PROJECT SPECIFICATIONS

FOR

CRANE No. 64 IMPROVEMENTS

BUILDING 114

December 2025

Revision 1 - F I N A L

NAVAL STATION NEWPORT

NAVAL UNDERSEA WARFARE CENTER

NEWPORT RHODE ISLAND

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LIST OF DRAWINGS
02/11, CHG 1: 08/14

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings, Maps and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

DRAWING NO.	Sheet		TITLE
			Crane No. 64 Improvements - B114 NUWC -
T-1	1 of 8		Title Sheet
G-1	2 of 8		General Notes
A-1	3 of 8		Plan - Existing Conditions
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-- End of Document --

DOCUMENT 00 22 13.00 20

SUPPLEMENTARY INSTRUCTIONS TO OFFERORS
02/14, CHG 3: 11/18

PART 1 GENERAL

1.1 CONTRACT LINE ITEMS

The terms Offeror and Bidder and versions thereof (offer/bid) have the same definition as used within this contract.

Provide the Contract Line Item (CLIN) lump sum price for the following items:

CLIN 0001 - BASE PRICE. Price includes the following:

CLIN 0001. Price for the entire work to provide a new 3-ton overhead electric traveling bridge crane in room 101 of Building 114 NUWC in accordance with the NAVFAC project drawings and technical specifications, but excluding work described in Contract Line Item 0002 (Bid Option 1).

Base Price work includes, but is not limited to:

a. Removing and disposing the existing 3-ton overhead bridge crane.

b. Providing a new 3-ton overhead electric traveling bridge crane. New crane configuration shall be top-running bridge with an under-running trolley hoist.

c. Replacing exising crane rails and crane conductors with new rails and conductors to accommodate two cranes operating on the same common rail system.

d. Replacing existing electric power infrastructure to crane with a new upgraded power feed that will support two new 3-ton cranes operating on the common rail system

CLIN	DESCRIPTION	TOTAL PRICE FOR CLIN 0001AA
0001	Provide one (1) new 3-ton overhead electric traveling bridge crane, including all associated work, in room 101 of Building 114 at NUWC Newport RI	$_____

CLIN 0002 Option Item No. 1 - Price includes the following:

CLIN 0002. Price for providing all work in connection with a second new 3-ton overhead electric traveling bridge crane in room 101 in Building 114, complete in accordance with the NAVFAC project drawings and technical specifications.

Option Item No. 1 includes providing a crane anti-collision sensor system for each of two cranes.

CLIN	DESCRIPTION	TOTAL PRICE FOR CLIN 0002
0002	Provide a second 3-ton overhead electric traveling bridge crane, including anti-collision system, in room 101 in Building 114 at NUWC Newport RI	$_____

1.2 GENERAL BID NOTES

a. Award will be made on the total sum of Contract Line Item CLIN 0001 and CLIN 0002.

b. The Government reserves the unilateral right to award CLIN 0002 to the Contractor at the proposed price within 90 calendar days after the contract award. A firm fixed proposed price is required for CLIN 0001 and CLIN 0002. No provision is made for economic price adjustment. If Options are exercised, the contract completion date remains 450 calendar days after award of the contract.

c. Evaluation of Options (JUL 1990). Except when it is determined in accordance with FAR 17.206(b) Evaluation not to be in the Government's best interest, the Government will evaluate offers for award purposes by adding the price for the Option(s) to the total price for CLIN 0001. In accordance with FAR 52.217-5 Evaluation of Options, evaluation of options will not obligate the Government to exercise the Option(s).

d. The Government may reject an offer as nonresponsive if it is materially unbalanced as to prices for the basic requirement and the option quantities. An offer is unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated for other work.

e. If CLIN 0002 is exercised, additional bonding and consent of surety is required. Consequently, the Performance Bond must reflect 100 percent of the aggregate amount of all Items.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Document --

SECTION 01 11 00

SUMMARY OF WORK
08/15, CHG 2: 08/21

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals
Salvage Plan; G

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes:

1. BASE BID. Building 114 work includes removal and disposal of the existing 3-ton overhead electric traveling bridge crane (crane 64) and installation of one (1) new 3-ton overhead electric traveling (OET) bridge crane. Crane configuration shall be a top-running bridge with an under-running trolley hoist. Incidental work includes evaluating the existing crane rails, crane rail beams, and crane rail beam to column support brackets. The new crane maximum self-weight shall be less than or equal to that of the existing crane to be removed.

The existing crane rails and crane conductor system shall be replaced with new to accommodate two new cranes operating on the same rail system. The existing crane rail beam and rail brackets shall be evaluated for suitability for service for the new cranes.

The existing electric power feed to the crane shall be replaced with a new and upgraded power feed and shall provide sufficient power to operate two new 3-ton OET bridge cranes on the same conductor system.

This work described above shall be included in the BASE BID.

2. BID OPTION 1. Provide a second new 3-ton overhead electric traveling bridge crane (crane 2) to operate on the same common rail system as the new crane (crane 1) provided in the base bid. Include in this bid option the provision for an anti-collision sensor system to be equipped on each of the new cranes. At all times, a minimum distance shall be maintained between the two cranes operating on the shared rail.

1.2.2 Location

The work is located at the Naval Undersea Warfare Center Division, Newport, Rhode Island, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

In addition to FAR 52.236-9 Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements:

a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with Installation requirements for locating and marking underground utilities. Notify Naval Station Newport PWD Utilities Department and contact local utility locating service a minimum of 10 days prior to excavating, to mark utilities, and within sufficient time required if work occurs on a Monday or after a Holiday. Verify existing utility locations indicated on contract drawings, within area of work.Identify and mark all other utilities not managed and located by the local utility companies. 1.5.1 Notification Prior to Excavation

Notify the Contracting Officer at least 10 days prior to starting excavation work.

1.6 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at the Government designated storage area located within 5 miles of the construction site.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Provide a system for property control in the salvage plan. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 14 00

WORK RESTRICTIONS
11/11, CHG 13: 08/21

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel; G

NUWCDIVNPT Visit Request; G

1.2 SPECIAL SCHEDULING REQUIREMENTS

a. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work. Specific items of work to which this requirement applies include:

(1) Two new overhead electric traveling bridge cranes each of 3-ton capacity.

b. The Building 114 will remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity.

c. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

d. The work under this contract requires special attention to the scheduling and conduct of the work in connection with existing operations. Identify on the construction schedule each factor which constitutes a potential interruption to operations.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Ensure all Contractor equipment, include delivery vehicles, are clearly identified with their company name.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors

including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 Additional Personnel Requirements

1.3.1.2.1 General Construction and Finish Work

General construction and finish work of the controlled area must be performed by U.S. firms using U.S. citizens. General construction includes construction activities such as building electrical utilities, structure, and enclosure or shell. Finish Work includes building furnishings, fixtures, and equipment; and mechanical and electrical services and equipment including those specialized for overhead electric traveling bridge cranes.

1.3.1.3 Installation Access

Obtain access to Navy installations through participation in the Defense Biometrics Identification System (DBIDS). Requirements for Contractor employee registration, and transition for employees currently under Navy Commercial Access Control System (NCACS), are available at https://www.cnic.navy.mil/om/dbids.html. No fees are associated with obtaining a DBIDS credential.

Participation in the DBIDS is mandatory, and Contractor personnel may not apply for One-Day Passes at the Base Visitor Control Office to access an installation.

1.3.1.3.1 Registration for DBIDS

Registration for DBIDS is available at https://www.cnic.navy.mil/om/dbids.html. Procedure includes:

a. Present a letter or official award document (i.e. DD Form 1155 or SF 1442) from the Contracting Officer, that provides the purpose for access, to the base Visitor Control Center representative.

b. Present valid identification, such as a passport or Real ID Act-compliant state driver's license.

c. Provide completed SECNAV FORM 5512/1 to the base Visitor Control Center representative to obtain a background check. This form is available for download at https://www.cnic.navy.mil/om/dbids.html.

d. Upon successful completion of the background check, the Government will complete the DBIDS enrollment process, which includes Contractor employee photo, fingerprints, base restriction and several other assessments.

e. Upon successful completion of the enrollment process, the Contractor employee will be issued a DBIDS credential, and will be allowed to proceed to worksite.

1.3.1.3.2 DBIDS Eligibility Requirements

Throughout the length of the contract, the Contractor employee must continue to meet background screen standards. Periodic background screenings are conducted to verify continued DBIDS participation and installation access privileges. DBIDS access privileges will be

immediately suspended or revoked if at any time a Contractor employee becomes ineligible.

An adjudication process may be initiated when a background screen failure results in disqualification from participation in the DBIDS, and Contractor employee does not agree with the reason for disqualification. The Government is the final authority.

1.3.1.3.3 DBIDS Notification Requirements

a. Immediately report instances of lost or stolen badges to the Contracting Officer.

b. Immediately collect DBIDS credentials and notify the Contracting Officer in writing under the following circumstances:

(1) An employee has departed the company without having properly returned or surrendered their DBIDS credentials.

(2) There is a reasonable basis to conclude that an employee, or former employee, might pose a risk, compromise, or threat to the safety or security of the Installation or anyone therein.

1.3.1.3.4 One-Day Passes

Personnel applying for One-Day passes at the Base Visitor Control Office are subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government is not responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in the DBIDS.

1.3.1.4 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Working Hours

Regular working hours will consist of an 8 1/2 hour period, between 7 a.m. and 3:30 p.m., Monday through Friday, excluding Government holidays.

1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, unless directed otherwise.

1.3.4 Occupied Building

The Contractor shall be working in an existing building which is occupied. Do not enter the building without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains.

The Government will remove Government property in the areas of the building scheduled to receive work. B114 existing crane 64 overhead electric traveling bridge crane shall be removed by the contractor.

1.3.5 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.

c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, and compressed air are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS.

d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

1.4 SECURITY REQUIREMENTS

Contract Clause FAR 52.204-2 Security Requirements and Alternate II and the following apply:

1.4.1 NUWCDIVNPT Visit Request

At least 15 days prior to the desired date of entry, submit, to NUWCDIVNPT Security, a list of personnel who require entry into Government property to perform work on the project. Furnish for each person:

a. Company Name, Address, Telephone, and FAX number

b. Cage code

c. Name

d. Social security number

e. Date and place of birth

f. Citizenship

g. Level of clearance required (UNCLASSIFIED)

h. Do they possess a CAC, Teslin, or DBIDs card? (Yes or No)

i. NUWCDIVNPT Point of contact (sponsor)

j. Date of visit, including year

k. Purpose of visit

l. Contract number

m. Name, title, and signature of company authorizing official

The request for personnel passes must be accompanied with the following certification:

"I hereby certify that all personnel on this list are either born U.S. citizens or naturalized U.S. citizens with the naturalization number shown."

1.4.1.1 Citizenship Requirements

Work under this contract is restricted to U.S. citizens.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES
11/20, CHG 2: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 1110-1-8	(2021) Engineering and Design -- Construction Equipment Ownership and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices; G

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

This Contract requires the use of a Schedule of Prices . Within 30 calendar days of notice of award, prepare and deliver to the Contracting Officer a Schedule of Prices as directed by the Contracting Officer. Provide a detailed breakdown of the Contract price, giving quantities for each of the various kinds of work, unit prices and extended prices. Contractor overhead and profit including salaries for field office personnel, if applicable, must be proportionately spread over all pay items and not included as individual pay items.

1.3.2 Payment Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer.

Additionally, the Schedule of Prices must be separated as follows:

a. Primary Facilities Cost Breakdown:

Defined as work on the primary facilities out to the 5 foot line. Work out to the 5 foot line includes construction encompassed within a theoretical line 5 foot from the face of exterior walls and includes attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 foot line.

b. Supporting Facilities Cost Breakdown:

Defined as site work, including incidental work, outside the 5 foot line.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause DFARS 252.236-7000 Modification Proposals-Price Breakdown, and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, base equipment use rates upon the applicable provisions of the EP 1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27 Prompt Payment for Construction Contracts and FAR 52.232-5 Payments Under Fixed-Price Construction Contracts. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies. The requests for payment shall include the documents listed below.

a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 must include certification by Quality Control (QC) Manager as required by the Contract.

b. The Estimate for Voucher/ Contract Performance Statement on NAVFAC Form 4330/54 furnished by the Government. Use NAVFAC Form 4330, unless otherwise directed by the Contracting Officer, on NAVFAC Contracts when a Monthly Estimate for Voucher is required.

c. Contractor's Monthly Estimate for Voucher and Contractors Certification (NAVFAC Form 4330) with Subcontractor and supplier payment certification. Other documents, including but not limited to, that need to be received prior to processing payment include the following submittals as required. These items are still required monthly even when a pay voucher is not submitted.

d. Monthly Work-hour report.

e. Updated Construction Progress Schedule and tabular reports required by the contract.

f. Contractor Safety Self Evaluation Checklist.

g. Updated submittal register.

h. Solid Waste Disposal Report.

i. Certified payrolls.

j. Updated testing logs.

k. Other supporting documents as requested.

1.5.2 Submission of Invoices

Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this Contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR FAR 32.103 Progress Payments Under Construction Contracts:

a. Reasonable deductions due to defects in material or workmanship;

b. Claims which the Government may have against the Contractor under or in connection with this Contract;

c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and

d. Failure to maintain accurate "as-built" or record drawings in accordance with FAR 52.236.21.

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the Contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.

b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment must be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

c. Materials to be considered for progress payment prior to installation must be specifically and separately identified in the Contractor's

estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this Contract. Requests for progress payment consideration for such items must be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.

d. Materials are adequately insured and protected from theft and exposure.

e. Provide a written consent from the surety company with each payment request for offsite materials.

f. Materials to be considered for progress payments prior to installation must be stored in the Continental United States within 50 miles of Naval Station Newport, Newport, RI. Other locations are subject to written approval by the Contracting Officer.

g. Materials in transit to the job site or storage site are not acceptable for payment.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
11/20, CHG 1: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map; G

Progress and Completion Pictures; G

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

Contractor cameras will require a camera use authorization pass issued by NUWC Security. Photographs may be restricted in certain areas at NUWC.

1.5 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by State law.

1.6 SUPERVISION

1.6.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

For projects where the superintendent is permitted to also serve as the Quality Control (QC) Manager as established in Section 01 45 00.00 20 QUALITY CONTROL, the superintendent must have qualifications in accordance with that section.

1.6.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work.In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

1.6.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.6.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

1.7 PRECONSTRUCTION MEETING

Prior to commencing any work at the site, coordinate with the Contracting

Officer a time and place to meet for the Preconstruction Meeting. The meeting must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this meeting is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, quality control, schedule of prices or earned value report, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

1.7.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), Quality Control Manager and major subcontractors.

1.8 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled NRZ Meetings beginning at approximately 75 percent of project completion. Include the following in the facility Turnover effort:

1.8.1 Red Zone Checklist

a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.

b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

1.8.2 Meetings

a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.

b. The Contracting Officer will establish the frequency of the meetings, which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.

c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.

d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.

e. Maintain the Red Zone Checklist by documenting the actual completion

dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

1.9 PARTNERING

To most effectively accomplish this Contract, the Contractor and Government must form a cohesive partnership with the common goal of drawing on the strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget and on schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, NAVFAC, PWD, FEAD/ROICC, NAVFAC PWD WHE Regional Program Office, Contractor, key subcontractors and the Designer of Record are required to participate in the Partnering process.

1.9.1 Team-Led (Informal) Partnering

a. The Contracting Officer will coordinate the initial Team-Led (Informal) Partnering Session with key personnel of the project team, including Contractor and Government personnel. The Partnering Session will be co-led by the Government Construction Manager and Contractor's Project Manager.

b. The Initial Team-led Partnering session may be held concurrently with the meeting. Partnering sessions will be held at a location mutually agreed to by the Contracting Officer and the Contractor, typically at a conference room on-base or at the Contractor's temporary trailer.

c. The Initial Team-Led Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by Contracting Officer.

d. The Partners will determine the frequency of the follow-on sessions.

e. Participants will bear their own costs for meals, lodging and transportation associated with Partnering.

1.10 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days after contract award. Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 31 23.13 20

ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT MANAGEMENT SYSTEM
05/17, CHG 7: 11/21

PART 1 GENERAL

1.1 CONTRACT ADMINISTRATION

Utilize the Naval Facilities Engineering Command's (NAVFAC's) Electronic Construction and Facility Support Contract Management System (eCMS) for the transfer, sharing, and management of electronic technical submittals and documents. The web-based eCMS is the designated means of transferring technical documents between the Contractor and the Government. Paper media or e-mail submission, including originals or copies, of the documents identified in Table 1 are not permitted, except where eCMS is unavailable, non-functional, or specifically requested in addition to electronic submission.

1.1.1 Format Naming Convention for Files Uploaded Into eCMS

Include the identification number of the document, the type of document, the name/subject or title, and for daily reports, the date (day of work) with format YYYY/MM/DD in the filename. For example, for RFI's, 0011_RFI_Roof_Leaking.doc; for submittals, 0032a_Submittals_Light_Fixture.pdf; for Daily Reports, 0132_Daily_Report_20190504.xls. Contact the Contracting Officer's Representative (COR) regarding availability of eCMS training and reference materials.

1.1.2 Uploading Documents Processed Outside of eCMS

When specifically requested to provide documents outside of eCMS, upload all final project documentation (e.g., documents that are signed and/or adjudicated by the Government) mentioned in Table 1 into eCMS by creating a record in the module associated with that document type and uploading the document(s). Subject/title of the record should include the type of record i.e., RFI/Submittal/Other, the identification number(s), and the statement "Processed Outside of eCMS". For example, "RFI 001-012 Processed Outside of eCMS".

1.2 USER PRIVILEGES

The Contractor will be provided access to eCMS. All technical submittals and documents must be transmitted to the Government via the COR. Project roles and system roles will be established to control each user's menu, application, and software privileges, including the ability to create, edit, or delete objects.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contractor's Personnel; G

1.4 SYSTEM REQUIREMENTS AND CONNECTIVITY

1.4.1 General

The eCMS requires a web-browser (platform-neutral) and Internet connection. Obtain from an approved vendor an External Certification Authority (ECA), Primary Key Infrastructure (PKI) certificate, or other similar digital identification to support two-factor authentication and access to eCMS. Provide and maintain computer hardware and software for the eCMS access throughout the duration of the contract for all Contractor-designated users. Provide connectivity, speed, bandwidth, and access to the Internet to ensure adequate functionality. 70 mbps download speed recommended, 40 mbps minimum for loading large files. Neither upgrading of the Contractor's computer system nor delays associated from the usage of the eCMS will be justification or grounds for a time extension or cost adjustment to the Contract.

1.4.2 Contractor Personnel List

Within 20 calendar days of contract award, provide to the Contracting Officer a list of Contractor's personnel who will have the responsibility for the transfer, sharing and management of electronic technical submittals and documents and will require access to the eCMS. Project personnel roles to be filled in the eCMS include the Contractor's Project Manager, Superintendent, Quality Control (QC) Manager, and Site Safety and Health Officer (SSHO). Personnel must be capable of electronic document management. Notify the COR immediately of any personnel changes to the project. The Contracting Officer reserves the right to perform a security check on all potential users. Provide the following information:

First Name
Last Name
E-mail Address
Office Address
Project Role (e.g. Project Manager, QC Manager, Superintendent)

1.5 SECURITY CLASSIFICATION

In accordance with Department of Navy guidance, all military construction contract data are unclassified, unless specified otherwise by a properly designated Original Classification Authority (OCA) and in accordance with an established Security Classification Guide (SCG). Refer to the project's OCA when questions arise about the proper classification of information.

The eCMS must only be used for the transaction of unclassified information associated with construction projects. In conformance with the Freedom of Information Act (FOIA), DoD INSTRUCTION 5200.48 CONTROLLED UNCLASSIFIED INFORMATION (CUI), and DoD requirements, any unclassified project documentation uploaded into the eCMS must be designated either "U - UNCLASSIFIED" (U) or "CUI - CONTROLLED UNCLASSIFIED INFORMATION" (CUI).

1.6 ECMS UTILIZATION

Establish, maintain, and update data and documentation in the eCMS throughout the duration of the contract.

Personally Identifiable Information (PII) transmittal is not permitted in the eCMS.

1.6.1 Information Security Classification/Identification

The eCMS must be used for the transmittal of the following documents. This requirement supersedes conflicting requirements in other sections, however, submittal review times in Section 01 33 00 SUBMITTAL PROCEDURES remain applicable. Table 1 - Project Documentation Types provides the appropriate U and CUI designations for various types of project documents. Construction documents requiring CUI status must be marked accordingly. Apply the appropriate markings before any document is uploaded into eCMS. Markings are not required on U documents.

Table 1 also identifies which eCMS application is to be used in the transmittal of data (these are subject to change based on the latest software configuration). If a designated application is not functional within 4 hours of initial attempt, defer to the Submittal application and submit the required data as an uploaded portable document (e.g. PDF), word processor, spreadsheet, drawing, or other appropriate format. Hard copy or e-mail submission of these items is acceptable only if eCMS is documented to be not available or not functional or specifically requested in addition to electronic submission. After uploading documents to the Submittal application, transmit the submittals and attachments to the COR via the Transmittal application. For Submittals, select the following:

Preparation by = Contractor personnel assigned to prepare the submittal
Approval by = Contracting Officer Representative (COR)
Returned by = Design Lead/Manager
Forwarded to = Contractor project manager

Table 1 - Project Documentation Types

SUBJECT/NAME	DESIG	REMARKS	ECMS APPLICATION
As-Built Drawings	U	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals and Transmittals
Building Information Modeling (BIM)	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Submittals and Transmittals

SUBJECT/NAME	DESIG	REMARKS	ECMS APPLICATION
Construction Permits	U	Refer to rules of the issuing activity, state or jurisdiction	Submittals and Transmittals
Construction Schedules (Activities and Milestones)	U	After the schedule submittal is approved by the COR, import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline	Submittals, Transmittals and Scheduling App
Construction Schedules (Cost-Loaded)	CUI	After the schedule submittal is approved by the COR, import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline	Submittals, Transmittals and Scheduling App
Construction Schedules (3-Week Lookahead)	U	Import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline	Scheduling App
DD 1354 Transfer of Real Property	U		Submittals and Transmittals
Daily Production Reports	CUI	Provide weather conditions, crew size, man-hours, equipment, and materials information	Daily Report
Daily Quality Control (QC) Reports	CUI	Provide QC Phase, Definable Features of Work Identify visitors	Daily Report
Designs and Specifications	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Submittals and Transmittals
Environmental Notice of Violation (NOV), Corrective Action Plan	U	Refer to rules of the issuing activity, state or jurisdiction	Submittals and Transmittals
Environmental Protection Plan (EPP)	CUI		Submittals and Transmittals

SUBJECT/NAME	DESIG	REMARKS	ECMS APPLICATION
Invoice (Supporting Documentation)	CUI	Applies to supporting documentation only. Invoices are submitted in Wide-Area Workflow (WAWF)	Submittals and Transmittals
Jobsite Documentation, Bulletin Board, Labor Laws, SDS	U		Submittals and Transmittals
Meeting Minutes	CUI		Meeting Minutes
Modification Documents	CUI	Provide final modification documents for the project. Upload into "Modifications - RFPs	Document Management
Operations & Maintenance Support Information (OMSI/eOMSI), Facility Data Worksheet	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Submittals and Transmittals
Photographs	U	Subject to base/installation restrictions	Submittals and Transmittals
QCM Initial Phase Checklists	CUI		Checklists (Site Management)
QCM Preparatory Phase Checklists	CUI		Checklists (Site Management)
Quality Control Plans	CUI		Submittals and Transmittals
QC Certifications	U		Submittals and Transmittals
QC Punch List	U		Punch Lists (Testing Logs)
Red-Zone Checklist	U		Checklists (Site Management)
Rework Items List	CUI		Punch Lists (Testing Logs)

SUBJECT/NAME	DESIG	REMARKS	ECMS APPLICATION
Request for Information (RFI) Post-Award	CUI		RFIs
Safety Plan	CUI		Daily Report
Safety - Activity Hazard Analyses (AHA)	CUI		Daily Report
Safety - Mishap Reports	CUI		Daily Report
SCIF/SAPF Accreditation Support Documents	CUI	Note: Some Construction Security plans may be classified as Secret. Classified information must not be uploaded into eCMS. Refer to the Site Security Manager, as applicable.	Submittals and Transmittals
Shop Drawings	U	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Submittals and Transmittals
Storm Water Pollution Prevention (Notice of Intent - Notice of Termination)	U	Refer to rules of the issuing activity, state or jurisdiction	Submittals and Transmittals
Submittals and Submittal Log	U		Submittals and Transmittals
Testing Plans, Logs, and Reports	CUI		Submittals and Transmittals
Training/Reference Materials	U		Submittals and Transmittals
Training Records (Personnel)	CUI		Submittals and Transmittals
Utility Outage/Tie-In Request/Approval	CUI		Submittals and Transmittals
Warranties/BOD Letter	CUI		Submittals and Transmittals

SUBJECT/NAME	DESIG	REMARKS	ECMS APPLICATION
Quality Assurance Reports	CUI		Checklists (Government initiated)
Non-Compliance Notices	CUI		Non-Compliance Notices (Government initiated)
Other Government-prepared documents	CUI		GOV ONLY
All Othere Documents	CUI	Refer to FOIA guidelines and contact the FOIA official to determine whether exemptions exist	As applicable

1.6.2 Markings on CUI documents

a. Only CUI documents being electronically uploaded into the eCMS (.docx, .xlsx, .ppt and others as appropriate), and associated paper documents described in the paragraph CONTRACT ADMINISTRATION require CUI markings as indicated in the subparagraphs below.

b. CUI documents that are originally created within the eCMS application using the web-based forms (RFIs, Daily Reports, and others as appropriate) will be automatically watermarked by the eCMS software, and these do not require additional markings.

c. CUI documents must be marked "CONTROLLED UNCLASSIFIED INFORMATION" at the bottom of the outside of the front cover (if there is one), the title page, the first page, and the outside of the back cover (if there is one).

d. CUI documents must be marked on the internal pages of the document as "CONTROLLED UNCLASSIFIED INFORMATION" at top and bottom.

e. Where Installations require digital photographs to be designated CUI, place the markings on the face of the photograph.

f. For visual documentation, other than photographs and audio documentation, mark with either visual or audio statements as appropriate at both the beginning and end of the file.

1.7 QUALITY ASSURANCE

Requested Government response dates on Transmittals and Submittals must be in accordance with the terms and conditions of the Contract. Requesting response dates earlier than the required review and response time, without concurrence by the Government COR, may be cause for rejection.

Incomplete submittals will be rejected without further review and must be resubmitted. Required Government response dates for resubmittals must reflect the date of resubmittal, not the original submittal date.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES
08/18, CHG 1: 08/20

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Baseline Construction Schedule; G

Baseline Design Schedule; G

SD-07 Certificates

Monthly Updates; G

1.2 PRE-CONSTRUCTION SCHEDULE REQUIREMENT

Within 30 calendar days after contract award and prior to the start of work, prepare and submit to the Contracting Officer a Baseline Construction Schedule in the form of a Network Analysis Schedule (NAS) Bar Chart Schedule in accordance with the terms in Contract Clause FAR 52.236-15 Schedules for Construction Contracts, except as modified in this contract. The approval of a Baseline Construction Schedule is a condition precedent to:

a. The Contractor starting demolition work or construction stage(s) of the contract.

b. Processing Contractor's invoice(s) for construction activities/items of work.

c. Review of any schedule updates.

Submittal of the Baseline Construction Schedule, and subsequent schedule updates, is understood to be the Contractor's certification that the submitted schedule meets the requirements of the Contract Documents, represents the Contractor's plan on how the work will be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 SCHEDULE FORMAT

1.3.1 Bar Chart Schedule

The Bar Chart must, as a minimum, show work activities, submittals, Government review periods, material/equipment delivery, utility outages, on-site construction, inspection, testing, and closeout activities. The Bar Chart must be time scaled and generated using an electronic spreadsheet program.

1.3.2 Schedule Submittals and Procedures

Submit Schedules and updates in hard copy and on electronic media that is acceptable to the Contracting Officer. Submit an electronic back-up of the project schedule in an import format compatible with the Government's scheduling program.

1.4 SCHEDULE MONTHLY UPDATES

Update the Construction Schedule at monthly intervals or when the schedule has been revised. Keep the updated schedule current, reflecting actual activity progress and plan for completing the remaining work. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

a. Narrative Report: Identify and justify the following:

(1) Progress made in each area of the project;

(2) Longest Path: Include printed copy on 11 by 17 inch paper, landscape setting;

(3) Date/time constraint(s), other than those required by the contract;

(4) Listing of changes made between the previous schedule and current updated schedule including: added or removed activities, original and remaining durations for activities that have not started, logic (sequence, constraint, lag/lead), milestones, planned sequence of operations, longest path, calendars or calendar assignments, and cost loading.

(5) Any decrease in previously reported activity Earned Amount;

(6) Pending items and status thereof, including permits, changes orders, and time extensions;

(7) Status of Contract Completion Date and interim milestones;

(8) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);

(9) Description of current and future schedule problem areas.

For each entry in the narrative report, cite the respective Activity ID and Activity Name, the date and reason for the change, and description of the change.

1.5 3-WEEK LOOK AHEAD SCHEDULE

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Construction Schedule. Key the work plans to activity numbers when a NAS is required and update each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Construction Schedule on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as

directed by the Contracting Officer. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Deliver three hard copies and one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, and review during the weekly CQC Coordination or Production Meeting.

1.6 CORRESPONDENCE AND TEST REPORTS:

Correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs) must reference Schedule Activities that are being addressed. Test reports (e.g., concrete, soil compaction, weld, pressure) must reference Schedule Activities that are being addressed.

1.7 ADDITIONAL SCHEDULING REQUIREMENTS

Any references to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to all requirements of this section.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18, CHG 4: 02/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or commencing with the start of work on site.

For Government approved division 01 preconstruction submittals that are required prior to or commencing with the start of work shall be submitted within 30 calendar days of contract award unless specified elsewhere in the specifications. For contractor approved division 01 submittals that are required prior to or commencing with the start of work shall be submitted within 45 calendar days of contract award unless specified elsewhere in the specifications.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Baseline Network Analysis Schedule (NAS)

Submittal Register

Schedule Of Prices Or Earned Value Report

Accident Prevention Plan

Work Plan

Quality Control (QC) plan

Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS)concerning impedances, hazards and safety precautions.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register; G

1.3 SUBMITTAL CLASSIFICATION

1.3.1 Government Approved (G)

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.3.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

As soon as practicable after award of contract, and before procurement or fabrication, forward to the Commander, NAVFAC MIDLANTEngineer submittals required in the technical sections of this specification, including shop drawings, product data and samples. In addition, forward a copy of the submittals to the Contracting Officer.

1.4.1 O&M Data

Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the items to which such O&M data apply.

1.4.2 Submittals Reserved for NAVFAC Midlant Approval

As an exception to the standard submittal procedure for Government Approval, submit the following to the Commander, NAVFAC Fire Protection Engineer:

b. Section 21 13 13 Wet Pipe Sprinklers: All fire protection system submittals

1.5 PREPARATION

1.5.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to the office of the approving authority using the transmittal form prescribed by the Contracting Officer. Include all information prescribed by the transmittal form and required in paragraph IDENTIFYING SUBMITTALS. Use the submittal transmittal forms to record actions regarding samples.

1.5.2 Identifying Submittals

The Contractor's Quality Control Manager must prepare, review and stamp submittals, including those provided by a subcontractor, before submittal to the Government.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

a. Project title and location

b. Construction contract number

c. Dates of the drawings and revisions

d. Name, address, and telephone number of Subcontractor, supplier, manufacturer, and any other Subcontractor associated with the submittal.

e. Section number of the specification by which submittal is required

f. Submittal description (SD) number of each component of submittal

g. For a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission

h. Product identification and location in project.

1.5.3 Submittal Format

1.5.3.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.3.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Present shop drawings sized 8 1/2 by 11 inches as part of the bound volume for submittals. Present larger drawings in sets. Submit an electronic copy of drawings in PDF format.

1.5.3.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number

of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

1.5.3.3 Format of SD-03 Product Data

Present product data submittals for each section as a complete, bound volume. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.5.3.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.5.3.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.5.3.4 Format of SD-04 Samples

1.5.3.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.5.3.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.5.3.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.5.3.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.5.3.6 Format of SD-06 Test Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report

pertains.

1.5.3.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.5.3.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section as a complete, bound volume. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.3.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.9 Format of SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.5.3.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.4 Source Drawings for Shop Drawings

1.5.4.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.4.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5.5 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the AMRDEC SAFE Web Application located at the following website: https://safe.amrdec.army.mil/safe/.

1.6 QUANTITY OF SUBMITTALS

1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.6.2 Number of SD-02 Shop Drawing Copies

Submit six copies of submittals of shop drawings requiring review and approval by a QC organization. Submit seven copies of shop drawings requiring review and approval by the Contracting Officer.

1.6.3 Number of SD-03 Product Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.4 Number of SD-04 Samples

a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.6.5 Number of SD-05 Design Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.6 Number of SD-06 Test Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings, other than field test results that will be submitted with QC reports.

1.6.7 Number of SD-07 Certificate Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.8 Number of SD-08 Manufacturer's Instructions Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.9 Number of SD-09 Manufacturer's Field Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC reports.

1.6.10 Number of SD-10 Operation and Maintenance Data Copies

Submit five copies of O&M data to the Contracting Officer for review and approval.

1.6.11 Number of SD-11 Closeout Submittals Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.7 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Appendix A - Submittal Register."

1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is

only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Column (f): Lists the approving authority for each submittal.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.8.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.8.5 Action Codes

1.8.5.1 Government Review Action Codes

"A" - "Approved as submitted"

"AN" - "Approved as noted"

"RR" - "Disapproved as submitted"; "Completed"

"NR" - "Not Reviewed"

"RA" - "Receipt Acknowledged"

1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.9.2 Proposing Variations

When proposing variation, deliver a submittal, clearly marked as a "VARIATION" to the Contracting Officer, with documentation illustrating the nature and features of the variation including any necessary technical submittals and why the variation is desirable and beneficial to Government. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

The Contracting Officer will indicate an approval or disapproval of the variation request; and if not approved as submitted, will indicate the Government's reasons therefore. Any work done before such approval is received is performed at the Contractor's risk."

Specifically point out variations from contract requirements in a variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional

cost to the Government.

1.9.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.9.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 working days will be allowed for the Government to consider submittals with variations.

1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. Allow an additional 10 working days for review and approval of submittals for refrigeration and HVAC control systems.

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

d. Except as specified otherwise, allow a review period, beginning with receipt by the approving authority, that includes at least 15 working days for submittals for QC manager approval and 20 working days for submittals where the Contracting Officer is the approving authority. The period of review for submittals with Contracting Officer approval begins when the Government receives the submittal from the QC organization.

e. For submittals requiring review by a Government fire protection engineer, allow a review period, beginning when the Government receives the submittal from the QC organization, of 30 working days for return of the submittal to the Contractor.

1.10.1 Reviewing, Certifying, and Approving Authority

The QC Manager is responsible for reviewing all submittals and certifying

that they are in compliance with contract requirements. The approving authority on submittals is the QC Manager unless otherwise specified. At each "Submittal" paragraph in individual specification sections, a notation "G" following a submittal item indicates that the Contracting Officer is the approving authority for that submittal item. Provide an additional copy of the submittal to the Government Approving authority

1.10.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of the work. At the same time, submit components of definable features that are interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, the submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of the assembly in which the item functions.

1.10.3 QC Organization Responsibilities

a. Review submittals for conformance with project design concepts and compliance with contract documents.

b. Process submittals based on the approving authority indicated in the submittal register.

(1) When the QC manager is the approving authority, take appropriate action on the submittal from the possible actions defined in paragraph APPROVED SUBMITTALS.

(2) When the Contracting Officer is the approving authority or when variation has been proposed, forward the submittal to the Government, along with a certifying statement, or return the submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of the submittal determines the appropriate action.

c. Ensure that material is clearly legible.

d. Stamp each sheet of each submittal with a QC certifying statement or an approving statement, except that data submitted in a bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

(1) When the approving authority is the Contracting Officer, the QC organization will certify submittals forwarded to the Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with Contract Number (_____) is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Certified by QC Manager _____, Date _____"
(Signature)

(2) When approving authority is the QC manager, the QC manager will use the following approval statement when returning submittals to the Contractor as "Approved" or "Approved as Noted."

"I hereby certify that the (material) (equipment) (article) shown and marked in this submittal and proposed to be incorporated with Contract Number (_____) is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is approved for use.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Approved by QC Manager _____, Date _____"
(Signature)

e. Sign the certifying statement or approval statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.

f. Update the submittal register as submittal actions occur, and maintain the submittal register at the project site until final acceptance of all work by the Contracting Officer.

g. Retain a copy of approved submittals and approved samples at the project site.

h. For "S" submittals, provide a copy of the approved submittal to the Government Approving authority.

1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received from the QC manager.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. The submittal will be retained by the Contracting Officer and an electronic copy of the submittal will be returned to the Contractor.

1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or

equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
11/20, CHG 2: 11/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B30.3	(2020) Tower Cranes
ASME B30.5	(2018) Mobile and Locomotive Cranes
ASME B30.7	(2016) Winches
ASME B30.8	(2020) Floating Cranes and Floating Derricks
ASME B30.9	(2018) Slings
ASME B30.20	(2018) Below-the-Hook Lifting Devices
ASME B30.22	(2016) Articulating Boom Cranes
ASME B30.23	(2016) Personnel Lifting Systems Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings
ASME B30.26	(2015; R 2020) Rigging Hardware

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.22	(2007; R 2017) Safety Requirements for Rope-Guided and Non-Guided Workers’ Hoists
ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSP Z244.1	(2016) The Control of Hazardous Energy Lockout, Tagout and Alternative Methods
ASSP Z359.0	(2018) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSP Z359.1	(2020) The Fall Protection Code
ASSP Z359.2	(2017) Minimum Requirements for a Comprehensive Managed Fall Protection

	Program
ASSP Z359.3	(2019) Safety Requirements for Lanyards and Positioning Lanyards
ASSP Z359.4	(2013) Safety Requirements for Assisted-Rescue and Self-Rescue Systems, Subsystems and Components
ASSP Z359.6	(2016) Specifications and Design Requirements for Active Fall Protection Systems
ASSP Z359.7	(2019) Qualification and Verification Testing of Fall Protection Products
ASSP Z359.11	(2014) Safety Requirements for Full Body Harnesses
ASSP Z359.12	(2019) Connecting Components for Personal Fall Arrest Systems
ASSP Z359.13	(2013) Personal Energy Absorbers and Energy Absorbing Lanyards
ASSP Z359.14	(2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
ASSP Z359.15	(2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
ASSP Z359.16	(2016) Safety Requirements for Climbing Ladder Fall Arrest Systems
ASSP Z359.18	(2017) Safety Requirements for Anchorage Connectors for Active Fall Protection Systems

ASTM INTERNATIONAL (ASTM)

ASTM F855	(2019) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA Z535.2	(2011; R 2017) Environmental and Facility Safety Signs

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10	(2018; ERTA 1-2 2018) Standard for Portable Fire Extinguishers
NFPA 51B	(2019; TIA 20-1) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 70E	(2021) Standard for Electrical Safety in the Workplace
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-222	(2018H; Add 1 2019) Structural Standard for Antenna Supporting Structures and Antennas and Small Wind Turbine Support Structures
TIA-1019	(2012; R 2016) Standard for Installation, Alteration and Maintenance of Antenna Supporting Structures and Antennas

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.333	Selection and Use of Work Practices
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1915.89	Control of Hazardous Energy (Lockout/Tags-Plus)
29 CFR 1926	Safety and Health Regulations for Construction

29 CFR 1926.16	Rules of Construction
29 CFR 1926.450	Scaffolds
29 CFR 1926.500	Fall Protection
29 CFR 1926.552	Material Hoists, Personal Hoists, and Elevators
29 CFR 1926.553	Base-Mounted Drum Hoists
29 CFR 1926.1400	Cranes and Derricks in Construction
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 02-01-056	(2014) Inspection Procedures for Accessing Communication Towers by Hoist
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA’s Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.4 Competent Person, Excavation/Trenching

A CP, Excavation/Trenching, is a person meeting the competent person

requirements as defined in EM 385-1-1 Appendix Q and 29 CFR 1926, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the excavation/trenching program, who through training, knowledge and experience in excavation/trenching is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.5 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSP Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.6 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented including experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

1.2.7 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the training material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

1.2.8 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

1.2.9 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or

high visibility.

1.2.10 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists and power operated equipment used with rigging to raise, lower or horizontally move a load).

1.2.11 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even when provided by a physician or registered personnel.

1.2.12 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

1.2.13 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

1.2.14 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.2.15 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the definition requirements of EM 385-1-1 Appendix Q, and ASSP Z359.2 standard, having a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

1.2.16 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

a. Death, regardless of the time between the injury and death, or the length of the illness;

b. Days away from work (any time lost after day of injury/illness onset);

c. Restricted work;

d. Transfer to another job;

e. Medical treatment beyond first aid;

f. Loss of consciousness; or

g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above

1.2.17 Government Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

1.2.18 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Document an LHE mishap or accident using the NAVFAC prescribed Navy Crane Center (NCC) accident form.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

APP - Construction; G

Accident Prevention Plan (APP); G

SD-06 Test Reports

Monthly Exposure Reports; G

Notifications and Reports; G

Accident Reports; G

LHE Inspection Reports; G

SD-07 Certificates

Contractor Safety Self-Evaluation Checklist

Crane Operators/Riggers

Standard Lift Plan; G

Critical Lift Plan ; G

Activity Hazard Analysis (AHA; G)

Confined Space Entry Permit; G

Hot Work Permit; G

Certificate of Compliance; G

License Certificates

Radiography Operation Planning Work Sheet; G

Portable Gauge Operations Planning Worksheet; G

1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

1.5 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

Contracting Officer will provide a "Contractor Safety Self-Evaluation checklist" to the Contractor at the pre-construction meeting. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher. The Contractor Safety Self-Evaluation checklist can be found on the Whole Building Design Guide website at www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-35-26

1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6.1 Subcontractor Safety Requirements

For this Contract, neither Contractor nor any subcontractor may enter into Contract with any subcontractor that fails to meet the following requirements. The term subcontractor in this and the following paragraphs means any entity holding a Contract with the Contractor or with a subcontractor at any tier.

1.6.1.1 Experience Modification Rate (EMR)

Subcontractors on this Contract must have an effective EMR less than or equal to 1.10, as computed by the National Council on Compensation Insurance (NCCI) or if not available, as computed by the state agency's rating bureau in the state where the subcontractor is registered, when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable EMR range cannot be achieved. Relaxation of the EMR range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain the certified EMR ratings for all subcontractors on the project and make them available to the Government at the Government's request.

1.6.1.2 OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate

Subcontractors on this Contract must have a DART rate, calculated from the most recent, complete calendar year, less than or equal to 3.4 when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The OSHA Dart Rate is calculated using the following formula:

(N/EH) x 200,000

where:

N = number of injuries and illnesses with days away, restricted work, or job transfer

EH = total hours worked by all employees during most recent, complete calendar year

200,000 = base for 100 full-time equivalent workers (working 40 hours per week, 50 weeks per year)

The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable OSHA Dart rate range cannot be achieved for a particular subcontractor. Relaxation of the OSHA DART rate range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain self-certified OSHA DART rates for all subcontractors on the project and make them available to the Government at the Government's request.

1.7 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.7.1 Personnel Qualifications

1.7.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Alternate SSHO must be

at the work site at all times to implement and administer the Contractor's safety program and Government-accepted Accident Prevention Plan. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

1.7.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may also serve as the Quality Control Manager. The SSHO may not serve as the Superintendent.

1.7.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted Accident Prevention Plan, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the Contracting Officer for information in consultation with the Safety Office.

1.7.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

1.7.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

1.7.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

1.7.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the

following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics, and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

a. Prepare class presentations that cover construction-related safety requirements.

b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.

c. Update training course materials whenever an update of the EM 385-1-1 becomes available.

d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.

e. Request, review and incorporate student feedback into a continuous course improvement program.

1.7.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators qualified by a source that qualifies crane operators (i.e., union, a Government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification.

1.7.2 Personnel Duties

1.7.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.

b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.

c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.

d. Maintain applicable safety reference material on the job site.

e. Attend the pre-construction meeting, pre-work meetings including preparatory meetings, and periodic in-progress meetings.

f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.

g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.

h. Ensure subcontractor compliance with safety and health requirements.

i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).

j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.

k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above or any other required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.7.3 Meetings

1.7.3.1 Preconstruction Meeting

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction meeting. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.7.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.8 ACCIDENT PREVENTION PLAN (APP)

1.8.1 APP - Construction

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction meeting for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area,

secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

1.8.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.

b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance.

1.8.3 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

1.8.3.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this Contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by Contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

1.8.3.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of three months.

1.8.3.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01,

using Form 16-3. In addition, Critical Lift Plans are required for the following:

a. Lifts over 50 percent of the capacity of barge mounted mobile crane's hoist.

b. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.

c. For lifts with anticipated binding conditions.

d. When erecting cranes.

1.8.3.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

1.8.3.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

1.8.3.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

1.8.3.5 Fall Protection and Prevention (FP&P) Plan

The plan must be in accordance with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the Fall Protection and Prevention Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Plan documentation at the job site for the duration of the project. Include the Fall Protection and Prevention Plan documentation in the Accident Prevention Plan (APP).

1.8.3.6 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

1.8.3.7 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSP Z244.1, and ASSP A10.44. Submit this HECP as part of the Accident Prevention Plan (APP). Conduct a preparatory meeting and inspection with all effected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1, Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

1.8.3.8 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with Section 02 41 00 DEMOLITION AND DECONSTRUCTION and referenced sources. Include engineering survey as applicable.

1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must

review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.10 DISPLAY OF SAFETY INFORMATION

1.10.1 Safety Bulletin Board

Prior to commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

a. Confined space entry permit.

b. Hot work permit.

1.10.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

a. Date deficiency identified;

b. Description of deficiency;

c. Name of person responsible for correcting deficiency;

d. Projected resolution date;

e. Date actually resolved.

1.11 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.12 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

1.13 NOTIFICATIONS and REPORTS

1.13.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than

twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than four hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; Contractt title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

1.13.2 Accident Reports

a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Complete and submit an accident investigation report in ESAMS within 5 days for mishaps defined in EM 385-1-1 01.D.03 and 10 days for accidents defined by EM 385-1-1 01.D.05. Complete an investigation report within 30 days for those mishaps defined by EM 385-1-1 01.D.04. Mishaps defined by EM 385-1-1 01.D.04 and 01.D.05 must include a written report submitted as an attachment in ESAMS using the following outline: (1) Mishap summary description to include process, findings and outcomes; (2) Root Cause; (3) Direct Factors; (4) Indirect and Contributing Factors; (5) Corrective Actions; and (6) Recommendations. The Contracting Officer will provide copies of any required or special forms.

b. Near Misses: For Navy Projects, complete the applicable documentation in NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Near miss reports are considered positive and proactive Contractor safety management actions.

c. Conduct an accident investigation for any load handling equipment accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

1.13.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.13.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.14 HOT WORK

1.14.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the Fire Division. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Fire Division phone number. REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE RESPONSIBLE FIRE DIVISION IMMEDIATELY.

1.14.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist, or Certified Industrial Hygienist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

1.15 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO), and Contracting Oversight Technician

(COT) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on Government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

1.15.1 Radiography Operation Planning Work Sheet

Submit a Gamma and X-Ray Radiography Operation Planning Work Sheet to Contracting Officer 14 days prior to commencement of operations involving radioactive materials or radiation generating devices. For portable machine sources of ionizing radiation, including moisture density and XRF, use and submit the Portable Gauge Operations Planning Worksheet instead. The Contracting Officer and COT will review the submitted worksheet and provide questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

1.15.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer and COT for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Navy COT or Government authorized representative will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Navy COT or Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records, and utilization records to the COT for radiological operations performed on the site.

1.15.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

1.15.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

1.15.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

1.15.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety Officer (RSO) of any Radioactive Material use.

1.15.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

1.15.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

1.16 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

1.16.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

1.16.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

1.16.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible

alarm for toxic gas detection.

1.16.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

1.17 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

PART 2 PRODUCTS

2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers for new permit-required confined spaces. Signs for confined spaces must comply with NEMA Z535.2. Provide signs with wording: "DANGER--PERMIT-REQUIRED CONFINED SPACE, DO NOT ENTER" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" must be red and readable from 5 feet.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Hard Hat

b. Long Pants

c. Appropriate Safety Shoes

d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this Contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

3.1.3 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.4 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 15 calendar days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where

outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECP and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, the NUWC Facility Liason, and the Public Utilities representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSP A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate

the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSP Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

3.5.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards and using personal fall protection equipment. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSP Z359.2 in the AHA.

3.5.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M,ASSP Z359.0, ASSP Z359.1, ASSP Z359.2, ASSP Z359.3, ASSP Z359.4, ASSP Z359.6, ASSP Z359.7, ASSP Z359.11, ASSP Z359.12, ASSP Z359.13, ASSP Z359.14, ASSP Z359.15, ASSP Z359.16 and ASSP Z359.18.

3.5.2.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1, Sections 21.O through 21.O.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition,

personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.2.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

3.5.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

a. Low Sloped Roofs:

(1) For work within 6 feet from unprotected edge of a roof having a slope less than or equal to 4:12 (vertical to horizontal), protect personnel from falling by the use of conventional fall protection systems (personal fall arrest/restraint systems, guardrails, or safety nets) in accordance with EM 385-1-1, Section 21 and 29 CFR 1926.500. A safety monitoring system is not adequate fall protection and is not authorized.

(2) For work greater than 6 feet from the unprotected roof edge, addition to the use of conventional fall protection systems the use of a warning line system is also permitted, in accordance with 29 CFR 1926.500 and EM 385-1-1, Section 21.L.

b. Steep-Sloped Roofs: Work on a roof having a slope greater than 4:12 (vertical to horizontal) requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also applies to residential or housing type construction.

3.5.4 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person

for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

3.5.5 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

3.5.6 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP). The plan must be in accordance with the requirements of EM 385-1-1, ASSP Z359.2, and ASSP Z359.4.

3.6 WORK PLATFORMS

3.6.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.

b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.

c. An adequate gate is required.

d. Employees performing scaffold erection and dismantling must be qualified.

e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted fall protection and prevention plan.

f. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.

g. Special care must be given to ensure scaffold systems are not overloaded.

h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the

smallest dimension of the scaffold base.

i. Scaffolding other than suspended types must bear on base plates upon wood mudsills (2 in x 10 in x 8 in minimum) or other adequate firm foundation.

j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than 6 feet.

k. Delineate fall protection requirements when working above 6 feet or above dangerous operations in the Fall Protection and Prevention (FP&P) Plan and Activity Hazard Analysis (AHA) for the phase of work.

3.6.2 Elevated Aerial Work Platforms (AWPs)

Workers must be anchored to the basket or bucket in accordance with manufacturer's specifications and instructions (anchoring to the boom may only be used when allowed by the manufacturer and permitted by the CP). Lanyards used must be sufficiently short to prohibit worker from climbing out of basket. The climbing of rails is prohibited. Lanyards with built-in shock absorbers are acceptable. Self-retracting devices are not acceptable. Tying off to an adjacent pole or structure is not permitted unless a safe device for 100 percent tie-off is used for the transfer.

Use of AWPs must be operated, inspected, and maintained as specified in the operating manual for the equipment and delineated in the AHA. Operators of AWPs must be designated as qualified operators by the Prime Contractor. Maintain proof of qualifications on site for review and include in the AHA.

3.7 EQUIPMENT

3.7.1 Material Handling Equipment (MHE)

a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.

b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.

c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

3.7.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to crane that enter the activity

but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during such operations must be reported.

a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.

b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Prior to cranes entering federal activities, a Crane Access Permit must be obtained from the Contracting Officer. A copy of the permitting process will be provided at the Preconstruction Meeting. Contractor's operator must remain with the crane during the spot check. Rigging gear must be in accordance with OSHA, ASME B30.9 Standards.

c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.

d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.

e. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.

f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.

g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.

h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.

i. Use cribbing when performing lifts on outriggers.

j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.

k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching or crushing personnel.

l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other

identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.

m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.

n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).

o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if applicable. Include this maximum wind speed determination as part of the activity hazard analysis plan for that operation.

p. On mobile cranes, lifts where the load weight is greater than 90 percent of the equipment's capacity are prohibited.

q. Follow FAA guidelines when required based on project location.

3.7.3 Machinery and Mechanized Equipment

a. Proof of qualifications for operator must be kept on the project site for review.

b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

3.7.4 Base Mounted Drum Hoists

a. Operation of base mounted drum hoists must be in accordance with EM 385-1-1 and ASSP A10.22.

b. Rigging gear must be in accordance with applicable ASME/OSHA standards.

c. When used on telecommunication towers, base mounted drum hoists must be in accordance with TIA-1019, TIA-222, ASME B30.7, 29 CFR 1926.552, and 29 CFR 1926.553.

d. When used to hoist personnel, the AHA must include a written standard operating procedure. Operators must have a physical examination in accordance with EM 385-1-1 Section 16.B.05 and trained, at a minimum, in accordance with EM 385-1-1 Section 16.U and 16.T. The base mounted drum hoist must also comply with OSHA Instruction CPL 02-01-056 and ASME B30.23.

e. Material and personnel must not be hoisted simultaneously.

f. Personnel cage must be marked with the capacity (in number of persons) and load limit in pounds.

g. Construction equipment must not be used for hoisting material or personnel or with trolley/tag lines. Construction equipment may be used for towing and assisting with anchoring guy lines.

3.7.5 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.8 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

3.8.1 Utility Locations

Provide a third party, independent, private utility locating company to positively identify underground utilities in the work area in addition to any station locating service and coordinated with the station utility department.

3.8.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within 3 feet of the underground system.

3.8.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever Contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third party, independent, private locating company. The third party, independent, private locating company must locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the Contractor from meeting this requirement.

3.9 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Sections 11 and 12.

3.9.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a

de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

3.9.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

3.9.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.9.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

3.9.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
05/24

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)
555 12th Street NW, Suite 1000
Washington, DC 20004
Ph: 202-624-5800
Fax: 202-624-5806
E-Mail: info@aashto.org
Internet: https://www.transportation.org/

AMERICAN GEAR MANUFACTURERS ASSOCIATION (AGMA)
1001 N. Fairfax Street, Suite 500
Alexandria, VA 22314-1587
Ph: 703-684-0211
Fax: 703-684-0242
E-mail: tech@agma.org
Internet: https://www.agma.org/

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
130 East Randolph, Suite 2000
Chicago, IL 60601
Ph: 312-670-2400
Fax: 312-670-5403
Steel Solutions Center: 866-275-2472
E-mail: solutions@aisc.org
Internet: https://www.aisc.org/

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)
1201 Dublin Road Suite G04

Columbus, OH 43215-1045
Ph: 800-222-2768 or 614-274-6003

E-mail: customersupport@asnt.org

Internet: https://www.asnt.org/

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300
Email: customercare@asce.org
Internet: https://www.asce.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
180 Technology Parkway NW
Peachtree Corners, GA 30092
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)
Two Park Avenue
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: https://www.asme.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 W. Quincy Avenue
Denver, CO 80235 USA
Ph: 303-794-7711 or 800-926-7337
Fax: 303-347-0804
Internet: https://www.awwa.org/

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130
Miami, FL 33166-6672
Ph: 800-443-9353
Email: customercare@aws.org
Internet: https://www.aws.org/

ASSOCIATED AIR BALANCE COUNCIL (AABC)
1015 18th St. NW Suite 603
Washington, DC 20036
Ph: 202-737-0202
Fax: 202-315-0285
E-mail: info@aabc.com
Internet: https://www.aabc.com/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500

Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

BRITISH STANDARDS INSTITUTION (BSI)
Ph: +44 345-080-9000
E-mail: cservices@bsigroup.com
Internet: https://www.bsigroup.com/

CRANE MANUFACTURERS ASSOCIATION OF AMERICA (CMAA)
8720 Red Oak Boulevard, Suite 201
Charlotte, NC 28217-3992
Ph: 704-676-1190
Fax: 704-676-1199
Internet: http://www.mhi.org/cmaa

GREEN SEAL (GS)
601 13th St NW 12th Floor
Washington, DC 20005
Ph: 202-872-6400
Fax: 202-872-4324
E-mail: greenseal@greenseal.org
Internet: https://www.greenseal.org/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)
3050 Old Centre Ave. Suite 101
Portage, MI 49024
Ph: 269-488-6382 or 1-888-300-6382
Fax: 269-488-6383
Internet: https://www.netaworld.org/

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)
3, rue de Varembe, 1st floor
P.O. Box 131
CH-1211 Geneva 20, Switzerland
Ph: 41-22-919-02-11
Fax: 41-22-919-03-00
E-mail: info@iec.ch
Internet: https://www.iec.ch/

MATERIAL HANDLING INDUSTRY OF AMERICA (MHI)
8720 Red Oak Blvd., Suite 201
Charlotte, NC 28217-3996
Ph: 704-676-1190
Fax: 704-676-1199
Internet: http://www.mhi.org

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)
1201 Pennsylvania Ave. NW, Suite 1200
Washington, DC 20004

Ph: 202-991-6300
Fax: 202-217-4171
Internet: https://www.necanet.org/

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Email: communications@nema.org
Internet: https://www.nema.org

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)
8575 Grovemont Circle
Gaithersburg, MD 20877
Ph: 301-977-3698
Fax: 301-977-9589
Internet: http://www.nebb.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

RESEARCH COUNCIL ON STRUCTURAL CONNECTIONS (RCSC)
E-Mail: info@boltcouncil.org
Internet: http://www.boltcouncil.org

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)
4201 Lafayette Center Drive
Chantilly, VA 20151-1219
Ph: 703-803-2980
Fax: 703-803-3732
Internet: https://www.smacna.org/

SOCIETY FOR PROTECTIVE COATINGS (SSPC)
800 Trumbull Drive
Pittsburgh, PA 15205
Ph: 877-281-7772 or 412-281-2331
Fax: 412-444-3591
E-mail: customerservice@sspc.org
Internet: http://www.sspc.org

SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)
400 Commonwealth Drive
Warrendale, PA 15096
Ph: 877-606-7323 or 724-776-4841
Fax: 724-776-0790
E-mail: customerservice@sae.org
Internet: https://www.sae.org/

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)
1320 North Courthouse Rosd, Suite 200
Arlington, VA 22201
Ph: 703-907-7700
Fax: 703-907-7727

E-mail: marketing@tiaonline.org
Internet: https://www.tiaonline.org/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEFENSE LOGISTICS AGENCY (DLA)
Andrew T. McNamara Building
8725 John J. Kingman Road
Fort Belvoir, VA 22060-6221
Ph: 877-352-2255
E-mail: dlacontactcenter@dla.mil
Internet: http://www.dla.mil

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)
Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
1200 Pennsylvania Avenue, N.W.
Washington, DC 20004
Ph: 202-564-4700
Internet: https://www.epa.gov
--- Some EPA documents are available only from:
National Technical Information Service (NTIS)

5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
E-mail: ExecSecretariat.FHWA@dot.gov
Internet: https://highways.dot.gov/
Order from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. GENERAL SERVICES ADMINISTRATION (GSA)
General Services Administration
1800 F Street, NW
Washington, DC 20405
Ph: 1-844-472-4111
Internet: https://www.gsaelibrary.gsa.gov/ElibMain/home.do
Obtain documents from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Internet: https://assist.dla.mil/online/start/; account registration required

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. NAVAL SEA SYSTEMS COMMAND (NAVSEA)
Commander Naval Sea Systems Command
1333 Isaac Hull Ave., SE
Washington Navy Yard, DC 20376
Ph: 202-781-0000
Internet: https://www.navsea.navy.mil/

UL SOLUTIONS (UL)
333 Pfingsten Road
Northbrook, IL 60062

Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://accuristech.com/

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00.00 20

QUALITY CONTROL
11/11, CHG 8: 02/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2	(2017) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size

ASTM INTERNATIONAL (ASTM)

ASTM D6245	(2012) Using Indoor Carbon Dioxide Concentrations to Evaluate Indoor Air Quality and Ventilation
ASTM D6345	(2010) Standard Guide for Selection of Methods for Active, Integrative Sampling of Volatile Organic Compounds in Air

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 008	(2007) IAQ Guidelines for Occupied Buildings Under Construction, 2nd Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Construction Quality Control (QC) Plan; G

Indoor Air Quality (IAQ) Management Plan; G

1.3 INFORMATION FOR THE CONTRACTING OFFICER

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the Contracting Officer.

The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the Contracting Officer during Construction:

a. CQC Report: Submit the report electronically and mail or hand-deliver the original (wet signatures) by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.

b. Contractor Production Report: Submit the report electronically by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work. Mail or hand-carry the original (wet signatures) by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work, attached to the CQC Report.

c. Preparatory Phase Checklist: Submit the report electronically in the same manner as the CQC Report for each Preparatory Phase held.

d. Initial Phase Checklist: Submit the report electronically in the same manner as the CQC Report for each Initial Phase held.

e. Field Test Reports: Within two working days after the test is performed, submit the report as an electronic attachment to the CQC Report.

f. Monthly Summary Report of Tests: Submit the report as an electronic attachment to the CQC Report at the end of each month.

g. Testing Plan and Log: Submit the report as an electronic attachment to the CQC Report, at the end of each month. Provide a copy of the final Testing Plan and Log to the preparer of the Operation & Maintenance (O&M) documentation.

h. Rework Items List: Submit lists containing new entries daily, in the same manner as the CQC Report.

i. CQC Meeting Minutes: Within two working days after the meeting is held, submit the report as an electronic attachment to the CQC Report.

j. QC Certifications: As required by the paragraph QC CERTIFICATIONS.

k. Special Inspection Report: Submit the Special Inspection reports, in the same manner as the CQC Report.

1.4 QC PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of NAVFAC Commissioning. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this Contract. The QC

program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.4.1 Commissioning

Commissioning (Cx) is a systematic process of ensuring that all building systems meet the requirements and perform interactively according to the Contract. The QC Program is a key in supporting the objectives of the Cx process, specifically to coordinate, document, and verify compliance with contract requirements. Refer to commissioning requirements in Section 01 91 00.15 20 TOTAL BUILDING COMMISSIONING.

1.4.2 Acceptance of the Construction Quality Control (QC) Plan

Acceptance of the QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time in order to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.4.3 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying.

1.4.4 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel, a minimum of 10 work days prior to a proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

1.5 QC ORGANIZATION

1.5.1 QC Manager

1.5.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program and, at contractor's option, to serve as the Site Safety and Health Officer (SSHO) as detailed in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. The QC Manager is required to attend the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and

any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

1.5.1.2 Qualifications

An individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1, and have experience in the areas of hazard identification, safety compliance, and sustainability.

1.5.2 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager must have completed the course entitled "Construction Quality Management (CQM) for Contractors." If the QC Manager does not have a current certification, they must obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

1.5.3 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.5.4 QC for Secure Space/ Controlled Area Perimeter Construction

1.5.4.1 Periodic (Follow-Up Phase) Inspections

Once construction begins, perform periodic inspections of Secure Space/ Controlled Area identified in the contract drawings at least once every two weeks. Increase frequency to weekly inspections within 30 days of planned acceptance testing. Coordinate periodic inspections with the appointed government Site Security Manager (SSM) responsible for ensuring the assembly meets the requirements for accreditation. Inspections must verify that construction and materials comply with the contract documents, the description of the assembly in the ASTM E90 Factory Report for acoustical testing, and the approved submittals. Focus inspections on the construction of the sound rated assemblies, perimeter penetrations, perimeter doors, electronic security system, man-bar installation, inspection ports,. Document periodic inspections in Daily QC Reports.

1.5.4.2 Preliminary Inspection

The Government and QC Manager will perform a joint preliminary inspection of the Secure Space/ Controlled Area after construction of the assembly is complete to verify compliance with the design requirements and other contract documents. The Contracting Officer's Representative and the appointed government SSM will participate in the preliminary inspection. Provide the Contracting Officer a minimum 14 calendar days notification in advance of the preliminary inspection.

As a result of the preliminary inspection, prepare a Secure Space/ Controlled Area punch list with deficiencies identified. Include with the punch list the estimated date by which the deficiencies will be corrected. Document the preliminary inspection in the Daily QC Report and attach the punch list. Notify the Contacting Officer's Representative when deficiencies are corrected. Deficiencies from the Preliminary Inspection must be corrected prior to scheduling the Final Acceptance Inspection.

1.5.4.3 Acceptance Testing for Sound Attenuation

Perform acceptance testing for sound transmission loss of sound rated door assemblies as required in Section 08 34 73 SOUND CONTROL DOOR ASSEMBLIES and Section 09 29 00 GYPSUM BOARD for sound rated assemblies. Acceptance testing must be performed during the preliminary inspection. The Contracting Officer's Representative and the appointed government SSM must witness acceptance testing. Deficiencies identified during acceptance testing must be included in the Secure Space/ Controlled Area punch list and corrected prior to the final acceptance inspection.

1.5.4.4 Acceptance Testing for Electronic Security Systems

Perform acceptance testing for Electronic Security Systems in accordance with Section 28 08 10 ELECTRONIC SECURITY SYSTEM ACCEPTANCE TESTING. Acceptance testing must be performed during the preliminary inspection. The Contracting Officer's Representative and the appointed government SSM must witness acceptance testing. Deficiencies identified during acceptance testing must be included in the Secure Space/Controlled Area punch list and corrected prior to the Final Inspection.

1.5.4.5 Final Inspection

Perform a final inspection of the Secure Space/Controlled Area/ after required testing has been successfully completed as part of the preliminary inspection and all punch list items corrected. Testing is not permitted during the final inspection. QC Manager and Superintendent must attend the final inspection and Government attendees will include the Contracting Officer's Representative and appointed government SSM. Request a final inspection by the Contracting Officer a minimum of 14 calendar days in advance.

1.6 QUALITY CONTROL (QC) PLAN

1.6.1 Construction Quality Control (QC) Plan

Submit a Construction QC Plan prior to start of construction.

1.6.1.1 Requirements

Provide a Construction QC Plan, prior to start of construction, that includes a table of contents, with major sections identified, with pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control during the construction of the project:

a. QC ORGANIZATION: A chart showing the QC organizational structure.

b. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format,

for each person in the QC organization. Include the CQM for Contractors course certifications for the QC Manager and Alternate QC Manager as required by the paragraphs CONSTRUCTION QUALITY MANAGEMENT TRAINING and ALTERNATE QC MANAGER DUTIES AND QUALIFICATIONS.

c. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

d. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

e. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work which is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to all other QC Specialists outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.

f. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, and managing submittals. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

g. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraphs ACCREDITATION REQUIREMENTS, as applicable.

h. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.

i. PROCEDURES TO COMPLETE REWORK ITEMS: Procedures to identify, record, track, and complete rework items.

j. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines and is an item or activity on the construction schedule. Include in the list of DFOWs, but not be limited to, all critical path activities on the NAS. Include all activities for which this specification requires QC Specialists or specialty inspection personnel. Provide separate DFOWs in the Network Analysis Schedule for each submittal package.

k. PROCEDURES FOR PERFORMING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

l. PERSONNEL MATRIX: Not Applicable

m. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.

n. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.

o. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications on subcontractors, testing laboratories, suppliers, personnel, etc. QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the contract that the work is being performed.

1.7 COORDINATION AND MUTUAL UNDERSTANDING MEETING

After submission of the QC Plan, and prior to Government approval and the start of construction, the QC Manager will meet with the Contracting Officer to present the QC program required by this Contract. When a new QC Manager is appointed, the coordination and mutual understanding meeting must be repeated.

1.7.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, Cx in accordance with Section 01 91 00.15 20 TOTAL BUILDING COMMISSIONING, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor will be required to explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. IAQ Management Plan.

c. Procedures for noise and acoustics management.

d. Environmental Protection Plan.

e. Environmental regulatory requirements.

f. Cx Plan requirements in accordance with Section 01 91 00.15 20 TOTAL BUILDING COMMISSIONING.

1.7.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan.

1.7.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, CxC, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Contracting Officer. Provide a copy of the signed minutes to all attendees and include in the QC Plan.

1.8 QC MEETINGS

After the start of construction, conduct weekly QC meetings by the QC Manager at the work site with the Project Superintendent, CxC, and the foremen who are performing the work of the DFOWs. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and rework.

c. Review the status of submittals.

d. Review the work to be accomplished in the next two weeks and documentation required.

e. Resolve QC and production problems (RFI, etc.).

f. Address items that may require revising the QC Plan.

g. Review Accident Prevention Plan (APP).

h. Review environmental requirements and procedures.

i. Review Waste Management Plan.

j. Review IAQ Management Plan.

k. Review Environmental Management Plan.

l. Review the status of training completion.

m. Review Cx requirements in accordance with Section 01 91 00.15 20 TOTAL BUILDING COMMISSIONING.

1.9 THREE PHASES OF CONTROL

Adequately cover both on-site and off-site work with the Three Phases of Control and include the following for each DFOW.

1.9.1 Preparatory Phase

Notify the Contracting Officer at least two work days in advance of each preparatory phase meeting. The meeting will be conducted by the QC

Manager and attended by the Project Superintendent, the CxC, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. Document the results of the preparatory phase actions in the daily Contractor Quality Control Report and in the Preparatory Phase Checklist. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction and/or shop drawings before confirming product orders, in order to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

f. Examine the work area to ensure that the required preliminary work has been completed.

g. Coordinate the schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.

h. Arrange for the return of shipping/packaging materials, such as wood pallets, where economically feasible.

i. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

j. Discuss specific controls used and construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW.

k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

l. Review the Cx requirements in accordance with Section 01 91 00.15 20 TOTAL BUILDING COMMISSIONING.

1.9.2 Initial Phase

Notify the Contracting Officer at least two work days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site, or when acceptable levels of specified quality are not being met. Perform the following for each DFOW:

a. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

b. Resolve any workmanship issues.

c. Ensure that testing is performed by the approved laboratory.

d. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

e. Review project specific work plans (i.e. Cx, HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.9.3 Follow-Up Phase

Perform the following for on-going work daily, or more frequently as necessary, until the completion of each DFOW and document in the daily CQC Report:

a. Ensure the work is in compliance with Contract requirements.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that rework items are being corrected.

e. Assure manufacturers representatives have performed necessary inspections if required and perform safety inspections.

f. Review the Cx requirements in accordance with Section 01 91 00.15 20 TOTAL BUILDING COMMISSIONING.

1.9.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW is resumed after substantial period of inactivity, or if other problems develop.

1.9.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

1.10 SUBMITTAL REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES.

1.11 TESTING

Except as stated otherwise in the specification sections, perform sampling and testing required under this Contract.

1.11.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and will be required to submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (E 329, C 1077, D 3666, D 3740, E 543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

1.11.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at https://www.nist.gov/nvlap, the American Association of State Highway and Transportation Officials (AASHTO) Accreditation Program at http://www.aashtoresource.org/aap/overview, International Accreditation Services, Inc. (IAS) at http://www.iasonline.org, U.S. Army Corps of Engineers Materials Testing Center (MTC) at http://www.erdc.usace.army.mil/Media/FactSheets/FactSheetArticleView/tabid/9254/Article/476661/materials-testing-center.aspx, the American Association for Laboratory Accreditation (A2LA) program at http://www.a2la.org/, the Washington Association of Building Officials (WABO) at http://www.wabo.org/ (Approval authority for WABO is limited to projects within Washington State), and the Washington Area Council of Engineering Laboratories (WACEL) at https://www.wacel.org/lab-accreditation-and-insp ection-agency-audit-programs/laboratory-accreditation-program/(Approval authority by WACEL is limited to projects within Facilities Engineering Command (FEC) Washington geographical area).

1.11.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract.

1.11.4 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, in accordance with paragraph INFORMATION FOR THE CONTRACTING OFFICER.

1.11.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field

tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily Contractor Quality Control Report of each month. Provide a copy of the signed test reports and certifications to the OMSI preparer for inclusion into the OMSI documentation, in accordance with Sections 01 78 23 OPERATION AND MAINTENANCE DATA and 01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI).

1.12 QC CERTIFICATIONS

1.12.1 CQC Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.12.2 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, is in compliance with Contract requirements.

1.12.3 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.13 COMPLETION INSPECTIONS

1.13.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications and Contract. Include in the punch list any remaining items on the "Rework Items List", which were not corrected prior to the Punch-Out Inspection. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer. The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.13.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected prior to notifying the Government that a "Final" inspection

with the Client can be scheduled. Any items noted on the "Pre-Final" inspection must be corrected in a timely manner and be accomplished before the contract completion date for the work,or any particular increment thereof, if the project is divided into increments by separate completion dates.

1.13.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent and others deemed necessary. Attendees for the Government will include the Contracting Officer, other FEAD/ROICC personnel, and personnel representing the Client. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.14 DOCUMENTATION

Maintain current and complete records of on-site and off-site QC program operations and activities.

1.14.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. The forms identified under the paragraph "INFORMATION FOR THE CONTRACTING OFFICER" will be used. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. The reporting of work must be identified by terminology consistent with the construction schedule. In the "remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered and a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.14.2 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

a. All completed Preparatory and Initial Phase Checklists, arranged by specification section.

b. All milestone inspections, arranged by Activity Number.

c. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section.

d. Copies of all contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

e. An up-to-date copy of the Rework Items List.

f. Maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and Sub-Contractors and all punch lists issued by the Government.

g. Cx documentation in accordance with Section 01 91 00.15 20 TOTAL BUILDING COMMISSIONING.

1.14.3 Testing Plan and Log

As tests are performed, the CxC and the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month, per the paragraph "INFORMATION FOR THE CONTRACTING OFFICER". Provide a copy of the final "Testing Plan and Log" to the preparer of the Operation & Maintenance (O&M) documentation.

1.14.4 Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be reworked, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected. There is no requirement to report a rework item that is corrected the same day it is discovered. Attach a copy of the "Rework Items List" to the last daily CQC Report of each month. The Contractor is responsible for including those items identified by the Contracting Officer.

1.14.5 As-Built Drawings

The QC Manager is required to ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation (e.g. PC No., Modification No., Request for Information No., etc.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.15 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost

due to such stop orders will be made the subject of claim for extension of time for excess costs or damages by the Contractor.

1.16 CONSTRUCTION INDOOR AIR QUALITY (IAQ) MANAGEMENT PLAN

Submit an IAQ Management Plan within 15 calendar days after notice to proceed and not less than 10 calendar days before the preconstruction meeting. Revise and resubmit Plan as required by the Contracting Officer. Make copies of the final plan available to all workers on site. Include provisions in the Plan to meet the requirements specified below and to ensure safe, healthy air for construction workers and building occupants.

1.16.1 Requirements During Construction

Provide for evaluation of indoor Carbon Dioxide concentrations in accordance with ASTM D6245. Provide for evaluation of volatile organic compounds (VOCs) in indoor air in accordance with ASTM D6345. Use filters with a Minimum Efficiency Reporting Value (MERV) of 8 in permanently installed air handlers during construction.

1.16.1.1 Control Measures

Meet or exceed the requirements of ANSI/SMACNA 008, Chapter 3, to help minimize contamination of the building from construction activities. The five requirements of this manual which must be adhered to are described below:

a. HVAC protection: Isolate return side of HVAC system from surrounding environment to prevent construction dust and debris from entering the duct work and spaces.

b. Source control: Use low emitting paints and other finishes, sealants, adhesives, and other materials as specified. When available, cleaning products must have a low VOC content and be non-toxic to minimize building contamination. Utilize cleaning techniques that minimize dust generation. Cycle equipment off when not needed. Prohibit idling motor vehicles where emissions could be drawn into building. Designate receiving/storage areas for incoming material that minimize IAQ impacts.

c. Pathway interruption: When pollutants are generated use strategies such as 100 percent outside air ventilation or erection of physical barriers between work and non-work areas to prevent contamination.

d. Housekeeping: Clean frequently to remove construction dust and debris. Promptly clean up spills. Remove accumulated water and keep work areas dry to discourage the growth of mold and bacteria. Take extra measures when hazardous materials are involved.

e. Scheduling: Control the sequence of construction to minimize the absorption of VOCs by other building materials.

1.16.1.2 Moisture Contamination

a. Remove accumulated water and keep work dry.

b. Use dehumidification to remove moist, humid air from a work area.

c. Do not use combustion heaters or generators inside the building.

d. Protect porous materials from exposure to moisture.

e. Remove and replace items which remain damp for more than a few hours.

1.16.2 Requirements after Construction

After construction ends and prior to occupancy, conduct a building flush-out or test the indoor air contaminant levels. Flush-out must be a minimum two-weeks with MERV-13 filtration media as determined by ASHRAE 52.2at 100 percent outside air. Air contamination testing must be consistent with EPA's current Compendium of Methods for the Determination of Air Pollutants in Indoor Air. After building flush-out or testing and prior to occupancy, replace filtration media. Filtration media must have a MERV of 13 as determined by ASHRAE 52.2.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PREPARATION

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
11/20, CHG 1: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C511	(2017) Reduced-Pressure Principle Backflow Prevention Assembly

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2009; Rev 2012) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G

Traffic Control Plan; G

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

SD-06 Test Reports

Backflow Preventer Tests

SD-07 Certificates

Backflow Tester Certification

Backflow Preventers Certificate of Full Approval

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 BACKFLOW PREVENTERS CERTIFICATE

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (58 mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, EM 385-1-1 Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather

and COR updates and completion of required actions.

b. Condition THREE (Sustained winds of 58 mph or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.

d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

1.6 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258.} Meet the following requirements throughout the construction process.

1.6.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

Computer usage on Naval Undersea Warfare Center (NUWC) is restricted. A computer pass must be obtained from NUWC Secuity . At a minimum, the camera and WI-FI features must be removed from the device.

1.6.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.6.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

1.6.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one

special character.

1.6.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables. Each Statement must be signed by a cybersecurity representative for the relevant company.

1.6.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

1.6.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

1.6.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification.

1.6.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

1.6.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.6.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables. Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

2.1.2 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 FENCING

Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

2.3.1 Polyethylene Mesh Safety Fencing

Temporary safety fencing must be a high visibility orange colored, high density polyethylene grid, a minimum of 48 inches high and maximum mesh size of 2 inches. Fencing must extend from the grade to a minimum of 48 inches above the grade and be tightly secured to T-posts spaced as necessary to maintain a rigid and taut fence. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.2 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels 6 feet high. Multiple fencing panels may be linked together at the bases to form

long spans as needed. Each panel base must be weighted down using sand bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

2.5 BACKFLOW PREVENTERS

Certificate of Full Approval from FCCCHR List, University of Southern California, attesting that the design, size and make of each backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. Certificate of Provisional Approval is not acceptable.

Reduced pressure principle type conforming to the applicable requirements AWWA C511. Provide backflow preventers complete with 150 pound flanged cast iron mounted gate valve and strainer, 304 stainless steel or bronze, internal parts.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Payment for Utility Services

a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the Contract. Unless otherwise provided in the Contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve utilities furnished without charge.

b. Reasonable amounts of the following utilities will be made available without charge.

-Electricity

-Potable Water

c. The point at which the Government will deliver such utilities or services and the quantity available is must be coordinated with the Contracting Officer. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including providing backflow-preventing devices on connections to domestic water lines; providing meters; and providing transformers; and make disconnections.

3.2.3 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1 Section 02. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.4 Telephone

Make arrangements and pay all costs for telephone facilities desired.

3.2.5 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. Brightly-colored (orange) vests are required for all personnel working in roadways. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

3.3.3 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.4 REDUCED PRESSURE BACKFLOW PREVENTERS

Provide an approved reduced pressure backflow prevention assembly at each location where the Contractor taps into the Government potable water supply.

Perform backflow preventer tests using test equipment, procedures, and certification forms conforming to those outlined in the latest edition of the Manual of Cross-Connection Control published by the FCCCHR Manual. Test and tag each reduced pressure backflow preventer upon initial installation (prior to continued water use) and quarterly thereafter. Tag must contain the following information: make, model, serial number, dates of tests, results, maintenance performed, and signature of tester. Record test results on certification forms conforming to requirements cited earlier in this paragraph.

3.5 CONTRACTOR'S TEMPORARY FACILITIES

Temporary facilities must meet requirements as identified in EM 385-1-1 Section 04.

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1 Section 04. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

3.5.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel. The interior construction site may NOT be used as a field office.

In the event a new building is constructed for the temporary project field office, it must be a minimum 12 feet in width, 16 feet in length and have a minimum of 7 feet headroom. Equip the building with approved electrical wiring, at least one double convenience outlet and the required switches and fuses to provide 110-120 volt power. Provide a work table with stool, desk with chair, two additional chairs, and one legal size file cabinet that can be locked. The building must be waterproof, supplied with a heater, have a minimum of two doors, electric lights, a telephone, a battery-operated smoke detector alarm, a sufficient number of adjustable windows for adequate light and ventilation, and a supply of approved drinking water. Provide approved sanitary facilities. Screen the windows and doors and provide the doors with deadbolt type locking devices or a padlock and heavy-duty hasp bolted to the door. Door hinge pins must be non-removable. Arrange the windows to open and to be securely fastened from the inside. Protect glass panels in windows by bars or heavy mesh screens to prevent easy access. In warm weather, provide air conditioning capable of maintaining the office at 50 percent relative humidity and a room temperature 20 degrees F below the outside temperature when the outside temperature is 95 degrees F. Unless otherwise directed by the Contracting Officer, remove the building from the site upon completion and acceptance of the work.

3.5.2 Quality Control Manager Records and Field Office

Provide on the jobsite an office with approximately 100 square feet of useful floor area for the exclusive use of the QC Manager. Provide a weathertight structure with adequate heating and cooling, toilet facilities, lighting, ventilation, a 4 by 8 foot plan table, a standard size office desk and chair, computer station, and working communications facilities. Provide either a 1,500 watt radiant heater and a window-mounted air conditioner rated at 9,000 Btus minimum or a window-mounted heat pump of the same minimum heating and cooling ratings. Provide a door with a cylinder lock and windows with locking hardware. Make utility connections. Locate as directed. File quality control records in the office and make available at all times to the Government. After completion of the work, remove the entire structure from the site.

3.5.3 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored green, so that visibility through the fence is obstructed. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at

the end of each work day.

Keep fencing in a state of good repair and proper alignment. Grassed or unpaved areas, which are not established roadways, and will be traversed with construction equipment or other vehicles, must be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways, should the Contractor elect to traverse them with construction equipment or other vehicles. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

3.5.4 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.5.5 Appearance of Trailers

a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.

b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

3.5.6 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.5.7 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.5.7.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and

removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.6 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

3.7 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.8 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.9 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
11/15, CHG 5: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA SW-846	(Third Edition; Update IV) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.120	Hazardous Waste Operations and Emergency Response
29 CFR 1910.1053	Respirable Crystalline Silica
29 CFR 1926.1153	Respirable Crystalline Silica
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 64	Compliance Assurance Monitoring
40 CFR 112	Oil Pollution Prevention
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of

	Hazardous Waste
40 CFR 262.34	Standards Applicable to Generators of Hazardous Waste-Accumulation Time
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements

49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally or historically.

1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

1.2.7 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that: Is regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.120; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibit a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D.

1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.10 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by installations to obtain NPDES permit coverage for their stormwater discharges.

1.2.11 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

1.2.12 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount);

it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.13 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.14 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.15 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.15.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.15.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.

1.2.15.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.15.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet,

hazardous waste criteria in accordance with 40 CFR 263.

1.2.15.5 Recyclables

Recyclables are materials, equipment and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.15.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.15.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.15.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.16 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, creeks or "waters of the United States". Surface discharges are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.17 Wastewater

Wastewater is the used water and solids from a community that flow to a treatment plant.

1.2.17.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.18 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.19 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.20 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey

Solid Waste Management Permit; G

Regulatory Notifications; G

Environmental Protection Plan; G

Employee Training Records; G

Environmental Manager Qualifications; G

SD-06 Test Reports

Laboratory Analysis

Monthly Solid Waste Disposal Report; G

SD-07 Certificates

Employee Training Records; G

ECATTS Certificate Of Completion; G

SD-11 Closeout Submittals

Waste Determination Documentation; G

Disposal Documentation for Hazardous and Regulated Waste; G

Assembled Employee Training Records; G

Solid Waste Management Permit; G

Project Solid Waste Disposal Documentation Report; G

Hazardous Waste/Debris Management; G

Regulatory Notifications; G

Sales Documentation; G

Contractor Certification

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.4.1 Training in Environmental Compliance Assessment Training and Tracking System (ECATTS)

1.4.1.1 Personnel Requirements

The Environmental Manager is responsible for environmental compliance on projects. The Environmental Manager, must complete applicable ECATTS training modules (installation specific or general) prior to starting respective portions of on-site work under this Contract. If personnel changes occur for any of these positions after starting work, replacement personnel must complete applicable ECATTS training within 14 days of assignment to the project.

1.4.1.2 Certification

Submit an ECATTS certificate of completion for personnel who have completed the required ECATTS training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC Environmental Compliance Assessment, Training, and Tracking System, by logging on to https://environmentaltraining.ecatts.com/. Obtain the password for registration from the Contracting Officer.

1.4.1.3 Refresher Training

This training has been structured to allow contractor personnel to receive credit under this contract and to carry forward credit to future contracts. Ensure the Environmental Manager review their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific state regulatory requirements; therefore, Contractors working in multiple states will be required to retake modules tailored to the state where the contract work is being performed.

1.4.2 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

1.5 QUALITY ASSURANCE

1.5.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and

completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

1.5.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 15 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.5.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP). Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

1.5.4 Environmental Manager

Appoint in writing an Environmental Manager for the project site. The Environmental Manager is directly responsible for coordinating contractor compliance with federal, state, local, and installation requirements. The Environmental Manager must ensure compliance with Hazardous Waste Program requirements (including hazardous waste handling, storage, manifesting, and disposal); implement the EPP; ensure environmental permits are obtained, maintained, and closed out; ensure compliance with Stormwater Program requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of regulated substances (lead, asbestos, PCB transformers). This can be a collateral position; however, the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out. Submit Environmental Manager Qualifications to the Contracting Officer.

1.5.5 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the

contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet EPA requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Contact additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area.

1.5.6 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

1.6 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any

applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

1.6.1 General Overview and Purpose

1.6.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as spill control plan, solid waste management plan, wastewater management plan, traffic control plan. and Non-Hazardous Solid Waste Disposal Plan.

1.6.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.6.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.6.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.6.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

1.6.2 General Site Information

1.6.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.6.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control

plan.

1.6.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.6.3 Management of Natural Resources

a. Land resources

b. Tree protection

c. Replacement of damaged landscape features

d. Temporary construction

e. Stream crossings

f. Fish and wildlife resources

g. Wetland areas

1.6.4 Protection of Historical and Archaeological Resources

a. Objectives

b. Methods

1.6.5 Stormwater Management and Control

a. Ground cover

b. Erodible soils

c. Temporary measures

(1) Structural Practices

(2) Temporary and permanent stabilization

d. Effective selection, implementation and maintenance of Best Management Practices (BMPs).

1.6.6 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste. Control and disposal of hazardous waste.

This item consist of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan. As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

1.6.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

1.6.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.6.9 Clean Air Act Compliance

1.6.9.1 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager).

1.6.9.2 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60

Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between emergency and non-emergency operation.

1.6.9.3 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government, coordinate with the Installation Environmental Office to determine the appropriate turn in location.

1.6.9.4 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

1.6.9.5 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

1.7 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all general use permitted equipment the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and Responsibilities.

1.8 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

1.9 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before

transporting wastes off Government property.

1.9.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

1.10 FACILITY HAZARDOUS WASTE GENERATOR STATUS

NUWCDIVNPT is designated as a Large Quantity Generator. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the Installation Environmental Office, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the Installation Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and Installation Environmental Office to determine appropriate

action for trees and other landscape features scarred or damaged by equipment operations.

3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in compliance with any required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. If the water is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization in advance from the Installation Environmental Office for any release of contaminated water.

3.2.1 Erosion and Sediment Control Measures

Provide erosion and sediment control measures in accordance with state and local laws and regulations. Preserve vegetation to the maximum extent practicable.

Erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports.

3.2.2 Work Area Limits

Mark the areas that need not be disturbed under this Contract prior to commencing construction activities. Mark or fence isolated areas within the general work area that are not to be disturbed. Protect monuments and markers before construction operations commence. Where construction operations are to be conducted during darkness, any markers must be visible in the dark. Personnel must be knowledgeable of the purpose for marking and protecting particular objects.

3.2.3 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

3.2.4 Municipal Separate Storm Sewer System (MS4) Management

Comply with the Installation's MS4 permit requirements. Comply with

requirements of Section 01 57 19.01 20 SUPPLEMENTAL TEMPORARY ENVIRONMENTAL CONTROLS.

3.3 SURFACE AND GROUNDWATER

3.3.1 Cofferdams, Diversions, and Dewatering

Construction operations for dewatering, removal of cofferdams, tailrace excavation, and tunnel closure must be constantly controlled to maintain compliance with existing state water quality standards and designated uses of the surface water body. Comply with the State of Rhode Island water quality standards and anti-degradation provisions. Do not discharge excavation ground water to the sanitary sewer, storm drains, or to surface waters without prior specific authorization in writing from the Installation Environmental Office. Discharge of hazardous substances will not be permitted under any circumstances. Use sediment control BMPs to prevent construction site runoff from directly entering any storm drain or surface waters.

If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization for any contaminated groundwater release in advance from the Installation Environmental Officer and the federal or state authority, as applicable. Discharge of hazardous substances will not be permitted under any circumstances.

3.3.2 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States.

3.4 PROTECTION OF CULTURAL RESOURCES

3.4.1 Archaeological Resources

If, during excavation or other construction activities, any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, activities that may damage or alter such resources will be suspended. Resources covered by this paragraph include, but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. Cease all activities that may result in impact to or the destruction of these resources. Secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources. The Government retains ownership and control over archaeological resources.

3.5 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

3.5.1 Preconstruction Air Permits

Notify the Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled or unassembled, onto the Installation.

Confirm that these permits have been obtained.

3.5.2 Oil or Dual-fuel Boilers and Furnaces

Provide product data and details for new, replacement, or relocated fuel fired boilers, heaters, or furnaces to the Installation Environmental Office (Air Program Manager) through the Contracting Officer. Data to be reported include: equipment purpose (water heater, building heat, process), manufacturer, model number, serial number, fuel type (oil type, gas type) size (MMBTU heat input). Provide in accordance with paragraph PRECONSTRUCTION AIR PERMITS.

3.5.3 Burning

Burning is prohibited on the Government premises.

3.5.4 Class I and II ODS Prohibition

Class I and II ODS are Government property and must be returned to the Government for appropriate management. Coordinate with the Installation Environmental Office to determine the appropriate location for turn in of all reclaimed refrigerant.

3.5.5 Accidental Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer.

3.5.6 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

3.5.7 Dust Control

Keep dust down at all times, including during nonworking periods. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster. Since these products contain Crystalline Silica, comply with the applicable OSHA standard, 29 CFR 1910.1053 or 29 CFR 1926.1153 for controlling exposure to Crystalline Silica Dust.

3.5.7.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

3.5.7.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the Installation Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.5.8 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

3.6 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

3.6.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

3.6.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	tons as appropriate
C&D Debris Recycled	tons as appropriate
C&D Debris Composted	tons as appropriate
Total C&D Debris Generated	tons as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	tons as appropriate

3.7 WASTE MANAGEMENT AND DISPOSAL

3.7.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g. scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 261 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not inclusive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.7.1.1 Sampling and Analysis of Waste

3.7.1.1.1 Waste Sampling

Sample waste in accordance with EPA SW-846. Clearly mark each sampled drum or container with the Contractor's identification number, and cross reference to the chemical analysis performed.

3.7.1.1.2 Laboratory Analysis

Follow the analytical procedure and methods in accordance with the 40 CFR 261. Provide analytical results and reports performed to the Contracting Officer.

3.7.1.1.3 Analysis Type

Identify hazardous waste by analyzing for the following characteristics: ignitability, corrosivity, reactivity, and toxicity based on TCLP results.

3.7.2 Solid Waste Management

3.7.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation Contractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.7.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.7.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer.

3.7.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous

waste from Government property, hazardous waste manifests must be signed by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.7.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262.34 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or 1 quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90 day accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	
Contractor	
Haz/Waste or Regulated Waste POC	
Phone Number	
Type of Waste	
Source of Waste	
Emergency POC	
Phone Number	
Location of the Site	

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g. training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.7.3.3 Hazardous Waste Disposal

3.7.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.7.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260, local, and state, laws and regulations, and the terms and conditions of the Contract

within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.7.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.7.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.7.3.3.1.4 Labeling

Determine the Department of Transportation's (DOT's) proper shipping names for waste (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262.31 and applicable state or local regulations.

3.7.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

a. Batteries as described in 40 CFR 273.2

b. Lamps as described in 40 CFR 273.5

c. Mercury-containing equipment as described in 40 CFR 273.4

d. Section 01 57 19.01 20 SUPPLEMENTAL TEMPORARY ENVIRONMENTAL CONTROLS

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.7.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

3.7.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s),

or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with the Installation Environmental Office. Refer to Section 01 57 19.01 20 SUPPLEMENTAL TEMPORARY ENVIRONMENTAL CONTROLS for the Installation Point of Contact information.

3.7.4 Releases/Spills of Oil and Hazardous Substances

3.7.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, and the Contracting Officer.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

3.7.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

3.7.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

3.7.6 Wastewater

3.7.6.1 Disposal of Wastewater

Disposal of wastewater must be as specified below.

3.7.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations.

3.7.6.1.2 Surface Discharge

For discharge of ground water, obtain a state or federal permit specific for pumping and discharging ground water prior to surface discharging.

3.7.6.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing must be discharged into the sanitary sewer with prior approval and notification to the Wastewater Treatment Plant's Operator.

3.8 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261.

3.8.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log"(found at: http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables), which provides information required by (EPCRA Sections 312 and 313) along with corresponding SDS, to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the SDSs for hazardous materials onsite. At the end of the project, provide the Contracting Officer with copies of the SDSs, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

The Contracting Officer may request documentation for any spills or releases, environmental reports, or off-site transfers.

3.9 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

3.10 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745 and Section 02 83 00 LEAD REMEDIATION. Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

3.11 CONTROL AND MANAGEMENT OF POLYCHLORINATED BIPHENYLS (PCBS)

Manage and dispose of PCB-contaminated waste in accordance with 40 CFR 761 and Section 02 84 33 REMOVAL AND DISPOSAL OF POLYCHLORINATED BIPHENYLS (PCBS).

3.12 CONTROL AND MANAGEMENT OF LIGHTING BALLAST AND LAMPS CONTAINING PCBS

Manage and dispose of contaminated waste in accordance with 40 CFR 761. Refer to Section 02 84 16 HANDLING OF LIGHTING BALLASTS AND LAMPS CONTAINING PCBS AND MERCURY.

3.13 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline, diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the environment. Manage and store POL products in accordance with EPA 40 CFR 112, and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers, to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of oil, including fuel, on the project site is not allowed. Fuel must be brought to the project site each day that work is performed.

3.13.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

3.14 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material

until authorized by the Contracting Officer.

3.15 CHLORDANE

Evaluate excess soils and concrete foundation debris generated during the demolition of housing units or other wooden structures for the presence of chlordane or other pesticides prior to reuse or final disposal.

3.16 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of Rhode Island rules.

3.17 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

SECTION 01 57 19.01 20

SUPPLEMENTAL TEMPORARY ENVIRONMENTAL CONTROLS
11/15, CHG 5: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. If state or local references are not provided here, refer to Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS for appropriate references.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval.

1.3 MID-ATLANTIC

Comply with the following state, regional, and local requirements which supplement Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

1.3.1 Rhode Island

1.3.1.1 Definition and Disposal Requirements of Empty Paint Cans

Paint Cans: Paint cans that are empty (free of liquids and contains less than one inch of dried material) of paints, solvents, thinners and adhesives may be disposed of in dumpsters.

Metal paint cans that meet the empty standard can be placed in dumpsters marked "metal only"; plastic cans may be placed in solid waste dumpsters. Manage paint cans with liquid or more than one inch of solidified oil-based paint as a hazardous waste and label properly. Manage paint cans with excess water-based paint as non-hazardous waste. Contact NAVFAC MIDLANT Environmental Services for management requirements.

1.3.1.2 Hazardous Waste Requirements for Rhode Island Installations:

1.3.1.2.1 Demolition

Remove the following items from the site prior to demolition: polychlorinated biphenyls (PCBs), fluorescent bulbs, mercury and metal components (such as furnaces, ducts, and piping), and any hazardous materials. Manage lead, fluorescent bulbs, mercury-containing equipment, and any other waste as "hazardous or universal waste" as appropriate (see

paragraph HAZARDOUS AND UNIVERSAL WASTE GENERATION). If the demolition activity encompasses the whole building (the building must be demolished to the ground), the resulting construction debris (including lead paint) requires Toxicity Characteristic Leaching Procedure (TCLP) analysis to make a waste determination and ensure proper management and disposal before it can be disposed as solid waste.

1.3.1.2.2 Hazardous and Universal Waste Generation

Hazardous and Universal Waste includes fluorescent bulbs, PCB ballast, lead paint, and mercury-containing equipment. Contact the EV HW Program Manager to set up an appropriate accumulation area. Manage waste in a satellite accumulation area (SAA), hazardous waste accumulation area (HWAA), or universal waste accumulation area (UWAA) as directed by the EV HW Program Manager through the Contracting Officer. Keep containers securely closed unless adding or removing material and waste. Ensure custodians managing the accumulation area(s) have appropriate training that has been taken within the year prior to the area being established. Training is an annual requirement that can be taken on the https://environmentaltraining.ecatts.com/ site. Keep copies of training records and certificates on site.

Hazardous Waste Accumulation Areas (less than 90-day sites) require Rhode Island Department of Environmental Management (RIDEM) notification. Notify the HA Media Manager (HW MM) 14 days prior to the start of waste accumulation. The EV HW Program Manager is authorized to notify RIDEM when Hazardous Waste Accumulation Areas are established. A copy of the Activity Hazardous Material Reutilization, Hazardous Waste Minimization and Disposal Guide will be provided by the Contracting Officer. For waste disposal, phone the NUWC EV Service Desk to arrange pick up in your area. Fax a completed DD 1348-1A to the Service Desk for all waste turn-ins. Notify the Service Desk if any containers are leaking or are in poor condition. A representative from NUWC EV Services is the authorized entity approved to sign manifests for off-site waste disposal.

1.3.1.2.3 Waste Management - Disposal by the Contractor

Manage and dispose of all Hazardous Waste generated or discovered during the project. Dispose of all waste in accordance with all federal and state environmental regulations. Sign and submit all paperwork (lab analyses, profiles, manifests) and records to the Navy. Allow inspection by the Regional Environmental Core for compliance with federal, state and Navy requirements.

1.3.1.2.3.1 Contractor Site Custodian

a. Designate a Site Custodian and an Alternate for waste management. Provide 24-hour phone numbers where Site Custodian and alternate can be contacted in the event of an emergency.

b. Personnel must be trained in hazardous waste management procedures to comply with the requirements of 40 CFR 262.34 and 40 CFR 265.16.

1.3.1.2.3.2 Waste Accumulation

a. Establish a SAA, UWAA or a temporary 90-Day HWAA for waste accumulation. Obtain the HW Media Manager approval. Do not use accumulation areas as lay-down areas.

b. EV Core HW MM will notify VDEQ. Notify the HW MM 14 days prior to the start of waste accumulation. All agency notifications will originate from the Regional Environmental Core.

c. The Site Custodian and Alternate must attend the HW MM training session for the management of the SAA, UWAA or HWAA.

1.3.1.2.3.3 Waste Disposal

a. The Navy will be considered the "generator" for any and all waste that are generated on Navy property, regardless if the waste was generated as result of Contractor activity.

b. Pack, mark, label and transport all waste in accordance with Department of Transportation 49 CFR Regulations.

c. Obtain the EPA Hazardous Waste Identification Number (EPA ID#) for the installation or off-site Contractor location, from the EV Core HW MM. Use the generator's EPA ID# on the Hazardous Waste Manifest.

(1) Provide the name and EPA ID Number for the Hazardous Waste Transporter and the disposal facility to the HW MM.

(2) Submit all waste profiles and documentation supporting the waste disposal to the HW MM for review.

d. Obtain the Hazardous Waste Manifest signature from designated representative of the Regional Environmental Services Group (EV Services). Contact the Environmental Services Department Dispatcher to schedule this service. Obtain signature on the day the waste is scheduled to be picked up.

e. The Contractor is to ensure that the Certificates of Disposal and Manifests are mailed to EV Services, in accordance with the all Federal and State regulations.

1.3.1.2.4 Waste Management - Disposal by the Navy

Coordinate waste management actions with the HW MM responsible for the installation. Manage all hazardous waste in accordance with federal, state and Navy Environmental Regulations. Sign and submit all paperwork (lab analyses, profiles, manifests) and records to the Navy. Allow inspection by the Regional Environmental Core for compliance with federal, state and Navy requirements.

1.3.1.2.4.1 Contractor Site Custodian

a. Designate a Site Custodian and an alternate for waste management. Provide 24-hour phone numbers where Site Custodian or Site Manager and alternate can be contacted in the event of an emergency.

b. Personnel must be trained in hazardous waste management procedures to comply with the requirements of 40 CFR 262.34 and 40 CFR 265.16.

1.3.1.2.4.2 Waste Accumulation

a. Establish a Satellite Accumulation Area (SAA), Universal Waste Accumulation Area (UWAA) or a temporary 90-Day Hazardous Waste Accumulation Area (HWAA) for waste accumulation. Do not use

accumulation areas as Contractor lay-down areas.

b. EV Core HW MM will notify VDEQ. Notify the HW MM 14 days prior to the start of waste accumulation. All agency notifications will originate from the Regional Environmental Core.

c. The Site Custodian and alternate must attend the HW MM training session for the management of the SAA, UWAA or HWAA.

d. Manage waste containers in accordance with all applicable Federal, State, and Navy regulations.

1.3.1.2.4.3 Waste Disposal

a. The Navy will be considered the "generator" (EPA definition) for any and all waste that are generated on Navy property, regardless if the waste was generated as result of Contractor activity.

b. Obtain a Job Order Number from the NAVFAC MIDLANT Financial Management Business Line, Accounts Receivable Department.

c. Submit all waste profiles and documentation supporting the waste disposal to the HW MM for review.

d. Coordinate with the HW MM for waste pickup by EV Services. EV Services will pick up the waste and coordinate transport to an off-site permitted Treatment Storage or Disposal facility.

e. Obtain the Hazardous Waste Manifest signature from designated representative of the Regional EV Services. Contact the Environmental Services Department Dispatcher to schedule this service. Obtain signature on the day the waste is scheduled to be picked up.

1.3.1.2.5 Excavation

If soil is to be reused onsite, sampling is not required unless otherwise directed. Excavated soil may be reused within the construction site with no testing necessary. Soil may be stockpiled until the end of the project, then reused as much as possible prior to sampling and analysis for residual soil to be disposed. Store in a manner that prevents rain from infiltrating the soil matrix and preventing any runoff into the surrounding soil or pavement (for example, store the soil on top of plastic sheets and covered with plastic sheets or store in lined, covered dumpsters). If the soil is going to be relocated or disposed outside the construction site, sampling and analysis is required. Contact the installation HW Program Manager prior to disposal to determine the appropriate sampling and test parameter. Soil disposal requirements will depend on test results.

1.3.1.2.6 Painting and Paint Removal

Air-drying cans for disposal are allowed only if liquid residue is less than one inch; keep all paint or solvent containers closed and secured when not adding or removing material or waste. Waste paint chips and debris must be collected and sampled to determine the proper disposal method. Contact the NUWC EV HW Program Manager for sampling requirements. If waste paint is determined to be hazardous, waste must be managed as hazardous and an appropriate accumulation area must be established. Contact the NUWC EV HW Program Manager for site setup.

1.3.1.2.7 Dumpsters

Label trash containers to appropriately describe the contents.

1.3.1.3 Spill Response and Reporting

Report spills at to NUWC and Naval Station Newport Environmental Departments and the Naval Station Newport Fire Department immediately upon discovery.

After notifying the installation EV departments and NSNPT Fire Department, notify the Navy point of contact.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
02/19, CHG 3: 11/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 273	Standards for Universal Waste Management
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.2.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.2.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.2.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.2.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.2.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.2.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.2.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.2.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.2.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.3 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.4 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.4.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work,

as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.4.2 Oversight

The Environmental Manager, as specified in Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, is responsible for overseeing and documenting results from executing the Construction Waste Management Plan for the project.

1.4.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.4.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.4.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan; G

1.6 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00.00 20 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Pre-demolition meeting.

b. Regular Quality Control meetings.

c. Work safety meeting (if applicable).

1.7 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 calendar days after notice to proceed. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION AND DECONSTRUCTION. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on

the project.

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

l. Facilities or subcontractors offering construction waste transport on-site or off-site must ensure that proper shipping orders, bill of lading, manifests, or other shipping documents containing waste diversion information meet requirements of 40 CFR 273 Universal Waste Management, 49 CFR 173 Shippers - General Requirements for Shipments and Packagings, and 49 CFR 178 Specifications for Packaging. Individuals signing manifests or other shipping documents should meet the minimum training requirements.

m. List each supplier who deliver construction materials, in bulk, or package products in returnable containers or returnable packaging, or have take-back programs. List each program and the applicable material to actively monitor and track to assist in meeting waste diversion requirements on the project.

Distribute copies of the waste management plan to each subcontractor,

Environmental Manager, and the Contracting Officer.

1.8 RECORDS (DOCUMENTATION)

1.8.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.8.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.9 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide waste determination documentation 30 days prior to the Beneficial Occupancy Date (BOD).

1.10 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS. Separate materials by one of the following methods described herein:

1.10.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

1.10.2 Co-Mingled Method

Place waste products and recyclable materials into a single container and

then transport to an authorized recycling facility, which meets all applicable requirements to accept and dispose of recyclable materials in accordance with all applicable local, state and federal regulations. The Co-mingled materials must be sorted and processed in accordance with the approved Construction Waste Management Plan.

1.10.3 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.11 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.11.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

1.11.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.11.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS
05/19, CHG 1: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

GREEN SEAL (GS)

GS-37	(2017) Cleaning Products for Industrial and Institutional Use

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N	(2014; with Change 4, 2018) Navy and Marine Corps Design

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.2.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.3 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.3.1 Terms and Conditions

Data contained on these electronic files must not be used for any purpose

other than as a convenience in the preparation of construction drawings and data for the referenced project. Any other use or reuse shall be at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CAD drawing files are not construction documents. Differences may exist between the CAD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CAD files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished Source drawing files, the signed and sealed construction documents govern. The Contractor is responsible for determining if any conflict exists. Use of these Source Drawing files does not relieve the Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction drawings and data related to this contract, remove all previous indicia of ownership (seals, logos, signatures, initials and dates).

1.4 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan; G

Warranty Tags; G

Final Cleaning

Spare Parts Data; G

SD-08 Manufacturer's Instructions

Posted Instructions; G

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

SD-11 Closeout Submittals

As-Built Drawings; G

Record Drawings; G

As-Built Record of Equipment and Materials; G

Certification of EPA Designated Items; G

Certification Of USDA Designated Items; G

1.5 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

1.6 WARRANTY MANAGEMENT

1.6.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.

c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.

d. As-Built Record of Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.

(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of equipment covered by warranties longer than one year.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.6.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.6.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the

execution of the construction warranty In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.6.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

2.1 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the Certification of EPA Designated Items as required by FAR 52.223-9 Estimate of Percentage of Recovered Material Content for EPA Designated Items and FAR 52-223-17 Affirmative Procurement of EPA designated items in Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current EPA standards for recycled/recovered materials content. The following exemptions may apply to the non-procurement of recycled/recovered content materials:

a. The product does not meet appropriate performance standards;

b. The product is not available within a reasonable time frame;

c. The product is not available competitively (from two or more sources);

d. The product is only available at an unreasonable price (compared with a comparable non-recycled content product)."

Recycled content values may be determined by weight or volume percent, but must be consistent throughout.

2.2 CERTIFICATION OF USDA DESIGNATED ITEMS

Submit the Certification of USDA Designated Items as required by FAR 52-223-1 Bio-based Product Certifications and FAR 52.223-2 Affirmative Procurement of Biobased Products Under Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current USDA standards for biobased materials content. The following exemptions may apply to the non-procurement of biobased content materials:

a. The product does not meet appropriate performance standards;

b. The product is not available within a reasonable time frame;

c. The product is not available competitively (from two or more sources);

d. The product is only available at an unreasonable price (compared with a comparable bio-based content product)."

Biobased content values may be determined by weight or volume percent, but must be consistent throughout.

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

   (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

   (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

   (3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

   1) Add an entire drawing to contract drawings

   2) Change the contract drawing to show

3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications and compliance with FC 1-300-09N procedures.

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract

work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 RECORD DRAWINGS

Prepare and provide Record Drawings and Source Documents in accordance with FC 1-300-09N. Provide four copies of Record Drawings and Documents on separate CDs or DVDs 30 days after BOD.

3.3 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide four electronic copies of the Operation and Maintenance Manual files. Submit to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

3.4 CLEANUP

Provide final cleaning in accordance with ASTM E1971 and submit two copies of the listing of completed final clean-up items. Leave premises "broom clean." Comply with GS-37 for general purpose cleaning and bathroom cleaning. Use only nonhazardous cleaning materials, including natural cleaning materials, in the final cleanup. Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment and comply with the Indoor Air Quality (IAQ) Management Plan. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, and 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
08/15, CHG 2: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Operation and Maintenance Data; G

Training Plan; G

Training Outline; G

Training Content; G

SD-11 Closeout Submittals

Training Video Recording; G

Validation of Training Completion; G

1.3 OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data for the provided equipment, product, or system, defining the importance of system interactions, troubleshooting, and long-term preventive operation and maintenance. Compile, prepare, and aggregate O&M data to include clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.3.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed

instructions, general operating procedures, and safety precautions.

1.3.2 Package Content

Provide data package content in accordance with paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES. Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows. Use Data Package 3 for commissioned items without a specified data package requirement in the individual technical sections. Provide a Data Package 3 instead of Data Package 1 or 2, as specified in the individual technical section, for items that are commissioned.

1.3.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

1.4 OPERATION AND MAINTENANCE MANUAL FILE FORMAT

Assemble data packages into electronic Operation and Maintenance Manuals. Assemble each manual into a composite electronically indexed file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete electronically linked operation and maintenance directory.

1.4.1 Organization

Bookmark Product and Drawing Information documents using the current version of CSI MasterFormat numbering system, and arrange submittals using the specification sections as a structure. Use CSI MasterFormat and UFGS numbers along with descriptive bookmarked titles that explain the content of the information that is being bookmarked.

1.4.2 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number

b. Project Title

c. Activity and Location

d. Construction Contract Number

e. Prepared For: (Contracting Agency)

f. Prepared By: (Name, title, phone number and email address)

g. Include the disk content on the disk label

h. Date

i. Virus scanning program used

1.5 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

The following are a detailed description of the data package items listed in paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES.

1.5.1 Operating Instructions

Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.5.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENT SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard.

1.5.1.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.5.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.5.1.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.5.1.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.5.1.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.5.1.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item

equipment should not be allowed to run.

1.5.1.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.5.2 Preventive Maintenance

Provide the following information for preventive and scheduled maintenance to minimize repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.5.2.1 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.

c. A Lubrication Schedule showing service interval frequency.

1.5.2.2 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each of each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.5.2.3 Cleaning Recommendations

Provide environmentally preferable cleaning recommendations in accordance with ASTM E1971.

1.5.3 Repair

Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.5.3.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.5.3.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.5.3.3 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

1.5.3.4 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.5.3.5 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.5.3.6 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.5.4 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the

product or equipment. Include the following:

1.5.4.1 Product Submittal Data

Provide a copy of SD-03 Product Data submittals documented with the required approval.

1.5.4.2 Certificates

Provide a copy of SD-07 Certificates submittals documented with the required approval.

1.5.4.3 Manufacturer's Instructions

Provide a copy of SD-08 Manufacturer's Instructions submittals documented with the required approval.

1.5.4.4 O&M Submittal Data

Provide a copy of SD-10 Operation and Maintenance Data submittals documented with the required approval.

1.5.4.5 Parts Identification

Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog.

1.5.4.6 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.5.4.7 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.5.4.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.5.4.9 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.5.4.10 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.5.4.11 Field Test Reports and Manufacturer's Field Reports

Provide a copy of Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals documented with the required approval.

1.5.4.12 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. The information required in each type of data package follows:

1.6.1 Data Package 1

a. Safety precautions and hazards

b. Cleaning recommendations

c. Maintenance and repair procedures

d. Warranty information

e. Extended warranty information

f. Contractor information

g. Spare parts and supply list

1.6.2 Data Package 2

a. Safety precautions and hazards

b. Normal operations

c. Environmental conditions

d. Lubrication data

e. Preventive maintenance plan, schedule, and procedures

f. Cleaning recommendations

g. Maintenance and repair procedures

h. Removal and replacement instructions

i. Spare parts and supply list

j. Parts identification

k. Warranty information

l. Extended warranty information

m. Contractor information

1.6.3 Data Package 3

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Environmental conditions

g. Operating log

h. Lubrication data

i. Preventive maintenance plan, schedule, and procedures

j. Cleaning recommendations

k. Troubleshooting guides and diagnostic techniques

l. Wiring diagrams and control diagrams

m. Maintenance and repair procedures

n. Removal and replacement instructions

o. Spare parts and supply list

p. Product submittal data

q. O&M submittal data

r. Parts identification

s. Warranty information

t. Extended warranty information

u. Testing equipment and special tool information

v. Testing and performance data

w. Contractor information

x. Field test reports

1.6.4 Data Package 4

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Operator service requirements

g. Environmental conditions

h. Operating log

i. Lubrication data

j. Preventive maintenance plan, schedule, and procedures

k. Cleaning recommendations

l. Troubleshooting guides and diagnostic techniques

m. Wiring diagrams and control diagrams

n. Repair procedures

o. Removal and replacement instructions

p. Spare parts and supply list

q. Repair work-hours

r. Product submittal data

s. O&M submittal data

t. Parts identification

u. Warranty information

v. Extended warranty information

w. Personnel training requirements

x. Testing equipment and special tool information

y. Testing and performance data

z. Contractor information

aa. Field test reports

1.6.5 Data Package 5

a. Safety precautions and hazards

b. Operator prestart

c. Start-up, shutdown, and post-shutdown procedures

d. Normal operations

e. Environmental conditions

f. Preventive maintenance plan, schedule, and procedures

g. Troubleshooting guides and diagnostic techniques

h. Wiring and control diagrams

i. Maintenance and repair procedures

j. Removal and replacement instructions

k. Spare parts and supply list

l. Product submittal data

m. Manufacturer's instructions

n. O&M submittal data

o. Parts identification

p. Testing equipment and special tool information

q. Warranty information

r. Extended warranty information

s. Testing and performance data

t. Contractor information

u. Field test reports

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the eOMSI Manual, as submitted in Section 01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI). Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the Quality Control Manager (QC) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC. Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The QC is responsible for overseeing and approving the content and adequacy of the training. Provide a brief summary of the FACILITY INFORMATION manual, and a more detailed presentation of the PRODUCT AND DRAWING MANUAL, specified in Section 01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE

SUPPORT INFORMATION (eOMSI). Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

3.1.3 Training Outline

Provide the eOMSI Manual files as specified in Section 01 78 24.00 20, FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI), and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the

training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

-- End of Section --

SECTION 01 78 24.00 20

FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI)
02/15, CHG 3: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N	(2014; with Change 4, 2018) Navy and Marine Corps Design

1.2 DEFINITIONS AND ABBREVIATIONS

1.2.1 eOMSI Manual

Manual (PDF file) provided by the Contractor that includes, but is not limited to, product information, a facility description with photos, and a list of primary facility systems.

1.2.2 eOMSI Facility Data Workbook (FDW)

A Microsoft Excel file containing required facility information populated by the Contractor.

1.2.3 Systems

The words "system", "systems", and "equipment", when used in this document refer to as-built systems and equipment.

1.2.4 Computer Assisted Design and Drafting (CADD)

Electronic Computer Assisted Design and Drafting graphic software program that is used to create facility design contract documents and Record Drawings.

1.2.5 KTR

An abbreviation for "Contractor."

1.3 eOMSI MEETINGS

1.3.1 Topics

Be prepared to discuss the following during this meeting:

a. eOMSI Manual and eOMSI Facility Data Workbook Coordination Meeting

b. Processes and methods of gathering eOMSI Manual and eOMSI Facility Data Workbook information during construction.

c. The eOMSI Submittals schedule. Include the eOMSI submittal schedule

on the Baseline Network Analysis Schedule (NAS) in accordance with Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES.

d. Electronic eOMSI Facility Data Workbook file for Contractor's use and completion.

1.3.2 eOMSI Manual and Facility Data Workbook Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the eOMSI Progress Submittal. Meeting attendance must include the Contractor's eOMSI Manual and Facility Data Workbook Preparer, and Quality Control Manager, and the Government's Design Manager (DM), Contracting Officer's Representative, and NAVFAC Public Works (PW) Facilities Management Division (FMD). Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for eOMSI and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation and timely Government review of eOMSI.

1.3.3 Facility Turnover Meeting

Include eOMSI in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in

1.4 SUBMITTAL SCHEDULING

1.4.1 eOMSI, Progress Submittal

Submit the Progress submittal when construction is approximately 50 percent complete, to the Contracting Officer for approval. Provide eOMSI Manual Files (Bookmarked PDF) and eOMSI Facility Data Workbook (Excel). Include the elements and portions of system construction completed up to this point.

The purpose of this submittal is to verify progress is in accordance with contract requirements as discussed during the eOMSI Manual Coordination Meeting. Field verify a portion of the eOMSI information in accordance with paragraph FIELD VERIFICATION.

1.4.2 eOMSI, Prefinal Submittal

Submit the 100 percent submittal of the eOMSI Prefinal Submittal to the Contracting Officer for approval within 45 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of eOMSI Progress submittal must be corrected prior to the Prefinal submission.

The eOMSI Prefinal Submittal must include eOMSI Manual Files (Bookmarked PDF) and eOMSI Facility Data Workbook (Excel).

1.4.3 eOMSI, Final Submittal

Submit completed eOMSI Manual Files (Bookmarked PDF) and eOMSI Facility

Data Workbook (Excel). The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal eOMSI submittal, including the Field Verification, must be corrected prior to the Final eOMSI submission.

1.5 UNITS OF MEASURE

Provide eOMSI utilizing the units of measure

1.6 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-11 Closeout Submittals

eOMSI, Progress Submittal; G

eOMSI, Prefinal Submittal; G

eOMSI, Final Submittal; G

PART 2 PRODUCTS

2.1 eOMSI FILES FORMAT

Format eOMSI manuals and files in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Include a complete electronically linked operation and maintenance directory. Provide four electronic copies of the eOMSI Manuals to the Contracting Officer for approval.

Provide eOMSI Facility Data Workbook on compact disks (CD) or data digital versatile disk (DVD) disks in (EXCEL) format. Scan eOMSI Manual Files and eOMSI Facility Data Workbook for viruses, malware, and spyware using a commercially available scanning program that is routinely updated to identify and remove current virus threats.

2.1.1 eOMSI Manual Organization

Organize the eOMSI Manuals into two parts: 1) Product and Drawing Information, and 2) Facility Information. Bookmark the PDF files for easy access to the information.

a. Bookmark Product and Drawing Information documents in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

b. Bookmark Facility Information to at least one level lower than the major system.

2.1.2 eOMSI Manual CD or DVD Disk Label and Disk Holder or Case

Provide disks in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

2.2 eOMSI MANUAL

2.2.1 Product and Drawing Information

Provide an organized record of the facility products, materials, equipment, and testing submittals, and the minimum information necessary to operate the facility. Provide Product and Drawing Information for the systems of the final constructed facility.

2.2.1.1 O&M Data

As a minimum, provide the approved O&M Data, submitted in the technical specification sections, in accordance with paragraph TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES in Section 01 78 23 OPERATION AND MAINTENANCE DATA.

2.2.1.2 Record Drawings

Provide an electronic, PDF copy of the Record Drawings, prepared in accordance with FC 1-300-09N and 01 78 00 CLOSEOUT SUBMITTALS. Bookmark drawings using the sheet title and sheet number.

Include Record Drawings as part of the Red-Zone specified in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS.

2.2.1.3 Utility Record Drawings

Using Record Source Drawings, show and document details of the actual installation of the utility systems; annotate and highlight the eOMSI information. Provide Utility Record Drawings in PDF format. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

a. Utility Schematic Diagrams - Provide a one line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the five-foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/ handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

b. Enlarged Connection and Cutoff Plans - Provide enlarged floor plans that provide information between the five foot utility connection point and where utilities connect to facility distribution. Enlarge floor plans/ elevations of the rooms where the utility enters the building and indicate on these plans locations of the main interior and exterior connection and cutoff points for the utilities. Also enlarge floor plans / elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number, of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

2.2.2 Facility Information

Provide the following in Facility Information:

2.2.2.1 General Facility and System Description

Describe the function of the facility. Detail the overall dimensions of the facility, and facility Category Code. List and generally describe all the facility systems and any special building features (for example, the overhead cranes). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

2.2.2.2 Floor Plans

Provide uncluttered, legible 11 by 17 inches floor plans. Include room numbers, type or function of spaces, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

2.2.2.3 Lighting Fixtures

Provide a table that lists by room number (including hallways and common spaces), the type of lighting fixture, ballast, number of lighting fixtures, type of lamps and number of lamps, and the manufacturer's product name and the identifying number. The table must include a facility summary of the total number of fixtures of each type and number of lamps of each type.

2.2.2.4 Equipment Listing

Provide a table that lists the major equipment shown on the design equipment schedules. Show the item descriptions, locations, model numbers; and the names, addresses, and telephone numbers of the manufacturers, suppliers, contractors, and subcontractors.

2.2.2.5 Riser Diagrams

Provide riser diagrams and settings of equipment.

2.3 eOMSI FACILITY DATA WORKBOOK

The following tabs are included in the eOMSI Facility Data File Workbook and serve the purpose stated:

a. Instructions Tab: Instructions for completing Model & Facility Data Matrix Tab and KTR Facility Data File Tab. If a discrepancy exists between what is required in this section and the Workbook, the instructions within the workbook take precedence.

b. Model & Facility Data Matrix Tab: - The Matrix lists Required Facility Asset Fields for each SYSTEM and SUBSYSTEM. The Designer of Record selects SYSTEMS and SUBSYSTEMS that are within the project scope, which the Contractor needs to include and populate in KTR Facility Data File tab. The "Required Facility Asset Field Position Numbers," one through thirty-five, are pre-populated, and are not editable.

c. Required Facility Asset Fields Tab: Defines the 35 Required Facility Asset Field Position Numbers used in Model and Facility Data Matrix and KTR Facility Data File tabs.

d. KTR Sample Facility Data File Tab: Sample KTR eOMSI facility data file. This tab provides an example of the mandatory fields of

equipment installed by the Contractor, and populated in the KTR eOMSI Facility Data File Tab, along with their descriptions.

e. KTR Facility Data File Tab: Required eOMSI facility data file deliverable provided to the Government. Provide a separate and unique new row for each facility component or piece of equipment installed. Coordinate with the Government's Contracting Officer's Representative and NAVFAC PW FMD for specific facility component naming convention.

PART 3 EXECUTION

3.1 FIELD VERIFICATION

Field verify eOMSI Facility Data Workbook information with Contractor and Government personnel. Include the following personnel in this meeting: Contractor's eOMSI Manual and Facility Data Workbook Preparer and Quality Control Manager, and the Government's Contracting Officer's Representative, NUWC WHE Program Manager, NAVFAC PW FMD, and NAVFAC WHE Team. Request, and provide, an eOMSI Field Verification Meeting no sooner than 14 calendar days after submission of the Progress eOMSI submittal, and another, no sooner than 14 calendar days after submission of the Prefinal eOMSI submittal. During this meeting, the Government and Contractor will verify that the eOMSI Facility Data Workbook is complete and accurate.

Field verify that at least 5 Subsystems under each of the Mastersystems are accurate, for a total of 25 Subsystems. For each of these items, verify that the required facility asset field, as defined in the "Model & Facility Data Matrix" tab, contains the specified data and it is accurate (i.e. item description, manufacturer, model no., serial no.). 100 percent accuracy of eOMSI information is required for successful field verification. If data discrepancies are discovered amongst the 25 Subsystems verified, resubmit an updated eOMSI FDW, and request a make-up field verification meeting. At the make-up field verification meeting 25 new Subsystems and their associated required facility asset fields will be field verified; the 25 new Subsystems must be 100 percent accurate. Any discrepancies discovered must be corrected prior to next eOMSI Facility Data Workbook Submittal.

(1) D10 - CONVEYING
(2) D20 - PLUMBING
(3) D30 - HVAC
(4) D40 - FIRE PROTECTION
(5) D50 - ELECTRICAL

3.2 eOMSI TRAINING

Provide training on eOMSI Manuals and Facility Data Workbook in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

-- End of Section --

SECTION 01 91 00.15 20

TOTAL BUILDING COMMISSIONING
02/21, CHG 1: 05/21

PART 1 GENERAL

Total Building Commissioning (TBCx) is a systematic, quality-focused process for enhancing the delivery of a project that focuses on verifying and documenting that all of the commissioned systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the project requirements. The purpose is to reduce the cost and performance risks associated with delivering facilities projects, and to increase value to owners, occupants, and users.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 202	(2018) Commissioning Process for Buildings and Systems

ASSOCIATED AIR BALANCE COUNCIL (AABC)

ACG Commissioning Guideline	(2005) Commissioning Guideline

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB Commissioning Standard	(2009) Procedural Standards for Whole Building Systems Commissioning of New Construction; 3rd Edition

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 014	(2013) HVAC Systems Commissioning Manual, 2nd Edition

NAVAL FACILITIES ENGINEERING SYSTEMS COMMAND / NAVY CRANE CENTER

NAVFAC P-307 Weight Handling Program Management (Jan 2025)

1.2 DEFINITIONS

Commissioning Process (Cx) - a quality-focused process for enhancing the delivery of a project. Refer to ASHRAE 202 for a comprehensive description of the commissioning process.

Commissioning Provider (CxC) - The entity who leads, plans, and coordinates the Commissioning Team. The terms Commissioning Provider, Commissioning Firm, Lead Commissioning Specialist, Commissioning Specialist, and Commissioning Authority (CA or CxA) when used by sustainable Third Party Certification (TPC) programs, are interchangeable.

Commissioning Authority – The Government retains the authority for oversight and assurance of the entire commissioning process, and final approval of all commissioning deliverables.

Government Acceptance Testing Representatives - Government Acceptance Testing Representatives perform the inherently Governmental function of technical oversight and quality assurance for critical systems, and is distinctly separate from the commissioning process. Government Acceptance Testing Representatives witness final testing of critical systems and report systems' acceptance to the COR. Submittals to be surveilled and approved by Government Acceptance Testing Representatives are identified in Section 01 33 00 SUBMITTAL PROCEDURES. Testing required to be witnessed by Government Acceptance Testing Representatives are indentified in system level sections.

1.3 COMMUNICATION WITH THE GOVERNMENT

The Lead Commissioning Specialist (CxC) must submit all plans, schedules, reports, and documentation directly to the Contracting Officer's Representative concurrent with submission to the QC Manager.

The Lead Commissioning Specialist must have direct communication with the Contracting Officer's Representative regarding all elements of the commissioning process; however, the Government has no direct contract authority with the Lead Commissioning Specialist.

1.4 COMMUNICATION WITH GOVERNMENT ACCCEPTANCE TESTING REPRESENTATIVES

The QC Manager must communicate directly with the Government Acceptance Testing Representatives and Contracting Officer's Representative regarding Government acceptance testing activities. Inform the Contracting Officer's Representative when systems are ready for testing to be witnessed by Government Acceptance Testing Representatives, and allow access to the construction site and system(s) to be tested.

1.5 SYSTEMS TO BE COMMISSIONED

Coordinate commissioning and quality control activities for the following systems, equipment, and associated controls. System-specific requirements are located in the associated specification Sections. Commission the following systems, equipment, and associated controls in accordance with this section and the inspection, testing, and quality control requirements of their respective sections:

Heating, ventilating, air-conditioning, and refrigeration systems (mechanical and passive) and associated controls

Crane and Weight Handling Equipment.

Electric power service for crane and associated cranelighting systems

1.6 COMMISSIONING TEAM

The Commissioning team will include, but is not limited to the following

team members.

Ensure all Construction Activities for systems to be commissioned are coordinated with the appropriate commissioning team members.

a. Lead Commissioning Specialist (CxC)

b. Quality Control Manager (QCM)

c. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned

d. Construction Manager (CM)

e. Designer of Record (DOR)

f. Technical Commissioning Specialists for each system to be commissioned

g. TAB Representative

h. Equipment manufacturer representatives

i. Government Contracting Officer

j. Government Representatives

k. Government Acceptance Testing Representatives

l. Installation Maintenance Representative

m. Facility End User

1.7 PROJECT SCHEDULE

Include the following tasks in the project schedule required by Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES. Ensure sufficient time is scheduled to complete each item. The order of items listed below is not intended to imply a specified sequence:

a. Submission and approval of the Commissioning Firm Qualifications

b. Submission and approval of the Interim and Final Construction Phase Commissioning Plans

c. Commissioning Kickoff Coordination Meeting

d. Regular Commissioning Coordination Meetings

e. Installation of permanent utilities (electric)

f. Manufacturer's Equipment Start-Up for each of the systems to be commissioned

g. Submission and approval of the Completed Pre-Functional Checklists

h. Submission and approval of Certificate of Readiness for each system to be commissioned

i. Functional Performance Testing for each system to be commissioned

j. Integrated Systems Tests

k. Post-test deficiency correction for each system to be commissioned

l. Re-Testing

m. Training for each of the systems to be commissioned

n. Submission and approval of the Initial and Final Commissioning Reports

o. Seasonal Testing

p. Final testing required to be witnessed by Government Acceptance Testing Representatives, as identified in system level sections.

q. Warranty Phase Site Visit

r. Updated Commissioning report

1.8 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Interim Construction Phase Commissioning Plan; G

Initial Commissioning Report; G

Issues Log; G

Completed Pre-Functional Checklists; G

Final Construction Phase Commissioning Plan; G

SD-07 Certificates

Commissioning Firm; G

Certificate Of Readiness; G

SD-11 Closeout Submittals

Final Commissioning Report; G

Updated Final Commissioning Report; G

1.9 COMMISSIONING FIRM

Employ the services of a Commissioning Firm and all Commissioning Specialists required to perform work for this project. The Commissioning Firm must be a first-tier subcontractor that is financially and corporately independent from contractor and all other subcontractors and the Designer of Record.

a. Submit the Commissioning Firm's and Commissioning Specialists' qualifications, including the name of the firm and each CxC and each certification, no later than 60 calendar days after Notice to Proceed.

b. If, for any reason, a specialist loses a certification during this period, immediately notify the Contracting Officer and submit another Commissioning Specialist for approval. Validate all work performed for this project by the CxC who lost a certification by an approved successor.

1.9.1 Commissioning Specialists (CxC)

Assign Lead Commissioning Specialist and other appropriate Commissioning Specialists for the systems to be commissioned.

1.9.1.1 Lead Commissioning Specialist (CxC)

Lead Commissioning Specialist (CxC) coordinates all aspects of the commissioning process. Duties include leading and overseeing the commissioning work, and acting as the primary point of contact for the commissioning work. CxC may serve as a systems Specialist if all requirements for both designations are met. CxC must have a minimum of five years of commissioning experience, including two projects of similar size and complexity to this project.

CxC must be certified in one of the following:

NEBB qualified Systems Commissioning Administrator (SCA)

ACG Certified Commissioning Authority (CxA)

ICB/TABB Certified Commissioning Supervisor

BCA Certified Commissioning Professional (CCP)

AEE Certified Building Commissioning Professional (CBCP)

University of Wisconsin-Madison Qualified Commissioning Process Provider (QCxP)

ASHRAE Building Commissioning Professional (BCxP).

1.9.1.2 Commissioning Specialists

Commissioning Specialists with the following qualifications must perform the technical work associated with each system to be commissioned:

a. Overhead Electric Travelling (OET)Crane Commissioning Specialist: The technical work associated with OET Crane systems to be commissioned must be performed by a Commissioning Specialist familiar with the NAVFAC P-307 and NAVY crane testing and acceptance requirements with five years of experience in the commissioning of OET Crane systems.

b. Electrical Commissioning Specialist: The technical work associated with electrical systems to be commissioned must be performed by an engineering technician with five years of experience inspecting, testing, and calibrating electrical distribution and generation equipment, systems, and devices.

1.9.2 Commissioning Standard

Comply with the requirements of the commissioning standard under which the Commissioning Firm and Specialists qualifications are approved. When the firm and specialists are certified by BCA, AEE, ASHRAE, or the University of Wisconsin-Madison, comply with the requirements of one of these acceptable standards: ACG Commissioning Guideline, NEBB Commissioning Standard, ANSI/SMACNA 014, or ASHRAE 202. Comply with applicable NETA testing standards for electrical systems.

a. Implement all recommendations and suggested practices contained in the Commissioning Standard and electrical test standards.

b. Use the Commissioning Standard for all aspects of Commissioning, including calibration of instruments.

c. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the Commissioning Standard, adhere to the manufacturer calibration recommendations.

d. All quality assurance provisions of the Commissioning Standard such as performance guarantees are part of this contract.

e. The Commissioning Specialists must develop commissioning procedures for any systems or system components not covered in the Commissioning Standard.

f. Use any new requirements, recommendations, and procedures published or adopted by the body responsible for the Commissioning Standard at the time of project award.

g. If there is a conflict between the requirements of the contract documents and the commissioning standard used, the contract documents take precedent.

1.10 ISSUES LOG

The Commissioning Specialist develops and maintain an Issues Log for the systems to be commissioned. The issues log documents and tracks resolution of deficiencies identified during submittal reviews, inspection, and testing. At any point during construction, any commissioning team member finding deficiencies may communicate those deficiencies in writing to the Commissioning Specialist for inclusion into the Issues Log. For each issue, the Issues Log includes, but is not limited to, a unique reference number, description of the issue with contract requirement referenced, location of or equipment name/tags exhibiting the issue, the initials of the individual's name whom reported the issue, the date of first observation, the proposed resolution of the issue and date proposed, the date of any subsequent observations with applicable additional information, and the date of implementation of the final resolution of the issue as confirmed by the Commissioning Specialist and Contracting Officer. Issues must not be deleted from the issues log.

CxC must submit the Issues Log monthly and within three working days from changes to the Issue Log. The CxC is responsible for distributing the Issues Log to the Commissioning Team. The QC manager is responsible for notifying the CxC and Contracting Officer of outstanding deficiencies and tracking them to resolution in accordance with Section, "Quality Control Plan".

1.11 CERTIFICATE OF READINESS

Prior to scheduling Functional Performance Tests, the Quality Control Manager must issue a Certificate of Readiness for each system, certifying that pre-functional checks have been completed, open issues have been resolved, and the system is ready for Functional Performance Testing. The Certificate of Readiness must include, for each system to be commissioned, equipment and system start-up reports; completed Building Envelope Inspection Checklists; the Air Leakage Test Reports and Diagnostic Test Reports; completed Pre-Functional Checklists; Testing, Adjusting, and Balancing (TAB) Report; Issues Log; and HVAC Controls Start-Up Reports to the extent applicable to the system. Sign and date the Certificate of Readiness, and include signatures and dates from the CxC; the Quality Control Representative; the Mechanical, Electrical, Controls, Building Envelope, and TAB subcontractor representatives.

Submit the Certificate of Readiness for each system 14 calendar days prior to Functional Performance Tests of that system. Do not schedule Functional Performance Tests for a system until the Certificate of Readiness is approved by the Government.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 CONSTRUCTION SUBMITTAL REVIEWS

Coordinate construction submittal document reviews for commissioned systems and assemblies with the CxC. The commissioning submittal review does not replace the designer of record (DoR) or Government submittal review, in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

The CxC must identify construction submittals to be provided by the contractor for the commissioned systems. The CxC must evaluate construction submittals for compliance with the contract documents. Include a copy of the submittal document review transmittal and response in the Commissioning Report.

3.2 COMMISSIONING KICKOFF MEETING

Conduct a Commissioning Kickoff Meeting, led by the CxC, after approval of the Commissioning Firm and Commissioning Specialists, and no later than 60 days following construction notice to proceed. Discuss the commissioning process including contract requirements, lines of communication, roles and responsibilities, schedules, documentation requirements, inspection and test procedures, and logistics as specified in this section.

The Quality Control team, Designer of Record, and the Government Acceptance Testing Representatives and other Government team members must attend this meeting. Invite the User and a Public Works Division Representative to attend this meeting.

3.3 REGULAR COMMISSIONING COORDINATION MEETINGS

The Quality Control team, Designer of Record, and the Government Acceptance Testing Representatives and other Government team members must

attend this meeting. Invite the User and a Public Works Division Representative to attend this meeting.

CxC must conduct monthly commissioning coordination meetings when installation of commissioned systems begins. Provide status of commissioned systems, open issues log items, outstanding submittals, and upcoming commissioning activities. Conduct bi-weekly commissioning coordination meetings within 30 days of the scheduled date for functional performance testing.

3.4 CONSTRUCTION PHASE COMMISSIONING PLANS

The Interim Construction Phase Commissioning Plan identifies the commissioning and testing standards and outline the overall commissioning process, the commissioning schedule, the commissioning team members and responsibilities, lines of communication, documentation requirements for the construction phase of the project, and Template Building Envelope Inspection Checklists. Submit the Interim Construction Phase Commissioning Plan 14 calendar days after the Construction Commissioning Coordination Meeting.

The Final Construction Phase Commissioning Plan includes the information provided in the Interim Construction Phase Commissioning Plan as well as the Pre-Functional Checklists, Integrated Systems Test Checklists, and Functional Performance Test Checklists for each system required to be commissioned, and for each component for inclusion in the Final Construction Phase Commissioning Plan. Submit the Final Construction Phase Commissioning Plan no later than 45 calendar days prior to the start of Pre-Functional Checks.

3.4.1 Pre-Functional Checklists

The Pre-Functional Checklists must include items for physical inspection or testing that demonstrate that installation and start-up of equipment and systems is complete. Refer to paragraph PRE-FUNCTIONAL CHECKS. Pre-functional checklists must be tailored to verify the specific installation requirements and details of the construction documents and manufacturer's instructions.

3.4.2 Functional Performance Test Checklists

Functional Performance Test Checklists must include procedures that explain, step-by-step, the actions and expected results that will demonstrate that the system performs in accordance with the contract. Refer to paragraph FUNCTIONAL PERFORMANCE AND INTEGRATED SYSTEMS TESTS. Include the following sections and details appropriate to the systems being tested in the Functional Performance Test Checklists:

a. Notable system features including information about controls to facilitate understanding of system operation

b. Conclusions and recommendations. Conclusions must clearly indicate if system does or does not perform in accordance with contract requirements. Recommendation must clearly indicate that the system should or should not be approved by the Government.

c. Test conditions including date, beginning and ending time, and beginning and ending outdoor air conditions

d. Attendees

e. Identification of the equipment involved in the test

f. Control system feature identification

g. Point-to-point observations including demonstrating system flow meters and sensors have been calibrated and are correctly displayed on the Operator work station

h. Actuator operation observations demonstrating actuator responses to commands from the control system

i. As-found condition of the system operation

j. List of test items with step numbers along with the corresponding feature or control operation, intended test procedure, expected system response, and pass/fail indication.

k. Space for comments for each test item.

3.4.3 Integrated Systems Test Checklists

Integrated Systems Test Checklists must include test procedures that explain, step-by-step, the actions and expected results that will demonstrate that the interactive operations between systems performs in accordance with the contract. Refer to paragraph FUNCTIONAL PERFORMANCE AND INTEGRATED SYSTEMS TESTS. Include the following sections in the Integrated Systems Test Checklists:

a. Notable features of the interconnected systems organized by discipline including information to facilitate understanding of system operation

b. Conclusions and recommendations. Conclusions must clearly indicate if the systems do or do not perform in accordance with contract requirements. Recommendation must clearly indicate that the systems should or should not be approved by the Government

c. Test conditions including date and beginning and ending time

d. Identification of the equipment and systems involved in the test

e. List of test items with step numbers along with the corresponding feature or control operation, intended test procedure, expected system response, and pass/fail indication.

f. Space for comments for each test item.

3.5 PRE-FUNCTIONAL CHECKS

Complete one Pre-Functional Checklist for each individual item of equipment or system for each system required to be commissioned including, but not limited to, ductwork, piping, equipment, fixtures (lighting and plumbing), and controls. Indicate commissioning team member inspection and validation of each Pre-Functional Checklist item by initials. Validation of each Pre-Functional Checklist item by each team member indicates that item conforms to the contract documents and validated design in their area of responsibility. Commissioning Specialist validation of each Pre-Functional Checklist item indicates that each item

has been installed correctly and in accordance with contract documents and the Owner's Project Requirements (OPR). Submit the initialed and Completed Pre-Functional Checklists no later than 7 calendar days after completion of inspection of all checklists items for each system. Include manufacturer start-up checklists associated with equipment with the submission of the Pre-Functional Checklists.

3.6 FUNCTIONAL PERFORMANCE AND INTEGRATED SYSTEMS TESTS

Demonstrate that all system components have been installed, that each control device and item of equipment operates, and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents and the OPR. Provide all materials, services, and labor required to perform the Pre-Functional Checks and Functional Performance Tests.

Commissioning Specialist's duties include leading and documenting all tests for the systems to be commissioned with appropriate sub-contractors performing the Tests. The representatives listed in the paragraph Commissioning Team must attend the tests.

Perform Integrated Systems Tests only after the Functional Performance Tests for each associated system are completed with all deficiencies resolved and after the related Functional Performance Test Checklists have been signed by each commissioning team member.

3.6.1 Test Scheduling and Coordination

Conduct Initial Functional Performance Tests as soon as all contract work is completed, regardless of the season. Develop and implement means of artificial loading to demonstrate, to a reasonable level of confidence, the ability of the HVAC systems to handle peak seasonal loads. Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Functional Performance Tests.

Functional Performance Tests and Integrated Systems Tests must be performed with the CxC present. Government reserves the right to witness all tests. Coordinate test schedule with Government representatives.

3.6.2 Testing Procedures

Functional performance testing is conducted by simulating conditions at control devices to initiate a control system response. Over-writing control input values through the control system is not allowed unless approved by the Contracting Officer. Do not simulate conditions when damage to the system or building may result.

Follow the Functional Performance Test from the approved Final Construction Phase Commissioning Plan. Perform Functional Performance Tests for each item of equipment and each system required to be commissioned. Verify all sensor calibrations, control responses, safeties, interlocks, operating modes, sequences of operation, capacities, lighting levels, and all other performance requirements comply with contract, regardless of the specific items listed within the checklists provided. In general, testing must progress from equipment or components to subsystems to systems to interlocks and connections between systems. Commissioning Specialists are responsible for determining the order of

components and systems to be tested. Indicate validation of each item of equipment and systems tested by signature of each commissioning team member for each test. The Quality Control Representative, Commissioning Specialists, and Contracting Officer's Representative, if present, must indicate validation after the equipment and systems are free of deficiencies.

3.6.3 Integrated Systems Tests

Follow the Integrated Systems Test Checklists from the approved Final Construction Phase Commissioning Plan. Integrated Systems Tests must be performed for the interactive operation between systems such as HVAC systems, fire protection systems, back-up electrical supply, energy generation systems, and other systems, and verify correct interactive operation, acceptable speed of response, and other contract requirements for both normal and failure modes. Examples of Integrated Systems Tests include the correct operation of HVAC systems during emergency system activation, correct operation of uninterruptible power supplies or energy generators and connected systems, or lighting system operation during power outage or emergency system activation.

3.6.4 Aborted Tests and Re-Testing

Abort any test if any deficiency prevents successful completion of the test or if any required commissioning team member is not present for the test. Re-test after all deficiencies identified during the original test have been corrected. Contracting Officer may withhold payment equivalent to lost time, re-testing, and aborted tests. These costs may include salary, travel costs, and per diem for Government team members.

3.7 TRAINING PLAN

CxC must review the training plan for training associated with the equipment and systems to be commissioned, checking that each plan has the trainer name, trainer contract information, training schedule and location. Submit review at least 30 days prior to the first training event. Incorporate CxC review comments prior to submitting training plan in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and 01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI). Update and resubmit the training plan based on any corrective action taken.

Document training attendance using training attendance rosters and submit completed attendance rosters no later than 7 calendar days following the completion of training for each system to be commissioned.

3.8 COMMISSIONING REPORT

Submit an Initial Commissioning Report no later than 14 calendar days following commissioning team validates all Functional Performance Tests and Integrated Systems Tests, with the exception of Seasonal Tests. Submit a Final Commissioning Report upon completion of training and trend log reviews. Include the following information in the Final Commissioning Report:

a. An executive summary describing the overall commissioning process, the results of the commissioning process, outstanding deficiencies and recommended resolutions, and seasonal testing that must be scheduled for a later date. Indicate, in the executive summary, whether the

systems meet the requirements of the contract documents and the OPR.

b. A list of deficiencies discovered during the commissioning process and the corrective actions taken in the report.

c. Pre-Functional Checklists, Functional Performance Test Checklists, Integrated Systems Test Checklists, the Final Construction Phase Commissioning Plan, the Issues Log, Training Attendance Rosters, the Design Review Reports, Submittal Review Report, and the approved TAB Report.

3.9 WARRANTY PHASE SITE VISIT

The Lead Commissioning Specialist must visit the building site concurrent with the 9 month warranty inspection to inspect building system equipment and review building operation with the building operating/maintenance staff, and identify any deficiency of the building systems to operate in accordance with the contract documents and the OPR. The Commissioning Specialist must notify the Contracting Officer of any identified deficiencies and the proposed corrective action. Submit Updated Final Commissioning Report and Systems Manuals, documenting the results of the warranty phase inspection. Include other warranty phase activities, such as Seasonal testing results.

-- End of Section --

SECTION 02 41 00

DEMOLITION AND DECONSTRUCTION
05/10, CHG 2: 02/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 145	(1991; R 2012) Standard Specification for Classification of Soils and Soil-Aggregate Mixtures for Highway Construction Purposes
AASHTO T 180	(2017) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.6	(2006) Safety & Health Program Requirements for Demolition Operations - American National Standard for Construction and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety -- Safety and Health Requirements Manual

U.S. DEFENSE LOGISTICS AGENCY (DLA)

DLA 4145.25	(Jun 2000; Reaffirmed Oct 2010) Storage and Handling of Liquefied and Gaseous Compressed Gases and Their Full and Empty Cylinders http://www.aviation.dla.mil/UserWeb/aviationengineerir

U.S. DEPARTMENT OF DEFENSE (DOD)

DOD 4000.25-1-M	(2006) MILSTRIP - Military Standard Requisitioning and Issue Procedures
MIL-STD-129	(2014; Rev R; Change 1 2018; Change 2 2019) Military Marking for Shipment and Storage

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous

	Air Pollutants
49 CFR 173.301	Shipment of Compressed Gases in Cylinders and Spherical Pressure Vessels

1.2 PROJECT DESCRIPTION

1.2.1 Definitions

1.2.1.1 Demolition

Demolition is the process of wrecking or taking out any load-supporting structural member of a facility together with any related handling and disposal operations.

1.2.1.2 Deconstruction

Deconstruction is the process of taking apart a facility with the primary goal of preserving the value of all useful building materials.

1.2.1.3 Demolition Plan

Demolition Plan is the planned steps and processes for managing demolition activities and identifying the required sequencing activities and disposal mechanisms.

1.2.1.4 Deconstruction Plan

Deconstruction Plan is the planned steps and processes for dismantling all or portions of a structure or assembly, to include managing sequencing activities, storage, re-installation activities, salvage and disposal mechanisms.

1.2.2 Demolition/Deconstruction Plan

Prepare a Demolition Plan and submit proposed salvage, demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a disconnection schedule of utility services, a detailed description of methods and equipment to be used for each operation and of the sequence of operations. Coordinate with Waste Management Plan in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Provide procedures for safe conduct of the work in accordance with EM 385-1-1. Plan shall be approved by Contracting Officer prior to work beginning.

1.2.3 General Requirements

Do not begin demolition or deconstruction until authorization is received from the Contracting Officer. Remove rubbish and debris from the project site; do not allow accumulations inside or outside the buildings .The work includes demolition, deconstruction, salvage of identified items and materials, and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government. Repair or replace damaged items as approved by the Contracting Officer. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload structural elements, the concrete slab-on-grade, and pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove dust, dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the Government and disconnected and sealed by the Contractor upon written request from the Contractor.

1.3.4 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Demolition Plan; G

Existing Conditions

SD-07 Certificates

Notification; G

SD-11 Closeout Submittals

Receipts

1.5 QUALITY ASSURANCE

Submit timely notification of demolition,deconstruction,and renovation projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the Regional Office of the United States Environmental Protection Agency (USEPA), State of Rhode Island environmental management agency and the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSP A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

1.5.1 Dust and Debris Control

Prevent the spread of dust and debris to occupied portions of the building and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Sweep and dust the work area daily.

1.6 PROTECTION

1.6.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of removal work, use traffic barricades with flashing lights. Notify the Contracting Officer prior to beginning such work.

1.6.2 Protection of Personnel

Before, during and after the demolition and deconstruction work continuously evaluate the condition of the structure being demolished and deconstructed and take immediate action to protect all personnel working

in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.7 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items as approved by the Contracting Officer.

1.8 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs sized 4 inch will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to before starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results.

PART 2 PRODUCTS

2.1 FILL MATERIAL

a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures.

b. Fill material shall conform to the definition of satisfactory soil material as defined in AASHTO M 145, Soil Classification Groups A-1, A-2-4, A-2-5 and A-3. In addition, fill material shall be free from roots and other organic matter, trash, debris, frozen materials, and stones larger than 2 inches in any dimension.

c. Proposed fill material must be sampled and tested by an approved soil testing laboratory, as follows:

Soil classification	AASHTO M 145
Moisture-density relations	AASHTO T 180, Method B or D

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Existing construction scheduled to be removed for reuse shall be disassembled.

Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Materials shall be designated for reuse onsite whenever possible.

3.1.1 Structures

a. Remove existing structures indicated to be removed .

b. Demolish structures in a systematic manner from the top of the structure to the ground. C

c. Locate demolition and deconstruction equipment throughout the structure and remove materials so as to not impose excessive loads to supporting walls, floors, or framing.

3.1.2 Utilities and Related Equipment

3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.2.2 Disconnecting Existing Utilities

Remove existing utilities , as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area. Remove meters and related equipment and deliver to a location on the station in accordance with instructions of the Contracting Officer.

3.1.3 Structural Steel

Dismantle structural steel at field connections and in a manner that will prevent bending or damage. Do not use flame-cutting torches . Transport steel beams and girders as whole units and not dismantled. Transport structural steel shapes to a designated area as directed by the Contracting Officer, stacked according to size, type of member and length, and stored off the ground, protected from the weather. Contractor shall re-use structural members if it meets design requirements. Otherwise, Contractor shall remove and dispose of off-site in a legal manner. Scrap metal shall become the Contractor's property.

3.1.4 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Finished

surfaces of patched area shall be flush with the adjacent existing surface and shall match the existing adjacent surface as closely as possible as to texture and finish. Patching shall be as specified and indicated, and shall include:

a. Concrete and Masonry: Completely fill holes and depressions, caused by previous physical damage or left as a result of removals in existing masonry walls to remain, with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.

3.1.5 Mechanical Equipment and Fixtures

Disconnect mechanical hardware at the nearest connection to existing services to remain, unless otherwise noted. Disconnect mechanical equipment and fixtures at fittings. Remove service valves attached to the unit. Salvage each item of equipment and fixtures as a whole unit; listed, indexed, tagged, and stored. Salvage each unit with its normal operating auxiliary equipment.

3.1.5.1 Preparation for Storage

Remove water, dirt, dust, and foreign matter from units; tanks, piping and fixtures shall be drained; interiors, if previously used to store flammable, explosive, or other dangerous liquids, shall be steam cleaned. Seal openings with caps, plates, or plugs. Secure motors attached by flexible connections to the unit. Change lubricating systems with the proper oil or grease.

3.1.5.2 Piping

Disconnect piping at unions, flanges and valves, and fittings as required to reduce the pipe into straight lengths for practical storage. Store salvaged piping according to size and type. If the piping that remains can become pressurized due to upstream valve failure, end caps, blind flanges, or other types of plugs or fittings with a pressure gage and bleed valve shall be attached to the open end of the pipe to ensure positive leak control. Carefully dismantle piping that previously contained gas, gasoline, oil, or other dangerous fluids, with precautions taken to prevent injury to persons and property. Store piping outdoors until all fumes and residues are removed. Box prefabricated supports, hangers, plates, valves, and specialty items according to size and type. Wrap sprinkler heads individually in plastic bags before boxing. Classify piping not designated for salvage, or not reusable, as scrap metal.

3.1.5.3 Ducts

Classify removed duct work as scrap metal.

3.1.5.4 Fixtures, Motors and Machines

Remove and salvage fixtures, motors and machines associated with plumbing, heating, air conditioning, refrigeration, and other mechanical system installations. Salvage, box and store auxiliary units and accessories with the main motor and machines. Tag salvaged items for identification, storage, and protection from damage. Classify broken, damaged, or otherwise unserviceable units and not caused to be broken, damaged, or otherwise unserviceable as debris to be disposed of by the Contractor.

3.1.6 Electrical Equipment and Fixtures

Salvage motors, motor controllers, and operating and control equipment that are attached to the driven equipment. Salvage wiring systems and components. Disconnect primary, secondary, control, communication, and signal circuits at the point of attachment to their distribution system.

3.1.6.1 Fixtures

Remove and salvage electrical fixtures. Salvage unprotected glassware from the fixture and salvage separately. Salvage incandescent, mercury-vapor, and fluorescent lamps and fluorescent ballasts manufactured prior to 1978, boxed and tagged for identification, and protected from breakage.

3.1.6.2 Electrical Devices

Remove and salvage switches, switchgear, transformers, conductors including wire and nonmetallic sheathed and flexible armored cable, regulators, meters, instruments, plates, circuit breakers, panelboards, outlet boxes, and similar items. Box and tag these items for identification according to type and size.

3.1.6.3 Wiring Ducts or Troughs

Remove and salvage wiring ducts or troughs. Dismantle plug-in ducts and wiring troughs into unit lengths. Remove plug-in or disconnecting devices from the busway and store separately.

3.1.6.4 Conduit and Miscellaneous Items

Salvage conduit except where embedded in concrete or masonry. Consider corroded, bent, or damaged conduit as scrap metal. Sort straight and undamaged lengths of conduit according to size and type. Classify supports, knobs, tubes, cleats, and straps as debris to be removed and disposed.

3.1.7 Overhead Electric Bridge Cranes and Hoists

Remove OET Bridge crane, hoists, and similar weight handling equipment and salvage as whole units, to the most practical extent. Remove and prepare items for salvage without damage to any of the various parts. Salvage and store rails for structural steel with the equipment as an integral part of the unit. Crane and hoist equipment shall become Contractor property and shall be removed and disposed off site in an acceptable and legal manner.

3.1.8 Items With Unique/Regulated Disposal Requirements

Remove and dispose of items with unique or regulated disposal requirements in the manner dictated by law or in the most environmentally responsible manner.

3.2 DISPOSITION OF MATERIAL

3.2.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed

and not reused or salvaged, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition, deconstruction, and removal procedures, and authorization by the Contracting Officer to begin demolition and deconstruction. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.2.2 Reuse of Materials and Equipment

Remove and store materials and equipmentindicated to be reused or relocated to prevent damage, and reinstall as the work progresses. Coordinate the re-use of materials and equipment with the re-use requirements in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Capture re-use of materials in the diversion calculations for the project.

3.2.3 Salvaged Materials and Equipment

Remove materials and equipment that are listed in the Demolition Planand specified to be removed by the Contractor and that are to remain the property of the Government, and deliver to a storage site , as directed within 5 miles of the work site.

a. Salvage items and material to the maximum extent possible.

b. Store all materials salvaged for the Contractor as approved by the Contracting Officer and remove from Government property before completion of the contract. Coordinate the salvaged materials with tracking requirements in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Capture salvaged materials in the diversion calculations for the project.

c. Remove salvaged items to remain the property of the Government in a manner to prevent damage, and packed or crated to protect the items from damage while in storage or during shipment. Items damaged during removal or storage must be repaired or replaced to match existing items. Properly identify the contents of containers. Deliver the following items reserved as property of the Government to the areas designated: No items to be salvaged by Government.

3.2.4 Transportation Guidance

Ship all ODS containers in accordance with MIL-STD-129, DLA 4145.25 (also referenced one of the following: Army Regulation 700-68, Naval Supply Instruction 4440.128C, Marine Corps Order 10330.2C, and Air Force Regulation 67-12), 49 CFR 173.301, and DOD 4000.25-1-M.

3.2.5 Unsalvageable and Non-Recyclable Material

Dispose of unsalvageable and non-recyclable noncombustible material off the NAVY site.

3.3 CLEANUP

Remove debris and rubbish from work areas. Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.4 DISPOSAL OF REMOVED MATERIALS

3.4.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations . Storage of removed materials on the project site is prohibited.

3.4.2 Burning on Government Property

Burning of materials removed from demolished and deconstructed structures will not be permitted on Government property.

3.4.3 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal disposal. Dispose of waste soil as directed.

3.5 REUSE OF SALVAGED ITEMS

Recondition salvaged materials and equipment designated for reuse before installation. Replace items damaged during removal and salvage operations or restore them as necessary to usable condition.

-- End of Section --

SECTION 05 12 00

STRUCTURAL STEEL
08/18, CHG 2: 05/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO LRFD	(8th Edition; 2017) Bridge Design Specifications

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 207	(2016; R 2017) Certification Standard for Steel Fabrication and Erection, and Manufacturing of Metal Components
AISC 325	(2017) Steel Construction Manual
AISC 326	(2009) Detailing for Steel Construction
AISC 341	(2016) Seismic Provisions for Structural Steel Buildings
AISC 360	(2016) Specification for Structural Steel Buildings
AISC 420	(2010) Certification Standard for Shop Application of Complex Protective Coating Systems
AISC DESIGN GUIDE 10	(1997) Erection Bracing of Low-Rise Structural Steel Buildings
AISC DESIGN GUIDE 7	Industrial Buildings
ANSI/AISC 303	(2022) Code of Standard Practice for Structural Steel Buildings and Bridges

AMERICAN SOCIETY FOR NONDESTRUCTIVE TESTING (ASNT)

ANSI/ASNT CP-189	(2020) ASNT Standard for Qualification and Certification of Nondestructive Testing Personnel

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B46.1	(2020) Surface Texture, Surface Roughness, Waviness and Lay

AMERICAN WELDING SOCIETY (AWS)

AWS A2.4	(2012) Standard Symbols for Welding, Brazing and Nondestructive Examination
AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel
AWS D1.8/D1.8M	(2016) Structural Welding Code—Seismic Supplement
AWS QC1	(2016) Specification for AWS Certification of Welding Inspectors

ASTM INTERNATIONAL (ASTM)

ASTM A6/A6M	(2024b) Standard Specification for General Requirements for Rolled Structural Steel Bars, Plates, Shapes, and Sheet Piling
ASTM A29/A29M	(2023) Standard Specification for General Requirements for Steel Bars, Carbon and Alloy, Hot-Wrought
ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A108	(2024) Standard Specification for Steel Bar, Carbon and Alloy, Cold-Finished
ASTM A307	(2021) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A500/A500M	(2023) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A563	(2021; E 2022a) Standard Specification for Carbon and Alloy Steel Nuts
ASTM A668/A668M	(2023) Standard Specification for Steel Forgings, Carbon and Alloy, for General Industrial Use
ASTM A992/A992M	(2022) Standard Specification for Structural Steel Shapes
ASTM A1085/A1085M	(2015) Standard Specification for Cold-Formed Welded Carbon Steel Hollow Structural Sections (HSS)
ASTM C827/C827M	(2023) Standard Test Method for Change in Height at Early Ages of Cylindrical

	Specimens of Cementitious Mixtures
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
ASTM F436/F436M	(2024) Standard Specification for Hardened Steel Washers Inch and Metric Dimensions
ASTM F844	(2019; R 2024) Standard Specification for Washers, Steel, Plain (Flat), Unhardened for General Use
ASTM F1554	(2020) Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength
ASTM F3125/F3125M	(2019) Standard Specification for High Strength Structural Bolts and Assemblies, Steel and Alloy Steel, Heat Treated, Inch Dimensions 120 ksi and 150 ksi Minimum Tensile Strength, and Metric Dimensions 830 MPa and 1040 MPa Minimum Tensile Strength

CRANE MANUFACTURERS ASSOCIATION OF AMERICA (CMAA)

CMAA 70	(2020) Specification for Top Running Bridge and Gantry Type Multiple Girder Electric Overhead Traveling Cranes

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC PA 1	(2024) Shop, Field, and Maintenance Coating of Metals
SSPC Paint 20	(2019) Zinc-Rich Primers (Type I, Inorganic, and Type II, Organic)
SSPC Paint 29	(2002; E 2004) Zinc Dust Sacrificial Primer, Performance-Based
SSPC SP 3	(2024) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-301-01	(2023; with Change 2, 2024) Structural Engineering

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR Part 1926, Subpart R	Steel Erection

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00

SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Erection and Erection Bracing Drawings; G

SD-02 Shop Drawings

Fabrication Drawings Including Details of Connections; G

SD-03 Product Data

Shop Primer; G

Welding Electrodes and Rods

Direct Tension Indicator Washers

Non-Shrink Grout: G

Tension Control Bolts: G

Recycled Content for Structural Steel

Recycled Content for Structural Steel Tubing

Recycled Content for Steel Pipe

SD-05 Design Data

Design Calculations for Steel Connections; G

Design Calculations for Steel Crane Runway Rail Beam and End Stops: G

Shoring and Temporary Bracing; G

SD-06 Test Reports

Class B Coating

Bolts, Nuts, and Washers; G

Weld Inspection Reports; G

Direct Tension Indicator Washer Inspection Reports; G

Bolt Testing Reports; G

Embrittlement Test Reports

SD-07 Certificates

Steel; G

Bolts, Nuts, and Washers; G

Galvanizing; G

Pins and Rollers; G

AISC Structural Steel Fabricator Quality Certification; G

AISC Structural Steel Erector Quality Certification

Welding Procedures and Qualifications; G

Welding Electrodes and Rods

Certified Welding Inspector

NDT Technician

Welding Procedure Specifications (WPS); G

Overhead, Top Running Crane Rail Beam; G

1.3 AISC QUALITY CERTIFICATION

Work must be fabricated by an AISC Certified Structural Steel Fabricator, in accordance with AISC 207, Category BU. Submit AISC Structural Steel Fabricator quality certification.

Work must be erected by an AISC Structural Steel Certified Erector, in accordance with AISC 207, Category CSE. Submit AISC Structural Steel erector quality certification.

1.4 SEISMIC PROVISIONS

Provide the structural steel system in accordance with AISC 341, Chapter J as amended by UFC 3-301-01.

1.5 QUALITY ASSURANCE

1.5.1 Preconstruction Submittals

1.5.1.1 Erection and Erection Bracing Drawings

Submit for record purposes. Indicate the sequence of erection, temporary shoring and bracing. The erection drawings must conform to ANSI/AISC 303. Erection drawings must be reviewed, stamped and sealed by a registered professional engineer.

1.5.2 Fabrication Drawing Requirements

Submit fabrication drawings for approval prior to fabrication. Prepare in accordance with ANSI/AISC 303, AISC 326 and AISC 325. Fabrication drawings must not be reproductions of contract drawings. Sign and seal fabrication drawings by a registered professional engineer. Include complete information for the fabrication and erection of the structure's components, including the location, type, and size of bolts, welds, member sizes and lengths, connection details, blocks, copes, and cuts. Use AWS A2.4 standard welding symbols. Shoring and temporary bracing must be designed and sealed by a registered professional engineer and submitted for record purposes, with calculations, as part of the drawings. Clearly highlight any deviations from the details shown on the contract drawings highlighted on the fabrication drawings. Explain the reasons for any deviations from the contract drawings.

1.5.3 Delegated Connection Design

Design structural steel connection indicated in the contract documents per ANSI/AISC 303, Option 3, using the connection loads indicated. Submit design calculations for steel connections signed and sealed by a registered professional engineer.

1.5.4 Certifications

1.5.4.1 Welding Procedures and Qualifications

Prior to welding, submit certification for each welder stating the type of welding and positions qualified for, the code and procedure qualified under, date qualified, and the firm and individual certifying the qualification tests. If the qualification date of the welder or welding operator is more than 6 months old, the welding operator's qualification certificate must be accompanied by a current certificate by the welder attesting to the fact that he has been engaged in welding since the date of certification, with no break in welding service greater than 6 months.

Conform to all requirements specified in AWS D1.1/D1.1M and AWS D1.8/D1.8M.

1.5.4.2 Overhead, Top Running Crane Rail Beam

Submit written field survey results for overhead, top running crane rail beam verifying tolerance requirements per CMAA 70. A licensed professional surveyor or professional engineer shall conduct the survey and document the measurements and submit a report for record.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide the structural steel system, including shop primer, complete and ready for use. Provide structural steel systems including design, materials, installation, workmanship, fabrication, assembly, erection, inspection, quality control, and testing in accordance with ANSI/AISC 303, AISC 360, AISC 341, and UFC 3-301-01 except as modified in this contract.

2.2 STEEL

2.2.1 Structural Steel

Wide flange and WT shapes, ASTM A992/A992M. Angles, Channels and Plates, ASTM A36/A36M. Provide structural steel containing a minimum of 80percent recycled content. Submit data identifying percentage of recycled content for structural steel.

2.2.2 Structural Steel Tubing

ASTM A500/A500M, Grade C. ASTM A1085/A1085M. Provide structural steel tubing containing a minimum of 25 percent recycled content. Submit data identifying percentage of recycled content for structural steel tubing.

2.2.3 Steel Pipe

ASTM A53/A53M, Type E or S, Grade B, weight class STD (Standard) or as indicated. Provide steel pipe containing a minimum of 50 percent recycled

content. Submit data identifying percentage of recycled content for steel pipe.

2.3 BOLTS, NUTS, AND WASHERS

Submit the certified manufacturer's mill reports which clearly show the applicable ASTM mechanical and chemical requirements together with the actual test results for the supplied fasteners.

2.3.1 Common Grade Bolts

2.3.1.1 Bolts

ASTM A307, Grade A, plain finish . The bolt heads and the nuts of the supplied fasteners must be marked with the manufacturer's identification mark, the strength grade and type specified by ASTM specifications.

2.3.1.2 Nuts

ASTM A563, Grade A, heavy hex style.

2.3.1.3 Washers

ASTM F844.

2.3.2 High-Strength Bolts

High strength bolts and nuts must be shipped together in the same shipping container. Fasteners indicated to be galvanized shall be tested by the supplier to show that the galvanized nut with the supplied lubricant provided may be rotated from the snug tight condition well in excess of the rotation required for pretentioned installation without stripping. The supplier shall supply nuts that have been lubricated and tested with the supplied bolts.

2.3.2.1 Bolts

ASTM F3125/F3125M, Grade A325M A325 , Type 1 Heavy Hex Head Style, plain finish .

2.3.2.2 Nuts

ASTM A563, Grade and Style as specified in the applicable ASTM bolt standard.

ccm1892.3.2.3 Washers

ASTM F436/F436M, plain carbon steel.

2.3.3 Tension Control Bolts

ASTM F3125/F3125M, Grade F1852, Type 1, twistoff style assemblies consisting of steel structural bolts with splined ends, heavy-hex carbon steel nuts, and hardened carbon steel washers. Assembly finish must be plain. Submit product data for tension control bolts.

2.3.4 Foundation Anchorage

2.3.4.1 Anchor Rods

ASTM F1554 Gr 36 , Class 1A.

2.3.4.2 Anchor Nuts

ASTM A563, Grade A, hex style.

2.3.4.3 Anchor Washers

ASTM F844.

2.3.4.4 Anchor Plate Washers

ASTM A36/A36M.

2.4 STRUCTURAL STEEL ACCESSORIES

2.4.1 Welding Electrodes and Rods

AWS D1.1/D1.1M and AWS D1.8/D1.8M. Submit product data for welding electrodes and rods.

2.4.2 Non-Shrink Grout

ASTM C1107/C1107M, with no ASTM C827/C827M shrinkage. Grout must be nonmetallic. Submit product data for non-shrink grout.

2.4.3 Welded Shear Stud Connectors

ASTM A29/A29M, Grades 1010 through 1020. AWS D1.1/D1.1M, Table 7.1, Type B.

2.4.4 Pins and Rollers

ASTM A668/A668M, Class C, D, F, or G; ASTM A108, Grades 1016 to 1030. Provide as specified in AASHTO LRFD, Section 6.4.2, except provide pins in lengths to extend a minimum of 0.25 inch beyond the outside faces of the connected parts.

2.5 FABRICATION

Fabrication must be in accordance with the applicable provisions of AISC 325. Fabrication and assembly must be done in the shop to the greatest extent possible. Punch, subpunch and ream, or drill bolt and pin holes perpendicular to the surface of the member.

Compression joints depending on contact bearing must have a surface roughness not in excess of 500 micro inch as determined by ASME B46.1, and ends must be square within the tolerances for milled ends specified in ASTM A6/A6M.

Shop splices of members between field splices will be permitted only where indicated on the Contract Drawings. Splices not indicated require the approval of the Contracting Officer.

2.5.1 Markings

Prior to erection, identify members by a painted erection mark. Connecting parts assembled in the shop for reaming holes in field connections must be match marked with scratch and notch marks. Do not locate erection markings on areas to be welded. Do not locate match markings in areas that will decrease member strength or cause stress concentrations.

2.5.2 Shop Primer

SSPC Paint 20 or SSPC Paint 29, (zinc rich primer). Shop prime structural steel, except as modified herein, in accordance with SSPC PA 1. Do not prime steel surfaces embedded in concrete, galvanized surfaces, or surfaces within 0.5 inch of the toe of the welds prior to welding (except surfaces on which metal decking and shear studs are to be welded). If flash rusting occurs, re-clean the surface prior to application of primer. Apply primer in accordance with endorsement "SPE-P1" of AISC 420 or approved equal NACE or SSPC certificationto a minimum dry film thickness of 2.0 mil. Submit shop primer product data.

Prime slip critical surfaces with a Class B coating in accordance with AISC 325. Submit test report for Class B coating.

Prior to assembly, prime surfaces which will be concealed or inaccessible after assembly. Do not apply primer in foggy or rainy weather; when the ambient temperature is below 45 degrees F or over 95 degrees F; or when the primer may be exposed to temperatures below 40 degrees F within 48 hours after application, unless approved otherwise by the Contracting Officer. Repair damaged primed surfaces with an additional coat of primer.

2.5.2.1 Cleaning

SSPC SP 6/NACE No.3, except steel exposed in spaces above ceilings, attic spaces, furred spaces, and chases that will be hidden to view in finished construction may be cleaned to SSPC SP 3 when recommended by the shop primer manufacturer. Maintain steel surfaces free from rust, dirt, oil, grease, and other contaminants through final assembly.

2.5.3 Surface Finishes

ASME B46.1 maximum surface roughness of 125 for pin, pinholes, and sliding bearings, unless indicated otherwise.

2.6 DRAINAGE HOLES

Drill adequate drainage holes to eliminate water traps. Hole diameter must be 1/2 inch and location indicated on the detail drawings. Hole size and locations must not affect the structural integrity.

PART 3 EXECUTION

3.1 ERECTION

a. Erection of structural steel, except as indicated in item b. below, must be in accordance with the applicable provisions of AISC 325, ANSI/AISC 303 and 29 CFR Part 1926, Subpart R.

b. For low-rise structural steel buildings (60 feet tall or less and a

maximum of 2 stories), erect the structure in accordance with AISC DESIGN GUIDE 10.

After final positioning of steel members, provide full bearing under base plates and bearing plates using nonshrink grout. Place nonshrink grout in accordance with the manufacturer's instructions.

3.1.1 STORAGE

Store the material out of contact with the ground in such manner and location as to minimize deterioration.

3.2 CONNECTIONS

Except as modified in this section, design connections indicated in accordance with AISC 360. Build connections into existing work. Do not tighten anchor bolts set in concrete with impact torque wrenches. Holes must not be cut or enlarged by burning. Bolts, nuts, and washers must be clean of dirt and rust, and lubricated immediately prior to installation.

3.2.1 Common Grade Bolts

Tighten ASTM A307 bolts to a "snug tight" fit. "Snug tight" is the tightness that exists when plies in a joint are in firm contact. If firm contact of joint plies cannot be obtained with a few impacts of an impact wrench, or the full effort of a man using a spud wrench, contact the Contracting Officer for further instructions.

3.2.2 High-Strength Bolts

Fastener components shall be protected from dirt and moisture in closed containers at the site of the installation. Fastener components that are not incorporated into the work shall be returned to protected storage at the end of the work shift.

3.2.3 Tension Control Bolts

Bolts must be installed in connection holes and initially brought to a snug tight fit. After the initial tightening procedure, fully tension bolts, progressing from the most rigid part of a connection to the free edges.

3.3 GAS CUTTING

Use of gas-cutting torch in the field for correcting fabrication errors is not permitted on any major member in the structural framing. Use of a gas cutting torch will be permitted on minor members not under stress only after approval has been obtained from the Contracting Officer.

3.4 WELDING

Welding must be in accordance with AWS D1.1/D1.1M and AWS D1.8/D1.8M. Grind exposed welds smooth as indicated. Provide AWS D1.1/D1.1M qualified welders, welding operators, and tackers.

Develop and submit the Welding Procedure Specifications (WPS) for all welding, including welding done using prequalified procedures. Submit for approval all WPS, whether prequalified or qualified by testing.

3.4.1 Removal of Temporary Welds, Run-Off Plates, and Backing Strips

Remove backing strips from bottom flange of moment connections, backgouge the root pass to sound weld metal and reinforce with a 5/16 inch fillet weld minimum.

3.5 SHOP PRIMER REPAIR

Repair shop primer in accordance with the paint manufacturer's recommendation for surfaces damaged by handling, transporting, cutting, welding, or bolting.

3.5.1 Field Priming

Field prime steel exposed to the weather, or located in building areas without HVAC for control of relative humidity. After erection, the field bolt heads and nuts, field welds, and any abrasions in the shop coat must be cleaned and primed with paint of the same quality as that used for the shop coat.

3.6 FIELD QUALITY CONTROL

Perform field tests, and provide labor, equipment, and incidentals required for testing. Notify the Contracting Officer in writing of defective welds, bolts, nuts, and washers within 7 working days of the date of the inspection.

3.6.1 Welds

3.6.1.1 Visual Inspection

AWS D1.1/D1.1M. Furnish the services of AWS-certified welding inspectors for fabrication and erection inspection and testing and verification inspections. A Certified Welding Inspector must perform visual inspection on 100 percent of all welds. Document this inspection in the Visual Weld Inspection Log. Submit certificates indicating that certified welding inspectors meet the requirements of AWS QC1.

Inspect proper preparation, size, gaging location, and acceptability of all welds; identification marking; operation and current characteristics of welding sets in use.

3.6.1.2 Nondestructive Testing

Nondestructive testing must be in accordance with AWS D1.1/D1.1M and AWS D1.8/D1.8M. Ultrasonic testing must be performed in accordance with Table 6.2 or 6.3 of AWS D1.1/D1.1M. Test locations must be selected by the Contracting Officer. All personnel performing NDT must be certified in accordance with ANSI/ASNT CP-189 in the method of testing being performed. Submit certificates showing compliance with ANSI/ASNT CP-189 for all NDT technicians. If more than 20 percent of welds made by a welder contain defects identified by testing, then all groove welds made by that welder must be tested by ultrasonic testing, and all fillet welds made by that welder must be inspected by magnetic particle testing (MT) or dye penetrant testing (PT) as approved by the Contracting Officer. When groove welds made by an individual welder are required to be tested, magnetic particle or dye penetrant testing may be used only in areas inaccessible to ultrasonic testing. Retest all repaired areas. Submit

weld inspection reports.

Testing frequency: Provide the following types and number of tests:

Test Type	Number of Tests
Ultrasonic	50 percent of CJP Welds
Magnetic Particle	50 percent of PJP and Fillet Welds
Dye Penetrant	50 percent of PJP and Fillet Welds

3.6.2 High-Strength Bolts

3.6.2.1 Testing Bolt, Nut, and Washer Assemblies

Test a minimum of three (3) bolt, nut, and washer assemblies from each mill certificate batch in a tension measuring device at the job site prior to the beginning of bolting start-up. Demonstrate that the bolts and nuts, when used together, can develop tension not less than the provisions specified in AISC 360, depending on bolt size and grade. The bolt tension must be developed by tightening the nut. A representative of the manufacturer or supplier must be present to ensure that the fasteners are properly used, and to demonstrate that the fastener assemblies supplied satisfy the specified requirements. Submit bolt testing reports.

3.6.2.2 Inspection

Inspection procedures must be in accordance with AISC 360. Confirm and report to the Contracting Officer that the materials meet the project specification and that they are properly stored. Confirm that the faying surfaces have been properly prepared before the connections are assembled. Observe the specified job site testing and calibration, and confirm that the procedure to be used provides the required tension. Monitor the work to ensure the testing procedures are routinely followed on joints that are specified to be fully tensioned.

Inspect calibration of torque wrenches for high-strength bolts.

3.6.2.3 Testing

The Government has the option to perform nondestructive tests on ten (10) percent of the installed bolts to verify compliance with pre-load bolt tension requirements. Provide the required access for the Government to perform the tests. The nondestructive testing will be done in-place using an ultrasonic measuring device or any other device capable of determining in-place pre-load bolt tension. The test locations must be selected by the Contracting Officer. If more than ten (10) percent of the bolts tested contain defects identified by testing, then all bolts used from the batch from which the tested bolts were taken, must be tested at the Contractor's expense. Retest new bolts after installation at the Contractor's expense.

3.6.3 Inspection and Testing of Steel Stud Welding

Perform verification inspection and testing of steel stud welding conforming to the requirements of AWS D1.1/D1.1M, Stud Welding Clause. The Contracting Officer will serve as the verification inspector. Bend test studs that do not show a full 360 degree weld flash or have been repaired by welding as required by AWS D1.1/D1.1M, Stud Welding Clause. Studs that crack under testing in the weld, base metal or shank will be rejected and replaced by the Contractor at no additional cost.

-- End of Section --

SECTION 26 05 00.00 40

COMMON WORK RESULTS FOR ELECTRICAL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7-16	(2017; Errata 2018; Supp 1 2018) Minimum Design Loads and Associated Criteria for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2005) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2015) American National Standard for Electrical Metallic Tubing (EMT)
ANSI Z535.1	(2017) Safety Colors
ANSI/NEMA OS 1	(2013) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA 250	(2018) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA FB 1	(2014) Standard for Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit, Electrical Metallic Tubing, and Cable
NEMA FU 1	(2012) Low Voltage Cartridge Fuses

NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA ST 20	(2014) Dry-Type Transformers for General Applications
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2016) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 70E	(2018; TIA 18-1; TIA 81-2) Standard for Electrical Safety in the Workplace

UNDERWRITERS LABORATORIES (UL)

UL 1	(2005; Reprint Jan 2020) UL Standard for Safety Flexible Metal Conduit
UL 5	(2016) UL Standard for Safety Surface Metal Raceways and Fittings
UL 6	(2007; Reprint Sep 2019) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 198M	(2018) UL Standard for Mine-Duty Fuses
UL 360	(2013; Reprint Nov 2018) UL Standard for Safety Liquid-Tight Flexible Metal Conduit
UL 486A-486B	(2018; Reprint May 2021) UL Standard for Safety Wire Connectors
UL 486C	(2019) UL Standard for Safety Splicing Wire Connectors
UL 506	(2017) UL Standard for Safety Specialty Transformers
UL 514A	(2013; Reprint Aug 2017) UL Standard for Safety Metallic Outlet Boxes

UL 514B	(2012; Reprint May 2020) Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Feb 2020) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 1561	(2011; Reprint Jun 2015) Dry-Type General Purpose and Power Transformers
UL 651	(2011; Reprint Mar 2020) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 797	(2007; Reprint Mar 2017) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 1569	(2018) UL Standard for Safety Metal-Clad Cables
UL 4248-1	(2017) UL Standard for Safety Fuseholders - Part 1: General Requirements
UL 4248-12	(2018) UL Standard for Safety Fuseholders - Part 12: Class R

1.2 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

b. The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.

c. Vertical assembly: A vertical assembly is a pole, tower or other such support, mounting hardware, arms, brackets and the load. Load can be a luminaire, siren, loudspeaker or other device. All components of a vertical assembly will be rated by the manufacturer to withstand 150 mph wind loading in accordance with ASCE 7-16.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Conduits and Raceways; G

Wire and Cable; G

Splices and Connectors; G

Switches; G

Receptacles; G

Outlet Boxes, Pull Boxes and Junction Boxes; G

Dry-Type Distribution Transformers; G

Device Plates; G

SD-06 Test Reports

Continuity Test; G

600-Volt Wiring Test; G

Transformer Tests; G

SD-08 Manufacturer's Instructions

Manufacturer's Instructions

1.4 QUALITY CONTROL

1.4.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Ensure equipment, materials, installation, and workmanship are in accordance with the mandatory and advisory provisions of NFPA 70, IEEE C2 unless more stringent requirements are specified or indicated.

1.4.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Provide products which have been in satisfactory commercial or industrial use for 2 years prior to bid opening. Ensure the 2-year period includes applications of equipment and materials under similar circumstances and of similar size. Ensure the product has been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer.

PART 2 PRODUCTS

2.1 EQUIPMENT

Provide the standard cataloged materials and equipment of manufacturers regularly engaged in the manufacture of the products. For material, equipment, and fixture lists submittals, show manufacturer's style or catalog numbers, specification and drawing reference numbers, warranty information, and fabrication site.

Provide factory-applied finish on electrical equipment in accordance with

the following:

a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.

b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.

c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.

d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.

e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.

f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.

g. Provide manufacturer's coatings for touch-up work.

2.1.1 Conduits and Raceways

2.1.1.1 Rigid Steel Conduit

Provide hot dipped galvanized rigid steel conduit complying with NEMA RN 1, ANSI C80.1, UL 6 and UL 5 as applicable. Except where installed underground, or in corrosive areas, provide polyvinylchloride (PVC), or protect from corrosion by painting with bitumastic coating or wrapping with corrosion inhibiting tape..

Use threaded fittings for rigid steel conduit.

Use solid gaskets. Ensure conduit fittings with blank covers have gaskets, except in clean, dry areas or at the lowest point of a conduit run where drainage is required.

Provide covers with captive screws and are accessible after the work has been completed.

2.1.1.2 Electrical Metallic Tubing (EMT)

Ensure EMT is in accordance with UL 797, UL 5, and ANSI C80.3 and is zinc coated steel. Provide zinc-coated couplings and connectors that are raintight, compression type with insulated throat. Crimp, spring, or setscrew type fittings are not acceptable.

2.1.1.3 Flexible Metallic Conduit

Ensure flexible metallic conduit is galvanized steel and complies with UL 1 and UL 360.

Ensure fittings for flexible metallic conduit are specifically designed for such conduit.

Provide liquidtight flexible metallic conduit with a protective jacket of

PVC extruded over a flexible interlocked galvanized steel core to protect wiring against moisture, oil, chemicals, and corrosive fumes.

Ensure fittings for liquidtight flexible metallic conduit are specifically designed for such conduit.

2.1.1.4 Rigid Nonmetallic Conduit

Ensure rigid nonmetallic conduit complies with NEMA TC 2, NEMA TC 3, and UL 651 as applicable with a wall thickness not less than Schedule 40.

2.1.2 Outlet Boxes, Pull Boxes and Junction Boxes

Ensure outlet boxes for use with conduit systems are in accordance with NEMA FB 1, UL 514A, UL 514B, UL 514C and ANSI/NEMA OS 1 and are not less than 1-1/2 inches deep. Furnish all pull and junction boxes with screw-fastened covers.

2.1.3 Dry-Type Distribution Transformers

2.1.3.1 General Requirements

Ensure that general purpose dry-type transformers with windings 600 volts or less are two-winding, 60 hertz, and self-cooled in accordance with UL 506 and UL 1561. Ensure windings have a minimum of two 2-1/2-percent taps above and below nominal voltage.

Provide transformers in NEMA 1 enclosure.

Transformer insulation system:

a. 220 degrees C insulation system for transformers 15 kVA and greater, with temperature rise not exceeding 150 degrees C under full-rated load in maximum ambient of 40 degrees C.

b. 180 degrees C insulation for transformers rated 10 kVA and less, with temperature rise not exceeding 150 degrees C under full-rated load in maximum ambient of 40 degrees C.

2.1.3.2 Transformer Factory Tests

Submittal: include routine NEMA ST 20 transformer test results on each transformer and also provide the results of NEMA "design" and "prototype" tests that were made on transformers electrically and mechanically equal to those specified.

2.2 MATERIALS

2.2.1 Wire And Cable

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 12 months prior to date of delivery to site.

Provide minimum conductor size in accordance with the following:

a. Branch circuits: No. 12 AWG.

b. Class 1 remote-control and signal circuits: No. 14 AWG.

c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.

d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

Ensure connectors used in wire systems comply with UL 486A-486B and UL 486C as applicable.

Ensure conductors installed in plenums are marked plenum rated.

2.2.1.1 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83.

2.2.1.2 Metal-Clad Cable

UL 1569; NFPA 70, Type MC cable.

2.2.2 Device Plates

Provide the following:

a. UL listed, one-piece device plates for outlets to suit the devices installed.

b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.

c. For nonmetallic boxes and fittings, other suitable plates may be provided.

d. Screws: machine-type with countersunk heads in color to match finish of plate.

e. Sectional type device plates are not be permitted.

f. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.2.3 Switches

2.2.3.1 Safety Switches

Ensure safety switches comply with NEMA KS 1, and are the heavy-duty type with enclosure, voltage, current rating, number of poles, and fusing as indicated on the drawings. Ensure fused switch fuse holders comply with UL 4248-1. Ensure switch construction is such that, when the switch handle in the "ON" position, the cover or door cannot be opened. Cover release device is coinproof and so constructed that an external tool is used to open the cover. Make provisions to lock the handle in the "OFF" position. Ensure the switch is not capable of being locked in the "ON" position.

Provide switches of the quick-make, quick-break type and terminal lugs for use with copper conductors.

Ensure safety color coding for identification of safety switches conforms to ANSI Z535.1.

2.2.4 Fuses

NEMA FU 1. Provide complete set of fuses for each fusible switch. Coordinate time-current characteristics curves of fuses serving motors or connected in series with circuit breakers or other circuit protective devices for proper operation. Submit coordination data for approval. Provide fuses with a voltage rating not less than circuit voltage.

2.2.4.1 Fuseholders

Provide in accordance with UL 4248-1.

2.2.4.2 Cartridge, Current Limiting Type (Class R)

UL 198M, Class RK-1 time-delay type. Provide only Class R associated fuseholders in accordance with UL 4248-12.

2.2.4.3 Cartridge Fuses, High-Interrupting Capacity, Current Limiting Type (Classes J, L, and CC)

UL 198M, Class J for zero to 600 amperes, Class L for 601 to 6,000 amperes, and Class CC for zero to 30 amperes.

2.2.4.4 Cartridge Fuses, Current Limiting Type (Class T)

UL 198M, Class T for zero to 1,200 amperes, 300 volts; and zero to 800 amperes, 600 volts.

2.2.5 Receptacles

2.2.5.1 Special Purpose Receptacles

Special purpose receptacles as indicated in the project drawing set. Furnish one matching plug with each receptacle.

2.2.6 Manufacturer's Nameplate

Ensure each item of equipment has a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent is not acceptable.

2.2.7 Firestopping Materials

Provide firestopping around electrical penetrations in accordance with Section 07 84 00, FIRESTOPPING.

PART 3 EXECUTION

3.1 PREPARATION

Submit manufacturer's instructions including special provisions required to install equipment components and system packages. Special provisions include impedances, hazards and safety precautions.

Protect metallic materials against corrosion. Provide equipment enclosures with the standard finish by the manufacturer when used for most indoor installations. For harsh indoor environments (any area subjected to chemical and abrasive action), and all outdoor installations, provide equipment enclosures as indicated in the project drawing set. Do not use aluminum when in contact with earth or concrete and, where connected to dissimilar metal, protect by using approved fittings and treatment. Except where other equivalent protective treatment is specifically approved in writing, provide hot-dip galvanized ferrous metals for items such as, anchors, bolts, braces, boxes, bodies, clamps, fittings, guards, nuts, pins, rods, shims, thimbles, washers, and miscellaneous items not made of corrosion-resistant steel.

3.2 INSTALLATION

3.2.1 Underground Electric

Underground electric conductors and associated conduit: continuous from building equipment to outdoor power system connection.

3.2.2 Hazardous Locations

Perform work in hazardous locations, as defined by NFPA 70, in strict accordance with NFPA 70 for particular "Class," "Division," and "Group" of hazardous locations involved. Provide conduit and cable seals where required by NFPA 70. Provide conduit with tapered threads.

3.2.3 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Shared neutral, or multi-wire branch circuits, are not permitted with arc-fault circuit interrupters. Minimum conduit size: 3/4 inch in diameter for low voltage lighting and power circuits. Firestop conduit which penetrates fire-rated walls, fire-rated partitions, or fire-rated floors in accordance with Section 07 84 00, FIRESTOPPING.

3.2.3.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.2.3.2 Metal Clad Cable

Install in accordance with NFPA 70, Type MC cable.

3.2.4 Conduits, Raceways and Fittings

Ensure that conduit runs between outlet and outlet, between fitting and fitting, or between outlet and fitting does not contain more than the equivalent of three 90-degree bends, including those bends located immediately at the outlet or fitting.

Do not install crushed or deformed conduit. Avoid trapped conduit runs

where possible. Take care to prevent the lodgment of foreign material in the conduit, boxes, fittings, and equipment during the course of construction. Clear any clogged conduit of obstructions or replace conduit.

Conduit and raceway runs concealed in or behind walls, above ceilings, or exposed on walls and ceilings 5 feet or more above finished floors and not subject to mechanical damage may be electrical metallic tubing (EMT).

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.2.4.1 Rigid Steel Conduit

Make field-made bends and offsets with approved Hickey bending tool or conduit bending machine. Use long radius conduit for elbows larger than 2-1/2 inches.

3.2.4.2 Electrical Metallic Tubing (EMT)

Ground EMT in accordance with NFPA 70, using pressure grounding connectors especially designed for EMT.

3.2.4.3 Flexible Metallic Conduit

Use flexible metallic conduit to connect recessed fixtures from outlet boxes in ceilings, transformers, and other approved assemblies.

Use bonding wires in flexible conduit as specified in NFPA 70, for all circuits. Flexible conduit is not considered a ground conductor.

Make electrical connections to vibration-isolated equipment with flexible metallic conduit.

Use liquidtight flexible metallic conduit in wet and oily locations and to complete the connection to motor-driven equipment.

Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 3/4 inch diameter. Provide liquidtight flexible conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections.

3.2.4.4 Rigid Nonmetallic Conduit

Install a green insulated copper grounding conductor in conduit with conductors and solidly connect to ground at each end. Size grounding wires in accordance with NFPA 70.

3.2.4.5 Underground Conduit

PVC, Type EPC-40. Convert nonmetallic conduit, other than PVC Schedule 40 or 80, to plastic-coated rigid steel conduit before rising through floor slab. Plastic coating: extend minimum 6 inches above floor.

3.2.4.6 Conduit Installed in Concrete Floor Slabs

PVC, Type EPC-40, unless indicated otherwise. Locate so as not to adversely affect structural strength of slabs. Install conduit within middle one-third of concrete slab. Do not stack conduits. Space conduits horizontally not closer than three diameters, except at cabinet locations. Curved portions of bends must not be visible above finish slab. Increase slab thickness as necessary to provide minimum one inch cover over conduit. Where embedded conduits cross building and/or expansion joints, provide suitable watertight expansion/deflection fittings and bonding jumpers. Expansion/deflection fittings must allow horizontal and vertical movement of raceway. Conduit larger than one inch trade size: installed parallel with or at right angles to main reinforcement; when at right angles to reinforcement, install conduit close to one of supports of slab. Where nonmetallic conduit is used, convert raceway to plastic coated rigid steel before rising above floor, unless specifically indicated.

3.2.4.7 Stub Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inchesabove floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.2.4.8 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.2.4.9 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal

fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.2.5 Wiring

Color code feeder and branch circuit conductors as follows:

CONDUCTOR	COLOR AC
Phase A	Black (208VAC); Brown (480VAC)
Phase B	Red (208VAC); Orange (480VAC)
Phase C	Blue (208VAC); Yellow (480VAC)
Neutral	White (208VAC); Natural Gray (480VAC)
Equipment Grounds	Green

Use conductors up to and including AWG No. 2 that are manufactured with colored insulating materials. For conductors larger than AWG No. 2, have ends identified with color plastic tape in outlet, pull, or junction boxes.

Splice in accordance with the NFPA 70. Provide conductor identification within each enclosure where a tap, splice, or termination is made and at the equipment terminal of each conductor. Match terminal and conductor identification as indicated.

Where several feeders pass through a common pullbox, tag the feeders to clearly indicate the electrical characteristics, circuit number, and panel designation.

3.2.6 Wiring Devices

3.2.6.1 Receptacles

Install receptacles so that when device plates are applied, the plates are aligned vertically to within 1/16 inch.

Bond ground terminal of each flush-mounted receptacle to the outlet box with an approved green bonding jumper when used with dry wall type construction.

3.2.6.2 Device Plates

Mark device plates and receptacle cover plates for receptacles other than 125-volt, single-phase, duplex, convenience outlets. Show the circuit number, voltage, frequency, phasing, and amperage available at the receptacle. Use self-adhesive labels having 1/4 inch embossed letters.

Similarly mark device plates for convenience outlets indicating the supply panel and circuit number.

3.2.7 Splices and Connectors

Make all splices in AWG No. 8 and smaller with approved insulated electrical type.

Make all splices in AWG No. 6 and larger with indentor crimp-type connectors and compression tools. Wrap joints with an insulating tape that has an insulation and temperature rating equivalent to that of the conductor.

3.2.8 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves.

3.2.9 Safety Switches

Securely fasten switches to the supporting structure or wall, utilizing a minimum of four 1/4 inch bolts. Do not use sheet metal screws and small machine screws for mounting. Do not mount switches in an inaccessible location or where the passageway to the switch may become obstructed. Mounting height 5 feet above floor level, when possible.

3.2.10 Boxes and Fittings

Provide pullboxes where necessary in the conduit system to facilitate conductor installation. For conduit runs longer than 100 feet or with more than three right-angle bends, install a pullbox at a convenient intermediate location.

Securely mount boxes and enclosures to the building structure using supports that are independent of the conduit entering or leaving the boxes.

3.2.11 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.2.12 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings in accordance with Section 07 84 00 FIRESTOPPING.

3.2.13 Dry-Type Distribution Transformers

Connect dry-type transformers with flexible metallic conduit.

Mount all dry-type transformers on vibration isolators.

3.2.14 Field Fabricated Nameplates

Ensure nameplates conform to ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device, as specified or as indicated on the drawings. Each nameplate inscription identifies the function and, when applicable, the position. Provide nameplates that are melamine plastic, 0.125-inch thick, white with black center core and a matte finish surface. Accurately align lettering and engrave into the core. Minimum size of nameplates is 1 by 2.5 inches. Lettering is a minimum of 0.25-inch high normal block style.

3.3 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.4 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.5 FIELD QUALITY CONTROL

After completion of the installation and splicing, and prior to energizing the conductors, perform wire and cable continuity and insulation tests as herein specified before the conductors are energized.

Provide all necessary test equipment, labor, and personnel to perform the tests, as herein specified.

Isolate completely all wire and cable from all extraneous electrical connections at cable terminations and joints. Use substation and switchboard feeder breakers, disconnects in combination motor starters, circuit breakers in panel boards, and other disconnecting devices to isolate the circuits under test.

Perform continuity test to insure correct cable connection end-to-end (i.e correct phase conductor, grounded conductor, and grounding conductor wiring). Repair and verify any damages to existing or new electrical equipment resulting from mis-wiring. Receive approval for all repairs prior to commencement of the repair.

Perform 600-volt wiring test on wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of approximately 500 volts to provide direct reading of resistance. Minimum resistance: 250,000 ohms.

Perform the standard, not optional, transformer tests in accordance with the Inspection and Test Procedures for transformers, dry type, air-cooled, 600 volt and below; as specified in NETA ATS. Measure primary and secondary voltages for proper tap settings. Tests need not be performed by a recognized independent testing firm or independent electrical consulting firm.

Final acceptance requires the successful performance of wire and cable under test. Do not energize any conductor until the final tests are complete.

-- End of Section --

SECTION 41 22 13.15

BRIDGE CRANES, OVERHEAD ELECTRIC, UNDER RUNNING TROLLEY
11/22, CHG 1: 02/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN GEAR MANUFACTURERS ASSOCIATION (AGMA)

AGMA 908	(1989B; R 1999) Information Sheet: Geometry Factors for Determining the Pitting Resistance and Bending Strength of Spur, Helical and Herringbone Gear Teeth
ANSI/AGMA 2001	(2004D; R 2010) Fundamental Rating Factors and Calculation Methods for Involute Spur and Helical Gear Teeth
ANSI/AGMA 2015-1	(2001A; R 2014) Accuracy Classification System - Tangential Measurements for Cylindrical Gears
ANSI/AGMA 6013	(2006A; R 2016) Standard for Industrial Enclosed Gear Drives

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 360	(2016) Specification for Structural Steel Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.1	(2024) Unified Inch Screw Threads (UN, UNR, and UNJ Thread Form)
ASME B30.10	(2019) Hooks
ASME B30.16	(2022) Overhead Underhung and Stationary Hoists
ASME B30.17	(2020) Overhead and Gantry Cranes (Top Running Bridge, Single Girder, Underhung Hoists)
ASME B30.30	(2019) Ropes
ASME HST-1	(2023) Performance Standard for Electric Chain Hoists
ASME HST-4	(2021) Performance Standard for Overhead Electric Wire Rope Hoists

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel
AWS D14.1/D14.1M	(2019) Specification for Welding of Industrial and Mill Cranes and Other Material Handling Equipment

ASTM INTERNATIONAL (ASTM)

ASTM A275/A275M	(2023) Standard Practice for Magnetic Particle Examination of Steel Forgings
ASTM A1023/A1023M	(2021) Standard Specification for Stranded Carbon Steel Wire Ropes for General Purposes
ASTM E543	(2021) Standard Specification for Agencies Performing Non-Destructive Testing
ASTM F436/F436M	(2024) Standard Specification for Hardened Steel Washers Inch and Metric Dimensions
ASTM F3125/F3125M	(2019) Standard Specification for High Strength Structural Bolts and Assemblies, Steel and Alloy Steel, Heat Treated, Inch Dimensions 120 ksi and 150 ksi Minimum Tensile Strength, and Metric Dimensions 830 MPa and 1040 MPa Minimum Tensile Strength

BRITISH STANDARDS INSTITUTION (BSI)

BS ISO 4309	(2017) Cranes - Wire Ropes - Care and Maintenance, Inspection and Discard

CRANE MANUFACTURERS ASSOCIATION OF AMERICA (CMAA)

CMAA 70	(2020) Specification for Top Running Bridge and Gantry Type Multiple Girder Electric Overhead Traveling Cranes
CMAA 74	(2020) Specifications for Single Girder Cranes

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)

IEC ISO 15408	(2022) Information Technology-Security Techniques-Evaluation Criteria for IT Security

MATERIAL HANDLING INDUSTRY OF AMERICA (MHI)

MHI MH27.1	(2016) Specifications for Underhung Cranes and Monorail Systems

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA NEIS 1	(2015) Standard for Good Workmanship in Electrical Construction

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 3	(2005; R 2010) Medium-Voltage Controllers Rated 2001 to 7200 V AC
NEMA ICS 5	(2017) Industrial Control and Systems: Control Circuit and Pilot Devices
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA ICS 8	(2011) Crane and Hoist Controllers
NEMA MG 1	(2021) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 1 2024; TIA 24-1) National Electrical Code

RESEARCH COUNCIL ON STRUCTURAL CONNECTIONS (RCSC)

RCSC A348	(2020) RCSC Specification for Structural Joints Using High-strength Bolts

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning

SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)

SAE J429	(2014) Mechanical and Material Requirements for Externally Threaded Fasteners
SAE J995	(2017) Mechanical and Material Requirements for Steel Nuts

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS RR-W-410	(2022; Rev J) Wire Rope and Strand

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.179	Overhead and Gantry Cranes

29 CFR 1910.306	Specific Purpose Equipment and Installations

U.S. NAVAL SEA SYSTEMS COMMAND (NAVSEA)

NAVSEA T9074-AS-GIB-010/271	(2014; Revision 1) Requirements for Nondestructive Testing Methods

UL SOLUTIONS (UL)

UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 1004-1	(2012; Reprint Nov 2020) UL Standard for Safety Rotating Electrical Machines - General Requirements

U. S. NAVY FACILITIES ENGINEERING AND SYSYEMS COMMAND (NAVFAC)

NAVCRANECEN INSTRUCTION 11450.2A (2018) DESGIN OF WEIGHT HANDLING EQUIPMENT

1.2 DEFINITIONS

a. Crane Bridge: That part of an overhead crane system consisting of a girder, end trucks, walkway, and drive mechanism which carries the trolley(s) and travels along the runway rails parallel to the runway.

b. Crane Runway: The track system along which the crane operates horizontally, including track hangar rods, track connection devices, and runway structural supports.

c. Dead Loads: The weight of all effective parts of the bridge structure, the machinery parts, and the fixed equipment supported by the structure.

d. Crane Bridge Girder: The principal horizontal beam of the crane bridge structure. It is supported by the crane end trucks. Typically for single girder cranes the trolley mounted hoist is suspended from the girder below the crane. For double girder cranes, the trolley is typically run on top of the bridge girder and the hoist is mounted on top of the trolley.

e. Insulated Link: A component normally installed between the hook and the lifting wire rope or lower load block, or below the hook to prevent the flow of electrical energy. Insulated links are primarily used when there is the possibility radio frequency energy collecting on the weight handling equipment structure. Insulated links are used extensively in the handling of ordnance.

f. Lifted Load: The load consisting of the rated load and the weight of lifting devices attached to the crane such as the load block, bucket, or other supplemental devices.

g. Original Equipment Manufacturer (OEM): the Company that produced the part or original equipment.

h. Packaged Hoist: A commercially designed and mass produced hoist characterized by the motor, gearing, brake(s), and drum contained in a

single package often connected by the use of c, d, or p-face flanges.

i. Patented Track: A generic term referring to track built in accordance with MHI MH27.1 utilizing a composite track section incorporating a proprietary bottom flange shape. For this crane system, it is provided for the crane bridge girder and also the crane runway track, if under running.

j. Pendant: A control for a hoist and a crane. The pendant hangs from the hoist or the crane by a cable at a height that is easy for the operator to reach.

k. Rated Load: The maximum working load suspended under the load hook.

l. Standard Commercial Cataloged Product: A product which is currently being sold, or previously has been sold, in substantial quantities to the general public, industry or Government in the course of normal business operations. Models, samples, prototypes or experimental units do not meet this definition. The term "cataloged" as specified in this section is defined as "appearing on the manufacturer's published product data sheets. These data sheets must have been published or copyrighted prior to the issue date of this solicitation and have a document identification number or bulletin number.

m. Top Running Crane: An overhead electric traveling crane that is supported by end trucks which run on top of supporting rails.

n. Trolley Load: The weight of the trolley and its associated equipment carried by the trolley wheels.

o. Under running (Underhung) Crane: An electric overhead traveling crane that is supported by crane end trucks suspended below the crane runway. The load is supported by hanging from the lower flange of a beam or patented track.

1.3 SYSTEM DESCRIPTION

The requirements for the structures supporting the crane runway are specified in Section 05 12 00 STRUCTURAL STEEL, and must conform to AISC 360.

1.3.1 Crane Design Criteria

Cranes will operate in the given spaces and match the runway dimensions and rails indicated. Hook coverage, hook lift, clearances, lifting capacity, and load test weight must not be less than that indicated. Provide loaded hook coverage to the maximum extent possible.

1.3.1.1 General

Include the following: Number of cranes: Two (2) , located in building identified as building 114, high bay area with the capacity expressed as 6,000 pounds, for each of the two overhead electric traveling (OET) cranes. Also clearly locate and identify each hoist and system components.

1.3.1.2 Classification

Provide top running single girder electric bridge crane(s), with under running trolley mounted hoist, conforming to MHI MH27.1, CMAA 70, and

CMAA 74 service class C , as applicable. The crane(s) must be designed for operation in an indoor environment, general purpose service, meeting the requirements of ASME B30.16 and ASME B30.17, with an ambient temperature range of 30 to 120 degrees Fahrenheit. The total crane span must be 21'-0" +/- with a minimum vertical hook lift of 16'-1 1/2" +/- and as specified herein. Provide runways for multiple span cranes equally spaced apart.

The cranes must be pendant controlled and operate in the spaces and within the loading conditions indicated. Provide a crane, including hooks and hoisting rope , that is able to clear permanent obstructions in all operating configurations. The pendant controller must be mounted on a separate festooned cable system from the trolley power supply. The crane must operate on 460-volts AC, three phase power source. Maximum loading on the facility (without impact) due to dead loads, trolley loads, and lifted loads, with the trolley in any position, must not exceed the allowable wheel loading and wheel spacing of the facility (or not exceed maximum hanger loading for under running cranes).

Each crane shall be equipped with an electronic anti-collision system that will keep the two cranes a minimum distance from each other while operating on the same rail system. The anti-collision system will be active over the entire crane rail length to ensure there is a minimum distance maintained between the cranes. The minimum distance maintained shall be determined in accordance with requirements oulined on the accompany drawings. The minimum distance is dependent on the bridge end truck wheel base spacing and the maximum support bracket spacing.

1.3.1.3 Rated Speeds

Provide the crane with rated (maximum) speeds within plus or minus 10 percent (in feet/min) for the main hoist, bridge, and trolley at the rated load as specified in the table below. The minimum speed must not exceed the values listed.

Rated Speeds feet/min		
Description	Minimum	Maximum
Main Hoist	14	35
Trolley	45	45
Bridge	50	100

The hook lift capacity and speed must be the manufacturer's standard within the limits specified.

1.4 VERIFICATION OF DIMENSIONS

The Contractor is responsible for the coordination and proper relation of their work to the building structure and to the work of all trades. Coordinate with the crane support structure design, where applicable, to provide the desired crane operating envelope (i.e., hook envelope and hook height). Verify all dimensions of the building that relate to fabrication of the crane and notify the Contracting Officer of any discrepancy before finalizing the crane order.

The existing crane rail beam and crane rails are of an unknown size and grade but have successfully served the existing single 3-ton crane. Contractor shall evaluate the crane rail beam and crane rail and determine suitabliilty for re-use. Engineering and replacement of the rail and rail beam shall be included in the bid.

The existing crane system was installed incrementally in phases spanning many years. See plans for demarcation of each high bay addition phase and the approximate dates. The existing supporting structure are cold formed lightweight steel building systems that are proprietary. The building system manufacturers are unknown. Each of the systems uses a different structural support bracket for the crane rail beam and crane rail.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Overhead Electric Crane System; G

Complete Schematic Wiring Diagram; G

Control System and Network Drawings; G

SD-03 Product Data

Hoist Brakes; G

Travel Brakes; G

Load Block and Hook; G

Hoist and Trolley Units; G

Hoisting Rope; G

Travel Reducer; G

Wheels; G

Bridge End Trucks; G

Crane Bridge Girder; G

End Stops; G

Bumpers; G

Crane Runway System; G

Motors; G

Enclosures; G

Circuit Breakers; G

Disconnect Switch; G

Contactors and Relays; G

Fuses; G

Variable Frequency Drives; G

Limit Switches; G

Resistors; G

Pendant Push-Button Station; G

Pendant Conductor System; G

Crane Controllers; G

Control Parameter Settings; G

Pilot Devices; G

Warning Devices; G

Floodlights; G

Runway Conductor System; G

Bridge Conductor System; G

Overload Protection; G

Painting System; G

Control System and Network; G

SD-05 Design Data

Load and Sizing Calculations bearing the seal and signature of a professional engineer; G

SD-06 Test Reports

Hook Proof Test; G

Hook Non-Destructive Test (NDT); G

Post-Erection Inspection; G

Operational Tests; G

Hook Tram Measurement; G

Load Tests; G

SD-07 Certificates

Wire Rope; G

Crane Runway; G

Hazardous Material; G

Loss of Power Test; G

Overload Test; G

Brake Adjustment Record; G

Public Domain Software; G

Software and Services; G

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals, Data Package 3; G

SD-11 Closeout Submittals

Final Load Testing and Certification, Including Naval Crane Center Crane Alteration Request (CAR)

1.6 QUALITY ASSURANCE

1.6.1 Manufacturer Qualification

Overhead Electric Crane System, including sub-system components manufactured by vendors, must be designed by, or directly supervised by, a registered professional engineer (PE). PE licensing must be by a board or agency authorized to license and register professional engineers. The PE may be a Contractor's regular employee or a consultant. The PE's review and attestation of specification compliance and professional responsibility must be signified by their PE original seal and dated signature on the final drawings. The professional engineers must only undertake and perform work under this contract in the branch(s) of engineering in which they are licensed.

1.6.2 Pre-Delivery Inspections

Contractor is responsible for performance of quality control inspections, testing, and documentation.

1.6.2.1 Hook Proof Test

Proof test load hooks per ASME B30.10. Perform the proof test prior to Hook NDT.

1.6.2.2 Inspection of Hook Assembly

Inspect hook by a magnetic particle type inspection prior to delivery. Furnish documentation of hook inspection from a certified materials testing labto Contracting Officer prior to field operational testing.

Acceptance standard is no defects. A defect is defined as a linear indication for which the largest dimension is greater than 1/16 inch long. Welding repairs of hooks are not permitted. A hook showing linear indications, damage, or deformation is not acceptable.

1.6.2.2.1 Hook Non-Destructive Test (NDT)

For hooks of ferromagnetic materials, magnetic-particle inspect the hook over the entire area in accordance with NAVSEA T9074-AS-GIB-010/271 or ASTM A275/A275M.

Inspect each hook and shank over the entire surface area. If NDT cannot be performed on surfaces inside small holes (e.g., hook/nut captivation roll pin holes), visually inspect those surfaces to the maximum extent practical.

a. Procedure for magnetic particle inspection: Conduct magnetic particle inspection in accordance with NAVSEA T9074-AS-GIB-010/271. ASTM A275/A275M may be used with the following restrictions: Do not use DC yokes (including switchable AC/DC yokes used in the DC mode) or permanent magnet yokes. Do not use automatic powder blowers or any other form of forced air other than from a hand-held bulb for the application or removal of dry magnetic particles. Remove arc strikes. Equipment ammeters must have an accuracy of plus or minus 5 percent of full scale (equipment ammeter accuracy other than that stated is acceptable provided the MT procedure states that a magnetic field indicator is used to establish and verify adequate field strength for all aspects of the inspection.)

b. Acceptance Criteria: Defects found on the hook will result in rejection of defective items for use on furnished hoist.

c. Test Report: Submit a test report of the inspection of each hook to the Contracting Officer for approval prior to final acceptance of hoist installation. Certify test reports by the testing organization. The performing organization must provide a written statement of certification to ASTM E543, current within one year of the date the NDT was performed. The NDT procedures including technique sheets specific to the types, shapes, and size of the parts being examined must adequately describe the orientation of the hooks within the magnetizing equipment, as applicable. The performing organization must have the NDT procedures and its technique sheet used for testing of the hook reviewed and approved by an independent Level III examiner. Submit the (Level III examiner) approved procedures, technique sheets, and certification to the Contracting Officer with the test report.

1.6.3 Drawings: Overhead Electric Crane System

a. Submit drawings showing the general arrangement of all components in plan, elevation, and end views to demonstrate proper interface with the facility and relation to other cranes on the same rail system, if applicable. Show all major features of the crane including: hook approaches on all four sides, clearances and principal dimensions, hoist, trolley and bridge drives, motor nameplate data, overcurrent protective device ratings, and electrical schematic drawings. Include weights and centers of gravity of major components (e.g., bridge girder(s), trolley/hoist).

b. Submit shop drawings of all fabricated components. Shop drawing quality must be equivalent to the contract drawings accompanying this solicitation. Drawings must be reviewed, signed and sealed by a licensed professional engineer.

c. Provide Bill of Materials for crane components on each drawing. The schedule must provide a cross reference between manufacturer data and shop drawings. Components listed on the schedule of crane components must include total quantity, description, original manufacturer, and part number. Distributing agents will not be acceptable in lieu of the original manufacturer.

d. Provide control system drawings. Network diagram must show equipment locations, names, models, and equipment.

1.6.4 Design Data: Load and Sizing Calculations

Submit complete list of equipment and materials, including manufacturer's descriptive data, technical literature, and performance charts and curves. Submit calculations reviewed, signed, and sealed by a registered professional engineer verifying the load cases, sizing of the bridge girder(s), end trucks, travel drives, motors, overcurrent protection, and conduit. Provide a list of all codes and standards, design assumptions, equations, specified efficiencies, limits, factors of safety, component ratings, and sources of values used. Include free body diagrams or sketches of each load case.

1.6.5 Certificates

All certifications must be dated and bear the original signature (above the printed name) of the authorized representative of the Contractor or the manufacturer of the items or equipment being certified. Submit certifications that clearly identify the crane, the drives, components, and location (as applicable) to which it applies:

a. Wire Rope Certification with the wire rope manufacturer's certification that the rope meets the published breaking force, including a testing certification of the actual breaking force of a sample taken from the reel and tested. Show the published breaking force on the wire rope certificate; the actual wire rope breaking force must meet or exceed the published value. Certification must be traceable to the hoist, crane, and reel.

a. Crane Runway Certificate stating that the new crane will operate properly on the runway. For runways provided by Contractor, include statement certifying runway has been aligned in accordance with CMAA 74 or MHI MH27.1, as applicable. A crane rail alignment survey shall be performed and documented by a liscensed register surveyor.

b. Hazardous Material Certificate that the crane does not contain hazardous material including asbestos, lead, cadmium, chromium, PCBs, or elemental mercury. Products required for the designing and manufacturing of cranes must not contain the prohibited materials.

c. Loss of Power Test Certificate stating that a test may be performed in which power is removed during operation without any detrimental effects to the crane.

d. Overload Test Certificate stating that the crane can be periodically load tested to 125 percent (plus 0 minus 5 percent) of rated load.

e. Certificate of the Brake Adjustment Record. Provide a brake adjustment record and installation/maintenance manuals for each brake on the crane. Each brake measurement must have a tolerance traceable to the associated brake manual or documentation provided by the brake manufacturer, location of measurements, and the actual brake setting. Changes made to settings of the brake, at any time, will void the record.

1.6.6 Welding Qualifications and Procedure

Welding must be in accordance with qualified procedures using AWS D14.1/D14.1M as modified. Written welding procedures must specify the Contractor's standard dimensional tolerances for deviation from camber and sweep and not exceed those specified in AWS D14.1/D14.1M, MHI MH27.1 and CMAA 74. Welders and welding operators must be qualified in accordance with AWS D1.1/D1.1M or AWS D14.1/D14.1M.

1.7 CRANE SAFETY

Comply with the mandatory and advisory safety requirements of ASME B30.10, ASME B30.16, ASME B30.17, ASME HST-4 or ASME HST-1, 29 CFR 1910.147, 29 CFR 1910.179, 29 CFR 1910.306, and all applicable provisions of 29 CFR 1910 and NFPA 70.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 General

Provide materials and equipment which are standard products of manufacturers regularly engaged in the fabrication of complete and totally functional cranes including necessary ancillary equipment. Material will be free from defects and imperfections that might affect the serviceability and appearance of the finished product. All material must be new and unused.

2.1.2 Nameplates

Secure nameplates to each major component of equipment with the manufacturer's name, address, type or style, model or catalog number, and serial number. Provide two bridge identification plates, one for each side of the bridge. Provide noncorrosive metal identification plates with letters which are easily read from the floor, showing a separate number such as BC-1, BC-2, for each bridge crane.

2.1.3 Capacity Marking

Mark the rated capacity in pound units on each side of the crane on the bridge girder. Capacity marks must be large enough to be clearly visible from the floor. Individual hoist units must have their rated capacity clearly marked on their lower block, and additionally labeled on the hoist body.

2.1.4 Safety Warnings

Affix labels in a readable position to each lift block or control station in accordance with ASME B30.16 and ASME B30.17. Submit safety warnings, diagrams and other instructions suitably framed and protected for display as indicated by the Contracting Officer as follows:

Design and locate the word "WARNING" or other legend to bring the label to the attention of the operator. Provide durable type warning labels and display the following information concerning safe-operating procedures: Cautionary language against lifting more than the rated load; operating the hoist when the hook is not centered under the hoist; operating hoist with twisted, kinked or damaged rope; operating damaged or malfunctioning hoist; operating a rope hoist with a rope that is not properly seated in its hoist drum groove; lifting people; lifting loads over people; and removing or obscuring the warning label.

2.2 STRUCTURAL REQUIREMENTS

Structural requirements must be in accordance with CMAA 74 and MHI MH27.1, as applicable. Structural steel materials must conform to the standards permitted in CMAA 74, MHI MH27.1, and AISC 360. Skewing and other applicable lateral loads must be considered in the design.

2.2.1 Structural Connections

High-strength bolted structural connections must be designed and installed in accordance with RCSC A348. Bolts must be of ASTM F3125/F3125M Grade A325/A325M or Grade A490/A490M material. Galvanized bolts are not acceptable.

Welded connections for the crane must be performed in accordance with AWS D14.1/D14.1M. Welded connections to the building must be performed in accordance with AWS D1.1/D1.1M. Allowable stress values must comply with CMAA 74 or MHI MH27.1, as applicable.

2.2.2 Crane Bridge Girder

a. Provide crane bridge girder(s) of rolled steel shape conforming to CMAA 74 or patented track conforming to MHI MH27.1. Intermittent ("skip") welds on bridge girder elements (e.g., web and flange interfaces) are prohibited. If the girder is notched to fit over the end trucks, reinforce the girder ends with vertical and horizontal stiffeners. Splices in the unsupported length of the girder are prohibited.

b. For patented track girder(s), submit manufacturer's standard published tables that verify the crane bridge girder is sized in compliance with all specification requirements. When standard published tables are not available, provide calculations for the strength design and deflection of the bridge. Patented track girder must be of welded steel construction and fabricated by a manufacturer regularly engaged in the production of this type of beam.

c. Keep splices in the bridge girder(s) to a minimum and splices in high stress locations must be avoided. Make splices with complete joint penetration welds. Field (on-site) welded splices are prohibited.

2.2.3 Bridge End Trucks

Provide bridge end trucks conforming to ASME B30.17 and CMAA 74 or MHI MH27.1, as applicable. Configure end trucks with a feature that limits end truck movement to one inch in the event of wheel or shaft failure.

2.2.4 End Stops

Fit the crane bridge girder(s) with structural steel end stops. Locate stops to permit maximum trolley travel. Design end stops in accordance with MHI MH27.1 and ASME B30.17. Provide a system in which the travel wheels do not contact the end stops. End stops must be designed to absorb the maximum kinetic energy and impact force developed by the bumper contact. Provide end stops compatible with trolley bumpers and designed to bolt to the crane bridge girder.

2.2.5 Bumpers

Fit bridge end trucks and trolley frames with shock-absorbing bumpers capable of decelerating and stopping the bridge and trolley within the limits stated by ASME B30.17. Ensure bumpers conform to ASME B30.17. Bumpers must fully engage end stops. Mount bumpers so that there is no direct shear on mounting bolts (if any) upon impact. Bumpers must provide adequate clearance between the crane and surrounding structure when compressed to preclude damaging equipment (clearance requirements are defined in MHI MH27.1 or CMAA 74, as applicable, and ASME B30.17). When more than one crane is located and operated on the same runway, bumpers must be provided on their adjacent ends or on one end of one crane. Fit the other end of the end-truck with a structural steel stop to engage the bumpers of the adjacent crane. Ensure bridge bumpers are properly aligned with runway end stops.

2.2.6 Crane Runway System

a. The existing crane rail support girder is a 12 inch deep wide flange steel beam with an 8 inch wide flange. Beam weight is unknown. This beam has successfully supported the existing single 3-ton crane operation. The existing crane rail support beam shall be evaluated for suitability for continued use for the two new 3-ton cranes. This evaluation includes the supporting structural column brackets and existing bolted and welded connections. The existing crane rail shall be replaced with a new ASCE standard crane rail shape of the crane installers choice that is suitable for the bridge wheels and imposed loading.

b. Crane runway girders shall be rolled steel shapes consiting of plate stock, channels, and wide flange beam sections. Crane runway girders shall be straight and true with a top flange surface of sufficient width and thikness to support a standard ASCE crane rail shape. Design of crane runway shall conform to CMAA 74 subsection 1.4 requirements.

Center the crane rail on the top flange and position directly over the girder web. Fasten rail to girder with welded clips. Position rail clips in pairs and locate not more than 36 inches on center. Stagger and position rail joints directly over the girder web. Make all rail joints flush and true without misalignment. The horizontal gap between adjacent rail ends shall not exceed 1/16 inch and vertical misalignment must not exceed 1/32 inch.

c. For top running crane runways, design, fabricate, and install new runway end stops in accordance with ASME B30.17, CMAA 70, CMAA 74 or MHI MH27.1, as applicable. End stops must be designed to absorb the maximum kinetic energy and impact force developed by the bumper contact. Provide end stops compatible with end truck bumpers, designed to bolt to the runway support girders, and maximize bridge travel.

2.3 MECHANICAL REQUIREMENTS

a. Provide steel shafts, gears, and keys, with the exception of worm gears which may be bronze. No three or more bearing shaft configurations are allowed.

b. Cast iron and aluminum used to support components of the hoist power transmission train must be ductile. For the purposes of this specification, "ductile" is defined as having a minimum elongation of 5 percent in 2.00 inches.

c. Provide steel or ductile/malleable cast iron brake housings of motor mounted disc brakes, brake lining backing plates, shoes and shoe holders. Provide spring-set brake shoe or pad linings of a non-asbestos material.

d. All mechanical components must be accurately aligned and positively secured to maintain the alignment. Parts must not be forced into position to obtain apparent alignment.

e. Provide minimum bearing life in accordance with ASME hoist performance standards and MHI MH27.1 or CMAA 74, as applicable.

f. All "should" statements in CMAA 74, MHI MH27.1, and ASME B30 are considered to be "shall" statements.

2.3.1 Threaded Fasteners

Fasten mechanical connections that are not part of a commercial packaged assembly with SAE J429 Grade 5 fasteners, ASTM F436/F436M washers, and SAE J995 Grade 5 nuts. Lubricate all mechanical fasteners unless otherwise specified by the original component manufacturer.

2.3.2 Hoist

Provide hoist conforming to ASME B30.16, except as modified and supplemented in this section. Packaged hoist and trolley units (packaged hoists) must be double reeved electric wire rope hoist conforming to ASME HST-4 Duty Class H3 or better and be rated for the operating environment.

Configure trolley such that the trolley frame contacts the trolley stops and prevents the trolley from dropping more than one inch in the event of

an axle or wheel failure.

2.3.2.1 Hoist Brakes

a. Equip the hoist with two holding brakes, each with a minimum torque rating of 125 percent of the rated load hoisting torque. Provide a brake configuration with one electro-mechanical spring set brake and one mechanical load brake, or self-locking worm gear, that stops and holds 125 percent of the hoist's rated load and does not require the load to be raised before being lowered.

b. Electro-mechanical brakes must have an externally accessible means of manual release. Provide manual-adjusting brakes designed to permit inspection and adjustment without disassembly of the brake. The brakes must be equipped with a manual self-return to ON brake release; maintained brake releases must reset when power is applied. Double face-mounted brakes are not permitted.

2.3.2.2 Load Block and Hook

a. Provide a load block constructed of steel. The load block must be designed to prevent metal-to-metal contact of moving parts. The block must be fully enclosed, concealing the sheaves and wire ropes, except for wire rope slots and drain holes. The design must preclude the wire rope from being cut, pinched, crushed, or chafed in case of two-blocking. Standard commercial blocks may be used at their published ratings when their published design factors are 5.0 or greater.

b. Provide an unpainted and unplated forged carbon steel single barbed hook with a minimum material longitudinal elongation of 16 percent in 2 inches. Hooks must conform to the requirements of ASME B30.10. The hook must be a standard commercial product with a published design factor of 5.0 or greater. Fit hook with a safety latch designed to preclude inadvertent displacement of slings from the hook saddle. The hook and hook nut must be removable without unreeving of the hoist. Provide hook nut secured to the hook with a commercial standard removable and reusable means. Do not weld hook nut. When provided, provide shank and nut threads with a Class 1 or 2 fit, per ASME B1.1. Uniquely mark the hook in a permanent fashion that is traceable to the NDT certification. The nut must be marked to match the hook. Marking shall be the crane number assigned by the weight handling program office. Hook must be free to rotate through 360 degrees when supporting the test load up to 125 percent of the rated capacity.

2.3.2.3 Hoisting Rope

a. Wire rope must comply with ASME B30.30 and FS RR-W-410, ASTM A1023/A1023M, or BS ISO 4309 and have a rope classification appropriate for the usage. Wire ropes must be handled and sized in accordance with ASME B30.30. The wire rope must be in a double reeved configuration equalized with a sheave. Select wire rope minimum design factor in accordance with ASME B30.16. Provide proof of Wire Rope breaking force by means of physical testing reports prepared by a certified materials laboratory..

b. Provide hoist wire ropes with improved, extra-improved, or extra-extra-improved plow steel (or appropriate ISO grades), pre-formed, regular lay, bright, and uncoated, with an independent

wire rope, wire strand, or otherwise, steel core. Hot-dipped galvanized wire rope is not permitted.

2.3.2.4 Drum

Provide grooved drum made of steel. Design drum in accordance with ASME B30.16. All hoisting rope is to be wound in a single layer and provided with no less than two dead wraps of hoisting rope remaining at each anchorage when the hook is in its extreme low position.

2.3.2.5 Sheaves

Provide steel sheaves. Size sheaves in accordance with ASME B30.16 for the minimum pitch diameters of running and equalizer sheaves.

2.3.3 Travel Drives

Provide under running travel assemblies with a minimum of one driven wheel on each side of the web and at least one quarter of all wheels driven.

The travel drive arrangement must consist of motor(s) driving through self-contained gear reduction units located at each driven wheel assembly. Gear reducers must be fully enclosed in an oil-tight housing and provided with a convenient means of lubricant level indication and draining. Open-type gearing is not acceptable, except for final drives.

2.3.3.1 Trolley Drives

Provide a motor-driven trolley arrangement. Acceleration and deceleration must meet the requirements specified in CMAA 70. Provide trolley travel limit switches.

2.3.3.2 Bridge Drives

Provide a motor-driven bridge arrangement. Acceleration and deceleration must meet the requirements specified in CMAA 74. Provide bridge travel limit switches.

2.3.3.2.1 Bridge Travel Gearing

Gearing must conform to ANSI/AGMA 2001 and AGMA 908, with internal and external gear dimensional tolerances conforming to the applicable AGMA standard for tooth geometry and tolerances.

2.3.3.2.1.1 Bridge Travel Reducer

Gear reducers must be standard commercial products designed, manufactured, and rated in accordance with ANSI/AGMA 6013. Provide cranes with a CMAA service class "C" or higher with the corresponding "Industrial Duty" service factors. The speed reducer input (high speed) gear set must use helical (including double helical and herringbone), spiral bevel, or worm gear tooth forms. Provide torque arms that are not of the threaded rod type. Operation must be smooth and quiet.

2.3.3.2.1.2 Bridge Open Gearing

Provide all gears and pinions with adequate strength and durability for the crane service class and manufactured to ANSI/AGMA 2015-1 Accuracy Grade A8 or better. Wherever feasible, open gears must be enclosed with

safety guards provided with openings with covers for inspection and access for grease lubrication.

2.3.4 Travel Brakes

Provide travel drives with an end-mounted electro-mechanical spring set brake conforming to the requirements of CMAA 74 or non-freecoasting mechanical drive capable of stopping the motion of the travel function within a distance in feet equal to 10 percent of the full load speed in feet per minute when traveling at full speed with a full load.

Spring set brakes must be provided with an externally accessible means to manually release the brake. Provide manual-adjusting brakes designed to permit inspection and adjustment without disassembly of the brake. The brakes must be equipped with a manual self-return to ON brake release; maintained brake releases must reset when power is applied. Double face-mounted brakes are not permitted.

2.3.4.1 Trolley Brake

Provide brakes for underrunning trolleys/carriers sized in accordance with ASME B30.17, but not sized larger than 150 percent of the drive motor rated torque.

2.3.4.2 Bridge Brake

Provide brakes with a minimum torque rating per CMAA 74 according to the applicable environment, but not sized larger than 150 percent of the drive motor rated torque.

2.3.5 Wheels

a. Top running wheels are to be straight tread, double flanged, and sized in accordance with CMAA 74 recommendations for wheel sizing and flange to rail head clearances. Wheel material must be forged steel. Hollow stamped and gray cast iron wheels may not be used; the use of plate steel is prohibited. Provide steel wheels with a minimum tread hardness of 320 BHN.

b. Provide under running wheel sizing and flange-to-rail head clearances in accordance with MHI MH27.1 and CMAA 74 recommendations, as applicable. The wheels must be compatible with their respective runway profile. Wheel material is to be cast or forged steel, or ductile or malleable cast iron. Hollow stamped and gray cast iron wheels may not be used; the use of plate steel is prohibited. Minimum tread hardness for underhung wheels that run on patented track is 375 BHN. Minimum tread hardness for wheels running on structural shapes is 320 BHN.

2.3.6 Drip Pans

a. The crane must be designed to preclude leakage of lubricants onto the lifted loads or the floor. Equipment or components, which cannot be made leak-proof, must be fitted with unpainted corrosion resistant steel drip pans or must have the foundations seal welded to create a dam. Drip pans that utilize liquid sealant to prevent leakage of lubricants are not permitted.

b. The drip pans must be sized to hold the entire gear case fluid

capacity, installed under all drive machinery, designed to permit easy removal of collected lubricant. A trolley floor designed to contain any lubricant drips may be used as fluid containment for any equipment that is mounted on it.

2.4 ELECTRICAL REQUIREMENTS

a. The design, selection, rating, and installation of the electrical portions of the crane and its accessories must conform to the requirements of NEMA ICS 3,NEMA ICS 8, the applicable ASME HST standard, and NFPA 70, and other requirements specified herein.

b. All electrical components must be industrial grade, commercially available and comply with established national or internationally recognized approving organizations such as Underwriters Laboratories (UL) and Canadian Standards Association (CSA).

c. All electrical components must be located so they are easily accessible for inspection and maintenance without removing other parts, doors, or door center posts. Electrical equipment and panel wiring must be installed in a neat and workmanlike manner in accordance with Electrical Construction Standard NECA NEIS 1. Each motion of the crane must be provided with a separate control system or drive. The loss of any one function must not prevent the operation of other unaffected functions. Two independent relays, contactors, drive inputs, or other equivalent components/logic must be utilized for each function to provide directional control such that the failure of a single relay/contactor/component cannot result in motion in an unintended direction.

d. Disconnecting means for cranes must be in accordance with NFPA 70 Article 610.32. A permanent placard must be installed on the face of the main line disconnect that states "WARNING – THIS DOES NOT ISOLATE POWER TO LIGHTING, RECEPTACLES, AND ANCILLARY EQUIPMENT". Additionally, a lighting (ancillary equipment) disconnect must be provided, with lockout feature, as the isolation means for the lighting transformer and lighting circuit breaker panel, which must power the crane's ancillary equipment. It must feed 480 VAC to the primary side of the transformer directly from the runway conductors via tapping the line side of the main power disconnect. Provide individual disconnects, with lockout feature, capable of being locked in the open position for bridge lights and receptacles.

e. Unless otherwise specified, interconnecting wiring must be of copper stranded construction complying with Table 310.104(A) of NFPA 70. Interconnecting wiring containing asbestos in the insulation or outer covering are prohibited. Aluminum conductors must not be used. Aluminum connectors are allowed if they are rated for use with copper conductors (marked "AL/CU"). All conductors connected to or routed above resistors must have insulation shown in NFPA 70 Table 610.14(a) for 257 degrees F. For packaged hoists and hoist/trolleys, provide wiring sizes in accordance with NFPA 70 Table 610.14(a). Motor branch circuit conductors not part of a packaged hoist and hoist/trolley must be sized as to have an ampacity not less than 150 percent of the motor full load current rating and to be no smaller than 12 AWG. Conductors must be selected and de-rated based on maximum ambient temperature. Continuous loads such as utility, heating, lighting, and air

conditioning must be multiplied by 2.25 to determine ampacity in order to permit application of NFPA 70 610.14 (A) for crane supply conductors. Wire-nuts are not permitted on splices. However, connections for lighting ballasts may be made using wire-nuts (if applicable).

f. Excluding conduit directly connected to dynamic breaking resistors, raceways must maintain a 12-inch clearance between the raceway and dynamic braking resistors. A separate grounding wire, sized in accordance with Section 250.122 of NFPA 70, must be routed with all ungrounded conductors. Only one equipment grounding conductor must be run in each conduit and be the largest size required for any circuit routed in that conduit. All wiring must be numbered or tagged at all connection points. Power conductors which are shielded such that their wire size cannot be easily determined must be labeled as to the conductor size. All unused conduit openings must be plugged.

g. When fiber optic cable is utilized, inspections and performance checks must be accomplished upon completion of on-site installation to ensure cable cleanliness and proper signal integrity. Testing and verification must be conducted by a knowledgeable fiber optics technician using specialized, calibrated equipment. Cables must be tested for signal loss/attenuation. The fiber optic system must also be tested using an Optical Time Domain Reflectometer (OTDR). Final attenuation and OTDR readings from each fiber optic cable run, including spares, must be documented as a baseline for future reference. All spare fiber optic cables must have protective covers over their ends to maintain cleanliness while not in use. When fiber optic cable is utilized the drive OEM's recommendations for encoders and optical to digital converters must be followed. All system components utilized for this purpose must have known compatibility prior to integration.

h. Power cables and low voltage signal cables may not be mixed in the same conduit.

i. The crane manufacturer must furnish and install all electrical equipment on the crane conforming to NEMA ICS 6, including motors, conforming to NEMA MG 1, electrically released brakes, switches, crane controllers, panels, operating station, wiring system, cables, and crane electrification.

2.4.1 Motors

Motors must meet all applicable requirements of NEMA MG 1 and UL 1004-1. Use CMAA 70 calculations for motor horsepower in selecting bridge, trolley, and hoist drive motors. All motors must have a minimum of a 60 minute duty rating and be Totally Enclosed Non Ventilated (TENV), Totally Enclosed Fan Cooled (TEFC), or Totally Enclosed Blower Cooled (TEBC). Provide inverter duty motors for Open Loop Variable Frequency Drives (VFD). Provide motors with a minimum of Class F insulation. Provide motor overload protection utilizing a thermal sensitive device embedded in its windings.

2.4.2 Controls

a. Provide static reversing, variable frequency drives (VFD) for the bridge, trolley and hoist electric controls. VFD controllers must meet NEMA ICS 8, Part 8 and at a minimum, provide under-voltage

protection, electronic instantaneous over current protection, DC bus over voltage protection, and be able to withstand output line to line shorts without component failure. Select bridge and trolley drives such that the continuous rating of the controller is not less than the calculated motor full load current based on CMAA 70 paragraph 5.2.9.1.1.1 and NFPA 70 Table 430.250. Select hoist drives such that the continuous rating of the controller is not less than 125 percent of the calculated motor full load current based on CMAA 70 paragraph 5.2.9.1.1.1 and NFPA 70 Table 430.250. All hoist drives must have a motor over-torque limit to lock out the hoist and prevent gross overload of the associated hoist. Provide dynamic braking for each electric drive that is sized per VFD manufacturer's requirements. Submit VFD Control Parameter Settings.

b. Provide speed control which is infinitely variable for each function, controlled via pendant pushbutton station. Provide controls designed such that the maximum speed of each function will be limited to 25 percent of rated speed when a slow speed switch is actuated on the controllers. Energize a yellow/amber light/indicator while in slow speed mode.

c. The hoist, trolley, and bridge brakes must set after the associated controller decelerates the drive motor to a controlled stop. The hoist, trolley, and bridge, controllers must be sized to provide sufficient starting torque to initiate motion of that crane drive mechanism from standstill with 0 to 125 percent of rated load on the hook. The hoist controller must prove torque before release of the brakes and enable the drive motor to develop full torque continuously at zero speed. Motors must operate smoothly at all speeds without torque pulsations and must only be energized within the frequency range of 50-60 Hz at rated speed.

d. The use of definite purpose contactors is prohibited. If IEC contactors are used, the application cannot exceed the contactor manufacturer's AC3 ratings for the contactor at a minimum.

e. On hoist function roll-up must be less than 1/8 inch measured at the hook block and roll-back must not occur over the entire load range.

f. Use of Uninterruptible Power Supplies (UPS) is prohibited. Feed control circuits from a single phase, air cooled, double wound transformer with a grounded metal screen between the primary and secondary windings of the transformer.

g. Provide a main line contactor. Energization of the main line contactor must be controlled by the POWER-OFF/POWER-ON switch/pushbutton on all controllers. Upon actuation of the POWER-OFF pushbutton; power to all drive motors, brakes, and controls must be removed. The mainline contactor must not be able to be energize while the POWER-OFF pushbutton is actuated. The POWER-OFF pushbutton circuitry must be independent of all controls or any other electronic devices.

h. Provide a spring returned brake bypass keyswitch for each hoist. The switch allows for actuation of the electro-mechanical brake for independent testing of the mechanical load brake. The normal position allows both brakes to work in their normal operating configuration. Label the keyswitch positions as "NORMAL" and "LOAD BRAKE TEST".

2.4.3 Protection

Protection must not be less than that required by NEMA ICS 3, NEMA ICS 8, CMAA 74, NFPA 70, UL 1004-1, UL 943, 29 CFR 1910.147, 29 CFR 1910.179, 29 CFR 1910.306 and all applicable provisions of 29 CFR 1910. All protection must be by circuit breakers or fuses. Provide a disconnect switch or enclosed type circuit breaker readily accessible to the crane operator for the crane disconnect. Motor branch circuits must be individually protected by inverse time circuit breakers capable of being locked in the open position. The means for locking must remain in place with or without the lock installed. Motor full load current from NFPA 70 Article 430, Part XIV (Tables) must be used to calculate the circuit breaker size.

Provide disconnecting means on the crane in accordance with NFPA 70 Article 610.32. Provide for lockout/tagout of all hazardous energy sources. Provide product data for all circuit breakers and fuses.

2.4.3.1 Conductors

a. The crane contractor is responsible for ensuring that all conductors from the load side of the existing floor level disconnect to the motor branch circuits have adequate overcurrent protection complying with one of the following:

   (1) Not be greater than the largest rating or setting of any branch circuit protective device plus the sum of the nameplate rating of all other loads per NFPA 70 Article 610.41(A).

   (2) Not be greater than the ampacity of all feeder conductors after all ampacity correction factors have been applied.

b. Conductors for brake coils must be protected by fuses or other protective devices. The device must be chosen to protect the brake circuit conductors from ground faults or short circuits.

2.4.4 Resistors

Provide resistors with natural convection cooling sized as recommended by the VFD OEM and fabricated of corrosion resistant metal; the use of "wire wound" type resistors is prohibited for segments of 8 ohms or less. Mount resistors in substantial, ventilated enclosures constructed entirely of non-combustible materials. Provide resistors with terminals fitted in the coolest position in the enclosure.

2.4.5 Transients and Harmonics Protection

a. Provide contactors and relays with appropriate Metal Oxide Varistors (MOV) or resistor-capacitor (R-C) surge absorbers installed across the respective coil.

b. Provide transient protection for electronic drive controllers that is either internal to the drive or via an MOV connected line-to-ground close to the line terminals of the drive.

c. Provide line reactors rated for continuous duty operation based upon the motor nameplate amperes. With motors of 50 horsepower or greater, harmonics protection must be provided by an isolations transformer or as recommended by the VFD OEM. For a drive motor branch circuit that

exceeds 150 feet in length, a reactor must also be connected in series with the controller load (output) terminals to provide standing wave protection or as otherwise recommended by the VFD or motor OEM.

2.4.6 Limit Switches

a. Provide primary upper and lower geared limit switches. Geared limits must allow reversing direction to back out of the limit without resetting. The lower limit switch must be set such that there are a minimum of two wraps of rope on the hoist drum.

b. Provide a backup mechanical hook block activated upper limit switch wired independent of the directional controllers and the primary upper limit switch that removes power from the hoist motor, hoist brake and hoist controls conforming to NEMA ICS 5. The backup limit must require hoist resetting prior to operation of the hoist in any direction.

c. For chain hoists, the upper hoist limit switch must be wired to remove all power from the hoist drive motor and brake(s) independent of the microprocessor drive. A two-position spring-returned bypass switch must be provided that allows for resetting of the final limit switch prior to resuming operation. During resetting of the final limit, the hoist must operate in the lowering direction only.

d. Travel limit switches must be provided for the bridge and trolley motion to slow the crane to 25 percent of its rated speed 10 feet before the bridge end stops and 5 feet from the trolley end stops. Limit switches must be mounted rigidly in a manner so as to protect the switch from misalignment or damage. The target/trip arm must be large enough to provide interception given a misalignment were to occur.

2.4.7 Operator Controls

a. Provide crane equipped with a pendant pushbutton station.

b. If VFD controls are not provided, provide directional contactors with both mechanical and electrical interlocks.

2.4.7.1 Pendant Pushbutton Station

The cranes must be controlled from a pendant pushbutton station suspended from an independent festooned messenger track system, operating the length of the bridge Provide multiconductor flexible cords for pendant pushbutton stations with No. 16 AWG minimum conductors. Provide a method of strain relief to protect the electrical conductors from damage. Locate the pendant pushbutton station 4 feet above the finished floor. Pushbutton pendant station must have its elements legibly marked and arranged vertically, in order, in accordance with CMAA 74. Provide 3-step infinitely variable pendant pushbuttons for control of the hoist, trolley, and bridge. Provide pendant pushbuttons for control that spring return to the OFF position. Voltage in the pendant pushbutton station must not exceed 150 Volts AC or 300 Volts DC. Provide a maintained two-position selector switch for slow speed selection.

2.4.7.1.1 Pendant Conductor System

Provide a festoon type pendant conductor system. The festoon cables must

be flat cables suspended from carriers riding on an I-beam or C-track. The pendant controller must be capable of traveling the entire length of the bridge and move independently of the trolley. Festoon loops must not extend below the high hook position.

2.4.8 Electrification Systems

2.4.8.1 Runway Conductor System

a. Provide a rigid runway Conductor Bar System for the runway conductor system, including all necessary cables and hardware to the crane from a wall or column mounted disconnect switch. Provide electrification system with three power conductors and an equipment grounding conductor. The crane must be grounded through the runway electrification system. Provide runway conductors sized for simultaneous motions of the hoist, bridge, trolley mechanisms and any ancillary loads. If there is any way the hook block or wire rope can swing into the runway electrification, provide a guard installed to prevent contact.

b. Provide two Collector Shoes (tandem design) for each conductor; each collector shoe must be rated for not less than the runway conductor sizing, so as to provide redundancy.

2.4.8.2 Bridge Conductor System

a. Provide Festoon System for the bridge conductor system utilizing cables suspended from carriers riding on an I-beam or C-track. Conductors must be fabricated from copper. A minimum of 20 percent of the festoon control circuit conductors for each electrification system must be spares at the time of crane acceptance. The trolley is required to be grounded through this conductor system. Festooned cable loops must not extend low enough to come into contact with any obstructions.

2.4.9 Overload Protection

a. Provide a capacity overload protective device for all hoist systems using VFD drive capacity overload protection (separate from torque limiting feature of the VFD). Set hoist capacity overload protection at 100 percent of rated capacity. Hoist capacity overload protection must be adjustable between 80 and 150 percent of hoist capacity. Provide a keyed override or other means to disable the hoist capacity overload protection when performing a load test. If a slip clutch is used as the overload limiting device, it must comply with all ASME B30.16 requirements. Provide adjustable slip clutches set at or less than the cranes maximum test load. If the slip clutch is non-adjustable, the OEM factory setting is acceptable and must be identified with the Product Data sheets and O&M manual.

b. Initially, set the torque limiting capability of the VFD (that is separate from the capacity overload protective device) to 150 percent of the motor torque (amperage) necessary to hoist 100 percent load. It may be adjusted up only to avoid nuisance trips and adjusted down if possible while still avoiding nuisance trips.

2.4.10 Enclosures

a. Provide enclosures for control panels, controls, and brakes in

accordance with NEMA 250 and NEMA ICS 6, Classification Type 12 indoor without knockouts, general purpose.

b. Provide a non-resettable hour meter, connected across the main line contactor, readable from the exterior of the main control panel, to indicate the elapsed number of hours the crane is energized.

2.4.11 Warning Devices

Provide a warning horn that is operable from a push button at the pendant pushbutton station. Provide a warning strobe light that is illuminated at all times during movement of the hoist, trolley, or bridge function, but may be deactivated on the operator control station.

2.4.12 Floodlights

Provide evenly spaced floodlights along the bridge. Select floodlights to provide an illumination level of 40 foot-candles at three feet above the finished floor. All lights must be vibration resistant and designed to prevent any material from falling from the fixture. Switch the floodlights from the pendant pushbutton station.

2.4.13 Pilot Devices

Provide Indicator Lights mounted in an enclosure on the bottom of the bridge with lights sized and positioned to be visible from the ground. The lights must be the dual-lamp type. Provide a white light to indicate that power is available to the crane and a blue light to indicate that the main contactor is energized. Light voltage must be 115 VAC. Provide nameplates that are legible from ground level. The nameplates must read, in their respective order, "POWER AVAILABLE" and "CRANE ENERGIZED". The POWER AVAILABLE light must be supplied by a separate, fused transformer for its energization.

2.4.14 Cyber Security of Control Systems

a. Provide the following for PLC, RTU, Supervisory Controller, or other network-capable (whether networked or not upon delivery) control devices as applicable:

(1) Hardware list (Hardware list must include the following for each device):

(a) Manufacturer

(b) Model

(c) Location

(d) Key technical ratings (e.g., memory)

(e) Serial number

(f) MAC addresses

(g) IP addresses

(2) Software List (Software list must include the following for each device):

(a) Manufacturer

(b) Version/subversion

(c) Location/device

(d) Used network ports/protocols/services

(3) List and discussion of all security features of Contractor hardware and software.

b. For every PLC, RTU, Supervisory Controller, or other network-capable control devices (whether networked or not upon delivery), deliver the following on CD/DVD:

(1) Original firmware

(2) Original firmware hash

(3) SOP for application of firmware updates/patches

(4) POC or website for firmware updates/patches

(5) Count of interfaces and types

(6) Protocols in use, per interface

(7) Configuration file

(8) SOP for configuration

2.4.14.1 Control System and Network

a. Provide one rugged laptop type workstation (computer) complete with all compatible software (including software licenses), redundant physical back-up copies on CD/DVD of the installed software, and all necessary cables and special connectors to allow crane software to be troubleshot, checked and upgraded, and for the data recorder to be accessed and information retrieved. Equip the workstation with a CD/DVD drive and the associated CD/DVD burning software. The workstation must also be equipped with USB ports (2.0 and 3.0), an Ethernet port, and a serial port. Delivering the software on a USB (flash drive) device is prohibited.

b. The laptop must be designed for an industrial environment and must be shock resistant and weatherproof as a minimum. Provide the laptop with a built-in CD/DVD reader with the capability to burn CDs and DVDs including associated software to burn CDs and DVDs.

c. The Contractor must provide all equipment, including software and hardware, necessary for testing, installation, and communicating/troubleshooting all systems provided with the crane (e.g., engine/generator, control system, LID, etc.). The Contractor must provide all crane specific operational software files (e.g., ladder logic, functional block programming, etc.) for their associated systems (e.g., control systems, LID, engine generator, etc.).

d. A single common networked design must not be used for the control

systems. A network for an individual function may be used as long as a failure of the network does not affect any other function/network except as defined for specific safety interlocks (e.g., LMI system). A common crane network may be used in a monitoring mode for recording faults and trending and is encouraged. Failure of the monitoring system must not affect crane functions.

e. All provided hardware and software must be currently marketed products, not currently scheduled for end of life or obsolescence, to ensure system sustainability.

f. The control system engineering workstation hardware and operating system must be in conformance to the Common Criteria for IT Security Evaluation (IEC ISO 15408, visit https://www.niap-ccevs.org for more information).

g. Ensure there is no remote access capability enabled as remote access capabilities are prohibited. Physically disable or remove all modem/network devices not required for operational purposes.

2.4.14.2 Software and Services

a. Remove all Software and Services not required for operation and/or maintenance of the product. If removal is not technically feasible, then disable software not required for the operation and/or maintenance of the product. Configure the product to allow the ability to re-enable ports and/or services if they are disabled by software. The removal of software or services may not impede the primary function of the product. If software that is not required cannot be removed or disabled, document a specific explanation and provide risk mitigating recommendations and/or specific technical justification. The software/service to be removed and/or disabled includes, but is not limited to:

(1) Cameras

(2) Games

(3) Device drivers for product components not procured/delivered

(4) Messaging services (e.g., email, instant messenger, peer-to-peer file sharing)

(5) Source code

(6) Software compilers in user workstations and servers

(7) Software compilers for programming languages that are not used in the control system

(8) Unused networking and communications protocols

(9) Unused administrative utilities, diagnostics, network management, and system management functions

(10) Backups of files, databases, and programs used only during system development

(11) All unused data and configuration files

(12) Remove and/or disable, through software, physical disconnection, or engineered barriers, all services and/or ports in the procured product not required for normal operation, emergency operations, or troubleshooting. This includes communication ports and physical input/output ports (e.g., USB docking ports, video ports, and serial ports).

b. Provide documentation showing all disabled ports, connectors, and interfaces for all network-capable devices. In addition, provide summary documentation of the procured product's security features and security-focused instructions on product maintenance, support, and reconfiguration of default settings.

c. For the evaluation status of hardware and software, the Contractor must provide information on Common Criteria (IEC ISO 15408) or National Information Assurance Partnership (NIAP) or Federal Information Processing Standards (FIPS) evaluation status of hardware and software.

2.4.14.3 Access Control

a. The Contractor must configure each component of the procured product to operate using the principle of least privilege. This includes operating system permissions, file access, user accounts, application-to-application communications, and energy delivery system services.

b. Provide user accounts with configurable access and permissions associated with one or more organizationally defined user role(s), where roles are used.

c. Provide a system administration mechanism for changing user(s') role (e.g., group) associations.

d. The Contractor must document control system access control options by defining access and security permissions, user accounts, and applications with associated roles.

e. Provide recommended methods for the Acquirer to prevent unauthorized changes to the Basic Input/Output System (BIOS) and other firmware. If it is not technically feasible to protect the BIOS to reduce the risk of unauthorized changes, the Contractor must document this case and provide mitigation recommendations.

2.4.14.4 Control System Account Management

The Contractor must document all accounts (including, but not limited to, generic and/or default) that need to be active for proper operation of the procured product.

Remove or disable any accounts that are not needed for normal or maintenance operations, emergency, or troubleshooting of the energy delivery system.

2.4.14.5 Session Management

The Contractor may not allow multiple concurrent logins using the same authentication credentials, allow applications to retain login information

between sessions, provide any auto-fill functionality during login, or allow anonymous logins.

Provide account-based and group-based configurable session-based logout and timeout settings (e.g., alarms and human-machine interfaces).

2.4.14.6 Authentication/Password Policy and Management

Provide a configurable account password management system that allows for, but is not limited to, the following:

a. Changes to passwords (including default passwords)

b. Selection of password length

c. Frequency of change

d. Setting of required password complexity

e. Number of login attempts prior to lockout

f. Inactive session logout

g. Screen lock by application

h. Comparison to a library of forbidden strings

i. Derivative use of the user name

j. Denial of repeated or recycled use of the same password

The Contractor must time stamp log files.

2.4.14.7 Logging and Auditing

Provide logging capabilities that cover the following events, at a minimum (as appropriate to their function):

a. Information requests and server responses

b. Successful and unsuccessful authentication and access attempts

c. Account changes

d. Privileged use

e. Application start-up and shutdown

f. Application failures

g. Major application configuration changes

2.4.14.8 Heartbeat Signals

The Contractor must identify heartbeat signals or protocols and recommend which should be included in network monitoring. At a minimum, include a last gasp report from a dying component or equivalent.

The Supplier must provide packet definitions of the heartbeat signals and

examples of the heartbeat traffic if the signals are included in network monitoring.

2.4.14.9 Patch Management and Updates

The Contractor must verify that procured products (including third-party hardware, software, firmware, and services) have appropriate updates and patches installed prior to delivery.

Provide documentation of the patch management program and update process (including third-party hardware, software, and firmware). This documentation must include resources and technical capabilities to sustain this program and process. Provide the Contractor's method or a recommendation for how the integrity of the patch is validated by the Acquirer as well as the Supplier's approach and capability to remediate newly reported zero-day vulnerabilities.

2.4.14.10 Malware Detection and Protection

a. The Contractor is required to implement at least one of the following:

(1) Provide a host-based malware detection capability that quarantines (instead of automatically deleting) suspected infected files. Provide an updating scheme for malware signatures. The Contractor must test and confirm compatibility of malware detection application patches and upgrades.

(2) If the Contractor is not providing the host-based malware detection capability, the Contractor must suggest malware detection products to be used and provide guidance on malware detection and configuration settings that will work with Contractor products.

b. The Contractor must validate that cybersecurity services running on the procured product (e.g., virus checking and malware detection) do not conflict with other such services running on the procured product.

c. For malware detection and protection, the Contractor must provide, or specify how to implement, the capability to automatically scan any removable media that is introduced to the product being acquired.

2.4.14.11 Physical Security

Provide lockable or locking enclosures or rooms for energy delivery systems and system components (e.g., servers, clients, and networking hardware) and for the systems used to manage and control physical access (e.g., servers, lock controllers, and alarm control panels). Provide a method for tamper detection on lockable or locking enclosures. If a physical security and monitoring system is used, tamper detection must be compatible. The Contractor must ensure that physical security features do not hamper the crane system operations. Provide the tools and instructions for making changes to locks, locking codes, keycards, and any other keyed entrances.

2.4.14.12 Wireless Technology

For wireless technology provisions, the Contractor must document:

a. Specific protocols and other detailed information required for

wireless devices to communicate with the control network, including other wireless equipment that can communicate with the Contractor-supplied devices.

b. Use, capabilities, and limits for the wireless devices.

c. Power and frequency requirements of the wireless devices (e.g., microwave devices meet the frequency requirements of Generic Requirements GR-63 Network Equipment Building System (NEBS) and GR-1089.

d. Range of the wireless devices and verify that the range of communications is minimized to both meet the needs of the Acquirer's proposed deployment and reduce the possibility of signal interception from outside the designated security perimeter.

e. Wireless technology and associated devices compliance with standard operational and security requirements specified in applicable wireless standard(s) or specification(s) (e.g., applicable IEEE standards, such as 802.11).

f. Configuration control options that enable varying of the security level of the devices.

2.4.14.13 Control System Inventory

Provide the complete control system inventory. The Control System Inventory must include the following attributes, in tabular format, as applicable:

General Information	Location Information	Hardware Details	Operating System and Platform	Network Information (Actual Function, not potential function)
Unique ID	Facility Name	Device Type	Embedded OS (Yes/No)	MAC Address(es)
Barcode or Identifier	NFAID	Device Sub-Type	OS Contractor	IP Address(es)
Region	Commodity	Device Function	Operating System (O/S)	Upstream Device
Installation	Floor	Manufacturer	O/S Version	Protocols In Use
Special Area (Option DNA1)	Room	Product Line	Platform Contractor	Host Name
	Location	Model No.	Platform Product Line	
	System Type	Serial No.	Platform	
	Functional System or Equipment Control	Remote Connectivity: (Wired / Wireless / None)	Platform Version	

		Network Type Used: (Serial / Ethernet / Both / None)		

2.5 PAINTING SYSTEM

a. Remove all grease, oil, and surface debris by solvent wiping or detergent/water scrubbing, prior to blast cleaning. Prepare surfaces to be coated by abrasive blasting to SSPC SP 6/NACE No.3, Commercial Blast Cleaning, or in accordance with the coating manufacturer's requirements, whichever is more stringent.

b. Use a painting system appropriate for the conditions provided in the Crane Design Criteria section of this specification. Paint exposed portions of the crane and crane runway system using a three -coat system as follows: zinc-rich primer consisting of a minimum of 77 percent zinc by weight in the dry film, an anticorrosive epoxy intermediate coat, and an aliphatic polyurethane top coat. All paint products must be supplied by a single manufacturer and free of chromates, lead, and mercury. Apply each coat in accordance with manufacturer's instructions and requirements. Ensure each coat is smooth, even, and free of runs, sags, orange peel, and other defects. Desired color of finish coat is brilliant yellow . Submit product data for painting system.

c. Coat faying surfaces of bolted connections per RCSC A348, but do not apply finish paint.

d. Paint the load block brilliant yellow with black diagonal striping.

e. Paint, coatings, or galvanizing on the following items or areas is not acceptable: hoist wire rope or load chain, hooks, hook nuts, sheave and drum grooves, sprockets, wheel treads, lubrication fittings, nameplates, flange mounting faces, corrosion resistant steel, bronze, or other items not normally painted.

f. Factory paint electrical and mechanical equipment in accordance with the manufacturer's best standard practice for the specified environment.

2.6 IDENTIFICATION PLATES

Furnish and install identification plates. Provide non-corrosive metal identification plates with clearly legible permanent lettering giving the manufacturer's name, model number, serial number, capacity in pound units, and other essential information or identification.

2.6.1 Markings on Crane, Trolley, and Hook

To avoid operation of the crane in the wrong direction, affix the appropriate directions (NORTH, SOUTH, EAST, and WEST) with arrows on both sides of the bridge and both sides of trolley, as applicable. Markings must be visible by the operator and from the loading point. Labels on the controls must have corresponding directional (NORTH, SOUTH, EAST, and WEST) markings. Markings must agree with the markings on controller. Do not indicate directional arrows on controller.

Mark the hook rated capacity in pound units on both sides of the hoist load block.

2.7 ELECTRICAL ASSEMBLY

Installation of all electrical wiring, conduit, and components must be performed in accordance with the requirements of NFPA 70. As a minimum, items a. through g. below must be followed:

a. All electrical connections must be installed in accordance with NFPA 70 Articles 110.14 or 430.9, as applicable, or as recommended by the device manufacturer.

b. Crimped terminal lugs, if used, must be properly sized for the wire and installed using the device(s) – e.g., crimping tool and indenter – recommended by the terminal lug manufacturer.

c. All spare conductors must be identified as spare conductors, and must have their ends insulated to preclude accidental contact with energized equipment.

d. Bonding straps and equipment grounding conductors must be connected to engineered ground points, have all paint removed from their termination points, or have tooth lockwashers (star lockwashers) installed, to insure proper grounding of the equipment.

e. Rigid Polyvinyl Chloride conduit may be used to protect festoon cable from physical damage when the cable is run along the footwalk of the crane, provided that only sections of conduit are used.

f. Festoon cable must be installed with suitable strain relief and protected from physical damage in accordance NFPA 70 Article 610.11(E)(1). This includes damage from chafing against the crane structure and any other type of damage that may be incurred.

g. Fiber optic cable must be installed in accordance with the manufacturer's installation guidelines. However, at a minimum the following guidelines must be adhered to: no sharp bends (bend radii must be greater than 1 inch or as prescribed by the manufacturer), avoid tight loops, no zip ties, and no stretching of cable.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, and before performing any work, verify all dimensions in the field. The Contractor is responsible for the coordination and proper relation of the contracted work to the building structure and to the work of all trades. Verify all dimensions of the building that relate to fabrication of the crane and notify the Contracting Officer of any discrepancy before finalizing the crane order.

3.2 SHOP ASSEMBLY AND TESTS

Shop assemble major components as completely as possible. Reeving of drums and sheaves is not advisable for shop testing, however it is acceptable for packaged hoists. Functionally test the crane system at the construction facility prior to shipment. The Government reserves the

right to inspect the crane for compliance with this specification and to witness the functionality tests. Notify the Contracting Officer 21 days prior to starting testing operations.

3.3 ERECTION AND INSTALLATION

Perform the entire crane system erection in accordance with manufacturer's instructions under the full-time supervision of the manufacturer's representative.

3.3.1 Mechanical Alignment

Align motors, couplings, brakes, gear boxes, and drive components in accordance with manufacturer's instructions.

3.3.2 Electrical Adjustments

Adjust control system in accordance with manufacturer's instructions. Store a copy of all Control Parameter Settings (PLC, VFD). Provide the final settings and configurations data on the Complete Schematic Wiring Diagram, including but not limited to, timer settings, resistor tap settings, potentiometer settings, test-point voltages, supply voltages, motor voltages, motor currents. Provide the test conditions such as ambient temperature, motor load, date performed and person performing the adjustments as part of the Operational Tests report.

3.3.3 Field Welding

Perform welding indoors, where possible. Surface of parts to be welded must be free from rust, scale, paint, grease, and other foreign matter. Minimum preheat and interpass temperatures must conform to the requirements of AWS D14.1/D14.1M.

3.3.4 Field Painting

Perform painting indoors, where possible. Field painting (including touch-up) must conform to the requirements of the coating manufacturer and as specified in paragraph PAINTING SYSTEM.

3.4 FIELD QUALITY CONTROL

3.4.1 Post-Erection Inspection

After erection, the Contractor, the Activity Crane Inspector/Test Director, and the Contracting Officer must jointly inspect the crane bridge and hoist systems and components to verify compliance with specifications and approved submittals. Notify the Contracting Officer 21 days before the inspection. Provide for approval a report of the inspection indicating the crane is considered ready for operational tests.

Document the results of this inspection and outstanding punch list items that require correction by the Contractor. Submit the post-erection inspection report to the Contracting Officer for approval.

3.4.2 Operational Tests

Check the clearance envelope of the entire crane prior to picking or traversing any load to ensure there are no obstructions. Test the systems in service to determine that each component of the system operates as

specified, is properly installed and adjusted, and is free from defects in material, manufacture, installation, and workmanship. Rectify all deficiencies disclosed by testing and retest the system or component to prove the crane is operational.

3.4.2.1 No-Load Test

Raise and lower each hook through the full range of normal travel at rated speed for three complete cycles. Raise and lower each hook, testing other speeds of the crane. Verify proper operation of hoist limit switches. Operate the bridge and trolley in each direction the full distance between end stops and bring bumpers into contact with the end stops. Operate through the entire speed range and verify proper brake operation. Verify correct operation of all indication and ancillary devices.

3.4.3 Test Data

Submit all crane test data recorded on appropriate test record forms suitable for retention for the life of the crane. Record operating and startup current measurements for hoist, trolley, and bridge motors using appropriate instrumentation (i.e., clamp-on ammeters). Compare recorded values with design specifications or manufacturer's recommended values; abnormal differences (i.e., greater than 10 percent from manufacturer's or design values) must be justified or appropriate adjustments performed. In addition, note, investigate, and correct any high temperatures or abnormal operation of any equipment or machinery. Record hoist, trolley, and bridge speeds during each test cycle.

3.4.4 Hook Tram Measurement

Establish a throat dimension base measurement by installing two tram points and measuring the distance between these tram points (plus or minus 1/64 inch). Record this base dimension. Measure the distance between tram points before and after load test. An increase in the throat opening from the base measurement is cause for rejection.

3.4.5 Load Tests

a. Perform the following tests, as specified below.

b. Test loads used in this section are defined as the following:

Wire rope run-in load (when applicable): 25 - 50 percent of rated load.

Rated load test: 100 percent (plus 0 minus 10) of rated load.

Overload test: 125 percent (plus 0 minus 5) of rated load.

c. Testing of cranes must be done with the use of test weights. The use of dynamometers in lieu of lifting test weights is not permitted. Each test weight for crane tests must be marked with a unique identification number and the weight in pounds. The weight marked must be the actual weight taken from the scale or other measuring device. Solid weights must be measured using calibrated equipment traceable to the National Institute of Standards and Technology (NIST), with a minimum accuracy of plus or minus two percent (i.e., indicated weight must be within plus or minus two percent of actual weight). A list of test weights, with identification numbers and

weights, must be retained. The list must include the type and serial number (or other identifier) of the weighing device(s) used to weigh the test weights. Where a lifting attachment supports multiple test weights (e.g., stacked weights or multiple weights suspended from a padeye), the total capacity must be marked on the attachment. All rigging gear must meet OSHA and ASME requirements.

3.4.5.1 Wire Rope Run-In

The primary purpose of this procedure is to exercise the newly installed wire rope.

Place the load on the hook. Start at ground level and hoist up to one foot below upper limit at slow speed. Hoist down to lower limit at slow speed. Repeat hoisting and lowering of the load for approximately 10 hoisting cycles, increasing the speed for each cycle. During this test, the capacity overload lockout should not activate.

3.4.5.2 Rated Load Test

3.4.5.2.1 Hoist

a. Static Load Test: With the trolley in the center of the bridge span, raise the test load approximately one foot. Hold the load for 10 minutes. Rotate the load and hook 360 degrees clockwise and counterclockwise to check bearing operation with no binding. Observe for lowering of the load, which may indicate a malfunction of hoisting components or brakes. Verify that maximum beam and girder deflections do not exceed CMAA 74 and MHI MH27.1 design limits, as applicable.

b. Dynamic Load Test: Raise and lower test load through the full lift range and visually observe smooth control and acceleration between points. Completely stop the machinery at least once in each direction to ensure proper brake operation.

c. Hoist Mechanical Load Brake (or Self-locking Worm Gear): Raise test load approximately 5 feet. With the hoist controller in the neutral position, release (by hand) the holding brake. Document the method used to release the holding brake. The load brake must hold the test load. Again, with the holding brake in the released position start the test load down at slow speed and return the controller to the "off" position as the test load lowers. The load brake must stop and hold the test load.

d. Hoist Loss of Power Test: Raise the test load to approximately 8 feet. While slowly lowering the test load, disconnect the crane's power source. Verify that the test load does not lower and that the brake is set.

3.4.5.2.2 Trolley

Operate the trolley (if space is available) the full distance of the bridge rails in each direction with a test load on the hook. Check proper functioning through the range of speeds. Verify proper brake action and stopping distance.

3.4.5.2.3 Bridge

With a test load on the hook, operate the bridge for the full length of

the runway (if space is available) in one direction with the trolley at the far end of the bridge, and in the opposite direction with the trolley at the opposite end of the bridge. Use extreme caution. Check proper functioning through the range of speeds. Check for any binding of the bridge end trucks. Verify proper brake action and stopping distance. Record deficiencies. Secure from testing if deficiencies are found.

3.4.5.2.4 Trolley Loss of Power Test

Raise the test load approximately midway between the trolley and any permanent obstruction on the operating floor. Starting at a safe distance from walls or other obstructions, attain a slow speed of trolley travel. While maintaining a safe distance from obstructions, disconnect the main power source at the wall mounted safety switch (disconnect) to simulate a power failure. Verify that the trolley stops and that the brake sets properly. Measure the distance required for the trolley to stop.

3.4.5.2.5 Bridge Loss of Power Test

Raise the test load approximately midway between the trolley and any permanent obstruction on the operating floor. Starting at a safe distance from walls or other obstructions, attain a slow speed of bridge travel. While maintaining a safe distance from obstructions, disconnect the main power source at the wall mounted safety switch (disconnect) to simulate a power failure. Verify that the bridge stops and that the brake sets properly. Measure the distance required for the bridge to stop.

3.4.5.3 Overload Test

3.4.5.3.1 Hoist

Disconnect or adjust the overload limit device to allow the hoist to lift the test load. Verify proper operation of the overload limit device after it is reconnected.

a. Static Load Test: With the trolley in the center of the bridge span, raise the test load approximately one foot. Hold the load for 10 minutes. Rotate the load and hook 360 degrees clockwise and counterclockwise to check bearing operation with no binding. Observe for lowering of the load, which may indicate a malfunction of hoisting components or brakes.

b. Dynamic Load Test: Raise and lower test load and visually observe smooth control. Stop the load during raising and lowering to verify that the brakes holds the load.

c. Hoist Mechanical Load Brake (or Self-locking Worm Gear): Raise test load approximately 5 feet. With the hoist controller in the neutral position, release (by hand) the holding brake. Document the method used to release the holding brake. The load brake must hold the test load. Again, with the holding brake in the released position start the test load down at slow speed and return the controller to the "off" position as the test load lowers. The load brake must stop and hold the test load.

d. Hoist Loss of Power Test: Raise the test load to approximately 8 feet. While slowly lowering the test load, disconnect the crane's power source. Verify that the test load does not lower and that the brake is set.

3.4.5.3.2 Trolley

Operate the trolley the full distance of the bridge rails in each direction with a test load on the hook (one cycle) through the range of speeds. Verify proper brake action.

3.4.5.3.3 Bridge

With a test load on the hook, operate the bridge for the full length of the runway in one direction with the trolley at the extreme end of the bridge, and in the opposite direction with the trolley at the opposite extreme end of the bridge (one cycle). Check proper functioning through the range of speeds. Check for any binding of the bridge end trucks and verify proper brake action. Record deficiencies. Secure from testing if deficiencies are found.

3.5 MANUFACTURER'S FIELD SERVICE REPRESENTATIVE

Furnish a qualified experienced manufacturer's field service representative to supervise the crane installation, assist in the performance of the on-site testing, and instruct personnel in the operational and maintenance features of the equipment.

3.6 OPERATION AND MAINTENANCE MANUALS

Provide three (3) hard copies of operation and three (3) hard copies of maintenance manuals for the equipment furnished along with an electronic copy (PDF) of each on a Compact Disc. Provide one complete set prior to performance testing and final copies upon acceptance. Provide operation manuals that detail the step-by-step procedures required for system startup, operation, and shutdown. Include the manufacturer's name, model number, parts list, and brief description of all equipment and basic operating features. List in the maintenance manuals routine maintenance procedures, including weekly, monthly, semi-annual, and annual required maintenance items, possible breakdowns and repairs, and troubleshooting guides. Also include as-built drawings, piping and equipment layout, design calculations, Control Parameter Settings and printouts of any software, and simplified wiring and control diagrams of the system as installed. Secure approval of operation and maintenance manuals prior to the field training course (as applicable).

3.7 FIELD TRAINING

Conduct a training course for ten (10) operating and maintenance staff and provide a copy of the training material to each participant. Provide a training period consisting of a total of eight hours of normal working time and starting after the system is functionally completed but prior to final acceptance. Cover all pertinent points involved in operating, starting, stopping, and servicing the equipment, including all major elements of the Operation and Maintenance Manuals. Demonstrate in course instructions all routine maintenance operations such as lubrication, general inspection, and basic troubleshooting.

Provide a minimum of two separate four(4) hour training sessions on the Variable Frequency Drives (VFDs) discussing maintenance, troubleshooting of fault codes, theory of operation, and adjustment of crane parameters. This training session will be for an audience of approximately eight people.

3.8 FINAL ACCEPTANCE

Final acceptance of crane system will not be given until Contractor has successfully completed all testing operations, corrected all material and equipment defects, made all proper operation adjustments, and removed paint or overspray on wire rope, hook, and electrical collector bars.

-- End of Section --

ATTACHMENTS Table of Contents

ATTACHMENT 1 – NUWC Newport RI Contractor Base Access Requirements

ATTACHMENT 2 – NUWC Newport Contractor Camera Permit Application

ATTACHMENT 3 – NUWC Newport Contractor Computer Permit Application

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ATTACHMENT 5 – NSN 5090.14C NAVSTA Newport HAZMAT Management Instructions

ATTACHMENT 6 – NUWC 5090_3D Hazcom Requirements

ATTACHMENT 1

NUWC Newport RI Contractor Base Access Requirements

NUWC NEWPORT DIVISION VISITOR INFORMATION

ATTACHMENT 1

- A visit request is **MANDATORY** for all visitors to NUWC Division Newport; government and civilian, regardless of the duration or classification of the visit. Access to NUWC Division Newport will not be granted under any circumstances without a valid visit authorization request (VAR) on file.
- If you can submit your VAR via DISS, you do not need to send a VAR on company letterhead.
- Due to the number of visit requests received, please allow 3-5 business days for processing time, whether received by DISS or on Company Letterhead via FAX or EMAIL.
- Visitors who arrive prior to the 3-5 working day processing window may not be guaranteed access.
- Please note, all individuals not in possession of a common access card (CAC) or Teslin Card (Retired Military/Dependent ID), will require a DBIDS card for access.
- No unescorted access will be granted without a valid DBIDs card or ID mentioned above.

****

NUWCDIVNPT VISITOR REQUEST INFORMATION NEEDED:

****Information must be on Company Letterhead****

1) **Company/Organization Name, Address, Telephone, and FAX Number**
2) **Cage Code**
3) **Visitor(s) Full Name (As it appears on government issued ID – i.e. Driver's License)**
4) **Visitor(s) Full Social Security Number**
5) **Visitor(s) Date of Birth**
6) **Visitor(s) Place of Birth (City & State)**
   a. If born outside the U.S, you MUST provide a Naturalization Number or US Passport
7) **Visitor(s) Citizenship**
8) **Level of Clearance:** UNCLASSIFIED or as appropriate
   a. SCI Level Access MUST be coordinated SSO to SSO
9) **Do they possess a CAC, Teslin or DBIDs Card? (Yes or No)**
   a. Please specify which visitor(s) have CAC, Retired Military/Dependent ID, DBIDS, or NOT APPLICABLE
10) **NUWCDIVNPT Point of Contact (Sponsor)**
    a. MUST be a NUWC Government Employee
11) **Specific Date(s) of Visit, to include Year**
12) **Purpose of Visit**
13) **Contract Number, if applicable**
    a. If NO Contract Number is provided, then the visit will be for 30 days at the UNCLASSIFIED Level
14) **Authorized Signature:**
    a. Name, Title, Signature, and Date of Requesting Company/Organization Authorizing Official (MUST be a US Citizen)
    b. Smaller companies that do not have a Security Officer to sign may use another Official or Secretary.
    c. Individuals MUST NOT Sign their own request

****

Submit Visitor Request(s) and/or SECNAV form(s) 5512/1 via:

- **JPAS/DISS SMO CODE:** 666045 (If no access to DISS, use methods below)
- **EMAIL FORM TO:** nuwc_npt_access_control@navy.mil
- **FAX FORM TO:** (401) 832-4396

****ALL INCOMPLETE VISIT REQUESTS WILL BE DENIED****

Please call NUWC visitor control at (401) 832-2152 if you want to verify any visits or status on vetting.

ATTACHMENT 2

NUWC Newport Contractor Camera Permit Application

ATTACHMENT 2

NUWC DIVISON NEWPORT PHOTOGRAPHY PERMIT REQUEST

			DATE
NAME	Phone	CODE / DEPARTMENT	
EMAIL ADDRESS		DATE(S) REQUESTED	

PHOTOGRAPHIC AND RECORDING EQUIPMENT DATA

DESCRIPTION	MANUFACTURER	MODEL	SERIAL NO.

SPECIFIC AREA(S) / FACILITIES WHERE THE ABOVE DEVICES(S) WILL BE USED:

ADDITIONAL NAMES OF AUTHORIZED PHOTOGRAPHIC PERMIT USERS (INCLUDE BADGE ID NUMBERS):

ACKNOWLEDGEMENT OF PHOTOGRAPHIC PERMIT POLICY

Requestor is responsible for preventing unauthorized recording, transmitting or exporting photographic images or audible information. Requestor understands photographic images and audible recordings are NOT authorized in areas where classified information, controlled unclassified information (CUI) (FOUO) or any images of physical security apparatus or personnel (e.g. gates, guards, fence, secure doors, security cameras, ID badges, swipe card readers, etc.) are present or revealed in any way. These restrictions apply:

- Photos/videos are limited to unclassified areas on NUWCDIVNPT and its detachments UNLESS the device is governed by a secure room/space SOP for photographic devices as authorized by the secure room/space custodian. The specific SOP must be submitted to NUWCDIVNPT Phisical Security (1051) for revew.

- No photos/videos of building numbers or floor plans of buildings without the written permission of C-105.

- Photos/videos are NOT authorized for public usage without going through the command public release procedures as stated in NUWCDIVNPTINST 5570.1K.

- A copy of the permit must be with the camera at all times while on NUWCDIVNPT or at one of its detachments.
- All restrictions and potential consequences including administrative and disciplinary actions apply if these restrictions are violated.

- Possession of a photographic device permit issued for NUWCDIVNPT and its detachments does not constitute authority to take photographs in other command jurisdictions.

-Detachments located on other government facilities/commands must be granted approval through the host command if required.

- Additional users listed above must understand and adhere to photography permit policy and will be held responsible for their photographic actions.

MY DIGITAL SIGNATURE AFFIXED TO THIS STATEMENT IS CERTIFICATION THAT I HAVE READ AND THOROUGHLY UNDERSTAND THE ABOVE CONTENTS AND THAT ALL ADDITIONAL USERS LISTED ON THIS PERMIT HAVE READ AND THOROUGHLY UNDERSTAND THE ABOVE CONTENTS.

REQUESTOR SIGNATURE:	DATE:

ENDORSING OFFICIALS

FOR CONTRACTORS: NUWC SPONSOR SIGNATURE	DATE
FOR NUWC EMPLOYEES: SUPERVISOR SIGNATURE	DATE

APPROVING OFFICIAL

NUWC DIV NPT SECURITY OFFICE APPROVAL	DATE

ATTACHMENT 3

NUWC Newport Contractor Computer Permit Application

ATTACHMENT 3

MEMORANDUM

From NUWC POC: _____

Via: Dept. Information Systems Security Officer (ISSO) _____

Department ISSO Signature

To: Information Systems Security Manager (ISSM), Code 1043

SUBJ: NON-NUWCDIVNPT OWNED COMPUTER EQUIPMENT

1. Identification. Request the following individual be authorized to transport computer resources as identified below, in and out of NUWCDIVNPT (attach additional sheets as necessary):

Name of Individual: _____

Contract#/Exp.Date: _____

Company Name: _____

Arrival Date: _____ Departure Date: _____

(No greater than contract exp. Or 1 year)

Bldg: _____ Room: _____ Lab: _____

NUWCDIVNPT Point of Contact: _____ Telephone Ext: _____

Manufacturer: _____ Model: _____ Serial Number: _____

Full Disk Encryption Software: _____

Requested Use: _____

Additional Comments: **Standalone Only - No 802.11 Wireless - No web cameras, external or built-in. Full Disk Encryption required for processing and storing of FOUO/CUI government data and information.**

2. Access. The personnel identified in paragraph 1 must keep a copy of this request with the computer equipment at all times while on board NUWCDIVNPT.

3. Operation. Unclassified NON-NUWCDIVNPT Owned computers are NOT allowed in classified spaces unless approved by the ISSO. Unclassified NMCI computers with embedded wireless are not allowed in classified spaces under any circumstances. All computer operations will be unclassified and operated in a stand-alone configuration unless prior authorization is obtained from the ISSM and noted on this request. Connection to any classified information system or network is prohibited. All wireless capability will be disabled in BIOS or card removed prior to entry to NUWCDIVNPT. No web cameras, external or built-in, are permitted aboard NUWCDIVNPT. Full Disk Encryption is required for processing and storage of FOUO/CUI government data and information.

4. Responsibility. The Government assumes no responsibility for lost, stolen or damaged computer equipment.

_____ _____

User (Non-NUWC) Signature NUWCDIVNPT POC Signature

Approval: _____ Date: _____

Information Systems Security Manager

ATTACHMENT 4

NSN 5090.9C Lead Paint Program

DEPARTMENT OF THE NAVY
NAVAL STATION NEWPORT
690 PEARY STREET
NEWPORT, RHODE ISLAND 02841-1522

ATTACHMENT 4

IN REPLY REFER TO:
NSNPTINST 5090.9C
ENV
MAR 2 4 2017

NAVAL STATION NEWPORT (NSNPT) INSTRUCTION 5090.9C

From: Commanding Officer, Naval Station Newport

Subj: LEAD PAINT PROGRAM

Ref: (a) 40 CFR 745
(b) OPNAVINST 5100.23G
(c) UFGS 02 82 33.13 20
(d) UFGS 02 83 13.00 20
(e) OPNAVINST 5090.1D
(f) Rhode Island Department of Environmental Management Air Pollution Control Regulation No. 24
(g) 29 CFR 1910.1025
(h) 29 CFR 1926.62
(i) 40 CFR 260-268

Encl: (1) Standard Operating Procedure (SOP) for Work on Painted Surfaces
(2) SOP for Paint Chip Sampling
(3) SOP for Exterior Lead Projects
(4) SOP for Interior Lead Projects

1. Purpose. This instruction establishes procedures and delineates responsibilities related to working with lead and lead based paint in order to ensure compliance with references (a) through (i).

2. Cancellation. NAVSTANPTINST 5090.9B.

3. Applicability. This instruction is applicable to all Naval Station Newport (NSNPT) departments, tenant commands, contractors, visitors and personnel at NSNPT that perform renovation activities, such as painting, window replacement, room renovation, utility repairs, door installation and all other activities designed to update, repair, maintain, demolish or modify all or parts of a building. This instruction does not apply to lead abatement work performed in circumstances to address a known or assumed lead-based paint issue that includes a response to a child with an elevated blood lead level in housing/child occupied facilities receiving Housing Unit Development (HUD) or other financial assistance or work in

Housing, target housing (constructed prior to 1978) or child occupied facilities such as child care centers and other facilities described in reference (a).

4. Background. Lead is most often associated with paints. In general, Navy policy is to manage lead-based paint in place, provided it is in good condition and will not be disturbed. Lead is also found in plumbing materials, metal roof flashing, high voltage electrical system components, ballast, small arms ammunition, batteries and weights. Exposure to lead can occur during ballast handling, reclamation of lead acid batteries, bullet trap cleanout, lead machining, improper handling of contaminated personnel protective equipment, high voltage cable repair and abrasive blasting. The Navy policy for use, handling, maintenance and removal of materials containing lead during renovation, maintenance, repair or demolition is contained in references (b) through (e). State and Federal requirements are included in references (a) and (f) through (i).

5. Discussion

a. The procedures described in this instruction apply to situations where the proposed work will disturb a structure's existing paint. This includes, but is not limited to, projects involving sanding, grinding, scraping and/or cutting of existing painted surfaces. It does not include the process of painting itself.

b. Prior to performing any work involving painted surfaces, representative paint samples must be taken and submitted for analysis unless evidence exists that no lead is present. Paint sampling procedures may be found in enclosures (1) and (2).

c. All testing must use greater than 0.01 percent by weight or 100 parts per million (ppm) as the detection limit. If the analysis indicates that lead levels are greater than 100 ppm, then the paint is considered to contain lead.

d. Use the table below to determine how work should be performed if lead in paint is greater than 100 ppm.

WORK AREA	REGULATION	ENCLOSURE
Exterior Non-Residential	References (f) and (h)	(1) and (3)
Interior Non-Residential	Reference (h)	(1) and (4)

6. Training. Personnel working with leaded surfaces or materials must possess the training requirements in reference (b).

7. Medical Requirements. All lead workers and supervisors are required to follow medical requirements set forth in references (b), (g) and (h). Blood samples may also be required.

8. Action

a. Navy departments, tenant commands, contractors, visitors and personnel at NSNPT

(1) Ensures all lead work is performed in accordance with this instruction and prohibits self-help projects that involve the disturbance of surfaces with lead paint.

b. Naval Health Clinic New England (NHCNE)

(1) Provides Industrial Hygiene (IH) services to assess air sampling feasibility and performs air sampling.

(2) Provides occupational health services for all physical exams and blood testing in accordance with reference (g).

(3) Maintains all medical records for the required period of time.

c. Public Works: Facilities Engineering and Acquisition Division, Facilities Maintenance Division and Facilities Support Contracts Division

(1) Ensures all contractors submit their lead plans to the Environmental Office for approval prior to the start of work.

(2) Ensures contractors perform all lead projects in accordance with references (a) through (i) and coordinate waste disposal with the Environmental Office.

(3) Writes and updates the Unified Facilities Guide Specifications (UFGS) specifications 02 82 33.13 20 and 02 83 13.00 20 as necessary.

(4) Ensures the lead specification is included in all contracts in which painted surfaces that contain lead are scheduled to be disturbed through renovation, demolition, alteration, repair, maintenance and painting.

(5) Checks the lead database to determine if the work area has been tested for lead. If testing has not been performed, qualified PWD personnel must collect paint samples and submit them to the Environmental Office for analysis. Procedures for taking paint samples are outlined in enclosures (1) and (2).

(6) Attaches the applicable procedure, enclosures (1) and (3) or (4), to the work plan for shop jobs involving the disturbance of leaded surfaces.

(7) Submits a lead work plan to the Environmental Office for approval for each job prior to start of work.

(8) Contacts the NHCNE's IH prior to start of work to schedule monitoring services.

(9) Ensures all employees assigned to perform lead work are trained and qualified in accordance with references (a) through (i).

d. Public Works: Shop Supervisors

(1) Reviews each job to ensure that the proper method of removal, using the most efficient procedure, has been chosen for the work.

(2) Inspects work area prior to the start of work to ensure the area has been prepared properly.

(3) Must be physically present at the site, at all times, while the work is underway and ensure all personnel are wearing the proper personal protective clothing.

(4) Ensures all records for each project are submitted to the Environmental Office at the completion of each project.

(5) Contacts IH or Environmental Office to take clearance wipes for the work area (if interior), or for visual clearance approval (if exterior).

e. Public Works: Environmental Office

(1) Sends paint chip samples to an accredited lab for analysis and maintains the lead database.

(2) Performs lead inspections as required, including the clearance of areas in the absence of IH services.

(3) Reviews, comments and approves all lead plans submitted by NSNPT personnel and contractors.

(4) Provides technical assistance concerning the lead paint program and reviews work specifications to ensure compliance. Provides overall guidance and support for the Lead Paint Program.

f. Responsible Safety Office

(1) Performs respirator training and biannual fit tests in accordance with reference (b) and maintains all respirator records as required by reference (b).

(2) Ensures all personnel are notified of scheduled respirator fit tests and medical surveillance exams when they become due.

(5) Reviews and retains all lead records for work performed by NAVSTA personnel for five years from the end date of the project.

(6) Reviews new lead regulations for applicability and updates this instruction as required.

D. R. D. BOYER

Distribution:
Command Staff
NSNPT Departments
Tenant Commands

STANDARD OPERATING PROCEDURE (SOP) FOR WORK ON PAINTED SURFACES

1. General Requirements

a. Intact and maintained surfaces with lead do not require removal, even if lead levels are greater than 5000 ppm.

b. Supervisors shall ensure that lead testing has been performed on any painted surface, prior to starting work on that surface.

(1) If a determination is made that the paint does not contain lead, work may proceed without any lead controls.

(2) If the lead content of the paint is over the acceptable limits, the supervisor shall ensure that the SOP for the appropriate category of abatement is used to perform the job. The two categories of work with the applicable regulations and matching enclosure are listed in the below table:

WORK AREA	APPLICABLE REGULATION(S)	ENCLOSURE
Exterior Non-Housing	RIDEM Air Pollution Regulation No.24 and 29 CFR 1926.62 (References (f) and (h))	(3)
Interior Non-Housing	29 CFR 1926.62 (Reference (h))	(4)

2. Procedures. Along with the lead levels cited in paragraph 5.c, working with lead paint on interior surfaces in the following situations does not require adherence to references (a) through (i):

a. Disturbance of less than 15 ft^2 per unit.

b. Disturbance of less than 3 ft^2 per room of any unit, facility, building or premise.

c. Disturbance of less than 10% of the area of the building component, (e.g., window, door).

3. Waste. Total Characteristic Leaching Procedure testing shall be performed, to determine if it is a hazardous waste, on all lead paint waste prior to disposal unless the waste is automatically classified as a hazardous waste for lead, D008. Contact the Environmental Office (Code EV) to determine if testing is necessary.

STANDARD OPERATING PROCEDURE FOR PAINT CHIP SAMPLING

1. Paint chip samples are to be taken by those individuals who have attended lead training or have been specifically trained in these proper procedures.

2. When taking paint samples, all layers of paint must be included down to the substrate using the following procedure:

a. Use of respirators with High Efficiency Particulate Air (HEPA) filters, vinyl, butyl or nitrile gloves and coveralls may be required if sampling procedures will expose sampler to lead levels greater than the Action Level.

b. Draw a floor plan of the building from which the samples will be taken. Once the sample areas have been selected, mark and number the sample locations on the floor plan.

c. Put on gloves and respirators (if required).

d. Select area to take sample.

e. Set up plastic bag under or near the area to be sampled.

f. Scrape all layers of paint from a one square-inch area into a plastic bag (down to substrate).

g. Close and label the sample bag with the following information:

(1) Building number

(2) Date and time sample was taken

(3) Room and location in room where sample was taken

(4) Color(s) of the sample chips

h. Change gloves and clean tools prior to taking the next sample. When all samples have been taken, remove all protective clothing and place in plastic bag for disposal.

STANDARD OPERATING PROCEDURE FOR EXTERIOR LEAD PROJECTS

1. This applies to the exterior of all facilities (except fixed exterior structures and child care facilities) at NSNPT. All exterior lead abatement work shall be performed per RIDEM Regulation No. 24 and 29 CFR 1926.62.

2. Five days prior to the start of work, written notification must be made to the following people/places using the standard notification form at the end of this enclosure:

a. To any adults occupying/residing in the facility.

b. To the owner, agent and/or property manager of the facility.

c. To any owner, agent and/or property manager of any occupied facilities located within 50 feet of the scheduled lead work including schools, businesses and other residences.

3. Prior to the start of work, all movable objects within 50 feet of the lead work area must be moved outside of the 50-foot radius. All objects that cannot be moved must be covered with a minimum of 6-mil polyethylene.

4. The ground shall be covered with a minimum of one layer of 6-mil polyethylene layer. The ground cover shall extend out a minimum of ten feet from the building and be staked or weighted to ensure it remains in place. Excess runoff water from the wetting procedure must be collected for proper disposal.

5. All windows and doors on the side of the building where removal of paint with lead is to occur shall be sealed from the outside with 6-mil polyethylene. All vents to air conditioning heating units shall be sealed. If windows and doors are to be removed then the opening shall be sealed from the inside.

6. A curtain or similar containment area must be constructed of 6-mil polyethylene when the on-site responsible person anticipates that lead dust chips will escape ground cover and enter the environment.

7. Warning signs as described in Enclosure (h) shall be posted at an appropriate distance from entrances to the demarcated work area.

8. A change area must be provided for workers to don protective clothing; no street clothing shall be worn under the protective clothing. The change area shall also be equipped with a High Efficiency Particulate Air (HEPA) vacuum for removal of lead dust from clothing and items in the containment. This area must also be equipped with a wash area for workers to wash their hands and face prior to eating and drinking.

9. If it is determined that the project will expose workers to lead levels above the Action Level, a three-chamber decontamination unit to include a shower area will be required on site and contiguous to the demarcated area. All wastewater must be collected and disposed of properly.

10. Damaged painted surfaces will be removed using one of the following methods or an alternative method determined by Code EV:

a. Heat: Open flame heating is not allowed; heat gun may be used as long as the gun temperature does not exceed 1000 °F.

b. Mechanical: Machine sanding and/or machine scraping is allowed only if the machine is equipped with shroud connected to a HEPA filtered vacuum collecting the chips and dust.

c. Scraping: Hand scraping is allowed in combination with light water-misting to control dust.

11. All required Personal Protective Equipment to include clothing and respiratory protection shall be worn.

12. Disposal of lead waste shall be accomplished by turning it into Code EV's Hazardous Waste Manager for disposal. All waste shall be properly contained and labeled. If the lead work will continue beyond one work cycle, prior approval from the Lead Paint Program Manager is required to store lead or lead contaminated waste at the work site.

13. The supervisor will contact the Industrial Hygiene to take all air samples and conduct a visual inspection at the completion of the project for clearance purposes.

NOTIFICATION OF REMOVAL OF LEAD BASED PAINT
(Required five days in advance of starting date)

Please be advised that lead based paint is about to be removed from the exterior of a structure at the address below.

_____
Address

_____
City/Town, State, Zip

Approximate dates for starting and finishing the project are:

From: _____ To: _____

Lead paint removal is to be done by:

_____
Company Name

_____
Name of Responsible Individual

_____
Address

_____
City/Town, State, Zip

_____
Telephone

Method(s) to remove the lead-based paint will be:

_____

The paint that will be removed from this structure contains lead. Exposure to lead is unhealthy, particularly to young children. For a copy of Regulation 24 and a summary of the requirements, check the Regulations section at the Department's website at *http://www.dem.ri.gov,* or DEM's Office of Technical and Customer Assistance at (401) 222-6822. For information about lead poisoning prevention, call the Department of Health Lead Hotline at (800) 942-7434.

Complaints about improper removal:
8:30 a.m. to 4:00 p.m. weekdays - DEM Office of Compliance and Inspection (401) 222-1360
At other times DEM Enforcement (301) 222-3070

STANDARD OPERATING PROCEDURES FOR INTERIOR LEAD PROJECTS

1. All movable objects shall be removed from the area; all non-movable objects shall be covered with 6-mil polyethylene sheeting and sealed with duct tape. All air intake and exhaust openings shall be sealed and when possible locked-out/tagged-out.

2. At a minimum, all openings to the work area shall be sealed with 6-mil plastic and duct tape. If a full containment is needed, the walls shall be constructed from one layer of 6-mil polyethylene sheeting, and floors shall be covered with two layers of 6-mil sheeting. The floor covering will extend onto the walls a minimum of six inches. Negative air machines shall be used to ensure that no lead dust is released to the interior environment.

3. Entry to each containment space shall be controlled. All workers will pass through either the two (2) or three (3) stage decontamination chambers Two (2) stage DCon shall consist of a clean area and an equipment (dirty) room (that is equipped with a High Efficiency Particulate Air (HEPA) vacuum. Three (3) stage will add an operating shower between equipment and clean rooms. All entrances and exits shall be made of double flaps installed in opposite directions.

4. Warning signs shall be posted at all entrances and exits to the work area.

5. If a full containment is not required, an area will be designated as the wash area and equipped with provisions so the workers can wash their face and hands before leaving the controlled area. All personnel must wash hands and face prior to leaving the work area. Eating, drinking, smoking, chewing of tobacco products and applying cosmetics are prohibited in the controlled area.

6. Workers shall wear adequate protective clothing, including respirators. All workers shall not be allowed to wear their street clothing under their protective clothing.

7. Repainting of the surface or the use of vinyl wallpaper without replacement, removal or encapsulation (of the chipping or peeling paint) being performed is not allowed. Defective

lead paint surfaces requiring abatement shall be abated using one of the following methods:

a. Replacement: Old surfaces with lead paint shall be removed and replaced with new surfaces that do not have lead paint.

b. Encapsulation: A permanent coating shall be applied to the lead paint surface. Encapsulate materials shall bind to the substrate and not just the surface paint.

c. Removal: There are several removal methods including:

(1) Wet hand scraping, with or without the use of a heat gun (open flames not allowed), followed by light-feather sanding.

(2) Chemical removal using nonflammable chemical strippers that do not contain methylene chloride.

(3) Wet scraping by misting the surface, then scraping. Repeat misting is required as needed to maintain a wet surface.

(4) Power sander equipped with a shroud connected to a HEPA vacuum capturing the dust.

8. Disposal of lead waste shall be accomplished by turning it into Code EV's Hazardous Waste Manager for disposal. All waste shall be properly contained and labeled. If the lead work will continue beyond one work cycle, prior approval from the Lead Paint Program Manager is required to store lead or lead contaminated waste at the work site

9. After the work has been completed using one of the above methods, a preliminary cleanup shall be performed as follows:

a. HEPA vacuum the containment area and carefully remove any polyethylene sheeting (except that which serves as a barrier between the work area and the other areas) by folding the plastic upon itself to trap all dust.

b. After removal of non-barrier polyethylene, the work area shall be HEPA vacuumed again, followed by wet cleaning (with de-leading solution such as TSP or Ledsolv).

c. To ensure that airborne lead has time to settle, final cleanup shall commence no sooner than one hour after the preliminary cleanup.

d. The final cleanup shall consist of HEPA vacuuming of all surfaces in the work area, followed by wet cleaning the area with a de-leading detergent, and then HEPA vacuuming the area again.

10. Once cleanup is complete, clearance wipes must be taken in the work area. The wipes shall be taken on the floor, windowsills and window wells. The number of wipes shall be determined by EV prior to the start of the project. Maximum area lead dust clearance levels of 200 micrograms per square foot are recommended to ensure lead hazards have been removed.

11. If the wipe samples show that the lead levels in the containment area are above 200 micrograms per square foot, the area must be re-cleaned by repeating steps 9 and 10.

12. During the project, the Industrial Hygiene is responsible for ensuring that personal monitoring is performed on the workers.

ATTACHMENT 5

NSN 5090.14C NAVSTA Newport HAZMAT Management Instructions

ATTACHMENT 5

DEPARTMENT OF THE NAVY
NAVAL STATION NEWPORT
690 PEARY STREET
NEWPORT, RHODE ISLAND 02841-1522

IN REPLY REFER TO:

NAVSTANPTINST 5090.14C
ENV
FEB 1 3 2015

NAVAL STATION (NAVSTA) NEWPORT INSTRUCTION 5090.14C

From: Commanding Officer, Naval Station Newport

Subj: HAZARDOUS MATERIAL CONTROL AND MANAGEMENT (HMC&M)

Ref: (a) OPNAVINST 5090.1D
(b) OPNAVINST 5100.23G CH-1 Navy Safety and Occupational Health Program Manual
(c) COMNAVREGMADLANTINST 6280.1A dated 23 Jan 06
(d) COMFISCSINST 5090.1 dated 08 May 08
(e) NAVSUP PUB-722 Consolidated Hazardous Material Reutilization and Inventory Management Program (CHRIMP) Manual
(f) FISC Norfolk 5090 Code 307 Hazardous Minimization Center Customer Procedures dated 25 Aug 10
(g) 29 CFR 1910.1200 OSHA Hazard Communication Standard
(h) 40 CFR 261 Hazardous Waste Regulations

Encl: (1) Hazardous Material Authorization Add/Remove Request Form
(2) Hazardous Material Request Form
(3) Hazardous Chemical Warning Label, DD FORM 2522
(4) Command Hazardous Material Coordinator Letter example

1. Purpose. To establish a local uniform policy at NAVSTA Newport, guidance, and requirements for the control and management of hazardous material (HM) from acquisition to ultimate disposal, and to implement a HMC&M Program.

2. Cancellation. NAVSTA NEWPORT/LOCAL AREA RI COORDINST 5090.14B.

3. Applicability. Per references (a) through (h), this instruction applies to all Navy commands, tenant activities, and contractors that are involved in the planning, procurement, requisition, receipt, storage, distribution, use, or other disposition of HM. This instruction does not apply to bulk fuels; radioactive/biohazards material; ammunition and explosive substances; medicinal HM and Hazardous Waste (HW); or reagents used in medical laboratory settings, or chemicals in laboratory settings operated under a Chemical Hygiene Plan. Contracts for

work at NAVSTA Newport will be written in accordance with this instruction to ensure all contractor HM is properly managed.

4. Background. References (a) through (d) require Commanding Officers (CO) to develop and implement written plans and procedures to institute an HMC&M Program. Reference (c) specifically instructs tenant commands to participate in the host command's HMC&M program, including storage and purchase of HM from the Consolidated Hazardous Material Reutilization and Inventory Management Program (CHRIMP) Center. Reference (b) establishes requirements for informing and training all employees of the hazards associated with each chemical they work with or to which they are exposed. References (a) through (d) also instruct employers to provide appropriate protective measures and equipment to employees as needed. References (a) through (f) direct the mandatory use of the CHRIMP process and establishes the CHRIMP center as the mandatory source of procurement of HM.

5. Definitions

a. Hazardous Material (HM). Any material that because of its quantity, concentration, physical, chemical, or infectious characteristics may pose a substantial hazard to human health, property, or the environment when treated, handled, used, packaged, stored, transported, or disposed. This includes ignitable, corrosive, reactive, or toxic material but excludes ammunition, weapons, explosives, explosive actuated devices, weapon propellants, pyrotechnics, chemical and biological warfare materials, radioactive materials, medical and pharmaceutical supplies, and bulk fuels.

b. Authorized Use List (AUL). A list of HM authorized for use by various departments and tenant commands. All general use items are listed on the individual work center, division, department, or tenant command's AUL.

c. Hazardous Waste (HW). Any discarded or abandoned hazardous substance as defined in 40 CFR 261 or applicable state regulations where the state has been granted enforcement authority by the Environmental Protection Agency (EPA). It may include any discarded liquid, semi-solid, solid, or containerized gaseous material.

d. CHRIMP Center. The centralized location where HW generation is avoided by minimizing and controlling HM acquisition and use, and by applying management, engineering, and equipment to current Navy processes and procedures.

e. Safety Data Sheet (SDS). An SDS identifies all the important details regarding a specific chemical. Per reference (g), an SDS should contain the following information:

(1) Identity of the product including any hazardous ingredients.

(2) The product's physical and chemical characteristics.

(3) The physical and health hazards associated with the product.

(4) The safety procedures and equipment recommended for using the product.

f. CHRIMP. This program defines uniform policy, guidance, and requirement for the life cycle control and management of hazardous materials acquired and used by the Navy.

6. Policy

a. The command shall promote and protect the health and safety of workers and the environment through an effective HMC&M program. The informed and safe use of HM is a shared responsibility of management, supervisors, and individual employees.

b. A complete file of manufacturer specific SDSs shall be maintained in the general work area where the HM is utilized and be readily accessible to all employees. HM shall be handled and used in accordance with the manufacturer's directions and within the guidelines set in the SDS. All SDSs must be kept up-to-date and checked for accuracy at least annually by the supervisor responsible for employee usage.

c. The HM used shall be on the work center's AUL. Requests for an addition to any specific AUL must be submitted to the CHRIMP Center, via the safety, industrial hygiene and environmental divisions using enclosure (1). A copy of the product's SDS must be attached to the request.

d. Procurement will be controlled to assure only authorized HM is obtained. Authorized HM shall be requested in sufficient quantity to accomplish the specific tasking. The purchasing and storage of HM beyond a 30 day supply is prohibited. Any unauthorized HM will be confiscated.

e. Enclosure (2) is a sample of a HM request form. Each work center shall fill out their specific HM request form and submit it to the HM Coordinator (HMC) to request HM. All personnel drawing HM shall be issued only a 30 day supply of HM at any given time.

f. All HM shall be stored in approved safe storage containers. The HM storage location shall be annotated on the work center, division, department, or tenant command specific AUL.

g. All HM shall be labeled with the proper chemical or trade name, the corresponding hazard warning, and the address and phone number of the manufacturer. Guidance on HM labeling is contained in reference (d) and (g).

(1) Labeling and marking of HM containers is a function of the manufacturer, importer, or distributor per references (e) and (g). HM received from the manufacturer, importer, or distributor which is improperly or incompletely labeled shall not be accepted and shall be returned to the manufacturer.

(2) When HM is transferred (decanted) from a bulk container to a breakdown container, a Hazardous Chemical Warning Label, DD Form 2522, enclosure (3), shall be completed and affixed to the breakdown container by the person transferring the HM.

h. Personal protective equipment (PPE), such as goggles, rubber gloves, face shield, aprons, and respirators, must be worn while handling HM as required by the SDS. Employees shall be trained to use the HM per the SDS by their supervisors.

i. Contractors will submit copies of the SDSs for all HM items which they will bring on site during the contract work to the contracting office. The contracting office will forward contractor's SDSs to safety, industrial hygiene, and environmental offices for review and approval. Contractors are required to inform appropriate NAVSTA Newport supervisory personnel of HM to which Navy personnel may be exposed as a result of contractor work, per reference (g). Contractors must also ensure that all SDSs for their HM are available on site and accessible to NAVSTA Newport personnel.

7. Responsibilities

a. Hazardous Material Control Committee

(1) The HMC Committee is responsible for issuing local procedures to control, track, and reduce the variety and quantity of HM in use at NAVSTA Newport. The committee will also ensure proper procedures are in place to effectively control the storage and disposal of any HM determined to be HW.

(2) The HMC Committee shall be composed of the following: CHRIMP manager, NAVSTA Safety Department Head or designee, Naval Health Care New England industrial hygienist, Environmental Department Head or designee.

(3) The HMC Committee shall monitor the HM program, including providing guidance to HM Coordinators, review the substitution of less hazardous materials for those more hazardous, limit the quantities of HM acquired and stored, review this instruction, and make recommendations to the NAVSTA CO concerning HM operations.

b. CHRIMP Center

(1) Per references (a) through (f), all commands supported by a CHRIMP Center will participate in the CHRIMP process which includes the purchase, receipt, bar-coding (labeling), and issue of AUL specific HAZMAT. The CHRIMP Center shall exercise life-cycle control over HM at NAVSTA Newport per reference (e) and (f). In order to utilize the CHRIMP Center, each work center will have a Command HMC letter on file with the HMC. Enclosure (4) is a sample of this letter. The CHRIMP Center will not issue material without a letter on file.

(2) The CHRIMP Center shall ensure all departments and tenant commands of NAVSTA Newport have undergone the CHRIMP process (all HM has been inventoried, bar-coded, and reissued to the work center) and are incorporated into the database system.

(3) The work center will request the purchase of HAZMAT via enclosure (2). The CHRIMP Center shall validate HM requests against the work center's AUL. Any item not on the customer's AUL must be approved for use via the enclosure (1) which may be routed electronically or in person. No HAZMAT will be purchased until the item has been added to the AUL.

(4) The customer will report usage via the Empty Container Report (ECR). The ECR will be computer generated and emailed to the command's HMC. If a work center fails to report

usage, the work center will be deactivated until the report is received, per reference (f).

(5) The CHRIMP Center maintains the AUL based on validated requirements and approved quantities required to minimize the amount of HM on the base. The CHRIMP Center shall update and re-issue the AUL to the customer any time it has been changed. At least once per year, the CHRIMP Center will forward a copy of the AUL to work centers for validation. New requests for HM are submitted and approved using enclosure (1).

(6) The CHRIMP Center shall maintain a master file of SDSs.

c. Environmental Department

(1) Reviews requests for additions and deletions of HM to the AUL.

(2) Disposes of HW generated by the CHRIMP Center.

(3) Reviews and updates this instruction as required.

d. Fleet Logistics Center Newport Office

(1) Responsible for operations of the CHRIMP Center, including, ordering, stocking, labeling, separating, mixing concentrates, delivery, etc.

(2) Monitors the CHRIMP process to ensure all departments and tenant commands are incorporated into the CHRIMP, and ensures the CHRIMP Center has adequate resources and funding to perform the CHRIMP process on all departments and tenant commands.

e. NAVSTA Command Safety Office

(1) Addresses questions concerning proper HM storage.

(2) Provides Hazardous Communication (HAZCOM) training, per references (b) and (g) to new employees as required and to supervisors annually.

(3) Performs inspections of HM storage areas annually.

(4) Reviews additions and deletions of HM to the AUL prior to purchase. Provide guidance on substituting a less

hazardous product, and forward recommendation to the CHRIMP Center.

(5) Issues NAVOSH deficiencies for HM violations to ensure correction of all deficiencies in a timely manner.

f. Naval Health Clinic New England (NHCNE) Industrial Hygiene Office

(1) Screens AUL addition requests.

(2) Performs inspections of HM and HM storage areas during industrial hygiene surveys.

g. NAVSTA Newport Departments and Tenant Commands

(1) Purchase all HM through the CHRIMP Center and ensure employees and contractors do not bring any HM onto the base from home or other establishments. Emergency procedures are established by the CHRIMP Center.

(2) Ensure personnel in charge of running purchase card programs perform audits on purchases, including a review of individual receipts looking for unauthorized purchases of HM.

(3) Ensure the purchase card is removed from any employee who orders unauthorized HM (was not submitted to the HMC for approval) with their card more than once per year.

(4) Report any HM found in an unauthorized area to the CHRIMP Center immediately at 841-4275. Unauthorized areas include but are not limited to solid waste dumpsters, unattended outdoor areas, inside uninhabited buildings, etc.

(5) Per references (b) and (g), supervisors shall ensure new employees receive HAZCOM training and schedule a "job-specific" training session on the HM used within the employee's workplace. Whenever a new HM is introduced into the work environment, employees shall be briefed on the product and become familiar with the SDS.

(6) Supervisors shall ensure that the appropriate PPE is available for use with each HM and that each employee uses the appropriate PPE as designated in the SDS.

(7) Supervisors shall ensure HM is used in the intended manner, ensuring the safety of the individual using it.

h. HM Coordinators

(1) Ensure each work center complies with applicable elements of the CHRIMP Program.

(2) Ensure all containers are properly labeled and maintain an SDS for each AUL product.

(3) Ensure all empty HM containers are returned to the CHRIMP Center.

(4) Inspect and ensure work center storage locations are approved, neat and orderly, and provide a list of all work center HM locations to the CHRIMP Center.

(5) Receive guidance and instruction as necessary from the HMC Committee.

D. R. D. BOYER

CHRIMP CENTER, NAVSTA NEWPORT
HAZARDOUS MATERIAL AUTHORIZATION ADD/REMOVE (HMAR) REQUEST FORM

This form is required to request the addition or removal of hazardous material to your Authorized Use List (AUL). It can be routed electronically or hand carried by the requestor. If routed electronically, it only needs forwarding to NAVSTA safety. If hand carried, the requestor must obtain every signature on the form. The Safety Data Sheet (SDS) must accompany this form.

Prior to completing this form, contact the CHRIMP Center (401-841-2654, flcn_nwpt_haz_ctr@navy.mil) to verify the requested hazardous material is not on your AUL and for assistance in filling out this form.

The requestor must fill out the Item Identification section with as much detail as possible. It is also recommended they contact the CHRIMP for any assistance needed in filling out this section. The SDS can be obtained from the manufacturer or distributor.

Form process:

1. Originator
2. Command Safety (if applicable)
3. NAVSTA Safety
4. Industrial Hygiene
5. Enviromental
6. CHRIMP Center

Contact Info (Primary (P)/Secondary (S) POC):
NAVSTA Safety:
(P) Joe Roberts (joseph.t.roberts@navy.mil)
(S) Tim Doherty (timothy.w.doherty@navy.mil

Industrial Hygiene:
(P) Alayne Senior (alayne.senior2.civ@mail.mil)
(S) Jerry Graven (jerry.k.graven.civ@mail.mil)

Environmental:
(P) Michelle Davis (michelle.l.davis@navy.mil)
(S) David Dorocz (david.dorocz@navy.mil)

CHRIMP:
(P) John Rivers (john.f.rivers@navy.mil
(S) Angelo Robinson (angelo.robinson@navy.mil)
(S) Stanley Wazecha (stanley.wazecha@navy.mil)

This is a request for the following HM Action:

☐ Addition to AUL

☐ Remove from AUL

Submitted by: _____ Date: _____

Command: _____ Department: _____ Work Center: _____

Email: _____ Phone: _____ Signature: _____

ITEM IDENTIFICATION

Nomenclature: _____

Manufacturer: _____

Address: _____

Cage Code: _____ Manufacturer Phone: _____

Part #: _____ Unit of Issue: _____ Size: _____

Unit Price: _____ Unit of Pack: _____

Stock#: _____ MILSPEC: _____

MSDS Ser #: _____ Website: _____

Description of Use:

_____

Recurring Use: ☐ Yes ☐ No Estimated Monthly Use: _____

COMMAND SAFETY REVIEW

Authorized for Use: ☐ Yes ☐ No

Name: _____ Date: _____

Email: _____ Phone: _____

PPE Requirements:

_____

Comments:

_____

Signature: _____

NAVSTA SAFETY REVIEW

Authorized for Use: ☐ Yes ☐ No

Name: _____ Date: _____

Email: _____ Phone: _____

PPE Requirements:

_____

Comments:

_____

Signature: _____

INDUSTRIAL HYGIENE REVIEW

Authorized for Use: ☐ Yes ☐ No

Name: _____ Date: _____

Command: _____ Department: _____ Phone: _____

Comments:

_____

Signature: _____

ENVIROMENTAL REVIEW

Authorized for Use: ☐ Yes ☐ No

Name: _____ Date: _____

Command: _____ Department: _____ Phone: _____

Comments:

_____

Signature: _____

CHRIMP CENTER REVIEW

Authorized for Use: ☐ Yes ☐ No

Name: _____ Date: _____

Email: _____ Phone: _____

Comments:

_____

Signature: _____

Hazardous Material Request Form A.1

					K____	
HAZMIN Center Site	**Activity Name/Alias**	**UIC**	**Julian Date**	**Doc #**	**Work Center**	**Date:**
Delivery Location:						

			Date Request Received Stamp
Authorized Shopper email:		❑ **Delivery**	
Name of GCPC Holder:		❑ **Pickup**	
Phone & Fax number:		❑ **Work Stop**	
GCPC holder email address	@navy.mil		**Required delivery date:**
Signature GCPC Holder: _____		**Serco Inc.** Contract Number: N00189-10-D-0042	
			This Section for HAZMIN Center Use Only

Task ID #	**NSN**	**Nomenclature/ MILSPEC**	**RDD**	**U/I**	**QTY**	**unit price**	**Extended Price**	**Order #**	**QTY N/C**	**QTY Reuse**	**QTY Issued**
					0	$ -	$ -				
					0	$ -	$ -				
					0	$ -	$ -				
					0	$ -	$ -				
					0	$ -	$ -				
					0	$ -	$ -				
						Grand Total	$ -				

Issued By:	**Date Issued:**	**Delivered By:**	**Received By:**	**Date Received:**

ERRORS

If the error "#value" appears in the "UP" or UNIT PRICE field or the EXTENDED PRICE fieldback highlight the QTY field with you mouse, right click, then click "clear contents" this should correct the calculation.

Enclosure (2)

HAZARDOUS CHEMICAL WARNING LABEL

1. CHEMICAL/COMMON NAME

2. HAZARD CODE | **3. NSN/LSN**

4. PART NUMBER

5. ITEM NAME

6. HAZARDS *(X all that apply)*	(1) ACUTE *(Immediate)*				(2) CHRONIC *(Delayed)*
	NONE	**SLIGHT**	**MODERATE**	**SEVERE**	
a. HEALTH					
b. CONTACT					
c. FIRE					
d. REACTIVITY					

7. SPECIFIC HAZARDS AND PRECAUTIONS *(Including target organ effects) (See MSDS for further information.)*

8. PROTECT *(X all that apply)*		EYES		SKIN		RESPIRATORY

9. CONTACT a. COMPANY NAME

b. ADDRESS *(Street, P.O. Box, City, State, ZIP Code, Country)*

c. EMERGENCY TELEPHONE NUMBER *(Include Area Code)*

10. PROCUREMENT YEAR FOR HAZARDOUS CHEMICAL

DD FORM 2522, OCT 2000 Reset PREVIOUS EDITION MAY BE USED. Adobe Professional 7.0

(SAMPLE)
ON LETTERHEAD

5100
Ser 00
Date

From: Commanding Officer, insert your Command name
To: NAVSUP Fleet Logistics Center Norfolk, CHRIMP Center, Newport

Subj: ASSIGNMENT OF PRIMARY AND ALTERNATE HAZARDOUS MATERIAL COORDINATORS

Ref: (a) OPNAVINST 5090.1
(b) COMFISCINST 5090.1 Series

1. The following member(s) are designated as the Primary and Alternate Hazardous Material Coordinator(s) insert your Command name:

RATE/RANK/NAME	POSITION	EMAIL

2. As per references (a) and (b), Coordinators are responsible for management of all HAZMAT in their Work Center(s) including:

a. Ensure employees are "Right to Know" and "Hazardous Communication" trained before handling HAZMAT.

b. Provide easy access to Material Safety Data Sheets.

c. Maintain MSDS book (none older than 5 years).

d. Maintain up-to-date Authorized User List (AUL).

e. Ensure HAZMAT segregation rules are observed.

f. Maintain an accurate inventory of on-hand HAZMAT.

g. Ensure all HAZMAT has a Consolidated Hazardous Material Reutilization and Inventory Management Program (CHRIMP) bar code label.

h. Maintain no more than 30 day supply of any HAZMAT item(s).

i. Ensure that no expired HAZMAT is stocked at any Work Center.

Subj: ASSIGNMENT OF PRIMARY AND ALTERNATE HAZARDOUS MATERIAL COORDINATORS

j. Return to CHRIMP any reusable material no longer needed ("R" or Reuse Material).

k. Proper disposition of HAZWASTE (return to CHRIMP Center or call Environmental Department for pick-up).

l. Ensure the proper disposition of all empty HAZMAT containers (return to CHRIMP Center).

3. This letter supersedes all previous designation letters and remains in effect until terminated in writing or upon member(s) detachment from command.

I. M. OFFICIAL
insert name of person signing

Copy to:
NAVSUP FLCN Newport CHRIMP Center, Bldg. 1393

ATTACHMENT 6

NUWC 5090_3D Hazcom Requirements

ATTACHMENT 6

DEPARTMENT OF THE NAVY
NAVAL UNDERSEA WARFARE CENTER DIVISION
1176 HOWELL STREET
NEWPORT RI 02841-1708

IN REPLY REFER TO:

NUWCDIVNPTINST 5090.3D
552:TRL
22 December 2005

NUWC DIVISION NEWPORT INSTRUCTION 5090.3D

From: Commander

Subj: ***HAZARDOUS MATERIAL CONTROL PROGRAM***

Ref: (a) OPNAVINST 5100.23F, Navy Occupational Safety and Health (NAVOSH) Program Manual
(b) 29 CFR 1910.1200, Occupational Safety and Health Standards for General Industry, Hazard Communication
(c) OPNAVINST 5090.1B, Environmental and Natural Resources Program Manual
(d) 29 CFR 1910.1450, Occupational Exposure to Hazardous Chemicals in Laboratories
(e) NAVSEAINST 5100.3D, Mercury, Mercury Compounds, and Components Containing Mercury or Mercury Compounds; Control of

Encl: (1) Hazardous Material Control Program Definitions
(2) Hazardous Material Site Specific Training Record
(3) Sample Material Safety Data Sheet
(4) Request for Addition to Hazardous Materials Inventory and New Regulated Process Identification, NUWCDIVNPT 5090/3 (10-04)
(5) Hazardous Chemical Warning Label, DD Form 2522 Oct 2000
(6) Material Safety Data Sheet Binder Instructions
(7) Amended Guidance for Regulated Process Record Keeping
(8) Chemical Hygiene Plan Outline

1. **Purpose**. To establish a Hazardous Material Control Program by the implementation of references (a) through (e), assign responsibilities, set policy, and establish procedures.

2. **Cancellation**. NUWCDIVNPTINST 5090.3C is cancelled.

3. **Administrative Information**. This constitutes a major revision of the previous instruction. Therefore, individual paragraph markings noting additions, deletions, or revisions have not been included.

4. **Policy**

a. The policy of the Commander, Naval Undersea Warfare Center Division, Newport (NUWCDIVNPT) is to provide a safe and healthful work environment. Details of this policy shall be made available to employees or their designated representative upon request.

b. NUWCDIVNPT shall comply with all applicable Federal, state, local, and Department of Defense (DoD) laws, regulations, standards, directives, and instructions related to hazardous material.

c. NUWCDIVNPT shall ensure that the least hazardous materials are procured for use. When required by the Safety and Occupational Health Division, Code 552, operating procedures shall be established and followed to minimize the risk of using those materials.

5. **Applicability**. This instruction applies to all employees, including those in tenant commands, as well as on-site contractors and their personnel.

6. **Definition**. A definition of terms is provided in enclosure (1).

7. **Responsibilities**

a. Hazardous Material Control Program Manager. The Hazardous Material Control Program Manager (HMCPM) (Code 552) is responsible for the implementation of the Hazard Communication (HAZCOM) Program, as defined by references (a) through (c). The HMCPM shall:

(1) Provide consultation service, advice, and guidance to all departments and tenant commands involving the technical aspects of the program.

(2) Provide training to supervisors on their responsibilities for implementation of the program.

(3) Provide initial new employee training as it pertains to the existence, availability, contents, and location of the program.

(4) Assist NUWCDIVNPT departments with the acquisition of Material Safety Data Sheets (MSDSs).

(5) Ensure that the NUWCDIVNPT Hazardous Material (HM) inventory is maintained in the HAZMATRACK database by location, building, and department.

(6) Serve as the control point for the acquisition of all HM purchases (with the exception of NUWCDETAUTEC and West Palm Beach).

(7) Monitor the Hazardous Material Control Program (HMCP) to ensure that MSDS labeling, barcodes, training, and proper storage are in place.

(8) Ensure that all MSDSs for HM used throughout NUWCDIVNPT are entered and maintained in the MSDS database (AUTEC/West Palm Beach will maintain a separate master file in the MSDS Database).

(9) Rate material for degree of health, fire, and reactivity hazards and assign a unique number to the MSDS for each material approved for use.

(10) Act jointly with the Environmental Division (Code 551) as the approval/disapproval authority for the addition of new items to the HM inventory.

(11) Act as the liaison between the Environmental Division, department heads, supervisors, and the Industrial Hygiene (IH) Department at the Naval Health Care New England, to coordinate any reviews or evaluations of new processes and procedures and to provide department heads, supervisors, and employees with results of IH evaluations, monitoring results and recommendations.

(12) Ensure that individuals requiring medical surveillance receive the appropriate health and industrial hygiene monitoring.

(13) Provide NAVSTA Fire Department with an electronic copy of NUWCDIVNPT's Safety Approved List (SAL) quarterly.

b. Chemical Hygiene Officer (CHO). The CHO shall be appointed in writing by the Commander, NUWCDIVNPT, and shall be responsible for:

(1) Implementing reference (d).

(2) Reviewing and evaluating annually the effectiveness of the program and the individual Chemical Hygiene Plans (CHPs).

(3) Providing guidance and advice to all departments that have an operation falling within the requirements of reference (d).

(4) Surveying work areas and identifying in writing to the department any areas requiring a CHP.

(5) Reviewing all CHPs for approval/disapproval.

c. Mercury Control Officer (MCO). The MCO is appointed in writing by the Commander, NUWCDIVNPT, and is responsible for ensuring that the program elements of reference (e) are met. The MCO shall:

(1) Institute a control system covering all mercury compounds and components containing functional mercury as defined in reference (e).

(2) Provide consultation service, advice, and guidance to all departments using mercury or equipment containing mercury.

(3) Conduct an annual inventory and audit of the program to evaluate all facets of the control system.

(4) Maintain all records and reports in the HAZMATRACK database for 3 years.

(5) Ensure that all personnel identified as either mercury handlers or as spill response team members receive the appropriate training and medical surveillance if routinely exposed.

d. Department Heads. Each department head is responsible for the maintenance of the HMCP and the safety of the employees who are involved with hazardous material. Department heads shall ensure that:

(1) Each supervisor receives the training concerning program requirements and the supervisory responsibilities for its implementation.

(2) The quantities of HM purchased are minimized and that the least amount of HM for the task being performed is used.

(3) Restrictions/prohibitions of the use of lead, mercury, and chromate paint, ozone depleting substances, methylene chloride, etc., are made known and are observed by all employees.

(4) MSDSs are accessible in all work areas where HMs are used or stored either electronically or as hard copy.

(5) Supervisors are implementing all aspects of the program.

(6) All employees receive initial and refresher training, as well as any hazard-specific training prior to assuming work responsibilities.

(7) A copy of any MSDS received or obtained through the General Service Administration (GSA), open purchase, or by contractor personnel is forwarded to the HMCPM.

(8) All requests for HM purchases from any source (i.e., GSA, contractor personnel, or open purchase) are approved by the HMCPM or designee prior to purchase. Open purchase requisitions of material new to an activity's inventory must be accompanied by an MSDS.

(9) All HM acquisitions, usage, transfer, and turn-in for disposal is entered and maintained in the HAZMATRACK database.

(10) Employees are trained and use the proper personal protective equipment and that all safeguards are in place when HM is used.

(11) All employees are familiar with emergency response procedures to evacuate area and that they are to notify appropriate emergency personnel.

(12) Only competent, medically fit employees perform tasks dealing with HM.

(13) Any new processes involving the use of HM are reported to and evaluated by the HMCPM and the Environmental Division.

(14) Individuals who require medical monitoring are identified.

(15) CHPs are developed for laboratory operations when required by the CHO.

(16) HM inventory will be validated annually by a sampling audit with 90% accuracy.

(17) An individual is designated to serve as the code point of contact (POC) for HM matters.

e. Supervisors. Supervisors are directly responsible to their department head for the safety and health of their personnel who work with or around HM. Supervisors shall:

(1) Implement the HMCP.

(2) Conduct site-specific training for new employees and ensure that all current employees are trained in all aspects of the HMs currently in use and prior to introduction of a new chemical hazard. Maintain documentation of this training using enclosure (2) and forward a copy to the Safety Division (Code 552). This training should include as a minimum:

(a) Physical and health hazards.

(b) Methods and observations that may be used to detect the presence or release of a hazardous chemical in a work area (such as monitoring conducted by the employer, continuous monitoring devices, visual appearance, or odor of hazardous chemicals when released, etc.).

(c) Measures the employee must take to protect himself/herself from physical/health hazards.

(d) Emergency procedures.

(e) Required personal protective equipment.

(f) Location of emergency equipment.

(g) Engineering controls and standard operating procedures (SOPs) that have been installed or implemented to protect employees from adverse exposure. These SOPs need to be brought to the employees' attention with an explanation of why they are required and, when applicable, a demonstration of the proper way to use them.

(h) Proper work practices.

(3) Provide periodic HMCP training in the following:

(a) Method of accessing or location of MSDSs for the work area.

(b) Method of accessing or location of the HMCP instruction.

(c) Explanation of labeling system and bar-coding.

(d) Explanation of an MSDS and how to read and interpret the information. A sample MSDS may be found in enclosure (3).

(4) Maintain a copy of the MSDS for each HM used or stored in the work area or provide electronic access to the MSDS on-line database to all personnel on all shifts.

(5) Ensure that HM can be identified with the MSDS. This must be accomplished by affixing a barcode. The unique MSDS number of that material may also be written on the container.

(6) Office area HM only requires an MSDS number on the container.

(7) Ensure that employees involved in non-routine tasks involving HM are trained and equipped to the extent required.

(8) Ensure that all new material received from any supply source is accompanied by an MSDS and provide a copy to the HMCPM. Material receipt is entered into the HAZMATRACK database.

(9) Ensure that all purchases including Bankcard purchases and HM procured by support contractors are routed through the Safety Division (Code 552), for HM screening and are properly entered into the HMCP.

(10) Ensure that all HM containers are properly labeled and stored.

(11) Assign only competent, medically fit personnel to the task to be performed.

(12) Inform the HMCPM, the Safety Division, and the Environmental Division of any new processes or operations that use HM.

(13) Identify all individuals who require medical monitoring and provide the Safety Division with the names of those employees. Ensure that employees report for medical monitoring and any required training.

(14) Provide the appropriate MSDS along with any HM that is to be shipped to any other facility.

(15) Develop, write, and implement a CHP as required per reference (c).

f. HM Point of Contact (POC). The code POC for hazardous material shall serve as the liaison between the code and the HMCPM to:

(1) Ensure that all requests for new material, acquisition, use, transfer, and turn-in for disposal are entered into the HAZMATRACK database.

(2) HM inventory will be validated annually by a sampling audit with a 90% accuracy.

(3) Obtain MSDSs for materials used by the POC's area of responsibility.

(4) Ensure that all new MSDSs are inserted into the appropriate MSDS binder, or are accessible using the NUWC Intranet MSDS database.

(5) Ensure that all HM requisitions are presented to the HMCPM for approval in the form of e-mail requests (for Bankcard purchases) or hard copy requisitions — DD1348, DD4235 (for other purchases).

g. Employees. All employees are responsible for their actions in working with HM by reading MSDSs and warning labels, as well as following proper operating procedures. Employees shall:

(1) Have a knowledge of chemicals used, including hazards and protective measures.

(2) Not use unmarked containers or store materials in coffee cans or other food containers.

(3) Use proper personal protective equipment when required.

(4) Notify supervisor of any HM handling or storage deficiencies.

(5) Know emergency procedures for reporting all mishaps.

(6) Report for any required medical evaluations and required training.

(7) Report exposures to the Safety Division.

(8) Ensure that they notify the HM POC when a new HM is added or disposed of so that the item can be bar-coded and its usage recorded.

h. Environmental Division, Code 551. The Environmental Division shall be responsible for the following:

(1) Maintaining the monthly amounts of spilled mercury and forwarding this record annually to NAVSEA per reference (e), within 10 working days after the end of the calendar year.

(2) Establishing readily available equipment and materials required for spill containment and cleanup.

(3) Providing for proper mercury waste collection and temporary storage.

(4) Maintaining a record showing monthly totals of all mercury spills of less than 2 ounces (56.7 grams) by weight. Mercury spills in excess of 2 ounces (56.7 grams) by weight, or that result in contamination of equipment or components required to be mercury free, shall be reported to the Commander, NUWCDIVNPT, and to NAVSEA per reference (e).

(5) Maintaining all spill records relating to mercury for 3 years.

i. Facilities and Installation Support Division, Code 554. The Facilities and Installation Support Division, Code 554, shall be responsible for labeling all shore equipment, tanks, pipes, or other stationary objects that contain HM.

j. Contracting Officer's Representatives (CORs). All CORs are responsible for ensuring that on-site contractors comply with this instruction and reference (b). CORs are responsible for ensuring that on-site contractors provide MSDS to the Safety Division prior to bringing material on board. Once approved by the Safety Division, the NUWCDIVNPT MSDS must be written on each container or item.

k. Head, Commercial Acquisition Department. The Head of the Commercial Acquisition Department shall ensure that the Hazardous Material Identification and Material Safety data clause, required by the Federal Acquisition Regulations (FAR), be inserted in solicitations and contracts when it is contemplated that the contract will require the delivery of HM or on the advice of the procurement originator that the contract will involve exposure to HM in any manner, e.g., performance of work, use, handling, manufacturing, packaging, transportation, storage, inspection, and disposal.

8. **Program Operations**

a. Hazardous Material Inventory

(1) An HM Inventory will be established for NUWCDIVNPT. In addition, each individual code shall have an inventory consisting of those chemicals approved for use within a work location. Individual code inventories will be maintained in the HAZMATRACK system. Inventories do not have to include such articles as white-out, non-aerosol household cleaners, powdered toners, and other general non-aerosol office supplies that are used in a manner consistent with consumer products per reference (b). All office supplies must be labeled with the NUWCDIVNPT MSDS control number

(2) A code may purchase only those HMs that appear on its SAL inventory. The quantity that may be ordered shall not be in excess of the minimum quantity necessary to satisfy operational requirements.

(3) In order to purchase a new item that is not in a code's inventory, a Request for Addition to Hazardous Materials Inventory, enclosure (4), must be filled out and submitted with the requisition form to the Safety Division along with a copy of the MSDS.

(4) HM inventory changes must be audited and updated. HM that has not been used for 1 year shall not be considered for transfer or turn-in. See paragraph 8.h.

(5) HM inventories should reflect the minimum quantity necessary to meet operational requirements and the actual HM inventory on hand at the time of the audit.

b. Hazardous Waste and Spill Contingency Planning. All personnel will comply with the following plans:

(1) Spill Prevention, Control, and Countermeasure Plan

(2) Hazardous Waste Contingency Plan

(3) Hazardous Waste Management Plan

c. Labeling and Warning Requirements

(1) All HM is required to be appropriately labeled as required by reference (b). As a minimum, the label is to include:

(a) Identity of the hazardous chemical.
(b) Appropriate hazard warning.
(c) Name and address of the manufacturer, importer, or other responsible party.

(2) The ownership code is responsible for ensuring that all HM received is properly labeled per reference (b).

(3) Individual end users who purchase materials through open purchase or through contractors must specify to vendors that proper HM labeling meeting the requirements of reference (b) is to be affixed to containers.

(4) Manufacturers' warning labels will be preserved on all original containers. No label shall be defaced, removed, or covered up unless a new label is immediately affixed. When it is necessary to transfer a material to another container, a suitable label or tag will be applied by the department that transfers the material into the secondary container. A material is not to be used until it is properly labeled or tagged by the person performing the transfer. The only transfer labels allowed shall be an original manufacturer’s label or the DoD Hazard Chemical Warning Label. This label shall be supplied by the HMCPM. A sample of the DoD Hazardous Chemical Warning Label is provided as enclosure (5).

(5) Each portable container of liquid, gas, or powder shall be properly identified and marked with the appropriate hazard warning.

(6) Each open surface tank (e.g., dip tank) shall be labeled for contents and appropriate warnings.

(7) Pipes or piping systems that transfer HM will be labeled for materials being transferred.

(8) Large containers holding 55 gallons or more will have the appropriate National Fire Protection Association label affixed. This label is mainly to advise firefighters of potential hazards.

d. MSDSs

(1) Each HM in the workplace must have an MSDS with a NUWCDIVNPT control number and National Fire Protection Association health, fire, and reactivity rating.

(2) Each HM appearing in the code inventory must have an MSDS and be maintained in the HAZMATRACK database system.

(3) An MSDS for each HM used or stored in the area must be readily available for all shifts. Either hard copies kept in an MSDS binder or the MSDS on-line program is acceptable.

(4) An instruction and information sheet for MSDS binders is provided in enclosure (6).

e. Hazardous Material Inventory Control and Environmental Record Keeping. The following information shall be maintained by each code for the purpose of HM control and environmental record keeping as outlined in enclosure (7):

(1) A description of each regulated process, utilizing the Request for Addition to Hazardous Materials Inventory and New Regulated Process Identification forms (enclosure (4)) shall include: NUWCDIVNPT code, responsible person, phone extension, process description, the process location, and HM to be used.

(2) Usage records for all HM shall be maintained using the HAZMATRACK database.

(3) Monthly usage record for certain volatile organic compound (VOC) emitting processes, small engines, and chemicals identified by Code 551.

f. Safety Division, Code 552

(1) The Safety Division shall inspect areas to ensure that all HM is properly stored, labeled, and that MSDSs are readily available to all employees on each shift. Inspection shall also include verification that HM is approved for use at that worksite.

(2) All written HAZCOM Program information, including the HM inventories master file of MSDSs, is maintained by the Safety Division in Building 11.

g. Hazardous Material Acquisition:

(1) Only HM that is currently on the code's inventory may be purchased with the exception of HM with a health rating of 4. All HM with a health rating of 4 must be approved by the Safety Division and the code must have an approved standard operating procedure (SOP) signed off by the Safety Division to use the material. Additions to the inventory must be approved by the Safety Division after submission of a Request for Addition to Hazardous Material Inventory Form, enclosure (4).

(2) Purchase quantities must be minimized.

(3) HM tracking must be maintained accurately with the HAZMATRACK system.

(4) The HMCP must be enforced in the work area.

h. Shelf-Life. In the event an HM has exceeded its shelf-life the owner shall determine whether the material is still needed. For HM that is not needed, a turn-in form must be completed so that the Environmental Division, Code 551, may properly dispose of it. For HM that is still needed, the owner shall request shelf-life extension. Shelf life extension requires the following steps:

(1) Conducting a sampling test of the material and a visual inspection of the container for serviceability.

(2) Determining the length of the extension of HM shelf-life, whether it is GSA or commercially purchased, must be assessed by the material's serviceability at the discretion of the user. The new date shall not exceed 6 months of the "shelf" expiration date. One subsequent extension of 6 months may occur following assessment for serviceability.

(3) Assigning a shelf life extension date and posting the date on the HM container. A Shelf-Life Extension Date Log or similar documentation method should be maintained. The HAZMATRACK database may be used to maintain the log.

9. **Contractor Employers and Employees**

a. On-site contractors and their employees shall be responsible for complying with the requirements of this instruction and references (a), (b), (d), and (e).

b. The Commercial Acquisition Department and all other NUWCDIVNPT employees who write contracts shall take action to write and use contract clauses that require adherence with this HMCP. These individuals are designated to ensure that all contractors providing services at NUWCDIVNPT are informed of this HMCP and its requirements.

c. Prior to the commencement of work, contractors and their employees are required to inform the Safety Division of any HM brought on board NUWCDIVNPT. MSDSs and chemical

inventories with quantities must be readily available at the work site for every HM used and stored by the contractor.

d. Contractors who purchase HM for NUWCDIVNPT personnel shall work through their COR to obtain approval from the HMCPM or designee prior to the purchase of the chemical.

e. Contractors and their employees shall inform the NUWCDIVNPT area supervisor of any chemical hazards to which NUWCDIVNPT employees may be exposed. Likewise, the NUWCDIVNPT area supervisor shall inform the contractor and employees of any chemical hazards that may affect contractor employees. Appropriate MSDSs for the NUWCDIVNPT-used HM will be made available by the area supervisor to the contractor or his designated representative upon request.

f. Resident Officer in Charge of Construction (ROICC) personnel, NUWCDIVNPT COR personnel, Quality Assurance (QA) personnel, and area supervisors are responsible for ensuring contractor compliance with all the provisions of this instruction.

10. **Tenant Commands**

a. The tenant commanding officer or his designee shall provide the Safety Division with a copy of the command's HM inventory and point of contact who may be contacted if information is needed during or after normal working hours.

b. Tenants will be advised as to where they can obtain NUWCDIVNPT HMCP information.

c. Tenant commands shall be provided, upon request, information and MSDSs on HM used in spaces they are occupying.

11. **CHPs**. All departments that use chemicals on a laboratory scale or use multiple chemical procedures or chemicals that are not part of a production process and involve laboratory practices and equipment are subject to the requirements of reference (d). Affected departments shall:

a. Have a written CHP that describes in detail the management of the chemical hazards. This plan shall be readily available to employees or their designated representatives. Guidance for writing this plan may be found in enclosure (8).

b. Inform affected employees of the CHP, its contents, and location.

c. Submit any new CHP to the CHO for review and approval.

d. Submit a CHP annually to the CHO for review.

The CHP is to be a specific plan for each area.

12. **Mercury Control Program**. General requirements for mercury control include:

a. An inventory of all mercury, mercury compounds, and objects or equipment containing mercury shall be maintained and updated by the departments. Instruments or equipment containing mercury shall be bar-coded and entered into the HAZMATRACK database.

b. A mercury spill cleanup team shall be established and trained by the MCO.

c. Any mercury spill shall be cleaned up in accordance with procedures outlined in reference (e).

M. W. BYMAN

HAZARDOUS MATERIAL CONTROL PROGRAM DEFINITIONS

Chemical - any element, chemical compound, or mixture of elements and/or compounds.

Chemical Hygiene Officer (CHO) - an employee designated by the Commander who is qualified by training or experience to provide technical guidance in the development and implementation of the provisions of the Chemical Hygiene Plan.

Chemical Hygiene Plan (CHP) - a written plan developed and implemented by the employer that sets forth procedures, equipment, personal protective equipment, and work practices that are capable of protecting employees from health hazards presented by hazardous chemicals used in that particular workplace.

Combustible Liquid - any liquid having a flashpoint at or above 100°F but below 200°F.

Designated Area - an area that may be used for work with select carcinogens, reproductive toxins, or substances that have a high degree of toxicity. A designated area may be the entire laboratory.

Emergency - any occurrence such as, but not limited to, equipment failure, rupture of containers, or failure of control equipment that results in an uncontrolled release of a hazardous chemical into the workplace.

Exposure or Exposed - when an employee is subjected to a hazardous chemical in the course of employment through any route of entry (inhalation, ingestion, skin contact, absorption, etc.) and includes potential (e.g., accidental) exposure.

Flammable Liquid - a material having a flashpoint below 100°F.

Flashpoint - the minimum temperature at which a liquid gives off a vapor in sufficient concentration to form an ignitable mixture with air near the surface of the liquid within the vessel.

Hazard Warning - any words, pictures, symbols, or combination thereof appearing on a label or other appropriate form of warning that convey the hazard(s) of the chemical(s) in the container(s).

HAZMATRACK - Hazardous material tracking system.

Health Hazard - a chemical for which there is statistically significant evidence, based on at least one study conducted in accordance with established scientific principles that acute or chronic health effects may occur in exposed employees.

Immediate Use - Use and control of a hazardous chemical by the person who transfers it from a labeled container and only within the work shift in which it is transferred.

Label - any written, printed, or graphic material displayed on or affixed to containers of hazardous chemicals.

Laboratory Use of Hazardous Chemicals - handling or use of such chemicals in which all of the following conditions are met: chemical manipulations are carried out on a laboratory scale, multiple chemical procedures or chemicals are used, the procedures are not part of a production process, and protective laboratory practices and equipment are available and in common use to minimize the potential for employee exposure to hazardous chemicals.

Material Safety Data Sheet (MSDS) - written or printed material concerning a hazardous chemical that is prepared by the manufacturer or importer.

Mercury Handling Area - an area where mercury or mercury compounds are transferred, stored, or otherwise exposed to the atmosphere. Examples are where the boundary of confinement of a component containing mercury is intentionally penetrated or, for calibration standards only, where mercury is intentionally vented to the atmosphere.

Mercury Spill - a mercury spill is the release of mercury or mercury compounds to the atmosphere or to any surface not intended for the storage, transfer, or use of mercury.

Multi-Employer Workplaces - Workplaces where employers who produce, use, or store hazardous chemicals in such a way that the employees of other employer(s) may be exposed.

Physical Hazard - a chemical for which there is scientifically valid evidence that it is a combustible liquid, a compressed gas, explosive, flammable, an organic oxide, an oxidizer, pyrophoric, unstable (reactive), or water-reactive.

Regulated Process - An application of a hazardous material in a working environment. Hazardous materials used in a regulated process may be a chemical (solid, liquid, or gas) or a mixture of chemicals. Excluded from regulated process reporting are non-industrial materials (office supplies, household-type cleaners, and consumer-intended products) and common raw metals (steel, aluminum, tool parts, etc.).

SAL - Safety approved list.

Shelf Life - Period for which an HM is serviceable for its applicable process.

Toxic Substance –any chemical or material that has evidence of acute or chronic health hazards, has the ability to injure biological tissue, causes cancer, or reproductive effects in test animals.

Use - to package, handle, react, or transfer.

Volatile Organic Compounds (VOCs) - A subset of the group of substances called hydrocarbons. VOCs are photochemical reactive organic compounds that evaporate readily under normal temperature and pressure conditions.

Work Area - a room or defined space in a workplace where hazardous chemicals are produced or used and where employees are present.

HAZARDOUS MATERIAL SITE SPECIFIC TRAINING RECORD

Code _____ Date Conducted_____

Bldg _____ Room, Bay, Laboratory, etc._____

Operation, process, or experiment performed _____

_____

_____

Employee may be exposed to the following hazardous materials:

_____

_____

_____

Person conducting training

_____ _____

Printed Name Signature

General topics covered (MSDS, Personal Protective Equipment, Emergency Response, Procedures, etc.)

_____

_____

_____

Personnel in Attendance

Printed Name	Signature
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

HAZARDOUS MATERIAL SITE SPECIFIC TRAINING RECORD (Cont'd)

Printed Name	Signature

SAMPLE

SARA TITLE YES ____ NO X
REPRO HAZARD YES ____ NO X
CARCINOGENIC YES ____ NO X

1 1 0

MSDS
13332

J/B Industries Inc. — Material Safety Data Sheet

Section 1: Product And Company Identification

COMPANY: J/B INDUSTRIES INC.
LOCATION: 601 N. Farnsworth Ave.
Aurora, IL 60507
Emergency Telephone Number:
630/851-9444

PRODUCT NAME:
Vacuum Pump Oil

PRODUCT GRADES:

PRODUCT CODE:

DATE ISSUED:
December 28, 1992

DATE REVISED:
Sept. 1, 2003

Section 2: Composition/ Information On Ingredients

CAS NAME:
Distillates, hydrotreated heavy paraffinic

CAS NUMBER:
64742-54-7

AMOUNT:
100% weight

This product is not approved for direct food use [CFR 178.3620 (a) & (b)]

Section 3: Hazards Identification

EMERGENCY OVERVIEW
Clear colorless to pale yellow liquid.

IMMEDIATE HEALTH EFFECTS
EYE:
Not expected to cause prolonged or significant eye irritation.

SKIN:
Contact with the skin is not expected to cause prolonged or significant irritation. Not expected to be harmful to internal organs if absorbed through the skin. Contact with the skin is not expected to cause an allergic skin response.

INGESTION:
Not expected to be harmful if swallowed.

INHALATION:
Not expected to be harmful if inhaled. Contains a petroleum-based mineral oil. May cause respiratory irritation or other pulmonary effects following prolonged or repeated inhalation of oil mist at airborne levels above the recomm ended mineral oil mist exposure limit. Symptoms of respiratory irritation may include coughing and difficulty breathing.

Section 4: First Aid Measures

EYE:
No specific first aid measures are required because this material is not expected to cause eye irritation. As a precaution, remove contact lenses, if worn, and flush eyes with water.

SKIN:
No specific first aid measures are required because this material is not expected to be harmful if it contacts the skin. As a precaution, remove clothing and shoes if contaminated. To remove the material from skin, use soap and water. Discard contaminated clothing and shoes or thoroughly clean before reuse.

INGESTION:
No specific first aid measures are required because this material is not expected to be harmful if swallowed. Do not induce vomiting. As a precaution, give the person a glass of water or milk to drink and get medical advice. Never give anything by mouth to an unconscious person.

INHALATION:
No specific first aid measures are required because this material is not expected to be harmful if inhaled. If exposed to excessive levels of material in the air, move the exposed person to fresh air. Get medical attention if coughing or respiratory discomfort occurs.

Section 5: Fire Fighting Measures

FIRE CLASSIFICATION;
OSHA Classification (29 CFR 1910.1200): Not classified by OSHA as flammable or combustible.

NFPA RATINGS:

HEALTH	FLAMMABILITY	REACTIVITY
0	1	0

FLAMMABLE PROPERTIES:
FLASH POINT:
Cleveland Open Cup 378°F (190°C) (Min)

AUTOIGNITION:
No data available

FLAMMABLE (EXPLOSIVE) LIMITS (% by volume in air):
Lower N/A Upper N/A

EXTINGUISHING MEDIA:
Use water fog, foam, dry chemical or carbon dioxide (CO_2) to extinguish flames.

PROTECTION OF FIRE FIGHTERS:
Fire Fighting Instructions:
This material will burn although it is not easily ignited. For fires involving this material, do not enter any enclosed or confined fire space without proper protective equipment, including self-contained breathing apparatus.

Combustion Products:
Highly dependent on combustion conditions. A complex mixture of airborne solids, liquids, and gases including carbon monoxide, carbon dioxide, and unidentified organic compounds will be evolved when this material undergoes combustion.

Section 6. Accidental Release Measures

PROTECTIVE MEASURES:
Eliminate all sources of ignition in vicinity of spilled material.

SPILL MANAGEMENT:
Stop the source of the release if you can do it without risk. Contain release to prevent further contamination of soil, surface water or groundwater. Clean up spill as soon as possible, observing precautions in Exposure Controls/ Personal Protection. Use appropriate techniques such as applying non-combustible absorbent materials or pumping. Where feasible and appropriate, remove contaminated soil. Place contaminated materials in disposable containers and dispose of in a manner consistent with applicable regulations.

REPORTING:
Report spills to local authorities and/or the U.S. Coast Guard's National Response Center at (800) 424-8802 as appropriate or required.

Section 7. Handling and Storage

GENERAL HANDLING INFORMATION:
Avoid contaminating soil or releasing this material into sewage and drainage systems and bodies of water.

STATIC HAZARD:
Electrostatic charge may accumulate and create a hazardous condition when handling this material. To minimize this hazard, bonding and grounding may be necessary but may not, by themselves, be sufficient. Review all operations which have the potential of generating an accumulation of electrostatic charge and/ or a flammable atmosphere (including tank and container filling, splash filling, tank cleaning, sampling, gauging, switch loading, filtering, mixing, agitation, and vacuum truck operations) and use appropriate mitigating procedures. For more information, refer to OSHA

Standard 29 CFR 1910.106, 'Flammable and Combustible Liquids', National Fire Protection Association (NFPA 77, 'Recommended Practice on Static Electricity; and /or the American Petroleum Institute (API) Recommended Practice 2003, 'Protection Against Ignitions Arising Out of Static, Lightning and Stray Currents'.

CONTAINER WARNING:

Container is not designed to contain pressure. Do not use pressure to empty container or it may rupture with explosive force. Empty containers retain product residue (solid, liquid, and/or vapor) and can be dangerous. Do not pressurize, cut, weld, braze, solder, drill, grind, or expose such containers to heat, flame, sparks, static electricity, or other sources of ignition. They may explode and cause injury or death. Empty containers should be completely drained, properly closed, and promptly returned to a drum reconditioner or disposed of properly.

Section 8. Exposure Controls/ Personal Protection

GENERAL CONSIDERATIONS:

Consider the potential hazards of this material (see Section 3), applicable exposure limits, job activities, and other substances in the work place when designing engineering controls and selecting personal protective equipment. If engineering controls or work practices are not adequate to prevent exposure to harmful levels of this material, the personal protective equipment listed below is recommended. The user should read and understand all instructions and limitations supplied with the equipment since protection is usually provided for a limited time or under certain circumstances.

ENGINEERING CONTROLS:

Use in a well-ventilated area.

PERSONAL PROTECTIVE EQUIPMENT:

EYE/FACE PROTECTION:

No special eye protection is normally required. Where splashing is possible, wear safety glasses with side shields as a good safety practice.

SKIN PROTECTION:

No special protective clothing is normally required. Where splashing is possible, select protective clothing depending on operations conducted, physical requirements and other substances. Suggested materials for protective gloves include: Nitrile Rubber, Silver Shield, Viton.

RESPIRATORY PROTECTION:

No respiratory protection is normally required.

If user operations generate an oil mist, determine if airborne concentrations are below the OSHA Permissible Exposure Limit (PEL) of 5 mg/m³ for mineral oil mist. If not, wear a NIOSH approved respirator that provides adequate protection from the measured concentrations of this material. For air-purifying respirators use a particulate cartridge.

Use a positive pressure, air-supplying respirator if there is potential for uncontrolled release, exposure levels are not known, or other circumstances where air-purifying respirators may not provide adequate protection.

Section 9: Physical And Chemical Properties

APPEARANCE AND ODOR:

Clear colorless to pale yellow liquid.

PH:

Not Available

VAPOR PRESSURE:

<0.01 mmHg @ 100°F

VAPOR DENSITY (Air =1):

>1

BOILING POINT:

>600°F (>315° C)

SOLUBILITY:

Soluble in hydrocarbons, insoluble in water

FREEZING POINT

NA

MELTING POINT

NA

SPECIFIC GRAVITY:

0.85-0.87 @ 15.6 °C/15.6°C

VOLATILE ORGANIC COMPOUNDS (VOC):

2.9% weight (Approximate)

VISCOSITY:

18.7 cST - 105 cST @40°C

Section 10. Stability And Reactivity

CHEMICAL STABILITY:

This material is considered stable under normal ambient and anticipated storage and handling conditions of temperature and pressure.

INCOMPATIBILITY WITH OTHER MATERIALS:

May react with strong oxidizing agents, such as chlorates, nitrates, peroxides, etc.

HAZARDOUS DECOMPOSITION PRODUCTS:

None known (None expected)

HAZARDOUS POLYMERIZATION:

Hazardous polymerization will not occur.

Section 11. Toxicological Information

IMMEDIATE HEALTH EFFECTS

EYE IRRITATION:

The mean 24-hour Draize eye irritation score in rabbits is 4.0/110.

SKIN IRRITATION:

For a 24-hour exposure, the Primary Irritation Score (PIS) in rabbits is: 0.2/8.0.

SKIN SENSITIZATION:

This material did not cause skin sensitization reactions in a Buehler guinea pig test.

ACUTE DERMAL TOXICITY:

The 24 hour(s) LD50 in the rabbit is >5g/kg.

ACUTE ORAL TOXICITY:

The LD50 in the rat is >5 g/kg.

ACUTE INHALATION TOXICITY:

The acute inhalation toxicity hazard is based on evaluation of data for similar materials or product components.

GENETIC TOXICITY:

This product gave negative results in the following mutagenicity assays: Microbial/Microsome Reverse Mutation Assay.

ADDITIONAL TOXICOLOGY INFORMATION:

This product contains petroleum base oils which may be refined by various processes including severe solvent extraction, severe hydrocracking, or severe hydrotreating. None of the oils requires a cancer warning under the OSHA Hazard Communication Standard (29 CFR 1910.1200). These oils have not been listed in the National Toxicology Program (NTP) Annual Report nor have they been classified by the International Agency for Research on Cancer (IARC) as; carcinogenic to humans (Group 1), probably carcinogenic to humans (Group 2A), or possibly carcinogenic to humans (Group 2B).

Section 12. Ecological Information:

EXOTOXICITY

Ten 96 hour(s) LC50 for rainbow trout (Oncorhynchus mykiss) is >1000 mg/l.

ENVIRONMENTAL FATE

READY BIODEGRADABILITY:

This material is not expected to be readily biodegradable.

Section 13. Disposal Considerations

Oil collection services are available for used oil recycling or disposal. Place contaminated materials in containers and dispose of in a manner consistent

with applicable regulations. Contact your sales representative or local environmental or health authorities for approved disposal or recyling methods.

Section 14. Transportation Information

The description shown may not apply to all shipping situations. Consult 49CFR, or appropriate Dangerous Goods Regulations, for additional description requirements (e.g., technical name) and mode-specific or quantity-specific shipping requirements.

DOT SHIPPING NAME:
Not regulated as a hazardous material for transportation under 49 CFR

DOT HAZARD CLASS:
Not Applicable

DOT IDENTIFICATION NUMBER:
Not Applicable

DOT PACKING GROUP:
Not Applicable

Section 15. Regulatory Information

SARA 311/312 CATEGORIES:

1. Immediate (Acute) Health Effects: No
2. Delayed (Chronic) Health Effects: No
3. Fire Hazard: No
4. Sudden Release of Pressure Hazard: No
5. Reactivity Hazard: No

REGULATORY LISTS SEARCHED:

4_I1=IARC Group 1
4_I2A=IARC Group 2A
4_I28B=IARC Group 2B
05=NTP Carcinogen
06-OSHA Carcinogen
09-TSCA 12(b)
10=TSCA Section 4
11=TSCA Section 8(a) CAIR
12=TSCA Section 8(a) PAIR
13=TSCA Section 8(d)
15=SARA Section 313
16=CA Proposition 65
17=MA RTK
18=NJ RTK
19=DOT Marine Pollutant
20=PA RTK
21=TSCA Section 5(a)
25=CAA Section 112 HAPs
26=CWA Section 311
28=CWA Section 307
30=RCRA Waste P-List
31-RCRA Waste U-List
32=RCRA Appendix VIII

No components of this material were found on the regulatory lists above.

CHEMICAL INVENTORIES:

AUSTRALIA: All the components of this material are listed on the Australian Inventory of Chemical Substances (AICS).

KOREA: All the components of this product are on the Existing Chemicals List (ECL) in Korea.

PHILIPPINES: All the components of this product are listed on the Philippine Inventory of Chemicals and Chemical Substances (PICCS).

CANADA: All the components of this material are on the Canadian Domestic Substances List (DSL).

EUROPEAN UNION: All the components of this material are in compliance with the EU Seventh Amendment Directive 92/32/EEC.

UNITED STATES: All of the components of this material are on the Toxic Substances Control Act (TSCA) Chemical Inventory.

NEW JERSEY RTK CLASSIFICATION:

Under the New Jersey Right-to-Know Act L. 1983 Chapter 315 N.J.S.A.34:5A-1 et. seq., the product is to be indentified as follows: PETROLEUM OIL

WHMIS CLASSIFICATION:

This product is not considered a controlled product according to the criteria of the Canadian Controlled Products Regulations.

Section 16. Other Information

NFPA RATINGS:

Health:	Flammability:	Reactivity:
0	1	0

HMIS RATINGS:

Health:	Flammability:	Reactivity
1	1	0

(0-Least, 1-Slight, 2-Moderate, 3-High, 4-Extreme, PPE:-Personal Protection Equipment Index recommendation, *-Chronic Effect Indicator). These values are obtained using the guidelines or published evaluations prepared by the National Fire Protection Association (NFPA) or the National Paint and Coating Association (for HMIS ratings).

Prepared according to the OSHA Hazard Communication Standard (29 CFR 1910.1200) and the ANSI MSDS Standard (Z400.1).

The above information is based on the data of which we are aware and is believed to be correct as of the date hereof. Since this information may be applied under conditions beyond our control and with which we may be unfamiliar and since data made available subsequent to the date hereof may suggest modifications of the information, we do not assume any responsibility for the results of it use. This information is furnished upon condition that the person receiving it shall make his own determination of the suitability of the material for his particular purpose.

REQUEST FOR ADDITION TO HAZARDOUS MATERIALS INVENTORY AND NEW REGULATED PROCESS IDENTIFICATION

1. Name of chemical (proper name as used by manufacturer):
2. Manufacturer's name:
3. Manufacturer's address and phone number:
4. National Stock Number and/or Military Specification, if applicable:
5. Amount being ordered:
6. Will this be a one-time purchase? Yes ☐ No ☐
7. Estimated minimum quantity required to sustain operational needs:
8. a. How will this chemical be used (brief description of regulated process)?

   b. Modified Existing Process:

   or

   c. Check ☐ if new process
9. Name of laboratory or work center where chemical will be used:

   Bldg: Code: Responsible Person: Phone:

10. Location where chemical will be stored. Bldg: Floor: Room:
11. Are adequate storage facilities for this material at the above location? Please specify (e.g., flammable cabinets, cold storage, etc.).
12. Have you researched the availability of a less hazardous substitute? Yes ☐ No ☐
13. Is a less hazardous substitute available? Yes ☐ No ☐

14. If the answer to question 13 is yes, why wasn't the substitute selected?

    What personal protective equipment will be needed when working with this chemical?

    (Please be specific.)

15. Is the personal protective equipment available? Yes ☐ No ☐

16. Is the work site where this material will be used adequate (i.e., sufficient ventilation, lab hoods, explosion-proof fixtures, etc.)? Yes ☐ No ☐

17. Are the personnel who will be using this material adequately trained in its potential hazards? Yes ☐ No ☐

18. If the Material Safety Data Sheet for this material is available, please attach a copy to this request and mail it to Code 552 or e-mail a copy to HAZMATBANK@npt.nuwc.navy.mil.

Name of Requester_____ Code_____

For Code 55 Use Only:

Date Approved _____	Date Approved _____
Signature _____	Signature _____
Code 551	Code 552
_____	_____
Regulated Process Assigned	MSDS Number Assigned

NUWCDIVNPT 5090/3 (10-04)

HAZARDOUS CHEMICAL WARNING LABEL

1. CHEMICAL/COMMON NAME

2. HAZARD CODE | **3. NSN/LSN**

4. PART NUMBER

5. ITEM NAME

6. HAZARDS *(X all that apply)*	(1) ACUTE *(Immediate)*				(2) CHRONIC *(Delayed)*
	NONE	SLIGHT	MODERATE	SEVERE	
a. HEALTH					
b. CONTACT					
c. FIRE					
d. REACTIVITY					

7. SPECIFIC HAZARDS AND PRECAUTIONS *(Including target organ effects) (See MSDS for further information.)*

8. PROTECT *(X all that apply)*		EYES		SKIN		RESPIRATORY

9. CONTACT a. COMPANY NAME

b. ADDRESS *(Street, P.O. Box, City, State, ZIP Code, Country)*

c. EMERGENCY TELEPHONE NUMBER *(Include Area Code)*

10. PROCUREMENT YEAR FOR HAZARDOUS CHEMICAL

DD FORM 2522, OCT 2000 PREVIOUS EDITION MAY BE USED.

MATERIAL SAFETY DATA SHEET BINDER INSTRUCTIONS

The Safety Division maintains a master file of MSDSs. Should any MSDS be received that has not gone through the Safety Office, contact them immediately. If a code decides to use the NUWCDIVNPT electronic version of the MSDS, all personnel in the respective area need to be familiar with how to access the MSDSs. NUWCDIVNPT's MSDSs can be retrieved from the NUWCDIVNPT homepage where they should click on "Index" and then scroll down to and click on "MSDS".

If a code chooses to maintain a hard copy of their inventory MSDSs, the following applies: The binder is to be maintained in the immediate work area and be readily available at all times. Each employee who is working with hazardous materials must know where the binder is located and the information that the binder contains. The HM Site Specific Training Record, when signed by employees, indicates that they have been advised of both the location and the contents of the binder. The contents include an MSDSs for each chemical found in the work area.

It is the supervisor's responsibility to maintain this binder with all MSDSs for any hazardous material used in his/her work area. All new products purchased are to be used only when an MSDS is in the work area. Each MSDS is to be immediately entered into the binder. Any hazardous material moved from one work area to another must have its MSDS accompany it and be placed in the binder.

Once a product is consumed or disposed of and is never to be reordered, the MSDS is to be removed. A manufacturer is required by law to send an MSDS only once; so if a product is to be reordered, do not remove the MSDS. If the formulation of a product is changed, an updated MSDS will be sent by the manufacturer with the next order. The new MSDS should then replace the outdated one (assuming that the older material has been expended).

In addition to the manufacturer's information, there are two other pieces of information required on each MSDS:

1. NUWCDIVNPT MSDS Number. This is a unique number identifying each hazardous material and the information is placed on the top of each MSDS by the Safety Division.

2. Hazard Rating Numbers. These numbers are assigned by the Safety Division indicating the Health, Fire, Reactivity, and Specific (HFRS) hazards associated with each product and placed at the top of each MSDS.

DEPARTMENT OF THE NAVY
NAVAL UNDERSEA WARFARE CENTER DIVISION
1176 HOWELL STREET
NEWPORT RI 02841-1708

IN REPLY REFER TO:

551:TC:kc
Ser 4551/92
4 Nov 04

MEMORANDUM

From: 55

Subj: AMENDED GUIDANCE FOR REGULATED PROCESS RECORD KEEPING

Ref: (a) NUWCDIVNPT memo Ser 8551/470 of 4 Dec 98
(b) NUWCDIVNPTINST 5090.3D
(c) 29 CFR Part 1910
(d) Executive Order 13148
(e) 40 CFR Part 370 and 372
(f) RIAPCR Part 14

Encl: (1) Amended Guidance for Regulated Process Record Keeping

1. Enclosure (1) guidance supersedes that provided by reference (a).

2. Maintaining accurate hazardous material inventory and use records is required by Federal, State, and Navy policy and regulations as specified in references (b) through (f). These require facilities to maintain accurate documentation for reporting hazardous material use and verifying that personnel exposure limits in the workplace are not exceeded.

3. Record keeping for most hazardous material usage is recorded in the month that a container is considered empty or is to be disposed of as waste. Guidance for these changes and the exceptions that will still require special use recording are provided in enclosure (1).

4. If you have any questions or require additional information, please contact Mr. Richard Machado at (401) 832-5870 or Mr. Thomas Cook at (401) 832-5853.

D. J. BURKE

Distribution:
List 3
Environmental POCs

Copy to:
00
55
551
551 (Cook, Machado)

AMENDED GUIDANCE FOR REGULATED PROCESS RECORD KEEPING

1. Records for the use of volatile organic compounds and other hazardous materials will be kept as follows (except for Special Cases in 1.c below):

a. The use of a hazardous material shall be recorded on the day that a container of the material is either considered empty or no longer usable. An entry should be made in the usage report indicating the date on which the contents of the container were used up and the size of the container (e.g., a 1-gallon bottle or a 12-ounce spray can).

b. The hazardous material report shall contain the month and year of usage, user's code, Material Safety Data Sheet (MSDS) number, trade name of the material, container size, and number of containers emptied in that month. Use will reflect the associated barcode.

c. SPECIAL CASES: The following will require special recording of use:

(1) An estimate of monthly fuel used shall be recorded for any fuel burning equipment (other than motor vehicles or boats) used or repaired.

(2) The number of gallons of Otto fuel used must be recorded on the day it is used.

(3) All paint and solvent use in the Code 70 paint booth will be reported on a monthly basis.

d. EXCEPTIONS: The use of the following items does not need to be reported:

(1) White-out
(2) Ink pens and pencils
(3) Household cleaners
(4) Raw metal (with the exception of lead)
(5) Tool parts (e.g., special drill and router bits)
(6) Minor machining of metals and composites (with the exception of lead or lead alloys and compounds)
(7) Powder toner and cartridges (for copiers and printers).

2. Fuel burning equipment usage reports are to be submitted by the 10th of the month for the previous month's usage to the Air Program Manager, Code 551.

3. Code 551 will download usage data from the HAZMATRACK server.

CHEMICAL HYGIENE PLAN OUTLINE

The Chemical Hygiene Plan (CHP) shall include the following:

1. The criteria used to identify and control hazards (e.g., engineering controls, personal protective equipment, and hygiene practices). Particular attention shall be given to the selection of control measures for chemicals that are known to be particularly hazardous.

2. Standard operating procedures (SOPs) detailing handling procedures, segregation of stored chemicals, spill procedures, safety precautions, housekeeping, emergency evacuation, reporting emergencies, and disposal.

3. Training documentation attesting to the employees' awareness of the chemical hazards ability to recognize the hazards, and appropriate protection from the hazards.

4. Equipment maintenance program to ensure that all safety equipment is operating properly. This would include the specific measures that shall be taken to ensure proper and adequate performance of equipment such as calibration, ventilation measurements, and any maintenance of equipment. Identification of each item of equipment should include:

   a. Personal protective equipment such as safety glasses, goggles, and gloves.

   b. Mechanical equipment such as fume hoods.

   c. Equipment used for emergencies such as eyewashes, showers, and fire extinguishers.

5. Special precautions that must be taken when working with reproductive toxins, substances exhibiting a high degree of acute toxicity, or “selected carcinogens.” Consideration shall be included where appropriate for:

   a. Establishment of a designated work area.

   b. Procedures for the safe removal of contaminated waste.

   c. Decontamination procedures.

   d. Use of containment devices such as fume hoods, glove boxes, etc.

6. The record of approvals (signature sheets) that are required prior to the implementation of the plan such as:

   a. Chemical Hygiene Officer approval.

   b. Area supervisor approval.

7. Provisions for medical consultations and exams.

8. Designation of the personnel responsible for implementation of the CHP.