CORRECTED FINAL (100%) DESIGN

TECHNICAL SPECIFICATIONS

Philpott Dam Stability Analysis and Anchors Design
Henry and Franklin Counties, Virginia

CONTRACT NO. W912PM-20-D-003
TASK ORDER NO. W912PM-23-F0008

Prepared for

US Army Corps of Engineers – Wilmington District

6 NOVEMBER 2025

US Army Corps of Engineers®

Atriax ZAPATA

BLACK & VEATCH

NOT FOR CONSTRUCTION

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SECTION 01 11 00

SUMMARY OF WORK
02/24

PART 1 GENERAL

1.1 WORK COVERED BY CONTRACT DOCUMENTS

1.1.1 Project Description

The work includes installation of spillway anchors and automated anchor load sensors. Also included are installation of inclinometers in monoliths 9 and 13 and automation of crack gauges and piezometers, and incidental related work.

1.1.2 Location

The work is located at the Philpott Lake Dam in Henry and Franklin Counties, Virginia which is approximately 7 miles north of Bassett, Virginia, as indicated on the drawings.

1.2 OCCUPANCY OF PREMISES

Dam will remain operational during performance of work under this Contract.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.3 EXISTING WORK

Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, affected existing work must be in a condition equal to or better than that which existed before new work started.

1.4 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with installation requirements for locating and marking underground utilities. Contact local utility locating service a minimum of 48 hours prior to excavating, to mark utilities, and within sufficient time required if work occurs on a Monday or after a holiday. Verify existing utility locations indicated on contract drawings, within area of work.

Identify and mark all other utilities not managed and located by the local utility companies. Scan the construction site with Ground Penetrating Radar (GPR), electromagnetic, or sonic equipment, and mark the surface of the ground or paved surface where existing underground utilities or utilities encased in dam structures are discovered. Verify the elevations of existing piping, utilities,and any type of underground or encased obstruction not indicated, or specified to be removed, that is indicated or discovered during scanning, in locations to be traversed by piping,

ducts, and other work to be conducted or installed. Verify elevations before installing new work closer than nearest manhole or other structure at which an adjustment in grade can be made.

1.4.1 Notification Prior to Excavation

Notify the Contracting Officer at least 48 hours prior to starting excavation work.

1.5 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at a location designated by the Government.

Maintain a list of material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Provide a system for property control in the salvage plan. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

1.6 LAKE OPERATION INFORMATION

Philpott Lake operation information is discussed in the Water Control Plan for Philpott Lake dated June 1992 (minor editorial changes March 2004):

https://epec.saw.usace.army.mil>PhilpottWCP.pdf

In summary, Philpott Dam is operated to maintain the lake level at, or near, the guide curve elevation. The guide curve is at elevation 970.8 feet NAVD88 from October through January and at elevation 972.8 feet NAVD88 from April through July. The guide curve varies linearly between the two limits from February through March and from August through September.

The latest information including Project Description, Pertinent Data, Daily Operating Log Files, Daily Reservoir Reports, Projected Lake Levels during Flood Events, Recent Elevation and Flow Information, and Historic Lake Elevation, Inflow and Outflow data are provided on the following website:

https://epec.saw.usace.army.mil/roanphil.htm

The hydrograph of the daily mean lake elevation data is provided on Attachment A.

The Philpott Pool Elevation Duration Curve is provided on Attachment B.

The equipment in the lake may need to be removed if the lake is forecast to rise significantly with an uncontrolled release from the spillway.

The Contractor will need to establish the maximum working lake level for equipment and the necessary lead time to receive notice to evacuate equipment.

The Contractor shall submit the above information in the Construction Site Plan (01 50 00 TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS, paragraph CONSTRUCTION SITE PLAN)

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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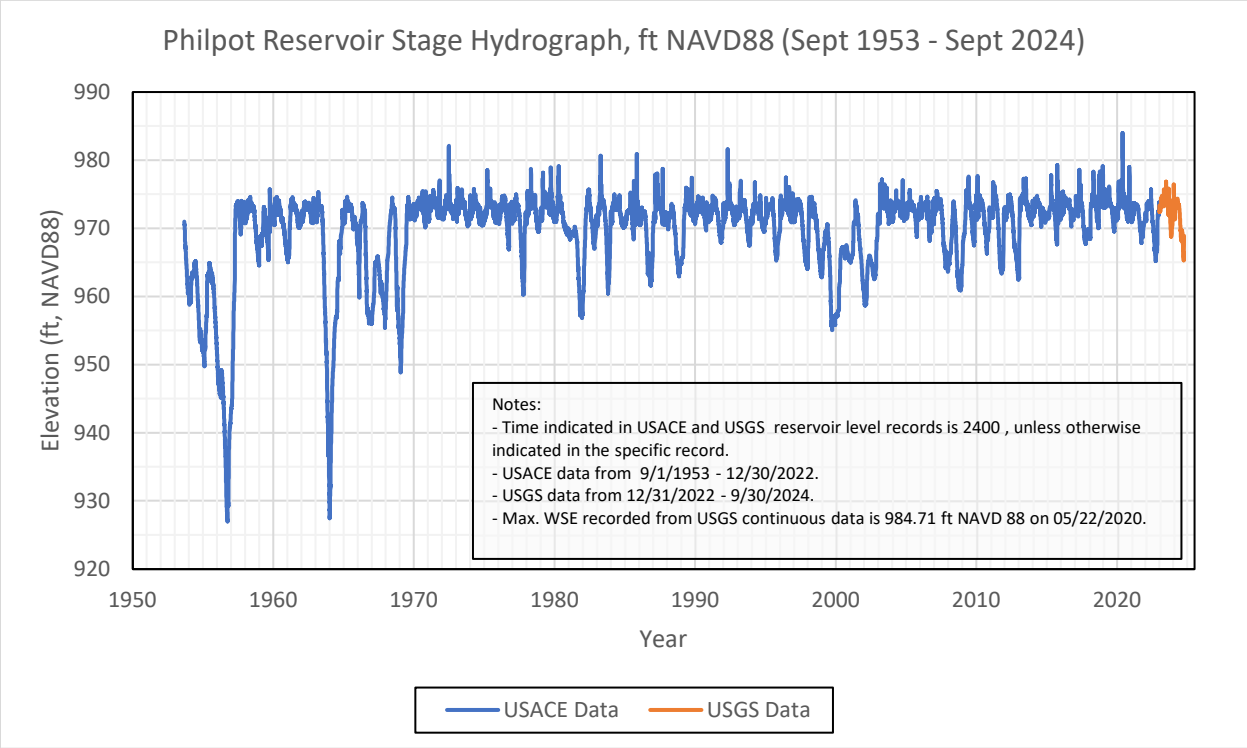
ATTACHMENT A – PHILPOTT LAKE HYDROGRAGH

Reservoir Level Hydrograph



USACE Gage Data Website:

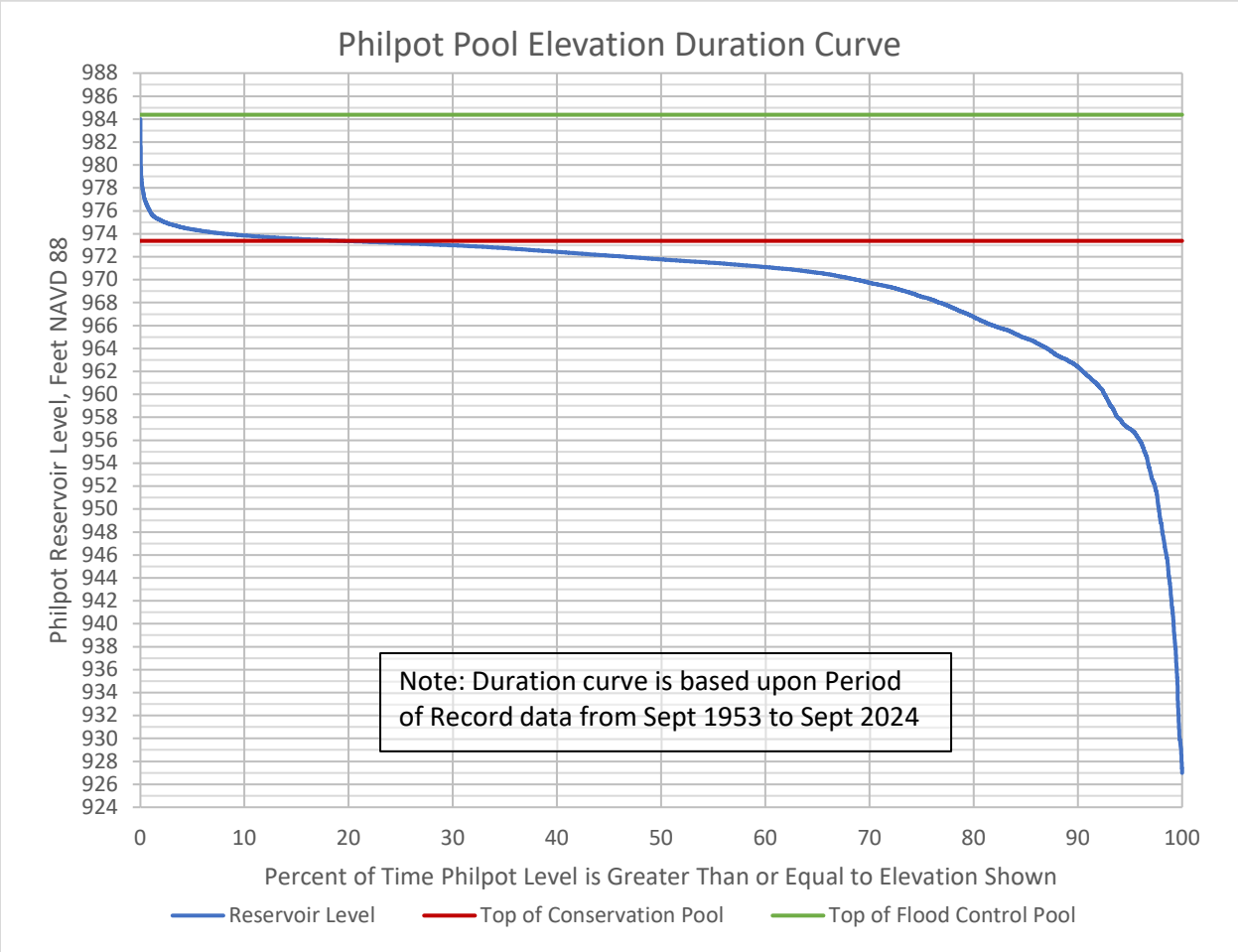
https://epec.saw.usace.army.mil/roanphil.htm

USGS Gage Data Website:

https://waterdata.usgs.gov/nwis/inventory?agency_code=USGS&site_no=02071900

ATTACHMENT B – PHILPOTT POOL ELEVATION DURATION CURVE

Updated Figure 4.4 with New Reservoir Level Data



SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES
11/20, CHG 4: 08/24

PART 1 GENERAL

1.1 BID SCHEDULE

1.1.1 Schedule

Item No.	Description	Est. Quantity	Unit	Unit Price	Unit Price Amount
0001	Mobilization	1	Jobs	$	$
0002	Inclinometer Core Drilling and Casing Installation	382	LF	$	$
0003	Inclinometer Installation	56	EA	$	$
0004	Vibrating Wire Piezometer	13	EA	$	$
0005	Pneumatic Piezometer Conversion	4	EA	$	$
0006	Automated Crack Meter Installation	22	EA	$	$
0007	Anchor Vibrating Wire Load Cell Installation	6	EA	$	$
0008	Anchor Electromagnetic Sensor Installation	6	EA	$	$
0009	Electrical Panelboard Installation	2	EA	$	$
0010	RMU Installation	1	EA	$	$
0011	RIO Cabinet Installation	3	EA	$	$
0012	Drilling in Concrete for Spillway Anchors	816	LF	$	$
0013	Spillway Anchors	786	LF	$	$
0014	Performance Test	12	EA	$	$
0015	Water Tightness Test	12	EA	$	$

Item No.	Description	Est. Quantity	Unit	Unit Price	Unit Price Amount
0016	Regrout for Anchors		Bags Cement	$	$
0017	Redrill Grout Holes		LF	$	$
0018	Demobilization	1	Job	$	$

1.2 CONTRACT COST BREAKDOWN

The Contractor must furnish within 30 days after the date of Notice to Proceed, and prior to the submission of its first partial payment estimate, a breakdown of its single job pay item or items which will be reviewed by the Contracting Officer as to propriety of distribution of the total cost to the various accounts. Any unbalanced items as between early and late payment items or other discrepancies will be revised by the Contracting Officer to agree with a reasonable cost of the work included in the various items. This Contract cost breakdown will then be utilized as the basis for progress payments to the Contractor.

1.3 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.3.1 Submission of Invoices

If DFARS Clause 252.232-7006 Wide Area WorkFlow Payment Instructions is included in the Contract, provide the documents listed in above paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

1.3.2 Final Invoice

a. A final invoice must be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release must contain the corporate seal. An officer of the corporation must sign and the corporate secretary must certify the Final Release.

b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once

receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.

c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

1.4 SINGLE JOB PAYMENT ITEMS

Payment items for the work of this Contract for which Contract job payments will be made are listed in the BIDDING SCHEDULE and described below. All costs for items of work, which are not specifically mentioned to be included in a particular job or unit price payment item, are included in the listed job item most closely associated with the work involved. The job price and payment made for each item listed constitutes full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor quality control, environmental protection, meeting safety requirements, tests and reports, and for performing all work required for which separate payment is not otherwise provided.

1.4.1 Mobilization and Demobilization

1.4.1.1 Payment

Payment will be made for costs associated with mobilization and demobilization, as defined in Special Contract Clause PAYMENT FOR MOBILIZATION AND DEMOBILIZATION.

1.4.1.2 Unit of Measure

Unit of measure: job.

1.5 UNIT PRICE PAYMENT ITEMS

Payment items for the work of this Contract on which the Contract unit price payments will be made are listed in the BIDDING SCHEDULE and described below. The unit price and payment made for each item listed constitutes full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor quality control, environmental protection, meeting safety requirements, tests and reports, and for performing all work required for each of the unit price items.

1.6 UNIT PRICES

1.6.1 Inclinometer Core Drilling and Casing

1.6.1.1 Payment

Payment will be made for costs associated with inclinometer core drilling, casing, and grouting of casing in the borehole.

1.6.1.2 Measurement

Inclinometer Core Drilling and Casing will be measured for payment to the nearest foot based upon the linear foot of depths drilled and installation

of inclinometer casings including grouting.

1.6.1.3 Unit of Measure

Linear foot.

1.6.2 Inclinometer Installation

1.6.2.1 Payment

Payment will be made for costs associated with the furnishing and installing automated in-place inclinometer sensors within the inclinometer casings and routing all conduits, troughs, and cables to the RMU.

1.6.2.2 Measurement

Inclinometer installation will be measured based upon and number of inclinometer sensors installed. No payment will be made for inclinometers which do not meet the acceptance criteria.

1.6.2.3 Unit of Measure

Each.

1.6.3 Vibrating Wire Piezometer Installation

1.6.3.1 Payment

Payment will be made for costs associated with preparing existing piezometer casings for automation by installing vibrating wire transducers within the existing casings to the bottom of the hole and routing all conduits, troughs, and cables to the RMU or associated RIO Cabinet, whichever applies.

1.6.3.2 Measurement

Wire installation will be measured based upon the number of vibrating wire transducers installed in the existing piezometer casings. No payment will be made for vibrating wire transducers which do not meet the acceptance criteria.

1.6.3.3 Unit of Measure

Each.

1.6.4 Pneumatic Piezometer Conversion

1.6.4.1 Payment

Payment will be made for costs associated with furnishing and installing the pressure converter transducer to convert the existing pneumatic piezometers to automated data collection and routing all conduits, troughs, and cables to the South Gallery RIO Cabinet.

1.6.4.2 Measurement

Pneumatic Piezometer conversion will be measured based upon the number of pressure converter transducers installed. No payment will be made for Pneumatic Piezometer Conversion which do not meet the acceptance criteria.

1.6.4.3 Unit of Measure

Each.

1.6.5 Automated Crack Meter Installation

1.6.5.1 Payment

Payment will be made for costs associated with coordinating final locations, furnishing and installing new crack meters and routing all conduit, troughs, and cables to the RMU or associated RIO Cabinet, whichever applies.

1.6.5.2 Measurement

Automated crack meter installation will be measured based upon the number of crack meters installed. No payment will be made for the automated crack meter installations which do not meet the acceptance criteria.

1.6.5.3 Unit of Measure

Each.

1.6.6 Anchor Vibrating Wire Load Cell Installation

1.6.6.1 Payment

Payment will be made for costs associated with furnishing and installing vibrating wire load cells on the anchors and routing all conduits, troughs, and cables to the RMU.

1.6.6.2 Measurement

Anchor Vibrating Wire Load Cell Installation will be measured based upon the number of vibrating wire load cells installed. No payment will be made for the Vibrating Wire Load Cell Installations which do not meet the acceptance criteria.

1.6.6.3 Unit of Measure

Each.

1.6.7 Anchor Electromagnetic Sensor Installation

1.6.7.1 Payment

Payment will be made for costs associated with furnishing and installing electromagnetic load sensors on the anchors and routing all cables to the RMU.

1.6.7.2 Measurement

Anchor Electromagnetic Sensor Installation will be measured based upon the number of electromagnetic load sensors installed. No payment will be made for the Anchor Electromagnetic Sensor Installations which do not meet the acceptance criteria.

1.6.7.3 Unit of Measure

Each.

1.6.8 Electrical Panelboard Installation

1.6.8.1 Payment

Payment will be made for costs associated with furnishing and installing new electrical panelboards to replace existing panelboards in existing locations and routing all existing circuits/conduit to the new panelboards. Payment will be made for costs associated with furnishing and installing circuits/conduit to the RIO Cabinets and RMU.

1.6.8.2 Measurement

Electrical Panelboard Installation will be measured based upon the number of electrical panelboards installed. No payment will be made for the Electrical Panelboard Installation which do not meet the acceptance criteria.

1.6.8.3 Unit of Measure

Each.

1.6.9 RMU Installation

1.6.9.1 Payment

Payment will be made for costs associated with furnishing and installing the Remote Monitoring Unit (RMU) cabinet and associated radio and antenna equipment; terminating all new instrumentation and communications circuits at the RMU cabinet; programming the RMU datalogger; and establishing communications with the three Remote I/O (RIO) cabinets and the web-based data interface.

1.6.9.2 Measurement

RMU Installation will be deemed complete when all instrumentation data (local and RIO-based) are successfully polled by the datalogger and are demonstrated to be available in real-time using the web interface. No payment will be made for the RMU installation which does not meet the acceptance criteria.

1.6.9.3 Unit of Measure

Each.

1.6.10 RIO Cabinet Installation

1.6.10.1 Payment

Payment will be made for costs associated with furnishing and installing new Remote Input/Output (RIO) cabinets and associated radio and antenna equipment (where applicable); terminating all new instrumentation and communications circuits at the RIO cabinet; programming and configuring the RIO; and establishing communications and successful data transfer with the RMU. Payment will be made for costs associated with furnishing and installing communications circuits and conduits between each RIO Cabinets

and RMU.

1.6.10.2 Measurement

RIO Cabinet Installation will be measured based upon the number of RIO cabinets installed. No payment will be made for the RIO Cabinet Installation which does not meet the acceptance criteria.

1.6.10.3 Unit of Measure

Each.

1.6.11 Drilling in Concrete for Spillway Anchors

1.6.11.1 Payment

Payment will be made for costs associated with drilling in concrete for spillway anchors.

1.6.11.2 Measurement

Drilling in concrete will be measured for payment to the nearest foot, based upon the linear feet of hole actually drilled.

1.6.11.3 Unit of Measure

Linear foot.

1.6.12 Spillway Anchors

1.6.12.1 Payment

Payment will be made for costs associated with furnishing and installing Anchors. No payment will be made for anchors which do not meet the acceptance criteria.

1.6.12.2 Measurement

Anchors will be measured for payment to the nearest foot, based upon the linear feet of anchor actually installed below the bearing plate in accordance with the specifications.

1.6.12.3 Unit of Measure

Linear foot.

1.6.13 Performance Tests for Spillway Anchors

1.6.13.1 Payment

Payment will be made for costs associated with performing Performance Tests on anchors which are accepted.

1.6.13.2 Measurement

Performance Tests will be measured based upon the number of tests performed.

1.6.13.3 Unit of Measure

Each.

1.6.14 Watertightness Testing for Spillway Anchors

1.6.14.1 Payment

Payment will be made for costs associated with Watertightness Testing.

1.6.14.2 Measurement

Watertightness Testing will be measured for payment based upon the number of watertightness tests actually performed at the direction of the Contracting Officer and in accordance with the specifications or as otherwise required.

1.6.14.3 Unit of Measure

Each.

1.6.15 Regrouting Holes for Anchors

1.6.15.1 Payment

Payment will be made for costs associated with Regrouting Holes which fail watertightness testing.

1.6.15.2 Measurement

Regrouting Holes will be measured for payment based upon the number of 94-pound bags of cement grout or neat cement grout that were actually injected into the anchor hole as specified.

1.6.15.3 Unit of Measure

Bags of cement (94 lbs).

1.6.16 Redrill Grout Holes for Anchors

1.6.16.1 Payment

Payment will be made for costs associated with Redrill Grout Holes.

1.6.16.2 Measurement

Redrill Grout Holes will be measured for payment to the nearest foot, based upon the linear feet of hole actually drilled in grout in accordance with the specifications.

1.6.16.3 Unit of Measure

Linear foot.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

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SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
11/20, CHG 4: 08/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

15 CFR 772	Definition of Terms
15 CFR 773	Special Licensing Procedures

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map

Progress and Completion Pictures

SD-04 Samples

Color Boards; G

1.3 COLOR BOARDS

Submit five sets of color boards within 90 calendar days after Contract Award. Each set of boards must include samples of colors and finishes of interior surfaces, such as walls, floors, and ceilings. Present the samples on 8 by 10-1/2 inches boards (modules) with a maximum spread of 24 by 31-1/2 inches for foldouts. Design modules to fit in a standard loose-leaf, three-ring binder. Where special finishes such as architectural concrete, carpet, or prefinished textured metal panels are required, submit samples not less than 12 inches square with the board. If more space is needed, more than one board per set may be submitted. Certify that the color samples have been reviewed in detail, and that the color samples are in strict accordance with contract drawings and specifications, except as may be otherwise explicitly stated. Submittal of color samples does not relieve the Contractor of the responsibility to submit samples required elsewhere herein.

1.4 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.5 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

1.6 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by State law.

1.7 SUPERVISION

1.7.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

For projects where the superintendent is permitted to also serve as the Quality Control (QC) Manager as established in Section01 45 00 QUALITY CONTROL, the superintendent must have qualifications in accordance with that section.

The project manager must have a minimum of a bachelor’s degree from an Accreditation Board for Engineering and Technology (ABET) accredited engineering program at a college or university, Project Management Professional certification, and at least 10 years of experience as a Project Manager of projects similar in size and complexity.

1.7.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

1.7.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.7.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

1.8 PRECONSTRUCTION CONFERENCE

Immediately after award, prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction Conference. The conference must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this conference is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, quality control, schedule of prices or earned value report, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

1.8.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), Quality Control Manager and major subcontractors.

1.9 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled NAVFAC Red Zone Meetings beginning at approximately 75 percent of project

completion. Include the following in the facility Turnover effort:

1.9.1 Red Zone Checklist

a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.

b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

1.9.2 Meetings

a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.

b. The Contracting Officer will establish the frequency of the meetings, which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.

c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.

d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.

e. Maintain the Red Zone Checklist by documenting the actual completion dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

1.10 EXPORT LICENSES FOR OVERSEAS PROJECTS

Obtain individual export licenses and project export licenses required by the Department of Commerce regulations (15 CFR 772 and 15 CFR 773) so that no delays are experienced in shipping from the United States of America to a foreign country. For additional information, the Contractor may contact one of the U.S. and Foreign Commercial Service District Offices of the Department of Commerce which are located in almost every State.

1.11 WAIVER FOR WORKER'S COMPENSATION

In addition to FAR 52.228-4 Workers' Compensation and War-Hazard Insurance Overseas, the Secretary of Labor has granted a waiver. The waiver does not apply to employees who are hired in the United States, or who are residents, or citizens of the United States.

1.12 PARTNERING

Host the partnering session within 45 calendar days of contract award. To most effectively accomplish this Contract, the Contractor and Government must form a cohesive partnership with the common goal of drawing on the

strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget and on schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, USACE, Contractor, key subcontractors and the Designer of Record are required to participate in the Partnering process.

1.12.1 Facilitated (Formal) Partnering

a. Within 35 calendar days after award and prior to the start of work, host a Formal Partnering session with key personnel from the project team including both Contractor and Government personnel. All costs associated with the Partnering session including the third-party independent Facilitator Consultant, meeting room and other incidental items are the responsibility of the Contractor.

b. Before the Facilitated (Formal) Partnering session, coordinate with the Facilitator all requirements for incidental items (such as audio-visual equipment, easels, flipchart paper, colored markers, note pads, pens/pencils, colored flash cards) and have these items available at the Partnering session. Provide copies of any documents required for distribution to all attendees. Participants will bear their own costs for meals, lodging and transportation associated with Partnering.

c. The Initial Partnering Session must be a duration of one day and be held at a location off base as agreed to by the Contracting Officer. Partnering session may take place concurrently with the Pre-Construction Meeting.

d. Facilitator must be experienced in conducting corporate Partnering sessions and must be a third-party independent facilitating consultant - not an employee of the Contractor. The Facilitator is responsible for leading all aspects of the Partnering session necessary to achieve the Partnering goal.

e. An outcome of the Partnering session must be an escalation matrix agreed upon by both the Government and Contractor, which identifies key Government and Contractor decision makers by name and anticipated decision durations.

f. Host follow-on Partnering Sessions at three- to six-month intervals or more frequently if needed and lasting generally a half day or less. Attendees need only be those required to resolve current issues. The same Facilitator used in the Initial Partnering session must lead the follow-on sessions unless an alternative is permitted by the Contractor Officer. All costs associated with follow-on Partnering sessions are the responsibility of the Contractor.

1.12.2 Team-Led (Informal) Partnering

a. The Contracting Officer will coordinate the initial Team-Led (Informal) Partnering Session with key personnel of the project team, including Contractor and Government personnel. The Partnering Session will be co-led by the Government Construction Manager and Contractor's Project Manager.

b. The Initial Team-led Partnering session may be held concurrently with

the Pre-Construction meeting. Partnering sessions will be held at a location mutually agreed to by the Contracting Officer and the Contractor, typically at a conference room on-base or at the Contractor's temporary trailer.

c. The Initial Team-Led Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by Contracting Officer.

d. The Partners will determine the frequency of the follow-on sessions.

e. Participants will bear their own costs for meals, lodging and transportation associated with Partnering.

1.13 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days after contract award. Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 32 01.00 10

PROJECT SCHEDULE
08/23, CHG 1: 08/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AACE INTERNATIONAL (AACE)

AACE 29R-03	(2011) Forensic Schedule Analysis
AACE 52R-06	(2006) Time Impact Analysis - As Applied in Construction
AACE 84R-13	(2015) Planning and Accounting for Adverse Weather

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 67-17	(2017) Schedule Delay Analysis

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11	(2017) Administration -- Project Schedules

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G

Preliminary Project Schedule; G

Initial Project Schedule; G

Periodic Schedule Update; G

1.3 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The Designated Project Scheduler must have prepared and maintained at least three previous construction schedules for projects of similar size and complexity to this contract, using Primavera P6.. Representative must have a comprehensive knowledge of CPM scheduling principles and application.

PART 2 PRODUCTS

2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules required herein must be capable of meeting all requirements of this specification.

2.1.1 Government Default Software

The Government uses Primavera P6. Ensure exported schedule files are compatible with the version of P6 used by the Government.

2.1.2 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available. The software routine used to create the required sdef file must be created and supported by the software manufacturer.

2.1.2.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file in a version of P6 importable by the Government system. Verify at the SEKO meeting which version of P6 is in use by the Government. Export the schedule in a version of P6 no newer than that used by the Government.

2.1.2.2 Other Than Primavera

If the Contractor chooses software other than Primavera P6, that is compliant with this specification, provide for the Government's use two licenses, two computers, and training for two Government employees in the use of the software. These computers will be stand-alone and not connected to Government network. Computers and licenses will be returned at project completion.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Subcontractors, and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules.

3.1.1 Scheduling Expectations Kickoff Meeting(SEKO)

In conjunction with the post award conference or pre construction conference the Government and the Contractor will hold a separate and distinct Scheduling Expectations Kickoff Meeting(SEKO). The intent of this meeting is for the Government to review with the contractor the

requirements of the scheduling specification, communicate the Government's expectations regarding schedule development and management, and answer any questions the Contractor may have regarding the schedule requirements.

3.2 BASIS FOR PAYMENT AND COST LOADING

The schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN. Match the progress payment "Pay Period Thru" date in RMS to the schedule data date.

3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Activities with a negative cost loading is not allowed. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

3.2.2 Cost Loading of Submittals

No costs are to be assigned to activities for the preparation, review, or approval of submittals, except as described under paragraph COST LOADING OF CLOSEOUT ACTIVITIES, paragraph AS-BUILT DRAWINGS, and paragraph O&M MANUALS.

3.2.3 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the preliminary, initial, or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, the Contracting Officer may withhold 10 percent of pay request amount from each payment period until such revisions to the project schedule have been made.

3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Non-procurement activities Original Durations (OD) are not to exceed 20 workdays or 30 calendar days.

3.3.3 Procurement Activities

Include in the schedule activities associated with the critical submittals

and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

3.3.4 Mandatory Activities

Include the following activities in the initial project schedule and all updates.

a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).

b. Submission, review and acceptance of features requiring design completion

c. Submission of mechanical/electrical/information systems layout drawings.

d. Submission and approval of O & M manuals.

e. Submission and approval of as-built drawings.

f. Submission and approval of DD1354 data and installed equipment lists.

g. Submission and approval of Dam Commissioning Plan, Controls testing plan, test data, and reports: Develop the schedule logic associated with testing and commissioning ofinstrumentation to a level of detail consistent with the contract commissioning requirements. All tasks associated with testing and commissioning will be completed prior to submission of commissioning report and subsequent contract completion.

   These activities may include but are not limited to: commissioning -functional performance testing, and performance verification testing. Include other systems testing, if required. Include additional commissioning requirements noted elsewhere in the Contract as required in the schedule and discuss during the SEKO meeting, noted in paragraph SCHEDULING EXPECTATIONS KICKOFF MEETING (SEKO).

h. Contractor's pre-final inspection.

i. Correction of punch list from Contractor's pre-final inspection.

j. Government's pre-final inspection.

k. Correction of punch list from Government's pre-final inspection.

l. Final inspection.

3.3.5 Government Activities

Show in schedule, Government and other agency activities that could impact progress. These activities include, but are not limited to approvals, environmental permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

3.3.6 Standard Activity Coding Dictionary

Use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11. This exact structure is mandatory. Develop and assign all Activity Codes to activities as detailed herein.

The SDEF format is as follows:

Field	Activity Code	Length	Description
1	WRKP	3	Workers per day
2	RESP	4	Responsible party
3	AREA	4	Area of work
4	MODF	6	Modification Number
5	BIDI	6	Bid Item (CLIN)
6	PHAS	2	Phase of work
7	CATW	1	Category of work
8	FOW	20	Feature of work*

*Some systems require that FEATURE OF WORK values be placed in several activity code fields. The notation shown is for Primavera P6. Refer to the specific software guidelines with respect to the FEATURE OF WORK field requirements.

3.3.6.1 Workers Per Day (WRKP)

Assign Workers per Day for all field construction or direct work activities, if directed by the Contracting Officer. Workers per day is based on the average number of workers expected each day to perform a task for the duration of that activity.

3.3.6.2 Responsible Party Coding (RESP)

Assign responsibility code for all activities to the Prime Contractor, Subcontractor(s) or Government agency(ies) responsible for performing the activity.

a. Activities coded with a Government Responsibility code include, but are not limited to: Government approvals, Government design reviews, environmental permit approvals by State regulators, Government Furnished Property/Equipment (GFP) and Notice to Proceed (NTP) for phasing requirements.

b. Activities cannot have more than one Responsibility Code. Examples of acceptable activity code values are: DOR (for the designer of record); ELEC (for the electrical subcontractor); MECH (for the mechanical subcontractor); and GOVT (for USACE).

3.3.6.3 Area of Work Coding (AREA)

Assign Work Area code to activities based upon the work area in which the activity occurs. Define work areas based on resource constraints or space constraints that would preclude a resource, such as a particular trade or craft work crew from working in more than one work area at a time due to restraints on resources or space. Examples of Work Area Coding include different areas within a floor of a building, different floors within a building, and different buildings within a complex of buildings. Activities cannot have more than one Work Area Code.

3.3.6.4 Modification Number (MODF)

Assign a Modification Number Code to any activity or sequence of activities added to the schedule as a result of a Contract Modification, when approved by Contracting Officer. Key all Code values to the Government's modification numbering system. An activity can have only one Modification Number Code.

3.3.6.5 Bid Item Coding (BIDI)

Assign a Bid Item Code to all activities using the Contract Line Item Number (CLIN) to which the activity belongs, even when an activity is not cost loaded. An activity can have only one BIDI Code.

3.3.6.6 Phase of Work Coding (PHAS)

Assign Phase of Work Code to all activities. Examples of phase of work are procurement phase and construction phase. Each activity can have only one Phase of Work code.

a. Code proposed fast track design and construction phases proposed to allow filtering and organizing the schedule by fast track design and construction packages.

b. If the contract specifies phasing with separately defined performance periods, identify a Phase Code to allow filtering and organizing the schedule accordingly.

3.3.6.7 Category of Work Coding (CATW)

Assign a Category of Work Code to all activities. Category of Work Codes include, but are not limited to construction submittal, procurement, fabrication, weather sensitive installation, non-weather sensitive installation, start-up, and testing activities. Each activity can have no more than one Category of Work Code.

3.3.6.8 Feature of Work Coding (FOW)

Assign a Feature of Work Code to appropriate activities based on the Definable Feature of Work to which the activity belongs based on the approved QC plan.

Definable Feature of Work is defined in Section 01 45 00 QUALITY CONTROL. An activity can have only one Feature of Work Code.

3.3.7 Contract Milestones and Constraints

Milestone activities are to be used for significant project events

including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or affect network logic are prohibited. No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for approval on a case by case basis.

3.3.7.1 Project Start Date Milestone and Constraint

The first activity in the project schedule must be a start milestone titled "NTP Acknowledged," which must have a "Start On" constraint date equal to the date that the NTP is acknowledged.

3.3.7.2 End Project Finish Milestone and Constraint

The last activity in the schedule must be a finish milestone titled "End Project."

Constrain the project schedule to the Contract Completion Date in such a way that if the schedule calculates an early finish, then the float calculation for "End Project" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "End Project" milestone float calculation reflects negative float on the longest path.

3.3.7.3 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

3.3.7.3.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

3.3.7.3.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

3.3.8 Adverse Weather

Ensure anticipated adverse weather is accounted for in the Project Schedule. AACE 84R-13 provides methodologies for techniques in planning for adverse weather. The preferred methodology is the use of a weather calendar. If a method other than a weather calendar is proposed, discuss the reason during the SEKO meeting.

3.3.9 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc. Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and

holidays. Develop Seasonal Calendar(s) and assign to seasonally affected activities as applicable.

If a weather calendar is used its implementation and execution is to be in accordance with AACE 84R-13

3.3.10 Open Ended Logic

Only two open ended activities are allowed: the first activity "NTP Acknowledged" is to have no predecessor logic, and the last activity -"End Project" is to have no successor logic. Except as noted above, each activity must have at least a start-to-start or finish-to-start relationship with its predecessor and a finish-to-finish or finish-to-start relationship with its successor.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

3.3.11 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

3.3.12 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

3.3.13 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

3.3.14 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

3.3.15 Leads, Lags, and Start to Finish Relationships

Lags must be reasonable as determined by the Government and not used in place of realistic original durations, must not be in place to artificially absorb or create float, or to replace proper schedule logic.

a. Leads (negative lags) are prohibited.

b. Start to Finish (SF) relationships are prohibited.

3.3.16 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

3.3.17 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management. Budgeted cost of activity is to be reduced by the amount needed to correct deficiency. The activity referenced in paragraph COST LOADING of CLOSEOUT ACTIVITIES must have its budgeted cost increased by this amount.

3.3.18 Remaining Duration

Update the remaining duration for each activity based on the number of estimated workdays it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete. The remaining duration for unstarted activities are not to be less than its orginal duration.

3.3.19 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

3.3.19.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than $35,000 or 1 percent of the present contract value, which ever is greater, up to $200,000. Activity will be declared 100 percent complete upon the Government's approval.

3.3.19.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than $20,000. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

3.3.20 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is an Initial Project Schedule (IPS) that indicates all scope of the required contract work will be completed before

the contractually required completion date.

a. No IPS indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and hours) and the Government agreeing that the schedule is reasonable and achievable.

b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The data reports and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, the Contractor will be deemed not to have provided an estimate upon which a progress payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

3.4.1 Preliminary Project Schedule Submission

Within 15 calendar days after the NTP is acknowledged, submit the Preliminary Project Schedule defining the planned operations detailed for the first 90 calendar days for approval. The approved Preliminary Project Schedule will be used for payment purposes not to exceed 90 calendar days after NTP. Completely cost load the Preliminary Project Schedule to balance the contract award CLINS shown on the Price Schedule. The Preliminary Project Schedule may be summary in nature for the remaining performance period. It must be early start and late finish constrained and logically tied as specified. The Preliminary Project Schedule forms the basis for the Initial Project Schedule specified herein and must include all of the required plan and program preparations, submissions and approvals identified in the contract (for example, Quality Control Plan, Safety Plan, and Environmental Protection Plan) as well as design activities, planned submissions of all early design packages, permitting activities, design review conference activities, and other non-construction activities intended to occur within the first 90 calendar days. Government acceptance of the associated design package(s) and all other specified Program and Plan approvals must occur prior to any planned construction activities. Code activities that are summary in nature, after the first 90 calendar days, with the following activity codes: Bid Item (CLIN) code (BIDI), Responsibility Code (RESP), and Feature of Work code (FOW)..

3.4.2 Initial Project Schedule Submission

Submit the Initial Project Schedule for approval within 42 calendar days after notice to proceed is issued. The schedule must demonstrate a reasonable and realistic sequence of activities which represent all work through the entire contract performance period. No payment will be made for work items not fully detailed in the Project Schedule.

3.4.3 Periodic Schedule Updates

Update the Project Schedule on a regular basis, monthly at a minimum.

Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress.

a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete. Updated information is subject to the approval of the Government at the meeting.

b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Preliminary Schedule, Initial Schedule, and every Periodic Schedule Update throughout the life of the project:

3.5.1 Electronic Scheduling Data

Provide electronic scheduling data containing the current project schedule and all previously submitted schedules in the format of the scheduling software (e.g. .xer). Also include the Narrative Report and all required Schedule Reports. The electronic file is to identify the type of schedule (Preliminary, Initial, Update), full contract number, Data Date and schedule file name. Each schedule must have a unique file name and use project specific settings. The file naming convention is to be determined at SEKO meeting.

3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Schedule Narrative Report is a "stand alone" report in PDF or Word format. Title the report "Schedule Narrative Report". Show the Report date, schedule name, and contract number on the first page. Indicate who is responsible for the schedule update and narrative report. Provide a brief description of the Project Scope. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for problems, either current or potential, which are revealed through that analysis. Include the following information at a minimum in the Narrative Report:

a. Identify and discuss the work scheduled to start in the next update period.

b. Describe activities along the critical path in addition to activities on the next float path after the critical path.

c. Describe current and anticipated problem areas, delaying factors and their impact, and an explanation of corrective actions taken or required to be taken. Identify the party responsible for delay, if applicable.

d. Identify and explain why activities, based on their calculated late dates, should have either started or finished during the update period but did not.

e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was

needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.

f. Identify and discuss out-of-sequence work.

g. If the longest path changes from a monthly update to the next, provide an explanation of the factors driving the change.

h. Respond to USACE Schedule Review Comments from the previous update(s).

3.5.3 Schedule Reports

The format, filtering, organizing, and sorting for each schedule report will be as directed by the Contracting Officer. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. Provide 3 set(s) of hardcopy reports. The following lists typical reports that will be requested:

3.5.3.1 Activity Report

List of all activities sorted according to activity number.

3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in ascending order by activity number.

3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

3.5.3.5 Scheduling and Leveling Report

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

3.5.4 Network Diagram

The Network Diagram is required for the Preliminary, Initial and Periodic Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

3.5.4.4 Grouping and Sorting

Group and sort activities as directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.

3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.

3.6 PERIODIC SCHEDULE UPDATE

3.6.1 Periodic Schedule Update Meetings

Conduct periodic schedule update meetings for the purpose of reviewing the proposed Periodic Schedule Update, Narrative Report, Schedule Reports, and progress payment. Conduct meetings at least monthly within five days of the proposed schedule data date. Provide a computer with the scheduling software loaded and a projector which allows all meeting participants to view the proposed schedule during the meeting. The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The Contractor scheduler must attend in person unless virtual attendance is approved by Contracting Officer. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. The meeting will last no longer than 8 hours. Submit schedule file and narrative to the Government a minimum of two workdays in advance of the meeting. The Contractor's Project Manager must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the schedule update meeting, make corrections to the schedule and resubmit,

following the required schedule naming convention. Include only those changes approved by the Government in the schedule review meeting. Only approved schedules may be used to generate invoices for payment.

3.6.2 Update Submission Following Progress Meeting

Submit the complete Periodic Schedule Update of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than 4 workdays after the periodic schedule update meeting.

3.7 WEEKLY PROGRESS MEETINGS

Conduct a weekly meeting with the Government (or as otherwise mutually agreed to) between the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the actual progress of the project as compared to the as planned progress and to review planned activities for the upcoming two weeks. Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At the weekly progress meeting, address the status of RFIs, RFPs and Submittals.

3.8 REQUESTS FOR TIME EXTENSIONS

ASCE 67-17 provides delay analysis guidelines. If there is a conflict between the contract and guidance provided in ASCE 67-17, the contract will govern. Provide justification of delay to the Contracting Officer in accordance with the contract provisions and clauses for approval, within 10 days of a delay occuring. Also prepare a time impact analysis for each Government request for proposal (RFP).

3.8.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a time impact analysis that demonstrates the effects of the delay or impact on the project completion date, or interim completion date(s). Evaluate multiple impacts chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

3.8.2 Changes That Do Not Cause Delay

If it is determined that a change does not constitute a schedule time extension, a fragnet as described in paragraph FRAGMENTARY NETWORK, is to be created and inserted into the Project schedule.

3.8.3 Time Impact Analysis (Prospective Analysis)

Submit requests for time extensions based on a prospective analysis if the work involved or the impact identified has not already occurred. Where the impact is ongoing a prospective analysis may be considered. However, the Government reserves the right to require a retrospective analysis be prepared after the impact has ended.

Prepare a time impact analysis for approval by the Contracting Officer

based on industry recommended practice AACE 52R-06. Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the time impact analysis. If Contracting Officer determines the time frame between the last approved schedule and the first day of impact is too great, prepare an interim updated schedule to perform the time impact analysis. Unless approved by the Contracting Officer, no other changes may be incorporated into the schedule being used to justify the time impact.

3.8.4 Forensic Schedule Analysis (Retrospective Analysis)

Submit requests for time extensions based on a retrospective analysis if the work involved or the impact identified has already occurred. The analysis must account for the actual performance of both the impacted work and all other contract work in the schedule.

If a methodology is chosen from AACE 29R-03, the method must adhere to the principles identified in ASCE 67-17. If there is a conflict with the methodology chosen from AACE 29R-03 and ASCE 67-17, ASCE 67-17 will govern. Choice of methodology should be discussed prior to submission to Government.

3.8.5 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

3.8.6 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("End Project" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay, are not a cause for an extension to the performance period, completion date, or any interim milestone date.

3.8.7 Impact to Early Completion Schedule

No extended overhead will be paid for delay prior to the original Contract Completion Date for an Early Completion IPS unless the Contractor actually performed work in accordance with that Early Completion Schedule. The Contractor must show that an early completion was achievable had it not been for the impact.

3.9 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the

Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include which activities will be accelerated by adding additional crews, longer work hours, extra workdays, etc.

3.9.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying, or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration, and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

3.9.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

3.9.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

3.10 OWNERSHIP OF FLOAT

Except for the provision given in the paragraph IMPACT TO EARLY COMPLETION SCHEDULE, float available in the schedule, at any time, belongs to the Project and is available for Contractor and Government use. This includes activity and project float. Activity float is the number of workdays that an activity can be delayed without causing a delay to the "End Project" finish milestone. Project float (if applicable) is the number of work days between the projected early finish and the "End Project" finish.

3.11 TRANSFER OF SCHEDULE DATA INTO RESIDENT MANAGEMENT SYSTEM

Ensure schedule data is uploaded to RMS. This data is additional supporting data in a form and detail required by the Contracting Officer pursuant to FAR 52.232-5 Payments under Fixed-Price Construction Contracts. The receipt of a proper payment request pursuant to FAR 52.232-27 Prompt Payment for Construction Contracts is contingent upon the Government receiving both acceptable and approvable hard copies and matching electronic versions of the application for progress payment.

3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

Ensure Primavera P6 settings provide a schedule capable of fulfilling the requirements of the contract. The following settings are mandatory and required in all schedule submissions to the Government:

a. Activity Codes must be Project Level, not Global or EPS level.

b. Calendars must be Project Level, not Global or Resource level.

c. Activity Duration Types must be set to "Fixed Duration & Units".

d. Percent Complete Types must be set to "Physical".

e. Time Period Admin Preferences must remain the default "8.0 hr/day, 40 hr/week, 172 hr/month, 2000 hr/year". Set Calendar Work Hours/Day to 8.0 Hour days.

f. Set Schedule Option for defining Critical Activities to "Longest Path".

g. Set Schedule Option for defining progressed activities to "Retained Logic".

h. Set up cost loading using a single lump sum non-labor resource. The Price/Unit must be $1/hr, Default Units/Time must be "8h/d", and settings "Auto Compute Actuals" and "Calculate costs from units" un-selected.

i. Activity ID's must not exceed 10 characters.

j. Activity Names must have a verb-noun structure and contain the most defining and detailed description within the first 30 characters.

k. The daily ending hour for all work calendars must be the same.

-- End of Section --

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SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18, CHG 4: 02/21

PART 1 GENERAL

1.1 SUMMARY

1.1.1 Submittal Information

The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections. Each submittal is to be complete and in sufficient detail to allow ready determination of compliance with contract requirements.

Units of weights and measures used on all submittals are to be the same as those used in the contract drawings.

1.1.2 Project Type

The Contractor's Quality Control (CQC) System Manager are to check and approve all items before submittal and stamp, sign, and date indicating action taken. Proposed deviations from the contract requirements are to be clearly identified. Include within submittals items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals.

1.1.3 Submission of Submittals

Schedule and provide submittals requiring Government approval before acquiring the material or equipment covered thereby. Pick up and dispose of samples not incorporated into the work in accordance with manufacturer's Safety Data Sheets (SDS) and in compliance with existing laws and regulations.

1.2 DEFINITIONS

1.2.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or at the start of construction (work) or the next major phase of the construction on a multiphase contract.

Government approved Division 01 preconstruction submittals that are required prior to or commencing with the start of work must be submitted within 30 calendar days of contract award unless specified elsewhere in the specifications. Contractor approved Division 01 submittals that are

required prior to or commencing with the start of work must be submitted within 45 calendar days of contract award unless specified elsewhere in the specifications.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Baseline Network Analysis Schedule (NAS)

Submittal Register

Schedule Of Prices Or Earned Value Report

Accident Prevention Plan

Work Plan

Quality Control (QC) plan

Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and Safety Data Sheets(SDS)concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.2.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.2.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register; G

1.4 SUBMITTAL CLASSIFICATION

1.4.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.4.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.5 PREPARATION

1.5.1 Transmittal Form

Use the ENG Form 4025-R transmittal form for submitting both Government-approved and information-only submittals. Submit in accordance with the instructions on the reverse side of the form. These forms or similar forms will be furnished to the Contractor. Properly complete this form by filling out all the heading blank spaces and identifying each item submitted. Exercise special care to ensure proper listing of the specification paragraph and sheet number of the contract drawings pertinent to the data submitted for each item.

1.5.2 Submittal Format

1.5.2.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.2.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare

drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Present shop drawings sized 8 1/2 by 11 inches as part of the bound volume for submittals. Present larger drawings in sets. Submit an electronic copy of drawings in PDF format and native electronic format.

1.5.2.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 3 inches on the right-hand side of each sheet for the Government disposition stamp.

1.5.2.3 Format of SD-03 Product Data

Present product data submittals for each section as a complete, bound volume. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.5.2.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.5.2.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.2.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.5.2.4 Format of SD-04 Samples

1.5.2.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.5.2.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.5.2.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle

of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.5.2.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.5.2.6 Format of SD-06 Test Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.2.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.5.2.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section as a complete, bound volume. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.2.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.2.9 Format of SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.2.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.5.2.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.3 Source Drawings for Shop Drawings

1.5.3.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.3.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5.4 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. In addition to the electronic submittal, provide three hard copies of the submittals. Compile the submittal file as a single, complete document, to include the Transmittal Form described within, and also separately attach the native files which were used to create PDF. The attached files should include the original digital files used to create the submittal. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the DOD SAFE Web Application located at the following website: https://safe.apps.mil/.

1.6 QUANTITY OF SUBMITTALS

1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.6.2 Number of SD-02 Shop Drawing Copies

Submit six copies of submittals of shop drawings requiring review and approval by a QC organization. Submit seven copies of shop drawings requiring review and approval by the Contracting Officer.

1.6.3 Number of SD-03 Product Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.4 Number of SD-04 Samples

a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.6.5 Number of SD-05 Design Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.6 Number of SD-06 Test Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings, other than field test results that will be submitted with QC reports.

1.6.7 Number of SD-07 Certificate Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.8 Number of SD-08 Manufacturer's Instructions Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.6.9 Number of SD-09 Manufacturer's Field Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC reports.

1.6.10 Number of SD-10 Operation and Maintenance Data Copies

Submit three copies of O&M data to the Contracting Officer for review and approval.

1.6.11 Number of SD-11 Closeout Submittals Copies

Unless otherwise specified, submit three sets of administrative submittals.

1.7 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Attachment A - Submittal Register."

1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required. Maintain a submittal register for the project in accordance with Section 01 45 00.15 10 RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE(RMS CM). The Government will provide the initial submittal register in electronic format

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.8.3 Contractor Use of Submittal Register

Update the following fields in the Government-furnished submittal register program or equivalent fields in the program used by the Contractor with

each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.8.5 Action Codes

1.8.5.1 Contractor Action Codes

DESIGN BID BUILD SUBMITTALS			
Submittal Classifications shown in UFGS Sections	Submittal Classification	Corresponding SpecsIntact Submittal Register Code which is populated in the SI Submittal Register. Software Limitations: (The software shows one character delineation in the SpecsIntact Submittal Register)	RMS – The following Submittal Classifications are populated in RMS when the SpecsIntact Submittal Data File is pulled into RMS)
G	Submittal requires Government Approval	G	GA
BLANK	Submittal is For Information Only (FIO)	BLANK	FIO

DESIGN BID BUILD SUBMITTALS			
S	Submittal is for documentation of Sustainable requirements	S	S/FIO

1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.9.2 Proposing Variations

When proposing variation, deliver a submittal, clearly marked as a "VARIATION" to the Contracting Officer, with documentation illustrating the nature and features of the variation including any necessary technical submittals and why the variation is desirable and beneficial to Government. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

The Contracting Officer will indicate an approval or disapproval of the variation request; and if not approved as submitted, will indicate the Government's reasons therefore. Any work done before such approval is received is performed at the Contractor's risk."

Specifically point out variations from contract requirements in a variation submittal. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

Check the column "variation" of ENG Form 4025 for submittals that include variations proposed by the Contractor. Set forth in writing the reason for any variations and note such variations on the submittal. The Government reserves the right to rescind inadvertent approval of

submittals containing unnoted variations.

1.9.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.9.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 calendar days will be allowed for the Government to consider submittals with variations.

1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals.

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 14 calendar days after the date of submission.

1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval,

stamp and date submittals. Two copies of the submittal will be retained by the Contracting Officer and two copies of the submittal will be returned to the Contractor.

1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the

requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

1.15 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

1.16 CERTIFICATION OF SUBMITTAL DATA

Certify the submittal data as follows on Form ENG 4025: "I certify that the above submitted items had been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as otherwise stated.

_____NAME OF CONTRACTOR _____ SIGNATURE OF CONTRACTOR

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

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SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION
Philpott Dam Stability Analysis and Anchors Design

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					
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		01 30 00	SD-01 Preconstruction Submittals															
			View Location Map	1.4														
			Progress and Completion	1.5														
			Pictures															
			SD-04 Samples															
			Color Boards	1.3	G													
		01 32 01.00 10	SD-01 Preconstruction Submittals															
			Project Scheduler Qualifications	1.3	G													
			Preliminary Project Schedule	3.4.1	G													
			Initial Project Schedule	3.4.2	G													
			Periodic Schedule Update	3.6.2	G													
		01 33 00	SD-01 Preconstruction Submittals															
			Submittal Register	1.8	G													
		01 33 16.00 10	SD-01 Preconstruction Submittals															
			Preconstruction Conference	1.5.1.2														
			DCM Procedures	3.5.1	G													
			Submittal Register	3.8.4	G													
			SD-05 Design Data															
			Design and Code Checklists	1.7.1	C													
			Interim Design Submittals	3.2.1	R													
			Conference Documentation	3.7.3														
			Final Design Submittals	3.8	R													
			Design Complete Documents	3.9	C													
		01 35 26	SD-01 Preconstruction Submittals															
			Accident Prevention Plan (APP)	1.8	G													
			Emergency Action Plan (EAP)	1.15	G													

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		01 35 26	SD-06 Test Reports															
			Accident Reports	1.12.2	G													
			LHE Inspection Reports	1.12.3														
			Monthly Exposure Reports	1.5	G													
			SD-07 Certificates															
			Activity Hazard Analysis (AHA)	1.9	G													
			Certificate of Compliance	1.12.4														
			Crane Operators/Riggers	1.7.5														
			Hot Work Permit	1.13														
			Standard Lift Plan	1.12.4	G													
		01 45 00	SD-01 Preconstruction Submittals															
			Contractor Quality Control (CQC)	1.5.2	G													
			Plan															
			SD-06 Test Reports															
			Verification Statement	1.12.3														
			SD-07 Certificates															
			Certificate Of Readiness	1.6.6.1	G													
		01 50 00	SD-01 Preconstruction Submittals															
			Construction Site Plan	1.3														
			Traffic Control Plan	3.3.1														
			Haul Road Plan	2.2.1	G													
			Contractor Computer	1.6.1.4														
			Cybersecurity Compliance															
			Statements															

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		01 50 00	Contractor Temporary Network	1.6.6														
			Cybersecurity Compliance															
			Statements															
			SD-06 Test Reports															
			Backflow Preventer Tests	3.4														
			SD-07 Certificates															
			Backflow Tester	1.4.1														
			Backflow Preventers	1.4														
		01 57 19	SD-01 Preconstruction Submittals															
			Preconstruction Survey	1.6.1														
			Regulatory Notifications	1.6.2	G													
			Environmental Manager	1.6.4	G													
			Qualifications															
			Employee Training Records	1.6.5	G													
			Environmental Protection Plan	1.7	G													
			Dirt and Dust Control Plan	1.7.9.1	G													
			Solid Waste Management Permit	1.11	G													
			Stormwater Pollution Prevention	3.2.1.1	G													
			Plan															
			Stormwater Notice of Intent	3.2.1.2	G													
			Spill Prevention Control And	3.14.2	G													
			Countermeasure (SPCC) Plan															
			Mooring Plan	1.13	G													
			SD-06 Test Reports															
			Monthly Solid Waste Disposal	1.11.1	G													
			Report															

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		01 57 19	Inspection Reports	3.2.1.3														
			Laboratory Analysis	3.7.1.1.2														
			SD-07 Certificates															
			Employee Training Records	1.6.5	G													
			Erosion and Sediment Control	1.6.5														
			Inspector															
			Certificate of Competency	1.6.5.1														
			SD-11 Closeout Submittals															
			Regulatory Notifications	1.6.2	G													
			Assembled Employee Training	1.6.5	G													
			Records															
			Solid Waste Management Permit	1.11	G													
			Stormwater Pollution Prevention	3.2.1.4	G													
			Plan Compliance Notebook															
			Stormwater Notice of Termination	3.2.1.5	G													
			As-Built Topographic Survey	3.2.1.5														
			Waste Determination	3.7.1	G													
			Documentation															
			Project Solid Waste Disposal	3.7.2.1	G													
			Documentation Report															
			Sales Documentation	3.7.2.1	G													
			Contractor Certification	3.7.2.1														
			Hazardous Waste/Debris	3.7.3.1	G													
			Management															
			Disposal Documentation for	3.7.3.6	G													
			Hazardous and Regulated Waste															

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		01 58 00	SD-02 Shop Drawings															
			Preliminary One Line	1.3.1.1	G													
			Sign Legend Orders	1.4.1	G													
			SD-04 Samples															
			Final Rendering	1.3.1.2	G													
			Final Framed Rendering	1.3.1.3	G													
		01 74 19	SD-01 Preconstruction Submittals															
			Construction Waste Management	1.7	G													
			Plan															
			SD-06 Test Reports															
			Quarterly Reports	1.9.2														
			Annual Report	1.9.3														
			SD-11 Closeout Submittals															
			Final Construction Waste	1.10	S													
			Diversion Report															
		01 78 00	SD-03 Product Data															
			Warranty Management Plan	1.8.1														
			Warranty Tags	1.8.5														
			Spare Parts Data	1.6														
			SD-08 Manufacturer’s Instructions															
			Instructions	1.8.1														
			SD-10 Operation and Maintenance															
			Data															
			Operation and Maintenance	3.7	G													
			Manuals															
			SD-11 Closeout Submittals															

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		01 78 00	As-Built Drawings	3.1	G													
			Record Drawings	3.3	G													
			Record Model	2.2	G													
			As-Built Record of Equipment	3.6														
			and Materials															
			Warranted Equipment and	1.8.1														
			Materials															
			Final Approved Shop Drawings	3.4	G													
			Construction Contract	3.5	G													
			Specifications															
			Certification of EPA Designated	2.3	G													
			Items															
			Certification Of USDA Designated	2.4	G													
			Items															
			Interim DD FORM 1354	3.9.1	G													
			High Performance and	3.9.2	G													
			Sustainable Building (HPSB)															
			Checklist															
			List Of Installed Building	3.9.2														
			Equipment Items For DD Form															
			1354															
		01 78 23	SD-10 Operation and Maintenance															
			Data															
			Training Outline	3.1.3	G													
			Training Content	3.1.2	G													

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		01 78 23	Operation And Maintenance	3.2.2	G													
			Manual, Prefinal Submittal															
			Operation And Maintenance	3.2.3	G													
			Manual, Final Submittal															
			SD-11 Closeout Submittals															
			Training Video Recording	3.1.4	G													
			Validation of Training Completion	3.1.6	G													
			Record Drawings And Utility	1.5.6.7	G													
			Systems															
		02 32 13	SD-01 Preconstruction Submittals															
			Drilling Program Plan	1.4.2.1	G													
			SD-02 Shop Drawings															
			Drilling Log	3.5	G													
			SD-03 Product Data															
			Permits, Certifications, and	1.6														
			Licenses															
			Schedule of Drilling, Sampling,	1.4.2.2	G													
			and Testing															
		03 01 00	SD-01 Preconstruction Submittals															
			Qualifications	1.5.3	G													
			Work Plan	1.5.4	G													
			Work Plan	1.6.1	G													
			Quality Control Plan	1.5.2	G													
			SD-03 Product Data															
			Conventional Concrete	2.3														
			Polymers	2.4														

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(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		03 01 00	Miscellaneous Materials And	2.5														
			Equipment															
			SD-04 Samples															
			Reinforcement And	2.2														
			Reinforcement Supports															
			Polymers	2.4														
			Miscellaneous Materials And	2.5														
			Equipment															
			SD-05 Design Data															
			Formwork	3.1.3	G													
			Repair Procedures	1.5.1	G													
			Mixture Proportioning	2.6	G													
			SD-06 Test Reports															
			Mixture Proportioning	2.6														
			Quality Control	3.1.5														
			Quality Control	3.2.3														
			Quality Control	3.3.3														
			Reinforcement And	2.2														
			Reinforcement Supports															
			Conventional Concrete	2.3														
			Polymers	2.4														
			Miscellaneous Materials And	2.5														
			Equipment															
			SD-07 Certificates															
			Qualifications	1.5.3														

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		03 01 00	Reinforcement And	2.2														
			Reinforcement Supports															
			Conventional Concrete	2.3														
			Polymers	2.4														
			SD-08 Manufacturer’s Instructions															
			Equipment For Concrete	2.1														
			Preparation															
			Conventional Concrete	2.3														
			Polymers	2.4														
			Miscellaneous Materials And	2.5														
			Equipment															
		03 30 53	SD-02 Shop Drawings															
			Installation Drawings	1.5	G													
			SD-03 Product Data															
			Air-Entraining Admixture	2.2.3.1														
			Curing Materials	2.2.9														
			Conveying and Placing Concrete	3.2														
			Formwork	2.2.7														
			Mix Design Data	2.3	G													
			Ready-Mix Concrete	2.3														
			Curing Compound	2.4.1														
			Mechanical Reinforcing Bar	2.2.5														
			Connectors															
			SD-06 Test Reports															
			Aggregates	2.2.2														
			Concrete Mixture Proportions	2.1.3	G													

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION
Philpott Dam Stability Analysis and Anchors Design

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		03 30 53	Compressive Strength Testing	3.8.3	G													
			Slump	3.8.3	G													
			Air Content	3.8.3														
			Water	2.2.4														
			SD-07 Certificates															
			Cementitious Materials	2.2.1														
			Pozzolan	2.2.1.2														
			Aggregates	2.2.2														
			Delivery Tickets	2.3														
			SD-08 Manufacturer's Instructions															
			Curing Compound	2.4.1														
		05 50 13	SD-02 Shop Drawings															
			Electrical Cabinet Supports	1.6.1	G													
			Antenna Supports	1.6.1														
			Sunshade	1.6.1	G													
			SD-03 Product Data															
			Adhesive Anchors	2.1.7														
			Expansion Anchors	2.1.7														
		26 20 00	SD-02 Shop Drawings															
			Panelboards	2.10	G	AE												
			Transformers	2.12	G	AE												
			Wireways	2.17	G	AE												
			Marking Strips	3.1.5.1	G	AE												
			SD-03 Product Data															
			Receptacles	2.9	G	AE												
			Circuit Breakers	2.10.3	G	AE												

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(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		26 20 00	Transformers	2.12	G	AE												
			Enclosed Circuit Breakers	2.11	G	AE												
			Surge Protective Devices	2.18	G	AE												
			Switches	2.8	G	AE												
			Conduit and Fittings	2.2	G	AE												
			SD-06 Test Reports															
			600-volt Wiring Test	3.5.2	G	AE												
			Grounding System Test	3.5.5	G	AE												
			Transformer Tests	3.5.3	G	AE												
			Ground-fault Receptacle Test	3.5.4	G	AE												
			SD-09 Manufacturer's Field															
			Reports															
			Transformer Factory Tests	2.20.1														
			SD-10 Operation and Maintenance															
			Data															
			Electrical Systems	1.5.1	G	AE												
		31 11 00	SD-01 Preconstruction Submittals															
			Herbicide Application Plan	3.1.1														
			SD-03 Product Data															
			Herbicides	1.3.2	G													
			SD-07 Certificates															
			Qualifications	1.3.2	G													
			SD-11 Closeout Submittals															
			Pest Management Report	3.5.1														
		31 68 13	SD-01 Preconstruction Submittals															
			Fabricator Qualifications	1.6.2	G													

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		31 68 13	Installer Qualifications	1.6.3	G													
			Designer Qualifications	1.6.1	G													
			SD-02 Shop Drawings															
			Fabrication and Installation	1.1.1	G													
			Drawings															
			SD-03 Product Data															
			Equipment	2.3														
			Installation Plan	1.1.1	G													
			SD-05 Design Data															
			Design Computations	1.1.2	G													
			Bearing Plate	2.2.1	G													
			Watertight Enclosure Design	2.2.5.1	G													
			SD-06 Test Reports															
			Prestressing Steel	2.1.1	G													
			Cement Grout Mixture	2.4.5.1	G													
			Proportions															
			Alignment Checking	3.1.5.3	G													
			Water Filter Sampling	2.4.2	G													
			SD-07 Certificates															
			Prestressing Steel	2.1.1	G													
			Cement	2.4.1	G													
			Couplers	2.2.3	G													
			Bearing Plate	2.2.1	G													
			Corrosion Inhibiting Compound	2.1.11	G													
			SD-11 Closeout Submittals															
			Driller Logs	3.4.2														

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		31 68 13	Anchor Records	3.4.3	G													
		32 92 19	SD-03 Product Data															
			Wood Cellulose Fiber Mulch	2.5.3														
			Fertilizer	2.4														
			SD-06 Test Reports															
			Topsoil Composition Tests	2.2.3														
			SD-07 Certificates															
			Seed	2.1														
			SD-08 Manufacturer’s Instructions															
			Erosion Control Materials	2.7														
		33 51 43	SD-01 Preconstruction Submittals															
			Instrumentation Specialist	1.7	G	RO												
			Instrumentation and Monitoring	1.1.1	G	RO												
			Plan															
			Instrumentation and Monitoring	1.7.3	G	RO												
			Plan															
			Permit Documentation	3.3.1	G	RO												
			Backups, Archiving, And Disaster	1.9.3	G	RO												
			Recovery Plan															
			Cellular Data Communications	2.8.11.1	G	RO												
			Viability Report															
			Drilling Program Plan	1.5.2.5	G	RO												
			Grounding And Lightning	2.8.10	G	RO												
			Protection Plan															
			Quick Reference Guide	3.5.3.2	G	RO												
			Web Interface	3.5.3.1	G	RO												

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		33 51 43	SD-02 Shop Drawings															
			Instrument Modification Report	3.9	G	RO												
			SD-03 Product Data															
			In-Place Inclinometer	2.4.1.1	G	RO												
			Vibrating Wire Transducers	2.3.1	G	RO												
			Crack Meter	2.4.2	G	RO												
			Anchor Load Cells	2.5.1	G	RO												
			Electromagnetic Load Sensors	2.5.2	G	RO												
			Factory Test Reports	2.2.2	G	RO												
			Proprietary Data Determination	1.9.1	G	RO												
			Request															
			Raw Data	3.6.2	G	RO												
			SD-05 Design Data															
			Method Statements	1.7.3	G	RO												
			Grout Mix Design	2.2.4	G	RO												
			SD-06 Test Reports															
			Pre-Installation Acceptance Tests	3.1	G	RO												
			Monitoring Data Reports	3.5.2	G	RO												
			Instrument Alert Assessment	3.7.2	G	RO												
			Action/Threshold Exceedance	3.7.2	G	RO												
			Report															
			Installation Record	3.3.3	G	RO												
			SD-07 Certificates															
			Instrumentation Specialist	1.7.2	G	RO												
			Qualifications															
			SD-08 Manufacturer's Instructions															

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		33 51 43	Factory Test Reports	2.2.2	G	RO												
			SD-10 Operation and Maintenance															
			Data															
			Installation	3.3.2	G	RO												
			Instrument Modification Report	3.9	G	RO												
			Operations Manual	3.4.4.4	G	RO												
			SD-11 Closeout Submittals															
			As-Built Drawings	3.4.4.5	G	RO												
			ADAS Final Report	2.8.15	G	RO												

SECTION 01 33 16.00 10

DESIGN DATA (DESIGN AFTER AWARD)
05/16

PART 1 GENERAL

1.1 SUMMARY

After award, develop the accepted proposal into the completed design, as described herein. Use a collaborative, integrated design process for all stages of project delivery with comprehensive performance goals for site development, energy, water, material selection, indoor environmental quality, and waste diversion. Ensure incorporation of these goals in project delivery. Consider all stages of the building lifecycle, including deconstruction, rehabilitation, re-purposing, or demolition.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

ISO 19005-3	(2012) Document Management -- Electronic Document File Format for Long-Term Preservation -- Part 3: Use of ISO 32000-1 with Support for Embedded Files (PDF/A-3)
ISO 32000-1	(2008) Document Management -- Portable Document Format -- Part 1: PDF 1.7

NATIONAL INSTITUTE OF BUILDING SCIENCES (NIBS)

NCS	(V6) United States National CAD Standard

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 1110-1-2909	(2012) Engineering and Design -- Geospatial Data and Systems
ERDC/ITL TR-12-1	(2015) A/E/C CAD Drafting Standard, Release 2.0
ERDC/ITL TR-19-6	(2019) A/E/C Graphics Standard, Release 2.1
ERDC/ITL TR-19-7	(2019) A/E/C CAD Standard - Release 6.1

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 1-300-02	(2014; with Change 3, 2021) Unified Facilities Guide Specifications (UFGS) Format Standard
UFC 4-010-01	(2018; with Change 3, 2024) DoD Minimum Antiterrorism Standards for Buildings

1.3 DEFINITIONS

1.3.1 Government Furnished Material (GFM)

Government material that may be incorporated into, or attached to, an end item to be delivered under a contract or which may be consumed in the performance of a contract. It includes, but is not limited to, raw and processed material, parts, components, assemblies, and small tools and supplies.

1.3.2 Advanced Modeling

A subset of geospatial technologies as defined in EM 1110-1-2909 to include BIM, CIM, GIS, and CAD. Advanced Modeling is comprised of models and drawings that form a digital representation of the project, or part thereof, that are comprised of model elements with facility data.

1.3.3 Model Element

A self-contained graphical element with a unique identification that is used to populate a model, and whose behavior and properties are defined by facility/site data and software processes. Model elements can represent a physical entity, such as a pump, a concrete wall, or a utility vault and range from the simple to the complex and can be custom modified.

1.3.4 USACE Minimum Modeling Matrix (M3)

The USACE Minimum Modeling Matrix (M3) describes the minimum modeling and data requirements by defining the level of development (LOD) and element grade.

1.3.5 Facility Data

Non-graphical data attached to surface and subsurface components for both building and site model elements that describe various facility characteristics such as parametric values that drive physical sizes, material definitions (e.g. wood, metal), manufacturer data, industry standards (e.g. AISC steel properties), location, and project identification numbers. Facility data can also define supplementary physical entities that are not shown graphically in the model, such as the system of a duct, hardware on a door, content of conduit, site surface, alignment, levee, channel or transformer properties.

1.3.6 USACE BIM/CIM Platform Configuration Standards - Templates, Workspaces, Catalogs, and Environments

1.3.6.1 USACE Revit Templates

The USACE Revit templates are discipline specific and include family content pertinent to that discipline. The templates share standard symbology such as annotation families, line styles, and text styles. The templates include pre-defined shared parameters.

1.3.7 USACE CAD/BIM Technology Center

The USACE CAD/BIM Technology Center hosts all standard content for USACE. This content can be accessed through the CAD/BIM Technology Center website.

1.4 ORDER OF PRECEDENCE

In the event of a conflict or inconsistency between any of the requirements within the Contract, precedence is applied:

a. Any portions of the accepted proposal which both conform to and exceed the requirements of the solicitation.

b. The provisions of the solicitation.

c. All other provisions of the accepted proposal.

d. Any design products including, but not limited to, plans, specifications, engineering studies and analyses, shop drawings, and equipment installation drawings. These are "deliverables" under the contract are not part of the contract itself. Design products must conform to all provisions of the contract, in the order of precedence.

1.5 PRECONSTRUCTION ACTIVITIES

1.5.1 Meetings and Conferences

1.5.1.1 Post Award Conference

The Government will conduct a post award conference at the project site , as soon as possible after Contract award, coordinated with issuance of the notice to proceed (NTP). Participation by the Contractor and major subcontractor representatives is mandatory. All designers need not attend this first meeting. The government will provide an agenda, meeting goals, meeting place, and meeting time to participants prior to the meeting.

As a minimum the following will be addressed during the conference: determination and introduction of contact person and their authorities; contract administration requirements; discussion of expected project progress processes; and coordination of subsequent meeting.

a. The government will introduce the Government project delivery team members, facility users, facility command representatives, and installation representatives.

b. Introduce key personal, major subcontractors and other needed staff.

c. Define expectations and duties of each participant.

d. Develop a meeting roster with complete contact information including name, office, project role, phone, mailing and physical address, and e-mail address for distribution to all participants. Also, provide minutes of the meeting to all participants.

1.5.1.2 Pre-Construction Conference

Before starting any construction activities, jointly conduct an administrative conference with the Government to discuss any outstanding requirements and to review local installation requirements. It is possible there will be multiple Pre-Construction Conferences based on the configuration of the design packages. Provide minutes of the meeting(s) to all participants.

1.6 SUBMITTALS

Each submittal includes an associated approval level designation as defined in the following table:

Approval Level Designation	Definition
G	Government approval
no designation	for information only
D	Designer of Record approval
C	Government Conformance Review of Design
R	Designer of Record Approval and Government Conformance Review
A	Designer of Record Approval and Government Approval
~~S~~	~~inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING~~

When used, a designation following the approval level designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Conference

DCM Procedures; G

Submittal Register; G

SD-05 Design Data

Design and Code Checklists; C

Interim Design Submittals; R

Conference Documentation

Final Design Submittals; R

Design Complete Documents; C

1.7 DESIGN QUALITY CONTROL

1.7.1 Design And Code Checklists

Develop and utilize appropriate discipline-specific checklists during the design and quality control of each submittal. Submit these completed checklists with each design submittal, as applicable, as part of the project documentation. See Section 01 45 00 Contractor Quality Control and paragraph FIRE PROTECTION AND LIFE SAFETY CODE REVIEW for a sample Fire Protection and Life Safety Code Review checklist.

1.8 DELIVERY, STORAGE, AND HANDLING

1.8.1 Electronic Design Submittal

Provide identical copies of discs for approval, for each submittal required. Provide quantities and sizes indicated in Section 01 33 00 SUBMITTAL PROCEDURES. Provide on approved electronic media (one copy per disc or set of discs) as defined below.

1.8.1.1 Malicious Content

Scan all electronic files for malicious viruses using commercially available scanning program that is routinely updated to identify and remove current virus threats.

1.8.1.2 Storage Media

Provide project data on disc-based (DVD±R/RW) media. Provide the full submittal on one single disc whenever possible. When separation of the submittal is required separate deliverables onto separate media. Document any media divisions in the PxP for approval by the Contracting Officer.

a. Directly print identification of contents onto storage media. Do not provide adhesived labels. Include the name of the submittal, project, project location, Contract number, Designer of Record firm/Prime Contractor company's name, title of submission, and security classification (in accordance with the applicable security classification labeling regulations) on the label. If multiple discs are provided, clearly document the contents of each disc on the label.

b. Include the name and contact information of the individual who produced the final data disc to ensure that any problems with the data or media can be easily resolved.

c. When browsed on any computer, the disc displays the following folders and their associated content:

   (1) Submittal files (containing all submittal data)

   (2) All supporting documents associated with the submittal

   (3) Readme containing one TXT, PDF, or HTML file with general use information, organizational instructions, and basic preparer contact information.

1.8.2 Advanced Model File Packaging

Execute the following actions for all design drawing and modeling files:

1.8.2.1 Bentley AECOsim, InRoads, MicroStation

Compress files with all options.

1.8.2.2 Autodesk Revit, Civil3D, AutoCAD

a. Purge unused

b. Audit

c. Compress

1.8.3 PDF File Packaging

Utilize PDF file format in accordance with ISO 32000-1 and ISO 19005-3. Provide files from original sources, text-searchable, and saved in "Standard" (uncompressed) resolution whenever possible.

1.8.3.1 Bookmarking

a. Bookmark drawing submittal PDF sets to include one Parent Bookmark per Discipline and one Child Bookmark per sheet within each Discipline. Format Parent Bookmarks as "Discipline" (e.g. Architectural). Format Child Bookmarks as "Sheet ID Sheet Title" (e.g. A-101 First Floor Plan).

b. Bookmark specification submittal PDF sets using the SpecsIntact Print Processing PDF Print/Publish feature, combining processed sections into one PDF document. Insert the Submittal Register into the file where specified by Section 01 33 00 SUBMITTAL PROCEDURES and bookmark.

c. Bookmark design analysis and calculation submittal PDF sets to include one Parent Bookmark per design analysis section and one Child Bookmark per major paragraph per section. Format Parent Bookmarks as "Section" (e.g. Architectural). Format Child Bookmarks as "major paragraph designation Sheet Title" (e.g. 2.1 Primary Facility Functions).

1.8.3.2 Hyperlinking

Hyperlink all reference annotation symbology (e.g. section cut symbology, detail callout symbology, elevation callout symbology) to the sheet referenced by the annotation.

1.8.4 Hardcopy Design Submittal

Print hard copy submittals directly from the electronically packaged PDF files. Provide quantities and sizes as indicated in Section 01 33 00 SUBMITTAL REQUIREMENTS.

The Designer(s) of Record stamps and signs the original full size hard copy sheets as Released For Construction. Provide distribution from this set.

PART 2 PRODUCTS

2.1 GOVERNMENT FURNISHED MATERIALS

The Government will provide Advanced Modeling files as GFM for use in design development. Develop and maintain the information and level of detail contained in the GFM in the Project design, as required by this Contract.

The Contractor has the option of preparing their own Advanced Modeling files in the formats prescribed as a basis for design, design drawings, and interim design submittals. If so, maintain the same level of detail, properties, and functionality in the models that is prescribed in this specification.

2.1.1 GFM Handover

The GFM has been provided as part of the Solicitation package.

2.1.2 GFM File Formats

GFM are provided in the following file formats:

2.1.2.1 Government Furnished CAD

The GFM includes Autodesk AutoCAD, Version 2023.

2.1.3 Advanced Modeling Completion and Quality

The Government makes no guarantee that the BIM/CIM models, GIS data, CAD files and Facility Data provide the level of completeness or quality required for a submittal. Develop or update files and data to completely and correctly represent the as-built conditions of the facility and the site.

However, use of any Government Furnished BIM, CIM, and GIS for creation of contract submittals is at the Contractor's own risk. Any quality control issues discovered in the GFM do not absolve the Contractor from submitting contract compliant deliverables as described in this and other specifications.

2.1.4 Data Loss, Corruption, and Error

Use of GFM files is at the Contractor's risk. Verify data integrity upon receipt and request a replacement if necessary.

Any adjustment of file structure, format, or software version required to make GFM compatible with computer systems and/or software is the responsibility of the Contractor.

2.2 ADVANCED MODELING DOCUMENTS

Provide all of the following documents with each design submittal.

2.2.1 Submitted Files List

Provide list of all submitted electronic files including a description, directory, and file name for each file submitted. Identify which files have been produced from the Model and Facility Data. For all Sheet files, include a list of the sheet titles and sheet numbers.

2.2.2 Advanced Modeling Submittal Checklist

Complete the USACE BIM/CIM Advanced Modeling Submittal Checklist and include with each submittal. Download the Checklist from the USACE CAD/BIM Technology Center website.

2.2.3 Advanced Modeling Electronic Files

Include all Advanced Modeling files associated with the contract scope of work.

2.2.3.1 3D Interactive Review Model

Provide a copy of each BIM and CIM Model in an approved interactive review format. Use Autodesk Design Review Form (DWFX) Google Earth (KMZ) or other Government Approved format documented in the PxP for the 3D Interactive Review Model format.

2.2.3.2 Industry Foundation Class (IFC) Coordination View

Provide an IFC Coordination View for all deliverables. Provide exported property set data for all IFC supported named building elements. Submit all IFC models in the IFC2x3 Coordination View V2.0 schema.

2.2.3.3 Quality Control (QC) Reports

As a minimum, include the following reports:

2.2.3.3.1 Model Standards Checks and Reports

Provide QC checks demonstrating adherence to the NCS v6.0 BIM Implementation section. Identify and report non-compliant elements and submit a corrective action plan. Provide the Government with detailed justification and request Government acceptance for any non-compliant elements that the Contractor proposes to be allowed to remain in the Model. Verify the following for the Model(s) and Facility Data set:

a. No undefined, incorrectly defined, or duplicated elements.

b. No errors when opening.

c. No broken Links, References, or X-References.

d. Minimized extraneous information.

e. Content uses the coordinate system defined in the approved PxP.

f. Models share a common alignment point.

g. For a Design Complete or Record Submittal; no unloaded Links, References, or X-References exist.

2.2.3.3.2 Graphics Standards Checks and Report

Provide QC checks on all graphic deliverables demonstrating that the fonts, dimensions, symbology and other construction document formatting are compliant with the requirements of this specification. Identify and report non-compliant content.

2.2.3.3.3 CAD Standards Checks and Report

Provide QC checks on CAD Output demonstrating that filenames, sheet borders, layer/level names, and symbology are compliant with the requirements of this specification. Identify and report non-compliant content.

2.2.3.3.4 Interference Management (3D Coordination) Checks and Report

Execute Interference Management checks and provide a summary of the results noting total hard interferences (e.g., mechanical vs. structural,

or mechanical vs. mechanical, overlaps in the same location) and soft interferences (e.g., conflicts regarding equipment clearance, service access, fireproofing, insulation, code space requirements).

2.2.3.3.5 Additional Parameters

Additional QC parameters as deemed appropriate for the Project may be developed and documented in the Advanced Modeling PxP.

2.2.4 Advanced Modeling Re-Submittals

If components of an Advanced Modeling submittal are rejected, provide the following for each Advanced Modeling Re-Submittal, in addition to re-submittal information required by Section 01 33 00 SUBMITTAL PROCEDURES:

a. Re-submit all components required under paragraph ADVANCED MODELING PACKAGE, including a new Advanced Modeling Checklist and updated content in response to Government comments.

b. Provide a copy of all Government review comments.

c. Provide a response to each Government review comment for back check.

2.3 DESIGN DRAWINGS

From advanced model files, produce design drawings that describe the scope of the Contract for all required submittals including all interim and final deliverables.

2.3.1 Electronic Drawing Files

Provide electronic drawing files in PDF format for each project drawing in the design set.

2.3.2 Drawing Index

Provide an index of drawings sheet as part of the drawing set, and an electronic table of all drawings submitted. Include the electronic file name, the sheet reference number, the sheet number, and the sheet title containing the data for each drawing.

2.3.3 Shop Drawings Used as Design Drawings

Design drawings may be prepared similar to shop drawings to minimize construction submittals after the Design Complete Submittals. Prepare and submit with the design drawings, appropriate connection, fabrication, layout, and product specific drawings.

2.3.3.1 Drawing Format For Shop Drawings Used as Design Drawings

Use the Contractor-originated drawings as the basis for the record drawings. Conform shop drawings included as design documents with the same drawing requirements such as drawing format, sheet size, layering, lettering, and title block used in design drawings.

2.3.3.2 Identification of Shop Drawings Used as Design Drawings

Indicate which shop drawings are being submitted as design drawings in the transmittal letter.

2.3.4 Seal on Documents

Sign, date and seal all Contractor-originated design drawings by the registered architect or the registered engineer of the respective discipline. This is the seal of the Designer of Record for that drawing. Application of the electronic seal and signature accepts responsibility for the work shown thereon.

2.4 SPECIFICATIONS

Provide design specifications in accordance with ERDC/ITL TR-12-1 and ERDC/ITL TR-19-7 design standard.

2.4.1 Specifications Format

Utilize the Unified Facility Guide Specifications (UFGS) current at the time of Contract award. Process the specifications with the SpecsIntact software package.

a. Edit and expand the appropriate specifications to ensure that all project design requirements, current code requirements, and regulatory requirements are met. Design specifications may be prepared that include manufacturer specific data and catalog cuts in lieu of non-proprietary, descriptive specifications. Clearly identify, where appropriate, specific products chosen to meet the contract requirements (i.e., manufacturers' brand names and model numbers or similar product information).

b. Note that the UFGS are based on design-bid-build contracting and will require editing to apply to a design-build project. For instance, they assume that the Government will approve most submittals, whereas in design-build, the DOR has that action, unless this solicitation requires Government approval for specific submittals.

c. Organize project sections not based on UFGS in accordance with CSI MasterFormat and UFC 1-300-02.

2.4.2 Identification of Manufacturer's Product Data Used as Specifications.

Provide complete and legible catalog cut sheets, product data, installation instructions, operation and maintenance instructions, warranty, and certifications for products and equipment for which final material and equipment choices have been made. Indicate, by prominent notation, each product that is being submitted including optional manufacturer's features, and indicate where the product data shows compliance with the Contract requirements.

2.4.3 Specifications Packaging

Provide specifications to include the following:

a. Cover sheet and project table of contents.

b. Specification sections, each section with a table of contents.

c. Manufacturer's Product Data. If providing as attachments to the applicable specification section, incorporate as attachment reference within the section and section table of contents.

2.4.4 Specification Deliverable

Submit a bundled specification package in PDF format for each design package. As a minimum, bookmark each specification section in the bundled package. Also, submit the source files, in the processing system format, used to create the PDF.

2.5 DESIGN ANALYSIS

Prepare, organize, and present a design analysis that will document the general parameters, functional and technical requirements, design objectives, design assumptions, and provides design calculations applicable to a project's design. Organize the design analysis into three parts; Part 1 - General Description; Part 2 - Design Requirements and Provisions; and Part 3 - O&M Provisions.

The design analysis states the purpose, authorization, applicable criteria and the project description for the project, and provides a summary of the factors influencing the choice of the civil, environmental, architectural, structural, mechanical, electrical, communications, fire protection, physical security systems, HTRW, and sustainable design features used in the project along with an indication of how the initial costs and life cycle costs were factored into final selections. In the final design analysis clearly and succinctly include:

a. An introductory description of the project concepts that addresses the salient points of the design

b. An orderly and comprehensive documentation of criteria and rationale for system selection, supported by life cycle cost analysis.

c. The identification of any necessary licenses and permits that are anticipated to be required as a part of the design and/or construction process.

d. Identify all applicable codes and criteria and highlight specific requirements within these codes and criteria for critical issues in the facility design.

e. Required calculations as specified and as needed to support the design.

f. Clearly identify "Antiterrorism" requirement and document the antiterrorism and force protection features as required by UFC 4-010-01.

2.5.1 Design Requirements and Provisions

Include subparts for each major design discipline and basic project design requirements for each discipline that justify and validate design decisions to include, but not limited to: life cycle cost effectiveness.

2.5.1.1 Civil

Include soil analysis and survey data, site design, site improvements, planting and landscaping, paving, grading and drainage, water, waste-water and soil treatment, contaminant containment, utilities systems analysis and design, and provisions for airfields, ports and railroads, if required.

2.5.1.2 Environmental

Include an impact assessment checklist covering air, water and noise effects from the project and construction; worker health and safety; HTRW remediation cleanup and action levels; transportation and disposal regulation requirements; quality control for chemical sampling/analysis; wetlands determination (tidal and nontidal); special wildlife, plant, and endangered species considerations; ground water, waterway and floodplain protection assessment; pollution prevention control requirements; and design measures to be implemented (i.e., construction site sediment and erosion control requirements by Federal, state and local governments); and hazardous material management, natural and cultural resources, and environmental permits.

2.5.1.3 Architectural

Include space allowance, functional layout, unique features, interior design ,furniture planning, signage, accessibility, security, air barriers, energy conservation and sustainable design to include site analysis focusing on orientation, space-mass composition, materials used and details with respect to image, safety, maintenance and cost effectiveness and historical context.

2.5.1.4 Structural

Include foundation, structural, seismic, hardened structure,nuclear radiation and blast protection systems analysis and design.

2.5.1.5 Mechanical

Include heating, ventilation and air conditioning systems, refrigeration, plumbing, elevators and cranes, energy conservation, pollution control, noise and vibration control, heating and chilled water distribution, gas distribution, fuel storage and dispensing, and process systems design.

2.5.1.6 Electrical

Include power generation, transmission and distribution systems, lighting (interior and exterior), voice and video communications, intrusion detection, utilities monitoring control systems (UMCS), cathodic protection, lightning and static electricity protection systems analysis and design, aviation lighting, and electromagnetic protection

2.5.1.7 Fire Protection and Life Safety

Include building construction, exit requirements, fire extinguishing systems, fire protection water supplies, surge analysis, and alarm and detection systems analysis and design.

2.5.1.8 Physical Security

Include fencing, vaults, protective lighting, security systems, locks, arms rooms, controlled substances, entrances, guard facilities, classified material, patrol roads, clear zones, restricted areas, surveillance and penetration resistance.

2.5.2 Operations and Maintenance (O&M) Provisions

Identify design provisions made to enhance and to reduce the cost of

operating and maintaining the facility when completed. Identify any special safety considerations or occupational health related considerations that may affect operation and maintenance activities as a result of the final design.

2.5.3 Design Analysis Packaging

2.5.3.1 Assembly and Identification

Assemble design analysis in a single volume with a table of contents if possible. Include a cover page in the basis of design for each discipline indicating the project title and locations, contract number, table of contents, and tabbed separations or bookmarks for quick reference. At a minimum tab or bookmark for each discipline.

2.5.4 Calculations

Place the signature and seal of the designer of record responsible for the work on the cover page of the calculations for the respective design discipline.

PART 3 EXECUTION

3.1 DESIGN SUBMITTALS

Include all deliverable products and associated support documents described in Part 2 of this specification with each design submittal.

3.2 DESIGN SUBMITTALS PHASES

The stages of design submittals described below define requirements with respect to process and content. Determine how to best plan and execute the design and review process for the project, within the parameters listed below. As a minimum, provide at least one interim design submittal, at least one final design submittal before construction of a design package may proceed, and at least one Design Complete submittal that documents the accepted design.

3.2.1 Interim Design Submittals

Submit a single interim design for review, representing a complete package with all design disciplines. This is not necessarily a hold point for the design process; the Contractor may designate the interim design submittal(s) as a snapshot and proceed with design development at its own risk.

3.2.1.1 Interim Design Development Management

Maintain a fully functional configuration management system as described herein to track design revisions, regardless of whether or not there is a need for a formal interim design development review.

3.2.1.2 Over-the-Shoulder Progress Review

To facilitate a streamlined design-build process, the Government and the Contractor may agree to one-on-one review or small group reviews, on-line, or at the Contractor's design offices or other agreed location, when practicable to the parties. Coordinate such reviews to minimize or eliminate disruptions to the design process. Due to limits on project

funding, utilize the maximum virtual teaming methods. Facilitate these reviews with electronic format data transfer and collaboration. Through the partnering process, find ways to facilitate the quality assurance process and to facilitate meeting or bettering the design-build schedule.

3.2.1.3 Interim Design Development Review Waiver

The Government may agree to shorten or waive the formal interim design development review period for design package(s) if an effective, mutually agreeable partnering procedure is established and implemented for regular (e.g., weekly) over-the shoulder review. During the course of the procedure, keep the Government reviewers fully informed of the progress, contents, design intent, design documentation, and other pertinent factors of the design package.

3.2.2 Final Design Submissions

After acceptance of the interim design package, revise the design package to incorporate the comments generated and resolved, perform and document a back-check review and submit the final design package.

3.2.3 Design Complete Submittals

After the final design submission and review conference for a design package, revise the design package to incorporate the comments generated and resolved in the final review conferences, perform and document a back-check review and submit the final, design complete documents, which represents released for construction documents.

3.3 DESIGN PLATFORM AND FILE FORMATS

Design the project using the systems and platforms defined below:

3.3.1 BIM

The BIM submittal format is Autodesk Revit Version 2023. Provide the BIM submittals as fully operable, compatible, and editable within the native BIM/CIM tools.

3.3.2 CIM

The CIM submittal format is Autodesk Civil 3D version 2023. Provide the CIM submittals as fully operable, compatible, and editable within the native BIM/CIM tools.

3.3.3 CAD

3.3.3.1 Native CAD Authoring Content

All content produced through CAD authoring software outside of any object/element based BIM or CIM platform must be compliant with ERDC/ITL TR-19-6 and ERDC/ITL TR-19-7. Autodesk AutoCAD Template Files 2023. Download form the CAD/BIM Technology Center website as part of the A/E/C Work Structure.

3.3.3.2 CAD Extracted From BIM/CIM Authoring Platforms

Provide editable CAD sheet files extracted from the BIM or CIM files. CAD content exported from a BIM or CIM modeling platform must comply with

ERDC/ITL TR-19-6 and ERDC/ITL TR-19-7.

3.4 ADVANCED MODELING REQUIREMENTS

3.4.1 BIM and CIM Modeling Requirements

3.4.1.1 BIM Coordinate System

a. Coordinate System: State Plane

b. Zone (for State Plane or UTM): VA South Zone Grid Coordinates

c. Horizontal Units of Measure: US Survey Feet

d. Vertical Units of Measure: Feet

e. Horizontal Datum: NAD 83/2011

f. Vertical Datum: NAVD 88

3.4.1.2 CIM Coordinate System

a. Coordinate System: State Plane

b. Zone (for State Plane or UTM): VA South Zone Grid Coordinates

c. Horizontal Units of Measure: US Survey Feet

d. Vertical Units of Measure: Feet

e. Horizontal Datum: NAD 83/2011

f. Vertical Datum: NAVD 88

3.4.1.3 Modeling Schedules

Comply with the NCS BIM Implementation section, part "2.4 Schedules." Produce schedules from, and link to, the Facility/Site Data within the Model. Document any exceptions in the PxP and submit for review.

3.4.1.4 Details and Enlarged Sections

Comply with the NCS BIM Implementation section, part "3.2 Model Coordination and Delivery." Derive all details and enlarged sections necessary for construction from the Model when possible. For those details and enlarged sections not derived directly from the Model, verify that geometry and data depicting the details and enlarged sections are consistent with Model elements. Details with significant drafted content such as 'standard' and 'typical' details cannot contradict the model and must utilize the model as an underlay when possible for the purposes of verification and coordination. Three dimensional, isometric, and section isometric details derived from the model are preferred. Create details and enlarged sections that are not derived from the Model using native authoring tools within the Model or be embedded within the Model.

3.4.1.5 Drawing Indices

Comply with the NCS BIM Implementation section, part "2.3 Sheet Organization." Where BIM authoring platform supports it, derive drawing

indices from a model-driven schedule.

3.4.2 GIS

3.4.2.1 GIS Coordinate System

a. Coordinate System: State Plane

b. Zone (for State Plane or UTM): VA South Zone Grid Coordinates

c. Horizontal Datum: NAD 83/2011

d. Vertical Datum: NAVD 88

e. Horizontal Units of Measure: US Survey Feet

f. Vertical Units of Measure: Feet

3.4.3 CAD

All content produced through layer-centric CAD authoring software outside of any object/element based BIM or CIM platform must be compliant with ERDC/ITL TR-19-7 and ERDC/ITL TR-19-6.

Autodesk AutoCAD Template Files 2023. Download form the CAD/BIM Technology Center website as part of the A/E/C Work Structure.

3.5 DESIGN CONFIGURATION MANAGEMENT (DCM)

3.5.1 Procedures

Develop and maintain effective, DCM procedures to control and track all revisions to the design documents subsequent to the Interim Design Submission and continuing through submission of the As-Built documents. After the final design is accepted, this process provides control of and documents revisions to the accepted design (See Special Contract Requirement: Deviating From the Accepted Design). Submit the DCM procedures within the Design Quality Control Plan.

a. Include authorities and concurrences in the DCM system to authorize revisions, including documentation as to why the revision is required.

b. An internal system may be used with interactive Government concurrences or the Government's "Dr Checks Design Review and Checking System" may be used.

c. Make the DCM data available to the Government reviewers at all times.

3.5.2 Tracking Design Review Comments

Although an internal system for overall design configuration management is allowed, use the DrChecks Design Review and Checking System to initiate, respond to, resolve and track Government design review comments.

The Government will set up the project in DrChecks. Throughout the design process parties enter, track, and back-check comments using the DrChecks system. Designers of Record annotate comments timely and specifically to indicate exactly the action to be taken or why the action is not required. After the design review conference and prior to the next design

submittal for the package, the DORs annotate those comments that require DOR action or design revision to show how and where it has been addressed in the design documents. These procedures are part of the required design configuration management plan. Flag comments considered critical by the conference participants.

3.5.2.1 DrChecks Initial Account Set-Up

Identify a contact person within the office to act as the administrator for all Contractor personnel, including subcontractors, that will be accessing the PROJNET Dr Checks system. Through the Contracting Officer, coordinate with the Project Manager and the District PROJNET administrator for system access, system instruction and comment process instructions.

PROJNET contains an introductory file and other tutorial material that can be accessed once user accounts have been established. Upon log in, select Portals/User Documentation.

3.5.2.2 DrChecks Review Comments

Annotate and resolve all comments prior to the next submittal. Include the DrChecks comments and responses in the design analysis for record in the next design submittal for the package.

a. Upon review of comments prior to the design review conference, the DOR(s) evaluate the comments. Include exactly what action will be taken or why action is not required.

b. After the review conference, the DOR(s) formally respond to each applicable comment in DrChecks a second time, prior to the next submittal, clearly indicating what action was taken and what drawing/spec/analysis changed. Designers of Record are encouraged to directly contact reviewers to discuss and agree to the formal comment responses rather than relying only on DrChecks and review meetings to discuss comments. With the next design submittal, reviewers will back-check answers to the comments against the new submittal, in addition to reviewing additional design work.

c. Clearly annotate in DrChecks those comments that require effort outside the requirements of the contract. Do not proceed with work outside the contract until a modification to the contract is properly executed.

3.6 DISCIPLINE DESIGN REQUIREMENTS

Provide interim design deliverables that comply with requirements of the ERDC/ITL TR-12-1 and ERDC/ITL TR-19-7 design standard.

a. Drawings: Include comments from any previous design conferences incorporated into the documents to provide an interim design for the feature of work submitted.

b. Specifications: Provide specifications to ensure that all project design features are addressed, meeting current code requirements, and regulatory requirements. Use the track changes feature (redlines) to facilitate review of additions and deletions.

c. Design Analysis: Prepare and present design analysis under the authority of the DOR, with calculations necessary to substantiate and

support all design documents submitted. Address design substantiation required by the applicable codes and references.

3.6.1 Civil Site and Utilities Design Contents

Include the following in the interim design for the site and utilities. This list is not intended to limit the contractor from providing different or additional information as needed to support the design presented.

a. Location and vicinity maps

b. Removal and/or relocation plan

c. Layout plan

d. Utility Plan: Identify and locate water lines, sanitary sewer lines, force mains, industrial waste lines, and other subsurface utility features

e. Road and parking area profiles

f. Civil details sheet

g. Site specific civil calculations

3.6.2 Structural Systems

3.6.2.1 General

a. Identify all loads to be used for design.

b. Identify the program name, source, and version used for computer generated calculations. Provide input data, including loads, loading diagrams, node diagrams, and documentation to illustrate the design. On the schematic models used for input,t show, as a minimum, nodes/joints, element/members, materials/properties, and all loadings; induced settlements/deflections; and a list of load combinations. Include an output listing for maximum and minimum stresses, forces, and deflections for each element, and the reactions for each loading case and combination.

3.7 INTERIM DESIGN REQUIREMENTS

At least one interim design submittal, review and review conference is required for each design package (except that the Contractor may, upon Government approval, skip the interim design submission and proceed directly to final design of the sitework and utilities package). Additional interim design conferences or over-the-shoulder reviews may be scheduled, as needed, to assure continued Government concurrence with the design work. Include the interim submittal review periods and conferences in the Section 01 32 01.00 10 PROJECT SCHEDULE and indicate in periodic schedule updates what part of the design work is at what percentage of completion. See also paragraph INTERIM DESIGN DEVELOPMENT REVIEW WAIVER for a waiver to the formal interim design review.

3.7.1 Submission Review

After receipt of an Interim Design submission, the Government requires 14 calendar days after receipt of the submission to review and comment on the interim design submittal. For smaller design packages, especially those

that involve only one or a few separate design disciplines, the parties may agree on a shorter review period or alternative review methods (e.g., over-the -shoulder or electronic file sharing), through the partnering process.

a. For each interim design review submittal, the Contracting Officer will furnish a single consolidated, validated set of comments from the various design sections and from other concerned agencies involved in the review process using the DrChecks Design Review and Checking System. The review will be for conformance with the technical requirements of the Contract.

b. The Government reserves the right to reject design document submittals if comments are deemed significant.

c. Furnish disposition of all comments, in writing, through DrChecks. If there are technical disagreements with any comments, clearly outline, with justification, the reasons for disagreement and noncompliance within five calendar days after receipt of these comments.

d. The Contractor is cautioned that if it believes the action required by any comment exceeds the requirements of this contract, that it should take no action and notify the Contracting Officer in writing immediately.

3.7.2 Interim Review Conference

Hold an Interim Review conference for each design submittal at either the installation or as agreed upon as part of the partnering process. Attendees include, at a minimum, the DOR(s) involved in development of the design submittal. Schedule the conference to take place the week after the receipt of the comments. Notify the Contracting Officer of any comments that with concurrence would require further design development.

For smaller fast-track packages that involve only a few reviewers, the parties may agree to alternative conferencing methods, such as teleconferencing, or televideo, where available, as determined through Partnering.

3.7.3 Conference Documentation

3.7.3.1 Minutes and Comment Process

Provide meeting minutes within two work days after the conference adjourns, and enter final resolution of all comments into DrChecks. Include copies of comments, annotated with comment action agreed on, with the minutes.

a. Resolve issues remaining open after the conference adjourns by immediate follow-on action to close the issue within 30 calendar days.

b. Incorporate comments as agreed upon during the conference.

3.7.3.2 Availability

In order to facilitate the Government code and contract conformance reviews, identify, track resolution of, and maintain all comments and action items generated during the design review process. Make this available to the designers and reviewers prior to the subsequent design

reviews.

3.8 FINAL DESIGN REQUIREMENTS

Provide final design submittals that consist of 100 percent complete drawings, specifications, submittal register, design analyses for Government review and acceptance.

a. Include any permits required by the contract for each package submitted.

b. In order to expedite the final design review, prior to the conference, ensure that the design configuration management data and all review comment resolutions are up-to-date.

c. Perform independent technical reviews and back-checks of previous comment resolutions, as required by Section 01 45 00 QUALITY CONTROL.

3.8.1 Design Drawings

Submit drawings complete with all contract requirements incorporated into the documents to provide a 100 percent design for each package submitted. In addition to all native Advanced Modeling files, provide separate electronic files in a PDF format.

3.8.2 Design Analysis

Provide a design analysis with calculations necessary to validate and support all design work submitted. Expand and advance calculations and information presented in the interim design stage to the current level of design. The responsible DOR(s) stamp, sign and date the design analysis.

3.8.3 Specifications

Provide specifications 100 percent complete and in final form.

3.8.4 Submittal Register

Provide an updated, cumulative submittal register with each design package that identifies the design and construction submittals required by that design package.

3.9 DESIGN COMPLETE CONSTRUCTION DOCUMENT REQUIREMENTS

After the Final Design Submission and Review Conference, revise the design documents for the design package to incorporate the comments generated and resolved in the final review conference. Perform and document a back-check review and submit the final, design complete documents. The deliverable includes all documentation and supporting design analysis in final form, as well as the final review comments, disposition and the back-check. As part of the quality assurance process, the Government may perform a review of the released for construction documentation. Promptly correct any errors or omissions found during the Government review.

3.10 ACCEPTANCE AND RELEASE FOR CONSTRUCTION

After acceptance of the Design Complete Construction Document(s) the Contracting Officer will allow construction to start for that design package.

Government review and acceptance of design submittals is for contract conformance only and does not relieve the Contractor from responsibility to fully adhere to the requirements of the contract, including the Contractor's accepted proposal, or limit the Contractor's responsibility of design as prescribed under Special Contract Requirement: "Responsibility of the Contractor for Design" or limit the Government's rights under the terms of the contract. The Government reserves the right to rescind inadvertent acceptance of design submittals containing contract deviations not separately and expressly identified in the submittal for Government consideration and approval.

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SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
05/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ANSI/ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ANSI/ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations

ASTM INTERNATIONAL (ASTM)

ASTM F855	(2020) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines
IEEE C2	(2023) National Electrical Safety Code

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)

ANSI/ISEA Z89.1	(2014; R 2019) American National Standard for Industrial Head Protection

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 51B	(2024) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational

Health (SOH) Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1926	Safety and Health Regulations for Construction

1.2 DEFINITIONS

The following definitions are for the convenience of the reader. If there is a referenced document in the text of this specification section, that is the document that should define terms for that paragraph. If further clarification is needed, contact the Contracting Officer.

1.2.1 Site Safety and Health Officer (SSHO)

A Contractor Employee that is responsible for overseeing and ensuring implementation of the prime Contractor's Safety and Occupational Health (SOH) program according to the Contract, EM 385-1-1, applicable federal, state, and local requirements.

1.2.1.1 Level One SSHO

A designated employee with full-time SOH responsibility that meets and follows the requirements of EM 385-1-1.

1.2.1.2 Level Two SSHO

A designated employee with Level Two SSHO responsibility that meets and follows the requirements of EM 385-1-1. Level Two SSHOs cannot be assigned to projects that have a residual Risk Assessment Code (RAC) of high or extremely high.

1.2.1.3 Level Three SSHO

A designated Qualified Person or Competent Person with SOH responsibility that meets and follows the requirements of EM 385-1-1. Level 3 SSHOs cannot be assigned to projects that have a residual RAC of high or extremely high.

1.2.1.4 Alternate SSHO

An employee that meets the definition of the contract-required level SSHO, but is not the primary SSHO.

1.2.2 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are unsanitary, hazardous, or dangerous to personnel, and who has authorization to take prompt corrective measures to eliminate them.

1.2.3 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive

knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.3 SUBMITTALS

Government Acceptance or Approval does not remove responsibility from the Contractors for their actions or liability.

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

Emergency Action Plan (EAP); G

SD-06 Test Reports

Accident Reports; G

LHE Inspection Reports

Monthly Exposure Reports; G

SD-07 Certificates

Activity Hazard Analysis (AHA); G

Certificate of Compliance

Crane Operators/Riggers

Hot Work Permit

Standard Lift Plan; G

1.4 PUBLIC HEALTH EMERGENCIES

In the event of a declared public health emergency, follow safety precautions as required by the Occupational Safety and Health Administration (OSHA) www.osha.gov, the Centers for Disease Control and Prevention (CDC) www.cdc.gov, and as required by federal, state and local requirements.

1.5 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report by the fifth of the month for the previous month. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the progress payment. Submit the report via RMS/QCS.

1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, all applicable federal, state, and local laws, ordinances, criteria, rules and regulations at the date of the Solicitation for this Contract. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.7 SITE PERSONNEL QUALIFICATIONS, DUTIES, AND MEETINGS

1.7.1 Site Safety and Health Officer (SSHO)

1.7.1.1 Qualifications of SSHO

All SSHOs will have met the training, experience requirements identified in the EM 385-1-1 and this Contract.

1.7.1.2 Duties of SSHO

All SSHOs will carry out the roles and responsibilities as identified in this Contract and the EM 385-1-1. All SSHOs will be designated on an ENG Form 6282, provided by the Contracting Officer. Superintendent, QC Manager, and SSHO are subject to dismissal if their required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.7.1.3 Safety Meetings

Conduct daily safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Disseminate relevant operational and safety information as appropriate with on site personnel following the daily safety meeting. Conduct meetings at least once a month for all supervisors to include the prime contractor and all subcontractors at the project location. The SSHO, supervisors, or foremen must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site for all prime and subcontractor conducted meetings and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.7.2 Roles and Responsibilities of Prime Contractor and SSHO

The Prime Contractor and SSHO must ensure that the requirements of all applicable OSHA and EM 385-1-1 are met for the project. The Prime Contractor must ensure an SSHO or an equally qualified Alternate SSHO(s) is at the worksite at all times to implement and administer the Contractor's safety program and Government accepted Accident Prevention Plan. If the required SSHO has to temporarily (that is, up to 24 hours / 1 day) leave the site of work due to unforeseen or emergency situations, a Level One, Two, or Three SSHO may be used in the interim and must be on

the site of work at all times when work is being performed.

If the SSHO must be off-site for a period longer than 24 hours / 1 day, a qualified alternate that meets the contract requirements must be onsite.

a. Prime contractor must ensure all SSHOs will:

(1) Are designated on an ENG Form 6282.

(2) Meet minimum training and experience requirements identified in EM 385-1-1.

(3) Execute roles and responsibilities identified in EM 385-1-1.

1.7.3 Contract Site Safety And Health Officer(s)(SSHOs) Minimum Requirements

Provide a minimum of one Level One SSHO that meets the requirements of EM 385-1-1 for this project.

1.7.4 Preconstruction Conference

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays. The creation of the APP and Schedule will be created after being given Notice to Proceed.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.7.5 Crane Operators/Riggers

Crane Operators must also meet the crane operator requirements of the State of Virginia for Crane certification.

1.8 ACCIDENT PREVENTION PLAN (APP)

1.8.1 Accident Prevention Plan (APP)

Submit the Accident Prevention Plan (APP) for review and acceptance by the Government at least 15 calendar days prior to the start, after being given Notice to Proceed. A competent person must prepare the written site-specific APP. Prepare the APP in accordance with the format and

requirements of EM 385-1-1, ENG Form 6293, and herein. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and occupational health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling employer" for all worksite safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed in accordance with the APP and ENG Form 6293 Accident Prevention Plan Worksheet. The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Prepare the APP in accordance with the format and requirements of EM 385-1-1, ENG Form 6293, and herein. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e., imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ANSI/ASSP A10.34), and the environment.

1.8.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.

b. As a minimum, designate and submit qualifications of Competent Persons (CP) for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; health hazard recognition, evaluation and control of chemical, physical and biological agents;

and personal protective equipment and clothing to include selection, use and maintenance. Designate and submit qualifications for additional CPs as applicable to the work performed under this Contract. SSHO may serve as the Competent Person for Fall Protection if the individual has the required training to be classified as a CP for Fall Protection.

1.8.3 Plans

Provide plans in the APP in accordance with the requirements outlined in EM 385-1-1, including the following:

1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, barges, and scaffolding.

1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.9.3 Safety Performance Sign

Provide a Safety Performance Sign as shown on Attachment 1. The sign shall be installed within seven days after commencement of work.

1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. The Government has no responsibility to provide emergency medical treatment.

1.12 NOTIFICATIONS AND REPORTS

1.12.1 Accident Notification

Notify the Contracting Officer in accordance with the EM 385-1-1 Accident Reporting Timeline.

Table
Accident Reporting Required Timeline

Accident Type	Notify KO or COR	Complete Final Accident Report on ENG 3394 and provide to KO or COR
Fatality, in-patient hospitalization, amputation, eye loss, or property damage over $600,000.	Immediately, no later than (NLT) 8 Hours	Within 7 Days
All other accidents and near misses	Immediately, no later than (NLT) 24 Hours	Within 7 Days

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any accident or near miss.

1.12.2 Accident Reports

a. Conduct an accident investigation for all injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. All accidents are reportable, regardless of whether or not it is recordable. Complete the applicable USACE Accident Report, ENG Form 3394, and provide the report to the Contracting Officer within 7 calendar days of the accident. The Contracting Officer will provide copies of any required or special forms.

b. Near Misses: Report all "Near Misses" to the COR, using local

accident reporting procedures, within 24 hours. The COR will provide the Contractor the required forms. Near miss reports are considered positive and proactive Contractor safety management actions.

1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Contractor to develop a Critical Lift Plan (CLP) or Standard Lift Plan (SLP) in accordance with EM 385-1-1 and using Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit CLP or SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift. Develop a CLP in accordance with EM 385-1-1 for all critical lifts as defined in 385-1-1

1.13 HOT WORK PERMIT

1.13.1 Permit and Personnel Requirements

Submit to the Contracting Officer, a written permit prior to performing "Hot Work" (i.e., welding or cutting) or operating other flame-producing/spark producing devices. Contractors are required to meet all criteria before a permit is issued. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of 1 hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, advise personnel that in the event of a fire, 911 should be dialed to summon the Bassett Virginia Volunteer Fire Department. Report any Fire, no matter how small, to the Bassett Virginia Volunteer Fire Department, the Powerhouse staff, and the Contracting Officer immediately.

1.13.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist, or Certified Industrial Hygienist for "Hot Work" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1.

1.14 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

1.15 EMERGENCY ACTION PLAN (EAP)

Submit the Emergency Action Plan (EAP) for review and acceptance by the Government at least 15 calendar days prior to the start, after being given Notice to Proceed. Emergency action plans are required for all USACE operated/maintained dams, including appurtenant structures that would impact different consequence areas than the main dam. The EAP should include the project description, a summary of EAP responsibilities, information on notification, a statement of purpose, emergency detection, response process, incident management, and preparedness, roles and responsibilities, and inundation maps.

The following topic organization is recommended: I) Summary of EAP Responsibilities; (II) Notification Flowcharts; (III) Statement of Purpose; (IV) Project Description; (V) Incident Response Process; (VI) Roles and Responsibilities; (VII) Preparedness; and (VIII) Inundation Maps.

Emergency Announcement Message Components Announcement	Message Component
Emergency Signal	Level - alert, watch, or warning
Source	District
Threat	Project condition: non-breach, potential breach, or imminent breach Flow condition: high flow or normal flow
Location	Project name and location Rivers/stream(s) affected Impact area boundaries (easily understood)
Guidance	Nature of emergency/condition Source(s) of additional information Action for public to take
Time	Expected course of events

1.15.1 High Flow Emergency

The high flow emergency level indicates that flooding is occurring on the river system, but there is no apparent threat to the integrity of the dam. The high flow emergency level is used by the dam owner to convey to outside agencies that downstream areas may be affected by the project's release. USACE water management policies and procedures are to be used for external communications of flood conditions resulting from a high flow operational release. Dam safety and water management communications must be closely coordinated in situations where high flow operational releases are either warranted in response to a dam safety emergency or coincide with a dam safety emergency. The EAP should focus on the water levels and high water level actions to take during construction.

1.15.1.1 Non-Breach Emergency

The non-breach emergency level is appropriate for an event at a dam that will not, by itself, lead to a breach, but requires investigation, increased monitoring/mitigation, and notification of internal and/or external personnel. The project owner/operator's primary goal is to communicate risk and response activities within the USACE chain of command. The need to perform external communication is situational, based on level of awareness through unofficial channels. Internal dam safety, and possibly emergency management status, is elevated. Upward internal reporting is required.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Head Protection that meets ANSI/ISEA Z89.1

b. Long Pants

c. Appropriate Safety Footwear

d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily

within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.3 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e., 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during demolition, repair, renovation, or construction operations. Stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 7 days in advance to not result in impacts or delays to the project schedule. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1. In accordance with EM 385-1-1, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of Hazardous Energy Control Program (HECP) and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage

coordination meeting in accordance with EM 385-1-1. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the public utility and USACE representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1, 29 CFR 1910, ANSI/ASSP A10.44, NFPA 70E.

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees

exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with EM 385-1-1.

3.5.1 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 and 29 CFR 1926.

3.5.1.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.1.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1.

3.6 EQUIPMENT

3.6.1 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.7 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1.

3.7.1 Electrical Work

As described in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety footwear, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.

3.7.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State and Local requirements applicable to where work is being performed.

3.7.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically

qualified source and documented.

3.7.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

3.7.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

Attachment 1

Safety Performance Sign

Each contractor's safety record is to be posted on Corps managed or supervised construction projects and mounted with the Construction Project Identification sign specified on page 16-2.

The graphic format, color, size and typefaces used on the sign are to be reproduced exactly as specified below. The title with First Aid logo in the top section of the sign, and the performance record captions are standard for all signs of this type. Legend groups 2 and 3 below identify the project and the contractor and are to be placed on the sign as shown.

Safety record numbers are mounted on individual metal plates and are screw-mounted to the background to allow for daily revisions to posted safety performance record.

Special applications or situations not covered in these guidelines should be referred to the district Sign Program Manager.

Legend Group 1: Standard two-line title "Safety is a Job Requirement" with 8" (outside diameter) Safety Green first aid logo.
Color: To match Pantone system 347
Typeface: 3" Helvetica Bold
Color: Black

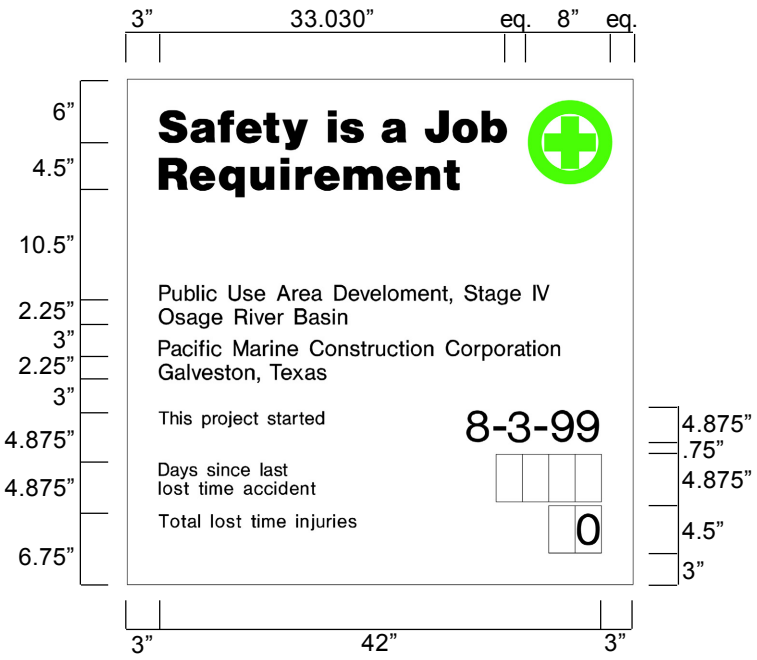
Legend Group 2: One- to two-line project title legend describes the work being done under this contract and name of host project.
Color: Black
Typeface: 1.5" Helvetica Regular
Maximum line length: 42"

Legend Group 3: One- to two-line identification: name of prime contractor and city, state address. Color: Black
Typeface: 1.5" Helvetica Regular
Maximum line length: 42"

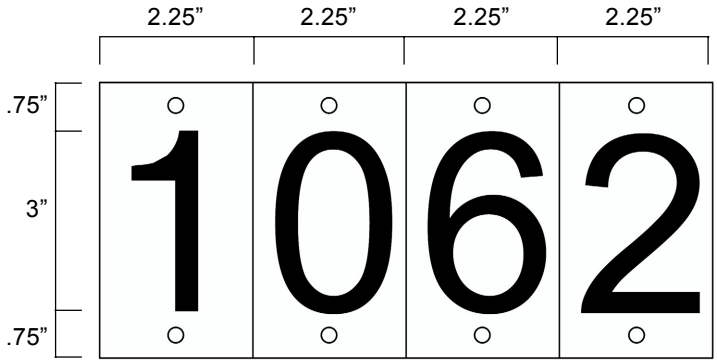
Legend Group 4: Standard safety record captions as shown.
Color: Black
Typeface: 1.25" Helvetica Regular

Replaceable numbers are to be mounted on white .060 aluminum plates and screw-mounted to background.
Color: Black
Typeface: 3" Helvetica Regular
Plate size: 2.5" x 4.5"

All typography is flush left and rag right, upper and lower case with initial capitals only as shown. Letter- and word-spacing to follow Corps standards as specified in Appendix D.



Sign Type	Legend Size (A)	Panel Size	Post Size	Specification Code	Mounting Height	Color Bkg/Lgd
CID-02	various	4'x4'	4"x4"	HDO-3	48"	WH/BK-SG



Fabrication and Mounting Guidelines

All Construction Project Identification signs and Safety Performance signs are to be fabricated and installed as described below. The signs are to be erected at a location designated by the contracting officer representative and shall conform to the size, format, and typographic standards shown on pages16-2 and 16-3. Detailed specifications for HDO plywood panel preparation are provided in Appendix B.

Shown below the mounting diagram is a panel layout grid with spaces provided for project information. Photocopy this page and use as a worksheet when preparing sign legend orders.

For additional information on the proper method to prepare sign panel graphics, contact the district Sign Program Manager.

The sign panels are to be fabricated from .75" High Density Overlay Plywood. Panel preparation to follow HDO specifications provided in Appendix B.

Sign graphics to be prepared on a white nonreflective vinyl film with positionable adhesive backing.

All graphics except for the Communication Red background with Corps Signature on the project sign are to be die-cut or computer-cut nonreflective vinyl, prespaced legends prepared in the sizes and typefaces specified and applied to the background panel following the graphic formats shown on pages 16-2 and 16-3.

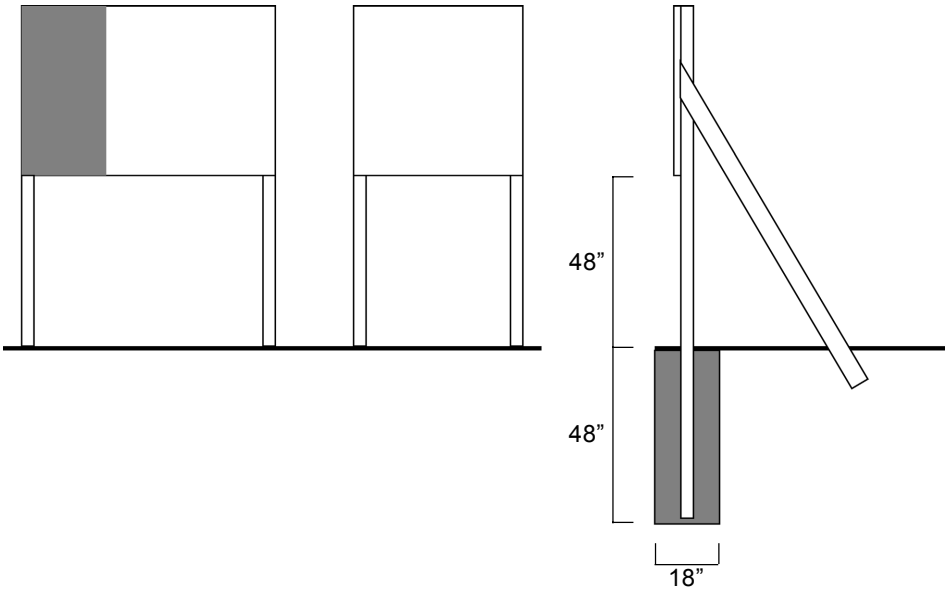
The 2'x 4' Communication Red panel (to match Pantone system 032) with full Corps Signature (reverse version) is to be screen-printed on the white background. Identification of the district or division may be applied under the signature with white cut vinyl letters prepared to Corps standards.

Drill and insert six (6) .375" T-nuts from the front face of the HDO sign panel. Position holes as shown. Flange of T-nut to be flush with sign face.

Apply graphic panel to prepared HDO plywood panel following manufacturers' instructions.

Sign uprights to be structural grade 4" x 4" treated Douglas Fir or Southern Yellow Pine, No.1 or better. Post to be 12' long. Drill six (6) .375" mounting holes in uprights to align with T-nuts in sign panel. Countersink (.5") back of hole to accept socket head cap screw (4" x .375").

Assemble sign panel and uprights. Imbed assembled sign panel and uprights in 4' hole. Local soil conditions and/or wind loading may require bolting additional 2" x 4" struts on inside face of uprights to reinforce installation as shown.



Construction Project Identification Sign
Legend Group 1: Corps Relationship

1. _____
2. _____

Legend Group 2: Division/District Name

1. _____
2. _____

Legend Group 2a: Military/Civil Works Sponsor

1. _____
2. _____

Legend Group 3: Project Title

1. _____
2. _____
3. _____

Legend Group 4: Facility Name

1. _____
2. _____

Legend Group 5: Contractor/A&E

1. _____
2. _____
3. _____
4. _____
5. _____

Legend Group 5b: Contractor/A&E

1. _____
2. _____
3. _____
4. _____
5. _____

Safety Performance Sign
Legend Group 2: Project Title

1. _____
2. _____

Legend Group 3: Contractor/A&E

1. _____
2. _____

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
05/24

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AACE INTERNATIONAL (AACE)
726 East Park Avenue #180
Fairmont, WV 26554 USA
Ph: 304-296-8444
Fax: 304-291-5728
Internet: https://web.aacei.org/

AMERICAN CONCRETE INSTITUTE (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331-3439
Ph: 248-848-3800
Fax: 248-848-3701
Internet: https://www.concrete.org/

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
130 East Randolph, Suite 2000
Chicago, IL 60601
Ph: 312-670-2400
Fax: 312-670-5403
Steel Solutions Center: 866-275-2472
E-mail: solutions@aisc.org
Internet: https://www.aisc.org/

AMERICAN PETROLEUM INSTITUTE (API)
200 Massachusetts Avenue NW Suite 1100
Washington, DC 20001-5571
Ph: 202-682-8000
Internet: https://www.api.org/

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300

Email: customercare@asce.org
Internet: https://www.asce.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
180 Technology Parkway NW
Peachtree Corners, GA 30092
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)
Two Park Avenue
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: https://www.asme.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 W. Quincy Avenue
Denver, CO 80235 USA
Ph: 303-794-7711 or 800-926-7337
Fax: 303-347-0804
Internet: https://www.awwa.org/

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130
Miami, FL 33166-6672
Ph: 800-443-9353
Email: customercare@aws.org
Internet: https://www.aws.org/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL CONCRETE REPAIR INSTITUTE (ICRI)
1601 Utica Avenue South, Suite 213

Minneapolis MN 55416
Ph: 651-366-6095
Fax: 651-290-2266
E-mail: info@icri.org
Internet: https://www.icri.org/

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)
3050 Old Centre Ave. Suite 101
Portage, MI 49024
Ph: 269-488-6382 or 1-888-300-6382
Fax: 269-488-6383
Internet: https://www.netaworld.org/

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)
ISO Central Secretariat
BIBC II
Chemin de Blandonnet 8
CP 401 - 1214 Vernier, Geneva
Switzerland
Ph: 41-22-749-01-11
E-mail: central@iso.ch
Internet: https://www.iso.org

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)
1101 Wilson Blvd, Suite 1425
Arlington, VA 22209-1762
Ph: 703-525-1695
Fax: 703-528-2148
Internet: https://safetyequipment.org/

MASTER PAINTERS INSTITUTE (MPI)
2800 Ingleton Avenue
Burnaby, BC CANADA V5C 6G7
Ph: 1-888-674-8937
Fax: 1-888-211-8708
E-mail: info@paintinfo.com or techservices@mpi.net
Internet: http://www.mpi.net/

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)
1201 Pennsylvania Ave. NW, Suite 1200
Washington, DC 20004
Ph: 202-991-6300
Fax: 202-217-4171
Internet: https://www.necanet.org/

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Email: communications@nema.org
Internet: https://www.nema.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL INSTITUTE OF BUILDING SCIENCES (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005-4950
Ph: 202-289-7800
Fax: 202-289-1092
Internet: http://www.wbdg.org

POST-TENSIONING INSTITUTE (PTI)
38800 Country Club Drive
Farmington Hills, MI 48331
Ph: 248-848-3180
Bookstore: 248-848-3182
E-mail: technical.inquiries@post-tensioning.org
Internet: https://www.post-tensioning.org/

SOCIETY FOR PROTECTIVE COATINGS (SSPC)
800 Trumbull Drive
Pittsburgh, PA 15205
Ph: 877-281-7772 or 412-281-2331
Fax: 412-444-3591
E-mail: customerservice@sspc.org
Internet: http://www.sspc.org

STATE OF VIRGINIA ADMINISTRATIVE CODE (VAC)
201 N. Ninth Street

Richmond, Virginia 23219
Ph: 804-698-1810
E-mail: codes@dls.virginia.gov
Internet: http://register.dls.virginia.gov

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)
1320 North Courthouse Rosd, Suite 200
Arlington, VA 22201
Ph: 703-907-7700
Fax: 703-907-7727
E-mail: marketing@tiaonline.org
Internet: https://www.tiaonline.org/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEPARTMENT OF AGRICULTURE (USDA)
Order AMS Publications from:
AGRICULTURAL MARKETING SERVICE (AMS)
Seed Regulatory and Testing Branch
801 Summit Crossing Place, Suite C
Gastonia, NC 28054-2193
Ph: 704-810-8884
E-mail: PA@usda.gov
Internet: https://www.ams.usda.gov/
Order Other Publications from:

USDA Rural Development
Rural Utilities Service
STOP 1510, Rm 5135
1400 Independence Avenue SW
Washington, DC 20250-1510
Phone: (202) 720-9540
Internet:
https://www.rd.usda.gov/about-rd/agencies/rural-utilities-service

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)
Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
1200 Pennsylvania Avenue, N.W.
Washington, DC 20004
Ph: 202-564-4700
Internet: https://www.epa.gov
--- Some EPA documents are available only from:
National Technical Information Service (NTIS)
5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000

E-mail: ExecSecretariat.FHWA@dot.gov
Internet: https://highways.dot.gov/
Order from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

UL SOLUTIONS (UL)
333 Pfingsten Road
Northbrook, IL 60062
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://accuristech.com/

VIRGINIA DEPARTMENT OF TRANSPORTATION (VDOT)
7511 Burbage Drive
Suffolk, VA 23435
Ph: 800-367-7623
E-mail: vdotinfo@vdot.virginia.gov
Internet:

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00

QUALITY CONTROL
08/23, CHG 1: 05/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D3740	(2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E90	(2023) Standard Test Method for Laboratory Measurement of Airborne Sound Transmission Loss of Building Partitions and Elements
ASTM E329	(2023) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Bid Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan; G

SD-06 Test Reports

Verification Statement

SD-07 Certificates

Certificate Of Readiness; G

1.4 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC is comprised of plans, procedures, and organization necessary to produce an end product that complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The Quality Control Manager, Superintendent, Site Safety and Health Officer (SSHO), and all on-site supervisors are responsible for the quality of work and are subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. The Quality Control Manager must maintain a physical presence at the work site at all times and is the primary individual responsible for all quality control.

1.5 QUALITY CONTROL (QC) PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of the Commissioning Process (Cx). The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, independent Special Inspections and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations that comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.5.1 Meetings

1.5.1.1 Quality Control Plan Meeting

Prior to submission of the QC Plan, the Contractor may request a meeting with the Contracting Officer to discuss the QC Plan requirements of this Contract.

The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of Definable Feature of Work (DFOW).

1.5.1.2 Coordination and Mutual Understanding Meeting

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's quality control system. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the

Government. Provide a copy of the signed minutes to all attendees and include in the QC Plan. At a minimum the Coordination and Mutual Understanding Meeting must be repeated when a new QC Manager is appointed. There can be other occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

1.5.1.2.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, environmental requirements and procedures, coordination of activities to be performed, Special Inspections, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor must explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. Procedures for noise and acoustics management.

c. Environmental Protection Plan.

d. Environmental regulatory requirements.

e. Special Inspections.

f. Indoor Air Quality (IAQ) Management Plan.

1.5.1.2.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan. Coordinate Special Inspections.

1.5.1.2.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, Commissioning Provider (CxC), Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting.

1.5.1.3 Quality Control (QC) Meetings

After the start of construction, conduct weekly QC meetings led by the QC Manager at the work site with the Project Superintendent, the QC Specialists, the Special Inspector, CxC, and the other personnel as necessary. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within 2 working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and deficiencies/rework. Review the most current approved schedule (in accordance with schedule specification) and the status of work and deficiencies/rework.

c. Review the status of submittals and Request For Information (RFIs).

d. Review the work to be accomplished in the next 3 weeks as defined by the schedule section paragraph WEEKLY PROGRESS MEETINGS in Section 01 32 01.00 10 PROJECT SCHEDULE and all documentation required for that work.

e. Review Testing Plan and Log including status of tests performed since last QC Meeting.

f. Resolve QC and production problems. Discuss status of pending change orders.

g. Address items that may require revising the QC Plan.

h. Review Accident Prevention Plan (APP) and effectiveness of the safety program.

i. Review environmental requirements and procedures.

j. Review Environmental Management Plan.

k. Review Waste Management Plan.

l. Review the status of training completion.

m. Review Cx Plan and progress. Review Issues Log and resolution.

n. Review IAQ Management Plan.

1.5.2 Contractor Quality Control (CQC) Plan

Submit no later than 30 days after receipt of notice to proceed, the CQC Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. The Government will consider an interim plan for the first 7 days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan and other Contract requirements or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional work.

1.5.2.1 Content of Contractor Quality Control (CQC) Plan

Provide a CQC Plan, prior to start of construction that includes a table of contents, with major sections identified, pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control during the construction of the project. The CQC Plan must at a minimum include the following sections:

a. A description of the quality control organization and acknowledgment that the CQC staff will implement the three phase control system for

all aspects of the work specified.

b. An organizational chart showing the quality control organization with individual names and job titles and lines of authority up to an executive of the company at the home office.

c. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, (including position titles and durations for qualifying experiences) for each person in the QC organization. Include the Construction Quality Management (CQM) for Contractors course certifications for the QC personnel as required by the paragraph CONSTRUCTION QUALITY MANAGEMENT TRAINING.

d. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

e. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

f. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager, CxC, and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work that is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to the Assistant QC Manager and all other QC Specialists or quality control representatives outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.

g. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, scheduling, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

h. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraph ACCREDITATION REQUIREMENTS, as applicable.

i. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, associated feature of work required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.

j. Procedures to complete construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected. This phase is performed prior to beginning work on each definable feature of work, after all required plans, documents, materials are approved, and after copies are at the work site.

k. Reporting procedures, including proposed reporting formats.

l. Procedures for submitting and reviewing design changes/variations prior to submission to the Contracting Officer.

m. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines, or it is work by the same trade in a different environment. A DFOW is by definition any item or activity on the construction schedule, and the schedule specification provides direction regarding how the DFOWs are to be structured. Include in the list of DFOWs for all activities on the Construction Schedule. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. Identify the specification section number and schedule activity ID for each DFOW listed. The DFOW list will be reviewed in coordination with the construction schedule and agreed upon during the Coordination of Mutual Understanding Meeting.

n. PROCEDURES FOR PERFORMING AND TRACKING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each Definable Feature of Work (DFOW), a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

o. Coordinate scheduled work with Special Inspections, the Statement of Special Inspections and the Special Inspections Project Manual. Where the applicable code issued by the International Code Council (ICC) calls for inspections by the Building Official, the Contractor must include the inspections in the Quality Control Plan and must perform the inspections required by the applicable ICC. The Contractor must perform these inspections using independent qualified inspectors. Include the Special Inspections Project Manual requirements in the QC Plan.

p. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.

q. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.

r. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications required for entities such as subcontractors, testing laboratories, suppliers, and personnel. The QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the Contract that the work is being performed.

1.5.3 Acceptance of the Quality Control (QC) Plan

The Contracting Officer's acceptance of the Contractor QC Plan, or interim plan applicable to the particular feature of work to be started, is required prior to the start of construction. The Government will consider an interim plan for the first 7 days of operation. Work outside of the

accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional work. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal or addition of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.5.4 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel. Proposed changes are subject to acceptance by the Contracting Officer.

1.5.5 Special Inspections

Perform all required Special Inspections, the statement of Special Inspections and the Schedule of Special Inspections.

1.6 QUALITY CONTROL (QC) ORGANIZATION

1.6.1 Personnel Requirements

The requirements for the CQC organization are a Site Safety and Health Officer (SSHO), QC Manager, and enough qualified personnel to ensure safety and Contract compliance. The SSHO reports directly to a senior project (or corporate) official independent from the QC Manager. The SSHO will also serve as a member of the CQC Staff Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly. The CQC staff always maintains a presence at the site during progress of the work and have complete authority and responsibility to take any action necessary to ensure Contract compliance. The CQC staff will be subject to acceptance by the Contracting Officer. Provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Promptly complete and furnish all letters, material submittals, shop drawing submittals, schedules, and all other project documentation to the CQC organization. The CQC organization is responsible for always maintaining these documents and records at the site, except as otherwise acceptable to the Contracting Officer.

1.6.2 Quality Control (QC) Manager

1.6.2.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program. The QC Manager must be employed by the Prime Contractor. In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent. The only duties and responsibilities of the QC Manager are to manage and implement the QC program on this Contract. The QC Manager must attend the Coordination and Mutual Understanding Meeting, perform the three phases of control except for those phases of control designated to be performed by QC Specialists, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three

phases of control and documentation performed by the QC Specialists, testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities. The QC manager is responsible for notifying the Special Inspector and Special Inspector of Record of activities which require their review. The QC manager is responsible for coordinating the Special Inspection activities. The QC manager is responsible for the quality control for Controlled Area perimeter construction.

1.6.2.2 Qualifications

The QC Manager must be an individual with a minimum of 10 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction Contracts which included the major trades that are part of this Contract. The individual must have at least 4 years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification, safety compliance, and sustainability.

The QC Manager must possess at least a Bachelor's degree in engineering, architecture, or construction management, with a current professional engineer registration in the state of Virginia or a current licensed architect in the state of Virginia.

The QC Manager and all members of the QC organization must be capable of reading, writing, and conversing fluently in the English language.

1.6.2.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager and all members of the QC team must have completed the CQM for Contractors course. If the QC Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Systems Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the QC Manager's certificate has expired, retake the course to remain current.

1.6.2.4 Quality Control Requirements for Multiple Work Sites

Provide separate full-time QC Managers at the work sites listed below. Provide a separate full-time QC Manager at the following work sites:

a. Philpott Dam

The QC Managers for the work sites listed above are each responsible for implementing and managing the QC Program at the designated work site. They must perform the three phases of control, perform submittal review, ensure testing is performed, and prepare QC certifications and documentation required by this Contract. The qualification requirements for the QC Managers at each site are listed in the paragraph QUALIFICATIONS.

1.6.3 Organizational Changes

Maintain the QC staff with personnel as required by the specification section at all times. When it is necessary to make changes to the QC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

1.6.4 Alternate Quality Control (QC) Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.6.5 Assistant Quality Control (QC) Manager Duties and Qualifications

Provide a full-time assistant to the QC Manager at the work site to perform the three phases of control, perform submittal review, ensure testing is performed, and prepare QC certifications and documentation required by this Contract. The qualification requirements for the Assistant QC Manager must be 2 years experience as a Quality Manager. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance.

The Assistant QC Manager must be on the work site during supplemental work shifts beyond the regular shift and perform the duties of the QC Manager during such supplemental shift work.

The Assistant QC Manager must be capable of reading, writing, and conversing fluently in the English language.

1.6.6 Commissioning

Commissioning (Cx) is a systematic, quality-focused process for delivery of a project focusing on verifying and documenting all commissioned systems and assemblies are installed, tested, and operating as they were planned and designed to meet the project requirements. The Quality Control requirements outlined in this specification section are key in supporting the objectives of the Cx process, specifically coordinating testing, documenting, and verifying proper system operation. Properly executed the Quality Control support of Cx ensures timely execution of necessary tasks to deliver the fully commissioned and operating systems in coordination with the overall construction and project schedule.

Provide Cx in addition to the quality control requirements of this section and not as a substitute for quality control requirements. The QC Manager is responsible for carrying out the three phases of control while ensuring the functional performance and integrated systems tests are coordinated with the Cx provider as required for each system to be commissioned.

1.6.6.1 Certificate of Readiness

The QC Manager must issue a Certificate of Readiness for Government approval for each system to be commissioned. Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. The Certificate of Readiness certifies that all required inspections have been completed and deficiencies that were identified through any prior review, inspection, or test activity have been corrected before the start of Functional

Performance Tests.

1.6.7 Quality Control (QC) Specialists

Provide a separate QC Specialist at the work site for each of the areas as listed in the Matrix listed below, who must assist and report to the QC Manager and who may perform production related duties but must be allowed sufficient time to perform their assigned quality control duties. These individuals or specialized technical companies are directly employed by the Prime Contractor and cannot be employed by a supplier or subcontractor on this project. A single person can cover more than one area provided that the single person is qualified to perform quality control activities in each designated and that workload allows. QC Specialists must be physically present at the work site with frequency as indicated in the Experience Matrix below, to participate in the QC Meetings, perform the three phases of control, including participation in Preparatory and Initial Phase meetings, and to perform and document Follow-up inspections as an extension of the QC Manager for each definable feature of work in their area of responsibility. QC Specialist must assist and be present for training events, and Critical System Acceptance inspections by the Government. Qualification, experience, Area of Responsibility, and frequency of QC surveillance are provided in Matrix listed herein.

Experience Matrix		
1. Area	**2-1. Qualification** **2-2. Experience**	**3-1. Area of Responsibility** **3-2. Frequency**
~~[~~Civil~~]~~	~~[~~2-1. Graduate Civil Engineer or Construction Manager with ~~[~~a professional engineer registration ~~[~~in the state of Virginia~~[_____]]~~, and ~~]~~ 2-2. 2 years experience in the type of work being performed on this project or technician with 5 years related experience~~]~~	~~[~~3-1. Area of Responsibility: ~~[_____]~~Philpott Dam in Virginia 3-2. Frequency of QC surveillance and inspection will be ~~[_____]~~daily during installation and including final inspection.~~]~~
~~[~~Electrical~~]~~	~~[~~2-1. Graduate Electrical Engineer with ~~[~~a professional engineer registration ~~[~~in the state of Virginia~~[_____]]~~, and ~~]~~ 2-2. 2 years related experience or person 5 years of experience supervising electrical features of work in the field with a construction company~~]~~	~~[~~3-1. Area of Responsibility: Philpott Dam in Virginia~~[_____]~~ 3-2. Frequency of QC surveillance and inspection will be daily~~[_____]~~ during installation and including final inspection.~~]~~

[Structural]	[2-1. Graduate Civil Engineer (with Structural Track or Focus) or Construction Manager with [a professional engineer registration [in the state of Virginia[_____]], and] 2-2. 2 years experience or person 5 years of experience supervising structural features of work in the field with a construction company]	[3-1. Area of Responsibility: Philpott Dam in Virginia[_____] 3-2. Frequency of QC surveillance and inspection will be daily[_____] during installation and including final inspection.]
Anchor and Testing Engineer	2-1. Experience with installing post tensioned anchors and the testing of those anchors	
[Environmental]	[2-1. Graduate Environmental Engineer with [a professional engineer registration [in the state of [_____]Virginia], and] 2-2. 3 years experience]	[3-1. Area of Responsibility: Philpott Dam in Virginia[_____] 3-2. Frequency of QC surveillance and inspection will be [_____]daily during installation and including final inspection.]
[Submittals]	[2-1. Submittal Clerk 2-2. 1 year experience]	[3-1. Area of Responsibility: Philpott Dam in Virginia[_____] 3-2. Frequency of QC surveillance and inspection will be [_____]daily during installation and including final inspection.]
[Concrete, Pavements and Soils]	[2-1. Materials Technician 2-2. 2 years experience for the appropriate area]	[3-1. Area of Responsibility: Philpott Dam in Virginia[_____] 3-2. Frequency of QC surveillance and inspection will be [_____]daily during installation and including final inspection.]
[Geotechnical]	[2-1. [_____] ~~2-2. [_____]~~5 years of experience	[3-1. Area of Responsibility: Philpott Dam in Virginia[_____] 3-2. Frequency of QC surveillance and inspection will be [_____]daily during installation and including final inspection.]

~~[~~Telecommunications~~]~~	~~[2-1. [_____]~~ ~~2-2. [_____] years of experience]~~	~~[~~3-1. Area of Responsibility: Philpott Dam in Virginia~~[_____]~~ 3-2. Frequency of QC surveillance and inspection will be ~~[_____]~~daily during installation and including final inspection.~~]~~
~~[_____]~~CQC Manager	~~[_____]~~2-1. Graduate engineering, architecture, or construction management a professional engineer registration in the state of Virginia 2-2. 4 years experience as a QC Manager and 10 years combined experience as Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager.	~~[_____]~~3-1. Area of Responsibility: Philpott Dam in Virginia 3-2. Frequency of QC surveillance and inspection will be daily during installation and including final inspection.

1.6.7.1 Quality Control (QC) for Controlled Area Perimeter Construction

1.6.7.1.1 Periodic (Follow-Up Phase) Inspections

Once construction begins, perform periodic inspections of Controlled Area identified in the Contract drawings at least once every 2 weeks. Increase frequency to weekly inspections within 30 days of planned acceptance testing. Coordinate periodic inspections with the appointed Government Site Security Manager (SSM) responsible for ensuring the assembly meets the requirements for accreditation. Inspections must verify that construction and materials comply with the Contract documents, the description of the assembly in the ASTM E90 Factory Report for acoustical testing, and the approved submittals. Focus inspections on the construction of the sound rated assemblies, perimeter penetrations, perimeter doors. Document periodic inspections in Daily QC Reports.

1.6.7.1.2 Preliminary Inspection

The Government and QC Manager will perform a joint preliminary inspection of the Controlled Area after construction of the assembly is complete to verify compliance with the design requirements and other Contract documents. The Contracting Officer and the appointed Government SSM will participate in the preliminary inspection. Provide the Contracting Officer a minimum 14 calendar days notification in advance of the preliminary inspection.

As a result of the preliminary inspection, prepare a Secure Space punch list with deficiencies identified. Include with the punch list the estimated date by which the deficiencies will be corrected. Document the preliminary inspection in the Daily QC Report and attach the punch list. Notify the Contacting Officer when deficiencies are corrected. Deficiencies from the Preliminary Inspection must be corrected prior to scheduling the Final Acceptance Inspection.

1.6.8 Submittal Reviewer Duties and Qualifications

Provide Submittal Reviewer, other than the QC Manager or CxC, qualified in the discipline being reviewed, to review and certify that the submittals meet the requirements of this Contract prior to certification or approval by the QC Manager.

Each submittal must be reviewed by an individual with 10 years of construction experience.

Each submittal must be reviewed by a registered architect or professional engineer.

1.7 SUBMITTAL AND DELIVERABLES REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES. Procedures must include field verification of relevant dimensions and component characteristics by the QC organization prior to submittal being sent to the Contracting Officer. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the Contract. Submittals have to be coordinated with Section 01 33 00 SUBMITTAL PROCEDURES to ensure adequate time is allowed for each type of submittal required.

1.8 THREE PHASES OF CONTROL

CQC enables the Contractor to ensure that the construction, including that of subcontractors and suppliers, complies with the requirements of the Contract. At least three phases of control must be conducted by the QC Manager to adequately cover both on-site and off-site work for each definable feature of the construction work as follows:

1.8.1 Preparatory Phase

Document the results of the preparatory phase actions by separate minutes prepared by the QC Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required to meet Contract specifications.

Notify the Contracting Officer at least 2 business days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the QC Specialists, the Project Superintendent, the CxC, the Special Inspector, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections, reference codes, and standards. Make available during the prepatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction or shop drawings or both before confirming product orders, to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

f. Examine the work area to ensure that the required preliminary work has been completed and complies with the Contract and ensure any deficiencies/rework items in the preliminary work have been corrected and confirmed by the Contracting Officer.

g. Review coordination of product/material delivery to designated prepared areas to execute the work.

h. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

i. Check to assure that all materials and equipment have been tested, submitted, and approved.

j. Discuss specific controls to be used, construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW. Ensure any portion of the plan requiring separate Contracting Officer acceptance has been approved.

k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

l. Review the Cx requirements and ensure all preliminary work items have been completed and documented.

m. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.

n. Discuss schedule and execution of the initial control phase and confirmation or construction quality compliance.

o. Review Special Inspections, the Statement of Special Inspections and the Schedule of Special Inspections.

1.8.2 Initial Phase

Notify the Contracting Officer at least 2 business days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the QC Specialists, the Project Superintendent, the Special Inspector, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the

initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site when acceptable levels of specified quality are not being met. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases. Perform the following for each DFOW:

a. Check work to ensure that it is in full compliance with Contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full Contract compliance. Verify required control inspection and testing comply with the Contract.

c. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve any workmanship issues.

e. Ensure that testing is performed by the approved laboratory.

f. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

g. Review project specific work plans (i.e., Cx, HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

h. Coordinate scheduled work with Special Inspections, the Statement of Special Inspections and the Schedule of Special Inspections.

1.8.3 Follow-Up Phase

Perform the following for on-going DFOW daily, or more frequently as necessary, until the completion of each DFOW. The Final Follow-Up for any DFOW will clearly note in the daily report the DFOW is completed, and all deficiencies/rework items have been completed in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Each DFOW that has completed the Initial Phase and has not completed the Final Follow-up must be included on each daily report. If no work was performed on that DFOW for the period of that daily report, it must be so noted. Document all Follow-Up activities for DFOWs in the daily CQC Report:

a. Ensure the work including control testing complies with Contract requirements until completion of that particular work feature. Record checks in the CQC documentation.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that deficiencies/rework items are being corrected. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work.

e. Do not build upon nor conceal non-conforming work.

f. Assure manufacturers' representatives have performed necessary inspections if required and perform safety inspections.

g. Coordinate scheduled work with Special Inspections, the Statement of Special Inspections and the Schedule of Special Inspections.

1.8.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW has not started within 45 days of the initial preparatory meeting or has resumed after 45 days of inactivity, or if other problems develop.

1.8.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least 2 weeks prior to the start of the preparatory and initial phases.

1.8.6 Deficiency/Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be corrected, the activity ID number associated with the item, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected.

The QC Manager reviews the list at each weekly QC Meeting:

a. There is no requirement to report a deficiency/rework item that is corrected the same day it is discovered.

b. No successor task may be advanced beyond the preparatory phase meeting until all deficiencies/rework items have been cleared by the QC Manager and concurred with by the Contracting Officer. This must be confirmed as part of the Preparatory Phase activities.

c. Attach a copy of the "Deficiency/Rework Items List" to the last daily CQC Report of each month.

d. The Contractor is responsible for including those items identified by the Contracting Officer.

e. All deficiencies/rework items must be confirmed as corrected by the QC Manager, and concurred by the Contracting Officer, prior to commencement of any completion inspections per paragraph COMPLETION INSPECTIONS unless specifically exempted by the Contracting Officer.

f. Non-Compliance with these requirements is grounds for removal in accordance with paragraph ACCEPTANCE OF THE QUALITY CONTROL (QC) PLAN.

g. All delays, concurrent or related to failure to manage, monitor, control, and correct deficiencies/rework items are entirely the responsibility of the Contractor and can not be made the subject, or any component of any request for additional time or compensation.

1.9 TESTING

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to Contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of an U.S. Army Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site or within 10 miles. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with Contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

1.9.1 Laboratory Accreditation Authorities

All testing laboratories must be validated by the USACE Material Testing Center (MTC) for the tests to be performed. Information on the USACE MTC with web-links to both a list of validated testing laboratories and for the laboratory inspection request for can be found at: https://mtc.erdc.dren.mil

1.9.2 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract. Laboratories utilized for testing soils, concrete, asphalt, and steel must meet criteria detailed in ASTM D3740 and ASTM E329.

1.9.2.1 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge of $500 to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the Contract amount due the Contractor.

1.9.2.2 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

1.9.3 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager.

1.10 COMPLETION INSPECTIONS

1.10.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications, and Contract. Include in the punch list any remaining items on the "Deficiency/Rework Items List", that were not corrected prior to the Punch-Out Inspection as approved by the Contracting Officer in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer.

The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. All punch list items must be confirmed as corrected by the QC Manager and concurred by the Contracting Officer. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.10.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected and concurred by the Contracting Officer prior to notifying the Government that a "Final" inspection with the Client can be scheduled. All items noted on the "Pre-Final" inspection must be corrected and concurred by the Contracting Officer in a timely manner and be accomplished before the Contract completion date for the work, or any increment thereof, if the project is divided into increments by separate completion dates unless exceptions are directed by the Contracting Officer.

1.10.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other Government QA personnel, and personnel representing the Client. Failure of the Contractor to have all Contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.11 QUALITY CONTROL (QC) CERTIFICATIONS

1.11.1 Contractor Quality Control (CQC) Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used, and work performed during this reporting period is in compliance with the Contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.11.2 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.12 DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER

Maintain current and complete records of on-site and off-site QC program operations and activities.

Contact the Contracting Officer for sample forms or print from RMS-QCS as needed. Prior to commencing work on construction, the Contractor must obtain a copy set of the current report forms. The report forms will consist of the Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Contractor Production Report, Contractor Production Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Testing Plan and Log, and Rework Items List. Unless otherwise provided by the Contracting Officer, Contractor may use the forms provided as related material located at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-45-00.

1.12.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract.

The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The reporting of work must be identified by terminology consistent with the construction schedule. In the "Remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered, a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, and meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.12.2 Quality Control Activities

CQC and Contractor Production reports will be prepared daily to maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractors and any subcontractors.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When a Network Analysis Schedule (NAS) is used, identify each item of work performed each day by NAS activity number.

d. Control phase activities performed. Preparatory, and Initial phase Checklists associated with the DFOW referenced to the construction schedule. Follow-up phase activities identified to the DFOW. If testing or specific QC Specialist activities are associated with the Follow-up phase activities for a specific DFOW note this and include those reports.

e. Test and control activities performed with results and references to specifications and drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST.

f. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications and drawings requirements.

g. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

h. Offsite surveillance activities, including actions taken.

i. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

j. Instructions given/received and conflicts in plans and specifications.

1.12.3 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract.

Furnish the original and one copy of these records in report form to the Government by 10:00 AM the next working day after the date covered by the report. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the Contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the QC Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the QC Manager Report.

1.12.4 Reports from the Quality Control (QC) Specialist(s)

Document inspection results on a QC specialist report prepared each day work is performed in their area of responsibility. The report must include a description of the visual inspection or observation performed, a written summary of findings, a conclusion on compliance with the Contract documents, and signature of the QC Specialist. In person inspections must be documented with Video/photographs. Video/photographic documentation of deficiencies must include before and after conditions and physical measurements, as necessary. Forward the QC daily report to the QC Manager who must include the report with the submission of their daily QC Report to the Government each day. Every site visit by the QC Specialist must be documented on a QC Specialist daily report.

1.12.5 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

a. CQC Meeting minutes in accordance with paragraph QUALITY CONTROL (QC) MEETINGS.

b. All completed Preparatory and Initial Phase Checklists, arranged by specification section, further sorted by DFOW referenced to the construction schedule. Submit each individual Phase Checklist the day the phase event occurs as part of the CQC daily report.

c. All milestone inspections, arranged by Activity Number referenced to the construction schedule.

d. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section referenced to the DFOW to which individual reports results are associated. Individual field test reports will be submitted within 2 working days after the test is performed in accordance with the paragraph QUALITY CONTROL ACTIVITIES.

e. Copies of all Contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

f. An up-to-date copy of the paragraph DEFICIENCY/REWORK ITEMS LIST.

g. Special Inspection reports.

h. Upon commencement of Completion Inspections of the entire project or any defined portion, maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and subcontractors and all punch lists issued by the Government in accordance with the paragraph COMPLETION INSPECTIONS.

1.12.6 Testing Plan and Log

As tests are performed, the CxC and the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month. Provide a copy of the final "Testing Plan and Log" to the preparer of the Operation & Maintenance (O&M) documentation.

1.12.7 As-Built Drawings

The QC Manager must ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. The as-built drawings document commences with the QC Manager ensuring all amendments, or changes to the Contract prior to Contract award are accurately noted in the initial document set creating the accurate baseline of the Contract prior to any work starting. Ensure each deviation has been identified with the appropriate modifying documentation (e.g., PC No., Modification No., Request for Information No.). The QC Manager or QC Specialist assigned to an area of responsibility must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.13 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of a claim for extension of time for excess costs or damages by the Contractor.

1.14 DELIVERY, STORAGE, AND HANDLING

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

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SECTION 01 45 00.15 10

RESIDENT MANAGEMENT SYSTEM CONTRACTOR MODE (RMS CM)
11/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this section to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 MEASUREMENT AND PAYMENT

The work of this section is not measured for payment. The Contractor is responsible for the work of this section, without any direct compensation other than the payment received for contract items.

1.3 CONTRACT ADMINISTRATION

The Government will use the Resident Management System (RMS) to assist in its monitoring and administration of this contract. The Government accesses the system using the Government Mode of RMS (RMS GM) and the Contractor accesses the system using the Contractor Mode (RMS CM). The term RMS will be used in the remainder of this section for both RMS GM and RMS CM. The joint Government-Contractor use of RMS facilitates electronic exchange of information and overall management of the contract. The Contractor accesses RMS to record, maintain, input, track, and electronically share information with the Government throughout the contract period in the following areas:

Administration
Finances
Quality Control
Submittal Monitoring
Scheduling
Closeout
Import/Export of Data

1.3.1 Correspondence and Electronic Communications

For ease and speed of communications, exchange correspondence and other documents in electronic format to the maximum extent feasible. Some documents such as correspondence, including pay requests and payrolls, may be required in paper format with original signatures. Paper documents will govern in the event of discrepancy with the electronic version. Contracting Officer will determine which documents require submission of paper copies.

1.3.2 Other Factors

Other portions of this document have a direct relationship to the

reporting accomplished through RMS. Particular attention is directed to FAR 52.236-15 Schedules for Construction Contracts; FAR 52.232-27 Prompt Payment for Construction Contracts; FAR 52.232-5 Payments Under Fixed-Priced Construction Contracts; Section 01 32 01.00 10 PROJECT SCHEDULE; Section 01 33 00 SUBMITTAL PROCEDURES; Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS; and Section 01 45 00 QUALITY CONTROL.

1.4 RMS SOFTWARE

RMS is a web based application. Download, install and be able to utilize the latest version of RMS within 7 calendar days of receipt of the Notice to Proceed. RMS software, user manuals, access and installation instructions, program updates and training information are available from the RMS website (https://rms.usace.army.mil). The Government and the Contractor will have different access authorities to the same contract database through RMS. The common database will be updated automatically each time a user finalizes an entry or change.

1.5 CONTRACT DATABASE - GOVERNMENT

The Government will enter the basic contract award data in RMS prior to granting the Contractor access. The Government entries into RMS will generally be related to submittal reviews, correspondence status, and Quality Assurance (QA) comments, as well as other miscellaneous administrative information.

1.6 CONTRACT DATABASE - CONTRACTOR

Contractor entries into RMS establish, maintain, and update data throughout the duration of the contract. Contractor entries generally include prime and subcontractor information, daily reports, submittals, RFI's, schedule updates and payment requests. RMS includes the ability to import attachments and export reports in many of the modules, including submittals. The Contractor responsibilities for entries in RMS typically include the following items:

1.6.1 Administration

1.6.1.1 Contractor Information

Enter all current Contractor administrative data and information into RMS within 7 calendar days of receiving access to the contract in RMS. This includes, but is not limited to, Contractor's name, address, telephone numbers, management staff, and other required items.

1.6.1.2 Subcontractor Information

Enter all missing subcontractor administrative data and information into RMS CM within seven calendar days of receiving access to the Contract in RMS or within seven calendar days of the signing of the subcontractor agreement for agreements signed at a later date. This includes name, trade, address, phone numbers, and other required information for all subcontractors. A subcontractor is listed separately for each trade to be performed.

1.6.1.3 Correspondence

Identify all Contractor correspondence to the Government with a serial number. Prefix correspondence initiated by the Contractor's site office

with "S". Prefix letters initiated by the Contractor's home (main) office with "H". Letters are numbered starting from 0001. (e.g., H-0001 or S-0001). The Government's letters to the Contractor will be prefixed with "C" or "RFP".

1.6.1.4 Equipment

Enter and maintain a current list of equipment planned for use or being used on the jobsite, including the most recent and planned equipment inspection dates in accordance with Section 01 45 00 QUALITY CONTROL.

1.6.1.5 Reports

Track the status of the project using the reports available in RMS. The value of these reports reflects the quality of the data input. These reports include but are not limited to the Progress Payment Request worksheet, Quality Control (QC) comments, Deficiency Tracking Log, Submittal Register Status, and Three-Phase Control worksheets in accordance with Sections 01 20 00 PRICE AND PAYMENT PROCEDURES, Section 01 32 01.00 10 PROJECT SCHEDULE, 01 33 00 SUBMITTAL PROCEDURES, and 01 45 00 QUALITY CONTROL.

1.6.1.6 Request For Information (RFI)

Create and track all Requests For Information (RFI) in the RMS Administration Module for Government review and response. Provide status at weekly progress meeting in accordance with Section 01 32 01.00 10 PROJECT SCHEDULE.

1.6.2 Finances

1.6.2.1 Pay Activity Data

Develop and enter a list of pay activities in conjunction with the project schedule in accordance with Section 01 32 01.00 10 PROJECT SCHEDULE. The sum of pay activities equals the total Contract amount, including modifications. Each pay activity must be assigned to a Contract Line Item Number (CLIN). The sum of the activities assigned to a CLIN equals the amount of each CLIN.

1.6.2.2 Payment Requests

Prepare all progress payment requests using RMS. Update the work completed under the Contract at least monthly, measured as percent or as specific quantities. After the update, generate a payment request and prompt payment certification using RMS. Submit the signed prompt payment certification and payment request as well as supporting data, either electronically or by hard copy.

1.6.3 Quality Control (QC)

Enter and track implementation of the 3-phase QC Control System, QC testing, transferred and installed property and warranties in RMS. Link each deficiency and QC test to a pay activity. Prepare daily reports, identify and track deficiencies, document progress of work, and support other Contractor QC requirements in RMS. Maintain all data on a daily basis. Ensure that RMS reflects all quality control methods, tests and actions contained within the Contractor Quality Control (CQC) Plan and Government review comments. Provide within seven calendar days of

Government acceptance of the CQC Plan.

1.6.3.1 Quality Control (QC) Reports

The Contractor's Quality Control (QC) Daily Report in RMS is the official report. The Contractor can use other supplemental formats to record QC data, but information from any supplemental formats are to be consolidated and entered into the RMS QC Daily Report. Any supplemental information may be entered into RMS as an attachment to the report. QC Daily Reports must be finalized and signed in RMS within 24 hours after the date covered by the report. Provide the Government a printed signed copy of the QC Daily Report.

1.6.3.2 Deficiency Tracking

Use the QC Daily Report Module to enter and track deficiencies. Deficiencies identified and entered into RMS by the Contractor or the Government will be sequentially numbered with a QC or QA prefix for tracking purposes. Enter each deficiency into RMS the same day that the deficiency is identified. Monitor, track and resolve all QC and QA entered deficiencies. A deficiency is not considered to be corrected until the Government indicates concurrence in RMS.

1.6.3.3 Three-Phase Control Meetings

Maintain scheduled and actual dates and times of preparatory and initial control meetings in RMS, and upload meeting minutes of the meetings. Worksheets for the three-phase control meetings are generated within RMS.

1.6.3.4 Labor and Equipment Hours

Enter labor and equipment exposure hours on a daily basis. Roll up the labor and equipment exposure data into a monthly exposure report.

1.6.3.5 Accident/Safety Reporting

Both the Contractor and the Government enter safety related comments in RMS as a deficiency. The Contractor must monitor, track and show resolution for safety issues in the QC Daily Report area of the RMS QC Module. In addition, follow all reporting requirements for accidents and incidents as required in EM 385-1-1, Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and as required by any other applicable Federal, State or local agencies.

1.6.3.6 Definable Features of Work

Enter each feature of work, as defined in the approved CQC Plan, into the RMS QC Module. A feature of work may be associated with a single or multiple pay activities, however a pay activity is only to be linked to a single feature of work.

1.6.3.7 Activity Hazard Analysis

Import activity hazard analysis electronic document files into the RMS QC Module utilizing the document package manager. Prepare activity hazard analysis in accordance with Section 01 35 26 GOVERNMENT SAFETY REQUIREMENTS.

1.6.4 Submittal Management

Enter all current submittal register data and information into within the time frame directed by the Contracting Officer. Contractor is responsible for entering Activity Numbers for each submittal item corresponding to their Schedule. The Contractor will import the information shown in the submittal register following the specification Section 01 33 00 SUBMITTAL PROCEDURES unless otherwise directed by the Contracting Officer. Group electronic submittal documents into transmittal packages to send to the Government, except very large electronic files, samples, spare parts, mock ups, color boards, or where hard copies are specifically required. Track transmittals and update the submittal register in RMS on a daily basis throughout the duration of the Contract. Submit hard copies of all submittals unless waived by the Contracting Officer.

Contractor may request submittals to be added to RMS throughout the lifecycle of the project. Approval/disapproval of Contractor requests is at the discretion of the Contracting Officer. Submittals entry into RMS must be updated daily or as practical for performance of the Contract, as approved by the Contracting Officer.

1.6.5 Schedule

Enter and update the contract project schedule in RMS by either manually entering all schedule data or by importing the Standard Data Exchange Format (SDEF) file, based on the requirements in Section 01 32 01.00 10 PROJECT SCHEDULE.

1.6.6 Closeout

Closeout documents, processes and forms are managed and tracked in RMS by both the Contractor and the Government. Ensure that all closeout documents are entered, completed and documented within RMS.

1.6.7 Certificate of Readiness

The QC Manager must issue a Certificate of Readiness for Government approval for each system to be commissioned. Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. The Certificate of Readiness certifies that all required inspections have been completed and deficiencies that were identified through any prior review, inspection, or test activity have been corrected before the start of Functional Performance Tests.

1.7 IMPLEMENTATION

Use of RMS as described in the preceding paragraphs is mandatory. Ensure that sufficient resources are available to maintain contract data within the RMS system. RMS is an integral part of the Contractor's required management of quality control.

1.8 NOTIFICATION OF NONCOMPLIANCE

Take corrective action within seven calendar days after receipt of notice of RMS non-compliance by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
11/20, CHG 3: 08/24

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C511	(2017; R 2021) Reduced-Pressure Principle Backflow Prevention Assembly

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 241	(2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2009; Rev 2012) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan

Traffic Control Plan

Haul Road Plan; G

Contractor Computer Cybersecurity Compliance Statements

Contractor Temporary Network Cybersecurity Compliance Statements

SD-06 Test Reports

Backflow Preventer Tests

SD-07 Certificates

Backflow Tester Certification

Backflow Preventers Certificate of Full Approval

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas. Submit detail drawings, calculations, design loads, installation procedures, access limitations, equipment, and safety precautions for the work platform the will access the spillway work area.

1.4 BACKFLOW PREVENTERS CERTIFICATE

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

1.5 SEVERE WEATHER PLANNING

Based on the weather forecast for sustained winds of 50 knots (93 km/hr-58 mph) or greater, monitor weather conditions a minimum of twice a day and take appropriate actions according to the Severe Weather Plan in the accepted Accident Prevention Plan and instructions below. Unless otherwise directed by the Contracting Officer, comply with:

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, EM 385-1-1 Emergency Plan requirements and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage

areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.

b. Condition THREE (Sustained winds of 58 mph or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.

d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

1.6 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258.} Meet the following requirements throughout the construction process.

1.6.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

1.6.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.6.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. Computers which have connected to any other network since the last full antivirus scan must perform a full antivirus scan prior to connection to the control system network or to the temporary contractor-installed IP network. Perform an antivirus scan on all removable media (e.g., external hard drives, CDs, DVDs, USB flash drives) prior to connecting to the control system environment.

1.6.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.6.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-50-0 Each Statement must be signed by a cybersecurity representative for the relevant company.

1.6.2 Temporary IP Networks

Temporary Contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

1.6.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

1.6.3 Government Access to Network

Government personnel, as defined, prescribed, and identified by the Contracting Officer, must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification. If there is a Government agency responsible for network access, identify that agency.

1.6.4 Temporary Wireless IP Networks

1.6.4.1 Contractor Provided Wi-Fi Network

Provide standalone Wi-Fi network for authorized Government personnel. Network access must be available throughout the jobsite and for the entire Contract period. The Government will use the Wi-Fi service for coordination in the field and related activities. Do not attach or connect the temporary Wi-Fi network to Government networks or systems unrelated to construction activities.

Password information must adhere to requirements outlined in Paragraph PASSWORDS AND PASSPHRASES. Provide password and Wi-Fi network name (SSID) to the Contracting Officer for Government personnel access. Wi-Fi performance must be capable of handling bandwidth to support Government and Contractor activities, including drawing and model access onsite. Provide a point of contact for reporting Wi-Fi outages.

1.6.4.2 Temporary Wireless IP Networks Security

In addition to the other requirements on temporary IP networks, temporary

wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

1.6.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.6.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-50-0 Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

2.1.2 Project Identification Signs

The requirements for the signs, their content, and location are as indicated and as specified in Section 01 58 00 PROJECT IDENTIFICATION. Erect signs within 15 days after receipt of the notice to proceed. Correct the data required by the safety sign daily, with light colored metallic or non-metallic numerals.

2.1.3 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit haul road plan for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

Laydown yards are not easily visible as the Dam is very remote.

2.3.1 Polyethylene Mesh Safety Fencing

Temporary safety fencing must be a high visibility orange colored, high density polyethylene grid, a minimum of 48 inches high and maximum mesh size of 2 inches. Fencing must extend from the grade to a minimum of 48 inches above the grade and be tightly secured to T-posts spaced as necessary to maintain a rigid and taut fence. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.2 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels 6 feet high. Multiple fencing panels may be linked together at the bases to form long spans as needed. Each panel base must be weighted down using sand bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.3 Post-Driven Chain Link Fencing

Temporary post-driven fencing must be galvanized chain link fencing 6 feet

high supported by an tightly secured to galvanized steel posts driven below grade. Fence posts must be located on minimum 10 foot centers. Posts may be set in various surfaces such as sand, soil, asphalt or concrete as necessary. Chain link fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection. Completely remove fencing and posts at the completion of construction and restore surfaces disturbed or damaged to its original condition. Locate and identify underground utilities prior to setting fence posts. Equip fence with a lockable gate. Gate must remain locked when construction personnel are not present.

2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

2.5 BACKFLOW PREVENTERS

Certificate of Full Approval from FCCCHR List, University of Southern California, attesting that the design, size and make of each backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. Certificate of Provisional Approval is not acceptable.

Reduced pressure principle type conforming to the applicable requirements AWWA C511. Provide backflow preventers complete with 150 pound flanged brass mounted gate valve and strainer, 304 stainless steel, internal parts.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Payment for Utility Services

a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the Contract. Unless otherwise provided in the Contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve utilities furnished without charge.

b. Reasonable amounts of the following utilities will be made available without charge.

c. The point at which the Government will deliver such utilities or services and the quantity available must be coordinated with the Contracting Officer. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including providing transformers; and make disconnections.

d. The Contractor must provide their own utilities.

3.2.3 Meters and Temporary Connections

Provide and maintain necessary temporary connections, distribution lines, and meter bases (Government will provide meters) required to measure the amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will provide a meter and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. Do not make the final electrical connection.

3.2.4 Advance Deposit

An advance deposit for utilities consisting of an estimated month's usage or a minimum of $50.00 will be required. The last monthly bills for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed prior to the end of the current fiscal year.

3.2.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading, disconnect service, and remove the meters. Then remove all the temporary distribution lines, meter bases, and associated appurtenances. Pay all outstanding utility bills before final acceptance of the work by the Government.

3.2.6 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.7 Telephone

Make arrangements and pay all costs for telephone facilities desired.

3.2.8 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials weekly to minimize potential hazards.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, Life Safety Signage, overhead protection, and other items that may be required by the authority having jurisdiction.

d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. All personnel working in roadways will wear brightly-colored vests or other high visibility apparel in accordance with EM 385-1-1. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

3.3.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations for more than 5 minutes without notification to and approval by

the Contracting Officer.

3.3.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.4 REDUCED PRESSURE BACKFLOW PREVENTERS

Provide an approved reduced pressure backflow prevention assembly at each location where the Contractor taps into the Government potable water supply.

Perform backflow preventer tests using test equipment, procedures, and certification forms conforming to those outlined in the latest edition of the Manual of Cross-Connection Control published by the FCCCHR Manual. Test and tag each reduced pressure backflow preventer upon initial installation (prior to continued water use) and annually thereafter. Tag must contain the following information: make, model, serial number, dates of tests, results, maintenance performed, and signature of tester. Record test results on certification forms conforming to requirements cited earlier in this paragraph.

3.5 CONTRACTOR'S TEMPORARY FACILITIES

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

3.5.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will be available to the Contractor's personnel.

In the event a new building is constructed for the temporary project field office, it must be a minimum 12 feet in width, 16 feet in length and have a minimum of 7 feet headroom. Equip the building with approved electrical wiring, at least one double convenience outlet and the required switches and fuses to provide 110-120 volt power. Provide a work table with stool, desk with chair, two additional chairs, and one legal size file cabinet that can be locked. The building must be waterproof, supplied with a heater, have a minimum of two doors, electric lights, a telephone, a battery-operated smoke detector alarm, a sufficient number of adjustable windows for adequate light and ventilation, and a supply of approved drinking water. Provide approved sanitary facilities. Screen the windows and doors and provide the doors with deadbolt type locking devices or a padlock and heavy-duty hasp bolted to the door. Door hinge pins must be non-removable. Arrange the windows to open and to be securely fastened from the inside. Protect glass panels in windows by bars or heavy mesh screens to prevent easy access. In warm weather, provide air conditioning capable of maintaining the office at 50 percent relative humidity and a room temperature 20 degrees F below the outside temperature when the outside temperature is 95 degrees F. Unless otherwise directed by the Contracting Officer, remove the building from the site upon completion and

acceptance of the work.

3.5.2 Quality Control Manager Records and Field Office

Provide on the jobsite an office with approximately 100 square feet of useful floor area for the exclusive use of the QC Manager. Provide a weathertight structure with adequate heating and cooling, toilet facilities, lighting, ventilation, a 4 by 8 foot plan table, a standard size office desk and chair, computer station, and working communications facilities. Provide either a 1,500 watt radiant heater and a window-mounted air conditioner rated at 9,000 Btus minimum or a window-mounted heat pump of the same minimum heating and cooling ratings. Provide a door with a cylinder lock and windows with locking hardware. Make utility connections. Locate as directed. File quality control records in the office and make available at all times to the Government. After completion of the work, remove the entire structure from the site.

3.5.3 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored green or brown, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

Keep fencing in a state of good repair and proper alignment. If the Contractor elects to traverse grassed or unpaved areas which are not established roadways with construction equipment or other vehicles, cover the grassed or unpaved areas with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation must be at the Contractor's discretion. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, structures, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

3.5.4 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.5.5 Appearance of Trailers

a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling

paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.

b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

3.5.6 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.5.7 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.5.7.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.6 GOVERNMENT FIELD OFFICE

3.6.1 Resident Engineer's Office

The Dam Site has available space to accommodate the Government Resident Engineer.

3.7 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

3.8 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.9 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.10 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

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SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

STATE OF VIRGINIA ADMINISTRATIVE CODE (VAC)

9 VAC 25-840	Title 9, Agency 25, Chapter 840: Erosion And Sediment Control Regulations
9 VAC 25-850	Title 9, Agency 25, Chapter 850: Erosion And Sediment Control And Stormwater Management Certification Regulations
9 VAC 25-870	Title 9, Agency 25, Chapter 870: Virginia Stormwater Management Program (VSMP) Regulation

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA SW-846	(Third Edition; Update IV) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1053	Respirable Crystalline Silica
29 CFR 1910.1200	Hazard Communication
29 CFR 1926.1153	Respirable Crystalline Silica
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 64	Compliance Assurance Monitoring
40 CFR 82	Protection of Stratospheric Ozone
40 CFR 112	Oil Pollution Prevention
40 CFR 122.26	Storm Water Discharges (Applicable to State NPDES Programs, see section 123.25)

40 CFR 152	Pesticide Registration and Classification Procedures
40 CFR 152 - 186	Pesticide Programs
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.11	Hazardous Waste Determination and Recordkeeping
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.3	Standards for Universal Waste Management - Pesticides
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 273.6	Applicability - Aerosol Cans

40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 172.101	Hazardous Material Regulation-Purpose and Use of Hazardous Material Table
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e., methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and

contaminated pesticide equipment rinse water.

1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally or historically.

1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

1.2.7 Hazardous Materials

Hazardous material is any material that: Is defined in 49 CFR 171, listed in 49 CFR 172, regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.1200; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibits a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D, or meets a state, local, or host nation definition of a hazardous waste.

1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.10 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.11 Pesticide

Pesticide is any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant or desiccant.

1.2.12 Pesticide Treatment Plan

A plan for the prevention, monitoring, and control to eliminate pest infestation.

1.2.13 Pests

Pests are arthropods, birds, rodents, nematodes, fungi, bacteria, viruses, algae, snails, marine borers, snakes, weeds and other organisms (except for human or animal disease-causing organisms) that adversely affect readiness,dam site operations, or the well-being of personnel and animals; attack or damage real property, supplies, equipment, or vegetation; or are otherwise undesirable.

1.2.14 Project Pesticide Coordinator

The Project Pesticide Coordinator (PPC) is an individual who resides at a Civil Works Project office and who is responsible overseeing of pesticide application on project grounds.

1.2.15 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.16 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.17 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.17.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may not be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.17.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.

1.2.17.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.17.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 261.

1.2.17.5 Recyclables

Recyclables are materials, equipment and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household

appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may not be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.17.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.17.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.17.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.18 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, or creeks meeting the definition of "waters of the United States". Surface discharges from construction sites are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.19 Wastewater

Wastewater is the used water and solids that flow through a sanitary sewer to a treatment plant.

1.2.19.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.20 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.21 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.22 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey

Regulatory Notifications; G

Environmental Manager Qualifications; G

Employee Training Records; G

Environmental Protection Plan; G

Dirt and Dust Control Plan; G

Solid Waste Management Permit; G

Stormwater Pollution Prevention Plan (SWPPP); G

Stormwater Notice of Intent (for NPDES coverage under the general permit for construction activities); G

Spill Prevention Control And Countermeasure (SPCC) Plan; G

Mooring Plan; G

SD-06 Test Reports

Monthly Solid Waste Disposal Report; G

Inspection Reports

Laboratory Analysis

SD-07 Certificates

Employee Training Records; G

Erosion and Sediment Control Inspector Qualifications

Certificate of Competency

SD-11 Closeout Submittals

Regulatory Notifications; G

Assembled Employee Training Records; G

Solid Waste Management Permit; G

Stormwater Pollution Prevention Plan Compliance Notebook; G

Stormwater Notice of Termination (for NPDES coverage under the general permit for construction activities); G

As-Built Topographic Survey

Waste Determination Documentation; G

Project Solid Waste Disposal Documentation Report; G

Sales Documentation; G

Contractor Certification

Hazardous Waste/Debris Management; G

Disposal Documentation for Hazardous and Regulated Waste; G

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.5 SPECIAL ENVIRONMENTAL REQUIREMENTS

Comply with the special environmental requirements listed below and attached at the end of this section.

1.5.1 Mid-Atlantic

Comply with the following state, regional, and local requirements.

1.5.1.1 Virginia

1.5.1.1.1 Definition and Disposal Requirements of Empty Paint Cans

Paint Cans: Paint cans that are empty (free of liquids and as defined in 40 CFR 261.7) of paints, solvents, thinners and adhesives may be disposed of in dumpsters.

Metal paint cans that meet the empty standard can be placed in dumpsters marked "metal only"; plastic cans may be placed in solid waste dumpsters. Manage paint cans with liquid or more than one inch of solidified oil-based paint as a hazardous waste and label properly. Manage paint cans with excess water-based paint as non-hazardous waste provided that they do not contain free liquids or contain any substance with a hazardous characteristic as defined in 40 CFR 261. Contact NAVFAC MIDLANT Environmental Services for management requirements.

1.5.1.1.2 Erosion and Sediment Control Measures and Stormwater Management

1.5.1.1.2.1 Erosion and Sediment Control

Submit an erosion and sediment control plan, and comply with the requirements specified in the Virginia Erosion and Sediment Control Law and Regulations. (Virginia Code: 9 VAC 25-840). Obtain a Certificate of Competency in accordance with 9 VAC 25-850.

1.5.1.1.2.2 Construction Dewatering

Construction site stormwater runoff must be treated using proper erosion control measures or stormwater management practices prior to release from the construction site. Pollutants, including but not limited to chemicals, fuels, lubricants, sewage, paints, sedimentation, and other harmful materials must not be discharged into or alongside any river, stream, or impoundment, or into any channels leading to them. Implement appropriate erosion and sediment control measures to all disturbed areas or bare soils to prevent unauthorized offsite sedimentation. Apply stabilization measures to denuded portions of a project that are at final grade or where work has temporarily ceased within 7 days.

1.5.1.1.3 Virginia Stormwater Management

Where land disturbance is equal to or exceeds one acre, prepare and submit a Stormwater Pollution Prevention Plan (SWPPP) and comply with the requirements specified in the Virginia Stormwater Management Law and Regulations (Virginia Code: 9 VAC 25-870). Obtain Certificate of Competency in accordance with 9 VAC 25-850.

1.5.1.1.3.1 Stormwater General Permit for Construction Activities Registration Statement

In accordance with 9 VAC 25-870, submit a Registration Statement to the State to obtain Virginia Stormwater Management Program General Permit coverage, and as required under the General Permit, develop a SWPPP for the project. The SWPPP must meet the requirements of the State General Permit for storm water discharges from construction activities. Submit

the Registration Statement and appropriate permit fees to the appropriate state agency for approval a minimum of 15 calendar days prior to the start of any land disturbing activities. Maintain an approved copy of the SWPPP at the onsite construction office, and continually update as regulations require, reflecting current site conditions.

Coverage under this permit requires the Contractor to prepare a SWPPP, prepare and submit a Registration Statement and provide the permit fee to the responsible state agency before any land disturbing activities begin. File for permit coverage on behalf of both the Contractor and the Construction Officer, and file a Notice of Termination once construction is complete and the site is stabilized with a final sustainable cover. Install, inspect, maintain best management practices (BMPs), and submit stormwater BMP inspection reports and SWPPP inspection reports as required under the terms and conditions of the permit. Ensure construction operations and management comply with the terms and conditions of the general permit for stormwater discharges from construction activities.

1.5.1.1.3.2 Stormwater General Permit Inspection Reports

Complete and document, in the SWPPP Notebook, the Stormwater Inspection Reports as required by the State VSMP General Permit. The Stormwater inspections reports must include items required by the General Permit and must be completed at the inspection frequency detailed in 9 VAC 25-870. Obtain certificate of competency in accordance with 9 VAC 25-850.

1.6 QUALITY ASSURANCE

1.6.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

1.6.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 14 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.6.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the dam site; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and dam site Environmental Office to discuss the proposed Environmental Protection Plan (EPP) or equipment local requirement. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

1.6.4 Environmental Manager

Appoint in writing an Environmental Manager for the site. The Environmental Manager is directly responsible for coordinating contractor compliance with federal, state, local, and dam site requirements. The Environmental Manager must ensure compliance with Hazardous Waste Program requirements (including hazardous waste handling, storage, manifesting, and disposal); implement the EPP; ensure environmental permits are obtained, maintained, and closed out; ensure compliance with Stormwater Program requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of regulated substances (lead, asbestos, PCB transformers). This can be a collateral position; however, the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out. Submit Environmental Manager Qualifications to the Contracting Officer.

1.6.5 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Very Small Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Very Small Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet EPA and Virginia requirements. Conduct environmental protection/pollution control meetings for personnel prior to

commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; dam site and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area. Provide copy of the Erosion and Sediment Control Inspector Qualifications as defined by EPA and Certification as required by Virginia.

1.6.5.1 Pest Control Training

Trained personnel in pest control. Conduct a pest control meeting for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and pest infestation; familiarization with statutory and contractual pest control standards; dam site and care of devices, and instruments, if required, for monitoring purposes to ensure adequate and continuous pest control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of waters of the United States, and endangered species and their habitat that are known to be in the area. Provide a Certificate of Competency for the personnel who will be conducting the pesticide application and management of pest control.

1.6.6 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

1.7 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Submit the EPP not less than 60 calendar days before scheduled final site or building design approval. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental

impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.Plan must include measures to prevent spills of fuels or lubricants into state waters.

The EPP includes, but is not limited to, the following elements:

1.7.1 General Overview and Purpose

1.7.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as stormwater pollution prevention plan, spill control plan, solid waste management plan, wastewater management plan, air pollution control plan, contaminant prevention plan, pesticide treatment plan, a historical, archaeological, cultural resources, biological resources and wetlands plan, traffic control plan, Hazardous, Toxic and Radioactive Waste (HTRW) Plan, Non-Hazardous Solid Waste Disposal Plan, borrowing material plan.

1.7.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.7.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.7.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.7.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

1.7.2 General Site Information

1.7.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.7.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

Show where any fuels, hazardous substances, solvents, or lubricants will be stored. Provide a spill plan to address any releases of those materials.

1.7.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.7.3 Management of Natural Resources

a. Land resources

b. Tree protection

c. Replacement of damaged landscape features

d. Temporary construction

e. Stream crossings

f. Fish and wildlife resources

g. Wetland areas

1.7.4 Protection of Historical and Archaeological Resources

a. Objectives

b. Methods

1.7.5 Stormwater Management and Control

a. Ground cover

b. Erodible soils

c. Temporary measures

(1) Structural Practices

(2) Temporary and permanent stabilization

d. Effective selection, implementation and maintenance of Best Management Practices (BMPs).

e. Stormwater Pollution Prevention Plan (SWPPP).

(1)If land disturbing activities are greater than or equal to one acre, it is the responsibility of the Contractor to have the Construction Plan, which includes the Storm Water Pollution Prevention Plan, reviewed and approved by the applicable reviewing agency. The State of Virginia designates different reviewing agencies for different counties in the state. Commonly, the reviewing agency is the local Soil and Water Conservation District (SWCD) or Department of Natural Resources Division of Soil Conservation. Information on approving agencies can be obtained from the Virginia Department of Environmental Management. The reviewing agency has up to 28 calendar days from the date of submittal to review the Construction Plan. The Environmental Protection Plan must include documentation of the reviewing agency's approval of the Construction Plan, or the Contractor may submit, in the instance that the reviewing agency has exceeded the 28 calendar day review period, documentation that the reviewing agency has exceeded the review period.

1.7.6 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste.

Control and disposal of hazardous waste.

This item consist of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the dam site Hazardous Waste Management Plan when within an dam site. The Contracting Officer will provide a copy of the dam site Hazardous Waste Management Plan as applicable.

As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

1.7.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

1.7.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.7.9 Clean Air Act Compliance

1.7.9.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on dam site roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

1.7.9.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current dam site permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery or operations that could generate air emissions during the project to the dam site Environmental Office (Air Program Manager). Ensure required permits are obtained prior to installing and operating applicable equipment/processes.

1.7.9.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between maintenance/testing, emergency, and non-emergency operation.

1.7.9.4 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the

property of the Government, coordinate with the dam site Environmental Office to determine the appropriate turn in location.

1.7.9.5 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

1.7.9.6 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet dam site permit requirements for emissions.

1.7.10 Aquatic Life

Activities must not substantially disrupt the movement of aquatic life indigenous to the water body, including those species which normally migrate through the area.

Activities must include measures to prevent spills of fuels or lubricants into state waters. Any fish kills or spills of fuels or oils shall be reported to Virginia Department of Environmental Quality (VDEQ) immediately upon discovery. If VDEQ cannot be reached, the spill or fish kill shall be reported to the Virginia Department of Emergency Management (VDEM) at 1- 800-468-8892 or the National Response Center (NRC) at 1-800-424-8802. Any spill of oil as defined in § 62.1-44.34:14 of the Code of Virginia that is less than 25 gallons, and that reaches or is expected to reach land only, is not reportable if recorded per § 62.1-44.34:19.2 of the Code of Virginia and if properly cleaned up. If unauthorized impacts have occurred, VDEQ must be notified within 24 hours of discovery.

1.8 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all equipment that may require permits or special approvals that the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and Responsibilities.

1.9 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

1.10 PESTICIDE DELIVERY, STORAGE, AND HANDLING

1.10.1 Delivery and Storage

Deliver pesticides to the site in the original, unopened containers bearing legible labels indicating the EPA registration number and the manufacturer's registered uses. Store pesticides according to manufacturer's instructions and under lock and key when unattended.

1.10.2 Handling Requirements

Formulate, treat with, and dispose of pesticides and associated containers in accordance with label directions and use the clothing and personal protective equipment specified on the labeling for use during each phases of the application. Furnish SDSs for pesticide products.

1.11 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

1.11.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

1.12 FACILITY HAZARDOUS WASTE GENERATOR STATUS

The generator is designated as a Very Small Quantity Generator. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this dam site. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

1.13 MOORING PLAN

Barge access must not modify the existing boat ramp in any way that would cause impacts to the reservoir below the plane of the ordinary high water line. Contractor must provide a mooring plan to the Contracting Officer 60 days prior to commencement of work. The plan must meet Federal, State, and Local requirements and EM 385-1-1 Safety and Occupational Health (SOH) requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the dam site Environmental Office as applicable, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility. The following endangered / proposed endangered species could be present in the project area: Northern Long-eared Bat, Tricolored Bat. Since the project area includes suitable habitat for the endangered northern long-eared bat (Myotis septentrionalis) and tricolored bat (Perimyotis subflavus) the following interim avoidance/conservation measures from the U.S. Fish and Wildlife Service (USFWS) are currently in place and should be followed until final guidance is issued: Trees greater than or equal to 3-inch DBH shall not be removed from 1 April through 14 November Removal of trees greater than or equal to 3-inch DBH shall only occur during the bat inactive period from 15 November through 31 March.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the dam site Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

Activities must be executed in a manner so as to minimize adverse impacts on instream beneficial uses as defined in § 62.1-10 (b) of the Code of Virginia.

3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and dam site Environmental Office to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in

compliance with all required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. The discharge of contaminated waters to local drainages is prohibited. BMPs such as a turbidity curtain or storm drain filters must be used to prevent any water contamination.

3.2.1 Construction General Permit

Provide a Construction General Permit as required by 40 CFR 122.26 or EPA and the State of Virginia General Permit. Under the terms and conditions of the permit, install, inspect, maintain BMPs, prepare stormwater erosion and sediment control inspection reports, and submit SWPPP inspection reports. Erosion and sediment control best management practices will be installed, where appropriate, to manage stormwater runoff exposed to land disturbance activities. No sediment-laden stormwater, or otherwise contaminated water, will be discharged outside the limits of disturbance without proper water quality treatment.

3.2.1.1 Stormwater Pollution Prevention Plan

Submit a project-specific Stormwater Pollution Prevention Plan (SWPPP) to the Contracting Officer for approval, within 30 days of Contract Award and prior to the commencement of work. The SWPPP must meet the requirements of 40 CFR 122.26 and the EPA General Permit and the Virginia State General Permit for stormwater discharges from construction sites.

Activities in surface waters must be performed behind cofferdams, turbidity curtains, or other methods to control turbity when operationally feasible and state-listed threatened or endangered species are present.

Include the following:

a. Comply with terms of the EPA and Virginia general permit for stormwater discharges from construction activities. Prepare SWPPP in accordance with Virginia and EPA requirements. Use Virginia and EPA guide Developing your Stormwater Pollution Prevention Plan located at https://www.epa.gov/npdes/developing-stormwater-pollution-prevention-plan-swppp to prepare the SWPPP.

b. Select applicable BMPs from the Virginia Stormwater Management Handbook or in accordance with applicable state or local requirements.

c. Include a completed copy of the Notice of Intent, BMP Inspection Report Template, and Stormwater Notice of Termination, except for the effective date.

3.2.1.2 Stormwater Notice of Intent for Construction Activities

Prepare and submit the Notice of Intent for NPDES coverage under the general permit for construction activities to the Contracting Officer for review.

Prepare and submit the Notice of Intent for NPDES coverage under the general permit for construction activities to the Contracting Officer for review and approval.

Prepare and submit a Notice of Intent as a co-permittee to the Contracting Officer, for review and approval.

Submit the approved NOI and appropriate permit fees onto the appropriate federal or state agency for approval. No land disturbing activities may commence without permit coverage. Maintain an approved copy of the SWPPP at the onsite construction office, and continually update as regulations require, reflecting current site conditions.

3.2.1.3 Inspection Reports

Submit "Inspection Reports" to the Contracting Officer in accordance with EPA and the State of Virginia Construction General Permit.

3.2.1.4 Stormwater Pollution Prevention Plan Compliance Notebook

Create and maintain a three ring binder of documents that demonstrate compliance with the Construction General Permit. Include a copy of the permit Notice of Intent, proof of permit fee payment, SWPPP and SWPPP update amendments, inspection reports and related corrective action records, copies of correspondence with the EPA and the VirginiaState Permitting Agency, and a copy of the permit Notice of Termination in the binder. At project completion, the notebook becomes property of the Government. Provide the compliance notebook to the Contracting Officer.

3.2.1.5 Stormwater Notice of Termination for Construction Activities

Submit a Notice of Termination to the Contracting Officer for approval once construction is complete and final stabilization has been achieved on all portions of the site for which the permittee is responsible. Once approved, submit the Notice of Termination to the appropriate state or federal agency. Prepare as-built topographic survey information required by the permitting agency for certification of the stormwater management system, and provide to the Contracting Officer.

3.2.2 Erosion and Sediment Control Measures

Provide erosion and sediment control measures in accordance with state and local laws and regulations. Preserve vegetation to the maximum extent practicable.

The Contractor will be responsible for providing erosion and sediment control measures in accordance with Federal, State, and local laws and regulations. The erosion and sediment controls selected and maintained by the Contractor must be such that water quality standards are not violated as a result of the Contractor's construction activities. The area of bare soil exposed at any one time by construction operations should be kept to a minimum. The Contractor must construct or install temporary and permanent erosion and sediment control best management practices (BMPs).

BMPs may include, but not be limited to, vegetation cover, perimeter controls, inlet and outfall protection, and a turbidity curtain. The Contractor's best management practices must also be in accordance with the State of Virginia National Pollutant Discharge Elimination System (NPDES) Storm Water Pollution Prevention requirements. Any temporary measures must be removed after the area has been stabilized.

Erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports.

3.2.2.1 Erosion Control

Prevent erosion by mulching, Compost Blankets, Geotextiles, temporary slope drains,. Stabilize slopes by chemical stabilization, sodding, seeding, or such combination of these methods necessary for effective erosion control. Use of hay bales is prohibited.

Provide seeding in accordance with Section 32 92 19 SEEDING.

3.2.2.2 Sediment Control Practices

Implement sediment control practices to divert flows from exposed soils, temporarily store flows, or otherwise limit runoff and the discharge of pollutants from exposed areas of the site. Implement sediment control practices prior to soil disturbance and prior to creating areas with concentrated flow, during the construction process to minimize erosion and sediment laden runoff. Include the following devices: silt fence, temporary diversion dikes, storm drain inlet protection. Location and details of dam site and construction are indicated on the drawings.

3.2.3 Work Area Limits

Mark the areas that need not be disturbed under this Contract prior to commencing construction activities. Mark or fence isolated areas within the general work area that are not to be disturbed. Protect monuments and markers before construction operations commence. Where construction operations are to be conducted during darkness, all markers must be visible in the dark. Personnel must be knowledgeable of the purpose for marking and protecting particular objects.

3.2.4 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

3.2.5 Municipal Separate Storm Sewer System (MS4) Management

The dam is not within a MS4 permit area.

3.3 SURFACE AND GROUNDWATER

Temporary disturbances to surface waters during construction must be avoided and minimized to the maximum extent practicable.

Construction, construction access (e.g., cofferdams, sheet piling, and causeways), and demolition activities associated with the project must be accomplished in a manner that minimizes construction or waste materials from entering surface waters to the maximum extent practicable.

3.3.1 Cofferdams, Diversions, and Dewatering

Construction operations for dewatering, removal of cofferdams, tailrace excavation, and tunnel closure must be constantly controlled to maintain compliance with existing state water quality standards and designated uses of the surface water body. Comply with the State of Virginia water quality standards and anti-degradation provisions and the Clean Water Act Section 404. Do not discharge excavation ground water to the sanitary sewer, storm drains, or to surface waters without prior specific authorization in writing from the dam site Environmental Office or Contracting Officer. Discharge of hazardous substances will not be permitted under any circumstances. Use sediment control BMPs to prevent construction site runoff from directly entering any storm drain or surface waters.

If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization for any contaminated groundwater release in advance from the dam site Environmental Officer and the federal or state authority, as applicable. Discharge of hazardous substances will not be permitted under any circumstances.

3.3.2 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States, except as authorized herein. The protection of waters of the United States shown on the drawings in accordance with paragraph LICENSES AND PERMITS is the Contractor's responsibility. Authorization to enter specific waters of the United States identified does not relieve the Contractor from any obligation to protect other waters of the United States within, adjacent to, or in the vicinity of the construction site and associated boundaries.

3.4 PROTECTION OF CULTURAL RESOURCES

Dam access must be limited to existing access roads to avoid potential impacts to unknown cultural resources.

3.4.1 Archaeological Resources

If, during excavation or other construction activities, any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, activities that may damage or alter such resources will be suspended. Resources covered by this paragraph include, but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. Cease all activities that may result in impact to or the

destruction of these resources. Secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources. The Government retains ownership and control over archaeological resources.

3.4.2 Historical Resources

There are no known historical resources within the work area.

3.5 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

3.5.1 Oil or Dual-fuel Boilers and Furnaces

Provide product data and details for new, replacement, or relocated fuel fired boilers, heaters, or furnaces to the dam site Environmental Office (Air Program Manager) through the Contracting Officer. Data to be reported include: equipment purpose (water heater, building heat, process), manufacturer, model number, serial number, fuel type (oil type, gas type) size (MMBTU heat input). Provide in accordance with paragraph PRECONSTRUCTION AIR PERMITS.

3.5.2 Burning

Burning is prohibited on the Government premises.

3.5.3 Class I and II ODS Prohibition

Class I and II ODS are Government property and must be returned to the Government for appropriate management. Coordinate with the dam site Environmental Office to determine the appropriate location for turn in of all reclaimed refrigerant.

3.5.4 Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer. Intentional venting of refrigerants (including most Non-ODS substitute refrigerants) is prohibited per 40 CFR 82.

3.5.5 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

3.5.6 Dust Control

Keep dust down at all times, including during nonworking periods. Sprinkle or treat, with dust suppressants, the soil at the site, haul roads, and other areas disturbed by operations. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or

plaster. Since these products contain Crystalline Silica, comply with the applicable OSHA standard, 29 CFR 1910.1053 or 29 CFR 1926.1153 for controlling exposure to Crystalline Silica Dust.

3.5.6.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

3.5.6.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the dam site Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.5.7 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

3.6 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the dam site Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

3.6.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if

transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

3.6.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	~~[_____] [cubic yards][tons],[cubic meters] as appropriate~~
C&D Debris Recycled	~~[_____] [cubic yards][tons],[cubic meters] as appropriate~~
C&D Debris Composted	~~[_____] [cubic yards][tons],[cubic meters] as appropriate~~
Total C&D Debris Generated	~~[_____] [cubic yards][tons],[cubic meters] as appropriate~~
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	~~[_____] [cubic yards][tons],[cubic meters] as appropriate~~

3.7 WASTE MANAGEMENT AND DISPOSAL

3.7.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g., scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 262.11 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the dam site environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not exhaustive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.7.1.1 Sampling and Analysis of Waste

3.7.1.1.1 Waste Sampling

Sample waste in accordance with EPA SW-846. Clearly mark each sampled drum or container with the Contractor's identification number, and cross reference to the chemical analysis performed.

3.7.1.1.2 Laboratory Analysis

Follow the analytical procedure and methods in accordance with the 40 CFR 261. Provide analytical results and reports performed to the Contracting Officer. Coordinate all activities with dam site Hazardous Waste Manager.

3.7.1.1.3 Analysis Type

Identify hazardous waste by analyzing for the following characteristics: ignitability, corrosivity, reactivity, toxicity based on TCLP results.

3.7.2 Solid Waste Management

3.7.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation and Contractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.7.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Haul waste materials to dispose of at an approved off-site location designated by the Contracting Officer. Comply with site procedures. Segregate and separate treated wood components disposed at a lined landfill approved to accept this waste in accordance with local and state regulations Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.7.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without

written approval of the Contracting Officer and dam site Hazardous Waste Manager.

3.7.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from the dam site Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.7.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or one quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90-day or 180-day, as appropriate, accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	~~[_____]~~
Contractor	~~[_____]~~
Haz/Waste or Regulated Waste POC	~~[_____]~~
Phone Number	~~[_____]~~
Type of Waste	~~[_____]~~
Source of Waste	~~[_____]~~
Emergency POC	~~[_____]~~
Phone Number	~~[_____]~~
Location of the Site	~~[_____]~~

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g., training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.7.3.3 Hazardous Waste Disposal

3.7.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the dam site Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.7.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260 - 40 CFR 279, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.7.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.7.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.7.3.3.1.4 Labeling

During waste accumulation label all containers in accordance with 40 CFR 262. Prior to offering a waste for off-site transport, determine the Department of Transportation's (DOT's) proper shipping names for waste in accordance with 49 CFR 172 (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262 and applicable state or local regulations.

3.7.3.3.2 Contractor Disposal Turn-In Requirements

Hazardous waste generated must be disposed of in accordance with the following conditions to meet dam site requirements:

a. Drums must be compatible with waste contents and drums must meet DOT requirements for 49 CFR 173 for transportation of materials.

b. Band drums to wooden pallets.

c. No more than three 55 gallon drums or two 85 gallon over packs are to be banded to a pallet.

d. Band using 1-1/4 inch minimum band on upper third of drum.

e. Provide label in accordance with 49 CFR 172.101.

f. Leave 3 to 5 inches of empty space above volume of material.

3.7.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and dam site instructions:

a. Batteries as described in 40 CFR 273.2

b. Lamps as described in 40 CFR 273.5

c. Mercury-containing equipment as described in 40 CFR 273.4

d. Aerosol cans as described in 40 CFR 273.6

e. Pesticides as described in 40 CFR 273.3

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.7.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and dam site instructions.

3.7.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with the dam site Environmental Office. Refer to location special requirements for the dam site Point of Contact information.

3.7.4 Releases/Spills of Oil and Hazardous Substances

3.7.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within

15 minutes) notify the dam site Fire Department, the dam site Command Duty Officer, the dam site Environmental Office, the Contracting Officer and the state or local authority.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

3.7.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

3.7.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

3.7.6 Wastewater

3.7.6.1 Disposal of Wastewater

Disposal of wastewater must be as specified below.

3.7.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations.

3.7.6.1.2 Surface Discharge

For discharge of ground water, obtain a state or federal permit specific for pumping and discharging ground water prior to surface discharging. Surface discharge in accordance with federal, state, and local laws and regulations. Surface discharge in accordance with the requirements of the NPDES or state STORMWATER DISCHARGES FROM CONSTRUCTION SITES permit.

3.7.6.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing must be discharged

into the sanitary sewer with prior approval and notification to the Wastewater Treatment Plant's Operator.

3.8 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the dam site. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261 and state dam site requirements.

3.9 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

3.10 CONTROL AND MANAGEMENT OF ASBESTOS-CONTAINING MATERIAL (ACM)

Manage and dispose of asbestos- containing waste in accordance with all applicable federal, state, and local (or Host Nation) requirements. Manifest asbestos-containing waste and provide the manifest to the Contracting Officer. Notifications to the regulatory authorities and dam site Air Program Manager are required before starting any asbestos work.

3.11 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745. Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

3.12 CONTROL AND MANAGEMENT OF POLYCHLORINATED BIPHENYLS (PCBs)

Manage and dispose of PCB-contaminated waste in accordance with 40 CFR 761.

3.13 CONTROL AND MANAGEMENT OF LIGHTING BALLAST AND LAMPS CONTAINING PCBs

Manage and dispose of contaminated waste in accordance with 40 CFR 761.

3.14 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline, diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the

environment. Manage and store POL products in accordance with EPA 40 CFR 112, and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers, to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of fuel on the project site must be in accordance with EPA, state, and local laws and regulations and paragraph OIL STORAGE INCLUDING FUEL TANKS.

3.14.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

3.14.2 Oil Storage Including Fuel Tanks

Provide secondary containment and overfill protection for oil storage tanks. A berm used to provide secondary containment must be of sufficient size and strength to contain the contents of the tanks plus 5 inches freeboard for precipitation. Construct the berm to be impervious to oil for 72 hours that no discharge will permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Use drip pans during oil transfer operations; adequate absorbent material must be onsite to clean up any spills and prevent releases to the environment. Cover tanks and drip pans during inclement weather. Provide procedures and equipment to prevent overfilling of tanks. If tanks and containers with an aggregate aboveground capacity greater than 1320 gallons will be used onsite (only containers with a capacity of 55 gallons or greater are counted), provide and implement a Spill Prevention Control and Countermeasure (SPCC) plan meeting the requirements of 40 CFR 112. Do not bring underground storage tanks to the dam site for Contractor use during a project. Submit the SPCC plan to the Contracting Officer for approval.

Monitor and remove any rainwater that accumulates in open containment dikes or berms. Inspect the accumulated rainwater prior to draining from a containment dike to the environment, to determine there is no oil sheen present.

3.15 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material until authorized by the Contracting Officer.

3.16 PEST MANAGEMENT

In order to minimize impacts to existing fauna and flora, coordinate with the dam site Pest Management Coordinator (IPMC) or Project Pesticide Coordinator (PPC), through the Contracting Officer, at the earliest possible time prior to pesticide application. Discuss integrated pest

management strategies including prevention of invasive species as applicable with the IPMC or PPC and receive concurrence from the IPMC or PPC through the Contracting Officer prior to the application of any pesticide associated with these specifications. Provide dam site Project Office Pest Management personnel the opportunity to be present at meetings concerning treatment measures for pest or disease control and during application of the pesticide. The use and management of pesticides are regulated under 40 CFR 152 - 186.

3.16.1 Application

Apply pesticides using a state-certified pesticide applicator in accordance with EPA label restrictions and recommendation. The certified applicator must wear clothing and personal protective equipment as specified on the pesticide label. The Contracting Officer will designate locations for water used in formulating. Do not allow the equipment to overflow. Inspect equipment for leaks, clogging, wear, or damage and repair prior to application of pesticide.

3.16.2 Pesticide Treatment Plan

Include and update a pesticide treatment plan, as information becomes available. Include in the plan the sequence of treatment, dates, times, locations, pesticide trade name, EPA registration numbers, authorized uses, chemical composition, formulation, original and applied concentration, application rates of active ingredient (that is, pounds of active ingredient applied), equipment used for application and calibration of equipment. Comply with 40 CFR 152-189, state, regional, and local pest management record-keeping and reporting requirements as well as any additional dam site Project Office specific requirements in conformance with DA AR 200-1 Chapter 5, Pest Management, Section 5-4 "Program requirements" for data required to be reported to the dam site.

3.17 CHLORDANE

Evaluate excess soils and concrete foundation debris generated during the demolition of housing units or other wooden structures for the presence of chlordane or other pesticides prior to reuse or final disposal.

3.18 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times. Confine pile-driving operations to the period between 8 a.m. and 4 p.m., Monday through Friday, exclusive of holidays, unless otherwise specified.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of Virginia rules.

3.19 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of

construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

SECTION 01 58 00

PROJECT IDENTIFICATION
08/19, CHG 5: 08/22

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 310-1-6a	(2006; 2019 Change 2) Project Operation -- Sign Standards Manual, VOL 1
EP 310-1-6b	(2006) Sign Standards Manual, VOL 2, Appendices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Preliminary One Line Drawings Of Project Rendering; G

Sign Legend Orders; G

SD-04 Samples

Final Rendering Sample; G

Final Framed Rendering and Copies; G

1.3 QUALITY CONTROL

1.3.1 Rendering

Provide the project rendering in accordance with the following drawing stages as required in the SUBMITTALS paragraph. The following submittal data is required to properly identify the appropriate view and approve the final rendering of the facility. The final painted rendering will be used to produce the image for the signboard and framed photographic copies provided to the Contracting Officer.

1.3.1.1 Preliminary One Line Drawings

Provide three different views of the facility in a preliminary single line drawing (black and white) format. These three views will represent the best angles at which to view the proposed facility showing the best design features and the three dimensional character of the facility.

1.3.1.2 Final Rendering Sample

Provide a photographic copy (8 by 10 inches minimum size) of final rendering for approval of color, landscaping, and foreground/background development prior to final submittal.

1.3.1.3 Final Framed Rendering and Copies

Provide final full color rendering of the proposed facility as specified.

1.4 PROJECT IDENTIFICATION SIGN

1.4.1 Construction Project Signs

Furnish the construction project sign package, maintain the signs during construction, and remove the signs from the job site upon completion of the project. The construction project sign package consists of two signs: one for project identification and the other to show the on-the-job safety performance of the contractor. Ensure that the package conforms to the requirements of EP 310-1-6a and EP 310-1-6b, specifically Section 16. Submit the sign legend orders as described in Section 16 of EP 310-1-6a before erecting the signs.

Utilize the Example Graphic of Signage with Dimensions for MILCON Project and follow USACE policy provided in Engineering Pamphlet (EP) 310-1-6a, Sign Standards Manual, VOL 1 for guidelines on fabricating, locating, and mounting construction project signs (https://www.publications.usace.army.mil/Portals/76/Users/182/86/2486/EP_310-1-6a%20change%202.pdf).

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
02/19, CHG 3: 11/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 273	Standards for Universal Waste Management
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.2.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.2.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a dam/building, to include clearing of dam/building contents that have been destroyed or damaged.

1.2.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.2.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.2.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying

constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.2.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.2.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.2.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.2.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.3 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.4 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.4.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to

provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.4.2 Oversight

The Quality Control Manager, as specified in Section 01 45 00 QUALITY CONTROL, is responsible for overseeing and documenting results from executing the Construction Waste Management Plan for the project.

1.4.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.4.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.4.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan; G

SD-06 Test Reports

Quarterly Reports

Annual Report

SD-11 Closeout Submittals

Final Construction Waste Diversion Report; S

1.6 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Preconstruction meeting.

b. Regular site or Quality Control meetings.

c. Work safety meeting (if applicable).

1.7 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 days after contract award or notice to proceed. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin.. Submit Construction Waste Management Plan not less than 60 calendar days before scheduled final site or dam/building design approval. Revise and resubmit Construction Waste Management Plan until it receives final approval from the Contracting Officer, in order for construction to begin. Execute demolition or deconstruction activities. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction

waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project.

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

l. Identification of at least 5 construction or demolition material streams for diversion.

m. Detailed plan and distribution of waste diversion between buildings, when project is a part of a campus.

n. Facilities or subcontractors offering construction waste transport on-site or off-site must ensure that proper shipping orders, bill of lading, manifests, or other shipping documents containing waste diversion information meet requirements of 40 CFR 273 Universal Waste Management, 49 CFR 173 Shippers - General Requirements for Shipments and Packagings, and 49 CFR 178 Specifications for Packaging. Individuals signing manifests or other shipping documents should meet the minimum training requirements.

o. List each supplier who deliver construction materials, in bulk, or package products in returnable containers or returnable packaging, or have take-back programs. List each program and the applicable material to actively monitor and track to assist in meeting waste diversion requirements on the project.

p. Identify local jurisdiction requirements for waste management. Include local requirements and points of contact.

Distribute copies of the waste management plan to each subcontractor, Quality Control Manager, and the Contracting Officer.

1.8 RECORDS (DOCUMENTATION)

1.8.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.8.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.9 REPORTS

1.9.1 General

Maintain current construction waste diversion information on site for periodic inspection by the Contracting Officer. Include in the quarterly reports, annual reports and final reports: the project name, contract information, information for waste generated, diverted and disposed of for the current reporting period and show cumulative totals for the project. Reports must identify quantifies of waste by type and disposal method. Also include in each report, supporting documentation to include manifests, weigh tickets, receipts, and invoices specifically identifying the project and waste material type and weighted sum.

1.9.2 Quarterly Reporting

Provide cumulative reports at the end of each quarter (December, March,

June, and September, corresponding with the federal fiscal year for reporting purposes). Submit quarterly reports not later than 15 calendar days after the preceding quarter has ended. Submit Quarterly Reports to the appropriate office or identified point of contact.

1.9.3 Annual Reporting

Provide a cumulative construction waste diversion report annually. Submit annual report not later than 30 calendar days after the preceding fourth quarter has ended. Provide copy of annual construction waste diversion report to the installation POC.

1.10 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 60 days prior to the Beneficial Occupancy Date (BOD). The final Construction Waste Diversion Report must be included in the Sustainability eNotebook.

1.11 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS. Separate materials by one of the following methods described herein:

1.11.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

1.11.2 Co-Mingled Method

Place waste products and recyclable materials into a single container and then transport to an authorized recycling facility, which meets all applicable requirements to accept and dispose of recyclable materials in accordance with all applicable local, state and federal regulations. The Co-mingled materials must be sorted and processed in accordance with the approved Construction Waste Management Plan.

1.11.3 Other Methods

Other methods proposed by the Contractor may be used when approved by the

Contracting Officer.

1.12 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.12.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the dam site. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

1.12.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.12.3 Compost

Consider composting on site if a reasonable amount of compostable materials will be available and a utilization of compostable material can be determined and appropriately planned for. Compostable materials include plant materials, sawdust and certain food scraps. Composting as a strategy must be explicitly addressed in the Construction Waste Management Plan submitted for approval to ensure it is feasible.

1.12.4 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS
05/19, CHG 1: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 1110-1-2909	(2012) Engineering and Design -- Geospatial Data and Systems
ERDC/ITL TR-19-6	(2019) A/E/C Graphics Standard, Release 2.1
ERDC/ITL TR-19-7	(2019) A/E/C CAD Standard - Release 6.1

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 1-300-08	(2023) Criteria for Transfer and Acceptance of DoD Real Property

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site and or red-lined PDF files. These files serve as the basis for the creation of the record drawings.

1.2.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

Produce the record drawings from the Record Model(s) and do not include annotations indicating revisions.

1.2.3 Advanced Modeling

A subset of geospatial technologies as defined in EM 1110-1-2909 to include Building Information Modeling (BIM), Civil Information Modeling (CIM), Geographic Information Systems (GIS), and Computer-Aided Design (CAD). Advanced modeling is comprised of models and drawings that form a digital representation of the project, or part thereof, that are comprised of model elements with facility data.

1.2.4 USACE CAD/BIM Technology Center

The USACE CAD/BIM Technology Center hosts all standard content for USACE. This content can be accessed through the CAD/BIM Technology Center website, https://cadbimcenter.erdc.dren.mil/.

1.3 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.3.1 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction drawings and data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CAD drawing files are not construction documents. Differences may exist between the CAD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CAD files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished Source drawing files, the signed and sealed construction documents govern. The Contractor is responsible for determining if any conflict exists. Use of these Source Drawing files does not relieve the Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction drawings and data related to this contract, remove all previous indicia of ownership (seals, logos, signatures, initials and dates).

1.4 RECORD DRAWINGS

The Government will provide pdf and or program files at the preconstruction conference that contains one set of "as-designed" electronic CAD files in the specified software and format revised to reflect all amendments and the final contract PDF drawings. The CAD files are provided to enable preparation of as-built or as-constructed drawings. If discrepancies exist between the CAD files and the contract PDF drawings, correct the CAD files to show the contract PDF drawings.

1.4.1 Variation with Contract Drawings

The electronic files provided are not part of the contract documents. If

there is any discrepancy between the electronic files and the contract drawings, the contract drawings govern. The Government has no responsibility to modify any GFM due to changes in the design that occur after award.

Evaluate the content and quality of the GFM upon receipt. If major discrepancies or omissions occur in the GFM, notify the Contracting Officer and indicate the nature of such variations.

1.4.2 Data Loss, Corruption, and Error

Transfer of GFM files may result in corrupted files resulting in data loss and errors. Use of GFM files at own risk. Verify data integrity upon receipt and request a replacement if necessary. Make any adjustment in file structure, format, or software version as needed to make GFM compatible with computer systems and/or software to meet the requirements of the contract.

1.4.3 Modeling Completeness and Quality

The Government makes no guarantee that the GFM provide the level of completeness or quality as required by the contract. Further, the Government makes no guarantee that identified variations will be corrected upon notification.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan

Warranty Tags

Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

SD-11 Closeout Submittals

As-Built Drawings; G

Record Drawings; G

Record Model; G

As-Built Record of Equipment and Materials

Warranted Equipment and Materials

Final Approved Shop Drawings; G

Construction Contract Specifications; G

Certification of EPA Designated Items; G

Certification Of USDA Designated Items; G

Interim DD FORM 1354; G

High Performance and Sustainable Building (HPSB) Checklist; G

List Of Installed Building Equipment Items For DD Form 1354

1.6 SPARE PARTS DATA

Submit two.

a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

b. At acceptance of commissioning, ensure the required stock level is supplied as indicated in subparagraph a for maintenance and repair activities through the facilities warranty period. Provision of spare parts does not relieve the Contractor of responsibilities listed under the contract guarantee provisions.

1.7 QUALITY CONTROL

Additions and corrections to the contract drawings must be equal in quality and detail to that of the originals. Line colors, line weights, lettering, layering conventions, and symbols must be the same as the original line colors, line weights, lettering, layering conventions, and symbols.

1.8 WARRANTY MANAGEMENT

1.8.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection,

measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.

c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.

d. Warranted Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of equipment covered by warranties longer than one year.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.8.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses

incurred by the Government while performing the work, including, but not limited to administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.8.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.8.4 Contractor's Response to Construction Warranty Service Requirements

Following oral or written notification by the Contracting Officer, respond to construction warranty service requirements in accordance with the "Construction Warranty Service Priority List" and the three categories of priorities listed below. Submit a report on any warranty item that has been repaired during the warranty period. Include within the report the cause of the problem, date reported, corrective action taken, and when the repair was completed. If the Contractor does not perform the construction warranty within the timeframe specified, the Government will perform the work and back charge the construction warranty payment item established.

a. First Priority Code 1. Perform onsite inspection to evaluate situation, and determine course of action within 4 hours, initiate work within 6 hours and work continuously to completion or relief.

b. Second Priority Code 2. Perform onsite inspection to evaluate situation, and determine course of action within 8 hours, initiate work within 24 hours and work continuously to completion or relief.

c. Third Priority Code 3. All other work to be initiated within 3 work days and work continuously to completion or relief.

d. The "Construction Warranty Service Priority List" is as follows:

Code 1-Life Safety Systems

(1) Fire suppression systems.
(2) Fire alarm system(s) in place in the building.

Code 1-Air Conditioning Systems
(1) Recreational support.
(2) Air conditioning leak in part of building, if causing damage.
(3) Air conditioning system not cooling properly.

Code 1-Doors
(1) Overhead doors not operational, causing a security, fire, or safety problem.
(2) Interior, exterior personnel doors or hardware, not functioning properly, causing a security, fire, or safety problem.

Code 3-Doors
(1) Overhead doors not operational.
(2) Interior/exterior personnel doors or hardware not functioning properly.

Code 1-Electrical
(1) Power failure (entire area or any building operational after 1600 hours).
(2) Security lights
(3) Smoke detectors

Code 2-Electrical
(1) Power failure (no power to a room or part of building).
(2) Receptacle and lights (in a room or part of building).

Code 3-Electrical
Street lights.

Code 1-Gas
(1) Leaks and breaks.
(2) No gas to family housing unit or cantonment area.

Code 1-Heat
(1) Area power failure affecting heat.
(2) Heater in unit not working.

Code 2-Kitchen Equipment
(1) Dishwasher not operating properly.
(2) All other equipment hampering preparation of a meal.

Code 1-Plumbing
(1) Hot water heater failure.
(2) Leaking water supply pipes.

Code 2-Plumbing
(1) Flush valves not operating properly.
(2) Fixture drain, supply line to commode, or any water pipe leaking.
(3) Commode leaking at base.

Code 3 -Plumbing
Leaky faucets.

Code 3-Interior
(1) Floors damaged.
(2) Paint chipping or peeling.

(3) Casework.

Code 1-Roof Leaks
Temporary repairs will be made where major damage to property is occurring.

Code 2-Roof Leaks
Where major damage to property is not occurring, check for location of leak during rain and complete repairs on a Code 2 basis.

Code 2-Water (Exterior)
No water to facility.

Code 2-Water (Hot)
No hot water in portion of building listed.

Code 3-All other work not listed above.

1.8.5 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	
Warranty contact	
Address	

Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

2.1 RECORD DRAWINGS

Prepare the CAD drawing files in AutoCAD compatible with a Windows 7 operating system.

2.1.1 Additional Drawings

If additional drawings are required, prepare them using the specified electronic file format applying the same graphic standards specified for original drawings ERDC/ITL TR-19-7 and ERDC/ITL TR-19-6. The title block and drawing border to be used for any new final record drawings must be identical to that used on the contract drawings.

2.1.1.1 Sheet Numbers and File Names

If a sheet needs to be added between two sequential sheets, append a Supplemental Drawing Designator in accordance with ERDC/ITL TR-19-7 Adding a drawing sheet, and ERDC/ITL TR-19-6 Adding or deleting drawing sheets and index sheet procedures.

2.2 ADVANCED MODELING PACKAGE

For each Advanced Modeling Package submittal for both the Interim Record Model Package and the Final Record Model Package, submit in accordance with ERDC/ITL TR-19-7 and also provide the following items:

a. Advanced Modeling PxP: Provide an electronic copy of the most current approved version of the project Advanced Modeling PxP.

b. Electronic Files: Provide an electronic list (.txt file or similar), of all submitted electronic files including a description, directory, and file name for each file submitted. Identify which files have been produced from the Model and Facility Data. For all sheet files, include a list of the sheet titles and sheet numbers.

c. Advanced Modeling Submittal Checklist: Complete the USACE BIM/CIM Advanced Modeling Submittal Checklist and include with each submittal. Download the Checklist from the USACE CAD/BIM Technology Center website.

d. Advanced Modeling Files: Provide all native Advanced Modeling files associated with the production of the contract drawings and associated as-modeled drawings. Update and maintain in compliance with the Advanced Modeling formatting, and content requirement, in order to yield a complete and coordinated document package.

e. Quality Control (QC) Reports: Provide electronic PDFs of all QC

reports and checklist utilized to ensure full compliance with the contract requirements and standards.

f. CAD Exports of BIM-Generated Sheets and Drawings: Provide supplemental 2D CAD exports from the project BIM model as needed to demonstrate compliance with contract requirements. Export all contract drawings sheets to CAD format(s).

2.3 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the Certification of EPA Designated Items as required by FAR 52.223-9 Estimate of Percentage of Recovered Material Content for EPA Designated Items and FAR 52-223-17 Affirmative Procurement of EPA designated items in Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current EPA standards for recycled/recovered materials content. The following exemptions may apply to the non-procurement of recycled/recovered content materials:

a. The product does not meet appropriate performance standards;

b. The product is not available within a reasonable time frame;

c. The product is not available competitively (from two or more sources);

d. The product is only available at an unreasonable price (compared with a comparable non-recycled content product)."

Record each product used in the project that has a requirement or option of containing recycled content, noting total price, total value of post-industrial recycled content, total value of post-consumer recycled content, exemptions (a, b, c, or d, as indicated), and comments. Recycled content values may be determined by weight or volume percent, but must be consistent throughout.

2.4 CERTIFICATION OF USDA DESIGNATED ITEMS

Submit the Certification of USDA Designated Items as required by FAR 52-223-1 Bio-based Product Certifications and FAR 52.223-2 Affirmative Procurement of Biobased Products Under Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification. The certification will read as follows and be signed and dated by the Contractor. "I hereby certify the information provided herein is accurate and that the requisition/procurement of all materials listed on this form comply with current USDA standards for biobased materials content. The following exemptions may apply to the non-procurement of biobased content materials:

a. The product does not meet appropriate performance standards;

b. The product is not available within a reasonable time frame;

c. The product is not available competitively (from two or more sources);

d. The product is only available at an unreasonable price (compared with a comparable bio-based content product)."

Record each product used in the project that has a requirement or option of containing biobased content, total value of post-consumer recycled content, exemptions (a, b, c, or d, as indicated), and comments. Biobased content values may be determined by weight or volume percent, but must be consistent throughout.

2.5 PDF AS-BUILT FILES

Provide electronic PDF "plots" of all contract drawings sheets associated with the as-built drawing submittal. Compile and organize the PDF set to match the contract drawings. Bookmark and label the pages of the PDF file.

2.6 REDLINES AND MARKUPS

Provide PDFs of the current working redlines and/or markups complying with the as-builts drawing and markup requirements contained in this specification.

2.7 GEO-DATA-BASE FILES

Provide a SDSFIE/FGDC GeoReferenced personal GeoDataBase. For all information outside of the building walls, provide a personal GeoDataBase in .mdb format using the latest version of Spatial Data Standard for Facilities, Infrastructure, and Environment (SDSFIE) as the database structure. Provide a shell database to define the projection and database structure.

For all drawings within and including the exterior walls, utilize the advanced modeling formats described and referenced herein. Provide a short GeoDataBase read-me file explaining the deliverable. The read-me file will include a description of the software used to create the data, projection, and include the attribute tables used.

2.8 AS-BUILT OR ADVANCED MODELING RE-SUBMISSION REQUIREMENTS

If elements of an as-built submittal or advanced modeling package are rejected, provide the following for each re-submission, in addition to any information required in Section 01 33 00 SUBMITTAL PROCEDURES:

a. Re-submit all components required under paragraph As-Builts or Advanced Modeling Package, including a new Advanced Modeling Submittal Checklist and updated content in response to Government comments.

b. Provide a copy of all Government review comments.

c. Provide a disposition/response to each Government review comment for a back-check of the re-submission deliverable.

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

   (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

   (2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

   (3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

   1) Add an entire drawing to contract drawings

   2) Change the contract drawing to show changes on the drawing.

   3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Revise As-Built Drawings and red-lined PDF files in accordance with

ERDC/ITL TR-19-6 and ERDC/ITL TR-19-7. Keep these working as-built markup drawings current on a weekly basis and at least one set available on the jobsite at all times. Changes from the contract drawings which are made during construction or additional information which might be uncovered in the course of construction must be accurately and neatly recorded as they occur by means of details and notes. Submit the working as-built markup drawings for approval prior to submission of each monthly pay estimate. For failure to maintain the working and final record drawings as specified herein, the Contracting Officer will withhold 10 percent of the monthly progress payment until approval of updated drawings. Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications.

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 RECORD DRAWING FILES

If additional drawings are required, prepare them using the specified electronic file format applying the same graphic standards specified for original drawings ERDC/ITL TR-19-7 and ERDC/ITL TR-19-6. The title block and drawing border to be used for any new final record drawings must be identical to that used on the contract drawings. Accomplish additions and corrections to the contract drawings using CAD files. Provide all program files and hardware necessary to prepare final PDF record drawings. The Contracting Officer will review final PDF record drawings for accuracy and return them to the Contractor for required corrections, changes, additions, and deletions.

3.2.1 Rename the CAD Drawing files

Rename the CAD Drawing files using the contract number as the Project Code field,(e.g., W91238-15-C-10A-102.DWGDGN) as instructed in the Pre-Construction conference. Use only those renamed files for the Marked-up changes. Make all changes on the layer/level as the original item.

a. For AutoCAD files (DWG), enter all as-built delta changes and notations on the AS-BUILT layer.MicroStation files (DGN), enter all as-built delta changes and notations on:

   - Level #63
   - Level/Layer Name contains: ANNO-REVS
   - Level/Layer Description: Revisions

b. When final revisions have been completed, show the wording "RECORD DRAWING AS-BUILTS" followed by the name of the Contractor in letters at least 3/16 inch high on the cover sheet drawing. Date RECORD DRAWING AS-BUILTS" drawing revisions in the revision block.

c. Within 7 days after Government approval of all of the working record drawings for a phase of work, prepare the final CAD record drawings for that phase of work and submit PDF drawing files and two sets of prints for review and approval. The Government will promptly return one set of prints annotated with any necessary corrections. Within 14 days revise the CAD files accordingly at no additional cost and submit one set of final prints for the completed phase of work to the Government. Within 21 days of substantial completion of all phases of work, submit the final record drawing package for the entire project. Submit one set of electronic CAD files, and one set of the approved working record PDF and or programfiles with two sets of prints. The CAD files must be complete in all details and identical in form and function to the CAD drawing files supplied by the Government. Prepare AutoCAD files for transmittal using e-Transmit. Prepare MicroStation files for transmittal using the Packager (Archive). Make any transactions or adjustments necessary to accomplish this. The Government reserves the right to reject any drawing files it deems incompatible with the customer's CAD system. Paper prints, drawing

files and storage media submitted will become the property of the Government upon final approval. Failure to submit final record PDF drawing files, CAD files and marked prints as specified will be cause for withholding any payment due under this contract. Approval and acceptance of final record drawings must be accomplished before final payment is made.

3.3 RECORD DRAWINGS

Prepare final record drawings after the completion of each definable phase of work as listed in the Contractor Quality Control Plan (such as Foundations, Utilities, or Structural Steel as appropriate for the project). Transfer the changes from the approved working as-built markup drawings to the original electronic CAD drawing files. Modify the as-built CAD drawing files to correctly show the features of the project as-built by bringing the working CAD drawing set into agreement with approved working as-built markup drawings, and adding such additional drawings as may be necessary. Refer to ERDC/ITL TR-19-6. Jointly review the working as-built markup drawings with printouts from working as-built CAD drawing PDF files for accuracy and completeness. Monthly review of working as-built CAD drawing PDF file printouts must cover all sheets revised since the previous review. These PDF drawing files are part of the permanent records of this project. Any drawings damaged or lost must be satisfactorily replaced at no expense to the Government.

Drawing revisions (include within change order price the cost to change working and final record drawings to reflect revisions) and compliance with the following procedures.

a. Follow directions in the revision for posting descriptive changes.

b. The revision delta size must be 5/16 inch unless the area where the delta is to be placed is crowded. Use a smaller size delta for crowded areas.

c. Place a revision delta at the location of each deletion.

d. For new details or sections which are added to a drawing, place a revision delta by the detail or section title.

e. For minor changes, place a revision delta by the area changed on the drawing (each location).

f. For major changes to a drawing, place a revision delta by the title of the affected plan, section, or detail at each location.

g. For changes to schedules or drawings, place a revision delta either by the schedule heading or by the change in the schedule.

3.3.1 Final Record Drawing Package

Submit the final record PDF and CAD drawings package for the entire project within 20 days of substantial completion of all phases of work. Submit one set of ANSI D size PDF and CAD files, two sets of ANSI D size prints and one set of the approved working record drawings. The package must be complete in all details and identical in form and function to the contract drawing files supplied by the Government.

3.4 FINAL APPROVED SHOP DRAWINGS

Submit final approved project shop drawings 30 days after transfer of the completed facility.

3.5 CONSTRUCTION CONTRACT SPECIFICATIONS

Submit final PDF file record construction contract specifications, including revisions thereto, 30 days after transfer of the completed facility.

3.6 AS-BUILT RECORD OF EQUIPMENT AND MATERIALS

Furnish one copy of preliminary record of equipment and materials used on the project 15 days prior to final inspection. This preliminary submittal will be reviewed and returned 2 days after final inspection with Government comments. Submit Two sets of final record of equipment and materials 10 days after final inspection. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

3.7 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide four electronic copies of the Operation and Maintenance Manual files and one hard copy of the Operation and Maintenance Manuals. Submit to the Contracting Officer for approval within 30 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

3.8 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Clean and replace, if necessary, filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site..

3.9 REAL PROPERTY RECORD

Refer to UFC 1-300-08 for instruction on completing the DD FORM 1354. Contact the Contracting Officer for any project specific information necessary to complete the DD FORM 1354.

3.9.1 Interim DD FORM 1354

Near the completion of Project, but a minimum of 60 days prior to final acceptance of the work, complete, update draft DD FORM 1354, and submit an accounting of all installed property with Interim DD FORM 1354. Include any additional assets, improvements, and alterations from the Draft DD FORM 1354.

3.9.2 Completed DD FORM 1354

Attach the Real Property receiving Component's completed High Performance and Sustainable Building (HPSB) Checklist for each applicable building to the completed DD 1354. For convenience, a blank fillable PDF DD FORM 1354 may be obtained at the following link:
www.esd.whs.mil/Portals/54/Documents/DD/forms/dd/dd1354.pdf

Submit the completed List of Installed Building Equipment items for DD FORM 1354. Attach this list to the updated DD FORM 1354.

-- End of Section --

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SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
05/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE GUIDELINE 1.4	(2019) Preparing Systems Manuals for Facilities

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings
ASTM E2166	(2016; R 2023) Standard Practice for Organizing and Managing Building Data

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N	(2024) Navy and Marine Corps Design

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Training Outline; G

Training Content; G

Operation And Maintenance Manual, Prefinal Submittal; G

Operation And Maintenance Manual, Final Submittal; G

SD-11 Closeout Submittals

Training Video Recording; G

Validation of Training Completion; G

Record Drawings And Utility Systems; G

1.3 MEETINGS

To assure that Operation and Maintenance Manual and Facility Data Workbook requirements are being met through the duration of the project, organize the following meetings and discuss the subsequent topics:

1.3.1 Post-Award Kickoff Meeting and Pre-Construction Meeting

At a minimum, discuss the following:

a. The requirement for Operation and Maintenance Manuals and Facility Data deliverables under this contract including coordination meetings

b. Processes and method of gathering Facility Data information during construction

c. Primary roles and responsibilities associated with the development and delivery of the Operation and Maintenance Manuals and Facility Data deliverables, and

d. Identify and agree upon a date and attendance list for the meetings described below:

1.3.2 Operation and Maintenance Manual and Facility Data Workbook Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting and Post-Award Kickoff Meeting prior to the submission of the Operation and Maintenance Manual Progress Submittal. Meeting attendance must include the Contractor's Operation and Maintenance Manual and Facility Data Workbook Preparer, Designer of Record (DOR), Quality Control Manager, the Commissioning Authority (CxA), the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for Operation and Maintenance Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation and timely Government review of Operation and Maintenance Manual.

1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the Operation and Maintenance Manual and Facility Data Workbook.

a. Include personnel from the Coordination meeting and any additional personnel identified.

b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the Operation and Maintenance Manuals and Facility Data Workbook (FDW).

c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda

for this meeting.

1.4 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble Operation and Maintenance Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete bookmarked operation and maintenance directory.

1.4.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Dam/Building Number

b. Project Title

c. Activity and Location

d. Construction Contract Number

e. Prepared For: (Contracting Agency)

f. Prepared By: (Name, title, phone number and email address)

g. Include the disk content on the disk label

h. Date

i. Virus scanning program used

1.4.2 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

1.5 O&M MANUAL CONTENT

Organize the bookmarked Operation and Maintenance Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at: https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-78-23.

1.5.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

1.5.2 Part 2: Facility Design and Construction

1.5.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility.

Provide a PDF of the Record Drawings prepared in accordance with FC 1-300-09N and 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code list and generally describe all the facility systems and any special dam/building features (for example, HVAC Controls, Sprinkler Systems, Cranes, Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

1.5.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

1.5.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

1.5.2.4 Room Inventory of Real Property and Finishes

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. Include, as applicable, the following information for each piece of equipment installed: description of item, all dimensions, location by room number, model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Real property includes, but is not limited to, floor coverings, wall surfaces, ceiling surfaces, windows, roofing, HVAC filters, plumbing fixtures, and lighting fixtures. Submit the final list 30 days after transfer of the completed facility.

Include spatial data defining actual net square footage and data of each room. Also include the room finish schedule including room names and numbers. Include schedules in the construction drawings in the room inventory. Add a column to each schedule to record what was provided by the contractor during construction. Provide a PDF of room inventory. Key the designations to the related area depicted on the contract drawings. List the following data:

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
Description	Specification Section	Manufacturer and Catalog, Model, and Serial Number	Composition and Size	Where Used

RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA				
~~[_____]~~				

1.5.3 Part 3: Facilities, Systems, and Assemblies Information

1.5.3.1 Organization

Bookmark information in this section using the current version of ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy navigation of the manual.

Example as shown in Table below:

PARTS AND SUBPART NUMBERING
3.1 B20 EXTERIOR CLOSURE (System)
3.1.1 B2030 EXTERIOR DOORS (Subsystem)
3.1.1.1 B2030110 GLAZED DOORS (Component)
3.1.1.1.1 Applicable specifications List in UFGS Format
3.1.1.1.2 Manufacturer's Operations and Maintenance Data
3.1.1.1.3 Approved Submittal
3.1.1.1.4 Coordination/Shop Drawings
3.1.1.1.5 Sequence of Operation for Operating Equipment
3.1.1.1.6 Testing Equipment Information and Performance Data
3.1.1.1.7 Routine Maintenance Requirements
3.1.1.1.8 Repair Procedures
3.1.1.1.9 Emergency Procedures & Locations of Applicable Controls
3.1.1.1.10 Warranties
3.1.1.1.11 Record Drawings and Utility Systems
3.1.1.1.12 Contractor / Supplies Listing and Contact Information

1.5.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List specifications in table format as shown in the below example.

UFGS Number	Specification Title	Page Spec Begins in Part 2

1.5.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files containing multiple product catalogs from the same manufacturer, or product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

1.5.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and Maintenance Data submittals documents with the required approval.

1.5.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

1.5.4 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the dam/building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.5.4.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

1.5.4.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.5.4.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.5.4.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.5.4.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.5.4.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.5.4.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.5.4.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.5.4.9 Additional Requirements for Equipment Control Systems

Provide Data Package 5 and the following for all control systems:

a. Provide a narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.

b. Submit complete controls equipment schedules, full as-built sequence of operations, wiring and logic diagrams, Input/Output Tables, equipment schedules, copies of checkout tests and calibrations performed by the Contractor (not Cx tests), and all associates information.

c. Full points list. Provide a listing of rooms with the following information for each room:

(1) Floor

(2) Room number

(3) Room name

(4) Air handler unit ID

(5) Reference drawing number

(6) Air terminal unit tag ID

(7) Heating or cooling valve tag ID

(8) Minimum cfm

(9) Maximum cfm

d. Full print out of all schedules and set points after testing and acceptance of the system.

e. Full as-built print out of software program.

f. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.5.4.10 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.5.5 Routine Maintenance Requirements

1.5.5.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.5.5.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.

c. A Lubrication Schedule showing service interval frequency.

1.5.6 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.5.6.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical

malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.5.6.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.5.6.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.5.6.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.5.6.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.5.6.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.5.6.7 Record Drawings and Utility Systems

The record drawings are the final compilation of actual conditions reflected in the as-built drawings. Provide record drawings as outlined in 01 78 00 CLOSEOUT SUBMITTALS.

Using Record Source Drawings, show and document details of the actual installation of the utility systems, annotate and highlight the Operation and Maintenance information. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

1.5.6.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.5.6.9 Contractor / Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.5.7 Part 4: Facility Operations

1.5.7.1 Completed Facility Operating Plan

Provide a plan that documents that procedures for the operation of systems and assemblies in the facility. The systems that should be included in the Operating Plan include, but are not limited to:

a. Electrical systems and equipment

b. Mechanical systems and equipment

c. Fire Protection systems and equipment

d. Control Systems and equipment

e. Architectural and Structural systems, fixtures, structures, and equipment

f. Vertical transportation such as elevators and escalators

1.5.7.2 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.5.7.3 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.5.7.4 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

1.5.7.5 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.5.7.5.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.5.7.5.2 Ongoing Commissioning Operational and Maintenance Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.5.7.5.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

1.5.7.6 Utility Record Drawings

1.5.7.6.1 Utility Schematic Diagrams

Provide a one-line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the 5 foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

1.5.7.6.2 Enlarged Connection and Cutoff Plans

Provide enlarged floor plans and provide information between the 5 foot utilities connection point and where utilities connect to facility distribution. Enlarge floor plans/elevations of the rooms where the utility enters the dam/building and indicate on these plans the locations of the main interiors and exterior connection and cutoff points for the utilities. Also enlarge floor plans/elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

1.5.7.6.2.1 Description of Utility Metering and Monitoring Systems

Provide in narrative format a description of the utility metering and monitoring systems. Include locations, function, and related systems.

1.5.7.6.2.2 Procedures for Tracking Utility Use and Reporting

Procedures for usage reporting and tracking in support of establishing and monitoring utility budgets and costs, and in developing annual energy reports.

1.5.7.6.2.3 One-Line Diagrams and Meter Location of System

Provide one-line diagrams and design drawings that highlight meter locations on the site.

1.5.7.6.3 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.5.8 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.5.9 Part 6: Cx Project Report

Provide the final Cx Plan and complete Cx reports with evaluation and testing forms and records for each building system. Include relevant commissioned system assemblies test reports including installers checklists of assemblies. Provide all Cx Progress Reports, issues and resolutions logs with resolution or status of each item, and a list of any open items and seasonal or additional testing required.

1.5.10 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.5.11 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.5.12 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.6 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.6.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.6.2 Data Package 1

a. Safety precautions and hazards

b. Cleaning recommendations

c. Maintenance and repair procedures

d. Warranty information

e. Extended warranty information

f. Contractor information

g. Spare parts and supply list

1.6.3 Data Package 2

a. Safety precautions and hazards

b. Normal operations

c. Environmental conditions

d. Lubrication data

e. Preventive maintenance plan, schedule, and procedures

f. Cleaning recommendations

g. Maintenance and repair procedures

h. Removal and replacement instructions

i. Spare parts and supply list

j. Parts identification

k. Warranty information

l. Extended warranty information

m. Contractor information

1.6.4 Data Package 3

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Environmental conditions

g. Operating log

h. Lubrication data

i. Preventive maintenance plan, schedule, and procedures

j. Cleaning recommendations

k. Troubleshooting guides and diagnostic techniques

l. Wiring diagrams and control diagrams

m. Maintenance and repair procedures

n. Removal and replacement instructions

o. Spare parts and supply list

p. Product submittal data

q. O&M submittal data

r. Parts identification

s. Warranty information

t. Extended warranty information

u. Testing equipment and special tool information

v. Testing and performance data

w. Contractor information

x. Field test reports

1.6.5 Data Package 4

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Operator service requirements

g. Environmental conditions

h. Operating log

i. Lubrication data

j. Preventive maintenance plan, schedule, and procedures

k. Cleaning recommendations

l. Troubleshooting guides and diagnostic techniques

m. Wiring diagrams and control diagrams

n. Repair procedures

o. Removal and replacement instructions

p. Spare parts and supply list

q. Repair work-hours

r. Product submittal data

s. O&M submittal data

t. Parts identification

u. Warranty information

v. Extended warranty information

w. Personnel training requirements

x. Testing equipment and special tool information

y. Testing and performance data

z. Contractor information

aa. Field test reports

1.6.6 Data Package 5

a. Safety precautions and hazards

b. Operator prestart

c. Start-up, shutdown, and post-shutdown procedures

d. Normal operations

e. Environmental conditions

f. Preventive maintenance plan, schedule, and procedures

g. Troubleshooting guides and diagnostic techniques

h. Wiring and control diagrams

i. Maintenance and repair procedures

j. Removal and replacement instructions

k. Spare parts and supply list

l. Product submittal data

m. Manufacturer's instructions

n. O&M submittal data

o. Parts identification

p. Testing equipment and special tool information

q. Warranty information

r. Extended warranty information

s. Testing and performance data

t. Contractor information

u. Field test reports

v. Additional requirements for HVAC control systems

1.6.7 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable dam/building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the Quality Control Manager (QC) Commissioning Authority (CxA) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC CxA. Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The QC or CxA is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

3.1.7 Quality Control Coordination

Coordinate this training with the QC CxA in accordance with Section 01 45 00 QUALITY CONTROL.

3.2 SUBMITTAL SCHEDULING

3.2.1 Operation and Maintenance Manual, Progress Submittal

Submit the Progress submittal when construction is approximately 50 percent complete, to the Contracting Officer for approval. Provide Operation and Maintenance Manual Files (Bookmarked PDF). Include the elements and portions of system construction completed up to this point. The purpose of this submittal is to verify progress is in accordance with contract requirements as discussed during the Operation and Maintenance Manual Coordination Meeting.

3.2.2 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the Operation and Maintenance Prefinal Submittal to the Contracting Officer for approval within 90 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of Operation and Maintenance Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include Operation and Maintenance Manual Files (Bookmarked PDF).

3.2.3 Operation and Maintenance Manual, Final Submittal

Submit completed Operation and Maintenance Manual Files (Bookmarked PDF) and one hard copy of the Operation and Maintenance Manuals. The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

SECTION 02 32 13

INSTRUMENTATION HOLE DRILLING AND SAMPLING
02/21

PART 1 GENERAL

1.1 SUMMARY

This section applies to the drilling and sampling of holes for inclinometer installations only. This section is not related to work performed on piezometers or anchors.

1.2 UNIT PRICES

Make all measurements for payment by or in the presence of the Contracting Officer. Preserve all holes in good condition until final measurement and until the records and samples have been examined and accepted. Payment will be made only for drilling that are included in the SCHEDULE OF DRILLING, SAMPLING, AND TESTING, or are directed by the Contracting Officer to be so drilled or excavated. Payment will not be made for any hole or testing for which satisfactory records, as determined by the Contracting Officer, are not furnished.

1.2.1 Core Drilling, Vertical Holes

1.2.1.1 Payment

Payment will be made for costs associated with Core Drilling Vertical Holes. No payment will be made for drill casing, rods or other tooling left in the borehole, ground, or within the dam concrete.

1.2.1.2 Measurement

Core Drilling Vertical Holes will be measured for payment to the nearest foot, based upon the linear feet of hole actually drilled in accordance with the specifications.

1.2.1.3 Unit of Measure

Unit of measure: linear foot.

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D2113	(2014) Rock Core Drilling and Sampling of Rock for Site Investigation
ASTM D2487	(2017; E 2020) Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)

ASTM D2488 (2017; E 2018) Standard Practice for Description and Identification of Soils (Visual-Manual Procedure)

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1110-1-1807 (2014) Drilling in Earth Embankment Dams and Levees

1.4 SYSTEM DESCRIPTION

Provide the data to determine the type, nature, and characteristics of subsurface materials and the extent and conditions of the various materials as they exist to the depths core drilling.

1.4.1 Core Drilling

Core Drilling of cores must be performed in accordance with ASTM D2113. Provide equally good recovery of cores from both hard and soft materials.

1.4.2 Sequencing and Scheduling

1.4.2.1 Drilling Program Plan

Prior to starting work, review ER 1110-1-1807 Drilling and Invasive Activities at Dams and Levees and submit a Drilling Program Plan to be approved by the Government. Appendix B of ER 1110-1-1807 defines the format of the Drilling Program Plan. The Drilling Program Plan shall include, but is not limited to, means and methods for a safe operation and protection of the environment, disposal methods of all materials, means and methods for drilling and sampling, a description of equipment and sampling tools that will be used, a listing of subcontractors and their purpose. Do not perform any work associated the drilling activities until this plan has been approved with no deviation from the approved plan will be permitted without prior approval by the Contracting Officer.

1.4.2.2 Schedule of Drilling, Sampling, and Testing

Proposed inclinometer hole locations are provided on Sheet B-101 of the Project Drawings. The approximate Concrete Dam Crest Elevation is 1,015.3 feet. The Contractor shall verify the concrete surface elevation at each hole location prior to the start of drilling. The schedule of Drilling, Sampling, and Testing is listed in the following schedule:

SCHEDULE OF ~~DRILLING, SAMPLING AND TESTING~~INCLINOMETER HOLE DRILLING				
~~[~~HOLE NO. ~~][PIT NO.]~~	METHOD	APPROXIMATE DEPTH (FEET) BELOW CONCRETE SURFACE	VERTICAL OR INCLINED	SPECIAL INSTRUCTIONS
IPI-1	Rotary	156	Vertical	Terminate at elevation 860'

SCHEDULE OF ~~DRILLING, SAMPLING AND TESTING~~INCLINOMETER HOLE DRILLING				
IPI-1A	Rotary	35	Vertical	Terminate at elevation 980'
IPI-2	Rotary	156	Vertical	Terminate at elevation 860'
IPI-2A	Rotary	35	Vertical	Terminate at elevation 980'

1.4.2.3 Order of Work

1.4.2.3.1 Numerical Sequence

It is intended that the drilling be accomplished in the numerical sequence listed in paragraph SCHEDULE OF DRILLING, SAMPLING, AND TESTING; however, the Contracting Officer may vary the order whenever and in whatever manner is deemed best for accomplishing the work.

1.4.2.3.2 Reporter

Provide a qualified, licensed Professional Geologist or Professional Engineer experienced in subsurface exploration for each drill unit to oversee all drilling, sampling, and field testing operations. This individual is responsible for the preparation of a separate log and/or report for each boring. This individual is also responsible for the preparation of all core samples for delivery to the designated point.

1.4.2.3.3 Government Oversight

The presence of a Government representative or the keeping of separate drilling records by the Contracting Officer does not relieve the Contractor of the responsibility for the work specified in this specification.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Drilling Program Plan; G

SD-02 Shop Drawings

Drilling Log; G

SD-03 Product Data

Permits, Certifications, and Licenses

Schedule of Drilling, Sampling, and Testing; G

1.6 QUALITY ASSURANCE

Comply with all Federal, State and local laws, regulations and ordinances relating to the performance of this work. Procure all required permits, certifications and licenses required by Federal, State, and local law for the execution of this work. Submit copies of all permits, certifications, and licenses prior to starting work. Include a statement of the prior experience, in the type of work described in these specifications, of the person or persons designated to perform the work specified herein.

1.7 DELIVERY, STORAGE, AND HANDLING

1.7.1 General

The Contractor is solely responsible for preserving all samples in good condition. Keep samples from freezing and undue exposure to the weather. Keep all descriptive labels and designations on sample jars, tubes, and boxes clean and legible until final delivery of samples to, and acceptance by, the Contracting Officer. Except as otherwise specified, deliver samples to location as directed by Contracting Officer. Deliver samples within the time limits specified for each type of investigation or in accordance with schedules prepared by the Contracting Officer.

1.8 PROJECT/SITE CONDITIONS

1.8.1 Environmental Requirements

Comply with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

1.8.2 Field Measurements

The approximate locations of drill holes are shown on the attached drawings. The actual locations will be established in the field by the Contracting Officer prior to the start of work. The elevations of the established locations will also be provided by the Contracting Officer prior to the start of work. Provide access to the locations as necessary for the prosecution of the work. Since no separate payment will be made for access construction, include all costs associated with this in the cost of drilling.

PART 2 PRODUCTS

2.1 CONTAINERS

2.1.1 Core Boxes

Use longitudinally partitioned, hinged top, wooden core boxes constructed of plywood and dressed lumber or other approved materials in general accordance with the arrangement and dimensions shown in the table below for all cores. Use as many core boxes as required to box all core. Furnish core boxes completely equipped with all necessary partitions, hinges, and a hasp for holding down the cover. Also provide wood spacers made of surfaced lumber (not plywood) and having dimensions that are 1/8 inch less than the inside dimensions of the individual core box troughs and no less than 3/4 inch thick for blocking the core in the boxes and for providing a marking space to identify core runs and pull depths/elevations. The quantities of these blocks that are required are:

ten blocks per core box for 3 inch or smaller core, five blocks per core box for 4 inch and PQ core, and three blocks per core box for 6 inch core. The box should have the following capacities:

Box Capacities	
6-inch core	single row of core
4-inch or PQ core	2 rows of core
3-inch or smaller core	3 or 4 rows of core

Provide core box with a maximum length of 4 feet for 3 inch or smaller core and dimensioned so that a box will hold 12 to 16 feet of core. The maximum length of a core box for core that is larger than 3 inches must be 5 feet.

2.2 LABELS

2.2.1 Core Box Labels

Identify core boxes with stenciled labels containing the following information:

PROJECT	~~[_____]~~DATE
HOLE NO.	SAMPLE RECOVERY/RQD
BOX NO.	DEPTH RANGE
TOTAL NUMBER OF BOXES FOR THE HOLE	

2.3 EQUIPMENT AND SUPPLIES

2.3.1 Core Drilling

Furnish equipment for core drilling including core-drilling machinery of a type or types approved by the Contracting Officer, complete with all the accessories needed to take continuous cores of a diameter consistent with bit size to the depths specified. Use, as a minimum, a standard ball-bearing, swivel-head, double-tube core barrel, or equivalent. Capacity of the core barrel exceeding 10.5 feet of core is not acceptable. Include all casing, drill rods, core barrels, coring bits, piping, pumps, water, tools, and power required for drilling and all boxes and containers required for core samples. Selection of the type of bit is at the Contractor's discretion provided that the selected bit produces high quality core.

2.3.2 Water

Water used for drilling, sampling, and flushing of boreholes may be lake water. Lake water shall be filtered as required to ensure total suspended

solids are not more than 30 mg/L. Water Filter sampling and testing is required once per week during the work. After final drilling, the borehole shall be flushed with potable water using a minimum quantity of 3 times the borehole volume. Only potable water should be used for mixing grout, cement, or any other backfill material. Potable water shall be fresh, clean, free from injurious amounts of sewage, oil, acid, alkali, salts, or organic matter.

2.3.3 Drill Fluid

Drilling fluid may consist of water as discussed above. Contractor shall expect frequent drill fluid loss due to excessing cracking within the monoliths. Contractor can submit additives for approval by the Contracting Representative for use in sealing potential fluid loss features. All drilling fluid should be containerized on site for safety and re-circulated. Contractor shall have rapid set grout on hand for rapid sealing of fractures.

PART 3 EXECUTION

3.1 MOBILIZATION AND DEMOBILIZATION

3.1.1 Mobilization

Mobilization consists of the delivery to the site of all plant, equipment, materials and supplies to be furnished by the Contractor, the complete assembly in satisfactory working order of all such plant and equipment at the jobsite and the satisfactory storage at the site of all such materials and supplies.

3.1.2 Demobilization

Demobilization consists of the removal from the site of all plant, equipment, materials and supplies after completion of the work and also includes, at the direction of the Contracting Officer, the cleanup and removal of all scrap, waste backfill material, waste drilling fluid, soil contaminated with engine/hydraulic oil, backfilling all sumps or excavations resulting from the operations and, in general, returning the site as close to its original condition as possible. Contractor shall arrange off-site disposal for any waste material at approval of Contracting Officer.

3.2 CORE DRILLING

Provide core drilling consisting of of proper means and methods to produce a 6 inch diameter vertical borehole for instrument installation.

Drilling of instrument holes shall not begin until the Drilling Program Plan has been submitted to and approved by the Government and all crack monitors have been installed in accordance with Section 33 51 43 INSTRUMENTATION AND PERFORMANCE MONITORING OF STRUCTURES.

Drill holes through the existing structure by any method which does not cause damage to the surrounding structure. The Contractor is advised that foreign material, including metals and other materials remaining from original construction of the existing structure, may be encountered during drilling through existing structures.

3.2.1 Procedure

Drill all holes vertically to the bottom elevations or depths specified unless indicated in the schedule of borings or directed to be drilled otherwise. Off-setting of borings from the locations specified in the Plan of Borings or as shown on the drawings, will not be permitted without prior approval. Operate the drills at required speeds and down pressures to control drill fluid pressures and quantities to insure maximum core quality and recovery in whatever kind of material is encountered. Where soft or broken material is encountered, reduce the length of runs to 5 feet or less in order to reduce and/or keep core loss and core disturbance to the minimum. Failure to comply with the foregoing procedures will constitute justification for the Contracting Officer to require redrilling, at the Contractor's expense, of any boring from which the core recovery is unsatisfactory. Exercise particular care in recording zones of water loss, cavities, rod jerks, rough drilling and other unusual and non-ordinary coring experiences that, supplementing the core record, will throw light on the nature and the extent of any fracturing or abnormalities.

Artesian conditions, including pressures nearing full pool elevation, have been previously encountered in existing piezometers PZ-3, PZ-8, and PZ-14, while other existing piezometers having previously encountered more minor flowing conditions near gallery floor elevation. Contractor shall anticipate artesian groundwater conditions and be prepared to accommodate these pressures during installation.

The contractor shall ream the borehole (if needed), once drilled to the appropriate depth, to a minimum diameter of 6 inches for installation of the inclinometer casing and placing of grout in the void space between the borehole wall and the outside of the inclinometer casing using tremie methods.

3.2.2 Monitoring During Drilling

Contractor shall monitor existing crack meters daily during drilling activities and notify the Government if a change of 0.05 inches is observed in any instrument. Contractor shall also monitor the lower gallery during drilling and notify the Government of any changes or observations.

The Contractor shall observe the joint drain discharge into the lower gallery during drilling. Observe joint drains within 50 feet of the hole during drilling and testing. If connection is observed at the joint drain discharge or upstream face, Contractor shall provide a thickened grout to prevent grout travel from the borehole to the joint drain or upstream face.

Stop drilling and notify the Contracting Officer if there is fluid loss during drilling or if drilling fluid is observed at the upstream face.

3.2.3 Arrangement of Core

Provide core boxes in compliance with PART 2, paragraph CORE BOXES. Arrange all cores neatly in the partitioned boxes in the same sequence in which they occurred before removal from the hole. Facing the open box with the hinged cover above and the open box below, arrange cores in descending sequence beginning at the left end of the trough nearest the hinges and continuing in the other troughs from left to right. Place the

highest part of the core in box 1, and place the lower portions of the core in the other boxes in consecutive order.

3.2.4 Labeling, Marking and Packing Core

Place stenciled labels for core boxes complying with paragraph CORE BOX LABELS on the inside and outside of the top cover in addition to each end. In addition, mark the depths (or elevations) of each core run/pull with a black waterproof pen on the spacer blocks that are placed between core pulls. When a box is full, fill the space between the core and the trough sides with finely ground vermiculite or other packing material approved by the Contracting Officer.

3.2.5 Disposition of Core

While onsite, protect the filled core boxes from direct sunlight, precipitation, and freezing by some form of Contracting Officer approved shelter that allows ventilation to the boxes. Upon completion of core drilling and sampling operations, store core boxes containing cores in an area provided by the Contracting Officer near the site of drilling. Contractor shall select one core sample per boring to be sent for Unconfined Compressive Strength Testing.

3.3 BACKFILLING

3.3.1 Abandoned Instrumentation Holes

Abandoned Instrumentation Hole within this section refers to any drill hole which was initially intended to have instrumentation installed for monitoring of the dam, which cannot be used for that purpose due to improper hole tolerances, drilling difficulties, or other reasons. In this case, the entire drilled length of the instrument hole shall be "abandoned" using grouting methods. The Contractor shall propose an offset location for a replacement instrument hole.

For abandonment of boreholes, gravity grouting will be performed and must proceed from the bottom of the hole to the top of the hole. During the grouting operation, all face drains within 50 feet of a borehole along the dam centerline shall be continually flushed with lake water. The flushing shall continue until the grout level has remained stable for one hour. Joint drain discharge outlets into the lower gallery shall be observed during the grouting. The outlets within 50 feet of the grouting operation shall be observed for grout. If grouting compromises a face drain or joint drain, grouting will stop, and the grout will be removed from the hole. A method for re-grouting the hole with a sanded grout will be submitted for approval by the Contracting Officer.

See the requirements in Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS for disposal of excess grout. The Contractor shall provide a sump pump to assist in collection of water from the drain sump. Water collected during the flushing shall be treated as Wastewater defined in Section 01 57 19 paragraph 3.7.6 Wastewater.

Unless otherwise noted in these specifications or directed by the Contracting Officer, backfill and abandon all abandoned instrumentation holes in accordance with all Federal, State, and local laws, regulations and ordinances. Preserve all holes in good condition until final measurement and until the records and samples have been accepted. As a minimum, grout all holes from the bottom of the hole to within 3 feet of

the ground surface using a grout mixture of six to eight gallons of water per sack (94 pounds) of portland cement. Pump all grout through a tremie pipe that is inserted to the bottom of the boring to insure that the grout fills the full extent of the hole. Backfill the remaining ungrouted top 3 feet of the hole with shrinkage compensating grout. Perform all backfilling operations in the presence of the Contracting Officer and, if required by regulation, Federal, State, and local officials. No separate payment will be made for backfilling drill holes. Include the cost of this work in the drilling costs.

3.4 DISPOSAL

Drill fluid must be filtered as required to ensure compliance with Virginia Department of Environmental Quality (DEQ) regulations before discharge into the gutter drain or directly into the tailrace. Holding tanks may be used to allow solids to settle so that clear water can be decanted for disposal once the proper turbidity level is met.

Grout flush and drilling fluid with bentonite and/or cuttings is classified as solid waste in the State of Virginia and must be disposed of in designated landfill sites or an approved location.

3.5 RECORDS

Submit complete, legible copies of DRILLING LOG, ENG FORM 1836 and 1836A, and records to the Contracting Officer upon completion of the work or at such other time or times as directed. Keep accurate driller's logs (DRILLING LOG, ENG FORM 1836, and 1836-A will be provided by the Contacting Officer) and records of all work accomplished under this contract and deliver complete, legible copies of these logs and records to the Contracting Officer upon completion of the work or at such other time or times as directed. Record all such records during the actual performance of the work and preserve in good condition and order until they are delivered and accepted. The Contracting Officer has the right to examine and review all such records at any time prior to their delivery and has the right to request changes to the record keeping procedure. Include the following information on the logs or in the records for each hole:

a. Hole number or designation and elevation of top of hole.

b. Driller's name and Professional Geologist/Engineer's name.

c. Make, size, and manufacturer's model designation of drilling and sampling equipment.

d. Type of drilling and sampling operation by depth.

e. Hole diameter.

f. Dates and time by depths when drilling and sampling operations were performed.

g. Time required for drilling each run.

h. Drill action, rotation speed, hydraulic pressure, water pressure, tool drops, and any other unusual and non-ordinary experience which could indicate the subsurface conditions encountered.

i. Depths at which samples or cores were recovered or attempts made to sample or core including top and bottom depth of each run.

j. Classification or description by depths of the materials cored, using the Unified Soil Classification System (ASTM D2487) and including a description of moisture conditions, consistency and other appropriate descriptive information described in paragraph SUPPLEMENTAL BORINGS of ASTM D2488. Make this classification or description immediately after the samples or cores are retrieved.

k. Classification and description by depths of materials cored including material type, composition, texture, presence and orientation of bedding, floiation, or fractures, presence of vugs or other interstices, and the RQD for each cored interval.

l. Percentage of sample or core recovered per run.

m. Depths at which drill water is lost and regained and amounts.

n. Depths at which the color of the drill water return changes.

o. Type and weight of drill fluid.

p. Depth of bottom of hole.

-- End of Section --

SECTION 03 01 00

REHABILITATION OF CONCRETE
02/18

PART 1 GENERAL

1.1 SCOPE

This specification governs the rehabilitation of structural concrete at the spillway monoliths where new anchors are being placed as shown in Sheets C-400 and S-102 and is applicable for repair of concrete areas affected by contractor's operations performed on the dam.

1.2 DEFINITIONS

1.2.1 Bracing

Temporary supplemental members used to avoid local or global instability during construction, evaluation, or repair that are intended to be removed after completion of construction.

1.2.2 Delamination

A planar separation in a material that is roughly parallel to the surface of the material.

1.2.3 Rehabilitation

Repairing or modifying an existing structure to a desired useful condition.

1.2.4 Repair

The reconstruction or renewal of concrete parts of an existing structure for its maintenance or to correct deterioration, damage, or faulty construction of members or systems of a structure.

1.2.5 Termination Joint

The interface where a placement of repair material meets existing concrete, the edge of an expansion joint, or other existing surfaces.

1.2.6 Unsound Concrete

Concrete that is fractured, delaminated, spalled, deteriorated, defective, contaminated or otherwise damaged.

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; R 2015) Specifications for Tolerances for Concrete Construction and Materials and Commentary

ACI 503.7	(2007) Specification for Crack Repair by Epoxy Injection

ASTM INTERNATIONAL (ASTM)

ASTM C1077	(2024) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM D4580/D4580M	(2012) Standard Practice for Measuring Delaminations in Concrete Bridge Decks by Sounding
ASTM E329	(2023) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

INTERNATIONAL CONCRETE REPAIR INSTITUTE (ICRI)

ICRI 310.2R	(2013) Selecting and Specifying Concrete Surface Preparation for Sealers, Coatings, Polymer Overlays, and Concrete Repair

1.4 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Qualifications; G

Work Plan; G

Quality Control Plan; G

SD-03 Product Data

Conventional Concrete

Polymers

Miscellaneous Materials And Equipment

SD-04 Samples

Reinforcement And Reinforcement Supports

Polymers

Miscellaneous Materials And Equipment

SD-05 Design Data

Formwork; G

Repair Procedures; G

Mixture Proportioning; G

SD-06 Test Reports

Mixture Proportioning

Quality Control

Reinforcement And Reinforcement Supports

Conventional Concrete

Polymers

Miscellaneous Materials And Equipment

SD-07 Certificates

Qualifications

Reinforcement And Reinforcement Supports

Conventional Concrete

Polymers

SD-08 Manufacturer's Instructions

Equipment For Concrete Preparation

Conventional Concrete

Polymers

Miscellaneous Materials And Equipment

1.5 QUALITY ASSURANCE

1.5.1 General Requirements

a. To protect personnel from overexposure to toxic materials, conform to the applicable manufacturer's Safety data sheets or local regulations. Submit manufacturer's Safety Data Sheets for all polymers as well as other potentially hazardous materials.

b. Submit the repair procedures for executing the work as well as the test data and documentation on materials used for repair. Submittal must include component materials, mixture proportions, and supplier's quality control program.

c. Inspection and testing of surface preparation as well as placement of reinforcing steel must be in accordance with provisions included herein.

d. Sampling and testing of materials, as well as inspection and testing of work, must be in accordance with established procedures,

manufacturer's instructions.

e. Trial batches and testing requirements for various repair materials specified are the responsibility of the Contractor.

f. The testing agency must inspect, sample, and test repair materials and concrete production as required. When it appears that material furnished or work performed by Contractor fails to conform to Contract Documents the testing agency will immediately report such deficiency.

1.5.2 Quality Control Plan

Submit a quality control plan as specified in Sections 01 45 00 QUALITY CONTROL.

1.5.3 Qualifications

The submittals must where applicable, identify agencies and individuals who will be working on this contract and their relevant experience. Do not make changes in approved agencies or personnel without prior approval of the Contracting Officer.

1.5.3.1 Testing Agencies

In addition to the requirements of Section 01 45 00 QUALITY CONTROL, agencies that test concrete materials must meet the requirements of ASTM C1077. Testing agencies that test or inspect placement of reinforcing steel must meet the requirement of ASTM E329. Submit data on qualifications of Contractor's proposed testing agency for acceptance.

1.5.3.2 Quality Control Personnel

Field tests of repair materials required must be made by an ICRI Concrete Surface Repair Technician Tier 2.

1.5.3.3 Worker Qualifications

a. Workers installing adhesive anchors must be ACI Adhesive Anchor Installer certified or equivalent.

1.5.3.4 Regulatory Requirements

Perform all work in accordance with applicable Federal, State, and local safety, health, and environmental requirements. The Contractor is responsible for obtaining all permits required by Federal, State, and local agencies for the performance of the work.

1.5.4 Work Plan

Prepare a work plan describing the methods of concrete removal and repair, including methods, equipment and materials to be used for each feature. Submit the work plan for approval at least 30 days prior to the start of the work. The plan must include, but not be limited to, repair materials to be used with specific information on products and/or constituents, and requirements for handling, storage, etc., equipment to be used, surface preparation, and requirements for placement, finishing, curing and protection specific to the materials used. Do not commence work until the work plan and field demonstration representative of the type of work are approved.

1.6 ACCEPTANCE OF REHABILITATION WORK

1.6.1 General Requirements

a. Completed concrete rehabilitation work must conform to applicable requirements of this specification.

b. Correct rejected repair work by removing and replacing or by strengthening with additional construction acceptable to the Contracting Officer. Use repair methods that meet applicable requirements for function, durability, dimensional tolerances, and appearance.

c. Submit proposed work plan, repair methods, materials, and modifications to the Work needed to correct rejected repair work to meet the requirements of Contract Documents.

1.6.2 Tolerances

a. Construction tolerances for repairs must conform to ACI 117.

1.7 PROTECTION OF COMPLETED REHABILITATION WORK

a. Do not allow construction loads to exceed the loads that a structural member or structure is safely capable of supporting without damage. Provide supplemental support if construction loads are expected to exceed safe load capacity.

b. Protect repaired and adjacent areas from damage by construction traffic, equipment, and materials. During the curing period, protect repair materials from damage by mechanical disturbances, including load-induced stresses, shock, and vibration.

c. Protect repair materials from environmental damage by weather events during the length of the curing period.

PART 2 PRODUCTS

Products or materials used must conform to the requirements included herein. The usage of other products or materials not covered by this requirement are permitted upon approval by the Contracting Officer. Additional information and submittals for products and materials not included in this document including product data, samples, design data, test reports, certificates, manufacturer's instructions, and field reports must be submitted as requested by the Contracting Officer.

2.1 EQUIPMENT FOR CONCRETE PREPARATION

Means and methods used for concrete removal and surface preparation must be selected and used such as to minimize damage to the structure and to the concrete substrate that remains.

2.1.1 Equipment for Concrete Removal

Removal equipment and techniques must be suitable to produce concrete surface profiles and level of cleanliness in designated areas as required by this specification.

2.1.1.1 Cutting Equipment

a. The following cutting equipment are permitted: High-pressure water jet without abrasives, Saw cutting.

b. Cutting, lifting, and transporting equipment must be adequate to cut, support, and transport concrete sections without incurring any damage to the existing structure.

2.1.1.2 Concrete Breakers

a. Provide sharp tips on breaker equipment to minimize microcracking damage in partial depth removal.

b. The use of the following impact equipment and methods is permitted: Hand-held breakers Needle scalers.

c. The maximum breaker size is 30 pounds.

2.1.2 Surface preparation and cleaning equipment

2.1.2.1 Low Pressure Water Cleaning

Use equipment capable of delivering 1000 psi to to 5000 psi at 2 gal/min to 10 gal/min for cleaning loose material from repair areas.

2.1.2.2 Other Cleaning Equipment

Use equipment that delivers oil free air capable of cleaning loose material and debris from repair areas. If necessary to dry the concrete surface, clean, dry, compressed air may be used. Also, use vacuums capable of removing loose material and debris.

2.2 REINFORCEMENT AND REINFORCEMENT SUPPORTS

2.2.1 Steel Bars, Wires, and Fiber-reinforced Concrete

a. Reinforcement and reinforcement support must meet the requirements specified in Section 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE.

2.3 CONVENTIONAL CONCRETE

a. Portland cement concrete materials must meet the requirements specified in Section 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE.

b. Refer to Section 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE for details on submittals involving conventional concrete.

2.4 POLYMERS

a. The requirements for the properties of polymers and aggregates used in polymers must meet the requirements specified in this paragraph.

b. Polymers used must be compatible with other polymers and materials used on the project. Unless repair materials are specified in the contract documents, the Contractor is responsible for verifying material compatibilities.

c. Submit product data, manufacturer's Safety Data Sheets,samples, design

data, test reports, certificates, manufacturer's instructions, and field reports for materials as required by this document.

2.4.1 Epoxies

a. Epoxy used for crack repair must meet the requirements of ACI 503.7.

2.5 MISCELLANEOUS MATERIALS AND EQUIPMENT

2.5.1 Concrete Accessories

All concrete accessories not included in this document must meet the requirements specified in Section 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE

2.5.2 Miscellaneous Equipment

a. Equipment designed specifically for the application of repair materials must be used as required by the repair material manufacturer and the referenced specification.

b. Equipment not listed in this specification but referenced or used for repairs must be clean and in good operating condition.

c. All supplies and equipment must be available in sufficient quantities to allow continuity in the installation project and quality assurance.

2.6 MIXTURE PROPORTIONING

a. Portland cement-based concrete mixtures must be in accordance with the requirements of Section 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE.

b. Proportioning and mixing materials not specified above must follow the requirements provided by the repair material manufacturer.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

3.1.1 Examination

Locate area of unsound concrete or delamination using hammer sounding or chain drag sound methods in accordance to ASTM D4580/D4580M. Denote and mark perimeter boundaries and notify the Contracting Officer to approve the unsound concrete layout boundaries.

3.1.2 Protection

Protect pedestrians, motorized traffic, mechanical, electrical, and plumbing equipment, surrounding construction, project site, landscaping, and surrounding buildings from damage or injury resulting from concrete rehabilitation work.

a. Construct dust and debris barriers surrounding repair work perimeter to control dust and to protect and control construction traffic.

b. Dispose of runoff from wet demolition or surface preparation operations in accordance with all local ordinances. Disposal methods must avoid soil erosion, avoid undermining pavements and foundations,

damage to landscaping and vegetation, and minimize water penetration through the dam structures.

c. Collect and neutralize alkaline wastes and acid wastes and dispose in accordance with local, state, and federal regulations.

d. Comply with local noise ordinances during demolition operations.

e. Perform demolition work and surface preparation work in a manner that minimizes disturbances of operations. Coordinate work with the Contracting Officer.

f. Submit a proposed protection plan for approval by owner representative and Licensed Design Professional.

3.1.3 Formwork

Execution of formwork and shoring must meet the requirements specified in Section 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE.

3.1.3.1 Formwork

a. Construct forms to sizes, shapes, lines, and dimensions to match existing adjacent surfaces and textures. Provide forms that match openings, offsets, chamfers, anchorages, inserts and other features as described on Contract Documents. Construct forms to accommodate installation of products by other trades. Provide forms for easy removal to minimize damage to concrete surfaces and adjacent surfaces. Apply form release coating over formwork surfaces prior to each concrete placement. Form release agents must not be applied to or come in contact with the repair area concrete substrate or reinforcement.

b. Do not damage repair material during removal of formwork. Perform needed repair and treatment required on vertical surfaces at once and follow immediately with specified curing. Remove all formwork anchors embedded in existing concrete. Fill anchor holes and repair all damage to existing concrete at anchor holes.

3.1.4 Concrete preparation

a. Remove concrete as needed per the removal requirements of this section. Limits on removal equipment are specified in the paragraph titled EQUIPMENT FOR CONCRETE PREPARATION.

b. Remove foreign material, such as dirt, oil, grease, or other chemicals, from the cracks before injection using compressed air, low-pressure water, or vacuuming. Allow wet surfaces to dry at least 24 hours.

c. Immediately before placing the repair material or installing formwork, make the repair area available for inspection by the Contracting Officer. Obtain acceptance by the Contracting Officer of surface preparation before proceeding with Work. If the Work is rejected, perform additional operations to the satisfaction of Contracting Officer.

3.1.5 Quality Control

3.1.5.1 Quality control of surface preparation

Evaluation of prepared substrate must be continuously monitored to assure that the prepared substrate surface meets project requirements.

3.1.6 Curing

a. For portland cement concrete Work, follow the requirements indicated in 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE.

3.1.7 Clean up

a. Clean and remove all spills and leaks of injection adhesive and stains caused by the injection adhesives.

3.1.8 Safety

a. Provide Material Safety Data Sheets (MSDS) for products on site reviewing them before work begins.

b. Provide safety guards, maintenance, and warnings for all machinery and equipment.

c. Have personal protection equipment practice in place - eye protection and face guards.

d. Have all workers in contact with wet cementitious material wear protective gloves and clothing.

e. Provide eyewash facilities on-site with location signage.

f. Provide dust masks for workers operating mixers.

g. Have confined space procedures in place including adequate ventilation in closed spaces before operating equipment or using products that emit potentially dangerous or toxic exhaust, fumes, or dust.

h. Provide secured storage available for all hazardous or flammable materials.

i. Conduct safety meetings prior to beginning repair operations.

3.2 CRACK REPAIR

3.2.1 Preparation

3.2.1.1 General Requirements

a. Clean all cracks in accordance with the paragraph titled Concrete Preparation.

b. Do not repair cracks when the temperature of the concrete is below freezing and moisture conditions indicate the possibility of ice on the internal surfaces of the crack.

c. Do not apply adhesive if the temperature of the concrete is not within the range of application temperatures recommended by the manufacturer

of the adhesive.

3.2.1.2 Crack routing

Inspect surfaces adjacent to crack to receive repair material. If deteriorated, route a V-groove section at the crack face until sound concrete is reached.

3.2.1.3 Sealing

a. For epoxy injection, apply a surface seal over all exterior faces of the crack that can be reached to contain the injection adhesive in the crack.

b. For gravity fill repairs, apply a surface seal along the bottom surface of the element that can be reached to contain the repair material in the crack.

3.2.2 Application

3.2.2.1 Epoxy Injection

a. Install the injection entry and venting ports using flush mounted or drilled fittings per proprietary manufacturer's instructions.

b. Space the ports at a distance equal to the thickness of the member.

c. Inject the epoxy using material manufacturer's recommended equipment.

d. Apply recommended manufacturer's injection pressure.

e. For vertical or inclined cracks, apply injection by pumping epoxy into entry ports at the lowest elevation, cap, and move upward.

f. For horizontal cracks, apply injection by proceeding from one end of the crack to the other until the crack is fully sealed.

3.2.2.2 Gravity fill

a. Mix resin or monomer per material manufacturer's instructions.

b. Pre-fill cracks at least 0.125 in. wide with aggregate.

c. Pour resin or monomer onto the surface, over the cracks and spread with brooms, rollers, or squeegees.

d. Work material back and forth over the cracks to maximize fill in crack.

e. Allow at least 20 minutes for material to penetrate cracks.

f. Remove excess material once cracks have been filled to refusal.

g. Allow material to cure per material manufacturer's recommendations.

h. Remove sealant and grind smooth.

3.2.3 Quality Control

a. Conduct quality tests for metering accuracy and mixing effectiveness

of the continuous mixing pump in accordance with ACI 503.7.

b. Qualify the test injection procedures in accordance with ACI 503.7.

3.3 CORROSION AND SURFACE REPAIR

3.3.1 Preparation

3.3.1.1 Identification of Extent of Concrete Removal

a. Configure geometry of removal area to maximize the use of right-angle geometry, avoiding reentrant corners, and to obtain uniformity of depth. Determine the depth, location, and size of reinforcing bars prior to removal of concrete.

b. Perform visual inspection and hammer tapping, chain drag sounding, or other methods acceptable by the Contracting Officer to identify cracked, delaminated, spalled, disintegrated, and otherwise unsound concrete for removal. Mark boundaries of repair area before concrete removal.

c. Inspect the marked boundaries with the Contracting Officer prior to commencing with the concrete removal.

3.3.1.2 Formwork

a. Provide formwork per the paragraph titled Formwork.

b. For post-tensioned concrete, detension strands and wires as required by Contract Documents prior to repair.

3.3.1.3 Concrete Removal

a. Remove concrete from repair areas to indicated depth and profile. Notify Contracting Officer if additional delaminated, fractured, or unsound concrete is present.

b. Do not damage embedded reinforcing and adjacent concrete. The removal methods must produce minimal microcracking (bruising) of the prepared substrate surfaces. Avoid directly striking reinforcing steel with impact tools used for concrete removal.

c. Provide perpendicular edges at perimeter of repair area. The perimeter of the repair areas must be saw cut to a depth of 0.50 to 0.75 in.. Do not cut or damage embedded reinforcement or other embedded items. If embedded reinforcing steel or other embedded items are too close to the surface to provide the perpendicular edge cut, notify the Contracting Officer for direction before proceeding.

d. Extend concrete removal along the corroded reinforcing steel to a point where there is no further delamination, concrete cracking, or reinforcing steel corrosion, and where the reinforcement is bonded to the surrounding concrete.

e. Remove concrete around the exposed layer of reinforcement to a uniform depth beyond within the repair areas to provide a minimum clearance between exposed reinforcing steel and surrounding concrete of at least 0.25 in. larger than the maximum nominal size of the coarse aggregate in the repair material.

3.3.1.4 Preparation of Concrete Substrate Surface

a. Confirm perpendicular edges at repair area perimeter, and reinstate if damaged by concrete removal process. Remove loosely bonded concrete, bruised or fractured concrete, and bond-inhibiting materials such as dirt, concrete slurry, or any other detrimental materials from the concrete substrate using approved methods. Where concrete has been removed by impact methods, abrasive blasting must be used to prepare the surface and remove bruised concrete.

b. Prepare substrate surface to achieve a roughened profile suitable for bonding of repair material. Expose coarse aggregate and achieve a minimum surface roughness amplitude of 1/4 inch. Surface profile shall conform to ICRI 310.2R, CSP 6 to CSP 9, as appropriate for the repair material and thickness.

c. Visually inspect and sound substrate surface to confirm that no further delaminations or otherwise unsound concrete remains. If encountered, notify the Contracting Officer.

d. Clean the substrate per the paragraph titled Concrete preparation.

3.3.2 Application

3.3.2.1 Existing Reinforcement Preparation

a. Clean existing reinforcement that will remain. Remove corrosion and/or other laitance and notify the Contracting Officer if section loss is greater than 20%.

3.3.2.2 Placement of Concrete

a. If portland cement concrete is used as the repair material, follow the requirements indicated in 03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE as well the Contract Document for proportioning, mixing, and placing concrete. For all other materials, follow material manufacturer's recommendations.

b. Bristle broom a thin coat of the repair material into the saturated surface dry substrate filling roughened surface pores before placing the repair material in the repair area. Do not allow thin coat to dry before placing repair material.

c. Finish the surface to match surface finish and texture requirements indicated in the Contract Document.

3.3.2.3 Placement of Other Repair Materials

a. Equilibrate repair material(s) and substrate to the temperature, cleanliness of substrate and reinforcement, and moisture requirements of the repair material manufacturer's requirements.

b. Comply with the repair material manufacturer's requirements for batching, mixing, placing and curing repair materials.

c. Review consistency of the mixed repair material(s) relative to the parameters documented in the repair material manufacturer product data sheet. If non-conforming, adjust consistency in compliance with the

repair material manufacturer's requirements.

d. Apply or install repair material(s) within the application time frame (pot life) requirements of the repair material manufacturer's requirements, and place and consolidate to provide well-compacted repair.

e. Finish and tool repair materials, finished in accordance with the repair material manufacturer's written instructions and as indicated in Contract Documents.

f. Protect installed repair material(s) from damage, exposure to environmental conditions that are detrimental to the uncured or cured properties of the material. Cure in accordance with the requirements of the repair material manufacturer's requirements.

3.3.3 Quality Control

a. Protect concrete surfaces, beyond limits of surfaces receiving bonding agent adhesive, against spillage. Immediately remove any bonding agent adhesive that has spilled beyond desired area. Perform cleanup with material designated by bonding agent adhesive manufacturer. Avoid contamination of work area.

-- End of Section --

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SECTION 03 30 53

MISCELLANEOUS CAST-IN-PLACE CONCRETE
05/14

PART 1 GENERAL

1.1 SCOPE

Install concrete at anchor pockets as shown in Sheet S-501 and at conduits as shown in Sheet EI-502.

1.2 SUMMARY

Perform all work in accordance with ACI 318.

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; R 2015) Specifications for Tolerances for Concrete Construction and Materials and Commentary
ACI 301	(2020) Specifications for Structural Concrete
ACI 304R	(2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete
ACI 305R	(2020) Guide to Hot Weather Concreting
ACI 306R	(2016) Guide to Cold Weather Concreting
ACI 318	(2019; R 2022) Building Code Requirements for Structural Concrete (ACI 318-19) and Commentary (ACI 318R-19)
ACI 347R	(2014; Errata 1 2017) Guide to Formwork for Concrete
ACI SP-66	(2004) ACI Detailing Manual

ASTM INTERNATIONAL (ASTM)

ASTM A615/A615M	(2024) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM A1064/A1064M	(2024) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete

ASTM C31/C31M	(2024b) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2024) Standard Specification for Concrete Aggregates
ASTM C39/C39M	(2024) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C94/C94M	(2024c) Standard Specification for Ready-Mixed Concrete
ASTM C143/C143M	(2020) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2024) Standard Specification for Portland Cement
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2024a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2024) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a; R 2016) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C309	(2019) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C586	(2011) Standard Test Method for Potential Alkali Reactivity of Carbonate Rocks as Concrete Aggregates (Rock-Cylinder Method)
ASTM C595/C595M	(2024) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2023; E 2023) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C881/C881M	(2020a) Standard Specification for Epoxy-Resin-Base Bonding Systems for Concrete
ASTM C882/C882M	(2024) Standard Test Method for Bond Strength of Bonding Systems Used With Concrete by Slant Shear
ASTM C1064/C1064M	(2023) Standard Test Method for Temperature of Freshly Mixed Hydraulic-Cement Concrete

ASTM C1105	(2016) Standard Test Method for Length Change of Concrete Due to Alkali-Carbonate Rock Reaction
ASTM C1157/C1157M	(2023) Standard Performance Specification for Hydraulic Cement
ASTM C1260	(2023) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C1567	(2023) Standard Test Method for Potential Alkali-Silica Reactivity of Combinations of Cementitious Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1602/C1602M	(2022) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM D75/D75M	(2019) Standard Practice for Sampling Aggregates

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 247	Comprehensive Procurement Guideline for Products Containing Recovered Materials

1.4 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Installation Drawings; G

SD-03 Product Data

Air-Entraining Admixture
Curing Materials
Conveying and Placing Concrete
Formwork
Mix Design Data; G
Ready-Mix Concrete
Curing Compound
Mechanical Reinforcing Bar Connectors

SD-06 Test Reports

Aggregates
Concrete Mixture Proportions; G
Compressive Strength Testing; G
Slump; G
Air Content

Water

SD-07 Certificates

Cementitious Materials
Pozzolan
Aggregates
Delivery Tickets

SD-08 Manufacturer's Instructions

Curing Compound

1.5 QUALITY ASSURANCE

Indicate specific locations of Concrete Placement, Forms, Steel Reinforcement, Accessories on installation drawings and include, but not be limited to, square feet of concrete placements, thicknesses and widths, plan dimensions, and arrangement of cast-in-place concrete section.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

The Government retains the option to sample and test aggregates and concrete to determine compliance with the specifications. Provide facilities and labor as may be necessary to assist the Government in procurement of representative test samples. Obtain samples of aggregates at the point of batching in accordance with ASTM D75/D75M. Sample concrete in accordance with ASTM C172/C172M. Determine slump and air content in accordance with ASTM C143/C143M and ASTM C231/C231M, respectively, when cylinders are molded. Prepare, cure, and transport compression test specimens in accordance with ASTM C31/C31M. Test compression test specimens in accordance with ASTM C39/C39M. Take samples for strength tests not less than once each shift in which concrete is produced. Provide a minimum of four 6 x 12 inch or six 4 x 8 inch specimens from each sample; two 6 x 12 inch or three 4 x 8 inch to be tested at 28 days for acceptance. Two 6 x 12 inch or three 4 x 8 inch will be tested at 7 days for information.

2.1.1 Strength

Acceptance test results are the average strengths of two 6 x 12 inch or three 4 x 8 inch specimens tested at 28 days. The strength of the concrete is considered satisfactory so long as the average of all three consecutive acceptance test results equal or exceed the specified compressive strength, f'c, and no individual acceptance test result falls below f'c by more than 500 psi.

2.1.2 Construction Tolerances

Apply a Class "C" finish to all surfaces except those specified to receive a Class "D" finish. Apply a Class "D" finish to all post-construction surfaces which will be permanently concealed. Surface requirements for the classes of finish required are as specified in ACI 117.

2.1.3 Concrete Mixture Proportions

Concrete mixture proportions are the responsibility of the Contractor.

Mixture proportions must include the dry weights of cementitious material(s); the nominal maximum size of the coarse aggregate; the specific gravities, absorptions, and saturated surface-dry weights of fine and coarse aggregates; the quantities, types, and names of admixtures; and quantity of water per yard of concrete. Provide materials included in the mixture proportions of the same type and from the same source as will be used on the project. The specified compressive strength f'c is 4,000 psi at 28 days. The maximum nominal size coarse aggregate is 3/4 inch, in accordance with ACI 304R. The air content must be between 4.5 and 7.5 percent with a slump between 2 and 5 inches. The maximum water-cementitious material ratio is 0.50. Submit the applicable test reports and mixture proportions that will produce concrete of the quality required, ten days prior to placement of concrete.

2.2 MATERIALS

Submit manufacturer's literature from suppliers which demonstrates compliance with applicable specifications for the specified materials.

2.2.1 Cementitious Materials

Submit Manufacturer's certificates of compliance, accompanied by mill test reports, attesting that the concrete materials meet the requirements of the specifications in accordance with the Special Clause "CERTIFICATES OF COMPLIANCE". Also, certificates for all material conforming to EPA's Comprehensive Procurement Guidelines (CPG), in accordance with 40 CFR 247. Provide cementitious materials that conform to the appropriate specifications listed:

2.2.1.1 Portland Cement

ASTM C150/C150M, Type I, with tri-calcium aluminates (C3A) content less than 10 percent and a maximum cement-alkali content of 0.80 percent Na2Oe (sodium oxide) equivalent.

2.2.1.2 Blended Hydraulic Cement

Provide blended cement conforming to ASTM C595/C595M and ASTM C1157/C1157M, Type IL, including the optional requirement for mortar expansion and consist of a mixture of ASTM C150/C150M Type I, cement and a complementary cementing material. Provide the manufacturer's written statement that the amount of pozzolan in the finished cement will not vary more than plus or minus 5 mass percent of the finished cement from lot-to-lot or within a lot. Do not change the percentage and type of mineral admixture used in the blend from that submitted for the aggregate evaluation and mixture proportioning.

2.2.1.3 Pozzolan

Provide pozzolan that conforms to ASTM C618, Class F, including requirements of Tables 1A and 2A.

2.2.2 Aggregates

For fine and coarse aggregates meet the quality and grading requirements of ASTM C33/C33M. Evaluate all aggregates for alkali-silica reactivity (ASR) using ASTM C1260. If supplementary cementitious materials are proposed to mitigate ASR, test the effectiveness of those materials in accordance with ASTM C1567. Submit complete ASR test results and mitigate

strategy, if applicable, with the concrete mix design submittal. Aggregates or aggregate/SCM combinations shall be considered acceptable if the measured expansion does not exceed 0.08% at 28 days. Evaluate aggregates containing carbonate rocks for alkali-carbonate reactivity (ACR) in accordance with ASTM C586 and, if necessary, ASTM C1105. Submit certificates of compliance and test reports for aggregates showing the material(s) meets the quality and grading requirements of the specifications under which it is furnished. Where placement occurs in confined areas, use smaller aggregate (maximum 3/8 inch) or adjust mix design as needed to ensure full consolidation and prevent voids.

2.2.3 Admixtures

Provide admixtures, when required or approved, in compliance with the appropriate specification listed. Retest chemical admixtures that have been in storage at the project site, for longer than 6 months or that have been subjected to freezing, at the expense of the Contractor at the request of the Contracting Officer and will be rejected if test results are not satisfactory.

2.2.3.1 Air-Entraining Admixture

Provide air-entraining admixture that meets the requirements of ASTM C260/C260M.

2.2.4 Water

Mixing and curing water in compliance with the requirements of ASTM C1602/C1602M; free of injurious amounts of oil, acid, salt, or alkali. Submit test report showing water complies with ASTM C1602/C1602M.

2.2.5 Reinforcing Steel

Provide reinforcing bars conforming to the requirements of ASTM A615/A615M, Grade 60, deformed. Provide welded steel wire reinforcement conforming to the requirements of ASTM A1064/A1064M. Detail reinforcement not indicated in accordance with ACI 301 and ACI SP-66. Provide mechanical reinforcing bar connectors in accordance with ACI 301 and provide 125 percent minimum yield strength of the reinforcement bar.

2.2.6 Epoxy Grout for Steel Dowels (Anchor Pocket)

Provide epoxy grout conforming to ASTM C881/C881M, Type IV, Grade 3, and Class A, B, or C as appropriate for the installation temperature. Furnish epoxy grout as a two-component, pre-proportioned system; field proportioning is not permitted. Epoxy grout shall develop a minimum bond strength of 2500 psi when tested in accordance with ASTM C882/C882M.

2.2.7 Formwork

Design and engineer, if required by the Contractor's Engineer, the formwork as well as its construction in accordance with ACI 301 Section 2 and 5 and ACI 347R. Fabricate of wood, steel, or other approved material. Submit formwork design prior to the first concrete placement.

2.2.8 Form Coatings

Provide form coating in accordance with ACI 301.

2.2.9 Curing Materials

Provide curing materials in accordance with ACI 301, Section 5.

2.3 READY-MIX CONCRETE

Provide ready-mix concrete with mix design data conforming to ACI 301 Part 4. Submit delivery tickets in accordance with ASTM C94/C94M for each ready-mix concrete delivery, include the following additional information:

a. Type and brand cement

b. Cement content in 94-pound bags per cubic yard of concrete

c. Maximum size of aggregate

d. Amount and brand name of admixture

e. Total water content expressed by water cementitious material ratio

2.4 ACCESSORIES

2.4.1 Curing Compound

Provide curing compound conforming to ASTM C309. Submit manufactures instructions for placing curing compound.

PART 3 EXECUTION

3.1 PREPARATION

Prepare construction joints to expose coarse aggregate. The surface must be clean, damp, and free of laitance. Construct ramps and walkways, as necessary, to allow safe and expeditious access for concrete and workmen. Remove snow, ice, standing or flowing water, loose particles, debris, and foreign matter. Satisfactorily compact earth foundations. Make spare vibrators available. Placement cannot begin until the entire preparation has been accepted by the Government. Provide substrate surface profiles in accordance with the requirements in Section 03 01 00, REHABILITATION OF CONCRETE, paragraph PREPARATION OF CONCRETE SUBSTRATE SURFACE subparagraph b.

3.1.1 Embedded Items

Secure reinforcement in place after joints, anchors, and other embedded items have been positioned. Arrange internal ties so that when the forms are removed the metal part of the tie is not less than 2 inches from concrete surfaces permanently exposed to view or exposed to water on the finished structures. Prepare embedded items so they are be free of oil and other foreign matters such as loose coatings or rust, paint, and scale. The embedding of wood in concrete is permitted only when specifically authorized or directed. Provide all equipment needed to place, consolidate, protect, and cure the concrete at the placement site and in good operating condition.

3.1.2 Formwork Installation

Forms must be properly aligned, adequately supported, and mortar-tight. Provide smooth form surfaces, free from irregularities, dents, sags, or

holes when used for permanently exposed faces. Chamfer all exposed joints and edges, unless otherwise indicated.

3.1.3 Production of Concrete

3.1.3.1 Ready-Mixed Concrete

Provide ready-mixed concrete conforming to ASTM C94/C94M except as otherwise specified.

3.2 CONVEYING AND PLACING CONCRETE

Convey and place concrete in accordance with ACI 301, Section 5.

3.2.1 Cold-Weather Requirements

Place concrete in cold weather in accordance with ACI 306R.

3.2.2 Hot-Weather Requirements

Place concrete in hot weather in accordance with ACI 305R.

3.3 FINISHING

3.3.1 Temperature Requirement

Do not finish or repair concrete when either the concrete or the ambient temperature is below 50 degrees F.

3.3.2 Finishing Formed Surfaces

Remove all fins and loose materials, and surface defects including filling of tie holes. Repair all honeycomb areas and other defects. Remove all unsound concrete from areas to be repaired. Ream or chip surface defects greater than 1/2 inch in diameter and holes left by removal of tie rods in all surfaces not to receive additional concrete and fill with dry-pack mortar. Brush-coat the prepared area with an approved epoxy resin or with a neat cement grout after dampening and filling with mortar or concrete. Use a blend of portland cement and white cement in mortar or concrete for repairs to all surfaces permanently exposed to view so that the final color when cured is the same as adjacent concrete.

3.3.3 Finishing Unformed Surfaces

Finish unformed surfaces in accordance with ACI 301, Section 5. Top surface of anchor pocket concrete to match with the surface finish of the existing spillway.

3.4 CURING AND PROTECTION

Cure and protect in accordance with ACI 301, Section 5.

3.5 FORM WORK

Provide form work in accordance with ACI 301, Section 2 and Section 5.

3.5.1 Removal of Forms

Remove forms in accordance with ACI 301, Section 2.

3.6 STEEL REINFORCING

Reinforcement must be free from loose, flaky rust and scale, and free from oil, grease, or other coating which might destroy or reduce the reinforcement's bond with the concrete.

3.6.1 Fabrication

Shop fabricate steel reinforcement in accordance with ACI 318 and ACI SP-66. Provide shop details and bending in accordance with ACI 318 and ACI SP-66.

3.6.2 Supports

Secure reinforcement in place by the use of metal or concrete supports, spacers, or ties.

3.7 EMBEDDED ITEMS

Before placing concrete, take care to determine that all embedded items are firmly and securely fastened in place. Provide embedded items free of oil and other foreign matter, such as loose coatings of rust, paint and scale. Embedding of wood in concrete is permitted only when specifically authorized or directed.

3.8 TESTING AND INSPECTING

Report the results of all tests and inspections conducted at the project site informally at the end of each shift. Submit written reports weekly. Deliver within three days after the end of each weekly reporting period. See Section 01 45 00 QUALITY CONTROL.

3.8.1 Field Testing Technicians

The individuals who sample and test concrete must have demonstrated a knowledge and ability to perform the necessary test procedures equivalent to the ACI minimum guidelines for certification of Concrete Field Testing Technicians, Grade I.

3.8.2 Preparations for Placing

Inspect foundation or construction joints, forms, and embedded items in sufficient time prior to each concrete placement to certify that it is ready to receive concrete.

3.8.3 Sampling and Testing

a. Obtain samples and test concrete for quality control during placement. Sample fresh concrete for testing in accordance with ASTM C172/C172M. Make six test cylinders.

b. Test concrete for compressive strength at 7 and 28 days for each design mix and for each batch of concrete. Test two cylinders at 7 days; two cylinders at 28 days; and hold two cylinders in reserve. Conform test specimens to ASTM C31/C31M. Perform compressive strength testing conforming to ASTM C39/C39M.

c. Test slump at the site of discharge for each design mix in accordance with ASTM C143/C143M. Check slump once during each shift that

concrete is produced.

d. Test air content for air-entrained concrete in accordance with ASTM C231/C231M. Test concrete using lightweight or extremely porous aggregates in accordance with ASTM C173/C173M. Check air content at least once during each shift that concrete is placed.

e. Determine temperature of concrete at time of placement in accordance with ASTM C1064/C1064M. Check concrete temperature at least once during each shift that concrete is placed.

3.8.4 Action Required

3.8.4.1 Placing

Do not begin placement until the availability of an adequate number of acceptable vibrators, which are in working order and have competent operators, has been verified. Discontinue placing if any lift is inadequately consolidated.

3.8.4.2 Air Content

Whenever an air content test result is outside the specification limits, adjust the dosage of the air-entrainment admixture prior to delivery of concrete to forms.

3.8.4.3 Slump

Whenever a slump test result is outside the specification limits, adjust the batch weights of water and fine aggregate prior to delivery of concrete to the forms. Make the adjustments so that the water-cementitious material ratio does not exceed that specified in the submitted concrete mixture proportion and the required concrete strength is still met.

-- End of Section --

SECTION 05 50 13

MISCELLANEOUS METAL FABRICATIONS
08/24

PART 1 GENERAL

1.1 SCOPE

This specification covers the RMU cabinet support and any other miscellaneous metal features as shown in the drawings.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

ANSI/AISC 303	(2022) Code of Standard Practice for Structural Steel Buildings and Bridges

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B18.2.1	(2012; R 2021) Square, Hex, Heavy Hex, and Askew Head Bolts and Hex, Heavy Hex, Hex Flange, Lobed Head, and Lag Screws (Inch Series)
ASME B18.2.2	(2022) Nuts for General Applications: Machine Screw Nuts, and Hex, Square, Hex Flange, and Coupling Nuts (Inch Series)
ASME B18.6.2	(2020) Square Head Set Screws and Slotted Headless Set Screws (Inch Series)
ASME B18.6.3	(2024) Machine Screws, Tapping Screws, and Metallic Drive Screws (Inch Series)
ASME B18.21.1	(2009; R 2016) Washers: Helical Spring-Lock, Tooth Lock, and Plain Washers (Inch Series)
ASME B18.21.2M	(1999; R 2014) Lock Washers (Metric Series)
ASME B18.22M	(1981; R 2017) Metric Plain Washers

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel
AWS D1.2/D1.2M	(2014; Errata 1 2014; Errata 2 2020) Structural Welding Code - Aluminum

ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A47/A47M	(1999; R 2022; E 2022) Standard Specification for Ferritic Malleable Iron Castings
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A123/A123M	(2024) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A276/A276M	(2024) Standard Specification for Stainless Steel Bars and Shapes
ASTM A307	(2021) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A500/A500M	(2023) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A780/A780M	(2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings
ASTM C1513	(2018) Standard Specification for Steel Tapping Screws for Cold-Formed Steel Framing Connections
ASTM D1187/D1187M	(1997; R 2018) Standard Specification for Asphalt-Base Emulsions for Use as Protective Coatings for Metal
ASTM F1554	(2020) Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength

MASTER PAINTERS INSTITUTE (MPI)

MPI 79	(2016) Primer, Alkyd, Anti-Corrosive for Metal

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC PA 1	(2024) Shop, Field, and Maintenance Coating of Metals

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Electrical Cabinet Supports; G

Antenna Supports

Sunshade; G

SD-03 Product Data

Adhesive Anchors

Expansion Anchors

1.4 QUALIFICATION OF WELDERS

Qualify procedures and personnel according to the following:

a. AWS D1.1/D1.1M Structural Welding Code - Steel.

b. AWS D1.2/D1.2M Structural Welding Code - Aluminum.

1.5 DELIVERY, STORAGE, AND PROTECTION

Protect from corrosion, deformation, and other types of damage. Store items in an enclosed area free from contact with soil and weather. Remove and replace damaged items with new items.

1.6 MISCELLANEOUS REQUIREMENTS

1.6.1 Fabrication Drawings

Submit fabrication drawings showing layout(s), connections to structural system, and anchoring details as specified in ANSI/AISC 303. Submit shop drawings for electrical cabinet supports, antenna supports and sunshade.

1.6.2 Installation Drawings

Submit templates, erection, and installation drawings indicating thickness, type, grade, class of metal, and dimensions. Show construction details, reinforcement, anchorage, and installation in relation to the building construction.

PART 2 PRODUCTS

2.1 MATERIALS

Provide exposed fastenings of compatible materials (avoid contact of dissimilar metals). Coordinate color and finish with the material to which fastenings are applied.

2.1.1 Structural Carbon Steel

Provide in accordance with ASTM A36/A36M.

2.1.2 Stainless Steel Bars and Shapes

ASTM A276/A276M, Type 316L.

2.1.3 Structural Tubing

Provide in accordance with ASTM A500/A500M.

2.1.4 Structural Channel

Provide in accordance with ASTM A653/A653M.

2.1.5 Steel Pipe

Provide in accordance with ASTM A53/A53M, Type E or S, Grade B.

2.1.6 Fittings for Steel Pipe

Provide standard malleable iron fittings in accordance with ASTM A47/A47M.

2.1.7 Anchor Bolts

Provide in accordance with ASTM F1554. Where exposed, provide anchor bolts, including adhesive anchors and expansion anchors, of the same material, color, and finish as the metal to which they are applied.

2.1.7.1 Lag Screws and Bolts

Provide in accordance with ASME B18.2.1, type and grade best suited for the purpose.

2.1.7.2 Toggle Bolts

Provide in accordance with ASME B18.2.1.

2.1.7.3 Bolts, Nuts, Studs and Rivets

Provide in accordance with ASME B18.2.2 or ASTM A307.

2.1.7.4 Screws

Provide in accordance with ASME B18.2.1, ASME B18.6.2, ASME B18.6.3 and ASTM C1513.

2.1.7.5 Washers

Provide plain washers in accordance with ASME B18.22M, ASME B18.21.1.

Provide beveled washers for American Standard beams and channels, square or rectangular, tapered in thickness, and smooth. Provide lock washers in accordance with ASME B18.21.2M, ASME B18.21.1.

2.2 MISCELLANEOUS PLATES AND SHAPES

Submit installation drawings for and provide items that do not form a part of the structural steel framework.

Provide angles and plates in accordance with ASTM A36/A36M, for embedment as indicated. Galvanize embedded items exposed to the elements in accordance with ASTM A123/A123M.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Install items at locations indicated in accordance with manufacturer's instructions. Verify all field dimensions prior to fabrication. Include materials and parts necessary to complete each assembly, whether indicated or not. Miss-alignment and miss-sizing of holes for fasteners is cause for rejection. Conceal fastenings where practicable. Joints exposed to weather must be watertight.

3.2 WORKMANSHIP

Provide miscellaneous metalwork that is true and accurate in shape, size, and profile. Make angles and lines continuous and straight. Make curves consistent, smooth and unfaceted. Provide continuous welding along the entire area of contact except where tack welding is permitted. Do not tack weld exposed connections. Unless otherwise indicated and approved, provide a smooth finish on exposed surfaces. Provide countersunk rivets where exposed. Provide coped and mitered corner joints aligned flush and without gaps. Do not weld, cut or abrade surfaces of exterior units that have been hot-dip galvanized after fabrication and are bolted or screwed field connections.

3.3 ANCHORAGE, FASTENINGS, AND CONNECTIONS

Provide anchorage as necessary, whether indicated or not, for fastening miscellaneous metal items securely in place. Include slotted inserts, expansion shields, toggle bolts (when approved for concrete), through bolts for masonry, headed shear studs, machine and carriage bolts for steel, through bolts, lag bolts, and screws for wood. Do not use wood plugs. Provide non-ferrous attachments for non-ferrous metal. Provide exposed fastenings of compatible materials (avoid contact of dissimilar metals), that generally match in color and finish the surfaces to which they are applied. Conceal fastenings where practicable. Provide all fasteners flush with the surfaces they fasten, unless indicated otherwise.

3.4 WELDING

Perform welding, welding inspection, and corrective welding in accordance with AWS D1.1/D1.1M. Use continuous welds on all exposed connections. Grind visible welds smooth in the finished installation. Provide welded headed shear studs in accordance with AWS D1.1/D1.1M, Clause 7, except as otherwise specified. Provide in accordance with the safety requirements of EM 385-1-1.

3.5 DISSIMILAR METALS

Where dissimilar metals are in contact, protect surfaces with a coating in accordance with MPI 79 to prevent galvanic or corrosive action. Where aluminum is in contact with concrete, plaster, mortar, masonry, wood, or absorptive materials subject to wetting, protect in accordance with ASTM D1187/D1187M, asphalt-base emulsion. Clean surfaces with metal shavings from installation at the end of each work day.

3.6 PREPARATION

3.6.1 Material Coatings and Surfaces

Remove rust preventive coating just prior to field erection, using a remover approved by the metal manufacturer. Surfaces, when assembled, must be free of rust, grease, dirt and other foreign matter.

3.6.2 Environmental Conditions

Do not clean or paint surfaces when damp or exposed to foggy or rainy weather, when metallic surface temperature is less than minus 5 degrees F above the dew point of the surrounding air, or when surface temperature is below 45 degrees F or over 95 degrees F, unless approved by the Contracting Officer. Metal surfaces to be painted must be dry for a minimum of 48 hours prior to the application of primer or paint.

3.7 REPAIRS

a. Touchup Painting:

(1) Immediately after erection, clean field welds, bolted connections, and abraded areas. Paint uncoated and abraded areas with same material as used for shop painting to comply with SSPC PA 1 for touching up shop-painted surfaces.

b. Galvanized Surfaces:

(1) Clean field welds, bolted connections, and abraded areas and repair galvanizing to comply with ASTM A780/A780M.

-- End of Section --

SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire
ASTM B8	(2023) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81	(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA NEIS 1	(2015) Standard for Good Workmanship in Electrical Construction

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2020) American National Standard for Electrical Rigid Steel Conduit (ERSC)
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures

NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA ST 20	(2014) Dry-Type Transformers for General Applications
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2021) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA WD 1	(1999; R 2020) Standard for General Color Requirements for Wiring Devices
NEMA WD 6	(2021) Wiring Devices Dimensions Specifications
NEMA Z535.4	(2023) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 780	(2023) Standard for the Installation of Lightning Protection Systems

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-607	(2019d) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 431	Energy Efficiency Program for Certain Commercial and Industrial Equipment

UL SOLUTIONS (UL)

UL 1	(2005; Reprint Jan 2022) UL Standard for Safety Flexible Metal Conduit
UL 6	(2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 44	(2018; Reprint May 2021) UL Standard for Safety Thermoset-Insulated Wires and Cables
UL 50	(2024) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 67	(2018; Reprint Aug 2023) UL Standard for

	Safety Panelboards
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 360	(2013; Reprint Jan 2024) UL Standard for Safety Liquid-Tight Flexible Metal Conduit
UL 467	(2022) UL Standard for Safety Grounding and Bonding Equipment
UL 486A-486B	(2018; Reprint Jul 2023) UL Standard for Safety Wire Connectors
UL 486C	(2018; Reprint May 2021) UL Standard for Safety Splicing Wire Connectors
UL 489	(2016; Rev 2019) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint Jun 2024) UL Standard for Safety Attachment Plugs and Receptacles
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Jun 2022) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Mar 2024) UL Standard for Safety Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Apr 2024) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 651	(2011; Reprint May 2022) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 817	(2015; Reprint May 2023) UL Standard for Safety Cord Sets and Power-Supply Cords
UL 869A	(2006; Reprint Jun 2020) Reference Standard for Service Equipment
UL 870	(2016; Reprint Nov 2023) UL Standard for Safety Wireways, Auxiliary Gutters, and Associated Fittings
UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 1449	(2021; Reprint Dec 2022) UL Standard for Safety Surge Protective Devices

UL 1660 (2019; Reprint May 2024) Liquid-Tight Flexible Nonmetallic Conduit

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Panelboards; G, AE

Transformers; G, AE

Wireways; G, AE

Marking Strips Drawings; G, AE

SD-03 Product Data

Receptacles; G, AE

Circuit Breakers; G, AE

Transformers; G, AE

Enclosed Circuit Breakers; G, AE

Surge Protective Devices; G, AE

Switches; G, AE

Conduit and Fittings; G, AE

SD-06 Test Reports

600-volt Wiring Test; G, AE

Grounding System Test; G, AE

Transformer Tests; G, AE

Ground-fault Receptacle Test; G, AE

SD-09 Manufacturer's Field Reports

Transformer Factory Tests

SD-10 Operation and Maintenance Data

Electrical Systems, Data Package 5; G, AE

1.4 QUALITY ASSURANCE

1.4.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated. NECA NEIS 1 shall be considered the minimum standard for workmanship.

1.4.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.4.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.4.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.5 MAINTENANCE

1.5.1 Electrical Systems

Submit operation and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein. Submit operation and maintenance manuals for electrical systems that provide basic data relating to the design, operation, and maintenance of the electrical distribution system for the building. Include the following:

a. Single line diagram of the "as-built" building electrical system.

b. Schematic diagram of electrical control system (other than HVAC, covered elsewhere).

c. Manufacturers' operating and maintenance manuals on active electrical equipment.

1.6 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.2 Rigid Nonmetallic Conduit

PVC Type EPC-80 in accordance with NEMA TC 2,UL 651.

2.2.3 Flexible Metal Conduit

UL 1, limited to 6 feet.

2.2.3.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360, limited to 6 feet.

2.2.4 Fittings for Metal Conduit and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.4.1 Fittings for Rigid Metal Conduit

Threaded-type. Split couplings unacceptable.

2.2.5 Fittings for Rigid Nonmetallic Conduit

NEMA TC 3 for PVC, and UL 514B.

2.2.6 Liquid-Tight Flexible Nonmetallic Conduit

UL 1660.

2.3 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

2.4 CABINETS, JUNCTION BOXES, AND PULL BOXES

UL 50; volume greater than 100 cubic inches, NEMA Type 4X stainless steel.

2.5 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 24 months prior to date of delivery to site.

2.5.1 Conductors

Provide the following:

a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.

b. Conductors No. 8 AWG and larger diameter: stranded.

c. Conductors No. 10 AWG and smaller diameter: solid.

d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.

e. All conductors: copper.

2.5.1.1 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

a. Branch circuits: No. 12 AWG.

b. Class 1 remote-control and signal circuits: No. 14 AWG.

c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.

d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

2.5.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.5.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

a. Grounding conductors: Green.

b. Neutral conductors: White.

c. Exception, where neutrals of more than one system are installed in

same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

2.5.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

a. 208/120 volt, three-phase

(1) Phase A - black

(2) Phase B - red

(3) Phase C - blue

b. 480/277 volt, three-phase

(1) Phase A - brown

(2) Phase B - orange

(3) Phase C - yellow

c. 120/240 volt, single phase: Black and red

2.5.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type XHHW conforming to UL 44, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where equipment or devices require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.5.4 Bonding Conductors

ASTM B1, solid copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter. All wire is green insulated Type XHHW-2.

2.5.5 Cord Sets and Power-Supply Cords

UL 817.

2.6 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors. Provide weatherproof terminators for all connections.

2.7 DEVICE PLATES

Provide the following:

a. UL listed, one-piece device plates for outlets to suit the devices installed.

b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.

c. For nonmetallic boxes and fittings, other suitable plates may be provided.

d. Screws: machine-type with countersunk heads in color to match finish of plate.

e. Sectional type device plates are not be permitted.

f. Plates installed in wet locations: gasketed and UL listed for "wet locations."

2.8 SWITCHES

2.8.1 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA 4X Type 304 stainless steel, enclosure per NEMA ICS 6.

2.9 RECEPTACLES

Provide the following:

a. UL 498, general purpose specification grade, grounding-type. Residential grade receptacles are not acceptable.

b. Ratings and configurations: as indicated.

c. Bodies: ivory as per NEMA WD 1.

d. Face and body: thermoplastic supported on a metal mounting strap.

e. Dimensional requirements: per NEMA WD 6.

f. Screw-type, side-wired wiring terminals or of the solderless pressure type having suitable conductor-release arrangement.

g. Grounding pole connected to mounting strap.

h. The receptacle: containing triple-wipe power contacts and double or triple-wipe ground contacts.

2.9.1 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations" with integral GFCI protection. Include cast metal box with gasketed, hinged, lockable and weatherproof while-in-use, polycarbonate, UV resistant/stabilized extra-duty rated hood.

2.9.2 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device

capable of detecting current leak when the current to ground is 6 milliamperes or higher, and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.10 PANELBOARDS

Provide panelboards in accordance with the following:

a. UL 67 and UL 50 having a short-circuit current rating as indicated of 10,000 amperes symmetrical minimum for voltages 240 V and below of 14,000 amperes symmetrical minimum for 480 V.

b. Panelboards for use as service disconnecting means: additionally conform to UL 869A.

c. Panelboards: circuit breaker-equipped.

d. Designed such that individual breakers can be removed without disturbing adjacent units or without loosening or removing supplemental insulation supplied as means of obtaining clearances as required by UL.

e. "Specific breaker placement" is required in panelboards to match the breaker placement indicated in the panelboard schedule on the design drawings. If it is not possible to match "specific breaker placement" during construction, obtain Government approval prior to device installation.

f. Use of "Subfeed Breakers" is not acceptable.

g. Main breaker: "separately" mounted "above" or "below" branch breakers.

h. Where "space only" is indicated, make provisions for future installation of breakers.

i. Directories: indicate load served by each circuit in panelboard.

j. Directories: indicate source of service to panelboard (e.g., Panel PA served from Panel MDP).

k. Provide new directories for existing panels modified by this project as indicated.

l. Type directories and mount in holder behind transparent protective covering.

m. Panelboards: listed and labeled for their intended use.

n. Panelboard nameplates: provided in accordance with paragraph FIELD FABRICATED NAMEPLATES.

2.10.1 Enclosure

Provide panelboard enclosure in accordance with the following:

a. UL 50.

b. Cabinets mounted outdoors or flush-mounted: NEMA 4X stainless steel.

c. Cabinets: painted in accordance with paragraph FIELD APPLIED PAINTING.

d. Outdoor cabinets: NEMA 4x with a removable steel plate 1/4 inch thick in the bottom for field drilling for conduit connections. No side or top penetrations are allowed.

e. Front edges of cabinets: form-flanged or fitted with structural shapes welded or riveted to the sheet steel, for supporting the panelboard front.

f. All cabinets: fabricated such that no part of any surface on the finished cabinet deviates from a true plane by more than 1/8 inch.

g. Holes: provided in the back of indoor surface-mounted cabinets, with outside spacers and inside stiffeners, for mounting the cabinets with a 1/2 inch clear space between the back of the cabinet and the wall surface.

h. Flush doors: Door-in-door construction. Mounted on hinges that expose only the hinge roll to view when the door is closed.

i. Each door: fitted with a combined catch and lock latch.

j. Keys: two provided with each lock, with all locks keyed alike.

k. Finished-head cap screws: provided for mounting the panelboard fronts on the cabinets.

2.10.2 Panelboard Buses

Support bus bars on bases independent of circuit breakers. Design main buses and back pans so that breakers may be changed without machining, drilling, or tapping. Provide isolated neutral bus in each panel for connection of circuit neutral conductors. Provide separate ground bus identified as equipment grounding bus per UL 67 for connecting grounding conductors; bond to steel cabinet.

2.10.3 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

2.10.3.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.10.3.2 Circuit Breaker With Ground-Fault Circuit Interrupter

UL 943 and NFPA 70. Provide with auto-monitoring (self-test) and lockout features, "push-to-test" button, visible indication of tripped condition, and ability to detect and trip when current imbalance is 6 milliamperes or higher per requirements of UL 943 for Class A ground-fault circuit

interrupter devices.

2.11 ENCLOSED CIRCUIT BREAKERS

UL 489. Individual molded case circuit breakers with voltage and continuous current ratings, number of poles, overload trip setting, and short circuit current interrupting rating as indicated. Enclosure type as indicated. Minimum NEMA 4X stainless steel enclosures for damp, wet, and outdoor locations. Provide solid neutral.

2.12 TRANSFORMERS

Provide transformers in accordance with the following:

a. NEMA ST 20, general purpose, dry-type, self-cooled, ventilated.

b. Provide transformers in NEMA 3R enclosure.

c. Taps for transformers 15 kVA and larger: Two 2.5 percent taps Full Capacity Above Nominal (FCAN) and four 2.5 percent taps Full Capacity Below Nominal (FCBN).

d. Transformer insulation system:

(1) 220 degrees C insulation system for transformers 15 kVA and greater, with temperature rise not exceeding 115 degrees C under full-rated load in maximum ambient of 40 degrees C.

(2) 180 degrees C insulation for transformers rated 10 kVA and less, with temperature rise not exceeding 80 degrees C under full-rated load in maximum ambient of 40 degrees C.

e. Transformer of 150 degrees C temperature rise is not acceptable.

f. Transformer of 115 degrees C temperature rise: capable of carrying continuously 115 percent of nameplate kVA without exceeding insulation rating.

2.12.1 Specified Transformer Efficiency

Transformers, indicated and specified with: 480V primary, 80 degrees C or 115 degrees C temperature rise, kVA ratings of 37.5 to 100 for single phase or 30 to 500 for three phase, energy efficient type. The transformer is not acceptable if the calculated transformer efficiency is less than the efficiency indicated in 10 CFR 431, Subpart K.

2.13 GROUNDING AND BONDING EQUIPMENT

2.13.1 Ground Rods

UL 467. Ground rods: cone pointed copper-clad steel, with minimum diameter of 3/4 inch and minimum length 10 feet. Sectional type rods may be used for rods 20 feet or longer.

2.13.2 Ground Bus

Copper ground bus: provided in the electrical equipment rooms as indicated.

2.14 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.15 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

a. ASTM D709.

b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.

c. Each nameplate inscription: identify the function and, when applicable, the position.

d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.

e. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.

f. Minimum size of nameplates: one by 2.5 inches.

g. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.16 WARNING SIGNS

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.17 WIREWAYS

UL 870. Material: steel galvanized 16 gauge for heights and depths up to 6 by 6 inches, and 14 gauge for heights and depths up to 12 by 12 inches. Provide in length required for the application with hinged-cover NEMA 3R enclosure per NEMA ICS 6.

2.18 SURGE PROTECTIVE DEVICES

Provide parallel type surge protective devices (SPD) which comply with UL 1449 and NFPA 780 at the panelboards. Provide surge protectors in a NEMA 3R enclosure per NEMA ICS 6. Do not install SPD inside a panelboard or switchboard enclosure. However, SPD may be installed in a separate compartment of a switchgear provided that it is supplied by a dedicated circuit breaker. SPD must have the same short-circuit current rating as

the protected equipment and must not be installed at a point of system where the available fault current is in excess of that rating. Use Type 2 SPD and connect on the load side of a dedicated circuit breaker. Submit performance and characteristic curves.

Provide the following modes of protection:

FOR SINGLE PHASE AND THREE PHASE WYE CONNECTED SYSTEMS-
Phase to phase (L-L)
Each phase to neutral (L-N)
Neutral to ground (N-G)
Phase to ground (L-G)

Provide SPDs.

Maximum L-N, L-G, and N-G Voltage Protection Rating:

700V for 120V, single phase system
700V for 120/240V, single phase system
700V for 208Y/120V, three phase system
1,200V for 480Y/277V, three phase system

Maximum L-L Voltage Protection Rating:

1,200V for 120V, single phase system
1,200V for 120/240V, single phase system
1,200V for 208Y/120V, three phase system
1,800V for 480Y/277V, three phase system

The minimum MCOV (Maximum Continuous Operating Voltage) rating for L-N and L-G modes of operation: 120 percent of nominal voltage for 240 volts and below; 115 percent of nominal voltage above 240 volts to 480 volts.

2.19 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.

b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.

c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.

d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.

e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.

f. Equipment located indoors: ANSI Light Gray.

g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

2.20 SOURCE QUALITY CONTROL

2.20.1 Transformer Factory Tests

Submittal: include routine NEMA ST 20 transformer test results on each transformer and also provide the results of NEMA "design" and "prototype" tests that were made on transformers electrically and mechanically equal to those specified.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and to requirements specified herein.

3.1.1 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Shared neutrals are not allowed for all circuits. Minimum conduit size: 3/4 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches.

3.1.1.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.2 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.1.2.1 Restrictions Applicable to Nonmetallic Conduit

a. PVC Schedule 80.

(1) Do not use where subject to physical damage, including but not limited to, hospitals, power plant, missile magazines, and other such areas.

(2) Do not use in hazardous (classified) areas.

(3) Do not use in penetrating fire-rated walls or partitions, or fire-rated floors.

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3.1.2.2 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.3 Conduit Through Floor Slabs

Where conduits rise through floor slabs, do not allow curved portion of bends to be visible above finished slab. Where conduit rises through slab-on grade, seal all electrical penetrations to address radon mitigation and prevent infiltration of air, insects, and vermin.

3.1.2.4 Stub-Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inches above floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.1.2.5 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Plastic cable ties are not acceptable. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Identify independent conduit support in both fire and non-fire rated assemblies per NFPA 70. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable watertight expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.2.6 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in

conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.2.7 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.2.8 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquid tight flexible nonmetallic conduit in wet and damp locations for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections. Plastic cable ties are not acceptable as a support method.

3.1.3 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, when surface mounted on interior walls exposed up to 7 feet above floors and walkways, and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. Threaded studs driven in by powder charge and provided with lock washers and nuts may be used in lieu of wood screws, expansion shields, or machine screws. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.3.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet.

3.1.3.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge aluminum or galvanized sheet steel, and compatible with nonmetallic raceway systems, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.4 Mounting Heights

Mount panelboards, enclosed circuit breakers, and disconnecting switches so height of center of grip of the operating handle of the switch or circuit breaker at its highest position is maximum 79 inches above floor or working platform or as allowed in Section 404.8 per NFPA 70. Mount receptacles 18 inches above finished floor, unless otherwise indicated. Mount other devices as indicated. Measure mounting heights of wiring devices and outlets in non-hazardous areas to center of device or outlet.

3.1.5 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance with manufacturer's recommendations.

3.1.5.1 Marking Strips

Provide marking strips for identification of power distribution, control, data, and communications cables in accordance with the following:

a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.

b. Use permanent ink for the wire numbers

c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.

d. Size marking strips to accommodate the two sets of wire numbers.

e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.

f. The wire (terminal point) designations used on the Contractor's wiring

diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.

g. Prints of the marking strips drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

3.1.6 Splices

No splices are allowed for new circuits. Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

3.1.7 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.1.8 Grounding and Bonding

Provide in accordance with NFPA 70 and NFPA 780. Ground exposed, non-current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, and neutral conductor of wiring systems. Make ground connection to driven ground rods on exterior of building. Bond additional driven rods together with a minimum of 4 AWG soft bare copper wire buried to a depth of at least 12 inches. Interconnect all grounding media in or on the structure to provide a common ground potential. This includes lightning protection, electrical service, telecommunications system grounds, as well as underground metallic piping systems. Use main size lightning conductors for interconnecting these grounding systems to the lightning protection system. In addition to the requirements specified herein, provide telecommunications grounding in accordance with TIA-607. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

3.1.8.1 Ground Rods

Provide ground rods and measure the resistance to ground using the fall-of-potential method described in IEEE 81. Do not exceed 25 ohms under normally dry conditions for the maximum resistance of a driven ground. If this resistance cannot be obtained with a single rod, two additional rods, spaced on center. Spacing for additional rods must be a minimum of 10 feet, or if sectional type rods are used, two additional sections may be coupled and driven with the first rod. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, notify the Contracting Officer who will decide on the number of ground rods to add.

3.1.8.2 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, excepting specifically those connections for which access for periodic testing is required, by exothermic weld or high compression connector.

a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up" or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.

b. Make high compression connections using a hydraulic or electric compression tool to provide the correct circumferential pressure. Provide tools and dies as recommended by the manufacturer. Use an embossing die code or other standard method to provide visible indication that a connector has been adequately compressed on the ground wire.

3.1.8.3 Ground Bus

Provide a copper ground bus in the electrical equipment rooms as indicated. Noncurrent-carrying metal parts of transformer neutrals and other electrical equipment: effectively grounded by bonding to the ground bus. Bond the ground bus to both the entrance ground, and to a ground rod or rods as specified above having the upper ends terminating approximately 4 inches above the floor. Make connections and splices of the brazed, welded, bolted, or pressure-connector type, except use pressure connectors or bolted connections for connections to removable equipment.

3.1.8.4 Resistance

Maximum resistance-to-ground of grounding system: do not exceed 5 ohms under dry conditions. Where resistance obtained exceeds 5 ohms, contact Contracting Officer for further instructions.

3.1.9 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.10 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

3.1.10.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.10.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.10.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, as indicated.

3.1.10.4 Continuation of Service

Maintain continuity of existing circuits of equipment to remain. Maintain existing circuits of equipment energized. Restore circuits wiring and power which are to remain but were disturbed during demolition back to original condition.

3.1.11 Surge Protective Devices

Connect the surge protective devices in parallel to the power source, keeping the conductors as short and straight as practically possible. Maximum allowed lead length is 3 feet avoiding 90 degree bends. Do not locate surge protective devices inside a panelboard or switchboard enclosure.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets. Provide nameplate on all equipment in access controlled spaces and areas.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Where field painting of enclosures for panelboards, load centers or the like is specified to match adjacent surfaces, to correct damage to the manufacturer's factory applied coatings, or to meet the indicated or specified safety criteria, provide manufacturer's recommended coatings and apply in accordance to manufacturer's instructions.

3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to tests. Where applicable, test electrical equipment in accordance with NETA ATS.

3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of 1,000 volts DC for 600 volt rated wiring and 500 volts DC for 300 volt rated wiring per NETA ATS to provide direct reading of resistance. All existing wiring to be reused must also be tested.

3.5.3 Transformer Tests

Perform the standard, not optional, tests in accordance with the Inspection and Test Procedures for transformers, dry type, air-cooled, 600 volt and below; as specified in NETA ATS. Measure primary and secondary voltages for proper tap settings. Tests need not be performed by a recognized independent testing firm or independent electrical consulting firm.

3.5.4 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device is a self-test model as specified in UL 943.

3.5.5 Grounding System Test

Test grounding system to ensure continuity, and that resistance to ground is not excessive. Test each ground rod for resistance to ground before making connections to rod; tie grounding system together and test for resistance to ground. Make resistance measurements in dry weather, not earlier than 48 hours after rainfall. Submit written results of each test to Contracting Officer, and indicate location of rods as well as resistance and soil conditions at time measurements were made.

3.5.6 Phase Rotation Test

Perform phase rotation test to ensure proper rotation of service power prior to operation of new or reinstalled equipment using a phase rotation meter. Follow the meter manual directions performing the test.

-- End of Section --

SECTION 31 11 00

CLEARING AND GRUBBING
11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. DEPARTMENT OF DEFENSE (DOD)

DODI 4150.07 (2019) DOD Pest Management Program

1.2 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Herbicide Application Plan

SD-03 Product Data

Herbicides; G

SD-07 Certificates

Qualifications; G

SD-11 Closeout Submittals

Pest Management Report

1.3 QUALITY CONTROL

1.3.1 Regulatory Requirements

Comply with DODI 4150.07 for requirements on Contractor's licensing, certification, and record keeping. Maintain daily records using the Pest Management Maintenance Record, DD Form 1532-1, or a computer generated equivalent. These forms may be obtained from the main web site: https://www.acq.osd.mil/eie/afpmb/docs/standardlists/dd1532-1.xlsm.

1.3.2 Qualifications

For the application of herbicides, use the services of an applicator who is commercially certified in the state where the work is to be performed as required by DODI 4150.07. Submit a copy of the pesticide applicator certificates.

1.4 DELIVERY, STORAGE, AND HANDLING

Deliver materials to the site, and handle in a manner which will maintain the materials in their original manufactured or fabricated condition until ready for use.

1.4.1 Storage

Storage of herbicides on the installation will not be permitted unless it is written into the contract.

1.4.2 Handling

Handle herbicides in accordance with the manufacturer's label and Safety Data Sheet (SDS), preventing contamination by dirt, water, and organic material. Protect herbicides from weather elements as recommended by the manufacturer's label and SDS. Spill kits must be maintained on herbicide control vehicles. Mixing of herbicides on the installation will not be permited unless it is written into the contract.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Herbicide

Provide herbicides currently registered by the EPA or approved for such use by the appropriate agency of the host county and approved by the Contracting Officer. Select a herbicide that is suitable for the climatic conditions at the project site. Submit manufacturer's label and SDS for herbicides proposed for use.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Herbicide Application Plan

Prior to commencing application of herbicide, submit a herbicide application plan with proposed sequence of treatment work including dates and times of application. Include the herbicide trade name, EPA registration number, chemical composition, formulation, application rate of active ingredients, method of application, area or volume treated, and amount applied. Include a copy of the pesticide applicator certificates.

3.1.2 Protection

3.1.2.1 Roads and Walks

Keep roads and walks free of dirt and debris at all times.

3.1.2.2 Trees, Shrubs, and Existing Facilities

Provide protection in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.1.2.3 Utility Lines

Protect existing utility lines that are indicated to remain from damage.

Notify the Contracting Officer immediately of damage to or an encounter with an unknown existing utility line. The Contractor is responsible for the repair of damage to existing utility lines that are indicated or made known to the Contractor prior to start of clearing and grubbing operations. When utility lines which are to be removed are encountered within the area of operations, notify the Contracting Officer in ample time to minimize interruption of the service. Refer to Section 01 30 00 ADMINISTRATIVE REQUIREMENTS and Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS for additional utility protection.

3.2 APPLICATION

3.2.1 Herbicide Application

Adhere to safety precautions as recommended by the manufacturer concerning handling and application of the herbicide.

3.2.1.1 Clean Up, Disposal, And Protection

Once application has been completed, proceed with clean up and protection of the site without delay. Clean the site of all material associated with the treatment measures, according to label instructions, and as indicated. Remove and dispose of excess and waste material off Government property.

3.2.1.1.1 Disposal of Herbicide

Dispose of residual herbicides and containers off Government property, and in accordance with the approved disposal plan, label instructions and EPA requirements.

3.3 CLEARING

Clearing consists of the felling, trimming, and cutting of trees into sections and the satisfactory disposal of the trees and other vegetation designated for removal, including downed timber, snags, brush, and rubbish occurring within the areas to be cleared. Cut off flush with or below the original ground surface trees, stumps, roots, brush, and other vegetation in areas to be cleared, except such trees and vegetation as may be indicated or directed to be left standing. Trim dead branches 1-1/2 inches or more in diameter on trees designated to be left standing within the cleared areas and trim all branches to the heights indicated or directed. Neatly cut close to the bole of the tree or main branches, limbs and branches to be trimmed. Apply herbicide at the rate of in accordance with the manufacturer's label to the top surface of stumps designated not to be removed.

3.3.1 Tree Removal

Where indicated or directed, remove trees and stumps that are designated as trees from areas outside those areas designated for clearing and grubbing. This work includes the felling of such trees and the removal of their stumps and roots as specified in paragraph GRUBBING. Dispose of trees as specified in paragraph DISPOSAL OF MATERIALS.

3.3.2 Triming

Trim trees designated to be left standing within the cleared areas of dead branches 1-1/2 inches or more in diameter; and trim branches to heights

and in a manner as indicated. Neatly cut limbs and branches to be trimmed close to the bole of the tree or main branches.

3.3.3 Grubbing

Grubbing consists of the removal and disposal of stumps, roots larger than 3 inches in diameter, and matted roots from the designated grubbing areas. Remove material to be grubbed, together with logs and other organic or metallic debris not suitable for foundation purposes, to a depth of not less than 18 inches below the original surface level of the ground in areas indicated to be grubbed and in areas indicated as construction areas under this contract, such as areas for buildings, and areas to be paved. Fill depressions made by grubbing with suitable material and compact to make the surface conform with the original adjacent surface of the ground.

3.4 DISPOSAL OF MATERIALS

Dispose of excess materials in accordance with the approved solid waste management permit and include those materials in the solid waste management report.

All wood or wood like materials remaining from clearing, prunning or grubbing such as limbs, tree tops, roots, stumps, logs, rotten wood, and other similiar materials is the property of the Contractor and dispose of off-site. All non-saleable timber and wood or wood like materials remaining from timber harvesting such as limbs, tree tops, roots, stumps, logs, rotten wood, and other similiar materials is the property of the Contractor and dispose of off-site.

3.5 CLOSEOUT ACTIVITIES

3.5.1 Herbicides

Upon completion of this work, submit the Pest Management Report DD Form 1532, or an equivalent computer product, to the Integrated Pest Management Coordinator. This form identifies the type of operation, brand name and manufacturer of herbicide, formulation, concentration or rate of application used.

-- End of Section --

SECTION 31 68 13

SPILLWAY ANCHORS
11/20

PART 1 GENERAL

1.1 SYSTEM DESCRIPTION

Prior to commencing any work on the anchors, the Contractor, including all field personnel to be involved in drilling and installation of the anchors, must meet with the Contracting Officer to review the drawings and specifications, work plans, and submittals. The Drilling Program Plan, as defined in Specification Section 02 32 13 SUBSURFACE DRILLING AND SAMPLING, and anchor installation plan defined in paragraph 1.1.2.(e) of this specification shall be approved by the Government before beginning work. Drilling shall not commence until approval of the anchor installation plan and procedures described in paragraph SUBMITTALS and after the conduct of the Preparatory Meeting.

1.1.1 General Requirements

Submit drawings and detailed installation procedures and sequences showing complete details of the installation procedure and equipment; steel bar and coupler materials; steel bar fabrication process; anchor fabrication; grouting methods; grout mix equipment; grout mix designs; anchor placement and installation; Class 1 full-encapsulation corrosion protection for bond length, stressing length and anchorage; anchorage and trumpet; stressing and testing procedures with lengths, forces, deformations, and elongations for the approval by the Contracting Officer. Shop drawings for anchors must include locations and details of the spacers, couplers, and centralizers. Once reviewed by the Contracting Officer, no changes or deviation from shop drawings will be permitted without further review by the Contracting Officer. The work includes design of the anchor head components fabrication and installation of the spillway anchor system. Install and fabricate the anchors as shown on the drawings. Prepare fabrication and installation drawings and an installation plan for approval. Spillway anchors must be threaded bar type.

1.1.2 Scope of Work

The bearing plate, trumpet and water-tight enclosure will be completely the Contractor's responsibility. General design criteria are shown on the drawings and stated in paragraph GENERAL REQUIREMENTS. The materials, stressing, load testing, and acceptance must be in accordance with PTI DC35.1 and these specifications.

a. Provide access to the spillway anchor locations as necessary for prosecution of the work. No separate payment will be made for cost of the temporary work.

b. Spillway anchors shall be threaded bar. The Contractor is responsible for following the requirements in Section 02 32 13 SUBSURFACE DRILLING AND SAMPLING of the Drilling Program Plan, design of the anchor head, water-tight enclosure, borehole testing, and determining drilling methods,. Submit design computations and data for the anchor head components.

c. Computations are to include drawings, design assumptions,

calculations, and other information in sufficient detail to verify the design proposed. The design must be stamped and sealed by a licensed Professional Engineer with proven experience in design of anchor components as stated in paragraph Qualifications. Include calculations for the stressing frames.

d. The Contracting Officer will approve the design calculations. Approval of the design calculations will not relieve the Contractor of responsibility for unsatisfactory performance of the installed anchors components. Furnish all design computations at least 30 calendar days prior to the proposed commencement of drilling. The complete design, including design computations, fabrication and installation drawings and installation plan, must be certified by a licensed Professional Engineer and must be submitted for approval.

e. Submit a plan for installing the spillway anchors for review and comment. The proposal must describe the sequence for installation and other restrictions as outlined on the drawings or specified. Determine the anchor installation procedures as part of the anchor design. Include the installation plan with descriptions of methods and equipment to be used for alignment checking of anchor holes. The completed anchor shall be filled with concrete cover as shown on the drawings.

1.1.3 Anchor Design

Spillway anchor locations and dimensions are shown on the drawings. The design load (DL) is 436 kips.

The loads used for Performance tests area:

PERCENT DESIGN LOAD	PERFORMANCE TEST LOAD
0.25 DL	110 kips
0.5 DL	220 kips
0.75 DL	330 kips
1.00 DL	436 kips
1.2 DL	525 kips
1.33 DL	580 kips
The adjusted lock-off load is 223 kips.	

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 301	(2020) Specifications for Structural Concrete

AMERICAN PETROLEUM INSTITUTE (API)

API Spec 5CT	(2018) Casing and Tubing

ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M	(2019) Standard Specification for Carbon Structural Steel
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A500/A500M	(2023) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings
ASTM A572/A572M	(2021; E 2021) Standard Specification for High-Strength Low-Alloy Columbium-Vanadium Structural Steel
ASTM A588/A588M	(2019) Standard Specification for High-Strength Low-Alloy Structural Steel, up to 50 ksi Minimum Yield Point, with Atmospheric Corrosion Resistance
ASTM A709/A709M	(2021) Standard Specification for Structural Steel for Bridges
ASTM A722/A722M	(2015) Standard Specification for Uncoated High-Strength Steel Bar for Prestressing Concrete
ASTM C33/C33M	(2024) Standard Specification for Concrete Aggregates
ASTM C109/C109M	(2023) Standard Test Method for Compressive Strength of Hydraulic Cement Mortars (Using 2-in. or (50-mm) Cube Specimens)
ASTM C150/C150M	(2024) Standard Specification for Portland Cement
ASTM C595/C595M	(2024) Standard Specification for Blended Hydraulic Cements
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)

ASTM D1784	(2020) Standard Specification for Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds
ASTM D1785	(2021) Standard Specification for Poly(Vinyl Chloride) (PVC), Plastic Pipe, Schedules 40, 80, and 120
ASTM D3350	(2021) Polyethylene Plastics Pipe and Fittings Materials
ASTM D4101	(2017) Standard Classification System and Basis for Specification for Polypropylene Injection and Extrusion Materials

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

ISO ISO/IEC 17025	(2017) General Requirements for the Competence of Testing and Calibration Laboratories

POST-TENSIONING INSTITUTE (PTI)

PTI DC35.1	(2014) Recommendations for Prestressed Rock and Soil Anchors
PTI TAB.1	(2023) Post-Tensioning Manual

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 1110-2-3506	(2017) Engineering and Design -- Grouting Technology

1.3 SITE CONDITIONS

A dam stability report has been conducted at the site by the government and data is presented as reference information available upon request. One as-built drawing is included in the plan set. Additional as-built drawings are available upon request. While the spillway information is representative of conditions at the planned locations, local variations in the characteristics of the concrete materials may be anticipated. Local variations which may be encountered include, but are not limited to, fractures, and other discontinuities in the spillway structure. Such variations will not be considered as differing materially within the purview of the contract clauses, paragraph differing site conditions. Additional data are available for inspection as specified in the special contract requirements, paragraph physical data.

1.4 UNIT PRICES

1.4.1 Core Drilling in Concrete for Spillway Anchors

1.4.1.1 Payment

Payment will be made for costs associated with core drilling in concrete for spillway anchors including the work required to cut the anchor pocket in the spillway.

1.4.1.2 Measurement

Drilling Holes in concrete will be measured for payment to the nearest foot, based upon the linear feet of hole actually drilled in concrete in accordance with the specifications including one anchor pocket per anchor.

1.4.2 Spillway Anchors

1.4.2.1 Payment

Payment will be made for the cost of providing the number, size, capacity, and length of anchors as indicated on the drawings. Include the cost of all necessary equipment, tools, material, labor and supervision required to: deliver, handle, install, grout, lock-off, cutoff, and backfill of the anchor pocket.

1.4.2.2 Measurement

Spillway Anchors will be measured for payment to the nearest foot, based upon the linear feet of anchor actually installed below the bearing plate in accordance with the specifications.

1.4.2.3 Unit of Measure

Linear foot.

1.4.3 Performance Tests

1.4.3.1 Payment

Payment will be made for costs associated with performing Performance Tests on anchors which are accepted.

1.4.3.2 Measurement

Performance Tests will be measured based upon the number of tests performed.

1.4.4 Watertightness Testing

1.4.4.1 Payment

Payment will be made for costs associated with Watertightness Testing.

1.4.4.2 Measurement

Watertightness Testing will be measured for payment based upon the number of watertightness tests actually performed at the direction of the Contracting Officer and in accordance with the specifications or as otherwise required.

1.4.4.3 Unit of Measure

Each.

1.4.5 Regrout for Anchors

1.4.5.1 Payment

Payment will be made for costs associated with regrouting Holes which fail watertightness testing.

1.4.5.2 Measurement

Regrouting Holes will be measured for payment based upon the number of 94-pound bags of cement grout that were actually injected into the anchor hole as specified.

1.4.5.3 Unit of Measure

Bags of cement (94 lbs).

1.4.6 Redrilling Grouted Holes

1.4.6.1 Payment

Payment will be made for costs associated with Redrilling Grouted Holes.

1.4.6.2 Measurement

Redrilling Grouted Holes will be measured for payment to the nearest foot, based upon the linear feet of hole actually drilled in grout in accordance with the specifications.

1.4.6.3 Unit of Measure

Linear foot.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Fabricator Qualifications; G

Installer Qualifications; G

Designer Qualifications; G

SD-02 Shop Drawings

Fabrication and Installation Drawings; G

SD-03 Product Data

Equipment

Installation Plan; G

SD-05 Design Data

Design Computations; G

Bearing Plate Design; G

Watertight Enclosure Design; G

SD-06 Test Reports

Prestressing Steel; G

Cement Grout Mixture Proportions; G

Alignment Checking; G

Water Filter Sampling; G

SD-07 Certificates

Prestressing Steel; G

Cement; G

Couplers; G

Bearing Plate; G

Corrosion Inhibiting Compound; G

SD-11 Closeout Submittals

Driller Logs

Anchor Records; G

1.6 QUALITY ASSURANCE

Submit anchor designer, fabricator and installer qualifications for approval in accordance with paragraph SUBMITTALS. The submittals must, where applicable, identify individuals who will be working on this contract and their relevant experience. No changes must be made in approved personnel without prior approval of the Contracting Officer.

1.6.1 Designer Qualifications

The anchors must be designed by Professional Engineers who have designed a minimum three rock anchors projects similar in size and scope to this project within the past ten years. The drawings and calculations must be signed by the Professional Engineer licensed in the Commonwealth of Virginia.

1.6.2 Fabricator Qualifications

The anchors must be fabricated by a manufacturer that has been in the practice of designing and fabricating rock anchors similar in size and scope to this project for at least ten years.

1.6.3 Installer Qualifications

Submit the qualifications and experience records for approval. In the experience record, identify all the individuals responsible for the anchors and must include a listing of projects of similar scope performed within the specified period along with points of contact. Qualifications prior to the installation of any anchors specified in this section. The anchors must be installed by a firm which is regularly engaged in the installation of rock anchors and has at least ten years experience in the installation of similar anchors. The superintendent must have 10 years of experience installing ground anchors.

1.7 DELIVERY, STORAGE, AND HANDLING

Materials must be suitably wrapped, packaged or covered at the factory or shop to prevent being affected by dirt, water, oil, grease, and rust. Protect materials against abrasion or damage during shipment and handling. Place materials stored at the site above ground on a well-supported platform and covered with plastic or other approved material. Protect materials from adjacent construction operations. Grounding of welding leads to prestressing steel will not be permitted. Reject and remove from the site prestressing steel which is damaged by abrasion, cuts, nicks, heavy corrosions, pitting, excessive heat, welds or weld spatter. Inspect tendons prior to insertion into anchor holes for damage to corrosion protection. Repair any such damage in a manner recommended by the manufacturer and approved by the Contracting Officer. Lifting of pre-grouted tendons must be to manufacturers' recommendations and not cause excessive bending, which can debond the prestressing steel from the surrounding grout.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Prestressing Steel

Submit certified test reports for each heat or lot of prestressing steel materials delivered to the site. Submit electronic copies of mill reports and electronic copies of a certificate from the manufacturer stating chemical properties, ultimate strengths, yield strengths, modulus of elasticity, and any other physical properties needed for the required computations, for the type of steel furnished.

2.1.1.1 High-Strength Steel Bars

Bars used for post-tensioning shall have a minimum tension strength level of 150,000 psi. Bars that can not meet the requirements of item a. shall meet the requirements of item b.

a. Bars shall meet the requirements of ASTM A722/A722M Type II high-strength bars.

b. Bars shall be high strength steel that has been quenched and tempered to meet the manufacturing requirements of ASTM A722/A722M. Bars must be cold stretched to a minimum of 80 percent of the minimum ultimate tensile strength and then stress-relieved to produce the required tensile properties. Bars shall provide a minimum yield strengths of 120,000 psi. Testing shall be performed on finished bars after secondary processing has been completed. Bar deformations shall meet

the requirements of ASTM A722/A722M, paragraph 10 “Requirements for Deformations”. Bars shall be tested by an ISO ISO/IEC 17025 certified laboratory that is independent of the manufacturer and accredited to perform the work. The following properties of the steel bars shall be submitted to ensure strength and strains meet or exceed the requirements of ASTM A722/A722M steel.

(1) Representative stress-strain curve for full bar cross-section.

(2) Creep test data at 80% of ultimate tensile strength.

(3) Relaxation shall be 4% or less at 1000 hour with an initial load of 0.7 x ultimate tensile strength.

(4) Manufacturer's certificates that confirm the bars provided have the same alloy and same manufacturing process as tested bars.

2.1.2 Structural Steel

ASTM A36/A36M, ASTM A572/A572M, Grade 50 ASTM A588/A588M, ASTM A709/A709M, Grade 50.

2.1.3 Steel Pipe

ASTM A53/A53M, Type E or S, Grade B.

2.1.4 Steel Tube

ASTM A500/A500M or API Spec 5CT, Grade N-80, Oil Field Seconds / Mill Secondary Tubing.

2.1.5 Ductile Iron Castings

ASTM A536.

2.1.6 Polyethylene Tubing

All polyethylene tubing shall be manufactured from virgin resins. Recycled polyethylene is not acceptable.

2.1.6.1 Smooth Polyethylene Tubing

ASTM D3350.

2.1.6.2 Corrugated Polyethylene Tubing

PTI DC35.1-14 Section 4.7, with minimum wall thickness shall be 0.06 inch at the thinnest section.

2.1.7 Smooth Polypropylene Tubing

ASTM D4101, designation PP 210 B5542-11.

2.1.8 Polyvinyl Chloride (PVC) Pipe

ASTM D1785, Schedule 40.

2.1.9 Polyvinyl Chloride (PVC) Tubing

2.1.9.1 Smooth Polyvinyl Chloride (PVC) Tubing

ASTM D1784.

2.1.10 Heat Shrinkable Sleeve

Radiation crosslinked polyolefin tube internally coated with an adhesive sealant and conforming to PTI DC35.1-14 Section 4.8.1.

2.1.11 Corrosion Inhibiting Compound

Corrosion inhibiting compounds must conform to the requirements of Section 4.6 of PTI DC35.1-14. Corrosion inhibiting gel that is in contact with the load cells shall be compatible with the instrumentation housing and electrical/communication system.

2.2 MANUFACTURED UNITS

2.2.1 Anchor Head

Anchor head must consist of steel bearing plate with nut, trumpet and corrosion protection. Submit bearing plate material and details.

Anchorage devices must be capable of developing 95 percent of the guaranteed ultimate strength of prestressing steel. The anchorage devices must conform to the static strength requirements of Section 3.1.6 (1) and Section 3.1.8 (1) and (2) of PTI TAB.1. Fabricate the trumpet used to provide a transition from the anchorage to the unbonded length corrosion protection from steel pipe or steel tube. The minimum wall thickness must be 0.125 inch for diameters up to 4 inches and 0.20 inch for larger diameters.

2.2.2 Load Cell

Requirements for the anchor load cells are found in Specification Section 33 51 43 INSTRUMENTATION AND PERFORMANCE MONITORING OF STRUCTURES.

2.2.3 Prestressing Steel Couplers

Prestressing steel couplers for bars must be capable of developing 100 percent of the minimum specified ultimate tensile strength of the prestressing steel. Submit certified test reports for couplers used for anchor connections. Electronic copies of mill reports and certificates from the manufacturer stating chemical properties, ultimate strengths, yield strengths, modulus of elasticity, and other physical properties shall be furnished to confirm couplers meet the same requirements as the anchor bar.

Class 1 Corrosion protection for couplers shall follow the requirements in PTI DC35.1-14 Section 5.4.6 Coupler Protection.

2.2.4 Centralizers and Spacers

Fabricate centralizers from plastic, steel or other approved material which is nondetrimental to the prestressing steel. Do not use wood. The centralizer must be able to support the tendon in the drill hole and position the tendon so a minimum of 0.5 inch of grout cover is provided.

Centralizers and spacers must permit grout to freely flow up the drill hole.

2.2.5 Anchorage Covers

Fabricate anchorage covers as shown on the drawings. The material used must not be subject to attack by cement, corrosion-inhibiting compounds or the environment. Securely attach the cover to the bearing plate. The cover is to be filled with corrosion-inhibiting gel and must form a permanent watertight enclosure for the anchorage device.

2.2.5.1 Watertight Enclosure Design

The Contractor shall design a watertight enclosure for submittal to the Contracting Officer. At a minimum, the enclosure shall be a circular flange with a minimum of 6 bolts, gasket material, and include an installation procedure. Cable runs through the enclosure shall be approved by the instrumentation manufacturer.

2.3 EQUIPMENT

The Contractor's Quality Control manager must verify that the equipment used on site is the same as the equipment submitted for approval. Submit catalog cuts, brochures, or other descriptive literature describing the equipment to be used for drilling, grouting, handling, and installing the spillway anchors. Submit sketches, drawings or details showing the access and temporary supports where required for the drilling equipment and stressing frames. Provide descriptions of stressing jacks, gages, dynamometers, load cells, or other devices for measuring stressing load, certified calibration records for each set of jacking equipment, and current testing curves for stress measurement gages which show that gages have been calibrated for the jacks for which they are used 30 days prior to the start of the testing operations.

2.3.1 Drilling Equipment

Provide drilling equipment suitable for advancing the drill tools to depths that are least 10 feet below the elevations and at the alignment shown on the drawing.

2.3.2 Grouting Equipment

2.3.2.1 Grout Mixer

High-speed shear mixer must conform to PTI DC35.1-14 Section 7.8.1.

2.3.2.2 Grout Pump

The grout pump must be of the positive displacement type, and must be capable of pumping at all flow rates below 20 gpm, must be capable of pumping at the pressure of at least 25 psi at zero flow rate. For neat cement grout, the pump must have a screen with 0.125 inch maximum clearance to sieve the grout before being introduced into the pump. Screens are not required for shear type mixers. Contractor may submit separate pumps for neat cement grouts and sanded grouts. The pumping equipment must have a pressure gage capable of measuring pressures of at least 150 psi or twice the required grout pressure, whichever is greater.

2.3.3 Stressing Equipment

Stressing equipment must be hydraulically operated and must have a capacity sufficient to stress the anchors to the specified Test Loads within the rated capacity in one stroke. Pumps must be capable of applying each load increment in less than 60 seconds and must be capable of maintaining the hydraulic pressure within 50 psi. The equipment must permit stressing of the tendon in increments and raising or lowering the load in the tendon. Calibrate the equipment with an accuracy of ± 1 percent and ensure that the calibration certificate and graphs shall be at the site. The production gage must have graduations of 100 psi or less. Maintain a second certified gage for periodic verification of the production gage. Provide a dial gage or approved device to measure total tendon elongation at each load increment to the nearest 0.001 inch. The dial gage must be capable of measuring the entire anchor movement without being reset. Verify the calibration of gages no more than 30 calendar days prior to commencing work under this contract and at six-month intervals throughout the period of use.

2.3.4 Testing Equipment

Provide testing equipment consisting of a hydraulic jack with calibrated pressure gage for applying the load and a dial gage or vernier scale to measure anchor movement. The ram travel of the stressing equipment must be not less than the theoretical elastic elongation of the total anchor length at the maximum Test Load. Graduate the pressure gage in 100 psi increments. Calibrate the stressing equipment and pressure gage as a unit no more than 30 calendar days prior to commencing work under this contract and at six-month intervals throughout the period of use. The movement measuring device shall be a temperature-compensating digital gauge and must have a minimum travel equal to the theoretical elastic elongation of the total anchor length at the maximum Test Load without resetting the device. To calibrate the load cells, a digital pressure gauge with accuracy of 0.1% is required. The digital gauge shall be used for the creep measurement.

2.4 GROUT

2.4.1 Cement

ASTM C150/C150M, Type I, II, or ASTM C595/C595M Type IL.

2.4.2 Water

Water used for drilling, flushing of spoils and water pressure testing may be lake water. Lake water shall be filtered as required to ensure total suspended solids are not more than 30 mg/L. Water Filter Sampling and testing is required once per week during the work. After final drilling, the borehole shall be washed with potable water using a minimum quantity of 3 times the borehole volume. Only potable water shall be used for mixing grout, cement, or any other backfill material. Potable water shall be fresh, clean, free from injurious amounts of sewage, oil, acid, alkali, salts, or organic matter.

2.4.3 Aggregates

Fine aggregate for sand-cement grout must conform to ACI 301 and ASTM C33/C33M for grout for backfilling holes. Aggregates must not contain substances which may be deleteriously reactive with alkalis in the

cement.

2.4.4 Admixtures

Admixtures which control bleed, improve flowability, reduce water content and retard set may be used in the grout subject to the approval of the Contracting Officer. Any admixtures used must be compatible with the prestressing steel and must be mixed in accordance with the manufacturer's recommendations.

2.4.5 Grout for Anchors

2.4.5.1 Cement Grout

Cement grout must conform to PTI DC35.1-14 Section 6.11 and Section 7.8.2.3. Submit cement grout mixture proportions.

Preproduction laboratory testing shall be performed and in accordance with PTI DC35.1 Section 7.8.2.1 and shall include strength tests, specific gravity, and bleed tests.

Preproduction field testing shall be performed using the equipment and materials proposed for production anchors. Compressive strength testing, specific gravity, and bleed tests shall be performed. The rate of gain of strength over a least 7 days shall be demonstrated.

Routine QA/QC testing shall include specific gravity testing for each batch.

Compressive strength test samples are required for each anchor from grout used within the bond length interval. Sufficient samples shall be collected by the independent testing agency to confirm the grout strength after 96 hours.

2.4.6 Sand-Cement Grout

Grout for waterproofing holes, grouting holes which fail the watertightness test, and for backfilling holes which are abandoned must consist of a mixture of portland cement, fine aggregate and water. Sand-cement grouts shall use paddle or drum mixers that provide the approved mix design fluidity and set performance. The grout mix proportions are the responsibility of the Contractor. Results of the watertightness testing shall be used to proportion a stable grout mix with suitable viscosity and negligible bleed that will reduce permeability in the borehole to allow anchor grout placement. Contractor shall follow the requirements of EM 1110-2-3506 for selecting and proportioning a grout mix and materials. Multiple grout mixes may be required. Submit the proposed mix design to the Contracting Officer for approval. The water content must be the minimum necessary for proper placement. Base the final proportions of materials on results of tests made on sample mixtures of grout. The minimum compressive strength of two-inch cubes, molded, cured, and tested in accordance with ASTM C109/C109M, must be 4,000 psi at the time of the performance test. The Contractor is responsible for taking, curing, and breaking of grout test cubes for determining mix design, and all testing must be done by an independent laboratory approved by the Contracting Officer.

2.4.7 Grout for Anchor Pads

Use nonshrink grout conforming to ASTM C1107/C1107M for leveling bearing plates.

2.5 TENDON FABRICATION

2.5.1 General

Fabrication of the anchors must be as recommended by the suppliers. Completely assemble anchors with all centralizers grout and vent tubes and corrosion protection prior to insertion into the hole. Protect, transport and store fabricated anchors in a manner to prevent contamination or damage to any components.

2.5.2 Tendon

Tendon material must be unblemished and free of pitting, nicks, grease, or injurious defects. When required to maintain the tendon location within the hole, provide centralizers at a maximum of 10 foot intervals center-to-center throughout the full anchor length. The entire bond length of the tendon must be free of dirt, lubricants, loose rust, corrosion-inhibiting coatings or other contaminants.

2.5.3 Bond Breaker

Bond breaker for free stressing length must consist of smooth polyethylene tubing, minimum wall thickness 0.06 inch, or smooth PVC tubing, minimum wall thickness 0.06 inch.

2.5.4 Vent Tubes

Vent tubes used during grouting operations, if necessary, must be any appropriate type for the job, as recommended by the supplier of the anchors.

2.5.5 Grout Tubes

Grout tubes must be polyethylene tubing or as recommended by the anchor manufacturer and approved by the Contracting Officer. Inside diameter of grout tubes must be adequate to fully grout the entire hole.

2.5.6 Corrosion Protection

Corrosion protection must be Class I protection in accordance with PTI DC35.1-14 Section 5.3. Anchor bars may be epoxy coated, but epoxy coating shall not be part of the corrosion protection system. Provide corrosion protection for the entire anchor and include anchorages covers and trumpets filled with corrosion inhibiting compound and encapsulation of the free stressing length and bond length.

2.5.6.1 Anchorage Protection

The trumpet must be sealed to the bearing plate and must overlap the free stressing length encapsulation by at least 4 inches. The trumpet and anchorage cover must be completely filled with corrosion inhibiting compound. Compound filled trumpets must have a permanent seal between the trumpet and the free length corrosion protection.

2.5.6.2 Free Stressing Length Encapsulation

Encapsulation for the anchor bar shall be continuous using the components of the bond length encapsulation. Encapsulation for the free stressing length shall be around the bond length encapsulation.

Encapsulation for free stressing length must consist of a sheath of smooth polyethylene tubing, minimum wall thickness 0.06 inch; smooth polypropylene tubing, minimum wall thickness 0.06 inch; smooth PVC tubing, minimum wall thickness 0.06 inch; steel pipe or tube with minimum wall thickness 0.20 inch. Sheathing shall be filled with grout and function as the bond breaker. Free stressing length encapsulation must extend at least 4 inches into the trumpet, but must not contact the bearing plate during testing and stressing of the tendon.

2.5.6.3 Bond Length Encapsulation

Bond length encapsulation must consist of grout-filled, corrugated polyethylene tubing, minimum wall thickness 0.060 inch or corrugated PVC tubing. Bond length encapsulation shall meet the requirements of PTI DC35 1-14 Section C5.4.4.1 Class 1 protection, except epoxy coated bars are not acceptable as part of the corrosion protection encapsulation. Minimum wall thickness of polyethylene tubing shall be 0.06 inches at the thinnest diameter.

2.6 TESTS, INSPECTIONS, AND VERIFICATIONS

Perform required material tests, on prestressing steel and accessories, by an approved laboratory to demonstrate that the materials are in conformance with the specifications. Test grout in accordance with ASTM C109/C109M. These tests will be at the Contractor's expense. Furnish to the Contracting Officer prestressing steel and coupler test results prior to beginning fabrication of any anchors and within 24 hours of testing.

PART 3 EXECUTION

3.1 DRILLING HOLES

3.1.1 General

The top of bond zone elevations and other physical conditions indicated on the drawings are the result of recommendations in the dam stability report. (See also paragraph "PROJECT SITE CONDITIONS"). Drill holes at the locations and inclinations shown and to the depths and diameters indicated on the drawings. The locations of the holes may be changed only as approved by the Contracting Officer. Any redesign of the anchored structure due to relocation of anchor holes must be performed by the Government. TheContractor must determine the drilling method to be used. Do not drill holes within 12 feet of a grouted hole until the grout has set at least 24 hours. Do not perform hydraulic water pressure testing and drilling simultaneously within a distance of 45 feet. Take care while drilling to avoid damage of any kind to the existing structures. Damages of any nature will be evaluated by the Contracting Officer and repairs or replacements must be made as required. Do not install anchor and grout more than 4-days after drilling the borehole or more than 4-days after redrilling the borehole if the original borehole required pregrouting due to failed watertightness test. Collect, recycle, or treat waste water and spoils from drilling operations and watertightness and testing; do not

discharge directly into the water or on the ground. See also Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.1.2 Drilling Through Existing Structures

Drilling of boreholes shall not begin until all crack monitors have been installed in accordance with Specification 33 51 43 Instrumentation and Performance Monitoring of Structures.

Drill holes through existing structure by any method which does not cause damage to the surrounding structure. The Contractor is advised that foreign material, including metals and other materials remaining from original construction of the existing structure, may be encountered during drilling through existing structures.

3.1.3 Drilling in Spillway

Holes may be drilled by core drilling, rotary drilling, percussion drilling or down-the-hole hammer using equipment suitable for the intended purpose. The drilling method must not cause structural damage to existing structures. Modify drilling method if damage is observed. Core drilling or other method that leaves a smooth sidewall shall require a process to roughen the borehole through the bond zone length. Overdrilling of holes by a maximum of three feet beyond the required elevation will be permitted.

The Contractor shall observe the joint drain discharge into lower gallery during anchor hole drilling and water pressure testing. Observe joint drains within 50 feet of the hole during drilling and testing. If connection is observed at the joint drain discharge or upstream face, Contractor shall provide a thickened grout to prevent grout travel from the anchor hole to joint drain or upstream face.

Stop drilling and notify the Contracting Officer if there is fluid loss during drilling or if drilling fluid is observed at the upstream face.

3.1.4 Records

Submit driller logs and records as specified in paragraph Driller Logs. The presence of a Government inspector or the keeping of separate drilling records by the Contracting Officer must not relieve the Contractor of the responsibility for the work specified in this paragraph. Payment will not be made for any work for which the required records have not been furnished by the Contractor.

3.1.5 Alignment

3.1.5.1 Tolerances

The anchor hole must be located within 1 inch of the plan location. The entry angle must be within 1-inch horizontal for 60-inch vertical of the specified inclination. The straightness of the drilled hole must be within 1-inch in 25 feet of the theoretical alignment. Check tolerance for each anchor hole. If the hole alignment is not within these tolerances, notify Contracting Officer and perform corrective measures as directed. If tolerances cannot be maintained, then notify Contracting Officer.

3.1.5.2 Alignment Check

Check each drilled hole for alignment as specified herein upon completion

of drilling and before commencement of any other work. Check direction and inclination of all anchor holes for each 5-foot intervals throughout the hole. Checking the alignment of each anchor hole must be done by measuring the inclination of the actual drilled anchor hole center line in place with respect to the specified anchor center line. The specified anchor center line must consist of a single, straight, continuous line extending from the top of the hole to the required bottom elevation of the hole. Specified anchor centerlines must slope at the inclinations shown on the drawings. The Contracting Officer must have access to holes for alignment surveys that may include, but not be limited to, slope indicators or other down-the-hole equipment. Drill rods may be required to be removed from the hole or left in place as directed by the Contracting Officer. Holes, or portions of holes, which are out of alignment must be corrected or filled with cement grout having a water-cement ratio of 0.40 or sand-cement grout, and a new hole drilled as directed by the Contracting Officer. Slight adjustments to inclinations indicated on the drawings may be required, as directed by the Contracting Officer. The Contractor is responsible for all drilled holes until accepted by the Contracting Officer. Holes to replace incorrectly drilled holes must be drilled at no additional cost to the Government. All equipment for checking alignment of anchor holes must be operated by personnel experienced in the operation of such equipment.

3.1.5.3 Alignment Checking Equipment

Check alignment of holes by means of an optical televiewer, or equal equipment, and selected based on the maximum expected range of angle deviation to be measured.

3.1.6 Watertightness Testing

The full borehole length of all anchor holes must be watertightness tested in accordance with the procedures of PTI DC35.1, Section 7.5. Holes which have a water loss in excess of 2.5 gallons in ten minutes must be grouted as specified in paragraph Waterproofing Anchor Holes, and redrilled.

3.1.7 Waterproofing Anchor Holes

Anchor holes which fail the watertightness test must be tremie grouted with cement grout as specified in paragraph Grout for Waterproofing or Backfilling Holes. Grouted holes must be redrilled less than 48 hours after grouting.

3.2 INSTALLATION OF ANCHORS

3.2.1 General

The Contractor is responsible for each drilled hole until the anchor has been installed, grouted, stressed and accepted. Holes must be cleaned by pressurized air and/or potable water to remove drill cuttings.

3.2.2 Placing

All the equipment used in handling and placing the anchors must be such that it does not damage or deteriorate the prestressing steel, corrosion protection, or the anchorages. Each anchor must be inspected prior to insertion into the hole. Any damage to corrosion protection must be repaired prior to insertion or, if determined by the Contracting Officer to be not repairable, the anchor must be replaced. Insertion of anchors

must be in accordance with PTI DC35.1.

3.2.3 Cement Grouted Anchors

Grouting equipment must be of type and capacity required for successful installation of the anchors. All anchors must use single stage grouting to encase the anchor. Grouting must be performed by a method in accordance with PTI DC35.1, paragraph 7.6. Grouting must commence at the bottom of the grout zone and proceed to the top of the zone. Grouting must be gravity flow.

3.2.4 Gravity Grouting

Gravity grouting must proceed from the bottom of the hole to the top of the hole. During the grouting operation all face drains within 50 feet of a borehole along the dam centerline shall be continually flushed with lake water. The flushing shall continue until the grout level has remained stable for one hour. Joint drain discharge outlets into the lower gallery shall be observed during the grouting. The outlets within 50 feet of the grouting operation shall be observed for grout. If grouting compromises a face drain, the anchor tendon will be removed from the hole and the grout will be removed from the hole. A method for regrouting the hole with a sanded grout will be approved by the Contracting Officer.

See the requirements in 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS for disposal of excess grout. The Contractor shall provide a sump pump to assist in collection of water from the drain sump. Water collected during the flushing shall be treated as Wastewater as defined in Section 01 57 19 paragraph 3.7.6 Wastewater.

3.2.5 Anchorage Installation

The load cell instrumentation, bearing plate and nut must be installed perpendicular to the tendon, within 5 degrees, and centered on the tendon without bending of the stressing steel. Corrosion protection must be maintained intact at the anchorage and any damage must be repaired prior to stressing.

3.3 STRESSING

3.3.1 General Requirements

After the anchor grout has been in place for a minimum of 96 hours and has reached a compressive strength of 4000 psi, as verified by grout cube break, the anchors must be stressed. Prior to stressing, surfaces upon which the stressing equipment is resting must be clean and the stressing equipment must be aligned as nearly with the center of the hole as possible. An Alignment Load of 10 percent of the Design Load must be applied to the anchor prior to setting dial gauges. Stress the anchor in accordance with the anchor manufacturer's recommendation, subject to the approval of the Contracting Officer. Design and Lock-off loads are given in paragraph DESIGN LOADS. Determine the lock-off procedure so that the lift-off results meet the acceptance criteria specified in paragraph Acceptance. The maximum stress must never exceed 80 percent of the guaranteed ultimate strength of anchor steel. The process of stressing the anchors must be so conducted that accurate elongation of the anchor steel can at all times be recorded and compared with the computations submitted to, and accepted by the Contracting Officer. Safety precautions must be taken to prevent workers from being behind or in front of the

stressing equipment during stressing. Stressing of the anchors must be performed in a sequence submitted by the Contractor for review by the Contracting Officer. All stressing must be done in the presence of a representative of the Contracting Officer. At no time during the stressing and testing of an anchor will the stressing equipment be disconnected from the temporary stressing head or anchor.

3.3.2 Lock-off

Lock off loads are to be applied in two equal increments in accordance with the schedule shown on the drawings. Lock off loads shall be scheduled only after all performance tests have been completed and results approved by the Contracting Officer.

After completion of the all required tests, the load must be returned to the Alignment Load and the specified Lock-off Load must be applied to the anchor. A lift-off test must be made to verify the load in the anchor tendon before the tendon is locked-off and the stressing equipment is removed. The lift-off reading must be within five percent of the specified lock-off load. If the lift-off reading is not within five percent of the specified lock-off load, the anchorage will be reset and another lift-off reading must be made. This procedure must be repeated until a satisfactory lift-off reading is obtained. Load reading from the Performance Test are to be used for instrumentation calibration in accordance with Section 33 51 43 paragraph CALIBRATION. After lock-off, the trumpet must be filled with corrosion inhibiting compound and the anchor head protective cap must be installed and the anchorage recess must be fully grouted flush with the adjacent surfaces.

3.4 FIELD QUALITY CONTROL

All anchors must be performance tested. During the stressing of each anchor, a record must be kept of gage pressure and of anchor elongation at each stage of stressing to the specified test or Lock-off Load, as applicable. The Test Load must not be exceeded. Provide a qualified engineer to evaluate the anchor test results and determine the acceptability of the anchors in accordance with the criteria indicated hereunder. Final acceptance of each anchor will be made by the Contracting Officer. All tests must be run in the presence of the Contracting Officer or his representative.

3.4.1 Performance Test

Performance test must consist of cyclically and incrementally loading and unloading the anchor, and must be conducted in accordance with PTI DC35.1, Paragraph 8.3.2. During the testing of each anchor, a record must be kept of gage pressure and of anchor elongation at each stage of stressing to each Test Load required by PTI DC35.1. Measurements of the elongation of prestressing steel must be made in accordance with PTI DC35.1. If the total movement at the end of 10 minutes at the Test Load exceeds 0.040 inch, the Test Load will be held an additional 50 minutes and the movement readings will be taken at the interval specified in PTI DC35.1, Paragraph 8.3.2. Test records, including plots and graphical analysis of test data, must be furnished upon acceptance of each performance tested anchor in accordance with paragraph SUBMITTALS.

3.4.2 Driller Logs

Submit the original handwritten log and electronic copies in typed format

within two days of the completion of each hole. Keep accurate driller logs and records of all work accomplished under this contract and deliver complete, legible copies of these logs and records to the Contracting Officer upon completion of the work or at such other time or times as he may be directed. All such records must be preserved in good condition and order by the Contractor until they are delivered and accepted, and the Contracting Officer will have the right to examine such records at any time prior to their delivery. Separate logs must be made for each hole. Use DRILLING LOG, ENG FORM 1836 and 1836A or other approved form which provides the required information for his logs. The following information must be included on the logs or in the records for each hole:

a. Hole number or designation and elevation of top of hole.

b. Inclination of the hole.

c. Make and manufacturer's model designation of drilling equipment.

d. Dates and time when drilling operations were performed.

e. Time required for drilling each run.

f. Depth and elevation of rod drops and other unusual occurrences.

g. Depths and elevations at which drill water is lost and regained and amounts.

h. Depth and elevation of bottom of hole, determined by measuring the drill steel length.

3.4.3 Anchor Records

Upon completion of installation of each anchor, the anchor records must be furnished to the Contracting Officer with watertightness test results and report of remedial action taken, top of bond zone elevation, bond length, free stressing length of anchor, grout mix, grouting pressure, grout volume, and a report of performance test results, and hole alignment surveys. The performance test, results must include measured lengths of drill holes and anchors, the loads and elongations recorded during testing, monitoring and stressing of the anchors, and graphs of test results.as specified in paragraph SUBMITTALS. In addition as-built drawings showing the completed installation of the anchors must be furnished upon completion of installation of all anchors.

3.5 ACCEPTANCE

3.5.1 General

Acceptance of anchors must be determined by the Contracting Officer. The following criteria will be used in determination of the acceptability of each anchor:

3.5.1.1 Creep

Creep movement must not exceed 0.040 inch at maximum Test Load during the first 10 minutes of the performance test. If the creep movement exceeds this limit, it must not exceed 0.080 inch at the maximum Test Load at the end of 60 minutes. If the creep movement exceeds 0.080 inch at the maximum Test Load at the end of 60 minutes, the anchor will be rejected.

Creep tests that are negative values during the first 10 minutes of the maximum test load shall be invalidated and retested. If the second test has more than 0.01 inch movement after 10 minutes, the anchor shall be rejected.

3.5.1.2 Movement

Apparent free length must be calculated from the observed elastic movement in accordance with PTI DC35.1, Section 8.6.2.

3.5.1.2.1 Minimum Apparent Free Length

The calculated free length must be not less than 80 percent of the designed free tendon length plus the jack length. If the anchor does not meet this criteria, the anchor must be restressed from the Alignment Load to the Test Load and the apparent free length must be recalculated. If the anchor does not meet this criteria after 3 attempts (original plus 2 restresses), the anchor will be rejected.

3.5.1.2.2 Maximum Apparent Free Length

The calculated free length must be not more than 100 percent of the designed free length plus 50 percent of the bond length plus the jack length. If the anchor does not meet this criteria, and the cause of the behavior is not investigated and explained to the satisfaction of the Contracting Officer, the anchor will be rejected.

3.5.1.3 Initial Lift-Off Reading

The initial lift-off reading must be within 1 to 2 percent of the specified Lock-off Load. If the anchor does not meet this criteria, the anchor must be adjusted as necessary and the lift-off reading must be repeated.

3.5.2 Replacement of Rejected Anchors

Any anchor that fails the performance test or is rejected by the Contracting Officer must be replaced. A replacement anchor, including a new anchor hole, must be provided by the Contractor at no expense to the Government. The location of the replacement anchor will be as directed by the Contracting Officer. Provide all materials, supplies, equipment, and labor necessary to provide a new anchor assembly to the satisfaction of the Contracting Officer. No drilling will be performed for a replacement anchor until the grouting of all anchors within 12 feet of the replacement anchor location has been allowed to set for at least 24 hours. Payment will not be made for rejected or failed anchors. Either remove failed anchors and thoroughly ream and clear the anchor hole or remove the load and cut the anchor and casing flush.

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SECTION 32 92 19

SEEDING
08/17, CHG 1: 08/21

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D4427	(2018) Standard Classification of Peat Samples by Laboratory Testing
ASTM D4972	(2018) Standard Test Methods for pH of Soils

U.S. DEPARTMENT OF AGRICULTURE (USDA)

AMS Seed Act	(1940; R 1988; R 1998) Federal Seed Act
DOA SSIR 42	(2022) Kellogg Soil Survey Laboratory Methods Manual, Soil Survey Investigations Report, No. 42, Version 6.0

Virginia Department of Transportation (VDOT)

VDOT	(2022) VDOT Road and Bridge Specifications

1.2 DEFINITIONS

1.2.1 Stand of Turf

95 percent ground cover of the established species.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Wood Cellulose Fiber Mulch

Fertilizer

Include physical characteristics, and recommendations.

SD-06 Test Reports

Topsoil Composition Tests (reports and recommendations).

SD-07 Certificates

State Certification and Approval for Seed

SD-08 Manufacturer's Instructions

Erosion Control Materials

1.4 DELIVERY, STORAGE, AND HANDLING

1.4.1 Delivery

1.4.1.1 Seed Protection

Protect from drying out and from contamination during delivery, on-site storage, and handling.

1.4.1.2 Fertilizerand Lime Delivery

Deliver to the site in original, unopened containers bearing manufacturer's chemical analysis, name, trade name, trademark, and indication of conformance to state and federal laws. Instead of containers, fertilizer and lime may be furnished in bulk with certificate indicating the above information.

1.4.2 Storage

1.4.2.1 Seed, Fertilizer Storage

Store in cool, dry locations away from contaminants.

1.4.2.2 Topsoil

Prior to stockpiling topsoil, treat growing vegetation with application of appropriate specified non-selective herbicide. Clear and grub existing vegetation three to four weeks prior to stockpiling topsoil.

1.4.2.3 Handling

Do not drop or dump materials from vehicles.

1.5 TIME RESTRICTIONS AND PLANTING CONDITIONS

1.5.1 Restrictions

Do not plant when the ground is frozen, snow covered, muddy, or when air temperature exceeds 90 degrees Fahrenheit.

1.6 TIME LIMITATIONS

1.6.1 Seed

Apply seed within twenty four hours after seed bed preparation.

PART 2 PRODUCTS

2.1 SEED

2.1.1 Classification

Provide State-certified seed of the latest season's crop delivered in original sealed packages, bearing producer's guaranteed analysis for percentages of mixtures, purity, germination, weedseed content, and inert material. Label in conformance with AMS Seed Act and applicable state seed laws. Wet, moldy, or otherwise damaged seed will be rejected. Field mixes will be acceptable when field mix is performed on site in the presence of the Contracting Officer.

2.1.2 Planting Dates

Planting Season	Planting Dates
~~[~~Season 1	~~[_____]~~April - May
~~[~~Season 2~~]~~	~~[_____]~~August - October
~~[Temporary Seeding]~~	~~[_____]~~

These dates are recommended, but adjustments can be made as approved by the Contracting Officer's Representative.

2.1.3 Seed Purity

Seed must comply with VDOT Section 244.02

2.1.4 Seed Mixture by Weight

Seed must comply with VDOT Section 244.02
Proportion seed mixtures by weight. Temporary seeding must later be replaced by Season 1 or Season 2 plantings for a permanent stand of grass. The same requirements of turf establishment for Season 1 or Season 2 apply for temporary seeding.

2.2 TOPSOIL

2.2.1 On-Site Topsoil

Surface soil stripped and stockpiled on site and modified as necessary to meet the requirements specified for topsoil in paragraph COMPOSITION.

2.2.2 Off-Site Topsoil

Conform to requirements specified in paragraph COMPOSITION. Additional topsoil must be furnished by the Contractor.

2.2.3 Composition

Containing from 5 to 10 percent organic matter as determined by the topsoil composition tests of the Organic Carbon, 6A, Chemical Analysis Method described in DOA SSIR 42. Maximum particle size, 3/4 inch, with maximum 3 percent retained on 1/4 inch screen. The pH must be tested in

accordance with ASTM D4972. Topsoil must be free of sticks, stones, roots, and other debris and objectionable materials. Other components must conform to the following limits:

Silt	~~[25-50][7 to 17][_____]~~ percent
Clay	~~[10-30][4 to 12][_____]~~ percent
Sand	~~[20-35][70 to 82][_____]~~ percent
pH	~~[5.5 to 7.0][_____]~~
Soluble Salts	~~[600] [_____]~~ ppm maximum

2.3 SOIL CONDITIONERS

Add conditioners to topsoil as required to bring into compliance with "composition" standard for topsoil as specified herein.

2.3.1 Peat

Natural product of peat moss derived from a freshwater site and conforming to ASTM D4427. Shred and granulate peat to pass a 1/2 inch mesh screen and condition in storage pile for minimum 6 months after excavation.

2.3.2 Composted Derivatives

Ground bark, nitrolized sawdust, humus or other green wood waste material free of stones, sticks, and soil stabilized with nitrogen and having the following properties:

2.3.2.1 Particle Size

Minimum percent by weight passing:

No. 4 mesh screen	95
No. 8 mesh screen	80

2.3.2.2 Nitrogen Content

Minimum percent based on dry weight:

Fir Sawdust	0.7
Fir or Pine Bark	1.0

2.4 FERTILIZER

2.4.1 Granular Fertilizer

Organic, granular controlled release fertilizer containing the recommended percentages per VDOT requirements of nitrogen, phosporus, potassium, and sulfur.

2.4.2 Hydroseeding Fertilizer

Controlled release fertilizer, to use with hydroseeding and composed of pills coated with plastic resin to provide a continuous release of nutrients for at least 6 months and containing the recommended percentages

per VDOT requirements of nitrogen, phosporus, and potassium.

2.5 MULCH

Mulch must be free from noxious weeds, mold, and other deleterious materials.

2.5.1 Straw

Stalks from oats, wheat, rye, barley, or rice. Furnish in air-dry condition and of proper consistency for placing with commercial mulch blowing equipment. Straw must contain no fertile seed.

2.5.2 Hay

Air-dry condition and of proper consistency for placing with commercial mulch blowing equipment. Hay must be sterile, containing no fertile seed.

2.5.3 Wood Cellulose Fiber Mulch

Use recovered materials of either paper-based (100 percent post-consumer content) or wood-based (100 percent total recovered content) hydraulic mulch. Processed to contain no growth or germination-inhibiting factors and dyed an appropriate color to facilitate visual metering of materials application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 5.5 to 8.2. Use with hydraulic application of grass seed and fertilizer.

2.6 WATER

Source of water must be approved by Contracting Officer and of suitable quality for irrigation, containing no elements toxic to plant life.

2.7 EROSION CONTROL MATERIALS

Erosion control material must conform to the following:

2.7.1 Erosion Control Blanket

70 percent agricultural straw/30 percent coconut fiber matrix stitched with a degradable nettings, designed to degrade within 18 months.

2.7.2 Erosion Control Material Anchors

Erosion control anchors must be as recommended by the manufacturer.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 EXTENT OF WORK

Provide soil preparation prior to planting (including soil conditioners as required), fertilizing, seeding, and surface topdressing of all newly graded finished earth surfaces, unless indicated otherwise, and at all areas inside or outside the limits of construction that are disturbed by the Contractor's operations.

3.1.1.1 Topsoil

Provide 4 inches of off-site topsoil or existing soil to meet indicated finish grade. After areas have been brought to indicated finish grade, incorporate fertilizer, pH adjusters, or soil conditioners into soil a minimum depth of 4 inches by disking, harrowing, tilling or other method approved by the Contracting Officer. Remove debris and stones larger than 3/4 inch in any dimension remaining on the surface after finish grading. Correct irregularities in finish surfaces to eliminate depressions. Protect finished topsoil areas from damage by vehicular or pedestrian traffic.

3.1.1.2 Soil Conditioner Application Rates

Apply soil conditioners at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Peat 40 cubic yards per 1000 square feet.

3.1.1.3 Fertilizer Application Rates

Apply fertilizer at rates as determined by laboratory soil analysis of the soils at the job site. For bidding purposes only apply at rates for the following:

Organic Granular Fertilizer 5 pounds per 1000 square feet.

Hydroseeding Fertilizer 5 pounds per 1000 square feet.

3.2 SEEDING

3.2.1 Seed Application Seasons and Conditions

Immediately before seeding, restore soil to proper grade. Do not seed when ground is muddy frozen, or snow covered or in an unsatisfactory condition for seeding. If special conditions exist that may warrant a variance in the above seeding dates or conditions, submit a written request to the Contracting Officer stating the special conditions and proposed variance. Apply seed within twenty four hours after seedbed preparation. Sow seed by approved sowing equipment. Sow one-half the seed in one direction, and sow remainder at right angles to the first sowing.

3.2.2 Seed Application Method

Seeding method must be broadcasted and drop seeding or hydroseeding.

3.2.2.1 Broadcast and Drop Seeding

Seed must be uniformly broadcast at the rate per the manufacturers recommendation or VDOT requirements. Use broadcast or drop seeders. Sow one-half the seed in one direction, and sow remainder at right angles to the first sowing. Cover seed uniformly to a maximum depth of 1/4 inch in clay soils and 1/2 inch in sandy soils by means of spike-tooth harrow, cultipacker, raking or other approved devices.

3.2.2.2 Hydroseeding

First, mix water and fiber. Wood cellulose fiber, paper fiber, or recycled paper must be applied as part of the hydroseeding operation. Fiber must be added at 1,000 pounds, dry weight, per acre. Then add and mix seed and fertilizer to produce a homogeneous slurry. Seed must be mixed to ensure broadcasting at the rate per manufacturers recommendations or VDOT requirements. When hydraulically sprayed on the ground, material must form a blotter like cover impregnated uniformly with grass seed. Spread with one application with no second application of mulch.

3.2.3 Mulching

3.2.3.1 Hay or Straw Mulch

Hay or straw mulch must be spread uniformly at the rate of 2 tons per acre. Mulch must be spread by hand, blower-type mulch spreader, or other approved method. Mulching must be started on the windward side of relatively flat areas or on the upper part of steep slopes, and continued uniformly until the area is covered. The mulch must not be bunched or clumped. Sunlight must not be completely excluded from penetrating to the ground surface. All areas installed with seed must be mulched on the same day as the seeding. Mulch must be anchored immediately following spreading.

3.2.3.2 Mechanical Anchor

Mechanical anchor must be a V-type-wheel land packer; a scalloped-disk land packer designed to force mulch into the soil surface; or other suitable equipment.

3.2.4 Rolling

Immediately after seeding, firm entire area except for slopes in excess of 3 to 1 with a roller not exceeding 90 pounds for each foot of roller width. If seeding is performed with cultipacker-type seeder or by hydroseeding, rolling may be eliminated.

3.2.5 Erosion Control Material

Install in accordance with manufacturer's instructions, where indicated or as directed by the Contracting Officer.

3.2.6 Watering

Start watering areas seeded as required by temperature and wind conditions. Apply water at a rate sufficient to insure thorough wetting of soil to a depth of 2 inches without run off. During the germination process, seed is to be kept actively growing and not allowed to dry out.

3.3 PROTECTION OF TURF AREAS

Immediately after turfing, protect area against traffic and other use.

3.4 RENOVATION OF EXISTING TURF AREA

3.4.1 Aeration

Upon completion of weed eradication operations and Contracting Officer's

approval to proceed, aerate turf areas indicated, by approved device. Core, by pulling soil plugs, to a minimum depth of 3 to 4 inches. Leave all soil plugs, that are produced, in the turf area. After aeration operations are complete, topdress entire area 1/2 inch depth with an organic fertilizer and humus.

Blend all parts of topdressing mixture to a uniform consistency throughout. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean all soil plugs off of other paving when work is complete.

3.4.2 Vertical Mowing

Upon completion of aerating operation and Contracting Officer's approval to proceed, vertical mow turf areas indicated, by approved device, to a depth of 1/2 inch above existing soil level, to reduce thatch build-up, grain, and surface compaction. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean other paving when work is complete. Remove all debris generated during this operation off site.

3.4.3 Dethatching

Upon completion of aerating operation and Contracting Officer's approval to proceed, dethatch turf areas indicated, by approved device, to a depth of 1/2 inch below existing soil level, to reduce thatch build-up, grain, and surface compaction. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean other paving when work is complete. Remove all debris generated during this operation off site.

3.4.4 Overseeding

Apply seed in accordance with and at rates indicated in applicable portions of paragraph SEED APPLICATION METHOD.

3.5 RESTORATION

Restore to original condition existing turf areas which have been damaged during turf installation operations at the Contractor's expense. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean other paving when work in adjacent areas is complete.

-- End of Section --

SECTION 33 51 43

INSTRUMENTATION AND PERFORMANCE MONITORING OF STRUCTURES
05/22

PART 1 GENERAL

1.1 DESCRIPTION

Provide all labor, equipment, and materials for the installation and maintenance of performance monitoring instrumentation for the duration of the contract. Provide all labor, equipment, and materials for data collection, data management, interpretation, and reporting unless otherwise specified.

1.1.1 Instrumentation and Monitoring Plan

Develop an Instrumentation and Monitoring Plan that outlines the project performance monitoring requirements, recommended thresholds values and response actions, as well as roles and responsibilities based on the requirements of this scope.

1.1.2 Supervision and Quality Control

Provide supervision and quality control to assure the accuracy, quality, timeliness, and completeness of the work. Provide all related and miscellaneous components and appurtenances to make the specified systems complete and functional. Perform all work in strict accordance with this section of the specifications and the applicable contract drawings.

1.1.3 Scope of Work

The following tables summarize the instrumentation that is currently installed within the project area (Existing Instruments), instrumentation to be installed as part of the contract work (New Instruments), and instrumentation that is currently installed within the project area that is to be modified (Existing Instruments to be Modified).

Existing Instruments					
Instrument	Type	Model No.	Data Collection Method	Data Collection Frequency	Existing Quantity
~~[Piezometer]~~ Crack Meter	~~[Vibrating Wire Piezometer]~~N/A	~~[_____]~~ N/A	~~[Automated]~~ Manual	~~[Hourly]~~ Bi-Monthly	~~[12]~~22
~~[~~Piezometer~~]~~	~~[~~Standpipe~~]~~	~~[~~N/A~~]~~	~~[~~Manual~~]~~	~~[Monthly]~~ Quarterly	~~[5]~~13
~~[Inclinometer]~~ Piezometer	~~[Traversing]~~Pneumatic	~~[_____]~~ P-100	~~[Manual]~~	~~[Quarterly]~~	~~[3]~~4
~~[Rain Gauge]~~ Tilt Sensor	~~[Tipping Bucket]~~N/A	~~[_____]~~ N/A	~~[Automated]~~ Manual	~~[Daily]~~N/A	~~[1]~~3
Anchor Load Cell	N/A	N/A	Manual	Monthly	6

New Instruments to be Installed				
Instrument	Type	Data Collection Method	Data Collection Frequency	~~Existing~~ Quantity
~~[Piezometer]~~	~~[Vibrating Wire Piezometer]~~	~~[Automated]~~	~~[Hourly]~~	~~[20]~~
Crack Meter ~~[Piezometer]~~	~~[Standpipe]~~Displacement Transducer	~~[Manual]~~ Automated	~~[Monthly]~~Daily	~~[5]~~22
~~[~~Inclinometer~~]~~ Sensors	~~[Traversing]~~In-place MEMS	~~[Manual]~~ Automated	~~[Quarterly]~~ Daily	~~[2]~~56
~~[Tilt Meter]~~ Anchor Load Cell	~~[Biaxial MEMS]~~Vibrating Wire	~~[~~Automated~~]~~	~~[~~Daily	~~[1]~~6
Anchor Load Sensor	Electromagnetic	Automated	Daily	6

Existing Instruments to be Modified		
General Description	Data Collection Method	Quantity
~~[~~Retrofit existing standpipe piezometer with vibrating wire transducer~~]~~	~~[~~Automated~~]~~	~~[3]~~13
~~[Change riser elevation of standpipe piezometer]~~Retrofit existing pneumatic piezometers with datalogger	~~[Manual]~~ Automated	~~[2]~~4

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D1785 (2021) Standard Specification for Poly(Vinyl Chloride) (PVC), Plastic Pipe, Schedules 40, 80, and 120

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.11 (2020; BD 2022) Information Technology-Telecommunications and Information Exchange Between Systems Local and Metropolitan Area Networks - Specific Requirements Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications Amendment 3: Wake-Up Radio Operation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023) National Electrical Code

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 1110-2-1908	(2020) Instrumentation of Embankment Dams and Levees
ER 1110-1-1807	(2014) Drilling in Earth Embankment Dams and Levees

1.3 DEFINITIONS

1.3.1 Automated Data Acquisition System (ADAS)

An Automated Data Acquisition System (ADAS) is a system of electronic devices that automatically collect, process, store, and transmit measurements from instrument sensors. An ADAS may include, but is not limited to the following components: dataloggers, vibrating wire analyzer, RMU, RIO, multiplexer, power, and communication hardware and service. The system also includes enclosures and mounts, software, and transient voltage surge suppressors.

1.3.2 Readout Unit

A readout unit is a device used to display measurements from an instrument. Some readout units also have data collection capability. The readout unit may be portable and connected to instrument terminals when monitoring is required (e.g. notebook computer, datalogger, or a proprietary system), or may be an on-line connection (fixed line or wireless) to a remote monitoring system.

1.3.3 Data Collector

A data collector is a device used to collect, store, and transfer recorded data from an instrument. A data collector may be a portable device or be located in-place at the instrument location. Data collectors may be equipped with remote data access.

1.3.4 Data Logger

A data logger is a device that can perform measurements at a prescribed interval, process the data from raw values to engineering units, store time-stamped data, and communicate with other devices.

1.3.5 RMU

Remote monitoring unit (RMU) are electronic units comprised of a datalogger along with, but not limited to, associated power, communication equipment, and enclosure. They have both inputs to read sensors and outputs to control other devices. RMU can perform calculations, run programs that have been uploaded to it, and can interface with other RMUs and a central control unit via some means of communications. It can hold and store data and then transmit it upon individual data calls or can be retrieved by a remote server via FTP on an as needed basis. RMUs communicate via radio or some other method that designates them as remote from the central control unit.

1.3.6 RIO

A remote input output (RIO) unit is an automated measurement device

without onboard data storage. It is comprised of a power supply, communication equipment (for transferring data to RMU), and enclosure. RIOs report instrumentation readings upon request from a RMU. A RIO unit may have a multiplexer attached to it.

1.3.7 Multiplexer

A multiplexer is a device used to allow several sensors to share one input channel of the RMU. This allows many more sensors to be read by one RMU. A multiplexer is used in conjunction with RMU software that controls which input channel is being read so that each sensor value is stored in the correct memory location.

1.3.8 Communications

Communications is a method used to allow two devices to "talk" to or exchange information with one another. This can be by wire, fiber optic cable, satellite, radio or other means. In every case there is a preferred "language" for sending the data. This is often referred to as the "protocol". The protocol may be different for each sending method.

1.4 QUALITY ASSURANCE

In addition to using the current state of practice in the field of geotechnical and structural instrumentation and all manufacturer's recommendations for installation and operation; the following Codes, standards, regulations, and references apply for all features of the instrumentation system with the most stringent being applicable: NFPA 70, EM 1110-2-1908.

1.5 MEASUREMENT AND PAYMENT

1.5.1 Method of Measurement

1.5.1.1 Readout Units

Readout unit quantities will be measured by each complete readout unit provided and any peripheral equipment or materials needed for them to perform their data collection function, such as batteries and terminal clips.

1.5.1.2 Data Collector

Data collector quantities will be measured by each complete data collector provided and any peripheral equipment or materials needed for them to perform their data collection function, such as batteries and terminal clips.

1.5.1.3 Instruments

For the following instruments a complete installation means: All materials necessary to provide a fully functioning unit to include, but not be limited to, connection to surfaces, wiring and or wireless communication equipment, enclosures, batteries, as required to fully function with the specified readout and/or data collector device.

a. Vibrating wire piezometer: by each complete installation. Each (EA)

b. Automated In-Place Inclinometer Casing: by the linear foot from the

bottom cap to the ground surface. Any required length over a foot will be counted to the next foot for measurement. All materials for the installation to include casing, cap, grout, inclinometer sensors, and cable, and covers. Drilling of borehole is a separate pay item. Linear Foot (LF) per borehole location.

c. Crack Meter: by each complete installation. Each (EA)

d. Load cell: by each complete installation. Each (EA)

e. Electromagnetic Sensor: by each complete installation. Each (EA)

f. Signal Cable, Linear Foot (LF)

1.5.1.4 ADAS

The Automated Data Acquisition System (ADAS) will be measured on a lump sum basis. This does not include the individual instruments listed in the prior paragraph. This item includes all equipment, supplies, materials, and programming/configuration necessary for a fully functional ADAS which polls and communicates successfully with those data collection devices identified separately above and connects to the existing cloud-based USACE Monitoring Instrumentation Data Acquisition System (MIDAS). This item includes, but is not limited to, the following components:

a. Enclosures and mounts.

b. Data loggers.

c. RMU.

d. RIO.

e. Multiplexer.

f. Power.

g. Software.

h. Laptop computer

i. Transient voltage surge suppressors.

j. Communication hardware and services, including coordination with the Government and related costs to accommodate site data into the existing USACE MIDAS. The Government will be responsible for all MIDAS database and programming modifications.

1.5.1.5 General Instrumentation Requirements

General instrumentation requirements will be measured on a lump sum basis.

1.5.2 Basis of Payment

1.5.2.1 Readout Units

Payment will be made at the Base Bid contract line item price for each readout unit listed below, which price will constitute full compensation for providing the readout unit including factory calibrations, pre

installation acceptance testing, any peripheral equipment or materials needed for them to perform their data collection function, instruction manuals, and delivery to the Government as specified:

a. Vibrating Wire Piezometer: readout unit.

b. Automated In-Place Inclinometer: probe, carrying case, cable, readout unit, and software.

c. Crackmeter/Jointmeter: readout unit.

d. Load cells: readout unit.

e. Electromagnetic Load Sensors: readout unit.

1.5.2.2 Instruments

Payment will be made at the Base Bid contract line item price for each instrument listed below, which price will constitute full compensation for all materials left in place, all cable, labor, tools and equipment, instruction manuals, pre installation acceptance testing, installation, post installation acceptance testing, installation of surface and other protection, determination of as-built location, and all incidentals necessary to complete the work in accordance with the plans and in every respect to the satisfaction of the Government:

a. Vibrating Wire Piezometer

b. Automated In-Place Inclinometer

c. Crackmeter

d. Load cell

e. Electromagnetic Load Sensor

1.5.2.3 ADAS

The Automated Data Acquisition System (ADAS) will be paid for at the base bid contract lump sum price. This item includes all equipment, supplies, materials, programming/configuration, and labor to have a fully functional ADAS. This system must poll and communicate successfully with those data collection devices identified separately above (such as fully grouted piezometers, weather stations, etc.) and connect to the existing USACE MIDAS communication network. This item includes, but is not limited to, the following components:

a. Enclosures and mounts

b. Data loggers

c. RMU

d. RIO

e. Multiplexer

f. Power

g. Software

h. Architecture

i. Transient voltage surge suppressors

j. Communication hardware and services, including coordination with the Government and related costs to accommodate site data into the existing USACE MIDAS. The Government will be responsible for all MIDAS database and programming modifications

1.5.2.4 General Instrumentation Requirements

General instrumentation requirements provided will be paid for at the base bid contract lump sum price. This item includes the following:

a. Protecting and maintaining all instruments.

b. Repairing or replacing damaged instruments.

c. Storing and disposing of instruments.

d. Providing safe access to instruments for data collection by the Government.

e. Monitoring and data collection.

f. Interpreting data.

g. Presenting data.

h. All other items of work specified in this Section for which no separate bid item is provided.

1.5.2.5 Drilling Program Plan

A Drilling Program Plan shall be submitted in accordance with Section 02 32 13 INSTRUMENTATION HOLE DRILLING AND SAMPLING.

1.6 SUBMITTALS

Government approval is required for submittals with a "G" classification. Submittals not having a "G" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Instrumentation Specialist; G, RO

Instrumentation and Monitoring Plan; G, RO

Permit Documentation; G, RO

Backups, Archiving, And Disaster Recovery Plan; G, RO

Cellular Data Communications Viability Report; G, RO

Drilling Program Plan; G, RO

Grounding And Lightning Protection Plan; G, RO

Quick Reference Guide; G, RO

Web Interface; G, RO

SD-02 Shop Drawings

Instrument Modification Report; G, RO

SD-03 Product Data

In-Place Inclinometer; G, RO

Vibrating Wire Transducers; G, RO

Crack Meter; G, RO

Anchor Load Cells; G, RO

Electromagnetic Load Sensors; G, RO

Factory Test Reports; G, RO

Proprietary Data Determination Request; G, RO

Raw Data; G, RO

SD-05 Design Data

Method Statements; G, RO

Grout Mix Design; G, RO

SD-06 Test Reports

Pre-Installation Acceptance Tests; G, RO

Monitoring Data Reports; G, RO

Instrument Alert Assessment; G, RO

Action/Threshold Exceedance Report; G, RO

Installation Record; G, RO

SD-07 Certificates

Instrumentation Specialist Qualifications; G, RO

SD-08 Manufacturer's Instructions

Factory Test Reports; G, RO

SD-10 Operation and Maintenance Data

Installation; G, RO

Instrument Modification Report; G, RO

Operations Manual; G, RO

SD-11 Closeout Submittals

As-Built Drawings; G, RO

ADAS Final Report; G, RO

1.7 INSTRUMENTATION SPECIALIST

1.7.1 General

Acquisition of reliable and high-quality data is the primary objective of the instrumentation specialist. This individual must be retained by the contractor for the duration of the work. Approval of an equivalent backup is required prior to the start of the work. Duties of the instrumentation specialist include selection of the instruments to be purchased by the Contractor; installation, calibration, and maintenance of that specialized equipment in the field; and acquisition and reporting of readings. Supporting work, which does not require specialized skills, may be performed by the construction contractor.

1.7.2 Qualifications

The individual assigned as lead instrumentation specialist must be a registered Professional Engineer (PE) and recognized professional in the field of instrumentation and monitoring of geotechnical and hydraulic structures with over 10 years of work experience relevant to the scope and magnitude of work specified in this contract. An experienced alternate individual, not meeting the PE license requirement, may work under the direction and supervision of the PE to carry out all tasks of the instrumentation specialist, with exception of the selection of alert values, analysis of reported instrument readings, and directed response actions. A detailed, concise narrative describing the instrumentation specialist qualifications and relevant work completed by those experienced alternate individuals on a minimum of 3 projects, within the last 7 years, is required for Government review and approval. Likewise, equivalent backups meeting the aforementioned qualifications must be identified and included with the required submittal of the instrumentation specialist(s).

The responsibilities of the instrumentation specialist are selection of the instruments required for purchase for the work; installation, calibration, and performing necessary maintenance of that specialized equipment in the field; and compiling readings and reporting them. Supporting work, which does not require specialized skills, may be performed by the construction contractor, with prior approval of the Government.

1.7.3 Instrumentation and Monitoring Plan

An Instrumentation and Monitoring Plan must be prepared by the Instrumentation Specialist and submitted for Government approval a minimum of 30 days prior to any work being completed at the site. No physical work is to start at the site until this plan is approved by the Government. At a minimum, the Instrumentation and Monitoring Plan must address the following: responsibilities and authority for all phase of

the monitoring program; types (including detailed manufacturer data), purpose, and location of each instrument; installation procedures (detailed below) and documentation; instrument reliability and limitations; operation and maintenance data including instrument details, their operation, maintenance procedures, calibration records, and safety guidelines, in accordance with manufacturer's requirements and EM 1110-2-1908; redundancy; backup instruments/parts; baseline data; procedures for ensuring data validity; data collection frequency; visual observation; data processing and reporting including example tables and plots; and threshold values and response actions.

Include detailed method statements for installation of each type of instrument. Method statements must list the sequence of carrying out the work and include such dimensioned sketches, completed using computer-aided design and drafting (CADD) software, as may be required to illustrate the spatial or temporal relationship of the various components of the work. The method statements must include:

a. Method of pre-installation acceptance tests.

b. Description of quality control procedures.

c. Full details of both type and quantity of any equipment to be used.

d. Drillhole diameter, drill casing size, the method and sequence of withdrawing drilling casing.

e. Method of cleaning the inside of casing, where applicable.

f. Specifications for proposed grout mixes, including commercial names, proportions of admixtures and water, mixing sequence, mixing methods and duration, pumping methods and tremie pipe type, size and quantity and means of grout quality control.

g. Depth increments for backfilling drillholes with sand and/ or granular bentonite.

h. Method of overcoming buoyancy of instrumentation components during grouting.

i. Method of sealing joints in pipes, tubes, and inclinometer casing to prevent ingress of grout.

j. Method of conducting post-installation acceptance tests.

k. Method of protecting instruments from damage.

l. Method of determining as-built locations.

m. Method of field calibration and maintenance of each type of instrument.

n. Calibration and maintenance schedule for each type of instrument.

o. Method of supplying power, when applicable.

p. Method of monitoring each type of instrument, including method of identifying and eliminating any reading errors.

q. Method of carrying out temperature corrections and/or barometric

pressure corrections, when applicable.

r Method of data reporting for each type of instrument, including method of transmitting and storing data.

s. Drillhole record forms.

1.8 SEQUENCING AND SCHEDULING

1.8.1 Scheduling Work

Install instruments and receive Government concurrence on formal initial readings prior to the start of related construction activities as detailed in paragraph BASELINE READINGS.

1.9 DATA REQUIREMENTS

1.9.1 Data Ownership

All data generated on site by instrumentation, monitoring, construction equipment, sampling, testing, and other data associated with the construction of the project is the property of the Government. For any data the Contractor wishes to exclude from the system, submit a Proprietary Data Determination Request including detailed justification to the Government for determination of whether data can be classified as proprietary. No data source is exempt from these data requirements unless a specific exemption is requested of and granted by the Government. Do not allow "proprietary data" to impede the Government's ability to monitor construction, perform analyses, or evaluate the effectiveness of construction.

1.9.2 Data Integrity

Maintain the integrity of data such that records are accurate and internally consistent. Ensure that all data and records reflect the quality of the data gathered on the site and that all data is preserved and archived for future use.

1.9.3 Backups, Archiving, and Disaster Recovery

Minimize data loss by backup and archival of all digital and paper data records from the time of data generation until final data turnover. This includes having specific policies, workflows, and infrastructure in place to archive and have redundant backups on servers in either the cloud or multiple locations according to industry standard practice. Detail this information in a Backups, Archiving, and Disaster Recovery Plan submittal for Government review and approval prior to the start of work.

If a data loss occurs, the Contractor is responsible for regeneration of the data. Any data which is re-generated from a non-primary source must be clearly noted in the record shown in the EDB. Backup data within 24 hours of generation. Should a data loss occur, even if it is within this 24-hour window, the Contractor is responsible for all steps necessary to recover from this data loss and will receive no additional payment for these data recovery efforts.

1.9.4 Disclosure of Data or Advertisement of Project

Do not disclose any project data to third parties, and do not publish any

data without prior written approval of the Government. This includes, but is not limited to, published papers or presentations to any third parties not associated with this contract.

1.10 DELIVERY, STORAGE, AND HANDLING

Deliver all instrumentation materials to the site in undamaged condition and store in an indoor, clean, dry, and secure storage space, that is approved by the Government, after receipt at the site and prior to installation. Instrument components must not be exposed to temperatures outside the manufacturer's stated working temperature range. The materials, instruments, and hardware must be stored, handled, and installed in such a manner as to preclude damage. The Contractor must restore or replace, at no cost to the Government, any items damaged or lost during storage, handling, or installation.

PART 2 PRODUCTS

2.1 GENERAL

All products in this section must conform to the requirements indicated on the Drawings, or specified herein, to adequately monitor the condition and record data to ensure performance parameters are met. A summary of existing instruments, including those to be modified, as well as new instruments to be installed as part of this contract are included in tabular format in paragraph DESCRIPTION above.

All products must be the standard products of a manufacturer regularly engaged in the manufacture of such.

All components provided for connection with an existing automated data acquisition system (ADAS) must function properly with that system, if it's specified to remain in operation, or with a new system, if one is specified for installation.

2.2 MATERIALS

2.2.1 General

All materials must conform to the Buy American Act. They must be new and meeting the requirements indicated on the drawings or referred to herein, and, when not covered thereby, materials and equipment of commercial grade quality suited to the intended use and as approved by the Government must be furnished. All materials must be compatible and match the existing equipment at the project location, if applicable. If multiples of the same instrument are required, use the same manufacturer for each.

2.2.2 Instrument Factory Calibration

A factory calibration must be conducted on all instruments at the place of manufacture prior to shipment. For each factory calibration include a calibration curve with data points clearly marked and a tabulation of the data and required formulae for data reduction. Ensure each instrument is marked with a unique identification number or serial number. Provide the manufacturer's warranty for each instrument and readout unit. Submit required factory test reports which include testing results, observations, corrective actions, and recommendations to the Government for approval.

2.2.3 Concrete

Concrete used must be in accordance with Section 03 01 00 REHABILITATION OF CONCRETE.

2.2.4 Grout Mixture

Provide a cement-bentonite grout designed to match the properties of the surrounding in-situ materials, with respect to strength and deformation characteristics. Use Type I or II Portland cement. Marsh Funnel viscosity of the grout prior to placement must be between 50 and 60 seconds. Submit the proposed grout mix design to the Government for review and approval prior to start of the work.

2.2.5 Grout/Carrier Pipe (PVC)

Provide sacrificial grout/carrier pipe consisting of PVC conforming to the requirements of ASTM D1785 and as detailed on the drawings. Use PVC 1-1/4 inch Schedule 80 threaded pipe for all grout pipe and connections.

2.3 GROUNDWATER MONITORING

2.3.1 Automated Vibrating Wire Piezometer

Provide small diameter vibrating wire transducers capable of being installed within a 3/4 inch diameter or less riser pipe, as indicated in the contract plans. Install all sensors in accordance with the manufacturer's recommendations. Perform operation and field calibration checks of all instruments. Factory-calibration curves are required for each laser water level sensor, including individual gage factor and temperature correction factor.

2.3.2 Automated Pneumatic Piezometer

Provide pressure transducer converter as recommended per the manufacturer and as indicated in the Contract Plans. Install all pressure transducers in accordance with the manufacturer's recommendations. Perform operation and field calibration checks of all instruments.

2.3.3 Packers

The Contractor shall provide and install packers as needed to facilitate the installation and use of piezometers.

2.4 DEFORMATION/DISPLACEMENT

2.4.1 Inclinometers

2.4.1.1 Automated In-Place Inclinometer (IPI)

Provide fiberglass inclinometer casing in 10-foot long sections with a minimum outside diameter of 2.75 inches. Provide casing section connections in accordance with the inclinometer manufacturer's recommendation. Ensure the casing has high quality flat surface grooves to permit free passage of the probe through curves in the casing without the wheel of the senor coming out of the groove. Casing anchors and grout valves are to be used if required. When grouting, the Contractor shall ensure that any adjacent drain holes are monitored for grout infiltration. A low permeable grout mixture shall be used to minimize the

likelihood of grout infiltration into the drain system. Grouting will not be pressurized to prevent damage to surrounding concrete. In the upper three feet, a higher strength, shrinkage compensating grout shall be placed.

Document casing installation with traversing inclinometer and document two baseline readings prior to installation of IPIs. Baseline readings must be performed at least seven days after grouting the casing. Provide IPI sensors with an accuracy of plus or minus one percent of full scale. Replace casing that exceeds the accuracy of 3 degrees of vertical or spiral limitations of at the contractor's expense.

2.4.2 Crackmeter

Provide crackmeters consisting of a vibrating wire displacement transducer within a stainless steel telescopic body with two anchoring points. Vibrating wire crackmeters must measure over a range of 50 mm, with a total accuracy ranging from plus or minus 0.50 percent to 0.30 percent full scale depending on range capability of the instrument. The vibrating wire crackmeter's frequency range must be between 2250 - 3000 Hz and operable at temperatures ranging from minus 20 to plus 80 degrees Celsius.

2.5 LOAD/STRESS

2.5.1 Anchor Load Cells

Provide vibrating wire type load cells sized for the selected Spillway anchor and a rated load to 650 kips. Three VW gauges shall be installed in each load cell. Cable splicing is not permitted. Alternatively, based on the actual diameter of the object material being monitored, and on the manufacturer recommendations, the throat diameter may be as indicated by the Contractor. Provide centralizer bushings to center the load cell, if necessary. The load cell must be specially hardened to withstand embedment in concrete for long-term monitoring requirements.

2.5.2 Electromagnetic Load Sensors

Provide electromagnetic type load sensors sized for the selected anchor and rated load of 350 kips. Alternatively, based on the actual diameter of the object material being monitored, and on the manufacturer recommendations, the throat diameter may be as indicated by the Contractor.

2.5.3 Load Bearing Plates

Provide load cell and electromagnetic load sensor bearing plates in accordance with manufacturer's recommendations.

2.5.4 Calibration

Calibrate load cells under the following two conditions: 1) normal factory calibration of the load cell/sensor itself and 2) group or set calibration of the load cell/sensor with the bearing plates and lock-off assembly assigned to that load cell/sensor. Switching or transfer of bearing plates/lock-off assemblies is not allowed in the field without factory calibration.

2.5.5 Instrument Readout

Provide required readout device(s) and obtain initial, calibration, and

subsequent manual readings of the sensor output. The readout device(s) must be compatible with the instrument and signal cables, and existing sensors and data logging equipment on site. Data formats must be .csv and compatible with MS Excel software.

2.6 SURFACE PROTECTION

Provide temporary or permanent surface protection in accordance with the plans. Use caution and provide all means necessary to protect the instrumentation from construction activity performed on the construction site. This includes monitoring settlement of fill material in and around the buried conduits, concrete protective blocks at the instrument heads, concrete pads, and related items. Immediately replace any instrumentation equipment that is damaged by construction activities including damage caused by settlement of backfill material due to improper placement or compaction. Access must be maintained to permit periodic measurements and observation by the Government.

Permanent surface protection for piezometers and inclinometers shall consist of stainless steel covers which provide complete protection to the instrument and a flush-mount surface level with the existing concrete surface and sized as indicated on Sheet B-501 of the Project Drawings.

2.7 INSTRUMENTATION ENTERPRISE DATABASE (EDB)

Set-up, maintain and update a documented SQL enterprise database (EDB) for the duration of the contract in which to store all automated data. This can be the same database as the project database if one is utilized.

Store the EDB on the Contractor's servers or the Contractor's cloud storage account for the duration of the contract. Update.csv files of the most current version of the database tables to the SFTP site by midnight daily. Update the most current version of these .csv files to the SFTP site at any time requested by the COR.

Make data available in HTTP (API) or sFTP for automated inclusion in external databases.

Import relevant hydrologic data using publicly available datasets such as USACE CWMS RADAR or Access2Water APIs.

Import all data for existing, active instrumentation in place prior to the contract into the EDB. The Government will provide the data for this purpose. Replace all historical survey coordinates and station offsets in the EDB for any instrument locations surveyed as a part of this Contract. Ensure all coordinates in the EDB are in the project coordinate system utilizing the correct datums.

Upon completion of the contract and before final demobilization, submit to the Government the final EDB .csv files and EDB documentation prior to final payment.

2.8 AUTOMATED DATA ACQUISITION SYSTEM (ADAS)

Provide an Automated Data Acquisition System (ADAS) to collect, process, store and communicate data with other systems. This system is generally to be comprised of sensors/transducers, dataloggers, communications devices, and associated accessories - see contract drawings. Automatically read and store instrumentation data at preset time intervals

and reading frequencies. Provide the capability to modify reading frequency and provide ability to increase reading frequency during a storm event. Automatically scan all instruments for threshold exceedance. Have the ability to trigger alarms based on any of the following types of conditions: static level exceedance, rate of change, moving window rate of change, and time delay with multiple values verification.

Furnish all components and complete installation of all system components, cable, conduit, instruments, transducers, sensors, enclosures, power connections, grounding, and miscellaneous items to make the ADAS completely operational. Submit system design and components for Government approval.

2.8.1 Data Loggers

Provide data loggers that are capable of reading vibrating wire and MEMs sensors, store data internally for 1000 readings, and communicate with compatible protocols. Data loggers must be compatible with data collection devices and other data loggers on site and be capable of storing at least 3 months data in local memory.

Program the data loggers to read the sensors. Annotate the programs with comments pertaining to its function. Data logger communications may be encrypted for security, but the program must be accessible by the Government on systems that will be owned or operated by the Government. Store programs on the data logger in non-volatile memory.

2.8.2 Enclosures

Provide enclosures to protect ADAS components from the environment. Properly size enclosures so components can be neat and organized. (Check NEC requirement for capacity). Enclosures must be stainless steel and rated NEMA Type 4X. For enclosures housing electronics, maintain a low humidity level within by using heaters. Heaters must maintain the interior temperature at least 5 degrees F above the ambient temperature and be thermostatically controlled to shut off at an interior temperature between 86 and 95 degrees F. For outdoor enclosures provide sun shades as shown on the drawings.

2.8.3 Conduit

Provide conduit for all power and instrument wiring. Provide conduit in accordance with Section 26 20 00 Interior Distribution System. Surface conduit must be resistant to UV and rugged to minimize or eliminate damage.

2.8.3.1 Underground Conduit

Bury underground conduit 24 inches below the surface. Install in accordance with NEC regulations.

2.8.4 Locations

See drawings for locations of principal ADAS components.

2.8.5 RMU

Provide Remote Monitoring Unit(s) (RMU) as shown in the contract drawings. The RMU must poll sensors at a specified interval, record raw values, be capable of reducing raw values to engineering units, and communicate data

to other systems.

2.8.6 RIO

Provide Remote Input Output (RIO) device(s), including associated equipment to establish wireless data links, as shown in the contract plans. Provide additional RIO devices as determined by the Instrumentation Specialist. The RIO must poll sensors, as requested by a RMU, and communicate raw values back to the RMU.

2.8.7 Vibrating Wire Analyzer

Provide vibrating wire analyzers that poll vibrating wire transducers and measure the resulting frequency and associated data. The analyzer may be a stand-alone unit or integrated into a datalogger but must have a communications method for transferring data to other devices. The vibrating wire analyzer must use a swept frequency method or Fourier transform and spectral analysis method to determine the frequency of the vibrating wire with an accuracy of plus or minus 0.05 percent or better and read the thermistor of equipped sensors.

2.8.8 Multiplexer

Provide multiplexers that are compatible with the new sensors/transducers and measurement devices on site.

2.8.9 Power

Size power supply batteries appropriately to power the equipment for 3 days without recharge. Power supply batteries must comprise a rechargeable battery or batteries, charge controller, and power source. Power source is the existing 120-volt ac, single-phase site utility power. Batteries must be of sealed lead acid chemistry.

2.8.10 Grounding

Grounding and lightning protection must be designed by an electrical engineer with experience in lightning protection. Include this design, with Professional Engineer's signature and stamp, in a Grounding and Lightning Protection Plan submitted for Government approved. Ground all enclosures and equipment that have grounding terminals. Install ground rods in accordance with the electrical engineer's recommendations regarding construction, size, spacing and allowable resistance. Install and maintain the transient voltage surge suppressor in accordance with the Electrical Engineer's grounding and lightning protection plan. Special care should be taken to protect sensors that are grouted in a borehole, or other inaccessible sensors.

2.8.11 Communications

Provide wired and wireless (unlicensed spread-spectrum radio) communications between data logging devices and associated multiplexers and RIO devices.

If cellular data communications are deemed viable based on the results of the Cellular Data Communications Viability Report specified herein, provide wireless cellular data communications between the RMU and the existing USACE MIDAS data hosting service and between the RMU and the Web Interface specified in Part 3. Coordinate with and assist the Government

in establishing a suitable cellular data service subscription with the Government's preferred cellular data service provider. Government will pay all initial and ongoing costs for this service. If cellular data communications are deemed not viable, coordinate and assist the Government in establishing a suitable, alternate means of data service to the site. Government will pay all initial and ongoing costs for the alternate service.

The URL for the USACE MIDAS database is " https://midas.sec.usace.army.mil/midas". Coordinate with the Government identities of all monitored points, polling and update frequencies, engineering units, alarm thresholds, communication parameters (IP and port addresses, etc.), required for the MIDAS interface with the Government. The Government contact is Scott Massa, email: daniel.s.massa@usace.army.mil.

2.8.11.1 Cellular Data Communications Viability Report

Conduct physical field testing using the Government's preferred cellular data carrier to determine viability of data service to the site. Testing shall be done from the dam deck at the proposed location of the cellular antenna using a radio transceiver and antenna similar to those proposed for the permanent installation. Testing must include actual internet data exchange with a sample web interface, measurements of bit- or packet-error rate (or similar parameter), measurements of received signal strength, calculation of fade margin with direct confirmation using antenna feedline attenuators, and calculation of path availability based on the testing. Fade margin and path availability shall be adjusted to accommodate the proposed cellular transceiver's sensitivity if it is different from that of the test unit. All test procedures shall be conducted on each of three consecutive days at different times: e.g., first day in the morning, second day at midday, third day late afternoon.

Cellular communications are considered viable if the average of all measured bit- or packet-error rates is below 10-5 (1 in 100,000) and the minimum calculated path availability is 99 percent or higher. Submit a report showing detailed results of all testing, including a narrative explaining each test, equipment used, and any special analysis software or web sites used.

If the cellular data communications are deemed "not viable," Contractor shall coordinate with the Government to select an alternate method of communications, whether through a different cellular carrier or by using another technology, such as satellite-based internet.

2.8.12 Software

Provide instrumentation software that communicates with the automated data acquisition system suitable for installation on the Laptop Computer specified herein. The software must allow remote retrieval of data, updating of programs and configuration, and viewing of data from the automated systems.

Provide inclinometer software suitable for installation on the Laptop Computer specified herein that communicates with the manual traversing data collection device. The software must plot greater than three cumulative displacement plots referenced back to a baseline survey and allow for corrections of the data for spiral and bias.

2.8.13 Laptop Computer

Provide one laptop computer, which shall be a portable personal computer specifically provided to allow field interaction with the ADAS components and instrumentation specified in this section.

At a minimum, the laptop computer shall be loaded with the latest commercially available Microsoft "Windows" operating system and the instrumentation and inclinometer software specified in this section. The laptop shall be loaded with a commercially available web browser to allow connection to the web interface described in Part 3 of this section and other web-based data hosting sites. For cybersecurity reasons, the laptop computer must not connect to the USACE MIDAS at any time.

The laptop computer shall have a nominal 15-inch or larger active color matrix liquid crystal display, capable of displaying a minimum resolution of 1920 by 1080 pixels, and a dual-core, 64-bit processor operating at a minimum of 3.1 GHz. The laptop computer shall have, as a minimum, 250 GB solid-state hard drive, 16 GB of RAM, integral pointing device, serial port or external USB-type serial converter (if needed for datalogger or instrumentation communications), HDMI or DisplayPort video port for an external color monitor, IEEE 802.11 b/g/n Wifi capability, rechargeable battery, battery charger, compatible 10/100/1000Base-T network adapter, integrated audio, and two USB ports including at least one USB 3.0 port. The laptop computer shall be provided with the proper cables, adapters, media converters, and software required for its application.

2.8.14 Architecture

A notional ADAS architecture is shown on the drawings. The Instrumentation Specialist may modify the architecture to accommodate the ADAS equipment provided.

2.8.15 Wiring Diagram

Submit full color wiring diagrams of the ADAS enclosures in the ADAS Final Report. Conduit, trenching, and cabling locations must be surveyed during installation and survey used in preparation of the required as-built drawings submittal.

2.8.16 Instrumentation Schedule

Provide an instrumentation schedule which includes the unique identifiers for each instrument, instrument description, purpose, make, and models.

2.8.17 System Maintenance and Spare Parts

Provide all hardware and/or other necessary item(s) required to ensure the entire instrumentation and data acquisition/reporting system is functioning according to manufacturer's specifications, and for maintaining the system in satisfactory working order for the length of the contract. This includes appropriate spares for repairing or replacing inoperable or unreliable components according to the expected replacement rate in the list below, or as otherwise as directed by the contract. All system maintenance must be performed in accordance with the manufacturer's requirements and EM 1110-2-1908.

Before work begins, prepare a list of all extra components required for continuous operation of the system and quantities to be stockpiled on-site

according to the estimated replacement rate per the manufacturer. Submit this list to the Government for approval. The items on the approved list must be made available at the site during the entire period of the delivery order. If a stockpiled item is used, it must be replaced immediately. In the event of a malfunction or breakdown beyond the frequency below or beyond the control of the Contractor, notify the Government of the nature of the malfunction or breakdown within 12 hours, and provide an estimate of when that part of the system will be back in service if the Government approves a replacement. Depending upon the status of the construction at that time, the Government will decide whether or not a manual backup system must be implemented by the Contractor.

Sensor or board replacements must be of the same model (manufacturer) and type as installed in the field at award of this contract unless a newer technology can be provided that meets the same or better requirements and performance. All deviations require approval of the Government prior to replacement. Replaced instrumentation components must be programmed and shown to functionally work with the system prior to Government acceptance.

PART 3 EXECUTION

3.1 PRE-INSTALLATION ACCEPTANCE TESTING

Perform pre-installation acceptance tests to ensure sensors and readout units are functioning correctly prior to installation. Blank pre-installation acceptance test record forms for each instrument type must be provided by the Instrumentation Specialist. For pre-installation acceptance tests, include relevant items from the following list:

a. Examine factory calibration curve and tabulated data to verify completeness.

b. Examine manufacturer's final quality assurance inspection checklist to verify completeness.

c. Check cable length.

d. Check serial numbers on instrument and cable.

e. Check, by comparing with procurement document, that model, dimensions, and materials are correct.

f. Perform resistance and insulation testing, according to criteria provided by the instrument manufacturer, using a gage insulation or circuit tester that applies two volts or less for resistance testing and 15 volts or less for insulation testing.

g. Verify that all components fit together in the correct configuration.

h. Check all components for signs of damage in transit.

i. Check that quantities received correspond to quantities ordered.

Repair or replace any instrument that fails the specified pre-installation acceptance test.

3.2 PRODUCT HANDLING

3.2.1 Transportation and Handling

Pack, transport, and handle all monitoring equipment in accordance with the manufacturer's instructions. Arrange deliveries of monitoring equipment with proper sequencing and scheduling in accordance with the approved Project Schedule. Coordinate deliveries to avoid conflict with work, conditions at the site, and availability of personnel and handling equipment.

3.2.2 Storage and Protection

Use all means necessary to protect monitoring equipment before, during, and after installation and to protect installed work and materials including existing instrumentation installed by others. Store all monitoring equipment in strict accordance with the manufacturer's recommendation with all labels and seals intact and legible. Arrange storage of monitoring equipment to permit access for inspection. Periodically inspect to assure monitoring equipment is undamaged and is properly maintained. Replace in kind any equipment lost, damaged or stolen due to negligence on the part of the Contractor at no additional cost to the Government.

3.3 INSTALLATION

3.3.1 General

Provide all labor, equipment, materials, and incidentals required to install and read the instruments as shown on the Contract Drawings. Install instruments in accordance with approved method statements and the manufacturer's recommendations. Cable splicing is not permitted. Upon completion of the installation, test each instrument in accordance with the recommendations of the manufacturer. The Contractor is solely responsible for installation and the performance of the instrumentation after installation. After installation replace any inoperative or poorly performing (not within an acceptable range/calibrations) instrumentation at no additional cost to the Government. Obtain all necessary permits and pay associated fees required to construct the project. Implement the terms and requirements of the permits. Submit Permit Documentation to the Government for record.

Artesian conditions, including pressures nearing full pool elevation, have been previously encountered in existing piezometers PZ-3, PZ-8, and PZ-14, while other existing piezometers have previously encountered more minor flowing conditions near gallery floor elevation. Contractor shall anticipate artesian groundwater conditions and be prepared to accommodate these pressures during installation.

3.3.2 Installation Plans

As outlined in paragraph INSTRUMENTATION AND MONITORING PLAN, detailed method statements for installation of each type of instrument must be submitted for Government review and approval prior to commencing installation.

3.3.3 Notification and Documentation

All installations may be monitored by the Government's Representative.

Notify the Government Point of Contact at least 24 hours prior to the installation of each instrument. For each instrument installed, prepare, and submit an Installation Record in PDF format, including but not limited to items listed below. Enter all as-built metadata for each instrument into the enterprise database for the project detailed in paragraph AVAILABILITY OF MONITORING DATA.

3.3.4 Instrument Coordinates

Survey final location of all installed instruments utilizing the survey control precision and accuracy requirements required by this Contract. Provide easting and northing survey coordinates in accordance with the system and datums for the project. Provide all relevant elevations for installed components and sensing regions, and hole inclination and azimuth as directed by the Government. Ensure all station and offset measurements for new instruments utilize the established station line of the project.

3.3.5 Borehole Installations

Perform borehole drilling in accordance with the Section 02 32 13 SUBSURFACE DRILLING AND SAMPLING. No drilling work can occur on the dam until a Drilling Program Plan has been approved by the Government. Appendix B of ER 1110-1-1807 defines the format of the Drilling Program Plan.

Installation procedures for instruments in boreholes must be performed in such a manner that all steps in the procedure can be quality assured. For each hole, maintain a detailed log, recording conditions encountered. Prior to installing any instrument through drill casing or augers, thoroughly remove all cutting and material adhering to the inside of the casing or augers. Instrument installation in a borehole must be completed in a continuous operation. Partially completed instrument installations must not be left in unsupported boreholes overnight. For boreholes in which piezometers are to be installed, bentonitic drilling muds must not be used. For holes where instrument casing is installed, verify that the drilled hole within two degrees of vertical (plumb) throughout its length and is at the correct depth. Withdraw outer casing after instrument casing is installed and as the hole is being backfilled. Do not rotate the casing during removal. Steel outer casings remain the property of the Contractor. Fill annular void between drill hole and instrument casing as indicated. Backfill each instrument specifically as indicated in its installation specification below or as indicate on the drawings. Backfill must be brought up in an equal fashion surrounding the instrument and/or casing. Measure the depth to fill surface as the work progresses (at top of each material increment) and confirm the depth reasonably matches the expected depth based on the volume of material placed. Record the backfill quantities on the installation record.

3.3.6 Gravity Grouting

Gravity grouting will be performed and must proceed from the bottom of the hole to the top of the hole. During the grouting operation, all face drains within 50 feet of a borehole along the dam centerline shall be continually flushed with lake water. The flushing shall continue until the grout level has remained stable for one hour. Joint drain discharge outlets into the lower gallery shall be observed during the grouting. The outlets within 50 feet of the grouting operation shall be observed for grout. If grouting compromises a face drain or joint drain, grouting will stop, and the grout will be removed from the hole. A method for

re-grouting the hole with a sanded grout will be submitted for approval by the Contracting Officer.

See the requirements in Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS for disposal of excess grout. The Contractor shall provide a sump pump to assist in collection of water from the drain sump. Water collected during the flushing shall be treated as Wastewater defined in Section 01 57 19 paragraph 3.7.6 Wastewater.

3.3.7 Groundwater Monitoring

3.3.7.1 Vibrating Wire Piezometer

Vibrating wire transducers must be prepared and installed in accordance with the manufacturer's recommendations. Foundation Drains 14.2, 14.3, 14.4, and 15.1 are known to be interconnected with PZ-15. Contractor shall internally inspect these foundation drains and PZ-15 to consider the installation of packers for the use of PZ-15.

After completion of installation, take a baseline reading. A baseline reading will consist of the average of a minimum of three stable readings. Construct surface components and piezometer cable routing and burial as specified and/or shown on the drawings. Protect instrument cables from mechanical or weather related damage. Free ends of cables must be protected at all times. Accurately record and clearly mark the position of all buried cables. Install stainless steel flush-mount cover in accordance with paragraph SURFACE PROTECTION, level with the concrete surface to protect the top of instrument and cable. Include any issues or changes that occurred during the construction in the Installation Record submittal, along with all required installation documentation detailed in paragraph NOTIFICATION AND DOCUMENTATION.

3.3.7.2 Pneumatic Piezometer

Install pressure transducer converter per manufacturer's recommendations. Ensure that pressure transducer is compatible with selected data logger equipment and ensure that selected pressure transducer is rated for the historically observed pressure ranges of the existing piezometers. Calibrate the transducer to convert the pneumatic pressure to an electrical signal and perform calibration by applying known pressures and adjusting the transducer accordingly. Wire the transducer's analog output to the corresponding data logger and configure the data logger. Pressurize the system and verify that the data logger is recording expected pressure values. Install stainless steel flush-mount cover in accordance with paragraph SURFACE PROTECTION, level with the concrete surface to protect the top of instrument and cable.

3.3.8 Deformation/Displacement

3.3.8.1 Inclinometer Casing and Sensors

Install inclinometer casing immediately after each boring is complete and flushed in accordance with Section 02 32 13 INSTRUMENTATION HOLE DRILLING AND SAMPLING to the design depth specified and as close to vertical as possible. Anchor casing prior to grouting to prevent excess deformation. Orient inclinometer casings such that one axis of the internal grooves are perpendicular to the dam axis. Maintain groove orientation throughout installation. During and after installation, casing groove spiral must not exceed one degree per 10 feet of length.

After completion of installation, complete a post-installation acceptance test to verify that there is no grout in the inclinometer casing, that groove orientation, spiral limitations, and verticality satisfy the specifications, and that the inclinometer probe tracks correctly in all four orientations. Perform a vertical survey of the installed inclinometer casing at 2-foot depth intervals using the standard probe, to determine the vertical profile of the casing, and develop an initial data set. Install stainless steel flush-mount cover in accordance with paragraph SURFACE PROTECTION, level with the concrete surface to protect the top of instrument and cable.

In-place Inclinometers shall be installed according to the manufacturer's recommendations. The sensors shall be oriented to that the primary (A) axis is perpendicular to the axis of the dam. Include any issues or changes that occurred during the construction in the Installation Record submittal, along with all required installation documentation detailed in paragraph NOTIFICATION AND DOCUMENTATION.

3.3.8.2 Crackmeters

Prior to crackmeter installation, the Contractor shall perform a walkthrough with a representative from the Government inspecting each crack to be monitored and reviewing previous data for each instrument. The Contractor shall confirm with the Government the location for each new automated crack meter placement, the range for each new instrument which should be sufficient for elongation and/or contraction, the setting distance for each instrument, and the path for cable routing, including where cuts into the existing concrete will be made.

Crackmeters shall be installed in accordance with manufacturers recommendations.

3.3.9 Load/Stress

3.3.9.1 Anchor Load Cells and Electromagnetic Load Sensors

Conduct a minimum of three sequential lift-off tests to determine the correlation between the actual hydraulic jack load and the measured load in the load cell/sensor following lock-off. Following lock-off and lift-off testing, read the load cell/sensor twice daily for a period of at least one week to document drift or changes in the load cell/sensor readings. If readings do not stabilize within approximately 1 percent of the lock-off load, provide an assessment in writing for approval of the possible reasons for the drift and the results submitted. Contractor must not backfill around the load cells/sensor until drift characteristics have been documented, submitted in writing, and approved by the Government.

3.4 DATA COLLECTION

At a minimum, collect the following data as applicable to each type of instrument installed:

a. Instrument ID Name

b. Instrument Type

c. Date and Time

d. Reservoir Pool Elevation

e. Tailwater Elevation

f. Observer

g. Readout unit number and last calibration date if appropriate.

h. Readings

i. Visual Observations (e.g. loose mounting materials, rusting, battery leakage, UV damage to instrument, wire or casing)

j. Other pertinent data, including weather, temperature, construction activities, and any events that could influence change in the data.

3.4.1 Baseline Readings

Obtain initial readings from all instruments immediately after their installation and enough times (see reading frequencies in table of paragraph Frequency of Monitoring) before construction begins in order to verify that the instrument readings have stabilized, and initial (ambient) conditions are established. Collect initial or baseline readings for a minimum of 1 month. Evaluate baseline readings and determine the cause of any data anomalies recorded.

3.4.2 Frequency of Monitoring

The minimum frequency of monitoring presented herein (see table below) may be subject to adjustment in accordance with field behavior, or as requested by the Government. The frequency and extent of monitoring are subject to change in accordance with the threshold and limiting levels described herein or as established in the approved Instrumentation and Monitoring Plan.

Instrument Type	Data Collection Method	Reading Frequency (Minimum)
Crack ~~Pins~~Meters	~~Manual~~Automated	~~Weekly~~ ~~Weekly/~~Daily
Inclinometers	~~Manual~~Automated	~~Weekly~~ ~~Weekly/~~Daily
Piezometers~~ or Observation Wells~~	~~Manual~~Automated	~~Daily~~ ~~Every 15 minutes~~Hourly
~~Pressure Relief Wells or Well Points~~Anchor Load Sensors (Vibrating Wire and Electromagnetic)	~~Manual~~Automated	~~Weekly/Daily~~Daily

3.4.3 Instrumentation Metadata

At a minimum, collect the following data for each instrument installed and add to the appropriate table of the instrumentation EDB:

a. Instrument ID

b. Instrument type

c. Instrument manufacturer

d. Date of installation

e. Automation status

f. Instrument easting and northing coordinates in the same coordinate system and datums as existing instrumentation.

g. Instrumentation surface elevation

h. Instrument bottom of installation elevation

i. Top and bottom elevations of all instrument sensing zones (as applicable)

j. Top and bottom elevations of all relevant installed features (i.e. concrete, filter, sensors, standpipe)

3.4.4 Automated Data Acquisition System (ADAS)

3.4.4.1 Cyber Security Compliance (ECB 2018-11)

Automated data acquisition systems collect, process, store and transmit data. They are not SCADA systems and thus they will not control any critical infrastructure including but not limited to gates, valves, utilities, traffic control, security, fire, or life safety systems.

3.4.4.2 Programming

Programming of dataloggers must be performed in a logical, well-annotated way. Data logger communications may be encrypted for security, but the program must be accessible by the Government on systems that will be owned or operated by the Government. Provide a copy of the program with the code annotated. The annotations must be descriptive and explain the different sections and clearly define constants.

3.4.4.3 Testing

Install and test the automation system in the presence of the Government's designated representative.

3.4.4.4 Project Specific Operations Manual

Submit an operations manual including wiring diagrams, photos of the inside of each Data Logger, RIO, LMU, RMU, or other boxed transmission device as well as each instrument location with clear views of the instrument showing wiring and location of all components, cut sheets, calibration sheets, datalogger programs, component configuration settings, troubleshooting, as-built drawings, and maintenance requirements.

3.4.4.5 As-Builts

Provide as-built drawings including installation/construction diagrams as well as instrument locations, datalogger or other readout devices, conduit, trenching and cabling. In addition to full scale drawing

as-builts required as part of the construction contract, include 11 x 17-inch size as-builts as an appendix in the Project Specific Operations Manual.

3.5 ANALYSIS, REPORTING, AND PRESENTATION OF MONITORING DATA

If not explicitly stated herein, analysis, reporting, and presentation of monitoring data must be consistent with the guidance provided in EM 1110-2-1908.

3.5.1 Analysis of Monitoring Data

Compare each acquired instrument reading with the previous readings of that instrument and the expected reading based on site conditions and in reference to baseline readings. Interpretation of data also includes making correlations between instrumentation data and specific construction activities. Determine whether the instrumentation response to construction activities is reasonable. Data interpretation and analysis should consider instrument precision levels and errors, data capturing errors and necessary corrections in accordance with the manufacturer's instructions. In addition, data analysis should consider connections to records of construction and weather-related activities at the site. Perform analyses in a timely manner to capture indications of distress development, the possible need for instrument maintenance, and to check for proper functioning. Determine if a threshold value has been exceeded, and if so, proceed in accordance with paragraph RESPONSE ACTIONS. If the comparison indicates that changes are not typical of previous changes, determine whether the reading is erroneous or legitimate. Erroneous readings include readings outside the accuracies, repeatability, standard deviations, and tolerances specified herein or as indicated by the manufacturer. Ensure, and document, proper quality control is being conducted on the analyses and results.

3.5.2 Monitoring Data Reports

Submit monitoring data reports, consisting of all processed instrumentation data converted to standard English units of measure, to the Government's Representative at defined intervals throughout the construction period. Include readings for all instruments. Readings are to be cumulative for the reporting period. NO DAILY AVERAGING OF AUTOMATED DATA WILL BE ALLOWED.

a. For the duration of the contract, submit weekly Monitoring Data Reports within 24 hours from the last day covered in the report. Each report must include analysis of that week's data collection and findings in relationship to work performed on-site which may impact readings. Provide cumulative plots of data for the reporting period. Plotted values must be discrete - no daily averaging between successive data points. Reports may be requested at a higher frequency by the Government for special instrumentation evaluation purposes. Provide such reports following the same format as weekly reports or as directed by the Government's authorized representative.

b. Ensure all erroneous data is masked from plots, but it must remain in the raw data file and be flagged as erroneous data in the database within a specifically designated field.

c. Reduce all automated sensor readings in accordance with the manufacturer's recommendations and equations.

3.5.3 Presentation of Monitoring Data

3.5.3.1 Web Interface of Interactive and Static Reports of Data

Through the use of a secure web interface, establish interactive and static reports generated from the instrumentation data gathered at the site. This requirement is separate from the presentation of monitoring data using the existing USACE MIDAS. Modifications to the USACE MIDAS will be performed by the Government and those modifications may or may not be complete within this project's duration.

The interface must be intuitive, easy to navigate, and include all graphical plots and displays as detailed in this section. Thirty days prior to project completion, provide a presentation (for training purposes) of all components of the web interface for submittal approval by the Government.

3.5.3.2 Quick Reference Guide

Thirty days prior to project completion, produce and submit a digital and paper Quick Reference Guide for use of the website that meets the following minimum criteria:

a. Describes in detail the website structure and contents.

b. Describes in detail the location and steps to use the features and functionality.

c. Describes in detail how to download plots and other graphical displays.

d. Is designed in a simplified, indexed, and well-organized manner.

e. Includes web addresses of web-hosted sites in use, and POC information for administrators who can provide user-access to the Government.

3.5.3.3 Training

Thirty days prior to project completion, provide training sessions, on site or at the Government office - as coordinated with the Government, to familiarize and train Government users on the web interface. Provide in-person and virtual options for attendees.

3.5.3.4 Graphics

a. Reduce piezometric data to elevation in feet and plot it, along with headwater and tailwater elevations, versus time. The Y axis showing elevation must not be a dynamic axis. Plot values together on one scale range of the X axis.

b. Reduce alignment pin readings to total horizontal and vertical movement (in inches) from the initial positions and plot versus time.

c. Reduce and plot inclinometer data vs depth.

d. For weekly reports, the data plotted versus time must be shown in plots spanning the one-month report period, one year period, and lifetime period. Indicate on the plots maximum and minimum instrument readings spanning the life of the instrument. Include the following

information for the reading data:

(1) instrument location number,
(2) date of reading,
(3) reduced readings,
(4) all remarks from any field observations,
(5) water elevation,
(6) other work completed within the vicinity plotted on the date in which it took place,
(7) excavation elevation near that instrument, and
(8) ambient temperature.

e. Plot Automated Robotic Total Station Monitoring system data as x, y, z and resultant displacement versus time, and provide in tabular format.

3.5.3.5 Discussion

All automated data must include corrections for temperature and/or barometric pressures changes, as recommended by the manufacturer (and necessary for calculations). In all reports generated, include a written description of how the data was reduced, including any equations utilized.

3.6 AVAILABILITY OF MONITORING DATA

3.6.1 Website Access

3.6.1.1 Security Credentials

Provide 24/7 read access to the data to the Government, the Contractor, and any related subcontractors for the duration of this contract. Within 30 days of Notice to Proceed, provide the COR any necessary username and password or other security credential for access. For new personnel requested by the Government throughout the contract, grant access and provide security credentials within 48 hours of the request.

3.6.1.2 Data Update and Display

The website must update all automated data within 24 hours of data collection at a specific instrument. Plot scales, offset, and layouts must be editable by users, with the new selected defaults saved for each user.

Displays must include automatically updated plots from all instrumentation as outlined in ANALYSIS, REPORTING AND PRESENTATION OF DATA.

3.6.1.3 Site Operability and Useability

The website must be fully functional, current, easy to navigate, accessible through Government VPN, and complete with all instrumentation data available for retrieval by both automated and manual methods. Demonstrate the system to the Government prior to System/Website Training. If the website is not functional, with data available and easy to read, pertinent payments will be withheld until the issue is resolved and acceptable to the Government.

3.6.2 Raw Data

Furnish all collected data in a native CSV or TOA5 Data Format. Data must have, at a minimum, Instrument Id, timestamp, and value. Submit raw data

files via the project SFTP within 24 hours of generation.

3.7 THRESHOLDS AND RESPONSE ACTIONS

3.7.1 Threshold Values

Monitor instrument readings against the Alert and Action Threshold Values as defined individually for instruments in the table below. The actions to be implemented when these Threshold Values are reached are referred to as Response Actions and are explained in paragraph RESPONSE ACTIONS. Threshold values must be identified on respective plots.

THRESHOLD AND ACTION VALUES				
Instrument Type	Instrument ID	Threshold Value	Action Value	Unit of Measure
Piezometer	PZ-1	984.4	1000	Elevation (ft)
	PZ-2	984.4	1000	
	PZ-3	984.4	1000	
	PZ-4	806.4	840.1	
	PZ-5	806.4	840.1	
	PZ-6	806.4	840.1	
	PZ-7	806.4	840.1	
	PZ-8	984.4	1000	
	PZ-9	806.4	840.1	
	PZ-10	806.4	840.1	
	PZ-11	806.4	840.1	
	PZ-12	806.4	840.1	
	PZ-13	806.4	840.1	
	PZ-14	984.4	1000	
	PZ-15	806.4	840.1	
	PZ-16	806.4	840.1	
	PZ-17	806.4	840.1	

In-Place Inclinometer	IPI-1	±0.5	±0.1	inch
	IPI-1A	±0.5	±0.1	
	IPI-2	±0.5	±0.1	
	IPI-2A	±0.5	±0.1	
Crack Meter	CM-1 through CM-22	±0.05	±0.10	inch
Anchor Load Sensor	M10-A1-NW through M12-A4-NW	40	120	kips per square inch

3.7.2 Response Actions

If an Alert Threshold Value is reached, the Contractor's Instrumentation Specialist must immediately evaluate the instrument response by collecting a manual reading if possible, and also in relation to weather and physical conditions at the location of the instrument and provide an update to the Contractor and Government.

Within 24 hours, analyze the instrument response and submit an Instrument Alert Assessment providing an assessment of the cause of the alert and predict further responses and their effect based on the trend to date. Include recommendation for any corrective action, if needed.

If a Threshold Value is reached, the Government must immediately be notified and the activity causing this change in value should be identified and the instrument should be continuously monitored. An Action/Threshold Exceedance Report documenting the incident including current work activities and potential cause(s) of the alarm. Construction activities may continue with close monitoring of the instrument.

If an Action Value is reached, the Contractor must immediately stop work, remove personnel from the affected work area, and implement the emergency response actions included in the Instrumentation and Monitoring Plan previously approved by the Government. The Instrumentation Specialist must immediately evaluate the instrument response with respect to physical conditions at the location of the instrument.

Submit a detailed Action/Threshold Exceedance Report documenting the site conditions, such as weather, and construction activities when the action value was reached, analysis of the cause(s) for exceedance, and recommendations for any corrective actions needed. Resume suspended activities only after receiving written instruction from the Government.

3.8 SYSTEM RELIABILITY

The entire instrumentation system including datalogging equipment, servers, sensors, wiring, etc. must be maintained at all times. If in the Government's opinion the instrumentation system is not functioning properly, as demonstrated by unreliable and/or questionable readings, all construction work must cease immediately and not resume until the system is performing as specified. No additional compensation will be made by the Government for any cessation of work. The Government will determine whether the performance of the instrumentation system is satisfactory to resume work.

3.9 MAINTENANCE AND REPLACEMENT

Before work begins on a delivery order, prepare a list of all extra components that are required for continuous operation of the system and quantities to be stockpiled on-site according to the estimated replacement rate per the manufacturer recommendation. Submit this list to the Government for approval. The items on the approved list must then be available at the site during the entire period of the delivery order. If a stockpiled item is used, immediately replace it with the same item that was used.

If an instrument is repaired, replaced, or moved subsequent to installation, record new: instrumentation type, as-built location, and calibration sheets. Submit an Instrument Modification Report to the Government detailing the reason the original instrument was altered and the date the new instrument was operational.

For the duration of the contract period, maintain all instrumentation installations in progress and all completed instrumentation installations. In the event of a malfunction or breakdown, notify the Government of the nature of the malfunction or breakdown within 12 hours of initial observation of its occurrence, and provide an estimate of when that part of the system will be back in service if the Government approves a replacement. Depending upon the status of the construction at that time, the Government will determine whether a manual backup system must be implemented by the Contractor. If an instrument does not function properly for a cumulative total of 10 calendar days or more within any 30 consecutive calendar day period, the Contractor will not be provided the payment for item General Instrumentation Requirements until repairs have been made and approved by the Government.

3.10 PROJECT CLOSEOUT AND RESTORATION

Within 30 days upon completion of construction, as determined by the Contracting Officer, the Contractor must submit to the Government for review closeout submittals including As-built drawings for all instrumentation and the ADAS final report. Retrieve all removable equipment and backfill holes with an approved grout mix. Any protruding parts, which cannot be removed completely without damage to the structure must be cut off flush with the surface. Remove all sharp edges. Repair any damage to existing structures from removal of instrumentation devices to the satisfaction of the Government and at no additional cost to the Government.

-- End of Section --