DEPARTMENT OF THE NAVY
NAVAL FACILITIES ENGINEERING SYSTEMS COMMAND, MID-ATLANTIC
MARINE CORPS AIR STATION, CHERRY POINT, NORTH CAROLINA

OVERHAUL ELEVATOR BUILDING 4224

AT THE
MARINE CORPS AIR STATION
CHERRY POINT, NORTH CAROLINA
STATION PROJECT NO: 7320479

DESIGNED BY:

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FIRE PROTECTION: JENSEN HUGHES
MECHANICAL/PLUMBING/ELECTRICAL: CRENSHAW CONSULTING ENGINEERS

SPECIFICATION PREPARED BY:

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14 June 2024

14 JUNE 2024

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Date: 7/8/2024

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LIST OF DRAWINGS
02/11, CHG 1: 08/14

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings, Maps and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

NAVFAC DWG NO.	SHEET NO.	TITLE
G	GENERAL	
12908226	G-001	TITLE SHEET AND INDEX OF DRAWINGS
GI	LIFE SAFETY	
12908227	GI001	LIFE SAFETY GENERAL NOTES AND LEGEND
12908228	GI101	LIFE SAFETY ELEVATOR FLOOR PLAN
A	ARCHITECTURAL	
12908229	A-001	SYMBOL LEGEND AND ABBREVIATIONS
12908230	AD101	FLOOR PLANS - EXISTING / DEMOLITION
12908231	AD301	SECTIONS - EXISTING / DEMOLITION
12908232	A-101	FLOOR PLANS - CONSTRUCTION
12908233	A-301	SECTIONS - CONSTRUCTION
12908234	A-501	DETAILS
12908235	A-601	DOOR AND FRAME SCHEDULE
F	FIRE PROTECTION	
12908236	FP001	FIRE PROTECTION GENERAL NOTES AND LEGEND
12908237	FP101	FIRE PROTECTION OVERALL FIRST FLOOR PLAN
12908238	FP102	FIRE PROTECTION ELEVATOR NEW WORK FLOOR PLAN
12908239	FP501	FIRE PROTECTION DETAILS
P	PLUMBING	
12908240	P-001	PLUMBING LEGENDS AND NOTES
12908241	PD101	FLOOR PLAN - PLUMBING DEMOLITION
12908242	P-101	FLOOR PLAN - PLUMBING
12908243	P-501	PLUMBING - DETAILS
M	MECHANICAL	
12908244	M-001	MECHANICAL NOTES, LEGEND & ABBREVIATIONS
12908245	MD101	FLOOR PLAN - MECHANICAL DEMOLITION
12908246	M-101	FLOOR PLAN - MECHANICAL
12908247	M-501	MECHANICAL DETAILS
12908248	M-601	MECHANICAL SCHEDULES
12908249	M-701	MECHANICAL CONTROLS
E	ELECTRICAL	
12908250	E-001	ELECTRICAL NOTES & LEGEND
12908251	ED101	FLOOR PLAN - ELECTRICAL DEMOLITION

NAVFAC DWG NO.	SHEET NO.	TITLE
12908252	E-101	FLOOR PLAN - POWER
12908253	E-102	FLOOR PLAN - LIGHTING
12908254	E-501	ELECTRICAL DETAILS
12908255	E-601	ELECTRICAL SCHEDULES
12908256	E-602	ELECTRICAL SCHEDULES

-- End of Document --

SECTION 01 11 00

SUMMARY OF WORK
02/24

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Salvage Plan

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes replace elevator in Building 4224 and incidental related work.

1.2.2 Location

The work is located at MCAS Cherry Point, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with Installation requirements for locating and marking underground utilities. Contact local utility locating service a minimum of 48 hours prior to excavating, to mark utilities, and within sufficient time required if work occurs on a Monday or after a Holiday. Verify existing utility locations indicated on contract drawings, within area of work.

Identify and mark all other utilities not managed and located by the local utility companies. Scan the construction site with Ground Penetrating Radar (GPR), electromagnetic, or sonic equipment, and mark the surface of the ground or paved surface where existing underground utilities are discovered. Verify the elevations of existing piping, utilities,and any type of underground obstruction not indicated, or specified to be removed, that is indicated or discovered during scanning, in locations to be traversed by piping, ducts, and other work to be conducted or installed. Verify elevations before installing new work closer than nearest manhole or other structure at which an adjustment in grade can be made.

1.5.1 Notification Prior to Excavation

Notify the Contracting Officer at least 15 days prior to starting excavation work.

1.6 NAVY AND MARINE CORPS INTRANET (NMCI) COORDINATION REQUIREMENTS

1.6.1 NMCI Contractor Access

Allow the NMCI Contractor access to the facility towards the end of construction (finishes 90 percent complete, rough-in 100 percent complete, Inside Plant (ISP)/Outside Plant (OSP) infrastructure in place) to provide equipment in the telecommunications rooms and make final connections. Coordinate efforts with the NMCI Contractor to facilitate joint use of building spaces during the final phases of construction. After the Contracting Officer has facilitated coordination meetings between the two contractors, within one week, incorporate the effort of additional coordination with the NMCI Contractor into the construction schedule to demonstrate a plan for maintaining the contract duration.

1.7 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at the Government designated storage area located within 10 miles of the construction site.

All equipment, machinery, metallic piping, wire, and other scrap metal shall remain the Government's property. Recycle these items as part of demolition and deconstruction operations. Provide separate containers to collect these items and transport to the FRC East recycling facility in Building 4785. Coordinate with the recycling personnel at Building 4785 to arrange an appropriate time for delivery.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Provide a system for property control in the salvage plan. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

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SECTION 01 14 00

WORK RESTRICTIONS
11/22, CHG 1: 02/23

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel

1.2 SPECIAL SCHEDULING REQUIREMENTS

a. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work.

b. The building will remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity.

d. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Ensure all Contractor equipment, including delivery vehicles, are clearly identified with their company name.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 Installation Access

Obtain access to Navy installations through participation in the Defense Biometrics Identification System (DBIDS). Requirements for Contractor employee registration, and transition for employees currently under Navy Commercial Access Control System (NCACS), are available at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/. No fees are associated with obtaining a DBIDS credential.

Participation in the DBIDS is not mandatory, and Contractor personnel may apply for One-Day Passes at the Base Visitor Control Office to access an installation.

1.3.1.2.1 Registration for DBIDS

Registration for DBIDS is available at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/. Procedure includes:

a. Present a letter or official award document (i.e. DD Form 1155 or SF 1442) from the Contracting Officer, that provides the purpose for access, to the base Visitor Control Center representative.

b. Present valid identification, such as a passport or Real ID Act-compliant state driver's license.

c. Provide completed SECNAV FORM 5512/1 to the base Visitor Control Center representative to obtain a background check. This form is available for download at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/.

d. Upon successful completion of the background check, the Government will complete the DBIDS enrollment process, which includes Contractor employee photo, fingerprints, base restriction and several other assessments.

e. Upon successful completion of the enrollment process, the Contractor employee will be issued a DBIDS credential, and will be allowed to proceed to worksite.

1.3.1.2.2 DBIDS Eligibility Requirements

Throughout the length of the contract, the Contractor employee must continue to meet background screen standards. Periodic background screenings are conducted to verify continued DBIDS participation and installation access privileges. DBIDS access privileges will be immediately suspended or revoked if at any time a Contractor employee becomes ineligible.

An adjudication process may be initiated when a background screen failure results in disqualification from participation in the DBIDS, and Contractor employee does not agree with the reason for disqualification. The Government is the final authority.

1.3.1.2.3 DBIDS Notification Requirements

a. Immediately report instances of lost or stolen badges to the Contracting Officer.

b. Immediately collect DBIDS credentials and notify the Contracting Officer in writing under the following circumstances:

(1) An employee has departed the company without having properly returned or surrendered their DBIDS credentials.

(2) There is a reasonable basis to conclude that an employee, or former employee, might pose a risk, compromise, or threat to the safety or security of the Installation or anyone therein.

1.3.1.2.4 One-Day Passes

Personnel applying for One-Day passes at the Base Visitor Control Office are subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government is not responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in the DBIDS.

1.3.1.3 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction, and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Working Hours

Regular working hours will consist of an 8 1/2 hour period , between 7 a.m. and 3:30 p.m., Monday through Friday , , excluding Government holidays.

1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number, and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.3.4 Occupied Building

The Contractor shall be working in an existing building which is occupied. Do not enter the building without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains, and Government material located in the building during the construction period.

Relocate movable furniture as required to perform the work, protect the furniture, and replace the furniture in its original location upon completion of the work. Leave attached equipment in place, and protect it against damage, or temporarily disconnect, relocate, protect, and reinstall it at the completion of the work.

1.3.5 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.

c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, and are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS.

d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

e. Connection to Existing Sanitary Sewer Line: Provide positive verification that the existing line conveys sanitary sewer; verify line is not incorrectly connected to a storm drain.

1.4 SECURITY REQUIREMENTS

1.4.1 Station Regulations

No employee or representative of the contractor will be admitted to the work site without an Identification Badge or is specifically authorized admittance to the work site by the FEAD, Facilities Engineering & Acquisition Division.

IMPORTANT NOTE: FEAD personnel (Construction Managers, Engineers/Architects, Engineering Technicians, Contract Specialists, or Contract Surveillance Representatives) will not receive, process, re-transmit, or otherwise handle IN ANY WAY Personally Identifiable Information (PII) related to the badging process. Do NOT forward any of this information to the FEAD.

1.4.2 Contractor Access to MCAS Cherry Point and Outlying Areas

1. Documentation requirements for granting access to MCAS Cherry Point for commercial and contract employers and employees. This document is an aid in meeting ASO 5560.6B requirements and is not a substitute for the order.

2. The Pass & Identification Office at Building 251 will issue credentials to authorized contractors. Sub-Contractors and suppliers must coordinate through the Prime-Contractor.

3. Criminal Activity. In accordance with ASO 5560.6B, the below list of criminal activities within an applicant's record are considered not in the best interest of the Marine Corps and will be grounds for automatic denial of access aboard the Installation:

a. Conviction for espionage, sabotage, sedition, treason, terrorism,

armed robbery, or murder.

b. Felony conviction for a firearms or explosives violation, regardless of the date of conviction.

c. Conviction of crimes encompassing sexual assault or rape.

d. Conviction of crime encompassing child molestation, or the possession or production of child pornography.

e. Conviction of trafficking in persons.

f. Conviction of drug possession with intent to sell or distribute.

g. Convicted of three or more misdemeanor violations, or attempted violations, within the previous 10 years of the following offenses:

(1) Sex crime

(2) Assault

(3) Larceny

(4) Drugs

(5) Weapons

4. Persons requesting access to MCAS Cherry Point will be denied access based on the following:

a. The individual is a registered sex offender.

b. The individual has an active arrest warrant from Federal, State, local, or other civil law enforecement authorities, regardless of offense or violation.

c. The individual has a felony conviction within the last 10 years, regardless of the offense or violation.

d. The individual's name appears on any Federal or State agency watch list for criminal behavior or terrorist activity.

e. The individual is debarred entry or access to a Marine Corps site, other DoD installations or facilities, or other Federal site or facility.

f. The individual engaged in acts or activities designed to overthrow the U.S. Government by force.

g. The individual is known to be or reasonably suspected of being a terrorist or belongs to an organization with known terrorism links/support.

h. The individual is identified in the National Crime Information Center (NCIC) known suspected terrorist (KST) file, or the Terrorist Screening Database (TSDB) report as known to be, or suspected of being, a terrorist or belonging to an organization with known links to terrorism or support of terrorist activity. If an individual is identified on the NCIC KST files or TSDB, the Provost Marshal's Office

(PMO) will immediately call the NCIS Multiple Threat Alert Center (MTAC) for further coordination. The MTAC will coordinate with the Department of Justice or Federal Bureau of Investigation (FBI) and provide handling instructions to MCAS Cherry Point Police, Criminal Investigations Division (CID), or NCIS.

i. The individual is illegally present in the U.S.

j. The individual has knowingly submitted an employment questionnaire with false or fraudulent information.

k. The individual is a prisoner on a work-release program or currently on felony probation or parole.

l. The individual is pending any felony charge.

m. The individual has criminal arrest information that the site commander determines the person presents a threat to good order, discipline, or health and safety on the Marine Corps site.

n. Any reason the Installation Commander deems reasonable for good order and discipline.

1.4.3 FLEET READINESS CENTER, EAST (FRC EAST) REQUIREMENTS

Work involved under this contract is in the FRC East Maintenance Depot and Flightline Area. No employee or representative of the Contractor will be admitted to the work site unless they (1) are specifically authorized admittance by the FEAD, and (2) has a security badge. The Contractor shall obtain clearance and FRC East security badges for all personnel required to be on the project site prior to performing any work. The Contractor shall submit a written request for security badges to the FEAD and to FRC East Security. Each employee will be required to go to Trailer 32 to obtain a security badge with FRC East access. A limited number of Contractor vehicles will be allowed access to the site of work subject to meeting regular access requirements. No personal vehicles will be allowed behind the security fence. Parking of vehicles shall be restricted to the immediate project site as determined by the FRC East Security. The security badges issued under this contract are valid for this specific project and are not transferable to another project.

FRC East is registered to the ISO 9001, AS 9110, ISO 14001, and OSHAS 18001 standards. In addition to these, registration under the Occupational Safety and Health Administration's Voluntary Protection Program is in progress. To adhere to the standards required of these programs, FRC East must ensure that all individuals accessing the facility are aware of the conditions and factors that affect the well-being of all employees, temporary workers, contractor personnel, visitors, and any other person in the workplace.

The following are requirements for granting access to Fleet Readiness Center East (FRC East) for commercial and contract employers and employees.

a. The Contractor shall complete a "Contractor Work Notice- Form FRC-East 5000/10" and provide the Notice to the appropriate shop supervisor for signature and leave the top part of the Notice with the shop supervisor to post in a prominent location in the work area. The Contractor shall keep the bottom portion of the "Contractor Work Notice" for his records and provide a copy to the

Contracting Officer. For projects occurring on facility roofs (roofing repair, HVAC replacement, or similar work), the Contractor shall complete a "Contractor Work Notice" form and provide the Notice to the shop directly under the area being worked, even if no direct impact to the shop is anticipated. A blank copy of this form is included at the end of this section.

b. In the event of an emergency, including hazardous material spills, the individual discovering the emergency shall call 911 from any FRC East phone. Relay the grid number listed on the phone for location assistance. If you are calling from a cell phone, call 252-466-3616 - Fire Department Dispatch.

c. Badges will be displayed at all times and shall be returned upon completion of visit/work. Every badge is considered Government Property and all lost badges will be reported to the FRC East Badge and Decal Office located in Trailer 32.

d. The facility must be kept clean and orderly at all times. Ensure that you place all waste in proper receptacles so that the facility is maintained in a "Clean as you go" condition.

e. When in the FRC East industrial areas, wear the personal protective equipment (PPE) required for that area. PPE requirements are generally marked but if there is uncertainty, check with the area supervisor. Typically, safety glasses with side shields and steel toed shoes are required in most shops.

f. Smoking is NOT authorized while traveling from one facility location to another while walking or in a vehicle being operated anywhere within the FRC East compound. ABSOLUTELY NO SMOKING on the property except in Designated Smoking Areas.

g. Extra caution shall be taken around the flight line and aircraft turn-up areas to control trash, debris, and materials. Additionally, all personnel on the flight line must be continuously alert and stay clear of helicopter and jet operations in progress.

h. Vehicles must not obstruct aircraft movement or other daily operations.

i. If an emergency situation occurs which would endanger the health or safety of personnel, the area shall be evacuated. Re-entry to affected buildings will be at the discretion of uniformed guards, fire department, or safety office personnel only.

j. Decisions to evacuate will be from the FRC East Commanding Officer or his/her representative. Visitors/contractors, along with non-essential facility personnel, south of Harrison Drive, adjacent to Building 4224, will evacuate the facility first. Fifteen minutes later, the visitors/contractors south of Curtis Road, between Buildings 83 & 84, will evacuate the facility. Fifteen minutes later the visitors/contractors north of Curtis Road will evacuate the facility.

k. Cellular phones and photographic equipment are not authorized within FRC East unless they are approved in advance by the Security Office (252-464-7999). Cellular phones may be used on

roof areas for emergency purposes only.

l. All vehicles must have proper passes, and no pass may be transferred between vehicles.

m. All vehicles will follow posted speed limits, which are: "Do not exceed 5 MPH on the outside of buildings, nor 3 MPH inside of any building".

n. Vehicle headlights must be on at all times within the facility.

o. All vehicles are required to slow down, sound horn, and proceed with caution at all cross aisles and other locations where vision is obstructed.

p. Personnel are strictly forbidden to introduce any substance into the storm drain system including catch basins, roof drains, and floor drains.

q. All facility entrants are responsible for all materials they bring into the facility and shall handle them in such a manner to ensure they are not left as "foreign objects" anywhere in the facility.

r. In case of a utility emergency on weekends or after normal work hours, call the Public Works Department trouble call desk at 252-466-4363.

s. The use of gasoline is prohibited for any purpose other than fueling motor vehicles. All gasoline-powered vehicles are prohibited inside FRC East buildings.

1.4.4 Mandatory Contract Performance Requirements for FRC East

a. All personnel working on site at FRC East under this contract must document their receipt and review of the information listed in FRCEASTINST 5000.1A annually. The Security Department will provide a means of completing initial review prior to FRC East entrance.

b. Foreign object (FO) is defined as any article or substance alien to the aircraft or assembly which is allowed to invade the product. Foreign Object Damage (FOD) is the damage that occurs due to these FOs. All FRC East work sites will be maintained in such a manner as to prevent FOD to aircraft and/or aircraft components. Work sites shall be kept clean at all times. All debris, scrap material, tools, and equipment will be cleared from the work site as work progresses. At no time will hoses, power cords, materials, etc. be permitted to create tripping hazards in areas of the work site.

c. In those cases where a contractor supervisor determines that solving a safety or health problem is beyond their control, but within the control of FRC East, the contractor shall notify the Contracting Officer.

d. All contractor employees performing work on site at FRC East shall immediately report any safety, security, or environmental violation to the Contracting Officer, who will notify the

cognizant FRC East Safety/Security/Environmental Office. The initial FRC East notification can be made via phone or e-mail and should include as many applicable details as possible (date, time, identification numbers, tags, company, etc.). This initial notification will be made as soon as possible. A safety incident will require the contractor to complete and submit an incident memo to the Contracting Officer, who will forward a copy to the FRC Safety Office within 24 hours of the accident/incident. This incident memo will include the full name of the person involved in the incident, their age, sex, job title, the name of the employing company, and the contract number/title. In addition, this memo will include the severity of the illness or injury, indirect cause(s) of the accident, and whether personal protective equipment was available and used.

e. All contractors and sub-contractors working within FRC East must develop and operate effective safety and health programs.

1.4.4.1 Additional Contract Performance Requirements for FRC East

a. Work above or anywhere near aircraft or passageways shall be avoided whenever possible. If this is not possible, the cognizant shop supervisor will be informed so arrangements can be made to protect, move, or evacuate assets from the area to minimize foreign object debris potential. The area below the elevated work area will be adequately marked and barricaded at all times. Under no circumstances will work be performed over unprotected spaces.

b. Constant control of tools and materials is required at all times in the following critical areas: Shop 94304 (Rotor Head Shop); Shop 94601 (Ordinance/Survival Shop); Shop 93117 (Aircraft Paint Shop); Shop 93111 (Aircraft Clean Shop); Shop 96552, 96555, and 96556 (Aircraft Engine Shops); all shops in Building 4225 (Blade Vane); and all Shop 95000 Aircraft Hangars.

c. Buildings 131, 137, 188, 245, 4224, and 4247 are classified as hazardous areas and have special requirements. Do not utilize any ignitable items powered by electric motors or internal combustion engines unless they are determined to be suitable to the conditions of the buildings.

d. The recharging of mobile equipment shall not take place inside any FRC-East building.

e. Tools and hardware will be controlled at all times to prevent migration out of the work site. Lost tools shall be reported to the Contracting Officer who will then notify the FRC East Tool Control Manager at 252-464-9741. Tools found unattended will be confiscated and reported to the Contracting Officer.

f. Personnel working on the flight line must be continuously alert, and stay clear of helicopter and jet operations in progress.

g. Work requiring any modification to an air pollution control device must be approved in advance by the Contracting Officer, who will obtain approval from the FRC East Air Quality Program Manager. These devices are marked with a 5" x 9" red placard and a contact number, 252-464-7264.

h. Work accomplished that will impact industrial ventilation systems or fans will require prior notification of the Contracting Officer, who will then notify the FRC East Air Quality Program Manager at 252-464-7264. These systems are continuously monitored.

1.4.5 Staging Area

As indicated on the plans, the Contractor staging area will be (CM to coordinate). Amount of material on site shall be kept to a minimum and shall only be material that is pertinent to the work currently being performed. All stockpiling of equipment and materials shall be closely coordinated with the Government and shall not disrupt activities at the site.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES
11/20, CHG 3: 02/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 1110-1-8	(2021) Engineering and Design -- Construction Equipment Ownership and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

Within 15 calendar days of Contract Award, prepare and deliver to the Contracting Officer a Schedule of Prices (construction Contract) as directed by the Contracting Officer. Schedule of Prices must have cost summarized and totals provided for each construction category. Provide a detailed breakdown of the Contract price, giving quantities for each of the various kinds of work, unit prices and extended prices. Contractor overhead and profit including salaries for field office personnel, if applicable, must be proportionately spread over all pay items and not included as individual pay items.

1.3.2 Payment Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer.

Additionally, the Schedule of Prices must be separated as follows:

a. Primary Facilities Cost Breakdown:

Defined as work on the primary facilities out to the 5 foot line. Work out to the 5 foot line includes construction encompassed within a theoretical line 5 foot from the face of exterior walls and includes attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 foot line.

b. Supporting Facilities Cost Breakdown:

Defined as site work, including incidental work, outside the 5 foot line.

1.3.3 Schedule Requirements for HVAC TAB

The field work requirements in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC must be broken down in the Schedule of Prices and in the Construction Progress Documentation by separate line items which reflect measurable deliverables. The value for each pay item listed below will be established on a case by case basis for each Contract. The line items are as follows:

a. Approval of Design Review Report: The TABS Agency is required to conduct a review of the project plans and specifications to identify any feature, or the lack thereof, that would preclude successful testing and balancing of the project HVAC systems. Submit the resulting findings to the Government to allow correction of the design. The progress payment will not be issued until the report is reviewed and approved.

b. Approval of the pre-field engineering report: The TABS Agency submits a report which outlines the scope of field work. The report must contain details of what systems will be tested, procedures to be used, sample report forms for reporting test results and a quality control checklist of work items that must be completed before TABS field work commences.

c. Season I field work: Incremental payments are issued as the TABS field work progresses. The TABS Agency mobilizes to the project site and executes the field work as outlined in the pre-field engineering report. The HVAC water and air systems are balanced and operational data must be collected for one seasonal condition (either summer or winter depending on project timing).

d. Approval of Season I report: On completion of the Season I field work, the data is compiled into a report and submitted to the Government. The report is reviewed, and approved, after ensuring compliance with the pre-field engineering report scope of work.

e. Completion of Season I field QA check: Contract QC and Government representatives meet the TABS Agency at the jobsite to retest portions of the systems reported in the Season I report. The purpose of these tests are to validate the accuracy and completeness of the previously submitted Season I report.

f. Approval of Season II report: The TABS Agency completes all Season II field work, which is normally comprised mainly of taking heat transfer temperature readings, in the season opposite of that under which Season I performance data was compiled. Compile this data into a report and submit to the Government. On completion of submittal review to ensure compliance with the pre-field engineering report scope, progress payment is issued. Progress payment is less than that issued for the Season I report since most of the water and air balancing work effort is completed under Season I.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause DFARS 252.236-7000 Modification

Proposals-Price Breakdown, and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, base equipment use rates upon the applicable provisions of the EP 1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27 Prompt Payment for Construction Contracts and FAR 52.232-5 Payments Under Fixed-Price Construction Contracts. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies. The requests for payment shall include the documents listed below.

a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing, in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 must include certification by Quality Control (QC) Manager as required by the Contract.

b. The Estimate for Voucher/Contract Performance Statement on NAVFAC Form 4330/54 furnished by the Government. Use NAVFAC Form 4330, unless otherwise directed by the Contracting Officer, on NAVFAC Contracts when a Monthly Estimate for Voucher is required.

c. Contractor's Monthly Estimate for Voucher and Contractors Certification (NAVFAC Form 4330) with Subcontractor and supplier payment certification. Other documents, including but not limited to, that need to be received prior to processing payment include the following submittals as required. These items are still required monthly even when a pay voucher is not submitted.

d. Monthly Work-hour report.

e. Updated Construction Progress Schedule and tabular reports required by the contract.

f. Contractor Safety Self Evaluation Checklist.

g. Updated submittal register.

h. Solid Waste Disposal Report.

i. Certified payrolls.

j. Updated testing logs.

k. Other supporting documents as requested.

1.5.2 Submission of Invoices

If DFARS Clause 252.232-7006 Wide Area WorkFlow Payment Instructions is included in the Contract, provide the documents listed in above paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

1.5.3 Final Invoice

a. A final invoice must be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release must contain the corporate seal. An officer of the corporation must sign and the corporate secretary must certify the Final Release.

b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.

c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this Contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.103 Progress Payments Under Construction Contracts:

a. Reasonable deductions due to defects in material or workmanship;

b. Claims which the Government may have against the Contractor under or in connection with this Contract;

c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and

d. Failure to maintain accurate "as-built" or record drawings in accordance with FAR 52.236.21.

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the Contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.

b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment must be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

c. Materials to be considered for progress payment prior to installation must be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this Contract. Requests for progress payment consideration for such items must be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.

d. Materials are adequately insured and protected from theft and exposure.

e. Provide a written consent from the surety company with each payment request for offsite materials.

f. Materials to be considered for progress payments prior to installation must be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

g. Materials in transit to the job site or storage site are not acceptable for payment.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
11/20, CHG 3: 08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map
Progress and Completion Pictures

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

1.5 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by North Carolina law.

1.6 SUPERVISION

1.6.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

For projects where the superintendent is permitted to also serve as the Quality Control (QC) Manager as established in Section 01 45 00 QUALITY CONTROL, the superintendent must have qualifications in accordance with that section.

1.6.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a QC representative is required on the Contract, then that individual must also have fluent English communication skills.

1.6.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and QC meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.6.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

1.7 PRECONSTRUCTION MEETING

Immediately after award, prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction Meeting. The meeting must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this meeting is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, QC,

schedule of prices, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections, and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

1.7.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), QC Manager and major subcontractors.

1.8 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled NAVFAC Red Zone Meetings beginning at approximately 75 percent of project completion. Include the following in the facility Turnover effort:

1.8.1 Red Zone Checklist

a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.

b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

1.8.2 Meetings

a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.

b. The Contracting Officer will establish the frequency of the meetings, which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.

c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.

d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.

e. Maintain the Red Zone Checklist by documenting the actual completion dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

1.9 PARTNERING

Contractor shall host the partnering session within 45 calendar days of contract award. To most effectively accomplish this Contract, the

Contractor and Government must form a cohesive partnership with the common goal of drawing on the strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget, and on schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, PWD, FEAD, Contractor, key subcontractors, and the Designer of Record are required to participate in the Partnering process.

1.9.1 Team-Led (Informal) Partnering

a. The Contracting Officer will coordinate the initial Team-Led (Informal) Partnering Session with key personnel of the project team, including Contractor and Government personnel. The Partnering Session will be co-led by the Government Construction Manager and Contractor's Project Manager.

b. The Initial Team-led Partnering session may be held concurrently with the Pre-Construction meeting. Partnering sessions will be held at a location mutually agreed to by the Contracting Officer and the Contractor, typically at a conference room on-base or at the Contractor's temporary trailer.

c. The Initial Team-Led Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by Contracting Officer.

d. The Partners will determine the frequency of the follow-on sessions.

e. Participants will bear their own costs for meals, lodging, and transportation associated with Partnering.

1.10 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days after contract award . Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 31 23.13 20

ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT MANAGEMENT SYSTEM (eCMS)
08/23

PART 1 GENERAL

1.1 CONTRACT ADMINISTRATION

Utilize the Naval Facilities Engineering Systems Command's (NAVFAC's) Electronic Construction and Facility Support Contract Management System (eCMS) for the transfer, sharing, and management of electronic technical submittals and documents. The web-based eCMS is the designated means of transferring technical documents between the Contractor and the Government. Paper media or email submission, including originals or copies, of the documents are not permitted unless identified within the contract.

All government contracting specialist/officer, legal, and command communications will remain the same.

1.2 USER PRIVILEGES

The Contractor's key staff may be provided access to eCMS. Contact the COR for eCMS account access. Project roles and system roles will be established to control each user's menu, application, and software privileges, including the ability to create, edit, or delete objects. Additional project roles may be assigned for workflow. The COR makes the final decision on roles for the project. User's ability to view and edit documents may be lowered at the discretion of the COR.

Only one eCMS user account is required regardless of the number of user's projects. Notify the COR within seven calendar days if a contractor user is no longer associated with company or project so they can remove them from any open record and inactivate them from the project.

1.2.1 eCMS Subcontractor Users

If the contractor's user is a subcontractor, the subcontractor must be registered under the name of their company and email. For example, it is common for contractors to contract QC Managers. The QC Manager's account should be under their company's name and email reducing the number of eCMS accounts required.

1.2.2 Users with Multiple Roles

Users may have multiple roles associated with their account within eCMS. Roles are used in workflow. When a user is added to the project, they will be assigned the default role when the user was created. Contact the COR to change or add roles to the user for the project.

1.2.3 Loss of Privilege

Users may lose privilege to access eCMS at the discretion of the COR and/or Contracting Officer. The eCMS is a collaborative system that allows flexibility of use and does not restrict all inappropriate user actions. User activities are logged into eCMS in visible and background

data collection. Users found to use eCMS in an inappropriate action may have their eCMS access revoked. Examples include, but are not limited to, fraudulent representations, sharing user accounts with others, and changing approved records without the consent of the COR. Depending on the severity of the infraction, the users can lose eCMS access for a period of time, permanently for the project, or lose eCMS access for any project. The contractor may appeal the suspension in writing to the Contracting Officer within 14 calendar days of notice. The appeal must identify the infraction, supporting information, and steps to ensure the infraction will not happen in the future.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contractor's Personnel

For Division 1 government-approved Pre-Construction submittals, combine into a single Pre-Construction Submittal Package, annotated with SD Type of SD-01. Pre-Construction submittal package approval date will be used as a KPI.

1.4 SYSTEM REQUIREMENTS AND CONNECTIVITY

1.4.1 General

NAVFAC eCMS requires a web-browser (platform-neutral) and Internet connection. For best results, recommend using browser in InPrivate/Incognito mode; Internet speeds greater than 40mbps when uploading files, computers with high RAM and Solid State Drives, "White List" eCMS website, Zip or Split files for better uploading. Non-NAVFAC Users are not to use VPN when using eCMS per NAVFAC IT.

The use of eCMS is required by the Contractor and all associated costs and time necessary to utilize eCMS will be borne by the Contractor with no allowance for time extensions and at no additional cost to the government.

1.4.2 Contractor Personnel List

Within 20 calendar days of contract award, provide to the Contracting Officer a list of Contractor's personnel who will have the responsibility for the transfer, sharing, and management of electronic submittals, RFIs, daily reports, and other files and will require access to the eCMS. Project personnel roles which must be filled as applicable in the eCMS include, at a minimum, the Contractor's Project Manager (KTR-PM), Superintendent (KTSUPT), QC Manager (KTR-QC), Principal (KTR-PRIN), and Site Safety and Health Officer (KTR-SSHO). Notify the COR immediately of any personnel changes to the project. The Contracting Officer reserves the right to perform a security check on all potential users.

Provide the following information:

Company Name
Name (First, Last)
Email Address
Project Role (CQM, SSHO, Superintendent, CM, PM, Principal)

Existing or New eCMS User

1.5 SECURITY CLASSIFICATION

In accordance with Department of Navy guidance, all military construction contract data are unclassified, unless specified otherwise by a properly designated Original Classification Authority (OCA) and in accordance with an established Security Classification Guide (SCG). Refer to the project's OCA when questions arise about the proper classification of information.

In conformance with the Freedom of Information Act (FOIA), DoD INSTRUCTION 5200.48 CONTROLLED UNCLASSIFIED INFORMATION (CUI), and DoD requirements, any unclassified project documentation uploaded into the eCMS must be designated either "U - UNCLASSIFIED" (U) or "CUI - CONTROLLED UNCLASSIFIED INFORMATION" (CUI). NAVFAC eCMS must only be used for the transaction of unclassified information associated with construction projects. Controlled Unclassified Identification (CUI) documents may be loaded into eCMS with the appropriate markings.

1.5.1 Markings on CUI Documents

Contractor's proprietary information, or documents determined by the originator in accordance with CUI guidance, should be marked CUI. Proprietary information not marked CUI can be released under the Freedom of Information Act (FOIA). Apply the appropriate markings before any document is uploaded into eCMS. Markings are not required on Unclassified (U) documents.

1.6 eCMS UTILIZATION

Establish, maintain, and update data and documentation in the eCMS throughout the duration of the contract. Utilize eCMS to transfer all submittals, RFIs, daily reports, and other files required by contract to be forwarded to the government.

Full eCMS use is required. All Submittals/Information to use eCMS Modules including, but not limited to, RFIs, Daily Reports, Meeting Minutes, Communications, Issues, Punch Lists, Checklists, and Flysheets, unless otherwise directed by the COR or Contracting Officer.

1.6.1 Restricted Information

Personally Identifiable Information (PII) transmittal such as credit card, driver's license, passport, social security, and payroll number are not permitted in eCMS. Name, address, and email are permitted. Pre-negotiation information such as cost estimates that require formal negotiations are not allowed. For example, proposed changes over the SAP level of $250k require formal negotiations. Cost estimates for LEAN, ULTRA LEAN, and Design Changes under the SAP level are at the discretion of the COR's or Contract Specialist/Officer's direction. The eCMS must only be used for the transaction of unclassified information associated with construction projects. Controlled Unclassified Identification (CUI) documents may be loaded into eCMS with the appropriate markings. Uploading of files directly into the Documents folder is not allowed. All documents must be uploaded using an eCMS module.

1.6.2 Naming Convention for Files

Titles of files uploaded are to be descriptive of the purpose and content of the file. For example RFI_ROOF_Leak.doc or for submittals, SUB_LIGHT_FIXTURE.pdf. Titles of file to be uploaded must only contain uppercase letters, lowercase letters, numbers, hyphens (-), underscores (_), and periods (.). Use of any other characters is not allowed and may create an error. When practicable, adding the record number to the title is desired. For example RFI_XYZ12345_ROOF_Leak.doc. Uploading files with the same title will create a new revision in eCMS. Original revision is Rev 0, the first revision is Rev 1. Uploaded files are to use the default file location regardless of the module used unless directed by the COR.

Table 1 also identifies which eCMS application is to be used in the transmittal of data (these are subject to change based on the latest software configuration).

Table 1 - Project Documentation Types

SUBJECT/NAME	REMARKS	eCMS APPLICATION
As-Built Drawings	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Building Information Modeling (BIM)	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Construction Permits	Refer to rules of the issuing activity, state or jurisdiction	Submittals
Construction Schedules (Activities and Milestones)		Submittals
Construction Schedules		Submittals
Construction Schedules (3-Week Look ahead)	Import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline	Meeting Minutes
DD 1354 Transfer of Real Property	When applicable, required for final billing.	Submittals

SUBJECT/NAME	REMARKS	eCMS APPLICATION
Daily Production Reports	Provide weather conditions, crew size, man-hours, equipment, and materials information	Daily Report
Daily Quality Control (QC) Reports	Provide QC Phase, Definable Features of Work Identify visitors	Daily Report
Designs and Specifications	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Environmental Notice of Violation (NOV), Corrective Action Plan	Refer to rules of the issuing activity, state, or jurisdiction	Submittals
Environmental Protection Plan (EPP)		Submittals
Invoice (Supporting Documentation)	Applies to supporting documentation only. Invoices are submitted in Wide-Area Workflow (WAWF)	Submittals
Jobsite Documentation, Bulletin Board, Labor Laws, SDS	Redact any PII information when loaded into eCMS	Submittals
Meeting Minutes		Meeting Minutes
Modification Documents	Provide final modification documents for the project. Upload into Modifications RFPs folder	Communications
Operations & Maintenance Support Information (OMSI/eOMSI), Facility Data Worksheet	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Submittals
Photographs	Subject to base/installation restrictions	Submittals

SUBJECT/NAME	REMARKS	eCMS APPLICATION
QCM Initial Phase Checklists		Meeting Minutes or Checklists
QCM Preparatory Phase Checklists		Meeting Minutes or Checklists
Quality Control Plans		Submittals
QC Certifications		Submittals
QC Punch List		Punch Lists
Red-Zone Checklist		Punch List or Checklists
Rework Items List		Punch Lists
Request for Information (RFI) Post-Award		RFIs
Safety Plan		Submittals
Safety - Activity Hazard Analyses (AHA)		Submittals
Safety - Mishap Reports		Daily Report
Shop Drawings	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals
Storm Water Pollution Prevention (Notice of Intent - Notice of Termination)	Refer to rules of the issuing activity, state or jurisdiction	Submittals
Submittals and Submittal Register		Submittals
Testing Plans, Logs, and Reports		Submittals

SUBJECT/NAME	REMARKS	eCMS APPLICATION
Training/Reference Materials		Submittals
Training Records (Personnel)	Redact any PII information if storing in eCMS	Submittals
Utility Outage/Tie-In Request/Approval		Submittals
Warranties/BOD Letter		Submittals
Quality Assurance Reports		Checklists (Government initiated)
Non-Compliance Notices		Non-Compliance Notices (Government initiated)
Other Government-prepared documents		GOV ONLY
Letters to government contracting, claims, REAs, and other Contracting Officer communications	eCMS is not the primary tool to use in Contracting Officer communications. eCMS can only store documents or letters after the submission to the Contracting Officer is made.	Communications
All Othere Documents	Refer to FOIA guidelines and contact the FOIA official to determine whether exemptions exist	As applicable

1.6.3 RFIs Module

Create contractor RFIs using eCMS RFIs module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

If the government (GOV) response has "No" Cost or Schedule Impact, this reply is given with the expressed understanding that it does not constitute a basis for any change in the amount or time of subject contract. Information provided in this response does not authorize work not currently included in the contract. If GOV Response is "Yes" or "Potentially" then this response may require a change to the contract. If the contractor disagrees with the government's No Cost and/or No Schedule impact determination, the contractor has 14 calendar days to notify the COR and Contracting Officer in writing.

1.6.4 Submittals Module

Create contractor submittals using eCMS Submittals module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

1.6.5 Submittal Packages Module

Create submittal packages using the eCMS Submittal Packages module in lieu of or in addition to Related Objects. Submittal Packages track completion of the packaged submittals and is used in NAVFAC HQ's KPIs.

1.6.6 Communications Module

Create communications using the eCMS Communications module. The Communications module is used to create or document communications that are not a part of other eCMS modules. Use of Communications module will memorialize information into an eCMS record file. The following are Types of Communications:

Email
Memo to File
Face to Face
Telephone
Web Collaboration
Photos
Other Documents
Other

Unless directed by the COR, upload documents or files that do not have a corresponding eCMS module. Choose "Photos" Type for Photos and "Other Documents" for all other documents.

1.6.7 Issues Module

Create or respond to issues using the eCMS Issues module. Respond to CPARS issues using the Issues module.

1.6.8 Meeting Minutes Module

Create or respond to Meeting Minutes using the eCMS Meetings module.

Document required contractual meetings. Dates of meetings are used in NAVFAC KPIs. Minimum meetings in eCMS include the following:

Post Award Kickoff (PAK)
Pre-construction (Pre Con)
Initial and Preparatory Three Phases of Control
Quality Control (QC)

1.6.9 Potential Change Items Module

Not used.

1.6.10 Daily Report Module

Create Daily Reports using the eCMS Daily Report Module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

1.6.11 Punchlists Testing Logs (Legacy)

Punchlist Testing Logs is a legacy program that is being replaced by the Punch Lists Module. This module is to be used for reference of past projects. Use the Punch Lists Module for all future work.

1.6.12 Punch Lists Module

The eCMS Punch Lists module is useful more than just for Punchlists. The module includes the capability of batch editing, create items from Optical Character Recognition (OCR) plans, assign tasks, and track completion of individual items.

Create the following using the Punch Lists module:

Rework Items List
DFOW List
Punch-Out Inspection
Pre-Final Punchlist Inspection
Final Punchlist Inspection
Testing Logs

1.6.13 FWD UltraLean COAR RFP Module

Not Used.

1.6.14 Non-Compliance Notices (NCN) Module

Respond to Non-Compliance Notices listed in the Non-Compliance Notices module.

1.6.15 Checklists

Use Checklist listed in the contractor's eCMS menu and as directed by the COR. Checklists capture data and is used in dashboards and KPIs.

1.6.15.1 Partnering Team Health Survey Checklist

Contractor must use the eCMS checklist to document the partnering team health survey. Partnering Team Health Survey is in accordance with the Partnering Specification of this contract.

1.6.16 Flysheets

Use Flysheets listed in the contractor's eCMS menu, if available, and as directed by the COR. Flysheets allow the contractor to print out information from other systems and upload into eCMS. The eCMS will use OCR to capture the information as data. Flysheets capture data used in dashboards and KPIs.

1.6.17 eCMS Outage

In the case where eCMS is unavailable for 8 hours or more, paper or email may be used in the interim to maintain project schedule.

Once the system is operational, all final records are required to be recreated using the appropriate module. Subject/title of the record to include the type of record i.e., RFI/Submittal/Daily

Report/Communication/Other, the identification number(s), and the statement "Processed Outside of eCMS". Example, "RFI 001 Processed Outside of eCMS".

1.6.18 User Account Activity

NAVFAC eCMS captures user data and activities that are directly related to the user's account. The user agrees through the use of eCMS, their account activities will be captured and can be displayed on eCMS printed reports.

1.7 QUALITY ASSURANCE

Requested Government response dates on Submittals must be in accordance with the terms and conditions of the Contract unless previously agreed by the COR. Requesting response dates earlier than the required review and response time, without concurrence by the Government COR, may be cause for rejection.

Incomplete submittals will be rejected without further review and must be resubmitted. Required Government response dates for resubmittals must reflect the date of resubmittal, not the original submittal date.

All submittals and associated attachments must be transmitted to the Government via the COR. Transmittals are no longer required when using eCMS since approval status is tracked on the submittal. Transmittal forms can be attached to submittals if approved by the COR. Submittals requiring government approval are "Transmitted For" "Approval". Submittals for Information Only are "*Transmitted For" "Information Only" in the Submittal Module. Provide and sign the QC certification statement on the attachment per submittal specification section. When Submittal Packages are required, use eCMS Submittal Packages after creating individual submittals. Importing Submittals from the Submittal Register is optional. Contact the COR for the data conversion requirements.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES
08/18, CHG 1: 08/20

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Baseline Construction Schedule

SD-07 Certificates

Monthly Updates

1.2 PRE-CONSTRUCTION SCHEDULE REQUIREMENT

Prior to the start of work, prepare and submit to the Contracting Officer a Baseline Construction Schedule in the form of a Bar Chart Schedule in accordance with the terms in Contract Clause FAR 52.236-15 Schedules for Construction Contracts, except as modified in this contract. The approval of a Baseline Construction Schedule is a condition precedent to:

a. The Contractor starting demolition work or construction stage(s) of the contract.

b. Processing Contractor's invoice(s) for construction activities/items of work.

c. Review of any schedule updates.

Submittal of the Baseline Construction Schedule, and subsequent schedule updates, is understood to be the Contractor's certification that the submitted schedule meets the requirements of the Contract Documents, represents the Contractor's plan on how the work will be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 SCHEDULE FORMAT

1.3.1 Bar Chart Schedule

The Bar Chart must, as a minimum, show work activities, submittals, Government review periods, material/equipment delivery, utility outages, on-site construction, inspection, testing, and closeout activities. The Bar Chart must be time scaled and generated using an electronic spreadsheet program.

1.3.2 Schedule Submittals and Procedures

Submit Schedules and updates in hard copy and on electronic media that is acceptable to the Contracting Officer. Submit an electronic back-up of

the project schedule in an import format compatible with the Government's scheduling program.

1.4 SCHEDULE MONTHLY UPDATES

Update the Construction Schedule at monthly intervals or when the schedule has been revised. Keep the updated schedule current, reflecting actual activity progress and plan for completing the remaining work. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

a. Narrative Report: Identify and justify the following:

(1) Progress made in each area of the project;

(2) Longest Path: Include printed copy on 11 by 17 inch paper, landscape setting;

(3) Date/time constraint(s), other than those required by the contract;

(4) Listing of changes made between the previous schedule and current updated schedule including: added or removed activities, original and remaining durations for activities that have not started, logic (sequence, constraint, lag/lead), milestones, planned sequence of operations, longest path, calendars or calendar assignments, and cost loading.

(5) Any decrease in previously reported activity Earned Amount;

(6) Pending items and status thereof, including permits, change orders, and time extensions;

(7) Status of Contract Completion Date and interim milestones;

(8) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);

(9) Description of current and future schedule problem areas.

For each entry in the narrative report, cite the respective Activity ID and Activity Name, the date and reason for the change, and description of the change.

1.5 3-WEEK LOOK AHEAD SCHEDULE

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Construction Schedule. Key the work plans to activity numbers when a NAS is required and update each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Construction Schedule on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as directed by the Contracting Officer. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Deliver three hard copies and one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, and review during

the weekly CQC Coordination or Production Meeting.

1.6 CORRESPONDENCE AND TEST REPORTS:

Correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs) must reference Schedule Activities that are being addressed. Test reports (e.g., concrete, soil compaction, weld, pressure) must reference Schedule Activities that are being addressed.

1.7 ADDITIONAL SCHEDULING REQUIREMENTS

Any references to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to all requirements of this section.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

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SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18, CHG 4: 02/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or commencing with the start of work on site.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance
Surety Bonds
List Of Proposed Subcontractors
List Of Proposed Products
Baseline Construction Schedule
Submittal Register
Schedule Of Prices Or Earned Value Report
Accident Prevention Plan
Work Plan
Quality Control (QC) plan
Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions, and brochures illustrating size, physical appearance, and other characteristics of materials, systems, or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment, or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product, or system identical to the material, product, or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer, or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system, or material, including special notices and (SDS)concerning impedances, hazards, and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance, and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following

in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register

1.3 SUBMITTAL CLASSIFICATION

1.3.1 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

As soon as practicable after award of contract, and before procurement or fabrication, forward to the Architect-Engineer: MBF Architects, 317-C Pollock Street, New Bern, NC 28560 submittals required in the technical sections of this specification, including shop drawings, product data and samples. In addition, forward a copy of the submittals to the Contracting Officer at Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point (Construction Division), PSC Box 8006, Building 87, Cherry Point, North Carolina, 28533-0006.

Forward to the Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point (Construction Division), PSC Box 8006, Building 87, Cherry Point, North Carolina, 28533-0006, submittals required in the General Requirements sections of this specification.

1.4.1 O&M Data

Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the items to which such O&M data apply.

1.4.2 Submittals Reserved for NAVFAC Mid-Atlantic Approval

As an exception to the standard submittal procedure for Government Approval, submit the following to the Commander, NAVFAC Mid-Atlantic, 9742 Maryland Avenue, Building Z-140, Room 219, Norfolk, Virginia, 23511-3095:

b. Section 21 13 13 WET PIPE SPRINKLER SYSTEMS, FIRE PROTECTION: All fire protection system submittals

c. Section 28 31 70 INTERIOR FIRE ALARM SYSTEM, ADDRESSABLE: All fire alarm system submittals

d. Section 14 24 13 HYDRAULIC FREIGHT ELEVATORS: All elevator submittals

1.5 PREPARATION

1.5.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to the office of the approving authority using the transmittal form

prescribed by the Contracting Officer. Include all information prescribed by the transmittal form and required in paragraph IDENTIFYING SUBMITTALS. Use the submittal transmittal forms to record actions regarding samples.

1.5.2 Identifying Submittals

The Contractor's QC Manager must prepare, review and stamp submittals, including those provided by a subcontractor, before submittal to the Government.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

a. Project title and location

b. Construction contract number

c. Dates of the drawings and revisions

d. Name, address, and telephone number of Subcontractor, supplier, manufacturer, and any other Subcontractor associated with the submittal.

e. Section number of the specification by which submittal is required

f. Submittal description (SD) number of each component of submittal

g. For a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission

h. Product identification and location in project.

1.5.3 Submittal Format

1.5.3.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.3.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the

same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Submit an electronic copy of drawings in PDF format.

1.5.3.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than four inches on the right-hand side of each sheet for the Government disposition stamp.

1.5.3.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.5.3.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.5.3.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices,

options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.5.3.4 Format of SD-04 Samples

1.5.3.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.5.3.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.5.3.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular

manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.5.3.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.6 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.3.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.9 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.5.3.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do

not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.4 Source Drawings for Shop Drawings

1.5.4.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.4.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates, or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5.5 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned,

optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the DOD SAFE Web Application located at the following website: https://safe.apps.mil/.

1.6 QUANTITY OF SUBMITTALS

1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

1.6.2 Number of SD-04 Samples

a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.7 INFORMATION ONLY SUBMITTALS

Submittals not requiring approval by the Government must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided at the end of this section.

1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Column (f): Lists the approving authority for each submittal. Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.8.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.8.5 Action Codes

1.8.5.1 Government Review Action Codes

"A" - "Approved as submitted"
"AN" - "Approved as noted"
"RR" - "Disapproved as submitted"; "Completed"
"NR" - "Not Reviewed"
"RA" - "Receipt Acknowledged"

1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.9.2 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.9.3 Review Schedule Extension

In addition to the normal submittal review period, a period of 10 working days will be allowed for the Government to consider submittals with variations.

1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. .

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 20 working days after the date of submission.

d. Except as specified otherwise, allow a review period, beginning with receipt by the approving authority, that includes at least 20 working days for submittals where the Contracting Officer is the approving authority. The period of review for submittals with Contracting Officer approval begins when the Government receives the submittal from the QC organization.

e. For submittals requiring review by a Government fire protection engineer, allow a review period, beginning when the Government receives the submittal from the QC organization, of 30 working days for return of the submittal to the Contractor.

1.10.1 Reviewing, Certifying, and Approving Authority

The QC Manager is responsible for reviewing all submittals and certifying that they are in compliance with contract requirements. The approving authority on submittals is the Contracting Officer unless otherwise specified.

1.10.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of the work. At the same time, submit components of definable features that are interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, the submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of the assembly in which the item functions.

1.10.3 QC Organization Responsibilities

a. Review submittals for conformance with project design concepts and compliance with contract documents.

b. Process submittals based on the approving authority indicated.

(1) When the Contracting Officer is the approving authority or when variation has been proposed, forward the submittal to the Government, along with a certifying statement, or return the submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of the submittal determines the appropriate action.

c. Ensure that material is clearly legible.

d. Stamp each sheet of each submittal with a QC certifying statement, except that data submitted in a bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

(1) When the approving authority is the Contracting Officer, the QC organization will certify submittals forwarded to the Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with Contract Number (_____) is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Certified by QC Manager _____, Date _____"
(Signature)

e. Sign the certifying statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.

f. Update the submittal register as submittal actions occur, and maintain the submittal register at the project site until final acceptance of all work by the Contracting Officer.

g. Retain a copy of approved submittals and approved samples at the project site.

1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received from the QC manager.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. Three copies of the submittal will be retained by the Contracting Officer and four copies of the submittal will be returned to the Contractor.

1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and certified by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of

such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the QC requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION
Overhaul Elevator B4224

CONTRACTOR

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							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 11 00	SD-01 Preconstruction Submittals															
			Salvage Plan	1.7														
		01 14 00	SD-01 Preconstruction Submittals															
			List of Contact Personnel	1.3.1.1														
		01 20 00	SD-01 Preconstruction Submittals															
			Schedule of Prices	1.3														
		01 30 00	SD-01 Preconstruction Submittals															
			View Location Map	1.3														
			Progress and Completion	1.4														
			Pictures															
		01 31 23.13 20	SD-01 Preconstruction Submittals															
			List of Contractor's Personnel	1.4.2														
		01 32 16.00 20	SD-01 Preconstruction Submittals															
			Baseline Construction Schedule	1.2														
			SD-07 Certificates															
			Monthly Updates	1.4														
		01 33 00	SD-01 Preconstruction Submittals															
			Submittal Register	1.8														
		01 35 26	SD-01 Preconstruction Submittals															
			Accident Prevention Plan (APP)	1.8														
			APP - Construction	1.8.1														
			Indoor Air Quality (IAQ)	1.17														
			Management Plan															
			SD-06 Test Reports															
			Monthly Exposure Reports	1.4														
			Notifications and Reports	1.13														

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		01 35 26	Accident Reports	1.13.2														
			LHE Inspection Reports	1.13.3														
			SD-07 Certificates															
			Contractor Safety Self-Evaluation	1.5														
			Checklist															
			Crane Operators/Riggers	1.7.1.4														
			Standard Lift Plan	1.8.3.2														
			Critical Lift Plan	1.8.3.3														
			Activity Hazard Analysis (AHA)	1.9														
			Confined Space Entry Permit	1.10.1														
			Hot Work Permit	1.10.1														
			Certificate of Compliance	1.13.4														
			License Certificates	1.15														
			Radiography Operation Planning	1.15.1														
			Work Sheet															
			Portable Gauge Operations	1.15.1														
			Planning Worksheet															
		01 45 00	SD-01 Preconstruction Submittals															
			Contractor Quality Control (CQC)	1.5.2														
			Plan															
			SD-06 Test Reports															
			Verification Statement	1.12.3														
		01 50 00	SD-01 Preconstruction Submittals															
			Construction Site Plan	1.3														
			Traffic Control Plan	3.3.1														
			Haul Road Plan	2.2.1														

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		01 50 00	Contractor Computer	1.6.1.4														
			Cybersecurity Compliance															
			Statements															
			Contractor Temporary Network	1.6.6														
			Cybersecurity Compliance															
			Statements															
			SD-06 Test Reports															
			Backflow Preventer Tests	3.4														
			SD-07 Certificates															
			Backflow Tester	1.4.1														
			Backflow Preventers	1.4														
		01 57 19	SD-01 Preconstruction Submittals															
			Preconstruction Survey	1.6.1														
			Regulatory Notifications	1.6.2														
			Employee Training Records	1.6.4														
			Environmental Protection Plan	1.7														
			Dirt and Dust Control Plan	1.7.9.1														
			Solid Waste Management Permit	1.10														
			Spill Prevention Control And	3.11.2														
			Countermeasure (SPCC) Plan															
			SD-06 Test Reports															
			Monthly Solid Waste Disposal	1.10.1														
			Report															
			Laboratory Analysis	3.7.1.1.2														
			SD-07 Certificates															

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		01 57 19	ECATTS Certificate Of	1.4.1.2														
			Completion															
			Employee Training Records	1.6.4														
			SD-11 Closeout Submittals															
			Regulatory Notifications	1.6.2														
			Assembled Employee Training	1.6.4														
			Records															
			Solid Waste Management Permit	1.10														
			Waste Determination	3.7.1														
			Documentation															
			Project Solid Waste Disposal	3.7.2.1														
			Documentation Report															
			Sales Documentation	3.7.2.1														
			Hazardous Waste/Debris	3.7.3.1														
			Management															
			Disposal Documentation for	3.7.3.6														
			Hazardous and Regulated Waste															
			Contractor Hazardous Material	3.8.1														
			Inventory Log															
		01 74 19	SD-01 Preconstruction Submittals															
			Construction Waste Management	1.7														
			Plan															
			SD-11 Closeout Submittals															
			Final Construction Waste	1.9														
			Diversion Report															
		01 78 00	SD-03 Product Data															

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		01 78 00	Warranty Management Plan	1.5.1														
			Warranty Tags	1.5.4														
			Final Cleaning	3.3														
			Spare Parts Data	1.4														
			SD-08 Manufacturer’s Instructions															
			Instructions	1.5.1														
			SD-10 Operation and Maintenance															
			Data															
			Operation and Maintenance	3.2														
			Manuals															
			SD-11 Closeout Submittals															
			As-Built Drawings	3.1														
			As-Built Record of Equipment	1.5.1														
			and Materials															
		01 78 23	SD-10 Operation and Maintenance															
			Data															
			Facility Data Workbook	1.4														
			Training Plan	3.1.1														
			Training Outline	3.1.3														
			Training Content	3.1.2														
			Operation And Maintenance	3.2.1														
			Manual, Progress Submittal															
			Operation And Maintenance	3.2.2														
			Manual, Prefinal Submittal															
			Operation And Maintenance	3.2.3														
			Manual, Final Submittal															

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		01 78 23	SD-11 Closeout Submittals															
			Training Video Recording	3.1.4														
			Validation of Training Completion	3.1.6														
			Training Plan	3.1.1														
		01 91 00.15	SD-06 Test Reports															
			Completed Construction	3.5														
			Observation Checklists															
			Final Construction Phase	3.4														
			Commissioning Plan															
			SD-07 Certificates															
			Commissioning Firm	1.10														
			SD-11 Closeout Submittals															
			Final Commissioning Report	3.8														
		02 41 00	SD-01 Preconstruction Submittals															
			Deconstruction Plan	1.2.2														
			Existing Conditions	1.8														
			SD-07 Certificates															
			Notification	1.6														
		02 83 00	SD-01 Preconstruction Submittals															
			Competent Person	1.5.1.1														
			Training Certification	1.5.1.2														
			Occupational and Environmental	1.5.2.3														
			Assessment Data Report															
			Medical Examinations	1.5.2.4														
			Lead Waste Management Plan	1.5.2.8														

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		02 83 00	Licenses, Permits and	1.5.4														
			Notifications															
			Occupant Protection Plan	1.5.5														
			Lead Compliance Plan	1.5.2.2														
			Lead Compliance Plan	3.1.1.6														
			Written Evidence of TSD	3.5.2.1														
			Approval															
			SD-03 Product Data															
			Respirators	1.6.1														
			Vacuum Filters	1.6.4														
			Negative Air Pressure System	1.6.7														
			Materials and Equipment	2.1														
			Expendable Supplies	2.1.1														
			Local Exhaust Equipment	3.1.1.5														
			Pressure Differential Automatic	3.1.1.5														
			Recording Instrument															
			Pressure Differential Log	3.1.1.6														
			SD-06 Test Reports															
			Occupational and Environmental	1.5.2.3														
			Assessment Data Report															
			Sampling Results	1.5.2.3														
			Pressure Differential Recordings	1.5.3														
			For Local Exhaust System															
			SD-07 Certificates															
			Testing Laboratory	1.5.1.3														
			Occupant Notification	3.1.1.1														

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		02 83 00	Notification of the	3.1.1.1														
			Commencement of Lead															
			containing Paint Hazard															
			Abatement															
			Clearance Certification	3.5.1.1														
			SD-11 Closeout Submittals															
			Hazardous Waste Manifest	3.5.2.1														
		03 30 00	SD-01 Preconstruction Submittals															
			Concrete Curing Plan	1.6.3.1														
			Quality Control Plan	1.6.5														
			Quality Control Personnel	1.6.6														
			Certifications															
			Quality Control Organizational	1.6.6														
			Chart															
			Laboratory Accreditation	1.6.8														
			Maturity Method Data	3.3.9														
			SD-02 Shop Drawings															
			Reinforcing Steel	1.6.2.1														
			SD-03 Product Data															
			Joint Sealants	2.4.5														
			Joint Filler	2.4.4														
			Formwork Materials	2.1														
			Recycled Aggregate Materials	2.3.3.3														
			Cementitious Materials	2.3.1														
			Vapor Retarder	2.4.6														
			Concrete Curing Materials	2.4.1														

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		03 30 00	Reinforcement	2.6														
			Liquid Chemical Floor Hardeners	2.4.3.1														
			and Sealers															
			Admixtures	2.3.4														
			Mechanical Reinforcing Bar	2.6.2														
			Connectors															
			Waterstops	2.2.2														
			Biodegradable Form Release	2.2.3														
			Agent															
			Pumping Concrete	1.6.3.2														
			Nonshrink Grout	2.4.2														
			SD-05 Design Data															
			Concrete Mix Design	1.6.1.1														
			SD-06 Test Reports															
			Concrete Mix Design	1.6.1.1														
			Fly Ash	1.6.4.1														
			Pozzolan	1.6.4.1														
			Slag Cement	1.6.4.2														
			Aggregates	1.6.4.3														
			Tolerance Report	3.10.2.1														
			Compressive Strength Tests	3.14.3.3														
			Unit Weight of Structural	3.14.3.5														
			Concrete															
			Air Content	3.14.3.4														
			Slump Tests	3.14.3.1														
			Water	2.3.2														

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		03 30 00	SD-07 Certificates															
			Reinforcing Bars	2.6.1														
			Welder Qualifications	1.8														
			VOC Content for Form Release	1.6.3.3														
			Agents, Curing Compounds, and															
			Concrete Penetrating Sealers															
			Safety Data Sheets	1.6.3.4														
			Field Testing Technician and	1.6.6.2														
			Testing Agency															
			SD-08 Manufacturer's Instructions															
			Joint Sealants	2.4.5														
			Curing Compound	2.4.1														
		04 20 00	SD-02 Shop Drawings															
			Detail Drawings	3.4.1.1														
			Masonry Wall Control Joint	3.3.1.4														
			Layout															
			SD-03 Product Data															
			Hot Weather Procedures	1.4.1														
			Cold Weather Procedures	1.4.2														
			Cementitious Materials	2.4.1.1														
			SD-04 Samples															
			Concrete Masonry Units (CMU)	2.2.2.2														
			Anchors, Ties, and Bar	2.6.2														
			Positioners															
			Joint Reinforcement	2.6.3														
			SD-05 Design Data															

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		04 20 00	Fire-Rated Concrete Masonry	2.2.2.3														
			Units															
			SD-06 Test Reports															
			Fire-Rated Concrete Masonry	2.2.2.3														
			Units															
			Field Testing of Grout	3.6.1.1														
			SD-07 Certificates															
			Concrete Masonry Units (CMU)	2.2.2.2														
			Cementitious Materials	2.4.1.1														
			Admixtures for Grout	2.4.2.2														
			Anchors, Ties, and Bar	2.6.2														
			Positioners															
			Joint Reinforcement	2.6.3														
			SD-08 Manufacturer's Instructions															
			Admixtures for Grout	2.4.2.2														
			SD-11 Closeout Submittals															
			Recycled Content	2.2.2.2.1														
		05 51 33	SD-02 Shop Drawings															
			Ladders	2.3														
			SD-03 Product Data															
			Ladders	2.3														
			SD-07 Certificates															
			Fabricator Certification for Ladder	1.3														
			Assembly															
		07 10 40	SD-02 Shop Drawings															

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		07 10 40	CRYSTALLINE	2.2														
			WATERPROOFING systems															
			SD-03 Product Data															
			CRYSTALLINE	2.2														
			WATERPROOFING systems															
		07 22 00	SD-02 Shop Drawings															
			Insulation Board Layout	1.3														
			SD-03 Product Data															
			Insulation	2.1														
			Fasteners	2.2														
			Recycled Content For Insulation	2.1.2														
			SD-07 Certificates															
			Installer Qualifications	1.6														
			Indoor Air Quality For Insulation	2.1.3														
			SD-08 Manufacturer's Instructions															
			Fasteners	2.2														
			Insulation	2.1														
		07 52 00	SD-02 Shop Drawings															
			Roof Plan	1.4.6														
			Field Inspection and Existing	1.4.6														
			Conditions Report															
			SD-03 Product Data															
			Modified Bitumen Sheets	2.2														
			Asphalt	2.5														
			Cold-Applied Membrane	2.6														
			Adhesive															

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		07 52 00	Primer	2.8														
			Modified Bitumen Roof Cement	2.9														
			Pre-Manufactured Accessories	2.12														
			Fasteners And Plates	2.11														
			Warranty	1.8														
			SD-05 Design Data															
			Wind Uplift Calculations	1.4.5														
			SD-07 Certificates															
			Qualification of Manufacturer	1.4.1														
			Qualification of Applicator	1.4.2														
			Qualification of Engineer of	1.4.3														
			Record															
			Wind Uplift Resistance	1.4.5														
			Fire Resistance	1.4.4														
			SD-08 Manufacturer's Instructions															
			Modified Bitumen Membrane	3.3.3														
			Application															
			Flashing	3.3.4														
			Cold Adhesive Applied Modified	3.3.2.1														
			Bitumen Membrane															
			Primer	2.8														
			Fasteners	2.11.1														
			Cold Weather Installation	1.6														
			SD-11 Closeout Submittals															
			Warranty	1.8														
			Information Card	3.7														

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		07 52 00	Instructions To Government	3.6														
			Personnel															
		07 60 00	SD-02 Shop Drawings															
			Exposed Sheet Metal	2.2.1														
			Recycled Content	2.1														
			SD-04 Samples															
			Finish Samples	1.4.2														
			SD-08 Manufacturer's Instructions															
			Instructions for Installation	1.4.3														
			Quality Control Plan	3.5														
			SD-10 Operation and Maintenance															
			Data															
			Cleaning and Maintenance	1.4.3														
		07 84 00.00 22	SD-02 Shop Drawings															
			Firestopping System	2.1														
			SD-03 Product Data															
			Firestopping Materials	2.2														
			Firestopping Materials	3.1														
			SD-06 Test Reports															
			Inspection Forms	3.3.1														
			Inspection Report	3.3.2														
			SD-07 Certificates															
			Firestopping Inspector	1.5.2														
			Qualifications															
			Firestopping Materials	2.2														
			Firestopping Materials	3.1														

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		07 84 00.00 22	Firestopping Installer	1.5.1														
			Qualifications															
		07 92 00	SD-03 Product Data															
			Sealants	2.1														
			Primers	2.2														
			Bond Breakers	2.3														
			Backstops	2.4														
			Field Adhesion	3.1														
			SD-07 Certificates															
			Indoor Air Quality For Interior	2.1.1														
			Sealants															
			Indoor Air Quality For Interior	2.1.3														
			Floor Joint Sealants															
		08 11 13	SD-02 Shop Drawings															
			Doors	2.1														
			Doors	2.1														
			Frames	2.4														
			Frames	2.4														
			Accessories	2.2														
			SD-03 Product Data															
			Doors	2.1														
			Recycled Content for Steel Door	2.1														
			Product															
			Frames	2.4														
			Recycled Content for Steel Frame	2.4														
			Product															

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		08 11 13	Accessories	2.2														
		08 71 00	SD-02 Shop Drawings															
			Manufacturer's Detail Drawings	1.3														
			Verification of Existing Conditions	1.3														
			Hardware Schedule	1.5														
			Keying System	2.3.4														
			SD-03 Product Data															
			Hardware Items	2.3														
			SD-08 Manufacturer’s Instructions															
			Installation	3.1														
			SD-10 Operation and Maintenance															
			Data															
			Hardware Schedule	1.5														
			SD-11 Closeout Submittals															
			Key Bitting	1.6.1														
		09 90 00	SD-02 Shop Drawings															
			Piping Identification	3.10														
			SD-03 Product Data															
			Coating	2.1														
			Product Data Sheets	2.1														
			SD-04 Samples															
			Color	2.2														
			SD-07 Certificates															
			Indoor Air Quality for Paints and	1.6.4														
			Primers															
			SD-08 Manufacturer’s Instructions															

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		09 90 00	Application Instructions	3.2.1														
			Mixing	2.1														
			Manufacturer's Safety Data	1.8.1														
			Sheets															
			SD-10 Operation and Maintenance															
			Data															
			Coatings	2.1														
		14 24 13	SD-02 Shop Drawings															
			Elevator	2.1														
			Elevator Components	1.2.1														
			Elevator Components	1.2.2														
			Elevator Machine	1.2.1														
			Elevator Controller	1.2.1														
			Wiring Diagrams	1.3.4														
			SD-03 Product Data															
			Elevator	2.1														
			Elevator Components	1.2.1														
			Elevator Components	1.2.2														
			Data Sheets	1.2.2														
			Elevator Microprocessor	2.5.2														
			Controller															
			SD-05 Design Data															
			Emergency Power Systems	1.2.3.3														
			Heat Loads	1.2.3.2														
			Reaction Loads	1.2.3.1														
			SD-07 Certificates															

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		14 24 13	price lists	1.3.2														
			Warranty	1.4														
			Endorsement Letter	1.3.1.1														
			Welders' Qualifications	1.2.4														
			Elevator Controller Certification	2.5.2.3														
			SD-10 Operation and Maintenance															
			Data															
			Elevator	2.1														
			Maintenance Control Program	1.2.5														
			(MCP)															
			Software and Documentation	2.5.2.2														
		21 13 13	SD-01 Preconstruction Submittals															
			Qualified Fire Protection Engineer	1.2.2														
			(QFPE)															
			Sprinkler System Designer	1.4.2.1														
			Sprinkler System Installer	1.4.2.2														
			SD-02 Shop Drawings															
			Shop Drawing	1.4.1.1														
			SD-03 Product Data															
			Pipe	2.2.1.1														
			Fittings	2.2.1.2														
			Valves	2.2.4														
			Sprinklers	2.4														
			Pipe Hangers and Supports	2.2.3														
			Sprinkler Alarm Switch	2.3.1														

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		21 13 13	Valve Supervisory (Tamper)	2.3.2														
			Switch															
			SD-06 Test Reports															
			Test Procedures	3.6.1														
			SD-07 Certificates															
			Verification of Compliant	3.6.2.1														
			Installation															
			Request for Government Final	3.6.2.2														
			Test															
			SD-11 Closeout Submittals															
			As-built drawings	3.8														
		22 00 00	SD-03 Product Data															
			Pumps	2.4														
			Vibration-Absorbing Features	3.2														
		23 05 93	SD-01 Preconstruction Submittals															
			Records of Existing Conditions	1.3.3														
			Independent TAB Agency and	1.5.1														
			Personnel Qualifications															
			TAB Design Review Report	1.5.4.1														
			SD-02 Shop Drawings															
			TAB Schematic Drawings and	1.3.3														
			Report Forms															
			SD-03 Product Data															
			Equipment and Performance	1.3														
			Data															
			TAB Related HVAC Submittals	1.5.1.3														

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		23 05 93	SD-06 Test Reports															
			Prerequisite HVAC Work	1.5.4.2														
			Checkout List															
			Prerequisite HVAC Work	1.5.4.2														
			Checkout List															
			Prerequisite HVAC Work	1.5.4.2														
			Checkout List															
			Proportional Balancing	3.6														
			Season 1	3.6														
			Season 2	3.6														
			SD-07 Certificates															
			Independent TAB Agency and	1.5.1														
			Personnel Qualifications															
			DALT and TAB Submittal and	1.5.4.1														
			Work Schedule															
			TAB Pre-Field Engineering	1.5.4.2														
			Report															
			Instrument Calibration	1.5.6														
			Certificates															
			DALT and TAB Procedures	3.6														
			Summary															
			Completed Pre-Final DALT Work	3.6														
			Checklist															
			Proportional Balancing	3.6														
			Season 1	3.6														
			Season 2	3.6														

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		23 07 00	SD-02 Shop Drawings															
			MICA Plates	3.2.2.4														
			Pipe Insulation Systems	2.3														
			Pipe Insulation Systems	3.2														
			Equipment Insulation Systems	3.3														
			Recycled content for insulation	2.3.1														
			materials															
			SD-03 Product Data															
			Pipe Insulation Systems	2.3														
			Pipe Insulation Systems	3.2														
			Equipment Insulation Systems	3.3														
			SD-04 Samples															
			Thermal Insulation	2.2.1.3														
			Display Samples	3.1.1														
			SD-07 Certificates															
			Indoor air quality for adhesives	2.2.1														
			SD-08 Manufacturer's Instructions															
			Pipe Insulation Systems	2.3														
			Pipe Insulation Systems	3.2														
			Equipment Insulation Systems	3.3														
		23 09 00	SD-02 Shop Drawings															
			DDC Contractor Design Drawings	3.2														
			Draft As-Built Drawings	3.2														
			Final As-Built Drawings	3.2														
			SD-03 Product Data															
			Programming Software	1.8.3														

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		23 09 00	Controller Application Programs	1.8.4														
			Configuration Software	1.8.1														
			Controller Configuration Settings	1.8.2														
			Proprietary Multi-Split	1.1.1.3														
			Engineering Tool Software															
			Manufacturer's Product Data	2.2														
			Niagara Framework Supervisory	1.8.5														
			Gateway Backups															
			SD-06 Test Reports															
			Pre-Construction Quality Control	1.9.1														
			(QC) Checklist															
			Post-Construction Quality Control	1.9.2														
			(QC) Checklist															
			Start-Up Testing Report	3.4.2														
			PVT Procedures	3.5.1														
			PVT Report	3.5.3														
			Control Contractor’s Performance	3.6.5														
			Verification Testing Plan															
			Equipment Supplier’s	3.6.3.1														
			Performance Verification Testing															
			Plan															
			Endurance Testing Results	3.6.8.3														
			Performance Verification Test	3.6.9														
			Report															
			SD-10 Operation and Maintenance															
			Data															

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		23 09 00	Operation and Maintenance	3.7														
			(O&M) Instructions															
			Training Documentation	3.9.1														
			SD-11 Closeout Submittals															
			Enclosure Keys	2.5														
			Password Summary Report	3.1.6.1														
			Closeout Quality Control (QC)	1.9.3														
			Checklist															
		23 81 00	SD-03 Product Data															
			Spare Parts	3.7.1														
			Posted Instructions	3.4														
			Coil Corrosion Protection	2.5.1														
			System Performance Tests	3.6														
			Training	3.4														
			Inventory	1.5														
			Environmental Data	2.2.2.5														
			Supplied Products	2.1														
			Manufacturer's Standard Catalog	2.2														
			Data															
			SD-06 Test Reports															
			Refrigerant Tests, Charging, and	3.5														
			Start-Up															
			System Performance Tests	3.6														
			SD-07 Certificates															
			Service Organizations	3.7.2														

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		23 81 00	SD-10 Operation and Maintenance															
			Data															
			Operation and Maintenance	3.4														
			Manuals															
			SD-11 Closeout Submittals															
			Ozone Depleting Substances	2.2.2.3														
		25 05 11	SD-01 Preconstruction Submittals															
			Wireless and Wired Broadcast	3.2.3.3														
			Communication Request															
			Device Account Lock Exception	3.3.2														
			Request															
			Multiple Ethernet Connection	3.2.5.2														
			Device Request															
			Contractor Computer	1.9.1.6														
			Cybersecurity Compliance															
			Statements															
			Contractor Temporary Network	1.9.6														
			Cybersecurity Compliance															
			Statements															
			Cybersecurity Interconnection	1.7.2														
			Schedule															
			Protection of Information At Rest	3.7.4														
			Proposal															
			Proposed STIG and SRG	1.7.1														
			Applicability Report															
			SD-02 Shop Drawings															

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		25 05 11	Network Communication Report	1.7.3														
			Cybersecurity Riser Diagram	1.7.6														
			SD-03 Product Data															
			Control System Cybersecurity	1.7.8														
			Documentation															
			SD-06 Test Reports															
			Wireless Communication Test	3.2.3.4														
			Report															
			Control System Cybersecurity	3.14.1														
			Testing Procedures															
			Control System Cybersecurity	3.14.3														
			Testing Report															
			SD-07 Certificates															
			Software Licenses	1.8														
			SD-11 Closeout Submittals															
			Password Change Summary	3.4.3.5														
			Report															
			Enclosure Keys	3.3.7														
			Software and Configuration	1.7.5														
			Backups															
			Auditing Front End Software	3.5.3														
			Device Audit Record Upload	3.5.4.2														
			Software															
			System Maintenance Tool	3.9														
			Software															
			Control System Scanning Tools	3.11.2														

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		25 05 11	STIG, SRG and Vendor Guide	1.7.7														
			Compliance Result Report															
			Control System Inventory Report	1.7.4														
			Integrity Verification Software	3.13.2														
		26 20 00	SD-02 Shop Drawings															
			Panelboards	2.11														
			Transformers	2.12														
			SD-03 Product Data															
			Receptacles	2.10														
			Circuit Breakers	2.11.3														
			Switches	2.8														
			Transformers	2.12														
			SD-06 Test Reports															
			600-volt Wiring Test	3.4.2														
			Grounding System Test	3.4.5														
			Transformer Tests	3.4.3														
			Ground-fault Receptacle Test	3.4.4														
			SD-07 Certificates															
			Fuses	2.9														
			SD-09 Manufacturer’s Field															
			Reports															
			Transformer Factory Tests	2.19.1														
			SD-10 Operation and Maintenance															
			Data															
			Electrical Systems	1.6.1														
		26 51 00	SD-02 Shop Drawings															

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		26 51 00	Luminaire Drawings	1.5.1														
			SD-03 Product Data															
			Luminaires	2.2														
			Light Sources	2.3														
			LED Drivers	2.4														
			Luminaire Warranty	1.6.1														
			Switches	2.5.1.1														
			SD-05 Design Data															
			Luminaire Design Data	1.5.2														
			SD-06 Test Reports															
			ANSI/IES LM-79 Test Report	1.5.3														
			ANSI/IES LM-80 Test Report	1.5.4														
			ANSI/IES TM-21 Test Report	1.5.5														
			ANSI/IES TM-30 Test Report	1.5.6														
			SD-10 Operation and Maintenance															
			Data															
			Lighting System	1.7.1														
		27 10 00	SD-03 Product Data															
			Telecommunications Cabling	2.3														
			Telecommunications	2.4														
			Outlet/Connector Assemblies															
			SD-06 Test Reports															
			Telecommunications Cabling	3.4.1														
			Testing															
			SD-07 Certificates															
			Telecommunications Contractor	1.6.1.1														

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		27 10 00	Key Personnel	1.6.1.2														
			Manufacturer Qualifications	1.6.1.3														
			Test Plan	1.6.2														
			SD-10 Operation and Maintenance															
			Data															
			Telecommunications Cabling and	1.10.1														
			Pathway System															
			SD-11 Closeout Submittals															
			Record Documentation	1.10.2														
		28 31 70	SD-01 Preconstruction Submittals															
			Qualified Fire Protection Engineer	1.3.2														
			(QFPE)															
			Fire alarm system designer	1.8.2.1														
			Supervisor	1.8.2.2														
			Technician	1.8.2.3														
			Installer	1.8.2.4														
			Test Technician	1.8.2.5														
			SD-02 Shop Drawings															
			Wiring Diagrams	1.8.1.3														
			System Layout	1.8.1.4														
			SD-03 Product Data															
			Smoke Detectors	2.3														
			Addressable Interface Devices	2.4														
			Addressable Control Modules	2.5														
			Alarm Wiring	2.6.1														
			Back Boxes and Conduit	3.3.4														

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		28 31 70	Terminal Cabinets	3.3.2														
			Environmental Enclosures or	2.8														
			Guards															
			SD-06 Test Reports															
			Test Procedures	3.7.1														
			SD-07 Certificates															
			Verification of Compliant	3.7.2.1														
			Installation															
			Request for Government Final	3.7.2.2														
			Test															
			SD-11 Closeout Submittals															
			As-Built Drawings	1.8.1.6														

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SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
11/20, CHG 4: 08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2	(2012) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B30.5	(2021) Mobile and Locomotive Cranes
ASME B30.9	(2018) Slings
ASME B30.20	(2018) Below-the-Hook Lifting Devices
ASME B30.22	(2016) Articulating Boom Cranes
ASME B30.26	(2015; R 2020) Rigging Hardware

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSP Z244.1	(2016) The Control of Hazardous Energy Lockout, Tagout and Alternative Methods
ASSP Z359.0	(2018) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSP Z359.1	(2020) The Fall Protection Code
ASSP Z359.2	(2017) Minimum Requirements for a Comprehensive Managed Fall Protection Program
ASSP Z359.3	(2019) Safety Requirements for Lanyards and Positioning Lanyards
ASSP Z359.4	(2013) Safety Requirements for Assisted-Rescue and Self-Rescue Systems,

	Subsystems and Components
ASSP Z359.6	(2016) Specifications and Design Requirements for Active Fall Protection Systems
ASSP Z359.7	(2019) Qualification and Verification Testing of Fall Protection Products
ASSP Z359.11	(2014) Safety Requirements for Full Body Harnesses
ASSP Z359.12	(2019) Connecting Components for Personal Fall Arrest Systems
ASSP Z359.13	(2013) Personal Energy Absorbers and Energy Absorbing Lanyards
ASSP Z359.14	(2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
ASSP Z359.15	(2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
ASSP Z359.16	(2016) Safety Requirements for Climbing Ladder Fall Arrest Systems
ASSP Z359.18	(2017) Safety Requirements for Anchorage Connectors for Active Fall Protection Systems

ASTM INTERNATIONAL (ASTM)

ASTM D6245	(2012) Using Indoor Carbon Dioxide Concentrations to Evaluate Indoor Air Quality and Ventilation
ASTM D6345	(2010) Standard Guide for Selection of Methods for Active, Integrative Sampling of Volatile Organic Compounds in Air
ASTM F855	(2019) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines
IEEE C2	(2023) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA Z535.2	(2011; R 2017) Environmental and Facility Safety Signs

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10	(2022; ERTA 1 2021) Standard for Portable Fire Extinguishers
NFPA 51B	(2024) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 008	(2007) IAQ Guidelines for Occupied Buildings Under Construction, 2nd Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.333	Selection and Use of Work Practices
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1915.89	Control of Hazardous Energy (Lockout/Tags-Plus)
29 CFR 1926	Safety and Health Regulations for Construction
29 CFR 1926.16	Rules of Construction
29 CFR 1926.450	Scaffolds
29 CFR 1926.500	Fall Protection

29 CFR 1926.1400	Cranes and Derricks in Construction
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge, and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA’s Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation, and monitoring of the confined space program, who through training, knowledge, and experience in confined space entry is capable of identifying, evaluating, and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person requirements, who has been designated in writing to be responsible for the immediate supervision, implementation, and monitoring of the Crane and Rigging Program, who through training, knowledge, and experience in crane and rigging is capable of identifying, evaluating, and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.4 Competent Person, Excavation/Trenching

A CP, Excavation/Trenching, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and 29 CFR 1926, who has been designated in writing to be responsible for the immediate supervision, implementation, and monitoring of the excavation/trenching program, who through training, knowledge, and experience in excavation/trenching is capable of identifying, evaluating, and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.5 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSP Z359.0, who has been designated in writing by the employer to be

responsible for immediate supervising, implementing, and monitoring of the fall protection program, who through training, knowledge, and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating, and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.6 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing, and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge, and experience in scaffolding to correctly identify, evaluate, and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented including experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

1.2.7 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the training material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment, and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

1.2.8 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

1.2.9 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

1.2.10 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists, and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists, and power operated equipment used with rigging to raise, lower, or horizontally move a load).

1.2.11 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a

physician. Medical treatment does not include first aid treatment even when provided by a physician or registered personnel.

1.2.12 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

1.2.13 Operating Envelope

The Operating Envelope is the area surrounding any crane or LHE. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, and the lift and rigging procedure.

1.2.14 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.2.15 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the definition requirements of EM 385-1-1 Appendix Q, and ASSP Z359.2 standard, having a recognized degree or professional certificate and with extensive knowledge, training, and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

1.2.16 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

a. Death, regardless of the time between the injury and death, or the length of the illness;

b. Days away from work (any time lost after day of injury/illness onset);

c. Restricted work;

d. Transfer to another job;

e. Medical treatment beyond first aid;

f. Loss of consciousness; or

g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above

1.2.17 Government Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

1.2.18 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload, and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Document an LHE mishap or accident using the NAVFAC prescribed Navy Crane Center (NCC) accident form.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP)
APP - Construction
Indoor Air Quality (IAQ) Management Plan

SD-06 Test Reports

Monthly Exposure Reports
Notifications and Reports
Accident Reports
LHE Inspection Reports

SD-07 Certificates

Contractor Safety Self-Evaluation Checklist
Crane Operators/Riggers
Standard Lift Plan
Critical Lift Plan
Activity Hazard Analysis (AHA)
Confined Space Entry Permit
Hot Work Permit
Certificate of Compliance
License Certificates
Radiography Operation Planning Work Sheet
Portable Gauge Operations Planning Worksheet

1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing

request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

1.5 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

The Contracting Officer will provide a "Contractor Safety Self-Evaluation Checklist" to the Contractor at the pre-construction meeting. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher. The Contractor Safety Self-Evaluation Checklist can be found on the Whole Building Design Guide website at www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-35-26

1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and all applicable federal, state, and local laws, ordinances, criteria, rules, and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6.1 Subcontractor Safety Requirements

For this Contract, neither Contractor nor any subcontractor may enter into Contract with any subcontractor that fails to meet the following requirements. The term subcontractor in this and the following paragraphs means any entity holding a Contract with the Contractor or with a subcontractor at any tier.

1.6.1.1 Experience Modification Rate (EMR)

Subcontractors on this Contract must have an effective EMR less than or equal to 1.10, as computed by the National Council on Compensation Insurance (NCCI) or if not available, as computed by the state agency's rating bureau in the state where the subcontractor is registered, when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable EMR range cannot be achieved. Relaxation of the EMR range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain the certified EMR ratings for all subcontractors on the project and make them available to the Government at the Government's request.

1.6.1.2 OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate

Subcontractors on this Contract must have a DART rate, calculated from the most recent, complete calendar year, less than or equal to 3.4 when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The OSHA Dart Rate is calculated using the following formula:

(N/EH) x 200,000

where:

N = number of injuries and illnesses with days away, restricted work, or job transfer

EH = total hours worked by all employees during most recent, complete calendar year

200,000 = base for 100 full-time equivalent workers (working 40 hours per week, 50 weeks per year)

The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable OSHA Dart rate range cannot be achieved for a particular subcontractor. Relaxation of the OSHA DART rate range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's SSHO must collect and maintain self-certified OSHA DART rates for all subcontractors on the project and make them available to the Government at the Government's request.

1.7 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.7.1 Personnel Qualifications

1.7.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one person at each project site to function as the SSHO. The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to implement and administer the Contractor's safety program and Government-accepted Accident Prevention Plan (APP). The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

1.7.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may also serve as the Quality Control (QC) Manager. The SSHO may also serve as the Superintendent.

1.7.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and

herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted APP must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the Contracting Officer for information in consultation with the Safety Office.

1.7.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

1.7.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

1.7.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

1.7.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics; and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

a. Prepare class presentations that cover construction-related safety requirements.

b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.

c. Update training course materials whenever an update of the EM 385-1-1 becomes available.

d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.

e. Request, review, and incorporate student feedback into a continuous course improvement program.

1.7.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators qualified by a source that qualifies crane operators (i.e., union, a Government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification.

1.7.2 Personnel Duties

1.7.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, and estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.

b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.

c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.

d. Maintain applicable safety reference material on the job site.

e. Attend the pre-construction meeting, pre-work meetings including preparatory meetings, and periodic in-progress meetings.

f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement, and enforce them.

g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.

h. Ensure subcontractor compliance with safety and health requirements.

i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).

j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.

k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above or any other required duties are not being effectively carried out. If

either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.7.3 Meetings

1.7.3.1 Preconstruction Meeting

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction meeting. This includes the project superintendent, SSHO, QC manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures, and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.7.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, or foremen must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.8 ACCIDENT PREVENTION PLAN (APP)

1.8.1 APP - Construction

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the

selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor QC Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer within 30 calendar days of Contract award and not less than 10 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO, and QC Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

1.8.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience, and certifications) of site safety and health personnel designated to perform work on this project to include the designated SSHO and other competent and qualified personnel to be used. Specify the duties of each position.

b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use, and maintenance.

1.8.3 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

1.8.3.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state, and local regulatory requirements identified in this Contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by Contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

1.8.3.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of three months.

1.8.3.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. In addition, Critical Lift Plans are required for the following:

a. Lifts over 50 percent of the capacity of barge mounted mobile crane's hoist.

b. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.

c. For lifts with anticipated binding conditions.

d. When erecting cranes.

1.8.3.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

1.8.3.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

1.8.3.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

1.8.3.5 Fall Protection and Prevention (FP&P) Plan

The plan must be in accordance with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include FP&P systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the FP&P Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems, or work habits. Keep and maintain the accepted FP&P Plan documentation at the job site for the duration of the project. Include the FP&P Plan documentation in the APP.

1.8.3.6 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

1.8.3.7 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSP Z244.1, and ASSP A10.44. Submit this HECP as part of the APP. Conduct a preparatory meeting and inspection with all affected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1, Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

1.8.3.8 Lead, Cadmium, and Chromium Compliance Plan

Identify the safety and health aspects of work involving lead, cadmium, and chromium, and prepare in accordance with Section 02 83 00 LEAD REMEDIATION.

1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task, or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous

project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity, task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel, and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees, whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.10 DISPLAY OF SAFETY INFORMATION

1.10.1 Safety Bulletin Board

Prior to commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

a. Confined space entry permit.
b. Hot work permit.

1.10.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and

updated daily, and provide the following information:

a. Date deficiency identified;
b. Description of deficiency;
c. Name of person responsible for correcting deficiency;
d. Projected resolution date;
e. Date actually resolved.

1.11 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.12 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

1.13 NOTIFICATIONS and REPORTS

1.13.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than four hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface). These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

1.13.2 Accident Reports

a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Complete and submit an accident investigation report in ESAMS within 5 days for mishaps defined in EM 385-1-1 01.D.03 and 10 days for accidents defined by EM 385-1-1 01.D.05. Complete an investigation report within 30 days for those

mishaps defined by EM 385-1-1 01.D.04. Mishaps defined by EM 385-1-1 01.D.04 and 01.D.05 must include a written report submitted as an attachment in ESAMS using the following outline: (1) Mishap summary description to include process, findings, and outcomes; (2) Root Cause; (3) Direct Factors; (4) Indirect and Contributing Factors; (5) Corrective Actions; and (6) Recommendations. The Contracting Officer will provide copies of any required or special forms.

b. Near Misses: For Navy Projects, complete the applicable documentation in NAVFAC CIRS, and electronically submit via the NAVFAC ESAMS. Near miss reports are considered positive and proactive Contractor safety management actions.

c. Conduct an accident investigation for any LHE accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

1.13.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.13.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.14 HOT WORK

1.14.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the MCAS Cherry Point Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency phone number (911). REPORT ANY FIRE, NO MATTER HOW

SMALL, TO THE MCAS CHERRY POINT FIRE DEPARTMENT IMMEDIATELY.

1.14.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist, or Certified Industrial Hygienist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

1.15 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO), and Contracting Oversight Technician (COT) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on Government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

1.15.1 Radiography Operation Planning Work Sheet

Submit a Gamma and X-Ray Radiography Operation Planning Work Sheet to Contracting Officer 14 days prior to commencement of operations involving radioactive materials or radiation generating devices. For portable machine sources of ionizing radiation, including moisture density and XRF, use and submit the Portable Gauge Operations Planning Worksheet instead. The Contracting Officer and COT will review the submitted worksheet and provide questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

1.15.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer and COT for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Navy COT or Government authorized representative will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Navy COT or Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records and utilization records to the COT for radiological operations performed on the site.

1.15.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

1.15.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

1.15.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

1.15.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site RSO of any Radioactive Material use.

1.15.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

1.15.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

1.16 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive

CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

1.16.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

1.16.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

1.16.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

1.16.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

1.17 CONSTRUCTION INDOOR AIR QUALITY (IAQ) MANAGEMENT PLAN

Submit an IAQ Management Plan within 15 calendar days after notice to proceed and not less than 10 calendar days before the preconstruction meeting. Revise and resubmit Plan as required by the Contracting Officer. Make copies of the final plan available to all workers on site. Include provisions in the Plan to meet the requirements specified below and to ensure safe, healthy air for construction workers and building occupants.

1.17.1 Requirements During Construction

Provide for evaluation of indoor Carbon Dioxide concentrations in accordance with ASTM D6245. Provide for evaluation of volatile organic compounds (VOCs) in indoor air in accordance with ASTM D6345. Use filters with a Minimum Efficiency Reporting Value (MERV) of 8 in permanently installed air handlers during construction.

1.17.1.1 Control Measures

Meet or exceed the requirements of ANSI/SMACNA 008, Chapter 3, to help minimize contamination of the building from construction activities. The five requirements of this manual which must be adhered to are described below:

a. HVAC protection: Isolate return side of HVAC system from surrounding environment to prevent construction dust and debris from entering the duct work and spaces.

b. Source control: Use low emitting paints and other finishes, sealants, adhesives, and other materials as specified. When available, cleaning products must have a low VOC content and be non-toxic to minimize building contamination. Utilize cleaning techniques that minimize dust generation. Cycle equipment off when not needed. Prohibit idling motor vehicles where emissions could be drawn into building. Designate receiving/storage areas for incoming material that minimize IAQ impacts.

c. Pathway interruption: When pollutants are generated, use strategies such as 100 percent outside air ventilation or erection of physical barriers between work and non-work areas to prevent contamination.

d. Housekeeping: Clean frequently to remove construction dust and debris. Promptly clean up spills. Remove accumulated water and keep work areas dry to discourage the growth of mold and bacteria. Take extra measures when hazardous materials are involved.

e. Scheduling: Control the sequence of construction to minimize the absorption of VOCs by other building materials.

1.17.1.2 Moisture Contamination

a. Remove accumulated water and keep work dry.
b. Use dehumidification to remove moist, humid air from a work area.
c. Do not use combustion heaters or generators inside the building.
d. Protect porous materials from exposure to moisture.
e. Remove and replace items which remain damp for more than a few hours.

1.17.2 Requirements After Construction

After construction ends and prior to occupancy, conduct a building flush-out or test the indoor air contaminant levels. Flush-out must be a minimum two-weeks with MERV-13 filtration media as determined by ASHRAE 52.2 at 100 percent outside air. Air contamination testing must be consistent with EPA's current Compendium of Methods for the Determination of Air Pollutants in Indoor Air. After building flush-out or testing and prior to occupancy, replace filtration media. Filtration media must have a MERV of 13 as determined by ASHRAE 52.2.

1.18 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

PART 2 PRODUCTS

2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers

for new permit-required confined spaces. Signs for confined spaces must comply with NEMA Z535.2. Provide signs with wording: "DANGER--PERMIT-REQUIRED CONFINED SPACE, DO NOT ENTER" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" must be red and readable from 5 feet.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Hard Hat
b. Long Pants
c. Appropriate Safety Shoes
d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones, or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this Contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

3.1.3 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further

Contracting Officer approval. Notify the RSO prior to excepted items of radioactive material and devices being brought on base.

3.1.4 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 15 days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECP and HEC procedures, as well as applicable AHAs. In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Installation representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSP A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM

(HECP).

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government

For electrical distribution equipment that is to be operated by Government personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention, and control measures, inspection, storage, care, and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSP Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

3.5.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards and using personal fall protection equipment. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSP Z359.2 in the AHA.

3.5.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific FP&P Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M,ASSP Z359.0, ASSP Z359.1, ASSP Z359.2, ASSP Z359.3, ASSP Z359.4, ASSP Z359.6, ASSP Z359.7, ASSP Z359.11, ASSP Z359.12, ASSP Z359.13, ASSP Z359.14, ASSP Z359.15, ASSP Z359.16 and ASSP Z359.18.

3.5.2.1 Additional Personal Fall Protection Measures

Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.2.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

3.5.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

a. Low Sloped Roofs:

(1) For work within 6 feet from unprotected edge of a roof having a slope less than or equal to 4:12 (vertical to horizontal), protect personnel from falling by the use of conventional fall protection systems (personal fall arrest/restraint systems, guardrails, or safety nets) in accordance with EM 385-1-1, Section 21 and 29 CFR 1926.500. A safety monitoring system is not adequate fall protection and is not authorized.

(2) For work greater than 6 feet from the unprotected roof edge, in addition to the use of conventional fall protection systems, the use of a warning line system is also permitted, in accordance with 29 CFR 1926.500 and EM 385-1-1, Section 21.L.

b. Steep-Sloped Roofs: Work on a roof having a slope greater than 4:12 (vertical to horizontal) requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also applies to residential or housing type construction.

3.5.4 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured HLL must be designed, installed, certified, and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

3.5.5 Guardrails and Safety Nets

Design, install, and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

3.5.6 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the AHA for the phase of work, in the FP&P Plan, and the APP. The plan must be in accordance with the requirements of EM 385-1-1, ASSP Z359.2, and ASSP Z359.4.

3.6 WORK PLATFORMS

3.6.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.

b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.

c. An adequate gate is required.

d. Employees performing scaffold erection and dismantling must be qualified.

e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted FP&P plan.

f. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.

g. Special care must be given to ensure scaffold systems are not overloaded.

h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.

i. Scaffolding other than suspended types must bear on base plates upon wood mudsills (2 in x 10 in x 8 in minimum) or other adequate firm foundation.

j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than 6 feet.

k. Delineate fall protection requirements when working above 6 feet or above dangerous operations in the FP&P Plan and AHA for the phase of work.

3.6.2 Elevated Aerial Work Platforms (AWPs)

Workers must be anchored to the basket or bucket in accordance with manufacturer's specifications and instructions (anchoring to the boom may only be used when allowed by the manufacturer and permitted by the CP). Lanyards used must be sufficiently short to prohibit worker from climbing out of basket. The climbing of rails is prohibited. Lanyards with built-in shock absorbers are acceptable. Self-retracting devices are not acceptable. Tying off to an adjacent pole or structure is not permitted unless a safe device for 100 percent tie-off is used for the transfer.

Use of AWPs must be operated, inspected, and maintained as specified in the operating manual for the equipment and delineated in the AHA. Operators of AWPs must be designated as qualified operators by the Prime Contractor. Maintain proof of qualifications on site for review and include in the AHA.

3.7 EQUIPMENT

3.7.1 Material Handling Equipment (MHE)

a. MHE such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. MHE fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.

b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. MHE Operators

must be trained in accordance with OSHA 29 CFR 1910, Subpart N.

c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

3.7.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to cranes that enter the activity but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during such operations must be reported.

a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.

b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Prior to cranes entering federal activities, a Crane Access Permit must be obtained from the Contracting Officer. A copy of the permitting process will be provided at the Preconstruction Meeting. Contractor's operator must remain with the crane during the spot check. Rigging gear must be in accordance with OSHA and ASME B30.9 Standards.

c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.

d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices, and ASME B30.26 for rigging hardware.

e. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.

f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.

g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.

h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.

i. Use cribbing when performing lifts on outriggers.

j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.

k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching, or crushing personnel.

l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.

m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.

n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).

o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger, and lift supervisor must cease all crane operations, evaluate conditions, and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if applicable. Include this maximum wind speed determination as part of the AHA plan for that operation.

p. On mobile cranes, lifts where the load weight is greater than 90 percent of the equipment's capacity are prohibited.

q. Follow FAA guidelines when required based on project location.

3.7.3 Machinery and Mechanized Equipment

a. Proof of qualifications for operator must be kept on the project site for review.

b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

3.7.4 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.8 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

3.8.1 Utility Locations

Provide a third party, independent, private utility locating company to positively identify underground utilities in the work area in addition to any station locating service and coordinated with the station utility department.

3.8.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within 3 feet of the underground system.

3.8.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever Contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt, or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third party, independent, private locating company. The third party, independent, private locating company must locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the Contractor from meeting this requirement.

3.9 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Sections 11 and 12.

3.9.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves, and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

3.9.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State and Local requirements applicable to where work is being performed.

3.9.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.9.4 Grounding

Ground electrical circuits, equipment, and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous, and effective path to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

3.9.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification, and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
02/19, CHG 1: 08/23

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date, and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

ACOUSTICAL SOCIETY OF AMERICA (ASA)
1305 Walt Whitman Road, Suite 300
Melville, NY 11747-4300
Ph: 516-576-2360
Fax: 631-923-2875
E-mail: asa@acousticalsociety.org
Internet: https://acousticalsociety.org/

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)
2111 Wilson Blvd, Suite 400
Arlington, VA 22201
Ph: 703-524-8800
Internet: http://www.ahrinet.org

AMERICAN ARCHITECTURAL MANUFACTURERS ASSOCIATION (AAMA)
1900 E Golf Rd, Suite 1250
Schaumburg, IL 60173
Ph: 847-303-5664
E-mail: customerservice@aamanet.org
Internet: https://aamanet.org/

AMERICAN CONCRETE INSTITUTE (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331-3439
Ph: 248-848-3700
Fax: 248-848-3701
Internet: https://www.concrete.org/

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)
1330 Kemper Meadow Drive
Cincinnati, OH 45240
Ph: 513-742-2020
Fax: 513-742-3355

Internet: https://www.acgih.org/

AMERICAN HARDBOARD ASSOCIATION (AHA)
1210 West Northwest Highway
Palatine, IL 60067
Ph: 847-934-8800
Fax: 847-934-8803
E-mail: aha@hardboard.org
Internet: http://domensino.com/AHA/

AMERICAN LADDER INSTITUTE (ALI)
330 N. Wabash, Suite 2000
Chicago, IL 60611
Ph: 312-321-6806
Fax: 312-673-6929
E-mail: info@americanladderinstitute.org
Internet: https://www.americanladderinstitute.org

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300
Internet: https://www.asce.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
1791 Tullie Circle, NE
Atlanta, GA 30329
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)
Two Park Avenue
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: https://www.asme.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 W. Quincy Avenue
Denver, CO 80235 USA
Ph: 303-794-7711 or 800-926-7337
Fax: 303-347-0804
Internet: https://www.awwa.org/

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130
Miami, FL 33166-6672
Ph: 800-443-9353

Internet: https://www.aws.org/

ASPHALT ROOFING MANUFACTURER'S ASSOCIATION (ARMA)
750 National Press Building
529 14th Street, NW
Washington, DC 20045
Ph: 202-591-2450
Fax: 202-591-2445
Internet: https://asphaltroofing.org/

ASSOCIATED AIR BALANCE COUNCIL (AABC)
1220 19th St NW, Suite 410
Washington, DC 20036
Ph: 202-737-0202
Fax: 202-315-0285
E-mail: info@aabc.com
Internet: https://www.aabc.com/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

BACNET INTERNATIONAL (BTL)
BACnet Testing Laboratories
1827 Powers Ferry Road
Building 14, Suite 100
Atlanta, GA 30339
Ph: 770-971-6003
Fax: 678-229-2777
E-mail: info@bacnetinternational.org
Internet: https://www.bacnetlabs.org/

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)
355 Lexington Avenue, 15th Floor
New York, NY 10017
Ph: 212-297-2122
Fax: 212-370-9047
Internet: https://www.buildershardware.com/

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)
PO Box 997377, MS 0500
Sacramento, CA 95899-7377
Ph: 916-558-1784
Internet: https://www.cdph.ca.gov/

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)
1600 Clifton Road
Atlanta, GA 30329-4027
Ph: 800-232-4636
TTY: 888-232-6348
Internet: https://www.cdc.gov

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)
933 North Plum Grove Road

Schaumburg, IL 60173-4758
Ph: 847-517-1200
Fax: 847-517-1206
Internet: http://www.crsi.org/

COPPER DEVELOPMENT ASSOCIATION (CDA)
Internet: https://www.copper.org/

EUROPEAN UNION (EU)
European Commission
Rue de la Loi 200
1000 Bruxelle
Belgium
Ph: +32 2 299 96 96
Internet: https://ec.europa.eu/info/index_en

FM GLOBAL (FM)
270 Central Avenue
Johnston, RI 02919-4949
Ph: 401-275-3000
Fax: 401-275-3029
Internet: https://www.fmglobal.com/

GREEN SEAL (GS)
1001 Connecticut Avenue, NW
Suite 827
Washington, DC 20036-5525
Ph: 202-872-6400
Fax: 202-872-4324
E-mail: greenseal@greenseal.org
Internet: https://www.greenseal.org/

ILLUMINATING ENGINEERING SOCIETY (IES)
120 Wall Street, Floor 17
New York, NY 10005-4001
Ph: 212-248-5000
Fax: 212-248-5018
E-mail: membership@ies.org
Internet: https://www.ies.org/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INSULATED CABLE ENGINEERS ASSOCIATION (ICEA)
P.O. Box 493
Miamitown, OH 45041-9998
E-mail: info@icea.net
Internet: https://www.icea.net/

INTERNATIONAL CODE COUNCIL (ICC)
500 New Jersey Avenue, NW
6th Floor, Washington, DC 20001
Ph: 800-786-4452 or 888-422-7233
Fax: 202-783-2348

E-mail: order@iccsafe.org
Internet: https://www.iccsafe.org/

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)
3050 Old Centre Ave. Suite 101
Portage, MI 49024
Ph: 269-488-6382
Fax: 269-488-6383
Internet: https://www.netaworld.org/

INTERNET ENGINEERING TASK FORCE (IETF)
c/o Association Management Solutions, LLC (AMS)
5177 Brandin Court
Fremont, California 94538
Ph: 510-492-4080
Fax: 510-492-4001
E-mail: ietf-info@ietf.org
Internet: https://www.ietf.org/

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)
127 Park Street, NE
Vienna, VA 22180-4602
Ph: 703-281-6613
E-mail: info@msshq.org
Internet: http://msshq.org

MASTER PAINTERS INSTITUTE (MPI)
2800 Ingleton Avenue
Burnaby, BC CANADA V5C 6G7
Ph: 1-888-674-8937
Fax: 1-888-211-8708
E-mail: info@paintinfo.com or techservices@mpi.net
Internet: http://www.mpi.net/

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)
16712 Elm Circle
Omaha, NE 68130
Ph: 402-342-3463 or 800-747-6422
Fax: 402-330-9702
Internet: https://www.micainsulation.org/

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)
3 Bethesda Metro Center, Suite 1100
Bethesda, MD 20814
Ph: 301-657-3110
Fax: 301-215-4500
Internet: https://www.necanet.org/

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Internet: https://www.nema.org

NATIONAL ELEVATOR INDUSTRY, INC. (NEII)
5003 Westfield Blvd.
P.O. Box 231137
Centreville, VA 20120

Ph: 703-589-9985
E-Mail: info@neii.org
Internet: http://www.neii.org

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)
8575 Grovemont Circle
Gaithersburg, MD 20877
Ph: 301-977-3698
Fax: 301-977-9589
Internet: http://www.nebb.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL INSTITUTE FOR CERTIFICATION IN ENGINEERING TECHNOLOGIES (NICET)
1420 King Street
Alexandria, VA 22314-2794
Ph: 888-476-4238 (1-888 IS-NICET)
E-mail: tech@nicet.org
Internet: https://www.nicet.org/

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)
100 Bureau Drive
Gaithersburg, MD 20899
Ph: 301-975-2000
Internet: https://www.nist.gov/

NATIONAL ROOFING CONTRACTORS ASSOCIATION (NRCA)
10255 West Higgins Road, Suite 600
Rosemont, IL 60018-5607
Ph: 847-299-9070
Fax: 847-299-1183
Internet: http://www.nrca.net

NSF INTERNATIONAL (NSF)
789 North Dixboro Road
P.O. Box 130140
Ann Arbor, MI 48105
Ph: 734-769-8010 or 800-NSF-MARK
Fax: 734-769-0109
E-mail: info@nsf.org
Internet: http://www.nsf.org

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)
2000 Powell Street, Suite 600
Emeryville, CA 94608
Ph: 510-452-8000
Fax: 510-452-8001
E-mail: info@SCSglobalservices.com
Internet: https://www.scsglobalservices.com/

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)
4201 Lafayette Center Drive
Chantilly, VA 20151-1219
Ph: 703-803-2980
Fax: 703-803-3732
Internet: https://www.smacna.org/

SINGLE PLY ROOFING INDUSTRY (SPRI)
465 Waverley Oaks Road, Suite 421
Waltham, MA 02452
Ph: 781-647-7026
Fax: 781-647-7222
E-mail: info@spri.org
Internet: https://www.spri.org/

SOCIETY FOR PROTECTIVE COATINGS (SSPC)
800 Trumbull Drive
Pittsburgh, PA 15205
Ph: 877-281-7772 or 412-281-2331
Fax: 412-444-3591
E-mail: customerservice@sspc.org
Internet: http://www.sspc.org

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)
21865 Copley Drive
Diamond Bar, CA 91765
Ph: 909-396-2000
E-mail: webinquiry@aqmd.gov
Internet: http://www.aqmd.gov

STEEL DOOR INSTITUTE (SDI/DOOR)
30200 Detroit Road
Westlake, OH 44145
Ph: 440-899-0010
Fax: 440-892-1404
E-mail: info@steeldoor.org
Internet: https://www.steeldoor.org/

TECHNICAL ASSOCIATION OF THE PULP AND PAPER INDUSTRY (TAPPI)
15 Technology Parkway South, Suite 115
Peachtree Corners, GA 30092
Ph: 800-332-8686 or 770-446-1400
Fax: 770-446-6947
E-mail: memberconnection@tappi.org
Internet: http://www.tappi.org

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)
1320 North Courthouse Rosd, Suite 200
Arlington, VA 22201
Ph: 703-907-7700
Fax: 703-907-7727
E-mail: marketing@tiaonline.org
Internet: https://www.tiaonline.org/

THE MASONRY SOCIETY (TMS)
105 South Sunset Street, Suite Q
Longmont, CO 80501-6172
Ph: 303-939-9700

Fax: 303-541-9215
E-mail: info@masonrysociety.org
https://masonrysociety.org/

TRIDIUM, INC (TRIDIUM)
3951 Westerre Parkway, Suite 350
Richmond, VA 23233
Ph: 804-747-4771
Fax: 804-747-5204
E-mail: support@tridium.com
Internet: https://www.tridium.com/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)
Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)
HUD User
P.O. Box 23268
Washington, DC 20026-3268
Ph: 800-245-2691 or 202-708-3178
TDD: 800-927-7589

Fax: 202-708-9981
E-mail: helpdesk@huduser.gov
Internet: https://www.huduser.gov

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
1200 Pennsylvania Avenue, N.W.
Washington, DC 20004
Ph: 202-564-4700
Internet: https://www.epa.gov
--- Some EPA documents are available only from:
National Technical Information Service (NTIS)
5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)
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TTY: 888-835-5322
Fax: 866-418-0232
Internet: https://www.fcc.gov/
Order Publications From:
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732 N. Capitol Street, NW
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Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
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Washington, DC 20590
Ph: 202-366-4000
E-mail: ExecSecretariat.FHWA@dot.gov
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Order from:
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Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. GENERAL SERVICES ADMINISTRATION (GSA)
General Services Administration
1800 F Street, NW
Washington, DC 20405
Ph: 1-844-472-4111
Internet: https://www.gsaelibrary.gsa.gov/ElibMain/home.do
Obtain documents from:
Acquisition Streamlining and Standardization Information System
(ASSIST)

Internet: https://assist.dla.mil/online/start/; account registration required

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. NAVAL FACILITIES ENGINEERING SYSTEMS COMMAND (NAVFAC)
1322 Patterson Ave. SE, Suite 1000
Washington Navy Yard, DC 20374-5065
Ph: 202-685-9387
Internet: http://www.navfac.navy.mil

UNDERWRITERS LABORATORIES (UL)
2600 N.W. Lake Road
Camas, WA 98607-8542
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://ihsmarkit.com/

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00

QUALITY CONTROL
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C1077	(2017) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM D3666	(2016) Standard Specification for Minimum Requirements for Agencies Testing and Inspecting Road and Paving Materials
ASTM D3740	(2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E329	(2021) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection
ASTM E543	(2021) Standard Specification for Agencies Performing Non-Destructive Testing

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Bid Schedule item.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan

SD-06 Test Reports

Verification Statement

1.4 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC is comprised of plans, procedures, and organization necessary to produce an end product that complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The QC Manager, Superintendent, Site Safety and Health Officer (SSHO), and all on-site supervisors are responsible for the quality of work and are subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. The QC Manager must maintain a physical presence at the work site at all times and is the primary individual responsible for all QC.

1.5 QUALITY CONTROL (QC) PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction, and operations that comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent, and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.5.1 Meetings

1.5.1.1 Quality Control Plan Meeting

Prior to submission of the QC Plan, the Contractor may request a meeting with the Contracting Officer to discuss the QC Plan requirements of this Contract.

The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of Definable Feature of Work (DFOW).

1.5.1.2 Coordination and Mutual Understanding Meeting

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's QC system. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the

Government's Quality Assurance. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Government. Provide a copy of the signed minutes to all attendees and include in the QC Plan. At a minimum the Coordination and Mutual Understanding Meeting must be repeated when a new QC Manager is appointed. There can be other occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

1.5.1.2.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor must explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. Procedures for noise and acoustics management.

c. Environmental Protection Plan.

d. Environmental regulatory requirements.

e. Indoor Air Quality (IAQ) Management Plan.

1.5.1.2.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan.

1.5.1.2.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting.

1.5.1.3 Quality Control (QC) Meetings

After the start of construction, conduct weekly QC meetings led by the QC Manager at the work site with the Project Superintendent, and the other personnel as necessary. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and deficiencies/rework. Review the most current approved schedule (in accordance with schedule specification) and the status of work and deficiencies/rework.

c. Review the status of submittals and Request For Information (RFIs).

d. Review the work to be accomplished in the next three weeks as defined by the schedule section paragraph THREE-WEEK LOOK AHEAD in Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES and all documentation required for that work.

e. Review Testing Plan and Log including status of tests performed since last QC Meeting.

f. Resolve QC and production problems. Discuss status of pending change orders.

g. Address items that may require revising the QC Plan.

h. Review Accident Prevention Plan (APP) and effectiveness of the safety program.

i. Review environmental requirements and procedures.

j. Review Environmental Management Plan.

k. Review Waste Management Plan.

l. Review the status of training completion.

m. Review IAQ Management Plan.

1.5.2 Contractor Quality Control (CQC) Plan

Submit no later than 30 days after Contract Award, the CQC Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. Construction will be permitted to begin only after acceptance of the CQC Plan and other Contract requirements

1.5.2.1 Content of Contractor Quality Control (CQC) Plan

Provide a CQC Plan, prior to start of construction, that includes a table of contents, with major sections identified, pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control during the construction of the project. The CQC Plan must at a minimum include the following sections:

a. A description of the QC organization and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified.

b. An organizational chart showing the QC organization with individual names and job titles and lines of authority up to an executive of the company at the home office.

c. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, (including position titles and durations for qualifying experiences) for each person in the QC organization. Include the Construction

Quality Management (CQM) for Contractors course certifications for the QC personnel as required by the paragraph CONSTRUCTION QUALITY MANAGEMENT TRAINING.

d. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

e. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

f. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager, and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work that is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to all other QC Specialists or quality control representatives outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.

g. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, scheduling, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

h. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraph ACCREDITATION REQUIREMENTS, as applicable.

i. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, associated feature of work required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.

j. Procedures to complete construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected. This phase is performed prior to beginning work on each definable feature of work, after all required plans, documents, materials are approved, and after copies are at the work site.

k. Reporting procedures, including proposed reporting formats.

l. Procedures for submitting and reviewing design changes/variations prior to submission to the Contracting Officer.

m. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines, or it is work by the same trade in a different environment. A DFOW is by definition any item or activity on the construction schedule, and the schedule specification provides direction regarding how the DFOWs are to be structured. Include in

the list of DFOWs for all activities on the Construction Schedule. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. Identify the specification section number and schedule activity ID for each DFOW listed. The DFOW list will be reviewed in coordination with the construction schedule and agreed upon during the Coordination of Mutual Understanding Meeting.

n. PROCEDURES FOR PERFORMING AND TRACKING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

o. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.

p. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.

q. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking, and documenting all certifications required for entities such as subcontractors, testing laboratories, suppliers, and personnel. The QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the Contract that the work is being performed.

1.5.3 Acceptance of the Quality Control (QC) Plan

The Contracting Officer's acceptance of the Contractor QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal or addition of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.5.4 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying with specific prior approval of the Contracting Officer.

1.5.5 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel. Proposed changes are subject to acceptance by the Contracting Officer.

1.6 QUALITY CONTROL (QC) ORGANIZATION

1.6.1 Quality Control (QC) Manager

1.6.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program, and to serve as the SSHO as detailed in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent. The QC Manager must attend the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and certification, ensure testing is performed, and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

1.6.1.2 Qualifications

The QC Manager must be an individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer, or Construction Manager on similar size and type construction Contracts which included the major trades that are part of this Contract. The individual must have at least 2 years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification, safety compliance, and sustainability.

The QC Manager and all members of the QC organization must be capable of reading, writing, and conversing fluently in the English language.

1.6.1.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager and all members of the QC team must have completed the CQM for Contractors course. If the QC Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Systems Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the QC Manager's certificate has expired, retake the course to remain current.

1.6.2 Organizational Changes

Maintain the QC staff with personnel as required by the specification section at all times. When it is necessary to make changes to the QC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

1.6.3 Alternate Quality Control (QC) Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the

event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.7 SUBMITTAL AND DELIVERABLES REVIEW AND APPROVAL

Procedures for submission, review, and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES. Procedures must include field verification of relevant dimensions and component characteristics by the QC organization prior to submittal being sent to the Contracting Officer. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the Contract.

1.8 THREE PHASES OF CONTROL

CQC enables the Contractor to ensure that the construction, including that of subcontractors and suppliers, complies with the requirements of the Contract. At least three phases of control must be conducted by the QC Manager to adequately cover both on-site and off-site work for each definable feature of the construction work as follows:

1.8.1 Preparatory Phase

Document the results of the preparatory phase actions by separate minutes prepared by the QC Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required to meet Contract specifications.

Notify the Contracting Officer at least two business days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the Project Superintendent,and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. This phase is performed prior to beginning work on each DFOW, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections, reference codes, and standards. Make available during the prepatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction or shop drawings or both before confirming product orders, to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

f. Examine the work area to ensure that the required preliminary work has been completed and complies with the Contract and ensure any deficiencies/rework items in the preliminary work have been corrected and confirmed by the Contracting Officer.

g. Review coordination of product/material delivery to designated prepared areas to execute the work.

h. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

i. Check to assure that all materials and equipment have been tested, submitted, and approved.

j. Discuss specific controls to be used, construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW. Ensure any portion of the plan requiring separate Contracting Officer acceptance has been approved.

k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

1.8.2 Initial Phase

Notify the Contracting Officer at least two business days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site when acceptable levels of specified quality are not being met. Indicate the exact location of initial phase for DFOW for future reference and comparison with follow-up phases. Perform the following for each DFOW:

a. Check work to ensure that it is in full compliance with Contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full Contract compliance. Verify required control inspection and testing comply with the Contract.

c. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve any workmanship issues.

e. Ensure that testing is performed by the approved laboratory.

f. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

g. Review project specific work plans (i.e., HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.8.3 Follow-Up Phase

Perform the following for on-going DFOW daily, or more frequently as necessary, until the completion of each DFOW. The Final Follow-Up for any DFOW will clearly note in the daily report the DFOW is completed, and all deficiencies/rework items have been completed in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Each DFOW that has completed the Initial Phase and has not completed the Final Follow-up must be included on each daily report. If no work was performed on that DFOW for the period of that daily report, it must be so noted. Document all Follow-Up activities for DFOWs in the daily CQC Report:

a. Ensure the work including control testing complies with Contract requirements until completion of that particular work feature. Record checks in the CQC documentation.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that deficiencies/rework items are being corrected. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work.

e. Do not build upon nor conceal non-conforming work.

f. Assure manufacturers' representatives have performed necessary inspections if required and perform safety inspections.

1.8.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW has not started within 45 days of the initial preparatory meeting or has resumed after 45 days of inactivity, or if other problems develop.

1.8.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

1.8.6 Deficiency/Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be corrected, the activity ID number associated with the item, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected.

The list shall be reviewed at each weekly QC Meeting:

a. There is no requirement to report a deficiency/rework item that is

corrected the same day it is discovered.

b. No successor task may be advanced beyond the preparatory phase meeting until all deficiencies/rework items have been cleared by the QC Manager and concurred with by the Contracting Officer. This must be confirmed as part of the Preparatory Phase activities.

c. Attach a copy of the "Deficiency/Rework Items List" to the last daily CQC Report of each month.

d. The Contractor is responsible for including those items identified by the Contracting Officer.

e. All deficiencies/rework items must be confirmed as corrected by the QC Manager, and concurred by the Contracting Officer, prior to commencement of any completion inspections per paragraph COMPLETION INSPECTIONS unless specifically exempted by the Contracting Officer.

f. Non-Compliance with these requirements shall be grounds for removal in accordance with paragraph ACCEPTANCE OF THE QUALITY CONTROL (QC) PLAN.

g. All delays, concurrent or related to failure to manage, monitor, control, and correct deficiencies/rework items are entirely the responsibility of the Contractor and shall not be made the subject, or any component of any request for additional time or compensation.

1.9 TESTING

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to Contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of a U.S. Army Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site or within 5 miles. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with Contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

1.9.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and must submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (ASTM E329, ASTM C1077, ASTM D3666, ASTM D3740, ASTM E543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

1.9.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at https://www.nist.gov/nvlap, the American Association of State Highway and Transportation Officials (AASHTO) Accreditation Program at http://www.aashtoresource.org/aap/overview, International Accreditation Services, Inc. (IAS) at https://www.iasonline.org/, U.S. Army Corps of Engineers Materials Testing Center (MTC) at https://www.erdc.usace.army.mil/Media/Fact-Sheets/Fact-Sheet-Article-View/Article/476661/materials-testing-center/, and the American Association for Laboratory Accreditation (A2LA) program at https://a2la.org/.

1.9.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing for compliance with the standards set forth in this Contract. Laboratories utilized for testing soils, concrete, asphalt, and steel must meet criteria detailed in ASTM D3740 and ASTM E329.

1.9.4 Test Results

Cite applicable Contract requirements, tests, or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, in accordance with paragraph DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER.

1.9.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily CQC Report of each month.

1.10 COMPLETION INSPECTIONS

1.10.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications, and Contract. Include in the punch list any remaining items on the "Deficiency/Rework Items List", that were not corrected prior to the Punch-Out Inspection as approved by the Contracting Officer in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer.

The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. All punch list items must be confirmed as corrected by the QC Manager and concurred by the Contracting Officer. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.10.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected and concurred by the Contracting Officer prior to notifying the Government that a "Final" inspection with the Client can be scheduled. All items noted on the "Pre-Final" inspection must be corrected and concurred by the Contracting Officer in a timely manner and be accomplished before the Contract completion date for the work, or any increment thereof, if the project is divided into increments by separate completion dates unless exceptions are directed by the Contracting Officer.

1.10.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other Government QA personnel, and personnel representing the Client. Failure of the Contractor to have all Contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.11 QUALITY CONTROL (QC) CERTIFICATIONS

1.11.1 Contractor Quality Control (CQC) Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and

equipment and material used, and work performed during this reporting period is in compliance with the Contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.11.2 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.11.3 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, complies with Contract requirements.

1.12 DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER

1.12.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the CQC Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract.

The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The reporting of work must be identified by terminology consistent with the construction schedule. In the "Remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered, a record of visitors to the work site, QC problem areas, deviations from the QC Plan, construction deficiencies encountered, and meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.12.2 Quality Control Activities

CQC and Contractor Production reports will be prepared daily to maintain current records providing factual evidence that required QC activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractors and any subcontractors.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When a Network Analysis Schedule (NAS) is used, identify each item of work performed each day by NAS activity number.

d. Control phase activities performed. Preparatory and Initial phase Checklists associated with the DFOW referenced to the construction schedule. Follow-up phase activities identified to the DFOW. If testing or specific QC Specialist activities are associated with the Follow-up phase activities for a specific DFOW note this and include those reports.

e. Test and control activities performed with results and references to specifications and drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST.

f. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications and drawings requirements.

g. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

h. Offsite surveillance activities, including actions taken.

i. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

j. Instructions given/received and conflicts in plans and specifications.

1.12.3 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract.

Furnish the original and one copy of these records in report form to the Government by 10:00 AM the next working day after the date covered by the report. As a minimum, prepare and submit one report for every seven days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the Contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the QC Manager. Include copies of test reports and copies of reports prepared by all subordinate QC personnel within the QC Manager Report.

1.12.4 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

a. CQC Meeting minutes in accordance with paragraph QUALITY CONTROL (QC) MEETINGS.

b. All completed Preparatory and Initial Phase Checklists, arranged by specification section, further sorted by DFOW referenced to the construction schedule. Submit each individual Phase Checklist the day the phase event occurs as part of the CQC daily report.

c. All milestone inspections, arranged by Activity Number referenced to the construction schedule.

d. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section referenced to the DFOW to which individual reports results are associated. Individual field test reports will be submitted within two working days after the test is performed in accordance with the paragraph QUALITY CONTROL ACTIVITIES. Monthly Summary Report of Tests: Submit the report as an electronic attachment to the CQC Report at the end of each month.

e. Copies of all Contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

f. An up-to-date copy of the paragraph DEFICIENCY/REWORK ITEMS LIST.

g. Upon commencement of Completion Inspections of the entire project or any defined portion, maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and subcontractors and all punch lists issued by the Government in accordance with the paragraph COMPLETION INSPECTIONS.

1.12.5 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month. Provide a copy of the final "Testing Plan and Log" to the preparer of the Operation & Maintenance (O&M) documentation.

1.12.6 As-Built Drawings

The QC Manager must ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. The as-built drawings document shall commence with the QC Manager ensuring all amendments or changes to the Contract prior to Contract award are accurately noted in the initial document set creating the accurate baseline of the Contract prior to any work starting. Ensure each deviation has been identified with the appropriate modifying documentation (e.g., PC No., Modification No., Request for Information No.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.13 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of a claim for extension of time for excess costs or damages by the Contractor.

1.14 DELIVERY, STORAGE, AND HANDLING

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
11/20, CHG 2: 08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C511	(2017) Reduced-Pressure Principle Backflow Prevention Assembly

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2009; Rev 2012) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan
Traffic Control Plan
Haul Road Plan
Contractor Computer Cybersecurity Compliance Statements
Contractor Temporary Network Cybersecurity Compliance Statements

SD-06 Test Reports

Backflow Preventer Tests

SD-07 Certificates

Backflow Tester Certification
Backflow Preventers Certificate of Full Approval

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 BACKFLOW PREVENTERS CERTIFICATE

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (58 mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted APP, EM 385-1-1 Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site, including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.

b. Condition THREE (Sustained winds of 58 mph or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all

gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.

d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

1.6 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258.} Meet the following requirements throughout the construction process.

1.6.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

1.6.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.6.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

1.6.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.6.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables. Each Statement must be signed by a cybersecurity representative for the relevant company.

1.6.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

1.6.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

1.6.3 Government Access to Network

Government personnel, as defined, prescribed, and identified by the Contracting Officer, must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification. Or if there is a Government agency that's responsible, identify that agency.

1.6.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

1.6.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.6.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables. Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements

herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

2.1.2 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1 Section 04. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit haul road plan for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

2.3.1 Polyethylene Mesh Safety Fencing

Temporary safety fencing must be a high visibility orange colored, high density polyethylene grid, a minimum of 48 inches high and maximum mesh size of 2 inches. Fencing must extend from the grade to a minimum of 48 inches above the grade and be tightly secured to T-posts spaced as necessary to maintain a rigid and taut fence. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

2.5 BACKFLOW PREVENTERS

Certificate of Full Approval from FCCCHR List, University of Southern California, attesting that the design, size, and make of each backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. Certificate of Provisional Approval is not acceptable.

Reduced pressure principle type conforming to the applicable requirements of AWWA C511. Provide backflow preventers complete with flanged bronze or brass mounted gate valve and strainer, and stainless steel or bronze internal parts.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Payment for Utility Services

a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the Contract. Unless otherwise provided in the Contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve utilities furnished without charge.

b. The point at which the Government will deliver such utilities or services and the quantity available must be coordinated with the Contracting Officer. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including providing backflow-preventing devices on connections to domestic water lines; providing meters; and providing transformers; and make disconnections. Under no circumstances will taps to base fire hydrants be allowed for obtaining domestic water.

3.2.3 Meters and Temporary Connections

Provide and maintain necessary temporary connections, distribution lines, and meter bases (Government will provide meters) required to measure the

amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will provide a meter and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. Do not make the final electrical connection.

3.2.4 Advance Deposit

An advance deposit for utilities consisting of a minimum of $300.00 by certified check payable to the U.S. Treasury will be required. The last monthly bills for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed prior to the end of the current fiscal year.

3.2.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading, disconnect service, and remove the meters. Then, remove all the temporary distribution lines, meter bases, and associated appurtenances. Pay all outstanding utility bills before final acceptance of the work by the Government.

3.2.6 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1 Section 02. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.7 Telephone

Make arrangements and pay all costs for telephone facilities desired. Contact Century Link to arrange telephone service if desired. The Station Telephone Officer, located in Building 4397, may need to be contacted if excess phone lines are not available in the area.

3.2.8 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Make all notifications and obtain all permits required for modification to traffic movements outside Station's jurisdiction. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. Brightly-colored (orange) vests are required for all personnel working in roadways. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

3.3.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations for MCAS Cherry Point without notification to and approval by the Contracting Officer.

3.3.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY

ENVIRONMENTAL CONTROLS.

3.4 REDUCED PRESSURE BACKFLOW PREVENTERS

Provide an approved reduced pressure backflow prevention assembly at each location where the Contractor taps into the Government potable water supply.

Perform backflow preventer tests using test equipment, procedures, and certification forms conforming to those outlined in the latest edition of the Manual of Cross-Connection Control published by the FCCCHR Manual. Test and tag each reduced pressure backflow preventer upon initial installation (prior to continued water use) and quarterly thereafter. Tag must contain the following information: make, model, serial number, dates of tests, results, maintenance performed, and signature of tester. Record test results on certification forms conforming to requirements cited earlier in this paragraph.

3.5 CONTRACTOR'S TEMPORARY FACILITIES

Temporary facilities must meet requirements as identified in EM 385-1-1 Section 04.

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1 Section 04. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

3.5.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

In the event a new building is constructed for the temporary project field office, it must be a minimum 12 feet in width, 16 feet in length and have a minimum of 7 feet headroom. Equip the building with approved electrical wiring, at least one double convenience outlet and the required switches and fuses to provide 120 volt power. Provide a work table with stool, desk with chair, two additional chairs, and one legal size file cabinet that can be locked. The building must be waterproof, supplied with a heater, have a minimum of two doors, electric lights, a telephone, a battery-operated smoke detector alarm, a sufficient number of adjustable windows for adequate light and ventilation, and a supply of approved drinking water. Provide approved sanitary facilities. Screen the windows and doors and provide the doors with deadbolt type locking devices or a padlock and heavy-duty hasp bolted to the door. Door hinge pins must be non-removable. Arrange the windows to open and to be securely fastened from the inside. Protect glass panels in windows by bars or heavy mesh screens to prevent easy access. In warm weather, provide air conditioning capable of maintaining the office at 50 percent relative humidity and a room temperature 20 degrees F below the outside temperature when the outside temperature is 95 degrees F. Unless otherwise directed by the Contracting Officer, remove the building from the site upon completion and acceptance of the work.

3.5.2 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

Keep fencing in a state of good repair and proper alignment. If the Contractor elects to traverse grassed or unpaved areas which are not established roadways with construction equipment or other vehicles, cover the grassed or unpaved areas with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation must be at the Contractor's discretion.. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, structures, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

3.5.3 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.5.4 Appearance of Trailers

a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling paint, or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.

b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

3.5.5 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.5.6 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.5.6.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.6 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

3.7 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.8 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.9 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

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SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA SW-846	(Third Edition; Update IV) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1053	Respirable Crystalline Silica
29 CFR 1910.1200	Hazard Communication
29 CFR 1926.1153	Respirable Crystalline Silica
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 64	Compliance Assurance Monitoring
40 CFR 82	Protection of Stratospheric Ozone
40 CFR 112	Oil Pollution Prevention
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers

40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.11	Hazardous Waste Determination and Recordkeeping
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 273.6	Applicability - Aerosol Cans
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response

	Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e., methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally, or historically.

1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance

with 40 CFR 261.

1.2.7 Hazardous Materials

Hazardous material is any material that: Is defined in 49 CFR 171, listed in 49 CFR 172, regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.1200; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibits a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D, or meets a state or local definition of a hazardous waste.

1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.10 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by municipalities or installations to obtain NPDES permit coverage for their stormwater discharges.

1.2.11 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

1.2.12 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily waste also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay, and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or

local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.13 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.14 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.15 Solid Waste

Solid waste is a solid, liquid, semi-solid, or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.15.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; or roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories, and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.15.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps, and plant roots. Marketable trees, grasses, and plants that are indicated to remain, be re-located, or be re-used are not included.

1.2.15.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.15.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 261.

1.2.15.5 Recyclables

Recyclables are materials, equipment, and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.15.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.15.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.15.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, and hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.16 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, or creeks meeting the definition of "waters of the United States". Surface discharges from construction sites are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.17 Wastewater

Wastewater is the used water and solids that flow through a sanitary sewer to a treatment plant.

1.2.17.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.18 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.19 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.20 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey
Regulatory Notifications
Employee Training Records
Environmental Protection Plan
Dirt and Dust Control Plan
Solid Waste Management Permit

Spill Prevention Control And Countermeasure (SPCC) Plan

SD-06 Test Reports

Monthly Solid Waste Disposal Report
Laboratory Analysis

SD-07 Certificates

ECATTS Certificate Of Completion
Employee Training Records

SD-11 Closeout Submittals

Regulatory Notifications

Assembled Employee Training Records
Solid Waste Management Permit

Waste Determination Documentation
Project Solid Waste Disposal Documentation Report
Sales Documentation
Hazardous Waste/Debris Management
Disposal Documentation for Hazardous and Regulated Waste
Contractor Hazardous Material Inventory Log

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.4.1 Training in Environmental Compliance Assessment Training and Tracking System (ECATTS)

1.4.1.1 Personnel Requirements

The Environmental Manager is responsible for environmental compliance on projects. The Environmental Manager must complete applicable ECATTS training modules (installation specific or general) prior to starting respective portions of on-site work under this Contract. If personnel changes occur after starting work, replacement personnel must complete applicable ECATTS training within 14 days of assignment to the project.

1.4.1.2 Certification

Submit an ECATTS certificate of completion for personnel who have completed the required ECATTS training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC ECATTS by logging on to https://environmentaltraining.ecatts.com/. Obtain the password for registration from the Contracting Officer.

1.4.1.3 Refresher Training

This training has been structured to allow contractor personnel to receive credit under this contract and to carry forward credit to future contracts. Ensure the Environmental Manager review their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific state regulatory

requirements; therefore, Contractors working in multiple states will be required to retake modules tailored to the state where the contract work is being performed.

1.4.2 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

1.5 SPECIAL ENVIRONMENTAL REQUIREMENTS

Comply with the special environmental requirements listed here and attached at the end of this section.

1.5.1 Mid-Atlantic

Comply with the following state, regional, and local requirements.

1.5.1.1 North Carolina

1.5.1.1.1 MCAS Cherry Point

Comply with the special environmental requirements listed here and attached at the end of this section. Contractors shall abide by all requirements called out by local Air Station Orders (ASO) and governing permit requirements not specifically spelled out in these requirements. The Contractor shall review and adhere to these Orders as governing guidance while operating on MCAS Cherry Point. The 5090 series of Orders can be found on the MCAS Cherry Point Adjutant's website: https://www.cherrypoint.marines.mil/Staff/Station-Adjutant/. Additional guidance shall be provided by the Environmental Affairs Department (EAD), 252-466-3631.

1.5.1.1.1.1 Monitoring Well Abandonment

Contractors must maintain the integrity of any existing or future monitoring or remediation systems at the site specifically monitoring or

product recovery wells if encountered. If damaged, they must be repaired or properly abandoned and replaced by the project. Consult with EAD for further information. Well Abandonment shall be conducted in accordance with Title 15 NCAC 2C well construction standards; a certification, which reflects the abandonment procedure, must be submitted. The contractor shall provide a copy of all well installation and abandonment records to EAD.

1.5.1.1.1.2 AFFF Management

Aqueous Film Forming Foam (AFFF), or firefighting foam, and PFAS impacted materials shall be properly managed in accordance with governing policies. All spills or releases of AFFF concentrate or AFFF water shall be immediately reported in accordance with ASO 5090.7A. AFFF concentrate, if not turned over to the government, shall be disposed of by incineration only.

AFFF water shall be defined as any liquid, primarily water, mixed with AFFF concentrate or has PFAS compounds present (i.e., groundwater). This shall include rinse water used to flush lines and equipment. Triple rinsing equipment and lines does not negate the level of PFAS enough to deem the water treated even with the absence of foam.

AFFF water shall be disposed of by incineration, solidification to a permitted landfill, or treated onsite using an approved treatment system (i.e., granular activated carbon, ion exchange resin) with adequate holding capacity for the volume being treated to meet pretreatment limits prior to discharging to sanitary sewer. No discharge to sanitary sewer will be allowed without confirmatory sampling results indicating the pretreatment limits have been obtained. The contractor shall work with EAD to determine if treatment is required and to verify what the current limits are. Currently, the pretreatment limits for PFAS compounds are met when PFOS and PFOA are below 70 ppt (individually or combined). If dewatering from an Operable Unit, other contaminants will need to be considered. All PFAS samples are required to be analyzed by a DOD ELAP certified laboratory. A list of currently accredited labs can be found here: https://www.denix.osd.mil/edqw/accreditation/accreditedlabs/. Test Method 1633 shall be used for all non-potable water matrices.

Disposal of AFFF concentrate and AFFF water off-station shall be manifested on an original, serialized EPA Uniform Hazardous Waste Manifest form 8700-22 (Rev. 3-05). AFFF currently used on MCAS Cherry Point contains PFAS compounds that are not currently regulated as a hazardous waste, therefore it shall be marked on the manifest as "Non-Hazardous, Non-Regulated Waste" with PFAS entered as a waste code. All manifests shall be signed by designated EAD personnel. Contractors shall not sign the disposal documents for AFFF waste generated while working aboard MCAS Cherry Point on behalf of the government.

1.5.1.1.1.3 SWMU Management

Solid Waste Management Unit (SWMU) (C-15) oil/water separators (OWS) as well as Industrial Wastewater Treatment Plant (IWTP) lines (C-13), are both regulated by the State of North Carolina Division of Waste Management, Hazardous Waste Management Permit issued to MCAS Cherry Point. Physical alterations at SWMUs must be planned and coordinated with EAD. This team works closely with NCDEQ to maintain compliance with the MCAS Cherry Point Hazardous Waste Management Permit regarding investigation and cleanups at SWMUs. The improper removal of an oil/water

separator, grease rack or wash rack, or IWTP line constitutes multiple violations of the MCAS Cherry Point RCRA permit. No construction project can move forward without the proper closure of the SWMU. Applicable regulations are those which are in effect on the date of issuance of the Cherry Point State of North Carolina Division of Waste Management Hazardous Waste Management Permit 40 CFR 207.32(c)as adopted in 15A NCAC 13A.0113.

1.6 QUALITY ASSURANCE

1.6.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs, and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

1.6.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state, and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 15 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.6.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP) or equipment local requirement. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

1.6.4 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet EPA and state requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area.

1.6.5 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state, or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

1.7 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting

requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

1.7.1 General Overview and Purpose

1.7.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as spill control plan, solid waste management plan, air pollution control plan, contaminant prevention plan, traffic control plan, Non-Hazardous Solid Waste Disposal Plan.

1.7.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.7.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.7.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.7.1.5 Contact Information

Emergency contact information (office phone number, cell phone number, and e-mail address).

1.7.2 General Site Information

1.7.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.7.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for

marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

Show where any fuels, hazardous substances, solvents, or lubricants will be stored. Provide a spill plan to address any releases of those materials.

1.7.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.7.3 Management of Natural Resources

a. Land resources
b. Tree protection
c. Replacement of damaged landscape features
d. Temporary construction
e. Stream crossings
f. Fish and wildlife resources
g. Wetland areas

1.7.4 Protection of Historical and Archaeological Resources

a. Objectives
b. Methods

1.7.5 Stormwater Management and Control

a. Ground cover
b. Erodible soils
c. Temporary measures
    (1) Structural Practices
    (2) Temporary and permanent stabilization
d. Effective selection, implementation, and maintenance of Best Management Practices (BMPs).
e. Stormwater Pollution Prevention Plan (SWPPP).

1.7.6 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste.

Control and disposal of hazardous waste.

This item consists of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan when within an installation. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan as applicable.

As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

1.7.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

1.7.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.7.9 Clean Air Act Compliance

1.7.9.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on Installation roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

1.7.9.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery,

or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager). Ensure required permits are obtained prior to installing and operating applicable equipment/processes.

1.7.9.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used, or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between maintenance/testing, emergency, and non-emergency operation.

1.7.9.4 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment, and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government. Coordinate with the Installation Environmental Office to determine the appropriate turn in location.

1.7.9.5 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

1.7.9.6 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

1.8 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all equipment that may require permits or special approvals that the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and Responsibilities.

1.9 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the

binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

1.10 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

1.10.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

1.11 FACILITY HAZARDOUS WASTE GENERATOR STATUS

MCAS Cherry Point is designated as a Large Quantity Generator. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the Installation Environmental Office as applicable, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the Installation Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and Installation Environmental Office to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in compliance with all required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. If the water is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization in advance from the Installation Environmental Office for any release of contaminated water.

3.2.1 Erosion and Sediment Control Measures

Provide erosion and sediment control measures in accordance with state and local laws and regulations. Preserve vegetation to the maximum extent practicable.

Erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports.

3.2.2 Work Area Limits

Mark the areas that need not be disturbed under this Contract prior to commencing construction activities. Mark or fence isolated areas within the general work area that are not to be disturbed. Protect monuments and markers before construction operations commence. Where construction operations are to be conducted during darkness, all markers must be visible in the dark. Personnel must be knowledgeable of the purpose for marking and protecting particular objects.

3.2.3 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

3.2.4 Municipal Separate Storm Sewer System (MS4) Management

Comply with the Installation's MS4 permit requirements. Comply with local requirements.

3.3 SURFACE AND GROUNDWATER

3.3.1 Cofferdams, Diversions, and Dewatering

Construction operations for dewatering, removal of cofferdams, tailrace excavation, and tunnel closure must be constantly controlled to maintain compliance with existing state water quality standards and designated uses of the surface water body. Comply with the State of North Carolina water quality standards and anti-degradation provisions . Do not discharge excavation ground water to the sanitary sewer, storm drains, or to surface waters without prior specific authorization in writing from the Installation Environmental Office or Contracting Officer. Discharge of hazardous substances will not be permitted under any circumstances. Use sediment control BMPs to prevent construction site runoff from directly entering any storm drain or surface waters.

If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization for any contaminated groundwater release in advance from the Installation Environmental Officer and the federal or state authority, as applicable. Discharge of hazardous substances will not be permitted under any circumstances.

3.3.2 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States, except as authorized herein. The protection of waters of the United States shown on the drawings in accordance with paragraph LICENSES AND PERMITS is the Contractor's responsibility. Authorization to enter specific waters of the United States identified does not relieve the Contractor from any obligation to protect other waters of the United States within, adjacent to, or in the vicinity of the construction site and associated boundaries.

3.4 PROTECTION OF CULTURAL RESOURCES

3.4.1 Archaeological Resources

If, during excavation or other construction activities, any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, activities that may damage or alter such resources will be suspended. Resources covered by this paragraph include, but are not limited to: any human skeletal remains or burials;

artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. Cease all activities that may result in impact to or the destruction of these resources. Secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources. The Government retains ownership and control over archaeological resources.

3.5 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

3.5.1 Preconstruction Air Permits

Notify the Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled or unassembled, onto the Installation.

3.5.2 Oil or Dual-fuel Boilers and Furnaces

Provide product data and details for new, replacement, or relocated fuel fired boilers, heaters, or furnaces to the Installation Environmental Office (Air Program Manager) through the Contracting Officer. Data to be reported include: equipment purpose (water heater, building heat, process), manufacturer, model number, serial number, fuel type (oil type, gas type) size (MMBTU heat input). Provide in accordance with paragraph PRECONSTRUCTION AIR PERMITS.

3.5.3 Burning

Burning is prohibited on the Government premises.

3.5.4 Class I and II ODS Prohibition

Class I and II ODS are Government property and must be returned to the Government for appropriate management. Coordinate with the Installation Environmental Office to determine the appropriate location for turn in of all reclaimed refrigerant.

3.5.5 Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer. Intentional venting of refrigerants (including most Non-ODS substitute refrigerants) is prohibited per 40 CFR 82.

3.5.6 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an

EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

3.5.7 Dust Control

Keep dust down at all times, including during nonworking periods. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster. Since these products contain Crystalline Silica, comply with the applicable OSHA standard, 29 CFR 1910.1053 or 29 CFR 1926.1153 for controlling exposure to Crystalline Silica Dust.

3.5.7.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

3.5.7.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the Installation Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.5.8 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

3.6 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan

for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

3.6.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale, or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

3.6.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	(_____) cubic yards or tons, as appropriate
C&D Debris Recycled	(_____) cubic yards or tons, as appropriate
C&D Debris Composted	(_____) cubic yards or tons, as appropriate
Total C&D Debris Generated	(_____) cubic yards or tons, as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	(_____) cubic yards or tons, as appropriate

3.7 WASTE MANAGEMENT AND DISPOSAL

3.7.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g., scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 262.11 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not exhaustive): oil- and latex

-based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.7.1.1 Sampling and Analysis of Waste

3.7.1.1.1 Waste Sampling

Sample waste in accordance with EPA SW-846. Clearly mark each sampled drum or container with the Contractor's identification number, and cross reference to the chemical analysis performed.

3.7.1.1.2 Laboratory Analysis

Follow the analytical procedure and methods in accordance with the 40 CFR 261. Provide analytical results and reports performed to the Contracting Officer. Coordinate all activities with the Installation Hazardous Waste Manager.

3.7.1.1.3 Analysis Type

Identify hazardous waste by analyzing for the following characteristics: ignitability, corrosivity, reactivity, toxicity based on TCLP results, .

3.7.2 Solid Waste Management

3.7.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.7.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Segregate and separate treated wood components disposed at a lined landfill approved to accept this waste in accordance with local and state regulations. Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited

to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.7.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer and Installation Hazardous Waste Manager.

3.7.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.7.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or one quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90-day or 180-day, as appropriate, accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	(_____)
Contractor	(_____)
Haz/Waste or Regulated Waste POC	(_____)
Phone Number	(_____)
Type of Waste	(_____)
Source of Waste	(_____)
Emergency POC	(_____)
Phone Number	(_____)

Contract Number	(_____)
Location of the Site	(_____)

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g., training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.7.3.3 Hazardous Waste Disposal

3.7.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.7.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260 - 40 CFR 279, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.7.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.7.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.7.3.3.1.4 Labeling

During waste accumulation label all containers in accordance with 40 CFR 262. Prior to offering a waste for off-site transport, determine the Department of Transportation's (DOT's) proper shipping names for waste in accordance with 49 CFR 172 (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262 and applicable state or local regulations.

3.7.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

a. Batteries as described in 40 CFR 273.2

b. Lamps as described in 40 CFR 273.5

c. Mercury-containing equipment as described in 40 CFR 273.4

d. Aerosol cans as described in 40 CFR 273.6

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.7.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such as computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

3.7.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer or designated representative for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with the Installation Environmental Office. Refer to location special requirements for the Installation Point of Contact information.

3.7.4 Releases/Spills of Oil and Hazardous Substances

3.7.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, the Contracting Officer, and the state or local authority.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, and local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and

local regulations. Contain and clean up these spills without cost to the Government.

3.7.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

3.7.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

3.7.6 Wastewater

3.7.6.1 Disposal of Wastewater

Disposal of wastewater must be as specified below.

3.7.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations.

3.7.6.1.2 Surface Discharge

For discharge of ground water, Surface discharge in accordance with federal, state, and local laws and regulations.

3.7.6.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing must be discharged into the sanitary sewer with prior approval and notification to the Wastewater Treatment Plant's Operator.

3.8 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the

Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261, state, and installation requirements.

3.8.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log"(found at: https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables), which provides information required by (EPCRA Sections 312 and 313) along with corresponding SDS, to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the SDSs for hazardous materials onsite. At the end of the project, provide the Contracting Officer with copies of the SDSs, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

The Contracting Officer may request documentation for any spills or releases, environmental reports, or off-site transfers.

3.9 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

3.10 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745 and Section 02 83 00 LEAD REMEDIATION. Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

3.11 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline, diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the environment. Manage and store POL products in accordance with EPA 40 CFR 112, and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers, to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of oil, including fuel, on the project site is not allowed. Fuel must be brought to the project site each day that work is performed.

3.11.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

3.11.2 Oil Storage Including Fuel Tanks

Provide secondary containment and overfill protection for oil storage tanks. A berm used to provide secondary containment must be of sufficient size and strength to contain the contents of the tanks plus 5 inches freeboard for precipitation. Construct the berm to be impervious to oil for 72 hours that no discharge will permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Use drip pans during oil transfer operations; adequate absorbent material must be onsite to clean up any spills and prevent releases to the environment. Cover tanks and drip pans during inclement weather. Provide procedures and equipment to prevent overfilling of tanks. If tanks and containers with an aggregate aboveground capacity greater than 1320 gallons will be used onsite (only containers with a capacity of 55 gallons or greater are counted), provide and implement a Spill Prevention Control and Countermeasure (SPCC) plan meeting the requirements of 40 CFR 112. Do not bring underground storage tanks to the installation for Contractor use during a project. Submit the SPCC plan to the Contracting Officer for approval.

Monitor and remove any rainwater that accumulates in open containment dikes or berms. Inspect the accumulated rainwater prior to draining from a containment dike to the environment, to determine there is no oil sheen present.

3.12 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material until authorized by the Contracting Officer.

3.13 CHLORDANE

Evaluate excess soils and concrete foundation debris generated during the demolition of housing units or other wooden structures for the presence of chlordane or other pesticides prior to reuse or final disposal.

3.14 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of North Carolina rules.

3.15 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
02/19, CHG 3: 11/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 273	Standards for Universal Waste Management
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.2.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.2.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.2.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.2.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.2.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying

constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.2.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.2.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.2.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.2.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.3 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.4 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.4.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to

provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.4.2 Oversight

The Environmental Manager, as specified in Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, is responsible for overseeing and documenting results from executing the Construction Waste Management Plan for the project.

1.4.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.4.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.4.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.5 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan

SD-11 Closeout Submittals

Final Construction Waste Diversion Report

1.6 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Preconstruction and Pre-demolition meeting.

b. Regular Quality Control meetings.

c. Work safety meeting (if applicable).

1.7 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 calendar days after notice to proceed. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin.. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project..

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

l. Identification of at least 5 construction or demolition material streams for diversion.

m. Facilities or subcontractors offering construction waste transport on-site or off-site must ensure that proper shipping orders, bill of lading, manifests, or other shipping documents containing waste diversion information meet requirements of 40 CFR 273 Universal Waste Management, 49 CFR 173 Shippers - General Requirements for Shipments and Packagings, and 49 CFR 178 Specifications for Packaging. Individuals signing manifests or other shipping documents should meet the minimum training requirements.

n. List each supplier who deliver construction materials, in bulk, or package products in returnable containers or returnable packaging, or have take-back programs. List each program and the applicable material to actively monitor and track to assist in meeting waste diversion requirements on the project.

Distribute copies of the waste management plan to each subcontractor, Environmental Manager, and the Contracting Officer.

1.8 RECORDS (DOCUMENTATION)

1.8.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.8.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.9 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 60 days prior to the Beneficial Occupancy Date (BOD).

1.10 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROL. Separate materials by one of the following methods described herein:

1.10.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

1.10.2 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.11 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.11.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

1.11.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.11.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --

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SECTION 01 78 00

CLOSEOUT SUBMITTALS
05/19, CHG 1: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

GREEN SEAL (GS)

GS-37	(2017) Cleaning Products for Industrial and Institutional Use

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N	(2014; with Change 6, 2021) Navy and Marine Corps Design

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan
Warranty Tags
Final Cleaning
Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

SD-11 Closeout Submittals

As-Built Drawings
As-Built Record of Equipment and Materials

1.4 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

1.5 WARRANTY MANAGEMENT

1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.

c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.

d. As-Built Record of Equipment and Materials list for each warranted

equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of equipment covered by warranties longer than one year.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.5.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.5.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor

notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty. In connection with these requirements and at the time of the Contractor's QC completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.5.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	

WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.

PART 2 PRODUCTS

Not used.PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and/or red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

   (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

   (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

   (3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

   1) Add an entire drawing to contract drawings
   2) Change the contract drawing to show
   3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications and compliance with FC 1-300-09N procedures.

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide four electronic copies of the Operation and Maintenance Manual files. Submit to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

3.3 CLEANUP

Provide final cleaning in accordance with ASTM E1971 and submit two copies of the listing of completed final clean-up items. Leave premises "broom clean." Comply with GS-37 for general purpose cleaning and bathroom cleaning. Use only nonhazardous cleaning materials, including natural cleaning materials, in the final cleanup. Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment and comply with the Indoor Air Quality (IAQ) Management Plan. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

-- End of Section --

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SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
05/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE GUIDELINE 1.4	(2019) Preparing Systems Manuals for Facilities

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings
ASTM E2166	(2016) Standard Practice for Organizing and Managing Building Data

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Facility Data Workbook
Training Plan
Training Outline
Training Content
Operation And Maintenance Manual, Progress Submittal
Operation And Maintenance Manual, Prefinal Submittal
Operation And Maintenance Manual, Final Submittal

SD-11 Closeout Submittals

Training Video Recording
Validation of Training Completion
Training Plan

1.3 MEETINGS

To assure that Operation and Maintenance (O&M) Manual and Facility Data Workbook (FDW) requirements are being met through the duration of the project, organize the following meetings and discuss the subsequent topics:

1.3.1 Pre-Construction Meeting

At a minimum, discuss the following:

a. The requirement for O&M Manuals and Facility Data deliverables under this contract including coordination meetings

b. Processes and method of gathering Facility Data information during construction

c. Primary roles and responsibilities associated with the development and delivery of the O&M Manuals and Facility Data deliverables, and

d. Identify and agree upon a date and attendance list for the meetings described below:

1.3.2 O&M Manual and FDW Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the O&M Manual Progress Submittal. Meeting attendance must include the Contractor's O&M Manual and FDW Preparer, Quality Control (QC) Manager, the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for O&M Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation, and timely Government review of O&M Manual.

1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the O&M Manuals and FDW.

a. Include personnel from the Coordination meeting and any additional personnel identified.

b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the O&M Manuals and FDW.

c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda for this meeting.

1.3.4 Facility Turnover Meeting

Include O&M Manual in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

1.4 FACILITY DATA WORKBOOK

Develop an editable, electronic spreadsheet based on the equipment in the O&M Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide FDW as a list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

1.5 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble O&M Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance, and record files, project record documents, and training videos. Include a complete bookmarked O&M directory.

1.5.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number
b. Project Title
c. Activity and Location
d. Construction Contract Number
e. Prepared For: (Contracting Agency)
f. Prepared By: (Name, title, phone number and email address)
g. Include the disk content on the disk label
h. Date
i. Virus scanning program used

1.5.2 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

1.6 O&M MANUAL CONTENT

Organize thebookmarked O&M Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at: https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-78-23.

1.6.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

1.6.2 Part 2: Facility Design and Construction

1.6.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility. Provide a PDF of the Record Drawings prepared in accordance with 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code list and generally describe all the facility systems and any special building features (for example, HVAC Controls, Sprinkler Systems, Cranes,

Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

1.6.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

1.6.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

1.6.2.4 Room Inventory of Real Property and Finishes

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. Include, as applicable, the following information for each piece of equipment installed: description of item, all dimensions, location by room number, model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Real property includes, but is not limited to, floor coverings, wall surfaces, ceiling surfaces, windows, roofing, HVAC filters, plumbing fixtures, and lighting fixtures. Submit the final list 30 days after transfer of the completed facility.

Include spatial data defining actual net square footage and data of each room. Also include the room finish schedule including room names and numbers. Include schedules in the construction drawings in the room inventory. Add a column to each schedule to record what was provided by the contractor during construction. Provide a PDF of room inventory. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used
(_____)				

1.6.3 Part 3: Facilities, Systems, and Assemblies Information

1.6.3.1 Organization

Bookmark information in this section using the current version of ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy navigation of the manual.

Example as shown in Table below:

PARTS AND SUBPART NUMBERING
3.1 B20 EXTERIOR CLOSURE (System)
3.1.1 B2030 EXTERIOR DOORS (Subsystem)
3.1.1.1 B2030110 GLAZED DOORS (Component)
3.1.1.1.1 Applicable specifications List in UFGS Format
3.1.1.1.2 Manufacturer's Operations and Maintenance Data
3.1.1.1.3 Approved Submittal
3.1.1.1.4 Coordination/Shop Drawings
3.1.1.1.5 Sequence of Operation for Operating Equipment
3.1.1.1.6 Testing Equipment Information and Performance Data
3.1.1.1.7 Routine Maintenance Requirements
3.1.1.1.8 Repair Procedures
3.1.1.1.9 Emergency Procedures & Locations of Applicable Controls
3.1.1.1.10 Warranties
3.1.1.1.11 Record Drawings and Utility Systems
3.1.1.1.12 Contractor / Supplies Listing and Contact Information

1.6.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List specifications in table format as shown in the below example.

UFGS Number	Specification Title	Page Spec Begins in Part 2

1.6.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files containing multiple product catalogs from the same manufacturer, or product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not

provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

1.6.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and Maintenance Data submittals documents with the required approval.

1.6.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

1.6.4 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.6.4.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

1.6.4.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.6.4.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.6.4.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.6.4.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations, and zones or portions of systems controlled.

1.6.4.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.6.4.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.6.4.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.6.4.9 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.5 Routine Maintenance Requirements

1.6.5.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide

manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication, and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.6.5.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.

c. A Lubrication Schedule showing service interval frequency.

1.6.6 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.6.6.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.6.6.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the

terminals for each type, identically to actual installation configuration and numbering.

1.6.6.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings, and adjustments required. Use a combination of text and illustrations.

1.6.6.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.6.6.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment, or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific O&M procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.6.6.8 Contractor/Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email, and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name, address, and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6.7 Part 4: Facility Operations

1.6.7.1 Completed Facility Operating Plan

Provide a plan that documents the procedures for the operation of systems and assemblies in the facility. The systems that should be included in

the Operating Plan include, but are not limited to:

a. Electrical systems and equipment

b. Mechanical systems and equipment

c. Fire Protection systems and equipment

d. Control Systems and equipment

e. Architectural and Structural systems, fixtures, structures, and equipment

f. Vertical transportation such as elevators and escalators

1.6.7.2 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.6.7.3 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.7.4 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

1.6.7.5 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.6.7.5.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.6.7.5.2 Ongoing Commissioning O&M Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.6.7.5.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

1.6.7.6 Utility Record Drawings

1.6.7.6.1 Utility Schematic Diagrams

Provide a one-line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the 5

foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

1.6.7.6.2 Enlarged Connection and Cutoff Plans

Provide enlarged floor plans and provide information between the 5 foot utilities connection point and where utilities connect to facility distribution. Enlarge floor plans/elevations of the rooms where the utility enters the building and indicate on these plans the locations of the main interiors and exterior connection and cutoff points for the utilities. Also enlarge floor plans/elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

1.6.7.6.2.1 Description of Utility Metering and Monitoring Systems

Provide in narrative format a description of the utility metering and monitoring systems. Include locations, function, and related systems.

1.6.7.6.2.2 Procedures for Tracking Utility Use and Reporting

Procedures for usage reporting and tracking in support of establishing and monitoring utility budgets and costs, and in developing annual energy reports.

1.6.7.6.2.3 One-Line Diagrams and Meter Location of System

Provide one-line diagrams and design drawings that highlight meter locations on the site.

1.6.7.6.3 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.6.8 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.6.9 Part 6: Cx Project Report and TAB Report

Provide the final Cx Plan and complete Cx reports with evaluation and testing forms and records for each building system. Include relevant commissioned system assemblies test reports including installers checklists of assemblies. Provide all Cx Progress Reports, issues and resolutions logs with resolution or status of each item, and a list of any open items and seasonal or additional testing required.

1.6.10 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.6.11 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.6.12 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.7.1 Package Quality

Documents must be fully legible. O&M data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.7.2 Data Package 1

a. Safety precautions and hazards
b. Cleaning recommendations
c. Maintenance and repair procedures
d. Warranty information
e. Extended warranty information
f. Contractor information
g. Spare parts and supply list

1.7.3 Data Package 2

a. Safety precautions and hazards
b. Normal operations
c. Environmental conditions
d. Lubrication data
e. Preventive maintenance plan, schedule, and procedures
f. Cleaning recommendations
g. Maintenance and repair procedures
h. Removal and replacement instructions
i. Spare parts and supply list
j. Parts identification
k. Warranty information
l. Extended warranty information
m. Contractor information

1.7.4 Data Package 3

a. Safety precautions and hazards
b. Operator prestart
c. Startup, shutdown, and post-shutdown procedures

d. Normal operations
e. Emergency operations
f. Environmental conditions
g. Operating log
h. Lubrication data
i. Preventive maintenance plan, schedule, and procedures
j. Cleaning recommendations
k. Troubleshooting guides and diagnostic techniques
l. Wiring diagrams and control diagrams
m. Maintenance and repair procedures
n. Removal and replacement instructions
o. Spare parts and supply list
p. Product submittal data
q. O&M submittal data
r. Parts identification
s. Warranty information
t. Extended warranty information
u. Testing equipment and special tool information
v. Testing and performance data
w. Contractor information
x. Field test reports

1.7.5 Data Package 4

a. Safety precautions and hazards
b. Operator prestart
c. Startup, shutdown, and post-shutdown procedures
d. Normal operations
e. Emergency operations
f. Operator service requirements
g. Environmental conditions
h. Operating log
i. Lubrication data
j. Preventive maintenance plan, schedule, and procedures
k. Cleaning recommendations
l. Troubleshooting guides and diagnostic techniques
m. Wiring diagrams and control diagrams
n. Repair procedures
o. Removal and replacement instructions
p. Spare parts and supply list
q. Repair work-hours
r. Product submittal data
s. O&M submittal data
t. Parts identification
u. Warranty information
v. Extended warranty information
w. Personnel training requirements
x. Testing equipment and special tool information
y. Testing and performance data
z. Contractor information
aa. Field test reports

1.7.6 Data Package 5

a. Safety precautions and hazards
b. Operator prestart
c. Start-up, shutdown, and post-shutdown procedures
d. Normal operations
e. Environmental conditions

f. Preventive maintenance plan, schedule, and procedures
g. Troubleshooting guides and diagnostic techniques
h. Wiring and control diagrams
i. Maintenance and repair procedures
j. Removal and replacement instructions
k. Spare parts and supply list
l. Product submittal data
m. Manufacturer's instructions
n. O&M submittal data
o. Parts identification
p. Testing equipment and special tool information
q. Warranty information
r. Extended warranty information
s. Testing and performance data
t. Contractor information
u. Field test reports

1.7.7 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the Facilities Management Specialist, building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the O&M Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the QC Manager prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC Manager . Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the O&M information. The QC Manager is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

3.1.3 Training Outline

Provide the O&M Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting

Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the O&M Manual Preparer for inclusion into the Manual's documentation.

3.1.7 Quality Control Coordination

Coordinate this training with the QC Managerin accordance with Section 01 45 00 QUALITY CONTROL .

3.2 SUBMITTAL SCHEDULING

3.2.1 Operation and Maintenance Manual, Progress Submittal

Submit the Progress submittal when construction is approximately 50 percent complete, to the Contracting Officer for approval. Provide O&M Manual Files (Bookmarked PDF). Include the elements and portions of system construction completed up to this point. The purpose of this submittal is to verify progress is in accordance with contract requirements as discussed during the O&M Manual Coordination Meeting.

3.2.2 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the O&M Prefinal Submittal to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of the O&M Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include O&M Manual Files (Bookmarked PDF).

3.2.3 Operation and Maintenance Manual, Final Submittal

Submit completed O&M Manual Files (Bookmarked PDF). The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must

be corrected prior to the Final submission.

-- End of Section --

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SECTION 01 80 00

REPORTS
04/15

PART 1 GENERAL

1.1 REPORTS INCLUDED

1.1.1 Asbestos and Lead Paint Inspection Report

Asbestos and Lead-Containing Paint Assessment performed by ECS

1.2 USE OF INFORMATION

1.2.1 Warranty

The information disclosed in the referenced reports is based on data obtained in specific locations and is assumed to be representative of conditions throughout the site. This information is furnished without warranty and is only for general information to be used by the contractor in the preparation of his bid and work schedule. It is not intended as a replacement for personal investigation and judgment, or interpretation of the information furnished, as required of the contractor in the performance of this contract.

1.2.2 Site Visit

Bidders should visit the site and acquaint themselves with all existing conditions prior to preparing their bid. This will include a review of the conditions contained in the enclosed report as they relate to the site. The contractor is responsible for including in his bid and work schedule, procedures for handling existing site conditions delineated in the included reports in accord with applicable laws and regulations as those conditions may affect the work.

1.2.3 Application of Information

Recommendations contained in the reports are to be used by the contractor only to the extent that these recommendations comply with applicable laws, regulations, and other sections of the these specifications.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 VARYING CONDITIONS

If during the course of the work, conditions are encountered which are not covered in the included reports or are different from conditions that would be reasonably anticipated from the included reports, the contractor shall immediately notify the Contracting Officer. If such conditions are hazardous or the continuation of work would cause a hazardous condition to develop, he shall stop work and proceed as directed by the Contracting Officer as directed by provisions contained in other sections of this specification. This may include modifications to, or the development of a

new, Health and Safety Plan for this project, and alternate or additional appropriate abatement procedures.

3.2 CHANGES TO THE CONTRACT

Any changes to the contract made as a result of site conditions which differ from those delineated in the report may result in an adjustment of the contract amount. The adjustment will be an increase or decrease depending on the scope and nature of the change and will be in accord with other provisions of these specifications.

-- End of Section --

ASBESTOS AND LEAD PAINT SURVEY

MCAS BLDG 4224 - OVERHAUL ELEVATOR

MCAS CHERRY POINT
HAVELOCK, NORTH CAROLINA 28533

ECS PROJECT NO. 49:21850

FOR: MBF ARCHITECTS PA

JANUARY 17, 2024

January 17, 2024

Mr. Raymond Layton
MBF Architects PA
317-C Pollock Street
New Bern, North Carolina 28560
layton@mbfarchitects.com

ECS Project No. 49:21850

Reference: Asbestos and Lead Paint Survey, MCAS Bldg 4224 - Overhaul Elevator, MCAS Cherry Point, Havelock, North Carolina

Dear Mr. Layton:

ECS Southeast, LLC (ECS) is pleased to provide MBF Architects PA with the results of the above referenced Asbestos and Lead Paint Survey performed at MCAS Bldg 4224 - Overhaul Elevator located at MCAS Cherry Point in Havelock, North Carolina. This report summarizes our observations, analytical results, findings, and recommendations related to the work performed. The work described in this report was performed by ECS in general accordance with the Scope of Services described in ECS Proposal Number 49:40522P and the terms and conditions of the agreement authorizing those services.

ECS appreciates this opportunity to provide MBF Architects PA with our services. If we can be of further assistance to you, please do not hesitate to contact us.

Sincerely,

ECS Southeast, LLC

Braxton B. Dawson
Environmental Project Manager
bdawson@ecslimited.com
910-686-9114

Tina M. Stewart, REM
Environmental Principal
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336-314-4691

EXECUTIVE SUMMARY

The property is developed with Building 4224, part of the Fleet Readiness Center East (FRCE) facility located at Marine Corps. Air Station (MCAS) Cherry Point in Havelock, Craven County, North Carolina. Based on the information available, the two-story freight elevator in the building is scheduled for renovations. ECS was contracted to conduct an asbestos and lead paint assessment, prior to the scheduled renovations.

The purpose of the survey was to determine if asbestos-containing materials (ACMs) and lead-containing paints (LCPs) are present on the subject property. The proposed renovations include the freight elevator (two floors), equipment room, adjoining office, and adjoining hallway. The survey was limited to these portions of the subject building. It should be noted that ECS was not permitted to take photographs within the facility due to FRCE policy.

Asbestos Survey

On January 11, 2024, Mr. Braxton B. Dawson, a North Carolina accredited asbestos inspector, performed the asbestos assessment. Bulk samples were submitted to Scientific Analytical Institute, Inc. (SAI) in Greensboro, North Carolina for analysis via Polarized Light Microscopy (PLM) in accordance with the current EPA-600 methodology.

A total of 15 bulk samples from six homogeneous areas were submitted to the laboratory of which 19 layers were analyzed. Based on the laboratory analysis of the bulk samples collected during the survey, none of the materials were reported to contain asbestos above the regulatory limit of 1%.

The following materials were reported as non-asbestos-containing:

- Spray-applied fireproofing material;
- Concrete masonry block (CMU) penetration cement;
- Vinyl cove base and associated mastic;
- Suspended ceiling tiles; and,
- Vinyl composite floor tile (VCT) and associated mastic.

Due to inaccessibility or the destructive means that asbestos sampling requires, unseen ACMs may remain within the building hidden behind inaccessible areas that include, but are not limited to, sub-grade walls, structural members, topping slabs, sub-grade sealants, flooring located below underlayments, areas behind exterior walls, pipe trenches, and subsurface utilities, etc.

If additional suspect materials are discovered during construction activities, they should be presumed to contain asbestos and be treated as ACMs or be sampled immediately upon discovery and prior to disturbance for asbestos content by an accredited or certified asbestos inspector in accordance with 29 Code of Federal Regulations (CFR) 1926.1101.

Lead Paint Survey

The lead paint assessment was conducted by collection of paint chip samples from suspect lead paint materials. The paint chip samples were submitted to a laboratory that participates in the American Industrial Hygiene Association (AIHA) Environmental Lead Proficiency Analytical Testing (ELPAT) Programs for analysis of lead concentration (percent by weight) using Flame Atomic Absorption (FAA) Spectroscopy.

Based on the laboratory analysis of the paint chips collected during the survey, the following building components were reported as LCP:

- Equipment room CMU walls;
- Elevator metal walls & ceiling; and,
- First floor concrete floor coating.

Paint and surface coatings which contain detectable concentrations of lead are considered LCPs. Since OSHA has no specific action level for lead in paint, all paint on the site found to have a measurable concentration of lead should be assumed to be lead-containing. Work performed which may disturb LCP is regulated under OSHA as referenced under 29 CFR 1926.62.

Recommendations regarding the removal and disposal of the LCP identified by ECS can be found in Section 5.0 of this report.

The executive summary is an integral portion of this report, however, ECS recommends the report be read in its entirety.

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1.0 SITE DESCRIPTION

The property is developed with Building 4224, part of the Fleet Readiness Center East (FRCE) facility located at Marine Corps. Air Station (MCAS) Cherry Point in Havelock, Craven County, North Carolina. Based on the information available, the two-story freight elevator in the building is scheduled for renovations. ECS was contracted to conduct an asbestos and lead paint assessment, prior to the scheduled renovations.

2.0 PURPOSE

The purpose of the Asbestos and Lead Paint Survey was to identify asbestos-containing materials (ACM) and lead-containing paint (LCP), which require special handling and/or disposal if disturbed during the proposed renovation activities. The identification of ACMs require trained labor, regulated work practices, and special disposal. The identification of LCP or other lead hazards may require disclosure to contractors and monitoring of lead exposure. The proposed renovations include the freight elevator (two floors), equipment room, adjoining office, and adjoining hallway. It should be noted that ECS was not permitted to take photographs within the facility due to FRCE policy.

3.0 METHODOLOGY

ECS performed the authorized Scope of Services in general accordance with our proposal, standard industry practice(s) and methods specified by regulation(s) for the identification of ACM and LCP.

3.1 Asbestos-Containing Materials

The asbestos survey was performed by Mr. Braxton B. Dawson (NC Asbestos Inspector No. 12830) on January 11, 2024. The survey consisted of observing the accessible areas of the freight elevator, equipment room, adjoining office, and adjoining hallway for the presence of suspect materials which may contain asbestos. The survey involved detecting both friable materials (materials which can be pulverized or reduced to a powder by hand pressure when dry) and non-friable materials (materials which pose a hazard when sawn, sanded, drilled or pulverized). Homogeneous materials (based on material type, color, texture, etc.) were identified in during the survey.

The EPA National Emissions Standard for Hazardous Air Pollutants (NESHAP) requires a survey for asbestos prior to renovation or demolition. Demolition is defined under NESHAP as the removal of a load-bearing structural member and renovation is an action which disturbs building materials. On the basis of requirements under NESHAP and North Carolina Asbestos Hazard Management Program (AHMP), administered by the Health Hazards Control Unit (HHCU), for renovation or demolition activities, ECS conducted a limited survey for potential ACM. The ACM survey was limited in that we did not conduct demolition such as jack/sledgehammering to expose potentially concealed materials. Samples were collected in general accordance with Environmental Protection Agency (EPA) Standard 40 CFR 763 Subpart E, Asbestos Hazard Emergency Response Act (AHERA) and Occupational Safety and Health Administration (OSHA) Standard 29 CFR 1926.1101 Inspection Protocol.

In order to determine if the suspect materials observed during the visual survey contained asbestos, representative bulk samples were collected and placed in sealed packages. Samples were collected during the survey and submitted to Scientific Analytical Institute, Inc. (SAI) for analysis using the

Environmental Protection Agency (EPA) recommended method of Polarized Light Microscopy (PLM) coupled with dispersion staining (Method No. EPA 600/R-93/116). SAI participates in the National Voluntary Laboratory Accreditation Program (NVLAP). Their NVLAP accreditation number is 200664-0. Several of the samples were layered and analyzed as multiple samples. EPA regulations require that multiple samples of each homogeneous area be collected for laboratory analysis. The material type, sample location, and analytical results of each bulk sample are also summarized in the attached Asbestos Bulk Analysis report in **Appendices**.

Samples were analyzed using "Positive Stop" methodology. If one sample of a homogeneous material is reported to contain asbestos, the remaining samples of that material are not analyzed. If one sample of a material from a homogeneous area is reported to contain greater than 1% asbestos, then by EPA definition, it is characterized as an ACM regardless of additional analysis.

During the survey, ECS attempted to identify suspect ACMs in readily accessible areas. However, due to the destructive means required to identify some materials, certain areas were deemed inaccessible (i.e. behind walls or sub grade materials) and were not surveyed for suspect ACMs.

3.2 Lead in Paint and Surface Coatings

ECS completed a lead paint screening within the building as part of our assessment activities. The collection of representative paint chip samples was performed within the renovation areas. Samples collected were containerized, labeled, and transported to SAI. Each of the paint chip samples were subsequently analyzed for the presence of lead reported in percent lead by weight via EPA Method SW 846, 7000B Flame Atomic Absorption (FAA) Spectroscopy. SAI participates in the American Industrial Hygiene Association (AIHA) Environmental Lead Proficiency Analytical Testing (ELPAT) Programs for analysis of lead concentration (percent by weight). The chain-of-custody, which includes sample numbers and sample locations, is included in an Appendix of this report.

4.0 RESULTS

The following is a summary of laboratory results, findings and observations.

4.1 Asbestos Sampling

In total, 15 bulk samples from six homogeneous areas were submitted to the laboratory of which 19 layers were analyzed.

An ACM is defined as any material containing more than one percent (>1%) asbestos as determined using the method specified in Appendix E, Subpart E, 40 CFR Part 763, Section 1, PLM. Materials are categorized by the U.S. EPA in the following categories:

- Friable ACMs are defined as any ACM that, when dry, can be crumbled, pulverized or reduced to powder by hand pressure. Non-friable ACMs are defined as any ACM that, when dry, cannot be crumbled, pulverized, or reduced to powder by hand pressure.
- Category I non-friable ACM are listed as following: packings, gaskets, resilient floor coverings, and asphalt roofing products containing more than one percent (>1%) asbestos.
- Category II non-friable ACM are listed as any material, excluding Category I non-friable ACM, containing more than one percent (>1%) asbestos.

Regulated Asbestos-Containing Materials (RACM) are friable ACM or non-friable ACM that will be or has been subjected to sanding, grinding, cutting, or abrading or has crumbled, been pulverized, or reduced to powder in the course of renovation and/or demolition operations.

SAI submitted a signed final laboratory report to ECS on January 16, 2024. None of the bulk samples submitted for analysis were reported to contain asbestos in detectable concentrations. A complete list of the sampled materials submitted for analysis and sample locations are located in Appendix II of this report. It should be noted that photographs were not permitted in the facility due to FRCE policy.

4.2 Suspect or Assumed Asbestos-Containing Materials

Due to the inaccessibility or the destructive means that asbestos sampling requires, additional suspect ACMs may remain within the building hidden behind inaccessible areas that include, but are not limited to, sub-grade walls, structural members, fire doors, topping slabs, sub-grade sealants, flooring located below underlayments, areas behind exterior walls, pipe trenches, and subsurface utilities, etc. These areas were deemed inaccessible and were not assessed.

If these materials are discovered during construction activities, they should be presumed to contain asbestos and be treated as ACMs or be sampled immediately upon discovery and prior to disturbance for asbestos content by a certified asbestos inspector in accordance with 29 CFR 1926.1101.

4.3 Lead in Paint and Surface Coatings

Paint and surface coatings which contain detectable concentrations of lead are considered LCPs. Since OSHA has no specific action level for lead in paint, all paint on the site found to have a measurable concentration of lead should be assumed to be lead-containing. Work performed which may disturb LCP is regulated under OSHA as referenced under 29 CFR 1926.62. Lead was detected in three of the 14 paint chip samples analyzed. Lead-containing paints identified are summarized in the table below and sample location are located in Appendix III.

Summary LCP Sampling Results

Sample ID	Location	Substrate	Component	Color	Result
L1	Equipment Room	Concrete Masonry Block (CMU)	Walls	Beige	0.011%
L7	Elevator Vessel	Metal	Walls	Grey	0.0073%
L8	First Floor Entrance	Concrete Slab	Floor	White	0.011%

5.0 RECOMMENDATIONS AND REGULATORY REQUIREMENTS

Based on our understanding of the purpose of the Asbestos and Lead Paint Survey, the results of laboratory analysis, and our findings and observations, ECS presents the following recommendations.

5.1 Asbestos-Containing Materials

None of the bulk samples submitted to Scientific Analytical Institute, Inc. were reported to contain detectable concentrations of asbestos. If additional suspect asbestos-containing materials are uncovered which were not accessible during this sampling event, it is recommended that these materials be sampled or tested immediately upon discovery for asbestos content by an asbestos inspector in accordance with 29 CFR 1926.1101.

5.2 Lead in Paint and Surface Coatings

Based on the findings of the lead survey, detectable concentrations of lead were identified on some paints and surface coatings.

The presence of lead is a concern primarily when conditions exist where it may be inhaled or ingested. Regardless of the analytical results of a material, all painted and/or glazed surfaces may still contain concentrations of lead in the paint, which when disturbed, may generate lead dust greater than the Permissible Exposure Limit (PEL) of 50 micrograms per cubic meter (ug/m3) as an 8-hour Time Weighted Average (TWA) established by the OSHA "Lead Exposure in Construction Rule (29 CFR 1926.62)."

The OSHA standard gives no guidance on acceptable levels of lead in paint at which no exposure to airborne lead (above the action level) would be expected. Rather, OSHA defines airborne concentrations, and references specific types of work practices and operations from which a lead hazard may be generated (reference 29 CFR 1926.62, section d). Environmental and personnel monitoring should be conducted during any removal/demolition process (as appropriate) to verify that actual personal exposures are below the PEL of 50 $\mu g/m^3$ as an 8-hour TWA. Under OSHA requirements, the contractor performing renovation work will be required to conduct this monitoring and follow applicable requirements under 29 CFR 1926.62 if disturbing LCP.

6.0 LIMITATIONS

The conclusions and recommendations presented within this report are based upon a reasonable level of assessment within normal bounds and standards of professional practice for a site in this particular geographic setting. ECS is not responsible or liable for the discovery and elimination of hazards that may potentially cause damage, accidents, or injuries.

The observations, conclusions, and recommendations pertaining to environmental conditions at the subject site are necessarily limited to conditions observed, and/or materials reviewed at the time this study was undertaken. No warranty, expressed or implied, is made with regard to the conclusions and recommendations presented within this report. This report is provided for the exclusive use of the client. This report is not intended to be used or relied upon in connection with other projects or by other unidentified third parties without the written consent of ECS and the client.

Our recommendations are in part based on federal, state, and local regulations and guidelines. ECS does not assume the responsibility of the person(s) in charge of the site, or otherwise undertake responsibility for reporting to any local, state, or federal public agencies, any conditions at the site that may present a potential danger to public health, safety, or the environment. Under this scope of

services, ECS assumes no responsibility regarding any response actions initiated as a result of these findings. General compliance with regulations and response actions are the sole responsibility of the Client and should be conducted in accordance with local, state, and/or federal requirements.

Appendix I: Figures

Figure 1

Site Location Map

B4224 Overhaul Elevator
Flight Readiness Center East (FRCE)
MCAS Cherry Point
Havelock, North Carolina
ECS Project No. 49-21850

Source: Google Earth

Figure 2

Sample Locations

B4224 Overhaul Elevator
Flight Readiness Center East (FRCE)
MCAS Cherry Point
Havelock, North Carolina
ECS Project No. 49-21850

LEGEND

XX-XX Sample Location

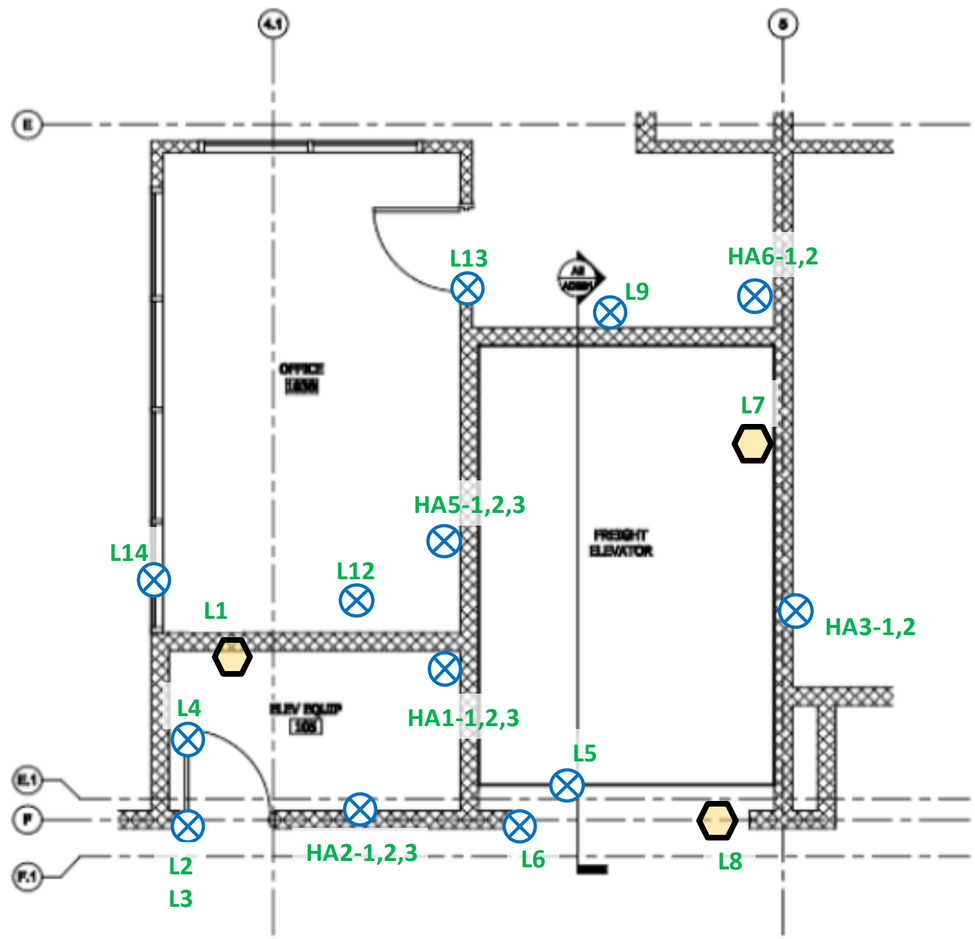
Lead Containing Paint

No Lead or Asbestos Detected

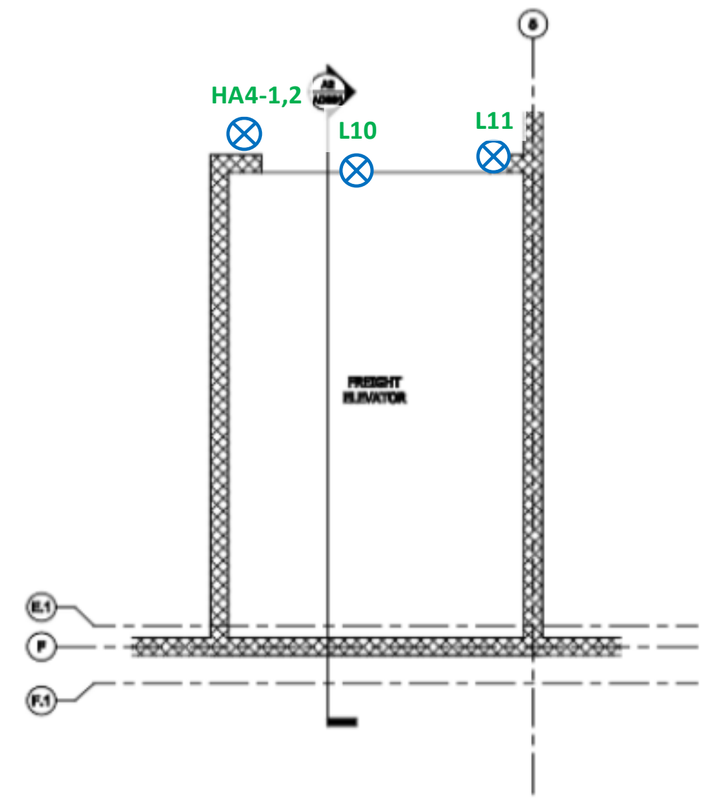
NOTES:

Not to scale

Samples color coded



A2 FIRST FLOOR PLAN DETAIL



A4 SECOND FLOOR PLAN DETAIL

Appendix II: Asbestos Bulk Sample Results

Bulk Asbestos Analysis

By Polarized Light Microscopy
EPA Method: 600/R-93/116 and
40 CFR, Part 763, Subpart E, App.E

NVLAP LAB CODE 200664-0

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson

Project: B4224 Elevator

Lab Order ID: **10040934**
Analysis: PLM
Date Received: 01/12/2024
Date Reported: 01/16/2024

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Asbestos	Fibrous Components	Non-Fibrous Components	Attributes / Treatment
HA1-1	Fireproofing	**None Detected**	**20% Cellulose**	**70% Other** **10% Vermiculite**	Tan, Gold Non-Fibrous Homogeneous
10040934_0001					Crushed
HA1-2	Fireproofing	**None Detected**	**20% Cellulose**	**70% Other** **10% Vermiculite**	Gold, Gray Non-Fibrous Homogeneous
10040934_0002					Crushed
HA1-3	Fireproofing	**None Detected**	**20% Cellulose**	**70% Other** **10% Vermiculite**	Gold, Tan Non-Fibrous Homogeneous
10040934_0003					Crushed
HA2-1	CMU penetration cement	**None Detected**		**100% Other**	Off-white, White Non-Fibrous Homogeneous
10040934_0004					Crushed
HA2-2	CMU penetration cement	**None Detected**		**100% Other**	Off-white, White Non-Fibrous Homogeneous
10040934_0005					Crushed
HA2-3	CMU penetration cement	**None Detected**		**100% Other**	Off-white, White Non-Fibrous Homogeneous
10040934_0006					Crushed
HA3-1 - A	Black covebase + mastic	**None Detected**		**100% Other**	Brown Non-Fibrous Homogeneous
10040934_0007	*covebase*				Ashed
HA3-1 - B	Black covebase + mastic	**None Detected**		**100% Other**	Tan Non-Fibrous Homogeneous
10040934_0016	*mastic*				Dissolved

Disclaimer: Due to the nature of the EPA 600 method, asbestos may not be detected in samples containing low levels of asbestos. We strongly recommend that analysis of floor tiles, vermiculite, and/or heterogenous soil samples be conducted by TEM for confirmation of "None Detected" by PLM. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. This report may not be used by the client to claim product endorsement by NVLAP or any other agency of the U.S. government. Analytical uncertainty available upon request. Scientific Analytical Institute participates in the NVLAP Proficiency Testing program. Unless otherwise noted blank sample correction was not performed. Estimated MDL is 0.1%.

Heather Boykin (19)
Analyst

Approved Signatory

Bulk Asbestos Analysis

By Polarized Light Microscopy
EPA Method: 600/R-93/116 and
40 CFR, Part 763, Subpart E, App.E

NVLAP LAB CODE 200664-0

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson

Project: B4224 Elevator

Lab Order ID: **10040934**
Analysis: PLM
Date Received: 01/12/2024
Date Reported: 01/16/2024

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Asbestos	Fibrous Components	Non-Fibrous Components	Attributes / Treatment
HA3-2 - A	Black covebase + mastic	**None Detected**		**100% Other**	Brown Non-Fibrous Homogeneous
10040934_0008	*covebase*				Ashed
HA3-2 - B	Black covebase + mastic	**None Detected**		**100% Other**	Tan Non-Fibrous Homogeneous
10040934_0017	*mastic*				Dissolved
HA4-1	2x2 ceiling tile	**None Detected**	**40% Fiber Glass** **40% Cellulose**	**10% Other** **10% Perlite**	Gray, White Fibrous Heterogeneous
10040934_0009					Teased
HA4-2	2x2 ceiling tile	**None Detected**	**40% Fiber Glass** **40% Cellulose**	**10% Other** **10% Perlite**	Gray, White Fibrous Heterogeneous
10040934_0010					Teased
HA5-1	Fireproofing	**None Detected**	**20% Cellulose**	**70% Other** **10% Vermiculite**	Tan, Gold Non-Fibrous Homogeneous
10040934_0011					Crushed
HA5-2	Fireproofing	**None Detected**	**20% Cellulose**	**70% Other** **10% Vermiculite**	Gold, Tan Non-Fibrous Homogeneous
10040934_0012					Crushed
HA5-3	Fireproofing	**None Detected**		**100% Other**	Gray Non-Fibrous Homogeneous
10040934_0013					Dissolved
HA6-1 - A	White/beige 12" VCT + mastic	**None Detected**	**10% Cellulose**	**90% Other**	Black Non-Fibrous Homogeneous
10040934_0014	*tile*				Dissolved

Disclaimer: Due to the nature of the EPA 600 method, asbestos may not be detected in samples containing low levels of asbestos. We strongly recommend that analysis of floor tiles, vermiculite, and/or heterogenous soil samples be conducted by TEM for confirmation of "None Detected" by PLM. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. This report may not be used by the client to claim product endorsement by NVLAP or any other agency of the U.S. government. Analytical uncertainty available upon request. Scientific Analytical Institute participates in the NVLAP Proficiency Testing program. Unless otherwise noted blank sample correction was not performed. Estimated MDL is 0.1%.

Heather Boykin (19)
Analyst

Approved Signatory

Bulk Asbestos Analysis

By Polarized Light Microscopy
EPA Method: 600/R-93/116 and
40 CFR, Part 763, Subpart E, App.E

NVLAP LAB CODE 200664-0

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson

Project: B4224 Elevator

Lab Order ID: **10040934**
Analysis: PLM
Date Received: 01/12/2024
Date Reported: 01/16/2024

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Asbestos	Fibrous Components	Non-Fibrous Components	Attributes / Treatment
HA6-1 - B	White/beige 12" VCT + mastic	**None Detected**	**10% Cellulose**	**90% Other**	Black Non-Fibrous Homogeneous
10040934_0018	*mastic*				Dissolved
HA6-2 - A	White/beige 12" VCT + mastic	**None Detected**		**100% Other**	Gray Non-Fibrous Homogeneous
10040934_0015	*tile*				Dissolved
HA6-2 - B	White/beige 12" VCT + mastic	**None Detected**	**10% Cellulose**	**90% Other**	Black Non-Fibrous Homogeneous
10040934_0019	*mastic*				Dissolved

Disclaimer: Due to the nature of the EPA 600 method, asbestos may not be detected in samples containing low levels of asbestos. We strongly recommend that analysis of floor tiles, vermiculite, and/or heterogenous soil samples be conducted by TEM for confirmation of "None Detected" by PLM. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. This report may not be used by the client to claim product endorsement by NVLAP or any other agency of the U.S. government. Analytical uncertainty available upon request. Scientific Analytical Institute participates in the NVLAP Proficiency Testing program. Unless otherwise noted blank sample correction was not performed. Estimated MDL is 0.1%.

Heather Boykin (19)
Analyst

Approved Signatory

Scientific Analytical Institute
4604 Dundas Dr. Greensboro, NC 27407
Phone: 336.292.3888 Fax: 336.292.3313
www.sailab.com lab@sailab.com

Lab Use Only
Lab Order ID: 10040934
Client Code: _____

Company Contact Information

Company: ECS Southeast, LLP	Contact: Braxton Dawson
Address: 6714 Netherlands Drive	Phone ☒: 910-899-1289
Wilmington, NC 28405	Fax ☐:
	Email ☒:
	bdawson@ecslimited.com

Billing/Invoice Information

Company:	
Contact:	
Address:	

Turn Around Times

90 Min. ☐	48 Hours ☐
3 Hours ☐	72 Hours ☒
6 Hours ☐	96 Hours ☐
12 Hours ☐	120 Hours ☐
24 Hours ☐	144+Hours ☐

PO Number: 49-21850
Project Name/Number: B4224 Elevator

Asbestos Test Types

PLM EPA 600/R-93/116 (PLM)	☒
Positive stop	☒
PLM Point Count 400 (PT4)	☐
PLM Point Count 1000 (PTM)	☐
PCM NIOSH 7400-A Rules (PCM)	☐
B Rules (PCB) ☐ TWA (PTA)	☐
TEM AHERA (AHE)	☐
TEM Level II (LII)	☐
TEM NIOSH 7402 (TNI)	☐
TEM Bulk Qualitative (TBL)	☐
TEM Bulk Chatfield (TBS)	☐
TEM Bulk Quantitative (TBQ)	☐
TEM Wipe ASTM D6480-05	☐
TEM Microvac ASTM D5755-02	☐
TEM Water EPA 100.2 (TW1)	☐
Other: _____	☐

Sample ID #		Volume/Area	Comments
HA1-1,2,3	Fireproofing		
HA2-1,2,3	CMU Penetration Cement		
HA3-1,2	Black Cove Base + Mastic		
HA4-1,2	2x2 Ceiling Tile		
HA5-1,2,3	Fireproofing		
HA6-1,2	White/Beige 12" VCT + Mastic		

Accepted ☑
Rejected ☐

Total # of Samples 15

Relinquished by	Date/Time	Received by	Date/Time
[signature]	1/11/24 6:00pm	[signature]	1/12
			6:30

A-F-017 EXP: 12-1-13

Appendix III: Lead Laboratory Analytical Results

Analysis for Lead Concentration in Paint Chips

by Flame Atomic Absorption Spectroscopy
EPA SW-846 3050B/6010C/7000B

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson

Project: B4224 Elevator

Lab Order ID: **10040929**
Analysis: PBP
Date Received: 01/12/2024
Date Reported: 01/17/2024

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Mass (g)	Concentration (ppm)	Concentration (% by weight)
L1 / *10040929_0001*	**Beige CMU walls**	0.0638	110	**0.011%**
L2 / *10040929_0002*	**Lt. grey metal door frame**	0.0521	<77	**<0.0077%**
L3 / *10040929_0003*	**Dark grey metal door frame**	0.0582	<69	**<0.0069%**
L4 / *10040929_0004*	**Lt. grey metal door**	0.0770	<52	**<0.0052%**
L5 / *10040929_0005*	**White metal elevator door**	0.0590	<68	**<0.0068%**
L6 / *10040929_0006*	**Grey metal elevator door frame**	0.0769	<52	**<0.0052%**
L7 / *10040929_0007*	**Grey elevator walls - metal**	0.0646	73	**0.0073%**
L8 / *10040929_0008*	**White concrete floor coating**	0.1291	110	**0.011%**

Disclaimer: Unless otherwise noted blank sample correction was not performed on analytical results. Scientific Analytical Institute participates in the AIHA ELPAT program. ELPAT Laboratory ID: 173190. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. Analytical uncertainty available upon request. The quality control samples run with the samples in this report have passed all EPA required specifications unless otherwise noted. RL: (Report Limit for an undiluted 50ml sample is 4µg Total Pb).

Matthew Caffey (14)
Analyst

Approved Signatory

Analysis for Lead Concentration in Paint Chips

by Flame Atomic Absorption Spectroscopy
EPA SW-846 3050B/6010C/7000B

Customer: ECS Southeast, LLP
6714 Netherlands Dr
Wilmington, NC 28405

Attn: Braxton Dawson

Project: B4224 Elevator

Lab Order ID: **10040929**
Analysis: PBP
Date Received: 01/12/2024
Date Reported: 01/17/2024

Sample ID / *Lab Sample ID*	Description / *Lab Notes*	Mass (g)	Concentration (ppm)	Concentration (% by weight)
L9 / *10040929_0009*	**White/beige CMU walls**	0.1070	<37	**<0.0037%**
L10 / *10040929_0010*	**Grey metal elevator doors**	0.0798	<50.	**<0.0050%**
L11 / *10040929_0011*	**Grey metal elevator door frame**	0.0757	<53	**<0.0053%**
L12 / *10040929_0012*	**Red metal sprinkler pipe**	0.0848	<47	**<0.0047%**
L13 / *10040929_0013*	**White metal door frame**	0.0654	<61	**<0.0061%**
L14 / *10040929_0014*	**Grey metal window frames**	0.0758	<53	**<0.0053%**

Disclaimer: Unless otherwise noted blank sample correction was not performed on analytical results. Scientific Analytical Institute participates in the AIHA ELPAT program. ELPAT Laboratory ID: 173190. This report relates only to the samples tested and may not be reproduced, except in full, without the written approval of SAI. Analytical uncertainty available upon request. The quality control samples run with the samples in this report have passed all EPA required specifications unless otherwise noted. RL: (Report Limit for an undiluted 50ml sample is 4µg Total Pb).

Matthew Caffey (14)
Analyst

Approved Signatory

Scientific Analytical Institute
4604 Dundas Dr. Greensboro, NC 27407
Phone: 336.292.3888 Fax: 336.292.3313
www.sailab.com lab@sailab.com

Lab Use Only
Lab Order ID: 10040929
Client Code: _____

Contact Information

Company Name: ECS Southeast, LLP
Address: 6714 Netherlands Dr
Wilmington, NC 28405

Contact: Braxton Dawson
Phone ☒: 910-849-1259
Fax ☐:
Email ☒: bdawson@ecslimited.com
PO Number: 49-21850
Project Name/Number: B4224 Elevator

Billing/Invoice Information

Company:
Address:

Contact:
Phone ☐:
Fax ☐:
Email ☐:

Lead Test Types

Paint Chips by Flame AA (PBP) ☒	Soil by Flame AA (PBS) ☐	Other ☐
Wipe by Flame AA (PBW) ☐	Air by Flame AA (PBA) ☐	

Turn Around Times

3 Hours	☐	72 Hours	☒
6 Hours	☐	96 Hours	☐
12 Hours	☐	120 Hours	☐
24 Hours	☐	144+ Hours	☐
48 Hours	☐		

Sample ID #	Description/Location	Volume/Area	Comments
L1	Beige CMU Walls		
L2	Lt. Grey Metal Door Frame		
L3	Dark Grey Metal Door Frame		
L4	Lt. Grey Metal Door		
L5	White Metal Elevator Door		1st Fl.
L6	Grey Metal Elevator Door Frame		"
L7	Grey Elevator Walls - Metal		
L8	White Concrete Floor Coating		
L9	White/Beige CMU Walls		
L10	Grey Metal Elevator Doors		2nd Fl.
L11	Grey Metal Elevator Door Frame		"
L12	Red Metal Sprinkler Pipe		
L13	White Metal Door Frame		
L14	Grey Metal Window Frames		
		Accepted ☐	
		Rejected ☐	

Total Number of Samples 14

Relinquished by	Date/Time	Received by	Date/Time
[signature]	1/11/24 6:00pm	[signature]	1/12 10:20

Appendix IV: Certifications/ Licenses

Braxton B Dawson III
6213 Dominion Dr
Wilmington, NC 28403

139663

North Carolina
Asbestos Accreditation

EXPIRATION			
02-29-2024			
DOB	SEX	HT	WT
04-06-1982	M	6'2"	295
CLASS		#	EXP
AIR MONITOR		80961	02-24
INSPECTOR		12830	02-24

Appendix V: Logo Graphics

FLEET READINESS CENTER
EAST
FRC
EFFICIENS • AGILIS • VELOX
CAPT M.E. NIETO
COMMANDING OFFICER

1 - FRCE

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SECTION 01 91 00.15

BUILDING COMMISSIONING
05/23, CHG 2: 11/23

PART 1 GENERAL

Building Commissioning is a systematic, quality-focused process for enhancing the delivery of a project that focuses on verifying and documenting that all of the commissioned systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the project requirements. The purpose is to reduce the cost and performance risks associated with delivering facilities projects, and to increase value to owners, occupants, and users.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 90.1 - IP	(2019) Energy Standard for Buildings Except Low-Rise Residential Buildings
ASHRAE 202	(2018) Commissioning Process for Buildings and Systems

ASSOCIATED AIR BALANCE COUNCIL (AABC)

ACG Commissioning Guideline	(2005) Commissioning Guideline

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB S1110	(2019) Whole Building Technical Commissioning of New Construction; 2nd Edition

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 014	(2013) HVAC Systems Commissioning Manual, 2nd Edition

1.2 DEFINITIONS

Commissioning Process (Cx) - a quality-focused process for enhancing the delivery of a project. Refer to ASHRAE 202 for a comprehensive description of the commissioning process.

Commissioning Provider (CxC) - The entity who leads, plans, and coordinates the Commissioning Team. The terms Commissioning Provider, Commissioning Firm, Lead Commissioning Specialist, Commissioning Specialist, and Commissioning Authority (CA or CxA) when used by sustainable Third Party Certification (TPC) programs, are interchangeable.

Commissioning Authority – The Government retains the authority for oversight and assurance of the entire commissioning process, and final approval of all commissioning deliverables.

Government Acceptance Testing Representatives - Government Acceptance Testing Representatives perform the inherently Governmental function of technical oversight and quality assurance for critical systems, and is distinctly separate from the commissioning process. Government Acceptance Testing Representatives witness final testing of critical systems and report systems' acceptance to the COR. Submittals to be surveilled and approved by Government Acceptance Testing Representatives are identified in Section 01 33 00 SUBMITTAL PROCEDURES. Testing required to be witnessed by Government Acceptance Testing Representatives are identified in system level sections.

1.3 COMMUNICATION WITH THE GOVERNMENT

The Lead Commissioning Specialist (CxC) must submit all plans, schedules, reports, and documentation directly to the Contracting Officer's Representative concurrent with submission to the QC Manager.

The Lead Commissioning Specialist must have direct communication with the Contracting Officer's Representative regarding all elements of the commissioning process; however, the Government has no direct contract authority with the Lead Commissioning Specialist.

1.4 COMMUNICATION WITH GOVERNMENT ACCEPTANCE TESTING REPRESENTATIVES

The QC Manager must communicate directly with the Government Acceptance Testing Representatives and Contracting Officer's Representative regarding Government acceptance testing activities. Inform the Contracting Officer's Representative when systems are ready for testing to be witnessed by Government Acceptance Testing Representatives, and allow access to the construction site and system(s) to be tested.

1.5 SYSTEMS TO BE COMMISSIONED

Coordinate commissioning and quality control activities for the following systems, equipment, and associated controls. System-specific requirements are located in the associated specification sections. Commission the following systems, equipment, and associated controls in accordance with this section and the inspection, testing, and quality control requirements of their respective sections:

Hydraulic Freight Elevators

1.6 RELATED SECTIONS

Refer to the following technical sections for additional commissioning requirements for respective systems:

14 24 13 HYDRAULIC FREIGHT ELEVATORS

1.7 COMMISSIONING TEAM

The Commissioning team will include, but is not limited to the following team members.

Ensure all Construction Activities for systems to be commissioned are

coordinated with the appropriate commissioning team members.

a. Commissioning Provider

b. Quality Control Manager (QCM)

c. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned

d. Construction Manager (CM)

e. Technical Commissioning Specialists for each system to be commissioned

f. TAB Representative

g. Equipment manufacturer representatives

h. Government Contracting Officer

i. Government Representatives

j. Installation Maintenance Representative

k. Facility End User

m. Government Acceptance Testing Representatives

1.8 PROJECT SCHEDULE

Include the following tasks in the project schedule required by Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES and in the construction phase commissioning plan. Ensure sufficient time is scheduled to complete each item. The order of items listed below is not intended to imply a specified sequence:

a. Submission and approval of the Commissioning Firm Qualifications

d. Submission and approval of the Interim and Final Construction Phase Commissioning Plans

e. Commissioning Kickoff Meeting

f. Regular Commissioning Coordination Meetings

g. Installation of permanent utilities (gas, water, electric, steam)

j. Manufacturer's Equipment Start-Up for each of the systems to be commissioned

k. Submission and approval of the Completed Commissioning Observation Checklists

l. Submission and approval of Certificate of Readiness for each system to be commissioned

m. Commissioning Testing, including Functional Performance Testing, for each system to be commissioned

o. Post-test deficiency correction for each system to be commissioned

p. Re-Testing

s. Training for each of the systems to be commissioned

t. Submission and approval of the Initial and Final Commissioning Reports

u. Final testing required to be witnessed by Government Acceptance Testing Representatives, as identified in system level sections.

1.9 SUBMITTALS

Government approval is required for all submittals Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Completed Construction Observation Checklists

Final Construction Phase Commissioning Plan

SD-07 Certificates

Commissioning Firm

SD-11 Closeout Submittals

Final Commissioning Report

1.10 COMMISSIONING FIRM

Employ the services of a Commissioning Firm and all Commissioning Specialists required to perform work for this project. The Commissioning Firm must be a first-tier subcontractor that is financially and corporately independent from prime contractor and all other subcontractors and the Designer of Record and that is not participating in any other work on this Contract, including design, furnishing equipment, construction, or testing, adjusting, and balancing.

a. Submit the Commissioning Firm's and Commissioning Specialists' qualifications, including the name of the firm and each CxC and each certification, no later than 30 calendar days after contract award.

b. If, for any reason, a specialist loses a certification during this period, immediately notify the Contracting Officer and submit another Commissioning Specialist for approval. An approved successor must validate all work performed for this project by the CxC who lost a certification.

1.10.1 Commissioning Specialists (CxC)

Assign Lead Commissioning Specialist and other appropriate Commissioning Specialists for the systems to be commissioned.

1.10.1.1 Lead Commissioning Specialist (CxC)

Lead Commissioning Specialist (CxC) coordinates all aspects of the

commissioning process. Duties include leading and overseeing the commissioning work and acting as the primary point of contact for the commissioning work. CxC may serve as a systems Specialist if all requirements for both designations are met. CxC must have a minimum of five years of commissioning experience, including two projects of similar size and complexity to this project.

CxC must be certified in one of the following:

NEBB Building System Commissioning Professional (CxCP)

ACG Certified Commissioning Authority (CxA)

ICB/TABB Certified Commissioning Supervisor

BCA Certified Commissioning Professional (CCP)

AEE Certified Building Commissioning Professional (CBCP)

University of Wisconsin-Madison Qualified Commissioning Process Provider (QCxP)

ASHRAE Building Commissioning Professional (BCxP).

1.11 COMMISSIONING STANDARD

Comply with the requirements of the commissioning standard under which the Commissioning Firm and Specialists qualifications are approved. When the firm and specialists are certified by BCA, AEE, ASHRAE, or the University of Wisconsin-Madison, comply with the requirements of one of these acceptable standards: ACG Commissioning Guideline, NEBB S1110, ANSI/SMACNA 014, or ASHRAE 202. In a conflict, the most stringent requirements apply. In addition, comply with ASHRAE 90.1 - IP commissioning requirements for all systems. Refer to related technical commissioning specification sections for additional standards requirements. The following requirements apply to all project commissioning and test standards:

a. Implement all recommendations and suggested practices contained in the Commissioning Standard and test standards.

b. Use the Commissioning Standard for all aspects of Commissioning, including calibration of instruments.

c. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the Commissioning Standard, adhere to the manufacturer calibration recommendations.

d. All quality assurance provisions of the Commissioning Standard such as performance guarantees are part of this contract.

f. Use any new requirements, recommendations, and procedures published or adopted by the body responsible for the applicable Commissioning Standards at the time of project award.

g. If there is a conflict between the requirements of the contract documents and the commissioning standard used, the contract documents take precedent.

1.12 CERTIFICATE OF READINESS

Prior to scheduling Commissioning Tests for each system, the Quality Control Manager must issue a Certificate of Readiness for each system, certifying that inspections have been completed, open issues have been resolved, and the system is ready for Commissioning Tests. Refer to each related technical commissioning specification section for additional requirements.

Submit the Certificate of Readiness for each system 20 working days prior to Commissioning Tests of that system. Do not schedule Commissioning Tests for a system until the Certificate of Readiness is approved by the Government.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 CONSTRUCTION SUBMITTAL REVIEWS

Coordinate construction submittal document reviews for commissioned systems and assemblies with the CxC. The commissioning submittal review does not replace the Government submittal review, in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

The CxC must identify construction submittals to be provided by the contractor for the commissioned systems. The CxC must evaluate construction submittals for compliance with the contract documents. Include a copy of the submittal document review transmittal and response in the Commissioning Report.

3.2 COMMISSIONING KICKOFF MEETING

Conduct a Commissioning Kickoff Meeting, led by the CxC, after approval of the Commissioning Firm and Commissioning Specialists, and no later than 60 days following construction notice to proceed. Discuss the commissioning process including contract requirements, lines of communication, roles and responsibilities, schedules, documentation requirements, inspection and test procedures, and logistics as specified in this section.

The Construction Manager, Quality Control team, Designer of Record, and the Government Acceptance Testing Representatives and other Government team members must attend this meeting. Invite the User and a Public Works Division Representative to attend this meeting.

3.3 REGULAR COMMISSIONING COORDINATION MEETINGS

The Quality Control team, Designer of Record, and the Government Acceptance Testing Representatives and other Government team members must attend this meeting. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned must attend this meeting as requested by the CxC. Invite the User and a Public Works Division Representative to attend this meeting.

CxC must conduct monthly commissioning coordination meetings when installation of commissioned systems begins. Provide status of commissioned systems, open issues log items, outstanding submittals, and

upcoming commissioning activities. Conduct bi-weekly commissioning coordination meetings within 30 days of the scheduled date for commissioning testing.

3.4 CONSTRUCTION PHASE COMMISSIONING PLANS

The Final Construction Phase Commissioning Plan includes the information provided in the Interim Construction Phase Commissioning Plan as well as commissioning construction observation checklists and test procedures such as Pre-Functional Checklists and Functional Performance Test Checklists and other Commissioning Test Checklists for each building, for each system required to be commissioned, and for each component for inclusion in the Final Construction Phase Commissioning Plan. Refer to the related technical commissioning specification section for additional requirements for checklists. Submit the Final Construction Phase Commissioning Plan, prepared by the CxC, no later than 90 calendar days prior to the start of Commissioning Inspections.

3.4.1 Construction Observation Checklists

Construction Observation Checklists must include items for physical inspection or testing that demonstrate that installation and start-up of equipment and systems is complete. Refer to paragraph COMMISSIONING INSPECTIONS. Construction observation checklists must be tailored to verify the specific installation requirements and details of the construction documents and manufacturer's instructions.

3.4.2 Test Procedures and Checklists

Test procedures and checklists must include procedures that explain, step-by-step, the actions and expected results that will demonstrate that the systems perform in accordance with the contract. Refer to paragraph COMMISSIONING TESTS. Include the following sections and details appropriate to the systems being tested in the test procedures and checklists:

a. Notable system features including information about controls to facilitate understanding of system operation

b. Conclusions and recommendations. Conclusions must clearly indicate if system does or does not perform in accordance with contract requirements. Recommendation must clearly indicate that the system should or should not be approved by the Government.

c. Test conditions including date, beginning and ending time, and beginning and ending outdoor air conditions

d. Attendees

e. Identification of the equipment involved in the test

f. Control system feature identification

g. As-found condition of the system operation

h. List of test items with step numbers along with the corresponding feature or operation, intended test procedure, expected system response, and pass/fail indication.

i. Space for comments for each test item.

3.5 COMMISSIONING INSPECTIONS

Complete inspections using Construction Observation Checklists for each individual item of equipment or system for each system required to be commissioned in accordance with the commissioning plan. Indicate commissioning team member inspection and validation of each checklist item by initials. Validation of each checklist item by each team member indicates that item conforms to the contract documents and design in their area of responsibility. Commissioning Specialist validation of each checklist item indicates that each item has been installed correctly and in accordance with contract documents. Submit the initialed and Completed Construction Observation Checklists no later than 7 calendar days after completion of inspection of all checklists items for each system.

3.6 COMMISSIONING TESTS

Demonstrate that all systems, equipment, and components have been installed correctly and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents. Perform tests as specified in related technical commissioning specifications. Provide all materials, services, and labor required to perform all commissioning tests.

Commissioning Specialist's duties include leading and documenting all tests for the systems to be commissioned with appropriate sub-contractors performing the Tests. The representatives listed in the paragraph COMMISSIONING TEAM must attend the tests with the exception of the Construction Manager.

3.6.1 Test Scheduling and Coordination

Schedule Commissioning Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Commissioning tests.

Commissioning Tests must be performed with the CxC present. Government reserves the right to witness all tests. Coordinate test schedule with Government representatives.

3.6.2 Testing Procedures

Commissioning tests include tests such as functional performance. Perform test procedures in accordance with the commissioning standards specified. In addition, comply with the testing procedures specified in the sections listed in paragraph RELATED SECTIONS.

3.6.3 Aborted Tests and Re-Testing

Abort any test if any deficiency prevents successful completion of the test or if any required commissioning team member is not present for the test. Re-test after all deficiencies identified during the original test have been corrected. Contracting Officer may withhold payment equivalent to lost time, re-testing, and aborted tests. These costs may include salary, travel costs, and per diem for Government team members.

3.7 TRAINING PLAN

CxC must review the training plan for training associated with the equipment and systems to be commissioned, checking that each plan has the trainer name, trainer contract information, training schedule and location. Submit review at least 30 days prior to the first training event. Incorporate CxC review comments prior to submitting training plan in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Update and resubmit the training plan based on any corrective action taken.

3.8 COMMISSIONING REPORT

Submit a Final Commissioning Report upon completion of training and trend log reviews. Include the following information in the Final Commissioning Report:

a. An executive summary describing the overall commissioning process, the results of the commissioning process, outstanding deficiencies and recommended resolutions, and seasonal testing that must be scheduled for a later date. Indicate, in the executive summary, whether the systems meet the requirements of the contract documents.

b. A list of deficiencies discovered during the commissioning process and the corrective actions taken in the report.

c. Completed Pre-Functional Checklists and other Commissioning Observation Checklists, Commissioning Test Checklists such as Functional Performance Test Checklists the Final Construction Phase Commissioning Plan, the Issues Log, Training Attendance Rosters, the Design Review Reports, Submittal Review Report, and any other documents as specified by related technical commissioning specification sections.

-- End of Section --

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SECTION 02 41 00

DECONSTRUCTION
08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.6	(2006) Safety & Health Program Requirements for Demolition Operations - American National Standard for Construction and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous Air Pollutants

1.2 PROJECT DESCRIPTION

1.2.1 Definitions

1.2.1.1 Demolition

Demolition is the process of tearing apart and removing any feature of a facility together with any related handling and disposal operations.

1.2.1.2 Deconstruction

Deconstruction is the process of taking apart a facility with the primary goal of preserving the value of all useful building materials.

1.2.1.3 Demolition Plan

Demolition Plan is the planned steps and processes for managing demolition activities and identifying the required sequencing activities and disposal mechanisms.

1.2.1.4 Deconstruction Plan

Deconstruction Plan is the planned steps and processes for dismantling all or portions of a structure or assembly, to include managing sequencing activities, storage, re-installation activities, salvage and disposal mechanisms. Deconstruction, within the Instruments of Service context, is deliberate and controlled material removal to accommodate successive tradework. Perform deconstruction activities to disassemble constructions

encountered or penetrate installed tradework.

1.2.2 Deconstruction Plan

Prepare a Deconstruction Plan and submit proposed salvage, deconstruction, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a disconnection schedule of utility services, a detailed description of methods and equipment to be used for each operation and of the sequence of operations. Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed. Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use. Coordinate with Waste Management Plan in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Provide procedures for safe conduct of the work in accordance with EM 385-1-1. Plan must be approved by Contracting Officer prior to work beginning.

1.2.3 General Requirements

Do not begin deconstruction until authorization is received from the Contracting Officer. The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials. Remove rubbish and debris from the project site; do not allow accumulations inside or outside the building. The work includes deconstruction, salvage of identified items and materials, and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Comply with FAR 52.236-9 to protect existing vegetation, structures, equipment, utilities, and improvements. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, or deconstruction work performed under this contract. Do not overload structural elements. Provide new supports and reinforcement for existing construction weakened by deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove dust, dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and

materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the Government and disconnected and sealed by the Contractor.

1.3.4 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, or deconstruction work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.

1.5 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Deconstruction Plan

Existing Conditions

SD-07 Certificates

Notification

1.6 QUALITY ASSURANCE

Submit timely notification of deconstruction projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSP A10.6. Comply with the Environmental Protection Agency requirements specified.

1.6.1 Dust Control

Prevent the spread of dust to occupied portions of the building and avoid the creation of a nuisance in the surrounding area. Vacuum and dust the work area daily.

1.7 PROTECTION

1.7.1 Protection of Personnel

Before, during and after the deconstruction work continuously evaluate the condition of the work and take immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.8 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs or electronic images with a minimum resolution of 3072 x 2304 pixels, capable of a print resolution of 300 dpi, will be acceptable as a record of existing conditions. Include in the record possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results to the Contracting Officer.

PART 2 PRODUCTS

Not used.PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing materials onsite for reuse. Disassemble existing construction scheduled to be removed for reuse. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Designate materials for reuse onsite whenever possible.

3.1.1 Utilities and Related Equipment

3.1.1.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin deconstruction work until utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.2 Paving and Slabs

Remove concrete slabs as indicated. Remove pavement and slabs not to be used in this project from the installation at Contractor's expense.

3.1.3 Roofing

Remove existing roof system and associated components in their entirety down to existing roof deck. Sequence work to minimize building exposure between demolition or deconstruction and new roof materials installation.

3.1.3.1 Temporary Roofing

Install temporary roofing and flashing as necessary to maintain a watertight condition throughout the course of the work. Remove temporary work prior to installation of permanent roof system materials unless approved otherwise by the Contracting Officer.

3.1.3.2 Reroofing

When removing the existing roofing system from the roof deck, remove only as much roofing as can be recovered by the end of the work day, unless approved otherwise by the Contracting Officer. Do not attempt to open the roof covering system in threatening weather. Reseal all openings prior to suspension of work the same day.

3.1.4 Masonry

Sawcut and remove masonry so as to prevent damage to surfaces to remain and to facilitate the installation of new work. Where new masonry adjoins existing, abut or tie the new work into the existing construction. Provide square, straight edges and corners where existing masonry adjoins new work and other locations..

Neatly sawcut masonry openings.

Continue the bond of adjoining walls when performing masonry infill and repairs. Alternate head joints between courses. Patch masonry holes and smooth uneven surfaces that become exposed. Match the texture of adjacent painted surfaces by applying additional coats of block filler and paint to unpainted concrete masonry units.

Restore wall constructions using masonry shapes matching that adjoining. Install concrete masonry unit lintels with adequate jamb bearing. Create smooth, continuous replacement jambs with double corner concrete masonry units where exposed. Bore holes in hollow metal jambs to anchor frames and solidly grout in place. Repair hollow metal frame holes with smooth, seamless patches. Caulk both sides of frame perimeters and jamb feet for a neat finish.

Repair surfaces that become exposed where selective demolition removes masonry walls flush with masonry to remain. Grind protruding horizontal joint reinforcement below finished surfaces. Repair wall penetrations, patch holes and install concrete masonry unit shells to restore surfaces to smooth substrates. Match the texture of adjacent painted surfaces by applying additional coats of block filler and paint to unpainted concrete masonry units.

3.1.5 Concrete

Saw concrete along straight lines to a depth of a minimum 2 inch.

3.1.6 Miscellaneous Metal

Salvage shop-fabricated items such as access doors and frames, steel gratings and metal ladders. Recycle scrap metal as part of deconstruction operations. Provide separate containers to collect scrap metal and transport to a scrap metal collection or recycling facility, in accordance with the Waste Management Plan.

3.1.7 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Make finished surfaces of patched area flush with the adjacent existing surface and match the existing adjacent surface as closely as possible to texture and finish. Provide patching as specified and indicated, and include the following:

a. Concrete and Masonry: Completely fill holes and depressions, caused by previous physical damage or left as a result of removals in existing masonry walls to remain, with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.

3.1.8 Locksets on Swinging Doors

Remove all locksets from all swinging doors indicated to be removed and disposed of. Deliver the locksets and related items to a designated location for receipt by the Contracting Officer after removal.

3.1.9 Elevators and Hoists

Remove elevators, hoists, and similar conveying equipment and salvage as whole units, to the most practical extent. Remove and prepare items for salvage without damage to any of the various parts. Salvage and store rails for structural steel with the equipment as an integral part of the unit.

3.1.10 Items With Unique/Regulated Disposal Requirements

Remove and dispose of items with unique or regulated disposal requirements in the manner dictated by law or in the most environmentally responsible manner.

3.2 DISPOSITION OF MATERIAL

3.2.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, become the property of the Contractor and must be removed from Government property. Materials approved for storage by the Contracting Officer must be removed before completion of the contract. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer. The Government will not be responsible for the condition or loss of, or damage to, such

property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.2.2 Salvaged Materials and Equipment

Remove materials and equipment that are listed in the Deconstruction Plan and indicated to be removed by the Contractor are to remain the property of the Government, and deliver to a storage site , as directed.

a. Salvage items and material to the maximum extent possible.

b. Store all materials salvaged for the Contractor as approved by the Contracting Officer and remove from Government property before completion of the contract. Coordinate the salvaged materials with tracking requirements in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Capture salvaged materials in the diversion calculations for the project.

c. Remove salvaged items to remain the property of the Government in a manner to prevent damage, and packed or crated to protect the items from damage while in storage or during shipment. Items damaged during removal or storage must be repaired or replaced to match existing items. Properly identify the contents of containers.

3.3 CLEANUP

Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.4 DISPOSAL OF REMOVED MATERIALS

3.4.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified in the Waste Management Plan.

3.4.2 Removal from Government Property

Transport waste materials removed from deconstructed structures from Government property for legal disposal.

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SECTION 02 83 00

LEAD REMEDIATION
11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP Z9.2	(2018) Fundamentals Governing the Design and Operation of Local Exhaust Ventilation Systems

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 701	(2023; ERTA 1 2023) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)

HUD 6780	(1995; Errata Aug 1996;Rev Ch. 7 - 1997) Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926.21	Safety Training and Education
29 CFR 1926.33	Access to Employee Exposure and Medical Records
29 CFR 1926.55	Gases, Vapors, Fumes, Dusts, and Mists
29 CFR 1926.59	Hazard Communication
29 CFR 1926.62	Lead
29 CFR 1926.65	Hazardous Waste Operations and Emergency Response
29 CFR 1926.103	Respiratory Protection
29 CFR 1926.1126	Chromium
29 CFR 1926.1127	Cadmium

40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 178	Specifications for Packagings

U.S. NAVAL FACILITIES ENGINEERING SYSTEMS COMMAND (NAVFAC)

ND OPNAVINST 5100.23	(2005; Rev G) Navy Occupational Safety and Health (NAVOSH) Program Manual

UNDERWRITERS LABORATORIES (UL)

UL 586	(2009; Reprint Sep 2022) UL Standard for Safety High-Efficiency Particulate, Air Filter Units

1.2 DEFINITIONS

1.2.1 Action Level

Employee exposure, without regard to use of respirators, to an airborne concentration of lead of 30 micrograms per cubic meter of air averaged over an 8-hour period.

1.2.2 Area Sampling

Sampling of lead concentrations within the lead control area and inside the physical boundaries which is representative of the airborne lead concentrations but is not collected in the breathing zone of personnel (approximately 5 to 6 feet above the floor).

1.2.3 Cadmium Permissible Exposure Limit (PEL)

1.2.4 Certified Industrial Hygienist (CIH)

As used in this section refers to a person retained by the Contractor who is certified as an industrial hygienist and who is trained in the recognition and control of lead hazards in accordance with current federal, State, and local regulations. CIH must be certified for comprehensive practice by the American Board of Industrial Hygiene. The Certified Industrial Hygienist must be independent of the Contractor and must have no employee or employer relationship which could constitute a conflict of interest.

1.2.5 Competent Person (CP)

As used in this section, refers to a person employed by the Contractor who is trained in the recognition and control of lead hazards in accordance with current federal, State, and local regulations and has the authority to take prompt corrective actions to control the lead hazard. The Contractor may provide more than one CP as required to supervise and monitor the work. The CP must be a Certified Industrial Hygienist (CIH) certified by the American Board of Industrial Hygiene or a Certified Safety Professional (CSP) certified by the Board of Certified Safety Professionals or a licensed lead-based paint abatement Supervisor/Project Designer in the State of North Carolina.

1.2.6 Contaminated Room

Refers to a room for removal of contaminated personal protective equipment (PPE).

1.2.7 Decontamination Shower Facility

That facility that encompasses a clean clothing storage room, and a contaminated clothing storage and disposal rooms, with a shower facility in between.

1.2.8 Deleading

Activities conducted by a person who offers to eliminate lead-based paint or lead-based paint hazards or to plan such activities in commercial buildings, bridges or other structures.

1.2.9 Eight-Hour Time Weighted Average (TWA)

Airborne concentration of lead to which an employee is exposed, averaged over an 8-hour workday as indicated in 29 CFR 1926.62, 29 CFR 1926.1126.

1.2.10 High Efficiency Particulate Air (HEPA) Filter Equipment

HEPA filtered vacuuming equipment with a UL 586 filter system capable of collecting and retaining lead contaminated particulate. A high efficiency particulate filter demonstrates at least 99.97 percent efficiency against 0.3 micron or larger size particles.

1.2.11 Lead

Metallic lead, inorganic lead compounds, and organic lead soaps. Excludes other forms of organic lead compounds.

1.2.12 Lead-Based Paint (LBP)

Paint or other surface coating that contains lead in excess of 1.0 milligrams per centimeter squared or 0.5 percent by weight.

1.2.13 Lead Control Area

A system of control methods to prevent the spread of lead dust, paint chips or debris to adjacent areas that may include temporary containment, floor or ground cover protection, physical boundaries, and warning signs to prevent unauthorized entry of personnel. HEPA filtered local exhaust equipment may be used as engineering controls to further reduce personnel exposures or building/outdoor environmental contamination.

1.2.14 Lead Permissible Exposure Limit (PEL)

Fifty micrograms per cubic meter of air as an 8-hour time weighted average as determined by 29 CFR 1926.62. If an employee is exposed for more than 8-hours in a work day, determine the PEL by the following formula:

PEL (micrograms/cubic meter of air) = 400/No. hrs worked per day

1.2.15 Material Containing Lead/Paint with Lead (MCL/PWL)

Any material, including paint, which contains lead as determined by the testing laboratory using a valid test method. The requirements of this section does not apply if no detectable levels of lead are found using a quantitative method for analyzing paint or MCL using laboratory instruments with specified limits of detection (usually 0.01 percent). An X-Ray Fluorescence (XRF) instrument is not considered a valid test method.

1.2.16 Personal Sampling

Sampling of airborne lead concentrations within the breathing zone of an employee to determine the 8-hour time weighted average concentration in accordance with 29 CFR 1926.62. Samples must be representative of the employees' work tasks. Breathing zone must be considered an area within a hemisphere, forward of the shoulders, with a radius of 6 to 9 inches and centered at the nose or mouth of an employee.

1.2.17 Physical Boundary

Area physically roped or partitioned off around leadcontrol area to limit unauthorized entry of personnel.

1.3 DESCRIPTION

Construction activities impacting PWL or material containing lead, cadmium, chromium which are covered by this specification include the demolition or removal of material containing lead in goodcondition, located on elevator metal walls/ceilings, equipment room CMU walls, and first floor concrete floor coating and as indicated on the drawings. The work covered by this section includes work tasks and the precautions specified in this section for the protection of building occupants and the environment during and after the performance of the hazard abatement activities.

1.3.1 Protection of Existing Areas To Remain

Project work including, but not limited to, lead hazard abatement work, storage, transportation, and disposal must be performed without damaging or contaminating adjacent work and areas. Where such work or areas are damaged or contaminated, restore work and areas to the original condition.

1.3.2 Coordination with Other Work

Coordinate with work being performed in adjacent areas to ensure there are no exposure issues. Explain coordination procedures in the Lead Compliance Plan and describe how the Contractor will prevent lead exposure to other contractors and Government personnel performing work unrelated to lead activities.

1.4 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Competent Person Qualifications

Training Certification

Occupational and Environmental Assessment Data Report

Medical Examinations

Lead Waste Management Plan

Licenses, Permits and Notifications

Occupant Protection Plan

Lead Compliance Plan

Written Evidence of TSD Approval

SD-03 Product Data

Respirators

Vacuum Filters

Negative Air Pressure System

Materials and Equipment

Expendable Supplies

Local Exhaust Equipment

Pressure Differential Automatic Recording Instrument

Pressure Differential Log

SD-06 Test Reports

Occupational and Environmental Assessment Data Report

Sampling Results

Pressure Differential Recordings For Local Exhaust System

SD-07 Certificates

Testing Laboratory

Occupant Notification

Notification of the Commencement of Lead containing Paint Hazard Abatement

Clearance Certification

SD-11 Closeout Submittals

Hazardous Waste Manifest

1.5 QUALITY ASSURANCE

1.5.1 Qualifications

1.5.1.1 Competent Person (CP)

Submit name, address, and telephone number of the CP selected to perform responsibilities specified in paragraph COMPETENT PERSON (CP) RESPONSIBILITIES. Provide documented construction project-related experience with implementation of OSHA's Lead in Construction standard (29 CFR 1926.62) which shows ability to assess occupational and environmental exposure to lead; experience with the use of respirators, personal protective equipment and other exposure reduction methods to protect employee health. Demonstrate a minimum of 3 years experience implementing OSHA's Lead in Construction standard (29 CFR 1926.62). Submit proper documentation that the CP is trained and licensed in accordance with federal, State of North Carolina and local laws. The competent person must be a licensed lead-based paint abatement Supervisor/Project Designer in the State of North Carolina.

1.5.1.2 Training Certification

Submit a certificate for each worker and supervisor, signed and dated by the accredited training provider, stating that the employee has received the required lead training specified in 29 CFR 1926.62 and is certified to perform or supervise deleading, lead removal or demolition activities.

1.5.1.3 Testing Laboratory

Submit the name, address, and telephone number of the testing laboratory selected to perform the air and wipe analysis, testing, and reporting of airborne concentrations of lead. Use a laboratory participating in the EPA National Lead Laboratory Accreditation Program (NLLAP) by being accredited by either the American Association for Laboratory Accreditation (A2LA) or the American Industrial Hygiene Association (AIHA) and that is successfully participating in the Environmental Lead Proficiency Analytical Testing (ELPAT) program to perform sample analysis.

Laboratories selected to perform blood lead analysis must be OSHA approved.

1.5.2 Requirements

1.5.2.1 Competent Person (CP) Responsibilities

a. Verify training meets all federal, State, and local requirements.

b. Review and approve Lead Compliance Plan for conformance to the applicable referenced standards.

c. Continuously inspect LBP/PWL or MCL work for conformance with the approved plan.

d. Perform (or oversee performance of) air sampling. Recommend upgrades or downgrades (whichever is appropriate based on exposure) on the use of PPE (respirators included) and engineering controls.

e. Ensure work is performed in strict accordance with specifications at all times.

f. Control work to prevent hazardous exposure to human beings and to the environment at all times.

g. Supervise final cleaning of the lead control area, take clearance wipe samples if necessary; review clearance sample results and make recommendations for further cleaning.

h. Certify the conditions of the work as called for elsewhere in this specification.

1.5.2.2 Lead Compliance Plan

Submit a detailed job-specific plan of the work procedures to be used in the disturbance of lead, LBP/PWL or MCL. Include in the plan a sketch showing the location, size, and details of lead control areas, critical barriers, physical boundaries, location and details of decontamination facilities, viewing ports, and mechanical ventilation system. Include a description of equipment and materials, work practices, controls and job responsibilities for each activity from which lead is emitted. Include in the plan, eating, drinking, smoking, hygiene facilities and sanitary procedures, interface of trades, sequencing of lead related work, collected waste water and dust containing lead and debris, air sampling, respirators, personal protective equipment, and a detailed description of the method of containment of the operation to ensure that lead is not released outside of the lead control area. Include site preparation, cleanup and clearance procedures. Include occupational and environmental sampling, training and strategy, sampling and analysis strategy and methodology, frequency of sampling, duration of sampling, and qualifications of sampling personnel in the air sampling portion of the plan. Include a description of arrangements made among contractors on multicontractor worksites to inform affected employees and to clarify responsibilities to control exposures.

1.5.2.3 Occupational and Environmental Assessment Data Report

If initial monitoring is necessary, submit occupational and environmental sampling results to the Contracting Officer within three working days of collection, signed by the testing laboratory employee performing the

analysis, the employee that performed the sampling, and the CP.

In order to reduce the full implementation of 29 CFR 1926.62 the Contractor must provide documentation. Submit a report that supports the determination to reduce full implementation of the requirements of 29 CFR 1926.62 and supporting the Lead Compliance Plan.

a. The initial monitoring must represent each job classification, or if working conditions are similar to previous jobs by the same employer, provide previously collected exposure data that can be used to estimate worker exposures per 29 CFR 1926.62. The data must represent the worker's regular daily exposure to lead for stated work.

b. Submit worker exposure data gathered during the task based trigger operations of 29 CFR 1926.62 with a complete process description. This includes manual demolition, manual scraping, manual sanding, heat gun, power tool cleaning, rivet busting, cleanup of dry expendable abrasives, abrasive blast enclosure removal, abrasive blasting, welding, cutting and torch burning where lead containing coatings are present.

c. The initial assessment must determine the requirement for further monitoring and the need to fully implement the control and protective requirements including the lead compliance plan per 29 CFR 1926.62.

1.5.2.4 Medical Examinations

Submit pre-work blood lead levels and post-work blood lead levels for all workers performing lead activities during the execution of the work. Initial medical surveillance as required by 29 CFR 1926.62 must be made available to all employees exposed to lead at any time (one day) above the action level. Full medical surveillance must be made available to all employees on an annual basis who are or may be exposed to lead in excess of the action level for more than 30 days a year or as required by 29 CFR 1926.62. Adequate records must show that employees meet the medical surveillance requirements of 29 CFR 1926.33, 29 CFR 1926.62 and 29 CFR 1926.103. Provide medical surveillance to all personnel exposed to leadas indicated in 29 CFR 1926.62. Maintain complete and accurate medical records of employees for the duration of employment plus 30 years.

1.5.2.5 Training

Train each employee performing work that disturbs lead, who performs LBP/MCL/PWL disposal, and air sampling operations prior to the time of initial job assignment and annually thereafter, in accordance with 29 CFR 1926.21, 29 CFR 1926.62, and local regulations where appropriate.

1.5.2.6 Respiratory Protection Program

a. Provide each employee required to wear a respirator a respirator fit test at the time of initial fitting and at least annually thereafter as required by 29 CFR 1926.62.

b. Establish and implement a respiratory protection program as required by 29 CFR 1926.103, 29 CFR 1926.62 and 29 CFR 1926.55.

1.5.2.7 Hazard Communication Program

Establish and implement a Hazard Communication Program as required by

29 CFR 1926.59.

1.5.2.8 Lead Waste Management

The Lead Waste Management Plan must comply with applicable requirements of federal, State, and local hazardous waste regulations and address:

a. Identification and classification of wastes associated with the work.

b. Estimated quantities of wastes to be generated and disposed of.

c. Names and qualifications of each contractor that will be transporting, storing, treating, and disposing of the wastes. Include the facility location and a 24-hour point of contact. Furnish two copies of USEPA manifests and USEPA Identification numbers, if applicable.

d. Names and qualifications (experience and training) of personnel who will be working on-site with hazardous wastes.

e. List of waste handling equipment to be used in performing the work, to include cleaning, volume reduction, and transport equipment.

f. Spill prevention, containment, and cleanup contingency measures including a health and safety plan to be implemented in accordance with 29 CFR 1926.65.

g. Work plan and schedule for waste containment, removal and disposal. Proper containment of the waste includes using acceptable waste containers (e.g., 55-gallon drums) as well as proper marking/labeling of the containers. Clean up and containerize wastes daily.

h. Include any process that may alter or treat waste rendering a hazardous waste non hazardous.

i. Unit cost for hazardous waste disposal according to this plan.

1.5.2.9 Environmental, Safety and Health Compliance

In addition to the detailed requirements of this specification, comply with laws, ordinances, rules, and regulations of federal, State, and local authorities regarding lead. Comply with the applicable requirements of the current issue of 29 CFR 1926.62, EM 385-1-1, ND OPNAVINST 5100.23. Submit matters regarding interpretation of standards to the Contracting Officer for resolution before starting work. Where specification requirements and the referenced documents vary, the most stringent requirements apply.

1.5.3 Pressure Differential Recordings for Local Exhaust System

1.5.4 Licenses, Permits and Notifications

Certify and submit in writing to the the Contracting Officer at least 10 days prior to the commencement of work that licenses, permits and notifications have been obtained. All associated fees or costs incurred in obtaining the licenses, permits and notifications are included in the contract price.

1.5.5 Occupant Protection Plan

The certified project designer must develop and implement an Occupant Protection Plan describing the measures and management procedures to be taken during lead hazard abatement activities to protect the building occupants/building facilities and the outside environment from exposure to any lead contamination while lead hazard abatement activities are performed.

1.5.6 Pre-Construction Conference

Along with the CP, meet with the Contracting Officer to discuss in detail the Lead Waste Management Plan and the Lead Compliance Plan, including procedures and precautions for the work.

1.6 EQUIPMENT

1.6.1 Respirators

Furnish appropriate respirators approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services, for use in atmospheres containing lead dust, fume and mist. Respirators must comply with the requirements of 29 CFR 1926.62.

1.6.2 Special Protective Clothing

Personnel exposed to lead contaminated dust must wear proper disposable protective whole body clothing, head covering, gloves, eye, and foot coverings as required by 29 CFR 1926.62. Furnish proper disposable plastic or rubber gloves to protect hands. Reduce the level of protection only after obtaining approval from the CP.

1.6.3 Rental Equipment Notification

If rental equipment is to be used during PWL or MCL handling and disposal, notify the rental agency in writing concerning the intended use of the equipment.

1.6.4 Vacuum Filters

UL 586 labeled HEPA filters.

1.6.5 Equipment for Government Personnel

Furnish the Contracting Officer with two complete sets of personal protective equipment (PPE) daily, as required herein, for entry into and inspection of the lead removal work within the lead controlled area. Personal protective equipment must include disposable whole body covering, including appropriate foot, head, eye, and hand protection. PPE remains the property of the Contractor. The Government will provide respiratory protection for the Contracting Officer.

1.6.6 Abrasive Removal Equipment

The use of powered machine for vibrating, sanding, grinding, or abrasive blasting is prohibited unless equipped with local exhaust ventilation systems equipped with high efficiency particulate air (HEPA) filters.

1.6.7 Negative Air Pressure System

1.6.7.1 Minimum Requirements

Do not proceed with work in the area until containment is set up and HEPA filtration systems are in place. The negative air pressure system must meet the requirements of ASSP Z9.2 including approved HEPA filters in accordance with UL 586. Negative air pressure equipment must be equipped with new HEPA filters, and be sufficient to maintain a minimum pressure differential of minus 0.02 inch of water column relative to adjacent, unsealed areas. Negative air pressure system minimum requirements are listed as follows:

a. The unit must be capable of delivering its rated volume of air with a clean first stage filter, an intermediate filter and a primary HEPA filter in place.

b. The HEPA filter must be certified as being capable of trapping and retaining mono-disperse particles as small as 0.3 micrometers at a minimum efficiency of 99.97 percent.

c. The unit must be capable of continuing to deliver no less than 70 percent of rated capacity when the HEPA filter is 70 percent full or measures 2.5 inches of water static pressure differential on a magnehelic gauge.

d. Equip the unit with a manometer-type negative pressure differential monitor with minor scale division of 0.02 inch of water and accuracy within plus or minus 1.0 percent. The manometer must be calibrated daily as recommended by the manufacturer.

e. Equip the unit with a means for the operator to easily interpret the readings in terms of the volumetric flow rate of air per minute moving through the machine at any given moment.

f. Equip the unit with an electronic mechanism that automatically shuts the machine off in the event of a filter breach or absence of a filter.

g. Equip the unit with an audible horn that sounds an alarm when the machine has shut itself off.

h. Equip the unit with an automatic safety mechanism that prevents a worker from improperly inserting the main HEPA filter.

1.6.7.2 Auxiliary Generator

Provide an auxiliary generator with capacity to power a minimum of 50 percent of the negative air machines at any time during the work. When power fails, the generator controls must automatically start the generator and switch the negative air pressure system machines to generator power. The generator must not present a carbon monoxide hazard to workers.

1.6.8 Vacuum Systems

Vacuum systems must be suitably sized for the project, and filters must be capable of trapping and retaining all mono-disperse particles as small as 0.3 micrometers (mean aerodynamic diameter) at a minimum efficiency of 99.97 percent. Properly dispose of used filters that are being replaced.

1.6.9 Heat Blower Guns

Heat blower guns must be flameless, electrical, paint-softener type with controls to limit temperature to 1,100 degrees F. Heat blower must be (grounded) 120 volts ac, and must be equipped with cone, fan, glass protector and spoon reflector nozzles.

1.7 PROJECT/SITE CONDITIONS

1.7.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent areas. Where existing work is damaged or contaminated, restore work to its original condition or better as determined by the Contracting Officer.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Keep materials and equipment needed to complete the project available and on the site. Submit a description of the materials and equipment required; including Safety Data Sheets (SDSs) for material brought onsite to perform the work.

2.1.1 Expendable Supplies

Submit a description of the expendable supplies required.

2.1.1.1 Polyethylene Bags

Disposable bags must be polyethylene plastic and be a minimum of 6 mils thick (4 mils thick if double bags are used) or any other thick plastic material shown to demonstrate at least equivalent performance; and capable of being made leak-tight. Leak-tight means that solids, liquids or dust cannot escape or spill out.

2.1.1.2 Polyethylene Leak-tight Wrapping

Wrapping used to wrap lead contaminated debris must be polyethylene plastic that is a minimum of 6 mils thick or any other thick plastic material shown to demonstrate at least equivalent performance.

2.1.1.3 Polyethylene Sheeting

Sheeting must be polyethylene plastic with a minimum thickness of 6 mil, or any other thick plastic material shown to demonstrate at least equivalent performance; and be provided in the largest sheet size reasonably accommodated by the project to minimize the number of seams. Where the project location constitutes an out of the ordinary potential for fire, or where unusual fire hazards cannot be eliminated, provide flame-resistant polyethylene sheets which conform to the requirements of NFPA 701.

2.1.1.4 Tape and Adhesive Spray

Tape and adhesive must be capable of sealing joints between polyethylene sheets and for attachment of polyethylene sheets to adjacent surfaces. After dry application, tape or adhesive must retain adhesion when exposed to wet conditions, including amended water. Tape must be minimum 2 inches

wide, industrial strength.

2.1.1.5 Containers

When used, containers must be leak-tight and be labeled in accordance with EPA, DOT and OSHA standards.

2.1.1.6 Chemical Paint Strippers

Chemical paint strippers must not contain methylene chloride and be formulated to prevent stain, discoloration, or raising of the substrate materials.

2.1.1.7 Chemical Paint Stripper Neutralizer

Neutralizers for paint strippers must be compatible with the substrate and suitable for use with the chemical stripper that has been applied to the surface.

2.1.1.8 Detergents and Cleaners

Detergents or cleaning agents must not contain trisodium phosphate and have demonstrated effectiveness in lead control work using cleaning techniques specified by HUD 6780 guidelines.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Protection

3.1.1.1 Notification

a. Notify the Contracting Officer 10 days prior to the start of any lead work.

b. Occupant Notification

Submit occupant written acknowledgment of the delivery of lead hazard information pamphlet (EPA 747-K-99-001 "Protect Your Family From Lead in Your Home") prior to commencing the renovation work for each affected unit using language provided in 40 CFR 745 Subpart E.

c. Notification of the Commencement of Lead Containing Paint Hazard Abatement

3.1.1.2 Lead Control Area

a. Physical Boundary - Provide physical boundaries around the lead control area by roping off the area designated in the work plan or providing curtains, portable partitions or other enclosures to ensure that lead will not escape outside of the lead control area. Prohibit the general public from accessing the lead control areas.

b. Warning Signs - Provide warning signs at approaches to lead control areas. Locate signs at such a distance that personnel may read the sign and take the necessary precautions before entering the area.

Signs must comply with the requirements of 29 CFR 1926.62.

3.1.1.3 Furnishings

The Government will remove furniture and equipment from the building before lead work begins.

3.1.1.4 Heating, Ventilating and Air Conditioning (HVAC) Systems

Shut down, lock out, and isolate HVAC systems that supply, exhaust, or pass through the lead control areas. Seal intake and exhaust vents in the lead control area with 6 mil plastic sheet and tape. Seal seams in HVAC components that pass through the lead control area.

3.1.1.5 Local Exhaust System

Provide a local exhaust system in the lead, cadmium, chromium control area in accordance with ASSP Z9.2, 29 CFR 1926.62, 29 CFR 1926.1126 and 29 CFR 1926.1127 that will provide at least 4 air changes per hour inside of the negative pressure enclosure. Local exhaust equipment must be operated 24-hours per day, until the lead control area is removed and must be leak proof to the filter and equipped with HEPA filters. Maintain a minimum pressure differential in the lead control area of minus 0.02 inch of water column relative to adjacent, unsealed areas. Provide continuous 24-hour per day monitoring of the pressure differential with a pressure differential automatic recording instrument. The building ventilation system must not be used as the local exhaust system for the lead control area. Filters on exhaust equipment must conform to ASSP Z9.2 and UL 586. Terminate the local exhaust system out of doors and remote from any public access or ventilation system intakes.

3.1.1.6 Negative Air Pressure System Containment

a. Operate the negative air pressure systems to provide at least 4 air changes per hour inside the containment. Operate the local exhaust unit equipment continuously until the containment is removed. Smoke test the negative air pressure system for leaks at the beginning of each shift. The certified supervisor is responsible to continuously monitor and keep a pressure differential log with an automatic manometric recording instrument. Notify the Contracting Officer immediately if the pressure differential falls below the prescribed minimum. Submit the continuously monitored pressure differential log, as specified. Do not use the building ventilation system as the local exhaust system. Terminate the local exhaust system out of doors unless the Contracting Officer allows an alternate arrangement. All filters must be new at the beginning of the project and be periodically changed as necessary to maintain specified pressure differential and disposed of as lead contaminated waste.

b. Discontinuing Negative Air Pressure System. Operate the negative air pressure system continuously during abatement activities unless otherwise authorized by the Contracting Officer. At the completion of the project, units must be run until full cleanup has been completed and final clearance testing requirements have been met. Dismantling of the negative air pressure systems must conform to written decontamination procedures be as presented in the Lead Compliance Plan. Seal the HEPA filter machine intakes with polyethylene to prevent

environmental contamination.

3.1.1.7 Decontamination Shower Facility

Provide clean and contaminated change rooms and shower facilities in accordance with this specification and 29 CFR 1926.62.

3.1.1.8 Eye Wash Station

Provide suitable facilities within the work area for quick drenching or flushing of the eyes where eyes may be exposed to injurious corrosive materials.

3.1.1.9 Mechanical Ventilation System

a. Use adequate ventilation to control personnel exposure to lead in accordance with 29 CFR 1926.62. To the extent feasible, use local exhaust ventilation or other collection systems, approved by the CP. Evaluate and maintain local exhaust ventilation systems in accordance with 29 CFR 1926.62.

b. Vent local exhaust outside the building and away from building ventilation intakes or ensure system is connected to HEPA filters.

c. Use locally exhausted, power actuated tools or manual hand tools.

3.1.1.10 Personnel Protection

Personnel must wear and use protective clothing and equipment as specified herein. Eating, smoking, or drinking or application of cosmetics is not permitted in the lead control area. No one will be permitted in the lead control area unless they have been appropriately trained and provided with protective equipment.

3.2 ERECTION

3.2.1 Lead Control Area Requirements

Establish a lead control area by completely establishing barriers and physical boundaries around the area or structure where PWL or MCL removal operations will be performed.

3.3 APPLICATION

3.3.1 Lead Work

Perform lead work in accordance with approved Lead Compliance Plan. Use procedures and equipment required to limit occupational exposure and environmental contamination with lead when the work is performed in accordance with 29 CFR 1926.62 or 40 CFR 745, and as specified herein. Dispose of all PWL or MCL and associated waste in compliance with federal, State, and local requirements.

3.3.2 Paint with Lead or Material Containing Lead Removal

Manual or power sanding or grinding of lead surfaces or materials is not permitted unless tools are equipped with HEPA attachments or wet methods.

The dry sanding or grinding of surfaces that contain lead is prohibited. Provide methodology for removing lead in the Lead Compliance Plan. Select lead removal processes to minimize contamination of work areas outside the control area with lead contaminated dust or other lead contaminated debris or waste and to ensure that unprotected personnel are not exposed to hazardous concentrations of lead. Describe this removal process in the Lead Compliance Plan.

Provide methodology for lead, LBP/PWL removal and processes to minimize contamination of work areas outside the control area with lead contaminated dust or other lead contaminated debris/waste and to ensure that unprotected personnel are not exposed to hazardous concentrations of lead. Describe this lead, LBP/PWL removal/control process in the Lead Compliance Plan.

3.3.2.1 Paint with Lead or Material Containing Lead - Indoor Removal

Perform manual removal in the lead control areas using enclosures, barriers or containments. Collect residue and debris for disposal in accordance with federal, State, and local requirements.

3.3.2.2 Paint with Lead, Cadmium, Chromium or Material Containing Lead, Cadmium, Chromium - Outdoor Removal

3.3.3 Personnel Exiting Procedures

Whenever personnel exit the lead controlled area, they must perform the following procedures and must not leave the work place wearing any clothing or equipment worn in the control area:

a. Vacuum all clothing before entering the contaminated change room.

b. Remove protective clothing in the contaminated change room, and place them in an approved impermeable disposal bag.

c. Shower.

d. Wash hands and face at the site, don appropriate disposable or uncontaminated reusable clothing, move to an appropriate shower facility, shower.

e. Change to clean clothes prior to leaving the clean clothes storage area.

3.4 FIELD QUALITY CONTROL

3.4.1 Tests

3.4.1.1 Air and Wipe Sampling

Conduct sampling for lead in accordance with 29 CFR 1926.62 and as specified herein. Air and wipe sampling must be directed or performed by the CP.

a. The CP must be on the job site directing the air and wipe sampling and inspecting the PWL or MCL removal work to ensure that the requirements of the contract have been satisfied during the entire PWL or MCL

operation.

b. Collect personal air samples on employees who are anticipated to have the greatest risk of exposure as determined by the CP. In addition, collect air samples on at least twenty-five percent of the work crew or a minimum of two employees, whichever is greater, during each work shift.

c. Submit results of air samples, signed by the CP, within 72-hours after the air samples are taken.

d. Conduct area air sampling daily, on each shift in which lead and lead-based paint removal operations are performed, in areas immediately adjacent to the lead control area. Conduct sufficient area monitoring to ensure unprotected personnel are not exposed at or above 30 micrograms of lead per cubic meter of air . If 30 micrograms of lead per cubic meter of air is reached or exceeded, stop work, correct the conditions(s) causing the increased levels. Notify the Contracting Officer immediately. Determine if condition(s) require any further change in work methods. Resume removal work only after the CP and the Contracting Officer give approval.

3.4.1.2 Sampling After Removal

After the visual inspection, collect wipe samples according to the HUD protocol contained in HUD 6780 to determine the lead content of settled dust in micrograms per square meter foot of surface area

3.4.1.3 Testing of Material Containing Lead Residue

Test residue in accordance with 40 CFR 261 for hazardous waste.

3.5 CLEANING AND DISPOSAL

3.5.1 Cleanup

Maintain surfaces of the lead control area free of accumulations of dust and debris. Restrict the spread of dust and debris; keep waste from being distributed over the work area. Do not dry sweep or use pressurized air to clean up the area. At the end of each shift and when the lead operation has been completed, clean the controlled area of all visible contamination by vacuuming with a HEPA filtered vacuum cleaner, wet mopping the area and wet wiping the area as indicated by the Lead Compliance Plan. Reclean areas showing dust or debris. After visible dust and debris is removed, wet wipe and HEPA vacuum all surfaces in the controlled area. If adjacent areas become contaminated at any time during the work, clean, visually inspect, and then wipe sample all contaminated areas. The CP must then certify in writing that the area has been cleaned of lead contamination before clearance testing.

3.5.1.1 Clearance Certification

If lead paint was disturbed by mechanical means,the CP must certify in writing that air samples collected outside the lead control area during paint removal operations are less than 30 micrograms of lead per cubic meter of air; the respiratory protection used for the employees was

adequate; the work procedures were performed in accordance with 29 CFR 1926.62; and that there were no visible accumulations of material and dust containing lead left in the work site. Do not remove the lead control area or roped off boundary and warning signs prior to the Contracting Officer's acknowledgement of receipt of the CP certification.

For lead-based paint hazard abatement work, surface wipe sampling must be conducted and clearance determinations made according to the work practice standards presented in 40 CFR 745.227.

3.5.2 Disposal

a. Dispose of material, whether hazardous or non-hazardous in accordance with all laws and provisions and all federal, State or local regulations. Ensure all waste is properly characterized. The result of each waste characterization (TCLP for RCRA materials) will dictate disposal requirements.

b. Contractor is responsible for segregation of waste. Collect lead contaminated waste, scrap, debris, bags, containers, equipment, and lead contaminated clothing that may produce airborne concentrations of lead particles. Label the containers in accordance with 29 CFR 1926.62, 40 CFR 261, 40 CFR 262 and corresponding state regulations.

c. Dispose of lead contaminated material classified as hazardous waste at an EPA approved hazardous waste treatment, storage, or disposal facility off Government property.

d. Accumulate waste materials in U.S. Department of Transportation (49 CFR 178) approved 55 gallon drums or appropriately sized container for smaller volumes. Properly label each drum to identify the type of hazardous material (49 CFR 172). For hazardous waste, the collection container requires marking/labeling in accordance with 40 CFR 262 and corresponding state regulations during the accumulation/collection timeframe. The Contracting Officer or an authorized representative will assign an area for accumulation of waste containers. Coordinate authorized accumulation volumes and time limits with the host installation environmental function.

e. Handle, store, transport, and dispose lead or lead contaminated waste in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, and 40 CFR 265. Comply with land disposal restriction notification requirements as required by 40 CFR 268.

f. All lead waste generation, management,and disposal will be coordinated with the host installation environmental function.

3.5.2.1 Disposal Documentation

Coordinate all disposal or off-site shipments of lead waste with the host installation environmental function. Submit written evidence of TSD approval to demonstrate the hazardous waste treatment, storage, or disposal facility (TSD) is approved for lead disposal by the EPA, State or local regulatory agencies. Submit one copy of the completed hazardous waste manifest, signed and dated by the initial transporter in accordance with 40 CFR 262. Provide a certificate that the waste was accepted by the disposal facility.

3.5.2.2 Payment for Hazardous Waste

Payment for disposal of hazardous and non-hazardous waste will not be made until a signed copy of the manifest from the treatment or disposal facility is received and approved by the Contracting Officer. The manifest must detail and certify the amount of lead containing materials or non-hazardous waste delivered to the treatment or disposal facility.

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SECTION 03 30 00

CAST-IN-PLACE CONCRETE
02/19, CHG 4: 08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; Errata 2011) Specifications for Tolerances for Concrete Construction and Materials and Commentary
ACI 121R	(2008) Guide for Concrete Construction Quality Systems in Conformance with ISO 9001
ACI 213R	(2014; E2017) Guide for Structural Lightweight-Aggregate Concrete
ACI 301	(2016) Specifications for Structural Concrete
ACI 302.1R	(2015) Guide for Concrete Floor and Slab Construction
ACI 304.2R	(2017) Guide to Placing Concrete by Pumping Methods
ACI 304R	(2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete
ACI 305R	(2020) Guide to Hot Weather Concreting
ACI 306R	(2016) Guide to Cold Weather Concreting
ACI 308.1	(2011) Specification for Curing Concrete
ACI SP-2	(2007; Abstract: 10th Edition) ACI Manual of Concrete Inspection
ACI SP-15	(2011) Field Reference Manual: Standard Specifications for Structural Concrete ACI 301-05 with Selected ACI References

AMERICAN HARDBOARD ASSOCIATION (AHA)

AHA A135.4	(1995; R 2004) Basic Hardboard

AMERICAN WELDING SOCIETY (AWS)

AWS D1.4/D1.4M	(2011) Structural Welding Code -

Reinforcing Steel

ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A53/A53M	(2022) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A184/A184M	(2019) Standard Specification for Welded Deformed Steel Bar Mats for Concrete Reinforcement
ASTM A615/A615M	(2022) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM A706/A706M	(2022) Standard Specification for Low-Alloy Steel Deformed and Plain Bars for Concrete Reinforcement
ASTM A780/A780M	(2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings
ASTM A884/A884M	(2019) Standard Specification for Epoxy-Coated Steel Wire and Welded Wire Reinforcement
ASTM A934/A934M	(2016) Standard Specification for Epoxy-Coated Prefabricated Steel Reinforcing Bars
ASTM A996/A996M	(2016) Standard Specification for Rail-Steel and Axle-Steel Deformed Bars for Concrete Reinforcement
ASTM A1022/A1022M	(2016b) Standard Specification for Deformed and Plain Stainless Steel Wire and Welded Wire for Concrete Reinforcement
ASTM A1060/A1060M	(2016b) Standard Specification for Zinc-Coated (Galvanized) Steel Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM A1064/A1064M	(2022) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM C31/C31M	(2022) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2018) Standard Specification for Concrete Aggregates

ASTM C39/C39M	(2021) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C42/C42M	(2020) Standard Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C78/C78M	(2022) Standard Test Method for Flexural Strength of Concrete (Using Simple Beam with Third-Point Loading)
ASTM C94/C94M	(2022a) Standard Specification for Ready-Mixed Concrete
ASTM C136/C136M	(2019) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C138/C138M	(2017a) Standard Test Method for Density (Unit Weight), Yield, and Air Content (Gravimetric) of Concrete
ASTM C143/C143M	(2020) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2022) Standard Specification for Portland Cement
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2016) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2022) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a; R 2016) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C311/C311M	(2022) Standard Test Methods for Sampling and Testing Fly Ash or Natural Pozzolans for Use in Portland-Cement Concrete
ASTM C330/C330M	(2017a) Standard Specification for Lightweight Aggregates for Structural Concrete
ASTM C494/C494M	(2019; E 2022) Standard Specification for Chemical Admixtures for Concrete
ASTM C567/C567M	(2019) Determining Density of Structural Lightweight Concrete
ASTM C595/C595M	(2021) Standard Specification for Blended Hydraulic Cements

ASTM C618	(2022) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C803/C803M	(2018) Standard Test Method for Penetration Resistance of Hardened Concrete
ASTM C845/C845M	(2018) Standard Specification for Expansive Hydraulic Cement
ASTM C873/C873M	(2015) Standard Test Method for Compressive Strength of Concrete Cylinders Cast in Place in Cylindrical Molds
ASTM C900	(2015) Standard Test Method for Pullout Strength of Hardened Concrete
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C989/C989M	(2022) Standard Specification for Slag Cement for Use in Concrete and Mortars
ASTM C1012/C1012M	(2018b) Standard Test Method for Length Change of Hydraulic-Cement Mortars Exposed to a Sulfate Solution
ASTM C1017/C1017M	(2013; E 2015) Standard Specification for Chemical Admixtures for Use in Producing Flowing Concrete
ASTM C1074	(2019) Standard Practice for Estimating Concrete Strength by the Maturity Method
ASTM C1077	(2017) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
ASTM C1157/C1157M	(2020a) Standard Performance Specification for Hydraulic Cement
ASTM C1218/C1218M	(2020c) Standard Test Method for Water-Soluble Chloride in Mortar and Concrete
ASTM C1240	(2020) Standard Specification for Silica Fume Used in Cementitious Mixtures
ASTM C1260	(2021) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C1293	(2008; R 2015) Standard Test Method for Determination of Length Change of Concrete Due to Alkali-Silica Reaction

ASTM C1567	(2022) Standard Test Method for Potential Alkali-Silica Reactivity of Combinations of Cementitious Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1602/C1602M	(2022) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM C1778	(2016) Standard Guide for Reducing the Risk of Deleterious Alkali-Aggregate Reaction in Concrete
ASTM D412	(2016) Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers - Tension
ASTM D471	(2016a) Standard Test Method for Rubber Property - Effect of Liquids
ASTM D1751	(2018) Standard Specification for Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D2628	(1991; R 2016) Standard Specification for Preformed Polychloroprene Elastomeric Joint Seals for Concrete Pavements
ASTM D2835	(1989; R 2017) Standard Specification for Lubricant for Installation of Preformed Compression Seals in Concrete Pavements
ASTM D5759	(2012; R 2020) Characterization of Coal Fly Ash and Clean Coal Combustion Fly Ash for Potential Uses
ASTM D6690	(2015) Standard Specification for Joint and Crack Sealants, Hot Applied, for Concrete and Asphalt Pavements
ASTM E96/E96M	(2022a; E 2023) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials
ASTM E329	(2021) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection
ASTM E1155	(2020) Standard Test Method for Determining Floor Flatness and Floor Levelness Numbers
ASTM E1643	(2018a) Standard Practice for Selection, Design, Installation, and Inspection of Water Vapor Retarders Used in Contact with Earth or Granular Fill Under Concrete Slabs

ASTM E1745	(2017) Standard Specification for Water Vapor Retarders Used in Contact with Soil or Granular Fill under Concrete Slabs

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

CRSI 10MSP	(2018) Manual of Standard Practice
CRSI RB4.1	(2016) Supports for Reinforcement Used in Concrete

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST PS 1	(2009) DOC Voluntary Product Standard PS 1-07, Structural Plywood

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 104	(1980) Method of Calculation of the Fineness Modulus of Aggregate
COE CRD-C 513	(1974) Corps of Engineers Specifications for Rubber Waterstops
COE CRD-C 572	(1974) Corps of Engineers Specifications for Polyvinylchloride Waterstops

1.2 DEFINITIONS

a. "Cementitious material" as used herein must include all portland cement, pozzolan, fly ash, slag cement, and silica fume.

b. "Exposed to public view" means situated so that it can be seen from eye level from a public location after completion of the building. A public location is accessible to persons not responsible for operation or maintenance of the building.

c. "Chemical admixtures" are materials in the form of powder or fluids that are added to the concrete to give it certain characteristics not obtainable with plain concrete mixes.

d. "Supplementary cementing materials" (SCM) include coal fly ash, silica fume, slag cement, natural or calcined pozzolans, and ultra-fine coal ash when used in such proportions to replace the portland cement that result in improvement to sustainability and durability and reduced cost.

e. "Design strength" (f'c) is the specified compressive strength of concrete at time(s) specified in this section to meet structural design criteria.

f. "Mass Concrete" is any concrete system that approaches a maximum temperature of 158 degrees F within the first 72 hours of placement. In addition, it includes all concrete elements with a section thickness of 3 feet or more regardless of temperature.

g. "Mixture proportioning" is the process of designing concrete mixture proportions to enable it to meet the strength, service life and

constructability requirements of the project while minimizing the initial and life-cycle cost.

h. "Mixture proportions" are the masses or volumes of individual ingredients used to make a unit measure (cubic meter or cubic yard) of concrete.

i. "Pozzolan" is a siliceous or siliceous and aluminous material, which in itself possesses little or no cementitious value but will, in finely divided form and in the presence of moisture, chemically react with calcium hydroxide at ordinary temperatures to form compounds possessing cementitious properties.

j. "Workability (or consistence)" is the ability of a fresh (plastic) concrete mix to fill the form/mould properly with the desired work (vibration) and without reducing the concrete's quality. Workability depends on water content, chemical admixtures, aggregate (shape and size distribution), cementitious content and age (level of hydration).

1.3 SUBMITTALS

Government approval is required for all submittals. . Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Concrete Curing PlanQuality Control Plan

Quality Control Personnel Certifications

Quality Control Organizational Chart

Laboratory Accreditation

Maturity Method Data

SD-02 Shop Drawings

Reinforcing Steel

Slab On Grade Control Joint Plan

SD-03 Product Data

Joint Sealants

Joint Filler

Formwork Materials

Recycled Aggregate Materials

Cementitious Materials

Vapor Retarder

Concrete Curing Materials

Reinforcement

Liquid Chemical Floor Hardeners and Sealers

Admixtures

Mechanical Reinforcing Bar Connectors

Waterstops

Biodegradable Form Release Agent

Pumping ConcreteNonshrink Grout

SD-05 Design Data

Concrete Mix Design

SD-06 Test Reports

Concrete Mix Design

Fly Ash

Pozzolan

Slag Cement

Aggregates

Tolerance ReportCompressive Strength Tests

Unit Weight of Structural Concrete

Air Content

Slump Tests

Water

SD-07 Certificates

Reinforcing Bars

Welder Qualifications
VOC Content for Form Release Agents, Curing Compounds, and Concrete Penetrating Sealers
Safety Data Sheets
Field Testing Technician and Testing Agency

SD-08 Manufacturer's Instructions

Joint Sealants

Curing Compound

1.4 MODIFICATION OF REFERENCES

Accomplish work in accordance with ACI publications except as modified herein. Consider the advisory or recommended provisions to be mandatory. Interpret reference to the "Building Official," the "Structural Engineer," and the "Architect/Engineer" to mean the Contracting Officer.

1.5 DELIVERY, STORAGE, AND HANDLING

Follow ACI 301, ACI 304R and ASTM A934/A934M requirements and recommendations. Do not deliver concrete until vapor retarder, forms, reinforcement, embedded items, and chamfer strips are in place and ready for concrete placement. Do not store concrete curing compounds or sealers with materials that have a high capacity to adsorb volatile organic compound (VOC) emissions. Do not store concrete curing compounds or sealers in occupied spaces.

1.5.1 Reinforcement

Store reinforcement of different sizes and shapes in separate piles or racks raised above the ground to avoid excessive rusting. Protect from contaminants such as grease, oil, and dirt. Ensure bar sizes can be accurately identified after bundles are broken and tags removed.

1.6 QUALITY ASSURANCE

1.6.1 Design Data

1.6.1.1 Concrete Mix Design

Sixty days minimum prior to concrete placement, submit a mix design for each strength and type of concrete. Submit a complete list of materials including type; brand; source and amount of cement, supplementary cementitious materials, and admixtures; and applicable reference specifications. Submit mill test and all other test for cement, supplementary cementitious materials, aggregates, and admixtures. Provide documentation of maximum nominal aggregate size, gradation analysis, percentage retained and passing sieve, and a graph of percentage retained verses sieve size. Provide mix proportion data using at least three different water-cementitious material ratios for each type of mixture, which produce a range of strength encompassing those required for each type of concrete required. If source material changes, resubmit mix proportion data using revised source material. Provide only materials that have been proven by trial mix studies to meet the requirements of this specification, unless otherwise approved in writing by the Contracting Officer. Indicate clearly in the submittal where each mix design is used when more than one mix design is submitted. Resubmit data on concrete components if the qualities or source of components changes. Required average strength can be documented by field experience if field strength test data are available and represent a single group of at least 10 consecutive strength tests for one mixture, using materials and conditions similar to those expected for work, and encompassing a period of not less than 45 days. The average of field strength tests shall equal or exceed fcr'. Changes in materials, conditions, and proportions within the test record shall not have been more closely restricted than those for the proposed work. Test records shall not be more than 24 months old. Obtain mix design approval from the contracting officer prior to concrete placement.

1.6.2 Shop Drawings

1.6.2.1 Reinforcing Steel

Indicate bending diagrams, assembly diagrams, splicing and laps of bars, shapes, dimensions, and details of bar reinforcing, accessories, and concrete cover. Do not scale dimensions from structural drawings to determine lengths of reinforcing bars. Reproductions of contract drawings are unacceptable.

1.6.3 Control Submittals1.6.3.1 Concrete Curing Plan

Submit proposed materials, methods and duration for curing concrete elements in accordance with ACI 308.1.1.6.3.2 Pumping Concrete

Submit proposed materials and methods for pumping concrete. Submittal must include mix designs, pumping equipment including type of pump and size and material for pipe, and maximum length and height concrete is to be pumped.1.6.3.3 VOC Content for form release agents, curing compounds, and concrete penetrating sealers

Submit certification for the form release agent, curing compounds, and concrete penetrating sealers that indicate the VOC content of each product.

1.6.3.4 Safety Data Sheets

Submit Safety Data Sheets (SDS) for all materials that are regulated for hazardous health effects. SDS must be readily accessible during each work shift to employees when they are at the construction site.1.6.4 Test Reports

1.6.4.1 Fly Ash and Pozzolan

Submit test results in accordance with ASTM C618 for fly ash and pozzolan. Submit test results performed within 6 months of submittal date.

1.6.4.2 Slag Cement

Submit test results in accordance with ASTM C989/C989M for slag cement. Submit test results performed within 6 months of submittal date.

1.6.4.3 Aggregates

Submit test results in accordance with ASTM C33/C33M, or ASTM C330/C330M for lightweight aggregate, and ASTM C1293 or ASTM C1567 as required in the paragraph titled ALKALI-AGGREGATE REACTION.

1.6.5 Quality Control Plan

Develop and submit for approval a concrete quality control program in accordance with the guidelines of ACI 121R and as specified herein. The plan must include approved laboratories. Provide direct oversight for the concrete qualification program inclusive of associated sampling and testing. All quality control reports must be provided to the Contracting Officer, Quality Manager and Concrete Supplier. Maintain a copy of ACI SP-15 and CRSI 10MSP at project site.

1.6.6 Quality Control Personnel Certifications

The Contractor must submit for approval the responsibilities of the various quality control personnel, including the names and qualifications of the individuals in those positions and a quality control organizational chart defining the quality control hierarchy and the responsibility of the various positions. Quality control personnel must be employed by the Contractor.

Submit American Concrete Institute certification for the following:

a. CQC personnel responsible for inspection of concrete operations.

b. Lead Foreman or Journeyman of the Concrete Placing, Finishing, and Curing Crews.

c. Field Testing Technicians: ACI Concrete Field Testing Technician, Grade I.

1.6.6.1 Quality Manager Qualifications

The quality manager must hold a current license as a professional engineer in a U.S. state or territory with experience on at least five similar projects. Evidence of extraordinary proven experience may be considered by the Contracting Officer as sufficient to act as the Quality Manager.

1.6.6.2 Field Testing Technician and Testing Agency

Submit data on qualifications of proposed testing agency and technicians for approval by the Contracting Officer prior to performing testing on concrete.

a. Work on concrete under this contract must be performed by an ACI Concrete Field Testing Technician Grade 1 qualified in accordance with ACI SP-2 or equivalent. Equivalent certification programs must include requirements for written and performance examinations as stipulated in ACI SP-2.

b. Testing agencies that perform testing services on reinforcing steel must meet the requirements of ASTM E329.

c. Testing agencies that perform testing services on concrete materials must meet the requirements of ASTM C1077.

1.6.7 Laboratory Qualifications for Concrete Qualification Testing

The concrete testing laboratory must have the necessary equipment and experience to accomplish required testing. The laboratory must meet the requirements of ASTM C1077 and be Cement and Concrete Reference Laboratory (CCRL) inspected.

1.6.8 Laboratory Accreditation

Laboratory and testing facilities must be provided by and at the expense of the Contractor. The laboratories performing the tests must be accredited in accordance with ASTM C1077, including ASTM C78/C78M and ASTM C1260. The accreditation must be current and must include the required test methods, as specified. Furthermore, the testing must comply with the following requirements:

a. Aggregate Testing and Mix Proportioning: Aggregate testing and mixture proportioning studies must be performed by an accredited laboratory and under the direction of a registered professional engineer in a U.S. state or territory competent in concrete materials who is competent in concrete materials and must sign all reports and designs.

b. Acceptance Testing: Furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory. Furnish and maintain boxes or other facilities suitable for storing and curing the specimens at the site while in the mold within the temperature range stipulated by ASTM C31/C31M.

c. Contractor Quality Control: All sampling and testing must be performed by an approved, onsite, independent, accredited laboratory.

1.7 ENVIRONMENTAL REQUIREMENTS

Provide space ventilation according to material manufacturer recommendations, at a minimum, during and following installation of concrete curing compound and sealer. Maintain one of the following ventilation conditions during the curing period or for 72 hours after installation:

a. Supply 100 percent outside air 24 hours a day.

b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 84 degrees F and humidity is between 30 percent and 60 percent.

c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

1.7.1 Submittals for Environmental Performance

a. Provide data indication the percentage of post-industrial pozzolan (fly ash, slag cement) cement substitution as a percentage of the full product composite by weight.

b. Provide data indicating the percentage of post-industrial and post-consumer recycled content aggregate.

c. Provide product data indicating the percentage of post-consumer recycled steel content in each type of steel reinforcement as a percentage of the full product composite by weight.

d. Provide product data stating the location where all products were manufactured

e. For projects using FSC certified formwork, provide chain-of-custody documentation for all certified wood products.

f. For projects using reusable formwork, provide data showing how formwork is reused.

g. Provide SDS product information data showing that form release agents meet any environmental performance goals such as using vegetable and soy based products.

h. Provide SDS product information data showing that concrete adhesives meet any environmental performance goals including low emitting, low volatile organic compound products.

1.8 QUALIFICATIONS FOR WELDING WORK

Welding procedures must be in accordance with AWS D1.4/D1.4M.

Verify that Welder qualifications are in accordance with AWS D1.4/D1.4M for welding of reinforcement or under an equivalent qualification test approved in advance. Welders are permitted to do only the type of welding for which each is specifically qualified.

PART 2 PRODUCTS

2.1 FORMWORK MATERIALS

a. Form-facing material in contact with concrete must be lumber, plywood, tempered concrete-form-grade hardboard, or plastic,. Submit product information on proposed form-facing materials if different from that specified herein.

b. Design formwork, shores, reshores, and backshores to support loads transmitted to them and to comply with applicable building code requirements.

c. Design formwork and shoring for load redistribution resulting from stressing of post-tensioned reinforcement. Ensure that formwork allows movement resulting from application of prestressing force.

d. Design formwork to withstand pressure resulting from placement and vibration of concrete and to maintain specified tolerances.

e. Design formwork to accommodate waterstop materials in joints at locations indicated in Contract Documents.

f. Provide temporary openings in formwork if needed to facilitate cleaning and inspection.

g. Design formwork joints to inhibit leakage of mortar.

h. Limit deflection of facing materials for concrete surfaces exposed to view to 1/400 of center-to-center spacing of facing supports.

i. Do not use earth cuts as forms for vertical or sloping surfaces.

j. Submit product information on proposed form-facing materials if different from that specified herein.

m. Submit procedure for reshoring and backshoring, including drawings signed and sealed by a licensed design engineer. Include on shop drawings the formwork removal procedure and magnitude of construction loads used for design of reshoring or backshoring system. Indicate in procedure the magnitude of live and dead loads assumed for required capacity of the structure at time of reshoring or backshoring.

n. Submit manufacturer's product data on form liner proposed for use with each formed surface.

2.1.1 Wood Forms

Provide lumber that is square edged or tongue-and-groove boards, free of raised grain, knotholes, or other surface defects. Provide plywood that complies with NIST PS 1, B-B concrete form panels or better or AHA A135.4, hardboard for smooth form lining.

2.1.1.1 Concrete Form Plywood (Standard Rough)

Provide plywood that conforms to NIST PS 1, B-B, concrete form, not less than 5/8-inch thick.

2.1.1.2 Overlaid Concrete Form Plywood (Standard Smooth)

Provide plywood that conforms to NIST PS 1, B-B, high density form overlay, not less than 5/8-inch thick.

2.1.2 Plastic Forms

Provide plastic forms that contain a minimum of 50 percent post-consumer recycled content, or a minimum of 50 percent post-industrial recycled content.

2.2 FORMWORK ACCESSORIES

a. Use commercially manufactured formwork accessories, including ties and hangers.

b. Form ties and accessories must not reduce the effective cover of the reinforcement.

2.2.1 Form Ties

a. Use form ties with ends or end fasteners that can be removed without damage to concrete.

b. Where indicated in Contract Documents, use form ties with integral water barrier plates or other acceptable positive water barriers in walls.

c. The breakback distance for ferrous ties must be at least 3/4 in. for Surface Finish-2.0 or Surface Finish-3.0, as defined in ACI 301.

d. If the breakback distance is less than 3/4 in., use coated or corrosion-resistant ties.

e. Submit manufacturer's data sheet on form ties.

2.2.2 Waterstops

Submit manufacturer's data sheet on waterstop materials and splices.

2.2.2.1 PVC Waterstop

Polyvinylchloride waterstops must conform to COE CRD-C 572.

2.2.2.2 Rubber Waterstop

Rubber waterstops must conform to COE CRD-C 513.

2.2.2.3 Thermoplastic Elastomeric Rubber Waterstop

Thermoplastic elastomeric rubber waterstops must conform to ASTM D471.

2.2.2.4 Hydrophilic Waterstop

Swellable strip type compound of polymer modified chloroprene rubber that swells upon contact with water must conform to the following requirements when tested in accordance to ASTM D412: Tensile strength 420 psi minimum; ultimate elongation 600 percent minimum. Hardness must be 50 minimum on the type A durometer and the volumetric expansion ratio in distilled water at 70 degrees F must be 3 to 1 minimum.

2.2.3 Biodegradable Form Release Agent

a. Provide form release agent that is colorless, biodegradable, and water-based, with a zero VOC content.

b. Provide product that does not bond with, stain, or adversely affect concrete surfaces and does not impair subsequent treatments of concrete surfaces.

c. Provide form release agent that reduces formwork moisture absorption, and does not contain diesel fuel, petroleum-based lubricating oils, waxes, or kerosene. Submit documentation indicating type of biobased material in product and biobased content. Indicate relative dollar value of biobased content products to total dollar value of products included in project.

d. Submit manufacturer's product data on formwork release agent for use on each form-facing material.

2.2.4 Chamfer Materials

Use lumber materials with dimensions of 3/4 x 3/4 in.

2.2.5 Construction and movement joints

a. Submit details and locations of construction joints in accordance with the requirements herein.

b. Locate construction joints within middle one-third of spans of slabs, beams, and girders. If a beam intersects a girder within the middle one-third of girder span, the distance between the construction joint in the girder and the edge of the beam must be at least twice the width of the larger member.

c. For members with post-tensioning tendons, locate construction joints where tendons pass through centroid of concrete section.

d. Locate construction joints in walls and columns at underside of slabs, beams, or girders and at tops of footings or slabs.

e. Make construction joints perpendicular to main reinforcement.

f. Provide movement joints where indicated in Contract Documents or in accepted alternate locations.

g. Submit location and detail of movement joints if different from those indicated in Contract Documents.

h. Submit manufacturer's data sheet on expansion joint materials.

i. Provide keyways where indicated in Contract Documents.

2.2.6 Other Embedded items

Use sleeves, inserts, anchors, and other embedded items of material and design indicated in Contract Documents.

2.3 CONCRETE MATERIALS

2.3.1 Cementitious Materials

2.3.1.1 Portland Cement

a. Unless otherwise specified, provide cement that conforms to ASTM C150/C150M Type II .

b. Use one brand and type of cement for formed concrete having exposed-to-view finished surfaces.

c. For portland cement manufactured in a kiln fueled by hazardous waste, maintain a record of source for each batch.

d. Submit information along with evidence demonstrating compliance with referenced standards. Submittals must include types of cementitious materials, manufacturing locations, shipping locations, and certificates showing compliance.

e. Cementitious materials must be stored and kept dry and free from contaminants.

2.3.1.2 Fly Ash

a. ASTM C618, Class F , except that the maximum allowable loss on ignition must not exceed 3 percent.

b. If fly ash is used it shall range from 15 to 20 percent by weight of cementitious material, provided the fly ash does not reduce the amount of cement in the concrete mix below the minimum requirements of local building codes. Where the use of fly ash cannot meet the minimum level,it shall not be used. Report the chemical analysis of the fly ash in accordance with ASTM C311/C311M. Evaluate and classify fly ash in accordance with ASTM D5759.

2.3.1.3 Slag Cement

ASTM C989/C989M, Grade 120.

2.3.1.4 Silica Fume

Silica fume must conform to ASTM C1240, including the optional limits on reactivity with cement alkalis. Silica fume may be furnished as a dry,

densified material or as slurry. Proper mixing is essential to accomplish proper distribution of the silica fume and avoid agglomerated silica fume which can react with the alkali in the cement resulting in premature and extensive concrete damage. Supervision at the batch plant, finishing, and curing is essential. Provide at the Contractor's expense the services of a manufacturer's technical representative, experienced in mixing, proportioning, placement procedures, and curing of concrete containing silica fume. This representative must be present on the project prior to and during at least the first 4 days of concrete production and placement using silica fume. A High Range Water Reducing admixture (HRWRA) must be used with silica fume.

2.3.1.5 Other Supplementary Cementitious Materials

Natural pozzolan must be raw or calcined and conform to ASTM C618, Class N, including the optional requirement for uniformity.

Ultra Fine Fly Ash (UFFA) and Ultra Fine Pozzolan (UFP) must conform to ASTM C618, Class F or N, and the following additional requirements:

a. The strength activity index at 28 days of age must be at least 95 percent of the control specimens.

b. The average particle size must not exceed 6 microns.

c. The sum of SiO_2 + Al_2O_3 + Fe_2O_3 must be greater than 77 percent.

2.3.2 Water

a. Water or ice must comply with the requirements of ASTM C1602/C1602M.

b. Minimize the amount of water in the mix. Improve workability by adjusting the grading of the aggregate and using admixture rather than by adding water.

c. Water must be potable ; free from injurious amounts of oils, acids, alkalis, salts, organic materials, or other substances deleterious to concrete.

d. Protect mixing water and ice from contamination during storage and delivery.

e. Submit test report showing water complies with ASTM C1602/C1602M.

f. When nonpotable source is proposed for use, submit documentation on effects of water on strength and setting time in compliance with ASTM C1602/C1602M.

2.3.3 Aggregate

2.3.3.1 Normal-Weight Aggregate

a. Aggregates must conform to ASTM C33/C33M unless otherwise specified in the Contract Documents or approved by the contracting officer.

b. Aggregates used in concrete must be obtained from the same sources and have the same size range as aggregates used in concrete represented by submitted field test records or used in trial mixtures.

c. Provide sand that is at least 50 percent natural sand.

d. Store and handle aggregate in a manner that will avoid segregation and prevents contamination by other materials or other sizes of aggregates. Store aggregates in locations that will permit them to drain freely. Do not use aggregates that contain frozen lumps.

e. Submit types, pit or quarry locations, producers' names, aggregate supplier statement of compliance with ASTM C33/C33M, and ASTM C1293 expansion data not more than 18 months old.

2.3.3.2 Lightweight Aggregate

Lightweight aggregate in accordance with ASTM C330/C330M.

2.3.3.3 Recycled Aggregate Materials

Use a minimum of 25 percent recycled aggregate, depending on local availability and conforming to requirements of the mix design. Recycled aggregate to include: recovered concrete recovered stone that meets the aggregate requirements specified. Submit recycled material request with the aggregate certification submittals and do not use until approved by the Contracting Officer.

2.3.4 Admixtures

a. Chemical admixtures must conform to ASTM C494/C494M.

b. Air-entraining admixtures must conform to ASTM C260/C260M.

c. Chemical admixtures for use in producing flowing concrete must conform to ASTM C1017/C1017M.

d. Do not use calcium chloride admixtures unless approved by the contracting officer.

e.

f. Admixtures used in concrete must be the same as those used in the concrete represented by submitted field test records or used in trial mixtures.

g. Protect stored admixtures against contamination, evaporation, or damage.

h. To ensure uniform distribution of constituents, provide agitating equipment for admixtures used in the form of suspensions or unstable solutions. Protect liquid admixtures from freezing and from temperature changes that would adversely affect their characteristics.

i. Submit types, brand names, producers' names, manufacturer's technical data sheets, and certificates showing compliance with standards required herein.

2.4 MISCELLANEOUS MATERIALS

2.4.1 Concrete Curing Materials

Provide concrete curing material in accordance with ACI 301 Section 5 and

ACI 308.1 Section 2. Submit product data for concrete curing compounds. Submit manufactures instructions for placement of curing compound.

2.4.2 Nonshrink Grout

Nonshrink grout in accordance with ASTM C1107/C1107M.

2.4.3 Floor Finish Materials

2.4.3.1 Liquid Chemical Floor Hardeners and Sealers

a. Hardener must be a colorless aqueous solution containing a blend of inorganic silicate or siliconate material and proprietary components combined with a wetting agent; that penetrates, hardens, and densifies concrete surfaces. Submit manufactures instructions for placement of liquid chemical floor hardener.

b. Use concrete penetrating sealers with a low (maximum 100 grams/liter, less water and less exempt compounds) VOC content. Submit manufactures instructions for placement of sealers.

2.4.4 Expansion/Contraction Joint Filler

ASTM D1751 . Material must be 1/2 inch thick, unless otherwise indicated.

2.4.5 Joint Sealants

Submit manufacturer's product data, indicating VOC content.

2.4.5.1 Horizontal Surfaces, 3 Percent Slope, Maximum

ASTM D6690 or ASTM C920, Type M, Class 25, Use T.

2.4.5.2 Vertical Surfaces Greater Than 3 Percent Slope

ASTM C920, Type M, Grade NS, Class 25, Use T ..

2.4.5.3 Preformed Polychloroprene Elastomeric Type

ASTM D2628.

2.4.5.4 Lubricant for Preformed Compression Seals

ASTM D2835.

2.4.6 Vapor Retarder

ASTM E1745 Class C polyethylene sheeting, minimum 10 mil thickness or other equivalent material with a maximum permeance rating of 0.04 perms per ASTM E96/E96M.

Consider plastic vapor retarders and adhesives with a high recycled content, low toxicity low VOC (Volatile Organic Compounds) levels.

2.4.7 Dovetail Anchor Slot

Preformed metal slot approximately 1 inch by 1 inch of not less than 22 gage galvanized steel cast in concrete. Coordinate actual size and throat opening with dovetail anchors and provide with removable filler material.

2.5 CONCRETE MIX DESIGN

2.5.1 Properties and Requirements

a. Use materials and material combinations listed in this section and the contract documents.

b. Cementitious material content must be adequate for concrete to satisfy the specified requirements for strength, w/cm, durability, and finishability described in this section and the contract documents.

The minimum cementitious material content for concrete used in floors must meet the following requirements:

Nominal maximum size of aggregate, in.	**Minimum cementitious material content, pounds per cubic yard**
1-1/2	470
1	520
3/4	540
3/8	610

c. Selected target slump must meet the requirements this section, the contract documents, and must not exceed 9 in. Concrete must not show visible signs of segregation.

d. The target slump must be enforced for the duration of the project. Determine the slump by ASTM C143/C143M. Slump tolerances must meet the requirements of ACI 117.

e. The nominal maximum size of coarse aggregate for a mixture must not exceed three-fourths of the minimum clear spacing between reinforcement, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.

f. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. The total air content must be in accordance with the requirements of the paragraph titled DURABILITY.

g. Measure air content at the point of delivery in accordance with ASTM C173/C173M or ASTM C231/C231M.

h. Concrete for slabs to receive a hard-troweled finish must not contain an air-entraining admixture or have a total air content greater than 3 percent.

i. Concrete properties and requirements for each portion of the structure are specified in the table below. Refer to the paragraph titled DURABILITY for more details on exposure categories and their requirements.

	Minimum *f'c* psi	Exposure Categories^	Miscellaneous Requirements
Footings	4000 at 28 days	S0 ; C1 ; W1; F0	Max. slump: 6 in. Nominal maximum aggregate size must be 1 in.
Beams and elevated slabs	3000 at 28 days	S0 ; C1 ; W1; F0	Nominal maximum aggregate size must be 1 in.
Slabs-on-ground	4000 at 28 days	S0 ; C1 ; W1; F0	

2.5.2 Durability

2.5.2.1 Alkali-Aggregate Reaction

Do not use any aggregate susceptible to alkali-carbonate reaction (ACR). Use one of the three options below for qualifying concrete mixtures to reduce the potential of alkali-silica reaction (ASR):

a. For each aggregate used in concrete, the expansion result determined in accordance with ASTM C1293 must not exceed 0.04 percent at one year.

b. For each aggregate used in concrete, the expansion result of the aggregate and cementitious materials combination determined in accordance with ASTM C1567 must not exceed 0.10 percent at an age of 16 days.

c. Alkali content in concrete (LBA) must not exceed 4 pounds per cubic yard for moderately reactive aggregate or 3 pounds per cubic yard for highly reactive aggregate. Reactivity must be determined by testing in accordance with ASTM C1293 and categorized in accordance with ASTM C1778. Alkali content is calculated as follows:
LBA = (cement content, pounds per cubic yard) × (equivalent alkali content of portland cement in percent/100 percent)

2.5.2.2 Freezing and Thawing Resistance

a. Provide concrete meeting the following requirements based on exposure class assigned to members for freezing-and-thawing exposure in Contract Documents:

Exposure class	Maximum *w/cm**	Minimum *f'c*, psi	Air content	Additional Requirements
F0	N/A	2500	N/A	
F1	0.55	3500	Depends on aggregate size	N/A
F2	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass
F3	0.40	5000	Depends on aggregate size	See limits on maximum cementitious material by mass
F3 plain concrete	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass

*The maximum *w/cm* limits do not apply to lightweight concrete.

b. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. The total air content must meet the requirements of the following table:

Nominal maximum aggregate size, in.	Total air content, percent*^	
	Exposure Class F2 and F3	Exposure Class F1
3/8	7.5	6.0
1/2	7.0	5.5
3/4	6.0	5.0
1	6.0	4.5
1-1/2	5.5	4.5
2	5.0	4.0
3	5.5	3.5

*Tolerance on air content as delivered must be plus/minus 1.5 percent.

^For f'c greater than 5000 psi, reducing air content by 1.0 percentage point is acceptable.

c. Submit documentation verifying compliance with specified requirements.

d. For sections of the structure that are assigned Exposure Class F3, submit certification on cement composition verifying that concrete mixture meets the requirements of the following table:

Cementitious material	Maximum percent of total cementitious material by mass*
Fly ash or other pozzolans conforming to ASTM C618	25
Slag cement conforming to ASTM C989/C989M	50
Silica fume conforming to ASTM C1240	10
Total of fly ash or other pozzolans, slag cement, and silica fume	50^
Total of fly ash or other pozzolans and silica fume	35^

*Total cementitious material also includes ASTM C150/C150M, ASTM C595/C595M, ASTM C845/C845M, and ASTM C1157/C1157M cement. The maximum percentages above must include:

i. Fly ash or other pozzolans present in ASTM C1157/C1157M or ASTM C595/C595M Type IP blended cement.

ii. Slag cement present in ASTM C1157/C1157M or ASTM C595/C595M Type IS blended cement.

iii. Silica fume conforming to ASTM C1240 present in ASTM C1157/C1157M or ASTM C595/C595M Type IP blended cement.

^Fly ash or other pozzolans and silica fume must constitute no more than 25 percent and 10 percent, respectively, of the total mass of the cementitious materials.

2.5.2.3 Corrosion and Chloride Content

a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members requiring protection against reinforcement corrosion in Contract Documents.

b. Submit documentation verifying compliance with specified requirements.

c. Water-soluble chloride ion content contributed from constituents including water, aggregates, cementitious materials, and admixtures must be determined for the concrete mixture by ASTM C1218/C1218M at age between 28 and 42 days.

d. The maximum water-soluble chloride ion (Cl-) content in concrete, percent by mass of cement is as follows:

Exposure class	**Maximum *w/cm****	**Minimum f'c, psi**	**Maximum water-soluble chloride ion (CL-) content in concrete, percent by mass of cement**
Reinforced concrete			
C0	N/A	2500	1.00
C1	N/A	2500	0.30
C2	0.4	5000	0.15
Prestressed concrete			
C0	N/A	2500	0.06
C1	N/A	2500	0.06
C2	0.4	5000	0.06

*The maximum w/cm limits do not apply to lightweight concrete.

2.5.2.4 Sulfate Resistance

a. Provide concrete meeting the requirements of the following table based on the exposure class assigned to members for sulfate exposure.

Exposure class	**Maximum w/cm**	**Minimum f'c, psi**	**Required cementitious materials-types**			**Calcium chloride admixture**
			ASTM C150/C150M	**ASTM C595/C595M**	**ASTM C1157/C1157M**	
S0	N/A	2500	N/A	N/A	N/A	No restrictions
S1	0.50	4000	II*^	Types with (MS) designation	MS	No restrictions
S2	0.45	4500	V^	Types with (HS) designation	HS	Not permitted
S3	0.45	4500	V + pozzolan or slag cement**	Types with (HS) designation plus pozzolan or slag cement**	HS + pozzolan or slag cement**	Not permitted
S3	0.40	5000	V***	Types with (HS) designation	HS	Not permitted

* For seawater exposure, other types of portland cements with tricalcium aluminate (C3A) contents up to 10 percent are acceptable if the w/cm does not exceed 0.40.

** The amount of the specific source of the pozzolan or slag cement to be used shall be at least the amount determined by test or service record to improve sulfate resistance when used in concrete containing Type V cement. Alternatively, the amount of the specific source of the pozzolan or slag used shall not be less than the amount tested in accordance with ASTM C1012/C1012M and meeting the requirements maximum

expansion requirements listed herein.

*** If Type V cement is used as the sole cementitious material, the optional sulfate requirement of 0.040 percent maximum expansion in ASTM C150/C150M shall be required.

^ Other available types of cement, such as Type III or Type I, are acceptable in exposure classes S1 or S2 if the C3A contents are less than 8 or 5 percent, respectively.

b. The maximum w/cm limits for sulfate exposure do not apply to lightweight concrete.

c. Alternative combinations of cementitious materials of those listed in this paragraph are acceptable if they meet the maximum expansion requirements listed in the following table:

Exposure class	**Maximum expansion when tested using ASTM C1012/C1012M**		
	At 6 months	**At 12 months**	**At 18 months**
S1	0.10 percent	N/A	N/A
S2	0.05 percent	0.10 percent^	N/A
S3	N/A	N/A	0.10 percent

^The 12-month expansion limit applies only when the measured expansion exceeds the 6-month maximum expansion limit.

2.5.2.5 Concrete Temperature

The temperature of concrete as delivered must not exceed 95°F.

2.5.2.6 Concrete permeability

a. Provide concrete meeting the requirements of the following table based on exposure class assigned to members requiring low permeability in the Contract Documents.

Exposure class	**Maximum *w/cm****	**Minimum f'c, psi**	**Additional minimum requirements**
W0	N/A	2500	None
W1	0.5	4000	None

*The maximum w/cm limits do not apply to lightweight concrete.

b. Submit documentation verifying compliance with specified requirements.

2.5.3 Trial Mixtures

Trial mixtures must be in accordance to ACI 301.

2.5.4 Ready-Mix Concrete

Provide concrete that meets the requirements of ASTM C94/C94M.

Ready-mixed concrete manufacturer must provide duplicate delivery tickets with each load of concrete delivered. Provide delivery tickets with the following information in addition to that required by ASTM C94/C94M:

a. Type and brand cement

b. Cement and supplementary cementitious materials content in 94-pound bags per cubic yard of concrete

c. Maximum size of aggregate

d. Amount and brand name of admixtures

e. Total water content expressed by water cementitious material ratio

2.6 REINFORCEMENT

a. Bend reinforcement cold. Fabricate reinforcement in accordance with fabricating tolerances of ACI 117.

b. Submit manufacturer's certified test report for reinforcement.

c. Submit placing drawings showing fabrication dimensions and placement locations of reinforcement and reinforcement supports. Placing drawings must indicate locations of splices, lengths of lap splices, and details of mechanical and welded splices.

d. Submit request with locations and details of splices not indicated in Contract Documents.

e. Submit request to place column dowels without using templates.

f. Submit request and procedure to field-bend or straighten reinforcing bars partially embedded in concrete at locations not indicated in Contract Documents. Field bending or straightening of reinforcing bars is permitted where indicated in the Contract Documents

g. Submit request for field cutting, including location and type of bar to be cut and reason field cutting is required.

2.6.1 Reinforcing Bars

a. Reinforcing bars must be deformed, except spirals, load-transfer dowels, and welded wire reinforcement, which may be plain.

b. ASTM A615/A615M with the bars marked S, Grade 60 ; or ASTM A996/A996M with the bars marked R, Grade 60, or marked A, Grade 60.

c. Reinforcing bars may contain post-consumer or post-industrial recycled content. Submit documentation indicating percentage of post-industrial and post-consumer recycled content per unit of product. Indicate relative dollar value of recycled content products to total dollar value of products included in project.

d. Submit mill certificates for reinforcing bars.

2.6.1.1 Bar Mats

a. Bar mats must conform to ASTM A184/A184M.

2.6.2 Mechanical Reinforcing Bar Connectors

a. Provide 125 percent minimum yield strength of the reinforcement bar.

b. Mechanical splices for galvanized reinforcing bars must be galvanized or coated with dielectric material.

c. Mechanical splices used with epoxy-coated or dual-coated reinforcing bars must be coated with dielectric material.

d. Submit data on mechanical splices demonstrating compliance with this paragraph.

2.6.3 Wire

a. Provide wire reinforcement that contains a minimum of 100 percent recycled content.Provide flat sheets of welded wire reinforcement for slabs and toppings.

b. Plain or deformed steel wire must conform to ASTM A1064/A1064M.

c. Stainless steel wire must conform to ASTM A1022/A1022M.

d. Epoxy-coated wire must conform to ASTM A884/A884M. Coating damage incurred during shipment, storage, handling, and placing of epoxy-coated wires must be repaired. Repair damaged coating areas with patching material in accordance with material manufacturer's written recommendations. If damaged area exceeds 2 percent of surface area in each linear foot of each wire, wire must not be used. The 2 percent limit on damaged coating area must include repaired areas damaged before shipment as required by ASTM A884/A884M. Fading of coating color shall not be cause for rejection of epoxy-coated wire reinforcement.

2.6.4 Welded wire reinforcement

a. Use welded wire reinforcement specified in Contract Documents and conforming to one or more of the specifications given herein.

b. Plain welded wire reinforcement must conform to ASTM A1064/A1064M, with welded intersections spaced no greater than 12 in. apart in direction of principal reinforcement.

c. Deformed welded wire reinforcement must conform to ASTM A1064/A1064M, with welded intersections spaced no greater than 16 in. apart in direction of principal reinforcement.

d. Epoxy-coated welded wire reinforcement must conform to ASTM A884/A884M. Coating damage incurred during shipment, storage, handling, and placing of epoxy-coated welded wire reinforcement must be repaired in accordance with ASTM A884/A884M. Repair damaged coating areas with patching material in accordance with material manufacturer's written

recommendations. If damaged area exceeds 2 percent of surface area in each linear foot of each wire or welded wire reinforcement, the sheet containing the damaged area must not be used. The 2 percent limit on damaged coating area must include repaired areas damaged before shipment as required by ASTM A884/A884M. Fading of coating color shall not be cause for rejection of epoxy-coated welded wire reinforcement.

e. Stainless steel welded wire reinforcement must conform to ASTM A1022/A1022M.

f. Zinc-coated (galvanized) welded wire reinforcement must conform to ASTM A1060/A1060M. Coating damage incurred during shipment, storage, handling, and placing of zinc-coated (galvanized) welded wire reinforcement must be repaired in accordance with ASTM A780/A780M. If damaged area exceeds 2 percent of surface area in each linear foot of each wire or welded wire reinforcement, the sheet containing the damaged area must not be used. The 2 percent limit on damaged coating area shall include repaired areas damaged before shipment as required by ASTM A1060/A1060M.

2.6.5 Reinforcing Bar Supports

a. Provide reinforcement support types within structure as required by Contract Documents. Reinforcement supports must conform to CRSI RB4.1. Submit description of reinforcement supports and materials for fastening coated reinforcement if not in conformance with CRSI RB4.1.

b. Legs of supports in contact with formwork must be hot-dip galvanized, or plastic coated after fabrication, or stainless-steel bar supports.

c. Minimum 5 percent post-consumer recycled content, or minimum 20 percent post-industrial recycled content.

2.6.6 Dowels for Load Transfer in Floors

Provide greased dowels for load transfer in floors of the type, design, weight, and dimensions indicated. Provide dowel bars that are plain-billet steel conforming to ASTM A615/A615M, Grade 40. Provide dowel pipe that is steel conforming to ASTM A53/A53M.

Plate dowels must conform to ASTM A36/A36M, and must be of size and spacing indicated. Plate dowel system must minimize shrinkage restraint by using a tapered shape

2.6.7 Welding

a. Provide weldable reinforcing bars that conform to ASTM A706/A706M and ASTM A615/A615M and Supplement S1, Grade 60, except that the maximum carbon content must be 0.55 percent.

b. Comply with AWS D1.4/D1.4M unless otherwise specified. Do not tack weld reinforcing bars.

c. Welded assemblies of steel reinforcement produced under factory conditions, such as welded wire reinforcement, bar mats, and deformed bar anchors, are allowed.

d. After completing welds on zinc-coated (galvanized), epoxy-coated, or

zinc and epoxy dual-coated reinforcement, coat welds and repair coating damage as previously specified.

PART 3 EXECUTION

3.1 EXAMINATION

a. Do not begin installation until substrates have been properly constructed; verify that substrates are level.

b. If substrate preparation is the responsibility of another installer, notify Contracting Officer of unsatisfactory preparation before processing.

c. Check field dimensions before beginning installation. If dimensions vary too much from design dimensions for proper installation, notify Contracting Officer and wait for instructions before beginning installation.

3.2 PREPARATION

Determine quantity of concrete needed and minimize the production of excess concrete. Designate locations or uses for potential excess concrete before the concrete is poured.

3.2.1 General

a. Surfaces against which concrete is to be placed must be free of debris, loose material, standing water, snow, ice, and other deleterious substances before start of concrete placing.

b. Remove standing water without washing over freshly deposited concrete. Divert flow of water through side drains provided for such purpose.

3.2.2 Subgrade Under Foundations and Footings

a. When subgrade material is semi-porous and dry, sprinkle subgrade surface with water as required to eliminate suction at the time concrete is deposited, or seal subgrade surface by covering surface with specified vapor retarder.

b. When subgrade material is porous, seal subgrade surface by covering surface with specified vapor retarder.

3.2.3 Subgrade Under Slabs on Ground

a. Before construction of slabs on ground, have underground work on pipes and conduits completed and approved.

b. Previously constructed subgrade or fill must be cleaned of foreign materials

c. Finish surface of capillary water barrier under interior slabs on ground must not show deviation in excess of 1/4 inch when tested with a 10-foot straightedge parallel with and at right angles to building lines.

d. Finished surface of subgrade or fill under exterior slabs on ground must not be more than 0.02-foot above or 0.10-foot below elevation

indicated.

3.2.4 Edge Forms and Screed Strips for Slabs

a. Set edge forms or bulkheads and intermediate screed strips for slabs to obtain indicated elevations and contours in finished slab surface and must be strong enough to support vibrating bridge screeds or roller pipe screeds if nature of specified slab finish requires use of such equipment.

b. Align concrete surface to elevation of screed strips by use of strike-off templates or approved compacting-type screeds.

3.2.5 Reinforcement and Other Embedded Items

a. Secure reinforcement, joint materials, and other embedded materials in position, inspected, and approved before start of concrete placing.

b. When concrete is placed, reinforcement must be free of materials deleterious to bond. Reinforcement with rust, mill scale, or a combination of both will be considered satisfactory, provided minimum nominal dimensions, nominal weight, and minimum average height of deformations of a hand-wire-brushed test specimen are not less than applicable ASTM specification requirements.

3.3 FORMS

a. Provide forms, shoring, and scaffolding for concrete placement. Set forms mortar-tight and true to line and grade.

b. Chamfer above grade exposed joints, edges, and external corners of concrete 0.75 inch. Place chamfer strips in corners of formwork to produce beveled edges on permanently exposed surfaces.

c. Provide formwork with clean-out openings to permit inspection and removal of debris.

d. Inspect formwork and remove foreign material before concrete is placed.

e. At construction joints, lap form-facing materials over the concrete of previous placement. Ensure formwork is placed against hardened concrete so offsets at construction joints conform to specified tolerances.

f. Provide positive means of adjustment (such as wedges or jacks) of shores and struts. Do not make adjustments in formwork after concrete has reached initial setting. Brace formwork to resist lateral deflection and lateral instability.

g. Fasten form wedges in place after final adjustment of forms and before concrete placement.

h. Provide anchoring and bracing to control upward and lateral movement of formwork system.

i. Construct formwork for openings to facilitate removal and to produce opening dimensions as specified and within tolerances.

j. Provide runways for moving equipment. Support runways directly on

formwork or structural members. Do not support runways on reinforcement. Loading applied by runways must not exceed capacity of formwork or structural members.

k. Position and support expansion joint materials, waterstops, and other embedded items to prevent displacement. Fill voids in sleeves, inserts, and anchor slots temporarily with removable material to prevent concrete entry into voids.

l. Clean surfaces of formwork and embedded materials of mortar, grout, and foreign materials before concrete placement.

3.3.1 Coating

a. Cover formwork surfaces with an acceptable material that inhibits bond with concrete.

b. If formwork release agent is used, apply to formwork surfaces in accordance with manufacturer's recommendations before placing reinforcement. Remove excess release agent on formwork prior to concrete placement.

c. Do not allow formwork release agent to contact reinforcement or hardened concrete against which fresh concrete is to be placed.

3.3.2 Reshoring

a. Do not allow structural members to be loaded with combined dead and construction loads in excess of loads indicated in the accepted procedure.

b. Install and remove reshores or backshores in accordance with accepted procedure.

c. For floors supporting shores under newly placed concrete, either leave original supporting shores in place, or install reshores or backshores. Shoring system and supporting slabs must resist anticipated loads. Locate reshores and backshores directly under a shore position or as indicated on formwork shop drawings.

3.3.3 Reuse

a. Reuse forms providing the structural integrity of concrete and the aesthetics of exposed concrete are not compromised.

b. Wood forms must not be clogged with paste and must be capable of absorbing high water-cementitious material ratio paste.

c. Remove leaked mortar from formwork joints before reuse.

3.3.4 Forms for Standard Rough Form Finish

Provide formwork in accordance with ACI 301 Section 5 with a surface finish, SF-1.0, for formed surfaces that are to be concealed by other construction.

3.3.5 Forms for Standard Smooth Form Finish

Provide formwork in accordance with ACI 301 Section 5 with a surface

finish, SF-3.0, for formed surfaces that are exposed to view.

3.3.6 Form Ties

a. For post-tensioned structures, do not remove formwork supports until stressing records have been accepted by the Contracting Officer.

b. After ends or end fasteners of form ties have been removed, repair tie holes in accordance with ACI 301 Section 5 requirements.

3.3.7 Tolerances for Form Construction

a. Construct formwork so concrete surfaces conform to tolerances in ACI 117.

b. Position and secure sleeves, inserts, anchors, and other embedded items such that embedded items are positioned within ACI 117 tolerances.

c. To maintain specified elevation and thickness within tolerances, install formwork to compensate for deflection and anticipated settlement in formwork during concrete placement. Set formwork and intermediate screed strips for slabs to produce designated elevation, camber, and contour of finished surface before formwork removal. If specified finish requires use of vibrating screeds or roller pipe screeds, ensure that edge forms and screed strips are strong enough to support such equipment.

3.3.8 Removal of Forms and Supports

a. If vertical formed surfaces require finishing, remove forms as soon as removal operations will not damage concrete.

b. Remove top forms on sloping surfaces of concrete as soon as removal will not allow concrete to sag. Perform repairs and finishing operations required. If forms are removed before end of specified curing period, provide curing and protection.

c. Do not damage concrete during removal of vertical formwork for columns, walls, and sides of beams. Perform needed repair and finishing operations required on vertical surfaces. If forms are removed before end of specified curing period, provide curing and protection.

d. Leave formwork and shoring in place to support construction loads and weight of concrete in beams, slabs, and other structural members until in-place required strength of concrete is reached.

e. Form-facing material and horizontal facing support members may be removed before in-place concrete reaches specified compressive strength if shores and other supports are designed to allow facing removal without deflection of supported slab or member.

3.3.9 Strength of Concrete Required for Removal of Formwork

If removal of formwork, reshoring, or backshoring is based on concrete reaching a specified in-place strength, mold and field-cure cylinders in accordance with ASTM C31/C31M. Test cylinders in accordance with ASTM C39/C39M. Alternatively, use one or more of the methods listed

herein to evaluate in-place concrete strength for formwork removal.

a. Tests of cast-in-place cylinders in accordance with ASTM C873/C873M. This option is limited to slabs with concrete depths from 5 to 12 in.

b. Penetration resistance in accordance with ASTM C803/C803M.

c. Pullout strength in accordance with ASTM C900.

d. Maturity method in accordance with ASTM C1074. Submit maturity method data using project materials and concrete mix proportions used on the project to demonstrate the correlation between maturity and compressive strength of laboratory cured test specimens to the Contracting Officer.

3.4 WATERSTOP INSTALLATION AND SPLICES

a. Provide waterstops in construction joints as indicated.

b. Install formwork to accommodate waterstop materials. Locate waterstops in joints where indicated in Contract Documents. Minimize number of splices in waterstop. Splice waterstops in accordance with manufacturer's written instructions. Install factory-manufactured premolded mitered corners.

c. Install waterstops to form a continuous diaphragm in each joint. Make adequate provisions to support and protect waterstops during progress of work. Protect waterstops protruding from joints from damage.

3.4.1 PVC Waterstop

Make splices by heat sealing the adjacent waterstop edges together using a thermoplastic splicing iron utilizing a non-stick surface specifically designed for waterstop welding. Reform waterstops at splices with a remolding iron with ribs or corrugations to match the pattern of the waterstop. The spliced area, when cooled, must show no signs of separation, holes, or other imperfections when bent by hand in as sharp an angle as possible.

3.4.2 Rubber Waterstop

Rubber waterstops must be spliced using cold bond adhesive as recommended by the manufacturer.

3.4.3 Thermoplastic Elastomeric Rubber Waterstop

Fittings must be shop made using a machine specifically designed to mechanically weld the waterstop. A portable power saw must be used to miter or straight cut the ends to be joined to ensure good alignment and contact between joined surfaces. Maintain continuity of the characteristic features of the cross section of the waterstop (for example ribs, tabular center axis, and protrusions) across the splice.

3.4.4 Hydrophilic Waterstop

Miter cut ends to be joined with sharp knife or shears. The ends must be adhered with adhesive.

3.5 PLACING REINFORCEMENT AND MISCELLANEOUS MATERIALS

a. Unless otherwise specified, placing reinforcement and miscellaneous materials must be in accordance to ACI 301. Provide bars, welded wire reinforcement, wire ties, supports, and other devices necessary to install and secure reinforcement.

b. Reinforcement must not have rust, scale, oil, grease, clay, or foreign substances that would reduce the bond. Rusting of reinforcement is a basis of rejection if the effective cross-sectional area or the nominal weight per unit length has been reduced. Remove loose rust prior to placing steel. Tack welding is prohibited.

c. Nonprestressed cast-in-place concrete members must have concrete cover for reinforcement given in the following table:

Concrete Exposure	**Member**	**Reinforcement**	**Specified cover, in.**
Cast against and permanently in contact with ground	All	All	3
Exposed to weather or in contact with ground	All	No. 6 through No. 18 bars	2
		No. 5 bar, W31 or D31 wire, and smaller	1-1/2
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars	1-1/2
		No. 11 bar and smaller	3/4
	Beams, columns, pedestals, and tension ties	Primary reinforcement, stirrups, ties, spirals, and hoops	1-1/2

3.5.1 General

Provide details of reinforcement that are in accordance with the Contract Documents.

3.5.2 Vapor Retarder

a. Install in accordance with ASTM E1643. Provide beneath the on-grade concrete floor slab. Use the greatest widths and lengths practicable

to eliminate joints wherever possible. Lap joints a minimum of 12 inches and tape.

b. Remove torn, punctured, or damaged vapor retarder material and provide with new vapor retarder prior to placing concrete. Concrete placement must not damage vapor retarder. Place vapor barrier directly on underlying subgrade, base course, or capillary water barrier, unless it consists of crushed material or large granular material which could puncture the vapor barrier. In this case, a thin layer of approximately 1/2 inch of fine graded material should be rolled or compacted over the fill before installation of the vapor barrier to reduce the possibility of puncture. Control concrete placement so as to prevent damage to the vapor barrier.

3.5.3 Perimeter Insulation

Install perimeter insulation at locations indicated. Adhesive must be used where insulation is applied to the interior surface of foundation walls and may be used for exterior application.

3.5.4 Reinforcement Supports

Provide reinforcement support in accordance with CRSI RB4.1 and ACI 301 Section 3 requirements. Supports for coated or galvanized bars must also be coated with electrically compatible material for a distance of at least 2 inches beyond the point of contact with the bars.

3.5.5 Splicing

As indicated in the Contract Documents. For splices not indicated follow ACI 301. Do not splice at points of maximum stress. Overlap welded wire reinforcement the spacing of the cross wires, plus 2 inches. AWS D1.4/D1.4M. Approve welded splices prior to use.

3.5.6 Future Bonding

Plug exposed, threaded, mechanical reinforcement bar connectors with a greased bolt. Provide bolt threads that match the connector. Countersink the connector in the concrete. Caulk the depression after the bolt is installed.

3.5.7 Setting Miscellaneous Material

Place and secure anchors and bolts, pipe sleeves, conduits, and other such items in position before concrete placement and support against displacement. Plumb anchor bolts and check location and elevation. Temporarily fill voids in sleeves with readily removable material to prevent the entry of concrete.

3.5.8 Fabrication

Shop fabricate reinforcing bars to conform to shapes and dimensions indicated for reinforcement, and as follows:

a. Provide fabrication tolerances that are in accordance with ACI 117.

b. Provide hooks and bends that are in accordance with the Contract Documents.

Reinforcement must be bent cold to shapes as indicated. Bending must be done in the shop. Rebending of a reinforcing bar that has been bent incorrectly is not be permitted. Bending must be in accordance with standard approved practice and by approved machine methods.

Deliver reinforcing bars bundled, tagged, and marked. Tags must be metal with bar size, length, mark, and other information pressed in by machine. Marks must correspond with those used on the placing drawings.

Do not use reinforcement that has any of the following defects:

a. Bar lengths, depths, and bends beyond specified fabrication tolerances

b. Bends or kinks not indicated on drawings or approved shop drawings

c. Bars with reduced cross-section due to rusting or other cause

Replace defective reinforcement with new reinforcement having required shape, form, and cross-section area.

3.5.9 Placing Reinforcement

Place reinforcement in accordance with ACI 301.

For slabs on grade (over earth or over capillary water barrier) and for footing reinforcement, support bars or welded wire reinforcement on precast concrete blocks, spaced at intervals required by size of reinforcement, to keep reinforcement the minimum height specified above the underside of slab or footing.

For slabs other than on grade, supports for which any portion is less than 1 inch from concrete surfaces that are exposed to view or to be painted must be of precast concrete units, plastic-coated steel, or stainless steel protected bar supports. Precast concrete units must be wedge shaped, not larger than 3-1/2 by 3-1/2 inches, and of thickness equal to that indicated for concrete protection of reinforcement. Provide precast units that have cast-in galvanized tie wire hooked for anchorage and blend with concrete surfaces after finishing is completed.

Provide reinforcement that is supported and secured together to prevent displacement by construction loads or by placing of wet concrete, and as follows:

a. Provide supports for reinforcing bars that are sufficient in number and have sufficient strength to carry the reinforcement they support, and in accordance with ACI 301 and CRSI 10MSP. Do not use supports to support runways for concrete conveying equipment and similar construction loads.

b. Equip supports on ground and similar surfaces with sand-plates.

c. Support welded wire reinforcement as required for reinforcing bars.

d. Secure reinforcements to supports by means of tie wire. Wire must be black, soft iron wire, not less than 16 gage.

e. Reinforcement must be accurately placed, securely tied at intersections, and held in position during placing of concrete by spacers, chairs, or other approved supports. Point wire-tie ends away

from the form. Unless otherwise indicated, numbers, type, and spacing of supports must conform to the Contract Documents.

f. Bending of reinforcing bars partially embedded in concrete is permitted only as specified in the Contract Documents.

3.5.10 Spacing of Reinforcing Bars

a. Spacing must be as indicated in the Contract Documents.

b. Reinforcing bars may be relocated to avoid interference with other reinforcement, or with conduit, pipe, or other embedded items. If any reinforcing bar is moved a distance exceeding one bar diameter or specified placing tolerance, resulting rearrangement of reinforcement is subject to preapproval by the Contracting Officer.

3.5.11 Concrete Protection for Reinforcement

Additional concrete protection must be in accordance with the Contract Documents.

3.5.12 Welding

Welding must be in accordance with AWS D1.4/D1.4M.

3.6 BATCHING, MEASURING, MIXING, AND TRANSPORTING CONCRETE

In accordance with ASTM C94/C94M, ACI 301, ACI 302.1R and ACI 304R, except as modified herein. Batching equipment must be such that the concrete ingredients are consistently measured within the following tolerances: 1 percent for cement and water, 2 percent for aggregate, and 3 percent for admixtures. Furnish mandatory batch ticket information for each load of ready mix concrete.

3.6.1 Measuring

Make measurements at intervals as specified in paragraphs SAMPLING and TESTING.

3.6.2 Mixing

a. Mix concrete in accordance with ASTM C94/C94M, ACI 301 and ACI 304R.

b. Machine mix concrete. Begin mixing within 30 minutes after the cement has been added to the aggregates. Place concrete within 90 minutes of either addition of mixing water to cement and aggregates or addition of cement to aggregates if the concrete temperature is less than 84 degrees F.

c. Place concrete within 60 minutes if the concrete temperature is greater than 84 degrees F except as follows: if set retarding admixture is used and slump requirements can be met, limit for placing concrete may remain at 90 minutes. Additional water may be added, provided that both the specified maximum slump and submitted water-cementitious material ratio are not exceeded and the required concrete strength is still met. When additional water is added, an additional 30 revolutions of the mixer at mixing speed is required.

d. If the entrained air content falls below the specified limit, add a

sufficient quantity of admixture, within the manufacturer's recommended dosage, to bring the entrained air content within the specified limits. Dissolve admixtures in the mixing water and mix in the drum to uniformly distribute the admixture throughout the batch. Do not reconstitute concrete that has begun to solidify.

e. When fibers are used, add fibers together with the aggregates and never as the first component in the mixer. Fibers must be dispensed into the mixing system using appropriate dispensing equipment and procedure as recommended by the manufacturer.

3.6.3 Transporting

Transport concrete from the mixer to the forms as rapidly as practicable. Prevent segregation or loss of ingredients. Clean transporting equipment thoroughly before each batch. Do not use aluminum pipe or chutes. Remove concrete which has segregated in transporting and dispose of as directed.

3.7 PLACING CONCRETE

Place concrete in accordance with ACI 301 Section 5. Concrete shall be placed within 15 minutes of discharge into non-agitating equipment.

3.7.1 Footing Placement

Concrete for footings may be placed in excavations without forms upon inspection and approval by the Contracting Officer. Excavation width must be a minimum of 4 inches greater than indicated.

3.7.2 Pumping

ACI 304R and ACI 304.2R. Pumping must not result in separation or loss of materials nor cause interruptions sufficient to permit loss of plasticity between successive increments. Loss of slump in pumping equipment must not exceed 2 inches at discharge/placement. Do not convey concrete through pipe made of aluminum or aluminum alloy. Avoid rapid changes in pipe sizes. Limit maximum size of course aggregate to 33 percent of the diameter of the pipe. Limit maximum size of well-rounded aggregate to 40 percent of the pipe diameter. Take samples for testing at both the point of delivery to the pump and at the discharge end.

3.7.2.1 Pumping Lightweight Concrete

In accordance with ACI 213R unless otherwise specified. Presoak or presaturate aggregates. Cement content must be minimum of 564 pounds per cubic yard and be sufficient to accommodate a 4 to 6 inch slump. Make field trial run in accordance with ACI 213R.

3.7.3 Cold Weather

Cold weather concrete must meet the requirements of ACI 301 unless otherwise specified. Do not allow concrete temperature to decrease below 50 degrees F. Obtain approval prior to placing concrete when the ambient temperature is below 40 degrees F or when concrete is likely to be subjected to freezing temperatures within 24 hours. Cover concrete and provide sufficient heat to maintain 50 degrees F minimum adjacent to both the formwork and the structure while curing. Limit the rate of cooling to 37 degrees F in any one hour and 50 degrees F per 24 hours after heat application.

3.7.4 Hot Weather

Hot weather concrete must meet the requirements of ACI 301 unless otherwise specified. Maintain required concrete temperature using Figure 4.2 in ACI 305R to prevent the evaporation rate from exceeding 0.2 pound of water per square foot of exposed concrete per hour. Cool ingredients before mixing or use other suitable means to control concrete temperature and prevent rapid drying of newly placed concrete. Shade the fresh concrete as soon as possible after placing. Start curing when the surface of the fresh concrete is sufficiently hard to permit curing without damage. Provide water hoses, pipes, spraying equipment, and water hauling equipment, where job site is remote to water source, to maintain a moist concrete surface throughout the curing period. Provide burlap cover or other suitable, permeable material with fog spray or continuous wetting of the concrete when weather conditions prevent the use of either liquid membrane curing compound or impervious sheets. For vertical surfaces, protect forms from direct sunlight and add water to top of structure once concrete is set.

3.7.5 Bonding

Surfaces of set concrete at joints, must be roughened and cleaned of laitance, coatings, loose particles, and foreign matter. Roughen surfaces in a manner that exposes the aggregate uniformly and does not leave laitance, loosened particles of aggregate, nor damaged concrete at the surface.

Obtain bonding of fresh concrete that has set as follows:

a. At joints between footings and walls or columns, between walls or columns and the beams or slabs they support, and elsewhere unless otherwise specified; roughened and cleaned surface of set concrete must be dampened, but not saturated, immediately prior to placing of fresh concrete.

b. At joints in exposed-to-view work; at vertical joints in walls; at joints near midpoint of span in girders, beams, supported slabs, other structural members; in work designed to contain liquids; the roughened and cleaned surface of set concrete must be dampened but not saturated and covered with a cement grout coating.

c. Provide cement grout that consists of equal parts of portland cement and fine aggregate by weight with not more than 6 gallons of water per sack of cement. Apply cement grout with a stiff broom or brush to a minimum thickness of 1/16 inch. Deposit fresh concrete before cement grout has attained its initial set.

3.8 WASTE MANAGEMENT

Provide as specified in the Waste Management Plan and as follows.

3.8.1 Mixing Equipment

Before concrete pours, designate Contractor-owned site meeting environmental standards for cleaning out concrete mixing trucks. Minimize water used to wash equipment.

3.8.2 Hardened, Cured Waste Concrete

Crush and reuse hardened, cured waste concrete as fill or as a base course for pavement.

3.8.3 Reinforcing Steel

Collect reinforcing steel and place in designated area for recycling.

3.8.4 Other Waste

Identify concrete manufacturer's or supplier's policy for collection or return of construction waste, unused material, deconstruction waste, and/or packaging material. Return excess cement to supplier.

3.9 SURFACE FINISHES EXCEPT FLOOR, SLAB, AND PAVEMENT FINISHES

3.9.1 Defects

Repair surface defects in accordance with ACI 301 Section 5.

3.9.2 Not Against Forms (Top of Walls)

Surfaces not otherwise specified must be finished with wood floats to even surfaces. Finish must match adjacent finishes.

3.9.3 Formed Surfaces

3.9.3.1 Tolerances

Tolerances in accordance with ACI 117 and as indicated.

3.9.3.2 As-Cast Rough Form

Provide for surfaces not exposed to public view a surface finish SF-1.0. Patch holes and defects in accordance with ACI 301.

3.9.3.3 Standard Smooth Finish

Provide for surfaces exposed to public view a surface finish SF-3.0. Patch holes and defects in accordance with ACI 301.

3.10 FLOOR, SLAB, AND PAVEMENT FINISHES AND MISCELLANEOUS CONSTRUCTION

In accordance with ACI 301 and ACI 302.1R, unless otherwise specified. Slope floors uniformly to drains where drains are provided. Where straightedge measurements are specified, Contractor must provide straightedge.

3.10.1 Finish

Place, consolidate, and immediately strike off concrete to obtain proper contour, grade, and elevation before bleedwater appears. Permit concrete to attain a set sufficient for floating and supporting the weight of the finisher and equipment. If bleedwater is present prior to floating the surface, drag the excess water off or remove by absorption with porous materials. Do not use dry cement to absorb bleedwater. Grate tampers ("jitterbugs") shall not be used.

3.10.1.1 Scratched

Use for surfaces intended to receive bonded applied cementitious applications. Finish concrete in accordance with ACI 301 Section 5 for a scratched finish.

3.10.1.2 Floated

Use for exterior slabs where not otherwise specified. Finish concrete in accordance with ACI 301 Section 5 for a floated finish.

3.10.1.3 Steel Troweled

Use for floors intended as walking surfaces and for reception of floor coverings . Finish concrete in accordance with ACI 301 Section 5 for a steel troweled finish.

3.10.1.4 Nonslip Finish

Use on surfaces of exterior platforms, steps, and landings; and on exterior and interior pedestrian ramps. Finish concrete in accordance with ACI 301 Section 5 for a dry-shake finish. After the selected material has been embedded by the two floatings, complete the operation with a broomed finish.

3.10.1.5 Broomed

Use on surfaces of exterior walks, platforms, patios, and ramps, unless otherwise indicated. Finish concrete in accordance with ACI 301 Section 5 for a broomed finish.

3.10.1.6 Pavement

Screed the concrete with a template advanced with a combined longitudinal and crosswise motion. Maintain a slight surplus of concrete ahead of the template. After screeding, float the concrete longitudinally. Use a straightedge to check slope and flatness; correct and refloat as necessary. Obtain final finish by a burlap drag. Drag a strip of clean, wet burlap from 3 to 10 feet wide and 2 feet longer than the pavement width across the slab. Produce a fine, granular, sandy textured surface without disfiguring marks. Round edges and joints with an edger having a radius of 1/8 inch.

3.10.2 Flat Floor Finishes

ACI 302.1R. Construct in accordance with one of the methods recommended in Table 10.15.3a, "Slab-on-ground flatness/levelness construction guide" or Table 10.15.3b, "Suspended slab flatness/levelness construction guide" appropriate for the type of construction. ACI 117 for tolerance tested by ASTM E1155.

a. Specified Conventional Value:

Floor Flatness (Ff) 20 minimum
Floor Levelness (FL) 15 minimum

b. Specified Industrial:

Floor Flatness (Ff) 15 minimum

Floor Levelness (FL) 10 minimum

3.10.2.1 Measurement of Floor Tolerances

Test slab within 24 hours of the final troweling. Provide tests to Contracting Officer within 12 hours after collecting the data. Floor flatness inspector is required to provide a tolerance report which must include:

a. Key plan showing location of data collected.

b. Results required by ASTM E1155.

3.10.2.2 Remedies for Out of Tolerance Work

Contractor is required to repair and retest any floors not meeting specified tolerances. Prior to repair, Contractor must submit and receive approval for the proposed repair, including product data from any materials proposed. Repairs must not result in damage to structural integrity of the floor. For floors exposed to public view, repairs must prevent any uneven or unusual coloring of the surface.

3.10.3 Concrete Walks

Provide 4 inches thick minimum. Provide contraction joints spaced every 5 linear feet unless otherwise indicated. Cut contraction joints 1 inch deep, or one fourth the slab thickness whichever is deeper, with a jointing tool after the surface has been finished. Provide 0.5 inch thick transverse expansion joints at changes in direction where sidewalk abuts curb, steps, rigid pavement, or other similar structures; space expansion joints every 50 feet maximum. Give walks a broomed finish. Unless indicated otherwise, provide a transverse slope of 1/48. Limit variation in cross section to 1/4 inch in 5 feet.

3.10.4 Pits and Trenches

Place bottoms and walls monolithically or provide waterstops and keys.

3.10.5 Curbs and Gutters

Provide contraction joints spaced every 10 feet maximum unless otherwise indicated. Cut contraction joints 3/4 inch deep with a jointing tool after the surface has been finished. Provide expansion joints 1/2 inch thick and spaced every 100 feet maximum unless otherwise indicated. Perform pavement finish.

3.10.6 Splash Blocks

Provide at outlets of downspouts emptying at grade. Splash blocks may be precast concrete, and must be 24 inches long, 12 inches wide and 4 inches thick, unless otherwise indicated, with smooth-finished countersunk dishes sloped to drain away from the building.

3.11 JOINTS

3.11.1 Construction Joints

Make and locate joints not indicated so as not to impair strength and appearance of the structure, as approved. Joints must be perpendicular to

main reinforcement. Reinforcement must be continued and developed across construction joints. Locate construction joints as follows:

3.11.1.1 Construction Joints for Constructability Purposes

a. In walls, at top of footing; at top of slabs on ground; at top and bottom of door and window openings or where required to conform to architectural details; and at underside of deepest beam or girder framing into wall.

b. In columns or piers, at top of footing; at top of slabs on ground; and at underside of deepest beam or girder framing into column or pier.

c. Near midpoint of spans for supported slabs, beams, and girders unless a beam intersects a girder at the center, in which case construction joints in girder must offset a distance equal to twice the width of the beam. Make transfer of shear through construction joint by use of inclined reinforcement.

Provide keyways at least 1-1/2-inches deep in construction joints in walls and slabs and between walls and footings; approved bulkheads may be used for slabs.

3.11.2 Isolation Joints in Slabs on Ground

a. Provide joints at points of contact between slabs on ground and vertical surfaces, such as column pedestals, foundation walls, grade beams, and elsewhere as indicated.

b. Fill joints with premolded joint filler strips 1/2 inch thick, extending full slab depth. Install filler strips at proper level below finish floor elevation with a slightly tapered, dress-and-oiled wood strip temporarily secured to top of filler strip to form a groove not less than 3/4 inch in depth where joint is sealed with sealing compound and not less than 1/4 inch in depth where joint sealing is not required. Remove wood strip after concrete has set. Contractor must clean groove of foreign matter and loose particles after surface has dried.

3.11.3 Contraction Joints in Slabs on Ground

a. Provide joints to form panels as indicated.

b. Under and on exact line of each control joint, cut 50 percent of welded wire reinforcement before placing concrete.

c. Sawcut contraction joints into slab on ground in accordance with ACI 301 Section 5.

e. Sawcutting will be limited to within 12 hours after set and at 1/4 slab depth.

3.11.4 Sealing Joints in Slabs on Ground

a. Contraction and control joints which are to receive finish flooring material must be sealed with joint sealing compound after concrete curing period. Slightly underfill groove with joint sealing compound to prevent extrusion of compound. Remove excess material as soon

after sealing as possible.

b. Sealed groove must be left ready to receive filling material that is provided as part of finish floor covering work.

3.12 CONCRETE FLOOR TOPPING

3.12.1 Standard Floor Topping

Provide topping for treads and platforms of metal steel stairs and elsewhere as indicated.

3.12.1.1 Preparations Prior to Placing

a. When topping is placed on a green concrete base slab, screed surface of base slab to a level not more than 1-1/2 inches nor less than 1 inch below required finish surface. Remove water and laitance from surface of base slab before placing topping mixture. As soon as water ceases to rise to surface of base slab, place topping.

b. When topping is placed on a hardened concrete base slab, remove dirt, loose material, oil, grease, asphalt, paint, and other contaminants from base slab surface, leaving a clean surface. Prior to placing topping mixture, 2-1/2-inches minimum, slab surface must be dampened and left free of standing water. Immediately before topping mixture is placed, broom a coat of neat cement grout onto surface of slab. Do not allow cement grout to set or dry before topping is placed.

c. When topping is placed on a metal surface, such as metal pans for steel stairs, remove dirt, loose material, oil, grease, asphalt, paint, and other contaminants from metal surface.

3.12.1.2 Placing

Spread standard topping mixture evenly on previously prepared base slab or metal surface, brought to correct level with a straightedge, and struck off. Topping must be consolidated, floated, checked for trueness of surface, and refloated as specified for float finish.

3.12.1.3 Finishing

Give trowel finish standard floor topping surfaces.

Give other finishes standard floor topping surfaces as indicated.

3.12.2 Heavy-Duty Floor Topping

Provide topping where indicated.

3.12.2.1 Heavy-duty Topping Mixture

Provide mixture that consists of 1 part portland cement and 2-1/2 parts emery aggregate or 1 part fine aggregate and 1-1/2 parts traprock coarse aggregate, by volume. Exact proportions of mixture must conform to recommendations of aggregate manufacturer. Mixing water must not exceed 3-1/4 gallons per 94-pound sack of cement including unabsorbed moisture in aggregate. Maximum slump must be 1 inch.

3.12.2.2 Base Slab

a. Screed surface of slab to a level no more than 1-1/2 inches nor less than 1 inch below grade of finished floor.

b. Give slab a scratch finish as specified.

c. Preparations prior to placing.

Remove dirt, loose material, oil, grease, asphalt, paint and other contaminants from base slab surface. Prior to placing topping mixture, dampen slab surface and leave free of standing water. Immediately before topping mixture is placed, broom a coat of neat cement grout onto surface of slab. Allow cement grout to set or dry before topping mixture is placed.

3.12.2.3 Placing

Spread heavy-duty topping mixture evenly on previously prepared base slab, and bring to correct level with a straightedge, and strike off. Provide topping that is consolidated, floated, and checked for trueness of surface as specified for float finish, except that power-driven floats is the impact type.

3.12.2.4 Finishing

Give trowel finish heavy-duty floor topping surfaces. Provide trowel finish as specified, except that additional troweling after first power troweling must be not less than three hand-troweling operations.

3.13 CURING AND PROTECTION

Curing and protection in accordance with ACI 301 Section 5, unless otherwise specified. Begin curing immediately following form removal. Avoid damage to concrete from vibration created by blasting, pile driving, movement of equipment in the vicinity, disturbance of formwork or protruding reinforcement, and any other activity resulting in ground vibrations. Protect concrete from injurious action by sun, rain, flowing water, frost, mechanical injury, tire marks, and oil stains. Do not allow concrete to dry out from time of placement until the expiration of the specified curing period. Do not use membrane-forming compound on surfaces where appearance would be objectionable, on any surface to be painted, where coverings are to be bonded to the concrete, or on concrete to which other concrete is to be bonded. If forms are removed prior to the expiration of the curing period, provide another curing procedure specified herein for the remaining portion of the curing period. Provide moist curing for those areas receiving liquid chemical sealer, hardener, or epoxy coating. Allow curing compound/sealer installations to cure prior to the installation of materials that adsorb VOCs.

3.13.1 Requirements for Type III, High-Early-Strength Portland Cement

The curing periods are required to be not less than one-fourth of those specified for portland cement, but in no case less than 72 hours.

3.13.2 Curing Periods

ACI 301 Section 5, except 10 days for retaining walls, pavement or chimneys. Begin curing immediately after placement. Protect concrete

from premature drying, excessively hot temperatures, and mechanical injury; and maintain minimal moisture loss at a relatively constant temperature for the period necessary for hydration of the cement and hardening of the concrete. The materials and methods of curing are subject to approval by the Contracting Officer.

3.13.3 Curing Formed Surfaces

Accomplish curing of formed surfaces, including undersurfaces of girders, beams, supported slabs, and other similar surfaces by moist curing with forms in place for full curing period or until forms are removed. If forms are removed before end of curing period, accomplish final curing of formed surfaces by any of the curing methods specified above, as applicable.

3.13.4 Curing Unformed Surfaces

a. Accomplish initial curing of unformed surfaces, such as monolithic slabs, floor topping, and other flat surfaces, by membrane curing.

b. Accomplish final curing of unformed surfaces by any of curing methods specified, as applicable.

c. Accomplish final curing of concrete surfaces to receive liquid floor hardener of finish flooring by moisture-retaining cover curing.

3.13.5 Temperature of Concrete During Curing

When temperature of atmosphere is 41 degrees F and below, maintain temperature of concrete at not less than 55 degrees F throughout concrete curing period or 45 degrees F when the curing period is measured by maturity. When necessary, make arrangements before start of concrete placing for heating, covering, insulation, or housing as required to maintain specified temperature and moisture conditions for concrete during curing period.

When the temperature of atmosphere is 80 degrees F and above or during other climatic conditions which cause too rapid drying of concrete, make arrangements before start of concrete placing for installation of wind breaks, of shading, and for fog spraying, wet sprinkling, or moisture-retaining covering of light color as required to protect concrete during curing period.

Changes in temperature of concrete must be uniform and not exceed 37 degrees F in any one hour nor 80 degrees F in any 24-hour period.

3.13.6 Protection from Mechanical Injury

During curing period, protect concrete from damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration and from damage caused by rain or running water.

3.13.7 Protection After Curing

Protect finished concrete surfaces from damage by construction operations.

3.14 FIELD QUALITY CONTROL

3.14.1 Aggregate Testing

3.14.1.1 Fine Aggregate

At least once during each shift when the concrete plant is operating, there shall be one sieve analysis and fineness modulus determination in accordance with ASTM C136/C136M and COE CRD-C 104 for the fine aggregate or for each fine aggregate if it is batched in more than one size or classification. The location at which samples are taken may be selected by the Contractor as the most advantageous for control. However, the Contractor is responsible for delivering fine aggregate to the mixer within specification limits. When the amount passing on any sieve is outside the specification limits, the fine aggregate shall be immediately resampled and retested. If there is another failure on any sieve, the fact shall be immediately reported to the Contracting Officer, concreting shall be stopped, and immediate steps taken to correct the grading.

3.14.1.2 Coarse Aggregate

At least once during each shift in which the concrete plant is operating, there shall be a sieve analysis in accordance with ASTM C136/C136M for each size of coarse aggregate. The location at which samples are taken may be selected by the Contractor as the most advantageous for production control. However, the Contractor shall be responsible for delivering the aggregate to the mixer within specification limits. A test record of samples of aggregate taken at the same locations shall show the results of the current test as well as the average results of the five most recent tests including the current test. The Contractor may adopt limits for control coarser than the specification limits for samples taken other than as delivered to the mixer to allow for degradation during handling. When the amount passing any sieve is outside the specification limits, the coarse aggregate shall be immediately resampled and retested. If the second sample fails on any sieve, that fact shall be reported to the Contracting Officer. Where two consecutive averages of 5 tests are outside specification limits, the operation shall be considered out of control and reported to the Contracting Officer. Concreting shall be stopped and immediate steps shall be taken to correct the grading.

3.14.2 Concrete Sampling

ASTM C172/C172M. Collect samples of fresh concrete to perform tests specified. ASTM C31/C31M for making test specimens.

3.14.3 Concrete Testing

3.14.3.1 Slump Tests

ASTM C143/C143M. Take concrete samples during concrete placement/discharge. The maximum slump may be increased as specified with the addition of an approved admixture provided that the water-cementitious material ratio is not exceeded. Perform tests at commencement of concrete placement, when test cylinders are made, and for each batch (minimum) or every 20 cubic yards (maximum) of concrete.

3.14.3.2 Temperature Tests

Test the concrete delivered and the concrete in the forms. Perform tests

in hot or cold weather conditions (below 50 degrees F and above 80 degrees F) for each batch (minimum) or every 20 cubic yards (maximum) of concrete, until the specified temperature is obtained, and whenever test cylinders and slump tests are made.

3.14.3.3 Compressive Strength Tests

ASTM C39/C39M. Make eight 6 inch by 12 inch test cylinders for each set of tests in accordance with ASTM C31/C31M, ASTM C172/C172M and applicable requirements of ACI 305R and ACI 306R. Take precautions to prevent evaporation and loss of water from the specimen. Test two cylinders at 7 days, two cylinders at 28 days, two cylinders at 56 days and hold two cylinder in reserve. Take samples for strength tests of each mix design of concrete placed each day not less than once a day, nor less than once for each 100 cubic yards of concrete for the first 500 cubic yards, then every 500 cubic yards thereafter, nor less than once for each 5400 square feet of surface area for slabs or walls. For the entire project, take no less than five sets of samples and perform strength tests for each mix design of concrete placed. Each strength test result must be the average of two cylinders from the same concrete sample tested at 28 days . Concrete compressive tests must meet the requirements of this section, the Contract Document, and ACI 301. Retest locations represented by erratic core strengths. Where retest does not meet concrete compressive strength requirements submit a mitigation or remediation plan for review and approval by the contracting officer. Repair core holes with nonshrink grout. Match color and finish of adjacent concrete.

3.14.3.4 Air Content

ASTM C173/C173M or ASTM C231/C231M for normal weight concrete . Test air-entrained concrete for air content at the same frequency as specified for slump tests.

3.14.3.5 Unit Weight of Structural Concrete

ASTM C567/C567M and ASTM C138/C138M. Determine unit weight of lightweight and normal weight concrete. Perform test for every 20 cubic yards maximum.

3.14.3.6 Strength of Concrete Structure

The strength of the concrete structure will be considered to be deficient if any of the following conditions are identified:

a. Failure to meet compressive strength tests as evaluated.

b. Reinforcement not conforming to requirements specified.

c. Concrete which differs from required dimensions or location in such a manner as to reduce strength.

d. Concrete curing and protection of concrete against extremes of temperature during curing, not conforming to requirements specified.

e. Concrete subjected to damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration.

f. Poor workmanship likely to result in deficient strength.

Where the strength of the concrete structure is considered deficient

submit a mitigation or remediation plan for review and approval by the contracting officer.

3.14.3.7 Non-Conforming Materials

Factors that indicate that there are non-conforming materials include (but not limited to) excessive compressive strength, inadequate compressive strength, excessive slump, excessive voids and honeycombing, concrete delivery records that indicate excessive time between mixing and placement, or excessive water was added to the mixture during delivery and placement. Any of these indicators alone are sufficient reason for the Contracting Officer to request additional sampling and testing.

Investigations into non-conforming materials must be conducted at the Contractor's expense. The Contractor must be responsible for the investigation and must make written recommendations to adequately mitigate or remediate the non-conforming material. The Contracting Officer may accept, accept with reduced payment, require mitigation, or require removal and replacement of non-conforming material at no additional cost to the Government.

3.14.3.8 Testing Concrete Structure for Strength

When there is evidence that strength of concrete structure in place does not meet specification requirements or there are non-conforming materials, make cores drilled from hardened concrete for compressive strength determination in accordance with ASTM C42/C42M, and as follows:

a. Take at least three representative cores from each member or area of concrete-in-place that is considered potentially deficient. Location of cores will be determined by the Contracting Officer.

b. Test cores after moisture conditioning in accordance with ASTM C42/C42M if concrete they represent is more than superficially wet under service.

c. Air dry cores, (60 to 80 degrees F with relative humidity less than 60 percent) for 7 days before test and test dry if concrete they represent is dry under service conditions.

d. Strength of cores from each member or area are considered satisfactory if their average is equal to or greater than 85 percent of the 28-day design compressive strength of the class of concrete.

Fill core holes solid with patching mortar and finished to match adjacent concrete surfaces.

Correct concrete work that is found inadequate by core tests in a manner approved by the Contracting Officer.

3.15 REPAIR, REHABILITATION AND REMOVAL

Before the Contracting Officer accepts the structure the Contractor must inspect the structure for cracks, damage and substandard concrete placements that may adversely affect the service life of the structure. A report documenting these defects must be prepared which includes recommendations for repair, removal or remediation must be submitted to the Contracting Officer for approval before any corrective work is accomplished.

3.15.1 Crack Repair

Prior to final acceptance, all cracks in excess of 0.02 inches wide must be documented and repaired. The proposed method and materials to repair the cracks must be submitted to the Contracting Officer for approval. The proposal must address the amount of movement expected in the crack due to temperature changes and loading.

3.15.2 Repair of Weak Surfaces

Weak surfaces are defined as mortar-rich, rain-damaged, uncured, or containing exposed voids or deleterious materials. Concrete surfaces with weak surfaces less than 1/4 inch thick must be diamond ground to remove the weak surface. Surfaces containing weak surfaces greater than 1/4 inch thick must be removed and replaced or mitigated in a manner acceptable to the Contracting Officer.

3.15.3 Failure of Quality Assurance Test Results

Proposed mitigation efforts by the Contractor must be approved by the Contracting Officer prior to proceeding.

-- End of Section --

SECTION 04 20 00

UNIT MASONRY
11/15, CHG 2: 05/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 216.1	(2014) Code Requirements for Determining Fire Resistance of Concrete and Masonry Construction Assemblies
ACI SP-66	(2004) ACI Detailing Manual

ASTM INTERNATIONAL (ASTM)

ASTM A153/A153M	(2023) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A185/A185M	(2007) Standard Specification for Steel Welded Wire Reinforcement, Plain, for Concrete
ASTM A615/A615M	(2022) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM A641/A641M	(2019) Standard Specification for Zinc-Coated (Galvanized) Carbon Steel Wire
ASTM A951/A951M	(2011) Standard Specification for Steel Wire for Masonry Joint Reinforcement
ASTM A996/A996M	(2016) Standard Specification for Rail-Steel and Axle-Steel Deformed Bars for Concrete Reinforcement
ASTM A1064/A1064M	(2022) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM C90	(2022) Standard Specification for Loadbearing Concrete Masonry Units
ASTM C207	(2018) Standard Specification for Hydrated Lime for Masonry Purposes
ASTM C270	(2019a; E 2019) Standard Specification for Mortar for Unit Masonry

ASTM C476	(2023) Standard Specification for Grout for Masonry
ASTM C494/C494M	(2019; E 2022) Standard Specification for Chemical Admixtures for Concrete
ASTM C641	(2017) Standard Test Method for Iron Staining Materials in Lightweight Concrete Aggregates
ASTM C1019	(2019) Standard Test Method for Sampling and Testing Grout
ASTM C1611/C1611M	(2021) Standard Test Method for Slump Flow of Self-Consolidating Concrete

THE MASONRY SOCIETY (TMS)

TMS MSJC	(2016) Masonry Standard Joint Committee's (MSJC) Book - Building Code Requirements and Specification for Masonry Structures, Containing TMS 402/ACI 530/ASCE 5, TMS 602/ACI 530.1/ASCE 6, and Companion Commentaries

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Reinforcement Detail Drawings

Masonry Wall Control Joint Layout

SD-03 Product Data

Hot Weather Procedures

Cold Weather Procedures

Cementitious Materials

SD-04 Samples

Concrete Masonry Units (CMU)

Anchors, Ties, and Bar Positioners

Joint Reinforcement

SD-05 Design Data

Fire-Rated Concrete Masonry Units

SD-06 Test Reports

Fire-Rated Concrete Masonry Units

Field Testing of Grout

SD-07 Certificates

Concrete Masonry Units (CMU)

Cementitious Materials

Admixtures for Grout

Anchors, Ties, and Bar Positioners

Joint Reinforcement

SD-08 Manufacturer's Instructions

Admixtures for Grout

SD-11 Closeout Submittals

Recycled Content of Cement

1.3 DELIVERY, STORAGE, AND HANDLING

Deliver, store, handle, and protect material to avoid chipping, breakage, and contact with soil or contaminating material. Store and prepare materials in already disturbed areas to minimize project site disturbance and size of project site.

1.3.1 Masonry Units

Cover and protect masonry units from precipitation. Conform to handling and storage requirements of TMS MSJC.

1.3.2 Reinforcement, Anchors, and Ties

Store steel reinforcing bars, coated anchors, ties, and joint reinforcement above the ground. Maintain steel reinforcing bars and uncoated ties free of loose mill scale and loose rust.

1.3.3 Cementitious Materials, Sand and Aggregates

Deliver cementitious and other packaged materials in unopened containers, plainly marked and labeled with manufacturers' names and brands. Store cementitious material in dry, weathertight enclosures or completely cover. Handle cementitious materials in a manner that will prevent the inclusion of foreign materials and damage by water or dampness. Store sand and aggregates in a manner to prevent contamination and segregation.

1.4 PROJECT/SITE CONDITIONS

Conform to TMS MSJC for hot and cold weather masonry erection.

1.4.1 Hot Weather Procedures

When ambient air temperature exceeds 100 degrees F, or exceeds 90 degrees F and the wind velocity is greater than 8 mph, comply with TMS MSJC Article

1.8 D for: preparation prior to conducting masonry work; construction while masonry work is in progress; and protection for newly completed masonry.

1.4.2 Cold Weather Procedures

When ambient temperature is below 40 degrees F, comply with TMS MSJC Article 1.8 C for: preparation prior to conducting masonry work; construction while masonry work is in progress; and protection for newly completed masonry.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

2.1.1 Design - Specified Compressive Strength of Masonry

The specified compressive strength of masonry, f'm, is 2,000 PSI.

2.2 MANUFACTURED UNITS

2.2.1 General Requirements

Do not change the source of materials, which will affect the appearance of the finished work, after the work has started except with Contracting Officer's approval. Submit test reports from an approved independent laboratory. Certify test reports on a previously tested material as the same materials as that proposed for use in this project. Submit certificates of compliance stating that the materials meet the specified requirements.

2.2.2 Concrete Units

2.2.2.1 Aggregates

Test lightweight aggregates, and blends of lightweight and heavier aggregates in proportions used in producing the units, for stain-producing iron compounds in accordance with ASTM C641,visual classification method. Do not incorporate aggregates for which the iron stain deposited on the filter paper exceeds the "light stain" classification.

Use industrial waste by-products (air-cooled slag, cinders, or bottom ash), ground waste glass and concrete, granulated slag, and expanded slag in aggregates.

2.2.2.2 Concrete Masonry Units (CMU)

2.2.2.2.1 Recycled Content

Provide units with a minimum of 10 percent post-consumer recycled content, or a minimum of 40 percent post-industrial recycled content, based on mass, cost, or volume.

2.2.2.2.2 Size

Provide units with specified dimension of 7.625 inches wide, 7.625 inches high, and 15.625 inches long. Provide standard widths and shapes ranging from nominal 4 inch to 1 foot, 0 inch wythe units. Furnish bullnose, corner, double corner, sill, cap and header units. Units must have a

regular, consistent texture.

2.2.2.2.3 Surfaces

Provide units with exposed surfaces that are smooth and of uniform texture.

2.2.2.2.4 Unit Types

a. Hollow Load-Bearing Units: ASTM C90, lightweight . Provide load-bearing units for exterior walls, foundation walls, load-bearing walls, and shear walls.

b. Solid Load-Bearing Units: ASTM C90, lightweight or normal weight units. Provide solid units as indicated.

2.2.2.3 Fire-Rated Concrete Masonry Units

For indicated fire-rated construction, provide concrete masonry units of minimum equivalent thickness for the fire rating indicated and the corresponding type of aggregates indicated in TABLE I. Units containing more than one of the aggregates listed in TABLE I will be rated by linear interpolation based on the percent by dry-rodded volume of each aggregate used in manufacturing the units.

TABLE I
FIRE-RATED CONCRETE MASONRY UNITS

Aggregate Type	Minimum Equivalent Thickness for Fire-Resistance Rating, inch						
	1/2 hour	3/4 hour	1 hour	1-1/2 hour	2 hours	3 hours	4 hours
Calcareous or siliceous gravel (other than limestone)	2.0	2.4	2.8	3.6	4.2	5.3	6.2
Limestone, cinders, or air-cooled slag	1.9	2.3	2.7	3.4	4.0	5.0	5.9
Expanded clay, expanded shale, or expanded slate	1.8	2.2	2.6	3.3	3.6	4.4	5.1
Expanded slag or pumice	1.5	1.9	2.1	2.7	3.2	4.0	4.7

Determine equivalent thickness in accordance with ACI 216.1. Where walls are to receive plaster or be faced with brick, or otherwise form an assembly; include the thickness of plaster or brick or other material in the assembly in determining the equivalent thickness. Submit calculation results.

2.3 EQUIPMENT

2.3.1 Vibrators

Maintain at least one spare vibrator on site at all times.

2.3.2 Grout Pumps

Pumping through aluminum tubes is not permitted.

2.4 MATERIALS

2.4.1 Mortar Materials

2.4.1.1 Cementitious Materials

Provide cementitious materials that conform to those permitted by ASTM C270.

2.4.1.2 Hydrated Lime and Alternates

Provide lime that conforms to one of the materials permitted by ASTM C207 for use in combination with portland cement, hydraulic cement, and blended hydraulic cement. Do not use lime in combination with masonry cement or mortar cement.

2.4.1.3 Aggregate and Water

Provide aggregate (sand) and water that conform to materials permitted by ASTM C270.

2.4.2 Grout and Ready-Mix Grout Materials

2.4.2.1 Cementitious Materials for Grout

Provide cementitious materials that conform to those permitted by ASTM C476.

2.4.2.2 Admixtures for Grout

Water-reducing admixtures that conform to ASTM C494/C494M Type F or G and viscosity-modifying admixtures that conform to ASTM C494/C494M Type S are permitted for use in grout. Other admixtures require approval by the Contracting Officer.

In cold weather, a non-chloride based accelerating admixture may be used subject to approval by the Contracting Officer; use accelerating admixture that is non-corrosive and conforms to ASTM C494/C494M, Type C.

2.4.2.3 Aggregate and Water

Provide fine and coarse aggregates and water that conform to materials permitted by ASTM C476.

2.5 MORTAR AND GROUT MIXES

2.5.1 Mortar Mix

a. Provide mortar Type S unless specified otherwise herein. Do not use masonry cement in the mortar. Do not use air-entrainment in the mortar.

b. For field-batched mortar, measure component materials by volume. Use measuring boxes for materials that do not come in packages, such as sand, for consistent batching. Mix cementitious materials and aggregates between 3 and 5 minutes in a mechanical batch mixer with a sufficient amount of water to produce a workable consistency. Do not hand mix mortar unless approved by the Contracting Officer. Maintain

workability of mortar by remixing or retempering. Discard mortar that has begun to stiffen or is not used within 2-1/2 hours after initial mixing.

c. For preblended mortar, follow manufacturer's mixing instructions.

2.5.2 Grout and Ready Mix Grout Mix

Use grout that conforms to ASTM C476. Use conventional grout with a slump between 8 and 11 inches. Use self-consolidating grout with slump flow of 24 to 30 inches and a visual stability index (VSI) not greater than 1. Provide minimum grout strength of 3,000 psi in 28 days, as tested in accordance with ASTM C1019. Do not change proportions and do not use materials with different physical or chemical characteristics in grout for the work unless additional evidence is furnished that grout meets the specified requirements. Use ready-mixed grout that conforms to ASTM C476.

2.6 ACCESSORIES

2.6.1 Grout Barriers

Grout barriers for vertical cores that consist of fine mesh wire, fiberglass, or expanded metal.

2.6.2 Anchors, Ties, and Bar Positioners

2.6.2.1 General

a. Fabricate anchors and ties without drips or crimps. Size anchors and ties to provide a minimum of 5/8 inch mortar cover from each face of masonry.

b. Fabricate steel wire anchors and ties shall from wire conforming to ASTM A1064/A1064M and hot-dip galvanize in accordance with ASTM A153/A153M.

c. Fabricate joint reinforcement in conformance with ASTM A951/A951M. Hot dip galvanize joint reinforcement in exterior walls and in interior walls exposed to moist environment in conformance with ASTM A153/A153M. Galvanize joint reinforcement in other interior walls in conformance with ASTM A641/A641M; coordinate with paragraph JOINT REINFORCEMENT below.

d. Submit two anchors, ties and bar positioners of each type used, as samples.

2.6.2.2 Wire Mesh Anchors

Provide wire mesh anchors of 1/4 inch mesh galvanized hardware cloth, conforming to ASTM A185/A185M, with length not less than 12 inches, at intersections of interior non-bearing masonry walls.

2.6.3 Joint Reinforcement

Factory fabricate joint reinforcement in conformance with ASTM A951/A951M, welded construction. Provide ladder type joint reinforcement, having one longitudinal wire in the mortar bed of each face shell for hollow units and one wire for solid units and with all wires a minimum of 9 gauge. Size joint reinforcement to provide a minimum of 5/8 inch cover from each

face. Space crosswires not more than 16 inches. Provide joint reinforcement for straight runs in flat sections not less than 10 feet long. Provide joint reinforcement with factory formed corners and intersections. If approved for use, joint reinforcement may be furnished with adjustable wall tie features. Submit one piece of each type used, including corner and wall intersection pieces, showing at least two cross wires.

2.6.4 Reinforcing Steel Bars

Reinforcing steel bars and rods shall conform to ASTM A615/A615M or ASTM A996/A996M, Grade 60.

PART 3 EXECUTION

3.1 EXAMINATION

Prior to start of work, verify the applicable conditions as set forth in TMS MSJC, inspection.

3.2 PREPARATION

3.2.1 Stains

Protect exposed surfaces from mortar and other stains. When mortar joints are tooled, remove mortar from exposed surfaces with fiber brushes and wooden paddles. Protect base of walls from splash stains by covering adjacent ground with sand, sawdust, or polyethylene.

3.2.2 Loads

Do not apply uniform loads for at least 12 hours or concentrated loads for at least 72 hours after masonry is constructed. Provide temporary bracing as required.

3.2.3 Concrete Surfaces

Where masonry is to be placed, clean concrete of laitance, dust, dirt, oil, organic matter, or other foreign materials and slightly roughen to provide a surface texture with a depth of at least 1/8 inch. Sandblast, if necessary, to remove laitance from pores and to expose the aggregate.

3.3 ERECTION

3.3.1 General

a. Coordinate masonry work with the work of other trades to accommodate built-in items and to avoid cutting and patching. Lay masonry units in running bond pattern. Lay facing courses level with back-up courses, unless the use of adjustable ties has been approved in which case the tolerances is plus or minus 1/2 inch. Adjust each unit to its final position while mortar is still soft and has plastic consistency.

b. Remove and clean units that have been disturbed after the mortar has stiffened, and relay with fresh mortar. Keep air spaces, cavities, chases, expansion joints, and spaces to be grouted free from mortar and other debris. Select units to be used in exposed masonry surfaces

from those having the least amount of chipped edges or other imperfections detracting from the appearance of the finished work.

c. When necessary to temporarily discontinue the work, step (rack) back the masonry for joining when work resumes. Toothing may be used only when specifically approved by the Contracting Officer. Before resuming work, remove loose mortar and thoroughly clean the exposed joint. Cover the top of walls subjected to rain or snow with nonstaining waterproof covering or membrane when work is not in process. Extend the covering a minimum of 610 mm 2 feet down on each side of the wall and hold securely in place.

d. Ensure that units being laid and surfaces to receive units are free of water film and frost. Lay solid units in a nonfurrowed full bed of mortar. Bevel mortar for veneer wythes and slope down toward the cavity side. Shove units into place so that the vertical joints are tight. Completely fill vertical joints between solid units with mortar, except where indicated at control, expansion, and isolation joints. Place hollow units so that mortar extends to the depth of the face shell at heads and beds, unless otherwise indicated. Mortar will be permitted to protrude up to 1/2 inch into the space or cells to be grouted. Provide means to prevent mortar from dropping into the space below or clean grout spaces prior to grouting.

e. In multi-wythe construction with collar joints no more than 3/4 inch wide, bring up the inner wythe not more than 16 inches ahead of the outer wythe. Fill collar joints with mortar during the laying of the facing wythe, and filling shall not lag the laying of the facing wythe by back-buttering each unit as it is laid.

3.3.1.1 Jointing

Tool mortar joints when the mortar is thumbprint hard. Tool horizontal joints after tooling vertical joints. Brush mortar joints to remove loose and excess mortar.

3.3.1.1.1 Tooled Joints

Tool mortar joints in exposed exterior and interior masonry surfaces concave, using a jointer that is slightly larger than the joint width so that complete contact is made along the edges of the unit. Perform tooling so that the mortar is compressed and the joint surface is sealed. Use a jointer of sufficient length to obtain a straight and true mortar joint. No exterior joints are to be left un-tooled.

3.3.1.1.2 Door and Window Frame Joints

On the exposed interior side of exterior frames, joints between frames and abutting masonry walls shall be raked to a depth of 3/8 inch. On the exterior side of exterior frames, joints between frames and abutting masonry walls shall be raked to a depth of 3/8 inch.

3.3.1.1.3 Joint Widths

a. Construct brick masonry with mortar joint widths equal to the difference between the specified and nominal dimensions of the unit, within tolerances permitted by TMS MSJC.

b. Provide 3/8 inch wide mortar joints in concrete masonry.

c. Maintain mortar joint widths within tolerances permitted by TMS MSJC

3.3.1.2 Cutting and Fitting

Use full units of the proper size wherever possible, in lieu of cut units. Locate cut units where they would have the least impact on the architectural aesthetic goals of the facility. Perform cutting and fitting, including that required to accommodate the work of others, by masonry mechanics using power masonry saws. Concrete masonry units may be wet or dry cut. Before being placed in the work, dry wet-cut units to the same surface-dry appearance as uncut units being laid in the wall. Provide cut edges that are clean, true and sharp.

a. Carefully make openings in the masonry so that wall plates, cover plates or escutcheons required by the installation will completely conceal the openings and will have bottoms parallel with the masonry bed joints. Provide reinforced masonry lintels above openings over 12 inches wide for pipes, ducts, cable trays, and other wall penetrations, unless steel sleeves are used.

b. Do not reduce masonry units in size by more than one-third in height and one-half in length. Do not locate cut products at ends of walls, corners, and other openings.

3.3.1.3 Unfinished Work

Rack back unfinished work for joining with new work. Toothing may be resorted to only when specifically approved by the Contracting Officer. Remove loose mortar and thoroughly clean the exposed joints before laying new work.

3.3.1.4 Control Joints

Provide control joints in concrete masonry as indicated. Construct by raking out mortar within the head joint. Form a continuous vertical joint at control joint locations, including through bond beams, by utilizing half blocks in alternating courses on each side of the joint. Interrupt the control joint key in courses containing continuous bond beam reinforcement. Do not interrupt the horizontal reinforcement and grout at the control joint. Submit Masonry Wall Control Joint Layout

Where mortar was placed in the joint, rake both faces of the control joints to a depth of 3/4 inch. Install backer rod and sealant on both faces in accordance with Section 07 92 00 JOINT SEALANTS.

3.3.2 ANCHORAGE

3.3.2.1 Anchorage at Intersecting Walls

Provide wire mesh anchors at maximum 16 inches spacing at intersections of interior non-bearing masonry walls.

Anchor structural masonry walls with horizontal joint reinforcement spaced no more than 1 foot, 4 inches feet on center, unless the drawings indicate a movement joint at the intersection.

3.3.3 Lintels

3.3.3.1 Masonry Lintels

Construct masonry lintels with lintel units filled solid with grout in all courses and reinforced with a minimum of two No. 4 bars in the bottom course unless otherwise indicated. Extend lintel reinforcement beyond each side of masonry opening 40 bar diameters or 24 inches, whichever is greater. Support reinforcing bars in place prior to grouting and locate 1/2 inch above the bottom inside surface of the lintel unit.

3.3.3.2 Precast Concrete and Steel Lintels

Provide steel lintels as shown on the Drawings. Set lintels in a full bed of mortar with faces plumb and true. Provide steel lintels with a minimum bearing length of 8 inches unless otherwise indicated. In partially grouted masonry, provide fully grouted units under the full lintel bearing length, unless otherwise indicated.

3.4 INSTALLATION

3.4.1 Bar Reinforcement Installation

3.4.1.1 Preparation

Submit detail drawings showing bar splice locations. Identify bent bars on a bending diagram and reference and locate such bars on the drawings. Show wall dimensions, bar clearances, and wall openings. Utilize bending details that conform to the requirements of ACI SP-66. No approval will be given to the shop drawings until the Contractor certifies that all openings, including those for mechanical and electrical service, are shown. If, during construction, additional masonry openings are required, resubmit the approved shop drawings with the additional openings shown along with the proposed changes. Clearly highlight location of these additional openings. Provide wall elevation drawings with minimum scale of 1/4 inch per foot. Submit drawings including plans, elevations, and details of wall reinforcement; details of reinforcing bars at corners and wall intersections; offsets; tops, bottoms, and ends of walls; control and expansion joints; lintels; and wall openings.

Clean reinforcement of loose, flaky rust, scale, grease, mortar, grout, and other coatings that might destroy or reduce its bond prior to placing grout. Do not use bars with kinks or bends not shown on the approved shop drawings. Place reinforcement prior to grouting. Unless otherwise indicated, extend vertical wall reinforcement to within 2 inches of tops of walls.

3.4.1.2 Positioning Bars

a. Accurately place vertical bars within the cells at the positions indicated on the drawings. A minimum clearance of 1/2 inch shall be maintained between the bars and masonry units. Provide minimum clearance between parallel bars of 1/2 inch between the bars and masonry units for coarse grout and a minimum clearance of 1/4 inch between the bars and masonry units for fine grout. Provide minimum clearance between parallel bars of 1 inch or one diameter of the reinforcement, whichever is greater. Vertical reinforcement may be held in place using bar positioners located near the ends of each bar and at intermediate intervals of not more than 192 diameters of the

reinforcement or by other means to prevent displacement beyond permitted tolerances. As masonry work progresses, secure vertical reinforcement to prevent displacement beyond allowable tolerances.

b. Wire column and pilaster lateral ties in position around the vertical reinforcing bars. Place lateral ties in contact with the vertical reinforcement and do not place in horizontal mortar bed joints.

c. Position horizontal reinforcing bars as indicated. Stagger splices in adjacent horizontal bars, unless otherwise indicated.

d. Form splices by lapping bars as indicated. Do not cut, bend or eliminate reinforcing bars. Foundation dowel bars may be field-bent when permitted by TMS MSJC.

3.4.1.3 Splices of Bar Reinforcement

Lap splice reinforcing bars as indicated. When used, provide welded or mechanical connections that develop at least 125 percent of the specified yield strength of the reinforcement.

3.4.2 Placing Grout

3.4.2.1 General

Fill cells containing reinforcing bars with grout. Solidly grout hollow masonry units in walls or partitions supporting plumbing, heating, or other mechanical fixtures, voids at door and window jambs, and other indicated spaces. Solidly grout cells under lintel bearings on each side of openings for full height of openings. Solidly grout walls below grade, lintels, and bond beams. Units other than open end units may require grouting each course to preclude voids in the units.

Discard site-mixed grout that is not placed within 1-1/2 hours after water is first added to the batch or when the specified slump is not met without adding water after initial mixing. Discard ready-mixed grout that does not meet the specified slump without adding water other than water that was added at the time of initial discharge. Allow sufficient time between grout lifts to preclude displacement or cracking of face shells of masonry units. Provide a grout shear key between lifts when grouting is delayed and the lower lift loses plasticity. If blowouts, flowouts, misalignment, or cracking of face shells should occur during construction, tear down the wall and rebuild.

3.4.2.2 Horizontal Grout Barriers

Embed horizontal grout barriers in mortar below cells of hollow units receiving grout.

3.4.2.3 Grout Holes and Cleanouts

3.4.2.3.1 Grout Holes

Provide grouting holes in slabs, spandrel beams, and other in-place overhead construction. Locate holes over vertical reinforcing bars or as required to facilitate grout fill in bond beams. Provide additional openings spaced not more than 16 inches on centers where grouting of hollow unit masonry is indicated. Fom such openings not less than 4 inches in diameter or 3 by 4 inches in horizontal dimensions. Upon completion

of grouting operations, plug and finish grouting holes to match surrounding surfaces.

3.4.2.3.2 Cleanouts for Hollow Unit Masonry Construction

For hollow masonry units. provide cleanout holes at the bottom of every grout pour in cores containing vertical reinforcement when the height of the grout pour exceeds 5 feet 4 inches. Where all cells are to be grouted, construct cleanout courses using bond beam units in an inverted position to permit cleaning of all cells. Provide cleanout holes at a maximum spacing of 32 inches where all cells are to be filled with grout.

Establish a new series of cleanouts if grouting operations are stopped for more than 4 hours. Provide cleanouts not less than 3 by 3 inch by cutting openings in one face shell. Manufacturer's standard cutout units may be used at the Contractor's option. Do not cleanout holes until masonry work, reinforcement, and final cleaning of the grout spaces have been completed and inspected. For walls which will be exposed to view, close cleanout holes in an approved manner to match surrounding masonry.

3.4.2.4 Grout Placement

A grout pour is the total height of masonry to be grouted prior to erection of additional masonry. A grout lift is an increment of grout placement within a grout pour. A grout pour is filled by one or more lifts of grout.

a. Lay masonry to the top of a pour permitted by TMS MSJC Table 7, based on the size of the grout space and the type of grout. Prior to grouting, remove masonry protrusions that extend 1/2 inch or more into cells or spaces to be grouted. Provide grout holes and cleanouts in accordance with paragraph GROUT HOLES AND CLEANOUTS above when the grout pour height exceeds 4 feet 0 inches. Hold reinforcement, bolts, and embedded connections rigidly in position before grouting is started. Do not prewet concrete masonry units.

b. Place grout using a hand bucket, concrete hopper, or grout pump to fill the grout space without segregation of aggregate. Operate grout pumps to produce a continuous stream of grout without air pockets, segregation, or contamination.

c. If the masonry has cured at least 4 hours, grout slump is maintained between 10 to 11 inches, and no intermediate reinforced bond beams are placed between the top and bottom of the pour height, place conventional grout in lifts not exceeding 12 feet 8 inches. For the same curing and slump conditions but with intermediate bond beams, limit conventional grout lift to the bottom of the lowest bond beam that is more than 4 feet 0 inches above the bottom of the lift, but do not exceed 12 feet 8 inches. If masonry has not cured at least 4 hours or grout slump is not maintained between 10 to 11 inches, place conventional grout in lifts not exceeding 4 feet 0 inches.

d. Consolidate conventional grout lift and reconsolidate after initial settlement before placing next lift. For grout pours that are 12 inches or less in height, consolidate and reconsolidate grout by mechanical vibration or puddling. For grout pours that are greater than 12 inches in height, consolidate and reconsolidate grout by mechanical vibration. Apply vibrators at uniformly spaced points not further apart than the visible effectiveness of the machine. Limit

duration of vibration to time necessary to produce satisfactory consolidation without causing segregation. If previous lift is not permitted to set, dip vibrator into previous lift. Do not insert vibrators into lower lifts that are in a semi-solidified state. If lower lift sets prior to placement of subsequent lift, form a grout key by terminating grout a minimum of 1-1/2 inch below a mortar joint. Vibrate each vertical cell containing reinforcement in partially grouted masonry. Do not form grout keys within beams.

e. If the masonry has cured 4 hours, place self-consolidating grout (SCG) in lifts not exceeding the pour height. If masonry has not cured for at least 4 hours, place SCG in lifts not exceeding 4 feet 0 inches. Do not mechanically consolidate self-consolidating grout. Place self-consolidating grout in accordance with manufacturer's recommendations.

f. Upon completion of each day's grouting, remove waste materials and debris from the equipment, and dispose of outside the masonry.

3.4.3 Joint Reinforcement Installation

Install joint reinforcement at 16 inches on center unless otherwise indicated. Lap joint reinforcement not less than 6 inches. Install prefabricated sections at corners and wall intersections. Place the longitudinal wires of joint reinforcement in mortar beds to provide not less than 5/8 inch cover to either face of the unit.

3.4.4 Bond Beams

Reinforce and grout bond beams as indicated and as described in paragraphs above. Install grout barriers under bond beam units to retain the grout as required, unless wall is fully grouted or solid bottom units are used. For high lift grouting in partially grouted masonry, provide grout retaining material on the top of bond beams to prevent upward flow of grout. Ensure that reinforcement is continuous, including around corners, except through control joints or expansion joints, unless otherwise indicated.

3.5 APPLICATION

3.5.1 Interface with Other Products

3.5.1.1 Built-In Items

Fill spaces around built-in items with mortar. Point openings around flush-mount electrical outlet boxes in wet locations with mortar. Embed anchors, ties, wall plugs, accessories, flashing, pipe sleeves and other items required to be built-in as the masonry work progresses. Fully embed anchors, ties and joint reinforcement in the mortar. Fill cells receiving anchor bolts and cells of the first course below bearing plates with grout, unless otherwise indicated.

3.5.1.2 Door and Window Frame Joints

On the exposed interior and exterior sides of exterior frames, rake joints between frames and abutting masonry walls to a depth of 3/8 inch.

3.5.1.3 Bearing Plates

Set bearing plates for beams, joists, joist girders and similar structural members to the proper line and elevation with damp-pack bedding mortar, except where non-shrink grout is indicated. Provide bedding mortar and non-shrink grout.

3.5.2 Tolerances

Lay masonry plumb, true to line, with courses level within the tolerances of TMS MSJC, Article 3.3 F.

3.6 FIELD QUALITY CONTROL

3.6.1 Tests

3.6.1.1 Field Testing of Grout

a. Perform grout testing at the following frequency: one time per day of placement. For each required grout property to be evaluated, provide a minimum of three specimens.

b. Sample and test conventional and self-conslidating grout for compressive strength and temperature in accordance with ASTM C1019.

c. Evaluate slump in conventional grout in accordance with ASTM C1019.

d. Evaluate slump flow and visual stability index of self-consolidating grout in accordance with ASTM C1611/C1611M.

3.7 POINTING AND CLEANING

After mortar joints have attained their initial set, but prior to hardening, completely remove mortar and grout daubs and splashings from masonry-unit surfaces that will be exposed. Before completion of the work, rake out defects in joints of masonry to be exposed, fill with mortar, and tool to match existing joints. Immediately after grout work is completed, remove scum and stains that have percolated through the masonry work using a low pressure stream of water and a stiff bristled brush. Do not clean masonry surfaces, other than removing excess surface mortar, until mortar in joints has hardened. Leave masonry surfaces clean, free of mortar daubs, dirt, stain, and discoloration, including scum from cleaning operations, and with tight mortar joints throughout. Do not use metal tools and metal brushes for cleaning.

3.7.1 Dry-Brushing Concrete Masonry

Dry brush exposed concrete masonry surfaces at the end of each day's work and after any required pointing, using stiff-fiber bristled brushes.

3.8 PROTECTION

Protect facing materials against staining. Cover top of walls with nonstaining waterproof covering or membrane to protect from moisture intrusion when work is not in progress. Continue covering the top of the unfinished walls until the wall is waterproofed with a complete roof or parapet system. Extend covering a minimum of 2 feet down on each side of the wall and hold securely in place. Before starting or resuming work, clean top surface of masonry in place of loose mortar and foreign material.

-- End of Section --

SECTION 05 51 33

METAL LADDERS
02/16, CHG 2: 02/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN LADDER INSTITUTE (ALI)

ALI A14.3	(2008; R 2018) Ladders - Fixed - Safety Requirements

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel

ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A47/A47M	(1999; R 2022; E 2022) Standard Specification for Ferritic Malleable Iron Castings
ASTM A53/A53M	(2022) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A153/A153M	(2023) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A500/A500M	(2021a) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A780/A780M	(2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings

ASTM A924/A924M	(2022a) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process
ASTM D1187/D1187M	(1997; E 2011; R 2011) Asphalt-Base Emulsions for Use as Protective Coatings for Metal

MASTER PAINTERS INSTITUTE (MPI)

MPI 79	(2016) Primer, Alkyd, Anti-Corrosive for Metal

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.23	(Nov 2016) Ladders

1.2 SUBMITTALS

Government approval is required for all submittals. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Ladders, Installation Drawings

SD-03 Product Data

Ladders

SD-07 Certificates

Fabricator Certification for Ladder Assembly

1.3 CERTIFICATES

Provide fabricator certification for ladder assembly stating that the ladder and associated components have been fabricated according to the requirements of 29 CFR 1910.23.

1.4 QUALIFICATION OF WELDERS

Qualify welders working with steel in accordance with AWS D1.1/D1.1M. Use procedures, materials, and equipment of the type required for the work.

1.5 DELIVERY, STORAGE, AND PROTECTION

Protect from corrosion, deformation, and other types of damage. Store items in an enclosed area free from contact with soil and weather. Remove and replace damaged items with new items.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Structural Carbon Steel

ASTM A36/A36M.

2.1.2 Structural Tubing

ASTM A500/A500M.

2.1.3 Steel Pipe

ASTM A53/A53M, Type E or S, Grade B.

2.1.4 Fittings for Steel Pipe

Standard malleable iron fittings ASTM A47/A47M.

2.2 FABRICATION FINISHES

2.2.1 Galvanizing

Hot-dip galvanize with a zinc-coating, after fabrication where practicable. Galvanizing: ASTM A123/A123M, ASTM A153/A153M, ASTM A653/A653M or ASTM A924/A924M, G90, as applicable.

2.2.2 Galvanize

Anchor bolts, washers, and parts or devices necessary for proper installation, unless indicated otherwise.

2.2.3 Repair of Zinc-Coated Surfaces

Repair damaged surfaces with galvanizing repair method and paint conforming to ASTM A780/A780M or by application of stick or thick paste material specifically designed for repair of galvanizing, as approved by Contracting Officer. Clean areas to be repaired and remove slag from welds. Heat surfaces to which stick or paste material is applied, with a torch to a temperature sufficient to melt the metallics in stick or paste; spread molten material uniformly over surfaces to be coated and wipe off excess material.

2.3 LADDERS

Fabricate vertical ladders conforming to 29 CFR 1910.23 and Section 5 of ALI A14.3. Ladders shall be capable of supporting their maximum intended load. Use 2 1/2 by 3/8 inch steel flats for stringers and 3/4 inch diameter steel rods for rungs. Ladder rungs, step and cleats must be spaced not less than 10 inches and not more than 16 inches wide (measured before installation of ladder safety system), spaced no more than 14 inches apart, plug welded or shouldered and headed into stringers. Install ladders so that the maximum perpendicular distance from the centerline of the steps or rungs, or grab bars, or both, to the nearest permanent object in the back of the ladder or to the finished wall surface will not be less than 7 inches, except for the elevator pit ladders, which have a minimum perpendicular distance of 4.5 inches. Provide heavy clip angles riveted or bolted to the stringer and drilled for not less than two 1/2 inch

diameter expansion bolts as indicated. Provide intermediate clip angles not over 48 inches on centers. The top rung of the ladder must be level with the top of the access level, parapet or landing served by the ladder except for hatches or wells. Extend the side rails of through or side step ladders 42 inches above the access level. Provide ladder access protective swing gates at the top of access/egress level. The drawings must indicate ladder locations and details of critical dimensions and materials.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Install items at locations indicated, according to manufacturer's instructions. Verify all measurements and take all field measurements necessary before fabrication. Provide Exposed fastenings of compatible materials, generally matching in color and finish, and harmonize with the material to which fastenings are applied. Include materials and parts necessary to complete each item, even though such work is not definitely shown or specified. Poor matching of holes for fasteners will be cause for rejection. Conceal fastenings where practicable. Thickness of metal and details of assembly and supports must provide strength and stiffness. Formed joints exposed to the weather to exclude water. Items listed below require additional procedures.

3.2 WORKMANSHIP

Metalwork must be well formed to shape and size, with sharp lines and angles and true curves. Drilling and punching must produce clean true lines and surfaces. Continuously weld along the entire area of contact. Do not tack weld exposed connections of work in place. Grid smooth exposed welds. Provide smooth finish on exposed surfaces of work in place, unless otherwise approved. Where tight fits are required, mill joints. Cope or miter corner joints, well formed, and in true alignment. Install in accordance with manufacturer's installation instructions and approved drawings, cuts, and details.

3.3 ANCHORAGE, FASTENINGS, AND CONNECTIONS

Provide anchorage where necessary for fastening metal items securely in place. Include for anchorage not otherwise specified or indicated slotted inserts, expansion anchors, and powder-actuated fasteners, when approved for concrete; toggle bolts and through bolts for masonry; machine bolts, carriage bolts and powder-actuated threaded studs for steel; through bolts, lag bolts, and screws for wood. Do not use wood plugs in any material. Provide non-ferrous attachments for non-ferrous metal. Make exposed fastenings of compatible materials, generally matching in color and finish, to which fastenings are applied. Conceal fastenings where practicable.

3.4 WELDING

Perform welding, welding inspection, and corrective welding, in accordance with AWS D1.1/D1.1M. Use continuous welds on all exposed connections. Grind visible welds smooth in the finished installation.

3.5 FINISHES

3.5.1 Dissimilar Materials

Where dissimilar metals are in contact, protect surfaces with a coat conforming to MPI 79 to prevent galvanic or corrosive action. Where aluminum is in contact with concrete, plaster, mortar, masonry, wood, or absorptive materials subject to wetting, protect with ASTM D1187/D1187M, asphalt-base emulsion.

3.6 LADDERS

Secure to the adjacent construction with the clip angles attached to the stringer. Secure to masonry or concrete with not less than two 1/2 inch diameter expansion bolts. Install intermediate clip angles not over 48 inches on center. Install brackets as required for securing of ladders welded or bolted to structural steel or built into the masonry or concrete. Ends of ladders must not rest upon floors.

-- End of Section --

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SECTION 07 10 40

CRYSTALLINE WATERPROOFING
04/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C39/C39M	(2023) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM D92	(2012a) Standard Test Method for Flash and Fire Points by Cleveland Open Cup Tester
ASTM D5084	(2016a) Standard Test Methods for Measurement of Hydraulic Conductivity of Saturated Porous Materials Using a Flexible Wall Permeameter
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2022a; E 2023) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

CRYSTALLINE WATERPROOFING systems

SD-03 Product Data

CRYSTALLINE WATERPROOFING systems

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide labor, material, equipment and services necessary for, and reasonably incidental to, furnishing and installing waterproofing work indicated within the Instruments of Service. Incorporate related accessories and specialties to accomplish a complete and proper installation. Coordinate and schedule this work with the work of other trades to ultimately provide superior workmanship in the finished product.

2.2 MATERIALS

Furnish CRYSTALLINE WATERPROOFING systems.

Crystalline waterproofing is a blend of chemicals, quartz sand and cement formulated for negative side, or inside, vertical and horizontal applications. Chemicals require a moisture catalyst to initiate a reaction within the concrete matrix. When combined with free lime, chemicals form long chained complexes that crystallize and penetrate 2 inches into concrete capillaries after twenty-eight days to eliminate water migration. The crystalline process is continuous as long as moisture is present in the concrete, significantly reducing natural porosity by filling small cracks and voids. The concrete remains able to breathe as waterproofing is not a vapor barrier. If no moisture is present, crystals lie dormant until moisture appears and then reactivates to eliminate water migration.

Crystalline Waterproofing	**Performance**	**Standard**
Compressive strength, 3 days ASTM C39/C39M	4,145 PSI	
Compressive strength, 7 days ASTM C39/C39M	5,560 PSI	
Compressive strength, 28 days ASTM C39/C39M	6,970 PSI	
Flash point	500 F	ASTM D92
Water permeability, centimeters per second	6.6 x 10-12	ASTM D5084
Flame spread rating	Less than 60	ASTM E84
Water vapor transmission ASTM E96/E96M	0.012 perms	
VOC content	0 grams per liter	
Hydrostatic head pressure	100 feet	

2.3 ACCESSORIES

Furnish fasteners, accessories and options as recommended by the manufacturer for the specific applications and substrates encountered within the work.

PART 3 EXECUTION

3.1 INSTALLATION

Crystalline waterproofing is highly resistant to salts, alkalis and seawater. Common applications suited for use include foundation walls and floor slabs.

Implement personnel safety precautions and avoid prolonged contact with skin. Protect freshly applied crystalline waterproofing from freezing, and from hot and windy conditions conducive to extreme drying. Do not use on exterior walls. Evaluate concrete surfaces. Enlarge concrete cracks wider than 0.01 inches. Sawcut or rout 0.5 to 0.75 inches in width and depth. Make concrete repairs.

Surfaces must be free of dirt, residue, water repellent compound, curing agent, hardener, oil, grease, paint, laitance and other foreign matter. Install sealants in control, expansion and similar construction joints.

Saturate surfaces to ensure moisture is present in concrete and concrete masonry unit capillaries.

Mix two and a half parts of powdered crystalline waterproofing to one part water by volume to produce a mix suited for brushing or spraying. Apply mixed waterproofing within a half hour and prior to thickening. Install slurry at a rate of 2.4 pounds per square yard in two coats. Apply the second coat while the first is tacky.

Work waterproofing into and around joints, grooves and slots. Continuously treat reveals, concealed soffits and opening returns. Install waterproofing uniformly and neatly.

Cure waterproofing with an intermittent water fog the day after application.

-- End of Section --

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SECTION 07 22 00

ROOF AND DECK INSULATION
02/16, CHG 3: 11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C1289	(2022) Standard Specification for Faced Rigid Cellular Polyisocyanurate Thermal Insulation Board

FM GLOBAL (FM)

FM 4450	(1989) Approval Standard for Class 1 Insulated Steel Deck Roofs
FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

UNDERWRITERS LABORATORIES (UL)

UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Insulation Board Layout and Attachment

SD-03 Product Data

Insulation

Fasteners

Recycled Content For Insulation

SD-07 Certificates

Installer Qualifications

Indoor Air Quality For Insulation

SD-08 Manufacturer's Instructions

Nails and Fasteners

Roof Insulation

1.3 SHOP DRAWINGS

Submit insulation board layout and attachment indicating methods of attachment and spacing, transitions, tapered components, thicknesses of materials, and closure and termination conditions. Show locations of ridges, valleys, crickets, interface with, and slope to, roof drains. Show wood nailers..

1.4 PRODUCT DATA

Include data for material descriptions, recommendations for product shelf life, requirements for coatings, and precautions for flammability and toxicity. Include data to verify compatibility of sealants with insulation.

1.5 MANUFACTURER'S INSTRUCTIONS

Include field of roof and perimeter attachment requirements.

Provide a complete description of installation sequencing for each phase of the roofing system. Include weatherproofing procedures.

1.6 QUALITY CONTROL

Provide certification of installer qualifications from the insulation manufacturer confirming the specific installer has the required qualifications for installing the specific roof insulation system(s) indicated.

1.7 CERTIFICATIONS

Provide products certified to meet indoor air quality requirements by UL 2818(Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification by other third-party programs. Provide current product certification documentation from certification body.

1.8 DELIVERY, STORAGE, AND HANDLING

1.8.1 Delivery

Deliver materials to the project site in manufacturer's unopened and undamaged standard commercial containers bearing the following legible information:

a. Name of manufacturer

b. Brand designation

c. Specification number, type, and class, as applicable, where materials are covered by a referenced specification

Deliver materials in sufficient quantity to allow continuity of the work.

1.8.2 Storage and Handling

Store and handle materials in accordance with manufacturer's printed instructions. Protect from damage, exposure to open flame or other ignition sources, wetting, condensation, and moisture absorption. Keep materials wrapped and separated from off-gassing materials (such as drying paints and adhesives). Do not use materials that have visible moisture or biological growth. Store in an enclosed building or trailer that provides a dry, adequately ventilated environment. Replace damaged material with new material.

1.9 ENVIRONMENTAL CONDITIONS

Do not install roof insulation during inclement weather or when air temperature is below 40 degrees F and interior humidity is 45 percent or greater, or when there is visible ice, frost, or moisture on the roof deck.

PART 2 PRODUCTS

2.1 INSULATION

2.1.1 Insulation Types

Provide roof insulation that is compatible with attachment methods for the specified insulation and roof membrane.

a. Polyisocyanurate Board: Provide in accordance with ASTM C1289 REV A Type II, fibrous felt or glass mat membrane both sides, except minimum compressive strength of 20 pounds per square inch (psi).

2.1.2 Recycled Materials

Provide thermal insulation materials containing recycled content. Unless specified otherwise, the minimum required recycled content for listed materials are:

Polyisocyanurate/polyurethane:	9 percent recovered material

Provide data identifying percentage of recycled content for insulation.

2.1.3 Indoor Air Quality

Provide certification of indoor air quality for insulation.

2.1.4 Insulation Thickness

As necessary to provide the thermal resistance (R-value) of R-34.2. Base calculation on the R-value for aged insulation. For insulation over steel decks, satisfy both specified R-value and minimum thickness for width of rib opening recommended in insulation manufacturer's published literature.

2.1.5 Tapered Roof Insulation

Provide tapered insulation to create slopes indicated for level bearing

substrates and crickets. Crickets are twice the primary roof slope.

2.1.6 Cants and Tapered Edge Strips

Provide preformed cants and tapered edge strips of the same material as the roof insulation. When unavailable, provide pressure-preservative treated wood, wood fiberboard, or rigid perlite board cants and edge strips. Face of cant strips to incline at 45 degrees with a minimum vertical height of 4 inches. Taper edge strips at a rate of one to 1 1/2 inch per foot down to approximately 1/8 inch thick.

2.2 FASTENERS

Provide flush-driven fasteners through flat round or hexagonal steel or plastic plates. Provide zinc-coated steel plates, flat round not less than 1 3/8 inch diameter, hexagonal not less than 28 gage. Provide high-density plastic plates, molded thermoplastic with smooth top surface, reinforcing ribs and not less than 3 inches in diameter. Fully recess fastener head into plastic plate after it is driven. Form plates to prevent dishing. Do not use bell or cup shaped plates. Provide fasteners in accordance with insulation manufacturer's recommendations for holding power when driven, or a minimum of 120 pounds each in steel deck, whichever is the higher minimum. Provide fasteners for steel or concrete decks in accordance with FM APP GUIDE (http://www.approvalguide.com/) for Class I roof deck construction, and spaced to withstand uplift pressure of 50 pounds per square foot.

2.2.1 Fasteners for Steel Decks

Approved hardened penetrating fasteners or screws in accordance with FM 4450 and listed in FM APP GUIDE for Class I roof deck construction. Quantity and placement to withstand a minimum uplift pressure of 50 psf in accordance with FM APP GUIDE.

2.3 WOOD NAILERS

Pressure-preservative treated as specified.

PART 3 EXECUTION

3.1 EXAMINATION AND PREPARATION

3.1.1 Surface Inspection

Ensure surfaces are clean, smooth, and dry prior to application. Check roof deck surfaces, including surfaces sloped to roof drains and outlets, for defects before starting work.

The Contracting Officer will inspect and approve the surfaces immediately before starting installation. Prior to installing insulation, perform the following:

a. Examine steel decks to ensure that panels are properly secured to structural members and to each other and that surfaces of top flanges are flat or slightly convex.

3.1.2 Surface Preparation

Correct defects and inaccuracies in roof deck surface to eliminate poor drainage from hollow or low spots, perform the following:

a. Provide wood nailers of the same thickness as the insulation at eaves, edges, curbs, walls, and roof openings for securing gutters, and flashing flanges. On decks with slopes of one in 12 (1 inch per foot) or more, install wood nailers perpendicular to slope for securing insulation. Space nailers in accordance with approved shop drawings.

b. Cover steel decks with a layer of insulation board of sufficient width to span the width of a deck rib opening, and in accordance with fire safety requirements. Secure with piercing or self-drilling, self-tapping fasteners of quantity and placement in accordance with FM APP GUIDE. Locate insulation joints parallel to ribs of deck on solid bearing surfaces only, not over open ribs.

3.2 INSULATION INSTALLATION

Apply insulation in two layers with staggered joints when total required thickness of insulation exceeds 1/2 inch. Lay insulation so that continuous longitudinal joints are perpendicular to direction of roofing and end joints of each course are staggered with those of adjoining courses. When using multiple layers of insulation, provide joints of each succeeding layer that are parallel and offset in both directions with respect to the layer below. Keep insulation 1/2 inch clear of vertical surfaces penetrating and projecting from roof surface.

3.2.1 Installation Using Only Mechanical Fasteners

Secure total thickness of insulation with penetrating type fasteners.

3.2.2 Cant Strips

Where indicated, provide cant strips at intersections of roof with walls, parapets, and curbs extending above roof. Wood cant strips must bear on and be anchored to wood blocking. Fit cant strips flush to vertical surfaces. Where possible, nail cant strips to adjoining surfaces. Where cant strips are installed against non-nailable materials, install in an approved adhesive.

3.3 PROTECTION

3.3.1 Protection of Applied Insulation

Completely cover each day's installation of insulation with finished roofing. Phased construction is not permitted. Protect open spaces between insulation and parapets or other walls and spaces at curbs, scuttles, and expansion joints, until permanent roofing and flashing are applied. Storing, walking, wheeling, or trucking directly on insulation or on roofed surfaces is not permitted. Provide smooth, clean board or plank walkways, runways, and platforms near supports, as necessary, to distribute weight. Protect exposed edges of insulation with cutoffs at the end of each work day or whenever precipitation is imminent. Cutoffs must be single ply membrane set in roof cement. Fill all profile voids in cutoffs to prevent trapping moisture below the membrane. Remove cutoffs when work resumes.

3.3.2 Damaged Work and Materials

Restore work and materials that become damaged during construction to original condition or replace with new materials.

3.4 INSPECTION

Establish and maintain inspection procedures to assure compliance of the installed roof insulation with contract requirements. Remove, replace, correct in an approved manner, any work found not in compliance. Quality control must include, but is not limited to, the following:

a. Observation of environmental conditions; number and skill level of insulation workers; start and end time of work.

b. Inspection of mechanical fasteners; type, number, length, and spacing.

c. Inspection of insulation joint orientation and laps between layers, joint width and bearing of edges of insulation on deck.

d. Installation of cutoffs and proper joining of work on subsequent days.

e. Continuation of complete roofing system installation to cover insulation installed same day.

-- End of Section --

SECTION 07 52 00

MODIFIED BITUMINOUS MEMBRANE ROOFING
05/12, CHG 5: 11/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7-16	(2017; Errata 2018; Supp 1 2018) Minimum Design Loads and Associated Criteria for Buildings and Other Structures

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.24	(2022) Roofing - Safety Requirements for Low-Sloped Roofs

ASPHALT ROOFING MANUFACTURER'S ASSOCIATION (ARMA)

ARMA 410BUR88	(2001) Manual of Roof Maintenance and Repair
ARMA PMBRG98	(1998) Quality Control Guideline for the Application of Polymer Modified Bitumen Roofing

ASTM INTERNATIONAL (ASTM)

ASTM C208	(2012; R 2017; E 2017; E 2019) Standard Specification for Cellulosic Fiber Insulating Board
ASTM C1289	(2022) Standard Specification for Faced Rigid Cellular Polyisocyanurate Thermal Insulation Board
ASTM D41/D41M	(2011; R 2016) Standard Specification for Asphalt Primer Used in Roofing, Dampproofing, and Waterproofing
ASTM D312/D312M	(2016a) Standard Specification for Asphalt Used in Roofing
ASTM D4073/D4073M	(2006; E 2019; R 2019) Standard Test Method for Tensile-Tear Strength of Bituminous Roofing Membranes
ASTM D4586/D4586M	(2007; E 2012; R 2012) Asphalt Roof Cement, Asbestos-Free
ASTM D5147/D5147M	(2014) Standard Test Methods for Sampling

	and Testing Modified Bituminous Sheet Material
ASTM D5849/D5849M	(2021) Standard Test Method for Evaluating Resistance of Modified Bituminous Roofing Membrane to Cyclic Fatigue (Joint Displacement)
ASTM D6164/D6164M	(2016) Standard Specification for Styrene Butadiene Styrene (SBS) Modified Bituminous Sheet Materials Using Polyester Reinforcements
ASTM E108	(2020a) Standard Test Methods for Fire Tests of Roof Coverings

FM GLOBAL (FM)

FM 4470	(2016) Single-Ply, Polymer-Modified Bitumen Sheet, Built-up Roof (BUR), and Liquid Applied Roof Assemblies for Use in Class 1 and Noncombustible Roof Deck Construction
FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

NATIONAL ROOFING CONTRACTORS ASSOCIATION (NRCA)

NRCA C3701	(1997) Repair Manual for Low Slope Membrane Roof Systems
NRCA CONDET	(2014) Construction Details Manual
NRCA RoofMan	(2020) The NRCA Roofing Manual

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1793	(2012) Architectural Sheet Metal Manual, 7th Edition

SINGLE PLY ROOFING INDUSTRY (SPRI)

ANSI/SPRI/FM 4435/ES-1	(2017) Test Standard for Edge Systems Used with Low Slope Roofing Systems

UNDERWRITERS LABORATORIES (UL)

UL 790	(2022) UL Standard for Safety Test Methods for Fire Tests of Roof Coverings
UL RMSD	(2012) Roofing Materials and Systems Directory

1.2 DESCRIPTION OF ROOF MEMBRANE SYSTEM

Minimum two-ply SBS modified bitumen roof membrane consisting of modified bitumen base sheet and cap sheet. Modified bitumen roof membrane must be

set in cold-applied adhesive.

All work must follow the NRCA RoofMan guidelines and standards stated within this Section.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Roof Plan; drawing depicting wind loads and boundaries of enhanced perimeter and corner attachments of roof system components, as applicable

Field Inspection and Existing Conditions Report

Identify all fire safety issues including exposed or concealed combustible materials, which may require additional protection during roof installation.

SD-03 Product Data

Modified Bitumen Sheets

Asphalt

Cold-Applied Membrane Adhesive

Primer

Modified Bitumen Roof Cement

Pre-Manufactured Accessories

Fasteners And Plates

Sample Warranty certificate

Submit all data required by Section 07 22 00 ROOF AND DECK INSULATION, together with requirements of this section. Include in data written acceptance by the roof membrane manufacturer of the products and accessories provided. Provide oroducts as listed in the applicable wind uplift and fire rating classification listings, unless approved otherwise by the Contracting Officer.

SD-05 Design Data

Wind Uplift Calculations

Provide Engineering calculations, signed, sealed, and dated by a qualified Engineer validating the wind resistance per ASCE 7-16, ASTM D4073/D4073M, and ANSI/SPRI/FM 4435/ES-1 of non-rated roof system.

SD-07 Certificates

Provide evidence that products used within this specification

are manufactured in the United States.

Qualification of Manufacturer

Certify that the manufacturer of the modified bitumen membrane meets requirements specified under paragraph QUALIFICATION OF MANUFACTURER.

Qualification of Applicator

Certify that the applicator meets requirements specified under paragraph QUALIFICATION OF APPLICATOR.

Qualification of Engineer of Record

Certify that the Engineer of Record is fully qualified, competent, and currently licensed to practice in the project jurisdiction.

Wind Uplift Resistance

Fire Resistance classification

Submit the roof system assembly wind uplift and fire rating classification listings.

SD-08 Manufacturer's Instructions

Modified Bitumen Membrane Application

Flashing

Cold Adhesive Applied Modified Bitumen Membrane

Insulation attachment, including pattern and frequency of mechanical attachments required in field of roof, corners, and perimeters to provide for the specified wind resistance.

Primer

Fasteners

Cold Weather Installation

Include detailed application instructions and standard manufacturer drawings altered as required by these specifications. Explicitly identify in writing, differences between manufacturer's instructions and the specified requirements.

SD-11 Closeout Submittals

Warranty

Information Card

Instructions To Government Personnel

Include copies of Safety Data Sheets for maintenance/repair materials.

Submit 30 year "No-Dollar-Limit" warranty for labor and materials.

1.4 QUALITY ASSURANCE

1.4.1 Qualification of Manufacturer

Modified bitumen sheet roofing system manufacturer must have a minimum of 5 years experience in manufacturing modified bitumen roofing products.

1.4.2 Qualification of Applicator

Roofing system applicator must be approved, authorized, or licensed in writing by the modified bitumen sheet roofing system manufacturer and have a minimum of 5 years experience as an approved, authorized, or licensed applicator with that manufacturer and be approved at a level capable of providing the specified warranty. The applicator must supply the names, locations and client contact information of five projects of similar size and scope that the applicator has constructed using the manufacturer's roofing products submitted for this project within the previous three years.

1.4.3 Qualification of Engineer of Record

Engineer of Record must be approved, authorized, and currently licensed by the state of North Carolina, and have a minimum of five years experience as an approved Engineer for manufacturers of similar roof systems. Engineer of Record must supply the names and locations of five projects of similar size and scope for which he has provided engineering calculations using the manufacturer's products submitted for this project within the previous three years. Engineer of Record must provide certified engineering calculations for:

Wind uplift resistance for Marine Corps Air Station Cherry Point must resist a 139 mile per hour, ultimate design wind speed, 50 PSF, Risk Category II, Surface Roughness Category C, Exposure Category C lateral load.

ASCE 7-16,in accordance with International Building Code.

1.4.4 Fire Resistance

Complete roof covering assembly must:

a. Be Class A rated in accordance with ASTM E108, FM 4470, or UL 790; and

b. Be listed as part of Fire-Classified roof deck construction in UL RMSD, or Class I roof deck construction in FM APP GUIDE.

FM or UL approved components of the roof covering assembly must bear the appropriate FM or UL label.

1.4.5 Wind Uplift Resistance

Provide a complete roof system assembly that is rated and installed to resist wind loads indicated and validated by uplift resistance testing in accordance with Factory Mutual (FM) test procedures. Do not install non-rated systems, except as approved by the Contracting Officer. Submit

licensed engineer's Wind uplift calculations and substantiating data to validate any non-rated roof system. Base wind uplift measurements on a design wind speed of 139 mph, ultimate design wind speed in accordance with ASCE 7-16 and other applicable building code requirements.

1.4.6 Preroofing Conference

After approval of submittals and before performing roofing and insulation system installation work, hold a preroofing conference to review the following:

a. Drawings, including Roof Plan, specifications and submittals related to the roof work

b. Roof system components installation

c. Procedure for the roof manufacturer's technical representative's onsite inspection and acceptance of the roof structure, and roofing substrate, the name of the manufacturer's technical representatives, the frequency of the onsite visits, distribution of copies of the inspection reports from the manufacturer's technical representatives to roof manufacturer

d. Contractor's plan for coordination of the work of the various trades involved in providing the roofing system and other components secured to the roofing

e. Quality control, (ARMA PMBRG98) plan for the roof system installation

f. Field inspection and existing conditions report identifying all fire safety issues including exposed or concealed combustible materials, which may require additional protection during roof installation

g. Safety requirements

Coordinate preroofing conference scheduling with the Contracting Officer. The conference must be attended by the Contractor, the Contracting Officer's designated personnel, and personnel directly responsible for the installation of roofing and insulation, flashing and sheet metal work, other trades interfacing with the roof work, designated safety personnel trained to enforce and comply with ASSP A10.24, Fire Marshall, and a representative of the roofing materials manufacturer. Before beginning roofing work, provide a copy of meeting notes and action items to all attending parties. Note action items requiring resolution prior to start of roof work.

1.5 DELIVERY, STORAGE, AND HANDLING

1.5.1 Delivery

Deliver materials in manufacturers' original unopened containers and rolls with labels intact and legible. Mark and remove wet or damaged materials from the site. Where materials are covered by a referenced specification, the container must bear the specification number, type, and class, as applicable. Deliver materials in sufficient quantity to allow work to proceed without interruption.

1.5.2 Storage

Protect materials against moisture absorption and contamination or other damage. Avoid crushing or crinkling of roll materials. Store roll materials on end on clean raised platforms or pallets one level high in dry locations with adequate ventilation, such as an enclosed building or closed trailer. Do not store roll materials in buildings under construction until concrete, mortar, and plaster work is finished and dry. Maintain roll materials at temperatures above 50 degrees F for 24 hours immediately before application. Do not store materials outdoors unless approved by the Contracting Officer. Completely cover materials stored outdoors, on and off roof, with waterproof canvas protective covering. Do not use polyethylene sheet as a covering. Tie covering securely to pallets to make completely weatherproof. Provide sufficient ventilation to prevent condensation. Do not store more materials on roof than can be installed the same day and remove unused materials at end of each days work. Distribute materials temporarily stored on roof to stay within live load limits of the roof construction.

Immediately remove wet, contaminated or otherwise damaged or unsuitable materials from the site. Damaged materials may be marked by the Contracting Officer.

1.5.3 Handling

Prevent damage to edges and ends of roll materials. Do not install damaged materials in the work. Select and operate material handling equipment to prevent damage to materials or applied roofing.

1.6 ENVIRONMENTAL REQUIREMENTS

Do not install roofing system when air temperature is below 40 degrees F, during any form of precipitation, including fog, or when there is ice, frost, moisture, or any other visible dampness on the roof deck. Follow manufacturer's printed instructions for Cold Weather Installation.

1.7 SEQUENCING

Coordinate the work with other trades to ensure that components which are to be secured to or stripped into the roofing system are available and that permanent flashing and counter flashing, per NRCA CONDET, and are installed as the work progresses. Ensure temporary protection measures are in place to preclude moisture intrusion or damage to installed materials. Apply roofing immediately following application of insulation as a continuous operation. Coordinate roofing operations with insulation work so that all roof insulation applied each day is covered with roof membrane installation the same day.

1.8 WARRANTY

Provide roof system material and workmanship warranties meeting specified requirements. Provide revision or amendment to standard membrane manufacturer warranty as required to comply with the specified requirements. Provide a manufacturer's warranty that has no dollar limit, covers full system water-tightness, and has a minimum duration of 30 years.

1.8.1 Roof Membrane Manufacturer Warranty

Furnish the roof membrane manufacturer's 30-year no dollar limit roof

system materials and installation workmanship warranty, including flashing, insulation in compliance with ASTM C1289, and accessories necessary for a watertight roof system construction. Provide warranty directly to the Government and commence warranty effective date at time of Government's acceptance of the roof work. The warranty must state that:

a. If within the warranty period the roof system, as installed for its intended use in the normal climatic and environmental conditions of the facility, becomes non-watertight, shows evidence of moisture intrusion within the assembly, blisters, splits, tears, delaminates, separates at the seams, or shows evidence of excessive weathering due to defective materials or installation workmanship, the repair or replacement of the defective and damaged materials of the roof system assembly and correction of defective workmanship are the responsibility of the roof membrane manufacturer. All costs associated with the repair or replacement work are the responsibility of the roof membrane manufacturer.

b. When the manufacturer or his approved applicator fail to perform the repairs within 72 hours of notification, emergency temporary repairs performed by others does not void the warranty.

c. Upon completion of installation, and acceptance by the Contracting Officer and Roofing System Engineer of Record, the manufacturer must supply the appropriate warranty to the Owner.

d. Installer must submit a minimum two year warranty to the membrane manufacturer from the date of acceptance, with a copy to the Contracting Officer and Roofing System Engineer of Record.

1.8.2 Roofing System Installer Warranty

The roof system installer must warrant for a period of two years that the roof system, as installed, is free from defects in installation workmanship, to include the roof membrane, flashing, insulation, accessories, attachments, and sheet metal installation integral to a complete watertight roof system assembly. Write the warranty directly to the Government. The roof system installer is responsible for correction of defective workmanship and replacement of damaged or affected materials. The roof system installer is responsible for all costs associated with the repair or replacement work.

1.8.3 Continuance of Warranty

Repair or replacement work, ARMA 410BUR88, NRCA C3701 that becomes necessary within the warranty period and accomplished in a manner so as to restore the integrity of the roof system assembly and validity of the roof membrane manufacturer warranty for the remainder of the manufacturer warranty period.

1.9 CONFORMANCE AND COMPATIBILITY

Provide the entire roofing and flashing system in accordance with specified and indicated requirements, including fire and wind resistance (ANSI/SPRI/FM 4435/ES-1) requirements. Work not specifically addressed and any deviation from specified requirements must be in general accordance with recommendations of the NRCA Roofing and Waterproofing Manual, membrane manufacturer published recommendations and details, and compatible with surrounding components and construction. Submit any

deviation from specified or indicated requirements to the Contracting Officer for approval prior to installation.

PART 2 PRODUCTS

2.1 MATERIALS

Coordinate with other specification sections related to the roof work. Furnish a combination of specified materials that comprise a roof system acceptable to the roof membrane manufacturer and meeting specified requirements. Protect materials provided from defects and make suitable for the service and climatic conditions of the installation.

The roofing composite consists of the following components applied to the supporting substrate(s) indicated:

The supporting substrate is conventional metal decking.

1. Polyisocyanurate insulation, multiple layers, mechanically fastened
2. Gypsum cover board, 0.25 inches in thickness, mechanically fastened
3. One ply of smooth surfaced modified, 118 mil field base membrane, covered by one ply of granular surfaced modified, 160 mil field cap membrane, cold process applied

2.2 MODIFIED BITUMEN SHEETSMATERIALS

Furnish a combination of specified materials that comprise the modified bitumen manufacturer's standard system of the number and type of plies specified. Provide materials suitable for the service and climatic conditions of the installation. Modified bitumen sheets must be watertight and visually free of pinholes, particles of foreign matter, non-dispersed raw material, factory splices, or other conditions that might affect serviceability. Polymer modifier must comply with ARMA PMBRG98 and be uniformly dispersed throughout the sheet. Edges of sheet must be straight and flat.

a. SBS Base Sheet: ASTM D6164/D6164M, Type I, Grade S, minimum 116 to 120 mils thick.

Field Base Membrane	**Performance**	**Standard**
Side lap	3 inches	
End lap	6 inches	
Weight	0.65 to 0.105 PSF	
ASTM D5147/D5147M		
Thickness	115 to 120 mil thickness	ASTM D5147/D5147M
Peak load, tensile strength at 74 F	83-87 foot pounds per in MD	
ASTM D5147/D5147M		
	83-87 foot pounds per in XMD	
Peak load elongation at 74 F	53-57% MD, 63-67% XMD	
ASTM D5147/D5147M		
Ultimate elongation at 74 F	63-67% MD, 78-82% XMD	
ASTM D5147/D5147M		
Tear strength at 74 F	123-127 foot pounds per in MD	
ASTM D5147/D5147M		

	83-87 foot pounds per in XMD	
Low temperature flexibility	Passes -15 F	ASTM D5147/D5147M
Dimensional stability	Less than 0.5%	ASTM D5147/D5147M
Compound stability	238-242 F	ASTM D5147/D5147M

b. SBS Cap Sheet: ASTM D6164/D6164M; Type I, Grade G, minimum 1158 to 162 mils thick, and as required to provide specified fire safety rating.

Field Cap Membrane	**Performance**	**Standard**
Granule solar reflectance, 3 year	0.60 to 0.64	
Granule thermal emittance, 3 year	0.88 to 0.92	
SRI, 3 year	73 to 77	
Side lap	3 inches	
End lap	6 inches	
Weight	0.99 to 1.39 PSF	ASTM D5147/D5147M
Thickness	158 to 162 mil thickness	ASTM D5147/D5147M
Peak load, tensile strength at 74 F	83-87 foot pounds per in MD	ASTM D5147/D5147M
	63-67 foot pounds per in XMD	
Peak load elongation at 74 F	53-57% MD, 58-62% XMD	ASTM D5147/D5147M
Ultimate elongation at 74 F	63-67% MD, 78-82% XMD	ASTM D5147/D5147M
Tear strength at 74 F	123-127 foot pounds MD	ASTM D5147/D5147M
	83-87 foot pounds XMD	
Low temperature flexibility	Passes -15 F	ASTM D5147/D5147M
Dimensional stability	Less than 0.5%	ASTM D5147/D5147M
Compound stability	238 to 242 F	ASTM D5147/D5147M
Granule embedment	1.5 to 2.0	ASTM D5147/D5147M
Cyclic fatigue	Passes	ASTM D5849/D5849M

2.3 BASE FLASHING MEMBRANE

Membrane manufacturer's standard, minimum two-ply modified bitumen membrane flashing system compatible with the roof membrane specified and as recommended in membrane manufacturer's published literature. Provide flashing membranes that meet or exceed the properties of the material standards specified for the modified bitumen base and cap sheet, except that flashing membrane thickness must be as recommended by the membrane manufacturer.

2.4 Liquid Applied Flashing

2.4.1 Resin

Liquid applied flashing is a polyurethane bitumen resin and fleece application complying with ASTM C 836 that creates a seamless, watertight and puncture resistant reinforced seal that conforms to irregular shapes and small penetrations. Flashing is suited for modified bitumen and membrane coverings. Clean with mineral spirits.

Liquid Applied Flashing	Performance	Standard
Flash point, F	105	ASTM D 92
Elongation at peak load	65 to 69%	ASTM D 412
Tear resistance, foot-pounds	21 to 25	ASTM D 412
Water vapor permeance, perms	0.25	ASTM D 903
Viscosity, cP	150,000 to 220,000	ASTM D 2196
Density, grams per square centimeter	1.06 to 1.10	ASTM D 2240
VOC content, grams per liter	Less than 225	ASTM D 2369
Low temperature flexibility, F	-13 to -17	ASTM D 5147
Impact resistance, Shore A Hardness	72-76	ASTM E 96
Solids content	80%	
Peak load, PSI	368	
Rain proof at 68 F, hours	2 to 12	

2.4.2 Fleece

Fleece for liquid applied flashing is a non woven, polyester reinforcement that resists flexural fatigue and bridges cracks. It is a soft grade fabric that conforms to irregular shapes and surfaces. Basis for fleece is Series Alsan RS Fleece as manufactured by Soprema, www.soprema.us; OR EQUAL.

Fleece	Performance	Standard
Thickness, mils	23 to 27	ASTM D 1777
Weight, ounces per square foot	0.34 to 0.38	ASTM D 5035
Tensile strength, pounds per inch	51 to 55 MD	ASTM D 5035
Tensile strength, pounds per inch	57 to 61 XMD	ASTM D 5035
Elongation	68 to 72% MD	ASTM D 5035
Elongation	78 to 82% XMD	ASTM D 5035

2.5 ASPHALT

ASTM D312/D312M, Type III or IV, in accordance with modified bitumen membrane manufacturer requirements and compatible with the slope conditions of the installation.

2.6 COLD-APPLIED MEMBRANE ADHESIVE

Membrane manufacturer's recommended low volatile organic compound (VOC) cold process adhesive for application of the membrane plies.

2.7 MEMBRANE SURFACING

Provide modified bitumen roof membrane cap sheet with factory-applied granule surfacing of light color .

2.8 PRIMER

ASTM D41/D41M, or other primer compatible with the application and as approved in writing by the modified bitumen membrane manufacturer.

2.9 MODIFIED BITUMEN ROOF CEMENT

ASTM D4586/D4586M, Type II for vertical surfaces, Type I for horizontal surfaces, compatible with the modified bitumen roof membrane and as recommended by the modified bitumen membrane manufacturer.

2.10 CANT AND TAPERED EDGE STRIPS

Provide standard cants and tapered edge strips of or wood fiber conforming to ASTM C208 treated with bituminous impregnation, sizing, or waxing and fabricated to provide maximum 45 degree change in direction of membrane. Cant strips must be minimum 2 inch thick and provide for minimum 5 inch face and 3-1/2 inch vertical height when installed at 45 degree face angle, except where clearance restricts height to lesser dimension. Taper edge strips at a rate of one to 1-1/2 inch per foot to a minimum of 1/8 inch of thickness.

2.11 FASTENERS AND PLATES

Provide coated, corrosion-resistant fasteners as recommended by the modified bitumen sheet manufacturer's printed instructions and meeting the requirements of FM 4470 and FM APP GUIDE for Class I roof deck construction and the wind uplift resistance specified. For fastening of membrane or felts to wood materials, provide fasteners driven through 1 inch diameter metal discs, or one piece composite fasteners with heads not less than 1 inch in diameter or 1 inch square with rounded or 45 degree tapered corners.

2.11.1 Masonry Walls and Vertical Surfaces

Use hardened steel nails or screws with flat heads, diamond shaped points, and mechanically deformed shanks not less than 1 inch long for securing felts, modified bitumen sheets, metal items, and accessories to masonry or concrete walls and vertical surfaces. Use power-driven fasteners only when approved in writing by the Contracting Officer.

2.11.2 Metal Plates

Provide flat corrosion-resistant round stress plates as recommended by the modified bitumen sheet manufacturer's printed instructions and meeting the requirements of FM 4470; not less than 2 inch in diameter. Form discs to prevent dishing or cupping.

2.12 PRE-MANUFACTURED ACCESSORIES

Pre-manufactured accessories must be manufacturer's standard for intended purpose, compatible with the membrane roof system and approved for use by the modified bitumen membrane manufacturer.

2.12.1 Pourable Sealant

Pourable sealant for pitch pockets is a two part, self leveling, 100% solid urethane designed for high performance, elastic, weather resistant, durable waterproofing. Sealant does not contain volatile compounds and is

asbestos free. The material has 250% elongation as determined by ASTM D 412 and peel adhesion of 15 pounds per linear inch or more in compliance with ASTM C 836.

2.13 ROOF INSULATION BELOW MODIFIED BITUMEN MEMBRANE SYSTEM

Provide insulation compatible with the roof membrane, approved by the membrane manufacturer and meeting all the requirements as specified in Section 07 22 00 ROOF AND DECK INSULATION.

Gypsum cover board is a 0.25 inch thickness with water resistant treated core complying with ASTM C 1177 and fiberglass mats on faces and long edges. Sheathing is 4 feet, 0 inches in width, has an R-value not less than 0.28 and weighs 1.2 PSF. Comply with FMRC 4450, Class 1 requirements for fire resistance ratings.

Rated Gypsum Cover Board	Performance	Standard
Fungi resistance	No sustenance	ASTM D 3237
Burning characteristics	Noncombustible	ASTM E 136
Flame spread rating	Less than 0	ASTM E 84
Smoke developed rating	Less than 0	ASTM E 84
Water vapor transmission	Less than 32 perms	ASTM E 96

PART 3 EXECUTION

3.1 EXAMINATION

Ensure that the following conditions exist prior to application of the roofing materials:

a. Do not install items that show visual evidence of biological growth.

b. Penetrations and roofing accessories are in place or are on hand for installation with the membranes.

c. Surfaces are rigid, clean, dry, smooth, and free from cracks, holes, and sharp changes in elevation. Joints in the substrate are sealed to prevent dripping of bitumen into building or down exterior walls.

d. The plane of the substrate does not vary more than 1/4 inchwithin an area 10 by 10 foot when checked with a10 foot straight edge placed anywhere on the substrate.

e. Substrate is sloped as indicated to provide positive drainage.

f. Walls and vertical surfaces are constructed to receive counter flashing, and will permit mechanical fastening of the base flashing materials.

g. Treated wood nailers are in place on non-nailable surfaces, to permit nailing of base flashing at minimum height of 8 inch above finished roofing surface.

h. Treated wood nailers are fastened in place at eaves, gable ends, openings, and intersections with vertical surfaces for securing of membrane, edging strips, attachment flanges of sheet metal, and roof fixtures. Surface-applied nailers are the same thickness as the roof insulation.

i. Insulation boards are installed smoothly and evenly, and are not broken, cracked, or curled. There are no gaps in insulation board joints exceeding 1/4 inch in width. Insulation is being roofed over on the same day the insulation is installed.

3.2 PREPARATION

3.2.1 Protection of Property

3.2.2 Equipment

3.2.2.1 Mechanical Application Devices

Mount mechanical application devices on pneumatic-tired wheels. Use devices designed and maintained to operate without damaging the insulation, roofing membrane, or structural components.

3.2.3 Priming of Surfaces

Prime all surfaces to be in contact with adhered membrane materials. Apply primer at the rate of 0.75 gallon per 100 sq. ft. or as recommended by modified bitumen sheet manufacturer's printed instructions to promote adhesion of membrane materials. Allow primer to dry prior to application of membrane materials to primed surface.

3.2.3.1 Priming of Metal Surfaces

Prime flanges of metal components to be embedded into the roof system prior to setting in bituminous materials or stripping into roofing system.

3.2.4 Membrane Preparation

Unroll modified bitumen membrane materials and allow to relax a minimum of 30 minutes prior to installation. In cold weather, adhere to membrane manufacturer's additional recommendations for pre-installation membrane handling and preparation. Inspect for damage, pinholes, particles of foreign matter, non-dispersed raw material, factory splices, or other conditions that might affect serviceability. Edges of seams must be straight and flat so that they may be seamed to one another without forming fish mouths or wrinkles. Discard damaged or defective materials.

3.2.5 Substrate Preparation

Apply membrane to clean, dry surfaces only. Do not apply membrane to surfaces that have been wet by rain or frozen precipitation within the previous 12 hours. Provide cleaning and artificial drying with heated blowers as necessary to ensure clean, dry surface prior to membrane application. Torches may not be used to ensure clean, dry surfaces.

3.3 APPLICATION

Apply roofing materials as specified herein unless approved otherwise by the Contracting Officer. Keep roofing materials dry before and during application. Complete application of roofing in a continuous operation. Begin and apply only as much roofing in one day as can be completed that same day.

3.3.1 Phased Membrane Construction

Phased application of membrane plies is prohibited unless otherwise approved by the Contracting Officer and supported by the membrane manufacturer's written application instructions. If cap sheet installation is delayed, thoroughly clean the applied membrane material surface and dry immediately prior to cap sheet installation. Priming of the applied membrane surface may be required at the discretion of the Contracting Officer prior to cap sheet installation.

3.3.2 Application Method

3.3.2.1 Cold Adhesive Applied Modified Bitumen Membrane

Apply cold adhesive with airless sprayer or 1/4 inch saw-toothed rubber squeegee to prepared surfaces in accordance with membrane manufacturer's application instructions. Fully cover substrate with adhesive. Roll or lay membrane in adhesive in accordance with manufacturer's recommendations and within the time limitations of adhesive application. Broom the membrane to ensure full contact with adhesive. Seal laps with adhesive or by heat fusing with hot air welder as required by membrane manufacturer. Minimize traffic on installed membrane during the adhesive cure and set time.

3.3.3 Modified Bitumen Membrane Application

Ensure proper sheet alignment prior to installation. Apply membrane layers perpendicular to slope of roof in shingle fashion to shed water, including application on areas of tapered insulation that change slope direction. Bucking or backwater laps are prohibited. Fully adhere membrane sheets to underlying substrate materials. Provide minimum 3 inch side laps and minimum 6 inch end laps and as otherwise required by membrane manufacturer. Stagger end laps minimum 36 inch. Offset side laps between membrane layers a minimum of 12 inch. Offset end laps between membrane layers a minimum of 36 inch. Install all membrane layers the same workday, unless supported otherwise by roof membrane manufacturer application instructions and approved by the Contracting Officer. Provide tight smooth laminations of each membrane layer without wrinkles, ridges, buckles, kinks, fishmouths, or voids. Ensure full membrane adhesion and full lap seals. Rework to seal any open laps prior to application of subsequent membrane layers. The completed membrane application must be free of surface abrasions, air pockets, blisters, ridges, wrinkles, buckles, kinks, fishmouths, voids, or open seams.

3.3.3.1 Cap Sheet Installation

Underlying applied membrane must be inspected and repaired free of damage, holes, puncture, gouges, abrasions, and any other defects, and free of moisture, loose materials, debris, sediments, dust, and any other conditions required by the membrane manufacturer prior to cap sheet installation. Do not apply cap sheet if rain or frozen precipitation has occurred within the previous 24 hours. Align cap membrane and apply by the specified method with the proper side and end lap widths. Cut at a 45 degree angle across selvage edge of cap membrane to be overlapped in end lap areas prior to applying overlapping cap membrane. Apply matching granules in any areas of adhesive bleed out while the adhesive is still tacky. Minimize traffic on newly installed cap sheet membrane.

3.3.4 Membrane Flashing

Apply two-ply modified bitumen strip flashing and sheet flashing in the angles formed where the roof deck abuts walls, curbs, ventilators, pipes, and other vertical surfaces, and where necessary to make the work watertight. Apply membrane flashing in accordance with the roof membrane manufacturers printed instructions and as specified. Cut at a 45 degree angle across terminating end lap area of cap membrane prior to applying adjacent overlapping cap membrane. Press flashing into place to ensure full adhesion and avoid bridging. Ensure full lap seal in all lap areas. Mechanically fasten top edge of modified bituminous base flashing 150 mm (6 inches) on center through minimum 1 inch diameter tin caps with fasteners of sufficient length to embed minimum one inch into attachment substrate. Provide continuous termination bars at 2 foot, 0 inch intervals on extended vertical surfaces. Apply matching granules in any areas of adhesive bleed out while the adhesive is still tacky. Apply membrane liner over top of exposed nailers and blocking and to overlap top edge of base flashing installation at curbs, parapet walls, expansion joints and as otherwise indicated to serve as waterproof lining under sheet metal flashing components. Metal flashing per SMACNA 1793 guidelines and standards is specified under Section 07 60 00 FLASHING AND SHEET METAL.

3.3.4.1 Membrane Strip Flashing

Set primed flanges of metal flashing in full bed of modified bituminous cement material and securely fasten through to attachment substrate. Strip-in with membrane flashing so that strip extends not less than 4 inch beyond outer edge of flange. Where multiple membrane stripping plies are installed, extend each additional stripping ply minimum 4 inch beyond edge of previous ply. Furnish two ply stripping.

3.3.4.2 Membrane Flashing at Roof Drain

Extend membrane sheets to edge of drain bowl opening at the roof drain deck flange in accordance with membrane manufacturer's printed application instructions. Set a lead flahing in a full bed of modified bituminous cement and overlay with two ply stripping. Securely clamp membrane sheets and metal roof drain flashing and strip flashing in the flashing clamping ring. Secure clamps so that sheets and metal flashing are free from wrinkles and folds. Trim stripping must be flush with inside of clamping ring.

3.3.4.3 Set-On Accessories

Where pipe or conduit blocking, supports and similar roof accessories are set on the membrane, adhere walkpad material to bottom of accessories prior to setting on roofing membrane. Install set-on accessories to permit normal movement due to expansion, contraction, vibration, and similar occurrences without damaging roofing membrane. Do not mechanically secure set-on accessories through roofing membrane into roof deck substrate. Fill pitch pockets with pourable sealant and clamp umbrella caps in place.

3.3.4.4 Liquid Applied Flashing

Install liquid applied flashing using a brush or roller at the rate of 2 gallons per square foot. Evenly coat surfaces with a base coat. Lay fleece reinforcing into the wet base coat and embed with a nap roller using light pressure. Overlap panels 2 inches at side laps and 4 inches

at end laps. Seal fleece fabric lap joints with liquid applied flashing. Install and roll fleece smooth without voids, ridges, wrinkles, air pockets or similar deficiencies. Saturate the fleece with an intermediate coat of liquid applied flashing at the rate of 2 gallons per square foot. Extend the intermediate coat 1 inch beyond exposed fleece edges. Allow to cure. Apply a top coat at the rate of 2 gallons per square foot. Allow to cure.

3.3.5 Correction of Deficiencies

Where any form of deficiency is found, take additional measures as deemed necessary by the Contracting Officer to determine the extent of the deficiency and perform corrective actions as directed by the Contracting Officer.

3.3.6 Clean Up

Remove debris, scraps, containers and other rubbish and trash resulting from installation of the roofing system from job site each day.

3.4 PROTECTION OF APPLIED ROOFING

At the end of the day's work and when precipitation is imminent, protect applied modified bitumen roofing system from water intrusion.

3.4.1 Water Cutoffs

Straighten insulation line using loose-laid cut insulation sheets and seal the terminated edge of modified bitumen roofing system in an effective manner. Seal off flutes in metal decking along the cutoff edge. Remove the water cut-offs to expose the insulation when resuming work, and remove the insulation sheets used for fill-in.

3.4.2 Temporary Flashing for Permanent Roofing

Provide temporary flashing at drains, curbs, walls and other penetrations and terminations of roofing sheets until permanent flashing can be applied. Remove temporary flashing before applying permanent flashing.

3.4.3 Temporary Walkways, Runways, and Platforms

Do not permit storing, walking, wheeling, and trucking directly on applied roofing materials. Provide temporary walkways, runways, and platforms of smooth clean boards, mats or planks as necessary to avoid damage to applied roofing materials, and to distribute weight to conform to live load limits of roof construction. Use rubber-tired equipment for roofing work.

3.5 FIELD QUALITY CONTROL

Perform field tests in the presence of the Contracting Officer. Notify the Contracting Officer one day before performing tests.

3.5.1 Construction Monitoring

During progress of the roof work, make visual inspections as necessary to ensure compliance with specified parameters. Additionally, verify the following:

a. Materials comply with the specified requirements.

b. Materials are not installed in adverse weather conditions.

All materials are properly stored, handled and protected from moisture or other damages.

c. Equipment is in working order. Metering devices are accurate.

d. Substrates are in acceptable condition, in compliance with specification, prior to application of subsequent materials.

(1) Nailers and blocking are provided where and as needed.

Insulation substrate is smooth, properly secured to its substrate, and without excessive gaps prior to membrane application.

(2) The proper number, type, and spacing of fasteners are installed.

Adhesive application is provided uniformly and as necessary to ensure full adhesion of roll materials.

The proper number and types of plies are installed, with the specified overlaps.

Applied membrane surface is inspected, cleaned, dry, and repaired as necessary prior to cap sheet installation.

(3) Lap areas of all plies are completely sealed.

Membrane is fully adhered without ridges, wrinkles, kinks, fishmouths, or other voids or delaminations.

Installer adheres to specified and detailed application parameters.

Associated flashing and sheet metal are installed in a timely manner in accord with the specified requirements.

Temporary protection measures are in place at the end of each work shift.

3.5.1.1 Manufacturer's Inspection

Manufacturer's technical representative must visit the site a minimum of two times during the installation for purposes of reviewing materials installation practices and adequacy of work in place.
After each inspection, submit a report, signed by the manufacturer's technical representative to the Contracting Officer within 3 working days. Note in the report overall quality of work, deficiencies and any other concerns, and recommended corrective action.

3.5.2 Roof Drain Test

After completing roofing, but prior to Government acceptance, perform the following test for watertight integrity. Plug roof drains and fill with water to edge of drain sump for 8 hours. Do not plug secondary overflow drains at the same time as adjacent primary drain. To ensure some drainage from roof, do not test all drains at same time. Measure water at beginning and end of the test period. When precipitation occurs during

test period, repeat test. When water level falls, remove water, thoroughly dry, and inspect installation; repair or replace roofing at drain to provide for a properly installed watertight flashing seal. Repeat test until there is no water leakage.

3.6 INSTRUCTIONS TO GOVERNMENT PERSONNEL

Furnish written and verbal instructions on proper maintenance procedures to designated Government personnel. Furnish instructions by a competent representative of the modified bitumen membrane manufacturer and include a minimum of 1 hour on maintenance and emergency repair of the membrane. Include a demonstration of membrane repair, and give sources of required special tools. Furnish information on safety requirements during maintenance and emergency repair operations.

3.7 INFORMATION CARD

For each roof, furnish a typewritten information card for facility Records and a card laminated in plastic and framed for interior display at roof access point, or a photoengraved 0.039 inch thick aluminum card for exterior display. Card must be 8 1/2 by 11 inch minimum, identifying facility name and number; location; contract number; approximate roof area; detailed roof system description, including deck type, membrane, number of plies, method of application, manufacturer, insulation and cover board system and thickness; presence of tapered insulation for primary drainage, presence of vapor retarder; date of completion; installing contractor identification and contact information; membrane manufacturer warranty expiration, warranty reference number, and contact information. Install card at roof top or access location as directed by the Contracting Officer and provide a paper copy to the Contracting Officer.

-- End of Section --

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SECTION 07 60 00

FLASHING AND SHEET METAL
05/17, CHG 2: 11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ARCHITECTURAL MANUFACTURERS ASSOCIATION (AAMA)

AAMA 2605	(2020) Voluntary Specification, Performance Requirements and Test Procedures for Superior Performing Organic Coatings on Aluminum Extrusions and Panels

AMERICAN WELDING SOCIETY (AWS)

AWS D1.2/D1.2M	(2014; Errata 1 2014; Errata 2 2020) Structural Welding Code - Aluminum

ASTM INTERNATIONAL (ASTM)

ASTM A480/A480M	(2020a) Standard Specification for General Requirements for Flat-Rolled Stainless and Heat-Resisting Steel Plate, Sheet, and Strip
ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B221	(2021) Standard Specification for Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes
ASTM B370	(2022) Standard Specification for Copper Sheet and Strip for Building Construction

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1793	(2012) Architectural Sheet Metal Manual, 7th Edition

1.2 GENERAL REQUIREMENTS

Finished sheet metal assemblies must form a weathertight enclosure without waves, warps, buckles, fastening stresses or distortion, while allowing for expansion and contraction without damage to the system. The sheet metal installer is responsible for cutting, fitting, drilling, and other operations in connection with sheet metal modifications required to accommodate the work of other trades. Coordinate installation of sheet metal items used in conjunction with roofing with roofing work to permit continuous, uninterrupted roofing operations.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Exposed Sheet Metal Coverings

Recycled Content

SD-04 Samples

Finish Samples

SD-08 Manufacturer's Instructions

Instructions for Installation

Quality Control Plan

SD-10 Operation and Maintenance Data

Cleaning and Maintenance

1.4 MISCELLANEOUS REQUIREMENTS

1.4.1 Product Data

Indicate thicknesses, dimensions, fastenings, anchoring methods, expansion joints, and other provisions necessary for thermal expansion and contraction. Scaled manufacturer's catalog data may be submitted for factory fabricated items.

1.4.2 Finish Samples

Submit two color charts and two finish sample chips from manufacturer's standard color and finish options for each type of finish indicated.

1.4.3 Operation and Maintenance Data

Submit detailed instructions for installation and quality control during installation, cleaning and maintenance, for each type of assembly indicated.

1.5 DELIVERY, HANDLING, AND STORAGE

Package and protect materials during shipment. Uncrate and inspect

materials for damage, dampness, and wet-storage stains upon delivery to the job site. Remove from the site and replace damaged materials that cannot be restored to like-new condition. Handle sheet metal items to avoid damage to surfaces, edges, and ends. Store materials in dry, weather-tight, ventilated areas until installation.

PART 2 PRODUCTS

2.1 RECYCLED CONTENT

Provide products with recycled content. Provide data for each product with recycled content, identifying percentage of recycled content.

2.2 MATERIALS

Do not use lead-coated metal or galvanized steel. Provide materials, thicknesses, and configurations in accordance with SMACNA 1793 for each material. Different items need not be of the same metal, except that if copper is selected for any exposed item, all exposed items must be copper, and that contact between dissimilar metals must be avoided.

Furnish sheet metal items in 8 to 10 foot lengths. Single pieces less than 8 feet long may be used to connect to factory-fabricated inside and outside corners, and at ends of runs. Factory fabricate corner pieces with minimum 12 inch legs. Provide accessories and other items essential to complete the sheet metal installation. Provide miscellaneous flashing and sheet metal using the same or compatible APPROVED MISCELLANEOUS METALS as the items to which they are applied. Fabricate listed items to the gage, thickness, and of material options shown in Table I at the end of this section, unless identifed otherwise under MISCELLANEOUS METAL FABRICATIONS.

2.2.1 Exposed Sheet Metal Items

Must be of the same material to which it attaches. Consider the following as exposed sheet metal: gutters, including hangers; downspouts; cap, stepped, base, and eave flashings and related accessories.

2.2.2 Drainage

Do not use copper for an exposed item if drainage from that item will pass over exposed masonry, stonework or other metal surfaces.

2.3 APPROVED MISCELLANEOUS METALS

2.3.1 Solder

Provide in accordance with ASTM B32, 95-5 tin-antimony.

2.3.2 Fasteners

Use stainless steel fasteners to fasten. Confirm compatibility of fasteners and items to be fastened to avoid galvanic corrosion due to dissimilar materials.

Finish exposed rivets and fasteners to match substrate colors encountered.

2.3.3 Copper, Sheet and Strip

Provide in accordance with ASTM B370, cold-rolled temper, H 00 (standard).

2.3.4 Lead Sheet

Provide in a minimum weight of 4 pounds per square foot.

2.3.5 Steel Sheet, Zinc-Coated (Galvanized)

Provide in accordance with ASTM A653/A653M. Prefinished steel sheet coil is 0.024 inch, 24 gauge conforming to ASTM A 653, Class G90 and AAMA 621.

2.3.5.1 Organic Coating

Furnish prefinished steel with an organic coating treatment conforming to AAMA 2605 to obtain a 70% PVDF coating.

2.3.6 Stainless Steel

Provide in accordance with ASTM A480/A480M, Type 302 or 304, 2D Finish, fully annealed, dead-soft temper.

2.3.7 Aluminum Alloy Sheet and Plate

Provide in accordance with ASTM B209 form alloy, and temper appropriate for use. Provide material not less than 0.040-in in thickness.

Furnish aluminum with an organic coating treatment conforming to AAMA 2605 to obtain a 70% PVDF coating.

2.3.7.1 Alclad

When fabricated of aluminum, fabricate the following items with Alclad 3003, Alclad 3004, or Alclad 3005, clad on one side unless otherwise indicated.

a. Gutters, downspouts, and hangers

b. Gravel stops and fascia

c. Flashing

2.3.8 Aluminum Alloy, Extruded Bars, Rods, Shapes, and Tubes

ASTM B221.

2.3.9 Finishes

Color is to match the sheet metal on the adjoining building for additions. Field applications of color coatings are prohibited and will be rejected.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Workmanship

Make lines and angles sharp and true. Free exposed surfaces from visible

wave, warp, buckle, and tool marks. Fold back exposed edges neatly to form a 1/2 inch hem on the concealed side. Make sheet metal exposed to the weather watertight with provisions for expansion and contraction.

Make surfaces to receive sheet metal plumb and true, clean, even, smooth, dry, and free of defects and projections. For installation of items not shown in detail or not covered by specifications conform to the applicable requirements of SMACNA 1793, Architectural Sheet Metal Manual. Provide sheet metal flashing in the angles required to accommodate terminations, edges and wherever indicated and necessary to make the work watertight. Join sheet metal items together as shown in Table II.

3.1.2 Nailing

Confine nailing of sheet metal generally to sheet metal having a maximum width of 18 inches. Confine nailing of flashing to one edge only. Space nails evenly not over 3 inch on center and approximately 1/2 inch from edge unless otherwise specified or indicated. Face nailing will not be permitted. Where sheet metal is applied to other than wood surfaces, include in shop drawings, the locations for sleepers and nailing strips required to secure the work. Secure flashing at one-half the normal interval to ensure a wind-resistant installation.

3.1.3 Cleats

Provide cleats for sheet metal 18 inches and over in width. Cleats are continuous. Unless otherwise specified, provide cleats of 2 inches wide and of the same material and thickness as the sheet metal being installed. Secure one end of the cleat with two nails and the cleat folded back over the nailheads. Lock the other end into the seam. Pre-tin cleats for soldered seams.

3.1.4 Bolts, Rivets, and Screws

Install bolts, rivets, and screws where indicated or required. Provide compatible washers where required to protect surface of sheet metal and to provide a watertight connection. Provide mechanically formed joints in aluminum sheets 0.040 inches or less in thickness.

3.1.5 Seams

Straight and uniform in width and height with no solder showing on the face.

3.1.5.1 Flat-lock Seams

Finish not less than 3/4 inch wide.

3.1.5.2 Lap Seams

Finish soldered seams not less than one inch wide. Overlap seams not soldered, not less than 3 inches.

3.1.5.3 Loose-Lock Expansion Seams

Not less than 3 inches wide; provide minimum one inch movement within the joint. Completely fill the joints with the specified sealant, applied at not less than 1/8 inch thick bed.

3.1.5.4 Standing Seams

Not less than one inch high, double locked without solder.

3.1.5.5 Flat Seams

Make seams in the direction of the flow.

3.1.6 Soldering

Where soldering is specified, apply to copper and stainless steel items. Pre-tin edges of sheet metal before soldering is begun. Seal the joints in aluminum sheets of 0.040 inch or less in thickness with specified sealants. Do not solder aluminum.

3.1.6.1 Edges

Scrape or wire-brush the edges of lead-coated material to be soldered to produce a bright surface. Flux brush the seams in before soldering. Treat with soldering acid flux the edges of stainless steel to be pre-tinned. Seal the joints in aluminum sheets of 0.040 inch or less in thickness with specified sealants. Do not solder aluminum.

3.1.7 Mechanical Fastening

Aluminum 0.040 inch or less in thickness must be butted and the space backed with formed flashing plate; or lock joined, mechanically fastened, and filled with sealant as recommended by the aluminum manufacturer.

3.1.7.1 Welding of Aluminum

Use welding of the inert gas, shield-arc type. For procedures, appearance and quality of welds, and the methods used in correcting welding work, conform to AWS D1.2/D1.2M.

3.1.7.2 Mechanical Fastening of Aluminum

Use No. 12, aluminum alloy, sheet metal screws or other suitable aluminum alloy or stainless steel fasteners. Drive fasteners in holes made with a No. 26 drill in securing side laps, end laps, and flashings. Space fasteners 12 inches maximum on center. Where end lap fasteners are required to improve closure, locate the end lap fasteners not more than 2 inches from the end of the overlapping sheet.

3.1.8 Protection from Contact with Dissimilar Materials

3.1.8.1 Copper or Copper-bearing Alloys

Paint with heavy-bodied bituminous paint surfaces in contact with dissimilar metal, or separate the surfaces by means of moistureproof building felts.

3.1.8.2 Aluminum

Do not allow aluminum surfaces in direct contact with other metals except stainless steel, zinc, or zinc coating. Where aluminum contacts another metal, paint the dissimilar metal with a primer followed by two coats of aluminum paint. Where drainage from a dissimilar metal passes over aluminum, paint the dissimilar metal with a non-lead pigmented paint.

3.1.8.3 Metal Surfaces

Paint surfaces in contact with mortar, concrete, or other masonry materials with alkali-resistant coatings such as heavy-bodied bituminous paint.

3.1.9 Expansion and Contraction

Provide expansion and contraction joints at not more than 32 foot intervals for aluminum and at not more than 40 foot intervals for other metals. Provide an additional joint where the distance between the last expansion joint and the end of the continuous run is more than half the required interval. Space joints evenly. Join extruded aluminum gravel stops and fascia by expansion and contraction joints spaced not more than 12 feet apart.

3.1.10 Counterflashing

Except where indicated or specified otherwise, insert counterflashing above roof decks, extend down vertical surfaces over upturned vertical leg of base flashings not less than 3 inches. Fold the exposed edges of counterflashings 1/2 inch. Where stepped counterflashings are required, they may be installed in short lengths a minimum of 8 inches by 8 inches or may be of the preformed single piece type. Provide end laps in counterflashings not less than 3 inches and make it weathertight with plastic cement. Do not make lengths of metal counterflashings exceed 10 feet. Form flashings to the required shapes before installation. Factory form corners not less than 12 inches from the angle. Secure the flashings in the reglets with lead wedges and space not more than 18 inches apart; on short runs, place wedges closer together. Fill caulked-type reglets or raked joints which receive counterflashing with caulking compound. Turn up the concealed edge of counterflashings built into masonry or concrete walls not less than 1/4 inch and extend not less than 2 inches into the walls. Install counterflashing to provide a spring action against base flashing.

3.1.11 Sheet Metal Covering on Flat, Sloped, or Curved Surfaces

Except as specified or indicated otherwise, cover and flash all minor flat, sloped, or curved surfaces such as crickets, bulkheads, dormers and small decks with metal sheets of the material used for flashing; maximum size of sheets, 16 by 18 inches. Fasten sheets to sheathing with metal cleats. Lock seams and solder. Lock aluminum seams as recommended by aluminum manufacturer. Provide an underlayment of roofing felt for all sheet metal covering.

3.1.12 Flashing at Roof Penetrations and Equipment Supports

Provide metal flashing for all pipes, ducts, and conduits projecting through the roof surface and for equipment supports, guy wire anchors, and similar items supported by or attached to the roof deck. Goose-necks, rain hoods, power roof ventilators, and similar items are specified in other sections.

3.2 PAINTING

Touch ups in the field may be applied only after metal substrates have been cleaned and pretreated in accordance with manufacturer's written

instructions and products.

Field-paint sheet metal for separation of dissimilar materials.

3.3 CLEANING

Clean exposed sheet metal work at completion of installation. Remove grease and oil films, handling marks, contamination from steel wool, fittings and drilling debris, and scrub-clean. Free the exposed metal surfaces of dents, creases, waves, scratch marks, and solder or weld marks.

3.4 REPAIRS TO FINISH

Scratches, abrasions, and minor surface defects of finish may be repaired in accordance with the manufacturer's printed instructions and as approved. Repair damaged surfaces caused by scratches, blemishes, and variations of color and surface texture. Replace items which cannot be repaired.

3.5 FIELD QUALITY CONTROL

Establish and maintain a Quality Control Plan for sheet metal used in conjunction with roofing to assure compliance of the installed sheet metalwork with the contract requirements. Remove work that is not in compliance with the contract and replace or correct. Include quality control, but not be limited to, the following:

a. Observation of environmental conditions; number and skill level of sheet metal workers; condition of substrate.

b. Verification that specified material is provided and installed.

c. Inspection of sheet metalwork, for proper size(s) and thickness(es), fastening and joining, and proper installation.

3.5.1 Procedure

Submit for approval prior to start of roofing work. Include a checklist of points to be observed. Document the actual quality control observations and inspections. Furnish a copy of the documentation to the Contracting Officer at the end of each day.

TABLE I. SHEET METAL WEIGHTS, THICKNESSES, AND GAGES					
Sheet Metal Items	Copper kilograms per square foot	Aluminum, inch	Stainless Steel, inch		

TABLE I. SHEET METAL WEIGHTS, THICKNESSES, AND GAGES					
Sheet Metal Items	Copper kilograms per square foot	Aluminum, inch	Stainless Steel, inch		
Downspouts and leaders	16	.032	.015		
Downspout clips and anchors	-	.040 clip .125 anchor	-		
Downspout straps, 2-inch	48 (a)	.060	.050		
Conductor heads	16	.032	.015		
Scupper lining	20	.032	.015		
Strainers, wire diameter or gage	No. 9 gage	.144 diameter	.109 diameter		
Flashings:					
Base	20	.040	.018		
Counter-flashing	16	.032	.015		
Eave	16	-	.015		
		-			
		-			
Stepped	16	.032	.015		
Pipe vent sleave					
Coping	16	.040			

TABLE I. SHEET METAL WEIGHTS, THICKNESSES, AND GAGES

Sheet Metal Items	Copper kilograms per square foot	Aluminum, inch	Stainless Steel, inch		
Extrusions	-	.075	-		
Sheets, smooth	20	.050	.018		
Edge strip	24	.050	.025		
Gutters:					
Gutter section	16	.032	.015		
Continuous cleat	16	.032	.015		
Hangers, dimensions	1 inch by 1/8 inch (a)	1 inch by .0 inch	1 inch by .0 inch		
Joint Cover plates (See Table II)	16	.032	.015		
		-			
(a) Brass.					

TABLE II. SHEET METAL JOINTS			
TYPE OF JOINT			
Item Designation	Copperl and Stainless Steel	Aluminum	Remarks
Flashings			
Base	One inch 3 inch lap for expansion joint	One inch flat locked, soldered; sealed; 3 inch lap for expansion joint	Aluminum manufacturer's recommended hard setting sealant for locked aluminum joints. Fill each metal expansion joint with a joint sealing compound.
		--	
Eave	One inch flat locked, cleated. One inch loose locked, sealed expansion joint, cleated.	One inch flat locked, locked, cleated one inch loose locked, sealed expansion joints, cleated	Same as base flashing.
Stepped	3 inch lap	3 inch lap	--
Edge strip	Butt	Butt	--
Gravel stops:			

TABLE II. SHEET METAL JOINTS			
TYPE OF JOINT			
Item Designation	Copperl and Stainless Steel	Aluminum	Remarks
Extrusions	--	Butt with 1/2 inch space	Use sheet flashing beneath and a cover plate
Sheet, smooth	Butt with 1/4 inch space	Butt with 1/4 inch space	Use sheet flashing backup plate.
Gutters	1.5 inch lap, riveted and soldered	One inch flat locked riveted and sealed	Aluminum producers recommended hard setting sealant for locked aluminum joints.

-- End of Section --

SECTION 07 84 00.00 22

FIRESTOPPING
09/23

PART 1 GENERAL

1.1 SUMMARY

Furnish and install tested and listed firestopping systems, combination of materials, or devices to form an effective barrier against the spread of flame, smoke and gases, and maintain the integrity of fire resistance rated walls, partitions, floors, and ceiling-floor assemblies, including through-penetrations and construction joints and gaps.

a. Through-penetrations include the annular space around pipes, tubes, conduit, wires, cables and vents.

b. Construction joints include those used to accommodate expansion, contraction, wind, or seismic movement; firestopping material must not interfere with the required movement of the joint.

Gaps requiring firestopping include gaps between the curtain wall and the floor slab and between the top of the fire-rated walls and the roof or floor deck above and at the intersection of shaft assemblies and adjoining fire resistance rated assemblies.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E119	(2022) Standard Test Methods for Fire Tests of Building Construction and Materials
ASTM E814	(2013a; R 2017) Standard Test Method for Fire Tests of Penetration Firestop Systems
ASTM E1966	(2015; R 2019) Standard Test Method for Fire-Resistive Joint Systems

FM GLOBAL (FM)

FM 4991	(2013) Approval of Firestop Contractors
FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC	(2021) International Building Code

UNDERWRITERS LABORATORIES (UL)

UL 723	(2020) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 1479	(2015; Reprint May 2021) Fire Tests of Through-Penetration Firestops
UL 2079	(2015; Reprint Jul 2020) Tests for Fire Resistance of Building Joint Systems
UL Fire Resistance	(2014) Fire Resistance Directory

1.3 SEQUENCING

Coordinate the specified work with other trades. Apply firestopping materials at penetrations of pipes and ducts, prior to insulating, unless insulation meets requirements specified for firestopping. Apply firestopping materials at building joints and construction gaps, prior to completion of enclosing walls or assemblies. Cast-in-place firestop devices must be located and installed in place before concrete placement. Pipe, conduit or cable bundles must be installed through cast-in-place device after concrete placement but before area is concealed or made inaccessible. Firestop material must be inspected and approved prior to final completion and enclosing of any assemblies that may conceal installed firestop.

1.4 SUBMITTALS

Government approval is required for all submittals. Shop drawings (SD-02), product data (SD-03) and Certificates (SD-07) must be prepared by the designer and combined and submitted as one complete package. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Firestopping System

SD-03 Product Data

Firestopping Materials

SD-06 Test Reports

Inspection Forms
Inspection Report

SD-07 Certificates

Firestopping Inspector Qualifications

Firestopping Materials

Firestopping Installer Qualifications

1.5 QUALITY ASSURANCE

1.5.1 Firestopping Installer

Engage an experienced Installer who is:

a. FM Research approved in accordance with FM 4991, operating as a UL Certified Firestop Contractor, or

b. When approved by the DFPE, certified, licensed, or otherwise qualified by the firestopping manufacturer as having the necessary training, and a minimum of 3 years' experience in the installation of the manufacturer's products in accordance with specified requirements. Submit documentation of this experience. The documentation must include at least three projects (one per year) with the types of firestopping systems installed, and manufacturer of the products used. The Installer must have been certified during performance of those installations. A manufacturer's willingness to sell its firestopping products to the Contractor or to an installer engaged by the Contractor does not in itself confer Firestopping Installer Qualifications on the buyer. The Firestopping Installer must have been trained by a direct representative of the manufacturer (not distributor or agent) in the proper selection and installation procedures of the manufacturer's products. The Firestopping Installer must obtain from the manufacturer and submit written certification of training, and retain proof of certification for duration of firestop installation. The credentials must reflect that the individual is trained and certified for installing products. Basic training in firestopping systems, materials or selection does not satisfy these requirements.

AHJ approval criteria for option (b) is generally limited to small jobs consisting of one trade with few penetration or joint systems.

There must be a single qualified Installer for the entire project. Multiple qualified Installers employed by the same organization will also be permitted. Each Installer's qualifications must be approved by the Government.

1.5.2 Firestopping Inspector Qualifications

The Firestopping Inspector must meet all of the following:

a. Be completely independent of, and divested from, the Firestopping Installer, the manufacturer, and the supplier of any material or item being inspected, and

b. Not be a competitor of the Firestopping Installer, the contractor, the manufacturer, or supplier of any material or item being inspected, and

c. Have a minimum of two years' experience in construction field inspections of firestopping systems, products, and assemblies. Provide proof of experience.

In addition, the Firestopping Inspector must meet one of the following::

a. Passed the UL Firestop exam within the last three years, or

b. Passed the FM Firestop exam within the last three years, or

c. Passed the International Firestop Council (IFC) exam within the last three years, or

d. Completed the International Code Council (ICC) Firestopping Credential of Learning Achievement within the last three years, or

e. Be a registered Professional Engineer who has passed the National Council of Examiners for Engineering and Surveying (NCEES) Fire Protection Engineer exam. Submit documentation demonstrating experience in construction field inspections of firestopping systems, products, and assemblies for a minimum of 3 relevant projects. Include a description of the project and date of construction completion.

Qualifications must be vaild throughout the entirety of the project inspections. Include in the qualifications submittal a signed statement asserting compliance with the requirements stated in this paragraph.

1.6 DELIVERY, STORAGE, AND HANDLING

Deliver materials in the original unopened packages or containers showing name of the manufacturer and the brand name. Store materials off the ground, protected from damage and exposure to elements and temperatures in accordance with manufacturer requirements. Remove damaged or deteriorated materials from the site. Use materials within their indicated shelf life.

PART 2 PRODUCTS

2.1 FIRESTOPPING SYSTEM

Submit detail drawings including manufacturer's descriptive data, typical details conforming to UL Fire Resistance or other details certified by another nationally recognized testing laboratory, installation instructions or UL listing details for a firestopping assembly in lieu of fire-test data or report. For those firestop applications for which no UL tested system is available through a manufacturer, submit a manufacturer's engineering judgment, derived from similar UL system designs or other tests for review and approval prior to installation. Submittal must indicate the firestopping material to be provided for each type of application. When more than a total of 5 penetrations and/or construction joints are to receive firestopping, provide drawings that indicate location, "F" "T" and "L" ratings, and type of application.

Submit a written report with floor plans indicating locations and types of penetrations, and types of firestopping used at each location. Record type by UL list printed numbers or Engineering Judgement (EJ) reference number.

2.2 FIRESTOPPING MATERIALS

Provide firestopping materials, supplied from a single domestic manufacturer, consisting of commercially manufactured, asbestos-free, nontoxic products FM APP GUIDE approved, or UL listed, for use with applicable construction and penetrating items, complying with the following minimum requirements:

2.2.1 Fire Hazard Classification

Provide material that has a flame spread of 25 or less, and a smoke developed rating of 50 or less, when tested in accordance with ASTM E84 or UL 723. Material must be an approved firestopping material as listed in UL Fire Resistance or by a nationally recognized testing laboratory.

2.2.2 Toxicity

Materials must have a Health Rating of 0 or 1, as indicated in the product Material Safety Data Sheet. Products must not contain hazardous chemicals or require harmful chemicals to clean material or equipment.

2.2.3 Fire Resistance Rating

Firestop systems must be UL Fire Resistance listed or FM APP GUIDE approved with "F" rating at least equal to fire-rating of fire wall or floor in which penetrated openings are to be protected. Where required, firestop systems must also have "T" rating at least equal to the fire-rated floor in which the openings are to be protected.

2.2.3.1 Through-Penetrations

Firestopping materials for through-penetrations, as described in paragraph SUMMARY, must provide "F", "T" and "L" fire resistance ratings in accordance with ASTM E814 or UL 1479. Provide fire resistance ratings as follows:

2.2.3.1.1 Penetrations of Fire Resistance Rated Walls and Partitions

F Rating = Rating of wall or partition being penetrated.

2.2.3.1.2 Penetrations of Fire Resistance Rated Floors

F Rating = Rating of the floor being penetrated. Where the penetrating item is outside of a wall cavity the F rating must be equal to the fire resistance rating of the floor penetrated, and the T rating must be in accordance with the requirements of ICC IBC. Where the penetrating item is in contact with non-combustible construction, a T rating is not required.

2.2.3.2 Construction Joints and Gaps

Fire resistance ratings of construction joints, as described in paragraph SUMMARY, and gaps such as those between floor slabs and curtain walls must be the same as the construction in which they occur. Construction joints and gaps must be provided with firestopping materials and systems that have been tested in accordance with ASTM E119, ASTM E1966 or UL 2079 to meet the required fire resistance rating. Curtain wall joints must be provided with firestopping materials and systems that have been tested in accordance with ASTM E2307 to meet the required fire resistance rating. Systems installed at construction joints must meet the cycling requirements of ASTM E1399/E1399M or UL 2079. All joints at the intersection of the top of a fire resistance rated wall and the underside of a fire-rated floor, floor ceiling, or roof ceiling assembly must provide a minimum class II movement capability.

2.2.4 Material Certification

Submit certificates attesting that firestopping material complies with the

specified requirements. For all intumescent firestop materials used in through penetration systems, manufacturer must provide certification of compliance with UL 1479.

All materials must bear a Listing Label. Manufacturer's container labels must include the manufacturer's name, product name and product description. Other components of the firestop must also be identifiable by labeling or other method by the NAVFAC Designated Fire Protection Engineer (DFPE).

PART 3 EXECUTION

3.1 PREPARATION

The installer must notify the inspector of the arrival of the materials. Prior to installation, the Inspector must verify that all materials received for the installation meet the requirements of the approved Firestopping Materials.

Areas to receive firestopping must be free of dirt, grease, oil, or loose materials which may affect the fitting or fire resistance of the firestopping system. For cast-in-place firestop devices, formwork or metal deck to receive device prior to concrete placement must be sound and capable of supporting device. Prepare surfaces as recommended by the manufacturer.

3.2 INSTALLATION

Completely fill void spaces with firestopping material regardless of geometric configuration, subject to tolerance established by the manufacturer. Firestopping systems for filling floor voids 4 inches or more in any direction must be capable of supporting the same load as the floor is designed to support or be protected by a permanent barrier to prevent loading or traffic in the firestopped area. Install firestopping in accordance with manufacturer's written instructions. Provide tested and listed firestop systems in the following locations, except in floor slabs on grade:

a. Penetrations of duct, conduit, tubing, cable and pipe through floors and through fire-resistance rated walls, partitions, and ceiling-floor assemblies.

b. Penetrations of vertical shafts such as pipe chases, elevator shafts, and utility chutes.

c. Gaps at the intersection of floor slabs and curtain walls, including inside of hollow curtain walls at the floor slab.

d. Gaps at perimeter of fire-resistance rated walls and partitions, such as the bottom of walls and floor slabs and between the top of the walls and the bottom of roof decks.

e. Construction joints in floors and fire rated walls and partitions.

f. Other locations where required to maintain fire resistance rating of the construction.

3.2.1 Insulated Pipes and Ducts

Thermal insulation must be cut and removed where pipes or ducts pass through firestopping, unless insulation meets requirements specified for firestopping. Replace thermal insulation with a material having equal thermal insulating and firestopping characteristics.

3.2.2 Data and Communication Cabling

Cabling for data and communication applications must be sealed with re-enterable firestopping products and devices.

3.2.2.1 Re-Enterable Devices

Provide firestopping devices that are pre-manufactured modular devices, containing built-in self-sealing intumescent inserts. Allow for cable moves, additions or changes without the need to remove or replace any firestop materials. Devices must be capable of maintaining the fire resistance rating of the penetrated membrane at 0 percent to 100 percent visual fill of penetrants; while maintaining "L" rating of <10 cfm/sf at 0 percent to 100 percent visual fill.

3.2.2.2 Re-Sealable Products

Provide firestopping pre-manufactured modular products, containing self-sealing intumescent inserts. Firestopping products must allow for cable moves, additions or changes. Devices must be capable of maintaining the fire resistance rating of the penetrated membrane at 0 percent to 100 percent visual fill of penetrants.

3.3 INSPECTION

Install one of each type of penetration and have it inspected and accepted prior to the installation of the remainder of the penetrations by one of the following:

a. The Midlant Division, Naval Facilities Engineering Command, Fire Protection Engineer (DFPE).

b. The Firestopping Inspector, witnessed by a designated Government representative.

c. The Firestopping Inspector, with a detailed Inspection Form submitted to the Midlant Division, Naval Facilities Engineering Command, Fire Protection Engineer (DFPE) for review and approval within two days of the inspection. The Inspection Form must include:

1) General project identification information

2) Name of Firestopping Inspector

3) Location of system with a partial floor plan to highlight location

4) UL system or Engineering Judgement and rating

5) Description of rated assembly being penetrated and type of penetrant

6) Photographs of destructive samples taken or photographs of steps

taken during the installation

7) Measurement of cut samples must be shown in photographs with a ruler. Provide photographs of the joint or penetration prior firestopping; after firestopping; and intermediate steps. Photographs may be provided in electronic (.jpeg or .pdf) format. Photographs must provide sufficient angles and detail to show conformance with requirements of the system.

8) General observations

9) Statement of compliance or non-compliance

The means of inspection must be coordinated with the DFPE prior to the inspection. At this inspection, the manufacturer's technical representative of the firestopping material must be present. The manufacturer's technical representative may be the Firestopping Installer.

For all projects, do not cover or enclose the remainder of the firestopped areas until inspection is complete and approved by the Contracting Officer. The Firestopping Inspector must inspect the applications initially to ensure adequate preparations (clean surfaces suitable for application, etc.) and periodically during the work to assure that the completed work has been accomplished according to the manufacturer's written instructions and the specified requirements. Submit written reports indicating locations of and types of penetrations and types of firestopping used at each location; record type by UL listed printed numbers.

3.3.1 Inspection Standards

3.3.1.1 The Firestopping Inspector must verify that the materials and systems used for firestopping and fire resistive joint systems are listed and labeled for the use intended.

3.3.1.2 The Firestopping Inspector must verify that the required firestop systems and fire resistive joint systems have been installed.

3.3.1.3 The Firestopping Inspector must verify compliance of the firestop systems and fire resistive joint systems by observing the installation process and by taking and recording measurements of the substrates and materials being installed or by destructive examination of completed installations.

3.3.1.4 Inspection frequency of firestop systems and fire resistive joint systems will depend on the method of inspection and the scope of the project.

3.3.1.4.1 The method of inspection for firestop systems must be one of the following:

a. The Firestopping Inspector must be on site during installation and randomly witness a minimum of 10% of each type of firestop system being installed, or

b. The Firestopping Inspector must conduct a post installation inspection, which requires destructive type verification of the firestop system and repair of the firestop system. A minimum of

2%, but not less than one, of each type of firestop system must be inspected per floor or for each area of a floor when a floor is larger than 10,000 SF. An area consists of 10,000 SF or less.

3.3.1.4.2 The method of inspection for fire resistive joint systems must be one of the following:

a. The Firestopping Inspector must be on site during installation and randomly witness a minimum of 5% of total lineal feet of each type of fire resistive joint system being installed, or

b. The Firestopping Inspector must conduct a post installation inspection in accordance with one of the following:

1) Destructive type verification of the fire resistive joint system and repair of the joint system, or

2) Disassembly and verification of the components and re-installation of the joint system, or

3) Visual inspection and verification of the component or entire joint system, where a visual inspection establishes conformance; or

4) Other appropriate methods showing compliance with the approval process or the manufacturers' instructions.

Inspection must consist of a minimum of one sampling per type of joint system per 500 lineal feet.

3.3.1.5 Prior to construction, the Firestopping Inspector must coordinate with the NAVFAC DFPE to determine the types of firestop systems and subsequently the number of each type that is to be inspected. The determination of a "type" will typically be a function of a unique combination of parameters, including penetrant type (for example, metal pipe, plastic pipe, cabling), firestop material or device (for example, intumescent caulk, collar, sealant), penetrated substrate (for example, gypsum wall, concrete floor, composite floor deck), and rating of penetrated item.

3.3.1.6 Any type of firestop system that does not comply with this specification will require repair or replacement and re-inspection of that firestop system plus one full additional inspection, of the number specified herein, of that type firestop system. If non-compliance occurs on 10% or more of the quantity of firestop products or firestop systems, then inspection of those particular type firestop systems must cease. The Firestopping Installer must inspect their own work, and repair or replace those like firestops within the area prior to re-commencement of inspections by the Firestopping Inspector.

3.3.1.7 The Firestopping Inspector must maintain inspection forms. All observed deficiencies must be documented and marked on the inspection forms. In addition, the Firestopping Inspector must physically identify the location where a required firestop system has been omitted or where the inspection results indicate that the installed firestop system does not comply with the inspection documents. The Inspection Forms must include the following:

a. General project identification information

b. Name of Firestopping Inspector

c. Location of system with a partial floor plan to highlight location

d. UL system or Engineering Judgement and rating

e. Description of rated assembly being penetrated and type of penetrant

f. Photographs of destructive samples taken or photographs of steps taken during the installation

g. Measurement of cut samples must be shown in photographs with a ruler. Provide photographs of the joint or penetration prior firestopping; after firestopping; and intermediate steps. Photographs may be provided in electronic (.jpeg or .pdf) format. Photographs must provide sufficient angles and detail to show conformance with requirements of the system.

h. General observations

i. Statement of compliance or non-compliance

Submit the inspection forms to the DFPE for surveillance within two days of the inspection.

3.3.2 Inspection Report

Submit inspection report stating that firestopping work has been inspected and found to be applied according to the manufacturer's recommendations and the specified requirements.

3.3.2.1 The report must contain a cover page with the following:

a. The project name, location, and contract number;

b. The name and address of the Firestopping Inspector;

c. The name and address of the Firestopping Installer (s), as well as the prime contractor, if different; and

d. Report date.

3.3.2.2 The report must include drawings indicating locations and types of all penetrations and fire resistive joint systems and types of firestopping used at each location; type must be identified by UL listed printed numbers. Annotated inspected systems with a unique designator that correlates with the Inspection Forms. The drawings must include smoke barriers and partitions and indicate the methods and materials used to protect penetrations.

3.3.2.3 Include UL system details or Engineering Judgements, annotated to identify specific ratings and assembly components.

3.3.2.4 The report must contain a summary page with the following:

a. Types and quantity of each firestop system and fire resistive joint system on the project.

b. The verification method used to ascertain compliance.

c. The quantity of each firestop system and fire resistive joint system inspected on the project and a written statement by the Firestopping Inspector that the number of firestop systems and fire resistive joint systems inspected comply with this specification.

d. Percentages of deficiencies for each type of firestop system and fire resistive joint system referenced.

e. A total number of deficiencies, expressed as a percentage of the total number of firestop systems and fire resistive joint systems inspected.

f. Narrative of inspection observations and description of means and methods used to correct deficiencies. Include a description of deviations from the design documents.

g. Additional information, as determined by the Firestopping Inspector, required to adequately convey compliance.

3.3.2.5 The report must contain copies of all Inspection Forms generated during the inspection process, arranged chronologically.

3.3.2.6 Submit a Final Inspection Report at least 14 days prior to Government acceptance testing for review and approval.

3.3.3 Acceptance

The Government may require additional destructive testing at the time of Government acceptance testing. Systems that are subject to destructive testing must be replaced, at no cost to the Government, by the project's qualified Firestopping Installer. Confirmation that the system replaced complies must be provided by the Firestopping Inspector.

-- End of Section --

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SECTION 07 92 00

JOINT SEALANTS
08/16, CHG 3: 11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C509	(2006; R 2021) Standard Specifiaction for Elastomeric Cellular Preformed Gasket and Sealing Material
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C1193	(2013) Standard Guide for Use of Joint Sealants
ASTM C1311	(2014) Standard Specification for Solvent Release Agents
ASTM C1521	(2013) Standard Practice for Evaluating Adhesion of Installed Weatherproofing Sealant Joints
ASTM D1056	(2020) Standard Specification for Flexible Cellular Materials - Sponge or Expanded Rubber

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

UNDERWRITERS LABORATORIES (UL)

UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Sealants

Primers

Bond Breakers

Backstops

SD-06 Test Reports

Field Adhesion

SD-07 Certificates

Indoor Air Quality For Interior Sealants

Indoor Air Quality For Interior Floor Joint Sealants

1.3 PRODUCT DATA

Include storage requirements, shelf life, curing time, instructions for mixing and application, and accessories. Provide manufacturer's Safety Data Sheets (SDS) for each solvent, primer and sealant material proposed.

1.4 CERTIFICATIONS

1.4.1 Indoor Air Quality Certifications

Submit required indoor air quality certifications in one submittal package.

1.4.1.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party program that products meet the requirements of this Section. Provide current product certification documentation from certification body.. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

1.5 ENVIRONMENTAL CONDITIONS

Apply sealant when the ambient temperature is between 40 and 90 degrees F.

1.6 DELIVERY AND STORAGE

Deliver materials to the jobsite in unopened manufacturers' sealed shipping containers, with brand name, date of manufacture, color, and material designation clearly marked thereon. Label elastomeric sealant containers to identify type, class, grade, and use. Handle and store materials in accordance with manufacturer's printed instructions. Prevent

exposure to foreign materials or subjection to sustained temperatures exceeding 90 degrees F or lower than 0 degrees F. Keep materials and containers closed and separated from absorptive materials such as wood and insulation.

1.7 QUALITY ASSURANCE

1.7.1 Compatibility with Substrate

Verify that each sealant is compatible for use with each joint substrate in accordance with sealant manufacturer's printed recommendations for each application.

1.7.2 Joint Tolerance

Provide joint tolerances in accordance with manufacturer's printed instructions.

1.7.3 Adhesion

Provide in accordance with ASTM C1193 or ASTM C1521.

PART 2 PRODUCTS

2.1 SEALANTS

Provide sealant products that have been tested, found suitable, and documented as such by the manufacturer for the particular substrates to which they will be applied.

2.1.1 Interior Sealants

Provide ASTM C920, Type S or M, Grade NS, Class 12.5, Use NT. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior sealants. Location(s) and color(s) of sealant for the following. Note, color "as selected" refers to manufacturer's full range of color options

LOCATION	COLOR
a. Small voids between walls or partitions and adjacent lockers, casework, shelving, door frames, built-in or surface mounted equipment and fixtures, and similar items.	As selected
b. Perimeter of frames at doors, windows, and access panels which adjoin exposed interior concrete and masonry surfaces.	As selected
c. Joints of interior masonry walls and partitions which adjoin columns, pilasters, concrete walls, and exterior walls unless otherwise detailed.	As selected

LOCATION	COLOR
d. Joints between edge members for acoustical tile and adjoining vertical surfaces.	AAs selected
e. Interior locations, not otherwise indicated or specified, where small voids exist between materials specified to be painted.	As selected
f. Joints formed where non-planar tile surfaces meet.	As Selected
g. Joints formed between tile floors and tile base cove; joints between tile and dissimilar materials; joints occurring where substrates change.	As selected
h. Behind escutcheon plates at valve pipe penetrations and showerheads in showers.	As selected

2.1.2 Exterior Sealants

For joints in vertical surfaces, provide ASTM C920, Type S or M, Grade NS, Class 25, Use NT. For joints in horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T. Provide location(s) and color(s) of sealant as follows. Note, color "as selected" refers to manufacturer's full range of color options:

LOCATION	COLOR
a. Joints and recesses formed where frames and subsills of windows, doors, louvers, and vents adjoin masonry, concrete, or metal frames. Use sealant at both exterior and interior surfaces of exterior wall penetrations.	Match adjacent surface color
b. Joints between new and existing exterior masonry walls.	As selected
c. Expansion and control joints.	As selected

LOCATION	COLOR
d. Interior face of expansion joints in exterior concrete or masonry walls where metal expansion joint covers are not required.	As selected
e. Voids where items pass through exterior walls.	As selected
f. Metal reglets, where flashing is inserted into masonry joints, and where flashing is penetrated by coping dowels.	As selected
g. Metal-to-metal joints where sealant is indicated or specified.	As selected
h. Joints between ends of fascia, flashings, and adjacent walls.	As selected

2.1.3 Floor Joint Sealants

ASTM C920, Type S or M, Grade P, Class 25, Use T. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior floor joint sealants. Provide location(s) and color(s) of sealant as follows. Note, color "as selected" refers to manufacturer's full range of color options:

LOCATION	COLOR
a. Seats of metal thresholds for exterior doors.	As selected
b. Control and expansion joints in floors, slabs, ceramic tile, and walkways.	As selected

2.2 PRIMERS

Non-staining, quick drying type and consistency as recommended by the sealant manufacturer for the particular application. Provide primers for interior applications that meet the indoor air quality requirements of the paragraph SEALANTS above.

2.3 BOND BREAKERS

Type and consistency as recommended by the sealant manufacturer to prevent adhesion of the sealant to the backing or to the bottom of the joint.

Provide bond breakers for interior applications that meet the indoor air quality requirements of the paragraph SEALANTS above.

2.4 BACKSTOPS

Provide neoprene, butyl, polyurethane, or polyethylene foams free from oil or other staining elements as recommended by sealant manufacturer. Provide 25 to 33 percent oversized backing for closed cell material, unless otherwise indicated. Provide backstop material that is compatible with sealant. Do not use oakum or other types of absorptive materials as backstops.

2.4.1 Rubber

Provide in accordance with ASTM D1056, Type 2, closed cell, Class A, round cross section for cellular rubber sponge backing.

2.4.2 Synthetic Rubber

Provide in accordance with ASTM C509, Option I, Type I preformed rods or tubes for synthetic rubber backing.

2.4.3 Neoprene

Provide in accordance with ASTM D1056, closed cell expanded neoprene cord Type 2, Class C, Grade 2C2 neoprene backing.

2.4.4 Butyl Rubber Based

Provide in accordance with ASTM C1311, from a single component, with solvent release. color as selected from manufacturer's full range of color choices.

2.4.5 Silicone Rubber Base

Provide in accordance with ASTM C920, from a single component, with solvent release, Non-sag, Type S, Grade NS, Class 25. Color as selected from manufacturer's full range of color choices.

2.5 CLEANING SOLVENTS

Provide type(s) recommended by the sealant manufacturer and in accordance with environmental requirements herein. Protect adjacent aluminum surfaces from solvents. Provide solvents for interior applications that meet the indoor air quality requirements of the paragraph SEALANTS above.

PART 3 EXECUTION

3.1 FIELD QUALITY CONTROL

Perform a field adhesion test in accordance with manufacturer's instructions and ASTM C1193, Method A or ASTM C1521, Method A, Tail Procedure. Remove sealants that fail adhesion testing; clean substrates, reapply sealants, and re-test. Test sealants adjacent to failed sealants. Submit field adhesion test report indicating tests, locations, dates, results, and remedial actions taken.

3.2 SURFACE PREPARATION

Prepare surfaces according to manufacturer's printed installation instructions. Clean surfaces from dirt, frost, moisture, grease, oil, wax, lacquer, paint, or other foreign matter that would destroy or impair adhesion. Remove oil and grease with solvent; thoroughly remove solvents prior to sealant installation. Wipe surfaces dry with clean cloths. When resealing an existing joint, remove existing caulk or sealant prior to applying new sealant. For surface types not listed below, provide in accordance with sealant manufacturer's printed instructions for each specific surface.

3.2.1 Steel Surfaces

Remove loose mill scale by sandblasting or, if sandblasting is impractical or would damage finished work, scraping and wire brushing. Remove protective coatings by sandblasting or using a residue free solvent. Remove resulting debris and solvent residue prior to sealant installation.

3.2.2 Aluminum Surfaces

Remove temporary protective coatings from surfaces that will be in contact with sealant. When masking tape is used as a protective coating, remove tape and any residual adhesive prior to sealant application. For removing protective coatings and final cleaning, use non-staining solvents recommended by the manufacturer of the item(s) containing aluminum surfaces.

3.2.3 Concrete and Masonry Surfaces

Where surfaces have been treated with curing compounds, oil, or other such materials, remove materials by sandblasting or wire brushing. Remove laitance, efflorescence and loose mortar from the joint cavity. Remove resulting debris prior to sealant installation.

3.3 SEALANT PREPARATION

Do not add liquids, solvents, or powders to sealants. Mix multicomponent elastomeric sealants in accordance with manufacturer's printed instructions.

3.4 APPLICATION

3.4.1 Joint Width-To-Depth Ratios

Acceptable Ratios:

JOINT WIDTH	JOINT DEPTH	
	Minimum	Maximum
For metal, glass, or other nonporous surfaces:		
1/4 inch (minimum)	1/4 inch	1/4 inch

JOINT WIDTH	JOINT DEPTH	
	Minimum	Maximum
over 1/4 inch	1/2 of width	Equal to width
For wood, concrete, masonry, stone:		
1/4 inch (minimum)	1/4 inch	1/4 inch
over 1/4 inch to 1/2 inch	1/4 inch	Equal to width
over 1/2 inch to 1 inch	1/2 inch	5/8 inch
Over 1 inch	prohibited	

Unacceptable Ratios: Where joints of acceptable width-to-depth ratios have not been provided, clean out joints to acceptable depths and grind or cut to acceptable widths without damage to the adjoining work. Grinding is prohibited at metal surfaces.

3.4.2 Unacceptable Sealant Use

Do not install sealants in lieu of other required building enclosure weatherproofing components such as flashing, drainage components, and joint closure accessories, or to close gaps between walls, floors, roofs, windows, and doors, that exceed acceptable installation tolerances. Remove sealants that have been used in an unacceptable manner and correct building enclosure deficiencies to comply with contract documents requirements.

3.4.3 Masking Tape

Place masking tape on the finished surface on one or both sides of joint cavities to protect adjacent finished surfaces from primer or sealant smears. Remove masking tape within 10 minutes of joint filling and tooling.

3.4.4 Backstops

Provide backstops dry and free of tears or holes. Tightly pack the back or bottom of joint cavities with backstop material to provide joints in specified depths. Provide backstops where indicated and where backstops are not indicated but joint cavities exceed the acceptable maximum depths specified in JOINT WIDTH-TO-DEPTH RATIOS Table.

3.4.5 Primer

Clean out loose particles from joints immediately prior to application of. Apply primer to joints in concrete masonry units, wood, and other porous surfaces in accordance with sealant manufacturer's printed instructions. Do not apply primer to exposed finished surfaces.

3.4.6 Bond Breaker

Provide bond breakers to surfaces not intended to bond in accordance with, sealant manufacturer's printed instructions for each type of surface and

sealant combination specified.

3.4.7 Sealants

Provide sealants compatible with the material(s) to which they are applied. Do not use a sealant that has exceeded its shelf life or has jelled and cannot be discharged in a continuous flow from the sealant gun. Apply sealants in accordance with the manufacturer's printed instructions with a gun having a nozzle that fits the joint width. Work sealant into joints so as to fill the joints solidly without air pockets. Tool sealant after application to ensure adhesion. Apply sealant uniformly smooth and free of wrinkles. Upon completion of sealant application, roughen partially filled or unfilled joints, apply additional sealant, and tool smooth as specified. Apply sealer over sealants in accordance with the sealant manufacturer's printed instructions.

3.5 PROTECTION AND CLEANING

3.5.1 Protection

Protect areas adjacent to joints from sealant smears. Masking tape may be used for this purpose if removed 5 to 10 minutes after the joint is filled and no residual tape marks remain.

3.5.2 Final Cleaning

Upon completion of sealant application, remove remaining smears and stains and leave the work in a clean and neat condition.

a. Masonry and Other Porous Surfaces: Immediately remove fresh sealant that has been smeared on adjacent masonry, rub clean with a solvent, and remove solvent residue, in accordance with sealant manufacturer's printed instructions. Allow excess sealant to cure for 24 hour then remove by wire brushing or sanding. Remove resulting debris.

b. Metal and Other Non-Porous Surfaces: Remove excess sealant with a solvent moistened cloth. Remove solvent residue in accordance with solvent manufacturer's printed instructions.

-- End of Section --

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SECTION 08 11 13

STEEL DOORS AND FRAMES
08/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel

ASTM INTERNATIONAL (ASTM)

ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A879/A879M	(2012; R 2017) Standard Specification for Steel Sheet, zinc Coated by the Electrolytic Process for Applications Requiring Designation of the Coating Mass on Each Surface
ASTM A924/A924M	(2022a) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process
ASTM C578	(2019) Standard Specification for Rigid, Cellular Polystyrene Thermal Insulation
ASTM C591	(2022) Standard Specification for Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation
ASTM C612	(2014; R 2019) Standard Specification for Mineral Fiber Block and Board Thermal Insulation
ASTM D2863	(2019) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index)

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)

ANSI/BHMA A156.115	(2016) Hardware Preparation in Steel Doors and Steel Frames

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 80	(2022) Standard for Fire Doors and Other Opening Protectives
NFPA 105	(2022) Standard for Smoke Door Assemblies and Other Opening Protectives
NFPA 252	(2022) Standard Methods of Fire Tests of Door Assemblies

STEEL DOOR INSTITUTE (SDI/DOOR)

SDI/DOOR 113	(2013; R2018) Standard Practice for Determining the Steady-State Thermal Transmittance of Steel Door and Frame Assemblies
SDI/DOOR A250.6	(2015) Recommended Practice for Hardware Reinforcing on Standard Steel Doors and Frames
SDI/DOOR A250.8	(2017) Specifications for Standard Steel Doors and Frames
SDI/DOOR A250.11	(2012) Recommended Erection Instructions for Steel Frames

UNDERWRITERS LABORATORIES (UL)

UL 10C	(2016; Reprint May 2021) UL Standard for Safety Positive Pressure Fire Tests of Door Assemblies

1.2 SUBMITTALS

Government approval is required for all submittals. . Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Doors

Frames

Accessories

Schedule of Doors

Schedule of Frames

SD-03 Product Data

Doors

Recycled Content for Steel Door Product

Frames

Recycled Content for Steel Frame Product

Accessories

1.3 DELIVERY, STORAGE, AND HANDLING

Deliver doors, frames, and accessories undamaged and with protective wrappings or packaging. Provide temporary steel spreaders securely fastened to the bottom of each welded frame. Store doors and frames on platforms under cover in clean, dry, ventilated, and accessible locations, with 1/4 inch airspace between doors. Remove damp or wet packaging immediately and wipe affected surfaces dry. Replace damaged materials with new.

PART 2 PRODUCTS

2.1 STEEL DOORS

SDI/DOOR A250.8. Prepare doors to receive door hardware as specified in Section 08 71 00 DOOR HARDWARE. Undercut where indicated. Provide doors at 1-3/4 inch thick, unless otherwise indicated. Provide door material that uses a minimum of 25 percent recycled content. Provide data indicating percentage of recycled content for steel door product.

2.1.1 Classification - Level, Performance, Model

2.1.1.1 Heavy Duty Doors

SDI/DOOR A250.8, Level 2, physical performance Level B, Model 2, with core construction as required by the manufacturer, of size(s) and design(s) indicated. Where vertical stiffener cores are required, the space between the stiffeners must be filled with board insulation.

2.2 ACCESSORIES

2.3 INSULATION CORES

Provide insulating cores at specific doors noted in the door schedule, and provide an apparent U-factor of .48 in accordance with SDI/DOOR 113 and conforming to one of the following:

a. Rigid Cellular Polyisocyanurate Foam: ASTM C591, Type I or II, foamed-in-place or in board form, with oxygen index of not less than 22 percent when tested in accordance with ASTM D2863; or

b. Rigid Polystyrene Foam Board: ASTM C578, Type I or II; or

c. Mineral board: ASTM C612, Type I.

2.4 STEEL FRAMES

SDI/DOOR A250.8, Level Level 2 for interior doors . Form frames to sizes and shapes indicated, with welded corners. . Provide frame product that uses a minimum of 25 percent recycled content. Provide data indicating percentage of recycled content for steel frame product.

2.4.1 Welded Frames

Continuously weld frame faces at corner joints. Mechanically interlock or

continuously weld stops and rabbets. Grind welds smooth.

Weld frames in accordance with the recommended practice of the Structural Welding Code Sections 1 through 6, AWS D1.1/D1.1M and in accordance with the practice specified by the producer of the metal being welded.

2.4.2 Mullions and Transom Bars

Provide mullions and transom bars of closed or tubular construction with heads and jambs butt-welded together. Bottom of door mullions must have adjustable floor anchors and spreader connections.

2.4.3 Stops and Beads

Provide formed and loose stops and beads from 20 gage steel. Provide for glazed and other openings in standard steel frames. Secure beads to frames with oval-head, countersunk Phillips self-tapping sheet metal screws or concealed clips and fasteners. Space fasteners approximately 12 to 16 inch on center. Miter molded shapes at corners. Butt or miter square or rectangular beads at corners.

2.4.4 Anchors

Provide anchors to secure the frame to adjoining construction. Provide steel anchors, zinc-coated not lighter than 18 gage.

2.4.4.1 Wall Anchors

Provide at least three anchors for each jamb. For frames which are more than 7.5 feet in height, provide one additional anchor for each jamb for each additional 2.5 feet or fraction thereof.

a. Masonry: Provide anchors of corrugated or perforated steel straps or 3/16 inch diameter steel wire, adjustable or T-shaped;

b. Stud partitions: Weld or otherwise securely fasten anchors to backs of frames. Design anchors to be fastened to closed steel studs with sheet metal screws, and to open steel studs by wiring or welding;

2.4.4.2 Floor Anchors

Provide floor anchors drilled for 3/8 inch anchor bolts at bottom of each jamb member.

2.5 FIRE AND SMOKE DOORS AND FRAMES

Provide fire and smoke doors and frames in accordance with NFPA 80 and NFPA 105 and this specification. Include insulated core materials in fire doors where required.

2.5.1 Labels

Provide fire doors and frames bearing the label of Underwriters Laboratories (UL), Factory Mutual Engineering and Research (FM), or Warnock Hersey International (WHI) attesting to the rating required. Testing must be in accordance with NFPA 252 or UL 10C. Provide labels that are metal with raised letters, bearing the name or file number of the door and frame manufacturer. Labels must be permanently affixed at the

factory to frames and to the hinge edge of the door. Do not paint door and labels.

2.5.2 Astragal on Fire and Smoke Doors

On pairs of labeled fire doors, conform to NFPA 80 and UL requirements. On smoke control doors, conform to NFPA 105.

2.6 HARDWARE PREPARATION

Drill and tap doors and frames to receive finish hardware. Prepare doors and frames for hardware in accordance with the applicable requirements of SDI/DOOR A250.8 and SDI/DOOR A250.6. For additional requirements refer to ANSI/BHMA A156.115. Drill and tap for surface-applied hardware at the project site. Build additional reinforcing for surface-applied hardware into the door at the factory. Punch door frames, with the exception of frames that will have weatherstripping, to receive a minimum of two rubber door silencers on lock side of single doors and one silencer for each leaf at heads of double doors. Set lock strikes out to provide clearance for silencers.

2.7 FINISHES

2.7.1 Hot-Dip Zinc-Coated and Factory-Primed Finish

Fabricate doors and frames from hot dipped zinc coated steel, alloyed type, that complies with ASTM A924/A924M and ASTM A653/A653M. The coating weight must meet or exceed the minimum requirements for coatings having 0.4 ounces per square foot, total both sides, i.e., A40. Repair damaged zinc-coated surfaces by the application of zinc dust paint. Thoroughly clean and chemically treat to insure maximum paint adhesion. Factory prime as specified in SDI/DOOR A250.8.

2.7.2 Electrolytic Zinc-Coated Anchors and Accessories

Provide electrolytically deposited zinc-coated steel in accordance with ASTM A879/A879M, Commercial Quality, Coating Class A. Phosphate treat and factory prime zinc-coated surfaces as specified in SDI/DOOR A250.8.

2.8 FABRICATION AND WORKMANSHIP

Provide finished doors and frames that are strong and rigid, neat in appearance, and free from defects, waves, scratches, cuts, dents, ridges, holes, warp, and buckle. Provide molded members that are clean cut, straight, and true, with joints coped or mitered, well formed, and in true alignment. Dress exposed welded and soldered joints smooth. Design door frame sections for use with the wall construction indicated. Corner joints must be well formed and in true alignment. Conceal fastenings where practicable. Design frames in exposed masonry walls or partitions to allow sufficient space between the inside back of trim and masonry to receive caulking compound.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Frames

Set frames in accordance with SDI/DOOR A250.11. Plumb, align, and brace

securely until permanent anchors are set. Anchor bottoms of frames with expansion bolts or powder-actuated fasteners. Build in or secure wall anchors to adjoining construction.

3.1.2 Doors

Hang doors in accordance with clearances specified in SDI/DOOR A250.8. After erection and glazing, clean and adjust hardware.

3.1.3 Fire and Smoke Doors and Frames

Install fire doors and frames, including hardware, in accordance with NFPA 80. Install fire rated smoke doors and frames in accordance with NFPA 80 and NFPA 105.

3.2 PROTECTION

Protect doors and frames from damage. Repair damaged doors and frames prior to completion and acceptance of the project or replace with new, as directed. Wire brush rusted frames until rust is removed. Clean thoroughly. Apply an all-over coat of rust-inhibitive paint of the same type used for shop coat.

3.3 CLEANING

Upon completion, clean exposed surfaces of doors and frames thoroughly. Remove mastic smears and other unsightly marks.

-- End of Section --

SECTION 08 71 00

DOOR HARDWARE
02/16, CHG 4: 02/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)

ANSI/BHMA A156.1	(2021) Butts and Hinges
ANSI/BHMA A156.2	(2022) Bored and Preassembled Locks and Latches
ANSI/BHMA A156.3	(2020) Exit Devices
ANSI/BHMA A156.4	(2013) Door Controls - Closers
ANSI/BHMA A156.7	(2016) Template Hinge Dimensions
ANSI/BHMA A156.8	(2021) Door Controls - Overhead Stops and Holders
ANSI/BHMA A156.13	(2022) Mortise Locks & Latches Series 1000
ANSI/BHMA A156.16	(2023) Auxiliary Hardware
ANSI/BHMA A156.18	(2020) Materials and Finishes

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 72	(2022; ERTA 22-1) National Fire Alarm and Signaling Code
NFPA 80	(2022) Standard for Fire Doors and Other Opening Protectives
NFPA 101	(2021; TIA 21-1) Life Safety Code
NFPA 252	(2022) Standard Methods of Fire Tests of Door Assemblies

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

36 CFR 1191	Americans with Disabilities Act (ADA) Accessibility Guidelines for Buildings and Facilities; Architectural Barriers Act (ABA) Accessibility Guidelines

UNDERWRITERS LABORATORIES (UL)

UL Bld Mat Dir	(updated continuously online) Building

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Manufacturer's Detail Drawings

Verification of Existing Conditions

Hardware Schedule

Keying System

SD-03 Product Data

Hardware Items

SD-08 Manufacturer's Instructions

Installation

SD-10 Operation and Maintenance Data

Hardware Schedule Items, Data Package 1

SD-11 Closeout Submittals

Key Bitting

1.3 SHOP DRAWINGS

Submit manufacturer's detail drawings indicating all hardware assembly components and interface with adjacent construction. Base shop drawings on verified field measurements and include verification of existing conditions.

1.4 PRODUCT DATA

Indicate fire-ratings at applicable components. Provide documentation of ABA/ADA accessibility compliance of applicable components, as required by 36 CFR 1191 Appendix D - Technical.

1.5 HARDWARE SCHEDULE

Provide Hardware Item List and Hardware Schedule containing the following information, and additional information as needed to identify the complete make up of each hardware set and its application to each opening:

1.5.1 Hardware Item List:

a. Hardware Type

b. Item Number

c. Quantity

d. Size(s)

e. Reference Publication / Type Number

f. Manufacturer's Name / Catalog Number

g. Key Control Symbols

h. UL Mark (If fire rated and listed)

i. BHMA Finish(es)

j. Remarks

1.5.2 Hardware Schedule

a. Hardware Set Number

b. Opening Number(s)

c. Opening Description (single/double leaf, hand, size, door/frame material)

d. Fire Rating

e. Sound Rating

f. Hardware Items

g. Quantity

h. Size

i. BHMA Finish

j. Remarks

In addition, submit hardware schedule data package 1 in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

1.6 KEY BITTING CHART REQUIREMENTS

1.6.1 Requirements

Submit key bitting charts to the Contracting Officer prior to completion of the work. Include:

a. Complete listing of all keys (e.g. AA1 and AA2).

b. Complete listing of all key cuts (AA1-123456, AA2-123458).

c. Tabulation showing which key fits which door.

d. Copy of floor plan showing doors and door numbers.

e. Listing of 20 percent more key cuts than are presently required in each master system.

1.7 QUALITY ASSURANCE

1.7.1 Hardware Manufacturers and Modifications

Provide, as far as feasible, locks, hinges and closers of one lock, hinge or closer manufacturer's make. Modify hardware as necessary to provide features indicated or specified.

1.7.2 Key Shop Drawings Coordination Meeting

Prior to the submission of the key shop drawing, the Contracting Officer, Contractor, Door Hardware Subcontractor, using Activity and Base Locksmith must meet to discuss and coordinate key requirements for the facility.

Provide SFIC Series 7 pin, A Keyway as manufactured by Best, www.bestaccess.com. Coordinate with the Cherry Point Base Locksmith to resolve keying, procurement, shipping and installation procedures. Confirm keyways utilized and provide compatible cylinders and cores. Confirm hardware set components and functions.

1.8 DELIVERY, STORAGE, AND HANDLING

Deliver hardware in original individual containers, complete with necessary appurtenances including fasteners and instructions. Mark each individual container with item number as shown on hardware schedule. Deliver permanent keys and removable cores to the Contracting Officer, either directly or by certified mail. Deliver construction master keys with the locks.

PART 2 PRODUCTS

2.1 TEMPLATE HARDWARE

Hardware applied to doors must be manufactured using a template. Provide templates to door and frame manufacturers in accordance with ANSI/BHMA A156.7 for template hinges. Coordinate hardware items to prevent interference with other hardware.

2.2 HARDWARE FOR FIRE DOORS AND EXIT DOORS

Provide all hardware necessary to meet the requirements of NFPA 72 for door alarms, NFPA 80 for fire doors, NFPA 101 for exit doors, NFPA 252 for fire tests of door assemblies, ABA/ADA accessibility requirements, and all other requirements indicated, even if such hardware is not specifically mentioned in paragraph HARDWARE SCHEDULE. Provide Underwriters Laboratories, Inc. labels for such hardware in accordance with UL Bld Mat Dir or equivalent labels in accordance with another testing laboratory approved in writing by the Contracting Officer.

2.3 HARDWARE ITEMS

Clearly and permanently mark with the manufacturer's name or trademark, hinges, locks, latches, bolts and closers where the identifying mark is visible after the item is installed. For closers with covers, the name or trademark may be beneath the cover.

2.3.1 Hinges

Provide in accordance with ANSI/BHMA A156.1. Provide hinges that are 4-1/2 by 4-1/2 inch unless otherwise indicated. Construct loose pin hinges for interior doors and reverse-bevel exterior doors so that pins are non-removable when door is closed. Other anti-friction bearing hinges may be provided in lieu of ball bearing hinges.

2.3.2 Locks and Latches

a. In non-air-conditioned interior environments or humid interior environments, provide interior locksets on the same Marine Grade I, fully non-ferrous as exterior locksets.

2.3.2.1 Bored Locks and Latches

Provide in accordance with ANSI/BHMA A156.2, Series 4000, Grade 1.

2.3.3 Cylinders and Cores

Provide cylinders and cores for new locks, including locks provided under other sections of this specification. Provide cylinders and cores with seven pin tumblers. Provide cylinders from the products of one manufacturer, and provide cores from the products of one manufacturer. Rim cylinders, mortise cylinders, and knobs of bored locksets have interchangeable cores which are removable by special control keys. Stamp each interchangeable core with a key control symbol in a concealed place on the core.

2.3.4 Keying System

Provide an extension of the existing keying system. Existing locks were manufactured by Best, www.bestaccess.com and have interchangeable cores. Provide construction interchangeable cores.

2.3.5 Lock Trim

Provide cast, forged, or heavy wrought construction and commercial plain design for lock trim.

2.3.5.1 Lever Handles

Provide lever handles. Provide lever handle locks with a breakaway feature (such as a weakened spindle or a shear key) to prevent irreparable damage to the lock when force in excess of that specified in ANSI/BHMA A156.13 is applied to the lever handle. Provide lever handles return to within 1/2 inch of the door face.

2.3.6 Keys

Furnish one file key, one duplicate key, and one working key for each key change. Furnish construction master keys, and control keys for removable cores. Furnish a quantity of key blanks equal to 20 percent of the total number of file keys. Stamp each key with appropriate key control symbol and "U.S. property - do not duplicate." Do not place room number on keys.

2.3.7 Door Bolts

Provide in accordance with ANSI/BHMA A156.16. Provide dustproof strikes

for bottom bolts, except at doors having metal thresholds. Provide automatic latching flush bolts in accordance with ANSI/BHMA A156.3, Type 25.

2.3.8 Closers

Provide in accordance with ANSI/BHMA A156.4, Series C02000, Grade 1, with PT 4C. Provide with brackets, arms, mounting devices, fasteners, full metal covers and other features necessary for the particular application. Size closers in accordance with manufacturer's printed recommendations, or provide multi-size closers, Sizes 1 through 6, and list sizes in the Hardware Schedule. Provide manufacturer's 10 year warranty.

On interior doors use closers of 302 or 304 stainless steel or non-ferrous materials.

2.3.8.1 Identification Marking

Engrave each closer with manufacturer's name or trademark, date of manufacture, and manufacturer's size designation in locations that will be visible after installation.

2.3.9 Overhead Holders

Provide in accordance with ANSI/BHMA A156.8.

2.3.10 Door Stops and Silencers

Provide in accordance with ANSI/BHMA A156.16. Silencers Type L03011. Provide three silencers for each single door, two for each pair.

2.3.11 Special Tools

Provide special tools, such as spanner and socket wrenches and dogging keys, as required to service and adjust hardware items.

2.4 FASTENERS

Provide fasteners of type, quality, size, and quantity appropriate to the specific application. Fastener finish to match hardware. Provide stainless steel or nonferrous metal fasteners in locations exposed to weather. Verify metals in contact with one another are compatible and will avoid galvanic corrosion when exposed to weather.

2.5 FINISHES

Provide in accordance with ANSI/BHMA A156.18. Provide hardware in BHMA 630 finish (satin stainless steel), unless specified otherwise. M

PART 3 EXECUTION

3.1 INSTALLATION

Provide hardware in accordance with manufacturers' printed installation instructions. Fasten hardware to wood surfaces with full-threaded wood screws or sheet metal screws. Provide machine screws set in expansion shields for fastening hardware to solid concrete and masonry surfaces. Provide toggle bolts where required for fastening to hollow core construction. Provide through bolts where necessary for satisfactory

installation.

3.2 FIRE DOORS AND EXIT DOORS

Provide hardware in accordance with NFPA 72 for door alarms, NFPA 80 for fire doors, NFPA 101 for exit doors, and NFPA 252 for fire tests of door assemblies.

3.3 FIELD QUALITY CONTROL

After installation, protect hardware from paint, stains, blemishes, and other damage until acceptance of work. Submit notice of testing 15 days before scheduled, so that testing can be witnessed by the Contracting Officer. Adjust hinges, locks, latches, bolts, holders, closers, and other items to operate properly. Demonstrate that permanent keys operate respective locks, and give keys to the Contracting Officer. Correct, repair, and finish, errors in cutting and fitting and damage to adjoining work.

3.4 HARDWARE SETS

Provide selected hardware items for other sections under this section. Deliver Hardware templates and hardware, except field applied hardware, to the other door and frame manufacturers for use in fabricating doors and frames.

BB = Ball Bearing; FMC = Full Metal Cover; HD = Heavy Duty; HT = Hospital Tip; NRP = Non Removable Pin; SFIC = Small Format Interchangeable Core

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HWS-1   Elevator Mech, 45 Min Rating
             4 each BB 4.5 x 4.5 Butt Hinge, A5111  630
             1 each FMC Closer, C02021              630
             1 each Lever Storeroom, F86            630
   Best      1 each 7 Pin SFIC, F24, E09241         630
             1 each Category B Edge Seal, UL 10C   Charcoal
             1 each Wall Stop, L02251 or            626
                Floor Stop, L02161
   Note:  Trim is to have an abrasive texture to indicate a potentially
                hazardous environment to the blind
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-- End of Section --

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SECTION 09 90 00

PAINTS AND COATINGS
02/21

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

1.1.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.

b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.

c. Existing coated surfaces that are damaged during performance of the work.

1.1.1.1 Exterior Painting

Includes new surfaces, existing coated surfaces, and existing uncoated surfaces, of buildings and appurtenances. Also included are existing coated surfaces made bare by cleaning operations.

1.1.1.2 Interior Painting

Includes new surfaces, existing uncoated surfaces, and existing coated surfaces of buildings and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

a. Exposed columns, girders, beams, joists, and metal deck; and

b. Other contiguous surfaces.

1.1.2 Painting Excluded

Do not paint the following unless indicated otherwise.

a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.

b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.

c. Steel to be embedded in concrete.

d. Copper, stainless steel, aluminum, anodized aluminum, brass, and lead except existing coated surfaces.

e. Hardware, fittings, and other factory finished items.

1.1.3 Mechanical and Electrical Painting

Includes field coating of interior and exterior new and existing surfaces.

a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.

(1) Exposed piping, conduit, and ductwork;

(2) Supports, hangers, air grilles, and registers;

(3) Miscellaneous metalwork and insulation coverings.

b. Do not paint the following, unless indicated otherwise:

(1) New zinc-coated, aluminum, and copper surfaces under insulation

(2) New aluminum jacket on piping

(3) New interior ferrous piping under insulation.

1.1.3.1 Fire Extinguishing Sprinkler Systems

Clean, pretreat, prime, and paint new fire extinguishing sprinkler systems including valves, piping, conduit, hangers, supports, miscellaneous metalwork, and accessories. Apply coatings to clean, dry surfaces, using clean brushes.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH 0100	(2017; Suppl 2020) Documentation of the Threshold Limit Values and Biological Exposure Indices

ASTM INTERNATIONAL (ASTM)

ASTM D235	(2002; R 2012) Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent)
ASTM D523	(2014; R 2018) Standard Test Method for Specular Gloss
ASTM D4214	(2007; R 2015) Standard Test Method for Evaluating the Degree of Chalking of Exterior Paint Films
ASTM D4263	(1983; R 2018) Standard Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method
ASTM D4444	(2013; R 2018) Standard Test Method for

	Laboratory Standardization and Calibration of Hand-Held Moisture Meters
ASTM D6386	(2016a) Standard Practice for Preparation of Zinc (Hot-Dip Galvanized) Coated Iron and Steel Product and Hardware Surfaces for Painting
ASTM F1869	(2022) Standard Test Method for Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)

Intelligence Bulletin 65	(2013) Occupational Exposure to Carbon Nanotubes and Nanofibers

MASTER PAINTERS INSTITUTE (MPI)

MPI 4	(2016) Interior/Exterior Latex Block Filler
MPI 9	(2016) Alkyd, Exterior Gloss (MPI Gloss Level 6)
MPI 11	(2016) Latex, Exterior Semi-Gloss, MPI Gloss Level 5
MPI 23	(2015) Primer, Metal, Surface Tolerant
MPI 47	(2016) Alkyd, Interior, Semi-Gloss (MPI Gloss Level 5)
MPI 72	(2016) Polyurethane, Two-Component, Pigmented, Gloss (MPI Gloss Level 6-7)
MPI 76	(2016) Primer, Alkyd, Quick Dry, for Metal
MPI 77	(2015) Epoxy, Gloss
MPI 79	(2016) Primer, Alkyd, Anti-Corrosive for Metal
MPI 94	(2016) Alkyd, Exterior, Semi-Gloss (MPI Gloss Level 5)
MPI 101	(2016) Primer, Epoxy, Anti-Corrosive, for Metal
MPI 107	(2016) Primer, Rust-Inhibitive, Water Based
MPI 116	(2012) Block Filler, Epoxy
MPI 134	(2015) Primer, Galvanized, Water Based
MPI 140	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 4)
MPI 163	(2016) Light Industrial Coating, Exterior, Water Based, Semi-Gloss (MPI Gloss Level 5)

MPI ASM	(2019) Architectural Painting Specification Manual
MPI GPS-1-14	(2014) Green Performance Standard GPS-1-14
MPI GPS-2-14	(2014) Green Performance Standard GPS-2-14
MPI MRM	(2015) Maintenance Repainting Manual

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC 7/NACE No.4	(2007) Brush-Off Blast Cleaning
SSPC Glossary	(2011) SSPC Protective Coatings Glossary
SSPC Guide 6	(2021) Guide for Containing Surface Preparation Debris Generated During Paint Removal Operations
SSPC Guide 7	(2015) Guide to the Disposal of Lead-Contaminated Surface Preparation Debris
SSPC PA 1	(2016) Shop, Field, and Maintenance Coating of Metals
SSPC SP 1	(2015) Solvent Cleaning
SSPC SP 2	(2018) Hand Tool Cleaning
SSPC SP 3	(2018) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning
SSPC SP 10/NACE No. 2	(2015) Near-White Blast Cleaning
SSPC VIS 1	(2002; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Dry Abrasive Blast Cleaning
SSPC VIS 3	(2004) Guide and Reference Photographs for Steel Surfaces Prepared by Hand and Power Tool Cleaning

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101	(2014; Rev C) Color Code for Pipelines and for Compressed Gas Cylinders

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FED-STD-313	(2018) Material Safety Data, Transportation Data and Disposal Data for

	Hazardous Materials Furnished to Government Activities

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000	Air Contaminants
29 CFR 1910.1025	Lead
29 CFR 1926.62	Lead

1.3 DEFINITIONS

1.3.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third-party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.3.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing must be accomplished by an MPI testing lab.

1.3.3 Coating

SSPC Glossary; (1) A liquid, liquefiable, or mastic composition that is converted to a solid protective, decorative, or functional adherent film after application as a thin layer; (2) Generic term for paint, lacquer, enamel.

1.3.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.3.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five levels are generically defined under the Assessment sections in the MPI MRM, MPI Maintenance Repainting Manual.

1.3.6 EXT

MPI short term designation for an exterior coating system.

1.3.7 INT

MPI short term designation for an interior coating system.

1.3.8 Loose Paint

Paint or coating that can be removed with a dull putty knife.

1.3.9 mil / mils

The English measurement for 0.001 in or one one-thousandth of an inch.

1.3.10 MPI Gloss Levels

MPI system of defining gloss. Seven gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degree angle	Units at 80 degree angle
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.3.11 MPI System Number

The MPI coating system number in each MPI Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN).

1.3.12 Paint

SSPC Glossary; (1) Any pigmented liquid, liquefiable, or mastic composition designed for application to a substrate in a thin layer that is converted to an opaque solid film after application. Used for protection, decoration, identification, or to serve some other functional purposes; (2) Application of a coating material.

1.3.13 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.3.14 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

1.4 SCHEDULING

Allow paint, polyurethane, varnish, and wood stain installations to cure prior to the installation of materials that adsorb VOCs.

1.5 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Samples of specified materials may be taken and tested for compliance with specification requirements.

SD-02 Shop Drawings

Piping Identification

SD-03 Product Data

Coating

Product Data Sheets

SD-04 Samples

Color

SD-07 Certificates

Indoor Air Quality for Paints and Primers

SD-08 Manufacturer's Instructions

Application Instructions

Mixing

Manufacturer's Safety Data Sheets

SD-10 Operation and Maintenance Data

Coatings, Data Package 1

1.6 QUALITY ASSURANCE

1.6.1 Regulatory Requirements

1.6.1.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.6.1.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.6.1.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.6.1.4 Asbestos Content

Provide asbestos-free materials.

1.6.1.5 Mercury Content

Provide materials free of mercury or mercury compounds.

1.6.1.6 Silica

Provide abrasive blast media containing no free crystalline silica.

1.6.1.7 Human Carcinogens

Provide materials that do not contain ACGIH 0100 confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.6.1.8 Carbon Based Fibers / Tubes

Materials must not contain carbon based fibers such as carbon nanotubes or carbon nanofibers. Intelligence Bulletin 65 ranks toxicity of carbon nanotubes on a par with asbestos.

1.6.2 Coating Contractor's Qualification

Submit the name, address, telephone number, and e-mail address of the Contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application of coatings on a minimum of three similar projects within the past three years. List information by individual and include the following:

a. Name of individual and proposed position for this work.

b. Information about each previous assignment including:

Position or responsibility

Employer (if other than the Contractor)

Name of facility owner

Mailing address and telephone number of facility owner

Name of individual in facility owner's organization who can be contacted as a reference

Location, size and description of structure

Dates work was carried out

Description of work carried out on structure

1.6.3 Approved Products List

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of Contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire Contract and each coating system is to be from a single manufacturer. Provide all coats on a particular substrate from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

1.6.4 Paints and Coatings Indoor Air Quality Certifications

Provide paint and coating products certified to meet indoor air quality requirements by MPI GPS-1-14, MPI GPS-2-14 or provide certification by other third-party programs. Provide current product certification documentation from certification body.

Provide certification of Indoor Air Quality for Paints and Primers. Submit required indoor air quality certifications in one submittal package.

1.7 PACKAGING, LABELING, AND STORAGE

Provide paints in sealed containers that legibly show the Contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Furnish pigmented paints in containers not larger than 5 gallons. Store paints and thinners in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F. Do not store paint, polyurethane, varnish, or wood stain products with materials that have a high capacity to absorb VOC emissions. Do not store paint, polyurethane, varnish, or wood stain products in occupied spaces.

1.8 SAFETY AND HEALTH

Comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and in Appendix A of EM 385-1-1. Include in the Activity Hazard Analysis the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.8.1 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

a. The applicable manufacturer's Safety Data Sheets (SDS) or local regulation.

b. 29 CFR 1910.1000.

c. ACGIH 0100, threshold limit values.

d. The appropriate OSHA standard in 29 CFR 1910.1025 and 29 CFR 1926.62

for surface preparation on painted surfaces containing lead. Removal and disposal of coatings which contain lead is specified in Section 02 83 00 LEAD REMEDIATION. Additional guidance is given in SSPC Guide 6 and SSPC Guide 7. Refer to drawings for list of hazardous materials located on this project. Coordinate paint preparation activities with this specification section.

Submit manufacturer's Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

1.9 ENVIRONMENTAL REQUIREMENTS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation. Isolate area of application from rest of building when applying high-emission paints or coatings.

1.9.1 Coatings

Do not apply coating when air or substrate conditions are:

a. Less than 5 degrees F above dew point;

b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Do not, under any circumstances, violate the manufacturer's application recommendations.

1.9.2 Post-Application

Vacate space for as long as possible after application. Wait a minimum of 48 hours before occupying freshly painted rooms. Maintain one of the following ventilation conditions during the curing period, or for 72 hours after application:

a. Supply 100 percent outside air 24 hours a day.

b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 85 degrees F and humidity is between 30 percent and 60 percent.

c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit Product Data Sheets for specified coatings and solvents. Provide preprinted cleaning and maintenance instructions for all coating systems. Submit Manufacturer's Instructions on Mixing: Detailed mixing instructions, minimum and maximum application temperature and humidity, pot life, and curing and drying times between coats.

2.2 COLOR SELECTION OF FINISH COATS

Provide colors of finish coats as indicated or specified. Allow Contracting Officer to select colors not indicated or specified. Manufacturers' names and color identification are used for the purpose of

color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors are approximately the colors indicated and the product conforms to specified requirements.

Provide color, texture, and pattern of wall coating systems as indicated. Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated. Submit color stencil codes. Tint each coat progressively darker to enable confirmation of the number of coats.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, reinstall removed items by workmen skilled in the trades. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

3.2 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Remove oil and grease prior to mechanical cleaning. Schedule cleaning so that dust and other contaminants will not fall on wet, newly painted surfaces. Refer to MPI ASM and MPI MRM for additional more specific substrate preparation requirements.

3.2.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.

b. Wipe previously painted surfaces to receive solvent-based coatings with a clean, dry cloth saturated with mineral spirits, ASTM D235 or as specified in MPI MRM. Wipe the surfaces dry with a clean, dry, lint free cloth. Wipe immediately preceding the application of the first coat of any coating, unless specified otherwise.

c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.

d. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer and specific surface preparation requirements as outlined in MPI MRM Exterior Surface Preparation and Interior Surface Preparation.

e. Thoroughly clean previously painted surfaces of all grease, dirt, dust or other foreign matter.

f. Remove blistering, cracking, flaking and peeling or otherwise deteriorated coatings.

g. Remove chalk so that when tested in accordance with ASTM D4214, the chalk resistance rating is no less than 8.

h. Roughen slick surfaces. Repair damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls with suitable material to match adjacent undamaged areas.

i. Feather and sand smooth edges of chipped paint.

j. Clean rusty metal surfaces in accordance with SSPC requirements. Use solvent, mechanical, or chemical cleaning methods to provide surfaces suitable for painting.

k. Provide new, proposed coatings that are compatible with existing coatings.

3.2.2 Existing Coated Surfaces with Minor Defects

Sand, spackle, and treat minor defects to render them smooth. Minor defects are defined as scratches, nicks, cracks, gouges, spalls, alligatoring, chalking, and irregularities due to partial peeling of previous coatings. Remove chalking by sanding so that when tested in accordance with ASTM D4214, the chalk rating is not less than 8.

3.2.3 Substrate Repair

a. Repair substrate surface damaged during coating removal;

b. Sand edges of adjacent soundly-adhered existing coatings so they are tapered as smooth as practical to areas involved with coating removal; and

c. Clean and prime the substrate as specified.

3.3 PREPARATION OF METAL SURFACES

3.3.1 Existing and New Ferrous Surfaces

a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 2, or SSPC SP 3. Brush-off blast remaining surface in accordance with SSPC 7/NACE No.4. Use inhibitor as recommended by coating manufacturer to prevent premature rusting. Protect shop-coated ferrous surfaces from corrosion by treating and touching up corroded areas immediately upon detection.

b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign Substances: Clean entire surface in accordance with SSPC SP 6/NACE No.3.

3.3.2 Final Ferrous Surface Condition:

3.3.2.1 Tool Cleaned Surfaces

Comply with SSPC SP 2 and SSPC SP 3. Use as a visual reference, photographs in SSPC VIS 3 for the appearance of cleaned surfaces.

3.3.2.2 Abrasive Blast Cleaned Surfaces

Comply with SSPC 7/NACE No.4, SSPC SP 6/NACE No.3, and SSPC SP 10/NACE No. 2. Use as a visual reference, photographs in SSPC VIS 1 for the appearance of cleaned surfaces.

3.3.3 Galvanized Surfaces

a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, steam, or non-alkaline detergent solution in accordance with SSPC SP 1. Completely remove coating by brush-off abrasive blast if the galvanized metal has been passivated or stabilized. Do not "passivate" or "stabilize" new galvanized steel to be coated. If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D6386, Appendix X2, and remove by one of the methods described therein.

3.4 PREPARATION OF CONCRETE AND CEMENTITIOUS SURFACE

3.4.1 Concrete and Masonry

a. Curing: Allow concrete, stucco and masonry surfaces to cure at least 30 days before painting, and concrete slab on grade to cure at least 90 days before painting.

b. Surface Cleaning: Remove the following deleterious substances.

(1) Dirt, Chalking, Grease, and Oil: Wash new and existing uncoated surfaces with a solution composed of 1/2 cup trisodium phosphate, 1/4 cup household detergent, and 4 quarts of warm water. Then rinse thoroughly with fresh water. Wash existing coated surfaces with a suitable detergent and rinse thoroughly. For large areas, water blasting may be used.

(2) Fungus and Mold: Wash new, existing coated, and existing uncoated surfaces with a solution composed of 1/2 cup trisodium phosphate, 1/4 cup household detergent, one quart 5 percent sodium hypochlorite solution and 3 quarts of warm water. Rinse thoroughly with fresh water.

(3) Paint and Loose Particles: Remove by wire brushing.

(4) Efflorescence: Remove by scraping or wire brushing followed by washing with a 5 to 10 percent by weight aqueous solution of hydrochloric (muriatic) acid. Do not allow acid to remain on the surface for more than five minutes before rinsing with fresh water. Do not acid clean more than 4 square feet of surface, per workman, at one time.

c. Cosmetic Repair of Minor Defects: Repair or fill mortar joints and minor defects, including but not limited to spalls, in accordance with manufacturer's recommendations and prior to coating application.

d. Allowable Moisture Content: Latex coatings may be applied to damp surfaces, but not to surfaces with droplets of water. Do not apply epoxies to damp vertical surfaces as determined by ASTM D4263 or horizontal surfaces that exceed 3 lbs of moisture per 1000 square feet in 24 hours as determined by ASTM F1869. In all cases follow manufacturer's recommendations. Allow surfaces to cure a minimum of 30 days before painting.

3.4.2 Gypsum Board, Plaster, and Stucco

3.4.2.1 Surface Cleaning

Verify that plaster and stucco surfaces are free from loose matter and that gypsum board is dry. Remove loose dirt and dust by brushing with a soft brush, rubbing with a dry cloth, or vacuum-cleaning prior to application of the first coat material. A damp cloth or sponge may be used if paint is water-based.

3.4.2.2 Repair of Minor Defects

Prior to painting, repair joints, cracks, holes, surface irregularities, and other minor defects with patching plaster or spackling compound and sand smooth.

3.4.2.3 Allowable Moisture Content

Latex coatings may be applied to damp surfaces, but not surfaces with droplets of water. Do not apply epoxies to damp surfaces as determined by ASTM D4263.

3.5 PREPARATION OF WOOD SURFACES

3.5.1 New and Existing Coated Wood Surfaces, Except Floors:

a. Surface Cleaning: Clean wood surfaces of foreign matter. Verify that surfaces are free from dust and other deleterious substances and in a condition approved by the Contracting Officer prior to receiving paint or other finish. Do not use water to clean uncoated wood. Scrape to remove loose coatings. Lightly sand to roughen the entire area of previously enamel-coated wood surfaces.

b. Removal of Fungus and Mold: Wash existing coated surfaces with a solution composed of 3 ounces (2/3 cup) trisodium phosphate, one ounce (1/3 cup) household detergent, one quart 5 percent sodium hypochlorite solution and 3 quarts of warm water. Rinse thoroughly with fresh water.

c. Do not exceed 12 percent moisture content of the wood as measured by a moisture meter in accordance with ASTM D4444, Method A, unless otherwise authorized.

d. Prime or touch up wood surfaces adjacent to surfaces to receive water-thinned paints before applying water-thinned paints.

e. Cracks and Nailheads: Set and putty stop nailheads and putty cracks after the prime coat has dried.

f. Cosmetic Repair of Minor Defects:

(1) Knots and Resinous Wood: Prior to application of coating, cover knots and stains with two or more coats of 3-pound-cut shellac varnish, plasticized with 5 ounces of castor oil per gallon. Scrape away existing coatings from knotty areas, and sand before treating. Prime before applying any putty over shellacked area.

(2) Open Joints and Other Openings: Fill with whiting putty, linseed oil putty. Sand smooth after putty has dried.

(3) Checking: Where checking of the wood is present, sand the surface, wipe and apply a coat of pigmented orange shellac. Allow to dry before paint is applied.

g. Prime Coat For New Exterior Surfaces: Prime coat wood doors before wood becomes dirty or warped.

3.5.2 Interior Wood Surfaces, Stain Finish

Sand interior wood surfaces to receive stain. Fill oak and other open-grain wood to receive stain with a coat of wood filler not less than 8 hours before the application of stain; remove excess filler and sand the surface smooth.

3.6 APPLICATION

3.6.1 Coating Application

a. Comply with applicable federal, state and local laws enacted to ensure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

b. At the time of application, paint must show no signs of deterioration. Maintain uniform suspension of pigments during application.

c. Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Use rollers for applying paints and enamels of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.

d. Only apply paints, except water-thinned types, to surfaces that are completely free of moisture as determined by sight or touch.

e. Thoroughly work coating materials into joints, crevices, and open spaces. Pay special attention to ensure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

f. Apply each coat of paint so that dry film is of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Completely hide all blemishes.

g. Touch up damaged coatings before applying subsequent coats. Broom clean and clear dust from interior areas before and during the

application of coating material.

h. Apply paint to new fire extinguishing sprinkler systems including valves, piping, conduit, hangers, supports, miscellaneous metal work, and accessories. Shield sprinkler heads with protective coverings while painting is in progress. Remove sprinkler heads which have been painted and replace with new sprinkler heads. Unfinished spaces include attic spaces, spaces above suspended ceilings, crawl spaces, pipe chases, mechanical equipment room, and space where walls or ceiling are not painted or not constructed of a prefinished material. Upon completion of painting, remove protective covering from sprinkler heads.

i. Piping in Unfinished Areas: Provide primed surfaces with one coat of red alkyd gloss enamel (MPI 9) applied to a minimum dry film thickness of 1.0 mil in attic spaces, spaces above suspended ceilings, crawl spaces, pipe chases, mechanical equipment room, and spaces where walls or ceiling are not painted or not constructed of a prefinished material.

j. Piping in Finished Areas: Provide primed surfaces with two coats of paint to match adjacent surfaces, except provide valves and operating accessories with one coat of red alkyd gloss enamel (MPI 9) applied to a minimum dry film thickness of 1.0 mil or two component gloss polyurethane (MPI 72) in exterior applications.

k. Provide labeling on the surfaces of all feed and cross mains to show the pipe function such as "Sprinkler System", "Fire Department Connection", "Standpipe". For pipe sizes 4-inch and larger provide white painted stenciled letters and arrows, a minimum of 2 in in height and visible from at least two sides when viewed from the floor. For pipe sizes less than 4-inch, provide white painted stenciled letters and arrows, a minimum of 0.75 in in height and visible from the floor.

l. All fire suppression system valves must be marked with permanent tags indicating normally open or normally closed.

m. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.

n. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Cover each preceding coat or surface completely by ensuring visually perceptible difference in shades of successive coats.

o. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

p. Thermosetting Paints: Apply topcoats over thermosetting paints (epoxies and urethanes) within the overcoat window recommended by the manufacturer.

3.6.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. Verify that the written permission includes quantities and types of thinners to use.

When thinning is allowed, thin paints immediately prior to application with not more than one pint of suitable thinner per gallon. The use of thinner does not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning cannot cause the paint to exceed limits on volatile organic compounds. Do not mix paints of different manufacturers.

3.6.3 Two-Component Systems

Mix two-component systems in accordance with manufacturer's instructions. Follow recommendation by the manufacturer for any thinning of the first coat to ensure proper penetration and sealing for each type of substrate.

3.6.4 Coating Systems

a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table for Exterior Applications	
MPI Division	Substrate Application
MPI Division 5	Exterior Metal, Ferrous Paint Table

Table for Interior Applications	
MPI Division	Substrate Application
MPI Division 4	Interior Concrete Masonry Units Paint Table
MPI Division 5	Interior Metal, Ferrous Paint Table

Table for Interior Applications	

b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness, where specified, refers to the minimum dry film thickness.

c. Coatings for Surfaces Not Specified Otherwise: Coat unspecified surfaces the same as surfaces having similar conditions of exposure.

d. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:

(1) One coat of primer.

(2) One coat of undercoat or intermediate coat.

(3) One topcoat to match adjacent surfaces.

e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.7 COATING SYSTEMS FOR METAL

Apply coatings of Tables in MPI Division 5 for Exterior and Interior.

a. Apply specified ferrous metal primer to steel surfaces on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.

b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.

c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.

d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.

e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat. Overcoat these items with the specified ferrous-metal primer prior to application of finish coats.

f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.8 COATING SYSTEMS FOR CEMENTITIOUS SUBSTRATES

Apply coatings of Tables in MPI Division 4 for Exterior and Interior.

3.9 COATING SYSTEMS FOR WOOD

a. Apply coatings of Tables in MPI Division 6 for Exterior and Interior.

b. Prior to erection, apply two coats of specified primer to treat and prime wood surfaces which will be inaccessible after erection.

c. Apply stains in accordance with manufacturer's printed instructions.

3.10 PIPING IDENTIFICATION

Piping Identification, Including Surfaces In Concealed Spaces: Provide in accordance with MIL-STD-101. Place stenciling in clearly visible locations. On piping not covered by MIL-STD-101, stencil approved names or code letters, in letters a minimum of 1/2 inch high for piping and a minimum of 2 inches high elsewhere. Stencil arrow-shaped markings on piping to indicate direction of flow using black stencil paint.

3.11 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.12 WASTE MANAGEMENT

As specified in the Waste Management Plan and as follows. Do not use kerosene or any such organic solvents to clean up water based paints. Properly dispose of paints or solvents in designated containers. Close and seal partially used containers of paint to maintain quality as necessary for reuse. Store in protected, well-ventilated, fire-safe area at moderate temperature. Place materials defined as hazardous or toxic waste in designated containers. Coordinate with manufacturer for take-back program. Set aside scrap to be returned to manufacturer for recycling into new product. When such a service is not available, contact local recyclers to reclaim the materials. Where local options exist for leftover paint recycling, collect all waste paint by type and provide for delivery to recycling or collection facility for reuse by local organizations.

3.13 PAINT TABLES

All DFT's are minimum values.

3.13.1 Exterior Paint Tables

3.13.1.1 MPI Division 5: Exterior Ferrous and Non-Ferrous Paint Table

A. Steel / Ferrous Surfaces

(1) New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1Q-G5 (Semigloss	MPI REX 5.1D-G5 (Semigloss)	MPI 23	MPI 94	MPI 94	5.25 mils
Topcoat: Coating to match adjacent surfaces.					

(2) Existing steel that has been spot-blasted to SSPC SP 6/NACE No.3

(a) Surface previously coated with alkyd or latex

Waterborne Light Industrial Coating				
Existing, previously coated with alkyd or latex	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1C-G5 (Semigloss)	MPI 79	MPI 163	MPI 163	5 mils
Topcoat: Coating to match adjacent surfaces.				

B. Exterior Galvanized Surfaces

(1) New Galvanized surfaces

Waterborne Primer / Latex				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT

EXT 5.3H-G5 (Semigloss)	MPI 134	MPI 11	MPI 11	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

(2) Galvanized surfaces with slight coating deterioration; little or no rusting

Waterborne Light Industrial Coating				
Galvanized Surfaces with slight coating deterioration	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3J-G5 (Semigloss)	MPI 134	N/A	MPI 163	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

C. Exterior Surfaces, Other Metals (Non-Ferrous)

(1) Surfaces adjacent to painted surfaces; Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, exposed copper piping, and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

Alkyd				
New	Primer	Intermediate	Topcoat	System DFT

MPI EXT 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

3.13.2 Interior Paint Tables

3.13.2.1 MPI Division 4: Interior Concrete Masonry Units Paint Table

A. New and uncoated Existing Concrete Masonry

High Performance Architectural Latex					
New, uncoated Existing	Filler	Primer	Intermediate	Topcoat	System DFT
MPI INT 4.2D-G4 (Satin)	MPI 4	N/A	MPI 140	MPI 140	11 mils
Fill all holes in masonry surface					

B. Existing, Previously Painted Concrete Masonry

High Performance Architectural Latex					
Existing, previously painted	Filler	Primer	Intermediate	Topcoat	System DFT
MPI RIN 4.2K-G3 (Eggshell)					

MPI RIN 4.2K-G4	N/A	MPI 140	MPI 140	MPI 140	4.5 mils

C. New Concrete masonry units in toilets, restrooms, shower areas, and other high humidity areas

Epoxy					
New, uncoated Existing	Filler	Primer	Intermediate	Topcoat	System DFT
MPI INT 4.2G-G6 (Gloss)	MPI 116	N/A	MPI 77	MPI 77	10 mils
Fill all holes in masonry surface					

D. Existing, previously painted, concrete masonry units in toilets, restrooms, shower areas, and other high humidity areas

Epoxy					
Existing, previously painted	Filler	Primer	Intermediate	Topcoat	System DFT
MPI RIN 4.2D-G6 (Gloss)	N/A	MPI 77	MPI 77	MPI 77	5 mils

3.13.2.2 MPI Division 5: Interior Ferrous and Non-Ferrous Paint Table

A. Interior Steel / Ferrous Surfaces

(1) Metal, Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, Surfaces adjacent to painted surfaces (Match surrounding finish), and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT

MPI INT 5.1E-G5 (Semigloss)	MPI 76	MPI 47	MPI 47	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

-- End of Section --

SECTION 14 24 13

HYDRAULIC FREIGHT ELEVATORS
05/16

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A17.1/CSA B44	(2019) Safety Code for Elevators and Escalators
ASME A17.2	(2020) Guide for Inspection of Elevators, Escalators, and Moving Walks Includes Inspection Procedures for Electric Traction and Winding Drum Elevators, Hydraulic Elevators, and Escalators and Moving Walks
ASME B16.9	(2018) Factory-Made Wrought Buttwelding Fittings
ASME B16.11	(2022) Forged Fittings, Socket-Welding and Threaded

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2022) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A106/A106M	(2019a) Standard Specification for Seamless Carbon Steel Pipe for High-Temperature Service

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41	(1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits

INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC	(2021) International Building Code

NATIONAL ELEVATOR INDUSTRY, INC. (NEII)

NEII-1	(2000; R thru 2017) Building Transportation Standards and Guidelines, including the Performance Standards Matrix for New Elevator Installation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 72	(2022; ERTA 22-1) National Fire Alarm and Signaling Code
NFPA 101	(2021; TIA 21-1) Life Safety Code

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-560-01	(2017; with Change 2, 2019) Operations and Maintenance: Electrical Safety

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

36 CFR 1191	Americans with Disabilities Act (ADA) Accessibility Guidelines for Buildings and Facilities; Architectural Barriers Act (ABA) Accessibility Guidelines

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Elevator System

Elevator Components

Elevator Machine

Elevator Controller

Wiring Diagrams

SD-03 Product Data

Elevator and Accessories

Elevator Components

Data Sheets

Elevator Microprocessor Controller

SD-05 Design Data

Emergency Power Systems

Heat Loads

Reaction Loads

SD-07 Certificates

Elevator Parts and Components price lists

Warranty

Endorsement Letter

Welders' Qualifications

Elevator Controller Certification

SD-10 Operation and Maintenance Data

Elevator, Data Package 4

Maintenance Control Program (MCP)

Software and Documentation

Submit in accordance with Sections 01 78 23 OPERATION AND MAINTENANCE DATA.

1.2.1 Shop Drawing Requirements

Provide assembly and arrangement of elevators, accessories, and elevator components. Show location of elevator machine in elevator machine room (MR) or in elevator machinery space (MS). Show location of elevator controller in elevator machine room or elevator control room (CR). Provide details for materials and equipment, including but not limited to operating and signal fixtures, doors, door and car frames, car enclosure, controllers, motors, guide rails and brackets, layout of hoistway in plan and elevation, and other layout information and clearance dimensions.

1.2.2 Product Data Requirements

Provide manufacturers' product data for all elevator components, including but not limited to the following: elevator controller, hydraulic pump unit, hydraulic pump and motor, hydraulic cylinder, hydraulic piping and fittings, car and hall fixture buttons and switches, cab and machine room or control room communication devices, door operator, door protection system, car roller guides, and buffers. For data sheets, provide document identification number or bulletin number, published or copyrighted prior to the date of contract bid opening. Provide controller manufacturer's published procedures for performance of each and all testing required by ASME A17.1/CSA B44.

1.2.3 Design Data

1.2.3.1 Reaction Loads

Provide calculations by registered professional engineer for reaction loads imposed on building by elevator system. Demonstrate calculations complying with ASME A17.1/CSA B44

1.2.3.2 Heat Loads

Provide calculations from elevator manufacturer, or by registered professional engineer, for total anticipated heat loads generated by all of the elevator equipment.

1.2.3.3 Emergency Power Systems

Where the facility does have an emergency power system, confirm the elevators that will be connected to the emergency power system. Confirm the complete emergency power system and sequence of operation for all elevators, including operation of the elevator lobby manual selection switch. Provide wiring diagrams for building emergency power interface with elevator controls. For elevators not supplied by an emergency power system, provide manufacturers' product data for auxiliary power systems.

1.2.4 Welders' Requirements

Comply with AWS D1.1/D1.1M, Section 5. Include certified copies of field welders' qualifications. List welders' names with corresponding code marks to identify each welder's welding work.

1.2.5 Maintenance Control Program (MCP)

For each elevator, prepare and provide a written Maintenance Control Program (MCP) that complies with ASME A17.1/CSA B44 Section 8.6, including written documentation that details the test procedures for each and every test that is required to be performed by ASME A17.1/CSA B44. Assemble all MCP documentation, and supporting technical attachments, in a single MCP package and provide in both electronic and hard copy. Assemble entire hard copy MCP in 3-ring binders. For each elevator provided, the MCP must include only documentation and instruction that apply to the elevator specified.

For each elevator, provide an additional, separate binder that includes all maintenance, repair, replacement, call back, and other records required by ASME A17.1/CSA B44. The records binder must be kept in the elevator mechanical room, maintained by elevator maintenance and service personnel, and be available at all times to authorized personnel.

Provide detailed information regarding emergency service procedures and elevator installation company personnel contact information. Provide a listing of all tools to be provided to the Contracting Officer as components of the elevator system.

1.3 QUALITY ASSURANCE

1.3.1 Qualification

Provide a designed and engineered elevator system by an elevator contractor regularly engaged in the installation of elevator systems.

Provide elevator components manufactured by companies regularly engaged in the manufacture of elevator components. Utilize only licensed and certified elevator personnel for the installation, adjusting, testing, and servicing of the elevators.

1.3.1.1 Elevator Contractor's Elevator Technicians

For elevator installations in the United States, including United States territories, perform all elevator related work under the direct guidance of a state certified elevator technician with a minimum of three years of experience in the installation of elevator systems of the type and complexity specified in the contract documents. Provide an endorsement letter from the elevator manufacturer, certifying that the elevator specialist is qualified. All elevator technicians must carry a current certification issued by one of the following organizations:

a. National Association of Elevator Contractors (NAEC)

b. National Elevator Industry Education Program (NEIEP)

1.3.2 Manufacturers' Technical Support

Provide elevator components from manufacturers that provide factory training and online and live telephone elevator technical support to any elevator installation, service, and maintenance contractor. Provide elevator components from manufacturers that guarantee accessibility to all replacement and repair parts and components to any elevator installation, service, and maintenance contractor. Use only elevator component manufacturers that provide current published price lists for all elevator parts and components.

1.3.3 Operation and Maintenance Data

Assemble all shop drawing and product data material into O&M Data Packages in accordance with Article SUBMITTALS. Provide two complete O&M Data Packages in hard copy and two complete electronic O&M data packages on separate CDs, in PDF format. Provide all O&M Data Packages to Contracting Officer. Include controller diagnostic documentation and software as required under Article CONTROL EQUIPMENT.

1.3.4 Wiring Diagrams

Provide complete wiring diagrams and sequence of operations, which show electrical connections and functions of elevator systems. Provide one set (11 inch by 17 inch minimum size) of wiring diagrams, with individual sheets laminated in plastic and assembled in binder, to be stored in the machine room or control room cabinet. Provide one additional hard copy set and two complete electronic sets on separate CDs, in PDF format. Provide all wiring diagram sets to the Contracting Officer. Coded diagrams are not acceptable unless fully identified.

1.3.5 Machine Room/Control Room Cabinet

For storage of O&M Data Packages and Wiring Diagrams, provide locking metal cabinet with a minimum size of 20 inch W by 12 inch D by 30 inch H. Cabinet must be sized large enough to accommodate all O&M Data and hardware required in paragraphs OPERATION AND MAINTENANCE DATA and WIRING DIAGRAMS. Secure cabinet to machine room or control room wall.

1.4 NEW INSTALLATION SERVICE

Provide elevator warranty service in accordance with the manufacturer's maintenance plan, warranty requirements and applicable safety codes, for a period of 12 months after the date of acceptance by Contracting Officer. Perform this work during regular working hours. Provide supplies and parts to keep elevator system in operation. Perform service only by factory trained personnel. Provide Monthly services to include repairs, adjustments, greasing, oiling, and cleaning. Provide service log in elevator machine room or control room and update Monthly, throughout the one-year warranty period.

Provide 24-hour emergency service, with two hour on-site response time, during this period without additional cost to the Government.

1.4.1 Periodic Elevator Certification Inspection and Testing

Provide elevator mechanic to support NAVFAC QEI Certified Elevator Inspector in the periodic six-month and the annual Category 1 elevator certification inspection and testing. Perform Category 1 inspection and testing no greater than 30 days prior to the end of the warranty period. Perform all elevator certification testing in the presence of QEI Certified Elevator Inspector.

In conjunction with the testing noted above, test systems for Emergency Power Operation, Earthquake Emergency Operation, and Hospital Emergency Commandeering Service Operation, as applicable. Schedule so that testing does not interfere with building operations.

1.5 FIRE PROTECTION SYSTEM

Coordinate interface between building fire protection system and elevator controls.

Additional fire protection requirements are located in: Section 28 31 70 INTERIOR FIRE ALARM SYSTEM, ADDRESSABLE, Section 21 13 13 WET PIPE SPRINKLER SYSTEMS, FIRE PROTECTION, and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

1.5.1 Fire Alarm Initiating Devices

Fire alarm initiating devices are specified in Section 28 31 70 INTERIOR FIRE ALARM SYSTEM, ADDRESSABLE, including conduit and wiring from each detector to fire protection addressable modules in elevator machine room or control room.

1.5.2 Fire Sprinklers

Provide fire sprinklers in accordance with all applicable safety codes and with Section 21 13 13 WET PIPE SPRINKLER SYSTEMS, FIRE PROTECTION. Provide shutoff valve, check valve, and non-adjustable, zero time-delay flow switch, in each sprinkler line immediately outside of each machine room, control room, and hoistway, as applicable. Provide inspectors' test valve for periodic testing of flow switch and shunt trip disconnect.

Pipe sprinkler piping serving these spaces in a series manner with no laterals. Locate inspectors' test connection at the end of pipe runs such that operation of the test connection will purge air from system piping.

1.5.3 Shunt Trip Disconnect

Provide flow switches specified in paragraph FIRE SPRINKLERS to comply with ASME A17.1/CSA B44 and NFPA 72 for shunt trip of the main line power supply. For each elevator, provide control wiring connecting the flow switch to a shunt trip equipped circuit breaker located in the elevator machine room or control room. Upon flow of water, flow switch will instantaneously cause opening of the shunt-trip circuit breaker and remove power from the elevator. Flow switch must also send a signal to fire alarm control panel to indicate water flow condition.

PART 2 PRODUCTS

2.1 ELEVATOR DESCRIPTION

Provide elevator system that complies with ASME A17.1/CSA B44 in its entirety, ASME A17.2 in its entirety, and additional requirements specified herein. Provide elevator system that meets or exceeds the NEII-1 Ride Quality Performance Standards Matrix (RQPSM). Comply with the RQPSM "Intermediate Performance" criteria.

Provide and install elevators in accordance with 36 CFR 1191 - ABAAS, ICC IBC, IEEE C62.41, NFPA 70 and NFPA 101 requirements.

2.1.1 Elevator Design Parameters

a. Type: In-Ground Direct Plunger

b. Rated load: 6,000 lb.

c. Rated Speed: 150 fpm

d. Car Inside Dimensions: Reference the drawings.

e. Hoistway Door Type & Size: Power operated Vertical Bi-Parting

f. Car Gate Type: Power operated Vertical Rising

g. Loading Type: Class A

2.1.2 Cab Enclosure and Hoistway Entrance Assemblies

Provide finishes as listed below:

a. Floor; aluminum diamond plate.

b. Walls; stainless steel.

Wall trim; stainless steel.

Accessories; handrail

Accessories; Provide in-car horizontal buck-board wall protection on full length of side walls of elevator cab.

c. Car doors, car door returns, and wall reveals; stainless steel.

d. Ceiling; stainless steel.

e. Hoistway Entrance Assembly Material and Finishes; stainless steel.

2.2 ELEVATOR OPERATION

ASME A17.1/CSA B44, Introduction, Section 3, Definitions.

2.2.1 Single, Two-Stop, Automatic Operation

Provide Single Two-Stop Automatic Operation.

2.3 SPECIAL OPERATION AND CONTROL

Provide the following special operations and control systems.

2.3.1 Keys for Elevator Key Switches

Provide a minimum of twelve keys per unique cylinder used on all key switches for a single elevator. If there is more than one elevator, additional keys will not be required unless there are additional unique lock cylinders. Provide keys with brass or fiberglass tags marked "PROPERTY OF THE U.S. GOVERNMENT" on one side with function of key or approved code number on the other side.

2.3.2 Firefighters' Emergency Operation (FEO)

Provide FEO equipment and signaling devices. The designated level for the FEO Phase I key operated switch is the ground floor. In the FEO Phase I fixture, provide FEO Operating Instructions.

2.3.2.1 Firefighters' Emergency Operation (FEO) Key Box

Provide flush mounted, locking, FEO Key Box of a minimum size of 5 inch W by 9 inch H by 1.5 inch D. Install at a height of 6 feet above floor level and directly above the FEO Phase I key switch. Provide box equipped with lock that uses the FEO K1 key.

2.3.3 Hoistway Access Operation

Provide hoistway access operation with switches at top and bottom terminal landings. Locate switch 6 feet above floor level, within 12 inches of elevator hoistway entrance frame or with the ferrule exposed when located in the elevator entrance frame.

2.3.4 In-Car Inspection Operation

Provide In-Car Inspection Operation.

2.3.5 Independent Service

Provide exposed key-operated switch in car operating panel to enable independent service and simultaneously disable in-car signals and landing-call responses. Provide indicator lights that automatically illuminate during independent service. For duplex or group operation, if one car is removed from group another car will respond to its hall calls.

2.3.6 Elevator Auxiliary Power Operating System

Provide elevator emergency auxiliary power operating system for elevators.

2.4 ELEVATOR DRIVE SYSTEM

Provide hydraulic elevator drive system, including pump unit, piping, cylinder/plunger assembly, and associated equipment, which will operate at a maximum working pressure of 500 psi or less. Provide complete elevator system that meets or exceeds the NEII-1 Ride Quality Standard, including elevator ride quality and noise levels in car and in elevator machine room and machinery space.

2.4.1 Hydraulic Pump Unit

Provide self-contained pump unit, including oil-hydraulic elevator pump, electric motor, suction-line oil strainer, and structural steel outer base with tank supports and isolation pads. Provide oil tank capacity for full plunger displacement plus at least 10 gallons. Provide means to maintain oil temperature between 100 and 130 degrees F regardless of ambient temperature. Limit acoustic output in elevator machine room and machinery space to 80 dbA.

2.4.1.1 Pump Motor

Provide intermittent-duty pump motor rated at 120 starts/hour. Provide motor that is sized so that the motor amperage does not exceed the motor data tag amperage in any operating condition, exclusive of acceleration and deceleration. Provide minimum of one mega ohm insulation resistance between conductors and motor frame. Provide motor and pump nameplate and data tags permanently mounted on the outside of the pump unit frame, with all data viewable without the use of mirrors or other tools.

2.4.2 Hydraulic Controls and Equipment

Provide control valve, overspeed safety valve, blowout-proof muffler, and hydraulic pump discharge strainer in the hydraulic oil supply line. Provide two 1/4 turn, ball valve type manual shutoff valves. Provide one in the elevator hoistway pit and one in the elevator machine room or machinery space.

2.4.2.1 Hydraulic Control Valve

Provide constant-velocity, down-speed regulated, control valve. Down-speed regulated control valve allows the car to travel at the same speed in the down direction, regardless of the load on the elevator. In addition, the hydraulic control valve must have built-in adjustment capability to operate the elevator at 140 percent of rated speed to facilitate periodic testing of the overspeed safety valve.

2.4.2.2 Hydraulic Overspeed Safety Valve

Provide overspeed safety valve in hydraulic oil supply line, directly adjacent to the hydraulic cylinder. Provide threaded pipe connections between the hydraulic cylinder and the overspeed valve. Provide valve equipped with manufacturer's manual shutoff feature. Overspeed valve must not be equipped with a manual or automatic lowering feature. Provide adjustable valve with means to seal adjustment after inspection and testing by certified elevator inspector.

2.4.3 Hydraulic Piping and Accessories

Provide ASTM A53/A53M or ASTM A106/A106M, Schedule 80, black steel piping

with ASME B16.9 or ASME B16.11 fittings for supply piping. Extend schedule 80 piping from the pump control valve body, inside the pump unit, to the hydraulic cylinder in the hoistway. Provide welded or threaded forged pipe fittings for all fittings and components of the hydraulic oil supply line. For in-ground direct plunger cylinders, provide dielectric union or isolation couplings at each end of the hydraulic oil supply line. Provide hangers or supports for all piping and components.

2.4.3.1 Containment of Hydraulic Oil Supply Line

Protect all portions of hydraulic oil supply line that are installed below ground, including portions encapsulated in concrete or covered by construction, with continuous, Schedule 80, PVC. Inside diameter of PVC must be 3 inches larger than the outside diameter of the hydraulic oil supply line pipe and couplings.

2.4.4 Hydraulic Elevator Type

Provide a in-ground direct plunger type hydraulic elevator. Elevator with telescopic or inverted cylinder-plungers are not acceptable and may not be used. Rope hydraulic elevator design is not acceptable and may not be used.

2.4.4.1 Cylinder-Plunger (Jack) Unit

Provide a single-stage plunger of seamless steel construction. Provide cylinder with self-stabilizing mount that will support and hold cylinder plumb without the need for stabilization means at the bottom of the cylinder. Provide a threaded, 1/4 inch bleeder valve at the top of the cylinder, just below packing gland.

2.4.5 Cylinder Well System

For direct plunger, in-ground type hydraulic elevator, provide a dry, sealed cylinder well system.

2.4.5.1 Well Casing

Locate and drill well for the cylinder well system. Line well with steel casing, minimum 1/4 inch wall with welded 1/2 inch steel bottom. Set casing plumb.

2.4.5.2 PVC or HDPE Liner

Provide Schedule 80 PVC or HDPE liner with bottom cap and couplings; joints sealed watertight using pipe manufacturer's recommended adhesive or heat welding methods. Provide liner inside diameter not less than 3 inches larger than elevator cylinder maximum outside diameter. Liner may be provided as a cylinder manufacture's applied liner or as a separate component. For separate liner, set liner plumb in well casing, located for cylinder installation. Provide dry, salt-free sand below and around liner to top of well casing.

2.4.5.3 Cylinder Installation

Remove all moisture from inside of liner. Install cylinder plumb, inside liner. Provide a 1/4 inch copper evacuation tube inside the liner. The bottom of the evacuation tube must be within 6 inch of the bottom of the liner. Top of evacuation tube must extend at least 6 inch above pit

floor. Provide top of test tube with removable cap to exclude foreign matter. Provide air inlet pressure fitting in top of liner and accessible in pit, for performance of air pressure test. Secure Liner/Cylinder Assembly as recommended by cylinder manufacturer.

2.4.5.4 Cylinder Liner Moisture Sensor System

Provide moisture and oil sensors inside the cylinder liner for detection of oil and water at the bottom of the cylinder liner. Provide sensor monitoring system that will actuate audible and visual alarms and identify the presence of water and identify the presence of oil inside the liner.

2.4.5.5 Seal Top of Well Casing

Upon successful test and certification of Liner/Cylinder assembly, seal gap between steel well casing and liner with foam insert strong enough to retain and support final grouting. Provide 3000 psi grout to a minimum of 4 inch thickness and level top of final grouting with pit floor.

2.5 CONTROL EQUIPMENT

Enclose all elevator control equipment in factory-primed and baked-enamel coated sheet-metal cabinets with ventilation louvers and removable or hinged doors. Mount cabinets at a height of 10 inches above machine room or control room finish floor.

2.5.1 Motor Control Equipment

Provide elevator motor control with electronic, soft-start motor starter.

2.5.2 Elevator Microprocessor Controller

For each individual elevator controller, and for each group controller, provide a microprocessor controller that complies with the following paragraphs. Provide controller(s) package that includes all hardware and software required for the installation, maintenance, and service of the elevator, in its' entirety. Provide verification of technical support service that the controller manufacturer provides to any licensed elevator installation, service, and maintenance company.

Provide an elevator controller from a manufacturer that provides comprehensive factory training to include controller installation, adjustment, service, and maintenance. The training must be identified as available to any licensed elevator contractor. Provide verification of an established and documented training schedule, with pricing, for factory training classes that manufacturer has provided for a minimum period of one year prior to contract award date.

The elevator controller must be identified as available for purchase and installation by any licensed elevator contractor. All components, parts, diagnostic tools, and software must be available for purchase and installation and use by any licensed elevator contractor; "exchange-only" provisions for the purchase of spare parts are not acceptable. The elevator controller manufacturer must publish an industry competitive price listing for all controller parts, diagnostic tools, and software.

Provide verification of telephone and internet based technical support service that the elevator controller manufacturer provides to any licensed elevator installation, service, and maintenance company at an industry

competitive price. The service must include live telephone based technical support for installation, adjustment, maintenance, and troubleshooting of the elevator controller and related elevator components. The service must be available during standard working hours.

Provide an elevator controller that is designed to automatically reestablish normal elevator operation following any temporary loss of power, regardless of duration.

2.5.2.1 Elevator Controller Interface Cabinet

For each individual elevator microprocessor controller, provide a separate elevator control cabinet with an integrated human interface system. For group elevator installations, a single cabinet and interface system with full access to each elevator controller may be utilized. The separate controller interface cabinet must be supplied by the elevator controller manufacturer and include a minimum 12 inch wide keyboard and a minimum 10 inch monitor. The elevator controller interface cabinet must comply with arc-flash protection requirements of NFPA 70E and UFC 3-560-01.

2.5.2.1.1 Elevator Microprocessor Human Interface

The interface system must provide complete elevator controller interface capability and must include the elevator controller manufacturer's comprehensive package of installation and diagnostic software. The microprocessor interface system must provide unrestricted access to all parameters, all levels of adjustment, and all flags necessary for installation, adjustment, maintenance, and troubleshooting of each elevator and for the elevator group. All software programming must be stored in non-volatile memory. The elevator controller fault log must provide non-volatile memory fault log storage of all faults, trouble calls, and fault history for a minimum of one year and the ability to download or print the fault log. The controller interface must also provide the capability to display and diagnose trouble calls, faults, and shutdowns. Expiring software, degrading operation, and "key" access controls are not acceptable.

2.5.2.2 Software and Documentation

Provide three copies of the manufacturer's maintenance and service diagnostic software, with complete software documentation, that will enable the same level of unrestricted access to all controllers of the same make and model, regardless of the installation date or location. Provide signed certification, from the manufacturer's corporate headquarters, that guarantees that the microprocessor software and access system will not terminate the unlimited and unrestricted access at any future date.

2.5.2.3 Elevator Controller Certification

For elevator installations in the United States, including United States territories, provide an elevator microprocessor controller that has a current certificate of safety code compliance issued by the Technical Standards and Safety Authority (TSSA), Toronto, Canada.

2.6 OPERATING PANELS, SIGNAL FIXTURES, AND COMMUNICATIONS CABINETS

For all panels and fixtures, provide identical and uniform panel and fixture design, material, finish, and components for all elevators. For

all panels and fixtures, legibly and indelibly identify all buttons, devices, and all operating positions for each device. Use engraving and backfilling, or photo etching, for button and device designations. Do not use attached signs. Provide elevator manufacturers' standard grade for all key switches unless otherwise specified. All illuminating panels and fixture components must utilize LED lighting for energy efficiency.

2.6.1 Car and Hall Buttons

For all cab and landing fixture buttons, provide industry-standard, vandal resistant push buttons with positive-stop assembly design. Buttons must be minimum 3/4 inch diameter, satin-finish stainless steel, with illuminating LED halo.

2.6.1.1 Hall Station Door-Operating Buttons

Provide hall station door-operating buttons, identical in size and design to hall call buttons, but not illuminating.

2.6.2 Freight Car-Operating Panel

Provide each car with two car operating panels that contain operation controls and communication devices. Provide exposed, flush mounted buttons for the controls identified in subparagraph OPERATOR CONTROLS. Provide a lockable service cabinet for the controls listed in subparagraph SERVICE CONTROLS. Use engraving and backfilling or photo etching for button and switch designations. Do not use attached signs.

Furnish equipment in accordance with ASME A17.1/CSA B44, Section 2.27.1.1.4 (d) and (k). Note that the Navy does not have the infrastructure to support these items; nevertheless, the following equipment is required:

: On the same panel as the phone push button, messages must be displayed that permit authorized personnel to communicate with and obtain responses from trapped passengers, including passengers who cannot verbally communicate or hear.

: Provide a means to display video to observe passengers at any location on the car floor to authorized personnel for entrapment assessment.

2.6.2.1 Operator Controls

In addition to ASME A17.1/CSA B44 requirements, provide the following operating controls, identified as indicated:

a. Illuminating car-call buttons identified to correspond to landings served by the elevator.

b. "DOOR OPEN" and "DOOR CLOSE" buttons. For front and rear openings at the same floor, include the identification "F" and "R" for each opening.

c. Red, illuminating "ALARM" button.

d. Key-operated "Independent Service" switch.

e. "Help" communication device to include communication between elevator

cab and elevator machine room or control room.

f. Provide separate CCTV in elevator cars.

g. Provide CCTV monitors in equipment room.

2.6.2.2 Service Controls

In addition to ASME A17.1/CSA B44 requirements, provide the following operating controls, identified as indicated:

a. Provide a key-operated, three-position switch for "In car Inspection Operation" and "Hoistway Access". The center switch position will provide normal, automatic operation.

b. "Car Light" switch.

c. "Car Fan" switch with two speed settings identified.

d. 120-volt ac 60 Hz single-phase duplex electrical outlet of ground-fault-circuit-interrupt (GFCI) design.

2.6.2.3 Certificate Window

Provide a minimum 4 inch wide by 6 inch high certificate window for elevator inspection certificate. Locate window in the Service Controls door of the Car Operating Panel.

2.6.2.4 Emergency Signaling Devices

Provide an audible signaling device, operable from the Car Operating Panel button marked "ALARM". The audible signaling device must have a sound pressure rating between 80 and 90 dBA at 10 ft. Provide battery backup power capable of operating the audible signaling device for at least 1 hour.

2.6.3 Elevator In-Car Position Indicators

For all elevators, provide illuminating position indicator in the Car Operating Panel.

2.6.4 Elevator In-Car Direction Indicators

For 2-stop elevator installations, provide visual direction indicators and audible car arrival signal in the elevator car door jamb, in accordance with ABA Standards. Visual indicators must be visible from the hall call fixture.

2.6.5 Hall Call Landing Fixtures

Provide a hall call fixture adjacent to each elevator. Provide a single push-button for terminal landings and dual push-buttons, up and down, at intermediate landings.

2.6.5.1 Designated Landing Hall Call Fixture

2.6.5.1.1 Location of COMMUNICATION MEANS FAILURE (CMF) Visual Signal

When required by ASME A17.1/CSA B44, provide an elevator CMF audible and

illuminating signal, and reset switch, in the FEO Designated Landing hall call fixture. Mount the signal and reset switch at a minimum of 7 inches above the "UP" hall call button.

2.6.5.1.2 COMMUNICATION MEANS FAILURE (CMF) Visual and Audible Signal Operation

Provide a CMF visual and audible signal system that conforms to ASME A17.1/CSA B44. Provide continuous verification of operability of the telephone line and immediate activation of audible and visual signals when verification means determines that the telephone line is not functioning. Provide illumination of visual signal at one second intervals. Provide a minimum of 65 dBA audible signal at 30 second intervals.

2.6.5.1.3 Firefighters' Emergency Operation Phase I Switch and Visual Signal

When required by ASME A17.1/CSA B44, provide an elevator Firefighters' Emergency Operation Phase I switch and illuminating visual signal in the FEO Designated Landing hall call fixture. Provide FEO Phase I visual signal that is designed with intermittent, flashing, illumination when actuated by the machine room, control room or hoistway fire alarm initiating device. Locate FEO Phase I key switch above the CMF visual signal with a minimum of 6 inches vertical between the centerlines of the CMF signal and the FEO Phase I key switch. Locate FEO Phase I visual signal directly above the Phase I switch. In addition, locate Elevator Corridor Call Station Pictograph at top of hall call fixture.

2.7 CAR DOOR EQUIPMENT

2.7.1 Car Door Operator

Provide elevator door operator equipment and circuitry that is designed and installed as discreet communication. Serial communication must not be used for this system.

2.7.2 Infra-red Curtain Unit

Provide Infra-red Curtain Unit (ICU) with multiple infra-red beams that protect to the full height and width of the door opening. Provide door nudging operation.

2.8 FREIGHT ELEVATOR GUIDES, PLATFORM, AND ENCLOSURE

2.8.1 Guides

Provide coil-spring loaded roller guide assemblies in adjustable mountings on each side of car and counterweight frames in accurate alignment at top and bottom of frames. For freight elevators with a rated load greater than 10,000 lbs., slide guides may be used in lieu of roller guides.

2.8.2 Car Shell Return Panels, Doors, Entrance Columns, and Transom

Provide 16 Gauge minimum stainless steel cab wall panels and entrance components. Use same material and finish for all hoistway and car entrance assemblies. Apply sound-deadening material on exterior of all cab wall panels.

2.8.3 Car Enclosure Top

Provide reinforced, 12 gauge minimum steel car enclosure top. Provide hinged emergency exit with lock that complies with the seismic risk zone 2 or greater design requirements of ASME A17.1/CSA B44. Locate emergency exit hinge towards the rear of the elevator cab. Design and configure the elevator cab interior ceiling to provide convenient and unobstructed access to, and use of, emergency exit from inside the elevator cab.

2.8.4 Car Door

Provide two section vertical rising gate with power door operator.

2.8.5 Car Entrance Sill

Provide one piece steel car entrance sill(s). Set sills level and flush with cab finish floor.

2.8.6 In-Car Horizontal Buck-Board Wall Protection

Provide minimum 2 1/2 inch thick by 12 inch high #2 Oak protection boards on all side walls of the elevator cab. Position boards at a height to prevent damage from fork lift traffic.

2.8.7 Cab Finish Floor

Provide cab finish floor with top of finish floor flush with the cab sill.

2.8.8 Car Fan

Provide 2-speed fan for car enclosure forced ventilation. Fan must be mounted in the car enclosure top.

2.8.9 Car Lighting

Utilize LED lighting for elevator car interior illumination. Provide a minimum of 10 foot-candles, measured at all areas of the car enclosure floor. Provide automatic car lighting operation that will turn off car lights after 3 minutes of inactivity. Car lights must automatically turn on upon actuation of an elevator car or hall call.

2.9 FREIGHT ELEVATOR HOISTWAY DOORS AND ENTRANCES

Provide hoistway entrance assemblies with a minimum 1-1/2 hour fire rating. Use same material and finish for all hoistway and car entrance assemblies.

2.9.1 Hoistway Entrance Frames

Provide 14 gage minimum prefinished carbon sheet steel hoistway entrance frames. Provide door panels with truckable sill. Provide minimum 4 inch by 9 inch height vision panel in upper door panel.

2.9.2 Hoistway Entrance Sills

Provide one-piece 5/16 inch thick, 6 inch by 6 inch steel angle iron sill with top of sill flush with hoistway landing finish floor. Steel angle-iron sill must be set into and fully supported by the concrete floor or the steel building structure. Use same material for all hoistway and

car entrance sills.

2.10 HOISTWAY EQUIPMENT

2.10.1 Car Guide Rails and Fastenings

Provide T-section type guide rails for car. Paint rail shanks with one coat of black enamel.

2.10.2 Pit Equipment

Provide rail-to-rail pit channels to serve as mounting surface for main guide rails , hydraulic cylinder and car buffers. Method of installation of channels, brackets and buffer mounts must be such that pit waterproofing is not punctured.

2.10.3 Pit "STOP" Switch

Provide push-to-stop/pull-to-run type pit "STOP" switch.

2.10.4 Traveling Cables

Suspend traveling cables by means of self-tightening webbed devices or internal suspension members.

2.10.5 Hoistway Pit Ladder

Provide continuous horizontal rungs for the full height of the pit ladder.

PART 3 EXECUTION

3.1 INSTALLATION

Install in accordance with DOD design criteria, contract specifications, manufacturer's instructions, NEII-1 Building Transportation Standards and Guidelines, and all applicable building and safety code requirements.

3.1.1 Structural Members and Finish Materials

Do not cut or alter structural members. Do not alter finish materials from manufacturer's original design. Restore any damaged or defaced work to original condition.

3.1.2 Miscellaneous Requirements

Provide recesses, cutouts, slots, holes, patching, grouting, and refinishing to accommodate elevator installation. Use core drilling to drill all new holes in concrete. Finish work to be straight, level, and plumb. During installation, protect machinery and equipment from dirt, water, or mechanical damage. At completion, clean all work and spot paint.

3.2 FIELD QUALITY CONTROL

The Contractor will provide and utilize a third-party licensed and certified Qualified Elevator Inspector (QEI) to conduct elevator pre-acceptance inspection and testing. The QEI must perform inspections and witness tests to ensure that the installation conforms to all applicable safety codes and contract requirements. The QEI will be directly employed by the Contractor and independent of the elevator

contractor.

Upon completion, the QEI must provide written test data for all ASME A17.1/CSA B44 Acceptance Tests and written certification that the elevator is complete and ready for final Acceptance Inspection, Testing, and Commissioning.

3.3 ACCEPTANCE INSPECTION, TESTING AND COMMISSIONING

When elevator system installation is complete and ready for final inspection, notify Contracting Officer that elevator system is ready for Acceptance Inspection, Testing, and Commissioning. Provide QEI certification specified in Article FIELD QUALITY CONTROL.

Contracting Officer will obtain services of Naval Facilities Engineering Systems Command (NAVFAC) QEI Certified Elevator Inspector. NAVFAC QEI will utilize the applicable NAVFAC Elevator Acceptance Inspection Form to record the results of inspection and testing and to identify safety code and contract deficiencies. Specific values must be provided for all tests required by ASME A17.1/CSA B44, ASME A17.2, and contract documents. Upon completion of inspection and testing, the NAVFAC QEI will sign a copy of the completed forms and provide the signed copy to the Contracting Officer or representative. Within two weeks of the inspection, the QEI will also prepare a formal inspection report, including all test results and deficiencies. Upon successful completion of inspection and testing, NAVFAC Certified Elevator Inspector will complete, sign and post form NAVFACENGCOM 9-11014/23(Rev.9-2009), Elevator Inspection Certificate.

3.3.1 Acceptance Inspection Support

Prime and Elevator Contractors must provide inspection support and perform all required tests, in order to demonstrate proper operation of each elevator system and to prove that each system complies with contract requirements and all applicable building and safety codes. Inspection procedures in ASME A17.2 form a part of this inspection and acceptance testing. All inspection and testing must be conducted in the presence of the Qualified Elevator Inspector (QEI).

If the elevator does not comply with all contract and safety code requirements on the initial Acceptance Inspection and Test, the Contractor is responsible for all costs involved with re-inspection and re-testing required as a result of contractor delays and discrepancies discovered during inspection and testing.

3.3.2 Testing Materials and Instruments

Furnish all testing materials and instruments necessary for Acceptance Inspection, Testing and Commissioning. At a minimum, include calibrated test weights, tachometer, accelerometer, hydraulic pressure gauge, 600-volt mega ohm meter, volt meter and ammeter, infrared temperature gauge, door pressure gage, dynamometer, and 20 foot tape measure.

3.3.3 Field Tests

3.3.3.1 Endurance Tests

Test each elevator for a period of one hour continuous, automatic operation, with specified rated load in the elevator cab. During the one hour test, stop car at each floor, in both directions of travel, and allow

automatic door open and close operation. The requirements for Automatic Operation, Rated Speed, Leveling, Temperature Rise and Motor Amperes must be met throughout the duration of the Endurance Test. Restart the one hour test period from the beginning, following any shutdown or failure.

3.3.3.2 Speed Tests

Determine actual speed of each elevator, in both directions of travel, with rated load and with no load in elevator car. Make Speed tests at the beginning and at the end of the Endurance test. Determine speed by tachometer reading or accelerometer, excluding accelerating and slow-down zones. Under all conditions, minimum acceptable elevator speed is the Rated speed specified. Maximum acceptable elevator speed is 110 percent of Rated speed.

3.3.3.3 Leveling Tests

Test elevator car leveling operation and provide a leveling accuracy equal to or less than 1/8 inch at each floor with no load in car, and with rated load in car, in both directions of travel. Determine leveling accuracy at the beginning and at the end of the endurance tests.

3.3.3.4 Temperature Rise Tests

Determine temperature rise of elevator pump motor and hydraulic fluid during one-hour full-load test run. Under these conditions, maximum temperature rise must not exceed acceptable temperature rise indicated on manufacturer's data plate. Start test only when equipment is within 5 degrees C of ambient temperature.

3.3.3.5 Motor Ampere Tests

At beginning and end of Endurance test, measure and record motor amperage in both directions of travel and in both no-load and rated load conditions.

3.3.3.6 Elevator Performance and Ride Quality Testing

Evaluate elevator performance to ensure compliance with specification requirements related to the NEII-1 Performance Standards Matrix for New Elevator Installations.

3.3.3.7 Hydraulic Safety Valve (Automatic Shutoff Valve) Tests

In order to ensure consistent performance, regardless of hydraulic oil temperature, test the Hydraulic Safety Valve twice. Test once before the one-hour endurance test and once immediately after the one-hour test. For elevator certification, safety valve must perform to code in both tests.

3.3.3.8 Hydraulic Pressure Tests

Check the hydraulic static pressure and rated-speed operating pressure at the hydraulic control valve, under both no load and rated load conditions.

3.3.3.9 Pressure Test of Liner/Cylinder Assembly

Perform 20 psig pressure test of the completed and installed liner/cylinder assembly. Test liner/cylinder assembly as a sealed unit. Provide safety relief valve set to relieve at 20 psig; 4.5 inch diameter dial pressure gage scaled for 0 to 50 psig and calibrated to 0.5 percent

accuracy; and an air pressure admission throttle and shutoff valve. For safety, pressure test must only be performed when liner and cylinder are fully inserted and assembled in the well casing. Perform the test from remote location outside of the elevator pit. Perform test in the presence of, and witnessed by, a Certified Elevator Inspector.

-- End of Section --

SECTION 21 13 13

WET PIPE SPRINKLER SYSTEMS, FIRE PROTECTION
08/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.1	(2020) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.3	(2021) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.4	(2021) Gray Iron Threaded Fittings; Classes 125 and 250
ASME B16.21	(2021) Nonmetallic Flat Gaskets for Pipe Flanges

ASTM INTERNATIONAL (ASTM)

ASTM A47/A47M	(1999; R 2022; E 2022) Standard Specification for Ferritic Malleable Iron Castings
ASTM A53/A53M	(2022) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A135/A135M	(2021) Standard Specification for Electric-Resistance-Welded Steel Pipe
ASTM A153/A153M	(2023) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A183	(2014; R 2020) Standard Specification for Carbon Steel Track Bolts and Nuts
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-71	(2018) Gray Iron Swing Check Valves, Flanged and Threaded Ends

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 13	(2023; TIA 23-1) Standard for the Installation of Sprinkler Systems
NFPA 24	(2022) Standard for the Installation of Private Fire Service Mains and Their Appurtenances

NATIONAL INSTITUTE FOR CERTIFICATION IN ENGINEERING TECHNOLOGIES (NICET)

NICET 1014-7	(2012) Program Detail Manual for Certification in the Field of Fire Protection Engineering Technology (Field Code 003) Subfield of Automatic Sprinkler System Layout

UNDERWRITERS LABORATORIES (UL)

UL 199	(2020) UL Standard for Safety Automatic Sprinklers for Fire-Protection Service
UL 312	(2022) UL Standard for Safety Check Valves for Fire-Protection Service
UL Fire Prot Dir	(2012) Fire Protection Equipment Directory

1.2 SYSTEM DESCRIPTION

Provide wet pipe sprinkler system(s) in areas indicated on the drawings. Except as modified herein, the system must meet the requirements of NFPA 13.

1.2.1 Sprinkler Coverage

Sprinklers must be uniformly spaced on branch lines. Provide coverage throughout 100 percent of the area noted on the Contract drawings. This includes, but is not limited to, telephone rooms, electrical equipment rooms (regardless of the fire resistance rating of the enclosure), boiler rooms, switchgear rooms, transformer rooms, attached electrical vaults and other electrical and mechanical spaces. Coverage per sprinkler must be in accordance with NFPA 13. Provide sprinklers below all obstructions in accordance with NFPA 13.

1.2.2 Qualified Fire Protection Engineer (QFPE)

An individual who is a licensed professional engineer (P.E.) who has passed the fire protection engineering written examination administered by the National Council of Examiners for Engineering and Surveying (NCEES) and has relevant fire protection engineering experience. Services of the QFPE must include:

a. Reviewing SD-02, and SD-03 submittal packages for completeness and

compliance with the provisions of this specification. Working (shop) drawings must be prepared by, or prepared under the immediate supervision of, the QFPE. The QFPE must affix their professional engineering stamp with signature to the shop drawings, and material data sheets, indicating approval prior to submitting the shop drawings to the DFPE.

b. Provide a letter documenting that the SD-02, and SD-03 submittal package has been reviewed and noting all outstanding comments.

c. Performing in-progress construction surveillance prior to installation of ceilings (rough-in inspection).

d. Witnessing pre-Government and final Government functional performance testing and performing a final installation review.

e. Signing applicable certificates under SD-07.

1.3 SUBMITTALS

Government approval is required for all submittals. Partial submittals and submittals not fully complying with NFPA 13 and this specification section must be returned disapproved without review. SD-02, and SD-03 must be submitted simultaneously.

Shop drawings (SD-02), and product data (SD-03) must be prepared by the designer and combined and submitted as one complete package. The QFPE must review the SD-02/SD-03 submittal package for completeness and compliance with the Contract provisions prior to submission to the Government. The QFPE must provide a Letter of Confirmation that they have reviewed the submittal package for compliance with the contract provisions. This letter must include their professional engineer stamp and signature. Partial submittals and submittals not reviewed by the QFPE must be returned disapproved without review.

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Qualified Fire Protection Engineer (QFPE)

Sprinkler System Designer

Sprinkler System Installer

SD-02 Shop Drawings

Shop Drawing

SD-03 Product Data

Pipe

Fittings

Valves, including gate, check, butterfly, and globe

Sprinklers

Pipe Hangers and Supports

Sprinkler Alarm Switch

Valve Supervisory (Tamper) Switch

SD-06 Test Reports

Test Procedures

SD-07 Certificates

Verification of Compliant Installation

Request for Government Final Test

SD-11 Closeout Submittals

As-built drawings

1.4 QUALITY ASSURANCE

1.4.1 Preconstruction Submittals

Within 36 days of contract award but no less than 14 days prior to commencing work on site, the prime Contractor must submit the following for review and approval. SD-02, and SD-03 submittals received prior to the review and approval of the qualifications will be returned Disapproved Without Review.

1.4.1.1 Shop Drawing

One copy of the shop drawings, no later than 28 days prior to the start of system installation. Working drawings conforming to the requirements prescribed in NFPA 13 and must be no smaller than the Contract Drawings. Drawings must include the following:

a. A descriptive index with drawings listed in sequence by number. A legend sheet identifying device symbols, nomenclature, and conventions used in the package.

b. Floor plans drawn to a scale not less than 1/8-inch equals 1-foot clearly showing locations of devices, equipment, risers, and other details required to clearly describe the proposed arrangement.

c. Actual center-to-center dimensions between sprinklers on branch lines and between branch lines; from end sprinklers to adjacent walls; from walls to branch lines; from sprinkler feed mains, cross mains and branch lines to finished floor and roof or ceiling. A detail must show the dimension from the sprinkler and sprinkler deflector to the ceiling in finished areas.

d. Plan and elevation views which establish that the equipment will fit the allotted spaces with clearance for installation and maintenance.

e. Details of each type of pipe hanger, and electrical devices and interconnecting wiring. The dimension from the edge of vertical piping to the nearest adjacent wall(s) must be indicated on the

drawings when vertical piping is located in stairs or other portions of the means of egress.

1.4.1.2 Product Data

One copy of annotated catalog data to show the specific model, type, and size of each item. Catalog cuts must also indicate the NRTL listing. The data must be highlighted to show model, size, options, and other pertinent information, that are intended for consideration. Data must be adequate to demonstrate compliance with all contract requirements. Product data for all equipment must be combined into a single submittal.

1.4.2 Qualifications

1.4.2.1 Sprinkler System Designer

The sprinkler system designer must be certified as a Level III Technician by National Institute for Certification in Engineering Technologies (NICET) in the Water-Based Systems Layout subfield of Fire Protection Engineering Technology in accordance with NICET 1014-7.

1.4.2.2 Sprinkler System Installer

The sprinkler system installer must be regularly engaged in the installation of the type and complexity of system specified in the contract documents, and must have served in a similar capacity for at least three systems that have performed in the manner intended for a period of not less than 6 months.

1.4.3 Regulatory Requirements

Equipment and material must be listed or approved. Listed or approved, as used in this Section, means listed, labeled or approved by a Nationally Recognized Testing Laboratory (NRTL) such as UL Fire Prot Dir or FM APP GUIDE. The omission of these terms under the description of an item or equipment described must not be construed as waiving this requirement. All listings or approvals by testing laboratories must be from an existing ANSI or UL published standard. The recommended practices stated in the manufacturer's literature or documentation are mandatory requirements.

1.5 DELIVERY, STORAGE, AND HANDLING

Protect all equipment delivered and placed in storage from the weather, excessive humidity and temperature variations, dirt and dust, or other contaminants. All pipes must be either capped or plugged until installed.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

2.1.1 Standard Products

Provide materials, equipment, and devices listed for fire protection service when so required by NFPA 13 or this specification. Select material from one manufacturer, where possible, and not a combination of manufacturers, for a classification of material. Material and equipment must be standard products of a manufacturer regularly engaged in the manufacture of the products for at least 2 years prior to bid.

2.1.2 Identification and Marking

Pipe and fitting markings must include name or identifying symbol of manufacturer and nominal size. Pipe must be marked with ASTM designation. Valves and equipment markings must have name or identifying symbol of manufacturer, specific model number, nominal size, name of device, arrow indicating direction of flow, and position of installation (horizontal or vertical), except if valve can be installed in either position. Markings must be included on the body casting or on an etched or stamped metal nameplate permanently on the valve or cover plate.

2.1.3 Pressure Ratings

Valves, fittings, couplings, alarm switches, and similar devices must be rated for the maximum working pressures that can be experienced in the system, but in no case less than 175 psi.

2.2 ABOVEGROUND PIPING COMPONENTS

2.2.1 Steel Piping Components

2.2.1.1 Steel Pipe

Except as modified herein, steel pipe must be black as permitted by NFPA 13 and conform to the applicable provisions of ASTM A53/A53M, ASTM A135/A135M or ASTM A153/A153M.

Steel pipe must be minimum Schedule 40 for sizes 2 inches and less; and minimum Schedule 10 for sizes larger than 2 inches. Steel piping with wall thickness less than Schedule 40 must not be threaded. Grooved pipe must be cut-grooved.

2.2.1.2 Fittings

Fittings must be welded, threaded, or grooved-end type. Threaded fittings must be cast-iron conforming to ASME B16.4, malleable-iron conforming to ASME B16.3 or ductile-iron conforming to ASTM A536. Plain-end fittings with mechanical couplings, fittings that use steel gripping devices to bite into the pipe, steel press fittings and field welded fittings are not permitted. Fittings, mechanical couplings, and rubber gaskets must be supplied by the same manufacturer. Threaded fittings must use Teflon tape or manufacturer's approved joint compound. Saddle tees using rubber gasketed fittings are permitted only when connecting to existing piping for additions or modifications. Saddle tees must use a connection method that completely wraps around the pipe. Reducing couplings are not permitted except as allowed by NFPA 13.

2.2.1.3 Grooved Mechanical Joints and Fittings

Joints and fittings must be designed for not less than 175 psi service and the product of the same manufacturer. Field welded fittings must not be used. Fitting and coupling housing must be malleable-iron conforming to ASTM A47/A47M, Grade 32510; ductile-iron conforming to ASTM A536, Grade 65-45-12. Rubber gasketed grooved-end pipe and fittings with mechanical couplings are permitted in pipe sizes 2 inches and larger. Gasket must be the flush type that fills the entire cavity between the fitting and the pipe. Nuts and bolts must be heat-treated steel conforming to ASTM A183 and must be cadmium-plated or zinc-electroplated.

2.2.1.4 Flanges

Flanges must conform to NFPA 13 and ASME B16.1. Gaskets must be non-asbestos compressed material in accordance with ASME B16.21, 1/16-inch thick, and full face or self-centering flat ring type.

2.2.2 Flexible Sprinkler Hose

The use of flexible hose is not permitted.

2.2.3 Pipe Hangers and Supports

Provide galvanized pipe hangers in accordance with NFPA 13.

2.2.4 Valves

Provide valves of types approved for fire service. Valves must open by counterclockwise rotation.

2.2.4.1 Control Valve

Manually operated sprinkler control/gate valve must be outside stem and yoke (OS&Y) type or butterfly type and must be listed.

2.2.4.2 Check Valves

Check valves must comply with UL 312. Check valves 4 inches and larger must be of the swing type, have a clear waterway and meet the requirements of MSS SP-71, for Type 3 or 4. Inspection plate must be provided on valves larger than 6 inches.

2.3 ALARM INITIATING AND SUPERVISORY DEVICES

2.3.1 Sprinkler Alarm Switch

Vane or pressure-type flow switch(es). Connection of switch must be by the fire alarm installer. Flow switches for elevator power shunt must not have a retard feature.

2.3.2 Valve Supervisory (Tamper) Switch

Switch must be integral to the control valve or suitable for mounting to the type of control valve to be supervised open. The switch must be tamper resistant and contain SPDT (Form C) contacts arranged to transfer upon removal of the housing cover or closure of the valve of more than two rotations of the valve stem.

2.4 SPRINKLERS

Sprinklers must comply with UL 199 and NFPA 13. Sprinklers with internal O-rings are not acceptable. Sprinklers in high heat areas including attic spaces or in close proximity to unit heaters must have temperature classification in accordance with NFPA 13. Extended coverage sprinklers are permitted for loading docks, residential occupancies and high-piled storage applications only.

2.4.1 Upright Sprinkler

Upright sprinkler must be brass quick-response type and have a minimum nominal K-factor of 8.0.

2.5 ACCESSORIES

2.5.1 Pipe Escutcheon

Provide split hinge metal plates for piping entering walls, floors, and ceilings in exposed spaces. Provide polished stainless steel plates or chromium-plated finish on copper alloy plates in finished spaces. Provide paint finish on metal plates in unfinished spaces.

2.5.2 Sprinkler Guard

Listed guard must be a steel wire cage designed to encase the sprinkler and protect it from mechanical damage. Guards must be provided on sprinklers located as indicated.

2.5.3 Identification Sign

Valve identification sign must be minimum 6 inches wide by 2 inches high with enamel baked finish on minimum 18 gage steel or 0.024-inch aluminum with red letters on a white background or white letters on red background. Wording of sign must include, but not be limited to "main drain", "auxiliary drain", "inspector's test", "alarm test", "alarm line", and similar wording as required to identify operational components. Where there is more than one sprinkler system, signage must include specific details as to the respective system.

PART 3 EXECUTION

3.1 VERIFYING ACTUAL FIELD CONDITIONS

Before commencing work, examine all adjoining work on which the contractor's work that is dependent for perfect workmanship according to the intent of this specification section, and report to the Contracting Officer's Representative a condition that prevents performance of first class work. No "waiver of responsibility" for incomplete, inadequate or defective adjoining work will be considered unless notice has been filed before submittal of a proposal.

3.2 INSTALLATION

The installation must be in accordance with the applicable provisions of NFPA 13, NFPA 24 and publications referenced therein. Locate sprinklers in a consistent pattern with ceiling grid, lights, and air supply diffusers. Install sprinkler system over and under ducts, piping and platforms when such equipment can negatively affect or disrupt the sprinkler discharge pattern and coverage.

a. Piping offsets, fittings, and other accessories required must be furnished to provide a complete installation and to eliminate interference with other construction.

b. Wherever the contractor's work interconnects with work of other trades the Contractor must coordinate with other Contractors to insure all Contractors have the information necessary so that they may properly

install all necessary connections and equipment. Identify all work items needing access (dampers and similar equipment) that are concealed above hung ceilings by permanent color coded pins/tabs in the ceiling directly below the item.

c. Provide required supports and hangers for piping, conduit, and equipment so that loading will not exceed allowable loadings of structure. Submittal of a bid must be a deemed representation that the contractor submitting such bid has ascertained allowable loadings and has included in his estimates the costs associated in furnishing required supports.

3.2.1 Waste Removal

At the conclusion of each day's work, clean up and stockpile on site all waste, debris, and trash which may have accumulated during the day as a result of work by the contractor and of his presence on the job. Sidewalks and streets adjoining the property must be kept broom clean and free of waste, debris, trash and obstructions caused by work of the contractor, which will affect the condition and safety of streets, walks, utilities, and property.

3.3 ABOVEGROUND PIPING INSTALLATION

The methods of fabrication and installation of the aboveground piping must fully comply with the requirements and recommended practices of NFPA 13 and this specification section.

3.3.1 Protection of Piping Against Earthquake Damage

Seismic restraint is not required.

3.3.2 Piping in Exposed Areas

Install exposed piping without diminishing exit access widths, corridors or equipment access. Exposed horizontal piping, including drain piping, must be installed to provide maximum headroom.

3.3.3 Piping in Finished Areas

In areas with suspended or dropped ceilings and in areas with concealed spaces above the ceiling, piping must be concealed above ceilings. Piping must be inspected, hydrostatically tested and approved before being concealed. Risers and similar vertical runs of piping in finished areas must be concealed.

3.3.4 Upright Sprinklers

Riser nipples or "sprigs" to upright sprinklers must contain no fittings between the branch line tee and the reducing coupling at the sprinkler.

3.3.5 Pipe Joints

Pipe joints must conform to NFPA 13, except as modified herein. Not more than four threads must show after joint is made up. Welded joints will be permitted, only if welding operations are performed as required by NFPA 13 at the Contractor's fabrication shop, not at the project construction site. Flanged joints must be provided where indicated or required by NFPA 13. Grooved pipe and fittings must be prepared in accordance with

the manufacturer's latest published specification according to pipe material, wall thickness and size. Grooved couplings, fittings and grooving tools must be products of the same manufacturer. For copper tubing, pipe and groove dimensions must comply with the tolerances specified by the coupling manufacturer. The diameter of grooves made in the field must be measured using a "go/no-go" gauge, vernier or dial caliper, narrow-land micrometer, or other method specifically approved by the coupling manufacturer for the intended application. Groove width and dimension of groove from end of pipe must be measured and recorded for each change in grooving tool setup to verify compliance with coupling manufacturer's tolerances.

3.3.6 Reducers

Reductions in pipe sizes must be made with one-piece tapered reducing fittings. When standard fittings of the required size are not manufactured, single bushings of the face or hex type will be permitted. Where used, face bushings must be installed with the outer face flush with the face of the fitting opening being reduced. Bushings cannot be used in elbow fittings, in more than one outlet of a tee, in more than two outlets of a cross, or where the reduction in size is less than 1/2-inch.

3.3.7 Pipe Penetrations

a. Cutting structural members for passage of pipes or for pipe-hanger fastenings will not be permitted. Pipes that must penetrate concrete or masonry walls or concrete floors must be core-drilled and provided with pipe sleeves. Each sleeve must be Schedule 40 galvanized steel, ductile-iron or cast-iron pipe and extend through its respective wall or floor and be cut flush with each wall surface. Sleeves must provide required clearance between the pipe and the sleeve per NFPA 13. The space between the sleeve and the pipe must be firmly packed with mineral wool insulation.

b. Where pipes and sleeves penetrate fire walls, fire partitions, or floors, pipes/sleeves must be firestopped in accordance with Section 07 84 00.00 22 FIRESTOPPING.

c. In penetrations that are not fire-rated or not a floor penetration, the space between the sleeve and the pipe must be sealed at both ends with plastic waterproof cement that will dry to a firm but pliable mass or with a mechanically adjustable segmented elastomer seal.

3.3.8 Escutcheons

Escutcheons must be provided for pipe penetration in finished areas of ceilings, floors and walls. Escutcheons must be securely fastened to the pipe at surfaces through which piping passes.

3.3.9 Inspector's Test Connection

Unless otherwise indicated, the test connection must consist of 1-inch pipe connected at the test valve; a test valve located approximately 7 feet above the floor; a smooth bore brass outlet equivalent to the smallest orifice sprinkler used in the system; and a painted metal identification sign affixed to the valve with the words "Inspector's Test". All test connection piping must be inside of the building and penetrate the exterior wall at the location of the discharge orifice only. The discharge orifice must be located outside the building wall no more than 2

feet above finished grade, directed so as not to cause damage to adjacent construction or landscaping during full flow discharge, or to the sanitary sewer. Discharge to the exterior must not interfere with exiting from the facility. Water discharge or runoff must not cross the path of egress from the building. Do not discharge to the roof. Discharge to floor drains, janitor sinks or similar fixtures is not permitted.

Provide concrete splash blocks at all drain and inspector's test connection discharge locations if not discharging to a concrete surface. Splash blocks must be large enough to mitigate erosion and not become dislodged during a full flow of the drain. Ensure all discharged water drains away from the facility and does not cause property damage.

3.3.10 Drains

a. Auxiliary drains must be provided as required by NFPA 13. Auxiliary drains are permitted to discharge to a floor drain if the drain is sized to accommodate full flow (min 40 gpm). Discharge to service sinks or similar plumbing fixtures is not permitted.

3.3.11 Identification Signs

Signs must be affixed to each control valve, inspector test valve auxiliary drain, test valve, and similar valves as appropriate or as required by NFPA 13. For pipe sizes 4-inch and larger provide white painted stenciled letters and arrows, a minimum of 2 inches in height and visible from at least two sides when viewed from the floor. For pipe sizes less than 4-inch, provide white painted stenciled letters and arrows, a minimum of 0.75-inch in height and visible from the floor. Provide properly lettered and approved metal sign to elevator flow switch stating the circuits' voltage, and identify the switch as an "Elevator Power Shunt Flow Switch".

3.4 ELECTRICAL

Except as modified herein, electric equipment and wiring must be in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Alarm signal wiring connected to the building fire alarm control system must be by the fire alarm installer.

3.5 PAINTING

Color code mark piping red.

3.6 FIELD QUALITY CONTROL

3.6.1 Test Procedures

Submit detailed test procedures, prepared and signed by the NICET Level III or IV Fire Sprinkler Technician, and the representative of the installing company, and reviewed by the QFPE 60 days prior to performing system tests. Detailed test procedures must list all components of the installed system. Test procedures must include sequence of testing, time estimate for each test, and sample test data forms. The test data forms must be in a check-off format (pass/fail with space to add applicable test data; similar to the forms in NFPA 13). The test procedures and accompanying test data forms must be used for the pre-Government testing and the Government final testing.

a. Provide space to identify the date and time of each test. Provide space to identify the names and signatures of the individuals conducting and witnessing each test.

3.6.2 Pre-Government Testing

3.6.2.1 Verification of Compliant Installation

Conduct inspections and tests to ensure that equipment is functioning properly. Tests must meet the requirements of paragraph entitled "Minimum System Tests" and "System Acceptance" as noted in NFPA 13. The Contractor and QFPE must be in attendance at the pre-Government testing to make necessary adjustments. After inspection and testing is complete, provide a signed Verification of Compliant Installation letter by the QFPE that the installation is complete, compliant with the specification and fully operable. The letter must include the names and titles of the witnesses to the pre-Government tests. Provide all completion documentation as required by NFPA 13 and the test reports noted below.

a. NFPA 13 Aboveground Material and Test Certificate

3.6.2.2 Request for Government Final Test

When the verification of compliant installation has been completed, submit a formal request for Government final test to the Designated Fire Protection Engineer (DFPE). Government final testing will not be scheduled until the DFPE has received copies of the request for Government final testing and Verification of Compliant Installation letter with all required reports. Government final testing will not be performed until after the connections to the building fire alarm system have been completed and tested to confirm communications are fully functional. Submit request for test at least 15 calendar days prior to the requested test date.

3.6.3 Correction of Deficiencies

If equipment was found to be defective or non-compliant with contract requirements, perform corrective actions and repeat the tests. Tests must be conducted and repeated if necessary until the system has been demonstrated to comply with all contract requirements.

3.6.4 Government Final Tests

The tests must be performed in accordance with the approved test procedures in the presence of the DFPE. Furnish instruments and personnel required for the tests. The following must be provided at the job site for Government Final Testing:

a. The manufacturer's technical representative.

b. The contractor's Qualified Fire Protection Engineer (QFPE).

c. Marked-up red line drawings of the system as actually installed.

Government Final Tests will be witnessed by the Designated Fire Protection Engineer, and Qualified Fire Protection Engineer (QFPE). At this time, all required tests noted in the paragraph "Minimum System Tests" must be repeated at their discretion.

3.7 MINIMUM SYSTEM TESTS

The system, including the underground water mains, and the aboveground piping and system components, must be tested to ensure that equipment and components function as intended. The underground and aboveground interior piping systems and attached appurtenances subjected to system working pressure must be tested in accordance with NFPA 13 and NFPA 24.

3.7.1 Aboveground Piping

3.7.1.1 Hydrostatic Test

Aboveground piping must be hydrostatically tested in accordance with NFPA 13. There must be no drop in gauge pressure or visible leakage when the system is subjected to the hydrostatic test. The test pressure must be read from a gauge located at the low elevation point of the system or portion being tested.

3.8 SYSTEM ACCEPTANCE

Following acceptance of the system, as-built drawings and O&M manuals must be delivered to the Contracting Officer for review and acceptance. Submit six sets of detailed as-built drawings. The drawings must show the system as installed, including deviations from both the project drawings and the approved shop drawings. These drawings must be submitted within two weeks after the final acceptance test of the system. At least one set of as-built (marked-up) drawings must be provided at the time of, or prior to the final acceptance test.

a. Provide one set of full size paper as-built drawings and schematics. The drawings must be prepared electronically and sized no less than the contract drawings. Furnish one set of CDs or DVDs containing software back-up and CAD based drawings in latest version of AutoCAD, DXF and portable document formats of as-built drawings and schematics.

-- End of Section --

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SECTION 22 00 00

PLUMBING, GENERAL PURPOSE
11/15, CHG 4: 05/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.15	(2018) Cast Copper Alloy Threaded Fittings Classes 125 and 250
ASME B16.18	(2021) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.22	(2021) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.50	(2021) Wrought Copper and Copper Alloy Braze-Joint Pressure Fittings
ASME B31.5	(2022) Refrigeration Piping and Heat Transfer Components

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding
AWS B2.2/B2.2M	(2016) Specification for Brazing Procedure and Performance Qualification

ASTM INTERNATIONAL (ASTM)

ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B42	(2020) Standard Specification for Seamless Copper Pipe, Standard Sizes
ASTM B88	(2022) Standard Specification for Seamless Copper Water Tube
ASTM B813	(2016) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube
ASTM B828	(2016) Standard Practice for Making Capillary Joints by Soldering of Copper and Copper Alloy Tube and Fittings
ASTM C920	(2018) Standard Specification for

	Elastomeric Joint Sealants
ASTM D2241	(2015) Standard Specification for Poly(Vinyl Chloride) (PVC) Pressure-Rated Pipe (SDR Series)
ASTM D2466	(2017) Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 40
ASTM D2467	(2015) Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Pipe Fittings, Schedule 80
ASTM D2564	(2020) Standard Specification for Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
ASTM D2855	(2015) Standard Practice for Making Solvent-Cemented Joints with Poly(Vinyl Chloride) (PVC) Pipe and Fittings
ASTM F438	(2017) Standard Specification for Socket-Type Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe Fittings, Schedule 40
ASTM F442/F442M	(2023) Standard Specification for Chlorinated Poly(Vinyl Chloride) (CPVC) Plastic Pipe (SDR-PR)
ASTM F493	(2022) Standard Specification for Solvent Cements for Chlorinated Poly (Vinyl Chloride) (CPVC) Plastic Pipe and Fittings

COPPER DEVELOPMENT ASSOCIATION (CDA)

CDA A4015	(2016; 14/17) Copper Tube Handbook

INTERNATIONAL CODE COUNCIL (ICC)

ICC IPC	(2021) International Plumbing Code

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MSS SP-80	(2019) Bronze Gate, Globe, Angle and Check Valves

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA MG 1	(2021) Motors and Generators

NEMA MG 11 (1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A (2024) Standard for the Installation of Air Conditioning and Ventilating Systems

NSF INTERNATIONAL (NSF)

NSF/ANSI 14 (2022) Plastics Piping System Components and Related Materials

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

List of installed fixtures with manufacturer, model, and flow rate.

Pumps;

Vibration-Absorbing Features;

Details of vibration-absorbing features, including arrangement, foundation plan, dimensions and specifications.

SD-10 Operation and Maintenance Data

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

1.3 STANDARD PRODUCTS

Specified equipment shall essentially duplicate equipment that has performed satisfactorily at least two years prior to bid opening. Standard products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.3.1 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.3.2 Service Support

The equipment items shall be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations shall be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.3.3 Manufacturer's Nameplate

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.3.4 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.3.4.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions shall be considered mandatory, the word "should" shall be interpreted as "shall." Reference to the "code official" shall be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" shall be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" shall be interpreted to mean the "lessor." References to the "permit holder" shall be interpreted to mean the "Contractor."

1.3.4.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the

Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, shall be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.5 REGULATORY REQUIREMENTS

Unless otherwise required herein, plumbing work shall be in accordance with ICC IPC.

1.6 PROJECT/SITE CONDITIONS

The Contractor shall become familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.7 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work.

Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.8 ACCESSIBILITY OF EQUIPMENT

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

PART 2 PRODUCTS

2.1 MATERIALS

Materials for various services shall be in accordance with TABLES I and II. Pipe schedules shall be selected based on service requirements. Pipe fittings shall be compatible with the applicable pipe materials. Plastic

pipe, fittings, and solvent cement shall meet NSF/ANSI 14 and shall be NSF listed for the service intended. P Plastic pipe shall not be installed in air plenums. Plastic pipe shall not be installed in a pressure piping system in buildings greater than three stories including any basement levels.

2.1.1 Pipe Joint Materials

Solder containing lead shall not be used with copper pipe. Joints and gasket materials shall conform to the following:

e. Brazing Material: Brazing material shall conform to AWS A5.8/A5.8M, BCuP-5.

f. Brazing Flux: Flux shall be in paste or liquid form appropriate for use with brazing material. Flux shall be as follows: lead-free; have a 100 percent flushable residue; contain slightly acidic reagents; contain potassium borides; and contain fluorides.

g. Solder Material: Solder metal shall conform to ASTM B32.

h. Solder Flux: Flux shall be liquid form, non-corrosive, and conform to ASTM B813, Standard Test 1.

i. PTFE Tape: PTFE Tape, for use with Threaded Metal or Plastic Pipe.

p. Plastic Solvent Cement for PVC Plastic Pipe: ASTM D2564 and ASTM D2855.

q. Plastic Solvent Cement for CPVC Plastic Pipe: ASTM F493.

u. Copper tubing shall conform to ASTM B88, Type K, L or M.

2.2 PIPE HANGERS, INSERTS, AND SUPPORTS

Pipe hangers, inserts, and supports shall conform to MSS SP-58.

2.3 VALVES

Valves shall be provided on supplies to equipment and fixtures. Valves 2-1/2 inches and smaller shall be bronze with threaded bodies for pipe and solder-type connections for tubing. Pressure ratings shall be based upon the application. Valves shall conform to the following standards:

Description	Standard

Bronze Gate, Globe, Angle, and Check Valves	MSS SP-80

2.4 PUMPS

2.4.1 Sump Pumps

Sump pumps shall be of capacities indicated. The pumps shall be of the automatic, electric motor-driven, submerged type, complete with necessary control equipment and with a split or solid cast-iron or steel cover plate. The pumps shall be direct-connected by an approved flexible

coupling to a vertical electric motor having a continuous oiling device or packed bearings sealed against dirt and moisture. Motors shall be totally enclosed, fan-cooled of sizes as indicated and shall be equipped with an across-the-line magnetic controller in a NEMA 250, Type 4 enclosure. Integral size motors shall be the premium efficiency type in accordance with NEMA MG 1. Each pump shall be fitted with a high-grade thrust bearing mounted above the floor. Each shaft shall have an alignment bearing at each end, and the suction inlet shall be between 3 and 6 inches above the sump bottom. The suction side of each pump shall have a strainer of ample capacity. A float switch assembly, with the switch completely enclosed in a NEMA 250, Type 4 enclosure, shall start and stop each motor at predetermined water levels. Duplex pumps shall be equipped with an automatic alternator to change the lead operation from one pump to the other, and for starting the second pump if the flow exceeds the capacity of the first pump. The discharge line from each pump shall be provided with a union or flange, a nonclog swing check valve, and a stop valve in an accessible location near the pump.

2.5 ELECTRICAL WORK

Provide electrical motor driven equipment specified complete with motors, motor starters, and controls as specified herein and in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide high efficiency type, single-phase, fractional-horsepower alternating-current motors, including motors that are part of a system, corresponding to the applications in accordance with NEMA MG 11. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor.

Motors shall be rated for continuous duty with the enclosure specified. Motor duty requirements shall allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motor torque shall be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Motor bearings shall be fitted with grease supply fittings and grease relief to outside of the enclosure.

Controllers and contactors shall have auxiliary contacts for use with the controls provided. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, shall be provided. For packaged equipment, the manufacturer shall provide controllers, including the required monitors and timed restart.

Power wiring and conduit for field installed equipment shall be provided under and conform to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.6 MISCELLANEOUS PIPING ITEMS

2.6.1 Escutcheon Plates

Provide one piece or split hinge metal plates for piping entering floors, walls, and ceilings in exposed spaces. Provide chromium-plated on copper alloy plates or polished stainless steel finish in finished spaces. Provide paint finish on plates in unfinished spaces.

2.6.2 Pipe Sleeves

Provide where piping passes entirely through walls, ceilings, roofs, and floors. Sleeves are not required where supply drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade, except where penetrating a membrane waterproof floor.

2.6.2.1 Sleeves in Masonry and Concrete

Provide steel pipe sleeves or schedule 40 PVC plastic pipe sleeves. Sleeves are not required where drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade. Core drilling of masonry and concrete may be provided in lieu of pipe sleeves when cavities in the core-drilled hole are completely grouted smooth.

2.6.2.2 Sleeves Not in Masonry and Concrete

Provide 26 gage galvanized steel sheet or PVC plastic pipe sleeves.

2.6.3 Pipe Hangers (Supports)

Provide MSS SP-58 Type 1 with adjustable type steel support rods, except as specified or indicated otherwise. Attach to steel joists with Type 19 or 23 clamps and retaining straps. Attach to Steel W or S beams with Type 21, 28, 29, or 30 clamps. Attach to steel angles and vertical web steel channels with Type 20 clamp with beam clamp channel adapter. Attach to horizontal web steel channel and wood with drilled hole on centerline and double nut and washer. Attach to concrete with Type 18 insert or drilled expansion anchor. Provide Type 40 insulation protection shield for insulated piping.

2.6.4 Nameplates

Provide 0.125 inch thick melamine laminated plastic nameplates, black matte finish with white center core, for equipment, gages, thermometers, and valves; valves in supplies to faucets will not require nameplates. Accurately align lettering and engrave minimum of 0.25 inch high normal block lettering into the white core. Minimum size of nameplates shall be 1.0 by 2.5 inches. Key nameplates to a chart and schedule for each system. Frame charts and schedules under glass and place where directed near each system. Furnish two copies of each chart and schedule.

2.6.5 Labels

Provide labels for sensor operators at flush valves and faucets. Include the following information on each label:

a. Identification of the sensor and its operation with written description.

b. Range of the sensor.

c. Battery replacement schedule.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Piping located in air plenums shall conform to NFPA 90A requirements.

Piping located in shafts that constitute air ducts or that enclose air ducts shall be noncombustible in accordance with NFPA 90A. The plumbing system shall be installed complete with necessary fixtures, fittings, traps, valves, and accessories. Valves shall be installed with control no lower than the valve body.

3.1.1 Joints

Installation of pipe and fittings shall be made in accordance with the manufacturer's recommendations. Mitering of joints for elbows and notching of straight runs of pipe for tees will not be permitted. Joints shall be made up with fittings of compatible material and made for the specific purpose intended.

3.1.1.1 Unions and Flanges

Unions, flanges and mechanical couplings shall not be concealed in walls, ceilings, or partitions. Unions shall be used on pipe sizes 2-1/2 inches and smaller; flanges shall be used on pipe sizes 3 inches and larger.

3.1.1.2 Grooved Mechanical Joints

Grooves shall be prepared according to the coupling manufacturer's instructions. Grooved fittings, couplings, and grooving tools shall be products of the same manufacturer. Pipe and groove dimensions shall comply with the tolerances specified by the coupling manufacturer. The diameter of grooves made in the field shall be measured using a "go/no-go" gauge, vernier or dial caliper, narrow-land micrometer, or other method specifically approved by the coupling manufacturer for the intended application. Groove width and dimension of groove from end of pipe shall be measured and recorded for each change in grooving tool setup to verify compliance with coupling manufacturer's tolerances. Grooved joints shall not be used in concealed locations.

3.1.1.3 Cast Iron Soil, Waste and Vent Pipe

Bell and spigot compression and hubless gasketed clamp joints for soil, waste and vent piping shall be installed per the manufacturer's recommendations.

3.1.1.4 Copper Tube and Pipe

a. Brazed. Brazed joints shall be made in conformance with AWS B2.2/B2.2M, ASME B16.50, and CDA A4015 with flux and are acceptable for all pipe sizes. Copper to copper joints shall include the use of copper-phosphorus or copper-phosphorus-silver brazing metal without flux. Brazing of dissimilar metals (copper to bronze or brass) shall include the use of flux with either a copper-phosphorus, copper-phosphorus-silver or a silver brazing filler metal.

b. Soldered. Soldered joints shall be made with flux and are only acceptable for piping 2 inches and smaller. Soldered joints shall conform to ASME B31.5 and CDA A4015. Soldered joints shall not be used in compressed air piping between the air compressor and the receiver.

c. Copper Tube Extracted Joint. Mechanically extracted joints shall be made in accordance with ICC IPC.

d. Press connection. Copper press connections shall be made in **strict** accordance with the manufacturer's installation instructions for manufactured rated size. The joints shall be pressed using the tool(s) approved by the manufacturer **of that joint**. Minimum distance between fittings shall be in accordance with the manufacturer's requirements.

3.1.1.5 Plastic Pipe

Acrylonitrile-Butadiene-Styrene (ABS) pipe shall have joints made with solvent cement. PVC and CPVC pipe shall have joints made with solvent cement elastomeric, threading, (threading of Schedule 80 Pipe is allowed only where required for disconnection and inspection; threading of Schedule 40 Pipe is not allowed), or mated flanged.

3.1.2 Pipe Sleeves and Flashing

Pipe sleeves shall be furnished and set in their proper and permanent location.

3.1.2.1 Sleeve Requirements

Unless indicated otherwise, provide pipe sleeves meeting the following requirements:

a. Secure sleeves in position and location during construction. Provide sleeves of sufficient length to pass through entire thickness of walls, ceilings, roofs, and floors.

b. A modular mechanical type sealing assembly may be installed in lieu of a waterproofing clamping flange and caulking and sealing of annular space between pipe and sleeve. The seals shall consist of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe and sleeve using galvanized steel bolts, nuts, and pressure plates. The links shall be loosely assembled with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tightening of the bolt shall cause the rubber sealing elements to expand and provide a watertight seal between the pipe and the sleeve. Each seal assembly shall be sized as recommended by the manufacturer to fit the pipe and sleeve involved.

c. Sleeves shall not be installed in structural members, except where indicated or approved. Rectangular and square openings shall be as detailed. Each sleeve shall extend through its respective floor, or roof, and shall be cut flush with each surface, except for special circumstances. Pipe sleeves passing through floors in wet areas such as mechanical equipment rooms, lavatories, kitchens, and other plumbing fixture areas shall extend a minimum of 4 inches above the finished floor.

d. Unless otherwise indicated, sleeves shall be of a size to provide a minimum of 1/4 inch clearance between bare pipe or insulation and inside of sleeve or between insulation and inside of sleeve. Sleeves in bearing walls and concrete slab on grade floors shall be steel pipe or cast-iron pipe. Sleeves in nonbearing walls or ceilings may be steel pipe, cast-iron pipe, galvanized sheet metal with lock-type longitudinal seam, or plastic.

e. Except as otherwise specified, the annular space between pipe and sleeve, or between jacket over insulation and sleeve, shall be sealed as indicated with sealants conforming to ASTM C920 and with a primer, backstop material and surface preparation as specified in Section 07 92 00 JOINT SEALANTS. The annular space between pipe and sleeve, between bare insulation and sleeve or between jacket over insulation and sleeve shall not be sealed for interior walls which are not designated as fire rated.

f. Sleeves through below-grade walls in contact with earth shall be recessed 1/2 inch from wall surfaces on both sides. Annular space between pipe and sleeve shall be filled with backing material and sealants in the joint between the pipe and masonry wall as specified above. Sealant selected for the earth side of the wall shall be compatible with dampproofing/waterproofing materials that are to be applied over the joint sealant. Pipe sleeves in fire-rated walls shall conform to the requirements in Section 07 84 00.00 22 FIRESTOPPING.

3.1.2.2 Flashing Requirements

Pipes passing through roof shall be installed through a 16 ounce copper flashing, each within an integral skirt or flange. Flashing shall be suitably formed, and the skirt or flange shall extend not less than 8 inches from the pipe and shall be set over the roof or floor membrane in a solid coating of bituminous cement. The flashing shall extend up the pipe a minimum of 10 inches. For cleanouts, the flashing shall be turned down into the hub and caulked after placing the ferrule. Pipes passing through pitched roofs shall be flashed, using lead or copper flashing, with an adjustable integral flange of adequate size to extend not less than 8 inches from the pipe in all directions and lapped into the roofing to provide a watertight seal. The annular space between the flashing and the bare pipe or between the flashing and the metal-jacket-covered insulation shall be sealed as indicated. Flashing for dry vents shall be turned down into the pipe to form a waterproof joint. Pipes, up to and including 10 inches in diameter, passing through roof or floor waterproofing membrane may be installed through a cast-iron sleeve with caulking recess, anchor lugs, flashing-clamp device, and pressure ring with brass bolts. Flashing shield shall be fitted into the sleeve clamping device. Pipes passing through wall waterproofing membrane shall be sleeved as described above. A waterproofing clamping flange shall be installed.

3.1.2.3 Waterproofing

Waterproofing at floor-mounted water closets shall be accomplished by forming a flashing guard from soft-tempered sheet copper. The center of the sheet shall be perforated and turned down approximately 1-1/2 inches to fit between the outside diameter of the drainpipe and the inside diameter of the cast-iron or steel pipe sleeve. The turned-down portion of the flashing guard shall be embedded in sealant to a depth of approximately 1-1/2 inches; then the sealant shall be finished off flush to floor level between the flashing guard and drainpipe. The flashing guard of sheet copper shall extend not less than 8 inches from the drainpipe and shall be lapped between the floor membrane in a solid coating of bituminous cement. If cast-iron water closet floor flanges are used, the space between the pipe sleeve and drainpipe shall be sealed with sealant and the flashing guard shall be upturned approximately 1-1/2 inches to fit the outside diameter of the drainpipe and the inside diameter of

the water closet floor flange. The upturned portion of the sheet fitted into the floor flange shall be sealed.

3.1.2.4 Optional Counterflashing

Instead of turning the flashing down into a dry vent pipe, or caulking and sealing the annular space between the pipe and flashing or metal-jacket-covered insulation and flashing, counterflashing may be accomplished by utilizing the following:

a. A standard roof coupling for threaded pipe up to 6 inches in diameter.

b. A tack-welded or banded-metal rain shield around the pipe.

3.1.2.5 Pipe Penetrations of Slab on Grade Floors

Where pipes, fixture drains, floor drains, cleanouts or similar items penetrate slab on grade floors, except at penetrations of floors with waterproofing membrane as specified in paragraphs FLASHING REQUIREMENTS and WATERPROOFING, a groove 1/4 to 1/2 inch wide by 1/4 to 3/8 inch deep shall be formed around the pipe, fitting or drain. The groove shall be filled with a sealant as specified in Section 07 92 00 JOINT SEALANTS.

3.1.2.6 Pipe Penetrations

Provide sealants for all pipe penetrations. All pipe penetrations shall be sealed to prevent infiltration of air, insects, and vermin.

3.1.3 Supports

3.1.3.1 General

Hangers used to support piping 2 inches and larger shall be fabricated to permit adequate adjustment after erection while still supporting the load. Pipe guides and anchors shall be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. Piping subjected to vertical movement when operating temperatures exceed ambient temperatures shall be supported by variable spring hangers and supports or by constant support hangers. In the support of multiple pipe runs on a common base member, a clip or clamp shall be used where each pipe crosses the base support member. Spacing of the base support members shall not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run. Threaded sections of rods shall not be formed or bent.

3.1.3.2 Pipe Hangers, Inserts, and Supports

Installation of pipe hangers, inserts and supports shall conform to MSS SP-58 except as modified herein.

a. Types 5, 12, and 26 shall not be used.

b. Type 3 shall not be used on insulated pipe.

c. Type 18 inserts shall be secured to concrete forms before concrete is placed. Continuous inserts which allow more adjustment may be used if they otherwise meet the requirements for type 18 inserts.

d. Type 19 and 23 C-clamps shall be torqued per MSS SP-58 and shall have

both locknuts and retaining devices furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.

e. Type 20 attachments used on angles and channels shall be furnished with an added malleable-iron heel plate or adapter.

f. Type 24 may be used only on trapeze hanger systems or on fabricated frames.

g. Type 39 saddles shall be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Type 39 saddles shall be welded to the pipe.

h. Type 40 shields shall:

(1) Be used on insulated pipe less than 4 inches.

(2) Be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or less.

(3) Have a high density insert for all pipe sizes. High density inserts shall have a density of 8 pcf or greater.

i. Horizontal pipe supports shall be spaced as specified in MSS SP-58 and a support shall be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports shall be spaced not over 5 feet apart at valves. Operating temperatures in determining hanger spacing for PVC or CPVC pipe shall be 120 degrees F for PVC and 180 degrees F for CPVC. Horizontal pipe runs shall include allowances for expansion and contraction.

j. Vertical pipe shall be supported at each floor, except at slab-on-grade, at intervals of not more than 15 feet nor more than 8 feet from end of risers, and at vent terminations. Vertical pipe risers shall include allowances for expansion and contraction.

k. Type 35 guides using steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides shall be provided to allow longitudinal pipe movement. Slide materials shall be suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered. Lateral restraints shall be provided as needed. Where steel slides do not require provisions for lateral restraint the following may be used:

(1) On pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher, a Type 39 saddle, welded to the pipe, may freely rest on a steel plate.

(2) On pipe less than 4 inches a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.

(3) On pipe 4 inches and larger carrying medium less that 60 degrees F a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.

l. Pipe hangers on horizontal insulated pipe shall be the size of the outside diameter of the insulation. The insulation shall be continuous through the hanger on all pipe sizes and applications.

m. Where there are high system temperatures and welding to piping is not desirable, the type 35 guide shall include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe shall be separated from the slide material by at least 4 inches or by an amount adequate for the insulation, whichever is greater.

n. Hangers and supports for plastic pipe shall not compress, distort, cut or abrade the piping, and shall allow free movement of pipe except where otherwise required in the control of expansion/contraction.

3.1.3.3 Structural Attachments

Attachment to building structure concrete and masonry shall be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors shall be applied with a safety factor not less than 5. Supports shall not be attached to metal decking. Supports shall not be attached to the underside of concrete filled floor or concrete roof decks unless approved by the Contracting Officer. Masonry anchors for overhead applications shall be constructed of ferrous materials only.

3.2 VIBRATION-ABSORBING FEATURES

Mechanical equipment, including pumps, shall be isolated from the building structure by approved vibration-absorbing features, unless otherwise shown. Each foundation shall include an adequate number of standard isolation units. Each unit shall consist of machine and floor or foundation fastening, together with intermediate isolation material, and shall be a standard product with printed load rating. Piping connected to mechanical equipment shall be provided with flexible connectors.

3.3 IDENTIFICATION SYSTEMS

3.3.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and valve number shall be installed on valves, except those valves installed on supplies at plumbing fixtures. Tags shall be 1-3/8 inch minimum diameter, and marking shall be stamped or engraved. Indentations shall be black, for reading clarity. Tags shall be attached to valves with No. 12 AWG, copper wire, chrome-plated beaded chain, or plastic straps designed for that purpose.

3.3.2 Pipe Color Code Marking

Color code marking of piping shall be as specified in Section 09 90 00 PAINTS AND COATINGS.

3.3.3 Color Coding Scheme for Locating Hidden Utility Components

Scheme shall be provided in buildings having suspended grid ceilings. The color coding scheme shall identify points of access for maintenance and operation of operable components which are not visible from the finished space and installed in the space directly above the suspended grid ceiling. The operable components shall include valves, dampers, switches, linkages and thermostats. The color coding scheme shall consist of a color code board and colored metal disks. Each colored metal disk shall be approximately 3/8 inch in diameter and secured to removable ceiling panels with fasteners. The fasteners shall be inserted into the ceiling panels so that the fasteners will be concealed from view. The fasteners

shall be manually removable without tools and shall not separate from the ceiling panels when panels are dropped from ceiling height. Installation of colored metal disks shall follow completion of the finished surface on which the disks are to be fastened. The color code board shall have the approximate dimensions of 3 foot width, 30 inches height, and 1/2 inch thickness. The board shall be made of wood fiberboard and framed under glass or 1/16 inch transparent plastic cover. Unless otherwise directed, the color code symbols shall be approximately 3/4 inch in diameter and the related lettering in 1/2 inch high capital letters. The color code board shall be mounted and located in the mechanical or equipment room. The color code system shall be as indicated below:

Color	System	Item	Location

3.4 ESCUTCHEONS

Escutcheons shall be provided at finished surfaces where bare or insulated piping, exposed to view, passes through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Escutcheons shall be fastened securely to pipe or pipe covering and shall be satin-finish, corrosion-resisting steel, polished chromium-plated zinc alloy, or polished chromium-plated copper alloy. Escutcheons shall be either one-piece or split-pattern, held in place by internal spring tension or setscrew.

3.5 TABLES

TABLE II					
PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS					
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
7	Seamless copper pipe, ASTM B42	X	X		X

TABLE II					
PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS					
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
10	Wrought copper and bronze solder-joint pressure fittings, ASME B16.22 for use with Item 7	X	X	X	X

TABLE II					
PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS					
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
21	Chlorinated polyvinyl chloride (CPVC) plastic pipe (SDR-PR) ASTM F442/F442M	X	X		X
23	Socket-type chlorinated polyvinyl chloride (CPVC) plastic pipe fittings, Schedule 40, ASTM F438 for use with Item21	X	X		X
26	Polyvinyl chloride (PVC) pressure-rated pipe (SDR Series), ASTM D2241	X			X
27	Polyvinyl chloride (PVC) plastic pipe fittings, Schedule 40, ASTM D2466	X			X
28	Socket-type polyvinyl chloride (PVC) plastic pipe fittings, schedule 80, ASTM D2467 for use with Items 26 and 27	X			X

TABLE II

PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS

Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
33	Fittings: brass or bronze; ASME B16.15, and ASME B16.18 ASTM B828	X	X		
	SERVICE: A - Cold Water Service Aboveground B - Hot and Cold Water Distribution 180 degrees F Maximum Aboveground C - Compressed Air Lubricated D - Cold Water Service Belowground Indicated types are minimum wall thicknesses. ** - Type L - Hard *** - Type K - Hard temper with brazed joints only or type K-soft temper without joints in or under floors **** - In or under slab floors only brazed joints				

-- End of Section --

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SECTION 23 03 00.00 20

BASIC MECHANICAL MATERIALS AND METHODS
08/10, CHG 3: 08/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(2023) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1	(2021) Motors and Generators
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code

1.2 RELATED REQUIREMENTS

This section applies to all sections of Divisions: 21, FIRE SUPPRESSION; 22, PLUMBING; and 23, HEATING, VENTILATING, AND AIR CONDITIONING of this project specification, unless specified otherwise in the individual section.

1.3 QUALITY ASSURANCE

1.3.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.3.2 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.3.3 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.3.4 Manufacturer's Nameplate

For each item of equipment, provide a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.3.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.3.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.3.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace

damaged or defective items.

1.5 ELECTRICAL INSTALLATION REQUIREMENTS

Electrical installations must conform to IEEE C2, NFPA 70, and requirements specified herein.

1.5.1 New Work

Provide electrical components of mechanical equipment, such as motors, motor starters (except starters/controllers which are indicated as part of a motor control center), control or push-button stations, float or pressure switches, solenoid valves, integral disconnects, and other devices functioning to control mechanical equipment, as well as control wiring and conduit for circuits rated 100 volts or less, to conform with the requirements of the section covering the mechanical equipment. Extended voltage range motors are not to be permitted. The interconnecting power wiring and conduit, control wiring rated 120 volts (nominal) and conduit, the motor control equipment forming a part of motor control centers, and the electrical power circuits must be provided under Division 26, except internal wiring for components of package equipment must be provided as an integral part of the equipment. When motors and equipment furnished are larger than sizes indicated, provide any required changes to the electrical service as may be necessary and related work as a part of the work for the section specifying that motor or equipment.

1.5.2 Modifications to Existing Systems

Where existing mechanical systems and motor-operated equipment require modifications, provide electrical components under Division 26.

1.5.3 High Efficiency Motors

1.5.3.1 High Efficiency Single-Phase Motors

Unless otherwise specified, single-phase fractional-horsepower alternating-current motors must be high efficiency types corresponding to the applications listed in NEMA MG 11.

1.5.3.2 High Efficiency Polyphase Motors

Unless otherwise specified, polyphase motors must be selected based on high efficiency characteristics relative to the applications as listed in NEMA MG 10. Additionally, polyphase squirrel-cage medium induction motors with continuous ratings must meet or exceed energy efficient ratings in accordance with Table 12-6C of NEMA MG 1.

1.5.4 Three-Phase Motor Protection

Provide controllers for motors rated one 1 horsepower and larger with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

1.6 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government

personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.7 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PAINTING OF NEW EQUIPMENT

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

3.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

3.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and

solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat must be aluminum or light gray.

a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of 1 mil; and two coats of enamel applied to a minimum dry film thickness of 1 mil per coat.

b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F must receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.

c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F must receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

-- End of Section --

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SECTION 23 05 93

TESTING, ADJUSTING, AND BALANCING FOR HVAC
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ACOUSTICAL SOCIETY OF AMERICA (ASA)

ASA S1.4	(1983; Amendment 1985; R 2006) Specification for Sound Level Meters (ASA 47)
ASA S1.11 PART 1	(2014) American National Standard Electroacoustics – Octave-Band and Fractional-Octave-Band Filters – Part 1: Specifications

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 62.1	(2016) Ventilation for Acceptable Indoor Air Quality
ASHRAE HVAC APP IP HDBK	(2016) HVAC Applications Handbook, I-P Edition

ASSOCIATED AIR BALANCE COUNCIL (AABC)

AABC MN-1	(2002; 6th ed) National Standards for Total System Balance
AABC MN-4	(1996) Test and Balance Procedures

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB MASV	(2006) Procedural Standards for Measurements and Assessment of Sound and Vibration
NEBB PROCEDURAL STANDARDS	(2015) Procedural Standards for TAB (Testing, Adjusting and Balancing) Environmental Systems

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1780	(2002) HVAC Systems - Testing, Adjusting and Balancing, 3rd Edition
SMACNA 1858	(2004) HVAC Sound And Vibration Manual - First Edition

SMACNA 1972 CD (2012) HVAC Air Duct Leakage Test Manual - 2nd Edition

1.2 DEFINITIONS

a. AABC: Associated Air Balance Council

b. COTR: Contracting Officer's Technical Representative

c. DALT: Duct air leakage test

d. DALT'd: Duct air leakage tested

e. HVAC: Heating, ventilating, and air conditioning; or heating, ventilating, and cooling

f. NEBB: National Environmental Balancing Bureau

g. Out-of-tolerance data: Pertains only to field acceptance testing of Final DALT or TAB report. When applied to DALT work, this phase means "a leakage rate measured during DALT field acceptance testing which exceeds the leakage rate allowed by Appendix D REQUIREMENTS FOR DUCT AIR LEAK TESTING." When applied to TAB work this phase means "a measurement taken during TAB field acceptance testing which does not fall within the range of plus 5 to minus 5 percent of the original measurement reported on the TAB Report for a specific parameter."

h. Season of maximum heating load: The time of year when the outdoor temperature at the project site remains within plus or minus 30 degrees Fahrenheit of the project site's winter outdoor design temperature, throughout the period of TAB data recording.

i. Season of maximum cooling load: The time of year when the outdoor temperature at the project site remains within plus or minus 5 degrees Fahrenheit of the project site's summer outdoor design temperature, throughout the period of TAB data recording.

j. Season 1, Season 2: Depending upon when the project HVAC is completed and ready for TAB, Season 1 is defined, thereby defining Season 2. Season 1 could be the season of maximum heating load, or the season of maximum cooling load.

k. Sound measurements terminology: Defined in AABC MN-1, NEBB MASV, or SMACNA 1858 (TABB).

l. TAB: Testing, adjusting, and balancing (of HVAC systems)

m. TAB'd: HVAC Testing/Adjusting/Balancing procedures performed

n. TAB Agency: TAB Firm

o. TAB team field leader: TAB team field leader

p. TAB team supervisor: TAB team engineer

q. TAB team technicians: TAB team assistants

r. TABB: Testing Adjusting and Balancing Bureau

1.2.1 Similar Terms

In some instances, terminology differs between the Contract and the TAB Standard primarily because the intent of this Section is to use the industry standards specified, along with additional requirements listed herein to produce optimal results.

The following table of similar terms is provided for clarification only. Contract requirements take precedent over the corresponding AABC, NEBB, or TABB requirements where differences exist.

SIMILAR TERMS			
Contract Term	AABC Term	NEBB Term	TABB Term
TAB Standard	National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems	Procedural Standards for Testing, Adjusting and Balancing of Environmental Systems	International Standards for Environmental Systems Balance
TAB Specialist	TAB Engineer	TAB Supervisor	TAB Supervisor
Systems Readiness Check	Construction Phase Inspection	Field Readiness Check & Preliminary Field Procedures	Field Readiness Check & Prelim. Field Procedures

1.3 WORK DESCRIPTION

The work includes testing, adjusting, and balancing (TAB) of new and existing heating, ventilating, and cooling (HVAC) air distribution systems including equipment and performance data, ducts, and piping which are located within, on, under, between, and adjacent to buildings.

Perform TAB in accordance with the requirements of the TAB procedural standard recommended by the TAB trade association that approved the TAB Firm's qualifications. Comply with requirements of AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 (TABB) as supplemented and modified by this specification section. All recommendations and suggested practices contained in the TAB procedural standards are considered mandatory.

Conduct DALT and TAB of the indicated existing systems and equipment and submit the specified DALT and TAB reports for approval. Conduct DALT testing in compliance with the requirements specified in SMACNA 1972 CD, except as supplemented and modified by this section. Conduct DALT and TAB work in accordance with the requirements of this section.

1.3.1 Air Distribution Systems

Test, adjust, and balance systems (TAB) in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to exterior of air distribution systems as specified under Section 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS.

1.3.2 Water Distribution Systems

TAB systems in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to water distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. At Contractor's option and with Contracting Officer's written approval, the piping systems may be insulated before systems are TAB'd.

Terminate piping insulation immediately adjacent to each flow control valve, automatic control valve, or device. Seal the ends of pipe insulation and the space between ends of pipe insulation and piping, with waterproof vapor barrier coating.

After completion of work under this section, insulate the flow control valves and devices as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

1.3.3 TAB SCHEMATIC DRAWINGS

Show the following information on TAB Schematic Drawings:

1. A unique number or mark for each piece of equipment or terminal.

2. Air quantities at air terminals.

3. Air quantities and temperatures in air handling unit schedules.

4. Water quantities and temperatures in thermal energy transfer equipment schedules.

5. Water quantities and heads in pump schedules.

6. Water flow measurement fittings and balancing fittings.

7. Ductwork Construction and Leakage Testing Table that defines the DALT test requirements, including each applicable HVAC duct system ID or mark, duct pressure class, duct seal class, and duct leakage test pressure. This table is included in the file for Graphics for Unified Facilities Guide Specifications: http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-gra

The Testing, Adjusting, and Balancing (TAB) Specialist must review the Contract Plans and Specifications and advise the Contracting Officer of any deficiencies that would prevent the effective and accurate TAB of the system, including records of existing conditions, and systems readiness check. The TAB Specialist must provide a Design Review Report individually listing each deficiency and the corresponding proposed corrective action necessary for proper system operation.

Submit three copies of the TAB Schematic Drawings and Report Forms to the Contracting Officer, no later than 21 days prior to the start of TAB field measurements.

1.3.4 Related Requirements

Requirements for price breakdown of HVAC TAB work are specified in Section 01 20 00 PRICE AND PAYMENT PROCEDURES.

Requirements for construction scheduling related to HVAC TAB work are specified in Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES.

1.4 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Records of Existing Conditions;

Independent TAB Agency and Personnel Qualifications;

TAB Design Review Report;

SD-02 Shop Drawings

TAB Schematic Drawings and Report Forms;

SD-03 Product Data

Equipment and Performance Data;

TAB Related HVAC Submittals;

A list of the TAB Related HVAC Submittals, no later than 7 days after the approval of the TAB team engineer and assistant.

SD-06 Test Reports

Prerequisite HVAC Work Checkout List For Proportional Balancing;

Certified Final TAB Report for Proportional Balancing;

Prerequisite HVAC Work Checkout List For Season 1;

Certified Final TAB Report for Season 1;

Prerequisite HVAC Work Checkout List For Season 2;

Certified Final TAB Report for Season 2;

SD-07 Certificates

Independent TAB Agency and Personnel Qualifications;

DALT and TAB Submittal and Work Schedule;

TAB Pre-Field Engineering Report;

Instrument Calibration Certificates;

DALT and TAB Procedures Summary;

Completed Pre-Final DALT Work Checklist;

Advance Notice of TAB Field Work for Proportional Balancing;

Advance Notice of TAB Field Work for Season 1;

Advance Notice of TAB Field Work for Season 2

1.5 QUALITY ASSURANCE

1.5.1 Independent TAB Agency and Personnel Qualifications

To secure approval for the proposed agency, submit information certifying that the TAB agency is a first tier subcontractor who is not affiliated with any other company participating in work on this contract, including design, furnishing equipment, or construction. Further, submit the following, for the agency, to Contracting Officer for approval:

a. Independent AABC or NEBB or TABB TAB agency:

TAB agency: AABC registration number and expiration date of current certification; or NEBB certification number and expiration date of current certification; or TABB certification number and expiration date of current certification.

TAB team supervisor: Name and copy of AABC or NEBB or TABB TAB supervisor certificate and expiration date of current certification.

TAB team field leader: Name and documented evidence that the team field leader has satisfactorily performed full-time supervision of TAB work in the field for not less than 3 years immediately preceding this contract's bid opening date.

TAB team field technicians: Names and documented evidence that each field technician has satisfactorily assisted a TAB team field leader in performance of TAB work in the field for not less than one year immediately preceding this contract's bid opening date.

Current certificates: Registrations and certifications are current, and valid for the duration of this contract. Renew Certifications which expire prior to completion of the TAB work, in a timely manner so that there is no lapse in registration or certification. TAB agency or TAB team personnel without a current registration or current certification are not to perform TAB work on this contract.

b. TAB Team Members: TAB team approved to accomplish work on this contract are full-time employees of the TAB agency. No other personnel is allowed to do TAB work on this contract.

c. Replacement of TAB team members: Replacement of members may occur if each new member complies with the applicable personnel qualifications and each is approved by the Contracting Officer.

1.5.1.1 TAB Standard

Perform TAB in accordance with the requirements of the standard under which the TAB Firm's qualifications are approved, i.e., AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 unless otherwise specified herein. All recommendations and suggested practices contained in the TAB Standard are considered mandatory. Use the provisions of the TAB Standard, including checklists, report forms, etc., as nearly as practical, to satisfy the Contract requirements. Use the TAB Standard for all aspects of TAB, including qualifications for the TAB Firm and Specialist and calibration of TAB instruments. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the TAB Standard, adhere to the manufacturer's recommendations.

All quality assurance provisions of the TAB Standard such as performance guarantees are part of this contract. For systems or system components not covered in the TAB Standard, TAB procedures must be developed by the TAB Specialist. Where new procedures, requirements, etc., applicable to the Contract requirements have been published or adopted by the body responsible for the TAB Standard used (AABC, NEBB, or TABB), the requirements and recommendations contained in these procedures and requirements are considered mandatory, including the latest requirements of ASHRAE 62.1.

1.5.1.2 Qualifications

a. TAB Firm

The TAB Firm must be either a member of AABC or certified by the NEBB or the TABB and certified in all categories and functions where measurements or performance are specified on the plans and specifications, including building systems commissioning and the measuring of sound and vibration in environmental systems.

Certification must be maintained for the entire duration of duties specified herein. If, for any reason, the firm loses subject certification during this period, the Contractor must immediately notify the Contracting Officer and submit another TAB Firm for approval. Any firm that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not be eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections to be performed by the TAB Firm will be considered invalid if the TAB Firm loses its certification prior to Contract completion and must be performed by an approved successor.

These TAB services are to assist the prime Contractor in performing the quality oversight for which it is responsible. The TAB Firm must be a prime subcontractor of the Contractor and be financially and corporately independent of the mechanical subcontractor, reporting directly to and paid by the Contractor.

b. TAB Specialist

The TAB Specialist must be either a member of AABC,an experienced technician of the Firm certified by the NEBB, or a Supervisor certified by the TABB. The certification must be maintained for the entire duration of duties specified herein. If, for any reason, the

Specialist loses subject certification during this period, immediately notify the Contracting Officer and submit another TAB Specialist for approval. Any individual that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections performed by the TAB Specialist will be considered invalid if the TAB Specialist loses its certification prior to Contract completion and must be performed by the approved successor.

c. TAB Specialist Responsiblities

TAB Specialist responsibilities include all TAB work specified herein and in related sections under his direct guidance. The TAB specialist is required to be onsite on a daily basis to direct TAB efforts. The TAB Specialist must participate in the commissioning process.

1.5.1.3 TAB Related HVAC Submittals

The TAB Specialist must prepare a list of the submittals from the Contract Submittal Register that relate to the successful accomplishment of all HVAC TAB. Accompany the submittals identified on this list with a letter of approval signed and dated by the TAB Specialist when submitted to the Government. Ensure that the location and details of ports, terminals, connections, etc., necessary to perform TAB are identified on the submittals.

1.5.2 Responsibilities

The Contractor is responsible for ensuring compliance with the requirements of this section. The following delineation of specific work responsibilities is specified to facilitate TAB execution of the various work efforts by personnel from separate organizations. This breakdown of specific duties is specified to facilitate adherence to the schedule listed in the paragraph TAB SUBMITTAL AND WORK SCHEDULE.

1.5.2.1 Contractor

a. TAB personnel: Ensure that the DALT work and the TAB work is accomplished by a group meeting the requirements specified in the paragraph TAB PERSONNEL QUALIFICATION REQUIREMENTS.

b. Pre-DALT/TAB meeting: Attend the meeting with the TAB Supervisor, and ensure that a representative is present for the sheetmetal contractor, mechanical contractor, electrical contractor, and automatic temperature controls contractor.

c. HVAC documentation: Furnish one complete set of the following HVAC-related documentation to the TAB agency:

(1) Contract drawings and specifications

(2) Approved submittal data for equipment

(3) Construction work schedule

(4) Up-to-date revisions and change orders for the previously listed items

d. Submittal and work schedules: Ensure that the schedule for submittals and work required by this section and specified in the paragraph TAB SUBMITTAL AND WORK SCHEDULE is met.

e. Coordination of supporting personnel:

Provide the technical personnel, such as factory representatives or HVAC controls installer required by the TAB field team to support the DALT and the TAB field measurement work.

Provide equipment mechanics to operate HVAC equipment and ductwork mechanics to provide the field designated test ports to enable TAB field team to accomplish the DALT and the TAB field measurement work. Ensure these support personnel are present at the times required by the TAB team, and cause no delay in the DALT and the TAB field work.

Conversely, ensure that the HVAC controls installer has required support from the TAB team field leader to complete the controls check out.

f. Deficiencies: Ensure that the TAB Agency supervisor submits all Design/Construction deficiency notifications directly to the Contracting officer within 3 days after the deficiency is encountered. Further, ensure that all such notification submittals are complete with explanation, including documentation, detailing deficiencies.

g. Prerequisite HVAC work: Complete check out and debugging of HVAC equipment, ducts, and controls prior to the TAB engineer arriving at the project site to begin the TAB work. Debugging includes searching for and eliminating malfunctioning elements in the HVAC system installations, and verifying all adjustable devices are functioning as designed. Include as prerequisite work items, the deficiencies pointed out by the TAB team supervisor in the design review report.

h. Prior to the TAB field team's arrival, ensure completion of the applicable inspections and work items listed in the TAB team supervisor's pre-field engineering report. Do not allow the TAB team to commence TAB field work until all of the following are completed.

(1) HVAC system installations are fully complete.

(2) HVAC prerequisite checkout work lists specified in the paragraph PRE-FIELD TAB ENGINEERING REPORT are completed, submitted, and approved. Ensure that the TAB Agency gets a copy of the approved prerequisite HVAC work checklist.

(3) DALT field checks for all systems are completed.

(4) HVAC system filters are clean for both Season 1 and Season 2 TAB field work.

i. Advance notice: Furnish to the Contracting Officer with advance written notice for the commencement of the DALT field work and for the commencement of the TAB field work.

j. Insulation work: For required DALT work , ensure that insulation is not installed on ducts to be DALT'd until DALT work on the subject

ducts is complete. Later, ensure that openings in duct and machinery insulation coverings for TAB test ports are marked, closed and sealed.

1.5.2.2 TAB Agency

Provide the services of a TAB team which complies with the requirements of the paragraph INDEPENDENT TAB AGENCY PERSONNEL QUALIFICATIONS. The work to be performed by the TAB agency is limited to testing, adjusting, and balancing of HVAC air and water systems to satisfy the requirements of this specification section.

1.5.2.3 TAB Team Supervisor

a. Overall management: Supervise and manage the overall TAB team work effort, including preliminary and technical DALT and TAB procedures and TAB team field work.

b. Pre-DALT/TAB meeting: Attend meeting with Contractor.

c. Design review report: Review project specifications and accompanying drawings to verify that the air systems and water systems are designed in such a way that the TAB engineer can accomplish the work in compliance with the requirements of this section. Verify the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, circuit setters, balancing valves, and manual volume dampers.

d. Support required: Specify the technical support personnel required from the Contractor other than the TAB agency; such as factory representatives for temperature controls or for complex equipment. Inform the Contractor in writing of the support personnel needed and when they are needed. Furnish the notice as soon as the need is anticipated, either with the design review report, or the pre-field engineering report, the during the DALT or TAB field work.

e. Pre-field DALT preliminary notification: Monitor the completion of the duct installation of each system and provide the necessary written notification to the Contracting Officer.

f. Pre-field engineering report: Utilizing the following HVAC-related documentation; contract drawings and specifications, approved submittal data for equipment, up-to-date revisions and change orders; prepare this report.

g. Prerequisite HVAC work checklist: Ensure the Contractor gets a copy of this checklist at the same time as the pre-field engineering report is submitted.

h. Technical assistance for DALT work.

(1) Technical assistance: Provide immediate technical assistance to TAB field team.

(2) DALT field visit: Near the end of the DALT field work effort, visit the contract site to inspect the HVAC installation and the progress of the DALT field work. Conduct a site visit to the extent necessary to verify correct procedures are being implemented and to confirm the accuracy of the Pre-final DALT Report data which has been reported. Also, perform sufficient

evaluation to allow the TAB supervisor to issue certification of the final report. Conduct the site visit full-time for a minimum of one 8 hour workday duration.

i. Final DALT report: Certify the DALT report. This certification includes the following work:

(1) Review: Review the Pre-final DALT report data. From these field reports, prepare the Certified Final DALT report.

(2) TAB Verification: Verify adherence, by the TAB field team, to the procedures specified in this section.

j. Technical Assistance for TAB Work: Provide immediate technical assistance to the TAB field team for the TAB work.

k. Certified TAB report: Certify the TAB report. This certification includes the following work:

(1) Review: Review the TAB field data report. From this field report, prepare the certified TAB report.

(2) Verification: Verify adherence, by the TAB field team, to the TAB plan prescribed by the pre-field engineering report and verify adherence to the procedures specified in this section.

l. Design/Construction deficiencies: Within 3 working days after the TAB Agency has encountered any design or construction deficiencies, the TAB Supervisor must submit written notification directly to the Contracting Officer, with a separate copy to the Contractor, of all such deficiencies. Provide in this submittal a complete explanation, including supporting documentation, detailing deficiencies. Where deficiencies are encountered that are believed to adversely impact successful completion of TAB, the TAB Agency must issue notice and request direction in the notification submittal.

m. TAB Field Check: The TAB team supervisor must attend and supervise Season 1 TAB field check.

1.5.2.4 TAB Team Field Leader

a. Field manager: Manage, in the field, the accomplishment of the work specified in Part 3, EXECUTION.

b. Full time: Be present at the contract site when DALT field work or TAB field work is being performed by the TAB team; ensure day-to-day TAB team work accomplishments are in compliance with this section.

c. Prerequisite HVAC work: Do not bring the TAB team to the contract site until a copy of the prerequisite HVAC Checklist, with all work items certified by the Contractor to be working as designed, reaches the office of the TAB Agency.

1.5.3 Project/Site Conditions

1.5.3.1 DALT and TAB Services to Obtain Existing Conditions

Conduct DALT and TAB of the indicated existing systems and equipment and submit the specified DALT and TAB reports for approval. Conduct this DALT

and TAB work in accordance with the requirements of this section.

1.5.4 Sequencing and Scheduling

1.5.4.1 DALT and TAB Submittal and Work Schedule

Comply with additional requirements specified in Appendix C: DALT AND TAB SUBMITTAL AND WORK SCHEDULE included at the end of this section.

Submit this schedule,and TAB Schematic Drawings, adapted for this particular contract, to the Contracting Officer (CO) for review and approval. Include with the submittal the planned calendar dates for each submittal or work item. Resubmit an updated version for CO approval every 90 calendar days. Compliance with the following schedule is the Contractor's responsibility.

Qualify TAB Personnel: Within 45 calendar days after date of contract award, submit TAB agency and personnel qualifications.

Pre-DALT/TAB Meeting: Within 30 calendar days after the date of approval of the TAB agency and personnel, meet with the COTR.

Design Review Report: Within 60 calendar days after the date of the TAB agency personnel qualifications approval, submit design review report.

Pre-Field DALT Preliminary Notification: On completion of the duct installation for each system, notify the Contracting Officer in writing within 5 days after completion.

Ductwork Selected for DALT: Within 7 calendar days of Pre-Field DALT Preliminary Notification, the COTR will select which of the project ductwork must be DALT'd.

DALT Field Work: Within 48 hours of COTR's selection, complete DALT field work on selected.

Submit Pre-final DALT Report: Within one working day after completion of DALT field work, submit Pre-final DALT Report. Separate Pre-final DALT reports may be submitted to allow phased testing from system to system.

DALT Work Field Check: Upon approval of the Pre-final DALT Report, schedule the COTR's DALT field check work with the Contracting Officer.

Submit Final DALT Report: Within 15 calendar days after completion of successful DALT Work Field Check, submit Season 1 TAB report.

Pre-Field TAB Engineering Report: Within 14 calendar days after approval of the TAB agency Personnel Qualifications, submit the Pre-Field TAB Engineering Report.

Prerequisite HVAC Work Check Out List For Season 1 and Advanced Notice For Season 1 TAB Field Work: At a minimum of 115 calendar days prior to CCD, submit Season 1 prerequisite HVAC work check out list certified as complete, and submit advance notice of commencement of Season 1 TAB field work.

Season 1 TAB Field Work: At a minimum of 90 calendar days prior to

CCD, and when the ambient temperature is within Season 1 limits, accomplish Season 1 TAB field work.

Submit Season 1 TAB Report: Within 15 calendar days after completion of Season 1 TAB field work, submit Season 1 TAB report.

Season 1 TAB Field Check: 30 calendar days after Season 1 TAB report is approved by the Contracting Officer, conduct Season 1 field check.

Complete Season 1 TAB Work: Prior to CCD, complete all TAB work except Season 2 TAB work.

Season 1 TAB Field Work: At a minimum of 90 calendar days prior to CCD, and when the ambient temperature is within Season 1 limits, accomplish Season 1 TAB field work; submit Season 1 TAB report; and conduct Season 1 field check.

Complete Season 1 TAB Work: Prior to CCD, complete all TAB work except Season 2 TAB work.

Prerequisite HVAC Work Check Out List For Season 2 and Advanced Notice For Season 2 TAB Field Work: Within 150 calendar days after date of the commencement of the Season 1 TAB field work, submit the Season 2 prerequisite HVAC work check out list certified as complete and submit advance notice of commencement of Season 2 TAB field work.

Season 2 TAB Field Work: Within 180 calendar days after date of commencement of the Season 1 TAB field work and when the ambient temperature is within Season 2 limits, accomplish Season 2 TAB field work.

Submit Season 2 TAB Report: Within 15 calendar days after completion of Season 2 TAB field work, submit Season 2 TAB report.

Season 2 TAB Field Check: 30 calendar days after the Season 2 TAB report is approved by the Contracting Officer, conduct Season 2 field check.

Complete Season 2 TAB Work: Within 15 calendar days after the completion of Season 2 TAB field data check, complete all TAB work.

Season 2 TAB Field Work: Within 180 calendar days after date of commencement of the Season 1 TAB field work and when the ambient temperature is within Season 2 limits, accomplish Season 2 TAB field work; submit Season 2 TAB report; and conduct Season 2 field check.

Complete Season 2 TAB Work: Within 15 calendar days after the completion of Season 2 field data check, complete TAB work.

a. TAB Design Review Report

Submit typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the duct leakage testing work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. State that no deficiencies are evident if that is the case.

b. Pre-Field DALT Preliminary Notification

Notification: On completion of the installation of each duct system indicated to be DALT'd, notify the Contracting Officer in writing within 7 calendar days after completion.

1.5.4.2 TAB Pre-Field Engineering Report

Submit report containing the following information:

a. Step-by-step TAB procedure:

(1) Strategy: Describe the method of approach to the TAB field work from start to finish. Include in this description a complete methodology for accomplishing each seasonal TAB field work session.

(2) Air System Diagrams: Use the contract drawings and duct fabrication drawings if available to provide air system diagrams in the report showing the location of all terminal outlet supply, return, exhaust and transfer registers, grilles and diffusers. Use a key numbering system on the diagrams which identifies each outlet contained in the outlet airflow report sheets. Show intended locations of all traverses and static pressure readings.

(3) Procedural steps: Delineate fully the intended procedural steps to be taken by the TAB field team to accomplish the required TAB work of each air distribution system and each water distribution system. Include intended procedural steps for TAB work for subsystems and system components.

b. Pre-field data: Submit AABC or NEBB or SMACNA 1780 data report forms with the following pre-field information filled in:

(1) Design data obtained from system drawings, specifications, and approved submittals.

(2) Notations detailing additional data to be obtained from the contract site by the TAB field team.

(3) Designate the actual data to be measured in the TAB field work.

(4) Provide a list of the types of instruments, and the measuring range of each, which are anticipated to be used for measuring in the TAB field work. By means of a keying scheme, specify on each TAB data report form submitted, which instruments will be used for measuring each item of TAB data. If the selection of which instrument to use, is to be made in the field, specify from which instruments the choice will be made. Place the instrument key number in the blank space where the measured data would be entered.

c. Prerequisite HVAC work checkout list: Provide a list of inspections and work items which are to be completed by the Contractor. This list must be acted upon and completed by the Contractor and then submitted and approved by the Contracting Officer prior to the TAB team coming to the contract site.

At a minimum, a list of the applicable inspections and work items listed in the NEBB PROCEDURAL STANDARDS, Section III, "Preliminary TAB Procedures" under paragraphs titled, "Air Distribution System Inspection" and "Hydronic Distribution System Inspection" must be

provided for each separate system to be TAB'd.

1.5.5 Subcontractor Special Requirements

Perform all work in this section in accordance with the paragraph SUBCONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS, stating that all contract requirements of this section must be accomplished directly by a first tier subcontractor. No work may be performed by a second tier subcontractor.

1.5.6 Instrument Calibration Certificates

It is the responsibility of the TAB firm to provide instrumentation that meets the minimum requirements of the standard under which the TAB Firm's qualifications are approved for use on a project. Instrumentation must be in proper operating condition and must be applied in accordance with the instrumentation's manufacturer recommendations.

All instrumentation must bear a valid NIST traceable calibration certificate during field work and during government acceptance testing. All instrumentation must be calibrated within no later than one year of the date of TAB work or government acceptance testing field work.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 WORK DESCRIPTIONS OF PARTICIPANTS

Comply with requirements of this section as specified in Appendix A WORK DESCRIPTIONS OF PARTICIPANTS.

3.2 PRE-DALT/TAB MEETING

Meet with the Contracting Officer's technical representative (COTR)and the designing engineer of the HVAC systems to develop a mutual understanding relative to the details of the DALT work and TAB work requirements. Ensure that the TAB supervisor is present at this meeting. Requirements to be discussed include required submittals, work schedule, and field quality control.

3.3 TAB PROCEDURES

3.3.1 TAB Field Work

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents.

That is, comply with the the requirements of AABC MN-1 or SMACNA 1780 (TABB) and SMACNA 1858 (TABB),except as supplemented and modified by this section.

Provide instruments and consumables required to accomplish the TAB work. Calibrate and maintain instruments in accordance with manufacturer's written procedures.

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. Conduct TAB work, including measurement accuracy, and sound measurement work in conformance with the AABC MN-1 and AABC MN-4, or NEBB TABES and NEBB MASV, or SMACNA 1780 (used by TABB) and SMACNA 1858 sound measurement procedures, except as supplemented and modified by this section. The only water flow and air flow reporting which can be deferred until the Season 2 is that data which would be affected in terms of accuracy due to outside ambient conditions.

3.3.2 Preliminary Procedures

Use the approved pre-field engineering report as instructions and procedures for accomplishing TAB field work. TAB engineer is to locate, in the field, test ports required for testing. It is the responsibility of the sheet metal contractor to provide and install test ports as required by the TAB engineer.

3.3.3 TAB Air Distribution Systems

3.3.3.1 Units With Coils

Report heating and cooling performance capacity tests for hot water, chilled water, DX and steam coils for the purpose of verifying that the coils meet the indicated design capacity. Submit the following data and calculations with the coil test reports:

a. For air handlers with capacities greater than 7.5 tons (90,000 Btu) cooling, such as factory manufactured units, central built-up units and rooftop units, conduct capacity tests in accordance with AABC MN-4, procedure 3.5, "Coil Capacity Testing."

   Do not determine entering and leaving wet and dry bulb temperatures by single point measurement, but by the average of multiple readings in compliance with paragraph 3.5-5, "Procedures", (in subparagraph d.) of AABC MN-4, Procedure 3.5, "Coil Capacity Testing."

   Submit part-load coil performance data from the coil manufacturer converting test conditions to design conditions; use the data for the purpose of verifying that the coils meet the indicated design capacity in compliance with AABC MN-4, Procedure 3.5, "Coil Capacity Testing," paragraph 3.5.7, "Actual Capacity Vs. Design Capacity" (in subparagraph c.).

b. For units with capacities of 7.5 tons (90,000 Btu) or less, such as fan coil units, duct mounted reheat coils associated with VAV terminal units, and unitary units, such as through-the-wall heat pumps:

   Determine the apparent coil capacity by calculations using single point measurement of entering and leaving wet and dry bulb temperatures; submit the calculations with the coil reports.

3.3.3.2 Air Handling Units

Air handling unit systems including fans (air handling unit fans, exhaust fans and winter ventilation fans), coils, ducts, plenums, mixing boxes, terminal units, variable air volume boxes, and air distribution devices for supply air, return air, outside air, mixed air relief air, and makeup

air.

3.3.3.3 Heating and Ventilating Units

Heating and ventilating unit systems including fans, coils, ducts, plenums, roof vents, registers, diffusers, grilles, and louvers for supply air, return air, outside air, and mixed air.

3.3.4 Sound Measurement Work

3.3.4.1 Areas To Be Sound Measured

In the following spaces, measure and record the sound power level for each octave band listed in ASHRAE HVAC APP IP HDBK Noise Criteria:

a. All HVAC mechanical rooms, including machinery spaces and other spaces containing HVAC power drivers and power driven equipment.

b. All spaces sharing a common barrier with each mechanical room, including rooms overhead, rooms on the other side of side walls, and rooms beneath the mechanical room floor.

3.3.4.2 Procedure

Measure sound levels in each room, when unoccupied except for the TAB team, with all HVAC systems that would cause sound readings in the room operating in their noisiest mode. Record the sound level in each octave band. Attempt to mitigate the sound level and bring the level to within the specified ASHRAE HVAC APP IP HDBK noise criteria goals, if such mitigation is within the TAB team's control. State in the report the ASHRAE HVAC APP IP HDBK noise criteria goals. If sound level cannot be brought into compliance, provide written notice of the deficiency to the Contractor for resolution or correction.

3.3.4.3 Timing

Measure sound levels at times prescribed by AABC or NEBB or TABB.

3.3.4.4 Meters

Measure sound levels with a sound meter complying with ASA S1.4, Type 1 or 2, and an octave band filter set complying with ASA S1.11 PART 1. Use measurement methods for overall sound levels and for octave band sound levels as prescribed by NEBB.

3.3.4.5 Calibration

Calibrate sound levels as prescribed by AABC or NEBB or TABB, except that calibrators emitting a sound pressure level tone of 94 dB at 1000 hertz (Hz) are also acceptable.

3.3.4.6 Background Noise Correction

Determine background noise component of room sound (noise) levels for each (of eight) octave bands as prescribed by AABC or NEBB or TABB.

3.3.5 Deficiencies

Strive to meet the intent of this section to maximize the performance of

the equipment as designed and installed. However, if deficiencies in equipment design or installation prevent TAB work from being accomplished within the range of design values specified in the paragraph WORKMANSHIP, provide written notice as soon as possible to the Contractor and the Contracting Officer describing the deficiency and recommended correction.

Responsibility for correction of installation deficiencies is the Contractor's. If a deficiency is in equipment design, call the TAB team supervisor for technical assistance. Responsibility for reporting design deficiencies to Contractor is the TAB team supervisor's.

3.3.6 TAB Reports

Additional requirements for TAB Reports are specified in Appendix B REPORTS - DALT and TAB

3.3.7 Quality Assurance - COTR TAB Field Acceptance Testing

3.3.7.1 TAB Field Acceptance Testing

During the field acceptance testing, verify, in the presence of the COTR, random selections of data (water, air quantities, air motion, sound level readings) recorded in the TAB Report. Points and areas for field acceptance testing are to be selected by the COTR. Measurement and test procedures are the same as approved for TAB work for the TAB Report.

Field acceptance testing includes verification of TAB Report data recorded for the following equipment groups:

Group 1: All chillers, boilers, return fans, computer room units, and air handling units (rooftop and central stations).

Group 2: 25 percent of the VAV terminal boxes and associated diffusers and registers.

Group 3: 25 percent of the supply diffusers, registers, grilles associated with constant volume air handling units.

Group 4: 25 percent of the return grilles, return registers, exhaust grilles and exhaust registers.

Group 5: 25 percent of the supply fans, exhaust fans, and pumps.

Further, if any data on the TAB Report for Groups 2 through 5 is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, additional group data verification is required in the presence of the COTR. Verify TAB Report data for one additional piece of equipment in that group. Continue this additional group data verification until out-of-tolerance data ceases to be found.

3.3.7.2 Additional COTR TAB Field Acceptance Testing

If any of the acceptance testing measurements for a given equipment group is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, terminate data verification for all affected data for that group. The affected data for the given group will be disapproved. Make the necessary corrections and prepare a revised TAB Report. Reschedule acceptance testing of the revised report data with the COTR.

3.3.7.3 Prerequisite for Approval

Compliance with the field acceptance testing requirements of this section is a prerequisite for the final Contracting Officer approval of the TAB Report submitted.

3.4 MARKING OF SETTINGS

Upon the final TAB work approval, permanently mark the settings of HVAC adjustment devices including valves, gauges, splitters, and dampers so that adjustment can be restored if disturbed at any time. Provide permanent markings clearly indicating the settings on the adjustment devices which result in the data reported on the submitted TAB report.

3.5 MARKING OF TEST PORTS

The TAB team is to permanently and legibly mark and identify the location points of the duct test ports. If the ducts have exterior insulation, make these markings on the exterior side of the duct insulation. Show the location of test ports on the as-built mechanical drawings with dimensions given where the test port is covered by exterior insulation.

3.6 APPENDICES

Appendix A WORK DESCRIPTIONS OF PARTICIPANTS
Appendix B REPORTS - DALT and TAB
Appendix C DALT AND TAB SUBMITTAL AND WORK SCHEDULE
Appendix D REQUIREMENTS FOR DUCT AIR LEAK TESTING

Appendix A

WORK DESCRIPTIONS OF PARTICIPANTS

The Contractor is responsible for ensuring compliance with all requirements of this specification section. However, the following delineation of specific work items is provided to facilitate and co-ordinate execution of the various work efforts by personnel from separate organizations.

1. Contractor

a. HVAC documentation: Provide pertinent contract documentation to the TAB Firm, to include the following: the contract drawings and specifications; copies of the approved submittal data for all HVAC equipment, air distribution devices, and air/water measuring/balancing devices; the construction work schedule; and other applicable documents requested by the TAB Firm. Provide the TAB Firm copies of contract revisions and modifications as they occur.

b. Schedules: Ensure the requirements specified under the paragraph "DALT and TAB Schedule" are met.

c. Pre-DALT and TAB meeting: Arrange and conduct the Pre-DALT and TAB meeting. Ensure that a representative is present for the sheet metal contractor, the mechanical contractor, the electrical contractor, and the automatic temperature controls contractor.

d. Coordinate Support: Provide and coordinate support personnel required by the TAB Firm in order to accomplish the DALT and TAB field work. Support personnel may include factory representatives, HVAC controls installers, HVAC equipment mechanics, sheet metal workers, pipe fitters, and insulators. Ensure support personnel are present at the work site at the times required.

e. Correct Deficiencies: Ensure the notifications of Construction Deficiencies are provided as specified herein. Refer to the paragraph CONSTRUCTION DEFICIENCIES. Correct each deficiency as soon as practical with the Contracting Officer, and submit revised schedules and other required documentation.

f. Pre-TAB Work Checklists: Complete check out and debugging of HVAC equipment, ducts, and controls prior to the TAB engineer arriving at the project site to begin the TAB work. Debugging includes searching for and eliminating malfunctioning elements in the HVAC system installations, and verifying all adjustable devices are functioning as designed. Include as pre-TAB work checklist items, the deficiencies pointed out by the TAB team supervisor in the design review report.

   Prior to the TAB field team's arrival, ensure completion of the applicable inspections and work items listed in the TAB team supervisor's DALT and TAB Work Procedures Summary. Do not allow the TAB team to commence TAB field work until all of the following are completed.

g. Give Notice of Testing: Submit advance notice of proportional balancing, Season 1, and Season 2 TAB field work accompanied by completed prerequisite HVAC Work List

h. Insulation work: Ensure that no insulation is shall not be installed on ducts to be DALT'd until DALT work on the subject ducts is complete.

Ensure the duct and piping systems are properly insulated and vapor sealed upon the successful completion and acceptance of the DALT and TAB work.

2. TAB Team Supervisor

a. Overall management: Supervise and manage the overall TAB team work effort, including preliminary and technical DALT and TAB procedures and TAB team field work.

b. Schedule: Ensure the requirements specified under the paragraph "DALT and TAB Schedule" are met.

c. Submittals: Provide the submittals specified herein.

d. Pre-DALT/TAB meeting: Attend meeting with Contractor. Ensure TAB personnel that will be involved in the TAB work under this contract attend the meeting.

e. Design Review Report: Submit typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the duct leakage testing work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. State that no deficiencies are evident if that is the case.

f. Support required: Specify the technical support personnel required from the Contractor other than the TAB agency; such as factory representatives for temperature controls or for complex equipment. Inform the Contractor in writing of the support personnel needed and when they are needed. Furnish the notice as soon as the need is anticipated, either with the design review report, or the DALT and TAB Procedures Summary, the during the DALT or TAB field work.

Ensure the Contractor is properly notified and aware of all support personnel needed to perform the TAB work. Maintain communication with the Contractor regarding support personnel throughout the duration of the TAB field work, including the TAB field acceptance testing checking.

Ensure all inspections and verifications for the Pre-Final DALT and Pre-TAB Checklists are completely and successfully conducted before DALT and TAB field work is performed.

g. Advance Notice: Monitor the completion of the duct system installations and provide the Advance Notice for Pre-Final DALT field work as specified herein.

h. Technical Assistance: Provide technical assistance to the DALT and TAB field work.

i. Deficiencies Notification: Ensure the notifications of Construction Deficiencies are provided as specified herein. Comply with requirements of the paragraph CONSTRUCTION DEFICIENCIES. Resolve each deficiency as soon as practical and submit revised schedules and other

required documentation.

j. Procedures: Develop the required TAB procedures for systems or system components not covered in the TAB Standard.

3. TAB Team Field Leader

a. Field manager: Manage, in the field, the accomplishment of the work specified in Part 3, EXECUTION.

b. Full time: Be present at the contract site when DALT field work or TAB field work is being performed by the TAB team; ensure day-to-day TAB team work accomplishments are in compliance with this section.

c. Prerequisite HVAC work: Do not bring the TAB team to the contract site until a copy of the prerequisite HVAC work list, with all work items certified by the Contractor to be working as designed, reaches the office of the TAB Agency.

Appendix B

REPORTS - DALT and TAB

All submitted documentation must be typed, neat, and organized. All reports must have a waterproof front and back cover, a title page, a certification page, sequentially numbered pages throughout, and a table of contents. Tables, lists, and diagrams must be titled. Generate and submit for approval the following documentation:

1. DALT and TAB Work Execution Schedule

Submit a detailed schedule indicating the anticipated calendar date for each submittal and each portion of work required under this section. For each work entry, indicate the support personnel (such as controls provider, HVAC mechanic, etc.) that are needed to accomplish the work. Arrange schedule entries chronologically.

2. DALT and TAB Procedures Summary

Submit a detailed narrative describing all aspects of the DALT and TAB field work to be performed. Clearly distinguish between DALT information and TAB information. Include the following:

a. A list of the intended procedural steps for the DALT and TAB field work from start to finish. Indicate how each type of data measurement will be obtained. Include what Contractor support personnel are required for each step, and the tasks they need to perform.

b. A list of the project's submittals that are needed by the TAB Firm in order to meet this Contract's requirements.

c. The schematic drawings to be used in the required reports, which may include building floor plans, mechanical room plans, duct system plans, and equipment elevations. Indicate intended TAB measurement locations, including where test ports need to be provided by the Contractor.

d. The data presentation forms to be used in the report, with the preliminary information and initial design values filled in.

e. A list of DALT and TAB instruments to be used, edited for this project, to include the instrument name and description, manufacturer, model number, scale range, published accuracy, most recent calibration date, and what the instrument will be used for on this project.

f. A thorough checklist of the work items and inspections that need to be accomplished before DALT field work can be performed. The Contractor must complete, submit, and receive approval of the Completed Pre-Final DALT Work Checklist before DALT field work can be accomplished.

g. A thorough checklist of the work items and inspections that need to be accomplished before the Season 1 TAB field work can be performed. The Contractor must complete, submit, and receive approval of the Completed Season 1 Pre-TAB Work Checklist before the Season 1 TAB field work can be accomplished.

i. The checklists specified above shall be individually developed and

tailored specifically for the work under this contract. Refer to NEBB PROCEDURAL STANDARDS, Section III, "Preliminary TAB Procedures" under the paragraphs titled, "Air Distribution System Inspection" and "Hydronic Distribution System Inspection" for examples of items to include in the checklists.

3. Design Review Report

Submit report containing the following information:

a. Review the contract specifications and drawings to verify that the TAB work can be successfully accomplished in compliance with the requirements of this section. Verify the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, circuit setters, balancing valves, and manual volume dampers.

b. Submit a typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the DALT work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. If no deficiencies are evident, state so in the report.

4. Completed Pre-Final DALT Work Checklist

Report the data for the Pre-Final DALT Report meeting the following requirements:

a. Submit a copy of the approved DALT and TAB Procedures Summary: Provide notations describing how actual field procedures differed from the procedures listed.

b. Report format: Submit a comprehensive report for the DALT field work data using data presentation forms equivalent to the "Air Duct Leakage Test Summary Report Forms" located in the SMACNA 1972 CD. In addition, submit in the report, a marked duct shop drawing which identifies each section of duct tested with assigned node numbers for each section. Node numbers shall be included in the completed report forms to identify each duct section.

c. Calculations: Include a copy of all calculations prepared in determining the duct surface area of each duct test section. Include in the DALT reports copy(s) of the calibration curve for each of the DALT test orifices used for testing.

d. Instruments: List the types of instruments actually used to measure the data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date. Instruments are to be calibrated within one year of the date of use in the field; instrument calibration is to be traceable to the measuring standards of the National Institute of Standards and Technology.

e. TAB Supervisor Approval: Include on the submitted report the typed name of the TAB supervisor and the dated signature of the TAB supervisor.

5. Final DALT Report

On successful completion of all COTR field checks of the Pre-final DALT Report data for all systems, the TABS Supervisor shall assemble, review, sign and submit the Final DALT Report to the Contracting Officer for approval.

6. TAB Reports: Submit TAB Report for Proportional Balancing, Season 1, and Season 2 in the following manner:

a. Procedure Summary: Submit a copy of the approved DALT and TAB Procedures Summary. When applicable, provide notations describing how actual field procedures differed from the procedures listed.

b. Report format: Submit the completed data forms approved in the pre-field TAB Engineering Report completed by TAB field team, reviewed, approved and signed by the TAB supervisor. Bind the report with a waterproof front and back cover. Include a table of contents identifying by page number the location of each report. Report forms and report data shall be typewritten. Handwritten report forms or report data are not acceptable.

c. Temperatures: On each TAB report form reporting TAB work accomplished on HVAC thermal energy transfer equipment, include the indoor and outdoor dry bulb temperature range and indoor and outdoor wet bulb temperature range within which the TAB data was recorded. Include in the TAB report continuous time versus temperature recording data of wet and dry bulb temperatures for the rooms, or zones, as designated in the following list:

(1) Data shall be measured and compiled on a continuous basis for the period in which TAB work affecting those rooms is being done.

(2) Data shall be measured/recorded only after the HVAC systems installations are complete, the systems fully balanced and the HVAC systems controls operating in fully automatic mode. Provide a detailed explanation wherever a final measurement did not achieve the required value.

(3) Data may be compiled using direct digital controls trend logging where available. Otherwise, the Contractor shall temporarily install calibrated time versus temperature/humidity recorders for this purpose. The HVAC systems and controls shall have been fully operational a minimum of 24 hours in advance of commencing data compilation. The specified data shall be included in the Season I TAB Report .

d. Instruments: List the types of instruments actually used to measure the tab data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date.

   Instrumentation, used for taking wet bulb temperature readings shall provide accuracy of plus or minus 5 percent at the measured face velocities. Submit instrument manufacturer's literature to document instrument accuracy performance is in compliance with that specified.

e. Performance Curves: The TAB Supervisor shall include, in the TAB Reports, factory pump curves and fan curves for pumps and fans TAB'd on the job.

f. Calibration Curves: The TAB Supervisor shall include, in the TAB Reports, a factory calibration curve for installed flow control balancing valves, flow venturis and flow orifices TAB'd on the job.

g. Data From TAB Field Work: After completion of the TAB field work, prepare the TAB field data for TAB supervisor's review and approval signature, using the reporting forms approved in the pre-field engineering report. Data required by those approved data report forms shall be furnished by the TAB team. Except as approved otherwise in writing by the Contracting Officer, the TAB work and thereby the TAB report shall be considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph WORKMANSHIP.

Appendix C

DALT AND TAB SUBMITTAL AND WORK SCHEDULE

Perform the following items of work in the order listed adhering to the dates schedule specified below. Include the major items listed in this schedule in the project network analysis schedule required by Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES.

Submit TAB Agency and TAB Personnel Qualifications: Within 42 calendar days after date of contract award.

Submit the DALT and TAB Work Execution Schedule: within 14 days after receipt of the TAB agency and TAB personnel qualifications approval. Revise and re-submit this schedule 28 days prior to commencement of DALT work and 28 days prior to the commencement of TAB Season 1 work and TAB Season 2 work.

Submit the DALT and TAB Work Procedures Summary: within 14 days after receipt of the initial approved DALT and TAB Work Execution Schedule.

Meet with the COTR at the Pre-DALT/TAB Meeting: Within 28 calendar days after receipt of the approved initial DALT/TAB Execution Schedule.

Submit Design Review Report: Within 56 calendar days after the receipt of the approved initial DALT and TAB Work Execution Schedule.

Conduct measurements and submit the Record of Existing Facility Conditions: within 28 days after receipt of approved DALT and TAB Work Procedures Summary.

Advance Notice of Pre-Final DALT Field Work: After the completed installation of the HVAC duct system to be DALT'd, submit to the Contracting Officer an Advance Notice of Pre-Final DALT Field Work accompanied by the completed Pre-Final DALT Work Checklist for the subject duct system.

Ductwork Selected for DALT: Within 14 calendar days after receiving an acceptable completed Pre-Final DALT Work Checklist, the Contracting Officer's technical representative (COTR) will select the project ductwork sections to be DALT'd.

DALT Field Work: Within 48 hours of COTR's selection, complete DALT field work on selected project ductwork.

Submit Pre-Final DALT Report: Within two working days after completion of DALT field work, submit Pre-final DALT Report. Separate Pre-final DALT reports may be submitted to allow phased testing from system to system.

Quality Assurance - COTR DALT Field Checks: Upon approval of the Pre-final DALT Report, the COTR's DALT field check work shall be scheduled with the Contracting Officer.

Submit Final DALT Report: Within 14 calendar days after completion of successful DALT Work Field Check, submit Season 1 TAB report.

Advance Notice of Season 1 TAB Field Work: At a minimum of 14

calendar days prior to Season 1 TAB Field Work, submit advance notice of TAB field work accompanied by completed Season 1 Pre-TAB Work Checklist.

Season 1 TAB Field Work: At a minimum of 84 calendar days prior to CCD, and when the ambient temperature is within Season 1 limits, accomplish Season 1 TAB field work.

Submit Season 1 TAB Report: Within 14 calendar days after completion of Season 1 TAB field work, submit initial Season 1 TAB report.

Season 1 Quality Assurance - COTR TAB Field Check: 30 calendar days after initial Season 1 TAB report is approved by the Contracting Officer, conduct Season 1 field check.

Complete Season 1 TAB Work: Prior to CCD, complete all TAB work except Season 2 TAB work and submit final.

Receive the approved TAB report: Within 21 calendar days, receive the report from Contracting Officer approved TAB report.

Advance Notice of Season 2 TAB Field Work: At a minimum of 126 calendar days after CCD, submit advance notice of Season 2 TAB field work accompanied by completed Season 2 Pre-TAB Work Checklist.

Season 2 TAB Field Work: Within 14 calendar days after date of advance notice of Season 2 TAB field work and when the ambient temperature is within Season 2 limits, accomplish Season 2 TAB field work.

Submit Season 2 TAB Report: Within 14 calendar days after completion of Season 2 TAB field work, submit Season 2 TAB report.

Season 2 Quality Assurance - COTR TAB Field Checks: 28 calendar days after the Season 2 TAB report is approved by the Contracting Officer, conduct Season 2 field check.

Complete Season 2 TAB Work: Within 14 calendar days after the completion of Season 2 TAB field data check, complete all TAB work.

Receive the approved TAB report: Within calendar 21 days, receive the report from Contracting Officer.

-- End of Section --

SECTION 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS
02/13, CHG 7: 05/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 90.1 - SI	(2019) Energy Standard for Buildings Except Low-Rise Residential Buildings

ASTM INTERNATIONAL (ASTM)

ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A240/A240M	(2023) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications
ASTM A580/A580M	(2023) Standard Specification for Stainless Steel Wire
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C195	(2007; R 2013) Standard Specification for Mineral Fiber Thermal Insulating Cement
ASTM C450	(2008) Standard Practice for Fabrication of Thermal Insulating Fitting Covers for NPS Piping, and Vessel Lagging
ASTM C533	(2017; R 2023) Standard Specification for Calcium Silicate Block and Pipe Thermal Insulation
ASTM C534/C534M	(2023) Standard Specification for Preformed Flexible Elastomeric Cellular Thermal Insulation in Sheet and Tubular Form
ASTM C547	(2022a) Standard Specification for Mineral Fiber Pipe Insulation

ASTM C552	(2022) Standard Specification for Cellular Glass Thermal Insulation
ASTM C585	(2010) Standard Practice for Inner and Outer Diameters of Thermal Insulation for Nominal Sizes of Pipe and Tubing
ASTM C591	(2022) Standard Specification for Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation
ASTM C592	(2022a) Standard Specification for Mineral Fiber Blanket Insulation and Blanket-Type Pipe Insulation (Metal-Mesh Covered) (Industrial Type)
ASTM C610	(2017; R 2023) Standard Specification for Molded Expanded Perlite Block and Pipe Thermal Insulation
ASTM C612	(2014; R 2019) Standard Specification for Mineral Fiber Block and Board Thermal Insulation
ASTM C647	(2008; R 2013) Properties and Tests of Mastics and Coating Finishes for Thermal Insulation
ASTM C755	(2019b) Standard Practice for Selection of Water Vapor Retarders for Thermal Insulation
ASTM C795	(2008; R 2023) Standard Specification for Thermal Insulation for Use in Contact with Austenitic Stainless Steel
ASTM C916	(2020) Standard Specification for Adhesives for Duct Thermal Insulation
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C921	(2010; R 2015) Standard Practice for Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM C1126	(2018) Standard Specification for Faced or Unfaced Rigid Cellular Phenolic Thermal Insulation
ASTM C1136	(2023) Standard Specification for Flexible, Low Permeance Vapor Retarders for Thermal Insulation
ASTM C1710	(2011) Standard Guide for Installation of Flexible Closed Cell Preformed Insulation in Tube and Sheet Form

ASTM D882	(2012) Tensile Properties of Thin Plastic Sheeting
ASTM D2863	(2019) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index)
ASTM D5590	(2017; R 2021) Standard Test Method for Determining the Resistance of Paint Films and Related Coatings to Fungal Defacement by Accelerated Four-Week Agar Plate Assay
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2022a; E 2023) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials
ASTM E2231	(2021) Standard Practice for Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

GREEN SEAL (GS)

GS-36	(2013) Adhesives for Commercial Use

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)

MICA Insulation Stds	(8th Ed) National Commercial & Industrial Insulation Standards

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

NFPA 90B	(2024) Standard for the Installation of Warm Air Heating and Air Conditioning Systems

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

TECHNICAL ASSOCIATION OF THE PULP AND PAPER INDUSTRY (TAPPI)

TAPPI T403 OM	(2022) Bursting Strength of Paper

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-A-3316	(1987; Rev C; Am 2 1990) Adhesives, Fire-Resistant, Thermal Insulation
MIL-A-24179	(1969; Rev A; Am 2 1980; Notice 1 1987; Notice 2 2020) Adhesive, Flexible Unicellular-Plastic Thermal Insulation
MIL-PRF-19565	(1988; Rev C) Coating Compounds, Thermal Insulation, Fire- and Water-Resistant, Vapor-Barrier

UNDERWRITERS LABORATORIES (UL)

UL 94	(2023; Reprint May 2023) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 723	(2020) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 2818	(2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SYSTEM DESCRIPTION

1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated. Furnish and install field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and SD-08 Manufacturer's Instructions at the same time for each system.

SD-02 Shop Drawings

MICA Plates;

Pipe Insulation Systems and Associated Accessories

Equipment Insulation Systems and Associated Accessories

Recycled content for insulation materials;

SD-03 Product Data

Pipe Insulation Systems;

Equipment Insulation Systems;

SD-04 Samples

Thermal Insulation;

Display Samples;

SD-07 Certificates

Indoor air quality for adhesives;

SD-08 Manufacturer's Instructions

Pipe Insulation Systems;

Equipment Insulation Systems;

1.4 CERTIFICATIONS

1.4.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

1.5 QUALITY ASSURANCE

1.5.1 Installer Qualification

Qualified installers will have successfully completed three or more

similar type jobs within the last 5 years.

1.6 DELIVERY, STORAGE, AND HANDLING

Deliver materials in the manufacturer's unopened containers. Protect materials delivered and placed in storage from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Attach manufacturer's stamp or label giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable) to packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval. Insulation packages and containers must be asbestos free.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. Include the product number, k-value, thickness and furnished accessories including adhesives, sealants and jackets for each mechanical system requiring insulation. The product data must be copyrighted, have an identifying or publication number, and have been published prior to the issuance date of this solicitation. Submit materials furnished under this section together in a booklet.

2.1.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) air distribution systems and piping systems that are located within, on, under, and adjacent to buildings; and for plumbing systems. Provide CFC and HCFC free insulation.

2.1.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Determine flame spread, and smoke developed indexes, by ASTM E84 or UL 723. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to ASTM E2231.

2.2 MATERIALS

Provide insulation that meets or exceed the requirements of . Ensure insulation exterior is cleanable, grease resistant, non-flaking and non-peeling. Provide compatible materials that do not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Use materials on stainless steel surfaces meeting ASTM C795 requirements. Do not use calcium silicate on chilled or cold water systems. Use asbestos free materials. Provide product recognized under UL 94 (if containing plastic) and listed in FM APP GUIDE.

2.2.1 Adhesives

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants must meet limit requirements of "Other" category within SCAQMD Rule 1168 sealants table). Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

2.2.1.1 Acoustical Lining Insulation Adhesive

Provide a nonflammable, fire-resistant adhesive conforming to ASTM C916, Type I.

2.2.1.2 Mineral Fiber Insulation Cement

Provide cement in accordance with ASTM C195.

2.2.1.3 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. To resist mold/mildew, use lagging adhesive meeting ASTM D5590 with 0 growth rating. Provide nonflammable and fire-resistant lagging adhesives that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive is MIL-A-3316, Class 1, pigmented white and suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bonding glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or Class 2 for attaching fibrous glass insulation to metal surfaces. Apply lagging adhesives in strict accordance with the manufacturer's recommendations for pipe and duct insulation.

2.2.1.4 Contact Adhesive

Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive does not adversely affect, initially or in service, the insulation to which it is applied, nor cause any corrosive effect on metal to which it is applied. Ensure that any solvent dispersing medium or volatile component of the adhesive has no objectionable odor and does not contain any benzene or carbon tetrachloride. Ensure dried adhesive does not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive must be nonflammable and fire resistant. Flexible Elastomeric Adhesive: Comply with MIL-A-24179, Type II, Class I. Provide product listed in FM APP GUIDE.

2.2.2 Caulking

ASTM C920, Type S, Grade NS, Class 25, Use A.

2.2.3 Corner Angles

Nominal 0.016 inch aluminum 1 by 1 inch with factory applied kraft backing. Aluminum must be ASTM B209, Alloy 3003, 3105, or 5005.

2.2.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from chilled-water and refrigeration systems. Flexible elastomeric, fabricated fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, use properly installed protective vapor retarder/barriers and vapor stops on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

2.2.5 Finishing Cement

ASTM C450: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must comply with ASTM C795.

2.2.6 Fibrous Glass Cloth and Glass Tape

Provide fibrous glass cloth, with 20X20 maximum mesh size, and glass tape with maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Provide tape consisting of 4 inch wide rolls. Provide Class 3 tape that is 4.5 ounces/square yard. Elastomeric Foam Tape: Black vapor-retarder foam tape with acrylic adhesive containing an anti-microbial additive.

2.2.7 Staples

Outward clinching type ASTM A167, Type 304 or 316 stainless steel.

2.2.8 Jackets

2.2.8.1 Aluminum Jackets

Provide aluminum jackets consisting of corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105. Do not use corrugated aluminum jacket outdoors. Aluminum jacket securing bands must be Type 304 stainless steel, 0.015 inch thick, 1/2 inch wide for pipe under 12 inch diameter and 3/4 inch wide for pipe over 12 inch and larger diameter. Aluminum jacket circumferential seam bands must be 2 by 0.016 inch aluminum matching jacket material. Ensure bands for insulation below ground are 3/4 by 0.020 inch thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, use bands at the circumferential joints that are designed by the manufacturer to seal the joints and hold the jacket in

place.

2.2.8.2 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers must have high impact strength, ultraviolet (UV) resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch.

2.2.8.3 Vapor Barrier/Weatherproofing Jacket

Provide laminated self-adhesive vapor barrier/weatherproofing jacket, greater than 3 plies standard grade, silver, white, black and embossed or greater than 8 ply (minimum 2.9 mils adhesive); with 0.0000 permeability when tested in accordance with ASTM E96/E96M, using the water transmission rate test method; heavy duty, white or natural; and UV resistant. Flexible Elastomeric exterior foam with factory applied, UV Jacket made with a cold weather acrylic adhesive. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and excellent Water Vapor Transmission (WVT) rate.

2.2.8.4 Vapor Barrier/Vapor Retarder

Apply the following criteria to determine which system is required.

a. On ducts, equip piping and equipment operating below 55 degrees F or located outside with a vapor barrier.

b. Install ducts, pipes and equipment that are located inside and that always operate above 55 degrees F with a vapor retarder where required as stated in paragraph VAPOR RETARDER REQUIRED.

2.2.9 Vapor Retarder Required

ASTM C921, Type I, minimum puncture resistance 50 Beach units on all surfaces where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Use jackets on insulation exposed in finished areas that have white finish suitable for painting without sizing. Based on the application, insulation materials that require manufacturer or fabricator applied pipe insulation jackets are cellular glass, when all joints are sealed with a vapor barrier mastic, and mineral fiber. Ensure all non-metallic jackets have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible elastomerics require (in addition to vapor barrier skin) vapor retarder jacketing for high relative humidity and below ambient temperature applications.

2.2.9.1 White Vapor Retarder All Service Jacket (ASJ)

ASJ is for use on hot/cold pipes, ducts, or equipment indoors or outdoors if covered by a suitable protective jacket. Provide product which meets all physical property and performance requirements of ASTM C1136, Type I, except a minimum burst strength of 85 psi. ASTM D2863 Limited Oxygen Index (LOI) is a minimum of 31.

In addition, do not use paper or other moisture-sensitive material for the outer exposed surface or the inner-most surface contacting the insulation. Ensure the outer exposed surface is white and has an

emittance no less than 0.80. Ensure the outer exposed surface is paintable.

2.2.9.2 Vapor Retarder/Vapor Barrier Mastic Coatings

2.2.9.2.1 Vapor Barrier

The vapor barrier must be self adhesive (minimum 2 mils adhesive, 3 mils embossed) greater than 3 plies standard grade, silver, white, black and embossed white jacket for use on hot/cold pipes. Ensure permeability is less than 0.02 when tested in accordance with ASTM E96/E96M. Provide products meeting UL 723 or ASTM E84 flame and smoke requirements and that are UV resistant.

2.2.9.2.2 Vapor Retarder

Provide fire and water resistant vapor retarder coating appropriately selected for either outdoor or indoor service. Color must be white. Ensure the water vapor permeance of the compound is in accordance with ASTM C755, Section 7.2.2, Table 2, for insulation type and service conditions. Provide nonflammable, fire resistant coating. To resist mold/mildew, provide coating meeting ASTM D5590 with 0 growth rating. Ensure coating meets MIL-PRF-19565 Type II (if selected for indoor service) and is Qualified Products Database listed. Determine all other application and service properties pursuant to ASTM C647.

2.2.9.3 Laminated Film Vapor Retarder

ASTM C1136, Type I, maximum moisture vapor transmission 0.02 perms, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork; where Type II, maximum moisture vapor transmission 0.02 perms, a minimum puncture resistance of 25 Beach units is acceptable. Provide vapor retarder with a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible Elastomeric exterior foam with factory applied UV Jacket. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and an excellent WVT rate.

2.2.9.4 Polyvinylidene Chloride (PVDC) Film Vapor Retarder

Provide PVDC film vapor retarder with a maximum moisture vapor transmission of 0.02 perms, minimum puncture resistance of 150 Beach units, a minimum tensile strength in any direction of 30 lb/inch when tested in accordance with ASTM D882, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.2.9.5 Polyvinylidene Chloride Vapor Retarder Adhesive Tape

Requirements must meet the same as specified for Laminated Film Vapor Retarder above.

2.2.9.6 Vapor Barrier/Weather Barrier

Ensure the vapor barrier is greater than 3 ply self adhesive laminate -white vapor barrier jacket- superior performance (less than 0.0000 permeability when tested in accordance with ASTM E96/E96M). Provide vapor barrier meeting UL 723 or ASTM E84 25 flame and 50 smoke requirements; and UV resistant. Minimum burst strength 185 psi in accordance with

TAPPI T403 OM . Tensile strength 68 lb/inch width (PSTC-1000). Provide tape as specified for laminated film vapor barrier above.

2.2.10 Vapor Retarder Not Required

ASTM C921, Type II, Class D, minimum puncture resistance 50 Beach units on all surfaces except ductwork, where Type IV, maximum moisture vapor transmission 0.10, a minimum puncture resistance of 25 Beach units is acceptable. Provide jacket with a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.2.11 Wire

Soft annealed ASTM A580/A580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

2.2.12 Insulation Bands

Provide 1/2 inch wide; 26 gauge stainless steel insulation bands.

2.2.13 Sealants

Choose sealants from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Provide sealants with a maximum permeance of 0.02 perms based on Procedure B for ASTM E96/E96M, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.3 PIPE INSULATION SYSTEMS

Conform insulation materials to Table 1 and minimum insulation thickness as listed in Table 2 and meet or exceed the requirements of ASHRAE 90.1 - SI. Limit pipe insulation materials to those listed herein and meeting the following requirements:

2.3.1 Recycled Materials

Provide insulation materials containing the following minimum percentage of recycled material content by weight:

Rock Wool: 75 percent slag of weight
Fiberglass: 20 percent glass cullet
Rigid Foam: 9 percent recovered material
Phenolic Rigid Foam: 9 percent recovered material

Provide data identifying percentage of recycled content for insulation materials.

2.3.2 Aboveground Cold Pipeline (-30 to 60 deg. F)

Provide insulation for outdoor, indoor, exposed or concealed applications, as follows:

2.3.2.1 Cellular Glass

ASTM C552, Type II, and Type III. Supply the insulation from the fabricator with (paragraph WHITE VAPOR RETARDER ALL SERVICE JACKET (ASJ)) ASJ vapor retarder and installed with all longitudinal overlaps sealed and all circumferential joints ASJ taped or supply the insulation unfaced from

the fabricator and install with all longitudinal and circumferential joints sealed with vapor barrier mastic.

2.3.2.2 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II. Type I, Grade 1 for tubular materials. Type II, Grade 1, for sheet materials. Ensure Type I and II have vapor retarder/vapor barrier skin on one or both sides of the insulation, and require an additional exterior vapor retarder covering for high relative humidity and below ambient temperature applications.

2.3.2.3 Mineral Fiber Insulation with Integral Wicking Material (MFIWM)

ASTM C547. Install in accordance with manufacturer's instructions. Do not use in applications exposed to outdoor ambient conditions in climatic zones 1 through 4.

2.3.2.4 Polyisocyanurate Insulation

ASTM C591, Type I. Supply the insulation with a factory applied vapor retarder/barrier that complies with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. The insulation and all covering must pass the flame spread index of 25 and the smoke developed index of 50 when tested in accordance with ASTM E84.

2.3.3 Aboveground Hot Pipeline (Above 60 deg. F)

Provide insulation for outdoor, indoor, exposed or concealed applications meeting the following requirements. Supply the insulation with manufacturer's recommended factory-applied jacket/vapor barrier.

2.3.3.1 Mineral Fiber

ASTM C547, Types I, II or III, supply the insulation with manufacturer's recommended factory-applied jacket.

2.3.3.2 Calcium Silicate

ASTM C533, Type I indoor only, or outdoors above 250 degrees F pipe temperature. Supply insulation with the manufacturer's recommended factory-applied jacket/vapor barrier.

2.3.3.3 Cellular Glass

ASTM C552, Type II and Type III. Supply the insulation with manufacturer's recommended factory-applied jacket.

2.3.3.4 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II to 220 degrees F service. Type I for tubular materials. Type II for sheet materials.

2.3.3.5 Phenolic Insulation

ASTM C1126 Type III to 250 degrees F service must comply with ASTM C795.

Supply the insulation with manufacturer's recommended factory-applied jacket/vapor barrier.

2.3.3.6 Perlite Insulation

ASTM C610

2.3.3.7 Polyisocyanurate Insulation

ASTM C591, Type I. Supply the insulation with a factory applied vapor retarder/barrier that complies with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. The insulation and all covering must pass the flame spread index of 25 and the smoke developed index of 50 when tested in accordance with ASTM E84.

2.3.4 Aboveground Dual Temperature Pipeline

Select insulation for use over a dual temperature pipeline system (Outdoor, Indoor - Exposed or Concealed) in accordance with the most limiting/restrictive case. Find an allowable material from paragraph PIPE INSULATION MATERIALS and determine the required thickness from the most restrictive case. Use the thickness listed in paragraphs INSULATION THICKNESS for cold & hot pipe applications.

2.3.5 Below-ground Pipeline Insulation

For below-ground pipeline insulation, use cellular glass, ASTM C552, type II.

2.4 EQUIPMENT INSULATION SYSTEMS

Insulate equipment and accessories as specified in Tables 5 and 6. In outside locations, provide insulation 1/2 inch thicker than specified. Increase the specified insulation thickness for equipment where necessary to equal the thickness of angles or other structural members to make a smooth, exterior surface. Submit a booklet containing manufacturer's published installation instructions for the insulation systems. The instructions must be copyrighted, have an identifying or publication number, and have been published prior to the issuance date of this solicitation. A booklet is also required by paragraphs titled: Pipe Insulation Systems and Duct Insulation Systems.

PART 3 EXECUTION

3.1 APPLICATION - GENERAL

Apply insulation to unheated and uncooled piping and equipment. Do not compress flexible elastomeric cellular insulation at joists, studs, columns, ducts, and hangers. The insulation must not pull apart after a one hour period; replace any insulation found to pull apart after one hour.

3.1.1 Display Samples

Submit and display, after approval of materials, actual sections of installed systems, properly insulated in accordance with the specification requirements. Such actual sections must remain accessible to inspection throughout the job and will be reviewed from time to time for controlling the quality of the work throughout the construction site. Identify each material used by indicating on an attached sheet the specification

requirement for the material and the material by each manufacturer intended to meet the requirement. The Contracting Officer will inspect display sample sections at the jobsite. Keep approved display sample sections on display at the jobsite during the construction period. Upon completion of construction, the display sample sections will be closed and sealed.

3.1.1.1 Pipe Insulation Display Sections

Include as a minimum an elbow or tee, a valve, dielectric waterways and flanges, a hanger with protection shield and insulation insert, or dowel as required, at support point, method of fastening and sealing insulation at longitudinal lap, circumferential lap, butt joints at fittings and on pipe runs, and terminating points for each type of pipe insulation used on the job, and for hot pipelines and cold pipelines, both interior and exterior, even when the same type of insulation is used for these services.

3.1.1.2 Duct Insulation Display Sections

Display sample sections for rigid and flexible duct insulation used on the job. Use a temporary covering to enclose and protect display sections for duct insulation exposed to weather

3.1.2 Installation

Except as otherwise specified, install material in accordance with the manufacturer's written instructions. Do not apply insulation materials until tests and heat tracing specified in other sections of this specification are completed. Remove material such as rust, scale, dirt and moisture from surfaces to receive insulation. Keep insulaton clean and dry. Do not remove insulation from its shipping containers until the day it is ready to use and return to like containers or equally protect from dirt and moisture at the end of each workday. Thoroughly clean insulation that becomes dirty prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, reject the insulation, and immediately remove from the jobsite. Stagger joints on multi layer insulation. Mix mineral fiber thermal insulating cement with demineralized water when used on stainless steel surfaces. Install insulation, jacketing and accessories in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

3.1.3 Firestopping

Where pipes and pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, seal the penetration with fire stopping materials as specified in Section 07 84 00.00 22 FIRESTOPPING. The protection of ducts at point of passage through firewalls must be in accordance with NFPA 90A and/or NFPA 90B. All other penetrations, such as piping, conduit, and wiring, through firewalls must be protected with a material or system of the same hourly rating that is listed by UL, FM, or a NRTL.

3.1.4 Painting and Finishing

Paint as specified in Section 09 90 00 PAINTS AND COATINGS.

3.1.5 Installation of Flexible Elastomeric Cellular Insulation

Install flexible elastomeric cellular insulation with seams and joints sealed with rubberized contact adhesive. Do not use flexible elastomeric cellular insulation on surfaces greater than 220 degrees F. Stagger seams when applying multiple layers of insulation. Protect insulation exposed to weather and not shown to have vapor barrier weatherproof jacketing with two coats of UV resistant finish or PVC or metal jacketing as recommended by the manufacturer after the adhesive is dry and cured.

3.1.5.1 Adhesive Application

Apply a brush coating of adhesive to both butt ends to be joined and to both slit surfaces to be sealed. Allow the adhesive to set until dry to touch but tacky under slight pressure before joining the surfaces. Ensure insulation seals at seams and joints are not capable of being pulled apart one hour after application. Replace insulation that can be pulled apart one hour after installation.

3.1.5.2 Adhesive Safety Precautions

Use natural cross-ventilation, local (mechanical) pickup, and/or general area (mechanical) ventilation to prevent an accumulation of solvent vapors, keeping in mind the ventilation pattern must remove any heavier-than-air solvent vapors from lower levels of the workspaces. Gloves and spectacle-type safety glasses are recommended in accordance with safe installation practices.

3.1.6 Welding

Welding is not permitted on piping, or equipment without written approval of the Contracting Officer. .

3.1.7 Pipes/Equipment That Require Insulation

Insulation is required on all pipes, or equipment, except for omitted items as specified.

3.2 PIPE INSULATION SYSTEMS INSTALLATION

3.2.1 Pipe Insulation

3.2.1.1 General

Install pipe insulation on aboveground hot and cold pipeline systems as specified below to form a continuous thermal retarder/barrier, including straight runs, fittings and appurtenances unless specified otherwise. Install full length units of insulation using a single cut piece to complete a run. Do not use cut pieces or scraps abutting each other. Omit pipe insulation on the following:

a. Pipe used solely for fire protection.

b. Chromium plated pipe to plumbing fixtures. However, for fixtures used by the physically handicapped, insulate the hot water supply and drain, including the trap, where exposed.

c. Sanitary drain lines.

d. Air chambers.

e. Adjacent insulation.

f. ASME stamps.

g. Access plates of fan housings.

h. Cleanouts or handholes.

3.2.1.2 Pipes Passing Through Walls, Roofs, and Floors

Provide continuous pipe insulation through the sleeve.

Provide an aluminum jacket or vapor barrier/weatherproofing self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 ply standard grade, silver, white, black and embossed with factory applied moisture retarder over the insulation wherever penetrations require sealing.

3.2.1.2.1 Penetrate Interior Walls

Provide aluminum jacket or vapor barrier/weatherproofing - self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 plies standard grade, silver, white, black and embossed which extends 2 inches beyond either side of the wall and secure on each end with a band.

3.2.1.2.2 Penetrating Floors

Extend the aluminum jacket from a point below the backup material to a point 10 inches above the floor with one band at the floor and one not more than 1 inch from the end of the aluminum jacket.

3.2.1.2.3 Penetrating Waterproofed Floors

Extend the aluminum jacket rom below the backup material to a point 2 inches above the flashing with a band 1 inch from the end of the aluminum jacket.

3.2.1.2.4 Penetrating Exterior Walls

Continue the aluminum jacket required for pipe exposed to weather through the sleeve to a point 2 inches beyond the interior surface of the wall.

3.2.1.2.5 Penetrating Roofs

Insulate pipe as required for interior service to a point flush with the top of the flashing and sealed with flashing sealant. Tightly butt the insulation for exterior application to the top of flashing and interior insulation. Extend the exterior aluminum jacket 2 inches down beyond the end of the insulation to form a counter flashing. Seal the flashing and counter flashing underneath with metal jacketing/flashing sealant.

3.2.1.2.6 Hot Water Pipes Supplying Lavatories or Other Similar Heated Service

Terminate the insulation on the backside of the finished wall. Protect

the insulation termination with two coats of vapor barrier coating with a minimum total thickness of 1/16 inch applied with glass tape embedded between coats (if applicable). Extend the coating out onto the insulation 2 inches and seal the end of the insulation. Overlap glass tape seams 1 inch. Caulk the annular space between the pipe and wall penetration with approved fire stop material. Cover the pipe and wall penetration with a properly sized (well fitting) escutcheon plate. Ensure the escutcheon plate overlaps the wall penetration at least 3/8 inches.

3.2.1.2.7 Domestic Cold Water Pipes Supplying Lavatories or Other Similar Cooling Service

Terminate the insulation on the finished side of the wall (i.e., insulation must cover the pipe throughout the wall penetration). Protect the insulation with two coats of weather barrier mastic (breather emulsion type weatherproof mastic impermeable to water and permeable to air) with a minimum total thickness of 1/16 inch. Extend the mastic out onto the insulation 2 inches and seal the end of the insulation. The annular space between the outer surface of the pipe insulation and caulk the wall penetration with an approved fire stop material having vapor retarder properties. Cover the pipe and wall penetration with a properly sized (well fitting) escutcheon plate. Ensure the escutcheon plate overlaps the wall penetration by at least 3/8 inches.

3.2.1.3 Pipes Passing Through Hangers

Ensure insulation, whether hot or cold application, is continuous through hangers. Support all horizontal pipes 2 inches and smaller on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with MSS SP-58. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near the support shield, install insulation inserts as specified below for piping larger than 2 inches, or factory insulated hangers (designed with a load bearing core) can be used.

3.2.1.3.1 Horizontal Pipes Larger Than 2 Inches at 60 Degrees F and Above

Supported on hangers in accordance with MSS SP-58, and Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.2.1.3.2 Horizontal Pipes Larger Than 2 Inches and Below 60 Degrees F

Supported on hangers with the addition of a Type 40 protection shield in accordance with MSS SP-58. Install an insulation insert of cellular glass, prefabricated insulation pipe hangers, or perlite above 80 degrees F above each shield. Ensure insert covers no less than the bottom 180-degree arc of the pipe. Provide inserts that are the same thickness as the insulation, and extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the weight of the pipe from crushing the insulation, as an option to installing insulation inserts. Ensure the insulation jacket is continuous over the wooden dowel, wooden block, or insulation insert.

3.2.1.3.3 Vertical Pipes

Supported with either Type 8 or Type 42 riser clamps with the addition of two Type 40 protection shields in accordance with MSS SP-58 covering the

360-degree arc of the insulation. Install an insulation insert of cellular glass or calcium silicate between each shield and the pipe. Ensure the insert covers the 360-degree arc of the pipe. Provide inserts that are the same thickness as the insulation, and extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the hanger from crushing the insulation, as an option instead of installing insulation inserts. Ensure the insulation jacket is continuous over the wooden dowel, wooden block, or insulation insert. Support the vertical weight of the pipe with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, support the weight of the pipe additionally with hangers in the vertical run of the pipe that are directly clamped to the pipe, penetrating the pipe insulation. Use insulated hangers and seal the insulation jacket as indicated herein for anchors in a similar service.

3.2.1.3.4 Inserts

Covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, overlap the adjoining pipe jacket 1-1/2 inches, and seal as required for the pipe jacket. Use jacket material to cover inserts in flexible elastomeric cellular insulation conforming to ASTM C1136, Type 1, and is allowed to be of a different material than the adjoining insulation material.

3.2.1.4 Flexible Elastomeric Cellular Pipe Insulation

Use tubular form flexible elastomeric cellular pipe insulation for pipe sizes 6 inches and less. Grade 1, Do not stretch Type II sheet insulation used on pipes larger than 6 inches around the pipe. On pipes larger than 12 inches, adhere the insulation directly to the pipe on the lower 1/3 of the pipe. Stagger seams when applying multiple layers of insulation. Insulate sweat fittings with miter-cut pieces the same size as on adjacent piping. Insulate screwed fittings with sleeved fitting covers fabricated from miter-cut pieces and overlap and seal to the adjacent pipe insulation. Type II requires an additional exterior vapor retarder/barrier covering for high relative humidity and below ambient temperature applications.

3.2.1.5 Pipes in high abuse areas.

In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, utilize welded PVC , aluminum or flexible laminate cladding (comprised of elastomeric, plastic or metal foil laminate) laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket, - less than 0.0000 permeability; (greater than 3 ply, standard grade, silver, white, black and embossed) aluminum jackets. Protect pipe insulation to the 6 foot level.

3.2.1.6 Pipe Insulation Material and Thickness

Pipe insulation materials must be as listed in Table 1 and must meet or exceed the requirements of.

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
Chilled Water (Supply & Return, Dual Temperature Piping, 40 F nominal)					
	Cellular Glass	ASTM C552	II	2	Yes
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		Yes
	Mineral Fiber with Wicking MaterialDo not use in applications exposed to outdoor ambient conditions in climatic zones 1 through 4.	ASTM C547	I		Yes
Heating Hot Water Supply & Return, Heated Oil (Max 250 F)					
	Mineral Fiber	ASTM C547	I	1	No
	Calcium Silicate	ASTM C533	I		No
	Cellular Glass	ASTM C552	II	2	No
	Faced Phenolic Foam	ASTM C1126	III		Yes
	Perlite	ASTM C610			No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I	2	No
Cold Domestic Water Piping, Makeup Water & Drinking Fountain Drain Piping					
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Hot Domestic Water Supply & Recirculating Piping (Max 200 F)					
	Mineral Fiber	ASTM C547	I	1	No
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
	Faced Phenolic Foam	ASTM C1126	III		Yes
Refrigerant Suction Piping (35 degrees F nominal)					
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
	Cellular Glass	ASTM C552	II	1	Yes
Compressed Air Discharge, Steam and Condensate Return (201 to 250 Degrees F					
	Cellular Glass	ASTM C552	II		No

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
	Mineral Fiber	ASTM C547	I	1	No
	Calcium Silicate	ASTM C533	I		No
	Faced Phenolic Foam	ASTM C1126	III		Yes
	Perlite	ASTM C610			No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I	2	No
Exposed Lavatory Drains, Exposed Domestic Water Piping & Drains to Areas for Handicapped Personnel					
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Horizontal Roof Drain Leaders (Including Underside of Roof Drain Fittings)					
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
	Faced Phenolic Foam	ASTM C1126	III		Yes
	Cellular Glass	ASTM C552	III		Yes
Condensate Drain Located Inside Building					
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Medium Temperature Hot Water, Steam and Condensate (251 to 350 Degrees F)					
	Mineral Fiber	ASTM C547	I	1	No
	Calcium Silicate	ASTM C533	I		No
	Cellular Glass	ASTM C552	I or II		No
	Perlite	ASTM C610			No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I	2	No
High Temperature Hot Water & Steam (351 to 700 Degrees F)					
	Mineral Fiber	ASTM C547	I	2	No
	Calcium Silicate	ASTM C533	I		No
	Perlite	ASTM C610			No
	Cellular Glass	ASTM C552			No
Brine Systems Cryogenics (-30 to 0 Degrees F)					

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Brine Systems Cryogenics (0 to 34 Degrees F)					
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Note: VR/VB = Vapor Retarder/Vapor Barrier					

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
Chilled Water (Supply & Return, Dual Temperature Piping, 40 Degrees F nominal)						
	Cellular Glass	1.5	2	2	2.5	3
	Mineral Fiber with Wicking Material	1	1.5	1.5	2	2
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Chilled Water (Supply & Return, Dual Temperature Piping, 40 Degrees F nominal)						
	Cellular Glass	1.5	1.5	1.5	1.5	2
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
	Mineral Fiber with Wicking Material	1	1.5	1.5	2	2
Heating Hot Water Supply & Return, Heated Oil (Max 250 F)						

TABLE 2

Piping Insulation Thickness (inch)
Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.

Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
	Mineral Fiber	1.5	1.5	2	2	2
	Calcium Silicate	2.5	2.5	3	3	3
	Cellular Glass	2	2.5	3	3	3
	Perlite	2.5	2.5	3	3	3
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Cold Domestic Water Piping, Makeup Water & Drinking Fountain Drain Piping						
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Hot Domestic Water Supply & Recirculating Piping (Max 200 F)						
	Mineral Fiber	1	1	1	1.5	1.5
	Cellular Glass	1.5	1.5	1.5	2	2
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Refrigerant Suction Piping (35 degrees F nominal)						
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
Compressed Air Discharge, Steam and Condensate Return (201 to 250 Degrees F						
	Mineral Fiber	1.5	1.5	2	2	2
		1.5*	2*	2.5*	3*	3.5*
	Calcium Silicate	2.5	3	4	4	4.5

TABLE 2

Piping Insulation Thickness (inch)
Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.

Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
	Cellular Glass	2	2.5	3	3	3
	Perlite	2.5	3	4	4	4.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Exposed Lavatory Drains, Exposed Domestic Water Piping & Drains to Areas for Handicapped Personnel						
	Flexible Elastomeric Cellular	0.5	0.5	0.5	0.5	0.5
Horizontal Roof Drain Leaders (Including Underside of Roof Drain Fittings)						
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
	Faced Phenolic Foam	1	1	1	1	1
Condensate Drain Located Inside Building						
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Medium Temperature Hot Water, Steam and Condensate (251 to 350 Degrees F)						
	Mineral Fiber	1.5	3	3	4	4
		2.5*	*	3.5*		
	Calcium Silicate	2.5	3.5	4.5	4.5	5
	Perlite	2.5	3.5	4.5	4.5	5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
High Temperature Hot Water & Steam (351 to 700 Degrees F)						

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
	Mineral Fiber	2.5	3	3	4	4
	Calcium Silicate	4	4.5	6	6	6
	Perlite	4	4.5	6	6	6
Brine Systems Cryogenics (-30 to 0 Degrees F)						
	Cellular Glass	2.5	2.5	3	3	3.5
	Flexible Elastomeric Cellular	1	1	N/A	N/A	N/A
Brine Systems Cryogenics (0 to 34 Degrees F)						
	Cellular Glass	2	2	2	2.5	3
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A

3.2.2 Aboveground Cold Pipelines

Insulate the following cold pipelines for minus 30 to plus 60 degrees F in accordance with Table 2 except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted. This includes but is not limited to the following:

a. Make-up water.

b. Horizontal and vertical portions of interior roof drains.

c. Refrigerant suction lines.

d. Chilled water.

e. Dual temperature water, i.e. HVAC hot/chilled water.

f. Air conditioner condensate drains.

g. Brine system cryogenics

h. Exposed lavatory drains and domestic water lines serving plumbing

fixtures for handicap persons.

i. Domestic cold and chilled drinking water.

3.2.2.1 Insulation Material and Thickness

Determine insulation thickness for cold pipelines using Table 2.

3.2.2.2 Factory or Field applied Jacket

Cover insulation with a factory applied vapor retarder jacket/vapor barrier or field applied seal welded PVC jacket or greater than 3 ply laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability, standard grade, sliver, white, black and embossed for use with Mineral Fiber, Cellular Glass, and Phenolic Foam Insulated Pipe. For insulation inside the building, to be protected with an aluminum jacket or greater than 3 ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, Embossed Silver, White & Black, install the insulation and vapor retarder jacket as specified herein. Install the aluminum jacket or greater than 3 ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, embossed silver, White & Black, as specified for piping exposed to weather, except sealing of the laps of the aluminum jacket is not required. In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, provide aluminum jackets or greater than 3 ply vapor barrier/weatherproofing self-adhesive (minimum 2 mils adhesive, 3 mils embossed) product, less than 0.0000 permeability, standard grade, embossed silver, white & black, for pipe insulation to the 6 ft level.

3.2.2.3 Installing Insulation for Straight Runs Hot and Cold Pipe

Apply insulation to the pipe with tight butt joints. Seal all butted joints and ends with joint sealant and seal with a vapor retarder coating, greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape or PVDC adhesive tape.

3.2.2.3.1 Longitudinal Laps of the Jacket Material

Overlap not less than 1-1/2 inches. Provide butt strips 3 inches wide for circumferential joints.

3.2.2.3.2 Laps and Butt Strips

Secure with adhesive and staple on 4 inch centers if not factory self-sealing. If staples are used, seal in accordance with paragraph STAPLES below. Note that staples are not required with cellular glass systems.

3.2.2.3.3 Factory Self-Sealing Lap Systems

May be used when the ambient temperature is between 40 and 120 degrees F during installation. Install the lap system in accordance with manufacturer's recommendations. Use a stapler only if specifically recommended by the manufacturer. Where gaps occur, replace the section or repair the gap by applying adhesive under the lap and then stapling.

3.2.2.3.4 Staples

Coat all staples, including those used to repair factory self-seal lap systems, with a vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - 0.0000 perm adhesive tape. Coat all seams, except those on factory self-seal systems, with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape.

3.2.2.3.5 Breaks and Punctures in the Jacket Material

Patch by wrapping a strip of jacket material around the pipe and secure it with adhesive, staple, and coat with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape. Extend the patch not less than 1-1/2 inches past the break.

3.2.2.3.6 Penetrations Such as Thermometers

Fill the voids in the insulation and seal with vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape.

3.2.2.3.7 Flexible Elastomeric Cellular Pipe Insulation

Install by slitting the tubular sections and applying them onto the piping or tubing. Alternately, whenever possible slide un-slit sections over the open ends of piping or tubing. Secure all seams and butt joints and seal with adhesive. When using self seal products, secure only the butt joints with adhesive. Push insulation on the pipe, never pulled. Stretching of insulation may result in open seams and joints. Clean cut all edges. Rough or jagged edges of the insulation are not be permitted. Use proper tools such as sharp knives. Do not stretch Grade 1, Type II sheet insulation around the pipe when used on pipe larger than 6 inches. On pipes larger than 12 inches, adhere sheet insulation directly to the pipe on the lower 1/3 of the pipe.

3.2.2.4 Insulation for Fittings and Accessories

a. Butt pipe insulation tightly to the insulation of the fittings and accessories. Seal the butted joints and ends with joint sealant and seal with a vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape.

b. Place precut or preformed insulation around all fittings and accessories and conform to MICA plates except as modified herein: 5 for anchors; 10, 11, and 13 for fittings; 14 for valves; and 17 for flanges and unions. Insulation must be the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity. Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Use insulation of the same thickness and conductivity as the adjoining pipe insulation. If nesting size insulation is used, overlap the insulation 2 inches or one pipe diameter. Elbows insulated using segments must conform to MICA Tables 12.20 "Mitered Insulation Elbow'. Submit a booklet containing completed MICA Insulation Stds plates detailing each insulating system for each pipe, or equipment insulating system, after approval of materials and prior to applying insulation.

(1) Ensure MICA plates detail the materials to be installed and the specific insulation application. Submit all MICA plates required showing the entire insulating system, including plates required to show insulation penetrations, vessel bottom and top heads, legs, and skirt insulation as applicable. Present all variations of insulation systems including locations, materials, vaporproofing, jackets and insulation accessories.

(2) If the Contractor elects to submit detailed drawings instead of edited MICA Plates, ensure the detail drawings are technically equivalent to the edited MICA Plate submittal.

c. Upon completion of insulation installation on flanges, unions, valves, anchors, fittings and accessories, terminations, seams, joints and insulation not protected by factory vapor retarder jackets or PVC fitting covers must be protected with PVDC or greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape or two coats of vapor retarder coating with a minimum total thickness of 1/16 inch, applied with glass tape embedded between coats. Overlap tap seams 1 inch. Extend the coating out onto the adjoining pipe insulation 2 inches. Protect fabricated insulation with a factory vapor retarder jacket with either greater than 3 ply laminate jacket - less than 0.0000 perm adhesive tape, standard grade, silver, white, black and embossed or PVDC adhesive tape or two coats of vapor retarder coating with a minimum thickness of 1/16 inch and with a 2 inch wide glass tape embedded between coats. Where fitting insulation butts to pipe insulation, seal the joints with a vapor retarder coating and a 4 inch wide ASJ tape which matches the jacket of the pipe insulation.

d. Insulate anchors attached directly to the pipe for a sufficient distance to prevent condensation but no less than 6 inches from the insulation surface.

e. Mark insulation to show the location of unions, strainers, and check valves.

3.2.2.5 Optional PVC Fitting Covers

At the option of the Contractor, premolded, one or two piece PVC fitting covers may be used in lieu of the vapor retarder and embedded glass tape. Use factory precut or premolded insulation segments under the fitting covers for elbows. Use insulation segmentswhich are the same insulation as the pipe insulation including same density, thickness, and thermal conductivity. Secure the covers by PVC vapor retarder tape, adhesive, seal welding or with tacks made for securing PVC covers. Seal seams in the cover, and tacks and laps to adjoining pipe insulation jacket, with vapor retarder tape to ensure that the assembly has a continuous vapor seal.

3.2.3 Aboveground Hot Pipelines

3.2.3.1 General Requirements

Insulate all hot pipe lines above 60 degrees F, except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted, in accordance with Table 2. This includes but is not limited to the following:

a. Domestic hot water supply & re-circulating system.

b. Steam.

c. Condensate & compressed air discharge.

d. Hot water heating.

e. Heated oil.

f. Water defrost lines in refrigerated rooms.

Cover insulation, in accordance with manufacturer's recommendations, with a factory applied Type I jacket or field applied aluminum where required or seal welded PVC.

3.2.3.2 Insulation for Fittings and Accessories

Butt pipe insulation tightly to the insulation of the fittings and accessories. Seal butted joints and ends with joint sealant. Mark insulation to show the location of unions, strainers, check valves and other components that would otherwise be hidden from view by the insulation.

3.2.3.2.1 Precut or Preformed

Place precut or preformed insulation around all fittings and accessories. Use the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity.

3.2.3.2.2 Rigid Preformed

Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Use insulation that is the same thickness and conductivity as the adjoining pipe insulation. If nesting size insulation is used, do not overlap insulation 2 inches or one pipe diameter. Elbows insulated using segments must conform to MICA Tables 12.20 "Mitered Insulation Elbow".

3.2.4 Piping Exposed to Weather

Insulate and jacket piping exposed to weather as specified for the applicable service inside the building. After this procedure, apply a laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability (greater than 3 ply, standard grade, silver, white, black and embossed aluminum jacket, stainless steel or PVC jacket.

PVC jacketing requires no factory-applied jacket beneath it, however apply an all service jacket if factory applied jacketing is not furnished. Treat flexible elastomeric cellular insulation exposed to weather in accordance with paragraph INSTALLATION OF FLEXIBLE ELASTOMERIC CELLULAR INSULATION in PART 3.

3.2.4.1 Aluminum Jacket

The jacket for hot piping may be factory applied. Overlap the jacket no less than 2 inches at longitudinal and circumferential joints and secure with bands at no more than 12 inch centers. Overlap longitudinal joints down to shed water and locate at 4 or 8 o'clock positions. Seal joints on

piping 60 degrees F and below with metal jacketing/flashing sealant while overlapping to prevent moisture penetration. Where jacketing on piping 60 degrees F and below abuts an un-insulated surface, caulk joints to prevent moisture penetration. Seal joints on piping above 60 degrees F with a moisture retarder.

3.2.4.2 Insulation for Fittings

Insulate and finish flanges, unions, valves, fittings, and accessories as specified for the applicable service. Apply two coats of breather emulsion type weatherproof mastic (impermeable to water, permeable to air) recommended by the insulation manufacturer with glass tape embedded between coats. Overlap tap no less than 1 inch and the adjoining aluminum jacket no less than 2 inches. Factory preformed aluminum jackets may be used in lieu of the above. Provide molded PVC fitting covers when PVC jackets are used for straight runs of pipe. Provide PVC fitting covers that have adhesive welded joints and are weatherproof laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed, and UV resistant.

3.2.4.3 PVC Jacket

Provide ultraviolet resistant PVC jacket that is adhesive welded weather tight with manufacturer's recommended adhesive. Include provision for thermal expansion.

3.2.4.4 Stainless Steel Jackets

ASTM A167 or ASTM A240/A240M; Type 304, minimum thickness of 33 gauge (0.010 inch), smooth surface with factory-applied polyethylene and kraft paper moisture barrier on inside surface. Provide stainless steel bands, minimum width of 1/2 inch.

3.2.5 Below Ground Pipe Insulation

Insulate below ground pipes in accordance with Table 2, except as precluded in subparagraph Pipe Insulation in PART 3. This includes, but is not limited to the following:

a. Heated oil.

b. Domestic hot water.

c. Heating hot water.

d. Dual temperature water.

e. Steam.

f. Condensate.

3.2.5.1 Type of Insulation

Insulate below ground pipe with Cellular Glass insulation, in accordance with manufacturer's instructions for application with thickness as determined from Table 2 (whichever is the most restrictive).

3.2.5.2 Installation of Below ground Pipe Insulation

a. Coat bore surfaces of the insulation with a thin coat of gypsum cement of a type recommended by the insulation manufacturer. Ensure coating thickness is sufficient to fill surface cells of insulation. Do not use mastic type materials for this coating. Note that unless this is for a cyclic application (i.e., one that fluctuates between high and low temperature on a daily process basis) there is no need to bore coat the material.

b. Use stainless steel bands, 3/4 inch wide by 0.020 inch thick to secure insulation in place. Apply a minimum of two bands per section of insulation. As an alternate, fiberglass reinforced tape may be used to secure insulation on piping up to 12 inches in diameter. Apply a minimum of two bands per section of insulation.

c. Terminate insulation at anchor blocks but continue through sleeves and manholes.

d. At point of entry to buildings, terminate underground insulation 2 inches inside the wall or floor, butt tightly against the aboveground insulation and seal the butt joint with high temperature silicone sealant and cover with fibrous glass tape.

e. Make provision for expansion and contraction of the insulation system in accordance with the insulation manufacturer's recommendations.

f. Insulate flanges, couplings, valves, and fittings with factory pre-molded, prefabricated, or field-fabricated sections of insulation of the same material and thickness as the adjoining pipe insulation. Secure insulation sections as recommended by the manufacturer.

g. Finish insulation, including fittings, with three coats of asphaltic mastic, with 6 by 5.5 mesh synthetic reinforcing fabric embedded between coats. Overlap fabric a minimum of 2 inches at joints. Ensure total film thickness is a minimum of 3/16 inch. As an alternate, apply a prefabricated bituminous laminated jacket, reinforced with internal reinforcement mesh, to the insulation. Use jacketing material and application procedures that match manufacturer's written instructions. Vapor barrier - less than 0.0000 permeability self adhesive (minimum 2 mils adhesive, 3 mils embossed) jacket greater than 3 ply, standard grade, silver, white, black and embossed or greater than 8 ply (minimum 2.9 mils adhesive), heavy duty, white or natural). Use application procedures that match the manufacturer's written instructions.

h. At termination points, other than building entrances, use mastic and cloth or tape to cover the ends of insulation and extend 2 inches along the bare pipe.

3.3 EQUIPMENT INSULATION SYSTEMS INSTALLATION

3.3.1 General

Provide removable insulation sections to cover parts of equipment that must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Omit equipment insulation on the following:

a. Hand-holes.

b. Boiler manholes.

c. Cleanouts.

d. ASME stamps.

e. Manufacturer's nameplates.

f. Duct Test/Balance Test Holes.

3.3.2 Insulation for Cold Equipment

Cold equipment below 60 degrees F: Furnish insulation on equipment handling media below 60 degrees F including the following:

a. Pumps.

b. Refrigeration equipment parts that are not factory insulated.

c. Drip pans under chilled equipment.

d. Cold water storage tanks.

e. Water softeners.

f. Duct mounted coils.

g. Cold and chilled water pumps.

h. Pneumatic water tanks.

i. Roof drain bodies.

j. Air handling equipment parts that are not factory insulated.

k. Expansion and air separation tanks.

3.3.2.1 Insulation Type

Provide insulation suitable for the temperature encountered. Provide material and thicknesses as shown in Table 5:

TABLE 5		
Insulation Thickness for Cold Equipment (inches)		
Equipment handling media at indicated temperature		
	Material	Thickness (inches)
35 to 60 degrees F		
	Cellular Glass	1.5

TABLE 5		
Insulation Thickness for Cold Equipment (inches)		
Equipment handling media at indicated temperature		
	Material	Thickness (inches)
	Flexible Elastomeric Cellular	1
1 to 34 degrees F		
	Cellular Glass	3
	Flexible Elastomeric Cellular	1.5
Minus 30 to 0 degrees F		
	Cellular Glass	3.5
	Flexible Elastomeric Cellular	1.75

3.3.2.2 Pump Insulation

a. Insulate pumps by forming a box around the pump housing. Construct the box by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Join joints between sides and between sides and bottom by adhesive with lap strips for rigid mineral fiber and contact adhesive for flexible elastomeric cellular insulation. Ensure box conforms to the requirements of MICA Insulation Stds plate No. 49 when using flexible elastomeric cellular insulation. Ensure joints between top cover and sides fit tightly forming a female shiplap joint on the side pieces and a male joint on the top cover, thus making the top cover removable.

b. Protect exposed insulation corners with corner angles.

c. Upon completion of installation of the insulation, including removable sections, apply two coats of vapor retarder coating with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch. Provide a parting line between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. Apply flashing sealant to parting line, between equipment and removable section insulation, and at all penetrations.

3.3.2.3 Other Equipment

a. Form or fabricate insulation to fit the equipment. To ensure a tight fit on round equipment, bevel edges and tightly butt and stagger joints.

b. Secure insulation in place with bands or wires at intervals as recommended by the manufacturer but no more than 12 inch centers except adhere flexible elastomeric cellular with contact adhesive. Protect insulation corners under wires and bands with suitable corner angles.

c. Install cellular glass in accordance with manufacturer's instructions. Seal joints and ends with joint sealant, and seal with a vapor retarder coating.

d. Use removable insulation on heads of heat exchangers. Fabricate removable section joints using a male-female shiplap type joint. Finish the entire surface of the removable section by applying two coats of vapor retarder coating with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch.

e. Protect exposed insulation corners with corner angles.

f. Apply insulation on equipment with ribs over 6 by 6 inches by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot weld to the equipment over the ribs. Secure insulation to the fabric with J-hooks and 2 by 2 inches washers or securely band or wire in place on 12 inch centers.

3.3.2.4 Vapor Retarder/Vapor Barrier

Upon completion of installation of insulation, caulk penetrations. Apply two coats of vapor retarder coating or vapor barrier jacket over insulation, including removable sections, with a layer of open mesh synthetic fabric embedded between the coats. Ensure the total dry thickness of the finish is 1/16 inch. Apply flasing sealant or vapor barrier tape to parting line between equipment and removable section insulation.

3.3.3 Insulation for Hot Equipment

Furnish insulation on equipment handling media above 60 degrees F including the following:

a. Converters.

b. Heat exchangers.

c. Hot water generators.

d. Water heaters.

e. Pumps handling media above 130 degrees F.

f. Fuel oil heaters.

g. Hot water storage tanks.

h. Air separation tanks.

i. Surge tanks.

j. Flash tanks.

k. Feed-water heaters.

l. Unjacketed boilers or parts of boilers.

m. Boiler flue gas connection from boiler to stack (if inside).

n. Induced draft fans.

o. Fly ash and soot collectors.

p. Condensate receivers.

3.3.3.1 Insulation

Provide insulation suitable for the temperature encountered. Insulate shell and tube-type heat exchangers for the temperature of the shell medium.

Determine insulation thickness for hot equipment using Table 6:

TABLE 6		
Insulation Thickness for Hot Equipment (inches)		
Equipment handling steam or media at indicated pressure or temperature limit		
	Material	Thickness (inches)
15 psig or 250 degrees F		
	Rigid Mineral Fiber	2
	Flexible Mineral Fiber	2
	Calcium Silicate/Perlite	4
	Cellular Glass	3
	Faced Phenolic Foam	1.5
	Flexible Elastomeric Cellular (<200 F)	1
200psig or 400 degrees F		
	Rigid Mineral Fiber	3
	Flexible Mineral Fiber	3
	Calcium Silicate/Perlite	4
	Cellular Glass	4
600 degrees F		
	Rigid Mineral Fiber	5
	Flexible Mineral Fiber	6
	Calcium Silicate/Perlite	6
	Cellular Glass	6

TABLE 6		
Insulation Thickness for Hot Equipment (inches)		
Equipment handling steam or media at indicated pressure or temperature limit		
	Material	Thickness (inches)
600 degrees F: Thickness necessary to limit the external temperature of the insulation to 120 F. Submit heat transfer calculations to substantiate insulation and thickness selection.		

3.3.3.2 Insulation of Boiler Stack and Diesel Engine Exhaust Pipe

Inside mechanical Room, bevel insulation neatly around openings and provide sheet metal insulation stop strips around such openings. Apply a skim coat of hydraulic setting cement directly to insulation. Apply a flooding coat of adhesive over hydraulic setting cement, and while still wet, press a layer of glass cloth or tape into adhesive and seal laps and edges with adhesive. Coat glass cloth with adhesive. When dry, apply a finish coat of adhesive at can-consistency so that when dry no glass weave is observed. Provide metal jackets for stacks and exhaust pipes that are located above finished floor and spaces outside mechanical room. Apply metal jackets directly over insulation and secure with 3/4 inch wide metal bands spaced on 18 inch centers. Do not insulate name plates. Provide insulation type and thickness in accordance with the following Table 7.

TABLE 7						
Insulation and Thickness for Boiler Stack and Diesel Engine Exhaust Pipe						
Service & Surface Temperature Range (Degrees F)						
	Material	Outside Diameter (Inches)				
		0.25 - 1.25	1 - 1.67	3.5-5	6 - 10	> or = 11 - 36
Boiler Stack (Up to 400 degrees F)						
	Mineral Fiber ASTM C585 Class B-3, ASTM C547 Class 1, or ASTM C612 Class 1	N/A	N/A	3	3.5	4

TABLE 7						
Insulation and Thickness for Boiler Stack and Diesel Engine Exhaust Pipe						
Service & Surface Temperature Range (Degrees F)						
	Material	Outside Diameter (Inches)				
		0.25 - 1.25	1 - 1.67	3.5-5	6 - 10	> or = 11 - 36
	Calcium Silicate ASTM C533, Type 1	N/A	N/A	3	3.5	4
	Cellular Glass ASTM C552, Type II	1.5	1.5	1.5	2	2.5
Boiler Stack (401 to 600 degrees F)						
	Mineral Fiber ASTM C547 Class 2, ASTM C592 Class 1, or ASTM C612 Class 3	N/A	N/A	4	4	5
	Calcium Silicate ASTM C533, Type I or II	N/A	N/A	4	4	4
	Mineral Fiber/Cellular Glass Composite:					
	Mineral Fiber ASTM C547 Class 2, ASTM C592 Class 1, or ASTM C612 Class 3	1	1	1	1	2
	Cellular Glass ASTM C552, Type II	2	2	2	2	2
Boiler Stack (601 to 800 degrees F)						
	Mineral Fiber ASTM C547 Class 3, ASTM C592 Class 1, or ASTM C612 Class 3	N/A	N/A	4	4	6

TABLE 7						
Insulation and Thickness for Boiler Stack and Diesel Engine Exhaust Pipe						
Service & Surface Temperature Range (Degrees F)						
	Material	Outside Diameter (Inches)				
		0.25 - 1.25	1 - 1.67	3.5-5	6 - 10	> or = 11 - 36
	Calcium Silicate ASTM C533, Type I or II	N/A	N/A	4	4	6
	Mineral Fiber/Cellular Glass Composite:					
	Mineral Fiber ASTM C547 Class 2, ASTM C592 Class 1, or ASTM C612 Class 3	2	2	2	3	3
	Cellular Glass ASTM C552, Type II	2	2	2	2	2
Diesel Engine Exhaust (Up to 700 degrees F)						
	Calcium Silicate ASTM C533, Type I or II	3	3.5	4	4	4
	Cellular Glass ASTM C552, Type II	2.5	3.5	4	4.5	6

3.3.3.3 Insulation of Pumps

Insulate pumps by forming a box around the pump housing. Construct the box by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Band bottom and sides to form a rigid housing that does not rest on the pump. Ensure joints between top cover and sides fit tightly. The top cover must have a joint forming a female shiplap joint on the side pieces and a male joint on the top cover, making the top cover removable. Apply two coats of Class I adhesive over insulation, including removable sections, with a layer of glass cloth embedded between the coats. Provide a parting line between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. The total dry thickness of the finish must be 1/16 inch. Apply caulking to parting line of the removable sections and

penetrations.

3.3.3.4 Other Equipment

a. Form or fabricate insulation to fit the equipment. To ensure a tight fit on round equipment, bevel edges and tightly butt and stagger joints.

b. Secure insulation in place with bands or wires at intervals as recommended by the manufacturer but no greater than 12 inch centers except adhere flexible elastomeric cellular. Protect insulation corners under wires and bands with suitable corner angles.

c. On high vibration equipment, set cellular glass insulation in a coating of bedding compound as recommended by the manufacturer, and seal joints with bedding compound. Fill mineral fiber joints with finishing cement.

d. Provide removable insulation on heads of heat exchangers. Fabricate the removable section joint using a male-female shiplap type joint. Finish the entire surface of the removable section as specified.

e. Protect exposed insulation corners with corner angles.

f. On equipment with ribs, such as boiler flue gas connection, draft fans, and fly ash or soot collectors, apply insulation over 6 by 6 inch by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot weld to the equipment over the ribs. Secure insulation to the fabric with J-hooks and 2 by 2 inch washers or securely band or wire in place on 12 inch (maximum) centers.

g. On equipment handling media above 600 degrees F, apply insulation in two or more layers with staggered joints.

h. Upon completion of installation of insulation, caulk penetrations. Apply two coats of adhesive over insulation, including removable sections, with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch. Apply caulking to parting line between equipment and removable section insulation.

3.3.4 Equipment Handling Dual Temperature Media

Below and above 60 degrees F: insulate equipment handling dual temperature media as specified for cold equipment.

3.3.5 Equipment Exposed to Weather

3.3.5.1 Installation

Insulate equipment exposed to weather and finish in accordance with the requirements for ducts exposed to weather in paragraph DUCT INSULATION INSTALLATION.

3.3.5.2 Optional Panels

At the option of the Contractor, prefabricated metal insulation panels may be used in lieu of the insulation and finish previously specified. Thermal performance must be equal to or better than that specified for

field applied insulation. Provide panels that are the standard catalog product of a manufacturer of metal insulation panels. Provide fastenings, flashing, and support system conforming to published recommendations of the manufacturer for weatherproof installation and that prevent moisture from entering the insulation. Design panels to accommodate thermal expansion and to support a 250 pound walking load without permanent deformation or permanent damage to the insulation. Exterior metal cover sheet must be aluminum and exposed fastenings must be stainless steel or aluminum.

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SECTION 23 09 00

INSTRUMENTATION AND CONTROL FOR HVAC
02/19, CHG 3: 05/21

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as indicated and shown and in accordance with Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet or Niagara BACnet systems, and other referenced Sections.

1.1.1 Proprietary Systems

1.1.1.1 Proprietary Systems Exempted From Open Protocol Requirements

The following systems are specifically exempted from the open protocol requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS:

a. A simple split (DX) system consisting of a single indoor unit and a single outdoor unit from the same manufacturer.

b. Systems in Table I (previously approved by the designer in accordance with UFC 3-410-02).

TABLE I: Systems Approved to Use Proprietary Communications		
System	Type (Multi-Split/VRF or Chiller/Boiler Plant)	Proprietary Multi-Split Engineering Tool Software Required (for Multi-Split/VRF only)

c. A system (not already shown Table I) of multiple boilers or multiple chillers communicating with a proprietary network for which an approved request has been obtained and for which: all units are from the same manufacturer, they are all co-located in the same room, the network connecting them is fully contained in that room, and the units are operating using a common "plant" sequence of operation which stages the units in a manner that requires operational parameters be shared between them and which cannot be accomplished with a single lead-lag command from a third-party controller.

1.1.1.2 Implementation of Proprietary Systems

For proprietary systems exempted from open protocol requirements, a proprietary network and DDC hardware communicating via proprietary protocol are permitted. For these systems a building control network

meeting the requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS must also be provided, along with a gateway or interface to connect the proprietary system to the open building control network.

The proprietary system gateway or interface must provide the required functionaliality as shown on the points schedule. Scheduling, alarming, trending, overrides, network inputs, network outputs and other protocol related requirements must be met on the open protocol control system as specified in Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

1.1.1.3 Proprietary Multi-Split Engineering Tool Software

For each permitted proprietary systems in Table 1 shown as requiring Proprietary Multi-Split Engineering Tool Software, provide the software needed to replace a unit and configure the replacement. Submit hard copies of the software user manuals with the software submittal.

Submit Proprietary Multi-Split Engineering Tool Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.1.2 System Requirements

Provide systems meeting the requirements this Section and other Sections referenced by this Section, and which have the following characteristics:

a. The system implements the control sequences of operation shown in the Contract Drawings using DDC hardware to control mechanical and electrical equipment

b. The system meet the requirements of this specification as a stand-alone system and does not require connection to any other system.

c. Control sequences reside in DDC hardware in the building. The building control network is not dependent upon connection to a Utility Monitoring and Control System (UMCS) Front End or to any other system for performance of control sequences. To the greatest extent practical, the hardware performs control sequences without reliance on the building network.

d. The hardware is installed such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

e. All necessary documentation, configuration information, programming tools, programs, drivers, and other software are licensed to and otherwise remain with the Government such that the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

f. Sufficient documentation and data, including rights to documentation and data, are provided such that the Government or their agents can execute work to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

g. Hardware is installed and configured such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the Contractor, Vendor or Manufacturer.

h. All Niagara Framework components have an unrestricted interoperability license with a Niagara Compatibility Statement (NiCS) following the Tridium Open NiCS Specification and have a value of "ALL" for "Station Compatibility In", "Station Compatibility Out", "Tool Compatibility In" and "Tool Compatibility Out". Note that this will result in the following entries in the license file:
    accept.station.in="*"
    accept.station.out="*"
    accept.wb.in="*"
    accept.wb.out="*"

1.1.3 End to End Accuracy

Select products, install and configure the system such that the maximum error of a measured value as read from the DDC Hardware over the network is less than the maximum allowable error specified for the sensor or instrumentation.

1.1.4 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.5 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere:

a. Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet systems with or without Niagara Framework.

b. Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC

c. Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS

d. Section 01 91 00.15 BUILDING COMMISSIONING

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2020; Interpretation 1-8 2021; Errata 1-2 2021; Addenda CD 2021; Addenda BV-CE 2022; Interpretation 9-12 2022; Interpretation 13-17 2023; Addenda BV-CF 2023; Errata 3 2023) BACnet—A Data Communication Protocol for Building Automation and Control Networks
ASHRAE FUN IP	(2021) Fundamentals Handbook, I-P Edition

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41	(1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

TRIDIUM, INC (TRIDIUM)

Niagara Framework	(2012) NiagaraAX User's Guide
Tridium Open NiCS	(2005) Understanding the NiagaraAX Compatibility Statement (NiCS)

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-410-02	(2018; with Change 2, 2021) Direct Digital Control for HVAC and Other Building Control Systems

UNDERWRITERS LABORATORIES (UL)

UL 5085-3	(2006; Reprint Jan 2022) UL Standard for Safety Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

1.4 DEFINITIONS

The following list of definitions includes terms used in Sections referenced by this Section and are included here for completeness.The definitions contained in this Section may disagree with how terms are defined or used in other documents, including documents referenced by this Section. The definitions included here are the authoritative definitions for this Section and all Sections referenced by this Section.

After each term the protocol related to that term is included in parenthesis.

1.4.1 Alarm Generation (All protocols)

Alarm Generation is the monitoring of a value, comparison of the value to alarm conditions and the creation of an alarm when the conditions set for the alarm are met.

1.4.2 Building Automation and Control Network (BACnet) (BACnet)

The term BACnet is used in two ways. First meaning the BACnet Protocol Standard - the communication requirements as defined by ASHRAE 135 including all annexes and addenda. The second to refer to the overall technology related to the ASHRAE 135 protocol.

1.4.3 BACnet Advanced Application Controller (B-AAC) (BACnet)

A hardware device BTL Listed as a B-AAC, which is required to support BACnet Interoperability Building Blocks (BIBBs) for scheduling and alarming, but is not required to support as many BIBBs as a B-BC.

1.4.4 BACnet Application Specific Controller (B-ASC) (BACnet)

A hardware device BTL Listed as a B-ASC, with fewer BIBB requirements than a B-AAC. It is intended for use in a specific application.

1.4.5 BACnet Building Controller (B-BC) (BACnet)

A hardware device BTL Listed as a B-BC. A general-purpose, field-programmable device capable of carrying out a variety of building automation and control tasks including control and monitoring via direct digital control (DDC) of specific systems and data storage for trend information, time schedules, and alarm data. Like the other BTL Listed controller types (B-AAC, B-ASC etc.) a B-BC device is required to support the server ("B") side of the ReadProperty and WriteProperty services, but unlike the other controller types it is also required to support the client ("A") side of these services. Communication between controllers requires that one of them support the client side and the other support the server side, so a B-BC is often used when communication between controllers is needed.

1.4.6 BACnet Broadcast Management Device (BBMD) (BACnet)

A communications device, typically combined with a BACnet router. A BBMD forwards BACnet broadcast messages to BACnet/IP devices and other BBMDs connected to the same BACnet/IP network. Each IP subnet that is part of a BACnet/IP network must have at least one BBMD. Note there are additional restrictions when multiple BBMDs share an IP subnet.

1.4.7 BACnet/IP (BACnet)

An extension of BACnet, Annex J, defines the use of a reserved UDP socket to transmit BACnet messages over IP networks. A BACnet/IP network is a collection of one or more IP subnets that share the same BACnet network number. See also paragraph BACNET BROADCAST MANAGEMENT DEVICE.

1.4.8 BACnet Internetwork (BACnet)

Two or more BACnet networks, connected with BACnet routers. In a BACnet Internetwork, there exists only one message path between devices.

1.4.9 BACnet Interoperability Building Blocks (BIBBs) (BACnet)

A BIBB is a collection of one or more ASHRAE 135 Services intended to define a higher level of interoperability. BIBBs are combined to build the BACnet functional requirements for a device in a specification. Some BIBBs define additional requirements (beyond requiring support for specific services) in order to achieve a level of interoperability. For example, the BIBB DS-V-A (Data Sharing-View-A), which would typically be used by a front-end, not only requires the client to support the ReadProperty Service, but also provides a list of data types (Object / Properties) which the client must be able to interpret and display for the user.

In the BIBB shorthand notation, -A is the client side and -B is the server side.

The following is a list of some BIBBs used by this or referenced Sections:	
DS-COV-A	Data Sharing-Change of Value (A side)
DS-COV-B	Data Sharing-Change of Value (B side)
NM-RC-B	Network Management-Router Configuration (B side)
DS-RP-A	Data Sharing-Read Property (A side)
DS-RP-B	Data Sharing-Read Property (B side)
DS-RPM-A	Data Sharing-Read Property Multiple (A Side)
DS-RPM-B	Data Sharing-Read Property Multiple (B Side)
DS-WP-A	Data Sharing-Write Property (A Side)
DM-TS-B	Device Management-Time Synchronization (B Side)
DM-UTC-B	Device Management-UTC Time Synchronization (B Side)
DS-WP-B	Data Sharing-Write Property (B side)
SCHED-E-B	Scheduling-External (B side)
DM-OCD-B	Device Management-Object Creation and Deletion (B side)

The following is a list of some BIBBs used by this or referenced Sections:	
AE-N-I-B	Alarm and Event-Notification Internal (B Side)
AE-N-E-B	Alarm and Event-Notification External (B Side)
T-VMT-I-B	Trending-Viewing and Modifying Trends Internal (B Side)
T-VMT-E-B	Trending-Viewing and Modifying Trends External (B Side)

1.4.10 BACnet Network (BACnet)

In BACnet, a portion of the control Internetwork consisting of one or more segments connected by repeaters. Networks are separated by routers.

1.4.11 BACnet Operator Display (B-OD) (BACnet)

A basic operator interface with limited capabilities relative to a B-OWS. It is not intended to perform direct digital control. A B-OD profile could be used for LCD devices, displays affixed to BACnet devices, handheld terminals or other very simple user interfaces.

1.4.12 BACnet Segment (BACnet)

One or more physical segments interconnected by repeaters (ASHRAE 135).

1.4.13 BACnet Smart Actuator (B-SA) (BACnet)

A simple actuator device with limited resources intended for specific applications.

1.4.14 BACnet Smart Sensor (B-SS) (BACnet)

A simple sensing device with limited resources.

1.4.15 BACnet Testing Laboratories (BTL) (BACnet)

Established by BACnet International to support compliance testing and interoperability testing activities and consists of BTL Manager and the BTL Working Group (BTL-WG). BTL also publishes Implementation Guidelines.

1.4.16 BACnet Testing Laboratories (BTL) Listed (BACnet)

A device that has been listed by BACnet Testing Laboratory. Devices may be certified to a specific device profile, in which case the listing indicates that the device supports the required capabilities for that profile, or may be listed as "other".

1.4.17 Binary (All protocols)

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.4.18 Broadcast (BACnet)

Unlike most messages, which are intended for a specific recipient device,

a broadcast message is intended for all devices on the network.

1.4.19 Building Control Network (BCN) (All protocols)

The network connecting all DDC Hardware within a building (or specific group of buildings).

1.4.20 Building Point of Connection (BPOC) (All protocols)

A FPOC for a Building Control System. (This term is being phased out of use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware.)

1.4.21 Commandable (All protocols)

See Overridable.

1.4.22 Commandable Objects (BACnet)

Commandable Objects have a Commandable Property, Priority_Array, and Relinquish_Default Property as defined in ASHRAE 135, Clause 19.2, Command Prioritization.

1.4.23 Configurable (All protocols)

A property, setting, or value is configurable if it can be changed via hardware settings on the device, via the use of engineering software or over the control network from the front end, and is retained through (after) loss of power.

In a non-Niagara Framework BACnet system, a property, setting, or value is configurable if it can be changed via one or more of:
1) via BACnet services (including proprietary BACnet services)
2) via hardware settings on the device

In a Niagara Framework BACnet system, a property, setting, or value is configurable if it can be changed via one or more of:
1) via BACnet services (including proprietary BACnet services)
2) via hardware settings on the device
3) via the Niagara Framework

Note this is more stringent than the ASHRAE 135 definition.

1.4.24 Control Logic Diagram (All protocols)

A graphical representation of control logic for multiple processes that make up a system.

1.4.25 Device (BACnet)

A Digital Controller that contains a BACnet Device Object and uses BACnet to communicate with other devices.

1.4.26 Device Object (BACnet)

Every BACnet device requires one Device Object, whose properties represent the network visible properties of that device. Every Device Object

requires a unique Object Identifier number on the BACnet Internetwork. This number is often referred to as the device instance or device ID.

1.4.27 Device Profile (BACnet)

A collection of BIBBs determining minimum BACnet capabilities of a device, defined in ASHRAE 135. Standard device profiles include BACnet Advanced Workstations (B-AWS), BACnet Building Controllers (B-BC), BACnet Advanced Application Controllers (B-AAC), BACnet Application Specific Controllers (B-ASC), BACnet Smart Actuator (B-SA), and BACnet Smart Sensor (B-SS).

1.4.28 Digital Controller (All protocols)

An electronic controller, usually with internal programming logic and digital and analog input/output capability, which performs control functions.

1.4.29 Direct Digital Control (DDC) (All protocols)

Digital controllers performing control logic. Usually the controller directly senses physical values, makes control decisions with internal programs, and outputs control signals to directly operate switches, valves, dampers, and motor controllers.

1.4.30 Field Point of Connection (FPOC) (All protocols)

The FPOC is the point of connection between the UMCS IP Network and the field control network (either an IP network, a non-IP network, or a combination of both). The hardware at this location which provides the connection is generally an IT device such as a switch, IP router, or firewall.

In general, the term "FPOC Location" means the place where this connection occurs, and "FPOC Hardware" means the device that provides the connection. Sometimes the term "FPOC" is used to mean either and its actual meaning (i.e. location or hardware) is determined by the context in which it is used.

1.4.31 Fox Protocol (Niagara Framework)

The protocol used for communication between components in the Niagara Framework. By default, Fox uses TCP port 1911.

1.4.32 Gateway (All protocols)

A device that translates from one protocol application data format to another. Devices that change only the transport mechanism of the protocol - "translating" from TP/FT-10 to Ethernet/IP or from BACnet MS/TP to BACnet over IP for example - are not gateways as the underlying data format does not change. Gateways are also called Communications Bridges or Protocol Translators.

A Niagara Framework Supervisory Gateway is one type of Gateway.

1.4.33 IEEE 802.3 Ethernet (All protocols)

A family of local-area-network technologies providing high-speed networking features over various media, typically Cat 5, 5e or Cat 6 twisted pair copper or fiber optic cable.

1.4.34 Internet Protocol (IP, TCP/IP, UDP/IP) (All protocols)

A communication method, the most common use is the World Wide Web. At the lowest level, it is based on Internet Protocol (IP), a method for conveying and routing packets of information over various LAN media. Two common protocols using IP are User Datagram Protocol (UDP) and Transmission Control Protocol (TCP). UDP conveys information to well-known "sockets" without confirmation of receipt. TCP establishes connections, also known as "sessions", which have end-to-end confirmation and guaranteed sequence of delivery.

1.4.35 Input/Output (I/O) (All protocols)

Physical inputs and outputs to and from a device, although the term sometimes describes network or "virtual" inputs or outputs. See also "Points".

1.4.36 I/O Expansion Unit (All protocols)

An I/O expansion unit provides additional point capacity to a digital controller

1.4.37 IP subnet (All protocols)

A group of devices which share a defined range IP addresses. Devices on a common IP subnet can share data (including broadcasts) directly without the need for the traffic to traverse an IP router.

1.4.38 JACE (Niagara Framework)

Java Application Control Engine. See paragraph NIAGARA FRAMEWORK SUPERVISORY GATEWAY

1.4.39 Local-Area Network (LAN) (All protocols)

A communication network that spans a limited geographic area and uses the same basic communication technology throughout.

1.4.40 Local Display Panels (LDPs) (All protocols)

A DDC Hardware with a display and navigation buttons, and must provide display and adjustment of points as shown on the Points Schedule and as indicated.

1.4.41 MAC Address (All protocols)

Media Access Control address. The physical device address that identifies a device on a Local Area Network.

1.4.42 Master-Slave/Token-Passing (MS/TP) (BACnet)

Data link protocol as defined by the BACnet standard. Multiple speeds (data rates) are permitted by the BACnet MS/TP standard.

1.4.43 Monitoring and Control (M&C) Software (All protocols)

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface

for monitoring the system and configuring these functions.

1.4.44 Network Number (BACnet)

A site-specific number assigned to each network. This network number must be unique throughout the BACnet Internetwork.

1.4.45 Niagara Framework (Niagara Framework)

A set of hardware and software specifications for building and utility control owned by Tridium Inc. and licensed to multiple vendors. The Framework consists of front end (M&C) software, web based clients, field level control hardware, and engineering tools. While the Niagara Framework is not adopted by a recognized standards body and does not use an open licensing model, it is sufficiently well-supported by multiple HVAC vendors to be considered a de-facto Open Standard.

1.4.46 Niagara Framework Supervisory Gateway (Niagara Framework)

DDC Hardware component of the Niagara Framework. A typical Niagara architecture has Niagara specific supervisory gateways at the IP level and other (non-Niagara specific) controllers on field networks (TP/FT-10, MS/TP, etc.) beneath the Niagara supervisory gateways. The Niagara specific controllers function as a gateway between the Niagara framework protocol (Fox) and the field network beneath. These supervisory gateways may also be used as general purpose controllers and also have the capability to provide a web-based user interface.

Note that different vendors refer to this component by different names. The most common name is "JACE"; other names include (but are not limited to)"EC-BOS", "FX-40", "TMN", "SLX" and "UNC".

1.4.47 Object (BACnet)

An ASHRAE 135 Object. The concept of organizing BACnet information into standard components with various associated Properties. Examples include Analog Input objects and Binary Output objects.

1.4.48 Object Identifier (BACnet)

A grouping of two Object properties: Object Type (e.g. Analog Value, Schedule, etc.) and Object Instance (in this case, a number). Object Identifiers must be unique within a device.

1.4.49 Object Instance (BACnet)

See paragraph OBJECT IDENTIFIER

1.4.50 Object Properties (BACnet)

Attributes of an object. Examples include present value and high limit properties of an analog input object. Properties are defined in ASHRAE 135; some are optional and some are required. Objects are controlled by reading from and writing to object properties.

1.4.51 Operator Configurable (All protocols)

Operator configurable values are values that can be changed from a single common front end user interface across multiple vendor systems.

For Niagara Framework Systems, a property, setting, or value is Operator Configurable when it is configurable from a Niagara Framework Front End.

For non Niagara-based BACnet systems, a property, setting, or value in a device is Operator Configurable when it is Configurable and is either:

a. a Writable Property of a Standard BACnet Object; or

b. a Property of a Standard BACnet Object that is Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable.

1.4.52 Override (All protocols)

Changing the value of a point outside of the normal sequence of operation where the change has priority over the sequence and where there is a mechanism for releasing the change such that the point returns to the normal value. Overrides persist until released or overridden at the same or higher priority but are not required to persist through a loss of power. Overrides are often used by operators to change values, and generally originate at a user interface (workstation or local display panel).

1.4.53 Packaged Equipment (All protocols)

Packaged equipment is a single piece of equipment provided by a manufacturer in a substantially complete and operable condition, where the controls (DDC Hardware) are factory installed, and the equipment is sold and shipped from the manufacturer as a single entity. Disassembly and reassembly of a large piece of equipment for shipping does not prevent it from being packaged equipment. Package units may require field installation of remote sensors. Packaged equipment is also called a "packaged unit".

Note industry may use the term "Packaged System" to mean a collection of equipment that is designed to work together where each piece of equipment is packaged equipment and there is a network that connects the equipment together. A "packaged system" of this type is NOT packaged equipment; it is a collection of packaged equipment, and each piece of equipment must individually meet specification requirements.

1.4.54 Packaged Unit (All protocols)

See packaged equipment.

1.4.55 Performance Verification Test (PVT) (All protocols)

The procedure for determining if the installed BAS meets design criteria prior to final acceptance. The PVT is performed after installation, testing, and balancing of mechanical systems. Typically the PVT is performed by the Contractor in the presence of the Government.

1.4.56 Physical Segment (BACnet)

A single contiguous medium to which BACnet devices are attached (ASHRAE 135

).

1.4.57 Polling (All protocols)

A device periodically requesting data from another device.

1.4.58 Points (All protocols)

Physical and virtual inputs and outputs. See also paragraph INPUT/OUTPUT (I/O).

1.4.59 Proportional, Integral, and Derivative (PID) Control Loop (All protocols)

Three parameters used to control modulating equipment to maintain a setpoint. Derivative control is often not required for HVAC systems (leaving "PI" control).

1.4.60 Proprietary (BACnet)

Within the context of BACnet, any extension of or addition to object types, properties, PrivateTransfer services, or enumerations specified in ASHRAE 135. Objects with Object_Type values of 128 and above are Proprietary Objects. Properties with Property_Identifier of 512 and above are proprietary Properties.

1.4.61 Protocol Implementation Conformance Statement (PICS) (BACnet)

A document, created by the manufacturer of a device, which describes which portions of the BACnet standard may be implemented by a given device. ASHRAE 135 requires that all ASHRAE 135 devices have a PICS, and also defines a minimum set of information that must be in it. A device as installed for a specific project may not implement everything in its PICS.

1.4.62 Repeater (All protocols)

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.4.63 Router (All protocols)

A device that connects two ASHRAE 135 networks and controls traffic between the two by retransmitting signals received from one side onto the other based on the signal destination. Routers are used to subdivide a BACnet internetwork and to limit network traffic.

1.4.64 Segment (All protocols)

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type.

1.4.65 Standard BACnet Objects (BACnet)

Objects with Object_Type values below 128 and specifically enumerated in Clause 21 of ASHRAE 135. Objects which are not proprietary. See paragraph PROPRIETARY.

1.4.66 Standard BACnet Properties (BACnet)

Properties with Property_Identifier values below 512 and specifically enumerated in Clause 21 of ASHRAE 135. Properties which are not proprietary. See Proprietary.

1.4.67 Standard BACnet Services (BACnet)

ASHRAE 135 services other than ConfirmedPrivateTransfer or UnconfirmedPrivateTransfer. See paragraph PROPRIETARY.

1.4.68 UMCS (All protocols)

UMCS stands for Utility Monitoring and Control System. The term refers to all components by which a project site monitors, manages, and controls real-time operation of HVAC and other building systems. These components include the UMCS "front-end" and all field building control systems connected to the front-end. The front-end consists of Monitoring and Control Software (user interface software), browser-based user interfaces and network infrastructure.

The network infrastructure (the "UMCS Network"), is an IP network connecting multiple building or facility control networks to the Monitoring and Control Software.

1.4.69 UMCS Network (All protocols)

The UMCS Network connects multiple building or facility control networks to the Monitoring and Control Software.

1.4.70 Writable Property (BACnet)

A Property is Writable when it can be changed through the use of one or more of the WriteProperty services defined in ASHRAE 135, Clause 15 regardless of the value of any other Property. Note that in the ASHRAE 135 standard, some Properties may be writable when the Out of Service Property is TRUE; for purposes of this Section, Properties that are only writable when the Out of Service Property is TRUE are not considered to be Writable.

1.5 PROJECT SEQUENCING

TABLE II: PROJECT SEQUENCING lists the sequencing of submittals as specified in paragraph SUBMITTALS (denoted by an 'S' in the 'TYPE' column) and activities as specified in PART 3 EXECUTION (denoted by an 'E' in the 'TYPE' column). TABLE II does not specify overall project milestone and completion dates.

a. Sequencing for Submittals: The sequencing specified for submittals is the deadline by which the submittal must be initially submitted to the Government. Following submission there will be a Government review period as specified in Section 01 33 00 SUBMITTAL PROCEDURES. If the submittal is not accepted by the Government, revise the submittal and resubmit it to the Government within 14 days of notification that the submittal has been rejected. Upon resubmittal there will be an additional Government review period. If the submittal is not accepted the process repeats until the submittal is accepted by the Government.

b. Sequencing for Activities: The sequencing specified for activities

indicates the earliest the activity may begin.

c. Abbreviations: In TABLE II the abbreviation AAO is used for 'after approval of' and 'ACO' is used for 'after completion of'.

TABLE II. PROJECT SEQUENCING

ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR SUBMITTAL)
1	S	Existing Conditions Report	
2	S	DDC Contractor Design Drawings	
3	S	Manufacturer's Product Data	
4	S	Pre-construction QC Checklist	
5	E	Install Building Control System	AAO #1 thru #4
6	E	Start-Up and Start-Up Testing	ACO #5
7	S	Post-Construction QC Checklist	14ACO #6
8	S	Programming Software Configuration Software Niagara Framework Engineering Tool Niagara Framework Wizards	14ACO #6
9	S	Draft As-Built Drawings	14ACO #6
10	S	Start-Up Testing Report	14ACO #6
11	S	PVT Procedures	14before schedule start of #12 and AAO #10
12	S,E	Execute PVT PVT Testing Activities	AAO #9 and #11As indicated in PART 3 of this Section

TABLE II. PROJECT SEQUENCING			
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE FOR SUBMITTAL)
13	S	PVT Report	14ACO #12 As indicated in PART 3 of this Section
14	S	Controller Application Programs Controller Configuration Settings Niagara Framework Supervisory Gateway Backups	14AAO #13
15	S	Final As-Built Drawings	14AAO #13
16	S	O&M Instructions	AAO #15
17	S	Training Documentation	AAO #10 and 14before scheduled start of #18
18	E	Training	AAO #16 and #17
19	S	Closeout QC Checklist	ACO #18

1.6 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

DDC Contractor Design Drawings;

Draft As-Built Drawings;

Final As-Built Drawings;

SD-03 Product Data

Programming Software;

Controller Application Programs;

Configuration Software;

Controller Configuration Settings;

Proprietary Multi-Split Engineering Tool Software;

Manufacturer's Product Data;

Niagara Framework Supervisory Gateway Backups;

SD-06 Test Reports

Pre-Construction Quality Control (QC) Checklist;

Post-Construction Quality Control (QC) Checklist;

Start-Up Testing Report;

PVT Procedures;

PVT Report;

Control Contractor’s Performance Verification Testing Plan;

Equipment Supplier’s Performance Verification Testing Plan;

Endurance Testing Results;

Performance Verification Test Report;

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions;

Training Documentation;

SD-11 Closeout Submittals

Enclosure Keys;

Password Summary Report;

Closeout Quality Control (QC) Checklist;

1.7 DATA PACKAGE AND SUBMITTAL REQUIREMENTS

Technical data packages consisting of technical data and computer software (meaning technical data which relates to computer software) which are specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All submittals not specified as technical data packages are considered 'shop drawings' under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and be delivered with unrestricted rights.

1.8 SOFTWARE FOR DDC HARDWARE AND GATEWAYS

Provide all software related to the programming and configuration of DDC Hardware and Gateways as indicated. License all Software to the project site. The term "controller" as used in these requirements means both DDC Hardware and Gateways.

1.8.1 Configuration Software

For each type of controller, provide the configuration tool software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of the software user manuals for each software with the software submittal.

Submit Configuration Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.8.2 Controller Configuration Settings

For each controller, provide copies of the installed configuration settings as source code compatible with the configuration tool software for that controller in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Configuration Settings on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which files are associated with each device. Submit 2 copies of the Controller Configuration Settings CD-ROM.

1.8.3 Programming Software

For each type of programmable controller, provide the programming software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of software user manuals for each software with the software submittal.

Submit Programming Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.8.4 Controller Application Programs

For each programmable controller, provide copies of the application program as source code compatible with the programming software for that

controller in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Application Programs on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which application program is associated with each device. Submit 2 copies of the Controller Application Programs CD-ROM.

1.8.5 Niagara Framework Supervisory Gateway Backups

For each Niagara Framework Supervisory Gateway, provide a backup of all software within the Niagara Framework Supervisory Gateway, including configuration settings. This backup must be sufficient to allow the restoration of the Niagara Framework Supervisory Gateway or the replacement of the Niagara Framework Supervisory Gateway.

Submit backups for each Niagara Framework Supervisory Gateway on CD-ROM as a Technical Data Package. Mark each backup indicating clearly the source Niagara Framework Supervisory Gateway.

1.9 QUALITY CONTROL CHECKLISTS

The QC Checklist for BACnet Systems in APPENDIX A of this Section must be completed by the Contractor's Chief Quality Control (QC) Representative and submitted as indicated.

The QC Checklist for Niagara Framework Based BACnet Systems in APPENDIX A of this Section must be completed by the Contractor's Chief Quality Control (QC) Representative and submitted as indicated.

The QC Representative must verify each item indicated and initial in the space provided to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.9.1 Pre-Construction Quality Control (QC) Checklist

Complete items indicated as Pre-Construction QC Checklist items in the QC Checklist. Submit four copies of the Pre-Construction QC Checklist.

1.9.2 Post-Construction Quality Control (QC) Checklist

Complete items indicated as Post-Construction QC Checklist items in the QC Checklist. Submit four copies of the Post-Construction QC Checklist.

1.9.3 Closeout Quality Control (QC) Checklist

Complete items indicated as Closeout QC Checklist items in the QC Checklist. Submit four copies of the Closeout QC Checklist.

PART 2 PRODUCTS

Provide products meeting the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet or Niagara BACnet systems, other referenced Sections, and this Section.

2.1 GENERAL PRODUCT REQUIREMENTS

Units of the same type of equipment must be products of a single manufacturer. Each major component of equipment must have the manufacturer's name and address, and the model and serial number in a conspicuous place. Materials and equipment must be standard products of a manufacturer regularly engaged in the manufacturing of these and similar products. The standard products must have been in a satisfactory commercial or industrial use for two years prior to use on this project. The two year use must include applications of equipment and materials under similar circumstances and of similar size. DDC Hardware not meeting the two-year field service requirement is acceptable provided it has been successfully used by the Contractor in a minimum of two previous projects. The equipment items must be supported by a service organization. Items of the same type and purpose must be identical, including equipment, assemblies, parts and components.

2.2 PRODUCT DATA

Provide manufacturer's product data sheets documenting compliance with product specifications for each product provided under Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, or this Section. Provide product data for all products in a single indexed compendium, organized by product type.

For all BACnet hardware: for each manufacturer, model and version (revision) of DDC Hardware provide the Protocol Implementation Conformance Statement (PICS) in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Manufacturer's Product Data on CD-ROM.

2.3 OPERATION ENVIRONMENT

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

a. Pressure: Pressure conditions normally encountered in the installed location.

b. Vibration: Vibration conditions normally encountered in the installed location.

c. Temperature:

(1) Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.

(2) Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

d. Humidity: 10 to 95 percent relative humidity, noncondensing and humidity conditions outside this range normally encountered at the installed location.

2.4 WIRELESS CAPABILITY

For products incorporating any wireless capability (including but not limited to radio frequency (RF), infrared and optical), provide products for which wireless capability can be permanently disabled at the device. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate.

2.5 ENCLOSURES

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

2.5.1 Outdoors

For enclosures located outdoors, provide enclosures meeting NEMA 250 Type 3 requirements.

2.5.2 Mechanical and Electrical Rooms

For enclosures located in mechanical or electrical rooms, provide enclosures meeting NEMA 250 Type 4 requirements.

2.5.3 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

2.6 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.6.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.6.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.6.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.6.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.6.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

PART 3 EXECUTION

3.1 INSTALLATION

Fully install and test the control system in accordance Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet or Niagara BACnet systems, and this Section.

3.1.1 Dielectric Isolation

Provide dielectric isolation where dissimilar metals are used for connection and support. Install control system in a matter that provides clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Install control system such that it does not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.2 Penetrations in Building Exterior

Make all penetrations through and mounting holes in the building exterior watertight.

3.1.3 Device Mounting Criteria

Install devices in accordance with the manufacturer's recommendations and as indicated and shown. Provide a weathershield for all devices installed outdoors. Provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Provide clearance for mechanical and electrical system maintenance; do not not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.1.4 Labels and Tags

Key all labels and tags to the unique identifiers shown on the As-Built drawings. For labels exterior to protective enclosures provide engraved plastic labels mechanically attached to the enclosure or DDC Hardware.

Labels inside protective enclosures may be attached using adhesive, but must not be hand written. For tags, provide plastic or metal tags mechanically attached directly to each device or attached by a metal chain or wire.

a. Label all Enclosures and DDC Hardware.

b. Tag Airflow measurement arrays (AFMA) with flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient.

c. Tag duct static pressure taps at the location of the pressure tap

3.1.5 Surge Protection

3.1.5.1 Power-Line Surge Protection

Protect equipment connected to AC circuits to withstand power-line surges in accordance with IEEE C62.41. Do not use fuses for surge protection.

3.1.5.2 Surge Protection for Transmitter and Control Wiring

Protect DDC hardware against or provided DDC hardware capable of withstanding surges induced on control and transmitter wiring installed outdoors and as shown. Protect equipment against the following two waveforms:

a. A waveform with a 10-microsecond rise time, a 1000-microsecond decay time and a peak current of 60 amps.

b. A waveform with an 8-microsecond rise time, a 20-microsecond decay time and a peak current of 500 amperes.

3.1.6 Basic Cybersecurity Requirements

3.1.6.1 Passwords

For all devices with a password, change the password from the default password. Do not use the same password for more than one device. Coordinate selection of passwords with ROICC. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each device.

Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.1.6.2 Wireless Capability

Unless otherwise indicated, disable wireless capability (including but not limited to radio frequency (RF), infrared and optical) for all devices with wireless capability. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate. Password protecting a wireless connections does not meet this requirement; the wireless capability must be disabled.

3.1.6.3 IP Network Physical Security

Install all IP Network media in conduit. Install all IP devices including but not limited to IP-enabled DDC hardware and IP Network Hardware in lockable enclosures.

3.2 DRAWINGS AND CALCULATIONS

Provide drawings in the form and arrangement indicated and shown. Use the same abbreviations, symbols, nomenclature and identifiers shown. Assign a unique identifier as shown to each control system element on a drawing. When packaging drawings, group schedules by system. When space allows, it is permissible to include multiple schedules for the same system on a single sheet. Except for drawings covering all systems, do not put information for different systems on the same sheet.

Submit hardcopy drawings on ISO A1 34 by 22 inches sheets, and electronic drawings in PDF and in AutoCAD format. In addition, submit electronic drawings in editable Excel format for all drawings that are tabular, including but not limited to the Point Schedule and Equipment Schedule.

a. Submit DDC Contractor Design Drawings consisting of each drawing indicated with pre-construction information depicting the intended control system design and plans. Submit DDC Contractor Design Drawings as a single complete package: 2 hard copies and 2 copies on CD-ROM.

b. Submit Draft As-Built Drawings consisting of each drawing indicated updated with as-built data for the system prior to PVT. Submit Draft As-Built Drawings as a single complete package: 2 hard copies and 2 copies on CD-ROM.

c. Submit Final As-Built Drawings consisting of each drawing indicated updated with all final as-built data. Final As-Built Drawings as a single complete package: 2 hard copies and 2 copies on CD-ROM.

3.2.1 Sample Drawings

Sample drawings in electronic format are available at the Whole Building Design Guide page for this section:
http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-23-09-00
These drawings may prove useful in demonstrating expected drawing formatting and example content and are provided for illustrative purposes only. Note that these drawings do not meet the content requirements of this Section and must be completed to meet project requirements.

3.2.2 Drawing Index and Legend

Provide an HVAC Control System Drawing Index showing the name and number of the building, military site, State or other similar designation, and Country. In the Drawing Index, list all Contractor Design Drawings, including the drawing number, sheet number, drawing title, and computer filename when used. In the Design Drawing Legend, show and describe all symbols, abbreviations and acronyms used on the Design Drawings. Provide a single Index and Legend for the entire drawing package.

3.2.3 Thermostat and Occupancy Sensor Schedule

Provide a thermostat and occupancy sensor schedule containing each thermostat's unique identifier, room identifier and control features and functions as shown. Provide a single thermostat and occupancy sensor schedule for the entire project.

3.2.4 Valve Schedule

Provide a valve schedule containing each valve's unique identifier, size, flow coefficient Kv (Cv), pressure drop at specified flow rate, spring range, positive positioner range, actuator size, close-off pressure to torque data, dimensions, and access and clearance requirements data. In the valve schedule include actuator selection data supported by calculations of the force required to move and seal the valve, access and clearance requirements. Provide a single valve schedule for the entire project.

3.2.5 Damper Schedule

Provide a damper schedule containing each damper's unique identifier, type (opposed or parallel blade), nominal and actual sizes, orientation of axis and frame, direction of blade rotation, actuator size and spring ranges, operation rate, positive positioner range, location of actuators and damper end switches, arrangement of sections in multi-section dampers, and methods of connecting dampers, actuators, and linkages. Include the AMCA 511 maximum leakage rate at the operating static-pressure differential for each damper in the Damper Schedule. Provide a single damper schedule for the entire project.

3.2.6 Project Summary Equipment Schedule

Provide a project summary equipment schedule containing the manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a single project equipment schedule for the entire project.

3.2.7 Equipment Schedule

Provide system equipment schedules containing the unique identifier, manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a separate equipment schedule for each HVAC system.

3.2.8 Occupancy Schedule

Provide an occupancy schedule drawing containing the same fields as the occupancy schedule Contract Drawing with Contractor updated information. Provide a single occupancy schedule for the entire project.

3.2.9 DDC Hardware Schedule

Provide a single DDC Hardware Schedule for the entire project and including following information for each device.

3.2.9.1 DDC Hardware Identifier

The Unique DDC Hardware Identifier for the device.

3.2.9.2 HVAC System

The system "name" used to identify a specific system (the name used on the system schematic drawing for that system).

3.2.9.3 BACnet Device Information

3.2.9.3.1 Device Object Identifier

The Device Object Identifier: The Object_Identifier of the Device Object

3.2.9.3.2 Network Number

The Network Number for the device.

3.2.9.3.3 MAC Address

The MAC Address for the device

3.2.9.3.4 BTL Listing

The BTL Listing of the device. If the device is listed under multiple BTL Profiles, indicate the profile that matches the use and configuration of the device as installed.

3.2.9.3.5 Proprietary Services Information

If the device uses non-standard ASHRAE 135 services as defined and permitted in Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, indicate that the device uses non-standard services and include a description of all non-standard services used. Describe usage and content such that a device from another vendor can interoperate with the device using the non-standard service. Provide descriptions with sufficient detail to allow a device from a different manufacturer to be programmed to both read and write the non-standard service request:

a. read: interpret the data contained in the non-standard service and;

b. write: given similar data, generate the appropriate non-standard service request.

3.2.9.3.6 Alarming Information

Indicate whether the device is used for alarm generation, and which types of alarm generation the device implements: intrinsic, local algorithmic, remote algorithmic.

3.2.9.3.7 Scheduling Information

Indicate whether the device is used for scheduling.

3.2.9.3.8 Trending Information

Indicate whether the device is used for trending, and indicate if the device is used to trend local values, remote values, or both.

3.2.9.4 Niagara Station ID

The Niagara Station ID for each Niagara Framework Supervisory Gateway

3.2.10 Points Schedule

Provide a Points Schedule in tabular form for each HVAC system, with the indicated columns and with each row representing a hardware point, network point or configuration point in the system.

a. When a Points Schedule was included in the Contract Drawing package, use the same fields as the Contract Drawing with updated information in addition to the indicated fields.

b. When Point Schedules are included in the contract package, items requiring contractor verification or input have been shown in angle brackets ("<" and ">"), such as <___> for a required entry or <value> for a value requiring confirmation. Complete all items in brackets as well as any blank cells. Do not modify values which are not in brackets without approval.

Points Schedule Columns must include:

3.2.10.1 Point Name

The abbreviated name for the point using the indicated naming convention.

3.2.10.2 Description

A brief functional description of the point such as "Supply Air Temperature".

3.2.10.3 DDC Hardware Identifier

The Unique DDC Hardware Identifier shown on the DDC Hardware Schedule and used across all drawings for the DDC Hardware containing the point.

3.2.10.4 Settings

The value and units of any setpoints, configured setpoints, configuration parameters, and settings related to each point.

3.2.10.5 Range

The range of values, including units, associated with the point, including but not limited to a zone temperature setpoint adjustment range, a sensor measurement range, occupancy values for an occupancy input, or the status of a safety.

3.2.10.6 Input or Output (I/O) Type

The type of input or output signal associated with the point. Use the following abbreviations for entries in this column:

a. AI: The value comes from a hardware (physical) Analog Input

b. AO: The value is output as a hardware (physical) Analog Output

c. BI: The value comes from a hardware (physical) Binary Input

d. BO: The value is output as a hardware (physical) Binary Output

e. PULSE: The value comes from a hardware (physical) Pulse Accumulator

Input

f. NET-IN: The value is provided from the network (generally from another device). Use this entry only when the value is received from another device as part of scheduling or as part of a sequence of operation, not when the value is received on the network for supervisory functions such as trending, alarming, override or display at a user interface.

g. NET-OUT: The value is provided to another controller over the network. Use this entry only when the value is transmitted to another device as part of scheduling or as part of a sequence of operation, not when the value is transmitted on the network for supervisory functions such as trending, alarming, override or display at a user interface.

3.2.10.7 Object and Property Information

The Object Type and Instance Number for the Object associated with the point. If the value of the point is not in the Present_Value Property, then also provide the Property ID for the Property containing the value of the point. Any point that is displayed at the front end or on an LDP, is trended, is used by another device on the network, or has an alarm condition must be documented here.

3.2.10.8 Niagara Station ID

The Niagara Station ID of the Niagara Framework Supervisory Gateway the point is mapped into.

3.2.10.9 Network Data Exchange Information (Gets Data From, Sends Data To)

Provide the DDC Hardware Identifier of other DDC Hardware the point is shared with.

3.2.10.10 Override Information (Object Type and Instance Number)

For each point requiring an Override and not residing in a Niagara Framework Supervisory Gateway, indicate if the Object for the point is Commandable or, if the use of a separate Object was specifically approved by the Contracting Officer, provide the Object Type and Instance Number of the Object to be used in overriding the point.

3.2.10.11 Trend Object Information

For each point requiring a trend, indicate if the trend is Local or Remote, the trend Object type and the trend Object instance number. For remote trends provide the DDC Hardware Identifier for the device containing the trend Object in the Points Schedule notes.

3.2.10.12 Alarm Information

Indicate the Alarm Generation Type, Event Enrollment Object Instance Number, and Notification Class Object Instance Number for each point requiring an alarm. (Note that not all alarms will have Event Enrollment Objects.)

For Niagara BACnet systems: Indicate the Alarm Generation Type and

Notification Class Object Instance Number for each point requiring an alarm. (Note that not all alarms will have a Notification Class Object.)

3.2.10.13 Configuration Information

Indicate the means of configuration associated with each point. For points in a Niagara Framework Supervisory Gateway, indicate the point within the Niagara Framework Supervisory Gateway used to configure the value. For other points:

a. For Operator Configurable Points indicate BACnet Object and Property information (Name, Type, Identifiers) containing the configurable value. Indicate whether the property is writable always, or only when Out_Of_Service is TRUE.

b. For Configurable Points indicate the BACnet Object and Property information as for Operator Configurable points, or identification of the configurable settings from within the engineering software for the device or identification of the hardware settings on the device.

3.2.11 Riser Diagram

The Riser Diagram of the Building Control Network may be in tabular form, and must show all DDC Hardware and all Network Hardware, including network terminators. For each item, provide the unique identifier, common descriptive name, physical sequential order (previous and next device on the network), room identifier and location within room. A single riser diagram must be submitted for the entire system.

3.2.12 Control System Schematics

Provide control system schematics in the same form as the control system schematic Contract Drawing with Contractor updated information. Provide a control system schematic for each HVAC system.

3.2.13 Sequences of Operation Including Control Logic Diagrams

Provide HVAC control system sequence of operation and control logic diagrams in the same format as the Contract Drawings. Within these drawings, refer to devices by their unique identifiers. Submit sequences of operation and control logic diagrams for each HVAC system

3.2.14 Controller, Motor Starter and Relay Wiring Diagram

Provide controller wiring diagrams as functional wiring diagrams which show the interconnection of conductors and cables to each controller and to the identified terminals of input and output devices, starters and package equipment. Show necessary jumpers and ground connections and the labels of all conductors. Identify sources of power required for control systems and for packaged equipment control systems back to the panel board circuit breaker number, controller enclosures, magnetic starter, or packaged equipment control circuit. Show each power supply and transformer not integral to a controller, starter, or packaged equipment. Show the connected volt-ampere load and the power supply volt-ampere rating. Provide wiring diagrams for each HVAC system.

3.3 CONTROLLER TUNING

Tune each controller in a manner consistent with that described in the ASHRAE FUN IP and in the manufacturer's instruction manual. Tuning must consist of adjustment of the proportional, integral, and where applicable, the derivative (PID) settings to provide stable closed-loop control. Each loop must be tuned while the system or plant is operating at a high gain (worst case) condition, where high gain can generally be defined as a low-flow or low-load condition. Upon final adjustment of the PID settings, in response to a change in controller setpoint, the controlled variable must settle out at the new setpoint with no more than two (2) oscillations above and below setpoint. Upon settling out at the new setpoint the controller output must be steady. With the exception of naturally slow processes such as zone temperature control, the controller must settle out at the new setpoint within five (5) minutes. Set the controller to its correct setpoint and record and submit the final PID configuration settings with the O&M Instructions and on the associated Points Schedule.

3.4 START-UP

3.4.1 Start-Up Test

Perform the following startup tests for each control system to ensure that the described control system components are installed and functioning per this specification.

Adjust, calibrate, measure, program, configure, set the time schedules, and otherwise perform all necessary actions to ensure that the systems function as indicated and shown in the sequence of operation and other contract documents.

3.4.1.1 Systems Check

An item-by-item check must be performed for each HVAC system

3.4.1.1.1 Step 1 - System Inspection

With the system in unoccupied mode and with fan hand-off-auto switches in the OFF position, verify that power and main air are available where required and that all output devices are in their failsafe and normal positions. Inspect each local display panel and each M&C Client to verify that all displays indicate shutdown conditions.

3.4.1.1.2 Step 2 - Calibration Accuracy Check

Perform a two-point accuracy check of the calibration of each HVAC control system sensing element and transmitter by comparing the value from the test instrument to the network value provided by the DDC Hardware. Use digital indicating test instruments, such as digital thermometers, motor-driven psychrometers, and tachometers. Use test instruments with accuracy at least twice as accurate as the specified sensor accuracy and with calibration traceable to National Institute of Standards and Technology standards. Check one the first check point in the bottom one-third of the sensor range, and the second in the top one-third of the sensor range. Verify that the sensing element-to-DDC readout accuracies at two points are within the specified product accuracy tolerances, and if not recalibrate or replace the device and repeat the calibration check.

3.4.1.1.3 Step 3 - Actuator Range Check

With the system running, apply a signal to each actuator through the DDC Hardware controller. Verify proper operation of the actuators and positioners for all actuated devices and record the signal levels for the extreme positions of each device. Vary the signal over its full range, and verify that the actuators travel from zero stroke to full stroke within the signal range. Where applicable, verify that all sequenced actuators move from zero stroke to full stroke in the proper direction, and move the connected device in the proper direction from one extreme position to the other. For valve actuators and damper actuators, perform the actuator range check under normal system pressures.

3.4.1.2 Weather Dependent Test

Perform weather dependent test procedures in the appropriate climatic season.

3.4.2 Start-Up Testing Report

Submit 4 copies of the Start-Up Testing Report. The report may be submitted as a Technical Data Package documenting the results of the tests performed and certifying that the system is installed and functioning per this specification, and is ready for the Performance Verification Test (PVT).

3.5 PERFORMANCE VERIFICATION TEST (PVT)

3.5.1 PVT Procedures

Prepare PVT Procedures, explaining step-by-step, the actions and expected results that will demonstrate that the control system performs in accordance with the sequences of operation, and other contract documents. Submit 4 copies of the PVT Procedures. The PVT Procedures may be submitted as a Technical Data Package.

3.5.1.1 Sensor Accuracy Checks

Include a one-point accuracy check of each sensor in the PVT procedures.

3.5.1.2 Endurance Test

Include a one-week endurance test as part of the PVT during which the system is operated continuously.

Use the building control system BACnet Trend Log or Trend Log Multiple Objects to trend all points shown as requiring a trend on the Point Schedule for the entire endurance test. If insufficient buffer capacity exists to trend the entire endurance test, upload trend logs during the course of the endurance test to ensure that no trend data is lost.

Use the building control system Niagara Trend Log Objects to trend all points shown as requiring a trend on the Point Schedule for the entire endurance test. If insufficient buffer capacity exists to trend the entire endurance test, upload trend logs during the course of the

endurance test to ensure that no trend data is lost.

3.5.1.3 PVT Equipment List

Include in the PVT procedures a control system performance verification test equipment list that lists the equipment to be used during performance verification testing. For each piece of equipment, include manufacturer name, model number, equipment function, the date of the latest calibration, and the results of the latest calibration

3.5.2 PVT Execution

Demonstrate compliance of the control system with the contract documents. Using test plans and procedures approved by the Government, software capable of reading and writing COV Notification Subscriptions, Notification Class Recipient List Properties, event enrollments, demonstrate all physical and functional requirements of the project. Show, step-by-step, the actions and results demonstrating that the control systems perform in accordance with the sequences of operation. Do not start the performance verification test until after receipt of written permission by the Government, based on Government approval of the PVT Plan and Draft As-Builts and completion of balancing. UNLESS GOVERNMENT WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. Do not conduct tests during scheduled seasonal off periods of base heating and cooling systems. If the system experiences any failures during the endurance test portion of the PVT, repair the system repeat the endurance test portion of the PVT until the system operates continuously and without failure for the specified endurance test period.

3.5.3 PVT Report

Prepare and submit a PVT report documenting all tests performed during the PVT and their results. Include all tests in the PVT procedures and any additional tests performed during PVT. Document test failures and repairs conducted with the test results.

Submit four copies of the PVT Report. The PVT Report may be submitted as a Technical Data Package.

3.6 PERFORMANCE VERIFICATION TESTING

3.6.1 General

PVT testing must demonstrate compliance of controls work with contract document requirements and must be performed by the Controls Contractor and Equipment Suppliers. No less than 14 calendar days prior to start of controls system installation, meet with the Contracting Office's technical representative (COTR) and the designing engineer of the HVAC systems, the Contractor's QA representative, the Contractor's Controls Contractor representative, and the control system Owner to develop a mutual understanding relate to the details of the PVT work requirements, including required submittals, work schedule, and field quality control.

3.6.2 Performance Verification Testing and Commissioning

PVT testing is a Government quality assurance function that includes

systems trending and field tests. Commissioning is a quality control function that is the Commissioning Team's responsibility to the extent required by this contract.

3.6.3 Performance Verification Testing of Equipment with Packaged Controls

Controls Contractor and Equipment Supplier(s) must share and coordinate PVT testing responsibilities for equipment provided with on-board factory packaged controls such as boiler controllers, dedicated outside air systems (DOAS's), and packaged pumping systems.

3.6.3.1 Controls Contractor Responsibilities

The Controls Contractor must provide a PVT Plan separate from Equipment Supplier's performance verification testing plan, perform endurance testing, and perform PVT testing concurrent with Equipment Suppliers' testing for equipment provided with on-board factory packaged controls to demonstrate the following:

a. Equipment enabling and disabling.

b. Equipment standard and optional control points necessary to accomplish functionality regardless if specified in contract documents or not.

c. Equipment standard and optional alarms critical to safe operation regardless if specified in contract documents or not.

d. All control points added by Controls Contractor in addition to onboard factory packaged controls regardless if specified in contract documents or not.

Refer to paragraphs titled "Performance Verification Test Plan" and "Endurance Testing" for additional information.

3.6.3.2 Equipment Supplier Responsibilities

Each Equipment Supplier must provide PVT Plans separate from Controls Contractor's plans and perform PVT testing concurrent with Controls Contractor's testing for their equipment provided with on-board factory packaged controls to demonstrate the following:

a. Equipment standard and optional control features necessary to accomplish functionality regardless if specified in contract documents or not.

b. Equipment standard and optional operation modes necessary to accomplish functionality regardless if specified in contract documents or not.

c. Equipment standard and optional alarm conditions for safe operation regardless if specified in contract documents or not.

Refer to all paragraphs under paragraph titled "Performance Verification Testing" except for section titled "Endurance Testing" for additional information.

3.6.4 Sequencing of Performance Verification Testing Activities

PVT activities must be sequenced with major activities listed below for

Test and Balance (TAB) Contractor, Equipment Suppliers, Commissioning Specialists, and others to demonstrate fully functioning systems. Refer to Section 01 32 16.00 20SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES. Complete the items in TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES as schedule activities or milestones.

TABLE III: SEQUENCING OF PVT TESTING ACTIVITIES	
SEQUENCE	ITEM
1	Submission, review, and approval of Control Contractors PVT Plans.
2	Submission, review, and approval of Equipment Suppliers PVT Plans.
3	Submission, review, and approval of certified final Test and Balance Report.
4	Conduct commissioning functional performance tests.
5	Submission, review, and approval of all of the Commissioning Specialists completed functional performance tests.
6	Request Contracting Officer to allow beginning of Government-witnessed PVT testing.
7	Contracting Officers approval to begin PVT testing.
8	Conduct PVT field work.
9	Governments verbal approval of PVT field work for all systems.
10	Conduct Test and Balance verification field work.
11	Governments written approval of Test and Balance verification field work.
12	Submission, review, and approval of endurance testing.
13	Governments written approval of PVT field work for all systems.
14	Facility acceptance recommendation.
15	Submission, review, and approval of Control Contractors PVT Report.
16	Submission, review, and approval of Equipment Suppliers PVT Report.
17	Conduct applicable re-testing and seasonal testing within 10 months of beneficial occupancy.

3.6.4.1 PVT Testing for Multi-Phase Construction

For air moving systems except outside air systems serving multiple phases, all major activities listed in TABLE III through Government’s verbal approval of Test and Balance verification field work can be completed by phase if all ductwork construction is completed for that phase.

For primary systems such as chilled water systems, HVAC heating hot water

systems, and outside air systems serving multiple phases, all major activities listed listed in TABLE III through Government’s verbal approval of Test and Balance verification field work for all air moving systems served by that primary system for that phase must be completed prior to conducting PVT field work for that primary system.

3.6.5 Control Contractor's Performance Verification Testing Plan

Submit a detailed PVT Plan of the proposed control systems testing in this contract for approval prior to its use. Develop and use a single PVT Plan for each system with a unique control sequence. Systems sharing an identical control sequence can be tested using copies of the PVT Plan intended for these systems.

PVT Plans must include system-based, step-by-step test methods demonstrating system performs in accordance with contract document requirements. The Government may provide sample PVT Plans upon request. PVT Plans must include the following:

a. Control sequences from contract documents segmented such that each control algorithm, operation mode, and alarm condition is immediately followed by numbered test methods required to initiate a response, expected response, space for comments, and “pass" or "fail” indication for each expected response.

b. PVT Plans with control sequences from contract documents that are not segmented into parts will not be accepted.

c. Indication where assisting personnel are required such as Mechanical Contractor.

d. Signature and date lines for the Contractor's PVT administrator, Contractor's quality assurance representative, and Contracting Officer's representative acknowledging completion of testing.

3.6.6 Performance Verification Testing Sample Size

PVT testing sample sizes will be as follows:

a. 100-Percent of the following systems:

(1) primary systems including, but not limited to, chilled water and HVAC heating hot water systems

(2) air handling unit systems including all associated fans except for remote exhaust air fans

(3) DOAS’s including all associated fans except for remote exhaust air fans

b. 20-Percent of each set of systems with a shared identical control sequence for systems such as:

(1) air terminal units

(2) exhaust air fans

(3) terminal equipment such as fan coil units and unit heaters

3.6.6.1 Selection of Systems to Test

For sample sets less than 100-percent, the Government will choose which systems will be tested. The Government may require additional testing if previous testing results are inconsistent or demonstrate improper system control as follows:

a. An additional 25-percent after five-percent failure rate of first sample set.

b. 100-percent after any failures occurring in additional sample set.

3.6.7 Conducting Performance Verification Testing

At least 15 days prior to preferred test date, request the Contracting Officer to allow the beginning of Government-witnessed PVT testing. Provide an estimated time table required to perform testing of each system. Furnish personnel, equipment, instrumentation, and supplies necessary to perform all aspects of testing. Testing personnel must be regularly employed in the testing and calibration of control systems. After receipt of Contracting Officer’s approval to begin testing, perform PVT testing using project's as-built (shop) control system drawings, project's design drawings, and approved PVT Plans.

During testing, identify deficiencies that do not meet contract document requirements. Deficiencies must be investigated, corrected with corrections documented, and re-tested at a later date following procedures for the initial PVT testing. The Government may require re-testing of any control system components affected by the original failed test.

3.6.8 Endurance Testing

3.6.8.1 General

Conduct endurance testing in conjunction with the PVT to demonstrate control loop stability and accuracy. For all control loops tested, record trend data of the control variables over time, demonstrating that the control loop responds to a sudden change of the control variable set point without excessive overshoot or undershoot. Conduct endurance testing for each system subject to PVT testing. Systems must be operating as normally anticipated during occupancy throughout endurance testing.

Endurance testing results must clearly demonstrate control loop stability and accuracy. Controlled loop outputs must be stable and accurately maintain each setpoint.

3.6.8.2 Hardware

Use Government furnished hardware for testing if available when endurance testing begins. If unavailable, the Contractor must provide suitable hardware for required testing.

If insufficient buffer capacity exists to trend the entire endurance test, upload trend data during the course of endurance testing to ensure all trend data is retained. Lost trend data will require retesting of all control points for affected system(s).

3.6.8.3 Endurance Testing Results Format

Submit endurance testing results for each tested system in a graphical format complete with clear indication of value(s) for y-axis, value for x-axis, and legend identifying each trended control point. The number of control points contained on a single graph must be such that all control points can be clearly visible. Control points must be logically grouped such that related points appear on a single graph. In addition, submit a separate comma separated value (CSV) file of raw trend data for each trended system. Each trended control point in CSV file must be clearly identified.

For control points recorded based on change of value, change of value for recording data must be clearly identified for each control point.

3.6.8.4 Endurance Testing Start, Duration, and Frequency

Trending of all control points for a given system must start at an identical date and time regardless of the basis of data collection. Duration of all endurance tests must be at least one-week.

Unless specified otherwise for control points recorded based on time, frequency of data collection must be 15-minutes . Frequency of data collection for specific types of control points is as follows:

3.6.8.4.1 Points Trended at One Minute Intervals

a. Temperature for supply air, return air, mixed air, supply water, and return water

b. Temperature for outside air, supply air, return air and exhaust air entering and leaving energy recovery device

c. Flow for supply air, return air, outside air, chilled water, and HVAC heating hot water

d. Flow for exhaust air associated with energy recovery

e. Relative humidity for outside air and return air

f. Relative humidity for outside air, supply air, return air and exhaust air entering and leaving energy recovery device

g. Command and status for control dampers and control valves

h. Speed for fans and pumps

i. Pressure for fans and pumps

3.6.8.4.2 Points Trended at 15 Minute Intervals

a. Temperature and relative humidity for zones

b. Temperature and relative humidity for outside air not associated with energy recovery

c. Command and status for equipment

d. Pressure relative to the outside for facility

3.6.8.5 Trended Control Points

Trended control points for each system must demonstrate each system performs in accordance with contract document requirements. Trended control points must include, but not be limited to, control points listed in contract document points list.

Minimum control points that are required to be trended for selected systems are listed below. These control points must be trended as applicable to this contract in addition to control points necessary to demonstrate systems perform in accordance with contract document requirements and those listed in contract document’s points list.

3.6.8.6 Endurance Testing Sample Size

Endurance Testing sample sizes ware as follows:

a. 100-Percent of the following systems:

(1) primary systems including, but not limited to, chilled water and HVAC heating hot water systems

(2) air handling unit systems including all associated fans except for remote exhaust air fans

(3) DOAS’s including all associated fans except for remote exhaust air fans

b. 20-Percent of each set of systems with a shared identical control sequence for systems such as:

(1) air terminal units

(2) exhaust air fans

(3) terminal equipment such as fan coil units and unit heaters

3.6.8.6.1 Selection of Systems to Test

For sample sets less than 100-percent, the Government will choose which systems will be tested. The Government may require additional testing if previous testing results are inconsistent or demonstrate improper system control as follows:

a. An additional 25-percent after five-percent failure rate of first sample set.

b. 100-percent after any failures occurring in additional sample set.

3.6.9 Performance Verification Test Report

Submit a PVT Report after receiving Government’s written approval of PVT field work that is intended to document test results and final control system sequences and settings prior to turnover. The PVT Report must contain the following:

a. Executive summary that briefly discusses results of each system’s endurance testing and PVT testing and conclusions for each system.

b. Endurance testing for each system.

c. Completed PVT Plan for each system used during testing that includes hand written field notes and participant signatures.

d. Blank PVT Plan for each system approved prior to testing that is edited to reflect changes occurring during testing. Edits must be typed and must reflect changes to control sequences from contract documents, must reflect changes to numbered test methods required to initiate a response, and must reflect changes to expected response. Only one blank PVT Plan is required for each set of systems sharing an identical control sequence, such as air terminal units, exhaust air fans, fan coil units and unit heaters.

e. Written certification that the installation and testing of all systems are complete and meet all contract document requirements.

3.7 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide HVAC control System Operation and Maintenance Instructions which include:

a. "Data Package 3" as indicated in Section 01 78 23 OPERATION AND MAINTENANCE DATA for each piece of control equipment.

b. "Data Package 4" as described in Section 01 78 23 OPERATION AND MAINTENANCE DATA for all air compressors.

c. HVAC control system sequences of operation formatted as indicated.

d. Procedures for the HVAC system start-up, operation and shut-down including the manufacturer's supplied procedures for each piece of equipment, and procedures for the overall HVAC system.

e. As-built HVAC control system detail drawings formatted as indicated.

f. Routine maintenance checklist. Provide the routine maintenance checklist arranged in a columnar format, where the first column lists all installed devices, the second column states the maintenance activity or that no maintenance required, the third column states the frequency of the maintenance activity, and the fourth column is used for additional comments or reference.

g. Qualified service organization list, including at a minimum company name, contact name and phone number.

h. Start-Up Testing Report.

i. Performance Verification Test (PVT) Procedures and Report.

Submit 2 copies of the Operation and Maintenance Instructions, indexed and in booklet form. The Operation and Maintenance Instructions may be submitted as a Technical Data Package.

3.8 MAINTENANCE AND SERVICE

Provide services, materials and equipment as necessary to maintain the entire system in an operational state as indicated for a period of one year from the date of final acceptance of the project. Minimize impacts on facility operations.

a. The integration of the system specified in this section into a Utility Monitoring and Control System must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period. Integration into a UMCS includes but is not limited to establishing communication between devices in the control system and the front end or devices in another system.

b. The changing of configuration properties must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period.

3.8.1 Description of Work

Provide adjustment and repair of the system including the manufacturer's required sensor and actuator (including transducer) calibration, span and range adjustment.

3.8.2 Personnel

Use only service personnel qualified to accomplish work promptly and satisfactorily. Advise the Government in writing of the name of the designated service representative, and of any changes in personnel.

3.8.3 Scheduled Inspections

Perform two inspections at six-month intervals and provide work required. Perform inspections in June and December. During each inspection perform the indicated tasks:

a. Perform visual checks and operational tests of equipment.

b. Clean control system equipment including interior and exterior surfaces.

c. Check and calibrate each field device. Check and calibrate 50 percent of the total analog inputs and outputs during the first inspection. Check and calibrate the remaining 50 percent of the analog inputs and outputs during the second major inspection. Certify analog test instrumentation accuracy to be twice the specified accuracy of the device being calibrated. Randomly check at least 25 percent of all binary inputs and outputs for proper operation during the first inspection. Randomly check at least 25 percent of the remaining binary inputs and outputs during the second inspection. If more than 20 percent of checked inputs or outputs failed the calibration check during any inspection, check and recalibrate all inputs and outputs during that inspection.

d. Run system software diagnostics and correct diagnosed problems.

e. Resolve any previous outstanding problems.

3.8.4 Scheduled Work

This work must be performed during regular working hours, Monday through Friday, excluding Federal holidays.

3.8.5 Emergency Service

The Government will initiate service calls when the system is not functioning properly. Qualified personnel must be available to provide service to the system. A telephone number where the service supervisor can be reached at all times must be provided. Service personnel must be at the site within 24 hours after receiving a request for service. The control system must be restored to proper operating condition as required per Section 01 78 00 CLOSEOUT SUBMITTALS.

3.8.6 Operation

After performing scheduled adjustments and repairs, verify control system operation as demonstrated by the applicable tests of the performance verification test.

3.8.7 Records and Logs

Keep dated records and logs of each task, with cumulative records for each major component, and for the complete system chronologically. Maintain a continuous log for all devices, including initial analog span and zero calibration values and digital points. Keep complete logs and provide logs for inspection onsite, demonstrating that planned and systematic adjustments and repairs have been accomplished for the control system.

3.8.8 Work Requests

Record each service call request as received and include its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion. Submit a record of the work performed within 5 days after work is accomplished.

3.8.9 System Modifications

Submit recommendations for system modification in writing. Do not make system modifications, including operating parameters and control settings, without prior approval of the Government.

3.9 TRAINING

Conduct a training course for 8 operating staff members designated by the Government in the maintenance and operation of the system, including specified hardware and software. Conduct 32 hours of training at the project site within 30 days after successful completion of the performance verification test. The Government reserves the right to make audio and visual recordings (using Government supplied equipment)of the training sessions for later use. Provide audiovisual equipment and other training materials and supplies required to conduct training. A training day is defined as 8 hours of classroom instruction, including two 15 minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

3.9.1 Training Documentation

Prepare training documentation consisting of:

a. Course Attendee List: Develop the list of course attendees in coordination with and signed by the HVAC shop supervisor.

b. Training Manuals: Provide training manuals which include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson. When presenting portions of the course material by audiovisuals, deliver copies of those audiovisuals as a part of the printed training manuals.

3.9.2 Training Course Content

For guidance in planning the required instruction, assume that attendees will have a high school education, and are familiar with HVAC systems. During the training course, cover all of the material contained in the Operating and Maintenance Instructions, the layout and location of each controller enclosure, the layout of one of each type of equipment and the locations of each, the location of each control device external to the panels, the location of the compressed air station, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. Present the results of the performance verification test and the Start-Up Testing Report as benchmarks of HVAC control system performance by which to measure operation and maintenance effectiveness.

3.9.3 Training Documentation Submittal Requirements

Submit hardcopy training manuals and all training materials on CD-ROM. Provide one hardcopy manual for each trainee on the Course Attendee List and 2 additional copies for archive at the project site. Provide 2 copies of the Course Attendee List with the archival copies. Training Documentation may be submitted as a Technical Data Package.

APPENDIX A

QC CHECKLIST FOR BACNET SYSTEMS

This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such.

Instructions: Initial each item in the space provided (|____|) verifying that the requirement has been met.

This checklist is for (circle one:)

Pre-Construction QC Checklist Submittal

Post-Construction QC Checklist Submittal

Close-out QC Checklist Submittal

Items verified for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:

1	All DDC Hardware is numbered on Control System Schematic Drawings.	|____|
2	Signal lines on Control System Schematic are labeled with the signal type.	|____|
3	Local Display Panel (LDP) Locations are shown on Control System Schematic drawings.	|____|

Items verified for Post-Construction and Closeout QC Checklist Submittals:

4	All sequences are performed as specified using DDC Hardware.	|____|
5	Training schedule and course attendee list has been developed and coordinated with shops and submitted.	|____|

Items verified for Closeout QC Checklist Submittal:

6	Final As-built Drawings, including all Points Schedule drawings, accurately represent the final installed system.	|____|
7	Programming software has been submitted for all programmable controllers.	|____|
8	All software has been licensed to the Government.	

QC CHECKLIST FOR BACNET SYSTEMS		
9	O&M Instructions have been completed and submitted.	|____|
10	Training course has been completed.	|____|
11	All DDC Hardware is installed on a BACnet ASHRAE 135 network using either MS/TP in accordance with Clause 9 or IP in accordance with Annex J.	|____|
12	All DDC Hardware is BTL listed.	|____|
13	Communication between DDC Hardware is only via BACnet using standard services, except as specifically permitted by the specification. Non-standard services have been fully documented in the DDC Hardware Schedule.	|____|
14	Scheduling, Alarming, and Trending have been implemented using the standard BACnet Objects for these functions.	|____|
15	All Properties indicated as required to be Writable are Writable and Overrides have been provided as indicated	|____|
	_____ _____	
	(QC Representative Signature) (Date)	

QC CHECKLIST FOR NIAGARA FRAMEWORK BASED BACNET SYSTEMS
This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such. Instructions: Initial each item in the space provided (|____|) verifying that the requirement has been met.
This checklist is for (circle one:) Pre-Construction QC Checklist Submittal Post-Construction QC Checklist Submittal Close-out QC Checklist Submittal
Items verified for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:

1	All DDC Hardware is numbered on Control System Schematic Drawings.	|____|

	QC CHECKLIST FOR NIAGARA FRAMEWORK BASED BACNET SYSTEMS	
2	Signal lines on Control System Schematic are labeled with the signal type.	|_____|
3	Local Display Panel (LDP) Locations are shown on Control System Schematic drawings.	|_____|
Items verified for Post-Construction and Closeout QC Checklist Submittals:		
4	All sequences are performed as specified using DDC Hardware.	|_____|
5	Training schedule and course attendee list has been developed and coordinated with shops and submitted.	|_____|
Items verified for Closeout QC Checklist Submittal:		
6	Final As-built Drawings, including all Points Schedule drawings, accurately represent the final installed system.	|_____|
7	Programming software has been submitted for all programmable controllers.	|_____|
8	All software has been licensed to the Government.	
9	O&M Instructions have been completed and submitted.	|_____|
10	Training course has been completed.	|_____|
11	All DDC Hardware is installed on a BACnet ASHRAE 135 network using either MS/TP in accordance with Clause 9 or IP in accordance with Annex J.	|_____|
12	All DDC Hardware is BTL listed.	|_____|
13	Communication between DDC Hardware is only via BACnet using standard services, except as specifically permitted by the specification. Non-standard services have been fully documented in the DDC Hardware Schedule.	|_____|
14	Scheduling, Alarming, and Trending have been implemented using Niagara Framework objects and services, and BACnet Instrinsic Alarming as indicated.	|_____|
15	All Properties indicated as required to be Writable are Writable and Overrides have been provided as indicated	|_____|
	_____ _____	
	(QC Representative Signature) (Date)	

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SECTION 23 09 13

INSTRUMENTATION AND CONTROL DEVICES FOR HVAC
11/15, CHG 2: 05/21

PART 1 GENERAL

1.1 SUMMARY

This section provides for the instrumentation control system components excluding direct digital controllers, network controllers, gateways etc. that are necessary for a completely functional automatic control system. When combined with a Direct Digital Control (DDC) system, the Instrumentation and Control Devices covered under this section must be a complete system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and indicated.

a. Install hardware to perform the control sequences as specified and indicated and to provide control of the equipment as specified and indicated.

b. Install hardware such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

c. Install and configure hardware such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the installing Contractor.

1.1.1 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.2 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere.

Section 01 30 00 ADMINISTRATIVE REQUIREMENTS

Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B16.18	(2021) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.22	(2021) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(2018) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes

ASTM INTERNATIONAL (ASTM)

ASTM A269/A269M	(2022) Standard Specification for Seamless and Welded Austenitic Stainless Steel Tubing for General Service
ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B75/B75M	(2020) Standard Specification for Seamless Copper Tube
ASTM B88	(2022) Standard Specification for Seamless Copper Water Tube
ASTM D635	(2018) Standard Test Method for Rate of Burning and/or Extent and Time of Burning of Plastics in a Horizontal Position
ASTM D638	(2014) Standard Test Method for Tensile Properties of Plastics
ASTM D792	(2013) Density and Specific Gravity (Relative Density) of Plastics by Displacement
ASTM D1238	(2013) Melt Flow Rates of Thermoplastics by Extrusion Plastometer
ASTM D1693	(2015) Standard Test Method for Environmental Stress-Cracking of Ethylene Plastics

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 142	(2007; Errata 2014) Recommended Practice for Grounding of Industrial and Commercial Power Systems - IEEE Green Book

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 94	(2023; Reprint May 2023) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 1820	(2004; Reprint May 2013) UL Standard for Safety Fire Test of Pneumatic Tubing for Flame and Smoke Characteristics
UL 5085-3	(2006; Reprint Jan 2022) UL Standard for Safety Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

1.4 SUBMITTALS

Submittal requirements are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.5 DELIVERY AND STORAGE

Store and protect products from the weather, humidity, and temperature variations, dirt and dust, and other contaminants, within the storage condition limits published by the equipment manufacturer.

1.6 INPUT MEASUREMENT ACCURACY

Select, install and configure sensors, transmitters and DDC Hardware such that the maximum error of the measured value at the input of the DDC hardware is less than the maximum allowable error specified for the sensor or instrumentation.

1.7 SUBCONTRACTOR SPECIAL REQUIREMENTS

Perform all work in this section in accordance with the paragraph entitled CONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS.

PART 2 PRODUCTS

2.1 EQUIPMENT

2.1.1 General Requirements

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1.2 Operation Environment Requirements

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

2.1.2.1 Pressure

Pressure conditions normally encountered in the installed location.

2.1.2.2 Vibration

Vibration conditions normally encountered in the installed location.

2.1.2.3 Temperature

a. Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.

b. Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

2.1.2.4 Humidity

10 to 95 percent relative humidity, non-condensing and also humidity conditions outside this range normally encountered at the installed location.

2.2 WEATHERSHIELDS

Provide weathershields constructed of galvanized steel painted white, unpainted aluminum, aluminum painted white, or white PVC.

2.3 TUBING

2.3.1 Copper

Provide ASTM B75/B75M or ASTM B88 rated tubing meeting the following requirements:

a. For tubing 0.375 inch outside diameter and larger provide tubing with minimum wall thickness equal to ASTM B88, Type M

b. For tubing less than 0.375 inch outside diameter provide tubing with minimum wall thickness of 0.025 inch

c. For exposed tubing and tubing for working pressures greater than 30 psig provide hard copper tubing.

d. Provide fittings which are ASME B16.18 or ASME B16.22 solder type using ASTM B32 95-5 tin-antimony solder, or which are ASME B16.26 compression type.

2.3.2 Stainless Steel

For stainless steel tubing provide tubing conforming to ASTM A269/A269M

2.3.3 Plastic

Provide plastic tubing with the burning characteristics of linear low-density polyethylene tubing which is self-extinguishing when tested in accordance with ASTM D635, has UL 94 V-2 flammability classification or better, and which withstands stress cracking when tested in accordance with ASTM D1693. Provide plastic-tubing bundles with Mylar barrier and flame-retardant polyethylene jacket.

2.3.4 Polyethylene Tubing

Provide flame-resistant, multiple polyethylene tubing in flame-resistant protective sheath with mylar barrier, or unsheathed polyethylene tubing in rigid metal, intermediate metal, or electrical metallic tubing conduit for areas where tubing is exposed. Single, unsheathed, flame-resistant polyethylene tubing may be used where concealed in walls or above ceilings and within control panels. Do not provide polyethylene tubing for systems indicated as critical and smoke removal systems, or for systems with working pressures over 30 psig. Provide compression or brass barbed push-on type fittings. Provide extruded seamless polyethylene tubing conforming to the following:

a. Minimum Burst Pressure Requirements: 100 psig at 75 degrees F to 25 psig at 150 degrees F.

b. Stress Crack Resistance: ASTM D1693, 200 hours minimum.

c. Tensile Strength (Minimum): ASTM D638, 1100 psi.

d. Flow Rate (Average): ASTM D1238, 0.30 decigram per minute.

e. Density (Average): ASTM D792, 57.5 pounds per cubic feet.

f. Burn rate: ASTM D635

g. Flame Propagation: UL 1820, less than 5 feet ASTM D635

h. Average Optical Density: UL 1820, less than 0.15 ASTM D635

2.4 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.4.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.4.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.4.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.4.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.4.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

2.5 SENSORS AND INSTRUMENTATION

Unless otherwise specified, provide sensors and instrumentation which incorporate an integral transmitter. Sensors and instrumentation, including their transmitters, must meet the specified accuracy and drift requirements at the input of the connected DDC Hardware's analog-to-digital conversion.

2.5.1 Analog and Binary Transmitters

Provide transmitters which match the characteristics of the sensor. Transmitters providing analog values must produce a linear 4-20 mAdc, 0-10 Vdc signal corresponding to the required operating range and must have zero and span adjustment. Transmitters providing binary values must have dry contacts rated at 1A at 24 Volts AC.

2.5.2 Network Transmitters

Sensors and Instrumentation incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS when used in a BACnet network.

2.5.3 Temperature Sensors

Provide the same sensor type throughout the project. Temperature sensors may be provided without transmitters. Where transmitters are used, the range must be the smallest available from the manufacturer and suitable for the application such that the range encompasses the expected range of

temperatures to be measured. The end to end accuracy includes the combined effect of sensitivity, hysteresis, linearity and repeatability between the measured variable and the end user interface (graphic presentation) including transmitters if used.

2.5.3.1 Sensor Accuracy and Stability of Control

2.5.3.1.1 Conditioned Space Temperature

Plus or minus 0.5 degree F over the operating range.

2.5.3.1.2 Unconditioned Space Temperature

a. Plus or minus 1 degree F over the range of 30 to 131 degrees F AND

b. Plus or minus 4 degrees F over the rest of the operating range.

2.5.3.1.3 Duct Temperature

Plus or minus 0.5 degree F

2.5.3.1.4 Outside Air Temperature

a. Plus or minus 2 degrees F over the range of -30 to +130 degrees F AND

b. Plus or minus 1 degree F over the range of 30 to 130 degrees F.

2.5.3.1.5 High Temperature Hot Water

Plus or minus 3.6 degrees F.

2.5.3.1.6 Chilled Water

Plus or minus 0.8 degrees F over the range of 35 to 65 degrees F.

2.5.3.1.7 Dual Temperature Water

Plus or minus 2 degrees F.

2.5.3.1.8 Heating Hot Water

Plus or minus 2 degrees F.

2.5.3.1.9 Condenser Water

Plus or minus 2 degrees F.

2.5.3.2 Transmitter Drift

The maximum allowable transmitter drift: 0.25 degrees F per year.

2.5.3.3 Point Temperature Sensors

Point Sensors must be encapsulated in epoxy, series 300 stainless steel, anodized aluminum, or copper.

2.5.3.4 Temperature Sensor Details

2.5.3.4.1 Room Type

Provide the sensing element components within a decorative protective cover suitable for surrounding decor.

2.5.3.4.2 Duct Probe Type

Ensure the probe is long enough to properly sense the air stream temperature.

2.5.3.4.3 Duct Averaging Type

Continuous averaging sensors must be one foot in length for each 1 square foot of duct cross-sectional area, and a minimum length of 5 feet.

2.5.3.4.4 Pipe Immersion Type

For pipes with larger than 3 inch diameter, provide minimum 3 inch immersion. For pipes with less than 3 inch diameter, provide immersion at least half the diameter of the pipe. Provide each sensor with a corresponding pipe-mounted sensor well, unless indicated otherwise. Sensor wells must be stainless steel when used in steel piping, and brass when used in copper piping.

2.5.3.4.5 Outside Air Type

Provide the sensing element rated for outdoor use

2.5.4 Relative Humidity Sensor

Relative humidity sensors must use bulk polymer resistive or thin film capacitive type non-saturating sensing elements capable of withstanding a saturated condition without permanently affecting calibration or sustaining damage. The sensors must include removable protective membrane filters. Where required for exterior installation, sensors must be capable of surviving below freezing temperatures and direct contact with moisture without affecting sensor calibration. When used indoors, the sensor must be capable of being exposed to a condensing air stream (100 percent relative humidity) with no adverse effect to the sensor's calibration or other harm to the instrument. The sensor must be of the wall-mounted or duct-mounted type, as required by the application, and must be provided with any required accessories. Sensors used in duct high-limit applications must have a bulk polymer resistive sensing element. Duct-mounted sensors must be provided with a duct probe designed to protect the sensing element from dust accumulation and mechanical damage. Relative humidity (RH) sensors must measure relative humidity over a range of 0 percent to 100 percent with an accuracy of plus or minus 2 percent. RH sensors must function over a temperature range of 40 to 135 degrees F and must not drift more than 1 percent per year.

2.5.5 Differential Pressure Instrumentation

2.5.5.1 Differential Pressure Sensors

Provide Differential Pressure Sensors with ranges as indicated or as required for the application. Pressure sensor ranges must not exceed the high end range indicated on the Points Schedule by more than 50 percent.

The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The accuracy must be plus or minus 1 percent of full scale. The sensor must have a maximum drift of 2 percent per year

2.5.5.2 Differential Pressure Switch

Provide differential pressure switches with a user-adjustable setpoint which are sized for the application such that the setpoint is between 25 percent and 75 percent of the full range. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The switch must have two sets of contacts and each contact must have a rating greater than it's connected load. Contacts must open or close upon rise of pressure above the setpoint or drop of pressure below the setpoint as indicated.

2.5.6 Flow Sensors

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 General Installation Requirements

Perform the installation under the supervision of competent technicians regularly employed in the installation of DDC systems.

3.1.1.1 Device Mounting Criteria

All devices must be installed in accordance with manufacturer's recommendations and as specified and indicated. Control devices to be installed in piping and ductwork must be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Strap-on temperature sensing elements must not be used except as specified. Spare thermowells must be installed adjacent to each thermowell containing a sensor and as indicated. Devices located outdoors must have a weathershield.

3.1.1.2 Labels and Tags

Match labels and tags to the unique identifiers indicated on the As-Built drawings. Label all enclosures and instrumentation. Tag all sensors and actuators in mechanical rooms. Tag airflow measurement arrays to show flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient. Tag duct static pressure taps at the location of the pressure tap. Provide plastic or metal tags, mechanically attached directly to each device or attached by a metal chain or wire. Labels exterior to protective enclosures must be engraved plastic and mechanically attached to the enclosure or instrumentation. Labels inside protective enclosures may attached using adhesive, but must not be hand written.

3.1.2 Room Instrument Mounting

Mount room instruments, including but not limited to wall mounted non-adjustable space sensor modules and sensors located in occupied spaces, 48 inches above the floor unless otherwise indicated. Install adjustable devices to be ADA compliant unless otherwise indicated on the Room Sensor

Schedule:

a. Space Sensor Modules for Fan Coil Units may be either unit or wall mounted but not mounted on an exterior wall.

b. Wall mount all other Space Sensor Modules.

3.1.3 Temperature Sensors

Install temperature sensors in locations that are accessible and provide a good representation of sensed media. Installations in dead spaces are not acceptable. Calibrate and install sensors according to manufacturer’s instructions. Select sensors only for intended application as designated or recommended by manufacturer.

3.1.3.1 Room Temperature Sensors

Mount the sensors on interior walls to sense the average room temperature at the locations indicated. Avoid locations near heat sources such as copy machines or locations by supply air outlet drafts. Mount the center of all user-adjustable sensors at the heights indicated. Non user-adjustable sensors can be mounted as indicated in paragraph ROOM INSTRUMENT MOUNTING.

3.1.4 Wire and Cable

Provide complete electrical wiring for the Control System, including wiring to transformer primaries. Wire and Cable must be installed without splices between control devices and in accordance with NFPA 70 and NFPA 90A. Instrumentation grounding must be installed per the device manufacturer's instructions and as necessary to prevent ground loops, noise, and surges from adversely affecting operation of the system. Test installed ground rods as specified in IEEE 142. Cables and conductor wires must be tagged at both ends, with the identifier indicated on the shop drawings. Electrical work must be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and as indicated. Wiring external to enclosures must be run in raceways, except low-voltage control and low-voltage network wiring may be installed as follows:

a. plenum rated cable in suspended ceilings over occupied spaces may be run without raceways

b. nonmetallic-sheathed cables or metallic-armored cables may be installed as permitted by NFPA 70.

Install control circuit wiring not in raceways in a neat and safe manner. Wiring must not use the suspended ceiling system (including tiles, frames or hangers) for support. Where conduit or raceways are required, control circuit wiring must not run in the same conduit/raceway as power wiring over 50 volts. Run all circuits over 50 volts in conduit, metallic tubing, covered metal raceways, or armored cable.

-- End of Section --

SECTION 23 09 23.02

BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS
02/19, CHG 1: 02/20

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and shown and in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.1.1 System Requirements

Provide a system meeting the requirements of both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section and with the following characteristics:

a. Except for Gateways, the control system must be an open implementation of BACnet technology using ASHRAE 135 and Fox as the communications protocols. The system must use standard ASHRAE 135 Objects and Properties and the Niagara Framework. The system must use standard ASHRAE 135 Services and the Niagara Framework exclusively for communication over the network. Gateways to packaged units must communicate with other DDC hardware using ASHRAE 135 or the Fox protocol exclusively and may communicate with packaged equipment using other protocols. The control system must be installed such that any two ASHRAE 135 devices on the Internetwork can communicate using standard ASHRAE 135 Services.

   Except for Gateways, the control system must be an open implementation of BACnet technology using ASHRAE 135 as the communications protocol. The system must use standard ASHRAE 135 Objects and Properties. The system must use standard ASHRAE 135 Services exclusively for communication over the network. Gateways to packaged units must communicate with other DDC hardware using ASHRAE 135 exclusively and may communicate with packaged equipment using other protocols. The control system must be installed such that any two devices on the Internetwork can communicate using standard ASHRAE 135 Services.

b. Install and configure control hardware to provide ASHRAE 135 Objects and Properties or Niagara Framework Objectsas indicated and as needed to meet the requirements of this specification.

c. Use Niagara Framework hardware and software exclusively for scheduling, trending, and communication with a front end (UMCS). Use Niagara Framework or standard BACnet Objects and services for alarming. Use the Fox protocol for all communication between Niagara Framework Supervisory Gateways; use the ASHRAE 135 protocol for all other building communication. Niagara Framework Supervisory Gateway must serve web pages as specified.

d. Use Niagara Framework either AX or Version 4.0 or later.

1.1.2 Verification of Specification Requirements

Review all specifications related to the control system installation and advise the Contracting Officer of any discrepancies before performing any work. If Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC or any other Section referenced in this specification is not included in the project specifications advise the Contracting Officer and either obtain the missing Section or obtain Contracting Officer approval before performing any work.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2020; Interpretation 1-8 2021; Errata 1-2 2021; Addenda CD 2021; Addenda BV-CE 2022; Interpretation 9-12 2022; Interpretation 13-17 2023; Addenda BV-CF 2023; Errata 3 2023) BACnet—A Data Communication Protocol for Building Automation and Control Networks

BACNET INTERNATIONAL (BTL)

BTL Guide	(v.49; 2017) BACnet Testing Laboratory Implementation Guidelines

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.3	(2022) Ethernet

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-485	(1998a; R 2012) Electrical Characteristics of Generators and Receivers for Use in Balanced Digital Multipoint Systems

TRIDIUM, INC (TRIDIUM)

Niagara Framework	(2012) NiagaraAX User's Guide
Tridium Open NiCS	(2005) Understanding the NiagaraAX Compatibility Statement (NiCS)

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC Part 15	Radio Frequency Devices (47 CFR 15)

UNDERWRITERS LABORATORIES (UL)

UL 916	(2015; Reprint Oct 2021) UL Standard for Safety Energy Management Equipment

1.3 DEFINITIONS

For definitions related to this section, see Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.4 SUBMITTALS

Submittal requirements related to this Section are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

PART 2 PRODUCTS

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1 NETWORK HARDWARE

2.1.1 BACnet Router

All BACnet Routers must be BACnet/IP Routers and must perform layer 3 routing of ASHRAE 135 packets over an IP network in accordance with ASHRAE 135 Annex J and Clause 6. The router must provide the appropriate connection to the IP network and connections to one or more ASHRAE 135 MS/TP networks. Devices used as BACnet Routers must meet the requirements for DDC Hardware, and except for Niagara Framework Supervisory Gateways, devices used as BACnet routers must support the NM-RC-B BIBB.

2.1.2 BACnet Gateways

In addition to the requirements for DDC Hardware, the BACnet Gateway must be a Niagara Framework Supervisory Gateway or must meet the following requirements:

a. It must perform bi-directional protocol translation from one non-ASHRAE 135 protocol to ASHRAE 135. BACnet Gateways must incorporate a network connection to an ASHRAE 135 network (either BACnet over IP in accordance with Annex J or MS/TP) and a separate connection appropriate for the non-ASHRAE 135 protocol and media.

b. It must retain its configuration after a power loss of an indefinite time, and must automatically return to their pre-power loss state once power is restored.

c. It must allow bi-directional mapping of data between the non-ASHRAE 135 protocol and Standard Objects as defined in ASHRAE 135. It must support the DS-RP-B BIBB for Objects requiring read access and the DS-WP-B BIBB for Objects requiring write access.

d. It must support the DS-COV-B BIBB.

Although Gateways must meet DDC Hardware requirements , except for Niagara Framework Supervisory Gateways, they are not DDC Hardware and must not be used when DDC Hardware is required. (Niagara Framework Supervisory Gateways are both Gateways and DDC Hardware.)

2.1.3 Ethernet Switch

Ethernet Switches must be managed switches and must autoconfigure between 10,100 and 1000 megabits per second (MBPS).

2.2 CONTROL NETWORK WIRING

a. BACnet MS/TP communications wiring must be in accordance with ASHRAE 135. The wiring must use shielded, three wire (twisted-pair with reference) cable with characteristic impedance between 100 and 120 ohms. Distributed capacitance between conductors must be less than 30 pF per foot.

b. Building Control Network Backbone IP Network must use Ethernet media. Ethernet cables must be CAT-5e at a minimum and meet all requirements of IEEE 802.3 .

2.3 DIRECT DIGITAL CONTROL (DDC) HARDWARE

2.3.1 General Requirements

All DDC Hardware must meet the following requirements:

a. It must be locally powered and must incorporate a light to indicate the device is receiving power.

b. It must conform to the BTL Guide

c. It must be BACnet Testing Laboratory (BTL) Listed.

d. The Manufacturer's Product Data submittal for each piece of DDC Hardware must include the Protocol Implementation Conformance Statement (PICS) for that hardware as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

e. It must communicate and be interoperable in accordance with ASHRAE 135 and have connections for BACnet IP or MS/TP control network wiring.

f. Other than devices controlling terminal units or functioning solely as a BACnet Router, it must support DS-COV-B, DS-RPM-A and DS-RPM-B BIBBs.

g. Devices supporting the DS-RP-A BIBB must also support the DS-COV-A BIBB.

h. Application programs, configuration settings and communication information must be stored in a manner such that they persist through loss of power:

(1) Application programs must persist regardless of the length of time power is lost.

(2) Configured settings must persist for any loss of power less than 2,500 hours.

(3) Communication information, including but not limited to COV subscriptions, event reporting destinations, Notification Class Object settings, and internal communication settings, must persist for any loss of power less than 2,500 hours.

i. Internal Clocks:

(1) Clocks in DDC Hardware incorporating a Clock must continue to function for 120 hours upon loss of power to the DDC Hardware.

(2) DDC Hardware incorporating a Clock must support the DM-TS-B or DM-UTC-B BIBB.

j. It must have all functionality indicated and required to support the application (Sequence of Operation or portion thereof) in which it is used, including but not limited to providing Objects or Niagara Framework Points as specified and as indicated on the Points Schedule.

k. In addition to these general requirements and the DDC Hardware Input-Output (I/O) Function requirements, all DDC Hardware must also meet any additional requirements for the application in which it is used (e.g. scheduling, alarming, trending, etc.).

l. It must meet FCC Part 15 requirements and have UL 916 or equivalent safety listing.

m. Except for Niagara Framework Supervisory Gateways, Device must support Commandable Objects to support Override requirements as detailed in PART 3 EXECUTION

n. User interfaces which allow for modification of Properties or settings must be password-protected.

o. Devices communicating BACnet MS/TP must meet the following requirements:

(1) Must have a configurable Max_Master Property.

(2) DDC Hardware other than hardware controlling a single terminal unit must have a configurable Max_Info_Frames Property.

(3) Must respond to any valid request within 50 msec with either the appropriate response or with a response of "Reply Postponed".

(4) Must use twisted pair with reference and shield (3-wire media) wiring, or twisted pair with shield (2-wire media) wiring and use half-wave rectification.

p. Devices communicating BACnet/IP must use UDP Port 0xBAC0. Devices with configurable UDP Ports must default to 0xBAC0.

q. All Device IDs, Network Numbers, and BACnet MAC addresses of devices must be fully configurable without limitation, except MS/TP MAC addresses may be limited by ASHRAE 135 requirements.

r. Except for Niagara Framework Supervisory Gateways, DDC Hardware controlling a single terminal unit must have:

(1) Objects (including the Device Object) with an Object Name Property of at least 8 characters in length.

(2) A configurable Device Object Name.

(3) A configurable Device Object Description Property at least 16

characters in length.

s. Except for Objects in either Niagara Framework Supervisory Gateways or DDC Hardware controlling a single terminal unit, all Objects (including Device Objects) must:

(1) Have a configurable Object Name Property of at least 12 characters in length.

(2) Have a configurable Object Description Property of at least 24 characters in length.

t. For programmable DDC Hardware, provide and license to the project site all programming software required to program the Hardware in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

u. For programmable DDC Hardware, provide copies of the installed application programs (all software that is not common to every controller of the same manufacturer and model) as source code compatible with the supplied programming software in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The submitted application program must be the complete application necessary for controller to function as installed and be sufficient to allow replacement of the installed controller with another controller of the same type.

2.3.2 Hardware Input-Output (I/O) Functions

DDC Hardware incorporating hardware input-output (I/O) functions must meet the following requirements:

2.3.2.1 Analog Inputs

DC Hardware analog inputs (AIs) must be implemented using ASHRAE 135 Analog Input Objects and perform analog to digital (A-to-D) conversion with a minimum resolution of 8 bits plus sign or better as needed to meet the accuracy requirements specified in Section 23 09 00. Signal conditioning including transient rejection must be provided for each analog input. Analog inputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. The AI must incorporate common mode noise rejection of at least 50 dB from 0 to 100 Hz for differential inputs, and normal mode noise rejection of at least 20 dB at 60 Hz from a source impedance of 10,000 ohms.

2.3.2.2 Analog Outputs

DDC Hardware analog outputs (AOs) must be implemented using ASHRAE 135 Analog Output Objects and perform digital to analog (D-to-A) conversion with a minimum resolution of 8 bits plus sign, and output a signal with a range of 4-20 mAdc or 0-10 Vdc. Analog outputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. DDC Hardware with Hand-Off-Auto (H-O-A) switches for analog outputs must provide for overriding the output through the range of 0 percent to 100 percent

2.3.2.3 Binary Inputs

DDC Hardware binary inputs (BIs) must be implemented using ASHRAE 135 Binary Input Objects and accept contact closures and must ignore transients of less than 5 milli-second duration. Protection against a transient 50VAC must be provided.

2.3.2.4 Binary Outputs

DDC Hardware binary outputs (BOs) must be implemented using ASHRAE 135 Binary Output Objects and provide relay contact closures or triac outputs for momentary and maintained operation of output devices. DDC Hardware with H-O-A switches for binary outputs must provide for overriding the output open or closed.

2.3.2.4.1 Relay Contact Closures

Closures must have a minimum duration of 0.1 second. Relays must provide at least 180V of isolation. Electromagnetic interference suppression must be provided on all output lines to limit transients to 50 Vac. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.4.2 Triac Outputs

Triac outputs must provide at least 180 V of isolation. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.5 Pulse Accumulator

DDC Hardware pulse accumulators must be implemented using either an ASHRAE 135 Accumulator Object or an ASHRAE 135 Analog Value Object where the Present_Value is the totalized pulse count. Pulse accumulators must accept contact closures, ignore transients less than 5 msec duration, protect against
transients of 50 VAC, and accept rates of at least 20 pulses per second.

2.3.2.6 ASHRAE 135 Objects for Hardware Inputs and Outputs

The requirements for use of ASHRAE 135 objects for hardware input and outputs includes devices where the hardware sensor or actuator is integral to the controller (e.g. a VAV box with integral damper actuator, a smart sensor, a VFD, etc.)

2.3.3 Local Display Panel (LDP)

The Local Display Panels (LDPs) must be DDC Hardware with a display and navigation buttons or a touch screen display, and must provide display and adjustment of Niagara Framework points or ASHRAE 135 Properties as indicated on the Points Schedule and as specified. LDPs must be either BTL Listed as a B-OD, B-OWS, B-AWS, or be an integral part of another piece of DDC Hardware listed as a B-BC. For LDPs listed as B-OWS or B-AWS, the hardware must be BTL listed and the product must come factory installed with all applications necessary for the device to function as an LDP.

The adjustment of values using display and navigation buttons must be password protected.

2.3.4 Expansion Modules and Tethered Hardware

A single piece of DDC Hardware may consist of a base unit and also:

a. An unlimited number of hardware expansion modules, where the individual hardware expansion modules are designed to directly connect, both mechanically and electrically, to the base unit hardware. The expansion modules must be commercially available as an optional add-on to the base unit.

b. A single piece of hardware connected (tethered) to a base unit by a single cable where the cable carries a proprietary protocol between the base unit and tethered hardware. The tethered hardware must not contain control logic and be commercially available as an optional add-on to the base unit as a single package.

   Note that this restriction on tethered hardware does not apply to sensors or actuators using standard binary or analog signals (not a communications protocol); sensors or actuators using standard binary or analog signals are not considered part of the DDC Hardware.

Hardware capable of being installed stand-alone, or without a separate base unit, is DDC Hardware and must not be used as expansion modules or tethered hardware.

2.3.5 Supervisory Control Requirements

2.3.5.1 Scheduling Hardware

DDC Hardware used for scheduling must meet the following requirements:

a. It must be BTL Listed as a B-BC and support the SCHED-E-B BIBB.

b. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Calendar and Schedule Objects, such that a front end BTL listed as a B-AWS may create or delete Calendar and Schedule Objects. It is also preferred but not required that devices supporting the DM-OCD-B BIBB accept any valid value for properties of Calendar and Schedule Objects. Note that there are additional requirements in the EXECUTION Part of this Section for Devices which do not support the DM-OCD-B BIBB as specified.

c. The Date_List property of all Calendar Objects must be writable.

d. The Present_Value Property of Schedule must support the following values: 1, 2, 3, 4.

2.3.5.2 Alarm Generation Hardware

Non-Niagara Framework DDC Hardware used for alarm generation must meet the following requirements:

a. Device must support the AE-N-I-B BIBB

b. The Recipient_List Property must be Writable for all Notification Class Objects used for alarm generation.

c. For all Objects implementing Intrinsic Alarming, the following Properties must be Writable:

(1) Time_Delay
(2) High_Limit
(3) Low_Limit
(4) Deadband
(5) Event_Enable
(6) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writable.

d. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Notification Class Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Notification Class Objects.

d. For Event Enrollment Objects used for alarm generation, the following Properties must be Writable:

(1) Event_Parameters
(2) Event_Enable
(3) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writable.

e. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Notification Class Objects and Event Enrollment Objects, such that a front end BTL listed as a B-AWS may create or delete Notification Class Objects and Event Enrollment Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Notification Class Objects and Event Enrollment Objects. Note that there are additional requirements in the EXECUTION Part of this Section for devices which do not support the DM-OCD-B BIBB as specified.

f. Devices provided to meet the the requirements indicated under "Support for Future Alarm Generation" in the EXECUTION part of this specification must support the AE-N-E-B BIBB.

2.3.5.3 Trending Hardware

DDC Hardware used for collecting trend data must meet the following requirements:

a. Device must support Trend Log or Trend Log Multiple Objects.

b. Device must support the T-VMT-I-B BIBB.

c. Devices provided to meet the EXECUTION requirement for support of Future Trending must support the T-VMT-E-B BIBB.

d. The following properties of all Trend Log or Trend Log Multiple Objects must be present and Writable:
Start_Time
Stop_Time
Log_DeviceObjectProperty
Log Interval Log interval must support an interval of at least 60 minutes duration.

e. Trend Log Objects must support using Intrinsic Reporting to send a BUFFER_FULL event.

f. The device must have a Notification Class Object for the BUFFER_FULL

event. The Recipient_List Property must be Writable.

g. Devices must support values of at least 1,000 for Buffer_Size Properties.

h. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Trend Log Objects, such that a front end BTL listed as a A-AWS may create or delete Trend Log Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Trend Log Objects. Note that there are additional EXECUTION requirements for devices which do not support the DM-OCD-B BIBB as specified.

2.3.6 Niagara Framework Supervisory Gateway

Any device implementing the Niagara Framework is a Niagara Framework Supervisory Gateway and must meet these requirements. In addition to the general requirements for all DDC Hardware, Niagara Framework Supervisory Gateway Hardware must:

a. Be direct digital control hardware.

b. Have an unrestricted interoperability license and its Niagara Compatibility Statement (NiCS) must follow the Tridium Open NiCS Specification.

c. Manage communications between a field control network and the Niagara Framework Monitoring and Control Software, and between itself and other Niagara Framework Supervisory Gateways. Niagara Framework Supervisory Gateway Hardware must use Fox protocol for communication with other Niagara Framework Components, regardless of the manufacturer of the other components.

d. Be fully programmable using the Niagara Framework Engineering Tool and must support the following:

(1) Time synchronization, Calendar, and Scheduling using Niagara Scheduling Objects

(2) Alarm generation and routing using the Niagara Alarm Service

(3) Trending using the Niagara History Service and Niagara Trend Log Objects

(4) Integration of field control networks using the Niagara Framework Engineering Tool

(5) Configuration of integrated field control system using the Niagara Framework Engineering Tool when supported by the field control system

e. Meet the following minimum hardware requirements:

(1) One 10/100/1000 Mbps Ethernet Port(s)

(2) One or more MS/TP ports.

(3) Central Processing Unit of 600 Mhz or higher.

f. Provide access to field control network data and supervisory functions via web interface and support a minimum of 16 simultaneous users. Note: implementation of this capability may not be required on all projects.

g. Submit a backup of each Niagara Framework Supervisory Gateway as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The backup must be sufficient to restore a Niagara Framework Supervisory Gateway to the final as-built condition such that a new Niagara Framework Supervisory Gateway loaded with the backup is indistinguishable in functionality from the original.

2.4 NIAGARA FRAMEWORK ENGINEERING TOOL

The Niagara Framework Engineering Tool must be Niagara Workbench or an equivalent Niagara Framework engineering tool software must:

a. Have an unrestricted interoperability license and its Niagara Compatibility Statement (NiCS) must follow the Tridium Open NiCS Specification.

b. Be capable of performing network configuration for Niagara Framework Supervisory Gateways and Niagara Framework Monitoring and Control Software.

c. Be capable of programming and configuring of Niagara Framework Supervisory Gateways and Niagara Framework Monitoring and Control Software.

d. Be capable of discovery of Niagara Framework Supervisory Gateways and all points mapped into each Niagara Framework Supervisory Gateway and making these points accessible to Niagara Framework Monitoring and Control Software.

PART 3 EXECUTION

3.1 CONTROL SYSTEM INSTALLATION

3.1.1 Niagara Framework Engineering Tool

Provide a Niagara Framework Engineering Tool.

3.1.2 Building Control Network (BCN)

Install the Building Control Network (BCN) as a single BACnet Internetwork consisting of a single IP network as the BCN Backbone and zero or more BACnet MS/TP networks. Note that in some cases there may only be a single device on the BCN Backbone.

Except for the IP Network and as permitted for the non-BACnet side of Gateways, use exclusively ASHRAE 135 networks.

3.1.2.1 Building Control Network IP Backbone

Install IP Network Cabling in conduit. Install Ethernet Switches in lockable enclosures. Install the Building Control Network (BCN) IP Backbone such that it is available at the Facility Point of Connection (FPOC) location as indicated. When the FPOC location is a room number, provide sufficient additional media to ensure that the Building Control

Network (BCN) IP Backbone can be extended to any location in the room.

Use UDP port 0xBAC0 for all BACnet traffic on the IP network. (Note that in a Niagara Framework system there may not be BACnet traffic on the IP Network)

3.1.2.2 BACnet MS/TP Networks

When using MS/TP, provide MS/TP networks in accordance with ASHRAE 135 and in accordance with the ASHRAE 135 figure "Mixed Devices on 3-Conductor Cable with Shield" (Figure 9-1.4 in the 2012 version of ASHRAE 135). Ground the shield at the BACnet Router and at no other point. Ground the reference wire at the BACnet Router through a 100 ohm resistor and do not ground it at any other point. In addition:

a. Provide each segment in a doubly terminated bus topology in accordance with TIA-485.

b. Provide each segment with 2 sets of network bias resistors in accordance with ASHRAE 135, with one set of resistors at each end of the MS/TP network.

c. Use 3 wire (twisted pair and reference) with shield media for all MS/TP media installed inside. Use fiber optic isolation in accordance with ASHRAE 135 for all MS/TP media installed outside buildings, or between multiple buildings.

d. For 18 AWG cable, use segments with a maximum length of 4000 ft. When using greater distances or different wire gauges comply with the electrical specifications of TIA-485.

e. For each controller that does not use the reference wire provide transient suppression at the network connection of the controller if the controller itself does not incorporate transient suppression.

f. Install no more than 32 devices on each MS/TP segment. Do not use MS/TP to MS/TP routers.

g. Connect each MS/TP network to the BCN backbone via a Niagara Framework Supervisory Gateway configured as a BACnet Router.

h. For BACnet Routers, configure the MS/TP MAC address to 0. Assign MAC Addresses to other devices consecutively beginning at 1, with no gaps.

i. Configure the Max_Master Property of all devices to be 31.

3.1.2.3 Building Control Network (BCN) Installation

Provide a building control network meeting the following requirements:

a. Install all DDC Hardware connected to the Building Control Network.

b. Where multiple pieces of DDC Hardware are used to execute one sequence, install all DDC Hardware executing that sequence on a single MS/TP network dedicated to that sequence.

c. Traffic between BACnet networks must be exclusively via BACnet routers.

d. Use the Fox protocol for all traffic both originating and terminating at Niagara Framework components. Use the Fox protocol for all traffic originating or terminating at a Niagara Framework UMCS (including traffic to or from a future UMCS). All other traffic, including traffic between ASHRAE 135 devices and traffic between Niagara Framework Supervisory Gateways and ASHRAE 135 devices must be in accordance with ASHRAE 135.

3.1.3 DDC Hardware

Install all DDC Hardware that connects to an IP network in lockable enclosure. Install other DDC Hardware that is not in suspended ceilings in lockable enclosures. For all DDC hardware with a user interface, coordinate with site to determine proper passwords and configure passwords into device.

a. Except for zone sensors (thermostats), install all Tethered Hardware within 6 feet of its base unit.

b. Install and configure all BTL-Listed devices in a manner consistent with their BTL Listing such that the device as provided still meets all requirements necessary for its BTL Listing.

c. Install and configure all BTL-Listed devices in a manner consistent with the BTL Device Implementation Guidelines such that the device as provided meets all those Guidelines.

3.1.3.1 Device Identifiers, Network Addresses, and IP addresses

a. Do not use any Device Identifier or Network Number already used by another BACnet system at the project site. .

b. Coordinate device IP addresses with installation..

3.1.3.2 ASHRAE 135 Object Name Property and Object Description Property

Configure the Object_Names and Object_Descriptions properties of all ASHRAE 135 Objects (including Device Objects) as indicated on the Points Schedule (Point Name and Point Description) and as specified. At a minimum:

a. Except for DDC Hardware controlling a single terminal unit, configure the Object_Name and Object_Description properties of all Objects (including Device Objects) as indicated on the Points Schedule and as specified.

b. In DDC Hardware controlling a single terminal unit, configure the Device Object_Name and Device Object_Description as indicated on the Points Schedule and as specified.

When Points Schedule entries exceed the length limitations in the device, provide recommended alternatives for approval.

3.1.3.3 Niagara Framework Point Names and Descriptions

Configure the names and descriptions of all Points in Niagara Framework Supervisory Gateways as indicated on the Points Schedule and as specified.

3.1.3.4 Niagara Station IDs

Ensure that Niagara Station IDs of new Niagara Framework Supervisory

Gateways are maintained as unique within UMCS front-end, including ensuring they do not conflict with any existing Niagara Station ID.

3.1.3.5 MS/TP Slave Devices

Configure all MS/TP devices as Master devices. Do not configure any devices to act as slave devices.

3.1.3.6 Change of Value (COV) and Read Property

a. To the greatest extent possible, configure all devices to support the SubscribeCOV service (the DS-COV-B BIBB). At a minimum, all devices supporting the DS-RP-B BIBB, other than devices controlling only a single terminal unit, must be configured to support the DS-COV-B BIBB.

b. Whenever supported by the server side, configure client devices to use the DS-COV-A BIBB.

3.1.3.7 Engineering Units

Configure devices to use English (Inch-Pound) engineering units as follows:

a. Temperature in degrees F

b. Air or natural gas flows in cubic feet per minute (CFM)

c. Water in gallons per minute (GPM)

d. Steam flow in pounds per hour (pph)

e. Differential Air pressures in inches of water column (IWC)

f. Water, steam, and natural gas pressures in PSI

g. Enthalpy in BTU/lb

h. Heating and cooling energy in MBTU (1MBTU = 1,000,000 BTU))

i. Cooling load in tons (1 ton = 12,000 BTU/hour)

j. Heating load in MBTU/hour (1MBTU = 1,000,000 BTU)

k. Electrical Power: kilowatts (kW)

l. Electrical Energy: kilowatt-hours (kWh)

3.1.3.8 Occupancy Modes

Use the following correspondence between value and occupancy mode whenever an occupancy state or value is required:

a. OCCUPIED mode: a value of one
b. UNOCCUPIED mode: a value of two
c. WARM-UP/COOL-DOWN (PRE-OCCUPANCY) mode: a value of three

Note that elsewhere in this Section the Schedule Object is required to also support a value of four, which is reserved for future use. Also note that the behavior of a system in each of these occupancy modes is indicated in the sequence of operation for the system.

3.1.3.9 Use of BACnet Objects

Except as specifically indicated for Niagara Framework Objects, Use only standard non-proprietary ASHRAE 135 Objects and services to accomplish the project scope of work as follows:

a. Use Analog Input or Analog Output Objects for all analog hardware I/O. Do not use Analog Value Object for analog hardware I/O) .

b. Use Binary Input or Binary Output Objects for all binary hardware I/O. Do not use Binary Value Objects for binary hardware I/O.

c. Use Analog Value Objects for analog setpoints.

d. Use Accumulator Objects or Analog Value Objects for pulse inputs.

e. For occupancy modes, use Multistate Value Objects and the correspondence between value and occupancy mode specified in paragraph OCCUPANCY MODES.

f. Use Schedule Objects and Calendar Objects for all scheduling. Use Trend Log Objects or Trend Log Multiple Objects for all trending and Notification Class Objects for trend log upload. Use a combination of Event Enrollment Objects,Intrinsic Alarming, and Notification Class Objects for alarm generation.

f. Use a combination of Niagara Framework Alarm Extensions and Alarm Services, Intrinsic Alarming, and Notification Class Objects for alarm generation.

g. For all other points shown on the Points Schedule as requiring an ASHRAE 135 Object, use the Object type shown on the Points Schedule or, if no Object Type is shown, use a standard Object appropriate to the point.

3.1.3.9.1 Niagara Framework Objects

Points in the Niagara Framework Supervisory Gateway, even if used in a sequence or are shown on the Points Schedule, are not required to be exposed as BACnet Objects unless they are required to be available on the network by another device or sequence of operation (i.e. there is some other reason they are needed).

Use a Niagara Framework Supervisory Gateway as specified for all scheduling and trending. Use a Niagara Framework Supervisory Gateway as specified for all alarming except for intrinsic alarming.

3.1.3.10 Use of Standard BACnet Services

Except as noted in this paragraph, for all DDC Hardware (including Niagara Frameworks Supervisory Gateways when communicating with non-Niagara Framework DDC Hardware) use Standard BACnet Services as defined in this specification (which excludes some ASHRAE 135 services) exclusively for application control functionality and communication.

DDC Hardware that cannot meet this requirement may use non-standard services provided they can provide identical functionality using Standard BACnet Services when communicating with BACnet devices from a different vendor. When implementing non-standard services, document all

non-standard services in the DDC Hardware Schedule as specified and as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

3.1.3.11 Device Application Configuration

a. For every property, setting or value shown on the Points Schedule or otherwise indicated as Configurable, provide a value that is retained through loss of power and can be changed via one or more of:

   (1) BACnet services (including proprietary services)

   (2) Hardware settings on the device

   (3) The Niagara Framework

b. For every property, setting or value in non-Niagara Framework Hardware shown on the Points Schedule or otherwise indicated as Operator Configurable, provide a value that is retained through loss of power and can be changed via one or more of:

   (1) A Writable Property of a standard BACnet Object

   (2) A Property of a standard BACnet Object that is Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable.
   (3) Using some other method supported by a Niagara Framework Supervisory Gateway

c. Configure Niagara Framework Supervisory Gateways such that the property, setting or value is configurable from a Niagara Framework Front End.

d. For every property, setting or value in a Niagara Framework Supervisory Gateway which is shown on the Points Schedule or otherwise indicated as Operator Configurable, configure the value to be configurable from within the Niagara Framework such that it can be configured from a system graphic page at a Niagara Framework Front End.

3.1.3.12 Niagara Framework Engineering Tool

Use the Niagara Framework Engineering Tool to fully discover the field control system and make all field control system information available to the Niagara Framework Supervisory Gateway. Ensure that all points on the points schedule are available to the front end via the Fox protocol.

3.1.4 Scheduling, Alarming, Trending, and Overrides

3.1.4.1 Scheduling

Configure schedules in BACnet Scheduling Objects to schedule systems as indicated on the Points Schedule and as specified using the indicated correspondence between value and occupancy mode. If no devices supports both the SCHED-E-B and DM-OCD-B BIBBS for Schedule Objects, provide 5 blank Schedule Objects in DDC Hardware BTL listed as B-BCs and supporting the SCHED-E-B BIBB for later use by the site.
Configure schedules in Niagara Framework Supervisory Gateway using Niagara Schedule Objects as indicated on the Points Schedule and as specified. When the schedule is controlling occupancy modes in DDC Hardware other than a Niagara Framework Supervisory Gateway use the indicated correspondence between value and occupancy mode.

Provide a separate schedule for each AHU including it's associated Terminal Units and for each stand-alone Terminal Unit (those not dependent upon AHU service) or group of stand-alone Terminal Units acting according to a common schedule as indicated.

3.1.4.2 Alarm Configuration

Configure alarm generation and management as indicated on the Points Schedule and as specified. Configure alarm generation in Niagara Framework Supervisory Gateways using Niagara Framework Alarm Extensions and Alarm Services or in other DDC Hardware (not Niagara Framework Supervisory Gateways) using ASHRAE 135 Intrinsic Alarming. Configure alarm management and routing for all alarms, including those generated via intrinsic alarming in other devices, in the Niagara Framework Supervisory Gateway such that the alarms are able to be accessed from the Niagara Framework Front End.

Where Intrinsic Alarming is used, configure intrinsic alarming as specified in paragraph "Configuration of ASHRAE 135 Intrinsic Alarm Generation". Configure a Niagara Framework Supervisory Gateway to provide a means to configure the intrinsic alarm parameters such that the Intrinsic Alarm is configurable from the front end via the Niagara Framework.

3.1.4.3 Configuration of ASHRAE 135 Intrinsic Alarm Generation

Intrinsic alarm generation must meet the following requirements:

Configure alarm generation as indicated on the Points Schedule and as specified using Intrinsic Alarming in accordance with ASHRAE 135 or Algorithmic Alarming in accordance with ASHRAE 135. Alarm generation must meet the following requirements:

a. Send alarm events as Alarms (not Events).

b. Use the ConfirmedNotification Service for alarm events.

c. For alarm generation, support two priority levels for alarms: critical and non-critical. Configure the Priority of Notification Class Objects to use Priority 112 for critical and 224 for non-critical alarms.

d. Number of Notification Class Objects for Alarm Generation:

(1) If the device implements non-critical alarms, or if any Object in the device supports Intrinsic Alarms, then provide a single Notification Class Object specifically for (shared by) all non-critical alarms.

(2) If the device implements critical alarms, provide a single Notification Class Object specifically for (shared by) all critical alarms.

(3) If the device implements both critical and non-critical alarms, provide both Notification Class Objects (one for critical, one for non-critical).

(4) If the device controls equipment other than a single terminal unit, provide both Notification Class Objects (one for critical, one for non-critical) even if no alarm generation is required at time of installation.

e. For all intrinsic alarms configure the Limit_Enable Property to set both HighLimitEnable and LowLimitEnable to TRUE. If the specified alarm conditions are for a single-sided alarm (only High_Limit used or only Low_Limit used) assign a value to the unused limit such that the unused alarm condition will not occur.

f. For all objects supporting intrinsic alarming, even if no alarm generation is required during installation, configure the following Properties as follows:

(1) Notification_Class to point to the non-Critical Notification Class Object in that device.

(2) Limit_Enable to enable both the HighLimitEnable and LowLimitEnable

(3) Notify_Type to Alarm

g. Use of alarm generation types:

(1) Only use algorithmic alarm generation when intrinsic alarm generation is not supported by the device or object, or when the specific alarm conditions cannot be implemented using intrinsic alarm generation.

(2) Only use remote alarm generation when the alarm cannot be generated using intrinsic or local algorithmic alarm generation on the device containing the referenced property. If remote alarm generation is used, use the same DDC Hardware for all remote alarm generation within a single sequence.

g. Configure the Recipient_List Property of the Notification Class Object to point to the Niagara Framework Supervisory Gateway managing the alarm.

3.1.4.4 Support for Future Alarm Generation

For every piece of DDC Hardware, support future alarm generation capabilities by supporting either intrinsic or additional algorithmic alarming. Provide one of the following:

a. Support intrinsic alarming for every Object used by the application in that device.

b. Support additional Event_Enrollment Objects. For DDC hardware controlling a single terminal unit, support at least one additional object. Otherwise, support at least 4 additional Objects. Support additional Event_Enrollment Objects via one of the following:

(1) Provide unused Event_Enrollment Objects on that device.

(2) Support the DM-OCD-B BIBB and the creation of sufficient Event_Enrollment Objects on that device.

(3) Provide one or more devices in the IP network that support the

AE-N-E-B BIBB and have unused Event_Enrollment Objects.

(4) Provide one or more devices on the IP network that support the AE-N-E-B BIBB, the DM-OCD-B BIBB, and the creation of sufficient Event_Enrollment Objects.

The total number of Event_Enrollment Objects required by the project is the sum of the individual device requirements, and the distribution of Event_Enrollment Objects among devices is not further restricted. (Note this allows a single device to contain many Event_Enrollment Objects satisfying the requirements for multiple devices.)

3.1.4.5 Trend Log Configuration

a. Configure trends in Trend Log or Trend Log Multiple Objects as indicated on the Points Schedule and as specified.

b. Configure all trend logs (including any provided to support future trends) to save data on regular intervals using the BUFFER_FULL event to request trend upload from the front end.

c. Configure Trend Log Objects with a minimum Buffer_Size property value of 1,000 and Trend Log Multiple Objects with a minimum Buffer_Size property value of 1,000 per point trended (for example, a Trend Log Multiple Object used to trend 3 points must have a Buffer_Size Property value of at least 3,000).

d. Configure a Notification Class Object in devices doing trending (including devices supporting future trends) to handle the BUFFER_FULL event.

e. When possible, trend each point using an Object in the device containing the point. When it is necessary to trend using a an Object in another device, all trends not on the same Device as the Object being trended must be on a singe device (i.e. all Trend Log and Trend Log Multiple Objects used for remote trending within a sequence must be on the same device).

f. For each trend log, including any trend logs provided to support future trending, configure the following properties as specified:

(1) Logging_Type: Set to Polling

(2) Stop_When_Full: Set to Wrap Around

(3) Buffer_Size: Set to 400 or greater.

(4) Notification_Threshold: Set to 90 percent of full

(5) Notification_Class: Set to the Notification Class Object in that device

(6) Event_Enable: Set to TRUE

(7) Log_Interval: Set to 15 minutes.

g. Future Trending support. Provide support for future trending:

(1) Provide one or more devices on the Building Control Network

Backbone IP network which support both the T-VMT-E-B and DM-OCD-B BIBBs for Trend Log Objects. Provide sufficient devices to support the creation of at least one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit.

(2) Provide one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit in one or more devices on the Building Control Network Backbone IP network that support the T-VMT-E-B BIBB for later use by the site.

(3) A combination of these two methods is permitted provided the total required number of Trend Log Objects is met.

3.1.4.6 Trending

Perform all trending using a Niagara Framework Supervisory Gateway using Niagara Framework History Extensions and Niagara Framework History Service exclusively.

3.1.4.7 Overrides

Provide an override for each point shown on the Points Schedule as requiring an override. Use the Niagara Framework for all overrides to points in Niagara Framework Supervisory Gateways. For overrides to other points, provide an override to a point in a Niagara Framework Supervisory Gateway via the Niagara Framework where the Niagara Framework Supervisory Gateway overrides the other point as specified.

Unless otherwise approved, provide Commandable Objects to support all Overrides in non-Niagara Framework Supervisory Gateway DDC Hardware. With specific approval from the Contracting Officer, Overrides for points which are not hardware outputs and which are in DDC hardware controlling a single terminal unit may support overrides via an additional Object provided for the override. No other means of implementing Overrides may be used.

a. Where Commandable Objects are used, ensure that WriteProperty service requests with a Priority of 10 or less take precedence over the SEQUENCE VALUE and that WriteProperty service request with a priority of 11 or more have a lower precedence than the SEQUENCE VALUE.

b. For devices implementing overrides via additional Objects, provide Objects which are NOT Written to as part of the normal Sequence of Operations and are Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable. Use this point as an Override of the normal value when Out_Of_Service is TRUE and the normal value otherwise. Note these Objects may be modified as part of the sequence via local processes, but must not be modified by local processes when Out_Of_Service is TRUE.

3.1.5 BACnet Gateways

The requirements in this paragraph do not themselves permit the installation of hardware not meeting the other requirements of this section. Except for proprietary systems specifically indicated in Section 23 09 00, all control hardware installed under this project must meet the requirements of this specification, including the control hardware providing the network interface for a package unit or split system

specified under another section. Only use gateways to connect to pre-existing control devices, and to proprietary systems specifically permitted by Section 23 09 00.

3.1.5.1 General Gateway Requirements

Provide BACnet Gateways to connect non-BACnet control hardware in accordance with the following:

a. Configure gateways to map writable data points in the controlled equipment to Writable Properties of Standard Objects, or to Niagara Framework points, as indicated in the Points Schedule and as specified.

b. Configure gateway to map readable data points in the controlled equipment to Readable Properties of Standard Objects, or to Niagara Framework points, as indicated in the Points Schedule and as specified.

c. Configure gateway to support the DS-COV-B BIBB for all points mapped to BACnet Objects.

d. Do not use non-BACnet control hardware for controlling built-up units or any other equipment that was not furnished with factory-installed controls. (Note: A Niagara Framework Supervisory Gateway is BACnet control hardware.)

e. Do not use non-BACnet control hardware for system scheduling functions.

f. Each gateway must communicate with and perform protocol translation for non-BACnet control hardware controlling one and only one package unit or a single non-BACnet system specifically permitted by Section 23 09 00.

g. Connect one network port on the gateway to the Building Control Backbone IP Network or to a BACnet MS/TP network and the other port to the single piece of controlled equipment or the non-BACnet system specifically permitted by Section 23 09 00..

h. For gateways to existing package units or simple split systems, non-BACnet network wiring connecting the gateway to the package unit must not exceed 10 feet in length and must connect to exactly two devices: the controlled equipment (packaged unit) or split system interface and the gateway.

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SECTION 23 81 00

DECENTRALIZED UNITARY HVAC EQUIPMENT
05/18, CHG 1: 02/21

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS, applies to this section with the additions and modifications specified herein.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 700	(2016) Specifications for Fluorocarbon Refrigerants
ANSI/AHRI 210/240	(2008; Add 1 2011; Add 2 2012) Performance Rating of Unitary Air-Conditioning & Air-Source Heat Pump Equipment
ANSI/AHRI 270	(2008) Sound Rating of Outdoor Unitary Equipment
ANSI/AHRI 460	(2005) Performance Rating of Remote Mechanical-Draft Air-Cooled Refrigerant Condensers

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ANSI/ASHRAE 15 & 34	(2013) ANSI/ASHRAE Standard 15-Safety Standard for Refrigeration Systems and ANSI/ASHRAE Standard 34-Designation and Safety Classification of Refrigerants
ASHRAE 15 & 34	(2013) ASHRAE Standard 34-2016 Safety Standard for Refrigeration Systems/ASHRAE Standard 34-2016 Designation and Safety Classification of Refrigerants-ASHRAE Standard 34-2016
ASHRAE 52.2	(2012) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size
ASHRAE 55	(2020; Errata 1 2021; Addenda A-D 2022; Addenda G-I 2023; Errata 2 2022) Thermal Environmental Conditions for Human Occupancy

ASHRAE 62.1	(2016) Ventilation for Acceptable Indoor Air Quality
ASHRAE 90.1 - IP	(2019) Energy Standard for Buildings Except Low-Rise Residential Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications
ASME BPVC SEC VIII D1	(2019) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

AMERICAN WELDING SOCIETY (AWS)

AWS Z49.1	(2021) Safety in Welding and Cutting and Allied Processes

ASTM INTERNATIONAL (ASTM)

ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM C1071	(2019) Standard Specification for Fibrous Glass Duct Lining Insulation (Thermal and Sound Absorbing Material)
ASTM D520	(2000; R 2011) Zinc Dust Pigment
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1	(2021) Motors and Generators
NEMA MG 2	(2014) Safety Standard for Construction and Guide for Selection, Installation and Use of Electric Motors and Generators

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-DTL-5541	(2006; Rev F) Chemical Conversion Coatings on Aluminum and Aluminum Alloys

UNDERWRITERS LABORATORIES (UL)

UL 1995	(2015; Reprint Aug 2022) UL Standard for Safety Heating and Cooling Equipment

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Spare Parts

Posted Instructions

Coil Corrosion Protection

System Performance Tests

Training;

Inventory

Environmental Data

Supplied Products

Manufacturer's Standard Catalog Data

SD-06 Test Reports

Refrigerant Tests, Charging, and Start-Up;

System Performance Tests;

SD-07 Certificates

Service Organizations

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals;

SD-11 Closeout Submittals

Ozone Depleting Substances;

1.4 QUALITY ASSURANCE

Carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions. Submit drawings consisting of:

a. Equipment layouts which identify assembly and installation details.

b. Plans and elevations which identify clearances required for maintenance and operation.

c. Wiring diagrams which identify each component individually and interconnected or interlocked relationships between components.

d. Foundation drawings, bolt-setting information, and foundation bolts prior to concrete foundation construction for equipment indicated or required to have concrete foundations.

e. Details, if piping and equipment are to be supported other than as indicated, which include loadings and type of frames, brackets, stanchions, or other supports.

f. Automatic temperature control diagrams and control sequences.

g. Installation details which includes the amount of factory set superheat and corresponding refrigerant pressure/temperature.

h. Equipment schedules

1.5 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Properly protect and care for all material both before and during installation. Submit an inventory of all the stored items. Replace any materials found to be damaged, at no additional cost to the Government. During installation, cap piping and similar openings capped to keep out dirt and other foreign matter.

1.6 ENVIRONMENTAL REQUIREMENTS

For proper Indoor Environmental Quality, maintain pressure within the building as indicated. Ventilation must meet or exceed ASHRAE 62.1 and all published addenda. Meet or exceed filter media efficiency as tested in accordance with ASHRAE 52.2. Thermal comfort must meet or exceed ASHRAE 55 .

1.7 WARRANTY

Provide equipment with the 10 year manufacturer's warranty.

PART 2 PRODUCTS

2.1 ENERGY EFFICIENCY REQUIREMENTS

42 USC 8259b requires the procurement of energy efficient products in product categories covered by the Energy Star program or the Federal Energy Management Program for designated products. A list of covered product categories is available from the Federal Energy Management Web site at http://energy.gov/eere/femp/covered-product-categories. A list of qualified light commercial products is available at http://www.energystar.gov/productfinder/product/certified-light-commercial-hvac/result

Submit Material, Equipment, and Fixtures List of all supplied products within a covered product category, including manufacturer's catalog numbers, specification and drawing reference number, warranty information, fabrication site, and energy performance data. For product categories covered by the Energy Star program, submit documentation that the product is Energy Star-qualified. For product categories covered by the Federal Energy Management Program, submit documentation that the product meets or exceeds FEMP-designated efficiency requirements.

2.1.1 Room Air Conditioners

Selected room air conditioners are required to meet performance

requirements specified by Energy Star. Information on the requirements can be found at ENERGY STAR Version 4.0 Room Air Conditioners Program Requirements.

2.1.2 Air-Source Heat Pumps

Selected air-source heat pumps are required to meet applicable performance requirements specified by Energy Star. Information on the requirements can be found for residential models (single-phase units of 65,000 BTU/h or less)at http://www.energystar.gov/products/specs/system/files/Central_ASHP_and_CAC_Program_Req v4_1.pdf and for light commercial models (three-phase units of less than 240,000 BTU/h) at http://www.energystar.gov/products/specs/system/files/lchvac_prog_req_v2_2_0.pdf.

2.2 MATERIALS

Provide Manufacturer's standard catalog data, at least 5 weeks prior to the purchase or installation of a particular component, highlighted to show material, size, options, performance charts and curves, etc. in adequate detail to demonstrate compliance with contract requirements. Data includes manufacturer's recommended installation instructions and procedures. If vibration isolation is specified for a unit, include vibration isolator literature containing catalog cuts and certification that the isolation characteristics of the isolators provided meet the manufacturer's recommendations. Submit data for each specified component. Minimum efficiency requirements must be in accordance with ASHRAE 90.1 - IP.

2.2.1 Standard Products

Provide materials and equipment that are standard products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. The standard products must have been in satisfactory commercial or industrial use for 2 years prior to request for proposal. The 2 year use includes applications of equipment and materials under similar circumstances and of similar size. The 2 years' experience must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures. Products having less than a 2 year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. Products must be supported by a service organization. Ensure system components are environmentally suitable for the indicated geographic locations.

2.2.2 Product Sustainability Criteria

2.2.2.1 Energy Efficient Equipment

Provide equipment meeting the efficiency requirements as stated within this section.

2.2.2.2 Electrical Equipment / Motors

Provide electrical equipment, motors, motor efficiencies, and wiring which are in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Electrical motor driven equipment specified must be provided complete with

motors, motor starters, and controls. Electrical characteristics must be as shown, and unless otherwise indicated, all motors of 1 horsepower and above with open, dripproof, totally enclosed, or explosion proof fan cooled enclosures, must be the premium efficiency type in accordance with NEMA MG 1. Field wiring must be in accordance with manufacturer's instructions. Each motor must conform to NEMA MG 1 and NEMA MG 2 and be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Motors must be continuous duty with the enclosure specified. Motor starters must be provided complete with thermal overload protection and other appurtenances necessary for the motor control indicated. Motors must be furnished with a magnetic across-the-line or reduced voltage type starter as required by the manufacturer. Motor duty requirements must allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motors must be sized for the applicable loads. Motor torque must be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Motor bearings must be fitted with grease supply fittings and grease relief to outside of enclosure. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, must be provided.

2.2.2.3 Ozone Depleting Substances

Unitary air conditioning equipment must not use CFC-based refrigerants. Refrigerant may be an approved alternative refrigerant in accordance with EPA's Significant New Alternative Policy (SNAP) listing.

2.2.2.4 Local/Regional Materials

Use materials or products extracted, harvested, or recovered, as well as manufactured, within a 500 mileradius from the project site, if available from a minimum of three sources.

2.2.2.5 Environmental Data

2.2.3 Nameplates

Major equipment including compressors, condensers, receivers, heat exchanges, fans, and motors must have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment. Plates must be durable and legible throughout equipment life and made of anodized aluminum . Fix plates in prominent locations with nonferrous screws or bolts.

2.2.4 Safety Devices

Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Safety devices must be installed so that proper operation of equipment is not impaired. Welding and cutting safety requirements must be in accordance with AWS Z49.1.

2.3 EQUIPMENT

2.3.1 Mini-Split-System Air Conditioners Heat Pumps

2.3.1.1 Small-Capacity Split-System Air-Conditioners (Not Exceeding 65,000 Btu/hr)

Provide an air-cooled, split system which employs a remote condensing unit, a separate wall mounted indoor unit, and interconnecting refrigerant piping. Provide the heat pump type unit conforming to applicable Underwriters Laboratories (UL) standards including UL 1995. Unit must be rated in accordance with ANSI/AHRI 210/240. Provide indoor unit with necessary fans, air filters, and galvanized steel cabinet construction. The remote unit must be as specified in paragraph CONDENSING UNIT. Provide double-width, double inlet, forward curved backward inclined, or airfoil blade, centrifugal scroll type evaporator or supply fans. Provide the manufacturer's standard condenser or outdoor fans for the unit specified and may be either propeller or centrifugal scroll type. Fan and condenser motors must have opendrip proof enclosures. Design unit to operate at outdoor ambient temperatures up to 115 degrees F.

2.3.1.1.1 Energy Efficiency

Provide unit with an Energy Star label.

2.3.1.1.2 Air-to-Refrigerant Coil

Provide condensing coils with copper or aluminum tubes of 3/8 inch minimum diameter with copper or aluminum fins that are mechanically bonded or soldered to the tubes. Casing must be galvanized steel or aluminum. Avoid contact of dissimilar metals. Test coils in accordance with ASHRAE 15 & 34 at the factory and ensure suitability for the working pressure of the installed system. Dehydrate and seal each coil testing and prior to evaluation and charging.

Coat condenser coil with a uniformly applied epoxy electrodeposition type coating to all coil surface areas without material bridging between fins. Apply coating at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation and be capable of withstanding a minimum 6,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.3.1.1.3 Compressor

Provide direct drive variable speed type compressor.Provide compressor with internal over temperature and pressure protector; sump heater; oil pump; high pressure and low pressure controls; and liquid line dryer.

2.3.1.1.4 Refrigeration Circuit

Refrigerant-containing components must comply with ASHRAE 15 & 34 and be factory tested, cleaned, dehydrated, charged, and sealed. Provide each unit with a factory operating charge of refrigerant and oil or a holding charge. Field charge unit shipped with a holding charge. Provide refrigerant charging valves. Provide filter-drier in liquid line.to prevent freeze-up in event of loss of water flow during heating cycle.

2.3.1.1.5 Unit Controls

Provide unit internally prewired with a 24 volt control circuit powered by an internal transformer. Provide terminal blocks for power wiring and external control wiring. Internally protect unit by fuses or a circuit breaker in accordance with UL 1995. Equip units with three-phase power with phase monitoring protection to protect against problems caused by phase loss, phase imbalance and phase reversal. Provide unit with microprocessor controls to provide all 24V control functions.

Controls must include a control system interface to a BACnet Control system. The control system interface, as well as any network between physically separate units, must meet the requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.3.1.1.6 Condensing Coil

Provide coils with nonferrouscopper or aluminum tubes of 3/8 inch minimum diameter with copper or aluminum fins that are mechanically bonded or soldered to the tubes. Protect coil in accordance with paragraph CORROSION PROTECTION. Provide galvanized steel or aluminum casing. Avoid contact of dissimilar metals. Test coils in accordance with ANSI/ASHRAE 15 & 34 at the factory and ensure suitability for the working pressure of the installed system. Dehydrate and seal eacj coil after testing and prior to evaluation and charging. Provide separate expansion devices for each compressor circuit.

2.3.1.1.7 Remote Condenser or Condensing Unit

Fit each remote condenser coil fitted with a manual isolation valve and an access valve on the coil side. Saturated refrigerant condensing temperature must not exceed 120 degrees F at 104 degrees F ambient. Provide unit with low ambient condenser controls to ensure proper operation in an ambient temperature of 20degrees F. Provide fan and cabinet construction as specified in paragraph UNITARY EQUIPMENT ACCESSORIES. Fan and condenser motors must have opendrip proof enclosures.

2.3.1.1.7.1 Sound Rating

Provide units of capacities less than 135,000 Btu/h with a maximum AHRI sound rating of 85 dB when rated in accordance with ANSI/AHRI 270.

2.3.1.1.7.2 Air-Cooled Condenser

Provide Unit in accordance with ANSI/AHRI 460 and conform to the requirements of UL 1995. Provide factory fabricated, tested, packaged, and self-contained unit; complete with casing, propeller or centrifugal type fans, heat rejection coils, connecting piping and wiring, and all necessary accessories.

2.3.1.1.8 Air Filters

Provide filters of the cleanable type that are capable of filtering the entire air supply. Mount filter(s) integral within the unit and make accessible by hinged access panel(s). 1 inch MERV 13, provide throwaway filter on all units below 6 Tons.

2.3.1.1.9 Fans

Provide direct driven, statically and dynamically balanced, centrifugal type fans. Design the outdoor fan so that condensate will evaporate without drip, splash, or spray on building exterior. Provide indoor fan with a minimum two-speed motor with built-in overload protection. Fan motors must be the inherently protected, permanent split-capacitor type.

2.4 COMPONENTS

2.4.1 Refrigerant and Oil

Refrigerant must be one of the fluorocarbon gases. Refrigerants must have number designations and safety classifications in accordance with ASHRAE 15 & 34. Refrigerants must meet the requirements of AHRI 700 as a minimum. Provide a complete charge of refrigerant for the installed system as recommended by the manufacturer. Lubricating oil must be of a type and grade recommended by the manufacturer for each compressor. Where color leak indicator dye is incorporated, charge must be in accordance with manufacturer's recommendation.

2.4.2 Cabinet Construction

Casings for the specified unitary equipment must be constructed of galvanized steel or aluminum sheet metal and galvanized or aluminum structural members. Minimum thickness of single wall exterior surfaces must be 18 gauge galvanized steel or 0.071 inch thick aluminum on units with a capacity above 20 tons and 20 gauge galvanized steel or 0.064 inch thick aluminum on units with a capacity less than 20 tons. Casing must be fitted with lifting provisions, access panels or doors, fan vibration isolators, electrical control panel, corrosion-resistant components, structural support members, insulated condensate drip pan and drain, and internal insulation in the cold section of the casing. Where double-wall insulated construction is proposed, minimum exterior galvanized sheet metal thickness must be 20 gauge. Provisions to permit replacement of major unit components must be incorporated. Penetrations of cabinet surfaces, including the floor, must be sealed. Unit must be fitted with a drain pan which extends under all areas where water may accumulate. Drain pan must be fabricated from Type 300 stainless steel, galvanized steel with protective coating as required, or an approved plastic material. Pan insulation must be water impervious. Extent and effectiveness of the insulation of unit air containment surfaces must prevent, within limits of the specified insulation, heat transfer between the unit exterior and ambient air, heat transfer between the two conditioned air streams, and condensation on surfaces. Insulation must conform to ASTM C1071. Paint and finishes must comply with the requirements specified in paragraph FACTORY COATING.

2.4.2.1 Indoor Cabinet

Indoor cabinets must be suitable for the specified indoor service and enclose all unit components.

2.4.2.2 Outdoor Cabinet

Outdoor cabinets must be suitable for outdoor service with a weathertight, insulated and corrosion-protected structure. Cabinets constructed exclusively for indoor service which have been modified for outdoor

service are not acceptable.

2.4.3 Temperature Controls

Provide temperature controls in accordance with Section 23 09 23.02 BACnet DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDNG CONTROL SYSTEMS.

2.5 FINISHES

2.5.1 Coil Corrosion Protection

Provide coil with a uniformly applied epoxy electrodeposition type coating to all coil surface areas without material bridging between fins. Submit product data on the type coating selected, the coating thickness, the application process used, the estimated heat transfer loss of the coil, and verification of conformance with the salt spray test requirement. Coating must be applied at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 1,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.5.2 Equipment and Components Factory Coating

Unless otherwise specified, equipment and component items, when fabricated from ferrous metal, must be factory finished with the manufacturer's standard finish, except that items located outside of buildings must have weather resistant finishes that will withstand 6,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution. Immediately after completion of the test, the specimen must show no signs of blistering, wrinkling, cracking, or loss of adhesion and no sign of rust creepage beyond 1/8 inch on either side of the scratch mark. Cut edges of galvanized surfaces where hot-dip galvanized sheet steel is used must be coated with a zinc-rich coating conforming to ASTM D520, Type I.

Where stipulated in equipment specifications of this section, coat finned tube coils of the affected equipment as specified below. Apply coating at the premises of a company specializing in such work. Degrease and prepare for coating in accordance with the coating applicator's procedures for the type of metals involved. Completed coating must show no evidence of softening, blistering, cracking, crazing, flaking, loss of adhesion, or "bridging" between the fins.

2.5.2.1 Phenolic Coating

Provide a resin base thermosetting phenolic coating. Apply coating by immersion dipping of the entire coil. Provide a minimum of two coats. Bake or heat dry coils following immersions. After final immersion and prior to final baking, spray entire coil with particular emphasis given to building up coating on sheared edges. Total dry film thickness must be 2.5 to 3.0 mils.

2.5.2.2 Chemical Conversion Coating with Polyelastomer Finish Coat

Dip coils in a chemical conversion solution to molecularly deposit a corrosion resistant coating by electrolysis action. Chemical conversion

coatings must conform to MIL-DTL-5541, Class 1A. Cure conversion coating at a temperature of 110 to 140 degrees F for a minimum of 3 hours. Coat coil surfaces with a complex polymer primer with a dry film thickness of 1 mil. Cure primer coat for a minimum of 1 hour. Using dip tank method, provide three coats of a complex polyelastomer finish coat. After each of the first two finish coats, cure the coils for 1 hour. Following the third coat, spray a fog coat of an inert sealer on the coil surfaces. Total dry film thickness must be 2.5 to 3.0 mils. Cure finish coat for a minimum of 3 hours. Coating materials must have 300 percent flexibility, operate in temperatures of minus 50 to plus 220 degrees F, and protect against atmospheres of a pH range of 1 to 14.

2.5.2.3 Vinyl Coating

Apply coating using an airless fog nozzle. For each coat, make at least two passes with the nozzle. Materials to be applied are as follows:

a. Total dry film thickness, 6.5 mils maximum

b. Vinyl Primer, 24 percent solids by volume: One coat 2 mils thick

c. Vinyl Copolymer, 30 percent solids by volume: One coat 4.5 mils thick

2.5.3 Factory Applied Insulation

Refrigeration equipment must be provided with factory installed insulation on surfaces subject to sweating including the suction line piping. Where motors are the gas-cooled type, factory installed insulation must be provided on the cold-gas inlet connection to the motor in accordance with manufacturer's standard practice. Factory insulated items installed outdoors are not required to be fire-rated. As a minimum, factory insulated items installed indoors must have a flame spread index no higher than 75 and a smoke developed index no higher than 150. Factory insulated items (no jacket) installed indoors and which are located in air plenums, in ceiling spaces, and in attic spaces must have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Flame spread and smoke developed indexes must be determined by ASTM E84. Insulation must be tested in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket must be tested as a composite material. Jackets, facings, and adhesives must have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with ASTM E84.

2.6 TESTS, INSPECTIONS, AND VERIFICATIONS

All manufactured units must be inspected and tested, and documentation provided to demonstrate that each unit is in compliance with ANSI/AHRI and UL requirements and that the minimum efficiency requirements of ASHRAE 90.1 - IP have been met.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, perform Verification of Dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

3.2 INSTALLATION

Perform work in accordance with the manufacturer's published diagrams, recommendations, and equipment warranty requirements. Where equipment is specified to conform to the requirements of ASME BPVC SEC VIII D1and ASME BPVC SEC IX, the design, fabrication, and installation of the system must conform to ASME BPVC SEC VIII D1 and ASME BPVC SEC IX.

3.2.1 Equipment

Provide refrigeration equipment conforming to ASHRAE 15 & 34. Provide necessary supports for all equipment, appurtenances, and pipe as required, including frames or supports for compressors, pumps, cooling towers, condensers, and similar items. Isolate compressors from the building structure. If mechanical vibration isolators are not provided, provide vibration absorbing foundations. Each foundation must include isolation units consisting of machine and floor or foundation fastenings, together with intermediate isolation material. Other floor-mounted equipment must be set on not less than a 6 inch concrete pad doweled in place. Concrete foundations for floor mounted pumps must have a mass equivalent to three times the weight of the components, pump, base plate, and motor to be supported. In lieu of concrete pad foundation, concrete pedestal block with isolators placed between the pedestal block and the floor may be provided. Concrete pedestal block must be of mass not less than three times the combined pump, motor, and base weights. Isolators must be selected and sized based on load-bearing requirements and the lowest frequency of vibration to be isolated. Isolators must limit vibration to 10 percent at lowest equipment rpm. Provide lines connected to pumps mounted on pedestal blocks with flexible connectors. Provide foundation drawings, bolt-setting information, and foundation bolts prior to concrete foundation construction for all equipment indicated or required to have concrete foundations. Concrete for foundations must be as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE. Equipment must be properly leveled, aligned, and secured in place in accordance with manufacturer's instructions.

3.2.2 Field Applied Insulation

Apply field applied insulation as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS, except as defined differently herein.

3.2.3 Field Painting

Painting required for surfaces not otherwise specified, and finish painting of items only primed at the factory are specified in Section 09 90 00 PAINTS AND COATINGS.

3.3 CLEANING AND ADJUSTING

Equipment must be wiped clean, with all traces of oil, dust, dirt, or paint spots removed. Temporary filters must be provided for all fans that are operated during construction, and new filters must be installed after all construction dirt has been removed from the building. System must be maintained in this clean condition until final acceptance. Bearings must be properly lubricated with oil or grease as recommended by the manufacturer. Belts must be tightened to proper tension. Control valves and other miscellaneous equipment requiring adjustment must be adjusted to setting indicated or directed. Fans must be adjusted to the speed indicated by the manufacturer to meet specified conditions. Testing,

adjusting, and balancing must be as specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS.

3.4 TRAINING

Conduct a training course for the operating staff as designated by the Contracting Officer. The training period must consist of a total 8 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests.

a. Submit a schedule, at least 2 weeks prior to the date of the proposed training course, which identifies the date, time, and location for the training.

b. Submit the field posted instructions, at least 2 weeks prior to construction completion, including equipment layout, wiring and control diagrams, piping, valves and control sequences, and typed condensed operation instructions. The condensed operation instructions must include preventative maintenance procedures, methods of checking the system for normal and safe operation, and procedures for safely starting and stopping the system. The posted instructions must be framed under glass or laminated plastic and be posted where indicated by the Contracting Officer.

c. The posted instructions must cover all of the items contained in the approved operation and maintenance manuals as well as demonstrations of routine maintenance operations. Submit 6 complete copies of an operation manual in bound 8-1/2 by 11 inch booklets listing step-by-step procedures required for system startup, operation, abnormal shutdown, emergency shutdown, and normal shutdown at least 4 weeks prior to the first training course. The booklets must include the manufacturer's name, model number, and parts list. The manuals must include the manufacturer's name, model number, service manual, and a brief description of all equipment and their basic operating features.

d. Submit 6 complete copies of maintenance manual in bound 8-1/2 by 11 inch booklets listing routine maintenance procedures, possible breakdowns and repairs, and a trouble shooting guide. The manuals must include piping and equipment layouts and simplified wiring and control diagrams of the system as installed.

3.5 REFRIGERANT TESTS, CHARGING, AND START-UP

Split-system refrigerant piping systems must be tested and charged. Packaged refrigerant systems which are factory charged must be checked for refrigerant and oil capacity to verify proper refrigerant levels in accordance with manufacturer's recommendations. Following charging, packaged systems must be tested for leaks with a halide torch or an electronic leak detector. Submit 6 copies of each test containing the information described below in bound 8-1/2 by 11 inch booklets. Individual reports must be submitted for the refrigerant system tests.

a. The date the tests were performed.
b. A list of equipment used, with calibration certifications.
c. Initial test summaries.
d. Repairs/adjustments performed.
e. Final test results.

3.5.1 Refrigerant Leakage

If a refrigerant leak is discovered after the system has been charged, the leaking portion of the system must immediately be isolated from the remainder of the system and the refrigerant pumped into the system receiver or other suitable container. Under no circumstances must the refrigerant be discharged into the atmosphere.

3.5.2 Contractor's Responsibility

Take steps, at all times during the installation and testing of the refrigeration system, to prevent the release of refrigerants into the atmosphere. The steps must include, but not be limited to, procedures which will minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time must more than 3 ounces of refrigerant be released to the atmosphere in any one occurrence. Any system leaks within the first year must be repaired in accordance with the requirements herein at no cost to the Government including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

3.6 SYSTEM PERFORMANCE TESTS

Before each refrigeration system is accepted, conduct tests to demonstrate the general operating characteristics of all equipment by a registered professional engineer or an approved manufacturer's start-up representative experienced in system start-up and testing, at such times as directed. Six copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system.

For equipment providing heating and cooling the system performance tests must be performed during the heating and cooling seasons.

a. Submit a schedule, at least 2 weeks prior to the start of related testing, for the system performance tests. The schedules must identify the proposed date, time, and location for each test. Tests must cover a period of not less than 48 hours for each system and must demonstrate that the entire system is functioning in accordance with the drawings and specifications.

b. Make corrections and adjustments, as necessary, tests must be re-conducted to demonstrate that the entire system is functioning as specified. Prior to acceptance, install and tighten service valve seal caps and blanks over gauge points. Replace any refrigerant lost during the system startup.

c. If tests do not demonstrate satisfactory system performance, correct deficiencies and retest the system. Conduct tests in the presence of the Contracting Officer. Water and electricity required for the tests will be furnished by the Government. Provide all material, equipment, instruments, and personnel required for the test.

d. Coordinate field tests with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS. Submit 6 copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance

with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system. Submit the report including the following information (where values are taken at least three different times at outside dry-bulb temperatures that are at least 5 degrees F apart):

(1) Date and outside weather conditions.

(2) The load on the system based on the following:

(a) The refrigerant used in the system.
(b) Condensing temperature and pressure.
(c) Suction temperature and pressure.
(d) Ambient, condensing and coolant temperatures.
(e) Running current, voltage and proper phase sequence for each phase of all motors.

(3) The actual on-site setting of operating and safety controls.

(4) Thermostatic expansion valve superheat - value as determined by field test.

(5) Subcooling.

(6) High and low refrigerant temperature switch set-points

(7) Low oil pressure switch set-point.

(8) Defrost system timer and thermostat set-points.

(9) Moisture content.

(10) Capacity control set-points.

(11) Field data and adjustments which affect unit performance and energy consumption.

(12) Field adjustments and settings which were not permanently marked as an integral part of a device.

3.7 MAINTENANCE

3.7.1 EXTRA MATERIALS

Submit spare parts data for each different item of equipment specified, after approval of detail drawings and not later than 2 months prior to the date of beneficial occupancy. Include in the data a complete list of parts and supplies, with current unit prices and source of supply, a recommended spare parts list for 1 year of operation, and a list of the parts recommended by the manufacturer to be replaced on a routine basis.

3.7.2 Maintenance Service

Submit a certified list of qualified permanent service organizations, which includes their addresses and qualifications, for support of the equipment. The service organizations must be reasonably convenient to the equipment installation and be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of

the contract.

-- End of Section --

SECTION 25 05 11

CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS
05/21

PART 1 GENERAL

Many subparts in this Section contain text in curly braces ("{" and "}") indicating which cybersecurity control and control correlation identifier (CCI) the requirements of the subpart relate to. The text inside these curly braces is for Government reference only and enables coordination of the requirements of this Section with the RMF process throughout the design and construction process. Text in curly braces are not contractor requirements.

This Section refers to Security Requirements Guide (SRGs) and Security Technical Implementation Guide (STIGs). STIGs and SRGs are available online at the Information Assurance Support Environment (IASE) website at https://public.cyber.mil/stigs/downloads/ and an SRG/STIG Applicability Guide and Collection Tool is available at https://public.cyber.mil/stigs/SCAP/. Not all control system components have applicable STIGs or SRGs. The "Control Systems SRG" does not apply to work performed under this Section; all requirements within this section to apply applicable SRGs DO NOT include the "Control Systems SRG".

1.1 RELATED REQUIREMENTS

This section does not contain sufficient requirements to procure a control system and must be used in conjunction with other Sections which specify control systems. This Section adds cybersecurity requirements to the control systems specified in other Sections, and as these requirements are conditioned on the control system being provided, there may be requirements in this Section that will not apply to this project. All Sections containing facility-related control systems or control system components are related to the requirements of this Section. Review all specification sections to determine related requirements.

In cases where a requirement is specified in both this Section and in another Section, the more stringent requirement must be met. In cases where a requirement in this Section conflicts with the requirements of another Section such that both requirements cannot be met at the same time, request direction from the Contracting Officer Representative to determine which requirement applies to the project.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.1x	(2010) Local and Metropolitan Area Networks - Port Based Network Access Control

INTERNET ENGINEERING TASK FORCE (IETF)

IETF RFC 2819	(2000) Remote Network Monitoring (RMON) Management Information Base (MIB)

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST FIPS 140-2	(2001) Security Requirements for Cryptographic Modules
NIST FIPS 201-2	(2013) Personal Identity Verification (PIV) of Federal Employees and Contractors

U.S. DEPARTMENT OF DEFENSE (DOD)

DODI 8551.01	(2014) Ports, Protocols, and Services Management (PPSM)
DTM 08-060	(2008) Policy on Use of Department of Defense (DoD) Information Systems - Standard Consent Banner and User Agreement

1.3 DEFINITIONS

1.3.1 Administrator Account

An administrator account is an account with full permissions to a device, application, or operating system, including the ability to create and modify other user accounts.

Note that the operating system Administrator Account may be different than Administrator Accounts for applications hosted on that operating system. Also, most controllers will not have any support for accounts and will therefore not have an 'Aministrator Account'.

1.3.2 Computer

A computer is one of the following:

a. a device running a non-embedded desktop or server version of Microsoft Windows

b. a device running a non-embedded version of MacOS

c. a device running a non-embedded version of Linux

d. a device running a version or derivative of the Android Operating System, where Android is considered separate from Linux

e. a device running a version of Apple iOS

Unless otherwise indicated or clear from context use of the word "device" in this Section includes computers.

1.3.3 Controller

A device other than a computer or Ethernet switch. For Fire Protection systems this includes fire alarm control panels, remote operating consoles, and remote annunciators.

1.3.4 Mission Space

A device or media is in mission space if physical access to the device or media is controlled by the organization served by the device. For example, a VAV box controller in a suspended ceiling is in mission space if the VAV box serves that room; an electrical switchgear in an electrical room or an AHU in a mechanical room or on a rooftop may still be considered to be in mission space if the organization (mission) served by that switchgear or AHU controls access to the electrical room, mechanical room or rooftop.

1.3.5 Network

A network is a group of two or more devices that can communicate using a network protocol. Network protocols must provide a method for addressing devices on the network; a communication method that does not provide an addressing scheme is not a networked form of communication. Devices that communicate using a method of communication that does not support device addressing are not using a network.

1.3.6 Network Connected

A component is network connected (or "connected to a network") only when the device has a network transceiver which is directly connected to the network and implements the network protocol. A device lacking a network transceiver (and accompanying protocol implementation) can never be considered network connected. Note that (unlike many IT definitions of "Network Connected") a device connected to a non-IP network is still considered network connected (an IP connection or IP address is not required for a device to be network connected).

1.3.6.1 Wireless Network Connected

Any device that supports wireless network communication is network connected to a wireless network, regardless of whether the device is communicating using wireless. Unless physically disabled, devices with wireless transceivers support wireless, it is not sufficient to disable the wireless in software.

1.3.7 Network Media

The thing that provides the communication channel between the devices on a network. Typically wire, but might include wireless, fiber optic, or even power line (some network protocols allow sending network signals over power wiring).

1.3.8 User Account Support Levels

The support for user accounts is categorized in this Section as one of three levels:

1.3.8.1 FULLY Supported

Device supports configurable individual accounts. Accounts can be created, deleted, modified, etc. Privileges can be assigned to accounts. These devices support user-based (as opposed to role-based) authentication.

1.3.8.2 MINIMALLY Supported

Device supports a small, fixed number of accounts (perhaps only one). Accounts cannot be modified. A device with only a "User" and an "Administrator" account would fit this category. Similarly, a device with two PINs for logon - one for restricted and one for unrestricted rights would fit here (in other words, the accounts do not have to be the traditional "username and password" structure). These devices typically only support role-based authentication.

Examples of devices which MINIMALLY support accounts are a) a variable frequency drive with a single account which requires a PIN for access to configuration; and b) a room lighting control touchpad interface that has a single account.

1.3.8.3 NOT Supported

Device does not support any Access Enforcement therefore the whole concept of "account" is meaningless.

1.3.9 Manual Local Input

Manual Local Inputs are system analog or binary inputs that are adjustable by a person but are, by intrinsic hardware design, very limited in potential capabilities. Manual Local Inputs do not have touch screens or full keyboards, but may have a few buttons or dials to allow input. Manual Local Inputs do not have full graphic screens or dot-matrix displays, but may have simple lights (LEDs) or 7-segment displays. Manual Local Inputs do not have any sort of menu structure, each button has a single well-defined function.

Examples of Manual Local Inputs are H-O-A switches, simple thermostats, and disconnect switches.

1.3.10 Card Reader

A card reader is an input/output device whose primary function is to assist in two-factor authentication. A card reader must have an interface to read data from a card and may be able to write data to a card. A card reader may have a means (such as buttons, keypad, touchscreen, etc.) for a user to input a PIN or password, as well as a limited display.

1.3.11 User Interface

A User Interface (UI) is something other than a Manual Local Input or Card Reader that allows a person to interact with the system or device. Note that while a Card Reader is not by itself a User Interface, a User Interface may contain a Card Reader in order for it to authenticate its user. Within control systems, there are a wide range of User Interfaces.

Two important distinctions are 1) whether the user interface is Local or Remote, and 2) the effective capabilities of the User Interface to alter

data, which is the "privilege" of the user interface (where effective privilege available to a specific user at a specific user interface is the combination of the greatest privilege offered by the user interface and the specific account the user is logged into).

1.3.11.1 Local User Interface

A Local User Interface is a user interface where the physical hardware the user interacts with (keyboard, buttons, display, etc.) is physically part of the device being affected. All of the relevant characteristics of the user interface are embodied within a single device.

Note that a Local UI may be able to access data in a different device, Local versus Remote in this context refers to the user interface itself; the capability to access data in a different device is covered under "Full User Interface".

1.3.11.2 Remote User Interface

A Remote User Interface implements a Client/Server model where the physical hardware the user interacts with (Client) is physically distinct from the device being affected (Server). Most or all of the security and functionality characteristics of the user interface are defined by the Server, not the Client. The Client and Server communicate via a network connection. A common example of a remote user interface is a web-based interface where the browser (client) is generally on different hardware than the web server (server). A Remote UI remains a Remote UI even if the user happens to be at a Client on the same hardware as the Server. What is important is that a) the Client may be on different hardware than the Server and b) the majority of the security and functional characteristics of the interface are defined at the Server.

Note that this definition of "remote" is consistent with that generally used in the control industry but is not aligned with the NIST 800-53 definition of "Remote", which refers to "outside the system". The term "Remote" here better aligns with the NIST 800-53 definition of "Network" (remote from within the system) Access.

1.3.11.3 Types of User Interface (by capability)

User interfaces are also categorized by their capabilities as being Read Only, Limited, or Full.

1.3.11.3.1 Read-Only User Interface

A Read Only User Interface (also referred to as a View-Only User Interface) is a user interface that only allows for reading data, it does not allow (have the capability to) modify data. A Read Only User Interface may be either Local or Remote. A User Interface that is configured to be Read Only (by some other means than the interface itself, such as using configuration software on a laptop) is a Read-Only Interface. Note a Read Only User Interface may have buttons (or touch screen, etc.) allowing the user to navigate through the presentation of data.

Examples of a Read Only User Interfaces are a) a publicly viewable "energy dashboard" showing weather data and energy usage within a building and b) digital wayfinding signage.

1.3.11.3.2 Limited User Interface

A Limited User Interface is a user interface that - by design - can only alter information local to the user interface. Note that the determination of "alter" includes only direct interactions, it explicitly excludes interactions that might occur as secondary effects. For example, an interface changing the flow setpoint in a pump controller is a direct interaction, the subsequent change in flow (as well as any subsequent downstream changes in valve position) are not direct interactions.

Two examples of LIMITED UIs are: a) a variable speed drive has a Limited Local User Interface which allows the user to change properties within the drive, but does not allow affecting things outside the drive; and b) a typical home WiFi Router has a Limited Remote User Interface which allows configuration of the Router, but does not allow direct interaction with other devices.

1.3.11.3.3 Full User Interface

A Full User Interface can alter information in devices outside the device with the user interface. For example, a typical Local Display Panel is a Full Local User Interface while a browser-based front end is a Full Remote User Interface.

1.3.11.3.4 View-Only User Interface

See Read-Only User Interface

1.3.11.4 Other User Interface Terminology

In addition to defining whether a user interface is a Hardware Limited, Read-Only, Limited or Full, and whether it is Local or Remote, user interfaces are classified by whether they are writable or privileged.

1.3.11.4.1 Writable User Interface

Any User Interface that is not Read-Only is Writable. (Limited User Interfaces and Full User Interfaces are both writable user interfaces (as they are capable of changing a value)).

1.3.11.4.2 Privileged User Interface

A Privileged UI is a UI that has sufficient capabilities or functionality that it requires specific cybersecurity measures to be put in place to limit its unauthorized use. Ultimately, whether a specific user interface is considered a Privileged User Interface must be determined by usage. Unless otherwise specified, user interfaces can be determined to be privileged or not using the following:

a. Read-Only User Interfaces are not privileged user interfaces.

b. Full User interfaces for Fire Alarm Systems are privileged user interfaces as indicated and shown, or when another requirement of this Section establishes they are privileged. For all other systems, Full User Interfaces are privileged user interfaces.

c. User interfaces that allow for configuration of auditing or allows for modification or deletion of audit logs are privileged user interface.

d. User interfaces that allow for reprogramming a network connected device is a privileged user interface.

e. For Fire Protection Systems, User Interfaces that can inhibit or force the activation of a fire suppression system (e.g. such as for a pre-action or deluge system) are privileged user interfaces.

f. Writeable User Interfaces in Electronic Security Systems (ESS) are privileged user interfaces.

e. Except as specified above, a Limited User Interface must be determined to be privileged or not based on the specific capabilities and use case of the user interface. In general however, user interfaces that do not offer significant capabilities above and beyond those available at that location via other means (e.g. such as a disconnect switch, breaker, or hand-off-auto switch, or physical attack) are not privileged.

1.3.12 Wireless Network

Any network that communicates without using wires or fiber optics as the communication media. Wireless networks include: WiFi, Bluetooth, ZigBee, cellular, satellite, 900 MHz radio, 2.4 GHz, free space optical, point-to-point laser, and IR.

1.3.13 Wired Broadcast Network

Wired Broadcast Networks are any network, such as powerline carrier networks and modem (wired telephony), that use wire-based technologies where there is not a clearly defined boundary for signal propagation.

1.4 ADMINISTRATIVE REQUIREMENTS

1.4.1 Points of Contact

Coordinate with the following Points of Contact as indicated in this Section and as required. Not all projects will require coordination with all Points of Contact. When coordination is required and no Point of Contact is indicated, coordinate with The Contracting Office Representative (COR).

a. Government Computer Access Point of Contact: The Contracting Office Representative (COR)

b. HTTPS Certificate Point of Contact: The Contracting Office Representative (COR)

c. Email Address Point of Contact: The Contracting Office Representative (COR)

d. Password Point of Contact: The Contracting Office Representative (COR)

e. Mobile Code Point of Contact: The Contracting Office Representative (COR)

f. PKI Infrastructure Point of Contact: The Contracting Office Representative (COR)

1.4.2 Coordination

Coordinate the execution of this Section with the execution of all other Sections related to control systems as indicated in the paragraph RELATED REQUIREMENTS. Items that must be considered when coordinating project efforts include but are not limited to:

a. If requesting permission for wireless or wired broadcast communication, the Wireless and Wired Broadcast Communication Request submittal must be approved prior to control system device selection and installation.

b. If requesting permission for alternate account lock permissions, the Device Account Lock Exception Request must be approved prior to control system device selection and installation.

c. If requesting permission for the use of a device with multiple physical connections to IP networks, the Multiple IP Connection Device Request must be approved prior to control system device selection and installation.

d. Wireless testing may be required as part of the control system testing. See requirements for the Wireless Communication Test Report submittal.

e. If the Device Audit Record Upload Software is to be installed on a computer not being provided as part of the control system, coordination is required to identify the computer on which to install the software.

f. The Cybersecurity Interconnection Schedule must be coordinated with other work that will be interconnected to, and interconnections must be approved by the Government before relying on them for system functionality.

g. Cybersecurity testing support must be coordinated across control systems and with the Government cybersecurity testing schedule.

h. Passwords must be coordinated with the indicated contact for the project site.

i. If applicable, HTTPS web server certificates must be obtained from the indicated HTTPS Certificate Point of Contact.

j. Contractor Computer Cybersecurity Compliance Statements must be provided for each contractor using contractor owned computers.

1.5 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Wireless and Wired Broadcast Communication Request

Device Account Lock Exception Request

Multiple Ethernet Connection Device Request

Contractor Computer Cybersecurity Compliance Statements

Contractor Temporary Network Cybersecurity Compliance Statements

Cybersecurity Interconnection Schedule

Protection of Information At Rest Proposal

Proposed STIG and SRG Applicability Report

SD-02 Shop Drawings

Network Communication Report

Cybersecurity Riser Diagram

SD-03 Product Data

Control System Cybersecurity Documentation

SD-06 Test Reports

Wireless Communication Test Report

Control System Cybersecurity Testing Procedures

Control System Cybersecurity Testing Report

SD-07 Certificates

Software Licenses

SD-11 Closeout Submittals

Password Change Summary Report

Enclosure Keys

Software and Configuration Backups

Auditing Front End Software

Device Audit Record Upload Software

System Maintenance Tool Software

Control System Scanning Tools

STIG, SRG and Vendor Guide Compliance Result Report

Control System Inventory Report

Integrity Verification Software

1.6 QUALITY CONTROL

1.7 CYBERSECURITY DOCUMENTATION

{For Government Reference Only: This subpart (and its subparts) relates to PL-7; CCI-003071}

1.7.1 Proposed STIG and SRG Applicability Report

For each model of network connected or network infrastructure device, use the DISA SRG/STIG Applicability Guide and Collection Tool (available at https://public.cyber.mil/stigs/SCAP/to identify applicable STIGs or SRGs and provide a report indicating applicable STIGs and SRGs for each model.

1.7.2 Cybersecurity Interconnection Schedule

{For Government Reference Only: This subpart relates to CA-3(b), PL-8, SC-7(9), SC-7(11); CCI-000258, CCI-003072, CCI-003073, CCI-003075, CCI-002398, CCI-002399, CCI-002401, CCI-002402, CCI-002403. For MODERATE Impact systems, this subpart also relates to SC-7; CCI-001126, CCI-001109}

Provide a completed Cybersecurity Interconnection Schedule documenting network connections between the installed system and other systems. Provide the following information for each device directly communicating between systems: Device Identifier, Device Description, Transport layer Protocol, Network Address, Port (if applicable), MAC (Layer 2) address (if applicable), Media, Application Protocol, Service (if applicable), Descriptive Purpose of communication. For communication with other authorized systems also provide the Foreign Destination and POC for Destination. For MODERATE Impact Systems: Also describe the impact of loss of the connection on the control system. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Cybersecurity Interconnection Schedule as an editable Microsoft Excel file (a template Cybersecurity Interconnection Schedule in Excel format is available at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1

1.7.3 Network Communication Report

{For Government Reference Only: This subpart (and its subparts) relates to CA-9, PL-8; CCI-002102, CCI-002103, CCI-002104, CCI-002105, CCI-003072, CCI-003073, CCI-003075 and also the submittal requirements associated with CM-6, CM-7, SC-8 and SC-41 including CM-7(3), CCI-000388.}

Provide a network communication report. For each networked device, document the communication characteristics of the device including communication protocols, services used, encryption employed, and a general description of what information is communicated over the network. For each device using IP, document all TCP and UDP ports used. For non-IP communications, document communication protocol and media used. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Network Communication Report as an editable Microsoft Excel file.

1.7.4 Control System Inventory Report

{For Government Reference Only: This subpart (and its subparts) relates to CM-8(a), SI-17, IA-3; CCI-000389, CCI-000392, CCI-000398, CCI-002773, CCI-002774, CCI-002775, CCI-000777, CCI-000778, CCI-001958}

Provide a Control System Inventory report using the Inventory Spreadsheet listed under this Section at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 documenting all networked devices, including network infrastructure devices. For each device provide all applicable information for which there is a field on the spreadsheet in accordance with the instructions on the spreadsheet.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Control System Inventory Report as an editable Microsoft Excel file.

1.7.5 Software and Configuration Backups

{For Government Reference Only: This subpart (and its subparts) relates to CP-10; CCI-000550, CCI-000551, CCI-000552}

For each computer on which software is installed under this project, provide a recovery image of the final as-built computer. This image must allow for bare-metal restore such that restoration of the image is sufficient to restore system operation to the imaged state without the need for re-installation of software. If additional user permissions are required to meet this requirement, coordinate the creation of the image with the identified Government Computer Access Point of Contact.

For all ethernet switches provide a backup of the switch configuration. For all controllers, provide a backup of the controller configuration and the source code for all loaded application programs (all software that is not common to every controller of the same manufacturer and model).

If any or all of these are provided under another Section, provide documentation indicating this and referencing those submittals.

1.7.6 Cybersecurity Riser Diagram

{For Government Reference Only: This subpart (and its subparts) relates to PL-2(a), PL-8; CCI-003051, CCI-003053, CCI-003072, CCI-003073, CCI-003075}

Provide a cybersecurity riser diagram of the complete control system including all network and device hardware. If the control system specifications require a riser diagram submittal, provide a copy of that submittal as the cybersecurity riser diagram. Otherwise, provide a riser diagram in one-line format.

1.7.7 STIG, SRG and Vendor Guide Compliance Result Report

For every component (device or software) with an applicable STIG or SRG in the Proposed STIG and SRG Applicability Report, provide a result report documenting compliance with the STIG or SRG requirements. For components which are scannable by the SCAP (security content automation protocol) tool (available online at https://public.cyber.mil/stigs/scap), provide the SCAP report and raw scan results.

For every component (device or software) with manufacturer provided cybersecurity documentation, procedure, or method for secure configuration or installation, provide a report documenting how the component was configured and any deviation from the manufacturer instructions.

1.7.8 Control System Cybersecurity Documentation

{For Government Reference Only: This subpart (and its subparts) relates to SA-5 (a),(b),(c); CCIs: CCI-003124, CCI-003125, CCI-003126, CCI-003127, CCI-003128, CCI-003129, CCI-003130, CCI-003131}

Provide a Control System Cybersecurity Documentation submittal containing the indicated information for each device and software application.

1.7.8.1 Software Applications

For all software applications running on computers provide:

a. administrator documentation that describes secure configuration of the software {For Government Reference Only: relates to CCI-003124}

b. administrator documentation that describes secure installation of the software {For Government Reference Only: relates to CCI-003125}

c. administrator documentation that describes secure operation of the software {For Government Reference Only: relates to CCI-003124}

d. administrator documentation that describes effective use and maintenance of security functions or mechanisms for the software {For Government Reference Only: relates to CCI-003127}

e. administrator documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the software {For Government Reference Only: relates to CCI-003128}

f. user documentation that describes user-accessible security functions or mechanisms in the software and how to effectively use those security functions or mechanisms {For Government Reference Only: relates to CCI-003129}

g. user documentation that describes methods for user interaction which enables individuals to use the software in a more secure manner {For Government Reference Only: relates to CCI-003130}

h. user documentation that describes user responsibilities in maintaining the security of the software {For Government Reference Only: relates to CCI-003131}

1.7.8.2 For HVAC Control System Devices

1.7.8.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For all HVAC Control System Devices which FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}

c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}

d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}

e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003129}

f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.7.8.2.2 All Other HVAC Control System Devices

For all HVAC Control System Devices which do not FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003127}

c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.7.8.3 For Lighting Control System Devices

1.7.8.3.1 Lighting Control System Devices FULLY Supporting User Accounts

For all Lighting Control System Devices which FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}

c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}

d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}

e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003129}

f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.7.8.3.2 All Other Lighting Control System Devices

For all Lighting Control System Devices which do not FULLY support user accounts, provide:

a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003127}

c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.7.8.4 Default Requirements for Control System Devices

For control system devices where Control System Cybersecurity Documentation requirements are not otherwise indicated in this Section, provide:

a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}

b. Documentation that describes secure installation of the device {For Government Reference Only: relates to CCI-003125}

c. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}

d. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}

e. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}

f. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms {For Government Reference Only: relates to CCI-003129}

g. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

h. Documentation that describes user responsibilities in maintaining the security of the device {For Government Reference Only: relates to CCI-003131}

1.8 SOFTWARE LICENSING

{For Government Reference Only: This subpart (and its subparts) relates to SI-2(a), SI-2(c), SI-7(14); CCI-001227, CCI-002605, CCI-002737}

For all software provided that has not already been licensed to the government or project site, provide a license to the Government for a period of no less than 5 years, and the license must also include the following software updates:

a. Security and bug-fix patches issued by the software manufacturer.

b. Security patches to address any vulnerability identified in the National Vulnerability Database at http://nvd.nist.gov with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or higher.

Provide a single Software Licenses submittal with documentation of the software licenses for all software provided

1.9 CYBERSECURITY DURING CONSTRUCTION

{For Government Reference Only: This subpart (and its subparts) relates to AC-18, SA-3; CCI-000258}

In addition to the control system cybersecurity requirements indicated in this section, meet following requirement throughout the construction process.

1.9.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. Contractor computers connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.9.1.1 Operating System

The operating system must be an operating system currently supported by

the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.9.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. Computers used on this project must be scanned using the installed software at least once per day.

1.9.1.3 Passwords and Passphrases

The passwords and passphrases for computers, applications, and web-based applications supporting passwords must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.9.1.4 User-Based Authentication

Each user must have a unique account; sharing of a single account between multiple users is prohibited.

1.9.1.5 Demonstration of Compliance

The Government has the right to require demonstration of computer compliance with these requirements at any time during the project.

1.9.1.6 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 Each Statement must be signed by a cybersecurity representative for the relevant company.

1.9.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.9.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than those specifically provided or furnished for this project. Any and all access to the network from outside the project site is prohibited.

1.9.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access

to the network at any time in order to verify compliance with this specification.

1.9.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks, when permitted, must not interfere with existing wireless networks, must use WPA2 security and must not broadcast the network name (SSID). Network names (SSID) for wireless networks must be changed from their default values.

1.9.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.9.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

1.10 CYBERSECURITY DURING WARRANTY PERIOD

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government.

PART 2 PRODUCTS

All products used on this project must meet the indicated requirements, but not all products specified here will be required by every project.

2.1 ETHERNET SWITCH

Provide Open Systems Interconnection (OSI) Layer 2 Ethernet switches with the following capabilities, and with an interface to support switch configuration for these capabilities:

2.1.1 Required Functionality

Switches must:

a. Copper Ethernet ports must auto negotiate for 10, 100 and 1000 megabits-per-second links.

b. Be capable of implementing port level access control by MAC address and limit the number of MAC addresses to one MAC address per port.

c. For MODERATE Impact Systems, be capable of implementing per-port access control lists (ACLs) where the list can be filtered by source and destination IP addresses, and by source and destination UDP or TCP

ports.

c. For LOW Impact Systems, be capable of implementing per-port access control lists (ACLs) where the list can be filtered by source and destination IP addresses, and by source and destination UDP or TCP ports.

d. Support Remote Network Monitoring (RMON) Port Analysis in accordance with IETF RFC 2819

e. Configure target port and analysis port such that switch clones all target port traffic to analysis port.

2.1.2 Configuration Requirements

Switches must:

a. Support configuration save and restore.

b. Support both manual IP address assignment and acquisition of a dynamic IP address via Dynamic Host Configuration Protocol (DHCP).

c. Be capable of limiting access for configuration to one or more of: a web interface using HTTPS, a command line interface using SSH, or an SNMP connection using SNMP version 3 or later.

2.2 DAISY CHAIN IP CONTROLLERS

Controllers used as Daisy Chain IP Controllers must be IP controllers with exactly two Ethernet network connections and basic built-in switch capabilities to allow implementation of an Ethernet network in a daisy chain architecture. Switches incorporated by Daisy Chain IP Controllers are not required to meet the requirements for Ethernet Switches as defined in this Section.

2.3 DATABASE AND WEB SERVER SOFTWARE FOR MODERATE IMPACT SYSTEMS

{For Government Reference Only: This subpart (and its subparts) relate to RA-5(1), RA-5(5); CCI-001062, CCI-001067, CCI-001645, CCI-002906}

All computer-based databases must use Microsoft SQL Server or Oracle or MySQL. All computer-based web interfaces must use Internet Information Services (IIS) or Apache as the web server.

PART 3 EXECUTION

3.1 CYBERSECURITY HARDENING AND CONFIGURATION GUIDES

Install, configure, and harden all hardware and software furnished on this project in accordance with manufacturer provided documentation, procedures, or methods for secure configuration or installation. Do not implement specific hardening actions if that action would conflict with required functionality or another requirement of this Section.

3.2 NETWORK REQUIREMENTS

3.2.1 Information Flow Enforcement In MODERATE Impact Systems

{For Government Reference Only: This subpart (and its subparts) relate to AC-4; CCI-001368, CCI-001414, CCI-001548, CCI-001549, CCI-001550, CCI-001551}

Install and configure Ethernet switches to block all traffic on all ports not required by the control protocol.

3.2.2 Wireless and Wired Broadcast Communication for Fire Protection Systems

The use of wireless and wired broadcast communication for fire protection systems within a facility is prohibited. Wireless communication may be used to provide communication from the fire protection system in a facility to the central monitoring station. Communication between the fire protection system and the central monitoring station must be via FIPS 140-2 certified devices.

3.2.3 Wireless and Wired Broadcast Communication for Systems Other than Fire Protection Systems

{For Government Reference Only: This subpart (and its subparts) relates to AC-18, AC-18(3); CCI-001438, CCI-001439, CCI-002323, CCI-001441, CCI-002252}

Unless explicitly authorized by the Government, do not use any wireless or wired broadcast communication. If requesting authorization for wireless or wired broadcast communication, wired broadcast media such as powerline carrier is preferred to wireless.

3.2.3.1 Wireless and Wired Broadcast IP Communications

Do not install wireless or wired broadcast IP networks; do not install a wireless access point; do not install or configure an ad-hoc wireless network; do not install or configure a WiFi Direct communication.

When explicitly authorized by the Government, wireless IP communication may be used to communicate with an existing wireless network.

3.2.3.2 Non-IP Wireless Communication

For LOW Impact Systems: When non-IP wireless communication is explicitly authorized by the Government, use the maximum level of encryption supported by the specific protocol employed and select signal strength and radiated power to the minimum necessary for reliable communication.

For MODERATE Impact Systems: When non-IP wireless communication is explicitly authorized by the Government, the radios must meet NIST FIPS 140-2 Level 2.

3.2.3.3 Wireless and Wired Broadcast Communication Request

Provide a report documenting the proposed use of wireless or wired broadcast communication prior to device selection using the Wireless and

Wired Broadcast Communication Request Schedule at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 If there is no proposed use of wireless or wired broadcast communication, provide a document indicating this instead of the Request Schedule.

For each device proposed to use wireless or wired broadcast communication show: the device identifier, a description of the device, the location of the device, the device identifiers of other devices communicating with the device, the protocol used for communication, encryption type and strength. For wireless communication, also show: RF Frequency, Radiated Power in dBm (decibel with a milliwatt reference), free-space range, and the expected as-installed range.

3.2.3.4 Wireless Communication Testing

Conduct testing of wireless communication for all devices indicated on the approved Wireless and Wired Broadcast Communication Request as requiring testing.

To test wireless communication, test for wireless network reception at multiple points along the wireless test boundary in the vicinity of the wireless device, and record whether a network connection can be established at each point. The wireless test boundary is approved by the Contracting Officer. If wireless testing is required, provide a Wireless Communication Test Report documenting the testing points and results at each point for each wireless device.

3.2.4 Non-IP Control Networks

When control system specifications require particular communication protocols, use only those communication protocols and only as specified. Do not implement any other communication protocol.

When control system specifications do not indicate requirements for communication protocols, use only those protocols required for operation of the system as specified.

3.2.5 IP Control Networks

{For Government Reference Only: This subpart relates to CM-6(a), CM-7(a), CM-7(b), CM-7(1)(b), SC-41; CCI-001588, CCI-000381, CCI-000380, CCI-000381, CCI-000382, CCI-001761, CCI-001762, CCI-002544, CCI-002545, CCI-002546. For Moderate Impact Systems, this subpart (and its subparts) also relates to SC-5(1), SC-5(2); CCI-001094 CCI-001095}

IP Networks must be Ethernet networks and must use switches which are Ethernet Switches or Daisy Chain IP Controllers as defined in this Section. Do not use nonsecure functions, ports, protocols and services as defined in DODI 8551.01 unless those ports, protocols and services are specifically required by the control system specifications or otherwise specifically authorized by the Government. Do not use ports, protocols and services that are not specified in the control system specifications or required for operation of the control system.

For MODERATE Impact Systems, unless explicitly authorized, do not use IP networks if the same control functionality is available through the use of non-IP networks.

3.2.5.1 IP Network Routers

Do not install any device that performs IP routing.

3.2.5.2 IP Devices With Multiple Ethernet Connection

Except for Ethernet Switches and Daisy Chain IP Controllers, devices must not have more than one Ethernet connection to IP networks unless doing so is required by the project specifications and the specific application is approved. If a device with Multiple Ethernet Connections to IP networks is required, provide a Multiple Ethernet Connection Device Request using the Multiple Ethernet Connection Device Request Template at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1 to request approval for each device. If a device with Multiple Ethernet Connections to IP networks is not required, instead provide a document stating that no approval is being requested.

3.2.6 Cryptographic Protection

{For Government Reference Only: This subpart relates to IA-2(9), IA-3(1), SC-8, SC-13, SC-23(1), SC-23(3); CCI-001942, CCI-001959, CCI-001967, CCI-002418, CCI-002449, CCI-002450, CCI-001185, CCI-001188, CCI-001664.}

All remote user interfaces must use HTTPS for all traffic between the user interface client and user interface server.

For devices that have STIG/SRGs related to cryptographic protection (CCI-002450), comply with the requirements of those STIG/SRGs. Ensure that network traffic is encrypted using NSA-approved cryptography; provision of digital signatures and hashing, and FIPS-validated cryptography.

3.2.7 Device Identification and Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-3; CCI-000777, CCI-000778, CCI-001958. For MODERATE Impact systems, this subpart (and its subparts) also relates to SC-23, SC-23(5); CCI-001184, CCI-002470.}

All computers must support IEEE 802.1x for device authentication to the network.

3.2.7.1 For HVAC Control System Devices

Devices using HTTP as a control protocol must use HTTPS instead. Devices using Fox Protocol must support IEEE 802.1x.

3.2.7.2 For Lighting Control System Devices

Devices using HTTP as a control protocol must use HTTPS instead. Devices using Fox Protocol must support IEEE 802.1x.

3.2.7.3 Default Requirements for Control System Devices

For control system devices where Device Identification and Authentication requirements are not otherwise indicated in this Section: Devices using HTTP as a control protocol must use HTTPS instead.

3.2.8 Cryptographic Module Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-7; CCI-000803}

For devices (including but not limited to NIST FIPS 140-2 compliant radios) that have STIG/SRGs related to cryptographic module authentication (CCI-000803), comply with the requirements of those STIG/SRGs.

3.3 ACCESS CONTROL REQUIREMENTS

3.3.1 User Accounts

{For Government Reference Only: This subpart (and its subparts) relate to AC-2(a), AC-3, AC-6(1), AC-6(10), AC-6(2), AC-6(9), CM-11(2), and IA-2; CCI-002110, CCI-000213, CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-002235, CCI-000039, CCI-001419, CCI-002234, CCI-001812, and CCI-000764. For MODERATE Impact systems, this subpart (and its subparts) also relate to AC-2 (2), AC-2(3), AC-2(4), AC-6(1), and CM-5(1); CCI-001361, CCI-000017, CCI-000217, CCI-000018, CCI-001403, CCI-001404, CCI-001405, CCI-002130, CCI-001683, CCI-001684, CCI-001685, CCI-001686, CCI-002132, CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-001813.}

Any user interface supporting user accounts (either FULLY or MINIMALLY) must limit access according to specified limitations for each account. Install and configure any device having a STIG or SRG in accordance with that STIG or SRG.

All user interfaces FULLY supporting accounts must implement user-based authentication where each account is uniquely assigned to a specific user. User interfaces FULLY supporting accounts must implement at least three (3) levels of user account privilege including: 1) an account with read-only permissions 2) an account with full permissions including account creation and modification and 3) an account with greater permissions than read-only but without account creation and modification.

3.3.1.1 Computers

All computer operating systems must FULLY support user accounts and implement accounts for access. Each control system software application not supporting accounts and running on a computer must be installed such that use of the software is restricted by the computer operating system to specific users.

Applications running on computers must not require the user be logged in to a computer operating system administrator account for normal operation. It is permissible to require the computer operating system administrator account for initial application installation and configuration.

3.3.1.2 Controllers

For user interfaces provided by controllers, provide access control in accordance with the User Interface Requirements table for the applicable control system and user interface type.

a. For table entries of "NA": NA means Not Applicable, there are no

interfaces in this category.

b. For table entries of "None Required": The user interface is not required to support user accounts.

c. For table entries of "MINIMALLY": The user interface must at least MINIMALLY support user accounts.

d. For table entries of "FULLY": The user interface must at FULLY support user accounts.

e. For table entries of "KEY": The user interface must have physical security in the form of either a key lock on the interface itself or be furnished inside a locked enclosure. Where this is required for a read only interface, this lock must prevent viewing of data on the interface; for other interfaces, this lock must prevent using the interface to alter data.

f. For table entries of "Physical Security": For Local FULL interfaces, the interface must be located inside mission space. For Local Limited (not FULL) interfaces, the user interface must either a) be located within mission space or b) be protected by physical security at least as good as the control devices (and equipment controlled by the control devices) affected by the interface. For purposes of this requirement, 'affected' includes controllers with data that can be directly altered by the interface, as well as mechanical and/or electrical equipment directly controlled by those controllers, but does not include other interactions.

g. Entries of the form "X and Y" must meet both the requirement indicated for X and the requirement indicated for Y. For example, an entry of "MINIMALLY and Physical Security" indicates the user interface must both MINIMALLY support accounts and have physical security.

h. Entries of the form "X or Y" must meet either the requirement indicated for X or the requirement indicated for Y.

3.3.1.2.1 HVAC Control Systems

User Interface Requirements for LOW Impact HVAC Control Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY
Local Full	MINIMALLY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY

User Interface Requirements for LOW Impact HVAC Control Systems	
User Interface Type	**Access Control Requirement**
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	

User Interface Requirements for MODERATE Impact HVAC Control Systems	
User Interface Type	**Access Control Requirement** (See note 3)
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY and Physical Security
Local Full	MINIMALLY and Physical Security
Remote Read Only	None Required
Remote Limited, Non-Privileged	FULLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")	

3.3.1.2.2 Lighting Control Systems

User Interface Requirements for LOW Impact Lighting Control Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY
Local Full	MINIMALLY

User Interface Requirements for LOW Impact Lighting Control Systems	
User Interface Type	**Access Control Requirement**
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	

User Interface Requirements for MODERATE Impact Lighting Control Systems	
User Interface Type	**Access Control Requirement** (See note 3)
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY and Physical Security
Local Full	MINIMALLY and Physical Security
Remote Read Only	None Required
Remote Limited, Non-Privileged	FULLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")	

3.3.1.2.3 Electronic Security Systems (ESS)

User Interface Requirements for LOW Impact Electronic Security Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	KEY
Local Limited, Non-privileged	NA

User Interface Requirements for LOW Impact Electronic Security Systems	
User Interface Type	**Access Control Requirement**
Local Limited, Privileged	MINIMALLY and KEY
Local Full	FULLY and Physical Security
Remote Read Only	None Required
Remote Limited, Non-Privileged	NA
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY

Notes:
1)Local Read Only User Interfaces are always Non-Privileged
2)Remote Full User Interfaces are always Privileged

User Interface Requirements for MODERATE Impact Electronic Security Systems	
User Interface Type	**Access Control Requirement** (See note 3)
Local Read Only (see note 1)	KEY
Local Limited, Non-privileged	NA
Local Limited, Privileged	FULLY
Local Full	FULLY and Physical Security
Remote Read Only	None Required
Remote Limited, Non-Privileged	NA
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY

Notes:
1)Local Read Only User Interfaces are always Non-Privileged
2)Remote Full User Interfaces are always Privileged
3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")

3.3.1.2.4 Fire Protection Systems

User Interface Requirements for LOW Impact Fire Protection Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	KEY or MINIMALLY
Local Limited, Privileged	KEY and Physical Security
Local Full	KEY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY
Remote Limited, Privileged AND Remote Full	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged	

User Interface Requirements for MODERATE Impact Fire Protection Systems	
User Interface Type	**Access Control Requirement** (See note 2)
Local Read Only	None Required
Local Limited, Non-privileged	KEY or MINIMALLY
Local Limited, Privileged	KEY and Physical Security
Local Full	KEY
Remote Read Only	MINIMALLY
Remote Limited, Non-Privileged	FULLY
Remote Limited, Privileged AND Remote Full	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")	

3.3.1.2.5 Default Requirements for Other Control Systems

For control system devices where User Interface Requirements are not otherwise indicated in this Section, use the Default User Interface Requirements tables.

Default User Interface Requirements for LOW Impact Control Systems	
User Interface Type	**Access Control Requirement**
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY
Local Full	MINIMALLY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	

Default User Interface Requirements for MODERATE Impact Control Systems	
User Interface Type	**Access Control Requirement** (See note 3)
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	None Required
Local Limited, Privileged	MINIMALLY and Physical Security
Local Full	MINIMALLY and Physical Security
Remote Read Only	None Required
Remote Limited, Non-Privileged	FULLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")	

3.3.1.3 Additional User Account Expiration Requirements In MODERATE Impact Systems:

In addition to other user account requirements, user account expiration and auditing must be configured as indicated.

3.3.1.3.1 For Control System Applications Running on Computers

If temporary accounts are supported, expire temporary accounts 72 hours after creation. Expire all other accounts after 35 days of inactivity.

3.3.1.3.2 For Other Control System Devices FULLY Supporting Accounts

If temporary accounts are supported, expire temporary accounts 72 hours after creation. Expire all other accounts after 365 days of inactivity.

3.3.2 Unsuccessful Logon Attempts

{For Government Reference Only: This subpart (and its subparts) relate to AC-7 (a), AC-7 (b); CCI-000043, CCI-000044, CCI-001423, CCI-002236, CCI-002237, CCI-002238}

Except for high availability user interfaces indicated as exempt, devices must meet the indicated requirements for handling unsuccessful logon attempts. If a device cannot meet these requirements, document device capabilities to protect from subsequent logon attempts and propose alternate protections in a Device Account Lock Exception Request submittal. Do not implement alternate protection measures in lieu of the indicated requirements without explicit permission from the Government. If no Device Account Lock Exceptions are requested, provide a document stating that no approval is being requested as the Device Account Lock Exception Request.

3.3.2.1 Devices MINIMALLY Supporting Accounts

For LOW Impact Systems: Devices which MINIMALLY (but not FULLY) support accounts are not required to lock based on unsuccessful logon attempts.

For MODERATE Impact Systems: Devices which MINIMALLY (but not FULLY) support accounts must lock the user account account after five consecutive failed login attempts and must unlock the user account after 60 minutes have elapsed without an unsuccessful login attempt or by a successful login to a separate administrator account.

3.3.2.2 Devices FULLY Supporting Accounts

Devices which FULLY support accounts must meet the following requirements.

a. It must lock the user account when three unsuccessful logon attempts occur within a 15 minute interval.

b. Once an account is locked, the account must stay locked until unlocked by an administrator. If the account being locked is the sole administrator account on the device, the account must stay locked for 1 hour and then automatically unlock.

c. Once the indicated number of unsuccessful logon attempts occurs, delay further logon prompts by 5 seconds.

3.3.3 System Use Notification

{For Government Reference Only: This subpart (and its subparts) relates

to AC-8; CCI-000048, CCI-002247, CCI-002243, CCI-002244, CCI-002245, CCI-002246, CCI-000050, CCI-002248}

3.3.3.1 System Use Notification for Remote User Interfaces

Remote user interfaces must display a warning banner meeting the requirements of DTM 08-060 on screen.

3.3.3.2 System Use Notification for Local User Interfaces

Devices which are connected to a network and have a local user interface must display a warning banner meeting the requirements of DTM 08-060 on the user interface screen if capable of doing so and must have a permanently affixed label with an approved banner from DTM 08-060 if unable to display the warning banner on the screen. Where it is impractical (perhaps due to device size) to affix the label to the device, affix the label to the device enclosure.

Labels must be machine printed or engraved, plastic or metal, designed for permanent installation, must use a font no smaller than 14 point, and must provide a high contrast between font and background colors.

3.3.4 Session Lock and Session Termination Requirements In MODERATE Impact Systems:

{For Government Reference Only: This subpart (and its subparts) relates to AC-11(a), AC-11(b), AC-11(1), AC-12, SC-10; AC-10; CCI-000058, CCI-000059, CCI-000056, CCI-000057, CCI-000060, CCI-002360, CCI-002361, CCI-001133, CCI-001134, CCI-000054, CCI-000055, CCI-002252}

3.3.4.1 Session Termination

When session termination is required for a User Interface, the User Interface must implement session termination a) based on manual initiation, or b) based on lack of activity, or c) based on either manual initiation or lack of activity, as indicated.

Session Termination must result in logging out the user. A logged out User Interface may only perform actions as indicated in the "Permitted Actions Without Identification or Authentication" subpart of this Section or display a publicly viewable image or blank screen. User Interfaces must remain logged out (session terminated) until a user enters correct authentication information, which must initiate a new session. All User Interfaces running on computers and all Remote User Interfaces must also terminate network connections as part of session termination.

3.3.4.2 Session Lock

When session lock is required for a User Interface, the User Interface must implement session lock a) based on manual initiation, or b) based on lack of activity, or c) based on either manual initiation or lack of activity, as indicated.

Session lock must result in the User Interface being suspended and the user interface must display a publicly viewable image or blank screen. No interaction with the user interface must be possible until either a)

the same user enters valid authentication information, in which case that session must be continued, or b) until a different user enters valid authentication information at which point the first session must be terminated and a new session initiated for the new user.

3.3.4.3 Session Lock and Termination for Computers

User Interface sessions provided by computer operating systems must support the requirement for both Session Lock and Session Termination. Session Lock and Session Termination must be capable of being initiated by the user and must also be initiated by lack of activity. Session Lock must occur after 15 minutes of inactivity, and Session Termination must occur after 30 minutes total of inactivity (including, not in addition to, the time for Session Lock). When a user initiates a new session, terminate existing sessions if necessary to limit the total number of concurrent sessions to 1.

Other User Interface sessions running on computers (for local user interfaces) or hosted on a computer (for remote user interfaces) and supporting accounts must support user initiation of Session Termination. In addition, remote User Interface sessions must also initiate Session Termination after 30 minutes of inactivity.

3.3.4.4 Session Lock and Termination for Controllers

Writable Remote User Interfaces must support requirements for Session Termination, and must both be capable of being initiated by the user and initiated by lack of activity. Session Termination must initiate after 30 minutes of inactivity.

Local User Interfaces supporting accounts must support manual initiation of Session Termination. Privileged Local User Interfaces must also support timed initiation of Session Termination, with Session Termination initiated at 30 minutes of inactivity.

3.3.5 Permitted Actions Without Identification or Authentication

{For Government Reference Only: This subpart (and its subparts) relates to AC-14; CCI-000061, CCI-000232}

The control system must require identification and authentication before allowing any actions except read-only actions by a user acting from a user interface which MINIMALLY or FULLY supports accounts.

3.3.6 Physical Security in MODERATE Impact Systems

{For Government Reference Only: This subpart relates to PE-3(1), PE-4, PE-5, SC-7(a), SC-7(c), SC-8, SC-8(1); CCI-000928, CCI-002926, CCI-000936, CCI-002930, CCI-002931, CCI-000937, CCI-001097, CCI-001109, CCI-002418, CCI-002419, CCI-002421.}

3.3.6.1 Physical Security for Media

3.3.6.1.1 Physical Security for Media Inside Mission Space

Install all non-IP network media located inside of the mission space in conduit. Install all IP network media located inside of the mission space in intermediate metallic conduit.

3.3.6.1.2 Physical Security for Media Outside Mission Space

Install all network media (both IP and non-IP) located outside of the mission space in rigid metallic conduit.

3.3.6.1.3 Physical Security for Non-Network Media in Fire Protection Systems

For Fire Suppression Systems which can be inhibited or forced to activate by manipulation of non-network wiring, install all non-network media outside of mission space, including analog and binary instrumentation wiring and power wiring, in rigid metallic conduit.

3.3.6.2 Physical Security for Devices

Install all devices (computers and controllers) which are located outside of mission space in lockable enclosures. (Recall that per definition of mission space, a room controlled by the mission is mission space regardless of whether it is contiguous with other mission space.)

Install all controllers connected to an IP network in lockable enclosures (both inside and outside of mission space).

3.3.6.2.1 Physical Security for Devices in Fire Protection Systems

For Fire Suppression systems with a release panel, install all components of the suppression system either inside mission space, or within locked enclosures. Components of these systems include: release panel, any relay or interface panels, analog and binary inputs or outputs, control valves, manual valves.

3.3.6.3 Physical Security for User Interfaces

Physical security requirements for User Interfaces are specified in the preceding paragraphs of this Section.

3.3.7 Enclosures

Prior to final acceptance of the system, lock all lockable enclosures. Submit an Enclosure Keys submittal with all copies of keys for all enclosures and a key inventory list documenting all keys. Label each key with the matching enclosure identifier.

3.4 USER IDENTIFICATION AND AUTHENTICATION

{For Government Reference Only: This subpart (and its subparts) relates to IA-2, IA-2(1),IA-2(12), IA-5 IA-5(b), IA-5(c), IA-5(e), IA-5(g), IA-5(1), IA-5(11); CCI-000764, CCI-000765, CCI-001953, CCI-001954, CCI-001544, CCI-001989, CCI-000182, CCI-001610, CCI-000192, CCI-000193, CCI-000194, CCI-000205, CCI-001619, CCI-001611, CCI-001612, CCI-001613, CCI-001614, CCI-000195, CCI-001615, CCI-000196, CCI-000197, CCI-000199, CCI-000198, CCI-001616, CCI-001617, CCI-000200, CCI-001618, CCI-002041, CCI-002002, CCI-002003. For MODERATE Impact systems, this subpart also relates to AC-6 (1), AC-6(10), AC-6(2), AC-6(9)IA-2(4), IA-5(13); CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-002235, CCI-000039, CCI-001419, CCI-002234, CCI-000768, CCI-002007.}

This subpart indicates requirements for specific methods of identification and authentication for users and user accounts. Where these requirements

conflict apply the following order of precedence: 1) If present, Device Specific Requirements take precedence over any other requirements; and then 2) multifactor authentication requirements take precedence over password requirements.

3.4.1 User Identification and Authentication for All System Types

Unless otherwise indicated, all user interfaces supporting accounts (either FULLY or MINIMALLY) must implement Identification and Authorization via passwords.

3.4.2 Implementation of Identification and Authorization Requirements

Identification and Authorization must be met by one of the following methods:

a. Direct implementation in the user interface.

b. For user interfaces on a computer: inheriting the Identification and Authorization from the computer operating system, either by the operating system limiting access to specific applications by user, or by the application itself having permissions based on the user logged into the computer.

c. For remote interfaces: an implementation shared between the remote user interface server and the remote user interface client. For example, a requirement for PIV authentication may be met on a remote user interface by a PIV reader on a web browser client which sends the authentication information via HTTPS to the remote server.

3.4.3 Password-Based Authentication Requirements

3.4.3.1 Passwords for Software and Applications Running on Computers

All software and applications running on computers supporting password-based authentication must enforce the following requirements:

a. Minimum password length of 12 characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a minimum lifetime of 24 hours.

g. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

h. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where

location is significant, a character may be reused if it is in a different position).

i. Passwords must be cryptographically protected during storage and transmission.

3.4.3.2 Passwords for Controllers FULLY Supporting Accounts

All controllers FULLY supporting accounts and supporting password-based authentication must enforce the following requirements:

a. Minimum password length of twelve (12) characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a maximum lifetime of sixty (60) days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

g. Password must differ from previous five (5) passwords, where differ is defined as changing at least fifty percent of the characters.

h. Passwords must be cryptographically protected during storage and transmission.

3.4.3.3 Passwords for Remote Interfaces

Passwords for connecting to a Remote User Interface supporting password-based authentication must enforce the following requirements:

a. Minimum password length of twelve (12) characters

b. Password must contain at least one uppercase character.

c. Password must contain at least one lowercase character.

d. Password must contain at least one numeric character.

e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.

f. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.

g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where location is significant, a character may be reused if it is in a different position).

h. Passwords must be cryptographically protected during storage and transmission.

3.4.3.4 Passwords for Devices Minimally Supporting Accounts

Devices MINIMALLY supporting accounts must support passwords with a minimum length of four characters.

3.4.3.5 Password Configuration and Reporting

For all devices with a password, coordinate the changing of passwords with the project site following testing of the system but prior to turnover to the Government. Coordinate with Password Point of Contact to determine appropriate project site personnel to complete password changes. Accompany identified personnel to each device with a password and instruct personnel on the process of changing password. Record the time, date and personnel present when each device's password is changed and submit a Password Change Summary Report documenting this information.

Provide the Password Summary Report electronically in both PDF and Microsoft Excel.

3.4.4 Authenticator Feedback

{For Government Reference Only: This subpart relates to IA-6; CCI-000206}

Devices must never show authentication information, including passwords, on a display. Devices that momentarily display a character as it is entered, and then obscure the character, are acceptable. For devices that have STIGs or SRGs related to obscuring of authenticator feedback (CCI-000206), comply with the requirements of those STIGS/SRGs.

3.5 CYBERSECURITY AUDITING

Where an auditing requirement exists for email notification, notify via email the application administrator and Information System Security Officer (ISSO) of the event. Coordinate with the Email Address Point of Contact for email addresses. If outgoing email is not available to the system, configure the system for these notifications for future support of outgoing email.

3.5.1 Audit Events, Content of Audit Records, and Audit Generation

{For Government Reference Only: This subpart (and its subparts) relates to AU-2(a), AU-2(c), AU-2(d), AU-3, AU-10, AU-12, AU-13(3), AU-14(b), AU-14(1), AU-14(2), AU-14(3), CM-5(1), SC-7 (9); CCI-000123, CCI-001571, CCI-000125, CCI-001485, CCI-000130, CCI-000131, CCI-000132, CCI-012300133, CCI-000134, CCI-001487, CCI-000166, CCI-001899, CCI-000169, CCI-001459, CCI-000171, CCI-000172, CCI-001910, CCI-001914, CCI-001919, CCI-001464, CCI-001462, CCI-001920, CCI-001814, CCI-002400. For MODERATE Impact systems, this subpart (and its subparts) also relates to AU-3 (1); CCI-000135, CCI-001488}

For devices that have STIG/SRGs related to audit events, content of audit records or audit generation, comply with the requirements of those STIG/SRGs.

If auditing requirements can be met using existing control system alarm or event capabilities, those existing capabilities may be used to meet these requirements.

3.5.1.1 Computers

For each computer, provide the capability to select audited events and the content of audit logs. Configure computers to audit the indicated events, and to record the indicated information for each auditable event

3.5.1.1.1 Audited Events

Configure each computer to audit the following events:

a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)

b. Successful and unsuccessful logon attempts

c. Successful logouts

d. Privileged activities or other system level access

e. Concurrent logons from different workstations

f. Successful and unsuccessful accesses to objects

g. All program initiations

h. All direct access to the information system

i. All account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.

j. All kernel module load, unload, and restart

3.5.1.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

a. What type of event occurred

b. When the event occurred

c. Where the event occurred

d. The source of the event

e. The outcome of the event

f. The identity of any individuals or subjects associated with the event

h. For MODERATE Impact Systems: For all privileged commands, full-text recording of the executed command and the user executing the command

For MODERATE Impact Systems: Audit records must provide sufficient detail

to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation.

3.5.1.2 For HVAC Control System Controllers

3.5.1.2.1 HVAC Control System Controllers FULLY Supporting User Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.2.1.1 Audited Events

Configure each controller to audit the following events:

a. Successful and unsuccessful logon attempts to the controller

b. Successful logouts

c. All account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.

d. All controller shutdown and startup

e. For privileged user interfaces in MODERATE Impact Systems: All user commands.

3.5.1.2.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

a. what type of event occurred

b. when the event occurred

c. the identity of any individuals or subjects associated with the event

d. For privileged user interfaces in MODERATE Impact Systems: Full text recording of the executed command and the user executing the command.

For MODERATE Impact Systems: Audit records must provide sufficient detail to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation.

3.5.1.2.2 Other HVAC Control System Controllers

There are no requirements to perform auditing at HVAC field controllers that do not FULLY support accounts.

3.5.1.3 For Lighting Control System Controller

3.5.1.3.1 Lighting Control System Controllers FULLY Supporting User Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure

controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.3.1.1 Audited Events

Configure each controller to audit the following events:

a. Successful and unsuccessful logon attempts to the controller

b. Successful logouts

c. All account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.

d. All controller shutdown and startup

e. For privileged user interfaces in MODERATE Impact Systems: All user commands.

3.5.1.3.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

a. what type of event occurred

b. when the event occurred

c. the identity of any individuals or subjects associated with the event

d. For privileged user interfaces in MODERATE Impact Systems: Full text recording of the executed command and the user executing the command.

For MODERATE Impact Systems: Audit records must provide sufficient detail to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation

3.5.1.3.2 Other Lighting Control System Controllers

There are no requirements to perform auditing at Lighting field controllers that do not FULLY support accounts.

3.5.1.4 Default Requirements for Control System Controllers

For control system controllers where Audit Events, Content of Audit Records, and Audit Generation are not otherwise indicated in this Section:

3.5.1.4.1 Controllers Which FULLY Support Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.4.1.1 Audited Events

Configure each controller to audit the following events:

a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)

b. Successful and unsuccessful logon attempts

c. Successful logouts

d. Concurrent logons from different workstations

e. All account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.

f. All kernel module load, unload, and restart

g. For privileged user interfaces in MODERATE Impact Systems: All user commands.

3.5.1.4.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

a. what type of event occurred

b. when the event occurred

c. where the event occurred

d. the source of the event

e. the outcome of the event

f. the identity of any individuals or subjects associated with the event

g. For privileged user interfaces in MODERATE Impact Systems: Full text recording of the executed command and the user executing the command.

For MODERATE Impact Systems: Audit records must provide sufficient detail to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation

3.5.1.4.2 Controllers Which Do Not FULLY Support Accounts

For each controller which does not FULLY support accounts configure the controller to audit all controller shutdown and startup events and to record for each event the type of event and when the event occurred.

3.5.2 Audit Time Stamps

{For Government Reference Only: This subpart (and its subparts) relates to AU-8; CCI-000159, CCI-001889, CCI-001890. For MODERATE Impact systems, this subpart (and its subparts) also relates to AU-8 (1); CCI-001891, CCI-001892, CCI-002046.}

Any device (computer or controller) generating audit records must have an internal clock capable of providing time with a resolution of one second. Clocks must not drift more than 10 seconds per day. Configure the system

so that each device (computer or controller) generating audit records maintains accurate time to within 1 second. Note that if the control system specifications include requirement for clocks, the most stringent requirement applies.

3.5.3 Auditing Front End Software

The project site currently has the following software to support control system auditing: none. If there is no existing auditing front end software or the software is not compatible with the provided control systems, provide Auditing Front End Software with audit log import and upload, export, notification, and analysis functionality. The Auditing Front End Software may be provided as a component of the control system front end or as a separate software package, and a single package may serve multiple control systems provided under the same projects if they are sharing a cybersecurity authorization.

When the Auditing Front End Software is neither existing nor installed under the requirements of another Section, furnish the Auditing Front End Software media and license and install the software on the control system front end computer. Submit copies of Auditing Front End Software if this function is not part of the software provided with the control system to meet requirements of other Sections.

3.5.3.1 Import and Upload Requirements

Auditing Front End Software must be capable of importing audit logs from the Device Audit Record Upload Software and of uploading audit logs over the network from all control system devices supporting network upload of audit logs.

3.5.3.2 Export Requirements

Auditing Front End Software must be capable of exporting to a file format supported by Microsoft Excel.

3.5.3.3 Notification Of Audit Failure in Devices in MODERATE Impact Systems

The auditing front end software must be capable of receiving notifications of audit failure from control system devices and computers and be able to provide email notification based on receipt of the notification.

3.5.3.4 Audit Reduction and Report Generation In MODERATE Impact Systems

{For Government Reference Only: This subpart (and its subparts) relates to AU-6(4), AU-7(a), AU-7(b), AU-7(1), AU-12(1); CCI-000154, CCI-001875, CCI-001876, CCI-001877, CCI-001878, CCI-001879, CCI-001880, CCI-001881, CCI-001882, CCI-000158, CCI-000173, CCI-000174, CCI-001577.}

Auditing Front End Software must provide audit reduction and reporting capabilities that supports on-demand review and analysis, on demand reporting, and after the fact investigations of security incidents. The software must be able to combine audit records from all components within the system and analyze them as a single audit record. The software must correct for discrepancies in timestamps of audit logs from different sources and be able to account for discrepancies up to 2 seconds between sources. The software must not alter original audit record content or time ordering of audit records. The software must have the capability to filter audit records using user-defined fields within the audit records.

The audit reduction and reporting capabilities may incorporate third party application, such as Excel or Access.

3.5.4 Audit Storage Capacity and Audit Upload

{For Government Reference Only: This subpart (and its subparts) relates to AU-4; CCI-001848, CCI-001849}

The creation of audit records must never interfere with normal device operation. Devices must cease collection of auditing information if required to maintain normal operation.

a. For devices that have STIG/SRGs related to audit storage capacity (CCI-001848 or CCI-001849) comply with the requirements of those STIG/SRGs.

b. For controllers capable of generating audit records, provide 60 days worth of secure local storage, assuming 10 auditable events per day.

3.5.4.1 Audit Log Storage Notification In MODERATE Impact Systems

{For Government Reference Only: This subpart (and its subparts) relates to AU-5(1); CCI-001855.}

Controllers storing audit logs must provide notification when audit logs reach 75 percent of capacity either directly through email or indirectly by sending a notification to a computer, and the computer sending an email. Computers storing audit logs must provide notification when audit logs reach 75 percent of capacity directly through email.

3.5.4.2 Device Audit Record Upload Software

For each device (computer or controller) required to audit events and for which audit logs cannot be uploaded over the network by the Auditing Front End Software, provide and license to the Government software implementing a secure mechanism of uploading audit records from the device and exporting them to the Auditing Front End Software. Where different devices use different software, provide software of each type required to upload audit logs from all devices.

Submit copies of device audit record upload software if this function is not part of the software provided with the control system to meet requirements of other Sections. If there are no devices requiring this software, provide a document stating this in lieu of this submittal.

3.5.5 Response to Audit Processing Failures

{For Government Reference Only: This subpart (and its subparts) relates to AU-5; CCI-000139, CCI-000140, CCI-001490.}

In the case of a failure in the auditing system, computers associated with auditing must provide email notification to the System Security Officer and the Information Controls Assessor. For MODERATE Impact systems, the computer must also notify the associated auditing front end software. In

case of an audit failure, if possible, continue to collect audit records by overwriting existing audit records.

For MODERATE Impact Systems: In the case of an audit failure at a controller performing auditing, the device must notify the associated auditing front end software of the audit failure if able, and must continue to collect audit records by overwriting existing audit records if able. The auditing front end software must provide notification as indicated, treating the notification of failure from the device as a failure in the auditing system.

3.6 REQUIREMENTS FOR LEAST FUNCTIONALITY

{For Government Reference Only: This subpart (and its subparts), along with the network communication report submittal specified elsewhere in this section, relates to CM-6(a), CM-6(c), CM-7, CM-7(1)(b), SC-41; CCI-000363, CCI-000364, CCI-000365, CCI-001588, CCI-001755, CCI-000381, CCI-000380, CCI-000382, CCI-001761, CCI-001762, CCI-002544, CCI-002545, CCI-002546. For MODERATE Impact systems, this subpart (and its subparts) also relates to CM-7(2), CM-7(5)(a), CM-7(5)(b); CCI-000381, CCI-000380, CCI-000382, CCI-001761, CCI-001762}

For devices that have a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port and device I/O access for least functionality), install and configure the device in accordance with that STIG or SRGs.

3.6.1 Device Capabilities

For HVAC Control Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

For Lighting Control Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required.

For Other Control Systems: For LOW Impact Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

For Other Control Systems: For MODERATE Impact Systems: Do not provide devices with remote user interfaces or full user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

Unless specifically required by the government, do not provide a capability to update device firmware over the network.

3.6.2 Software

For software that has a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port access for least functionality), install and configure the software in accordance with that STIG or SRG.

For MODERATE Impact Systems: Do not provide (install) software that is not

specifically required to meet a contract requirement. Do not implement functionality within software
that is not specifically required to meet contract requirements.

3.7 SYSTEM AND COMMUNICATION PROTECTION

3.7.1 Collaborative Computing

{For Government Reference Only: This subpart relates to SC-15(a), SC-15(b); CCI-001150, CCI-001152.}

Without explicit approval from the project site, control systems must not use collaborative computing technologies.

3.7.2 Denial of Service Protection and Application Partitioning In MODERATE Impact Systems:

{For Government Reference Only: This subpart relates to SC-5, SC-39, SC-7(a); CCI-001093, CCI-002385, CCI-002386, CCI-002430, CCI-001097. For MODERATE Impact systems, this subpart also relates to SC-2; CCI-001082.}

To the greatest extent practical, implement control logic without reliance on the network. Except when required to meet the requirements of the control system Section (where the requirement can only be met using computer hardware), do not implement control logic in computers. For MODERATE Impact systems, do not implement control logic in a device providing (i.e. acting as a server for) a Full Remote User Interface.

3.7.2.1 Network Reliance in MODERATE Impact HVAC Control Systems

Except for networked input and outputs on input-output buses specifically designed to provide high reliability or redundancy, sensors and actuators must not rely on the network to exchange data with the controller executing the sequence of operation which uses the sensor value or determines the actuator command..

Sensor values required by multiple devices may be shared over the network provided they are connected to a controller requiring the value for execution of the sequence and that controller shares the value on the network.

3.7.2.2 Network Reliance in MODERATE Impact Lighting Control Systems

Except for networked input and outputs on input-output buses specifically designed to provide high reliability or redundancy, sensors and actuators must not rely on the network to exchange data with the controller executing the sequence of operation which uses the sensor value or determines the actuator command.

Sensor values required by multiple devices may be shared over the network provided they are connected to a controller requiring the value for execution of the sequence and that controller shares the value on the network.

3.7.2.3 Default Requirements for MODERATE Impact Control Systems

Except for networked input and outputs on input-output buses specifically designed to provide high reliability or redundancy, sensors and actuators must not rely on the network to exchange data with the controller executing the sequence of operation which uses the sensor value or determines the actuator command.

Sensor values required by multiple devices may be shared over the network provided they are connected to a controller requiring the value for execution of the sequence and that controller shares the value on the network.

3.7.3 Mobile Code In MODERATE Impact Systems:

{For Government Reference Only: This subpart relates to SC-18(a), SC-18(b), SC-18(c), SC-18(1), SC-18(3), SC-18(4); CCI-001160, CCI-001161, CCI-001162, CCI-001163, CCI-001164, CCI-001165, CCI-001166, CCI-001662, CCI-002457, CCI-002458, CCI-001169, CCI-001695, CCI-001170, CCI-002469}

Devices with STIGs/SRGs related to Mobile Code and to Security Control SC-18 must be installed in accordance with the relevant STIGs/SRGs. All remote user interfaces must meet the requirements of the "Web Browsers and Application SRG".

Mobile code may only be downloaded from a specifically authorized mobile code repository. Coordinate with the Mobile Code Point of Contact for the location of a repository.

3.7.4 Protection of Information at Rest In MODERATE Impact Systems:

{For Government Reference Only: This subpart relates to SC-28, SC-28(1); CCI-001199,
CCI-002472, CCI-002475, CCI-002476}

Computers must protect information at rest in accordance with applicable STIGs.

Any control system device storing personally identifiable information (PII), controlled unclassified information (CUI), or classified information must be protected by an Information At Rest encryption solution or by a physical security solution. Provide a Protection of Information At Rest Proposal indicating each device storing PII, CUI, or classified information and the encryption or physical security solution proposed for that device for government approval. If no devices stores PII, CUI, or classified information, provide a document stating this as the Protection of Information At Rest Proposal submittal. Do proceed with device selection and installation until the Protection of Information At Rest Proposal is approved. Once approved, implement approved Information At Rest protections.

3.7.5 Process Isolation and Boundary Protection in Moderate Impact Fire Protection Systems

{For Government Reference Only: This subpart relates to SC-7(a), SC-7(c), SC-7(4)(a), SC-7(4)(c), SC-7(5), SC-7(7), SC-7(9)(a), SC-7(11), SC-7(13), SC-7(13), SC-7(18); CCI-001097, CCI-001098, CCI-001102, CCI-002396, CCI-001109, CCI-002397, CCI-002398, CCI-002399, CCI-002403, CCI-001120, CCI-001119, CCI-001126}

3.7.5.1 Radio Interfaces for Fire Protection Systems

When radios interfacing a local fire protection system to a supervisory system are not NIST FIPS 140-2 validated, use a relay panel interface between the local fire protection system and the radio. Install and configure the relay panel to prohibit initiating any action within the local fire protection system other than causing the system to play a pre-recorded message or causing the system to play a live audio message. Install relays using the normally open contact such that they pass a signal when they close, and so that a relay that loses power or has a failed coil does not pass a signal

3.7.5.2 Fire Suppression System Network Isolation

For fire suppression systems including a release panel, any network used in these systems must be dedicated to these systems and must be isolated from any other network, including other components of the Fire Alarm and Fire Suppression systems. Use only dry contacts and relays to transfer signals from these systems to any other systems. Install relays using the normally open contact such that they pass a signal when they close, and so that a relay that loses power or has a failed coil does not pass a signal

3.8 SAFE MODE AND FAIL SAFE OPERATION

{For Government Reference Only: This subpart (and its subparts) relates to CP-12, SI-10(3), SI-17; CCI-002855, CCI-002856, CCI-002857, CCI-002754, CCI-002773, CCI-002774, CCI-002775}

For all control system components with an applicable STIG or SRG, configure the component in accordance with all applicable STIGs and SRGs.

3.9 SYSTEM MAINTENANCE TOOL SOFTWARE

{For Government Reference Only: This subpart (and its subparts) relates to MA-3; CCI-000865.}

Submit and license to the Government all software required to operate, maintain and modify the control system such the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer. Submit hard copies of user manuals for each software with the software submittal.

For software provided and licensed to the Government under the requirements of another Section, submit a statement indicating the Section and Submittal under which the software was provided. For software provided to meet the requirements of this Section and not provided and licensed under another Section, submit software and software user manuals on DVD or CD as a Technical Data Package and submit one hard copy of the software user manual for each piece of software.

3.10 DEVICE POWER

{For Government Reference Only: This subpart (and its subparts) relates

to PE-11, PE-11(1); CCI-002955, CCI-000961. For MODERATE Impact systems, this subpart (and its subparts) also relates to PE-9, PE-9(1); CCI-000952, CCI-002953, CCI-002954.}

For MODERATE Impact Systems: Provide control system with power supply meeting or exceeding the reliability of the controlled equipment. Powering control system devices using the same power source as the equipment controlled by the device is a permissible method of meeting this requirement. Without explicit approval from the government, do not install local uninterruptible power supplies (UPSs) as a source of device power.

3.10.1 Device Behavior on Loss of Power In MODERATE Impact Systems:

Application programs and configuration settings must be stored in devices in manner such that a loss of power does not result in a loss of the application program or configuration settings: Loss of power must never result in the loss of application programs, regardless of the length of time power is lost; and loss of power for less than 2,500 hours must not result in the loss of configured settings.

In the event of a loss of power, when power is restored, controllers and computers executing control logic (and the underlying equipment) must recover and resume their normal sequences of operation. Note that the sequence of operation may require specific actions (e.g. startup sequences) upon recovery from loss of power.

3.11 VULNERABILITY SCANNING

{For Government Reference Only: This subpart (and its subparts) relates to RA-5 RA-5(a),RA-5(b),RA-5(c),RA-5(d); CCI-001054, CCI-001055, CCI-000156, CCI-001641, CCI-001643, CCI-001057, CCI-001058, CCI-001059. For MODERATE Impact systems, this subpart (and its subparts) also relates to RA-5(1), RA-5(5); CCI-001062, CCI-001067, CCI-001645, CCI-002906.}

All IP devices must be scannable, such that the device can be scanned by industry standard IP network scanning utilities without harm to the device, application, or functionality.

3.11.1 Computers and Software Running on Computers

Computers and applications running on computers must meet relevant vulnerability scanning STIGs/SRGs and respond to approved DoD vulnerability scanning tools.

3.11.2 Controllers

Provide controllers that are scannable by standard control system discovery tools or control system browsers and return meaningful status information including the network inputs and outputs for the controller. This information must contain sufficient detail to detect vulnerabilities or exploits of the controller.

Provide all software needed to scan the control system as the Control System Scanning Tools submittal. If the software required to scan the system is already installed at the project site or is provided under a separate section instead provide a statement indicating this.

3.12 FIPS 201-2 REQUIREMENT

{For Government Reference Only: This subpart (and its subparts) relates to SA-4 (10); CCI-003116}

Devices in the following systems which implement PIV must be on the NIST FIPS 201-2 approved product list (https://www.idmanagement.gov/approved-products-list/): NONE.

3.13 SYSTEM AND INTEGRATION INTEGRITY

3.13.1 Malicious Code Protection

{For Government Reference Only: This subpart (and its subparts) relates to SI-3(c); CCI-001241, CCI-002623}

For all computers installed under this project, provide malware protection software media, provide licenses, and install and configure malware protection software as indicated. Coordinate with the Government Computer Access Point of Contact as required.

a. Provide malware protection software licenses.

b. Provide malware protection software media.

c. Install and configure malware protection software in accordance with the relevant STIGs.

3.13.2 Software, Firmware, and Information Integrity In MODERATE Impact Systems:

If there exists Integrity Verification Software that can check software, firmware, or information in the control system and verify its integrity, provide it. If no such software exists provide a statement to this affect in lieu of the software.

3.14 CONTROL SYSTEM CYBERSECURITY TESTING

{For Government Reference Only: For MODERATE Impact systems, this subpart (and its subparts) relates to SA-11(a), SA-11(b), SA-11(c), SA-11(d), SA-11(e); CCI-003171, CCI-003172, CCI-003173, CCI-003174, CCI-003175, CCI-003176, CCI-003177, CCI-003178.}

3.14.1 Control System Cybersecurity Testing Procedures

Prepare Control System Cybersecurity Testing Procedures explaining step-by-step, the actions and expected results that will demonstrate that the control system meets the requirements of this Section.

Submit 4 copies of the Control System Cybersecurity Testing Procedures. The Control System Cybersecurity Testing Procedures may be submitted as a Technical Data Package.

3.14.2 Control System Cybersecurity Testing Execution

Using the Control System Cybersecurity Testing Procedures verify that the control system meets the requirements of this Section. UNLESS GOVERNMENT

WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. If testing reveals deficiencies in the system, correct the deficiency and retest until successful.

3.14.3 Control System Cybersecurity Testing Report

Prepare and submit a Control System Cybersecurity Testing Report documenting all tests performed and their results. Include all tests in the Control System Cybersecurity Testing Procedures and any additional tests performed during testing. Document test failures and repairs conducted with the test results.

Submit four copies of the Control System Cybersecurity Testing Report. The Control System Cybersecurity Testing Report may be submitted as a Technical Data Package.

3.15 FIELD QUALITY CONTROL, CYBERSECURITY VALIDATION SUPPORT

In addition to testing and testing support required by other Sections, provide technical support for cybersecurity testing of control systems to support the DoD Risk Management Framework process Cybersecurity assessment of the control system. This support is independent of (and in addition to) the Control System Cybersecurity Testing specified in this section.

3.16 CYBERSECURITY TRAINING

Provide eight hours of classroom and hands-on training for six Government personnel on the cybersecurity operation and maintenance of the control system provided. This training is in addition to and must be coordinated with control system training specified in other Sections.

The Government will provide the training location. Training must cover, at a minimum: (a) applying software and firmware updates, (b) user account creation, modification and deletion, (c) audit log upload procedures and (d) identification of privileged user interfaces and system impact of those interfaces. Training session must include a question and answer period during which government staff questions about cybersecurity aspects of the control system are answered.

-- End of Section --

SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA NEIS 1	(2015) Standard for Good Workmanship in Electrical Construction

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2020) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2020) American National Standard for Electrical Metallic Tubing (EMT)
ANSI C80.5	(2020) American National Standard for Electrical Rigid Aluminum Conduit
NEMA FU 1	(2012) Low Voltage Cartridge Fuses
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit

NEMA ST 20	(2014) Dry-Type Transformers for General Applications
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2021) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA WD 1	(1999; R 2020) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2021) Wiring Devices Dimensions Specifications
NEMA Z535.4	(2011; R 2017) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-568.1	(2020e) Commercial Building Telecommunications Infrastructure Standard
TIA-569	(2019e; Add 1 2022) Telecommunications Pathways and Spaces
TIA-607	(2019d) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 431	Energy Efficiency Program for Certain Commercial and Industrial Equipment
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.303	Electrical, General

UNDERWRITERS LABORATORIES (UL)

UL 1	(2005; Reprint Jan 2022) UL Standard for Safety Flexible Metal Conduit
UL 6	(2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 6A	(2008; Reprint Mar 2021) UL Standard for Safety Electrical Rigid Metal Conduit - Aluminum, Red Brass, and Stainless Steel

UL 20	(2018; Reprint May 2023) UL Standard for Safety General-Use Snap Switches
UL 50	(2015) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 67	(2018; Reprint Aug 2023) UL Standard for Safety Panelboards
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 248-4	(2010; Reprint Apr 2019) Low-Voltage Fuses - Part 4: Class CC Fuses
UL 248-8	(2011; Reprint Aug 2020) Low-Voltage Fuses - Part 8: Class J Fuses
UL 248-10	(2011; Reprint Aug 2020) Low-Voltage Fuses - Part 10: Class L Fuses
UL 248-12	(2011; Reprint Aug 2020) Low Voltage Fuses - Part 12: Class R Fuses
UL 248-15	(2018) Low-Voltage Fuses - Part 15: Class T Fuses
UL 360	(2013; Reprint Apr 2023) UL Standard for Safety Liquid-Tight Flexible Metal Conduit
UL 486A-486B	(2018; Reprint Jul 2023) UL Standard for Safety Wire Connectors
UL 486C	(2018; Reprint May 2021) UL Standard for Safety Splicing Wire Connectors
UL 489	(2016; Rev 2019) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint May 2023) UL Standard for Safety Attachment Plugs and Receptacles
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Jun 2022) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint May 2020) Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Feb 2020) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers

UL 651	(2011; Reprint May 2022) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 674	(2022) UL Standard for Safety Electric Motors and Generators for Use in Hazardous (Classified) Locations
UL 797	(2007; Reprint Apr 2023) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 869A	(2006; Reprint Jun 2020) Reference Standard for Service Equipment
UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 1203	(2023) UL Standard for Safety Explosion-Proof and Dust-Ignition-Proof Electrical Equipment for Use in Hazardous (Classified) Locations
UL 1242	(2006; Reprint Apr 2022) UL Standard for Safety Electrical Intermediate Metal Conduit -- Steel
UL 1569	(2018) UL Standard for Safety Metal-Clad Cables
UL 1660	(2019; Reprint Jan 2022) Liquid-Tight Flexible Nonmetallic Conduit
UL 4248-1	(2022) UL Standard for Safety Fuseholders - Part 1: General Requirements
UL 4248-12	(2018; Reprint Feb 2022) UL Standard for Safety Fuseholders - Part 12: Class R

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

1.3 RELATED REQUIREMENTS

Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS applies to this section, with the additions and modifications specified herein.

1.4 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Panelboards

Transformers

SD-03 Product Data

Receptacles

Circuit Breakers

Switches

Transformers

SD-06 Test Reports

600-volt Wiring Test

Grounding System Test

Transformer Tests

Ground-fault Receptacle Test

SD-07 Certificates

Fuses

SD-09 Manufacturer's Field Reports

Transformer Factory Tests

SD-10 Operation and Maintenance Data

Electrical Systems, Data Package 5

1.5 QUALITY ASSURANCE

1.5.1 Fuses

Submit coordination data as specified in paragraph, FUSES of this section.

1.5.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated. NECA NEIS 1 shall be considered the minimum standard for workmanship.

1.5.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal

material, design and workmanship and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.6 MAINTENANCE

1.6.1 Electrical Systems

Submit operation and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein. Submit operation and maintenance manuals for electrical systems that provide basic data relating to the design, operation, and maintenance of the electrical distribution system for the building. Include the following:

a. Single line diagram of the "as-built" building electrical system.

b. Schematic diagram of electrical control system (other than HVAC, covered elsewhere).

c. Manufacturers' operating and maintenance manuals on active electrical equipment.

1.7 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.1.2 Rigid Aluminum Conduit

ANSI C80.5, UL 6A.

2.2.2 Rigid Nonmetallic Conduit

PVC Type EPC-40 in accordance with NEMA TC 2,UL 651.

2.2.3 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

2.2.4 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.5 Plastic-Coated Rigid Steel and IMC Conduit

NEMA RN 1, Type 40(40 mils thick).

2.2.6 Flexible Metal Conduit

UL 1, limited to 6 feet.

2.2.6.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360, limited to 6 feet.

2.2.7 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.7.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.7.2 Fittings for EMT

Die Cast compression type.

2.2.8 Fittings for Rigid Nonmetallic Conduit

NEMA TC 3 for PVC, and UL 514B.

2.2.9 Liquid-Tight Flexible Nonmetallic Conduit

UL 1660.

2.3 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

2.3.1 Outlet Boxes for Telecommunications System

Provide the following:

a. Standard type 4 11/16 inches square by 2 1/8 inches deep.

b. Outlet boxes for wall-mounted telecommunications outlets: 4 by 2 1/8 by 2 1/8 inches deep.

c. Depth of boxes: large enough to allow manufacturers' recommended conductor bend radii.

2.4 CABINETS, JUNCTION BOXES, AND PULL BOXES

UL 50; volume greater than 100 cubic inches, NEMA Type 1 enclosure; sheet steel, hot-dip, zinc-coated. Where exposed to wet, damp, or corrosive environments, NEMA Type as indicated.

2.5 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 24 months prior to date of delivery to site.

2.5.1 Conductors

Provide the following:

a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.

b. Conductors No. 8 AWG and larger diameter: stranded.

c. Conductors No. 10 AWG and smaller diameter: solid.

d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.

e. All conductors: copper.

2.5.1.1 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

a. Branch circuits: No. 12 AWG.

b. Class 1 remote-control and signal circuits: No. 14 AWG.

c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.

d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

e. Digital low voltage lighting control (DLVLC) system at 24 Volts or less: Category 5 UTP cables in EMT conduit.

2.5.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.5.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

a. Grounding conductors: Green.

b. Neutral conductors: White.

c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

2.5.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

a. 208/120 volt, three-phase

(1) Phase A - black

(2) Phase B - red

(3) Phase C - blue

b. 480/277 volt, three-phase

(1) Phase A - brown

(2) Phase B - orange

(3) Phase C - yellow

c. 120/240 volt, single phase: Black and red

2.5.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where equipment or devices require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.5.4 Metal-Clad Cable

UL 1569; NFPA 70, Type MC cable.

2.6 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes.

Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

2.7 DEVICE PLATES

Provide the following:

a. UL listed, one-piece device plates for outlets to suit the devices installed.

b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.

c. For nonmetallic boxes and fittings, other suitable plates may be provided.

d. Plates on finished walls: nylon or lexan, minimum 0.03 inch wall thickness and same color as receptacle or toggle switch with which they are mounted.

f. Screws: machine-type with countersunk heads in color to match finish of plate.

g. Sectional type device plates are not be permitted.

h. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.8 SWITCHES

2.8.1 Toggle Switches

NEMA WD 1, UL 20, single pole, totally enclosed with bodies of thermoplastic or thermoset plastic and mounting strap with grounding screw. Include the following:

a. Handles: white thermoplastic.

b. Wiring terminals: screw-type, side-wired.

c. Contacts: silver-cadmium and contact arm - one-piece copper alloy.

d. Switches: rated quiet-type ac only, 120/277 volts, with current rating and number of poles indicated.

2.8.2 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA 1, enclosure as indicated per NEMA ICS 6.

2.9 FUSES

NEMA FU 1. Provide complete set of fuses for each fusible switch.

Coordinate time-current characteristics curves of fuses serving motors or connected in series with circuit breakers or other circuit protective devices for proper operation. Submit coordination data for approval. Provide fuses with a voltage rating not less than circuit voltage.

2.9.1 Fuseholders

Provide in accordance with UL 4248-1.

2.9.2 Cartridge Fuses, Current Limiting Type (Class R)

UL 248-12, Class RK-1 RK-5. Provide only Class R associated fuseholders in accordance with UL 4248-12.

2.9.3 Cartridge Fuses, High-Interrupting Capacity, Current Limiting Type (Classes J, L, and CC)

UL 248-8, UL 248-10, UL 248-4, Class J for zero to 600 amperes, Class L for 601 to 6,000 amperes, and Class CC for zero to 30 amperes.

2.9.4 Cartridge Fuses, Current Limiting Type (Class T)

UL 248-15, Class T for zero to 1,200 amperes, 300 volts; and zero to 800 amperes, 600 volts.

2.10 RECEPTACLES

Provide the following:

a. UL 498, general purpose specification grade, grounding-type. Residential grade receptacles are not acceptable.

b. Ratings and configurations: as indicated.

c. Bodies: white as per NEMA WD 1.

d. Face and body: thermoplastic supported on a metal mounting strap.

e. Dimensional requirements: per NEMA WD 6.

f. Screw-type, side-wired wiring terminals or of the solderless pressure type having suitable conductor-release arrangement.

g. Grounding pole connected to mounting strap.

h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

2.10.1 Split Duplex Receptacles

Provide separate terminals for each ungrounded pole. One receptacle must be controlled separately.

2.10.2 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations" with integral GFCI protection. Include cast metal box with gasketed, hinged, lockable and weatherproof while-in-use, polycarbonate, UV resistant/stabilized extra-duty rated hood.

2.10.3 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device capable of detecting current leak when the current to ground is 6 milliamperes or higher, and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.11 PANELBOARDS

Provide panelboards in accordance with the following:

a. UL 67 and UL 50 having a short-circuit current rating as indicated.

b. Panelboards for use as service disconnecting means: additionally conform to UL 869A.

c. Panelboards: circuit breaker-equipped.

d. Designed such that individual breakers can be removed without disturbing adjacent units or without loosening or removing supplemental insulation supplied as means of obtaining clearances as required by UL.

e. "Specific breaker placement" is required in panelboards to match the breaker placement indicated in the panelboard schedule on the design drawings. If it is not possible to match "specific breaker placement" during construction, obtain Government approval prior to device installation.

f. Use of "Subfeed Breakers" is not acceptable.

g. Main breaker: "separately" mounted "above" or "below" branch breakers.

h. Where "space only" is indicated, make provisions for future installation of breakers.

i. Directories: indicate load served by each circuit in panelboard.

j. Directories: indicate source of service to panelboard (e.g., Panel PA served from Panel MDP).

k. Provide new directories for existing panels modified by this project as indicated.

l. Type directories and mount in holder behind transparent protective covering.

m. Panelboards: listed and labeled for their intended use.

n. Panelboard nameplates: provided in accordance with paragraph FIELD FABRICATED NAMEPLATES.

2.11.1 Enclosure

Provide panelboard enclosure in accordance with the following:

a. UL 50.

b. Cabinets mounted outdoors or flush-mounted: hot-dipped galvanized after fabrication.

c. Cabinets: painted in accordance with paragraph FIELD APPLIED PAINTING.

d. Outdoor cabinets: NEMA 3R raintight with conduit hubs welded to the cabinet.

e. Front edges of cabinets: form-flanged or fitted with structural shapes welded or riveted to the sheet steel, for supporting the panelboard front.

f. All cabinets: fabricated such that no part of any surface on the finished cabinet deviates from a true plane by more than 1/8 inch.

g. Holes: provided in the back of indoor surface-mounted cabinets, with outside spacers and inside stiffeners, for mounting the cabinets with a 1/2 inch clear space between the back of the cabinet and the wall surface.

h. Flush doors: mounted on hinges that expose only the hinge roll to view when the door is closed.

i. Each door: fitted with a combined catch and lock latch.

j. Keys: two provided with each lock, with all locks keyed alike.

k. Finished-head cap screws: provided for mounting the panelboard fronts on the cabinets.

2.11.2 Panelboard Buses

Support bus bars on bases independent of circuit breakers. Design main buses and back pans so that breakers may be changed without machining, drilling, or tapping. Provide isolated neutral bus in each panel for connection of circuit neutral conductors. Provide separate ground bus identified as equipment grounding bus per UL 67 for connecting grounding conductors; bond to steel cabinet.

2.11.3 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

2.11.3.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.11.3.2 Circuit Breaker With Ground-Fault Circuit Interrupter

UL 943 and NFPA 70. Provide with auto-monitoring (self-test) and lockout features, "push-to-test" button, visible indication of tripped condition,

and ability to detect and trip when current imbalance is 6 milliamperes or higher per requirements of UL 943 for Class A ground-fault circuit interrupter devices.

2.12 TRANSFORMERS

Provide transformers in accordance with the following:

a. NEMA ST 20, general purpose, dry-type, self-cooled, ventilated.

b. Provide transformers in NEMA 1 enclosure.

c. Taps for transformers 15 kVA and larger: Two 2.5 percent taps Full Capacity Above Nominal (FCAN) and four 2.5 percent taps Full Capacity Below Nominal (FCBN).

d. Transformer insulation system:

(1) 220 degrees C insulation system for transformers 15 kVA and greater, with temperature rise not exceeding 115 degrees C under full-rated load in maximum ambient of 40 degrees C.

(2) 180 degrees C insulation for transformers rated 10 kVA and less, with temperature rise not exceeding 80 degrees C under full-rated load in maximum ambient of 40 degrees C.

f. Transformer of 115 degrees C temperature rise: capable of carrying continuously 115 percent of nameplate kVA without exceeding insulation rating.

2.12.1 Specified Transformer Efficiency

Transformers, indicated and specified with: 480V primary, 80 degrees C or 115 degrees C temperature rise, kVA ratings of 37.5 to 100 for single phase or 30 to 500 for three phase, energy efficient type. The transformer is not acceptable if the calculated transformer efficiency is less than the efficiency indicated in 10 CFR 431, Subpart K.

2.13 LOCKOUT REQUIREMENTS

Provide circuit breakers, disconnecting means, and other devices that are electrical energy-isolating capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147, NFPA 70E and 29 CFR 1910.303. Comply with requirements of Division 23, "Heating, Ventilating, and Air Conditioning (HVAC)" for mechanical isolation of machines and other equipment.

2.14 TELECOMMUNICATIONS SYSTEM

Provide system of telecommunications wire-supporting structures (pathway), including: outlet boxes, conduits with pull wires and other accessories for telecommunications outlets and pathway in accordance with TIA-569 and as specified herein. Additional telecommunications requirements are specified in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEM.

2.15 HAZARDOUS LOCATIONS

Electrical materials, equipment, and devices for installation in hazardous locations, as defined by NFPA 70: specifically approved by Underwriters' Laboratories, Inc., or Factory Mutual for particular "Class," "Division," and "Group" of hazardous locations involved. Boundaries and classifications of hazardous locations: as indicated. Equipment in hazardous locations: comply with UL 1203 for electrical equipment and industrial controls and UL 674 for motors.

2.16 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.17 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

a. ASTM D709.

b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.

c. Each nameplate inscription: identify the function and, when applicable, the position.

d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.

f. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.

g. Minimum size of nameplates: one by 2.5 inches.

h. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.18 WARNING SIGNS

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.19 SOURCE QUALITY CONTROL

2.19.1 Transformer Factory Tests

Submittal: include routine NEMA ST 20 transformer test results on each transformer and also provide the results of NEMA "design" and "prototype"

tests that were made on transformers electrically and mechanically equal to those specified.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and to requirements specified herein.

3.1.1 Hazardous Locations

Perform work in hazardous locations, as defined by NFPA 70, in strict accordance with NFPA 70 for particular "Class," "Division," and "Group" of hazardous locations involved. Provide conduit and cable seals where required by NFPA 70. Provide conduit with tapered threads.

3.1.2 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches. .

3.1.2.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.2.2 Metal-Clad Cable

Install in accordance with NFPA 70, Type MC cable.

3.1.3 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.1.3.1 Restrictions Applicable to Aluminum Conduit

a. Do not install underground or encase in concrete or masonry.

b. Do not use brass or bronze fittings.

c. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.3.2 Restrictions Applicable to EMT

a. Do not install underground.

b. Do not encase in concrete, mortar, grout, or other cementitious materials.

c. Do not use in areas subject to physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.

d. Do not use in hazardous areas.

e. Do not use outdoors.

f. Do not use in fire pump rooms.

g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.3.3 Restrictions Applicable to Nonmetallic Conduit

a. PVC Schedule 40.

(1) Do not use where subject to physical damage, including but not limited to, mechanical equipment rooms, electrical equipment rooms, fire pump rooms, and where restrictions are applying to both PVC Schedule 40 and PVC Schedule 80.

(2) Do not use above grade, except where allowed in this section for rising through floor slab or indicated otherwise.

b. PVC Schedule 80.

(1) Do not use where subject to physical damage, including but not limited to, hospitals, power plant, missile magazines, and other such areas.

(2) Do not use in hazardous (classified) areas.

(3) Do not use in penetrating fire-rated walls or partitions, or fire-rated floors.

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3.1.3.4 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.3.5 Underground Conduit

Plastic-coated rigid steel; plastic-coated steel IMC; PVC, Type EPC-40
Plastic coating: extend minimum 6 inches above floor.

3.1.3.6 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Plastic cable ties are not acceptable. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by

concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Identify independent conduit support in both fire and non-fire rated assemblies per NFPA 70. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.3.7 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.3.8 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.3.9 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquid tight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections. Plastic cable ties are not acceptable as a support method.

3.1.3.10 Telecommunications and Signal System Pathway

Install telecommunications pathway in accordance with TIA-569.

a. Horizontal Pathway: Telecommunications pathways from the work area to

the telecommunications room: installed and cabling length requirements in accordance with TIA-568.1. Size conduits in accordance with TIA-569 and as indicated.

b. Backbone Pathway: Telecommunication pathways from the telecommunications entrance facility to telecommunications rooms, and, telecommunications equipment rooms (backbone cabling): installed in accordance with TIA-569. Size conduits for telecommunications risers in accordance with TIA-569 and as indicated.

3.1.4 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.4.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet.

3.1.4.1.1 Wall-Mounted Telecommunications Outlet Box

Provide double gang electrical boxes, minimum standard size 4-11/16 inches square and 2-1/8 inches deep with plaster ring for connection of single gang faceplate. Design outlet box for recess mounting with the faceplate flush with the wall surface, at the same height as the electrical outlets.

3.1.4.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge

aluminum or galvanized sheet steel, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.4.3 Extension Rings

Extension rings are not permitted for new construction. Use only on existing boxes in concealed conduit systems where wall is furred out for new finish.

3.1.5 Mounting Heights

Mount panelboards, circuit breakers, and disconnecting switches so height of center of grip of the operating handle of the switch or circuit breaker at its highest position is maximum 79 inches above floor or working platform or as allowed in Section 404.8 per NFPA 70. Mount lighting switches 48 inches above finished floor. Mount receptacles and telecommunications outlets 18 inches above finished floor, unless otherwise indicated. Wall-mounted telecommunications outlets: mounted at height indicated.

3.1.6 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance with manufacturer's recommendations. Provide telecommunications system conductor identification as specified in Section 27 10 00 BUILDING TELECOMMUNICATIONS CABLING SYSTEMS.

3.1.7 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

3.1.7.1 Splices of Aluminum Conductors

Make with solderless circumferential compression-type, aluminum-bodied connectors UL listed for AL/CU. Remove surface oxides from aluminum conductors by wire brushing and immediately apply oxide-inhibiting joint compound and insert in connector. After joint is made, wipe away excess joint compound, and insulate splice.

3.1.8 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.1.9 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings.

3.1.10 Grounding and Bonding

Provide in accordance with NFPA 70. Ground exposed, non-current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, and neutral conductor of wiring systems. Interconnect all grounding media in or on the structure to provide a common ground potential. This includes lightning protection, electrical service, telecommunications system grounds, as well as underground metallic piping systems. Make interconnection to the gas line on the customer's side of the meter. Use main size lightning conductors for interconnecting these grounding systems to the lightning protection system. In addition to the requirements specified herein, provide telecommunications grounding in accordance with TIA-607. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

3.1.10.1 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, by exothermic weld or high compression connector.

a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up" or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.

b. Make high compression connections using a hydraulic or electric compression tool to provide the correct circumferential pressure. Provide tools and dies as recommended by the manufacturer. Use an embossing die code or other standard method to provide visible indication that a connector has been adequately compressed on the ground wire.

3.1.10.2 Resistance

Maximum resistance-to-ground of grounding system: do not exceed 5 ohms under dry conditions. Where resistance obtained exceeds 5 ohms, contact Contracting Officer for further instructions.

3.1.11 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.12 Elevator

Provide circuit to line terminals of elevator controller, and disconnect switch on line side of controller, outlet for control power, outlet

receptacle and work light at midheight of elevator shaft, and work light and outlet receptacle in elevator pit.

3.1.13 Government-Furnished Equipment

Contractor make connections to Government-furnished equipment to make equipment operate as intended, including providing miscellaneous items such as plugs, receptacles, wire, cable, conduit, flexible conduit, and outlet boxes or fittings.

3.1.14 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

3.1.14.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.14.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.14.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, back to equipment's power source as indicated.

3.1.14.4 Continuation of Service

Maintain continuity of existing circuits of equipment to remain. Maintain existing circuits of equipment energized. Restore circuits wiring and power which are to remain but were disturbed during demolition back to original condition.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets. Provide nameplate on all equipment in access controlled spaces and areas.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to each test.

Where applicable, test electrical equipment in accordance with NETA ATS.

3.4.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.4.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of 1,000 volts DC for 600 volt rated wiring and 500 volts DC for 300 volt rated wiring per NETA ATS to provide direct reading of resistance. All existing wiring to be reused must also be tested.

3.4.3 Transformer Tests

Perform the standard, not optional, tests in accordance with the Inspection and Test Procedures for transformers, dry type, air-cooled, 600 volt and below; as specified in NETA ATS. Measure primary and secondary voltages for proper tap settings. Tests need not be performed by a recognized independent testing firm or independent electrical consulting firm.

3.4.4 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device is a self-test model as specified in UL 943.

3.4.5 Grounding System Test

Test grounding system to ensure continuity, and that resistance to ground is not excessive. Test each ground rod for resistance to ground before making connections to rod; tie grounding system together and test for resistance to ground. Make resistance measurements in dry weather, not earlier than 48 hours after rainfall. Submit written results of each test to Contracting Officer, and indicate location of rods as well as resistance and soil conditions at time measurements were made.

-- End of Section --

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SECTION 26 51 00

INTERIOR LIGHTING
05/20, CHG 2: 11/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM A580/A580M	(2023) Standard Specification for Stainless Steel Wire
ASTM A641/A641M	(2019) Standard Specification for Zinc-Coated (Galvanized) Carbon Steel Wire
ASTM B164	(2003; R 2014) Standard Specification for Nickel-Copper Alloy Rod, Bar, and Wire

EUROPEAN UNION (EU)

Directive 2011/65/EU	(2011) Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment

ILLUMINATING ENGINEERING SOCIETY (IES)

ANSI/IES LM-79	(2019) Approved Method: Electrical and Photometric Measurements of Solid State Lighting Products
ANSI/IES LM-80	(2020) Approved Method: Measuring Luminous Flux and Color Maintenance of LED Packages, Arrays and Modules
ANSI/IES LS-1	(2020) Lighting Science: Nomenclature and Definitions for Illuminating Engineering
ANSI/IES TM-21	(2021) Technical Memorandum: Projecting Long-TermLuminous, Photon, and Radiant Flux Maintenance of LED Light Sources
ANSI/IES TM-30	(2020) Technical Memorandum: IES Method for Evaluating Light Source Color Rendition
IES Lighting Library	IES Lighting Library

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2023) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ANSLG C78.377	(2017) Electric Lamps— Specifications for the Chromaticity of Solid State Lighting Products
NEMA C82.77-10	(2020) Harmonic Emission Limits - Related Power Quality Requirements
NEMA SSL 1	(2016) Electronic Drivers for LED Devices, Arrays, or Systems
NEMA SSL 3	(2011) High-Power White LED Binning for General Illumination

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

47 CFR 15	Radio Frequency Devices

UNDERWRITERS LABORATORIES (UL)

UL 1598	(2021; Reprint Jun 2021) Luminaires
UL 8750	(2015; Reprint Sep 2021) UL Standard for Safety Light Emitting Diode (LED) Equipment for Use in Lighting Products

1.2 RELATED REQUIREMENTS

Materials not considered to be luminaires, luminaire accessories, or lighting equipment are specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

1.3 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications and on the drawings, must be as defined in IEEE 100 and ANSI/IES LS-1.

b. For LED luminaire light sources, "Useful Life" is the operating hours before reaching 70 percent of the initial rated lumen output (L70) with no catastrophic failures under normal operating conditions. This is also known as 70 percent "Rated Lumen Maintenance Life" as defined in ANSI/IES LM-80.

c. For LED luminaires, "Luminaire Efficacy" (LE) is the appropriate measure of energy efficiency, measured in lumens/watt. This is gathered from LM-79 data for the luminaire, in which absolute photometry is used to measure the lumen output of the luminaire as one entity, not the source separately and then the source and housing

together.

d. Total harmonic distortion (THD) is the root mean square (RMS) of all the harmonic components divided by the total fundamental current.

1.4 SUBMITTALS

Government approval is required for all submittals.Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Luminaire Drawings

SD-03 Product Data

Luminaires

Light Sources

LED Drivers

Luminaire Warranty

Switches

SD-05 Design Data

Luminaire Design Data

SD-06 Test Reports

ANSI/IES LM-79 Test Report

ANSI/IES LM-80 Test Report

ANSI/IES TM-21 Test Report

ANSI/IES TM-30 Test Report

SD-10 Operation and Maintenance Data

Lighting System, Data Package 5

1.5 QUALITY ASSURANCE

Data, drawings, and reports must employ the terminology, classifications and methods prescribed by the IES Lighting Library as applicable, for the lighting system specified.

1.5.1 Luminaire Drawings

Include dimensions, accessories installation details, and construction details. Photometric data, including CRI, CCT, LED driver type, zonal lumen data, and candlepower distribution data must accompany shop drawings.

1.5.2 Luminaire Design Data

a. Provide safety certification and file number for the luminaire family that must be listed, labeled, or identified in accordance with the NFPA 70. Applicable testing bodies are determined by the US Occupational Safety Health Administration (OSHA) as Nationally Recognized Testing Laboratories (NRTL) and include: CSA (Canadian Standards Association), ETL (Edison Testing Laboratory), and UL (Underwriters Laboratories).

b. Provide long term lumen maintenance projections for each LED luminaire in accordance with ANSI/IES TM-21. Data used for projections must be obtained from testing in accordance with ANSI/IES LM-80.

1.5.3 ANSI/IES LM-79 Test Report

Submit test report on manufacturer's standard production model of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data in IES format as outlined under "14.0 Test Report" in ANSI/IES LM-79.

1.5.4 ANSI/IES LM-80 Test Report

Submit report on manufacturer's standard production LED light source (package, array, or module) of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data as outlined under "8.0 Test Report" in ANSI/IES LM-80.

1.5.5 ANSI/IES TM-21 Test Report

Submit test report on manufacturer's standard production LED light source (package, array, or module) of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data, as well as required interpolation information as outlined under "7.0 Report" in ANSI/IES TM-21.

1.5.6 ANSI/IES TM-30 Test Report

Submit color vector graphic in accordance with ANSI/IES TM-30 on manufacturer's standard production LED light source (package, array, or module) of specified luminaire. Include spectral distribution of test LED light source.

1.5.7 Test Laboratories

Test laboratories for the ANSI/IES LM-79 and ANSI/IES LM-80 test reports must be one of the following:

a. National Voluntary Laboratory Accreditation Program (NVLAP) accredited for solid-state lighting testing as part of the Energy-Efficient Lighting Products laboratory accreditation program for both LM-79 and LM-80 testing.

b. One of the qualified labs listed on the Department of Energy - LED Lighting Facts Approved Testing Laboratories List for LM-79 testing.

c. One of the EPA-Recognized Laboratories listed for LM-80 testing.

1.5.8 Regulatory Requirements

Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of NFPA 70, unless more stringent requirements are specified or indicated. Provide luminaires and assembled components that are approved by and bear the label of UL for the applicable location and conditions unless otherwise specified.

1.5.9 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design, and workmanship. Products must have been in satisfactory commercial or industrial use for six months prior to bid opening. The six-month period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the six-month period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.9.1 Alternative Qualifications

Products having less than a six-month field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.9.2 Material and Equipment Manufacturing Date

Do not use products manufactured more than six months prior to date of delivery to site, unless specified otherwise.

1.6 WARRANTY

Support all equipment items by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.6.1 Luminaire Warranty

Provide and transfer to the government the original LED luminaire manufacturers standard commercial warranty for each different luminaire manufacturer used in the project.

a. Provide a written five year minimum replacement warranty for material, luminaire finish, and workmanship. Provide written warranty document that contains all warranty processing information needed, including customer service point of contact, whether or not a return authorization number is required, return shipping information, and

closest return location to the luminaire location.

(1) Finish warranty must include failure and substantial deterioration such as blistering, cracking, peeling, chalking, or fading.

(2) Material warranty must include:

(a) All LED drivers and integral control equipment.

(b) Replacement when more than 15 percent of LED sources in any lightbar or subassembly(s) are defective, non-starting, or operating below 70 percent of specified lumen output.

b. Warranty period must begin in accordance with the manufacturer's standard warranty starting date.

c. Provide replacements that are promptly shipped, without charge, to the using Government facility point of contact and that are identical to or an improvement upon the original equipment. All replacements must include testing of new components and assembly.

1.7 OPERATION AND MAINTENANCE MANUALS

1.7.1 Lighting System

Provide operation and maintenance manuals for the lighting system in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA that provide basic data relating to the design, operation, and maintenance of the lighting system for the building.

a. Manufacturers' operating and maintenance manuals.

b. Luminaire shop drawings for modified and custom luminaires.

c. Luminaire Manufacturers' standard commercial warranty information as specified in paragraph LUMINAIRE WARRANTY.

PART 2 PRODUCTS

2.1 PRODUCT COORDINATION

2.2 LUMINAIRES

UL 1598, NEMA C82.77-10. Provide luminaires as indicated in the luminaire schedule and NL plates or details on project plans, complete with light source, wattage, and lumen output indicated. All luminaires of the same type must be provided by the same manufacturer. Luminaires must be specifically designed for use with the driver and light source provided.

2.2.1 Luminaires

UL 8750, ANSI/IES LM-79, ANSI/IES LM-80. For all luminaires, provide:

a. Complete system with LED drivers and light sources.

b. Housings constructed of non-corrosive materials. All new aluminum housings must be anodized or powder-coated. All new steel housings must be treated to be corrosion resistant.

c. ANSI/IES TM-21, ANSI/IES LM-80. Minimum L70 lumen maintenance value of 50,000 hours unless otherwise indicated in the luminaire schedule. Luminaire drive current value must be identical to that provided by test data for luminaire in question.

d. Minimum efficacy as specified in the luminaire schedule. Theoretical models of initial lamp lumens per watt are not acceptable. If efficacy values are not listed in the luminaire schedule, provide luminaires that meet the following minimum values:

Luminaire Style	Minimum Luminaire Efficacy
Recessed 1 by 4, 2 by 4, and 2 by 2	100 LPW
Recessed Downlight (fixed, adjustable, wallwash)	80 LPW
Linear, Accent (undercabinet, cove)	45 LPW
Linear, Ambient (indirect wall mount, linear pendent)	100 LPW
High Bay, Low Bay, and Industrial Locations	100 LPW
Food Service and Hazardous Locations	60 LPW
Other (track, residential diffusers)	50 LPW
Exterior Wall Sconce	50 LPW
Steplight	30 LPW
Parking Garage Luminaire	100 LPW

e. UL listed for dry or damp location typical of interior installations.

f. LED driver and light source package, array, or module are accessible for service or replacement without removal or destruction of luminaire.

g. Lenses constructed of heat tempered borosilicate glass, UV-resistant acrylic, or silicone. Sandblasting, etching and polishing must be performed as indicated in the luminaire description.

2.3 LIGHT SOURCES

NEMA ANSLG C78.377, NEMA SSL 3. Provide type, delivered lumen output, and wattage as indicated in the luminaire schedule on project plans.

2.3.1 LED Light Sources

Provide LED light sources that meet the following requirements:

a. NEMA ANSLG C78.377. Emit white light and have a nominal CCT of 3500 Kelvin.

b. Minimum Color Rendering Index (CRI) of 80.

c. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS)

compliant.

d. Light source color consistency by utilizing a binning tolerance within a 3-step McAdam ellipse.

2.4 LED DRIVERS

NEMA SSL 1, UL 8750. Provide LED drivers that are electronic, UL Class 1 or Class 2, constant-current type and that comply with the following requirements:

a. The combined driver and LED light source system does not exceed the minimum luminaire efficacy values as listed in the luminaire schedule provided.

b. Operates at a voltage of 120-277 volts at 50/60 hertz, with input voltage fluctuations of plus/minus 10 percent.

c. Power Factor (PF) greater than or equal to 0.90 at full input power and across specified dimming range.

d. Maximum Total Harmonic Distortion (THD) less than 20 percent at full input power and across specified dimming range.

e. Operates for at least 50,000 hours at maximum case temperature and 90 percent non-condensing relative humidity.

f. Withstands Category A surges of 2 kV without impairment of performance. Provide surge protection that is integral to the driver.

g. Integral thermal protection that reduces the output power to protect the driver and light source from damage if the case temperature approaches or exceeds the driver's maximum operating temperature.

h. 47 CFR 15. Complies with the requirements of the Federal Communications Commission (FCC) rules and regulations, Non-Consumer (Class A) for EMI/RFI (conducted and radiated).

i. Class A sound rating.

j. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.

k. Provide dimming capability as indicated in the luminaire schedule on project plans.

2.5 LIGHTING CONTROLS

Provide in accordance with the requirements.

2.5.1 Devices

2.5.1.1 Switches

Provide line-voltage toggle switches as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. When used for non-digital loads, devices must be rated at 20 Amps inductive load, and be compatible with the lighting control systems.

2.6 LUMINAIRE MOUNTING ACCESSORIES

2.6.1 Suspended Luminaires

a. Provide hangers capable of supporting twice the combined weight of luminaires supported by hangers.

b. Hangers must allow luminaires to swing within an angle of 45 degrees. Brace pendents 4 feet or longer to limit swinging.

c. Single-unit suspended luminaires must have cabletwin-stem hangers. Multiple-unit or continuous row luminaires with a separate power supply cord must have a tubing or stem for wiring at one point and a tubing or rod suspension provided for each unit length of chassis, including one at each end.

d. Provide all linear pendent and surface mounted luminaires with two supports per four-foot section or three per eight-foot section unless otherwise recommended by manufacturer.

e. Provide rods in minimum 0.18 inch diameter.

2.6.2 Recess and Surface Mounted Luminaires

Provide access to light source and LED driver from bottom of luminaire. Provide trim and lenses for the exposed surface of flush-mounted luminaires as indicated on project drawings and specifications. Luminaires recessed in ceilings which have a fire resistive rating of one hour or more must be enclosed in a box which has a fire resistive rating equal to that of the ceiling. For surface mounted luminaires with brackets, provide flanged metal stem attached to outlet box, with threaded end suitable for supporting the luminaire rigidly in design position. Flanged part of luminaire stud must be of broad base type, secured to outlet box at not fewer than three points.

2.6.3 Luminaire Support Hardware

2.6.3.1 Wire

ASTM A641/A641M. Galvanized, soft tempered steel, minimum 0.11 inches in diameter, or galvanized, braided steel, minimum 0.08 inches in diameter.

2.6.3.2 Wire for Humid Spaces

ASTM A580/A580M. Composition 302 or 304, annealed stainless steel, minimum 0.11 inches in diameter.

ASTM B164. UNS NO4400, annealed nickel-copper alloy, minimum 0.11 inches in diameter.

2.6.3.3 Threaded Rods

Threaded steel rods, 3/16 inch diameter, zinc or cadmium coated.

2.7 EQUIPMENT IDENTIFICATION

2.7.1 Manufacturer's Nameplate

Each item of equipment must have a nameplate bearing the manufacturer's

name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.7.2 Labels

UL 1598. All luminaires must be clearly marked for operation of specific light sources and LED drivers. The labels must be easy to read when standing next to the equipment, and durable to match the life of the equipment to which they are attached. Note the following light source characteristics in the format "Use Only _____":

a. Correlated Color Temperature (CCT) and Color Rendering Index (CRI) for all luminaires.

b. Driver and dimming protocol.

All markings related to light source type must be clear and located to be readily visible to service personnel, but unseen from normal viewing angles when light sources are in place. LED drivers must have clear markings indicating dimming type and indicate proper terminals for the various outputs.

2.8 FACTORY APPLIED FINISH

NEMA 250. Provide all luminaires and lighting equipment with factory-applied painting system that as a minimum, meets requirements of corrosion-resistance testing.

PART 3 EXECUTION

3.1 INSTALLATION

IEEE C2, NFPA 70.

3.1.1 Light Sources

When light sources are not provided as an integral part of the luminaire, deliver light sources of the type, wattage, lumen output, color temperature (CCT), color rendering index (CRI), and voltage rating indicated to the project site and install just prior to project completion, if not already installed in the luminaires from the factory.

3.1.2 Luminaires

Set luminaires plumb, square, and level with ceiling and walls, in alignment with adjacent luminaires and secure in accordance with manufacturers' directions and approved drawings. Provide accessories as required for ceiling construction type indicated on Finish Schedule. Luminaire catalog numbers do not necessarily denote specific mounting accessories for type of ceiling in which a luminaire may be installed. Provide wires, straps, or rods for luminaire support in this section. Install luminaires with vent holes free of air blocking obstacles.

3.1.2.1 Suspended Luminaires

Measure mounting heights from the bottom of the luminaire for ceiling-mounted luminaires and to center of luminaire for wall-mounted luminaires. Obtain architect approval of the exact mounting height on the

job before commencing installation and, where applicable, after coordinating with the type, style, and pattern of the ceiling being installed. Support suspended luminaires from structural framework of ceiling or from inserts cast into slab.

a. Provide suspended luminaires with 45 degree swivel hangers so that they hang plumb and level.

b. Locate so that there are no obstructions within the 45 degree range in all directions.

c. The stem, canopy and luminaire must be capable of 45 degree swing.

d. Rigid pendent stem, aircraft cable, rods, or chains 4 feet or longer excluding luminaire must be braced to prevent swaying using three cables at 120 degree separation.

e. Suspended luminaires in continuous rows must have internal wireway systems for end to end wiring and must be properly aligned to provide a straight and continuous row without bends, gaps, light leaks or filler pieces.

f. Utilize aligning splines on extruded aluminum luminaires to assure minimal hairline joints.

g. Support steel luminaires to prevent "oil-canning" effects.

h. Match supporting pendents with supported luminaire. Aircraft cable must be stainless steel.

i. Match finish of canopies to match the ceiling, and provide low profile canopies unless otherwise shown.

j. Maximum distance between suspension points must be 10 feet or as recommended by the manufacturer, whichever is less.

3.1.2.2 Recessed and Semi-Recessed Luminaires

a. Support recessed and semi-recessed luminaires independently from the building structure by a minimum of two wires, straps or rods per luminaire and located near opposite corners of the luminaire. Secure horizontal movement with clips provided by manufacturer. Ceiling grid clips are not allowed as an alternative to independently supported luminaires.

b. Support round luminaires or luminaires smaller in size than the ceiling grid independently from the building structure by a minimum of four wires, straps or rods per luminaire, spaced approximately equidistant around.

c. Do not support luminaires by acoustical tile ceiling panels.

d. Where luminaires of sizes less than the ceiling grid are indicated to be centered in the acoustical panel, support each independently and provide at least two 3/4 inch metal channels spanning, and secured to, the ceiling tees for centering and aligning the luminaire.

f. Adjust aperture rings on all applicable ceiling recessed luminaires to

accommodate various ceiling material thickness. Coordinate cut-out size in ceiling to ensure aperture covers cut-out entirely. Install aperture rings such that the bottom of the ring is flush with finished ceiling or not more than 1/16 inch above. Do not install luminaires such that the aperture ring extends below the finished ceiling surface.

3.1.3 LED Drivers

Provide LED drivers integral to luminaire as constructed by the manufacturer.

-- End of Section --

SECTION 27 10 00

BUILDING TELECOMMUNICATIONS CABLING SYSTEM
08/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms

INSULATED CABLE ENGINEERS ASSOCIATION (ICEA)

ICEA S-90-661	(2021) Category 3 and 5E Individually Unshielded Twisted Pairs, Indoor Cables (With or Without an Overall Shield) for Use in General Purpose and LAN Communications Wiring Systems

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA/BICSI 568	(2006) Standard for Installing Building Telecommunications Cabling

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI/NEMA WC 66	(2019) Performance Standard for Category 6 and Category 7 100 Ohm Shielded and Unshielded Twisted Pairs

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-568.0	(2020e) Generic Telecommunications Cabling for Customer Premises
TIA-568.1	(2020e) Commercial Building Telecommunications Infrastructure Standard
TIA-568.2	(2018d) Balanced Twisted-Pair Telecommunications Cabling and Components Standards
TIA-568.3	(2022e) Optical Fiber Cabling Components Standard
TIA-569	(2019e; Add 1 2022) Telecommunications

	Pathways and Spaces
TIA-606	(2021d) Administration Standard for Telecommunications Infrastructure
TIA-607	(2019d) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises
TIA-1152	(2016; R 2021) Requirements for Field Test Instruments and Measurements for Balanced Twisted-Pair Cabling

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC Part 68	Connection of Terminal Equipment to the Telephone Network (47 CFR 68)

UNDERWRITERS LABORATORIES (UL)

UL 444	(2017; Reprint Jun 2021) UL Standard for Safety Communications Cables
UL 467	(2022) UL Standard for Safety Grounding and Bonding Equipment
UL 514C	(2014; Reprint Feb 2020) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 969	(2017; Reprint May 2023) UL Standard for Safety Marking and Labeling Systems
UL 1286	(2022; Reprint Aug 2023) UL Standard for Safety Office Furnishings
UL 1863	(2004; Reprint Oct 2019) UL Standard for Safety Communication Circuit Accessories

1.2 RELATED REQUIREMENTS

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM apply to this section with additions and modifications specified herein.

1.3 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in this specification shall be as defined in TIA-568.1, TIA-568.2, TIA-568.3, TIA-569, TIA-606 and IEEE 100 and herein.

1.3.1 Campus Distributor (CD)

A distributor from which the campus backbone cabling emanates. (International expression for main cross-connect (MC).)

1.3.2 Building Distributor (BD)

A distributor in which the building backbone cables terminate and at which connections to the campus backbone cables may be made. (International

expression for intermediate cross-connect (IC).)

1.3.3 Floor Distributor (FD)

A distributor used to connect horizontal cable and cabling subsystems or equipment. (International expression for horizontal cross-connect (HC).)

1.3.4 Telecommunications Room (TR)

An enclosed space for housing telecommunications equipment, cable, terminations, and cross-connects. The room is the recognized cross-connect between the backbone cable and the horizontal cabling.

1.3.5 Entrance Facility (EF) (Telecommunications)

An entrance to the building for both private and public network service cables (including wireless) including the entrance point at the building wall and continuing to the equipment room.

1.3.6 Equipment Room (ER) (Telecommunications)

An environmentally controlled centralized space for telecommunications equipment that serves the occupants of a building. Equipment housed therein is considered distinct from a telecommunications room because of the nature of its complexity.

1.3.7 Open Cable

Cabling that is not run in a raceway as defined by NFPA 70. This refers to cabling that is "open" to the space in which the cable has been installed and is therefore exposed to the environmental conditions associated with that space.

1.3.8 Open Office

A floor space division provided by furniture, moveable partitions, or other means instead of by building walls.

1.3.9 Pathway

A physical infrastructure utilized for the placement and routing of telecommunications cable.

1.4 SYSTEM DESCRIPTION

The building telecommunications cabling and pathway system shall include permanently installed backbone and horizontal cabling, horizontal and backbone pathways, service entrance facilities, work area pathways, telecommunications outlet assemblies, conduit, raceway, and hardware for splicing, terminating, and interconnecting cabling necessary to transport telephone and data (including LAN) between equipment items in a building. The horizontal system shall be wired in a star topology from the telecommunications work area to the floor distributor or campus distributor at the center or hub of the star. The backbone cabling and pathway system includes intrabuilding and interbuilding interconnecting cabling, pathway, and terminal hardware. The intrabuilding backbone provides connectivity from the floor distributors to the building distributors or to the campus distributor and from the building distributors to the campus distributor as required. The backbone system

shall be wired in a star topology with the campus distributor at the center or hub of the star. Provide telecommunications pathway systems referenced herein as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

1.5 SUBMITTALS

Government approval is required for all submittals.Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Telecommunications Cabling (backbone and horizontal)

Telecommunications Outlet/Connector Assemblies

SD-06 Test Reports

Telecommunications Cabling Testing

SD-07 Certificates

Telecommunications Contractor Qualifications

Key Personnel Qualifications

Manufacturer Qualifications

Test Plan

SD-10 Operation and Maintenance Data

Telecommunications Cabling and Pathway System Data Package 5

SD-11 Closeout Submittals

Record Documentation

1.6 QUALITY ASSURANCE

1.6.1 Telecommunications Qualifications

Work under this section shall be performed by and the equipment shall be provided by the approved telecommunications contractor and key personnel. Qualifications shall be provided for: the telecommunications system contractor, the telecommunications system installer, and the supervisor (if different from the installer). A minimum of 30 days prior to installation, submit documentation of the experience of the telecommunications contractor and of the key personnel.

1.6.1.1 Telecommunications Contractor

The telecommunications contractor shall be a firm which is regularly and professionally engaged in the business of the applications, installation, and testing of the specified telecommunications systems and equipment.

The telecommunications contractor shall demonstrate experience in providing successful telecommunications systems within the past 3 years of similar scope and size. Submit documentation for a minimum of three and a maximum of five successful telecommunication system installations for the telecommunications contractor.

1.6.1.2 Key Personnel

Provide key personnel who are regularly and professionally engaged in the business of the application, installation and testing of the specified telecommunications systems and equipment. There may be one key person or more key persons proposed for this solicitation depending upon how many of the key roles each has successfully provided. Each of the key personnel shall demonstrate experience in providing successful telecommunications systems within the past 3 years.

Supervisors and installers assigned to the installation of this system or any of its components shall be Building Industry Consulting Services International (BICSI) Registered Cabling Installers, Technician Level. Submit documentation of current BICSI certification for each of the key personnel.

In lieu of BICSI certification, supervisors and installers assigned to the installation of this system or any of its components shall have a minimum of 3 years experience in the installation of the specified copper and fiber optic cable and components. They shall have factory or factory approved certification from each equipment manufacturer indicating that they are qualified to install and test the provided products. Submit documentation for a minimum of three and a maximum of five successful telecommunication system installations for each of the key personnel. Documentation for each key person shall include at least two successful system installations provided that are equivalent in system size and in construction complexity to the telecommunications system proposed for this solicitation. Include specific experience in installing and testing telecommunications systems and provide the names and locations of at least two project installations successfully completed using copper telecommunications cabling systems. All of the existing telecommunications system installations offered by the key persons as successful experience shall have been in successful full-time service for at least 18 months prior to the issuance date for this solicitation. Provide the name and role of the key person, the title, location, and completed installation date of the referenced project, the referenced project owner point of contact information including name, organization, title, and telephone number, and generally, the referenced project description including system size and construction complexity.

Indicate that all key persons are currently employed by the telecommunications contractor, or have a commitment to the telecommunications contractor to work on this project. All key persons shall be employed by the telecommunications contractor at the date of issuance of this solicitation, or if not, have a commitment to the telecommunications contractor to work on this project by the date that the bid was due to the Contracting Officer.

Note that only the key personnel approved by the Contracting Officer in the successful proposal shall do work on this solicitation's telecommunications system. Key personnel shall function in the same roles in this contract, as they functioned in the offered successful experience. Any substitutions for the telecommunications contractor's key

personnel requires approval from The Contracting Officer.

1.6.1.3 Minimum Manufacturer Qualifications

Cabling, equipment and hardware manufacturers shall have a minimum of 3 years experience in the manufacturing, assembly, and factory testing of components which comply with TIA-568.1, TIA-568.2 and TIA-568.3.

1.6.2 Test Plan

Provide a complete and detailed test plan for the telecommunications cabling system including a complete list of test equipment for the components and accessories for each cable type specified, 60 days prior to the proposed test date. Include procedures for certification, validation, and testing.

1.6.3 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.6.4 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.6.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.6.4.2 Material and Equipment Manufacturing Date

Products manufactured more than 1 year prior to date of delivery to site shall not be used, unless specified otherwise.

1.7 DELIVERY AND STORAGE

Provide protection from weather, moisture, extreme heat and cold, dirt, dust, and other contaminants for telecommunications cabling and equipment placed in storage.

1.8 ENVIRONMENTAL REQUIREMENTS

Connecting hardware shall be rated for operation under ambient conditions of 32 to 140 degrees F and in the range of 0 to 95 percent relative humidity, noncondensing.

1.9 WARRANTY

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.10 MAINTENANCE

1.10.1 Operation and Maintenance Manuals

Commercial off the shelf manuals shall be furnished for operation, installation, configuration, and maintenance of products provided as a part of the telecommunications cabling and pathway system, Data Package 5. Submit operations and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and as specified herein not later than 2 months prior to the date of beneficial occupancy. In addition to requirements of Data Package 5, include the requirements of paragraphs TELECOMMUNICATIONS DRAWINGS, TELECOMMUNICATIONS SPACE DRAWINGS, and RECORD DOCUMENTATION. Ensure that these drawings and documents depict the as-built configuration.

1.10.2 Record Documentation

Provide T5 drawings including documentation on cables and termination hardware in accordance with TIA-606. T5 drawings shall include schedules to show information for cut-overs and cable plant management, patch panel layouts and cover plate assignments, cross-connect information and connecting terminal layout as a minimum. T5 drawings shall be provided on electronic media using Windows based computer cable management software. Provide the following T5 drawing documentation as a minimum:

a. Cables - A record of installed cable shall be provided in accordance with TIA-606. The cable records shall include only the required data fieldsin accordance with TIA-606. Include manufacture date of cable with submittal.

b. Termination Hardware - A record of installed patch panels, cross-connect points, distribution frames, terminating block arrangements and type, and outlets shall be provided in accordance with TIA-606. Documentation shall include the required data fields only in accordance with TIA-606.

PART 2 PRODUCTS

2.1 COMPONENTS

Components shall be UL or third party certified. Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations, submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing,

submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. The certificate shall state that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard. Provide a complete system of telecommunications cabling and pathway components using star topology. Provide support structures and pathways, complete with outlets, cables, connecting hardware and telecommunications cabinets/racks. Cabling and interconnecting hardware and components for telecommunications systems shall be UL listed or third party independent testing laboratory certified, and shall comply with NFPA 70 and conform to the requirements specified herein.

2.2 TELECOMMUNICATIONS PATHWAY

Provide telecommunications pathways in accordance with TIA-569 and as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide system furniture pathways in accordance with UL 1286.

2.3 TELECOMMUNICATIONS CABLING

Cabling shall be UL listed for the application and shall comply with TIA-568.0, TIA-568.1, TIA-568.2, TIA-568.3 and NFPA 70. Provide a labeling system for cabling as required by TIA-606 and UL 969. Ship cable on reels or in boxes bearing manufacture date for for unshielded twisted pair (UTP) in accordance with ICEA S-90-661 for all cable used on this project. Cabling manufactured more than 12 months prior to date of installation shall not be used.

2.3.1 Horizontal Cabling

Provide horizontal cable in compliance with NFPA 70 and performance characteristics in accordance with TIA-568.1.

2.3.1.1 Horizontal Copper

Provide horizontal copper cable, UTP, 100 ohm in accordance with TIA-568.2, UL 444, ANSI/NEMA WC 66, ICEA S-90-661 . Provide four each individually twisted pair, minimum size 24 AWG conductors, Category 6, with a blue thermoplastic jacket. Cable shall be imprinted with manufacturers name or identifier, flammability rating, gauge of conductor, transmission performance rating (category designation) and length marking at regular intervals in accordance with ICEA S-90-661. Provide plenum (CMP), riser (CMR), or general purpose (CM or CMG) communications rated cabling in accordance with NFPA 70. Substitution of a higher rated cable shall be permitted in accordance with NFPA 70. Cables installed in conduit within and under slabs shall be UL listed and labeled for wet locations in accordance with NFPA 70.

2.3.2 Work Area Cabling

2.3.2.1 Work Area Copper

Provide work area copper cable in accordance with TIA-568.2, with a blue, thermoplastic jacket.

2.4 TELECOMMUNICATIONS OUTLET/CONNECTOR ASSEMBLIES

2.4.1 Outlet/Connector Copper

Outlet/connectors shall comply with FCC Part 68, TIA-568.1, and TIA-568.2. UTP outlet/connectors shall be UL 1863 listed, non-keyed, 8-pin modular, constructed of high impact rated thermoplastic housing and shall be third party verified and shall comply with TIA-568.2 Category 6 requirements. Outlet/connectors provided for UTP cabling shall meet or exceed the requirements for the cable provided. Outlet/connectors shall be terminated using a Type 110 IDC PC board connector, color-coded for both T568A and T568B wiring. Each outlet/connector shall be wired T568A. UTP outlet/connectors shall comply with TIA-568.2 for 200 mating cycles.

2.4.2 Cover Plates

Telecommunications cover plates shall comply with UL 514C, and TIA-568.1, TIA-568.2, ; flush design constructed of high impact thermoplastic material to match color of receptacle/switch cover plates specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide labeling in accordance with the paragraph LABELING in this section.

2.5 GROUNDING AND BONDING PRODUCTS

Provide in accordance with UL 467, TIA-607, and NFPA 70. Components shall be identified as required by TIA-606. Provide ground rods, bonding conductors, and grounding busbars as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.6 MANUFACTURER'S NAMEPLATE

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

PART 3 EXECUTION

3.1 INSTALLATION

Install telecommunications cabling and pathway systems, including the horizontal and backbone cable, pathway systems, telecommunications outlet/connector assemblies, and associated hardware in accordance with NECA/BICSI 568, TIA-568.1, TIA-568.2, TIA-569, NFPA 70, and UL standards as applicable. Provide cabling in a star topology network. Pathways and outlet boxes shall be installed as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Install telecommunications cabling with copper media in accordance with the following criteria to avoid potential electromagnetic interference between power and telecommunications equipment. The interference ceiling shall not exceed 3.0 volts per meter measured over the usable bandwidth of the telecommunications cabling.

3.1.1 Cabling

Install UTP, telecommunications cabling system as detailed in TIA-568.1, TIA-568.2, . Screw terminals shall not be used except where specifically indicated on plans. Use an approved insulation displacement connection (IDC) tool kit for copper cable terminations. Do not exceed manufacturers' cable pull tensions for copper and optical fiber cables.

Provide a device to monitor cable pull tensions. Do not exceed 25 pounds pull tension for four pair copper cables. Do not chafe or damage outer jacket materials. Use only lubricants approved by cable manufacturer. Do not over cinch cables, or crush cables with staples. For UTP cable, bend radii shall not be less than four times the cable diameter. Cables shall be terminated; no cable shall contain unterminated elements. Cables shall not be spliced. Label cabling in accordance with paragraph LABELING in this section.

3.1.1.1 Horizontal Cabling

Install horizontal cabling as indicated on drawings Do not untwist Category 6 UTP cables more than one half inch from the point of termination to maintain cable geometry. Provide slack cable in the form of a figure eight (not a service loop) on each end of the cable, 10 feet in the telecommunications room, and 12 inches in the work area outlet.

3.1.2 Pathway Installations

Provide in accordance with TIA-569 and NFPA 70. Provide building pathway as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

3.1.3 Work Area Outlets

3.1.3.1 Terminations

Terminate UTP cable in accordance with TIA-568.1, TIA-568.2 and wiring configuration as specified.

3.1.3.2 Cover Plates

As a minimum, each outlet/connector shall be labeled as to its function and a unique number to identify cable link in accordance with the paragraph LABELING in this section.

3.1.3.3 Cables

Unshielded twisted pair and fiber optic cables shall have a minimum of 12 inches of slack cable loosely coiled into the telecommunications outlet boxes. Minimum manufacturer's bend radius for each type of cable shall not be exceeded.

3.1.4 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated wall, partitions, floors, or ceilings as specified.

3.1.5 Grounding and Bonding

Provide in accordance with TIA-607, NFPA 70 and as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

3.2 LABELING

3.2.1 Labels

Provide labeling in accordance with TIA-606. Handwritten labeling is unacceptable. Stenciled lettering for voice and data circuits shall be provided using laser printer .

3.2.2 Cable

Cables shall be labeled using color labels on both ends with identifiers in accordance with TIA-606.

3.2.3 Termination Hardware

Workstation outlets and patch panel connections shall be labeled using color coded labels with identifiers in accordance with TIA-606.

3.3 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.4 TESTING

3.4.1 Telecommunications Cabling Testing

Perform telecommunications cabling inspection, verification, and performance tests in accordance with TIA-568.1, TIA-568.2, . Test equipment shall conform to TIA-1152. Perform optical fiber field inspection tests via attenuation measurements on factory reels and provide results along with manufacturer certification for factory reel tests. Remove failed cable reels from project site upon attenuation test failure.

3.4.1.1 Inspection

Visually inspect UTP and optical fiber jacket materials for UL or third party certification markings. Inspect cabling terminations in telecommunications rooms and at workstations to confirm color code for T568A or T568B pin assignments, and inspect cabling connections to confirm compliance with TIA-568.1, TIA-568.2, , . Visually confirm Category 6, marking of outlets, cover plates, outlet/connectors, and patch panels.

3.4.1.2 Verification Tests

UTP backbone copper cabling shall be tested for DC loop resistance, shorts, opens, intermittent faults, and polarity between conductors, and between conductors and shield, if cable has overall shield. Test operation of shorting bars in connection blocks. Test cables after termination but prior to being cross-connected.

3.4.1.3 Performance Tests

Perform testing for each outlet and MUTOA as follows:

a. Perform Category 6 link tests in accordance with TIA-568.1 and TIA-568.2. Tests shall include wire map, length, insertion loss, NEXT, PSNEXT, ELFEXT, PSELFEXT, return loss, propagation delay, and delay skew.

3.4.1.4 Final Verification Tests

Perform verification tests for UTP systems after the complete telecommunications cabling and workstation outlet/connectors are installed.

a. Voice Tests. These tests assume that dial tone service has been installed. Connect to the network interface device at the demarcation point. Go off-hook and listen and receive a dial tone. If a test number is available, make and receive a local, long distance, and DSN telephone call.

b. Data Tests. These tests assume the Information Technology Staff has a network installed and are available to assist with testing. Connect to the network interface device at the demarcation point. Log onto the network to ensure proper connection to the network.

-- End of Section --

SECTION 28 31 70

INTERIOR FIRE ALARM SYSTEM, ADDRESSABLE
08/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A17.1/CSA B44	(2019) Safety Code for Elevators and Escalators

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 4	(2018) Standard for Integrated Fire Protection and Life Safety System Testing
NFPA 70	(2023; ERTA 4 2023; ERTA 5 2023; ERTA 6 2023) National Electrical Code
NFPA 72	(2022; ERTA 22-1) National Fire Alarm and Signaling Code
NFPA 170	(2024; ERTA 1 2023) Standard for Fire Safety and Emergency Symbols

UNDERWRITERS LABORATORIES (UL)

UL 268	(2023) UL Standard for Safety Smoke Detectors for Fire Alarm Systems
UL 864	(2023) UL Standard for Safety Control Units and Accessories for Fire Alarm Systems
UL Fire Prot Dir	(2012) Fire Protection Equipment Directory

1.2 RELATED SECTIONS

Refer to the following sections for related work and coordination:

Section 21 13 13 WET PIPE SPRINKLER SYSTEM, FIRE PROTECTION
Section 14 24 13 HYDRAULIC FREIGHT ELEVATORS for additional work related to elevators.
Section 07 84 00.00 22 FIRESTOPPING for additional work related to firestopping.

1.3 SUMMARY

1.3.1 Scope

a. This work includes designing and modifying the existing fire alarm system as described herein and on the contract drawings. Include system wiring, raceways, pull boxes, terminal cabinets, outlet and mounting boxes, control equipment, initiating devices, notification appliances, supervising station fire alarm transmitters, and other accessories and miscellaneous items required for a complete operational system even though each item is not specifically mentioned or described. Provide system(s) complete and ready for operation. Existing interior fire alarm system was manufactured by Johnson Controls.

b. Provide equipment, materials, installation, workmanship, inspection, and testing in strict accordance with NFPA 72, except as modified herein. The system layout on the drawings show the intent of coverage and suggested locations. Final quantity, system layout, and coordination are the responsibility of the Contractor.

1.3.2 Qualified Fire Protection Engineer (QFPE)

Services of the QFPE must include:

a. Reviewing SD-02, and SD-03 submittal packages for completeness and compliance with the provisions of this specification. Construction (shop) drawings and calculations must be prepared by, or prepared under the immediate supervision of, the QFPE. The QFPE must affix their professional engineering stamp with signature to the shop drawings, calculations, and material data sheets, indicating approval prior to submitting the shop drawings to the DFPE.

b. Providing a letter documenting that the SD-02, and SD-03 submittal package has been reviewed and noting any outstanding comments.

c. Performing in-progress construction surveillance prior to installation of ceilings (rough-in inspection).

d. Witnessing pre-Government and final Government functional performance testing and performing a final installation review.

e. Signing applicable certificates under SD-07.

1.4 DEFINITIONS

Wherever mentioned in this specification or on the drawings, the equipment, devices, and functions must be defined as follows:

1.4.1 Interface Device

An addressable device that interconnects hard wired systems or devices to an analog/addressable system.

1.4.2 Fire Alarm Control Unit (FACU)

A master control unit having the features of a fire alarm control unit (FACU).

1.4.3 Remote Fire Alarm Control Unit

A control unit, physically remote from the fire alarm control unit, that receives inputs from automatic and manual fire alarm devices; may supply power to detection devices and interface devices; may provide transfer of power to the notification appliances; may provide transfer of condition to relays or devices connected to the control unit; and reports to and receives signals from the fire alarm and mass notification control unit.

1.4.4 Terminal Cabinet

A steel cabinet with locking, hinge-mounted door where terminal strips are securely mounted inside the cabinet.

1.4.5 Control Module and Relay Module

Terms utilized to describe emergency control function interface devices as defined by NFPA 72.

1.4.6 Designated Fire Protection Engineer (DFPE)

The DoD fire protection engineer that oversees that Area of Responsibility for that project. This is sometimes referred to as the "cognizant" fire protection engineer. Interpret reference to "authority having jurisdiction" and/or AHJ in referenced standards to mean the Designated Fire Protection Engineer (DFPE). The DFPE may be responsible for review of the contractor submittals, and for witnessing final inspection and testing.

1.4.7 Qualified Fire Protection Engineer (QFPE)

A QFPE is an individual who is a licensed professional engineer (P.E.), who has passed the fire protection engineering written examination administered by the National Council of Examiners for Engineering and Surveying (NCEES) and has relevant fire protection engineering experience.

1.5 SUBMITTALS

Government approval is required for all submittals.

Shop drawings (SD-02), and product data (SD-03) must be prepared by the fire alarm designer and combined and submitted as one complete package. The QFPE must review the SD-02/SD-03 submittal package for completeness and compliance with the Contract provisions prior to submission to the Government. The QFPE must provide a Letter of Confirmation that they have reviewed the submittal package for compliance with the contract provisions. This letter must include their registered professional engineer stamp and signature. Partial submittals and submittals not reviewed by the QFPE will be returned by the Government disapproved without review.

Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Qualified Fire Protection Engineer (QFPE)

Fire alarm system designer

Supervisor

Technician

Installer

Test Technician

SD-02 Shop Drawings

Wiring Diagrams

System Layout

SD-03 Product Data

Smoke Detectors

Addressable Interface Devices

Addressable Control Modules

Alarm Wiring

Back Boxes and Conduit

Terminal Cabinets

Environmental Enclosures or Guards

SD-06 Test Reports

Test Procedures

SD-07 Certificates

Verification of Compliant Installation

Request for Government Final Test

SD-11 Closeout Submittals

As-Built Drawings

1.6 SYSTEM OPERATION

Fire alarm system components requiring power, except for the FACU(s) power supply, must operate on 24 volts DC unless noted otherwise in this section.

The interior fire alarm system must be a complete, supervised, noncoded, analog/addressable fire alarm system conforming to NFPA 72, and UL 864. Systems meeting UL 2017 only are not acceptable. The system must be activated into the alarm mode by actuation of an alarm initiating device. The system must remain in the alarm mode until the initiating device is reset and the control unit is reset and restored to normal.

1.6.1 Alarm Initiating Devices and Notification Appliances (Visual, Audible)

a. Connect alarm initiating devices to initiating device circuits (IDC) that match the existing wiring classifcation, or to signaling line circuits (SLC)that match the existing wiring classification and installed in accordance with NFPA 72.

1.6.2 Functions and Operating Features

The system must provide the following functions and operating features:

a. Power, annunciation, supervision, and control for the system. Addressable systems must be microcomputer (microprocessor or microcontroller) based with a minimum word size of eight bits with sufficient memory to perform as specified.

b. Visual alarm notification appliances must be synchronized as required by NFPA 72.

c. Electrical supervision of the primary power (AC) supply, presence of the battery, battery voltage, and placement of system modules within the control unit.

d. An audible and visual trouble signal to activate upon a single break or open condition, or ground fault. The trouble signal must also operate upon loss of primary power (AC) supply, absence of a battery supply, low battery voltage, or removal of alarm or supervisory control unit modules. After the system returns to normal operating conditions, the trouble signal must again sound until the trouble is acknowledged. A smoke detector in the process of being verified for the actual presence of smoke must not initiate a trouble condition.

e. A trouble signal silence feature that must silence the audible trouble signal, without affecting the visual indicator.

f. Program capability via switches in a locked portion of the FACU to bypass the elevator recall features. Operation of this programmed action must indicate on the FACU display as a supervisory or trouble condition.

g. Alarm functions must override trouble or supervisory functions. Supervisory functions must override trouble functions.

h. The system must be capable of being programmed from the control unit keyboard. Programmed information must be stored in non-volatile memory.

i. The system must be capable of operating, supervising, and/or monitoring non-addressable alarm and supervisory devices.

j. There must be no limit, other than maximum system capacity, as to the number of addressable devices that may be in alarm simultaneously.

k. Where the fire alarm system is responsible for initiating an action in another emergency control device or system, such as elevator recall, the addressable fire alarm relay must be located in the vicinity of the emergency control device.

l. An alarm signal must automatically initiate the following functions:

(1) Transmission of an alarm signal to the fire department.

(2) Visual indication of the device operated on the FACU.

(3) Actuation of alarm notification appliances.

(4) Recording of the event electronically in the history log of the FACU.

(5) Elevator recall as described in this section.

(6) Operation of a sprinkler waterflow switch serving an elevator machinery room or elevator shaft must operate shunt trip circuit breaker(s) to shut down power to the elevators in accordance with ASME A17.1/CSA B44.

m. A supervisory signal must automatically initiate the following functions:

(1) Visual indication of the device operated on the FACU.

(2) Transmission of a supervisory signal to the fire department.

(3) Recording of the event electronically in the history log of the FACU.

n. A trouble condition must automatically initiate the following functions:

(1) Visual indication of the device operated on the FACU.

(2) Transmission of a trouble signal to the fire department.

(3) Recording of the event electronically in the history log of the FACU.

1.6.3 Elevator Recall

Provide elevator recall in accordance with ASME A17.1/CSA B44, Section 14 24 13 HYDRAULIC FREIGHT ELEVATORS, and as specified herein. Activation of any smoke detector in an elevator shaft, machine room, or lobby (except at designated recall level) must cause all elevators associated with that shaft, machine room, or lobby to return nonstop to the designated level. Activation of a smoke detector in the lobby or machine room at the designated level must cause all elevators associated with that lobby to return nonstop to the assigned alternate level. Activation of a detector in an elevator shaft, machine room, or lobby must also cause illumination of elevator cab warning signal (fire hat) and complete operation of fire alarm system as specified in paragraph titled "Functions and Operating Features".

1.7 EXISTING EQUIPMENT

a. Equipment and devices must be compatible and operable with the existing building fire alarm system. Equipment must not impair reliability or operational functions of the existing system. The existing building system control unit is by Johnson Controls.

1.8 QUALITY ASSURANCE

1.8.1 Submittal Documents

1.8.1.1 Preconstruction Submittals

Within 36 days of contract award but not less than 14 days prior to commencing any work on site, the Contractor must submit the following for review and approval. SD-02, SD-03 and SD-05 submittals received prior to the review and approval of the qualifications of the fire alarm subcontractor and QFPE must be returned disapproved without review. All resultant delays must be the sole responsibility of the Contractor.

1.8.1.2 Shop Drawings

Shop drawings must not be smaller than the Contract Drawings. Drawings must comply with the requirements of NFPA 72 and NFPA 170. Minimum scale for floor plans must be 1/8"=1'.

1.8.1.3 Wiring Diagrams

One copy of point-to-point wiring diagrams showing the points of connection and terminals used for electrical field connections in the system, including interconnections between the equipment or systems that are supervised or controlled by the system. Diagrams must show connections from field devices to the FACU and remote FACU, initiating circuits, switches, relays and terminals, including pathway diagrams between the control unit and shared communications equipment within the protected premises. Point-to-point wiring diagrams must be job specific and must not indicate connections or circuits not being utilized. Provide complete riser diagrams indicating the wiring sequence of all devices and their connections to the control equipment. Include a color-code schedule for the wiring.

1.8.1.4 System Layout

One copy of plan view drawing showing device locations, terminal cabinet locations, junction boxes, other related equipment, conduit routing, conduit sizes, wire counts, conduit fill calculations, wire color-coding, circuit identification in each conduit, and circuit layouts for all floors. Indicate candela rating of each visual notification appliance. Clearly identify the locations of isolation modules. Indicate the addresses of all devices, modules, relays, and similar. Indicate if the environment for the FACU is within its environmental listing (e.g. temperature/humidity).

Provide a complete description of the system operation in matrix format similar to the "Typical Input/Output Matrix" included in the Annex of NFPA 72.

1.8.1.5 Product Data

One copy of annotated descriptive data to show the specific model, type, and size of each item. Catalog cuts must also indicate the NRTL listing. The data must be highlighted to show model, size, and options that are intended for consideration. Data must be adequate to demonstrate compliance with all contract requirements. Product data for all equipment must be combined into a single submittal.

Provide an equipment list identifying the type, quantity, make, and model number of each piece of equipment to be provided under this submittal. The equipment list must include the type, quantity, make and model of spare equipment. Types and quantities of equipment submitted must coincide with the types and quantities of equipment used in the battery calculations and those shown on the shop drawings.

1.8.1.6 As-Built Drawings

The drawings must show the system as installed, including deviations from both the project drawings and the approved shop drawings. These drawings must be submitted within two weeks after the final Government test of the system. At least one set of the as-built (marked-up) drawings must be provided at the time of, or prior to the final Government test.

1.8.2 Qualifications

1.8.2.1 Fire Alarm System Designer

The fire alarm system designer must be certified as a Level III (minimum) Technician by National Institute for Certification in Engineering Technologies (NICET) in the Fire Alarm Systems subfield of Fire Protection Engineering Technology or meet the qualifications for a QFPE.

1.8.2.2 Supervisor

A NICET Level III or IV fire alarm technician must supervise the installation of the fire alarm system. The fire alarm technicians supervising the installation of equipment must be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the drawings.

1.8.2.3 Technician

Fire alarm technicians with a minimum of four years of experience must be utilized to install and terminate fire alarm devices, cabinets and control units. The fire alarm technicians installing the equipment must be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the drawings.

1.8.2.4 Installer

Fire alarm installer with a minimum of two years of experience utilized to assist in the installation of fire alarm devices, cabinets and control units. A licensed electrician must be allowed to install wire, cable, conduit and backboxes for the fire alarm system system. The fire alarm installer must be factory trained in the installation, adjustment, testing, and operation of the equipment specified herein and on the drawings.

1.8.2.5 Test Technician

Fire alarm technicians with a minimum of eight years of experience and NICET Level III or IV utilized in testing and certification of the installation of the fire alarm devices, cabinets and control units. The fire alarm technicians testing the equipment must be factory trained in the installation, adjustment, testing, and operation of the equipment installed as part of this project.

1.8.2.6 Manufacturer

Components must be of current design and must be in regular and recurrent production at the time of installation. Provide design, materials, and devices for a protected premises fire alarm system, complete, conforming to NFPA 72, except as specified herein.

1.8.3 Regulatory Requirements

Equipment and material must be listed or approved. Listed or approved, as used in this Section, means listed, labeled or approved by a Nationally Recognized Testing Laboratory (NRTL) such as UL Fire Prot Dir or FM APP GUIDE. The omission of these terms under the description of any item of equipment described must not be construed as waiving this requirement. All listings or approvals by testing laboratories must be from an existing ANSI or UL published standard. The recommended practices stated in the manufacturer's literature or documentation must be considered as mandatory requirements.

1.9 DELIVERY, STORAGE, AND HANDLING

Protect equipment delivered and placed in storage from the weather, humidity, and temperature variation, dirt and dust, and other contaminants.

1.10 MAINTENANCE

1.10.1 Special Tools

Software, connecting cables and proprietary equipment, necessary for the maintenance, testing, and reprogramming of the equipment must be furnished to the Contracting Officer, prior to the instruction of Government employees.

PART 2 PRODUCTS

2.1 GENERAL PRODUCT REQUIREMENT

All fire alarm equipment must be listed for use under the applicable reference standards.

2.2 MATERIALS AND EQUIPMENT

2.2.1 Standard Products

Provide materials, equipment, and devices that have been tested by a nationally recognized testing laboratory and listed for fire protection service when so required by NFPA 72 or this specification. Select material from one manufacturer, where possible, and not a combination of manufacturers, for any particular classification of materials. Material and equipment must be the standard products of a manufacturer regularly engaged in the manufacture of the products for at least 2 years prior to bid opening.

2.3 SMOKE DETECTORS

2.3.1 Spot Type Detectors

Provide addressable photoelectric smoke detectors as follows:

a. Provide analog/addressable photoelectric smoke detectors utilizing the photoelectric light scattering principle for operation in accordance with UL 268. Smoke detectors must be listed for use with the FACU.

b. Provide self-restoring type detectors that do not require any readjustment after actuation at the FACU to restore them to normal operation. The detector must have a visual indicator to show actuation.

c. Vibration must have no effect on the detector's operation. Protect the detection chamber with a fine mesh metallic screen that prevents the entrance of insects or airborne materials. The screen must not inhibit the movement of smoke particles into the chamber.

d. Provide twist lock bases with screw terminals for each conductor. The detectors must maintain contact with their bases without the use of springs.

e. The detector address must identify the particular unit, its location within the system. Detectors must be of the low voltage type rated for use on a 24 VDC system

2.4 ADDRESSABLE INTERFACE DEVICES

The initiating device being monitored must be configured as a Class "B" initiating device circuits. The module must be listed as compatible with the control unit. The module must provide address setting means compatible with the control unit's SLC supervision and store an internal identifying code. Monitor module must contain an integral LED that flashes each time the monitor module is polled and is visible through the device cover plate. Pull stations with a monitor module in a common backbox are not required to have an LED. Modules must be listed for the environmental conditions in which they will be installed.

2.5 ADDRESSABLE CONTROL MODULES

The control module must be capable of operating as a relay (dry contact form C) for interfacing the control unit with other systems, and to control door holders or initiate elevator fire service. The module must be listed as compatible with the control unit. The indicating device or the external load being controlled must be configured as Class "B" notification appliance circuits. The system must be capable of supervising, audible, visual and dry contact circuits. The control module must have both an input and output address. The supervision must detect a short on the supervised circuit and must prevent power from being applied to the circuit. The control model must provide address setting means compatible with the control unit's SLC supervision and store an internal identifying code. The control module must contain an integral LED that flashes each time the control module is polled and is visible through the device cover plate. Control Modules must be listed for the environmental conditions in which they will be installed.

2.6 WIRING

Provide wiring materials under this section as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM with the additions and modifications specified herein.

2.6.1 Alarm Wiring

IDC and SLC wiring must be solid or stranded copper cable in accordance with the manufacturers requirements. Copper signaling line circuits and initiating device circuit field wiring must match the existing AWG size conductors at a minimum. Wire size must be sufficient to prevent voltage drop problems. Circuits operating at 24 VDC must not operate at less than the listed voltages for the detectors and/or appliances. Acceptable power-limited cables are FPL, FPLR or FPLP as appropriate with red colored covering. Nonpower-limited cables must comply with NFPA 70.

2.7 SYSTEM MONITORING

2.7.1 Valves

Each valve affecting the proper operation of a fire protection system, including automatic sprinkler control valves, sprinkler service entrance valve, isolating valves for pressure type waterflow or supervision switches, and valves at backflow preventers, whether supplied under this contract or existing, must be electrically monitored to ensure its proper position. Provide each tamper switch with a separate address.

2.8 ENVIRONMENTAL ENCLOSURES OR GUARDS

Environmental enclosures must be provided to permit fire alarm components to be used in areas that exceed the environmental limits of the listing. The enclosure must be listed for the device or appliance as either a manufactured part number or as a listed compatible accessory for the component is currently listed. Guards required to deter mechanical damage must be either a listed manufactured part or a listed accessory for the category of the initiating device or notification appliance.

PART 3 EXECUTION

3.1 VERIFYING ACTUAL FIELD CONDITIONS

Before commencing work, examine all adjoining work on which the contractor's work is in any way dependent for perfect workmanship according to the intent of this specification section, and report to the Contracting Officer's Representative any condition which prevents performance of first class work. No "waiver of responsibility" for incomplete, inadequate or defective adjoining work will be considered unless notice has been filed before submittal of a proposal.

3.2 INSTALLATION

3.2.1 Smoke Detectors

Locate detectors as required by NFPA 72 and their listing and as indicated on the drawings on a 4-inch mounting box. Smoke detectors are permitted to be on the wall no lower than 12 inches from the ceiling with no minimum distance from the ceiling. Install smoke detectors no closer than 3 feet from air handling supply diffusers. Detectors installed in acoustical ceiling tiles must be centered in the tiles plus or minus 2 inches.

3.3 SYSTEM FIELD WIRING

3.3.1 Wiring within Cabinets, Enclosures, and Boxes

Provide wiring installed in a neat and workmanlike manner and installed parallel with or at right angles to the sides and back of any box, enclosure, or cabinet. Conductors that are terminated, spliced, or otherwise interrupted in any enclosure, cabinet, mounting, or junction box must be connected to screw-type terminal blocks. Mark each terminal in accordance with the wiring diagrams of the system. The use of wire nuts or similar devices is prohibited. Wiring to conform with NFPA 70.

Indicate the following in the wiring diagrams:

a. Point-to-point wiring diagrams showing the points of connection and terminals used for electrical field connections in the system, including interconnections between the equipment or systems that are supervised or controlled by the system. Diagrams must show connections from field devices to the FACU and remote fire alarm control units, initiating circuits, switches, relays and terminals.

b. Complete riser diagrams indicating the wiring sequence of devices and their connections to the control equipment. Include a color code schedule for the wiring. Include floor plans showing the locations of devices and equipment.

3.3.2 Terminal Cabinets

Provide a terminal cabinet at the base of any circuit riser, on each floor at each riser, and where indicated on the drawings. Terminal size must be appropriate for the size of the wiring to be connected. Conductor terminations must be labeled and a drawing containing conductors, their labels, their circuits, and their interconnection must be permanently mounted in the terminal cabinet. Minimum size is 8 inches by 8 inches. Only screw-type terminals are permitted. Provide an identification label, that displays "FIRE ALARM TERMINAL CABINET" with 2-inch lettering, on the front of the terminal cabinet.

3.3.3 Alarm Wiring

a. Voltages must not be mixed in any junction box, housing or device, except those containing power supplies and control relays.

b. Utilize shielded wiring where recommended by the manufacturer. For shielded wiring, ground the shield at only one point, in or adjacent to the FACU.

c. Pigtail or T-tap connections to signal line circuits, initiating device circuits, supervisory alarm circuits, and notification appliance circuits are prohibited.

d. Color coding is required for circuits and must be maintained throughout the circuit. Conductors used for the same functions must be similarly color coded. Conform wiring to NFPA 70.

e. Pull all conductors splice free. The use of wire nuts, crimped connectors, or twisting of conductors is prohibited. Where splices are unavoidable, the location of the junction box or pull box where they occur must be identified on the as-built drawings. The number

and location of splices must be subject to approval by the Midlant Designated Fire Protection Engineer (DFPE).

3.3.4 Back Boxes and Conduit

In addition to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, provide all wiring in rigid metal conduit or intermediate metal conduit unless specifically indicated otherwise. Minimum conduit size must be 3/4-inch in diameter. Do not use electrical non-metallic tubing (ENT) or flexible non-metallic tubing and associated fittings.

a. Galvanized rigid steel (GRS) conduit must be utilized where exposed to weather, where subject to physical damage, and where exposed on exterior of buildings. Intermediate metal conduit (IMC) may be used in lieu of GRS as allowed by NFPA 70.

b. Electrical metallic tubing (EMT) is permitted above suspended ceilings or exposed where not subject to physical damage. Do not use EMT underground, encased in concrete, mortar, or grout, in hazardous locations, where exposed to physical damage, outdoors or in fire pump rooms. Use die-cast compression connectors.

c. For rigid metallic conduit (RMC), only threaded type fitting are permitted for wet or damp locations.

d. Flexible metal conduit is permitted for initiating device circuits 6 feet in length or less. Flexible metal conduit is prohibited for notification appliance circuits and signaling line circuits. Use liquid tight flexible metal conduit in damp and wet locations.

e. Schedule 40 (minimum) polyvinyl chloride (PVC) is permitted where conduit is routed underground or underground below floor slabs. Convert non-metallic conduit, other than PVC Schedule 40 or 80, to plastic-coated rigid, or IMC, steel conduit before turning up through floor slab.

f. Exterior wall penetrations must be weathertight. Conduit must be sealed to prevent the infiltration of moisture.

3.3.5 Conductor Terminations

Labeling of conductors at terminal blocks in terminal cabinets, FACU, and remote FACU must be provided at each conductor connection. Each conductor or cable must have a shrink-wrap label to provide a unique and specific designation. Each terminal cabinet, FACU, and remote FACU must contain a laminated drawing that indicates each conductor, its label, circuit, and terminal. The laminated drawing must be neat, using 12 point lettering minimum size, and mounted within each cabinet, control unit, or unit so that it does not interfere with the wiring or terminals. Maintain existing color code scheme where connecting to existing equipment.

3.4 CONNECTION OF NEW SYSTEM

The following new system connections must be made during the last phase of construction, at the beginning of the pre-Government tests. New system connections must include:

a. Connection of new elevator recall relays to existing wiring and conduit.

Once these connections are made, system must be left energized. Report immediately to the Contracting Officer, coordination and field problems resulting from the connection of the above components.

3.5 FIRESTOPPING

Provide firestopping for holes at conduit penetrations through floor slabs, fire-rated walls, partitions with fire-rated doors, corridor walls, and vertical service shafts in accordance with Section 07 84 00.00 22 FIRESTOPPING.

3.6 PAINTING

a. In unfinished areas (including areas above drop ceilings), paint all exposed electrical conduit (serving fire alarm equipment), fire alarm conduit, surface metal raceway, junction boxes and covers red. In lieu of painting conduit, the contractor may utilize red conduit with a factory applied finish.

b. In finished areas, paint exposed electrical conduit (serving fire alarm equipment), fire alarm conduit, surface metal raceways, junction boxes, and electrical boxes to match adjacent finishes. The inside cover of the junction box must be identified as "Fire Alarm" and the conduit must have painted red bands 3/4-inch wide at 10-foot centers and at each side of a floor, wall, or ceiling penetration.

c. Painting must comply with Section 09 90 00 PAINTS AND COATINGS.

3.7 FIELD QUALITY CONTROL

3.7.1 Test Procedures

Submit detailed test procedures, prepared and signed by the NICET Level III or IV Fire Alarm Technician, and the representative of the installing company, and reviewed by the QFPE 60 days prior to performing system tests. Detailed test procedures must list all components of the installed system such as initiating devices and circuits, notification appliances and circuits, signaling line devices and circuits, control devices/equipment, batteries, transmitting and receiving equipment, power sources/supply, annunciators, special hazard equipment, emergency communication equipment, interface equipment, and surge protective devices. Test procedures must include sequence of testing, time estimate for each test, and sample test data forms. The test data forms must be in a check-off format (pass/fail with space to add applicable test data; similar to the forma in NFPA 72 and NFPA 4.) The test procedures and accompanying test data forms must be used for the pre-Government testing and the Government testing. The test data forms must record the test results and must:

a. Identify the NFPA Class of all Initiating Device Circuits (IDC), and Notification Appliance Circuits (NAC), and Signaling Line Circuits (SLC).

b. Identify each test required by NFPA 72 Test Methods and required test herein to be performed on each component, and describe how these tests must be performed.

c. Identify each component and circuit as to type, location within the

facility, and unique identity within the installed system. Provide necessary floor plan sheets showing each component location, test location, and alphanumeric identity.

d. Identify all test equipment and personnel required to perform each test (including equipment necessary for smoke detector testing. The use of magnets is not permitted.

e. Provide space to identify the date and time of each test. Provide space to identify the names and signatures of the individuals conducting and witnessing each test.

3.7.2 Pre-Government Testing

3.7.2.1 Verification of Compliant Installation

Conduct inspections and tests to ensure that devices and circuits are functioning properly. Tests must meet the requirements of paragraph entitled "Minimum System Tests" as required by NFPA 72. The contractor and an authorized representative from each supplier of equipment must be in attendance at the pre-Government testing to make necessary adjustments. After inspection and testing is complete, provide a signed Verification of Compliant Installation letter by the QFPE that the installation is complete, compliant with the specification and fully operable. The letter must include the names and titles of the witnesses to the pre-Government tests. Provide all completion documentation as required by NFPA 72 including all referenced annex sections and the test reports noted below.

a. NFPA 72 Record of Completion.

b. NFPA 72 Record of Inspection and Testing.

c. Fire Alarm and Emergency Communication System Inspection and Testing Form.

d. Documentation that all tests identified in the paragraph "Minimum System Tests" are complete.

3.7.2.2 Request for Government Final Test

When the verification of compliant installation has been completed, submit a formal request for Government final test to the Midlant Designated Fire Protection Engineer (DFPE). Government final testing will not be scheduled until the DFPE has received copies of the request for Government final testing and Verification of Compliant Installation letter with all required reports. Government final testing will not be performed until after the connections to the installation-wide fire reporting systemhas been completed and tested to confirm communications are fully functional. Submit request for test at least 15 calendar days prior to the requested test date.

3.7.3 Correction of Deficiencies

If equipment was found to be defective or non-compliant with contract requirements, perform corrective actions and repeat the tests. Tests must be conducted and repeated if necessary until the system has been demonstrated to comply with all contract requirements.

3.7.4 Government Final Tests

The tests must be performed in accordance with the approved test procedures in the presence of the DFPE. Furnish instruments and personnel required for the tests. The following must be provided at the job site for Government Final Testing:

a. The manufacturer's technical representative.

b. The contractor's Qualified Fire Protection Engineer (QFPE).

c. Marked-up red line drawings of the system as actually installed.

d. Complete program printout including input/output addresses.

e. Copy of pre-Government Test Certificate, test procedures and completed test data forms.

Government Final Tests will be witnessed by the Designated Fire Protection Engineer, and Qualified Fire Protection Engineer (QFPE). At this time, any and all required tests noted in the paragraph "Minimum System Tests" must be repeated at their discretion.

3.8 MINIMUM SYSTEM TESTS

3.8.1 System Tests

Test the system in accordance with the procedures outlined in NFPA 72. The required tests are as follows:

a. Verify the absence of unwanted voltages between circuit conductors and ground. The tests must be accomplished at the pre-Government test with results available at the final system test.

b. Verify that the control unit is in the normal condition as detailed in the manufacturer's O&M manual.

c. Test each initiating device circuit for proper operation and response at the control unit. Smoke detectors must be tested in accordance with manufacturer's recommended calibrated test method. Use of magnets is prohibited. If there is a failure at these devices, then supervision must be tested at each device.

d. Test the system for specified functions in accordance with the contract drawings and specifications and the manufacturer's O&M manual.

e. Determine that the system is operable under trouble conditions as specified.

f. Visually inspect wiring.

g. Verify that software control and data files have been entered or programmed into the FACU. Hard copy records of the software must be provided to the Contracting Officer.

h. Verify that red-line drawings are accurate.

i. Disconnect the verification feature for smoke detectors during tests to minimize the amount of smoke needed to activate the sensor.

Testing of smoke detectors must be conducted using real smoke or the use of canned smoke which is permitted.

3.9 SYSTEM ACCEPTANCE

Following acceptance of the system, as-built drawings must be delivered to the Contracting Officer for review and acceptance. The drawings must show the system as installed, including deviations from both the project drawings and the approved shop drawings. These drawings must be submitted within two weeks after the final Government test of the system. At least one set of as-built (marked-up) drawings must be provided at the time of, or prior to the Final Government Test.

a. The drawings must be prepared electronically and sized no less than the contract drawings. Furnish one set of CDs or DVDs containing software back-up and CAD based drawings in latest version of AutoCAD, DXF and portable document formats of as-built drawings and schematics.

b. Include complete wiring diagrams showing connections between devices and equipment, both factory and field wired.

c. Include a riser diagram and drawings showing the as-built location of devices and equipment.

-- End of Section --

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