100% CD Project Manual

USDA AGRICULTURAL RESEARCH SERVICE

SWRC SOLAR PHASE 1

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SECTION 01 11 00

SUMMARY OF WORK
02/24

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Salvage Plan; G

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes Installation of new solar array on the existing building rooftop. Some patch and repair to the existing roof membrane will be performed due to the new panel attachments and incidental related work.

1.2.2 Location

The work is located at the UDSA ARS Southwest Watershed Research Center; Tucson, Arizona , approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at the storage area located within 10 miles of the

construction site.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Provide a system for property control in the salvage plan. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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WORK RESTRICTIONS
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PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel

SD-07 Certificates

1.2 CONTRACTOR ACCESS AND USE OF PREMISES

1.2.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Ensure all Contractor equipment, include delivery vehicles, are clearly identified with their company name.

1.2.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.2.1.2 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.2.2 Working Hours

Regular working hours will consist of an 8 1/2 hour period established by the Contracting Officer, between 7 a.m. and 3:30 p.m., Monday through Friday , , excluding Government holidays.

1.2.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements

to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.2.4 Occupied Building

The Contractor shall be working in an existing building around existing buildings which is occupied.

Provide dust covers or protective enclosures to protect existing work that remains, and Government material located in the building during the construction period.

Relocate movable furniture as required to perform the work, protect the furniture, and replace the furniture in its original location upon completion of the work. Leave attached equipment in place, and protect it against damage, or temporarily disconnect, relocate, protect, and reinstall it at the completion of the work.

1.2.5 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.

c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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payment instruction paragraphs required for use in all projects

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PRICE AND PAYMENT PROCEDURES
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PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

1.2 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
11/20, CHG 4: 08/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map

Progress and Completion Pictures

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

1.5 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability,

during the entire period of performance under this contract. Provide other insurance coverage as required by State law.

1.6 SUPERVISION

1.6.1 Superintendent Qualifications

Provide project superintendent with a minimum of 5 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

For projects where the superintendent is permitted to also serve as the Quality Control (QC) Manager as established in Section01 45 00 QUALITY CONTROL, the superintendent must have qualifications in accordance with that section.

1.6.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

1.6.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.6.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

1.7 PRECONSTRUCTION

prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction. The purpose of this is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to:

daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, quality control, schedule of prices or earned value report, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

1.7.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), Quality Control Manager and major subcontractors.

1.8 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled NAVFAC Red Zone Meetings beginning at approximately 75 percent of project completion. Include the following in the facility Turnover effort:

1.8.1 Red Zone Checklist

a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.

b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

1.8.2 Meetings

a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.

b. The Contracting Officer will establish the frequency of the meetings, which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.

c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.

d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.

e. Maintain the Red Zone Checklist by documenting the actual completion dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

1.9 PARTNERING

Host the partnering session within 45 calendar days of contract award. To most effectively accomplish this Contract, the Contractor and Government must form a cohesive partnership with the common goal of drawing on the strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget and on schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, , Contractor, key subcontractors and the Designer of Record are required to participate in the Partnering process.

1.9.1 Team-Led (Informal) Partnering

a. The Contracting Officer will coordinate the initial Team-Led (Informal) Partnering Session with key personnel of the project team, including Contractor and Government personnel. The Partnering Session will be co-led by the Government Construction Manager and Contractor's Project Manager.

b. The Initial Team-led Partnering session may be held concurrently with the meeting. Partnering sessions will be held at a location mutually agreed to by the Contracting Officer and the Contractor, typically at a conference room on-base or at the Contractor's temporary trailer.

c. The Initial Team-Led Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by Contracting Officer.

d. The Partners will determine the frequency of the follow-on sessions.

e. Participants will bear their own costs for meals, lodging and transportation associated with Partnering.

1.10 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days after contract award . Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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PROJECT SCHEDULE

08/23, CHG 1: 08/24

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PROJECT SCHEDULE
08/23, CHG 1: 08/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AACE INTERNATIONAL (AACE)

AACE 29R-03	(2011) Forensic Schedule Analysis
AACE 52R-06	(2006) Time Impact Analysis - As Applied in Construction
AACE 84R-13	(2015) Planning and Accounting for Adverse Weather

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 67-17	(2017) Schedule Delay Analysis

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11	(2017) Administration -- Project Schedules

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G,

Preliminary Project Schedule; G,

Initial Project Schedule; G,

Periodic Schedule Update; G,

1.3 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The Designated Project Scheduler must have prepared and maintained at least three previous construction schedules for projects of

similar size and complexity to this contract, using Primavera P6.. Representative must have a comprehensive knowledge of CPM scheduling principles and application.

PART 2 PRODUCTS

2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules required herein must be capable of meeting all requirements of this specification.

2.1.1 Government Default Software

The Government uses Primavera P6. Ensure exported schedule files are compatible with the version of P6 used by the Government.

2.1.2 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available. The software routine used to create the required sdef file must be created and supported by the software manufacturer.

2.1.2.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file in a version of P6 importable by the Government system. Verify at the SEKO meeting which version of P6 is in use by the Government. Export the schedule in a version of P6 no newer than that used by the Government.

2.1.2.2 Other Than Primavera

If the Contractor chooses software other than Primavera P6, that is compliant with this specification, provide for the Government's use two licenses, two computers, and training for two Government employees in the use of the software. These computers will be stand-alone and not connected to Government network. Computers and licenses will be returned at project completion.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of design and construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Designers, Subcontractors, and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM).

3.1.1 Scheduling Expectations Kickoff Meeting(SEKO)

In conjunction with the post award conference or pre construction conference the Government and the Contractor will hold a separate and distinct Scheduling Expectations Kickoff Meeting(SEKO). The intent of this meeting is for the Government to review with the contractor the requirements of the scheduling specification, communicate the Government's expectations regarding schedule development and management, and answer any questions the Contractor may have regarding the schedule requirements.

3.2 BASIS FOR PAYMENT AND COST LOADING

The schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN. Match the progress payment "Pay Period Thru" date in RMS to the schedule data date.

3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Activities with a negative cost loading is not allowed. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

3.2.2 Cost Loading of Submittals

No costs are to be assigned to activities for the preparation, review, or approval of submittals, except as described under paragraph COST LOADING OF CLOSEOUT ACTIVITIES, paragraph AS-BUILT DRAWINGS, design submittals, and paragraph O&M MANUALS.

3.2.3 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the preliminary, initial, or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, the Contracting Officer may withhold 10 percent of pay request amount from each payment period until such revisions to the project schedule have been made.

3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

Procurement time: Estimates are 12 weeks for PV equipment.
Construction Work Installation time to Complete:
a. CLIN1: 1 (one)month(s)

b. CLIN2: 1 (one)month(s)
Test & Balance time: 5 (Five) Day(s)
Commission / Startup time: 5 (Five) Day(s)
Turnover time to CLIN1: 4.5 month(s).
Total Construction Time: 6.5 months

3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Non-procurement activities Original Durations (OD) are not to exceed 20 workdays or 30 calendar days.

3.3.3 Design and Permit Activities

Include design and permit activities with the necessary conferences and follow-up actions and design package submission dates. Include the design schedule in the project schedule, showing the sequence of events involved in carrying out the project design tasks within the specific contract period. Provide a detailed level of scheduling sufficient to identify all major design tasks, including those that control the flow of work. Also include review and correction periods associated with each item.

3.3.4 Procurement Activities

Include in the schedule activities associated with the critical submittals and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

3.3.5 Mandatory Activities

Include the following activities in the initial project schedule and all updates.

a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).

b. Submission, review and acceptance of features requiring design completion Submission, review and acceptance of design packages.

c. Submission of mechanical/electrical/information systems layout drawings. d. Submission and approval of O & M manuals.

e. Submission and approval of as-built drawings.

f. Submission and approval of DD1354 data and installed equipment lists.

g. Submission and approval of testing and air balance (TAB).

h. Submission of TAB specialist design review report.

i. Submission and approval of fire protection specialist.

j. Submission and approval of Building Commissioning Plan, Controls testing plan, test data, and reports: Develop the schedule logic associated with testing and commissioning of mechanical systems to a

level of detail consistent with the contract commissioning requirements. All tasks associated with building testing and commissioning will be completed prior to submission of building commissioning report and subsequent contract completion.

These activities may include but are not limited to: air and water balancing, building commissioning -functional performance testing, controls testing, and performance verification testing. Include other systems testing, if required. Include additional commissioning requirements noted elsewhere in the Contract as required in the schedule and discuss during the SEKO meeting, noted in paragraph SCHEDULING EXPECTATIONS KICKOFF MEETING (SEKO).

k. Contractor's pre-final inspection.

l. Correction of punch list from Contractor's pre-final inspection.

m. Government's pre-final inspection.

n. Correction of punch list from Government's pre-final inspection.

o. Final inspection.

3.3.6 Government Activities

Show in schedule, Government and other agency activities that could impact progress. These activities include, but are not limited to approvals, acceptance, design reviews, environmental permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

3.3.7 Standard Activity Coding Dictionary

Use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11. This exact structure is mandatory. Develop and assign all Activity Codes to activities as detailed herein.

The SDEF format is as follows:

Field	Activity Code	Length	Description
1	WRKP	3	Workers per day
2	RESP	4	Responsible party
3	AREA	4	Area of work
4	MODF	6	Modification Number
5	BIDI	6	Bid Item (CLIN)
6	PHAS	2	Phase of work
7	CATW	1	Category of work

Field	Activity Code	Length	Description
8	FOW	20	Feature of work*
*Some systems require that FEATURE OF WORK values be placed in several activity code fields. The notation shown is for Primavera P6. Refer to the specific software guidelines with respect to the FEATURE OF WORK field requirements.			

3.3.7.1 Workers Per Day (WRKP)

Assign Workers per Day for all field construction or direct work activities, if directed by the Contracting Officer. Workers per day is based on the average number of workers expected each day to perform a task for the duration of that activity.

3.3.7.2 Responsible Party Coding (RESP)

Assign responsibility code for all activities to the Prime Contractor, Subcontractor(s) or Government agency(ies) responsible for performing the activity.

a. Activities coded with a Government Responsibility code include, but are not limited to: Government approvals, Government design reviews, environmental permit approvals by State regulators, Government Furnished Property/Equipment (GFP) and Notice to Proceed (NTP) for phasing requirements.

b. Activities cannot have more than one Responsibility Code. Examples of acceptable activity code values are: DOR (for the designer of record); ELEC (for the electrical subcontractor); MECH (for the mechanical subcontractor); and GOVT (for USACE).

3.3.7.3 Area of Work Coding (AREA)

Assign Work Area code to activities based upon the work area in which the activity occurs. Define work areas based on resource constraints or space constraints that would preclude a resource, such as a particular trade or craft work crew from working in more than one work area at a time due to restraints on resources or space. Examples of Work Area Coding include different areas within a floor of a building, different floors within a building, and different buildings within a complex of buildings. Activities cannot have more than one Work Area Code.

3.3.7.4 Modification Number (MODF)

Assign a Modification Number Code to any activity or sequence of activities added to the schedule as a result of a Contract Modification, when approved by Contracting Officer. Key all Code values to the Government's modification numbering system. An activity can have only one Modification Number Code.

3.3.7.5 Bid Item Coding (BIDI)

Assign a Bid Item Code to all activities using the Contract Line Item Number (CLIN) to which the activity belongs, even when an activity is not

cost loaded. An activity can have only one BIDI Code.

3.3.7.6 Phase of Work Coding (PHAS)

Assign Phase of Work Code to all activities. Examples of phase of work are design phase, procurement phase and construction phase. Each activity can have only one Phase of Work code.

a. Code proposed fast track design and construction phases proposed to allow filtering and organizing the schedule by fast track design and construction packages.

b. If the contract specifies phasing with separately defined performance periods, identify a Phase Code to allow filtering and organizing the schedule accordingly.

3.3.7.7 Category of Work Coding (CATW)

Assign a Category of Work Code to all activities. Category of Work Codes include, but are not limited to design, design submittal, design reviews, review conferences, permits, construction submittal, procurement, fabrication, weather sensitive installation, non-weather sensitive installation, start-up, and testing activities. Each activity can have no more than one Category of Work Code.

3.3.7.8 Feature of Work Coding (FOW)

Assign a Feature of Work Code to appropriate activities based on the Definable Feature of Work to which the activity belongs based on the approved QC plan.

Definable Feature of Work is defined in Section 01 45 00 QUALITY CONTROL. An activity can have only one Feature of Work Code.

3.3.8 Contract Milestones and Constraints

Milestone activities are to be used for significant project events including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or affect network logic are prohibited. No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for approval on a case by case basis.

3.3.8.1 Project Start Date Milestone and Constraint

The first activity in the project schedule must be a start milestone titled "NTP Acknowledged," which must have a "Start On" constraint date equal to the date that the NTP is acknowledged.

3.3.8.2 End Project Finish Milestone and Constraint

The last activity in the schedule must be a finish milestone titled "End Project."

Constrain the project schedule to the Contract Completion Date in such a

way that if the schedule calculates an early finish, then the float calculation for "End Project" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "End Project" milestone float calculation reflects negative float on the longest path.

3.3.8.3 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

3.3.8.3.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

3.3.8.3.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

3.3.9 Adverse Weather

Ensure anticipated adverse weather is accounted for in the Project Schedule. AACE 84R-13 provides methodologies for techniques in planning for adverse weather. The preferred methodology is the use of a weather calendar. If a method other than a weather calendar is proposed, discuss the reason during the SEKO meeting.

3.3.10 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc. Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and holidays. Develop Seasonal Calendar(s) and assign to seasonally affected activities as applicable.

If a weather calendar is used its implementation and execution is to be in accordance with AACE 84R-13

3.3.11 Open Ended Logic

Only two open ended activities are allowed: the first activity "NTP Acknowledged" is to have no predecessor logic, and the last activity -"End Project" is to have no successor logic. Except as noted above, each activity must have at least a start-to-start or finish-to-start relationship with its predecessor and a finish-to-finish or finish-to-start relationship with its successor.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

3.3.12 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent

complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

3.3.13 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

3.3.14 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

3.3.15 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

3.3.16 Leads, Lags, and Start to Finish Relationships

Lags must be reasonable as determined by the Government and not used in place of realistic original durations, must not be in place to artificially absorb or create float, or to replace proper schedule logic.

a. Leads (negative lags) are prohibited.

b. Start to Finish (SF) relationships are prohibited.

3.3.17 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

3.3.18 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management. Budgeted cost of activity is to be reduced by the amount needed to correct deficiency. The activity

referenced in paragraph COST LOADING of CLOSEOUT ACTIVITIES must have its budgeted cost increased by this amount.

3.3.19 Remaining Duration

Update the remaining duration for each activity based on the number of estimated workdays it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete. The remaining duration for unstarted activities are not to be less than its orginal duration.

3.3.20 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

3.3.20.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than $35,000 or 1 percent of the present contract value, which ever is greater, up to $200,000. Activity will be declared 100 percent complete upon the Government's approval.

3.3.20.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than $20,000. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

3.3.21 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is an Initial Project Schedule (IPS) that indicates all scope of the required contract work will be completed before the contractually required completion date.

a. No IPS indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and hours) and the Government agreeing that the schedule is reasonable and achievable.

b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The data reports and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, the Contractor will be deemed not to have provided an estimate upon which a progress payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

3.4.1 Preliminary Project Schedule Submission

Within 15 calendar days after the NTP is acknowledged, submit the Preliminary Project Schedule defining the planned operations detailed for the first 90 calendar days for approval. The approved Preliminary Project Schedule will be used for payment purposes not to exceed 90 calendar days after NTP. Completely cost load the Preliminary Project Schedule to balance the contract award CLINS shown on the Price Schedule. The Preliminary Project Schedule may be summary in nature for the remaining performance period. It must be early start and late finish constrained and logically tied as specified. The Preliminary Project Schedule forms the basis for the Initial Project Schedule specified herein and must include all of the required plan and program preparations, submissions and approvals identified in the contract (for example, Quality Control Plan, Safety Plan, and Environmental Protection Plan) as well as design activities, planned submissions of all early design packages, permitting activities, design review conference activities, and other non-construction activities intended to occur within the first 90 calendar days. Government acceptance of the associated design package(s) and all other specified Program and Plan approvals must occur prior to any planned construction activities. Code activities that are summary in nature, after the first 90 calendar days, with the following activity codes: Bid Item (CLIN) code (BIDI), Responsibility Code (RESP), and Feature of Work code (FOW)..

3.4.2 Initial Project Schedule Submission

Submit the Initial Project Schedule for approval within 42 calendar days after notice to proceed is issued. The schedule must demonstrate a reasonable and realistic sequence of activities which represent all work through the entire contract performance period. Include in the design-build schedule detailed design and permitting activities, including but not limited to identification of individual design packages, design submission, reviews and conferences; permit submissions and any required Government actions; and long lead item acquisition prior to design completion. Also cover in the initial design-build schedule the entire construction effort with as much detail as is known at the time but, as a minimum, include all construction start and completion milestones, and detailed construction activities through the dry-in, including all activity coding and cost loading. Include the remaining construction, including cost loading, but it may be scheduled summary in nature. As the design proceeds and design packages are developed, fully detail the remaining construction activities concurrent with the monthly schedule updating process. Constrain construction activities by Government acceptance of associated designs. When the design is complete, incorporate into the then approved schedule update all remaining detailed construction activities that are planned to occur after the dry-in milestone. No payment will be made for work items not fully detailed in the Project Schedule.

3.4.2.1 Design Package Schedule Submission

With each design package submitted to the Government, submit a fragnet schedule extracted from the then current Preliminary, Initial or Updated schedule which covers the activities associated with that Design Package including construction, procurement and permitting activities.

3.4.3 Periodic Schedule Updates

Update the Project Schedule on a regular basis, monthly at a minimum. Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress. Update the schedule to include detailed construction activities as the design progresses, but not later than the submission of the final un-reviewed design submission for each separate design package. The Contracting Officer may require submission of detailed schedule activities for any distinct construction that is started prior to submission of a final design submission if such activity is authorized.

a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete. Updated information is subject to the approval of the Government at the meeting.

b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Preliminary Schedule, Initial Schedule, and every Periodic Schedule Update throughout the life of the project:

3.5.1 Electronic Scheduling Data

Provide electronic scheduling data containing the current project schedule and all previously submitted schedules in the format of the scheduling software (e.g. .xer). Also include the Narrative Report and all required Schedule Reports. The electronic file is to identify the type of schedule (Preliminary, Initial, Update), full contract number, Data Date and schedule file name. Each schedule must have a unique file name and use project specific settings. The file naming convention is to be determined at SEKO meeting.

3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Schedule Narrative Report is a "stand alone" report in PDF or Word format. Title the report "Schedule Narrative Report". Show the Report date, schedule name, and contract number on the first page. Indicate who is responsible for the schedule update and narrative report. Provide a brief description of the Project Scope. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for problems, either current or potential, which are revealed through that analysis. Include the following information at a minimum in the Narrative Report:

a. Identify and discuss the work scheduled to start in the next update period.

b. Describe activities along the critical path in addition to activities on the next float path after the critical path.

c. Describe current and anticipated problem areas, delaying factors and their impact, and an explanation of corrective actions taken or required to be taken. Identify the party responsible for delay, if

applicable.

d. Identify and explain why activities, based on their calculated late dates, should have either started or finished during the update period but did not.

e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.

f. Identify and discuss out-of-sequence work.

g. If the longest path changes from a monthly update to the next, provide an explanation of the factors driving the change.

h. Respond to USACE Schedule Review Comments from the previous update(s).

3.5.3 Schedule Reports

The format, filtering, organizing, and sorting for each schedule report will be as directed by the Contracting Officer. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. Provide one set(s) of hardcopy reports. The following lists typical reports that will be requested:

3.5.3.1 Activity Report

List of all activities sorted according to activity number.

3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in ascending order by activity number.

3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

3.5.3.5 Scheduling and Leveling Report

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

3.5.4 Network Diagram

The Network Diagram is required for the Preliminary, Initial and Periodic Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

3.5.4.4 Grouping and Sorting

Group and sort activities as directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.

3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.

3.6 PERIODIC SCHEDULE UPDATE

3.6.1 Periodic Schedule Update Meetings

Conduct periodic schedule update meetings for the purpose of reviewing the proposed Periodic Schedule Update, Narrative Report, Schedule Reports, and progress payment. Conduct meetings at least monthly within five days of the proposed schedule data date. Provide a computer with the scheduling software loaded and a projector which allows all meeting participants to view the proposed schedule during the meeting. The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The Contractor scheduler must attend in person unless virtual attendance is approved by Contracting Officer. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. The meeting will last no longer than 8 hours. Submit

schedule file and narrative to the Government a minimum of two workdays in advance of the meeting. The Contractor's Project Manager must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the schedule update meeting, make corrections to the schedule and resubmit, following the required schedule naming convention. Include only those changes approved by the Government in the schedule review meeting. Only approved schedules may be used to generate invoices for payment.

3.6.2 Update Submission Following Progress Meeting

Submit the complete Periodic Schedule Update of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than 4 workdays after the periodic schedule update meeting.

3.7 WEEKLY PROGRESS MEETINGS

Conduct a weekly meeting with the Government (or as otherwise mutually agreed to) between the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the actual progress of the project as compared to the as planned progress and to review planned activities for the upcoming two weeks. Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At the weekly progress meeting, address the status of RFIs, RFPs and Submittals.

3.8 REQUESTS FOR TIME EXTENSIONS

ASCE 67-17 provides delay analysis guidelines. If there is a conflict between the contract and guidance provided in ASCE 67-17, the contract will govern. Provide justification of delay to the Contracting Officer in accordance with the contract provisions and clauses for approval, within 10 days of a delay occuring. Also prepare a time impact analysis for each Government request for proposal (RFP).

3.8.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a time impact analysis that demonstrates the effects of the delay or impact on the project completion date, or interim completion date(s). Evaluate multiple impacts chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

3.8.2 Changes That Do Not Cause Delay

If it is determined that a change does not constitute a schedule time extension, a fragnet as described in paragraph FRAGMENTARY NETWORK, is to be created and inserted into the Project schedule.

3.8.3 Time Impact Analysis (Prospective Analysis)

Submit requests for time extensions based on a prospective analysis if the

work involved or the impact identified has not already occurred. Where the impact is ongoing a prospective analysis may be considered. However, the Government reserves the right to require a retrospective analysis be prepared after the impact has ended.

Prepare a time impact analysis for approval by the Contracting Officer based on industry recommended practice AACE 52R-06. Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the time impact analysis. If Contracting Officer determines the time frame between the last approved schedule and the first day of impact is too great, prepare an interim updated schedule to perform the time impact analysis. Unless approved by the Contracting Officer, no other changes may be incorporated into the schedule being used to justify the time impact.

3.8.4 Forensic Schedule Analysis (Retrospective Analysis)

Submit requests for time extensions based on a retrospective analysis if the work involved or the impact identified has already occurred. The analysis must account for the actual performance of both the impacted work and all other contract work in the schedule.

If a methodology is chosen from AACE 29R-03, the method must adhere to the principles identified in ASCE 67-17. If there is a conflict with the methodology chosen from AACE 29R-03 and ASCE 67-17, ASCE 67-17 will govern. Choice of methodology should be discussed prior to submission to Government.

3.8.5 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

3.8.6 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("End Project" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay, are not a cause for an extension to the performance period, completion date, or any interim milestone date.

3.8.7 Impact to Early Completion Schedule

No extended overhead will be paid for delay prior to the original Contract Completion Date for an Early Completion IPS unless the Contractor actually performed work in accordance with that Early Completion Schedule. The Contractor must show that an early completion was achievable had it not

been for the impact.

3.9 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include which activities will be accelerated by adding additional crews, longer work hours, extra workdays, etc.

3.9.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying, or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration, and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

3.9.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

3.9.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

3.10 OWNERSHIP OF FLOAT

Except for the provision given in the paragraph IMPACT TO EARLY COMPLETION SCHEDULE, float available in the schedule, at any time, belongs to the Project and is available for Contractor and Government use. This includes activity and project float. Activity float is the number of workdays that an activity can be delayed without causing a delay to the "End Project" finish milestone. Project float (if applicable) is the number of work days between the projected early finish and the "End Project" finish.

3.11 TRANSFER OF SCHEDULE DATA INTO RESIDENT MANAGEMENT SYSTEM

Ensure schedule data is uploaded to RMS. This data is additional supporting data in a form and detail required by the Contracting Officer pursuant to FAR 52.232-5 Payments under Fixed-Price Construction Contracts. The receipt of a proper payment request pursuant to FAR 52.232-27 Prompt Payment for Construction Contracts is contingent upon the Government receiving both acceptable and approvable hard copies and matching electronic versions of the application for progress payment.

3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

Ensure Primavera P6 settings provide a schedule capable of fulfilling the requirements of the contract. The following settings are mandatory and required in all schedule submissions to the Government:

a. Activity Codes must be Project Level, not Global or EPS level.

b. Calendars must be Project Level, not Global or Resource level.

c. Activity Duration Types must be set to "Fixed Duration & Units".

d. Percent Complete Types must be set to "Physical".

e. Time Period Admin Preferences must remain the default "8.0 hr/day, 40 hr/week, 172 hr/month, 2000 hr/year". Set Calendar Work Hours/Day to 8.0 Hour days.

f. Set Schedule Option for defining Critical Activities to "Longest Path".

g. Set Schedule Option for defining progressed activities to "Retained Logic".

h. Set up cost loading using a single lump sum non-labor resource. The Price/Unit must be $1/hr, Default Units/Time must be "8h/d", and settings "Auto Compute Actuals" and "Calculate costs from units" un-selected.

i. Activity ID's must not exceed 10 characters.

j. Activity Names must have a verb-noun structure and contain the most defining and detailed description within the first 30 characters.

k. The daily ending hour for all work calendars must be the same.

-- End of Section --

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DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES

08/18, CHG 1: 08/20

the preparation and use of construction schedules for small projects

PART 1 GENERAL

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1.2 PRE-CONSTRUCTION SCHEDULE REQUIREMENT
1.3 SCHEDULE FORMAT
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SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES
08/18, CHG 1: 08/20

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Baseline Construction Schedule; G

SD-07 Certificates

Monthly Updates

1.2 PRE-CONSTRUCTION SCHEDULE REQUIREMENT

Within 30 calendar days after contract award and Prior to the start of work, prepare and submit to the Contracting Officer a Baseline Construction Schedule in the form of a in accordance with the terms in Contract Clause FAR 52.236-15 Schedules for Construction Contracts, except as modified in this contract. The approval of a Baseline Construction Schedule is a condition precedent to:

a. The Contractor starting demolition work or construction stage(s) of the contract.

b. Processing Contractor's invoice(s) for construction activities/items of work.

c. Review of any schedule updates.

Submittal of the Baseline Construction Schedule, and subsequent schedule updates, is understood to be the Contractor's certification that the submitted schedule meets the requirements of the Contract Documents, represents the Contractor's plan on how the work will be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 SCHEDULE FORMAT

1.3.1 Schedule Submittals and Procedures

Submit Schedules and updates in hard copy and on electronic media that is acceptable to the Contracting Officer. Submit an electronic back-up of the project schedule in an import format compatible with the Government's scheduling program.

1.4 SCHEDULE MONTHLY UPDATES

Update the Construction Schedule at monthly intervals or when the schedule

has been revised. Keep the updated schedule current, reflecting actual activity progress and plan for completing the remaining work. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

a. Narrative Report: Identify and justify the following:

(1) Progress made in each area of the project;

(2) Longest Path: Include printed copy on 11 by 17 inch paper, landscape setting;

(3) Date/time constraint(s), other than those required by the contract;

(4) Listing of changes made between the previous schedule and current updated schedule including: added or removed activities, original and remaining durations for activities that have not started, logic (sequence, constraint, lag/lead), milestones, planned sequence of operations, longest path, calendars or calendar assignments, and cost loading.

(5) Any decrease in previously reported activity Earned Amount;

(6) Pending items and status thereof, including permits, changes orders, and time extensions;

(7) Status of Contract Completion Date and interim milestones;

(8) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);

(9) Description of current and future schedule problem areas.

For each entry in the narrative report, cite the respective Activity ID and Activity Name, the date and reason for the change, and description of the change.

1.5 3-WEEK LOOK AHEAD SCHEDULE

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Construction Schedule. Key the work plans to activity numbers when a NAS is required and update each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Construction Schedule on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as directed by the Contracting Officer. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Deliver three hard copies and one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, and review during the weekly CQC Coordination or Production Meeting.

1.6 CORRESPONDENCE AND TEST REPORTS:

Correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery

tickets, photographs) must reference Schedule Activities that are being addressed. Test reports (e.g., concrete, soil compaction, weld, pressure) must reference Schedule Activities that are being addressed.

1.7 ADDITIONAL SCHEDULING REQUIREMENTS

Any references to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to all requirements of this section.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01 33 00

SUBMITTAL PROCEDURES

08/18, CHG 4: 02/21

general procedures regarding submittals, data normally submitted for review to establish conformance with the design concept and contract documents

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ATTACHMENTS:

Attachment A - Submittal Register

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SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18, CHG 4: 02/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Government approved Division 01 preconstruction submittals that are required prior to or commencing with the start of work must be submitted within 30 calendar days of contract award unless specified elsewhere in the specifications. Contractor approved Division 01 submittals that are required prior to or commencing with the start of work must be submitted within 45 calendar days of contract award unless specified elsewhere in the specifications.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Baseline Network Analysis Schedule (NAS)

Submittal Register

Schedule Of Prices Or Earned Value Report

Work Plan

Quality Control (QC) plan

Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and Safety Data Sheets(SDS)concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to

produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register; G

1.3 SUBMITTAL CLASSIFICATION

1.3.1 Government Approved (G)

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.3.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 PREPARATION

1.4.1 Transmittal Form

1.4.2 Submittal Format

1.4.2.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.4.2.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

1.4.2.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than two (2) inches on the right-hand side of each sheet for the Government disposition stamp.

1.4.2.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.4.2.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.4.2.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.4.2.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.4.2.4 Format of SD-04 Samples

1.4.2.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.4.2.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.4.2.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.4.2.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.4.2.6 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.4.2.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.4.2.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.4.2.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.4.2.9 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.4.2.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.4.2.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.4.3 Source Drawings for Shop Drawings

1.4.3.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.4.3.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5 QUANTITY OF SUBMITTALS

1.5.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit one pdf set of administrative submittals.

1.5.2 Number of SD-04 Samples

a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where

directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.6 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.7 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Attachment A - Submittal Register."

1.7.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on

which submittals are received by and returned by the Government.

1.7.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.7.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.7.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.7.5 Action Codes

1.7.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.8 VARIATIONS

Variations from contract requirements require Contracting Officer approval

pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.8.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.8.2 Proposing Variations

When proposing variation, deliver a submittal, clearly marked as a "VARIATION" to the Contracting Officer, with documentation illustrating the nature and features of the variation including any necessary technical submittals and why the variation is desirable and beneficial to Government. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

The Contracting Officer will indicate an approval or disapproval of the variation request; and if not approved as submitted, will indicate the Government's reasons therefore. Any work done before such approval is received is performed at the Contractor's risk."

Specifically point out variations from contract requirements in a variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.8.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.8.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 days will be allowed for the Government to consider submittals with variations.

1.9 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. .

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed

by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 14 days after the date of submission.

1.10 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals.

1.10.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an

explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.11 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.12 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.13 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the

right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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05/24

safety and occupational health requirements for the protection of Contractor and Government personnel, property, and resources

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GOVERNMENTAL SAFETY REQUIREMENTS
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ANSI/ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ANSI/ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations

ASTM INTERNATIONAL (ASTM)

ASTM F855	(2020) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)

ANSI/ISEA Z89.1	(2014; R 2019) American National Standard for Industrial Head Protection

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1926	Safety and Health Regulations for Construction
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

The following definitions are for the convenience of the reader. If there is a referenced document in the text of this specification section, that is the document that should define terms for that paragraph. If further clarification is needed, contact the Contracting Officer.

1.2.1 Site Safety and Health Officer (SSHO)

A Contractor Employee that is responsible for overseeing and ensuring implementation of the prime Contractor's Safety and Occupational Health (SOH) program according to the Contract, EM 385-1-1, applicable federal, state, and local requirements.

1.2.1.1 Level One SSHO

A designated employee with full-time SOH responsibility that meets and follows the requirements of EM 385-1-1.

1.2.1.2 Level Two SSHO

A designated employee with Level Two SSHO responsibility that meets and follows the requirements of EM 385-1-1. Level Two SSHOs cannot be assigned to projects that have a residual Risk Assessment Code (RAC) of high or extremely high.

1.2.1.3 Level Three SSHO

A designated Qualified Person or Competent Person with SOH responsibility that meets and follows the requirements of EM 385-1-1. Level 3 SSHOs cannot be assigned to projects that have a residual RAC of high or extremely high.

1.2.1.4 Alternate SSHO

An employee that meets the definition of the contract-required level SSHO, but is not the primary SSHO.

1.2.2 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are unsanitary, hazardous, or dangerous to personnel, and who has authorization to take prompt corrective measures to eliminate them.

1.2.3 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.3 SUBMITTALS

Government Acceptance or Approval does not remove responsibility from the Contractors for their actions or liability.

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

Dive Operations Plan; G

SD-06 Test Reports

Accident Reports; G

LHE Inspection Reports

Monthly Exposure Reports; G

SD-07 Certificates

Activity Hazard Analysis (AHA); G

Certificate of Compliance

Hot Work Permit

License Certificates

Portable Gauge Operations Planning Worksheet; G

Radiography Operation Planning Work Sheet; G

Standard Lift Plan; G

1.4 PUBLIC HEALTH EMERGENCIES

In the event of a declared public health emergency, follow safety precautions as required by the Occupational Safety and Health

Administration (OSHA) www.osha.gov, the Centers for Disease Control and Prevention (CDC) www.cdc.gov, and as required by federal, state and local requirements.

1.5 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report by the fifth of each month. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the progress payment.

1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations at the date of the Solicitation for this Contract. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.7 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.7.1 Site Safety and Health Officer (SSHO)

1.7.1.1 Qualifications of SSHO

All SSHOs will have met the training, experience requirements identified in the EM 385-1-1 and this Contract.

1.7.1.2 Duties of SSHO

All SSHOs will carry out the roles and responsibilities as identified in this Contract and the EM 385-1-1. All SSHOs will be designated on an ENG Form 6282, provided by the Contracting Officer. Superintendent, QC Manager, and SSHO are subject to dismissal if their required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.7.1.3 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, or foremen must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.7.2 Roles and Responsibilities of Prime Contractor and SSHO

The Prime Contractor and SSHO must ensure that the requirements of all applicable OSHA and EM 385-1-1 are met for the project. The Prime Contractor must ensure an SSHO or an equally qualified Alternate SSHO(s) is at the worksite at all times to implement and administer the Contractor's safety program and Government accepted Accident Prevention Plan. If the required SSHO has to temporarily (that is, up to 24 hours / 1 day) leave the site of work due to unforeseen or emergency situations, a Level One, Two, or Three SSHO may be used in the interim and must be on the site of work at all times when work is being performed.

If the SSHO must be off-site for a period longer than 24 hours / 1 day, a qualified alternate that meets the contract requirements must be onsite.

a. Prime contractor must ensure all SSHOs will:

(1) Are designated on an ENG Form 6282.

(2) Meet minimum training and experience requirements identified in EM 385-1-1.

(3) Execute roles and responsibilities identified in EM 385-1-1.

1.7.3 Preconstruction Conference

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays. The creation of the APP and Schedule will be created after being given Notice to Proceed.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.8 ACCIDENT PREVENTION PLAN (APP)

1.8.1 Accident Prevention Plan (APP)

Submit the Accident Prevention Plan (APP) for review and acceptance by the Government at least 15 calendar days prior to the start, after being given Notice to Proceed. A competent person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, ENG Form 6293, and herein. The APP must be

job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and occupational health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling employer" for all worksite safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed in accordance with the APP and ENG Form 6293 Accident Prevention Plan Worksheet. The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer within 30 calendar days of Contract award and not less than 10 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e., imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ANSI/ASSP A10.34), and the environment.

1.8.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.

b. As a minimum, designate and submit qualifications of Competent Persons (CP) for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to

include selection, use and maintenance. Designate and submit qualifications for additional CPs as applicable to the work performed under this Contract.

1.8.3 Plans

Provide plans in the APP in accordance with the requirements outlined in EM 385-1-1, including the following:

1.8.3.1 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with Section 02 41 00 DEMOLITION and referenced sources.

1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. The Government has no responsibility to provide emergency medical treatment.

1.12 NOTIFICATIONS AND REPORTS

1.12.1 Accident Notification

Notify the Contracting Officer in accordance with the EM 385-1-1 Accident Reporting Timeline.

Table
Accident Reporting Required Timeline

Accident Type	Notify KO or COR	Complete Final Accident Report on ENG 3394 and provide to KO or COR
Fatality, in-patient hospitalization, amputation, eye loss, or property damage over $600,000.	Immediately, no later than (NLT) 8 Hours	Within 7 Days
All other accidents and near misses	Immediately, no later than (NLT) 24 Hours	Within 7 Days

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any accident or near miss.

1.12.2 Accident Reports

b. Near Misses: Near miss reports are considered positive and proactive Contractor safety management actions.

1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1 and using Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.13 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on Government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

1.13.1 Radiography Operation Planning Work Sheet

Submit a Gamma and X-Ray Radiography Operation Planning Work Sheet to Contracting Officer 14 days prior to commencement of operations involving radioactive materials or radiation generating devices. For portable machine sources of ionizing radiation, including moisture density and XRF, use and submit the Portable Gauge Operations Planning Worksheet instead. The Contracting Officer will review the submitted worksheet and provide questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

1.13.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Government authorized representative will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records, and utilization records for radiological operations performed on the site.

1.13.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

1.13.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

1.13.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

1.13.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety Officer (RSO) of any Radioactive Material use.

1.13.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

1.13.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

1.14 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with EM 385-1-1, 29 CFR 1926, 29 CFR 1910, and Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

1.14.1 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

1.15 DIVE SAFETY REQUIREMENTS

Develop a Dive Operations Plan, AHA, emergency management plan, and personnel list that includes qualifications, for each separate diving operation. Submit these documents to the District Dive Coordinator (DDC) via the Contracting Officer, for review and approval at least 15 working days prior to commencement of diving operations. These documents must be at the diving location at all times. Provide each of these documents as a part of the project file.

PART 2 PRODUCTS

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Head Protection that meets ANSI/ISEA Z89.1

b. Long Pants

c. Appropriate Safety Footwear

d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further

Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.3 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e., 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during demolition, repair, renovation, or construction operations. Stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages in sufficient time as to not result in impacts or delays to the project schedule. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1. In accordance with EM 385-1-1, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of Hazardous Energy Control Program (HECP) and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Installation representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1, 29 CFR 1910, 29 CFR 1915, ANSI/ASSP A10.44, NFPA 70E.

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with EM 385-1-1.

3.5.1 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 and 29 CFR 1926.

3.5.1.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.1.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1.

3.6 EQUIPMENT

3.6.1 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.7 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1.

3.7.1 Electrical Work

As described in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe

for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety footwear, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.

3.7.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

3.7.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.7.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

3.7.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI)

protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

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SOURCES FOR REFERENCE PUBLICATIONS

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SOURCES FOR REFERENCE PUBLICATIONS
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PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AACE INTERNATIONAL (AACE)
726 East Park Avenue #180
Fairmont, WV 26554 USA
Ph: 304-296-8444
Fax: 304-291-5728
Internet: https://web.aacei.org/

AMERICAN CONCRETE INSTITUTE (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331-3439
Ph: 248-848-3800
Fax: 248-848-3701
Internet: https://www.concrete.org/

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
130 East Randolph, Suite 2000
Chicago, IL 60601
Ph: 312-670-2400
Fax: 312-670-5403
Steel Solutions Center: 866-275-2472
E-mail: solutions@aisc.org
Internet: https://www.aisc.org/

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)
1899 L Street, NW,11th Floor
Washington, DC 20036
Ph: 202-293-8020
Fax: 202-293-9287
E-mail: info@ansi.org
Internet: https://www.ansi.org/

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300
Email: customercare@asce.org
Internet: https://www.asce.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
180 Technology Parkway NW
Peachtree Corners, GA 30092
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)
PO Box 997377, MS 0500
Sacramento, CA 95899-7377
Ph: 916-558-1784
Internet: https://www.cdph.ca.gov/

ELECTRONIC INDUSTRIES ALLIANCE (EIA)
EIA has become part of the ELECTRONIC COMPONENTS INDUSTRY ASSOCIATION (ECIA)

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL CODE COUNCIL (ICC)
200 Massachusetts Ave, NW Suite 250
Washington, DC 20001
Ph: 800-786-4452 or 888-422-7233
Fax: 202-783-2348
E-mail: order@iccsafe.org
Internet: https://www.iccsafe.org/

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)
3050 Old Centre Ave. Suite 101
Portage, MI 49024
Ph: 269-488-6382 or 1-888-300-6382
Fax: 269-488-6383
Internet: https://www.netaworld.org/

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)
3, rue de Varembe, 1st floor
P.O. Box 131
CH-1211 Geneva 20, Switzerland
Ph: 41-22-919-02-11
Fax: 41-22-919-03-00
E-mail: info@iec.ch
Internet: https://www.iec.ch/

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)
ISO Central Secretariat
BIBC II
Chemin de Blandonnet 8
CP 401 - 1214 Vernier, Geneva
Switzerland
Ph: 41-22-749-01-11
E-mail: central@iso.ch
Internet: https://www.iso.org

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)
1101 Wilson Blvd, Suite 1425
Arlington, VA 22209-1762
Ph: 703-525-1695
Fax: 703-528-2148
Internet: https://safetyequipment.org/

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Email: communications@nema.org
Internet: https://www.nema.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL ROOFING CONTRACTORS ASSOCIATION (NRCA)
10255 West Higgins Road, Suite 600
Rosemont, IL 60018-5607
Ph: 847-299-9070
Fax: 847-299-1183
Internet: http://www.nrca.net

PILE DRIVING CONTRACTORS ASSOCIATION (PDCA)
33 Knight Boxx Road, Suite 1
Orange Park, FL 32065
Ph: 888-311-7322 or 904-215-4771
Fax: 904-215-2977

Internet: http://www.piledrivers.org/

SANDIA NATIONAL LABORATORIES (SAND)
P.O. Box 5800
Albuquerque, NM 87185
or
P.O. Box 969
Livermore, CA 94551-0969
Ph: 505-844-8066 or 925-294-3000
Internet: https://energy.sandia.gov/

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)
2000 Powell Street, Suite 600
Emeryville, CA 94608
Ph: 510-452-8000
Fax: 510-452-8001
E-mail: info@SCSglobalservices.com
Internet: https://www.scsglobalservices.com/

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)
21865 Copley Drive
Diamond Bar, CA 91765
Ph: 909-396-2000
E-mail: webinquiry@aqmd.gov
Internet: http://www.aqmd.gov

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)
1320 North Courthouse Rosd, Suite 200
Arlington, VA 22201
Ph: 703-907-7700
Fax: 703-907-7727
E-mail: marketing@tiaonline.org
Internet: https://www.tiaonline.org/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)

Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
E-mail: ExecSecretariat.FHWA@dot.gov
Internet: https://highways.dot.gov/
Order from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

UNDERWRITERS LABORATORIES (UL)
2600 N.W. Lake Road
Camas, WA 98607-8542
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://accuristech.com/

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

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QUALITY CONTROL

08/23, CHG 1: 05/24

preparation and use of Design-Bid-Build (DBB) and Design-Build (DB) Quality Control

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QUALITY CONTROL
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D3740	(2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E329	(2023) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan; G

SD-06 Test Reports

Verification Statement

1.4 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC is comprised of plans, procedures, and organization necessary to produce an end product that complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The Quality Control Manager,

Superintendent, Site Safety and Health Officer (SSHO), and all on-site supervisors are responsible for the quality of work and are subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. The Quality Control Manager must maintain a physical presence at the work site at all times and is the primary individual responsible for all quality control.

1.5 QUALITY CONTROL (QC) PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations that comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.5.1 Meetings

1.5.1.1 Quality Control Plan Meeting

Prior to submission of the QC Plan, the Contractor may request a meeting with the Contracting Officer to discuss the QC Plan requirements of this Contract.

The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of Definable Feature of Work (DFOW).

1.5.1.2 Coordination and Mutual Understanding Meeting

After the before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's quality control system. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Government. Provide a copy of the signed minutes to all attendees. At a minimum the Coordination and Mutual Understanding Meeting must be repeated when a new QC Manager is appointed. There can be other occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

1.5.1.2.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site

work, design intent, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor must explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. Procedures for noise and acoustics management.

c. Environmental Protection Plan.

d. Environmental regulatory requirements.

1.5.1.2.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation.

1.5.1.2.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting.

1.5.1.3 Quality Control (QC) Meetings

After the start of construction, conduct weekly QC meetings led by the QC Manager at the work site with the Project Superintendent, and the other personnel as necessary. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within 2 working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and deficiencies/rework. Review the most current approved schedule (in accordance with schedule specification) and the status of work and deficiencies/rework.

c. Review the status of submittals and Request For Information (RFIs).

d. Review the work to be accomplished in the next 3 weeks as defined by the schedule section paragraph and all documentation required for that work.

e. Review Testing Plan and Log including status of tests performed since last QC Meeting.

f. Resolve QC and production problems. Discuss status of pending change orders.

g. Address items that may require revising the QC Plan.

h. Review Accident Prevention Plan (APP) and effectiveness of the safety program.

i. Review environmental requirements and procedures.

j. Review Environmental Management Plan.

k. Review Waste Management Plan.

l. Review the status of training completion.

1.5.2 Contractor Quality Control (CQC) Plan

Submit no later than 30 days, the CQC Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. Construction will be permitted to begin only after acceptance of the CQC Plan and other Contract requirements

1.5.2.1 Content of Contractor Quality Control (CQC) Plan

Provide a CQC Plan, prior to start of construction that includes a table of contents, with major sections identified, pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control during the construction of the project. The CQC Plan must at a minimum include the following sections:

a. A description of the quality control organization and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified.

b. An organizational chart showing the quality control organization with individual names and job titles and lines of authority up to an executive of the company at the home office.

c. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, (including position titles and durations for qualifying experiences) for each person in the QC organization. Include the Construction Quality Management (CQM) for Contractors course certifications for the QC personnel as required by the paragraph CONSTRUCTION QUALITY MANAGEMENT TRAINING.

d. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

e. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

f. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager, and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work that is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to all other QC Specialists or quality control representatives outlining their duties, authorities, and

responsibilities. Include copies of the letters in the QC Plan.

g. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, scheduling, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

h. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraph ACCREDITATION REQUIREMENTS, as applicable.

i. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, associated feature of work required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.

j. Procedures to complete construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected. This phase is performed prior to beginning work on each definable feature of work, after all required plans, documents, materials are approved, and after copies are at the work site.

k. Reporting procedures, including proposed reporting formats.

l. Procedures for submitting and reviewing design changes/variations prior to submission to the Contracting Officer.

m. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines, or it is work by the same trade in a different environment. A DFOW is by definition any item or activity on the construction schedule, and the schedule specification provides direction regarding how the DFOWs are to be structured. Include in the list of DFOWs for all activities on the Construction Schedule. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. Identify the specification section number and schedule activity ID for each DFOW listed. The DFOW list will be reviewed in coordination with the construction schedule and agreed upon during the Coordination of Mutual Understanding Meeting.

n. PROCEDURES FOR PERFORMING AND TRACKING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each Definable Feature of Work (DFOW), a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

1.5.3 Acceptance of the Quality Control (QC) Plan

The Contracting Officer's acceptance of the Contractor QC Planis required prior to the start of construction. The Contracting Officer reserves the

right to require changes in the QC Plan and operations as necessary, including removal or addition of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.5.4 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel. Proposed changes are subject to acceptance by the Contracting Officer.

1.6 QUALITY CONTROL (QC) ORGANIZATION

1.6.1 Quality Control (QC) Manager

1.6.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program. In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent. The QC Manager must attend the Coordination and Mutual Understanding Meeting, perform the three phases of control, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

1.6.1.2 Qualifications

The QC Manager must be an individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction Contracts which included the major trades that are part of this Contract. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification, safety compliance, and sustainability.

The QC Manager and all members of the QC organization must be capable of reading, writing, and conversing fluently in the English language.

1.6.1.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager and all members of the QC team must have completed the CQM for Contractors course. If the QC Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Systems Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the QC Manager's certificate has expired, retake the course to

remain current.

1.6.2 Organizational Changes

Maintain the QC staff with personnel as required by the specification section at all times. When it is necessary to make changes to the QC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

1.6.3 Alternate Quality Control (QC) Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.7 SUBMITTAL AND DELIVERABLES REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES. Procedures must include field verification of relevant dimensions and component characteristics by the QC organization prior to submittal being sent to the Contracting Officer. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the Contract.

1.8 THREE PHASES OF CONTROL

CQC enables the Contractor to ensure that the construction, including that of subcontractors and suppliers, complies with the requirements of the Contract. At least three phases of control must be conducted by the QC Manager to adequately cover both on-site and off-site work for each definable feature of the construction work as follows:

1.8.1 Preparatory Phase

Document the results of the preparatory phase actions by separate minutes prepared by the QC Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required to meet Contract specifications.

Notify the Contracting Officer at least 2 business days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the Project Superintendent, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections, reference codes, and standards. Make available during the prepatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction or shop drawings or both before confirming product orders, to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

f. Examine the work area to ensure that the required preliminary work has been completed and complies with the Contract and ensure any deficiencies/rework items in the preliminary work have been corrected and confirmed by the Contracting Officer.

g. Review coordination of product/material delivery to designated prepared areas to execute the work.

h. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

i. Check to assure that all materials and equipment have been tested, submitted, and approved.

j. Discuss specific controls to be used, construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW. Ensure any portion of the plan requiring separate Contracting Officer acceptance has been approved.

k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

1.8.2 Initial Phase

Notify the Contracting Officer at least 2 business days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site when acceptable levels of specified quality are not being met. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases. Perform the following for each DFOW:

a. Check work to ensure that it is in full compliance with Contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full Contract compliance. Verify required control inspection and testing comply with the Contract.

c. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels

as appropriate.

d. Resolve any workmanship issues.

e. Ensure that testing is performed by the approved laboratory.

f. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

g. Review project specific work plans (i.e., HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.8.3 Follow-Up Phase

Perform the following for on-going DFOW daily, or more frequently as necessary, until the completion of each DFOW. The Final Follow-Up for any DFOW will clearly note in the daily report the DFOW is completed, and all deficiencies/rework items have been completed in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Each DFOW that has completed the Initial Phase and has not completed the Final Follow-up must be included on each daily report. If no work was performed on that DFOW for the period of that daily report, it must be so noted. Document all Follow-Up activities for DFOWs in the daily CQC Report:

a. Ensure the work including control testing complies with Contract requirements until completion of that particular work feature. Record checks in the CQC documentation.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that deficiencies/rework items are being corrected. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work.

e. Do not build upon nor conceal non-conforming work.

f. Assure manufacturers' representatives have performed necessary inspections if required and perform safety inspections.

1.8.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW has not started within 45 days of the initial preparatory meeting or has resumed after 45 days of inactivity, or if other problems develop.

1.8.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least 2 weeks prior to the start of the preparatory and initial phases.

1.8.6 Deficiency/Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be corrected, the activity ID number associated with the item, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected.

The QC Manager reviews the list at each weekly QC Meeting:

a. There is no requirement to report a deficiency/rework item that is corrected the same day it is discovered.

b. No successor task may be advanced beyond the preparatory phase meeting until all deficiencies/rework items have been cleared by the QC Manager and concurred with by the Contracting Officer. This must be confirmed as part of the Preparatory Phase activities.

c. Attach a copy of the "Deficiency/Rework Items List" to the last daily CQC Report of each month.

d. The Contractor is responsible for including those items identified by the Contracting Officer.

e. All deficiencies/rework items must be confirmed as corrected by the QC Manager, and concurred by the Contracting Officer, prior to commencement of any completion inspections per paragraph COMPLETION INSPECTIONS unless specifically exempted by the Contracting Officer.

f. Non-Compliance with these requirements is grounds for removal in accordance with paragraph ACCEPTANCE OF THE QUALITY CONTROL (QC) PLAN.

g. All delays, concurrent or related to failure to manage, monitor, control, and correct deficiencies/rework items are entirely the responsibility of the Contractor and can not be made the subject, or any component of any request for additional time or compensation.

1.9 TESTING

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to Contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of an U.S. Army Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site or within 300 miles. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with Contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

1.9.1 Laboratory Accreditation Authorities

1.9.2 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract. Laboratories utilized for testing soils, concrete, asphalt, and steel must meet criteria detailed in ASTM D3740 and ASTM E329.

1.9.3 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager.

1.10 COMPLETION INSPECTIONS

1.10.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications, and Contract. Include in the punch list any remaining items on the "Deficiency/Rework Items List", that were not corrected prior to the Punch-Out Inspection as approved by the Contracting Officer in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer.

The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. All punch list items must be confirmed as corrected by the QC Manager and concurred by the Contracting Officer. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.10.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected and concurred by the Contracting Officer prior to notifying the Government that a "Final" inspection with the Client can be scheduled. All items noted on the "Pre-Final" inspection must be corrected and concurred by the Contracting Officer in a timely manner and be accomplished before the Contract completion date for the work, or any increment thereof, if the project is divided into increments by separate completion dates unless exceptions are directed by the Contracting Officer.

1.10.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other Government QA personnel, and personnel representing the Client. Failure of the Contractor to have all Contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.11 QUALITY CONTROL (QC) CERTIFICATIONS

1.11.1 Contractor Quality Control (CQC) Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used, and work performed during this reporting period is in compliance with the Contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.11.2 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.12 DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER

1.12.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract.

The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The reporting of work must be identified by terminology consistent with the construction schedule. In the "Remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered, a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, and meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.12.2 Quality Control Activities

CQC and Contractor Production reports will be prepared daily to maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractors and any subcontractors.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When a Network Analysis Schedule (NAS) is used, identify each item of work performed each day by NAS activity number.

d. Control phase activities performed. Preparatory, and Initial phase Checklists associated with the DFOW referenced to the construction schedule. Follow-up phase activities identified to the DFOW. If testing or specific QC Specialist activities are associated with the Follow-up phase activities for a specific DFOW note this and include those reports.

e. Test and control activities performed with results and references to specifications and drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST.

f. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications and drawings requirements.

g. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

h. Offsite surveillance activities, including actions taken.

i. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

j. Instructions given/received and conflicts in plans and specifications.

1.12.3 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract.

Furnish the original and one copy of these records in report form to the Government by 10:00 AM the next working day after the date covered by the report. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the Contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the QC Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the QC Manager Report.

1.12.4 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

a. CQC Meeting minutes in accordance with paragraph QUALITY CONTROL (QC) MEETINGS.

b. All completed Preparatory and Initial Phase Checklists, arranged by specification section, further sorted by DFOW referenced to the construction schedule. Submit each individual Phase Checklist the day the phase event occurs as part of the CQC daily report.

c. All milestone inspections, arranged by Activity Number referenced to the construction schedule.

d. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section referenced to the DFOW to which individual reports results are associated. Individual field test reports will be submitted within 2 working days after the test is performed in accordance with the paragraph QUALITY CONTROL ACTIVITIES.

e. Copies of all Contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

f. An up-to-date copy of the paragraph DEFICIENCY/REWORK ITEMS LIST.

g. Upon commencement of Completion Inspections of the entire project or any defined portion, maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and subcontractors and all punch lists issued by the Government in accordance with the paragraph COMPLETION INSPECTIONS.

1.12.5 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month.

Provide a copy of the final "Testing Plan and Log" to the preparer of the Operation & Maintenance (O&M) documentation.

1.12.6 As-Built Drawings

The QC Manager must ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. The as-built drawings document commences with the QC Manager ensuring all amendments, or changes to the Contract prior to Contract award are accurately noted in the initial document set creating the accurate baseline of the Contract prior to any work starting. Ensure each deviation has been identified with the appropriate modifying documentation (e.g., PC No., Modification No., Request for Information No.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.13 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of a claim for extension of time for excess costs or damages by the Contractor.

1.14 DELIVERY, STORAGE, AND HANDLING

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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temporary construction facilities, safety systems, construction traffic provisions, construction signage, jobsite Wi-Fi, and controls over Contractor operations required for use in all projects.

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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2009; Rev 2012) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G

Traffic Control Plan; G

Haul Road Plan; G

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

SD-06 Test Reports

Backflow Preventer Tests

SD-07 Certificates

Backflow Tester Certification

Backflow Preventers Certificate of Full Approval

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 BACKFLOW PREVENTERS CERTIFICATE

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (58 mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, EM 385-1-1 Emergency Plan requirements and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.

b. Condition THREE (Sustained winds of 58 mph or greater expected within

48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.

d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

1.6 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258.} Meet the following requirements throughout the construction process.

1.6.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

1.6.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.6.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

1.6.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.6.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements

must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-50-0 Each Statement must be signed by a cybersecurity representative for the relevant company.

1.6.2 Temporary IP Networks

Temporary Contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

1.6.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

1.6.3 Government Access to Network

Government personnel, as defined, prescribed, and identified by the Contracting Officer, must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification. If there is a Government agency responsible for network access, identify that agency.

1.6.4 Temporary Wireless IP Networks

1.6.4.1 Contractor Provided Wi-Fi Network

Provide standalone Wi-Fi network for authorized Government personnel. Network access must be available throughout the jobsite and for the entire Contract period. The Government will use the Wi-Fi service for coordination in the field and related activities. Do not attach or connect the temporary Wi-Fi network to Government networks or systems unrelated to construction activities.

Password information must adhere to requirements outlined in Paragraph PASSWORDS AND PASSPHRASES. Provide password and Wi-Fi network name (SSID) to the Contracting Officer for Government personnel access. Wi-Fi performance must be capable of handling bandwidth to support Government and Contractor activities, including drawing and model access onsite. Provide a point of contact for reporting Wi-Fi outages.

1.6.4.2 Temporary Wireless IP Networks Security

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

1.6.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.6.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-50-0 Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

2.1.2 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.3 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. . Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at Contractor's expense, lights,

barriers, signals, passageways, detours, Life Safety Signage, overhead protection, and other items that may be required by the authority having jurisdiction.

d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. All personnel working in roadways will wear brightly-colored vests or other high visibility apparel in accordance with EM 385-1-1. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

3.3.3 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.4 CONTRACTOR'S TEMPORARY FACILITIES

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

3.4.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

3.4.2 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored brown, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and

distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

Keep fencing in a state of good repair and proper alignment. If the Contractor elects to traverse grassed or unpaved areas which are not established roadways with construction equipment or other vehicles, cover the grassed or unpaved areas with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation must be at the Contractor's discretion. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, structures, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

3.4.3 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.4.4 Appearance of Trailers

a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.

b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

3.4.5 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.4.6 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.4.6.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.5 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.6 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

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CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

02/19, CHG 3: 11/21

the management of non-hazardous construction waste and demolition debris/waste materials

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SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
02/19, CHG 3: 11/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.1.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.1.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.1.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.1.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.1.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.1.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.1.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.1.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.1.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.2 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 20 percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.3 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.3.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.3.2 Oversight

1.3.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials.

Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.3.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.3.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan; G

SD-11 Closeout Submittals

Final Construction Waste Diversion Report; S

1.5 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Pre-demolition meeting.

b. Regular site meetings.

c. Work safety meeting (if applicable).

1.6 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 15 calendar days after contract award. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin.. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION . Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project.

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and/or incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

Distribute copies of the waste management plan to each subcontractor, , and the Contracting Officer.

1.7 RECORDS (DOCUMENTATION)

1.7.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.7.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.8 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 60 days prior to the Beneficial Occupancy Date (BOD). The final Construction Waste Diversion Report must be included in the Sustainability eNotebook in accordance with Section 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING.

1.9 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS and Section 02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS. Separate materials by one of the following methods described herein:

1.9.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

1.9.2 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.10 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.10.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed

on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

1.10.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.10.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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SECTION 01 78 00

CLOSEOUT SUBMITTALS

05/19, CHG 1: 08/21

closeout submittals including: revised project documents, warranty management, testing, adjusting and balancing, O & M manuals, and cleanup

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SECTION 01 78 00

CLOSEOUT SUBMITTALS
05/19, CHG 1: 08/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction. These files serve as the basis for the creation of the record drawings.

1.1.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.2 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.2.1 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction drawings and data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CAD drawing files are not construction documents. Differences may exist between the CAD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CAD files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished Source drawing files, the signed and sealed construction documents govern. The Contractor is responsible for determining if any conflict exists. Use of these Source Drawing files does not relieve the

Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction drawings and data related to this contract, remove all previous indicia of ownership (seals, logos, signatures, initials and dates).

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan

Warranty Tags

Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

SD-11 Closeout Submittals

As-Built Drawings; G

Record Drawings; G,

As-Built Record of Equipment and Materials

Warranted Equipment and Materials

Certification of EPA Designated Items; G

Certification Of USDA Designated Items; G

List Of Installed Building Equipment Items For DD Form 1354

1.4 SPARE PARTS DATA

Submit one digital copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, and stock level required

for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

1.5 WARRANTY MANAGEMENT

1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit digital copy of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.

c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.

d. Warranted Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance

manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of equipment covered by warranties longer than one year.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.5.2 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.5.3 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit digital record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	

Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

2.1 CERTIFICATION OF USDA DESIGNATED ITEMS

Submit the Certification of USDA Designated Items as required by FAR 52-223-1 Bio-based Product Certifications and FAR 52.223-2 Affirmative Procurement of Biobased Products Under Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current USDA standards for biobased materials content. The following exemptions may apply to the non-procurement of biobased content materials:

a. The product does not meet appropriate performance standards;

b. The product is not available within a reasonable time frame;

c. The product is not available competitively (from two or more sources);

d. The product is only available at an unreasonable price (compared with a comparable bio-based content product)."

Record each product used in the project that has a requirement or option of containing biobased content in accordance with SECTION 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING, noting total price, total value of post-industrial recycled content, total value of post-consumer recycled content, total value of biobased content, exemptions (a, b, c, or d, as indicated), and comments. Biobased content values may be determined by weight or volume percent, but must be consistent throughout.

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site or red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

   (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

   (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

   (3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

   1) Add an entire drawing to contract drawings

   2) Change the contract drawing to show changes on the drawing.

3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications.

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract

work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 RECORD DRAWINGS

3.3 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA.. Submit to the Contracting Officer for approval within 30 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

3.4 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site..

-- End of Section --

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SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

05/23

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SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
05/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE GUIDELINE 1.4	(2019) Preparing Systems Manuals for Facilities

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings
ASTM E2166	(2016; R 2023) Standard Practice for Organizing and Managing Building Data

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Training Plan; G

Training Outline; G

Training Content; G

Operation And Maintenance Manual, Progress Submittal; G

Operation And Maintenance Manual, Prefinal Submittal; G

Operation And Maintenance Manual, Final Submittal; G

SD-11 Closeout Submittals

Training Video Recording; G

Validation of Training Completion; G

Training Plan; G

Record Drawings And Utility Systems; G

1.3 MEETINGS

To assure that Operation and Maintenance Manual and Facility Data Workbook requirements are being met through the duration of the project, organize the following meetings and discuss the subsequent topics:

1.3.1 Pre-Construction Meeting

At a minimum, discuss the following:

a. The requirement for Operation and Maintenance Manuals and Facility Data deliverables under this contract including coordination meetings

b. Processes and method of gathering Facility Data information during construction

c. Primary roles and responsibilities associated with the development and delivery of the Operation and Maintenance Manuals and Facility Data deliverables, and

d. Identify and agree upon a date and attendance list for the meetings described below:

1.3.2 Operation and Maintenance Manual and Facility Data Workbook Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the Operation and Maintenance Manual Progress Submittal. Meeting attendance must include the Contractor's Operation and Maintenance Manual and Facility Data Workbook Preparer, Designer of Record (DOR), Quality Control Manager, the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for Operation and Maintenance Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation and timely Government review of Operation and Maintenance Manual.

1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the Operation and Maintenance Manual and Facility Data Workbook.

a. Include personnel from the Coordination meeting and any additional personnel identified.

b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the Operation and Maintenance Manuals and Facility Data Workbook (FDW).

c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda for this meeting.

1.3.4 Facility Turnover Meeting

Include Operation and Maintenance Manual in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

1.4 FACILITY DATA WORKBOOK

Develop an editable, electronic spreadsheet based on the equipment in the Operation and Maintenance Manuals that contains the information required to start a preventive maintenance program.

1.5 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble Operation and Maintenance Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete bookmarked operation and maintenance directory.

1.5.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number

b. Project Title

c. Activity and Location

d. Construction Contract Number

e. Prepared For: (Contracting Agency)

f. Prepared By: (Name, title, phone number and email address)

g. Include the disk content on the disk label

h. Date

i. Virus scanning program used

1.6 O&M MANUAL CONTENT

Organize the bookmarked Operation and Maintenance Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at: https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-78-23.

1.6.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

1.6.2 Part 2: Facility Design and Construction

1.6.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility. Provide a PDF of the Record Drawings prepared in accordance with 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code list and generally describe all the facility systems and any special building features (for example, HVAC Controls, Sprinkler Systems, Cranes, Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

1.6.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

1.6.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

1.6.2.4 Room Inventory of Real Property and Finishes

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. Include, as applicable, the following information for each piece of equipment installed: description of item, all dimensions, location by room number, model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Real property includes, but is not limited to, floor coverings, wall surfaces, ceiling surfaces, windows, roofing, HVAC filters, plumbing fixtures, and lighting fixtures. Submit the final list 30 days after transfer of the completed facility.

Include spatial data defining actual net square footage and data of each room. Also include the room finish schedule including room names and numbers. Include schedules in the construction drawings in the room inventory. Add a column to each schedule to record what was provided by the contractor during construction. Provide a PDF of room inventory. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				

1.6.3 Part 3: Facilities, Systems, and Assemblies Information

1.6.3.1 Organization

Bookmark information in this section using the current version of ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy navigation of the manual.

Example as shown in Table below:

PARTS AND SUBPART NUMBERING
3.1 B20 EXTERIOR CLOSURE (System)
3.1.1 B2030 EXTERIOR DOORS (Subsystem)
3.1.1.1 B2030110 GLAZED DOORS (Component)
3.1.1.1.1 Applicable specifications List in UFGS Format
3.1.1.1.2 Manufacturer's Operations and Maintenance Data
3.1.1.1.3 Approved Submittal
3.1.1.1.4 Coordination/Shop Drawings
3.1.1.1.5 Sequence of Operation for Operating Equipment
3.1.1.1.6 Testing Equipment Information and Performance Data
3.1.1.1.7 Routine Maintenance Requirements
3.1.1.1.8 Repair Procedures
3.1.1.1.9 Emergency Procedures & Locations of Applicable Controls
3.1.1.1.10 Warranties
3.1.1.1.11 Record Drawings and Utility Systems
3.1.1.1.12 Contractor / Supplies Listing and Contact Information

1.6.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List specifications in table format as shown in the below example.

UFGS Number	Specification Title	Page Spec Begins in Part 2

1.6.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files containing multiple product catalogs from the same manufacturer, or product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

1.6.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and Maintenance Data submittals documents with the required approval.

1.6.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

1.6.4 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.6.4.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

1.6.4.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.6.4.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.6.4.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.6.4.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.6.4.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.6.4.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.6.4.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.6.4.9 Additional Requirements for Equipment Control Systems

Provide Data Package 5 and the following for all control systems:

a. Provide a narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.

b. Submit complete controls equipment schedules, full as-built sequence of operations, wiring and logic diagrams, Input/Output Tables, equipment schedules, copies of checkout tests and calibrations performed by the Contractor (not Cx tests), and all associates information.

c. Full points list. Provide a listing of rooms with the following information for each room:

(1) Floor

(2) Room number

(3) Room name

(4) Air handler unit ID

(5) Reference drawing number

(6) Air terminal unit tag ID

(7) Heating or cooling valve tag ID

(8) Minimum cfm

(9) Maximum cfm

d. Full print out of all schedules and set points after testing and acceptance of the system.

e. Full as-built print out of software program.

f. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.6.4.10 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.5 Routine Maintenance Requirements

1.6.5.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.6.5.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.

c. A Lubrication Schedule showing service interval frequency.

1.6.6 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.6.6.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical

malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.6.6.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.6.6.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.6.6.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.6.6.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.7 Record Drawings and Utility Systems

The record drawings are the final compilation of actual conditions reflected in the as-built drawings. Provide record drawings as outlined in 01 78 00 CLOSEOUT SUBMITTALS.

Using Record Source Drawings, show and document details of the actual installation of the utility systems, annotate and highlight the Operation and Maintenance information. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

1.6.6.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.6.6.9 Contractor / Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6.7 Part 4: Facility Operations

1.6.7.1 Completed Facility Operating Plan

Provide a plan that documents that procedures for the operation of systems and assemblies in the facility. The systems that should be included in the Operating Plan include, but are not limited to:

a. Electrical systems and equipment

b. Mechanical systems and equipment

c. Fire Protection systems and equipment

d. Control Systems and equipment

e. Architectural and Structural systems, fixtures, structures, and equipment

f. Vertical transportation such as elevators and escalators

1.6.7.2 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.6.7.3 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.7.4 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

1.6.7.5 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.6.7.5.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.6.7.5.2 Ongoing Commissioning Operational and Maintenance Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.6.7.5.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

1.6.7.6 Utility Record Drawings

1.6.7.6.1 Utility Schematic Diagrams

Provide a one-line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the 5 foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

1.6.7.6.2 Enlarged Connection and Cutoff Plans

Provide enlarged floor plans and provide information between the 5 foot utilities connection point and where utilities connect to facility distribution. Enlarge floor plans/elevations of the rooms where the utility enters the building and indicate on these plans the locations of the main interiors and exterior connection and cutoff points for the utilities. Also enlarge floor plans/elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

1.6.7.6.2.1 Description of Utility Metering and Monitoring Systems

Provide in narrative format a description of the utility metering and monitoring systems. Include locations, function, and related systems.

1.6.7.6.2.2 Procedures for Tracking Utility Use and Reporting

Procedures for usage reporting and tracking in support of establishing and monitoring utility budgets and costs, and in developing annual energy reports.

1.6.7.6.2.3 One-Line Diagrams and Meter Location of System

Provide one-line diagrams and design drawings that highlight meter locations on the site.

1.6.7.6.3 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.6.8 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.6.9 Part 6: Cx Project Report and TAB Report

Provide the final Cx Plan and complete Cx reports with evaluation and testing forms and records for each building system. Include relevant commissioned system assemblies test reports including installers checklists of assemblies. Provide all Cx Progress Reports, issues and resolutions logs with resolution or status of each item, and a list of any open items and seasonal or additional testing required.

1.6.10 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.6.11 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.6.12 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.7.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.7.2 Data Package 1

a. Safety precautions and hazards

b. Cleaning recommendations

c. Maintenance and repair procedures

d. Warranty information

e. Extended warranty information

f. Contractor information

g. Spare parts and supply list

1.7.3 Data Package 2

a. Safety precautions and hazards

b. Normal operations

c. Environmental conditions

d. Lubrication data

e. Preventive maintenance plan, schedule, and procedures

f. Cleaning recommendations

g. Maintenance and repair procedures

h. Removal and replacement instructions

i. Spare parts and supply list

j. Parts identification

k. Warranty information

l. Extended warranty information

m. Contractor information

1.7.4 Data Package 3

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Environmental conditions

g. Operating log

h. Lubrication data

i. Preventive maintenance plan, schedule, and procedures

j. Cleaning recommendations

k. Troubleshooting guides and diagnostic techniques

l. Wiring diagrams and control diagrams

m. Maintenance and repair procedures

n. Removal and replacement instructions

o. Spare parts and supply list

p. Product submittal data

q. O&M submittal data

r. Parts identification

s. Warranty information

t. Extended warranty information

u. Testing equipment and special tool information

v. Testing and performance data

w. Contractor information

x. Field test reports

1.7.5 Data Package 4

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Operator service requirements

g. Environmental conditions

h. Operating log

i. Lubrication data

j. Preventive maintenance plan, schedule, and procedures

k. Cleaning recommendations

l. Troubleshooting guides and diagnostic techniques

m. Wiring diagrams and control diagrams

n. Repair procedures

o. Removal and replacement instructions

p. Spare parts and supply list

q. Repair work-hours

r. Product submittal data

s. O&M submittal data

t. Parts identification

u. Warranty information

v. Extended warranty information

w. Personnel training requirements

x. Testing equipment and special tool information

y. Testing and performance data

z. Contractor information

aa. Field test reports

1.7.6 Data Package 5

a. Safety precautions and hazards

b. Operator prestart

c. Start-up, shutdown, and post-shutdown procedures

d. Normal operations

e. Environmental conditions

f. Preventive maintenance plan, schedule, and procedures

g. Troubleshooting guides and diagnostic techniques

h. Wiring and control diagrams

i. Maintenance and repair procedures

j. Removal and replacement instructions

k. Spare parts and supply list

l. Product submittal data

m. Manufacturer's instructions

n. O&M submittal data

o. Parts identification

p. Testing equipment and special tool information

q. Warranty information

r. Extended warranty information

s. Testing and performance data

t. Contractor information

u. Field test reports

v. Additional requirements for HVAC control systems

1.7.7 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the Quality Control Manager (QC) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC. Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The QC is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

3.1.7 Quality Control Coordination

Coordinate this training with the QCin accordance with Section 01 45 00 QUALITY CONTROL.

3.2 SUBMITTAL SCHEDULING

3.2.1 Operation and Maintenance Manual, Progress Submittal

Submit the Progress submittal when construction is approximately 50 percent complete, to the Contracting Officer for approval. Provide Operation and Maintenance Manual Files (Bookmarked PDF). Include the elements and portions of system construction completed up to this point. The purpose of this submittal is to verify progress is in accordance with contract requirements as discussed during the Operation and Maintenance Manual Coordination Meeting.

3.2.2 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the Operation and Maintenance Prefinal Submittal to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of Operation and Maintenance Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include Operation and Maintenance Manual Files (Bookmarked PDF).

3.2.3 Operation and Maintenance Manual, Final Submittal

Submit completed Operation and Maintenance Manual Files (Bookmarked PDF). The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

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SECTION 07 92 00

JOINT SEALANTS

08/23

sealants for normal building construction

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SECTION 07 92 00

JOINT SEALANTS
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C834	(2017; R 2023) Standard Specification for Latex Sealants
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C1193	(2016) Standard Guide for Use of Joint Sealants
ASTM C1521	(2019; R 2020) Standard Practice for Evaluating Adhesion of Installed Weatherproofing Sealant Joints

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2022) Adhesive and Sealant Applications

UNDERWRITERS LABORATORIES (UL)

UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Sealants; G

Primers; G

Bond Breakers; G

Backstops; G

Caulking; G

Cleaning Solvents; G

SD-06 Test Reports

Field Adhesion; G

SD-07 Certificates

Indoor Air Quality For Interior Sealants; S

Indoor Air Quality For Interior Floor Joint Sealants; S

Indoor Air Quality For Interior Acoustical Sealants; S

Indoor Air Quality For Interior Caulking; S

1.3 PRODUCT DATA

Include storage requirements, shelf life, curing time, instructions for mixing and application, and accessories. Provide manufacturer's Safety Data Sheets (SDS) for each solvent, primer and sealant material proposed.

1.4 CERTIFICATIONS

1.4.1 Indoor Air Quality Certifications

Submit required indoor air quality certifications in one submittal package.

1.4.1.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party program that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

1.5 ENVIRONMENTAL CONDITIONS

Apply sealant when the ambient temperature is between 40 and 90 degrees F.

1.6 DELIVERY AND STORAGE

Deliver materials to the jobsite in unopened manufacturers' sealed shipping containers, with brand name, date of manufacture, color, and material designation clearly marked thereon. Label elastomeric sealant containers to identify type, class, grade, and use. Handle and store materials in accordance with manufacturer's printed instructions. Prevent

exposure to foreign materials or subjection to sustained temperatures exceeding 90 degrees F or lower than 0 degrees F. Keep materials and containers closed and separated from absorptive materials such as wood and insulation.

1.7 QUALITY ASSURANCE

1.7.1 Compatibility with Substrate

Verify that each sealant is compatible for use with each joint substrate in accordance with sealant manufacturer's printed recommendations for each application.

1.7.2 Joint Tolerance

Provide joint tolerances in accordance with manufacturer's printed instructions.

1.7.3 Adhesion

Provide in accordance with ASTM C1193 or ASTM C1521.

PART 2 PRODUCTS

2.1 SEALANTS

Provide sealant products that have been tested, found suitable, and documented as such by the manufacturer for the particular substrates to which they will be applied.

In areas with ambient temperatures that exceed 110 degrees F, do not use polybutene, bituminous, acrylic-latex, polyvinyl acetate latex sealants, polychloroprene (neoprene), polyvinyl chloride (PVC), and polyurethane foams, and neoprene, PVC, and styrene butadiene rubber extruded seals and closure strips due to these materials having maximum recommended surface temperature ranges from 130 to 180 degrees F.

2.1.1 Interior Sealants

Provide ASTM C834. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior sealants. Location(s) and color(s) of sealant for the following (Note, color "as selected" refers to manufacturer's full range of color options):

LOCATION	COLOR
a. Small voids between walls or partitions and a similar items.	As selected

LOCATION	COLOR
c. Interior locations, not otherwise indicated or specified, where small voids exist between materials specified to be painted.	As selected

2.1.2 Exterior Sealants

For joints in vertical surfaces, provide ASTM C920, Type S or M, Grade NS, Class 25, Use NT. For joints in horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T. Hybrid sealant meeting ASTM C920, Type S, Grade NS, Class 50, use NT, M, A, G, or O. Provide location(s) and color(s) of sealant as follows (Note, color "as selected" refers to manufacturer's full range of color options):

LOCATION	COLOR
a. Joints and recesses formed where frames and subsills of windows, doors, louvers, and vents adjoin masonry, concrete, or metal frames. Use sealant at both exterior and interior surfaces of exterior wall penetrations.	Match adjacent surface color
	Match adjacent surface color
b. Voids where items pass through exterior walls.	Match adjacent surface color
c. Metal-to-metal joints where sealant is indicated or specified.	Match adjacent surface color
e. Joints between ends of fascia, copings, and adjacent walls.	Match adjacent surface color

2.2 PRIMERS

Non-staining, quick drying type and consistency as recommended by the sealant manufacturer for the particular application. Provide primers for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

2.3 BOND BREAKERS

Type and consistency as recommended by the sealant manufacturer to prevent adhesion of the sealant to the backing or to the bottom of the joint. Provide bond breakers for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

2.4 CLEANING SOLVENTS

Provide type(s) recommended by the sealant manufacturer and in accordance with environmental requirements herein. Protect adjacent aluminum surfaces from solvents. Provide solvents for interior applications that

meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

PART 3 EXECUTION

3.1 FIELD QUALITY CONTROL

Perform a field adhesion test in accordance with manufacturer's instructions and ASTM C1193, Method A or ASTM C1521, Method A, Tail Procedure. Remove sealants that fail adhesion testing; clean substrates, reapply sealants, and re-test. Test sealants adjacent to failed sealants. Submit field adhesion test report indicating tests, locations, dates, results, and remedial actions taken.

3.2 SURFACE PREPARATION

Prepare surfaces according to manufacturer's printed installation instructions. Clean surfaces from dirt, frost, moisture, grease, oil, wax, lacquer, paint, or other foreign matter that would destroy or impair adhesion. Remove oil and grease with solvent; thoroughly remove solvents prior to sealant installation. Wipe surfaces dry with clean cloths. When resealing an existing joint, remove existing caulk or sealant prior to applying new sealant. For surface types not listed below, provide in accordance with sealant manufacturer's printed instructions for each specific surface.

3.2.1 Steel Surfaces

Remove loose mill scale by sandblasting or, if sandblasting is impractical or would damage finished work, scraping and wire brushing. Remove protective coatings by sandblasting or using a residue free solvent. Remove resulting debris and solvent residue prior to sealant installation.

3.2.2 Aluminum or Bronze Surfaces

Remove temporary protective coatings from surfaces that will be in contact with sealant. When masking tape is used as a protective coating, remove tape and residual adhesive prior to sealant application. For removing protective coatings and final cleaning, use non-staining solvents recommended by the manufacturer of the item(s) containing aluminum or bronze surfaces.

3.2.3 Concrete and Masonry Surfaces

Where surfaces have been treated with curing compounds, oil, or other such materials, remove materials by sandblasting or wire brushing. Remove laitance, efflorescence and loose mortar from the joint cavity. Remove resulting debris prior to sealant installation.

3.2.4 Wood Surfaces

Ensure wood surfaces that will be in contact with sealants are free of splinters, sawdust and other loose particles.

3.3 SEALANT PREPARATION

Do not add liquids, solvents, or powders to sealants. Mix multicomponent elastomeric sealants in accordance with manufacturer's printed instructions.

3.4 APPLICATION

3.4.1 Joint Width-To-Depth Ratios

Acceptable Ratios:

JOINT WIDTH	JOINT DEPTH	
	Minimum	Maximum
For metal, glass, or other nonporous surfaces:		
1/4 inch (minimum)	1/4 inch	1/4 inch
over 1/4 inch	1/2 of width	Equal to width
For wood, concrete, masonry, stone :		
1/4 inch (minimum)	1/4 inch	1/4 inch
over 1/4 inch to 1/2 inch	1/4 inch	Equal to width
over 1/2 inch to 1 inch	1/2 inch	5/8 inch
Over 1 inch	prohibited	

Unacceptable Ratios: Where joints of acceptable width-to-depth ratios have not been provided, clean out joints to acceptable depths and grind or cut to acceptable widths without damage to the adjoining work. Grinding is prohibited at metal surfaces.

3.4.2 Unacceptable Sealant Use

Do not install sealants in lieu of other required building enclosure weatherproofing components such as flashing, drainage components, and joint closure accessories, or to close gaps between walls, floors, roofs, windows, and doors, that exceed acceptable installation tolerances. Remove sealants that have been used in an unacceptable manner and correct building enclosure deficiencies to comply with contract documents requirements.

3.4.3 Masking Tape

Place masking tape on the finished surface on one or both sides of joint cavities to protect adjacent finished surfaces from primer or sealant smears. Remove masking tape within 10 minutes of joint filling and tooling.

3.4.4 Primer

Clean out loose particles from joints immediately prior to application of. Apply primer to joints in concrete masonry units, wood, and other porous surfaces in accordance with sealant manufacturer's printed instructions. Do not apply primer to exposed finished surfaces.

3.4.5 Bond Breaker

Provide bond breakers to surfaces not intended to bond in accordance with, sealant manufacturer's printed instructions for each type of surface and sealant combination specified.

3.4.6 Sealants

Provide sealants compatible with the material(s) to which they are applied. Do not use a sealant that has exceeded its shelf life or has jelled and cannot be discharged in a continuous flow from the sealant gun. Apply sealants in accordance with the manufacturer's printed instructions with a gun having a nozzle that fits the joint width. Work sealant into joints so as to fill the joints solidly without air pockets. Tool sealant after application to ensure adhesion. Apply sealant uniformly smooth and free of wrinkles. Upon completion of sealant application, roughen partially filled or unfilled joints, apply additional sealant, and tool smooth as specified. Apply sealer over sealants in accordance with the sealant manufacturer's printed instructions.

3.5 PROTECTION AND CLEANING

3.5.1 Protection

Protect areas adjacent to joints from sealant smears. Masking tape may be used for this purpose if removed 5 to 10 minutes after the joint is filled and no residual tape marks remain.

3.5.2 Final Cleaning

Upon completion of sealant application, remove remaining smears and stains and leave the work in a clean and neat condition.

a. Masonry and Other Porous Surfaces: Immediately remove fresh sealant that has been smeared on adjacent masonry, rub clean with a solvent, and remove solvent residue, in accordance with sealant manufacturer's printed instructions. Allow excess sealant to cure for 24 hours then remove by wire brushing or sanding. Remove resulting debris.

b. Metal and Other Non-Porous Surfaces: Remove excess sealant with a solvent moistened cloth. Remove solvent residue in accordance with solvent manufacturer's printed instructions.

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electrical general requirements, complete

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BASIC ELECTRICAL MATERIALS AND METHODS
07/06

PART 1 GENERAL

1.1 REFERENCES

The publications list ed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D 709	(2001; R 2007) Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2007; TIA 2007-1; TIA 2007-2; TIA 2007-3; TIA 2007-4; TIA 2007-5; Errata 2006-1; Errata 2007-2; Errata 2009-3) National Electrical Safety Code
IEEE C57.12.28	(2005) Standard for Pad-Mounted Equipment - Enclosure Integrity
IEEE C57.12.29	(2005) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2008) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2017) National Electrical Code

1.2 RELATED REQUIREMENTS

This section applies to certain sections of Division 02, EXISTING CONDITIONSand Divisions 22 and 23, PLUMBING and HEATING VENTILATING AND AIR CONDITIONING. This section applies to all sections of Division 26 and 33, ELECTRICAL and UTILITIES, of this project specification unless specified otherwise in the individual sections. This section has been incorporated into, and thus, does not apply to, and is not referenced in the following sections.

Section 26 12 19.10 THREE-PHASE PAD MOUNTED TRANSFORMERS
Section 26 24 13 SWITCHBOARDS
Section 26 51 00 INTERIOR LIGHTING

Section 26 56 00 EXTERIOR LIGHTING
Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM
Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION
Section 33 82 00 TELECOMMUNICATIONS OUTSIDE PLANT

1.3 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, shall be as defined in IEEE 100.

b. The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.

c. The technical paragraphs referred to herein are those paragraphs in PART 2 - PRODUCTS and PART 3 - EXECUTION of the technical sections that describe products, systems, installation procedures, equipment, and test methods.

1.4 ELECTRICAL CHARACTERISTICS

Electrical characteristics for this project shall be new 12.47 kV primary, three phase, three wire, 60 Hz, and 208V/120 volts secondary, three phase, four wire. Final connections to the power distribution system at the existing transformer shall be made by the Contractor as directed by the Contracting Officer .

1.5 ADDITIONAL SUBMITTALS INFORMATION

Submittals required in other sections that refer to this section must conform to the following additional requirements as applicable.

1.5.1 Shop Drawings (SD-02)

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Wiring diagrams shall identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Drawings shall indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices.

1.5.2 Product Data (SD-03)

Submittal shall include performance and characteristic curves.

1.6 QUALITY ASSURANCE

1.6.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory

and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.6.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in the technical section.

1.6.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.6.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site shall not be used, unless specified otherwise.

1.7 WARRANTY

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.8 POSTED OPERATING INSTRUCTIONS

Provide for each system and principal item of equipment as specified in the technical sections for use by operation and maintenance personnel. The operating instructions shall include the following:

a. Wiring diagrams, control diagrams, and control sequence for each principal system and item of equipment.

b. Start up, proper adjustment, operating, lubrication, and shutdown procedures.

c. Safety precautions.

d. The procedure in the event of equipment failure.

e. Other items of instruction as recommended by the manufacturer of each system or item of equipment.

Print or engrave operating instructions and frame under glass or in approved laminated plastic. Post instructions where directed. For operating instructions exposed to the weather, provide weather-resistant

materials or weatherproof enclosures. Operating instructions shall not fade when exposed to sunlight and shall be secured to prevent easy removal or peeling.

1.9 MANUFACTURER'S NAMEPLATE

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.10 FIELD FABRICATED NAMEPLATES

ASTM D 709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified in the technical sections or as indicated on the drawings. Each nameplate inscription shall identify the function and, when applicable, the position. Nameplates shall be melamine plastic, 0.125 inch thick, white with black center core. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be one by 2.5 inches. Lettering shall be a minimum of 0.25 inch high normal block style.

1.11 WARNING SIGNS

Provide warning signs for the enclosures of electrical equipment including substations, pad-mounted transformers, pad-mounted switches, generators, and switchgear having a nominal rating exceeding 600 volts.

a. When the enclosure integrity of such equipment is specified to be in accordance with IEEE C57.12.28 or IEEE C57.12.29, such as for pad-mounted transformers, provide self-adhesive warning signs on the outside of the high voltage compartment door(s). Sign shall be a decal and shall have nominal dimensions of 7 by 10 inches with the legend "DANGER HIGH VOLTAGE" printed in two lines of nominal 2 inch high letters. The word "DANGER" shall be in white letters on a red background and the words "HIGH VOLTAGE" shall be in black letters on a white background. Decal shall be Panduit No. PPSO710D72 or approved equal.

1.12 ELECTRICAL REQUIREMENTS

Electrical installations shall conform to IEEE C2, NFPA 70, and requirements specified herein.

1.13 INSTRUCTION TO GOVERNMENT PERSONNEL

Where specified in the technical sections, furnish the services of competent instructors to give full instruction to designated Government personnel in the adjustment, operation, and maintenance of the specified systems and equipment, including pertinent safety requirements as required. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work. Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section.

PART 2 PRODUCTS

2.1 FACTORY APPLIED FINISH

Electrical equipment shall have factory-applied painting systems which shall, as a minimum, meet the requirements of NEMA 250 corrosion-resistance test.

PART 3 EXECUTION

3.1 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting shall be as specified in Section 09 90 00 PAINTS AND COATINGS and/or the section specifying the associated electrical equipment.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side, but space the signs a maximum of 30 feet apart.

-- End of Section --

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COMMON WORK RESULTS FOR ELECTRICAL
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D709	(2016) Laminated Thermosetting Materials

ELECTRONIC INDUSTRIES ALLIANCE (EIA)

EIA 480	(1981) Toggle Switches

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C57.12.28	(2014) Standard for Pad-Mounted Equipment - Enclosure Integrity
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL CODE COUNCIL (ICC)

ICC/ANSI A117.1	(2009) Accessible and Usable Buildings and Facilities

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI Z535.1	(2006; R 2011) American National Standard for Safety--Color Code
ANSI/NEMA OS 1	(2013) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA 250	(2014) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA FB 1	(2014) Standard for Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit, Electrical Metallic Tubing, and Cable
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA PB 1	(2011) Panelboards
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel

	Conduit and Intermediate Metal Conduit
NEMA TC 2	(2013) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2015) Standard for Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA VE 1	(2009) Standard for Metal Cable Tray Systems
NEMA WD 1	(1999; R 2015) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2016) Wiring Devices Dimensions Specifications

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	2020 National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 1	(2005; Reprint Jul 2012) Standard for Flexible Metal Conduit
UL 1242	(2006; Reprint Mar 2014) Standard for Electrical Intermediate Metal Conduit -- Steel
UL 489	(2013; Reprint Mar 2014) Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit-Breaker Enclosures
UL 506	(2008; Reprint Oct 2013) Specialty Transformers
UL 6	(2007; Reprint Nov 2014) Electrical Rigid Metal Conduit-Steel
UL 797	(2007; Reprint Dec 2012) Electrical Metallic Tubing -- Steel
UL 870	(2008; Reprint Feb 2013) Standard for Wireways, Auxiliary Gutters, and Associated Fittings

1.2 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

b. The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability Notebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Material, Equipment, and Fixture Lists

SD-03 Product Data

Conduits and Raceways; G

Wire and Cable; G

Splices and Connectors; G

Switches; G

Receptacles; G

Outlet Boxes, Pull Boxes and Junction Boxes; G

Circuit Breakers; G

Panelboards; G

Lamps and Lighting Fixtures; G

Dry-Type Distribution Transformers; G

SD-06 Test Reports

Continuity Test; G

Phase-Rotation Tests; G

Insulation Resistance Test; G

SD-08 Manufacturer's Instructions

Manufacturer's Instructions

PART 2 PRODUCTS

2.1 EQUIPMENT

Provide the standard cataloged materials and equipment of manufacturers regularly engaged in the manufacture of the products. For material, equipment, and fixture lists submittals, show manufacturer's style or catalog numbers, specification and drawing reference numbers, warranty information, and fabrication site.

2.1.1 Conduits and Raceways

2.1.1.1 Rigid Steel Conduit

Provide hot dipped galvanized rigid steel conduit complying with UL 6. Except where installed underground, or in corrosive areas, provide polyvinylchloride (PVC), or painted with bitumastic coated rigid steel conduit in accordance with NEMA RN 1.

Use threaded fittings for rigid steel conduit.

Use solid gaskets. Ensure conduit fittings with blank covers have gaskets, except in clean, dry areas or at the lowest point of a conduit run where drainage is required.

Provide covers with captive screws and are accessible after the work has been completed.

2.1.1.2 Electrical Metallic Tubing (EMT)

Ensure EMT is in accordance with UL 797 and is zinc coated steel. Provide zinc-coated couplings and connectors that are raintight, gland compression type with insulation throat. Crimp, spring, or setscrew type fittings are not acceptable.

2.1.1.3 Flexible Metallic Conduit

Ensure flexible metallic conduit is galvanized steel and complies with UL 1.

Ensure fittings for flexible metallic conduit are specifically designed for such conduit.

Provide liquidtight flexible metallic conduit with a protective jacket of PVC extruded over a flexible interlocked galvanized steel core to protect wiring against moisture, oil, chemicals, and corrosive fumes.

Ensure fittings for liquidtight flexible metallic conduit are specifically designed for such conduit.

2.1.1.4 Intermediate Metal Conduit

Ensure intermediate metal conduit is galvanized steel and complies with UL 1242.

2.1.1.5 Rigid Nonmetallic Conduit

Ensure rigid nonmetallic conduit complies with NEMA TC 2 and NEMA TC 3 with a wall thickness not less than Schedule 40.

2.1.1.6 Wireways and Auxiliary Gutters

Ensure wireways and auxiliary gutters are a minimum 4 by 4-inch trade size conforming to UL 870.

2.1.1.7 Surface Raceways and Assemblies

Ensure surface metal raceways and multi-outlet assemblies conform to NFPA 70, and have receptacles conforming to NEMA WD 1, Type 5-20R.

2.1.2 Cable Trays

Provide ladder type cable trays conforming to NEMA VE 1.

2.1.3 Wire and Cable

Use copper 600-volt type THWN for conductors installed in conduit. Ensure all conductors AWG No. 8 and larger, are stranded. All conductors smaller than AWG No. 8 are stranded .

Ensure flexible cable is Type SO and contains a grounding conductor with green insulation.

Ensure conductors installed in plenums are marked plenum rated.

2.1.4 Switches

2.1.4.1 Safety Switches

Ensure safety switches comply with NEMA KS 1, and are the heavy-duty type with enclosure, voltage, current rating, number of poles, and fusing as indicated on the drawings. Ensure switch construction is such that, when the switch handle in the "ON" position, the cover or door cannot be opened. Cover release device is coinproof and so constructed that an external tool is used to open the cover. Make provisions to lock the handle in the "OFF" position. Ensure the switch is not capable of being locked in the "ON" position.

Provide switches of the quick-make, quick-break type and terminal lugs for use with copper conductors.

Ensure safety color coding for identification of safety switches conforms to ANSI Z535.1.

2.1.4.2 Toggle Switches

Ensure toggle switches comply with EIA 480, control incandescent, mercury, and fluorescent lighting fixtures and are the heavy duty, general purpose, noninterchangeable flush-type.

Provide commercial grade toggle switches, single -pole, three -way two-position devices rated 20 amperes at 277 volts, 60 hertz alternating current (ac) only.

Ensure all toggle switches are products of the same manufacturer.

2.1.5 Receptacles

Provide commercial grade, NEMA 5-20R receptacles, 20A, 125 VAC, 2-pole, 3-wire duplex conforming to NEMA WD 6.

2.1.6 Outlet Boxes, Pull Boxes and Junction Boxes

Ensure outlet boxes for use with conduit systems are in accordance with NEMA FB 1 and ANSI/NEMA OS 1 and are not less than 1-1/2 inches deep. Furnish all pull and junction boxes with screw-fastened covers.

2.1.7 Panelboards

Provide circuit breaker type lighting and appliance branch circuit panelboards in accordance with NEMA PB 1. Bolt circuit breakers to the bus. Plug-in circuit breakers are not acceptable. Provide copper buses of the rating indicated on the drawings, with main lugs or main circuit breaker. Provide all panelboards for use on grounded ac systems with a full-capacity isolated neutral bus and a separate grounding bus bonded to the panelboard enclosure. Ensure panelboard enclosures are NEMA 250, Type 1, in accordance with NEMA PB 1. Provide enclosure fronts with latchable hinged doors.

2.1.8 Circuit Breakers

Ensure circuit breaker interrupting rating is not less than those indicated and in no event less than 10,000 amperes root-mean-square (rms) symmetrical at 208 volts, respectively. Provide multipole circuit breakers of the common-trip type with a single handle. Molded case circuit breakers are bolt-on type conforming to UL 489.

2.1.9 Lamps and Lighting Fixtures

Manufacturers and catalog numbers shown on the drawings are indicative of the general type desired and are not intended to restrict the selection to fixtures of any particular manufacturer. Fixtures with the same salient features and equivalent light distribution and brightness characteristics, of equal finish and quality, are acceptable. Provide lamps of the proper type and wattage for each fixture.

2.1.10 Manufacturer's Nameplate

Ensure each item of equipment has a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent is not acceptable.

2.1.11 Warning Signs

Provide warning signs for the enclosures of electrical equipment including substations, pad-mounted transformers, pad-mounted switches, generators, and switchgear having a nominal rating exceeding 600 volts.

a. Enclosure integrity to conform with IEEE C57.12.28, such as for pad-mounted transformers. Provide self-adhesive warning signs on the outside of the high voltage compartment door(s). Provide decal signs with nominal dimensions of 7 by 10 inches. Print the legend "DANGER HIGH VOLTAGE" in two lines of nominal 2 inch high letters. Show the word "DANGER" in white letters on a red background and the words "HIGH VOLTAGE" in black letters on a white background.

2.1.12 Dry-Type Distribution Transformers

Ensure that general purpose dry-type transformers with windings 600 volts or less are two-winding, 60 hertz, and self-cooled in accordance with UL 506. Ensure windings have a minimum of two 2-1/2-percent taps above and below nominal voltage.

PART 3 EXECUTION

3.1 PREPARATION

Submit manufacturer's instructions including special provisions required to install equipment components and system packages. Special provisions include impedances, hazards and safety precautions.

Clean and paint conduit, supports, fittings, cabinets, pull boxes, and racks as specified in Section 09 90 00 PAINTS AND COATINGS.

Protect metallic materials against corrosion. Provide equipment enclosures with the standard finish by the manufacturer when used for most indoor installations. For harsh indoor environments (any area subjected to chemical and abrasive action), and all outdoor installations, refer to Section 09 96 00 HIGH-PERFORMANCE COATINGS. Do not use aluminum when in contact with earth or concrete and, where connected to dissimilar metal, protect by using approved fittings and treatment. Except where other equivalent protective treatment is specifically approved in writing, provide hot-dip galvanized ferrous metals for items such as, anchors, bolts, braces, boxes, bodies, clamps, fittings, guards, nuts, pins, rods, shims, thimbles, washers, and miscellaneous items not made of corrosion-resistant steel.

3.2 INSTALLATION

3.2.1 Conduits, Raceways and Fittings

Ensure that conduit runs between outlet and outlet, between fitting and fitting, or between outlet and fitting does not contain more than the equivalent of three 90-degree bends, including those bends located immediately at the outlet or fitting.

Do not install crushed or deformed conduit. Avoid trapped conduit runs where possible. Take care to prevent the lodgment of foreign material in the conduit, boxes, fittings, and equipment during the course of construction. Clear any clogged conduit of obstructions or replace conduit.

Conduit and raceway runs concealed in or behind walls, above ceilings, or exposed on walls and ceilings 5 feet or more above finished floors and not subject to mechanical damage may be electrical metallic tubing (EMT).

3.2.1.1 Rigid Steel Conduit

Make field-made bends and offsets with approved Hickey bending tool or conduit bending machine. Use long radius conduit for elbows larger than 2-1/2 inches.

Provide a flush coupling for all conduit stubbed-up through concrete floors for connections to free-standing equipment with the exception of motor-control centers, cubicles, and other such items of equipment, when the floor slab is of sufficient thickness. Otherwise, provide a floor box set flush with the finished floor. For conduits installed for future use, terminate with a coupling and plug; set flush with the floor.

3.2.1.2 Electrical Metallic Tubing (EMT)

Ground EMT in accordance with NFPA 70, using pressure grounding connectors

especially designed for EMT.

3.2.1.3 Flexible Metallic Conduit

Use flexible metallic conduit to connect recessed fixtures from outlet boxes in ceilings, transformers, and other approved assemblies.

Use bonding wires in flexible conduit as specified in NFPA 70, for all circuits. Flexible conduit is not considered a ground conductor.

Make electrical connections to vibration-isolated equipment with flexible metallic conduit.

Use liquidtight flexible metallic conduit in wet and oily locations and to complete the connection to motor-driven equipment.

3.2.1.4 Intermediate Conduit

Make all field-made bends and offsets with approved Hickey bending tool or conduit bending machine. Use intermediate metal conduit only for indoor installations.

3.2.1.5 Rigid Nonmetallic Conduit

Ensure rigid PVC conduit is direct buried.

Install a green insulated copper grounding conductor in conduit with conductors and solidly connect to ground at each end. Size grounding wires in accordance with NFPA 70.

3.2.1.6 Wireway and Auxiliary Gutter

Bolt together straight sections and fittings to provide a rigid, mechanical connection and electrical continuity. Close dead ends of wireways and auxiliary gutters. Plug all unused conduit openings.

Support wireways for overhead distribution and control circuits at maximum 5-foot intervals.

Ensure auxiliary gutters used to supplement wiring spaces for equipment not contained in a single enclosure contains no switches, overcurrent devices, appliances, or apparatus and is not more than 30 feet long.

3.2.1.7 Surface Raceways and Assemblies

Mount surface raceways plumb and level, with the base and cover secured. Minimum circuit run is three-wire, with one wire designated as ground.

3.2.1.8 Cable Trays

Support cable trays from ceiling hangers, equipment bays, or floor or wall supports. Cable trays may be mounted on equipment racks. Provide support when the free end extends beyond 3 feet. Maximum support spacing is 6 feet. Support trays 10-inches wide or less by one hanger. Support trays greater than 10 inches wide by two hangers. Bond cable trays at splices.

3.2.1.9 Splices and Connectors

Make all splices in AWG No. 8 and smaller with approved insulated

electrical type .

Make all splices in AWG No. 6 and larger with bolted clamp-type connectors. Wrap joints with an insulating tape that has an insulation and temperature rating equivalent to that of the conductor.

3.2.2 Wiring

Color code feeder and branch circuit conductors as follows:

CONDUCTOR	COLOR AC
Phase A	BLACK(208V)_BROWN(480V)
Phase B	RED(208V)_ORANGE(480V)
Phase C	BLUE(208V)_YELLOW(480V)
Neutral	WHITE (208V) NATURAL GRAY (480V)
Equipment Grounds	Green

Use conductors up to and including AWG No. 2 that are manufactured with colored insulating materials. For conductors larger than AWG No. 2, have ends identified with color plastic tape in outlet, pull, or junction boxes.

Splice in accordance with the NFPA 70. Provide conductor identification within each enclosure where a tap, splice, or termination is made and at the equipment terminal of each conductor. Match terminal and conductor identification as indicated.

Where several feeders pass through a common pullbox, tag the feeders to clearly indicate the electrical characteristics, circuit number, and panel designation.

3.2.3 Safety Switches

Securely fasten switches to the supporting structure or wall, utilizing a minimum of four 1/4 inch bolts. Do not use sheet metal screws and small machine screws for mounting. Do not mount switches in an inaccessible location or where the passageway to the switch may become obstructed. Mounting height 5 feet above floor level, when possible.

3.2.4 Wiring Devices

3.2.4.1 Wall Switches and Receptacles

Install wall switches and receptacles so that when device plates are applied, the plates are aligned vertically to within 1/16 inch.

Bond ground terminal of each flush-mounted receptacle to the outlet box with an approved green bonding jumper when used with dry wall type construction.

3.2.4.2 Device Plates

Ensure device plates for switches are suitably engraved with a description of the loads when not within sight of the loads controlled.

Mark device plates and receptacle cover plates for receptacles other than 125-volt, single-phase, duplex, convenience outlets. Show the circuit number, voltage, frequency, phasing, and amperage available at the receptacle. Use self-adhesive labels having 1/4 inch embossed letters.

Similarly mark device plates for convenience outlets indicating the supply panel and circuit number.

3.2.5 Boxes and Fittings

Provide pullboxes where necessary in the conduit system to facilitate conductor installation. For conduit runs longer than 100 feet or with more than three right-angle bends, install a pullbox at a convenient intermediate location.

Securely mount boxes and enclosures to the building structure using supports that are independent of the conduit entering or leaving the boxes.

Select the mounting height of wall-mounted outlet and switch boxes, as measured between the bottom of the box and the finished floor, in accordance with ICC/ANSI A117.1 and as follows:

LOCATION	MOUNTING HEIGHT (inches)
Receptacles in offices	18
Receptacles in corridors	18
Receptacles in shops and laboratories	48
Receptacles in rest rooms	48
Switches for light control	48

3.2.6 Lamps and Lighting Fixtures

Install new lamps of the proper type and wattage in each fixture. Securely fasten fixtures and supports to structural members and install parallel and perpendicular to major axes of structures.

3.2.7 Panelboards

Securely mount panelboards so that the top operating handle does not exceed 72-inches above the finished floor. Do not mount equipment within 36-inches of the front of the panel. Ensure directory card information is complete and legible.

3.2.8 Dry-Type Distribution Transformers

Connect dry-type transformers with flexible metallic conduit.

3.2.9 Field Fabricated Nameplates

Ensure nameplates conform to ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device, as specified or as indicated on the drawings. Each nameplate inscription identifies the function and, when applicable, the position. Provide nameplates that are melamine plastic, 0.125-inch thick, white with black center core and a matte finish surface with square corners. Accurately align lettering and engrave into the core. Minimum size of nameplates is 1 by 2.5 inches. Lettering is a minimum of 0.25-inch high normal block style.

3.2.10 Identification Plates and Warnings

Provide identification plates for lighting and power panelboards, motor control centers, all line voltage heating and ventilating control panels, fire detector and sprinkler alarms, door bells, pilot lights, disconnect switches, manual starting switches, and magnetic starters. Attach identification plates to process control devices and pilot lights.

Install identification plates for all line voltage enclosed circuit breakers, identifying the equipment served, voltage, phase(s) and power source. For circuits 480 volts and above, install conspicuously located warning signs in accordance with OSHA requirements.

3.2.11 Posted Operating Instructions

Print or engrave operating instructions and frame under glass or in approved laminated plastic. Post instructions where directed. For operating instructions exposed to the weather, provide weather-resistant materials or weatherproof enclosures. Ensure operating instructions do not fade when exposed to sunlight. Secure instructions to prevent easy removal or peeling.

Ensure each system and principal item of equipment is as specified in the technical sections for use by operation and maintenance personnel. Include the following information with the operating instructions:

a. Wiring diagrams, control diagrams, and control sequence for each principal system and item of equipment.

b. Start up, proper adjustment, operating, lubrication, and shutdown procedures.

c. Safety precautions.

d. The procedure in the event of equipment failure.

e. Other items of instruction as recommended by the manufacturer.

3.3 FIELD QUALITY CONTROL

After completion of the installation and splicing, and prior to energizing the conductors, perform wire and cable continuity and insulation tests as herein specified before the conductors are energized.

Provide all necessary test equipment, labor, and personnel to perform the tests, as herein specified.

Isolate completely all wire and cable from all extraneous electrical connections at cable terminations and joints. Use substation and switchboard feeder breakers, disconnects in combination motor starters, circuit breakers in panel boards, and other disconnecting devices to isolate the circuits under test.

Perform insulation-resistance test on each field-installed conductor with respect to ground and adjacent conductors. Applied potential is 500 volts dc for 300 volt rated cable and 1000 volts dc for 600 volt rated cable. Take readings after 1 minute and until the reading is constant for 15 seconds. Minimum insulation-resistance values is not less than 25 Megohms for 300 volt rated cable and 100 Megohms for 600 volt rated cable. For circuits with conductor sizes AWG No. 8 and smaller insulation resistance testing is not required.

Perform continuity test to insure correct cable connection end-to-end (i.e correct phase conductor, grounded conductor, and grounding conductor wiring). Repair and verify any damages to existing or new electrical equipment resulting from mis-wiring. Receive approval for all repairs from the Contracting Officer prior to commencement of the repair.

Conduct phase-rotation tests on all three-phase circuits using a phase-rotation indicating instrument. Perform phase rotation of electrical connections to connected equipment in a clockwise direction, facing the source.

Submit test reports in accordance with referenced standards in this section.

Final acceptance requires the successful performance of wire and cable under test. Do not energize any conductor until the final test reports are reviewed and approved by the Contracting Officer.

-- End of Section --

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SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT

10/07

seismic protection of electrical equipment, conduit, and exterior utilities.

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SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325	(2011) Steel Construction Manual

ASTM INTERNATIONAL (ASTM)

ASTM E580/E580M	(2014) Application of Ceiling Suspension Systems for Acoustical Tile and Lay-In Panels in Areas Requiring Moderate Seismic Restraint

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-310-04	(2013) Seismic Design for Buildings

UNDERWRITERS LABORATORIES (UL)

UL 1598	(2008; Reprint Oct 2012) Luminaires

1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

The requirements for seismic protection measures described in this section shall be applied to the electrical equipment and systems listed below. Structural requirements shall be in accordance with Section 13 48 00 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT.

1.2.2 Electrical Equipment

Electrical equipment shall include the following items to the extent required on the drawings or in other sections of these specifications:

	Air Handling Units
Pumps with Motors	
Light Fixtures	
	Transformers

Switchboards (Floor Mounted)	

1.2.3 Electrical Systems

The following electrical systems shall be installed as required on the drawings and other sections of these specifications and shall be seismically protected in accordance with this specification: listed in 1.2.2.

1.2.4 Contractor Designed Bracing

Submit copies of the Design Calculations with the Drawings. Calculations shall be approved, certified, stamped and signed by a Registered Professional Engineer. Calculations shall verify the capability of structural members to which bracing is attached for carrying the load from the brace. Design the bracing in accordance with UFC 3-310-04 and additional data furnished by the Contracting Officer. Resistance to lateral forces induced by earthquakes shall be accomplished without consideration of friction resulting from gravity loads. UFC 3-310-04 uses parameters for the building, not for the equipment in the building; therefore, corresponding adjustments to the formulas shall be required. Loadings determined using UFC 3-310-04 are based on strength design; therefore, AISC 325 shall be used for the design. Develop the bracing for the following electrical equipment and systems: listed in 1.2.2. .

1.2.5 Conduits Requiring No Special Seismic Restraints

Seismic restraints may be omitted from electrical conduit less than 2-1/2 inches trade size . All other interior conduit, shall be seismically protected as specified.

1.3 EQUIPMENT REQUIREMENTS

Submit detail drawings along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals shall be complete in detail, indicating thickness, type, grade, class of metal, and dimensions; and shall show construction details, reinforcement, anchorage, and installation with relation to the building construction. Submit copies of the design calculations with the detail drawings. Calculations shall be stamped by a registered engineer and shall verify the capability of structural members to which bracing is attached for carrying the load from the brace.

1.3.1 Rigidly Mounted Equipment

The following specific items of equipment: to be furnished under this contract shall be constructed and assembled to withstand the seismic forces specified in UFC 3-310-04. Each item of rigid electrical equipment shall be entirely located and rigidly attached on one side only of a building expansion joint. Piping, electrical conduit, etc., which cross the expansion joint shall be provided with flexible joints that are capable of accommodating displacements equal to the full width of the joint in both orthogonal directions.

Engine-Generators

Substations
Transformers
Switch Boards and Switch Gears
Motor Control Centers
Free Standing Electric Motors

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government.Submittals with an "S" are for inclusion in the Sustainability Notebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Lighting Fixtures in Buildings
Equipment Requirements

SD-03 Product Data

Lighting Fixtures in Buildings; G
Equipment Requirements; G
Contractor Designed Bracing; G

PART 2 PRODUCTS

2.1 LIGHTING FIXTURE SUPPORTS

Lighting fixtures and supports shall conform to UL 1598.

2.2 SWAY BRACING MATERIALS

Sway bracing materials (e.g. rods, plates, rope, angles, etc.) shall be as specified in Section 13 48 00 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT.

PART 3 EXECUTION

3.1 SWAY BRACES FOR CONDUIT

Conduit shall be braced as for an equivalent weight pipe in accordance with Section 13 48 00.00 10 SEISMIC PROTECTION FOR MECHANICAL EQUIPMENT.

3.2 LIGHTING FIXTURES IN BUILDINGS

Lighting fixtures and supports shall conform to the following:

3.2.1 Pendant Fixtures

Pendant fixtures shall conform to the requirements of UFC 3-310-04.

3.2.2 Ceiling Attached Fixtures

3.2.2.1 Recessed LED Fixtures

RecessedLED individual or continuous-row mounted fixtures shall be

supported by a seismic-resistant suspended ceiling support system built in accordance with ASTM E580/E580M. Seismic protection for the fixtures shall conform to the requirements of UFC 3-310-04. Recessed lighting fixtures not over 56 pounds in weight may be supported by and attached directly to the ceiling system runners using screws or bolts, number and size as required by the seismic design. Fixture accessories, including louvers, diffusers, and lenses shall have lock or screw attachments.

3.2.2.2 Surface-Mounted LED Fixtures

Surface-mounted LED individual or continuous-row fixtures shall be attached to a seismic-resistant ceiling support system built in accordance with ASTM E580/E580M. Seismic protection for the fixtures shall conform to the requirements of UFC 3-310-04.

3.2.3 Assembly Mounted on Outlet Box

A supporting assembly, that is intended to be mounted on an outlet box, shall be designed to accommodate mounting features on 4 inch boxes, plaster rings, and fixture studs.

3.2.4 Wall-Mounted Emergency Light Unit

Attachments for wall-mounted emergency light units shall be designed and secured for the worst expected seismic disturbance at the site.

3.2.5 Lateral Force

Structural requirements for light fixture bracing shall be in accordance with Section 13 48 00 SEISMIC PROTECTION FOR MISCELLANEOUS EQUIPMENT.

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procurement, installation, and testing of electrical wiring systems for construction projects

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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire
ASTM B8	(2011) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D709	(2013) Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE 81	(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE C2	(2012; Errata 1 2012; INT 1-4 2012; Errata 2 2013; INT 5-7 2013; INT 8-10 2014; INT 11 2015) National Electrical Safety Code

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2013) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2005) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2005) American National Standard for Electrical Metallic Tubing (EMT)
ANSI C80.5	(2005) American National Standard for Electrical Rigid Aluminum Conduit
NEMA 250	(2014) Enclosures for Electrical Equipment (1000 Volts Maximum)

NEMA FU 1	(2012) Low Voltage Cartridge Fuses
NEMA ICS 1	(2000; R 2015) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2005; Errata 2008) Standard for Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 4	(2015) Terminal Blocks
NEMA ICS 6	(1993; R 2011) Enclosures
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA MG 1	(2014) Motors and Generators
NEMA MG 10	(2013) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA ST 20	(1992; R 1997) Standard for Dry-Type Transformers for General Applications
NEMA TC 2	(2013) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2015) Standard for Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA TP 1	(2002) Guide for Determining Energy Efficiency for Distribution Transformers
NEMA VE 1	(2009) Standard for Metal Cable Tray Systems
NEMA WD 1	(1999; R 2005; R 2010) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2012) Wiring Devices Dimensions Specifications
NEMA Z535.4	(2011) American National Standard for Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	2020 National Electrical Code
NFPA 70E	(2015; ERTA 1 2015) Standard for Electrical Safety in the Workplace
NFPA 780	(2014) Standard for the Installation of Lightning Protection Systems

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-568-C.1	(2009; Add 2 2011; Add 1 2012) Commercial Building Telecommunications Cabling Standard
TIA-569	(2015d) Commercial Building Standard for Telecommunications Pathways and Spaces
TIA-607	(2011b) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147	Control of Hazardous Energy (Lock Out/Tag Out)

UNDERWRITERS LABORATORIES (UL)

UL 1	(2005; Reprint Jul 2012) Standard for Flexible Metal Conduit
UL 1063	(2006; Reprint Jul 2012) Machine-Tool Wires and Cables
UL 1242	(2006; Reprint Mar 2014) Standard for Electrical Intermediate Metal Conduit -- Steel
UL 1449	(2014;Reprint Mar 2015) Surge Protective Devices
UL 1660	(2014) Liquid-Tight Flexible Nonmetallic Conduit
UL 1699	(2006; Reprint Nov 2013) Arc-Fault Circuit-Interrupters
UL 198M	(2003; Reprint Feb 2013) Standard for Mine-Duty Fuses
UL 20	(2010; Reprint Feb 2012) General-Use Snap Switches
UL 360	(2013; Reprint Jan 2015) Liquid-Tight Flexible Steel Conduit
UL 4248-1	(2007; Reprint Oct 2013) UL Standard for

	Safety Fuseholders - Part 1: General Requirements
UL 4248-12	(2007; Reprint Dec 2012) UL Standard for Safety Fuseholders - Part 12: Class R
UL 44	(2014; Reprint Feb 2015) Thermoset-Insulated Wires and Cables
UL 467	(2007) Grounding and Bonding Equipment
UL 486A-486B	(2013; Reprint Feb 2014) Wire Connectors
UL 486C	(2013; Reprint Feb 2014) Splicing Wire Connectors
UL 489	(2013; Reprint Mar 2014) Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit-Breaker Enclosures
UL 498	(2012; Reprint Oct 2014) Attachment Plugs and Receptacles
UL 5	(2011) Surface Metal Raceways and Fittings
UL 50	(2007; Reprint Apr 2012) Enclosures for Electrical Equipment, Non-environmental Considerations
UL 506	(2008; Reprint Oct 2013) Specialty Transformers
UL 508	(1999; Reprint Oct 2013) Industrial Control Equipment
UL 510	(2005; Reprint Jul 2013) Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013) Metallic Outlet Boxes
UL 514B	(2012; Reprint Nov 2014) Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Dec 2014) Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 5A	(2015) Nonmetallic Surface Raceways and Fittings
UL 6	(2007; Reprint Nov 2014) Electrical Rigid Metal Conduit-Steel
UL 651	(2011; Reprint May 2014) Standard for Schedule 40 and 80 Rigid PVC Conduit and Fittings
UL 67	(2009; Reprint Apr 2015) Standard for

	Panelboards
UL 6A	(2008; Reprint Nov 2014) Electrical Rigid Metal Conduit - Aluminum, Red Brass, and Stainless Steel
UL 797	(2007; Reprint Dec 2012) Electrical Metallic Tubing -- Steel
UL 83	(2014) Thermoplastic-Insulated Wires and Cables
UL 869A	(2006) Reference Standard for Service Equipment
UL 870	(2008; Reprint Feb 2013) Standard for Wireways, Auxiliary Gutters, and Associated Fittings
UL 943	(2006; Reprint Jun 2012) Ground-Fault Circuit-Interrupters
UL 984	(1996; Reprint Sep 2005) Hermetic Refrigerant Motor-Compressors

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE 100.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submittals with an "S" are for inclusion in the Sustainability Notebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00.

SD-02 Shop Drawings

Panelboards; G

Transformers; G

Cable trays; G

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Identify circuit terminals on wiring diagrams and indicate the internal wiring for each item of equipment and the interconnection between each item

of equipment. Indicate on the drawings adequate clearance for operation, maintenance, and replacement of operating equipment devices.

Wireways; G

Marking strips drawings; G

SD-03 Product Data

Receptacles; G

Circuit breakers; G

Switches; G

Transformers; G

Enclosed circuit breakers; G

Motor controllers; G
Combination motor controllers; G
Manual motor starters; G

Metering; G

CATV outlets; G

Telecommunications Grounding Busbar; G

Surge protective devices; G

Include performance and characteristic curves.

SD-06 Test Reports

600-volt wiring test; G

Grounding system test; G

Transformer tests; G

Ground-fault receptacle test; G

SD-07 Certificates

Fuses; G

SD-09 Manufacturer's Field Reports

Transformer factory tests

SD-10 Operation and Maintenance Data

Electrical Systems, Data Package 5; G

Metering, Data Package 5; G

Submit operation and maintenance data in accordance with Section

01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein.

1.4 QUALITY ASSURANCE

1.4.1 Fuses

Submit coordination data as specified in paragraph, FUSES of this section.

1.4.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" or "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.4.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.4.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.4.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.5 MAINTENANCE

1.5.1 Electrical Systems

Submit operation and maintenance manuals for electrical systems that provide basic data relating to the design, operation, and maintenance of the electrical distribution system for the building. Include the following:

a. Single line diagram of the "as-built" building electrical system.

b. Schematic diagram of electrical control system (other than HVAC, covered elsewhere).

c. Manufacturers' operating and maintenance manuals on active electrical equipment.

1.6 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.7 SEISMIC REQUIREMENTS

Provide seismic details conforming to Section 26 05 48.00 10, SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.1.2 Rigid Aluminum Conduit

ANSI C80.5, UL 6A.

2.2.2 Rigid Nonmetallic Conduit

PVC Type EPC-40 in accordance with NEMA TC 2,UL 651.

2.2.3 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

2.2.4 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.5 Plastic-Coated Rigid Steel and IMC Conduit

NEMA RN 1, Type 40(40 mils thick).

2.2.6 Flexible Metal Conduit

UL 1.

2.2.6.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360.

2.2.7 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.7.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.7.2 Fittings for EMT

Die Cast orSteelcompression type.

2.2.8 Fittings for Rigid Nonmetallic Conduit

NEMA TC 3 for PVC, and UL 514B.

2.2.9 Liquid-Tight Flexible Nonmetallic Conduit

UL 1660.

2.3 SURFACE RACEWAY

2.3.1 Surface Metal Raceway

UL 5, two-piece painted steel, totally enclosed, snap-cover type.

2.3.2 Surface Nonmetallic Raceway

UL 5A, nonmetallic totally enclosed, snap-cover type.

2.4 CABLE TRAYS

NEMA VE 1. Provide the following:

a. Cable trays: form a wireway system, with a nominal depth as indicated.

b. Cable trays: constructed of steel that has been zinc-coated after fabrication.

c. Cable trays: include splice and end plates, dropouts, and miscellaneous hardware.

d. Edges, fittings, and hardware: finished free from burrs and sharp edges.

e. Fittings: ensure not less than load-carrying ability of straight tray sections and have manufacturer's minimum standard radius.

Radius of bends: 3' or as indicated.

2.4.1 Basket-Type Cable Trays

Provide size as indicated with maximum wire mesh spacing of 2 by 4 inch.

2.4.2 Trough-Type Cable Trays

Provide size as indicated.

2.4.3 Ladder-Type Cable Trays

Provide size as indicated with maximum rung spacing of 12 inches.

2.4.4 Channel-Type Cable Trays

Provide size as indicated. Provide trays with one-piece construction having slots spaced not more than 4 1/2 inches on centers.

2.4.5 Solid Bottom-Type Cable Trays

Provide size as indicated. Do not provide solid covers.

2.5 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

2.5.1 Floor Outlet Boxes

Provide the following:

a. Boxes: nonadjustable and concrete tight.

b. Each outlet: consisting of cast-metal body with threaded openings, or sheet-steel body with knockouts for conduits, adjustable ring, and cover plate with 1 1/4 inch threaded plug.

c. Telecommunications outlets: consisting of flush, aluminum or stainless steel housing with a receptacle as specified and 1 inch bushed side opening.

d. Receptacle outlets: consisting of flush aluminum or stainless steel housing with duplex-type receptacle as specified herein.

e. Provide gaskets where necessary to ensure watertight installation.

2.5.2 Outlet Boxes for Telecommunications System

Provide the following:

a. Standard type 4 11/16 inches square by 2 1/8 inches deep.

b. Outlet boxes for wall-mounted telecommunications outlets: 4 by 2 1/8 by 2 1/8 inches deep.

c. Depth of boxes: large enough to allow manufacturers' recommended conductor bend radii.

2.6 CABINETS, JUNCTION BOXES, AND PULL BOXES

Volume greater than 100 cubic inches, UL 50, hot-dip, zinc-coated, if sheet steel.

2.7 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 12 months prior to date of delivery to site.

2.7.1 Conductors

Provide the following:

a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.

b. Conductors No. 8 AWG and larger diameter: stranded.

c. Conductors No. 10 AWG and smaller diameter: solid.

d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.

e. All conductors: copper.2.7.1.1 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

a. Branch circuits: No. 12 AWG.

b. Class 1 remote-control and signal circuits: No. 14 AWG.

c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.

d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

2.7.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.7.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

a. Grounding conductors: Green.

b. Neutral conductors: White.

c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

2.7.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

a. 208/120 volt, three-phase

(1) Phase A - black

(2) Phase B - red

(3) Phase C - blue

b. 480/277 volt, three-phase

(1) Phase A - brown

(2) Phase B - orange

(3) Phase C - yellow

c. 120/240 volt, single phase: Black and red

2.7.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.7.4 Bonding Conductors

ASTM B1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.7.4.1 Telecommunications Bonding Backbone (TBB)

Provide a copper conductor TBB in accordance with TIA-607 with No. 6 AWG minimum size, and sized at 2 kcmil per linear foot of conductor length up to a maximum size of 3/0 AWG.

2.7.4.2 Bonding Conductor for Telecommunications

Provide a copper conductor Bonding Conductor for Telecommunications between the telecommunications main grounding busbar (TMGB) and the electrical service ground in accordance with TIA-607. Size the bonding conductor for telecommunications the same as the TBB.

2.7.5 Wire and Cable for 400 Hertz (Hz) Circuits

Insulated copper conductors.

2.8 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

2.9 DEVICE PLATES

Provide the following:

a. UL listed, one-piece device plates for outlets to suit the devices installed.

b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.

c. For nonmetallic boxes and fittings, other suitable plates may be provided.

f. Screws: machine-type with countersunk heads in color to match finish of plate.

g. Sectional type device plates are not be permitted.

h. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.10 SWITCHES

2.10.1 Toggle Switches

NEMA WD 1, UL 20, single pole, totally enclosed with bodies of thermoplastic or thermoset plastic and mounting strap with grounding screw. Include the following:

a. Handles: ivory thermoplastic.

b. Wiring terminals: screw-type, side-wired or of the solderless pressure type having suitable conductor-release arrangement.

c. Contacts: silver-cadmium and contact arm - one-piece copper alloy.

d. Switches: rated quiet-type ac only, 120/277 volts, with current rating and number of poles indicated.

2.10.2 Switch with Red Pilot Handle

NEMA WD 1. Provide the following:

a. Pilot lights that are integrally constructed as a part of the switch's handle.

b. Pilot light color: red and illuminate whenever the switch is closed or "on".

c. Pilot lighted switch: rated 20 amps and 120 volts or 277 volts as indicated.

d. The circuit's neutral conductor to each switch with a pilot light.

2.10.3 Breakers Used as Switches

For 120- and 277-Volt LED fixtures, mark breakers "SWD" in accordance with UL 489.

2.10.4 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA 1 , enclosure as indicated per NEMA ICS 6.

2.11 FUSES

NEMA FU 1. Provide complete set of fuses for each fusible switch. Coordinate time-current characteristics curves of fuses serving motors or connected in series with circuit breakers for proper operation. Submit coordination data for approval. Provide fuses with a voltage rating not less than circuit voltage.

2.11.1 Fuseholders

Provide in accordance with UL 4248-1.

2.11.2 Cartridge Fuses, Current Limiting Type (Class R)

UL 198M, Class RK-5. Provide only Class R associated fuseholders in accordance with UL 4248-12.

2.11.3 Cartridge Fuses, High-Interrupting Capacity, Current Limiting Type (Classes J, L, and CC)

UL 198M, Class J for zero to 600 amperes, Class L for 601 to 6,000 amperes, and Class CC for zero to 30 amperes.

2.11.4 Cartridge Fuses, Current Limiting Type (Class T)

UL 198M, Class T for zero to 1,200 amperes, 300 volts; and zero to 800 amperes, 600 volts.

2.12 RECEPTACLES

Provide the following:

a. UL 498, hard use (also designated heavy-duty), grounding-type.

b. Ratings and configurations: as indicated.

c. Bodies: stainless steel as per NEMA WD 1.

d. Face and body: thermoplastic supported on a metal mounting strap.

e. Dimensional requirements: per NEMA WD 6.

f. Screw-type, side-wired wiring terminals or of the solderless pressure type having suitable conductor-release arrangement.

g. Grounding pole connected to mounting strap.

h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

2.12.1 Switched Duplex Receptacles

Provide separate terminals for each ungrounded pole. Top receptacle: switched when installed.

2.12.2 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations". Include cast metal box with gasketed, hinged, lockable and weatherproof while-in-use, die-cast metal/aluminum cover plate.

2.12.3 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device capable of detecting current leak of 6 milliamperes or greater and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.12.4 Special Purpose Receptacles

Receptacles serving shown on drawings are special purpose. Provide in ratings indicated.

2.13 PANELBOARDS

Provide panelboards in accordance with the following:

a. UL 67 and UL 50 having a short-circuit current rating as indicated.

b. Panelboards for use as service disconnecting means: additionally conform to UL 869A.

c. Panelboards: circuit breaker-equipped.

d. Designed such that individual breakers can be removed without disturbing adjacent units or without loosening or removing supplemental insulation supplied as means of obtaining clearances as required by UL.

e. "Specific breaker placement" is required in panelboards to match the breaker placement indicated in the panelboard schedule on the drawings.

f. Use of "Subfeed Breakers" is not acceptable unless specifically indicated otherwise.

g. Main breaker: "separately" mounted "above" branch breakers.

h. Where "space only" is indicated, make provisions for future installation of breakers.

i. Directories: indicate load served by each circuit in panelboard.

j. Directories: indicate source of service to panelboard (e.g., Panel PA served from Panel MDP).

l. Type directories and mount in holder behind transparent protective covering.

m. Panelboards: listed and labeled for their intended use.

n. Panelboard nameplates: provided in accordance with paragraph FIELD FABRICATED NAMEPLATES.

2.13.1 Enclosure

Provide panelboard enclosure in accordance with the following:

a. UL 50.

b. Cabinets mounted outdoors or flush-mounted: hot-dipped galvanized after fabrication.

c. Cabinets: painted in accordance with paragraph PAINTING.

d. Outdoor cabinets: NEMA 3R raintight with.

e. Front edges of cabinets: form-flanged or fitted with structural shapes welded or riveted to the sheet steel, for supporting the panelboard front.

f. All cabinets: fabricated such that no part of any surface on the finished cabinet deviates from a true plane by more than 1/8 inch.

g. Holes: provided in the back of indoor surface-mounted cabinets, with outside spacers and inside stiffeners, for mounting the cabinets with a 1/2 inch clear space between the back of the cabinet and the wall surface.

h. Flush doors: mounted on hinges that expose only the hinge roll to view when the door is closed.

i. Each door: fitted with a combined catch and lock, except that doors over 24 inches long provided with a three-point latch having a knob with a T-handle, and a cylinder lock.

j. Keys: two provided with each lock, with all locks keyed alike.

k. Finished-head cap screws: provided for mounting the panelboard fronts on the cabinets.

2.13.2 Panelboard Buses

Support bus bars on bases independent of circuit breakers. Design main buses and back pans so that breakers may be changed without machining, drilling, or tapping. Provide isolated neutral bus in each panel for connection of circuit neutral conductors. Provide separate ground bus identified as equipment grounding bus per UL 67 for connecting grounding conductors; bond to steel cabinet.2.13.3 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided.Where indicated on the drawings, provide circuit breakers with shunt trip devices. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

2.13.3.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.13.3.2 Circuit Breaker With Ground-Fault Circuit Interrupter

UL 943 and NFPA 70. Provide with "push-to-test" button, visible indication of tripped condition, and ability to detect and trip on current imbalance of 6 milliamperes or greater per requirements of UL 943 for Class A ground-fault circuit interrupter.

2.13.3.3 Circuit Breakers for HVAC Equipment

Provide circuit breakers for HVAC equipment having motors (group or individual) marked for use with HACR type and UL listed as HACR type.

2.13.3.4 Arc-Fault Circuit Interrupters

UL 489, UL 1699 and NFPA 70. Molded case circuit breakers: rated as indicated. Provide with "push-to-test" button.

2.13.4 400 Hz Panelboard and Breakers

Provide panelboards and breakers for use on 400 Hz systems rated and labeled "400 Hz."

2.14 ENCLOSED CIRCUIT BREAKERS

UL 489. Individual molded case circuit breakers with voltage and continuous current ratings, number of poles, overload trip setting, and short circuit current interrupting rating as indicated. Enclosure type as indicated.

2.15 TRANSFORMERS

Provide transformers in accordance with the following:

a. NEMA ST 20, general purpose, dry-type, self-cooled, sealed.

b. Provide transformers in NEMA 1 enclosure.

c. Transformer insulation system:

(1) 220 degrees C insulation system for transformers 15 kVA and greater, with temperature rise not exceeding 115 degrees C under full-rated load in maximum ambient of 40 degrees C.

(2) 180 degrees C insulation for transformers rated 10 kVA and less, with temperature rise not exceeding 115 degrees C under full-rated load in maximum ambient of 40 degrees C.

2.15.1 Specified Transformer Efficiency

Transformers, indicated and specified with: 480V primary, 80 degrees C or 115 degrees C temperature rise, kVA ratings of 37.5 to 100 for single

phase or 30 to 500 for three phase, energy efficient type. Minimum efficiency, based on factory test results: not be less than NEMA Class 1 efficiency as defined by NEMA TP 1.

2.16 MOTORS

Provide motors in accordance with the following:

a. NEMA MG 1 FIRE PUMPS.

b. Hermetic-type sealed motor compressors: Also comply with UL 984.

c. Provide the size in terms of HP, or kVA, or full-load current, or a combination of these characteristics, and other characteristics, of each motor as indicated or specified.

d. Determine specific motor characteristics to ensure provision of correctly sized starters and overload heaters.

e. Rate motors for operation on 208-volt, 3-phase circuits with a terminal voltage rating of 200 volts, and those for operation on 480-volt, 3-phase circuits with a terminal voltage rating of 460 volts.

f. Use motors designed to operate at full capacity with voltage variation of plus or minus 10 percent of motor voltage rating.

g. Unless otherwise indicated, use continuous duty type motors if rated 1 HP and above.

h. Where fuse protection is specifically recommended by the equipment manufacturer, provide fused switches in lieu of non-fused switches indicated.

2.16.1 High Efficiency Single-Phase Motors

Single-phase fractional-horsepower alternating-current motors: high efficiency types corresponding to the applications listed in NEMA MG 11. In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated.

2.16.2 Premium Efficiency Polyphase Motors

Select polyphase motors based on high efficiency characteristics relative to typical characteristics and applications as listed in NEMA MG 10. In addition, continuous rated, polyphase squirrel-cage medium induction motors must meet the requirements for premium efficiency electric motors in accordance with NEMA MG 1, including the NEMA full load efficiency ratings. In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated.

2.16.3 Motor Sizes

Provide size for duty to be performed, not exceeding the full-load nameplate current rating when driven equipment is operated at specified capacity under most severe conditions likely to be encountered. When motor size provided differs from size indicated or specified, make

adjustments to wiring, disconnect devices, and branch circuit protection to accommodate equipment actually provided. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

2.16.4 Wiring and Conduit

Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide power wiring and conduit for field-installed equipment as specified herein. Power wiring and conduit: conform to the requirements specified herein. Control wiring: provided under, and conform to, the requirements of the section specifying the associated equipment.

2.17 MOTOR CONTROLLERS

Provide motor controllers in accordance with the following:

a. UL 508, NEMA ICS 1, and NEMA ICS 2,.

b. Provide controllers with thermal overload protection in each phase, and one spare normally open auxiliary contact, and one spare normally closed auxiliary contact.

c. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage.

d. Provide protection for motors from immediate restart by a time adjustable restart relay.

e. When used with pressure, float, or similar automatic-type or maintained-contact switch, provide a hand/off/automatic selector switch with the controller.

f. Connections to selector switch: wired such that only normal automatic regulatory control devices are bypassed when switch is in "hand" position.

g. Safety control devices, such as low and high pressure cutouts, high temperature cutouts, and motor overload protective devices: connected in motor control circuit in "hand" and "automatic" positions.

h. Control circuit connections to hand/off/automatic selector switch or to more than one automatic regulatory control device: made in accordance with indicated or manufacturer's approved wiring diagram.

j. Provide a disconnecting means, capable of being locked in the open position, for the motor that is located in sight from the motor location and the driven machinery location. As an alternative, provide a motor controller disconnect, capable of being locked in the open position, to serve as the disconnecting means for the motor if it is in sight from the motor location and the driven machinery location.

l. Overload protective devices: provide adequate protection to motor windings; be thermal inverse-time-limit type; and include manual reset-type pushbutton on outside of motor controller case.

m. Cover of combination motor controller and manual switch or circuit breaker: interlocked with operating handle of switch or circuit breaker so that cover cannot be opened unless handle of switch or circuit breaker is in "off" position.

2.17.1 Control Wiring

Provide control wiring in accordance with the following:

a. All control wire: stranded tinned copper switchboard wire with 600-volt flame-retardant insulation Type SIS meeting UL 44, or Type MTW meeting UL 1063, and passing the VW-1 flame tests included in those standards.

b. Hinge wire: Class K stranding.

c. Current transformer secondary leads: not smaller than No. 10 AWG.

d. Control wire minimum size: No. 14 AWG.

e. Power wiring for 480-volt circuits and below: the same type as control wiring with No. 12 AWG minimum size.

f. Provide wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

2.17.2 Control Circuit Terminal Blocks

Provide control circuit terminal blocks in accordance with the following:

a. NEMA ICS 4.

b. Control circuit terminal blocks for control wiring: molded or fabricated type with barriers, rated not less than 600 volts.

c. Provide terminals with removable binding, fillister or washer head screw type, or of the stud type with contact and locking nuts.

d. Terminals: not less than No. 10 in size with sufficient length and space for connecting at least two indented terminals for 10 AWG conductors to each terminal.

e. Terminal arrangement: subject to the approval of the Contracting Officer with not less than four (4) spare terminals or 10 percent, whichever is greater, provided on each block or group of blocks.

f. Modular, pull apart, terminal blocks are acceptable provided they are of the channel or rail-mounted type.

g. Submit data showing that any proposed alternate will accommodate the specified number of wires, are of adequate current-carrying capacity, and are constructed to assure positive contact between current-carrying parts.

2.17.2.1 Types of Terminal Blocks

a. Short-Circuiting Type: Short-circuiting type terminal blocks:

furnished for all current transformer secondary leads with provision for shorting together all leads from each current transformer without first opening any circuit. Terminal blocks: comply with the requirements of paragraph CONTROL CIRCUIT TERMINAL BLOCKS above.

b. Load Type: Load terminal blocks rated not less than 600 volts and of adequate capacity: provided for the conductors for NEMA Size 3 and smaller motor controllers and for other power circuits, except those for feeder tap units. Provide terminals of either the stud type with contact nuts and locking nuts or of the removable screw type, having length and space for at least two indented terminals of the size required on the conductors to be terminated. For conductors rated more than 50 amperes, provide screws with hexagonal heads. Conducting parts between connected terminals must have adequate contact surface and cross-section to operate without overheating. Provide eEach connected terminal with the circuit designation or wire number placed on or near the terminal in permanent contrasting color.

2.17.3 Control Circuits

Control circuits: maximum voltage of 120 volts derived from control transformer in same enclosure. Transformers: conform to UL 506, as applicable. Transformers, other than transformers in bridge circuits: provide primaries wound for voltage available and secondaries wound for correct control circuit voltage. Size transformers so that 80 percent of rated capacity equals connected load. Provide disconnect switch on primary side.. Provide one fused secondary lead with the other lead grounded. Provide for automatic switchover and alarm upon failure of primary control circuit.

2.17.4 Enclosures for Motor Controllers

NEMA ICS 6.

2.17.5 Multiple-Speed Motor Controllers and Reversible Motor Controllers

Across-the-line-type, electrically and mechanically interlocked. Multiple-speed controllers: include compelling relays and multiple-button, station-type with pilot lights for each speed.

2.17.6 Pushbutton Stations

Provide with "start/stop" momentary contacts having one normally open and one normally closed set of contacts, and red lights to indicate when motor is running. Stations: heavy duty, oil-tight design.

2.17.7 Pilot and Indicating Lights

Provide LED cluster lamps.

2.18 MANUAL MOTOR STARTERS (MOTOR RATED SWITCHES)

Three pole designed for surface mounting with overload protection and pilot lights.

2.18.1 Pilot Lights

Provide yoke-mounted, seven element LED cluster light module. Color: red. Provide yoke-mounted, candelabra-base sockets rated 125 volts and fitted with glass or plastic jewels. Provide clear, 6 watt lamp in each pilot switch. Jewels for use with switches controlling motors: green; jewels

for other purposes: amber.

2.19 LOCKOUT REQUIREMENTS

Provide disconnecting means capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147. Comply with requirements of Division 23, "Mechanical" for mechanical isolation of machines and other equipment.

2.20 TELECOMMUNICATIONS SYSTEM

Provide system of telecommunications wire-supporting structures (pathway), including: outlet boxes, conduits with pull wires cable trays, and other accessories for telecommunications outlets and pathway in accordance with TIA-569 and as specified herein. Additional telecommunications requirements are specified in Section 27 10 00, BUILDING TELECOMMUNICATIONS CABLING SYSTEM.

2.21 GROUNDING AND BONDING EQUIPMENT

2.21.1 Ground Rods

UL 467. Ground rods: copper-clad steel, with minimum diameter of 3/4 inch and minimum length 10 feet. Sectional ground rods are permitted.

2.21.2 Telecommunications Grounding Busbar

Provide corrosion-resistant grounding busbar suitable for indoor installation in accordance with TIA-607. Busbars: plated for reduced contact resistance. If not plated, clean the busbar prior to fastening the conductors to the busbar and apply an anti-oxidant to the contact area to control corrosion and reduce contact resistance. Provide a telecommunications main grounding busbar (TMGB) in the telecommunications entrance facility and a (TGB) in all other telecommunications rooms and equipment rooms. The telecommunications main grounding busbar (TMGB) and the telecommunications grounding busbar (TGB): sized in accordance with the immediate application requirements and with consideration of future growth. Provide telecommunications grounding busbars with the following:

a. Predrilled copper busbar provided with holes for use with standard sized lugs,

b. Minimum dimensions of 0.25 in thick by 4 in wide for the TMGB and 2 in wide for TGBs with length as indicated;

c. Listed by a nationally recognized testing laboratory.

2.22 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.23 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

a. ASTM D709.

b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.

c. Each nameplate inscription: identify the function and, when applicable, the position.

d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.

e. Provide red laminated plastic label with white center core where indicated.

f. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.

g. Minimum size of nameplates: one by 2.5 inches.

h. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.24 WARNING SIGNS

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.25 FIRESTOPPING MATERIALS

Provide firestopping around electrical penetrations in accordance with Section 07 84 00, FIRESTOPPING .

2.26 WIREWAYS

UL 870. Material: steel epoxy painted 16 gauge for heights and depths up to 6 by 6 inches, and 14 gauge for heights and depths up to 12 by 12 inches. Provide in length indicated with hinged- cover NEMA 1 enclosure per NEMA ICS 6.

2.27 SURGE PROTECTIVE DEVICES

Provide parallel type surge protective devices (SPD) which comply with UL 1449 at the service entrance , panelboards . Provide surge protectors in a NEMA 1 enclosure per NEMA ICS 6. Use Type 1 or Type 2 SPD and connect on the load side of a dedicated circuit breaker.

Provide the following modes of protection:

FOR SINGLE PHASE AND THREE PHASE WYE CONNECTED SYSTEMS-
Phase to phase (L-L)
Each phase to neutral (L-N)
Neutral to ground (N-G)

Phase to ground (L-G)SPDs at the service entrance: provide with a minimum surge current rating of 80,000 amperes for L-L mode minimum and 40,000 amperes for other modes (L-N, L-G, and N-G) and downstream SPDs rated 40,000 amperes for L-L mode minimum and 20,000 amperes for other modes (L-N, L-G, and N-G).

Provide SPDs per NFPA 780 for the lightning protection system.

Maximum L-N, L-G, and N-G Voltage Protection Rating:

700V for 208Y/120V, three phase system
1,200V for 480Y/277V, three phase system

Maximum L-L Voltage Protection Rating:

1,200V for 208Y/120V, three phase system
1,800V for 480Y/277V, three phase system

The minimum MCOV (Maximum Continuous Operating Voltage) rating for L-N and L-G modes of operation: 120% of nominal voltage for 240 volts and below; 115% of nominal voltage above 240 volts to 480 volts.

2.28 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.

b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.

c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.

d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.

e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.

f. Equipment located indoors: ANSI Light Gray,.

g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

2.29 SOURCE QUALITY CONTROL

2.29.1 Transformer Factory Tests

Submittal: include routine NEMA ST 20 transformer test results on each transformer and also provide the results of NEMA "design" and "prototype"

tests that were made on transformers electrically and mechanically equal to those specified.

2.30 COORDINATED POWER SYSTEM PROTECTION

Prepare analyses as specified in Section 26 28 01.00 10 COORDINATED POWER SYSTEM PROTECTION.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

3.1.1 Underground Service

Underground service conductors and associated conduit: continuous from service entrance equipment to outdoor power system connection.

3.1.2 Service Entrance Identification

Service entrance disconnect devices, switches, and enclosures: labeled and identified as such.

3.1.2.1 Labels

Wherever work results in service entrance disconnect devices in more than one enclosure, as permitted by NFPA 70, label each enclosure, new and existing, as one of several enclosures containing service entrance disconnect devices. Label, at minimum: indicate number of service disconnect devices housed by enclosure and indicate total number of enclosures that contain service disconnect devices. Provide laminated plastic labels conforming to paragraph FIELD FABRICATED NAMEPLATES. Use lettering of at least 0.25 inch in height, and engrave on black-on-white matte finish. Service entrance disconnect devices in more than one enclosure: provided only as permitted by NFPA 70.

3.1.3 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches. Firestop conduit which penetrates fire-rated walls, fire-rated partitions, or fire-rated floors in accordance with Section 07 84 00, FIRESTOPPING.

3.1.3.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.4 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.1.4.1 Restrictions Applicable to Aluminum Conduit

a. Do not install underground or encase in concrete or masonry.

b. Do not use brass or bronze fittings.

c. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.4.2 Restrictions Applicable to EMT

a. Do not install underground.

b. Do not encase in concrete, mortar, grout, or other cementitious materials.

c. Do not use in areas subject to severe physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.

d. Do not use in hazardous areas.

e. Do not use outdoors.

f. Do not use in fire pump rooms.

g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.4.3 Restrictions Applicable to Nonmetallic Conduit

a. PVC Schedule 40 and PVC Schedule 80

(1) Do not use in areas where subject to severe physical damage, including but not limited to, mechanical equipment rooms, electrical equipment rooms, hospitals, power plants, missile magazines, and other such areas.

(2) Do not use in hazardous (classified) areas.

(3) Do not use in fire pump rooms.

(4) Do not use in penetrating fire-rated walls or partitions, or fire-rated floors.

(5) Do not use above grade, except where allowed in this section for rising through floor slab or indicated otherwise.

(6) Do not use when the enclosed conductors must be shielded from the

effects of High-altitude Electromagnetic Pulse (HEMP).Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.4.5 Underground Conduit

Plastic-coated rigid steel; plastic-coated steel IMC; PVC, Type EPC-40 Plastic coating: extend minimum 6 inches above floor.

3.1.4.6 Conduit Interior to Buildings for 400 Hz Circuits

Aluminum or nonmetallic. Where 400-Hz circuit runs underground or through concrete, provide PVC Schedule 40 conduit.

3.1.4.7 Conduit for Circuits Rated Greater Than 600 Volts

Rigid metal conduit or IMC only.

3.1.4.8 Conduit Installed Under Floor Slabs

Conduit run under floor slab: located a minimum of 12 inches below the vapor barrier. Seal around conduits at penetrations thru vapor barrier.

3.1.4.9 Conduit Through Floor Slabs

Where conduits rise through floor slabs, do not allow curved portion of bends to be visible above finished slab.

3.1.4.10 Stub-Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inches above floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.1.4.11 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping

or ducts. Coordinate installationwith above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.4.12 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.4.13 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.4.14 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquidtight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections.

3.1.4.15 Telecommunications and Signal System Pathway

Install telecommunications pathway in accordance with TIA-569.

a. Horizontal Pathway: Telecommunications pathways from the work area to the telecommunications room: installed and cabling length requirements in accordance with TIA-568-C.1. Size conduits, and cable trays in accordance with TIA-569 andas indicated.

b. Backbone Pathway: Telecommunication pathways from the telecommunications entrance facility to telecommunications rooms, and, telecommunications equipment rooms (backbone cabling): installed in accordance with TIA-569. Size conduits, and cable trays for telecommunications risers in accordance with TIA-569 as indicated.

3.1.5 Cable Tray Installation

Install and ground in accordance with NFPA 70. In addition, install and ground telecommunications cable tray in accordance with TIA-569, and TIA-607. Install cable trays parallel with or at right angles to ceilings, walls, and structural members. Support in accordance with manufacturer recommendations but at not more than 6 foot intervals.

Adjacent cable tray sections: bonded together by connector plates of an identical type as the cable tray sections. For grounding of cable tray system provide No. 2 AWG bare copper wire throughout cable tray system, and bond to each section, except use No. 1/0 aluminum wire if cable tray is aluminum. Terminate cable trays 10 inches from both sides of smoke and fire partitions. Install conductors run through smoke and fire partitions in 4 inch rigid steel conduits with grounding bushings, extending 12 inches beyond each side of partitions. Seal conduit on both ends to maintain smoke and fire ratings of partitions. Firestop penetrations in accordance with Section 07 84 00, FIRESTOPPING. Provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction. 3.1.6 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, and when specifically indicated. Boxes in other locations: sheet steel, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixturesfor access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.6.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet. Telecommunications outlets: a minimum of 4 11/16 inches square by 2 1/8 inches deep. Mount outlet boxes flush in finished walls.

3.1.6.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge aluminum or galvanized sheet steel, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.6.3 Extension Rings

Extension rings are not permitted for new construction. Use only on existing boxes in concealed conduit systems where wall is furred out for new finish.3.1.7 Mounting Heights

Mount panelboards, enclosed circuit breakers, and disconnecting switches so height of operating handle at its highest position is maximum 78 inches above floor. Mount lighting switches 48 inches above finished floor. Mount receptacles and telecommunications outlets 18 inches above finished floor, unless otherwise indicated.

3.1.8 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance withSection 23 09 53.00 20 SPACE TEMPERATURE CONTROL SYSTEMS.Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. Provide telecommunications system conductor identification as specified in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEMS.

3.1.8.1 Marking Strips

Provide marking strips in accordance with the following:

a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.

b. Use permanent ink for the wire numbers

c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.

d. Size marking strips to accommodate the two sets of wire numbers.

e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.

f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.

g. Prints of the marking strips drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

3.1.9 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

3.1.10 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.1.11 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings in accordance with Section 07 84 00 FIRESTOPPING.

3.1.12 Grounding and Bonding

Provide in accordance with NFPA 70 and NFPA 780. Ground exposed, non-current-carrying metallic parts of electrical equipment, access flooring support system, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, and neutral conductor of wiring systems.Make ground connection to driven ground rods on exterior of building.Interconnect all grounding media in or on the structure to provide a common ground potential. This includes lightning protection, electrical service, telecommunications system grounds, as well as underground metallic piping systems. Make interconnection to the gas line on the customer's side of the meter. Use main size lightning conductors for interconnecting these grounding systems to the lightning protection system. In addition to the requirements specified herein, provide telecommunications grounding in accordance with TIA-607. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

3.1.12.1 Ground Rods

Provide cone pointed ground rods. Measure the resistance to ground using the fall-of-potential method described in IEEE 81. Do not exceed 25 ohms under normally dry conditions for the maximum resistance of a driven ground. If this resistance cannot be obtained with a single rod, additional rods, spaced on center, not less than twice the distance of the length of the rod,. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, notify the Contracting Officer who will decide on the number of ground rods to add.

3.1.12.2 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, by exothermic weld or compression connector.

a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up"

or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.

b. Make compression connections using a hydraulic compression tool to provide the correct circumferential pressure. Provide tools and dies as recommended by the manufacturer. Use an embossing die code or other standard method to provide visible indication that a connector has been adequately compressed on the ground wire.

3.1.12.3 Ground Bus

Provide a copper ground bus in the electrical equipment rooms as indicated. Noncurrent-carrying metal parts of electrical equipment: effectively grounded by bonding to the ground bus. Bond the ground bus to both the entrance ground, and to a ground rod or rods as specified above having the upper ends terminating approximately 4 inches above the floor. Make connections and splices of the brazed, welded, bolted, or pressure-connector type, except use pressure connectors or bolted connections for connections to removable equipment.

3.1.12.4 Resistance

Maximum resistance-to-ground of grounding system: do not exceed 5 ohms under dry conditions. Where resistance obtained exceeds 5 ohms, contact Contracting Officer for further instructions.

3.1.12.5 Telecommunications System

Provide telecommunications grounding in accordance with the following:

a. Telecommunications Grounding Busbars: Provide a telecommunications main grounding busbar (TMGB) in the telecommunications entrance facility. Install the TMGB as close to the electrical service entrance grounding connection as practicable. Install telecommunications grounding busbars to maintain clearances as required by NFPA 70 and insulated from its support. A minimum of 2 inches separation from the wall is recommended to allow access to the rear of the busbar and adjust the mounting height to accommodate overhead or underfloor cable routing.

b. Telecommunications Bonding Conductors: Provide main telecommunications service equipment ground consisting of separate bonding conductor for telecommunications, between the TMGB and readily accessible grounding connection of the electrical service. Grounding and bonding conductors should not be placed in ferrous metallic conduit. If it is necessary to place grounding and bonding conductors in ferrous metallic conduit that exceeds3 feet in length, bond the conductors to each end of the conduit using a grounding bushing or a No. 6 AWG conductor, minimum.

c. Telecommunications Grounding Connections: Telecommunications grounding connections to the TMGB or TGB: utilize listed compression two-hole lugs, exothermic welding, suitable and equivalent one hole non-twisting lugs, or other irreversible compression type connections. Bond all metallic pathways, cabinets, and racks for telecommunications cabling and interconnecting hardware located within the same room or space as the TMGB or TGB to the TMGB or TGB respectively. In a metal frame (structural steel) building, where the

steel framework is readily accessible within the room; bond each TMGB and TGB to the vertical steel metal frame using a minimum No. 6 AWG conductor. Where the metal frame is external to the room and readily accessible, bond the metal frame to the TGB or TMGB with a minimum No. 6 AWG conductor. When practicable because of shorter distances and, where horizontal steel members are permanently electrically bonded to vertical column members, the TGB may be bonded to these horizontal members in lieu of the vertical column members. All connectors used for bonding to the metal frame of a building must be listed for the intended purpose.

3.1.13 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.14 Elevator

Provide circuit to line terminals of elevator controller, and disconnect switch on line side of controller, outlet for control power, outlet receptacle and work light at midheight of elevator shaft, and work light and outlet receptacle in elevator pit.

3.1.15 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

3.1.15.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.15.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.15.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, back to equipment's power source as indicated.

3.1.16 Surge Protective Devices

Connect the surge protective devices in parallel to the power source, keeping the conductors as short and straight as practically possible. Maximum allowed lead length is 3 feet.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria.

3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to each test.

3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of approximately 500 volts to provide direct reading of resistance. Minimum resistance: 250,000 ohms.

3.5.3 Transformer Tests

Perform the standard, not optional, tests in accordance with the Inspection and Test Procedures for transformers, dry type, air-cooled, 600 volt and below; as specified in NETA ATS. Measure primary and secondary voltages for proper tap settings. Tests need not be performed by a recognized independent testing firm or independent electrical consulting firm.

3.5.4 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed.

3.5.5 Grounding System Test

Test grounding system to ensure continuity, and that resistance to ground is not excessive. Test each ground rod for resistance to ground before making connections to rod; tie grounding system together and test for resistance to ground. Make resistance measurements in dry weather, not earlier than 48 hours after rainfall. Submit written results of each test to Contracting Officer, and indicate location of rods as well as resistance and soil conditions at time measurements were made.

-- End of Section --

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SECTION 26 31 00

FACILITY-SCALE SOLAR PHOTOVOLTAIC (PV) SYSTEMS

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facility-scale solar photovoltaic (PV) systems, and related equipment and materials

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SECTION 26 31 00

FACILITY-SCALE SOLAR PHOTOVOLTAIC (PV) SYSTEMS
11/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	(2014; Errata 2016) Electric Meters - Code for Electricity Metering

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7-16	(2017; Errata 2018; Supp 1 2018) Minimum Design Loads and Associated Criteria for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM D149	(2020) Dielectric Breakdown Voltage and Dielectric Strength of Solid Electrical Insulating Materials at Commercial Power Frequencies
ASTM D257	(2014) Standard Test Methods for D-C Resistance or Conductance of Insulating Materials
ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials
ASTM D882	(2012) Tensile Properties of Thin Plastic Sheeting
ASTM D903	(1998; R 2017) Standard Test Method for Peel or Stripping Strength of Adhesive Bonds
ASTM D1876	(2008; R 2015; E 2015) Standard Test Method for Peel Resistance of Adhesives (T-Peel Test)
ASTM D2244	(2021) Standard Practice for Calculation of Color Tolerances and Color Differences from Instrumentally Measured Color Coordinates
ASTM D2765	(2016) Standard Test Methods for Determination of Gel Content and Swell Ratio of Crosslinked Ethylene Plastics

ASTM D5870	(2016) Standard Practice for Calculating Property Retention Index of Plastics
ASTM D7567	(2009) Standard Test Method for Determining Gel Content in Crosslinked Ethylene Plastics Using Pressurized Liquid Extraction
ASTM E308	(2022) Standard Practice for Computing the Colors of Objects by Using the CIE System
ASTM E424	(1971; R 2023) Standard Test Methods for Solar Energy Transmittance and Reflectance (Terrestrial) of Sheet Materials
ASTM E772	(2015; R 2021) Standard Terminology of Solar Energy Conversion
ASTM E1171	(2015) Standard Test Methods for Photovoltaic Modules in Cyclic Temperature and Humidity Environments
ASTM F1249	(2020) Standard Test Method for Water Vapor Transmission Rate Through Plastic Film and Sheeting Using a Modulated Infrared Sensor
ASTM G155	(2021) Standard Practice for Operating Xenon Arc Lamp Apparatus for Exposure of Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1547	(2018) Interconnection and Interoperability of Distributed Energy Resources with Associated Electric Power Systems Interfaces
IEEE C2	(2023) National Electrical Safety Code
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC	(2024) International Building Code
ICC IgCC	(2018) International Green Construction Code

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2025) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)

IEC 61215	(2005; ED 2.0) Crystalline Silicon Terrestrial Photovoltaic (PV) Modules - Design Qualification and Type Approval
IEC 61730-1	(2023) Photovoltaic (PV) Module Safety Qualification – Part 1: Requirements for Construction
IEC 61853-1	(2011; ED 1.0) Photovoltaic (Pv) Module Performance Testing and Energy Rating – Part 1: Irradiance and Temperature Performance Measurements and Power Rating
IEC 62446	(2018) Photovoltaic (PV) Systems - Requirements for Testing, Documentation, and Maintenance - Part 1: Grid Connected Systems - Documentation, Commissioning Tests and Inspection

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

ISO 9001	(2015) Quality Management Systems-Requirements

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA IEC 60529	(2004) Degrees of Protection Provided by Enclosures (IP Code)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 1	(2021) Fire Code
NFPA 70	(2023; ERTA 1 2024; TIA 24-1) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 780	(2023) Standard for the Installation of Lightning Protection Systems

SANDIA NATIONAL LABORATORIES (SAND)

SAND2007-5036	(2007) Performance Model for Grid-Connected. Photovoltaic Inverters

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910	Occupational Safety and Health Standards

UL SOLUTIONS (UL)

UL 969	(2017; Reprint May 2023) UL Standard for

	Safety Marking and Labeling Systems
UL 1449	(2021; Reprint Dec 2022) UL Standard for Safety Surge Protective Devices
UL 1741	(2010; Reprint Jan 2015) UL Standard for Safety Inverters, Converters, Controllers and Interconnection System Equipment for Use With Distributed Energy Resources
UL 6703	(2014, Reprint Jun 2024) Standard for Connectors for Use in Photovoltaic Systems
UL Electrical Construction	(2012) Electrical Construction Equipment Directory

1.2 RELATED REQUIREMENTS

Sections 26 20 00 INTERIOR DISTRIBUTION SYSTEM apply to this section with additions and modifications specified herein.

1.3 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in the IEEE Stds Dictionary.

b. Unless otherwise specified or indicated, solar energy conversion terms used in these specifications, and on the drawings, are as defined in ASTM E772.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Commissioning Plan; G,

Commissioning Schedule; G,

SD-02 Shop Drawings

Schematic Diagrams; G,

Interconnection Diagrams; G,

Installation Drawings; G,

Site Plan Drawings; G,

Riser Diagram and General Notes; G,

Installation and Assembly Details; G,

Shop Drawings; G,

Complete Solar PV System Components and Interconnection Wiring Diagrams; G,

SD-03 Product Data

Combiner Boxes; G,

Disconnects; G,

Inverters; G, ; S

String Inverter Efficiency; G, ; S

Microinverter CEC Efficiency; G, ; S

Roof Mounting Structure for Modules (Racking); G,

Ground Mounting Structure for Modules; G,

Photovoltaic Module Backsheet; G,

Photovoltaic Module Encapsulent; G,

Photovoltaic Modules; G, ; S

Photovoltaic Wire; G,

System Monitoring; G,

System Wiring; G,

SD-05 Design Data

System Operation; G,

Calculations; G, ; S

System Performance Calculations; G, ; S

SD-06 Test Reports

NABCEP Acceptance Checks and Tests; G,

NETA Acceptance Checks and Tests; G,

Inverter Startup Tests; G,

Functional Performance Testing; G,

SD-07 Certificates

Installer; G,

Materials; G,

Warranty; G,

Cybersecurity Equipment Certification; G,

Commissioning Agent Qualification; G,

Seismic Certification; G,

Wind Certification; G,

SD-08 Manufacturer's Instructions

Installation Instructions; G,

Manufacturer's Installation Instructions; G,

SD-10 Operation and Maintenance Data

Electrical Systems, Data Package 5; G,

Training Course; G,

SD-11 Closeout Submittals

Solar Posted Operating Instructions; G,

Solar Training Documentation; G,

Final Commissioning Report; G,

Warranty; G,

As-Built Drawings; G,

1.5 MAINTENANCE MATERIAL SUBMITTALS

Comply with requirements specified in Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6 QUALITY ASSURANCE

1.6.1 Regulatory Requirements

Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officers. Provide equipment, materials, installation, and workmanship in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.6.2 Installation Drawings

Submit a minimum of three hard copies of drawings for government approval prior to manufacturing and equipment construction or integration. Submit site plan drawings and riser diagram and general notes at a minimum of 24 by 36 inches. Submit installation and assembly details at a minimum of 24 by 36 inches. Submit at minimum scale of 1/2 inch per foot for overview and 2 inches per foot for detail.

In addition to requirements in Section 01 33 00 SUBMITTAL PROCEDURES,

include the following:

a. All details legible and all text no smaller than 0.1 inches in height on any drawing. As needed, provide enlargements to ensure clarity of intent.

b. Submit shop drawings at a minimum of 11 by 17 inches in size using a minimum scale of 1/4 inch per foot, for the exception of drawings not required scale. Shop drawings must include three-wire diagrams and installation details of photovoltaic (PV) system equipment indicating location as proposed in design drawings, layout and arrangement of PV modules, support and mounting mechanism, inverters, combiner boxes, AC and DC disconnects, equipment enclosures, conduits, monitors, meters, security systems, and all other accessories associated with the installation of the PV system. Wiring diagrams must identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each equipment item.

c. Shop drawings may include legible copies of manufacturer's product literature, with selected items and specifications highlighted thereon.

d. Modifications to original drawings made during installation must be immediately recorded for inclusion into the as-built drawings. When items have changed relative to the approved design, the designer must provide certification indicating that the changes will not negatively affect the system's operation or the structure supporting the system.

1.6.2.1 Installation and Assembly Drawings and Details

Submit site plan drawings, components and interconnection wiring and general notes, and installation and assembly details drawings prior to start of construction. Drawings must include sufficient detail for all parts of the work to enable the Government to check conformity with the requirements of the contract documents. Include in the site plan drawings: topographic and utility survey; bore logs; soils report; site plan(s); site construction details; structural drawings; structural construction details; site electrical plan; and site electrical construction details. Include in the installation and assembly drawings and details: parts lists; assembly drawings; interconnection wiring diagrams; wire and cable schedules; wire and cable termination schedules; instrument plan; instrument and control wire, conduit and cable schedules; instrument wire and cable termination schedule; control diagrams; control sequence of operation; seismic restraint details; and wind restraint details.

1.6.2.2 "As-Built" and Record Drawings

After completion of construction, submit As-built drawings prepared and certified by the construction contractor, showing in red ink, on-site changes to the original construction details and all underground utilities measured from field benchmarks, accurate to within 1" of centerline of the utility. Immediately record for inclusion into the as-built drawings all modifications to original drawings made during installation. Indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices.

After submittal and approval of "As-built" drawings, submit Record Drawings, prepared and by the project engineer(s) and architect(s), of the original design drawings reflecting all design changes and contractor

noted changes in the "As-built" drawings.

1.6.3 System Operation

Provide a complete description of the function of each component including PV modules, DC wiring, combiner boxes, inverters, AC wiring, AC and DC disconnect switches, and monitoring system. Provide a discussion of the overall system operation.

1.6.4 Installer

Submit NABCEP (North American Board of Certified Energy Practitioners) PV Installation Professional certification, and a resume with references that details least four successful projects that, in aggregate, equal or exceed the size of the proposed project. Provide references for each of these referenced projects.

1.6.5 Standard Materials and Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Provide products with satisfactory commercial or industrial use for 2 years prior to bid opening, and past performance documentation with consistent design and bill of materials. Include applications of equipment and materials under similar circumstances and of similar size. Where two or more items of the same class of equipment are required, products will be from a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in the technical section. Submit proof of compliance with requirements of UL, where material or equipment is specified to comply. The label of or listing in UL Electrical Construction Directory will be acceptable evidence. In lieu of the label or listing, a written certificate from an approved nationally recognized testing laboratory (NRTL) equipped to perform such services, stating that the items have been tested and conform to the requirements and testing methods of Underwriters Laboratories may be submitted.

1.6.5.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if the manufacturer has been regularly engaged in the design and production of solar photovoltaic products for a minimum of 5-years. Similar photovoltaic products must have been in satisfactory commercial or industrial use for 5-years prior to bid opening and must have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 5-year period.

1.6.5.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site must not be used, unless specified otherwise.

1.6.6 Cybersecurity Equipment Certification

Furnish a certification that control systems are designed and tested in accordance with DoD Instruction 8500.01, DoD Instruction 8510.01, and as required by individual Service Implementation Policy.

1.6.7 Operation and Maintenance Data

Submit Solar Photovoltaic Systems data package for the following items in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

a. Troubleshooting guide with as-built plans displaying modules identified according groups or zones, coordinated with activity to organize as required.

b. Warranty.

c. Operation instructions.

d. Preventive maintenance and inspection data, including a schedule for system operators.

1.6.7.1 Electrical Systems

Submit operation and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. In addition to requirements of Data Package 5, include the following for the actual solar photovoltaic (PV) system provided:

a. Service and maintenance information including preventive maintenance, assembly, and disassembly procedures.

b. Complete operation, repair, and maintenance information, detailed to the smallest replaceable unit.

c. Adjustment, trouble-shooting, configuration, tuning, and system calibration instructions.

d. Programming information for the communications and monitoring interface.

e. An instruction manual with pertinent items and information highlighted.

f. A layout drawing showing locations as well as views of equipment; front, top, and side views.

g. A one-line drawing showing all components and interfaces to the electrical system.

h. Prices for spare parts and supply list including spare modules and inverters.

i. Inverter efficiency report and field acceptance test reports.

j. Actual nameplate diagram.

k. Date of purchase.

1.6.7.2 Training Course

Provide training by a factory trained instructor to provide full instructions to designated Government personnel in the operation, maintenance and programming of the specified systems and equipment. Include safety training for first responders including fire department, facilities, and engineering representatives. The proposed Training Course

Curriculum (including topics and dates of discussion) indicating that all of the items contained in the operating and maintenance instructions, as well as demonstrations of safety and routine maintenance operations, including testing procedures included in the maintenance instructions, are to be covered. The proposed Training Course must be video-recorded and provided with any PowerPoint slides as part of the final documentation for those that cannot attend. Submit training documentation along with the proposed training dates, at least 14 days prior to dates of proposed training course. Provide training session for six personnel specifically oriented to installed equipment, system layout, and user operations.

1.6.8 Bill of Materials

Submit a Bill of Materials listing each product being incorporated into the system. Bill of Materials includes a general description of the product, quantity, and exact manufacturer's model number. Where the manufacturer's model number does not fully identify the product, list options, accessories, or custom features by additional descriptions.

1.6.9 Qualified Testing Organization

Comply with requirements specified in Section 26 08 00 APPARATUS INSPECTION AND TESTING. Engage the services of a qualified testing organization, NABCEP-certified professional, or licensed electrician to provide inspection, testing, calibration, and adjustment of the solar photovoltaic electrical distribution system and equipment listed herein. Organization must be independent of the supplier, manufacturer, and installer of the equipment. The organization must be a first tier contractor.

Submit name and qualifications of organization. Organization must have been regularly engaged in the testing of electrical materials, devices, installations, and regularly engaged in solar PV systems for a minimum of five years.

Organization calibration program requirements:

a. Provide a calibration program which assures that all applicable test instruments are maintained within rated accuracy.

b. Accuracy: Traceable to the National Institute of Standards and Technology.

c. Instrument calibration frequency schedule: Less than or equal to 12 months for both test floor instruments and leased specialty equipment.

d. Dated calibration tables: Visible on all test equipment.

e. Calibrating standard: Higher accuracy than that of the instrument tested.

f. Keep up-to-date records that indicate dates and test results of instruments calibrated or tested. For instruments calibrated by the manufacturer on a routine basis, in lieu of third party calibration, include the following:

(1) Maintain up-to-date instrument calibration instructions and procedures for each test instrument.

(2) Identify the third party laboratory calibrated instrument to verify that calibrating standard is met.

1.6.10 Commissioning Agents

Commissioning Agents Qualifications: Engage commissioning service personnel, that specialize in the types of inspections and tests to be performed.

1.6.11 System Performance Calculations

Submit system performance calculations to show that the components provided will produce the minimum required production of power in accordance with PERFORMANCE REQUIREMENTS paragraph.

1.7 DELIVERY, STORAGE, AND HANDLING

a. Store solar PV modules in their original packaging according to the manufacturer's guidance, and do not remove from packaging until day of installation.

b. If a solar PV module is removed from its packaging, store it according to the manufacturer's guidance.

c. Do not store solar PV modules on-site for more than 12 months.

1.8 WARRANTY

Provide a list of all applicable warranties for all equipment and components. Include warranty information, names, addresses, telephone numbers, and procedures for filing a claim and obtaining warranty services. The equipment items must be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

Warrant the overall system for both parts and labor for a minimum period of 5 years. Provide specific warranties for solar photovoltaic modules, inverters, combiner boxes, and mounting system, and cybersecurity.

1.8.1 Solar Photovoltaic Modules

Furnish the solar photovoltaic module manufacturer's written warranty. The warranty must be a 25-year linear 80 percent (minimum) power warranty (at the end of the 25th year after purchase an actual minimum power output of 80 percent based on the nameplate rating must be achieved) and not less than 10-years for workmanship material and manufacturing defects from the date of manufacture.

The warranty must state that the malfunctioning solar photovoltaic module must be exchanged by the manufacturer and promptly shipped to the using Government facility. The replacement solar module must be identical to, or an improvement upon, the original design of the malfunctioning solar module.

1.8.2 Inverters

Furnish the inverter manufacturer's warranty. Inverter to be free from defects in material and workmanship for a minimum of 20 years from the

date of manufacture. Inverter device installation, transportation, and on-site storage must not exceed 12 months, thereby permitting 19 years of the 20 year warranty to be in service and energized.

The warranty must state that the malfunctioning inverter must be exchanged by the manufacturer and promptly shipped to the using Government facility, and arrive in no more than ten days. The replacement inverter must be identical to, or an improvement upon, the original design of the malfunctioning inverter.

1.8.2.1 Inverter Software Updates Title

Provide, at no cost or charge, any inverter software upgrades that become available during the warranty period.

1.8.3 Combiner Boxes

Combiner boxes to be free from defects in material and workmanship for a period of 5 years.

1.8.4 Mounting System

Provide PV mounting system warranty of minimum 15 years.

1.8.5 Warranty Exclusion

The warranty must cover all system malfunctions and failures except those resulting from misuse, abuse, neglect, fire, vandalism, acts of nature, or other causes beyond the control of the Contractor or manufacturer.

1.8.6 Cybersecurity During Warranty Period

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government.

1.9 CALCULATIONS

If construction deviates from design, provide relevant calculations to demonstrate that new design is satisfactory and approved by a licensed professional engineer.

1.10 CERTIFICATIONS

Provide seismic certification and wind certification, prepared by a licensed professional engineer or National Recognized Testing Laboratory, (NRTL) for all components and assembled systems in accordance with ICC IBC, ASCE 7-16 state and local building codes. Seismic and wind certifications must demonstrate system must withstand wind and seismic requirements as installed and remain online and functional after a seismic or wind event.

1.11 HEALTH AND SAFETY RECOMMENDATIONS

Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS, applies to this section with additions and modifications specified herein.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

a. The PV system described in this document is a facility-scale less than 1 megawatt with system voltage not exceeding 1,000 VDC, single PV systems with a single service, and is of the grid-connected type which provides a direct interconnection of PV system and grid power service supplying building that do not utilize engine generators. The PV system does not include battery/backup storage or secondary electrical generation devices. PV system feeds AC power into the local services when solar energy is available and immediately disconnects from the grid upon loss of grid power to the service in accordance with IEEE 1547and local utility regulations.

b. PV system must comply with these specifications, all applicable construction document drawings, all applicable codes, and all local authorities having jurisdiction. System must comply with all policies and standards required by the electrical utility having jurisdiction and all applicable incentive program guidelines. PV system equipment includes, but is not limited to, PV modules and electrical insulating components such as encapsulants and backsheets, raceways, inverters, combiner boxes, disconnect switches, wire, conduit, junction boxes, mounting hardware, mounting structure for modules (racking), monitoring and communication equipment.

c. Coordinate with roofing to provide certificate of roof warranty not invalidated by solar PV installation. For rigid solar cell PV systems on metal roofing panels, integrate with the roofing system, Section 07 60 00 FLASHING AND SHEET METAL.

d. Provide surge protective device (SPD) complying with NFPA 780 requirements and listed to UL 1449.

2.1.1 System Requirements

Conform electrical installations to IEEE C2, NFPA 70, and requirements specified herein.

a. Solar photovoltaic system characteristics provided includes:

(1) 250 minimum rated kW DC output

(2) 200 minimum rated kW AC output

(3) 320,000 minimum kWh AC per year for year one

(4) 480Y/277V, 3-Phase, 60-Hz system voltage

(5) Roof mounted.

b. All equipment must be listed and labeled in accordance with NFPA 70 and OSHA-listed nationally recognized testing laboratories (NRTL) and installed in accordance with the listing requirements and the manufacturer's instructions.

c. Provide all accessories needed for a complete, secure, operational grid-tied PV system.

d. Wiring and connections of inverters, PV source circuits, AC branch circuits, and all interconnections must be rated at a minimum for IP65 in accordance with NEMA IEC 60529.

2.1.1.1 System Wiring

System wiring must conform to Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and must be in accordance with Section 690 of NFPA 70. Cabling exposed to sunlight must be UV resistant. All wiring must be copper conductor.

Provide conduits in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Use galvanized rigid steel conduit above grade and mount on UV resistant high-density polyethylene (HDPE) supports. Conduit below grade must be Schedule 40 PVC, 1-inches minimum.

2.1.2 Performance Requirements

System components provided must be selected to achieve a minimum calculated energy production of 320,000 kWh per year as required by ICC IgCC.

2.2 PHOTOVOLTAIC MODULES

a. PV modules must be IEC 61215 compliant and IEC 61730-1 compliant , and manufactured in an ISO 9001 certified facility.

b. PV modules must be of monocrystalline technology and

c. PV module efficiency must be greater than 15 percent for crystalline technology.

d. PV modules must be of the same manufacturer and model number and consistent sub-components.

e. Submit on cutsheets PV module performance data from the manufacturer that must include a flash test data in accordance with IEC 61853-1, and temperature coefficients at: STC, nominal operating cell temperature (NOCT), low irradiance conditions (LIC), high temperature conditions (HTC), and low temperature conditions (LTC).

f. PV module bypass diodes must be inside the solar PV module's single conductor cable junction box.

g. Photovoltaic wire, wiring methods, and utilization of locking-type connectors must comply with the requirements of NFPA 70 and UL 6703. Provide USE-2 or RHH or RHW-2 wire, and sunlight-resistant wire when exposed to sunlight.

2.2.1 Crystalline Photovoltaic Module Backsheet

a. Backsheet component must consist of a tri-layer construction (minimum thickness of 9.8 mils) with outer layers of polyvinyl fluoride (PVF) and an inner layer of polyester for crystalline-silicon modules with a maximum system voltage of 1000 VDC.

b. Alternate polymeric backsheets consisting of different chemical composition, thickness, or construction must fulfill the safety and

performance specifications and acceptance criteria in Table 1. The required component properties in Table 1 must be verified by a test report provided by an OSHA-listed nationally recognized testing laboratory (NRTL) and a cutsheet submitted.

TABLE 1 - PV MODULE BACKSHEET COMPONENT SAFETY AND PERFORMANCE		
Items	Test Methods	Specification
Tensile Strength (MPa)	ASTM D882	>=100 (TD) >=100 (MD)
Elongation at Break (percent)	ASTM D882	>=80 (TD) >=100 (MD)
Dimensional Stability (percent, 150 degrees C, 0.5 h)	ASTM D882	<=1.0 (TD) <=1.0 (MD)
Breakdown Voltage (kV)	ASTM D149	>=18
WVTR (g/m^2 day, 37.8 degrees C, 100 percent RH)	ASTM F1249	<=2.5
Interlayer Peeling Strength (N/cm)	ASTM D1876	>=4
Peeling Strength with EVA (N/cm)	ASTM D903	>=40
Damp Heat (85 degrees C, 85 percent RH, 1000 hrs) -Color Change delta b -Elongation Retention (percent) -Appearance	 ASTM E1171 ASTM E308/ASTM D2244 ASTM D882/ASTM D5870	 <=2.5 >=70 No cracking or delamination.
UV Exposure Irradiance of 0.55 W/m^2 at 340 nm (61 W/m^2) using a xenon lamp with a daylight filter (outer layer). Exposure is 4200 hours (260 kWh/m^2 total UV (300-400 nm)) -Color Change delta b -Elongation Retention (percent) -Tensile Retention (percent) -Appearance	 ASTM G155 ASTM E308/ASTM D2244 ASTM D882/ASTM D5870 ASTM D882/ASTM D5870	 <=2.0 >=70 >=70 No cracking or delamination.

2.2.2 Crystalline Photovoltaic Module Encapsulent

a. Encapsulant component must consist of ethyl vinyl acetate (EVA) with a total nominal (prelamination) thickness of 35 mils or greater in the completed module. The EVA must have a minimum of 28 percent VA content. Through statistical process control, the module manufacturer must ensure that the cured EVA has a minimum of 70 percent gel content per ASTM D7567 or ASTM D2765. The EVA must have a UV cutoff wavelength of 360 nm as measured according to ASTM E424. The EVA must have a minimum volume resistivity of 1X10^15 ohm-cm per ASTM D257.

b. Thermoplastic encapsulants consisting of different chemical composition, thickness, or construction must fulfill the safety and

performance specifications and acceptance criteria described in Table 2. The required component properties described in Table 2 must be verified by a test report provided by an OSHA-listed nationally recognized testing laboratory (NRTL) and a cutsheet submitted.

TABLE 2 - PV MODULE ENCAPSULANT COMPONENT PROPERTIES		
Items	Test Methods	Specification
Appearance	Visual Examination	No bubble, crack, or delamination.
Gel Content (percent)	ASTM D7567/ASTM D2765	>=70
UV Cutoff Wavelength (nm)	ASTM E424	>=360
Volume Resistivity (ohm-cm)	ASTM D257	>=1X10^15

2.2.3 Electrical Characteristics

Provide high-power type PV module(s), with typical peak power of not less than 315 watts, plus or minus 3 percent power tolerance, under Standard Test Conditions (STC). The AC output must not be less than 80 percent of the DC kWp rating. The individual current harmonics and TRD shall not exceed the limits specified in IEEE 1547.

The operating voltage corresponding to the power output mentioned above must be at least 54 volts. The open circuit voltage of the PV modules under STC should be at least 64 volts. Operate PV module at an ambient temperature range of minus 40 degrees F to plus 185 degrees F with 100 percent relative humidity.

2.2.4 Terminal Box

Include a terminal box on the module having a provision for opening for replacing the cable, if required.

2.2.5 Nameplate

Include the following on the module nameplate so as to be clearly visible:

a. Name of the Manufacturer or distinctive logo;

b. Model or Type Number;

c. Serial Number;

d. Year of make;

e. Peak wattage rating;

f. Peak voltage; and

g. Peak current.

2.3 INVERTERS

a. Array-to-inverter kW ratio must not exceed manufacturer recommendations. Inverter must be IEEE 1547 compliant, listed to UL 1741, comply with the latest applicable ANSI and FCC standards and addenda, and inspected before commissioning, testing, and operation of the system. Submit documentation validating system performance requirements.

b. Inverter must be approved by FCC Part 15, Class A as an unintentional radiator.

c. All same-sized inverters supplied must be of the same manufacturer and model number.

d. Provide inverter utilizing a wall-mount system.

e. Provide inverter utilizing a NEMA 3R outdoor enclosure in accordance with NEMA 250. Provide enclosure made of steel or aluminum.

f. Provide inverter with anti-islanding protection to prevent back-feeding inverter generated power to the grid in the event of a utility outage. Anti-islanding protection must be listed to UL 1741 and IEEE 1547.

g. Overcurrent protection, ground fault protection, arc fault circuit interrupter (AFCI), and rapid shutdown must comply with the requirements of NFPA 70.

h. Provide inverter with self-diagnostics routines, and remote and local display of operating status and remote monitoring capabilities. Provide inverter compatible with monitoring system and metering system. If capability for remote monitoring and control does not exist, then it must be added.

i. Provide inverter with integrated monitoring system. Data monitoring equipment must be able to sustain an overload across its output terminals up the 150 percent load, while supplying any load within its rating and without reducing its output voltage. Fuse power semiconductors in the inverter with fast acting fuses to prevent cascading failures. Provide each fuse with a blown fuse excluding String and Micro inverters and alarm indicating diodes on the control panel.

j. Rate inverters output as 200 AC kW at unity (1), 3 phase, 480 volts, 1,500 maximum power point tracking (MPPT) voltage range. The peak inverters power conversion efficiency must be 97 percent or greater.

k. Match inverter DC input to the design of the PV module array outputs and account for the following:

   (1) The inverter low voltage is 50 percent of the maximum system voltage, to account for 25 year degradation.

   (2) Voltage decrease due to high temperatures at project site. Operate inverter at an ambient temperature range of minus 4 degrees F to plus 122 degrees F with 95 percent humidity (non-condensing).

l. Provide isolation transformer via built into each inverter to provide safe galvanic separation between the AC side of the inverter and the grid.

m. Inverter must include AC and DC disconnecting means. DC and AC disconnecting means must be listed with ratings suitable for the intended use and purpose. System disconnecting means must meet the requirements of NFPA 70.

2.3.1 String Inverters

a. Submit String Inverter Efficiency of having a weighted average inverter power conversion efficiency of 98 percent or greater.

b. Allow the use of DC optimizers provided that a design which coordinates the DC optimizers and the inverter(s) is approved by the Contracting Officer.

c. Inverter must feature maximum power point tracking (MPPT).

2.3.2 Micro Inverters

a. Provide microinverters mounted on racking or mounting system by the installer, and comply with applicable requirements in article INVERTERS.

b. Submit Microinverter CEC Efficiency as verified by CEC SAND2007-5036 of having a weighted average inverter power conversion efficiency of 96 percent or greater.

c. Inverter must feature maximum power point tracking (MPPT).

2.4 COMBINER BOXES

a. All combiner boxes must be listed to UL 1741, and inspected before commissioning, testing, and operation of the system.

b. Provide combiner boxes in wall-mount, NEMA 3R outdoor enclosures in accordance with NEMA 250.

c. Supply combiner boxes designed for use with the inverter provided, and coordinated to the specific PV source circuit design.

d. Include in the combiner boxes fuses and a bus to combine the outputs of the strings. Each combiner box must be UL 1741 listed and operate at an ambient temperature range of minus 13 degrees F to plus 135 degrees F. Provide combiner box capable of at least 12 inputs and an input fuse rating of 15 amps.

e. Provide combiner box output terminals for paralleling two conductors for the PV positive and negative, as well as the equipment ground conductors. Run set of wires from the combiner box to the inverter. Provide overcurrent protection and output disconnecting means listed for intended use and purpose that comply with the requirements of NFPA 70.

2.5 PV SYSTEM MONITORING

a. Provide a PV system monitoring panel mounted as indicated.

b. The following quantities must be viewable from a local screen display mounted at location as indicated:

(1) DC Input Voltage from PV array

(2) DC Input Current from PV system

(3) AC Phase Current from inverter (average)

(4) AC Voltage from inverter (average)

(5) AC Real Power from inverter

(6) Daily, Weekly, Monthly, Yearly, and Cumulative Energy Production

(7) Fault Status Report

(8) DC Ground Current Report

(9) AC Neutral Current from inverter

(10) AC Power Factor

(11) AC Phase Current from inverter (A, B, C)

c. Provide additional data acquisition sensors to measure PV module temperature. Any additional data acquisition sensors require a conduit separate from the current conductor conduit.

2.6 PV SYSTEM METERING

a. Comply with metering requirements in power utilitly's written requirements. .

b. Provide a revenue-grade Interval Data Recording (IDR) meter complete with industry standard telemetry for communications with Ethernet, cellular, or other common output capabilities. Conform to CSI requirements and electrical utility requirements.

c. Connect to a monitoring/data collection recording solar production through time increments applicable to installation and utility standards, with a minimum of 15-minute intervals and 30-day memory.

d. UL listed and conform to ANSI C12.1.

e. Measure kWh, demand, instantaneous power, volts, amps, and watts.

f. Provide UL listed communication and annunciator panel.

2.7 POSTED OPERATING INSTRUCTIONS

Provide for each system and principal item of equipment as specified in the technical sections for use by the operation and maintenance personnel. The operating instructions include the following:

a. Wiring diagrams, schematic diagrams, interconnection diagrams, control diagrams, and control sequence for each principal system and item of

equipment.

b. Array layout showing the locations of all DC and AC disconnects.

c. Start up, proper adjustment, operating, and shutdown procedures.

d. Safety precautions.

e. The procedure in the event of equipment failure.

f. Other items of instruction as recommended by the manufacturer of each system or item of equipment.

Print operating instructions and frame under glass or in approved laminated plastic. Post instructions where directed. For operating instructions exposed to the weather, provide weather-resistant materials or weatherproof enclosures. Operating instructions do not fade when exposed to sunlight and secure to prevent easy removal or peeling.

2.8 MANUFACTURER'S NAMEPLATE

Each item of equipment must have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable. For PV modules, a label on the back of the module is acceptable.

2.9 FIELD FABRICATED NAMEPLATES

ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified. Each nameplate inscription identifies the function and, when applicable, the position. Nameplates are of melamine plastic, 0.125 inch thick, white with black center core. Surface is of matte finish. Square corners. Accurately align lettering and engrave into the core. Minimum size of nameplates is 1 inch by 2.5 inches. Lettering is a minimum of 0.25 inch high normal block style.

2.10 PV EQUIPMENT MARKING AND WARNING LABELS

Provide PV equipment listed or be evaluated for the application and have a field label applied in compliance with NFPA 70.

Provide warning signs for the enclosures of electrical equipment having a nominal rating exceeding 600 volts.

a. Provide PV equipment with UL 969 weather-resistant marking and warning labels in compliance with NFPA 1 and NFPA 70.

b. Provide warning signs for arc flash protection in accordance with NFPA 70E for all electrical equipment and components that are requiring examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electrical arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible and readable from each accessible side to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.11 CABLE TAGS IN MANHOLES, HANDHOLES, AND VAULTS

Provide tags for each power cable or wire located in manholes, handholes, and vaults. The tags must be polyethylene. Do not provide handwritten letters.

2.12 GROUNDING AND BONDING

a. Provide properly sized equipment grounding conductors. Equipment grounding conductors must be insulated stranded copper, except that sizes 10 AWG and smaller must be solid copper. Insulation color must be continuous green for all equipment grounding conductors, except that wire sizes 4 AWG and larger shall be identified per NFPA 70.

b. Provide grounding lugs for aluminum PV solar module frames of either stainless steel or tin-coated copper.

c. Bonding conductors must be bare stranded copper, except that sizes 10 AWG and smaller must be bare solid copper. Bonding conductors must be stranded for final connection to motors, transformers, and vibrating equipment.

d. Provide bonding fittings on concentric/eccentric knockouts with metal conduits for circuits over 250 volts in accordance with NFPA 70.

e. Provide bonding fittings for ferrous metal conduits enclosing grounding electrode conductors in accordance with NFPA 70.

2.13 PV LIGHTNING PROTECTION SYSTEM

Provide PV Lightning Protection for PV system in accordance with NFPA 780. Modify existing Lightning Protection as needed for installed PV system.

PART 3 EXECUTION

3.1 MANUFACTURER'S INSTALLATION INSTRUCTIONS AND INSTALLATION DRAWINGS

a. Complete all electrical work in accordance with NFPA 70.

b. Provide all permanent and temporary shoring, anchoring, and bracing required by the nature of this work in order to make all parts absolutely stable and rigid, even when such shoring, anchoring, and bracing are not explicitly called for.

c. Install the solar PV system in accordance with this section, installation drawings, and the printed installation instructions of the manufacturer.

d. Follow the manufacturer's installation recommendations to ensure no electricity is being fed to the grid and that all available disconnects are in the open position and fuses are not installed during wiring operations. Utilize on-site measurements in conjunction with engineering designs to accurately cut wires and layout before making permanent connections. Locate wires out of the way of windows, doors, openings, and other hazards. Ensure wires are free of snags and sharp edges that have the potential to compromise the wire insulation. If the system is roof-mounted, it must have direct current ground fault protection in accordance with NFPA 70. Ensure breakers in combiner box are in the off position (or fuses removed)

during combiner box wiring. Ensure wires and conduit are not installed as a trip hazard.

e. Attach solar PV modules to the mounting structure according to the manufacturer's instructions and approved plans.

f. Install instrumentation according to the manufacturer's instructions, with control panels located as indicated.

3.1.1 Wiring Methods

Furnish and install conductors required to connect incoming and outgoing circuits. Install conductors with conduits, boxes, and terminal cabinets in a totally enclosed installation. Install wiring in accordance with NFPA 70 and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

3.1.2 Electrical Connections

a. Use twist on wire connectors listed for the environment (i.e. wet, damp, direct burial) and installed per manufacturer's instructions.

b. Use listed power distribution blocks.

c. Use terminals containing more than one conductor listed for multiple conductors.

d. Use connectors and terminals used for fine strand conductors that are listed for use with such conductors.

e. Utilize appropriate tools for connector type as recommended by the manufacturer.

f. Tighten and secure module connectors.

g. Provide corrosion protection in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, and by adding a stainless steel isolating washer between components of incompatible metals on the racking structure.

h. Rate all enclosures for electrical connections and interconnections for NEMA 6 in accordance with NEMA 250.

3.1.3 Disconnects

Provide disconnecting means in accordance with NFPA 70 requirements.

a. Install disconnects for all current carrying conductors of the PV source.

b. Install disconnects for the PV equipment. For inverters and other equipment that are energized from more than one source, group and identify the disconnecting means. Equipment disconnecting means or its remote operating device or the enclosure providing access to the disconnecting means must be capable of being locked in the open position when not within sight or not within 10 ft of the equipment.

c. Install disconnects and overcurrent protection for all ungrounded conductors in ungrounded (transformerless) PV power systems.

d. Install disconnecting means with a rapid shutdown function using the rapid shutdown initiation devices as specified in NFPA 70. Each device's "off" position must indicate that the rapid shutdown function has been initiated for all PV systems connected to that rapid shutdown initiation device.

e. Disconnecting means equipment that performs the rapid shutdown function, other than initiating devices, must be listed for providing rapid shutdown protection.

f. Buildings with rapid shutdown disconnecting means must have a permanent label as specified in NFPA 70.

3.1.4 Overcurrent and Overvoltage Protection

a. Install the PV interconnect overcurrent protective device as indicated in accordance with NFPA 70. Overcurrent devices used in PV system dc circuits must be listed for use in PV systems.

b. Install overvoltage surge protective device (SPD) as indicated and in accordance with NFPA 780 and NFPA 70. PV surge protective devices must be listed for use in PV system and marked "DC" or "PV SPD." If the system inverter is more than 100 ft from the closest combiner or recombiner box, provide additional PV SPDs at the PV output circuit adjacent to the inverter.

3.1.5 Fire Safety

Firestop conduit that penetrates fire-rated walls, fire-rated partitions, or fire-rated floors in accordance with Section 07 84 00 FIRESTOPPING. For all buildings other than one and two-family dwellings and townhouses provide access to roof mounted PV systems by providing a minimum 6 ft wide clear perimeter around the edges of the roof. Follow OSA safety requirements for roof mounted PV system equipment that requires access for periodic maintenance.

3.2 GROUNDING

3.2.1 PV System Grounding

NFPA 70 and IEEE C2, except provide grounding systems with a resistance to solid earth ground not exceeding 25 ohms. Ground according to racking manufacturer's recommendations.

Install grounding lugs in locations on the solar PV module as designated by the module manufacturer, using stainless steel machine screws of the thread size provided in the pre-tapped holes, along with a stainless steel star washer placed between the grounding lug and the solar module frame.

3.2.2 Grounding Electrodes

Provide driven ground rods as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION. Connect ground conductors to the upper end of ground rods by exothermic weld or compression connector. Provide compression connectors at equipment end of ground conductors.

3.3 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent

surfaces or to meet the indicated or specified safety criteria. Painting must be as specified in Section 09 90 00 PAINTS AND COATINGS.

3.4 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.5 WARNING SIGN MOUNTING

a. Display calculated maximum and minimum voltages and their respective amperages on engraved warning labels.

b. Display information on the arc flash warning labels in accordance with NFPA 70E.

c. Provide the number of signs required to be clearly visible and readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.6 CABLE TAG INSTALLATION

Install cable tags in each manhole, handhole, and vault as specified, including each splice. Tag only new wire and cable provided and existing wire and cable which are indicated to have splices and terminations provided. Install cable tags over the fireproofing, if any, and locate the tags so that they are clearly visible without disturbing any cabling or wiring in the manholes, handholes, and vaults.

3.7 FIELD QUALITY CONTROL

Perform in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM .

3.7.1 Performance of NABCEP Acceptance Checks and Tests

Perform all inspections using a NABCEP-certified professional and in accordance with NABCEP inspection procedures, and in accordance with the manufacturer's recommendations, and include the following visual and mechanical inspections and electrical tests.

3.7.1.1 PV Modules

a. Visual and Mechanical Inspection

(1) Solar PV module manufacturer, model, and number of modules must match the approved plans.

(2) Solar PV modules must be in good conditions (including but not limited to no broken glass or cells, no discoloration, frames not damaged).

b. Electrical Tests

(1) Verify output of PV modules according to manufacturer's recommendations and NABCEP practices.

3.7.1.2 Inverters

a. Visual and Mechanical Inspection

(1) Inverter manufacturer, model, and number of inverters must match the approved plans.

(2) Inverters must be in good condition.

b. Electrical Tests

(1) Verify output of inverters according to manufacturer's recommendations and NABCEP practices.

3.7.2 Performance of NETA Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations, and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.7.2.1 Grounding System

a. Visual and Mechanical Inspection

(1) Inspect ground system for compliance with contract plans and specifications.

b. Electrical Tests

(1) Perform ground-impedance measurements utilizing the fall-of-potential method. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod, perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. Use an instrument equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test.

(2) Submit the measured ground resistance of each ground rod and grounding system, indicating the location of the rod and grounding system. Include the test method and test setup (i.e. pin location) used to determine ground resistance and soil conditions at the time the measurements were made.

3.7.3 Functional Acceptance Tests

a. Provide final and complete commissioning of the solar PV system in accordance with IEEE 1547.

b. Verify that all electrical components are installed and connected according to the requirements of the PV electrical drawings, specifications, and manufacturer's written instructions.

c. Before starting or operating the system, check continuity of all conductors and grounding conductors to verify that there are no faults and that all equipment has been properly installed according to the

manufacturer's recommendations. Check factory instructions to see that installations have been made accordingly. Check equipment for any damage that may have occurred during shipment, after delivery, or during installation. Replace damaged equipment.

d. Before starting or operating the system, obtain a final inspection approval and final inspection from the Contracting Officer. Be present on site for both of these inspections.

e. Make final adjustments to all inverters and monitoring equipment so that they will be placed in an acceptable operating condition. Adjustable parameters must be set so that the PV system will produce the maximum possible amount of energy on an annual basis.

3.8 COMMISSIONING

Conduct Commissioning, after the system is installed and is ready for operation, in accordance with Section 01 91 00.15 BUILDING COMMISSIONING, item (6) renewable energy generation, to verify that the completed and installed system meets the requirements of IEEE 1547. Tailor for non-building systems.

3.8.1 Commissioning Agent Qualification

Individual qualified in testing protective equipment (e.g., professional engineer, factory-certified technician, licensed electrician with experience in testing protective equipment) must perform or directly supervise commissioning tests.

3.8.2 Commissioning Plan and Schedule

Develop and implement a commissioning plan and commissioning schedule in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

3.8.3 Start-up Pre-functional Checklists

Carry out a checklist of startup requirements and conduct a series of safety tests to ensure proper installation, safe operation, and performance conforming to specification.

3.8.4 Functional Performance Testing

Prepare test procedures and conduct functional performance testing of the installed system. Include the following test requirements:

a. All inverter startup tests as specified by the inverter manufacturer in the inverter operation manual;

b. Actual power;

c. Loss of grid;

d. Grid resume;

e. Data monitoring check out;

f. V_{oc} measurement of every source circuit and log it;

g. Verify tightness of all wiring terminations;

h. Verify proper markings and labeling of all wire terminations and enclosures;

i. Verify startup/shut down procedures;

j. Verify system 5 minutes delay upon restart;

k. Verify PV array quick connectors are fully mated and wires are neatly secured;

l. Verify no debris on the modules, no damaged or broken modules;

m. Verification and inspections (see IEEE 1547.1 7.2)

n. Field-conducted type and production tests (see IEEE 1547 7.3)

o. Unintentional islanding functionality test (see IEEE 1547.1)

p. Cease-to-energize functionality test (see IEEE 1547.1)

q. Unintentional islanding functionality test (see IEEE 1547.1)

r. Cease-to-energize functionality test (see IEEE 1547.1 7.5)

s. Revised settings (see IEEE 1547.1 7.6)

3.8.5 Functional Performance Testing Results

Coordinate, observe and record the results of the functional performance testing. Coordinate retesting as necessary until satisfactory performance is verified. Verify the intended operation of individual components and system interactions under various conditions and modes of operation.

Document items of non-compliance in materials, installation or operation. Immediately address observed non-conformance and deficiencies in terms of notification to responsible parties, and provide recommended actions to correct deficiencies.

3.8.6 Final Commissioning Report

Prepare and submit final commissioning report. Summarize all tasks, findings, conclusions, and recommendations of the commissioning process in accordance with IEC 62446. Include the results of all tests and a listing of the final settings.

3.9 FINAL ACCEPTANCE

The acceptance of the solar PV system occurs only after all deficiencies identified by the functional acceptance tests and commissioning report are corrected, and the system operates successfully during a 30 day initial testing period.

The Contracting Officer must sign appropriate certificates, if equipment and systems are operating satisfactorily in accordance with the specifications, stating the system's operation has been tested and accepted at the end of the final start-up and testing.

3.10 CLOSEOUT ACTIVITIES

3.10.1 Demonstration

Upon completion of the work and at a time approved by the Contracting Officer, provide instructions by a qualified instructor to the Government personnel in the proper adjustment, system operation, and maintenance of the specified systems and equipment, including pertinent safety requirements as required. Government personnel must receive training comparable to the equipment manufacturer's factory training. Instructor must provide a separate training course for the monitoring system.

3.10.2 Instructor's Qualification Resume

Instructor(s) must be employee(s) of installer . Instructors must be thoroughly familiar with all parts of the installation and trained in operating theory as well as practical operation and maintenance work. Submit the name(s) and qualification resume(s) of instructor(s) to the Contracting Officer for approval.

3.10.3 Training

Furnish training service by a factory-trained representative. Document that each qualified employee has received the required training in accordance with 29 CFR 1910. Maintain all training documentation in a central location for the entire employee's employment duration. Minimum documentation data includes employee's name, training name, and date(s) of training.

The training period must consist of a total of 2 hours of normal working time and begin after the system is functionally completed but prior to final acceptance tests. Submit the training course curriculum for approval, along with the proposed training date, at least 14 days prior to the date of proposed conduction of the training course. Instruction must be video-recorded and given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. Provide video recording and any PowerPoint slides as part of the final documentation for those that cannot attend. Extend safety training to fire department representatives. Coordinate with Contracting Officer for Fire Department first responder training.

-- End of Section --