Naval Facilities Engineering Systems Command
NAVFAC MID-ATLANTIC

SPECIFICATIONS

REPLACE CHILLERS AND COOLING TOWER BUILDING 4225

FRC-E, MCAS Cherry Point – Cherry Point, NC

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Our Ref: 231.007

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LIST OF DRAWINGS
02/24

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

DRAWING NO.	NAVFAC DWG NO.	TITLE
___G-001__	12939345	COVER SHEET
CD201	12939346	CIVIL DEMOLITION PLAN
CS301	12939347	CIVIL SITE PLAN
S-001	12937348	STRUCTURAL NOTES
S-002	12937349	STRUCTURAL NOTES
S-100	12937350	FOUNDATION DEMO PLAN
S-101	12937351	FOUNDATION PLAN
S-102	12937352	FRAMING PLAN
M-001	12937353	SYMBOLS & ABBREVIATIONS
M-002	12937354	PHASING PLAN
MD101	12937355	OVERALL PLAN - DEMOLITION
MD102	12937356	CONTROL VALVE PLAN -
MD401	12937357	MECHANICAL ROOM PLAN - DEMOLITION
MD402	12937358	YARD PLAN - DEMOLITION
M-101	12937359	OVERALL PLAN
M-102	12937360	CONTROL VALVE PLAN
M-401	12937361	MECHANICAL PLAN
M-402	12937362	YARD PLAN

DRAWING NO.	NAVFAC DWG NO.	TITLE
M-403	12937363	ROOF PLAN
M-501	12937364	DETAILS
M-601	12937365	SCHEDULES
M-701	12937366	CONTROLS COVER
M-702	12937367	CONTROLS
M-703	12937368	CONTROLS
E-001	12937369	SYMBOLS & ABBREVIATIONS
ED101	12937370	OVERALL PLAN - DEMOLITION
ED401	12937371	MECHANICAL ROOM PLAN - DEMOLITION
ED402	12937372	ELECTRICAL ROOM PLAN - DEMOLITION
ED403	12937373	YARD PLAN - DEMOLITION
E-101	12937374	OVERALL PLAN
E-401	12937375	MECHANICAL ROOM PLAN
E-402	12937376	ELECTRICAL ROOM PLAN
E-403	12937377	YARD PLAN
E-601	12937378	PANEL SCHEDULES
E-602	12937379	PANEL SCHEDULES
E-603	12937380	PANEL SCHEDULES
E-604	12937381	PANEL SCHEDULES
E-605	12937382	ELECTRIAL RISER DIAGRAM
E-606	12937383	SCHEDULES

-- End of Document --

SECTION 01 11 00

SUMMARY OF WORK
02/24, CHG 1: 05/25

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Salvage Plan

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes replacing existing chillers and cooling tower with an air-cooled chiller water plant including condenser pumps, piping modification, controls modification, and incidental related work.

1.2.2 Location

The work is located at MCAS Cherry Point, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 NAVY AND MARINE CORPS INTRANET (NMCI) COORDINATION REQUIREMENTS

1.5.1 NMCI Contractor Access

Allow the NMCI Contractor access to the facility towards the end of construction (finishes 90 percent complete, rough-in 100 percent complete, Inside Plant (ISP)/Outside Plant (OSP) infrastructure in place) to provide equipment in the telecommunications rooms and make final connections.

Coordinate efforts with the NMCI Contractor to facilitate joint use of building spaces during the final phases of construction. After the Contracting Officer has facilitated coordination meetings between the two contractors, within one week, incorporate the effort of additional coordination with the NMCI Contractor into the construction schedule to demonstrate a plan for maintaining the Contract duration.

1.6 SALVAGE MATERIAL AND EQUIPMENT

All equipment, machinery, metallic piping, wire, and other scrap metal shall remain the Government's property. Recycle these items as part of demolition and deconstruction operations. Provide separate containers to collect these items and transport to the FRC East recycling facility in Building 4785. Coordinate with the recycling personnel at Building 4785 to arrange an appropriate time for delivery.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Provide a system for property control in the salvage plan. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 14 00

WORK RESTRICTIONS
11/22, CHG 5: 05/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel

1.3 SPECIAL SCHEDULING REQUIREMENTS

a. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work. Specific items of work to which this requirement applies include:

(1) Air-Cooled Chillers

(2) Condenser Pumps

(3) Chill Water Piping

b. The building will remain in operation during the entire construction period. Conduct operations so as to cause the least possible interference with normal operations of the activity.

c. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.4 CONTRACTOR ACCESS AND USE OF PREMISES

1.4.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic, and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Ensure all Contractor equipment, including delivery vehicles, are clearly identified with their company

name.

1.4.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors, including addresses and telephone numbers, for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.4.1.2 Installation Access

Obtain access to Navy installations through participation in the Defense Biometrics Identification System (DBIDS). Requirements for Contractor employee registration, and transition for employees currently under Navy Commercial Access Control System (NCACS), are available at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/. No fees are associated with obtaining a DBIDS credential.

Participation in the DBIDS is not mandatory, and Contractor personnel may apply for One-Day Passes at the Base Visitor Control Office to access an installation.

1.4.1.2.1 Registration for DBIDS

Registration for DBIDS is available at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/. Procedure includes:

a. Present a letter or official award document (i.e. DD Form 1155 or SF 1442) from the Contracting Officer, that provides the purpose for access, to the base Visitor Control Center representative.

b. Present valid identification, such as a passport or Real ID Act-compliant state driver's license.

c. Provide completed SECNAV FORM 5512/1 to the base Visitor Control Center representative to obtain a background check. This form is available for download at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/.

d. Upon successful completion of the background check, the Government will complete the DBIDS enrollment process, which includes Contractor employee photo, fingerprints, base restriction, and several other assessments.

e. Upon successful completion of the enrollment process, the Contractor employee will be issued a DBIDS credential, and will be allowed to proceed to worksite.

1.4.1.2.2 DBIDS Eligibility Requirements

Throughout the length of the contract, the Contractor employee must continue to meet background screen standards. Periodic background screenings are conducted to verify continued DBIDS participation and installation access privileges. DBIDS access privileges will be immediately suspended or revoked if, at any time, a Contractor employee becomes ineligible.

An adjudication process may be initiated when a background screen failure

results in disqualification from participation in the DBIDS, and Contractor employee does not agree with the reason for disqualification. The Government is the final authority.

1.4.1.2.3 DBIDS Notification Requirements

a. Immediately report instances of lost or stolen badges to the Contracting Officer.

b. Immediately collect DBIDS credentials and notify the Contracting Officer in writing under the following circumstances:

(1) An employee has departed the company without having properly returned or surrendered their DBIDS credentials.

(2) There is a reasonable basis to conclude that an employee, or former employee, might pose a risk, compromise, or threat to the safety or security of the Installation or anyone therein.

1.4.1.2.4 One-Day Passes

Personnel applying for One-Day passes at the Base Visitor Control Office are subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government is not responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in the DBIDS.

1.4.1.3 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction, and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.4.2 Working Hours

Regular working hours will consist of an 8 1/2 hour period , between 7:00 a.m. and 3:30 p.m., Monday through Friday , excluding Government holidays.

1.4.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number, and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in accordance with requirements of EM 385-1-1. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.4.4 Occupied Building

Work will be in and around an existing building which is occupied. Do not enter the building without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains, and Government material located in the building during the construction period.

Leave attached equipment in place, and protect it against damage, or temporarily disconnect, relocate, protect, and reinstall it at the completion of the work.

1.4.5 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.

c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, and steam are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS.

d. Operation of Station Utilities: Do not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. Notify the Contracting Officer giving reasonable advance notice when such operation is required.

1.5 SECURITY REQUIREMENTS

Contract Clause FAR 52.204-2 Security Requirements and Alternate II and the following apply:

1.5.1 MCAS Cherry Point Regulations

No employee or representative of the contractor will be admitted to the work site without an Identification Badge or is specifically authorized admittance to the work site by the FEAD, Facilities Engineering & Acquisition Division.

IMPORTANT NOTE: FEAD personnel (Construction Managers, Engineers/Architects, Engineering Technicians, Contract Specialists, or Contract Surveillance Representatives) will not receive, process, re-transmit, or otherwise handle IN ANY WAY Personally Identifiable Information (PII) related to the badging process. Do NOT forward any of this information to the FEAD.

1.5.2 Contractor Access to MCAS Cherry Point and Outlying Areas

1. Documentation requirements for granting access to MCAS Cherry Point for commercial and contract employers and employees. This document is an aid in meeting ASO 5560.6C requirements and is not a substitute for the order.

2. The Pass & Identification Office at Building 251 will issue credentials to authorized contractors. Sub-Contractors and suppliers must coordinate through the Prime-Contractor.

3. Criminal Activity. In accordance with ASO 5560.6C, the below list of criminal activities within an applicant's record are considered not in the best interest of the Marine Corps and will be grounds for automatic denial of access aboard the Installation:

a. Conviction for espionage, sabotage, sedition, treason, terrorism, armed robbery, or murder.

b. Felony conviction for a firearms or explosives violation, regardless of the date of conviction.

c. Conviction of crimes encompassing sexual assault or rape.

d. Conviction of crimes encompassing child molestation, or the possession or production of child pornography.

e. Conviction of trafficking in persons.

f. Conviction of drug possession with intent to sell or distribute.

g. Convicted of three or more misdemeanor violations, or attempted violations, within the previous 10 years of the following offenses:

(1) Sex crime
(2) Assault
(3) Larceny
(4) Drugs
(5) Weapons

4. Persons requesting access to MCAS Cherry Point will be denied access based on the following:

a. The individual is a registered sex offender.

b. The individual has an active arrest warrant from Federal, State, local, or other civil law enforecement authorities, regardless of offense or violation.

c. The individual has a felony conviction within the last 10 years, regardless of the offense or violation.

d. The individual's name appears on any Federal or State agency watch list for criminal behavior or terrorist activity.

e. The individual is debarred entry or access to a Marine Corps site, other DoD installations or facilities, or other Federal site or facility.

f. The individual engaged in acts or activities designed to overthrow the U.S. Government by force.

g. The individual is known to be or reasonably suspected of being a terrorist or belongs to an organization with known terrorism links/support.

h. The individual is identified in the National Crime Information Center (NCIC) known suspected terrorist (KST) file, or the Terrorist Screening Database (TSDB) report as known to be, or suspected of being, a terrorist or belonging to an organization with known links to terrorism or support of terrorist activity. If an individual is identified on the NCIC KST files or TSDB, the Provost Marshal's Office (PMO) will immediately call the NCIS Multiple Threat Alert Center (MTAC) for further coordination. The MTAC will coordinate with the Department of Justice (DOJ) or Federal Bureau of Investigation (FBI) and provide handling instructions to MCAS Cherry Point Police, Criminal Investigations Division (CID), or NCIS.

i. The individual is illegally present in the U.S.

j. The individual has knowingly submitted an employment questionnaire with false or fraudulent information.

k. The individual is a prisoner on a work-release program or currently on felony probation or parole.

l. The individual is pending any felony charge.

m. The individual has criminal arrest information that the site commander determines the person presents a threat to good order, discipline, or health and safety on the Marine Corps site.

n. Any reason the Installation Commander deems reasonable for good order and discipline.

1.5.3 FLEET READINESS CENTER, EAST (FRC EAST) REQUIREMENTS

Work involved under this contract is in the FRC East Maintenance Depot and Flightline Area. No employee or representative of the Contractor will be admitted to the work site unless they (1) are specifically authorized admittance by the FEAD, and (2) has a security badge. The Contractor shall obtain clearance and FRC East security badges for all personnel required to be on the project site prior to performing any work. The Contractor shall submit a written request for security badges to the FEAD and to FRC East Security. Each employee will be required to go to Trailer 32 to obtain a security badge with FRC East access. A limited number of Contractor vehicles will be allowed access to the site of work subject to meeting regular access requirements. No personal vehicles will be allowed behind the security fence. Parking of vehicles shall be restricted to the immediate project site as determined by FRC East Security. The security badges issued under this contract are valid for this specific project and are not transferable to another project.

FRC East is registered to the ISO 9001, AS9100, AS9110, ISO 14001, and OSHAS 18001 standards. To adhere to the standards required of these programs, FRC East must ensure that all individuals accessing the facility are aware of the conditions and factors that affect the well-being of all employees, temporary workers, contractor personnel, visitors, and any other person in the workplace.

The following are requirements for granting access to Fleet Readiness Center East (FRC East) for commercial and contract employers and employees.

a. The Contractor shall complete a "Contractor Work Notice- Form FRC-East 5000/10" and provide the Notice to the appropriate shop

supervisor for signature and leave the top part of the Notice with the shop supervisor to post in a prominent location in the work area. The Contractor shall keep the bottom portion of the "Contractor Work Notice" for his records and provide a copy to the Contracting Officer. For projects occurring on facility roofs (roofing repair, HVAC replacement, or similar work), the Contractor shall complete a "Contractor Work Notice" form and provide the Notice to the shop directly under the area being worked, even if no direct impact to the shop is anticipated. A blank copy of this form is included at the end of this section.

b. In the event of an emergency, including hazardous material spills, the individual discovering the emergency shall call 911 from any FRC East phone. Relay the grid number listed on the phone for location assistance. If you are calling from a cell phone, call 252-466-3616 - Fire Department Dispatch. This does not relieve the Contractor from reporting the situation to the Contracting Officer which is required elsewhere in this specification.

c. Badges will be displayed at all times and shall be returned upon completion of work. Every badge is considered Government Property and all lost badges will be reported to the FRC East Badge and Decal Office located in Trailer 32.

d. Report any injury/illness occurring on site to the FRC East Safety Office immediately by calling 252-464-7015. This does not relieve the Contractor from reporting the situation to the Contracting Officer which is required elsewhere in this specification.

e. The facility must be kept clean and orderly at all times. Ensure that you place all waste in proper receptacles so that the facility is maintained in a "Clean as you go" condition.

f. When in the FRC East industrial areas, wear the personal protective equipment (PPE) required for that area. PPE requirements are generally marked but if there is uncertainty, check with the area supervisor. Typically, safety glasses with side shields and steel toed shoes are required in most shops.

g. Smoking is NOT authorized while traveling from one facility location to another while walking or in a vehicle being operated anywhere within the FRC East compound. ABSOLUTELY NO SMOKING on the property except in Designated Smoking Areas.

h. Extra caution shall be taken around the flight line and aircraft turn-up areas to control trash, debris, and materials. Additionally, all personnel on the flight line must be continuously alert and stay clear of helicopter and jet operations in progress.

i. Vehicles must not obstruct aircraft movement or other daily operations.

j. Safety (252-464-7015), security (252-464-7999), and environmental (252-464-8042) infractions shall be reported to the appropriate office immediately.

k. If an emergency situation occurs which would endanger the health or safety of personnel, the area shall be evacuated. Re-entry to affected buildings will be at the discretion of uniformed guards, fire department, or safety office personnel only.

l. Decisions to evacuate will be from the FRC East Commanding Officer or his/her representative. Visitors/contractors, along with non-essential facility personnel, south of Harrison Drive, adjacent to Building 4224, will evacuate the facility first. Fifteen minutes later, the visitors/contractors south of Curtiss Road, between Buildings 83 & 84, will evacuate the facility. Fifteen minutes later the visitors/contractors north of Curtiss Road will evacuate the facility.

m. Cellular phones and photographic equipment are not authorized within FRC East unless they are approved in advance by the Security Office (252-464-7999). Cellular phones may be used on roof areas for emergency purposes only.

n. All vehicles must have proper passes, and no pass may be transferred between vehicles.

o. All vehicles will follow posted speed limits, which are 5 MPH on the outside of buildings and 3 MPH inside of any building.

p. Vehicle headlights must be on at all times within the facility.

q. All vehicles are required to slow down, sound horn, and proceed with caution at all cross aisles and other locations where vision is obstructed.

r. Personnel are strictly forbidden to introduce any substance into the storm drain system including catch basins, roof drains, and floor drains.

s. All facility entrants are responsible for all materials they bring into the facility and shall handle them in such a manner to ensure they are not left as "foreign objects" anywhere in the facility.

t. In case of a utility emergency on weekends or after normal work hours, call the Public Works Department trouble call desk at 252-466-4363.

u. The use of gasoline is prohibited for any purpose other than fueling motor vehicles. All gasoline-powered vehicles are prohibited inside FRC East buildings.

1.5.4 Mandatory Contract Performance Requirements for FRC East

a. All personnel working on site at FRC East under this contract must document their receipt and review of the information listed in FRCEASTINST 5000.1B annually. The Security Department will provide a means of completing initial review prior to FRC East entrance.

b. Foreign object (FO) is defined as any article or substance alien to the aircraft or assembly which is allowed to invade the product. Foreign Object Damage (FOD) is the damage that occurs

due to these FOs. All FRC East work sites will be maintained in such a manner as to prevent FOD to aircraft and/or aircraft components. Work sites shall be kept clean at all times. All debris, scrap material, tools, and equipment will be cleared from the work site as work progresses. At no time will hoses, power cords, materials, etc. be permitted to create tripping hazards in areas of the work site.

c. In those cases where a contractor supervisor determines that solving a safety or health problem is beyond their control, but within the control of FRC East, the contractor shall notify the Contracting Officer.

1.5.4.1 Additional Contract Performance Requirements for FRC East

a. Work above or anywhere near aircraft or passageways shall be avoided whenever possible. If this is not possible, the cognizant shop supervisor will be informed so arrangements can be made to protect, move, or evacuate assets from the area to minimize foreign object debris potential. The area below the elevated work area will be adequately marked and barricaded at all times. Under no circumstances will work be performed over unprotected spaces.

b. Constant control of tools and materials is required at all times in the following critical areas: Rotor Head Shop; Ordinance/Survival Shop; Aircraft Paint Shop; Aircraft Clean Shop; Aircraft Engine Shops; all shops in Building 4225; and all Aircraft Hangars.

c. Buildings 137, 188, 245, 4224, and 4247 are classified as hazardous areas and have special requirements. Do not utilize any ignitable items, items powered by electric motors, or internal combustion engines unless they are determined to be suitable to the conditions of the buildings.

d. The recharging of mobile equipment shall not take place inside any FRC-East building.

e. Tools and hardware will be controlled at all times to prevent migration out of the work site. Lost tools shall be reported to the Contracting Officer who will then notify the FRC East Tool Control Manager at 252-464-9741. Tools found unattended will be confiscated and reported to the Contracting Officer.

f. Work requiring any modification to an air pollution control device must be approved in advance by the Contracting Officer, who will obtain approval from the FRC East Air Quality Program Manager. These devices are marked with a 5" x 9" red placard and a contact number, 252-464-7264.

g. Work accomplished that will impact industrial ventilation systems or fans will require prior notification of the Contracting Officer, who will then notify the FRC East Air Quality Program Manager at 252-464-7264. These systems are continuously monitored.

1.5.5 Staging Area

As indicated on the plans, the Contractor staging area will be (Construction Manager to coordinate). Amount of material on site shall be

kept to a minimum and shall only be material that is pertinent to the work currently being performed. All stockpiling of equipment and materials shall be closely coordinated with the Government and shall not disrupt activities at the site.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

FRCEASTINST 5000.1

CONTRACTOR WORK NOTICE (SHOP COPY)

TASK OR TICKET #: _____

DESCRIPTION: _____

CONTRACTOR: _____

FRC EAST PROJECT MANAGER: _____

FRC EAST PROJECT MANAGER PHONE NUMBER/BEEPER NUMBER: _____

If no answer contact the Maintenance Call desk at 464-7654.

Shop Point of Contact Acknowledging Receipt of this Information:

Signature: _____ Date: _____

Print Name:
(Last, First MI) _____

*** SHOP - POST CONSPICUOUSLY IN WORK AREA ***

FRC EAST 5000/10 (MAY 2014)

Cut along line

FRCEASTINST 5000.1

CONTRACTOR WORK NOTICE (CONTRACTOR COPY)

TASK OR TICKET #: _____

DESCRIPTION: _____

CONTRACTOR: _____

FRC EAST PROJECT MANAGER: _____

FRC EAST PROJECT MANAGER PHONE NUMBER/BEEPER NUMBER: _____

If no answer contact the Maintenance Call desk at 464-7654.

Shop Point of Contact Acknowledging Receipt of this Information:

Signature: _____ Date: _____

Print Name:
(Last, First, MI) _____

*** CONTRACTOR - KEEP IN YOUR WORK SITE FILE ***

FRC EAST 5000/10 (MAY 2014)

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES
11/20, CHG 5: 02/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 1110-1-8	(2021) Engineering and Design -- Construction Equipment Ownership and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

Within 15 calendar days of Contract Award, prepare and deliver to the Contracting Officer a Schedule of Prices (construction Contract) as directed by the Contracting Officer. Schedule of Prices must have cost summarized and totals provided for each construction category. Provide a detailed breakdown of the Contract price, giving quantities for each of the various kinds of work, unit prices, and extended prices. Indirect activities (e.g., meetings, presentations, submittals, surveys, haul road or storage yard maintenance, dust control, security) will not be cost loaded. Evenly distribute the costs across the associated construction activities. Contractor overhead and profit including salaries for field office personnel, if applicable, must be proportionately spread over all pay items and not included as individual pay items.

1.3.2 Payment Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer.

Additionally, the Schedule of Prices must be separated as follows:

a. Primary Facilities Cost Breakdown:

Defined as work on the primary facilities out to the 5 foot line. Work out to the 5 foot line includes construction encompassed within a theoretical line 5 foot from the face of exterior walls and includes attendant construction, such as pad mounted HVAC cooling equipment,

cooling towers, and transformers placed beyond the 5 foot line.

b. Supporting Facilities Cost Breakdown:

Defined as site work, including incidental work, outside the 5 foot line.

1.3.3 Schedule Requirements for HVAC TAB

The field work requirements in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC must be broken down in the Schedule of Prices and in the Construction Progress Documentation by separate line items which reflect measurable deliverables. The value for each pay item listed below will be established on a case by case basis for each Contract. The line items are as follows:

a. Approval of Design Review Report: The TABS Agency is required to conduct a review of the project plans and specifications to identify any feature, or the lack thereof, that would preclude successful testing and balancing of the project HVAC systems. Submit the resulting findings to the Government to allow correction of the design. The progress payment will not be issued until the report is reviewed and approved.

b. Approval of the pre-field engineering report: The TABS Agency submits a report which outlines the scope of field work. The report must contain details of what systems will be tested, procedures to be used, sample report forms for reporting test results, and a quality control (QC) checklist of work items that must be completed before TABS field work commences.

c. Season I field work: Incremental payments are issued as the TABS field work progresses. The TABS Agency mobilizes to the project site and executes the field work as outlined in the pre-field engineering report. The HVAC water and air systems are balanced and operational data must be collected for one seasonal condition (either summer or winter depending on project timing).

d. Approval of Season I report: On completion of the Season I field work, the data is compiled into a report and submitted to the Government. The report is reviewed, and approved, after ensuring compliance with the pre-field engineering report scope of work.

e. Completion of Season I field QA check: Contract QC and Government representatives meet the TABS Agency at the jobsite to retest portions of the systems reported in the Season I report. The purpose of these tests are to validate the accuracy and completeness of the previously submitted Season I report.

f. Approval of Season II report: The TABS Agency completes all Season II field work, which is normally comprised mainly of taking heat transfer temperature readings, in the season opposite of that under which Season I performance data was compiled. Compile this data into a report and submit to the Government. On completion of submittal review to ensure compliance with the pre-field engineering report scope, progress payment is issued. Progress payment is less than that issued for the Season I report since most of the water and air balancing work effort is completed under Season I.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause DFARS 252.236-7000 Modification Proposals-Price Breakdown, and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, base equipment use rates upon the applicable provisions of the EP 1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27 Prompt Payment for Construction Contracts and FAR 52.232-5 Payments Under Fixed-Price Construction Contracts. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies. Include the documents listed below in the requests for payment.

a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 must include certification by Quality Control (QC) Manager as required by the Contract.

b. The Estimate for Voucher/Contract Performance Statement on NAVFAC Form 4330/54 furnished by the Government. Use NAVFAC Form 4330, unless otherwise directed by the Contracting Officer, on NAVFAC Contracts when a Monthly Estimate for Voucher is required.

c. Contractor's Monthly Estimate for Voucher and Contractors Certification (NAVFAC Form 4330) with Subcontractor and supplier payment certification. Other documents, including but not limited to, that need to be received prior to processing payment include the following submittals as required. These items are still required monthly even when a pay voucher is not submitted.

d. Monthly Work-hour report.

e. Updated Construction Progress Schedule and tabular reports required by the contract.

f. Contractor Safety Self Evaluation Checklist.

g. Updated submittal register.

h. Solid Waste Disposal Report.

i. Certified payrolls.

j. Updated testing logs.

k. Other supporting documents as requested.

1.5.2 Submission of Invoices

If DFARS Clause 252.232-7006 Wide Area WorkFlow Payment Instructions is included in the Contract, provide the documents listed in above paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF

attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

1.5.3 Final Invoice

a. A final invoice must be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release must contain the corporate seal. An officer of the corporation must sign and the corporate secretary must certify the Final Release.

b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.

c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this Contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.103 Progress Payments Under Construction Contracts:

a. Reasonable deductions due to defects in material or workmanship;

b. Claims which the Government may have against the Contractor under or in connection with this Contract;

c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and

d. Failure to maintain accurate "as-built" or record drawings in accordance with FAR 52.236.21 and the contract.

e. Failure to submit accurate monthly schedule updates in accordance with the contract.

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the Contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.

b. Materials delivered on the site, but not installed, and off-site materials to be considered for progress payment must be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

c. Provide the Contracting Officer with documentation (e.g., Purchase Orders or Material Contracts) to justify payment for materials in advance of installation. When appropriate, include the Defense Priorities and Allocations System (DFAS) ratings (e.g., DX or DO) on purchase orders or material contracts to ensure timely delivery.

d. Materials to be considered for progress payment prior to installation must be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this Contract. Requests for progress payment consideration for such items must be supported by documents establishing their value (e.g., paid invoices) and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.

e. Ensure materials are adequately insured and protected from theft and exposure. Provide insurance documentation (e.g., Builder's Risk Insurance) which covers the overall value of the materials to be paid in advance of installation.

f. Provide a written consent from the surety company with each payment request for offsite materials.

g. Materials to be considered for progress payments prior to installation must be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

h. Materials in transit to the job site or storage site are not acceptable for payment.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
11/20, CHG 5: 02/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map
Progress and Completion Pictures

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD) or one set of digital photographs in the agency construction management system of record, cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD or with the submission in the agency construction management system of record as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

1.5 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide

other insurance coverage as required by North Carolina law.

1.6 SUPERVISION

1.6.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

1.6.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a QC representative is required on the Contract, then that individual must also have fluent English communication skills.

1.6.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and QC meetings. The superintendent, or qualified alternate, must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.6.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time or excess costs or damages by the Contractor.

1.7 PRECONSTRUCTION MEETING

Immediately after award, prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction Meeting. The meeting must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this meeting is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, QC, schedule of prices, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections, and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

1.7.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), QC Manager, and major subcontractors.

1.8 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled Red Zone Meetings beginning at approximately 75 percent of project completion. Include the following in the facility Turnover effort:

1.8.1 Red Zone Checklist

a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.

b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

1.8.2 Meetings

a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.

b. The Contracting Officer will establish the frequency of the meetings, which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.

c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.

d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.

e. Maintain the Red Zone Checklist by documenting the actual completion dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

1.9 PARTNERING

Host the partnering session within 45 calendar days of contract award. To most effectively accomplish this Contract, the Contractor and Government must form a cohesive partnership with the common goal of drawing on the strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget, and on

schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, PWD, FEAD, Contractor, key subcontractors, and the DOR (regardless of whether the contract is a design-build or a design-bid-build) are required to participate in the Partnering process.

1.9.1 Team-Led (Informal) Partnering

a. The Contracting Officer will coordinate the initial Team-Led (Informal) Partnering Session with key personnel of the project team, including Contractor and Government personnel. The Partnering Session will be co-led by the Government Construction Manager and Contractor's Project Manager.

b. The Initial Team-led Partnering session may be held concurrently with the Pre-Construction meeting. Partnering sessions will be held at a location mutually agreed to by the Contracting Officer and the Contractor, typically at a conference room on-base or at the Contractor's temporary trailer.

c. The Initial Team-Led Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by Contracting Officer.

d. The Partners will determine the frequency of the follow-on sessions.

e. Participants will bear their own costs for meals, lodging, and transportation associated with Partnering.

1.10 MOBILIZATION

Mobilize to the jobsite within 60 calendar days after contract award. Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 31 23.13 20

ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT MANAGEMENT SYSTEM (eCMS)
08/23

PART 1 GENERAL

1.1 CONTRACT ADMINISTRATION

Utilize the Naval Facilities Engineering Systems Command's (NAVFAC's) Electronic Construction and Facility Support Contract Management System (eCMS) for the transfer, sharing, and management of electronic technical submittals and documents. The web-based eCMS is the designated means of transferring technical documents between the Contractor and the Government. Paper media or email submission, including originals or copies, of the documents are not permitted unless identified within the contract.

All government contracting specialist/officer, legal, and command communications will remain the same.

1.2 USER PRIVILEGES

The Contractor's key staff may be provided access to eCMS. Contact the COR for eCMS account access. Project roles and system roles will be established to control each user's menu, application, and software privileges, including the ability to create, edit, or delete objects. Additional project roles may be assigned for workflow. The COR makes the final decision on roles for the project. User's ability to view and edit documents may be lowered at the discretion of the COR.

Only one eCMS user account is required regardless of the number of user's projects. Notify the COR within seven calendar days if a contractor user is no longer associated with company or project so they can remove them from any open record and inactivate them from the project.

1.2.1 eCMS Subcontractor Users

If the contractor's user is a subcontractor, the subcontractor must be registered under the name of their company and email. For example, it is common for contractors to contract QC Managers. The QC Manager's account should be under their company's name and email reducing the number of eCMS accounts required.

1.2.2 Users with Multiple Roles

Users may have multiple roles associated with their account within eCMS. Roles are used in workflow. When a user is added to the project, they will be assigned the default role when the user was created. Contact the COR to change or add roles to the user for the project.

1.2.3 Loss of Privilege

Users may lose privilege to access eCMS at the discretion of the COR and/or Contracting Officer. The eCMS is a collaborative system that allows flexibility of use and does not restrict all inappropriate user actions. User activities are logged into eCMS in visible and background

data collection. Users found to use eCMS in an inappropriate action may have their eCMS access revoked. Examples include, but are not limited to, fraudulent representations, sharing user accounts with others, and changing approved records without the consent of the COR. Depending on the severity of the infraction, the users can lose eCMS access for a period of time, permanently for the project, or lose eCMS access for any project. The contractor may appeal the suspension in writing to the Contracting Officer within 14 calendar days of notice. The appeal must identify the infraction, supporting information, and steps to ensure the infraction will not happen in the future.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contractor's Personnel

For Division 1 government-approved Pre-Construction submittals, combine into a single Pre-Construction Submittal Package, annotated with SD Type of SD-01. Pre-Construction submittal package approval date will be used as a KPI.

1.4 SYSTEM REQUIREMENTS AND CONNECTIVITY

1.4.1 General

NAVFAC eCMS requires a web-browser (platform-neutral) and Internet connection. For best results, recommend using browser in InPrivate/Incognito mode; Internet speeds greater than 40mbps when uploading files, computers with high RAM and Solid State Drives, "White List" eCMS website, Zip or Split files for better uploading. Non-NAVFAC Users are not to use VPN when using eCMS per NAVFAC IT.

The use of eCMS is required by the Contractor and all associated costs and time necessary to utilize eCMS will be borne by the Contractor with no allowance for time extensions and at no additional cost to the government.

1.4.2 Contractor Personnel List

Within 20 calendar days of contract award, provide to the Contracting Officer a list of Contractor's personnel who will have the responsibility for the transfer, sharing, and management of electronic submittals, RFIs, daily reports, and other files and will require access to the eCMS. Project personnel roles which must be filled as applicable in the eCMS include, at a minimum, the Contractor's Project Manager (KTR-PM), Superintendent (KTSUPT), QC Manager (KTR-QC), Principal (KTR-PRIN), and Site Safety and Health Officer (KTR-SSHO). Notify the COR immediately of any personnel changes to the project. The Contracting Officer reserves the right to perform a security check on all potential users.

Provide the following information:

Company Name
Name (First, Last)
Email Address
Project Role (CQM, SSHO, Superintendent, CM, PM, Principal)

Existing or New eCMS User

1.5 SECURITY CLASSIFICATION

In accordance with Department of Navy guidance, all military construction contract data are unclassified, unless specified otherwise by a properly designated Original Classification Authority (OCA) and in accordance with an established Security Classification Guide (SCG). Refer to the project's OCA when questions arise about the proper classification of information.

In conformance with the Freedom of Information Act (FOIA), DoD INSTRUCTION 5200.48 CONTROLLED UNCLASSIFIED INFORMATION (CUI), and DoD requirements, any unclassified project documentation uploaded into the eCMS must be designated either "U - UNCLASSIFIED" (U) or "CUI - CONTROLLED UNCLASSIFIED INFORMATION" (CUI). NAVFAC eCMS must only be used for the transaction of unclassified information associated with construction projects. Controlled Unclassified Identification (CUI) documents may be loaded into eCMS with the appropriate markings.

1.5.1 Markings on CUI Documents

Contractor's proprietary information, or documents determined by the originator in accordance with CUI guidance, should be marked CUI. Proprietary information not marked CUI can be released under the Freedom of Information Act (FOIA). Apply the appropriate markings before any document is uploaded into eCMS. Markings are not required on Unclassified (U) documents.

1.6 eCMS UTILIZATION

Establish, maintain, and update data and documentation in the eCMS throughout the duration of the contract. Utilize eCMS to transfer all submittals, RFIs, daily reports, and other files required by contract to be forwarded to the government.

Full eCMS use is required. All Submittals/Information to use eCMS Modules including, but not limited to, RFIs, Daily Reports, Meeting Minutes, Communications, Issues, Punch Lists, Checklists, and Flysheets, unless otherwise directed by the COR or Contracting Officer.

1.6.1 Restricted Information

Personally Identifiable Information (PII) transmittal such as credit card, driver's license, passport, social security, and payroll number are not permitted in eCMS. Name, address, and email are permitted. Pre-negotiation information such as cost estimates that require formal negotiations are not allowed. For example, proposed changes over the SAP level of $250k require formal negotiations. Cost estimates for LEAN, ULTRA LEAN, and Design Changes under the SAP level are at the discretion of the COR's or Contract Specialist/Officer's direction. The eCMS must only be used for the transaction of unclassified information associated with construction projects. Controlled Unclassified Identification (CUI) documents may be loaded into eCMS with the appropriate markings. Uploading of files directly into the Documents folder is not allowed. All documents must be uploaded using an eCMS module.

1.6.2 Naming Convention for Files

Titles of files uploaded are to be descriptive of the purpose and content of the file. For example RFI_ROOF_Leak.doc or for submittals, SUB_LIGHT_FIXTURE.pdf. Titles of file to be uploaded must only contain uppercase letters, lowercase letters, numbers, hyphens (-), underscores (_), and periods (.). Use of any other characters is not allowed and may create an error. When practicable, adding the record number to the title is desired. For example RFI_XYZ12345_ROOF_Leak.doc. Uploading files with the same title will create a new revision in eCMS. Original revision is Rev 0, the first revision is Rev 1. Uploaded files are to use the default file location regardless of the module used unless directed by the COR.

Table 1 also identifies which eCMS application is to be used in the transmittal of data (these are subject to change based on the latest software configuration).

Table 1 - Project Documentation Types

SUBJECT/NAME	REMARKS	eCMS APPLICATION
As-Built Drawings	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Building Information Modeling (BIM)	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Construction Permits	Refer to rules of the issuing activity, state or jurisdiction	Submittals
Construction Schedules (Activities and Milestones)		Submittals
Construction Schedules		Submittals
Construction Schedules (3-Week Look ahead)	Import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline	Meeting Minutes
DD 1354 Transfer of Real Property	When applicable, required for final billing.	Submittals

SUBJECT/NAME	REMARKS	eCMS APPLICATION
Daily Production Reports	Provide weather conditions, crew size, man-hours, equipment, and materials information	Daily Report
Daily Quality Control (QC) Reports	Provide QC Phase, Definable Features of Work Identify visitors	Daily Report
Designs and Specifications	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Environmental Notice of Violation (NOV), Corrective Action Plan	Refer to rules of the issuing activity, state, or jurisdiction	Submittals
Environmental Protection Plan (EPP)		Submittals
Invoice (Supporting Documentation)	Applies to supporting documentation only. Invoices are submitted in Wide-Area Workflow (WAWF)	Submittals
Jobsite Documentation, Bulletin Board, Labor Laws, SDS	Redact any PII information when loaded into eCMS	Submittals
Meeting Minutes		Meeting Minutes
Modification Documents	Provide final modification documents for the project. Upload into Modifications RFPs folder	Communications
Operations & Maintenance Support Information (OMSI/eOMSI), Facility Data Worksheet	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Submittals
Photographs	Subject to base/installation restrictions	Submittals
QCM Initial Phase Checklists		Meeting Minutes or Checklists

SUBJECT/NAME	REMARKS	eCMS APPLICATION
QCM Preparatory Phase Checklists		Meeting Minutes or Checklists
Quality Control Plans		Submittals
QC Certifications		Submittals
QC Punch List		Punch Lists
Red-Zone Checklist		Punch List or Checklists
Rework Items List		Punch Lists
Request for Information (RFI) Post-Award		RFIs
Safety Plan		Submittals
Safety - Activity Hazard Analyses (AHA)		Submittals
Safety - Mishap Reports		Daily Report
Shop Drawings	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Storm Water Pollution Prevention (Notice of Intent - Notice of Termination)	Refer to rules of the issuing activity, state or jurisdiction	Submittals
Submittals and Submittal Register		Submittals
Testing Plans, Logs, and Reports		Submittals
Training/Reference Materials		Submittals

SUBJECT/NAME	REMARKS	eCMS APPLICATION
Training Records (Personnel)	Redact any PII information if storing in eCMS	Submittals
Utility Outage/Tie-In Request/Approval		Submittals
Warranties/BOD Letter		Submittals
Quality Assurance Reports		Checklists (Government initiated)
Non-Compliance Notices		Non-Compliance Notices (Government initiated)
Other Government-prepared documents		GOV ONLY
Letters to government contracting, claims, REAs, and other Contracting Officer communications	eCMS is not the primary tool to use in Contracting Officer communications. eCMS can only store documents or letters after the submission to the Contracting Officer is made.	Communications
All Othere Documents	Refer to FOIA guidelines and contact the FOIA official to determine whether exemptions exist	As applicable

1.6.3 RFIs Module

Create contractor RFIs using eCMS RFIs module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

If the government (GOV) response has "No" Cost or Schedule Impact, this reply is given with the expressed understanding that it does not constitute a basis for any change in the amount or time of subject contract. Information provided in this response does not authorize work not currently included in the contract. If GOV Response is "Yes" or "Potentially" then this response may require a change to the contract. If the contractor disagrees with the government's No Cost and/or No Schedule impact determination, the contractor has 14 calendar days to notify the COR and Contracting Officer in writing.

1.6.4 Submittals Module

Create contractor submittals using eCMS Submittals module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

1.6.5 Submittal Packages Module

Create submittal packages using the eCMS Submittal Packages module in lieu of or in addition to Related Objects. Submittal Packages track completion of the packaged submittals and is used in NAVFAC HQ's KPIs.

1.6.6 Communications Module

Create communications using the eCMS Communications module. The Communications module is used to create or document communications that are not a part of other eCMS modules. Use of Communications module will memorialize information into an eCMS record file. The following are Types of Communications:

Email
Memo to File
Face to Face
Telephone
Web Collaboration
Photos
Other Documents
Other

Unless directed by the COR, upload documents or files that do not have a corresponding eCMS module. Choose "Photos" Type for Photos and "Other Documents" for all other documents.

1.6.7 Issues Module

Create or respond to issues using the eCMS Issues module. Respond to CPARS issues using the Issues module.

1.6.8 Meeting Minutes Module

Create or respond to Meeting Minutes using the eCMS Meetings module.

Document required contractual meetings. Dates of meetings are used in NAVFAC KPIs. Minimum meetings in eCMS include the following:

Post Award Kickoff (PAK)
Pre-construction (Pre Con)
Initial and Preparatory Three Phases of Control
Quality Control (QC)

1.6.9 Potential Change Items Module

Not used.

1.6.10 Daily Report Module

Create Daily Reports using the eCMS Daily Report Module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

1.6.11 Punchlists Testing Logs (Legacy)

Punchlist Testing Logs is a legacy program that is being replaced by the Punch Lists Module. This module is to be used for reference of past projects. Use the Punch Lists Module for all future work.

1.6.12 Punch Lists Module

The eCMS Punch Lists module is useful more than just for Punchlists. The module includes the capability of batch editing, create items from Optical Character Recognition (OCR) plans, assign tasks, and track completion of individual items.

Create the following using the Punch Lists module:

Rework Items List
DFOW List
Punch-Out Inspection
Pre-Final Punchlist Inspection
Final Punchlist Inspection
Testing Logs

1.6.13 FWD UltraLean COAR RFP Module

Not Used.

1.6.14 Non-Compliance Notices (NCN) Module

Respond to Non-Compliance Notices listed in the Non-Compliance Notices module.

1.6.15 Checklists

Use Checklist listed in the contractor's eCMS menu and as directed by the COR. Checklists capture data and is used in dashboards and KPIs.

1.6.15.1 Partnering Team Health Survey Checklist

Contractor must use the eCMS checklist to document the partnering team health survey. Partnering Team Health Survey is in accordance with the Partnering Specification of this contract.

1.6.16 Flysheets

Use Flysheets listed in the contractor's eCMS menu, if available, and as directed by the COR. Flysheets allow the contractor to print out information from other systems and upload into eCMS. The eCMS will use OCR to capture the information as data. Flysheets capture data used in dashboards and KPIs.

1.6.17 eCMS Outage

In the case where eCMS is unavailable for 8 hours or more, paper or email may be used in the interim to maintain project schedule.

Once the system is operational, all final records are required to be recreated using the appropriate module. Subject/title of the record to include the type of record i.e., RFI/Submittal/Daily

Report/Communication/Other, the identification number(s), and the statement "Processed Outside of eCMS". Example, "RFI 001 Processed Outside of eCMS".

1.6.18 User Account Activity

NAVFAC eCMS captures user data and activities that are directly related to the user's account. The user agrees through the use of eCMS, their account activities will be captured and can be displayed on eCMS printed reports.

1.7 QUALITY ASSURANCE

Requested Government response dates on Submittals must be in accordance with the terms and conditions of the Contract unless previously agreed by the COR. Requesting response dates earlier than the required review and response time, without concurrence by the Government COR, may be cause for rejection.

Incomplete submittals will be rejected without further review and must be resubmitted. Required Government response dates for resubmittals must reflect the date of resubmittal, not the original submittal date.

All submittals and associated attachments must be transmitted to the Government via the COR. Transmittals are no longer required when using eCMS since approval status is tracked on the submittal. Transmittal forms can be attached to submittals if approved by the COR. Submittals requiring government approval are "Transmitted For" "Approval". Submittals for Information Only are "*Transmitted For" "Information Only" in the Submittal Module. Provide and sign the QC certification statement on the attachment per submittal specification section. When Submittal Packages are required, use eCMS Submittal Packages after creating individual submittals. Importing Submittals from the Submittal Register is optional. Contact the COR for the data conversion requirements.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES
08/18, CHG 1: 08/20

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Baseline Construction Schedule

SD-07 Certificates

Monthly Updates

1.2 PRE-CONSTRUCTION SCHEDULE REQUIREMENT

Prior to the start of work, prepare and submit to the Contracting Officer a Baseline Construction Schedule in the form of a Bar Chart Schedule in accordance with the terms in Contract Clause FAR 52.236-15 Schedules for Construction Contracts, except as modified in this contract. The approval of a Baseline Construction Schedule is a condition precedent to:

a. The Contractor starting demolition work or construction stage(s) of the contract.

b. Processing Contractor's invoice(s) for construction activities/items of work.

c. Review of any schedule updates.

Submittal of the Baseline Construction Schedule, and subsequent schedule updates, is understood to be the Contractor's certification that the submitted schedule meets the requirements of the Contract Documents, represents the Contractor's plan on how the work will be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 SCHEDULE FORMAT

1.3.1 Bar Chart Schedule

The Bar Chart must, as a minimum, show work activities, submittals, Government review periods, material/equipment delivery, utility outages, on-site construction, inspection, testing, and closeout activities. The Bar Chart must be time scaled and generated using an electronic spreadsheet program.

1.3.2 Schedule Submittals and Procedures

Submit Schedules and updates in hard copy and on electronic media that is acceptable to the Contracting Officer. Submit an electronic back-up of

the project schedule in an import format compatible with the Government's scheduling program.

1.4 SCHEDULE MONTHLY UPDATES

Update the Construction Schedule at monthly intervals or when the schedule has been revised. Keep the updated schedule current, reflecting actual activity progress and plan for completing the remaining work. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

a. Narrative Report: Identify and justify the following:

(1) Progress made in each area of the project;

(2) Longest Path: Include printed copy on 11 by 17 inch paper, landscape setting;

(3) Date/time constraint(s), other than those required by the contract;

(4) Listing of changes made between the previous schedule and current updated schedule including: added or removed activities, original and remaining durations for activities that have not started, logic (sequence, constraint, lag/lead), milestones, planned sequence of operations, longest path, calendars or calendar assignments, and cost loading.

(5) Any decrease in previously reported activity Earned Amount;

(6) Pending items and status thereof, including permits, change orders, and time extensions;

(7) Status of Contract Completion Date and interim milestones;

(8) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);

(9) Description of current and future schedule problem areas.

For each entry in the narrative report, cite the respective Activity ID and Activity Name, the date and reason for the change, and description of the change.

1.5 3-WEEK LOOK AHEAD SCHEDULE

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Construction Schedule. Key the work plans to activity numbers when a NAS is required and update each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Construction Schedule on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as directed by the Contracting Officer. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Deliver three hard copies and one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, and review during

the weekly CQC Coordination or Production Meeting.

1.6 CORRESPONDENCE AND TEST REPORTS:

Correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs) must reference Schedule Activities that are being addressed. Test reports (e.g., concrete, soil compaction, weld, pressure) must reference Schedule Activities that are being addressed.

1.7 ADDITIONAL SCHEDULING REQUIREMENTS

Any references to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to all requirements of this section.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18, CHG 4: 02/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or commencing with the start of work on site.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance
Surety Bonds
List Of Proposed Subcontractors
List Of Proposed Products
Baseline Construction Schedule
Submittal Register
Schedule Of Prices Or Earned Value Report
Accident Prevention Plan
Work Plan
Quality Control (QC) plan
Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions, and brochures illustrating size, physical appearance, and other characteristics of materials, systems, or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment, or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product, or system identical to the material, product, or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer, or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system, or material, including special notices and (SDS)concerning impedances, hazards, and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance, and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register

1.3 SUBMITTAL CLASSIFICATION

1.3.1 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

As soon as practicable after award of contract, and before procurement or fabrication, forward to the Architect-Engineer: Summer Consultants Inc., 7900 Westpark Dr Ste A405, McLean, VA 22102*-4234, submittals required in the technical sections of this specification, including shop drawings, product data and samples. In addition, forward a copy of the submittals to the Contracting Officer at Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point (Construction Division), PSC Box 8006, Building 87, Cherry Point, North Carolina, 28533-0006.

Forward to the Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point (Construction Division), PSC Box 8006, Building 87, Cherry Point, North Carolina, 28533-0006, submittals required in the General Requirements sections of this specification.

1.4.1 O&M Data

Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the items to which such O&M data apply.

1.5 PREPARATION

1.5.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to the office of the approving authority using the transmittal form prescribed by the Contracting Officer. Include all information prescribed by the transmittal form and required in paragraph IDENTIFYING SUBMITTALS. Use the submittal transmittal forms to record actions regarding samples.

1.5.2 Identifying Submittals

The Contractor's QC Manager must prepare, review and stamp submittals, including those provided by a subcontractor, before submittal to the Government.

Identify submittals, except sample installations and sample panels, with

the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

a. Project title and location

b. Construction contract number

c. Dates of the drawings and revisions

d. Name, address, and telephone number of Subcontractor, supplier, manufacturer, and any other Subcontractor associated with the submittal.

e. Section number of the specification by which submittal is required

f. Submittal description (SD) number of each component of submittal

g. For a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission

h. Product identification and location in project.

1.5.3 Submittal Format

1.5.3.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.3.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Submit an electronic copy of drawings in PDF format.

1.5.3.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than four inches on the right-hand side of each sheet for the Government disposition stamp.

1.5.3.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.5.3.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.5.3.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.5.3.4 Format of SD-04 Samples

1.5.3.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or

unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.5.3.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.5.3.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.5.3.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.6 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report

pertains.

1.5.3.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.3.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.9 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.5.3.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.4 Source Drawings for Shop Drawings

1.5.4.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of

shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.4.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates, or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5.5 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the DOD SAFE Web Application located at the following website: https://safe.apps.mil/.

1.6 QUANTITY OF SUBMITTALS

1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

1.6.2 Number of SD-04 Samples

a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.7 INFORMATION ONLY SUBMITTALS

Submittals not requiring approval by the Government must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided at the end of this section.

1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Column (f): Lists the approving authority for each submittal. Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.8.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.8.5 Action Codes

1.8.5.1 Government Review Action Codes

"A" - "Approved as submitted"
"AN" - "Approved as noted"
"RR" - "Disapproved as submitted"; "Completed"
"NR" - "Not Reviewed"
"RA" - "Receipt Acknowledged"

1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.9.2 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.9.3 Review Schedule Extension

In addition to the normal submittal review period, a period of 10 working days will be allowed for the Government to consider submittals with variations.

1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. Allow an

additional 10 working days for review and approval of submittals for HVAC control systems.

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 20 working days after the date of submission.

d. Except as specified otherwise, allow a review period, beginning with receipt by the approving authority, that includes at least 20 working days for submittals where the Contracting Officer is the approving authority. The period of review for submittals with Contracting Officer approval begins when the Government receives the submittal from the QC organization.

e. For submittals requiring review by a Government fire protection engineer, allow a review period, beginning when the Government receives the submittal from the QC organization, of 30 working days for return of the submittal to the Contractor.

1.10.1 Reviewing, Certifying, and Approving Authority

The QC Manager is responsible for reviewing all submittals and certifying that they are in compliance with contract requirements. The approving authority on submittals is the Contracting Officer unless otherwise specified.

1.10.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of the work. At the same time, submit components of definable features that are interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, the submittal will be returned without review.

Approval of a separate material, product, or component does not imply

approval of the assembly in which the item functions.

1.10.3 QC Organization Responsibilities

a. Review submittals for conformance with project design concepts and compliance with contract documents.

b. Process submittals based on the approving authority indicated.

(1) When the Contracting Officer is the approving authority or when variation has been proposed, forward the submittal to the Government, along with a certifying statement, or return the submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of the submittal determines the appropriate action.

c. Ensure that material is clearly legible.

d. Stamp each sheet of each submittal with a QC certifying statement, except that data submitted in a bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

(1) When the approving authority is the Contracting Officer, the QC organization will certify submittals forwarded to the Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with Contract Number (_____) is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Certified by QC Manager _____, Date _____"
(Signature)

e. Sign the certifying statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.

f. Update the submittal register as submittal actions occur, and maintain the submittal register at the project site until final acceptance of all work by the Contracting Officer.

g. Retain a copy of approved submittals and approved samples at the project site.

1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received from the QC manager.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance

with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. Three copies of the submittal will be retained by the Contracting Officer and four copies of the submittal will be returned to the Contractor.

1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and certified by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as

a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the QC requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SUBMITTAL REGISTER

CONTRACT NO.
7440851

TITLE AND LOCATION
Replace Chillers and Cooling Towers, Building 4225

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 11 00	SD-01 Preconstruction Submittals															
			Salvage Plan	1.6														
		01 14 00	SD-01 Preconstruction Submittals															
			List of Contact Personnel	1.4.1.1														
		01 20 00	SD-01 Preconstruction Submittals															
			Schedule of Prices	1.3														
		01 30 00	SD-01 Preconstruction Submittals															
			View Location Map	1.3														
			Progress and Completion	1.4														
			Pictures															
		01 31 23.13 20	SD-01 Preconstruction Submittals															
			List of Contractor's Personnel	1.4.2														
		01 32 16.00 20	SD-01 Preconstruction Submittals															
			Baseline Construction Schedule	1.2														
			SD-07 Certificates															
			Monthly Updates	1.4														
		01 33 00	SD-01 Preconstruction Submittals															
			Submittal Register	1.8														
		01 35 26	SD-01 Preconstruction Submittals															
			Accident Prevention Plan (APP)	1.9.1														
			Accident Reports	1.13.2														
			LHE Inspection Reports	1.13.3														
			Monthly Exposure Reports	1.5														
			SD-07 Certificates															
			Activity Hazard Analysis (AHA)	1.10														
			Certificate of Compliance	1.13.4														

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		01 35 26	Contractor Safety Self-Evaluation	1.6														
			Checklist															
			Hot Work Permit	1.14														
			Standard Lift Plan	1.13.4.2														
			Critical Lift Plan	1.13.4.2														
		01 45 00	SD-01 Preconstruction Submittals															
			Contractor Quality Control (CQC)	1.5.2														
			Plan															
			SD-06 Test Reports															
			Verification Statement	1.12.3														
			SD-07 Certificates															
			Certificate Of Readiness	1.6.4.1														
		01 50 00	SD-01 Preconstruction Submittals															
			Construction Site Plan	1.3														
			Traffic Control Plan	3.3.1														
			Haul Road Plan	2.2.1														
			Contractor Computer	1.5.1.4														
			Cybersecurity Compliance															
			Statements															
			Contractor Temporary Network	1.5.6														
			Cybersecurity Compliance															
			Statements															
		01 57 19	SD-01 Preconstruction Submittals															
			Contractor Hazardous Material	3.6.1														
			Inventory Log															
			Preconstruction Survey	1.6.1														

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		01 57 19	Regulatory Notifications	1.6.2														
			Employee Training Records	1.6.4														
			Environmental Protection Plan	1.7														
			Dirt and Dust Control Plan	1.7.9.1														
			Solid Waste Management Permit	1.10														
			Spill Prevention Control And	3.9.2														
			Countermeasure (SPCC) Plan															
			SD-06 Test Reports															
			Monthly Solid Waste Disposal	1.10.1														
			Report															
			Laboratory Analysis	3.5.1.1.2														
			SD-07 Certificates															
			ECATTS Certificate Of	1.4.1.2														
			Completion															
			Employee Training Records	1.6.4														
			SD-11 Closeout Submittals															
			Contractor Hazardous Material	3.6.1														
			Inventory Log															
			Regulatory Notifications	1.6.2														
			Assembled Employee Training	1.6.4														
			Records															
			Solid Waste Management Permit	1.10														
			Waste Determination	3.5.1														
			Documentation															
			Project Solid Waste Disposal	3.5.2.1														
			Documentation Report															

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(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 57 19	Sales Documentation	3.5.2.1														
			Contractor Certification	3.5.2.1														
			Hazardous Waste/Debris	3.5.3.1														
			Management															
			Disposal Documentation for	3.5.3.6														
			Hazardous and Regulated Waste															
		01 74 19	SD-01 Preconstruction Submittals															
			Construction Waste Management	1.6														
			Plan															
			SD-11 Closeout Submittals															
			Final Construction Waste	1.8	S													
			Diversion Report															
		01 78 00	SD-03 Product Data															
			Warranty Management Plan	1.6.1														
			Warranty Tags	1.6.4														
			Final Cleaning	3.6														
			Spare Parts Data	1.5														
			SD-08 Manufacturer's Instructions															
			Instructions	1.6.1														
			SD-10 Operation and Maintenance															
			Data															
			Operation and Maintenance	3.3														
			Manuals															
			SD-11 Closeout Submittals															
			As-Built Drawings	3.1														
			Record Drawings	3.2														

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		01 78 00	Warranted Equipment and Materials	1.6.1														
			Interim DD FORM 1354	3.7.1														
			List Of Installed Building Equipment Items For DD Form 1354	3.7.2														
			Final Approved Shop Drawings	3.4														
			Final Approved Submittals	3.5														
		01 78 23	SD-10 Operation and Maintenance Data															
			Facility Data Workbook	1.4														
			Training Plan	3.1.1														
			Training Outline	3.1.3														
			Training Content	3.1.2														
			Operation And Maintenance Manual, Progress Submittal	3.2.1														
			Operation And Maintenance Manual, Prefinal Submittal	3.2.2														
			Operation And Maintenance Manual, Final Submittal	3.2.3														
			SD-11 Closeout Submittals															
			Training Video Recording	3.1.4														
			Validation of Training Completion	3.1.6														
			Training Plan	3.1.1														
			Record Drawings And Utility Systems	1.6.6.7														

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		01 91 00.15	SD-06 Test Reports															
			Completed Construction	3.5														
			Observation Checklists															
			SD-10 Operation and Maintenance															
			Data															
			Systems Manual	3.8														
		02 41 00	SD-01 Preconstruction Submittals															
			Demolition Plan	1.2.2	G													
			Existing Conditions	1.9														
			SD-07 Certificates															
			Notification	1.7	G													
			SD-11 Closeout Submittals															
			Receipts	3.3.3														
		02 83 00	SD-01 Preconstruction Submittals															
			Competent Person	1.5.1.1	G													
			Training Certification	1.5.1.2	G													
			Occupational and Environmental	1.5.2.3	G													
			Assessment Data Report															
			Medical Examinations	1.5.2.4	G													
			Lead Waste Management Plan	1.5.2.8	G													
			Licenses, Permits and	1.5.3	G													
			Notifications															
			Occupant Protection Plan	1.5.4	G													
			Lead Compliance Plan	1.5.2.2	G													
			Written Evidence of TSD	3.5.2.1	G													
			Approval															

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(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		02 83 00	SD-03 Product Data															
			Respirators	1.6.1	G													
			Vacuum Filters	1.6.4	G													
			Negative Air Pressure System	1.6.7	G													
			Materials and Equipment	2.1	G													
			Expendable Supplies	2.1.1	G													
			Local Exhaust Equipment	3.1.1.5	G													
			Pressure Differential Automatic	3.1.1.5	G													
			Recording Instrument															
			Pressure Differential Log	3.1.1.6	G													
			SD-06 Test Reports															
			Occupational and Environmental	1.5.2.3	G													
			Assessment Data Report															
			Sampling Results	1.5.2.3	G													
			SD-07 Certificates															
			Testing Laboratory	1.5.1.3	G													
			Occupant Notification	3.1.1.1	G													
			Clearance Certification	3.5.1.1	G													
			SD-11 Closeout Submittals															
			Hazardous Waste Manifest	3.5.2.1	G													
		03 30 00	SD-01 Preconstruction Submittals															
			Quality Control Program	1.6.5	G													
			Quality Control Personnel	1.6.6	G													
			Certifications															
			Quality Control Organizational	1.6.6														
			Chart															

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(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		03 30 00	Laboratory Accreditation	1.6.8	G													
			Maturity Method Data	3.3.8														
			SD-02 Shop Drawings															
			Reinforcing Steel	1.6.2.1	G													
			SD-03 Product Data															
			Joint Sealants	2.4.3	S													
			Formwork Materials	2.1														
			Cementitious Materials	2.3.1	S													
			Concrete Curing Materials	2.4.1														
			Reinforcement	2.6	S													
			Admixtures	2.3.4														
			Pumping Concrete	1.6.3.1														
			Nonshrink Grout	2.4.2														
			SD-05 Design Data															
			Construction and Movement	2.2.3	G													
			Joints Locations and Details															
			Concrete Mix Design	1.6.1.1	G													
			SD-06 Test Reports															
			Concrete Mix Design	1.6.1.1	G													
			Fly Ash	1.6.4.1	G													
			Pozzolan	1.6.4.1	G													
			Aggregates	1.6.4.2	G													
			Portland Cement	2.3.1.1	G													
			Compressive Strength Tests	3.10.3.3	G													
			Chloride Ion Concentration	3.10.3.5														
			Air Content	3.10.3.4														

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		03 30 00	Slump Tests	3.10.3.1														
			SD-07 Certificates															
			Reinforcing Bars	2.6.1														
			Safety Data Sheets	1.6.3.2														
			Field Testing Technician and	1.6.6.2														
			Testing Agency															
			SD-08 Manufacturer's Instructions															
			Joint Sealants	2.4.3	S													
			Curing Compound	2.4.1														
		05 05 20	SD-01 Preconstruction Submittals															
			Installer Qualifications	1.5.1.1	G													
			SD-03 Product Data															
			Mechanical Anchors in Concrete	2.1.1.3	G													
			Adhesive Anchor System in	2.1.1.4	G													
			Concrete															
			Mechanical and Adhesive	2.1.1.5	G													
			Anchors in Masonry															
			Non-Shrink, Non-Metallic Grout	3.1.3	G													
			SD-07 Certificates															
			Post-Installed Anchor	2.1.1.1	G													
			Certification															
			SD-08 Manufacturer's Instructions															
			Manufacturer's Printed	2.1.1.2	G													
			Installation Instructions															
			Non-Shrink, Non-Metallic Grout	3.1.3	G													

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		05 05 20	Manufacturer's Material Safety	1.5														
			Data Sheets															
		05 12 00	SD-01 Preconstruction Submittals															
			Erection and Erection Bracing	1.3.1.1	G													
			Drawings															
			SD-02 Shop Drawings															
			Fabrication Drawings	1.3.2	G													
			SD-03 Product Data															
			Welding Electrodes and Rods	2.4.1														
			Non-Shrink Grout	2.4.2														
			SD-05 Design Data															
			Design Calculations for Steel	1.3.3	G													
			Connections															
			SD-06 Test Reports															
			Bolts, Nuts, and Washers	2.3														
			Weld Inspection Reports	3.7.1.2														
			Bolt Testing Reports	3.7.2.1														
			SD-07 Certificates															
			Steel	2.2														
			Bolts, Nuts, and Washers	2.3														
			Galvanizing	2.5														
			Welding Procedures and	1.3.4.1														
			Qualifications															
			Welding Electrodes and Rods	2.4.1														
			Certified Welding Inspector	3.7.1.1														
			NDT Technician	3.7.1.2														

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		05 12 00	Welding Procedure Specifications	3.4														
			(WPS)															
		09 90 00	SD-03 Product Data															
			Coating	2.1	G													
			Product Data Sheets	2.1														
			SD-04 Samples															
			Color	2.2	G													
			SD-07 Certificates															
			Qualification Testing	1.6.5.2	G													
			Indoor Air Quality for Paints and	1.6.4														
			Primers															
			Indoor Air Quality for	1.6.4														
			Consolidated Latex Paints															
			SD-08 Manufacturer's Instructions															
			Application Instructions	3.2.1														
			Mixing	2.1														
			SD-10 Operation and Maintenance															
			Data															
			Coatings	2.1	G													
		23 03 00	SD-10 Operation and Maintenance															
			Data															
			Certified List Of Qualified	1.3.3														
			Permanent Service Organizations															
		23 05 93	SD-01 Preconstruction Submittals															
			TAB Firm And Personnel	1.6	G													
			Qualifications															

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		23 05 93	Design Review Report	1.9	G													
			TAB Pre-Field Engineering Plan	1.10	G													
			SD-06 Test Reports															
			Certified TAB Report of	3.1.7	G													
			Proportional Balancing															
		23 07 00	SD-02 Shop Drawings															
			MICA Plates	3.2.1.3.1	G													
			Pipe Insulation Systems	2.3														
			Equipment Insulation Systems	3.3														
			Recycled content for insulation	2.2.1	S													
			materials															
			SD-03 Product Data															
			Pipe Insulation Systems	2.3	G													
			Equipment Insulation Systems	3.3	G													
			SD-04 Samples															
			Thermal Insulation	2.2.2.2	G													
			SD-07 Certificates															
			Indoor air quality for adhesives	2.2.2	S													
			SD-08 Manufacturer's Instructions															
			Pipe Insulation Systems	2.3	G													
			Equipment Insulation Systems	3.3	G													
		23 08 00	SD-03 Product Data															
			Test Equipment	2.1	G													
			SD-06 Test Reports															
			Pipe Flushing, Testing, And	1.5	G													
			Water Treatment Reports															

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		23 08 00	Completed Pre-Functional	3.3	G													
			Checklists															
			Full-Load Test Report	3.10	G													
			SD-07 Certificates															
			Certificate Of Readiness	1.6	G													
		23 09 00	SD-02 Shop Drawings															
			DDC Contractor Design Drawings	3.2	G													
			Draft As-Built Drawings	3.2	G													
			Final As-Built Drawings	3.2	G													
			SD-03 Product Data															
			Programming Software	1.8.3	G													
			Controller Application Programs	1.8.4	G													
			Configuration Software	1.8.1	G													
			Controller Configuration Settings	1.8.2	G													
			Manufacturer's Product Data	2.2	G													
			SD-05 Design Data															
			Boiler Or Chiller Plant Gateway	1.9														
			Request															
			SD-06 Test Reports															
			Pre-Construction Quality Control	1.10.1	G													
			(QC) Checklist															
			Post-Construction Quality Control	1.10.2	G													
			(QC) Checklist															
			Start-Up Testing Report	3.4.2	G													
			Control Contractor s Performance	3.5.5	G													
			Verification Testing Plan															

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		23 09 00	Equipment Supplier s	3.5.3.1	G													
			Performance Verification Testing															
			Plan															
			Endurance Testing Results	3.5.8.3	G													
			Performance Verification Test	3.5.9	G													
			Report															
			SD-10 Operation and Maintenance															
			Data															
			Operation and Maintenance	3.6	G													
			(O&M) Instructions															
			Training Documentation	3.8.1	G													
			SD-11 Closeout Submittals															
			Enclosure Keys	2.5	G													
			Password Summary Report	3.1.6.1	G													
			Closeout Quality Control (QC)	1.10.3	G													
			Checklist															
		23 21 23	SD-03 Product Data															
			Base-Mounted, Flexible Coupled,	2.3.1	G													
			End suction Pumps															
			Equipment Data	2.2.5	G													
			Installation Instructions	2.2.5	G													
			Training Period	3.5.2	G													
			Framed Instructions	2.2.2	G													
			SD-06 Test Reports															
			Field Quality Control	3.3														
			Pump Motor/Alignment Report	3.5.3	G													

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		23 21 23	SD-07 Certificates															
			Manufacturer's Representative	1.3.1														
			SD-10 Operation and Maintenance															
			Data															
			Operation and Maintenance	3.5.1	G													
			Manuals															
			Training	3.5.2	G													
		23 25 00	SD-03 Product Data															
			Spare Parts	1.3														
			Field Instructions	3.4.1														
			Tests	3.3.1	G													
			Training Course	3.4.1	G													
		23 64 10	SD-03 Product Data															
			Verification of Dimensions	1.6.1														
			System Performance Tests	3.5														
			Demonstrations	3.6														
			Refrigerant	2.5.1														
			Water Chiller - Field Acceptance	3.4.1														
			Test Plan															
			Rotary Screw Type Water	2.4.1														
			Chillers															
			SD-06 Test Reports															
			Field Acceptance Testing	3.4														
			Water Chiller - Field Acceptance	3.4.2														
			Test Report															
			System Performance Tests	3.5														

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		23 64 10	SD-07 Certificates															
			Refrigeration System	3.1.8	G													
			Refrigeration Technician	1.3.1														
			Certification															
			SD-08 Manufacturer's Instructions															
			Water Chiller - Installation	3.1	G													
			Instructions															
			SD-10 Operation and Maintenance															
			Data															
			Operation and Maintenance	3.6	G													
			Manuals															
			Training Course Schedule	3.6	G													
			SD-11 Closeout Submittals															
			Indoor Air Quality During	3.3	S													
			Construction															
		23 64 26	SD-03 Product Data															
			Calibrated Balancing Valves	2.4.8	G													
			Pressure Relief Valve	2.4.9														
			Combination Strainer and Pump	2.5.3														
			Suction Diffuser															
			Expansion Tanks	2.7														
			Air Separator Tanks	2.8														
			SD-06 Test Reports															
			Pressure Tests Reports	3.5.2	G													
			SD-07 Certificates															

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		23 64 26	Employer's Record Documents	1.5.1														
			(For Welding)															
			Welding Procedures and	1.5.2														
			Qualifications															
			SD-08 Manufacturer's Instructions															
			Lesson Plan for the Instruction	3.6	G													
			Course															
			SD-10 Operation and Maintenance															
			Data															
			Calibrated Balancing Valves	2.4.8	G													
			Pressure Relief Valve	2.4.9	G													
			Expansion Tanks	2.7	G													
			Air Separator Tanks	2.8	G													
			Spare Parts Data	1.7.1	G													
			Qualified Service Organizations	1.7.2	G													
		25 05 11	SD-01 Preconstruction Submittals															
			Device Account Lock Exception	3.3.2	G													
			Request															
			Contractor Computer	1.9.1.6	G													
			Cybersecurity Compliance															
			Statements															
			Contractor Temporary Network	1.9.6	G													
			Cybersecurity Compliance															
			Statements															
			Cybersecurity Interconnection	1.7.2	G													
			Schedule															

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		25 05 11	Proposed STIG and SRG	1.7.1	G													
			Applicability Report															
			SD-02 Shop Drawings															
			Network Communication Report	1.7.3	G													
			Cybersecurity Riser Diagram	1.7.6	G													
			SD-03 Product Data															
			Control System Cybersecurity	1.7.8	G													
			Documentation															
			SD-06 Test Reports															
			Control System Cybersecurity	3.13.1	G													
			Testing Procedures															
			Control System Cybersecurity	3.13.3	G													
			Testing Report															
			SD-07 Certificates															
			Software Licenses	1.8	G													
			SD-11 Closeout Submittals															
			Confidential Password Report	3.4.5.5	G													
			Enclosure Keys	3.3.5	G													
			Software and Configuration	1.7.5	G													
			Backups															
			Auditing Front End Software	3.5.3	G													
			Device Audit Record Upload	3.5.4.1	G													
			Software															
			System Maintenance Tool	3.9	G													
			Software															
			Control System Scanning Tools	3.11.2	G													

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		25 05 11	STIG, SRG and Vendor Guide	1.7.7	G													
			Compliance Result Report															
			Control System Inventory Report	1.7.4	G													
		26 20 00	SD-03 Product Data															
			Receptacles	2.10	G													
			Circuit Breakers	2.11.1	G													
			Switches	2.8	G													
			Motor Controllers	2.13	G													
			SD-06 Test Reports															
			600-volt Wiring Test	3.4.2	G													
			Ground-fault Receptacle Test	3.4.3	G													
			SD-07 Certificates															
			Fuses	2.9	G													
			SD-10 Operation and Maintenance															
			Data															
			Electrical Systems	1.6.1	G													
		26 29 23	SD-02 Shop Drawings															
			Schematic Diagrams	1.5.1	G													
			Interconnecting Diagrams	1.5.2	G													
			Installation Drawings	1.5.3	G													
			As-Built Drawings	1.5.3	G													
			SD-03 Product Data															
			Adjustable Speed Drives	2.1	G													
			Wires and Cables including VFD															
			rated cable.															
			Equipment Schedule	1.5.4														

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		26 29 23	SD-06 Test Reports															
			ASD Test	3.3.1														
			Performance Verification Tests	3.3.2														
			Endurance Test	3.3.3														
			SD-07 Certificates															
			Testing Agency's Field	3.3.1	G													
			Supervisor															
			SD-08 Manufacturer's Instructions															
			Installation instructions	1.5.5														
			SD-09 Manufacturer's Field															
			Reports															
			ASD Test Plan	2.5.1	G													
			Standard Products	1.5.6														
			SD-10 Operation and Maintenance															
			Data															
			Adjustable Speed Drives	2.1														
		32 31 13.53	SD-02 Shop Drawings															
			Fence Installation Drawings	3.2.1	G													
			SD-03 Product Data															
			Fabric	2.1.1.1														
			Posts	2.1.2														
			Post Caps	2.1.2.2														
			Chain Link Braces	2.1.3														
			Rails	2.1.3														
			Tension Wire	2.1.2.2														
			Barbed Wire	2.2.1.2														

SUBMITTAL REGISTER

CONTRACT NO. 7440851

TITLE AND LOCATION: Replace Chillers and Cooling Towers, Building 4225

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		32 31 13.53	Barbed Wire Supporting Arms	2.1.2.2														
			Latches	2.1.4.3														
			Hinges	2.1.4.3														
			Turnstiles	2.1.5														
			Padlocks	2.1.6														
			Wire Ties	2.2.1.1														
			Swing Gates															
			Fence Fabric Reinforcement	2.3														
			SD-07 Certificates															
			Chain Link Fence	2.1.2.1														
			Fabric	2.1.1.1														
			Barbed Wire	2.2.1.2														
			Gate Hardware and Accessories	3.2.1														
			Concrete	2.2.3														

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
05/24, CHG 1: 05/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ANSI/ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ANSI/ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations

ASTM INTERNATIONAL (ASTM)

ASTM F855	(2020) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines
IEEE C2	(2023) National Electrical Safety Code

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)

ANSI/ISEA Z89.1	(2014; R 2019) American National Standard for Industrial Head Protection

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA Z535.2	(2011; R 2017) Environmental and Facility Safety Signs

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 51B	(2024) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition

Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1926	Safety and Health Regulations for Construction
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

The following definitions are for the convenience of the reader. If there is a referenced document in the text of this specification section, that is the document that should define terms for that paragraph. If further clarification is needed, contact the Contracting Officer.

1.2.1 Site Safety and Health Officer (SSHO)

A Contractor Employee that is responsible for overseeing and ensuring implementation of the prime Contractor's Safety and Occupational Health (SOH) program according to the Contract, EM 385-1-1, applicable federal, state, and local requirements.

1.2.1.1 Level One SSHO

A designated employee with full-time SOH responsibility that meets and follows the requirements of EM 385-1-1.

1.2.1.2 Level Two SSHO

A designated employee with Level Two SSHO responsibility that meets and follows the requirements of EM 385-1-1. Level Two SSHOs cannot be assigned to projects that have a residual Risk Assessment Code (RAC) of high or extremely high.

1.2.1.3 Level Three SSHO

A designated Qualified Person or Competent Person with SOH responsibility that meets and follows the requirements of EM 385-1-1. Level 3 SSHOs cannot be assigned to projects that have a residual RAC of high or extremely high.

1.2.1.4 Alternate SSHO

An employee that meets the definition of the contract-required level SSHO, but is not the primary SSHO.

1.2.2 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge, and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are unsanitary, hazardous, or dangerous to personnel, and who has authorization to take prompt corrective measures to eliminate them.

1.2.3 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.3 SUBMITTALS

Government Acceptance or Approval does not remove responsibility from the Contractors for their actions or liability.

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

- Accident Prevention Plan (APP)
- Accident Reports
- LHE Inspection Reports
- Monthly Exposure Reports

SD-07 Certificates

- Activity Hazard Analysis (AHA)
- Certificate of Compliance
- Contractor Safety Self-Evaluation Checklist
- Hot Work Permit
- Standard Lift Plan
- Critical Lift Plan

1.4 PUBLIC HEALTH EMERGENCIES

In the event of a declared public health emergency, follow safety precautions as required by the Occupational Safety and Health Administration (OSHA) www.osha.gov, the Centers for Disease Control and Prevention (CDC) www.cdc.gov, and as required by federal, state, and local requirements.

1.5 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report by the fifth day of each month for the previous month. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the progress payment.

1.6 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

Contracting Officer will provide a "Contractor Safety Self-Evaluation Checklist" to the Contractor at the preconstruction conference. Complete the checklist monthly and submit with each request for payment voucher. This submission is required monthly even when a payment voucher is not requested. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher. The Contractor Safety Self-Evaluation Checklist can be found on the Whole Building Design Guide website at www.wbdg.org/dod/ufgs/ufgs-01-35-26

1.7 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and all applicable federal, state, and local laws, ordinances, criteria, rules, and regulations at the date of the Solicitation for this Contract. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.7.1 Subcontractor Safety Requirements

For this Contract, neither Contractor nor any subcontractor may enter into Contract with any subcontractor that fails to meet the following requirements. The term subcontractor in this and the following paragraphs means any entity holding a Contract with the Contractor or with a subcontractor at any tier.

1.7.1.1 Experience Modification Rate (EMR)

Subcontractors on this Contract must have an effective EMR less than or equal to 1.10, as computed by the National Council on Compensation Insurance (NCCI) or if not available, as computed by the state agency's rating bureau in the state where the subcontractor is registered, when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable EMR range cannot be achieved. Relaxation of the EMR range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's SSHO must collect and maintain the certified EMR ratings for all subcontractors on the project and make them available to the Government at the Government's request.

1.7.1.2 OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate

Subcontractors on this Contract must have a DART rate, calculated from the most recent, complete calendar year, less than or equal to 3.4 when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The OSHA Dart Rate is calculated using the following formula:

$$(N/EH) \times 200{,}000$$

Where:

N = number of injuries and illnesses with days away, restricted work, or job transfer

EH = total hours worked by all employees during most recent, complete calendar year

200,000 = base for 100 full-time equivalent workers (working 40-hours per week, 50 weeks per year)

The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable OSHA Dart rate range cannot be achieved for a particular subcontractor. Relaxation of the OSHA DART rate range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's SSHO must collect and maintain self-certified OSHA DART rates for all subcontractors on the project and make them available to the Government at the Government's request.

1.8 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.8.1 Site Safety and Health Officer (SSHO)

1.8.1.1 Qualifications of SSHO

All SSHOs will have met the training and experience requirements identified in the EM 385-1-1 and this Contract.

1.8.1.2 Duties of SSHO

All SSHOs will carry out the roles and responsibilities as identified in this Contract and the EM 385-1-1. All SSHOs will be designated on an ENG Form 6282, provided by the Contracting Officer. Superintendent, Quality Control (QC) Manager, and SSHO are subject to dismissal if their required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.8.1.3 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, or foremen must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.8.2 Roles and Responsibilities of Prime Contractor and SSHO

The Prime Contractor and SSHO must ensure that the requirements of all

applicable OSHA and EM 385-1-1 are met for the project. The Prime Contractor must ensure an SSHO or an equally qualified Alternate SSHO(s) is at the worksite at all times to implement and administer the Contractor's safety program and Government accepted Accident Prevention Plan. If the required SSHO has to temporarily (that is, up to 24 hours/1 day) leave the site of work due to unforeseen or emergency situations, a Level One, Two, or Three SSHO may be used in the interim and must be on the site of work at all times when work is being performed.

If the SSHO must be off-site for a period longer than 24 hours/1 day, a qualified alternate that meets the contract requirements must be onsite.

a. Prime contractor must ensure all SSHOs will:

(1) Are designated on an ENG Form 6282.

(2) Meet minimum training and experience requirements identified in EM 385-1-1.

(3) Execute roles and responsibilities identified in EM 385-1-1.

1.8.3 Additional Requirements

The Level Two SSHO may also serve as the Quality Control Manager. The Level Two SSHO must not serve as the Superintendent.

1.8.4 Competent Person for Confined Space Entry

Provide a CP for Confined Space Entry who meets the requirements of EM 385-1-1 and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1.

1.8.5 Qualified Trainer Requirements

Individuals qualified to instruct the 40-hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer as defined in the EM 385-1-1, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1; Electrical Standards; Lockout/Tagout; Fall Protection; Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics; and Scaffolds in accordance with 29 CFR 1926.

Instructors are required to:

a. Prepare class presentations that cover construction-related safety requirements.

b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least 5 years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.

c. Update training course materials whenever an update of the EM 385-1-1 becomes available.

d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.

e. Request, review, and incorporate student feedback into a continuous course improvement program.

1.8.6 Preconstruction Conference

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, SSHO, QC manager, or any other assigned safety and health professionals who participated in the development of the APP (including the AHAs and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures, and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays. The creation of the APP and Schedule will be created after being given Notice to Proceed.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.9 ACCIDENT PREVENTION PLAN (APP)

1.9.1 Accident Prevention Plan (APP)

Submit the APP for review and acceptance by the Government at least 15 calendar days prior to the start, after being given Notice to Proceed. A competent person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, ENG Form 6293, and herein. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall SOH program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling employer" for all worksite safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed in accordance with the APP and ENG Form 6293 APP Worksheet. The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer within 30 calendar days of Contract award and not less than 10 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO, and QC Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e., imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ANSI/ASSP A10.34), and the environment.

1.9.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience, and certifications) of site safety and health personnel designated to perform work on this project to include the designated SSHO and other competent and qualified personnel to be used. Specify the duties of each position.

b. As a minimum, designate and submit qualifications of Competent Persons (CP) for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance. Designate and submit qualifications for additional CPs as applicable to the work performed under this Contract.

1.9.3 Plans

Provide plans in the APP in accordance with the requirements outlined in EM 385-1-1, including the following:

1.9.3.1 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with Section 02 41 00 DEMOLITION and referenced sources. Include engineering survey as applicable.

1.10 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task, or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor,

subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager, and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel, and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

1.10.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.10.2 AHA Signature Log

Each employee performing work as part of an activity, task, or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees, whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.11 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.12 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. The Government has no responsibility to provide emergency medical treatment.

1.13 NOTIFICATIONS AND REPORTS

1.13.1 Accident Notification

Notify the Contracting Officer in accordance with the EM 385-1-1 Accident Reporting Timeline.

Table Accident Reporting Required Timeline		
Accident Type	**Notify KO or COR**	**Complete Final Accident Report on ENG 3394 and provide to KO or COR**
Fatality, in-patient hospitalization, amputation, eye loss, or property damage over $600,000.	Immediately, no later than (NLT) 8 Hours	Within 7 Days
All other accidents and near misses	Immediately, no later than (NLT) 24 Hours	Within 7 Days

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation, or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any accident or near miss.

1.13.2 Accident Reports

a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Complete and submit an accident investigation report in ESAMS within 7 days for accidents as defined by EM 385-1-1. Complete the investigation report within 30 days. Accidents must include a written report submitted as an attachment in ESAMS using the following outline:

(1) Summary description to include:

(a) process

(b) findings

(c) outcomes

(2) Root Cause

(3) Direct Factors

(4) Indirect and Contributing Factors

(5) Corrective Actions

(6) Recommendations

All accidents are reportable, regardless of whether or not it is recordable.

a. Near Misses: For Navy Projects, complete the applicable documentation in NAVFAC CIRS, and electronically submit via the NAVFAC ESAMS. Near miss reports are considered positive and proactive Contractor safety management actions.

b. Conduct an accident investigation for any Load Handling Equipment (LHE) accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

1.13.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.13.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

1.13.4.1 Certificate of Compliance

Provide a Certificate of Compliance for LHE utilized to perform work under this Contract in accordance with Section 16-7 of the EM 385-1-1. Post completed certificate on the crane.

1.13.4.2 Pre-Lift Plans

Develop either a Standard Lift Plan (SLP) or Critical Lift Plan (CLP) as required in accordance with EM 385-1-1. Utilize the Standard Lift Plan ENG Form 6203 for routine crane lifts and use the Critical Lift Plan ENR Form 6213 for non-routine crane lifts requiring more detailed planning and additional safety precautions, as defined by Section 16-2j of the EM 385-1-1. Submit lift plans to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.14 HOT WORK PERMIT

1.14.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e., welding or cutting) or operating other flame-producing/spark-producing devices, from the MCAS Cherry Point Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. Contractors are required to meet all criteria before a permit is issued. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of 1 hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency phone number (911). Report any fire, no matter how small, to the MCAS Cherry Point Fire Department immediately.

1.14.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist or Certified Industrial Hygienist for "Hot Work" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1.

1.15 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with EM 385-1-1, 29 CFR 1926, 29 CFR 1910, and Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

1.15.1 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

PART 2 PRODUCTS

2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers for new permit-required confined spaces. Signs for confined spaces must comply with NEMA Z535.2. Provide signs with wording: "DANGER--PERMIT-REQUIRED CONFINED SPACE, DO NOT ENTER" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" must be red and readable from 5 feet.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Head Protection that meets ANSI/ISEA Z89.1
b. Long Pants
c. Appropriate Safety Footwear
d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones, or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this Contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

3.1.3 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the RSO prior to excepted items of radioactive material and devices being brought on base.

3.1.4 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, friable and non-friable asbestos, and other OSHA regulated chemicals (i.e., 29 CFR

Part 1910.1000). If material(s) that can be hazardous to human health upon disturbance are encountered during demolition, repair, renovation, or construction operations, stop portions of work that would disturb the material and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 15 days in advance and in sufficient time as to not result in impacts or delays to the project schedule. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1. In accordance with EM 385-1-1, where outages involve Government Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of Hazardous Energy Control Program (HECP) and HEC procedures, as well as applicable AHAs. In accordance with EM 385-1-1 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Installation Utilities representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1, 29 CFR 1910, 29 CFR 1915, ANSI/ASSP A10.44, and NFPA 70E.

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government Utility

For electrical distribution equipment that is to be operated by Government Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's

Representative, and required by EM 385-1-1. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care, and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with EM 385-1-1.

3.5.1 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention (FP&P) Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 and 29 CFR 1926.

3.5.1.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are

required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.1.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion) that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1.

3.6 USE OF EXPLOSIVES

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.7 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1.

3.7.1 Electrical Work

As described in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety footwear, insulating gloves, and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.

3.7.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National, and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State and Local requirements applicable to where work is being performed.

3.7.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.7.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous, and effective path to ground unless otherwise noted by EM 385-1-1.

3.7.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested, and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification, and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
05/24

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)
2311 Wilson Blvd, Suite 400
Arlington, VA 22201
Ph: 703-524-8800
Internet: http://www.ahrinet.org

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)
555 12th Street NW, Suite 1000
Washington, DC 20004
Ph: 202-624-5800
Fax: 202-624-5806
E-Mail: info@aashto.org
Internet: https://www.transportation.org/

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)
1001 N. Fairfax Street Suite 500
Alexandria, VA 22314
Ph: 703-842-0030
E-mail: info@americanbearings.org
Internet: https://www.americanbearings.org/

AMERICAN CONCRETE INSTITUTE (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331-3439
Ph: 248-848-3800
Fax: 248-848-3701
Internet: https://www.concrete.org/

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)
3640 Park 42 Drive
Cincinnati, OH 45241
Ph: 513-742-2020

Fax: 513-742-3355
Email: customerservice@acgih.org
Internet: https://www.acgih.org/

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
130 East Randolph, Suite 2000
Chicago, IL 60601
Ph: 312-670-2400
Fax: 312-670-5403
Steel Solutions Center: 866-275-2472
E-mail: solutions@aisc.org
Internet: https://www.aisc.org/

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)
1899 L Street, NW,11th Floor
Washington, DC 20036
Ph: 202-293-8020
Fax: 202-293-9287
E-mail: info@ansi.org
Internet: https://www.ansi.org/

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)
1201 Dublin Road Suite G04

Columbus, OH 43215-1045
Ph: 800-222-2768 or 614-274-6003

E-mail: customersupport@asnt.org
Internet: https://www.asnt.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
180 Technology Parkway NW
Peachtree Corners, GA 30092
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)
Two Park Avenue
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: https://www.asme.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130
Miami, FL 33166-6672
Ph: 800-443-9353
Email: customercare@aws.org

Internet: https://www.aws.org/

ASSOCIATED AIR BALANCE COUNCIL (AABC)
1015 18th St. NW Suite 603
Washington, DC 20036
Ph: 202-737-0202
Fax: 202-315-0285
E-mail: info@aabc.com
Internet: https://www.aabc.com/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

BACNET TESTING LABORATORIES (BTL)
BACnet Testing Laboratories
2900 Delk Road
Suite 700, PMB 321
Marietta, GA 30067
Ph: 770-971-6003
Fax: 678-229-2777

Internet: https://www.btl.org/

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)
PO Box 997377, MS 0500
Sacramento, CA 95899-7377
Ph: 916-558-1784
Internet: https://www.cdph.ca.gov/

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)
1600 Clifton Road
Atlanta, GA 30329-4027
Ph: 800-232-4636
TTY: 888-232-6348
Internet: https://www.cdc.gov

COMPOSITE PANEL ASSOCIATION (CPA)
19465 Deerfield Avenue, Suite 306
Leesburg, VA 20176
Ph: 703-724-1128
Fax: 703-724-1588
Internet: https://www.compositepanel.org/

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)
933 North Plum Grove Road
Schaumburg, IL 60173-4758
Ph: 847-517-1200
Email: crsi@crsi.org
Fax: 847-517-1206
Internet: http://www.crsi.org/

EUROPEAN COMMITTEE FOR STANDARDIZATION (CEN/CENELEC)
CEN-CENELEC Management Centre

Rue de la Science 23
B - 1040 Brussels, Belgium
Ph: 32-2-550-08-11
Fax: 32-2-550-08-19
Internet: https://www.cencenelec.eu/

FLUID CONTROLS INSTITUTE (FCI)
1300 Sumner Avenue
Cleveland, OH 44115
Ph: 216-241-7333
Fax: 216-241-0105
E-mail: fci@fluidcontrolsinstitute.org
Internet: https://fluidcontrolsinstitute.org/

FM GLOBAL (FM)
270 Central Avenue
Johnston, RI 02919-4949
Ph: 401-275-3000
Fax: 401-275-3029
Internet: https://www.fmglobal.com/

GREEN SEAL (GS)
601 13th St NW 12th Floor
Washington, DC 20005
Ph: 202-872-6400
Fax: 202-872-4324
E-mail: greenseal@greenseal.org
Internet: https://www.greenseal.org/

HYDRAULIC INSTITUTE (HI)
300 Interpace Parkway
Parsippany, NJ 07054-4405
Ph: 973-267-9700
Fax: 973-267-9055
Internet: http://www.pumps.org

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)
3050 Old Centre Ave. Suite 101
Portage, MI 49024
Ph: 269-488-6382 or 1-888-300-6382
Fax: 269-488-6383
Internet: https://www.netaworld.org/

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)
3, rue de Varembe, 1st floor
P.O. Box 131
CH-1211 Geneva 20, Switzerland
Ph: 41-22-919-02-11
Fax: 41-22-919-03-00
E-mail: info@iec.ch
Internet: https://www.iec.ch/

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)
1101 Wilson Blvd, Suite 1425
Arlington, VA 22209-1762
Ph: 703-525-1695
Fax: 703-528-2148
Internet: https://safetyequipment.org/

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)
127 Park Street, NE
Vienna, VA 22180-4602
Ph: 703-281-6613
E-mail: info@msshq.org
Internet: http://msshq.org

MASTER PAINTERS INSTITUTE (MPI)
2800 Ingleton Avenue
Burnaby, BC CANADA V5C 6G7
Ph: 1-888-674-8937
Fax: 1-888-211-8708
E-mail: info@paintinfo.com or techservices@mpi.net
Internet: http://www.mpi.net/

MCAS CHERRY POINT
PSC Box 8003
Cherry Point, NC 28533-0003
Ph: 252-466-2840
E-mail: CHPT.MCAS.Adjutant.OMB@usmc.mil
Internet:
https://www.cherrypoint.marines.mil/Staff/Station-Adjutant

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)
7250 Poe Avenue, Suite 410
Dayton, OH 45414
Ph: 888-294-0084
Fax: 937-278-0317
Email: info@micainsulation.org
Internet: https://www.micainsulation.org/

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)
1201 Pennsylvania Ave. NW, Suite 1200
Washington, DC 20004
Ph: 202-991-6300
Fax: 202-217-4171
Internet: https://www.necanet.org/

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Email: communications@nema.org
Internet: https://www.nema.org

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)
8575 Grovemont Circle
Gaithersburg, MD 20877
Ph: 301-977-3698
Fax: 301-977-9589

Internet: http://www.nebb.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)
100 Bureau Drive
Gaithersburg, MD 20899
Ph: 301-975-2000
Internet: https://www.nist.gov/

NORTH CAROLINA DEPARTMENT OF ENVIRONMENTAL QUALITY
217 West Jones Street
Raleigh, NC 27603
Ph: 877-623-6748
Internet:

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)
2000 Powell Street, Suite 600
Emeryville, CA 94608
Ph: 510-452-8000
Fax: 510-452-8001
E-mail: info@SCSglobalservices.com
Internet: https://www.scsglobalservices.com/

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)
4201 Lafayette Center Drive
Chantilly, VA 20151-1219
Ph: 703-803-2980
Fax: 703-803-3732
Internet: https://www.smacna.org/

SOCIETY FOR PROTECTIVE COATINGS (SSPC)
800 Trumbull Drive
Pittsburgh, PA 15205
Ph: 877-281-7772 or 412-281-2331
Fax: 412-444-3591
E-mail: customerservice@sspc.org
Internet: http://www.sspc.org

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)
21865 Copley Drive
Diamond Bar, CA 91765
Ph: 909-396-2000
E-mail: webinquiry@aqmd.gov
Internet: http://www.aqmd.gov

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)
1320 North Courthouse Rosd, Suite 200
Arlington, VA 22201
Ph: 703-907-7700
Fax: 703-907-7727
E-mail: marketing@tiaonline.org

Internet: https://www.tiaonline.org/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEFENSE LOGISTICS AGENCY (DLA)
Andrew T. McNamara Building
8725 John J. Kingman Road
Fort Belvoir, VA 22060-6221
Ph: 877-352-2255
E-mail: dlacontactcenter@dla.mil
Internet: http://www.dla.mil

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)
Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)
HUD User
P.O. Box 23268
Washington, DC 20026-3268
Ph: 800-245-2691 or 202-708-3178
TDD: 800-927-7589
Fax: 202-708-9981
E-mail: helpdesk@huduser.gov

Internet: https://www.huduser.gov

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
1200 Pennsylvania Avenue, N.W.
Washington, DC 20004
Ph: 202-564-4700
Internet: https://www.epa.gov
--- Some EPA documents are available only from:
National Technical Information Service (NTIS)
5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)
445 12th Street SW
Washington, DC 20554
Ph: 888-225-5322
TTY: 888-835-5322
Fax: 866-418-0232
Internet: https://www.fcc.gov/
Order Publications From:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
E-mail: ExecSecretariat.FHWA@dot.gov
Internet: https://highways.dot.gov/
Order from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800

Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. NAVAL FACILITIES ENGINEERING SYSTEMS COMMAND (NAVFAC)
1322 Patterson Ave. SE, Suite 1000
Washington Navy Yard, DC 20374-5065
Ph: 202-685-9387
Internet: http://www.navfac.navy.mil

UNDERWRITERS LABORATORIES (UL)
2600 N.W. Lake Road
Camas, WA 98607-8542
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://accuristech.com/

UL SOLUTIONS (UL)
333 Pfingsten Road
Northbrook, IL 60062
Ph: 847-272-8800
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00

QUALITY CONTROL
08/23, CHG 3: 08/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C1077	(2025a) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM D3666	(2016) Standard Specification for Minimum Requirements for Agencies Testing and Inspecting Road and Paving Materials
ASTM D3740	(2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E329	(2025a) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection
ASTM E543	(2021) Standard Specification for Agencies Performing Non-Destructive Testing

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control (QC) program. Include all associated costs in the applicable Bid Schedule item.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan

SD-06 Test Reports

Verification Statement

SD-07 Certificates

Certificate Of Readiness1.4 GENERAL REQUIREMENTS

Establish and maintain an effective QC system that complies with FAR 52.246-12 Inspection of Construction. QC is comprised of plans, procedures, and organization necessary to produce an end product that complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The QC Manager, Superintendent, Site Safety and Health Officer (SSHO), and all on-site supervisors are responsible for the quality of work and are subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. The QC Manager must maintain a physical presence at the work site at all times and is the primary individual responsible for all QC.

1.5 QUALITY CONTROL (QC) PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of the Commissioning Process (Cx). The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction, and operations that comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent, and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for QC, all individuals will be held responsible for the quality of work on the job.

1.5.1 Meetings

1.5.1.1 Quality Control Plan Meeting

Prior to submission of the QC Plan, the Contractor may request a meeting with the Contracting Officer to discuss the QC Plan requirements of this Contract.

The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of Definable Feature of Work (DFOW).

1.5.1.2 Coordination and Mutual Understanding Meeting

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's QC system. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared

by the QC Manager and signed by the Contractor and the Government. Provide a copy of the signed minutes to all attendees and incorporate into the relevant QC Plan. At a minimum the Coordination and Mutual Understanding Meeting must be repeated when a new QC Manager is appointed. There can be other occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

1.5.1.2.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, Cx in accordance with Section 01 91 00.15 BUILDING COMMISSIONING, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor must explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. Procedures for noise and acoustics management.

c. Environmental Protection Plan.

d. Environmental regulatory requirements.

e. Cx Plan requirements in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

1.5.1.2.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation.

1.5.1.2.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, Commissioning Provider (CxC), and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting.

1.5.1.3 Quality Control (QC) Meetings

After the start of construction, conduct weekly QC meetings led by the QC Manager at the work site with the Project Superintendent, CxC, and the other personnel as necessary. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within 2 working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and deficiencies/rework. Review the most current approved schedule (in accordance with schedule specification) and the status of work and deficiencies/rework.

c. Review the status of submittals and Request For Information (RFIs).

d. Review the work to be accomplished in the next 3 weeks as defined by the schedule section paragraph 3-WEEK LOOK AHEAD SCHEDULE in Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES and all QC documentation required for that work.

e. Review Testing Plan and Log including status of tests performed since last QC Meeting.

f. Resolve QC and production problems. Discuss status of pending change orders.

g. Address items that may require revising the QC Plan.

h. Review Accident Prevention Plan (APP) and effectiveness of the safety program.

i. Review environmental requirements and procedures.

j. Review Environmental Management Plan.

k. Review Waste Management Plan.

l. Review the status of training completion.

m. Review Cx Plan and progress. Review Issues Log and resolution.

1.5.2 Contractor Quality Control (CQC) Plan

Submit no later than 30 days after Contract Award, the CQC Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. Construction will be permitted to begin only after acceptance of the CQC Plan and other Contract requirements

1.5.2.1 Content of Contractor Quality Control (CQC) Plan

Provide a CQC Plan, prior to start of construction, that includes a table of contents, with major sections identified, pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing QC during the construction of the project. The CQC Plan must at a minimum include the following sections:

a. A description of the QC organization and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified.

b. An organizational chart showing the QC organization with individual names and job titles and lines of authority up to an executive of the company at the home office.

c. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, (including position titles and durations for qualifying experiences) for each person in the QC organization. Include the Construction

Quality Management (CQM) for Contractors course certifications for the QC personnel as required by the paragraph CONSTRUCTION QUALITY MANAGEMENT TRAINING.

d. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

e. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

f. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager, CxC, and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work that is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to all other QC Specialists or QC representatives outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.

g. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, scheduling, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to submitting for approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

h. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraph ACCREDITATION REQUIREMENTS, as applicable.

i. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, associated feature of work required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.

j. Procedures to complete construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected. This phase is performed prior to beginning work on each DFOW, after all required plans, documents, and materials are approved, and after copies are at the work site.

k. Reporting procedures, including proposed reporting formats.

l. Procedures for submitting and reviewing design changes/variations prior to submission to the Contracting Officer.

m. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines, or it is work by the same trade in a different environment. A DFOW is by definition any item or activity on the construction schedule, and the schedule specification provides

direction regarding how the DFOWs are to be structured. Include in the list of DFOWs for all activities on the Construction Schedule. Although each section of the specifications can generally be considered as a DFOW, there are frequently more than one definable features under a particular section. Identify the specification section number and schedule activity ID for each DFOW listed. The DFOW list will be reviewed in coordination with the construction schedule and agreed upon during the Coordination and Mutual Understanding Meeting.

n. PROCEDURES FOR PERFORMING AND TRACKING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

o. o. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.

p. p. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.

q. q. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking, and documenting all certifications required for entities such as subcontractors, testing laboratories, suppliers, and personnel. The QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the Contract that the work is being performed.

1.5.3 Acceptance of the Quality Control (QC) Plan

The Contracting Officer's acceptance of the Contractor QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal or addition of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.5.4 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying with specific prior approval of the Contracting Officer.

1.5.5 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel. Proposed changes are subject to acceptance by the Contracting Officer.

1.6 QUALITY CONTROL (QC) ORGANIZATION

1.6.1 Quality Control (QC) Manager

1.6.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program, and to serve as the Level two SSHO as detailed in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. The QC Manager must attend the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and certification, ensure testing is performed, and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

1.6.1.2 Qualifications

The QC Manager must be an individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer, or Construction Manager on similar size and type construction Contracts which included the major trades that are part of this Contract. The individual must have at least 2 years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification, safety compliance, and sustainability.

The QC Manager and all members of the QC organization must be capable of reading, writing, and conversing fluently in the English language.

1.6.1.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager, Alternate QC Manager, Superintendent, QC Specialist, and DQCM must have completed the CQM for Contractors course. If the QC Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Systems Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the QC Manager's certificate has expired, retake the course to remain current.

1.6.2 Organizational Changes

Maintain the QC staff with personnel as required by the specification section at all times. When it is necessary to make changes to the QC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

1.6.3 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may

not exceed 2 weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.6.4 Commissioning

Commissioning (Cx) is a systematic, quality-focused process for delivery of a project focusing on verifying and documenting all commissioned systems and assemblies are installed, tested, and operating as they were planned and designed to meet the project requirements. The QC requirements outlined in this specification section are key in supporting the objectives of the Cx process, specifically coordinating testing, documenting, and verifying proper system operation. Properly executed, the QC support of Cx ensures timely execution of necessary tasks to deliver the fully commissioned and operating systems in coordination with the overall construction and project schedule.

Provide Cx in addition to the QC requirements of this section and not as a substitute for QC requirements. The QC Manager is responsible for carrying out the three phases of control while ensuring the functional performance and integrated systems tests are coordinated with the Cx provider as required for each system to be commissioned.

1.6.4.1 Certificate of Readiness

The QC Manager must issue a Certificate of Readiness for Government approval for each system to be commissioned. Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. The Certificate of Readiness certifies that all required inspections have been completed and deficiencies that were identified through any prior review, inspection, or test activity have been corrected before the start of Functional Performance Tests. Refer to Cx requirements in Section 01 91 00.15 BUILDING COMMISSIONING for a list of systems to be commissioned and detailed requirements for the Cx provider.

1.7 SUBMITTAL AND DELIVERABLES REVIEW AND APPROVAL

Procedures for submission, review, certification, and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES. Procedures must include field verification of relevant dimensions and component characteristics by the QC organization prior to submittal being sent to the Contracting Officer. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the Contract. When Section 01 91 00.15 BUILDING COMMISSIONING are included in the Contract, the submittals required by those sections have to be coordinated with Section 01 33 00 SUBMITTAL PROCEDURES to ensure adequate time is allowed for each type of submittal required.

1.8 THREE PHASES OF CONTROL

CQC enables the Contractor to ensure that the construction, including that of subcontractors and suppliers, complies with the requirements of the Contract. At least three phases of control must be conducted by the QC Manager to adequately cover both on-site and off-site work for each definable feature of the construction work as follows:

1.8.1 Preparatory Phase

Document the results of the preparatory phase actions by separate minutes prepared by the QC Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required to meet Contract specifications.

Notify the Contracting Officer at least 2 business days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the Project Superintendent, the CxC, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. This phase is performed prior to beginning work on each DFOW, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections, reference codes, and standards. Make available during the prepatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction or shop drawings or both before confirming product orders, to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

f. Examine the work area to ensure that the required preliminary work has been completed and complies with the Contract and ensure any deficiencies/rework items in the preliminary work have been corrected and confirmed by the Contracting Officer.

g. Review coordination of product/material delivery to designated prepared areas to execute the work.

h. Examine the required materials, equipment, and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

i. Check to assure that all materials and equipment have been tested, submitted, and approved.

j. Discuss specific controls to be used, construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW. Ensure any portion of the plan requiring separate Contracting Officer acceptance has been approved.

k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

l. Review the Cx requirements in accordance with Section 01 91 00.15 BUILDING COMMISSIONING and ensure all preliminary work items have been completed and documented.

1.8.2 Initial Phase

Notify the Contracting Officer at least 2 business days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site when acceptable levels of specified quality are not being met. Indicate the exact location of initial phase for DFOW for future reference and comparison with follow-up phases. Perform the following for each DFOW:

a. Check work to ensure that it is in full compliance with Contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full Contract compliance. Verify required control inspection and testing comply with the Contract.

c. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve any workmanship issues.

e. Ensure that testing is performed by the approved laboratory.

f. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

g. Review project specific work plans (i.e., Cx, HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.8.3 Follow-Up Phase

Perform the following for on-going DFOW daily, or more frequently as necessary, until the completion of each DFOW. The Final Follow-Up for any DFOW will clearly note in the daily report the DFOW is completed, and all deficiencies/rework items have been completed in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Each DFOW that has completed the Initial Phase and has not completed the Final Follow-up must be included on each daily report. If no work was performed on that DFOW for the period of that daily report, it must be so noted. Document all Follow-Up activities for DFOWs in the daily CQC Report:

a. Ensure the work including control testing complies with Contract requirements until completion of that particular work feature. Record checks in the CQC documentation.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that deficiencies/rework items are being corrected. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work.

e. Do not build upon nor conceal non-conforming work.

f. Assure manufacturers' representatives have performed necessary inspections if required and perform safety inspections.

g. Review the Cx requirements in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

1.8.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW has not started within 45 days of the initial preparatory meeting or has resumed after 45 days of inactivity, or if other problems develop.

1.8.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least 2 weeks prior to the start of the preparatory and initial phases.

1.8.6 Deficiency/Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be corrected, the activity ID number associated with the item, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected.

The QC Manager reviews the list at each weekly QC Meeting:

a. There is no requirement to report a deficiency/rework item that is corrected the same day it is discovered.

b. No successor task may be advanced beyond the preparatory phase meeting until all deficiencies/rework items have been cleared by the QC Manager and concurred with by the Contracting Officer. This must be confirmed as part of the Preparatory Phase activities.

c. Attach a copy of the "Deficiency/Rework Items List" to the last daily CQC Report of each month.

d. The Contractor is responsible for including those items identified by the Contracting Officer.

e. All deficiencies/rework items must be confirmed as corrected by the QC Manager, and concurred by the Contracting Officer, prior to commencement of any completion inspections per paragraph COMPLETION

INSPECTIONS unless specifically exempted by the Contracting Officer.

f. Non-Compliance with these requirements is grounds for removal in accordance with paragraph ACCEPTANCE OF THE QUALITY CONTROL (QC) PLAN.

g. All delays, concurrent or related to failure to manage, monitor, control, and correct deficiencies/rework items are entirely the responsibility of the Contractor and can not be made the subject, or any component of any request for additional time or compensation.

1.9 TESTING

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to Contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of an U.S. Army Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site or within 5 miles. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with Contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing, on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

1.9.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and must submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (ASTM E329, ASTM C1077, ASTM D3666, ASTM D3740, ASTM E543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

1.9.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at https://www.nist.gov/nvlap, the

American Association of State Highway and Transportation Officials (AASHTO) Accreditation Program at http://www.aashtoresource.org/aap/overview, International Accreditation Services, Inc. (IAS) at https://www.iasonline.org/, U.S. Army Corps of Engineers Materials Testing Center (MTC) at https://www.erdc.usace.army.mil/Media/Fact-Sheets/Fact-Sheet-Article-View/Article/476661/materials-testing-center/, and the American Association for Laboratory Accreditation (A2LA) program at https://a2la.org/.

1.9.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract. Laboratories utilized for testing soils, concrete, asphalt, and steel must meet criteria detailed in ASTM D3740 and ASTM E329.

1.9.4 Test Results

Cite applicable Contract requirements, tests, or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, in accordance with paragraph DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER.

1.9.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily CQC Report of each month. Provide a copy of the signed test reports and certifications to the Operation and Maintenance Support Information (OMSI) preparer for inclusion into the OMSI documentation, in accordance with Sections 01 78 23 OPERATION AND MAINTENANCE DATA.

1.10 COMPLETION INSPECTIONS

1.10.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work", or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications, and Contract. Include in the punch list any remaining items on the "Deficiency/Rework Items List", that were not corrected prior to the Punch-Out Inspection as approved by the Contracting Officer in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting

Officer.

The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. All punch list items must be confirmed as corrected by the QC Manager and concurred by the Contracting Officer. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.10.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected and concurred by the Contracting Officer prior to notifying the Government that a "Final" inspection with the Client can be scheduled. All items noted on the "Pre-Final" inspection must be corrected and concurred by the Contracting Officer in a timely manner and be accomplished before the Contract completion date for the work, or any increment thereof, if the project is divided into increments by separate completion dates unless exceptions are directed by the Contracting Officer.

1.10.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other Government QA personnel, and personnel representing the Client. Failure of the Contractor to have all Contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.11 QUALITY CONTROL (QC) CERTIFICATIONS

1.11.1 Contractor Quality Control (CQC) Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used, and work performed during this reporting period is in compliance with the Contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.11.2 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.11.3 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment

request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, complies with Contract requirements.

1.12 DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER

1.12.1 Construction Documentation

Prepare a Construction Production Report and a CQC Report for each day that work is performed. Attach the Construction Production Report to the CQC Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. Account for each calendar day throughout the life of the Contract.

The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The reporting of work must be identified by terminology consistent with the construction schedule. In the "Remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered, a record of visitors to the work site, QC problem areas, deviations from the QC Plan, construction deficiencies encountered, and meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.12.2 Quality Control Activities

CQC and Contractor Production reports will be prepared daily to maintain current records providing factual evidence that required QC activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractors and any subcontractors.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When a Network Analysis Schedule (NAS) is used, identify each item of work performed each day by NAS activity number.

d. Control phase activities performed. Preparatory, and Initial phase Checklists associated with the DFOW referenced to the construction schedule. Follow-up phase activities identified to the DFOW. If testing or specific QC Specialist activities are associated with the Follow-up phase activities for a specific DFOW note this and include those reports.

e. Test and control activities performed with results and references to specifications and drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST.

f. Quantity of materials received at the site with statement as to

acceptability, storage, and reference to specifications and drawings requirements.

g. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

h. Offsite surveillance activities, including actions taken.

i. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

j. Instructions given/received and conflicts in plans and specifications.

1.12.3 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract.

Furnish the original and one copy of these records in report form to the Government by 10:00 AM the next working day after the date covered by the report. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the Contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the QC Manager. Include copies of test reports and copies of reports prepared by all subordinate QC personnel within the QC Manager Report.

1.12.4 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

a. CQC Meeting minutes in accordance with paragraph QUALITY CONTROL (QC) MEETINGS.

b. All completed Preparatory and Initial Phase Checklists, arranged by specification section, further sorted by DFOW referenced to the construction schedule. Submit each individual Phase Checklist the day the phase event occurs as part of the CQC daily report.

c. All milestone inspections, arranged by Activity Number referenced to the construction schedule.

d. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section referenced to the DFOW to which individual reports results are associated. Individual field test reports will be submitted within 2 working days after the test is performed in accordance with the paragraph QUALITY CONTROL ACTIVITIES. Monthly Summary Report of Tests: Submit the report as an electronic attachment to the CQC Report at the end of each month.

e. Copies of all Contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

f. An up-to-date copy of the paragraph DEFICIENCY/REWORK ITEMS LIST.

g. g. Upon commencement of Completion Inspections of the entire project or any defined portion, maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and subcontractors and all punch lists issued by the Government in accordance with the paragraph COMPLETION INSPECTIONS.

1.12.5 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month. Provide a copy of the final "Testing Plan and Log" to the preparer of the O&M documentation.

1.12.6 As-Built Drawings

The QC Manager must ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. The as-built drawings document commences with the QC Manager ensuring all amendments, or changes to the Contract prior to Contract award, are accurately noted in the initial document set creating the accurate baseline of the Contract prior to any work starting. Ensure each deviation has been identified with the appropriate modifying documentation (e.g., PC No., Modification No., Request for Information No.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.13 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of a claim for extension of time for excess costs or damages by the Contractor.

1.14 DELIVERY, STORAGE, AND HANDLING

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
11/20, CHG 3: 08/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2009; Rev 2012) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan
Traffic Control Plan
Haul Road Plan
Contractor Computer Cybersecurity Compliance Statements
Contractor Temporary Network Cybersecurity Compliance Statements

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area, and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (58 mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, EM 385-1-1 Emergency Plan requirements, and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site, including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.

b. Condition THREE (Sustained winds of 58 mph or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.

d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

1.5 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258.} Meet the following requirements throughout the construction process.

1.5.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

1.5.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.5.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

1.5.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number, and one special character.

1.5.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-50-0 Each Statement must be signed by a cybersecurity representative for the relevant company.

1.5.2 Temporary IP Networks

Temporary Contractor-installed IP networks may be used during construction. Provide Government access to Contractor-furnished temporary IP networks during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

1.5.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government-furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

1.5.3 Government Access to Network

Government personnel, as defined, prescribed, and identified by the Contracting Officer, must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification. If there is a Government agency responsible for network access, identify that agency.

1.5.4 Temporary Wireless IP Networks

1.5.4.1 Contractor Provided Wi-Fi Network

Provide standalone Wi-Fi network for authorized Government personnel. Network access must be available throughout the jobsite and for the entire Contract period. The Government will use the Wi-Fi service for coordination in the field and related activities. Do not attach or connect the temporary Wi-Fi network to Government networks or systems unrelated to construction activities.

Password information must adhere to requirements outlined in Paragraph PASSWORDS AND PASSPHRASES. Provide password and Wi-Fi network name (SSID) to the Contracting Officer for Government personnel access. Wi-Fi performance must be capable of handling bandwidth to support Government and Contractor activities, including drawing and model access onsite. Provide a point of contact for reporting Wi-Fi outages.

1.5.4.2 Temporary Wireless IP Networks Security

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

1.5.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number, and one special character.

1.5.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-50-0 Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1, and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in a location as approved by the Contracting Officer.

2.1.2 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit haul road plan for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas, or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

2.3.1 Polyethylene Mesh Safety Fencing

Temporary safety fencing must be a high visibility orange colored, high density polyethylene grid, a minimum of 48 inches high and maximum mesh size of 2 inches. Fencing must extend from the grade to a minimum of 48 inches above the grade and be tightly secured to T-posts spaced as necessary to maintain a rigid and taut fence. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.2 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels 8 feet high. Multiple fencing panels may be linked together at the bases to form long spans as needed. Each panel base must be weighted down using sand

bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.3 Post-Driven Chain Link Fencing

Temporary post-driven fencing must be galvanized chain link fencing 8 feet high supported by and tightly secured to galvanized steel posts driven below grade. Fence posts must be located on minimum 10 foot centers. Posts may be set in various surfaces such as sand, soil, asphalt, or concrete as necessary. Chain link fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection. Completely remove fencing and posts at the completion of construction and restore surfaces disturbed or damaged to its original condition. Locate and identify underground utilities prior to setting fence posts. Equip fence with a lockable gate. Gate must remain locked when construction personnel are not present.

2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1, NFPA 241, and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Payment for Utility Services

a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the Contract. Unless otherwise provided in the Contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve utilities furnished without charge.

b. Reasonable amounts of the following utilities will be made available without charge or at the prevailing rates:

Utility Services
Electricity
Potable Water
Sanitary Sewer

c. The point at which the Government will deliver such utilities or services and the quantity available must be coordinated with the Contracting Officer. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including and providing transformers; and make disconnections. Under no circumstances will taps to base fire hydrants be allowed for obtaining domestic water.

3.2.3 Meters and Temporary Connections

Provide and maintain necessary temporary connections, distribution lines, and meter bases (Government will provide meters) required to measure the amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will provide a meter and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. Do not make the final electrical connection.

3.2.4 Advance Deposit

An advance deposit for utilities consisting of a minimum of $300.00 by certified check payable to the U.S. Treasury will be required. The last monthly bill for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed prior to the end of the current fiscal year.

3.2.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading, disconnect service, and remove the meters. Then remove all the temporary distribution lines, meter bases, and associated appurtenances. Pay all outstanding utility bills before final acceptance of the work by the Government.

3.2.6 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer

and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.7 Telephone

Make arrangements and pay all costs for telephone facilities desired. Contact Century Link to arrange telephone service if desired. The Station Telephone Officer, located in Building 4397, may need to be contacted if excess phone lines are not available in the area.

3.2.8 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Make all notifications and obtain all permits required for modification to traffic movements outside Station's jurisdiction. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, Life Safety Signage, overhead protection, and other items that may be required by the authority having jurisdiction.

d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide

self-illuminated (lighted) barricades during hours of darkness. All personnel working in roadways will wear brightly-colored vests or other high visibility apparel in accordance with EM 385-1-1. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

3.3.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations for MCAS Cherry Point without notification to and approval by the Contracting Officer.

3.3.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.4 CONTRACTOR'S TEMPORARY FACILITIES

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

3.4.1 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

Keep fencing in a state of good repair and proper alignment. If the Contractor elects to traverse grassed or unpaved areas which are not established roadways with construction equipment or other vehicles, cover the grassed or unpaved areas with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation must be at the Contractor's discretion. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, structures, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

3.4.2 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.4.3 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.4.4 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.4.4.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools, and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.5 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices, and make available for use by Government personnel.

3.6 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.7 CLEANUP

Remove construction debris, waste materials, packaging material, and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable

materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.8 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
08/22, CHG 2: 08/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

MCAS CHERRY POINT

ASO 5090.5B	Hazardous Waste
ASO 5090.7A	Spill Prevention, Control, and Countermeasures

NORTH CAROLINA DEPARTMENT OF ENVIRONMENTAL QUALITY

NCG010000	North Carolina Construction General Permit
NCESCDM	North Carolina Erosion and Sedimentation Control Design Manual

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA SW-846	(Third Edition; Update VII) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1053	Respirable Crystalline Silica
29 CFR 1910.1200	Hazard Communication
29 CFR 1926.1153	Respirable Crystalline Silica
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 64	Compliance Assurance Monitoring
40 CFR 82	Protection of Stratospheric Ozone
40 CFR 112	Oil Pollution Prevention
40 CFR 241	Guidelines for Disposal of Solid Waste

40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.11	Hazardous Waste Determination and Recordkeeping
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 273.6	Applicability - Aerosol Cans
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications

40 CFR 355	Emergency Planning and Notification
40 CFR 372	Toxic Chemical Release Reporting
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e., methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally, or historically.

1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes

management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

1.2.7 Hazardous Materials

Hazardous material is any material that: Is defined in 49 CFR 171, listed in 49 CFR 172, regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.1200; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibits a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D, or meets a state or local definition of a hazardous waste.

1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.10 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by municipalities or installations to obtain NPDES permit coverage for their stormwater discharges.

1.2.11 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

1.2.12 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum,

Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay, and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.13 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.14 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.14.1 Sedimentation

The process by which sediment resulting from accelerated erosion has been or is being transported off the site of the land-disturbing activity.

1.2.14.2 Stabilization

Per NCDEQ, the use of engineered structures, vegetation, seeding, or land management practices to provide protection of disturbed areas and to render the surface stable against accelerated erosion and sediment movement.

1.2.14.2.1 Temporary Stabilization

Temporary Stabilization is achieved with 80% coverage per the NCG010000, under Part 5 definitions.

1.2.14.2.2 Permanent Stabilization

Permanent Stabilization is achieved when vegetative cover with a density of at least 80% or covered with a structure stabilization method per the NCG010000, under Part 5 definitions.

1.2.14.3 Turbidity

The measure of water clarity dependent on how much material suspended in water decreases the passage of light through the water. Suspended materials include soil particles (clay, silt, and sand), algae, plankton, microbes, and other substances. Per NCG010000, no sediment shall leave

the site.

1.2.15 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.15.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories, and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.15.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing, and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps, and plant roots. Marketable trees, grasses, and plants that are indicated to remain, be re-located, or be re-used are not included.

1.2.15.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.15.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 261.

1.2.15.5 Recyclables

Recyclables are materials, equipment, and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing, and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of "lead contaminated" or "lead based paint contaminated" may be included as recyclable only if the metal is handled and transported in accordance with regulations, and the facility receiving the material is permitted and authorized to accept and process lead

contaminated materials. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.15.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.15.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.15.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, and hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.16 Stockpile

A pile or storage of materials that are temporarily stored on site for future use. Select stockpile location to avoid slopes, natural drainageways, and traffic routes. Use sediment fences or other barriers where necessary to retain sediment. Protect topsoil stockpiles by temporarily seeding as soon as possible, no more than 21 days after formation of the stockpile.

1.2.17 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, or creeks meeting the definition of "waters of the United States". Surface discharges from construction sites are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.18 Wastewater

Wastewater is the aqueous liquid waste generated by a project, to include both domestic wastewater (sewage) and industrial wastewater.

1.2.18.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.19 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of

the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.20 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.21 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contractor Hazardous Material Inventory Log
Preconstruction Survey
Regulatory Notifications

Employee Training Records
Environmental Protection Plan
Dirt and Dust Control Plan
Solid Waste Management Permit

Spill Prevention Control And Countermeasure (SPCC) Plan

SD-06 Test Reports

Monthly Solid Waste Disposal Report

Laboratory Analysis

SD-07 Certificates

ECATTS Certificate Of Completion
Employee Training Records

SD-11 Closeout Submittals

Contractor Hazardous Material Inventory Log
Regulatory Notifications
Assembled Employee Training Records
Solid Waste Management Permit

Waste Determination Documentation
Project Solid Waste Disposal Documentation Report
Sales Documentation
Contractor Certification
Hazardous Waste/Debris Management
Disposal Documentation for Hazardous and Regulated Waste

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.4.1 Training in Environmental Compliance Assessment Training and Tracking System (ECATTS)

1.4.1.1 Personnel Requirements

The Environmental Manager is responsible for environmental compliance on projects. The Environmental Manager, and other staff, must complete applicable ECATTS training modules (installation specific or general) prior to starting respective portions of on-site work under this Contract. If personnel changes occur for any of these positions after starting work, replacement personnel must complete applicable ECATTS training within 14 days of assignment to the project.

1.4.1.2 Certification

Submit an ECATTS certificate of completion for personnel who have completed the required ECATTS training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC ECATTS by logging on to https://environmentaltraining.ecatts.com/. Obtain the password for registration from the Contracting Officer.

1.4.1.3 Refresher Training

This training has been structured to allow contractor personnel to receive credit under this contract and to carry forward credit to future contracts. Ensure the Environmental Manager reviews their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific state regulatory requirements; therefore, Contractors working in multiple states will be required to retake modules tailored to the state where the contract work

is being performed.

1.4.2 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Affairs Department (EAD) will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

1.4.2.1 Required Training

Conformance with the installation's EMS program. The Contractor's identified Environmental Manager will attend the Environmental Coordinator Training Course. This is a one-time course, with no expiration date, offered quarterly by EAD.

Conformance with the installation's Hazardous Waste Management program in accordance with the RCRA. The contractor's identified Environmental Manager will attend the Hazardous Waste Handlers Training Course. This is offered monthly by EAD and requires annual certification

Construction General Permit Site Inspector Training - This training shall be completed, and a certificate of training provided to the Contracting Officer, before construction begins. The certification has an expiration date. The training shall be renewed at least one week prior to the expiration date. This certification is required for all personnel that may be performing the erosion and sedimentation control (E&SC) inspections. The training is located at https://www.epa.gov/npdes/construction-inspection-training-course.

1.5 SPECIAL ENVIRONMENTAL REQUIREMENTS

Comply with the special environmental requirements listed here and attached at the end of this section.

1.5.1 Mid-Atlantic

Comply with the following state, regional, and local requirements.

1.5.1.1 North Carolina

1.5.1.1.1 MCAS Cherry Point

Comply with the special environmental requirements listed here and attached at the end of this section. Contractors shall abide by all requirements called out by local Air Station Orders (ASO) and governing permit requirements not specifically spelled out in these requirements. The Contractor shall review and adhere to these ASO as governing guidance while operating at MCAS Cherry Point. The ASO can be found on the MCAS Cherry Point Adjutant's website: https://www.cherrypoint.marines.mil/Staff/Station-Adjutant/. Additional guidance shall be provided by EAD, 252-466-3631. The Contractor shall comply with all issued permits, including but not limited to NCG010000 https://www.deq.nc.gov/energy-mineral-and-land-resources/stormwater /npdes-general-permits/npdes-permit-ncg010000/open.

1.5.1.1.1.1 Erosion and Sedimentation Control

FOR PROJECTS OF ONE ACRE OR MORE of LAND DISTURBANCE: Install perimeter E&SC Best Management Practices (BMPs) and sedimentation basins and diversion swales prior to denuding the entire site. EAD shall inspect prior to contractor continuing with site work. The NPDES Construction Permit NCG010000 requires erosion control devices and storm water outfalls to be inspected weekly and within 24 hours of a 1-inch rain event. It is the responsibility of the contractor to conduct these inspections and maintain records until the area has stabilized as defined in the definitions section. The inspections shall note deficient BMPs and corrections taken to fix deficiencies. To facilitate rainfall monitoring, a rain gauge is required to be on site. Additionally, the contractor is responsible for conducting "self inspections" indicating the date BMPs are installed and stabilization measures (seeding/mulching or sod) are initiated. Both inspections are recorded on the same report form and must be maintained by the contractor at the onsite office. Once stabilization has been accomplished, inspection records are to be forwarded to EAD and all temporary E&SC devices removed with EAD's approval. The contractor is responsible for maintaining compliance with all permits and plans. A copy of the E&SC Plan, E&SC Letter of Approval, NPDES Construction permit NCG010000, and NPDES Certificate of Coverage will be maintained by the contractor at the onsite office. If soil is removed from or brought onsite, the applicable Solid Waste Management permit number, E&SC permit number, or Mine permit number will be disclosed.

Modification to E&SC Plan: per the NCG010000, Part 2, Section G, modifications to the approved E&SC plan that require changes to the E&SC measure design, the drainage areas, or the disturbed areas draining to E&SC measures shall be approved by the E&SC plan authority. Deviations from the approved E&SC plan, or approved revised E&SC plan, shall constitute a violation of this permit unless the deviation is to correct an emergency situation where sediment is being discharged off the site. The E&SC plan authority may allow deviations from the E&SC plan on a case-by-case basis if the deviations are minor adjustments to address minor deficiencies. Minor adjustments shall be noted on the approved E&SC plan and maintained at the job site.

FOR PROJECTS OF LESS THAN ONE ACRE LAND DISTURBANCE: An E&SC plan must be developed and implemented. BMPs are required to be incorporated throughout the length of the construction process, as referenced in the

NCESCDM to prevent sediment from leaving the site. Particular attention should be placed on protecting storm drains and watercourse access from sediment and debris contamination. The contractor is responsible for providing ground cover of denuded areas in accordance with Chapter 4 of Title 15A of the North Carolina Administrative Code (NCAC) (T15A.04) and removal of temporary E&SC control devices once stabilization as defined in the definitions section.

1.5.1.1.1.2 Monitoring Well Abandonment

Contractors must maintain the integrity of any existing or future monitoring or remediation systems at the site specifically monitoring or product recovery wells if encountered. If damaged, they must be repaired or properly abandoned and replaced by the project. Consult with EAD for further information. Well Abandonment shall be conducted in accordance with Title 15 NCAC 2C well construction standards; a certification, which reflects the abandonment procedure, must be submitted. The contractor shall provide a copy of all well installation and abandonment records to EAD.

1.5.1.1.1.3 AFFF Management

Aqueous Film Forming Foam (AFFF), or firefighting foam, and PFAS impacted materials shall be properly managed in accordance with governing policies. All spills or releases of AFFF concentrate or AFFF water shall be immediately reported in accordance with ASO 5090.7A. AFFF concentrate, if not turned over to the government, shall be disposed of by incineration only.

AFFF water shall be defined as any liquid, primarily water, mixed with AFFF concentrate or has PFAS compounds present (i.e., groundwater). This shall include rinse water used to flush lines and equipment. Triple rinsing equipment and lines does not negate the level of PFAS enough to deem the water treated even with the absence of foam.

AFFF water shall be disposed of by incineration, solidification to a permitted landfill, or treated onsite using an approved treatment system (i.e., granular activated carbon, ion exchange resin) with adequate holding capacity for the volume being treated to meet pretreatment limits prior to discharging to sanitary sewer. No discharge to sanitary sewer will be allowed without confirmatory sampling results indicating the pretreatment limits have been obtained. The contractor shall work with EAD to determine if treatment is required and to verify what the current limits are. Currently, the pretreatment limits for PFAS compounds are met when PFOS and PFOA are below 70 ppt (individually or combined). If dewatering from an Operable Unit, other contaminants will need to be considered. All PFAS samples are required to be analyzed by a DOD ELAP certified laboratory. A list of currently accredited labs can be found here: https://www.denix.osd.mil/edqw/accreditation/accreditedlabs/. Test Method 1633 shall be used for all non-potable water matrices.

Disposal of AFFF concentrate and AFFF water off-station shall be manifested on an original, serialized, EPA Uniform Hazardous Waste Manifest form 8700-22 (Rev. 3-05). AFFF currently used on MCAS Cherry Point contains PFAS compounds that are not currently regulated as a hazardous waste, therefore it shall be marked on the manifest as "Non-Hazardous, Non-Regulated Waste" with PFAS entered as a waste code. All manifests shall be signed by designated EAD personnel. Contractors shall not sign the disposal documents for AFFF waste generated while

working aboard MCAS Cherry Point on behalf of the government.

1.5.1.1.1.4 SWMU Management

Solid Waste Management Unit (SWMU) (C-15) oil/water separators (OWS) as well as Industrial Wastewater Treatment Plant (IWTP) lines (C-13), are both regulated by the State of North Carolina Division of Waste Management, Hazardous Waste Management Permit issued to MCAS Cherry Point. Physical alterations at SWMUs must be planned and coordinated with EAD. This team works closely with NCDEQ to maintain compliance with the MCAS Cherry Point Hazardous Waste Management Permit regarding investigation and cleanups at SWMUs. The improper removal of an oil/water separator, grease rack or wash rack, or IWTP line constitutes multiple violations of the MCAS Cherry Point RCRA permit. No construction project can move forward without the proper closure of the SWMU. Applicable regulations are those which are in effect on the date of issuance of the Cherry Point State of North Carolina Division of Waste Management Hazardous Waste Management Permit 40 CFR 207.32(c)as adopted in 15A NCAC 13A.0113.

1.5.1.1.1.5 Contaminated Soil Management

Collection, Segregation, and Testing of Contaminated Soils: The contaminated soil will be stock piled on a plastic protected berm and covered from the weather. Once the work is completed or a proper amount is collected for disposal shipment, then the contractor will select a laboratory to conduct the soil analytical testing. The tests to be conducted are Gasoline Range Organics (GRO), Diesel Range Organics (DRO), Oil & Grease with a silica gel wash, and Full TCLP. The soil samples shall be pulled by certified personnel trained in soil sampling methodology. The laboratory must be a NC laboratory certified in the test being conducted. A copy of the soil analytical testing results shall be sent to EAD for review. If contamination is discovered, the stockpile sample(s) shall be analyzed for the following but not limited to:

Std Method 5030 sample prep with Modified 8015 (CA GC-FID Method) - Total Petroleum Hydrocarbons (TPH) - GRO

Std Method 5030 and 3550 sample prep with Modified 8015 - TPH - DRO

EPA Method 9071 - Oil & Grease with Silica Gel wash

Full TCLP

EPA Method 1633 for PFAS

Disposal Facility: The disposal facility type will be determined based on the analytical results. EAD will advise what type of facility is proper for the soil disposal. At this point the contractor will chose a facility for disposal. The contractor will share the soil analytical data with the chosen disposal facility to determine if the soil meets the facility permit requirements. If so, then the disposal facility will send a letter to EAD stating that the facility can receive the subject soil for disposal based on the facility's permit requirements. A copy of the facility's permit will need to be sent along with the acceptance letter for EAD review & records. If the permit information is current then the facility will be approved for use.

Preparing for Transportation of soil for Disposal: The disposal facility

will provide EAD with a waste profile form that EAD will review and sign if the information clearly defines the soil disposition based on the analytical data. The signed Waste profile will be returned to the disposal facility for their records. The disposal facility may or should provide waste manifests with the appropriate information about the generator and the type of waste being transported for disposal. Below is an example of the information found on a waste manifest. Remember that if the analytical results only indicate POL contamination, the soil is not "Hazardous Waste". The soil is called Non-Hazardous Waste for shipping purposes.

Day of Transport to the Disposal Facility: The waste manifest should be on site at a minimum one to two days before shipping. The number of manifest needs to be in excess so to have plenty for all possible loads being shipped. The trucks will weigh trucks in for an "Empty weight" at the start of the day and weigh each load before leaving MCAS Cherry Point. EAD will sign the manifest for the transporter and disposal facility.

Any questions, please contact EAD MCAS Cherry Point, 252-466-3631.

1.6 QUALITY ASSURANCE

1.6.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs, and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

1.6.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state, and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 15 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.6.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of

hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and EAD to discuss the proposed Environmental Protection Plan (EPP) or equipment local requirement. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

1.6.4 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state, and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet state requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area.

1.6.5 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state, or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

1.7 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential

environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. The EPP shall have a section incorporating the Stormwater Pollution Protection Plan (SWPPP), E&SC Plan, and NCG01. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

1.7.1 General Overview and Purpose

1.7.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as spill control plan, solid waste management plan, wastewater management plan, air pollution control plan, contaminant prevention plan,and Non-Hazardous Solid Waste Disposal Plan.

1.7.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.7.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.7.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.7.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

1.7.2 General Site Information

1.7.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.7.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

Show where any fuels, hazardous substances, solvents, or lubricants will be stored. Provide a spill plan to address any releases of those materials.

1.7.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.7.3 Management of Natural Resources

a. Land resources
b. Tree protection
c. Replacement of damaged landscape features
d. Temporary construction
e. Stream crossings
f. Fish and wildlife resources
g. Wetland areas

1.7.4 Protection of Historical and Archaeological Resources

a. Objectives
b. Methods

1.7.5 Stormwater Management and Control

a. Ground cover
b. Erodible soils
c. Temporary measures
    (1) Structural Practices
    (2) Temporary and permanent stabilization
d. Effective selection, implementation, and maintenance of BMPs.
e. SWPPP.

1.7.6 Protection of the Environment from Waste Derived from Contractor Operations

This item consists of the management procedures for hazardous waste to be

generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan (HWMP) when within an installation. The Contracting Officer will provide a copy of the Installation HWMP as applicable.

As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

1.7.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

1.7.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.7.9 Clean Air Act Compliance

1.7.9.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on Installation roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and

debris from roadways.

1.7.9.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery, or operations that could generate air emissions during the project to EAD (Air Program Manager). Ensure required permits are obtained prior to installing and operating applicable equipment/processes.

1.7.9.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used, or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between maintenance/testing, emergency, and non-emergency operation.

1.7.9.4 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment, and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government, coordinate with EAD to determine the appropriate turn in location.

1.7.9.5 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

1.7.9.6 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

1.8 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all equipment that may require permits or special approvals that the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and

Responsibilities.

1.9 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

1.10 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

1.10.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

1.11 FACILITY HAZARDOUS WASTE GENERATOR STATUS

MCAS Cherry Point is designated as a Large Quantity Generator. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with EAD as applicable, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of EAD or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native

habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees and critical root zones (CRZ) that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and EAD to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in compliance with all required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.2 SURFACE AND GROUNDWATER

3.2.1 Cofferdams, Diversions, and Dewatering

Construction operations for dewatering, removal of cofferdams, tailrace excavation, and tunnel closure must be constantly controlled to maintain compliance with existing state water quality standards and designated uses of the surface water body. Comply with the State of North Carolina water quality standards and anti-degradation provisions and the Clean Water Act Section 404. Do not discharge excavation ground water to the sanitary sewer, storm drains, or to surface waters without prior specific authorization in writing from EAD or Contracting Officer. Discharge of hazardous substances will not be permitted under any circumstances. Use sediment control BMPs to prevent construction site runoff from directly entering any storm drain or surface waters.

If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization for any contaminated groundwater release in advance from EAD and the state authority, as applicable. Discharge of hazardous substances will not be permitted under any circumstances.

3.2.2 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States, except as authorized herein. The protection of waters of the United States shown on the drawings in accordance with paragraph LICENSES AND PERMITS is the Contractor's responsibility. Authorization to enter specific waters of the United States identified does not relieve the Contractor from any obligation to protect other waters of the United States within, adjacent to, or in the vicinity of the construction site and associated boundaries.

3.3 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

3.3.1 Preconstruction Air Permits

Notify the EAD Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled or unassembled, onto the Installation.

Confirm that these permits have been obtained.

3.3.2 Burning

Burning is prohibited on the Government premises.

3.3.3 Class I and II ODS Prohibition

Class I and II ODS are Government property and must be returned to the Government for appropriate management. Coordinate with EAD to determine the appropriate location for turn in of all reclaimed refrigerant.

3.3.4 Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer. Intentional venting of refrigerants (including most Non-ODS substitute refrigerants) is prohibited per 40 CFR 82.

3.3.5 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

3.3.6 Dust Control

Keep dust down at all times, including during nonworking periods. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake

bags of cement, concrete mortar, or plaster. Since these products contain Crystalline Silica, comply with the applicable OSHA standard, 29 CFR 1910.1053 or 29 CFR 1926.1153, for controlling exposure to Crystalline Silica Dust.

3.3.6.1 Particulates

Dust particles, aerosols, and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

3.3.6.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the EAD Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.3.7 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

3.4 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

3.4.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale, or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if

transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

3.4.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to EAD through the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	(_____) cubic yards or tons, as appropriate
C&D Debris Recycled	(_____) cubic yards or tons, as appropriate
C&D Debris Composted	(_____) cubic yards or tons, as appropriate
Total C&D Debris Generated	(_____) cubic yards or tons, as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	(_____) cubic yards or tons, as appropriate

3.5 WASTE MANAGEMENT AND DISPOSAL

3.5.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g., scrap metal and domestic sewage) or subject to special rules (e.g., lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 262.11 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with EAD for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not exhaustive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.5.1.1 Sampling and Analysis of Waste

3.5.1.1.1 Waste Sampling

Sample waste in accordance with EPA SW-846. Clearly mark each sampled drum or container with the Contractor's identification number, and cross reference to the chemical analysis performed.

3.5.1.1.2 Laboratory Analysis

Follow the analytical procedure and methods in accordance with the

40 CFR 261. Provide analytical results and reports performed to the Contracting Officer. Coordinate all activities with the EAD Hazardous Waste Manager.

3.5.1.1.3 Analysis Type

Identify hazardous waste by analyzing for the following characteristics: ignitability, corrosivity, reactivity, and toxicity based on TCLP results.

3.5.2 Solid Waste Management

3.5.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation Contractor certification must include the receiver's tax identification number and business, EPA, or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.5.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Comply with site procedures. Segregate and separate treated wood components disposed at a lined landfill approved to accept this waste in accordance with local and state regulations. Solid waste disposal offsite must comply with the most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.5.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer and the EAD Hazardous Waste Manager. The Contractor shall adhere to all requirements set forth in ASO 5090.5B.

3.5.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from EAD. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.5.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or one quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90-day or 180-day, as appropriate, accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	(_____)
Contractor	(_____)
Haz/Waste or Regulated Waste POC	(_____)
Phone Number	(_____)
Type of Waste	(_____)
Source of Waste	(_____)
Emergency POC	(_____)
Phone Number	(_____)
Location of the Site	(_____)

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g., training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.5.3.3 Hazardous Waste Disposal

3.5.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to EAD for review, approval, and signature prior to shipping waste off Government property.

3.5.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260 - 40 CFR 279, local and state laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, and detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.5.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.5.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.5.3.3.1.4 Labeling

During waste accumulation label all containers in accordance with 40 CFR 262. Prior to offering a waste for off-site transport, determine the Department of Transportation's (DOT's) proper shipping names for waste in accordance with 49 CFR 172 (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262 and applicable state or local regulations.

3.5.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

a. Batteries as described in 40 CFR 273.2
b. Lamps as described in 40 CFR 273.5
c. Mercury-containing equipment as described in 40 CFR 273.4
d. Aerosol cans as described in 40 CFR 273.6

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.5.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, and mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

3.5.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer and EAD for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with EAD.

3.5.4 Releases/Spills of Oil and Hazardous Substances

3.5.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the MCAS Cherry Point Fire Department, the MCAS Cherry Point Command Duty Officer, EAD, the Contracting Officer, and the state or local authority.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, and local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government. The Contractor shall adhere to all requirements set forth in ASO 5090.7A.

3.5.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis, materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

3.5.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to

the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

3.6 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the APP, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261, state, and installation requirements.

3.6.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log"(found at: https://www.wbdg.org/dod/ufgs/forms-graphics-tables), which provides information required by (EPCRA Sections 312 and 313) along with corresponding SDS, to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the SDSs for hazardous materials onsite. At the end of the project, provide the Contracting Officer with copies of the SDSs, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

The Contracting Officer may request documentation for any spills or releases, environmental reports, or off-site transfers.

3.7 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

3.8 MILITARY MUNITIONS

In the event military munitions, as defined in 40 CFR 260, are discovered or uncovered, immediately stop work in that area and immediately inform the Contracting Officer.

3.9 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline,

diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the environment. Manage and store POL products in accordance with EPA 40 CFR 112, ASO 5090.7A,and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of oil, including fuel, on the project site is not allowed. Fuel must be brought to the project site each day that work is performed.

3.9.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

3.9.2 Oil Storage Including Fuel Tanks

The Contractor shall adhere to all requirements set forth in ASO 5090.7A. Provide secondary containment and overfill protection for oil storage tanks. A berm used to provide secondary containment must be of sufficient size and strength to contain the contents of the tanks plus 5 inches freeboard for precipitation. Construct the berm to be impervious to oil for 72 hours that no discharge will permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Use drip pans during oil transfer operations; adequate absorbent material must be onsite to clean up any spills and prevent releases to the environment. Cover tanks and drip pans during inclement weather. Provide procedures and equipment to prevent overfilling of tanks. If tanks and containers with an aggregate aboveground capacity greater than 1320 gallons will be used onsite (only containers with a capacity of 55 gallons or greater are counted), provide and implement a Spill Prevention Control and Countermeasure (SPCC) plan meeting the requirements of 40 CFR 112. Do not bring underground storage tanks to the installation for Contractor use during a project. Submit the SPCC plan to the Contracting Officer for approval.

Monitor and remove any rainwater that accumulates in open containment dikes or berms. Inspect the accumulated rainwater prior to draining from a containment dike to the environment, to determine there is no oil sheen present.

3.10 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material until authorized by the Contracting Officer.

3.11 CHLORDANE

Evaluate excess soils and concrete foundation debris generated during the demolition of housing units or other wooden structures for the presence of chlordane or other pesticides prior to reuse or final disposal.

3.12 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times. C

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of North Carolina rules.

3.13 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
02/19, CHG 3: 11/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.1.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.1.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.1.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.1.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.1.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.1.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.1.8 Reuse

The use of a product or materials again for the same purpose, in its

original form or with little enhancement or change.

1.1.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.1.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.2 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.3 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking and documentation of construction waste and demolition debris/waste.

1.3.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.3.2 Oversight

The Environmental Manager, as specified in Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, is responsible for overseeing and documenting results from executing the Construction Waste Management Plan for the project.

1.3.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise

directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.3.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.3.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.4 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan

SD-11 Closeout Submittals

Final Construction Waste Diversion Report; S

1.5 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual understanding meeting outlined in Section 01 45 00 QUALITY CONTROL. At a minimum, discuss and document waste management goals at the following meetings:

a. Preconstruction meeting.

b. Regular Quality Control meetings.

c. Work safety meeting (if applicable).

1.6 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 calendar days after contract award. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION . Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project.

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange

networks and resale stores. Include the name, location, and phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

Distribute copies of the waste management plan to each subcontractor, Environmental Manager, and the Contracting Officer.

1.7 RECORDS (DOCUMENTATION)

1.7.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling, and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused, or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.7.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions, or minor deconstruction activities.

1.8 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 60 days prior to the Beneficial Occupancy Date (BOD).

1.9 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at

the site in a manner which prevents contamination and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS. Separate materials by one of the following methods described herein:

1.9.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

1.9.2 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.10 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.10.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

1.10.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclable materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as

directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.10.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS
05/19, CHG 1: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2024) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

GREEN SEAL (GS)

GS-37	(2017) Cleaning Products for Industrial and Institutional Use

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N	(2024) Navy and Marine Corps Design
UFC 1-300-08	(2023) Criteria for Transfer and Acceptance of DoD Real Property

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.2.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.3 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.3.1 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction drawings and data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CAD drawing files are not construction documents. Differences may exist between the CAD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CAD files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished Source drawing files, the signed and sealed construction documents govern. The Contractor is responsible for determining if any conflict exists. Use of these Source Drawing files does not relieve the Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm, and coordinate the work of all contractors for the project. If the Contractor uses, duplicates, or modifies these electronic source drawing files for use in producing construction drawings and data related to this contract, remove all previous indicia of ownership (seals, logos, signatures, initials, and dates).

1.4 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan
Warranty Tags
Final Cleaning
Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

SD-11 Closeout Submittals

As-Built Drawings
Record Drawings
Warranted Equipment and Materials
Interim DD FORM 1354

List Of Installed Building Equipment Items For DD Form 1354
Final Approved Shop Drawings
Final Approved Submittals

1.5 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance, and repair activities. List those items that may be standard to the normal maintenance of the system.

1.6 WARRANTY MANAGEMENT

1.6.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information made available during the construction phase to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer, and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers, or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.

c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.

d. Warranted Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.

(5) Names, addresses, and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance (O&M) manuals.
(11) Organization, names, and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of equipment covered by warranties longer than one year.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.6.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to, administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.6.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty In connection with these requirements and at the time of the Contractor's Quality Control (QC) completion inspection, furnish the name, telephone number, and address of

a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.6.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and/or red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated, and change related details. These working as-built markup prints must be

neat, legible, and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

   (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

   (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

   (3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data, and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

   (1) Add an entire drawing to contract drawings

   (2) Change the contract drawing to show changes on the drawing.

   (3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds, and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each

change in direction on the record drawings. Locate valves, splice boxes, and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures, or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations, and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications and compliance with FC 1-300-09N procedures.

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 RECORD DRAWINGS

Prepare and provide Record Drawings and Source Documents in accordance with FC 1-300-09N. Provide four copies of Record Drawings and Documents on separate CDs or DVDs 30 days after BOD.

3.3 OPERATION AND MAINTENANCE MANUALS

Provide project O&M manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Submit to the Contracting Officer for approval within

30 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

3.4 FINAL APPROVED SHOP DRAWINGS

Provide four electronic copies of Final Approved Shop Drawings on four separate CDs or DVDs 30 days after BOD.

3.5 FINAL APPROVED SUBMITTALS

Provide four electronic copies of Final Approved Submittals on four separate CDs or DVDs 30 days after BOD.

3.6 CLEANUP

Provide final cleaning in accordance with ASTM E1971 and submit two copies of the listing of completed final clean-up items. Leave premises "broom clean." Comply with GS-37 for general purpose cleaning and bathroom cleaning. Use only nonhazardous cleaning materials, including natural cleaning materials, in the final cleanup. Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains, and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment and comply with the Indoor Air Quality (IAQ) Management Plan. Clean debris from roofs, gutters, downspouts, and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish, and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, and 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.

3.7 REAL PROPERTY RECORD

Refer to UFC 1-300-08 for instruction on completing the DD FORM 1354. Contact the Contracting Officer for any project specific information necessary to complete the DD FORM 1354.

3.7.1 Interim DD FORM 1354

Near the completion of Project, but a minimum of 60 days prior to final acceptance of the work, complete and submit an accounting of all installed property with Interim DD FORM 1354.

3.7.2 Completed DD FORM 1354

For convenience, a blank fillable PDF DD FORM 1354 may be obtained at the following link:
www.esd.whs.mil/Portals/54/Documents/DD/forms/dd/dd1354.pdf

Submit the completed List of Installed Building Equipment items for DD FORM 1354. Attach this list to the updated DD FORM 1354.

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
05/23, CHG 2: 11/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE GUIDELINE 1.4	(2019) Preparing Systems Manuals for Facilities

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2024) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings
ASTM E2166	(2016; R 2023) Standard Practice for Organizing and Managing Building Data

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N	(2024) Navy and Marine Corps Design

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Facility Data Workbook
Training Plan
Training Outline
Training Content
Operation And Maintenance Manual, Progress Submittal
Operation And Maintenance Manual, Prefinal Submittal
Operation And Maintenance Manual, Final Submittal

SD-11 Closeout Submittals

Training Video Recording
Validation of Training Completion
Training Plan
Record Drawings And Utility Systems

1.3 MEETINGS

To assure that Operation and Maintenance (O&M) Manual and Facility Data Workbook (FDW) requirements are being met through the duration of the

project, organize the following meetings and discuss the subsequent topics:

1.3.1 Pre-Construction Meeting

At a minimum, discuss the following:

a. The requirement for O&M Manuals and Facility Data deliverables under this contract including coordination meetings

b. Processes and method of gathering Facility Data information during construction.

c. Primary roles and responsibilities associated with the development and delivery of the O&M Manuals and Facility Data deliverables, and

d. Identify and agree upon a date and attendance list for the meetings described below:

1.3.2 O&M Manual and FDW Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the O&M Manual Progress Submittal. Meeting attendance must include the Contractor's O&M Manual and FDW Preparer, Quality Control (QC) Manager, the Commissioning Authority (CxA), the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for O&M Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation, and timely Government review of O&M Manual.

1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the O&M Manual and FDW.

a. Include personnel from the Coordination meeting and any additional personnel identified.

b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the O&M Manuals and FDW.

c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda for this meeting.

1.3.4 Facility Turnover Meeting

Include O&M Manual in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

1.4 FACILITY DATA WORKBOOK

Develop an editable, electronic spreadsheet based on the equipment in the

O&M Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide FDW as a list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

1.5 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble O&M Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance, and record files, project record documents, and training videos. Include a complete bookmarked operation and maintenance directory.

1.5.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number
b. Project Title
c. Activity and Location
d. Construction Contract Number
e. Prepared For: (Contracting Agency)
f. Prepared By: (Name, title, phone number and email address)
g. Include the disk content on the disk label
h. Date
i. Virus scanning program used

1.5.2 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

1.6 O&M MANUAL CONTENT

Organize the bookmarked O&M Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at: www.wbdg.org/dod/ufgs/ufgs-01-78-23.

1.6.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

1.6.2 Part 2: Facility Design and Construction

1.6.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility. Provide a PDF of the Record Drawings prepared in accordance with FC 1-300-09N and 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code list and generally describe all the facility systems and any special building features (for example, HVAC Controls, Sprinkler Systems, Cranes, Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

1.6.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

1.6.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

1.6.2.4 Room Inventory of Real Property and Finishes

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. Include, as applicable, the following information for each piece of equipment installed: description of item, all dimensions, location by room number, model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Real property includes, but is not limited to, floor coverings, wall surfaces, ceiling surfaces, windows, roofing, HVAC filters, plumbing fixtures, and lighting fixtures. Submit the final list 30 days after transfer of the completed facility.

Include spatial data defining actual net square footage and data of each room. Also include the room finish schedule including room names and numbers. Include schedules in the construction drawings in the room inventory. Add a column to each schedule to record what was provided by the contractor during construction. Provide a PDF of room inventory. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used
(_____)				

1.6.3 Part 3: Facilities, Systems, and Assemblies Information

1.6.3.1 Organization

Bookmark information in this section using the current version of

ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy navigation of the manual.

Example as shown in Table below:

PARTS AND SUBPART NUMBERING
3.1 B20 EXTERIOR CLOSURE (System)
3.1.1 B2030 EXTERIOR DOORS (Subsystem)
3.1.1.1 B2030110 GLAZED DOORS (Component)
3.1.1.1.1 Applicable specifications List in UFGS Format
3.1.1.1.2 Manufacturer's Operations and Maintenance Data
3.1.1.1.3 Approved Submittal
3.1.1.1.4 Coordination/Shop Drawings
3.1.1.1.5 Sequence of Operation for Operating Equipment
3.1.1.1.6 Testing Equipment Information and Performance Data
3.1.1.1.7 Routine Maintenance Requirements
3.1.1.1.8 Repair Procedures
3.1.1.1.9 Emergency Procedures & Locations of Applicable Controls
3.1.1.1.10 Warranties
3.1.1.1.11 Record Drawings and Utility Systems
3.1.1.1.12 Contractor / Supplies Listing and Contact Information

1.6.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List specifications in table format as shown in the below example.

UFGS Number	Specification Title	Page Spec Begins in Part 2

1.6.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files

containing multiple product catalogs from the same manufacturer, or product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

1.6.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and Maintenance Data submittals documents with the required approval.

1.6.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams, and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

1.6.4 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.6.4.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

1.6.4.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.6.4.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown, and Post-shutdown operating procedures including the control sequence for each procedure.

1.6.4.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.6.4.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations, and zones or portions of systems controlled.

1.6.4.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.6.4.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component, or system. Describe conditions under which the item equipment should not be allowed to run.

1.6.4.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.6.4.9 Additional Requirements for Equipment Control Systems

Provide Data Package 5 and the following for all control systems:

a. Provide a narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.

b. Submit complete controls equipment schedules, full as-built sequence of operations, wiring and logic diagrams, Input/Output Tables, equipment schedules, copies of checkout tests and calibrations performed by the Contractor (not Cx tests), and all associates information.

c. Full points list. Provide a listing of rooms with the following information for each room:

(1) Floor

(2) Room number
(3) Room name
(4) Air handler unit ID
(5) Reference drawing number
(6) Air terminal unit tag ID
(7) Heating or cooling valve tag ID
(8) Minimum cfm
(9) Maximum cfm

d. Full print out of all schedules and set points after testing and acceptance of the system.

e. Full as-built print out of software program.

f. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.6.4.10 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.5 Routine Maintenance Requirements

1.6.5.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication, and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.6.5.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.

c. A Lubrication Schedule showing service interval frequency.

1.6.6 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.6.6.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.6.6.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.6.6.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings, and adjustments required. Use a combination of text and illustrations.

1.6.6.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.6.6.5 Warranty Information

List and explain the various warranties and clearly identify the servicing

and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment, or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific O&M procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.7 Record Drawings and Utility Systems

The record drawings are the final compilation of actual conditions reflected in the as-built drawings. Provide record drawings as outlined in 01 78 00 CLOSEOUT SUBMITTALS.

Using Record Source Drawings, show and document details of the actual installation of the utility systems, annotate and highlight the O&M information. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

1.6.6.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.6.6.9 Contractor/Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email, and website of the General Contractor and each Subcontractor who installed the product, equipment, or system. For each item, also provide the name, address, and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6.7 Part 4: Facility Operations

1.6.7.1 Completed Facility Operating Plan

Provide a plan that documents that procedures for the operation of systems and assemblies in the facility. The systems that should be included in the Operating Plan include, but are not limited to:

a. Electrical systems and equipment

b. Mechanical systems and equipment

c. Fire Protection systems and equipment

d. Control Systems and equipment

e. Architectural and Structural systems, fixtures, structures, and equipment

f. Vertical transportation such as elevators and escalators

1.6.7.2 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.6.7.3 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.7.4 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

1.6.7.5 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.6.7.5.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.6.7.5.2 Ongoing Commissioning Operational and Maintenance Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.6.7.5.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

1.6.7.6 Utility Record Drawings

1.6.7.6.1 Utility Schematic Diagrams

Provide a one-line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the 5 foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

1.6.7.6.2 Enlarged Connection and Cutoff Plans

Provide enlarged floor plans and provide information between the 5 foot utilities connection point and where utilities connect to facility distribution. Enlarge floor plans/elevations of the rooms where the utility enters the building and indicate on these plans the locations of the main interior and exterior connection and cutoff points for the utilities. Also enlarge floor plans/elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

1.6.7.6.2.1 Description of Utility Metering and Monitoring Systems

Provide, in narrative format, a description of the utility metering and monitoring systems. Include locations, function, and related systems.

1.6.7.6.2.2 Procedures for Tracking Utility Use and Reporting

Procedures for usage reporting and tracking in support of establishing and monitoring utility budgets and costs, and in developing annual energy reports.

1.6.7.6.2.3 One-Line Diagrams and Meter Location of System

Provide one-line diagrams and design drawings that highlight meter locations on the site.

1.6.7.6.3 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.6.8 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.6.9 Part 6: Cx Project Report and TAB Report

Provide the final Cx Plan and complete Cx reports with evaluation and testing forms and records for each building system. Include relevant commissioned system assemblies test reports including installers checklists of assemblies. Provide all Cx Progress Reports, issues and resolutions logs with resolution or status of each item, and a list of any open items and seasonal or additional testing required.

1.6.10 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.6.11 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.6.12 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.7.1 Package Quality

Documents must be fully legible. O&M data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.7.2 Data Package 1

a. Safety precautions and hazards
b. Cleaning recommendations
c. Maintenance and repair procedures
d. Warranty information
e. Extended warranty information
f. Contractor information
g. Spare parts and supply list

1.7.3 Data Package 2

a. Safety precautions and hazards
b. Normal operations
c. Environmental conditions
d. Lubrication data
e. Preventive maintenance plan, schedule, and procedures
f. Cleaning recommendations
g. Maintenance and repair procedures
h. Removal and replacement instructions
i. Spare parts and supply list
j. Parts identification
k. Warranty information
l. Extended warranty information
m. Contractor information

1.7.4 Data Package 3

a. Safety precautions and hazards
b. Operator prestart
c. Startup, shutdown, and post-shutdown procedures
d. Normal operations
e. Emergency operations
f. Environmental conditions
g. Operating log
h. Lubrication data

i. Preventive maintenance plan, schedule, and procedures
j. Cleaning recommendations
k. Troubleshooting guides and diagnostic techniques
l. Wiring diagrams and control diagrams
m. Maintenance and repair procedures
n. Removal and replacement instructions
o. Spare parts and supply list
p. Product submittal data
q. O&M submittal data
r. Parts identification
s. Warranty information
t. Extended warranty information
u. Testing equipment and special tool information
v. Testing and performance data
w. Contractor information
x. Field test reports

1.7.5 Data Package 4

a. Safety precautions and hazards
b. Operator prestart
c. Startup, shutdown, and post-shutdown procedures
d. Normal operations
e. Emergency operations
f. Operator service requirements
g. Environmental conditions
h. Operating log
i. Lubrication data
j. Preventive maintenance plan, schedule, and procedures
k. Cleaning recommendations
l. Troubleshooting guides and diagnostic techniques
m. Wiring diagrams and control diagrams
n. Repair procedures
o. Removal and replacement instructions
p. Spare parts and supply list
q. Repair work-hours
r. Product submittal data
s. O&M submittal data
t. Parts identification
u. Warranty information
v. Extended warranty information
w. Personnel training requirements
x. Testing equipment and special tool information
y. Testing and performance data
z. Contractor information
aa. Field test reports

1.7.6 Data Package 5

a. Safety precautions and hazards
b. Operator prestart
c. Start-up, shutdown, and post-shutdown procedures
d. Normal operations
e. Environmental conditions
f. Preventive maintenance plan, schedule, and procedures
g. Troubleshooting guides and diagnostic techniques
h. Wiring and control diagrams
i. Maintenance and repair procedures
j. Removal and replacement instructions

k. Spare parts and supply list
l. Product submittal data
m. Manufacturer's instructions
n. O&M submittal data
o. Parts identification
p. Testing equipment and special tool information
q. Warranty information
r. Extended warranty information
s. Testing and performance data
t. Contractor information
u. Field test reports
v. Additional requirements for HVAC control systems

1.7.7 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the Facilities Management Specialist, building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the O&M Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the QC Manager prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and the QC Manager. Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the O&M information. The QC Manager and the CxA is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

3.1.3 Training Outline

Provide the O&M Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the

instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the O&M Manual Preparer for inclusion into the Manual's documentation.

3.1.7 Quality Control Coordination

Coordinate this training with the QC Managerin accordance with Section 01 45 00 QUALITY CONTROL.

3.2 SUBMITTAL SCHEDULING

3.2.1 Operation and Maintenance Manual, Progress Submittal

Submit the Progress submittal, when construction is approximately 50 percent complete, to the Contracting Officer for approval. Provide O&M Manual Files (Bookmarked PDF). Include the elements and portions of system construction completed up to this point. The purpose of this submittal is to verify progress is in accordance with contract requirements as discussed during the O&M Manual Coordination Meeting.

3.2.2 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the O&M Prefinal Submittal to the Contracting Officer for approval a minimum of 60 calendar days prior to the Beneficial Occupancy Date (BOD).. This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of the O&M Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include O&M Manual Files (Bookmarked PDF).

3.2.3 Operation and Maintenance Manual, Final Submittal

Submit completed O&M Manual Files (Bookmarked PDF). The Final submittal

is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

SECTION 01 80 00

REPORTS
04/15

PART 1 GENERAL

1.1 REPORTS INCLUDED

1.1.1 Asbestos and Lead Paint Inspection Report

1.2 USE OF INFORMATION

1.2.1 Warranty

The information disclosed in the referenced reports is based on data obtained in specific locations and is assumed to be representative of conditions throughout the site. This information is furnished without warranty and is only for general information to be used by the contractor in the preparation of his bid and work schedule. It is not intended as a replacement for personal investigation and judgment, or interpretation of the information furnished, as required of the contractor in the performance of this contract.

1.2.2 Site Visit

Bidders should visit the site and acquaint themselves with all existing conditions prior to preparing their bid. This will include a review of the conditions contained in the enclosed report as they relate to the site. The contractor is responsible for including in his bid and work schedule, procedures for handling existing site conditions delineated in the included reports in accord with applicable laws and regulations as those conditions may affect the work.

1.2.3 Application of Information

Recommendations contained in the reports are to be used by the contractor only to the extent that these recommendations comply with applicable laws, regulations, and other sections of the these specifications.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 VARYING CONDITIONS

If during the course of the work, conditions are encountered which are not covered in the included reports or are different from conditions that would be reasonably anticipated from the included reports, the contractor shall immediately notify the Contracting Officer. If such conditions are hazardous or the continuation of work would cause a hazardous condition to develop, he shall stop work and proceed as directed by the Contracting Officer as directed by provisions contained in other sections of this specification. This may include modifications to, or the development of a

new, Health and Safety Plan for this project, and alternate or additional appropriate abatement procedures.

3.2 CHANGES TO THE CONTRACT

Any changes to the contract made as a result of site conditions which differ from those delineated in the report may result in an adjustment of the contract amount. The adjustment will be an increase or decrease depending on the scope and nature of the change and will be in accord with other provisions of these specifications.

-- End of Section --

ASBESTOS AND LEAD PAINT SURVEY

B4225 - REPLACE CHILLERS AND COOLING TOWERS

MARINE CORPS AIR STATION (MCAS)
CHERRY POINT, NORTH CAROLINA 28533

ECS PROJECT NO. 49:26945

FOR: SUMMER CONSULTANTS, INC.

JANUARY 28, 2026

January 28, 2026

Mr. Robert Pointer
Summer Consultants, Inc.
4325 Lake Boone Trail
Suite 301
Raleigh, North Carolina 27607
pointer@summerconsultants.com

ECS Project No. 49:26945

Reference: Asbestos and Lead Paint Survey, B4225 - Replace Chillers and Cooling Towers, Marine Corps Air Station (MCAS), Cherry Point, North Carolina

Dear Mr. Pointer:

ECS Southeast, LLC (ECS) is pleased to provide Summer Consultants, Inc. with the results of the above referenced Asbestos and Lead Paint Survey performed for the B4225 - Replace Chillers and Cooling Towers project located at Marine Corps Air Station (MCAS) in Cherry Point, North Carolina. This report summarizes our observations, analytical results, findings, and recommendations related to the work performed. The work described in this report was performed by ECS in general accordance with the Scope of Services described in ECS Proposal Number 49:53197PR1 and the terms and conditions of the agreement authorizing those services.

ECS appreciates this opportunity to provide Summer Consultants, Inc. with our services. If we can be of further assistance to you, please do not hesitate to contact us.

Sincerely,

ECS Southeast, LLC

Braxton B. Dawson
Environmental Senior Project Manager
bdawson@ecslimited.com
910-686-9114

Jenny Clark, REM
Associate Environmental Principal
jclark@ecslimited.com
404-640-9257

EXECUTIVE SUMMARY

The subject property is improved with a B4225 located at Marine Corps Air Station (MCAS) in Cherry Point, Craven County, North Carolina. Building 4225 is an Industrial/Business occupancy constructed in 1987, and currently occupied by the Fleet Readiness Center East (FRCE). The building houses the administrative and industrial operations related to the repair of specialized aircraft components. The building is currently occupied and is slated for renovations to the chillers and cooling tower equipment.

The purpose of the survey was to determine whether asbestos-containing materials (ACM) or lead-containing paints (LCP) are present on the subject property. The survey was limited to the interior and exterior areas of the chiller mechanical room and exterior cooling tower yard. It should be noted that photographs were not permitted in the building due to FRCE policy.

Asbestos Survey

On January 20, 2026, Mr. Braxton B. Dawson, a North Carolina-accredited asbestos inspector, performed the asbestos assessment. Bulk samples were submitted to Scientific Analytical Institute, Inc. (SAI) in Greensboro, North Carolina for analysis via Polarized Light Microscopy (PLM) in accordance with the current EPA-600 methodology.

A total of 14 bulk samples from six homogeneous areas were submitted to the laboratory, of which 14 layers were analyzed. Based on the laboratory analysis of the bulk samples collected during the survey, none of the materials were reported to contain asbestos.

Due to inaccessibility or the destructive means that asbestos sampling requires, unseen ACMs may remain within the building hidden behind inaccessible areas, which include, but are not limited to, sub-grade walls, structural members, topping slabs, sub-grade sealants, flooring located below underlayments, areas behind exterior walls, pipe trenches, and subsurface utilities.

If suspect materials are discovered during construction activities, they should be presumed to contain asbestos and be treated as ACMs or be sampled immediately upon discovery and prior to disturbance for asbestos content by an accredited or certified asbestos inspector in accordance with 29 Code of Federal Regulations (CFR) 1926.1101.

Lead Paint Survey

The lead paint assessment was conducted by the collection of paint chip samples from suspect lead paint materials. The paint chip samples were submitted to a laboratory that participates in the American Industrial Hygiene Association (AIHA) Environmental Lead Proficiency Analytical Testing (ELPAT) Program for analysis of lead concentration (percent by weight) using Flame Atomic Absorption Spectroscopy.

Based on the laboratory analysis of the paint chips collected during the survey, the following building components were reported as lead-containing paint:

- Red Riser Piping - Chiller Room

- Beige Double Doors - Chiller Room

Paint and surface coatings that contain detectable concentrations of lead are considered "lead-containing paints." Since OSHA has no specific action level for lead in paint, all paint on the site found to have a measurable concentration of lead should be assumed to be lead-containing. Work performed that may disturb lead-containing paint is regulated under OSHA, as referenced under 29 CFR 1926.62.

Recommendations regarding the removal and disposal of the LCP identified by ECS can be found in Section 5.0 of this report.

The executive summary is an integral portion of this report; however, ECS recommends that the report be read in its entirety.

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Appendix II: Site Photographs

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Appendix V: Certifications/Licenses

1.0 SITE DESCRIPTION

The subject property is improved with a B4225 located at Marine Corps Air Station (MCAS) in Cherry Point, Craven County, North Carolina. Building 4225 is an Industrial/Business occupancy constructed in 1987, and currently occupied by the Fleet Readiness Center East (FRCE). The building houses the administrative and industrial operations related to the repair of specialized aircraft components. The building is currently occupied and is slated for renovations to the chillers and cooling tower equipment. The survey was limited to the interior and exterior areas of the chiller mechanical room and exterior cooling tower yard. It should be noted that photographs were not permitted in the building due to FRCE policy.

The building construction includes structural steel framing, CMU walls with brick veneer facade, and a low-slope roof system on a concrete slab foundation. The chiller mechanical room and cooling tower yard are located on the southwest side of the building. The interior mechanical systems were observed to be insulated with foamglas or fiberglass insulation. The exterior exposed cooling tower piping was observed to be insulated with aluminum-jacketed spun fiberglass.

2.0 PURPOSE

The purpose of the Asbestos and Lead Paint Survey was to identify asbestos-containing materials (ACM) or lead-containing paint (LCP) that require special handling and/or disposal if disturbed during construction activities. The identification of ACMs requires trained labor, regulated work practices, and special disposal. The identification of LBP or other lead hazards requires disclosure to contractors and monitoring of lead exposure.

3.0 METHODOLOGY

ECS performed the authorized Scope of Services in general accordance with our proposal, standard industry practice(s) and methods specified by regulation(s) for the identification of ACMs and LCPs.

3.1 Asbestos-Containing Materials

The non-invasive asbestos survey was performed by Mr. Braxton B. Dawson (NC Asbestos Inspector No. 12830) on January 20, 2026. The survey consisted of observing the accessible areas of the proposed renovation areas for the presence of suspect materials that may contain asbestos. The survey involved detecting both friable materials (materials that can be pulverized or reduced to a powder by hand pressure when dry) and non-friable materials (materials that pose a hazard when sawn, sanded, drilled, or pulverized). Homogeneous materials (based on material type, color, texture, etc.) were identified during the survey.

The EPA National Emissions Standard for Hazardous Air Pollutants (NESHAP) requires a survey for asbestos before renovation or demolition. Demolition is defined under NESHAP as the removal of a load-bearing structural member, and renovation is an action that disturbs building materials. Based on requirements under NESHAP and the North Carolina Asbestos Hazard Management Program (AHMP), administered by the Health Hazards Control Unit (HHCU) for renovation or demolition activities, ECS conducted a limited survey for potential ACM. The ACM survey was limited in that we did not conduct demolition, such as jack/sledgehammering, to expose potentially concealed

materials. Samples were collected in general accordance with Environmental Protection Agency (EPA) Standard 40 CFR 763 Subpart E, Asbestos Hazard Emergency Response Act (AHERA), and Occupational Safety and Health Administration (OSHA) Standard 29 CFR 1926.1101 Inspection Protocol.

Representative bulk samples were collected, placed in sealed packages, and submitted to Scientific Analytical Institute, Inc. (SAI) for analysis using the Environmental Protection Agency (EPA) recommended method of Polarized Light Microscopy (PLM) coupled with dispersion staining (Method No. EPA 600/R-93/116). SAI participates in the National Voluntary Laboratory Accreditation Program (NVLAP). Their NVLAP accreditation number is 200664-0. Several of the samples were layered and analyzed as multiple samples. EPA regulations require collecting multiple samples of each homogeneous area for laboratory analysis. The material type, sample location, and analytical results of each bulk sample are also summarized in the attached Asbestos Bulk Analysis report in **Appendices**.

Samples were analyzed using the "Positive Stop" methodology. If one sample of a homogeneous material is reported to contain asbestos, the remaining samples are not analyzed. If one sample of a material from a homogeneous area is reported to contain greater than 1% asbestos, then by EPA definition, it is characterized as an ACM regardless of additional analysis.

During the survey, ECS attempted to identify suspect ACMs in readily accessible areas. However, due to the destructive means required to identify some materials, certain areas were deemed inaccessible (i.e. behind walls or sub-grade materials) and were not surveyed for suspect ACMs.

3.2 Lead in Paint and Surface Coatings

ECS completed a lead paint screening within the building as part of our assessment activities. The collection of representative paint chip samples was performed throughout the renovation areas. The survey was limited to the interior and exterior areas of the chiller mechanical room and exterior cooling tower yard. Samples collected were containerized, labeled, and transported to SAI. Each of the paint chip samples was subsequently analyzed for the presence of lead, reported in percent lead by weight, via EPA Method SW 846, 7000B (Flame AAS). The chain-of-custody, which includes sample numbers and sample locations, is included in an Appendix of this report.

4.0 RESULTS

The following is a summary of laboratory results, findings and observations.

4.1 Asbestos Sampling

In total, 14 bulk samples from six homogeneous areas were submitted to the laboratory, of which 14 layers were analyzed.

An ACM is defined as any material containing more than one percent (>1%) asbestos as determined using the method specified in Appendix E, Subpart E, 40 CFR Part 763, Section 1, PLM. The U.S. EPA categorizes ACM as follows:

- Friable ACMs are defined as any ACM that, when dry, can be crumbled, pulverized, or reduced to powder by hand pressure. Non-friable ACMs are defined as any ACM that, when dry, cannot be crumbled, pulverized, or reduced to powder by hand pressure.
- Category I non-friable ACM includes packings, gaskets, resilient floor coverings, and asphalt roofing products containing more than one percent (>1%) asbestos.
- Category II non-friable ACM is listed as any material, excluding Category I non-friable ACM, containing more than one percent (>1%) asbestos.

Regulated Asbestos Containing Materials (RACM) are friable ACM or non-friable ACM that will be or has been subjected to sanding, grinding, cutting, or abrading, or has crumbled, been pulverized, or reduced to powder in the course of renovation and/or demolition operations.

SAI submitted a signed final laboratory report to ECS on January 27, 2026. None of the bulk samples submitted for analysis were reported to contain asbestos in detectable concentrations. A complete list of the sampled materials submitted for analysis and sample locations are included in the table below.

Asbestos Bulk Sample Locations and Analysis Results

Sample ID	Sample Location	Material Description	Analytical Results	Category	Estimated Quantity
1-1,2,3	Mechanical Room Walls	CMU Surfacing Material	ND (Not Detected)	N/A (Not Applicable)	N/A (Not Applicable)
2-1,2	Pumps	Gasket Material	ND	N/A	N/A
3-1,2	Chiller Tanks	Gasket Material	ND	N/A	N/A
4-1,2	Chillwater Piping	Gasket Material	ND	N/A	N/A
5-1,2,3	Insulated Piping	Fiberglass TSI Sealant	ND	N/A	N/A
6-1,2	Cooling Towers	Exterior TSI Caulk	ND	N/A	N/A

4.2 Suspect or Assumed Asbestos-Containing Materials

Due to the inaccessibility or the destructive means that asbestos sampling requires, additional suspect ACMs may remain within the building, hidden behind inaccessible areas that include but are not limited to sub-grade walls, structural members, topping slabs, sub-grade sealants, flooring located below underlayments, areas behind exterior walls, pipe trenches, and subsurface utilities, etc. These areas were deemed inaccessible and were not assessed.

If these materials are discovered during construction activities, they should be presumed to contain asbestos and be treated as ACMs or sampled immediately upon discovery and prior to disturbance for asbestos content by a certified asbestos inspector in accordance with 29 CFR 1926.1101.

Based upon our experience in the identification of ACMs in similarly constructed buildings, the following additional suspect ACMs may also be located in inaccessible areas of the structure:

- Damp proofing mastic on exterior CMU walls behind brick veneer

4.3 Lead in Paint and Surface Coatings

Paint and surface coatings that contain detectable concentrations of lead are considered to be LCP. Because OSHA has no specific action level for lead in paint, all paint on the site found to have measurable lead concentrations should be assumed to be lead-containing. Work performed that may disturb lead-containing paint is regulated under OSHA, as referenced under 29 CFR 1926.62.

Detectable concentrations of lead were reported in the paint chip samples analyzed. The identified lead-containing paints are summarized in the table below.

Summary Paint Chip Sampling Results

Sample ID	Location	Component	Substrate	Color	Result
PC-1	**Mechanical Room**	**Piping**	**Metal**	**Red**	**0.033%**
PC-2	Mechanical Room	Chiller Tanks	Metal	Beige	<0.0042%
PC-3	Mechanical Room	Walls	CMU	White	<0.0048%
PC-4	Mechanical Room	Floor Caoting	Concrete	White	<0.0080%
PC-5	Mechanical Room	Condenser Piping	Metal	Green	<0.0042%
PC-6	**Mechanical Room**	**Double Doors**	**Metal**	**Beige**	**0.0076%**
PC-7	Mechanical Room	Door Frames	Metal	Beige	<0.0039%
Bold = Lead-Containing Paint					

5.0 RECOMMENDATIONS AND REGULATORY REQUIREMENTS

Based on our understanding of the purpose of the Asbestos and Lead Paint Survey, the results of laboratory analysis, and our findings and observations, ECS presents the following recommendations.

5.1 Asbestos-Containing Materials

None of the bulk samples submitted to Scientific Analytical Institute, Inc. were reported to contain detectable concentrations of asbestos. If additional suspect asbestos-containing materials are uncovered which were not accessible during this sampling event, it is recommended that these materials be sampled or tested immediately upon discovery for asbestos content by an asbestos inspector in accordance with 29 CFR 1926.1101.

5.2 Lead in Paint and Surface Coatings

Based on the findings of the lead survey, detectable concentrations of lead were identified on some paints and surface coatings.

The presence of lead is a concern primarily when conditions exist where it may be inhaled or ingested. Regardless of the analytical results of a material, all painted and/or glazed surfaces may still contain concentrations of lead in the paint, which when disturbed, may generate lead dust greater than the Permissible Exposure Limit (PEL) of 50 micrograms per cubic meter (ug/m3) as an 8-hour Time Weighted Average (TWA) established by the OSHA "Lead Exposure in Construction Rule (29 CFR 1926.62)."

The OSHA standard gives no guidance on acceptable levels of lead in paint at which no exposure to airborne lead (above the action level) would be expected. Rather, OSHA defines airborne concentrations and references specific types of work practices and operations from which a lead hazard may be generated (reference 29 CFR 1926.62, section d). Environmental and personnel monitoring should be conducted during any removal/demolition process (as appropriate) to verify that actual personal exposures are below the Permissible Exposure Limit (PEL) of 50 micrograms per cubic meter ($\mu g/m^3$) as an 8-hour Time Weighted Average (TWA). Under OSHA requirements, the contractor performing renovation work will be required to conduct this monitoring and follow applicable requirements under 29 CFR 1926.62 if disturbing lead-containing paint.

6.0 LIMITATIONS

The conclusions and recommendations presented within this report are based upon a reasonable level of assessment within normal bounds and standards of professional practice for a site in this particular geographic setting. ECS is not responsible or liable for the discovery and elimination of hazards that may potentially cause damage, accidents, or injuries.

The observations, conclusions, and recommendations pertaining to environmental conditions at the subject site are necessarily limited to conditions observed, and/or materials reviewed at the time this study was undertaken. No warranty, expressed or implied, is made with regard to the conclusions and recommendations presented within this report. This report is provided for the exclusive use of the client. This report is not intended to be used or relied upon in connection with other projects or by other unidentified third parties without the written consent of ECS and the client.

During this study, samples were submitted for analysis at an accredited laboratory via polarized light microscopy. As with any similar survey of this nature, actual conditions exist only at the precise locations from which samples were collected. Certain inferences are based on the results of this sampling and related testing to form a professional opinion of conditions in areas beyond those from which the samples were collected. No warranty, expressed or implied, is made.

Our recommendations are in part based on federal, state, and local regulations and guidelines. ECS does not assume the responsibility of the person(s) in charge of the site, or otherwise undertake responsibility for reporting to any local, state, or federal public agencies, any conditions at the site that may present a potential danger to public health, safety, or the environment. Under this scope of services, ECS assumes no responsibility regarding any response actions initiated as a result of these findings. General compliance with regulations and response actions are the sole responsibility of the Client and should be conducted in accordance with local, state, and/or federal requirements.

Appendix I: Figures

Asbestos and Lead Survey

Figure 1

Site Overview

Replace Chillers and Cooling Tower
Building 4225
Marine Corps Air Station Cherry Point
Havelock, North Carolina

ECS Project No. 49-26945

IMAGE SOURCE:

Google Earth

N

Asbestos and Lead Survey

Figure 2

Sample Locations

Replace Chillers and Cooling Tower
Building 4225
Marine Corps Air Station Cherry Point
Havelock, North Carolina

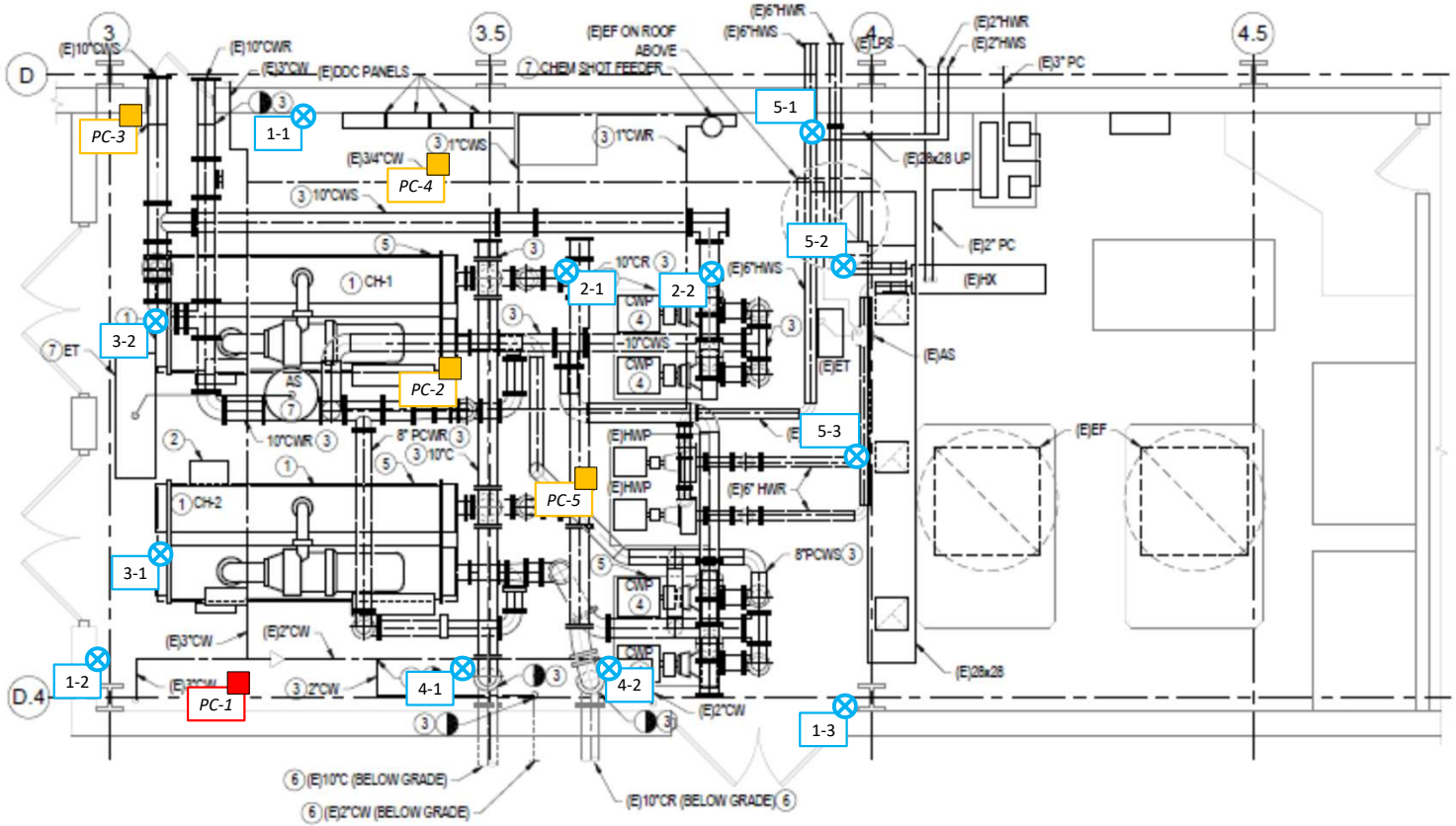
ECS Project No. 49-26945

SAMPLE LEGEND:

XX-XX	Sample Location
⊗	Asbestos Not Detected
■	Lead-Containing Paint
■	Lead Below Detection Limit

NOTES:

Floor plan not to scale.



Mechanical Room Plan

Floor Plan

Asbestos and Lead Survey

Figure 3

Sample Locations

Replace Chillers and Cooling Tower
Building 4225
Marine Corps Air Station Cherry Point
Havelock, North Carolina

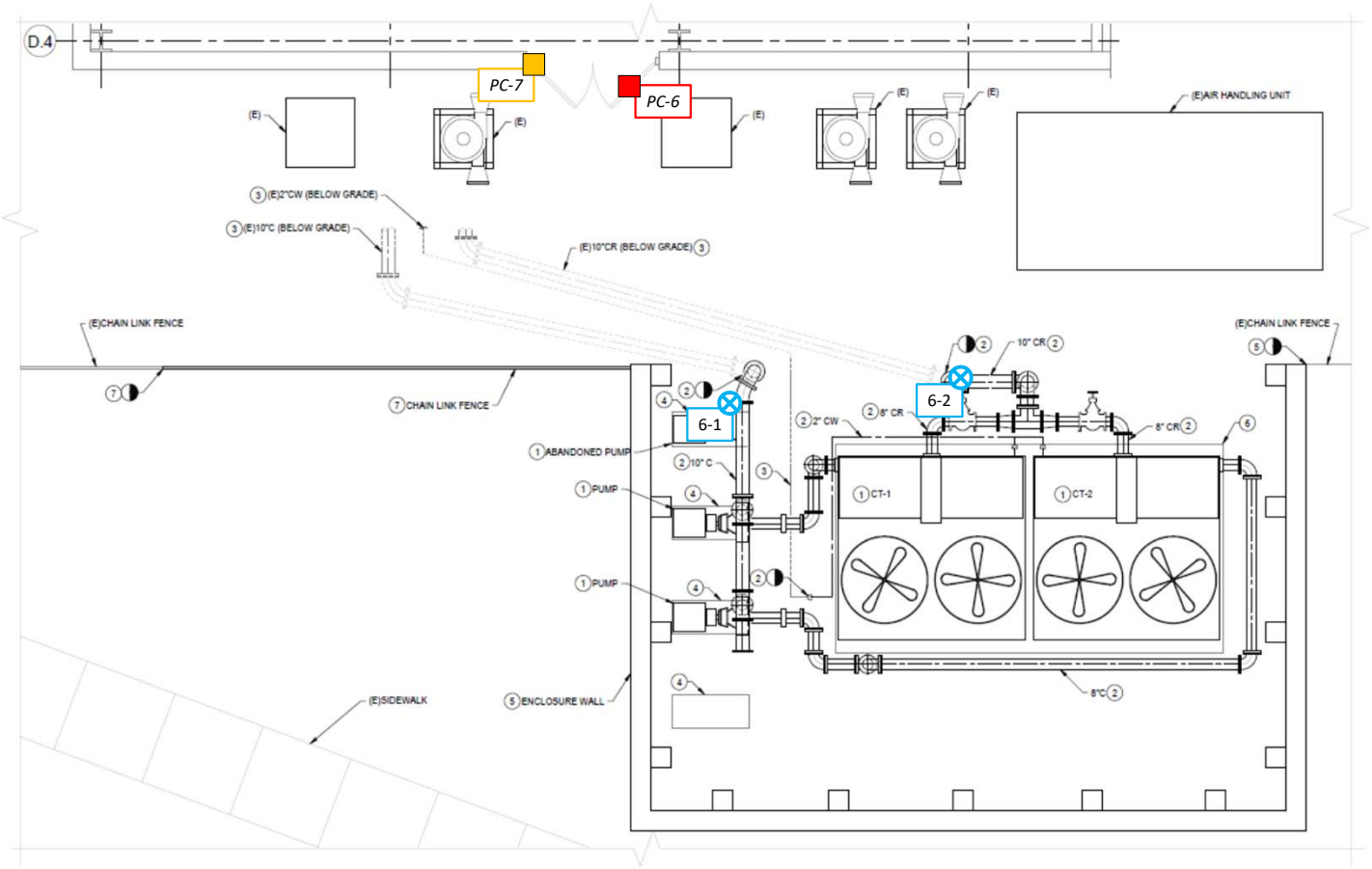
ECS Project No. 49-26945

SAMPLE LEGEND:

XX-XX	Sample Location
⊗	Asbestos Not Detected
■	Lead-Containing Paint
■	Lead Below Detection Limit

NOTES:

Floor plan not to scale.



Exterior Chiller Yard
Floor Plan

Appendix II: Site Photographs

1 - Photographs were not permitted due to FRCE policy

Appendix III: Asbestos Bulk Sample Results

Bulk Asbestos Analysis

By Polarized Light Microscopy
EPA Method: 600/R-93/116 and
40 CFR, Part 763, Subpart E, App.E

NVLAP LAB CODE 200664-0

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson
Bailey Proctor

Project: B4225 - Replace Chillers Havelock, NC

Lab Order ID: **10100557**
Analysis: PLM
Date Received: 01/22/2026
Date Reported: 01/27/2026

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Asbestos	Fibrous Components	Non-Fibrous Components	Attributes / Treatment
1-1	CMU Coating	**None Detected**	**5% Cellulose**	**95% Other**	Gray Non-Fibrous Homogeneous
10100557_0001					Crushed, Dissolved
1-2	CMU Coating	**None Detected**	**5% Cellulose**	**95% Other**	Gray Non-Fibrous Homogeneous
10100557_0002					Crushed, Dissolved
1-3	CMU Coating	**None Detected**	**5% Cellulose**	**95% Other**	Gray Non-Fibrous Homogeneous
10100557_0003					Dissolved, Crushed
2-1	Gasket Material	**None Detected**		**100% Other**	Red, Green Non-Fibrous Homogeneous
10100557_0004					Ashed
2-2	Gasket Material	**None Detected**		**100% Other**	Green, Red Non-Fibrous Homogeneous
10100557_0005					Ashed
3-1	Gasket Material	**None Detected**		**100% Other**	Black, Gray Non-Fibrous Homogeneous
10100557_0006					Ashed
3-2	Gasket Material	**None Detected**		**100% Other**	Gray, Black Non-Fibrous Homogeneous
10100557_0007					Ashed
4-1	Gasket Material	**None Detected**	**5% Cellulose**	**95% Other**	Green Non-Fibrous Homogeneous
10100557_0008					Ashed

Disclaimer: Due to the nature of the EPA 600 method, asbestos may not be detected in samples containing low levels of asbestos. We strongly recommend that analysis of floor tiles, vermiculite, and/or heterogenous soil samples be conducted by TEM for confirmation of "None Detected" by PLM. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. This report may not be used by the client to claim product endorsement by NVLAP or any other agency of the U.S. government. Analytical uncertainty available upon request. Scientific Analytical Institute participates in the NVLAP Proficiency Testing program. Unless otherwise noted blank sample correction was not performed. Estimated MDL is 0.1%.

Kiersten Smith (14)
Analyst

Approved Signatory

Bulk Asbestos Analysis

By Polarized Light Microscopy
EPA Method: 600/R-93/116 and
40 CFR, Part 763, Subpart E, App.E

NVLAP LAB CODE 200664-0

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson
Bailey Proctor

Project: B4225 - Replace Chillers Havelock, NC

Lab Order ID: **10100557**
Analysis: PLM
Date Received: 01/22/2026
Date Reported: 01/27/2026

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Asbestos	Fibrous Components	Non-Fibrous Components	Attributes / Treatment
4-2	Gasket Material	**None Detected**	**5% Cellulose**	**95% Other**	Green Non-Fibrous Homogeneous
10100557_0009					Ashed
5-1	TSI Sealant	**None Detected**		**100% Other**	Cream, Green Non-Fibrous Homogeneous
10100557_0010					Ashed
5-2	TSI Sealant	**None Detected**		**100% Other**	Cream, Green Non-Fibrous Homogeneous
10100557_0011					Ashed
5-3	TSI Sealant	**None Detected**		**100% Other**	Cream, Green Non-Fibrous Homogeneous
10100557_0012					Ashed
6-1	Exterior Caulk	**None Detected**		**100% Other**	Cream, Silver Non-Fibrous Homogeneous
10100557_0013					Ashed
6-2	Exterior Caulk	**None Detected**		**100% Other**	Silver, Cream Non-Fibrous Homogeneous
10100557_0014					Ashed

Disclaimer: Due to the nature of the EPA 600 method, asbestos may not be detected in samples containing low levels of asbestos. We strongly recommend that analysis of floor tiles, vermiculite, and/or heterogenous soil samples be conducted by TEM for confirmation of "None Detected" by PLM. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. This report may not be used by the client to claim product endorsement by NVLAP or any other agency of the U.S. government. Analytical uncertainty available upon request. Scientific Analytical Institute participates in the NVLAP Proficiency Testing program. Unless otherwise noted blank sample correction was not performed. Estimated MDL is 0.1%.

Kiersten Smith (14)
Analyst

Approved Signatory

10100557

Client:	ECS Southeast, LLC	***Instructions:***	
Contact:	Braxton Dawson	*Use Column "B" for your contact info*	
Address:	6714 Netherlands Drive Wilmington, NC 28405	*To See an Example Click the bottom Example Tab.*	
Phone:	910-899-1289		
Fax:	910-686-9666		
Email:	bdawson@ecslimited.com	***Enter samples between "<<" and ">>"***	
cc:	bproctor@ecslimited.com	***Begin Samples with a "<< "above the first sample and end with a ">>" below the last sample.***	
Project:	B4225 - Replace Chillers Havelock, NC	*Only Enter your data on the first sheet "Sheet1"*	
Client Notes:			
Date Sampled:	1/20/2026 0:00	*Note: Data 1 and Data 2 are optional fields that do not show up on the official report, however they will be included in the electronic data returned to you to facilitate your reintegration of the report data.*	
P.O. #.	49-26945		
Date Submitted:	1/20/2026 0:00		
Analysis:	PLM Bulk W/ Positive Stop		
TurnAroundTime:	72-hr TAT		

Scientific Analytical Institute

4604 Dundas Drive
Greensboro, NC 27407
Phone: 336.292.3888
Fax: 336.292.3313
Email: lab@sailab.com

Sample Number	Notes	Sample Description	Location
<<			
1-1		CMU Coating	Mechanical Room
1-2		CMU Coating	Mechanical Room
1-3		CMU Coating	Mechanical Room
2-1		Gasket Material	Red - Mechanical Room
2-2		Gasket Material	Red - Mechanical Room
3-1		Gasket Material	Black - Chillers - Mechanical Room
3-2		Gasket Material	Black - Chillers - Mechanical Room
4-1		Gasket Material	White Chillwater Supply/Return
4-2		Gasket Material	White Chillwater Supply/Return
5-1		TSI Sealant	Fiberglass, Foam, & Fart Rock
5-2		TSI Sealant	Fiberglass, Foam, & Fart Rock
5-3		TSI Sealant	Fiberglass, Foam, & Fart Rock
6-1		Exterior Caulk	Cooling Tower - Piping
6-2		Exterior Caulk	Cooling Tower - Piping
>>			

Accepted ☑

Rejected ☐

Bailey Proctor

Bailey Proctor

Received By

CP 1/22 10:30AM

Appendix IV: Lead Laboratory Analytical Results

Analysis for Lead Concentration in Paint Chips

by Flame Atomic Absorption Spectroscopy
EPA SW-846 3050B/6010C/7000B

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson
Bailey Proctor

Project: B4225 - Replace Chillers Havelock, NC

Lab Order ID: **10100550**
Analysis: PBP
Date Received: 01/22/2026
Date Reported: 01/27/2026

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Mass (g)	Reporting Limit (ppm)	Concentration (ppm)	Concentration (% by weight)
PC-1 / *10100550_0001*	**Red Riser Piping**	0.0874	46	330	**0.033%**
PC-2 / *10100550_0002*	**Beige Chillers**	0.0951	42	<42	**<0.0042%**
PC-3 / *10100550_0003*	**White CMU Walls**	0.0834	48	<48	**<0.0048%**
PC-4 / *10100550_0004*	**White Floor Coating**	0.0502	80.	<80.	**<0.0080%**
PC-5 / *10100550_0005*	**Green Condenser Piping**	0.0942	42	<42	**<0.0042%**
PC-6 / *10100550_0006*	**Beige Double Doors**	0.0675	59	76	**0.0076%**
PC-7 / *10100550_0007*	**Beige Double Door Frames**	0.1037	39	<39	**<0.0039%**

Disclaimer: Unless otherwise noted blank sample correction was not performed on analytical results. Scientific Analytical Institute participates in the AIHA ELPAT program. ELPAT Laboratory ID: 173190. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. Analytical uncertainty available upon request. The quality control samples run with the samples in this report have passed all EPA required specifications unless otherwise noted. RL: (Report Limit for an undiluted 50ml sample is 4µg Total Pb). All sample dried before preparation and analysis.

Athena Summa (7)
Analyst

Approved Signatory

10100550

Client:	ECS Southeast, LLC	***Instructions:***	
Contact:	Braxton Dawson	*Use Column "B" for your contact info*	
Address:	6714 Netherlands Drive Wilmington, NC 28405	*To See an Example Click the bottom Example Tab.*	
Phone:	910-899-1289		
Fax:	910-686-9666		
Email:	bdawson@ecslimited.com	***Enter samples between "<<" and ">>"***	
cc:	bproctor@ecslimited.com	***Begin Samples with a "<< "above the first sample and end with a ">>" below the last sample.***	***Scientific Analytical Institute***
Project:	B4225 - Replace Chillers Havelock, NC	*Only Enter your data on the first sheet "Sheet1"*	
Client Notes:			
Date Sampled:	1/20/2026 0:00	*Note: Data 1 and Data 2 are optional fields thet do not show up on the official report, however they will be included in the electronic data returned to you to facilitate your reintegration of the report data.*	***4604 Dundas Drive Greensboro, NC 27407 Phone: 336.292.3888 Fax: 336.292.3313 Email: lab@sailab.com***
P.O. #.	49-26945		
Date Submitted:	1/20/2026 0:00		
Analysis:	Paint Chips by Flame AA (PBP)		
TurnAroundTime:	72-hr TAT		

Sample Number	Notes	Sample Description	Location
<<			
PC-1		Red Riser Piping	Mechanical Room
PC-2		Beige Chillers	Mechanical Room
PC-3		White CMU Walls	Mechanical Room
PC-4		White Floor Coating	Mechanical Room
PC-5		Green Condenser Piping	Mechanical Room
PC-6		Beige Double Doors	Mechanical Room
PC-7		Beige Double Door Frames	Mechanical Room
>>			

Accepted ☒

Rejected ☐

Bailey Proctor

1/22 1050

Received By

Appendix V: Certifications/ Licenses

NC DEPARTMENT OF
HEALTH AND
HUMAN SERVICES

JOSH STEIN • Governor
DEVDUTTA SANGVAI • Secretary

December 3, 2025

Braxton B Dawson III
515 Green Meadows Dr
Wilmington, NC 28405

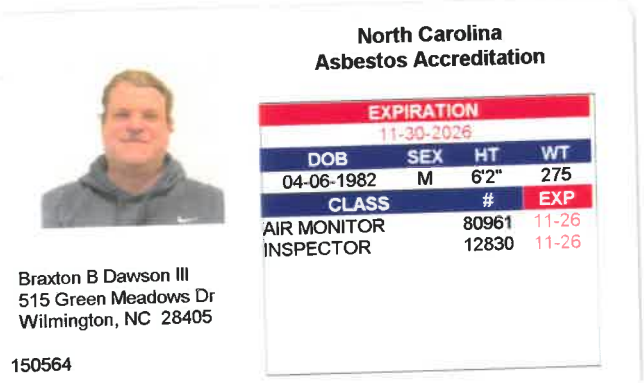
Dear Mr. Dawson:

Based upon the review of your accreditation application, the Health Hazards Control Unit (HHCU) has determined that you have fulfilled the requirements and are eligible for asbestos accreditation as a(n) INSPECTOR. Your assigned North Carolina accreditation number is 12830, which is reflected on your enclosed North Carolina Accreditation card. Please be sure to take this card with you to any asbestos work site where you are employed. The State requires that all persons conducting asbestos abatement or asbestos management activities be accredited and have their identification card on site.

Your North Carolina Inspector accreditation will expire on NOVEMBER 30, 2026. It is NOT the policy of the HHCU to issue renewal notices. If you wish to continue working as a(n) Inspector after this expiration date, you must successfully complete the required training and submit a completed application to this office prior to November 30, 2026. If you should continue to perform asbestos management activities as a(n) Inspector without a valid North Carolina accreditation, you will be in violation of State regulations and may be cited for noncompliance.

Sincerely,

Ed Norman
Program Manager
Health Hazards Control Unit



SECTION 01 91 00.15

BUILDING COMMISSIONING
05/23, CHG 2: 11/23

PART 1 GENERAL

Building Commissioning is a systematic, quality-focused process for enhancing the delivery of a project that focuses on verifying and documenting that all of the commissioned systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the project requirements. The purpose is to reduce the cost and performance risks associated with delivering facilities projects, and to increase value to owners, occupants, and users.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 202	(2018) Commissioning Process for Buildings and Systems

1.2 DEFINITIONS

Commissioning Process (Cx) - a quality-focused process for enhancing the delivery of a project. Refer to ASHRAE 202 for a comprehensive description of the commissioning process.

Commissioning Provider (CxC) - The entity hired by the Government, who leads, plans, and coordinates the Commissioning Team. The terms Commissioning Provider, Commissioning Firm, Lead Commissioning Specialist, Commissioning Specialist, and Commissioning Authority (CA or CxA) when used by sustainable Third Party Certification (TPC) programs, are interchangeable.

Commissioning Authority – The Government retains the authority for oversight and assurance of the entire commissioning process, and final approval of all commissioning deliverables.

Government Acceptance Testing Representatives - Government Acceptance Testing Representatives perform the inherently Governmental function of technical oversight and quality assurance for critical systems, and is distinctly separate from the commissioning process. Government Acceptance Testing Representatives witness final testing of critical systems and report systems' acceptance to the Contracting Officer's Representative (COR). Submittals to be surveilled and approved by Government Acceptance Testing Representatives are identified in Section 01 33 00 SUBMITTAL PROCEDURES. Testing required to be witnessed by Government Acceptance Testing Representatives are identified in system level sections.

1.3 COMMUNICATION WITH THE GOVERNMENT COMMISSIONING PROVIDER

The CQC System Manager QC Manager must communicate directly with the CxC and COR regarding all elements of the commissioning process; however, the CxC has no direct contract authority. Coordinate with the COR for all commissioning activities required by the Commissioning Provider. Inform the COR when systems are ready for commissioning activities, and allow access to the construction site and system(s) to be tested.

1.4 COMMUNICATION WITH GOVERNMENT ACCEPTANCE TESTING REPRESENTATIVES

The QC Manager must communicate directly with the Government Acceptance Testing Representatives and COR regarding Government acceptance testing activities. Inform the COR when systems are ready for testing to be witnessed by Government Acceptance Testing Representatives, and allow access to the construction site and system(s) to be tested.

1.5 SYSTEMS TO BE COMMISSIONED

Coordinate commissioning and QC activities for the following systems, equipment, and associated controls. System-specific requirements are located in the associated specification sections. Commission the following systems, equipment, and associated controls in accordance with this section and the inspection, testing, and QC requirements of their respective sections:

Heating, ventilating, air-conditioning, and refrigeration systems (mechanical and passive) and associated controls (HVAC)

Building Control Systems

1.6 RELATED SECTIONS

Refer to the following technical sections for additional commissioning requirements for respective systems:

Section 23 08 00 COMMISSIONING OF MECHANICAL SYSTEMS

1.7 COMMISSIONING TEAM

The Commissioning team will include, but is not limited to the following team members.

Ensure all Construction Activities for systems to be commissioned are coordinated with the appropriate commissioning team members.

a. Commissioning Provider

b. QC Manager (QCM)

c. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned

d. Construction Manager (CM)

e. Technical Commissioning Specialists for each system to be commissioned

f. TAB Representative

g. Equipment manufacturer representatives

h. Government Contracting Officer

i. Government Representatives

j. Installation Maintenance Representative

k. Facility End User

m. Government Acceptance Testing Representatives

1.8 PROJECT SCHEDULE

Include the following tasks in the project schedule required by Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES and in the construction phase commissioning plan. Ensure sufficient time is scheduled to complete each item. The order of items listed below is not intended to imply a specified sequence:

e. Commissioning Kickoff Meeting

f. Regular Commissioning Coordination Meetings

g. Installation of permanent utilities (gas, water, electric, steam)

h. Building Enclosure Construction

i. Submission and approval of the Completed Building Enclosure Inspection Checklists

j. Manufacturer's Equipment Start-Up for each of the systems to be commissioned

k. Submission and approval of the Completed Commissioning Observation Checklists

l. Submission and approval of Certificate of Readiness for each system to be commissioned

m. Commissioning Testing, including Functional Performance Testing, for each system to be commissioned

n. Integrated Systems Tests

o. Post-test deficiency correction for each system to be commissioned

p. Re-Testing

s. Training for each of the systems to be commissioned

u. Final testing required to be witnessed by Government Acceptance

Testing Representatives, as identified in system level sections.

1.9 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Completed Construction Observation Checklists

SD-10 Operation and Maintenance Data

Systems Manual

1.10 GOVERNMENT HIRED COMMISSIONING PROVIDER

The Commissioning Provider (CxC) is employed by Government under separate contract. Incorporate key milestones of the Commissioning process into the Project Schedule identified in this Section.

1.11 ISSUES LOG

The Commissioning Specialist develops and maintains an Issues Log for the systems to be commissioned. The issues log documents and tracks resolution of deficiencies identified during submittal reviews, inspection, and testing. At any point during construction, any commissioning team member finding deficiencies may communicate those deficiencies in writing to the Commissioning Specialist for inclusion into the Issues Log. For each issue, the Issues Log includes, but is not limited to, a unique reference number, description of the issue with contract requirement referenced, location of or equipment name/tags exhibiting the issue, the initials of the individual's name whom reported the issue, the date of first observation, the proposed resolution of the issue and date proposed, the date of any subsequent observations with applicable additional information, and the date of implementation of the final resolution of the issue as confirmed by the Commissioning Specialist and Contracting Officer. Issues must not be deleted from the issues log.

1.12 CERTIFICATE OF READINESS

Prior to scheduling Commissioning Tests for each system, the QC Manager must issue a Certificate of Readiness for each system, certifying that inspections have been completed, open issues have been resolved, and the system is ready for Commissioning Tests. Refer to each related technical commissioning specification section for additional requirements.

Submit the Certificate of Readiness for each system 20 working days prior to Commissioning Tests of that system. Do not schedule Commissioning Tests for a system until the Certificate of Readiness is approved by the Government.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 CONSTRUCTION SUBMITTAL REVIEWS

Coordinate construction submittal document reviews for commissioned systems and assemblies with the CxC. The commissioning submittal review does not replace the Government submittal review, in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

The CxC is responsible for identifying construction submittals to be provided by the contractor for the commissioned systems. The CxC is responsible for evaluating construction submittals for compliance with the contract documents.

3.2 COMMISSIONING KICKOFF MEETING

The CxC is responsible for conducting a Commissioning Kickoff Meeting no later than 60 days following construction notice to proceed to discuss the commissioning process including contract requirements, lines of communication, roles and responsibilities, schedules, documentation requirements, inspection and test procedures, and logistics as specified in this section.

The Construction Manager, QC team, DOR, and the Government Acceptance Testing Representatives and other Government team members must attend this meeting. Invite the User and a Public Works Division Representative to attend this meeting.

3.3 REGULAR COMMISSIONING COORDINATION MEETINGS

The QC team, DOR, and the Government Acceptance Testing Representatives and other Government team members must attend this meeting. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned must attend this meeting as requested by the CxC. Invite the User and a Public Works Division Representative to attend this meeting.

Participate in monthly commissioning coordination meetings led by the CxC when installation of commissioned systems begins. Provide status of commissioned systems, open issues log items, outstanding submittals, and upcoming commissioning activities. Participate in bi-weekly commissioning coordination meetings within 30 days of the scheduled date for commissioning testing.

3.4 CONSTRUCTION PHASE COMMISSIONING PLANS

The Interim Construction Phase Commissioning Plan identifies the commissioning and testing standards and outline the overall commissioning process, the commissioning schedule, the commissioning team members and responsibilities, lines of communication, documentation requirements for the construction phase of the project. Provide a list of team members for systems to be commissioned with contact information, a list of tests as required by Section 01 33 00 SUBMITTAL PROCEDURES, and project schedule as required by Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES for inclusion in the Interim Construction Phase Commissioning

Plan no later than 14 calendar days after the Commissioning Coordination Meeting.

The Final Construction Phase Commissioning Plan includes the information provided in the Interim Construction Phase Commissioning Plan as well as commissioning construction observation checklists and test procedures such as Pre-Functional Checklists, Integrated Systems Test Checklists, and Functional Performance Test Checklists and other Commissioning Test Checklists for each building, for each system required to be commissioned, and for each component for inclusion in the Final Construction Phase Commissioning Plan. Refer to the related technical commissioning specification section for additional requirements for checklists. Provide updates to the list of team members for systems to be commissioned with contact information, a list of tests as required by Section 01 33 00 SUBMITTAL PROCEDURES, and project schedule as required by Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES for inclusion in the Final Construction Phase Commissioning Plan within 14 calendar days of a written request from the CxC.

3.4.1 Construction Observation Checklists

Use the Construction Observation Checklists prepared by the CxC for physical inspection or testing to demonstrate that installation and start-up of equipment and systems is complete. Refer to paragraph COMMISSIONING INSPECTIONS.

3.4.2 Test Procedures and Checklists

Use the test procedures and checklists prepared by the CxC that list, step-by-step, the actions and expected results that will demonstrate that the systems perform in accordance with the contract. Refer to paragraph COMMISSIONING TESTS.

3.5 COMMISSIONING INSPECTIONS

Complete inspections using Construction Observation Checklists for each individual item of equipment or system for each system required to be commissioned in accordance with the commissioning plan. Indicate commissioning team member inspection and validation of each checklist item by initials. Validation of each checklist item by each team member indicates that item conforms to the contract documents and design in their area of responsibility. Commissioning Specialist validation of each checklist item indicates that each item has been installed correctly and in accordance with contract documents. Submit the initialed and Completed Construction Observation Checklists no later than 7 calendar days after completion of inspection of all checklists items for each system.

3.6 COMMISSIONING TESTS

Demonstrate that all systems, equipment, and components have been installed correctly and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents. Perform tests as specified in related technical commissioning specifications. Provide all materials, services, and labor required to perform all commissioning tests.

Commissioning Specialist's duties include leading and documenting all tests for the systems to be commissioned with appropriate sub-contractors performing the Tests. The representatives listed in the paragraph

COMMISSIONING TEAM must attend the tests with the exception of the Construction Manager.

3.6.1 Test Scheduling and Coordination

Schedule Commissioning Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Commissioning tests.

Commissioning Tests and Integrated Systems Tests must be performed with the CxC present. Government reserves the right to witness all tests. Coordinate test schedule with Government representatives.

3.6.2 Testing Procedures

Commissioning tests include tests such as functional performance and integrated systems tests. Perform test procedures in accordance with the commissioning standards specified. In addition, comply with the testing procedures specified in the sections listed in paragraph RELATED SECTIONS.

3.6.3 Sample Strategy

Refer to the sections identified in paragraph RELATED SECTIONS for sample strategy.

3.6.4 Aborted Tests and Re-Testing

Abort any test if any deficiency prevents successful completion of the test or if any required commissioning team member is not present for the test. Re-test after all deficiencies identified during the original test have been corrected. Contracting Officer may withhold payment equivalent to lost time, re-testing, and aborted tests. These costs may include salary, travel costs, and per diem for Government team members.

3.7 TRAINING PLAN

CxC must review the training plan for training associated with the equipment and systems to be commissioned, checking that each plan has the trainer name, trainer contract information, training schedule, and location. Submit review at least 30 days prior to the first training event. Incorporate CxC review comments prior to submitting training plan in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Update and resubmit the training plan based on any corrective action taken.

3.8 SYSTEMS MANUAL

The Systems Manual includes system single line diagrams, as-built sequences of operation and controls drawings, as-built control setpoints, recommended schedule for sensor and actuator calibration, recommended schedule of maintenance when not in the O&M manuals, recommended re-testing schedule with proposed testing forms, and full equipment warranty information for all commissioned systems. Incorporate CxC review comments prior to submitting Systems Manual in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Update and resubmit the system manual information based on any corrective action taken during the warranty period.

3.9 COMMISSIONING REPORT

The Commissioning Specialist is responsible for preparing a Commissioning Report following commissioning team validation of all Commissioning Tests, including Functional Performance Tests, and Integrated Systems Tests, with the exception of Seasonal Tests. Provide information including, but not limited to, outstanding deficiencies and recommended resolutions, seasonal testing that must be scheduled for a later date, Completed Pre-Functional Checklists and other Commissioning Observation Checklists, Training Attendance Rosters, PVT Report, and the approved TAB Report within 14 days of request.

3.10 WARRANTY PHASE SITE VISIT

Notify the Lead Commissioning Specialist at least 28 calendar days prior to visiting building site for the 9 month warranty inspection. Provide updates to any documentation included in the Commissioning Report based on the results of the warranty phase inspection. Provide all warranty phase documentation, such as Seasonal testing results to the Commissioning Specialist.

-- End of Section --

SECTION 02 41 00

DEMOLITION
08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI Guideline K	(2009) Guideline for Containers for Recovered Non-Flammable Fluorocarbon Refrigerants

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 145	(1991; R 2025) Standard Specification for Classification of Soils and Soil-Aggregate Mixtures for Highway Construction Purposes
AASHTO T 180	(2025) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.6	(2006) Safety & Health Program Requirements for Demolition Operations - American National Standard for Construction and Demolition Operations

ASTM INTERNATIONAL (ASTM)

ASTM D2487	(2017; R 2025) Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. DEFENSE LOGISTICS AGENCY (DLA)

DLA 4145.25	(Jun 2000; Reaffirmed Oct 2010) Storage and Handling of Liquefied and Gaseous Compressed Gases and Their Full and Empty Cylinders; https://www.dla.mil/Portals/104/Documents/Dispositions/ddsr/docs/cylinderjointpub.pdf

U.S. DEPARTMENT OF DEFENSE (DOD)

DOD 4000.25-1-M	(2006) MILSTRIP - Military Standard Requisitioning and Issue Procedures
MIL-STD-129	(2014; Rev R; Change 1 2018; Change 2 2019; Change 3 2023) Military Marking for Shipment and Storage

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous Air Pollutants
40 CFR 82	Protection of Stratospheric Ozone
49 CFR 173.301	Shipment of Compressed Gases in Cylinders and Spherical Pressure Vessels

1.2 PROJECT DESCRIPTION

1.2.1 Definitions

1.2.1.1 Demolition

Demolition is the process of tearing apart and removing any feature of a facility together with any related handling and disposal operations.

1.2.1.2 Deconstruction

Deconstruction is the process of taking apart a facility with the primary goal of preserving the value of all useful building materials.

1.2.1.3 Demolition Plan

Demolition Plan is the planned steps and processes for managing demolition activities and identifying the required sequencing activities and disposal mechanisms.

1.2.1.4 Deconstruction Plan

Deconstruction Plan is the planned steps and processes for dismantling all or portions of a structure or assembly, to include managing sequencing activities, storage, re-installation activities, salvage and disposal mechanisms.

1.2.2 Demolition/Deconstruction Plan

Prepare a Demolition Plan and submit proposed demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress. Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed. Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use. Coordinate with Waste Management Plan in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Provide procedures for safe conduct of the work in accordance

with EM 385-1-1. Plan must be approved by Contracting Officer prior to work beginning.

1.2.3 General Requirements

Do not begin demolition or deconstruction until authorization is received from the Contracting Officer. The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials. The work includes demolition, , salvage of identified items and materials, and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 17, Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Comply with FAR 52.236-9 to protect existing vegetation, structures, equipment, utilities, and improvements. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove dust, dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Trees

Protect the trees that are to remain and might be damaged during demolition or deconstruction by a 6 foot high polyethylene fence. Erect and secure fence to protect the critical root zone (CRZ) using a 1.5-foot protection radius for each 1 inch of tree diameter measured at breast height (DBH) or edge of tree canopy, whichever is greater. Replace any tree designated to remain that is damaged during the work under this contract with like-kind and approved by the Contracting Officer.

1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect

against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the Government and disconnected and sealed by the Contractor .

1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted .

1.5 AVAILABILITY OF WORK AREAS

Areas in which the work is to be accomplished will be available at contract award.

1.6 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Demolition Plan; G

Existing Conditions

SD-07 Certificates

Notification; G

SD-11 Closeout Submittals

Receipts

1.7 QUALITY ASSURANCE

Submit timely notification of demolition and renovation projects to Federal, State, regional, and local authorities in accordance with

40 CFR 61, Subpart M. Notify the Regional Office of the United States Environmental Protection Agency (USEPA) and the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSP A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

1.7.1 Dust and Debris Control

Prevent the spread of dust and debris to occupied portions of the building and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Vacuum and dust the work area daily .

1.8 PROTECTION

1.8.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Anchor barricades in a manner to prevent displacement by wind, jet or prop blast. Notify the Contracting Officer prior to beginning such work.

1.8.2 Protection of Personnel

Before, during and after the demolition work continuously evaluate the condition of the site specific features being demolished and take immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.9 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer or the Contracting Officer's Representative showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs or electronic images with a minimum resolution of 3072 x 2304 pixels, capable of a print resolution of 300 dpi, will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results to the Contracting Officer or the Contracting Officer's Representative.

PART 2 PRODUCTS

2.1 FILL MATERIAL

a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures. Provide fill material consisting of waste products from demolition or deconstruction until all waste appropriate for this purpose is consumed.

b. Provide fill material conforming to the definition of satisfactory soil material as defined in ASTM D2487 . In addition, fill material must be free from roots and other organic matter, trash, debris, frozen materials, and stones larger than 2 inches in any dimension. To the greatest extent possible, place suitable excavated soils back in the originating excavation. All excavated soil not used as backfill in the originating excavation (i.e. excess soil) shall be stockpiled and analyzed in accordance with FRCEASTINST 5090.1, Chapter 18. Requirements found in Chapter 18 shall include: collection of samples by personnel trained for collection of environmental samples; selection of a laboratory certified in the state of North Carolina for the required analysis (GRO, DRO, Oils, Grease, TCLP and PFAS); utilization of selected laboratory chain of custody documentation; submission of analytical results to MCAS Environmental Affairs Department (EAD) for review, disposal determination; and, disposal. All moving, storing, testing, and berm costs associated with the excavated soil shall be the responsibility of the contractor. All soil shall be stockpiled in accordance with North Carolina Division of Environmental Quality (NC DEQ) Ground water Guidelines and Resource Conservation and Recovery Act (RCRA) until used as backfill or final disposal.

c. Proposed fill material must be sampled and tested by an approved soil testing laboratory, as follows:

Soil classification	AASHTO M 145
Moisture-density relations	AASHTO T 180, Method B or D

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Disassemble existing construction scheduled to be removed for reuse. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Designate materials for reuse onsite whenever possible.

3.1.1 Structures

a. Remove existing structures indicated to be removed to foundation splab per contract drawings.

b. Demolish structures in a systematic manner from the top of the structure to the ground. Complete demolition work above each tier or

floor before the supporting members on the lower level are disturbed. Demolish concrete and masonry walls in small sections. Remove structural framing members and lower to ground by means of derricks, platforms hoists, or other suitable methods as approved by the Contracting Officer.

c. Locate demolition and deconstruction equipment throughout the structure and remove materials so as to not impose excessive loads to supporting walls, floors, or framing.

3.1.2 Utilities and Related Equipment

3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.3 Chain Link Fencing

Remove chain link fencing, gates and other related salvaged items scheduled for removal and transport to designated areas. Remove gates as whole units. Cut chain link fabric to 25 foot lengths and store in rolls off the ground.

3.1.4 Paving and Slabs

Remove sawcut concrete and asphaltic concrete paving and slabs as indicated on contract drawings. Provide neat sawcuts at limits of pavement removal as indicated. Move, grind and store pavement and slabs designated to be recycled and utilized in this project as directed by the Contracting Officer. Remove pavement and slabs not to be used in this project from the installation at Contractor's expense.

3.1.5 Masonry

Sawcut and remove masonry so as to prevent damage to surfaces to remain and to facilitate the installation of new work. Where new masonry adjoins existing, abut or tie the new work into the existing construction as indicated . Provide square, straight edges and corners where existing masonry adjoins new work and other locations. Masonry removed in pieces must be crushed for use as aggregate.

3.1.6 Concrete

Saw concrete along straight lines to a depth of a minimum 2 inch. Make each cut in walls perpendicular to the face and in alignment with the cut in the opposite face. Break out the remainder of the concrete provided that the broken area is concealed in the finished work, and the remaining concrete is sound. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through the concrete. Sawcuts in existing concrete pads must be at the nearest existing expansion joint or weakened plane joint and at full depth.

3.1.7 Structural Steel

Dismantle structural steel at field connections and in a manner that will prevent bending or damage. Salvage for recycle structural steel, steel joists, girders, angles, plates, columns and shapes. Do not use flame-cutting torches . Transport steel joists and girders as whole units and not dismantled. Transport structural steel shapes to a designated recycling facility , stacked according to size, type of member and length, and stored off the ground, protected from the weather. Route any metal, including pipe, conduit, and electrical wiring through the FRC East Recycle Center, Building 4785. Provide at a minimum 72 hours' notice to FRCE PM prior to drop off. Provide a weight ticket of the material sent for recycling to FRC-E Env for capturing landfill diversion rates.

3.1.8 Miscellaneous Metal

Salvage shop-fabricated items such as access doors and frames, steel gratings, metal ladders, wire mesh partitions, metal railings, metal windows and similar items as whole units. Salvage light-gage and cold-formed metal framing, such as steel studs, steel trusses, metal gutters, roofing and siding, metal toilet partitions, toilet accessories and similar items. Recycle scrap metal as part of demolition and deconstruction operations. Provide separate containers to collect scrap metal and transport to a scrap metal collection or recycling facility, in accordance with the Waste Management Plan. Route any metal, including pipe, conduit, and electrical wiring through the FRC East Recycle Center, Building 4785. Provide at a minimum 72 hours' notice to FRCE PM prior to drop off. Provide a weight ticket of the material sent for recycling to FRC-E Env for capturing landfill diversion rates.

3.1.9 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Make finished surfaces of patched area flush with the adjacent existing surface and match the existing adjacent surface as closely as possible to texture and finish. Provide patching as specified and indicated, and include the following:

a. Concrete and Masonry: Completely fill holes and depressions, caused by previous physical damage or left as a result of removals in existing masonry walls to remain, with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.

b. Where existing partitions have been removed leaving damaged or missing resilient tile flooring, patch to match the existing floor tile.

c. Patch acoustic lay-in ceiling where partitions have been removed. Make the transition between the different ceiling heights by continuing the higher ceiling level over to the first runner on the lower ceiling and closing the vertical opening with a painted sheet metal strip.

3.1.10 Air Conditioning Equipment

Remove air conditioning, refrigeration, and other equipment containing refrigerants without releasing chlorofluorocarbon refrigerants to the

atmosphere in accordance with the Clean Air Act Amendment of 1990. Recover all refrigerants prior to removing air conditioning, refrigeration, and other equipment containing refrigerants and dispose of in accordance with the paragraph entitled "Disposal of Ozone Depleting Substance (ODS)." Turn in salvaged Class I ODS refrigerants as specified in paragraph, "Salvaged Materials and Equipment."

3.1.11 Mechanical Equipment and Fixtures

Disconnect mechanical hardware at the nearest connection to existing services to remain, unless otherwise noted. Disconnect mechanical equipment and fixtures at fittings. Remove service valves attached to the unit. Salvage each item of equipment and fixtures as a whole unit; listed, indexed, tagged, and stored. Salvage each unit with its normal operating auxiliary equipment. Transport salvaged equipment and fixtures, including motors and machines, to a designated storage area as directed by the Contracting Officer. Do not remove equipment until approved. Do not offer low-efficiency equipment for reuse; provide to recycling service for disassembly and recycling of parts.

3.1.11.1 Preparation for Storage

Remove water, dirt, dust, and foreign matter from units; drain tanks, piping and fixtures; if previously used to store flammable, explosive, or other dangerous liquids, steam clean interiors. Seal openings with caps, plates, or plugs. Secure motors attached by flexible connections to the unit. Change lubricating systems with the proper oil or grease.

3.1.11.2 Piping

Disconnect piping at unions, flanges and valves, and fittings as required to reduce the pipe into straight lengths for practical storage. Store salvaged piping according to size and type. If the piping that remains can become pressurized due to upstream valve failure, attach end caps, blind flanges, or other types of plugs or fittings with a pressure gage and bleed valve to the open end of the pipe to ensure positive leak control. Carefully dismantle piping that previously contained gas, gasoline, oil, or other dangerous fluids, with precautions taken to prevent injury to persons and property. Store piping outdoors until all fumes and residues are removed. Box prefabricated supports, hangers, plates, valves, and specialty items according to size and type. Wrap sprinkler heads individually in plastic bags before boxing. Classify piping not designated for salvage, or not reusable, as scrap metal.

3.1.11.3 Fixtures, Motors and Machines

Remove and salvage fixtures, motors and machines associated with plumbing, heating, air conditioning, refrigeration, and other mechanical system installations. Salvage, box and store auxiliary units and accessories with the main motor and machines. Tag salvaged items for identification, storage, and protection from damage. Classify broken, damaged, or otherwise unserviceable units and not caused to be broken, damaged, or otherwise unserviceable as debris to be disposed of by the Contractor.

3.1.12 Electrical Equipment and Fixtures

Salvage motors, motor controllers, and operating and control equipment that are attached to the driven equipment. Salvage wiring systems and components. Box loose items and tag for identification. Disconnect

primary, secondary, control, communication, and signal circuits at the point of attachment to their distribution system.

3.1.12.1 Fixtures

Remove and salvage electrical fixtures. Salvage unprotected glassware from the fixture and salvage separately. Salvage incandescent, mercury-vapor, and fluorescent lamps and fluorescent ballasts manufactured prior to 1978, boxed and tagged for identification, and protected from breakage.

3.1.12.2 Electrical Devices

Remove and salvage switches, switchgear, transformers, conductors including wire and nonmetallic sheathed and flexible armored cable, regulators, meters, instruments, plates, circuit breakers, panelboards, outlet boxes, and similar items. Box and tag these items for identification according to type and size.

3.1.12.3 Wiring Ducts or Troughs

Remove and salvage wiring ducts or troughs. Dismantle plug-in ducts and wiring troughs into unit lengths. Remove plug-in or disconnecting devices from the busway and store separately. Route any metal, including pipe, conduit, and electrical wiring through the FRC East Recycle Center, Building 4785. Provide at a minimum 72 hours' notice to FRCE PM prior to drop off. Provide a weight ticket of the material sent for recycling to FRC-E Env for capturing landfill diversion rates.

3.1.12.4 Conduit and Miscellaneous Items

Salvage conduit except where embedded in concrete or masonry. Consider corroded, bent, or damaged conduit as scrap metal. Sort straight and undamaged lengths of conduit according to size and type. Classify supports, knobs, tubes, cleats, and straps as debris to be removed and disposed. Route any metal, including pipe, conduit, and electrical wiring through the FRC East Recycle Center, Building 4785. Provide at a minimum 72 hours' notice to FRCE PM prior to drop off. Provide a weight ticket of the material sent for recycling to FRC-E Env for capturing landfill diversion rates.

3.2 CONCURRENT EARTH-MOVING OPERATIONS

Do not begin excavation, filling, and other earth-moving operations that are sequential to demolition or deconstruction work in areas occupied by structures to be demolished or deconstructed until all demolition and deconstruction in the area has been completed and debris removed. Fill holes, open basements and other hazardous openings.

3.3 DISPOSITION OF MATERIAL

3.3.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, become the property of the Contractor and must be removed from Government property. Materials approved for storage by the Contracting Officer must be removed before completion of the contract. Title to materials resulting from demolition and

deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.3.2 Salvaged Materials and Equipment

Remove materials and equipment that are indicated to be removed by the Contractor and that are to remain the property of the Government, and deliver to a storage site .

a. Salvage items and material to the maximum extent possible.

b. Store all materials salvaged for the Contractor as approved by the Contracting Officer and remove from Government property before completion of the contract. Coordinate the salvaged materials with tracking requirements in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Capture salvaged materials in the diversion calculations for the project.

c. Remove and capture all Class I ODS refrigerants in accordance with the Clean Air Act Amendment of 1990, and turn in to the Navy by shipping the refrigerant container to the Defense Logistics Agency at the following address:

(1) Defense Depot Richmond VA (DDRV)
(2) SW0400
(3) Cylinder Operations
(4) 00 Jefferson Davis Highway
(5) Richmond, VA 23297-5900

3.3.3 Disposal of Ozone Depleting Substance (ODS)

Class I and Class II ODS are defined in Section, 602(a) and (b), of The Clean Air Act. Prevent discharge of Class I and Class II ODS to the atmosphere. Place recovered ODS in cylinders meeting AHRI Guideline K suitable for the type ODS (filled to no more than 80 percent capacity) and provide appropriate labeling. Recovered ODS must be Remove recovered ODS from Government property and dispose of in accordance with 40 CFR 82. Dispose products, equipment and appliances containing ODS in a sealed, self-contained system (e.g. residential refrigerators and window air conditioners) in accordance with 40 CFR 82. Submit Receipts or bills of lading, as specified. Submit a shipping receipt or bill of lading for all containers of ozone depleting substance (ODS) shipped to the Defense Depot, Richmond, Virginia.

3.3.3.1 Special Instructions

No more than one type of ODS is permitted in each container. Apply a warning/hazardous label to the containers in accordance with Department of Transportation regulations. Provide a tag with the following information on all cylinders including but not limited to fire extinguishers, spheres, or canisters containing an ODS:

a. Activity name and unit identification code

b. Activity point of contact and phone number

c. Type of ODS and pounds of ODS contained

d. Date of shipment

e. National stock number (for information, call (804) 279-4525).

3.3.4 Transportation Guidance

Ship all ODS containers in accordance with MIL-STD-129, DLA 4145.25 (also referenced one of the following: Army Regulation 700-68, Naval Supply Instruction 4440.128C, Marine Corps Order 10330.2C, and Air Force Regulation 67-12), 49 CFR 173.301, and DOD 4000.25-1-M.

3.3.5 Unsalvageable and Non-Recyclable Material

Dispose of unsalvageable and non-recyclable noncombustible material off the site .

3.3.6 Hazardous Materials

Contractors shall comply with FRCEASTINST 5090.1 Chapter 31, before removing any hazardous materials from the FRC East. All hazardous materials shall be stored in containers that assure the proper control and accounting of the material and provide for the safe transportation and storage. All containers shall be labeled with information revealing the exact contents, hazards, and manufacture name and phone number.

3.4 CLEANUP

Remove debris and rubbish from project site and similar excavations. Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.5 DISPOSAL OF REMOVED MATERIALS

3.5.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified in the Waste Management Plan . Storage of removed materials on the project site is prohibited.

3.5.2 Burning on Government Property

Burning of materials removed from demolished and deconstructed structures will not be permitted on Government property .

3.5.3 Removal to Spoil Areas on Government Property

Transport noncombustible materials removed from demolition and deconstruction structures to designated spoil areas on Government property.

3.5.4 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal

disposal. Dispose of waste soil per Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.6 REUSE OF SALVAGED ITEMS

Recondition salvaged materials and equipment designated for reuse before installation. Replace items damaged during removal and salvage operations or restore them as necessary to usable condition.

-- End of Section --

SECTION 02 83 00

LEAD REMEDIATION
08/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP Z9.2	(2018) Fundamentals Governing the Design and Operation of Local Exhaust Ventilation Systems

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 701	(2023; ERTA 1 2023) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)

HUD 6780	(1995; Rev July 2012) Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing (2nd Edition)

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926.62	Lead
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures

49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 178	Specifications for Packagings

U.S. NAVAL FACILITIES ENGINEERING SYSTEMS COMMAND (NAVFAC)

ND OPNAV M-5100.23	(2020; Rev G) Navy Safety and Occupational Health Manual

UL SOLUTIONS (UL)

UL 586	(2009; Reprint Sep 2022) UL Standard for Safety High-Efficiency Particulate, Air Filter Units

1.2 DEFINITIONS

1.2.1 Action Level

Employee exposure, without regard to use of respirators, to an airborne concentration of lead of micrograms per cubic meter of air averaged over an 8-hour period.

1.2.2 Area Sampling

Sampling of lead concentrations within the lead control area and inside the physical boundaries which is representative of the airborne lead concentrations but is not collected in the breathing zone of personnel (approximately 5 to 6 feet above the floor).

1.2.3 Certified Industrial Hygienist (CIH)

As used in this section refers to a person retained by the Contractor who is certified as an industrial hygienist and who is trained in the recognition and control of lead hazards in accordance with current federal, State, and local regulations. CIH must be certified for comprehensive practice by the Board for Global EHS Credentialing (BGC). The Certified Industrial Hygienist must be independent of the Contractor and must have no employee or employer relationship which could constitute a conflict of interest.

1.2.4 Competent Person (CP)

As used in this section, refers to a person employed by the Contractor who is trained in the recognition and control of lead hazards in accordance with current federal, State, and local regulations and has the authority to take prompt corrective actions to control the lead hazard. The Contractor may provide more than one CP as required to supervise and monitor the work. The CP must be a Certified Industrial Hygienist (CIH) certified by the Board for Global EHS Credentialing (BGC) or a Certified Safety Professional (CSP) certified by the Board of Certified Safety Professionals or a licensed lead-based paint abatement Supervisor/Project Designer in the State of North Carolina, or a demolition/abatement contractor with at least 3 years of experience with similar lead removal projects and that have completed the training to satisfy requirements of

29 CFR 1926.62.

1.2.5 Contaminated Room

Refers to a room for removal of contaminated personal protective equipment (PPE).

1.2.6 Decontamination Shower Facility

That facility that encompasses a clean clothing storage room, and a contaminated clothing storage and disposal rooms, with a shower facility in between.

1.2.7 Deleading

Activities conducted by a person who offers to eliminate lead-based paint or lead-based paint hazards or to plan such activities in commercial buildings, bridges or other structures.

1.2.8 Eight-Hour Time Weighted Average (TWA)

Airborne concentration of lead to which an employee is exposed, averaged over an 8-hour workday as indicated in 29 CFR 1926.62
.

1.2.9 High Efficiency Particulate Air (HEPA) Filter Equipment

HEPA filtered vacuuming equipment with a UL 586 filter system capable of collecting and retaining lead contaminated particulates. A high efficiency particulate filter demonstrates at least 99.97 percent efficiency against 0.3 micron or larger size particles.

1.2.10 Lead

Metallic lead, inorganic lead compounds, and organic lead soaps. Excludes other forms of organic lead compounds. The use of the term lead in this section also refers to paints which contain detectable concentrations of lead. For the purpose of the section lead-based paint (LBP) and paint with lead (PWL)are included.

1.2.11 Lead-Based Paint (LBP)

Paint or other surface coating that contains lead in excess of 1.0 milligrams per centimeter squared or 0.5 percent by weight.

1.2.12 Lead Control Area

A system of control methods to prevent the spread of lead dust, paint chips or debris to adjacent areas that may include temporary containment, floor or ground cover protection, physical boundaries, and warning signs to prevent unauthorized entry of personnel. HEPA filtered local exhaust equipment may be used as engineering controls to further reduce personnel exposures or building/outdoor environmental contamination.

1.2.13 Lead Permissible Exposure Limit (PEL)

micrograms per cubic meter of air as an 8-hour time weighted average as determined by 29 CFR 1926.62. If an employee is exposed for more than 8-hours in a work day, determine the PEL by the following formula:

PEL (micrograms/cubic meter of air) = 400/No. hrs worked per day

1.2.14 Material Containing Lead/Paint with Lead (MCL/PWL)

Any material, including paint, which contains lead as determined by the testing laboratory using a valid test method. The requirements of this section does not apply if no detectable levels of lead are found using a quantitative method for analyzing paint or MCL using laboratory instruments with specified limits of detection (usually 0.01 percent). An X-Ray Fluorescence (XRF) instrument is not considered a valid test method.

1.2.15 Personal Sampling

Sampling of airborne lead concentrations within the breathing zone of an employee to determine the 8-hour time weighted average concentration in accordance with 29 CFR 1926.62. Samples must be representative of the employees' work tasks. Breathing zone must be considered an area within a hemisphere, forward of the shoulders, with a radius of 6 to 9 inches and centered at the nose or mouth of an employee.

1.2.16 Physical Boundary

Area physically roped or partitioned off around lead control area to limit unauthorized entry of personnel.

1.3 DESCRIPTION

Construction activities impacting PWL or material containing lead which are covered by this specification include the demolition or removal of the following materials containing lead:

- Red Riser Piping; and
- Beige Double Doors.

The work covered by this section includes work tasks and the precautions specified in this section for the protection of building occupants and the environment during and after the performance of the removal or disturbace of PWL. Contractors shall follow OSHA 29 CFR 1926.62 during the removal. If the materials will be disturbed by mechanical means (grinding, welding, etc.) then the provisions below apply.

1.3.1 Protection of Existing Areas To Remain

Project work including, but not limited to, lead hazard abatement work, storage, transportation, and disposal must be performed without damaging or contaminating adjacent work and areas. Where such work or areas are damaged or contaminated, restore work and areas to the original condition.

1.3.2 Coordination with Other Work

Coordinate with work being performed in adjacent areas to ensure there are no exposure issues. Explain coordination procedures in the Lead Compliance Plan and describe how the Contractor prevents lead exposure to other contractors and Government personnel performing work unrelated to lead activities.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Competent Person Qualifications; G

Training Certification; G

Occupational and Environmental Assessment Data Report; G

Medical Examinations; G

Lead Waste Management Plan; G

Licenses, Permits and Notifications; G,

Occupant Protection Plan; G

Lead Compliance Plan; G

Written Evidence of TSD Approval; G

SD-03 Product Data

Respirators; G

Vacuum Filters; G

Negative Air Pressure System; G

Materials and Equipment; G

Expendable Supplies; G

Local Exhaust Equipment; G

Pressure Differential Automatic Recording Instrument; G

Pressure Differential Log; G

SD-06 Test Reports

Occupational and Environmental Assessment Data Report; G
Sampling Results; G,

SD-07 Certificates

Testing Laboratory; G

Occupant Notification; G,

Clearance Certification; G

SD-11 Closeout Submittals

Hazardous Waste Manifest; G

1.5 QUALITY ASSURANCE

1.5.1 Qualifications

1.5.1.1 Competent Person (CP)

Submit name, address, and telephone number of the CP selected to perform responsibilities specified in paragraph COMPETENT PERSON (CP) RESPONSIBILITIES. Provide documented construction project-related experience with implementation of OSHA's Lead in Construction standard (29 CFR 1926.62) which shows ability to assess occupational and environmental exposure to lead; experience with the use of respirators, personal protective equipment and other exposure reduction methods to protect employee health. Demonstrate a minimum of 3 years experience implementing OSHA's Lead in Construction standard (29 CFR 1926.62). Demonstrate a minimum of 3 years experience implementing OSHA's Lead in Construction standard (29 CFR 1926.62) .

1.5.1.2 Training Certification

Submit a certificate for each worker and supervisor, signed and dated by the accredited training provider, stating that the employee has received the required lead training specified in 29 CFR 1926.62 and is certified to perform or supervise deleading, lead removal or demolition activities in the State of North Carolina.

1.5.1.3 Testing Laboratory

Submit the name, address, and telephone number of the testing laboratory selected to perform the air and wipe analysis, testing, and reporting of airborne concentrations of lead. Use a laboratory participating in the EPA National Lead Laboratory Accreditation Program (NLLAP) by being accredited by either the American Association for Laboratory Accreditation (A2LA) or the American Industrial Hygiene Association (AIHA) and that is successfully participating in the Environmental Lead Proficiency Analytical Testing (ELPAT) program to perform sample analysis. Laboratories selected to perform blood lead analysis must be OSHA approved.

1.5.2 Requirements

1.5.2.1 Competent Person (CP) Responsibilities

a. Verify training meets all federal, State, and local requirements.

b. Review and approve Lead Compliance Plan for conformance to the applicable referenced standards.

c. Continuously inspect LBP/PWL or MCL work for conformance with the approved plan.

d. Perform (or oversee performance of) air sampling.

e. Ensure work is performed in strict accordance with specifications at all times.

f. Control work to prevent hazardous exposure to human beings and to the environment at all times.

g. Supervise final cleaning of the lead control area, take clearance wipe samples if necessary; review clearance sample results and make recommendations for further cleaning.

h. Certify the conditions of the work as called for elsewhere in this specification.

1.5.2.2 Lead Compliance Plan

Submit a detailed job-specific plan of the work procedures to be used in the disturbance of lead, LBP/PWL or MCL. Include in the plan a sketch showing the location, size, and details of lead control areas, critical barriers, physical boundaries, location and details of decontamination facilities, viewing ports, and mechanical ventilation system. Include a description of equipment and materials, work practices, controls and job responsibilities for each activity from which lead is emitted. Include in the plan, eating, drinking, smoking, hygiene facilities and sanitary procedures, interface of trades, sequencing of lead related work, collected waste water and dust containing lead and debris, air sampling, respirators, personal protective equipment, and a detailed description of the method of containment of the operation to ensure that lead is not released outside of the lead control area. Include site preparation, cleanup and clearance procedures. Include occupational and environmental sampling, training and strategy, sampling and analysis strategy and methodology, frequency of sampling, duration of sampling, and qualifications of sampling personnel in the air sampling portion of the plan. Include a description of arrangements made among contractors on multicontractor worksites to inform affected employees and to clarify responsibilities to control exposures.

1.5.2.3 Occupational and Environmental Assessment Data Report

If initial monitoring is necessary, submit occupational and environmental sampling results to the Contracting Officer within three working days of collection, signed by the testing laboratory employee performing the analysis, the employee that performed the sampling, and the CP.

In order to reduce the full implementation of 29 CFR 1926.62 the Contractor must provide documentation. Submit a report that supports the determination to reduce full implementation of the requirements of 29 CFR 1926.62 and supporting the Lead Compliance Plan.

a. The initial monitoring must represent each job classification, or if working conditions are similar to previous jobs by the same employer, provide previously collected exposure data that can be used to

estimate worker exposures per 29 CFR 1926.62. The data must represent the worker's regular daily exposure to lead for stated work.

b. Submit worker exposure data gathered during the task based trigger operations of 29 CFR 1926.62 with a complete process description. This includes manual demolition, manual scraping, manual sanding, heat gun, power tool cleaning, rivet busting, cleanup of dry expendable abrasives, abrasive blast enclosure removal, abrasive blasting, welding, cutting and torch burning where lead containing coatings are present.

c. The initial assessment must determine the requirement for further monitoring and the need to fully implement the control and protective requirements including the lead compliance plan per 29 CFR 1926.62.

1.5.2.4 Medical Examinations

Submit documentation of workers who performed lead activities including the name of each employee, type of exam received, and date of the exam. Initial medical surveillance as required by 29 CFR 1926.62 must be made available to all employees exposed to lead, at any time (one day) above the action level. Full medical surveillance must be made available to all employees on an annual basis who are or may be exposed to lead in excess of the action level for more than 30 days a year or as required by 29 CFR 1926.62. Adequate records must show that employees meet the medical surveillance requirements of 29 CFR 1926.33, 29 CFR 1926.62 and 29 CFR 1926.103. Provide medical surveillance to all personnel exposed to lead as indicated in 29 CFR 1926.62. Maintain complete and accurate medical records of employees for the duration of employment plus 30 years.

1.5.2.5 Training

Train each employee performing work that disturbs lead who performs LBP/MCL/PWL disposal, and air sampling operations prior to the time of initial job assignment and annually thereafter, in accordance with29 CFR 1926.21, 29 CFR 1926.62 and local regulations where appropriate.

1.5.2.6 Respiratory Protection Program

a. Provide each employee required to wear a respirator a respirator fit test at the time of initial fitting and at least annually thereafter as required by 29 CFR 1926.62.

b. Establish and implement a respiratory protection program as required by 29 CFR 1926.103, 29 CFR 1926.62, and 29 CFR 1926.55.

1.5.2.7 Hazard Communication Program

Establish and implement a Hazard Communication Program as required by 29 CFR 1926.59.

1.5.2.8 Lead Waste Management

The Lead Waste Management Plan must comply with applicable requirements of federal, State, and local hazardous waste regulations and address:

a. Identification and classification of wastes associated with the work.

b. Estimated quantities of wastes to be generated and disposed of.

c. Names and qualifications of each contractor that transports, stores, treats, or disposes of the wastes. Include the facility location and a 24-hour point of contact. Furnish two copies of USEPA manifests and USEPA Identification numbers, if applicable.

d. Names and qualifications (experience and training) of personnel working on-site with hazardous wastes.

e. List of waste handling equipment to be used in performing the work, to include cleaning, volume reduction, and transport equipment.

f. Spill prevention, containment, and cleanup contingency measures including a health and safety plan to be implemented in accordance with 29 CFR 1926.65.

g. Work plan and schedule for waste containment, removal and disposal. Proper containment of the waste includes using acceptable waste containers (e.g., 55-gallon drums) as well as proper marking/labeling of the containers. All drums must meet applicable United Nations (UN) requirements and DOT regulations. Clean up and containerize wastes daily.

h. Include any process that may alter or treat waste rendering a hazardous waste non hazardous.

i. Unit cost for hazardous waste disposal according to this plan.

1.5.2.9 Environmental, Safety and Health Compliance

In addition to the detailed requirements of this specification, comply with laws, ordinances, rules, and regulations of federal, State, and local authorities regarding lead. Comply with the applicable requirements of the current issue of 29 CFR 1926.62, EM 385-1-1, ND OPNAV M-5100.23. Submit matters regarding interpretation of standards to the Contracting Officer for resolution before starting work. Where specification requirements and the referenced documents vary, the most stringent requirements apply.

1.5.3 Licenses, Permits and Notifications

Certify and submit in writing to the Contracting Officer at least 10 days prior to the commencement of work that licenses, permits and notifications have been obtained. All associated fees or costs incurred in obtaining the licenses, permits and notifications are included in the contract price.

1.5.4 Occupant Protection Plan

The CP must develop and implement an Occupant Protection Plan describing the measures and management procedures to be taken during lead disturbance activities to protect the building occupants/building facilities and the outside environment from exposure to any lead contamination while lead hazard abatement activities are performed.

1.5.5 Pre-Construction Conference

Along with the CP, meet with the Contracting Officer to discuss in detail the Lead Waste Management Plan and the Lead Compliance Plan, including procedures and precautions for the work.

1.6 EQUIPMENT

1.6.1 Respirators

Furnish appropriate respirators approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services, for use in atmospheres containing lead dust, fume and mist. Respirators must comply with the requirements of 29 CFR 1926.62.

1.6.2 Special Protective Clothing

Personnel exposed to lead contaminated dust must wear proper disposable protective whole body clothing, head covering, gloves, eye, and foot coverings as required by 29 CFR 1926.62 and applicable state and local regulations. Furnish proper disposable plastic or rubber gloves to protect hands. Reduce the level of protection only after obtaining approval from the CP.

1.6.3 Rental Equipment Notification

If rental equipment is to be used during PWL or MCL handling and disposal, notify the rental agency in writing concerning the intended use of the equipment.

1.6.4 Vacuum Filters

UL 586 labeled HEPA filters.

1.6.5 Equipment for Government Personnel

Furnish the Contracting Officer with two complete sets of personal protective equipment (PPE) daily, as required herein, for entry into and inspection of the lead removal work within the lead controlled area. Personal protective equipment must include disposable whole body covering, including appropriate foot, head, eye, and hand protection. PPE remains the property of the Contractor. The Government will provide respiratory protection for the Contracting Officer.

1.6.6 Abrasive Removal Equipment

The use of powered machine for vibrating, sanding, grinding, or abrasive blasting is prohibited unless equipped with local exhaust ventilation systems equipped with high efficiency particulate air (HEPA) filters.

1.6.7 Negative Air Pressure System

1.6.7.1 Minimum Requirements

Do not proceed with work in the area until containment is set up and HEPA filtration systems are in place. The negative air pressure system must meet the requirements of ASSP Z9.2 including approved HEPA filters in accordance with UL 586. Negative air pressure equipment must be equipped with new HEPA filters, and be sufficient to maintain a minimum pressure differential of minus 0.02 inch of water column relative to adjacent, unsealed areas. Negative air pressure system minimum requirements are listed as follows:

a. The unit must be capable of delivering its rated volume of air with a clean first stage filter, an intermediate filter and a primary HEPA

filter in place.

b. The HEPA filter must be certified as being capable of trapping and retaining mono-disperse particles as small as 0.3 micrometers at a minimum efficiency of 99.97 percent.

c. The unit must be capable of continuing to deliver no less than 70 percent of rated capacity when the HEPA filter is 70 percent full or measures 2.5 inches of water static pressure differential on a magnehelic gauge.

d. Equip the unit with a manometer-type negative pressure differential monitor with minor scale division of 0.02 inch of water and accuracy within plus or minus 1.0 percent. The manometer must be calibrated daily as recommended by the manufacturer.

e. Equip the unit with a means for the operator to easily interpret the readings in terms of the volumetric flow rate of air per minute moving through the machine at any given moment.

f. Equip the unit with an electronic mechanism that automatically shuts the machine off in the event of a filter breach or absence of a filter.

g. Equip the unit with an audible horn that sounds an alarm when the machine has shut itself off.

h. Equip the unit with an automatic safety mechanism that prevents a worker from improperly inserting the main HEPA filter.

1.6.7.2 Auxiliary Generator

Provide an auxiliary generator with capacity to power a minimum of 50 percent of the negative air machines at any time during the work. When power fails, the generator controls must automatically start the generator and switch the negative air pressure system machines to generator power. The generator must not present a carbon monoxide hazard to workers.

1.6.8 Vacuum Systems

Vacuum systems must be suitably sized for the project, and filters must be capable of trapping and retaining all mono-disperse particles as small as 0.3 micrometers (mean aerodynamic diameter) at a minimum efficiency of 99.97 percent. Properly dispose of used filters that are being replaced.

1.6.9 Heat Blower Guns

Heat blower guns must be flameless, electrical, paint-softener type with controls to limit temperature to less than 1,100 degrees F. Heat blower must be (grounded) 120 volts ac, and must be equipped with cone, fan, glass protector and spoon reflector nozzles.

1.7 PROJECT/SITE CONDITIONS

1.7.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent areas. Where existing work is damaged or contaminated, restore work to its original condition or better as determined by the Contracting Officer.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Keep materials and equipment needed to complete the project available and on the site. Submit a description of the materials and equipment required; including Safety Data Sheets (SDSs) for material brought onsite to perform the work.

2.1.1 Expendable Supplies

Submit a description of the expendable supplies required.

2.1.1.1 Polyethylene Bags

Disposable bags must be polyethylene plastic and be a minimum of 6 mils thick (4 mils thick if double bags are used) or any other thick plastic material shown to demonstrate at least equivalent performance; and capable of being made leak-tight. Leak-tight means that solids, liquids or dust cannot escape or spill out.

2.1.1.2 Polyethylene Leak-tight Wrapping

Wrapping used to wrap lead contaminated debris must be polyethylene plastic that is a minimum of 6 mils thick or any other thick plastic material shown to demonstrate at least equivalent performance.

2.1.1.3 Polyethylene Sheeting

Sheeting must be polyethylene plastic with a minimum thickness of 6 mil, or any other thick plastic material shown to demonstrate at least equivalent performance; and be provided in the largest sheet size reasonably accommodated by the project to minimize the number of seams. Where the project location constitutes an out of the ordinary potential for fire, or where unusual fire hazards cannot be eliminated, provide flame-resistant polyethylene sheets which conform to the requirements of NFPA 701.

2.1.1.4 Tape and Adhesive Spray

Tape and adhesive must be capable of sealing joints between polyethylene sheets and for attachment of polyethylene sheets to adjacent surfaces. After dry application, tape or adhesive must retain adhesion when exposed to wet conditions, including amended water or wetting agents. Tape must be minimum 2 inches wide, industrial strength.

2.1.1.5 Containers

When used, containers must be leak-tight and be labeled in accordance with EPA, DOT and OSHA standards and UN Regulations.

2.1.1.6 Chemical Paint Strippers

Chemical paint strippers must not contain methylene chloride, n-methylpyrrodlidione, or other regulated solvents, and be formulated to prevent stain, discoloration, or raising of the substrate materials.

2.1.1.7 Chemical Paint Stripper Neutralizer

Neutralizers for paint strippers must be compatible with the substrate and suitable for use with the chemical stripper that has been applied to the surface.

2.1.1.8 Detergents and Cleaners

Detergents or cleaning agents must not contain trisodium phosphate and have demonstrated effectiveness in lead control work using cleaning techniques specified by HUD 6780 guidelines.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Protection

3.1.1.1 Notification

a. Notify the Contracting Officer 10 days prior to the start of any lead work.

b. Occupant Notification

Submit occupant written acknowledgment of the delivery of lead hazard information pamphlet (EPA 747-K-99-001 "Protect Your Family From Lead in Your Home") prior to commencing the renovation work for each affected unit using language provided in 40 CFR 745 Subpart E.

3.1.1.2 Lead Control Area

a. Physical Boundary - Provide physical boundaries around the lead control area by roping off the area designated in the work plan or providing curtains, portable partitions or other enclosures to ensure that lead does not escape outside of the lead control area. Prohibit the general public from accessing the lead control areas.

b. Warning Signs - Provide warning signs at approaches to lead control areas. Locate signs at such a distance that personnel may read the sign and take the necessary precautions before entering the area. Signs must comply with the requirements of 29 CFR 1926.62 and applicable state and local regulations.

3.1.1.3 Furnishings

Furniture and equipment may remain in the lead control area. Protect and cover furnishings or remove furnishings from the work area and store in a location approved by the Contracting Officer.3.1.1.4 Heating, Ventilating and Air Conditioning (HVAC) Systems

Shut down, lock out, and isolate HVAC systems that supply, exhaust, or pass through the lead control areas. Seal intake and exhaust vents in the lead control area with 6 mil plastic sheet and tape. Seal seams in HVAC components that pass through the lead control area.

3.1.1.5 Local Exhaust System

Provide a local exhaust system in the lead control area in accordance with ASSP Z9.2, 29 CFR 1926.62 that provide at least 4 air changes per hour inside of the negative pressure enclosure. Local exhaust equipment must be operated 24-hours per day, until the lead control area is removed and must be leak proof to the filter and equipped with HEPA filters. Maintain a minimum pressure differential in the lead control area of minus 0.02 inch of water column relative to adjacent, unsealed areas. Provide continuous 24-hour per day monitoring of the pressure differential with a pressure differential automatic recording instrument. The building ventilation system must not be used as the local exhaust system for the lead control area. Filters on exhaust equipment must conform to ASSP Z9.2 and UL 586. Terminate the local exhaust system out of doors and remote from any public access or ventilation system intakes.

3.1.1.6 Negative Air Pressure System Containment

a. Operate the negative air pressure systems to provide at least 4 air changes per hour inside the containment. Operate the local exhaust unit equipment continuously until the containment is removed. Smoke test the negative air pressure system for leaks at the beginning of each shift. The certified supervisor is responsible to continuously monitor and keep a pressure differential log with an automatic manometric recording instrument. Notify the Contracting Officer immediately if the pressure differential falls below the prescribed minimum. Submit the continuously monitored pressure differential log, as specified. Do not use the building ventilation system as the local exhaust system. Terminate the local exhaust system out of doors unless the Contracting Officer allows an alternate arrangement. All filters must be new at the beginning of the project and be periodically changed as necessary to maintain specified pressure differential and disposed of as lead contaminated waste.

b. Discontinuing Negative Air Pressure System. Operate the negative air pressure system continuously during abatement activities unless otherwise authorized by the Contracting Officer. At the completion of the project, units must be run until full cleanup has been completed and final clearance testing requirements have been met. Dismantling of the negative air pressure systems must conform to written decontamination procedures be as presented in the Lead Compliance Plan. Seal the HEPA filter machine intakes with polyethylene to prevent environmental contamination.

3.1.1.7 Decontamination Shower Facility

Provide clean and contaminated change rooms and shower facilities in accordance with this specification and 29 CFR 1926.62.

3.1.1.8 Eye Wash Station

Provide suitable facilities within the work area for quick drenching or flushing of the eyes where eyes may be exposed to injurious corrosive materials.

3.1.1.9 Mechanical Ventilation System

a. Use adequate ventilation to control personnel exposure to lead in

accordance with 29 CFR 1926.62. To the extent feasible, use local exhaust ventilation or other collection systems, approved by the CP. Evaluate and maintain local exhaust ventilation systems in accordance with 29 CFR 1926.62.

b. Vent local exhaust outside the building and away from building ventilation intakes or ensure system is connected to HEPA filters.

c. Use locally exhausted, power actuated tools or manual hand tools.

3.1.1.10 Personnel Protection

Personnel must wear and use protective clothing and equipment as specified herein. Eating, smoking, or drinking or application of cosmetics is not permitted in the lead control area. No one is permitted in the lead control area unless they have been appropriately trained, medically cleared, and provided with protective equipment.

3.2 ERECTION

3.2.1 Lead Control Area Requirements

Establish a lead control area by completely establishing barriers and physical boundaries around the area or structure where PWL or MCL removal operations are performed.

3.3 APPLICATION

3.3.1 Lead Work

Perform lead work in accordance with approved Lead Compliance Plan. Use procedures and equipment required to limit occupational exposure and environmental contamination with lead when the work is performed in accordance with 29 CFR 1926.62 or 40 CFR 745, and as specified herein. Dispose of all PWL or MCL and associated waste in compliance with federal, State, and local requirements.

3.3.2 Paint with Lead or Material Containing Lead Removal

Manual or power sanding or grinding of lead surfaces or materials is not permitted unless tools are equipped with HEPA attachments or wet methods. The dry sanding or grinding of surfaces that contain lead is prohibited. Provide methodology for removing lead in the Lead Compliance Plan. Select lead removal processes to minimize contamination of work areas outside the control area with lead contaminated dust or other lead contaminated debris or waste and to ensure that unprotected personnel are not exposed to hazardous concentrations of lead. Describe this removal process in the Lead Compliance Plan.

Provide methodology for lead, LBP/PWL removal and processes to minimize contamination of work areas outside the control area with lead contaminated dust or other lead contaminated debris/waste and to ensure that unprotected personnel are not exposed to hazardous concentrations of lead. Describe this lead, LBP/PWL removal/control process in the Lead Compliance Plan.

3.3.2.1 Paint with Lead or Material Containing Lead - Indoor Removal

Perform manual removal in the lead control areas using enclosures, barriers or containments. Collect residue and debris for disposal in accordance with federal, State, and local requirements.

3.3.2.2 Paint with Lead or Material Containing Lead - Outdoor Removal

Perform outdoor removal as indicated in federal, State, and local regulations and in the Lead Compliance Plan. The worksite preparation (barriers or containments) must be job dependent and presented in the Lead Compliance Plan.

3.3.3 Personnel Exiting Procedures

Whenever personnel exit the lead controlled area, they must perform the following procedures and must not leave the work place wearing any clothing or equipment worn in the control area:

a. Vacuum all clothing before entering the contaminated change room.

b. Remove protective clothing in the contaminated change room, and place them in an approved impermeable disposal bag.

c. Shower.

d. Wash hands and face at the site, don appropriate disposable or uncontaminated reusable clothing, move to an appropriate shower facility, shower.

e. Change to clean clothes prior to leaving the clean clothes storage area.

3.4 FIELD QUALITY CONTROL

3.4.1 Tests

3.4.1.1 Air Sampling

Conduct sampling for lead in accordance with 29 CFR 1926.62, and as specified herein. Air and wipe sampling must be directed or performed by the CP.

a. The CP must be on the job site directing the air and wipe sampling and inspecting the PWL or MCL removal work to ensure that the requirements of the contract have been satisfied during the entire PWL or MCL operation.

b. Collect personal air samples on employees who are anticipated to have the greatest risk of exposure as determined by the CP. In addition, collect air samples on at least twenty-five percent of the work crew or a minimum of two employees, whichever is greater, during each work shift.

c. Submit results of air samples, signed by the CP, within 72-hours after the air samples are taken.

d. Conduct area air sampling daily, on each shift in which lead and lead-based paint removal operations are performed, in areas

immediately adjacent to the lead control area. Conduct sufficient area monitoring to ensure unprotected personnel are not exposed at or above 30 micrograms of lead per cubic meter of air. If 30 micrograms of lead per cubic meter of air is reached or exceeded, stop work, correct the conditions(s) causing the increased levels. Notify the Contracting Officer immediately. Determine if condition(s) require any further change in work methods. Resume removal work only after the CP and the Contracting Officer give approval.

3.5 CLEANING AND DISPOSAL

3.5.1 Cleanup

Maintain surfaces of the lead control area free of accumulations of dust and debris. Restrict the spread of dust and debris; keep waste from being distributed over the work area. Do not dry sweep or use pressurized air to clean up the area. At the end of each shift and when the lead operation has been completed, clean the controlled area of all visible contamination by vacuuming with a HEPA filtered vacuum cleaner, wet mopping the area and wet wiping the area as indicated by the Lead Compliance Plan. Reclean areas showing dust or debris. After visible dust and debris is removed, wet wipe and HEPA vacuum all surfaces in the controlled area. If adjacent areas become contaminated at any time during the work, clean, visually inspect, and then wipe sample all contaminated areas. The CP must then certify in writing that the area has been cleaned of lead contamination before clearance testing.

3.5.1.1 Clearance Certification

If paint was disturbed by mechanical means, the CP must certify in writing that air samples collected outside the lead control area during paint removal operations are less than 30 micrograms of lead per cubic meter of air; the respiratory protection used for the employees was adequate; the work procedures were performed in accordance with 29 CFR 1926.62; and that there were no visible accumulations of material and dust containing lead left in the work site. Do not remove the lead control area or roped off boundary and warning signs prior to the Contracting Officer's acknowledgement of receipt of the CP certification.

For lead-based paint hazard abatement work, surface wipe and soil sampling must be conducted and clearance determinations made according to the work practice standards presented in 40 CFR 745.227.

3.5.2 Disposal (If Applicable)

a. Dispose of material, whether hazardous or non-hazardous in accordance with all laws and provisions and all federal, State or local regulations. Ensure all waste is properly characterized. The result of each waste characterization dictates disposal requirements. Separate hazardous waste from non-hazardous waste to be recycled or reclaimed to the greatest extent practicable in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.

b. Contractor is responsible for segregation of waste. Collect lead contaminated waste, scrap, debris, bags, containers, equipment, and lead contaminated clothing that may produce airborne concentrations of

lead. Label the containers in accordance with 29 CFR 1926.62 and 40 CFR 261, 40 CFR 262 and corresponding state regulations.

c. Dispose of lead contaminated material classified as hazardous waste at an EPA approved hazardous waste treatment, storage, or disposal facility off Government property.

d. Accumulate waste materials in U.S. Department of Transportation (49 CFR 178) compliant container. Properly label each drum to identify the type of hazardous material (49 CFR 172). For hazardous waste, the collection container requires marking/labeling in accordance with 40 CFR 262 and corresponding state regulations during the accumulation/collection timeframe. The Contracting Officer or an authorized representative will assign an area for accumulation of waste containers. Coordinate authorized accumulation volumes and time limits with the host installation environmental function.

e. Handle, store, transport, and dispose lead or lead contaminated waste in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, and 40 CFR 265 Comply with land disposal restriction notification requirements as required by 40 CFR 268.

f. All lead waste generation, management, and disposal must be coordinated with the host installation environmental function.

3.5.2.1 Disposal Documentation

Coordinate all disposal or off-site shipments of lead waste with the host installation environmental function. Submit written evidence of TSD approval to demonstrate the hazardous waste treatment, storage, or disposal facility (TSD) is approved for lead disposal by the EPA, State or local regulatory agencies. Submit one copy of the completed hazardous waste manifest, signed and dated by the initial transporter in accordance with 40 CFR 262. Provide a certificate that the waste was accepted by the disposal facility.

3.5.2.2 Payment for Hazardous Waste

Payment for disposal of hazardous and non-hazardous waste will not be made until a signed copy of the manifest from the treatment or disposal facility is received and approved by the Contracting Officer. The manifest must detail and certify the amount of lead containing materials or non-hazardous waste delivered to the treatment or disposal facility.

-- End of Section --

SECTION 03 30 00

CAST-IN-PLACE CONCRETE
02/19, CHG 10: 08/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; R 2015) Specifications for Tolerances for Concrete Construction and Materials and Commentary
ACI 121R	(2008) Guide for Concrete Construction Quality Systems in Conformance with ISO 9001
ACI 301	(2020) Specifications for Structural Concrete
ACI 302.1R	(2015) Guide for Concrete Floor and Slab Construction
ACI 304.2R	(2017) Guide to Placing Concrete by Pumping Methods
ACI 304R	(2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete
ACI 305R	(2020) Guide to Hot Weather Concreting
ACI 306R	(2016) Guide to Cold Weather Concreting
ACI MNL-2	(2019; 11th Edition) ACI Manual of Concrete Inspection
ACI MNL-15	(2020) Field Reference Manual: Specifications for Concrete Construction ACI 301-20 with Selected ACI References
ACI SPEC 308.1	>(2023) External Curing of Cast-in-Place Concrete - Specification

ASTM INTERNATIONAL (ASTM)

ASTM A615/A615M	(2024) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM A934/A934M	(2022) Standard Specification for Epoxy-Coated Prefabricated Steel Reinforcing Bars

ASTM A996/A996M	(2016) Standard Specification for Rail-Steel and Axle-Steel Deformed Bars for Concrete Reinforcement
ASTM A1064/A1064M	(2024) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM C31/C31M	(2025b) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2024a) Standard Specification for Concrete Aggregates
ASTM C39/C39M	(2024) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C42/C42M	(2020) Standard Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C78/C78M	(2022) Standard Test Method for Flexural Strength of Concrete (Using Simple Beam with Third-Point Loading)
ASTM C94/C94M	(2025) Standard Specification for Ready-Mixed Concrete
ASTM C136/C136M	(2019) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C143/C143M	(2020) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2024) Standard Specification for Portland Cement
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2024a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2024) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2024) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C311/C311M	(2025) Standard Test Methods for Sampling and Testing Fly Ash or Natural Pozzolans for Use in Portland-Cement Concrete
ASTM C330/C330M	(2017a) Standard Specification for

	Lightweight Aggregates for Structural Concrete
ASTM C494/C494M	(2024) Standard Specification for Chemical Admixtures for Concrete
ASTM C595/C595M	(2025) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2025a) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C803/C803M	(2023) Standard Test Method for Penetration Resistance of Hardened Concrete
ASTM C845/C845M	(2018) Standard Specification for Expansive Hydraulic Cement
ASTM C900	(2015) Standard Test Method for Pullout Strength of Hardened Concrete
ASTM C920	(2018; R 2024) Standard Specification for Elastomeric Joint Sealants
ASTM C1012/C1012M	(2024) Standard Test Method for Length Change of Hydraulic-Cement Mortars Exposed to a Sulfate Solution
ASTM C1074	(2019; E 2021) Standard Practice for Estimating Concrete Strength by the Maturity Method
ASTM C1077	(2025a) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
ASTM C1157/C1157M	(2025) Standard Performance Specification for Hydraulic Cement
ASTM C1218/C1218M	(2020c) Standard Test Method for Water-Soluble Chloride in Mortar and Concrete
ASTM C1260	(2023) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C1293	(2008; R 2015) Standard Test Method for Determination of Length Change of Concrete Due to Alkali-Silica Reaction
ASTM C1567	(2025) Standard Test Method for Determining the Potential Alkali-Silica Reactivity of Combinations of Cementitious

	Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1602/C1602M	(2022) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM C1778	(2023) Standard Guide for Reducing the Risk of Deleterious Alkali-Aggregate Reaction in Concrete
ASTM D5759	(2012; R 2020) Characterization of Coal Fly Ash and Clean Coal Combustion Fly Ash for Potential Uses
ASTM D6690	(2021) Standard Specification for Joint and Crack Sealants, Hot Applied, for Concrete and Asphalt Pavements
ASTM E329	(2025a) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

COMPOSITE PANEL ASSOCIATION (CPA)

ANSI/CPA A135.4	(2012; R2020) Basic Hardboard

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

CRSI RB4.1	(2016) Supports for Reinforcement Used in Concrete
CRSI SP0001-31-DL-I	(2024) Manual of Standard Practice

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST PS 1	(2009) DOC Voluntary Product Standard PS 1-07, Structural Plywood

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 104	(1980) Method of Calculation of the Fineness Modulus of Aggregate

1.2 DEFINITIONS

a. "Cementitious material" as used herein includes all portland cement, pozzolan, and fly ash.

b. "Exposed to public view" means situated so that it can be seen from eye level from a public location after completion of the building. A public location is accessible to persons not responsible for operation or maintenance of the building.

c. "Chemical admixtures" are materials in the form of powder or fluids that are added to the concrete to give it certain characteristics not obtainable with plain concrete mixes.

d. "Supplementary cementing materials" (SCM) include coal fly ash,

natural or calcined pozzolans, and ultra-fine coal ash when used in such proportions to replace the portland cement that result in improvement to sustainability and durability and reduced cost.

e. "Design strength" (f'c) is the specified compressive strength of concrete at time(s) specified in this section to meet structural design criteria.

f. "Mass Concrete" is any concrete system that approaches a maximum temperature of 158 degrees F within the first 72 hours of placement. In addition, it includes all concrete elements with a section thickness of 3 feet or more regardless of temperature.

g. "Mixture proportioning" is the process of designing concrete mixture proportions to enable it to meet the strength, service life and constructability requirements of the project while minimizing the initial and life-cycle cost.

h. "Mixture proportions" are the masses or volumes of individual ingredients used to make a unit measure (cubic meter or cubic yard) of concrete.

i. "Pozzolan" is a siliceous or siliceous and aluminous material, which in itself possesses little or no cementitious value but will, in finely divided form and in the presence of moisture, chemically react with calcium hydroxide at ordinary temperatures to form compounds possessing cementitious properties.

j. "Workability (or consistence)" is the ability of a fresh (plastic) concrete mix to fill the form/mould properly with the desired work (vibration) and without reducing the concrete's quality. Workability depends on water content, chemical admixtures, aggregate (shape and size distribution), cementitious content and age (level of hydration).

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Quality Control Program; G

Quality Control Personnel Certifications; G

Quality Control Organizational Chart

Laboratory Accreditation; G

Maturity Method Data

SD-02 Shop Drawings

Reinforcing Steel; G

SD-03 Product Data

Joint Sealants; S

Formwork Materials

Cementitious Materials; S

Concrete Curing Materials

Reinforcement; S

Admixtures

Pumping Concrete

Nonshrink Grout

SD-05 Design Data

Construction and Movement Joints Locations and Details; G

Concrete Mix Design; G

SD-06 Test Reports

Concrete Mix Design; G

Fly Ash; G

Pozzolan; G

Aggregates; G

Portland Cement; G

Compressive Strength Tests; G

Chloride Ion Concentration

Air Content

Slump Tests

SD-07 Certificates

Reinforcing Bars

Safety Data Sheets

Field Testing Technician and Testing Agency

SD-08 Manufacturer's Instructions

Joint Sealants; S

Curing Compound

1.4 MODIFICATION OF REFERENCES

Accomplish work in accordance with ACI publications except as modified herein. Consider the advisory or recommended provisions to be mandatory. Interpret reference to the "Building Official," the "Structural Engineer," and the "Architect/Engineer" to mean the Contracting Officer.

1.5 DELIVERY, STORAGE, AND HANDLING

Follow ACI 301, ACI 304R and ASTM A934/A934M requirements and recommendations. Do not deliver concrete until forms, reinforcement, embedded items, and chamfer strips are in place and ready for concrete placement. Do not store concrete curing compounds or sealers with materials that have a high capacity to adsorb volatile organic compound (VOC) emissions.

1.5.1 Reinforcement

Store reinforcement of different sizes and shapes in separate piles or racks raised above the ground to avoid excessive rusting. Protect from contaminants such as grease, oil, and dirt. Ensure bar sizes can be accurately identified after bundles are broken and tags removed.

1.6 QUALITY ASSURANCE

1.6.1 Design Data

1.6.1.1 Concrete Mix Design

Sixty days minimum prior to concrete placement, submit a mix design for each strength and type of concrete. Submit all documentation required in ACI 301 Section 4 and as specified in this section. Submit a complete list of materials including type; brand; source and amount of cement, supplementary cementitious materials, and admixtures; and applicable reference specifications. Submit mill test and all other test for cement, supplementary cementitious materials, aggregates, and admixtures. Provide documentation of maximum nominal aggregate size, gradation analysis, percentage retained and passing sieve, and a graph of percentage retained verses sieve size. Provide mix proportion data using at least three different water-cementitious material ratios for each type of mixture, which produce a range of strength encompassing those required for each type of concrete required. If source material changes, resubmit mix proportion data using revised source material. Provide only materials that have been proven by trial mix studies to meet the requirements of this specification, unless otherwise approved in writing by the Contracting Officer. Indicate clearly in the submittal where each mix design is used when more than one mix design is submitted. Resubmit data on concrete components if the qualities or source of components changes. Required average strength can be documented by field experience if field strength test data are available and represent a single group of at least 10 consecutive strength tests for one mixture, using materials and conditions similar to those expected for work, and encompassing a period of not less than 45 days. The average of field strength tests must equal or exceed fcr'. Changes in materials, conditions, and proportions within the test record must not have been more closely restricted than those for the proposed work. Test records must not be more than 24 months old. Obtain mix design approval from the contracting officer prior to concrete placement.

1.6.2 Shop Drawings

1.6.2.1 Reinforcing Steel

Indicate bending diagrams, assembly diagrams, splicing and laps of bars, shapes, dimensions, and details of bar reinforcing, accessories, and concrete cover. Do not scale dimensions from structural drawings to determine lengths of reinforcing bars. Reproductions of contract drawings are unacceptable.

1.6.3 Control Submittals

1.6.3.1 Pumping Concrete

Submit proposed materials and methods for pumping concrete. Include mix designs, pumping equipment including type of pump and size and material for pipe, and maximum length and height concrete is to be pumped.

1.6.3.2 Safety Data Sheets

Submit Safety Data Sheets (SDS) for all materials that are regulated for hazardous health effects. SDS must be readily accessible during each work shift to employees when they are at the construction site.

1.6.4 Test Reports

1.6.4.1 Fly Ash and Pozzolan

Submit test results in accordance with ASTM C618 for fly ash and pozzolan. Submit test results performed within 6 months of submittal date.

1.6.4.2 Aggregates

Submit test results in accordance with ASTM C33/C33M, or ASTM C330/C330M for lightweight aggregate, and ASTM C1293 or ASTM C1567 as required in the paragraph titled ALKALI-AGGREGATE REACTION.

1.6.5 Quality Control Program

Develop and submit for approval a concrete quality control program in accordance with the guidelines of ACI 121R and as specified herein. Include approved laboratories. Provide direct oversight for the concrete qualification program inclusive of associated sampling and testing. Provide all quality control reports to the Contracting Officer, Quality Manager and Concrete Supplier. Maintain a copy of ACI MNL-15 and CRSI SP0001-31-DL-I at project site.

1.6.6 Quality Control Personnel Certifications

Submit for approval the responsibilities of the various quality control personnel, including the names and qualifications of the individuals in those positions and a quality control organizational chart defining the quality control hierarchy and the responsibility of the various positions. Quality control personnel must be employed by the Contractor.

Submit American Concrete Institute certification for the following:

a. CQC personnel responsible for inspection of concrete operations.

b. Lead Foreman or Journeyman of the Concrete Placing, Finishing, and Curing Crews.

c. Field Testing Technicians: ACI Concrete Field Testing Technician, Grade I.

1.6.6.1 Quality Manager Qualifications

Holds a current license as a professional engineer in a U.S. state or territory with experience on at least five similar projects. Evidence of extraordinary proven experience may be considered by the Contracting Officer as sufficient to act as the Quality Manager.

1.6.6.2 Field Testing Technician and Testing Agency

Submit data on qualifications of proposed testing agency and technicians for approval by the Contracting Officer prior to performing testing on concrete.

a. Work on concrete under this contract must be performed by an ACI Concrete Field Testing Technician Grade 1 qualified in accordance with ACI MNL-2 or equivalent. Equivalent certification programs must include requirements for written and performance examinations as stipulated in ACI MNL-2.

b. Testing agencies that perform testing services on reinforcing steel must meet the requirements of ASTM E329.

c. Testing agencies that perform testing services on concrete materials must meet the requirements of ASTM C1077.

1.6.7 Laboratory Qualifications for Concrete Qualification Testing

The concrete testing laboratory must have the necessary equipment and experience to accomplish required testing. The laboratory must meet the requirements of ASTM C1077 and be Cement and Concrete Reference Laboratory (CCRL) inspected.

1.6.8 Laboratory Accreditation

Laboratory and testing facilities are provided by and at the expense of the Contractor. The laboratories performing the tests must be accredited in accordance with ASTM C1077, including ASTM C78/C78M and ASTM C1260. The accreditation must be current and must include the required test methods, as specified. Furthermore, comply with the following requirements:

a. Aggregate Testing and Mix Proportioning: Studies performed by an accredited laboratory and under the direction of a registered professional engineer in a U.S. state or territory competent in concrete materials who is competent in concrete materials and must sign all reports and designs.

b. Acceptance Testing: Furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory. Furnish and maintain boxes or other facilities suitable for storing and curing the specimens at the site while in the mold within the temperature range stipulated by ASTM C31/C31M.

c. Contractor Quality Control: All sampling and testing must be performed by an approved, onsite, independent, accredited laboratory.

PART 2 PRODUCTS

2.1 FORMWORK MATERIALS

a. Form-facing material in contact with concrete must be lumber, or plywood,. Submit product information on proposed form-facing materials if different from that specified herein.

b. Design formwork, shores, reshores, and backshores to support loads transmitted to them and to comply with applicable building code requirements.

c. Design formwork to withstand pressure resulting from placement and vibration of concrete and to maintain specified tolerances.

d. Provide temporary openings in formwork if needed to facilitate cleaning and inspection.

e. Design formwork joints to inhibit leakage of mortar.

f. Limit deflection of facing materials for concrete surfaces exposed to view to 1/240 of center-to-center spacing of facing supports.

g. Do not use earth cuts as forms for vertical or sloping surfaces.

h. Submit product information on proposed form-facing materials if different from that specified herein.

2.1.1 Wood Forms

Use lumber as follows. Provide lumber that is square edged or tongue-and-groove boards, free of raised grain, knotholes, or other surface defects. Provide plywood that complies with NIST PS 1, B-B concrete form panels or better or ANSI/CPA A135.4, hardboard for smooth form lining.

2.1.1.1 Concrete Form Plywood (Standard Rough)

Provide plywood that conforms to NIST PS 1, B-B, concrete form, not less than 5/8-inch thick.

2.2 FORMWORK ACCESSORIES

a. Use commercially manufactured formwork accessories, including ties and hangers.

b. Form ties and accessories must not reduce the effective cover of the reinforcement.

2.2.1 Form Ties

a. Use form ties with ends or end fasteners that can be removed without damage to concrete.

b. The breakback distance for ferrous ties must be at least 3/4 in. for Surface Finish-2.0 or Surface Finish-3.0, as defined in ACI 301.

c. Submit manufacturer's data sheet on form ties.

2.2.2 Chamfer Materials

Use lumber materials with dimensions of 3/4 x 3/4 in.

2.2.3 Construction and Movement Joints Locations and Details

a. Submit details and locations of construction joints in accordance with the requirements herein.

b. Make construction joints perpendicular to main reinforcement.

c. Provide movement joints where indicated in Contract Documents or in accepted alternate locations.

d. Submit location and detail of movement joints if different from those indicated in Contract Documents.

2.2.4 Other Embedded items

Use sleeves, inserts, anchors, and other embedded items of material and design indicated in Contract Documents.

2.3 CONCRETE MATERIALS

2.3.1 Cementitious Materials

2.3.1.1 Portland Cement

a. Unless otherwise specified, provide cement that conforms to ASTM C150/C150M Type I or II.

b. Use one brand and type of cement for formed concrete having exposed-to-view finished surfaces.

c. Submit information along with evidence demonstrating compliance with referenced standards. Include types of cementitious materials, manufacturing locations, shipping locations, and certificates showing compliance.

d. Store cementitious materials and keep dry and free from contaminants.

2.3.1.2 Fly Ash

a. ASTM C618, Class F, except that the maximum allowable loss on ignition must not exceed 6 percent.

b. If fly ash is used it must range from 15 to 30 percent by weight of cementitious material, provided the fly ash does not reduce the amount of cement in the concrete mix below the minimum requirements of local building codes. Do not use fly ash when it cannot meet the minimum level. Report the chemical analysis of the fly ash in accordance with ASTM C311/C311M. Evaluate and classify fly ash in accordance with

ASTM D5759.

2.3.1.3 Other Supplementary Cementitious Materials

Natural pozzolan must be raw or calcined and conform to ASTM C618, Class N, including the optional requirement for uniformity.

Ultra Fine Fly Ash (UFFA) and Ultra Fine Pozzolan (UFP) must conform to ASTM C618, Class F or N, and the following additional requirements:

a. The strength activity index at 28 days of age at least 95 percent of the control specimens.

b. The average particle size not exceed 6 microns.

c. The sum of SiO_2 plus Al_2O_3 plus Fe_2O_3 greater than 77 percent.

2.3.2 Water

a. Water or ice must comply with the requirements of ASTM C1602/C1602M.

b. Minimize the amount of water in the mix. Improve workability by adjusting the grading of the aggregate and using admixture rather than by adding water.

c. Provide water potable; free from injurious amounts of oils, acids, alkalis, salts, organic materials, or other substances deleterious to concrete.

d. Protect mixing water and ice from contamination during storage and delivery.

2.3.3 Aggregate

2.3.3.1 Normal-Weight Aggregate

a. Conform to ASTM C33/C33M.

b. Obtain aggregates used in concrete from the same sources and have the same size range as aggregates used in concrete represented by submitted field test records or used in trial mixtures.

c. Provide sand that is at least 50 percent natural sand.

d. Store and handle aggregate in a manner that will avoid segregation and prevents contamination by other materials or other sizes of aggregates. Store aggregates in locations that will permit them to drain freely. Do not use aggregates that contain frozen lumps.

e. Submit types, pit or quarry locations, producers' names, aggregate supplier statement of compliance with ASTM C33/C33M, and ASTM C1293 expansion data not more than 18 months old.

2.3.4 Admixtures

a. Chemical admixtures must conform to ASTM C494/C494M.

b. Air-entraining admixtures must conform to ASTM C260/C260M.

c. Do not use calcium chloride admixtures.

d. Viscosity modifying admixtures (VMA) must conform to ASTM C494/C494M, Type S.

e. Admixtures used in concrete must be the same as those used in the concrete represented by submitted field test records or used in trial mixtures.

f. Protect stored admixtures against contamination, evaporation, or damage.

g. To ensure uniform distribution of constituents, provide agitating equipment for admixtures used in the form of suspensions or unstable solutions. Protect liquid admixtures from freezing and from temperature changes that would adversely affect their characteristics.

h. Submit types, brand names, producers' names, manufacturer's technical data sheets, and certificates showing compliance with standards required herein.

2.4 MISCELLANEOUS MATERIALS

2.4.1 Concrete Curing Materials

Provide concrete curing material in accordance with ACI 301 Section 5 and ACI SPEC 308.1 Section 2. Submit product data for concrete curing compound s. Submit manufactures instructions for placement of curing compound.

2.4.2 Nonshrink Grout

Nonshrink grout in accordance with ASTM C1107/C1107M.

2.4.3 Joint Sealants

2.4.3.1 Horizontal Surfaces, 3 Percent Slope, Maximum

ASTM D6690 or ASTM C920, Type M, Class 25, Use T.

2.5 CONCRETE MIX DESIGN

2.5.1 Properties and Requirements

a. Use materials and material combinations listed in this section and the contract documents.

b. Cementitious material content must be adequate for concrete to satisfy the specified requirements for strength, w/cm, durability, and finishability described in this section and the contract documents.

c. Selected target slump must meet the requirements of this section, the contract documents, and must not exceed 9 in. Concrete must not show visible signs of segregation.

d. Enforce the target slump for the duration of the project. Determine the slump by ASTM C143/C143M. Slump tolerances must meet the

requirements of ACI 117.

e. The nominal maximum size of coarse aggregate for a mixture must not exceed three-fourths of the minimum clear spacing between reinforcement, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.

f. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3, with the total air content in accordance with the requirements of the paragraph titled DURABILITY.

g. Measure air content at the point of delivery in accordance with ASTM C173/C173M or ASTM C231/C231M.

h. Concrete properties and requirements for each portion of the structure are specified in the table below. Refer to the paragraph titled DURABILITY for more details on exposure categories and their requirements.

	Minimum *f'c* psi	**Exposure Categories^**	**Miscellaneous Requirements**
Footings and pads	3000 at 28 days	S0; C0; W0; F0	Max. slump: 6 in. Nominal maximu.m aggregate size must be 3/4 in.

2.5.2 Durability

2.5.2.1 Alkali-Aggregate Reaction

Do not use any aggregate susceptible to alkali-carbonate reaction (ACR). Use one of the three options below for qualifying concrete mixtures to reduce the potential of alkali-silica reaction (ASR):

a. For each aggregate used in concrete, the expansion result determined in accordance with ASTM C1293 must not exceed 0.04 percent at one year.

b. For each aggregate used in concrete, the expansion result of the aggregate and cementitious materials combination determined in accordance with ASTM C1567 must not exceed 0.10 percent at an age of 16 days.

c. Alkali content in concrete (LBA) must not exceed 4 pounds per cubic yard for moderately reactive aggregate or 3 pounds per cubic yard for highly reactive aggregate. Determine reactivity by testing in accordance with ASTM C1293 and categorize in accordance with ASTM C1778. Alkali content is calculated as follows:
LBA = (cement content, pounds per cubic yard) × (equivalent alkali content of portland cement in percent/100 percent)

2.5.2.2 Freezing and Thawing Resistance

a. Provide concrete meeting the following requirements based on exposure class assigned to members for freezing-and-thawing exposure in Contract Documents:

Exposure class	Maximum *w/cm**	Minimum *f'c*, psi	Air content	Additional Requirements
F0	N/A	2500	N/A	
F1	0.55	3500	Depends on aggregate size	N/A
F2	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass
F3	0.40	5000	Depends on aggregate size	See limits on maximum cementitious material by mass
F3 plain concrete	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass

*The maximum *w/cm* limits do not apply to lightweight concrete.

b. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. Meet the requirements of the following table:

Nominal maximum aggregate size, in.	Total air content, percent*^	
	Exposure Class F2 and F3	Exposure Class F1
3/8	7.5	6.0
1/2	7.0	5.5
3/4	6.0	5.0
1	6.0	4.5
1-1/2	5.5	4.5
2	5.0	4.0
3	5.5	3.5

*Tolerance on air content as delivered must be plus/minus 1.5 percent.

^For f'c greater than 5000 psi, reducing air content by 1.0 percentage point is acceptable.

c. Submit documentation verifying compliance with specified requirements.

d. For sections of the structure that are assigned Exposure Class F3, submit certification on cement composition verifying that concrete mixture meets the requirements of the following table:

Cementitious material	**Maximum percent of total cementitious material by mass***
Fly ash or other pozzolans conforming to ASTM C618	25

*Total cementitious material also includes ASTM C150/C150M, ASTM C595/C595M, ASTM C845/C845M, and ASTM C1157/C1157M cement. The maximum percentages above must include:

i. Fly ash or other pozzolans present in ASTM C1157/C1157M or ASTM C595/C595M Type IP or Type IT blended cement.

2.5.2.3 Corrosion and Chloride Content

a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members requiring protection against reinforcement corrosion in Contract Documents.

b. Submit documentation verifying compliance with specified requirements.

c. Determine water-soluble chloride ion content contributed from constituents including water, aggregates, cementitious materials, and admixtures for the concrete mixture by ASTM C1218/C1218M at age between 28 and 42 days.

d. The maximum water-soluble chloride ion (Cl-) content in concrete, percent by mass of cement is as follows:

Exposure class	**Maximum *w/cm****	**Minimum f'c, psi**	**Maximum water-soluble chloride ion (CL-) content in concrete, percent by mass of cement**
Reinforced concrete			
C0	N/A	2500	1.00
C1	N/A	2500	0.30
C2	0.4	5000	0.15
Prestressed concrete			
C0	N/A	2500	0.06
C1	N/A	2500	0.06
C2	0.4	5000	0.06

*The maximum w/cm limits do not apply to lightweight concrete.

2.5.2.4 Sulfate Resistance

a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members for sulfate exposure.

Exposure class	**Maximum w/cm**	**Minimum f'c, psi**	**Required cementitious materials-types**			**Calcium chloride admixture**
			ASTM C150/C150M	**ASTM C595/C595M**	**ASTM C1157/C1157M**	
S0	N/A	2500	N/A	N/A	N/A	No restrictions
S1	0.50	4000	II*^	Types with (MS) designation	MS	No restrictions
S2	0.45	4500	V^	Types with (HS) designation	HS	Not permitted
S3	0.45	4500	V + pozzolan or slag cement**	Types with (HS) designation plus pozzolan or slag cement**	HS + pozzolan or slag cement**	Not permitted
S3	0.40	5000	V***	Types with (HS) designation	HS	Not permitted

* For seawater exposure, other types of portland cements with tricalcium aluminate (C3A) contents up to 10 percent are acceptable if the w/cm does not exceed 0.40.

** The amount of the specific source of the pozzolan or slag cement to be used must be at least the amount determined by test or service record to improve sulfate resistance when used in concrete containing Type V cement. Alternatively, the amount of the specific source of the pozzolan or slag used must not be less than the amount tested in accordance with ASTM C1012/C1012M and meeting the requirements maximum expansion requirements listed herein.

*** If Type V cement is used as the sole cementitious material, the optional sulfate requirement of 0.040 percent maximum expansion in ASTM C150/C150M is required.

^ Other available types of cement, such as Type III or Type I, are acceptable in exposure classes S1 or S2 if the C3A contents are less than 8 or 5 percent, respectively.

b. The maximum w/cm limits for sulfate exposure do not apply to lightweight concrete.

c. Alternative combinations of cementitious materials of those listed in this paragraph are acceptable if they meet the maximum expansion requirements listed in the following table:

Exposure class	Maximum expansion when tested using ASTM C1012/C1012M		
	At 6 months	At 12 months	At 18 months
S1	0.10 percent	N/A	N/A
S2	0.05 percent	0.10 percent^	N/A
S3	N/A	N/A	0.10 percent

^The 12-month expansion limit applies only when the measured expansion exceeds the 6-month maximum expansion limit.

2.5.2.5 Concrete Temperature

The temperature of concrete as delivered must not exceed 95 degrees F.

2.5.2.6 Concrete Permeability

a. Provide concrete meeting the requirements of the following table based on exposure class assigned to members requiring low permeability in the Contract Documents.

Exposure class	Maximum *w/cm**	Minimum f'c, psi	Additional minimum requirements
W0	N/A	2500	None
W1	0.5	4000	None
W2	0.50	4000	None

*The maximum w/cm limits do not apply to lightweight concrete.

b. Submit documentation verifying compliance with specified requirements.

2.5.3 Trial Mixtures

In accordance with ACI 301.

2.5.4 Ready-Mix Concrete

Provide concrete that meets the requirements of ASTM C94/C94M.

Ready-mixed concrete manufacturer must provide duplicate delivery tickets with each load of concrete delivered. Provide delivery tickets with the following information in addition to that required by ASTM C94/C94M:

a. Type and brand cement

b. Cement and supplementary cementitious materials content in 94-pound bags per cubic yard of concrete

c. Maximum size of aggregate

d. Amount and brand name of admixtures

e. Total water content expressed by water cementitious material ratio

2.6 REINFORCEMENT

Shop Fabricate reinforcing bars to conform to shapes and dimensions indicated for reinforcement, and as follows:

a. Bend reinforcement cold. Reinforcement must be bent cold in the shop to shapes as indicated. Rebending of a reinforcing bar that has been bent incorrectly is not permitted. Bending must be in accordance with the standard approved practice and by approved machine methods. Provide hooks and bends that are in accordance with the Contract Documents. Fabricate reinforcement in accordance with fabricating tolerances of ACI 117.

b. Submit manufacturer's certified test report for reinforcement.

c. Submit placing drawings showing fabrication dimensions and placement locations of reinforcement and reinforcement supports. Indicate locations of splices, lengths of lap splices, and details of mechanical and welded splices.

d. Submit request with locations and details of splices not indicated in Contract Documents.

e. Submit request to place column dowels without using templates.
f. Submit request for field cutting, including location and type of bar to be cut and reason field cutting is required.

Deliver reinforcing bars bundled, tagged, and marked. Tags must be metal with bar size, length, mark, and other information pressed in by machine. Marks must correspond with those used on the placing drawings.

2.6.1 Reinforcing Bars

a. Deformed, except spirals, load-transfer dowels, and welded wire reinforcement, which may be plain.

b. ASTM A615/A615M with the bars marked S, Grade 60; or ASTM A996/A996M with the bars marked R, Grade 60, or marked A, Grade 60.

c. Submit mill certificates for reinforcing bars.

2.6.2 Wire

a. Provide flat sheets of welded wire reinforcement for slabs and toppings.

b. Plain or deformed steel wire must conform to ASTM A1064/A1064M.

2.6.3 Welded Wire Reinforcement

a. Use welded wire reinforcement specified in Contract Documents and conforming to one or more of the specifications given herein.

b. Plain welded wire reinforcement must conform to ASTM A1064/A1064M, with welded intersections spaced no greater than 12 in. apart in direction of principal reinforcement.

2.6.4 Reinforcing Bar Supports

a. Provide reinforcement support types within structure as required by Contract Documents. Conform to CRSI RB4.1. Submit description of reinforcement supports and materials for fastening coated reinforcement if not in conformance with CRSI RB4.1.

b. Legs of supports in contact with formwork may be hot-dip galvanized, or plastic coated after fabrication, or stainless-steel bar supports.

PART 3 EXECUTION

3.1 EXAMINATION

a. Do not begin installation until substrates have been properly constructed; verify that substrates are level.

b. If substrate preparation is the responsibility of another installer, notify Contracting Officer of unsatisfactory preparation before processing.

c. Check field dimensions before beginning installation. If dimensions vary too much from design dimensions for proper installation, notify Contracting Officer and wait for instructions before beginning installation.

3.2 PREPARATION

Determine quantity of concrete needed and minimize the production of excess concrete. Designate locations or uses for potential excess concrete before the concrete is poured.

3.2.1 General

a. Surfaces against which concrete is to be placed must be free of debris, loose material, standing water, snow, ice, and other deleterious substances before start of concrete placing.

b. Remove standing water without washing over freshly deposited concrete. Divert flow of water through side drains provided for such purpose.

3.2.2 Subgrade Under Foundations and Footings

a. When subgrade material is semi-porous and dry, sprinkle subgrade surface with water as required to eliminate suction at the time concrete is deposited, or seal subgrade surface by covering surface with specified vapor retarder.

b. When subgrade material is porous, seal subgrade surface by covering surface with specified vapor retarder.

3.2.3 Reinforcement and Other Embedded Items

a. Secure reinforcement, joint materials, and other embedded materials in position, inspected, and approved before start of concrete placing.

b. When concrete is placed, reinforcement must be free of materials deleterious to bond. Reinforcement with rust, mill scale, or a combination of both will be considered satisfactory, provided minimum nominal dimensions, nominal weight, and minimum average height of deformations of a hand-wire-brushed test specimen are not less than applicable ASTM specification requirements.

3.3 FORMS

a. Provide forms, shoring, and scaffolding for concrete placement. Set forms mortar-tight and true to line and grade.

b. Chamfer above grade exposed joints, edges, and external corners of concrete 0.75 inch. Place chamfer strips in corners of formwork to produce beveled edges on permanently exposed surfaces.

c. Provide formwork with clean-out openings to permit inspection and removal of debris.

d. Inspect formwork and remove foreign material before concrete is placed.

e. At construction joints, lap form-facing materials over the concrete of previous placement. Ensure formwork is placed against hardened concrete so offsets at construction joints conform to specified tolerances.

f. Provide positive means of adjustment (such as wedges or jacks) of shores and struts. Do not make adjustments in formwork after concrete has reached initial setting. Brace formwork to resist lateral deflection and lateral instability.

g. Fasten form wedges in place after final adjustment of forms and before concrete placement.

h. Provide anchoring and bracing to control upward and lateral movement of formwork system.

i. Construct formwork for openings to facilitate removal and to produce opening dimensions as specified and within tolerances.

j. Provide runways for moving equipment. Support runways directly on formwork or structural members. Do not support runways on reinforcement. Loading applied by runways must not exceed capacity of formwork or structural members.

k. Position and support expansion joint materials, waterstops, and other embedded items to prevent displacement. Fill voids in sleeves, inserts, and anchor slots temporarily with removable material to prevent concrete entry into voids.

l. Clean surfaces of formwork and embedded materials of mortar, grout, and foreign materials before concrete placement.

3.3.1 Coating

a. Cover formwork surfaces with an acceptable material that inhibits bond with concrete.

b. If formwork release agent is used, apply to formwork surfaces in accordance with manufacturer's recommendations before placing reinforcement. Remove excess release agent on formwork prior to concrete placement.

c. Do not allow formwork release agent to contact reinforcement or hardened concrete against which fresh concrete is to be placed.

3.3.2 Reshoring

a. Do not allow structural members to be loaded with combined dead and construction loads in excess of loads indicated in the accepted procedure.

b. Install and remove reshores or backshores in accordance with accepted procedure.

3.3.3 Reuse

a. Reuse forms providing the structural integrity of concrete and the aesthetics of exposed concrete are not compromised.

b. Wood forms must not be clogged with paste and must be capable of absorbing high water-cementitious material ratio paste.

c. Remove leaked mortar from formwork joints before reuse.

3.3.4 Forms for Standard Rough Form Finish

Provide formwork in accordance with ACI 301 Section 5 with a surface finish, SF-1.0, for formed surfaces that are to be concealed by other construction.

3.3.5 Form Ties

a. After ends or end fasteners of form ties have been removed, repair tie holes in accordance with ACI 301 Section 5 requirements.

3.3.6 Tolerances for Form Construction

a. Construct formwork so concrete surfaces conform to tolerances in ACI 117.

b. Position and secure sleeves, inserts, anchors, and other embedded items such that embedded items are positioned within ACI 117 tolerances.

c. To maintain specified elevation and thickness within tolerances, install formwork to compensate for deflection and anticipated settlement in formwork during concrete placement. Set formwork and intermediate screed strips for slabs to produce designated elevation, camber, and contour of finished surface before formwork removal. If specified finish requires use of vibrating screeds or roller pipe

screeds, ensure that edge forms and screed strips are strong enough to support such equipment.

3.3.7 Removal of Forms and Supports

a. If vertical formed surfaces require finishing, remove forms as soon as removal operations will not damage concrete.

b. Remove top forms on sloping surfaces of concrete as soon as removal will not allow concrete to sag. Perform repairs and finishing operations required. If forms are removed before end of specified curing period, provide curing and protection.

3.3.8 Strength of Concrete Required for Removal of Formwork

a. Penetration resistance in accordance with ASTM C803/C803M.

b. Pullout strength in accordance with ASTM C900.

c. Maturity method in accordance with ASTM C1074. Submit maturity method data using project materials and concrete mix proportions used on the project to demonstrate the correlation between maturity and compressive strength of laboratory cured test specimens to the Contracting Officer.

3.4 PLACING REINFORCEMENT AND MISCELLANEOUS MATERIALS

a. Unless otherwise specified, place reinforcement and miscellaneous materials in accordance to ACI 301. Provide bars, welded wire reinforcement, wire ties, supports, and other devices necessary to install and secure reinforcement.

b. Reinforcement must not have rust, scale, oil, grease, clay, or foreign substances that would reduce the bond. Rusting of reinforcement is a basis of rejection if the effective cross-sectional area or the nominal weight per unit length has been reduced. Remove loose rust prior to placing steel. Tack welding is prohibited.

c. Nonprestressed cast-in-place concrete members must have concrete cover for reinforcement given in the following table:

Concrete Exposure	**Member**	**Reinforcement**	**Specified cover, in.**
Cast against and permanently in contact with ground	All	All	3

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Exposed to weather or in contact with ground	All	No. 6 through No. 18 bars	2
		No. 5 bar, W31 or D31 wire, and smaller	1-1/2
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars	1-1/2
		No. 11 bar and smaller	3/4
	Beams, columns, pedestals, and tension ties	Primary reinforcement, stirrups, ties, spirals, and hoops	1-1/2

3.4.1 General

Provide details of reinforcement that are in accordance with the Contract Documents.

3.4.2 Reinforcement Supports

Provide reinforcement support in accordance with CRSI RB4.1 and ACI 301 Section 3 requirements. Also, coat supports for coated or galvanized bars with electrically compatible material for a distance of at least 2 inches beyond the point of contact with the bars.

3.4.3 Splicing

As indicated in the Contract Documents. For splices not indicated follow ACI 301. Do not splice at points of maximum stress. Overlap welded wire reinforcement the spacing of the cross wires, plus 2 inches.

3.4.4 Setting Miscellaneous Material

Place and secure anchors and bolts, pipe sleeves, conduits, and other such items in position before concrete placement and support against displacement. Plumb anchor bolts and check location and elevation. Temporarily fill voids in sleeves with readily removable material to prevent the entry of concrete.

3.4.5 Inspection of Reinforcement

Inspect and verify proper reinforcement grade, shape, cross section and

condition. Do not use reinforcement with the following defects:

a. Bar lengths, depths, and bends beyond specified fabrication tolerances.

b. Bends or kinks not indicated on drawings or approved shop drawings.

c. Bars with reduced cross section due to rusting or other cause.

3.4.6 Placing Reinforcement

Place reinforcement in accordance with ACI 301.

For slabs on grade (over earth or over capillary water barrier) and for footing reinforcement, support bars or welded wire reinforcement on precast concrete blocks, spaced at intervals required by size of reinforcement, to keep reinforcement the minimum height specified above the underside of slab or footing.

For slabs other than on grade, supports for which any portion is less than 1 inch from concrete surfaces that are exposed to view or to be painted may be precast concrete units, plastic-coated steel, or stainless steel protected bar supports. Precast concrete units must be wedge shaped, not larger than 3-1/2 by 3-1/2 inches, and of thickness equal to that indicated for concrete protection of reinforcement. Provide precast units that have cast-in galvanized tie wire hooked for anchorage and blend with concrete surfaces after finishing is completed.

Provide reinforcement that is supported and secured together to prevent displacement by construction loads or by placing of wet concrete, and as follows:

a. Provide supports for reinforcing bars that are sufficient in number and have sufficient strength to carry the reinforcement they support, and in accordance with ACI 301 and CRSI SP0001-31-DL-I. Do not use supports to support runways for concrete conveying equipment and similar construction loads.

b. Equip supports on ground and similar surfaces with sand-plates.

c. Support welded wire reinforcement as required for reinforcing bars.

d. Secure reinforcements to supports by means of tie wire. Provide black, soft iron wire, not less than 16 gage.

e. Accurately place reinforcement, securely tie at intersections, and hold in position during placing of concrete by spacers, chairs, or other approved supports. Point wire-tie ends away from the form. Unless otherwise indicated, numbers, type, and spacing of supports must conform to the Contract Documents.

f. Bending of reinforcing bars partially embedded in concrete is permitted only as specified in the Contract Documents.

3.4.7 Spacing of Reinforcing Bars

a. As indicated in the Contract Documents.

b. Reinforcing bars may be relocated to avoid interference with other reinforcement, or with conduit, pipe, or other embedded items. If any

reinforcing bar is moved a distance exceeding one bar diameter or specified placing tolerance, resulting rearrangement of reinforcement is subject to preapproval by the Contracting Officer.

3.4.8 Concrete Protection for Reinforcement

In accordance with the Contract Documents.

3.5 BATCHING, MEASURING, MIXING, AND TRANSPORTING CONCRETE

In accordance with ASTM C94/C94M, ACI 301, ACI 302.1R and ACI 304R, except as modified herein. Utilize batching equipment such that the concrete ingredients are consistently measured within the following tolerances: 1 percent for cement and water, 2 percent for aggregate, and 3 percent for admixtures. Furnish mandatory batch ticket information for each load of ready mix concrete.

3.5.1 Measuring

Make measurements at intervals as specified in paragraphs SAMPLING and TESTING.

3.5.2 Mixing

a. Mix concrete in accordance with ASTM C94/C94M, ACI 301 and ACI 304R.

b. Machine mix concrete. Begin mixing within 30 minutes after the cement has been added to the aggregates. Place concrete within 90 minutes of either addition of mixing water to cement and aggregates or addition of cement to aggregates. If discharge is acceptable after more than 90 minutes the air content, slump, and concrete temperature must be tested and must be within the specified limits. A retarder must be used to facilitate placing and finishing when concrete temperature is 85 degrees F or greater.

c. If the entrained air content falls below the specified limit, add a sufficient quantity of admixture, within the manufacturer's recommended dosage, to bring the entrained air content within the specified limits. Dissolve admixtures in the mixing water and mix in the drum to uniformly distribute the admixture throughout the batch. Do not reconstitute concrete that has begun to solidify.

3.5.3 Transporting

Transport concrete from the mixer to the forms as rapidly as practicable. Prevent segregation or loss of ingredients. Clean transporting equipment thoroughly before each batch. Do not use aluminum pipe or chutes. Remove concrete which has segregated in transporting and dispose of as directed.

3.6 PLACING CONCRETE

Place concrete in accordance with ACI 301 Section 5. Place concrete within 15 minutes of discharge into non-agitating equipment.

3.6.1 Footing Placement

Concrete for footings may be placed in excavations without forms upon inspection and approval by the Contracting Officer. Excavation width must

be a minimum of 4 inches greater than indicated.

3.6.2 Pumping

ACI 304R and ACI 304.2R. Pumping must not result in separation or loss of materials nor cause interruptions sufficient to permit loss of plasticity between successive increments. Loss of slump in pumping equipment must not exceed 2 inches at discharge/placement. Do not convey concrete through pipe made of aluminum or aluminum alloy. Avoid rapid changes in pipe sizes. Limit maximum size of course aggregate to 33 percent of the diameter of the pipe. Limit maximum size of well-rounded aggregate to 40 percent of the pipe diameter. Take samples for testing at both the point of delivery to the pump and at the discharge end.

3.6.3 Cold Weather

Meet the requirements of ACI 301 unless otherwise specified. Do not allow concrete temperature to decrease below 50 degrees F. Obtain approval prior to placing concrete when the ambient temperature is below 40 degrees F or when concrete is likely to be subjected to freezing temperatures within 24 hours. Cover concrete and provide sufficient heat to maintain 50 degrees F minimum adjacent to both the formwork and the structure while curing. Limit the rate of cooling to 5 degrees F in any one hour and 18 degrees F per 24 hours after heat application.

3.6.4 Hot Weather

Meet the requirements of ACI 301 unless otherwise specified. Maintain required concrete temperature using Figure 4.2 in ACI 305R to prevent the evaporation rate from exceeding 0.2 pound of water per square foot of exposed concrete per hour. Cool ingredients before mixing or use other suitable means to control concrete temperature and prevent rapid drying of newly placed concrete. Shade the fresh concrete as soon as possible after placing. Start curing when the surface of the fresh concrete is sufficiently hard to permit curing without damage. Provide water hoses, pipes, spraying equipment, and water hauling equipment, where job site is remote to water source, to maintain a moist concrete surface throughout the curing period. Provide burlap cover or other suitable, permeable material with fog spray or continuous wetting of the concrete when weather conditions prevent the use of either liquid membrane curing compound or impervious sheets. For vertical surfaces, protect forms from direct sunlight and add water to top of structure once concrete is set.

3.6.5 Bonding

Roughen and clean surfaces of set concrete at joints of laitance, coatings, loose particles, and foreign matter. Roughen surfaces in a manner that exposes the aggregate uniformly and does not leave laitance, loosened particles of aggregate, nor damaged concrete at the surface.

Obtain bonding of fresh concrete that has set as follows:

a. At joints between footings and walls or columns, between walls or columns and the beams or slabs they support, and elsewhere unless otherwise specified; dampen but do not saturate roughened and cleaned surface of set concrete immediately prior to placing fresh concrete.

b. At joints in exposed-to-view work; at vertical joints in walls; at joints near midpoint of span in girders, beams, supported slabs, other

structural members; in work designed to contain liquids; dampen but do not saturate the roughened and cleaned surface of set concrete and cover with a cement grout coating.

c. Provide cement grout that consists of equal parts of portland cement and fine aggregate by weight with not more than 6 gallons of water per sack of cement. Apply cement grout with a stiff broom or brush to a minimum thickness of 1/16 inch. Deposit fresh concrete before cement grout has attained its initial set.

3.7 SURFACE FINISHES EXCEPT FLOOR, SLAB, AND PAVEMENT FINISHES

3.7.1 Defects

Repair surface defects in accordance with ACI 301 Section 5.

3.7.2 Not Against Forms (Top of Walls)

Finish surfaces not otherwise specified with wood floats to even surfaces. Match adjacent finishes.

3.7.3 Formed Surfaces

3.7.3.1 Tolerances

Tolerances in accordance with ACI 117 and as indicated.

3.7.3.2 As-Cast Rough Form

Provide for surfaces not exposed to public view a surface finish SF-1.0. Patch holes and defects in accordance with ACI 301.

3.8 JOINTS

3.8.1 Construction Joints

Make and locate joints not indicated so as not to impair strength and appearance of the structure, as approved. Make joints perpendicular to main reinforcement. Continue and develop reinforcement across construction joints. Locate construction joints as follows:

3.8.2 Isolation Joints in Slabs on Ground

a. Provide joints at points of contact between slabs on ground and vertical surfaces, such as column pedestals, foundation walls, grade beams, and elsewhere as indicated.

b. Fill joints with premolded joint filler strips 1/2 inch thick, extending full slab depth. Install filler strips at proper level below finish floor elevation with a slightly tapered, dress-and-oiled wood strip temporarily secured to top of filler strip to form a groove not less than 3/4 inch in depth where joint is sealed with sealing compound and not less than 1/4 inch in depth where joint sealing is not required. Remove wood strip after concrete has set. Clean groove of foreign matter and loose particles after surface has dried.

3.8.3 Contraction Joints in Slabs on Ground

a. Provide joints to form panels as indicated.

b. Under and on exact line of each control joint, cut 50 percent of welded wire reinforcement before placing concrete.

c. Sawcut contraction joints into slab on ground in accordance with ACI 301 Section 5.

d. Provide joints 1/8-inch wide by 1/5 to 1/4 of slab depth and form by inserting hand-pressed fiberboard strip into fresh concrete until top surface of strip is flush with slab surface. After concrete has cured for at least 7 days, remove inserts and clean groove of foreign matter and loose particles.

e. Sawcutting will be limited to within 12 hours after set and at 1/4 slab depth.

3.8.4 Sealing Joints in Slabs on Ground

a. Seal contraction and control joints which are to receive finish flooring material with joint sealing compound after concrete curing period. Slightly underfill groove with joint sealing compound to prevent extrusion of compound. Remove excess material as soon after sealing as possible.

b. Leave sealed groove ready to receive filling material that is provided as part of finish floor covering work.

3.9 CURING AND PROTECTION

Curing and protection in accordance with ACI 301 Section 5, unless otherwise specified. Begin curing immediately following form removal. Avoid damage to concrete from vibration created by blasting, pile driving, movement of equipment in the vicinity, disturbance of formwork or protruding reinforcement, and any other activity resulting in ground vibrations. Protect concrete from injurious action by sun, rain, flowing water, frost, mechanical injury, tire marks, and oil stains. Do not allow concrete to dry out from time of placement until the expiration of the specified curing period. Do not use membrane-forming compound on surfaces where appearance would be objectionable, on any surface to be painted, where coverings are to be bonded to the concrete, or on concrete to which other concrete is to be bonded. If forms are removed prior to the expiration of the curing period, provide another curing procedure specified herein for the remaining portion of the curing period. Provide moist curing for those areas receiving liquid chemical sealer, hardener, or epoxy coating. Allow curing compound/sealer installations to cure prior to the installation of materials that adsorb VOCs.

3.9.1 Curing Periods

ACI 301 Section 5, except 10 days for retaining walls, pavement or chimneys. Begin curing immediately after placement. Protect concrete from premature drying, excessively hot temperatures, and mechanical injury; and maintain minimal moisture loss at a relatively constant temperature for the period necessary for hydration of the cement and hardening of the concrete. The materials and methods of curing are subject to approval by the Contracting Officer.

3.9.2 Curing Formed Surfaces

Accomplish curing of formed surfaces, including undersurfaces of girders, beams, supported slabs, and other similar surfaces by moist curing with forms in place for full curing period or until forms are removed. If forms are removed before end of curing period, accomplish final curing of formed surfaces by any of the curing methods specified above, as applicable.

3.9.3 Curing Unformed Surfaces

a. Accomplish initial curing of unformed surfaces, such as monolithic slabs, floor topping, and other flat surfaces, by membrane curing.

b. Accomplish final curing of unformed surfaces by any of curing methods specified, as applicable.

c. Accomplish final curing of concrete surfaces to receive liquid floor hardener of finish flooring by moisture-retaining cover curing.

3.9.4 Temperature of Concrete During Curing

When temperature of atmosphere is 41 degrees F and below, maintain temperature of concrete at not less than 55 degrees F throughout concrete curing period or 45 degrees F when the curing period is measured by maturity. When necessary, make arrangements before start of concrete placing for heating, covering, insulation, or housing as required to maintain specified temperature and moisture conditions for concrete during curing period.

When the temperature of atmosphere is 80 degrees F and above or during other climatic conditions which cause too rapid drying of concrete, make arrangements before start of concrete placing for installation of wind breaks, of shading, and for fog spraying, wet sprinkling, or moisture-retaining covering of light color as required to protect concrete during curing period.

Changes in temperature of concrete must be uniform and not exceed 5 degrees F in any one hour nor 49 degrees F in any 24-hour period.

3.9.5 Protection from Mechanical Injury

During curing period, protect concrete from damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration and from damage caused by rain or running water.

3.9.6 Protection After Curing

Protect finished concrete surfaces from damage by construction operations.

3.10 FIELD QUALITY CONTROL

3.10.1 Aggregate Testing

3.10.1.1 Fine Aggregate

At least once during each shift when the concrete plant is operating, there must be one sieve analysis and fineness modulus determination in accordance with ASTM C136/C136M and COE CRD-C 104 for the fine aggregate

or for each fine aggregate if it is batched in more than one size or classification. The location at which samples are taken may be selected by the Contractor as the most advantageous for control. However, the Contractor is responsible for delivering fine aggregate to the mixer within specification limits. When the amount passing on any sieve is outside the specification limits, immediately resample and retest the fine aggregate. If there is another failure on any sieve, immediately report the fact to the Contracting Officer, stop concreting, and take immediate steps to correct the grading.

3.10.1.2 Coarse Aggregate

At least once during each shift in which the concrete plant is operating, provide a sieve analysis in accordance with ASTM C136/C136M for each size of coarse aggregate. The location at which samples are taken may be selected by the Contractor as the most advantageous for production control. However, the Contractor is responsible for delivering the aggregate to the mixer within specification limits. A test record of samples of aggregate taken at the same locations must show the results of the current test as well as the average results of the five most recent tests including the current test. The Contractor may adopt limits for control coarser than the specification limits for samples taken other than as delivered to the mixer to allow for degradation during handling. When the amount passing any sieve is outside the specification limits, immediately resample and retest the coarse aggregate. If the second sample fails on any sieve, report that fact to the Contracting Officer. Where two consecutive averages of 5 tests are outside specification limits, the operation will be considered out of control and reported to the Contracting Officer. Stop concreting and take immediate steps to correct the grading.

3.10.2 Concrete Sampling

ASTM C172/C172M. Collect samples of fresh concrete to perform tests specified. ASTM C31/C31M for making test specimens.

3.10.3 Concrete Testing

3.10.3.1 Slump Tests

ASTM C143/C143M. Take concrete samples during concrete placement/discharge. The maximum slump may be increased as specified with the addition of an approved admixture provided that the water-cementitious material ratio is not exceeded. Perform tests at commencement of concrete placement, when test cylinders are made. If the measured slump at point of delivery is greater than specified, a check test will be performed on a new sample from point of delivery. If the check test fails, concrete is considered to have failed to meet the requirements of this specification. If the measured slump is less than specified, adjustments will be permitted in accordance with ASTM C94/C94M. If the test of slump of the adjusted mixture fails, concrete is considered to have failed to meet the requirements of this specification.

3.10.3.2 Temperature Tests

Test the concrete delivered and the concrete in the forms. Perform tests in hot or cold weather conditions (below 50 degrees F and above 80 degrees F) for each batch (minimum) or every 20 cubic yards (maximum) of concrete, until the specified temperature is obtained, and whenever test cylinders

and slump tests are made.

3.10.3.3 Compressive Strength Tests

ASTM C39/C39M. Make six 6 inch by 12 inch or nine 4 inch by 8 inch test cylinders for each set of tests in accordance with ASTM C31/C31M, ASTM C172/C172M and applicable requirements of ACI 305R and ACI 306R. Take precautions to prevent evaporation and loss of water from the specimen. Test two 6 inch by 12 inch or three 4 inch by 8 inch cylinders at 7 days, two 6 inch by 12 inch or three 4 inch by 8 inch cylinders at 28 days, and hold two 6 inch by 12 inch or three 4 inch by 8 inch cylinders in reserve. Take samples for strength tests for each concrete mixture placed each day not less than once a day, nor less than once for each 150 cubic yards of concrete, nor less than once for each 5000 square feet of surface area for slabs or walls. For the entire project, take no less than five sets of samples and perform strength tests for each mix design of concrete placed. Each strength test result must be the average of two 6 inch by 12 inch or three 4 inch by 8 inch cylinders from the same concrete sample tested at 28 days. Concrete compressive tests must meet the requirements of this section, the Contract Document, and ACI 301.

3.10.3.4 Air Content

ASTM C173/C173M or ASTM C231/C231M for normal weight concrete. Test air-entrained concrete for air content at the same frequency as specified for slump tests. If the measured air content at point of delivery is greater than the specified upper limit, a check test of air content will be performed on a new sample from point of delivery. If the check test fails, concrete has failed to meet the requirements of this specification. If the measured air content is less than the specified lower limit, adjustments will be permitted in accordance with ASTM C94/C94M. If the check test of the adjusted mixture fails, concrete has failed to meet the requirements of this specification.

3.10.3.5 Chloride Ion Concentration

Meet the requirements of the paragraph titled CORROSION AND CHLORIDE CONTENT. Determine water soluble ion concentration in accordance with ASTM C1218/C1218M. Perform test once for each mix design.

3.10.3.6 Strength of Concrete Structure

The strength of the concrete structure will be considered to be deficient if any of the following conditions are identified:

a. Failure to meet compressive strength tests as evaluated.

b. Reinforcement not conforming to requirements specified.

c. Concrete which differs from required dimensions or location in such a manner as to reduce strength.

d. Concrete curing and protection of concrete against extremes of temperature during curing, not conforming to requirements specified.

e. Concrete subjected to damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration.

f. Poor workmanship likely to result in deficient strength.

Where the strength of the concrete structure is considered deficient submit a mitigation or remediation plan for review and approval by the Contracting Officer.

3.10.3.7 Non-Conforming Materials

Factors that indicate that there are non-conforming materials include (but not limited to) excessive compressive strength, inadequate compressive strength, excessive slump, excessive voids and honeycombing, concrete delivery records that indicate excessive time between mixing and placement, or excessive water was added to the mixture during delivery and placement. Any of these indicators alone are sufficient reason for the Contracting Officer to request additional sampling and testing.

Conduct investigations into non-conforming materials at the Contractor's expense. The Contractor is responsible for the investigation and must make written recommendations to adequately mitigate or remediate the non-conforming material. The Contracting Officer may accept, accept with reduced payment, require mitigation, or require removal and replacement of non-conforming material at no additional cost to the Government.

3.10.3.8 Testing Concrete Structure for Strength

When there is evidence that strength of concrete structure in place does not meet specification requirements or there are non-conforming materials, make cores drilled from hardened concrete for compressive strength determination in accordance with ASTM C42/C42M, and as follows:

a. Take at least three representative cores from each member or area of concrete-in-place that is considered potentially deficient. Location of cores will be determined by the Contracting Officer.

b. Test cores after moisture conditioning in accordance with ASTM C42/C42M if concrete they represent is more than superficially wet under service.

c. Air dry cores, (60 to 80 degrees F with relative humidity less than 60 percent) for 7 days before test and test dry if concrete they represent is dry under service conditions.

d. Strength of cores from each member or area are considered satisfactory if their average compressive strength is equal to or greater than 85 percent of the design compressive strength, and if no single core strength is less than 75 percent of the design compressive strength. Additional testing of cores extracted from locations represented by erratic core strength results will be permitted only when approved by the Contracting Officer.

Fill core holes solid with patching mortar and finished to match adjacent concrete surfaces.

Correct concrete work that is found inadequate by core tests in a manner approved by the Contracting Officer.

3.11 REPAIR, REHABILITATION AND REMOVAL

Before the Contracting Officer accepts the structure, inspect the structure for cracks, damage and substandard concrete placements that may

adversely affect the service life of the structure. Prepare a report documenting these defects which includes recommendations for repair, removal or remediation and submit to the Contracting Officer for approval before any corrective work is accomplished.

3.11.1 Crack Repair

Prior to final acceptance, document all cracks in excess of 0.02 inches wide and repair. Submit the proposed method and materials to repair the cracks to the Contracting Officer for approval. Address the amount of movement expected in the crack due to temperature changes and loading.

3.11.2 Repair of Weak Surfaces

Weak surfaces are defined as mortar-rich, rain-damaged, uncured, or containing exposed voids or deleterious materials. Concrete surfaces with weak surfaces less than 1/4 inch thick must be diamond ground to remove the weak surface. Surfaces containing weak surfaces greater than 1/4 inch thick must be removed and replaced or mitigated in a manner acceptable to the Contracting Officer.

3.11.3 Failure of Quality Assurance Test Results

Proposed mitigation efforts must be approved by the Contracting Officer prior to proceeding.

-- End of Section --

SECTION 05 05 20

POST-INSTALLED CONCRETE AND MASONRY ANCHORS
05/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 355.2	(2007) Qualification of Post-Installed Mechanical Anchors in Concrete and Commentary
ACI 355.4	(2011) Qualification of Post-Installed Adhesive Anchors in Concrete (ACI 355.4) and Commentary

ASTM INTERNATIONAL (ASTM)

ASTM A153/A153M	(2023) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A193/A193M	(2020) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A615/A615M	(2024) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM E488/E488M	(2015) Standard Test Methods for Strength of Anchors in Concrete and Masonry Elements
ASTM F1554	(2020) Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Health Requirements Manual

1.2 APPLICABILITY

This guide specification covers the requirements for all anchors that are post-installed into hardened concrete, concrete masonry, or brick. This guide specification does not cover through bolts, powder or pneumatic actuated nails, or cast in anchors.

1.3 DEFINITIONS

1.3.1 Anchor

"Anchor" includes steel elements post-installed into hardened concrete, concrete masonry, or brick and used to transmit applied loads.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Installer Qualifications; G

SD-03 Product Data

Mechanical Anchors in Concrete; G

Adhesive Anchor System in Concrete; G

Mechanical and Adhesive Anchors in Masonry; G

Non-Shrink, Non-Metallic Grout; G

SD-07 Certificates

Post-Installed Anchor Certification; G

SD-08 Manufacturer's Instructions

Manufacturer's Printed Installation Instructions; G

Non-Shrink, Non-Metallic Grout; G

Manufacturer's Material Safety Data Sheets

1.5 QUALITY ASSURANCE

Perform all work in accordance with EM 385-1-1 and all manufacturer's instructions and recommendations. To protect personnel from overexposure to toxic materials, conform to the applicable manufacturer's Material Safety Data Sheets (MSDS) or local regulation. Submit the MSDS for epoxies and other potentially hazardous materials.

1.5.1 Qualifications

The submittals must identify individuals who will be working on this contract and their relevant experience and training. Do not make changes in approved personnel without prior approval of the Contracting Officer.

1.5.1.1 Installer Qualifications

Each worker engaged in the installation of post-installed anchors must have satisfactorily completed an applicable certification program or equivalent instruction program through the manufacturer or manufacturer's

representative for all anchoring products they will install. A manufacturer's representative must train all installers per the installation instructions as listed in the ICC-ES Evaluation Report for the anchor being installed. Training must consist of a review and performance test of the complete installation process, including but not limited to:

(1) Hole drilling procedure

(2) Hole preparation & cleaning technique

(3) Adhesive injection technique & dispenser training / maintenance

(4) Anchor/threaded rod preparation and installation

(5) Rebar dowel preparation and installation

(6) Proof loading/torquing

(7) Installation in horizontal and upward orientations

Submit certification for each worker showing that they have completed the above training within three years prior to onsite work. Certification must include organization or manufacturer's name, instructor's name and qualifications, trainee's name, list of instruction received, date of instruction, and confirmation of successful performance tests.

1.6 DELIVERY, STORAGE, AND HANDLING

1.6.1 Packing, Shipping, Handling, and Unloading

Deliver products to job site in manufacturer's or distributor's original packaging undamaged, complete with installation instructions. Inspect materials delivered to site for damage. Unload and store with minimal handling.

1.6.2 Storage

Protect, store, and handle materials in accordance with manufacturer's recommendations to prevent damage or deterioration. Do not allow chemical materials to freeze. Remove materials that have not be stored in accordance with the manufacturer's recommendations, including expired materials, from the job site.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Post-Installed Anchors

Provide anchors of the type, effective embedment, and diameter indicated on contract drawings. Minimum spacing and concrete edge distances must be as shown on contract drawings. Design values listed must be as tested according to ASTM E488/E488M for the substrate type, substrate moisture condition, concrete aggregate type (normal weight or lightweight concrete), and concrete/masonry strength. Minimum ultimate strength tension and shear values must be as indicated on contract drawings. If more than one type of anchor is to be used on a project, clearly indicate on the submittal where each type of anchor will be used.

2.1.1.1 Post-Installed Anchor Certification

Submit product information with recommended design values and physical characteristics for each type anchor shown on the drawings.

Provide certified test reports showing compliance with specified performance characteristics and physical properties. Anchors must have one of the following certifications:

(1) ICC-ES Evaluation Report indicating conformance with current applicable ICC ES Acceptance Criteria

(2) Third party Evaluation Report in conformance with ACI 355.2 or ACI 355.4, as applicable. Third party must be accredited under ISO/IEC 17025 by a recognized accreditation body conforming to the requirements of ISO/IEC 17011 in accordance with ACI 355.4."

2.1.1.2 Manufacturer's Printed Installation Instructions

Submit manufacturer's instructions for each anchor type shown on the drawings.

2.1.1.3 Mechanical Anchors in Concrete

Anchors must have been tested and qualified for performance in cracked and uncracked concrete in accordance with ACI 355.2.

Anchors must be galvanized in accordance with ASTM A153/A153M or stainless steel in accordance with ASTM A193/A193M unless otherwise indicated.

2.1.1.4 Adhesive Anchor System in Concrete

Use an adhesive to bond steel anchors to concrete. The adhesive must be a moisture insensitive, structural adhesive. Anchors must have been tested and qualified for performance in cracked and uncracked concrete, horizontal and overhead applications, and long term creep in accordance with ACI 355.4.

Threaded rod anchors must meet the requirements of ASTM F1554 Grade 36. Threaded rods must be galvanized in accordance with ASTM A153/A153M or stainless steel in accordance with ASTM A193/A193M unless otherwise indicated. Reinforcing bars must meet the requirements of ASTM A615/A615M Grade 60.

Adhesive anchors must have the below characteristic bond strengths for uncracked and cracked concrete in 3000 psi concrete with maximum short term temperatures of 100 degrees F and maximum long term temperatures of 100 degrees F:

ANCHOR	Tau,uncr (characteristic bond strength, uncracked concrete)	Tau,cr (characteristic bond strength, cracked concrete)
	(psi)	(psi)
3/4" diameter threaded rod	1670	670
#5 reinforcing bar	1460	1140

2.1.1.5 Mechanical and Adhesive Anchors in Masonry

Anchors must have been tested and qualified, by a third party, specifically for performance in filled concrete masonry to match the project's actual base material.

Adhesives must be moisture insensitive, low creep, structural adhesive. Threaded rods must meet the requirements of ASTM F1554 Grade 36.

Anchors must be galvanized in accordance with ASTM A153/A153M or stainless steel in accordance with ASTM A193/A193M unless otherwise indicated.

2.2 EQUIPMENT

Assemble at the site of the work, sufficient equipment that is dependable, appropriate and adequate to accomplish the work specified. Maintain the equipment in good working condition.

PART 3 EXECUTION

3.1 ANCHORING AND REINFORCING

Install anchors in accordance with the spacing and edge clearances indicated on the drawings. Anchor capacity is also highly dependent on proper installation. Follow all manufacturer and Evaluation Report installation instructions.

3.1.1 Drilling and Installing Mechanical Anchors

Drill holes for anchors using drilling equipment and bits suitable for the intended purpose, in accordance with Manufacturer's published installation instructions. Diameter of holes must be as recommended by the anchor manufacturer. Unless otherwise shown on the Drawings, all holes must be drilled perpendicular to the concrete surface. Deviations more than 6 degrees from perpendicular are not acceptable. Unless otherwise specified, do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Mechanical anchors must not be installed in concrete that is less than 7 days old.

Clean holes, install anchors and set anchors in place in accordance with the manufacturer's recommendations. Protect threads and anchor from damage during anchor installation. Ensure proper embedment and placement in accordance with contract documents and all other work. Aim wedges away from any concrete edges that are less than 9 inches from centerline of hole.

Tighten nuts against smooth washers to the manufacturer's recommended torque, using a calibrated torque wrench. Following attainment of 10 percent of the specified torque, 100 percent of the specified torque must

be reached within 7 or less complete turns of the nut. If the specified torque is not achieved within the required number of turns, the anchor must be removed and replaced unless otherwise directed by the Engineer of Record.

3.1.2 Drilling and Installing Adhesive Anchors

Drill holes for anchors using drilling equipment and bits suitable for the intended purpose, in accordance with Manufacturer's Printed Installation Instructions and Evaluation Report installation instructions. Diameter of holes must be as recommended by the anchor manufacturer. Unless otherwise shown on the drawings, all holes must be drilled perpendicular to the concrete surface. Deviations more than 10 degrees from perpendicular are not acceptable. Unless otherwise specified, do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Adhesive anchors must not be installed in concrete that is less than 21 days old.

Clean holes, place grout, and install anchors in accordance with anchor manufacturer's recommendations. Remove excess adhesive after the anchor has been set in place. Remove spills on adjacent surfaces. Protect threads and anchor from damage during anchor installation. Ensure proper embedment and placement in accordance with contract documents and all other work. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

Adhesives must be stored at temperatures prescribed by the manufacturer and must not be used beyond the expiration date.

3.1.3 Unused or Repairs to Drilled Holes

Any holes made for anchors that are not used must be filled with non-shrink, non metallic grout suitable for the orientation and size of hole and have a minimum compressive strength of 4000 psi. Repair must completely fill hole and be flush with existing concrete or masonry. Place in accordance with manufacturer's recommended instructions. Final anchor positions must not be within1 inch of repair patches.

3.2 EMBEDDED ITEMS

Existing reinforcing bars or other embedded items in the structure may conflict with specified anchor locations. Existing reinforcing and embedded items must not be damaged during installation of post-installed anchors.

The contractor must review the project drawings and must use Radar detection systems (such as Hilti Ferroscan), X-Ray, or other appropriate means to accurately locate the position of reinforcing bars and embedded items at the locations of the anchors in the field.

Create a template at each anchor connection location prior to fabricating holes in connection plates. Template must be made by locating existing reinforcing with an approved reinforcement detection system.

3.3 TESTS AND INSPECTIONS

3.3.1 Mechanical Anchors

Mechanical Anchors must be inspected during installation, to verify anchor type, anchor dimensions, base material type, drill bit, hole dimensions, hole cleaning procedures, anchor spacing, edge distances, base material thickness, base material age, effective embedment, tightening torque, adherence to the manufacturer's printed installation instructions, and any additional items recommended in the Evaluation Report.

3.3.2 Adhesive Anchors

Adhesive anchors must be inspected during installation, to verify anchor type, anchor dimensions, base material type, base material age, drill bit, hole dimensions, hole cleaning procedures, anchor spacing, edge distances, base material thickness, effective embedment, tightening torque, adhesive mixing, filling of the hole with adhesive, adherence to the manufacturer's printed installation instructions, and any additional items recommended in the Evaluation Report.

3.4 DUST CONTROL

Control dust resulting from demolition to prevent the spread of dust and avoid creation of a nuisance in the surrounding area. Do not use water when it will result in, or create, hazardous or objectionable conditions such as ice, flooding, or pollution.

-- End of Section --

SECTION 05 12 00

STRUCTURAL STEEL
08/18, CHG 2: 05/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325	(2017) Steel Construction Manual
AISC 326	(2009) Detailing for Steel Construction
AISC 360	(2016) Specification for Structural Steel Buildings
ANSI/AISC 303	(2022) Code of Standard Practice for Structural Steel Buildings and Bridges

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)

ANSI/ASNT CP-189	(2020) ASNT Standard for Qualification and Certification of Nondestructive Testing Personnel

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B46.1	(2020) Surface Texture, Surface Roughness, Waviness and Lay

AMERICAN WELDING SOCIETY (AWS)

AWS A2.4	(2012) Standard Symbols for Welding, Brazing and Nondestructive Examination
AWS D1.1/D1.1M	(2025) Structural Welding Code - Steel
AWS QC1	(2016) Specification for AWS Certification of Welding Inspectors

ASTM INTERNATIONAL (ASTM)

ASTM A6/A6M	(2024b) Standard Specification for General Requirements for Rolled Structural Steel Bars, Plates, Shapes, and Sheet Piling
ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

ASTM A123/A123M	(2024) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A307	(2023) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A500/A500M	(2023) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A563	(2021; E 2022a) Standard Specification for Carbon and Alloy Steel Nuts
ASTM A780/A780M	(2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings
ASTM A992/A992M	(2022) Standard Specification for Structural Steel Shapes
ASTM B695	(2021) Standard Specification for Coatings of Zinc Mechanically Deposited on Iron and Steel
ASTM C827/C827M	(2023) Standard Test Method for Change in Height at Early Ages of Cylindrical Specimens of Cementitious Mixtures
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
ASTM F436/F436M	(2024) Standard Specification for Hardened Steel Washers Inch and Metric Dimensions
ASTM F844	(2019; R 2024) Standard Specification for Washers, Steel, Plain (Flat), Unhardened for General Use
ASTM F1136/F1136M	(2011) Standard Specification for Zinc/Aluminum Corrosion Protective Coatings for Fasteners
ASTM F1554	(2020) Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength
ASTM F2329/F2329M	(2015; R 2023) Standard Specification for Zinc Coating, Hot-Dip, Requirements for Application to Carbon and Alloy Steel Bolts, Screws, Washers, Nuts, and Special Threaded Fasteners
ASTM F2833	(2011; R 2017) Standard Specification for Corrosion Protective Fastener Coatings with Zinc Rich Base Coat and Aluminum

	Organic/Inorganic Type
ASTM F3125/F3125M	(2019) Standard Specification for High Strength Structural Bolts and Assemblies, Steel and Alloy Steel, Heat Treated, Inch Dimensions 120 ksi and 150 ksi Minimum Tensile Strength, and Metric Dimensions 830 MPa and 1040 MPa Minimum Tensile Strength

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-301-01	(2023; with Change 4, 2025) Structural Engineering

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR Part 1926, Subpart R	Steel Erection

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Erection and Erection Bracing Drawings; G

SD-02 Shop Drawings

Fabrication Drawings Including Details of Connections; G

SD-03 Product Data

Welding Electrodes and Rods

Non-Shrink Grout

SD-05 Design Data

Design Calculations for Steel Connections; G

SD-06 Test Reports

Bolts, Nuts, and Washers

Weld Inspection Reports

Bolt Testing Reports

SD-07 Certificates

Steel

Bolts, Nuts, and Washers

Galvanizing

Welding Procedures and Qualifications

Welding Electrodes and Rods

Certified Welding Inspector

NDT Technician

Welding Procedure Specifications (WPS)

1.3 QUALITY ASSURANCE

1.3.1 Preconstruction Submittals

1.3.1.1 Erection and Erection Bracing Drawings

Submit for record purposes. Indicate the sequence of erection, temporary shoring and bracing. The erection drawings must conform to ANSI/AISC 303.

1.3.2 Fabrication Drawing Requirements

Submit fabrication drawings for approval prior to fabrication. Prepare in accordance with ANSI/AISC 303, AISC 326 and AISC 325. Fabrication drawings must not be reproductions of contract drawings. Sign and seal fabrication drawings by a registered professional engineer. Include complete information for the fabrication and erection of the structure's components, including the location, type, and size of bolts, welds, member sizes and lengths, connection details, blocks, copes, and cuts. Use AWS A2.4 standard welding symbols. Clearly highlight any deviations from the details shown on the contract drawings highlighted on the fabrication drawings. Explain the reasons for any deviations from the contract drawings.

1.3.3 Delegated Connection Design

Design structural steel connection indicated in the contract documents per ANSI/AISC 303, Option 3, using the connection loads indicated. Submit design calculations for steel connections signed and sealed by a registered professional engineer.

1.3.4 Certifications

1.3.4.1 Welding Procedures and Qualifications

Prior to welding, submit certification for each welder stating the type of welding and positions qualified for, the code and procedure qualified under, date qualified, and the firm and individual certifying the qualification tests.

Conform to all requirements specified in AWS D1.1/D1.1M.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide the structural steel system, including galvanizing, complete and ready for use. Provide structural steel systems including design, materials, installation, workmanship, fabrication, assembly, erection,

inspection, quality control, and testing in accordance with ANSI/AISC 303, AISC 360, and UFC 3-301-01 except as modified in this contract.

2.2 STEEL

2.2.1 Structural Steel

Wide flange and WT shapes, ASTM A992/A992M. Angles, Channels and Plates, ASTM A36/A36M.

2.2.2 Structural Steel Tubing

ASTM A500/A500M, Grade B.

2.2.3 Steel Pipe

ASTM A53/A53M, Type E or S, Grade B, weight class STD (Standard) or as indicated.

2.3 BOLTS, NUTS, AND WASHERS

Submit the certified manufacturer's mill reports which clearly show the applicable ASTM mechanical and chemical requirements together with the actual test results for the supplied fasteners.

2.3.1 Common Grade Bolts

2.3.1.1 Bolts

ASTM A307, Grade A, plain finish hot dipped zinc coating. The bolt heads and the nuts of the supplied fasteners must be marked with the manufacturer's identification mark, the strength grade and type specified by ASTM specifications.

2.3.1.2 Nuts

ASTM A563, Grade A, heavy hex style.

2.3.1.3 Washers

ASTM F844.

2.3.2 High-Strength Bolts

High strength bolts and nuts must be shipped together in the same shipping container. Fasteners indicated to be galvanized shall be tested by the supplier to show that the galvanized nut with the supplied lubricant provided may be rotated from the snug tight condition well in excess of the rotation required for pretentioned installation without stripping. The supplier shall supply nuts that have been lubricated and tested with the supplied bolts.

2.3.2.1 Bolts

ASTM F3125/F3125M, Grade A325M A325, Type 1 Heavy Hex Head Style, plain finish hot dipped zinc coating.

2.3.2.2 Nuts

ASTM A563, Grade and Style as specified in the applicable ASTM bolt standard.

2.3.2.3 Washers

ASTM F436/F436M, plain carbon steel.

2.3.3 Foundation Anchorage

2.3.3.1 Anchor Rods

ASTM F1554 Gr 36, Class 1A.

2.3.3.2 Anchor Nuts

ASTM A563, Grade A, hex style.

2.3.3.3 Anchor Washers

ASTM F844.

2.3.3.4 Anchor Plate Washers

ASTM A36/A36M

2.4 STRUCTURAL STEEL ACCESSORIES

2.4.1 Welding Electrodes and Rods

AWS D1.1/D1.1M. Submit product data for welding electrodes and rods.

2.4.2 Non-Shrink Grout

ASTM C1107/C1107M, with no ASTM C827/C827M shrinkage. Grout must be nonmetallic. Submit product data for non-shrink grout.

2.5 GALVANIZING

ASTM F2329/F2329M, ASTM F1136/F1136M, ASTM F2833 or ASTM B695 for threaded parts or ASTM A123/A123M for structural steel members, as applicable, unless specified otherwise galvanize after fabrication where practicable.

2.6 FABRICATION

Fabrication must be in accordance with the applicable provisions of AISC 325. Fabrication and assembly must be done in the shop to the greatest extent possible. Punch, subpunch and ream, or drill bolt holes perpendicular to the surface of the member.

Compression joints depending on contact bearing must have a surface roughness not in excess of 500 micro inch as determined by ASME B46.1, and ends must be square within the tolerances for milled ends specified in ASTM A6/A6M.

Shop splices of members between field splices will be permitted only where indicated on the Contract Drawings. Splices not indicated require the approval of the Contracting Officer.

2.6.1 Markings

Prior to erection, identify members by a painted erection mark. Connecting parts assembled in the shop for reaming holes in field connections must be match marked with scratch and notch marks. Do not locate erection markings on areas to be welded. Do not locate match markings in areas that will decrease member strength or cause stress concentrations. Affix embossed tags to hot-dipped galvanized members.

2.6.2 Surface Finishes

ASME B46.1 maximum surface roughness of 125 for pin, pinholes, and sliding bearings, unless indicated otherwise.

2.7 DRAINAGE HOLES

Drill adequate drainage holes to eliminate water traps. Hole diameter must be 1/2 inch and location indicated on the detail drawings. Hole size and locations must not affect the structural integrity.

PART 3 EXECUTION

3.1 ERECTION

a. Erection of structural steel, except as indicated in item b. below, must be in accordance with the applicable provisions of AISC 325, ANSI/AISC 303 and 29 CFR Part 1926, Subpart R.

After final positioning of steel members, provide full bearing under base plates and bearing plates using nonshrink grout. Place nonshrink grout in accordance with the manufacturer's instructions.

3.1.1 STORAGE

Store the material out of contact with the ground in such manner and location as to minimize deterioration.

3.2 CONNECTIONS

Except as modified in this section, design connections indicated in accordance with AISC 360. Build connections into existing work. Do not tighten anchor bolts set in concrete with impact torque wrenches. Holes must not be cut or enlarged by burning. Bolts, nuts, and washers must be clean of dirt and rust, and lubricated immediately prior to installation.

3.2.1 Common Grade Bolts

Tighten ASTM A307 bolts to a "snug tight" fit. "Snug tight" is the tightness that exists when plies in a joint are in firm contact. If firm contact of joint plies cannot be obtained with a few impacts of an impact wrench, or the full effort of a man using a spud wrench, contact the Contracting Officer for further instructions.

3.2.2 High-Strength Bolts

Provide direct tension indicator washers in all ASTM F3125/F3125M, Grade A325 and Grade A490 bolted connections. Bolts must be installed in connection holes and initially brought to a snug tight fit. After the initial tightening procedure, fully tension bolts, progressing from the

most rigid part of a connection to the free edges.

Fastener components shall be protected from dirt and moisture in closed containers at the site of the installation. Fastener components that are not incorporated into the work shall be returned to protected storage at the end of the work shift.

3.3 GAS CUTTING

Use of gas-cutting torch in the field for correcting fabrication errors is not permitted on any major member in the structural framing. Use of a gas cutting torch will be permitted on minor members not under stress only after approval has been obtained from the Contracting Officer.

3.4 WELDING

Welding must be in accordance with AWS D1.1/D1.1M Provide AWS D1.1/D1.1M qualified welders, welding operators, and tackers.

Develop and submit the Welding Procedure Specifications (WPS) for all welding, including welding done using prequalified procedures. Submit for approval all WPS, whether prequalified or qualified by testing.

3.4.1 Removal of Temporary Welds, Run-Off Plates, and Backing Strips

Removal is not required

3.5 SHOP PRIMER REPAIR

Repair shop primer in accordance with the paint manufacturer's recommendation for surfaces damaged by handling, transporting, cutting, welding, or bolting.

3.5.1 Field Priming

Field prime steel exposed to the weather, or located in building areas without HVAC for control of relative humidity. After erection, the field bolt heads and nuts, field welds, and any abrasions in the shop coat must be cleaned and primed with paint of the same quality as that used for the shop coat.

3.6 GALVANIZING REPAIR

Repair damage to galvanized coatings using ASTM A780/A780M zinc rich paint for galvanizing damaged by handling, transporting, cutting, welding, or bolting. Do not heat surfaces to which repair paint has been applied.

3.7 FIELD QUALITY CONTROL

Perform field tests, and provide labor, equipment, and incidentals required for testing. Notify the Contracting Officer in writing of defective welds, bolts, nuts, and washers within 7 working days of the date of the inspection.

3.7.1 Welds

3.7.1.1 Visual Inspection

AWS D1.1/D1.1M. Furnish the services of AWS-certified welding inspectors

for fabrication and erection inspection and testing and verification inspections. A Certified Welding Inspector must perform visual inspection on 100 percent of all welds. Document this inspection in the Visual Weld Inspection Log. Submit certificates indicating that certified welding inspectors meet the requirements of AWS QC1.

Inspect proper preparation, size, gaging location, and acceptability of all welds; identification marking; operation and current characteristics of welding sets in use.

3.7.1.2 Nondestructive Testing

Nondestructive testing must be in accordance with AWS D1.1/D1.1M. Ultrasonic testing must be performed in accordance with Table 8.2 of AWS D1.1/D1.1M. Test locations must be selected by the Contracting Officer. All personnel performing NDT must be certified in accordance with ANSI/ASNT CP-189 in the method of testing being performed. Submit certificates showing compliance with ANSI/ASNT CP-189 for all NDT technicians. If more than 20 percent of welds made by a welder contain defects identified by testing, then all groove welds made by that welder must be tested by ultrasonic testing, and all fillet welds made by that welder must be inspected by magnetic particle testing (MT) or dye penetrant testing (PT) as approved by the Contracting Officer. When groove welds made by an individual welder are required to be tested, magnetic particle or dye penetrant testing may be used only in areas inaccessible to ultrasonic testing. Retest all repaired areas. Submit weld inspection reports.

Testing frequency: Provide the following types and number of tests:

Test Type	Number of Tests
Ultrasonic	50 percent of CJP Welds
Magnetic Particle	50 percent of PJP and Fillet Welds
Dye Penetrant	50 percent of PJP and Fillet Welds

3.7.2 High-Strength Bolts

3.7.2.1 Testing Bolt, Nut, and Washer Assemblies

Test a minimum of 3 bolt, nut, and washer assemblies from each mill certificate batch in a tension measuring device at the job site prior to the beginning of bolting start-up. Demonstrate that the bolts and nuts, when used together, can develop tension not less than the provisions specified in AISC 360, depending on bolt size and grade. The bolt tension must be developed by tightening the nut. A representative of the manufacturer or supplier must be present to ensure that the fasteners are properly used, and to demonstrate that the fastener assemblies supplied satisfy the specified requirements. Submit bolt testing reports.

3.7.2.2 Inspection

Inspection procedures must be in accordance with AISC 360. Confirm and report to the Contracting Officer that the materials meet the project specification and that they are properly stored. Confirm that the faying surfaces have been properly prepared before the connections are

assembled. Observe the specified job site testing and calibration, and confirm that the procedure to be used provides the required tension. Monitor the work to ensure the testing procedures are routinely followed on joints that are specified to be fully tensioned.

Inspect calibration of torque wrenches for high-strength bolts.

3.7.2.3 Testing

The Government has the option to perform nondestructive tests on 5 percent of the installed bolts to verify compliance with pre-load bolt tension requirements. Provide the required access for the Government to perform the tests. The nondestructive testing will be done in-place using an ultrasonic measuring device or any other device capable of determining in-place pre-load bolt tension. The test locations must be selected by the Contracting Officer. If more than 10 percent of the bolts tested contain defects identified by testing, then all bolts used from the batch from which the tested bolts were taken, must be tested at the Contractor's expense. Retest new bolts after installation at the Contractor's expense.

-- End of Section --

SECTION 09 90 00

PAINTS AND COATINGS
02/21

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

1.1.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.

b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.

c. Existing coated surfaces that are damaged during performance of the work.

1.1.1.1 Exterior Painting

Includes new surfaces, existing coated surfaces damaged by demolition and new construction, and existing uncoated surfaces, of the building and appurtenances. Also included are existing coated surfaces made bare by cleaning operations.

1.1.1.2 Interior Painting

Includes new surfaces, existing uncoated surfaces damaged by demolition and new construction, and existing coated surfaces of the building and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

a. Exposed columns, girders, beams, joists, and metal deck; and

b. Other contiguous surfaces.

1.1.2 Painting Excluded

Do not paint the following unless indicated otherwise.

a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.

b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.

c. Steel to be embedded in concrete.

d. Copper, stainless steel, aluminum, anodized aluminum, brass, and lead except existing coated surfaces.

e. Hardware, fittings, and other factory finished items.

1.1.3 Mechanical and Electrical Painting

Includes field coating of interior and exterior new and existing surfaces damaged by demolition and new construction.

a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.

(1) Exposed piping, conduit, and ductwork;

(2) Supports, hangers, air grilles, and registers;

(3) Miscellaneous metalwork and insulation coverings.

b. Do not paint the following, unless indicated otherwise:

(1) New zinc-coated, aluminum, and copper surfaces under insulation

(2) New interior ferrous piping under insulation.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH 0100	(2017; Suppl 2020) Documentation of the Threshold Limit Values and Biological Exposure Indices

ASTM INTERNATIONAL (ASTM)

ASTM D235	(2022) Standard Specification for Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent)
ASTM D523	(2014; R 2018) Standard Test Method for Specular Gloss
ASTM D4214	(2023) Standard Test Method for Evaluating the Degree of Chalking of Exterior Paint Films
ASTM D6386	(2022) Standard Practice for Preparation of Zinc (Hot-Dip Galvanized) Coated Iron and Steel Product and Hardware Surfaces for Painting

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)

Intelligence Bulletin 65	(2013) Occupational Exposure to Carbon Nanotubes and Nanofibers

MASTER PAINTERS INSTITUTE (MPI)

MPI 2	(2012) Aluminum Heat Resistant Enamel (up to 427 C and 800 F
MPI 8	(2016) Alkyd, Exterior Flat (MPI Gloss Level I)
MPI 9	(2016) Alkyd, Exterior Gloss (MPI Gloss Level 6)
MPI 10	(2016) Latex, Exterior Flat (MPI Gloss Level 1)
MPI 11	(2016) Latex, Exterior Semi-Gloss, MPI Gloss Level 5
MPI 19	(2012) Primer, Zinc Rich, Inorganic
MPI 21	(2012) Heat Resistant Coating, (Up to 205°C/402°F), MPI Gloss Level 6
MPI 23	(2015) Primer, Metal, Surface Tolerant
MPI 47	(2016) Alkyd, Interior, Semi-Gloss (MPI Gloss Level 5)
MPI 48	(2016) Alkyd, Interior, Gloss (MPI Gloss Level 6-7)
MPI 49	(2015) Alkyd, Interior, Flat (MPI Gloss Level 1)
MPI 51	(2016) Alkyd, Interior, (MPI Gloss Level 3)2
MPI 72	(2016) Polyurethane, Two-Component, Pigmented, Gloss (MPI Gloss Level 6-7)
MPI 76	(2016) Primer, Alkyd, Quick Dry, for Metal
MPI 79	(2016) Primer, Alkyd, Anti-Corrosive for Metal
MPI 94	(2016) Alkyd, Exterior, Semi-Gloss (MPI Gloss Level 5)
MPI 95	(2015) Primer, Quick Dry, for Aluminum
MPI 101	(2016) Primer, Epoxy, Anti-Corrosive, for Metal
MPI 107	(2016) Primer, Rust-Inhibitive, Water Based
MPI 108	(2015) Epoxy, High Build, Low Gloss
MPI 119	(2016) Latex, Exterior, Gloss (MPI Gloss Level 6)

MPI 134	(2015) Primer, Galvanized, Water Based
MPI 138	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 2)
MPI 139	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 3)
MPI 140	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 4)
MPI 141	(2016) Latex, Interior, High Performance Architectural, Semi-Gloss (MPI Gloss Level 5)
MPI 161	(2016) Light Industrial Coating, Exterior, Water Based (MPI Gloss Level 3)
MPI 163	(2016) Light Industrial Coating, Exterior, Water Based, Semi-Gloss (MPI Gloss Level 5)
MPI 164	(2016) Light Industrial Coating, Exterior, Water Based, Gloss (MPI Gloss Level 6)
MPI ASM	(2019) Architectural Painting Specification Manual
MPI GPS-1-14	(2014) Green Performance Standard GPS-1-14
MPI GPS-2-14	(2014) Green Performance Standard GPS-2-14
MPI MRM	(2015) Maintenance Repainting Manual

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC 7/NACE No.4	(2007) Brush-Off Blast Cleaning
SSPC Glossary	(2011) SSPC Protective Coatings Glossary
SSPC PA 1	(2024) Shop, Field, and Maintenance Coating of Metals
SSPC SP 1	(2015) Solvent Cleaning
SSPC SP 2	(2024) Hand Tool Cleaning
SSPC SP 3	(2024) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning
SSPC SP 10/NACE No. 2	(2015) Near-White Blast Cleaning
SSPC VIS 1	(2002; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Dry Abrasive Blast Cleaning
SSPC VIS 3	(2004) Guide and Reference Photographs for Steel Surfaces Prepared by Hand and Power Tool Cleaning

SSPC VIS 4/NACE VIS 7	(1998; E 2000; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Waterjetting
SSPC-SP WJ-1/NACE WJ-1	(2012) Clean to Bare Substrate, Waterjet Cleaning of Metals
SSPC-SP WJ-2/NACE WJ-2	(2012) Very Thorough Cleaning, Waterjet Cleaning of Metals
SSPC-SP WJ-3/NACE WJ-3	(2012) Thorough Cleaning, Waterjet Cleaning of Metals
SSPC-SP WJ-4/NACE WJ-4	(2012) Light Cleaning, Waterjet Cleaning of Metals

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.3 DEFINITIONS

1.3.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third-party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.3.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing must be accomplished by an MPI testing lab.

1.3.3 Coating

SSPC Glossary; (1) A liquid, liquefiable, or mastic composition that is converted to a solid protective, decorative, or functional adherent film after application as a thin layer; (2) Generic term for paint, lacquer, enamel.

1.3.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.3.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five levels are generically defined under the Assessment sections in the MPI MRM, MPI Maintenance Repainting Manual.

1.3.6 EXT

MPI short term designation for an exterior coating system.

1.3.7 INT

MPI short term designation for an interior coating system.

1.3.8 Loose Paint

Paint or coating that can be removed with a dull putty knife.

1.3.9 mil / mils

The English measurement for 0.001 in or one one-thousandth of an inch.

1.3.10 MPI Gloss Levels

MPI system of defining gloss. Seven gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degree angle	Units at 80 degree angle
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.3.11 MPI System Number

The MPI coating system number in each MPI Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN).

1.3.12 Paint

SSPC Glossary; (1) Any pigmented liquid, liquefiable, or mastic composition designed for application to a substrate in a thin layer that is converted to an opaque solid film after application. Used for protection, decoration, identification, or to serve some other functional purposes; (2) Application of a coating material.

1.3.13 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.3.14 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

1.4 SCHEDULING

Allow paint, polyurethane, varnish, and wood stain installations to cure prior to the installation of materials that adsorb VOCs.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Samples of specified materials may be taken and tested for compliance with specification requirements.

SD-03 Product Data

Coating; G

Product Data Sheets

SD-04 Samples

Color; G

SD-07 Certificates

Qualification Testing laboratory for coatings; G

Indoor Air Quality for Paints and Primers

Indoor Air Quality for Consolidated Latex Paints

SD-08 Manufacturer's Instructions

Application Instructions

Mixing

SD-10 Operation and Maintenance Data

Coatings, Data Package 1; G

1.6 QUALITY ASSURANCE

1.6.1 Regulatory Requirements

1.6.1.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.6.1.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.6.1.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.6.1.4 Asbestos Content

Provide asbestos-free materials.

1.6.1.5 Mercury Content

Provide materials free of mercury or mercury compounds.

1.6.1.6 Silica

Provide abrasive blast media containing no free crystalline silica.

1.6.1.7 Human Carcinogens

Provide materials that do not contain ACGIH 0100 confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.6.1.8 Carbon Based Fibers / Tubes

Materials must not contain carbon based fibers such as carbon nanotubes or carbon nanofibers. Intelligence Bulletin 65 ranks toxicity of carbon nanotubes on a par with asbestos.

1.6.2 Coating Contractor's Qualification

Submit the name, address, telephone number, and e-mail address of the Contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application of coatings on on a minimum of three similar projects within the past three years. List information by individual and include the following:

a. Name of individual and proposed position for this work.

b. Information about each previous assignment including:

Position or responsibility

Employer (if other than the Contractor)

Name of facility owner

Mailing address and telephone number of facility owner

Name of individual in facility owner's organization who can be contacted as a reference

Location, size and description of structure

Dates work was carried out

Description of work carried out on structure

1.6.3 Approved Products List

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of Contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire Contract and each coating system is to be from a single manufacturer. Provide all coats on a particular substrate from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

1.6.4 Paints and Coatings Indoor Air Quality Certifications

Provide paint and coating products certified to meet indoor air quality requirements by MPI GPS-1-14, MPI GPS-2-14 or provide certification by other third-party programs. Provide current product certification documentation from certification body.

Provide certification of Indoor Air Quality for Paints and Primers. Provide certification of Indoor Air Quality for Consolidated Latex Paints. Submit required indoor air quality certifications in one submittal package.

1.6.5 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph SAMPLING PROCEDURE. Test each chosen product as specified in the paragraph TESTING PROCEDURE. Remove products from the job site which do not conform, and replace with new products that conform to the referenced specification. Test replacement products that failed initial testing as specified in the paragraph TESTING PROCEDURE at no cost to the Government.

1.6.5.1 Sampling Procedure

Select paint at random from the products that have been delivered to the job site for sample testing. The Contractor must provide one quart samples of the selected paint materials. Take samples in the presence of the Contracting Officer, and label, and identify each sample. Provide

labels in accordance with the paragraph PACKAGING, LABELING, AND STORAGE.

1.6.5.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance Testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph QUALIFICATION TESTING laboratory for coatings. Include the backup data and summary of the test results within the qualification testing lab report. Provide a summary listing of all the reference specification requirements and the result of each test. Clearly indicate in the summary whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If MPI is chosen to perform the Batch Quality Conformance testing, the above submittal information is not required, only a letter is required from the Contractor stating that MPI will perform the testing.

1.7 PACKAGING, LABELING, AND STORAGE

Provide paints in sealed containers that legibly show the Contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Furnish pigmented paints in containers not larger than 5 gallons. Store paints and thinners in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F.

1.8 SAFETY AND HEALTH

Comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and in Appendix A of EM 385-1-1. Include in the Activity Hazard Analysis the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.9 ENVIRONMENTAL REQUIREMENTS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation.

1.9.1 Coatings

Do not apply coating when air or substrate conditions are:

a. Less than 5 degrees F above dew point;

b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Do not, under any circumstances, violate the manufacturer's application recommendations.

1.9.2 Post-Application

Vacate space for as long as possible after application. Wait a minimum of 48 hours before occupying freshly painted rooms. Maintain one of the following ventilation conditions during the curing period, or for 72 hours after application:

a. Supply 100 percent outside air 24 hours a day.

b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 85 degrees F and humidity is between 30 percent and 60 percent.

c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit Product Data Sheets for specified coatings and solvents. Provide preprinted cleaning and maintenance instructions for all coating systems. Submit Manufacturer's Instructions on Mixing: Detailed mixing instructions, minimum and maximum application temperature and humidity, pot life, and curing and drying times between coats.

2.2 COLOR SELECTION OF FINISH COATS

Provide colors of finish coats as indicated or specified. Allow Contracting Officer to select colors not indicated or specified. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors are approximately the colors indicated and the product conforms to specified requirements.

Provide color, texture, and pattern of wall coating systems as indicated . Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated. Submit color stencil codes. Tint each coat progressively darker to enable confirmation of the number of coats.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, reinstall removed items by workmen skilled in the trades. Restore surfaces contaminated by coating

materials, to original condition and repair damaged items.

3.2 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, disintegrated coatings, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Remove oil and grease prior to mechanical cleaning. Schedule cleaning so that dust and other contaminants will not fall on wet, newly painted surfaces. Spot-prime exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas. Refer to MPI ASM and MPI MRM for additional more specific substrate preparation requirements.

3.2.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.

b. Wipe previously painted surfaces to receive solvent-based coatings, except stucco and similarly rough surfaces clean with a clean, dry cloth saturated with mineral spirits, ASTM D235 or as specified in MPI MRM. Wipe the surfaces dry with a clean, dry, lint free cloth. Wipe immediately preceding the application of the first coat of any coating, unless specified otherwise.

c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.

d. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer and specific surface preparation requirements as outlined in MPI MRM Exterior Surface Preparation and Interior Surface Preparation.

e. Thoroughly clean previously painted surfaces damaged during construction of all grease, dirt, dust or other foreign matter.

f. Remove blistering, cracking, flaking and peeling or otherwise deteriorated coatings.

g. Remove chalk so that when tested in accordance with ASTM D4214, the chalk resistance rating is no less than 8.

h. Roughen slick surfaces. Repair damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls with suitable material to match adjacent undamaged areas.

i. Feather and sand smooth edges of chipped paint.

j. Clean rusty metal surfaces in accordance with SSPC requirements. Use solvent, mechanical, or chemical cleaning methods to provide surfaces suitable for painting.

k. Provide new, proposed coatings that are compatible with existing coatings.

3.2.2 Substrate Repair

a. Repair substrate surface damaged during coating removal;

b. Sand edges of adjacent soundly-adhered existing coatings so they are tapered as smooth as practical to areas involved with coating removal; and

c. Clean and prime the substrate as specified.

3.3 PREPARATION OF METAL SURFACES

3.3.1 Existing and New Ferrous Surfaces

a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean or detergent wash in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 2, SSPC SP 3, SSPC SP 6/NACE No.3, or SSPC SP 10/NACE No. 2. Brush-off blast remaining surface in accordance with SSPC 7/NACE No.4; Protect shop-coated ferrous surfaces from corrosion by treating and touching up corroded areas immediately upon detection.

3.3.2 Final Ferrous Surface Condition:

3.3.2.1 Tool Cleaned Surfaces

Comply with SSPC SP 2 and SSPC SP 3. Use as a visual reference, photographs in SSPC VIS 3 for the appearance of cleaned surfaces.

3.3.2.2 Abrasive Blast Cleaned Surfaces

Comply with SSPC 7/NACE No.4, SSPC SP 6/NACE No.3, and SSPC SP 10/NACE No. 2. Use as a visual reference, photographs in SSPC VIS 1 for the appearance of cleaned surfaces.

3.3.2.3 Waterjet Cleaned Surfaces

Comply with SSPC-SP WJ-1/NACE WJ-1, SSPC-SP WJ-2/NACE WJ-2, SSPC-SP WJ-3/NACE WJ-3 or SSPC-SP WJ-4/NACE WJ-4. Use as a visual reference, photographs in SSPC VIS 4/NACE VIS 7 for the appearance of cleaned surfaces.

3.3.3 Galvanized Surfaces

a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, steam, or non-alkaline detergent solution in accordance with SSPC SP 1. Completely remove coating by brush-off abrasive blast if the galvanized metal has been passivated or stabilized. Do not "passivate" or "stabilize" new galvanized steel to be coated. If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D6386, Appendix X2, and remove by one of the methods described therein.

b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to SSPC-SP WJ-3/NACE WJ-3 to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.

3.3.4 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

3.3.5 Terne-Coated Metal Surfaces

Solvent clean surfaces with mineral spirits, ASTM D235. Wipe dry with clean, dry cloths.

3.3.6 Existing Surfaces with a Bituminous or Mastic-Type Coating

Remove chalk, mildew, and other loose material by washing with a solution of 1/2 cup trisodium phosphate, 1/4 cup household detergent, one quart 5 percent sodium hypochlorite solution and 3 quarts of warm water.

3.4 APPLICATION

3.4.1 Coating Application

a. Comply with applicable federal, state and local laws enacted to ensure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

b. At the time of application, paint must show no signs of deterioration. Maintain uniform suspension of pigments during application.

c. Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Use rollers for applying paints and enamels of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.

d. Only apply paints, except water-thinned types, to surfaces that are completely free of moisture as determined by sight or touch.

e. Thoroughly work coating materials into joints, crevices, and open spaces. Pay special attention to ensure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

f. Apply each coat of paint so that dry film is of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Completely hide all blemishes.

g. Touch up damaged coatings before applying subsequent coats.
h. Piping in Finished Areas: Provide primed surfaces with two coats of paint to match adjacent surfaces, except provide valves and operating accessories with one coat of red alkyd gloss enamel (MPI 9) applied to a minimum dry film thickness of 1.0 mil or two component gloss polyurethane (MPI 72) in exterior applications.

i. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.

j. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Cover each preceding coat or surface completely by ensuring visually perceptible difference in shades of successive coats.

k. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

3.4.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. Verify that the written permission includes quantities and types of thinners to use.

When thinning is allowed, thin paints immediately prior to application with not more than one pint of suitable thinner per gallon. The use of thinner does not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning cannot cause the paint to exceed limits on volatile organic compounds. Do not mix paints of different manufacturers.

3.4.3 Two-Component Systems

Mix two-component systems in accordance with manufacturer's instructions. Follow recommendation by the manufacturer for any thinning of the first coat to ensure proper penetration and sealing for each type of substrate.

3.4.4 Coating Systems

a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table for Exterior Applications	
MPI Division	Substrate Application

Table for Exterior Applications	
MPI Division 3	Exterior Concrete Paint Table
MPI Division 4	Exterior Concrete Masonry Units Paint Table
MPI Division 5	Exterior Metal, Ferrous and Non-Ferrous Paint Table
MPI Division 6	Exterior Wood; Dressed Lumber, Paneling, Decking, Shingles Paint Table
MPI Division 9	Exterior Stucco Paint Table
MPI Division 10	Exterior Cloth Coverings and Bituminous Coated Surfaces Paint Table
Table for Interior Applications	
MPI Division	Substrate Application
MPI Division 3	Interior Concrete Paint Table
MPI Division 4	Interior Concrete Masonry Units Paint Table
MPI Division 5	Interior Metal, Ferrous and Non-Ferrous Paint Table
MPI Division 6	Interior Wood Paint Table
MPI Division 9	Interior Plaster, Gypsum Board, Textured Surfaces Paint Table

b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness, where specified, refers to the minimum dry film thickness.

c. Coatings for Surfaces Not Specified Otherwise: Coat unspecified surfaces the same as surfaces having similar conditions of exposure.

d. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:

(1) One coat of primer.

(2) One coat of undercoat or intermediate coat.

(3) One topcoat to match adjacent surfaces.

e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.5 COATING SYSTEMS FOR METAL

Apply coatings of Tables in MPI Division 5 for Exterior and Interior.

a. Apply specified ferrous metal primer to steel surfaces on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.

b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.

c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.

d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.

e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat. Overcoat these items with the specified ferrous-metal primer prior to application of finish coats.

f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.6 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.7 WASTE MANAGEMENT

As specified in the Waste Management Plan and as follows. Do not use kerosene or any such organic solvents to clean up water based paints. Properly dispose of paints or solvents in designated containers. Close and seal partially used containers of paint to maintain quality as necessary for reuse. Store in protected, well-ventilated, fire-safe area at moderate temperature. Place materials defined as hazardous or toxic waste in designated containers. Coordinate with manufacturer for take-back program. Set aside scrap to be returned to manufacturer for recycling into new product. When such a service is not available, contact local recyclers to reclaim the materials. Set aside extra paint for future color matches or reuse by the Government. Where local options exist for leftover paint recycling, collect all waste paint by type and provide for delivery to recycling or collection facility for reuse by local organizations.

3.8 PAINT TABLES

All DFT's are minimum values.Acceptable products are listed in the MPI Green Approved Products List, available at https://www.mpi.net/APL/index.asp.

3.8.1 Exterior Paint Tables

3.8.1.1 MPI Division 5: Exterior Metal, Ferrous and Non-Ferrous Paint Table

A. Steel / Ferrous Surfaces

(1) New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1Q-G5 (Semigloss	MPI REX 5.1D-G5 (Semigloss)	MPI 23	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1Q-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 23	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.					

(2) New Steel that has been blast-cleaned to SSPC SP 6/NACE No.3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1D-G5 (Semigloss)	MPI REX 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1D-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 79	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.					

(3) Existing steel that has been spot-blasted to SSPC SP 6/NACE No.3

(a) Surface previously coated with alkyd or latex

Waterborne Light Industrial Coating				
Existing, previously coated with alkyd or latex	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1C-G5 (Semigloss)	MPI 79	MPI 163	MPI 163	5 mils

MPI REX 5.1C-G6 (Gloss)	MPI 79	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

(b) Surfaces previously coated with epoxy

Waterborne Light Industrial Coating				
Existing, previously coated with epoxy	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1L-G5 (Semigloss)	MPI 101	MPI 163	MPI 163	5 mils
MPI REX 5.1L-G6 (Gloss)	MPI 101	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
Existing, previously coated with epoxy	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1H-G6 (Gloss)	MPI 101	MPI 108	MPI 72	8.5 mils
Topcoat: Coating to match adjacent surfaces.				

(4) New and existing steel blast cleaned to SSPC SP 10/NACE No. 2

Waterborne Light Industrial					
New	Existing	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1R-G5 (Semigloss)	MPI EXT 5.1R-G5 (Semigloss)	MPI 101	MPI 108	MPI 163	8.5 mils
MPI EXT 5.1R-G6 (Gloss)	MPI EXT 5.1R-G6 (Gloss)	MPI 101	MPI 108	MPI 164	8.5 mils
Topcoat: Coating to match adjacent surfaces.					

Pigmented Polyurethane

New	Existing	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1J-G6 (Gloss)	MPI EXT 5.1J-G6 (Gloss)	MPI 101	MPI 108	MPI 72	8.5 mils
Topcoat: Coating to match adjacent surfaces.					

B. Exterior Galvanized Surfaces

(1) New Galvanized surfaces

Waterborne Primer / Latex				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.3H-G1 (Flat)	MPI 134	MPI 10	MPI 10	4.5 mils
EXT 5.3H-G5 (Semigloss)	MPI 134	MPI 11	MPI 11	4.5 mils
MPI EXT 5.3H-G6 (Gloss)	MPI 134	MPI 119	MPI 119	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

Waterborne Primer / Waterborne Light Industrial Coating				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.3J-G5 (Semigloss)	MPI 134	MPI 163	MPI 163	4.5 mils
MPI EXT 5.3J-G6 (Gloss)	MPI 134	MPI 164	MPI 164	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

Epoxy Primer / Waterborne Light Industrial Coating				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.3K-G5 (Semigloss)	MPI 101	MPI 163	MPI 163	5 mils

MPI EXT 5.3K-G6 (Gloss)	MPI 101	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.3L-G6 (Gloss)	MPI 101	N/A	MPI 72	5 mils
Topcoat: Coating to match adjacent surfaces.				

(2) Galvanized surfaces with slight coating deterioration; little or no rusting

Waterborne Light Industrial Coating				
Galvanized Surfaces with slight coating deterioration	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3J-G5 (Semigloss)	MPI 134	N/A	MPI 163	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
Galvanized Surfaces with slight coating deterioration	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3D-G6 (Gloss)	MPI 101	N/A	MPI 72	5 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Galvanized surfaces with severely deteriorated coating or rusting

Waterborne Light Industrial Coating

Galvanized surfaces with severely deteriorated coating or rusting	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3L-G5(Semigloss)	MPI 101	MPI 108	MPI 163	8.5 mils
MPI REX 5.3L-G6(Gloss)	MPI 101	MPI 108	MPI 164	8.5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
Galvanized surfaces with severely deteriorated coating or rusting	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3D-G6(Gloss)	MPI 101	MPI 72	MPI 72	5 mils
Topcoat: Coating to match adjacent surfaces.				

C. Exterior Surfaces, Other Metals (Non-Ferrous)

(1) Aluminum, aluminum alloy and other miscellaneous non-ferrous metal items not otherwise specified except hot metal surfaces, roof surfaces, and new prefinished equipment

Alkyd				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.4F-G1 (Flat	MPI 95	MPI 8	MPI 8	5 mils
MPI EXT 5.4F-G5 (Semigloss)	MPI 95	MPI 94	MPI 94	5 mils
MPI EXT 5.4F-G6 (Gloss)	MPI 95	MPI 9	MPI 9	5 mils
Topcoat: Coating to match adjacent surfaces.				

Waterborne Light Industrial Coating				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.4F-G1 (Flat	MPI 95	MPI 161	MPI 161	5 mils
MPI EXT 5.4F-G5 (Semigloss)	MPI 95	MPI 163	MPI 163	5 mils
MPI EXT 5.4F-G6 (Gloss)	MPI 95	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Surfaces adjacent to painted surfaces; Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports,exposed copper piping, and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

Alkyd				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1D-G1 (Flat)	MPI 79	MPI 8	MPI 8	5.25 mils
MPI EXT 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1D-G6 (Gloss)	MPI 79	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

Waterborne Light Industrial Coating				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1C-G3(Eggshell)	MPI 79	MPI 161	MPI 161	5 mils
MPI EXT 5.1C-G5(Semigloss)	MPI 79	MPI 163	MPI 163	5 mils
MPI EXT 5.1C-G6(Gloss)	MPI 79	MPI 164	MPI 164	5 mils

Primer as recommended by manufacturer. Topcoat: Coating to match adjacent surfaces.

D. Exterior Hot Surfaces

(1) Hot metal surfaces subject to temperatures up to 400 degrees F

Heat Resistant Enamel				
New	N/A	Intermediate	Topcoat	System DFT
MPI EXT 5.2A	MPI 21	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

(2) Ferrous metal subject to high temperature, up to 750 degrees F

Inorganic Zinc Rich Coating				
New	N/A	Intermediate	Topcoat	System DFT
MPI EXT 5.2C	MPI 19	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

Heat Resistant Aluminum Enamel				
New	N/A	Intermediate	Topcoat	System DFT
MPI EXT 5.2B	MPI 2	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

3.8.2 Interior Paint Tables

3.8.2.1 MPI Division 5: Interior Metal, Ferrous and Non-Ferrous Paint Table

A. Interior Steel / Ferrous Surfaces

(1) Metal, Mechanical, Electrical, Surfaces adjacent to painted surfaces (Match surrounding finish), exposed copper piping, and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

High Performance Architectural Latex				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1R-G2 (Flat)	MPI 76	MPI 138	MPI 138	5 mils
MPI INT 5.1R-G3 (Eggshell)	MPI 76	MPI 139	MPI 139	5 mils
MPI INT 5.1R-G5 (Semigloss)	MPI 76	MPI 141	MPI 141	5 mils
Topcoat: Coating to match adjacent surfaces.				

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1E-G2 (Flat)	MPI 76	MPI 49	MPI 49	5.25 mils
MPI INT 5.1E-G3 (Eggshell)	MPI 76	MPI 51	MPI 51	5.25 mils
MPI INT 5.1E-G5 (Semigloss)	MPI 76	MPI 47	MPI 47	5.25 mils
MPI INT 5.1E-G6 (Gloss)	MPI 76	MPI 48	MPI 48	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

(5) Miscellaneous non-ferrous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment. Match surrounding finish

High Performance Architectural Latex				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.4F-G2 (Flat)	MPI 95	MPI 138	MPI 138	5 mils
MPI INT 5.4F-G3 (Eggshell)	MPI 95	MPI 139	MPI 139	5 mils
MPI INT 5.4F-G4 (Satin)	MPI 95	MPI 140	MPI 140	5 mils

MPI INT 5.4F-G5 (Semigloss)	MPI 95	MPI 141	MPI 141	5 mils
Topcoat: Coating to match adjacent surfaces.				

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.4J-G2 (Flat)	MPI 95	MPI 49	MPI 49	5 mils
MPI INT 5.4J-G3 (Eggshell)	MPI 95	MPI 51	MPI 51	5 mils
MPI INT 5.4J-G5 (Semigloss)	MPI 95	MPI 47	MPI 47	5 mils
MPI INT 5.4J-G6 (Gloss)	MPI 95	MPI 48	MPI 48	5 mils
Topcoat: Coating to match adjacent surfaces.				

B. Hot Surfaces

(1) Hot metal surfaces subject to temperatures up to 400 degrees F

Heat Resistant Enamel				
New	N/A	Intermediate	Topcoat	System DFT
MPI INT 5.2A	MPI 21	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

-- End of Section --

SECTION 23 03 00

BASIC MECHANICAL MATERIALS AND METHODS
11/25

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Certified List Of Qualified Permanent Service Organizations

1.2 RELATED REQUIREMENTS

This section applies to all sections of Divisions: 21, FIRE SUPPRESSION; 22, PLUMBING; and 23, HEATING, VENTILATING, AND AIR CONDITIONING of this project specification, unless specified otherwise in the individual section.

1.3 QUALITY ASSURANCE

1.3.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.3.2 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.3.3 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.3.4 Manufacturer's Nameplate

For each item of equipment, provide a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.3.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.3.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." References to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.3.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.5 INSTRUCTION TO GOVERNMENT PERSONNEL

When instruction and training is specified in other sections, provide the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, emergency start-up and shut-down, including pertinent safety requirements, of the specified equipment or systems. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately

half of the time for classroom instruction. Use other time for instruction with the equipment or system. When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.6 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors and in accordance with the manufacturers' recommendations and instructions.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 SELECTIVE DEMOLITION

Comply with SECTION 02 41 00 DEMOLITION.

3.2 COORDINATION, SEQUENCING AND SCHEDULING

Examine the site and drawings for limitations of space available for installation of materials and equipment, as well as all conditions regarding service connections, grades, ground conditions, and all factors involved in the completion of work, prior to starting work. Coordinate mechanical equipment installation with other building components to avoid conflicts. Arrange for pipe spaces, chases, slots, and openings in building structure as construction progresses, and set sleeves and required supporting devices in poured-in-place concrete and other structural components as they are constructed.

Sequence, coordinate, and integrate installation of all mechanical materials and equipment to maintain efficient workflow and avoid delays. Coordinate installation of large equipment requiring positioning before closing in building. Coordinate connection of mechanical systems with exterior underground and overhead utilities and services. Provide and locate access doors and panels for mechanical items concealed behind finished surfaces.

3.3 MANUFACTURER'S RECOMMENDATIONS AND INSTRUCTIONS

Install all materials and equipment in accordance with the recommendations and instructions of the manufacturer of the approved products, superseded only by the contract drawings and specifications.

3.4 PAINTING

Field paint piping and equipment in accordance with each individual Division 23 section.

-- End of Section --

SECTION 23 05 93

TESTING, ADJUSTING, AND BALANCING FOR HVAC
05/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASSOCIATED AIR BALANCE COUNCIL (AABC)

AABC MN-1	(2016, 7th ed) National Standards for Total System Balance

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB PROCEDURAL STANDARDS	(2019) Procedural Standard for TAB (Testing, Adjusting and Balancing) of Environmental Systems - Ninth Edition

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70E	(2024) Standard for Electrical Safety in the Workplace

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 016	(2012) HVAC Air Duct Leakage Test Manual - 2nd Edition
SMACNA 1780	(2023) HVAC Systems - Testing, Adjusting and Balancing, 4th Edition

1.2 DEFINITIONS

In some instances, terminology differs between this Contract and the TAB certifying body or the TAB Standard primarily because this section intends to use the industry standards specified, along with additional requirements listed within this section, to produce optimal results.

a. AABC: Associated Air Balance Council

b. Certifying Body: An independent body responsible for issuing a formal recognition, such as a certificate, proving that the certification meets specific national or international requirements.

c. COTR: Contracting Officer's Technical Representative

d. HVAC: Heating, ventilating, and air-conditioning

(1)

e. TAB: Testing, Adjusting, and Balancing (of HVAC systems).

f. TAB Failure: This phrase means "a measurement taken during TAB Field Acceptance Testing which does not fall within the specified Acceptance Tolerance for a specific parameter."

g. TAB Firm: AABC Certified Member Agency, NEBB Certified Firm, TABB Certified Contractor

h. TAB Standard: Standard utilized by TAB Team based on TAB Firm's certifying body:

(1) AABC - National Standards for Total System Balance (AABC MN-1)

(2) NEBB - Procedural Standard for Testing, Adjusting and Balancing of Environmental Systems (NEBB PROCEDURAL STANDARDS)

(3) TABB - HVAC Systems Testing, Adjusting & Balancing Manual (SMACNA 1780)

i. TAB Team Supervisor: AABC Test and Balance Engineer (TBE), NEBB TAB Certified Professional (TAB CP), TABB TAB Certified Supervisor

j. TAB Team: TAB Firm personnel

k. TABB: Testing, Adjusting and Balancing Bureau

1.3 GENERAL REQUIREMENTS

The work includes TAB of new HVAC systems, which are located within, on, between, and adjacent to buildings.

Perform TAB in accordance with the requirements of the TAB Standard identified by the TAB Firm's certifying body, as supplemented and modified by this specification section. Perform DALT in compliance with the procedures specified in SMACNA 016, as supplemented and modified by this specification section.

1.3.1 Support Personnel

Ensure the TAB Team Supervisor or TAB Team Field Leader is present full-time on the Contract site for overall management and direct observation of the DALT and TAB Field Work. Certified TAB Team Field Technicians may work independently of direct observation by the TAB Team Supervisor or Field Leader.

Ensure the technical personnel, such as factory representatives and HVAC controls contractor technicians, to operate HVAC equipment are present to enable the TAB Team to accomplish the DALT and the TAB measurement work. Provide the personnel to install field designated test ports and test apparatus connections.

1.3.2 Coordination

Coordinate testing schedules with work in other Sections performed on the components or systems to be tested. Ensure support personnel are present at the times required by the TAB Team and cause no delay in the DALT and

the TAB Field Work.

1.4 RELATED REQUIREMENTS

Requirements for thermal insulation of ductwork, piping, fittings, and valves are specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

Requirements for coordination with commissioning are specified in Section 23 08 00 COMMISSIONING OF MECHANICAL AND PLUMBING SYSTEMS.

Requirements for calibration and testing of HVAC controls system devices are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.5 SUBMITTALS

Unless otherwise specified or directed by the Contracting Officer, provide submittals in electronic format.
Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

TAB Firm And Personnel Qualifications; G

Design Review Report; G

TAB Pre-Field Engineering Plan; G

SD-06 Test Reports

Certified TAB Report of Proportional Balancing; G

1.6 TAB FIRM AND PERSONNEL QUALIFICATIONS

Engage the services of a qualified TAB Firm to perform DALT and TAB work for this project. Only approved firm and personnel are allowed to perform TAB work on this Contract. Any firm or individual that has been the subject of disciplinary action by their certifying body within the 5 years preceding Contract Award is not eligible to perform any duties related to TAB. Comply with the following:

a. Certification: Maintain TAB Firm and personnel certifications for the entire duration of duties specified. Renew Certifications which expire prior to completion of the TAB work in a timely manner so there is no lapse in certification. Provide written notice to the Contracting Officer, with a copy of renewed certificates of the TAB Firm and personnel, prior to expiration of the previous certificate(s). If, for any reason, the TAB Firm or its employee loses subject certification during this Contract, immediately notify

the Contracting Officer in writing and comply with the requirements for the replacement of TAB Firm and TAB Team Members. An approved successor must validate all work performed for this project by the TAB Firm or TAB Team Member who lost certification.

b. Replacement of TAB Firm and TAB Team Members: Replacement or substitution of firm or individual members may occur if each complies with the applicable qualifications and is approved by the Contracting Officer prior to the performance of its duties on this Contract. Submit replacement TAB Firm and Personnel Qualifications in accordance with this section.

Submit the TAB Firm and Personnel Qualifications within 60 calendar days after the date of Contract Award.

1.6.1 TAB Firm

Provide documentation that the TAB Firm is a first tier subcontractor to the prime Contractor and an independent company, not participating in any other work on this Contract or affiliated to any other company participating in work on this Contract, including, but not limited to, design, furnishing equipment, or construction. No work may be performed by a second tier subcontractor.

Provide a copy of current certificate, with expiration date, to certify that the TAB Firm is certified by AABC, NEBB, or TABB in categories and functions of the TAB field work specified. Designate the single certifying body to be utilized when the firm is certified by more than one.

1.6.2 TAB Personnel

For each TAB Team role below, provide at least one qualified, full-time employee of the TAB Firm for approval. Include the proposed role(s) of each employee with their qualification documentation. It is acceptable for a TAB Team member to perform duties of another TAB Team role if they meet the qualifications of both.

a. TAB Team Supervisor

Provide documentation, including full name and a copy of current certificate, that the TAB Team Supervisor is a AABC TBE, a NEBB TAB CP, or a TABB TAB Certified Supervisor.

b. TAB Team Field Leader

Provide documentation that the TAB Team Field Leader is a certified TAB Technician by AABC, NEBB, or TABB, and has satisfactorily performed full-time supervision of TAB work of others in the field for a minimum of 3 years immediately preceding Contract Award. Include full name, a copy of current certificate, and a list of projects, roles performed, and associated dates.

c. TAB Team Field Technician

Provide documentation that each TAB Team Field Technician is a certified TAB Technician by AABC, NEBB, or TABB, or has satisfactorily performed DALT and TAB work in the field for a minimum of 1 year immediately preceding Contract Award. Include full name, a copy of current certificate when applicable, and a list of projects, roles

performed, and associated dates.

1.7 TAB STANDARD

All recommendations and suggested practices contained in the TAB Standard are mandatory. Maintain a hard copy and an electronic PDF file copy of the standard on site.

All quality assurance provisions of the TAB Standard, such as performance guarantees, are part of this Contract. For systems or system components not covered in the TAB Standard, TAB procedures and report forms must be developed by the TAB Team Supervisor and included in the TAB Pre-Field Engineering Plan. Where new procedures and requirements, applicable to the Contract requirements have been published or adopted by the certifying body responsible for the TAB Standard, the more stringent of the requirements and recommendations contained in these procedures and requirements are considered mandatory.

1.8 INSTRUMENTATION

It is the responsibility of the TAB Firm to use instrumentation that meets the requirements of the TAB Standard. Select appropriate instruments to achieve the applicable TAB tolerances. Instrumentation used for taking air wet-bulb temperature readings must provide accuracy of a minimum of plus or minus 5 percent at the measured face velocities. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the TAB Standard, adhere to the manufacturer's recommendations. Instrumentation must be in proper operating condition and must be applied in accordance with the instrumentation's manufacturer recommendations.

All instrumentation must bear a valid National Institute of Standards and Technology (NIST) traceable calibration certificate, dated within one year, during field work and Field Acceptance Testing.

1.9 DESIGN REVIEW

The TAB Team Supervisor must review the Contract Plans and Specifications to advise the Contracting Officer of any omissions and deficiencies that would prevent the effective and accurate TAB of the systems in compliance with the requirements of this section. Accomplish the following:

a. Verify in the documents the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, flow measuring devices, balancing valves, and manual balancing dampers.

b. Confirm the inclusion of the design values necessary to complete the DALT and TAB report forms required for the TAB Pre-Field Engineering Plan.

c. Generate a list of submittals, from those in the Contract Submittal Register of Section 01 33 00 SUBMITTAL PROCEDURES, that relate to the successful accomplishment of all HVAC systems TAB.

d. Prepare a report containing a detailed listing of the items identified during the review. Provide the omission and deficiency listing in a tabular format, with each item sequentially numbered and with a reference to the applicable design document. For each omission and

deficiency, provide a complete explanation including supporting documentation detailing the design deficiency. If no deficiencies are evident, state so in the report. Include the TAB Related Submittals List in the report.

Submit the Design Review Report to the Contracting Officer for approval within 30 calendar days after approval of the TAB Firm and Personnel Qualifications.

1.10 TAB PRE-FIELD ENGINEERING PLAN

The TAB Team Supervisor must utilize the TAB Standard, Contract Plans, Contract Specifications, approved contract revisions, and contract change orders to prepare a plan for the TAB field work, including procedures, report forms, diagrams, instrumentation and other supporting documents in compliance with the following:

a. Project Summary: Provide a detailed narrative describing the TAB Field Work to be performed, including systems and equipment.

b. Step-by-Step Procedures: Provide a list of the intended procedural steps for TAB Field Work from start to finish. Include the following:

(1) The intended procedural steps for TAB work for systems, subsystems, and system components. TAB Standard procedure excerpts may be provided to supplement this requirement.

(2) A description of how each type of data measurement will be obtained.

(3) A complete methodology for accomplishing each seasonal TAB Field Work requirement.

(4) A list of Contractor support personnel required for each step, and tasks they need to perform.

c. Report Forms: Provide the report forms to be used in the TAB reports to document the TAB Field Work, with the preliminary information and design values filled in. Utilize contract document design HVAC systems values. For TAB report forms, use forms inclusive of all data on TAB Standard sample report forms, or generate where no TAB Standard form exists, for all data collection requirements. Ensure report forms contain data fields for each HVAC controls system flow measuring device's calibration, including design flow rate, actual flow rate, controls system reading and factor. Coordinate the key numbering used in the report forms with that used in the TAB Schematic Drawings.

d. TAB Schematic Drawings: Provide the schematic drawings to be used in the required reports, which may include building floor plans, mechanical room plans, equipment schedules, duct system plans, and equipment elevations. Use the contract drawings to provide the following:

(1) Pump schedules.

(2) Thermal energy transfer equipment schedules.

(3) A key numbering system on the drawings which identifies each

piece of equipment. It is acceptable to utilize the contract drawing numbering system when provided for equipment.

(4) A unique number or mark for each water flow measurement fitting and balancing valve. It is acceptable to utilize the contract drawing numbering system when provided for valves and fittings.

(5) Intended TAB measurement locations, including where test ports need to be provided by the Contractor.

For Static Pressure Profile, provide diagrams, representative of the actual equipment configuration, developed from TAB Standard forms, contract drawings or approved equipment submittals.

e. Instrumentation: Provide a list of TAB instruments for this project. Include the instrument name and description, manufacturer, model number, scale range, published accuracy, most recent calibration date, and what the instrument will be used for on this project. Submit instrument manufacturer's literature to document instrument accuracy performance is in compliance with that specified. Provide a copy of the calibration certificate for each calibrated instrument to be used.

f. Pre-TAB Field Work Checklist: Provide a thorough checklist of the work items and inspections that need to be accomplished before the cooling season TAB Field Work can be performed. Include items such as completion of applicable HVAC controls systems installation and cleaning of hydronic system including but not limited to strainers. Provide separate sections of checklist items for proportional balancing and thermal performance testing TAB Field Work. Each section must include signature and date lines for the Contractor's quality control representative and mechanical contractor's representative acknowledging completion of the marked checklist items.

Submit the TAB Pre-Field Engineering Plan to the Contracting Officer for approval a minimum of 60 calendar days in advance of commencement of TAB field work.

1.11 PRE-TAB MEETING

Meet with the Contracting Officer's Technical Representative (COTR) to develop a mutual understanding relative to the details of the TAB work requirements. Requirements to be discussed include required submittals, work schedules, and field quality control. Ensure that the TAB Team Supervisor and a representative for the sheet metal contractor, mechanical contractor, electrical contractor, and HVAC controls system contractor are present at this meeting. Conduct the Pre-TAB Meeting a minimum of 30 calendar days in advance of the commencement of ductwork installation.

Provide written notice to the Contracting Officer of the proposed date of the Pre-TAB Meeting at a minimum of 14 calendar days prior to the proposed date. The notice must include the proposed date and time; required, and optional if any, attendees' names and job titles; a copy of the current submittal register; and a copy of the current network analysis schedule containing the sequencing and durations of the TAB activities and submittals in this section.

1.12 EXISTING FACILITY CONDITIONS

Conduct TAB measurements, survey, and generate report(s) in accordance with the procedures in this section, inclusive of Field Acceptance Testing, for the following:
Prior to construction, obtain baseline operating data for the existing chilled water system, including secondary pump differential head and total building chilled water flow. Obtain operating data will all chilled water control valves at 100%, 80%, & 60% open. Existing equipment chilled water flow rates are provided on M-002 for reference only.

Perform a field survey, including inspection, by the TAB Team of the existing equipment, ductwork, and associated systems to confirm the location and condition of the equipment, test ports, flow measuring devices, balancing devices, and other items that impact the TAB work required of this Contract.

Submit the Existing Facility Conditions Report to the Contracting Officer for approval within 14 calendar days of completion of the associated field work. Submit an updated TAB Pre-Field Engineering Plan to the Contracting Officer for approval within 30 calendar days of approval of the Existing Facility Conditions Report as a result of this field work.

1.13 REPORTS

Format: All submitted documentation must be typed, neat, and organized. Include a dated title page, a certification page, a table of contents identifying the location of each report section, sequentially numbered pages throughout, and all sections bookmarked. Tables, lists, and diagrams must be titled. Handwritten report forms or report data are not acceptable.

Submit the completed report forms approved in the TAB Pre-Field Engineering Plan, and any other data sheets and supporting documentation necessary, to document the required design data; field measurements data; system configuration and settings during testing; design and installation deficiencies; and, required data evaluations. Reports must be completed by the TAB Team, and reviewed and certified by the TAB Team Supervisor.

1.13.1 Existing Facility Conditions Report

Provide a consolidated report of the TAB survey Field Work performed in compliance with the following requirements:

a. Project Summary: Provide a description of the scope of the Field Work, including a narrative describing the outcome of the Field Work. When applicable, provide notations describing how actual field procedures differed from those in the TAB Pre-Field Engineering Plan.

b. Survey Results: Provide a narrative describing the items that impact the TAB work required of this Contract. For those items considered detrimental to the work or nonfunctional, include explanation of the deficiencies. Define the scheduled need date for repairs of existing nonfunctional equipment and devices.

c. System Variations: Provide a listing in a tabular format, with each item sequentially numbered, that documents:

(1) System variations deviating from the contract documents

discovered as a result of the Field Work, including any abnormalities and any items for the attention of the Contracting Officer.

(2) Recommended resolutions developed by the TAB Firm.

(3) References to the associated Field Work Reports or Supporting Documents, as applicable.

(4) Scheduled need date for repairs of existing nonfunctional equipment and devices.

d. Supporting Documents: Provide copies of documentation that clarifies the System Variations such as equipment schedules, product literature, and photographic images. Include this documentation in an appendix to the report with sequential numbering of each separate item for reference in the System Variations list.

e. Field Work Reports: Provide a copy of the approved reports generated from the TAB Field Work in an appendix to the report with sequential numbering of each separate document.

1.13.2 TAB Reports

Report the data for the Certified TAB Report of Proportional Balancing and Certified TAB Report in compliance with the following requirements:

a. Project Summary: Provide a project narrative, including systems and equipment tested, describing the outcome of the testing and balancing and include a list of items documenting system variations deviating from the design tolerances, any abnormalities discovered, and any outstanding items with proposed corrective action. When applicable, provide notations describing how actual field procedures differed from those approved in the TAB Pre-Field Engineering Plan. If no procedures differed, state so in the report.

b. Certification: Include the TAB Team Supervisor's physical or electronic stamp, issued by the certifying body, and dated signature.

c. Data from TAB Field Work: Prepare the TAB field data using the report forms approved in the TAB Pre-Field Engineering Plan and any other data sheets necessary to document the required final system balance and demonstrate accuracy and repeatability during TAB Field Acceptance Testing. For each unique calibration factor utilized, report the flow measuring instrument reading and the resulting correction factor. Include notation on each form with the date the form's data was collected and with any Supporting Document reference number. Provide a detailed explanation wherever a final measurement did not achieve the required value or fall within the required range of values. Include the following:

(1) System Configuration: Clearly identify system configurations and conditions affecting data for all reported data. Include system operational parameters such as device positioning, system diversity, modes of operation, and setpoints necessary to set up and duplicate the system configuration during the TAB Field Acceptance Testing. Include final hydronic differential pressure setpoint, hydronic system fill pressure,, pump and fan motor frequency in maximum, fan motor frequency in minimum, fan brake

horsepower, calibration coefficients and factors, control damper positions, and primary air static pressure setpoint.

(2) Water Systems' Open Paths: Identify hydronic system open paths in the report form.

(3) Motor Data: Provide motor current (amperage) and voltage for each phase of motors, including those powered by VFDs. Include current setting for thermal overload protection for motors, including starter or VFD brand, model, enclosure type, installed overload devices, rating(s) or rating range, and setpoints, and revised device ratings and setpoints when applicable.

(4) Thermal Performance Data: Include capacity field data and design and calculated capacity, along with ambient outdoor temperature data, on thermal performance report forms. Include notation to identify system configuration settings that deviate from the design sequences of operation and setpoints when necessary to obtain the design capacity.

d. Flow Measuring Device Calibration: Provide calibration data at each scheduled design volumetric flow rate.

e. Instruments: Provide an updated list of the instruments used to measure the TAB data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date. Include a copy of the instrument calibration certificate when updated from the copy in the approved TAB Pre-Field Engineering Plan.

f. Performance Curves: Provide copies of the factory pump curves and fan curves for pumps and fans included on the job, and manufacturer equipment data curves or tables correlating pressure drop and water flow rate. Include marks or notation indicating the reported final balance values on each curve.

g. Calibration Charts: Provide a factory calibration chart, table, graph, or diagram, as applicable, for each applicable balancing valve and flow measuring device such as a venturi flow meter and an orifice flow meter.

h. TAB Schematic Drawings: Provide updated drawings and diagrams with the final installed locations of all devices, any numbering changes, and actual test locations including duct traverse and static pressure measurements. Indicate the water coil of the open paths for those identified.

i. Mechanical Equipment Schedules: Include a copy of the mechanical equipment schedules.

j. Supporting Documents: Provide copies of any request for information (RFI) with the RFI response; summaries of implemented change order(s); meeting minutes with participants; electronic mail with addresses; and, other documentation substantiating any deviations of the reported design values from the initial contract design documents. Include this documentation in an appendix to the TAB report with sequential numbering of each separate document for reference in the data

presentation forms.

k. Guarantee: Provide documentation substantiating the inclusion of the quality assurance program such as a certificate of conformance or performance guarantee issued by the TAB Firm's certifying body.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 TAB PROCEDURES

3.1.1 Systems Requiring TAB

All air and hydronic systems are subject to TAB such as the following:

a. Air Systems:

(1) Exhaust Air (EA)

b. Hydronic Systems:

(1) Chilled Water (CHW)

Each system includes the associated equipment, devices, and components such as:

a. Air systems: Fans, duct systems, and registers .

b. Hydronic systems: chillers, pumps, piping systems, flow-measuring and balancing devices, and pressure and temperature ports.

3.1.2 TAB Tolerances

3.1.2.1 System Tolerances

System tolerances apply to the design flow rates as specified or indicated in the contract documents. HVAC systems' tolerance is plus or minus 5 percent

3.1.2.2 Acceptance Tolerances

Field Acceptance Testing measurements must remain within the associated System Tolerance of the design flow rate. When the associated System Tolerance is plus or minus 10 percent, Field Acceptance Testing measurements must remain within the associated System Tolerance of the design flow rate and repeat within plus or minus 5 percent of the approved Certified TAB Report data.

3.1.3 Advance Notice for TAB Field Work

Provide the Contracting Officer with written notification including the proposed dates for TAB Field Work and a list of the systems ready for TAB on the proposed start date. Include with the notice the signed and dated Pre-TAB Field Work Checklist, with the applicable work items certified by the Contractor and mechanical contractor as having been completed and working as designed.

Furnish the Advance Notice for Proportional Balancing TAB Field Work, for Season 1 TAB Field Work, and for Season 2 TAB Field Work TAB Field Work prior to start of the TAB Field Work.

3.1.4 Proportional Balancing TAB Field Work

Conduct TAB Field Work in conformance with the TAB Standard, as supplemented and modified by this section. Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within the specified System Tolerances. Do not exceed maximum rated revolutions per minute (RPM) and rated full load amperage for equipment during any mode of operation. Variable speed drives must be capable of controlling to maximum and minimum flows with a drive operational range not less than 25 Hz. Follow the procedures specified in NFPA 70E during electrical measurements on exposed energized conductors or circuits. Additionally, this work includes the following:

a. Adjustment of flow control and balancing devices such as balancing valves, balancing dampers, and sheaves.

b. Adjustment of variable frequency drives and speed controllers.

c. Calibration of each controls system flow measuring device at each scheduled design volumetric flow rate.

d. Changing out fan sheaves if required to obtain flow rates specified or indicated.

Complete Proportional Balancing TAB Field Work at a minimum of 90 calendar days in advance of the scheduled Beneficial Occupancy Date (BOD).

3.1.4.1 Prerequisites for TAB Field Work

Complete the following prior to starting Field Work:

a. Installation of pressure and temperature test ports adjacent to inlet and outlet of every pump, and chiller, as required by the TAB Team Supervisor.

b. Work items and inspections indicated in the Pre-TAB Field Work Checklist, including cleaning screens of hydronic system strainers.

c. Manufacturer's equipment start-up forms for each piece of equipment to be tested.

d. HVAC controls system installation and start-up for each piece of equipment to be tested.

3.1.4.2 Marking of Settings and Test Ports

Upon completing each item of work for TAB Field Work specified and prior to TAB Field Acceptance Testing, the TAB Team is to permanently mark the settings of HVAC adjustment devices including valves, gauges, and dampers so that adjustment can be restored if disturbed at any time. Secure balancing damper handles and set valve memory stops. Label variable frequency drives with final frequency (Hz) and control setpoint. Provide permanent markings clearly indicating the settings on the adjustment devices which result in the data reported on the Certified TAB Report. Permanently and legibly mark and identify the duct test ports. If the ducts have external insulation, make these markings on the exterior side of the insulation. Show the location of test ports on the as-built mechanical drawings with dimensions given where the test port is covered by exterior insulation.

3.1.5 Thermal Performance TAB Field Work

Perform operational thermal performance testing of the HVAC systems as soon as all prerequisites are completed, but prior to facility turnover, regardless of the season.

3.1.5.1 Prerequisites for Thermal Performance Field Work

Complete the following prior to starting Field Work:

a. Proportional Balancing TAB Field Work.

b. Work items and inspections indicated in the Pre-TAB Field Work Checklist , including confirming clean filters and strainers.

c. Advance Notice for TAB Field Work.

3.1.5.2 Thermal Performance Testing

Conduct thermal performance (capacity) tests to verify thermal energy transfer components, devices, and equipment meet the indicated design capacity. This work includes:

a. Verifying, using the same procedures and instruments for proportional balancing TAB field work, flows (air and water) remain within the System Tolerances. If the measurements exceed the specified System Tolerances, report these as deficiencies in accordance with this section.

b. Measuring water temperatures through immersion into fluid stream.

c. For ducted units, measuring airflows via duct traverse.

d. Measuring the range, from minimum to maximum, of the outdoor ambient dry-bulb temperature and corresponding dew-point temperature within which the TAB data was recorded. Record these temperature ranges on each day of data measurements.

Report all design data, actual field measurements, and capacity calculations for the components, devices, and equipment below.

3.1.5.3 Thermal Energy Transfer Equipment

Report cooling performance tests for thermal energy transfer equipment (e.g., chillers). Measure and report water flow rates, water-side pressure drops, and entering and leaving water temperatures. Report capacities.

3.1.6 Deficiencies

Strive to meet the intent of this section to maximize the performance of the equipment as designed and installed. However, if deficiencies in equipment or system design or installation prevent TAB work from being accomplished in accordance with this section, the TAB Team Supervisor must submit written notification within five working days from discovery of all such deficiencies for resolution. The TAB Firm must issue notice concurrently to the Contractor for corrective action and to the Contracting Officer for information. Provide a complete explanation, including supporting documentation, detailing the deficiencies and proposed corrective actions in the notification. Where deficiencies are encountered that adversely impact successful completion of:

a. TAB Field Work: Resolve deficiencies prior to submission of the Certified TAB Report.

b. TAB Field Acceptance Testing: The Contractor must, within five working days of the TAB Firm notice, submit written notification directly to the Contracting Officer, with a separate copy to the TAB Firm, of all such deficiencies, the intended or implemented corrective action, and the planned or actual date(s) for completion of each corrective action.

Responsibility for correction of installation deficiencies is the Contractor's.

3.1.7 Certified TAB Reports

Upon completing each item of work for TAB Field Work specified, prepare a TAB Report in accordance with this section using data furnished by the TAB Team. If there are no comments generated during the Certified TAB Report review process, state so in the report.

Submit the Certified TAB Report of Proportional Balancing to the Contracting Officer for approval within 14 calendar days of completion of the Proportional Balancing TAB Field Work.

3.1.8 Quality Assurance

3.1.8.1 TAB Field Acceptance Testing

In the presence of the Contracting Officer and TAB Team Field Leader, conduct field acceptance testing of points and areas in the approved Certified TAB Report as selected by the Contracting Officer. The selections include data types such as water and air volumetric flow rates, velocity, pressure, rotational speed (RPM), temperature, and electrical current recorded in the TAB Report. Measurement and test procedures are the same as required for TAB Field Work. If any of the measurements is a TAB failure, the Contracting Officer may terminate data verification. The

sample group will be disapproved and require retesting and additional testing.

Provide the Contracting Officer with written notification of the proposed date for Proportional Balancing TAB Field Acceptance Testing within 14 calendar days of approval of the Certified TAB Report of Proportional Balancing and a minimum of 7 calendar days in advance of proposed TAB Field Acceptance Testing date.

3.1.8.2 Retesting and Additional Testing

For any data indicated as a TAB Failure and when the data verification is terminated, make the necessary corrections, conduct TAB Field Work, set and secure balancing devices, and mark settings. Prepare and resubmit the applicable TAB Report with the corrected test results for approval. Reschedule TAB Field Acceptance Testing of the approved revised report with the Contracting Officer. For each TAB Failure, and after the revised report is approved, conduct field acceptance testing of the failed data point, one additional selection by the Contracting Officer from the same Category for each failure, and new selections by the Contracting Officer in the same Category. If there is insufficient quantity of data in the same Category, the Contracting Officer may select data from a different Category.

3.2 CLOSEOUT ACTIVITIES

3.3 PREREQUISITE FOR SYSTEMS ACCEPTANCE

Compliance with field acceptance testing requirements of this section is a prerequisite for the final Contracting Officer acceptance of the HVAC systems.

-- End of Section --

SECTION 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 90.1 - IP	(2019) Energy Standard for Buildings Except Low-Rise Residential Buildings

ASTM INTERNATIONAL (ASTM)

ASTM A580/A580M	(2023) Standard Specification for Stainless Steel Wire
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C195	(2007; R 2024) Standard Specification for Mineral Fiber Thermal Insulating Cement
ASTM C450	(2008) Standard Practice for Fabrication of Thermal Insulating Fitting Covers for NPS Piping, and Vessel Lagging
ASTM C534/C534M	(2025) Standard Specification for Preformed Flexible Elastomeric Cellular Thermal Insulation in Sheet and Tubular Form
ASTM C647	(2008; R 2013) Properties and Tests of Mastics and Coating Finishes for Thermal Insulation
ASTM C755	(2019b) Standard Practice for Selection of Water Vapor Retarders for Thermal Insulation
ASTM C795	(2008; R 2023) Standard Specification for Thermal Insulation for Use in Contact with Austenitic Stainless Steel
ASTM C920	(2018; R 2024) Standard Specification for Elastomeric Joint Sealants
ASTM C921	(2010; R 2015) Standard Practice for

	Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM C1136	(2023) Standard Specification for Flexible, Low Permeance Vapor Retarders for Thermal Insulation
ASTM C1710	(2011) Standard Guide for Installation of Flexible Closed Cell Preformed Insulation in Tube and Sheet Form
ASTM D2863	(2019) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index)
ASTM D5590	(2017; R 2021) Standard Test Method for Determining the Resistance of Paint Films and Related Coatings to Fungal Defacement by Accelerated Four-Week Agar Plate Assay
ASTM E84	(2024) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2024a) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials
ASTM E2231	(2021) Standard Practice for Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide https://www.approvalguide.com/

GREEN SEAL (GS)

GS-36	(2013) Adhesives for Commercial Use

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58	(2025) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)

MICA Insulation Stds	(8th Ed) National Commercial & Industrial Insulation Standards

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2022) Adhesive and Sealant Applications

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-A-3316	(1987; Rev C; Am 2 1990) Adhesives, Fire-Resistant, Thermal Insulation
MIL-A-24179	(1969; Rev A; Am 2 1980; Notice 1 1987; Notice 2 2020) Adhesive, Flexible Unicellular-Plastic Thermal Insulation
MIL-PRF-19565	(1988; Rev C) Coating Compounds, Thermal Insulation, Fire- and Water-Resistant, Vapor-Barrier

UL SOLUTIONS (UL)

UL 94	(2023; Reprint Jan 2024) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 723	(2018; Reprint Jun 2025) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SYSTEM DESCRIPTION

1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated. Provide field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and

SD-08 Manufacturer's Instructions at the same time for each system.

SD-02 Shop Drawings

MICA Plates; G

Pipe Insulation Systems and Associated Accessories

Equipment Insulation Systems and Associated Accessories

Recycled content for insulation materials; S

SD-03 Product Data

Pipe Insulation Systems; G

Equipment Insulation Systems; G

SD-04 Samples

Thermal Insulation; G

SD-07 Certificates

Indoor air quality for adhesives; S

SD-08 Manufacturer's Instructions

Pipe Insulation Systems; G

Equipment Insulation Systems; G

1.4 CERTIFICATIONS

1.4.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

1.5 QUALITY ASSURANCE

1.5.1 Installer Qualification

Qualified installers must have successfully completed three or more similar type jobs within the last 5 years.

1.6 DELIVERY, STORAGE, AND HANDLING

Deliver materials in the manufacturer's unopened containers. Protect materials delivered and placed in storage from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Attach manufacturer's stamp or label giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable) to packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval. Insulation packages and containers must be asbestos free.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening and is an acceptable product per this specification. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. Include the product number, k-value, thickness and furnished accessories including adhesives, sealants and jackets for each mechanical system requiring insulation. The product data must be copyrighted, have an identifying or publication number, and have been published prior to the issuance date of this solicitation. Submit materials furnished under this section together in a booklet.

2.1.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) piping systems that are located within, on, and adjacent to buildings. Provide CFC and HCFC free insulation.

2.1.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Determine flame spread, and smoke developed indexes, by ASTM E84 or UL 723. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to ASTM E2231.

2.2 MATERIALS

Provide insulation that meets or exceed the requirements of ASHRAE 90.1 - IP. Ensure insulation exterior is cleanable, grease resistant, non-flaking and non-peeling. Provide compatible materials that do not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Use materials on stainless steel surfaces meeting ASTM C795 requirements. Do not use calcium silicate on chilled or cold water systems. Use asbestos free materials. Provide product recognized under UL 94 (if containing plastic) and listed in FM APP GUIDE.

2.2.1 Recycled Materials

Provide insulation materials containing the following minimum percentage of recycled material content by weight:

Rock Wool: 75 percent slag of weight
Fiberglass: 20 percent glass cullet
Rigid Foam: 9 percent recovered material
Phenolic Rigid Foam: 9 percent recovered material

Provide data identifying percentage of recycled content for insulation materials.

2.2.2 Adhesives

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants must meet limit requirements of "Other" category within SCAQMD Rule 1168 sealants table). Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

2.2.2.1 Mineral Fiber Insulation Cement

Provide cement in accordance with ASTM C195.

2.2.2.2 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. To resist mold/mildew, use lagging adhesive meeting ASTM D5590 with 0 growth rating. Provide nonflammable and fire-resistant lagging adhesives that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive is MIL-A-3316, Class 1, pigmented whiteand suitable, as listed by the manufacturer,for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bonding glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or Class 2 for attaching fibrous glass insulation to metal surfaces. Apply lagging adhesives in strict accordance with the manufacturer's recommendations for pipe and duct insulation.

2.2.2.3 Contact Adhesive

Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive does not adversely affect, initially or in service, the insulation to which it is applied, nor cause any corrosive effect on metal to which it is applied. Ensure that any solvent dispersing medium or volatile component of the adhesive has no objectionable odor and does not contain any benzene or carbon

tetrachloride. Ensure dried adhesive does not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive must be nonflammable and fire resistant. Flexible Elastomeric Adhesive: Comply with MIL-A-24179, Type II, Class I. Provide product listed in FM APP GUIDE.

2.2.3 Caulking

ASTM C920, Type S, Grade NS, Class 25, Use A.

2.2.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from chilled-water and refrigeration systems. Flexible elastomeric, fabricated fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, use properly installed protective vapor retarder/barriers and vapor stops on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

2.2.5 Finishing Cement

ASTM C450: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must comply with ASTM C795.

2.2.6 Staples

Outward clinching type Type 304 or 316 stainless steel.

2.2.7 Field Applied Jackets

Provide aluminum or polyvinyl chloride jackets where field applied jackets are required but vapror barrier/weatherproofing jactkets are not required.

2.2.7.1 Aluminum Jackets

Provide aluminum jackets consisting of corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105. Do not use corrugated aluminum jacket outdoors. Aluminum jacket securing bands must be Type 304 stainless steel, 0.015 inch thick, 1/2 inch wide for pipe under 12 inch diameter and 3/4 inch wide for pipe over 12 inch and larger diameter. Aluminum jacket circumferential seam bands must be 2 by 0.016 inch aluminum matching jacket material. Ensure bands for insulation below ground are 3/4 by 0.020 inch thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, use bands at the circumferential joints that are designed by the manufacturer to seal the joints and hold the jacket in place.

2.2.7.2 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers must have high impact

strength, ultraviolet (UV) resistant rating or treatment and moderate chemical resistance. The minimum thickness for a Standard jacket is 0.020 inch and where high abuse resistance is required use Heavy Duty PVC jacket 0.030 inch. Standard PVC fittings will have an initial core thickness (before thermoforming) of 0.025 inch and heavy duty fittings will have an initial core thickness of 0.030 inch.

2.2.8 Vapor Retarder or Vapor Barrier

Apply the following criteria to determine which system is required.

a. Install piping and equipment operating at or below 65 degrees F or located outside with a vapor barrier and ductork operating below 50 degrees F or located outside with a vapor barrier as required in paragraph VAPOR BARRIER REQUIRED (VB).

b. Install pipeing and equipment that is located inside and that always operates above 65 degrees F and ductwork that always operate above 50 degrees F with a vapor retarder where required as stated in paragraph VAPOR RETARDER REQUIRED (VR).

2.2.9 Vapor Retarder Required (VR)

ASTM C921, Type I, minimum puncture resistance 50 Beach units on all surfaces where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Use jackets on insulation exposed in finished areas that have white finish suitable for painting without sizing. Based on the application, insulation materials that require manufacturer or fabricator applied pipe insulation jackets are cellular glass, when all joints are sealed with a vapor barrier mastic, and mineral fiber. Ensure all non-metallic jackets have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible elastomerics require (in addition to vapor barrier skin) vapor retarder jacketing for high relative humidity and below ambient temperature applications.

2.2.9.1 White Vapor Retarder All Service Jacket (ASJ)

ASJ is for use on hot/cold pipes, ducts, or equipment indoors or outdoors if covered by a suitable protective jacket. Provide product which meets all physical property and performance requirements of ASTM C1136, Type I, except a minimum burst strength of 85 psi. ASTM D2863 Limited Oxygen Index (LOI) is a minimum of 31.

In addition, do not use paper or other moisture-sensitive material for the outer exposed surface or the inner-most surface contacting the insulation. Ensure the outer exposed surface is white and has an emittance no less than 0.80.

2.2.9.2 Vapor Retarder/Vapor Barrier Mastic Coatings

2.2.9.2.1 Vapor Retarder

Provide fire and water resistant vapor retarder coating appropriately

selected for either outdoor or indoor service. Color must be white. Ensure the water vapor permeance of the compound is in accordance with ASTM C755. Provide nonflammable, fire resistant coating. To resist mold/mildew, provide coating meeting ASTM D5590 with 0 growth rating. Ensure coating meets MIL-PRF-19565 Type II (if selected for indoor service) and is Qualified Products Database listed. Determine all other application and service properties pursuant to ASTM C647.

2.2.10 Vapor Barrier/Weatherproofing Required (VB/WP)

The vapor barrier must be multi-ply self adhesive laminate -white vapor barrier jacket- superior performance (less than 0.0000 permeability when tested in accordance with ASTM E96/E96M). Vapor barrier shall meet UL 723 or ASTM E84 25 flame and 50 smoke requirements; and UV resistant. Minimum puncture resistance 30 pounds in accordance with ASTM D 1000. Tensile strength 68 lb/inch width (PSTC-1000). Tape shall be as specified for vapor barrier/weather barrier. Install vapor barrier/weatherproofing jacket in accordance with the manufacturer's published installation instructions.

2.2.11 Vapor Retarder Not Required

ASTM C921, Type II, Class D, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable. Provide jacket with a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.2.12 Wire

Soft annealed ASTM A580/A580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

2.2.13 Insulation Bands

Provide 1/2 inch wide; 26 gauge stainless steel insulation bands.

2.2.14 Sealants

Choose sealants from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Provide sealants with a maximum permeance of 0.02 perms based on Procedure B for ASTM E96/E96M, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.3 PIPE INSULATION SYSTEMS

Conform insulation materials to Table 1 and minimum insulation thickness as listed in Table 2 and meet or exceed the requirements ofASHRAE 90.1 - IP. Submit a booklet containing manufacturer's published installation instructions for the insulation systems. The instructions must be copyrighted, and have an identifying or publication number. Limit pipe insulation materials to those listed herein and meeting the following requirements:

2.3.1 Aboveground Cold Pipeline (-30 to 65 deg. F)

Provide insulation for outdoor, indoor, exposed or concealed applications, as follows:

2.3.1.1 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II. Type I, Grade 1 for tubular materials. Type II, Grade 1, for sheet materials. Ensure Type I and II have vapor retarder/vapor barrier skin on one or both sides of the insulation An additional field appied vapor barrier/weatherproofing jacket is required for outdoors and in unconditioned mechanical rooms when used for below ambient temperature applications.

2.4 EQUIPMENT INSULATION SYSTEMS

Insulate equipment and accessories as specified in Tables 4 and 5. In outside locations, provide insulation 1/2 inch thicker than specified and with vapor barrier/weatherproofing jacket. Increase the specified insulation thickness for equipment where necessary to equal the thickness of angles or other structural members to make a smooth, exterior surface. Submit a booklet containing manufacturer's published installation instructions for the insulation systems. The instructions must be copyrighted, have an identifying or publication number

PART 3 EXECUTION

3.1 APPLICATION - GENERAL

Apply insulation to unheated and uncooled piping and equipment. Do not compress flexible elastomeric cellular insulation at joists, studs, columns, ducts, and hangers. The insulation must not pull apart after a one hour period; replace any insulation found to pull apart after one hour.

3.1.1 Installation

Except as otherwise specified, install material in accordance with the manufacturer's written instructions. Do not apply insulation materials until tests and heat tracing specified in other paragraphs of this specification are completed. Remove material such as rust, scale, dirt and moisture from surfaces to receive insulation. Keep insulaton clean and dry. Do not remove insulation from its shipping containers until the day it is ready to use and return to like containers or equally protect from dirt and moisture at the end of each workday. Thoroughly clean insulation that becomes dirty prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, reject the insulation, and immediately remove from the jobsite. Stagger joints on multi layer insulation. Mix mineral fiber thermal insulating cement with demineralized water when used on stainless steel surfaces. Install insulation, jacketing and accessories in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

3.1.2 Installation of Flexible Elastomeric Cellular Insulation

Install flexible elastomeric cellular insulation with seams and joints sealed with rubberized contact adhesive. Do not use flexible elastomeric cellular insulation on surfaces greater than 220 degrees F. Stagger seams when applying multiple layers of insulation. Protect insulation exposed to weather with vapor barrier/weatherproofing jacketing after the adhesive is dry and cured.

3.1.2.1 Adhesive Application

Apply a brush coating of adhesive to both butt ends to be joined and to both slit surfaces to be sealed. Allow the adhesive to set until dry to touch but tacky under slight pressure before joining the surfaces. Ensure insulation seals at seams and joints are not capable of being pulled apart one hour after application. Replace insulation that can be pulled apart one hour after installation.

3.1.2.2 Adhesive Safety Precautions

Use natural cross-ventilation, local (mechanical) pickup, or general area (mechanical) ventilation to prevent an accumulation of solvent vapors, keeping in mind the ventilation pattern must remove any heavier-than-air solvent vapors from lower levels of the workspaces. Gloves and spectacle-type safety glasses are recommended in accordance with safe installation practices.

3.1.3 Welding

Welding is not permitted on piping, or equipment without written approval of the Contracting Officer. .

3.1.4 Pipes/Equipment That Require Insulation

Insulation is required on all pipes, or equipment, except for omitted items as specified.

3.2 PIPE INSULATION SYSTEM INSTALLATION

3.2.1 General

Install pipe insulation on aboveground cold pipeline systems as specified below to form a continuous thermal retarder/barrier, including straight runs, fittings and appurtenances unless specified otherwise. Install full length units of insulation using a single cut piece to complete a run. Do not use cut pieces or scraps abutting each other. Omit pipe insulation on the following:

a. Air chambers.

b. Adjacent insulation.

c. Chromium plated pipe to plumbing fixtures.

d. Sanitary waste piping not exposed to freezing conditions

e. Cleanouts and handholes

f. ASME stamps.

g. Access plates of fan housings.

3.2.1.1 Piping in Mechanical Equipment Rooms

Provide a vapor barrier/weatherproofing jacket for all insulated pipingchanical equipment rooms.

3.2.1.2 Installing Insulation for Straight Runs Cold Pipe

Apply insulation to the pipe with tight butt joints. Seal all butted joints and ends with joint sealant and seal with a vapor barrier coating or vapor barrier/weatherproofing adhesive tape.

3.2.1.2.1 Longitudinal Laps of the Jacket Material

Overlap not less than 1-1/2 inches. Provide butt strips 3 inches wide for circumferential joints.

3.2.1.2.2 Laps and Butt Strips

Secure with adhesive and staple on 4 inch centers if not factory self-sealing. If staples are used, seal in accordance with paragraph STAPLES below. Note that staples are not required with cellular glass systems.

3.2.1.2.3 Factory Self-Sealing Lap Systems

May be used when the ambient temperature is between 40 and 120 degrees F during installation. Install the lap system in accordance with manufacturer's recommendations. Use a stapler only if specifically recommended by the manufacturer. Where gaps occur, replace the section or repair the gap by applying adhesive under the lap and then stapling.

3.2.1.2.4 Staples

Coat all staples, including those used to repair factory self-seal lap systems, with a vapor retarder coating or vapor barrier/weatherproofing adhesive tape. Coat all seams, except those on factory self-seal systems, with vapor retarder coating or vapor barrier/weatherproofing adhesive tape.

3.2.1.2.5 Breaks and Punctures in the Jacket Material

Patch by wrapping a strip of jacket material around the pipe and secure it with adhesive, staple, and coat with vapor retarder coating or vapor barrier/weatherproofing adhesive tape. Extend the patch not less than 1-1/2 inches past the break.

3.2.1.2.6 Penetrations Such as Thermometers

Fill the voids in the insulation and seal with vapor retarder coating or vapor barrier/weatherproofing adhesive tape.

3.2.1.2.7 Flexible Elastomeric Cellular Pipe Insulation

Install by slitting the tubular sections and applying them onto the piping or tubing. Alternately, whenever possible slide un-slit sections over the open ends of piping or tubing. Secure all seams and butt joints and seal with adhesive. When using self seal products only the butt joints shall be secured with adhesive. Push insulation on the pipe, never pulled. Stretching of insulation may result in open seams and joints. Clean cut all edges. Rough or jagged edges of the insulation are not be permitted. Use proper tools such as sharp knives. Do not stretch Grade 1, Type II sheet insulation around the pipe when used on pipe larger than 6 inches. On pipes larger than 12 inches, adhere sheet insulation directly to the pipe on the lower 1/3 of the pipe.

3.2.1.3 Insulation for Fittings and Accessories

Butt pipe insulation tightly to the insulation of the fittings and accessories. Seal butted joints and ends with joint sealant. Mark insulation to show the location of unions, strainers, check valves and other components that would otherwise be hidden from view by the insulation.

3.2.1.3.1 Precut or Performed

Place precut insulation inserts or preformed insulation inserts around all fittings and accessories and conform to MICA plates. Insulation inserts must be the same installed insulation thickness as the pipe insulation. One insert must be installed for each inch of pipe insulation thickness. The installed and compressed insert must have the same thermal performance as the pipe insulation. Preformed insulation inserts must be the same insulation as the pipe insulation including same density, thickness, and thermal conductivity.

3.2.1.3.2 Rigid Preformed

Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Use insulation that is the same thickness and conductivity as the adjoining pipe insulation. If nesting size insulation is used, the insulation must overlap insulation 2 inches or one pipe diameter. Elbows insulated using segments must conform to MICA Tables 12.20 "Mitered Insulation Elbow". Fittings insulated using segments shall conform to MICA Tables 2.116 "Mechanical Fitting Field Fabricated".

3.2.1.3.3 Fitting and Accessory Jackets

Upon completion of insulation installation on flanges, unions, valves, anchors, fittings and accessories, terminations, seams, joints and insulation not protected by factory vapor barrier jackets or PVC fitting covers shall be protected with vapor barrier/weaterproofing jacket. Fabricated insulation with a factory vapor retarder jacket shall be protected with vapor barrier/weatherproofing jacket. Where fitting insulation butts to pipe insulation, the joints shall be sealed with a vapor barrier/weatherproofing jacket.

3.2.1.3.4 Anchors

Anchors attached directly to the pipe shall be insulated for a sufficient distance to prevent condensation but not less than 6 inches from the insulation surface.

3.2.1.3.5 Installation Documents

Submit a booklet containing completed MICA Insulation Stds plates detailing each insulating system for each pipe, or equipment insulating system, after approval of materials and prior to applying insulation.

a. If the Contractor elects to submit detailed drawings instead of edited MICA Plates, the detail drawings shall be technically equivalent to the edited MICA Plate submittal.

3.2.1.4 Pipes Passing Through Walls

Provide continuous pipe insulation through the sleeve.

Provide an aluminum jacket or vapor barrier/weatherproofing jacket, depending on operating temperature of the piping system, over the insulation wherever penetrations require sealing.

3.2.1.4.1 Penetrating Exterior Walls

Continue the aluminum jacket or vapor barrier/weatherproofing jacket required for pipe exposed to weather through the sleeve to a point 2 inches beyond the interior surface of the wall. For aluminum jackets secure with a band 1 inch from the end of the jacket.

3.2.1.5 Pipes Passing Through Hangers

Ensure insulation, whether hot or cold application, is continuous through hangers. Support all horizontal pipes 2 inches and smaller on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with MSS SP-58. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near the support shield, install insulation inserts as specified below for piping larger than 2 inches, or factory insulated hangers (designed with a load bearing core) can be used.

3.2.1.5.1 Horizontal Pipes Larger Than 2 Inches and Below 65 Degrees F

Supported on hangers with the addition of a Type 40 protection shield in accordance with MSS SP-58. Install an insulation insert of polyisocyanurate, or prefabricated insulation pipe hangers, above above each shield. Ensure insert covers no less than the bottom 180-degree arc of the pipe. Provide inserts that are the same thickness as the insulation, and extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the weight of the pipe from crushing the insulation, as an option to installing insulation inserts. Ensure the insulation jacket is continuous over the wooden dowel, wooden block, or insulation insert.

3.2.1.5.2 Vertical Pipes

Supported with either Type 8 or Type 42 riser clamps. Ensure the insulation jacket is continuous and sealed with vapor barried compound. Support the vertical weight of the pipe with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, support the weight of the pipe additionally with riser clamps in the vertical run of the pipe that are directly clamped to the pipe, penetrating the pipe insulation.

3.2.1.5.3 Inserts

Covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, overlap the adjoining pipe jacket 1-1/2 inches, and seal as required for the pipe jacket. Use jacket material to cover inserts in flexible elastomeric cellular insulation conforming to ASTM C1136, Type 1, and is allowed to be of a different material than the adjoining insulation material.

3.2.1.6 Flexible Elastomeric Cellular Pipe Insulation

Use tubular form flexible elastomeric cellular pipe insulation for pipe sizes 6 inches and less. Grade 1, Do not stretch Type II sheet insulation used on pipes larger than 6 inches around the pipe. On pipes larger than 12 inches, adhere the insulation directly to the pipe on the lower 1/3 of the pipe. Stagger seams when applying multiple layers of insulation. Insulate sweat fittings with miter-cut pieces the same size as on adjacent piping. Insulate screwed fittings with sleeved fitting covers fabricated from miter-cut pieces and overlap and seal to the adjacent pipe insulation. Type II requires an additional exterior vapor retarder/barrier covering for high relative humidity and below ambient temperature applications.

3.2.1.7 Pipe Insulation Material and Thickness

Pipe insulation materials must be as listed in Table 1 and must meet or exceed the requirements of ASHRAE 90.1 - IP.

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
Chilled Water (Supply & Return, Dual Temperature Piping, 40 F nominal)					
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		VB
Note: VR = Vapor Retarder Requirwd VB = Vapor Barrier Required					

TABLE 2							
Piping Insulation Thickness (inch)							
Service							
	Material	Conductivity K-value	Tube And Pipe Size (inch)				
			<1	1-<1.5	1.5-<4	4-<8	> or = >8
Chilled Water (Supply & Return, Dual Temperature Piping, 40 Degrees F nominal)							
	Flexible Elastomeric Cellular	0.28	0.5	0.5	1	1	1

3.2.2 Aboveground Cold Pipelines

Insulate the following cold pipelines for minus 30 to plus 60 degrees F in accordance with Table 2 except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted. **Paint all ferrous metal piping operating below 65 degrees F prior to application of insulation. Coordinate schedule of piping insulation installation to allow time for painting.** This includes but is not limited to the following:

a. Make-up water.

b. Chilled water.

3.2.2.1 Insulation Material and Thickness

Determine insulation thickness for cold pipelines using Table 2.

3.2.2.2 Factory or Field applied Jacket

Cover all insulation with a factory applied vapor retarder jacket. For applications requiring a vapor barrier or weatherproofing jacket apply the VB/WP jacket over the factory applied jacket.

3.2.2.3 Optional PVC Fitting Covers

At the option of the Contractor, premolded, one or two piece PVC fitting covers may be used in lieu of the vapor retarder and embedded glass tape. Factory precut insulation inserts or premolded insulation segments must be used under the fitting covers for elbows, tees and other miscellaneous configurations. Insulation inserts must be the same installed insulation thickness. One insert must be installed for each inch of pipe insulation. The installed and compressed insert must have the same thermal performance as the pipe insulation. Premolded insulation segments must be the same insulation as the pipe insulation including same density, thickness, and thermal conductivity. PVC fitting covers must be secured by PVC vapor retarder tape, adhesive, seal welding or with tacks made for securing PVC covers. For above ambient pipe temperatures, secure PVC covers using tacks. As an option circumferential joints can be covered with PVC vapor retarder tape. For below ambient service seal system using vapor barrier/weatherproofing adhesive tape. Longitudinal seams must be sealed with vapor barrier/weatherproofing adhesive tape to obtain a continuous vapor seal.

3.2.3 Piping Exposed to Weather

Insulate and jacket piping exposed to weather as specified for the applicable service inside the building. For piping 65 degrees F. and below provide a vapor barrier/weatherproofing jacket.

3.2.3.1 Aluminum Jacket Installation

The jacket for hot piping may be factory applied. Overlap the jacket no less than 2 inches at longitudinal and circumferential joints and secure with bands at no more than 12 inch centers. Overlap longitudinal joints down to shed water and locate at 4 or 8 o'clock positions. Seal joints on piping 60 degrees F and below with metal jacketing/flashing sealant while overlapping to prevent moisture penetration. Where jacketing on piping 60 degrees F and below abuts an un-insulated surface, caulk joints to prevent

moisture penetration.

3.2.3.2 Insulation for Fittings

Insulate and finish flanges, unions, valves, fittings, and accessories as specified for the applicable service. Apply two coats of breather emulsion type weatherproof mastic (impermeable to water, permeable to air) recommended by the insulation manufacturer with glass tape embedded between coats. Overlap tape no less than 1 inch over the adjoining insulation. Provide factory preformed aluminum fitting covers when aluminum jacketing is used for staight runs of pipe. Provide molded PVC fitting covers when PVC jackets are used for straight runs of pipe.PVC fitting covers must be sealed if the pipe insulation will be exposed to severe service conditions.

3.2.3.3 PVC Jacket Installation

Provide ultraviolet resistant PVC jacket that is adhesive welded weather tight with manufacturer's recommended adhesive. Include provision for thermal expansion.

3.3 EQUIPMENT INSULATION SYSTEMS INSTALLATION

3.3.1 General

Provide removable insulation sections to cover parts of equipment that must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Omit equipment insulation on the following:

a. Hand-holes.

b. Cleanouts.

c. ASME stamps.

d. Manufacturer's nameplates.

e. Duct Test/Balance Test Holes.

3.3.2 Equipment in Mechanical Equipment Rooms

Provide a vapor barrier/weatherproofing jacket for all insulated equipment inal equipment rooms.

3.3.3 Insulation for Cold Equipment

Cold equipment below 60 degrees F: Furnish insulation on equipment handling media below 60 degrees F including the following. **Paint all ferrous metal equipment operating below 65 degrees F prior to application of insulation. Coordinate schedule of piping insulation installation to allow time for painting**:

a. Pumps.

b. Refrigeration equipment parts that are not factory insulated.

c. Drip pans under chilled equipment.

d. Cold and chilled water pumps.

e. Expansion and air separation tanks.

3.3.3.1 Insulation Type

Provide insulation suitable for the temperature encountered. Provide material and thicknesses as shown in Table 4:

TABLE 4		
Insulation Thickness for Cold Equipment (inches)		
Equipment handling media at indicated temperature		
	Material	Thickness (inches)
35 to 60 degrees F		
	Flexible Elastomeric Cellular	1

3.3.3.2 Pump Insulation

a. Insulate pumps by forming an insulated sheetmetal box around the pump housing. Construct the box by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Ensure box conforms to the requirements of MICA Insulation Stds plate No. 49. Ensure joints between top cover and sides fit tightly forming a female shiplap joint on the side pieces and a male joint on the top cover, thus making the top cover removable.

b. Upon completion of installation of the insulation apply vapor barrier/weatherproofing jacket to all interior and exterior surfaces of the insulation box.

3.3.3.3 Other Equipment

a. Form or fabricate insulation to fit the equipment. To ensure a tight fit on round equipment, bevel edges and tightly butt and stagger joints.

b. Secure insulation in place with bands or wires at intervals as recommended by the manufacturer but no more than 12 inch centers except adhere flexible elastomeric cellular with contact adhesive. Protect insulation corners under wires and bands with suitable corner angles.

c. Install insulation in accordance with manufacturer's instructions. Seal joints and ends with joint sealant, and seal with a vapor retarder coating.

d. Use removable insulation on heads of heat exchangers. Fabricate removable section joints using a male-female shiplap type joint. Finish the entire surface of the removable section by applying two coats of vapor retarder coating with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch.

e. Protect exposed insulation corners with corner angles.

f. Apply insulation on equipment with ribs over 6 by 6 inches by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot weld to the equipment over the ribs. Secure insulation to the fabric with J-hooks and 2 by 2 inches washers or securely band or wire in place on 12 inch centers.

3.3.3.4 Vapor Barrier

Upon completion of installation of insulation, caulk penetrations. Apply vapor barrier/weatherproofing jacket over insulation, including removable sections.

3.3.4 Equipment Exposed to Weather

3.3.4.1 Installation

Insulate equipment exposed to weather 1 inch thicker than indicated in Table 5. If equipment operates below 65 degrees F apply vapor barrier/weatherproofing jacket over the insulation. If equipment operates above 65 degrees F. apply aluminum, PVC or vapor barrier/wetherproofing jacket over the insulation.

3.3.4.2 Optional Panels

At the option of the Contractor, prefabricated metal insulation panels may be used in lieu of the insulation and finish previously specified. Thermal performance must be equal to or better than that specified for field applied insulation. Provide panels that are the standard catalog product of a manufacturer of metal insulation panels. Provide fastenings, flashing, and support system conforming to published recommendations of the manufacturer for weatherproof installation and that prevent moisture from entering the insulation. Design panels to accommodate thermal expansion and to support a 250 pound walking load without permanent deformation or permanent damage to the insulation. Exterior metal cover sheet must be aluminum and exposed fastenings must be stainless steel or aluminum.

-- End of Section --

SECTION 23 08 00

COMMISSIONING OF MECHANICAL SYSTEMS
05/23, CHG 1: 08/24

PART 1 GENERAL

Building Commissioning is a systematic, quality-focused process for enhancing the delivery of a project that focuses on verifying and documenting that all of the commissioned systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the project requirements. The purpose is to reduce the cost and performance risks associated with delivering facilities projects, and to increase value to owners, occupants, and users. Comply with specification Section 01 91 00.15 BUILDING COMMISSIONING.

1.1 SEQUENCING AND SCHEDULING

Complete functional performance testing prior to performance verification testing required by Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. Complete the following prior to starting Functional Performance Tests of mechanical systems:

a. All equipment and systems completed, cleaned, flushed, disinfected, calibrated, tested, and operate in accordance with contract documents and construction plans and specifications. Chilled water system flushing must be limited to new work.

b. Pre-final Testing, Adjusting, and Balancing Report submitted in accordance with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC

c. The Certificate of Readiness submitted and approved in accordance with Section 01 91 00.15 BUILDING COMMISSIONING

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Test Equipment; G

SD-06 Test Reports

Pipe Flushing, Testing, And Water Treatment Reports; G

Completed Pre-Functional Checklists; G

Full-Load Test Report; G

SD-07 Certificates

Certificate Of Readiness; G

1.3 ACCESSIBILITY REQUIREMENTS

Equipment, systems, and devices for commissioned systems must be accessible. Make necessary modifications if systems and devices are not accessible for inspections and testing.

Assist commissioning team in testing by removing equipment covers, opening access panels, and other required activities that assist with visual oversight. Furnish ladders, flashlights, meters, gauges, or other inspection equipment as necessary.

1.4 COORDINATION

Refer to Section 01 91 00.15 BUILDING COMMISSIONING for requirements pertaining to coordination during the commissioning process. Coordinate with the Commissioning Provider in accordance with Section 01 91 00.15 and in accordance with the Commissioning Plan to schedule inspections as required to support the commissioning process. Furnish additional information requested by the Commissioning Provider. Coordinate scheduling of Functional Performance Testing with the commissioning team. Provide plans, reports, notes, and other documentation to the Commissioning Provider as specified in the commissioning plan, as it is completed.

1.5 PIPE FLUSHING, TESTING, AND WATER TREATMENT REPORTS

Test requirements are specified in Division 23 piping Sections. Prepare a pipe system cleaning, flushing, and hydrostatic testing log. Provide cleaning, flushing, testing, and water treatment log and final reports.

Include the following in the pipe system cleaning, flushing, and hydrostatic testing log:

a. Minimum flushing water velocity.

b. Water treatment reports.

c. Tracking checklist for managing and ensuring that all pipe sections have been cleaned, flushed, hydrostatically tested, and chemically treated.

1.6 CERTIFICATE OF READINESS

Submit Certificate of Readiness documentation in accordance with Section 01 91 00.15 BUILDING COMMISSIONING for all equipment and systems including start-up reports; completed Pre-Functional Checklists; Testing, Adjusting, and Balancing (TAB) Report; Issues Log; HVAC Controls Start-Up Reports. Do not schedule Functional Performance Tests for the system until the Certificate of Readiness for that system receives approval by the Government. The CxC, Quality Control Manager, and the Mechanical, Electrical, Controls, and TAB subcontractor representatives must sign and date the Certificate of Readiness.

PART 2 PRODUCTS

2.1 TEST EQUIPMENT

Provide all testing equipment required to perform testing for the systems to be commissioned, except for equipment specific to and used by TAB as

required by Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC. Provide a sufficient quantity of two-way radios for each subcontractor. Submit list of Test Equipment and instrumentation to be used for testing including equipment/instrument identification number, equipment application or planned use, manufacturer, make, model, and serial number, and calibration history with certificates. Also list special equipment and proprietary tools specific to a piece of equipment required for testing.

2.1.1 Proprietary Equipment

Provide manufacturer's proprietary test equipment and software required by any equipment manufacturer for programming and start-up, whether specified or not. Provide manufacturer test equipment, demonstrate its use, and assist in the commissioning process as needed. Provide data logging equipment and software required to test equipment.

2.1.2 Calibration and Accuracy

Comply with equipment manufacturer's test equipment calibration procedures and intervals. Recalibrate test instruments immediately after instruments have been repaired resulting from being dropped or damaged. Affix calibration tags to test instruments. Furnish calibration records to Contracting Officer upon request.

Provide all testing equipment of sufficient quality and accuracy to test and measure system performance with the tolerances specified. Unless otherwise noted, the following minimum requirements apply: Provide temperature sensors and digital thermometers with a certified calibration within the past year to an accuracy of 0.5 degrees F and a resolution of plus or minus 0.1 degrees F. Provide pressure sensors with an accuracy of plus or minus 2.0 percent of the value range being measured (not full range of meter) and calibrated within the last year.

PART 3 EXECUTION

3.1 MEETINGS

Attend all meetings in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

Provide timely updates on construction schedule changes to allow the Commissioning Provider to execute commissioning process efficiently. Notify Contracting Officer of anticipated construction delays to commissioning activities not yet performed or not yet scheduled.

3.2 COMMISSIONING CONSTRUCTION OBSERVATION CHECKLISTS

Commissioning construction observation checklists include Pre-Functional Checklists and Functional Performance Test Checklists. Provide commissioning construction observation checklists for the Interim and Final Construction Phase Commissioning Plan in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

3.2.1 Pre-Functional Checklists

The Pre-Functional Checklists must include items for physical inspection

or testing that demonstrate that installation and start-up of equipment and systems is complete. Refer to paragraph PRE-FUNCTIONAL CHECKS. Pre-functional checklists must be tailored to verify the specific installation requirements and details of the construction documents and manufacturer's instructions.

3.2.2 Functional Performance Test Checklists

Functional Performance Test Checklists must include procedures that explain, step-by-step, the actions and expected results that will demonstrate that the system performs in accordance with the contract. Refer to paragraph FUNCTIONAL PERFORMANCE TESTING. Include the following sections and details appropriate to the systems being tested in the Functional Performance Test Checklists:

a. Notable system features including information about controls to facilitate understanding of system operation and maintenance

b. Conclusions and recommendations. Conclusions must clearly indicate if system does or does not perform in accordance with contract requirements and specifications. Recommendation must clearly indicate that the system should or should not be approved by the Government.

c. Test conditions including date, beginning and ending time, and beginning and ending outdoor air conditions

d. Attendees

e. Identification of the equipment involved in the test

f. **System feature identification**

g. Point-to-point observations including demonstrating system flow meters and sensors have been calibrated and are correctly displayed on the Operator work station

h. Actuator operation observations demonstrating actuator responses to commands from the control system

i. As-found condition of the system operation

j. List of test items with step numbers along with the corresponding feature or control operation, intended test procedure, expected system response, and pass/fail indication.

k. Space for comments for each test item.

3.3 PRE-FUNCTIONAL CHECKS

Pre-Functional Checks are a type of Commissioning Inspection in accordance with Section 01 91 00.15 BUILDING COMMISSIONING. Complete one Pre-Functional Checklist for each individual item of equipment or system for each system required to be commissioned including, but not limited to, ductwork, piping, equipment, fixtures, and controls. Include manufacturer start-up checklists associated with equipment with the submission of the Pre-Functional Checklists. Provide manufacturer's installation manual for each type of unit. Indicate commissioning team member inspection and validation of each Pre-Functional Checklist item by initials. Validation of each Pre-Functional Checklist item by each team member indicates that

item conforms to the contract documents and validated design in their area of responsibility. Commissioning Specialist validation of each Pre-Functional Checklist item indicates that each item has been installed correctly and in accordance with contract documents. Required commissioning team members for Pre-Functional Checks includes the CxC, Mechanical Commissioning Specialist, Quality Control Manager, sub-contractor representative for each trade responsible for construction/installation of the checklist item, and the TAB representative (for items impacting TAB). Submit the initialed and Completed Pre-Functional Checklists no later than 7 calendar days after completion of inspection of all checklists items for each system.

3.4 STARTUP AND INITIAL CHECKOUT

Document start-up and initial testing procedures, and include in the Completed Pre-Functional Checklists submittal, including:

a. Startup tests and factory testing reports.

b. Manufacturer's representative start-up, operating, troubleshooting and maintenance procedures.

c. Perform and clearly document system operational checks and quality control checks as they are completed, and providing a copy to the commissioning team.

d. Correct deficiencies and sign the Certificate of Readiness for each system before functional performance testing

3.5 TESTING, ADJUSTING, AND BALANCING REPORT REVIEW AND VERIFICATION

3.5.1 Testing, Adjusting, and Balancing (TAB) Report Review

The Mechanical System Technical Commissioning Specialist must review the pre-final TAB Report required by Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC and identify any deficiencies to the Contracting Officer's Representative and the Contractor's Quality Control Personnel and include in the issues log. All deficiencies must be resolved prior to TAB Report approval.

3.5.2 Testing, Adjusting, and Balancing (TAB) Report Verification

The Mechanical System Technical Commissioning Specialist must witness the TAB Field Acceptance Testing specified by Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC and identify any deficiencies to the Contracting Officer's Representative and the Contractor's Quality Control Personnel and include in the issues log. All deficiencies must be resolved prior to TAB Report approval.

3.6 HVAC CONTROLS START-UP AND PERFORMANCE VERIFICATION TEST REVIEW

The Mechanical System Technical Commissioning Specialist must review the Start-Up Testing Report, PVT Procedures and PVT Reports, including endurance testing trend submittals, required by Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The Mechanical System Technical Commissioning Specialist must review each submittal and identify any deficiencies to the Contracting Officer's Representative and the Contractor's Quality Control Personnel and include in the issues log. All deficiencies must be resolved prior to final acceptance.

3.7 FUNCTIONAL PERFORMANCE TESTING

Functional Performance Testing are a type of Commissioning Testing in accordance with Section 01 91 00.15 BUILDING COMMISSIONING. Conduct Functional Performance Testing in accordance with Section 01 91 00.15 BUILDING COMMISSIONING and requirements in this Section. Prior to Functional Performance Testing, complete all prerequisites in accordance with paragraph SEQUENCING AND SCHEDULING. Demonstrate that all system components have been installed, that each control device and item of equipment operates, and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents.

3.7.1 Test Scheduling and Coordination

Schedule and conduct Initial Functional Performance Tests as soon as all contract work is completed, regardless of the season. Develop and implement means of artificial loading to demonstrate, to a reasonable level of confidence, the ability of the HVAC systems to handle peak seasonal loads. Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Functional Performance Tests.

Functional Performance Tests must be performed with the CxC present. Government reserves the right to witness all tests. Coordinate test schedule with Government representatives.

3.7.2 Preparation

Put equipment and systems into operation and continue operation during each working day of functional performance testing, as required. Verify temperature and pressure taps in accordance with Contract Documents. Provide a pressure/temperature plug at each water sensor which is an input point to control system.

Perform minor adjustments to equipment and systems during Functional Performance Tests as deemed necessary by the commissioning team. Where calibrated DDC sensors cannot be used to record test data, provide measuring instruments, logging devices, and data acquisition equipment to record data for the complete range of test data for the required test period.

3.7.3 Testing Procedures

Provide all necessary materials and system modifications to produce the necessary flows, pressures, temperatures, and other conditions necessary to execute the test according to the specified conditions. At completion of the test, return the affected building equipment and systems to their pre-test condition.

Follow the Functional Performance Test from the approved Final Construction Phase Commissioning Plan. Perform Functional Performance Tests for each item of equipment and each system required to be commissioned. Verify all sensor calibrations, control responses, safeties, interlocks, operating modes, sequences of operation, capacities, and all other performance requirements comply with contract, regardless of

the specific items listed within the checklists provided. In general, testing must progress from equipment or components to subsystems to systems to interlocks and connections between systems. Commissioning Specialists are responsible for determining the order of components and systems to be tested.

Indicate validation of each item of equipment and systems tested by signature of each commissioning team member for each test. The Quality Control Representative, Commissioning Specialists, and Contracting Officer's Representative, if present, must indicate validation after the equipment and systems are free of deficiencies.

3.7.4 Simulating Conditions

Functional performance testing is conducted by simulating conditions at control devices to initiate a control system response. Before testing, calibrate all sensors, transducers and devices. Over-writing control input values through the control system is not acceptable unless approved by the Contracting Officer. Perform each test under conditions that simulate actual conditions as close as is practically possible. Specific examples of simulating conditions are provided below. Do not simulate conditions when damage to the system or building may result.

a. When varying static pressures inside ductwork cannot be simulated within the duct, and where a sensor signals the controls system to initiate sequences at various duct static pressures, it is acceptable to simulate the various pressures with a Pneumatic Squeeze-Bulb Type Signaling Device with gauge temporarily attached to the sensing tube leading to the transmitter. It is not acceptable to reset the various set-points, nor to simulate an electric analog signal (unless approved as noted above).
b. ice.

c. High outside air temperatures can be simulated with a hair blower.

d. Do not use signal generators to simulate sensor signals unless approved by the Contracting Officer, as noted above, for special cases.

e. Control set points can be altered. For example, to see the air conditioning compressor lockout work at an outside air temperature below 55 degrees F, when the outside air temperature is above 55 degrees F, temporarily change the lockout set point to be 0 degrees F above the current outside air temperature. Caution: Set points are not to be raised or lowered to a point to cause damage to the components, systems, or the building structure and contents.

f. Test current sensing relays used for fan and pump status signals to control system to indicate unit failure and run status by resetting the set point on the relay to simulate a lost belt or unit failure while the unit is running. Confirm that the failure alarm was generated and received at the control system. After the test is conducted, return the set point to its original set-point or a set-point as indicated by the Contracting Officer.

3.7.5 Manufacturer's Representative

Provide a factory trained representative authorized by the equipment manufacturer to perform Functional Performance Testing for the following equipment:

Chillers

Ensure the test representative reviews, approves, and signs the completed field test report. Include person's name with signatures.

3.7.6 Sample Strategy

Perform Functional Performance Tests using the sample strategy identified herein. Test all central plant equipment. Complete a Functional Performance Test Checklist for each item of equipment or system to be tested. During testing, Government representatives may select the specific equipment or system to be tested for sample sizes less than 100 percent.

3.7.6.1 100 Percent Sample Procedures

Systems or equipment for which 100 percent sample size are tested fail if one or more of the test procedures results in discovery of a deficiency and the deficiency cannot be resolved within 5 minutes during the test.

Re-test to the extent necessary to confirm that the deficiencies have been corrected without negatively impacting the performance of the rest of the system.

3.8 RETESTING REQUIREMENTS

Abort tests if any deficiency prevents successful completion of the test or if any required commissioning team member is not present for the test. Re-test only after all deficiencies identified during the original tests have been corrected.

Contracting Officer may withhold payment equivalent to lost time, re-testing, and aborted tests. These costs may include salary, travel costs, and per diem for Government team members. Correct deficiencies as identified by the commissioning team and retest the systems to be commissioned.

3.9 SYSTEM ACCEPTANCE

Systems may be partially accepted prior to seasonal testing if they comply with all construction contract and accepted design requirements that can be tested during initial Functional Performance Tests. All test procedures must be successful completed prior to full systems acceptance.

3.10 FULL-LOAD TESTS

Perform Initial Functional Performance Tests as soon as all contract work is completed, but prior to facility turnover. In addition to the Initial Functional Performance Tests, perform Functional Performance Tests of HVAC systems under full-load conditions. Schedule Full-Load Functional Performance Tests in coordination with the Contracting Officer. Submit Full-Load Test Report within 14 days of test completion.

Execute full-load functional performance testing, witnessed by the Contracting Officer. Correct deficiencies and make adjustments to O&M manuals and as-built drawings for applicable issues identified in any full load testing.

3.11 TRAINING

The Mechanical Systems Technical Commissioning Specialist must review the training plan required by Section 01 78 23 OPERATION AND MAINTENANCE DATA and identify any deficiencies to the Contracting Officer's Representative and the Contractor's Quality Control Personnel.

Coordinate, schedule, and document all required training. At a minimum, include the following items in the training report for commissioned systems:

a. Complete commissioning documentation

b. Complete O&M data

c. Complete Training

d. Purpose of equipment.

e. Principle of how the equipment works.

f. Important parts and assemblies.

g. How the equipment achieves its purpose and necessary operating conditions.

h. Most likely failure modes, causes and corrections.

i. On site demonstration.

j. Provide updates to O&M manuals based on field modifications.

k. Provide training of the post-occupancy operations and maintenance staff.

3.12 COMMISSIONING REPORT

Include all completed Pre-Functional Checklists and Functional Performance Checklists in the Commissioning Report as specified in Section 01 91 00.15 BUILDING COMMISSIONING. Include the approved TAB Report.

-- End of Section --

SECTION 23 09 00

INSTRUMENTATION AND CONTROL FOR HVAC
08/24, CHG 1: 08/25

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system for all new equipment and seamlessly integrate into existing building DDC controls, suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as indicated and shown and in accordance with Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS, and other referenced Sections.

1.1.1 System Requirements

Provide systems meeting the requirements this Section and other Sections referenced by this Section, and which have the following characteristics:

a. The system implements the control sequences of operation shown in the Contract Drawings using DDC hardware to control mechanical and electrical equipment

b. The system meet the requirements of this specification as a stand-alone system and does not require connection to any other system.

c. Control sequences reside in DDC hardware in the building. The building control network is not dependent upon connection to a Utility Monitoring and Control System (UMCS) Front End or to any other system for performance of control sequences. To the greatest extent practical, the hardware performs control sequences without reliance on the building network.

d. The hardware is installed such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

e. All necessary documentation, configuration information, programming tools, programs, drivers, and other software are licensed to and otherwise remain with the Government such that the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

f. Sufficient documentation and data, including rights to documentation and data, are provided such that the Government or their agents can execute work to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

g. Hardware is installed and configured such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the Contractor, Vendor or Manufacturer.

1.1.2 End to End Accuracy

Select products, install and configure the system such that the maximum error of a measured value as read from the DDC Hardware over the network is less than the maximum allowable error specified for the sensor or instrumentation.

1.1.3 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.4 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere:

a. Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

b. Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC

c. Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS
d. Section 01 91 00.15 BUILDING COMMISSIONING

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2024; INT 1-3 2025; Errata 1 2025; INT 4-6 2025; Addenda CU 2025) BACnet—A Data Communication Protocol for Building Automation and Control Networks
ASHRAE FUN IP	(2025) Fundamentals Handbook, I-P Edition

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41	(1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code=
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

UL SOLUTIONS (UL)

UL 5085-3	(2006; Reprint Jan 2022) UL Standard for Safety Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

1.4 DEFINITIONS

The following list of definitions includes terms used in Sections referenced by this Section and are included here for completeness. The definitions contained in this Section may disagree with how terms are defined or used in other documents, including documents referenced by this Section. The definitions included here are the authoritative definitions for this Section and all Sections referenced by this Section.

Definitions including "(BACnet)" after the term are definitions derived from ASHRAE 135.

1.4.1 Alarm Generation

Alarm Generation is the monitoring of a value, comparison of the value to alarm conditions and the creation of an alarm when the conditions set for the alarm are met. Note that this does NOT include delivery of the alarm to the final destination (such as a user interface).

1.4.2 Building Automation and Control Network (BACnet) (BACnet)

The term BACnet is used in two ways. First meaning the BACnet Protocol Standard - the communication requirements as defined by ASHRAE 135 including all annexes and addenda. The second to refer to the overall technology related to the ASHRAE 135 protocol.

1.4.3 BACnet Advanced Application Controller (B-AAC) (BACnet)

A hardware device BTL Listed as a B-AAC, which is required to support BACnet Interoperability Building Blocks (BIBBs) for scheduling and alarming, but is not required to support as many BIBBs as a B-BC.

1.4.4 BACnet Application Specific Controller (B-ASC) (BACnet)

A hardware device BTL Listed as a B-ASC, with fewer BIBB requirements than a B-AAC. It is intended for use in a specific application.

1.4.5 BACnet Building Controller (B-BC) (BACnet)

A hardware device BTL Listed as a B-BC. A general-purpose, field-programmable device capable of carrying out a variety of building

automation and control tasks including control and monitoring via direct digital control (DDC) of specific systems and data storage for trend information, time schedules, and alarm data. Like the other BTL Listed controller types (B-AAC, B-ASC etc.) a B-BC device is required to support the server ("B") side of the ReadProperty and WriteProperty services, but unlike the other controller types it is also required to support the client ("A") side of these services. Communication between controllers requires that one of them support the client side and the other support the server side, so a B-BC is often used when communication between controllers is needed.

1.4.6 BACnet Broadcast Management Device (BBMD) (BACnet)

A communications device, typically combined with a BACnet router. A BBMD forwards BACnet broadcast messages to BACnet/IP devices and other BBMDs connected to the same BACnet/IP network. Each IP subnet that is part of a BACnet/IP network must have at least one BBMD. Note there are additional restrictions when multiple BBMDs share an IP subnet.

1.4.7 BACnet/IP (BACnet)

An extension of BACnet, Annex J, defines the use of a reserved UDP socket to transmit BACnet messages over IP networks. A BACnet/IP network is a collection of one or more IP subnets that share the same BACnet network number. See also paragraph BACNET BROADCAST MANAGEMENT DEVICE.

1.4.8 BACnet Internetwork (BACnet)

Two or more BACnet networks, connected with BACnet routers. In a BACnet Internetwork, there exists only one message path between devices.

1.4.9 BACnet Interoperability Building Blocks (BIBBs) (BACnet)

A BIBB is a collection of one or more ASHRAE 135 Services intended to define a higher level of interoperability. BIBBs are combined to build the BACnet functional requirements for a device in a specification. Some BIBBs define additional requirements (beyond requiring support for specific services) in order to achieve a level of interoperability. For example, the BIBB DS-V-A (Data Sharing-View-A), which would typically be used by a front-end, not only requires the client to support the ReadProperty Service, but also provides a list of data types (Object / Properties) which the client must be able to interpret and display for the user.

In the BIBB shorthand notation, -A is the client side and -B is the server side.

The following is a list of some BIBBs used by this or referenced Sections:	
DS-COV-A	Data Sharing-Change of Value (A side)
DS-COV-B	Data Sharing-Change of Value (B side)
NM-RC-B	Network Management-Router Configuration (B side)
DS-RP-A	Data Sharing-Read Property (A side)

The following is a list of some BIBBs used by this or referenced Sections:	
DS-RP-B	Data Sharing-Read Property (B side)
DS-RPM-A	Data Sharing-Read Property Multiple (A Side)
DS-RPM-B	Data Sharing-Read Property Multiple (B Side)
DS-WP-A	Data Sharing-Write Property (A Side)
DM-TS-B	Device Management-Time Synchronization (B Side)
DM-UTC-B	Device Management-UTC Time Synchronization (B Side)
DS-WP-B	Data Sharing-Write Property (B side)
SCHED-E-B	Scheduling-External (B side)
DM-OCD-B	Device Management-Object Creation and Deletion (B side)
AE-N-I-B	Alarm and Event-Notification Internal (B Side)
AE-N-E-B	Alarm and Event-Notification External (B Side)
T-VMT-I-B	Trending-Viewing and Modifying Trends Internal (B Side)
T-VMT-E-B	Trending-Viewing and Modifying Trends External (B Side)

1.4.10 BACnet Network (BACnet)

In BACnet, a portion of the control Internetwork consisting of one or more segments connected by repeaters. Networks are separated by routers.

1.4.11 BACnet Operator Display (B-OD) (BACnet)

A basic operator interface with limited capabilities relative to a B-OWS. It is not intended to perform direct digital control. A B-OD profile could be used for LCD devices, displays affixed to BACnet devices, handheld terminals or other very simple user interfaces.

1.4.12 BACnet Segment (BACnet)

One or more physical segments interconnected by repeaters (ASHRAE 135).

1.4.13 BACnet Smart Actuator (B-SA) (BACnet)

A simple actuator device with limited resources intended for specific applications.

1.4.14 BACnet Smart Sensor (B-SS) (BACnet)

A simple sensing device with limited resources.

1.4.15 BACnet Testing Laboratories (BTL) (BACnet)

Established by BACnet International to support compliance testing and

interoperability testing activities and consists of BTL Manager and the BTL Working Group (BTL-WG). BTL also publishes Implementation Guidelines.

1.4.16 BACnet Testing Laboratories (BTL) Listed (BACnet)

A device that has been listed by BACnet Testing Laboratory. Devices may be certified to a specific device profile, in which case the listing indicates that the device supports the required capabilities for that profile, or may be listed as "other".

1.4.17 Binary

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.4.18 Broadcast

Unlike most messages, which are intended for a specific recipient device, a broadcast message is intended for all devices on the network.

1.4.19 Building Control Network (BCN)

The network connecting all DDC Hardware within a building (or specific group of buildings).

1.4.20 Building Point of Connection (BPOC)

A FPOC for a Building Control System. (This term is being phased out of use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware.)

1.4.21 Commandable

See Overridable.

1.4.22 Commandable Objects (BACnet)

Commandable Objects have a Commandable Property, Priority_Array, and Relinquish_Default Property as defined in ASHRAE 135, Clause 19.2, Command Prioritization.

1.4.23 Configurable

A property, setting, or value is configurable if it can be changed via hardware settings on the device, via the use of engineering software or over the control network from the front end, and is retained through (after) loss of power.

a. In a BACnet system, a property, setting, or value is configurable if it can be changed via one or more of:
   (1) via BACnet services (including proprietary BACnet services)
   (2) via hardware settings on the device

Note this is more stringent than the ASHRAE 135 definition.

1.4.24 Control Logic Diagram

A graphical representation of control logic for multiple processes that make up a system.

1.4.25 Device (BACnet)

A Digital Controller that contains a BACnet Device Object and uses BACnet to communicate with other devices.

1.4.26 Device Object (BACnet)

Every BACnet device requires one Device Object, whose properties represent the network visible properties of that device. Every Device Object requires a unique Object Identifier number on the BACnet Internetwork. This number is often referred to as the device instance or device ID.

1.4.27 Device Profile (BACnet)

A collection of BIBBs determining minimum BACnet capabilities of a device, defined in ASHRAE 135. Standard device profiles include BACnet Advanced Workstations (B-AWS), BACnet Building Controllers (B-BC), BACnet Advanced Application Controllers (B-AAC), BACnet Application Specific Controllers (B-ASC), BACnet Smart Actuator (B-SA), and BACnet Smart Sensor (B-SS).

1.4.28 Digital Controller

An electronic controller, usually with internal programming logic and digital and analog input/output capability, which performs control functions.

1.4.29 Direct Digital Control (DDC)

Digital controllers performing control logic. Usually the controller directly senses physical values, makes control decisions with internal programs, and outputs control signals to directly operate switches, valves, dampers, and motor controllers.

1.4.30 Field Point of Connection (FPOC)

The FPOC is the point of connection between the UMCS IP Network and the field control network (either an IP network, a non-IP network, or a combination of both). The hardware at this location which provides the connection is generally an IT device such as a switch, IP router, or firewall.

In general, the term "FPOC Location" means the place where this connection occurs, and "FPOC Hardware" means the device that provides the connection. Sometimes the term "FPOC" is used to mean either and its actual meaning (i.e. location or hardware) is determined by the context in which it is used.

1.4.31 Gateway

A device that translates from one protocol application data format to another. Devices that change only the transport mechanism of the protocol - "translating" from TP/FT-10 to Ethernet/IP or from BACnet MS/TP to BACnet over IP for example - are not gateways as the underlying data format does not change. Gateways are also called Communications Bridges or

Protocol Translators. Some devices contain bith gateway and control functions; these devices are considered both gateways and digital controllers and must meet the requirements for both.

1.4.32 IEEE 802.3 Ethernet

A family of local-area-network technologies providing high-speed networking features over various media, typically Cat 5, 5e or Cat 6 twisted pair copper or fiber optic cable.

1.4.33 Internet Protocol (IP, TCP/IP, UDP/IP)

A communication method, the most common use is the World Wide Web. At the lowest level, it is based on Internet Protocol (IP), a method for conveying and routing packets of information over various LAN media. Two common protocols using IP are User Datagram Protocol (UDP) and Transmission Control Protocol (TCP). UDP conveys information to well-known "sockets" without confirmation of receipt. TCP establishes connections, also known as "sessions", which have end-to-end confirmation and guaranteed sequence of delivery.

1.4.34 Input/Output (I/O)

Physical inputs and outputs to and from a device, although the term sometimes describes network or "virtual" inputs or outputs. See also "Points".

1.4.35 I/O Expansion Unit

An I/O expansion unit provides additional point capacity to a digital controller

1.4.36 IP subnet

A group of devices which share a defined range IP addresses. Devices on a common IP subnet can share data (including broadcasts) directly without the need for the traffic to traverse an IP router.

1.4.37 Local-Area Network (LAN)

A communication network that spans a limited geographic area and uses the same basic communication technology throughout.

1.4.38 Local Display Panels (LDPs)

A DDC Hardware with a display and navigation buttons, and must provide display and adjustment of points as shown on the Points Schedule and as indicated.

1.4.39 MAC Address

Media Access Control address. The physical device address that identifies a device on a Local Area Network.

1.4.40 Multidrop Serial Bus/Token-Passing (MS/TP) (BACnet)

Data link protocol as defined by the BACnet standard. Multiple speeds

(data rates) are permitted by the BACnet MS/TP standard.

1.4.41 Monitoring and Control (M&C) Software

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.4.42 Network Number (BACnet)

A site-specific number assigned to each network. This network number must be unique throughout the BACnet Internetwork.

1.4.43 Object (BACnet)

An ASHRAE 135 Object. The concept of organizing BACnet information into standard components with various associated Properties. Examples include Analog Input objects and Binary Output objects.

1.4.44 Object Identifier (BACnet)

A grouping of two Object properties: Object Type (e.g. Analog Value, Schedule, etc.) and Object Instance (in this case, a number). Object Identifiers must be unique within a device.

1.4.45 Object Instance (BACnet)

See paragraph OBJECT IDENTIFIER

1.4.46 Object Properties (BACnet)

Attributes of an object. Examples include present value and high limit properties of an analog input object. Properties are defined in ASHRAE 135; some are optional and some are required. Objects are controlled by reading from and writing to object properties.

1.4.47 Operator Configurable

Operator configurable values are values that can be changed from a single common front end user interface across multiple vendor systems.

For non Niagara-based BACnet systems, a property, setting, or value in a device is Operator Configurable when it is Configurable and is either:

a. a Writable Property of a Standard BACnet Object; or

b. a Property of a Standard BACnet Object that is Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable.

1.4.48 Overridable (Point)

A point is Overridable if it can be Overriden (See Override).

1.4.49 Override

Changing the value of a point outside of the normal sequence of operation where the change has priority over the sequence and where there is a mechanism for releasing the change such that the point returns to the normal value. Overrides persist until released or overridden at the same

or higher priority but are not required to persist through a loss of power. Overrides are often used by operators to change values, and generally originate at a user interface (workstation or local display panel).

1.4.50 Packaged Equipment

Packaged equipment is a single piece of equipment provided by a manufacturer in a substantially complete and operable condition, where the controls (DDC Hardware) are factory installed, and the equipment is sold and shipped from the manufacturer as a single entity. Disassembly and reassembly of a large piece of equipment for shipping does not prevent it from being packaged equipment. Package units may require field installation of remote sensors. Packaged equipment is also called a "packaged unit".

Note industry may use the term "Packaged System" to mean a collection of equipment that is designed to work together where each piece of equipment is packaged equipment and there is a network that connects the equipment together. A "packaged system" of this type is NOT packaged equipment; it is a collection of packaged equipment, and each piece of equipment must individually meet specification requirements.

1.4.51 Packaged Unit

See packaged equipment.

1.4.52 Performance Verification Test (PVT)

The procedure for determining if the installed BAS meets design criteria prior to final acceptance. The PVT is performed after installation, testing, and balancing of mechanical systems. Typically the PVT is performed by the Contractor in the presence of the Government.

1.4.53 Physical Segment (BACnet)

A single contiguous medium to which BACnet devices are attached (ASHRAE 135).

1.4.54 Polling

A device periodically requesting data from another device.

1.4.55 Points

Physical inputs and outputs, and virtual values read from or written to a controller over the the network. See also paragraph INPUT/OUTPUT (I/O).

1.4.56 Proportional, Integral, and Derivative (PID) Control Loop

A closed-loop control algorithm calculating control output based using the error between a process variable and setpoint. The proportional control component adjusts control output based on the current error, the integral component adjusts based on a sum of errors over time, and the derivative component adjusts based on the rate of change of the errors. Not all components are employed in all implementation of a PID loop, and when a component is not used the corresponding letter is generally dropped from the abbreviation used for that control. For example derivative control is often not required for HVAC systems, leaving "PI" control.

1.4.57 Proprietary (BACnet)

Within the context of BACnet, any extension of or addition to object types, properties, PrivateTransfer services, or enumerations specified in ASHRAE 135. Objects with Object_Type values of 128 and above are Proprietary Objects. Properties with Property_Identifier of 512 and above are proprietary Properties.

1.4.58 Protocol Implementation Conformance Statement (PICS) (BACnet)

A document, created by the manufacturer of a device, which describes which portions of the BACnet standard may be implemented by a given device. ASHRAE 135 requires that all ASHRAE 135 devices have a PICS, and also defines a minimum set of information that must be in it. A device as installed for a specific project may not implement everything in its PICS.

1.4.59 Repeater

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.4.60 Router

Generically, a Router is a device that connects two or more networks and controls traffic between them by retransmitting signals received from one network onto another based on the signal destination.

As used in this Section, a Router connects two or more ASHRAE 135 networks and controls traffic between them by retransmitting signals received from one network onto another based on the signal destination. Routers are used to subdivide a BACnet internetwork and to limit network traffic.

1.4.61 Segment

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type.

1.4.62 Standard BACnet Objects (BACnet)

Objects with Object_Type values below 128 and specifically enumerated in Clause 21 of ASHRAE 135. Objects which are not proprietary. See paragraph PROPRIETARY.

1.4.63 Standard BACnet Properties (BACnet)

Properties with Property_Identifier values below 512 and specifically enumerated in Clause 21 of ASHRAE 135. Properties which are not proprietary. See Proprietary.

1.4.64 Standard BACnet Services (BACnet)

ASHRAE 135 services other than ConfirmedPrivateTransfer or UnconfirmedPrivateTransfer. See paragraph PROPRIETARY.

1.4.65 UMCS

UMCS stands for Utility Monitoring and Control System. The term refers to

all components by which a project site monitors, manages, and controls real-time operation of HVAC and other building systems. These components include the UMCS "front-end" and all field building control systems connected to the front-end. The front-end consists of Monitoring and Control Software (user interface software), browser-based user interfaces and network infrastructure.

The network infrastructure (the "UMCS Network"), is an IP network connecting multiple building or facility control networks to the Monitoring and Control Software.

1.4.66 UMCS Network

The UMCS Network connects multiple building or facility control networks to the Monitoring and Control Software.

1.4.67 Writable Property (BACnet)

A Property is Writable when it can be changed through the use of one or more of the WriteProperty services defined in ASHRAE 135, Clause 15 regardless of the value of any other Property. Note that in the ASHRAE 135 standard, some Properties may be writable when the Out of Service Property is TRUE; for purposes of this Section, Properties that are only writable when the Out of Service Property is TRUE are not considered to be Writable.

1.5 PROJECT SEQUENCING

TABLE II: PROJECT SEQUENCING lists the sequencing of submittals as specified in paragraph SUBMITTALS (denoted by an 'S' in the 'TYPE' column) and activities as specified in PART 3 EXECUTION (denoted by an 'E' in the 'TYPE' column). TABLE II does not specify overall project milestone and completion dates.

a. Sequencing for Submittals: The sequencing specified for submittals is the deadline by which the submittal must be initially submitted to the Government. Following submission there will be a Government review period as specified in Section 01 33 00 SUBMITTAL PROCEDURES. If the submittal is not accepted by the Government, revise the submittal and resubmit it to the Government within 14 days of notification that the submittal has been rejected. Upon resubmittal there will be an additional Government review period. If the submittal is not accepted the process repeats until the submittal is accepted by the Government.

b. Sequencing for Activities: The sequencing specified for activities indicates the earliest the activity may begin.

c. Abbreviations: In TABLE II the abbreviation AAO is used for 'after approval of' and 'ACO' is used for 'after completion of'.

TABLE II. PROJECT SEQUENCING

ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR SUBMITTAL)
1	S	Existing Conditions Report	

TABLE II. PROJECT SEQUENCING			
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR SUBMITTAL)
2	S	DDC Contractor Design Drawings	
3	S	Manufacturer's Product Data	
4	S	Pre-construction QC Checklist	
5	E	Install Building Control System	AAO #1 thru #4
6	E	Start-Up and Start-Up Testing	ACO #5
7	S	Post-Construction QC Checklist	14 days ACO #6
8	S	Programming Software Configuration Software	28 days ACO #6
9	S	Draft As-Built Drawings	28 days ACO #6
10	S	Start-Up Testing Report	28 days ACO #6
11	S	PVT Procedures	14before schedule start of #12 and AAO #10
12	S,E	PVT Testing Activities	As indicated in PART 3 of this Section
13	S	PVT Report	As indicated in PART 3 of this Section
14	S	Controller Application Programs Controller Configuration Settings	14 days AAO #13

TABLE II. PROJECT SEQUENCING			
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR SUBMITTAL)
15	S	Final As-Built Drawings	14 days AAO #13
16	S	O&M Instructions	AAO #15
17	S	Training Documentation	AAO #10 and 28 days before scheduled start of #18
18	E	Training	AAO #16 and #17
19	S	Closeout QC Checklist	ACO #18

1.6 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

DDC Contractor Design Drawings; G

Draft As-Built Drawings; G

Final As-Built Drawings; G

SD-03 Product Data

Programming Software; G

Controller Application Programs; G

Configuration Software; G

Controller Configuration Settings; G

Manufacturer's Product Data; G

SD-05 Design Data

Boiler Or Chiller Plant Gateway Request

SD-06 Test Reports

Pre-Construction Quality Control (QC) Checklist; G

Post-Construction Quality Control (QC) Checklist; G

Start-Up Testing Report; G

Control Contractor s Performance Verification Testing Plan; G

Equipment Supplier s Performance Verification Testing Plan; G

Endurance Testing Results; G

Performance Verification Test Report; G

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G

Training Documentation; G

SD-11 Closeout Submittals

Enclosure Keys; G

Password Summary Report; G

Closeout Quality Control (QC) Checklist; G

1.7 DATA PACKAGE AND SUBMITTAL REQUIREMENTS

Technical data packages consisting of technical data and computer software (meaning technical data which relates to computer software) which are specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All submittals not specified as technical data packages are considered 'shop drawings' under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and be delivered with unrestricted rights.

1.8 SOFTWARE FOR DDC HARDWARE AND GATEWAYS

Provide all software related to the programming and configuration of DDC Hardware and Gateways as indicated. License all Software to the project site. The term "controller" as used in these requirements means both DDC Hardware and Gateways.

1.8.1 Configuration Software

For each type of controller, provide the configuration tool software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of the software user manuals for each software with the software submittal.

Submit Configuration Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.8.2 Controller Configuration Settings

For each controller, provide copies of the installed configuration settings as source code compatible with the configuration tool software for that controller in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Configuration Settings on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which files are associated with each device. Submit 2 copies of the Controller Configuration Settings CD-ROM.

1.8.3 Programming Software

For each type of programmable controller, provide the programming software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of software user manuals for each software with the software submittal.

Submit Programming Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.8.4 Controller Application Programs

For each programmable controller, provide copies of the application program as source code compatible with the programming software for that controller in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Application Programs on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which application program is associated with each device. Submit 2 copies of the Controller Application Programs CD-ROM.

1.9 BOILER OR CHILLER PLANT GATEWAY REQUEST

If requesting the use of a gateway to a boiler or chiller plant as indicated in paragraph Proprietary Systems Exempted From Open Protocol Requirements, submit a Boiler or Chiller Plant Gateway Request describing the configuration of the boilers or chillers including model numbers for equipment and controllers, the sequence of operation for the units, and a justification for the need to operate the units on a shared non-BACnet network.

1.10 QUALITY CONTROL CHECKLISTS

The QC Checklist for BACnet Systems in APPENDIX A of this Section must be

completed by the Contractor's Chief Quality Control (QC) Representative and submitted as indicated.

The QC Representative must verify each item indicated and initial in the space provided to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.10.1 Pre-Construction Quality Control (QC) Checklist

Complete items indicated as Pre-Construction QC Checklist items in the QC Checklist. Submit four copies of the Pre-Construction QC Checklist.

1.10.2 Post-Construction Quality Control (QC) Checklist

Complete items indicated as Post-Construction QC Checklist items in the QC Checklist. Submit four copies of the Post-Construction QC Checklist.

1.10.3 Closeout Quality Control (QC) Checklist

Complete items indicated as Closeout QC Checklist items in the QC Checklist. Submit four copies of the Closeout QC Checklist.

PART 2 PRODUCTS

Provide products meeting the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet or Niagara BACnet systems, other referenced Sections, and this Section.

2.1 GENERAL PRODUCT REQUIREMENTS

Units of the same type of equipment must be products of a single manufacturer. Each major component of equipment must have the manufacturer's name and address, and the model and serial number in a conspicuous place. Materials and equipment must be standard products of a manufacturer regularly engaged in the manufacturing of these and similar products. The standard products must have been in a satisfactory commercial or industrial use for two years prior to use on this project. The two year use must include applications of equipment and materials under similar circumstances and of similar size. DDC Hardware not meeting the two-year field service requirement is acceptable provided it has been successfully used by the Contractor in a minimum of two previous projects. The equipment items must be supported by a service organization. Items of the same type and purpose must be identical, including equipment, assemblies, parts and components.

2.2 PRODUCT DATA

Provide manufacturer's product data sheets documenting compliance with product specifications for each product provided under Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, or this Section. Provide product data for all products in a single indexed compendium, organized by product type.

For each manufacturer, model and version (revision) of DDC Hardware provide the Protocol Implementation Conformance Statement (PICS) in

accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.3 OPERATION ENVIRONMENT

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

a. Pressure: Pressure conditions normally encountered in the installed location.

b. Vibration: Vibration conditions normally encountered in the installed location.

c. Temperature:

(1) Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.

(2) Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

d. Humidity: 10 to 95 percent relative humidity, noncondensing and humidity conditions outside this range normally encountered at the installed location.

2.4 WIRELESS CAPABILITY

Wireless capabilities must comply with Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS

2.5 ENCLOSURES

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

2.5.1 Outdoors

For enclosures located outdoors, provide enclosures meeting NEMA 250 Type 3 requirements.

2.5.2 Mechanical and Electrical Rooms

For enclosures located in mechanical or electrical rooms, provide enclosures meeting NEMA 250 Type 2 requirements.

2.5.3 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

2.6 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.6.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.6.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.6.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.6.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.6.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

PART 3 EXECUTION

3.1 INSTALLATION

Fully install and test the control system in accordance Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, and this Section.

3.1.1 Dielectric Isolation

Provide dielectric isolation where dissimilar metals are used for connection and support. Install control system in a matter that provides clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Install control system such that it does not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.2 Penetrations in Building Exterior

Make all penetrations through and mounting holes in the building exterior watertight.

3.1.3 Device Mounting Criteria

Install devices in accordance with the manufacturer's recommendations and as indicated and shown. Provide a weathershield for all devices installed outdoors. Provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Provide clearance for mechanical and electrical system maintenance; do not not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.4 Labels and Tags

Key all labels and tags to the unique identifiers shown on the As-Built drawings. For labels exterior to protective enclosures provide engraved plastic labels mechanically attached to the enclosure or DDC Hardware. Labels inside protective enclosures may be attached using adhesive, but must not be hand written. For tags, provide plastic or metal tags mechanically attached directly to each device or attached by a metal chain or wire.

a. Label all Enclosures and DDC Hardware.

b. Tag Airflow measurement arrays (AFMA) with flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient.

c. Tag duct static pressure taps at the location of the pressure tap

3.1.5 Surge Protection

3.1.5.1 Power-Line Surge Protection

Protect equipment connected to AC circuits to withstand power-line surges in accordance with IEEE C62.41. Do not use fuses for surge protection.

3.1.5.2 Surge Protection for Transmitter and Control Wiring

Protect DDC hardware against or provided DDC hardware capable of withstanding surges induced on control and transmitter wiring installed outdoors and as shown. Protect equipment against the following two waveforms:

a. A waveform with a 10-microsecond rise time, a 1000-microsecond decay time and a peak current of 60 amps.

b. A waveform with an 8-microsecond rise time, a 20-microsecond decay

time and a peak current of 500 amperes.

3.1.6 Basic Cybersecurity Requirements

3.1.6.1 Passwords

Password development and the Password Summary Report submittal must comply with Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS.

3.1.6.2 Wireless Capability

Wireless capabilities must comply with Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS.

3.1.6.3 IP Network Physical Security

IP network physical security must comply with Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS.

3.2 DRAWINGS AND CALCULATIONS

Provide drawings in the form and arrangement indicated and shown. Use the same abbreviations, symbols, nomenclature and identifiers shown. Assign a unique identifier as shown to each control system element on a drawing. When packaging drawings, group schedules by system. When space allows, it is permissible to include multiple schedules for the same system on a single sheet. Except for drawings covering all systems, do not put information for different systems on the same sheet.

Submit hardcopy drawings on A3 17 by 11 inches sheets, and electronic drawings in PDF and in AutoCAD format. In addition, submit electronic drawings in editable Excel format for all drawings that are tabular, including but not limited to the Point Schedule and Equipment Schedule.

a. Submit DDC Contractor Design Drawings consisting of each drawing indicated with pre-construction information depicting the intended control system design and plans. Submit DDC Contractor Design Drawings as a single complete package: 3 hard copies.

b. Submit Draft As-Built Drawings consisting of each drawing indicated updated with as-built data for the system prior to PVT. Submit Draft As-Built Drawings as a single complete package: 3 hard copies.

c. Submit Final As-Built Drawings consisting of each drawing indicated updated with all final as-built data. Final As-Built Drawings as a single complete package: 3 hard copies.

3.2.1 Sample Drawings

Sample drawings in electronic format are available at the Whole Building Design Guide page for this section:
https://www.wbdg.org/dod/ufgs/ufgs-23-09-00
These drawings may prove useful in demonstrating expected drawing formatting and example content and are provided for illustrative purposes only. Note that these drawings do not meet the content requirements of this Section and must be completed to meet project requirements.

3.2.2 Drawing Index and Legend

Provide an HVAC Control System Drawing Index showing the name and number of the building, military site, State or other similar designation, and Country. In the Drawing Index, list all Contractor Design Drawings, including the drawing number, sheet number, drawing title, and computer filename when used. In the Design Drawing Legend, show and describe all symbols, abbreviations and acronyms used on the Design Drawings. Provide a single Index and Legend for the entire drawing package.

3.2.3 Thermostat and Occupancy Sensor Schedule

Provide a thermostat schedule containing each thermostat's unique identifier, room identifier and control features and functions as shown. Provide a single thermostat schedule for the entire project.

3.2.4 Valve Schedule

Provide a valve schedule containing each valve's unique identifier, size, flow coefficient Kv (Cv), pressure drop at specified flow rate, spring range, positive positioner range, actuator size, close-off pressure to torque data, dimensions, and access and clearance requirements data. In the valve schedule include actuator selection data supported by calculations of the force required to move and seal the valve, access and clearance requirements. Provide a single valve schedule for the entire project.

3.2.5 Project Summary Equipment Schedule

Provide a project summary equipment schedule containing the manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a single project equipment schedule for the entire project.

3.2.6 Equipment Schedule

Provide system equipment schedules containing the unique identifier, manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a separate equipment schedule for each HVAC system.

3.2.7 Occupancy Schedule

Provide an occupancy schedule drawing containing the same fields as the occupancy schedule Contract Drawing with Contractor updated information. Provide a single occupancy schedule for the entire project.

3.2.8 DDC Hardware Schedule

Provide a single DDC Hardware Schedule for the entire project and including following information for each device.

3.2.8.1 DDC Hardware Identifier

The Unique DDC Hardware Identifier for the device.

3.2.8.2 HVAC System

The system "name" used to identify a specific system (the name used on the

system schematic drawing for that system).

3.2.8.3 BACnet Device Information

3.2.8.3.1 Device Object Identifier

The Device Object Identifier: The Object_Identifier of the Device Object

3.2.8.3.2 Network Number

The Network Number for the device.

3.2.8.3.3 MAC Address

The MAC Address for the device

3.2.8.3.4 BTL Listing

The BTL Listing of the device. If the device is listed under multiple BTL Profiles, indicate the profile that matches the use and configuration of the device as installed.

3.2.8.3.5 Proprietary Services Information

If the device uses non-standard ASHRAE 135 services as defined and permitted in Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, indicate that the device uses non-standard services and include a description of all non-standard services used. Describe usage and content such that a device from another vendor can interoperate with the device using the non-standard service. Provide descriptions with sufficient detail to allow a device from a different manufacturer to be programmed to both read and write the non-standard service request:

a. read: interpret the data contained in the non-standard service and;

b. write: given similar data, generate the appropriate non-standard service request.

3.2.8.3.6 Alarming Information

Indicate whether the device is used for alarm generation, and which types of alarm generation the device implements: intrinsic, local algorithmic, remote algorithmic.

3.2.8.3.7 Scheduling Information

Indicate whether the device is used for scheduling.

3.2.8.3.8 Trending Information

Indicate whether the device is used for trending, and indicate if the device is used to trend local values, remote values, or both.

3.2.9 Points Schedule

Provide a Points Schedule in tabular form for each HVAC system, with the indicated columns and with each row representing a hardware point, network

point or configuration point in the system.

a. When a Points Schedule was included in the Contract Drawing package, use the same fields as the Contract Drawing with updated information in addition to the indicated fields.

b. When Point Schedules are included in the contract package, items requiring contractor verification or input have been shown in angle brackets ("<" and ">"), such as <___> for a required entry or <value> for a value requiring confirmation. Complete all items in brackets as well as any blank cells. Do not modify values which are not in brackets without approval.

Points Schedule Columns must include:

3.2.9.1 Point Name

The abbreviated name for the point using the indicated naming convention.

3.2.9.2 Description

A brief functional description of the point such as "Supply Air Temperature".

3.2.9.3 DDC Hardware Identifier

The Unique DDC Hardware Identifier shown on the DDC Hardware Schedule and used across all drawings for the DDC Hardware containing the point.

3.2.9.4 Settings

The value and units of any setpoints, configured setpoints, configuration parameters, and settings related to each point.

3.2.9.5 Range

The range of values, including units, associated with the point, including but not limited to a zone temperature setpoint adjustment range, a sensor measurement range, occupancy values for an occupancy input, or the status of a safety.

3.2.9.6 Input or Output (I/O) Type

The type of input or output signal associated with the point. Use the following abbreviations for entries in this column:

a. AI: The value comes from a hardware (physical) Analog Input

b. AO: The value is output as a hardware (physical) Analog Output

c. BI: The value comes from a hardware (physical) Binary Input

d. BO: The value is output as a hardware (physical) Binary Output

e. PULSE: The value comes from a hardware (physical) Pulse Accumulator Input

f. NET-IN: The value is provided from the network (generally from another device). Use this entry only when the value is received from

another device as part of scheduling or as part of a sequence of operation, not when the value is received on the network for supervisory functions such as trending, alarming, override or display at a user interface.

g. NET-OUT: The value is provided to another controller over the network. Use this entry only when the value is transmitted to another device as part of scheduling or as part of a sequence of operation, not when the value is transmitted on the network for supervisory functions such as trending, alarming, override or display at a user interface.

3.2.9.7 Object and Property Information

The Object Type and Instance Number for the Object associated with the point. If the value of the point is not in the Present_Value Property, then also provide the Property ID for the Property containing the value of the point. Any point that is displayed at the front end or on an LDP, is trended, is used by another device on the network, or has an alarm condition must be documented here.

3.2.9.8 Network Data Exchange Information (Gets Data From, Sends Data To)

Provide the DDC Hardware Identifier of other DDC Hardware the point is shared with.

3.2.9.9 Override Information (Object Type and Instance Number)

For each point requiring an Override, indicate if the Object for the point is Commandable or, if the use of a separate Object was specifically approved by the Contracting Officer, provide the Object Type and Instance Number of the Object to be used in overriding the point.

3.2.9.10 Trend Object Information

For each point requiring a trend, indicate if the trend is Local or Remote, the trend Object type and the trend Object instance number. For remote trends provide the DDC Hardware Identifier for the device containing the trend Object in the Points Schedule notes.

3.2.9.11 Alarm Information

Indicate the Alarm Generation Type, Event Enrollment Object Instance Number, and Notification Class Object Instance Number for each point requiring an alarm. (Note that not all alarms will have Event Enrollment Objects.)

3.2.9.12 Configuration Information

Indicate the means of configuration associated with each point.

a. For Operator Configurable Points indicate BACnet Object and Property information (Name, Type, Identifiers) containing the configurable value. Indicate whether the property is writable always, or only when Out_Of_Service is TRUE.

b. For Configurable Points indicate the BACnet Object and Property

information as for Operator Configurable points, or identification of the configurable settings from within the engineering software for the device or identification of the hardware settings on the device.

3.2.10 Riser Diagram

The Riser Diagram of the Building Control Network may be in tabular form, and must show all DDC Hardware and all Network Hardware, including network terminators. For each item, provide the unique identifier, common descriptive name, physical sequential order (previous and next device on the network), room identifier and location within room. A single riser diagram must be submitted for the entire system.

3.2.11 Control System Schematics

Provide control system schematics in the same form as the control system schematic Contract Drawing with Contractor updated information. Provide a control system schematic for each HVAC system.

3.2.12 Sequences of Operation Including Control Logic Diagrams

Provide HVAC control system sequence of operation in the same format as the Contract Drawings. Within these drawings, refer to devices by their unique identifiers. Submit sequences of operation for each HVAC system

3.2.13 Controller, Motor Starter and Relay Wiring Diagram

Provide controller wiring diagrams as functional wiring diagrams which show the interconnection of conductors and cables to each controller and to the identified terminals of input and output devices, starters and package equipment. Show necessary jumpers and ground connections and the labels of all conductors. Identify sources of power required for control systems and for packaged equipment control systems back to the panel board circuit breaker number, controller enclosures, magnetic starter, or packaged equipment control circuit. Show each power supply and transformer not integral to a controller, starter, or packaged equipment. Show the connected volt-ampere load and the power supply volt-ampere rating. Provide wiring diagrams for each HVAC system.

3.3 CONTROLLER TUNING

Tune each controller in a manner consistent with that described in the ASHRAE FUN IP and in the manufacturer's instruction manual. Tuning must consist of adjustment of the proportional, integral, and where applicable, the derivative (PID) settings to provide stable closed-loop control. Each loop must be tuned while the system or plant is operating at a high gain (worst case) condition, where high gain can generally be defined as a low-flow or low-load condition. Upon final adjustment of the PID settings, in response to a change in controller setpoint, the controlled variable must settle out at the new setpoint with no more than two (2) oscillations above and below setpoint. Upon settling out at the new setpoint the controller output must be steady. With the exception of naturally slow processes such as zone temperature control, the controller must settle out at the new setpoint within five (5) minutes. Set the controller to its correct setpoint and record and submit the final PID configuration settings with the O&M Instructions and on the associated Points Schedule.

3.4 START-UP

3.4.1 Start-Up Test

Perform the following startup tests for each control system to ensure that the described control system components are installed and functioning per this specification.

Adjust, calibrate, measure, program, configure, set the time schedules, and otherwise perform all necessary actions to ensure that the systems function as indicated and shown in the sequence of operation and other contract documents.

3.4.1.1 Systems Check

An item-by-item check must be performed for each HVAC system

3.4.1.1.1 Step 1 - System Inspection

With the system in unoccupied mode and with fan hand-off-auto switches in the OFF position, verify that power and main air are available where required and that all output devices are in their failsafe and normal positions. Inspect each local display panel to verify that all displays indicate shutdown conditions.

3.4.1.1.2 Step 2 - Calibration Accuracy Check

Perform a two-point accuracy check of the calibration of each HVAC control system sensing element and transmitter by comparing the value from the test instrument to the network value provided by the DDC Hardware. Use digital indicating test instruments, such as digital thermometers, motor-driven psychrometers, and tachometers. Use test instruments with accuracy at least twice as accurate as the specified sensor accuracy and with calibration traceable to National Institute of Standards and Technology standards. Check one the first check point in the bottom one-third of the sensor range, and the second in the top one-third of the sensor range. Verify that the sensing element-to-DDC readout accuracies at two points are within the specified product accuracy tolerances, and if not recalibrate or replace the device and repeat the calibration check.

3.4.1.1.3 Step 3 - Actuator Range Check

With the system running, apply a signal to each actuator through the DDC Hardware controller. Verify proper operation of the actuators and positioners for all actuated devices and record the signal levels for the extreme positions of each device. Vary the signal over its full range, and verify that the actuators travel from zero stroke to full stroke within the signal range. Where applicable, verify that all sequenced actuators move from zero stroke to full stroke in the proper direction, and move the connected device in the proper direction from one extreme position to the other. For valve actuators and damper actuators, perform the actuator range check under normal system pressures.

3.4.1.2 Weather Dependent Test

Perform weather dependent test procedures in the appropriate climatic season.

3.4.2 Start-Up Testing Report

Submit 4 copies of the Start-Up Testing Report. The report may be submitted as a Technical Data Package documenting the results of the tests performed and certifying that the system is installed and functioning per this specification, and is ready for the Performance Verification Test (PVT).

3.5 PERFORMANCE VERIFICATION TESTING

3.5.1 General

PVT testing must demonstrate compliance of controls work with contract document requirements and must be performed by the Controls Contractor and Equipment Suppliers. No less than 14 calendar days prior to start of controls system installation, meet with the Contracting Office's technical representative (COTR) , the Contractor's QA representative, the Contractor's Controls Contractor representative, to develop a mutual understanding relate to the details of the PVT work requirements, including required submittals, work schedule, and field quality control.

3.5.2 Performance Verification Testing and Commissioning

PVT testing is a Government quality assurance function that includes systems trending and field tests. Commissioning is a quality control function that is the Commissioning Team s responsibility to the extent required by this contract.

3.5.3 Performance Verification Testing of Equipment with Packaged Controls

Controls Contractor and Equipment Supplier(s) must share and coordinate PVT testing responsibilities for equipment provided with on-board factory packaged controls.

3.5.3.1 Controls Contractor Responsibilities

The Controls Contractor must provide a PVT Plan separate from Equipment Supplier s performance verification testing plan, perform endurance testing, and perform PVT testing concurrent with Equipment Suppliers testing for equipment provided with on-board factory packaged controls to demonstrate the following:

a. Equipment enabling and disabling.

b. Equipment standard and optional control points necessary to accomplish functionality regardless if specified in contract documents or not.

c. Equipment standard and optional alarms critical to safe operation regardless if specified in contract documents or not.

d. All control points added by Controls Contractor in addition to onboard factory packaged controls regardless if specified in contract documents or not.

Refer to paragraphs titled Performance Verification Test Plan and Endurance Testing for additional information.

3.5.3.2 Equipment Supplier Responsibilities

Each Equipment Supplier must provide PVT Plans separate from Controls Contractor s plans and perform PVT testing concurrent with Controls Contractor s testing for their equipment provided with on-board factory packaged controls to demonstrate the following:

a. Equipment standard and optional control features necessary to accomplish functionality regardless if specified in contract documents or not.

b. Equipment standard and optional operation modes necessary to accomplish functionality regardless if specified in contract documents or not.

c. Equipment standard and optional alarm conditions for safe operation regardless if specified in contract documents or not.

Refer to all paragraphs under paragraph titled Performance Verification Testing except for section titled Endurance Testing for additional information.

3.5.4 Sequencing of Performance Verification Testing Activities

PVT activities must be sequenced with major activities listed below for Test and Balance (TAB) Contractor, Equipment Suppliers, Commissioning Specialists, and others to demonstrate fully functioning systems. Complete the items in TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES as schedule activities or milestones.

TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES

SEQUENCE	ITEM
1	Submission, review, and approval of Control Contractors PVT Plans.
2	Submission, review, and approval of Equipment Suppliers PVT Plans.
3	Submission, review, and approval of certified final Test and Balance Report.
4	Conduct commissioning functional performance tests.
5	Submission, review, and approval of all of the Commissioning Specialists completed functional performance tests.
6	Request Contracting Officer to allow beginning of Government-witnessed PVT testing.
7	Contracting Officers approval to begin PVT testing.
8	Conduct PVT field work.
9	Governments verbal approval of PVT field work for all systems.
10	Conduct Test and Balance verification field work.

TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES	
SEQUENCE	ITEM
11	Governments written approval of Test and Balance verification field work.
12	Submission, review, and approval of endurance testing.
13	Governments written approval of PVT field work for all systems.
14	Facility acceptance recommendation.
15	Submission, review, and approval of Control Contractors PVT Report.
16	Submission, review, and approval of Equipment Suppliers PVT Report.
17	Conduct applicable re-testing and seasonal testing within 10 months of beneficial occupancy.

3.5.5 Control Contractor's Performance Verification Testing Plan

Submit a detailed PVT Plan of the proposed control systems testing in this contract for approval prior to its use. Develop and use a single PVT Plan for each system with a unique control sequence. Systems sharing an identical control sequence can be tested using copies of the PVT Plan intended for these systems.

PVT Plans must include system-based, step-by-step test methods demonstrating system performs in accordance with contract document requirements. The Government may provide sample PVT Plans upon request. PVT Plans must include the following:

a. Control sequences from contract documents segmented such that each control algorithm, operation mode, and alarm condition is immediately followed by numbered test methods required to initiate a response, expected response, space for comments, and pass" or "fail indication for each expected response.

b. PVT Plans with control sequences from contract documents that are not segmented into parts will not be accepted.

c. Indication where assisting personnel are required such as Mechanical Contractor.

d. Signature and date lines for the Contractor's PVT administrator, Contractor's quality assurance representative, and Contracting Officer's representative acknowledging completion of testing.

3.5.6 Performance Verification Testing Sample Size

PVT testing sample sizes will be as follows:

a. 100-Percent of the following systems:

(1) primary systems including Chilled water

3.5.7 Conducting Performance Verification Testing

At least 15 days prior to preferred test date, request the Contracting Officer to allow the beginning of Government-witnessed PVT testing. Provide an estimated time table required to perform testing of each system. Furnish personnel, equipment, instrumentation, and supplies necessary to perform all aspects of testing. Testing personnel must be regularly employed in the testing and calibration of control systems. After receipt of Contracting Officer s approval to begin testing, perform PVT testing using project's as-built (shop) control system drawings, project's design drawings, and approved PVT Plans.

During testing, identify deficiencies that do not meet contract document requirements. Deficiencies must be investigated, corrected with corrections documented, and re-tested at a later date following procedures for the initial PVT testing. The Government may require re-testing of any control system components affected by the original failed test.

3.5.8 Endurance Testing

3.5.8.1 General

Conduct endurance testing in conjunction with the PVT to demonstrate control loop stability and accuracy. For all control loops tested, record trend data of the control variables over time, demonstrating that the control loop responds to a sudden change of the control variable set point without excessive overshoot or undershoot. Conduct endurance testing for each system subject to PVT testing. Systems must be operating as normally anticipated during occupancy throughout endurance testing.

Endurance testing results must clearly demonstrate control loop stability and accuracy. Controlled loop outputs must be stable and accurately maintain each setpoint.

3.5.8.2 Hardware

Use Government furnished hardware for testing if available when endurance testing begins. If unavailable, the Contractor must provide suitable hardware for required testing.

If insufficient buffer capacity exists to trend the entire endurance test, upload trend data during the course of endurance testing to ensure all trend data is retained. Lost trend data will require retesting of all control points for affected system(s).

3.5.8.3 Endurance Testing Results Format

Submit endurance testing results for each tested system in a graphical format complete with clear indication of value(s) for y-axis, value for x-axis, and legend identifying each trended control point. The number of control points contained on a single graph must be such that all control points can be clearly visible. Control points must be logically grouped such that related points appear on a single graph. In addition, submit a separate comma separated value (CSV) file of raw trend data for each trended system. Each trended control point in CSV file must be clearly identified.

For control points recorded based on change of value, change of value for recording data must be clearly identified for each control point.

3.5.8.4 Endurance Testing Start, Duration, and Frequency

Trending of all control points for a given system must start at an identical date and time regardless of the basis of data collection. Duration of all endurance tests must be at least one-week.

Unless specified otherwise for control points recorded based on time, frequency of data collection must be 15-minutes . Frequency of data collection for specific types of control points is as follows:

3.5.8.4.1 Points Trended at One Minute Intervals

a. Temperature for supply air, return air, mixed air, supply water, and return water

b. Temperature for outside air, supply air, return air and exhaust air entering and leaving energy recovery device

c. Flow for supply air, return air, outside air, chilled water, and HVAC heating hot water

d. Flow for exhaust air associated with energy recovery

e. Relative humidity for outside air and return air

f. Relative humidity for outside air, supply air, return air and exhaust air entering and leaving energy recovery device

g. Command and status for control dampers and control valves

h. Speed for fans and pumps

i. Pressure for fans and pumps

3.5.8.4.2 Points Trended at 15 Minute Intervals

a. Temperature and relative humidity for zones

b. Temperature and relative humidity for outside air not associated with energy recovery

c. Command and status for equipment

d. Pressure relative to the outside for facility

3.5.8.5 Trended Control Points

Trended control points for each system must demonstrate each system performs in accordance with contract document requirements. Trended control points must include, but not be limited to, control points listed in contract document points list.

Minimum control points that are required to be trended for selected systems are listed below. These control points must be trended as applicable to this contract in addition to control points necessary to

demonstrate systems perform in accordance with contract document requirements and those listed in contract document s points list.

3.5.8.5.1 Air-Cooled Chiller Chilled Water System.

a. Chiller(s) command and status

b. Chiller isolation valve(s) command and status

c. Chilled water pump(s) actual speed

d. Chilled water pump(s) setpoint and actual differential pressure

e. Minimum flow bypass control valve command

f. Minimum system flow setpoint and actual flow

g. Chilled water supply setpoint and actual temperature

h. Chilled water return actual temperature

i. Chilled water actual flow

j. Outside air actual dry-bulb temperature

3.5.8.6 Endurance Testing Sample Size

Endurance Testing sample sizes ware as follows:

a. 100-Percent of the following systems:

(1) primary systems including, but not limited to, chilled water and HVAC heating hot water systems

(2) air handling unit systems including all associated fans except for remote exhaust air fans

(3) DOAS s including all associated fans except for remote exhaust air fans

b. 20-Percent of each set of systems with a shared identical control sequence for systems such as:

(1) air terminal units

(2) exhaust air fans

(3) terminal equipment such as fan coil units and unit heaters

3.5.8.6.1 Selection of Systems to Test

For sample sets less than 100-percent, the Government will choose which systems will be tested. The Government may require additional testing if previous testing results are inconsistent or demonstrate improper system control as follows:

a. An additional 25-percent after five-percent failure rate of first sample set.

b. 100-percent after any failures occurring in additional sample set.

3.5.9 Performance Verification Test Report

Submit a PVT Report after receiving Government s written approval of PVT field work that is intended to document test results and final control system sequences and settings prior to turnover. The PVT Report must contain the following:

a. Executive summary that briefly discusses results of each system s endurance testing and PVT testing and conclusions for each system.

b. Endurance testing for each system.

c. Completed PVT Plan for each system used during testing that includes hand written field notes and participant signatures.

d. Blank PVT Plan for each system approved prior to testing that is edited to reflect changes occurring during testing. Edits must be typed and must reflect changes to control sequences from contract documents, must reflect changes to numbered test methods required to initiate a response, and must reflect changes to expected response. Only one blank PVT Plan is required for each set of systems sharing an identical control sequence, such as air terminal units, exhaust air fans, fan coil units and unit heaters.

e. Written certification that the installation and testing of all systems are complete and meet all contract document requirements.

3.6 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide HVAC control System Operation and Maintenance Instructions which include:

a. "Data Package 3" as indicated in Section 01 78 23 OPERATION AND MAINTENANCE DATA for each piece of control equipment.

b. "Data Package 4" as described in Section 01 78 23 OPERATION AND MAINTENANCE DATA for all air compressors.

c. HVAC control system sequences of operation formatted as indicated.

d. Procedures for the HVAC system start-up, operation and shut-down including the manufacturer's supplied procedures for each piece of equipment, and procedures for the overall HVAC system.

e. As-built HVAC control system detail drawings formatted as indicated.

f. Routine maintenance checklist. Provide the routine maintenance checklist arranged in a columnar format, where the first column lists all installed devices, the second column states the maintenance activity or that no maintenance required, the third column states the frequency of the maintenance activity, and the fourth column is used for additional comments or reference.

g. Qualified service organization list, including at a minimum company name, contact name and phone number.

h. Start-Up Testing Report.

i. Performance Verification Test (PVT) Procedures and Report.

Submit 2 copies of the Operation and Maintenance Instructions, indexed and in booklet form. The Operation and Maintenance Instructions may be submitted as a Technical Data Package.

3.7 MAINTENANCE AND SERVICE

Provide services, materials and equipment as necessary to maintain the entire system in an operational state as indicated for a period of one year from the date of final acceptance of the project. Minimize impacts on facility operations.

a. The integration of the system specified in this section into a Utility Monitoring and Control System must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period. Integration into a UMCS includes but is not limited to establishing communication between devices in the control system and the front end or devices in another system.

b. The changing of configuration properties must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period.

3.7.1 Description of Work

Provide adjustment and repair of the system including the manufacturer's required sensor and actuator (including transducer) calibration, span and range adjustment.

3.7.2 Personnel

Use only service personnel qualified to accomplish work promptly and satisfactorily. Advise the Government in writing of the name of the designated service representative, and of any changes in personnel.

3.7.3 Scheduled Inspections

Perform two inspections at six-month intervals and provide work required. Perform inspections in June and December. During each inspection perform the indicated tasks:

a. Perform visual checks and operational tests of equipment.

b. Clean control system equipment including interior and exterior surfaces.

c. Check and calibrate each field device. Check and calibrate 50 percent of the total analog inputs and outputs during the first inspection. Check and calibrate the remaining 50 percent of the analog inputs and outputs during the second major inspection. Certify analog test instrumentation accuracy to be twice the specified accuracy of the device being calibrated. Randomly check at least 25 percent of all binary inputs and outputs for proper operation during the first inspection. Randomly check at least 25 percent of the remaining binary inputs and outputs during the second inspection. If more than 20 percent of checked inputs or outputs failed the calibration check

during any inspection, check and recalibrate all inputs and outputs during that inspection.

d. Run system software diagnostics and correct diagnosed problems.

e. Resolve any previous outstanding problems.

3.7.4 Scheduled Work

This work must be performed during regular working hours, Monday through Friday, excluding Federal holidays.

3.7.5 Emergency Service

The Government will initiate service calls when the system is not functioning properly. Qualified personnel must be available to provide service to the system. A telephone number where the service supervisor can be reached at all times must be provided. Service personnel must be at the site within 24 hours after receiving a request for service. The control system must be restored to proper operating condition as required per Section 01 78 00 CLOSEOUT SUBMITTALS.

3.7.6 Operation

After performing scheduled adjustments and repairs, verify control system operation as demonstrated by the applicable tests of the performance verification test.

3.7.7 Records and Logs

Keep dated records and logs of each task, with cumulative records for each major component, and for the complete system chronologically. Maintain a continuous log for all devices, including initial analog span and zero calibration values and digital points. Keep complete logs and provide logs for inspection onsite, demonstrating that planned and systematic adjustments and repairs have been accomplished for the control system.

3.7.8 Work Requests

Record each service call request as received and include its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion. Submit a record of the work performed within 5 days after work is accomplished.

3.7.9 System Modifications

Submit recommendations for system modification in writing. Do not make system modifications, including operating parameters and control settings, without prior approval of the Government.

3.8 TRAINING

Conduct a training course for 4 operating staff members designated by the Government in the maintenance and operation of the system, including specified hardware and software. Conduct 32 hours of training at the project site within 30 days after successful completion of the performance verification test. The Government reserves the right to make audio and

visual recordings (using Government supplied equipment)of the training sessions for later use. Provide audiovisual equipment and other training materials and supplies required to conduct training. A training day is defined as 8 hours of classroom instruction, including two 15 minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

3.8.1 Training Documentation

Prepare training documentation consisting of:

a. Course Attendee List: Develop the list of course attendees in coordination with and signed by the Controls shop supervisor.

b. Training Manuals: Provide training manuals which include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson. When presenting portions of the course material by audiovisuals, deliver copies of those audiovisuals as a part of the printed training manuals.

3.8.2 Training Course Content

For guidance in planning the required instruction, assume that attendees will have a high school education, and are familiar with HVAC systems. During the training course, cover all of the material contained in the Operating and Maintenance Instructions, the layout and location of each controller enclosure, the layout of one of each type of equipment and the locations of each, the location of each control device external to the panels, the location of the compressed air station, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. Present the results of the performance verification test and the Start-Up Testing Report as benchmarks of HVAC control system performance by which to measure operation and maintenance effectiveness.

3.8.3 Training Documentation Submittal Requirements

Submit hardcopy training manuals and all training materials on CD-ROM. Provide one hardcopy manual for each trainee on the Course Attendee List and 2 additional copies for archive at the project site. Provide 2 copies of the Course Attendee List with the archival copies. Training Documentation may be submitted as a Technical Data Package.

APPENDIX A

QC CHECKLIST FOR BACNET SYSTEMS

This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such.

Instructions: Initial each item in the space provided (|____|) verifying that the requirement has been met.

This checklist is for (circle one:)

Pre-Construction QC Checklist Submittal

Post-Construction QC Checklist Submittal

Close-out QC Checklist Submittal

Items verified for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:

1	All DDC Hardware is numbered on Control System Schematic Drawings.	|____|
2	Signal lines on Control System Schematic are labeled with the signal type.	|____|
3	Local Display Panel (LDP) Locations are shown on Control System Schematic drawings.	|____|

Items verified for Post-Construction and Closeout QC Checklist Submittals:

4	All sequences are performed as specified using DDC Hardware.	|____|
5	Training schedule and course attendee list has been developed and coordinated with shops and submitted.	|____|

Items verified for Closeout QC Checklist Submittal:

6	Final As-built Drawings, including all Points Schedule drawings, accurately represent the final installed system.	|____|
7	Programming software has been submitted for all programmable controllers.	|____|
8	All software has been licensed to the Government.	
9	O&M Instructions have been completed and submitted.	|____|
10	Training course has been completed.	|____|

QC CHECKLIST FOR BACNET SYSTEMS		
11	All DDC Hardware is installed on a BACnet ASHRAE 135 network using either MS/TP in accordance with Clause 9 or IP in accordance with Annex J.	|_____|
12	All DDC Hardware is BTL listed.	|_____|
13	Communication between DDC Hardware is only via BACnet using standard services, except as specifically permitted by the specification. Non-standard services have been fully documented in the DDC Hardware Schedule.	|_____|
14	Scheduling, Alarming, and Trending have been implemented using the standard BACnet Objects for these functions.	|_____|
15	All Properties indicated as required to be Writable are Writable and Overrides have been provided as indicated	|_____|
	_____ _____	
	(QC Representative Signature) (Date)	

-- End of Section --

SECTION 23 09 13

INSTRUMENTATION AND CONTROL DEVICES FOR HVAC
11/15, CHG 2: 05/21

PART 1 GENERAL

1.1 SUMMARY

This section provides for the instrumentation control system components excluding direct digital controllers, network controllers, gateways etc. that are necessary for a completely functional automatic control system. When combined with a Direct Digital Control (DDC) system, the Instrumentation and Control Devices covered under this section must be a complete system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and indicated.

a. Install hardware to perform the control sequences as specified and indicated and to provide control of the equipment as specified and indicated.

b. Install hardware such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

c. Install and configure hardware such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the installing Contractor.

1.1.1 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.2 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere.

Section 01 30 00 ADMINISTRATIVE REQUIREMENTS

Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1	(2014; Errata 2016) Electric Meters - Code for Electricity Metering

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.15	(2024) Cast Copper Alloy Threaded Fittings Classes 125 and 250
ASME B16.34	(2021) Valves - Flanged, Threaded and Welding End
ASME B40.100	(2022) Pressure Gauges and Gauge Attachments

ASTM INTERNATIONAL (ASTM)

ASTM A536	(2024) Standard Specification for Ductile Iron Castings

FLUID CONTROLS INSTITUTE (FCI)

FCI 70-2	(2021) Control Valve Seat Leakage

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 142	(2007; Errata 2014) Recommended Practice for Grounding of Industrial and Commercial Power Systems - IEEE Green Book

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C12.20	(2015; E 2018) Electricity Meters - 0.1, 0.2, and 0.5 Accuracy Classes
NEMA/ANSI C12.10	(2011; R 2021) Physical Aspects of Watthour Meters - Safety Standard

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

UL SOLUTIONS (UL)

UL 5085-3	(2006; Reprint Jan 2022) UL Standard for Safety Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

1.4 SUBMITTALS

Submittal requirements are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.5 DELIVERY AND STORAGE

Store and protect products from the weather, humidity, and temperature variations, dirt and dust, and other contaminants, within the storage condition limits published by the equipment manufacturer.

1.6 INPUT MEASUREMENT ACCURACY

Select, install and configure sensors, transmitters and DDC Hardware such that the maximum error of the measured value at the input of the DDC hardware is less than the maximum allowable error specified for the sensor or instrumentation.

1.7 SUBCONTRACTOR SPECIAL REQUIREMENTS

Perform all work in this section in accordance with the paragraph entitled CONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS.

PART 2 PRODUCTS

2.1 EQUIPMENT

2.1.1 General Requirements

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1.2 Operation Environment Requirements

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

2.1.2.1 Pressure

Pressure conditions normally encountered in the installed location.

2.1.2.2 Vibration

Vibration conditions normally encountered in the installed location.

2.1.2.3 Temperature

a. Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

2.1.2.4 Humidity

10 to 95 percent relative humidity, non-condensing and also humidity conditions outside this range normally encountered at the installed location.

2.2 WEATHERSHIELDS

Provide weathershields constructed of galvanized steel painted white, unpainted aluminum, aluminum painted white, or white PVC.

2.3 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.3.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.3.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.3.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.3.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.3.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

2.4 AUTOMATIC CONTROL VALVES

Provide valves with stainless-steel stems and stuffing boxes with extended necks to clear the piping insulation. Provide valves with bodies meeting ASME B16.34 or ASME B16.15 pressure and temperature class ratings based on the design operating temperature and 150 percent of the system design operating pressure. Unless otherwise specified or indicated, provide valves meeting FCI 70-2 Class III leakage rating. Provide valves rated for modulating or two-position service as indicated, which close against a differential pressure indicated as the Close-Off pressure and which are Normally-Open, Normally-Closed, or Fail-In-Last-Position as indicated.

2.4.1 Valve Type

2.4.1.1 Liquid Service 150 Degrees F or Less

Use either globe valves or ball valves except that butterfly valves may be used for sizes 4 inch and larger.

2.4.2 Valve Flow Coefficient and Flow Characteristic

2.4.2.1 Two-Way Modulating Valves

Provide the valve coefficient (Cv) indicated. Provide equal-percentage flow characteristic for liquid service except for butterfly valves. Provide linear flow characteristic for steam service except for butterfly valves.

2.4.3 Two-Position Valves

Use full line size full port valves with maximum available (Cv).

2.4.4 Globe Valves

2.4.4.1 Liquid Service Not Exceeding 150 Degrees F

a. Valve body and body connections:

   (1) valves 1-1/2 inches and smaller: brass or bronze body, with threaded or union ends

   (2) valves from 2 inches to 3 inches inclusive: brass, bronze, or iron bodies. 2 inch valves with threaded connections; 2-1/2 to 3 inches valves with flanged connections

b. Internal valve trim: Brass or bronze.

c. Stems: Stainless steel.

d. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol.

2.4.5 Ball Valves

2.4.5.1 Liquid Service Not Exceeding 150 Degrees F

a. Valve body and connections:

(a) 1) valves 1-1/2 inches and smaller: bodies of brass or bronze, with threaded or union ends

(b) 2) valves from 2 inches to 3 inches inclusive: bodies of brass, bronze, or iron. 2 inch valves with threaded connections; valves from 2-1/2 to 3 inches with flanged connections.

b. Ball: Stainless steel or nickel-plated brass or chrome-plated brass.

c. Seals: Reinforced Teflon seals and EPDM O-rings.

d. Stem: Stainless steel, blow-out proof.

e. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol.

2.4.6 Butterfly Valves

Provide butterfly valves which are threaded lug type suitable for dead-end service and modulation to the fully-closed position, with carbon-steel bodies or with ductile iron bodies in accordance with ASTM A536. Provide butterfly valves with non-corrosive discs, stainless steel shafts supported by bearings, and EPDM seats suitable for temperatures from -20 to +250 degrees F. Provide valves with rated Cv of the Cv at 70 percent (60 degrees) open position. Provide valves meeting FCI 70-2 Class VI leakage rating.

2.5 SENSORS AND INSTRUMENTATION

Unless otherwise specified, provide sensors and instrumentation which incorporate an integral transmitter. Sensors and instrumentation, including their transmitters, must meet the specified accuracy and drift requirements at the input of the connected DDC Hardware's analog-to-digital conversion.

2.5.1 Analog and Binary Transmitters

Provide transmitters which match the characteristics of the sensor. Transmitters providing analog values must produce a linear 4-20 mAdc, 0-10 Vdc signal corresponding to the required operating range and must have zero and span adjustment. Transmitters providing binary values must have dry contacts rated at 1A at 24 Volts AC.

2.5.2 Network Transmitters

Sensors and Instrumentation incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS when used in a BACnet network.

2.5.3 Temperature Sensors

Provide the same sensor type throughout the project. Temperature sensors may be provided without transmitters. Where transmitters are used, the range must be the smallest available from the manufacturer and suitable for the application such that the range encompasses the expected range of temperatures to be measured. The end to end accuracy includes the

combined effect of sensitivity, hysteresis, linearity and repeatability between the measured variable and the end user interface (graphic presentation) including transmitters if used.

2.5.3.1 Sensor Accuracy and Stability of Control

2.5.3.1.1 Unconditioned Space Temperature

a. Plus or minus 1 degree F over the range of 30 to 131 degrees F AND

b. Plus or minus 4 degrees F over the rest of the operating range.

2.5.3.1.2 Chilled Water

Plus or minus 0.8 degrees F over the range of 35 to 65 degrees F.

2.5.3.2 Transmitter Drift

The maximum allowable transmitter drift: 0.25 degrees F per year.

2.5.3.3 Point Temperature Sensors

Point Sensors must be encapsulated in epoxy, series 300 stainless steel, anodized aluminum, or copper.

2.5.3.4 Temperature Sensor Details

2.5.3.4.1 Room Type

Provide the sensing element components within a decorative protective cover suitable for surrounding decor.

2.5.3.4.2 Pipe Immersion Type

For pipes with larger than 3 inch diameter, provide minimum 3 inch immersion. For pipes with less than 3 inch diameter, provide immersion at least half the diameter of the pipe. Provide each sensor with a corresponding pipe-mounted sensor well, unless indicated otherwise. Sensor wells must be stainless steel when used in steel piping, and brass when used in copper piping.

2.5.3.4.3 Outside Air Type

Provide the sensing element rated for outdoor use

2.5.4 Differential Pressure Instrumentation

2.5.4.1 Differential Pressure Sensors

Provide Differential Pressure Sensors with ranges as indicated or as required for the application. Pressure sensor ranges must not exceed the high end range indicated on the Points Schedule by more than 50 percent. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The accuracy must be plus or minus 1 percent of full scale. The sensor must have a maximum drift of 2 percent per year

2.5.4.2 Differential Pressure Switch

Provide differential pressure switches with a user-adjustable setpoint which are sized for the application such that the setpoint is between 25 percent and 75 percent of the full range. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The switch must have two sets of contacts and each contact must have a rating greater than it's connected load. Contacts must open or close upon rise of pressure above the setpoint or drop of pressure below the setpoint as indicated.

2.5.5 Flow Sensors

2.5.5.1 Flow Nozzle

Flow nozzle must be made of austenitic stainless steel with an accuracy of plus or minus 1 percent of full flow. The inlet nozzle form must be elliptical and the nozzle throat must be the quadrant of an ellipse. The thickness of the nozzle wall and flange must be such that distortion of the nozzle throat from strains caused by the pipeline temperature and pressure, flange bolting, or other methods of installing the nozzle in the pipeline must not cause the accuracy to degrade beyond the specified limit. The outside diameter of the nozzle flange or the design of the flange facing must be such that the nozzle throat must be centered accurately in the pipe.

2.5.5.2 Venturi Tube

Venturi tube must be made of cast iron or cast steel and must have an accuracy of plus or minus 1 percent of full flow. The throat section must be lined with austenitic stainless steel. Thermal expansion characteristics of the lining must be the same as that of the throat casting material. The surface of the throat lining must be machined to a plus or minus 50 micro inch finish, including the short curvature leading from the converging entrance section into the throat.

2.5.5.3 Annular Pitot Tube

Annular pitot tube must be made of austenitic stainless steel with an accuracy of plus or minus 2 percent of full flow and a repeatability of plus or minus 0.5 percent of measured value. The unit must have at least one static port and no less than four total head pressure ports with an averaging manifold.

2.5.5.4 Insertion Turbine Flowmeter

Provide dual axial turbine flowmeter with all installation hardware necessary to enable insertion and removal of the meter without system shutdown. All parts must meet or exceed the pressure classification of the pipe system it is installed in. Insertion Turbine Flowmeter accuracy must be plus or minus 0.5 percent of rate at calibrated velocity., within plus or minus of rate over a 10:1 turndown and within plus or minus 2 percent of rate over a 50:1 turndown. Repeatability must be plus or minus 0.25 percent of reading. The meter flow sensing element must operate over a range suitable for the installed location with a pressure loss limited to 1 percent of operating pressure at maximum flow rate. The flowmeter ,must include either dry contact pulse outputs, 4-20mA, 0-10Vdc or 0-5Vdc outputs. The turbine rotor assembly must be constructed of Series 300 stainless steel and use Teflon seals.

2.5.5.5 Vortex Shedding Flowmeter

Vortex Shedding Flowmeter accuracy must be within plus or minus 0.8 percent of the actual reading over the range of the meter. Steam meters must contain density compensation by direct measurement of temperature. Mass flow inferred from specified steam pressure are not acceptable. The flow meter body must be made of austenitic stainless steel and include a weather tight NEMA 4X electronics enclosure. The vortex shedding flowmeter body must not require removal from the piping in order to replace the shedding sensor.

2.5.5.6 Flow Switch

Flow switch must have a repetitive accuracy of plus or minus 10 percent of actual flow setting. Switch actuation must be adjustable over the operating flow range, and must be sized for the application such that the setpoint is between 25 percent and 75 percent of the full range.. The switch must have Form C snap-action contacts, rated for the application. The flow switch must have non flexible paddle with magnetically actuated contacts and be rated for service at a pressure greater than the installed conditions. Flow switch for use in sewage system must be rated for use in corrosive environments encountered.

2.5.6 Electrical Instruments

Provide Electrical Instruments with an input range as indicated or sized for the application. Unless otherwise specified, AC instrumentation must be suitable for 60 Hz operation.

2.5.6.1 Current Transducers

Current transducers must accept an AC current input and must have an accuracy of plus or minus 0.5 percent of full scale. The device must have a means for calibration. Current transducers for variable frequency applications must be rated for variable frequency operation.

2.5.6.2 Current Sensing Relays (CSRs)

Current sensing relays (CSRs) must provide a normally-open contact with a voltage and amperage rating greater than its connected load. Current sensing relays must be of split-core design. The CSR must be rated for operation at 200 percent of the connected load. Voltage isolation must be a minimum of 600 volts. The CSR must auto-calibrate to the connected load or be adjustable and field calibrated. Current sensors for variable frequency applications must be rated for variable frequency operation.

2.5.6.3 Voltage Transducers

Voltage transducers must accept an AC voltage input and have an accuracy of plus or minus 0.25 percent of full scale. The device must have a means for calibration. Line side fuses for transducer protection must be provided.

2.5.6.4 Energy Metering

2.5.6.4.1 Watt or Watthour Transducers

Watt transducers must measure voltage and current and must output kW or

kWh or both kW and kWh as indicated. kW outputs must have an accuracy of plus or minus 0.5 percent over a power factor range of 0.1 to 1. kWh outputs must have an accuracy of plus or minus 0.5 percent over a power factor range of 0.1 to 1.

2.5.6.4.2 Watthour Revenue Meter (with and without Demand Register)

All Watthour revenue meters must measure voltage and current and must be in accordance with ANSI C12.1 with an ANSI C12.20 Accuracy class of 0.2 and must have pulse initiators for remote monitoring of Watthour consumption. Pulse initiators must consist of form C contacts with a current rating not to exceed two amperes and voltage not to exceed 500 V, with combinations of VA not to exceed 100 VA, and a life rating of one billion operations. Meter sockets must be in accordance with NEMA/ANSI C12.10. Watthour revenue meters with demand registers must output instantaneous demand in addition to the pulse initiators.

2.5.6.4.3 Hydronic BTU Meters

The BTU meter is to be supplied with wall mount hardware and be capable of being installed remote from the flow meter. The BTU meter must include an LCD display for local indication of energy rate and for display of parameters and settings during configuration. Each BTU meter must be factory configured for its specific application and be completely field configurable by the user via a front panel keypad (no special interface device or computer required). The unit must output Energy Rate, Energy Total, Flow Rate, Supply Temperature, and Return Temperature. An integral transmitter is to provide a linear analog or configurable pulse output signal representing the energy rate; and the signal must be compatible with building automation system DDC Hardware to which the output is connected.

2.5.7 pH Sensor

The sensor must be suitable for applications and chemicals encountered in water treatment systems of boilers, chillers and condenser water systems. Construction, wiring, fittings and accessories must be corrosion and chemical resistant with fittings for tank or suspension installation. Housing must be polyvinylidene fluoride with O-rings made of chemical resistant materials which do not corrode or deteriorate with extended exposure to chemicals. The sensor must be encapsulated. Periodic replacement must not be required for continued sensor operation. Sensors must use a ceramic junction and pH sensitive glass membrane capable of withstanding a pressure of 100 psig at 150 degrees F. The reference cell must be double junction configuration. Sensor range must be 0 to 12 pH, stability 0.05, sensitivity 0.02, and repeatability of plus or minus 0.05 pH value, response of 90 percent of full scale in one second and a linearity of 99 percent of theoretical electrode output measured at 76 degrees F.

2.6 INDICATING DEVICES

All indicating devices must display readings in English (inch-pound) units.

2.6.1 Thermometers

Provide bi-metal type thermometers at locations indicated. Thermometers must have either 9 inch long scales or 3.5 inch diameter dials, with insertion, immersion, or averaging elements. Provide matching thermowells

for pipe-mounted installations. Select scale ranges suitable for the intended service, with the normal operating temperature near the scale's midpoint. The thermometer's accuracy must be plus or minus 2 percent of the scale range.

2.6.1.1 Piping System Thermometers

Piping system thermometers must have brass, malleable iron or aluminum alloy case and frame, clear protective face, permanently stabilized glass tube with indicating-fluid column, white face, black numbers, and a 9 inch scale. Piping system thermometers must have an accuracy of plus or minus 1 percent of scale range. Thermometers for piping systems must have rigid stems with straight, angular, or inclined pattern. Thermometer stems must have expansion heads as required to prevent breakage at extreme temperatures. On rigid-stem thermometers, the space between bulb and stem must be filled with a heat-transfer medium.

2.6.2 Pressure Gauges

Provide pipe-mounted pressure gauges at the locations indicated. Gauges must conform to ASME B40.100 and have a 4 inch diameter dial and shutoff cock. Select scale ranges suitable for the intended service, with the normal operating pressure near the scale's midpoint. The gauge's accuracy must be plus or minus 2 percent of the scale range.

Gauges must be suitable for field or panel mounting as required, must have black legend on white background, and must have a pointer traveling through a 270-degree arc. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus 3 percent of scale range. Gauges must meet requirements of ASME B40.100.

2.6.3 Low Differential Pressure Gauges

Gauges for low differential pressure measurements must be a minimum of 3.5 inch (nominal) size with two sets of pressure taps, and must have a diaphragm-actuated pointer, white dial with black figures, and pointer zero adjustment. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus two percent of scale range.

2.7 OUTPUT DEVICES

2.7.1 Actuators

Actuators must be electric (electronic) . All actuators must be normally open (NO), normally closed (NC) or fail-in-last-position (FILP) as indicated. Normally open and normally closed actuators must be of mechanical spring return type. Electric actuators must have an electronic cut off or other means to provide burnout protection if stalled. Actuators must have a visible position indicator. Electric actuators must provide position feedback to the controller as indicated. Actuators must smoothly and fully open or close the devices to which they are applied. Electric actuators must have a full stroke response time in both directions of 90 seconds or less at rated load. Electric actuators must be of the foot-mounted type with an oil-immersed gear train or the direct-coupled type. Where multiple electric actuators operate from a common signal, the actuators must provide an output signal identical to its input signal to the additional devices. All actuators must be rated

for their operating environment. Actuators used outdoors must be designed and rated for outdoor use. Actuators under continuous exposure to water, such as those used in sumps, must be submersible.

Actuators incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.7.1.1 Valve Actuators

Valve actuators must provide shutoff pressures and torques as indicated on the Valve Schedule.

2.7.1.2 Damper Actuators

Damper actuators must provide the torque necessary per damper manufacturer's instructions to modulate the dampers smoothly over its full range of operation and torque must be at least 6 inch-pounds/1 square foot of damper area for opposed blade dampers and 9 inch-pounds/1 square foot of damper area for parallel blade dampers.

2.7.1.3 Electric Actuators

Each actuator must have distinct markings indicating the full-open and full-closed position. Each actuator must deliver the torque required for continuous uniform motion and must have internal end switches to limit the travel, or be capable of withstanding continuous stalling without damage. Actuators must function properly within 85 to 110 percent of rated line voltage. Provide actuators with hardened steel running shafts and gears of steel or copper alloy. Fiber or reinforced nylon gears may be used for torques less than 16 inch-pounds.

a. Two-position actuators must be single direction, spring return, or reversing type. Two position actuator signals may either be the control power voltage or line voltage as needed for torque or appropriate interlock circuits.

b. Modulating actuators must be capable of stopping at any point in the cycle, and starting in either direction from any point. Actuators must be equipped with a switch for reversing direction, and a button to disengage the clutch to allow manual adjustments. Provide the actuator with a hand crank for manual adjustments, as applicable. Modulating actuator input signals can either be a 4 to 20 mAdc or a 0-10 VDC signal.

c. Floating or pulse width modulation actuators are acceptable for non-fail safe applications unless indicated otherwise provided that the floating point control (timed actuation) must have a scheduled re-calibration of span and position no more than once a day and no less than once a week. The schedule for the re-calibration should not affect occupied conditions and be staggered between equipment to prevent falsely loading or unloading central plant equipment.

2.7.2 Solenoid-Operated Electric to Pneumatic Switch (EPS)

Solenoid-Operated Electric to Pneumatic Switches (EPS) must accept a voltage input to actuate its air valve. Each valve must have three-port operation: common, normally open, and normally closed. Each valve must have an outer cast aluminum body and internal parts of brass, bronze, or

stainless steel. The air connection must be a 0.38 inch NPT threaded connection. Valves must be rated for 50 psig.

2.7.3 Electric to Pneumatic Transducers (EP)

Electric to Pneumatic Transducers (EPs) must convert either a 4-20 mAdc input signal, a 0-10 Vdc input signal to a proportional 0 to 20 psig pneumatic output. The EP must withstand pressures at least 150 percent of the system supply air pressure (main air). EPs must include independent offset and span adjustment. Steady state air consumption must not be greater than 0.05 scfm. EPs must have a manual adjustable override for the EP pneumatic output. EPs must have sufficient output capacity to provide full range stroke of the actuated device in both directions within 90 seconds.

2.7.4 Relays

Relays must have contacts rated for the intended application, indicator light, and dust proof enclosure. The indicator light must be lit when the coil is energized and off when coil is not energized.

Control relay contacts must have utilization category and ratings selected for the application. Each set of contacts must incorporate a normally open (NO), normally closed (NC) and common contact. Relays must be rated for a minimum life of one million operations.

2.8 USER INPUT DEVICES

User Input Devices, including potentiometers, switches and momentary contact push-buttons. Potentiometers must be of the thumb wheel or sliding bar type. Momentary Contact Push-Buttons may include an adjustable timer for their output. User input devices must be labeled for their function.

2.9 MULTIFUNCTION DEVICES

Multifunction devices are products which combine the functions of multiple sensor, user input or output devices into a single product. Unless otherwise specified, the multifunction device must meet all requirements of each component device. Where the requirements for the component devices conflict, the multifunction device must meet the most stringent of the requirements.

2.9.1 Current Sensing Relay Command Switch

The Current Sensing Relay portion must meet all requirements of the Current Sensing Relay input device. The Command Switch portion must meet all requirements of the Relay output device except that it must have at least one normally-open (NO) contact.

Current Sensing Relays used for Variable Frequency Drives must be rated for Variable Frequency applications unless installed on the source side of the drive. If used in this situation, the threshold for showing status must be set to allow for the VFD's control power when the drive is not enabled and provide indication of operation when the drive is enabled at minimum speed.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 General Installation Requirements

Perform the installation under the supervision of competent technicians regularly employed in the installation of DDC systems.

3.1.1.1 Device Mounting Criteria

All devices must be installed in accordance with manufacturer's recommendations and as specified and indicated. Control devices to be installed in piping and ductwork must be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Strap-on temperature sensing elements must not be used except as specified. Spare thermowells must be installed adjacent to each thermowell containing a sensor and as indicated. Devices located outdoors must have a weathershield.

3.1.1.2 Labels and Tags

Match labels and tags to the unique identifiers indicated on the As-Built drawings. Label all enclosures and instrumentation. Tag all sensors and actuators in mechanical rooms. Provide plastic or metal tags, mechanically attached directly to each device or attached by a metal chain or wire. Labels exterior to protective enclosures must be engraved plastic and mechanically attached to the enclosure or instrumentation. Labels inside protective enclosures may attached using adhesive, but must not be hand written.

3.1.2 Weathershield

Provide weathershields for sensors located outdoors. Install weathershields such that they prevent the sun from directly striking the sensor and prevent rain from directly striking or dripping onto the sensor. Install weather shields with adequate ventilation so that the sensing element responds to the ambient conditions of the surroundings. When installing weathershields near outside air intake ducts, install them such that normal outside air flow does not cause rainwater to strike the sensor.

3.1.3 Indication Devices Installed in Piping and Liquid Systems

Provide snubbers for gauges in piping systems subject to pulsation. For gauges for steam service use pigtail fittings with cock. Install thermometers and temperature sensing elements in liquid systems in thermowells. Provide spare Pressure/Temperature Ports (Pete's Plug) for all temperature and pressure sensing elements installed in liquid systems for calibration/testing.

3.1.4 Switches

3.1.4.1 Hand-Off Auto Switches

Wire safety controls such as smoke detectors and freeze protection thermostats to protect the equipment during both hand and auto operation.

3.1.5 Temperature Sensors

Install temperature sensors in locations that are accessible and provide a good representation of sensed media. Installations in dead spaces are not acceptable. Calibrate and install sensors according to manufacturer’s instructions. Select sensors only for intended application as designated or recommended by manufacturer.

3.1.5.1 Room Temperature Sensors

Mount the sensors on interior walls to sense the average room temperature at the locations indicated. Avoid locations near heat sources such as copy machines or locations by supply air outlet drafts. Mount the center of all user-adjustable sensors 48 inches above the floor to meet ADA requirements. Non user-adjustable sensors can be mounted as indicated in paragraph ROOM INSTRUMENT MOUNTING.

3.1.5.2 Immersion Temperature Sensors

Provide thermowells for sensors measuring piping, tank, or pressure vessel temperatures. Locate wells to sense continuous flow conditions. Do not install wells using extension couplings. When installed on insulated piping, provide stand enclosure or stand off fitting to accommodate the thickness of the pipe insulation and allow for maintenance or replacement of the sensor or wiring terminations. Where piping diameters are smaller than the length of the wells, provide wells in piping at elbows to sense flow across entire area of well. Wells must not restrict flow area to less than 70 percent of pipe area. Increase piping size as required to avoid restriction. Provide the sensor well with a heat-sensitive transfer agent between the sensor and the well interior ensuring contact between the sensor and the well.

3.1.6 Meters

3.1.6.1 Flowmeters

Install flowmeters to ensure minimum straight unobstructed piping for at least 10 pipe diameters upstream and at least 5 pipe diameters downstream of the flowmeter, and in accordance with the manufacturer's installation instructions.

3.1.6.2 Energy Meters

Locate energy meters as indicated. Connect each meter output to the DDC system, to measure both instantaneous demand/energy and other variables as indicated.

3.1.7 Valves

Install the valves in accordance with the manufacturer's instructions.

3.1.7.1 Valve Actuators

Provide spring return actuators on all control valves where freeze protection is required. Spring return actuators for terminal fan coil units, terminal VAV units, convectors, and unit heaters are not required unless indicated otherwise.

3.1.8 Thermometers and Gauges

3.1.8.1 Thermometers

Mount devices to allow reading while standing on the floor or ground, as applicable.

3.1.9 Wire and Cable

Provide complete electrical wiring for the Control System, including wiring to transformer primaries. Wire and Cable must be installed without splices between control devices and in accordance with NFPA 70 and NFPA 90A. Instrumentation grounding must be installed per the device manufacturer's instructions and as necessary to prevent ground loops, noise, and surges from adversely affecting operation of the system. Test installed ground rods as specified in IEEE 142. Cables and conductor wires must be tagged at both ends, with the identifier indicated on the shop drawings. Electrical work must be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and as indicated. Wiring external to enclosures must be run in raceways

Install control circuit wiring not in raceways in a neat and safe manner. Wiring must not use the suspended ceiling system (including tiles, frames or hangers) for support. Where conduit or raceways are required, control circuit wiring must not run in the same conduit/raceway as power wiring over 50 volts. Run all circuits over 50 volts in conduit, metallic tubing, covered metal raceways, or armored cable.

-- End of Section --

SECTION 23 09 23.02

BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS
08/24

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system, suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and shown and in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.1.1 System Requirements

Provide a system meeting the requirements of both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section and with the following characteristics:

a. Except for Gateways, the control system must be an open implementation of BACnet technology using ASHRAE 135 as the communications protocol. The system must use standard ASHRAE 135 Objects and Properties. The system must use standard ASHRAE 135 Services exclusively for communication over the network. Gateways to packaged units must communicate with other DDC hardware using ASHRAE 135 exclusively and may communicate with packaged equipment using other protocols. The control system must be installed such that any two devices on the Internetwork can communicate using standard ASHRAE 135 Services.

b. Install and configure control hardware to provide ASHRAE 135 Objects and Properties as indicated and as needed to meet the requirements of this specification.

1.1.2 Verification of Specification Requirements

Review all specifications related to the control system installation and advise the Contracting Officer of any discrepancies before performing any work. If Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC or any other Section referenced in this specification is not included in the project specifications advise the Contracting Officer and either obtain the missing Section or obtain Contracting Officer approval before performing any work.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135 (2024; INT 1-3 2025; Errata 1 2025; INT 4-6 2025; Addenda CU 2025) BACnet—A Data Communication Protocol for Building

Automation and Control Networks

BACNET TESTING LABORATORIES (BTL)

BTL Guide	(v.50; 2022) BACnet Testing Laboratory Implementation Guidelines

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.3	(2022; CORR 2024) Ethernet

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-485	(1998a; R 2012) Electrical Characteristics of Generators and Receivers for Use in Balanced Digital Multipoint Systems

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC Part 15	Radio Frequency Devices (47 CFR 15)

UL SOLUTIONS (UL)

UL 916	(2015; Reprint Oct 2021) UL Standard for Safety Energy Management Equipment

1.3 DEFINITIONS

For definitions related to this section, see Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.4 SUBMITTALS

Submittal requirements related to this Section are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

PART 2 PRODUCTS

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements of Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC, Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS and this Section.

2.1 NETWORK HARDWARE

2.1.1 BACnet Router

All BACnet Routers must be BACnet/IP Routers and must perform layer 3 routing of ASHRAE 135 packets over an IP network in accordance with ASHRAE 135 Annex J and Clause 6. The router must provide the appropriate connection to the IP network and connections to one or more ASHRAE 135 MS/TP networks. Devices used as BACnet Routers must meet the requirements for DDC Hardware, and must support the NM-RC-B BIBB.

2.1.2 BACnet Gateways

In addition to the requirements for DDC Hardware, the BACnet Gateway must meet the following requirements:

a. It must perform bi-directional protocol translation from one non-ASHRAE 135 protocol to ASHRAE 135. BACnet Gateways must incorporate a network connection to an ASHRAE 135 network (either BACnet over IP in accordance with Annex J or MS/TP) and a separate connection appropriate for the non-ASHRAE 135 protocol and media.

b. It must retain its configuration after a power loss of an indefinite time, and must automatically return to their pre-power loss state once power is restored.

c. It must allow bi-directional mapping of data between the non-ASHRAE 135 protocol and Standard Objects as defined in ASHRAE 135. It must support the DS-RP-B BIBB for Objects requiring read access and the DS-WP-B BIBB for Objects requiring write access.

d. It must support the DS-COV-B BIBB.

Although Gateways must meet DDC Hardware requirements they are not DDC Hardware and must not be used when DDC Hardware is required.

2.1.3 Ethernet Switch

Ethernet Switches must be as specified in Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS

2.2 CONTROL NETWORK WIRING

a. BACnet MS/TP communications wiring must be in accordance with ASHRAE 135. The wiring must use shielded, three wire (twisted-pair with reference) cable with characteristic impedance between 100 and 120 ohms. Distributed capacitance between conductors must be less than 30 pF per foot.

b. Building Control Network Backbone IP Network must use Ethernet media. Ethernet cables must be CAT-5e at a minimum and meet all requirements of IEEE 802.3 .

2.3 DIRECT DIGITAL CONTROL (DDC) HARDWARE

2.3.1 General Requirements

All DDC Hardware must meet the following requirements:

a. It must be locally powered and must incorporate a light to indicate the device is receiving power.

b. It must conform to the BTL Guide

c. It must be BACnet Testing Laboratory (BTL) Listed.

d. The Manufacturer's Product Data submittal for each piece of DDC Hardware must include the Protocol Implementation Conformance Statement (PICS) for that hardware as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

e. It must communicate and be interoperable in accordance with ASHRAE 135 and have connections for BACnet IP or MS/TP control network wiring.

f. Other than devices controlling terminal units or functioning solely as a BACnet Router, it must support DS-COV-B, DS-RPM-A and DS-RPM-B BIBBs.

g. Devices supporting the DS-RP-A BIBB must also support the DS-COV-A BIBB.

h. Application programs, configuration settings and communication information must be stored in a manner such that they persist through loss of power:

(1) Application programs must persist regardless of the length of time power is lost.

(2) Configured settings must persist for any loss of power less than 2,500 hours.

(3) Communication information, including but not limited to COV subscriptions, event reporting destinations, Notification Class Object settings, and internal communication settings, must persist for any loss of power less than 2,500 hours.

i. Internal Clocks:

(1) Clocks in DDC Hardware incorporating a Clock must continue to function for 120 hours upon loss of power to the DDC Hardware.

(2) DDC Hardware incorporating a Clock must support the DM-TS-B or DM-UTC-B BIBB.

j. It must have all functionality indicated and required to support the application (Sequence of Operation or portion thereof) in which it is used, including but not limited to providing Objects as specified and as indicated on the Points Schedule.

k. In addition to these general requirements and the DDC Hardware Input-Output (I/O) Function requirements, all DDC Hardware must also meet any additional requirements for the application in which it is used (e.g. scheduling, alarming, trending, etc.).

l. It must meet FCC Part 15 requirements and have UL 916 or equivalent safety listing.

m. Device must support Commandable Objects to support Override requirements as detailed in PART 3 EXECUTION

n. User interfaces which allow for modification of Properties or settings must be password-protected.

o. Devices communicating BACnet MS/TP must meet the following requirements:

(1) Must have a configurable Max_Master Property.

(2) DDC Hardware other than hardware controlling a single terminal unit must have a configurable Max_Info_Frames Property.

(3) Must respond to any valid request within 50 msec with either the appropriate response or with a response of "Reply Postponed".

(4) Must use twisted pair with reference and shield (3-wire media) wiring.

p. Devices communicating BACnet/IP must use UDP Port 0xBAC0. Devices with configurable UDP Ports must default to 0xBAC0.

q. All Device IDs, Network Numbers, and BACnet MAC addresses of devices must be fully configurable without limitation, except MS/TP MAC addresses may be limited by ASHRAE 135 requirements.

r. DDC Hardware controlling a single terminal unit must have:

(1) Objects (including the Device Object) with an Object Name Property of at least 8 characters in length.

(2) A configurable Device Object Name.

(3) A configurable Device Object Description Property at least 16 characters in length.

s. Except for Objects in DDC Hardware controlling a single terminal unit, all Objects (including Device Objects) must:

(1) Have a configurable Object Name Property of at least 12 characters in length.

(2) Have a configurable Object Description Property of at least 24 characters in length.

t. For programmable DDC Hardware, provide and license to the project site all programming software required to program the Hardware in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

u. For programmable DDC Hardware, provide copies of the installed application programs (all software that is not common to every controller of the same manufacturer and model) as source code compatible with the supplied programming software in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The submitted application program must be the complete application necessary for controller to function as installed and be sufficient to allow replacement of the installed controller with another controller of the same type.

2.3.2 Hardware Input-Output (I/O) Functions

DDC Hardware incorporating hardware input-output (I/O) functions must meet the following requirements:

2.3.2.1 Analog Inputs

DC Hardware analog inputs (AIs) must be implemented using ASHRAE 135 Analog Input Objects and perform analog to digital (A-to-D) conversion with a minimum resolution of 8 bits plus sign or better as needed to meet the accuracy requirements specified in Section 23 09 00. Signal conditioning including transient rejection must be provided for each analog input. Analog inputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. The AI must incorporate

common mode noise rejection of at least 50 dB from 0 to 100 Hz for differential inputs, and normal mode noise rejection of at least 20 dB at 60 Hz from a source impedance of 10,000 ohms.

2.3.2.2 Analog Outputs

DDC Hardware analog outputs (AOs) must be implemented using ASHRAE 135 Analog Output Objects and perform digital to analog (D-to-A) conversion with a minimum resolution of 8 bits plus sign, and output a signal with a range of 4-20 mAdc or 0-10 Vdc. Analog outputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. DDC Hardware with Hand-Off-Auto (H-O-A) switches for analog outputs must provide for overriding the output to 0 percent and to 100 percent

2.3.2.3 Binary Inputs

DDC Hardware binary inputs (BIs) must be implemented using ASHRAE 135 Binary Input Objects and accept contact closures and must ignore transients of less than 5 milli-second duration. Protection against a transient 50VAC must be provided.

2.3.2.4 Binary Outputs

DDC Hardware binary outputs (BOs) must be implemented using ASHRAE 135 Binary Output Objects and provide relay contact closures or triac outputs for momentary and maintained operation of output devices. DDC Hardware with H-O-A switches for binary outputs must provide for overriding the output open or closed.

2.3.2.4.1 Relay Contact Closures

Closures must have a minimum duration of 0.1 second. Relays must provide at least 180V of isolation. Electromagnetic interference suppression must be provided on all output lines to limit transients to 50 Vac. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.4.2 Triac Outputs

Triac outputs must provide at least 180 V of isolation. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.5 Pulse Accumulator

DDC Hardware pulse accumulators must be implemented using either an ASHRAE 135 Accumulator Object or an ASHRAE 135 Analog Value Object where the Present_Value is the totalized pulse count. Pulse accumulators must accept contact closures, ignore transients less than 5 msec duration, protect against
transients of 50 VAC, and accept rates of at least 20 pulses per second.

2.3.2.6 ASHRAE 135 Objects for Hardware Inputs and Outputs

The requirements for use of ASHRAE 135 objects for hardware input and outputs includes devices where the hardware sensor or actuator is integral to the controller (e.g. a VAV box with integral damper actuator, a smart sensor, a VFD, etc.)

2.3.2.7 Integrated H-O-A Switches

Where integrated H-O-A switches are provided on hardware outputs, controller must provide means of monitoring position or status of H-O-A switch. This feedback may be provided via any valid BACnet method, including the use of proprietary Objects, Properties, or Services.

2.3.3 Local Display Panel (LDP)

The Local Display Panels (LDPs) must be DDC Hardware with a display and navigation buttons or a touch screen display, and must provide display and adjustment of ASHRAE 135 Properties as indicated on the Points Schedule and as specified. LDPs must be either BTL Listed as a B-OD, B-OWS, B-AWS, or be an integral part of another piece of DDC Hardware listed as a B-BC. For LDPs listed as B-OWS or B-AWS, the hardware must be BTL listed and the product must come factory installed with all applications necessary for the device to function as an LDP.

The adjustment of values using display and navigation buttons must be password protected.

2.3.4 Expansion Modules and Tethered Hardware

A single piece of DDC Hardware may consist of a base unit and also:

a. An unlimited number of hardware expansion modules, where the individual hardware expansion modules are designed to directly connect, both mechanically and electrically, to the base unit hardware. The expansion modules must be commercially available as an optional add-on to the base unit.

b. A single piece of hardware connected (tethered) to a base unit by a single cable where the cable carries a proprietary protocol between the base unit and tethered hardware. The tethered hardware must not contain control logic and be commercially available as an optional add-on to the base unit as a single package.

   Note that this restriction on tethered hardware does not apply to sensors or actuators using standard binary or analog signals (not a communications protocol); sensors or actuators using standard binary or analog signals are not considered part of the DDC Hardware.

Hardware capable of being installed stand-alone, or without a separate base unit, is DDC Hardware and must not be used as expansion modules or tethered hardware.

2.3.5 Supervisory Control Requirements

2.3.5.1 Scheduling Hardware

DDC Hardware used for scheduling must meet the following requirements:

a. It must be BTL Listed as a B-BC and support the SCHED-E-B BIBB.

b. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Calendar and Schedule Objects, such that a front end BTL listed as a B-AWS may create or delete Calendar and Schedule Objects. It is also preferred but not required that devices supporting the

DM-OCD-B BIBB accept any valid value for properties of Calendar and Schedule Objects. Note that there are additional requirements in the EXECUTION Part of this Section for Devices which do not support the DM-OCD-B BIBB as specified.

c. The Date_List property of all Calendar Objects must be writable.

d. The Present_Value Property of Schedule must support the following values: 1, 2, 3, 4.

2.3.5.2 Alarm Generation Hardware

DDC Hardware used for alarm generation must meet the following requirements:

a. Device must support the AE-N-I-B BIBB

b. The Recipient_List Property must be Writable for all Notification Class Objects used for alarm generation.

c. For all Objects implementing Intrinsic Alarming, the following Properties must be Writable:

(1) Time_Delay
(2) High_Limit
(3) Low_Limit
(4) Deadband
(5) Event_Enable
(6) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writable.

d. For Event Enrollment Objects used for alarm generation, the following Properties must be Writable:

(1) Event_Parameters
(2) Event_Enable
(3) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writable.

e. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Notification Class Objects and Event Enrollment Objects, such that a front end BTL listed as a B-AWS may create or delete Notification Class Objects and Event Enrollment Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Notification Class Objects and Event Enrollment Objects. Note that there are additional requirements in the EXECUTION Part of this Section for devices which do not support the DM-OCD-B BIBB as specified.

f. Devices provided to meet the the requirements indicated under "Support for Future Alarm Generation" in the EXECUTION part of this specification must support the AE-N-E-B BIBB.

2.3.5.3 Trending Hardware

DDC Hardware used for collecting trend data must meet the following requirements:

a. Device must support Trend Log or Trend Log Multiple Objects.

b. Device must support the T-VMT-I-B BIBB.

c. Devices provided to meet the EXECUTION requirement for support of Future Trending must support the T-VMT-E-B BIBB.

d. The following properties of all Trend Log or Trend Log Multiple Objects must be present and Writable:
    Start_Time
    Stop_Time
    Log_DeviceObjectProperty
    Log Interval Log interval must support an interval of at least 60 minutes duration.

e. Trend Log Objects must support using Intrinsic Reporting to send a BUFFER_FULL event.

f. The device must have a Notification Class Object for the BUFFER_FULL event. The Recipient_List Property must be Writable.

g. Devices must support values of at least 1,000 for Buffer_Size Properties.

h. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Trend Log Objects, such that a front end BTL listed as a A-AWS may create or delete Trend Log Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Trend Log Objects. Note that there are additional EXECUTION requirements for devices which do not support the DM-OCD-B BIBB as specified.

PART 3 EXECUTION

3.1 CONTROL SYSTEM INSTALLATION3.1.1 Building Control Network (BCN)

Install the Building Control Network (BCN) as a single BACnet Internetwork consisting of a single IP network as the BCN Backbone and zero or more BACnet MS/TP networks. Note that in some cases there may only be a single device on the BCN Backbone.

Except as permitted for the non-BACnet side of Gateways, use exclusively ASHRAE 135 networks.

3.1.1.1 Building Control Network IP Backbone

Install IP Network Cabling in conduit. Install Ethernet Switches in lockable enclosures. Install the Building Control Network (BCN) IP Backbone such that it is available at the Facility Point of Connection (FPOC) location as indicated. When the FPOC location is a room number, provide sufficient additional media to ensure that the Building Control Network (BCN) IP Backbone can be extended to any location in the room.

Use UDP port 0xBAC0 for all BACnet traffic on the IP network.

3.1.1.2 BACnet MS/TP Networks

When using MS/TP, provide MS/TP networks in accordance with ASHRAE 135 and in accordance with the ASHRAE 135 figure "Mixed Devices on 3-Conductor

Cable with Shield" (Figure 9-1.4 in the 2020 version of ASHRAE 135). Ground the shield at the BACnet Router and at no other point. Ground the reference wire at the BACnet Router through a 100 ohm resistor and do not ground it at any other point. In addition:

a. Provide each segment in a doubly terminated bus topology in accordance with TIA-485.

b. Provide each segment with 2 sets of network bias resistors in accordance with ASHRAE 135, with one set of resistors at each end of the MS/TP network.

c. Use 3 wire (twisted pair and reference) with shield media for all MS/TP media installed inside. Use fiber optic isolation in accordance with ASHRAE 135 for all MS/TP media installed outside buildings, or between multiple buildings.

d. For 18 AWG cable, use segments with a maximum length of 4000 ft. When using greater distances or different wire gauges comply with the electrical specifications of TIA-485.

e. For each controller that does not use the reference wire provide transient suppression at the network connection of the controller if the controller itself does not incorporate transient suppression.

f. Install no more than 32 devices on each MS/TP segment. Do not use MS/TP to MS/TP routers.

g. Connect each MS/TP network to the BCN backbone via a BACnet Router.

h. For BACnet Routers, configure the MS/TP MAC address to 0. Assign MAC Addresses to other devices consecutively beginning at 1, with no gaps.

i. Configure the Max_Master Property of all devices to be 31.

3.1.1.3 Building Control Network (BCN) Installation

Provide a building control network meeting the following requirements:

a. Install all DDC Hardware connected to the Building Control Network.

b. Where multiple pieces of DDC Hardware are used to execute one sequence, install all DDC Hardware executing that sequence on a single MS/TP network dedicated to that sequence.

c. Traffic between BACnet networks must be exclusively via BACnet routers.

3.1.2 DDC Hardware

Install all DDC Hardware that connects to an IP network in lockable enclosure. Install other DDC Hardware that is not in suspended ceilings in enclosures. For all DDC hardware with a user interface, coordinate with site to determine proper passwords and configure passwords into device.

a. Except for zone sensors (thermostats), install all Tethered Hardware within 6 feet of its base unit.

b. Install and configure all BTL-Listed devices in a manner consistent

with their BTL Listing such that the device as provided still meets all requirements necessary for its BTL Listing.

c. Install and configure all BTL-Listed devices in a manner consistent with the BTL Device Implementation Guidelines such that the device as provided meets all those Guidelines.

3.1.2.1 Device Identifiers, Network Addresses, and IP addresses

a. Do not use any Device Identifier or Network Number already used by another BACnet system at the project site. Coordinate Device IDs and Network Numbers with the installation. .

b. Coordinate device IP addresses with installation. .

3.1.2.2 Object Name Property and Object Description Property

Configure the Object_Names and Object_Descriptions properties of all Objects (including Device Objects) as indicated on the Points Schedule (Point Name and Point Description) and as specified. At a minimum:

a. Except for DDC Hardware controlling a single terminal unit, configure the Object_Name and Object_Description properties of all Objects (including Device Objects) as indicated on the Points Schedule and as specified.

b. In DDC Hardware controlling a single terminal unit, configure the Device Object_Name and Device Object_Description as indicated on the Points Schedule and as specified.

When Points Schedule entries exceed the length limitations in the device, notify the Contracting Officer and provide recommended alternatives for approval.

3.1.2.3 Hand-Off-Auto (H-O-A) Switches

Provide Hand-Off-Auto (H-O-A) switches for all DDC Hardware analog outputs and binary outputs used for control of systems other than terminal units, as specified and as indicated on the Points Schedule. Provide H-O-A switches that are integral to the controller hardware, an external device co-located with (in the same enclosure as) the controller, integral to the controlled equipment, or an external device co-located with (in the same enclosure as) the controlled equipment.

a. For H-O-A switches integral to DDC Hardware, meet the requirements specified in paragraph DIRECT DIGITAL CONTROL (DDC) HARDWARE.

b. For external H-O-A switches used for binary outputs, provide for overriding the output open or closed.

c. For eternal H-O-A switches used for analog outputs, provide for overriding to 0 percent or 100 percent.

3.1.2.4 Local Display Panels

Provide LDPs to display and override values of ASHRAE 135 Object Properties as indicated on the Points Schedule. Install LDPs displaying points for anything other than a terminal unit in the same room as the equipment. Install LDPs displaying points for only terminal units . For

LDPs using WriteProperty to commandable objects to implement an override, write values with priority 9.

3.1.2.5 MS/TP Slave Devices

Configure all MS/TP devices as Master devices. Do not configure any devices to act as slave devices.

3.1.2.6 Change of Value (COV) and Read Property

a. To the greatest extent possible, configure all devices to support the SubscribeCOV service (the DS-COV-B BIBB). At a minimum, all devices supporting the DS-RP-B BIBB, other than devices controlling only a single terminal unit, must be configured to support the DS-COV-B BIBB.

b. Whenever supported by the server side, configure client devices to use the DS-COV-A BIBB.

3.1.2.7 Engineering Units

Configure devices to use English (Inch-Pound) engineering units as follows:

a. Temperature in degrees F

b. Air or natural gas flows in cubic feet per minute (CFM)

c. Water in gallons per minute (GPM)

d. Differential Air pressures in inches of water column (IWC)

e. Water pressures in PSI

f. Enthalpy in BTU/lb

g. Heating and cooling energy in MBTU (1MBTU = 1,000,000 BTU))

h. Cooling load in tons (1 ton = 12,000 BTU/hour)

i. Electrical Power: kilowatts (kW)

j. Electrical Energy: kilowatt-hours (kWh)

3.1.2.8 Occupancy Modes

Use the following correspondence between value and occupancy mode whenever an occupancy state or value is required:

a. OCCUPIED mode: a value of one
b. UNOCCUPIED mode: a value of two
c. WARM-UP/COOL-DOWN (PRE-OCCUPANCY) mode: a value of three

Note that elsewhere in this Section the Schedule Object is required to also support a value of four, which is reserved for future use. Also note that the behavior of a system in each of these occupancy modes is indicated in the sequence of operation for the system.

3.1.2.9 Use of BACnet Objects

Use only standard non-proprietary ASHRAE 135 Objects and services to accomplish the project scope of work as follows:

a. Use Analog Input or Analog Output Objects for all analog hardware I/O. Do not use Analog Value Object for analog hardware I/O) .

b. Use Binary Input or Binary Output Objects for all binary hardware I/O. Do not use Binary Value Objects for binary hardware I/O.

c. Use Analog Value Objects for analog setpoints.

d. Use Accumulator Objects or Analog Value Objects for pulse inputs.

e. For occupancy modes, use Multistate Value Objects and the correspondence between value and occupancy mode specified in paragraph OCCUPANCY MODES.

f. Use Schedule Objects and Calendar Objects for all scheduling. Use Trend Log Objects or Trend Log Multiple Objects for all trending and Notification Class Objects for trend log upload. Use a combination of Event Enrollment Objects,Intrinsic Alarming, and Notification Class Objects for alarm generation.

g. For all other points shown on the Points Schedule as requiring an ASHRAE 135 Object, use the Object type shown on the Points Schedule or, if no Object Type is shown, use a standard Object appropriate to the point.

3.1.2.10 Use of Standard BACnet Services

Except as noted in this paragraph, for all DDC Hardware use Standard BACnet Services as defined in this specification (which excludes some ASHRAE 135 services) exclusively for application control functionality and communication.

DDC Hardware that cannot meet this requirement may use non-standard services provided they can provide identical functionality using Standard BACnet Services when communicating with BACnet devices from a different vendor. When implementing non-standard services, document all non-standard services in the DDC Hardware Schedule as specified and as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

3.1.2.11 Device Application Configuration

a. For every property, setting or value shown on the Points Schedule or otherwise indicated as Configurable, provide a value that is retained through loss of power and can be changed via one or more of:

(1) BACnet services (including proprietary services)

(2) Hardware settings on the device

b. For every property, setting or value shown on the Points Schedule or otherwise indicated as Operator Configurable, provide a value that is

retained through loss of power and can be changed via one or more of:

(1) A Writable Property of a standard BACnet Object

(2) A Property of a standard BACnet Object that is Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable.

3.1.3 Scheduling, Alarming, Trending, and Overrides

3.1.3.1 Scheduling

Configure schedules in BACnet Scheduling Objects to schedule systems as indicated on the Points Schedule and as specified using the indicated correspondence between value and occupancy mode. If no devices supports both the SCHED-E-B and DM-OCD-B BIBBS for Schedule Objects, provide 5 blank Schedule Objects in DDC Hardware BTL listed as B-BCs and supporting the SCHED-E-B BIBB for later use by the site.

3.1.3.2 Configuration of Alarm Generation

a. Send alarm events as Alarms (not Events).

b. Use the ConfirmedEventNotification Service for alarm events.

c. For alarm generation, support two priority levels for alarms: critical and non-critical. Configure the Priority of Notification Class Objects to use Priority 112 for critical and 224 for non-critical alarms.

d. Number of Notification Class Objects for Alarm Generation:

(1) If the device implements non-critical alarms, or if any Object in the device supports Intrinsic Alarms, then provide a single Notification Class Object specifically for (shared by) all non-critical alarms.

(2) If the device implements critical alarms, provide a single Notification Class Object specifically for (shared by) all critical alarms.

(3) If the device implements both critical and non-critical alarms, provide both Notification Class Objects (one for critical, one for non-critical).

(4) If the device controls equipment other than a single terminal unit, provide both Notification Class Objects (one for critical, one for non-critical) even if no alarm generation is required at time of installation.

e. For all intrinsic alarms configure the Limit_Enable Property to set both HighLimitEnable and LowLimitEnable to TRUE. If the specified alarm conditions are for a single-sided alarm (only High_Limit used or

only Low_Limit used) assign a value to the unused limit such that the unused alarm condition will not occur.

f. For all objects supporting intrinsic alarming, even if no alarm generation is required during installation, configure the following Properties as follows:

(1) Notification_Class to point to the non-Critical Notification Class Object in that device.

(2) Limit_Enable to enable both the HighLimitEnable and LowLimitEnable

(3) Notify_Type to Alarm

g. Use of alarm generation types:

(1) Only use algorithmic alarm generation when intrinsic alarm generation is not supported by the device or object, or when the specific alarm conditions cannot be implemented using intrinsic alarm generation.

(2) Only use remote alarm generation when the alarm cannot be generated using intrinsic or local algorithmic alarm generation on the device containing the referenced property. If remote alarm generation is used, use the same DDC Hardware for all remote alarm generation within a single sequence.

3.1.3.3 Support for Future Alarm Generation

For every piece of DDC Hardware, support future alarm generation capabilities by supporting either intrinsic or additional algorithmic alarming. Provide one of the following:

a. Support intrinsic alarming for every Object used by the application in that device.

b. Support additional Event_Enrollment Objects. For DDC hardware controlling a single terminal unit, support at least one additional object. Otherwise, support at least 4 additional Objects. Support additional Event_Enrollment Objects via one of the following:

(1) Provide unused Event_Enrollment Objects on that device.

(2) Support the DM-OCD-B BIBB and the creation of sufficient Event_Enrollment Objects on that device.

(3) Provide one or more devices in the IP network that support the AE-N-E-B BIBB and have unused Event_Enrollment Objects.

(4) Provide one or more devices on the IP network that support the AE-N-E-B BIBB, the DM-OCD-B BIBB, and the creation of sufficient Event_Enrollment Objects.

The total number of Event_Enrollment Objects required by the project is the sum of the individual device requirements, and the distribution of Event_Enrollment Objects among devices is not further restricted. (Note this allows a single device to contain many Event_Enrollment Objects

satisfying the requirements for multiple devices.)

3.1.3.4 Trend Log Configuration

a. Configure trends in Trend Log or Trend Log Multiple Objects as indicated on the Points Schedule and as specified.

b. Configure all trend logs (including any provided to support future trends) to save data on regular intervals using the BUFFER_FULL event to request trend upload from the front end.

c. Configure Trend Log Objects with a minimum Buffer_Size property value of 1,000 and Trend Log Multiple Objects with a minimum Buffer_Size property value of 1,000 per point trended (for example, a Trend Log Multiple Object used to trend 3 points must have a Buffer_Size Property value of at least 3,000).

d. Configure a Notification Class Object in devices doing trending (including devices supporting future trends) to handle the BUFFER_FULL event.

e. When possible, trend each point using an Object in the device containing the point. When it is necessary to trend using a an Object in another device, all trends not on the same Device as the Object being trended must be on a singe device (i.e. all Trend Log and Trend Log Multiple Objects used for remote trending within a sequence must be on the same device).

f. For each trend log, including any trend logs provided to support future trending, configure the following properties as specified:

(1) Logging_Type: Set to Polling

(2) Stop_When_Full: Set to Wrap Around

(3) Buffer_Size: Set to 400 or greater.

(4) Notification_Threshold: Set to 90 percent of full

(5) Notification_Class: Set to the Notification Class Object in that device

(6) Event_Enable: Set to TRUE

(7) Log_Interval: Set to 15 minutes.

g. Future Trending support. Provide support for future trending:

(1) Provide one or more devices on the Building Control Network Backbone IP network which support both the T-VMT-E-B and DM-OCD-B BIBBs for Trend Log Objects. Provide sufficient devices to support the creation of at least one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit.

(2) Provide one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit in one or more devices on the Building Control Network Backbone IP network that support the T-VMT-E-B BIBB for later use by the

site.

(3) A combination of these two methods is permitted provided the total required number of Trend Log Objects is met.

3.1.3.5 Overrides

Provide an override for each point shown on the Points Schedule as requiring an override.

Unless otherwise approved, provide Commandable Objects to support all Overrides . With specific approval from the Contracting Officer, Overrides for points which are not hardware outputs and which are in DDC hardware controlling a single terminal unit may support overrides via an additional Object provided for the override. No other means of implementing Overrides may be used.

a. Where Commandable Objects are used, ensure that WriteProperty service requests with a Priority of 10 or less take precedence over the SEQUENCE VALUE and that WriteProperty service request with a priority of 11 or more have a lower precedence than the SEQUENCE VALUE.

b. For devices implementing overrides via additional Objects, provide Objects which are NOT Written to as part of the normal Sequence of Operations and are Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable. Use this point as an Override of the normal value when Out_Of_Service is TRUE and the normal value otherwise. Note these Objects may be modified as part of the sequence via local processes, but must not be modified by local processes when Out_Of_Service is TRUE.

3.1.4 BACnet Gateways

The requirements in this paragraph do not themselves permit the installation of hardware not meeting the other requirements of this section. Except for proprietary systems specifically indicated in Section 23 09 00, all control hardware installed under this project must meet the requirements of this specification, including the control hardware providing the network interface for a package unit or split system specified under another section. Only use gateways to connect to pre-existing control devices, and to proprietary systems specifically permitted by Section 23 09 00.

3.1.4.1 General Gateway Requirements

Provide BACnet Gateways to connect non-BACnet control hardware in accordance with the following:

a. Configure gateways to map writable data points in the controlled equipment to Writable Properties of Standard Objects as indicated in the Points Schedule and as specified.

b. Configure gateway to map readable data points in the controlled equipment to Readable Properties of Standard Objects as indicated in the Points Schedule and as specified.

c. Configure gateway to support the DS-COV-B BIBB for all points mapped to BACnet Objects.

d. Do not use non-BACnet control hardware for controlling built-up units or any other equipment that was not furnished with factory-installed controls.

e. Do not use non-BACnet control hardware for system scheduling functions.

f. Each gateway must communicate with and perform protocol translation for non-BACnet control hardware controlling one and only one package unit or a single non-BACnet system specifically permitted by Section 23 09 00.

g. Connect one network port on the gateway to the Building Control Backbone IP Network or to a BACnet MS/TP network and the other port to the single piece of controlled equipment or the non-BACnet system specifically permitted by Section 23 09 00..

h. For gateways to existing package units or simple split systems, non-BACnet network wiring connecting the gateway to the package unit must not exceed 10 feet in length and must connect to exactly two devices: the controlled equipment (packaged unit) or split system interface and the gateway.

-- End of Section --

SECTION 23 21 23

HYDRONIC PUMPS
05/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.1	(2024) Unified Inch Screw Threads (UN, UNR, and UNJ Thread Form)
ASME B16.1	(2020) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250

ASTM INTERNATIONAL (ASTM)

ASTM A48/A48M	(2022) Standard Specification for Gray Iron Castings
ASTM A123/A123M	(2024) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A307	(2023) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength

HYDRAULIC INSTITUTE (HI)

HI 1.3	(2013) Rotodynamic (Centrifugal) Pump Applications
HI 9.6.4	(2009) Rotodynamic Pumps for Vibration Analysis and Allowable Values
HI ANSI/HI 9.6.3	(2024) Rotodynamic Pumps - Guideline for Operating Regions - B120
HI ANSI/HI 14.1-14.2	(2019) Rotodynamic Pumps for Nomenclature and Definitions
HI ANSI/HI 14.6	(2011) Rotodynamic Pumps for Hydraulic Performance Acceptance Tests - A136

MASTER PAINTERS INSTITUTE (MPI)

MPI 23	(2015) Primer, Metal, Surface Tolerant
MPI 76	(2016) Primer, Alkyd, Quick Dry, for Metal
MPI 94	(2016) Alkyd, Exterior, Semi-Gloss (MPI

	Gloss Level 5)
MPI 141	(2016) Latex, Interior, High Performance Architectural, Semi-Gloss (MPI Gloss Level 5)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 00001	(2024) Motors and Generators
NEMA Z535.4	(2023) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 431.464	Test Procedure for the Measurement of Energy Efficiency, Energy Consumption, and Other Performance Factors of Pumps
10 CFR 431.465	Circulator Pumps Energy Conservation Standards and the Compliance Dates
29 CFR 1910.219	Mechanical Power Transmission Apparatus

UL SOLUTIONS (UL)

UL 778	(2016; Reprint Jul 2024) UL Standard for Safety Motor-Operated Water Pumps

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Base-Mounted, Flexible Coupled, End suction Pumps; G

Equipment Data; G

Installation Instructions; G

Training Period; G

Framed Instructions; G

SD-06 Test Reports

Field Quality Control

Pump Motor/Alignment Report; G

SD-07 Certificates

Manufacturer's Representative

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

Training; G

1.3 QUALITY ASSURANCE

1.3.1 Manufacturer Services

Provide the services of a manufacturer's representative experienced in the installation, adjustment, and operation of the equipment specified. The representative must supervise the installation, adjustment, testing of the equipment, and conduct training.

Submit the names and qualifications of the manufacturer's representative and training engineers and written certification from the manufacturer that the representative and trainers are technically qualified.

1.3.2 Standard Products

Provide material and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of such products and that essentially duplicate equipment that has been in satisfactory HVAC operation at least 2 years prior to issuance of this solicitation. Support equipment with a service organization that is reasonably convenient to the jobsite. Pumps of the same types must each be the product of one manufacturer.

1.3.3 Conformance with Agency Requirements

Where materials or equipment are specified to be an approved type, attach the seal or label of approval from a nationally recognized testing agency, adequately equipped and competent to perform such services. A written certificate from the testing agency must accompany the materials or equipment and be submitted stating that the items have been tested and that they conform to the applicable requirements of the specifications and to the standards listed herein. The certificate must indicate the methods of testing used by the testing agency. In lieu of a certificate from a testing agency, published catalog specification data, accompanied by the manufacturer's certified statement to the effect that the items are in accordance with the applicable requirements of the specifications and the referenced standards, will be considered and may be acceptable as evidence that the items conform with agency requirements.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect equipment, delivered and designated for storage, from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Hydronic pumps used for heating and air conditioning applications are defined by the type of impeller, number of impellers, type of casing, method of connection to the driver, and mounting position. Provide centrifugal water pumps of the types indicated and specified. Use an electric motor driving unit for each pump as indicated and specified.

2.1.1 Selection Criteria

Select pumps at a point within the maximum efficiency for a given impeller casing combination. Deviations within 3 percent of maximum efficiency are permissible, provided the lesser efficiency is not less than the scheduled efficiency in the construction design documents. Pumps having impeller diameters larger or smaller than manufacturer's published maximum and minimum impeller diameters for a given impeller casing combination will be rejected. Pump performance data, as shown in performance curves, must be based on factory tests using precision instrumentation and exacting procedures as detailed in HI ANSI/HI 14.6. Pump and motor must meet minimum Department of Energy requirements and must have a maximum Pump Efficiency Index (PEI) of 1.0 in accordance with 10 CFR 431.464 and 10 CFR 431.465.

2.1.2 Safety Requirements

Fully enclose or guard couplings, projecting set-screws, keys, and other rotating parts, that pose an entangling hazards.

2.2 MATERIALS AND EQUIPMENT

2.2.1 Nameplates

Securely affix a standard nameplate to pumps and motors in a conspicuous place showing the manufacturer's name, address, type or style, model, serial number, and catalog number. In addition, for each pump show the capacity in gpm at rated speed in rpm and total head in feet of water. For each electric motor show at least the minimum information required by NEMA MG 00001. Show such other information as the manufacturer may consider necessary to complete identification on the nameplate. Pumps must be listed and labeled by UL, and comply with UL 778 for pumps not using universal motors rated more than 250 volts such as circulating pumps.

2.2.2 Framed Instructions

Submit proposed diagrams, instructions, and other sheets, prior to posting. Post approved wiring and control diagrams showing the complete layout of the entire system, including equipment, piping valves, and control sequence, framed under glass or in approved laminated plastic, where directed. Provide condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system, framed as specified above for the wiring and control diagrams, and posted beside the diagrams. Post the framed instructions before acceptance testing of the systems.

2.2.3 Pump Characteristic

Construct hydronic water pumps in accordance with HI ANSI/HI 14.1-14.2. The pumps must be capable of discharging quantities at total discharge heads measured at the discharge flange, between the following limits:

Operate pumps at optimum efficiencies to produce the most economical pumping system under the conditions encountered and size to make optimum match with the system head curve as shown. The shutoff total head must not be greater than 120 percent of total rated head. Operate pumps at specified system fluid temperatures without vapor binding and cavitation. Select pumps to operate within the Preferred Operating Region (POR) related to specific speed in accordance with HI ANSI/HI 9.6.3.

2.2.4 Pump Drivers

Provide electric motors as indicated for each pump and in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.2.5 Equipment Data

Submit manufacturer's descriptive data and technical literature, performance charts and curves for all impeller sizes for a given casing, catalog cuts, and installation instructions. Provide spare parts data for each different item of material and equipment specified, after approval of the detail drawings and not later than one months prior to the date of beneficial occupancy. Include a complete list of parts and supplies, with current unit prices and local source of supply with contact information.

Submit catalog information, certified pumps curves, rated capacities, final impeller dimensions, and accessories provided for the product indicated. Indicate operating point of each pump on curves. Furnish pump curves for each pump and combination of pumps designed to operate in parallel. The pump curve must show as a minimum; bhp, flow, total dynamic head, efficiency, NPSH, impeller diameter and system curve (individually and in combination for each pump operating in a parallel application). Select pumps operating in parallel operation to cross the system curve while operating in parallel and during single pump operation.

2.3 HYDRONIC PUMPS

2.3.1 Base-Mounted, Flexible Coupled, End suction Pumps

Provide pumps with capacities as indicated; base mounted, separately-coupled, end suction designed with volute housing mounted to the frame to allow for pump service without relocating the motor or disturbing piping connections. Bearings and seals must be serviceable without disturbing piping. Pump must be factory hydrostatically tested in accordance with Hydraulic Institute standards and thoroughly cleaned.

2.3.1.1 Casing

Provide radially split pump casing ASTM A48/A48M Class 30 cast iron suitable for 175 psig working pressure with integral cast iron flanges drilled for ASME B16.1 ANSI Class 125 flanges, with an integrally-cast pedestal support foot. The pump volute must include gauge tappings at suction and discharge nozzles along with vent and drain tappings at top and bottom.

2.3.1.2 Pump Shaft

Carbon steel pump shaft with a replaceable stainless steel shaft sleeve completely covering the wetted area of the shaft under the seal.

2.3.1.3 Bearing

Incorporate maintenance free, permanently lubricated and sealed bearings in the pump bearing frame.

2.3.1.4 Seal Assembly

Equip with an integrally flushed mechanical seal assembly or a positive pressure external seal flushing line. Provide a mechanical seal with ceramic seal seat and carbon seal ring. Seal assembly must be rated up to 225 degrees F.

2.3.1.5 Baseplate

Baseplate must be of steel construction fully enclosed at sides and ends with welded cross members and fully open grouting area for field grouting. Minimum base plate stiffness must conform to HI 1.3 for horizontal baseplate design standards.

2.3.1.6 Coupler

Provide a flexible-type coupler between the pump and motor, capable of absorbing torsional vibration and variable speed operation between the pump and motor. The coupler must allow replacement with no need to move the hubs. Coupler must have natural rubber or neoprene type element materials with a maximum misalignment capability of 4 degrees angular and 0.125 inches parallel. Provide donut shaped elastomer element with preassembled flanges mechanically clamped to reinforced element and preassembled spacer center assembly. Secure flexible donut shaped element of coupler in place with radial clamp ring screws. Couplers must be rated for required maximum rpm, horsepower and torque. The coupler must be shielded by a coupler guard securely fastened to the base. Provide coupler guard in compliance with current national safety standards including 29 CFR 1910.219 and NEMA Z535.4. Guards cannot have gaps greater than 0.250 inches, must be bright in color, and have an NEMA Z535.4 compliant warning label.

2.3.1.7 Impeller

Hydraulically and dynamically balance to HI 9.6.4 balance grade G6.3, closed, overhung, single suction, fabricate from cast bronze, key to shaft and secured by a locking cap screw.

2.3.1.8 Motor

Electric Motors must meet NEMA MG 00001 and be the horsepower, speed, and voltage indicated. Motor enclosure must be open drip proof, . Motor must have heavy duty grease lubricated ball bearings completely adequate for the maximum load for which the motor is designed. Motor must be non-overloading at any point on the pump curve and premium efficiency.

2.4 ELECTRICAL WORK

Provide electrical motor driven equipment specified herein complete with motors, motor starters, and controls. Provide electric equipment and

wiring in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Electrical characteristics must be as indicated. Provide motor starters complete with properly sized thermal overload protection in each phase and other appurtenances necessary for the motor control specified. Each motor must be of sufficient capacity to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor when operating at proper electrical system voltage and frequency. Manual or automatic control and protective or signal devices required for the operation herein specified and any control wiring required for controls and devices but not indicated must be provided under this section of the specifications.

2.5 ELECTRICAL EQUIPMENT

Provide electrical equipment in conformance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide electrical motor driven equipment herein specified complete with motors, motor starters, and controls. Motor controls, equipment, and wiring must be in accordance with NFPA 70.

2.5.1 Electric Motors

Drive each electric motor-driven pump by a continuous-duty electric motor with enclosure type for specific service as defined in paragraph HYDRONIC PUMPS. Motor must have a 1.5 service factor. Provide squirrel-cage induction motors having normal-starting-torque and low-starting-current characteristics, and of sufficient size so that the nameplate horsepower rating must not be exceeded throughout the entire published pump characteristic curve. Integral size motors must be the premium efficiency type in accordance with NEMA MG 00001. Motor bearings must provide smooth operations under the conditions encountered for the life of the motor. Provide adequate thrust bearing in the motor to carry the weight of all rotating parts plus the hydraulic thrust and be capable of withstanding upthrust imposed during pump starting. Motors must be rated 460 volts, 3 phase, 60 Hz and such rating must be stamped on the nameplate. Provide motors in conformance with NEMA MG 00001.

2.5.2 Control Equipment

Automatically controlled pumps must have three-position "MANUAL-OFF-AUTOMATIC" selector switch in cover. Provide additional controls or protective devices as indicated. Install a pump low-water cutoff in the well and must shut the pump off when the water level in the well reaches the level shown.

2.5.3 Variable Speed Control

2.5.3.1 Adjustable Speed Drive

The variable speed motor controllers must be in accordance with Section 26 29 23 ADJUSTABLE SPEED DRIVE SYSTEMS UNDER 600 VOLTS.

2.6 EQUIPMENT APPURTENANCES

2.6.1 Attachments

Furnish all necessary bolts, nuts, washers, bolt sleeves, and other types of attachments with the equipment for the installation of the equipment. Bolts must be in accordance with ASTM A307 and hexagonal nuts are to be of the same quality as the bolts used. Threads must be clean-cut in

accordance with ASME B1.1. Bolts, nuts, and washers specified to be galvanized or not otherwise indicated or specified, must be zinc coated after being threaded, by the hot-dip process in accordance with ASTM A123/A123M as appropriate. Bolts, nuts, and washers specified or indicated to be stainless steel must be Type 316.

2.6.2 Equipment Guards

Provide equipment driven by open shafts, belts, chains, or gears with all-metal guards enclosing the drive mechanism. Secure guards in position with steel braces or straps that permit easy removal for servicing the equipment. Coupler guards must comply with current national safety standards including 29 CFR 1910.219 and NEMA Z535.4. Provide guards with gaps no greater than 0.250 inches, safety orange in color, and have an NEMA Z535.4 compliant warning label.

2.6.3 Tools

Furnish a complete set of all special tools which may be necessary for the adjustment, operation, maintenance, and disassembly of all equipment. Special tools are considered to be those tools which, because of their limited use are not normally available, but which are necessary for the particular equipment. Special tools must be high-grade, smooth, forged, alloy, tool steel. Furnish one pressure grease gun for each type of grease required. Deliver all tools at the same time as the equipment to which they pertain. Properly store and safeguard such tools until completion of the work, at which time deliver them to the Contracting Officer.

2.6.4 Pump Suction Diffuser/Guide

Provide a cast iron or ductile iron pump suction diffuser/guide with flanges confirming to ASME B16.1, Class 125. The pump suction guide must provide inlet condition enhancement to the pump by guide vanes.

2.7 FINISHES

All motors, pump casings, and similar parts of equipment must be thoroughly cleaned, primed, and given two finish coats of paint at the factory in accordance with the recommendations of the manufacturer. Give ferrous surfaces not to be painted a shop coat of grease or other suitable rust-resistant coating.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field and advise the Contracting Officer of any discrepancy before performing the work.

3.2 INSTALLATION

Install each pump and motor in accordance with the written instructions of the manufacturer. Provide access space around the device for servicing no less than the minimum recommended by the manufacturer. All recommendations in the written instructions of the manufacturer are mandatory requirements.

3.2.1 Base Mounted, Long-Coupled Pumps

Set the pump baseplate as follows.

a. Place two sets of shims or wedges for each foundation bolt. Lower baseplate onto foundation bolts and level baseplate both lengthwise and across by adding or removing shims or mount wedges. A maximum difference of 0.125 inches lengthwise and 0.059 inches across is allowable.

b. Mount pump and driver on baseplate if not already mounted at factory. Pump and driver shafts must have an initial cold (pump and driver at ambient temperature) alignment check and final hot (pump and driver at operating temperature) alignment check. Perform cold alignment check before baseplate is grouted, after baseplate is grouted, and after piping is connected. Perform final alignment check when pump and driver are at operating temperature. Move or shim only the driver to make adjustments to prevent strain on the piping installations. Initial alignment may be performed with scales, straight edges and calipers. Final alignment must be done with dial gauges or laser alignment devices. Final alignment misalignment may not exceed coupling manufacturer's maximum parallel and angular misalignment values. When using variable frequency drives, reduce the manufacturer's misalignment values by 50 percent. Remove flexible coupling when performing alignment.

c. Support the connecting piping to ensure that there are no piping loads at the pump flange connections and connecting piping is not forced into position. Use concrete for equipment foundations in accordance with Section 03 30 00 CAST-IN-PLACE CONCRETE. Provide concrete foundations that are integral with and of the same class as that of the building floor unless otherwise indicated. Use concrete having a compressive strength of at least 2,500 psi in foundations that are entirely separated from the surrounding floor. Install a pre-molded filler strip between the foundation and floor slab as shown. Furnish foundation bolts, as required, for proper positioning during the placement of the concrete.

3.3 FIELD QUALITY CONTROL

After installation of the pumping units and appurtenances, including coupling guard, is complete, carry out operating tests to assure that the pumping installation operates properly. Give each pumping unit a running field test in the presence of the Contracting Officer for a minimum of 2 hours. Operate each pumping unit at its rated capacity or such other point on its head-capacity curve selected by the Contracting Officer. Provide an accurate and acceptable method of measuring the discharge flow. Tests must assure that the units and appurtenances have been installed correctly, that there is no objectionable heating, vibration, or noise from any parts, and that all manual and automatic controls function properly. If any deficiencies are revealed during any tests, correct such deficiencies and reconduct the tests.

Provide a pump start-up report and test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report must indicate the final position of controls.

3.4 FIELD PAINTING

Do not paint stainless steel, galvanized steel, and nonferrous surfaces.

3.4.1 Touch-up painting

Factory painted items requiring touching up in the field must be thoroughly cleaned of all foreign material, and primed and top coated with the manufacturer's standard factory finish.

3.4.2 Exposed Ferrous Surfaces

Paint exposed ferrous surfaces with two coats of enamel paint. For interior ferrous metal, apply High Performance Latex System. Prime in accordance with MPI 76 and apply intermediate and topcoat in accordance with MPI 141. For exterior ferrous metal, apply Alkyd system. Primer per MPI 23 and apply intermediate and topcoat per MPI 94.

3.5 CLOSEOUT ACTIVITIES

3.5.1 Operation and Maintenance Manuals

Submit one complete set at the time the tests procedure is submitted; remaining sets before the contract is completed. Permanently bind each in a hard cover. Inscribe the following identification on the covers: the words "OPERATING AND MAINTENANCE INSTRUCTIONS," name and location of the building, name of the Contractor, and contract number. Place flysheets before instructions covering each subject. Use 8-1/2 by 11 inches paper for instruction sheets, with large sheets of drawings folded in.

Include, but do not limit to, the following in the Instructions:

a. System layout showing piping, valves, and controls.

b. Approved wiring and control diagrams including variable frequency drives.

c. A control sequence describing startup, operation, and shutdown.

d. Operating and maintenance instructions for each piece of equipment, including task list for routine maintenance, routine inspections, intermediate inspections, and annual inspections; lubrication instructions; and troubleshooting guide.

e. Manufacturer's bulletins, cuts, and descriptive data; and parts list and recommended spare parts.

3.5.2 Training

Upon completion of the work, and at a time designated by the Contracting Officer, provide the services of one or more competent engineers for a training period of not less than 4 hours to instruct a representative of the Government in the contents of the operation and maintenance manuals for the equipment furnished under these specifications. These field instructions must cover all the items contained in the bound instructions. Submit the training course curriculum and training instructions 14 days prior to the start of training.

3.5.3 Pump Motor/Alignment Report

Provide a pump motor/alignment report for base mounted pumps showing alignment of the motor and driver with initial cold alignment check prior to grouting, after grouting, and after piping connection, and final hot alignment check. Include in the alignment reports the parallel and angular alignment values and manufacturer's maximum allowable values.

-- End of Section --

SECTION 23 25 00

CHEMICAL TREATMENT OF WATER FOR MECHANICAL SYSTEMS
05/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D2688	(2015; E 2016) Standard Test Method for Corrosivity of Water in the Absence of Heat Transfer (Weight Loss Methods)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Spare Parts

Field Instructions

Tests; G

Training Course; G

1.3 MAINTENANCE MATERIAL SUBMITTALS

Submit spare parts data for each different item of material and equipment specified, after approval of the detail drawings, not later than 1 months prior to the date of beneficial occupancy. Include a complete list of parts and supplies, with source of supply, with the data.

1.4 QUALITY CONTROL

1.4.1 Safety

Ensure exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel are insulated, fully enclosed, guarded, or fitted with other types of safety devices. Install safety devices so that proper operation of equipment is not impaired.

1.4.2 Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. Carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions.

1.5 DELIVERY, STORAGE, AND HANDLING

Protect all equipment delivered and placed in storage from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

2.1.1 Summary

This section covers the provisions and installation procedures necessary for a complete and totally functional water system(s) chemical treatment. Provide and install the system with all necessary System Components, Accessories, Piping Components, and Supplemental Components/Services.
.

2.1.2 Standard Products

a. Provide materials and equipment which are standard products of a manufacturer regularly engaged in the manufacturing of such products, that are of a similar material, design and workmanship and that have been in satisfactory commercial or industrial use for two years' prior to bid opening.

b. Include in the two-year use all applications of equipment and materials under similar circumstances and of similar size. Ensure the two years' experience has been satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures. Products having less than a two-year field service record are acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown.

c. All products are required to be supported by a service organization. Submit a certified list of qualified permanent service organizations for support of the equipment, including their addresses and qualifications. These service organizations are required to be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

d. The selected service organization provides the chemicals required, the concentrations required, and the water treatment equipment sizes and flow rates required. The company provides all chemicals required for the chilled water systems and fills the systems with chemicals to the levels specified. The chemical is required to meet the requirements of this specification as well as the recommendations from the manufacturers of the condenser and cooling tower. Acid treatment

chemicals are not allowed to be used.

2.2 COMPONENTS

2.2.1 Chilled Water System

Provide a 2 gallon shot feeder on the chilled water piping as indicated. Furnish the feeder with an air vent, gauge glass, funnel, valves, fittings, and piping.

2.2.1.1 Chilled Water Treatment

Treat chilled water with either a borax/nitrite type treatment or a molybdate type treatment. Both types of treatment are acceptable for use with glycol. Maintain borax/nitrite treatment at the limits of 500 ppm nitrite, 50 to 100 ppm copper corrosion inhibitor (TT or MBT), and pH of 8.5 to 9.5. Maintain molybdate treatment at the limits of 50 to 100 ppm molybdate, 50 to 100 ppm copper corrosion inhibitor (TT or MBT), and pH of 8.0 to 9.0.

2.2.1.2 Chilled Water Test Kits

Provide one test kit of each type required to determine the water quality as outlined within the operation and maintenance manuals (e.g. pH and nitrite or molybdate).

2.2.2 Test Kit

Provide one test kit of each type required to determine the water quality as outlined within the operation and maintenance manuals (e.g. pH, hardness and sulfite).

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy, before performing any work.

3.2 INSTALLATION

Provide all chemicals, equipment and labor necessary to bring all system waters in conformance with the specified requirements. Perform all work in accordance with the manufacturer's published diagrams, recommendations, and equipment warranty requirements.

3.2.1 Piping

Fabricate all connections between dissimilar metals using dielectric unions.

3.3 FIELD QUALITY CONTROL

3.3.1 Tests

If the waters of the mechanical systems are not in conformance with the specified requirements or in accordance with manufacturer's recommendations, the contractor is required to direct the water treatment

company to take corrective action to achieve compliance. Perform daily operational tests in the directed frequencies to maintain required control to prevent corrosion, scaling and damage to equipment during operation. Submit test schedules, at least 2 weeks prior to the start of related testing, for the condenser/chilled/boiler/condensate/feedwater water quality tests. Identify the date, time, frequency and collection location for each test within the schedules.

3.3.2 Chilled Water Testing (monthly)

Perform the following tests on chilled water on a monthly basis.

pH	
Nitrite or Molybdate	ppm (mg/L)
Conductivity	micromho/cm

3.3.3 Quality Assurance Testing

Conduct QA testing periodically by an independent water treatment lab/consultant to verify to managers that the mechanical and water treatment systems are being maintained properly. Provide the QA evaluation reports to the government COR.

3.3.3.1 Chilled Water Quality Assurance Testing (quarterly)

Perform the following tests quarterly on chilled water.

pH	
Nitrite or Molybdate	ppm (mg/L)
Conductivity	micromho/cm
Iron (total, as Fe(2)O(3))	ppm (mg/L)
Written evaluation summary	

3.3.4 Corrosion Testers

Install corrosion coupon and rack systems to verify corrosion control in the systems. Install testers or coupons in flowing system water through a sidestream or rack system. Test both mild steel and copper metal samples in the corrosion testers in accordance with ASTM D2688. Replace and analyze samples every 3 months. Rates of corrosion less than 3 mpy for steel and 0.2 mpy for copper are acceptable. Install corrosion testers on the piping systems of the following systems.

Chilled water system

3.4 CLOSEOUT ACTIVITIES

3.4.1 Training Course

Submit a schedule, at least 2 weeks prior to the date of the proposed training course that identifies the date, time, and location for the training. Conduct a training course for the operating staff as designated by the Contracting Officer. Conduct the training to include a total of 4 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests. Submit field instructions, at least 2 weeks prior to construction completion, including equipment layout, wiring and control diagrams, piping, valves and control sequences, and typed condensed operation instructions. Include within the condensed operation instructions all preventative maintenance procedures, methods of checking the system for normal and safe operation, and procedures for safely starting and stopping the system. Frame the posted instructions under glass or laminated plastic and post where indicated by the Contracting Officer. Ensure the field instructions cover all of the items contained in the Operation and Maintenance Manuals as well as demonstrations of routine maintenance operations.

3.5 INSPECTIONS

3.5.1 Inspection General Requirements

Thirty days after project completion, inspect the cooling tower and condenser for problems due to corrosion, scale, and biological growth. If the cooling tower and condenser are found not to conform to the manufacturer's recommended conditions, and the water treatment company recommendations have been followed; instruct the water treatment company to provide all chemicals and labor for cleaning or repairing the equipment as required by the manufacturer's recommendations.

-- End of Section --

SECTION 23 64 10

WATER CHILLERS, VAPOR COMPRESSION TYPE
05/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 550/590 I-P	(2023) Performance Rating Of Water-Chilling and Heat Pump Water-Heating Packages Using the Vapor Compression Cycle

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

ABMA 9	(2015) Load Ratings and Fatigue Life for Ball Bearings
ABMA 11	(2014; R 2020) Load Ratings and Fatigue Life for Roller Bearings

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ANSI/ASHRAE 15 & 34	(2022) ASHRAE Standard 15-Safety Standard for Refrigeration Systems and ANSI/ASHRAE Standard 34-Designation and Safety Classification of Refrigerants

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME BPVC SEC VIII D1	(2023) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

AMERICAN WELDING SOCIETY (AWS)

AWS Z49.1	(2021) Safety in Welding, Cutting and Allied Processes

ASTM INTERNATIONAL (ASTM)

ASTM A307	(2023) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM D520	(2025) Standard Specification for Zinc Dust Pigment
ASTM E84	(2024) Standard Test Method for Surface

	Burning Characteristics of Building Materials
ASTM F104	(2011; R 2020) Standard Classification System for Nonmetallic Gasket Materials

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 00001	(2024) Motors and Generators
NEMA MG 10009	(2022) Energy Management Guide for Selection and Use of Single-Phase Motors

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 82	Protection of Stratospheric Ozone

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Verification of Dimensions

System Performance Tests

Demonstrations

Refrigerant

Water Chiller - Field Acceptance Test Plan

Rotary Screw Type Water Chillers

SD-06 Test Reports

Field Acceptance Testing

Water Chiller - Field Acceptance Test Report

System Performance Tests

SD-07 Certificates

Refrigeration System; G

Refrigeration Technician Certification

SD-08 Manufacturer's Instructions

Water Chiller - Installation Instructions; G

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

Training Course Schedule; G

SD-11 Closeout Submittals

Indoor Air Quality During Construction; S

1.3 CERTIFICATIONS

1.3.1 Refrigeration Technician Certification

All technicians working on equipment that contain Class I or II refrigerants must be certified as a Section 608 Technician to meet requirements in 40 CFR 82, Subpart F. Provide copies of technician certifications to the Contracting Officer at least 14 calendar days prior to work on any equipment containing these refrigerants.

1.4 SAFETY REQUIREMENTS

Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Install safety devices so that proper operation of equipment is not impaired. Welding and cutting safety requirements must be in accordance with AWS Z49.1.

1.5 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Proper protection and care of all material both before and during installation is the Contractor's responsibility. Any materials found to be damaged must be replaced at the Contractor's expense. During installation, piping and similar openings must be capped to keep out dirt and other foreign matter.

1.6 PROJECT REQUIREMENTS

1.6.1 Verification of Dimensions

The Contractor must become familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

PART 2 PRODUCTS

2.1 STANDARD COMMERCIAL PRODUCTS

Materials and equipment must be standard Commercial cataloged products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. These products must have a two year record of satisfactory field service prior to bid opening. The two year record of service must include applications of equipment and materials under similar circumstances and of similar size.

Products having less than a two year record of satisfactory field service is acceptable if a certified record of satisfactory field service for not less than 6000 hours can be shown. The 6000 hour service record must not include any manufacturer's prototype or factory testing. Satisfactory field service must have been completed by a product that has been, and presently is being sold or offered for sale on the commercial market through the following copyrighted means: advertisements, manufacturer's catalogs, or brochures.

2.2 MANUFACTURER'S STANDARD NAMEPLATES

Nameplates are required on major components if the manufacturer needs to provide specific engineering and manufacturing information pertaining to the particular component. Should replacement of this component be required, nameplate information must insure correct operation of the unit after replacement of this component.

2.3 ELECTRICAL WORK

a. Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Manual or automatic control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown, must be provided. For packaged equipment, the manufacturer must provide controllers including the required monitors and timed restart.

b. For single-phase motors, provide high-efficiency type, fractional-horsepower alternating-current motors, electronically commutated motors, including motors that are part of a system, in accordance with NEMA MG 10009.

c. For polyphase motors, provide squirrel-cage medium induction motors, including motors that are part of a system, and that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 00001.

d. Provide motors in accordance with NEMA MG 00001 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor. Motors must be rated for continuous duty with the enclosure specified. Motor duty requirements must allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motor torque must be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload protection and other necessary appurtenances. Motor enclosure type may be either TEAO or TEFC.

e. Use adjustable frequency drives for all variable-speed motor applications. Provide variable frequency drives for motors as specified in Section 26 29 23 ADJUSTABLE SPEED DRIVE (ASD) SYSTEMS UNDER 600 VOLTS.

f. Provide inverter duty premium efficiency motors for use with variable frequency drives.

2.4 SELF-CONTAINED WATER CHILLERS, VAPOR COMPRESSION TYPE

Unless necessary for delivery purposes, units must be assembled, leak-tested, charged (refrigerant and oil), and adjusted at the factory. In lieu of delivery constraints, a chiller may be assembled, leak-tested, charged (refrigerant and oil), and adjusted at the job site by a factory representative. Unit components delivered separately must be sealed and charged with a nitrogen holding charge. Unit assembly must be completed in strict accordance with manufacturer's recommendations. Parts weighing 50 pounds or more which must be removed for inspection, cleaning, or repair, such as motors, gear boxes, cylinder heads, casing tops, condenser, and cooler heads, must have lifting eyes or lugs. Chiller must include all customary auxiliaries deemed necessary by the manufacturer for safe, controlled, automatic operation of the equipment. Provide chiller with a single point wiring connection for incoming power supply, unless noted otherwise on the drawings. Chiller must operate within capacity range and speed recommended by the manufacturer. Chiller must operate at partial load conditions without increased vibration over normal vibration at full load, and must be capable of continuous operation down to the specified minimum capacity.

2.4.1 Rotary Screw Type Water Chillers

Chiller must be certified for performance per AHRI 550/590 I-P. If specified performance is outside of the Application Rating Conditions of AHRI 550/590 I-P, Table 2 then the chiller's performance must be rated in accordance with AHRI 550/590 I-P. In addition, project specific performance data must be based on the project site elevation above sea level, and clearly noted as such on the submittal. Chiller must conform to ANSI/ASHRAE 15 & 34. As a minimum, chiller must include the following components as defined in paragraph CHILLER COMPONENTS.

a. Refrigerant and oil

b. Structural base

c. Chiller refrigerant circuit per compressor

d. Controls package

e. Scroll, reciprocating, or rotary screw compressor

f. Compressor driver, electric motor

g. Compressor driver connection

h. Water cooler (evaporator)

i.

2.5 CHILLER COMPONENTS

2.5.1 Refrigerant

Refrigerants must be one of the fluorocarbon gases. Refrigerants must have number designations and safety classifications in accordance with ANSI/ASHRAE 15 & 34. CFC-based refrigerants are prohibited. Refrigerants must have an Ozone Depletion Potential (ODP) no greater than 0.0 and a

maximum Global Warming Potential (GWP) of 700. Provide SDS sheets for all refrigerants.

2.5.2 Structural Base

Chiller and individual chiller components must be provided with a factory-mounted structural steel base (welded or bolted) or support legs. Chiller and individual chiller components must be isolated from the building structure by means of molded neoprene isolation pads.

2.5.3 Chiller Refrigerant Circuit

Chiller refrigerant circuit must be completely piped and factory leak tested in accordance with ANSI/ASHRAE 15 & 34. For multicompressor units, not less than two independent refrigerant circuits must be provided. Circuit must include as a minimum a combination filter and drier, combination sight glass and moisture indicator, an electronic or thermostatic expansion valve with external equalizer or float valve, charging ports, compressor service valves for field-serviceable compressors, and superheat adjustment.

2.5.4 Controls Package

Provide chillers with a complete factory-mounted, microprocessor based operating and safety control system. Controls package must contain as a minimum a digital display, an on-auto-off switch, motor starters, power wiring, and control wiring. Controls package must provide operating controls, monitoring capabilities, programmable setpoints, safety controls, and BAS interfaces as defined below.

2.5.4.1 Operating Controls

Chiller must be provided with the following adjustable operating controls as a minimum.

a. Leaving chilled water temperature control

b. Adjustable timer or automated controls to prevent a compressor from short cycling

c. Automatic lead/lag controls (adjustable) for multi-compressor units

d. Load limiting

e. System capacity control to adjust the unit capacity in accordance with the system load and the programmable setpoints. Controls must automatically re-cycle the chiller on power interruption.

f. Startup and head pressure controls for air-cooled condensers to allow system operation at all ambient temperatures down to 25 degrees F.

g. Fan sequencing for air-cooled condenser

2.5.4.2 Monitoring Capabilities

During normal operations, the control system must be capable of monitoring and displaying the following operating parameters. Access and operation of display must not require opening or removing any panels or doors.

a. Entering and leaving chilled water temperatures

b. Chilled water flow

c.

d. Self diagnostic

e. Operation status

f. Operating hours

g. Number of starts

h. Compressor status (on or off)

i. Compressor load (percent)

j. Refrigerant discharge and suction pressures

k. Oil pressure (if applicable)

2.5.4.3 Configurable Setpoints

The control system must be capable of being configured directly at the unit's interface panel. No parameters may be capable of being changed without first entering a security access code. The programmable setpoints must include the following as a minimum:

a. Leaving Chilled Water Temperature

b. Time Clock/Calendar Date

2.5.4.4 Safety Controls with Manual Reset

Chiller must be provided with the following safety controls which automatically shutdown the chiller and which require manual reset.

a. Low chilled water temperature protection

b. High condenser refrigerant discharge pressure protection

c. Low evaporator pressure protection

d. Chilled water flow detection

e. High motor winding temperature protection

f. Low oil flow protection (if applicable)

g. Motor current overload and phase loss protection

2.5.4.5 Safety Controls with Automatic Reset

Chiller must be provided with the following safety controls which automatically shutdown the chiller and which provide automatic reset.

a. Over/under voltage protection

b. Chilled water flow interlock

c. Phase reversal protection

2.5.4.6 Remote Alarm

During the initiation of a safety shutdown, a chiller's control system must be capable of activating a remote alarm bell. In coordination with the chiller, the Contractor must provide an alarm circuit (including transformer if applicable) and a minimum 4 inch diameter alarm bell. Alarm circuit must activate bell in the event of machine shutdown due to the chiller's monitoring of safety controls. The alarm bell must not sound for a chiller that uses low-pressure cutout as an operating control.

2.5.4.7 Utility Monitoring and Control System Interface

Provide a Utility Monitoring and Control System (UMCS) interface meeting the requirements of Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and the requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. The interface must provide all system operating conditions, capacity controls, and safety shutdown conditions as network points. In addition, the following points must be overridable via the network interface:

a. Unit Start/Stop

b. Leaving Chilled Water Temperature Setpoint

2.5.5 Compressor(s)

2.5.5.1 Rotary Screw Compressor(s)

Compressors must operate stably for indefinite time periods to at least 25 percent capacity reduction without gas bypass external to the compressor. Provision must be made to insure proper lubrication of bearings and shaft seals on shutdown with or without electric power supply. Rotary screw compressors must include:

a. An open or hermetic, positive displacement, oil-injected design directly driven by the compressor driver. Allow access to internal compressor components for repairs, inspection, and replacement of parts.

b. Rotors must be solid steel, possessing sufficient rigidity for proper operation.

c. A maximum rotor operating speed no greater than 3600 RPM. Provide cast iron rotor housing.

d. Casings of cast iron, precision machined for minimal clearance about periphery of rotors with minimal clearance at rotor tops and rotor ends.

e. A lubrication system of the forced-feed type that provides oil at the

proper pressure to all parts requiring lubrication.

f. Bearing housing must be conservatively loaded and rated for an L(10) life of not less than 200,000 hours. Shaft main bearings of the sleeve type with heavy duty bushings or rolling element type in accordance with ABMA 9 or ABMA 11.

g. A differential oil pressure or flow cutout to allow the compressor to operate only when the required oil pressure or flow is provided to the bearings.

h. Use a Variable Frequency Drive (VFD) to modulate capacity modulation from 100 percent to 15 percent.

i. An oil separator and oil return system to remove oil entrained in the refrigerant gas and automatically return the oil to the compressor.

j. Crankcase oil heaters must be provided.

2.5.6 Compressor Driver, Electric Motor

Components such as motors, starters, variable speed drives, and wiring must be in accordance with paragraph ELECTRICAL WORK. Variable frequency drive must be unit mounted as indicated with variable frequency drive type, wiring, and accessories coordinated with the chiller manufacturer.

2.5.7 Water Cooler (Evaporator)

Cooler must be of the shell-and-coil or shell-and-tube type design. Cooler shell must be constructed of seamless or welded steel. Coil bundles must be totally removable and arranged to drain completely. Tubes must be seamless copper, plain, integrally finned with smooth bore or integrally finned with enhanced bore. Each tube must be individually replaceable. Tubes must be installed into carbon mild steel tube sheets by rolling. Tube baffles must be properly spaced to provide adequate tube support and cross flow. Performance must be based on a water velocity not less than 3 fps nor more than 12 fps and a fouling factor per AHRI 550/590 I-P.

Brazed plate heat exchanger must be constructed of 304 or 316 stainless steel, designed to a refrigerant-side working pressure of 430 psig and a waterside working pressure of 150 psig. Evaporator must be factory tested at 1.1 times maximum allowable refrigerant side working pressure and 1.5 times maximum allowable water side working pressure. Provide cooler heaters to protect the evaporator to an ambient of minus 20 degrees F. Provide cooler with factory-installed flow switches. All water connections must use either flanged or grooved-pipe connections. Factory insulate all cold surfaces.

2.5.8 Air-Cooled Condenser Coil

Condenser coil must be of the extended-surface fin-and-tube type and must be constructed of seamless copper or aluminum tubes with compatible copper or aluminum fins. Fins must be soldered or mechanically bonded to the tubes and installed in a metal casing. Coils must be circuited and sized for a minimum of 5 degrees F subcooling and full pump-down capacity. Coil must be factory leak and pressure tested after assembly in accordance with ANSI/ASHRAE 15 & 34.

2.5.9 Receivers

Receiver must bear a stamp certifying compliance with ASME BPVC SEC VIII D1 and must meet the requirements of ANSI/ASHRAE 15 & 34. Inner surfaces must be thoroughly cleaned by sandblasting or other approved means. Each receiver must have a storage capacity not less than 20 percent in excess of that required for the fully-charged system. Each receiver must be equipped with inlet, outlet drop pipe, drain plug, purging valve, relief valves of capacity and setting required by ANSI/ASHRAE 15 & 34, and two bull's eye liquid-level sight glasses. Sight glasses must be in the same vertical plane, 90 degrees apart, perpendicular to the axis of the receiver, and not over 3 inches horizontally from the drop pipe measured along the axis of the receiver. In lieu of bull's eye sight glass, external gauge glass with metal glass guard and automatic closing stop valves may be provided.

2.6 ACCESSORIES

2.6.1 Refrigerant Relief Valve/Rupture Disc Assembly

The assembly must be a combination pressure relief valve and rupture disc designed for refrigerant usage. The assembly must be in accordance with ASME BPVC SEC VIII D1 and ANSI/ASHRAE 15 & 34. The assembly must be provided with a pressure gauge assembly that provides local indication if a rupture disc is broken. Rupture disc must be the non-fragmenting type.

2.6.2 Gaskets

Gaskets must conform to ASTM F104 - classification for compressed sheet with nitrile binder and acrylic fibers for maximum 700 degrees F service.

2.6.3 Bolts and Nuts

Bolts and nuts, except as required for piping applications, must be in accordance with ASTM A307. The bolt head must be marked to identify the manufacturer and the standard with which the bolt complies in accordance with ASTM A307.

2.7 FABRICATION

2.7.1 Factory Coating

Unless otherwise specified, equipment and component items, when fabricated from ferrous metal, must be factory finished with the manufacturer's standard finish, except that items located outside of buildings must have weather resistant finishes that withstands 500 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution. Immediately after completion of the test, the specimen must show no signs of blistering, wrinkling, cracking, or loss of adhesion and no sign of rust creepage beyond 1/8 inch on either side of the scratch mark. Cut edges of galvanized surfaces where hot-dip galvanized sheet steel is used must be coated with a zinc-rich coating conforming to ASTM D520, Type I.

2.7.2 Factory Applied Insulation

Chiller must be provided with factory installed insulation on surfaces subject to sweating including the water cooler, suction line piping, economizer, and cooling lines. Insulation on heads of coolers may be

field applied, however it must be installed to provide easy removal and replacement of heads without damage to the insulation. Where motors are the gas-cooled type, factory installed insulation must be provided on the cold-gas inlet connection to the motor per manufacturer's standard practice. Factory insulated items installed outdoors are not required to be fire-rated. As a minimum, factory insulated items installed indoors must have a flame spread index no higher than 75 and a smoke developed index no higher than 150. Factory insulated items (no jacket) installed indoors and which are located in air plenums, in ceiling spaces, and in attic spaces must have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Flame spread and smoke developed indexes must be determined by ASTM E84. Insulation must be tested in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket must be tested as a composite material. Jackets, facings, and adhesives must have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with ASTM E84.

2.7.3 Coil Corrosion Protection

Provide coil with a uniformly applied phenolic type coating to all coil surface areas without material bridging between fins. Submit product data on the type coating selected, the coating thickness, the application process used, the estimated heat transfer loss of the coil, and verification of conformance with the salt spray test requirement. Coating must be applied at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 3,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.8 SUPPLEMENTAL COMPONENTS/SERVICES

2.8.1 Chilled and Condenser Water Piping and Accessories

Chilled piping and accessories must be provided and installed in accordance with Section 23 64 26 CHILLED, CHILLED-HOT, AND CONDENSER WATER PIPING SYSTEMS.

2.8.2 Temperature Controls

Chiller control packages must be fully coordinated with and integrated into the temperature control system indicated in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

PART 3 EXECUTION

3.1 INSTALLATION

Installation of water chiller systems including materials, installation, workmanship, fabrication, assembly, erection, examination, inspection, and testing must be in accordance with the manufacturer's written installation instructions, including the following:

(1) Water chiller - installation instructions

3.1.1 Installation Instructions

Provide manufacturer's standard catalog data, at least 5 weeks prior to

the purchase or installation of a particular component, highlighted to show features such as materials, dimensions, options, performance and efficiency. Data must include manufacturer's recommended installation instructions, and start-up procedures and checklists. Data must be adequate to demonstrate compliance with contract requirements.

All recommendations stated in the installation instructions or other similar manufacturer's published literature must be considered mandatory and part of the contract documents. Any discrepancies to the engineered drawings and specifications must be brought to the attention of the COR.

3.1.2 Vibration Isolation

If vibration isolation is specified for a unit, vibration isolator literature must be included containing catalog cuts and certification that the isolation characteristics of the isolators provided meet the manufacturer's recommendations.

3.1.3 Verification of Dimensions

Provide a letter including the date the site was visited, conformation of existing conditions, and any discrepancies found.

3.1.4 System Performance Test Schedules

Provide a schedule, at least 2 weeks prior to the start of related testing, for the system performance tests. The schedules must identify the proposed date, time, and location for each test.

3.1.5 Certificates

Where the system, components, or equipment are specified to comply with requirements of AGA, NFPA, AHRI, ANSI/ASHRAE, ASME, or UL, proof of such compliance must be provided. The label or listing of the specified agency must be acceptable evidence. In lieu of the label or listing, a written certificate from an approved, nationally recognized testing organization equipped to perform such services, stating that the items have been tested and conform to the requirements and testing methods of the specified agency may be submitted. When performance requirements of this project's drawings and specifications vary from standard AHRI rating conditions, computer printouts, catalog, or other application data certified by AHRI or a nationally recognized laboratory as listed in this Certificates section must be included. If AHRI does not have a current certification program that encompasses such application data, the manufacturer may self certify that his application data complies with project performance requirements in accordance with the specified test standards.

3.1.6 Operation and Maintenance Manuals

Provide six complete copies of an operation manual in bound 8 1/2 by 11 inch booklets listing step-by-step procedures required for system startup, operation, abnormal shutdown, emergency shutdown, and normal shutdown at least 4 weeks prior to the first training course. The booklets must include the manufacturer's name, model number, and parts list. The manuals must include the manufacturer's name, model number, service manual, and a brief description of all equipment and their basic operating features. Six complete copies of maintenance manual in bound 8 1/2 by 11 inch booklets listing routine maintenance procedures, possible breakdowns and repairs, and a trouble shooting guide. The manuals must include

piping and equipment layouts and simplified wiring and control diagrams of the system as installed.

3.1.7 Connections to Existing Systems

Notify the Contracting Officer in writing at least 15 calendar days prior to the date the connections are required. Obtain approval before interrupting service. Furnish materials required to make connections into existing systems and perform excavating, backfilling, compacting, and other incidental labor as required. Furnish labor and tools for making actual connections to existing systems.

3.1.8 Refrigeration System

3.1.8.1 Equipment

Refrigeration equipment and the installation thereof must conform to ANSI/ASHRAE 15 & 34. Necessary supports must be provided for all equipment, appurtenances, and pipe as required, including frames or supports for compressors, pumps, cooling towers, condensers, water coolers, and similar items. Compressors must be isolated from the building structure. If mechanical vibration isolators are not provided, vibration absorbing foundations must be provided. Each foundation must include isolation units consisting of machine and floor or foundation fastenings, together with intermediate isolation material. Other floor-mounted equipment must be set on not less than a 6 inch concrete pad doweled in place. Concrete foundations for floor mounted pumps must have a mass equivalent to three times the weight of the components, pump, base plate, and motor to be supported. In lieu of concrete pad foundation, concrete pedestal block with isolators placed between the pedestal block and the floor may be provided. Concrete pedestal block must be of mass not less than three times the combined pump, motor, and base weights. Isolators must be selected and sized based on load-bearing requirements and the lowest frequency of vibration to be isolated. Isolators must limit vibration to 10 percent at lowest equipment rpm. Lines connected to pumps mounted on pedestal blocks must be provided with flexible connectors. Foundation drawings, bolt-setting information, and foundation bolts must be furnished prior to concrete foundation construction for all equipment indicated or required to have concrete foundations. Concrete for foundations must be as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE. Equipment must be properly leveled, aligned, and secured in place in accordance with manufacturer's instructions. All recommendations stated in the installation instructions or other similar manufacturer's published literature must be considered mandatory and part of the contract documents. Any discrepancies to the engineered drawings and specifications must be brought to the attention of the COR.

3.1.8.2 Field Refrigerant Charging

a. Initial Charge: Upon completion of all the refrigerant pipe tests, the vacuum on the system must be broken by adding the required charge of dry refrigerant for which the system is designed, in accordance with the manufacturer's recommendations. Contractor must provide the complete charge of refrigerant in accordance with manufacturer's recommendations. Upon satisfactory completion of the system performance tests, any refrigerant that has been lost from the system must be replaced. After the system is fully operational, service valve seal caps and blanks over gauge points must be installed and tightened.

b. Refrigerant Leakage: If a refrigerant leak is discovered after the system has been charged, the leaking portion of the system must immediately be isolated from the remainder of the system and the refrigerant must be pumped into the system receiver or other suitable container. The refrigerant must not be discharged into the atmosphere.

c. Contractor's Responsibility: The Contractor must, at all times during the installation and testing of the refrigeration system, take steps to prevent the release of refrigerants into the atmosphere. The steps must include, but not be limited to, procedures that minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time must more than 3 ounces of refrigerant be released to the atmosphere in any one occurrence. Any system leaks within the first year must be repaired in accordance with the specified requirements including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

3.1.8.3 Oil Charging

Except for factory sealed units, two complete charges of lubricating oil for each compressor crankcase must be furnished. One charge must be used during the performance testing period, and upon the satisfactory completion of the tests, the oil must be drained and replaced with the second charge.

3.2 MANUFACTURER'S FIELD SERVICE

The services of a factory-trained representative must be provided for overseeing of the installation, start-up, and initial operation of the chiller including field acceptance testing. The representative must advise on the following:

a. Hermetic machines:

(1) Testing hermetic water-chilling unit under pressure for refrigerant leaks; evacuation and dehydration of machine to an absolute pressure of not over 300 micrometers.

(2) Charging the machine with refrigerant.

(3) Starting the machine.

3.3 CLEANING AND ADJUSTING

Equipment must be wiped clean, with all traces of oil, dust, dirt, or paint spots removed. Provide temporary filters for all fans that are operated during construction. Perform and document that proper Indoor Air Quality During Construction procedures have been followed; this includes providing documentation showing that after construction ends, and prior to occupancy, new filters were provided and installed. System must be maintained in this clean condition until final acceptance. Bearings must be properly lubricated with oil or grease as recommended by the manufacturer. Belts must be tightened to proper tension. Control valves and other miscellaneous equipment requiring adjustment must be adjusted to setting indicated or directed. Fans must be adjusted to the speed

indicated by the manufacturer to meet specified conditions. At least one week before the official equipment warranty start date, all condenser coils on air-cooled water chillers and split-system water chillers must be cleaned in accordance with the chiller manufacturer's instructions. All recommendations stated in the installation instructions or other similar manufacturer's published literature must be considered mandatory and part of the contract documents. Any discrepancies to the engineered drawings and specifications must be brought to the attention of the COR. This work covers two coil cleanings. The condenser coils must be cleaned with an approved coil cleaner by a service technician, factory trained by the chiller manufacturer. The condenser coil cleaner must not have any detrimental affect on the materials or protective coatings on the condenser coils. Testing, adjusting, and balancing must be as specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC.

3.4 FIELD ACCEPTANCE TESTING

3.4.1 Test Plans

a. Manufacturer's Test Plans: Within 120 calendar days after contract award, submit the following plans:

(1) Water chiller - Field Acceptance Test Plan

Field acceptance test plans must be developed by the chiller manufacturer detailing recommended field test procedures for that particular type and size of equipment. Field acceptance test plans developed by the installing Contractor, or the equipment sales agency furnishing the equipment, is not acceptable.

The Contracting Officer must review and approve the field acceptance test plan for each of the listed equipment prior to commencement of field testing of the equipment. The approved field acceptance tests of the chiller and subsequent test reporting.

b. Coordinated testing: Indicate in each field acceptance test plan when work required by this section requires coordination with test work required by other specification sections. Furnish test procedures for the simultaneous or integrated testing of tower system controls which interlock and interface with controls for the equipment provided under Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

c. Prerequisite testing: Chillers for which performance testing is dependent upon the completion of the work covered by Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC must have that work completed as a prerequisite to testing work under this section. Indicate in each field acceptance test plan when such prerequisite work is required.

d. Test procedure: Indicate in each field acceptance test plan each equipment manufacturers published installation, start-up, and field acceptance test procedures. Include in each test plan a detailed step-by-step procedure for testing automatic controls provided by the manufacturer.

Each test plan must include the required test reporting forms to be completed by the Contractor's testing representatives. Procedures must be structured to test the controls through all modes of control to confirm that the controls are performing with the intended sequence of control.

Controller must be verified to be properly calibrated and have the proper set point to provide stable control of their respective equipment.

e. Performance variables: Each test plan must list performance variables that are required to be measured or tested as part of the field test.

Include in the listed variables performance requirements indicated on the equipment schedules on the design drawings. Chiller manufacturer must furnish with each test procedure a description of acceptable results that have been verified.

Chiller manufacturer must identify the acceptable limits or tolerance within which each tested performance variable must acceptably operate.

f. Job specific: Each test plan must be job specific and must address the particular cooling towers and particular conditions which exist in this contract. Generic or general preprinted test procedures are not acceptable.

g. Specialized components: Each test plan must include procedures for field testing and field adjusting specialized components, such as hot gas bypass control valves, or pressure valves.

3.4.2 Testing

a. Each water chiller system must be field acceptance tested in compliance with its approved field acceptance test plan and the resulting following field acceptance test report submitted for approval:

(1) Water chiller - Field Acceptance Test Report

b. Manufacturer's recommended testing: Conduct the manufacturer's recommended field testing in compliance with the approved test plan. Furnish a factory trained field representative authorized by and to represent the equipment manufacturer at the complete execution of the field acceptance testing.

c. Operational test: Conduct a continuous 24 hour operational test for each item of equipment. Equipment shutdown before the test period is completed must result in the test period being started again and run for the required duration. For the duration of the test period, compile an operational log of each item of equipment. Log required entries every two hours. Use the test report forms for logging the operational variables.

d. Notice of tests: Conduct the manufacturer's recommended tests and the operational tests; record the required data using the approved reporting forms. Notify the Contracting Officer in writing at least 15 calendar days prior to the testing. Within 30 calendar days after acceptable completion of testing, submit each test report for review

and approval.

e. Report forms: Type data entries and writing on the test report forms. Completed test report forms for each item of equipment must be reviewed, approved, and signed by the Contractor's test director. The manufacturer's field test representative must review, approve, and sign the report of the manufacturer's recommended test. Signatures must be accompanied by the person's name typed.

f. Deficiency resolution: The test requirements acceptably met; deficiencies identified during the tests must be corrected in compliance with the manufacturer's recommendations and corrections retested in order to verify compliance.

3.5 SYSTEM PERFORMANCE TESTS

All reports must be signed and submitted in electronic format. In addition, six copies of the report must be provided in bound 8 1/2 by 11 inch booklets.

3.5.1 General Requirements

Before each refrigeration system is accepted, tests to demonstrate the general operating characteristics of all equipment must be conducted by the manufacturer's approved start-up representative experienced in system start-up and testing, at such times as directed. Tests must cover a period of not less than 48 hours for each system and must demonstrate that the entire system is functioning in accordance with the drawings and specifications. Corrections and adjustments must be made as necessary and tests must be re-conducted to demonstrate that the entire system is functioning as specified. Prior to acceptance, service valve seal caps and blanks over gauge points must be installed and tightened. Any refrigerant lost during the system startup must be replaced. If tests do not demonstrate satisfactory system performance, deficiencies must be corrected and the system must be retested. Tests must be conducted in the presence of the Contracting Officer. Water and electricity required for the tests must be furnished by the Government. Any material, equipment, instruments, and personnel required for the test must be provided by the Contractor. Field tests must be coordinated with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC.

3.5.2 Test Report

The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system. The report must also include the following information and must be taken at least three different times at outside dry-bulb temperatures that are at least 5 degrees F apart:

a. Date and outside weather conditions.

b. The load on the system based on the following:

(1) The refrigerant used in the system.

(2) Condensing temperature and pressure.

(3) Suction temperature and pressure.

(4) Running current, voltage and proper phase sequence for each phase of all motors.

(5) The actual on-site setting of all operating and safety controls.

(6) Chilled water pressure, flow and temperature in and out of the chiller.

3.6 DEMONSTRATIONS

Contractor must conduct a training course for the operating staff as designated by the Contracting Officer. The training period must consist of a total 8 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests. The training course must cover all of the items contained in the approved operation and maintenance manuals as well as demonstrations of routine maintenance operations.

Provide a Training Course Schedule, at least 2 weeks prior to the date of the proposed training course, which identifies the date, time, and location for the training.

-- End of Section --

SECTION 23 64 26

CHILLED, CHILLED-HOT, AND CONDENSER WATER PIPING SYSTEMS
11/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.22/CSA 4.4	(2015; R 2020) Relief Valves for Hot Water Supply Systems

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.20.1	(2013; R 2018) Pipe Threads, General Purpose (Inch)
ASME B16.1	(2020) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.3	(2021) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.9	(2024) Factory-Made Wrought Buttwelding Fittings
ASME B16.11	(2021) Forged Fittings, Socket-Welding and Threaded
ASME B16.18	(2021) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.21	(2021) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.22	(2021) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(2024) Cast Copper Alloy Fittings for Flared Copper Tubes
ASME B16.39	(2025) Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300
ASME B31.9	(2025) Building Services Piping
ASME B40.100	(2022) Pressure Gauges and Gauge Attachments
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding
AWS BRH	(2007; 5th Ed) Brazing Handbook
AWS D1.1/D1.1M	(2025) Structural Welding Code - Steel
AWS Z49.1	(2021) Safety in Welding, Cutting and Allied Processes

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A653/A653M	(2025) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A733	(2016; R 2022) Standard Specification for Welded and Seamless Carbon Steel and Austenitic Stainless Steel Pipe Nipples
ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B42	(2020) Standard Specification for Seamless Copper Pipe, Standard Sizes
ASTM B62	(2017) Standard Specification for Composition Bronze or Ounce Metal Castings
ASTM B75/B75M	(2020) Standard Specification for Seamless Copper Tube
ASTM B88	(2022) Standard Specification for Seamless Copper Water Tube
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B813	(2024) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube
ASTM D520	(2025) Standard Specification for Zinc Dust Pigment
ASTM D2308	(2007; R 2013) Standard Specification for Thermoplastic Polyethylene Jacket for Electrical Wire and Cable
ASTM D3308	(2012; R 2022) Standard Specification for PTFE Resin Skived Tape

ASTM E84	(2024) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM F1199	(2021) Standard Specification for Cast (All Temperatures and Pressures) and Welded Pipe Line Strainers (150 psig and 150 degrees F Maximum)
ASTM F1545	(2015a; R 2021) Standard Specification for Plastic-Lined Ferrous Metal Pipe, Fittings and Flanges

HYDRAULIC INSTITUTE (HI)

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 515	(2017) Standard for the Testing, Design, Installation, and Maintenance of Electrical Resistance Heat Tracing for Industrial Applications

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25	(2018) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-58	(2025) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MSS SP-68	(2022) High Pressure Butterfly Valves with Offset Design
MSS SP-70	(2011) Gray Iron Gate Valves, Flanged and Threaded Ends
MSS SP-71	(2018) Gray Iron Swing Check Valves, Flanged and Threaded Ends
MSS SP-72	(2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service
MSS SP-78	(2011) Cast Iron Plug Valves, Flanged and Threaded Ends
MSS SP-80	(2019) Bronze Gate, Globe, Angle, and Check Valves
MSS SP-85	(2011) Gray Iron Globe & Angle Valves Flanged and Threaded Ends
MSS SP-110	(2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 00001	(2024) Motors and Generators
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Calibrated Balancing Valves; G

Pressure Relief Valve

Combination Strainer and Pump Suction Diffuser

Expansion Tanks

Air Separator Tanks

SD-06 Test Reports

Pressure Tests Reports; G

SD-07 Certificates

Employer's Record Documents (For Welding)

Welding Procedures and Qualifications

SD-08 Manufacturer's Instructions

Lesson Plan for the Instruction Course; G

SD-10 Operation and Maintenance Data

Calibrated Balancing Valves, Data Package 3; G

Pressure Relief Valve, Data Package 2; G

Expansion Tanks, Data Package 2; G

Air Separator Tanks, Data Package 2; G

Spare Parts Data; G

Qualified Service Organizations; G

1.3 ADMINISTRATIVE REQUIREMENTS

a. In each of the publications referred to herein, consider the advisory provisions to be mandatory. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

b. For the International Code Council (ICC) Codes referenced in the contract documents, consider advisory provisions as mandatory, and the word "should" is to be interpreted as "must." Interpret references to the "code official" to mean the "Contracting Officer." For Navy owned property, interpret references to the "owner" to mean the "Contracting Officer." For leased facilities, interpret references to the "owner" to mean the "lessor." Interpret references to the "permit holder" to mean the "Contractor."

c. For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by this administrative cognizance and the FAR.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Proper protection and care of all material both before and during installation must be the Contractor's responsibility. Replace, at the Contractor's expense, any materials found to be damaged. During installation, cap piping and similar openings to keep out dirt and other foreign matter. Any porous materials found to be contaminated with mold or mildew will be replaced at the Contractor's expense. Non-porous materials found to be contaminated with mold or mildew will be disinfected and cleaned prior to installation.

1.5 QUALITY CONTROL

1.5.1 Employer's Record Documents (For Welding)

Submit for review and approval the following documentation. This documentation and the subject qualifications must be in compliance with ASME B31.9.

a. List of qualified welding procedures that is proposed to be used to provide the work specified in this specification section.

b. List of qualified welders, brazers, welding operators, and brazing operators that are proposed to be used to provide the work specified in this specification section.

c. List of qualified weld examination personnel that are proposed to be used to provide the work specified in this specification section.

1.5.2 Welding Procedures and Qualifications

a. Specifications and Test Results: Submit copies of the welding procedures specifications and procedure qualification test results for each type of welding required. Approval of any procedure does not relieve the Contractor of the responsibility for producing acceptable welds. Submit this information on the forms printed in ASME BPVC SEC IX or their equivalent.

b. Certification: Before assigning welders or welding operators to the work, submit a list of qualified welders, together with data and certification that each individual is performance qualified as specified. Do not start welding work prior to submitting welder, and welding operator qualifications. The certification must state the type of welding and positions for which each is qualified, the code and procedure under which each is qualified, date qualified, and the firm and individual certifying the qualification tests.

1.5.3 Examination of Piping Welds

a. The Contractor must perform visual examinations to detect surface and internal discontinuities in completed welds. NDE on piping welds covered by ASME B31.9 is visual inspection only. Verify piping welds meet the acceptance criteria. Submit a NDE report meeting the requirements specified in ASME B31.9. Visually examine all welds. When examination indicates defects in a weld joint, the weld must be repaired by a qualified welder. Remove and replace defects as specified in ASME B31.1, unless otherwise specified. Repair defects discovered between weld passes before additional weld material is deposited. Whenever a defect is removed, and repair by welding is not required, blend the affected area into the surrounding surface, eliminating sharp notches, crevices, or corners. After defect removal is complete and before rewelding, examine the area by the same methods which first revealed the defect to ensure that the defect has been eliminated. After rewelding, reexamine the repaired area by the same test methods originally used for that area. Any indication of a defect must be regarded as a defect unless reevaluation by surface conditioning and reexamination shows that no unacceptable defects are present. The use of any foreign material to mask, fill in, seal, or disguise welding defects will not be permitted.

b. Certification: Before assigning welders or welding operators to the work, submit a list of qualified welders, together with data and certification that each individual is performance qualified as specified. Do not start welding work prior to submitting welder and welding operator qualifications. The certification must state the type of welding and positions for which each is qualified, the code and procedure under which each is qualified, date qualified, and the

firm and individual certifying the qualification tests.

1.5.4 Standard Commercial Products

a. Materials and equipment must be standard products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. The standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening.

b. The 2 year use must include applications of equipment and materials under similar circumstances and of similar size. The 2 years experience must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures.

c. Products having less than a 2 year field service record may be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. System components must be environmentally suitable for the indicated locations.

d. Support the equipment items by service organizations, located reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.6 PROJECT/SITE CONDITIONS

1.6.1 Safety Requirements

Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Install safety devices so that proper operation of equipment is not impaired.

1.6.2 Verification of Dimensions

The Contractor must become familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.6.3 Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. The Contractor must carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions.

1.6.4 Accessibility

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

1.7 OPERATION AND MAINTENANCE DATA

Requirements for data packages are specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA, except as supplemented and modified by this specification section.

1.7.1 Spare Parts Data

Submit spare parts data for each different item of equipment specified, with operation and maintenance data packages. Include a complete list of parts and supplies, with current unit prices and source of supply, a recommended spare parts list for one year of operation, and a list of the parts recommended by the manufacturer to be replaced on a routine basis.

1.7.2 Qualified Service Organizations

Submit a list of qualified permanent service organizations with operation and maintenance data packages. Include service organization addresses and service area or expertise. The service organizations must be reasonably convenient to the equipment installation and be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide the water systems having the minimum service (design) temperature-pressure rating indicated. Provision of the piping systems, including materials, installation, workmanship, fabrication, assembly, erection, examination, inspection, and testing must be in accordance with the required and advisory provisions of ASME B31.9 except as modified or supplemented by this specification section or design drawings. This specification section covers the water systems piping which is located within, on, and adjacent to building(s) within the building(s) 5 foot line and for connections aboveground to cooling towers or air-cooled chillers.

2.2 STEEL PIPING

Provide steel piping with a minimum ANSI/ASME Class 125 service rating, which for 150 degrees F, the pressure rating is 175 psig.

2.2.1 Pipe

Ensure pipe larger than 2 inches is black carbon Steel pipe, conform to ASTM A53/A53M, Schedule 40, Type E or S, Grades A or B. Do not use Type F pipe.

2.2.2 Fittings and End Connections (Joints)

Piping and fittings 1 inch and smaller must have threaded connections. Piping and fittings larger than 1 inch and smaller than 3 inches may have either threaded or welded connections. Piping and fittings 3 inches and larger may have welded, or flanged connections. The manufacturer of each fitting must be permanently identified on the body of the fitting in accordance with MSS SP-25.

2.2.2.1 Threaded Connections

Use threaded valves and pipe connections conforming to ASME B1.20.1. Use threaded fittings conforming to ASME B16.3. Use threaded unions conforming to ASME B16.39. Use threaded pipe nipples conforming to ASTM A733.

2.2.2.2 Flanged Connections

Flanges must conform to ASME B16.1, Class 125. Gaskets must be nonasbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self-centering flat ring type. These gaskets must contain aramid fibers bonded with styrene butadeine rubber (SBR) or nitrile butadeine rubber (NBR). Bolts, nuts, and bolt patterns must conform to ASME B16.1.

2.2.2.3 Welded Connections

Welded valves and pipe connections (both butt-welds and socket-welds types) must conform to ASME B31.9. Butt-welded fittings must conform to ASME B16.9. Socket-welded fittings must conform to ASME B16.11. Identify welded fittings with the appropriate grade and marking symbol.

2.2.2.4 Dielectric Waterways and Flanges

Provide ASTM F1545 compliant dielectric waterways with a water impervious insulation barrier capable of limiting galvanic current to 1 percent of short circuit current in a corresponding bimetallic joint. When dry, insulation barrier must be able to withstand a 600-volt breakdown test. Provide dielectric waterways constructed of galvanized steel and have threaded end connections to match connecting piping. Provide dielectric waterways suitable for the required operating pressures and temperatures. Provide dielectric flanges with the same pressure ratings as standard flanges and provide complete electrical isolation between connecting pipe and equipment as described herein for dielectric waterways.

2.3 COPPER TUBING

Provide copper tubing and fittings with a minimum ANSI/ASME Class 125 service rating, which for 150 degrees F, the pressure rating is 175 psig.

2.3.1 Tube

Ensure tubing 2 inches and under os seamless copper tube conforming to ASTM B88, Type L or M.

2.3.2 Fittings and End Connections (Solder and Flared Joints)

Wrought copper and bronze solder joint pressure fittings, including unions and flanges, must conform to ASME B16.22 and ASTM B75/B75M. Provide adapters as required. Cast copper alloy solder-joint pressure fittings, including unions and flanges, must conform to ASME B16.18. Cast copper alloy fittings for flared copper tube must conform to ASME B16.26 and ASTM B62. ASTM B42 copper pipe nipples with threaded end connections must conform to ASTM B42.

Copper tubing of sizes larger than 4 inches must have brazed joints. Brass or bronze adapters for brazed tubing may be used for connecting tubing to flanges and to threaded ends of valves and equipment. Extracted

brazed tee joints may be used if produced with an acceptable tool and installed in accordance with tool manufacturer's written procedures.

2.3.3 Solder

Provide solder in conformance with ASTM B32, grade Sb5, tin-antimony alloy. Provide solder flux in non-corrosive liquid or paste form, conforming to ASTM B813.

2.3.4 Brazing Filler Metal

Filler metal must conform to AWS A5.8/A5.8M, Type BAg-5 with AWS Type 3 flux, except Type BCuP-5 or BCuP-6 may be used for brazing copper-to-copper joints.

2.4 VALVES

Provide valves with a minimum ANSI/ASME Class 125 service rating, which for 150 degrees F, the pressure rating is 175 psig.

2.4.1 Gate Valve

Gate valves 2-1/2 inches and smaller must conform to MSS SP-80 Class 125 and must be bronze with wedge disc, rising stem and threaded, soldered, or flanged ends. Gate valves 3 inches and larger must conform to MSS SP-70, Class 125, cast iron with bronze trim, outside screw and yoke, and flanged or threaded ends.

2.4.2 Globe and Angle Valve

Globe and angle valves 2-1/2 inches and smaller must conform to MSS SP-80, Class 125. Globe and angle valves 3 inches and larger must conform to MSS SP-85, Class 125.

2.4.3 Check Valve

Check valves 2-1/2 inches and smaller must conform to MSS SP-80. Check valves 3 inches and larger must conform to MSS SP-71, Class 125.

2.4.4 Butterfly Valve

Provide butterfly valves conforming to MSS SP-68, Type 1 and either the wafer or lug type. Valves smaller than 8 inches with throttling handles with a minimum of seven locking positions. Provide valves 8 inches and larger with totally enclosed manual gear operators with adjustable balance return stops and position indicators.

2.4.5 Plug Valve

Plug valves 2 inches and larger must conform to MSS SP-78, have flanged or threaded ends, and have cast iron bodies with bronze trim. Provide bronze construction for valves 2 inches and smaller with NPT connections for black steel pipe and brazed connections for copper tubing. Valve may be lubricated, non-lubricated, or tetrafluoroethylene resin-coated type. Provide valves with resilient, double seated, trunnion mounted with tapered lift plug capable of 2-way shutoff. Provide valve operation from fully open to fully closed by rotation of the handwheel to lift and turn

the plug. Provide valves 8 inches or larger with manual gear operators with position indicators.

2.4.6 Ball Valve

Full port design. Ball valves 1/2 inch and larger must conform to MSS SP-72 or MSS SP-110 and be cast iron or bronze construction with threaded, soldered, or flanged ends. Provide valves 8 inches or larger with manual gear operators with position indicators. Ball valves may be provided in lieu of gate valves.

2.4.7 Square Head Cocks

Provide copper alloy or cast-iron body with copper alloy plugs, suitable for 125 psig water working pressure.

2.4.8 Calibrated Balancing Valves

Valves bodies must be composed of copper alloy or cast iron, and valve internal working parts must be composed of copper alloy or stainless steel. Provide valve calibrated so that flow can be determined when the temperature and pressure differential across valve is known. Provide an integral pointer which registers the degree of valve opening. Valve must function as a service valve when in fully closed position. Construct valves with internal seals to prevent leakage and with removable and reusable insulated cover.

Provide valve bodies with tapped openings and pipe extensions with positive shutoff valves outside of pipe insulation. Provide with quick connecting hose fittings for a portable differential pressure meter connections to verify the pressure differential. Provide metal tag on each valve showing the gallons per minute flow for each differential pressure reading.

2.4.9 Pressure Relief Valve

Valve must prevent excessive pressure in the piping system when the piping system reaches its maximum heat buildup. Provide ANSI Z21.22/CSA 4.4 valves with cast iron bodies and corrosion resistant internal working parts. The discharge pipe from the relief valve must be the size of the valve outlet unless otherwise indicated.

2.4.10 Float Valve

Angle pattern or Globe pattern. Construct valve bodies 3 inches nominal pipe size and smaller of bronze. Construct valve bodies larger than 3 inches of cast iron or bronze. Steel parts must be corrosion resistant. Where float rods are extended for tank applications,provide properly supported and guided extension to avoid bending of float rod or stressing of valve pilot linkage.

2.4.11 Drain Valves

Valves, MSS SP-80 gate valves. Provide manually-operated valve, 3/4 inch pipe size and above with a threaded end connection. Provide valve with a water hose nipple adapter. Freeze-proof type valves must be provided in installations exposed to freezing temperatures.

2.4.12 Air Venting Valves

Manually-operated general service type air venting valves, brass or bronze valves that are furnished with threaded plugs or caps. Provide air venting valves on water coils with not less than 1/8 inch threaded end connections. Provide air venting valves on water mains with not less than 3/4 inch threaded end connections. Provide air venting valves on all other applications with not less than 1/2 inch threaded end connections.

2.5 PIPING ACCESSORIES

2.5.1 Strainer

Strainer, ASTM F1199, except as modified and supplemented in this specification. Strainer may be either the cleanable, basket or "Y" type, the same size as the pipeline. Fabricate strainer bodies of cast iron with bottoms drilled, and tapped. Provide blowoff outlet with pipe nipple, gate valve, and discharge pipe nipple. Provide strainer bodies with arrows clearly cast on the sides indicating the direction of flow.

Provide strainer with removable cover and sediment screen. Provide screen with minimum 22 gauge corrosion-resistant steel, with small perforations numbering not less than 400 per square inch to provide a net free area through the basket of at least 3.30 times that of the entering pipe. Provide flow into the screen and out through the perforations.

2.5.2 Cyclonic Separator

Metal-bodied, with removal capability of removing solids 45 microns/325 mesh in size and heavier than 1.20 specific gravity, maximum pressure drop of 5 psid, with cleanout connection.

2.5.3 Combination Strainer and Pump Suction Diffuser

Angle type body with removable strainer basket and internal straightening vanes, a suction pipe support, and a blowdown outlet and plug. Strainer must be in accordance with ASTM F1199, except as modified and supplemented by this specification. Provide unit body with arrows clearly cast on the sides indicating the direction of flow. Provide strainer screen made of minimum 22 gauge corrosion-resistant steel, with small perforations numbering not less than 400 per square inch to provide a net free area through the basket of at least 3.30 times that of the entering pipe. Direct flow into the screen and out through the perforations. Provide an auxiliary disposable fine mesh strainer which must be removed 30 days after start-up. Provide warning tag for operator indicating scheduled date for removal.

Provide casing with connection sizes to match pump suction and pipe sizes, and be provided with adjustable support foot or support foot boss to relieve piping strains at pump suction. Provide unit casing with blowdown port and plug. Provide a magnetic insert to remove debris from system.

2.5.4 Flexible Pipe Connectors

Provide flexible bronze or stainless steel piping connectors with single braid. Equip flanged assemblies with limit bolts to restrict maximum travel to the manufacturer's standard limits. Unless otherwise indicated, provide flexible connectors as recommended by the manufacturer for the service intended. Provide internal sleeves or liners, compatible with

circulating medium, when recommended by the manufacturer. Provide covers to protect the bellows where indicated.

2.5.5 Pressure and Vacuum Gauges

Gauges, ASME B40.100 with throttling type needle valve or a pulsation dampener and shut-off valve. Provide gauges with 4.5 inch dial, brass or aluminum case, bronze tube, and siphon. Provide gauge with a range from 0 psig to approximately 1.5 times the maximum system working pressure. Select each gauge range so that at normal operating pressure, the needle is within the middle-third of the range.

2.5.6 Temperature Gauges

Provide industrial-duty temperature gauges for the required temperature range. Provide gauges with fixed thread connection, dial face gasketed within the case; and an accuracy within 2 percent of scale range. Provide Fahrenheit scale in 2 degree graduations scale (black numbers) on a white face, with an adjustable pointer. Provide rigid stem type temperature gauges in thermal wells located within 5 feet of the finished floor. Provide universal adjustable angle type or remote element type temperature gauges in thermal wells located 5 to 7 feet above the finished floor or in locations indicated. Provide remote element type temperature gauges in thermal wells located 7 feet above the finished floor or in locations indicated.

2.5.6.1 Stem Cased-Glass

Provide stem cased-glass with polished stainless steel or cast aluminum, 9 inches long, with clear acrylic lens, and non-mercury filled glass tube with indicating-fluid column.

2.5.6.2 Bimetallic Dial

Provide bimetallic dial type case with not less than 3-1/2 inches, stainless steel, and hermetically sealed with clear acrylic lens. Provide silicone-dampened, bimetallic element fitted with external calibrator adjustment.

2.5.6.3 Liquid-, Solid-, and Vapor-Filled Dial

Provide liquid-, solid-, and vapor-filled dial type cases with not less than 3-1/2 inches, stainless steel or cast aluminum with clear acrylic lens. Fill must be nonmercury, suitable for encountered cross-ambients. Provide connecting capillary tubing constructed of double-braided bronze.

2.5.6.4 Thermal Well

Provide thermal well of identical size, 1/2 or 3/4 inch NPT connection, brass or stainless steel. Where test wells are indicated, provide captive plug-fitted type 1/2 inch NPT connection suitable for use with either engraved stem or standard separable socket thermometer or thermostat. Do not use mercury thermometers. Extended neck thermal wells must be of sufficient length to clear insulation thickness by 1 inch.

2.5.7 Pipe Hangers, Inserts, and Supports

Design and fabrication of pipe hangers, inserts, supports, and welding attachments must conform to MSS SP-58 and ASME B31.9. Hanger types and

supports for bare and covered pipe must conform to MSS SP-58 for the temperature range. Type 5, 12, and 26 are prohibited.

2.5.8 Escutcheons

Provide one piece or split hinge metal plates for piping entering floors, walls, and ceilings in exposed spaces. Secure plates in place by internal spring tension or set screws. Provide polished stainless steel plates or chromium-plated finish on copper alloy plates in finished spaces. Provide paint finish on metal plates in unfinished spaces.

2.6 PUMPS

See 23 21 23 HYDRONIC PUMPS.2.7 EXPANSION TANKS

Construct tank of welded steel, constructed for, and tested to pressure-temperature rating of 125 psi at 150 degrees F. Provide tanks precharged to the minimum operating pressure. Provide a captive air type tank with a polypropylene or butyl lined diaphragm which keeps the air charge separated from the water.

Tanks must accommodate expanded water of the system generated within the normal operating temperature range, limiting this pressure increase at all components in the system to the maximum allowable pressure at those components. Fit each tank air chamber with a drain, fill, an air charging valve, and system connections. Support tank by steel legs or bases for vertical installation or steel saddles for horizontal installations. The only air in the system must be the permanent sealed-in air cushion contained within the expansion tank.

2.8 AIR SEPARATOR TANKS

Provide external air separation tank with an internal design constructed of stainless steel and suitable for creating the required vortex and subsequent air separation. Construct tank of be steel, constructed for, and tested to pressure-temperature rating of 125 psi at 150 degrees F. Tank must have tangential inlets and outlets connections, threaded for 2 inches and smaller and flanged for sizes 2-1/2 inches and larger. Release air captured in a tank vented as indicated. Provide tank with a blow-down connection.

Design to separate air from water and to direct released air to automatic air vent. Fabricate unit of one piece cast-iron construction with internal baffles and two air chambers at top of unit; provide one air chamber with an outlet to the expansion tank and the other air chamber with an automatic air release device. Fabricate tank of steel, constructed for, and tested to an ANSI Class 125 pressure-temperature rating.

2.9 WATER TREATMENT SYSTEMS

See 23 25 00 CHEMICAL TREATMENT OF WATER FOR MECHANICAL SYSTEMS for requirements.2.10 ELECTRICAL COMPONENTS

a. Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide manual or automatic

control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown. For packaged equipment, the manufacturer must provide controllers including the required monitors and timed restart.

b. Provide high efficiency type, single-phase, fractional-horsepower alternating-current motors, including motors that are part of a system, in accordance with NEMA MG 11.

c. Provide polyphase, squirrel-cage medium induction motors, including motors that are part of a system, that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 00001. Provide motors in accordance with NEMA MG 00001 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor.

d. Motors must be rated for continuous duty with the enclosure specified. Motor duty requirements must allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motor torque must be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload protection and other necessary appurtenances. Provide motor bearings with grease supply fittings and grease relief to outside of the enclosure.

e. Provide variable frequency drives for motors as specified in Section 26 29 23 ADJUSTABLE SPEED DRIVE (ASD) SYSTEMS UNDER 600 VOLTS.

2.11 PAINTING OF NEW EQUIPMENT

New equipment painting may be factory applied or shop applied, as specified herein, and provided under each individual section.

2.11.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided. The factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test.

Conduct salt-spray fog test in accordance with ASTM B117, and as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of 0.125 inch on either side of the scratch mark. The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service

2.12 FACTORY APPLIED INSULATION

Factory insulated items installed outdoors are not required to be fire-rated. As a minimum, factory insulated items installed indoors must have a flame spread index no higher than 25 and a smoke developed index no higher than 150. Factory insulated items (no jacket) installed indoors and which are located in air plenums, in ceiling spaces, and in attic

spaces must have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Flame spread and smoke developed indexes must be determined by ASTM E84.

Test insulation in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket must be tested as a composite material. Jackets, facings, and adhesives must have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with ASTM E84.

2.13 NAMEPLATES

Major equipment including pumps, pump motors, expansion tanks, and air separator tanks must have the manufacturer's name, type or style, model or serial number on a plate secured to the item of equipment. The nameplate of the distributing agent will not be acceptable. Plates must remain durable and legible throughout equipment life and made of anodized aluminum . Plates must remain fixed in prominent locations with nonferrous screws or bolts.

2.14 ELECTRICAL HEAT TRACING

Provide heat trace systems for pipes, valves, and fittings that are in accordance with IEEE 515 and be UL listed. System include all necessary components, including heaters and controls to prevent freezing.

Provide self-regulating heaters consisting of two 16 AWG tinned-copper bus wires embedded in parallel in a self-regulating polymer core that varies its power output to respond to temperature along its length. Ensure heater is able to be crossed over itself without overheating. Obtain approval before used directly on plastic pipe. Cover heater with a radiation cross-linked modified polyolefin dielectric jacket in accordance with ASTM D2308.

Provide heater with self-regulating factor of at least 90 percent, in order to provide energy conservation and to prevent overheating.

Operate heater on line voltages of 120, 208, or 277 volts without the use of transformers.

Size Heater according to the following table:

Pipe Size

(Inch, Diameter)	Minus 10 degrees F
3 inches or less	5 watts per foot (wpf)
4 inch	5 wpf
6 inch	8 wpf
8 inch	2 strips/5 wpf

(Inch, Diameter)	Minus 10 degrees F
12 inch	2 strips/8 wpf

2.15 Pipe Labeling

Ensure that all new pipe labeling follows ASME A13.1 guidelines. Color scheme for "Any water except for water used in fire suppression systems" is White letters on Green background. Labels shall be Dura Label Premium Vinyl Tape, or equivalent, with prior approval by the FRC East Hazardous Materials Program Manager. New insulated piping systems shall be labeled "ASBESTOS FREE" with white lettering on blue field.

PART 3 EXECUTION

3.1 INSTALLATION

Cut pipe accurately to measurements established at the jobsite, and work into place without springing or forcing, completely clearing all windows, doors, and other openings. Cutting or other weakening of the building structure to facilitate piping installation is not permitted without written approval. Cut pipe or tubing square, remove burrs by reaming, and fashion to permit free expansion and contraction without causing damage to the building structure, pipe, joints, or hangers.

Notify the Contracting Officer in writing at least 15 calendar days prior to the date the connections are required. Obtain approval before interrupting service. Furnish materials required to make connections into existing systems and perform excavating, backfilling, compacting, and other incidental labor as required. Furnish labor and tools for making actual connections to existing systems.

3.1.1 Welding

Provide welding work specified in this section for piping systems in conformance with ASME B31.9, as modified and supplemented by this specification section and the accompanying drawings. The welding work includes: qualification of welding procedures, welders, welding operators, brazers, brazing operators, and nondestructive examination personnel; maintenance of welding records, and examination methods for welds.

3.1.1.1 Welding Safety

Welding and cutting safety requirements must be in accordance with AWS Z49.1.

3.1.2 Directional Changes

Make changes in direction with fittings, except that bending of pipe 4 inches and smaller is permitted, provided a pipe bender is used and the centerline radius of pipe bends are not less than 6 pipe diameters. Piping bends showing kinks, wrinkles, flattening, or other malformations are not acceptable. Mitering or notching pipe or other similar construction to form elbows or tees is not permitted.

3.1.3 Functional Requirements

a. Pitch horizontal supply mains down in the direction of flow as

indicated. The grade must not be less than 1 inch in 40 feet. Do not use reducing fittings for changes in pipe sizes. Cap or plug open ends of pipelines and equipment during installation to keep dirt or other foreign materials out of the system.

b. Unless otherwise specified provide uncoated pipe. Provide connections to appliances with malleable iron unions for steel pipe 2-1/2 inches or less in diameter, and with flanges for pipe 3 inches and above in diameter. Provide connections between ferrous and copper piping with electrically isolated from each other with dielectric waterways or flanges.

c. Piping located in air plenums must conform to NFPA 90A requirements. Pipe and fittings installed in inaccessible conduits or trenches under concrete floor slabs must be welded. Fit equipment and piping arrangements into space allotted and allow adequate acceptable clearances for installation, replacement, entry, servicing, and maintenance. Provide electric isolation fittings between dissimilar metals.

3.1.4 Fittings and End Connections

3.1.4.1 Threaded Connections

Make threaded connections with tapered threads and made tight with PTFE tape complying with ASTM D3308 or equivalent thread-joint compound applied to the male threads only. Allow not more than three threads to show after the joint is made.

3.1.4.2 Brazed Connections

Brazing, AWS BRH, except as modified herein. During brazing, fill the pipe and fittings with a pressure regulated inert gas, such as nitrogen, to prevent the formation of scale. Before brazing copper joints, clean both the outside of the tube and the inside of the fitting with a wire fitting brush until the entire joint surface is bright and clean. Do not use brazing flux. Remove surplus brazing material at all joints. Make steel tubing joints in accordance with the manufacturer's recommendations. Prior to brazing, support piping so as not to force or cause to be sprung.

3.1.4.3 Welded Connections

Make branch connections with manufactured pipe fittings that match piping material and schedule. Field-fabricated direction changes and branch connections formed by mitering or notching pipe or other similar construction to form elbows and tees is not permitted. Thoroughly clean pipe of all scale and foreign matter before the piping is assembled. During welding, fill the pipe and fittings with an inert gas, to prevent the formation of scale. Beveling, alignment, heat treatment, and inspection of weld must conform to ASME B31.9. Remove weld defects and rewelded at no additional cost to the Government. Electrodes must be stored and dried in accordance with AWS D1.1/D1.1M or as recommended by the manufacturer. Do not use electrodes that have been wetted or that have lost any of their coating.

3.1.4.4 Flared Connections

When flared connections are used, use a suitable lubricant between the

back of the flare and the nut in order to avoid tearing the flare while tightening the nut.

3.1.4.5 Flanges and Unions

Except where copper tubing is used, provide union or flanged joints in each line immediately preceding the connection to each piece of equipment or material requiring maintenance such as coils, pumps, control valves, and other similar items. Assemble flanged joints square and tight with matched flanges, gaskets, and bolts. Gaskets must be suitable for the intended application.

3.1.5 Valves

Install isolation gate, ball, or butterfly valves on each side of each piece of equipment, at the midpoint of all looped mains, and at any other points indicated or required for draining, isolating, or sectionalizing purpose. Identify each valve except check valves. Install valves in horizontal lines with stems at or above the horizontal plane.

Install calibrated balancing valves with a minimum unrestricted straight pipe length equivalent to 3-pipe diameters upstream and 1-pipe diameter downstream immediately adjacent the valve, or the manufacturer's recommended amounts, if greater. Calibrated balancing valves are not permitted to serve as isolation valves.

3.1.6 Air Vents

Provide air vents at all high points, on all water coils, and where indicated to ensure adequate venting of the piping system.

3.1.7 Drains

Provide drains at all low points and where indicated to ensure complete drainage of the piping. Drains must be accessible, and consist of nipples and caps or plugged tees unless otherwise indicated.

3.1.8 Flexible Pipe Connectors

Attach connectors to components in strict accordance with the latest printed instructions of the manufacturer to ensure a vapor tight joint. Provide hangers, when required to suspend the connectors, of the type recommended by the flexible pipe connector manufacturer and at the intervals recommended.

3.1.9 Temperature Gauges

Locate temperature gauges on coolant supply and return piping at each heat exchanger, on condenser water piping entering and leaving a condenser, at each automatic temperature control device without an integral thermometer, and where indicated or required for proper operation of equipment. Thermal wells for insertion thermometers and thermostats must extend beyond thermal insulation surface not less than 1 inch.

3.1.10 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, and supports must conform to MSS SP-58, except as supplemented and modified in this specification section. Do not use pipe hanger types 5, 12, and 26. Fabricate hangers used to support piping 2

inches and larger to permit adequate adjustment after erection while still supporting the load. Support piping subjected to vertical movement, when operating temperatures exceed ambient temperatures, by variable spring hangers and supports or by constant support hangers.

3.1.10.1 Hangers

Do not use Type 3 hangers on insulated piping. Type 24 may be used only on trapeze hanger systems or on fabricated frames.

3.1.10.2 Inserts

Secure Type 18 inserts to concrete forms before concrete is placed. Continuous inserts which allow more adjustments may be used if they otherwise meet the requirements for Type 18 inserts.

3.1.10.3 C-Clamps

Type 19 and 23 C-clamps must be torqued per MSS SP-58 and have both locknuts and retaining devices, furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.

3.1.10.4 Angle Attachments

Furnish Type 20 attachments used on angles and channels with an added malleable-iron heel plate or adapter.

3.1.10.5 Saddles and Shields

Where Type 39 saddle or Type 40 shield are permitted for a particular pipe attachment application, the Type 39 saddle, connected to the pipe, must be used on all pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Type 40 shields must be used on all piping less than 4 inches and all piping 4 inches and larger carrying medium less than 60 degrees F. A high density insulation insert of cellular glass must be used under the Type 40 shield for piping 2 inches and larger.

3.1.10.6 Horizontal Pipe Supports

Horizontal pipe supports must be spaced as specified in MSS SP-58 and a support installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Space pipe supports not over 5 feet apart at valves. Pipe hanger loads suspended from steel joist with hanger loads between panel points in excess of 50 pounds must have the excess hanger loads suspended from panel points.

3.1.10.7 Vertical Pipe Supports

Support vertical pipe at each floor, except at slab-on-grade, and at intervals of not more than 15 feet, not more than 8 feet from end of risers, and at vent terminations, or with manufacturer's recommendation and requirements, whichever is less.

3.1.10.8 Pipe Guides

Provide Type 35 guides using, steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides where required to allow longitudinal pipe movement. Provide lateral restraints as required. Slide materials must be suitable for the system operating temperatures, atmospheric conditions,

and bearing loads encountered.

3.1.10.9 Steel Slides

Where steel slides do not require provisions for restraint of lateral movement, an alternate guide method may be used. On piping 4 inches and larger, a Type 39 saddle must be used. On piping under 4 inches, a Type 40 protection shield may be attached to the pipe or insulation and freely rest on a steel slide plate.

3.1.10.10 Multiple Pipe Runs

In the support of multiple pipe runs on a common base member, use a clip or clamp where each pipe crosses the base support member. Spacing of the base support members must not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run.

3.1.10.11 Structural Attachments

Attachment to building structure concrete and masonry may be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Apply inserts and anchors with a safety factor not less than 5. Supports must not be attached to metal decking. Do not attach supports to the underside of concrete filled floors or concrete roof decks unless approved by the Contracting Officer. Construct masonry anchors for overhead applications of ferrous materials only. Provide under this section structural steel brackets required, but not shown, to support piping, headers, and equipment.

3.1.11 Pipe Alignment Guides

Provide pipe alignment guides where indicated for expansion loops, offsets, and bends and as recommended by the manufacturer for expansion joints, not to exceed 5 feet on each side of each expansion joint, and in lines 4 inches or smaller not more than 2 feet on each side of the joint.

3.1.12 Pipe Anchors

Provide anchors where indicated. Unless indicated otherwise, anchors must comply with the requirements specified. Anchors must consist of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Install anchor braces in the most effective manner to secure the desired results using turnbuckles where required.

Do not attach supports, anchors, or stays where they will injure the structure or adjacent construction during installation or by the weight of expansion of the pipeline. Where pipe and conduit penetrations of vapor barrier sealed surfaces occur, anchor these items immediately adjacent to each penetrated surface, to provide essentially zero movement within penetration seal.

3.1.13 Building Surface Penetrations

Do not install sleeves in structural members except where indicated or approved. Except as indicated otherwise, piping sleeves must comply with requirements specified. Sleeves in nonload bearing surfaces must be galvanized sheet metal, conforming to ASTM A653/A653M, Coating Class G-90, 20 gauge. Sleeves in load bearing surfaces must be uncoated carbon steel

pipe, conforming to ASTM A53/A53M, Schedule 30. Apply sealants to moisture and oil-free surfaces and elastomers to not less than 1/2 inch depth. Do not install sleeves in structural members.

3.1.13.1 General Service Areas

Extend each sleeve through its respective wall, floor, or roof, and cut flush with each surface. Provide pipes passing through concrete or masonry wall or concrete floors or roofs with pipe sleeves fitted into place at the time of construction. Sleeves must be of such size as to provide a minimum of 1/4 inch all-around clearance between bare pipe and sleeves or between jacketed-insulation and sleeves. Except in pipe chases or interior walls, the annular space between pipe and sleeve or between jacket over-insulation and sleeve must be sealed.

3.1.13.2 Waterproof Penetrations

Install pipes passing through roof or floor waterproofing membrane through a .17 ounce copper sleeve, or a 0.032 inch thick aluminum sleeve, each within an integral skirt or flange.

Flashing sleeve must be suitably formed, and skirt or flange must extend not less than 8 inches from the pipe and be set over the roof or floor membrane in a troweled coating of bituminous cement. Extend the flashing sleeve up the pipe a minimum of 2 inches above the roof or floor penetration. Seal the annular space between the flashing sleeve and the bare pipe or between the flashing sleeve and the metal-jacket-covered insulation as indicated. Seal penetrations by either one of the following methods.

a. Waterproofing Clamping Flange: Pipes up to and including 10 inches in diameter passing through roof or floor waterproofing membrane may be installed through a cast iron sleeve with caulking recess, anchor lugs, flashing clamp device, and pressure ring with brass bolts. Clamp waterproofing membrane into place and place sealant in the caulking recess.

b. Modular Mechanical Type Sealing Assembly: In lieu of a waterproofing clamping flange, a modular mechanical type sealing assembly may be installed. Provide seals of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe/conduit and sleeve with corrosion protected carbon steel bolts, nuts, and pressure plates. Loosely assemble links with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut.

c. After the seal assembly is properly positioned in the sleeve, tightening of the bolt must cause the rubber sealing elements to expand and provide a watertight seal between the pipe/conduit and the sleeve. Size each seal assembly as recommended by the manufacturer to fit the pipe/conduit and sleeve involved. The Contractor electing to use the modular mechanical type seals must provide sleeves of the proper diameters.

3.1.13.3 Escutcheons

Provide escutcheons for finished surfaces where exposed piping, bare or insulated, pass through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Where sleeves project slightly from floors,

use special deep-type escutcheons. Secure escutcheons to pipe or pipe covering.

3.1.14 Field Applied Insulation

Requirements for field applied insulation is specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS, except as supplemented and modified by this specification section.

3.1.15 Heat Trace Installation

Field apply heater tape and cut to fit as necessary, linearly along the length of pipe after piping has been pressure tested and approved by the Contracting Officer. Secure the heater to piping with cable ties or fiberglass tape. Label thermal insulation on the outside, "Electrical Heat Trace." Install power connection, end seals, splice kits and tee kit components in accordance with IEEE 515 to provide a complete workable system. Terminate connection to the thermostat and nds of the heat tape in a junction box. Ensure cable and conduit connections are raintight. Test heat trace cable system in accordance with IEEE 515 after installation and before and after installation of the thermal insulation. Test heater cable using a 1000 vdc megger. Minimum insulation resistance is 20 to 1000 megohms regardless of cable length.

3.2 ELECTRICAL INSTALLATION

Install electrical equipment in accordance with NFPA 70 and manufacturers instructions.

3.3 CLEANING AND ADJUSTING

Clean pipes free of scale and thoroughly flush all new pipes of all foreign matter. Pipe flushing must be limited to new work. Thoroughly clean strainers and valves. Prior to testing and balancing, remove air from all water systems by operating the air vents. Temporary measures, such as piping the overflow from vents to a collecting vessel must be taken to avoid water damage during the venting process. Plug or cap air vents after the system has been vented. Adjust control valves and other miscellaneous equipment requiring adjustment to setting indicated or directed.

3.4 PAINTING OF NEW EQUIPMENT

New equipment painting may be factory applied or shop applied, as specified herein, and provided under each individual section.

3.4.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided. The factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Conduct salt-spray fog test in accordance with ASTM B117, and for that test, apply the acceptance criteria as follows: Immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of 0.125 inch on either side of the scratch mark. The film thickness of the factory painting system applied on the equipment must not be less than the film thickness

used on the test specimen.

If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

3.4.2 Shop Painting Systems for Metal Surfaces

Clean, retreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F must be cleaned to bare metal. Where hot-dip galvanized steel has been cut, coat resulting surfaces with no galvanizing with a zinc-rich coating conforming to ASTM D520, Type I.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat may be either aluminum or light gray.

a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of 1 mil; and two coats of enamel applied to a minimum dry film thickness of 1 mil per coat.

b. Temperatures Between 120 and 400 degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F must receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.

3.5 FIELD TESTS

Conduct field tests in the presence of the QC Manager or designated representative(s) to verify systems comply with specifications. Any material, equipment, instruments, and personnel required for the test must be provided by the Contractor.

3.5.1 Equipment and Component Isolation

Prior to testing, isolate equipment and components that cannot withstand the tests.

3.5.2 Pressure Tests

Hydrostatically test each piping system, except for polypropylene piping, at a pressure 1.5 times the maximum operating pressure for period of time sufficient to inspect every joint in the system and in no case less than 2 hours. Monitor the test pressure by a currently calibrated test pressure gauge. Repair leaks and retest piping until test requirements are met. No leakage or reduction in gage pressure is allowed for the duration of the test. Repair leaks by rewelding or replacing pipe or fittings. Caulking of joints will not be permitted. Test concealed and insulated piping in place before concealing.

Submit for approval pressure tests reports covering the above specified piping pressure tests; describe the systems tested, test results, defects found and repaired, and signature of the pressure tests' director. Obtain approval from the QC Manager before concealing piping or applying insulation to tested and accepted piping. Provide report in bound 8-1/2 by 11 inch booklets. In the reports, document all phases of the tests performed. Include initial test summaries, all repairs and adjustments made, and the final test results.

3.5.3 Related Field Inspections and Testing

3.5.3.1 Piping Welds

Examination of Piping Welds is specified in the paragraph EXAMINATION OF PIPING WELDS (above).

3.5.3.2 HVAC TAB

Requirements for testing, adjusting, and balancing (TAB) of HVAC water piping, and associated equipment is specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC. Coordinate with the TAB team, and provide support personnel and equipment as specified in Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC to assist TAB team to meet the TAB work requirements.

3.6 INSTRUCTION TO GOVERNMENT PERSONNEL

Furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements and Legionella minimization of the chilled water, in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Instructors must be thoroughly familiar with all parts of the installation and must be instructed in operating theory as well as practical operation and maintenance work. Submit a lesson plan for the instruction course for approval. The lesson plan and instruction course must be based on the approved operation and maintenance data and maintenance manuals.

Conduct a training course for the operating staff and maintenance staff selected by the Contracting Officer. Give the instruction during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. Furnish one man-day. Use approximately half of the time for classroom instruction and the other time for instruction at the location of equipment or system. When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

-- End of Section --

SECTION 25 05 11

CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS
05/21

PART 1 GENERAL

Many subparts in this Section contain text in curly braces ("{" and "}") indicating which cybersecurity control and control correlation identifier (CCI) the requirements of the subpart relate to. The text inside these curly braces is for Government reference only and enables coordination of the requirements of this Section with the RMF process throughout the design and construction process. Text in curly braces are not contractor requirements.

This Section refers to Security Requirements Guide (SRGs) and Security Technical Implementation Guide (STIGs). STIGs and SRGs are available online at the Information Assurance Support Environment (IASE) website at https://public.cyber.mil/stigs/downloads/ and an SRG/STIG Applicability Guide and Collection Tool is available at https://public.cyber.mil/stigs/SCAP/. Not all control system components have applicable STIGs or SRGs. The "Control Systems SRG" does not apply to work performed under this Section; all requirements within this section to apply applicable SRGs DO NOT include the "Control Systems SRG".

1.1 RELATED REQUIREMENTS

This section does not contain sufficient requirements to procure a control system and must be used in conjunction with other Sections which specify control systems. This Section adds cybersecurity requirements to the control systems specified in other Sections, and as these requirements are conditioned on the control system being provided, there may be requirements in this Section that will not apply to this project. All Sections containing facility-related control systems or control system components are related to the requirements of this Section. Review all specification sections to determine related requirements.

In cases where a requirement is specified in both this Section and in another Section, the more stringent requirement must be met. In cases where a requirement in this Section conflicts with the requirements of another Section such that both requirements cannot be met at the same time, request direction from the Contracting Officer Representative to determine which requirement applies to the project.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2016) BACnet—A Data Communication Protocol for Building Automation and Control Networks

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.1x	(2010) Local and Metropolitan Area Networks - Port Based Network Access Control

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST FIPS 140-2	(2001) Security Requirements for Cryptographic Modules

U.S. DEPARTMENT OF DEFENSE (DOD)

DTM 08-060	(2008) Policy on Use of Department of Defense (DoD) Information Systems - Standard Consent Banner and User Agreement

1.3 DEFINITIONS

1.3.1 Administrator Account

An administrator account is an account with full permissions to a device, application, or operating system, including the ability to create and modify other user accounts.

Note that the operating system Administrator Account may be different than Administrator Accounts for applications hosted on that operating system. Also, most controllers will not have any support for accounts and will therefore not have an 'Aministrator Account'.

1.3.2 Computer

A computer is one of the following:

a. a device running a non-embedded desktop or server version of Microsoft Windows

b. a device running a non-embedded version of MacOS

c. a device running a non-embedded version of Linux

d. a device running a version or derivative of the Android Operating System, where Android is considered separate from Linux

e. a device running a version of Apple iOS

Unless otherwise indicated or clear from context use of the word "device" in this Section includes computers.

1.3.3 Controller

A device other than a computer or Ethernet switch.

1.3.4 Mission Space

A device or media is in mission space if physical access to the device or media is controlled by the organization served by the device. For example, a VAV box controller in a suspended ceiling is in mission space if the VAV box serves that room; an electrical switchgear in an electrical room or an AHU in a mechanical room or on a rooftop may still be considered to be in mission space if the organization (mission) served by that switchgear or AHU controls access to the electrical room, mechanical room or rooftop.

1.3.5 Network

A network is a group of two or more devices that can communicate using a network protocol. Network protocols must provide a method for addressing devices on the network; a communication method that does not provide an addressing scheme is not a networked form of communication. Devices that communicate using a method of communication that does not support device addressing are not using a network.

1.3.6 Network Connected

A component is network connected (or "connected to a network") only when the device has a network transceiver which is directly connected to the network and implements the network protocol. A device lacking a network transceiver (and accompanying protocol implementation) can never be considered network connected. Note that (unlike many IT definitions of "Network Connected") a device connected to a non-IP network is still considered network connected (an IP connection or IP address is not required for a device to be network connected).

1.3.6.1 Wireless Network Connected

Any device that supports wireless network communication is network connected to a wireless network, regardless of whether the device is communicating using wireless. Unless physically disabled, devices with wireless transceivers support wireless, it is not sufficient to disable the wireless in software.

1.3.7 Network Media

The thing that provides the communication channel between the devices on a network. Typically wire, but might include wireless, fiber optic, or even power line (some network protocols allow sending network signals over power wiring).

1.3.8 User Account Support Levels

The support for user accounts is categorized in this Section as one of three levels:

1.3.8.1 FULLY Supported

Device supports configurable individual accounts. Accounts can be created, deleted, modified, etc. Privileges can be assigned to accounts. These devices support user-based (as opposed to role-based) authentication.

1.3.8.2 MINIMALLY Supported

Device supports a small, fixed number of accounts (perhaps only one). Accounts cannot be modified. A device with only a "User" and an "Administrator" account would fit this category. Similarly, a device with two PINs for logon - one for restricted and one for unrestricted rights would fit here (in other words, the accounts do not have to be the traditional "username and password" structure). These devices typically only support role-based authentication.

Examples of devices which MINIMALLY support accounts are a) a variable frequency drive with a single account which requires a PIN for access to configuration; and b) a room lighting control touchpad interface that has a single account.

1.3.8.3 NOT Supported

Device does not support any Access Enforcement therefore the whole concept of "account" is meaningless.

1.3.9 Manual Local Input

Manual Local Inputs are system analog or binary inputs that are adjustable by a person but are, by intrinsic hardware design, very limited in potential capabilities. Manual Local Inputs do not have touch screens or full keyboards, but may have a few buttons or dials to allow input. Manual Local Inputs do not have full graphic screens or dot-matrix displays, but may have simple lights (LEDs) or 7-segment displays. Manual Local Inputs do not have any sort of menu structure, each button has a single well-defined function.

Examples of Manual Local Inputs are H-O-A switches, simple thermostats, and disconnect switches.

1.3.10 Card Reader

A card reader is an input/output device whose primary function is to assist in two-factor authentication. A card reader must have an interface to read data from a card and may be able to write data to a card. A card reader may have a means (such as buttons, keypad, touchscreen, etc.) for a user to input a PIN or password, as well as a limited display.

1.3.11 User Interface

A User Interface (UI) is something other than a Manual Local Input or Card Reader that allows a person to interact with the system or device. Note that while a Card Reader is not by itself a User Interface, a User Interface may contain a Card Reader in order for it to authenticate its user. Within control systems, there are a wide range of User Interfaces.

Two important distinctions are 1) whether the user interface is Local or Remote, and 2) the effective capabilities of the User Interface to alter data, which is the "privilege" of the user interface (where effective privilege available to a specific user at a specific user interface is the combination of the greatest privilege offered by the user interface and the specific account the user is logged into).

1.3.11.1 Local User Interface

A Local User Interface is a user interface where the physical hardware the user interacts with (keyboard, buttons, display, etc.) is physically part of the device being affected. All of the relevant characteristics of the user interface are embodied within a single device.

Note that a Local UI may be able to access data in a different device, Local versus Remote in this context refers to the user interface itself; the capability to access data in a different device is covered under "Full User Interface".

1.3.11.2 Remote User Interface

A Remote User Interface implements a Client/Server model where the physical hardware the user interacts with (Client) is physically distinct from the device being affected (Server). Most or all of the security and functionality characteristics of the user interface are defined by the Server, not the Client. The Client and Server communicate via a network connection. A common example of a remote user interface is a web-based interface where the browser (client) is generally on different hardware than the web server (server). A Remote UI remains a Remote UI even if the user happens to be at a Client on the same hardware as the Server. What is important is that a) the Client may be on different hardware than the Server and b) the majority of the security and functional characteristics of the interface are defined at the Server.

Note that this definition of "remote" is consistent with that generally used in the control industry but is not aligned with the NIST 800-53 definition of "Remote", which refers to "outside the system". The term "Remote" here better aligns with the NIST 800-53 definition of "Network" (remote from within the system) Access.

1.3.11.3 Types of User Interface (by capability)

User interfaces are also categorized by their capabilities as being Read Only, Limited, or Full.

1.3.11.3.1 Read-Only User Interface

A Read Only User Interface (also referred to as a View-Only User Interface) is a user interface that only allows for reading data, it does not allow (have the capability to) modify data. A Read Only User Interface may be either Local or Remote. A User Interface that is configured to be Read Only (by some other means than the interface itself, such as using configuration software on a laptop) is a Read-Only Interface. Note a Read Only User Interface may have buttons (or touch screen, etc.) allowing the user to navigate through the presentation of data.

Examples of a Read Only User Interfaces are a) a publicly viewable "energy dashboard" showing weather data and energy usage within a building and b) digital wayfinding signage.

1.3.11.3.2 Limited User Interface

A Limited User Interface is a user interface that - by design - can only alter information local to the user interface. Note that the determination of "alter" includes only direct interactions, it explicitly excludes

interactions that might occur as secondary effects. For example, an interface changing the flow setpoint in a pump controller is a direct interaction, the subsequent change in flow (as well as any subsequent downstream changes in valve position) are not direct interactions.

Two examples of LIMITED UIs are: a) a variable speed drive has a Limited Local User Interface which allows the user to change properties within the drive, but does not allow affecting things outside the drive; and b) a typical home WiFi Router has a Limited Remote User Interface which allows configuration of the Router, but does not allow direct interaction with other devices.

1.3.11.3.3 Full User Interface

A Full User Interface can alter information in devices outside the device with the user interface. For example, a typical Local Display Panel is a Full Local User Interface while a browser-based front end is a Full Remote User Interface.

1.3.11.3.4 View-Only User Interface

See Read-Only User Interface

1.3.11.4 Other User Interface Terminology

In addition to defining whether a user interface is a Hardware Limited, Read-Only, Limited or Full, and whether it is Local or Remote, user interfaces are classified by whether they are writable or privileged.

1.3.11.4.1 Writable User Interface

Any User Interface that is not Read-Only is Writable. (Limited User Interfaces and Full User Interfaces are both writable user interfaces (as they are capable of changing a value)).

1.3.11.4.2 Privileged User Interface

A Privileged UI is a UI that has sufficient capabilities or functionality that it requires specific cybersecurity measures to be put in place to limit its unauthorized use. Ultimately, whether a specific user interface is considered a Privileged User Interface must be determined by usage. Unless otherwise specified, user interfaces can be determined to be privileged or not using the following:

a. Read-Only User Interfaces are not privileged user interfaces.

b. Full User Interfaces are privileged user interfaces.

c. User interfaces that allow for configuration of auditing or allows for modification or deletion of audit logs are privileged user interface.

d. User interfaces that allow for reprogramming a network connected device is a privileged user interface.

e. Except as specified above, a Limited User Interface must be determined to be privileged or not based on the specific capabilities and use case of the user interface. In general however, user interfaces that do not offer significant capabilities above and beyond those available at that location via other means (e.g. such as a disconnect switch,

breaker, or hand-off-auto switch, or physical attack) are not privileged.

1.3.12 Wireless Network

Any network that communicates without using wires or fiber optics as the communication media. Wireless networks include: WiFi, Bluetooth, ZigBee, cellular, satellite, 900 MHz radio, 2.4 GHz, free space optical, point-to-point laser, and IR.

1.3.13 Wired Broadcast Network

Wired Broadcast Networks are any network, such as powerline carrier networks and modem (wired telephony), that use wire-based technologies where there is not a clearly defined boundary for signal propagation.

1.4 ADMINISTRATIVE REQUIREMENTS

1.4.1 Points of Contact

Coordinate with the following Points of Contact as indicated in this Section and as required. Not all projects will require coordination with all Points of Contact. When coordination is required and no Point of Contact is indicated, coordinate with The Contracting Office Representative (COR).

a. Government Computer Access Point of Contact: The Contracting Office Representative (COR)

b. HTTPS Certificate Point of Contact: The Contracting Office Representative (COR)

c. Email Address Point of Contact: The Contracting Office Representative (COR)

d. Password Point of Contact: The Contracting Office Representative (COR)

e. Mobile Code Point of Contact: The Contracting Office Representative (COR)

1.4.2 Coordination

Coordinate the execution of this Section with the execution of all other Sections related to control systems as indicated in the paragraph RELATED REQUIREMENTS. Items that must be considered when coordinating project efforts include but are not limited to:

a. If requesting permission for wireless or wired broadcast communication, the Wireless and Wired Broadcast Communication Request submittal must be approved prior to control system device selection and installation.

b. If requesting permission for alternate account lock permissions, the Device Account Lock Exception Request must be approved prior to control system device selection and installation.

c. If requesting permission for the use of a device with multiple physical connections to IP networks, the Multiple IP Connection Device Request must be approved prior to control system device

selection and installation.

d. Wireless testing may be required as part of the control system testing. See requirements for the Wireless Communication Test Report submittal.

e. If the Device Audit Record Upload Software is to be installed on a computer not being provided as part of the control system, coordination is required to identify the computer on which to install the software.

f. The Cybersecurity Interconnection Schedule must be coordinated with other work that will be interconnected to, and interconnections must be approved by the Government before relying on them for system functionality.

g. Cybersecurity testing support must be coordinated across control systems and with the Government cybersecurity testing schedule.

h. Passwords must be coordinated with the indicated contact for the project site.

i. If applicable, HTTPS web server certificates must be obtained from the indicated HTTPS Certificate Point of Contact.

j. Contractor Computer Cybersecurity Compliance Statements must be provided for each contractor using contractor owned computers.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Device Account Lock Exception Request; G

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

Cybersecurity Interconnection Schedule; G

Proposed STIG and SRG Applicability Report; G

SD-02 Shop Drawings

Network Communication Report; G

Cybersecurity Riser Diagram; G

SD-03 Product Data

Control System Cybersecurity Documentation; G

SD-06 Test Reports

Control System Cybersecurity Testing Procedures; G

Control System Cybersecurity Testing Report; G

SD-07 Certificates

Software Licenses; G

SD-11 Closeout Submittals

Confidential Password Report; G

Enclosure Keys; G

Software and Configuration Backups; G

Auditing Front End Software; G

Device Audit Record Upload Software; G

System Maintenance Tool Software; G

Control System Scanning Tools; G

STIG, SRG and Vendor Guide Compliance Result Report; G

Control System Inventory Report; G

1.6 QUALITY CONTROL

1.7 CYBERSECURITY DOCUMENTATION

{For Government Reference Only: This subpart (and its subparts) relates to PL-7; CCI-003071}

1.7.1 Proposed STIG and SRG Applicability Report

For each model of network connected or network infrastructure device, use the DISA SRG/STIG Applicability Guide and Collection Tool (available at https://public.cyber.mil/stigs/SCAP/to identify applicable STIGs or SRGs and provide a report indicating applicable STIGs and SRGs for each model.

1.7.2 Cybersecurity Interconnection Schedule

{For Government Reference Only: This subpart relates to CA-3(b), PL-8, SC-7(9), SC-7(11); CCI-000258, CCI-003072, CCI-003073, CCI-003075, CCI-002398, CCI-002399, CCI-002401, CCI-002402, CCI-002403.}

Provide a completed Cybersecurity Interconnection Schedule documenting network connections between the installed system and other systems. Provide the following information for each device directly communicating between systems: Device Identifier, Device Description, Transport layer Protocol, Network Address, Port (if applicable), MAC (Layer 2) address (if applicable), Media, Application Protocol, Service (if applicable), Descriptive Purpose of communication. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES,

provide the Cybersecurity Interconnection Schedule as an editable Microsoft Excel file (a template Cybersecurity Interconnection Schedule in Excel format is available at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1

1.7.3 Network Communication Report

{For Government Reference Only: This subpart (and its subparts) relates to CA-9, PL-8; CCI-003075; CCI-002102, CCI-002103, CCI-002104, CCI-002105, CCI-003072, CCI-003073, CCI-003075 and also the submittal requirements associated with CM-6, CM-7, SC-8 and SC-41 including CM-7(3), CCI-000388.}

Provide a network communication report. For each networked device, document the communication characteristics of the device including communication protocols, services used, encryption employed, and a general description of what information is communicated over the network. For each device using IP, document all TCP and UDP ports used. For non-IP communications, document communication protocol and media used. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Network Communication Report as an editable Microsoft Excel file.

1.7.4 Control System Inventory Report

{For Government Reference Only: This subpart (and its subparts) relates to CM-8(a), SI-17, IA-3; CCI-000389, CCI-000392, CCI-000398, CCI-002773, CCI-002774, CCI-002775, CCI-000777, CCI-000778, CCI-001958}

Provide a Control System Inventory report using the Inventory Spreadsheet listed under this Section at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 documenting all devices, including networked devices, network infrastructure devices, non-networked devices, input devices (e.g. sensors) and output devices (e.g. actuators). For each device provide all applicable information for which there is a field on the spreadsheet in accordance with the instructions on the spreadsheet.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Control System Inventory Report as an editable Microsoft Excel file.

1.7.5 Software and Configuration Backups

{For Government Reference Only: This subpart (and its subparts) relates to CP-10; CCI-000550, CCI-000551, CCI-000552}

For each computer on which software is installed under this project, provide a recovery image of the final as-built computer. This image must allow for bare-metal restore such that restoration of the image is sufficient to restore system operation to the imaged state without the need for re-installation of software. If additional user permissions are required to meet this requirement, coordinate the creation of the image with the identified Government Computer Access Point of Contact.

For all ethernet switches provide a backup of the switch configuration.

For all controllers, provide a backup of the controller configuration and the source code for all loaded application programs (all software that is not common to every controller of the same manufacturer and model).

If any or all of these are provided under another Section, provide documentation indicating this and referencing those submittals.

1.7.6 Cybersecurity Riser Diagram

{For Government Reference Only: This subpart (and its subparts) relates to PL-2(a), PL-8; CCI-003051, CCI-003053, CCI-003072, CCI-003073, CCI-003075}

Provide a cybersecurity riser diagram of the complete control system including all network and device hardware. If the control system specifications require a riser diagram submittal, provide a copy of that submittal as the cybersecurity riser diagram. Otherwise, provide a riser diagram in one-line format overlayed on a facility schematic.

1.7.7 STIG, SRG and Vendor Guide Compliance Result Report

For every component (device or software) with an applicable STIG or SRG in the Proposed STIG and SRG Applicability Report, provide a result report documenting compliance with the STIG or SRG requirements. For components which are scannable by the SCAP (security content automation protocol) tool (available online at https://public.cyber.mil/stigs/scap), provide the SCAP report and raw scan results.

For every component (device or software) with manufacturer provided cybersecurity documentation, procedure, or method for secure configuration or installation, provide a report documenting how the component was configured and any deviation from the manufacturer instructions.

1.7.8 Control System Cybersecurity Documentation

{For Government Reference Only: This subpart (and its subparts) relates to SA-5 (a),(b),(c); CCIs: CCI-003124, CCI-003125, CCI-003126, CCI-003127, CCI-003128, CCI-003129, CCI-003130, CCI-003131}

Provide a Control System Cybersecurity Documentation submittal containing the indicated information for each device and software application.

1.7.8.1 Software Applications

For all software applications running on computers provide:

a. administrator documentation that describes secure configuration of the software {For Government Reference Only: relates to CCI-003124}

b. administrator documentation that describes secure installation of the software {For Government Reference Only: relates to CCI-003125}

c. administrator documentation that describes secure operation of the software {For Government Reference Only: relates to CCI-003124}

d. administrator documentation that describes effective use and maintenance of security functions or mechanisms for the software {For Government Reference Only: relates to CCI-003127}

e. administrator documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the software {For Government Reference Only: relates to CCI-003128}

f. user documentation that describes user-accessible security functions or mechanisms in the software and how to effectively use those security functions or mechanisms {For Government Reference Only: relates to CCI-003129}

g. user documentation that describes methods for user interaction which enables individuals to use the software in a more secure manner {For Government Reference Only: relates to CCI-003130}

h. user documentation that describes user responsibilities in maintaining the security of the software {For Government Reference Only: relates to CCI-003131}

1.7.8.2 For HVAC Control System Devices

1.7.8.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For all HVAC Control System Devices which FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}

c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}

d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}

e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003129}

f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.7.8.2.2 All Other HVAC Control System Devices

For all HVAC Control System Devices which do not FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003127}

c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.7.8.3 Default Requirements for Control System Devices

For control system devices where Control System Cybersecurity Documentation requirements are not otherwise indicated in this Section, provide:

a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes secure installation of the device {For Government Reference Only: relates to CCI-003125}

c. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}

d. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}

e. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}

f. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms {For Government Reference Only: relates to CCI-003129}

g. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

h. Documentation that describes user responsibilities in maintaining the security of the device {For Government Reference Only: relates to CCI-003131}

1.8 SOFTWARE LICENSING

{For Government Reference Only: This subpart (and its subparts) relates to SI-2(a), SI-2(c), SI-7(14); CCI-001227, CCI-002605, CCI-002737}

For all software provided that has not already been licensed to the government or project site, provide a license to the project site for a period of no less than 5 years, and the license must also include the following software updates:

a. Security and bug-fix patches issued by the software manufacturer.

b. Security patches to address any vulnerability identified in the

National Vulnerability Database at http://nvd.nist.gov with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or higher.

Provide a single Software Licenses submittal with documentation of the software licenses for all software provided

1.9 CYBERSECURITY DURING CONSTRUCTION

{For Government Reference Only: This subpart (and its subparts) relates to AC-18, SA-3; CCI-000258}

In addition to the control system cybersecurity requirements indicated in this section, meet following requirement throughout the construction process.

1.9.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. Contractor computers connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.9.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.9.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. Computers used on this project must be scanned using the installed software at least once per day.

1.9.1.3 Passwords and Passphrases

The passwords and passphrases for computers, applications, and web-based applications supporting passwords must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.9.1.4 User-Based Authentication

Each user must have a unique account; sharing of a single account between multiple users is prohibited.

1.9.1.5 Demonstration of Compliance

The Government has the right to require demonstration of computer compliance with these requirements at any time during the project.

1.9.1.6 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer

Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 Each Statement must be signed by a cybersecurity representative for the relevant company.

1.9.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.9.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than those specifically provided or furnished for this project. Any and all access to the network from outside the project site is prohibited.

1.9.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification.

1.9.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks, when permitted, must not interfere with existing wireless networks, must use WPA2 security and must not broadcast the network name (SSID). Network names (SSID) for wireless networks must be changed from their default values.

1.9.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.9.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

1.10 CYBERSECURITY DURING WARRANTY PERIOD

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government.

PART 2 PRODUCTS

All products used on this project must meet the indicated requirements, but not all products specified here will be required by every project.

2.1 ETHERNET SWITCH

Provide Open Systems Interconnection (OSI) Layer 2 Ethernet switches with the following capabilities, and with an interface to support switch configuration for these capabilities:

2.1.1 Required Functionality

Switches must:

a. Copper Ethernet ports must auto negotiate for 10, 100 and 1000 megabits-per-second links.

b. Be capable of implementing port level access control by MAC address and limit the number of MAC addresses to one MAC address per port.

c. For LOW Impact Systems, be capable of implementing per-port access control lists (ACLs) where the list can be filtered by source and destination IP addresses, and by source and destination UDP or TCP ports.

2.1.2 Configuration Requirements

Switches must:

a. Support configuration save and restore.

b. Support both manual IP address assignment and acquisition of a dynamic IP address via Dynamic Host Configuration Protocol (DHCP).

c. Be capable of limiting access for configuration to one or more of: a web interface using HTTPS, a command line interface using SSH, or an SNMP connection using SNMP version 3 or later.

2.2 DAISY CHAIN IP CONTROLLERS

Controllers used as Daisy Chain IP Controllers must be IP controllers with exactly two Ethernet network connections and basic built-in switch capabilities to allow implementation of an Ethernet network in a daisy chain architecture. Switches incorporated by Daisy Chain IP Controllers are not required to meet the requirements for Ethernet Switches as defined in this Section.

PART 3 EXECUTION

3.1 CYBERSECURITY HARDENING AND CONFIGURATION GUIDES

Install, configure, and harden all hardware and software furnished on this project in accordance with manufacturer provided documentation, procedures, or methods for secure configuration or installation. Do not implement specific hardening actions if that action would conflict with requireed functionality or another requirement of this Section.

3.2 NETWORK REQUIREMENTS

3.2.1 Non-IP Control Networks

When control system specifications require particular communication protocols, use only those communication protocols and only as specified. Do not implement any other communication protocol.

When control system specifications do not indicate requirements for communication protocols, use only those protocols required for operation of the system as specified.

3.2.2 Device Identification and Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-3; CCI-000777, CCI-000778, CCI-001958.}

All computers must support IEEE 802.1x for device authentication to the network.

3.2.2.1 For HVAC Control System Devices

Devices using HTTP as a control protocol must use HTTPS instead. Devices using BACnet must support network security as specified for BACnet Secure Connect in ASHRAE 135.

3.2.2.2 Default Requirements for Control System Devices

For control system devices where Device Identification and Authentication requirements are not otherwise indicated in this Section: Devices using Ethernet must support IEEE 802.1x. Devices using HTTP as a control protocol must use HTTPS instead.

3.2.3 Cryptographic Module Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-7; CCI-000803}

For devices (including but not limited to NIST FIPS 140-2 compliant radios) that have STIG/SRGs related to cryptographic module authentication (CCI-000803), comply with the requirements of those STIG/SRGs.

3.3 ACCESS CONTROL REQUIREMENTS

3.3.1 User Accounts

{For Government Reference Only: This subpart (and its subparts) relate to AC-2(a), AC-3, AC-6(1), AC-6(10), AC-6(2), AC-6(9), CM-11(2), and IA-2; CCI-002110, CCI-000213, CCI-002235, CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-002235, CCI-000039, CCI-001419, CCI-002234, CCI-001812, and CCI-000764.}

Any user interface supporting user accounts (either FULLY or MINIMALLY) must limit access according to specified limitations for each account. Install and configure any device having a STIG or SRG in accordance with that STIG or SRG.

All user interfaces FULLY supporting accounts must implement user-based authentication where each account is uniquely assigned to a specific

user. User interfaces FULLY supporting accounts must implement at least three (3) levels of user account privilege including: 1) an account with read-only permissions 2) an account with full permissions including account creation and modification and 3) an account with greater permissions than read-only but without account creation and modification.

3.3.1.1 Computers

All computer operating systems must FULLY support user accounts and implement accounts for access. Each control system software application not supporting accounts and running on a computer must be installed such that use of the software is restricted by the computer operating system to specific users.

Applications running on computers shall not require the user be logged in to a computer operating system administrator account for normal operation. It is permissible to require the computer operating system administrator account for initial application installation and configuration.

3.3.1.2 Controllers

For user interfaces provided by controllers, provide access control in accordance with the User Interface Requirements table for the applicable control system and user interface type.

a. For table entries of "NA": NA means Not Applicable, there are no interfaces in this category.

b. For table entries of "None Required": The user interface is not required to support user accounts.

c. For table entries of "MINIMALLY": The user interface must at least MINIMALLY support user accounts.

d. For table entries of "FULLY": The user interface must at FULLY support user accounts.

e. For table entries of "KEY": The user interface must have physical security in the form of either a key lock on the interface itself or be furnished inside a locked enclosure. Where this is required for a read only interface, this lock must prevent viewing of data on the interface; for other interfaces, this lock must prevent using the interface to alter data.

f. For table entries of "Physical Security": For Local FULL interfaces, the interface must be located inside mission space. For Local Limited (not FULL) interfaces, the user interface must either a) be located within mission space or b) be protected by physical security at least as good as the control devices (and equipment controlled by the control devices) affected by the interface. For purposes of this requirement, 'affected' includes controllers with data that can be directly altered by the interface, as well as mechanical and/or electrical equipment directly controlled by those controllers, but does not include other interactions.

g. Entries of the form "X and Y" must meet both the requirement indicated for X and the requirement indicated for Y. For example, an entry of "MINIMALLY and Physical Security" indicates the user interface must

both MINIMALLY support accounts and have physical security.

h. Entries of the form "X or Y" must meet either the requirement indicated for X or the requirement indicated for Y.

3.3.1.2.1 HVAC Control Systems

User Interface Requirements for LOW Impact HVAC Control Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY
Local Full	MINIMALLY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	

3.3.1.2.2 Default Requirements for Other Control Systems

For control system devices where User Interface Requirements are not otherwise indicated in this Section, use the Default User Interface Requirements tables.

Default User Interface Requirements for LOW Impact Control Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY
Local Full	FULLY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY

Default User Interface Requirements for LOW Impact Control Systems	
User Interface Type	**Access Control Requirement**
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	

3.3.2 Unsuccessful Logon Attempts

{For Government Reference Only: This subpart (and its subparts) relate to AC-7 (a), AC-7 (b); CCI-000043, CCI-000044, CCI-001423, CCI-002236, CCI-002237, CCI-002238}

Except for high availability user interfaces indicated as exempt, devices must meet the indicated requirements for handling unsuccessful logon attempts. If a device cannot meet these requirements, document device capabilities to protect from subsequent logon attempts and propose alternate protections in a Device Account Lock Exception Request submittal. Do not implement alternate protection measures in lieu of the indicated requirements without explicit permission from the Government. If no Device Account Lock Exceptions are requested, provide a document stating that no approval is being requested as the Device Account Lock Exception Request.

3.3.2.1 Devices MINIMALLY Supporting Accounts

For LOW Impact Systems: Devices which MINIMALLY (but not FULLY) support accounts must lock the user account after five consecutive failed login attempts and must unlock the user account after 15 minutes have elapsed without an unsuccessful login attempt or by a successful login to a separate administrator account.

3.3.2.2 Devices FULLY Supporting Accounts

Devices which FULLY support accounts must meet the following requirements.

a. It must lock the user account when three unsuccessful logon attempts occur within a 15 minute interval.

b. Once an account is locked, the account must stay locked until unlocked by an administrator. If the account being locked is the sole administrator account on the device, the account must stay locked for 1 hour and then automatically unlock.

c. Once the indicated number of unsuccessful logon attempts occurs, delay further logon prompts by 5 seconds.

3.3.2.3 High Availability Interfaces Exempt from Unsuccessful Logon Attempts Requirements

There are no high availability interfaces which are exempt from unsuccessful logon attempts requirements.

3.3.3 System Use Notification

{For Government Reference Only: This subpart (and its subparts) relates to AC-8; CCI-000048, CCI-002247, CCI-002243, CCI-002244, CCI-002245, CCI-002246, CCI-000050, CCI-002248}

3.3.3.1 System Use Notification for Remote User Interfaces

Remote user interfaces must display a warning banner meeting the requirements of DTM 08-060 on screen.

3.3.3.2 System Use Notification for Local User Interfaces

Devices which are connected to a network and have a local user interface must display a warning banner meeting the requirements of DTM 08-060 on the user interface screen if capable of doing so and must have a permanently affixed label with an approved banner from DTM 08-060 if unable to display the warning banner on the screen. Where it is impractical (perhaps due to device size) to affix the label to the device, affix the label to the device enclosure.

Labels must be machine printed or engraved, plastic or metal, designed for permanent installation, must use a font no smaller than 14 point, and must provide a high contrast between font and background colors.

3.3.4 Permitted Actions Without Identification or Authentication

{For Government Reference Only: This subpart (and its subparts) relates to AC-14; CCI-000061, CCI-000232}

The control system must require identification and authentication before allowing any actions by a user acting from a user interface which MINIMALLY or FULLY supports accounts.

3.3.5 Enclosures

Prior to final acceptance of the sytsem, lock all lockable enclosures. Submit an Enclosure Keys submittal with all copies of keys for all enclosures and a key inventory list documenting all keys. Label each key with the matching enclosure identifier.

3.4 USER IDENTIFICATION AND AUTHENTICATION

{For Government Reference Only: This subpart (and its subparts) relates to IA-2, IA-2(1),IA-2(12), IA-5 IA-5(b), IA-5(c), IA-5(e), IA-5(g), IA-5(1), IA-5(11); CCI-000764, CCI-000765, CCI-001953, CCI-001954, CCI-001544, CCI-001989, CCI-000182, CCI-001610, CCI-000192, CCI-000193, CCI-000194, CCI-000205, CCI-001619, CCI-001611, CCI-001612, CCI-001613, CCI-001614, CCI-000195, CCI-001615, CCI-000196, CCI-000197, CCI-000199, CCI-000198, CCI-001616, CCI-001617, CCI-000200, CCI-001618, CCI-002041, CCI-002002, CCI-002003. }

This subpart indicates requirements for specific methods of identification and authentication for users and user accounts. Where these requirements conflict apply the following order of precedence: 1) If present, Device Specific Requirements take precedence over any other requirements; and then 2) multifactor authentication requirements take precedence over password requirements.

3.4.1 User Identification and Authentication for All System Types

Unless otherwise indicated, all user interfaces supporting accounts (either FULLY or MINIMALLY) must implement Identification and Authorization via passwords.

For LOW Impact Systems: User interfaces provided by computer operating systems must implement multifactor authentication via PIV.

3.4.2 User Identification and Authentication for Specific System Types

System specific requirements are in addition to and supersede those indicated for all system types. When no additional requirements are indicated for a specific system type the requirements for all systems still apply to that system type.

3.4.2.1 HVAC Control Systems Devices

No additional system specific requirements apply.

3.4.3 User Identification and Authentication for Specific Devices

There are no additional device specific user interface requirements

3.4.4 Implementation of Identification and Authorization Requirements

Identification and Authorization must be met by one of the following methods:

a. Direct implementation in the user interface.

b. For user interfaces on a computer: inheriting the Identification and Authorization from the computer operating system, either by the operating system limiting access to specific applications by user, or by the application itself having permissions based on the user logged into the computer.

c. For remote interfaces: an implementation shared between the remote user interface server and the remote user interface client. For example, a requirement for PIV authentication may be met on a remote user interface by a PIV reader on a web browser client which sends the authentication information via HTTPS to the remote server.

3.4.5 Password-Based Authentication Requirements

3.4.5.1 Passwords for Software and Applications Running on Computers

All software and applications running on computers supporting password-based authentication must enforce the following requirements:

a. Minimum password length of 12 characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a minimum lifetime of 24 hours.

g. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

h. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where location is significant, a character may be reused if it is in a different position).

i. Passwords must be cryptographically protected during storage and transmission.

3.4.5.2 Passwords for Controllers FULLY Supporting Accounts

All controllers FULLY supporting accounts and supporting password-based authentication must enforce the following requirements:

a. Minimum password length of twelve (12) characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a maximum lifetime of sixty (60) days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

g. Password must differ from previous five (5) passwords, where differ is defined as changing at least fifty percent of the characters.

h. Passwords must be cryptographically protected during storage and transmission.

3.4.5.3 Passwords for Remote Interfaces

Passwords for connecting to a Remote User Interface supporting password-based authentication must enforce the following requirements:

a. Minimum password length of twelve (12) characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where location is significant, a character may be reused if it is in a different position).

h. Passwords must be cryptographically protected during storage and transmission.

3.4.5.4 Passwords for Devices Minimally Supporting Accounts

Devices MINIMALLY supporting accounts must support passwords with a minimum length of four characters.

3.4.5.5 Password Configuration and Reporting

For all devices with a password, change the password from the default password. Coordinate selection of passwords with the Password Point of Contact. Do not use the same password for more than one device unless specifically instructed to do so. Provide a Confidential Password Report documenting the password for each device and describing the procedure to change the password for each device.

Do not provide the Password Summary Report in electronic format. Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.4.6 Authenticator Feedback

{For Government Reference Only: This subpart relates to IA-6; CCI-000206}

Devices must never show authentication information, including passwords, on a display. Devices that momentarily display a character as it is entered, and then obscure the character, are acceptable. For devices that have STIGs or SRGs related to obscuring of authenticator feedback (CCI-000206), comply with the requirements of those STIGS/SRGs.

3.5 CYBERSECURITY AUDITING

Where an auditing requirement exists for email notification, notify via email the application administrator and Information System Security Officer (ISSO) of the event. Coordinate with the Email Address Point of Contact for email addresses. If outgoing email is not available to the system, configure the system for these notifications for future support of outgoing email.

3.5.1 Audit Events, Content of Audit Records, and Audit Generation

{For Government Reference Only: This subpart (and its subparts) relates to AU-2(a), AU-2(c), AU-2(d), AU-3, AU-10, AU-12, AU-13(3), AU-14(b), AU-14(1), AU-14(2), AU-14(3), CM-5(1), SC-7 (9); CCI-000123, CCI-001571, CCI-000125, CCI-001485, CCI-000130, CCI-000131, CCI-000132, CCI-00133, CCI-000134, CCI-001487, CCI-000166, CCI-001899, CCI-000169, CCI-001459, CCI-000171, CCI-000172, CCI-001910, CCI-001914, CCI-001919, CCI-001464, CCI-001462, CCI-001920, CCI-001814, CCI-002400. }

For devices that have STIG/SRGs related to audit events, content of audit records or audit generation, comply with the requirements of those STIG/SRGs.

If auditing requirements can be met using existing control system alarm or event capabilities, those existing capabilities may be used to meet these requirements.

3.5.1.1 Computers

For each computer, provide the capability to select audited events and the content of audit logs. Configure computers to audit the indicated events, and to record the indicated information for each auditable event

3.5.1.1.1 Audited Events

Configure each computer to audit the following events:

a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)

b. Successful and unsuccessful logon attempts

c. Successful logouts

d. Privileged activities or other system level access

e. Concurrent logons from different workstations

f. Successful and unsuccessful accesses to objects

g. All program initiations

h. All direct access to the information system

i. All account creations, modifications, disabling, and terminations.

j. All kernel module load, unload, and restart

3.5.1.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

a. What type of event occurred

b. When the event occurred

c. Where the event occurred

d. The source of the event

e. The outcome of the event

f. The identity of any individuals or subjects associated with the event

3.5.1.2 For HVAC Control System Controllers

3.5.1.2.1 HVAC Control System Controllers FULLY Supporting User Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.2.1.1 Audited Events

Configure each controller to audit the following events:

a. Successful and unsuccessful logon attempts to the controller

b. Successful logouts

c. All account creations, modifications, disabling, and terminations.

d. All controller shutdown and startup

3.5.1.2.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

a. what type of event occurred

b. when the event occurred

c. the identity of any individuals or subjects associated with the event

3.5.1.2.2 Other HVAC Control System Controllers

There are no requirements to perform auditing at HVAC field controllers that do not FULLY support accounts.

3.5.1.3 Default Requirements for Control System Controllers

For control system controllers where Audit Events, Content of Audit Records, and Audit Generation are not otherwise indicated in this Section:

3.5.1.3.1 Controllers Which FULLY Support Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.3.1.1 Audited Events

Configure each controller to audit the following events:

a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)

b. Successful and unsuccessful logon attempts

c. Successful logouts

d. Concurrent logons from different workstations

e. All account creations, modifications, disabling, and terminations.

f. All kernel module load, unload, and restart

3.5.1.3.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

a. what type of event occurred

b. when the event occurred

c. where the event occurred

d. the source of the event

e. the outcome of the event

f. the identity of any individuals or subjects associated with the event

3.5.1.3.2 Controllers Which Do Not FULLY Support Accounts

For each controller which does not FULLY support accounts configure the controller to audit all controller shutdown and startup events and to record for each event the type of event and when the event occurred.

3.5.2 Audit Time Stamps

{For Government Reference Only: This subpart (and its subparts) relates to AU-8; CCI-000159, CCI-001889, CCI-001890.}

Any device (computer or controller) generating audit records must have an internal clock capable of providing time with a resolution of one second. Clocks must not drift more than 10 seconds per day. Configure the system so that each device (computer or controller) generating audit records maintains accurate time to within 1 second. Note that if the control system specifications include requirement for clocks, the most stringent requirement applies.

3.5.3 Auditing Front End Software

The project site currently has the following software to support control system auditing: none. If there is no existing auditing front end software or the software is not compatible with the provided control

systems, provide Auditing Front End Software with audit log import and upload, export, notification, and analysis functionality. The Auditing Front End Software may be provided as a component of the control system front end or as a separate software package, and a single package may serve multiple control systems provided under the same projects if they are sharing a cybersecurity authorization.

When the Auditing Front End Software is neither existing nor installed under the requirements of another Section, furnish the Auditing Front End Software media and license the control system front end computer in Office 132 Submit copies of Auditing Front End Software if this function is not part of the software provided with the control system to meet requirements of other Sections.

3.5.3.1 Import and Upload Requirements

Auditing Front End Software must be capable of importing audit logs from the Device Audit Record Upload Software and of uploading audit logs over the network from all control system devices supporting network upload of audit logs.

3.5.3.2 Export Requirements

Auditing Front End Software must be capable of exporting to a file format supported by Microsoft Excel.

3.5.4 Audit Storage Capacity and Audit Upload

{For Government Reference Only: This subpart (and its subparts) relates to AU-4; CCI-001848, CCI-001849}

The creation of audit records must never interfere with normal device operation. Devices must cease collection of auditing information if required to maintain normal operation.

a. For devices that have STIG/SRGs related to audit storage capacity (CCI-001848 or CCI-001849) comply with the requirements of those STIG/SRGs.

b. For controllers capable of generating audit records, provide 60 days worth of secure local storage, assuming 10 auditable events per day.

3.5.4.1 Device Audit Record Upload Software

For each device (computer or controller) required to audit events and for which audit logs cannot be uploaded over the network by the Auditing Front End Software, provide and license to the Government software implementing a secure mechanism of uploading audit records from the device and exporting them to the Auditing Front End Software. Where different devices use different software, provide software of each type required to upload audit logs from all devices.

Submit copies of device audit record upload software if this function is not part of the software provided with the control system to meet requirements of other Sections. If there are no devices requiring this software, provide a document stating this in lieu of this submittal.

3.5.5 Response to Audit Processing Failures

{For Government Reference Only: This subpart (and its subparts) relates to AU-5; CCI-000139, CCI-000140, CCI-001490.}

In the case of a failure in the auditing system, computers associated with auditing must provide email notification. In case of an audit failure, if possible, continue to collect audit records by overwriting existing audit records.

3.6 REQUIREMENTS FOR LEAST FUNCTIONALITY

{For Government Reference Only: This subpart (and its subparts), along with the network communication report submittal specified elsewhere in this section, relates to CM-6(a), CM-6(c), CM-7, CM-7(1)(b), SC-41; CCI-000363, CCI-000364, CCI-000365, CCI-001588, CCI-001755, CCI-000381, CCI-000380, CCI-00382, CCI-001761, CCI-001762, CCI-002544, CCI-002545, CCI-002546. }

For devices that have a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port and device I/O access for least functionality), install and configure the device in accordance with that STIG or SRGs.

3.6.1 Device Capabilities

For HVAC Control Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

For Other Control Systems: For LOW Impact Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required.

3.6.2 Software

For software that has a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port access for least functionality), install and configure the software in accordance with that STIG or SRG.

3.7 SYSTEM AND COMMUNICATION PROTECTION

3.7.1 Collaborative Computing

{For Government Reference Only: This subpart relates to SC-15(a), SC-15(b); CCI-001150, CCI-001152.}

Without explicit approval from the project site, control systems must not use collaborative computing technologies.

3.7.2 Denial of Service Protection

{For Government Reference Only: This subpart relates to SC-5, SC-39, SC-7(a); CCI-001093, CCI-002385, CCI-002386, CCI-002430, CCI-001097. }

To the greatest extent practical, implement control logic without reliance on the network. Except when required to meet the requirements of the

control system Section (where the requirement can only be met using computer hardware), do not implement control logic in computers.

3.7.2.1 Default Requirements for MODERATE Impact Control Systems

Except for networked input and outputs on input-output buses specifically designed to provide high reliability or redundancy, sensors and actuators must not rely on the network to exchange data with the controller executing the sequence of operation which uses the sensor value or determines the actuator command.

Sensor values required by multiple devices may be shared over the network provided they are connected to a controller requiring the value for execution of the sequence and that controller shares the value on the network.

3.8 SAFE MODE AND FAIL SAFE OPERATION

{For Government Reference Only: This subpart (and its subparts) relates to CP-12, SI-10(3), SI-17; CCI-002855, CCI-002856, CCI-002857, CCI-002754, CCI-002773, CCI-002774, CCI-002775}

For all control system components with an applicable STIG or SRG, configure the component in accordance with all applicable STIGs and SRGs.

3.9 SYSTEM MAINTENANCE TOOL SOFTWARE

{For Government Reference Only: This subpart (and its subparts) relates to MA-3; CCI-000865.}

Submit and license to the Government all software required to operate, maintain and modify the control system such the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer. Submit hard copies of user manuals for each software with the software submittal.

For software provided and licensed to the Government under the requirements of another Section, submit a statement indicating the Section and Submittal under which the software was provided. For software provided to meet the requirements of this Section and not provided and licensed under another Section, submit software and software user manuals on DVD or CD as a Technical Data Package and submit one hard copy of the software user manual for each piece of software.

3.10 DEVICE POWER

{For Government Reference Only: This subpart (and its subparts) relates to PE-11, PE-11(1); CCI-002955, CCI-000961. }

3.11 VULNERABILITY SCANNING

{For Government Reference Only: This subpart (and its subparts) relates to RA-5 RA-5(a),RA-5(b),RA-5(c),RA-5(d); CCI-001054, CCI-001055, CCI-000156, CCI-001641, CCI-001643, CCI-001057, CCI-001058, CCI-001059. }

All IP devices must be scannable, such that the device can be scanned by industry standard IP network scanning utilities without harm to the device, application, or functionality.

3.11.1 Computers and Software Running on Computers

Computers and applications running on computers must meet relevant vulnerability scanning STIGs/SRGs and respond to approved DoD vulnerability scanning tools.

3.11.2 Controllers

Controllers shall be scannable by standard control system discovery tools or control system browsers and return meaningful status information including the network inputs and outputs for the controller. This information shall contain sufficient detail to detect vulnerabilities or exploits of the controller.

Provide all software needed to scan the control system as the Control System Scanning Tools submittal. If the software required to scan the system is already installed at the project site or is provided under a separate section instead provide a statement indicating this.

3.12 SYSTEM AND INTEGRATION INTEGRITY

3.12.1 Malicious Code Protection

{For Government Reference Only: This subpart (and its subparts) relates to SI-3(c); CCI-001241, CCI-002623}

For all computers installed under this project, provide malware protection software media, provide licenses, and install and configure malware protection software as indicated. Coordinate with the Government Computer Access Point of Contact as required.

a. Malware protection software licenses will be Government furnished.

3.13 CONTROL SYSTEM CYBERSECURITY TESTING

3.13.1 Control System Cybersecurity Testing Procedures

Prepare Control System Cybersecurity Testing Procedures explaining step-by-step, the actions and expected results that will demonstrate that the control system meets the requirements of this Section.

Submit 4 copies of the Control System Cybersecurity Testing Procedures. The Control System Cybersecurity Testing Procedures may be submitted as a Technical Data Package.

3.13.2 Control System Cybersecurity Testing Execution

Using the Control System Cybersecurity Testing Procedures verify that the control system meets the requirements of this Section. UNLESS GOVERNMENT WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. If testing reveals deficiencies in the system, correct the deficiency and retest until successful.

3.13.3 Control System Cybersecurity Testing Report

Prepare and submit a Control System Cybersecurity Testing Report documenting all tests performed and their results. Include all tests in the Control System Cybersecurity Testing Procedures and any additional

tests performed during testing. Document test failures and repairs conducted with the test results.

Submit four copies of the Control System Cybersecurity Testing Report. The Control System Cybersecurity Testing Report may be submitted as a Technical Data Package.

3.14 FIELD QUALITY CONTROL, CYBERSECURITY VALIDATION SUPPORT

In addition to testing and testing support required by other Sections, provide a minimum of 8 hours of technical support for cybersecurity testing of control systems to support the DoD Risk Management Framework process Cybersecurity assessment of the control system. This support is independent of (and in addition to) the Control System Cybersecurity Testing specified in this section.

3.15 CYBERSECURITY TRAINING

Provide eight hours of classroom training for six Government personnel on the cybersecurity operation and maintenance of the control system provided. This training is in addition to and must be coordinated with control system training specified in other Sections.

The Government will provide the training location. Training must cover, at a minimum: (a) applying software and firmware updates, (b) user account creation, modification and deletion, (c) audit log upload procedures and (d) identification of privileged user interfaces and system impact of those interfaces. Training session must include a question and answer period during which government staff questions about cybersecurity aspects of the control system are answered.

-- End of Section --

SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(2023) National Electrical Safety Code
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA NEIS 1	(2015) Standard for Good Workmanship in Electrical Construction

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2020) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2020) American National Standard for Electrical Metallic Tubing (EMT)
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA FU 1	(2012) Low Voltage Cartridge Fuses
NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 4	(2015) Application Guideline for Terminal Blocks

NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA MG 1	(2021) Motors and Generators
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors
NEMA WD 1	(1999; R 2020) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2021) Wiring Devices Dimensions Specifications
NEMA Z535.4	(2023) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.303	Electrical, General

UNDERWRITERS LABORATORIES (UL)

UL 1	(2005; Reprint Jan 2022) UL Standard for Safety Flexible Metal Conduit
UL 6	(2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 44	(2018; Reprint May 2021) UL Standard for Safety Thermoset-Insulated Wires and Cables
UL 50	(2024) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables

UL 248-12	(2011; Reprint Aug 2020) Low Voltage Fuses - Part 12: Class R Fuses
UL 360	(2013; Reprint Jan 2024) UL Standard for Safety Liquid-Tight Flexible Metal Conduit
UL 486A-486B	(2018; Reprint Jul 2023) UL Standard for Safety Wire Connectors
UL 486C	(2018; Reprint May 2021) UL Standard for Safety Splicing Wire Connectors
UL 489	(2025) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint Jun 2024) UL Standard for Safety Attachment Plugs and Receptacles
UL 506	(2017; Reprint Jan 2022) UL Standard for Safety Specialty Transformers
UL 508	(2018; Reprint Jul 2021) UL Standard for Safety Industrial Control Equipment
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Jun 2022) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Mar 2024) UL Standard for Safety Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Apr 2024) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 797	(2007; Reprint Apr 2023) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 984	(1996; Reprint Sep 2005) Hermetic Refrigerant Motor-Compressors
UL 1004-1	(2012; Reprint Nov 2020) UL Standard for Safety Rotating Electrical Machines - General Requirements
UL 1063	(2017; Reprint Jun 2022) UL Standard for Safety Machine-Tool Wires and Cables
UL 4248-1	(2022) UL Standard for Safety Fuseholders - Part 1: General Requirements
UL 4248-12	(2018; Reprint Feb 2022) UL Standard for

Safety Fuseholders - Part 12: Class R

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

1.3 RELATED REQUIREMENTS

Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS applies to this section, with the additions and modifications specified herein.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification.Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

SD-03 Product Data

Receptacles; G

Circuit Breakers; G

Switches; GMotor Controllers; G

SD-06 Test Reports

600-volt Wiring Test; G

Ground-fault Receptacle Test; G

SD-07 Certificates

Fuses; G

SD-10 Operation and Maintenance Data

Electrical Systems, Data Package 5; G

1.5 QUALITY ASSURANCE

1.5.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated. NECA NEIS 1 shall be considered the minimum standard for workmanship.

1.5.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.6 MAINTENANCE

1.6.1 Electrical Systems

Submit operation and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein. Submit operation and maintenance manuals for electrical systems that provide basic data relating to the design, operation, and maintenance of the electrical distribution system for the building. Include the following:

a. Single line diagram of the "as-built" building electrical system.

b. Schematic diagram of electrical control system (other than HVAC, covered elsewhere).

c. Manufacturers' operating and maintenance manuals on active electrical

equipment.

1.7 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.2 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.3 Flexible Metal Conduit

UL 1, limited to 6 feet.

2.2.3.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360, limited to 6 feet.

2.2.4 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.4.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.4.2 Fittings for EMT

Steel compression type.

2.3 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

2.4 CABINETS, JUNCTION BOXES, AND PULL BOXES

UL 50; volume greater than 100 cubic inches, NEMA Type 1 enclosure; sheet steel, hot-dip, zinc-coated. Where exposed to wet, damp, or corrosive environments, NEMA Type 3R.

2.5 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 24 months prior to date of delivery to site.

2.5.1 Conductors

Provide the following:

a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.

b. Conductors No. 8 AWG and larger diameter: stranded.

c. Conductors No. 10 AWG and smaller diameter: solid.

d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.

e. All conductors: copper.

2.5.1.1 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

a. Branch circuits: No. 12 AWG.

b. Class 1 remote-control and signal circuits: No. 14 AWG.

c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.

d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

2.5.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.5.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

a. Grounding conductors: Green.

b. Neutral conductors: White.

c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a

different colored (not green) stripe for each.

2.5.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

a. 208/120 volt, three-phase

(1) Phase A - black

(2) Phase B - red

(3) Phase C - blue

b. 480/277 volt, three-phase

(1) Phase A - brown

(2) Phase B - orange

(3) Phase C - yellow

c. 120/240 volt, single phase: Black and red

2.5.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN-2/THHN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where equipment or devices require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.6 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

2.7 DEVICE PLATES

Provide the following:

a. UL listed, one-piece device plates for outlets to suit the devices installed.

b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.

c. For nonmetallic boxes and fittings, other suitable plates may be provided.

f. Screws: machine-type with countersunk heads in color to match finish of plate.

g. Sectional type device plates are not be permitted.

h. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.8 SWITCHES

2.8.1 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA, enclosure as indicated per NEMA ICS 6.

2.9 FUSES

NEMA FU 1. Provide complete set of fuses for each fusible switch. Coordinate time-current characteristics curves of fuses serving motors or connected in series with circuit breakers for proper operation. Submit coordination data for approval. Provide fuses with a voltage rating not less than circuit voltage.

2.9.1 Fuseholders

Provide in accordance with UL 4248-1.

2.9.2 Cartridge Fuses, Current Limiting Type (Class R)

UL 248-12, Class RK-5. Provide only Class R associated fuseholders in accordance with UL 4248-12.

2.10 RECEPTACLES

Provide the following:

a. UL 498, general purpose specification grade, grounding-type. Residential grade receptacles are not acceptable.

b. Ratings and configurations: as indicated.

c. Bodies: white as per NEMA WD 1.

d. Face and body: thermoplastic supported on a metal mounting strap.

e. Dimensional requirements: per NEMA WD 6.

f. Screw-type, side-wired wiring terminals. Do not use back-stabbed conductor terminals.

g. Grounding pole connected to mounting strap.

h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

2.10.1 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations" with integral GFCI protection. Include cast metal box with gasketed, hinged, lockable

and weatherproof while-in-use, polycarbonate, UV resistant/stabilized extra-duty rated hood.

2.10.2 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device capable of detecting current leak when the current to ground is 6 milliamperes or higher, and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.11 PANELBOARDS

Provide panelboards in accordance with the following:

2.11.1 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Where indicated on the drawings, provide circuit breakers with shunt trip devices. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

2.11.1.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.12 MOTORS

Provide motors in accordance with the following:

a. NEMA MG 1 FIRE PUMPS.

b. Hermetic-type sealed motor compressors: Also comply with UL 984.

c. Provide the size in terms of HP, or kVA, or full-load current, or a combination of these characteristics, and other characteristics, of each motor as indicated or specified.

d. Determine specific motor characteristics to ensure provision of correctly sized starters and overload heaters.

e. Rate motors for operation on 208-volt, 3-phase circuits with a terminal voltage rating of 200 volts, and those for operation on 480-volt, 3-phase circuits with a terminal voltage rating of 460 volts.

f. Use motors designed to operate at full capacity with voltage variation of plus or minus 10 percent of motor voltage rating.

g. Unless otherwise indicated, use continuous duty type motors if rated 1 HP and above.

h. Where fuse protection is specifically recommended by the equipment manufacturer, provide fused switches in lieu of non-fused switches

indicated.

i. Use Inverter-Rated motors designed to operate with adjustable speed drive (ASD).

2.12.1 Premium Efficiency Polyphase and Single-Phase Motors

Select polyphase and continuous-duty single phase motors based on high efficiency characteristics relative to typical characteristics and applications as listed in NEMA MG 10 and NEMA MG 11. In addition, continuous rated, polyphase squirrel-cage medium induction motors must meet the requirements for premium efficiency electric motors in accordance with NEMA MG 1, including the NEMA full load efficiency ratings. In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated. Electronically Commutated Motors (ECM) to have an integrated controller that senses rotor position and electronically commutates the stator windings to provide synchronous rotation. The rotor must be of a permanent magnet type supported by ball bearings to increase motor efficiency and longevity. The motor must maintain 70 percent or greater efficiency for its design application. ECM motors to comply with UL 1004-1.

2.12.2 Motor Sizes

Provide size for duty to be performed, not exceeding the full-load nameplate current rating when driven equipment is operated at specified capacity under most severe conditions likely to be encountered. When motor size provided differs from size indicated or specified, make adjustments to wiring, disconnect devices, and branch circuit protection to accommodate equipment actually provided. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

2.12.3 Wiring and Conduit

Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide power wiring and conduit for field-installed equipment using adjustable speed drive (ASD) manufacturer required wiring type and length as specified herein. Power wiring and conduit: conform to the requirements specified herein. Control wiring: provided under, and conform to, the requirements of the section specifying the associated equipment.

2.13 MOTOR CONTROLLERS

Provide motor controllers in accordance with the following:

a. UL 508, NEMA ICS 1, and NEMA ICS 2.

b. Provide controllers with thermal overload protection in each phase, and one spare normally open auxiliary contact, and one spare normally closed auxiliary contact.

c. Provide controllers for motors rated 1-hp 746 watts and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage.

d. Provide protection for motors from immediate restart by a time adjustable restart relay.

e. When used with pressure, float, or similar automatic-type or maintained-contact switch, provide a hand/off/automatic selector switch with the controller.

f. Connections to selector switch: wired such that only normal automatic regulatory control devices are bypassed when switch is in "hand" position.

g. Safety control devices, such as low and high pressure cutouts, high temperature cutouts, and motor overload protective devices: connected in motor control circuit in "hand" and "automatic" positions.

h. Control circuit connections to hand/off/automatic selector switch or to more than one automatic regulatory control device: made in accordance with indicated or manufacturer's approved wiring diagram.

i. Provide a disconnecting means, capable of being locked in the open position, for the motor that is located in sight from the motor location and the driven machinery location. As an alternative, provide a motor controller disconnect, capable of being locked in the open position, to serve as the disconnecting means for the motor if it is in sight from the motor location and the driven machinery location.

j. Overload protective devices: provide adequate protection to motor windings; be thermal inverse-time-limit type; and include manual reset-type pushbutton on outside of motor controller case.

k. Cover of combination motor controller and manual switch or circuit breaker: interlocked with operating handle of switch or circuit breaker so that cover cannot be opened unless handle of switch or circuit breaker is in "off" position.

l. Minimum short circuit withstand rating of combination motor controller: 14,000 rms symmetrical amperes.

2.13.1 Control Wiring

Provide control wiring in accordance with the following:

a. All control wire: stranded tinned copper switchboard wire with 600-volt flame-retardant insulation Type SIS meeting UL 44, or Type MTW meeting UL 1063, and passing the VW-1 flame tests included in those standards.

b. Hinge wire: Class K stranding.

c. Current transformer secondary leads: not smaller than No. 10 AWG.

d. Control wire minimum size: No. 14 AWG.

e. Power wiring for 480-volt circuits and below: the same type as control wiring with No. 12 AWG minimum size.

f. Provide wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

2.13.2 Control Circuit Terminal Blocks

Provide control circuit terminal blocks in accordance with the following:

a. NEMA ICS 4.

b. Control circuit terminal blocks for control wiring: molded or fabricated type with barriers, rated not less than 600 volts.

c. Provide terminals with removable binding, fillister or washer head screw type, or of the stud type with contact and locking nuts.

d. Terminals: not less than No. 10 in size with sufficient length and space for connecting at least two indented terminals for 10 AWG conductors to each terminal.

e. Terminal arrangement: subject to the approval of the Contracting Officer with not less than four spare terminals or 10 percent, whichever is greater, provided on each block or group of blocks.

f. Modular, pull apart, terminal blocks are acceptable provided they are of the channel or rail-mounted type.

g. Submit data showing that any proposed alternate will accommodate the specified number of wires, are of adequate current-carrying capacity, and are constructed to assure positive contact between current-carrying parts.

2.13.2.1 Types of Terminal Blocks

a. Short-Circuiting Type: Short-circuiting type terminal blocks: furnished for all current transformer secondary leads with provision for shorting together all leads from each current transformer without first opening any circuit. Terminal blocks: comply with the requirements of paragraph CONTROL CIRCUIT TERMINAL BLOCKS above.

b. Load Type: Load terminal blocks rated not less than 600 volts and of adequate capacity: provided for the conductors for NEMA Size 3 and smaller motor controllers and for other power circuits, except those for feeder tap units. Provide terminals of either the stud type with contact nuts and locking nuts or of the removable screw type, having length and space for at least two indented terminals of the size required on the conductors to be terminated. For conductors rated more than 50 amperes, provide screws with hexagonal heads. Conducting parts between connected terminals must have adequate contact surface and cross-section to operate without overheating. Provide each connected terminal with the circuit designation or wire number placed on or near the terminal in permanent contrasting color.

2.13.3 Control Circuits

Control circuits: maximum voltage of 120 volts derived from control transformer in same enclosure. Transformers: conform to UL 506, as applicable. Transformers, other than transformers in bridge circuits: provide primaries wound for voltage available and secondaries wound for

correct control circuit voltage. Size transformers so that 80 percent of rated capacity equals connected load. Provide disconnect switch on primary side. Provide fuses in each ungrounded primary feeder. Provide one fused secondary lead with the other lead grounded.

2.13.4 Enclosures for Motor Controllers

NEMA ICS 6.

2.13.5 Multiple-Speed Motor Controllers and Reversible Motor Controllers

Across-the-line-type, electrically and mechanically interlocked. Multiple-speed controllers: include compelling relays and multiple-button, station-type with pilot lights for each speed.

2.13.6 Pushbutton Stations

Provide with "start/stop" momentary contacts having one normally open and one normally closed set of contacts, and red lights to indicate when motor is running. Stations: heavy duty, oil-tight design.

2.13.7 Pilot and Indicating Lights

Provide LED cluster lamps.

2.14 LOCKOUT REQUIREMENTS

Provide circuit breakers, disconnecting means, and other devices that are electrical energy-isolating capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147, NFPA 70E and 29 CFR 1910.303. Comply with requirements of Division 23, "Heating, Ventilating, and Air Conditioning (HVAC)" for mechanical isolation of machines and other equipment.

2.15 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.16 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

a. ASTM D709.

b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.

c. Each nameplate inscription: identify the function and, when applicable, the position.

d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.

f. Surface: matte finish. Corners: square. Accurately align lettering

and engrave into the core.

g. Minimum size of nameplates: one by 2.5 inches.

h. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.17 WARNING SIGNS

Provide generic warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.18 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.

b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.

c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.

d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.

e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.

f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.

g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

3.1.1 Service Entrance Identification

Service entrance disconnect devices, switches, and enclosures: labeled and identified as such.

3.1.1.1 Labels

Wherever work results in service entrance disconnect devices in more than one enclosure, as permitted by NFPA 70, label each enclosure, new and existing, as one of several enclosures containing service entrance disconnect devices. Label, at minimum: indicate number of service disconnect devices housed by enclosure and indicate total number of enclosures that contain service disconnect devices. Provide laminated plastic labels conforming to paragraph FIELD FABRICATED NAMEPLATES. Use lettering of at least 0.25 inch in height, and engrave on black-on-white matte finish. Service entrance disconnect devices in more than one enclosure: provided only as permitted by NFPA 70.

3.1.2 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches.

3.1.2.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.3 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.1.3.1 Restrictions Applicable to EMT

a. Do not install underground.

b. Do not encase in concrete, mortar, grout, or other cementitious materials.

c. Do not use in areas subject to physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.

d. Do not use in hazardous areas.

e. Do not use outdoors.

f. Do not use in fire pump rooms.

g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.3.2 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.3.3 Underground Conduit

Plastic-coated rigid steel; plastic-coated steel IMC; PVC, Type EPC-40 Plastic coating: extend minimum 6 inches above floor.

3.1.3.4 Conduit Through Floor Slabs

Where conduits rise through floor slabs, do not allow curved portion of bends to be visible above finished slab. Where conduit rises through slab-on grade, seal all electrical penetrations to address radon mitigation and prevent infiltration of air, insects, and vermin.

3.1.3.5 Stub-Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inches above floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.1.3.6 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Plastic cable ties are not acceptable. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Identify independent conduit support in both fire and non-fire rated assemblies per NFPA 70. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Support exposed risers in wire shafts of multistory buildings by U-clamp hangers at each floor level and at 10 foot maximum intervals. Where conduit crosses building expansion joints, provide suitable watertight expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches

inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.3.7 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.3.8 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.3.9 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquid tight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections. Plastic cable ties are not acceptable as a support method.

3.1.4 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, and when specifically indicated. Boxes in other locations: sheet steel. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type

fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.4.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet.

3.1.4.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge galvanized sheet steel, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.4.3 Extension Rings

Extension rings are not permitted for new construction. Use only on existing boxes in concealed conduit systems where wall is furred out for new finish.

3.1.5 Mounting Heights

Mount panelboards, circuit breakers, motor controller and disconnecting switches so height of center of grip of the operating handle of the switch or circuit breaker at its highest position is maximum 79 inches above floor or working platform or as allowed in Section 404.8 per NFPA 70. Mount lighting switches 48 inches above finished floor. Mount receptacles 18 inches above finished floor, unless otherwise indicated. Mount other devices as indicated. Measure mounting heights of wiring devices and outletsto center of device or outlet.

3.1.6 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance with manufacturer's recommendations.

3.1.6.1 Marking Strips

Provide marking strips for identification of power distribution, control, data, and communications cables in accordance with the following:

a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.

b. Use permanent ink for the wire numbers

c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.

d. Size marking strips to accommodate the two sets of wire numbers.

e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.

f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.

3.1.7 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

3.1.8 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.1.9 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.10 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

3.1.10.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.10.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.10.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, back to equipment's power source as indicated.

3.1.10.4 Continuation of Service

Maintain continuity of existing circuits of equipment to remain. Maintain existing circuits of equipment energized. Restore circuits wiring and power which are to remain but were disturbed during demolition back to original condition.

3.1.11 Surge Protective Devices

Connect the surge protective devices in parallel to the power source, keeping the conductors as short and straight as practically possible. Maximum allowed lead length is 3 feet avoiding 90 degree bends. Do not locate surge protective devices inside a panelboard or switchboard enclosure.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets. Provide nameplate on all equipment in access controlled spaces and areas.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to each test. Where applicable, test electrical equipment in accordance with NETA ATS.

3.4.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.4.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of 1,000 volts DC for 600 volt rated wiring and 500 volts DC for 300 volt rated wiring per NETA ATS to provide direct reading of resistance. All existing wiring to be reused must also be tested.

3.4.3 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device

is a self-test model as specified in UL 943.

-- End of Section --

SECTION 26 29 23

ADJUSTABLE SPEED DRIVE (ASD) SYSTEMS UNDER 600 VOLTS
02/26

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

EUROPEAN COMMITTEE FOR STANDARDIZATION (CEN/CENELEC)

EN 61800-3	(2017) Requirements for the Control of Electromagnetic Interference Characteristics of Subsystems and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 519	(2022) Standard for Harmonic Control in Electrical Power Systems
IEEE C62.41.1	(2002; R 2008) Guide on the Surges Environment in Low-Voltage (1000 V and Less) AC Power Circuits
IEEE C62.41.2	(2002) Recommended Practice on Characterization of Surges in Low-Voltage (1000 V and Less) AC Power Circuits

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)

IEC 61000-3-12	(2012) Electromagnetic Compatibility (EMC) - Part 3-12: Limits - Limits for harmonic currents produced by equipment connected to public low-voltage systems with input current >16 A and </=75 A per phase

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 3.1	(2019) Guide for the Application, Handling, Storage, Installation and Maintenance of Medium-Voltage AC Contactors, Controllers and Control Centers
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA ICS 7	(2020) Adjustable-Speed Drives

NEMA ICS 7.2	(2021) Application Guide for AC Adjustable Speed Drive Systems
NEMA MG 00001	(2024) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

47 CFR 15	Radio Frequency Devices

UNDERWRITERS LABORATORIES (UL)

UL 489	(2025) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 61800-5-1	(2022) Adjustable Speed Electrical Power Drive Systems - Part 5-1: Safety Requirements - Electrical, Thermal and Energy

1.2 RELATED REQUIREMENTS

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM applies to this section with additions and modifications specified herein.

1.3 SYSTEM DESCRIPTION

1.3.1 Performance Requirements

1.3.1.1 Electromagnetic Interference Suppression

Computing devices, as defined by 47 CFR 15 and EN 61800-3 rules and regulations, must be certified to comply with the requirements for class A computing devices and labeled.

1.3.1.2 Electromechanical and Electrical Components

Ensure electrical and electromechanical components of the Adjustable Speed Drive (ASD) do not cause electromagnetic interference to adjacent electrical or electromechanical equipment while in operation.

1.3.2 Electrical Requirements

1.3.2.1 Power Line Surge Protection

IEEE C62.41.1 and IEEE C62.41.2, IEEE 519, IEC 61000-3-12 Control panel must have surge protection, included within the panel to protect the unit from damaging transient voltage surges. Surge protective device must be mounted near the incoming power source and properly wired to all three phases and ground. Fuses must not be used for surge protection.

1.3.2.2 Sensor and Control Wiring Surge Protection

I/O functions as specified must be protected against surges induced on control and sensor wiring installed outdoors and as shown. Test the

inputs and outputs in both normal mode and common mode using the following two waveforms:

a. A 10 microsecond by 1000 microsecond waveform with a peak voltage of 1500 volts and a peak current of 60 amperes.

b. An 8 microsecond by 20 microsecond waveform with a peak voltage of 1000 volts and a peak current of 500 amperes.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Schematic Diagrams; G

Interconnecting Diagrams; G

Installation Drawings; G

As-Built Drawings; G

SD-03 Product Data

Adjustable Speed Drives; G

Wires and Cables including VFD rated cable.

Equipment Schedule

SD-06 Test Reports

ASD Test

Performance Verification Tests

Endurance Test

SD-07 Certificates

Testing Agency's Field Supervisor NETA Certificate; G

SD-08 Manufacturer's Instructions

Installation instructions

SD-09 Manufacturer's Field Reports

ASD Test Plan; G

Standard Products

SD-10 Operation and Maintenance Data

Adjustable Speed Drives, Data Package 4

1.5 QUALITY ASSURANCE

1.5.1 Schematic Diagrams

Submit diagrams showing circuits and device elements for each replaceable module. Schematic diagrams of printed circuit boards are permitted to group functional assemblies as devices, provided that sufficient information is provided for government maintenance personnel to verify proper operation of the functional assemblies.

1.5.2 Interconnecting Diagrams

Show interconnections between equipment assemblies, and external interfaces, including power and signal conductors. Include for enclosures and external devices.

1.5.3 Installation Drawings

Show floor plan of each site, with ASD's and motors indicated. Indicate ventilation requirements, adequate clearances, and cable routes. Submit drawings for government approval prior to equipment construction or integration. Immediately record modifications to original drawings made during installation for inclusion into the as-built drawings.

1.5.4 Equipment Schedule

Provide schedule of equipment supplied. Schedule must provide a cross reference between manufacturer data and identifiers indicated in shop drawings. Schedule must include the total quantity of each item of equipment supplied and data indicating compatibility with motors being driven. For complete assemblies, such as ASD's, provide the serial numbers of each assembly, and a sub-schedule of components within the assembly. Provide recommended spare parts listing for each assembly or component.

1.5.5 Installation Instructions

Provide installation instructions issued by the manufacturer of the equipment, including notes and recommendations, prior to shipment to the site. Provide operation instructions prior to acceptance testing.

1.5.6 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.6 DELIVERY AND STORAGE

Store delivered equipment to protect from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

1.7 WARRANTY

The complete system must be warranted by the manufacturer for a period of one year. Repair or replace any component failing to perform its function as specified and documented at no additional cost to the Government. Items repaired or replaced must be warranted for an additional period of at least one year from the date that it becomes functional again, as specified in FAR 52.246-21 Warranty of Construction.

1.8 MAINTENANCE

1.8.1 Spare Parts

Manufacturers provide spare parts in accordance with recommended spare parts list.

1.8.2 Operation and Maintenance Data

Provide in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide service and maintenance information including preventive maintenance, assembly, and disassembly procedures. Include electrical drawings from electrical general sections. Provide additional information necessary to provide complete operation, repair, and maintenance information, detailed to the smallest replaceable unit. Include copies of as-built submittals. Provide routine preventative maintenance instructions, and equipment required. Provide instructions on how to modify program settings, and modify the control program. Provide instructions on drive adjustment, trouble-shooting, and configuration. Provide instructions on process tuning and system calibration.

1.8.3 Maintenance Support

During the warranty period, provide on-site, on-call maintenance services by drive manufacturer's personnel on the following basis: The service must be on a per-call basis with 36 hour response. Contractor is responsible for the maintenance of all hardware and software of the system during the warranty period. Various personnel of different expertise must be sent on-site depending on the nature of the maintenance service required. Costs must include travel, local transportation, living expenses, and labor rates of the service personnel while responding to the service request. The provisions of this Section are not in lieu of, nor relieve the Contractor of, warranty responsibilities covered in this specification. Should the result of the service request be the uncovering of a system defect covered under the warranty provisions, all costs for the call, including the labor necessary to identify the defect, must be borne by the Contractor.

1.8.4 Technical Support

Provide the ASDs with manufacturer's technical telephone support in English, readily available during normal working hours.

PART 2 PRODUCTS

2.1 ADJUSTABLE SPEED DRIVES (ASD)

Provide adjustable speed drive to control the speed of induction motor(s). The ASD must include the following minimum functions, features and ratings.

a. Input circuit breaker in accordance with UL 489 with a minimum of 10,000 amps symmetrical interrupting capacity and door interlocked external operator.

b. A converter stage in accordance with UL 61800-5-1 must change fixed voltage, fixed frequency, ac line power to a fixed dc voltage. The converter must utilize a full wave bridge design incorporating diode rectifiers. Silicon Controlled Rectifiers (SCR) are not acceptable. The converter must be insensitive to three phase rotation of the ac line and must not cause displacement power factor of less than .95 lagging under any speed and load condition.

c. An inverter stage must change fixed dc voltage to variable frequency, variable ac voltage for application to a standard NEMA MG 00001 Part 30 motor designed for use with adjustable frequency power supplies. Switch the inverter to produce a sine coded pulse width modulated (PWM) output waveform.

d. The ASD must be capable of supplying 110 percent of rated full load current for one minute at maximum ambient temperature.

e. The ASD must be designed to operate from a 460 volt, plus or minus 10 percent, three phase, 60 Hz supply, and control motors with a corresponding voltage rating.

f. Acceleration and deceleration time must be independently adjustable from one second to 60 seconds.

Required deceleration time may be achieved using not only dynamic braking resistor but with other methods described in NEMA ICS 7.2-2015 paragraph 5.2.5.

g. Adjustable full-time current limiting must limit the current to a preset value which must not exceed 110 percent of the controller rated current. The current limiting action must maintain the V/Hz ratio constant so that variable torque can be maintained. Short time starting override must allow starting current to reach 175 percent of controller rated current to maximum starting torque.

h. The controllers must be capable of producing an output frequency over the range of 3 Hz to 60 Hz (20 to one speed range), without low speed cogging. Over frequency protection must be included such that a failure in the controller electronic circuitry must not cause frequency to exceed 110 percent of the maximum controller output frequency selected.

i. Minimum and maximum output frequency must be adjustable over the following ranges: 1) Minimum frequency 3 Hz to 50 percent of maximum selected frequency; 2) Maximum frequency 40 Hz to 60 Hz.

j. The controller efficiency at any speed must not be less than 96

percent.

k. The controllers must be capable of being restarted into a motor coasting in the forward direction without tripping.

l. Protection of power semiconductor components must be accomplished without the use of fast acting semiconductor output fuses. Subjecting the controllers to any of the following conditions must not result in component failure or the need for fuse replacement:

(1) Short circuit at controller output

(2) Ground fault at controller output

(3) Open circuit at controller output

(4) Input undervoltage

(5) Input overvoltage

(6) Loss of input phase

(7) AC line switching transients

(8) Instantaneous overload

(9) Sustained overload exceeding 115 percent of controller rated current

(10) Over temperature

(11) Phase reversal

m. Solid state motor overload protection must be included such that current exceeding an adjustable threshold must activate a 60 second timing circuit. Should current remain above the threshold continuously for the timing period, the controller will automatically shut down.

n. Include slip compensation circuit that will sense changing motor load conditions and adjust output frequency to provide speed regulation of NEMA MG 00001 Part 30 designed for use with adjustable frequency power supplies motors to within plus or minus 0.5 percent of maximum speed without the necessity of a tachometer generator.

o. The ASD must be factory set for manual restart after the first protective circuit trip for malfunction (overcurrent, undervoltage, overvoltage or overtemperature) or an interruption of power. The ASD must be capable of being set for automatic restart after a selected time delay. If the drive faults again within a specified time period (adjustable 0-60 seconds), a manual restart will be required.

p. The ASD must include external fault reset capability. All the necessary logic to accept an external fault reset contact must be included.

q. Provide critical speed lockout circuitry to prevent operating at frequencies with critical harmonics that cause resonant vibrations. The ASD must have a minimum of three user selectable bandwidths.

r. Provide properly sized NEMA rated by-pass and isolation contactors to enable operation of motor in the event of ASD failure. Install mechanical and electrical interlocks between the by-pass and isolation contactors. Provide a selector switch and transfer delay timer. Motor overload and short circuit protective features must remain in use during the bypass mode.

s. Each individual ASD must meet the following Total Harmonic Distortion (THD) requirements at the input terminals to the factory assembly of the ASD or at the load disconnecting means serving the ASD and filter assembly. These measurements should be taken with the drive set at 90 percent frequency (rpms) and the motor under a minimum of 50 percent demand.

(1) The Voltage THD should not exceed 2.0 percent THD.

(2) The Current THD should not exceed 15.0 percent THD.

(3) If the standard factory ASD does not meet or exceed these requirements the factory must install appropriate equipment (Harmonic Traps, Filters, different Drive technology, etc.) to mitigate the distortion to assure performance of the VFD is within the limits.

(4) These tests should be performed at the Manufacturers Laboratory facilities and submitted as part of the Product Data Submittals, in order to prevent the necessity of adding mitigation equipment in the field. If the requirements listed above are met, IEEE 519 will also be met.

2.1.1 ASD for HVAC Application

ASDs must have the following features:

a. A local operator control providing the following functions:

(1) Remote/Local operator selection with password access.

(2) Run/Stop and manual speed commands.

(3) All programming functions.

(4) Scrolling through all display functions.

b. A local operator control panel with the following data displayed:

(1) ASD status.

(2) Frequency.

(3) Motor RPM.

(4) Phase current.

(5) Scrolling through all display functions.

(6) Fault diagnostics in descriptive text.

(7) All programmed parameters.

c. Standard PI loop controller with input terminal for controlled variable and parameter settings.

d. User interface terminals for remote control of ASD speed, speed feedback, and an isolated form C SPDT relay, which energizes on a drive fault condition.

e. An isolated form C SPDT auxiliary relay which energizes on a run command.

f. An adjustable carrier frequency with 16 KHz minimum upper limit.

g. A built-in or external line reactor with 3 percent minimum impedance to protect the DC bus capacitors and rectifier section diodes, reduce power line transient voltage, line notching, DC bus over-voltage tripping and improve the inverter over-current and over-voltage conditions.

h. Historical logging information and displays:

(1) Real-time clock with current time and date.

(2) Running log of total power versus time.

(3) Total run time.

(4) Fault log, maintaining last four faults with time and data stamp for each.

i. ASD must have the following protective features:

(1) An electronic adjustable inverse time current limit with consideration for additional heating of the motor at frequencies below 45Hz, for the protection of the motor.

(2) An electronic adjustable soft stall feature, allowing the ASD to lower the frequency to a point where the motor will not exceed the full-load amperage when an overload ASD will automatically return to the requested frequency when load conditions permit.

(3) A separate electronic stall at 110 percent ASD rated current, and a separate hardware trip at 190 percent current.

(4) The ability to shut down if inadvertently started into a rotating load without damaging the ASD or the motor.

(5) The ability to keep a log of a minimum of four previous fault conditions, indicating the fault type and time of occurrence in descriptive text.

(6) The ability to sustain 110 percent rated current for 60 seconds.

(7) The ability to shutdown safely or protect against and record the following fault conditions:

(a) Over current (and an indication if the over current was during acceleration, deceleration, or running).

(b) Over current internal to the drive.

(c) Motor overload at start-up.

(d) Over voltage from utility power.

(e) Motor running overload.

(f) Over voltage during deceleration.

(g) ASD over heat.

(h) Load and ground fault.

(i) Abnormal parameters or data in ASD EEPROM.

2.2 ENCLOSURES

Provide equipment enclosures conforming to NEMA 250, NEMA ICS 7, and NEMA ICS 6, with a heater if located outdoors. An HMCP device must provide the disconnecting means. The operating handle must protrude through the door, but the disconnect must not be mounted on the door. The handle must indicate ON, OFF, and tripped conditions. The handle must have provisions to accommodate a minimum of three padlocks in the OFF position. Interlocks must prevent unauthorized opening or closing of the ASD door with the disconnect handle in the ON position. The door handle interlock should have provisions to be defeated by qualified maintenance personnel.

2.3 WIRES AND CABLES INCLUDING VFD RELATED CABLE

All wires and cables must conform to NEMA 250, NEMA ICS 7, NFPA 70. Wires between the ASD and load must be VFD rated.

2.4 NAMEPLATES

Nameplates external to NEMA enclosures must conform with the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide manufacturer's standard, permanent nameplates for internal areas of enclosures.

2.5 SOURCE QUALITY CONTROL

2.5.1 ASD Test Plan

To ensure quality, each ASD must be subject to a series of in-plant quality control inspections before approval for shipment from the manufacturer's facilities. Provide test plans.

2.5.2 ASD Test Report

To ensure quality, each ASD must be subject to a series of in-plant quality control inspections before approval for shipment from the manufacturer's facilities. Provide test reports.

PART 3 EXECUTION

3.1 INSTALLATION

Per NEMA ICS 3.1, install equipment in accordance with the approved manufacturer's printed installation drawings, instructions, wiring diagrams, and as indicated on project drawings and the approved shop drawings. A field representative of the drive manufacturer must supervise the installation of all equipment, and wiring.

3.2 GROUNDING

Per NEMA ICS 7.2, ASD must be solidly grounded to the main distribution.

3.3 FIELD QUALITY CONTROL

Specified products must be tested as a system for conformance to specification requirements prior to scheduling the acceptance tests. Conduct performance verification tests in the presence of Government representative, observing and documenting complete compliance of the system to the specifications. Submit a signed copy of the test results, certifying proper system operation before scheduling tests.

3.3.1 ASD Test

A proposed test plan must be submitted to the contracting officer at least 28 calendar days prior to proposed testing for approval. The tests must conform to NEMA ICS 1, NEMA ICS 7, and all manufacturer's safety regulations. The Government reserves the right to witness all tests and review any documentation. Inform the Government at least 14 working days prior to the dates of testing. Perform the ASD test with the assistance of a factory-authorized service representative engaging a qualified testing agency's field supervisor currently certified by NETA to supervise on-site testing.

3.3.2 Performance Verification Tests

"Performance Verification Test" plan must provide the step by step procedure required to establish formal verification of the performance of the ASD. Compliance with the specification requirements must be verified by inspections, review of critical data, demonstrations, and tests. The Government reserves the right to witness all tests, review data, and request other such additional inspections and repeat tests as necessary to ensure that the system and provided services conform to the stated requirements. Inform the Government 14 calendar days prior to the date the test is to be conducted.

3.3.3 Endurance Test

Immediately upon completion of the performance verification test, the endurance test must commence. The system must be operated at varying rates for not less than 192 consecutive hours, at an average effectiveness level of 0.9998, to demonstrate proper functioning of the complete PCS. Continue the test on a day-to-day basis until performance standard is met. The contractor is not allowed in the building during the endurance test. The system must respond as designed.

3.4 DEMONSTRATION

3.4.1 Training

Coordinate training requirements with the Contracting Officer. Provide video tapes, if available, of all training provided to the Government for subsequent use in training new personnel. Provide all training aids, texts, and expendable support material for a self-sufficient presentation must be provided, the amount of which to be determined by the contracting officer.

3.4.1.1 Instructions to Government Personnel

Provide the services of competent instructors with minimum two-year field experience with the operation and maintenance of similar ASDs who will give full instruction to designated personnel in operation, maintenance, calibration, configuration, and programming of the complete control system. Orient the training specifically to the system installed. Instructors must be thoroughly familiar with the subject matter they are to teach. The number of training days of instruction furnished must be as specified. A training day is defined as eight hours of instruction, including two 15-minute breaks and excluding lunch time; Monday through Friday. Provide a training manual for each student at each training phase which describes in detail the material included in each training program. Provide one additional copy for archiving. Provide equipment and materials required for classroom training. Provide a list of additional related courses, and offers, noting any courses recommended. List each training course individually by name, including duration, approximate cost per person, and location of course. Unused copies of training manuals must be turned over to the Government at the end of last training session.

3.4.1.2 Operating Personnel Training Program

Provide one 2-hour training session at the site at a time and place mutually agreeable between the Contractor and the Government. Provide session to train 4 operation personnel in the functional operations of the system and the procedures that personnel will follow in system operation. This training must include:

a. System overview

b. General theory of operation

c. System operation

d. Alarm formats

e. Failure recovery procedures

f. Troubleshooting

3.4.1.3 Engineering/Maintenance Personnel Training

Accomplish the training program as specified. Training must be conducted on site at a location designated by the Government. Provide a one-day training session to train four engineering personnel in the functional operations of the system. This training must include:

a. System overview

b. General theory of operation

c. System operation

d. System configuration

e. Alarm formats

f. Failure recovery procedures

g. Troubleshooting and repair

h. Maintenance and calibration

i. System programming and configuration

-- End of Section --

SECTION 32 31 13.53

HIGH-SECURITY FENCES (CHAIN LINK AND ORNAMENTAL) AND GATES
11/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM A121	(2022) Standard Specification for Metallic-Coated Carbon Steel Barbed Wire
ASTM A153/A153M	(2023) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A240/A240M	(2025a) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications
ASTM A307	(2023) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A478	(1997; R 2019) Standard Specification for Chromium-Nickel Stainless Steel Weaving and Knitting Wire
ASTM A491	(2011; R 2022) Standard Specification for Aluminum-Coated Steel Chain-Link Fence Fabric
ASTM A563	(2021; E 2022a) Standard Specification for Carbon and Alloy Steel Nuts
ASTM A780/A780M	(2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings
ASTM A824	(2001; R 2022) Standard Specification for Metallic-Coated Steel Marcelled Tension Wire for Use With Chain Link Fence
ASTM A1023/A1023M	(2021) Standard Specification for Stranded Carbon Steel Wire Ropes for General Purposes
ASTM C94/C94M	(2025) Standard Specification for Ready-Mixed Concrete
ASTM F567	(2023) Standard Practice for Installation

	of Chain Link Fence
ASTM F626	(2014; R 2023) Standard Specification for Fence Fittings
ASTM F844	(2019; R 2024) Standard Specification for Washers, Steel, Plain (Flat), Unhardened for General Use
ASTM F883	(2013; R 2022) Standard Performance Specification for Padlocks
ASTM F900	(2025) Standard Specification for Industrial and Commercial Swing Gates
ASTM F1043	(2018; R 2022) Standard Specification for Strength and Protective Coatings on Steel Industrial Fence Framework
ASTM F1083	(2018; R 2022) Standard Specification for Pipe, Steel, Hot-Dipped Zinc Coated (Galvanized) Welded, for Fence Structures
ASTM F1145	(2005; R 2017) Standard Specification for Turnbuckles, Swaged, Welded, Forged
ASTM F1184	(2023; E 2023) Standard Specification for Industrial and Commercial Horizontal Slide Gates
ASTM F1910	(1998; R 2018) Standard Specification for Long Barbed Tape Obstacles

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Fence Installation Drawings; G

SD-03 Product Data

Fabric

Posts

Post Caps

Chain Link Braces

Rails

Tension Wire

Barbed Wire

Barbed Wire Supporting Arms

Latches

Hinges

Turnstiles

Padlocks

Wire Ties

Swing Gates

Fence Fabric Reinforcement

SD-07 Certificates

Chain Link Fence

Fabric

Barbed Wire

Gate Hardware and Accessories

Concrete

1.3 DELIVERY, STORAGE, AND HANDLING

Deliver materials to site in an undamaged condition. Store materials elevated off of the ground to protect against oxidation caused by ground contact.

PART 2 PRODUCTS

2.1 COMPONENTS

2.1.1 Chain Link Fence Fabric

2.1.1.1 General

Provide ASTM A491, aluminum-coated steel wire. Fabricate fence fabric of 9 gauge wire woven in 2 inch diamond mesh.

2.1.2 Posts

2.1.2.1 Metal Posts for Chain Link Fence

Provide posts conforming to ASTM F1083, zinc-coated. Group IC steel pipe, zinc-coated with PVC polymer overcoat and Group II, roll-formed steel sections, with zinc coating meeting the strength and coating requirements of ASTM F1043. Provide sizes as shown on the drawings. Use line posts and terminal (corner, gate, and pull) posts of the same designation throughout the fence. Provide gate post for the gate type specified subject to the limitation specified in ASTM F900 or ASTM F1184.

2.1.2.2 Accessories

a. Provide accessories conforming to ASTM F626. Coat ferrous accessories with

b. Provide truss rods (with turnbuckles or other means of adjustment)for each terminal post.

c. Provide barbed wire supporting arms of the arm type and of the design required for the post furnished. Secure arms by top rail.

d. Furnish post caps in accordance with manufacturer's standard accessories with coating matching that of fence posts.

e. Provide 9 gauge tie wire for attaching fabric to rails, braces, and posts and match the material and coating of the fence fabric. Use tie wires for attaching fabric to tension wire on high security fences made from 16 gauge stainless steel. Provide double loop tie wires 6.5 inches in length. Provide miscellaneous hardware coatings which conform to ASTM A153/A153M unless modified.

2.1.3 Chain Link Braces and Rails

ASTM F1083, zinc-coated, Group IA High Strength, steel pipe, size NPS 1-1/4.

2.1.4 Chain Link Gates

2.1.4.1 Gate Assembly

Provide gate assembly conforming to ASTM F900 and/or ASTM F1184 of the type and swing shown. Provide gate frames conforming to strength and coating requirements of ASTM F1083 for Group IA Regular Strength, steel pipe, nominal pipe size (NPS) 1-1/2. Use gate fabric that matches the specified chain link fabric.

2.1.4.2 Gate Leaves

For gate leaves, more than 8 feet wide, provide either intermediate members and diagonal truss rods or tubular members as necessary to provide rigid construction, free from sag or twist. For gate leaves less than 8 feet wide, provide truss rods or intermediate braces. Provide intermediate braces on all gate frames with an electro-mechanical lock. Attach fabric to the gate frame by method standard with the manufacturer. Welding is not an acceptable method for attaching fabric to gate frames.

2.1.4.3 Gate Hardware and Accessories

Submit manufacturer's catalog data. Furnish and install latches, hinges, stops, keepers, rollers, and other hardware items as required for the operation of the gate. All items are required to match the material characteristics of the fence system being installed. Provide hinge with stainless steel pin. Arrange latches for padlocking so that the padlock will be accessible from both sides of the gate. Provide stops for holding the gates in the open position. For high security applications, extend each end member of gate frames sufficiently above the top member to carry three strands of barbed wire in horizontal alignment with barbed wire strands on the fence. Coating for steel latches, stops, hinges, keepers, and accessories, must be galvanized

2.1.5 Turnstiles

Provide galvanized steel , three wing turnstile consisting of a rotor, cage, ceiling plate, and bottom bearing plate. Provide continuous turn one way continuous turn one-third turn and stop motion.

2.1.6 Padlocks

Provide padlocks conforming to ASTM F883, Type PO1 , Option A, B, and G , Grade 6. Size 1-3/4 inch. Key all padlocks alike. .

2.2 MATERIALS

2.2.1 Wire

2.2.1.1 Wire Ties

Submit samples as specified. Provide wire ties constructed of the same material and finish as the fencing fabric.

2.2.1.2 Barbed Wire

Provide barbed wire conforming to ASTM A121 aluminum-coated, Type A, with 12.5 gauge wire with 14 gauge, round, 4-point barbs spaced no more than 5 inches apart.

2.2.1.3 Tension Wire

Provide metallic coated steel marcelled tension wire (No. 7-gauge), complying with ASTM A824. Provide aluminum-coated (aluminized) steel wire with coating that weighs not less than 0.4 ounces per square foot.

2.2.2 Barbed Tape

Provide reinforced barbed tape, single coil, for fence toppings fabricated from 430 series stainless steel with a hardness range of Rockwell (30N) 37-45 conforming to the requirements of ASTM A240/A240M. Provide stainless steel strip 0.025 inch thick by 1 inch wide before fabrication.

Provide barbs that are a minimum of 1.2 inch in length, in groups of 4, spaced on 4 inch centers. Use stainless steel core wire with a 0.098 inch diameter and a minimum tensile strength of 140 psi and conforming to ASTM A478. The above requirements also apply to reinforced barbed tape, single coil, for ground application. Use No. 16 AWG stainless steel twistable wire ties for attaching the barbed tape to the barbed wire and to the fence for ground application.

Ensure long barbed tape obstacles conform to ASTM F1910.

2.2.3 Concrete

ASTM C94/C94M, using 3/4 inch maximum size aggregate, and having minimum compressive strength of 3000 psi at 28 days. Use grout consisting of one part portland cement to three parts clean, well-graded sand and the minimum amount of water to produce a workable mix.

2.3 FENCE FABRIC REINFORCEMENT

Provide galvanized structural wire rope as indicated and in accordance with ASTM A1023/A1023M, 3/4 inch nominal diameter of strand, 19 wire strand, regular lay, extra improved plow steel (EIPS), independent wire rope core (IWRC) and with a minimum breaking strength of 40,800 pound-force. Galvanize cable, Class A, in accordance with ASTM A1023/A1023M.

2.3.1 Wire Rope Accessories

Use hot-dipped galvanized structural steel members in cable anchoring system. Provide hot-dipped galvanized clamps, U-bolts, and associated hardware in accordance with ASTM A153/A153M.

2.3.2 Turnbuckles

Provide turnbuckles that are 1-1/4 inches x 12 inches x inches Type I, Grade 1 galvanized, in accordance with ASTM F1145.

2.3.3 Rope Clamps

Provide hot-dipped galvanized rope clamps in accordance with ASTM A153/A153M.

2.3.4 Threaded Rods, U-Bolts, and Bolts

Provide all threaded rods, U-bolts conforming to ASTM A307 and install with ASTM F844 and ASTM A563 nuts. Galvanize the entire bolt assembly.

PART 3 EXECUTION

3.1 PREPARATION

Perform complete installation conforming to ASTM F567.

3.1.1 Line and Grade

Install fence to the lines and grades indicated. Clear the area on either side of the fence line to the extent indicated. Space line posts equidistant at intervals not exceeding 10 feet. Set terminal (corner, gate, and pull) posts whenever abrupt changes in vertical and horizontal

alignment are encountered. Provide continuous fabric between terminal posts; however, ensure runs between terminal posts do not exceed 500 feet. Repair any damage to galvanized surfaces, including welding, with paint containing zinc dust in accordance with ASTM A780/A780M.

3.1.2 Excavation

Excavate holes to depths indicated. Clear all post holes of loose material and spread waste material where directed. Eliminate ground surface irregularities along the fence line to the extent necessary to maintain a 2 inch clearance between the bottom of the fabric and finish grade.

3.2 INSTALLATION

3.2.1 Installation Drawings

Submit complete Fence Installation Drawings for review and approval by the Contracting Officer prior to shipment. Submit drawing details that include, but are not limited to the following information: Fence Installation Drawings, Location of gate, corner, end, and pull posts, Gate Assembly, Turnstiles, and Gate Hardware and Accessories. Install fence system per approved drawings.

3.2.2 Security Fencing

Install new security fencing, remove existing security fencing, and perform related work to provide continuous security for facility. Schedule and fully coordinate work with Contracting Officer.

3.2.3 Posts

3.2.3.1 Earth and Bedrock

a. Set posts plumb and in alignment. Except where solid rock is encountered, set posts in concrete to the depth indicated on the drawings. Where solid rock is encountered with no overburden, set posts to a minimum depth of 18 inches in rock. Where solid rock is covered with an overburden of soil or loose rock, set posts to the minimum depth indicated on the drawing unless a penetration of 18 inches in solid rock is achieved before reaching the indicated depth, in which case terminate depth of penetration. Grout all portions of posts set in rock.

b. Set portions of posts not set in rock in concrete from the rock to ground level. Set posts in holes not less than the diameter shown on the drawings. Make diameters of holes in solid rock at least 1 inch greater than the largest cross section of the post. Thoroughly consolidate concrete and grout around each post, free of voids and finished to form a dome. Allow concrete and grout to cure for 72 hours prior to attachment of any item to the posts. Group II line posts may be mechanically driven, for temporary fence construction only, if rock is not encountered. Set driven posts to a minimum depth of 3 feet and protect with drive caps when setting.

c. Test fence post rigidity by applying a 50 pound force on the post, perpendicular to the fabric, at 5 feet above ground. Ensure post movement measured at the point where the force is applied is less than or equal to 3/4 inch from the relaxed position. Test every tenth post

for rigidity. When a post fails this test, make further tests on the next four posts on either side of the failed post. Remove, replace, and retest all failed parts at the Contractor's expense.

3.2.3.2 Concrete Slabs and Walls

When installed in concrete slabs or walls, set posts in zinc-coated sleeves, to a minimum depth of 12 inches. Fill sleeve joint with lead, nonshrink grout, or other approved material. Set posts for support of removable fence sections in sleeves that provide a tight sliding joint and hold posts aligned and plumb without use of lead or setting material.

3.2.4 Rails

Bolt bottom rail to double rail ends and securely fasten double rail ends to the posts. Peen bolts to prevent easy removal. Install bottom rail before chain link fabric. Provide 3/8" diameter eye hook anchored into concrete footing at midpoint.

3.2.5 Fabric

a. Set fabric height as shown.

b. Install chain link fabric on the side of the post indicated. Attach fabric to terminal posts with stretcher bars and tension bands. Space bands at approximately 15 inch intervals. Install fabric and pull taut to provide a smooth and uniform appearance free from sag, without permanently distorting the fabric diamond or reducing the fabric height. Fasten fabric to line posts at approximately 15 inch intervals and fastened to all rails and tension wires at approximately 24 inch intervals.

c. Cut fabric by untwisting and removing pickets. Accomplish splicing by weaving a single picket into the ends of the rolls to be joined. Install the bottom of the fabric 2 plus or minus 1/2 inch above the ground.

d. After the fabric installation is complete, exercise the fabric by applying a 50 pound push-pull force at the center of the fabric between posts; use a 30 pound pull at the center of the panel to ensure fabric deflection of not more than 2.5 inches when pulling fabric from the post side of the fence. Every second fence panel is required to meet this requirement. Resecure and retest all failed panels at the Contractor's expense.

3.2.6 Supporting Arms

Install barbed wire supporting arms and barbed wire as indicated on the drawings and as recommended by the manufacturer. Anchor supporting arms to the posts in a manner to prevent easy removal with hand tools Pull barbed wire taut and attach to the arms with clips or other means that will prevent easy removal.

3.2.7 Gate Installation

a. Install gates at the locations shown. Mount gates to swing as indicated. Install latches, stops, and keepers as required. Install Slide Lift gates as recommended by the manufacturer.

b. Attach padlocks to gates or gate posts with chains. Weld or otherwise secure hinge pins, and hardware assembly to prevent removal.

c. Submit copies of operating and maintenance instructions. Outline the step-by-step procedures required for system startup, operation, and shutdown. Include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and their basic operating features. Include in the maintenance instructions routine maintenance procedures, possible breakdowns and repairs, and troubleshooting guide. Also include the general gate layout, equipment layout and simplified wiring and control diagrams of the system as installed.

3.2.8 Grounding

a. Ground fencing as indicated on drawings.

b. Ground fences on each side of all gates, at each corner, at the closest approach to each building located within 50 feet of the fence, and where the fence alignment changes more than 15 degrees. Ensure grounding locations are located no more than 650 feet apart. Bond each gate panel with a flexible bond strap to its gate post. Ground fences crossed by powerlines of 600 volts or more at or near the point of crossing and at distances not exceeding 150 feet on each side of crossing.

3.3 CLOSEOUT ACTIVITIES

3.3.1 Cleanup

Remove waste fencing materials and other debris from the work site each workday.

-- End of Section --