ATTACHMENT O

INFORMATION TECHNOLOGY (IT) SECURITY APPLICABLE DOCUMENTS LIST

June 2016

RFP NNG17611358R

CONTRACT TBD

Information Technology (IT) Security Applicable Documents List
June 2016

NASA Policy Directives (NPD) and NASA Procedural Requirements (NPR)		
Document	**Subject**	**Effective Date**
NPR 1382.1A	NASA Privacy Procedural Requirements	July 10, 2013
NPD 1382.17H	NASA Privacy Policy	June 24, 2009
NPD 1440.6I	NASA Records Management	September 10, 2014
NPR 1441.1E	NASA Records Management Program Requirements	January 29, 2015
NPD 2540.1H	Personal Use of Government Office Equipment Including Information Technology	February 24, 2016
NPD 2800.1B	Managing Information Technology	March 21, 2008
NPR 2800.1B	Managing Information Technology	March 20, 2009
NPD 2810.1E	NASA Information Security Policy	July 14, 2015
NPR 2810.1A	Security of Information Technology w/ Change 1, May 19, 2011)	May 16, 2006
NPD 2830.1A	NASA Enterprise Architecture	November 2, 2011
NPR 2830.1A	NASA Enterprise Architecture Procedures	December 19, 2013
NPR 2841.1	Identity, Credential, and Access Management	January 6, 2011

NASA Interim Directive (NID)		
Document	**Subject**	**Effective Date**
NM2810-64	NASA Interim Directive: Information Technology Security and Efficiency Requirements	May 22, 2008
NID 7120.99	NASA Information Technology and Institutional Infrastructure Program and Project Management Requirements	December 22, 2011

NASA Interim Technical Requirements (NITR)		
Document	**Subject**	**Effective Date**
NITR 2800_2	Email Services and Email Forwarding	September 18, 2009
NITR 2800_1	NASA Information Technology Waiver Requirements and Procedures	August 13, 2009

IT Security Handbooks (ITS-HBK)		
Document	**Subject**	**Effective Date**
ITS-HBK-1382.02-01	Privacy Goals and Objectives	July 27, 2012
ITS-HBK-1382.03-01	Privacy Risk Management and Compliance: PIAs and SORNs	September 25, 2012
ITS-HBK-1382.03-02	Privacy Risk Management and Compliance: Annual Reporting Procedures for Reviewing and Reducing PII and Eliminating the Unnecessary Use of SSN	September 7, 2011
ITS-HBK-1382.04-01	Privacy and Information Security: Overview	August 28, 2012
ITS-HBK-1382.05-01	Privacy Incident Response and Management: Breach Response Team Checklist	September 25, 2012
ITS-HBK-1382.06-01	Privacy Notice and Redress: Web Privacy & Written Notice, Complaints, Access and Redress	September 7, 2012
ITS-HBK-1382.07-01	Privacy Awareness and Training: Overview	September 7, 2014
ITS-HBK-1382.08-01	Privacy Accountability: Overview	August 28, 2012
ITS-HBK-1382.09-01	Privacy Rules of Behavior and Consequences: Overview	September 7, 2012
ITS-HBK-2810.0001-B	Format and Procedures for an IT Security Policies and Handbooks	June 19, 2014

IT Security Handbooks (ITS-HBK)		
Document	**Subject**	**Effective Date**
NITR 2810.1	NASA Information Technology Security Disclaimer	Sept 30, 2014
ITS-HBK-1441.01.01	Records Retention and Disposition: Overview	Jul 02, 2014
ITS-HBK-1440.01.01	Records Planning & Management: Records	Jul 02, 2014
ITS-HBK-2841.001-A	Identity, Credential, and Access Management Services	Feb 01, 2011
IT-SOP-2841.001-A	Identity and Credential Service Providers Federation Requests	Feb 01, 2011
IT-SOP-2841.002-A	Identity, Credential, and Access Management (ICAM): Service Deviation Requests Management & Records Life Cycle-Overview	Feb 01, 2011
IT-STD-1441.1	NASA Records Retention Schedules	May 07, 2014
ITS-HBK-2810.02-01	Security Assessment and Authorization	May 6, 2011
ITS-HBK-2810.0002-A	Roles and Responsibilities Crosswalk & Definitions	May 2, 2013
ITS-HBK-2810.02-02D	Information System Security Assessment and Authorization Process	February 1, 2015
ITS-HBK-2810.02-04A	Security Assessment and Authorization: Continuous Monitoring – Annual Security Control Assessments	March 18, 2014
ITS-HBK-2810.02-05	Security Assessment and Authorization: External Information Systems	October 24, 2012
ITS-HBK-2810.02-06	Security Assessment and Authorization: Extending and Information Systems Authorization to Operate Process and Templates	October 24, 2012
ITS-HBK-2810.02-08A	Security Assessment and Authorization: Plan of Action and Milestones (POA&M)	December 11, 2013

IT Security Handbooks (ITS-HBK)		
Document	**Subject**	**Effective Date**
ITS-HBK-2810.03-01	Planning	May 6, 2011
ITS-HBK-2810.03-02	Planning: Information System Security Plan Template, Requirements, Guidance and Examples	February 9, 2011
ITS-HBK-2810.04-01A	Risk Assessment: Security Categorization, Risk Assessment, Vulnerability Scanning, Expedited Patching & Organizationally Defined Values	October 12, 2012
ITS-HBK-2810.04-02	Risk Assessment: Procedures for Information System Security Penetration Testing and Rules of Engagement	April 30, 2013
ITS-HBK-2810.04-03	Risk Assessment: Web Application Security Program	April 30, 2013
ITS-HBK-2810.05-01	Systems and Service Acquisition	November 21, 2011
ITS-HBK-2810.06a-01	Awareness and Training	May 5, 2011
ITS-HBK-2810.07-01	Configuration Management	May 6, 2011
ITS-HBK-2810.08-01	Contingency Planning	May 06, 2011
ITS-HBK-2810.08-02	Contingency Planning: Guidance and Templates for Plan Development, Maintenance and Test	February 10, 2011
ITS-HBK-2810.09-01A	Incident Response and Management	December 30, 2014
ITS-HBK-2810.09-02	NASA Information Security Incident Management	August 24, 2011
ITS-HBK-2810.09-03	Targeted Collection of Electronic Data	August 24, 2011
ITS-HBK-2810.09-04	Incident Response and Management: Guidelines for Data Spillage & Sanitization Procedures	February 27, 2014
ITS-HBK-2810.10-01	Maintenance	May 6, 2011

IT Security Handbooks (ITS-HBK)		
Document	**Subject**	**Effective Date**
ITS-HBK-2810.11-01	Media Protection	July 13, 2012
ITS-HBK-2810.11-02	Media Protection: Digital Media Sanitization	July 13, 2012
ITS-HBK-2810.12-01	Physical and Environmental Protection	May 6, 2011
ITS-HBK-2810.13-01	Personnel Security	May 6, 2011
ITS-HBK-2810.14-01	System and Information Integrity	May 6, 2011
ITS-HBK-2810.15-01	Access Control	September 4, 2012
ITS-HBK-2810.15-02A	Access Control: Elevated Privileges (EP)	September 20, 2012
ITS-HBK-2810.16-01	Audit and Accountability	May 6, 2011
ITS-HBK-2810.17-01	Identification and Authentication	Jan 17, 2011
ITS-HBK-2810.18-01	System and Communications Protection	Apr 6, 2011

Standards		
Document	**Subject**	**Effective Date**
EA-STD 0001.0	Standard for Integrating Applications into the NASA Access Management, Authentication, and Authorization Infrastructure	August 1, 2008
EA-SOP 0003.0	Procedures for Submitting a NASA Agency Forest (NAF) Deviation Request and Transition Plan	August 1, 2008
EA-SOP 0004.0	Procedures for Submitting an Application Integration Deviation Request and Transition Plan	August 1, 2008
NASA-STD-2804-P	Minimum Interoperability Software Suite	September 22, 2014
NASA-STD-2805-P	Minimum Hardware Configurations	September 22, 2014

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Associate CIO for IT Security, Acting	Center Chief Information Officers & Associate CIO for Enterprise Services	EMET Agent Installation	3/7/2016	3/7/2016
Associate CIO for IT Security, Acting	Chief Information Officers	Recruitment and Retention of a Highly Qualified Federal Workforce	11/05/2015	11/13/2015
Associate CIO for IT Security, Acting	Chief Information Officers	Cyber Hygiene Report Actions	11/05/2015	11/13/2015
Associate CIO for IT Security, Acting	Chief Information Officers & Enterprise Service Executives	Cyber Hygiene Report Actions	10/7/2015	10/7/2015
Associate Chief Information Officer for Capital Planning and Governance	Chief Information Officers	Information Technology Security Division Handbook Expiration Dates	9/17/2015	9/17/2015
Associate IT Security Division Director (Acting)	Chief Information Officers	Window Server 2003 Waiver Process	8/18/2015	8/19/2015
Associate IT Security Division Director (Acting)	Chief Information Officers	FY15 IT Security and Privacy Awareness Training Reminder	8/18/2015	8/19/2015

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Office of the Chief Information Security Officer	Senior Agency Information Security Officer (SASIO)	Request the Cancellation of HBK 2810.03-02 Planning: Information System Security Plan Template, Requirements, Guidance, and Examples	7/27/2015	7/27/2015
(Acting) Senior Agency Information Security Officer	Center/Mission Directorate Chief Information Officer (CIO), Chief Information Security Officers (CISO), and Information System Owners (ISO)	Vulnerabilities in Unsupported or End of Life Software	5/28/2015	5/28/2015
(Acting) Senior Agency Information Security Officer	Distribution	Naming Pattern Memo	5/28/2015	5/28/2015
Office of the Chief Information Officer	(Acting) Senior Agency Information Security Officer	Expired Policy 2810-02.05 Security Assessment and Authorization: External Information Systems	11/19/2014	11/19/2014
Senior Agency Information Security Official (Acting)	Distribution	Interim Guidance for Leveraging Cloud Services While Meeting Information Security Requirements	1/28/2015	1/28/2015
Valerie Burks	Center/Mission Directorate CIOs	Configuration Guidance for Computer Operating Systems	12/17/2012	12/17/2012

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
(Acting) Senior Agency Information Security Officer	Office of the CISO	Extension Verification 2810-02.05	11/19/2014	11/19/2014
NASA CIO and Deputy CIO for IT Security	Distribution	Updated Password Requirements for AA Accounts	7/2/2014	7/2/2014
Chief Information Officer	Distribution	Establishment and Maintenance of Secure Communications	2/28/2014	2/28/2014
Deputy Chief Information Officer for Information Technology Security	Distribution	Implementation of National Institute of Standards and Technology Special Publication 800-53, Revision 4	12/19/2013	12/19/2013
Chief Information Officer	Distribution	Minimum Security Requirements for Personal Mobile Devices	8/27/2013	8/27/2013
Chief Information Officer	Distribution	Additional 90-day extension: Blanket waiver for use of Filevault 2.0 to meet Data at Rest (DAR) Encryption Requirements	5/7/2013	5/7/2013
Office of the Chief Information Officer	Distribution	Delegation of Authorizing Official Designation to Center and Mission Directorate Chief Information Officers	4/2/2013	4/2/2013

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Deputy CIO for Information Security	Distribution	NASA ACES Secure Virtual Team Meeting (SVTM) Approved for Secure Meetings and Communication of SBU Data	2/5/2013	2/5/2013
Chief Information Officer	Distribution	Cancellation of PDM 2012-064 Data At Rest (DAR) Waiver Process and issuance of a new PDM addressing Alternate DAR Encryption Products	1/27/2013	1/27/2013
Deputy CIO for Information Technology Security	Center Mission Directorate Chief Information Officers (CIO)	Configuration Guidance for Computer Operating Systems	12/17/2012	12/17/2012
Associate Deputy Administrator	All NASA Employees	Breach of Personally Identifiable Information (PII) [Laptop DAR/Encryption]	11/13/2012	11/13/2012
Chief Information Officer	All NASA Center CIO's	Rescinding and/or Archiving Information Technology (IT) Security Memoranda	9/20/2012	9/20/2012
Deputy CIO for Information Security	All NASA Center CIOs	FY2012 FISMA Awareness and Training Reporting Metrics	9/12/2012	9/12/2012
OCIO	Distribution	Acceptance of other Federal IT Security Awareness Training to Satisfy NASA's FISMA Requirements	5/25/2012	5/25/2012
Charles F. Bolden, Jr., NASA Administrator	All NASA Employees	Protection of Sensitive Agency Information	4/3/2012	4/3/2012

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Assistant Administrator for Security and Program Protection, Chief Information Officer (Acting)	NASA Center Directors	Identity, Credential, and Access Management Business Process Leads	8/27/2009	8/27/2009
Chief Information Officer (Acting)	Officials-in-Charge of Headquarters, Center CIOs, Mission Directorate CIOs	Security and Support Policy for Smartphones	8/3/2009	8/3/2009
Chief Information Officer (Acting)	Center CIOs	Delegation of Waiver Authority and Responsibility for Vulnerability Scanning Requirements	5/6/2009	5/6/2009
Chief Information Officer (Acting)	Officials-in-Charge of Headquarters Offices, NASA Center Directors	Roles and Responsibilities for Protecting NASA Sensitive But Unclassified (SBU) Information	4/27/2009	4/27/2009
Deputy CIO for IT Security	Center CIOs, Center ITSMs	FY 2009 Scanning and Vulnerability Elimination or Mitigation	2/06/2009	2/06/2009
Chief Information Officer	Officials-in-Charge of Headquarters Offices, NASA Center Directors	Personally Identifiable Information (PII) Incident Reporting	1/14/2009	1/14/2009

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Chief Information Officer	All NASA Civil Service and Contractor Employees	Policy for Use of Removable Media, Such as USB Thumb Drives	11/21/2008	11/21/2008
Senior Agency Official for Privacy	Official-in-Charge of Headquarters Offices, NASA Center Directors	Personally Identifiable Information (PII) Responsibilities Statement	9/8/2008	9/8/2008
Chief Information Officer	Center CIOs	Deployment of the Software Refresh Portal	7/30/2008	7/30/2008
Chief Information Officer	NASA CIOs, Mission Directorate CIOs, Center ITSMs, Center Human Resources Directors, IEMP	Requirement to Log and Verify Sensitive Data Extracts	6/9/2008	6/9/2008
Chief Information Officer	NASA CIOs, Mission Directorate CIOs, Center ITSMs, Center ITSMs, Center Human Resources Directors, IEMP	Remote Access to Personally Identifiable Information (PII)	6/9/2008	6/9/2008
Deputy CIO for IT Security	Center ITSMs	Clarification on Requirement for Contractors to Complete NASA Annual IT Security Awareness Training	6/6/2008	6/6/2008

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Deputy CIO for IT Security	Center CIOs, Center ITSMs	System Security Documentation in RMS	2/20/2008	2/20/2008
Chief Information Officer	Center CIOs, Deputy CIOs	Information Discovery	2/4/2008	2/4/2008
Deputy CIO for IT Security	Center CIOs, Center ITSMs	Decision to Cancel Procurement Information Circular (PIC) 04-03 (System Administrator Certification Program)	1/16/2008	1/16/2008
Chief Information Officer	Official-in-Charge of Headquarters Offices, NASA Center Directors	Release of NPD 2200.1A, Management of NASA Scientific and Technical Information	12/18/2007	12/18/2007
Deputy CIO for IT Security	Center CIOs, Mission Directorate CIOs	Agency Security Configuration Standards: Federal Desktop Core Configurations	11/15/2007	11/15/2007
Chief Information Officer	Center Chief Information Officers	Designation of FIPS-199 Impact Level for NASA's OAIT Voice Systems	7/10/2007	7/10/2007
Chief Information Officer	Center Chief Information Officers	Designation of FIPS-199 Impact Level for NASA OAIT Data Center Systems	7/10/2007	7/10/2007
Chief Information Officer	Center Chief Information Officers	Designation of FIPS-199 Impact Level for NASA OAIT LANs	7/10/2007	7/10/2007

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Chief Information Officer (Acting)	Center CIOs, Mission Directorate CIOs	Meeting OMB Memoranda M-06-015 "Safeguarding Personally Identifiable Information;" M-06-016 "Protection of Sensitive Agency Information," and M-06-019 "Reporting Incidents Involving Personally Identifiable Information and Incorporating the Cost for Security in Agency Information Technology Investments"	10/17/2006	10/17/2006
Deputy Administrator	Administrator/Official-in-Charge of Headquarters Offices, NASA Center Directors	Meeting NASA Information Technology Security Requirements	7/26/2006	7/26/2006
Deputy CIO for IT Security	Center CIOs	Designation of FIPS-199 Impact Level for NASA OAIT Desktop Systems	4/16/06	4/16/06
Chief Information Officer, Chief of Strategic Communications	Official-in-Charge of Headquarters Offices, NASA Center Directors, Center CIOs, Mission Directorate CIOs	Policy Governing NASA's Publicly Accessible Web sites	3/16/2006	3/16/2006
Chief Information Officer, Assistant Administrator of Public Affairs	Center CIOs	Update of NASA Web site Linking Policy	12/15/2005	12/15/2005

Memoranda				
From	**To**	**Subject**	**Effective Date**	**Posted Date**
Chief Information Officer	Center CIOs	Update of NASA Web site Privacy Policy	11/28/2005	11/28/2005

Reminder: Within 30 days after contract effective date, the Contractor shall develop and deliver an IT Security Management Plan to the Contracting Officer for approval.