension of time for any cause, unless the Contractor demonstrates to the satisfaction of the University that the Contractor has made every reasonable effort to fully prosecute the Work and complete the Work within the Job Order Completion Time. The causes of delay shall be subject to the same determinations as stated in Article 04.14 (III), Adjustment of Job Order Completion Time Due to Acts of God, etc., above.

f. University's Adjustment of Job Order Completion Time

The Contractor has no right to an extension of time for completion, however, the University may extend the time at the Contractor's request, if the University determines it to be in the best interest of the State. If the University extends the time, the University may, as they may deem proper, in lieu of assessing liquidated damages, charge the Contractor, its successors, heirs, assigns, or sureties, and deduct the following from the final payment for the Work:

- all or any part, the value of the lost use of the completed Project, and
- the actual cost to the University of engineering, inspection, superintendence, and other overhead expenses which are directly chargeable to the Contract, and which accrue during the period of such extension. Such costs will not exceed liquidated damages.

The University may also extend the time for completion if the reason is due to circumstances beyond the control of the Contractor or the University (e.g., awaiting environmental clearance).

g. Expiration of Job Orders

No job orders may be issued after the expiration date of the master contract. Supplementals may be issued within 30 days of contract expiration. The justification of these job orders must be to ensure the continuation and completion of work necessary for the campus.

- Each supplemental job order must be directly related to the completion of work initiated under the original contract.

- Approval for supplemental job orders must be obtained from the contract administrator.
- All authorized and supplemental job orders must include an established timeline for completion.
- Work must be completed in accordance with the timeline to ensure the project meets its intended purpose.

4.15 Labor Force and Superintendent

At all times the Contractor shall provide sufficient labor to properly prosecute the Work and to ensure completion of the Work within the Job Order Completion Time (Public Contract Code section 10843). The Contractor shall employ competent workers who are skilled in the type of Work required and whose workmanship is of the best, regardless of the quality of material. Contractor shall always enforce strict discipline and good order among Contractor's employees and all subcontractors. All employees assigned to the Work by Contractor shall perform in the best manner and shall cooperate fully with the University and all other representatives of the University. The Contractor shall remove from the Work any employee of the Contractor or of any subcontractor when so directed by the University.

The Contractor shall make certain that all subcontractors employed are properly licensed and are in good standing with the California Department of Industrial Relations.

The Contractor shall retain a competent, full-time, onsite superintendent to represent the Contractor and to direct the Project at all times while any Work under this Contract is underway. The Contractor shall not replace a Superintendent without advanced written approval from the University. If, in the judgment of the University, the Superintendent is incompetent, unqualified, poorly performing or disorderly, the Contractor shall, upon request by the University, promptly remove such person from the Project and shall not re-employ such person thereon. In this event, the University shall approve the replacement Superintendent.

The superintendent shall prepare a daily report that includes worker count, Work in progress and pertinent details relating to the progress of the Work. Such a report shall be provided to the University upon request.

Contractor's Responsibility for Work:

- a. The Work shall be under the charge and care of the Contractor until final acceptance by the University, including all punch list items, unless otherwise specified in the Contract Documents. The Contractor shall assume all responsibility for injury or damage to the Work by action of the elements and fire and from any other causes whatsoever, whether arising from the execution, or from the non-execution, of the Work. The Contractor shall rebuild, repair, restore and make good, at its expense, all injuries or damages to any portion of the Work occasioned by any of the above causes before final completion.
- b. When the University furnishes equipment or materials to the Contractor for use or inclusion in the Work, the Contractor's responsibility for all such equipment and materials shall be the same as if furnished by him/her.
- c. The Contractor shall not have Work performed, nor shall it employ labor or means, in the carrying out of this Contract that would in any way cause or result in a suspension, or

delay of, or strike upon the Work to be performed hereunder of any of the trades working in or about the premises herein described, or in or about any other building of the University.

- d. As a minimum staffing level, the Contractor shall always have a project manager, estimator, office manager and superintendent assigned to the Contract. Additional staff will be assigned always depending on the Workload level but the Contractor shall maintain a ratio of at least one (1) superintendent for every six (6) Job Orders.

4.16 Subsurface or Site Conditions Found Different

Should the Contractor encounter subsurface or physical conditions at the Site materially differing from those shown on or described in or indicated in the Job Order, the Contractor shall immediately give written notice to the University of the differing conditions and shall not disturb the differing conditions until directed to do so by the University.

4.17 Verifying Dimensions

The Contractor shall take all measurements at the Site and shall verify all dimensions at the Site before submitting its proposal and before proceeding with the Work.

During the progress of Work, the Contractor shall verify all field measurements prior to fabrication of building components or equipment and proceed with the fabrication to meet field conditions.

Special locations for equipment, pipelines, ductwork and other such items of Work, where not dimensioned on plans, shall be determined by sole authority of the University.

The Contractor shall be responsible for the proper fitting of the Work in place.

4.18 Meetings

The Contractor shall attend all scheduled progress meetings and any other special meetings as directed by the University.

4.19 Contractor's Relationship to Subcontractors

- a. The Contractor shall have full responsibility for all portions of the Work furnished by every subcontractor and for all acts and omissions (whether willful, negligent, or otherwise) of every subcontractor and such subcontractor's employees. All Work, acts, and omissions, of every subcontractor and such subcontractor's employees shall be deemed those of Contractor for all purposes of the Contract.
- b. Before entering into any subcontract, the Contractor shall inform each subcontractor fully and completely of all requirements of this Contract relating to the Work to be performed under the subcontract. The Contractor shall require all agreements with or between subcontractors to be in writing. Every subcontract shall provide expressly that such subcontract (and all rights of any subcontractor thereunder) is subject in all respects whatsoever to all requirements of this Contract and that all Work under the subcontract shall comply with all requirements of this Contract. Each subcontract shall include a provision authorizing termination for necessity or convenience by the Contractor and a provision under which the subcontractor agrees that the subcontractor's obligations shall

be assigned to the University, at the University's election, upon a termination of Contractor's rights to perform the (effective upon the giving of the University's notice of termination under either such section). Upon the University's request, the Contractor shall deliver to the University a counterpart original of the signed subcontract between Contractor and each subcontractor (and any modifications thereof). Each subcontract shall contain the same terms and conditions as to method of payment for Work, and as to retained percentages, as are set forth in this Contract; and Contractor shall pay each subcontractor in accordance with the terms of the applicable subcontract, the contract general conditions and state statutes for Work performed by such subcontractor.

- c. The University shall deem the Contractor's execution of any subcontract a representation that the Contractor has:
- informed the subcontractor fully and completely of all requirements of this Contract relating directly or indirectly to the subcontractor's Work; and
 - taken all steps necessary to ensure that each and every subcontractor meets the minimum qualifications required by the University of any Contractor submitting bids for any University Work.
- d. The Contractor shall make certain that all subcontractors employed are properly licensed and are in good standing with California Department of Industrial Relations.

4.20 Character of Workers

The Contractor shall employ only competent and efficient laborers, mechanics, or artisans. Whenever, in the opinion of the University, any employee is careless, incompetent, violates safety or security rules, obstructs the progress of the Work, acts contrary to instructions or acts improperly, or fails to follow the safety requirements of this Contract, the Contractor shall, upon request of the University, discharge or otherwise remove such person from the Work and shall not employ such person, except with the written consent of the University. The Contractor shall not permit any person to enter any part of the Work or any buildings connected therewith who is under the influence of intoxicating liquors or controlled substances.

The Contractor and the Contractor's employees shall be subjected to the same general rules of conduct while on University property that apply to University employees. The University reserves the right to refuse access to any Contractor's employee if the University determines it to be in the best interests of the University.

The Contractor shall make certain that all subcontractors employed are properly licensed and in good standing with the California Department of Industrial Relations.

4.21 Limitation of Construction Operations

The Contractor shall limit the area and nature of the construction operations to that which is authorized in the plans or specifications or approved by the University.

4.22 Coordination with Other Work

The University reserves the right to do other Work in connection with the Project or adjacent thereto by contract or otherwise. The Contractor shall at all times conduct the Work so as to impose no hardship on the University or others engaged in the University's Work, nor to cause

any unreasonable delay or hindrance thereto. Where two or more Contractors are employed on related or adjacent Work, each shall conduct its operation in such a manner as not to cause delay or additional expense to the other.

The Contractor shall be responsible to others engaged in the related or adjacent Work for all damage to Work, to persons and to property, and for loss caused by failure to complete the Work within the specified time for completion. The Contractor shall coordinate its Work with the Work of others so that no discrepancies shall result in the Project.

4.23 Drawings Reflecting Actual Construction

During the course of construction, the Contractor, as part of their factor, shall maintain as-built drawings (if part of a Job Order) kept up each day to show the Project as it is actually constructed. Contractor shall mark every sheet of the plans that differs from the actual construction and shall note sheets so changed on the title sheets of the plans. Contractor shall also show all Supplemental Job Orders by reference to sketch drawings and include any supplementary drawings or Supplemental Job Order drawings. Contractor shall sufficiently detail altered Contract drawings, so that future Work on the Project or in adjacent areas may be conducted with a minimum of difficulty. Before completion of the Project, and before release of the final retention payments, Contractor shall transmit the 'as-built' drawings and marked up drawings, if any, to the Construction Administrator.

4.24 Cleanup of Project and Site

The Contractor, as part of their factor, shall clean up its Work at frequent intervals and shall clean up its Work at other times when directed by the University. At all times Contractor shall keep floors broom clean while finish Work is being done. Upon completion of the Work, the Contractor shall promptly remove from the premises construction equipment and any waste materials not previously disposed, leaving the premises thoroughly clean and ready for occupancy.

When two or more Contractors are engaged in Work at or near the Site, each shall be responsible for cleanup and removal of its own rubbish, equipment, and any waste materials not previously disposed. In the event the Contractor does not maintain the Project or the Site clear of debris and rubbish in a manner acceptable to the University, the University may, in its option, cause the Project or Site to be properly cleaned and may withhold the expense incurred therefor from payments due the Contractor.

4.25 Access to Work

The University shall at all times have access to the Work, and the Contractor shall maintain such access during the Work on a Project.

4.26 Notice for Testing

If the Contract Documents, the University's instructions, state laws, or regulations, require that any Work be inspected or tested, the Contractor shall give the University timely notice of readiness of the Work for inspection or testing and the date fixed for inspection or testing. The Contractor shall at all times permit the Trustees to visit and inspect the Work and shall maintain

proper facilities and provide safe access for such inspection. Work requiring testing, inspection or verification shall not be covered up without such test, inspection, or approval.

4.27 Re-Examination of Work

Re-examination of any part of the Work may be ordered by the University, and if so ordered, the Contractor must uncover the Work. If the University finds such Work to be in accordance with the Contract, the University shall pay the cost of reexamination, and if the University does not find such Work to be in accordance with the Contract, the Contractor shall pay or be backcharged for the cost of re-examination.

4.28 Inspection of Work

All Work, all materials whether or not incorporated in the Work, all processes of manufacture, and all methods of construction shall be, at all times and places, subject to the inspection of the University, and the University shall judge the quality and suitability of the Work, materials, processes of manufacture, and methods of construction for the purposes for which such Work, materials, processes of manufacture and methods of construction are used. The University may direct that any Work not approved by the University shall, at no cost to the University, be immediately removed, reconstructed, made good, replaced or corrected by the Contractor to the satisfaction of the University. This corrective Work shall include all Work of any third party destroyed or damaged by such removal or replacement. Rejected material shall be removed immediately from the Site at no extra cost to the University. Acceptance of material and workmanship by the University shall not relieve the Contractor from the Contractor's obligation to replace all Work that is not in full compliance with the Contract.

If, after inspection, the University determines that it is undesirable to replace any defective or damaged materials or to reconstruct or correct any portion of the Work injured or not performed in accordance with the Contract, the compensation to be paid to the Contractor shall be reduced by an amount which, the University deems equitable.

4.29 Testing

All materials and equipment used by Contractor in the Work shall be subject to inspection and testing in accordance with accepted standards to establish conformance with specifications and suitability for uses intended, unless otherwise specified in the Contract. If Contractor covers or conceals any Work without the approval or consent of the University, Contractor shall uncover that Work for examination, if directed by the University. Any inspection by the University or by a testing laboratory on behalf of the University does not relieve the Contractor of the responsibility to maintain quality control of materials, equipment and installation to conform to the requirements of the Contract. If any test results are below specified minimums, the University may order additional testing. The Contractor shall pay the cost of additional testing, any additional professional services required, and any other expenses incurred by the University as a result of such additional testing.

4.30 Acceptance of Work

No previous inspection shall relieve the Contractor of the obligation to perform the Work in accordance with the Contract. No payment, either partial or full, by the University to the Contractor shall excuse any failure by the Contractor to comply fully with the Contract. The

Contractor shall remedy all defects and shall incur the cost of any damage to other work resulting therefrom.

5.00 SCOPE AND PROCEDURE FOR JOB ORDER WORK

5.01 Pre-Construction Conference

After award of the Contract and before the issuance of the first discrete Job Order under this Contract, the University will conduct a conference to acquaint the Contractor with University policies and procedures that are to be observed during the prosecution of the Work and to develop mutual understanding relative to the administration of the Contract.

5.02 General Scope of Work

This is an indefinite-quantity Contract for construction Work. All costs associated with preparing proposals shall be the responsibility of the Contractor.

5.03 Description of Work

- a. Work shall be performed only as authorized by Job Orders issued in accordance with these Contract General Conditions. The Contractor shall furnish to the University the construction services, supplies, equipment and related activities specified in the Job Orders up to and including the Maximum Contract Amount.
- b. The Work of this Contract shall be determined by individual Job Orders. The Contractor shall perform its Job Order construction Work in accordance with this Contract including provision of all pricing, management, shop drawings, documents, labor, materials, supplies, parts (to include system components), transportation, facilities, supervision, and equipment needed to complete each Job Order. The Contractor shall provide quality assurance as specified in strict accordance with [Article 04.00](#) herein. The Contractor shall also be responsible for Site safety as well as Site preparation and cleanup. Contractor shall maintain accurate and complete records, files and documents to include state and local laws, ordinances, rules and regulations and manufacturers' instructions and recommendations which are necessary and related to the Work to be performed.
- c. Contractor, as part of their factor, shall prepare and submit required reports, maintain current record drawings, and submit required information. The Contractor shall provide materials lists to include trade names, brand names, model number, and ratings (if appropriate) for all materials necessary for a complete job.
- d. In addition to the tasks and requirements in the University's Standard Specifications and the Construction Task Catalog, the University may, from time to time, require non pre-priced tasks. The parties will price these requirements in accordance with the procedures set forth below.
- e. The University Standard Specifications and Standard Details, as amended, shall be used in the execution of Work under the Contract and are incorporated by reference and made a part of this Contract. Each Job Order shall state the applicability of those documents and standards to the Job Order. In the case of any inconsistency between this Contract and the University's Standards, the University's Standards shall govern.

- f. The Contractor may be required to provide architectural, structural, mechanical, electrical, civil, or other engineering services for performance-based scopes of Work as requested by the University or as required for filings or permits or submittal of documents for regulatory agency approval. The services shall be provided by architects or engineers registered and insured in the State of California to practice in the particular professional field involved. Professional liability coverage shall be at least \$1,000,000. All documents prepared by architects, consultants and engineers for the Contractor under this Contract are subject to review of the University. Review by the University does not relieve any architects, consultants or engineers from the professional liability associated with documents they have prepared.
- g. The University may issue a single solicitation for bid, in which the University states it will award a single Job Order Contract to each of a specified number of contractors. In this case, the University may award an individual Job Order to any of the selected Contractors. Selection of the Contractor and award of the Job Order will be in compliance with established University procedures and based on one or more of the following criteria, in no order of priority:
- Rotational selection among all Contractors, unless otherwise determined by the University.
 - Evaluation of past and current performance on Job Orders of a similar nature and type of work, project size, construction management challenges, schedule performance, design management requirements, etc.
 - Balancing of workload (Job Order dollar volume and construction backlog) among Contractors.
 - Management of Job Order dollar volume within bonding limitations of the Contractor.
 - Price, as it relates to the bid factor, and as is in the best interest of the University.
 - Contractor's responsiveness to the University on Job Orders.
 - Other appropriate criteria as deemed in the best interest of the University.

5.04 Procedure for Initiating Job Order

- a. As the need exists for performance by the Contractor under the terms of this Contract, the University will notify the Contractor of a Project.
- b. The Contractor shall respond by:
- (1) Obtaining from the University the scope of the requirement and collect any documents.
 - (2) Visiting the proposed Site with the University staff and participating in a Joint Scope Meeting which will at a minimum include establishment of the following:
 - Job Order number and title
 - Existing Site conditions to include all measurements and dimensions necessary to calculate quantities of materials and equipment required.
 - Methods and alternatives for accomplishing work
 - Definition and refinement of requirements
 - Detailed scope of work

- Requirements for design drawings, sketches, submittals, catalogue cuts, samples, shop drawings, etc.
 - Tentative construction schedule (bar chart or critical path method schedule)
 - Preliminary quantity estimates
 - Proposal due date
 - Job Order completion date required but the University.
- c. Upon completion of the joint scoping process, the University is required to issue a Request for Proposal and a Detailed Scope of Work to the Contractor. Upon receipt of the Request for Proposal and Detailed Scope of Work, the Contractor is obligated to prepare a Proposal for the Work under consideration. The Detailed Scope of Work, unless modified by both the Contractor and the University, will be the basis on which the Contractor will develop its Proposal, and the University will evaluate the Proposal. The Contractor must submit a proposal fourteen (14) days after issuance of Request for Proposal or campus may consider another contractor. Contractor may be suspended if they do not submit proposals in a timely manner.

5.05 Preparation of the Proposal

The Contractor will prepare a Proposal in accordance with the following:

a. **Project Specific Organizational Chart**

Contractor will include with their proposal a project specific organizational chart depicting the hierarchy and relationships in the organization so the University and Contractor can ensure effective communication and collaboration to make decisions at the appropriate levels. Contractor shall include at a minimum, the names and contact information of the Superintendent, the Project Manager, and the President/Owner of the company. Contractor shall not replace any of these positions during the life of the Job Order without University approval.

The Contractor shall submit resumes for the Project Superintendent, Project Manager, and Site Safety Representative with each Job Order Price Proposal. Each individual shall hold a valid OSHA 10 certification and either a construction-management certificate or equivalent verifiable experience appropriate to the Job Order scope. Resumes shall include professional credentials, training, and relevant project history. The University reserves the right to review and approve key staff assignments prior to issuance of the Job Order.

b. **Pre-priced Tasks**

For pre-priced tasks the Contractor shall identify the task and quantities required from the Construction Task Catalog. The unit price set forth in the Construction Task Catalog shall serve as the base price for the purpose of the operation of this provision. The Contractor's Proposal shall include support documentation to indicate that adequate engineering and planning for the requirement has been done and that the tasks proposed are reasonable for the Work to be performed. Documentation to be submitted with the Proposal shall include, as appropriate, but not be limited to, design drawings, calculations, catalog cuts, specifications, and subcontractor list, DVBE participation and construction

schedule. The unit price for the pre-priced task shall be multiplied by the quantity and multiplied by the appropriate Adjustment Factor.

c. Non Pre-priced Tasks

Non pre-priced tasks, if any, shall be separately identified and submitted in the Proposal. The Contractor shall break down any non pre-priced tasks if the labor, material or equipment required to accomplish the non pre-priced tasks are not included in the Construction Task Catalog. Information submitted in support of non pre-priced tasks shall include, but not be limited to, the following:

- (1) Complete Specifications and technical data, including task content, support drawings, task cost data, quality control and inspection requirements.
- (2) Work schedule
- (3) Costing data shall include a cost analysis report, establishing the basis for selecting the approach proposed for accomplishment of the requirements. Unless otherwise directed by the University, costing data will be submitted demonstrating that the Contractor sought and received three quotes. The Contractor shall provide a unit price that shall include all costs required to accomplish the non pre-priced task.

- (4) The final price submitted for non pre-priced tasks shall be according to the following formulas:

(a) Non Pre-Priced Adjustment Factor

When the Normal Working Hours Adjustment Factor as bid is 1.0000 or less.

In this case, the formula to determine the Non Pre-Priced Adjustment Factor is the cost of the non pre-priced item multiplied by the Normal Working Hours Adjustment Factor, multiplied by 1.15.

For example, if the Normal Working Hours Adjustment Factor is

.8500; and the cost of the non pre-priced item is \$10,000, the mark-up formula is: $(\$10,000 \times .8500) \times 1.15 = \$9,775$.

- (b) When the Normal Working Hours Adjustment Factor as bid is 1.0001 or more.

In this case, the University-allowed mark-up on non pre-priced items shall not exceed 15% total, and the formula is: the cost of the non pre-priced item multiplied by 1.15. For example: if the Normal Working Hours Adjustment Factor is 1.2000, and the cost of the non pre-priced item is \$10,000, the mark-up formula is: $\$10,000 \times 1.15 = \$11,500$.

- (c) Contractor Performed Duties

A = The unit price appearing in the Construction Task Catalog for each trade classification required multiplied by the quantity and multiplied by the

appropriate Adjustment Factor identified and submitted in the Job Order Price Proposal as a pre-priced task.

B = The unit price appearing in the Construction Task Catalog for each piece of equipment required multiplied by the quantity and multiplied by the appropriate Adjustment Factor identified and submitted in the proposal as a pre-priced task.

C = The lowest of three independent quotes for all materials multiplied by the quantity and multiplied by the non pre-priced Adjustment Factor identified and submitted in the Job Order Price Proposal as a non pre-priced task.

Total cost for non pre-priced tasks performed with Contractor's own forces
= A + B + C.

(d) Subcontractor Performed Duties

If the Work is to be subcontracted, the Contractor must submit three independent quotes for the Work from Subcontractors. The Contractor shall not submit a quote or bid from any supplier or subcontractor that the Contractor is not prepared to use. The University may require additional quotes and bids if the suppliers or subcontractors are not acceptable or if the prices are not reasonable. If three quotes or bids cannot be obtained, the Contractor will provide the reason in writing for the University's approval as to why three quotes cannot be submitted.

D = Subcontractor Costs (supported by three quotes

Total Cost for non pre-priced tasks performed by subcontractor = D multiplied by the Non Pre- Priced Adjustment Factor.

- (5) Following approval by the University of a non pre-priced task and unit price, the non pre-priced task unit price will be entered into the computer database.
- (6) After a non pre-priced task is used on three separate Job Orders, the unit price for such task will be established, following approval by the University, and fixed as a permanent pre-priced task that will no longer require price justification.
- (7) The University's determination as to whether an item is a pre-priced task or a non-pre-priced task shall be final, binding and conclusive as to the Contractor.

- d. Only those tasks required to complete the detailed scope of work in the most efficient possible method. Detailed scope of work shall not contain any tasks representing that which is to be included as part of the contractor's adjustment factor under the contract. Submission of task which is to be part of the contractor's adjustment factor, and or including additional labor over and above that which is included in tasking, double tasking or including multiple tasks to perform the same function or including any task that is not most efficient method allowable for the work in question, or including any task that is not required, shall render the proposal invalid, and becomes an incident of specific non-performance. Contractor shall include comments for each task in a proposal explaining

its inclusion and providing all measurements, dimensions and calculations performed in determining the quantities required to complete the detailed scope of work, and all required.

e. Documentation for Job Order Price Proposal

Contractor's Proposal shall include support documentation to indicate that adequate engineering and planning for the requirement has been done, and that the tasks proposed are reasonable for the Work to be performed. Documentation to be submitted with the Proposal shall include, but not be limited to:

- Design drawings, calculations, specifications, and architectural renderings
- Catalog cuts
- Subcontractor and material supplier list
- DVBE compliance documents
- Construction schedule (detailed bar chart)
- Special insurance
- For special equipment a copy of the warranty document may be required.

f. Submittal of Job Order Price Proposal

Contractor's Proposal shall be submitted on or before the date indicated on the Request for Proposal and will be directed by the University in its sole discretion and is to be final. Failure to provide a valid proposal on or before the date required may be considered an incident of specific non-performance. The University will give due consideration to the contractor's time required to assemble the proposal. The time allowed for preparation of the Contractor's Proposal would depend on the complexity and urgency of the Job Order. On complex Job Orders, such as Job Orders requiring engineering/architectural drawings and approvals and permits, allowance will be made to provide adequate time for preparation and submittal of the necessary documents with the Proposal and so reflected in the Proposal due date entered on the Request for Proposal.

g. Work Order Proposal Time Requirements

Job Order Proposal Submittal: Contractor shall submit the proposal for the Project on or before the due date stated in the Request for Proposal (RFP) (14 days maximum unless otherwise specified).

Request for Information (RFI) Submittal: Contractor shall make a thorough analysis of each Job Order and submit all RFIs within 7 days after issuance of any RFP. Submission of RFIs shall in no way extend the Job Order Proposal due date unless deemed necessary by the University.

Job Order Price Proposal Revision: After reviewing the original proposal, the Contractor may request a meeting with the university to discuss the proposal. The contractor is expected to revise the proposal as required to remove tasks or adjust task quantities deemed inappropriate by the University Representative and shall revise and submit the proposal within 48 hours. The Contractor may be granted the opportunity to add new valid tasks to the modified Work Order Price Proposal which may have been omitted from the

original proposal. However, no new line items, quantity increases or added modifiers will be accepted absent the approval of the University.

Time Requirements: Contractor agrees and understands that time is of the essence for the University, and that the Job Order due date requirements contained herein will be strictly enforced. Contractor's failure to comply with due dates as issued by the University in its sole discretion, are subject to being deemed non-responsive to the terms and intent of the contract. Repeated failure to perform, including refusal to perform any task or any work in connection with a project, may result in termination of the agreement and may impact the prequalification rating for future CSU projects.

Disabled Veteran Business Enterprise Participation and Incentive

The Contractor's Job Order Price Proposal shall comply with the disabled veteran business enterprises (DVBE) three percent participation requirement for this Contract (reference Article 02.11, Disabled Veteran Business Enterprise Requirement). Contractor understands and agrees that the DVBEs identified on the List of Proposed Subcontractors form submitted with the Job Order Price Proposal may only be replaced by another DVBE, and the substitution must be approved by the Trustees and the Department of General Services (DGS). Furthermore, in the instance of a request by the Contractor to seek approval for an exception from the agreed upon DVBE incentive on a Job Order Proposal during the life of the contract, please follow the steps for proper submittal of the "DVBE Exception Form" referenced in Article 02.11.

Trustees will document changes to the scope of Work that impact the DVBEs identified in the Job Order by Supplemental Job Order and will provide their decision on DVBE substitutions in writing via the subcontractor substitution process per Public Contract Code section 4100.

Failure of Contractor to seek substitution and adhere to the DVBE participation level identified in its bid may be cause for Contract termination, recovery of damages under rights and remedies due the State, and penalties as outlined in Military and Veterans Code section 999.9 and Public Contract Code section 10115.10 or section 4110.

Special Definitions

- (1) "Disabled Veteran" as used herein, means a veteran of the military, naval or air service of the United States, including, but not limited to, the Philippine Commonwealth Army, the Regular Scouts, "New Scouts," and who has at least a ten (10) percent service-connected disability and who is domiciled in the State of California.
- (2) "Disabled veteran business enterprise contractor, subcontractor or supplier" means any person or entity that has been certified by the Office of Small Business & DVBE Services and that performs a "commercially useful function," as defined below, in providing services or goods that contribute to the fulfillment of the contract requirements:

A person or an entity is deemed to perform a "commercially useful function" if a person or entity does all the following:

- Is responsible for the execution of a distinct element of the Work of the Contract.
- Carries out the obligation by performing, managing, or supervising the Work involved.
- Performs work that is normal for its business services and functions.
- Is responsible, with respect to products, inventories, materials, and supplies required for the Contract, for negotiating price, determining quality and quantity, ordering, installing, if applicable, and making payment; and
- Is not further subcontracting a portion of the Work that is greater than that expected to be subcontracted by normal industry practices.

A contractor, subcontractor, or supplier will not be considered to perform a “commercially useful function” if the contractor’s, subcontractor’s, or supplier’s role is limited to that of an extra participant in a transaction, contract, or Project through which funds are passed in order to obtain the appearance of disabled veteran business enterprise participation.

(3) Equipment Brokers

- (a) A DVBE that rents equipment to the Trustees shall be deemed to be an equipment broker, unless one or more disabled veterans have 51-percent ownership of the quantity and the value of each piece of equipment. If the equipment is owned by one or more disabled veterans, each disabled veteran owner shall, prior to performance under any contract, submit to the Trustees a declaration signed by the disabled veteran owner stating that the owner is a disabled veteran and providing the name, address, telephone number, and tax identification number of the disabled veteran owner.
- (b) A DVBE that rents equipment to the Trustees shall, prior to performing the contract, submit to the Trustees a declaration signed by each disabled veteran owner and manager of the enterprise stating that the enterprise obtained the contract by representing that the enterprise was a DVBE meeting and maintaining all of the requirements of a DVBE. The declaration shall include the name, address, telephone number, and tax identification number of the owner of each piece of equipment identified in the contract.
- (c) State funds expended for equipment rented from equipment brokers pursuant to contracts awarded under this section shall not be credited toward the DVBE participation requirement.
- (d) A DVBE, that is a broker or agent and that obtains a contract pursuant to these provisions shall, prior to performing the contract, disclose to the Trustees that the business is a broker or agent. The disclosure shall be made in a declaration signed and executed by each disabled veteran owner and manager of the enterprise, declaring that the enterprise is a broker or agent, and identifying the name, address, and telephone number of the principal for whom the enterprise is acting as a broker or agent.

(4) DVBE as used herein means a business concern certified by the Office of Small Business & DVBE Services as meeting all of the following:

- The business is least 51 percent owned by one or more disabled veterans, or in the case of a publicly owned business, at least 51 percent of its stock is unconditionally owned by one or more disabled veterans.
- The business is a subsidiary that is wholly owned by a parent corporation, but only if at least 51 percent of the voting stock of the parent corporation is unconditionally owned by one or more disabled veterans; or
- A joint venture in which at least 51 percent of the joint venture's management, control, and earnings are held by one or more disabled veterans.

The business is one or more disabled veterans manage and control the daily business operations. The disabled veterans who exercise management and control are not required to be the same disabled veterans as the owners of the business.

The business is a sole proprietorship, corporation, or partnership with its home office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm or other foreign-based business.

(5) Notwithstanding subdivision (1) (a), after the death or the certification of a permanent medical disability of a disabled veteran who is a majority owner of a business that qualified as a DVBE prior to that death or certification of a permanent disability, that business shall be deemed to be a DVBE for a period not to exceed three years after the date of that death or certification of a permanent medical disability, if the business is inherited or controlled by the spouse or child of that majority owner, or by both of those persons. A business is a DVBE pursuant to this subdivision under either of the following circumstances:

- for the duration of any contract entered into prior to the death or certification of permanent medical disability for the sole purpose of fulfilling the requirements of that contract.
- after a date of the majority owner's death or certification of permanent medical disability established by this subdivision for the sole purpose of providing sufficient time to make orderly and equitable arrangements for the disposition of the business, except that the business shall not enter into any new contract as a DVBE for purposes of the program if the contract would not be completed within the three-year period.

(6) Participation Requirement

In order to satisfy and be responsive to this requirement, the Contractor must meet the three (3) percent DVBE participation requirement, which is attained when:

- the Contractor is not a DVBE and is committed to use DVBE subcontractors for not less than three (3) percent of the Maximum Contract Amount (including alternatives); or

- the contractor is not a DVBE and is committed to performing not less than three (3) percent of the Maximum Contract Amount (including alternatives) with its own forces or in combination with those of other DVBEs.

(7) Documentation Requirements

The Contractor must document its satisfaction of the DVBE participation requirement. Final determination of DVBE participation attainment by the Contractor shall be at the Trustees' sole discretion. If the Contractor meets or exceeds the three percent DVBE participation requirement for the Maximum Contract Amount before the expiration of the Contract term, the Trustees may waive the DVBE participation requirement for subsequent Job Orders. Contractor shall continue to satisfy the DVBE participation requirement until the Trustees shall waive this requirement in writing.

In addition to documentation submitted with each Job Order Price Proposal, the Contractor must complete the following DVBE documentation forms. Instructions for completing the required forms correctly are included to assist the Contractor.

- (a) DVBE Transmittal Form: Contractor must fill out the DVBE transmittal form as a cover sheet to the required documents, attach and submit it and the additional required documentation. Contractor must complete all requested DVBE documentation on the forms provided and submit with the DVBE Transmittal Form.
- (b) Summary of Disabled Veteran Owned Business Participation (Attachment 1) must be completed showing the type of Work and company proposed for DVBE participation, their subcontractors, if any, and other related information. Complete the form providing the information as follows:
 - Company Name. List the name of the company proposed for DVBE participation. If the prime contractor is a DVBE, list its name in order to receive participation credit
 - Nature of Work
 - Contracting With. Identify the proposed Work or service to be provided by the listed company.
 - Tier. Identify the contracting tier using the following level designations: 0=Prime Contractor; 1=First tier subcontractor/supplier; 2=Second tier subcontractor/supplier of first tier; 3= Third tier subcontractor/supplier; etc.
 - Claimed DVBE Value. State the total amount of the DVBE's bid.
 - Percentage of Bid. State the percentage (%) of the claimed DVBE's bid as it relates to the Contractor's total Job Order Price Proposal.
 - DVBE Certification. The Contractor must include one copy of the DVBE certification from the Office of Small Business & DVBE Services for each DVBE listed on the Summary of Disabled Veteran Owned Business Participation.

(c) Contractor's Certification (Attachment 2)

The Contractor must sign and include the Contractor's Certification, certifying that each DVBE listed on the Summary of Disabled Veteran Owned Business Participation (Attachment 1) complies with the legal definition of DVBE.

(d) Disabled Veteran Business Enterprise Declarations (STD. 843)

The disabled veteran owner(s) and disabled veteran manager(s) of the DVBE must complete this declaration when a DVBE contractor or subcontractor will provide materials, supplies, services or equipment.

(e) DVBE Exception Form

In the event, the Contractor cannot meet the DVBE requirement on a Job Order Proposal, the contractor shall complete and sign a "DVBE Exception Form." Please see section 02.11 Disabled Veteran Business Enterprise Participation Requirement.

The Contractor must submit the DVBE participation documentation with each discrete Job Order.

(8) Use of Proposed DVBE

If awarded the Job Order, the Contractor must use the DVBE suppliers and/or subcontractors proposed in its Job Order Price Proposal unless it has requested substitution and has received approval of the Trustees in compliance with the Subletting and Subcontracting Fair Practices Act. Reference [Article 04.04, Substitution of Subcontractors](#).

(9) Trustees Reporting of DVBE Participation

Responsiveness to direction from the State Legislature, the Trustees are seeking to report increased statewide participation of DVBE in contract awards. To this end, the Contractor shall inform the Trustees of any contractual arrangements with subcontractors, consultants or suppliers that are certified DVBE.

(10) Additional DVBE Information Sources

For more information regarding general DVBE information, DVBE certification, or copies of directories, contact:

Office of Small Business & DVBE Services
Department of General Services
Procurement Division
707 Third Street, West Sacramento, CA 95605
(916) 375-4940; OSDSHelp@dgs.ca.gov,
<https://www.dgs.ca.gov/PD/Services>, and search on "DVBE".

(11) Prime Contractor's DVBE Subcontracting Report

Contractor shall include in the Prime Contractor's DVBE Subcontracting Report all completed Job Orders issued under the overall Job Order Contract. Upon completion of each Job Order, Contractor shall add the completed Job Order to the report and report the information in subsections '7-a' and '7-b' below, until the overall Job Order Contract is no longer in effect.

At the end of each Job Order and upon completion of the Work, Contractor shall submit the Prime Contractor's DVBE Subcontracting Report to the Trustees within 60 days of receipt of the final retention payment.

In submitting the DVBE Subcontracting Report for the overall Job Order Contract, the Contractor certifies the following information provided in the report is true and correct for each completed Job Order:

- the total amount Contractor received from the Trustees for each Job Order completed under the overall Job Order Contract, and the cumulative total amount paid for the overall Job Order Contract,
- the name address, and DVBE number for the DVBE(s) that participated in the performance of each Job Order,
- the total contracted amount for each DVBE,
- the total payment amounts made to the DVBEs, and
- the actual percentage of DVBE participation that was achieved for each Job Order and for the overall Job Order Contract.

A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation in accordance with Military and Veterans Code section 999.5(d).

5.06 Review of the Job Order Price Proposal and Issuance of Job Order

The University will evaluate the Contractor's Job Order Price Proposal and proposed tasks and compare these with the University's cost estimate of the Detailed Scope of Work to determine the reasonableness of approach, including the nature and quantity of tasks proposed.

The University reserves the right to reject a Proposal for any reason, including, but not limited to, the quantities or tasks selected by the Contractor, schedule, inadequate documentation, unacceptable pricing for non-pre-priced tasks, selection of material, equipment or subcontractors. The University also reserves the right not to issue a Job Order if that is determined to be in the best interests of the University or the proposed cost exceeds the University's estimate. The Contractor has no claim to recoup Proposal expenses. The University may pursue the performance of such Work by other means.

By submitting a signed proposal to the University, the Contractor agrees to accomplish the Work set forth in the Detailed Scope of Work in accordance with the Request for Proposal. It is the Contractor's responsibility to include the necessary tasks and quantities in each discrete Job Order Price Proposal prior to delivering it to the University.

Each discrete Job Order provided to the Contractor shall state the agreed upon requirements. All clauses of this Contract shall be applicable to each discrete Job Order. Job Orders will be written on a university provided form. The Job Order, signed by the University and delivered to

the Contractor constitutes the University's acceptance of the Contractor's Proposal. A signed copy will be provided to the Contractor.

In the event that immediate emergency response is necessary the Contractor shall be required to conform to alternative procedures as established by the University. The Contractor shall begin Work as directed notwithstanding the absence of a fully developed Request for Proposal, Detailed Scope of Work, or Job Order. The Contractor shall be compensated in accordance with the Construction Task Catalog and non- pre-priced tasks as if the Work had been ordered under the standard process.

5.07 Computer and Communications Equipment Requirements

The Contractor shall maintain at its office for its use a computer with, at a minimum, a 1 GHz processor, an internet connection, and software such as Word, Excel, Bluebeam, Microsoft Project, and other construction-related software as may be required for the Contract. The Contractor shall maintain individual e-mail accounts for each of its project managers.

5.08 Required Training

All JOC Contractors awarded contracts shall be expected to have their representatives managing the project to attend and complete online training prior to first Proposal.

5.09 Job Order Contracting Software

Job Order Contracting software will be provided to the Contractor for preparing and submitting Job Order Price Proposals, subcontractor lists, and other requirements specified by the University.

5.10 Contractor's Detailed Schedule of Work

The Contractor shall submit with each Proposal a bar chart or critical path method schedule setting forth the manner and sequence of the Work. The Contractor shall schedule the Work in accordance with the time duration set forth in the Request for Proposal. The Contractor shall have broad discretion in scheduling the Work. The University's basis for disapproval of any schedule shall generally be limited to a determination that the work sequence lacks logic, is unreasonable, is incomplete or is inconsistent with any other contractual requirement, such as a phasing plan or work shift requirements, noise, class schedules, campus holidays or non-construction activity days.

With respect to any submission by the Contractor under this Article, no review, acceptance or approval by the University shall release or relieve the Contractor from its obligation to fully and properly complete the Work, or any other duty, responsibility or liability imposed on it under this Contract, including, but not limited to the obligation to complete the Work within the Job Order Completion Time.

5.11 Stop Work Order

The University may, at any time, by written order to the Contractor, require the Contractor to stop all, or any part, of the Work or change or delete any item of the Work from the Job Order.

Upon receipt of the notice of termination, the Contractor shall act promptly to minimize the expenses resulting from such termination. The University shall pay the Contractor the costs

actually incurred by the Contractor up to the date of such termination, but in no event shall the Contractor be entitled to compensation in excess of the total consideration of the Job Order Price. In the event of such termination the University may take over the Work and prosecute it to completion by Contract or otherwise and may take possession of and may utilize such materials, appliances, and equipment as may be on the Site and necessary or useful in completing the Work.

6.00 INTERPRETATION OF AND ADHERNCE TO CONTRACT REQUIREMENTS

6.01 Interpretation of Contract Requirements

a. Correlation

Contract Documents shall be interpreted as including all elements required for a code compliant and complete Project. Any requirement occurring in any one of the Contract Documents is as binding as though occurring in all Contract Documents. Generally, the specifications address quality, types of materials and Contract conditions, while the Plans show placement, sizes, fabrication details of materials.

b. Conflicts

In the event of conflict in the Contract Documents, the priorities stated below shall govern:

- Addenda shall govern over all other Contract Documents, and subsequent addenda shall govern over prior addenda only to the extent modified.
- Supplementary General Conditions shall govern the Contract General Conditions.
- Contract General Conditions shall govern all sections of the Specifications and any notation on the Plans. No other section of the Specifications shall modify the Contract General Conditions.
- In case of conflict between Plans and Specifications, the specifications shall govern.

Conflicts within the Plans:

- Material and equipment schedules, when identified as such, shall govern over all other portions of the Plans.
- Specific notes shall govern over all other notes and all other portions of the Plans except the material and equipment schedules described in Article 6.01 (II)(E)(1), above.
- Larger scale drawings shall govern over smaller scale drawings.
- Figured or numerical dimensions shall govern over dimensions obtained by scaling.

c. In the event that provisions of codes, safety orders Contract Documents, referenced manufacturers' specifications or industry standards are in conflict, the more restrictive or higher quality shall govern.

d. Omissions

In the event of omissions in the Contract Documents, the following shall apply:

- If the Contract Documents are not complete as to any minor detail of a required construction system or with regard to the manner of combining or installing of parts,

materials, or equipment, but there exists an accepted trade standard for good and skillful construction, Contractor shall deem such detail to be an implied requirement of the Contract Documents in accordance with such standard. 'Minor Detail' shall include the concept of substantially identical components, where the price of each such component is small even though the aggregate cost or importance is substantial, and shall include a single component that is incidental, even though its cost or importance may be substantial.

- The quality and quantity of the parts or material so supplied shall conform to trade standards and be compatible with the type, composition, strength, size, and profile of the parts of materials otherwise set forth in the Contract Documents.

6.02 Issuance of Interpretations, Clarifications, Additional Instructions

Should the Contractor discover any conflicts, omissions, or errors in the Contract or have any question concerning interpretation or clarification of the Contract, the Contractor shall provide a written request to the University with copies to the Project Manager/Construction Inspector for interpretation, clarification, or additional detailed instructions, before proceeding with the Work affected.

The University shall, within a reasonable time, issue in writing the interpretation, clarification, or additional detailed instructions requested.

Should the Contractor proceed with the Work affected before receipt of the interpretation, clarification, or instructions from the University, the Contractor shall replace or adjust any Work not in conformance therewith and shall be responsible for any resultant damage or added cost.

Should any interpretation, clarification, or additional detailed instructions, in the opinion of the Contractor, constitute Work beyond the scope of the Contract, the Contractor must submit written notice thereof to the University within seven (7) Days following receipt of such interpretation, clarification, or additional detailed instructions and in any event prior to commencement of Work thereon. The Contractor shall submit an explanation of how the interpretation, clarification, or additional detailed instruction constitutes Work beyond the scope of the Contract, along with a detailed cost breakdown and an explanation of any delay impacts.

If, in the judgment of the University, the notice is justified, the University shall either revise the interpretation, clarification or additional detailed instructions or authorize the extra Work by Supplemental Job Order or by field instruction with a Supplemental Job Order to follow. If the University decides that the claim is not justified, the University shall give the Contractor a written order that the claim is not justified and direct the Contractor to perform such Work.

The Contractor must proceed with the Work upon receipt from the University of a written order to do so. If the Contractor objects to the written order, the Contractor must notify the University in writing of its objection and the reasons therefor, within seven (7) Days of receipt of the order. The Contractor shall have the right to have this claim later determined by a Claims Review Board pursuant to this Contract. When performing disputed Work, the Contractor shall prepare time and materials records for each day, and the Construction Inspector shall verify these records at the conclusion of each day. The Contractor shall have no claim for additional compensation because of such interpretation, clarification, or additional detailed instruction, unless it gives the written notices to the University within seven (7) Days as specified above.

6.03 Product and Reference Standards

a. Product Designation

When descriptive catalog designations, including the manufacturer's name, product brand name, or model number are referenced in the Contract, Contractor shall consider such designations as those found in industry publications of current issue as of the bid opening date specified in the Notice to Contractors.

b. Reference Standards

When the Contract references standards of the federal government, trade societies, or trade associations by specific date of issue, Contractor shall consider these a part of this Contract. When such references do not bear a date of issue, Contractor shall consider the current and most recently published edition as of the bid opening date specified in the Notice to Contractors a part of this Contract.

6.04 Shop Drawings, Samples, Alternatives or Equals, Substitutions

a. Submittal Procedure

'Shop drawings' include drawings, diagrams, illustrations, material and equipment schedules, performance charts, brochures and catalogs and other data prepared by the Contractor or any subcontractor, manufacturer, supplier or distributor, and which illustrate some portion of the Work. The Contractor shall review and approve all shop drawings. Once approved, the Contractor shall promptly mark the shop drawing "approved" and submit it to the University, so as to cause no delay in the Work, together with samples as required by the Contract, and shall also submit any offers of alternatives or substitutions. Contractor shall submit at least six copies of shop drawings. Contractor shall send all such submittals to the party given in the instructions to the Contractor at the job start meeting. A letter shall accompany the submitted items containing a list of all matters submitted and identifying all deviations in the shop drawings and samples from the requirements of the Contract. Failure by the Contractor to identify all deviations may render any action taken on the materials submitted to be void. Whether to void such action shall be in the discretion of the University. In the letter and all items accompanying it, the Contractor shall fully identify the Job Order name and location, the Contractor's name, and Job Order number. In submitting the letter transmitting the approved shop drawings and samples, the Contractor represents that Contractor has verified the data contained therein with conditions as they actually exist and that the shop drawings and samples have been checked and coordinated with the Contract.

b. Samples

"Samples" are physical examples furnished by the Contractor to illustrate materials, equipment, color, texture, or workmanship, and to establish standards for judging the Work. Physical samples shall govern in the case of a conflict between the finish schedules, specifications, other submittals, and the physical sample.

c. Alternatives or Equals

For convenience in designation on the plans or in the specifications, certain materials or equipment may be designated by a brand or trade name or the name of the manufacturer together with catalog designation or other identifying information, hereinafter referred to generically as 'designated by brand name.' Alternative material or equipment which is of equal quality and of the required characteristics for the purpose intended may be proposed for use provided the Contractor complies with the following requirements:

- (1) The Contractor shall submit its proposal to the University for an alternative as an "equal" in writing no later than 35 Days after the Notice to Proceed, unless otherwise specified in the Supplementary General Conditions. In exceptional cases the University may give written consent to a submittal or re-submittal received after the expiration of the time limit designated. Contractor is responsible for timely submittal of its proposed "or equal."
- (2) The University will consider no Proposal, unless accompanied by complete information necessary to permit determination of the equality of the offered materials or equipment. Provide samples when requested by the University.
- (3) The burden of proof as to the comparative quality and suitability of the offered materials or equipment shall be upon the Contractor. Where the material specifies capacity or performance, the burden of proof shall be on the Contractor to show that any particular equipment or materials meet the minimum capacities, or the performance requirements specified. The Contractor shall furnish at its own expense all information necessary for a determination regarding meeting the minimum capacities or performance requirements and shall submit such information prior to issuance of the Job Order Notice to Proceed per Public Contract Code section 3400.

The University shall be the judge of such matters. If the University rejects the use of any alternative materials or equipment, then one of the products designated by brand name shall be furnished.

If changes or delays are required for proper installation or fit of alternative materials, articles, or equipment, or because of deviations from Contract Documents, Contractor shall make such changes or delays at Contractor's expense without recourse for reimbursement from the University.

6.05 Quality of Materials, Articles and Equipment

Materials, articles and equipment furnished by the Contractor for incorporation into the Work shall be new. When the Contract requires that materials, articles or equipment be furnished, but the quality or kind thereof is not specified, the Contractor shall furnish materials, articles or equipment at least equal to the kind or quality or both of materials, articles or equipment that are specified.

6.06 Testing Materials, Articles, Equipment and Work

The University specifies in this Contract the materials, articles, equipment or other Work requiring tests. Contractor shall deliver to the Site all such materials, articles and equipment requiring tests, in ample time before intended use to allow for testing. Contractor shall not use

these prior to testing and receipt of written approval. The Contractor shall be solely responsible for notifying the University where and when materials, articles, equipment and Work are ready for testing. Should Contractor cover any such materials, articles, equipment or Work without testing and approval, if required, Contractor shall uncover them at the Contractor's expense.

6.07 Rejection

Should any portion of the Work fail to comply with the requirements of the Contract, the University shall reject such Work in writing, and Contractor shall immediately make the rejected Work satisfactory to the University, at no additional expense to the University. Contractor shall immediately remove any rejected Work from the premises at the Contractor's expense. The University may retain one and one-fourth times the cost of the rejected Work from any payments due the Contractor until Contractor makes the rejected Work acceptable to the University. The University may back charge the Contractor for design costs incurred in the correction of the rejected Work.

6.08 Responsibility of Quality

The testing and inspection provided by the University shall not relieve the Contractor of its responsibility for the quality of materials and workmanship provided by the Contractor, and the Contractor shall make good all defective Work discovered during or after completion of the Project.

6.09 Accident Prevention

The Contractor shall, at all times, take every precaution against injuries to persons or damage to property and for the safety of persons engaged in or surrounding the performance of the Work.

6.10 Protection of Work and Property

The Contractor shall, at all times, guard each Site from injury or loss in connection with the Work. The Contractor shall, at all times, guard and protect the Contractor's Work, and adjacent property. In carrying out its obligations hereunder, Contractor shall take into account that the Site is an educational facility which may be or may become fully or partially occupied and utilized by teachers and students and in that event the Contractor must take into account that the students utilizing or attending the educational facilities are susceptible to the hazards of attractive nuisances or other hazards present on construction sites.

The Contractor shall have full responsibility to install, protect, and maintain all materials and supplies in proper condition and forthwith repair, replace and make good any damage thereto until final acceptance. The Contractor shall maintain an inventory of all materials and supplies for the Work at the Site that are delivered to the Site or approved for offsite storage facilities.

6.11 Construction Site Emergency

A construction emergency is defined as an unforeseen condition or event requiring prompt action by the Contractor. Construction Site Emergencies include, but are not limited to, construction related accidents; uncontrolled release of asbestos, lead dust or other hazardous materials; natural disasters; automobile accidents; and fires.

Contractor must notify the University of a Construction Site Emergency within half an hour of the event's occurrence.

6.12 Failure to Comply with provisions of Articles 06.09-06.11

Contract may, at the sole option of the University, be declared void and no effect if Contractor fails to comply with the provisions of Articles [06.09, Accident Prevention](#), [06.10, Protection of Work and Property](#), and [06.11, Construction Site Emergency](#).

6.13 Non-Performance Conditions

If contractor delays or fails to respond, submits a delayed or unreasonable proposal, contractor may be deemed unresponsive. Contractor's failure to comply with due dates as issued by the University in its sole discretion, are subject to being deemed non-responsive to the terms and intent of the contract. Repeated failure to perform, including refusal to perform any task or any work in connection with a project, may result in termination of the agreement and may impact the prequalification rating for future CSU projects. Please refer to Section 04.00 Conduct of Work as well as Section 10.10 Failure of Contractor.

7.00 CHANGES IN THE WORK

7.01 Supplemental Job Orders

Additive or deductive changes in the Work requested in a Job Order (unless very minor) are dealt with by the issuance of a Supplemental Job Order to accommodate the changes. The procedures for the issuance of a Supplemental Job Order are the same as the procedures for the issuance of the original Job Order. Any additions are therefore calculated by using the appropriate item in the Construction Task Catalog, times the quantity to be added, times the appropriate Adjustment Factor. Time will be negotiated as appropriate for the Detailed Scope of Work.

7.02 Emergency Changes

Following are the kinds of emergency changes that the University may authorize in writing to the Contractor:

- changes in the work agreed by the Trustees to be necessary due to unforeseen Site conditions,
- discovery of Insurance,
- In plans or specifications requiring immediate clarification in order to avoid a serious Work stoppage,
- changes of a kind where the extent cannot be determined until completed, or under any circumstances whatsoever when deemed necessary by the University.

The Contractor shall make every reasonable effort to commence performance of the emergency change immediately upon receipt of written direction from the University. Compensation for emergency changes shall be accommodated by the same process described in Article 02.04.

7.03 Time Extensions, Net Credit Changes or Minor Additive Changes

The University may issue a Supplemental Job Order to extend the Job Order Completion Time as provided in Article 4.14 to:

- Assess liquidated damages as provided in Article 08.02, Delay in Completion – Liquidated Damages,
- adjust the Contract for a net credit per Article 04.28, Inspection of the Work,
- delete a portion of the scope, or
- perform a minor additive portion of Work.

The University shall use the Construction Task Catalog for additive Work, and University shall take the appropriate cost for deleted Work from the approved Job Order Price Proposal.

8.00 CLAIMS AND DAMAGES

8.01 Claims

a. Claims and Dispute Settlements

Contractor shall promptly submit any dispute related to this Contract or its breach that is not resolved by agreement in accordance with this Article 08.01, with adequate supporting data. Adequate supporting data shall include but is not limited to a statement of the reasons for the asserted entitlement, the certified payrolls, invoice(s) for material and equipment rental, an itemized breakdown of any adjustment sought, and supporting schedules.

Contractor's submission of a claim, properly certified, with all required supporting documentation, and Trustees' written rejection or denial of all or part of the claim(s) are conditions precedent to any action, proceeding, litigation, suit, or demand for arbitration by the Contractor.

At the time of submission of any claim, the Contractor shall certify as follows:

SUBMISSION UNDER PENALTY OF PERJURY	
"I, <u>(insert full name)</u>, am the <u>(insert title--must be an Officer)</u> of <u>(insert name of firm)</u>. I declare under penalty of perjury under the laws of the State of California and do personally certify and attest: I have thoroughly reviewed the attached claim for additional compensation and/or extension of time, and know its contents, and made said claim in good faith; and that: • the supporting data is truthful and accurate, • the amount requested accurately reflects the contract adjustment for which the Contractor believes the Trustees are liable, and further, I am familiar with California Penal Code section 72 and California Government Code section 12650 <i>et seq.</i>	
By: _____	Date: _____
(signature)	(insert date of signature)

b. Contractor's Claim(s) – Notice of Claim

In accordance with Article 06.02, should the Contractor disagree with the determination of the University on a matter that substantially affects the Contractor's costs,

compensation, or extent of Work, the Contractor may file a preliminary claim with the Trustees. For purposes of this Article, "claim" means a separate demand by Contractor, sent by registered or certified mail with return receipt requested, for one or more of the following:

- A time extension for relief from damages or penalty for delay,
- Trustees' payment which is not otherwise expressly provided or to which the Contractor is not otherwise entitled,
- Payment of an amount that the Trustees dispute,
- Subcontractor claims

c. Actions Prior to Claims Review Board

(1) Contractor's Claim Submittal/Documentation

Contractor submitted its claim in accordance with Article 08.01.

(2) Trustees' Review of Contractor's Claim upon Receipt

The Trustees shall conduct a reasonable review of the claim upon receipt and, within a period not to exceed 45 days, shall provide the Contractor a written statement identifying disputed and undisputed portions of the claim. Upon receipt of the claim and by mutual agreement, the Trustees and Contractor may extend the time provided herein.

The Trustees' failure to issue a written statement shall result in the rejection of the claim in its entirety. A claim that is denied by reason of the Trustees' failure to respond to the claim or to meet the time requirements contained herein shall not constitute an adverse finding regarding the merits of the claim or the responsibility/qualifications of the Contractor.

(3) Trustees' Payment of Undisputed Portion of Claim

The Trustees shall pay the undisputed portion of the claim within 60 days after issuing the written statement.

d. Information Meet and Confer Conference

If the Contractor disputes the Trustees' response, or if the Trustees fail to respond to Contractor's claim within the time prescribed, the Contractor may demand in writing an informal conference to meet and confer for settlement of the issues in dispute. Upon receipt of a demand in writing sent by registered or certified mail with return receipt requested, the Trustees shall schedule a meeting and confer conference within 30 days for settlement of the dispute.

Post-Meet and Confer Conference: Within ten business days following the conclusion of meet and confer conference, the Trustees shall provide Contractor a second written statement identifying the portion of the claim that remains in dispute and the portion that is undisputed. The Trustees shall pay the undisputed portion within 60 days after the Trustees issue the second written statement.

e. Nonbinding Claims Review Board

Contractor shall submit any remaining disputed portion of the claim to nonbinding Claims Review Board. If the Claims Review Board is unsuccessful, Contractor may submit the disputed portion of the claim to mediation.

f. Contractor Submission of Unresolved Claims

Contractor shall submit all claims in writing in accordance with this Article 08.01 to the Trustees no later than 30 Days after the County Recorder's recordation date on the Trustees' Notice of Completion. The Contractor's failure to submit its claims to the Trustees within this 30-Day period shall constitute a waiver by the Contractor of such claims. Once Contractor has submitted the claims, and the 30 Days after the County Recorder's recordation date on the Notice of Completion have expired, Contractor may not submit any additional claims. Contractor shall have 30 additional Days in which to submit six copies of a total and detailed claims package. Failure to submit the full detailed package within this second 30-Day period shall constitute a waiver by the Contractor of such claims.

g. False Claims

Contractor submits the claim recognizing the significant civil penalties and treble damages, which follow from making a false claim or presenting a false claim to the Trustees (see Government Code sections 12650 et seq.).

h. Trustees' Claim(s) Submittal

The Trustees shall submit a rebuttal to the Contractor's claim, along with any Trustees' claims to the Claims Review Board within a reasonable time after the submission by the Contractor of a total and detailed claims package or the expiration of the time to file Contractor's claims.

i. Contractor Rebuttal to Trustees' Claims

Upon submission of any Trustees claims, the Contractor shall have an additional 30-day period to submit to the Claims Review Board the Contractor's rebuttal to the Trustees' claims.

j. Claims Review Board

The Trustees will convene a Claims Review Board to hear the claims submitted at the completion of the Project. Each Claims Review Board shall continue to function until the members review all pertinent facts and arrive at a recommendation. The Assistant Vice Chancellor for Capital Planning, Design and Construction, or a designee administers the Claims Review Board process. These administrative responsibilities include, but are not limited to, selection of the Claims Review Board members, determination of the time and location of the hearing, and application of the Claims Review Board procedures. The Claims Review Board is comprised of representatives of the California State University, which may include representatives of Capital Planning, Design and Construction staff who have not had any direct connection to the Project. It is a neutral, lay dispute resolution board, in which an independent third-party board assists the parties in dispute resolution through negotiation or by issuance of an evaluation or recommendation. Attorneys and

third-party claims specialists may not participate in the hearings, with the exception of scheduling consultants. The Board's recommendation will be made as soon as possible after the conclusion of the hearing, and that recommendation is made to the appropriate University official and the Assistant Vice Chancellor of Capital Planning, Design and Construction.

The decision to accept or reject the Board's recommendation is the responsibility of either the University official, if the University administered the Project, or the Assistant Vice Chancellor, Capital Planning, Design and Construction, if the Chancellor's Office administered the Project. The decision of the University official or the Assistant Vice Chancellor (as appropriate) exhausts the Contractor's contractual and administrative remedies with the Trustees.

k. Actions Post Claims Review Board

(1) Initial Mediation

Should a dispute remain unresolved following exhaustion of the Claims Review Board process, the parties shall attempt in good faith first to mediate such dispute and use their best efforts to reach agreement on the matters in dispute.

Within ten business days after the Trustees have identified the disputed portion in the Trustees' second written statement, the Trustees and Contractor shall mutually agree to a mediator, for which the Trustees and the Contractor shall share the costs equally. If Contractor and Trustees cannot agree on a mediator, each party shall select a mediator, and these mediators shall select a qualified neutral third party to mediate with regard to the disputed portion of the claim. Each party shall bear the fees and costs charged by its respective mediator in connection with the selection of the neutral mediator.

(2) Other Dispute Resolution

If, on completion of such mediation, the parties are unable to agree and settle the dispute, then the parties may pursue the dispute in litigation or through some other dispute resolution technique, except arbitration.

8.02 Delay in Completion-Liquidated Damages

If the Contractor does not complete the Work within the time required, damage will be sustained by the University. It is, and will be, impractical and extremely difficult to determine the actual damage that the University will sustain due to the delay. It is therefore agreed that the Contractor will pay to the University the sum of money stipulated per day in the Job Order for each day's delay in completing the Work beyond the time prescribed, see Article 09.01 Acceptance. A credit Supplemental Job Order shall be executed to assess liquidated damages. If the Contractor fails to pay such liquidated damages, the University may deduct the amount thereof from any money due or that may become due the Contractor under the Contract (Public Contract Code section 10826). If the University has occupancy of all or a portion of the Project, the University may reduce the amount of assessment of liquidated damages, if it is determined to be in the best interest of the University. The University's assessment of liquidated damages shall not commence on a Saturday, Sunday or legal holiday.

The application of liquidated damages shall be noted on the Request for Proposal for each Job Order. The University reserves the right to negotiate the amount of liquidated damages for each Job Order, but in no event will the liquidated damages be less than the following schedule:

SCHEDULE FOR LIQUIDATED DAMAGES

Job Order Amount	Calendar Day
\$1.00 to \$100,000.00.....	\$ 350.00
\$100,000.01 to \$250,000.00.....	\$ 450.00
\$250,000.01 to \$400,000.00.....	\$ 600.00
\$400,000.01 to the limit set for Minor Cap projects.....	\$ 800.00

8.03 Failure to Meet Terms of Contract

If the University deems that the Contractor has failed to supply an adequate working force, or material of proper quality, or has failed in any other respect to prosecute the Work with the diligence and force specified in the Contract, it may take any of the actions authorized in Public Contract Code section 10843 et seq. The Contractor's failure to complete a punch list with diligence is an example of such failure to meet the terms of the Contract.

8.04 Third-Party Claims

The Trustees have full authority to compromise or otherwise settle any claim relating to a contract at any time. However, the Trustees shall notify the Contractor of the receipt of any third-party claim relating to the Contract (Public Contract Code section 9201).

8.05 Termination for Cause

If the Trustees deem that Contractor has failed to supply an adequate working force or material of proper quality, or Contractor has failed in any other respect to prosecute the Work with the diligence and force as required by the Contract, which will include the timely submission of a valid price proposal that meets all requirements of the contract, then the Trustees may take any of the actions authorized by Public Contract Code section 10843 et seq.

If the costs of finishing the Work exceed the unpaid balance of the Job Order Price, the Contractor shall credit the difference back to the Trustees.

The Contractor's failure to submit an acceptable Proposal conforming with the terms of this contract, on or before the due date assigned by the University in each instance, is an example of a failure to prosecute the Work with the diligence and force required by the Contract.

9.00 PAYMENT AND COMPLETION

9.01 Acceptance

When the University deems that the discrete Job Order is complete in all respects in accordance with the Contract Documents to the University's full satisfaction, the University will then file a Notice of Completion with the County Recorder in the county in which the Project is located. The date of acceptance of the Job Order as stated in the Notice of Completion shall be the official completion date related to the assessment of liquidated damages. Acceptance shall be final and conclusive except for latent defects, gross mistakes amounting to fraud, audit rights, or Trustees' rights under any warranty or guarantee.

The County Recorder's date of recording on the Notice of Completion, if filed in timely (within fifteen Days of acceptance), shall be the official completion date relating to claims and stop payment notices. Contractor and all subcontractors must file all stop payment notices with the University within 30 Days after the County Recorder's recordation date on the University's timely Notice of Completion. Contractor and all subcontractors shall submit claims arising from this Contract in writing to the University no later than 30 Days after the recordation date on the University's Notice of Completion, see Article 08.01, Claims.

9.02 Partial Payments

Once each month during the progress of the Work on each discrete Job Order, the Contractor shall submit a partial payment request. The Contractor shall base the partial payment request on the approved bid breakdown for the cost of the Work completed plus, where applicable, a maximum of 90% of the verified supplier-invoiced and Contractor-purchased value for the acceptable materials delivered to the Site or stored subject to the control of the Contractor but identified as the property of the Trustees, and not yet installed and as allowed on the Contract Payment Request, Form 702.12, line 2-f.

The partial payment request shall be submitted on the monthly anniversary of the day selected by the Contractor in the pre- construction conference. Partial payment requests shall be processed with five percent retention. The University holds retention as security for the fulfillment of an individual Job Order by Contractor. The University will withhold sufficient funds in addition to the retention to cover for anticipated liquidated damages, stop payment notices, Labor Code wage and penalty assessments, unacceptable Work, punch list Work and University back-charges such as for retesting and re-inspection. The Trustees will withhold monies from partial payments for incomplete punch list Work in addition to retention. The Trustees shall not process partial release of retention before Contract completion (Public Contract Code section 10781).

The University shall not construe partial payments as acceptance of any Work that is not in accordance with the requirements of the Job Order. Payment will be processed in accordance with section 10853 of the Public Contract Code, which provides 39 Days processing, from the date of receipt at the University, prior to assessment of late payment interest. Payment terms shall not apply to payments that are delayed due to Design-Builder initiated payment account changes.

9.03 Stop Payment Notices

For each discrete Job Order, the University shall retain out of any money due or that may become due the Contractor, sums sufficient (125 percent of the claim) to cover claims filed pursuant to the stop payment notice provisions of the law (Civil Code, section 9000 et seq.).

All parties shall present preliminary notices and stop payment notices for each discrete Job Order, shall be presented to the Construction Administrator in proper form, and should send a copy to the Trustees at the address identified in the letter transmitting the Contract for signature and at the preconstruction conference. Contractor shall be responsible for communicating this information to all subcontractors.

9.04 Payment

After Trustees' acceptance of the Job Order as complete, the Contractor shall submit to the Construction Administrator a payment request of the total due under the Contract less the retention. The University shall process this payment request in the same manner as the partial payment requests. Reference Article 09.02, Partial Payments.

The University shall notify the Contractor of the date of recordation of the Notice of Completion. The Contractor shall submit a request for payment of the retention to the Construction Administrator, who will process the retention payment 30 Days after the date of recordation by the County Recorder.

The University shall continue to retain funds to cover liquidated damages, stop payment notices, state labor commissioner claims, back charges from the University, unexecuted credit Supplemental Job Orders, and other such claims received up to the end of the 30 Days period following recordation. Upon receiving any stop payment notice, the University shall withhold payment in an amount of at least 125 percent of the total claims filed until either the rights under the stop payment notices have been settled, or the Contractor has posted sufficient bond in an amount of at least 125 percent of the total claims filed to secure payment of such claims.

9.05 Guarantee

The Contractor hereby unconditionally guarantees the Work under this Contract to be in conformance with the Contract requirements and to be and remain free of defects in workmanship and materials for a period of one year from the date of acceptance of the Job Order pursuant to Article 04.14 (II), Starting and Completion Date, unless the Trustees stipulate to a longer guarantee period in the Job Order Contract Documents. Contractor shall obtain and deliver to the University all manufacturers' warranties; the manufacturers' warranties shall start on the acceptance date noted on the Notice of Completion and shall run through the full term of each manufacturer's warranty. By this guarantee the Contractor agrees, within the guarantee period, to repair or replace any Work, together with any adjacent Work that may be displaced in so doing which is not in accordance with the requirements of a Job Order issued under this Contract or which is defective in its workmanship or material, all without any expense whatsoever to the University.

The Contractor who is responsible for the entire Work and the subcontractor that performs the Work shall sign special guarantees required by any Job Order.

Contractor agrees that within ten (10) Days after University notifies Contractor in writing of any Work not in accordance with the requirements of any Job Order issued under this Contract or any defective Work, Contractor agrees to commence and prosecute with due diligence all Work necessary to fulfill the terms of this guarantee and to complete the Work in accordance with the requirements of the Contract within a reasonable period of time. The Contractor, in the event of failure to comply, does hereby authorize the University to proceed to have the Work done at the Contractor's expense, and agrees to pay the cost thereof upon demand. The University shall be entitled to all costs necessarily incurred upon the Contractor's refusal to pay the above cost.

Notwithstanding the foregoing paragraph, in the event of an emergency constituting an immediate hazard to health or safety of the Trustees' employees, property, or licenses, the University may undertake at the Contractor's expense, without prior notice, all Work necessary

to correct hazardous conditions caused by the Work of the Contractor that is not in accordance with the requirements of any Job Order issued under this Contract.

9.06 Contractor Evaluation

A contractor evaluation will be performed by the University, and a report filed with the Trustees after completion of the Contract. If the Contractor fails to perform the construction Contract responsibly by failing to complete all Work and requirements, including honoring the warranty, the construction administrator shall so state the facts on the Contractor Evaluation Form. If an evaluation results in a non-responsible contractor finding, it could affect the Contractor's prequalification and the Trustees Prequalification Administrator may deem the Contractor ineligible to bid on Trustees' Work. Refer also to Article 02.09, Failure to be a Responsible Bidder.

10.00 MISCELLANEOUS

10.01 Governing Law

The Contract shall be governed by the law of the State of California.

10.02 Successors and Assigns

The Trustees and Contractor respectively bind themselves and their successors, permitted assigns, and legal representatives to the other party and to the successors, permitted assigns, and legal representatives of such other party in respect to covenants, agreements, and obligations contained in the Contract Documents. Neither party to the Contract shall assign the Contract, in whole or in part, without prior written consent of the other party. Notwithstanding any such assignment, each of the original contracting parties shall remain legally responsible for all of its obligations under the Contract.

10.03 Rights and Remedies

All Trustees' rights and remedies under the Contract Documents will be cumulative and in addition to and not in limitation of all other rights and remedies of Trustees under the Contract Documents or otherwise available at law or in equity.

No action or failure to act by Trustees or Trustees' representative will constitute a waiver of a right afforded them under the Contract, nor will such action or failure to act constitute approval of or acquiescence in a condition or breach thereunder, except as may be specifically agreed in writing. No waiver by Trustees or Trustees' representative of any condition, breach or default will constitute a waiver of any other condition, breach or default; nor will any such waiver constitute a continuing waiver.

No provision contained in the Contract Documents shall create or give to third parties any claim or right of action against the Trustees, Trustees' representative, or Contractor.

10.04 Waiver

A waiver of or failure by Trustees or Trustees' representative to enforce any requirement in this Agreement will not constitute a waiver of and will not preclude the Trustees or Trustees' representative from enforcing, any other requirement of the Agreement, and the Agreement will remain valid.

A waiver of or failure by Trustees or Trustees' representative to enforce any requirement in this Agreement in connection with any adjustment of the Job Order Price or Job Order Completion Time will not constitute a waiver of and will not preclude the Trustees or Trustees' representative from enforcing, such requirements in connection with any other adjustments of the Job Order Price or Job Order Completion Time.

The Contractor agrees and understands that no oral directive, approval or representation, either express or implied, by Trustees or its agents shall be binding upon Trustees.

10.05 Survival

The provisions of the Contract which by their nature survive termination of the Contract or Acceptance under Article 09.01, including all warranties, indemnities, payment obligations, and Trustees' right to audit Contractor's books and records, shall remain in full force and effect after Acceptance or any termination of the Contract.

10.06 Complete Agreement

The Contract Documents constitute the full and complete understanding of the parties and supersede any previous agreements or understandings, oral or written, with respect to the subject matter hereof. The Contract may be modified only by a written instrument signed by both parties or as provided in Article 07.00.

10.07 Severability of Provisions

If any one or more of the provisions contained in the Contract Documents should be invalid, illegal, or unenforceable in any respect, the validity, legality, and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.

10.08 Notices

Except as otherwise provided, all notices, requests, demands, and other communications to be given under the Contract Documents shall be in writing and shall be transmitted by one of the following methods:

- Personally delivered
- Sent by facsimile copy where receipt is confirmed
- Sent by courier where receipt is confirmed
- Sent by registered or certified mail, postage prepaid, return receipt requested.

Such notices and other communications shall be deemed given and received upon actual receipt in the case of all except registered or certified mail; and in the case of registered or certified mail, on the date shown on the return receipt or the date delivery during normal business hours was attempted. Such notices and communications shall be given at the respective street addresses set forth in the Agreement.

10.09 Counterparts

Agreements may be executed in counterparts, each of which shall be deemed to be an original, but all of which, taken together, shall constitute one and the same Agreement. The exchange of copies of this Agreement and of signature pages by electronic mail in "portable document format" (".pdf") form or by any other electronic means shall constitute effective execution and

delivery of this Agreement and shall have the same effect as copies executed and delivered with original signatures.

10.10 Failure of Contractor

Failure of Contractor to meet all provisions of the General Conditions can result in termination of contract or disqualification as an unresponsive bidder. Repeated failures to perform, including refusal to perform any task or any work in connection with a project, may result in termination of the agreement and may impact the prequalification rating or ability to prequalify for future CSU projects.

- End of Contract General Conditions for Job Order Contracts -

Supplementary General Conditions to Contract General Conditions for Job Order Contracts

Article 05.09, Job Order Contracting Software, delete last sentence and add the following:

A. Gordian JOC Solution

The Trustees selected The Gordian Group's (Consultant) Job Order Contracting ("JOC") Solution (Gordian JOC Solution) for their JOC program. The Gordian JOC Solution includes Consultant's proprietary eGordian JOC applications (JOC Applications) and construction cost data (Construction Task Catalog), which shall be used by the Contractor to prepare and submit Job Order Price Proposals, subcontractor lists, and other requirements specified by the University. The Contractor's use, in whole or in part, of the Consultant's JOC Applications, Construction Task Catalog and other proprietary materials provided by the Consultant for any purpose other than to execute work under this Contract for the University is strictly prohibited unless otherwise approved in writing by the Consultant. The Contractor hereby agrees to abide by the terms of the following JOC System License.

B. JOC System License

The Consultant hereby grants to the Contractor, and the Contractor hereby accepts from the Consultant for the term of this Contract or Consultant's Contract with the University, whichever is shorter, a non exclusive right, privilege, and license to Consultant's proprietary JOC System and related proprietary materials (collectively referred to as "Proprietary Information") to be used for the sole purpose of executing Contractor's responsibilities to the University under this Contract. The Contractor hereby agrees that Proprietary Information shall include, but is not limited to, Consultant's JOC Applications and support documentation, Construction Task Catalog, training materials and other Consultant provided proprietary materials. In the event this Contract expires or terminates as provided herein, or the Consultant's Contract with the University expires or terminates, this JOC System License shall terminate and the Contractor shall return all Proprietary Information in its possession to the Consultant.

Consultant may terminate this License Agreement in the event of: (1) any breach of a material term of this Agreement by the Contractor which is not remedied within ten (10) days after written notice to the breaching party; or (2) the other party's making an assignment for the benefit of its creditors, or the filing by or against such party of a petition under any bankruptcy or insolvency law, which is not discharged within thirty (30) days of such filing.

The Contractor acknowledges that disclosure of Proprietary Information will result in irreparable harm to the Consultant for which monetary damages would be an inadequate remedy and agrees that no such disclosure shall be made to anyone without first receiving the written consent of the Consultant. The Contractor further acknowledges and agrees to respect the copyrights, registrations, trade secrets, and other proprietary rights of the Consultant in the Proprietary Information during and after the term of this Contract and shall at all times maintain complete confidentiality with regard to the Proprietary Information provided to the Contractor.

In the event of a conflict in terms and conditions between this JOC System License and any other terms and conditions of this Contract or any Job Order, Purchase Order or similar purchasing document issued to the Contractor by the University, this JOC System License shall take precedence.

End of Supplementary General Conditions

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DIVISION 1 – SPECIAL CONDITIONS

SECTION 1A – Special Conditions

1A-01 SCOPE OF WORK

TBD

1A-02 PROJECT LOCATION

CSU East Bay: Hayward Campus, Concord Campus and Oakland Campus

1A-03 PROJECT DURATION

TBD

1A-04 PROJECT INSPECTOR/MANAGER

TBD

DIVISION 1 – GENERAL REQUIREMENTS

SECTION 1B – Special Conditions

1B-01 CONDITIONS

- a. Contractor is to verify accessibility to the project site prior to bid submittal.
- b. Contractor is to verify existing conditions.
- c. Contractor to immediately inform the Project Inspector/Manager of all conditions discovered before or during, the project that can hamper its successful completion.

1B-02 STANDARDS

- a. All electronic drawings must meet the current CSU CAD standards.

1B-03 PERMITS

- a. Contractor is not required to obtain a construction permit, but shall be required to secure all other necessary permits.

1B-04 INSPECTIONS/FEES

- a. Contractor is to arrange for, and bear the cost of, all inspections.

1B-05 TESTS

- a. Tests of materials and/or inspections will be made when required by these specifications or by applicable laws, rules and regulations, by a Testing Laboratory engaged by the University. The University will pay for all such tests and inspections, except as noted in Section b. below.
- b. When, in the opinion of the University, additional tests or inspections are required because of the manner in which the contractor executes his/her work, such tests and inspections shall be paid for by the University, but will be deducted from the contract price. Examples of such tests and inspections are: tests of materials substituted for previously accepted materials, or substituted for specified materials; re-tests made necessary by failure of materials to comply with the requirements of the specifications; load tests made necessary because of portions of the structure not fully meeting specifications or plan requirements, etc.

1B-06 WARRANTIES AND GUARANTEES

- a. Contractor guarantees all labor, materials and equipment for a period of one year from the date of final acceptance of the project. Contractor guarantees an appropriate response, within 24 hours, to CSUEB notification of any problem resulting from contract performance.

1B-07 SUBMITTALS

- b. Materials/Equipment List - Prior to commencing the project, the contractor shall submit to the Project Inspector/Manager, for approval, two copies of all proposed materials, equipment, etc. to be used on the project.

- c. Material Safety Data Sheets (MSDS) Two Copies of MSDS for all materials to be used on the project must be submitted to the Project Inspector/ Manager within five days after award of the contract and/ or before new chemicals are brought on site. The project manager will forward the MSDS to the Environmental Health and Safety Officer.
- d. Injury and Illness Prevention Program (IIPP) – The contractor and all sub-contractors must have an active and implemented IIPP and submit a copy upon request.

1B-08 PROJECT COORDINATOR

- a. The contractor is to provide a project superintendent. The project superintendent is to coordinate all project and sub-contracted activities with the University's Project Inspector/Manager.

1B-09 SUBCONTRACTORS

- a. Each specialty, i.e., electrical, mechanical, etc., must be performed by a subcontractor licensed for that trade. A General Contractor's license alone is not acceptable.

1B-10 WORK HOURS

- a. Work to be performed during the hours of 7:00 a.m. to 4:00 p.m., Monday – Friday, unless otherwise stipulated during the "Pre-Job Start Meeting".

1B-11 PRE-JOB START MEETING

- a. The primary contractor and all sub-contractors are to attend a mandatory pre-job start meeting.

1B-12 SAFETY

- a. POLICE: Contractor to immediately request help for all emergencies (police, fire, medical, environmental) by dialing 9-1-1 from any campus telephone. Dialing 9-1-1 will connect contractor to the UPD (University Police Department) located in the Library, room 1063. To reach the UPD dispatch from a non campus telephone, call 510-885-3791. (UPD is located at the Library loading dock, immediately across the street from the Warren Hall administration building.)
- b. ENVIRONMENTAL HEALTH & SAFETY: Contractor to notify the University's Environmental Health & Safety Officer and project contract manager/ inspector regarding all situations encountered involving environmental health and safety. The Environmental Health & Safety Officer can be contacted at telephone number 510-885-4139.
- c. FIRE PROTECTION
 - 1. Flammables Storage: Storage of flammable materials and paints are not permitted within University buildings. Interact with the Project Inspector/Manager to determine where these items may be stored outside of the buildings. Keep excess flammable liquids being used inside University buildings in closed metal containers and immediately remove them from the building during unused periods of time.
 - 2. The project manager must be notified prior to the beginning of work on any activities that may affect fire protection, suppression or alert systems. A serviced fire extinguisher shall be required at each location where cutting or welding work is being performed. Where electric or gas welding or cutting

work is done, interposed shields of incombustible materials shall be used to protect against fire damage due to sparks and hot metal.

- e. Barricades: Contractor to provide and install appropriate barricades, fences, access control barriers, etc., as needed to secure a safe perimeter at the project site at all times.
- f. Warning Signs: Contractor to provide and install all necessary warning/safety signs and other devices according to existing codes and CAL OSHA Health and Safety regulations.
- g. Equipment Inspections: The University reserves the right to inspect all equipment used to verify that it is in good condition, complies with applicable codes, and does not pose a safety hazard to the public. If the University determines that any equipment is in poor or unsafe condition, it cannot be used on University property or plugged into any University utility system.
- h. Housekeeping: Contractor to maintain good housekeeping at all times to reduce the risk of injury to persons and/or damage to facilities and vehicles.
- i. Confined Spaces: Contractor is to adhere to California Code of Regulations, Title 8, Sections 5157-5159 when working in confined spaces.
- j. Asbestos Containing Materials (ACM): Surveys of University buildings and other University protocols have established the presence of ACM in most University buildings. This statement is given to alert contractor to this fact as required by Health and Safety Code Section 25915 and to inform contractor that more detailed information on exact locations, bulk and air samples, and other related information must be reviewed at the University's Environmental Health and Safety Office, Student Services Hub 1216, or by calling 510-885-2269. If contractual activities have the possibility of disturbing or making contact with asbestos containing materials, current training precautions and procedures that must be followed will be reviewed at the pre-bid job site inspection meeting.
- k. Hazard Communication Program: Title 8, Section 5194 of the California Administrative Code requires that the University inform the Contractor's employees working in the University workplace of the hazardous substances to which they may be exposed to while performing their work, and any suggestions for appropriate protective measures. The University will provide this information to the Contractor during the pre-job site meeting and/or by asking the Contractor to telephone the Environmental Health and Safety Officer at 510-885-2269 or Fax 510-885-4690 for additional information.
- l. Material Safety Data Sheets (MSDS): Two copies of MSDS for all materials to be used on the project must be submitted to the Project Inspector/ Manager within five days after award of the contract and/ or before any new chemicals are brought on site. The project manager will forward the MSDS to the Environmental Health and Safety Officer.
- m. Injury and Illness Prevention Program (IIPP): Contractor and all sub-contractors are to have a copy of an active and implemented IIPP available for submittal to this program upon request. (See Section 1B-07, c.)

1B-13 CAMPUS KEYS

- a. Project access keys may be provided daily to the contractor. Keys shall be checked out and returned daily by the contractor. Keys will be available at the Department of Facilities Management Office.

1B-14 PARKING AND PARKING PERMITS

- a. The contactor shall initiate requests for University Parking Permits at the University Police Department, located in the Library, room 1063. (At the Library loading dock, immediately across the street from the Warren Hall administration building.)
- b. Personally owned vehicles may only be parked in general parking lots with a valid parking permit.
- c. Company vehicles that are not engaged in construction activities must be parked in general parking lots with a valid parking permit.
- d. Company vehicles actively engaged in construction activities require an inner campus parking permit.
- e. Vehicles may not be parked in grass areas, planted areas, fire lanes, building entrances, building exits and building service area access.
- f. Vehicles illegally parked or without valid parking permits may be ticketed or towed.

1B-15 ACCESS TO WORK AREA/RESTROOMS

- a. Access to work areas shall be via routes prescribed by the Project Inspector/Manager. Contractor activity (pedestrian and/or vehicular) shall be conducted so as to avoid any interference with existing University facilities or their normal operations.
- b. Contractor shall confine his/her activities to the general area of the project. When necessary to work outside of this general area in order to comply with the contract documents, the contractor shall notify the University and shall work within limits approved by the Project Inspector/Manager.
- c. Restroom facilities are located in each campus building.

1B-16 UTILITIES

- a. Temporary Utility Services: Utility services, other than telephone, are available at the job site at no charge. Solicit guidance from the Project Inspector/Manager regarding methods for acquiring, distributing and maintaining all temporary utility services required for the duration of the project.
- b. Utility Shutdown: Contractor is to secure approval at least one week in advance of a proposed utility shutdown.
- c. Telephone: The University does not provide the Contractor telephone service other than for emergency requirements.

1B-17 DELIVERY, STORAGE, AND HANDLING

- a. Delivery: Materials/equipment are to be delivered to the job site and stored in areas agreed upon at the pre-job start meeting or as designated by the Project Inspector/Manager. Materials/equipment are not to be delivered to the University's Receiving Department.
- b. Responsibility: CSUEB assumes no responsibility for loss, theft or damage to contractor tools, equipment, and/or materials. Contractor retains ownership of materials until such time they are permanently installed/affixed to University facilities and accepted.
- c. Removal: All debris are to be removed immediately upon demolition or dismantling. All scrap materials, rubbish and trash shall not be permitted to be scattered to areas adjacent to the project site and shall be removed daily from the area. (See Section 1B-12.C.1, 1B-12.C.2. above regarding flammable materials.)

1B-18 DAMAGE

- a. Contractor is responsible for all damage to University facilities and grounds caused by the Contractor, sub-contractors and all other agents of the Contractor. Contractor guarantees to effect timely repairs and to bear all costs of such repairs which are to be completed to the satisfaction of the University.

1B-19 FINAL CLEANING

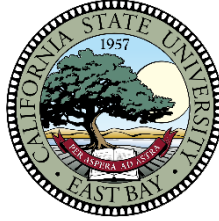
- a. In addition to daily worksite cleaning, the Contractor shall perform a complete janitorial service in the area of the work just prior to final acceptance.
- b. Cleansing agents shall be of a type that will not be injurious to the surfaces on which they are used. MSDS for all chemicals to be used on the project must be submitted to the Project Inspector/ Manager within five days after award of the contract and/ or before any new chemicals are brought on site. The project manager will forward the MSDS to the Environmental Health and Safety Officer.

1B-20 WORKING HOURS

- a. Adjustment Factors:
 - Normal Working Hours: 8:00 am to 5:00 pm Monday to Friday, except Holidays
 - Other Than Normal Working Hours: 5:00 pm to 8:00 am Monday through Saturday
 - Premium Working Hours: Overtime, Sundays, and Holidays

END OF SECTION

CALIFORNIA STATE UNIVERSITY, EAST BAY



**CONSTRUCTION COVID-19 REQUIREMENTS &
GUIDANCE**
EXHIBIT "E"
JOB ORDER CONTRACT

Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
Bid Opening Date: July 10, 2026
Bid Opening Time: 2:00 PM

Request bid packets from:
San Francisco Bay Region Network
Procurement Services
Attention: Larry Lee
larrylee@sfsu.edu

Submit bids on the attached bid proposal form in a sealed envelope plainly marked "BID
OPENING" and with the following information:

Date of Bid Opening: July 10, 2026, 2:00 PM
Project: JOB ORDER CONTRACT
Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

Bid received after 2:00 PM PST will be rejected

CPDC Bulletin

21-001

☒ Information

☐ Administrative

☐ Procedural

☐ Technical

☐ CCR Title 24

☐ Design

☒ Construction

☐ Inspection

Bulletin: Updated Construction COVID-19 Requirements & Guidance

Effective Date: January 31, 2021

From: CPDC Construction Services

1. This bulletin is to provide awareness of recent COVID-19 related Federal, State, and CSU safety and reporting requirements and guidelines.
 - a. Cal/OSHA has recently updated Title 8, Subchapter 7, "COVID-19 Prevention - Emergency Temporary Standards." See [Weblink A](#) for the text of the standards, FAQ, and Facts sheet from the CA Department of Industrial Relations website. Always reference the link for the current version as these documents will be periodically updated by Cal/OSHA.
 - b. The CSU "COVID Construction Safety Protocol" has been updated to include the revised Cal/OSHA regulations. **See Attachment A.**
 - i. The campus shall distribute the revised protocol to all contractors on active projects with direction to implement the revision in the project specific COVID-19 safety plans for review and approval by the campus if they have not done so already.
 - ii. Within one week, the campus shall direct all prime contractors to hold an "all-hands" safety meeting with all construction employees on the job site to review the updated project specific COVID-19 safety plan. An agenda outlining the items discussed, a copy of the revised project specific COVID-19 safety plan distributed to all workers in attendance, and a sign-in sheet shall be transmitted to the campus as record of this meeting.
 - iii. "All-hands" meetings shall take place each time there are updates to the COVID-19 safety plan to discuss the detail and logistical implications of the updates.
 - iv. Review of the site specific COVID-19 plan shall be part of the mandatory safety orientation required for all workers that are new to the jobsite. Each worker shall receive a copy of the site specific COVID-19 safety plan in their language of choice.
 - c. In addition to the revised CA/OSHA regulations, OSHA has published construction industry specific guidance.

Updated Construction COVID-19 Requirements

- i. See [Weblink B](#) for, “OSHA Alert, COVID-19 Guidance for the Construction Workforce.”
- ii. See [Weblink C](#) for OSHA’s COVID-19 Control and Prevention Guidance for Construction Work, which discusses exposure risk levels, engineering controls, administrative controls, safe work practices, and personal protective equipment.
- d. OSHA has recently issued “Protecting Workers: Guidance on Mitigating and Preventing the Spread of COVID-19 in the Workplace,” (1/29/21). This guidance is intended to help determine appropriate exposure control measure to implement in the workplace. [See Weblink D.](#)
- e. CSU has recently updated and distributed the “COVID-19 Campus Exposure Investigation and Response Guidelines.” These guidelines outline the campus response and reporting obligations related to exposure within campus communities. **See Attachment B.**
 - i. Although these guidelines address the campus legal obligation to respond to and investigate contractor/vendor exposures within campus communities, they do not provide detailed guidance since the logistics for every type of vendor and/or contractor are unique. Due to the volume of construction contractor employees within our campus communities, CPDC has developed a standardized project exposure reporting system to address. **See Attachment C.**

Attachments: Attachment A - “COVID Construction Safety Protocol” dated 1/28/2021
Attachment B - “COVID-19 Campus Exposure Investigation and Response Guidelines” dated 12/2020
Attachment C – CSU Construction Contractor Reporting Procedures dated 1/31/2021

Weblinks: Weblink A - <https://www.dir.ca.gov/dosh/coronavirus/ETS.html>
Weblink B - <https://www.osha.gov/Publications/OSHA4000.pdf>
Weblink C - <https://www.osha.gov/coronavirus/control-prevention/construction>
Weblink D - <https://www.osha.gov/coronavirus/safework#additional-detail-key-measures>

Applicability: All CSU construction staff, and construction related contractors working within campus communities on Major and Minor capital projects

End of Bulletin

California State University COVID Construction Safety Protocol

California State University (CSU) is committed to ensuring the health and well-being of our employees, their families, and contractors working on CSU sites. The spread of COVID-19 has become a significant public health concern; that's why we are taking precautions to protect employees, the public around our projects and the many third parties we interact with each day. This means demonstrating healthy hygiene practices, maintaining a safe work environment, and safeguarding the continuity of business operations.

While COVID-19 is currently only known to spread with human to human contact, healthy personal hygiene practices, such as handwashing, social distancing, and staying home when sick, are important steps employees can take to prevent the spread of infectious diseases.

Maintaining a healthy work site is just as important. Project supervision should take the following steps along with any others developed by CDC, local and state government to help reduce the risk of transmitting communicable diseases.

The contractor shall designate a site specific COVID-19 Supervisor to enforce this guidance. A designated COVID-19 Supervisor shall be present on the construction site at all times during construction activities. The COVID19 Supervisor can be an on-site worker who is designated to carry this role.

Contractor's shall post signs in conspicuous locations at all jobsite entrances instructing, "Workers not to enter or proceed with work if they are experiencing symptoms of respiratory illness, including fever or cough, and are recommended to practice social distancing when working in confined spaces to the extent feasible."

Contractors shall maintain a daily attendance log of all workers and visitors.

- **Communication – T8 CCR: 3205 (c)(1)**

Employers will be required to establish a system of communication to include:

- Employee's ability to report possible COVID-19 exposures, and hazards without the fear of reprisal.
- Procedures and policies for accommodating employees with medical or other conditions that put them at increased risk of severe COVID-19 illness
- Provide information about COVID-19 testing
- Communicate information about COVID-19 hazards and the employer's COVID-19 policies and procedures to employees and to other employers, persons, and entities within or in contact with the employer's workplace.

- **Identification and Evaluation of COVID 19 Hazards – T8 CCR: 3205 (c)(2)**

Employers will be required to:

- Allow for employee and authorized employee representative participation in the identification and evaluation of COVID-19 hazards.
- Develop and implement a process for screening employees for and responding to employees with COVID-19 symptoms.

- Develop policies and procedures to respond effectively and immediately to individuals at the work place who are a COVID-19 case to prevent or reduce the risk of transmission.
 - Conduct a workplace-specific identification of all interactions areas, activities, processes, equipment and materials that could potentially expose employees to COVID-19 hazards.
 - For indoor locations, the employer shall evaluate how to maximize the quantity of outdoor air and whether it is possible to increase filtration efficiency to the highest level compatible with the existing ventilation system.
 - Review applicable orders and guidance from the State of California and the local health department related to COVID-19 hazards and prevention.
 - Evaluate existing COVID-19 prevention controls at the workplace and the need for different/additional controls.
 - Conduct periodic inspections as needed to identify unhealthy conditions, work practices, and work procedures related to COVID-19.
- **Investigation and Responding to COVID-19 cases in the workplace – T8 CCR: 3205 (c)(3)**
 - The employer shall have an effective procedure to investigate COVID-19 cases in the workplace.
 - The employer shall take the following action when there has been a COVID-19 case at the place of employment.
 1. Determine the day and time the COVID-19 case was last present and to the extent possible, the date of the positive test(s) and/or diagnosis, and the date the case first had one or more symptoms, if any were experienced.
 2. Determine who may have had a COVID-19 exposure.
 3. Give notice of the potential COVID-19 exposure, within one business day, in a way that does not reveal any personal identifying information of the COVID-19 case, to the following.
 - a) All employees who may have had COVID-19 exposure and their authorized representatives.
 - b) Independent contractors and other employers present at the workplace during the high-risk exposure period.
 4. Offer COVID-19 testing at no cost to employees during their working hours.
 5. Investigate whether any workplace conditions could have contributed to the risk of COVID-19 exposure and what could be done to reduce exposure.
 - The employer shall ensure that all employee medical records required by this section and sections 3205.1 through 3205.4 are kept confidential and are not disclosed or reported without the employee's express written consent to any person within or outside the workplace.
- **Correction of COVID-19 hazards – T8 CCR: 3205 (c)(4)**
 - Employers shall implement effective policies and/or procedures for correcting unsafe or unhealthy conditions, work practices, policies and procedures in a timely manner based on the severity of the hazard.
- **Employers shall provide Training and instruction – T8 CCR: (c)(5)**

To include:

- The employers COVID-19 policies and procedures.
 - Information regarding COVID-19 related benefits to which the employee may be entitled under applicable federal, state or local laws.
 - The fact that COVID-19 is an infectious disease that can be spread through the air or contaminated objects.
 - Methods of physical distancing and wearing face coverings.
 - The fact that particles containing the virus can travel more than six feet, especially indoors, so physical distancing must be combined with other controls, including face coverings and hand hygiene, to be effective.
 - The importance of frequent hand washing with soap and water for at least 20 seconds and using hand sanitizer when employees do not have immediate access to a sink or hand washing facility, and that hand sanitizer does not work if the hands are soiled.
 - Proper use of face coverings
 - COVID-19 symptoms, and the importance of not coming to work and obtaining a COVID-19 test if the employee has COVID-19 symptoms.
- **Employers shall implement project procedures for Physical distancing – T8 CCR: 3205 (c)(6)**
 - All employees shall be separated from other persons by at least six feet, except where an employer can demonstrate that six feet of separation is not possible, and except for momentary exposure while persons are in movement.
 - When it is not possible to maintain a distance of at least six feet, individuals shall be as far apart as possible.
- **Face Coverings – T8 CCR: 3205 (c)(7)**
 - Employers shall provide face coverings and ensure they are worn by employees over the nose and mouth when indoors, when outdoors and less than six feet away from another person, and where required by orders from the CDHP or local health department. Face shields are not a replacement for face coverings, although they may be worn together for additional protection.

Exceptions:

1. When an employee is alone in a room.
2. While eating and drinking at the workplace, provided employees are at least six feet apart and outside air supply to the area, if indoors air has been maximized to the extent possible.
3. Employees wearing respiratory protection in accordance with section 5144 or other title 8 safety orders.
4. Employees who cannot wear face coverings due to a medical or mental health condition or disability, or who are hearing-impaired or communicating with a hearing impaired person. **(See alternative provisions in the regulation)**
5. Specific task which cannot feasibly be performed with a face covering.

- **Employers will evaluate additional options to provide engineering controls, administrative controls and personal protective equipment, such as - T8 CCR: 3205 (c)(8)**
 - At fixed work locations where it is not possible to maintain the physical distancing requirement at all times, the employer shall install cleanable solid partitions that effectively reduce aerosol transmission between the employee and other persons.
 - For buildings with mechanical or natural ventilation, or both, employers shall maximize the quantity of outside air provided to the extent feasible.
 - Employers shall implement cleaning and disinfecting procedures.
 - To protect employees from COVID-19 hazards, the employer shall evaluate its handwashing facilities.
 - Employers shall evaluate the need for personal protective equipment.

- **Recording, Recordkeeping and Access - T8 CCR: 3205(c)(9)**

Responsibilities:

- The employer shall report information about COVID-19 cases at the workplace to the local health department whenever required by law and shall provide any related information requested by the local health department.
 - The employer shall report immediately to the Division any COVID-19-related serious illness or death, as defined under section 330 (h), of an employee occurring in a place of employment or in connection with any employment.
 - The employer shall maintain records of the steps taken to implement the written COVID-19 Prevention Program.
 - The written COVID-19 Prevention Program shall be made available at the workplace to employees, authorized employee representatives, and the Division immediately upon request.
 - The employer shall keep a record of and track all COVID-19 cases.
- **Excluding COVID-19 Employees from the Workplace – T8 CCR 3205(c)(10)**
 - Employers shall ensure that COVID-19 cases are excluded from the workplace until the return to work requirements of subsection 3205 (c) (11) are met.
 - Employers shall exclude employees with COVID-19 exposure from the workplace for 14 days after the last known COVID-19 exposure to a COVID-19 case.
 - For employees excluded from work under subsection 3205 (c) (10) and otherwise able and available to work, employers shall continue and maintain an employee's earnings, seniority, and all other employee rights and benefits including the employee's right to their former job status, as if the employee had not been removed from their job. Employers may use employer-provided employee sick leave benefits for this purpose and consider benefit payments from public sources in determining how to maintain earnings, rights and benefits, where permitted by law and when not covered by workers' compensation.

(See complete standard for exceptions)

- **Employers shall implement Return to work criteria to include – T8 CCR: 3205(c)(11)**

- COVID-19 cases with COVID-19 symptoms shall not return to work until:
 1. At least 24 hours have passed since a fever of 100.4 or higher has resolved without the use of fever-reducing medications;
 2. COVID-19 symptoms have improved; and
 3. At least 10 days have passed since COVID-19 symptoms first appeared.

COVID-19 cases who tested positive but never developed COVID-19 symptoms shall not return to work until a minimum of 10 days have passed since the date of specimen collection of their first positive COVID-19 test.

A negative COVID-19 test shall not be required for an employee to return to work.

If an order to isolate or quarantine an employee is issued by a local or state health official, the employee shall not return to work until the period of isolation or quarantine is completed or the order is lifted. If no period was specified, then the period shall be 10 days from the time the order to isolate was effective, or 14 days from the time the quarantine was effective.

Employers must also adhere to the regulatory specifications of 3205.1 – 3205.4 where applicable.

Additional resources:

<https://www.dir.ca.gov/title8/3205.html>
https://www.dir.ca.gov/title8/3205_1.html
https://www.dir.ca.gov/title8/3205_2.html
https://www.dir.ca.gov/title8/3205_3.html
https://www.dir.ca.gov/title8/3205_4.html

California State University COVID-19 Campus Exposure Investigation and Response Guidelines

As California State University campuses continue to engage in limited face-to-face learning and research, we are committed to maintaining the health and safety of our students, faculty, staff, and the communities we serve. Despite mitigation efforts aligned with public health guidelines, including implementation of recommended physical distancing and other preventive measures, it is recommended that campuses plan for the likelihood that there will be new cases of Sars-CoV-2 (COVID-19) identified among campus populations for the indefinite future.

This is not a written COVID-19 Prevention Plan. Instead, this resource document was developed by an interdisciplinary systemwide group to provide guidance on steps to take when the campus is made aware that a member of the campus community is reported or confirmed to be infected with COVID-19, based on the latest state and federal public health guidelines, and recent changes to Workers' Compensation laws, the California Labor Code, and Cal/OSHA regulations. Each CSU campus maintains the authority to develop its own procedures and safety protocols in accordance with applicable federal, state and local laws, regulations, and public health orders, as appropriate for its community setting. Specific guidelines adopted from this document may be incorporated into each campus's written COVID-19 Prevention Plan.

This guidance document is intended as a resource for campuses to develop COVID-19 infection response protocols and procedures based on individual campus operational needs. It is highly recommended that campuses review applicable federal and state laws, regulations, and public health orders and guidelines, listed in the reference section of this document, as well as any specific local regulations, to ensure legal compliance.

1. Purpose

The CSU is committed to ensuring the safety of its campus communities by reducing the risks of widespread transmission of COVID-19. In response to the COVID-19 pandemic, and to resulting changes in federal and state laws and regulations, California employers with employees reporting to the workplace in person, including the CSU and all 23 campuses, are developing protocols to create and maintain a safe workplace. One of these measures is to identify the close contacts who were exposed to a person confirmed to be infected with COVID-19 (referred to in this document as a "case" or "qualifying individual") while the case was infectious. The removal of infectious and exposed individuals from the workplace reduces the potential spread of COVID-19. This document provides a potential framework for reporting, investigating and notifying exposed individuals when responding to confirmed COVID-19 cases in the workplace.

Due to the evolving nature of the COVID-19 pandemic, which informs federal, state and local public health guidelines, CSU campuses may consider appointing a COVID-19 Coordinator(s) and/or creating an *Infectious Disease Response Team*, a multidisciplinary, cross-functional group whose role will be to address and coordinate the response to identified COVID-19 cases on campus and among the campus community. It is recommended that this group be represented by a position or unit within the campus Emergency Operations Center (EOC), if it is activated.

The team would work in alignment with the EOC and other campus and community task forces to promote and guide health and safety measures to prevent and respond to COVID-19 cases.

Definitions

- A. Case** – a person who has tested positive for COVID-19. For the purpose of this document, a “case” will include a “qualifying individual,” as defined in Assembly Bill 685 (Cal. Labor Code §§ 6325, 6409.6, and 6432).
- B. Close contact** – a person who has been within six feet for 15 minutes or more during a 24-hour cumulative period of a person who has tested positive for COVID-19. This definition applies regardless of the use of face coverings.
- C. COVID-19 exposure** – being within six feet of a COVID-19 case for a cumulative total of 15 minutes or greater in any 24-hour period within or overlapping with the infectious period defined below. This definition applies regardless of the use of face coverings (8 C.C.R. Chapter 4 Subchapter 7 § 3205 (b)).
- D. COVID-19 tests** – screenings that identify the virus in the body using polymerase chain reaction (PCR) tests, also called molecular tests. These tests give a sign that the virus is reproducing within a person’s cells. The test must have been (1) approved by the United States Food and Drug Administration (FDA) or have an Emergency Use Authorization from the FDA to diagnose current infection with the SARS-CoV-2 virus and (2) administered in accordance with the FDA approval of the FDA Emergency Use Authorization, as applicable (Cal. Labor Code §3212.88(m)(2)).
- E. Exposed workplace** – any work location, working area or common area at work used or accessed by a COVID-19 case during the infectious period (defined below), including bathrooms, walkways, hallways, aisles, break or eating areas, and waiting areas. The exposed workplace does not include buildings, areas, or facilities not entered by a COVID-19 case (8 C.C.R. Chapter 4 Subchapter 7 § 3205 (b)).
- F. Face cover/mask** –a tightly woven fabric, or non-woven material with no visible holes or openings, which covers the nose and mouth. Wearing a cloth face covering helps reduce the spread of COVID-19 by reducing the spread of respiratory droplets. The spread of COVID-19 can be reduced when cloth face coverings are used along with other preventive measures, including physical distancing, frequent handwashing, and cleaning and disinfecting frequently touched surfaces (8 C.C.R. Chapter 4 Subchapter 7 § 3205).
- G. Incubation period** – the time from when a person is infected with COVID-19 until symptoms develop.
- H. Infectious Disease Response Team, COVID-19 Coordinator, Co-Coordinator or COVID-19 Point of Contact** – person or persons responsible for receiving, managing and investigating COVID-19 reported exposure(s) and illness as it pertains to members of the on-campus community, such as students, staff, faculty, visitors,

vendors/contractors and students participating in off-campus experiential learning programs.

- I. Infectious period** – the time during which someone infected with COVID-19 (symptomatic or asymptomatic) can transmit the virus to other people. People are infectious before they become symptomatic and during their illness. The COVID-19 infectious period usually lasts from 2-14 days, but is typically most potent five days after infection, and starts two days prior to symptoms. (California Department of Public Health: <https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-19/Guidance-on-Isolation-and-Quarantine-for-COVID-19-Contact-Tracing.aspx>.)

Time	Symptomatic	Asymptomatic
Begins	Two days before an individual first develops symptoms	Two days before the specimen for the first positive COVID-19 test was collected
Ends	10 days have passed since symptoms first appeared, AND - At least 24 hours have passed with no fever (without use of fever reducing medications), AND - Other symptoms have improved	10 days after the specimen for the first positive COVID-19 test was collected.

- J. Injury** – a COVID-19 infection is considered a work-related injury for the purposes of Workers’ Compensation law (Cal. Labor Code §3212.88 (b)) when:
- The employee tests positive for COVID-19 within 14 days after a day that the employee performed labor or services at the employee’s place of employment at the employer’s direction;
 - The day referenced in paragraph (i) on which the employee performed labor or services at the employee’s place of employment at the employer’s direction was on or after July 6, 2020. The date of injury shall be the last date the employee performed labor or services at the employee’s place of employment at the employer’s direction prior to the positive test;
 - The employee’s positive test occurred during a period of an outbreak at the employee’s specific place of employment. (See “outbreak” definition below.)
- K. Isolation** – separates people infected with COVID-19 (symptomatic or asymptomatic) from others, even within a household. For persons with COVID-19 infection, isolation and precautions can be discontinued 10 days after symptom onset and resolution of fever for at least 24 hours, without the use of fever-reducing medications, and with improvement of other symptoms. (California Department of Public Health: <https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-19/Guidance-on-Isolation-and-Quarantine-for-COVID-19-Contact-Tracing.aspx>.)

- L. Outbreak** – three positive cases of COVID-19 in a 14-day period at a specific workplace (8 C.C.R. Chapter 4 Subchapter 7 § 3205.1).
- M. Major outbreak** – 20 or more positive cases of COVID-19 within a 30-day period at a specific workplace (8 C.C.R. Chapter 4 Subchapter 7 § 3205.2).
- N. Physical distancing** – keeping a safe space of at least six feet, or about two arms’ length, between yourself and other people who are not from your household, in both indoor and outdoor spaces. (Also called “social distancing.”)
- O. Qualifying individual** – for the purposes of this document, a qualifying individual is the same as a “case,” including any person who has any of the following:
 - i. A laboratory-confirmed case of COVID-19, as defined by the California State Department of Public Health;
 - ii. A positive COVID-19 diagnosis from a licensed health care provider;
 - iii. A COVID-19-related order to isolate provided by a public health official;
 - iv. Died due to COVID-19, in the determination of a county public health department or per inclusion in the COVID-19 statistics of a county.
- P. Quarantine** – separates from others, and restricts the movement of, a person who was exposed to a COVID-19 case to reduce the spread of the communicable disease. Quarantine helps prevent the spread of disease that can occur before a person knows they are sick (pre-symptomatic) or if they are infected with the virus without feeling symptoms (asymptomatic). People in quarantine should stay home per federal, state and local requirements, whichever are most restrictive. At the time of this writing, California Department of Public Health quarantine recommends exposed individuals quarantine for 10 days with additional self-monitoring and PPE suggestions. The person in quarantine should separate from others, monitor their health, and follow directions from their state or local public health department. Individuals who live with, or are unable to isolate from, someone who is infected with COVID-19 must add 14 days of quarantine after the infected person completes isolation.
- Q. Specific place of employment/specific workplace** – the building, store, facility, agricultural field or other location where an employee performs work at the employer’s direction during the infectious period of a COVID-19 case. “A specific place of employment” does not include the employee’s home or residence, unless the employee provides home health care services to another individual at the employee’s home or residence.

2. Employee, Student, Contractor and Visitor Instructions if Coming to Campus

- A. As mandated by Cal/OSHA regulations (8 C.C.R. Chapter 4 Subchapter 7 § 3205) for employees, before coming to campus, employees, students, contractors and/or visitors should:
- i. Self-monitor for COVID-19 symptoms: If any COVID-19 symptoms are experienced, the employee/student/contractor/visitor should not come to campus, and is encouraged to contact their medical provider and inform their supervisor/manager/professor or other campus point of contact of the reason for their absence.
 - ii. Exposure monitoring: If they have been in close contact with someone with confirmed COVID-19, the employee/student/contractor/visitor should not come to campus and is encouraged to contact their medical provider and inform their supervisor/manager/professor or other campus point of contact of the reason for their absence.
 - iii. Health screening: It is recommended that the employee/student/contractor/visitor be made aware of pre-entry health screening protocols that may be implemented.
- B. As mandated by Cal/OSHA regulations for employees (8 C.C.R. Chapter 4 Subchapter 7 § 3205), while on campus:
- i. Employees/students/contractors/visitors should be made aware that department/classroom/office entry doors and access points will be minimized and monitored, if applicable. Entry in non-public areas will only be allowed for individuals with appropriate business in the work area.
 - ii. Employees/students/contractors/visitors should wear a face covering.
 - iii. Employees/students/contractors/visitors should practice physical distancing.
 - iv. Employees/students/contractors/visitors should be notified of any pre-entry health screening protocols being implemented.
 - v. Employees/students/contractors/visitors should be made aware of COVID-19 reporting protocols.

3. Protected Health Information and Level 1 Data

For security and privacy purposes, CSU categorizes medical information as confidential Level 1 data. Information the CSU learns about an employee or student's medical condition, such as being COVID-19-positive, is Level 1 data. This medical information is protected by state privacy laws (Cal. Civil Code § 56, *et al.*) and, for students, by the Family Educational Rights and Privacy Act (FERPA).

According to CSU policy, it is required that this information is carefully maintained and consent from the person be received in order for it to be disclosed further. However, given the contagious nature of COVID-19, identifying information about a positive case may be disclosed to local public health authorities according to state law (Cal. Health and Safety Code § 120250, Cal. Civil Code § 56.30); this disclosure permits the local health authority to be able to perform contact tracing and order quarantine as needed to prevent further spread of this contagious disease (Cal. Health and Safety Code § 120175). FERPA also permits disclosure of protected information without a student's consent when the campus determines the disclosure of that protected information to a particular party, such as the local public health officer, is necessary to protect the health and safety of students and staff. (34 C.F.R. § 99.36.)

When sharing information about a COVID-19-positive person who has been on campus, the communication should be in a de-identified form only (not including the person's name) and be made only as needed to protect the receiving party's own health and safety. It is recommended that those determined to be "close contacts" or "exposed employees" be given details about when and where the COVID-19 case was on campus, but not their name. When a disclosure is made to the local public health authority, by contrast, the disclosure may include the person's name. Similarly, if the disclosure is to another employee who needs to know the person's identity in order to perform their job for the CSU, data is to be kept confidential.

Level 1 categorized data records must always be securely maintained in accordance with CSU privacy and security policy.

4. Timeliness of Reporting and Exposure Investigation

The timeliness of reporting is extremely important to reduce possible exposure to others. It is strongly encouraged that employees report any COVID-19 illness, symptoms, exposure or positive case to the campus as soon as they are able. It is advised that the responsible individual(s) of the campus Infectious Disease Response Team begin the exposure investigation (see page 9) as soon as feasible once notified of a case in order to mitigate community spread on campus. As mandated by law and Cal/OSHA regulations (Cal. Labor Code § 6409.6; 8 C.C.R. Chapter 4 Subchapter 7 § 3205), employees possibly exposed to COVID-19 on campus must be notified of the possible exposure **within one business day** after the university has been made aware of the potential exposure, without identifying the names or personal information of other employees who have COVID-19 or were exposed to COVID-19. The campus must report any COVID-19 outbreak (defined above) to the local public health department within 48 hours, including the names and appropriate personal information of those who have COVID-19 or were exposed to COVID-19. Please refer to Section 8 of this Guidance for more information.

5. Employee/Student Notice to Campus of COVID-19 Potential Exposure

To assist in mitigating campus exposure(s), each employee or student who is suspected, diagnosed or confirmed to be COVID-19-positive, and who engages in campus activities, is asked to report such exposure to the identified campus responsible party.

A. Responsible Party

It is recommended that each campus designate an Infectious Disease Response Team, which includes a point of contact for employees or students who are suspected, diagnosed or confirmed to be COVID-19-positive. Ideally, the team would develop a process for reporting and storing employee and student data.

B. Employee: COVID-19 Notice Structure

As mandated by law and Cal/OSHA regulations (Cal. Labor Code § 6409.6; Cal. Labor Code §3212.88; 8 C.C.R. Chapter 4 Subchapter 7 § 3205), each campus should develop a notice structure for campus-based employees to report potential exposure or confirmed positive COVID-19 test results. This notice structure covers employees exposed on and off campus. The following elements may be used in this structure:

- i. It is recommended that an online form be developed to facilitate quick notice to the COVID-19 Coordinator and/or designated responder from the Infectious Disease Response Team. It is suggested that the form use questionnaires similar to Attachments B and C.
- ii. COVID-19-positive or suspected positive employee may contact their supervisor or Human Resources. If the supervisor is contacted, the supervisor is responsible for contacting their manager and Human Resources or other campus-designated COVID-19 contact, who will report the case to the COVID-19 Coordinator and/or designated responder from the Infectious Disease Response Team.
- iii. It is recommended that Human Resources contact the employee to explain the following:
 - a. The employee may be encouraged to call their healthcare provider.
 - b. The employee should be directed to quarantine at home and should be permitted to telework if possible (8 C.C.R. Chapter 4 Subchapter 7 § 3205.)
 - c. When an employee is unable to carry out their duties because of illness due to COVID-19, they may be eligible to take advantage of COVID-19-related paid leave programs. If these programs have been exhausted, the employee may utilize sick leave or any other leave balances. If the exposure occurred in the workplace, and the employee is not eligible for or has exhausted all eligible paid leave programs, the employee must still be paid and should be placed on paid administrative leave while excluded from the workplace by the employer (8 C.C.R. Chapter 4 Subchapter 7 § 3205 (c)(10)(C)).
 - d. Any employee who has tested positive during a workplace outbreak and suspects they have been exposed on campus or asserts their infection is work-related should be given a Workers' Compensation claim form and be referred to the campus Workers' Compensation

Coordinator by Human Resources (Cal. Labor Code §3212.88). By law, public safety and healthcare workers who have tested positive are automatically considered exposed on campus and are to be given a Workers' Compensation claim form regardless of an outbreak. If the employee was exposed on campus and diagnosed with COVID-19, the campus must consider the illness under Workers' Compensation and handle as such (Cal. Labor Code §3212.87).

- e. The COVID-19-positive employee may return to work after the minimum isolation period when they have sufficiently recovered. See Section 9 for further details.
- iv. It is recommended that Human Resources contact the Infectious Disease Response Team and the Workers' Compensation Coordinator, if applicable, to ensure data compliance is kept up to date.
 - a. It is recommended that the Infectious Disease Response Team coordinate external notifications and other requirements in accordance with federal, state and local public health orders and legislation.
 - b. The Workers' Compensation Coordinator may be notified by Human Resources or the Infectious Disease Response Team/Coordinator of potential Workers' Compensation claim (see 4 above). By law (Cal. Labor Code §3212.88 (i)), the Workers' Compensation Coordinator will notify the claims administrator in writing via electronic mail within three business days of the following:
 - 1. An employee has tested positive.
 - 2. The date that the employee tests positive, which is the date the specimen was collected for testing.
 - 3. The specific address or addresses of the employee's specific place of employment during the 14-day period preceding the date of the employee's positive test.
 - 4. The highest number of employees who reported to work at the employee's specific place of employment in the 45-day period preceding the last day the employee worked at each specific place of employment.

C. Student COVID-19 Reporting Structure

It is recommended that each campus establish a process for students to report potential exposure or COVID-19 positive test results for those who are or have been on campus or participate in off-campus experiential learning programs within a 14-day period of the development of COVID-19 symptoms.

- i. An online form is preferred to facilitate quick notification.
- ii. The COVID-19-positive student may contact the Infectious Disease Response Team or Student Health Center directly. (A health care professional could be designated to “triage” symptoms and risk factors and either refer the student to their health care provider and recommend isolation or, after consultation with public health, determine that COVID-19 is not a consideration and the student can return to campus.)
- iii. It is recommended that the Student Health Center report positive cases to the Infectious Disease Response Team. A confirmed case of COVID-19 may then be interviewed to identify where they went on campus during the infectious period to determine who they may have exposed.
- iv. If the campus is the first point of contact for a suspected COVID-19 case, it is recommended that the Infectious Disease Response Team report the case in the interest of public health to the jurisdictional public health department and campus Student Health Center.
- v. If the student has already been tested off-campus or is under the care of their own medical provider, the medical provider and the laboratory where testing was performed would report the case to the jurisdictional public health department.

If the student does not have a healthcare provider off-campus, the Student Health Center may offer to serve as the provider of health care within the scope of services outlined in CSU Executive Order 943.

It is recommended that the Infectious Disease Response Team inform the campus Emergency Operations Center and coordinate the necessary investigation with the jurisdictional public health department, and, if applicable, the units overseeing campus investigation requirements under Cal/OSHA (i.e. Environmental Health and Safety, Risk Management and Human Resources).

6. Campus Exposure Investigation

Consistent with legal and regulatory requirements, campuses should investigate work-related injuries and illnesses. Timeliness of exposure investigation is paramount in reducing exposure. Contact tracing is the statutory authority/responsibility of the jurisdictional public health department. As such, it is important that each campus work closely with their local agency. CSU campuses do not perform public health contact-tracing but should investigate employee/student-reported cases of illness to mitigate exposure and reduce the spread of COVID-19 on campus.

A. Employee-Related Investigation

i. Responsible parties

- a. Employee – suspected exposure or positive for COVID-19.
- b. Manager/supervisor – person to whom the employee reports.
- c. Human Resources – must include Labor Relations and Workers' Compensation Coordinator.
 - 1. The employer/campus must notify all employees who worked at a specific workplace during the infectious period with a qualifying individual (case) of their possible COVID-19 exposure within one business day of the employer/campus becoming aware of the qualifying individual's confirmed case (Cal. Labor Code §6409.6).
- d. Infectious Disease Response Team/COVID-19 Coordinator(s) – may be a combination of Environmental Health & Safety, Health Center Director, or others per campus designation.

ii. Investigation process (see Attachment A)

- a. Infectious Disease Response Team contacts employee, remotely, to review questionnaire follow-up (see Attachments B and C).
- b. Infectious Disease Response Team contacts jurisdictional public health department as appropriate.
- c. Infectious Disease Response Team contacts Facilities for cleaning and disinfection protocol.
- d. Infectious Disease Response Team contacts other campus executives, Emergency Operations Center and/or Public Information Officer as needed for campus notifications.

B. Student-Related Investigation

i. Responsible parties

- a. Student – suspected exposure or positive for COVID-19.
- b. Housing staff – if student lives within residential life facilities.
- c. Health Center – medical staff who will make direct contact with student.

- d. Infectious Disease Response Team/COVID-19 Coordinator(s) – may be a combination of Environmental Health & Safety, Health Center Director, or others per campus designation.
- ii. Investigation process
 - a. Health Center interviews student for further detail (see Attachment C).
 - b. Health Center shares basic detail with Infectious Disease Response Team for campus follow-up.
 - c. Health Center or Infectious Disease Response Team contacts jurisdictional public health department as appropriate.
 - d. Infectious Disease Response Team contacts Facilities for cleaning and disinfection protocol as necessary, based on information collected during the exposure investigation.
 - e. Infectious Disease Response Team contacts other executives (Dean of Students, Housing Director), Emergency Operations Center and/or Public Information Officer as needed for campus notifications.

7. Coordination with Jurisdictional Public Health Department

Campuses are strongly encouraged to work with their jurisdictional public health department in the following:

- A. The Infectious Disease Response Team may establish a campus point-person to work with and keep the local public health department informed about campus cases.
- B. Based on the exposure investigation, a list of cases and close contacts should be maintained and shared with the local public health department (Cal. Labor Code §6409.6).
- C. As mandated by Cal/OSHA regulations regarding employee workplaces, the employer/campus that has a COVID-19 outbreak must give notice to the local public health department within 48 hours (Cal. Labor Code §6409.6).
- D. As mandated by Cal/OSHA regulations, any employer/campus that has an outbreak must continue to give notice to the local public health department of any subsequent laboratory-confirmed cases of COVID-19 at the worksite (Cal. Labor Code §6409.6).

8. Communication and Notification

Communication and Notification is mandated by law and Cal/OSHA regulations (Cal. Labor Code § 6409.6) and (8 C.C.R. Chapter 4 Subchapter 7 § 3205). A matrix listing various campus notification options, used under different COVID-19 exposure scenarios, is included as Attachment D.

- A. Campuses are encouraged to build a robust COVID-19 webpage in order to better inform students and employees of what is occurring in the community. The webpage could include:
 - i. COVID-19 expectations and legal requirements.
 - ii. Employee benefits and rights regarding COVID-19.
 - iii. General COVID-19 sanitation and safety efforts.
 - iv. Specific COVID-19 sanitation efforts that will be performed when the campus has been made aware of a COVID-19 case at the specific worksite.
- B. As mandated by Cal/OSHA regulations (8 C.C.R. Chapter 4 Subchapter 7 § 3205) the employer/campus must send a written notification within one business day of receiving notice of an on-campus COVID-19 case, to the following:
 - i. Employees who worked at the specific worksite at the same time as the qualifying individual. This notification must be provided in English and translated, if the majority of employees receiving the notification speak a language other than English. (A sample notification can be found as Attachment E.)
 - ii. Exclusive representatives (union leadership) of employees who worked at the specific worksite at the same time as the qualifying individual. In order to ensure campuses do not identify the names or personal information of other employees who have COVID-19 or were exposed to COVID-19, we recommend campus establish a point of contact for unions to request information regarding exposure of their union members. (A sample can be found as Attachment F.)
 - iii. Employers of subcontractor/auxiliary employees who worked at the specific worksite as the same time as the qualifying individual. (A sample can be found as Attachment G.)
- C. Upon completion of a COVID-19 exposure investigation, the campus must provide written communication to those potentially exposed to a confirmed positive COVID-19 case without identifying the names or personal information of other employees who have COVID-19 or were exposed to COVID-19 (8 C.C.R. Chapter 4 Subchapter 7 § 3205). Depending on the circumstances, the campus should prepare correspondence for the following audiences. (Attachment D contains a summary of notifications.)
 - i. The COVID-19 positive student, employee or contractor.
 - ii. Close contacts of the COVID-19 case (See Attachment H).

- iii. General campus community. Institutions are not required to give multiple updates or notifications regarding positive cases to individuals who are not attending classes, working or residing on campus.

General campus community notification requirements may be satisfied in one of two ways:

- a. A banner on the university's webpage containing COVID-19 general information; or
- b. A single notification to the campus community utilizing the same methods applicable in the campus emergency notification plan providing general awareness information about COVID-19. If the campus has already provided this general notification at the beginning of the pandemic, then the requirement is satisfied.

Additionally, it is recommended campuses consider how they will respond to employees who learn about the case through other channels and inquire as to why they were not notified of the case.

9. Return to Work/Return to Learn Criteria

- A. As mandated by Cal/OSHA regulations (8 C.C.R. Chapter 4 Subchapter 7 § 3205), COVID-19 cases with COVID-19 symptoms shall not return to work until:
 - i. At least 24 hours have passed since a fever of 100.4 or higher has resolved without the use of fever-reducing medications;
 - ii. COVID-19 symptoms have improved; and
 - iii. At least 10 days have passed since COVID-19 symptoms first appeared.
- B. As mandated by Cal/OSHA regulations (8 C.C.R. Chapter 4 Subchapter 7 § 3205), COVID-19 cases who tested positive but never developed COVID-19 symptoms shall not return to work until a minimum of 10 days have passed since the date of specimen collection of their first positive COVID-19 test.
- C. As mandated by Cal/OSHA regulations (8 C.C.R. Chapter 4 Subchapter 7 § 3205), a negative COVID-19 test shall not be required for an employee to return to work, as long as the protocols set forth in the regulations are followed regarding exclusion and isolation from the workplace.
- D. If an order to isolate or quarantine an employee is issued by a local or state health official, the employee shall not return to work until the period of isolation or quarantine is completed or the order is lifted, as mandated by Cal/OSHA (8 C.C.R. Chapter 4 Subchapter 7 § 3205). If no period was specified, then the period shall be 10 days from the time the order to isolate was effective, or 14 days from the time the order to quarantine was effective.

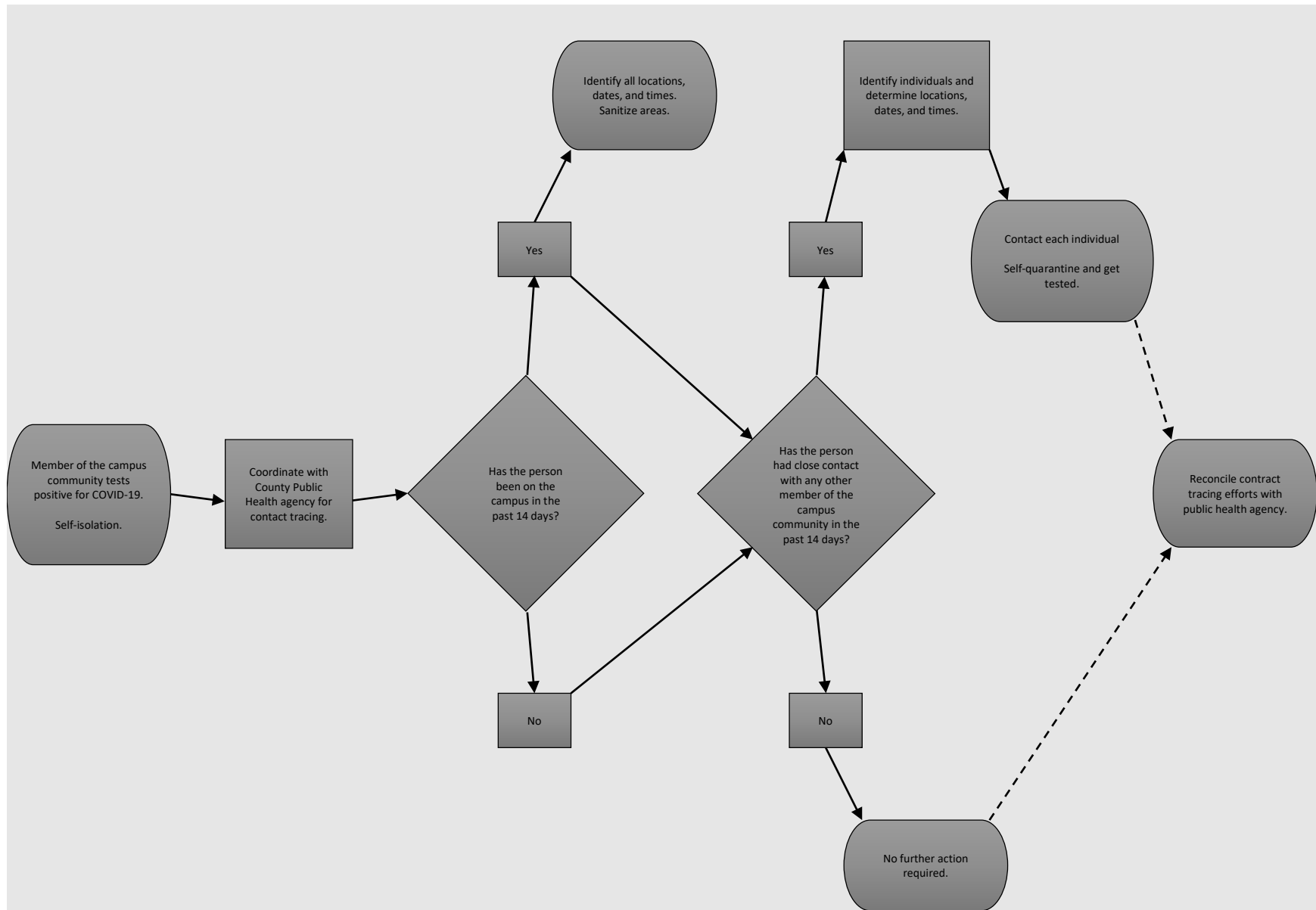
- E. If there are no violations of local or state health officer orders for isolation or quarantine, Cal/OSHA may, upon request, allow employees to return to work on the basis that the removal of an employee would create undue risk to a community's health and safety. In such cases, the employer shall develop, implement, and maintain effective control measures to prevent transmission in the workplace, including providing isolation for the employee at the workplace and, if isolation is not possible, the use of respiratory protection in the workplace (8 C.C.R. Chapter 4 Subchapter 7 § 3205).

10. Storing Employee and Student Records

- A. To ensure employee and student rights to privacy, the law and CSU policy require that information be shared with only those who have a responsibility to know, investigate or assist impacted students and employees.
- B. According to the law, all information must be secured in accordance with the Confidentiality of Medical Information Act, FERPA and Level I Data requirements as outlined in existing CSU policy.
- C. According to CSU records retention policies, records of written notifications should be maintained for a period of at least three years.
- D. Data and records must be documented and be maintained in accordance with Executive Order 1031: *Systemwide Records/Information Retention and Disposition Schedules Implementation*.

11. Legal, Regulatory, and CSU Policy and Guidance References

- A. Campus Infectious Disease Plan as part of the Campus Emergency Plan
- B. California Department of Industrial Relations
 - i. [Injury and Illness Prevention Program](#).
 - ii. [Interim General Guidelines on Protecting Workers from COVID-19](#)
 - iii. [COVID-19 Prevention - Emergency Temporary Standards](#)
- C. California Department of Health Services and Cal/OSHA – [COVID-19 Insider Guidance: Institutions of Higher Education](#).
- D. [Assembly Bill 685](#)
- E. [Senate Bill 1159](#)
- F. Centers for Disease Control and Prevention – [Case Investigation and Contract Tracing in Non-healthcare Workplaces: Information for Employers](#).

ATTACHMENT A: CAMPUS EXPOSURE INVESTIGATION FLOW CHART

ATTACHMENT B: SUSPECTED OR CONFIRMED COVID-19 CASE INTAKE FORM

Complete the table below for the individual with a suspected or confirmed case

Date of Notification:	Time of Notification:	Name of Individual:	
Classification: ☐ Employee ☐ Student ☐ Contractor/Consultant ☐ Other:	Notification method: ☐ Phone ☐ E-mail ☐ In Person	Person Notified: ☐ Staff/Faculty ☐ Student ☐ Hospital/Clinic ☐ Other:	Check One: ☐ Suspected Case ☐ PUI ☐ Confirmed Case
Last day on campus:	Date of Symptoms Onset:	Comments:	
Symptoms Noticed (if applicable):		☐ Asymptomatic	
Supervisor, if applicable: First and Last Name: Job Title: Email: Phone Number:			

Timeline:

List only persons who had **CLOSE CONTACT**¹ with the affected individual at the worksite starting 48 hours before symptoms started through the present.

	Name	Phone Number	Email Address	Date Self-Quarantine	Comments
1.					
2.					
3.					
4.					
Affected individual has not been on campus for greater than 48 hours before symptoms started.					☐

ATTACHMENT C: COVID-19 POSITIVE CASE INTERVIEW FORM

Interviewer name (first/last):

Others present:

1. What day were you last on campus?
2. Approximately what time did you arrive and leave?
3. What areas on campus did you visit?
4. Which individuals did you have close contact with on campus? (Less than six feet for 15 or more minutes) starting 48 hours before your first symptoms and continuing to the present)
5. When did you begin to experience COVID-19 symptoms?
6. When did you seek medical attention?
7. When were you tested?
8. Have you been contacted by the county health department?
9. What instructions were you given by the county health department?

ATTACHMENT D: CAMPUS NOTIFICATION MATRIX

Campus notification process for potential or confirmed COVID-19 cases:

CASE DESCRIPTION	NOTIFICATION PROCESS
Asymptomatic (no symptoms); individual quarantined due to possible exposure to infected people or post-travel.	No mass campus notification.
Mild flu, cold symptoms, but not consistent with COVID-19 per individual's report of assessment by healthcare provider.	No mass campus notification.
Individual has symptoms consistent with COVID-19, but no test confirmation or is awaiting test results; individual is advised to isolate by healthcare provider per CDC, state or local public health guidance.	No mass campus notification. Direct communication and guidance to campus members who may have had close contact with ill individual on a case-by-case basis.
Individual reports positive test results for COVID-19 and has not been on campus and had no close contact with the campus or its members for the past 14 days, including the 48 hours before the first day of symptoms.	No mass campus notification. Direct communication and guidance by campus with the case-positive individual.
Notice of workplace/on-campus activity of a COVID-19-positive case who is an employee. Notice may come from the employee or a local public health official.	Campus must send written notification within one business day to: 1. CSU employees on affected worksite 2. Union representatives 3. Employer of subcontractors (See Section 8 for law and details.)
Exposure investigation of confirmed COVID-19 positive test for a campus member who had been on campus and/or had close contact with other campus members within 14 days prior to the onset of symptoms.	Campus must send written notification to campus members who may have had close contact with the case positive individual. (See Section 8 for law and details.)
Three cases within 14 days at the same worksite, defined as an "outbreak."	Within 48 hours, the campus may report the outbreak to the local public health department. The campus must provide direct communication and guidance to campus members who may have had close contact with the infected individuals on a case-by-case basis. (See Section 7 for law and details.)

ATTACHMENT E: TEMPLATE NOTIFICATION TO EMPLOYEES AT AFFECTED WORKSITE (TO BE SENT WITHIN ONE BUSINESS DAY)

[DATE]

NOTIFICATION OF POTENTIAL WORKPLACE EXPOSURE TO COVID-19

To [CAMPUS NAME] Employees:

[CAMPUS NAME] has received notice that an individual infected with COVID-19 was present at [SPECIFIC CSU FACILITY/WORKSITE] during the following period: [TIME DURING WHICH INFECTED INDIVIDUAL WAS POTENTIALLY INFECTIOUS AT CSU FACILITY/WORKSITE].

If you were present at the same worksite during this period, you may or may not have been exposed to the virus. If you do NOT believe you were exposed, no action is required. An exposure investigation is underway and if you were in close contact with the infected individual you will receive an additional notification. A close contact is defined as having close contact (within 6 six feet) for 15 minutes or more during a 24-hour cumulative period with a person who tested positive for COVID-19.

As a [CAMPUS NAME] employee, you may be entitled to various benefits under applicable federal and state laws and university-specific policies and agreements, including, but not necessarily limited to, the following:

For policy-covered and represented employees: COVID-19-related leave; emergency paid sick leave; expanded family and medical leave; regular sick leave; vacation leave; workers' compensation [INSERT APPROPRIATE HYPERLINKS TO ONLINE DESCRIPTIONS]

For academic personnel: COVID-19-related leave; emergency paid sick leave; expanded family and medical leave; regular sick leave; vacation leave; workers' compensation [INSERT APPROPRIATE HYPERLINKS TO ONLINE DESCRIPTIONS]

For non-policy-covered and unrepresented employees: COVID-19-related leave; emergency paid sick leave; expanded family and medical leave; regular sick leave; vacation leave; workers' compensation [INSERT APPROPRIATE HYPERLINKS TO ONLINE DESCRIPTIONS]

If you have any questions about these benefits, please contact [CAMPUS NAME] Human Resources at [CONTACT INFORMATION].

If you believe you contracted a COVID-19-related illness as a result of your university employment, you may be entitled to Workers' Compensation benefits through the university. This may include hospital, surgical, and medical treatment, disability indemnity, and death benefits. For more information, please contact [WORKERS' COMPENSATION CONTACT INFORMATION].

If you believe that you may have been in close contact with the COVID-19 case(s) listed above, please feel free to reach out to the [CAMPUS NAME] exposure management/investigation team. Their contact information is as follows: [CONTACT INFORMATION FOR EXPOSURE MANAGEMENT/INVESTIGATION TEAM].

[CAMPUS NAME]'s COVID-19-specific protocols and plans to ensure the disinfection and safety of university worksites are available at: [HYPERLINK].

[SIGNATURE OF ISSUING OFFICIAL]

**ATTACHMENT F: TEMPLATE NOTIFICATION TO EXCLUSIVE (UNION)
REPRESENTATIVES (TO BE SENT WITHIN ONE BUSINESS DAY)**

[DATE]

NOTIFICATION OF POTENTIAL WORKPLACE EXPOSURE TO COVID-19 TO
[EXCLUSIVE REPRESENTATIVE'S NAME]:

[CAMPUS NAME] has received notice that an individual infected with COVID-19 was present at [SPECIFIC FACILITY/WORKSITE] during the following period: [TIME DURING WHICH INFECTED INDIVIDUAL WAS POTENTIALLY INFECTIOUS AT FACILITY/WORKSITE]. As the exclusive representative for certain university employees, the university is hereby providing notification to you pursuant to AB 685, California Labor Code § 6409.6.

[CAMPUS NAME] employees who are members of [INSERT UNION'S NAME] may be entitled to various benefits under applicable federal and state laws and university-specific policies and agreements, including, but not necessarily limited to, the following:

COVID-19-related leave; emergency paid sick leave; expanded family and medical leave; regular sick leave; vacation leave; Workers' Compensation [INSERT APPROPRIATE HYPERLINKS TO BENEFITS PROVIDED UNDER THE RELEVANT COLLECTIVE BARGAINING AGREEMENT]

Due to privacy concerns, specific information as to our employees/your members who may have been exposed can be obtained by union representatives contacting [CAMPUS REPRESENTATIVE'S NAME AND CONTACT INFORMATION]

[CAMPUS NAME]'s COVID-19-specific protocols and plans to ensure the disinfection and safety of university worksites are available at: [HYPERLINK].

[OPTIONAL] Also, please see attached an example of the notification that the University is sending to employees, including those whom you represent, regarding this COVID-19 case.

[SIGNATURE OF ISSUING OFFICIAL]

**ATTACHMENT G: TEMPLATE NOTIFICATION TO EMPLOYERS OF AUXILIARY
OR SUBCONTRACTED EMPLOYEES (TO BE SENT WITHIN ONE BUSINESS DAY)**

[DATE]

NOTIFICATION OF POTENTIAL WORKSITE EXPOSURE TO COVID-19 TO
[EMPLOYER OF SUBCONTRACTED EMPLOYEES]:

The [CAMPUS], [LOCATION] has received notice that an individual infected with COVID-19 was present at [SPECIFIC CAMPUS FACILITY/WORKSITE] during the following period: [TIME DURING WHICH INFECTED INDIVIDUAL WAS POTENTIALLY INFECTIOUS AT CAMPUS FACILITY/WORKSITE]. If your employees were present at the same worksite during this period, they may have been exposed to the virus.

The [CAMPUS], [LOCATION]'s COVID-19-specific protocols and plans to ensure the disinfection and safety of university worksites is available at: [HYPERLINK].

If you have any questions regarding this notification, please contact [CONTACT INFORMATION].

[SIGNATURE OF ISSUING OFFICIAL]

**ATTACHMENT H: TEMPLATE NOTIFICATION TO CAMPUS CLOSE CONTACTS
(TO BE SENT FOLLOWING CAMPUS INVESTIGATION)**

[DATE]

Dear [CLOSE CONTACT NAME],

This letter is to inform you that we have recently received information about a confirmed case of COVID-19 with at least one [CAMPUS] employee. You may have had an exposure (i.e., close contact) to this case if you were in [INSERT BUILDING/ROOM] on [DATE].

Per current public health guidance, employees who worked (1) within 6 feet of the infected person for more than 15 minutes over a 24-hour period, or (2) had unprotected contact with the infected person's body fluids and/or secretions, for example, being coughed or sneezed on, should self-quarantine for 14 days from [INSERT DATE OF LAST EXPOSURE TO THE EMPLOYEE WHO TESTED POSITIVE]. Please do not return to work until [INSERT DATE THAT IS 14 DAYS FROM DATE OF EXPOSURE]. If you are well and wish to continue to work from home, please reach out to your supervisor for a possible alternative work arrangement.

You will be contacted by the [CAMPUS] COVID-19 Coordinator during your quarantine and given information on how to monitor for symptoms and where you can get tested. All close contacts should get tested, whether you have symptoms or not. Testing resources may include personal healthcare providers and community testing sites.

If you develop symptoms of respiratory illness (fever and cough or shortness of breath, etc.), you should begin self-isolation. Please contact [CAMPUS COORDINATOR NAME] at [PHONE NUMBER] or via email at [EMAIL ADDRESS] to inform us of any symptoms and to receive additional information.

For additional questions about COVID-19, please visit the Coronavirus Information site at [CAMPUS COVID-19 WEBSITE]

If you have any questions or concerns, please contact me at [CONTACT INFORMATION.]

Sincerely,

ATTACHMENT I: EXPOSURE MITIGATION GUIDANCE

All individuals exposed to COVID-19 should isolate for the duration provided to them by [COUNTY] Public Health Department in line with CDC guidelines.

- a. If the patient resides in campus housing, they will be isolated in a space with limited shared bathroom facilities (ideally a private bathroom) during the isolation and recovery period to avoid exposing others. Food and supplies will be delivered. Preventive and care measures will be implemented per [COUNTY] Public Health Department's guidelines.
- b. If the patient has not been on campus for a period of two days before and including the day on which symptoms developed, they may continue their care with their medical provider. No [NAME OF CAMPUS] action is indicated. [COUNTY] Public Health Department may request support in exposure investigation.
- c. If the infection source is work-related, the employee may be referred to their occupational health facility for evaluation and testing. If the source is not work-related, the employee/student should contact their medical provider or a referral site/testing center as appropriate.
- d. If an individual does not volunteer their diagnosis, medical stats, or contacts, they will be advised to notify their own close contacts to self-quarantine for 14 days and follow up with their medical providers for medical care.

The public health department's ability to test close contacts with a PCR test may at time be compromised due to related to supply chain availability. The university will not require an individual to be tested for COVID-19 exposure. In general, [COUNTY] Public Health Department may advise the following:

- If the PCR test is negative, the contact should continue their quarantine.
- If the PCR test is positive, the individual becomes a secondary case and needs to isolate for at least 10 days after the test was done, *even if asymptomatic*. If symptomatic, the isolation should be at least 10 days including at least three days after the resolution of any COVID symptoms.
- The secondary case's close contacts now should be identified if possible and advised to quarantine for 14 days after the last close contact with the secondary case and to be tested.

Positive test results from the [NAME OF ON-CAMPUS LAB] will be reported to [COUNTY] Public Health Department and CalRedie per regulations outlined by HHS. Tests and diagnoses off-campus should be reported to [COUNTY] Public Health Department by the ordering physician. However, if two or more positive cases from different households are identified on campus, the campus Emergency Operations Center will report an outbreak to [COUNTY] Public Health Department.

California State University Construction COVID Reporting Procedures

The purpose of this procedure is to facilitate the mandated reporting and to provide adequate location information to the campus personnel responsible for contact tracing and investigation of cases and outbreaks.

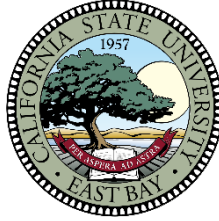
Positive cases shall be reported by the Prime Contractor's Project Manager on a weekly basis.

If you have any questions about the form, please email: jgomoljak@calstate.edu

Reporting Steps

1. Open the form link [Click Here to Report Weekly COVID Cases](#)
2. Select Campus from dropdown list
3. Select Project from JOC, OCIP or Other Project List. If your project is not listed enter project name
4. Select Prime Contractor, if your Contractor name is not listed enter legal name of the Contractor/DBA
5. Enter total number of workers onsite
6. Enter total positive COVID cases onsite
7. Enter week ending Sunday date
8. Click submit

CALIFORNIA STATE UNIVERSITY, EAST BAY



REFERENCE DOCUMENTS (SAMPLE FORMS)
EXHIBIT "F"
JOB ORDER CONTRACT

Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C
Bid Opening Date: July 10, 2026
Bid Opening Time: 2:00 PM

Request bid packets from:
San Francisco Bay Region Network
Procurement Services
Attention: Larry Lee
larrylee@sfsu.edu

Submit bids on the attached bid proposal form in a sealed envelope **plainly marked "BID OPENING"** and with the following information:

Date of Bid Opening: July 10, 2026, 2:00 PM
Project: JOB ORDER CONTRACT
Bid Number: EBJOC2627-1A, EBJOC2627-1B, EBJOC2627-1C

*****Bid received after 2:00 PM PST will be rejected*****

LIST OF PROPOSED SUBCONTRACTORS

(to be submitted with bid)

Information/Instructions:

Pursuant to the provisions of Public Contract Code section 4100 *et seq.*, Bidder shall set forth:

- (a) the name, location of the place of business, the California Contractors State License Board-issued contractor license number, and the California Department of Industrial Relations (DIR) Public Works Registration number of each subcontractor who will perform work or labor or render service to prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the state of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of one percent of prime contractor's total bid;
- (b) the portion of the work (trade) which will be done by each such subcontractor under this act.

Bidder shall list only one subcontractor for each such portion as defined by Bidder in its bid. For each alternative, Bidder shall list any subcontractor not included in the base contract work subcontractor listing. All work in excess of one-half of one percent of this bid, for which no subcontractor is listed below, shall be performed by Bidder. Within 24 hours of bid opening, Bidder shall submit the List of Subcontractors—Additional Information form.

Non-small Business Bidders: Non-small business bidders, that claim the small business preference and commit to subcontract at least 25% of their total project bid price including awarded alternatives with one or more small businesses, shall list those small businesses and the dollar amount of their subcontracts hereon. See Calif. Code of Regulations, Title 2, section 1896 *et seq.*

DVBE Participation Requirement: Bidders are required to achieve 3% DVBE participation on this contract, and may qualify for the DVBE bid incentive if Bidder proposes sufficient additional DVBE participation in this contract. Trustees will calculate the Bidder's DVBE participation on the total project bid price, included awarded alternatives. Bidder shall list hereon the DVBEs participating in this bid and the dollar amount of participation by each DVBE. The total of the DVBE participation amounts hereon shall equal at least Bidder's proposed percentage of DVBE participation. For each alternative Bidder shall list any-DVBE participating in work to be performed on the alternative.

Substitution: Bidder shall not substitute any subcontractor listed below or permit any subcontractor to be assigned or transferred, or allow work to be performed by anyone other than the designated subcontractor, or sublet or subcontract any of the work in excess of one-half of one percent of this bid for which a subcontractor is not herein designated, except as otherwise provided in the Subletting and Subcontracting Fair Practices Act. Substitution of any small business subcontractor by a non-small business claiming the small business bid preference shall be done pursuant to Calif. Code of Regulations, Title 2, section 1896.10. DVBEs listed hereon may only be replaced by another DVBE, and the substitution of the DVBE must be approved by the Trustees and the Department of General Services.

Portion of Work (Trade/ Supplier, if DVBE)	Specify Work as Base Bid (B) or Alternate (#)	Company Name and Address	CA CSLB-Issued Contractor License No.	Small Business (Indicate \$)	DVBE (Indicate \$)
			CA DIR Public Works Registration No.		
				\$	\$
				\$	\$
				\$	\$
				\$	\$

Bidder Name: _____

Project No. _____

LIST OF PROPOSED SUBCONTRACTORS (cont'd)

(to be submitted with bid; see page 1 for information and instructions)

[illegible]

Bidder Name: _____
Project No. _____

LIST OF SUBCONTRACTORS—ADDITIONAL INFORMATION

(to be submitted within 24 hours after bid opening)

Information/Instructions:

Pursuant to the provisions of the Subletting and Fair Practices Act, Public Contract Code section 4100 *et seq.*, and in accordance with the Contract General Conditions, Article 2.06-b, this form shall contain the information for all subcontractors listed on the List of Proposed Subcontractors submitted with the bid. No listed subcontractor shall be added or deleted by use of this form. Bidder shall verify all listed subcontractors' licenses with the Contractors State License Board and DIR Public Works Registration numbers.

In the 'Subsidiary of Bidder' column, indicate whether the subcontractor is a subsidiary or is owned or partially owned by the Bidder (share profits), by checking appropriate response ('Y' for 'Yes' or 'N' for 'No'). In the 'Insurance' column, indicate whether the subcontractor will carry its own insurance, or whether the subcontractors without individual insurance policies are insured by the Bidder. If the subcontractor will carry its own insurance, check 'S' in the insurance column; if not, check 'B' which will indicate that the subcontractors without individual insurance policies are insured by the Bidder.

If Bidder is a non-small business and has received the small business bid preference, it shall list those small business subcontractors hereon, and indicate that the subcontractor is a small business and provide the dollar amount and percentage of bid (including awarded alternatives) for each small business subcontractor's portion of the bid (trade).

Bidders are required to achieve 3% DVBE participation on this contract, and shall list hereon the dollar amount and percentage of bid for DVBE participation by each listed DVBE, and the total of these amounts shall equal at least 3% of the total project bid price, including awarded alternatives. For each alternative Bidder shall list any subcontractor not included in the base contract work subcontractor listing and the dollar amount and percentage of DVBE participation by each subcontractor listed for work to be performed on the alternative. If the Trustees granted Bidder the DVBE bid incentive, then the total amount of DVBE participation shall exceed the required 3% by the incentive percentage of the total project bid price, including awarded alternatives.

Bidder (Contractor) will be required to submit a Subcontractor Directory, per Article 2.06-b, to demonstrate compliance with the Subcontracting Fair Practices Act.

Portion of Work (Trade/ Supplier, if DVBE)	Specify Base Bid (B) or Alt (#)	Company's Full Name and Address	Verified CA CSLB- Issued Contractor License No.	Subsidiary of Bidder		Insurance (Check One)		Dollar and % Amount of Bid	
			Verified DIR Public Works Registr. No.	Y	N	S	B	Small Business	DVBE
								\$	\$
								%	%
								\$	\$
								%	%
								\$	\$
								%	%
								\$	\$
								%	%
								\$	\$
								%	%

Bidder Name: _____
Project No. _____

LIST OF SUBCONTRACTORS—ADDITIONAL INFORMATION (cont'd)

(to be submitted within 24 hours after bid opening; see page 1 for information and instructions)

Portion of Work (Trade/ Supplier, if DVBE)	Specify Base Bid (B) or Alt (#)	Company's Full Name and Address	Verified CA CSLB- Issued Contractor License No.	Subsidiary of Bidder		Insurance (Check One)		Dollar and % Amount of Bid	
			Verified DIR Public Works Registr. No.	Y	N	S	B	Small Business	DVBE
								\$	\$
								%	%
								\$	\$
								%	%
								\$	\$
								%	%
								\$	\$
								%	%
								\$	\$
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								\$	\$
								%	%
								\$	\$
								%	%
								\$	\$
								%	%

DISABLED VETERAN BUSINESS ENTERPRISE (DVBE)
PARTICIPATION REQUIREMENT

DVBE TRANSMITTAL FORM

The DVBE Transmittal Form is to be attached and used as a cover sheet for the required DVBE documentation that must be submitted within 24 hours following the bid opening.

Campus: _____

Project Name: _____

Project Number: _____

Bid Date: _____

Name of Contractor Submitting Bid: _____

Please check off the following to insure you have included them in your documentation:

_____ Attachment 1: Summary of DVBE Participation

_____ Attachment 2: Bidder's Certification of DVBE Status of Subcontractors and Suppliers

_____ Disabled Veteran Business Enterprise Declarations, Form STD. 843

_____ Attachment of Any Additional Supporting Documentation

OFFICIAL CSU USE ONLY

Did Contractor meet 3% DVBE requirement? ☐ Yes ☐ No

DVBE Bid Incentive (attach abstract of bids)

Amount of DVBE Bid Incentive granted: _____%

Amount of DVBE Participation pledged: _____%

Signed: _____
DVBE Program Advocate Date

SUMMARY OF DISABLED VETERAN-OWNED BUSINESS PARTICIPATION

[illegible]

I declare under penalty of perjury, under the laws of the State of California, that the information herein is true and correct to the best of my knowledge.

Executed on: _____, at _____ in the state of _____.
Date City State

Signature of Contractor or Authorized Agent

Project Name

Project Number

Printed Name

Firm Name

()
Telephone

BIDDER'S CERTIFICATION

**DISABLED VETERAN BUSINESS ENTERPRISE
STATUS OF SUBCONTRACTORS AND SUPPLIERS**

I hereby certify that I have made a diligent effort to ascertain the facts with regard to the representations made herein and, to the best of my knowledge and belief, each firm set forth in this bid as a disabled veteran business enterprise complies with the relevant definition set forth in law. In making this certification, I am aware of Section 12650 *et seq.* of the Government Code providing for the imposition of treble damages for making false claims against the State, Section 10115.10 of the Public Contract Code making it a crime to intentionally make an untrue statement in this certificate, and the provisions of the Military and Veterans Code, Section 999.9.

Date

Signature of Authorized Agent

Title

DISABLED VETERAN BUSINESS ENTERPRISE DECLARATIONS

STD. 843 (Rev. 5/2006)

Instructions: The disabled veteran (DV) owner(s) and DV manager(s) of the Disabled Veteran Business Enterprise (DVBE) must complete this declaration when a DVBE contractor or subcontractor will provide materials, supplies, services or equipment [Military and Veterans Code Section 999.2]. Violations are misdemeanors and punishable by imprisonment or fine and violators are liable for civil penalties. All signatures are made under penalty of perjury.

SECTION 1

Name of certified DVBE:

DVBE Ref. Number:

Description (materials/supplies/services/equipment proposed):

Solicitation/Contract Number:

SCPRS Ref. Number: _____

(FOR STATE USE ONLY)

SECTION 2**APPLIES TO ALL DVBEs. Check only one box in Section 2 and provide original signatures.**

I (we) declare that the DVBE is not a broker or agent, as defined in Military and Veterans Code Section 999.2 (b), of materials, supplies, services or equipment listed above. Also, complete Section 3 below if renting equipment.

Pursuant to Military and Veterans Code Section 999.2 (f), I (we) declare that the DVBE is a broker or agent for the principal(s) listed below or on an attached sheet(s). *(Pursuant to Military and Veterans Code 999.2 (e), State funds expended for equipment rented from equipment brokers pursuant to contracts awarded under this section shall not be credited toward the 3-percent DVBE participation goal.)*

All DV owners and managers of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

(Printed Name of DV Owner/Manager)

(Signature of DV Owner/ Manager)

(Date Signed)

(Printed Name of DV Owner/Manager)

(Signature of DV Owner/Manager)

(Date Signed)

Firm/Principal for whom the DVBE is acting as a broker or agent:

(If more than one firm, list on extra sheets.)

(Print or Type Name)

Firm/Principal Phone:

Address:

SECTION 3**APPLIES TO ALL DVBEs THAT RENT EQUIPMENT AND DECLARE THE DVBE IS NOT A BROKER.**

Pursuant to Military and Veterans Code Section 999.2 (c), (d) and (g), I am (we are) the DV(s) with at least 51% ownership of the DVBE, or a DV manager(s) of the DVBE. The DVBE maintains certification requirements in accordance with Military and Veterans Code Section 999 et. seq.

The undersigned owner(s) own(s) at least 51% of the quantity and value of each piece of equipment that will be rented for use in the contract identified above. I (we), the DV owners of the equipment, have submitted to the administering agency my (our) personal federal tax return(s) at time of certification and annually thereafter as defined in *Military and Veterans Code 999.2*, subsections (c) and (g). *Failure by the disabled veteran equipment owner(s) to submit their personal federal tax return(s) to the administering agency as defined in Military and Veterans Code 999.2, subsections (c) and (g), will result in the DVBE being deemed an equipment broker.*

Disabled Veteran Owner(s) of the DVBE (attach additional pages with signature blocks for each person to sign):

(Printed Name)

(Signature)

(Date Signed)

(Address of Owner)

(Telephone)

(Tax Identification Number of Owner)

Disabled Veteran Manager(s) of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

(Printed Name of DV Manager)

(Signature of DV Manager)

(Date Signed)

STATE DEPARTMENT AND CONTRACT INFORMATION							
State Department Information		Contract Information		Prime Contractor Information:		FOR STATE USE ONLY	
State Department Name:		Contract #:		Name:		Date Received:	
State Department Address:		FISCAL Supplier ID#:		Address:			
Contract Manager Name:		Contract Execution Date:		Phone #:			
Contract Manager Phone #:		Date Work Completed:		Email:			
Contract Manager Email Address:		Contract Award Amount:		Date Last Payment Received:			
				Contract Received Amount:			
SECTION 3 List all Disabled Veteran Business Enterprise firms involved with this contract.							
(A) DVBE Subcontractor(s) Name	(B) DVBE Subcontractor(s) Address	(C) DVBE Certification ID Number	(D) Total Contract Commitment Percentage to DVBE	(E) Total Contract Commitment Amount to DVBE	(F) Total Payment Amount to DVBE	(G) Difference in Amount Paid to DVBE (F - E)	(H) Percentage Paid to DVBE (F/Contract Received Amount)
Number of DVBE Subcontractors			Grand Total	\$ 0.00	\$ 0.00	\$ 0.00	0.00%
1.			0.00%			0.00	0.00%
2.			0.00%			0.00	0.00%
3.			0.00%			0.00	0.00%
4.			0.00%			0.00	0.00%
5.			0.00%			0.00	0.00%
6.			0.00%			0.00	0.00%
7.			0.00%			0.00	0.00%
8.			0.00%			0.00	0.00%
9.			0.00%			0.00	0.00%
10.			0.00%			0.00	0.00%
11.			0.00%			0.00	0.00%
12.			0.00%			0.00	0.00%
13.			0.00%			0.00	0.00%
(I) Comments/Explanations							
Use next page for additional lines							
I certify under penalty of perjury under the laws of the State of California that all information submitted is true and correct.							
Prime Contractor	Print Name:					Date:	
	Title:						
	Signature:						
Return upon completion of contract.							
Americans with Disabilities (ADA) Notice: Persons with disabilities requiring reasonable modifications should contact the OSDS Report Coordinator at OSDSReports@dgs.ca.gov							

STATE DEPARTMENT AND CONTRACT INFORMATION							
State Department Information		Contract Information		Prime Contractor Information		FOR STATE USE ONLY	
State Department Name:		Contract #:		Name:		Date Received:	
State Department Address:		FISCAL Supplier ID#:		Address :			
Contract Manager Name:		Contract Execution Date:		Phone #:			
Contract Manager Phone #:		Date Work Completed:		Email:			
Contract Manager Email Address:		Contract Award Amount:		Date Last Payment Received:			
				Contract Received Amount:			
List all Disabled Veteran Business Enterprise firms involved with this contract.							
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
DVBE Subcontractor(s) Name	DVBE Subcontractor(s) Address	DVBE Certification ID Number	Total Contract Commitment Percentage to DVBE	Total Contract Commitment Amount to DVBE	Total Payment Amount to DVBE	Difference in Amount Paid to DVBE (F - E)	Percentage Paid to DVBE (F/Contract Received Amount)
Number of DVBE Subcontractors			Total	\$ 0.00	\$ 0.00	\$ 0.00	0.00%
14.			0.00%			0.00	0.00%
15.			0.00%			0.00	0.00%
16.			0.00%			0.00	0.00%
17.			0.00%			0.00	0.00%
18.			0.00%			0.00	0.00%
19.			0.00%			0.00	0.00%
20.			0.00%			0.00	0.00%
21.			0.00%			0.00	0.00%
22.			0.00%			0.00	0.00%
23.			0.00%			0.00	0.00%
24.			0.00%			0.00	0.00%
25.			0.00%			0.00	0.00%
26.			0.00%			0.00	0.00%
27.			0.00%			0.00	0.00%
28.			0.00%			0.00	0.00%
29.			0.00%			0.00	0.00%
30.			0.00%			0.00	0.00%
31.			0.00%			0.00	0.00%
32.			0.00%			0.00	0.00%
33.			0.00%			0.00	0.00%
34.			0.00%			0.00	0.00%
35.			0.00%			0.00	0.00%
36.			0.00%			0.00	0.00%
Attach copies of this page for additional lines							
I certify under penalty of perjury under the laws of the State of California that all information submitted is true and correct.							
Americans with Disabilities (ADA) Notice: Persons with disabilities requiring reasonable modifications should contact the OSDS Report Coordinator at OSDSReports@dgs.ca.gov							

Form Completion Instructions

GENERAL INFORMATION: Military and Veteran Code (MVC) 999.5(d), 999.7 and Government Code (GC) 14841, and California Code of Regulations (CCR) 1896.78(e) requires all Prime Contractors that committed to have a Disabled Veteran Business Enterprise (DVBE) perform an element of work for a contract to report DVBE subcontractor participation information and certify to the state that all DVBE subcontracting payments were made. Departments are required to withhold \$10,000 from the final payment, or the full payment if less than \$10,000, on contracts until the Prime Contractor complies with the certification requirements by submitting this form.

If prime contractors do not comply with the requirements after given notice to cure by the state departments, the withheld amount will be permanently deducted.

All contracted work must be completed before submission of invoice(s) and this certification form.

Prime Contractors are required to maintain records supporting the information submitted on this form and that all payments to DVBE subcontractor(s) were made. Upon request, proof of payment must be provided (MVC 999.5(d)).

INCLUDE

- **ONLY ONE contract per Report**
- **All DVBEs that performed an element of work for this contract regardless of tier**

State Department Information:

State Department Name: Enter the State Department name

State Department Address: Enter the State Department address

Contract Manager Name: Enter the Contract Manager name

Contract Manager Phone Number: Enter the Contract Manager phone #

Contract Manager Email Address: Enter the Contract Manager email address

Contract Information

Contract Number: Enter the Contract Number

Contractor's FI\$Cal Supplier ID Number: Enter your FI\$Cal supplier ID number

Contract Execution Date: Enter the date contract was signed

Date Work Completed: Enter the date the work was completed on the contract

Contract Award Amount: Enter the total dollar amount awarded for this contract including all financial amendments

Form Completion Instructions

Prime Contractor Information:

Prime Contractor Name: Enter your name as shown on the contract

Prime Contractor Address: Enter your address

Phone Number: Enter your number (with area code)

Email Address: Enter your email address

Date Last Payment Received: Enter the date the last payment for work performed was received

Contract Received Amount: Enter the dollar amount of the last payment received

For State Use Only

Date STD 817 Received: Enter date the Contract Manager received the STD 817 from the Prime Contractor

TABLE INSTRUCTIONS

A) DVBE Subcontractor(s) Name: Enter the name of all DVBEs that are listed to perform an element of work or supplies for this contract and any formal approved substitution(s). (Use additional lines if the name does not fit on a single line) Use page two for additional lines.

B) DVBE Subcontractor(s) Address: Enter the address of each DVBE (Use page 2 for additional lines if address does not fit on a single line)

C) DVBE Certification ID Number: Enter each DVBE's certification number

D) Total Contract Commitment Percentage to DVBE: Enter the total percentage of contracted dollars to each DVBE at the time of award

E) Total Contract Commitment Amount to DVBE: Enter the entire amount contracted to each DVBE at the time of award

F) Total Payment Amount to DVBE: Enter the total amount paid to all DVBEs that performed an element of work or were suppliers for this contract

Form Completion Instructions

Note: Complete and accurate certifications are due upon completion of contract.

G) Difference in Amount Paid to DVBE: The form will compute the difference of DVBE dollars contracted compared to dollars paid

H) Percentage Paid to DVBE: The form will compute the percentage paid to DVBEs using the Contract Received Amount entered under State and Contract Information

Instructions I

I) Comments/Explanations: Enter any relevant comments and explanations for any differences between the DVBE amounts or percentages committed and paid. Reference the line number if comments and explanations are used.

SIGNATURE BLOCK

Prime Contractor's Signature: Enter your printed name, title, sign with an electronic signature or a wet signature, and date