SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18, CHG 4: 02/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Government approved Division 01 preconstruction submittals that are required prior to or commencing with the start of work must be submitted within 30 calendar days of contract award unless specified elsewhere in the specifications.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

Quality Control (QC) plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and Safety Data Sheets(SDS)concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contract Progress Schedule; G
Construction Quality Control Plan; G
Hazardous Material Identification and Safety Plan; G
Pre-Construction Site Photos; G
Accident Prevention Plan; G

1.3 SUBMITTAL CLASSIFICATION

1.3.1 Government Approved (G)

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.3.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 PREPARATION

1.4.1 Transmittal Form

1.4.2 Submittal Format

1.4.2.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.4.2.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Present shop drawings sized 8 1/2 by 11 inches as part of the bound volume for submittals. Present larger drawings in sets. Submit an electronic copy of drawings in PDF format and native electronic format.

1.4.2.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 3 inches on the right-hand side of each sheet for the Government disposition stamp.

1.4.2.3 Format of SD-03 Product Data

Present product data submittals for each section as a complete, bound volume. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.4.2.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.4.2.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.4.2.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.4.2.4 Format of SD-04 Samples

1.4.2.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.4.2.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.4.2.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.4.2.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.4.2.6 Format of SD-06 Test Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report

pertains.

1.4.2.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.4.2.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section as a complete, bound volume. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.4.2.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.4.2.9 Format of SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.4.2.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.4.2.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.4.3 Source Drawings for Shop Drawings

1.4.3.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.4.3.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.4.4 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. In addition to the electronic submittal, provide hard copies of the submittals. Compile the submittal file as a single, complete document, to include the Transmittal Form described within, and also separately attach the native files which were used to create PDF. The attached files should include the original digital files used to create the submittal. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to

allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

1.5 QUANTITY OF SUBMITTALS

1.5.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

1.5.2 Number of SD-02 Shop Drawing Copies

Submit three copies of submittals of shop drawings requiring review and approval by a QC organization. Submit three copies of shop drawings requiring review and approval by the Contracting Officer.

1.5.3 Number of SD-03 Product Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.4 Number of SD-04 Samples

a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.5.5 Number of SD-05 Design Data Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.6 Number of SD-06 Test Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings, other than field test results that will be submitted with QC reports.

1.5.7 Number of SD-07 Certificate Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.8 Number of SD-08 Manufacturer's Instructions Copies

Submit in compliance with quantity requirements specified for shop drawings.

1.5.9 Number of SD-09 Manufacturer's Field Report Copies

Submit in compliance with quantity and quality requirements specified for shop drawings other than field test results that will be submitted with QC reports.

1.5.10 Number of SD-10 Operation and Maintenance Data Copies

Submit three copies of O&M data to the Contracting Officer for review and approval.

1.5.11 Number of SD-11 Closeout Submittals Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.6 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.7 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Attachment A - Submittal Register."

1.7.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

1.7.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.7.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.7.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.7.5 Action Codes

1.7.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.8 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.8.1 Considering Variations

Discussion of variations with the Contracting Officer before submission will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.8.2 Proposing Variations

"

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.8.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.8.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 days will be allowed for the Government to consider submittals with variations.

1.9 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. Allow an additional 10 days for review and approval of submittals for food service equipment and refrigeration and HVAC control systems.

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 14 days after the date of submission.

1.10 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. 2 copies of the submittal will be retained by the Contracting Officer and one copies of the submittal will be returned to the Contractor.

1.10.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.11 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.12 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.13 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to

the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
05/24, CHG 1: 05/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ANSI/ASSP A10.34	(2021) Protection of the Public on or Adjacent to Construction Sites
ANSI/ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations

ASTM INTERNATIONAL (ASTM)

ASTM F855	(2020) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2016) Guide for Protective Grounding of Power Lines

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)

ANSI/ISEA Z89.1	(2014; R 2019) American National Standard for Industrial Head Protection

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 51B	(2024) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2026; TIA 26-1; ERTA 26-1; TIA 26-2; TIA 26-3; TIA 26-4; TIA 26-5; TIA 26-6; TIA 26-7; ERTA 26-2; ERTA 26-3) National Electrical Code
NFPA 70E	(2024) Standard for Electrical Safety in the Workplace
NFPA 241	(2022; ERTA 22-1) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1926	Safety and Health Regulations for Construction
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

The following definitions are for the convenience of the reader. If there is a referenced document in the text of this specification section, that is the document that should define terms for that paragraph. If further clarification is needed, contact the Contracting Officer.

1.2.1 Site Safety and Health Officer (SSHO)

A Contractor Employee that is responsible for overseeing and ensuring implementation of the prime Contractor's Safety and Occupational Health (SOH) program according to the Contract, EM 385-1-1, applicable federal, state, and local requirements.

1.2.1.1 Level One SSHO

A designated employee with full-time SOH responsibility that meets and follows the requirements of EM 385-1-1.

1.2.1.2 Level Two SSHO

A designated employee with Level Two SSHO responsibility that meets and follows the requirements of EM 385-1-1. Level Two SSHOs cannot be assigned to projects that have a residual Risk Assessment Code (RAC) of high or extremely high.

1.2.1.3 Level Three SSHO

A designated Qualified Person or Competent Person with SOH responsibility that meets and follows the requirements of EM 385-1-1. Level 3 SSHOs cannot be assigned to projects that have a residual RAC of high or extremely high.

1.2.1.4 Alternate SSHO

An employee that meets the definition of the contract-required level SSHO, but is not the primary SSHO.

1.2.2 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are unsanitary, hazardous, or dangerous to personnel, and who has authorization to take prompt corrective measures to eliminate them.

1.2.3 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.3 SUBMITTALS

Government Acceptance or Approval does not remove responsibility from the Contractors for their actions or liability.

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

Dive Operations Plan; G

SD-06 Test Reports

Accident Reports; G

LHE Inspection Reports

Monthly Exposure Reports; G

SD-07 Certificates

Activity Hazard Analysis (AHA); G

Certificate of Compliance

Hot Work Permit

License Certificates

Portable Gauge Operations Planning Worksheet; G

Radiography Operation Planning Work Sheet; G

Standard Lift Plan; G

Critical Lift Plan; G

1.4 PUBLIC HEALTH EMERGENCIES

In the event of a declared public health emergency, follow safety precautions as required by the Occupational Safety and Health Administration (OSHA) www.osha.gov, the Centers for Disease Control and Prevention (CDC) www.cdc.gov, and as required by federal, state and local requirements.

1.5 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report by the fifth day of each month for the previous month. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the progress payment.

1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations at the date of the Solicitation for this Contract. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.7 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.7.1 Site Safety and Health Officer (SSHO)

1.7.1.1 Qualifications of SSHO

All SSHOs will have met the training, experience requirements identified in the EM 385-1-1 and this Contract.

1.7.1.2 Duties of SSHO

All SSHOs will carry out the roles and responsibilities as identified in this Contract and the EM 385-1-1. All SSHOs will be designated on an ENG Form 6282, provided by the Contracting Officer. Superintendent, QC Manager, and SSHO are subject to dismissal if their required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.7.1.3 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project

location. The SSHO, supervisors, or foremen must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.7.2 Roles and Responsibilities of Prime Contractor and SSHO

The Prime Contractor and SSHO must ensure that the requirements of all applicable OSHA and EM 385-1-1 are met for the project. The Prime Contractor must ensure an SSHO or an equally qualified Alternate SSHO(s) is at the worksite at all times to implement and administer the Contractor's safety program and Government accepted Accident Prevention Plan. If the required SSHO has to temporarily (that is, up to 24 hours / 1 day) leave the site of work due to unforeseen or emergency situations, a Level One, Two, or Three SSHO may be used in the interim and must be on the site of work at all times when work is being performed.

If the SSHO must be off-site for a period longer than 24 hours / 1 day, a qualified alternate that meets the contract requirements must be onsite.

a. Prime contractor must ensure all SSHOs will:

(1) Are designated on an ENG Form 6282.

(2) Meet minimum training and experience requirements identified in EM 385-1-1.

(3) Execute roles and responsibilities identified in EM 385-1-1.

1.7.3 Contract Site Safety And Health Officer(s)(SSHOs) Minimum Requirements

Provide a minimum of one Level One SSHO that meets the requirements of EM 385-1-1 for this project.

1.7.4 Competent Person for Confined Space Entry

Provide a CP for Confined Space Entry who meets the requirements of EM 385-1-1 and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1.

1.7.5 Preconstruction Conference

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition,

establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays. The creation of the APP and Schedule will be created after being given Notice to Proceed.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.8 ACCIDENT PREVENTION PLAN (APP)

1.8.1 Accident Prevention Plan (APP)

Submit the Accident Prevention Plan (APP) for review and acceptance by the Government at least 15 calendar days prior to the start, after being given Notice to Proceed. A competent person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, ENG Form 6293, and herein. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and occupational health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling employer" for all worksite safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed in accordance with the APP and ENG Form 6293 Accident Prevention Plan Worksheet. The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer within 30 calendar days of Contract award and not less than 10 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e., imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite

personnel, visitors, the public (as defined by ANSI/ASSP A10.34), and the environment.

1.8.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.

b. As a minimum, designate and submit qualifications of Competent Persons (CP) for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance. Designate and submit qualifications for additional CPs as applicable to the work performed under this Contract.

1.8.3 Plans

Provide plans in the APP in accordance with the requirements outlined in EM 385-1-1, including the following:

1.8.3.1 Asbestos Hazard Abatement Plan

Identify the safety and health aspects of asbestos work, and prepare in accordance with Section 02 82 00 ASBESTOS REMEDIATION.

1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor

supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. The Government has no responsibility to provide emergency medical treatment.

1.12 NOTIFICATIONS AND REPORTS

1.12.1 Accident Notification

Notify the Contracting Officer in accordance with the EM 385-1-1 Accident Reporting Timeline.

Table
Accident Reporting Required Timeline

Accident Type	Notify KO or COR	Complete Final Accident Report on ENG 3394 and provide to KO or COR
Fatality, in-patient hospitalization, amputation, eye loss, or property damage over $600,000.	Immediately, no later than (NLT) 8 Hours	Within 7 Days
All other accidents and near misses	Immediately, no later than (NLT) 24 Hours	Within 7 Days

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the

Government's investigation(s) of any accident or near miss.

1.12.2 Accident Reports

a. Near Misses: Near miss reports are considered positive and proactive Contractor safety management actions.

1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

1.12.4.1 Certificate of Compliance

Provide a Certificate of Compliance for LHE utilized to perform work under this Contract in accordance with Section 16-7 of the EM 385-1-1. Post completed certificate on the crane.

1.12.4.2 Pre-Lift Plans

Develop either a Standard Lift Plan (SLP) or Critical Lift Plan (CLP) as required in accordance with EM 385-1-1. Utilize the Standard Lift Plan ENG Form 6203 for routine crane lifts and use the Critical Lift Plan ENR Form 6213 for non-routine crane lifts requiring more detailed planning and additional safety precautions, as defined by Section 16-2j of the EM 385-1-1. Submit lift plans to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.13 HOT WORK PERMIT

1.13.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e., welding or cutting) or operating other flame-producing/spark producing devices, from the Base Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. Contractors are required to meet all criteria before a permit is issued. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of 1 hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency phone number. Report any fire, no matter how small, to the responsible Base Fire Department immediately.

1.13.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist, or Certified Industrial Hygienist for "Hot Work" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces

(such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1.

1.14 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on Government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

1.14.1 Radiography Operation Planning Work Sheet

Submit a Gamma and X-Ray Radiography Operation Planning Work Sheet to Contracting Officer 14 days prior to commencement of operations involving radioactive materials or radiation generating devices. For portable machine sources of ionizing radiation, including moisture density and XRF, use and submit the Portable Gauge Operations Planning Worksheet instead. The Contracting Officer will review the submitted worksheet and provide questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

1.14.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Government authorized representative will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records, and utilization records for radiological operations performed on the site.

1.14.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO,

and Base Security Department Emergency Number.

1.14.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

1.14.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

1.14.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety Officer (RSO) of any Radioactive Material use.

1.14.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

1.14.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

1.15 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with EM 385-1-1, 29 CFR 1926, 29 CFR 1910, and Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

1.15.1 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

PART 2 PRODUCTS

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Head Protection that meets ANSI/ISEA Z89.1

b. Long Pants

c. Appropriate Safety Footwear

d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.3 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e., 29 CFR Part 1910.1000). If material(s) that can be hazardous to human health upon disturbance are encountered during demolition, repair, renovation, or

construction operations, stop portions of work that would disturb the material and notify the Contracting Officer immediately. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 14 days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1. In accordance with EM 385-1-1, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of Hazardous Energy Control Program (HECP) and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1, 29 CFR 1910, 29 CFR 1915, ANSI/ASSP A10.44, NFPA 70E.

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with EM 385-1-1.

3.5.1 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 and 29 CFR 1926.

3.5.1.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.1.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1.

3.6 USE OF EXPLOSIVES

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.7 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1.

3.7.1 Electrical Work

As described in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety footwear, insulating gloves and electrical arc flash protection for

personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.

3.7.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Localand federal requirements applicable to where work is being performed.

3.7.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.7.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

3.7.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
05/24

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300
Email: customercare@asce.org
Internet: https://www.asce.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

FM GLOBAL (FM)
270 Central Avenue
Johnston, RI 02919-4949
Ph: 401-275-3000
Fax: 401-275-3029
Internet: https://www.fmglobal.com/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141

Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)
1101 Wilson Blvd, Suite 1425
Arlington, VA 22209-1762
Ph: 703-525-1695
Fax: 703-528-2148
Internet: https://safetyequipment.org/

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL ROOFING CONTRACTORS ASSOCIATION (NRCA)
10255 West Higgins Road, Suite 600
Rosemont, IL 60018-5607
Ph: 847-299-9070
Fax: 847-299-1183
Internet: http://www.nrca.net

SINGLE PLY ROOFING INDUSTRY (SPRI)
465 Waverley Oaks Road, Suite 421
Waltham, MA 02452
Ph: 781-647-7026
Fax: 781-647-7222
E-mail: info@spri.org
Internet: https://www.spri.org/

U.S. AIR FORCE (USAF)
E-mail: usaf.pentagon.saf-aa.mbx.AFDPO-PPL@mail.mil
Internet: https://www.e-publishing.af.mil/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet: https://www.wbdg.org/army
THE CAD/BIM TECHNOLOGY CENTER FOR FACILITIES, INFRASTRUCTURE, AND ENVIRONMENT (USACE)on Internet:
https://cadbimcenter.erdc.dren.mil/
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov

Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)
Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

UL SOLUTIONS (UL)
333 Pfingsten Road
Northbrook, IL 60062
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://accuristech.com/

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00

QUALITY CONTROL
08/23, CHG 3: 08/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D3740	(2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E329	(2025b) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Occupational Health (SOH) Requirements

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan; G

SD-06 Test Reports

Verification Statement

1.4 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC is comprised of plans, procedures, and organization necessary to produce an end product that complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The Quality Control Manager,

Superintendent, Site Safety and Health Officer (SSHO), and all on-site supervisors are responsible for the quality of work and are subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. The Quality Control Manager must maintain a physical presence at the work site at all times and is the primary individual responsible for all quality control.

1.5 QUALITY CONTROL (QC) PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations that comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.5.1 Meetings

1.5.1.1 Quality Control Plan Meeting

Prior to submission of the QC Plan, the Contractor may request a meeting with the Contracting Officer to discuss the QC Plan requirements of this Contract.

The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of Definable Feature of Work (DFOW).

1.5.1.2 Coordination and Mutual Understanding Meeting

After the before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's quality control system. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Government. Provide a copy of the signed minutes to all attendees. At a minimum the Coordination and Mutual Understanding Meeting must be repeated when a new QC Manager is appointed. There can be other occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

1.5.1.2.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site

work, design intent, environmental requirements and procedures, coordination of activities to be performed and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor must explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. Procedures for noise and acoustics management.

c. Environmental Protection Plan.

d. Environmental regulatory requirements.

1.5.1.2.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation.

1.5.1.2.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting.

1.5.1.3 Quality Control (QC) Meetings

After the start of construction, conduct weekly QC meetings led by the QC Manager at the work site with the Project Superintendent, and the other personnel as necessary. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within 2 working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and deficiencies/rework. Review the most current approved schedule (in accordance with schedule specification) and the status of work and deficiencies/rework.

c. Review the status of submittals and Request For Information (RFIs).

d. Review the work to be accomplished in the next 3 weeks as defined by the schedule section paragraph and all quality control documentation required for that work.

e. Review Testing Plan and Log including status of tests performed since last QC Meeting.

f. Resolve QC and production problems. Discuss status of pending change orders.

g. Address items that may require revising the QC Plan.

h. Review Accident Prevention Plan (APP) and effectiveness of the safety program.

i. Review environmental requirements and procedures.

j. Review Environmental Management Plan.

k. Review Waste Management Plan.

l. Review the status of training completion.

1.5.2 Contractor Quality Control (CQC) Plan

Submit no later than 30 days, the CQC Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. Construction will be permitted to begin only after acceptance of the CQC Plan and other Contract requirements

1.5.2.1 Content of Contractor Quality Control (CQC) Plan

Provide a CQC Plan, prior to start of construction that includes a table of contents, with major sections identified, pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control during the construction of the project. The CQC Plan must at a minimum include the following sections:

a. A description of the quality control organization and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified.

b. An organizational chart showing the quality control organization with individual names and job titles and lines of authority up to an executive of the company at the home office.

c. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, (including position titles and durations for qualifying experiences) for each person in the QC organization. Include the Construction Quality Management (CQM) for Contractors course certifications for the QC personnel as required by the paragraph CONSTRUCTION QUALITY MANAGEMENT TRAINING.

d. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

e. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

f. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager, and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work that is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to all other QC Specialists or quality control representatives outlining their duties, authorities, and

responsibilities. Include copies of the letters in the QC Plan.

g. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, scheduling, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

h. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraph ACCREDITATION REQUIREMENTS, as applicable.

i. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, associated feature of work required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.

j. Procedures to complete construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected. This phase is performed prior to beginning work on each definable feature of work, after all required plans, documents, materials are approved, and after copies are at the work site.

k. Reporting procedures, including proposed reporting formats.

l. Procedures for submitting and reviewing design changes/variations prior to submission to the Contracting Officer.

m. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines, or it is work by the same trade in a different environment. A DFOW is by definition any item or activity on the construction schedule, and the schedule specification provides direction regarding how the DFOWs are to be structured. Include in the list of DFOWs for all activities on the Construction Schedule. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. Identify the specification section number and schedule activity ID for each DFOW listed. The DFOW list will be reviewed in coordination with the construction schedule and agreed upon during the Coordination and Mutual Understanding Meeting.

n. PROCEDURES FOR PERFORMING AND TRACKING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each Definable Feature of Work (DFOW), a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

o. Coordinate scheduled work with Special Inspections required by Section 01 45 35 SPECIAL INSPECTIONS, the Statement of Special Inspections and the Special Inspections Project Manual. Where the applicable code issued by the International Code Council (ICC) calls for inspections

by the Building Official, the Contractor must include the inspections in the Quality Control Plan and must perform the inspections required by the applicable ICC. The Contractor must perform these inspections using independent qualified inspectors. Include the Special Inspections Project Manual requirements in the QC Plan.

p. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.

q. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.

r. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications required for entities such as subcontractors, testing laboratories, suppliers, and personnel. The QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the Contract that the work is being performed.

1.5.3 Acceptance of the Quality Control (QC) Plan

The Contracting Officer's acceptance of the Contractor QC Planis required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal or addition of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.5.4 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel. Proposed changes are subject to acceptance by the Contracting Officer.

1.6 QUALITY CONTROL (QC) ORGANIZATION

1.6.1 Quality Control (QC) Manager

1.6.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program. In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent. The QC Manager must attend the Coordination and Mutual Understanding Meeting, perform the three phases of control, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

1.6.1.2 Qualifications

The QC Manager must be an individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction Contracts which included the major trades that are part of this Contract. The individual must have at least 2 years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification, safety compliance, and sustainability.

The QC Manager and all members of the QC organization must be capable of reading, writing, and conversing fluently in the English language.

1.6.1.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager Alternate QC Manager, Superintendent, QC Specialist, and DQCM must have completed the CQM for Contractors course. If the QC Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Systems Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the QC Manager's certificate has expired, retake the course to remain current.

1.6.2 Organizational Changes

Maintain the QC staff with personnel as required by the specification section at all times. When it is necessary to make changes to the QC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

1.6.3 Alternate Quality Control (QC) Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.7 SUBMITTAL AND DELIVERABLES REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES. Procedures must include field verification of relevant dimensions and component characteristics by the QC organization prior to submittal being sent to the Contracting Officer. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the Contract.

1.8 THREE PHASES OF CONTROL

CQC enables the Contractor to ensure that the construction, including that of subcontractors and suppliers, complies with the requirements of the Contract. At least three phases of control must be conducted by the QC Manager to adequately cover both on-site and off-site work for each definable feature of the construction work as follows:

1.8.1 Preparatory Phase

Document the results of the preparatory phase actions by separate minutes prepared by the QC Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required to meet Contract specifications.

Notify the Contracting Officer at least 2 business days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the Project Superintendent, the Special Inspector, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections, reference codes, and standards. Make available during the prepatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction or shop drawings or both before confirming product orders, to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

f. Examine the work area to ensure that the required preliminary work has been completed and complies with the Contract and ensure any deficiencies/rework items in the preliminary work have been corrected and confirmed by the Contracting Officer.

g. Review coordination of product/material delivery to designated prepared areas to execute the work.

h. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

i. Check to assure that all materials and equipment have been tested, submitted, and approved.

j. Discuss specific controls to be used, construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW. Ensure any portion of the plan requiring separate Contracting Officer acceptance has been approved.

k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

1.8.2 Initial Phase

Notify the Contracting Officer at least 2 business days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site when acceptable levels of specified quality are not being met. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases. Perform the following for each DFOW:

a. Check work to ensure that it is in full compliance with Contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full Contract compliance. Verify required control inspection and testing comply with the Contract.

c. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve any workmanship issues.

e. Ensure that testing is performed by the approved laboratory.

f. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

g. Review project specific work plans (i.e., HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.8.3 Follow-Up Phase

Perform the following for on-going DFOW daily, or more frequently as necessary, until the completion of each DFOW. The Final Follow-Up for any DFOW will clearly note in the daily report the DFOW is completed, and all deficiencies/rework items have been completed in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Each DFOW that has completed the Initial Phase and has not completed the Final Follow-up must be included on each daily report. If no work was performed on that DFOW for the period of that daily report, it must be so noted. Document all Follow-Up activities for DFOWs in the daily CQC Report:

a. Ensure the work including control testing complies with Contract requirements until completion of that particular work feature. Record checks in the CQC documentation.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that deficiencies/rework items are being corrected. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work.

e. Do not build upon nor conceal non-conforming work.

f. Assure manufacturers' representatives have performed necessary inspections if required and perform safety inspections.

1.8.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW has not started within 45 days of the initial preparatory meeting or has resumed after 45 days of inactivity, or if other problems develop.

1.8.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least 2 weeks prior to the start of the preparatory and initial phases.

1.8.6 Deficiency/Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be corrected, the activity ID number associated with the item, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected.

The QC Manager reviews the list at each weekly QC Meeting:

a. There is no requirement to report a deficiency/rework item that is corrected the same day it is discovered.

b. No successor task may be advanced beyond the preparatory phase meeting until all deficiencies/rework items have been cleared by the QC Manager and concurred with by the Contracting Officer. This must be confirmed as part of the Preparatory Phase activities.

c. Attach a copy of the "Deficiency/Rework Items List" to the last daily CQC Report of each month.

d. The Contractor is responsible for including those items identified by the Contracting Officer.

e. All deficiencies/rework items must be confirmed as corrected by the QC Manager, and concurred by the Contracting Officer, prior to commencement of any completion inspections per paragraph COMPLETION INSPECTIONS unless specifically exempted by the Contracting Officer.

f. Non-Compliance with these requirements is grounds for removal in accordance with paragraph ACCEPTANCE OF THE QUALITY CONTROL (QC) PLAN.

g. All delays, concurrent or related to failure to manage, monitor,

control, and correct deficiencies/rework items are entirely the responsibility of the Contractor and can not be made the subject, or any component of any request for additional time or compensation.

1.9 TESTING

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to Contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of an U.S. Army Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site or within miles. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with Contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

1.9.1 Laboratory Accreditation Authorities
1.9.2 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract. Laboratories utilized for testing soils, concrete, asphalt, and steel must meet criteria detailed in ASTM D3740 and ASTM E329.

1.9.3 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager.

1.10 COMPLETION INSPECTIONS

1.10.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications, and Contract. Include in the punch list any remaining items on the "Deficiency/Rework Items List", that were not corrected prior to the Punch-Out Inspection as approved by the Contracting Officer in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer.

The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. All punch list items must be confirmed as corrected by the QC Manager and concurred by the Contracting Officer. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.10.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected and concurred by the Contracting Officer prior to notifying the Government that a "Final" inspection with the Client can be scheduled. All items noted on the "Pre-Final" inspection must be corrected and concurred by the Contracting Officer in a timely manner and be accomplished before the Contract completion date for the work, or any increment thereof, if the project is divided into increments by separate completion dates unless exceptions are directed by the Contracting Officer.

1.10.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other Government QA personnel, and personnel representing the Client. Failure of the Contractor to have all Contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.11 QUALITY CONTROL (QC) CERTIFICATIONS

1.11.1 Contractor Quality Control (CQC) Report Certification

Contain the following statement within the CQC Report: "On behalf of the

Contractor, I certify that this report is complete and correct and equipment and material used, and work performed during this reporting period is in compliance with the Contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.11.2 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.12 DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER

1.12.1 Construction Documentation

Prepare a Construction Production Report and a Contractor Quality Control Report for each day that work is performed. Attach the Construction Production Report to the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities.

Account for each calendar day throughout the life of the Contract.

The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The reporting of work must be identified by terminology consistent with the construction schedule. In the "Remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered, a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, and meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.12.2 Quality Control Activities

CQC and Contractor Production reports will be prepared daily to maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractors and any subcontractors.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When a Network Analysis Schedule (NAS) is used, identify each item of work performed each day by NAS activity number.

d. Control phase activities performed. Preparatory, and Initial phase Checklists associated with the DFOW referenced to the construction

schedule. Follow-up phase activities identified to the DFOW. If testing or specific QC Specialist activities are associated with the Follow-up phase activities for a specific DFOW note this and include those reports.

e. Test and control activities performed with results and references to specifications and drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST.

f. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications and drawings requirements.

g. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

h. Offsite surveillance activities, including actions taken.

i. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

j. Instructions given/received and conflicts in plans and specifications.

1.12.3 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract.

Furnish the original and one copy of these records in report form to the Government by 10:00 AM the next working day after the date covered by the report. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the Contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the QC Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the QC Manager Report.

1.12.4 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

a. CQC Meeting minutes in accordance with paragraph QUALITY CONTROL (QC) MEETINGS.

b. All completed Preparatory and Initial Phase Checklists, arranged by specification section, further sorted by DFOW referenced to the construction schedule. Submit each individual Phase Checklist the day the phase event occurs as part of the CQC daily report.

c. All milestone inspections, arranged by Activity Number referenced to the construction schedule.

d. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section referenced to the DFOW to which individual reports results are associated. Individual field test reports will be submitted within 2 working days after the test is performed in accordance with the paragraph QUALITY CONTROL ACTIVITIES.

e. Copies of all Contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

f. An up-to-date copy of the paragraph DEFICIENCY/REWORK ITEMS LIST.

g. Special Inspection reports.

h. Upon commencement of Completion Inspections of the entire project or any defined portion, maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and subcontractors and all punch lists issued by the Government in accordance with the paragraph COMPLETION INSPECTIONS.

1.12.5 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month. Provide a copy of the final "Testing Plan and Log" to the preparer of the Operation & Maintenance (O&M) documentation.

1.12.6 As-Built Drawings

The QC Manager must ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. The as-built drawings document commences with the QC Manager ensuring all amendments, or changes to the Contract prior to Contract award are accurately noted in the initial document set creating the accurate baseline of the Contract prior to any work starting. Ensure each deviation has been identified with the appropriate modifying documentation (e.g., PC No., Modification No., Request for Information No.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.13 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of a claim for extension of time for excess costs or damages by the Contractor.

1.14 DELIVERY, STORAGE, AND HANDLING

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 57 20.00 10

ENVIRONMENTAL MANAGEMENT AND PROTECTION

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

U.S. AIR FORCE (USAF) / DEPARTMENT OF DEFENSE (DOD)

AFI 32-1053	Pest Management Program
AFI 32-7041	Water Quality Compliance
AFI 32-7042	Waste Management

DOD Energy Independence and Security Act (EISA) Section 438, Storm Water Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.120	Hazardous Waste Operations and Emergency Response
33 CFR 328	Definitions of Waters of the United States
40 CFR 68	Chemical Accident Prevention Provisions
40 CFR 152 - 186	Pesticide Programs
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 273	Standards for Universal Waste Management
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 302	Designation, Reportable Quantities, and Notification
40 CFR 355	Emergency Planning and Notification
49 CFR 171 - 178	Hazardous Materials Regulations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(1996) U.S. Army Corps on Engineers Safety and Health Requirements Manual

1.2 DEFINITIONS

1.2.1 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally and/or historically.

1.2.2 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.3 Solid Waste

Includes but is not limited to garbage, refuse, debris, sludge, or other discharged material, including solid, liquid, semisolid, or contained gaseous materials resulting from domestic, industrial, commercial, mining, or agricultural operations. Types of solid waste typically generated at construction sites may include:

a. Green waste: The vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.

b. Surplus soil: Existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included. Surplus soil must be disposed of at a facility approved by 914 MSG/CEV. Please provide written documentation prior to soil removal.

c. Debris: Non-hazardous solid material generated during the construction, demolition, or renovation of a structure which exceeds 60 mm(2.5 inch) (2.5 inch) particle size that is: a manufactured object; plant or animal matter; or natural geologic material (e.g. cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection will be disposed of by the contractor with weights and recycling facilities documented and submitted to LGC. This includes wood, and scrap metal. Recyclable materials will be handled in a similar manner.

1.2.4 Hazardous Waste

Any discarded material, liquid, solid, or gas, which meets the definition of hazardous material or is designated hazardous waste by the Environmental Protection Agency or State Hazardous Control Authority as defined in 40 CFR 260, 40 CFR 261, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, 40 CFR 268, 40 CFR 270, 40 CFR 271, 40 CFR 272, 40 CFR 273, 40 CFR 279, and 40 CFR 280.

1.2.5 Contractor Generated Hazardous Waste

Contractor generated hazardous waste means materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene etc.), waste thinners, excess paints, excess solvents, waste solvents, and excess pesticides, and contaminated pesticide equipment rinse water.

1.2.6 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that:

a. Is regulated as a hazardous material per 49 CFR 173, or

b. Requires a Material Safety Data Sheet (MSDS) per 29 CFR 1910.120, or

c. During end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D.

Designation of a material by this definition, when separately regulated or controlled by other instructions or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this instruction for "control" purposes. Such material include ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs). Nonetheless, the exposure may occur incident to manufacture, storage, use and demilitarization of these items. All hazardous materials used in this project must be registered with the Niagara Falls HAZMART.

1.2.7 Waste Hazardous Material (WHM)

Any waste material which because of its quantity, concentration, or physical, chemical, or infectious characteristics may pose a substantial hazard to human health or the environment and which has been so designated. Used oil not containing any hazardous waste, as defined above, falls under this definition. Hazardous waste will be appropriately tested and discarded in a manner which is in compliance with other State and local, 914 MSG/CEV requirements.

1.2.8 Oily Waste

Oily wastes are those materials which are, or were, mixed with oil and have become separated from that oil. Oily wastes also includes materials which have come into contact with oil including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents.

This definition includes materials such as oily rags, “spedi-dry” sorbent clay and organic sorbent material. These materials must be handled as directed by 914 MSG/CEV.

1.2.9 Regulated Waste

Those solid wastes that have specific additional Federal, state, or local controls for handling, storage, or disposal.

1.2.10 Ozone Depleting Substances (ODS)

Ozone Depleting Substances are any Class I or Class II controlled substances as defined in Federal Regulations 40 CFR 82. All Class I and Class II Ozone Depleting Substance (ODS) must be handled in accordance with 40 CFR 82, and Section 602(a) and 602(s) of The Clean Air Act Amendments of 1990.

1.2.11 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (e.g., thermostats) and lamps (e.g., fluorescent bulbs). Please contact 914 MSG/CEV for disposition instructions.

1.2.12 Installation Pest Management Coordinator

Installation Pest Management Coordinator (IPMC) is the individual officially designated by the Installation Commander to oversee the Installation Pest Management Program and the Installation Pest Management Plan.

1.2.13 Project Pesticide Coordinator

The Project Pesticide Coordinator (PPC) is an individual that resides at a Civil Works Project office and that is responsible for oversight of pesticide application on Project grounds.

1.2.14 Pesticide

Pesticide is defined as any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant or desiccant.

1.2.15 Pests

The term "pests" means arthropods, birds, rodents, nematodes, fungi, bacteria, viruses, algae, snails, marine borers, snakes, weeds and other organisms (except for human or animal disease-causing organisms) that adversely affect readiness, military operations, or the well-being of personnel and animals; attack or damage real property, supplies, equipment, or vegetation; or are otherwise undesirable.

1.2.16 Surface Discharge

The term "Surface Discharge" implies that the water is discharged with possible sheeting action and subsequent soil erosion may occur. Waters that are surface discharged may terminate in drainage ditches, storm sewers, creeks, and/or "waters of the United States" and would require a permit to discharge water from the governing agency.

1.2.17 Waters of the United States

All waters which are under the jurisdiction of the Clean Water Act, as defined in 33 CFR 328.

1.2.18 Wetlands & Other Waters of the U.S.

Wetlands means those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, and bogs. There are Federal and State wetlands located on Niagara Falls Air Reserve Station. Construction or work in these areas including other “Waters of the U.S.” such as Cayuga Creek and its tributaries and certain drainage ditches are regulated by the NYSDEC and the

U.S. Army Corps of Engineers. The Contractor shall prepare permit applications for work in these areas in coordination with the 914 MSG/CEV.

1.3 GENERAL REQUIREMENTS

The Contractor shall minimize environmental pollution and damage that may occur as the result of construction operations. The environmental resources within the project boundaries and those affected outside the limits of permanent work shall be protected during the entire duration of this contract. The Contractor shall comply with all applicable environmental Federal, State, and local laws and regulations. The Contractor shall be responsible for any delays resulting from failure to comply with environmental laws and regulations.

1.4 CONFORMANCE WITH ENVIRONMENTAL MANAGEMENT SYSTEM

The Contractor shall perform work under this contract consistent with the relevant policy and objectives identified in the agency, organizational, or facility environmental management system (EMS) applicable for your contract. The Contractor shall perform work in a manner that conforms to all appropriate Environmental Management Programs and Operational Controls identified by the agency, organizational, or facility EMS, and provide monitoring and measurement information as necessary for the organization to address environmental performance relative to the environmental, energy, and transportation management goals. In the event an environmental nonconformance or noncompliance associated with the contracted services is identified, the contractor shall take corrective and/or preventative actions. In the case of a noncompliance, the Contractor shall respond and take corrective action immediately. In the case of a nonconformance, the Contractor shall respond and take corrective action based on the time schedule established by the EMS Site Coordinator. In addition, the Contractor shall ensure that their employees are aware of the roles and responsibilities identified by the environmental management system and how these requirements affect their work performed under this contract.

1.5 SUBCONTRACTORS

The Contractor shall ensure compliance with this section by subcontractors.

1.6 PAYMENT

No separate payment will be made for work covered under this section. The Contractor shall be responsible for payment of fees associated with environmental permits, application, plan development, and/or notices obtained by the Contractor. All costs associated with this section shall be included in the contract price. The Contractor shall be responsible for payment of all fines/fees for violation or non-compliance with Federal, State, Regional and local laws and regulations. The Contractor shall be responsible for payment of all costs associated with the cleanup of a spill caused by the Contractor.

1.7 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Environmental Protection Plan; G,

Stormwater Pollution Prevention Plan; G,

Certificate of Applicability/Non-Applicability of EISA 2007 Section 438; G,

Survey Report

Demolition Plan, [IF REQUIRED, SEE SECT 1.10 OF THIS SPEC], G

SD-09 Field Reports

Stormwater Inspection Reports,

Waste/Recycled Material Log (Attachment 2)

SD-11 Closeout Submittals

Disposal Documentation for Hazardous and Regulated Waste,

Waste/Recycled Material Log (Attachment 2)

1.8 ENVIRONMENTAL PROTECTION PLAN

Prior to commencing construction activities or delivery of materials to the site, the Contractor shall submit an Environmental Protection Plan for review and approval by the Contracting Officer and 914 MSG/CEV. The purpose of the Environmental Protection Plan is to present a comprehensive overview of known or potential environmental issues which the Contractor must address during construction. Issues of concern shall be defined within the Environmental Protection Plan as outlined in this section. The Contractor shall address each topic at a level of detail commensurate with the environmental issue and required construction task(s). Topics or issues which are not identified in this section, but which the Contractor considers necessary, shall be identified and discussed after those items formally identified in this section. Prior to submittal of the Environmental Protection Plan, the Contractor shall meet with the Contracting Officer for the purpose of discussing the implementation of the initial Environmental Protection Plan; possible subsequent additions and revisions to the plan including any reporting requirements; and methods for administration of the Contractor's Environmental Plans. The Environmental Protection Plan shall be current and maintained onsite by the Contractor.

1.8.1 Compliance

No requirement in this Section shall be construed as relieving the Contractor of any applicable Federal, State, and local environmental protection laws and regulations. During Construction, the Contractor shall be responsible for identifying, implementing, and submitting for approval any additional requirements to be included in the Environmental Protection Plan.

1.8.2 Contents

The environmental protection plan shall include, but shall not be limited to, the following:

a. Name(s) of person(s) within the Contractor's organization who is(are) responsible for ensuring adherence to the Environmental Protection Plan.

b. Name(s) and qualifications of person(s) responsible for manifesting hazardous waste to be removed from the site, if applicable.

c. Name(s) and qualifications of person(s) responsible for training the Contractor's environmental protection personnel.

d. Description of the Contractor's environmental protection personnel training program.

e. Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, material storage areas, structures, sanitary facilities, and stockpiles of excess or spoil materials including methods to control runoff and to contain materials on the site.

f. Traffic control plans including measures to reduce erosion of temporary roadbeds by construction traffic, especially during wet weather. Plan shall include measures to minimize the amount of sediment transported onto paved roads by vehicles or runoff.

g. Work area plan showing the proposed activity in each portion of the area and identifying the areas of limited use or nonuse. Plan should include measures for marking the limits of use areas including methods for protection of features to be preserved within authorized work areas.

h. Drawing showing the location of borrow areas.

i. A Spill Control Plan which shall include the procedures, instructions, and reports to be used in the event of an unforeseen spill of a substance regulated by 40 CFR 68, 40 CFR 302, 40 CFR 355, and/or regulated under State or Local laws and regulations. The Spill Control Plan shall include procedures contained within the Hazardous Materials Emergency Planning and Response (HAZMAT) Plan for Niagara Falls Air Reserve Station “Quick Reference Guide, Off-Station Contractors and Suppliers” (Attachment 1). In response to a spill, the Contractor is responsible for assisting the Fire Department (914 MSG/CEF) or Environmental Office (914 MSG/CEV) in development of a spill report and any other actions needed by Niagara Falls ARS to respond to the spill/cleanup. This plan shall include as a minimum:

1. The name of the individual who will report any spills or hazardous substance releases and who will follow up with complete documentation. This individual shall immediately notify the Fire Department and the Contracting Officer. The plan shall contain a list of the required reporting channels and telephone numbers.

2. The name and qualifications of the individual who will be responsible for implementing and supervising the containment and cleanup.

3. Training requirements for Contractor's personnel and methods of accomplishing the training.

4. A list of materials and equipment to be immediately available at the job site, tailored to cleanup work of the potential hazard(s) identified.

5. The methods and procedures to be used for expeditious contaminant and cleanup.

j. A Non-hazardous Solid Waste Disposal Plan which identifies methods and locations for solid waste disposal including clearing debris. The plan shall include schedules for disposal. The Contractor shall identify any subcontractors responsible for the transportation and disposal of solid waste. Licenses or permits shall be submitted for solid waste disposal sites that are not a commercial operating facility. Evidence of the disposal facility's acceptance of the solid waste (i.e. acceptance letter prior to disposal, weight tickets, receipts, etc.) shall be attached to this plan during the construction.

The Contractor shall attach a copy of each of the Non-hazardous Solid Waste Diversion Reports to the disposal plan. The report shall be submitted to the Contracting Officer and 914 MSG/CEV on the first working day after the first quarter that non-hazardous solid waste has been disposed and/or diverted and shall be for the previous quarter (e.g. the first working day of January, April, July, and October) and at project completion. The report shall state the project name, project number, project duration, contractor name, name of contact, and phone number. Additionally, the report shall include the date the waste was disposed, waste type (i.e. scrap metal concrete, construction debris, etc.), disposal method (landfill, recycle, incineration, etc.), disposal location

(facility name, city, state), and total amount (in tons) of waste generated. See Attachment 2 for Waste/Recycled Material Log.

k. A Recycling and Solid Waste Minimization Plan which identifies the measures to divert waste disposal from landfills. The Contractor must divert solid waste and construction and demolition debris (C&D) in accordance with federal regulations, see tables below. The Contractor will generate the least amount of solid waste possible, maximize pollution prevention processes, and maximize landfill diversion through source reduction, reuse of materials, and recycling. The Contractor will not dispose of any hazardous waste, salvageable items, green waste, concrete, or asphalt in landfills. These items must be properly disposed, diverted, recycled, or reused. The plan shall detail the Contractor's actions to comply with and to participate in Federal, State, Regional, and local government sponsored recycling programs to reduce the volume of solid waste at the source.

Solid Waste

Fiscal year	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Minimum Diversion Rate Requirement	42%	44%	46%	48%	50%	50%	50%	50%	50%	50%

C&D Waste

Fiscal year	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Minimum Diversion Rate Requirement	52%	54%	56%	58%	60%	60%	60%	60%	60%	60%

l. An Air Pollution Control plan which details the measures to limit dust, debris, materials, trash, etc. from impacting air quality.

m. A Contaminant Prevention Plan that: identifies potentially hazardous substances to be used on the job site; identifies the intended actions to prevent introduction of such materials into the air, water, or ground; and details provisions for compliance with Federal, State, and local laws and regulations for storage and handling of these materials. In accordance with EM 385-1-1, a copy of the Material Safety Data Sheets (MSDS) and the maximum quantity of each hazardous material to be on site at any given time shall be included in the contaminant prevention plan. As new hazardous materials are brought on site or removed from the site, the plan shall be updated.

n. A Waste Water Management Plan that identifies the methods and procedures for management and/or discharge of waste waters which are directly derived from construction activities, such as concrete curing water, dewatering of ground water, disinfection water, hydrostatic test water, and water used in flushing of lines. Waste water must be discharged in accordance with applicable Federal, state, and local regulations. If proposed discharge methods require permits, the Contractor shall submit a copy of the permit and associated documentation to the Contracting Officer prior to discharging the waste water.

o. A Historical, Archaeological, Cultural, Biological Resources and Wetlands Plan that defines procedures for identifying and protecting historical, archaeological, cultural resources, biological resources and wetlands known to be on the project site: and/or identifies procedures to be followed if historical archaeological, cultural resources, biological resources and wetlands not previously known to be onsite or in the area are discovered during construction. The plan shall include methods to assure the protection of known or discovered resources and shall identify lines of communication between Contractor personnel and the Contracting Officer.

p. A Pesticide Treatment Plan shall be included and updated, as information becomes available. The plan shall include: sequence of treatment, dates, times, locations, pesticide trade name, EPA registration numbers, authorized uses, chemical composition, formulation, original and applied concentration, application rates of active ingredient (i.e. pounds of active ingredient applied), equipment used for application and calibration of equipment. The Contractor is responsible for Federal, State, Regional and Local pest management record keeping and reporting requirements as well as any additional Installation Project Office specific requirements. The Contractor shall follow AR 200-5 Pest Management, Chapter 2, Section III "Pest Management Records and Reports" for data required to be reported to the Installation AFI 32-1053 Sections 3.4.13 and 3.4.14 for data required to be reported to the Installation.

1.8.3 Appendix

Copies of all environmental permits, permit application packages, approvals to construct, notifications, certifications, reports, and termination documents shall be attached, as an appendix, to the Environmental Protection Plan. The Contractor shall prepare all permit, permit applications, etc. for review and approval by 914 MSG/CEV. 914 MSG/CEV shall submit all permits and permit applications, etc. to the appropriate regulatory authorities unless otherwise agreed upon between the Contractor and 914 MSG/CEV.

1.9 STORMWATER POLLUTION PREVENTION PLAN (SWPPP)

The Contractor shall prepare a project-specific Stormwater Pollution Prevention Plan (SWPPP) in accordance with New York State Department of Environmental Conservation (NYSDEC) regulations or Niagara Falls ARS guidance based on the extent of soil disturbance for the construction activity.

The definition of a construction activity is defined in the NYSDEC State Pollutant Discharge Elimination System (SPDES) General Permit for Stormwater Discharges from Construction Activity, GP-0-10-001 (or most recent version) as “any clearing, grading, excavation, filling, demolition or stockpiling activities that result in soil disturbance. Clearing activities can include, but are not limited to, logging equipment operation, the cutting and skidding of trees, stump removal and/or brush root removal. Construction activity does not include routine maintenance that is performed to maintain the original line and grade, hydraulic capacity, or original purpose of a facility.”

1.9.1 Construction Activities with Soil Disturbance Equal to or Greater than 1 Acre

For construction activities with soil disturbance equal to or greater than one acre, a NYSDEC SPDES General Permit for Stormwater Discharges from Construction Activity, GP-0-10-001 (or most recent version), shall be obtained. The Contractor shall be responsible for compliance with this permit. The Contractor will be responsible for all reports and documentation needed to comply with this permit including, but not limited to: the Notice of Intent, SWPPP, inspection reports, NOT. The Contractor shall prepare all permit related documentation for approval by 914 MSG/CEV or 914 MSG/CEV designated entity prior to start of construction activities. 914 MSG/CEV or 914 MSG/CEV designated entity shall submit all permits and permit applications, etc. to the appropriate regulatory authorities unless otherwise agreed upon between the Contractor and 914 MSG/CEV.

In addition to the minimum SWPPP component requirements as required by the permit, the Contractor (and its Subcontractors) shall:

a. Sign a contractor certification statement ensuring compliance with stormwater control measures described within the SWPPP.

b. Define Trained Contractor(s) (as defined in the permit) on behalf of the Contractor and Subcontractor who will be present on-site on a daily basis during soil disturbing activities.

c. Designate and provide a Qualified Inspector (as defined in the permit) to perform required inspections.

d. Include training certificates for Trained Contractor(s) and Qualified Inspector(s) who will perform these duties throughout the duration of the project.

Construction projects for facilities with a footprint greater than 5,000 gross square feet, or those that expand the footprint of existing facilities by more than 5,000 gross square feet as defined by EISA Section 438 must comply with the requirements contained within EISA Section 438. The Contractor shall develop and submit a Certificate of Applicability/Non-Applicability of EISA 2007 Section 438 that documents compliance with the regulation. The certificate shall include at a minimum:

a. Site evaluation and soils analysis;
b. Calculations for the 95th percentile rainfall event or the pre-development runoff volumes and rates to identify the volume of stormwater requiring management;
c. Documentation of modifications to the performance design objective based on technical constraints;
d. The site design and stormwater management practices employed at the site;
e. Design calculations for each stormwater management practice employed;
f. The respective volume of stormwater managed by each practice and the system as a whole;
g. Operations and maintenance protocols for the stormwater management system

1.9.2 Construction Activities with Soil Disturbance Less than 1 Acre

For construction activities with soil disturbance less than one acre, a SWPPP shall be developed in accordance with the “Niagara Falls ARS Guidance for Stormwater Pollution Prevention/Erosion & Sediment Control Plan for Disturbances that are Less than One Acre”, see Attachment 3. The Contractor will be responsible for compliance with the SWPPP and all reports and documentation described within the SWPPP. The contractor shall submit the SWPPP for approval by 914 MSG/CEV prior to start of construction activities.

Construction projects for facilities with a footprint greater than 5,000 gross square feet, or those that expand the footprint of existing facilities by more than 5,000 gross square feet as defined by EISA Section 438 must comply with the requirements contained within EISA Section 438. The Contractor shall develop and submit a Certificate of Applicability/Non-Applicability of EISA 2007 Section 438 that documents compliance with the regulation. The certificate shall include at a minimum:

a. Site evaluation and soils analysis;
b. Calculations for the 95th percentile rainfall event or the pre-development runoff volumes and rates to identify the volume of stormwater requiring management;
c. Documentation of modifications to the performance design objective based on technical constraints;
d. The site design and stormwater management practices employed at the site;
e. Design calculations for each stormwater management practice employed;

1.10 PROTECTION FEATURES

Prior to start of any onsite construction activities, the Contractor and the Contracting Officer shall make a joint condition survey. Immediately following the survey, the Contractor shall prepare a brief report including a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. This survey report shall be signed by both the Contractor and the Contracting Officer upon mutual agreement as to its accuracy and completeness. The Contractor shall protect those environmental features included in the Survey Report and any indicated on the drawings, regardless of interference which their preservation may cause to the Contractor's work under the contract.

1.11 SPECIAL ENVIRONMENTAL REQUIREMENTS

The Contractor shall comply with the special environmental requirements listed here and included at the end of this section.

1.11.1 Demolition

If the project requires the demolition of facility, portion of a facility, or structure a Demolition Plan shall be submitted to the Contracting Officer for approval prior to the start of demolition. At a minimum, the Demolition Plan shall include the following: proposed method to demolish buildings, recycling plan, engineering controls to reduce the amount of dust released to the air, disposal location, utilities capping plan, etc.

1.11.2 Hazardous Material/Waste Certifications and Training

If the project requires the Contractor to be in contact with hazardous materials or wastes, the Contractor and their personnel shall be appropriately trained and certified to handle such materials. At a minimum, personnel must be trained in accordance with OSHA 29 CFR 1910.120, Hazardous Waste Operations and Emergency Response (HAZWOPER) standards. Documentation of proper training shall be submitted with the Environmental Protection Plan or upon request.

1.11.3 Asbestos

The Contractor shall comply with all Federal, state (New York State Code Rule 56), and local regulations for handling, removal, and disposal of asbestos containing material. The Contractor shall notify the Contracting Officer and 914 MSG/CEV before conducting any work that involves any material that contains or is suspected to contain asbestos.

1.11.4 Lead-based Paint

The Contractor shall comply with all Federal, state, and local regulations for work involving Lead-based paint. The Contractor shall notify the Contracting Officer and 914 MSG/CEV before conducting any work involving Lead-based paint.

1.12 ENVIRONMENTAL ASSESSMENT OF CONTRACT DEVIATIONS

Any deviations, requested by the Contractor, from the drawings, plans and specifications which may have an environmental impact will be subject to approval by the Contracting Officer and 914 MSG/CEV and may require an extended review, processing, and approval time. The Contracting Officer reserves the right to disapprove alternate methods, even if they are more cost effective, if the Contracting Officer or 914 MSG/CEV determines that the proposed alternate method will have an adverse environmental impact.

1.13 NOTIFICATION

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with Federal, State or local environmental laws or regulations, permits, and other elements of the Contractor's Environmental Protection Plan. The Contractor shall, after receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions shall be granted or equitable adjustments allowed to the Contractor for any such suspensions. This is in addition to any other actions the Contracting Officer may take under the contract, or in accordance with the Federal Acquisition Regulation or Federal Law.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.1 ENVIRONMENTAL PERMITS AND COMMITMENTS

The Contractor shall be responsible for obtaining and complying with all environmental permits and commitments required by Federal, State, Regional, and local environmental laws and regulations. The Contractor shall prepare all permit applications for review and approval by 914 MSG/CEV. 914 MSG/CEV shall submit all permits and permit applications, etc. to the appropriate regulatory agencies unless otherwise agreed upon by the Contractor and 914 MSG/CEV. The Contractor shall prepare and submit the Joint Application form to 914 MSG/CEV as necessary for appropriate permits.

3.2 LAND RESOURCES

The Contractor shall confine all activities to areas defined by the drawings and specifications. Prior to the beginning of any construction, the Contractor shall identify any land resources to be preserved within the work area. Except in areas indicated on the drawings or specified to be cleared, the Contractor shall not remove, cut, deface, injure, or destroy land resources including trees, shrubs, vines, grasses, topsoil, and land forms without approval. No ropes, cables, or guys shall be fastened to or attached to any trees for anchorage unless specifically authorized. The Contractor shall provide effective protection for land and vegetation resources at all times as defined in the following subparagraphs. Stone, soil, or other materials displaced into uncleared areas shall be removed by the Contractor.

3.2.1 Work Area Limits

Prior to commencing construction activities, the Contractor shall mark the areas that need not be disturbed under this contract. Isolated areas within the general work area which are not to be disturbed shall be marked or fenced. Monuments and markers shall be protected before construction operations commence. Where construction operations are to be conducted during darkness, any markers shall be visible in the dark. The Contractor's personnel shall be knowledgeable of the purpose for marking and/or protecting particular objects.

3.2.2 Landscape

Trees, shrubs, vines, grasses, land forms and other landscape features indicated and defined on the drawings to be preserved shall be clearly identified by marking, fencing, or wrapping with boards, or any other approved techniques. The Contractor shall restore landscape features damaged or destroyed during construction operations outside the limits of the approved work area.

3.2.3 Contractor Facilities and Work Areas

The Contractor's field offices, staging areas, stockpile storage, and temporary buildings shall be placed in areas designated on the drawings or as directed by the Contracting Officer. Temporary movement or relocation of Contractor facilities shall be made only when approved. Erosion and sediment controls shall be provided for on-site borrow and spoil areas to prevent sediment from entering nearby waters. Temporary excavation and embankments for plant and/or work areas shall be controlled to protect adjacent areas.

3.3 WATER RESOURCES

The Contractor shall monitor construction activities to prevent pollution of surface and ground waters. Toxic or hazardous chemicals shall not be applied to soil or vegetation unless otherwise indicated. All water areas affected by construction activities shall be monitored by the Contractor. For construction activities immediately adjacent to impaired surface waters, the Contractor shall be capable of quantifying sediment or pollutant loading to that surface water when required by State or Federally issued Clean Water Act permits.

The Contractor shall implement and adhere to the provisions in the SWPPP, as discussed in Section 1.8. This includes, but is not limited to, construction, maintenance, and inspection of appropriate Best Management Practices (BMPs). The Contractor shall maintain Stormwater Inspection Reports in accordance with the SWPPP and submit these reports to 914 MSG/CEV for review on request.

3.4 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with all Federal, state, and local air emission and performance laws and standards.

3.4.1 Particulates

The Contractor shall control dust particles, aerosols, and gaseous by-products from construction activities at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and all other work areas to prevent nuisance or hazardous air conditions. The Contractor shall comply with all Federal, state, and local regulations for air pollution, including visibility requirements. The Contractor shall implement air pollution control measures as proposed in the Environmental Protection Plan and approved by 914 MSG/CEV.

3.4.2 Odors

The Contractor shall control odors to prevent nuisances and to maintain compliance with Federal, state, and local regulations.

3.4.3 Noise

The Contractor shall comply with Federal, state, and local regulations for noise from construction activities.

3.4.3 Burning

Burning shall be prohibited on the Government premises.

3.5 HAZARDOUS MATERIALS MANAGEMENT AND WASTE DISPOSAL

3.5.1 Hazardous Materials

Hazardous materials are defined in 49 CFR 171 - 178. The Contractor shall register all hazardous materials with the Niagara Falls HAZMART and submit a Hazardous Material Inventory Log to the Contracting Officer prior to construction and at project completion. The Hazardous Material Inventory Log shall include a list all hazardous materials used with chemical MSDS, maximum quantity stored on base, dates used, and the total quantity used. The Contractor shall manage and store all hazardous materials in accordance with Federal, state, and local regulations. Copies of MSDS shall be maintained on site at all times.

3.5.2 Contractor Generated Hazardous Waste/Excess Hazardous Material

Hazardous wastes are defined in 40 CFR 261, or are as defined by applicable State and local regulations.

The Contractor shall, at a minimum, manage and store hazardous waste in compliance with 40 CFR 262 and in accordance with the Environmental Protection Plan discussed in Section 1.7. The Contractor shall take sufficient measures to prevent spillage of hazardous and toxic materials during dispensing. The Contractor shall segregate hazardous waste from other materials and wastes, shall

protect it from the weather by placing it in a safe covered location, and shall take precautionary measures such as berming or other appropriate measures against accidental spillage.

The Contractor shall be responsible for storage, describing, packaging, labeling, marking, and placarding of hazardous waste and hazardous material in accordance with 49 CFR 171 - 178, State, and local laws and regulations. The Contractor shall transport Contractor generated hazardous waste off Government property within 60 days in accordance with the Environmental Protection Agency and the Department of Transportation laws and regulations. The Contractor shall manifest, pack, ship and dispose of hazardous waste, regulated waste, and universal waste in compliance with Federal, State and local laws and regulations.

Spills of hazardous or toxic materials shall be immediately reported to the Contracting Officer and the 914 MSG/CEV. Cleanup and cleanup costs due to spills shall be the Contractor's responsibility. The disposition of Contractor generated hazardous waste and excess hazardous materials are the Contractor's responsibility. The Contractor shall coordinate the disposition of hazardous waste with the Contracting Officer and 914 MSG/CEV.

3.5.3 Disposal Documentation for Hazardous and Regulated Waste

The Contractor shall submit a copy of the applicable EPA and or State permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifest must be reviewed, signed, and approved before the Contractor may ship waste.

3.5.5 Fuel and Lubricants

Storage, fueling and lubrication of equipment and motor vehicles shall be conducted in a manner that affords the maximum protection against spill and evaporation. Fuel, lubricants and oil shall be managed and stored in accordance with all Federal, State, Regional, and local laws and regulations. Used lubricants and used oil to be discarded shall be stored in marked corrosion-resistant containers and recycled or disposed in accordance with 40 CFR 279, State, and local laws and regulations. There shall be no storage of fuel on the project site. Fuel must be brought to the project site each day that work is performed.

3.5.6 Waste Water

Disposal of waste water shall be as specified below.

a. Waste water from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, forms, etc. shall be contained for disposal. The Contractor shall dispose of the construction related waste water off-Government property in accordance with all Federal, State, Regional and Local laws and regulations.

b. For discharge of groundwater, the Contractor shall propose a disposal method in the Environmental Protection Plan for approval by 914 MSG/CEV. If a State or Federal permit is required based on the proposed disposal method the Contractor shall prepare all supporting permit documentation for approval by 914 MSG/CEV prior to start of construction activities. 914 MSG/CEV shall submit all permits and permit applications, etc. to the appropriate regulatory authorities unless otherwise agreed upon between the Contractor and 914 MSG/CEV.

c. For discharge of water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing hydrostatic testing, the Contractor shall propose a disposal method in the Environmental Protection Plan for approval by 914 MSG/CEV.

3.6 HISTORICAL, ARCHAEOLOGICAL, AND CULTURAL RESOURCES

There are no known historic properties at Niagara Falls Air Reserve Station.
If there are unanticipated discoveries of historic properties during construction, excavation, or repair, contractors will stop or redirect work and notify the Base Contracting Officer (who in turn shall immediately notify the 914 MSG/CEV Cultural Resources Manager) until a qualified archeologist can evaluate the find. Examples of historic properties include but are not limited to; (a) intact or fragmentary artifacts of human manufacture such as tools, weapons, pottery, basketry, and textiles; (b) human remains such as bone, teeth, mummified flesh, burials and cremations; and (c) components of structures and features such as houses, mills, piers, fortifications, raceways, earthworks and mounds.

Should the unanticipated discovery involve human remains, construction activities will stop in the vicinity and the Base Contracting Officer shall be notified immediately (who in turn shall immediately notify the 914 MSG/CEV Cultural Resources Manager).

3.7 BIOLOGICAL RESOURCES

The Contractor shall minimize interference with, disturbance to, and damage to fish, wildlife, and plants including their habitat. The Contractor shall be responsible for the protection of threatened and endangered animal and plant species including their habitat in accordance with Federal, State, Regional, and local laws and regulations.

3.8 INTEGRATED PEST MANAGEMENT

In order to minimize impacts to existing fauna and flora, the Contractor, through the Contracting Officer, shall coordinate with the Installation Pest Management Coordinator (IPMC) Project Pesticide Coordinator (PPC) at the earliest possible time prior to pesticide application. The Contractor shall discuss integrated pest management strategies with the IPMC and receive concurrence from the IPMC through the COR prior to the application of any pesticide associated with these specifications. Installation Project Office Pest Management personnel shall be given the opportunity to be present at all meetings concerning treatment measures for pest or disease control and during application of the pesticide. The use and management of pesticides are regulated under 40 CFR 152 - 186.

3.8.1 Pesticide Delivery and Storage

Pesticides shall be delivered to the site in the original, unopened containers bearing legible labels indicating the EPA registration number and the manufacturer's registered uses. Pesticides shall be stored according to manufacturer's instructions and under lock and key when unattended.

3.8.2 Qualifications

For the application of pesticides, the Contractor shall use the services of a subcontractor whose principal business is pest control. The subcontractor shall be licensed and certified in the state where the work is to be performed.

3.8.3 Pesticide Handling Requirements

The Contractor shall formulate, treat with, and dispose of pesticides and associated containers in accordance with label directions and shall use the clothing and personal protective equipment specified on the labeling for use during all phases of the application. Material Safety Data Sheets (MSDS)shall be available for all pesticide products.

3.8.4 Application

Pesticides shall be applied by a State Certified Pesticide Applicator in accordance with EPA label restrictions and recommendation. The Certified Applicator shall wear clothing and personal protective equipment as specified on the pesticide label. Water used for formulating shall only come from locations designated by the Contracting Officer. The Contractor shall not allow the equipment to overflow. Prior to application of pesticide, all equipment shall be inspected for leaks, clogging, wear, or damage and shall be repaired prior to being used.

3.9 PREVIOUSLY USED EQUIPMENT

The Contractor shall clean all previously used construction equipment prior to bringing it onto the project site. The Contractor shall ensure that the equipment is free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. The Contractor shall consult with the USDA jurisdictional office for additional cleaning requirements.

3.10 MAINTENANCE OF POLLUTION FACILITIES

The Contractor shall maintain permanent and temporary pollution control facilities and devices for the duration of the contract or for that length of time construction activities create the particular pollutant.

3.11 TRAINING OF CONTRACTOR PERSONNEL

The Contractor's personnel shall be trained in all phases of environmental protection and pollution control. The Contractor shall conduct environmental protection/pollution control meetings for all Contractor personnel prior to commencing construction activities. Additional meetings shall be conducted for new personnel and when site conditions change. The training and meeting agenda shall include: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, wetlands, and endangered species and their habitat that are known to be in the area.

All on-site contractor personnel shall complete yearly EPA sponsored environmental training specified for the type of work conducted on-site. Upon contract award, the Contracting Officer's Representative will notify the facility-level Environmental Management Systems Coordinator to arrange EMS training for appropriate staff.

3.12 POST CONSTRUCTION CLEANUP

The Contractor shall clean up all areas used for construction in accordance with Contract Clause: "Cleaning Up". The Contractor shall, unless otherwise instructed in writing by the Contracting Officer, obliterate all signs of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. The disturbed area shall be graded, filled and the entire area seeded unless otherwise indicated.

-- End of Section --

ATTACHMENT 1

OFF-STATION CONTRACTORS AND SUPPLIERS

IF YOU DETECT A SPILL OF POL OR OTHER HAZARDOUS MATERIAL

The following steps should be taken if an off-base contractor or supplier detects a spill of POL or hazardous material resulting from their operations at Niagara Falls ARS.

If the spill can be contained by the off-base contractor or supplier without injury to personnel and without assistance from Niagara Falls ARS response teams, the following procedures shall be observed by the organization or any person recognizing an oil and/or hazardous material release:

1. Contain and/or control the release.
2. Notify the CEF at 236-2117 commercial (ext. 911 on-Station phone) and provide the following information:
    a. Name of individual reporting spill, facility address, facility phone number
    b. Date and time of discharge
    c. Location of discharge
    d. Type of material discharged
    e. Estimate of the total quantity discharged
    f. Source of the discharge
    g. Description of all affected media (e.g., water, land, air)
    h. Cause of the discharge
    i. Damages or injuries caused by the discharge
    j. Actions being used to stop, remove, and mitigate effects of the discharge
    k. Whether an evacuation has occurred
    l. Names of other individuals or organizations contacted
3. Clean up the release using proper absorbent media for the substance spilled. Recover as much of the spill as possible utilizing absorbents or approved vacuum device to minimize hazardous waste volume. **(Do not hose down spilled material into floor drains or storm drains.)**
4. Follow any operating instructions and/or directive relative to spill response and proper waste disposal.
5. If requested by any appropriate Station official, properly label and close DOT-approved containers of the spilled material and contaminated absorbent, assist with the completion of AF Forms 1348-1 and 2005, and contact the hazardous waste coordinator to turn-in the waste to the hazardous waste storage facility.
6. Complete HAZMAT Release Incident Form (see end of this Guide) with available information and submit to 914 AW/MSG/CEV as soon as possible but not later than close of business the next business day.

If the spill cannot be contained by the off-Station contractor or supplier**:**

1. Activate emergency alarm system, if any.
2. Evacuate to an area upwind of the spill.
3. Ensure all employees shut down their operations and secure their equipment, if time permits.
4. Notify the CEF at 236-2117 commercial (ext. 911 on-Station phone) and provide the following information:
    a. Name of individual reporting spill, facility address, facility phone number
    b. Date and time of discharge
    c. Type of material discharged
    d. Estimate of the total quantity discharged
    e. Source of the discharge
    f. Description of all affected media (e.g., water, land, air)
    g. Cause of the discharge
    h. Damages or injuries caused by the discharge
    i. Actions being used to stop, remove, and mitigate effects of the discharge
    j. Whether an evacuation has occurred
    k. Names of other individuals or organizations contacted
5. Stop the source of the spill when possible without undue risk of personal injury, including the use of on-site spill containment equipment and materials.
6. Make the spill scene OFF LIMITS to unauthorized personnel.
7. Restrict or eliminate all sources of ignition.
8. Report to the Incident Commander (IC) upon his/her arrival.
9. Ensure an employee roll call is conducted to ensure all personnel are accounted for and not trapped in the affected area.
10. Perform or assist with the post-emergency cleanup operations within the contractor's/supplier's capabilities and under the direction of Civil Engineering. Permission must be obtained through CEV/CEX and the Contracting Officer to bring additional off-Station resources (i.e. non-AFR contracted spill response contractors) to the Station.
11. Follow any operating instructions and/or directive by IC relative to spill response and proper waste disposal.
12. Complete HAZMAT Release Incident Form (see end of this Guide) with available information and submit to 914 AW/MSG/CEV as soon as possible but not later than close of business the next business day.

NIAGARA FALLS AIR RESERVE STATION
HAZMAT RELEASE INCIDENT FORM

A. GENERAL INFORMATION **INCIDENT NO. (From Fire Dept.)**_____

Date of Incident: _____ Time of Incident: _____ Report Date: _____

Notifier's Name: _____ Phone: _____ Location: _____ Organization: _____

Spiller's Name: _____ Phone: _____ Location: _____ Organization: _____

Severity of Incident: ☐ Level I ☐ Level II ☐ Level III
(Fire Department Determines Response Level: Tables 2-1 and 2-2 of Plan)

Location (bldg. no. or other descriptive info): _____

Pollutant: ☐ JP-___ ☐ Mogas ☐ Diesel Fuel ☐ Other _____

Chemical Name: _____ CAS No: _____ RQ: _____

Amount Discharged: _____ Source of Discharge: _____

How Estimated: _____

Description of Incident: _____

Affected Media: ☐ Air ☐ Land ☐ Water ☐ Other _____

Amount Discharge to Navigable Waters or Adjoining Shorelines: _____

Cause: ☐ Human Error ☐ Procedure Other, Describe:_____
☐ Equipment Failure ☐ Pressure-related

Threat/Damage to Human Life: ☐ Severe ☐ Minor ☐ None

Threat/Damage to Property: ☐ Severe ☐ Federal ☐ Private ☐ Minor ☐ State
☐ None ☐ Municipal

Threat/Damage to Environment: ☐ Severe ☐ Fish ☐ Other: _____
☐ Minor ☐ Wildlife ☐ None ☐ Plants

Describe release response: _____

Immediately report release to Fire Dept.@ 911 and CEV (3126/3124 – 914th AW; 2514 – 107th AW).
Time reported: (Fire Dept.) _____ (CEV) _____
Describe cleanup action: _____

Long-term Remediation Required? ☐ Yes ☐ No

Is Off-Station Notification Required? (refer to
(HAZMAT Plan pages 2-13 to 2-20) ☐ Yes ☐ No ***If YES, complete Section B.***

NIAGARA FALLS AIR RESERVE STATION
HAZMAT RELEASE INCIDENT FORM *(continued)*

B. OFF-STATION REPORTING OF RELEASE (COMPLETE ONLY IF REQUIRED)

THE HAZMAT PLAN, CHAPTER 2, HAS A TABLE THAT DESCRIBES THE VARIOUS SCENARIOS THAT REQUIRE OFF-STATION REPORTING OF RELEASES. REFER TO THE TABLE BEFORE COMPLETING THE REMAINDER OF THIS FORM.

NOTIFICATIONS: NOTIFIER'S NAME _____

NRC/Case No. _____	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
SEMO	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
LEPC	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
DEC	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
DOT	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
Niagara Co. Health Dept.	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
Sewer District #1	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
U.S. EPA Region II	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____
HQ AFRC/CEV	☐ Yes	☐ No	Date:_____	Time:_____	Name:_____

New York State DEC Spill Number: _____

No. of employees in facility: _____ No. evacuated: _____

General Public notified? ☐ Yes ☐ No How: _____

C. PREVENTATIVE ACTIONS

Training, procedures, management practices to be implemented to prevent future occurrence of release:

_____

_____

D. REPORTING OFFICIAL

Name of Reporting Official: _____ Organization: _____

Signature: _____ Date: _____

ATTACHMENT 2

WASTE/RECYCLED MATERIALS LOG FOR CONTRACTORS

Contractor Guidelines: Contractor shall fill out this log as a record of how waste generated during a construction project will be disposed/recycled. Original or copies of the waste tickets received from the landfill or recycling facility shall accompany this log. The log shall be submitted to the 914 MSG/CEV via the Contracting Officer quarterly (1 Jan, 1 Apr, 1 Jul, 1 Oct) and upon project completion.

Project Name:	
Project Number:	
Project Duration:	
Contractor Name:	
Name of Contact:	
Phone No:	

Date	Waste Type (i.e. scrap metal, concrete, etc)	Disposal Method (Landfill or Recycle)	Disposal Location (Facility Name)	Weight (tons)

ATTACHMENT 3

Storm Water Pollution Prevention /Erosion & Sediment Control Plan
for disturbances that are less than one acre

1.0 Prepare a Stormwater Pollution Prevention/Erosion & Sediment Plan ("Plan") for projects with land disturbance that is less than one acre based on the following outline and guidance. Land disturbance is defined as soil exposure from clearing, grading, grubbing, excavating, and filling. The total amount of the disturbance must include the area of the overall plan of the disturbance.

1.1 This outline and guidance is for projects that disturb an area less than one acre. The total amount of the disturbed area shall include disturbance from all activities related to the project including utility and road work. If a project is phased over time or separated into distinct construction activities, the "total amount of disturbed area" shall be based on the land area that will ultimately be disturbed.

2.0 Notify the 914 CEC immediately if it is determined that the project will disturb greater than one acre. Disturbances that are greater than one acre have additional permitting requirements.

3.0 Discharges from construction activities shall not: 1) cause and increase in turbidity that will cause a substantial visible contrast to natural conditions 2) cause suspended, colloidal and settleable solids from sewage, industrial wastes or other wastes that will cause deposition or impair the waters for their best usages; and 3) cause residue from oil and floating substances attributable to sewage, industrial wastes or other wastes, nor visible oil film nor globules or grease.

3.1 It is a violation of the Clean Water Act and New York State water quality rules and regulations for not maintaining water quality and for the falsification of reports. There are substantial criminal, civil, and administrative penalties associated with violations.

3.1.1 Costs associated with fines for water quality violations because of contractor's failure to comply with New York State regulations for maintaining water quality will not be incurred by Niagara Falls Air Reserve Station; therefore, all fines levied by the New York State Department of Environmental Conservation for erosion control and water quality violations will be charged to the contractor.

4.0 The following areas should be addressed in the Plan. However, the extent of developing these areas within the Plan depends on the project and its potential impacts.

1) **Site evaluation and design** (site map, soil information, receiving waters, rainfall data, drainage patterns, grading and disturbance areas)
2) **Assessment** (total site disturbance, drainage areas, and runoff estimates)
3) **Control Selection/Plan Design** (erosion and sediment controls, inspection and maintenance)
4) **Certification** (for inspections and controls)
5) **Final Stabilization/Maintenance**

4.1 Other Controls and best management practices (BMPs):
1) **Good Housekeeping** (e.g., cleanup of sediments, and neat and orderly storage of hazardous materials, and prompt cleanup of spills)
2) **Waste Disposal** (e.g., construction, hazardous, spill residue, concrete, and sandblasting grit)
3) **Minimize Offsite Vehicle Tracking of Sediment** (streets should be cleaned on a daily basis to remove sediment tracked from the project site)
4) **Material Management**
5) **Spills**
6) **Control of Allowable Non-storm Water Discharges** (e.g., dewatering and sumps)

5.0 The Plan shall be amended if: 1. changes in design, construction, operation or maintenance have significantly effected discharges 2. the Plan is ineffective in minimizing pollutants 3. to identify any new contractors or subcontractors. Erosion and sedimentation control methods shall be changed to replace ineffective methods as soon as they are identified and the changes shall be documented in the Plan.

6.0 Sedimentation and erosion control (including maintenance and inspections) shall continue until project completion regardless if the project is temporarily delayed or work postponed due to seasonal or weather conditions, scheduling, or any other factors that would cause a work delay. See paragraph 7.0 for additional guidance on winter site stabilization and inspections.

→ **Use this outline for projects with less than one acre of land disturbance.**

1.0 GENERAL INFORMATION

Provide a general description of the proposed project. Provide the names and telephone numbers of those responsible for the project and the pollution prevention controls.

2.0 PROJECT DESCRIPTION

This section is a description of the construction project: what is being built, what is the extent of excavation, etc. A location map showing the location of the project should also be presented. Include any off-site soil storage or deposit locations.

3.0 SOILS

The types and percentages of soils present at the project site should be described and shown on a map.

4.0 TOPOGRAPHY AND DRAINAGE

The bulk of this section is a topographic map of the project site that includes surface waters, project boundaries, roadways, etc. The scale of the map should be one inch equals 100 feet or less with two-foot contour intervals. This section must also show what the final alterations to the site will look like upon project completion. The topographic map is typically used as a starting point to show the new, post-construction contour lines.

5.0 STAGING OF EARTH MOVING ACTIVITIES

A schedule and timeline of staging activities, as well as other E&S control measures, must be provided in this section.

6.0 STORM WATER MANAGEMENT

The quantity of runoff from the project site must be determined in this section in order to properly design the correct E&S and stormwater management controls.

7.0 POLLUTION PREVENTION

Provide a description of the measures to control litter, construction chemicals, and construction debris from becoming a pollutant source in the stormwater.

8.0 TEMPORARY E&S CONTROL MEASURES

All temporary erosion control measures to be used at the site must be described and their locations shown on a map. Including any off-site soil storage or deposit locations.

9.0	PERMANENT E&S CONTROL MEASURES
	All permanent erosion control measures to be used at the site must be described and their locations shown on a map. Also, stabilization techniques to be used upon project completion must be presented. Including any off-site soil storage or deposit locations.
10.0	INSPECTION AND MAINTENANCE PROGRAM FOR E&S CONTROL MEASURES
	An inspection and maintenance program for the project site must be identified in this section. This section ensures that pollution prevention and E&S measures are operating correctly. The results from these inspections can result in modifications to the stormwater controls and changes to the Plan. Documentation of the inspections is required.

Additional details for each section of the outline listed above.

1.0 General Information: The first section of the project-specific Plan should provide general information about the proposed project. It should identify at a minimum the type of work being done, who is responsible for erosion control, the contracting vehicle used, and the name of the contractor performing the work. Since different offices under various contracting mechanisms can manage the construction projects at Niagara Falls ARS, it is important for this section of the Plan to clearly identify the responsible parties.

Include the names, addresses, and phone numbers of the: Niagara Falls ARS project manager, the developer (i.e. construction contractor), and any additional contractors or subcontractors.

2.0 Project Description: Include a description of the construction activity, the size of the project, and provide a site map identifying the proposed project and the areas of ground disturbing activities. Provide a description of the intended sequence of activities which disturb soils (e.g. grubbing, excavating, grading).

3.0 Soils: Determine the type of soils present and their properties for erosion control.

4.0 Topography and Drainage: Use maps to depict topography and drainage features (existing, pre-development conditions). Show the existing drainage features and the final topography and new drainage patterns of the completed (proposed, future development condition) site. Information should also include upstream watershed areas that impact the project site receiving watercourses.

5.0 Staging and Earth Moving Activities: Develop a project schedule to minimize the amount of exposed soil at any one time. Describe the earth moving activities and the associated E&S control measures. Include the time of year of the earth moving activities and when the E&S control measures occur. Provide a schedule that includes the initial steps from ground breaking to final site stabilization.

The contractor shall initiate stabilization measures as soon as practicable in portions of the site where construction activities have temporarily or permanently ceased, but in no case more than 14 days after construction activity in that portion of the site has temporarily or permanently ceased. This requirement does not apply in the following instances:

a. where the initiation of stabilization measures by the 14th day after construction activity temporarily or permanently ceased is precluded by snow cover or frozen ground condition, stabilization measures shall be initiated as soon as practicable.

b. where construction activity on a portion of the site is temporarily ceased, and earth-moving activities will be resumed within twenty-one (21) days, temporary stabilization measures need not be initiated on that portion of the site.

6.0 Storm Water Management: Determine the amount of runoff from the site from before and after the project completion. The information is used to design the appropriate storm water runoff management facilities. The information is also used to determine the amount of additional stormwater runoff to downstream surface waters as a result of the project.

7.0 Pollution Prevention: Described spill prevention and response procedures from equipment and supplies at the project site. Identify the spill response equipment and supplies that will be located at the project site in sufficient quantities to control spills. Identify the best management practices to be employed in order to prevent storm water contamination.

8.0 Temporary E&S Measures: Use a topographic map to show the locations of all E&S facilities that will be used to control erosion and sedimentation during construction. Provide dimensional details on the construction and the maintenance schedule of the E&S facilities. Identify E&S facilities that will be converted to permanent stormwater management facilities. Use the technical standards for erosion and sediment controls that are detailed in the "*New York State Standards and Specifications for Erosion and Sediment Control*." Include a description of the maintenance procedures for the E&S facilities for example: sediment shall be removed from sediment traps or sediment ponds and basins whenever their capacity has been reduced by fifty (50) percent from their design capacity.

9.0 Permanent E&S Control Measures: Describe any permanent stormwater control structures that will be used for long-term control of E&S and locate on the project map. Include any final stabilization techniques including both vegetative and structural. Describe any post construction maintenance for E&S and stormwater management facilities.

10.0 Inspection and Maintenance Program for E&S Control Measures: Provide the names of those accountable of ensuring that stormwater management and erosion and sedimentation control practices are properly installed and maintained. A qualified person who is knowledgeable in the principals and practices of erosion and sediment control shall perform a site assessment prior to the commencement of construction and every 7 calendar days and within 24 hours of the end of a storm event of 0.5 inches or greater. Develop a program to include a schedule for inspections of the control measures and document the inspection on an "Inspection Report Form." Provide a description of the maintenance procedures for the various control measures. Maintenance identified on the schedule must be performed on a regular basis and as soon as a problem exists. An example of an inspection report with the minimum required information is attached for reference. The Plan and reports shall be kept available at the project site for inspection by the State or authorized representative of the EPA. Copies of the Plan, Plan updates, and inspection reports shall be delivered to the Project Manager within three (3) calendar days of completion of the Plans and reports.

7.0 Guidelines for winter site stabilization and inspections can be found at the following website:

http://www.dec.ny.gov/chemical/29074.html

8.0 Other guidance on developing stormwater pollution prevention plans and erosion and sediment control can be found at the following websites:

http://cfpub.epa.gov/npdes/stormwater/menuofbmps/menu.cfm
http://www.dec.ny.gov/chemical/8694.html
http://cfpub.epa.gov/npdes/pkeyword.cfm?keywords=Construction+Activities&program_id=0
(and look for: **Storm Water Management for Construction Activities: Developing Pollution Prevention Plans and Best Management Practices)**

"Stabilization" means: covering or maintaining an existing cover over soil. Cover can be vegetative (e.g. grass, trees, seed and mulch, shrubs, or turf) or non-vegetative (e.g. geotextiles, riprap, or gabions).

"Final Stabilization" means: that all soil disturbing activities have been completed, and that a uniform perennial vegetative cover with a density of 80% has been established or equivalent stabilization measures (such as the use of mulches or geotextiles) have been employed on all unpaved areas not covered by permanent structures.

SAMPLE INSPECTION REPORT FORM (Project Name)

Storm Water Pollution Prevention/Erosion and Sedimentation (E&S) Control Plan Inspection and Maintenance Report Form

To be completed after every seven (7) days and within 24 hours of a rainfall event of 0.5 inches or more.

Inspector: _____ Date: _____

Inspector's Qualifications: _____

Days since last rainfall: _____ Amount of last rainfall: _____

Inspection of Disturbed Areas and Condition of Control Measures

Disturbance Location(s) **Each Area**	**Date of Construction Activity**	**Date when Construction Ceased**	**Are Control Practices in Place? (Yes/No)**	**Condition of the Control Measures**	**Are Controls Working? (Yes/No)**

Is the area stabilized? (Yes/No) (Temporary/Permanent) Date of Stabilization _____

Maintenance Required*:

_____
_____
_____
_____
_____

To be performed by: _____ On or before: _____

*deficiencies in pollution prevention control measurers and changes to E&S Plan shall be addressed as appropriate, but in no case later than 7 calendar days.

SAMPLE INSPECTION FORM (Project Name) continued

Inspection of Outfalls, Drainage System, and Receiving Waters

Appearance of Receiving Water	**Appearance of the Outfall Discharge to the Receiving Water**	**Appearance of Drainage System Outfall After Controls**	**Are Pollutants Entering the Drainage System? (Yes/No)**

Measures taken to correct deficiencies*:

_____
_____
_____
_____

Other Controls

Are Materials Stored on Site? (Yes/No)	**Are Materials Kept in a Dry Covered Area? (Yes/No)**	**Are Vehicles Leaking? (Yes/No)**	**Is Other Equipment Leaking? (Yes/No)**

Are Vehicles Inspected for Offsite Sediment Tracking? (Yes/No)

Is Soil and Mud Transported from the Site onto Roads? (Yes/No)

Measures taken to correct deficiencies*:

_____
_____
_____

Changes required to the SWPP/E&S Plan:

_____
_____
_____

Signature: _____ Date: _____

SECTION 07 31 13

ASPHALT SHINGLES
08/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D41/D41M	(2011; R 2023) Standard Specification for Asphalt Primer Used in Roofing, Dampproofing, and Waterproofing
ASTM D1970/D1970M	(2021) Standard Specification for Self-Adhering Polymer Modified Bituminous Sheet Materials Used as Steep Roofing Underlayment for Ice Dam Protection
ASTM D3161/D3161M	(2020) Standard Test Method for Wind-Resistance of Steep Slope Roofing Products (Fan-Induced Method)
ASTM D4586/D4586M	(2025) Standard Specification for Asphalt Roof Cement
ASTM D6380/D6380M	(2003; R 2022) Standard Specification for Asphalt Roll Roofing (Organic Felt)
ASTM D7158/D7158M	(2024a) Standard Test Method for Wind Resistance of Asphalt Shingles (Uplift Force/Uplift Resistance Method)
ASTM D8257/D8257M	(2022) Standard Specification for Mechanically Attached Polymeric Roof Underlayment Used in Steep Slope Roofing

UL SOLUTIONS (UL)

UL 790	(2022) UL Standard for Safety Test Methods for Fire Tests of Roof Coverings

1.2 DEFINITIONS

1.2.1 Top Lap

That portion of shingle overlapping shingle in course below.

1.2.2 Head Lap

The triple coverage portion of top lap which is the shortest distance from the butt edge of an overlapping shingle to the upper edge of a shingle in the second course below.

1.2.3 Exposure

That portion of a shingle exposed to the weather after installation.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Sample Warranty Certificate; G

SD-03 Product Data

Shingles; G

SD-04 Samples

Shingles; G

SD-07 Certificates

Installer's Qualifications; G

SD-08 Manufacturer's Instructions

Application

SD-11 Closeout Submittals

Manufacturer's Warranty; G

Contractor's Warranty; G

1.4 QUALITY ASSURANCE

1.4.1 Preroofing Conference

After submittals are received and approved but before roofing work, including associated work, is performed, the Contractor must hold a preroofing conference to review the following:

a. The drawings, specifications, and submittals related to the roof work;

b. Procedure for on-site inspection and acceptance of the roofing substrate and pertinent details relating to the roofing system;

c. Contractor's plan for coordination of the work of the various trades involved in providing the roofing system and other components secured to the roofing;

d. Quality control plan for the roof system installation;

e. Safety requirements

Coordinate and schedule a pre-roofing conference with the Contracting Officer and attended by the Contractor, the Contracting Officer's designated personnel, personnel directly responsible for the installation of roof system, related accessories, electrical work, and other trades interfacing with the roof work. Before beginning roofing work, provide a copy of meeting notes and action items to all attending parties. Note action items requiring resolution prior to start of roof work.

1.4.2 Installer's Qualifications

The roofing system installer must be approved, authorized, or licensed in writing by the asphalt shingle manufacturer to install the system, and have a minimum of 3 years experience as an approved applicator with that manufacturer, approved at a level capable of providing the specified warranty. The installer must have completed five installations from the manufacturer being submitted of similar size, scope, and the same system as this project within the previous 3 years. Submit the names, locations, and client contact information of five projects of similar size and scope constructed by installer using the manufacturer's roofing products submitted for this project within the previous 3 years.

1.4.3 Single Source

Asphalt shingles, flashing, and other accessories must be standard products of the same manufacturer; must be the latest design by the manufacturer; and must have been designed by the manufacturer to operate as a complete system for the intended use.

1.5 DELIVERY AND STORAGE

Deliver materials in the manufacturer's unopened bundles and containers bearing the manufacturer's brand name. Keep materials dry, completely covered, and protected from the weather. Store according to manufacturer's written instructions. Store roll goods on end in an upright position or in accordance with manufacturer's recommendations.

1.6 WARRANTIES

Warranties must begin on the date of Government acceptance of the work. Submit a sample warranty certificate during the pre-construction phase to prove all warranty requirements will be achieved.

1.6.1 Manufacturer's Warranty

Provide the asphalt shingle manufacturer's 30 year extended warranty for the asphalt shingle materials and installation workmanship, including flashing and accessories necessary for a watertight roof system construction. Write warranty directly to the Government, commencing at the time of Government's acceptance of the roof work

1.6.2 Contractor's Warranty

Provide warranty for 5 years that the asphalt shingle roofing system, as installed, is free from defects in workmanship. When repairs due to defective workmanship are required during the Contractor's warranty period, the Contractor must make such repairs within 72 hours of notification. When repairs are not performed within the specified time, emergency repairs performed by others will not void the warranty.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Shingles

Mineral granule-surfaced asphalt shingles, self-sealing, square tab, strip, architectural shingles weighing not less than 210 pounds per 100 square feet. Shingles must meet the fire resistance requirements of UL 790 for Class A and the wind resistance requirements of ASTM D3161/D3161M, Class F and ASTM D7158/D7158M, Class H. Color must be as selected from the manufacturer's standard color charts. Shingle color must be in accordance with COLOR SCHEDULE.

2.1.2 Hip/Ridge and Starter Shingles

Provide hip and ridge shingles from manufacturer's pre-manufactured ridge cap shingles. Starter strip shingles must also be manufacturer's accessory products with self-sealing strip. Cutting hip/ridge or starter shingles from strip shingles is prohibited.

2.1.3 Mineral-Surfaced Asphalt Roll Roofing

ASTM D6380/D6380M.

2.1.4 Smooth-Surfaced Asphalt Roll Roofing

ASTM D6380/D6380M, Type II.

2.1.5 Underlayment

2.1.5.1 Synthetic Underlayment

Synthetic underlayment complying with ASTM D8257/D8257M, without perforations or other material specified by the shingle manufacturer for use as underlayment.

2.1.5.2 Self-Adhering Underlayment

Self-adhering underlayment must comply with ASTM D1970/D1970M for sealability around nails.

2.1.5.3 Ice Dam or Leak Barrier Underlayment

Self-adhering leak barrier or ice dam underlayment must comply with ASTM D1970/D1970M for use as eave flashing.

2.1.6 Self-Adhering Membrane

Self-adhering rubberized asphaltic membrane, a minimum of 40 mils thick, and recommended by the shingle manufacturer.

2.1.7 Nails for Applying Shingles and Synthetic Underlayment

Aluminum or hot-dipped galvanized steel or equivalent corrosion resistant with sharp points and flat heads 3/8 to 7/16 inch in diameter. Shank diameter of nails must be a minimum of 0.105 inch and a maximum of 0.135 inch with garb or otherwise deformed for added pull-out resistance. Nails

must be long enough to penetrate completely through or extend a minimum of 3/4 inch into roof deck, whichever is less, when driven through materials to be fastened.

2.1.8 Asphalt Roof Cement

ASTM D4586/D4586M, Type II.

2.1.9 Asphalt Primer

ASTM D41/D41M.

2.1.10 Ventilators

2.1.10.1 Nailable Plastic Shingle Over Type Ridge Vents

Provide ridge vents constructed of UV stabilized nailable rigid polypropylene material, approximately 1 foot wide and 1 inch thick, and must be in 4 foot long interlocking sections with self-aligning ends or corrugated polyethylene rigid roll or rigid strip ridge vent with aluminum wind deflectors on each side. Vents must be designed to prevent infiltration of insects, rain, and snow.

2.1.10.2 Nailable Mesh Shingle Over Type Ridge Vents

Provide ridge vents constructed of UV stabilized nailable polyester mesh material, approximately 1 foot wide. Vents must be designed to prevent infiltration of insects, rain, and snow.

PART 3 EXECUTION

3.1 VERIFICATION OF CONDITIONS

Do not install building construction materials that show visual evidence of biological growth.

Ensure that roof deck is smooth, clean, dry, and without loose knots. Roof surfaces must be firm and free from loose boards, large cracks, and projecting ends that might damage the roofing. Vents and other projections through roofs must be properly flashed and secured in position, and projecting nails must be driven flush with the deck.

3.2 SURFACE PREPARATION

Cover knotholes and cracks with sheet metal nailed securely to sheathing. Flash and secure vents and other roof projections, and drive projecting nails firmly home.

3.3 APPLICATION

Apply roofing materials as specified herein unless specified or recommended otherwise by shingle manufacturer's written instructions.

3.3.1 Underlayment

Provide for roof slopes 4 inches per foot and greater. Apply one layer of self-adhering shingle underlayment to roof deck. Apply one layer of leak barrier material as eave protection extending membrane minimum 24 inches up slope beyond interior face of exterior wall. Lay underlayment parallel

to roof eaves, starting at eaves. Provide minimum 2 inch head laps, 4 inch end laps, and 6 inch laps from both sides over hips and ridges. Cap nail synthetic underlayment as required by IBC for wind resistance and in accordance with the manufacturer's instructions. Turn up vertical surfaces a minimum of 4 inches.

Provide for roof slopes between 2 inches per foot and 4 inches per foot. Apply one-layer of self-adhering underlayment to roof deck. Provide starter sheet width and headlaps as required by the underlayment manufacturer's application instructions for the two layer coverage based on the width of the synthetic underlayment material. Apply one layer of leak barrier material as eave protection extending membrane minimum 24 inches up slope beyond interior face of exterior wall. Lay parallel to eaves, starting at eaves. Provide minimum 19 inch head laps, 6 inch laps from both sides over hips and ridges, and 12 inch end laps in the field of the roof. Cap nail synthetic underlayment as required by IBC for wind resistance and in accordance with the manufacturer's instructions. Turn up vertical surfaces a minimum of 4 inches. When a self-adhering membrane is used for eave flashing, start underlayment from upper edge of eave flashing. Provide required headlap.

3.3.2 Drip Edges

Provide metal drip edges as specified in Section 07 60 00 FLASHING AND SHEET METAL applied directly on the wood deck at eaves and over the underlayment at rakes. Extend back from edge of deck a minimum of 3 inches, and secure with nails spaced a maximum of 4 inches o.c. along inner edge.

3.3.3 Starter Strip

Apply starter strip along eaves and rakes, overhanging the metal drip edge at eaves and rake edges 1/4 inch to 3/8 inch; fasten in a line parallel to and 3 to 4 inches above eave or rake edge. Place nails so top of nail is not exposed in cutouts of first course of shingles.

3.3.4 Shingle Courses

Start first course with full shingle, and apply succeeding courses with joints staggered at thirds or halves. Butt-end joints of shingles must not align vertically more often than every fourth course. Apply shingle courses as follows:

a. Fastening: Do not drive fasteners into or above the factory-applied adhesive unless adhesive is located 5/8 inch or closer to top of cutouts. Place fasteners so they are concealed by shingle top lap and penetrate the head lap.

b. Shingles applied with nails: Nominal 5 inch exposure. Apply each shingle with minimum of four nails. Place one nail 1 inch from each end, and evenly space nails on a horizontal line.

c. Nailing: Fasten shingles with number of fasteners and location indicated in shingle manufacturer's installation instructions. Cut shingles must be fastened with nails at maximum 6 inches on center and approximately 1 inch from each edge of cut shingle.

d. Sealing: On first course of shingles at eave, seal with continuous, 9 inchlong, 1/4 inch diameter bead of asphalt roof cement, applied to

the surface of course below. Place bead on horizontal line so bead will be 1 inch from bottom edge to be sealed. After nailing each shingle, press down to ensure spreading and bonding of asphalt roof cement.

3.3.5 Hips and Ridges

Utilize manufacturer's pre-manufactured hip and ridge shingles. Install in accordance with manufacturer's instructions.

3.3.6 Valleys

Provide either closed cut, woven, or open sheet metal valleys.

3.3.6.1 Closed Cut Valleys

Provide 36 inch wide valley lining of single layer of self-adhering membrane, for full length of valley as follows:

a. Center lining in valley under underlayment. Provide minimum 12 inch end laps in the lining and seal laps with asphalt roof cement. Fasten lining to hold it in place until shingles are applied.

b. Apply first regular course of shingles along eaves of one of the intersecting roof planes and across valley. Extend course at least 12 inches onto adjoining roof.

c. Apply succeeding courses in same manner as first course, extending across valley and onto adjoining roof.

d. Press shingles tightly into valley and nail in normal manner, except apply nails not closer than 6 inches to valley centerline, and apply additional nail in top corner of each shingle crossing valley.

e. Apply shingles on the adjoining roof plane, starting along eaves and across valley onto previously applied shingles. Trim overlapping courses back to a line parallel to and a minimum of 2 inches back from valley centerline.

f. Trim 1 inch on a 45-degree angle from upper corner of each end shingle. Embed end shingles in a 3 inch wide band of asphalt roof cement.

3.3.6.2 Woven Valleys

Provide valley lining as specified for closed cut valley. Lay valley shingles over lining by either of the following methods:

a. Method I: Apply regular shingles on both roofs simultaneously. Weave each course in turn over the valley. Lay the first regular course of shingles along eaves of roof up to and over valley. Extend course along adjoining roof deck at least 12 inches. Carry first regular course of shingles of adjoining roof over valley on top of previously applied shingles. Lay succeeding courses alternately, weaving valley shingles over each other for full length of valley.

b. Method II: Apply regular shingles on each roof surface separately to a line about 3 feet from center of valley, and weave valley shingles in place later, as specified for Method I.

In following either method, press shingles tightly into valley, and fasten in normal manner; except apply nails not closer than 6 inches to valley centerline, and apply additional nail in top corner of terminal shingle on both sides of valley.

3.3.6.3 Open Sheet Metal Valleys

Sheet metal flashing for valleys is specified in Section 07 60 00 FLASHING AND SHEET METAL. Before installing and fastening flashing in place with metal cleats:

a. Install single layer of 36 inch wide, self-adhering membrane, centered on valley and extending entire length of valley under underlayment.

b. Cut regular shingle courses on each roof on true line 2 inches from valley centerline at top of valley, and increase width between lines by 1 inch for each 8 feet of valley length, continuing to eaves.

c. Apply 2 inch band of asphalt roof cement over flashing, along and under side of shingles adjoining valley.

d. Press shingles tightly into cement, and nail in normal manner, except apply nails not closer than 5 inches to valley centerline. Do not drive nails through valley flashing.

e. Provide a 4 inch band of asphalt roof cement for fastening shingle tabs down along open metal gutters.

3.3.7 Flashing

3.3.7.1 Eave Flashing

Provide for roof slopes 4 inches per foot and greater. Provide eave flashing strips consisting of self-adhering membrane. Flashing strips must overhang metal drip edge 1/4 inch to 3/8 inch and extend up the slope far enough to cover a point 12 inches inside interior face of exterior wall. Where overhangs require flashings wider than 36 inches, locate laps outside exterior wall face. Laps must be at least 2 inches wide and cemented with asphalt roof cement over entire length of lap. Lap end 12 inches and cement.

Provide either of the following types of eave flashing:

a. From the eaves to a point 24 inches inside interior wall line, apply solid coating of asphalt roof cement between overlapping layers of underlayment. Spread cement to a uniform thickness at rate of 2 gallons per 100 square feet of cemented roof area.

b. From the eaves to a point 24 inches inside interior wall line, apply one layer of self-adhering membrane. Follow membrane manufacturer's printed installation instructions.

3.3.7.2 Stepped Flashing

For sloping roofs which abut vertical surfaces, provide stepped metal flashing as specified in Section 07 60 00 FLASHING AND SHEET METAL. At stepped flashing locations, install single layer of 36 inch wide,

self-adhering membrane, extending entire length of flashing under underlayment.

3.3.7.3 Vent and Stack Flashing

Apply shingles up to point where vent or stack pipe projects through roof, and cut nearest shingle to fit around pipe. Before applying shingles beyond pipe, prepare flange of metal pipe vent flashing as specified in Section 07 60 00 FLASHING AND SHEET METAL, by applying a 1/8 inch thick coating of asphalt roof cement on bottom side of flashing flange. Slip flashing collar and flange over pipe, and set coated flange in 1/16 inch coating of asphalt roof cement. After applying flashing flange, continue shingling up roof. Lap lower part of flange over shingles. Overlap flange with side and upper shingles. Fit shingles around pipe, and embed in 1/16 inch thick coating of asphalt roof cement where shingles overlay flange.

3.3.7.4 Chimney Flashing

Provide treated wood crickets as specified in Section 06 10 00 ROUGH CARPENTRY. Provide metal base and counterflashing as specified in Section 07 60 00 FLASHING AND SHEET METAL. Uniformly coat masonry surfaces which are to receive flashing with asphalt primer applied at rate of 1 gallon per 100 square feet. Apply shingles over underlayment up to front face of chimney. Apply metal front base flashing with lower section extending at least 4 inches over shingles. Set base flashing in a 1/16 inch coating of asphalt roof cement on shingles and chimney face. Apply metal step flashing at sides in a coating of asphalt roof cement. Embed end shingles in each course that overlaps step flashing with asphalt roof cement. Apply metal rear base flashing over cricket and back of chimney in coating of asphalt roof cement. Apply end shingles in each course up to cricket, and cement in place. Lap base flashing minimum of 3 inches with metal counterflashing.

3.4 INFORMATION CARD

For each roof, provide a typewritten card, laminated in plastic and framed for interior display or a photoengraved 0.032 inch thick aluminum card for exterior display. Card to be 8 1/2 by 11 inches minimum and contain the following information at a minimum (as applicable): facility name and number; location; contract number; approximate roof area; detailed roof system description (as applicable), including deck type, membrane/surfacing, number of plies, method of application, manufacturer, insulation and cover board system and thickness; presence of tapered insulation for primary drainage, date of completion; installing contractor identification and contact information; membrane manufacturer warranty expiration, warranty reference number, and contact information.

-- End of Section --

SECTION 07 53 23

ETHYLENE-PROPYLENE-DIENE-MONOMER ROOFING
08/25

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7-22	(2022; Supp 1 2023; Supp 2 2023; Supp 3 2025) Minimum Design Loads and Associated Criteria for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM D4073/D4073M	(2006; E 2019; R 2019) Standard Test Method for Tensile-Tear Strength of Bituminous Roofing Membranes
ASTM D4637/D4637M	(2015) EPDM Sheet Used in Single-Ply Roof Membrane
ASTM D4811/D4811M	(2016) Standard Specification for Nonvulcanized (Uncured) Rubber Sheet Used as Roof Flashing
ASTM E108	(2025) Standard Test Methods for Fire Tests of Roof Coverings

FM GLOBAL (FM)

FM 4470	(2022) Single-Ply, Polymer-Modified Bitumen Sheet, Built-up Roof (BUR), and Liquid Applied Roof Assemblies for Use in Class 1 and Noncombustible Roof Deck Construction
FM APP GUIDE	(updated on-line) Approval Guide https://www.approvalguide.com/

NATIONAL ROOFING CONTRACTORS ASSOCIATION (NRCA)

NRCA RoofMan	(2025) The NRCA Roofing Manual

SINGLE PLY ROOFING INDUSTRY (SPRI)

ANSI/SPRI RD-1	(2019) Performance Standard for Retrofit Drains
ANSI/SPRI/FM 4435/ES-1	(2017) Test Standard for Edge Systems Used with Low Slope Roofing Systems

UL SOLUTIONS (UL)

UL 790	(2022) UL Standard for Safety Test Methods for Fire Tests of Roof Coverings
UL RMSD	(2012) Roofing Materials and Systems Directory

1.2 SEQUENCING

Coordinate the work with other trades to ensure that components which are secured to or stripped into the roofing system are available and that permanent flashing and counterflashing are installed as the work progresses. Ensure temporary protection measures are in place to preclude moisture intrusion or damage to installed materials. Install roof membrane immediately following application of insulation as a continuous operation. Coordinate roofing operations with insulation work so that all roof insulation applied each day is covered with roof membrane installation the same day.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Roof Plan Drawing

SD-03 Product Data

Cement

EPDM Sheet; G

Seam Tape

Bonding Adhesive

Lap Splice Adhesive

Water Cutoff Mastic/Water Block

Lap Cleaner, Lap Sealant, and Edge Treatment

Membrane Flashings

Flashing Accessories

Flashing Tape

Fasteners and Plates

Roof Insulation; G

Sample Warranty Certificate; G

SD-05 Design Data

Wind Uplift Calculations; G

SD-07 Certificates

Qualification of Manufacturer; G

Qualification of Applicator; G

Wind Uplift Resistance classification, as applicable; G

Fire Resistance classification

SD-08 Manufacturer's Instructions

Application

Application Method

Membrane Flashing

Seam Tape

Tape Seams / Lap Splices

Perimeter Attachment

Primer

Fasteners

Cold Weather Installation

SD-09 Manufacturer's Field Reports

SD-11 Closeout Submittals

Warranty; G

Information Card; G

Instructions To Government Personnel

1.4 QUALITY CONTROL

1.4.1 Qualification of Manufacturer

Submit information demonstrating EPDM sheet roofing membrane manufacturer has at least 20 year's experience in manufacturing EPDM roofing products.

1.4.2 Qualification of Applicator

Submit information demonstrating roofing system applicator is approved, authorized, or licensed in writing by the roof membrane manufacturer and is required to have a minimum of 5 year's experience as an approved, authorized, or licensed applicator with that manufacturer and be approved at a level capable of providing the specified warranty. The applicator is required to supply the names, locations, and client contact information of

five projects of similar size and scope that the applicator has constructed using the manufacturer's roofing products submitted for this project within the previous 3 years.

1.4.3 Fire Resistance

Complete roof covering assembly is required to:

a. Be Class A rated in accordance with ASTM E108, FM 4470, or UL 790; and

b. Be listed as part of Fire-Classified roof deck construction in the UL RMSD or Class I roof deck construction in the FM APP GUIDE.

FM or UL approved components of the roof covering assembly is required to bear the appropriate FM or UL label.

Submit the roof system assembly wind uplift and fire rating classification listings.

1.4.4 Wind Uplift Resistance

Provide a complete roof system assembly that is rated and installed to resist wind loads calculated in accordance with ASCE 7-22 and validated by uplift resistance testing in accordance with Factory Mutual (FM) test procedures. Do not install non-rated systems except as approved by the Contracting Officer. Submit licensed engineer's wind uplift calculations and substantiating data to validate any non-rated roof system. Base wind uplift measurements based on a design wind speed in accordance with ASCE 7-22 and other applicable building code requirements

Provide Engineering calculations, signed, sealed, and dated by a qualified Engineer validating the wind resistance per ASCE 7-22, ASTM D4073/D4073M, and ANSI/SPRI/FM 4435/ES-1 of non-rated roof system.

1.4.5 Pre-roofing Conference

After approval of submittals and before performing roofing and insulation system installation work, hold a pre-roofing conference to review the following:

a. Roof Plan Drawing, specifications, and submittals related to the roof work; Submit roof plan drawing depicting wind load calculations and boundaries of enhanced perimeter and corner attachments of roof system components, location of perimeter half-sheets, spacing of perimeter, corner, and infield fasteners, as applicable. Include the project roof plan of each roof level and conditions indicated. Provide all slopes and drain locations.

b. Roof system components installation;

c. Procedure for the roof manufacturer's technical representative's onsite inspection and acceptance of the roofing substrate, the name of the manufacturer's technical representatives, the frequency of the onsite visits, distribution of copies of the inspection reports from the manufacturer's technical representative;

d. Contractor's plan for coordination of the work of the various trades involved in providing the roofing system and other components secured to the roofing; and

e. Quality control plan for the roof system installation;

f. Safety requirements.

Coordinate pre-roofing conference scheduling with the Contracting Officer. Required conference attendees include the Contractor, the Contracting Officer's designated personnel, personnel directly responsible for the installation of roofing and insulation, flashing and sheet metal work, electrical work, other trades interfacing with the roof work, and representative of the roofing materials manufacturer. Before beginning roofing work, provide a copy of meeting notes and action items to all attending parties. Note action items requiring resolution prior to start of roof work.

1.5 DELIVERY, STORAGE, AND HANDLING

1.5.1 Delivery

Deliver materials in their original, unopened containers or wrappings with labels intact and legible. Where materials are covered by a referenced specification number, ensure the labels bear the specification number, type, class, and shelf life expiration date where applicable. Deliver materials in sufficient quantity to allow continuity of work.

1.5.2 Storage

Store and protect materials from damage and weather in accordance with manufacturer's printed instructions, except as specified otherwise. Keep materials clean and dry. Store and maintain adhesives, sealants, primers, and other liquid materials above 60 degrees F. Utilize insulated hot boxes or other enclosed warming devices in cold weather. Mark and remove damaged materials from the site. Use pallets to support and canvas tarpaulins to completely cover material materials stored outdoors. Locate materials temporarily stored on the roof in approved areas, and distribute the load to stay within the live load limits of the roof construction.

1.5.3 Handling

Prevent damage to edges and ends of roll materials. Do not install damaged materials. Select and operate material handling equipment so as not to damage materials or applied roofing. Do not use materials contaminated by exposure or moisture. Remove contaminated materials from the site. When hazardous materials are involved, adhere to the special precautions of the manufacturer. Adhesives may contain petroleum distillates and may be extremely flammable; prevent personnel from breathing vapors, and do not use near sparks or open flame.

1.6 ENVIRONMENTAL REQUIREMENTS

Do not install EPDM sheet roofing during high winds or inclement weather, or when there is ice, frost, moisture, or visible dampness on the substrate surface, or when condensation develops on surfaces during application. Unless recommended otherwise by the EPDM sheet manufacturer and approved by the Contracting Officer, do not install EPDM sheet when air temperature is below 40 degrees F or within 5 degrees F of the dewpoint. Follow manufacturer's printed instructions for installation during cold weather conditions.

Submit detailed application instructions and standard manufacturer drawings altered by these specifications. Explicitly identify in writing, differences between manufacturer's printed instructions and the specified requirements.

1.7 WARRANTY

Provide roof system material and workmanship warranties meeting specified requirements. Provide revision or amendment to standard membrane manufacturer warranty to comply with the specified requirements. Provide a manufacturer's warranty that has no dollar limit, covers full system water-tightness and has a minimum duration of 20 years.

Submit all data required together with requirements of this section. Include a written acceptance by the roof membrane manufacturer of the insulation and other products and accessories provided. List products in the applicable wind uplift and fire rating classification listings, unless approved otherwise by the Contracting Officer.

1.7.1 Roof Membrane Manufacturer Warranty

Furnish the roof membrane manufacturer's 20 year no dollar limit roof system materials and installation workmanship warranty, including flashing, insulation, and accessories necessary for a watertight roof system construction. Write warranty directly to the Government, commencing at time of Government's acceptance of the roof work. The warranty is required to state that:

a. If within the warranty period the roof system, as installed for its intended use in the normal climatic and environmental conditions of the facility, becomes non-watertight, shows evidence of moisture intrusion within the assembly, splits, tears, cracks, delaminates, separates at the seams, shrinks to the point of bridging or tenting membrane at transitions, or shows evidence of excessive weathering due to defective materials or installation workmanship, the repair or replacement of the defective and damaged materials of the roof system assembly and correction of defective workmanship is the responsibility of the roof membrane manufacturer. The roof membrane manufacturer is responsible for all costs associated with the repair or replacement work.

b. When the manufacturer or his approved applicator fail to perform the repairs within 72 hours of notification, emergency temporary repairs performed by others does not void the warranty.

1.7.2 Contractor's Warranty

The Contractor is required to warrant for a period of 5 years that the roof system, as installed, is free from defects in installation workmanship, to include the roof membrane, flashing, insulation, accessories, attachments, and sheet metal installation integral to a complete watertight roof system assembly. Write the warranty directly to the Government. The Contractor is responsible for correction of defective workmanship and replacement of damaged or affected materials. The Contractor is responsible for all costs associated with the repair or replacement work.

1.7.3 Continuance of Warranty

Approve repair or replacement work that becomes necessary within the warranty period and accomplish in a manner so as to restore the integrity of the roof system assembly and validity of the roof membrane manufacturer warranty for the remainder of the manufacturer warranty period.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

2.1.1 Description of Roof Membrane System

Fully adhered EPDM roof membrane system applied over insulation substrate.

2.1.2 Conformance and Compatibility

Provide the entire roofing and flashing system in accordance with specified and indicated requirements, including fire and wind resistance requirements. Perform work not specifically addressed and any deviation from specified requirements in general accordance with recommendations of the NRCA RoofMan, membrane manufacturer published recommendations and details, and compatible with surrounding components and construction. Submit any deviation from specified or indicated requirements to the Contracting Officer for approval prior to installation.

2.1.3 Elimination, Prevention of Fall Hazards

2.1.3.1 Fall Protection Systems

2.2 MATERIALS

Coordinate with other specification sections related to the roof work. Furnish a combination of specified materials that comprise a roof system acceptable to the roof membrane manufacturer and meeting specified requirements. Protect materials provided from defects and make suitable for the service and climatic conditions of the installation.

2.2.1 EPDM Sheet

Ethylene Propylene Diene Terpolymer (EPDM), ASTM D4637/D4637M, Type III, fabric or fleece backed, 0.090 inch nominal thickness over fleece for fully adhered application. Provide membrane with minimum thickness not less than minus 10 percent of the specified thickness value. EPDM membrane thickness specified is exclusive of backing material on the EPDM membrane. Ensure principal polymer used in manufacture of the membrane sheet is greater than 95 percent EPDM. Provide width and length of sheet maximum width attainable as recommended by the manufacturer to minimize field formed seams in the field of the roof.

2.2.2 Energy Performance

Install a roof system that meets an overall performance as specified on the drawings or by insulation specified in other sections.2.2.3 Seam Tape

Double-sided synthetic rubber tape, minimum 0.03 inch thick, minimum 3 inch wide. Utilize seam tape as recommended by the manufacturer's printed data for forming watertight bond of EPDM sheet materials to each other for the application specified and conditions encountered. 6 inch wide tape is

required for seam seals along lines of mechanical attachment of membrane.

2.2.4 Lap Splice Adhesive

Low volatile organic compound (VOC) Synthetic rubber adhesive as supplied by roof membrane manufacturer and recommended by the manufacturer's printed data for forming watertight bond of EPDM sheet membrane materials to each other in areas of membrane flashing.

2.2.5 Bonding Adhesive

Low volatile organic compound (VOC) adhesive as supplied by roof membrane manufacturer and recommended by the manufacturer's printed data for bonding EPDM membrane materials to insulation, wood, metal, concrete or other substrate materials. Do not use bonding adhesive to bond membrane materials to each other.

2.2.6 Lap Cleaner, Lap Sealant, and Edge Treatment

As supplied by the roof membrane manufacturer and recommended by the manufacturer's printed data.

2.2.7 Water Cutoff Mastic/Water Block

As supplied by the roof membrane manufacturer and recommended by the manufacturer's printed data.

2.2.8 Membrane Flashings and Flashing Accessories

Provide membrane flashing, including self-adhering membrane flashing, perimeter flashing, flashing around roof penetrations, and prefabricated pipe seals, that is minimum 0.060 inch cured EPDM, as recommended by the roof membrane manufacturer or minimum 0.060 inch thick uncured EPDM sheet in compliance with ASTM D4811/D4811M, Type I. Use cured EPDM membrane to the maximum extent recommended by the roof membrane manufacturer. Limit uncured flashing material to reinforcing inside and outside corners and angle changes in plane of membrane, and to flash scuppers, pourable sealer pockets, and other formed penetrations or unusually shaped conditions as recommended by the roof membrane manufacturer where the use of cured material is impractical.

2.2.8.1 Flashing Tape

EPDM-backed synthetic rubber tape, minimum 6 inch wide as supplied by the roof membrane manufacturer and recommended by the manufacturer's printed data.

2.2.9 Membrane Fasteners and Plates

Coated, corrosion-resistant fasteners as recommended by the roof membrane manufacturer and meeting the requirements of FM 4470 and FM APP GUIDE for Class I roof deck construction and the wind uplift resistance specified. As supplied and warranted for the substrate type(s) by EPDM sheet manufacturer and recommended by EPDM sheet manufacturer's printed data.

2.2.9.1 Stress Plates for Fasteners

Flat corrosion-resistant round stress plates as recommended by the roof membrane manufacturer's printed instructions and meeting the requirements

of FM 4470; not less than 2 inch in diameter. Provide pre-formed discs to prevent dishing or cupping.

2.2.9.2 Auxiliary Fasteners

Corrosion resistant screws, nails, or anchors suitable for intended attachment purpose and as recommended by the roof membrane manufacturer.

2.2.9.3 Powder-Driven Fasteners

Powder-driven fasteners may be used only when approved in writing.

2.2.9.4 Metal Disks

Provide flat metal disks of minimum 1 inch in diameter, made of nonferrous material compatible with the nails or fasteners.

2.2.10 Roof Insulation Below EPDM Sheet

Ensure insulation system and facer material is compatible with membrane application specified and as approved by the roof membrane manufacturer.

2.2.11 Wood Products

Do not allow fire retardant treated materials be in contact with EPDM membrane or EPDM accessory products, unless approved by the membrane manufacturer and the Contracting Officer.

2.2.12 Membrane Liner

Self-adhering EPDM membrane liner conforming to ASTM D4637/D4637M, or other waterproof membrane liner material as approved by the roof membrane manufacturer and the Contracting Officer.

2.2.13 Flashing and Cement

Provide a self-vulcanizing butyl compound flashing cement for splicing laps and for flashings workable at 20 degrees F. Obtain a recommendation for such flashing cement from the roofing membrane manufacturer.

PART 3 EXECUTION

3.1 EXAMINATION

Ensure that the following conditions exist prior to application of the roofing materials:

a. Do not install items that show visual evidence of biological growth.

b. Drains, curbs, control joints, expansion joints, perimeter walls, roof penetrating components, and equipment supports are in place.

c. Surfaces are rigid, clean, dry, smooth, and free from cracks, holes, and sharp changes in elevation.

d. The plane of the substrate does not vary more than 1/4 inch within an area 10 by 10 feet when checked with a 10 foot straight edge placed anywhere on the substrate.

e. Substrate is sloped to provide positive drainage.

f. Walls and vertical surfaces are constructed to receive counterflashing, and permit mechanical fastening of the base flashing materials.

g. Treated wood nailers are in place on non-nailable surfaces, to permit nailing of base flashing at minimum height of 8 inch above finished roofing surface.

h. Wood nailers are fastened in place at eaves, gable ends, openings, and intersections with vertical surfaces for securing of membrane, edging strips, attachment flanges of sheet metal, and roof fixtures. Embedded nailers are flush with deck surfaces. Surface-applied nailers are the same thickness as the roof insulation.

i. Avoid contact of EPDM materials with fire retardant treated wood, except as approved by the roof membrane manufacturer and Contracting Officer.

j. Cants are securely fastened in place in the angles formed by walls and other vertical surfaces. The angle of the cant is 45 degrees and the height of the vertical leg is not less than 3-1/2 inch.

k. Venting is provided in accordance with the following:

(1) Edge Venting: Perimeter nailers are kerfed across the width of the nailers to permit escape of gaseous pressure at roof edges.

(2) Underside Venting: Vent openings are provided in steel form decking for cast-in-place concrete substrate.

(3) Vapor pressure relief vents: Holes equal to the outside diameter of vents are provided through the insulation where vents are required. Space vents in accordance with membrane manufacturer's recommendations.

l. Exposed nail heads in wood substrates are properly set. Warped and split sheets have been replaced. There are no cracks or end joints 1/4 inch in width or greater. Joints in plywood substrates are taped or otherwise sealed to prevent air leakage from the underside.

m. Insulation boards are installed smoothly and evenly, and are not broken, cracked, or curled. There are no gaps in insulation board joints exceeding 1/4 inch in width. Insulation is being roofed over on the same day the insulation is installed.

3.2 APPLICATION

Apply entire EPDM sheet utilizing fully adhered application method. Apply roofing materials as specified herein unless approved otherwise by the Contracting Officer.

3.2.1 Special Precautions

a. Do not dilute coatings or sealants unless specifically recommended by the materials manufacturer's printed application instructions. Do not thin liquid materials with cleaners used for cleaning EPDM sheet.

b. Keep liquids in airtight containers, and keep containers closed except when removing materials.

c. Use liquid components, including adhesives, within their shelf life period. Store adhesives at 60 to 80 degrees F prior to use. Avoid excessive adhesive application and adhesive spills, as they can be destructive to some elastomeric sheets and insulations; follow adhesive manufacturer's printed application instructions. Mix and use liquid components in accordance with label directions and manufacturer's printed instructions.

d. Provide clean, dry cloths or pads for applying membrane cleaners and cleaning of membrane.

e. Do not use heat guns or open flame to expedite drying of adhesives or primers.

f. Require workmen and others who walk on the membrane to wear clean, soft-soled shoes to avoid damage to roofing materials.

g. Do not use equipment with sharp edges which could puncture the EPDM sheet.

h. Shut down air intakes and any related mechanical systems and seal open vents and air intakes when applying solvent-based materials in the area of the opening or intake. Coordinate shutdowns with the Contracting Officer.

3.2.2 EPDM Sheet Roofing

Provide a watertight roof membrane sheet free of contaminants and defects that might affect serviceability. Provide a uniform, straight, and flat edge. Unroll EPDM sheet roofing in position without stretching membrane. Inspect for holes. Remove sections of EPDM sheet roofing that are damaged. Allow sheets to relax minimum 30 minutes before seaming. Lap sheets as specified, to shed water, and as recommended by the roof membrane manufacturer's published installation instructions for the application required but not less than 3 inch in any case.

3.2.2.1 Application Method

Submit Application Method Plan including pattern and frequency of mechanical attachments required in the field of roof, corners, and perimeters to provide for the specified wind resistance.

3.2.2.2 Tape Seams / Lap Splices

Field form seams, or lap splices, with seam tape in accordance with membrane manufacturer's printed instructions and as specified. Clean and prime mating surfaces in the seam area. After primer has dried or set in accordance with membrane manufacturer's instructions, apply seam tape to bottom membrane and roll with a 3 inch to 4 inch wide smooth silicone or steel hand roller, or other manufacturer approved rolling device, to ensure full contact and adhesion of tape to bottom membrane. Tape end laps minimum 1 inch. Roll top membrane into position to check for proper overlap and alignment. Remove release paper from top of seam tape and form seam splice. Ensure top membrane contact with seam tape as release paper is removed. Roll the closed seam with a smooth silicone or steel hand roller, rolling first across the width of the seam then along the

entire length, being careful not to damage the membrane. Apply minimum 9 inch long strip of membrane-backed flashing tape over T-intersections of roof membrane. Roll tape to ensure full adhesion and seal over T-joint.

3.2.2.3 Adhesive Seams / Lap Splices

Use only field-applied adhesive formed seams in flashing areas where approved by the membrane manufacturer and the Contracting Officer. Do not use adhesive formed seams for field of roof membrane seaming. Thoroughly and completely clean mating surfaces of materials throughout the lap area. Remove all dirt, dust, and contaminants and allow to dry.

Apply primer as recommended by the membrane manufacturer. Apply splice adhesive with a 3 inch to 4 inch wide, 1/2 inch thick, solvent-resistant brush in a smooth, even coat with long brush strokes. Bleed out brush marks. Do not apply adhesive in a circular motion. Simultaneously apply adhesive to both mating surfaces in an approximate 0.025 to 0.030 inch wet film thickness, or other thickness as recommended by the roof membrane manufacturer's printed instructions.

Allow the splice adhesive to set-up in accordance with membrane manufacturer's printed instructions. Perform manufacturer recommended field check to test for adhesive readiness prior to closing seam. Apply a 1/8 inch to 1/4 inch bead of in-seam sealant approximately 1/2 inch from the inside edge of the lower membrane sheet prior to closing the seam. Ensure the in-seam sealant does not extend onto the splice adhesive. Maintain the full adhered seam width required. Roll the top membrane onto the mating surface. Roll the seam area with a 2 inch to 3 inch wide, smooth silicone or steel hand roller. A minimum of 2 hours after joining sheets and when the lap edge is dry, clean the lap edge with membrane manufacturer's recommended cleaner and apply a 1/4 inch to 3/8 inch bead of lap sealant centered on the seam edge. With a feathering tool, immediately feather the lap sealant to completely cover the splice edge, leaving a mound of sealant over the seam edge. Apply lap sealant to all adhesive formed seams.

3.2.2.4 Perimeter Attachment

Adhesive bond and mechanically secure roof membrane sheet at roof perimeter in a manner to comply with wind resistance requirements and in accordance with membrane manufacturer's printed application instructions. When adhesively bonding a mechanically fastened system in perimeter areas, ensure the perimeter boundary of the adhesive bond is the same as the boundary required for additional perimeter mechanical fastening to meet wind resistance requirements.

3.2.2.5 Securement at Base Tie-In Conditions

Mechanically fasten the roof membrane at penetrations, at base of curbs and walls, and at all locations where the membrane turns and angle greater than 4 degrees (1:12). Space fasteners a maximum of 12 inch on center, except where more frequent attachment is required to meet specified wind resistance or where recommended by the roof membrane manufacturer. Flash over fasteners with a fully adhered layer of material as recommended by the roof membrane manufacturer's printed data.

3.2.3 Flashings

3.2.3.1 General

Provide flashings in the angles formed at walls and other vertical surfaces and where required to make the work watertight, except where metal flashings are indicated.

Provide a one-ply flashing membrane, as specified for the system used, and install immediately after the roofing membrane is placed and prior to finish coating where a finish coating is required. Install stepped flashings where vertical surfaces abut sloped roof surfaces. Provide sheet metal reglet in which sheet metal cap flashings are installed of not more than 16 inch nor less than 8 inch above the roofing surfaces. Exposed joints and end laps of flashing membrane must be made and sealed in the manner required for roofing membrane.

3.2.3.2 Membrane Flashing

Install flashing and flashing accessories as the roof membrane is installed. Apply flashing to cleaned surfaces and as recommended by the roof membrane manufacturer and as specified. Utilize cured EPDM membrane flashing and prefabricated accessory flashings to the maximum extent recommended by the roof membrane manufacturer. Limit uncured flashing material to reinforcing inside and outside corners and angle changes in plane of membrane, and to flashing scuppers, pourable sealer pockets, and other formed penetrations or unusually shaped conditions as recommended by the roof membrane manufacturer where the use of cured material is impractical. Extend base flashing not less than 8 inch above roofing surface and as necessary to provide for seaming overlap on roof membrane as recommended by the roof membrane manufacturer.

Seal flashing membrane for a minimum of 3 inch on each side of fastening device used to anchor roof membrane to nailers. Completely adhere flashing sheets in place. Seam flashing membrane in the same manner as roof membrane, except as otherwise recommended by the membrane manufacturer's printed instructions and approved by the Contracting Officer. Reinforce all corners and angle transitions by applying uncured membrane to the area in accordance with roof membrane manufacturer recommendations. Mechanically fasten top edge of base flashing with manufacturer recommended termination bar fastened at maximum 12 inch on center. Install sheet metal flashing over the termination bar in the completed work. Mechanically fasten top edge of base flashing for all other terminations in a manner recommended by the roof membrane manufacturer. Apply membrane liner over top of exposed nailers and blocking and to overlap top edge of base flashing installation at curbs, parapet walls, expansion joints and as otherwise indicated to serve as waterproof lining under sheet metal flashing components.

3.2.3.3 Flashing at Roof Drain

Provide a tapered insulation sump into the drain bowl area. Do not exceed tapered slope of 18 degrees for unreinforced membrane and 5 degrees for reinforced membrane. Provide tapered insulation with surface suitable for adhering membrane in the drain sump area. Avoid field seams running through or within 24 inch of roof drain, or as otherwise recommended by the roof membrane manufacturer. Adhere the membrane to the tapered in the drain sump area. Apply water block mastic and extend membrane sheets over edge of drain bowl opening at the roof drain deck flange in accordance

with membrane manufacturer's printed application instructions. Ensure membrane is free of wrinkles and folds in the drain area. Securely clamp membrane in the flashing clamping ring. Ensure membrane is cut to within 3/4 inch of inside rim of clamping ring to maintain drainage capacity. Do not cut back to bolt holes. Retrofit roof drains are required to conform to ANSI/SPRI RD-1.

3.2.3.4 Pre-Fabricated Curbs

Securely anchor prefabricated curbs to nailer or other base substrate and flashed with EPDM membrane flashing materials.

3.2.3.5 Set-On Accessories

Where pipe or conduit blocking, supports and similar roof accessories, or isolated paver block, are set on the membrane, adhere reinforced membrane or walkpad material, as recommended by the roof membrane manufacturer, to bottom of accessories prior to setting on roofing membrane. Install set-on accessories to permit normal movement due to expansion, contraction, vibration, and similar occurrences without damaging roofing membrane. Do not mechanically secure set-on accessories through roofing membrane into roof deck substrate.

3.2.3.6 Lightning Protection

Flash lightning protection system components or attach to the roof membrane in a manner acceptable to the roof membrane manufacturer.

3.2.4 Roof Walkpads

Install walkpads at roof access points and where otherwise indicated for traffic areas and for access to mechanical equipment, in accordance with the roof membrane manufacturer's printed instructions. Provide minimum 6 inch separation between adjacent walkpads to accommodate drainage.

3.2.5 Correction of Deficiencies

Where any form of deficiency is found, take additional measures as deemed necessary by the Contracting Officer to determine the extent of the deficiency and perform corrective actions as directed by the Contracting Officer.

3.2.6 Clean Up

Remove debris, scraps, containers and other rubbish and trash resulting from installation of the roofing system from job site each day.

3.3 PROTECTION OF APPLIED ROOFING

At the end of the day's work and when precipitation is imminent, protect applied membrane roofing system from water intrusion.

3.3.1 Water Cutoffs

Straighten insulation line using loose-laid cut insulation sheets and seal the terminated edge of the roof membrane system in an effective manner. Seal off flutes in metal decking along the cutoff edge. Remove the water cut-offs to expose the insulation when resuming work, and remove the insulation sheets used for fill-in.

3.3.2 Temporary Flashing for Permanent Roofing

Provide temporary flashing at drains, curbs, walls and other penetrations and terminations of roofing sheets until permanent flashings can be applied. Remove temporary flashing before applying permanent flashing.

3.3.3 Temporary Walkways, Runways, and Platforms

Do not permit storing, walking, wheeling, and trucking directly on applied roofing materials. Provide temporary walkways, runways, and platforms of smooth clean boards, mats, or planks as necessary to avoid damage to applied roofing materials, and to distribute weight to conform to live load limits of roof construction. Use rubber-tired equipment for roofing work.

3.4 FIELD QUALITY CONTROL

3.4.1 Construction Monitoring

During progress of the roof work, Contractor is required to make visual inspections as necessary to ensure compliance with specified parameters. Additionally, verify the following:

a. Equipment is in working order. Metering devices are accurate.

b. Materials are not installed in adverse weather conditions.

c. Substrates are in acceptable condition, in compliance with specification, prior to application of subsequent materials.

(1) Nailers and blocking are provided where and as needed.

(2) Insulation substrate is smooth, properly secured to its substrate, and without excessive gaps prior to membrane application.

(3) The proper number, type, and spacing of fasteners are installed.

Materials comply with the specified requirements.

(4) All materials are properly stored, handled, and protected from moisture or other damages. Liquid components are properly mixed prior to application.

(5) Membrane is allowed to relax prior to seaming. Adhesives are applied uniformly to both mating surfaces and checked for proper set prior to bonding mating materials. Mechanical attachments are spaced in accordance to the tested and approved assembly, including additional fastening of membrane in corner and perimeter areas.

(6) Membrane is properly overlapped.

(7) Membrane seaming is as specified and seams are hand rolled to ensure full adhesion and bond width. In-seam sealant is applied when adhesive seams are used in the field of the roof. All seams are checked at the end of each workday.

(8) Applied membrane is inspected and repaired as necessary prior to ballast installation.

(9) Membrane is fully adhered without ridges, wrinkles, kinks, fishmouths.

(10) Installer adheres to specified and detailed application parameters.

(11) Associated flashings and sheet metal are installed in a timely manner in accord with the specified requirements.

(12) Ballast is within the specified weight range.

(13) Temporary protection measures are in place at the end of each work shift.

3.4.2 Manufacturer's Inspection

The roofing material manufacturer's technical representative must visit the work site to inspect ongoing work. Inspections are to include observing installation technique and verifying the quality of work-in-place for compliance with the manufacturer's instructions. Deficiencies identified by the manufacturer's technical representative must be corrected and re-inspected by the manufacturer's technical representative.

3.4.2.1 Frequency

The manufacturer's technical representative must visit the work site to inspect and document ongoing work a minimum of two separate occasions during the course of the installation. One visit must occur during the first 20 squares of installation, one at substantial completion of the roof work, and all others during different periods of installation. Notify the Contracting officer a minimum of five working days prior to each visit by the manufacturer's technical representative.

3.4.2.2 Field Inspection Report

Document inspection results in a report prepared and signed by the manufacturer's technical representative for each visit. Submit the report to the Contracting Officer with the contractor's daily Quality Control report. The manufacturer's field inspection report must include a description of ongoing work observed and whether the inspected work was satisfactory or unsatisfactory. The final report must include certification by the manufacturer's technical representative that the work was performed in accordance with the manufacturer's instructions and contains no deficiencies. Submit the final manufacturer's field inspection report to the Contracting Officer within five working days of the final visit.

3.5 CLOSEOUT ACTIVITIES

3.5.1 Instructions to Government Personnel

Furnish written and verbal instructions on proper maintenance procedures to designated Government personnel. Furnish instructions by a competent representative of the roof membrane manufacturer and include a minimum of 4 hours on maintenance and emergency repair of the membrane. Include a

demonstration of membrane repair, and give sources of required special tools. Furnish information on safety requirements during maintenance and emergency repair operations.

Submit copies of Safety Data Sheets (SDS) for maintenance/repair materials.

3.5.2 Information Card

For each roof, furnish a typewritten information card for facility records and photoengraved 0.032 inch thick aluminum card for exterior display. Provide a card measuring 8-1/2 by 11 inch minimum, identifying facility name and number; location; contract number; approximate roof area; detailed roof system description, including deck type, membrane, number of plies, method of application, manufacturer, insulation and cover board system and thickness; presence of tapered insulation for primary drainage, presence of vapor retarder; date of completion; installing contractor identification and contact information; membrane manufacturer warranty expiration, warranty reference number, and contact information. Install card at roof top or access location as directed by the Contracting Officer and provide a paper copy to the Contracting Officer.

-- End of Section --

SECTION 26 41 00

LIGHTNING PROTECTION SYSTEM
08/23

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81	(2025) IEEE Guide for Measuring Earth Resistivity, Ground Impedance, and Earth

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2026; TIA 26-1; ERTA 26-1; TIA 26-2; TIA 26-3; TIA 26-4; TIA 26-5; TIA 26-6; TIA 26-7; ERTA 26-2; ERTA 26-3) National Electrical Code
NFPA 780	(2026) Standard for the Installation of Lightning Protection Systems

U.S. AIR FORCE (USAF)

DAFMAN 32-1065	(2020) Grounding and Electrical Systems

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-575-01	(2012; with Change 1, 2021) Lightning and Static Electricity Protection Systems

UL SOLUTIONS (UL)

UL 96	(2025) UL Standard for Safety Lightning Protection Components
UL 96A	(2023) UL Standard for Safety Installation Requirements for Lightning Protection Systems
UL 1449	(2021; Reprint Oct 2025) UL Standard for Safety Surge Protective Devices
UL Electrical Construction	(2012) Electrical Construction Equipment Directory

1.2 RELATED REQUIREMENTS

1.2.1 Verification of Dimensions

Confirm all details of work, verify all dimensions in field, and advise Contracting Officer of any discrepancy before performing work. Obtain

prior approval of Contracting Officer after engineering approval and before making any departures from the design.

1.2.2 System Requirements

Provide a system furnished under this specification consisting of the latest products of a manufacturer regularly engaged in production of lightning protection system components. Products must be UL listed for use on lightning protection systems unless this rating does not exist for items in question.

Comply with NFPA 70, NFPA 780, UL 96, and UFC 3-575-01.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Grounding Systems Testing; G

SD-07 Certificates

Component UL Listed and Labeled; G

Lightning Protection System Inspection Certificate; G

Roof Manufacturer's Warranty; G

1.4 QUALITY ASSURANCE

In each standard referred to herein, consider the advisory provisions to be mandatory, as though the word "shall" or "must" has been substituted for "should," wherever it appears. Interpret references that require LPS expertise in these standards to mean Base Civil Engineer (BCE) or BCE-designated representative with LPS training certification.

1.4.1 Component UL Listed and Labeled

Submit proof of compliance that components are UL Listed and Labeled for use on lightning protection systems. Listing alone in UL Electrical Construction, which is the UL Electrical Construction Directory, is not acceptable evidence. In lieu of Listed and Labeled, submit written certificate from an approved, nationally-recognized testing organization equipped to perform such services, stating that items have been tested and conform to requirements and testing methods of Underwriters Laboratories (UL).

1.4.2 Lightning Protection and Grounding System Test Plan

Provide lightning protection system and grounding system test plans in compliance with NFPA 780 and DAFMAN 32-1065 chapters 8 and 10, and Attachment 7. Identify (by number or letter, at the preference of the base - 1.2.3. or a.b.c.) the system test points/locations along with a listing or description of the item to be tested and the type of test to be conducted. DAFMAN 32-1065, Attachment 7, contains a Sample Visual

Inspection Form (Figure A7.6) and a Sample 24-month Resistance/Continuity Test/Visual Inspection of LPS and Grounding System (Figure A7.7). Substitute-Forms may be used, but those forms created or substituted by the base must include the same contents and information as the samples provided in Attachment 7, Figures A7.6 and A7.7. As a minimum, include a sketch of the facility and surrounding lightning protection system as part of the specific test plan for each structure. Include the requirements as "Testing of Integral Lightning Protection System" in the test plan. For explosives facilities, the rolling sphere must be superimposed in elevation drawings, on all three of these types of lightning protection systems for installations with a high strike density as indicated in the NOAA Strike Density Maps. This may be accomplished by Computer Aided Design (CAD). Integral systems are discouraged on explosives and communications facilities because it has the potential to bring lightning closer to what is being protected. For explosives facilities, record drawings with materials description (wire size, air terminal material, etc.) is required by the base Weapons Safety Office. Testing of Surge Protective Devices must comply with UL 1449 and annual inspection of Surge Protective Devices should be included on Figures A7.6 and A7.7.

1.4.3 Lightning Protection System Inspection Certificate of Qualifications

Provide an approved third-party inspector who meets training certification requirements for final acceptance of the LPS. Inspection and certification of the LPS must be completed and certified in writing, before occupancy of the building. Compliance requirements are in UFC 3-575-01 and DAFMAN 32-1065, paragraphs 4.2 and 4.3. Signed Certificate of Qualifications must be placed in the LPS records.

Note that the Contracting Officer may not accept the LPS system without a recommendation from a qualified person identified in the project documents.

1.5 SITE CONDITIONS

Confirm all details of work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before starting any work, if known at that time, or when it becomes known while performing work. Obtain prior approval of the BCE or BCE-designated representative with LPS training certification before design changes are made.

PART 2 PRODUCTS

2.1 MATERIALS

See NFPA 70, NFPA 780, UFC 3-575-01, DAFMAN 32-1065. Do not use a combination of materials that forms an electrolytic couple of such nature that corrosion is accelerated in the presence of moisture unless moisture is permanently excluded from the junction of such metals. Where unusual conditions exist which would cause corrosion of conductors, provide conductors with protective coatings, such as tin or lead, or oversize conductors. Where a mechanical hazard is involved, increase conductor size to compensate for the hazard or protect conductors. When metallic conduit or tubing is provided, electrically bond conductor to conduit or tubing at the upper and lower ends by clamp type connectors or welds (including exothermic). All lightning protection components, such as bonding plates, air terminals, air terminal supports and braces, chimney bands, clips, connector fittings, and fasteners are to comply with the requirements of UL 96 classes, as applicable.

2.1.1 Main and Bonding Conductors

Main and Bonding Conductors should be NFPA 780 and UL 96 Class I or Class II materials, as applicable. For explosives, Class II materials are in accordance with NFPA 780, Chapter 8.

2.1.2 Conductors

Provide copper or aluminum conductors, as applicable. See NFPA 780, UFC 3-575-01, DAFMAN 32-1065.

2.2 COMPONENTS

2.2.1 Air Terminals on Integral Systems

Provide solid air terminals. Tubular air terminals are not permitted. Support air terminals more than 24 inches in length by suitable brace, supported at not less than one-half the height of the terminal.

2.2.2 Ground Rods

Provide ground rods conforming to NFPA 780. Provide ground rods that are not less than 5/8 inch in diameter and 8 feet in length. Do not mix ground rods of copper-clad steel and solid copper on the job.

2.2.3 Connections and Terminations

Provide connectors for splicing conductors that conform to UL 96, class as applicable. Conductor connections can be made by compression clamps or welds (including exothermic). Provide style and size connectors required for the installation.

2.2.4 Connector Fittings

Provide connector fittings for "end-to-end", "Tee", or "Y" splices that conform to NFPA 780 and UL 96.

PART 3 EXECUTION

3.1 LIGHTNING PROTECTION SYSTEMS

Provide a lightning protection system that meets the requirements of NFPA 780 and UFC 3-575-01.

3.1.1 Integral Lightning Protection System

The integral type lightning protection system consists of air terminals, roof conductors, down conductors, ground connections, grounding electrodes and ground ring electrode conductor for the purpose of carrying lightning current from a direct strike to ground in a manner that will protect assets and personnel. Expose all conductors on the structures except where exterior down conductors are required to be in protective sleeves for prevention of mechanical damage (6 feet above grade level). Integral systems are the least preferred, especially for explosives and communications facilities. When use of integral systems is determined by the designer or site conditions to be necessary, do not run down conductors inside columns or other methods which will prevent visual access for required inspections. Visual access is required by NFPA 780 and DAFMAN 32-1065 so that the annual visual inspection may be performed

on all LPS components.

Make interconnections within side-flash distances between down conductors and metallic equipment mounted on the exterior or interior of a facility, at or below the level of the grounded metallic parts. Calculate side-flash distances in accordance with NFPA 780. Be aware of side flashes that may occur through exterior walls.

Note that integral systems should be avoided as LPS for Explosives and Communications facilities and is discouraged for general facilities. Installation and maintenance costs of an integral system are excessive and this method is maintenance intensive. The complexity and number of test points dictates frequent interruption to missions and daily operations for required annual inspections and testing. Integral systems require fall protection and maintenance of that fall protection system. For fall protection, maintain NFPA 780-compliant separation distance between the integral system and metallic fall protection.

3.1.1.1 Roof-Mounted Components

Coordinate with the roofing manufacturer and provide certification that the roof manufacturer's warranty is not violated by the installation methods for air terminals and roof conductors. Adhesive fasteners may be used on the roof if the installation is observed by base-qualified personnel to be compliant with manufacturer's instructions. Installation must be observed until the observer is satisfied that the proper methods for preparing the surface are being performed. These fasteners will be added and considered a test point in Base records and must be part of the annual inspection. Observer must date and sign the test record.

No connection or physical attachment is allowed to any coping system on the roof as this violates the integrity and warranty of the coping system.

3.1.1.2 Air Terminals

Use of adhesive fasteners, when installing air terminals on "rubber" (EPDM) type roofs, should be in accordance with adhesive and roof manufactures’ recommendations. See paragraph ROOF-MOUNTED COMPONENTS.

3.1.1.3 Roof Conductors

Roof conductors should comply with NFPA 780 and DAFMAN 32-1065.

3.1.2 Down Conductors

A minimum of two paths to ground shall be provided on any system. This may be by two down conductors or with a down conductor in combination with other metallic connections to ground. Protect exposed down conductors from physical damage from ground level up to 6 feet. If this protection is a metal conduit, both ends must be bonded to the down conductor passing through it. For Schedule 80 conduit providing this protection, no bonding at both ends is necessary. Schedule 80 conduit or metallic conduit may be painted to match the surrounding surface. NO CONNECTIONS OR FASTENERS OF ANY LIGHTNING PROTECTION SYSTEM MAY BE PAINTED because this affects the ease with which lightning current can pass to ground.

3.1.3 Ground Connections

Attach each down conductor and ground ring electrode to a ground rod below

grade by exothermic weld for all buried connections and exothermic weld or compression connectors for connections inside test wells. Terminate all down conductors to a grounding electrode inside a test well. Test connections and record resistances and continuity readings prior to covering.

Accessible connections above ground level and in test wells can be grounded with mechanical clamping, meeting installation requirements in NFPA 780.

3.1.4 Installation of Grounding Electrodes (Ground Rods)

Extend driven ground rods vertically into the existing undisturbed earth for a distance of not-less-than 8 feet if exothermic welds are used for bonds. Inside test wells, the 5/8 in. by 8 ft rod may be driven to a point above the base of the test well, that will provide working/testing access to the mechanically-fastened bond. Set ground rods not less than 3 feet nor more than 6 feet (see NFPA 780) from the structural foundation, and at least 3 feet beyond the drip line for the facility. After the completed installation, measure the total resistance to ground using the fall-of-potential method described in IEEE 81. Maximum allowed resistance of a single driven ground rod is 25 ohms (NFPA 70). If resistance-to-ground of a single ground rod for the lightning protection system exceeds 25 ohms and another ground rod is driven in accordance with NFPA 780, 25 ohms does not apply to this test point. See NFPA 70 exception to Article 250.53.

3.2 APPLICATIONS

3.2.1 Personnel Ramps and Covered Passageways

Lightning Protection is required in accordance with NFPA 780 and UFC 3-575-01.

3.3 INTERFACE WITH OTHER STRUCTURES

3.3.1 Fences

Bond metal fence and gate systems to the lightning protection system at the point where the fence, any fence post, or gate is within 6 feet of any part of the lightning protection system (usually a down conductor) in accordance with ANSI C2 and NFPA 780 and UFC 3-575-01.

3.4 RESTORATION

Where sod has been removed, replace sod as soon as possible after completing the backfilling. Restore areas disturbed by trenching, storing of dirt, cable laying, and other work, to original condition. Overfill the trench to accommodate for settling. Include necessary topsoil, fertilizing, liming, seeding, sodding, sprigging or mulching in any restoration, to match existing. Maintain disturbed surfaces and replacements until final acceptance. Return to site after six months to fill in compacted surface.

3.5 FIELD QUALITY CONTROL

3.5.1 Lightning Protection Systems Testing

A 100 percent test point test and inspection is required by all services.

Identify test points for lightning protection system connections and bonds. Provide a sketch (NTS) with identified test points as part of the contract acceptance. Number points in a manner consistent with the installation (Base or Post) nomenclature for existing lightning protection systems; for example, use letters or numbers to track the test results. Test each lightning protection system connection to ensure continuity across each connection or bond is 1 ohm or less, and record the value indicated on a copy of the test form located at the back of DAFMAN 32-1065, Attachment 7.

a. LPS testing must also be accomplished on smaller projects, such as roofing and HVAC projects, where lightning protection systems are installed on any facility with existing LPS, to ensure the LPS is not damaged or reconfigured during construction.

b. Comply with UL 96A. A third-party inspector (not the designer and not the installer) must be present for the 100 percent inspection and data documentation by the contractor. This is to take place prior to project acceptance. Note that in many cases Air Force personnel have been trained to inspect and accept projects as the third-party inspector. Verify this before contract award.

3.5.2 Grounding Systems Testing

A 100 percent test point resistance test and inspection is required. Identify all test points for grounding system connections and bonds. Provide a sketch (NTS) with identified test points as part of the contract acceptance. Number points in a manner consistent with the installation (Base or Post) nomenclature for existing grounding systems; for example, use letters or numbers to track the test results. Test each grounding system connection or bond to ensure resistance-to-ground is 25 ohms or less or as excepted by NFPA 70 or NFPA 780. Record the resistance measurement on a copy of the test form located at the back of DAFMAN 32-1065 for Air Force or a similar form provided by the Army or Navy. Test the ground rod for resistance to ground before making connections to the rod. Tie the grounding system together and test for resistance to ground. Make resistance measurements in dry weather, and not earlier than 48 hours after rainfall. Include in the written report: locations of test points, measured values for continuity and ground resistances, and soil conditions at the time that measurements were made. Submit results of each test to the Contracting Officer.

-- End of Section --