DEPARTMENT OF THE NAVY

NAVAL FACILITIES ENGINEERING SYSTEMS COMMAND, MID-ATLANTIC

MARINE CORPS AIR STATION, CHERRY POINT, NORTH CAROLINA

# RENOVATE 1ST FLOOR ROOMS, BUILDING 3673

AT THE

MARINE CORPS AIR STATION

CHERRY POINT, NORTH CAROLINA

PROJECT: 7406703

DESIGNED BY:

DESIGN MANAGEMENT AND ENGINEERING DIVISION
MCAS, CHERRY POINT, NC

SPECIFICATION PREPARED BY:

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SPECIFICATION APPROVED BY:

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on behalf of: PATRICK FAULKNER, PE

Date: _____

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LIST OF DRAWINGS
**02/24**

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

| DRAWING NO. | | NAVFAC DWG NO. | SHEET NO. | TITLE |
|---|---|---|---|---|
| 1 | | 12912777 | G-001 | COVER SHEET |
| 2 | | 12912778 | A-101 | OVERALL 1ST FLOOR PLAN |
| 3 | | 12912779 | A-401 | ENLARGED FLOOR PLANS |
| 4 | | 12912780 | A-402 | ENLARGED FLOOR PLANS |
| 5 | | 12912781 | A-403 | ENLARGED FLOOR PLANS |
| 6 | | 12912782 | A-601 | DOOR SCHEDULES, ELEVATIONS AND DETAILS |
| 7 | | 12912783 | A-602 | ROOM FINISH SCHEDULES, CASEWORK ELEVATIONS & SECTIONS |

1.3 SUPPLEMENTARY DRAWINGS

These supplementary drawings may not be a part of the contract but are included with the drawings for information.

1.3.1 Reference Drawings

The following reference drawings are intended only to show the original construction. Drawingsare the property of the Government and shall not be used for any purpose other than that intended by the contract.

| NAVFAC DWG NO. | PLATE NUMBER | TITLE |
|---|---|---|
| 12561583 | P-105 | ENLARGED NEW WORK PLANS, SCHEDULE & NOTES |
| 12561596 | M-106 | ENLARGED NEW WORK PLANS & NOTES |

| NAVFAC DWG NO. | PLATE NUMBER | TITLE |
|---|---|---|
| 12561597 | M-501 | SCHEDULES AND DETAILS |
| 12561632 | FS-001 | LEGEND, NOTES AND ABBREVIATIONS |
| 12561634 | FS-101 | FIRE SPRINKLER FIRST FLOOR PLAN A |
| 12561635 | FS-102 | FIRE SPRINKLER FIRST FLOOR PLAN B |

-- End of Document --

SECTION 01 11 00

SUMMARY OF WORK
**02/24**

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes renovation of family suites and sleeping rooms on the 1st floor of Building 3673, and incidental related work.

1.2.2 Location

The work is located at MCAS Cherry Point, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.3 OCCUPANCY OF PREMISES

Building will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 NAVY AND MARINE CORPS INTRANET (NMCI) COORDINATION REQUIREMENTS

1.5.1 NMCI Contractor Access

Allow the NMCI Contractor access to the facility towards the end of construction (finishes 90 percent complete, rough-in 100 percent complete, Inside Plant (ISP)/Outside Plant (OSP) infrastructure in place) to provide equipment in the telecommunications rooms and make final connections. Coordinate efforts with the NMCI Contractor to facilitate joint use of

building spaces during the final phases of construction. After the Contracting Officer has facilitated coordination meetings between the two contractors, within one week, incorporate the effort of additional coordination with the NMCI Contractor into the construction schedule to demonstrate a plan for maintaining the contract duration.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 14 00

WORK RESTRICTIONS
**11/22, CHG 1: 02/23**

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel

1.2 SPECIAL SCHEDULING REQUIREMENTS

a. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work.

b. The building will remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity.

c. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Ensure all Contractor equipment, including delivery vehicles, are clearly identified with their company name.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

#### 1.3.1.2 Installation Access

Obtain access to Navy installations through participation in the Defense Biometrics Identification System (DBIDS). Requirements for Contractor employee registration, and transition for employees currently under Navy Commercial Access Control System (NCACS), are available at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/. No fees are associated with obtaining a DBIDS credential.

Participation in the DBIDS is not mandatory, and Contractor personnel may apply for One-Day Passes at the Base Visitor Control Office to access an installation.

##### 1.3.1.2.1 Registration for DBIDS

Registration for DBIDS is available at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/. Procedure includes:

a. Present a letter or official award document (i.e. DD Form 1155 or SF 1442) from the Contracting Officer, that provides the purpose for access, to the base Visitor Control Center representative.

b. Present valid identification, such as a passport or Real ID Act-compliant state driver's license.

c. Provide completed SECNAV FORM 5512/1 to the base Visitor Control Center representative to obtain a background check. This form is available for download at https://www.cnic.navy.mil/Operations-and-Management/Base-Support/DBIDS/.

d. Upon successful completion of the background check, the Government will complete the DBIDS enrollment process, which includes Contractor employee photo, fingerprints, base restriction and several other assessments.

e. Upon successful completion of the enrollment process, the Contractor employee will be issued a DBIDS credential, and will be allowed to proceed to worksite.

##### 1.3.1.2.2 DBIDS Eligibility Requirements

Throughout the length of the contract, the Contractor employee must continue to meet background screen standards. Periodic background screenings are conducted to verify continued DBIDS participation and installation access privileges. DBIDS access privileges will be immediately suspended or revoked if at any time a Contractor employee becomes ineligible.

An adjudication process may be initiated when a background screen failure results in disqualification from participation in the DBIDS, and Contractor employee does not agree with the reason for disqualification. The Government is the final authority.

##### 1.3.1.2.3 DBIDS Notification Requirements

a. Immediately report instances of lost or stolen badges to the Contracting Officer.

b. Immediately collect DBIDS credentials and notify the Contracting Officer in writing under the following circumstances:

(1) An employee has departed the company without having properly returned or surrendered their DBIDS credentials.

(2) There is a reasonable basis to conclude that an employee, or former employee, might pose a risk, compromise, or threat to the safety or security of the Installation or anyone therein.

1.3.1.2.4 One-Day Passes

Personnel applying for One-Day passes at the Base Visitor Control Office are subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government is not responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in the DBIDS.

1.3.1.3 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction, and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Working Hours

Regular working hours will consist of an 8 1/2 hour period between 7 a.m. and 3:30 p.m., Monday through Friday , excluding Government holidays.

1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number, and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.3.4 Occupied Building

The Contractor shall be working in an existing building which is occupied. Do not enter the building without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains, and Government material located in the building during the construction period.

1.3.5 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.

c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, and sprinklers are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS.e. Connection to Existing Sanitary Sewer Line: Provide positive verification that the existing line conveys sanitary sewer; verify line is not incorrectly connected to a storm drain.

1.4 SECURITY REQUIREMENTS

1.4.1 Station Regulations

No employee or representative of the contractor will be admitted to the work site without an Identification Badge or is specifically authorized admittance to the work site by the FEAD, Facilities Engineering & Acquisition Division.

IMPORTANT NOTE: FEAD personnel (Construction Managers, Engineers/Architects, Engineering Technicians, Contract Specialists, or Contract Surveillance Representatives) will not receive, process, re-transmit, or otherwise handle IN ANY WAY Personally Identifiable Information (PII) related to the badging process. Do NOT forward any of this information to the FEAD.

1.4.2 Contractor Access to MCAS Cherry Point and Outlying Areas

1. Documentation requirements for granting access to MCAS Cherry Point for commercial and contract employers and employees. This document is an aid in meeting ASO 5560.6B requirements and is not a substitute for the order.

2. The Pass & Identification Office at Building 251 will issue credentials to authorized contractors. Sub-Contractors and suppliers must coordinate through the Prime-Contractor.

3. Criminal Activity. In accordance with ASO 5560.6B, the below list of criminal activities within an applicant's record are considered not in the best interest of the Marine Corps and will be grounds for automatic denial of access aboard the Installation:

a. Conviction for espionage, sabotage, sedition, treason, terrorism, armed robbery, or murder.

b. Felony conviction for a firearms or explosives violation, regardless of the date of conviction.

c. Conviction of crimes encompassing sexual assault or rape.

d. Conviction of crime encompassing child molestation, or the possession or production of child pornography.

e. Conviction of trafficking in persons.

f. Conviction of drug possession with intent to sell or distribute.

g. Convicted of three or more misdemeanor violations, or attempted violations, within the previous 10 years of the following offenses:

(1) Sex crime

(2) Assault

(3) Larceny

(4) Drugs

(5) Weapons

4. Persons requesting access to MCAS Cherry Point will be denied access based on the following:

a. The individual is a registered sex offender.

b. The individual has an active arrest warrant from Federal, State, local, or other civil law enforecement authorities, regardless of offense or violation.

c. The individual has a felony conviction within the last 10 years, regardless of the offense or violation.

d. The individual's name appears on any Federal or State agency watch list for criminal behavior or terrorist activity.

e. The individual is debarred entry or access to a Marine Corps site, other DoD installations or facilities, or other Federal site or facility.

f. The individual engaged in acts or activities designed to overthrow the U.S. Government by force.

g. The individual is known to be or reasonably suspected of being a terrorist or belongs to an organization with known terrorism links/support.

h. The individual is identified in the National Crime Information Center (NCIC) known suspected terrorist (KST) file, or the Terrorist Screening Database (TSDB) report as known to be, or suspected of being, a terrorist or belonging to an organization with known links to terrorism or support of terrorist activity. If an individual is identified on the NCIC KST files or TSDB, the Provost Marshal's Office (PMO) will immediately call the NCIS Multiple Threat Alert Center (MTAC) for further coordination. The MTAC will coordinate with the Department of Justice or Federal Bureau of Investigation (FBI) and provide handling instructions to MCAS Cherry Point Police, Criminal Investigations Division (CID), or NCIS.

i. The individual is illegally present in the U.S.

j. The individual has knowingly submitted an employment questionnaire with false or fraudulent information.

k. The individual is a prisoner on a work-release program or currently on felony probation or parole.

l. The individual is pending any felony charge.

m. The individual has criminal arrest information that the site commander determines the person presents a threat to good order, discipline, or health and safety on the Marine Corps site.

n. Any reason the Installation Commander deems reasonable for good order and discipline.

1.4.3 Staging Area

As indicated on the plans, the Contractor staging area will be (CM to coordinate). Amount of material on site shall be kept to a minimum and shall only be material that is pertinent to the work currently being performed. All stockpiling of equipment and materials shall be closely coordinated with the Government and shall not disrupt activities at the site.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES
**11/20, CHG 3: 02/23**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EP 1110-1-8 | (2021) Engineering and Design -- Construction Equipment Ownership and Operating Expense Schedule |

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices

1.3 SCHEDULE OF PRICES

1.3.1 Data Required

Within 15 calendar days of Contract Award, prepare and deliver to the Contracting Officer a Schedule of Prices (construction Contract) as directed by the Contracting Officer. Schedule of Prices must have cost summarized and totals provided for each construction category. Provide a detailed breakdown of the Contract price, giving quantities for each of the various kinds of work, unit prices and extended prices. Contractor overhead and profit including salaries for field office personnel, if applicable, must be proportionately spread over all pay items and not included as individual pay items.

1.3.2 Payment Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer.

Additionally, the Schedule of Prices must be separated as follows:

a. Primary Facilities Cost Breakdown:

Defined as work on the primary facilities out to the 5 foot line. Work out to the 5 foot line includes construction encompassed within a theoretical line 5 foot from the face of exterior walls and includes attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 foot line.

b. Supporting Facilities Cost Breakdown:

Defined as site work, including incidental work, outside the 5 foot line.

## 1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause DFARS 252.236-7000 Modification Proposals-Price Breakdown, and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, base equipment use rates upon the applicable provisions of the EP 1110-1-8.

## 1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

### 1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27 Prompt Payment for Construction Contracts and FAR 52.232-5 Payments Under Fixed-Price Construction Contracts. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies. The requests for payment shall include the documents listed below.

a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing, in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 must include certification by Quality Control (QC) Manager as required by the Contract.

b. The Estimate for Voucher/Contract Performance Statement on NAVFAC Form 4330/54 furnished by the Government. Use NAVFAC Form 4330, unless otherwise directed by the Contracting Officer, on NAVFAC Contracts when a Monthly Estimate for Voucher is required.

c. Contractor's Monthly Estimate for Voucher and Contractors Certification (NAVFAC Form 4330) with Subcontractor and supplier payment certification. Other documents, including but not limited to, that need to be received prior to processing payment include the following submittals as required. These items are still required monthly even when a pay voucher is not submitted.

d. Monthly Work-hour report.

e. Updated Construction Progress Schedule and tabular reports required by the contract.

f. Contractor Safety Self Evaluation Checklist.

g. Updated submittal register.

h. Solid Waste Disposal Report.

i. Certified payrolls.

j. Updated testing logs.

k. Other supporting documents as requested.

### 1.5.2 Submission of Invoices

If DFARS Clause 252.232-7006 Wide Area WorkFlow Payment Instructions is included in the Contract, provide the documents listed in above paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

Monthly invoices and supporting forms for work performed through the anniversary award date of the Contract must be submitted to the Contracting Officer within 5 calendar days of the date of invoice. For example, if Contract award date is the 7th of the month, the date of each monthly invoice must be the 7th and the invoice must be submitted by the 12th of the month.

### 1.5.3 Final Invoice

a. A final invoice must be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release must contain the corporate seal. An officer of the corporation must sign and the corporate secretary must certify the Final Release.

b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.

c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

## 1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

### 1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this Contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.103 Progress Payments Under Construction Contracts:

a. Reasonable deductions due to defects in material or workmanship;

b. Claims which the Government may have against the Contractor under or in connection with this Contract;

c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and

d. Failure to maintain accurate "as-built" or record drawings in accordance with FAR 52.236.21.

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the Contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.

b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment must be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

c. Materials to be considered for progress payment prior to installation must be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this Contract. Requests for progress payment consideration for such items must be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.

d. Materials are adequately insured and protected from theft and exposure.

e. Provide a written consent from the surety company with each payment request for offsite materials.

f. Materials to be considered for progress payments prior to installation must be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

g. Materials in transit to the job site or storage site are not acceptable for payment.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
**11/20, CHG 3: 08/23**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EM 385-1-1 | (2014) Safety and Health Requirements Manual |

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map
Progress and Completion Pictures

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

1.5 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by North Carolina law.

## 1.6 SUPERVISION

### 1.6.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

For projects where the superintendent is permitted to also serve as the Quality Control (QC) Manager as established in Section 01 45 00 QUALITY CONTROL, the superintendent must have qualifications in accordance with that section.

### 1.6.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a QC representative is required on the Contract, then that individual must also have fluent English communication skills.

### 1.6.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and QC meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

### 1.6.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

## 1.7 PRECONSTRUCTION MEETING

Immediately after award, prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction Meeting. The meeting must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this meeting is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, QC,

schedule of prices or , shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections, and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

### 1.7.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), QC Manager and major subcontractors.

## 1.8 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled NAVFAC Red Zone Meetings beginning at approximately 75 percent of project completion. Include the following in the facility Turnover effort:

### 1.8.1 Red Zone Checklist

a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.

b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

### 1.8.2 Meetings

a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.

b. The Contracting Officer will establish the frequency of the meetings, which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.

c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.

d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.

e. Maintain the Red Zone Checklist by documenting the actual completion dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

## 1.9 PARTNERING

Contractor shall host the partnering session within 45 calendar days of contract award. To most effectively accomplish this Contract, the

Contractor and Government must form a cohesive partnership with the common goal of drawing on the strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget, and on schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, PWD, FEAD, Contractor, key subcontractors, and the Designer of Record are required to participate in the Partnering process.

1.9.1 Team-Led (Informal) Partnering

a. The Contracting Officer will coordinate the initial Team-Led (Informal) Partnering Session with key personnel of the project team, including Contractor and Government personnel. The Partnering Session will be co-led by the Government Construction Manager and Contractor's Project Manager.

b. The Initial Team-led Partnering session may be held concurrently with the Pre-Construction meeting. Partnering sessions will be held at a location mutually agreed to by the Contracting Officer and the Contractor, typically at a conference room on-base or at the Contractor's temporary trailer.

c. The Initial Team-Led Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by Contracting Officer.

d. The Partners will determine the frequency of the follow-on sessions.

e. Participants will bear their own costs for meals, lodging, and transportation associated with Partnering.

1.10 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days after contract award . Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 31 23.13 20

ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT MANAGEMENT SYSTEM (eCMS)
**08/23**

PART 1 GENERAL

1.1 CONTRACT ADMINISTRATION

Utilize the Naval Facilities Engineering Systems Command's (NAVFAC's) Electronic Construction and Facility Support Contract Management System (eCMS) for the transfer, sharing, and management of electronic technical submittals and documents. The web-based eCMS is the designated means of transferring technical documents between the Contractor and the Government. Paper media or email submission, including originals or copies, of the documents are not permitted unless identified within the contract.

All government contracting specialist/officer, legal, and command communications will remain the same.

1.2 USER PRIVILEGES

The Contractor's key staff may be provided access to eCMS. Contact the COR for eCMS account access. Project roles and system roles will be established to control each user's menu, application, and software privileges, including the ability to create, edit, or delete objects. Additional project roles may be assigned for workflow. The COR makes the final decision on roles for the project. User's ability to view and edit documents may be lowered at the discretion of the COR.

Only one eCMS user account is required regardless of the number of user's projects. Notify the COR within seven calendar days if a contractor user is no longer associated with company or project so they can remove them from any open record and inactivate them from the project.

1.2.1 eCMS Subcontractor Users

If the contractor's user is a subcontractor, the subcontractor must be registered under the name of their company and email. For example, it is common for contractors to contract QC Managers. The QC Manager's account should be under their company's name and email reducing the number of eCMS accounts required.

1.2.2 Users with Multiple Roles

Users may have multiple roles associated with their account within eCMS. Roles are used in workflow. When a user is added to the project, they will be assigned the default role when the user was created. Contact the COR to change or add roles to the user for the project.

1.2.3 Loss of Privilege

Users may lose privilege to access eCMS at the discretion of the COR and/or Contracting Officer. The eCMS is a collaborative system that allows flexibility of use and does not restrict all inappropriate user actions. User activities are logged into eCMS in visible and background

data collection. Users found to use eCMS in an inappropriate action may have their eCMS access revoked. Examples include, but are not limited to, fraudulent representations, sharing user accounts with others, and changing approved records without the consent of the COR. Depending on the severity of the infraction, the users can lose eCMS access for a period of time, permanently for the project, or lose eCMS access for any project. The contractor may appeal the suspension in writing to the Contracting Officer within 14 calendar days of notice. The appeal must identify the infraction, supporting information, and steps to ensure the infraction will not happen in the future.

## 1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contractor's Personnel

For Division 1 government-approved Pre-Construction submittals, combine into a single Pre-Construction Submittal Package, annotated with SD Type of SD-01. Pre-Construction submittal package approval date will be used as a KPI.

## 1.4 SYSTEM REQUIREMENTS AND CONNECTIVITY

### 1.4.1 General

NAVFAC eCMS requires a web-browser (platform-neutral) and Internet connection. For best results, recommend using browser in InPrivate/Incognito mode; Internet speeds greater than 40mbps when uploading files, computers with high RAM and Solid State Drives, "White List" eCMS website, Zip or Split files for better uploading. Non-NAVFAC Users are not to use VPN when using eCMS per NAVFAC IT.

The use of eCMS is required by the Contractor and all associated costs and time necessary to utilize eCMS will be borne by the Contractor with no allowance for time extensions and at no additional cost to the government.

### 1.4.2 Contractor Personnel List

Within 20 calendar days of contract award, provide to the Contracting Officer a list of Contractor's personnel who will have the responsibility for the transfer, sharing, and management of electronic submittals, RFIs, daily reports, and other files and will require access to the eCMS. Project personnel roles which must be filled as applicable in the eCMS include, at a minimum, the Contractor's Project Manager (KTR-PM), Superintendent (KTSUPT), QC Manager (KTR-QC), Principal (KTR-PRIN), and Site Safety and Health Officer (KTR-SSHO). Notify the COR immediately of any personnel changes to the project. The Contracting Officer reserves the right to perform a security check on all potential users.

Provide the following information:

Company Name
Name (First, Last)
Email Address
Project Role (CQM, SSHO, Superintendent, CM, PM, Principal)

Existing or New eCMS User

1.5 SECURITY CLASSIFICATION

In accordance with Department of Navy guidance, all military construction contract data are unclassified, unless specified otherwise by a properly designated Original Classification Authority (OCA) and in accordance with an established Security Classification Guide (SCG). Refer to the project's OCA when questions arise about the proper classification of information.

In conformance with the Freedom of Information Act (FOIA), DoD INSTRUCTION 5200.48 CONTROLLED UNCLASSIFIED INFORMATION (CUI), and DoD requirements, any unclassified project documentation uploaded into the eCMS must be designated either "U - UNCLASSIFIED" (U) or "CUI - CONTROLLED UNCLASSIFIED INFORMATION" (CUI). NAVFAC eCMS must only be used for the transaction of unclassified information associated with construction projects. Controlled Unclassified Identification (CUI) documents may be loaded into eCMS with the appropriate markings.

1.5.1 Markings on CUI Documents

Contractor's proprietary information, or documents determined by the originator in accordance with CUI guidance, should be marked CUI. Proprietary information not marked CUI can be released under the Freedom of Information Act (FOIA). Apply the appropriate markings before any document is uploaded into eCMS. Markings are not required on Unclassified (U) documents.

1.6 eCMS UTILIZATION

Establish, maintain, and update data and documentation in the eCMS throughout the duration of the contract. Utilize eCMS to transfer all submittals, RFIs, daily reports, and other files required by contract to be forwarded to the government.

Full eCMS use is required. All Submittals/Information to use eCMS Modules including, but not limited to, RFIs, Daily Reports, Meeting Minutes, Communications, Issues, Punch Lists, Checklists, and Flysheets, unless otherwise directed by the COR or Contracting Officer.

1.6.1 Restricted Information

Personally Identifiable Information (PII) transmittal such as credit card, driver's license, passport, social security, and payroll number are not permitted in eCMS. Name, address, and email are permitted. Pre-negotiation information such as cost estimates that require formal negotiations are not allowed. For example, proposed changes over the SAP level of $250k require formal negotiations. Cost estimates for LEAN, ULTRA LEAN, and Design Changes under the SAP level are at the discretion of the COR's or Contract Specialist/Officer's direction. The eCMS must only be used for the transaction of unclassified information associated with construction projects. Controlled Unclassified Identification (CUI) documents may be loaded into eCMS with the appropriate markings. Uploading of files directly into the Documents folder is not allowed. All documents must be uploaded using an eCMS module.

1.6.2 Naming Convention for Files

Titles of files uploaded are to be descriptive of the purpose and content of the file. For example RFI_ROOF_Leak.doc or for submittals, SUB_LIGHT_FIXTURE.pdf. Titles of file to be uploaded must only contain uppercase letters, lowercase letters, numbers, hyphens (-), underscores (_), and periods (.). Use of any other characters is not allowed and may create an error. When practicable, adding the record number to the title is desired. For example RFI_XYZ12345_ROOF_Leak.doc. Uploading files with the same title will create a new revision in eCMS. Original revision is Rev 0, the first revision is Rev 1. Uploaded files are to use the default file location regardless of the module used unless directed by the COR.

Table 1 also identifies which eCMS application is to be used in the transmittal of data (these are subject to change based on the latest software configuration).

Table 1 - Project Documentation Types

| SUBJECT/NAME | REMARKS | eCMS APPLICATION |
|---|---|---|
| As-Built Drawings | Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager | Submittals |
| Building Information Modeling (BIM) | Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager | Submittals |
| Construction Permits | Refer to rules of the issuing activity, state or jurisdiction | Submittals |
| Construction Schedules (Activities and Milestones) | | Submittals |
| Construction Schedules | | Submittals |
| Construction Schedules (3-Week Look ahead) | Import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline | Meeting Minutes |
| DD 1354 Transfer of Real Property | When applicable, required for final billing. | Submittals |

| SUBJECT/NAME | REMARKS | eCMS APPLICATION |
|---|---|---|
| Daily Production Reports | Provide weather conditions, crew size, man-hours, equipment, and materials information | Daily Report |
| Daily Quality Control (QC) Reports | Provide QC Phase, Definable Features of Work<br>Identify visitors | Daily Report |
| Designs and Specifications | Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager | Submittals |
| Environmental Notice of Violation (NOV), Corrective Action Plan | Refer to rules of the issuing activity, state, or jurisdiction | Submittals |
| Environmental Protection Plan (EPP) | | Submittals |
| Invoice (Supporting Documentation) | Applies to supporting documentation only. Invoices are submitted in Wide-Area Workflow (WAWF) | Submittals |
| Jobsite Documentation, Bulletin Board, Labor Laws, SDS | Redact any PII information when loaded into eCMS | Submittals |
| Meeting Minutes | | Meeting Minutes |
| Modification Documents | Provide final modification documents for the project. Upload into Modifications RFPs folder | Communications |
| Operations & Maintenance Support Information (OMSI/eOMSI), Facility Data Worksheet | 1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager<br>2. Design reviews will be performed in existing "Dr Checks" | Submittals |
| Photographs | Subject to base/installation restrictions | Submittals |

| SUBJECT/NAME | REMARKS | eCMS APPLICATION |
|---|---|---|
| QCM Initial Phase Checklists | | Meeting Minutes or Checklists |
| QCM Preparatory Phase Checklists | | Meeting Minutes or Checklists |
| Quality Control Plans | | Submittals |
| QC Certifications | | Submittals |
| QC Punch List | | Punch Lists |
| Red-Zone Checklist | | Punch List or Checklists |
| Rework Items List | | Punch Lists |
| Request for Information (RFI) Post-Award | | RFIs |
| Safety Plan | | Submittals |
| Safety - Activity Hazard Analyses (AHA) | | Submittals |
| Safety - Mishap Reports | | Daily Report |
| Shop Drawings | Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager | Submittals |
| Storm Water Pollution Prevention (Notice of Intent - Notice of Termination) | Refer to rules of the issuing activity, state or jurisdiction | Submittals |
| Submittals and Submittal Register | | Submittals |
| Testing Plans, Logs, and Reports | | Submittals |

| SUBJECT/NAME | REMARKS | eCMS APPLICATION |
|---|---|---|
| Training/Reference Materials | | Submittals |
| Training Records (Personnel) | Redact any PII information if storing in eCMS | Submittals |
| Utility Outage/Tie-In Request/Approval | | Submittals |
| Warranties/BOD Letter | | Submittals |
| Quality Assurance Reports | | Checklists (Government initiated) |
| Non-Compliance Notices | | Non-Compliance Notices (Government initiated) |
| Other Government-prepared documents | | GOV ONLY |
| Letters to government contracting, claims, REAs, and other Contracting Officer communications | eCMS is not the primary tool to use in Contracting Officer communications. eCMS can only store documents or letters after the submission to the Contracting Officer is made. | Communications |
| All Othere Documents | Refer to FOIA guidelines and contact the FOIA official to determine whether exemptions exist | As applicable |

1.6.3 RFIs Module

Create contractor RFIs using eCMS RFIs module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

If the government (GOV) response has "No" Cost or Schedule Impact, this reply is given with the expressed understanding that it does not constitute a basis for any change in the amount or time of subject contract. Information provided in this response does not authorize work not currently included in the contract. If GOV Response is "Yes" or "Potentially" then this response may require a change to the contract. If the contractor disagrees with the government's No Cost and/or No Schedule impact determination, the contractor has 14 calendar days to notify the COR and Contracting Officer in writing.

### 1.6.4 Submittals Module

Create contractor submittals using eCMS Submittals module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

### 1.6.5 Submittal Packages Module

Create submittal packages using the eCMS Submittal Packages module in lieu of or in addition to Related Objects. Submittal Packages track completion of the packaged submittals and is used in NAVFAC HQ's KPIs.

### 1.6.6 Communications Module

Create communications using the eCMS Communications module. The Communications module is used to create or document communications that are not a part of other eCMS modules. Use of Communications module will memorialize information into an eCMS record file. The following are Types of Communications:

Email
Memo to File
Face to Face
Telephone
Web Collaboration
Photos
Other Documents
Other

Unless directed by the COR, upload documents or files that do not have a corresponding eCMS module. Choose "Photos" Type for Photos and "Other Documents" for all other documents.

### 1.6.7 Issues Module

Create or respond to issues using the eCMS Issues module. Respond to CPARS issues using the Issues module.

### 1.6.8 Meeting Minutes Module

Create or respond to Meeting Minutes using the eCMS Meetings module.

Document required contractual meetings. Dates of meetings are used in NAVFAC KPIs. Minimum meetings in eCMS include the following:

Pre-construction (Pre Con)
Initial and Preparatory Three Phases of Control
Quality Control (QC)

### 1.6.9 Potential Change Items Module

Not used.

### 1.6.10 Daily Report Module

Create Daily Reports using the eCMS Daily Report Module. The contractor must confirm the numbering convention with the COR if different than eCMS default.

1.6.11 Punchlists Testing Logs (Legacy)

Punchlist Testing Logs is a legacy program that is being replaced by the Punch Lists Module. This module is to be used for reference of past projects. Use the Punch Lists Module for all future work.

1.6.12 Punch Lists Module

The eCMS Punch Lists module is useful more than just for Punchlists. The module includes the capability of batch editing, create items from Optical Character Recognition (OCR) plans, assign tasks, and track completion of individual items.

Create the following using the Punch Lists module:

Rework Items List
DFOW List
Punch-Out Inspection
Pre-Final Punchlist Inspection
Final Punchlist Inspection
Testing Logs

1.6.13 FWD UltraLean COAR RFP Module

Not Used.

1.6.14 Non-Compliance Notices (NCN) Module

Respond to Non-Compliance Notices listed in the Non-Compliance Notices module.

1.6.15 Checklists

Use Checklist listed in the contractor's eCMS menu and as directed by the COR. Checklists capture data and is used in dashboards and KPIs.

1.6.15.1 Partnering Team Health Survey Checklist

Contractor must use the eCMS checklist to document the partnering team health survey. Partnering Team Health Survey is in accordance with the Partnering Specification of this contract.

1.6.16 Flysheets

Use Flysheets listed in the contractor's eCMS menu, if available, and as directed by the COR. Flysheets allow the contractor to print out information from other systems and upload into eCMS. The eCMS will use OCR to capture the information as data. Flysheets capture data used in dashboards and KPIs.

1.6.17 eCMS Outage

In the case where eCMS is unavailable for 8 hours or more, paper or email may be used in the interim to maintain project schedule.

Once the system is operational, all final records are required to be recreated using the appropriate module. Subject/title of the record to include the type of record i.e., RFI/Submittal/Daily

Report/Communication/Other, the identification number(s), and the statement "Processed Outside of eCMS". Example, "RFI 001 Processed Outside of eCMS".

1.6.18 User Account Activity

NAVFAC eCMS captures user data and activities that are directly related to the user's account. The user agrees through the use of eCMS, their account activities will be captured and can be displayed on eCMS printed reports.

1.7 QUALITY ASSURANCE

Requested Government response dates on Submittals must be in accordance with the terms and conditions of the Contract unless previously agreed by the COR. Requesting response dates earlier than the required review and response time, without concurrence by the Government COR, may be cause for rejection.

Incomplete submittals will be rejected without further review and must be resubmitted. Required Government response dates for resubmittals must reflect the date of resubmittal, not the original submittal date.

All submittals and associated attachments must be transmitted to the Government via the COR. Transmittals are no longer required when using eCMS since approval status is tracked on the submittal. Transmittal forms can be attached to submittals if approved by the COR. Submittals requiring government approval are "Transmitted For" "Approval". Submittals for Information Only are "*Transmitted For" "Information Only" in the Submittal Module. Provide and sign the QC certification statement on the attachment per submittal specification section. When Submittal Packages are required, use eCMS Submittal Packages after creating individual submittals. Importing Submittals from the Submittal Register is optional. Contact the COR for the data conversion requirements.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

SECTION 01 32 16.00 20

SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES
**08/18, CHG 1: 08/20**

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Baseline Construction Schedule

SD-07 Certificates

Monthly Updates

1.2 PRE-CONSTRUCTION SCHEDULE REQUIREMENT

Prior to the start of work, prepare and submit to the Contracting Officer a Baseline Construction Schedule in the form of a Bar Chart Schedule in accordance with the terms in Contract Clause FAR 52.236-15 Schedules for Construction Contracts, except as modified in this contract. The approval of a Baseline Construction Schedule is a condition precedent to:

a. The Contractor starting demolition work or construction stage(s) of the contract.

b. Processing Contractor's invoice(s) for construction activities/items of work.

c. Review of any schedule updates.

Submittal of the Baseline Construction Schedule, and subsequent schedule updates, is understood to be the Contractor's certification that the submitted schedule meets the requirements of the Contract Documents, represents the Contractor's plan on how the work will be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 SCHEDULE FORMAT

1.3.1 Bar Chart Schedule

The Bar Chart must, as a minimum, show work activities, submittals, Government review periods, material/equipment delivery, utility outages, on-site construction, inspection, testing, and closeout activities. The Bar Chart must be time scaled and generated using an electronic spreadsheet program.

1.3.2 Schedule Submittals and Procedures

Submit Schedules and updates in hard copy and on electronic media that is acceptable to the Contracting Officer. Submit an electronic back-up of

the project schedule in an import format compatible with the Government's scheduling program.

1.4 SCHEDULE MONTHLY UPDATES

Update the Construction Schedule at monthly intervals or when the schedule has been revised. Keep the updated schedule current, reflecting actual activity progress and plan for completing the remaining work. Submit copies of purchase orders and confirmation of delivery dates as directed by the Contracting Officer.

a. Narrative Report: Identify and justify the following:

(1) Progress made in each area of the project;

(2) Longest Path: Include printed copy on 11 by 17 inch paper, landscape setting;

(3) Date/time constraint(s), other than those required by the contract;

(4) Listing of changes made between the previous schedule and current updated schedule including: added or removed activities, original and remaining durations for activities that have not started, logic (sequence, constraint, lag/lead), milestones, planned sequence of operations, longest path, calendars or calendar assignments, and cost loading.

(5) Any decrease in previously reported activity Earned Amount;

(6) Pending items and status thereof, including permits, change orders, and time extensions;

(7) Status of Contract Completion Date and interim milestones;

(8) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);

(9) Description of current and future schedule problem areas.

For each entry in the narrative report, cite the respective Activity ID and Activity Name, the date and reason for the change, and description of the change.

1.5 3-WEEK LOOK AHEAD SCHEDULE

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Construction Schedule. Key the work plans to activity numbers when a NAS is required and update each week to show the planned work for the current and following two-week period. Additionally, include upcoming outages, closures, preparatory meetings, and initial meetings. Identify critical path activities on the Three-Week Look Ahead Schedule. The detail work plans are to be bar chart type schedules, maintained separately from the Construction Schedule on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as directed by the Contracting Officer. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work. Deliver three hard copies and one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, and review during

the weekly CQC Coordination or Production Meeting.

1.6 CORRESPONDENCE AND TEST REPORTS:

Correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs) must reference Schedule Activities that are being addressed. Test reports (e.g., concrete, soil compaction, weld, pressure) must reference Schedule Activities that are being addressed.

1.7 ADDITIONAL SCHEDULING REQUIREMENTS

Any references to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to all requirements of this section.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES
**08/18, CHG 4: 02/21**

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or commencing with the start of work on site.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance
Surety Bonds
List Of Proposed Subcontractors
List Of Proposed Products
Baseline Construction Schedule
Submittal Register
Schedule Of Prices Or Earned Value Report
Accident Prevention Plan
Work Plan
Quality Control (QC) plan
Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions, and brochures illustrating size, physical appearance, and other characteristics of materials, systems, or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment, or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product, or system identical to the material, product, or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer, or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system, or

material, including special notices and (SDS)concerning impedances, hazards, and safety precautions.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance, and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register

1.3 SUBMITTAL CLASSIFICATION

1.3.1 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

## 1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

As soon as practicable after award of contract, and before procurement or fabrication, forward to the Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point (Design Management & Engineering Division), PSC Box 8006, Building 87, Cherry Point, North Carolina, 28533-0006 submittals required in the technical sections of this specification, including shop drawings, product data and samples. In addition, forward a copy of the submittals to the Contracting Officer at Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point (Construction Division), PSC Box 8006, Building 87, Cherry Point, North Carolina, 28533-0006.

Forward to the Commander, NAVFAC Mid-Atlantic, FEAD Cherry Point (Construction Division), PSC Box 8006, Building 87, Cherry Point, North Carolina, 28533-0006, submittals required in the General Requirements sections of this specification.

### 1.4.1 O&M Data

Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the items to which such O&M data apply.

## 1.5 PREPARATION

### 1.5.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to the office of the approving authority using the transmittal form prescribed by the Contracting Officer. Include all information prescribed by the transmittal form and required in paragraph IDENTIFYING SUBMITTALS. Use the submittal transmittal forms to record actions regarding samples.

### 1.5.2 Identifying Submittals

The Contractor's QC Manager must prepare, review and stamp submittals, including those provided by a subcontractor, before submittal to the Government.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

a. Project title and location

b. Construction contract number

c. Dates of the drawings and revisions

d. Name, address, and telephone number of Subcontractor, supplier, manufacturer, and any other Subcontractor associated with the submittal.

e. Section number of the specification by which submittal is required

f. Submittal description (SD) number of each component of submittal

g. For a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission

h. Product identification and location in project.

1.5.3 Submittal Format

1.5.3.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.3.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Submit an electronic copy of drawings in PDF format.

1.5.3.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than four inches on the right-hand side of each sheet for the Government disposition stamp.

1.5.3.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

#### 1.5.3.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

#### 1.5.3.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

#### 1.5.3.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

### 1.5.3.4 Format of SD-04 Samples

#### 1.5.3.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.5.3.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.5.3.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.5.3.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.6 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.3.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.9 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.5.3.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's certification stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.4 Source Drawings for Shop Drawings

1.5.4.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.4.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the

use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates, or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

### 1.5.5 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the DOD SAFE Web Application located at the following website: https://safe.apps.mil/.

## 1.6 QUANTITY OF SUBMITTALS

### 1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

### 1.6.2 Number of SD-04 Samples

a. Submit two samples, or two sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

## 1.7 INFORMATION ONLY SUBMITTALS

Submittals not requiring approval by the Government must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

## 1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided at the end of this section.

### 1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Column (f): Lists the approving authority for each submittal.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on

which submittals are received by and returned by the Government.

1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.8.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.8.5 Action Codes

1.8.5.1 Government Review Action Codes

"A" - "Approved as submitted"
"AN" - "Approved as noted"
"RR" - "Disapproved as submitted"; "Completed"
"NR" - "Not Reviewed"
"RA" - "Receipt Acknowledged"

### 1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

## 1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

### 1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

### 1.9.2 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

### 1.9.3 Review Schedule Extension

In addition to the normal submittal review period, a period of 10 working days will be allowed for the Government to consider submittals with variations.

## 1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. .

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or

marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 20 working days after the date of submission.

d. Except as specified otherwise, allow a review period, beginning with receipt by the approving authority, that includes at least 20 working days for submittals where the Contracting Officer is the approving authority. The period of review for submittals with Contracting Officer approval begins when the Government receives the submittal from the QC organization.

e. For submittals requiring review by a Government fire protection engineer, allow a review period, beginning when the Government receives the submittal from the QC organization, of 30 working days for return of the submittal to the Contractor.

1.10.1 Reviewing, Certifying, and Approving Authority

The QC Manager is responsible for reviewing all submittals and certifying that they are in compliance with contract requirements. The approving authority on submittals is the Contracting Officer unless otherwise specified.

1.10.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of the work. At the same time, submit components of definable features that are interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, the submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of the assembly in which the item functions.

1.10.3 QC Organization Responsibilities

a. Review submittals for conformance with project design concepts and compliance with contract documents.

b. Process submittals based on the approving authority indicated.

(1) When the Contracting Officer is the approving authority or when variation has been proposed, forward the submittal to the Government, along with a certifying statement, or return the submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of the submittal determines the appropriate action.

c. Ensure that material is clearly legible.

d. Stamp each sheet of each submittal with a QC certifying statement, except that data submitted in a bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

(1) When the approving authority is the Contracting Officer, the QC organization will certify submittals forwarded to the Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with Contract Number (_____) is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Certified by QC Manager _____, Date _____"
(Signature)

e. Sign the certifying statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.

f. Update the submittal register as submittal actions occur, and maintain the submittal register at the project site until final acceptance of all work by the Contracting Officer.

g. Retain a copy of approved submittals and approved samples at the project site.

## 1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received from the QC manager.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. Three copies of the submittal will be retained by the Contracting Officer and four copies of the submittal will be returned to the Contractor.

### 1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and certified by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the QC requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION
RENOVATE 1ST FLOOR ROOMS, DEVIL DOG INN, B3673

CONTRACTOR

| ACTIVITY NO | TRANSMITTAL NO | SPEC SECT | DESCRIPTION ITEM SUBMITTED | PARAG # RAPH | CLASSIFICATION | GOVT OR A/E REVWR | CONTRACTOR: SCHEDULE DATES | | | CONTRACTOR ACTION | | | APPROVING AUTHORITY | | | | | REMARKS |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | SUBMIT | APPROVAL NEEDED BY | MATERIAL NEEDED BY | ACTION CODE | DATE OF ACTION | DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR | DATE FWD TO OTHER REVIEWER | DATE RCD FROM OTH REVIEWER | ACTION CODE | DATE OF ACTION | MAILED TO CONTR/ DATE RCD FRM APPR AUTH | |
| (a) | (b) | (c) | (d) | (e) | (f) | | (g) | (h) | (i) | (j) | (k) | (l) | (m) | (n) | (o) | (p) | (q) | (r) |
| | | 01 14 00 | SD-01 Preconstruction Submittals | | | | | | | | | | | | | | | |
| | | | List of Contact Personnel | 1.3.1.1 | | | | | | | | | | | | | | |
| | | 01 20 00 | SD-01 Preconstruction Submittals | | | | | | | | | | | | | | | |
| | | | Schedule of Prices | 1.3 | | | | | | | | | | | | | | |
| | | 01 30 00 | SD-01 Preconstruction Submittals | | | | | | | | | | | | | | | |
| | | | View Location Map | 1.3 | | | | | | | | | | | | | | |
| | | | Progress and Completion | 1.4 | | | | | | | | | | | | | | |
| | | | Pictures | | | | | | | | | | | | | | | |
| | | 01 31 23.13 20 | SD-01 Preconstruction Submittals | | | | | | | | | | | | | | | |
| | | | List of Contractor's Personnel | 1.4.2 | | | | | | | | | | | | | | |
| | | 01 32 16.00 20 | SD-01 Preconstruction Submittals | | | | | | | | | | | | | | | |
| | | | Baseline Construction Schedule | 1.2 | | | | | | | | | | | | | | |
| | | | SD-07 Certificates | | | | | | | | | | | | | | | |
| | | | Monthly Updates | 1.4 | | | | | | | | | | | | | | |
| | | 01 33 00 | SD-01 Preconstruction Submittals | | | | | | | | | | | | | | | |
| | | | Submittal Register | 1.8 | | | | | | | | | | | | | | |
| | | 01 35 26 | SD-01 Preconstruction Submittals | | | | | | | | | | | | | | | |
| | | | Accident Prevention Plan (APP) | 1.8 | | | | | | | | | | | | | | |
| | | | APP - Construction | 1.8.1 | | | | | | | | | | | | | | |
| | | | Indoor Air Quality (IAQ) | 1.15 | | | | | | | | | | | | | | |
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| | | 07 92 00 | Indoor Air Quality For Interior | 2.1.3 | | | | | | | | | | | | | | | |
| | | | Floor Joint Sealants | | | | | | | | | | | | | | | | |
| | | | Indoor Air Quality For Interior | 2.1.4 | | | | | | | | | | | | | | | |
| | | | Acoustical Sealants | | | | | | | | | | | | | | | | |
| | | 08 11 13 | SD-02 Shop Drawings | | | | | | | | | | | | | | | | |
| | | | Doors | 2.1 | | | | | | | | | | | | | | | |
| | | | Doors | 2.1 | | | | | | | | | | | | | | | |
| | | | Frames | 2.4 | | | | | | | | | | | | | | | |
| | | | Frames | 2.4 | | | | | | | | | | | | | | | |
| | | | SD-03 Product Data | | | | | | | | | | | | | | | | |
| | | | Doors | 2.1 | | | | | | | | | | | | | | | |
| | | | Recycled Content for Steel Door | 2.1 | | | | | | | | | | | | | | | |
| | | | Product | | | | | | | | | | | | | | | | |
| | | | Frames | 2.4 | | | | | | | | | | | | | | | |
| | | | Recycled Content for Steel Frame | 2.4 | | | | | | | | | | | | | | | |
| | | | Product | | | | | | | | | | | | | | | | |
| | | 08 14 00 | SD-02 Shop Drawings | | | | | | | | | | | | | | | | |
| | | | Doors | 2.1 | | | | | | | | | | | | | | | |
| | | | SD-03 Product Data | | | | | | | | | | | | | | | | |
| | | | Doors | 2.1 | | | | | | | | | | | | | | | |
| | | | Recycled Content for Door Cores | 2.1.1.1 | | | | | | | | | | | | | | | |
| | | | Accessories | 2.2 | | | | | | | | | | | | | | | |
| | | | Water-resistant Sealer | 2.3.7 | | | | | | | | | | | | | | | |
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| | | | Sound Transmission Class | 2.1.2 | | | | | | | | | | | | | | | |
| | | | Rating | | | | | | | | | | | | | | | | |

# SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION
RENOVATE 1ST FLOOR ROOMS, DEVIL DOG INN, B3673

CONTRACTOR

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| | | 08 14 00 | SD-04 Samples | | | | | | | | | | | | | | | |
| | | | Doors | 2.1 | | | | | | | | | | | | | | |
| | | | Door Finish Colors | 2.3.6.2 | | | | | | | | | | | | | | |
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| | | | Warranty | 1.5 | | | | | | | | | | | | | | |
| | | 08 71 00 | SD-02 Shop Drawings | | | | | | | | | | | | | | | |
| | | | Manufacturer's Detail Drawings | 1.3 | | | | | | | | | | | | | | |
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| | | | Hardware Schedule | 1.5 | | | | | | | | | | | | | | |
| | | | SD-03 Product Data | | | | | | | | | | | | | | | |
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| | | | Hardware Schedule | 1.5 | | | | | | | | | | | | | | |
| | | 09 51 00 | SD-03 Product Data | | | | | | | | | | | | | | | |
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| | | 09 51 00 | SD-06 Test Reports | | | | | | | | | | | | | | | |
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| | | | Ceiling Tiles | | | | | | | | | | | | | | | |
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| | | | Resilient Flooring and | 2.8 | | | | | | | | | | | | | | |
| | | | Accessories | | | | | | | | | | | | | | | |
| | | | SD-03 Product Data | | | | | | | | | | | | | | | |
| | | | Resilient Flooring and | 2.8 | | | | | | | | | | | | | | |
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| | | | Moisture, Alkalinity and Bond | 3.3 | | | | | | | | | | | | | | |
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| | | | Indoor Air Quality for Luxury Vinyl | 2.1 | | | | | | | | | | | | | | |
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| | | | Indoor Air Quality for Wall Base | 2.2 | | | | | | | | | | | | | | |
| | | | Indoor Air Quality for Adhesives | 2.4 | | | | | | | | | | | | | | |
| | | | SD-08 Manufacturer’s Instructions | | | | | | | | | | | | | | | |

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| | | 09 65 00 | Surface Preparation | 3.2 | | | | | | | | | | | | | | |
| | | | Installation | 3.1 | | | | | | | | | | | | | | |
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| | | | Resilient Flooring and | 2.8 | | | | | | | | | | | | | | |
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| | | 09 90 00 | SD-03 Product Data | | | | | | | | | | | | | | | |
| | | | Coating | 2.1 | | | | | | | | | | | | | | |
| | | | Product Data Sheets | 2.1 | | | | | | | | | | | | | | |
| | | | Sealant | 3.2.5 | | | | | | | | | | | | | | |
| | | | SD-04 Samples | | | | | | | | | | | | | | | |
| | | | Color | 2.2 | | | | | | | | | | | | | | |
| | | | SD-07 Certificates | | | | | | | | | | | | | | | |
| | | | Qualification Testing | 1.6.5.2 | | | | | | | | | | | | | | |
| | | | Indoor Air Quality for Paints and | 1.6.4 | | | | | | | | | | | | | | |
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| | | | Application Instructions | 3.3.1 | | | | | | | | | | | | | | |
| | | | Mixing | 2.1 | | | | | | | | | | | | | | |
| | | | Manufacturer's Safety Data | 1.8.1 | | | | | | | | | | | | | | |
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| | | | SD-10 Operation and Maintenance | | | | | | | | | | | | | | | |
| | | | Data | | | | | | | | | | | | | | | |
| | | | Coatings | 2.1 | | | | | | | | | | | | | | |
| | | 22 00 00 | SD-02 Shop Drawings | | | | | | | | | | | | | | | |
| | | | Plumbing System | 3.5.1 | | | | | | | | | | | | | | |

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| | | 22 00 00 | SD-03 Product Data | | | | | | | | | | | | | | | |
| | | | Backflow Prevention Assemblies | 3.5.1.1 | | | | | | | | | | | | | | |
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| | | | SD-06 Test Reports | | | | | | | | | | | | | | | |
| | | | Tests, Flushing and Disinfection | 3.5 | | | | | | | | | | | | | | |
| | | | Test of Backflow Prevention Assemblies | 3.5.1.1 | | | | | | | | | | | | | | |
| | | | SD-07 Certificates | | | | | | | | | | | | | | | |
| | | | Materials and Equipment | 1.4 | | | | | | | | | | | | | | |
| | | | SD-10 Operation and Maintenance Data | | | | | | | | | | | | | | | |
| | | | Plumbing System | 3.5.1 | | | | | | | | | | | | | | |
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SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
**11/20, CHG 4: 08/23**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

| | |
|---|---|
| ASHRAE 52.2 | (2012) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size |

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

| | |
|---|---|
| ASSP A10.34 | (2021) Protection of the Public on or Adjacent to Construction Sites |
| ASSP A10.44 | (2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations |
| ASSP Z359.2 | (2017) Minimum Requirements for a Comprehensive Managed Fall Protection Program |

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM D6245 | (2012) Using Indoor Carbon Dioxide Concentrations to Evaluate Indoor Air Quality and Ventilation |
| ASTM D6345 | (2010) Standard Guide for Selection of Methods for Active, Integrative Sampling of Volatile Organic Compounds in Air |
| ASTM F855 | (2019) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment |

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

| | |
|---|---|
| IEEE 1048 | (2016) Guide for Protective Grounding of Power Lines |
| IEEE C2 | (2023) National Electrical Safety Code |

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

| | |
|---|---|
| NFPA 51B | (2024) Standard for Fire Prevention During |

| | |
|---|---|
| | Welding, Cutting, and Other Hot Work |
| NFPA 70 | (2023) National Electrical Code |
| NFPA 70E | (2024) Standard for Electrical Safety in the Workplace |
| NFPA 241 | (2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations |

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

| | |
|---|---|
| ANSI/SMACNA 008 | (2007) IAQ Guidelines for Occupied Buildings Under Construction, 2nd Edition |

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EM 385-1-1 | (2014) Safety and Health Requirements Manual |

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

| | |
|---|---|
| 29 CFR 1910 | Occupational Safety and Health Standards |
| 29 CFR 1910.147 | The Control of Hazardous Energy (Lock Out/Tag Out) |
| 29 CFR 1910.333 | Selection and Use of Work Practices |
| 29 CFR 1915.89 | Control of Hazardous Energy (Lockout/Tags-Plus) |
| 29 CFR 1926.16 | Rules of Construction |
| 29 CFR 1926.450 | Scaffolds |

## 1.2 DEFINITIONS

### 1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge, and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

### 1.2.2 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the training material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment, and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the

knowledge and skills of the competent persons as part of the training process.

1.2.3 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

1.2.4 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

1.2.5 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even when provided by a physician or registered personnel.

1.2.6 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

1.2.7 Operating Envelope

The Operating Envelope is the area surrounding any crane or LHE. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, and the lift and rigging procedure.

1.2.8 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.2.9 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the definition requirements of EM 385-1-1 Appendix Q, and ASSP Z359.2 standard, having a recognized degree or professional certificate and with extensive knowledge, training, and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

1.2.10 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

a. Death, regardless of the time between the injury and death, or the

length of the illness;

b. Days away from work (any time lost after day of injury/illness onset);

c. Restricted work;

d. Transfer to another job;

e. Medical treatment beyond first aid;

f. Loss of consciousness; or

g. A significant injury or illness diagnosed by a physician or other licensed health care professional, even if it did not result in (a) through (f) above

1.2.11 Government Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP)
APP - Construction
Indoor Air Quality (IAQ) Management Plan

SD-06 Test Reports

Monthly Exposure Reports
Notifications and Reports
Accident Reports

SD-07 Certificates

Contractor Safety Self-Evaluation Checklist

Activity Hazard Analysis (AHA)

Hot Work Permit

1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the

report may result in retention of up to 10 percent of the voucher.

## 1.5 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

The Contracting Officer will provide a "Contractor Safety Self-Evaluation Checklist" to the Contractor at the pre-construction meeting. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher. The Contractor Safety Self-Evaluation Checklist can be found on the Whole Building Design Guide website at www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-35-26

## 1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and all applicable federal, state, and local laws, ordinances, criteria, rules, and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

### 1.6.1 Subcontractor Safety Requirements

For this Contract, neither Contractor nor any subcontractor may enter into Contract with any subcontractor that fails to meet the following requirements. The term subcontractor in this and the following paragraphs means any entity holding a Contract with the Contractor or with a subcontractor at any tier.

#### 1.6.1.1 Experience Modification Rate (EMR)

Subcontractors on this Contract must have an effective EMR less than or equal to 1.10, as computed by the National Council on Compensation Insurance (NCCI) or if not available, as computed by the state agency's rating bureau in the state where the subcontractor is registered, when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable EMR range cannot be achieved. Relaxation of the EMR range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain the certified EMR ratings for all subcontractors on the project and make them available to the Government at the Government's request.

#### 1.6.1.2 OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate

Subcontractors on this Contract must have a DART rate, calculated from the most recent, complete calendar year, less than or equal to 3.4 when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The OSHA Dart Rate is calculated using the following formula:

(N/EH) x 200,000

where:

N = number of injuries and illnesses with days away, restricted work, or job transfer

EH = total hours worked by all employees during most recent, complete calendar year

200,000 = base for 100 full-time equivalent workers (working 40 hours per week, 50 weeks per year)

The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable OSHA Dart rate range cannot be achieved for a particular subcontractor. Relaxation of the OSHA DART rate range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's SSHO must collect and maintain self-certified OSHA DART rates for all subcontractors on the project and make them available to the Government at the Government's request.

## 1.7 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

### 1.7.1 Personnel Qualifications

#### 1.7.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one person at each project site to function as the SSHO. The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to implement and administer the Contractor's safety program and Government-accepted Accident Prevention Plan (APP). The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

##### 1.7.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may also serve as the Quality Control (QC) Manager. The SSHO may also serve as the Superintendent.

#### 1.7.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and

electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted APP must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the Contracting Officer for information in consultation with the Safety Office.

1.7.1.2.1 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

1.7.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics; and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

a. Prepare class presentations that cover construction-related safety requirements.

b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.

c. Update training course materials whenever an update of the EM 385-1-1 becomes available.

d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.

e. Request, review, and incorporate student feedback into a continuous course improvement program.

1.7.2 Personnel Duties

1.7.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, and estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.

b. Conduct mishap investigations and complete required accident reports.

Report mishaps and near misses.

c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.

d. Maintain applicable safety reference material on the job site.

e. Attend the pre-construction meeting, pre-work meetings including preparatory meetings, and periodic in-progress meetings.

f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement, and enforce them.

g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.

h. Ensure subcontractor compliance with safety and health requirements.

i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).

j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.

k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above or any other required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.7.3 Meetings

1.7.3.1 Preconstruction Meeting

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction meeting. This includes the project superintendent, SSHO, QC manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures, and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government

review of AHAs to preclude project delays.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.7.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

## 1.8 ACCIDENT PREVENTION PLAN (APP)

### 1.8.1 APP - Construction

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor QC Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer within 30 calendar days of Contract award and not less than 10 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract.

Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO, and QC Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

1.8.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience, and certifications) of site safety and health personnel designated to perform work on this project to include the designated SSHO and other competent and qualified personnel to be used. Specify the duties of each position.

b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use, and maintenance.

1.8.3 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

1.8.3.1 Fall Protection and Prevention (FP&P) Plan

The plan must be in accordance with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include FP&P systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the FP&P Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems, or work habits. Keep and maintain the accepted FP&P Plan documentation at the job site for the duration of the project. Include the FP&P Plan documentation in the APP.

1.8.3.2 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

1.8.3.3 Lead, Cadmium, and Chromium Compliance Plan

Identify the safety and health aspects of work involving lead, cadmium, and chromium, and prepare accordingly.

1.8.3.4 Asbestos Hazard Abatement Plan

Identify the safety and health aspects of asbestos work, and prepare in accordance with Section 02 82 00 ASBESTOS REMEDIATION.

1.8.3.5 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with Section 02 41 00 DEMOLITION and referenced sources. Include engineering survey as applicable.

## 1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task, or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity, task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel, and the control measures to be implemented.

### 1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

### 1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO

must maintain a signature log on site for every AHA. Provide employees, whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

## 1.10 DISPLAY OF SAFETY INFORMATION

### 1.10.1 Safety Bulletin Board

Prior to commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

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a. Hot work permit.

### 1.10.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

a. Date deficiency identified;
b. Description of deficiency;
c. Name of person responsible for correcting deficiency;
d. Projected resolution date;
e. Date actually resolved.

## 1.11 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

## 1.12 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

## 1.13 NOTIFICATIONS and REPORTS

### 1.13.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than four hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for

electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface). These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

### 1.13.2 Accident Reports

a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Complete and submit an accident investigation report in ESAMS within 5 days for mishaps defined in EM 385-1-1 01.D.03 and 10 days for accidents defined by EM 385-1-1 01.D.05. Complete an investigation report within 30 days for those mishaps defined by EM 385-1-1 01.D.04. Mishaps defined by EM 385-1-1 01.D.04 and 01.D.05 must include a written report submitted as an attachment in ESAMS using the following outline: (1) Mishap summary description to include process, findings, and outcomes; (2) Root Cause; (3) Direct Factors; (4) Indirect and Contributing Factors; (5) Corrective Actions; and (6) Recommendations. The Contracting Officer will provide copies of any required or special forms.

b. Near Misses: For Navy Projects, complete the applicable documentation in NAVFAC CIRS, and electronically submit via the NAVFAC ESAMS. Near miss reports are considered positive and proactive Contractor safety management actions.

## 1.14 HOT WORK

### 1.14.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the MCAS Cherry Point Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit. For hot work to be performed on a roof, the fire watch must remain on site for a minimum of two hours after completion of the task.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency phone number (911). REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE MCAS CHERRY POINT FIRE DEPARTMENT IMMEDIATELY.

1.14.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist, or Certified Industrial Hygienist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

1.15 CONSTRUCTION INDOOR AIR QUALITY (IAQ) MANAGEMENT PLAN

Submit an IAQ Management Plan within 15 calendar days after notice to proceed and not less than 10 calendar days before the preconstruction meeting. Revise and resubmit Plan as required by the Contracting Officer. Make copies of the final plan available to all workers on site. Include provisions in the Plan to meet the requirements specified below and to ensure safe, healthy air for construction workers and building occupants.

1.15.1 Requirements During Construction

Provide for evaluation of indoor Carbon Dioxide concentrations in accordance with ASTM D6245. Provide for evaluation of volatile organic compounds (VOCs) in indoor air in accordance with ASTM D6345. Use filters with a Minimum Efficiency Reporting Value (MERV) of 8 in permanently installed air handlers during construction.

1.15.1.1 Control Measures

Meet or exceed the requirements of ANSI/SMACNA 008, Chapter 3, to help minimize contamination of the building from construction activities. The five requirements of this manual which must be adhered to are described below:

a. HVAC protection: Isolate return side of HVAC system from surrounding environment to prevent construction dust and debris from entering the duct work and spaces.

b. Source control: Use low emitting paints and other finishes, sealants, adhesives, and other materials as specified. When available, cleaning products must have a low VOC content and be non-toxic to minimize building contamination. Utilize cleaning techniques that minimize dust generation. Cycle equipment off when not needed. Prohibit idling motor vehicles where emissions could be drawn into building. Designate receiving/storage areas for incoming material that minimize IAQ impacts.

c. Pathway interruption: When pollutants are generated, use strategies

such as 100 percent outside air ventilation or erection of physical barriers between work and non-work areas to prevent contamination.

d. Housekeeping: Clean frequently to remove construction dust and debris. Promptly clean up spills. Remove accumulated water and keep work areas dry to discourage the growth of mold and bacteria. Take extra measures when hazardous materials are involved.

e. Scheduling: Control the sequence of construction to minimize the absorption of VOCs by other building materials.

1.15.1.2 Moisture Contamination

a. Remove accumulated water and keep work dry.
b. Use dehumidification to remove moist, humid air from a work area.
c. Do not use combustion heaters or generators inside the building.
d. Protect porous materials from exposure to moisture.
e. Remove and replace items which remain damp for more than a few hours.

1.15.2 Requirements After Construction

After construction ends and prior to occupancy, conduct a building flush-out or test the indoor air contaminant levels. Flush-out must be a minimum two-weeks with MERV-13 filtration media as determined by ASHRAE 52.2 at 100 percent outside air. Air contamination testing must be consistent with EPA's current Compendium of Methods for the Determination of Air Pollutants in Indoor Air. After building flush-out or testing and prior to occupancy, replace filtration media. Filtration media must have a MERV of 13 as determined by ASHRAE 52.2.

1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

PART 2 PRODUCTS

Not used.PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE

includes:

a. Hard Hat
b. Long Pants
c. Appropriate Safety Shoes
d. Appropriate Class Reflective Vests

### 3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones, or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

### 3.1.2 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this Contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

### 3.1.3 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the RSO prior to excepted items of radioactive material and devices being brought on base.

### 3.1.4 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

## 3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 15 days in advance. At a minimum, the

written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECP and HEC procedures, as well as applicable AHAs. In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

## 3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Installation representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

## 3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSP A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

### 3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government

For electrical distribution equipment that is to be operated by Government personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

### 3.4.2 Lockout/Tagout Isolation

Where the Government performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins,

both the Contractor and the Government must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

### 3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

## 3.5 EQUIPMENT

### 3.5.1 Material Handling Equipment (MHE)

a. MHE such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. MHE fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.

b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. MHE Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.

c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

### 3.5.2 Machinery and Mechanized Equipment

a. Proof of qualifications for operator must be kept on the project site for review.

b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

### 3.5.3 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

## 3.6 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Sections 11 and 12.

### 3.6.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves, and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

### 3.6.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State and Local requirements applicable to where work is being performed.

### 3.6.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

### 3.6.4 Grounding

Ground electrical circuits, equipment, and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous, and effective path

to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

3.6.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification, and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
**02/19, CHG 1: 08/23**

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date, and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)
1330 Kemper Meadow Drive
Cincinnati, OH 45240
Ph: 513-742-2020
Fax: 513-742-3355
Internet: https://www.acgih.org/

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)
1899 L Street, NW,11th Floor
Washington, DC 20036
Ph: 202-293-8020
Fax: 202-293-9287
E-mail: storemanager@ansi.org
Internet: https://www.ansi.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
1791 Tullie Circle, NE
Atlanta, GA 30329
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)
Two Park Avenue
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: https://www.asme.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)
18927 Hickory Creek Drive, Suite 220
Mokena, IL 60448
Ph: 708-995-3019
Fax: 708-479-6139
Internet: http://www.asse-plumbing.org

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 W. Quincy Avenue
Denver, CO 80235 USA
Ph: 303-794-7711 or 800-926-7337
Fax: 303-347-0804
Internet: https://www.awwa.org/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)
355 Lexington Avenue, 15th Floor
New York, NY 10017
Ph: 212-297-2122
Fax: 212-370-9047
Internet: https://www.buildershardware.com/

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)
PO Box 997377, MS 0500
Sacramento, CA 95899-7377
Ph: 916-558-1784
Internet: https://www.cdph.ca.gov/

CARPET AND RUG INSTITUTE (CRI)
P.O. Box 2048
Dalton, GA 30722-2048
Ph: 706-278-3176
Fax: 706-278-8835
Internet: https://carpet-rug.org/

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)
1600 Clifton Road
Atlanta, GA 30329-4027
Ph: 800-232-4636
TTY: 888-232-6348
Internet: https://www.cdc.gov

COMPOSITE PANEL ASSOCIATION (CPA)
19465 Deerfield Avenue, Suite 306

Leesburg, VA 20176
Ph: 703-724-1128
Fax: 703-724-1588
Internet: https://www.compositepanel.org/

COMPRESSED GAS ASSOCIATION (CGA)
14501 George Carter Way, Suite 103
Chantilly, VA 20151-1788
Ph: 703-788-2700
Fax: 703-961-1831
E-mail: cga@cganet.com
Internet: https://www.cganet.com/

CSA GROUP (CSA)
178 Rexdale Blvd.
Toronto, ON, Canada M9W 1R3
Ph: 416-747-4044
Fax: 416-747-2510
E-mail: member@csagroup.org
Internet: https://www.csagroup.org/

GREEN SEAL (GS)
1001 Connecticut Avenue, NW
Suite 827
Washington, DC 20036-5525
Ph: 202-872-6400
Fax: 202-872-4324
E-mail: greenseal@greenseal.org
Internet: https://www.greenseal.org/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL CAST POLYMER ASSOCIATION (ICPA)
4949 Old Brownsboro Rd, Ste. 232
Louisville, KY 40222
Ph: 470-219-8139
Internet: https://theicpa.com/

INTERNATIONAL CODE COUNCIL (ICC)
500 New Jersey Avenue, NW
6th Floor, Washington, DC 20001
Ph: 800-786-4452 or 888-422-7233
Fax: 202-783-2348
E-mail: order@iccsafe.org
Internet: https://www.iccsafe.org/

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)
1901 North Moore Street
Arlington, VA 22209-1762
Ph: 703-525-1695
Fax: 703-528-2148
Internet: https://safetyequipment.org/

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)
127 Park Street, NE
Vienna, VA 22180-4602
Ph: 703-281-6613
E-mail: info@msshq.org
Internet: http://msshq.org

MASTER PAINTERS INSTITUTE (MPI)
2800 Ingleton Avenue
Burnaby, BC CANADA V5C 6G7
Ph: 1-888-674-8937
Fax: 1-888-211-8708
E-mail: info@paintinfo.com or techservices@mpi.net
Internet: http://www.mpi.net/

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Internet: https://www.nema.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH (NIOSH)
Patriots Plaza 1
395 E Street, SW, Suite 9200
Washington, DC 20201
Ph: 800-232-4636
Fax: 513-533-8347
Internet: https://www.cdc.gov/niosh/

NSF INTERNATIONAL (NSF)
789 North Dixboro Road
P.O. Box 130140
Ann Arbor, MI 48105
Ph: 734-769-8010 or 800-NSF-MARK
Fax: 734-769-0109
E-mail: info@nsf.org
Internet: http://www.nsf.org

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)
2000 Powell Street, Suite 600
Emeryville, CA 94608
Ph: 510-452-8000
Fax: 510-452-8001
E-mail: info@SCSglobalservices.com
Internet: https://www.scsglobalservices.com/

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)
4201 Lafayette Center Drive
Chantilly, VA 20151-1219

Ph: 703-803-2980
Fax: 703-803-3732
Internet: https://www.smacna.org/

SOCIETY FOR PROTECTIVE COATINGS (SSPC)
800 Trumbull Drive
Pittsburgh, PA 15205
Ph: 877-281-7772 or 412-281-2331
Fax: 412-444-3591
E-mail: customerservice@sspc.org
Internet: http://www.sspc.org

SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)
400 Commonwealth Drive
Warrendale, PA 15096
Ph: 877-606-7323 or 724-776-4841
Fax: 724-776-0790
E-mail: customerservice@sae.org
Internet: https://www.sae.org/

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)
21865 Copley Drive
Diamond Bar, CA 91765
Ph: 909-396-2000
E-mail: webinquiry@aqmd.gov
Internet: http://www.aqmd.gov

STEEL DOOR INSTITUTE (SDI/DOOR)
30200 Detroit Road
Westlake, OH 44145
Ph: 440-899-0010
Fax: 440-892-1404
E-mail: info@steeldoor.org
Internet: https://www.steeldoor.org/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)

Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
1200 Pennsylvania Avenue, N.W.
Washington, DC 20004
Ph: 202-564-4700
Internet: https://www.epa.gov
--- Some EPA documents are available only from:
National Technical Information Service (NTIS)
5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
E-mail: ExecSecretariat.FHWA@dot.gov
Internet: https://www.fhwa.dot.gov/
Order from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. GENERAL SERVICES ADMINISTRATION (GSA)
General Services Administration
1800 F Street, NW
Washington, DC 20405
Ph: 1-844-472-4111
Internet: https://www.gsaelibrary.gsa.gov/ElibMain/home.do
Obtain documents from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Internet: https://assist.dla.mil/online/start/; account registration required

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

UNDERWRITERS LABORATORIES (UL)
2600 N.W. Lake Road
Camas, WA 98607-8542
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://ihsmarkit.com/

WINDOW AND DOOR MANUFACTURERS ASSOCIATION (WDMA)
2025 M Street, NW, Suite 800
Washington, DC 20036-3309
Ph: 202-367-1157
or
330 N Wabash Avenue, Suite 2000
Chicago, IL 60611
Ph: 312-321-6802
E-mail: membersupport@wdma.com
Internet: https://www.wdma.com/

WOODWORK INSTITUTE (WI)
3188 Industrial Blvd.
West Sacramento, CA 95691
Ph: 916-372-9943
Fax: 916-372-9950
E-mail: info@woodinst.com
Internet: https://woodworkinstitute.com

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00

QUALITY CONTROL
**08/23**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM C1077 | (2017) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation |
| ASTM D3666 | (2016) Standard Specification for Minimum Requirements for Agencies Testing and Inspecting Road and Paving Materials |
| ASTM D3740 | (2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction |
| ASTM E329 | (2021) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection |
| ASTM E543 | (2021) Standard Specification for Agencies Performing Non-Destructive Testing |

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EM 385-1-1 | (2014) Safety and Health Requirements Manual |

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Bid Schedule item.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan

SD-06 Test Reports

Verification Statement

1.4 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC is comprised of plans, procedures, and organization necessary to produce an end product that complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The QC Manager, Superintendent, Site Safety and Health Officer (SSHO), and all on-site supervisors are responsible for the quality of work and are subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. The QC Manager must maintain a physical presence at the work site at all times and is the primary individual responsible for all QC.

1.5 QUALITY CONTROL (QC) PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction, and operations that comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent, and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.5.1 Meetings

1.5.1.1 Quality Control Plan Meeting

Prior to submission of the QC Plan, the Contractor may request a meeting with the Contracting Officer to discuss the QC Plan requirements of this Contract.

The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of Definable Feature of Work (DFOW).

1.5.1.2 Coordination and Mutual Understanding Meeting

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's QC system. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the

Government's Quality Assurance. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Government. Provide a copy of the signed minutes to all attendees and include in the QC Plan. At a minimum the Coordination and Mutual Understanding Meeting must be repeated when a new QC Manager is appointed. There can be other occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

1.5.1.2.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor must explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. Procedures for noise and acoustics management.

c. Environmental Protection Plan.

d. Environmental regulatory requirements.

e. Indoor Air Quality (IAQ) Management Plan.

1.5.1.2.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan.

1.5.1.2.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager,Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting.

1.5.1.3 Quality Control (QC) Meetings

After the start of construction, conduct weekly QC meetings led by the QC Manager at the work site with the Project Superintendent, and the other personnel as necessary. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and deficiencies/rework. Review the most current approved schedule (in accordance with schedule specification) and the status of work and deficiencies/rework.

c. Review the status of submittals and Request For Information (RFIs).

d. Review the work to be accomplished in the next three weeks as defined by the schedule section paragraph THREE-WEEK LOOK AHEAD in Section 01 32 16.00 20 SMALL PROJECT CONSTRUCTION PROGRESS SCHEDULES and all documentation required for that work.

e. Review Testing Plan and Log including status of tests performed since last QC Meeting.

f. Resolve QC and production problems. Discuss status of pending change orders.

g. Address items that may require revising the QC Plan.

h. Review Accident Prevention Plan (APP) and effectiveness of the safety program.

i. Review environmental requirements and procedures.

j. Review Environmental Management Plan.

k. Review Waste Management Plan.

l. Review the status of training completion.

m. Review IAQ Management Plan.

### 1.5.2 Contractor Quality Control (CQC) Plan

Submit no later than 30 days after Contract Award, the CQC Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. Construction will be permitted to begin only after acceptance of the CQC Plan and other Contract requirements

#### 1.5.2.1 Content of Contractor Quality Control (CQC) Plan

Provide a CQC Plan, prior to start of construction, that includes a table of contents, with major sections identified, pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control during the construction of the project. The CQC Plan must at a minimum include the following sections:

a. A description of the QC organization and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified.

b. An organizational chart showing the QC organization with individual names and job titles and lines of authority up to an executive of the company at the home office.

c. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, (including position titles and durations for qualifying experiences) for each person in the QC organization. Include the Construction

Quality Management (CQM) for Contractors course certifications for the QC personnel as required by the paragraph CONSTRUCTION QUALITY MANAGEMENT TRAINING.

d. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

e. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

f. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager, and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work that is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to quality control representatives outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.

g. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, scheduling, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

h. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraph ACCREDITATION REQUIREMENTS, as applicable.

i. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, associated feature of work required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.

j. Procedures to complete construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected. This phase is performed prior to beginning work on each definable feature of work, after all required plans, documents, materials are approved, and after copies are at the work site.

k. Reporting procedures, including proposed reporting formats.

l. Procedures for submitting and reviewing design changes/variations prior to submission to the Contracting Officer.

m. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines, or it is work by the same trade in a different environment. A DFOW is by definition any item or activity on the construction schedule, and the schedule specification provides direction regarding how the DFOWs are to be structured. Include in

the list of DFOWs for all activities on the Construction Schedule. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. Identify the specification section number and schedule activity ID for each DFOW listed. The DFOW list will be reviewed in coordination with the construction schedule and agreed upon during the Coordination of Mutual Understanding Meeting.

n. PROCEDURES FOR PERFORMING AND TRACKING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

o. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.

p. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.

q. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking, and documenting all certifications required for entities such as subcontractors, testing laboratories, suppliers, and personnel. The QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the Contract that the work is being performed.

### 1.5.3 Acceptance of the Quality Control (QC) Plan

The Contracting Officer's acceptance of the Contractor QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal or addition of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

### 1.5.4 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying with specific prior approval of the Contracting Officer.

### 1.5.5 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel. Proposed changes are subject to acceptance by the Contracting Officer.

## 1.6 QUALITY CONTROL (QC) ORGANIZATION

### 1.6.1 Quality Control (QC) Manager

#### 1.6.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program, and to serve as the SSHO as detailed in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent. The QC Manager must attend the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control, perform submittal review and certification, ensure testing is performed, and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

#### 1.6.1.2 Qualifications

The QC Manager must be an individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer, or Construction Manager on similar size and type construction Contracts which included the major trades that are part of this Contract. The individual must have at least 2 years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification, safety compliance, and sustainability.

The QC Manager and all members of the QC organization must be capable of reading, writing, and conversing fluently in the English language.

#### 1.6.1.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager and all members of the QC team must have completed the CQM for Contractors course. If the QC Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Systems Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the QC Manager's certificate has expired, retake the course to remain current.

### 1.6.2 Alternate Quality Control (QC) Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

## 1.7 SUBMITTAL AND DELIVERABLES REVIEW AND APPROVAL

Procedures for submission, review, and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES. Procedures must include field verification of relevant dimensions and component characteristics by the QC organization prior to submittal being sent to the Contracting Officer. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the Contract.

## 1.8 THREE PHASES OF CONTROL

CQC enables the Contractor to ensure that the construction, including that of subcontractors and suppliers, complies with the requirements of the Contract. At least three phases of control must be conducted by the QC Manager to adequately cover both on-site and off-site work for each definable feature of the construction work as follows:

### 1.8.1 Preparatory Phase

Document the results of the preparatory phase actions by separate minutes prepared by the QC Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required to meet Contract specifications.

Notify the Contracting Officer at least two business days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the QC Specialists, the Project Superintendent,and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. This phase is performed prior to beginning work on each DFOW, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections, reference codes, and standards. Make available during the prepatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction or shop drawings or both before confirming product orders, to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

f. Examine the work area to ensure that the required preliminary work has been completed and complies with the Contract and ensure any deficiencies/rework items in the preliminary work have been corrected and confirmed by the Contracting Officer.

g. Review coordination of product/material delivery to designated prepared areas to execute the work.

h. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

i. Check to assure that all materials and equipment have been tested, submitted, and approved.

j. Discuss specific controls to be used, construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW. Ensure any portion of the plan requiring separate Contracting Officer acceptance has been approved.

k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

1.8.2 Initial Phase

Notify the Contracting Officer at least two business days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the QC Specialists, the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site when acceptable levels of specified quality are not being met. Indicate the exact location of initial phase for DFOW for future reference and comparison with follow-up phases. Perform the following for each DFOW:

a. Check work to ensure that it is in full compliance with Contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full Contract compliance. Verify required control inspection and testing comply with the Contract.

c. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve any workmanship issues.

e. Ensure that testing is performed by the approved laboratory.

f. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

g. Review project specific work plans (i.e., HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.8.3 Follow-Up Phase

Perform the following for on-going DFOW daily, or more frequently as necessary, until the completion of each DFOW. The Final Follow-Up for any DFOW will clearly note in the daily report the DFOW is completed, and all deficiencies/rework items have been completed in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Each DFOW that has completed the Initial Phase and has not completed the Final Follow-up must be included on each daily report. If no work was performed on that DFOW for the period of that daily report, it must be so noted. Document all Follow-Up activities for DFOWs in the daily CQC Report:

a. Ensure the work including control testing complies with Contract requirements until completion of that particular work feature. Record checks in the CQC documentation.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that deficiencies/rework items are being corrected. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work.

e. Do not build upon nor conceal non-conforming work.

f. Assure manufacturers' representatives have performed necessary inspections if required and perform safety inspections.

1.8.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW has not started within 45 days of the initial preparatory meeting or has resumed after 45 days of inactivity, or if other problems develop.

1.8.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

1.8.6 Deficiency/Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be corrected, the activity ID number associated with the item, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected.

The list shall be reviewed at each weekly QC Meeting:

a. There is no requirement to report a deficiency/rework item that is corrected the same day it is discovered.

b. No successor task may be advanced beyond the preparatory phase meeting until all deficiencies/rework items have been cleared by the QC

Manager and concurred with by the Contracting Officer. This must be confirmed as part of the Preparatory Phase activities.

c. Attach a copy of the "Deficiency/Rework Items List" to the last daily CQC Report of each month.

d. The Contractor is responsible for including those items identified by the Contracting Officer.

e. All deficiencies/rework items must be confirmed as corrected by the QC Manager, and concurred by the Contracting Officer, prior to commencement of any completion inspections per paragraph COMPLETION INSPECTIONS unless specifically exempted by the Contracting Officer.

f. Non-Compliance with these requirements shall be grounds for removal in accordance with paragraph ACCEPTANCE OF THE QUALITY CONTROL (QC) PLAN.

g. All delays, concurrent or related to failure to manage, monitor, control, and correct deficiencies/rework items are entirely the responsibility of the Contractor and shall not be made the subject, or any component of any request for additional time or compensation.

## 1.9 TESTING

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to Contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of a U.S. Army Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site or within 5 miles. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with Contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

### 1.9.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and must submit a copy of the

Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards ( ASTM E329, ASTM C1077, ASTM D3666, ASTM D3740, ASTM E543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

1.9.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at https://www.nist.gov/nvlap, the American Association of State Highway and Transportation Officials (AASHTO) Accreditation Program at http://www.aashtoresource.org/aap/overview, International Accreditation Services, Inc. (IAS) at https://www.iasonline.org/, U.S. Army Corps of Engineers Materials Testing Center (MTC) at https://www.erdc.usace.army.mil/Media/Fact-Sheets/Fact-Sheet-Article-View/Article/476661/materials-testing-center/, and the American Association for Laboratory Accreditation (A2LA) program at https://a2la.org/.

1.9.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing for compliance with the standards set forth in this Contract. Laboratories utilized for testing soils, concrete, asphalt, and steel must meet criteria detailed in ASTM D3740 and ASTM E329.

1.9.4 Test Results

Cite applicable Contract requirements, tests, or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, in accordance with paragraph DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER.

1.9.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily CQC Report of each month. Provide a copy of the signed test reports and certifications to the Operation and Maintenance Support Information (OMSI) preparer for inclusion into the OMSI documentation, in accordance with Sections 01 78 23 OPERATION AND MAINTENANCE DATA.

## 1.10 COMPLETION INSPECTIONS

### 1.10.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications, and Contract. Include in the punch list any remaining items on the "Deficiency/Rework Items List", that were not corrected prior to the Punch-Out Inspection as approved by the Contracting Officer in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer.

The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. All punch list items must be confirmed as corrected by the QC Manager and concurred by the Contracting Officer. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

### 1.10.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected and concurred by the Contracting Officer prior to notifying the Government that a "Final" inspection with the Client can be scheduled. All items noted on the "Pre-Final" inspection must be corrected and concurred by the Contracting Officer in a timely manner and be accomplished before the Contract completion date for the work, or any increment thereof, if the project is divided into increments by separate completion dates unless exceptions are directed by the Contracting Officer.

### 1.10.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other Government QA personnel, and personnel representing the Client. Failure of the Contractor to have all Contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

## 1.11 QUALITY CONTROL (QC) CERTIFICATIONS

### 1.11.1 Contractor Quality Control (CQC) Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and

equipment and material used, and work performed during this reporting period is in compliance with the Contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.11.2 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.11.3 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, complies with Contract requirements.

1.12 DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER

1.12.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the CQC Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract.

The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The reporting of work must be identified by terminology consistent with the construction schedule. In the "Remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered, a record of visitors to the work site, QC problem areas, deviations from the QC Plan, construction deficiencies encountered, and meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.12.2 Quality Control Activities

CQC and Contractor Production reports will be prepared daily to maintain current records providing factual evidence that required QC activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractors and any subcontractors.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When a Network Analysis Schedule (NAS) is used, identify each item of work performed each day by NAS activity number.

d. Control phase activities performed. Preparatory and Initial phase Checklists associated with the DFOW referenced to the construction schedule. Follow-up phase activities identified to the DFOW. If testing or specific QC Specialist activities are associated with the Follow-up phase activities for a specific DFOW note this and include those reports.

e. Test and control activities performed with results and references to specifications and drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST.

f. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications and drawings requirements.

g. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

h. Offsite surveillance activities, including actions taken.

i. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

j. Instructions given/received and conflicts in plans and specifications.

1.12.3 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract.

Furnish the original and one copy of these records in report form to the Government by 10:00 AM the next working day after the date covered by the report. As a minimum, prepare and submit one report for every seven days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the Contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the QC Manager. Include copies of test reports and copies of reports prepared by all subordinate QC personnel within the QC Manager Report.

1.12.4 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

a. CQC Meeting minutes in accordance with paragraph QUALITY CONTROL (QC) MEETINGS.

b. All completed Preparatory and Initial Phase Checklists, arranged by specification section, further sorted by DFOW referenced to the construction schedule. Submit each individual Phase Checklist the day the phase event occurs as part of the CQC daily report.

c. All milestone inspections, arranged by Activity Number referenced to the construction schedule.

d. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section referenced to the DFOW to which individual reports results are associated. Individual field test reports will be submitted within two working days after the test is performed in accordance with the paragraph QUALITY CONTROL ACTIVITIES. Monthly Summary Report of Tests: Submit the report as an electronic attachment to the CQC Report at the end of each month.

e. Copies of all Contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

f. An up-to-date copy of the paragraph DEFICIENCY/REWORK ITEMS LIST.

g. Upon commencement of Completion Inspections of the entire project or any defined portion, maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and subcontractors and all punch lists issued by the Government in accordance with the paragraph COMPLETION INSPECTIONS.

1.12.5 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month. Provide a copy of the final "Testing Plan and Log" to the preparer of the Operation & Maintenance (O&M) documentation.

1.12.6 As-Built Drawings

The QC Manager must ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. The as-built drawings document shall commence with the QC Manager ensuring all amendments or changes to the Contract prior to Contract award are accurately noted in the initial document set creating the accurate baseline of the Contract prior to any work starting. Ensure each deviation has been identified with the appropriate modifying documentation (e.g., PC No., Modification No., Request for Information No.). The QC Manager or QC Specialist assigned to an area of responsibility must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.13 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of a claim for extension of time for excess costs or damages by the Contractor.

## 1.14 DELIVERY, STORAGE, AND HANDLING

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
**11/20, CHG 2: 08/22**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

| | |
|---|---|
| AWWA C511 | (2017) Reduced-Pressure Principle Backflow Prevention Assembly |

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

| | |
|---|---|
| NFPA 70 | (2023) National Electrical Code |
| NFPA 241 | (2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations |

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EM 385-1-1 | (2014) Safety and Health Requirements Manual |

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

| | |
|---|---|
| MUTCD | (2009; Rev 2012) Manual on Uniform Traffic Control Devices |

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan
Traffic Control Plan
Haul Road Plan
Contractor Computer Cybersecurity Compliance Statements
Contractor Temporary Network Cybersecurity Compliance Statements

SD-06 Test Reports

Backflow Preventer Tests

SD-07 Certificates

Backflow Tester Certification
Backflow Preventers Certificate of Full Approval

## 1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

## 1.4 BACKFLOW PREVENTERS CERTIFICATE

### 1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

### 1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

## 1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (58 mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted APP, EM 385-1-1 Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site, including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.

b. Condition THREE (Sustained winds of 58 mph or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing

buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.

d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

## 1.6 CYBERSECURITY DURING CONSTRUCTION

{For Reference Only: This subpart (and its subparts) relates to AC-18, SA-3, CCI-00258.} Meet the following requirements throughout the construction process.

### 1.6.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. When used, contractor computers must meet the following requirements:

#### 1.6.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

#### 1.6.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. All computers used on this project must be scanned using the installed software at least once per day.

#### 1.6.1.3 Passwords and Passphrases

The passwords and passphrases for all computers must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

#### 1.6.1.4 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables. Each Statement must be signed by a cybersecurity representative for the relevant company.

### 1.6.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks must meet the following requirements:

#### 1.6.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than IP networks provided under this project or Government furnished IP networks provided for this purpose. Any and all network access from outside the project site is prohibited.

### 1.6.3 Government Access to Network

Government personnel, as defined, prescribed, and identified by the Contracting Officer, must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification. Or if there is a Government agency that's responsible, identify that agency.

### 1.6.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks must not interfere with existing wireless network and must use WPA2 security. Network names (SSID) for wireless networks must be changed from their default values.

### 1.6.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

### 1.6.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables. Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

## PART 2 PRODUCTS

## 2.1 TEMPORARY SIGNAGE

### 2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the

bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

### 2.1.2 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

## 2.2 TEMPORARY TRAFFIC CONTROL

### 2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1 Section 04. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit haul road plan for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

### 2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

## 2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

### 2.3.1 Polyethylene Mesh Safety Fencing

Temporary safety fencing must be a high visibility orange colored, high density polyethylene grid, a minimum of 48 inches high and maximum mesh size of 2 inches. Fencing must extend from the grade to a minimum of 48 inches above the grade and be tightly secured to T-posts spaced as necessary to maintain a rigid and taut fence. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

### 2.3.2 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels 6 feet high. Multiple fencing panels may be linked together at the bases to form long spans as needed. Each panel base must be weighted down using sand bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

## 2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

## 2.5 BACKFLOW PREVENTERS

Certificate of Full Approval from FCCCHR List, University of Southern California, attesting that the design, size, and make of each backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. Certificate of Provisional Approval is not acceptable.

Reduced pressure principle type conforming to the applicable requirements of AWWA C511. Provide backflow preventers complete with flanged bronze or brass mounted gate valve and strainer, and stainless steel or bronze internal parts.

# PART 3 EXECUTION

## 3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

## 3.2 AVAILABILITY AND USE OF UTILITY SERVICES

### 3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

### 3.2.2 Payment for Utility Services

a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the Contract. Unless otherwise provided in the Contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve utilities furnished without charge.

b. The point at which the Government will deliver such utilities or services and the quantity available must be coordinated with the Contracting Officer. Pay all costs incurred in connecting,

converting, and transferring the utilities to the work. Make connections, including providing backflow-preventing devices on connections to domestic water lines; providing meters; and providing transformers; and make disconnections. Under no circumstances will taps to base fire hydrants be allowed for obtaining domestic water.

### 3.2.3 Meters and Temporary Connections

Provide and maintain necessary temporary connections, distribution lines, and meter bases (Government will provide meters) required to measure the amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will provide a meter and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. Do not make the final electrical connection.

### 3.2.4 Advance Deposit

An advance deposit for utilities consisting of a minimum of $300.00 by certified check payable to the U.S. Treasury will be required. The last monthly bills for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed prior to the end of the current fiscal year.

### 3.2.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading, disconnect service, and remove the meters. Then, remove all the temporary distribution lines, meter bases, and associated appurtenances. Pay all outstanding utility bills before final acceptance of the work by the Government.

### 3.2.6 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1 Section 02. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

### 3.2.7 Telephone

Make arrangements and pay all costs for telephone facilities desired. Contact Century Link to arrange telephone service if desired. The Station Telephone Officer, located in Building 4397, may need to be contacted if excess phone lines are not available in the area.

### 3.2.8 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials daily to minimize potential hazards.

## 3.3 TRAFFIC PROVISIONS

### 3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Make all notifications and obtain all permits required for modification to traffic movements outside Station's jurisdiction. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

### 3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. Brightly-colored (orange) vests are required for all personnel working in roadways. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

### 3.3.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal

operations for MCAS Cherry Point without notification to and approval by the Contracting Officer.

### 3.3.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

## 3.4 REDUCED PRESSURE BACKFLOW PREVENTERS

Provide an approved reduced pressure backflow prevention assembly at each location where the Contractor taps into the Government potable water supply.

Perform backflow preventer tests using test equipment, procedures, and certification forms conforming to those outlined in the latest edition of the Manual of Cross-Connection Control published by the FCCCHR Manual. Test and tag each reduced pressure backflow preventer upon initial installation (prior to continued water use) and quarterly thereafter. Tag must contain the following information: make, model, serial number, dates of tests, results, maintenance performed, and signature of tester. Record test results on certification forms conforming to requirements cited earlier in this paragraph.

## 3.5 CONTRACTOR'S TEMPORARY FACILITIES

Temporary facilities must meet requirements as identified in EM 385-1-1 Section 04.

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1 Section 04. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

### 3.5.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

In the event a new building is constructed for the temporary project field office, it must be a minimum 12 feet in width, 16 feet in length and have a minimum of 7 feet headroom. Equip the building with approved electrical wiring, at least one double convenience outlet and the required switches and fuses to provide 120 volt power. Provide a work table with stool, desk with chair, two additional chairs, and one legal size file cabinet that can be locked. The building must be waterproof, supplied with a heater, have a minimum of two doors, electric lights, a telephone, a battery-operated smoke detector alarm, a sufficient number of adjustable windows for adequate light and ventilation, and a supply of approved drinking water. Provide approved sanitary facilities. Screen the windows and doors and provide the doors with deadbolt type locking devices or a padlock and heavy-duty hasp bolted to the door. Door hinge pins must be non-removable. Arrange the windows to open and to be securely fastened from the inside. Protect glass panels in windows by bars or heavy mesh screens to prevent easy access. In warm weather, provide air conditioning

capable of maintaining the office at 50 percent relative humidity and a room temperature 20 degrees F below the outside temperature when the outside temperature is 95 degrees F. Unless otherwise directed by the Contracting Officer, remove the building from the site upon completion and acceptance of the work.

3.5.2 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

Keep fencing in a state of good repair and proper alignment. If the Contractor elects to traverse grassed or unpaved areas which are not established roadways with construction equipment or other vehicles, cover the grassed or unpaved areas with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways; gravel gradation must be at the Contractor's discretion.. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, structures, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

3.5.3 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.5.4 Appearance of Trailers

a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling paint, or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.

b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

3.5.5 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance

into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.5.6 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.5.6.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.6 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

3.7 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.8 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.9 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
**08/22**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

| | |
|---|---|
| 29 CFR 1910.1053 | Respirable Crystalline Silica |
| 29 CFR 1910.1200 | Hazard Communication |
| 29 CFR 1926.1153 | Respirable Crystalline Silica |
| 40 CFR 50 | National Primary and Secondary Ambient Air Quality Standards |
| 40 CFR 60 | Standards of Performance for New Stationary Sources |
| 40 CFR 63 | National Emission Standards for Hazardous Air Pollutants for Source Categories |
| 40 CFR 64 | Compliance Assurance Monitoring |
| 40 CFR 82 | Protection of Stratospheric Ozone |
| 40 CFR 112 | Oil Pollution Prevention |
| 40 CFR 241 | Guidelines for Disposal of Solid Waste |
| 40 CFR 243 | Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste |
| 40 CFR 258 | Subtitle D Landfill Requirements |
| 40 CFR 260 | Hazardous Waste Management System: General |
| 40 CFR 261 | Identification and Listing of Hazardous Waste |
| 40 CFR 261.7 | Residues of Hazardous Waste in Empty Containers |
| 40 CFR 262 | Standards Applicable to Generators of Hazardous Waste |
| 40 CFR 262.11 | Hazardous Waste Determination and Recordkeeping |

| | |
|---|---|
| 40 CFR 263 | Standards Applicable to Transporters of Hazardous Waste |
| 40 CFR 264 | Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities |
| 40 CFR 265 | Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities |
| 40 CFR 266 | Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities |
| 40 CFR 268 | Land Disposal Restrictions |
| 40 CFR 273 | Standards for Universal Waste Management |
| 40 CFR 273.2 | Standards for Universal Waste Management - Batteries |
| 40 CFR 273.4 | Standards for Universal Waste Management - Mercury Containing Equipment |
| 40 CFR 273.5 | Standards for Universal Waste Management - Lamps |
| 40 CFR 273.6 | Applicability - Aerosol Cans |
| 40 CFR 279 | Standards for the Management of Used Oil |
| 40 CFR 300 | National Oil and Hazardous Substances Pollution Contingency Plan |
| 40 CFR 300.125 | National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications |
| 40 CFR 355 | Emergency Planning and Notification |
| 40 CFR 403 | General Pretreatment Regulations for Existing and New Sources of Pollution |
| 40 CFR 745 | Lead-Based Paint Poisoning Prevention in Certain Residential Structures |
| 49 CFR 171 | General Information, Regulations, and Definitions |
| 49 CFR 172 | Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements |
| 49 CFR 173 | Shippers - General Requirements for Shipments and Packagings |
| 49 CFR 178 | Specifications for Packagings |

## 1.2 DEFINITIONS

### 1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

### 1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e., methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

### 1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

### 1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally, or historically.

### 1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

### 1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

### 1.2.7 Hazardous Materials

Hazardous material is any material that: Is defined in 49 CFR 171, listed in 49 CFR 172, regulated as a hazardous material in accordance with

49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.1200; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibits a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D, or meets a state or local definition of a hazardous waste.

1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.10 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by municipalities or installations to obtain NPDES permit coverage for their stormwater discharges.

1.2.11 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

1.2.12 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily waste also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay, and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment are a solid waste. As a solid waste, perform a hazardous waste

determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.13 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.14 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.15 Solid Waste

Solid waste is a solid, liquid, semi-solid, or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.15.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; or roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories, and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.15.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps, and plant roots. Marketable trees, grasses, and plants that are indicated to remain, be re-located, or be re-used are not included.

1.2.15.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.15.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 261.

1.2.15.5 Recyclables

Recyclables are materials, equipment, and assemblies such as doors,

windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.15.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.15.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.15.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, and hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.16 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, or creeks meeting the definition of "waters of the United States". Surface discharges from construction sites are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.17 Wastewater

Wastewater is the used water and solids that flow through a sanitary sewer to a treatment plant.

1.2.17.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.18 Waters of the United States

Waters of the United States means Federally jurisdictional waters,

including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.19 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.20 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey
Regulatory Notifications
Environmental Manager Qualifications
Employee Training Records
Environmental Protection Plan
Dirt and Dust Control Plan
Solid Waste Management Permit

SD-06 Test Reports

Monthly Solid Waste Disposal Report

SD-07 Certificates

ECATTS Certificate Of Completion
Employee Training Records

SD-11 Closeout Submittals

Regulatory Notifications
Assembled Employee Training Records
Solid Waste Management Permit
Waste Determination Documentation
Project Solid Waste Disposal Documentation Report
Sales Documentation
Contractor Certification
Hazardous Waste/Debris Management
Disposal Documentation for Hazardous and Regulated Waste

Contractor Hazardous Material Inventory Log

## 1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

### 1.4.1 Training in Environmental Compliance Assessment Training and Tracking System (ECATTS)

#### 1.4.1.1 Personnel Requirements

The Environmental Manager is responsible for environmental compliance on projects. The Environmental Manager must complete applicable ECATTS training modules (installation specific or general) prior to starting respective portions of on-site work under this Contract. If personnel changes occur after starting work, replacement personnel must complete applicable ECATTS training within 14 days of assignment to the project.

#### 1.4.1.2 Certification

Submit an ECATTS certificate of completion for personnel who have completed the required ECATTS training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC ECATTS by logging on to https://environmentaltraining.ecatts.com/. Obtain the password for registration from the Contracting Officer.

#### 1.4.1.3 Refresher Training

This training has been structured to allow contractor personnel to receive credit under this contract and to carry forward credit to future contracts. Ensure the Environmental Manager review their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific state regulatory requirements; therefore, Contractors working in multiple states will be required to retake modules tailored to the state where the contract work is being performed.

### 1.4.2 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS).

Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

## 1.5 SPECIAL ENVIRONMENTAL REQUIREMENTS

Comply with the special environmental requirements listed here and attached at the end of this section.

## 1.6 QUALITY ASSURANCE

### 1.6.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs, and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

### 1.6.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state, and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 15 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air

emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

### 1.6.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP) or equipment local requirement. Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

### 1.6.4 Environmental Manager

Appoint in writing an Environmental Manager for the project site. The Environmental Manager is directly responsible for coordinating contractor compliance with federal, state, local, and installation requirements. The Environmental Manager must ensure compliance with Hazardous Waste Program requirements (including hazardous waste handling, storage, manifesting, and disposal); implement the EPP; ensure environmental permits are obtained, maintained, and closed out; ensure compliance with Stormwater Program requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of regulated substances (lead, asbestos, PCB transformers). This can be a collateral position; however, the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out. Submit Environmental Manager Qualifications to the Contracting Officer.

### 1.6.5 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet EPA and state requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Conduct additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area.

#### 1.6.6 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state, or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

### 1.7 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

#### 1.7.1 General Overview and Purpose

##### 1.7.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as stormwater pollution prevention plan, spill control plan, solid waste management plan, wastewater

management plan, air pollution control plan, contaminant prevention plan, Non-Hazardous Solid Waste Disposal Plan.

1.7.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.7.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.7.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.7.1.5 Contact Information

Emergency contact information (office phone number, cell phone number, and e-mail address).

1.7.2 General Site Information

1.7.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.7.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

Show where any fuels, hazardous substances, solvents, or lubricants will be stored. Provide a spill plan to address any releases of those materials.

1.7.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.7.3 Management of Natural Resources

a. Land resources
b. Tree protection
c. Replacement of damaged landscape features
d. Temporary construction
e. Stream crossings
f. Fish and wildlife resources
g. Wetland areas

1.7.4 Stormwater Management and Control

a. Ground cover
b. Erodible soils
c. Temporary measures
    (1) Structural Practices
    (2) Temporary and permanent stabilization
d. Effective selection, implementation, and maintenance of Best Management Practices (BMPs).
e. Stormwater Pollution Prevention Plan (SWPPP).

1.7.5 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste.

Control and disposal of hazardous waste.

This item consists of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan when within an installation. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan as applicable.

As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268 )

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

1.7.6 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

1.7.7 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.7.8 Clean Air Act Compliance

1.7.8.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on Installation roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

1.7.8.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery, or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager). Ensure required permits are obtained prior to installing and operating applicable equipment/processes.

1.7.8.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used, or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between maintenance/testing, emergency, and non-emergency operation.

1.7.8.4 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82

requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment, and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government. Coordinate with the Installation Environmental Office to determine the appropriate turn in location.

#### 1.7.8.5 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

#### 1.7.8.6 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

## 1.8 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all equipment that may require permits or special approvals that the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and Responsibilities.

## 1.9 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

## 1.10 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

### 1.10.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

## 1.11 FACILITY HAZARDOUS WASTE GENERATOR STATUS

MCAS Cherry Point is designated as a Large Quantity Generator. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

# PART 2 PRODUCTS

Not Used

# PART 3 EXECUTION

## 3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the Installation Environmental Office as applicable, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the Installation Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

### 3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

### 3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and Installation Environmental Office to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

### 3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in

compliance with all required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

## 3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. If the water is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization in advance from the Installation Environmental Office for any release of contaminated water.

### 3.2.1 Erosion and Sediment Control Measures

Provide erosion and sediment control measures in accordance with state and local laws and regulations. Preserve vegetation to the maximum extent practicable.

Erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports.

### 3.2.2 Work Area Limits

Mark the areas that need not be disturbed under this Contract prior to commencing construction activities. Mark or fence isolated areas within the general work area that are not to be disturbed. Protect monuments and markers before construction operations commence. Where construction operations are to be conducted during darkness, all markers must be visible in the dark. Personnel must be knowledgeable of the purpose for marking and protecting particular objects.

### 3.2.3 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

### 3.2.4 Municipal Separate Storm Sewer System (MS4) Management

Comply with the Installation's MS4 permit requirements. Comply with local requirements.

## 3.3 SURFACE AND GROUNDWATER

### 3.3.1 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States.

## 3.4 PROTECTION OF CULTURAL RESOURCES

### 3.4.1 Archaeological Resources

If, during excavation or other construction activities, any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, activities that may damage or alter such resources will be suspended. Resources covered by this paragraph include, but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. Cease all activities that may result in impact to or the destruction of these resources. Secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources. The Government retains ownership and control over archaeological resources.

## 3.5 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

### 3.5.1 Preconstruction Air Permits

Notify the Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled or unassembled, onto the Installation.

Confirm that these permits have been obtained.

### 3.5.2 Oil or Dual-fuel Boilers and Furnaces

Provide product data and details for new, replacement, or relocated fuel fired boilers, heaters, or furnaces to the Installation Environmental Office (Air Program Manager) through the Contracting Officer. Data to be reported include: equipment purpose (water heater, building heat, process), manufacturer, model number, serial number, fuel type (oil type, gas type) size (MMBTU heat input). Provide in accordance with paragraph PRECONSTRUCTION AIR PERMITS.

### 3.5.3 Burning

Burning is prohibited on the Government premises.

### 3.5.4 Class I and II ODS Prohibition

Class I and II ODS are Government property and must be returned to the Government for appropriate management. Coordinate with the Installation Environmental Office to determine the appropriate location for turn in of all reclaimed refrigerant.

### 3.5.5 Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer. Intentional venting of refrigerants (including most Non-ODS substitute refrigerants) is prohibited per 40 CFR 82.

### 3.5.6 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

### 3.5.7 Dust Control

Keep dust down at all times, including during nonworking periods. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster. Since these products contain Crystalline Silica, comply with the applicable OSHA standard, 29 CFR 1910.1053 or 29 CFR 1926.1153 for controlling exposure to Crystalline Silica Dust.

#### 3.5.7.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

#### 3.5.7.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the Installation Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

### 3.5.8 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

## 3.6 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

### 3.6.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale, or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

### 3.6.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

| | |
|---|---|
| Construction and Demolition (C&D) Debris Disposed | (_____) cubic yards or tons, as appropriate |
| C&D Debris Recycled | (_____) cubic yards or tons, as appropriate |
| C&D Debris Composted | (_____) cubic yards or tons, as appropriate |
| Total C&D Debris Generated | (_____) cubic yards or tons, as appropriate |
| Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount) | (_____) cubic yards or tons, as appropriate |

## 3.7 WASTE MANAGEMENT AND DISPOSAL

### 3.7.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction

conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g., scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 262.11 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not exhaustive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.7.2 Solid Waste Management

3.7.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation, Contractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.7.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Segregate and separate treated wood components disposed at a lined landfill approved to accept this waste in accordance with local and state regulations. Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.7.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters

or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer and Installation Hazardous Waste Manager.

3.7.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.7.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or one quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90-day or 180-day, as appropriate, accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

| | |
|---|---|
| Contract Number | (_____) |
| Contractor | (_____) |
| Haz/Waste or Regulated Waste POC | (_____) |
| Phone Number | (_____) |
| Type of Waste | (_____) |
| Source of Waste | (_____) |
| Emergency POC | (_____) |
| Phone Number | (_____) |
| Location of the Site | (_____) |

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g., training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.7.3.3 Hazardous Waste Disposal

3.7.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.7.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260 - 40 CFR 279, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.7.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.7.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.7.3.3.1.4 Labeling

During waste accumulation label all containers in accordance with 40 CFR 262. Prior to offering a waste for off-site transport, determine the Department of Transportation's (DOT's) proper shipping names for waste in accordance with 49 CFR 172 (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262 and applicable state or local regulations.

3.7.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

a. Batteries as described in 40 CFR 273.2

b. Lamps as described in 40 CFR 273.5

c. Mercury-containing equipment as described in 40 CFR 273.4

d. Aerosol cans as described in 40 CFR 273.6

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and

fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.7.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such as computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

3.7.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer or designated representative for the facility RCRA identification number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with the Installation Environmental Office. Refer to location special requirements for the Installation Point of Contact information.

3.7.4 Releases/Spills of Oil and Hazardous Substances

3.7.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, the Contracting Officer, and the state or local authority.

Submit verbal and written notifications as required by the federal ( 40 CFR 300.125 and 40 CFR 355), state, and local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

3.7.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is

inadequate and the spill remains a threat to human health or the environment.

3.7.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

3.7.6 Wastewater

3.7.6.1 Disposal of Wastewater

Disposal of wastewater must be as specified below.

3.7.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations.

3.7.6.1.2 Surface Discharge

For discharge of ground water, Surface discharge in accordance with federal, state, and local laws and regulations.

3.7.6.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing discharged into the sanitary sewer with prior approval and notification to the Wastewater Treatment Plant's Operator.

3.8 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261, state, and installation requirements.

### 3.8.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log"(found at: https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphics-tables), which provides information required by (EPCRA Sections 312 and 313) along with corresponding SDS, to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the SDSs for hazardous materials onsite. At the end of the project, provide the Contracting Officer with copies of the SDSs, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

The Contracting Officer may request documentation for any spills or releases, environmental reports, or off-site transfers.

## 3.9 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

## 3.10 CONTROL AND MANAGEMENT OF ASBESTOS-CONTAINING MATERIAL (ACM)

Manage and dispose of asbestos-containing waste in accordance with all applicable federal, state, and local requirements. Refer to Section 02 82 00 ASBESTOS REMEDIATION. Manifest asbestos-containing waste and provide the manifest to the Contracting Officer. Notifications to the regulatory authorities and Installation Air Program Manager are required before starting any asbestos work.

## 3.11 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745 . Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

## 3.12 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline, diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the environment. Manage and store POL products in accordance with EPA 40 CFR 112, and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers, to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of oil, including fuel, on the project site is not allowed. Fuel must be brought to the project site each day that work is performed. Storage of fuel on the project site must be in accordance with EPA, state, and local laws and regulations and paragraph OIL STORAGE INCLUDING FUEL TANKS.

### 3.12.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

### 3.12.2 Oil Storage Including Fuel Tanks

Provide secondary containment and overfill protection for oil storage tanks. A berm used to provide secondary containment must be of sufficient size and strength to contain the contents of the tanks plus 5 inches freeboard for precipitation. Construct the berm to be impervious to oil for 72 hours that no discharge will permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Use drip pans during oil transfer operations; adequate absorbent material must be onsite to clean up any spills and prevent releases to the environment. Cover tanks and drip pans during inclement weather. Provide procedures and equipment to prevent overfilling of tanks. Do not bring underground storage tanks to the installation for Contractor use during a project. Submit the SPCC plan to the Contracting Officer for approval.

Monitor and remove any rainwater that accumulates in open containment dikes or berms. Inspect the accumulated rainwater prior to draining from a containment dike to the environment, to determine there is no oil sheen present.

## 3.13 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material until authorized by the Contracting Officer.

## 3.14 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of North Carolina rules.

## 3.15 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
**02/19, CHG 3: 11/21**

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.1.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.1.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.1.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.1.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.1.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.1.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.1.8 Reuse

The use of a product or materials again for the same purpose, in its

original form or with little enhancement or change.

1.1.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.1.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.2 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60_____ percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.3 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.3.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.3.2 Oversight

The Environmental Manager, as specified in Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, is responsible for overseeing and documenting results from executing the Construction Waste Management Plan for the project.

1.3.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials.

Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.3.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.3.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.4 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan

SD-11 Closeout Submittals

Final Construction Waste Diversion Report

1.5 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Preconstruction meeting.

b. Regular Quality Control meetings.

c. Work safety meeting (if applicable).

1.6 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 days after contract award. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin.. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project.

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

Distribute copies of the waste management plan to each subcontractor, Environmental Manager, and the Contracting Officer.

## 1.7 RECORDS (DOCUMENTATION)

### 1.7.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

### 1.7.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

## 1.8 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 60 days prior to the Beneficial Occupancy Date (BOD).

## 1.9 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS. Separate materials by one of the following methods described herein:

### 1.9.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

### 1.9.2 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

## 1.10 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

### 1.10.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

### 1.10.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will

not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.10.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS
**05/19, CHG 1: 08/21**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM E1971 | (2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings |

GREEN SEAL (GS)

| | |
|---|---|
| GS-37 | (2017) Cleaning Products for Industrial and Institutional Use |

U.S. DEPARTMENT OF DEFENSE (DOD)

| | |
|---|---|
| FC 1-300-09N | (2014; with Change 6, 2021) Navy and Marine Corps Design |
| UFC 1-300-08 | (2009, with Change 2, 2011) Criteria for Transfer and Acceptance of DoD Real Property |

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan
Warranty Tags
Final Cleaning

Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals

SD-11 Closeout Submittals

As-Built Drawings
As-Built Record of Equipment and Materials

Interim DD FORM 1354
Checklist for DD FORM 1354

## 1.4 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

## 1.5 WARRANTY MANAGEMENT

### 1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project

location.

c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.

d. As-Built Record of Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of equipment covered by warranties longer than one year.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.5.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will

be cause for the Contracting Officer to proceed against the Contractor.

### 1.5.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty. In connection with these requirements and at the time of the Contractor's QC completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

### 1.5.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

| | |
|---|---|
| Type of product/material | |
| Model number | |
| Serial number | |
| Contract number | |
| Warranty period from/to | |
| Inspector's signature | |
| Construction Contractor | |
| Address | |
| Telephone number | |
| Warranty contact | |

| | |
|---|---|
| Address | |
| Telephone number | |
| Warranty response time priority code | |
| WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD. | |

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and/or red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

(1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

(2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

(3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views,

schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

1) Add an entire drawing to contract drawings
2) Change the contract drawing to show
3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a

contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications and compliance with FC 1-300-09N procedures.

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

## 3.2 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide four electronic copies of the Operation and Maintenance Manual files. Submit to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

## 3.3 CLEANUP

Provide final cleaning in accordance with ASTM E1971 and submit two copies of the listing of completed final clean-up items. Leave premises "broom clean." Comply with GS-37 for general purpose cleaning and bathroom cleaning. Use only nonhazardous cleaning materials, including natural cleaning materials, in the final cleanup. Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment and comply with the Indoor Air Quality (IAQ) Management Plan. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

## 3.4 REAL PROPERTY RECORD

Refer to UFC 1-300-08 for instruction on completing the DD FORM 1354. Contact the Contracting Officer for any project specific information necessary to complete the DD FORM 1354.

### 3.4.1 Interim DD FORM 1354

Near the completion of Project, but a minimum of 60 days prior to final acceptance of the work, complete, update draft DD FORM 1354 attached to this section, and submit an accounting of all installed property with Interim DD FORM 1354. Include any additional assets, improvements, and alterations from the Draft DD FORM 1354.

### 3.4.2 Completed DD FORM 1354

For convenience, a blank fillable PDF DD FORM 1354 may be obtained at the following link:
www.esd.whs.mil/Portals/54/Documents/DD/forms/dd/dd1354.pdf

Submit the completed Checklist for DD FORM 1354 of Installed Building Equipment items. Attach this list to the updated DD FORM 1354.

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
**05/23**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

| | |
|---|---|
| ASHRAE GUIDELINE 1.4 | (2019) Preparing Systems Manuals for Facilities |

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM E1971 | (2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings |
| ASTM E2166 | (2016) Standard Practice for Organizing and Managing Building Data |

U.S. DEPARTMENT OF DEFENSE (DOD)

| | |
|---|---|
| FC 1-300-09N | (2014; with Change 6, 2021) Navy and Marine Corps Design |

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Facility Data Workbook
Training Plan
Training Outline
Training Content
Operation And Maintenance Manual, Final Submittal

SD-11 Closeout Submittals

Training Video Recording
Validation of Training Completion

1.3 MEETINGS

To assure that Operation and Maintenance (O&M) Manual and Facility Data Workbook (FDW) requirements are being met through the duration of the project, organize the following meetings and discuss the subsequent topics:

### 1.3.1 Pre-Construction Meeting

At a minimum, discuss the following:

a. The requirement for O&M Manuals and Facility Data deliverables under this contract including coordination meetings

b. Processes and method of gathering Facility Data information during construction

c. Primary roles and responsibilities associated with the development and delivery of the O&M Manuals and Facility Data deliverables, and

d. Identify and agree upon a date and attendance list for the meetings described below:

### 1.3.2 O&M Manual and FDW Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the O&M Manual Progress Submittal. Meeting attendance must include the Contractor's O&M Manual and FDW Preparer, Quality Control (QC) Manager, the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for O&M Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation, and timely Government review of O&M Manual.

### 1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the O&M Manuals and FDW.

a. Include personnel from the Coordination meeting and any additional personnel identified.

b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the O&M Manuals and FDW.

c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda for this meeting.

### 1.3.4 Facility Turnover Meeting

Include O&M Manual in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

## 1.4 FACILITY DATA WORKBOOK

Develop an editable, electronic spreadsheet based on the equipment in the O&M Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide FDW as a list of system equipment, location installed, warranty expiration date, manufacturer,

model, and serial number.

## 1.5 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble O&M Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance, and record files, project record documents, and training videos. Include a complete bookmarked O&M directory.

### 1.5.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number
b. Project Title
c. Activity and Location
d. Construction Contract Number
e. Prepared For: (Contracting Agency)
f. Prepared By: (Name, title, phone number and email address)
g. Include the disk content on the disk label
h. Date
i. Virus scanning program used

### 1.5.2 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

## 1.6 O&M MANUAL CONTENT

Organize thebookmarked O&M Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at: https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-78-23.

### 1.6.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the purpose of the manual and a description of the manual's organization.

### 1.6.2 Part 2: Facility Design and Construction

#### 1.6.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility. Provide a PDF of the Record Drawings prepared in accordance with FC 1-300-09N and 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code

list and generally describe all the facility systems and any special building features (for example, HVAC Controls, Sprinkler Systems, Cranes, Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

1.6.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

1.6.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

1.6.2.4 Room Inventory of Real Property and Finishes

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. Include, as applicable, the following information for each piece of equipment installed: description of item, all dimensions, location by room number, model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Real property includes, but is not limited to, floor coverings, wall surfaces, ceiling surfaces, windows, roofing, HVAC filters, plumbing fixtures, and lighting fixtures. Submit the final list 30 days after transfer of the completed facility.

Include spatial data defining actual net square footage and data of each room. Also include the room finish schedule including room names and numbers. Include schedules in the construction drawings in the room inventory. Add a column to each schedule to record what was provided by the contractor during construction. Provide a PDF of room inventory. Key the designations to the related area depicted on the contract drawings. List the following data:

| RECORD OF DESIGNATED EQUIPMENT AND MATERIALS DATA | | | | |
|---|---|---|---|---|
| Description | Specification Section | Manufacturer and Catalog, Model, and Serial Number | Composition and Size | Where Used |
| (_____) | | | | |

1.6.3 Part 3: Facilities, Systems, and Assemblies Information

1.6.3.1 Organization

Bookmark information in this section using the current version of ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy

navigation of the manual.

Example as shown in Table below:

| PARTS AND SUBPART NUMBERING |
|---|
| 3.1 B20 EXTERIOR CLOSURE (System) |
| 3.1.1 B2030 EXTERIOR DOORS (Subsystem) |
| 3.1.1.1 B2030110 GLAZED DOORS (Component) |
| 3.1.1.1.1 Applicable specifications List in UFGS Format |
| 3.1.1.1.2 Manufacturer's Operations and Maintenance Data |
| 3.1.1.1.3 Approved Submittal |
| 3.1.1.1.4 Coordination/Shop Drawings |
| 3.1.1.1.5 Sequence of Operation for Operating Equipment |
| 3.1.1.1.6 Testing Equipment Information and Performance Data |
| 3.1.1.1.7 Routine Maintenance Requirements |
| 3.1.1.1.8 Repair Procedures |
| 3.1.1.1.9 Emergency Procedures & Locations of Applicable Controls |
| 3.1.1.1.10 Warranties |
| 3.1.1.1.11 Record Drawings and Utility Systems |
| 3.1.1.1.12 Contractor / Supplies Listing and Contact Information |

1.6.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List specifications in table format as shown in the below example.

| UFGS Number | Specification Title | Page Spec Begins in Part 2 |
|---|---|---|
| | | |
| | | |
| | | |

1.6.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files containing multiple product catalogs from the same manufacturer, or

product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

1.6.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and Maintenance Data submittals documents with the required approval.

1.6.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

1.6.4 Routine Maintenance Requirements

1.6.4.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments,

cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication, and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.6.5 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.6.5.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.6.5.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.6.5.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings, and adjustments required. Use a combination of text and illustrations.

1.6.5.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.6.5.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment, or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00

CLOSEOUT SUBMITTALS.

1.6.5.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific O&M procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.5.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.6.5.8 Contractor/Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email, and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name, address, and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6.6 Part 4: Facility Operations

1.6.6.1 Completed Facility Operating Plan

Provide a plan that documents the procedures for the operation of systems and assemblies in the facility. The systems that should be included in the Operating Plan include, but are not limited to:

t

t

a. Architectural and Structural systems, fixtures, structures, and equipment

1.6.6.2 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

1.6.6.3 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.6.6.3.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.6.6.3.2 Ongoing Commissioning O&M Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.6.6.3.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

1.6.7 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.6.8 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.6.9 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.6.10 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.7.1 Package Quality

Documents must be fully legible. O&M data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.7.2 Data Package 1

a. Safety precautions and hazards
b. Cleaning recommendations

c. Maintenance and repair procedures
d. Warranty information
e. Extended warranty information
f. Contractor information
g. Spare parts and supply list

1.7.3 Data Package 2

a. Safety precautions and hazards
b. Normal operations
c. Environmental conditions
d. Lubrication data
e. Preventive maintenance plan, schedule, and procedures
f. Cleaning recommendations
g. Maintenance and repair procedures
h. Removal and replacement instructions
i. Spare parts and supply list
j. Parts identification
k. Warranty information
l. Extended warranty information
m. Contractor information

1.7.4 Data Package 3

a. Safety precautions and hazards
b. Operator prestart
c. Startup, shutdown, and post-shutdown procedures
d. Normal operations
e. Emergency operations
f. Environmental conditions
g. Operating log
h. Lubrication data
i. Preventive maintenance plan, schedule, and procedures
j. Cleaning recommendations
k. Troubleshooting guides and diagnostic techniques
l. Wiring diagrams and control diagrams
m. Maintenance and repair procedures
n. Removal and replacement instructions
o. Spare parts and supply list
p. Product submittal data
q. O&M submittal data
r. Parts identification
s. Warranty information
t. Extended warranty information
u. Testing equipment and special tool information
v. Testing and performance data
w. Contractor information
x. Field test reports

1.7.5 Data Package 4

a. Safety precautions and hazards
b. Operator prestart
c. Startup, shutdown, and post-shutdown procedures
d. Normal operations
e. Emergency operations
f. Operator service requirements
g. Environmental conditions
h. Operating log

i. Lubrication data
j. Preventive maintenance plan, schedule, and procedures
k. Cleaning recommendations
l. Troubleshooting guides and diagnostic techniques
m. Wiring diagrams and control diagrams
n. Repair procedures
o. Removal and replacement instructions
p. Spare parts and supply list
q. Repair work-hours
r. Product submittal data
s. O&M submittal data
t. Parts identification
u. Warranty information
v. Extended warranty information
w. Personnel training requirements
x. Testing equipment and special tool information
y. Testing and performance data
z. Contractor information
aa. Field test reports

1.7.6 Data Package 5

a. Safety precautions and hazards
b. Operator prestart
c. Start-up, shutdown, and post-shutdown procedures
d. Normal operations
e. Environmental conditions
f. Preventive maintenance plan, schedule, and procedures
g. Troubleshooting guides and diagnostic techniques
h. Wiring and control diagrams
i. Maintenance and repair procedures
j. Removal and replacement instructions
k. Spare parts and supply list
l. Product submittal data
m. Manufacturer's instructions
n. O&M submittal data
o. Parts identification
p. Testing equipment and special tool information
q. Warranty information
r. Extended warranty information
s. Testing and performance data
t. Contractor information
u. Field test reports
v. Additional requirements for HVAC control systems

1.7.7 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

### 3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the Facilities Management Specialist, building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the O&M Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

#### 3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the QC Manager prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC Manager . Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

#### 3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the O&M information. The QC Manager is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal

changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

### 3.1.3 Training Outline

Provide the O&M Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

### 3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

### 3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

### 3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the O&M Manual Preparer for inclusion into the Manual's documentation.

### 3.1.7 Quality Control Coordination

Coordinate this training with the QC Managerin accordance with Section 01 45 00 QUALITY CONTROL .

## 3.2 SUBMITTAL SCHEDULING

### 3.2.1 Operation and Maintenance Manual, Final Submittal

Submit completed O&M Manual Files (Bookmarked PDF). The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

SECTION 01 80 00

REPORTS
**04/15**

PART 1 GENERAL

1.1 REPORTS INCLUDED

1.1.1 Asbestos and Lead Paint Inspection Report
1. Asbestos Reinspection Building 3673, March 25 2022
2. Building 3673 MCAS CP, Havelock, NC 28532; Lead Paint Assessments. September 28, 2024

1.2 USE OF INFORMATION

1.2.1 Warranty

The information disclosed in the referenced reports is based on data obtained in specific locations and is assumed to be representative of conditions throughout the site. This information is furnished without warranty and is only for general information to be used by the contractor in the preparation of his bid and work schedule. It is not intended as a replacement for personal investigation and judgment, or interpretation of the information furnished, as required of the contractor in the performance of this contract.

1.2.2 Site Visit

Bidders should visit the site and acquaint themselves with all existing conditions prior to preparing their bid. This will include a review of the conditions contained in the enclosed report as they relate to the site. The contractor is responsible for including in his bid and work schedule, procedures for handling existing site conditions delineated in the included reports in accord with applicable laws and regulations as those conditions may affect the work.

1.2.3 Application of Information

Recommendations contained in the reports are to be used by the contractor only to the extent that these recommendations comply with applicable laws, regulations, and other sections of the these specifications.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 VARYING CONDITIONS

If during the course of the work, conditions are encountered which are not covered in the included reports or are different from conditions that would be reasonably anticipated from the included reports, the contractor shall immediately notify the Contracting Officer. If such conditions are hazardous or the continuation of work would cause a hazardous condition to develop, he shall stop work and proceed as directed by the Contracting Officer as directed by provisions contained in other sections of this

specification. This may include modifications to, or the development of a new, Health and Safety Plan for this project, and alternate or additional appropriate abatement procedures.

3.2 CHANGES TO THE CONTRACT

Any changes to the contract made as a result of site conditions which differ from those delineated in the report may result in an adjustment of the contract amount. The adjustment will be an increase or decrease depending on the scope and nature of the change and will be in accord with other provisions of these specifications.

-- End of Section --

# *Asbestos Reinspection Building 3673*

*Assessment of known Asbestos Containing Materials (ACM) in MCAS Cherry Point buildings.*

Building Hazard Rank: **[3]**

Non friable ACM flooring throughout

March 25, 2022

AH Environmental Consultants Inc.
11837 Rock Landing Drive, Suite 300
Newport News, VA 23606
757.873.4959

There is a well-established link between the inhalation of asbestos fibers and various diseases such as asbestosis, mesothelioma, lung and other cancers. Federal regulations governing asbestos include the Asbestos Hazard Emergency Response Act (40 CFR 763, AHERA) and the Occupational Safety and Health Administration (OSHA) regulations (29 CFR 1910.1001, General Industry Asbestos Standard) to address the hazard. These regulations apply to Naval and Marine Corps facilities as referenced in Office of the Chief of Naval Operations Instructions (OPNAVINST) 5100.23G, Chapter 17 (Asbestos Control) and Marine Corps Orders (MCO) 5100.29B, Part 4 (Managing an Installation wide safety and occupational health program inclusive of MCO P5100.8, OSHA 29 CFR 1910, 1926 and 1960). These are the governing documents for dealing with asbestos issues at a Naval/ Marine Corps facility. Proper policy, recordkeeping, and surveillance are vital to limiting a facility's liability resulting from exposing personnel to a hazardous condition

In order to comply with this regulation, building/ facility owners (Department of Defense, United States Marine Corps Installations East- MCAS Cherry Point) continue asbestos inspection of buildings under their command in a timely and competent manner by periodically verifying the condition of the ACM in a building since most building materials degrade with time, and damaged or degraded ACM may pose an evolving hazard to building occupants.

Information gathered during these reinspections are incorporated into the Cherry Point Asbestos Management Program/Plan in compliance with Subpart (j)(2)(ii) of the OSHA 1910.1001 standard.

The primary focus of the inspections were to evaluate of the condition of existing ACM, compare the condition rating to previous ratings, and assign a hazard priority ranking for the building. Assessment of potential ACM issues in other sections of the building where ACM was not listed, were not investigated. Inspections include evaluation of the condition of documented ACM according to the current facility asbestos records. Findings of the asbestos inspection are incorporated as the official record of ACM at the facility and place buildings containing ACM

under the jurisdiction of the Asbestos Program Manager (APM) under the implementation of a facility wide O&M Program.

Building re-inspections were limited to previously identified ACM. The National Emission Standards for Hazardous Air Pollutants (NESHAPs) apply to buildings to be renovated or demolished, and require a thorough asbestos inspection prior to such activities.

AH Environmental Consultants, Inc. (AH) North Carolina licensed inspectors conducted the bulk of asbestos inspection activities as required for the project buildings during the period from February to April 2022 under contract with Cherokee Nation 3S, Contract number 22700-0172; delivery order W9127819D0043; task order 9127821F0409.

Findings during the most recent inspection are summarized in the following table, including; material description, locations identified, damage assessment, and hazard ranking. ACM are cataloged in homogenous material groups (of similar color, size, and function). ACM are shown in **Bold Red**.

Listed ACM in Building 3673 includes:

- **12” Beige floor tile and mastic**
- **1’x1’ Ceiling tiles**
- **12” Gray floor tile and mastic**

Confirmation sampling of flooring materials was conducted.

ACM appears much the same, including damaged non-friable materials. Photos of ACM follow to aid in later identification. A current building inspection sketch is also provided to graphically depict known locations of ACM.

## HOMOGENOUS MATERIAL RECORDS

| HM# | Description | Status/ Location(s) | Condition | Rank |
|---|---|---|---|---|
| | ***1997 HM's Identified*** | | | |
| 01 | 12" Tan floor tile | NON ACM | | |
| 02 | Mastic under [HM01] tile | NON ACM | | |
| **03** | **12" Beige floor tile** | **THROUGHOUT ROOMS** | **GOOD** | **3** |
| **04** | **Mastic under [HM03] tile** | **THROUGHOUT ROOMS** | **GOOD** | **3** |
| 05 | 12" Tan w/brown floor tile | NON ACM | | |
| 06 | Mastic under [HM05] tile | NON ACM | | |
| 07 | 12" Tan w/org floor tile | NON ACM | | |
| 08 | Mastic under [HM07] tile | NON ACM | | |
| 09 | Drywall and joint material, wall | NON ACM | | |
| 10 | Base cove mastic | NON ACM | | |
| 11 | 2'x2' Ceiling tile | NON ACM | | |
| 12 | Drywall and joint material, ceiling | NON ACM | | |
| **13** | **1'x1' Ceiling tile** | **REMOVED** | | |
| 14 | Ceiling tile mastic, white | NON ACM | | |
| 15 | Ceiling tile mastic, black | REMOVED | | |
| 16 | Plaster ceiling | NON ACM | | |
| 17 | Built-up roofing | REMOVED | | |
| 18 | Shingle roofing | REMOVED | | |
| 19 | Roofing felt | NON ACM | | |
| | | | | |
| ***HM#*** | ***2003 HM's Identified*** | ***Status/ Location(s)*** | ***Condition*** | ***Rank*** |
| **30** | **12" Gray floor tile and mastic (w/HM03)** | **THROUGHOUT ROOMS (PATCHES)** | | |
| 31 | 2'x2' Ceiling tile | NON ACM | | |
| 32 | Vinyl sheet flooring and mastic | NON ACM | | |
| | | | | |
| ***HM#*** | ***2022 HM's Identified*** | ***Status/ Location(s)*** | ***Condition*** | ***Rank*** |
| **30** | **12" Tan/ Gray tile and mastic (TEM confirmed)** | **THROUGHOUT ROOMS (Consolidated HMs)** | **GOOD** | **3** |
| | | | | |

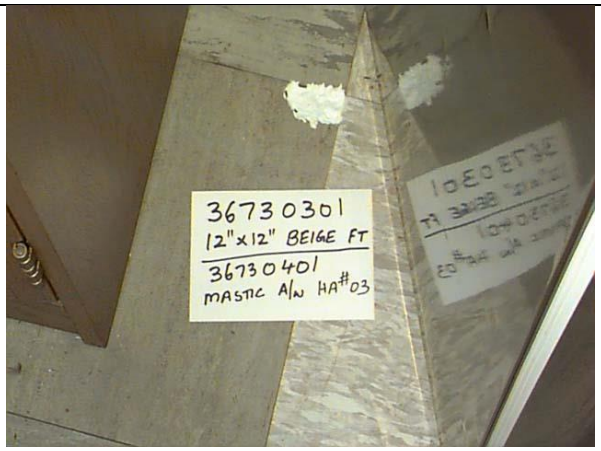
## SUMMARY

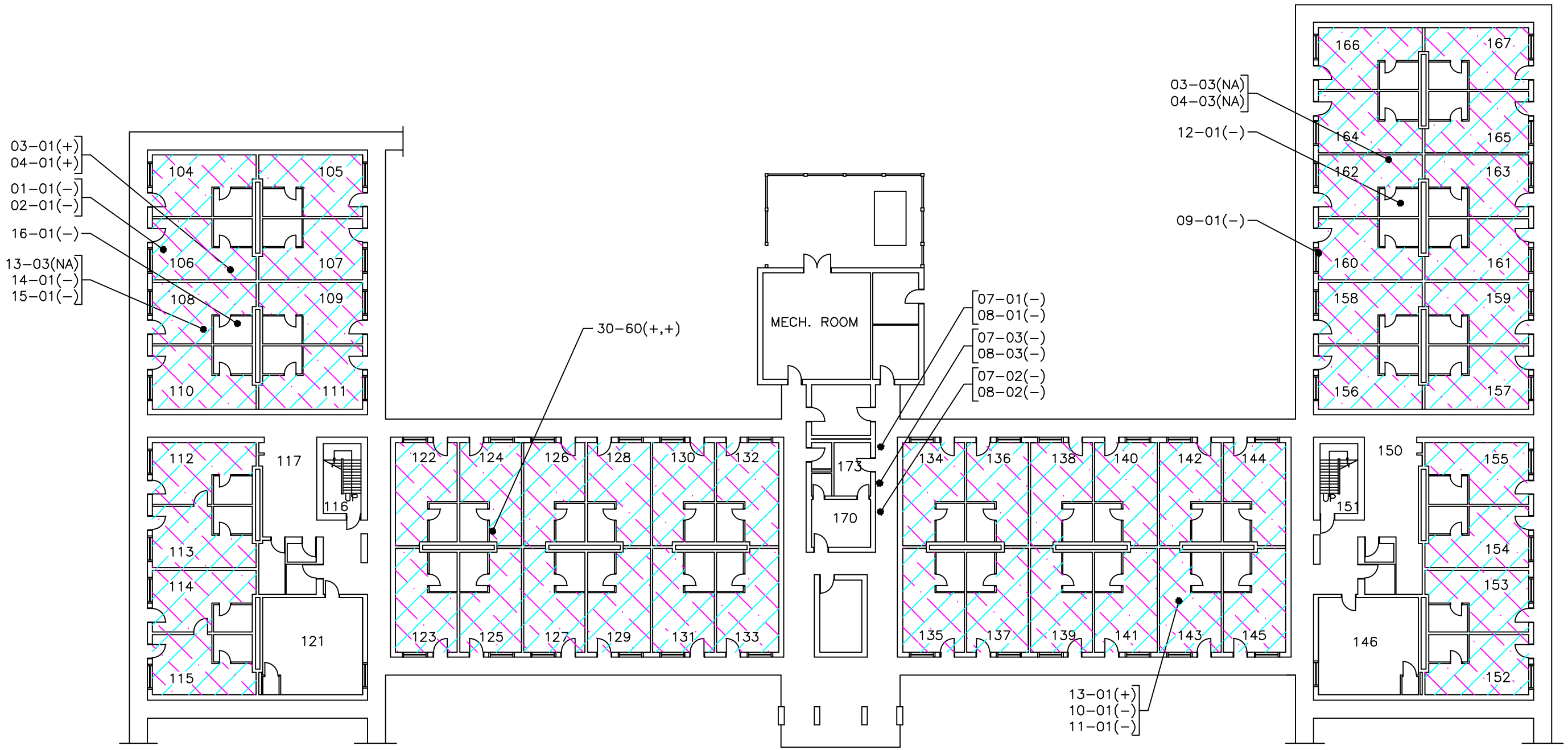
Building 3673 is a multi-wing, multi-store brick and block structure, currently utilized by the Inns of the Corps at a temporary lodging, affectionately known as the Devil Dog Inn.

The ACM flooring previously identified [1997, 2003, 2006, and 2010] remains. ACM ceilings were listed as replaced circa 2010.

The building is not currently utilized therefore potential for exposure to personnel is very low.

## PHOTOS

| | |
|---|---|
| |  |
| [HM03] 12" Beige/Gray floor tile and mastic, ACM | [HM03] 12" Beige/ Gray floor tile and mastic, ACM |
| | |
| [HM30] 12" Tan/ gray tile and mastic, ACM | [HM30] Located under carpet, ACM |
| | |
| | |




## LEGEND

12" TAN W/ GRAY & MASTIC (UNDER CARPET) [HM30]

## FIRST FLOOR PLAN

8′ 0 8′ 16′ 32′

SAMPLE LEGEND ID

- SAMPLE IDENTIFICATION
- 01-01 (+,-)
  - POSITIVE (+), NEGATIVE (-), OR TRACE (TR) FOR THE PRESENCE OF ASBESTOS
- HOMOGENOUS MATERIAL

REVISIONS

| REV | DESCRIPTION | DATE | APPROVED |
|---|---|---|---|

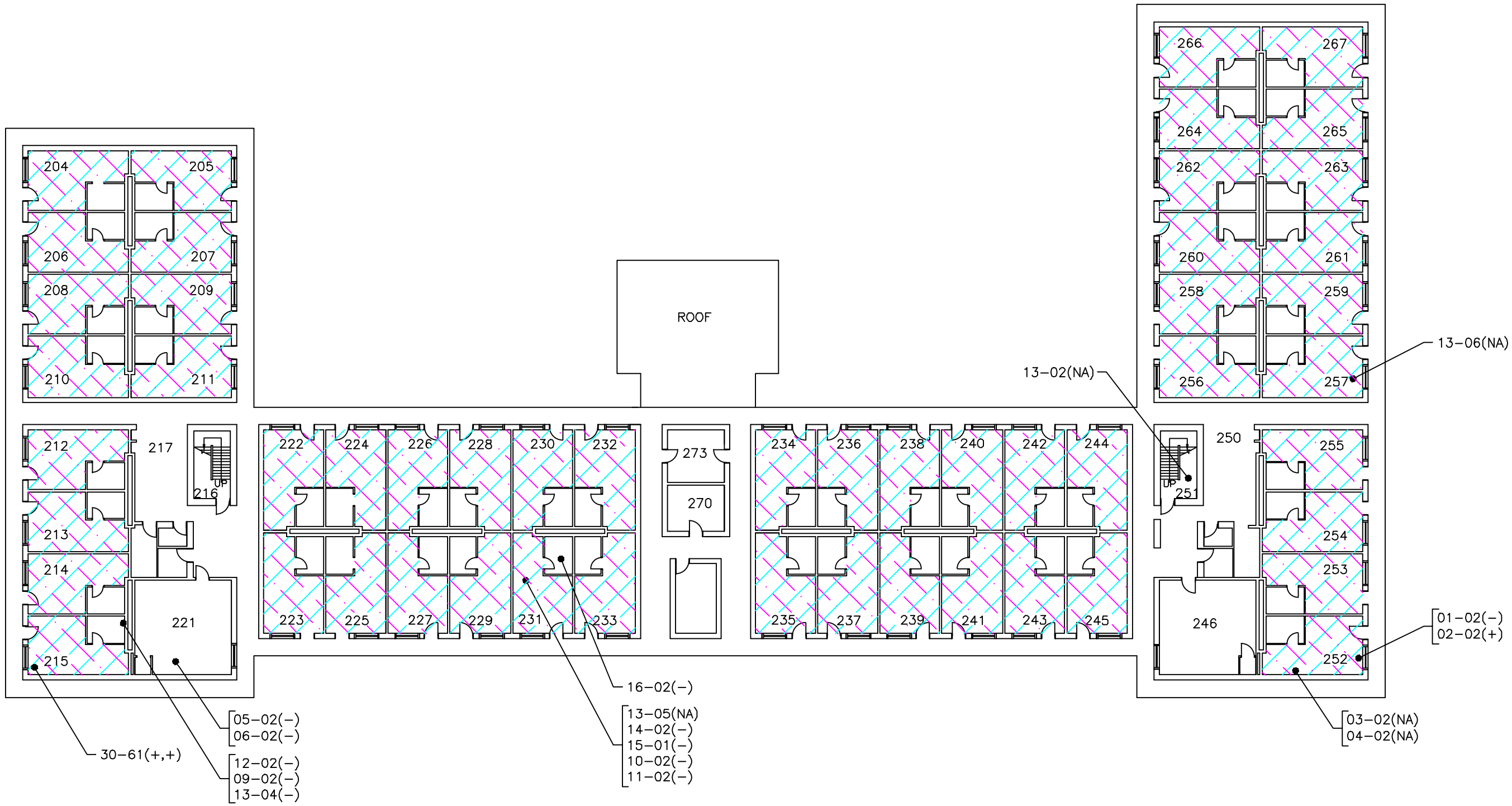
ENGINEERS & SCIENTISTS

Cherokee FEDERAL

ARMY CORPS OF ENGINEERS, MOBILE

ASBESTOS BUILDING RE-INSPECTION 2022
3673-01
MCAS, CHERRY POINT, NC

| | |
|---|---|
| CONTR. NO. | USACE 22700-0172 |
| DELIVERY NO. | W912761900043 |
| CHECKED BY: | DD |
| DRAWN BY: | BCH |
| SCALE: | |
| DATE: | JUNE 2022 |
| SHEET | 1 OF 1 |




## LEGEND

12" TAN W/ GRAY & MASTIC (UNDER CARPET) [HM30]

SAMPLE LEGEND ID

- SAMPLE IDENTIFICATION
- 01-01 (+,-)
- POSITIVE (+), NEGATIVE (-), OR TRACE (TR) FOR THE PRESENCE OF ASBESTOS
- HOMOGENOUS MATERIAL

## SECOND FLOOR PLAN

8′ 0 8′ 16′ 32′

N

REVISIONS

| DATE | REV | DESCRIPTION | DATE | APPROVED |
|---|---|---|---|---|

ENGINEERS & SCIENTISTS

Cherokee FEDERAL

ARMY CORPS OF ENGINEERS, MOBILE

ASBESTOS BUILDING RE-INSPECTION 2022
3673-02
MCAS, CHERRY POINT, NC

| | |
|---|---|
| CONTR. NO. | USACE 22700-0172 |
| DELIVERY NO. | W912781D0043 |
| CHECKED BY: | DD |
| DRAWN BY: | SCH |
| SCALE: | |
| DATE: | JUNE 2022 |
| SHEET | 1 OF 1 |

## LEGEND

12" TAN W/ GRAY & MASTIC (UNDER CARPET) [HM30]

© CARPET

SAMPLE LEGEND ID

01-01 (+,-)

- SAMPLE IDENTIFICATION
- POSITIVE (+), NEGATIVE (-), OR TRACE (TR) FOR THE PRESENCE OF ASBESTOS
- HOMOGENOUS MATERIAL

## THIRD FLOOR PLAN

9' 0 9' 18' 36'

N

| DATE | REV | DESCRIPTION | DATE | APPROVED |
|---|---|---|---|---|

REVISIONS

ENGINEERS & SCIENTISTS

Cherokee FEDERAL

ARMY CORPS OF ENGINEERS, MOBILE

ASBESTOS BUILDING RE-INSPECTION 2022
3673-03
MCAS, CHERRY POINT, NC

CONTR. NO. USACE 22700-0172
DELIVERY NO. W912781900043
CHECKED BY: DD
DRAWN BY: BCH
SCALE:
DATE: JUNE 2022
SHEET 1 OF 1

# Coastal Environmental and Inspections, LLC

September 28, 2024 CE&I Project #: 397-Pb-I-24

GCR
Attn: Kris Nicholls
25 Jacksonville Drive,
Havelock, NC 28532

**Re: Building 3673 MCAS Cherry Point, Havelock, NC 28532; Lead Paint Assessments**

On September 17, 2024, Coastal Environmental and Inspections, LLC (CE&I) was contracted to complete a lead paint assessment at the above-referenced location. The purpose of these assessments was to determine if lead-based or lead-containing paint was present prior to construction activities.

The front side directional reference is determined by facing the *main entrance* from within the building and outside. The right side, rear side, and left side directional references follow clockwise from the front side orientation.

The interior and exterior directional reference for the "A" side is determined by facing the *main entrance* from within and the residence and on the outside. The B side, C side, and D side follow clockwise from the A wall orientation.

**Lead Paint Assessment:**

CE&I utilized a VIKEN (Serial No: 3354) Model Pb200e X-Ray Fluorescence Spectrum (XRF) Analyzer to take lead paint readings from the building components.

**Lead Paint Federal Regulations:**

The Occupational Safety and Health Administration (OSHA) defines lead-containing paint as any detectable level of lead when repair, renovation, or demolition work will impact lead-coated surfaces.

**Lead Paint Results:**

*Lead-Based Paint – EPA Definition*

None of the assessed components were identified as being coated with lead-based paint per the EPA definition.

*Lead-Containing Paint – OSHA Definition*

The following lead-containing paint XRF results are reported in milligrams per square centimeter (mg/cm²). The following lists the sample numbers, sample descriptions and locations, and sample results:

| Coastal Environmental & Inspections - XRF Readings | | | | | | | |
|---|---|---|---|---|---|---|---|
| **Reading #** | **Concentration** | **Units** | **Result** | **Location** | **Side** | **Color** | **Component** |
| 7 | 0.5 | mg/cm2 | Positive OSHA | Room 126 | A | Green | Door Frame |
| 8 | 0.1 | mg/cm2 | Positive OSHA | Room 126 | A | Green | Door |
| 9 | 0.5 | mg/cm2 | Positive OSHA | Room 155 | D | Off-white | Door Frame |
| 10 | 0.1 | mg/cm2 | Positive OSHA | Room 155 | B | Off-white | Door Frame |
| 11 | 0.1 | mg/cm2 | Positive OSHA | Room 155 | D | Off-white | Door Frame |

**Conclusions:**

**Lead-Containing Paint**

Based on the XRF results, the following components were identified as being coated with lead-containing paint, per the OSHA definition:

*Room 126*

- Green paint on the door frame(s)
- Green paint on the door(s)

*Room 155*

- Off-white paint on the door frame(s)

The above outlined components were identified as lead-containing paint. Maintenance, repair, or renovation activities that will impact these surfaces shall be conducted by trained personnel. Lead control measures shall utilize "lead safe work practices" as outlined by OSHA, to include the Respiratory Protection Standard (29CFR 1910.134).

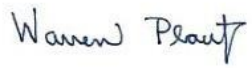
**Limitations**

No warranty is made regarding the conclusions and recommendations within this lead assessment report. This report is provided for the exclusive use of the client or owner. It is not intended to be used or relied upon in conjunction with other projects or by third parties without the written consent of CE&I and the client or owner.

The recommendations are based in general accordance with federal, state, and local regulations and guidelines. Compliance and response actions are the sole responsibility of the client or owner and should be conducted in accordance with all federal, state, and local regulations or guidelines.

Should you have any questions regarding this report, please do not hesitate to contact me.

Thank you,



Warren Plautz, CIEC, Industrial Hygienist

Enclosures: Coastal Environmental and Inspections - XRF Readings

**Company** Viken Detection
**Model** Pb200i
**Type** XRF Lead Paint Analyzer
**Serial Num.** 3354
**App Version** Pb200i-5.3.1

| Coastal Environmental & Inspections - XRF Readings | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Reading # | Concentration | Units | Result | Location | Side | Color | Component | Action Level | Mode | Analytic Mode |
| 1 | 0.9 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 2 | 0.9 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 3 | 0.9 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 4 | 0.1 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 5 | 0 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 6 | 0.1 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 7 | 0.5 | mg/cm2 | Positive OSHA | Room 126 | A | Green | Door Frame | 1 | Action Level | Lead Paint |
| 8 | 0.1 | mg/cm2 | Positive OSHA | Room 126 | A | Green | Door | 1 | Action Level | Lead Paint |
| 9 | 0.5 | mg/cm2 | Positive OSHA | Room 155 | D | Off-white | Door Frame | 1 | Action Level | Lead Paint |
| 10 | 0.1 | mg/cm2 | Positive OSHA | Room 155 | B | Off-white | Door Frame | 1 | Action Level | Lead Paint |
| 11 | 0.1 | mg/cm2 | Positive OSHA | Room 155 | D | Off-white | Door Frame | 1 | Action Level | Lead Paint |
| 12 | 1 | mg/cm2 | Positive | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 13 | 1 | mg/cm2 | Positive | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 14 | 0.9 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 15 | 0.1 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 16 | 0.1 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |
| 17 | 0.1 | mg/cm2 | Negative | Calibration | N/A | N/A | N/A | 1 | Action Level | Lead Paint |

SECTION 02 41 00

DEMOLITION
**08/22**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

| | |
|---|---|
| ASSP A10.6 | (2006) Safety & Health Program Requirements for Demolition Operations - American National Standard for Construction and Demolition Operations |

CARPET AND RUG INSTITUTE (CRI)

| | |
|---|---|
| CRI 104 | (2015) Carpet Installation Standard for Commercial Carpet |
| CRI 105 | (2015) Carpet Installation Standard for Residential Carpet |

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EM 385-1-1 | (2014) Safety and Health Requirements Manual |

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

| | |
|---|---|
| 40 CFR 61 | National Emission Standards for Hazardous Air Pollutants |

1.2 PROJECT DESCRIPTION

1.2.1 Definitions

1.2.1.1 Demolition

Demolition is the process of tearing apart and removing any feature of a facility together with any related handling and disposal operations.

1.2.1.2 Demolition Plan

Demolition Plan is the planned steps and processes for managing demolition activities and identifying the required sequencing activities and disposal mechanisms.

1.2.2 Demolition/Deconstruction Plan

Prepare a Demolition Plan and submit proposed demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to

be salvaged, coordination with other work in progress. Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed. Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use. Coordinate with Waste Management Plan in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Provide procedures for safe conduct of the work in accordance with EM 385-1-1. Plan must be approved by Contracting Officer prior to work beginning.

### 1.2.3 General Requirements

Do not begin demolition or deconstruction until authorization is received from the Contracting Officer. The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials. Remove rubbish and debris from the station daily ; do not allow accumulations inside or outside the building . The work includes demolition, , and removal of resulting rubbish and debris. Remove rubbish and debris from Government property daily, unless otherwise directed. Store materials that cannot be removed daily in areas specified by the Contracting Officer. In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections.

## 1.3 ITEMS TO REMAIN IN PLACE

Comply with FAR 52.236-9 to protect existing vegetation, structures, equipment, utilities, and improvements. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload structural elements. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

### 1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove dust, dirt, and debris from work areas daily.

### 1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

### 1.3.3 Trees

Protect trees within the project site which might be damaged during demolition or deconstruction, and which are indicated to be left in place, by a 6 foot high fence. Erect and secure fence a minimum of 5 feet from

the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Replace any tree designated to remain that is damaged during the work under this contract with like-kind or as approved by the Contracting Officer.

1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the and disconnected and sealed by the Contractor.

1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted .

1.5 AVAILABILITY OF WORK AREAS

Areas in which the work is to be accomplished will be available at contract award.

1.6 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Demolition Plan

Existing Conditions

SD-07 Certificates

Notification

SD-11 Closeout Submittals

## 1.7 QUALITY ASSURANCE

Submit timely notification of demolition projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSP A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

### 1.7.1 Dust and Debris Control

Prevent the spread of dust and debris to occupied portions of the building and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Vacuum and dust the work area daily . .

## 1.8 PROTECTION

### 1.8.1 Protection of Personnel

Before, during and after the demolition work continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

## 1.9 FOREIGN OBJECT DAMAGE (FOD)

Aircraft and aircraft engines are subject to FOD from debris and waste material lying on airfield pavements. Remove all such materials that may appear on operational aircraft pavements due to the Contractor's operations. If necessary, the Contracting Officer may require the Contractor to install a temporary barricade at the Contractor's expense to control the spread of FOD potential debris. Provide a barricade consisting of a fence covered with a fabric designed to stop the spread of debris. Anchor the fence and fabric to prevent displacement by winds or jet/prop blasts. Remove barricade when no longer required.

## 1.10 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items as approved by the Contracting Officer.

## 1.11 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent

to areas of alteration or removal. Photographs or electronic images with a minimum resolution of 3072 x 2304 pixels, capable of a print resolution of 300 dpi, will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results to the Contracting Officer .

## PART 2 PRODUCTS

Not Used.

## PART 3 EXECUTION

### 3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Disassemble existing construction scheduled to be removed for reuse. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Designate materials for reuse onsite whenever possible.

#### 3.1.1 Miscellaneous Metal

Salvage shop-fabricated items such as access doors and frames, steel gratings, metal ladders, wire mesh partitions, metal railings, metal windows and similar items as whole units. Salvage light-gage and cold-formed metal framing, such as steel studs, steel trusses, metal gutters, roofing and siding, metal toilet partitions, toilet accessories and similar items. Scrap metal is the Contractor's property. Recycle scrap metal as part of demolition operations. Provide separate containers to collect scrap metal and transport to a scrap metal collection or recycling facility, in accordance with the Waste Management Plan.

#### 3.1.2 Carpentry

Recycle lumber, millwork items, and finished boards, and sort by type and size. Remove windows, doors, frames, and cabinets, and similar items as whole units, complete with trim and accessories. Brace the open end of door frames to prevent damage.

#### 3.1.3 Carpet

Remove existing carpet for reclamation in accordance with manufacturer recommendations and as follows. Remove used carpet in large pieces, roll tightly, and pack neatly in a container. Remove adhesive according to recommendations of the Carpet and Rug Institute (CRI). Provide adhesive removal solvents in compliance with CRI 104/CRI 105. Recycle removed carpet cushion.

#### 3.1.4 Acoustic Ceiling Tile

Remove, neatly stack, and recycle acoustic ceiling tiles. Recycling may be available with manufacturer. Otherwise, give priority to a local recycling organization. Recycling is not required if the tiles contain or

may have been exposed to asbestos material.

### 3.1.5 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Make finished surfaces of patched area flush with the adjacent existing surface and match the existing adjacent surface as closely as possible to texture and finish. Provide patching as specified and indicated, and include the following:

a. Concrete and Masonry: Completely fill holes and depressions, caused by previous physical damage or left as a result of removals in existing masonry walls to remain, with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.

b. Where existing partitions have been removed leaving damaged or missing resilient tile flooring, patch to match the existing floor tile.

c. Patch acoustic lay-in ceiling where partitions have been removed. Make the transition between the different ceiling heights by continuing the higher ceiling level over to the first runner on the lower ceiling and closing the vertical opening with a painted sheet metal strip.

### 3.1.6 Locksets on Swinging Doors

Remove all locksets from all swinging doors indicated to be removed and disposed of. Deliver the locksets and related items to a designated location for receipt by the Contracting Officer after removal.

### 3.1.7 Items With Unique/Regulated Disposal Requirements

Remove and dispose of items with unique or regulated disposal requirements in the manner dictated by law or in the most environmentally responsible manner.

## 3.2 DISPOSITION OF MATERIAL

### 3.2.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, become the property of the Contractor and must be removed from Government property. Materials approved for storage by the Contracting Officer must be removed before completion of the contract. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

## 3.3 CLEANUP

Remove debris and rubbish from project site and similar excavations. Remove and transport the debris in a manner that prevents spillage on

streets or adjacent areas. Apply local regulations regarding hauling and disposal.

## 3.4 DISPOSAL OF REMOVED MATERIALS

### 3.4.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified in the Waste Management Plan . Storage of removed materials on the project site is prohibited.

### 3.4.2 Burning on Government Property

Burning of materials removed from demolished and deconstructed structures will not be permitted on Government property .

### 3.4.3 Removal to Spoil Areas on Government Property

Transport noncombustible materials removed from demolition and deconstruction structures to designated spoil areas on Government property.

### 3.4.4 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal disposal. Dispose of waste soil as directed .

## 3.5 REUSE OF SALVAGED ITEMS

Recondition salvaged materials and equipment designated for reuse before installation. Replace items damaged during removal and salvage operations or restore them as necessary to usable condition.

-- End of Section --

SECTION 02 82 00

ASBESTOS REMEDIATION
**11/18, CHG 1: 11/19**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

| | |
|---|---|
| ASSP Z9.2 | (2018) Fundamentals Governing the Design and Operation of Local Exhaust Ventilation Systems |

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM C732 | (2017; R 2022 Standard Test Method for Aging Effects of Artificial Weathering on Latex Sealants |
| ASTM D522/D522M | (2017) Mandrel Bend Test of Attached Organic Coatings |
| ASTM D2794 | (1993; R 2019) Standard Test Method for Resistance of Organic Coatings to the Effects of Rapid Deformation (Impact) |
| ASTM D4397 | (2016) Standard Specification for Polyethylene Sheeting for Construction, Industrial, and Agricultural Applications |
| ASTM E84 | (2023) Standard Test Method for Surface Burning Characteristics of Building Materials |
| ASTM E96/E96M | (2022a; E 2023) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials |
| ASTM E119 | (2022) Standard Test Methods for Fire Tests of Building Construction and Materials |
| ASTM E736/E736M | (2019) Standard Test Method for Cohesion/Adhesion of Sprayed Fire-Resistive Materials Applied to Structural Members |
| ASTM E1368 | (2014) Visual Inspection of Asbestos Abatement Projects |

COMPRESSED GAS ASSOCIATION (CGA)

| | |
|---|---|
| CGA G-7 | (2014) Compressed Air for Human Respiration; 6th Edition |

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)

| | |
|---|---|
| ANSI/ISEA Z87.1 | (2020) Occupational and Educational Personal Eye and Face Protection Devices |

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

| | |
|---|---|
| NFPA 701 | (2023; ERTA 1 2023) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films |

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH (NIOSH)

| | |
|---|---|
| NIOSH NMAM | (2016; 5th Ed) NIOSH Manual of Analytical Methods |

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EM 385-1-1 | (2014) Safety and Health Requirements Manual |

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

| | |
|---|---|
| EPA 340/1-90/018 | (1990) Asbestos/NESHAP Regulated Asbestos Containing Materials Guidance |
| EPA 560/5-85-024 | (1985) Guidance for Controlling Asbestos-Containing Materials in Buildings (Purple Book) |

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

| | |
|---|---|
| 29 CFR 1910.147 | The Control of Hazardous Energy (Lock Out/Tag Out) |
| 29 CFR 1926.51 | Sanitation |
| 29 CFR 1926.59 | Hazard Communication |
| 29 CFR 1926.103 | Respiratory Protection |
| 29 CFR 1926.200 | Accident Prevention Signs and Tags |
| 29 CFR 1926.1101 | Asbestos |
| 40 CFR 61-SUBPART A | General Provisions |
| 40 CFR 61-SUBPART M | National Emission Standard for Asbestos |
| 40 CFR 763 | Asbestos |
| 42 CFR 84 | Approval of Respiratory Protective Devices |
| 49 CFR 107 | Hazardous Materials Program Procedures |

| | |
|---|---|
| 49 CFR 171 | General Information, Regulations, and Definitions |
| 49 CFR 172 | Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements |
| 49 CFR 173 | Shippers - General Requirements for Shipments and Packagings |

UNDERWRITERS LABORATORIES (UL)

| | |
|---|---|
| UL 586 | (2009; Reprint Sep 2022) UL Standard for Safety High-Efficiency Particulate, Air Filter Units |

1.2 DEFINITIONS

1.2.1 ACM

Asbestos Containing Materials.

1.2.2 Amended Water

Water containing a wetting agent or surfactant with a maximum surface tension of 0.00042 psi.

1.2.3 Area Sampling

Sampling of asbestos fiber concentrations which approximates the concentrations of asbestos in the theoretical breathing zone but is not actually collected in the breathing zone of an employee.

1.2.4 Asbestos

The term asbestos includes chrysotile, amosite, crocidolite, tremolite asbestos, anthophyllite asbestos, and actinolite asbestos and any of these minerals that has been chemically treated or altered. Materials are considered to contain asbestos if the asbestos content of the material is determined to be at least one percent.

1.2.5 Asbestos Control Area

That area where asbestos removal operations are performed which is isolated by physical boundaries which assist in the prevention of the uncontrolled release of asbestos dust, fibers, or debris.

1.2.6 Asbestos Fibers

Those fibers having an aspect ratio of at least 3:1 and longer than 5 micrometers as determined by National Institute for Occupational Safety and Health (NIOSH) Method 7400.

1.2.7 Asbestos Permissible Exposure Limit

0.1 fibers per cubic centimeter of air as an 8-hour time weighted average measured in the breathing zone as defined by 29 CFR 1926.1101 or other

Federal legislation having legal jurisdiction for the protection of workers health.

1.2.8 Authorized Person

Any person authorized by the Contractor and required by work duties to be present in the regulated areas.

1.2.9 Background

The ambient airborne asbestos concentration in an uncontaminated area as measured prior to any asbestos hazard abatement efforts. Background concentrations for other (contaminated) areas are measured in similar but asbestos free locations.

1.2.10 Competent Person (CP)

A person meeting the requirements for competent person as specified in 29 CFR 1926.1101 including a person capable of identifying existing asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, who has the authority to take prompt corrective measures to eliminate them, and is specifically trained in a training course which meet the criteria of EPA's Model Accreditation Plan ( 40 CFR 763) for project designer or supervisor, or its equivalent. The competent person must have a current State of North Carolina asbestos contractors or supervisors license.

1.2.11 Contractor

The Contractor is that individual, or entity under contract to perform the herein listed work.

1.2.12 Disposal Bag

A 6 mil thick, leak-tight plastic bag, pre-labeled in accordance with 29 CFR 1926.1101, used for transporting asbestos waste from containment to disposal site.

1.2.13 Disturbance

Activities that disrupt the matrix of ACM, crumble or pulverize ACM, or generate visible debris from ACM. Disturbance includes cutting away small amounts of ACM, no greater than the amount which can be contained in one standard sized glovebag or waste bag, not larger than 60 inches in length and width in order to access a building component.

1.2.14 Encapsulation

The abatement of an asbestos hazard through the appropriate use of chemical encapsulants.

1.2.15 Encapsulants

Specific materials in various forms used to chemically or physically entrap asbestos fibers in various configurations to prevent these fibers from becoming airborne. There are four types of encapsulants as follows which must comply with performance requirements as specified herein.

a. Removal Encapsulant (can be used as a wetting agent)

b. Bridging Encapsulant (used to provide a tough, durable surface coating to asbestos containing material)

c. Penetrating Encapsulant (used to penetrate the asbestos containing material encapsulating all asbestos fibers and preventing fiber release due to routine mechanical damage)

d. Lock-Down Encapsulant (used to seal off or "lock-down" minute asbestos fibers left on surfaces from which asbestos containing material has been removed).

1.2.16 Friable Asbestos Material

A term defined in 40 CFR 61-SUBPART M and EPA 340/1-90/018 meaning any material which contains more than 1 percent asbestos, as determined using the method specified in 40 CFR 763, Polarized Light Microscopy (PLM), that when dry, can be crumbled, pulverized, or reduced to powder by hand pressure.

1.2.17 Glovebag Technique

Those asbestos removal and control techniques put forth in 29 CFR 1926.1101.

1.2.18 Government Consultant (GC)

That qualified person employed directly by the Government to monitor, sample, inspect the work or in some other way advise the Contracting Officer. The GC is normally a private consultant, but can be an employee of the Government.

1.2.19 HEPA Filter Equipment

High efficiency particulate air (HEPA) filtered vacuum and exhaust ventilation equipment with a filter system capable of collecting and retaining asbestos fibers. Filters must retain 99.97 percent of particles 0.3 microns or larger as indicated in UL 586.

1.2.20 Model Accreditation Plan (MAP)

USEPA training accreditation requirements for persons who work with asbestos as specified in 40 CFR 763.

1.2.21 Negative Pressure Enclosure (NPE)

That engineering control technique described as a negative pressure enclosure in 29 CFR 1926.1101.

1.2.22 NESHAP

National Emission Standards for Hazardous Air Pollutants. The USEPA NESHAP regulation for asbestos is at 40 CFR 61-SUBPART M.

1.2.23 Nonfriable Asbestos Material

Material that contains asbestos in which the fibers have been immobilized by a bonding agent, coating, binder, or other material so that the asbestos is well bound and will not normally release asbestos fibers during any appropriate use, handling, storage or transportation. It is

understood that asbestos fibers may be released under other conditions such as demolition, removal, or mishap.

1.2.24 Permissible Exposure Limits (PELs)

1.2.24.1 PEL-Time Weighted Average(TWA)

Concentration of asbestos not in excess of 0.1 fibers per cubic centimeter of air (f/cc) as an 8-hour time weighted average (TWA).

1.2.24.2 PEL-Excursion Limit

An airborne concentration of asbestos not in excess of 1.0 f/cc of air as averaged over a sampling period of 30 minutes.

1.2.25 Personal Sampling

Air sampling which is performed to determine asbestos fiber concentrations within the breathing zone of a specific employee, as performed in accordance with 29 CFR 1926.1101.

1.2.26 Private Qualified Person (PQP)

That qualified person hired by the Contractor to perform the herein listed tasks.

1.2.27 Qualified Person (QP)

A Registered Architect, Professional Engineer, Certified Industrial Hygienist, consultant or other qualified person who has successfully completed training and is therefore accredited under a legitimate State Model Accreditation Plan as described in 40 CFR 763 as a Building Inspector, Contractor/Supervisor Abatement Worker, and Asbestos Project Designer; and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The QP must be qualified to perform visual inspections as indicated in ASTM E1368. The QP must be appropriately licensed in the State of North Carolina.

1.2.28 TEM

Refers to Transmission Electron Microscopy.

1.2.29 Time Weighted Average (TWA)

The TWA is an 8-hour time weighted average airborne concentration of asbestos fibers.

1.2.30 Transite

A generic name for asbestos cement wallboard and pipe.

1.2.31 Wetting Agent

A chemical added to water to reduce the water's surface tension thereby increasing the water's ability to soak into the material to which it is applied. An equivalent wetting agent must have a surface tension of at most 0.00042 psi.

1.2.32 Worker

Individual (not designated as the Competent Person or a supervisor) who performs asbestos work and has completed asbestos worker training required by 29 CFR 1926.1101, to include EPA Model Accreditation Plan (MAP) "Worker" training; accreditation, if required by the OSHA Class of work to be performed or by the state where the work is to be performed.North Carolina

1.3 REQUIREMENTS

1.3.1 Description of Work

The work covered by this section includes the handling and control of asbestos containing materials and describes some of the resultant procedures and equipment required to protect workers, the environment and occupants of the building or area, or both, from contact with airborne asbestos fibers. The work also includes the disposal of any asbestos containing materials generated by the work. More specific operational procedures must be outlined in the Asbestos Hazard Abatement Plan called for elsewhere in this specification. The asbestos work includes the demolition and removal of approximately 11,375 square feet of 12" tan/gray tile and mastic located in 39 units on the 1st floor shown on drawing sheets, and included in the assessment and findings of ACM by the Asbestos Reinspection Building 3673 report dated March 25, 2022, which is governed by 40 CFR 763. It should be noted that three (3) of the 39 units have either carpet or luxury vinyl plank (LVP) flooring which must also be removed. Carpet and LVP have not been inspected for ACM, but both are above and directly in contact with the 12" tan/gray tile and mastic included in the report. Under normal conditions non-friable or chemically bound materials containing asbestos would not be considered hazardous; however, this material may release airborne asbestos fibers during demolition and removal and therefore must be handled in accordance with the removal and disposal procedures as specified herein. Provide negative pressure enclosure techniques as outlined in this specification. The work area will be evacuated during the asbestos abatement work. A competent person must supervise asbestos removal work as specified herein.

1.3.2 Unexpected Discovery of Asbestos

Notify the Contracting Officer if any previously untested building components suspected to contain asbestos are impacted by the work.

1.3.3 Medical Requirements

Provide medical requirements including but not limited to medical surveillance and medical record keeping as listed in 29 CFR 1926.1101.

1.3.3.1 Medical Examinations

Before exposure to airborne asbestos fibers, provide workers with a comprehensive medical examination as required by 29 CFR 1926.1101 or other pertinent State or local directives. This requirement must have been satisfied within the 12 months prior to the start of work on this contract. The same medical examination must be given on an annual basis to employees engaged in an occupation involving asbestos and within 30 calendar days before or after the termination of employment in such occupation. Specifically identify x-ray films of asbestos workers to the consulting radiologist and mark medical record jackets with the word

"ASBESTOS."

1.3.3.2 Medical Records

Maintain complete and accurate records of employees' medical examinations, medical records, and exposure data for a period of 50 years after termination of employment and make records of the required medical examinations and exposure data available for inspection and copying to: The Assistant Secretary of Labor for Occupational Safety and Health (OSHA), or authorized representatives of them, and an employee's physician upon the request of the employee or former employee.

1.3.4 Employee Training

Submit certificates, prior to the start of work but after the main abatement submittal, signed by each employee indicating that the employee has received training in the proper handling of materials and wastes that contain asbestos in accordance with 40 CFR 763; understands the health implications and risks involved, including the illnesses possible from exposure to airborne asbestos fibers; understands the use and limits of the respiratory equipment to be used; and understands the results of monitoring of airborne quantities of asbestos as related to health and respiratory equipment as indicated in 29 CFR 1926.1101 on an initial and annual basis. Organize certificates by individual worker, not grouped by type of certification. Post appropriate evidence of compliance with the training requirements of 40 CFR 763. Train personnel involved in the asbestos control work in accordance with United States Environmental Protection Agency (USEPA) Asbestos Hazard Emergency Response Act (AHERA) training criteria or State training criteria whichever is more stringent. Document the training by providing: dates of training, training entity, course outline, names of instructors, and qualifications of instructors upon request by the Contracting Officer. Furnish each employee with respirator training and fit testing administered by the PQP as required by 29 CFR 1926.1101 and 29 CFR 1926.103. Fully cover engineering and other hazard control techniques and procedures. Asbestos workers must have a current State of North Carolina asbestos worker's license.

1.3.5 Permits and Notifications

Prior to the start of work, obtain necessary permits in conjunction with asbestos removal, encapsulation, hauling, and disposition, and furnish notification of such actions required by Federal, State, regional, and local authorities. Notify the North Carolina Health Hazard Control Unit and the Contracting Officer in writing 10 working days prior to commencement of work in accordance with 40 CFR 61-SUBPART M and North Carolina Asbestos Hazard Management Program Rules. Notify the Contracting Officer and other appropriate Government agencies in writing 20 working days prior to the start of asbestos work as indicated in applicable laws, ordinances, criteria, rules, and regulations. Submit copies of all Notifications to the Contracting Officer.

1.3.6 Environment, Safety and Health Compliance

In addition to detailed requirements of this specification, comply with those applicable laws, ordinances, criteria, rules, and regulations of Federal, State, regional, and local authorities regarding handling, storing, transporting, and disposing of asbestos waste materials. Comply with the applicable requirements of the current issue of EM 385-1-1, 29 CFR 1926.1101, 40 CFR 61-SUBPART A, 40 CFR 61-SUBPART M, and 40 CFR 763.

Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting the work. Where the requirements of this specification, applicable laws, rules, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirement as defined by the Government apply. The following laws, ordinances, criteria, rules and regulations regarding removal, handling, storing, transporting and disposing of asbestos materials apply:

a. North Carolina Asbestos Hazard Managemetn Program Rules as adopted by 15A NCAC 19C.0600

b. "North Carolina Occupational Safety and Health Standards for the Construction Industry, " 29 CFR Part 1926 as adopted by T13 NCAC 07F.0201, and shipyard T13:07F.0500

c. North Carolina General Statutes, Chapter 95, 97, 130..

### 1.3.7 Respiratory Protection Program

Establish and implement a respirator program as required by 29 CFR 1926.1101, and 29 CFR 1926.103. Submit a written description of the program to the Contracting Officer. Submit a written program manual or operating procedure including methods of compliance with regulatory statutes.

#### 1.3.7.1 Respirator Program Records

Submit records of the respirator program as required by 29 CFR 1926.103, and 29 CFR 1926.1101.

#### 1.3.7.2 Respirator Fit Testing

The Contractor's PQP must conduct a qualitative or quantitative fit test conforming to 29 CFR 1926.103 for each worker required to wear a respirator, and any authorized visitors who enter a regulated area where respirators are required to be worn. A respirator fit test must be performed prior to initially wearing a respirator and every 12 months thereafter. If physical changes develop that will affect the fit, a new fit test must be performed. Functional fit checks must be performed each time a respirator is put on and in accordance with the manufacturer's recommendation.

#### 1.3.7.3 Respirator Selection and Use Requirements

Provide respirators, and ensure that they are used as required by 29 CFR 1926.1101 and in accordance with CGA G-7 and the manufacturer's recommendations. Respirators must be approved by the National Institute for Occupational Safety and Health NIOSH, under the provisions of 42 CFR 84, for use in environments containing airborne asbestos fibers. For air-purifying respirators, the particulate filter must be high-efficiency particulate air (HEPA)/(N-,R-,P-100). The initial respirator selection and the decisions regarding the upgrading or downgrading of respirator type must be made by the Contractor's Designated IH based on the measured or anticipated airborne asbestos fiber concentrations to be encountered.

### 1.3.8 Asbestos Hazard Control Supervisor

The Contractor must be represented on site by a supervisor, trained using the model Contractor accreditation plan as indicated in the Federal

statutes for all portions of the herein listed work.

1.3.9 Hazard Communication

Adhere to all parts of 29 CFR 1926.59 and provide the Contracting Officer with a copy of the Safety Data Sheets (SDS) for all materials brought to the site.

1.3.10 Asbestos Hazard Abatement Plan

Submit a detailed plan of the safety precautions such as lockout, tagout, tryout, fall protection, and confined space entry procedures and equipment and work procedures to be used in the removal of materials containing asbestos. The plan, not to be combined with other hazard abatement plans, must be prepared, signed, and sealed by the PQP. Provide a Table of Contents for each abatement submittal, which follows the sequence of requirements in the contract. The plan must include but not be limited to the precise personal protective equipment to be used including, but not limited to, respiratory protection, type of whole-body protection, the location of asbestos control areas including clean and dirty areas, buffer zones, showers, storage areas, change rooms, removal method, interface of trades involved in the construction, sequencing of asbestos related work, disposal plan, type of wetting agent and asbestos sealer to be used, locations of local exhaust equipment, planned air monitoring strategies, and a detailed description of the method to be employed in order to control environmental pollution. The plan must also include (both fire and medical emergency) response plans and an Activity Hazard Analyses (AHAs) in accordance with EM 385-1-1. The Asbestos Hazard Abatement Plan must be approved in writing prior to starting any asbestos work. The Contractor, Asbestos Hazard Control Supervisor,, CP and PQP must meet with the Contracting Officer prior to beginning work, to discuss in detail the Asbestos Hazard Abatement Plan, including work procedures and safety precautions. Once approved by the Contracting Officer, the plan will be enforced as if an addition to the specification. Any changes required in the specification as a result of the plan must be identified specifically in the plan to allow for free discussion and approval by the Contracting Officer prior to starting work.

1.3.11 Testing Laboratory

Submit the name, address, and telephone number of each testing laboratory selected for the analysis, and reporting of airborne concentrations of asbestos fibers along with certification that each laboratory is American Industrial Hygiene Association (AIHA) accredited and that persons counting the samples have been judged proficient by current inclusion on the AIHA Asbestos Analysis Registry (AAR) and successful participation of the laboratory in the Proficiency Analytical Testing (PAT) Program. Where analysis to determine asbestos content in bulk materials or transmission electron microscopy is required, submit evidence that the laboratory is accredited by the National Institute of Science and Technology (NIST) under National Voluntary Laboratory Accreditation Program (NVLAP) for asbestos analysis. The testing laboratory firm must be independent of the asbestos contractor and must have no employee or employer relationship which could constitute a conflict of interest.

1.3.12 Landfill Approval

Submit written evidence that the landfill is approved for asbestos disposal by the U.S. Environmental Protection Agency, Region 4, Air

Enforcement Section (38W12), and local regulatory agencies. Within three working days after delivery, submit detailed delivery tickets, prepared, signed, and dated by an agent of the landfill, certifying the amount of asbestos materials delivered to the landfill. Submit a copy of the waste shipment records within one day of the shipment leaving the project site.

1.3.13 Transporter Certification

Submit written evidence that the transporter is approved to transport asbestos waste in accordance with the DOT requirements of 49 CFR 171, 49 CFR 172 and 49 CFR 173 as well as registration requirements of 49 CFR 107 and all other State and local regulatory agency requirements.

1.3.14 Medical Certification

Provide a written certification for each worker and supervisor, signed by a licensed physician indicating that the worker and supervisor has met or exceeded all of the medical prerequisites listed herein and in 29 CFR 1926.1101 and 29 CFR 1926.103 as prescribed by law. Submit certificates prior to the start of work but after the main abatement submittal.

1.4 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Amended Water

Safety Data Sheets (SDS) for All Materials

Encapsulants

Respirators

Vacuums

SD-06 Test Reports

Air Sampling Results

Pressure Differential Recordings for Local Exhaust System

Clearance Sampling

Asbestos Disposal Quantity Report

SD-07 Certificates

Employee Training

Notifications

Respiratory Protection Program

Asbestos Hazard Abatement Plan

Testing Laboratory

Landfill Approval

Delivery Tickets

Waste Shipment Records

Transporter Certification

Medical Certification

Private Qualified Person Documentation

Designated Competent Person

Worker's License

Contractor's License

Federal, State or Local Citations on Previous Projects

Encapsulants

Equipment Used to Contain Airborne Asbestos Fibers

Water Filtration Equipment

Vacuums

Ventilation Systems

SD-11 Closeout Submittals

Permits

Notifications

Respirator Program Records

Rental Equipment

1.5 QUALITY ASSURANCE

1.5.1 Private Qualified Person Documentation

Submit the name, address, and telephone number of the Private Qualified Person (PQP) selected to prepare the Asbestos Hazard Abatement Plan, direct monitoring and training, and documented evidence that the PQP has successfully completed training in and is accredited and where required is certified as, a Building Inspector, Contractor/Supervisor Abatement Worker, and Asbestos Project Designer as described by 40 CFR 763 and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The PQP must be appropriately licensed in the State of North Carolina as a Project Monitor. The PQP and the asbestos contractor

must not have an employee/employer relationship or financial relationship which could constitute a conflict of interest. The PQP must be a first tier subcontractor.

### 1.5.2 Designated Competent Person Documentation

The Designated Competent Person must be experienced in the administration and supervision of asbestos abatement projects including exposure assessment and monitoring, work practices, abatement methods, protective measures for personnel, setting up and inspecting asbestos abatement work areas, evaluating the integrity of containment barriers, placement and operation of local exhaust systems, ACM generated waste containment and disposal procedures, decontamination units installation and maintenance requirements, site safety and health requirements, notification of other employees onsite. The Designated Competent Person must be on-site at all times when asbestos abatement activities are underway.Submit training certification and a current State of North Carolina Asbestos Contractor's and Supervisor's License. Submit evidence that the Designated Competent Person has a minimum of 2 years of on-the-job asbestos abatement experience relevant to OSHA designated competent person requirements. The Designated Competent Person must be a first tier subcontractor.

### 1.5.3 Worker's License

Submit documentation that workers meet the requirements of 29 CFR 1926.1101, 40 CFR 61-SUBPART M and have a current State of North Carolina Asbestos Workers License.

### 1.5.4 Contractor's License

Submit a copy of the asbestos contractor's license issued by the State of North Carolina. Submit the following certification along with the license: "I certify that the personnel I am responsible for during the course of this project fully understand the contents of 29 CFR 1926.1101, 40 CFR 61-SUBPART MEM 385-1-1, and the Federal, State and local requirements for those asbestos abatement activities that they will be involved in." This certification statement must be signed by the Company's President or Chief Executive.

### 1.5.5 Air Sampling Results

Complete fiber counting and provide results to the PQP and GC for review within 16 hours of the "time off" of the sample pump. Notify the Contracting Officer immediately of any airborne levels of asbestos fibers in excess of the acceptable limits. Submit sampling results to the Contracting Officer and the affected Contractor employees where required by law within three working days, signed by the testing laboratory employee performing air sampling, the employee that analyzed the sample, and the PQP and GC. Notify the Contractor and the Contracting Officer immediately of any variance in the pressure differential which could cause adjacent unsealed areas to have asbestos fiber concentrations in excess of 0.01 fibers per cubic centimeter or background whichever is higher. In no circumstance must levels exceed 0.1 fibers per cubic centimeter.

### 1.5.6 Pressure Differential Recordings for Local Exhaust System

Provide a local exhaust system that creates a negative pressure of at least 0.02 inches of water relative to the pressure external to the enclosure and operate it continuously, 24-hours a day, until the temporary enclosure

of the asbestos control area is removed. Submit pressure differential recordings for each work day to the PQP for review and to the Contracting Officer within 24-hours from the end of each work day.

### 1.5.7 Federal, State or Local Citations on Previous Projects

Submit a statement, signed by an officer of the company, containing a record of any citations issued by Federal, State or local regulatory agencies relating to asbestos activities within the last 5 years (including projects, dates, and resolutions); a list of penalties incurred through non-compliance with asbestos project specifications, including liquidated damages, overruns in scheduled time limitations and resolutions; and situations in which an asbestos-related contract has been terminated (including projects, dates, and reasons for terminations). If there are none, a negative declaration signed by an officer of the company must be provided.

### 1.5.8 Preconstruction Conference

Conduct a safety preconstruction conference to discuss the details of the Asbestos Hazard Abatement Plan, Accident Prevention Plan (APP) including the AHAs required in specification Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS . The safety preconstruction conference must include the Contractor and their Designated Competent Person, Designated IH and Project Supervisor and the Contracting Officer. Deficiencies in the APP will be discussed. Onsite work must not begin until the APP has been accepted.

## 1.6 SECURITY

A log book must be kept documenting entry into and out of the regulated area. Entry into regulated areas must only be by personnel authorized by the Contractor and the Contracting Officer. Personnel authorized to enter regulated areas must be trained, medically evaluated, and wear the required personal protective equipment.

## 1.7 EQUIPMENT

### 1.7.1 Rental Equipment

Provide a copy of the written notification to the rental company concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

# PART 2 PRODUCTS

## 2.1 ENCAPSULANTS

Encapsulants must conform to current USEPA requirements, contain no toxic or hazardous substances as defined in 29 CFR 1926.59, and conform to the following performance requirements.

### 2.1.1 Removal Encapsulants

| Requirement | Test Standard |
|---|---|
| Flame Spread - 25, Smoke Emission - 50 | ASTM E84 |
| Life Expectancy - 20 years | ASTM C732 Accelerated Aging Test |
| Permeability - Minimum 0.4 perms | ASTM E96/E96M |
| Fire Resistance - Negligible affect on fire resistance rating over 3 hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing) | ASTM E119 |
| Impact Resistance - Minimum 43 in/lb | ASTM D2794 Gardner Impact Test |
| Flexibility - no rupture or cracking | ASTM D522/D522M Mandrel Bend Test |

### 2.1.2 Bridging Encapsulant

| Requirement | Test Standard |
|---|---|
| Flame Spread - 25, Smoke Emission - 50 | ASTM E84 |
| Life Expectancy - 20 years | ASTM C732 Accelerated Aging Test |
| Permeability - Minimum 0.4 perms | ASTM E96/E96M |
| Fire Resistance - Negligible affect on fire resistance rating over 3-hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing) | ASTM E119 |
| Impact Resistance - Minimum 43 in/lb | ASTM D2794 Gardner Impact Test |
| Flexibility - no rupture or cracking | ASTM D522/D522M Mandrel Bend Test |

### 2.1.3 Penetrating Encapsulant

| Requirement | Test Standard |
|---|---|
| Flame Spread - 25, Smoke Emission - 50 | ASTM E84 |

| Requirement | Test Standard |
|---|---|
| Life Expectancy - 20 years | ASTM C732 Accelerated Aging Test |
| Permeability - Minimum 0.4 perms | ASTM E96/E96M |
| Cohesion/Adhesion Test - 50 pounds of force/foot | ASTM E119 |
| Fire Resistance - Negligible affect on fire resistance rating over 3-hour test (Classified by UL for use over fibrous and cementitious sprayed fireproofing) | ASTM E119 |
| Impact Resistance - Minimum 43 in/lb | ASTM D2794 Gardner Impact Test |
| Flexibility - no rupture or cracking | ASTM D522/D522M Mandrel Bend Test |

2.1.4 Lock-down Encapsulant

| Requirement | Test Standard |
|---|---|
| Flame Spread - 25, Smoke Emission - 50 | ASTM E84 |
| Life Expectancy - 20 years | ASTM C732 Accelerated Aging Test |
| Permeability - Minimum 0.4 perms | ASTM E96/E96M |
| Fire Resistance - Negligible affect on fire resistance rating over 3-hour test (Tested with fireproofing over encapsulant applied directly to steel member) | ASTM E119 |
| Bond Strength: 100 pounds of force/foot | ASTM E736/E736M |
| (Tests compatibility with cementitious and fibrous fireproofing) | |

2.2 DUCT TAPE

Industrial grade duct tape of appropriate widths suitable for bonding sheet plastic and disposal container.

## 2.3 DISPOSAL CONTAINERS

Leak-tight (defined as solids, liquids, or dust that cannot escape or spill out) disposal containers must be provided for ACM wastes as required by 29 CFR 1926.1101. Disposal containers can be in the form of:

a. Disposal Bags

b. Fiberboard Drums

c. Cardboard Boxes

## 2.4 SHEET PLASTIC

Sheet plastic must be polyethylene of 6 mil minimum thickness and must be provided in the largest sheet size necessary to minimize seams. Film must be and conform to ASTM D4397, except as specified below

### 2.4.1 Flame Resistant

Where a potential for fire exists, flame-resistant sheets must be provided. Film must be frosted or black and must conform to the requirements of NFPA 701.

### 2.4.2 Reinforced

Reinforced sheets must be provided where high skin strength is required, such as where it constitutes the only barrier between the regulated area and the outdoor environment. The sheet stock must consist of translucent, nylon-reinforced or woven-polyethylene thread laminated between 2 layers of polyethylene film. Film must meet flame resistant standards of NFPA 701.

## 2.5 MASTIC REMOVING SOLVENT

Mastic removing solvent must be nonflammable and must not contain methylene chloride, glycol ether, or halogenated hydrocarbons. Solvents used onsite must have a flash point greater than 140 degrees F.

## 2.6 LEAK-TIGHT WRAPPING

Two layers of 6 mil minimum thick polyethylene sheet stock must be used for the containment of removed asbestos-containing components or materials such as large tanks, boilers, insulated pipe segments and other materials. Upon placement of the ACM component or material, each layer must be individually leak-tight sealed with duct tape.

## 2.7 VIEWING INSPECTION WINDOW

Where feasible, a minimum of one clear, 1/8 inch thick, acrylic sheet, 18 by 24 inches, must be installed as a viewing inspection window at eye level on a wall in each containment enclosure. The windows must be sealed leak-tight with industrial grade duct tape.

## 2.8 WETTING AGENTS

Removal encapsulant (a penetrating encapsulant) must be provided when conducting removal abatement activities that require a longer removal time or are subject to rapid evaporation of amended water. The removal encapsulant must be capable of wetting the ACM and retarding fiber release

during disturbance of the ACM greater than or equal to that provided by amended water. Performance requirements for penetrating encapsulants are specified in paragraph ENCAPSULANTS above.

## PART 3 EXECUTION

### 3.1 EQUIPMENT

Provide the Contracting Officer or the Contracting Officer's Representative, with at least two complete sets of personal protective equipment as required for entry to and inspection of the asbestos control area. Provide equivalent training to the Contracting Officer or a designated representative as provided to Contractor employees in the use of the required personal protective equipment. Provide manufacturer's certificate of compliance for all equipment used to contain airborne asbestos fibers.

#### 3.1.1 Air Monitoring Equipment

The Contractor's PQP must approve air monitoring equipment. The equipment must include, but must not be limited to:

a. High-volume sampling pumps that can be calibrated and operated at a constant airflow up to 16 liters per minute.

b. Low-volume, battery powered, body-attachable, portable personal pumps that can be calibrated to a constant airflow up to approximately 3.5 liters per minute, and a self-contained rechargeable power pack capable of sustaining the calibrated flow rate for a minimum of 10 hours. The pumps must also be equipped with an automatic flow control unit which must maintain a constant flow, even as filter resistance increases due to accumulation of fiber and debris on the filter surface.

c. Single use standard 25 mm diameter cassette, open face, 0.8 micron pore size, mixed cellulose ester membrane filters and cassettes with 50 mm electrically conductive extension cowl, and shrink bands for personal air sampling.

d. Single use standard 25 mm diameter cassette, open face, 0.45 micron pore size, mixed cellulose ester membrane filters and cassettes with 50 mm electrically conductive cowl, and shrink bands when conducting environmental area sampling using NIOSH NMAM Methods 7400 and 7402, (and the transmission electric microscopy method specified at 40 CFR 763 if required).

e. A flow calibrator capable of calibration to within plus or minus 2 percent of reading over a temperature range of minus 4 to plus 140 degrees F and traceable to a NIST primary standard.

#### 3.1.2 Respirators

Select respirators from those approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services.

##### 3.1.2.1 Respirators for Handling Asbestos

Provide personnel engaged in pre-cleaning, cleanup, handling, removaland

demolition of asbestos materials with respiratory protection as indicated in 29 CFR 1926.1101 and 29 CFR 1926.103. Breathing air must comply with CGA G-7.

3.1.3 Exterior Whole Body Protection

3.1.3.1 Outer Protective Clothing

Provide personnel exposed to asbestos with disposable "non-breathable," whole body outer protective clothing, head coverings, gloves, and foot coverings. Provide disposable plastic or rubber gloves to protect hands. Cloth gloves may be worn inside the plastic or rubber gloves for comfort, but must not be used alone. Make sleeves secure at the wrists, make foot coverings secure at the ankles, and make clothing secure at the neck by the use of tape.

3.1.3.2 Work Clothing

Provide cloth work clothes for wear under the outer protective clothing and foot coverings and either dispose of or properly decontaminate them as recommended by the GC after each use.

3.1.3.3 Personal Decontamination Unit

Provide a temporary, negative pressure unit with a separate decontamination locker room and clean locker room with a shower that complies with 29 CFR 1926.51(f)(4)(ii) through (V) in between for personnel required to wear whole body protective clothing. Provide two separate lockers for each asbestos worker, one in each locker room. Keep street clothing and street shoes in the clean locker. HEPA vacuum and remove asbestos contaminated disposable protective clothing while still wearing respirators at the boundary of the asbestos work area and seal in impermeable bags or containers for disposal. HEPA vacuum and remove asbestos contaminated reusable protective clothing while still wearing respirators at the boundary of the asbestos work area, seal in two impermeable bags, label outer bag as asbestos contaminated waste, and transport for decontamination. Do not wear work clothing between home and work. Locate showers between the decontamination locker room and the clean locker room and require that all employees shower before changing into street clothes. Collect used shower water and filter with approved water filtration equipment to remove asbestos contamination. Wastewater filters must be installed in series with the first stage pore size 20 microns and the second stage pore size of 5 microns. Dispose of filters and residue as asbestos waste. Discharge clean water to the sanitary system. Dispose of asbestos contaminated work clothing as asbestos contaminated waste or properly decontaminate as specified in the Contractor's Asbestos Hazard Abatement Plan. Keep the floor of the decontamination unit's clean room dry and clean at all times. Proper housekeeping and hygiene requirements must be maintained. Provide soap and towels for showering, washing and drying. Cloth towels provided must be disposed of as ACM waste or must be laundered in accordance with 29 CFR 1926.1101. Physically attach the decontamination units to the asbestos control area. Construct both a personnel decontamination unit and an equipment decontamination unit onto and integral with each asbestos control area.

3.1.3.4 Eye Protection

Provide eye protection that complies with ANSI/ISEA Z87.1 when operations

present a potential eye injury hazard. Provide goggles to personnel engaged in asbestos abatement operations when the use of a full face respirator is not required.

### 3.1.4 Regulated Areas

All Class I, II, and III asbestos work must be conducted within regulated areas. The regulated area must be demarcated to minimize the number of persons within the area and to protect persons outside the area from exposure to airborne asbestos. Control access to regulated areas, ensure that only authorized personnel enter, and verify that Contractor required medical surveillance, training and respiratory protection program requirements are met prior to allowing entrance.

### 3.1.5 Load-out Unit

Provide a temporary load-out unit that is adjacent and connected to the regulated area and access tunnel. Attach the load-out unit in a leak-tight manner to each regulated area.

### 3.1.6 Warning Signs and Labels

Provide bilingual warning signs printed in English and Spanish at all approaches to asbestos control areas. Locate signs at such a distance that personnel may read the sign and take the necessary protective steps required before entering the area. Provide labels and affix to all asbestos materials, scrap, waste, debris, and other products contaminated with asbestos. Containers with preprinted warning labels conforming to the requirements are acceptable

#### 3.1.6.1 Warning Sign

Provide vertical format conforming to 29 CFR 1926.200, and 29 CFR 1926.1101 minimum 20 by 14 inches displaying the following legend in the lower panel:

| Legend | Notation |
|---|---|
| DANGER | one inch Sans Serif Gothic or Block |
| ASBESTOS | one inch Sans Serif Gothic or Block |
| MAY CAUSE CANCER | one inch Sans Serif Gothic or Block |
| CAUSES DAMAGE TO LUNGS | 1/4 inch Sans Serif Gothic or Block |
| AUTHORIZED PERSONNEL ONLY | 1/4 inch Sans Serif Gothic or Block |

| Legend | Notation |
|---|---|
| WEAR RESPIRATORY PROTECTION AND PROTECTIVE CLOTHING IN THIS AREA | 1/4 inch Sans Serif Gothic or Block |

Spacing between lines must be at least equal to the height of the upper of any two lines.

3.1.6.2 Warning Labels

Provide labels conforming to 29 CFR 1926.1101 of sufficient size to be clearly legible, displaying the following legend:

| DANGER |
|---|
| CONTAINS ASBESTOS FIBERS |
| MAY CAUSE CANCER |
| CAUSES DAMAGE TO LUNGS |
| DO NOT BREATHE DUST AVOID CREATING DUST |

3.1.7 Local Exhaust System

Provide a local exhaust system in the asbestos control area in accordance with ASSP Z9.2 and 29 CFR 1926.1101 that will provide at least four air changes per hour inside of the negative pressure enclosure. Maintain a minimum pressure differential in the control area of minus 0.02 inch of water column relative to adjacent, unsealed areas. The building ventilation system must not be used as the local exhaust system for the asbestos control area. Filters on exhaust equipment must conform to ASSP Z9.2 and UL 586. Terminate the local exhaust system out of doors and remote from any public access or ventilation system intakes.

3.1.8 Tools

Vacuums must be leak proof to the filter and equipped with HEPA filters. Filters on vacuums must conform to ASSP Z9.2 and UL 586. Do not use power tools to remove asbestos containing materials unless the tool is equipped with effective, integral HEPA filtered exhaust ventilation systems. Remove all residual asbestos from reusable tools prior to storage or reuse. Reusable tools must be thoroughly decontaminated prior to being removed from the regulated areas.

3.1.9 Rental Equipment

If rental equipment is to be used, furnish written notification to the rental agency concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

3.1.10 Single Stage Decontamination Area

A decontamination area (equipment room/area) must be provided for Class I

work involving less than 25 feet or 10 square feet of TSI or surfacing ACM, and for Class II and Class III asbestos work operations where exposures exceed the PELs or where there is no negative exposure assessment. The equipment room or area must be adjacent to the regulated area for the decontamination of employees, material, and their equipment which could be contaminated with asbestos. The area must be covered by an impermeable drop cloth on the floor or horizontal working surface. The area must be of sufficient size to accommodate cleaning of equipment and removing personal protective equipment without spreading contamination beyond the area.

3.1.11 Decontamination Area Exit Procedures

Ensure that the following procedures are followed:

a. Before leaving the regulated area, remove all gross contamination and debris from work clothing using a HEPA vacuum.

b. Employees must remove their protective clothing in the equipment room and deposit the clothing in labeled impermeable bags or containers for disposal or laundering.

c. Employees must not remove their respirators until showering.

d. Employees must shower prior to entering the clean room. If a shower has not been located between the equipment room and the clean room or the work is performed outdoors, ensure that employees engaged in Class I asbestos jobs: a) Remove asbestos contamination from their work suits in the equipment room or decontamination area using a HEPA vacuum before proceeding to a shower that is not adjacent to the work area; or b) Remove their contaminated work suits in the equipment room, without cleaning worksuits, and proceed to a shower that is not adjacent to the work area.

3.2 WORK PROCEDURE

Perform asbestos related work in accordance with 29 CFR 1926.1101, 40 CFR 61-SUBPART M, and as specified herein. Use negative pressure enclosure techniques for the floor tile removal. Wear and utilize protective clothing and equipment as specified herein. No eating, smoking, drinking, chewing gum, tobacco, or applying cosmeticsis permitted in the asbestos work or control areas. Personnel of other trades not engaged in the removal and demolition of asbestos containing material must not be exposed at any time to airborne concentrations of asbestos unless all the personnel protection and training provisions of this specification are complied with by the trade personnel. Shut down the building heating, ventilating, and air conditioning system, cap the openings to the system, and provide temporary heating, and ventilation, and air conditioning prior to the commencement of asbestos work. Power to the regulated area must be locked-out and tagged in accordance with 29 CFR 1910.147. Disconnect electrical service when wet removal is performed and provide temporary electrical service with verifiable ground fault circuit interrupter (GFCI) protection prior to the use of any water. All electrical work must be performed by a licensed electrician. Stop abatement work in the regulated area immediately when the airborne total fiber concentration: (1) equals or exceeds 0.01 f/cc, or the pre-abatement concentration, whichever is greater, outside the regulated area; or (2) equals or exceeds 1.0 f/cc inside the regulated area. Correct the condition to the satisfaction of the Contracting Officer, including visual inspection and air sampling.

Work must resume only upon notification by the Contracting Officer. Corrective actions must be documented. If an asbestos fiber release or spill occurs, stop work immediately, correct the condition to the satisfaction of the Contracting Officer including clearance sampling, prior to resumption of work.

3.2.1 Building Ventilation System and Critical Barriers

Building ventilation system supply and return air ducts in a regulated area must be shut down and isolated by lockable switch or other positive means in accordance with 29 CFR 1910.147 or isolated by airtight seals to prevent the spread of contamination throughout the system. The airtight seals must consist of 2 layers of polyethylene. Edges to wall, ceiling and floor surfaces must be sealed with industrial grade duct tape.

a. A Competent Person must supervise the work.

b. For indoor work, critical barriers must be placed over all openings to the regulated area.

c. Impermeable dropcloths must be placed on surfaces beneath all removal activity.

3.2.2 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent work. Where such work is damaged or contaminated as verified by the Contracting Officer using visual inspection or sample analysis, it must be restored to its original condition or decontaminated by the Contractor at no expense to the Government as deemed appropriate by the Contracting Officer. This includes inadvertent spill of dirt, dust, or debris in which it is reasonable to conclude that asbestos may exist. When these spills occur, stop work immediately. Then clean up the spill. When satisfactory visual inspection and air sampling results are obtained from the PQP work may proceed at the discretion of the Contracting Officer.

3.2.3 Furnishings

Furniture and equipment will be removed from the area of work by the Government before asbestos work begins.

3.2.4 Precleaning

Wet wipe and HEPA vacuum all surfaces potentially contaminated with asbestos prior to establishment of an enclosure.

3.2.5 Asbestos Control Area Requirements

3.2.5.1 Negative Pressure Enclosure

Removal of asbestos containing tan/gray tile and mastic require the use of a negative pressure enclosure. Block and seal openings in areas where the release of airborne asbestos fibers can be expected. Establish an asbestos negative pressure enclosure with the use of curtains, portable partitions, or other enclosures in order to prevent the escape of asbestos fibers from the contaminated asbestos work area. Negative pressure enclosure development must include protective covering of uncontaminated walls, and ceilings with a continuous membrane of two layers of minimum 6-mil plastic sheet sealed with tape to prevent water or other damage.

Provide two layers of 6-mil plastic sheet over floors and extend a minimum of 12 inches up walls. Seal all joints with tape. Provide local exhaust system in the asbestos control area. Openings will be allowed in enclosures of asbestos control areas for personnel and equipment entry and exit, the supply and exhaust of air for the local exhaust system and the removal of properly containerized asbestos containing materials. Replace local exhaust system filters as required to maintain the efficiency of the system.

3.2.5.2 Regulated Area for Class II Removal

Removal of asbestos containing floor tile/mastic,carpet/mastic, are Class II removal activities. Establish designated limits for the asbestos regulated work area with the use of red barrier tape; install critical barriers, splash guards and signs, and maintain all other requirements for asbestos control area except local exhaust. Place impermeable dropcloths on surfaces beneath removal activity extending out 3 feet in all directions. A detached decontamination system may be used. Conduct area monitoring of airborne fibers during the work shift at the designated limits of the asbestos work area and conduct personal samples of each worker engaged in the work. If workers the airborne fiber concentration of the workers or designated limits at any time exceeds background or 0.01 fibers per cubic centimeter, whichever is greater, stop work immediately and correct the situation.

3.2.6 Removal Procedures

Wet asbestos material with a fine spray of amended water during removal, cutting, or other handling so as to reduce the emission of airborne fibers. Remove material and immediately place in 6 mil plastic disposal bags. Remove asbestos containing material in a gradual manner, with continuous application of the amended water or wetting agent in such a manner that no asbestos material is disturbed prior to being adequately wetted. Where unusual circumstances prohibit the use of 6 mil plastic bags, submit an alternate proposal for containment of asbestos fibers to the Contracting Officer for approval. For example, in the case where both piping and insulation are to be removed, the Contractor may elect to wet the insulation, wrap the pipes and insulation in plastic and remove the pipe by sections. Containerize asbestos containing material while wet. Do not allow asbestos material to accumulate or become dry. Lower and otherwise handle asbestos containing material as indicated in 40 CFR 61-SUBPART M.

3.2.6.1 Sealing Contaminated Items Designated for Disposal

Remove contaminated architectural, mechanical, and electrical appurtenances such as venetian blinds, full-height partitions, carpeting, duct work, pipes and fittings, radiators, light fixtures, conduit, panels, and other contaminated items designated for removal by completely coating the items with an asbestos lock-down encapsulant at the demolition site before removing the items from the asbestos control area. These items need not be vacuumed. The asbestos lock-down encapsulant must be tinted a contrasting color and spray-applied by airless method. Thoroughness of sealing operation must be visually gauged by the extent of colored coating on exposed surfaces. Lock-down encapsulants must comply with the performance requirements specified herein.

3.2.7 Methods of Compliance

3.2.7.1 Mandated Practices

The specific abatement techniques and items identified must be detailed in the Contractor's AHAP. Use the following engineering controls and work practices in all operations, regardless of the levels of exposure:

a. Vacuum cleaners equipped with HEPA filters.

b. Wet methods or wetting agents except where it can be demonstrated that the use of wet methods is unfeasible due to the creation of electrical hazards, equipment malfunction, and in roofing.

c. Prompt clean-up and disposal.

d. Inspection and repair of polyethylene.

e. Cleaning of equipment and surfaces of containers prior to removing them from the equipment room or area.

3.2.7.2 Control Methods

Use the following control methods:

a. Local exhaust ventilation equipped with HEPA filter;

b. Enclosure or isolation of processes producing asbestos dust;

c. Where the feasible engineering and work practice controls are not sufficient to reduce employee exposure to or below the PELs, use them to reduce employee exposure to the lowest levels attainable and must supplement them by the use of respiratory protection.

3.2.7.3 Unacceptable Practices

The following work practices must not be used:

a. High-speed abrasive disc saws that are not equipped with point of cut ventilator or enclosures with HEPA filtered exhaust air.

b. Compressed air used to remove asbestos containing materials, unless the compressed air is used in conjunction with an enclosed ventilation system designed to capture the dust cloud created by the compressed air.

c. Dry sweeping, shoveling, or other dry clean up.

d. Employee rotation as a means of reducing employee exposure to asbestos.

3.2.8 Specific Control Methods for Class I Work

3.2.8.1 Negative Pressure Enclosure (NPE) System

The system must provide at least four air changes per hour inside the containment. The local exhaust unit equipment must be operated 24-hours per day until the containment is removed. The NPE must be smoke tested

for leaks at the beginning of each shift and be sufficient to maintain a minimum pressure differential of minus 0.02 inch of water column relative to adjacent, unsealed areas. Pressure differential must be monitored continuously, 24-hours per day, with an automatic manometric recording instrument and Records must be provided daily on the same day collected to the Contracting Officer. The Contracting Officer must be notified immediately if the pressure differential falls below the prescribed minimum. The building ventilation system must not be used as the local exhaust system for the regulated area. The NPE must terminate outdoors unless an alternate arrangement is allowed by the Contracting Officer. All filters used must be new at the beginning of the project and must be periodically changed as necessary and disposed of as ACM waste.

3.2.8.2 Mini-Enclosure

Mini-containment (small walk-in enclosure) to accommodate no more than two persons, may be used if the disturbance or removal can be completely contained by the enclosure. The mini-enclosure must be inspected for leaks and smoke tested before each use. Air movement must be directed away from the employee's breathing zone within the mini-enclosure.

3.2.9 Class II Work Procedures

In addition to the requirements of paragraphs MANDATED PRACTICES and CONTROL METHODS, the following engineering controls and work practices must be used:

a. A Competent Person must supervise the work.

b. For indoor work, critical barriers must be placed over all openings to the regulated area.

c. Impermeable dropcloths must be placed on surfaces beneath all removal activity.

3.2.10 Specific Control Methods for Class II Work

3.2.10.1 Vinyl and Asphaltic Flooring Materials Carpet and Mastic

Establish designated limits for the asbestos regulated work area with the use of red barrier tape, critical barriers, signs, and maintain all other requirements for asbestos control area except local exhaust. A detached decontamination system may be used. When removing vinyl floor tile and masticcarpet and mastic which contains ACM, use the following practices. Remove using adequately wet methods. Remove intact (if possible). Do not sand flooring or its backing. Scrape residual adhesive and backing using wet methods. Mechanical chipping is prohibited unless performed in a negative pressure enclosure. Dry sweeping is prohibited. Use vacuums equipped with HEPA filter, disposable dust bag, and metal floor tool (no brush) to clean floors. Place debris into a 6-mil minimum thickness disposal bag or other approved container. Once the material is in the disposal bag, apply additional water as needed to achieve "adequately wet" conditions for NESHAP compliance. Place bagged asbestos waste under negative pressure with the use of a HEPA vacuum, goose neck and duck tape to seal the bag, wash to remove any visible contamination and place into a second 6-mil minimum thickness disposal bag. Containerize asbestos containing waste while wet. Lower and otherwise handle asbestos containing materials as indicated in 40 CFR 61-SUBPART M. Conduct area monitoring of airborne fibers during the work shift at the designated

limits of the asbestos work area and conduct personal samples of each worker engaged in the work. If workers the airborne fiber concentration of the workers or designated limits at any time exceeds background or 0.01 fibers per cubic centimeter, whichever is greater, stop work immediately and correct the situation.

3.2.10.2 Sealants and Mastic

Establish designated limits for the asbestos regulated work area with the use of red barrier tape, critical barriers and signs, and maintain all other requirements for asbestos control area except local exhaust. Spread 6-mil plastic sheeting on the ground around the perimeter of the work area extending out in all directions. Using adequately wet methods, carefully remove the ACM sealants and mastics using a scraper of knife blade. As it is removed place the material into a disposal bag. Make every effort to keep the asbestos material from falling to the ground or work area floor below. Dry sweeping is prohibited. Use vacuums equipped with HEPA filter and disposable dust bag. Place debris into a 6-mil minimum thickness disposal bag or other approved container. Once the material is in the disposal bag, apply additional water as needed to achieve "adequately wet" conditions for NESHAP compliance. Place bagged asbestos waste under negative pressure with the use of a HEPA vacuum, goose neck and duck tape to seal the bag, wash to remove any visible contamination and place into a second 6-mil minimum thickness disposal bag. Containerize asbestos containing waste while wet. Lower and otherwise handle asbestos containing materials as indicated in 40 CFR 61-SUBPART M. Conduct area monitoring of airborne fibers during the work shift at the designated limits of the asbestos work area and conduct personal samples of each worker engaged in the work. If the airborne fiber concentration of the workers or at designated limits at any time exceeds background or 0.01 fibers per cubic centimeter, whichever is greater, stop work immediately and correct the situation.

3.2.11 Air Sampling

Perform sampling of airborne concentrations of asbestos fibers in accordance with 29 CFR 1926.1101, the Contractor's air monitoring plan and as specified herein. Sampling performed in accordance with 29 CFR 1926.1101 must be performed by the PQP. Unless otherwise specified, use NIOSH Method 7400 for sampling and analysis. Monitoring may be duplicated by the Government at the discretion of the Contracting Officer. If the air sampling results obtained by the Government differ from those results obtained by the Contractor, the Government will determine which results predominate. Results of breathing zone samples must be posted at the job site and made available to the Contracting Officer. Submit all documentation regarding initial exposure assessments, negative exposure assessments, and air-monitoring results.

3.2.11.1 Sampling Prior to Asbestos Work

Provide area air sampling and establish the baseline one day prior to the masking and sealing operations for each removal site. Establish the background by performing area sampling in similar but uncontaminated sites in the building.

3.2.11.2 Sampling During Asbestos Work

The PQP must provide personal and area sampling as indicated in 29 CFR 1926.1101 and governing environmental regulations. Breathing zone

samples must be taken for at least 25 percent of the workers in each shift, or a minimum of two, whichever is greater. Air sample fiber counting must be completed and results provided within 24-hours (breathing zone samples), and 24 hours (environmental/clearance monitoring) after completion of a sampling period. In addition, provided the same type of work is being performed, provide area sampling at least once every work shift close to the work inside the enclosure, outside the clean room entrance to the enclosure, and at the exhaust opening of the local exhaust system. If sampling outside the enclosure shows airborne levels have exceeded background or 0.01 fibers per cubic centimeter, whichever is greater, stop all work, correct the condition(s) causing the increase, and notify the Contracting Officer immediately. The written results must be signed by testing laboratory analyst, testing laboratory principal and the Contractor's PQP. The air sampling results must be documented on a Contractor's daily air monitoring log.

3.2.11.3 Final Clearance Requirements, NIOSH PCM Method

For PCM sampling and analysis using NIOSH NMAM Method 7400, the fiber concentration inside the abated regulated area, for each airborne sample, must be less than 0.01 f/cc. The abatement inside the regulated area is considered complete when every PCM final clearance sample is below the clearance limit. If any sample result is greater than 0.01 total f/cc, the asbestos fiber concentration (asbestos f/cc) must be confirmed from that same filter using NIOSH NMAM Method 7402 (TEM) at Contractor's expense. If any confirmation sample result is greater than 0.01 asbestos f/cc, abatement is incomplete and cleaning must be repeated at the Contractor's expense. Upon completion of any required recleaning, resampling with results to meet the above clearance criteria must be done at the Contractor's expense.

3.2.11.4 Final Clearance Requirements, EPA TEM Method

For EPA TEM sampling and analysis, using the EPA Method specified in 40 CFR 763, abatement inside the regulated area is considered complete when the arithmetic mean asbestos concentration of the five inside samples is less than or equal to 70 structures per square millimeter (70 S/mm). When the arithmetic mean is greater than 70 S/mm, the three blank samples must be analyzed. If the three blank samples are greater than 70 S/mm, resampling must be done. If less than 70 S/mm, the five outside samples must be analyzed and a Z-test analysis performed. When the Z-test results are less than 1.65, the decontamination must be considered complete. If the Z-test results are more than 1.65, the abatement is incomplete and cleaning must be repeated. Upon completion of any required recleaning, resampling with results to meet the above clearance criteria must be done at the Contractor's expense.

3.2.11.5 Sampling After Final Clean-Up (Clearance Sampling)

Provide area sampling of asbestos fibers using aggressive air sampling techniques as defined in the EPA 560/5-85-024 and establish an airborne asbestos concentration of less than 0.01 fibers per cubic centimeter after final clean-up but before removal of the enclosure or the asbestos work control area. After final cleanup and the asbestos control area is dry but prior to clearance sampling, the PQP must perform a visual inspection in accordance with ASTM E1368 to ensure that the asbestos control and work area is free of any accumulations of dirt, dust, or debris. Perform at least five samples. The asbestos fiber counts from these samples must be less than 0.01 fibers per cubic centimeter or be not greater than the

background, whichever is greater. Should any of the final samples indicate a higher value take appropriate actions to re-clean the area and repeat the sampling and analysis at the Contractor's expense.

3.2.11.6 Air Clearance Failure

If clearance sampling results fail to meet the final clearance requirements, pay all costs associated with the required recleaning, resampling, and analysis, until final clearance requirements are met.

3.2.12 Site Inspection

While performing asbestos engineering control work, the Contractor must be subject to on-site inspection by the Contracting Officer who may be assisted by or represented by safety or industrial hygiene personnel. If the work is found to be in violation of this specification, the Contracting Officer or his representative will issue a stop work order to be in effect immediately and until the violation is resolved. All related costs including standby time required to resolve the violation must be at the Contractor's expense.

3.3 CLEAN-UP AND DISPOSAL

3.3.1 Housekeeping

Essential parts of asbestos dust control are housekeeping and clean-up procedures. Maintain surfaces of the asbestos control area free of accumulations of asbestos fibers. Give meticulous attention to restricting the spread of dust and debris; keep waste from being distributed over the general area. Use HEPA filtered vacuum cleaners. DO NOT BLOW DOWN THE SPACE WITH COMPRESSED AIR. When asbestos removal is complete, all asbestos waste is removed from the work-site, and final clean-up is completed, the Contracting Officer will attest that the area is safe before the signs can be removed. After final clean-up and acceptable airborne concentrations are attained but before the HEPA unit is turned off and the enclosure removed, remove all pre-filters on the building HVAC system and provide new pre-filters. Dispose of filters as asbestos contaminated materials. Reestablish HVAC mechanical, and electrical systems in proper working order. The Contracting Officer will visually inspect all surfaces within the enclosure for residual material or accumulated dust or debris. The Contractor must re-clean all areas showing dust or residual materials. If re-cleaning is required, air sample and establish an acceptable asbestos airborne concentration after re-cleaning. The Contracting Officer must agree that the area is safe in writing before unrestricted entry will be permitted. The Government must have the option to perform monitoring to determine if the areas are safe before entry is permitted.

3.3.2 Title to Materials

All waste materials, except as specified otherwise, become the property of the Contractor and must be disposed of as specified in applicable local, State, and Federal regulations and herein.

3.3.3 Disposal of Asbestos

3.3.3.1 Procedure for Disposal

Coordinate all waste disposal manifests with the Contracting Officer MCAS

Cherry Point Environmental Affairs Dept. Collect asbestos waste, contaminated waste water filters, asbestos contaminated water, scrap, debris, bags, containers, equipment, and asbestos contaminated clothing which may produce airborne concentrations of asbestos fibers and place in sealed fiber-proof, waterproof, non-returnable containers (e.g. double plastic bags 6 mils thick, cartons, drums or cans). Wastes within the containers must be adequately wet in accordance with 40 CFR 61-SUBPART M. Affix a warning and Department of Transportation (DOT) label to each container including the bags or use at least 6 mils thick bags with the approved warnings and DOT labeling preprinted on the bag. Clearly indicate on the outside of each container the name of the waste generator and the location at which the waste was generated. Prevent contamination of the transport vehicle (especially if the transport vehicle is a rented truck likely to be used in the future for non-asbestos purposes). These precautions include lining the vehicle cargo area with plastic sheeting (similar to work area enclosure) and thorough cleaning of the cargo area after transport and unloading of asbestos debris is complete. Dispose of waste asbestos material at an Environmental Protection Agency (EPA) or State-approved asbestos landfill off Government property. For temporary storage, store sealed impermeable bags in asbestos waste drums or skids. An area for interim storage of asbestos waste-containing drums or skids will be assigned by the Contracting Officer or his authorized representative. Comply with 40 CFR 61-SUBPART M, State, regional, and local standards for hauling and disposal. Sealed plastic bags may be dumped from drums into the burial site unless the bags have been broken or damaged. Damaged bags must remain in the drum and the entire contaminated drum must be buried. Uncontaminated drums may be recycled. Workers unloading the sealed drums must wear appropriate respirators and personal protective equipment when handling asbestos materials at the disposal site.

#### 3.3.3.2 Asbestos Disposal Quantity Report

Allow the GC to inspect, record and report the amount of asbestos containing material removed and released for disposal on a daily basis.

-- End of Section --

SECTION 06 41 16.00 10

PLASTIC-LAMINATE-CLAD ARCHITECTURAL CABINETS
**08/10, CHG 1: 11/18**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

| | |
|---|---|
| ANSI A161.2 | (1998) Decorative Laminate Countertops, Performance Standards for Fabricated High Pressure |

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM D1037 | (2012; R 2020) Standard Test Methods for Evaluating Properties of Wood-Base Fiber and Particle Panel Materials |
| ASTM E84 | (2023) Standard Test Method for Surface Burning Characteristics of Building Materials |
| ASTM F547 | (2017) Standard Terminology of Nails for Use with Wood and Wood-Base Materials |

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)

| | |
|---|---|
| ANSI/BHMA A156.9 | (2020) Cabinet Hardware |

COMPOSITE PANEL ASSOCIATION (CPA)

| | |
|---|---|
| ANSI/CPA A208.1 | (2022) Particleboard |
| CPA A208.2 | (2016) Medium Density Fiberboard (MDF) for Interior Applications |

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

| | |
|---|---|
| ANSI/NEMA LD 3 | (2005) Standard for High-Pressure Decorative Laminates |

WINDOW AND DOOR MANUFACTURERS ASSOCIATION (WDMA)

| | |
|---|---|
| ANSI/WDMA I.S.1A | (2013) Interior Architectural Wood Flush Doors |

WOODWORK INSTITUTE (WI)

| | |
|---|---|
| NAAWS 4.0 | (2021 Errata Edition) North American Architectural Woodwork Standards |

## 1.2 SYSTEM DESCRIPTION

Work in this section includes laminate clad custom casework cabinets as shown on the drawings and as described in this specification. This Section includes high-pressure laminate surfacing and cabinet hardware. Sand smooth and apply a clear finish of polyurethane to all exposed and semi-exposed surfaces, whose finish is not otherwise noted on the drawings or finish schedule. Wood finish may be shop finished or field applied in accordance with Section 09 90 00 PAINTS AND COATINGS.

## 1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Shop Drawings
Installation

SD-03 Product Data

Wood Materials

Finish Schedule

SD-04 Samples

Plastic Laminates
Cabinet Hardware

SD-07 Certificates

Quality Assurance
Laminate Clad Casework

## 1.4 QUALITY ASSURANCE

### 1.4.1 General Requirements

Unless otherwise noted on the drawings, furnish all materials, construction methods, and fabrication conforming to and complying with the custom grade quality standards as outlined in NAAWS 4.0, Section for laminate clad cabinets. These standards apply in lieu of omissions or specific requirements in this specification. Contractors and their personnel engaged in the work must be able to demonstrate successful experience with work of comparable extent, complexity and quality to that shown and specified. Submit a quality control statement which illustrates compliance with and understanding of NAAWS 4.0 requirements, in general, and the specific NAAWS 4.0 requirements provided in this specification. The quality control statement must also certify a minimum of ten years Contractor's experience in laminate clad casework fabrication and construction. Provide a list of a minimum of five successfully completed projects of a similar scope, size, and complexity in the quality control

statement.

## 1.5 DELIVERY, STORAGE, AND HANDLING

Casework may be delivered knockdown or fully assembled. Deliver all units to the site in undamaged condition, stored off the ground in fully enclosed areas, and protected from damage. Ventilate the storage area and do not subject to extreme changes in temperature or humidity.

## 1.6 SEQUENCING AND SCHEDULING

Coordinate work with other trades. Do not install units in any room or space until painting, and ceiling installation are complete within the room where the units are located. Install floor cabinets before finished flooring materials are installed.

# PART 2 PRODUCTS

## 2.1 WOOD MATERIALS

### 2.1.1 Lumber

a. Provide kiln-dried Grade III framing lumber to dimensions as shown on the drawings. Frame front, where indicated on the drawings, must be nominal 3/4 inch hardwood.

b. Standing or running trim casework components, which are specified to receive a transparent finish, must be oak, walnut or birch hardwood species, plain sawn. AWI grade must be custom. Indicate location, shape, and dimensions on the drawings.

### 2.1.2 Panel Products

#### 2.1.2.1 Plywood

Use veneer core hardwood plywood, NAAWS 4.0 Grade AA panels for framing purposes. Indicate nominal thickness of plywood panels in this specification and on the drawings.

#### 2.1.2.2 Particleboard

Provide industrial grade, medium density ( 40 to 50 pounds per cubic foot ), 3/4 inch thick particleboard. Use a moisture-resistant particleboard in grade Type 2-M-2 or 2-M-3 as the substrate for plastic laminate covered components as located on the drawings and other areas subjected to moisture. Provide particleboard meeting the minimum standards listed in ASTM D1037 and ANSI/CPA A208.1.

#### 2.1.2.3 Medium Density Fiberboard

Medium density fiberboard (MDF) must be an acceptable panel substrate where noted on the drawings. Provide medium density fiberboard meeting the minimum standards listed in CPA A208.2.

## 2.2 SOLID POLYMER MATERIAL

Provide solid surfacing casework components in conformance to the requirements of Section 06 61 16 SOLID SURFACING FABRICATIONS.

## 2.3 HIGH PRESSURE DECORATIVE LAMINATE (HPDL)

Provide plastic laminates meeting the requirements of ANSI/NEMA LD 3 and ANSI A161.2 for high-pressure decorative laminates. Indicate design, colors, surface finish and texture, and locations on the drawings . Submit two samples of each plastic laminate pattern and color. Samples less than 5 by 7 inches in size are not acceptable. Provide plastic laminate types and nominal minimum thicknesses for casework components as indicated in the following paragraphs.

### 2.3.1 Horizontal General Purpose Standard (HGS) Grade

Provide horizontal general purpose standard grade plastic laminate that is 0.048 inches (plus or minus 0.005 inches) in thickness. This laminate grade is intended for horizontal surfaces where postforming is not required.

### 2.3.2 Vertical General Purpose Standard (VGS) Grade

Provide vertical general purpose standard grade plastic laminate that is 0.028 inches (plus or minus 0.004 inches) in thickness. This laminate grade is intended for exposed exterior vertical surfaces of casework components where postforming is not required.

### 2.3.3 Horizontal General Purpose Postformable (HGP) Grade

Provide horizontal general purpose postformable grade plastic laminate that is 0.042 inches (plus or minus 0.005 inches) in thickness. This laminate grade is intended for horizontal surfaces where post forming is required.

### 2.3.4 Vertical General Purpose Postformable (VGP) Grade

Provide vertical general purpose postformable grade plastic laminate that is 0.028 inches (plus or minus 0.004 inches) in thickness. This laminate grade is intended for exposed exterior vertical surfaces of components where postforming is required for curved surfaces.

### 2.3.5 Horizontal General Purpose Fire Rated (HGF) Grade

Provide horizontal general purpose fire rated grade plastic laminate that is 0.048 inches (plus or minus 0.005 inches) in thickness and a class 1, class A fire rating in accordance with ASTM E84.

### 2.3.6 Vertical General Purpose Fire Rated (VGF) Grade

Provide vertical general purpose fire rated grade plastic laminate that is 0.028 inches (plus or minus 0.004 inches) in thickness and a class 1, class A fire rating in accordance with ASTM E84.

### 2.3.7 Cabinet Liner Standard (CLS) Grade

Provide cabinet liner standard grade plastic laminate that is 0.020 inches in thickness. This laminate grade is intended for light duty semi-exposed interior surfaces of casework components.

### 2.3.8 Backing Sheet (BK) Grade

Undecorated backing sheet grade laminate is formulated specifically to be

used on the backside of plastic laminated panel substrates to enhance dimensional stability of the substrate. Backing sheet thickness must be 0.020 inches. Provide backing sheets for all laminated casework components where plastic laminate finish is applied to only one surface of the component substrate.

## 2.4 THERMOSET DECORATIVE OVERLAYS (MELAMINE)

Use thermoset decorative overlays (melamine panels) for casework cabinet interior, drawer interior and all semi-exposed surfaces.

## 2.5 EDGE BANDING

Provide PVC vinyl, 0.020 inch thick, edge banding for casework doors and drawer fronts. Material width must be 15/16 inches . Color and pattern must match exposed door and drawer front laminate pattern and color .

## 2.6 CABINET HARDWARE

Submit one sample of each cabinet hardware item specified to include hinges, pulls, drawer glides. Provide hardware conforming to ANSI/BHMA A156.9, unless otherwise noted, and consisting of the following components:

### 2.6.1 Door Hinges

flush, inset-lipped, self-closing type, BHMA No. BO1602, BO1603.

### 2.6.2 Cabinet Pulls

Back-mounted pull, 3" centers standard type, BHMA No. BO2011.

### 2.6.3 Drawer Slide

Side mounted type, BHMA No. BO5051 with full extension and a minimum 75 pound load capacity. Include an integral stop to avoid accidental drawer removal.

### 2.6.4 Adjustable Shelf Support System

Multiple holes with metal pin supports.

## 2.7 FASTENERS

Provide nails, screws, and other suitable fasteners that are the size and type best suited for the purpose and conforming to ASTM F547 where applicable.

## 2.8 ADHESIVES, CAULKS, AND SEALANTS

### 2.8.1 Adhesives

Use formula and type of adhesives recommended by AWI. Select adhesives for their ability to provide a durable, permanent bond and take into consideration such factors as materials to be bonded, expansion and contraction, bond strength, fire rating, and moisture resistance. Meet local regulations regarding VOC emissions and off-gassing.

2.8.1.1 Wood Joinery

Use Type II for interior use polyvinyl acetate resin emulsion adhesives to bond wood members. Adhesives must withstand a bond test as described in ANSI/WDMA I.S.1A.

2.8.1.2 Laminate Adhesive

Adhesive used to join high-pressure decorative laminate to wood must be adhesive consistent with AWI and laminate manufacturer's recommendations. Adhere PVC edgebanding using a polymer-based hot melt glue.

2.8.2 Caulk

Use clear, 100 percent silicone caulk to fill voids and joints between laminated components and between laminated components and adjacent surfaces.

2.8.3 Sealant

Use sealant recommended by the substrate manufacturer to provide a moisture barrier at sink cutouts and all other locations where unfinished substrate edges may be subjected to moisture.

2.9 FABRICATION

Verify field measurements as indicated in the shop drawings before fabrication. Accomplish fabrication and assembly of components at the shop site to the maximum extent possible. Meet or exceed the requirements for AWI custom grade unless otherwise indicated in this specification. Make cabinet style, in accordance with NAAWS 4.0, Section 400-G descriptions, flush overlay .

2.9.1 Base and Wall Cabinet Case Body

2.9.1.1 Cabinet Components

Use frame members that are glued-together, kiln-dried hardwood lumber. Brace top corners, bottom corners, and cabinet bottoms with either hardwood blocks or water-resistant glue and nailed in place metal or plastic corner braces. Construct cabinet components from the following materials and thicknesses:

2.9.1.1.1 Body Members (Ends, Divisions, Bottoms, and Tops)

3/4 inch medium density fiberboard (MDF) panel product

2.9.1.1.2 Face Frames and Rails

3/4 inch hardwood lumber

2.9.1.1.3 Shelving

3/4 inch medium density fiberboard (MDF) panel product

2.9.1.1.4 Cabinet Backs

1/4 inch medium density fiberboard (MDF) panel product

2.9.1.1.5 Drawer Sides, Backs, and Subfronts

1/2 inch hardwood lumber

2.9.1.1.6 Drawer Bottoms

1/4 inch medium density fiberboard (MDF) panel product

2.9.1.1.7 Door and Drawer Fronts

3/4-inch medium density fiberboard (MDF) panel product

2.9.1.2 Joinery Method for Case Body Members

2.9.1.2.1 Tops, Exposed Ends, and Bottoms

a. Steel "European" assembly screws ( 1-1/2 inch from end, 5 inch on center, fasteners will not be visible on exposed parts).

b. Doweled, glued under pressure (approx. 4 dowels per 12 inches of joint).

c. Stop dado, glued under pressure, and either nailed, stapled or screwed (fasteners will not be visible on exposed parts).

d. Spline or biscuit, glued under pressure.

2.9.1.2.2 Exposed End Corner and Face Frame Attachment

2.9.1.2.2.1 Mitered Joint

lock miter or spline or biscuit, glued under pressure (no visible fasteners)

2.9.1.2.2.2 Non-Mitered Joint (90 degree)

butt joint glued under pressure (no visible fasteners)

2.9.1.2.2.3 Butt Joint

glued and nailed

2.9.1.2.3 Cabinet Backs (Wall Hung Cabinets)

Wall hung cabinet backs must not be relied upon to support the full weight of the cabinet and its anticipated load for hanging/mounting purposes. Method of back joinery and hanging/mounting mechanisms should transfer the load to case body members. Use the following fabrication method:

2.9.1.2.3.1 Full Bound

Full bound, captured in grooves on cabinet sides, top, and bottom. Cabinet backs for floor standing cabinets must be side bound, captured in grooves; glued and fastened to top and bottom.

2.9.1.2.3.2 Full Overlay

Full overlay, plant-on backs with minimum back thickness of 1/2 inchand minimum No. 12 plated (no case hardened) screws spaced a minimum 3 inches

on center. Do not expose edge of back on finished sides. Anchor strips are not required when so attached.

2.9.1.2.3.3 Side Bound

Side bound, captured in groove or rabbetts; glued and fastened.

2.9.1.2.4 Cabinet Backs (Floor Standing Cabinets)

2.9.1.2.4.1 Side Bound

Side bound, captured in grooves; glued and fastened to top and bottom.

2.9.1.2.4.2 Full Overlay

Full overlay, plant-on backs with minimum back thickness of 1/2 inch and minimum No. 12 plated (no case hardened) screws spaced a minimum 3 inches on center. Do not expose edge of back on finished sides. Anchor strips are not required when so attached.

2.9.1.2.4.3 Side Bound with Rabbetts

Side bound, placed in rabbetts; glued and fastened in rabbetts.

2.9.1.2.5 Wall Anchor Strips

Wall Anchor Strips are required for all cabinets with backs less than 1/2 inch thick. Use strips consisting of minimum 1/2 inch thick lumber, minimum 2-1/2 inches width; securely attache to wall side of cabinet back - top and bottom for wall hung cabinets, top only for floor standing cabinets.

2.9.2 Cabinet Floor Base

Mount floor cabinets on a base constructed of nominal 2 inch thick lumber . Provide base assembly components that are treated lumber . Make finished height for each cabinet base as indicated on the drawings. Make bottom edge of the cabinet door or drawer face extend below the top of the base as indicated on the drawings.

2.9.3 Cabinet Door and Drawer Fronts

Fabricate door and drawer fronts from 3/4 inch medium density fiberboard (MDF. Surface all door and drawer front edges with PVC edgebanding, color and pattern to match exterior face laminate .

2.9.4 Drawer Assembly

2.9.4.1 Drawer Components

Provide drawer components consisting of a removable drawer front, sides, backs, and bottom. Construct drawer components of the following materials and thicknesses:

2.9.4.1.1 Drawer Sides and Backs For Laminate Finish

1/2 inch thick 7-ply hardwood veneer core substrate

2.9.4.1.2 Drawer Sides and Back For Thermoset Decorative Overlay (Melamine) Finish

1/2 inch thick medium density particleboard or MDF fiberboard substrate

2.9.4.1.3 Drawer Bottom

1/4 inch thick veneer core panel product for transparent or plastic laminate finish

2.9.4.2 Drawer Assembly Joinery Method

a. Multiple dovetail (all corners) or French dovetail front/dadoed back, glued under pressure.

b. Doweled, glued under pressure.

c. Lock shoulder, glued and pin nailed.

d. Set bottoms into sides, front, and back, 1/4 inch deep groove with a minimum 3/8 inch standing shoulder.

2.9.5 Shelving

2.9.5.1 General Requirements

Fabricate shelving from 3/4 inch medium density fiberboard (MDF . Finish all shelving top and bottom surfaces with thermoset decorative overlay (melamine). Finish shelf edges in a thermoset decorative overlay (melamine) .

2.9.5.2 Shelf Support System

The shelf support system is as follows:

2.9.5.2.1 Recessed (Mortised) Metal Shelf Standards

Mortise standards flush with the finishes surface of the cabinet interior side walls, two per side. Pposition and space standards on the side walls to provide a stable shelf surface that eliminates tipping when shelf front is weighted. Install and adjust standards vertically to provide a level, stable shelf surface when clips are in place.

2.9.5.2.2 Pin Hole Method

Drill holes on the interior surface of the cabinet side walls. Evenly space holes in two vertical columns Space the holes in each column at 1 inch increments starting 6 inches from the cabinet interior bottom and extending to within 6 inches of the top interior surface of the cabinet. Drill holes to provide a level, stable surface when the shelf is resting on the shelf pins. Coordinate hole diameter with pin insert size to provide a firm, tight fit.

2.9.6 Laminate Application

Apply laminate to substrates following the recommended procedures and instructions of the laminate manufacturer and ANSI/NEMA LD 3, using tools and devices specifically designed for laminate fabrication and application. Provide a balanced backer sheet (Grade BK) wherever only one

surface of the component substrate requires a plastic laminate finish. Apply required grade of laminate in full uninterrupted sheets consistent with manufactured sizes using one piece for full length only, using adhesives specified herein or as recommended by the manufacturer. Fit corners and joints hairline. Machined flush, file, sand, or buff all laminate edges to remove machine marks and ease (sharp corners removed). Clean up at easing must be such that no overlap of the member eased is visible. Perform fabrication in conformance to ANSI A161.2. Provide laminate types and grades for component surfaces as follows unless otherwise indicated on the drawings:

2.9.6.1 Base/Wall Cabinet Case Body

a. Exterior (exposed) surfaces to include exposed and semi-exposed face frame surfaces: HPDL Grade VGP.

b. Interior (semi-exposed) surfaces to include interior back wall, bottom, and side walls: Thermoset Decorative Overlay (melamine).

2.9.6.2 Adjustable Shelving

2.9.6.2.1 Top and Bottom Surfaces

Thermoset Decorative Overlay (melamine)

2.9.6.2.2 All Edges

PVC edgebanding

2.9.6.3 Fixed Shelving

2.9.6.3.1 Top and Bottom Surfaces

Thermoset Decorative Overlay (melamine)

2.9.6.3.2 Exposed Edges

PVC edgebanding

2.9.6.4 Door, Drawer Fronts, Access Panels

2.9.6.4.1 Exterior (Exposed) and Interior (Semi-Exposed) Faces

HPDL Grade VGS

2.9.6.4.2 Edges

PVC edgebanding

2.9.6.5 Drawer Assembly

All interior and exterior surfaces: Thermoset Decorative Overlay (melamine).

2.9.6.6 Countertops and Splashes

All exposed and semi-exposed surfaces: HPDL Grade HGS

#### 2.9.6.7 Tolerances

Meet the NAAWS 4.0 custom grade requirements for flushness, flatness, and joint tolerances of laminated surfaces.

### 2.9.7 Finishing

#### 2.9.7.1 Filling

Do not expose fasteners on laminated surfaces. Make all nails, screws, and other fasteners in non-laminated cabinet components countersunk and fill the holes with wood filler consistent in color with the wood species.

#### 2.9.7.2 Sanding

Prepare all surfaces requiring coatings by sanding with a grit and in a manner that scratches will not show in the final system.

#### 2.9.7.3 Coatings

Types, method of application and location of casework finishes must be in accordance with the finish schedule, drawings and Section 09 90 00 PAINTS AND COATINGS. Paint all cabinet reveals. Submit descriptive data which provides narrative written verification of all types of construction materials and finishes, methods of construction, etc. not clearly illustrated on the submitted shop drawings. Provide written verification of conformance with NAAWS 4.0 for the quality indicated to include materials, tolerances, and types of construction. Both the manufacturer of materials and the fabricator must submit available literature which describes re-cycled product content, operations and processes in place that support efficient use of natural resources, energy efficiency, emissions of ozone depleting chemicals, management of water and operational waste, indoor environmental quality, and other production techniques supporting sustainable design and products.

## PART 3 EXECUTION

### 3.1 INSTALLATION

Installation must comply with applicable requirements for NAAWS 4.0 custom quality standards. Install countertops and fabricated assemblies level, plumb, and true to line, in locations shown on the drawings. Attach and securely anchor cabinets and other laminate clad casework assemblies to the floor and walls with mechanical fasteners that are appropriate for the wall and floor construction.

### 3.1.1 Anchoring Systems

#### 3.1.1.1 Floor

Utilize a floor anchoring system for base cabinets . Anchoring and mechanical fasteners must not be visible from the finished side of the casework assembly. Attach cabinet assemblies to anchored bases without visible fasteners . Where assembly abuts a wall surface, include a minimum 1/2 inch thick lumber or panel product hanging strip, minimum 2-1/2 inch width; securely attached to the top of the wall side of the cabinet back.

#### 3.1.1.2 Wall

Utilize minimum 1/2 inch thick lumber or panel product hanging strips, minimum 2-1/2 inch width to wall mount cabinet ; securely attach to the wall side of the cabinet back, both top and bottom.

### 3.1.2 Countertops

Install countertops in locations as indicated on the drawings. Fasten countertops to supporting casework structure with mechanical fasteners, hidden from view. Fill all joints formed by the countertop or countertop splash and adjacent wall surfaces with a clear silicone caulk. Adhere loose back side splashes to both the countertop surface perimeter and the adjacent wall surface with adhesives appropriate for the type of materials to be adhered. Fill joints between the countertop surface and splash with clear silicone caulk in a smooth consistent concave bead. Bead size must be the minimum necessary to fill the joint and any surrounding voids or cracks.

### 3.1.3 Hardware

Install casework hardware in types and locations as indicated on the drawings. Where fully concealed European-style hinges are specified to be used with particleboard or fiberboard doors, use plastic or synthetic insertion dowels to receive 3/16 inch "Euroscrews". The use of wood screws without insertion dowels is prohibited.

### 3.1.4 Doors, Drawers and Removable Panels

Accomplish the fitting of doors, drawers and removable panels within target fitting tolerances for gaps and flushness in accordance with NAAWS 4.0 custom grade requirements.

### 3.1.5 Plumbing Fixtures

Install sinks, sink hardware, and other plumbing fixtures in locations as indicated on the drawings and in accordance with Section 22 00 00 PLUMBING, GENERAL PURPOSE .

-- End of Section --

SECTION 06 61 16

SOLID SURFACING FABRICATIONS
**08/20**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM C920 | (2018) Standard Specification for Elastomeric Joint Sealants |
| ASTM D570 | (1998; E 2010; R 2010) Standard Test Method for Water Absorption of Plastics |
| ASTM D638 | (2014) Standard Test Method for Tensile Properties of Plastics |
| ASTM D696 | (2016) Standard Test Method for Coefficient of Linear Thermal Expansion of Plastics Between -30 degrees C and 30 degrees C With a Vitreous Silica Dilatometer |
| ASTM D790 | (2017) Standard Test Methods for Flexural Properties of Unreinforced and Reinforced Plastics and Electrical Insulating Materials |
| ASTM D2583 | (2013a) Indentation Hardness of Rigid Plastics by Means of a Barcol Impressor |
| ASTM E84 | (2023) Standard Test Method for Surface Burning Characteristics of Building Materials |
| ASTM G21 | (2015; R 2021; E 2021) Standard Practice for Determining Resistance of Synthetic Polymeric Materials to Fungi |

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

| | |
|---|---|
| CDPH SECTION 01350 | (2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers |

CSA GROUP (CSA)

| | |
|---|---|
| CSA B45.5-17/IAPMO Z124 | (2017; Errata 2017; Errata 2018) Plastic Plumbing Fixtures |

INTERNATIONAL CAST POLYMER ASSOCIATION (ICPA)

| | |
|---|---|
| ICPA SS-1 | (2001) Performance Standard for Solid Surface Materials |

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

| | |
|---|---|
| ANSI/NEMA LD 3 | (2005) Standard for High-Pressure Decorative Laminates |

NSF INTERNATIONAL (NSF)

| | |
|---|---|
| NSF/ANSI 51 | (2023) Food Equipment Materials |

## 1.2 SYSTEM DESCRIPTION

a. Work under this section includes countertops with kitchen sinks, countertops with vanity bowls, back and side splashes for kitchen and vanity, front skirt panel for vanity and other items utilizing solid surfacing material fabrications as indicated on the drawings and as described in this specification. Do not change source of supply for materials after work has started, if the appearance of finished work would be affected.

b. In most instances, installation of solid surfacing material fabricated components and assemblies requires strong correctly located structural support provided by other trades. To provide a stable, sound, secure installation, close coordination is required between the solid surfacing material fabricator/installer and other trades to ensure that necessary structural wall support, cabinet counter top structural support, proper clearances, and other supporting components are provided for the installation of wall panels, counter tops, shelving, and all other solid surfacing material fabrications to the degree and extent recommended by the solid surfacing material manufacturer.

c. Provide appropriate staging areas for solid surfacing material fabrications. Allow variation in component size and location of openings of plus or minus 1/8 inch.

## 1.3 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Detail Fabrication Drawings

Installation

SD-03 Product Data

Solid Polymer

Indoor air quality for solid surface seam and sealant products

SD-04 Samples

Material

Counter Tops

SD-06 Test Reports

Test Report Results

SD-07 Certificates

Qualifications

Indoor Air Quality for solid surface fabrication products

SD-10 Operation and Maintenance Data

Solid Polymer, Data Package 1

## 1.4 QUALITY ASSURANCE

### 1.4.1 Qualifications

To ensure warranty coverage, provide manufacturer certified solid surfacing fabricators to fabricate the solid surfacing material being utilized. Mark all fabrications with the fabricator's certification label affixed in an inconspicuous location. Minimum of 5 years of experience working with solid surfacing materials is required of fabricators. Submit solid surfacing material manufacturer's certification attesting to fabricator qualification approval.

### 1.4.2 Mock-ups

Submit Detail Fabrication Drawings indicating locations, dimensions, component sizes, fabrication and joint details, attachment provisions, installation details, and coordination requirements with adjacent work. Prior to final approval of shop drawings, provide a full-size mock-up of a typical counter top where multiple units are required. Include all solid surfacing material components required to provide a completed unit. Utilize finishes in patterns and colors as indicated; colors listed are not intended to limit the selection of equal colors from other manufacturers. in the mock-up. Should the mock-up not be approved, re-work or remake it until approval is secured. Remove rejected units from the jobsite. Approved mock-up may remain as part of the finished work.

## 1.5 DELIVERY, STORAGE, AND HANDLING

Do not deliver materials to project site until areas are ready for installation. Deliver components and materials to the site undamaged, in containers clearly marked and labeled with manufacturer's name. Store materials indoors and take adequate precautions to prevent damage to finished surfaces. Provide protective coverings to prevent physical damage or staining following installation, for duration of project.

## 1.6 WARRANTY

Provide manufacturer's warranty to repair or replace defective materials and workmanship for a period of 20 years from date of final acceptance of the work.

## PART 2 PRODUCTS

### 2.1 MATERIAL

Submit detail fabrication drawings and installation drawings of each solid surfacing fabrication indicated. Include elevations, dimensions, clearances, details of construction and anchorage, and details of joints and connections.

Submit manufacturers' descriptive product data for each type of solid polymer fabrication indicated. Include manufacturers' literature, finishes, profiles and thicknesses of materials.

Submit manufacturers' operations and maintenance data for each type of solid polymer fabrication in accordance with Section 01 78 23 OPERATIONS AND MAINTENANCE DATA.

#### 2.1.1 Solid Surfacing Material

Provide solid polymer that is a homogeneous filled solid polymer; not coated, laminated or of a composite construction, complying with ICPA SS-1. Provide material that meets or exceeds the minimum physical and performance properties specified. Superficial damage to a depth of 0.01 inch must be repairable by sanding or polishing. Material thickness is as indicated below; required minimum thickness is 1/2 inch. Submit a minimum 4 inch by 4 inch sample of each color and pattern for approval; include full range of color and pattern variation. Retain approved samples as a standard for this work. Submit test report results from an independent testing laboratory attesting that the submitted solid surfacing materials meet or exceed each of the specified performance requirements.

a. Horizontal Surfaces: 1/2 inch thick material

b. Vertical Surfaces: 1/4 inch thick material

c. Provide materials that meet the emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type). Provide certification or validation of indoor air quality for solid surface fabrication products.

#### 2.1.2 Cast, 100 Percent Acrylic Polymer Solid Surfacing Material

Cast, 100 percent acrylic solid polymer material composed of acrylic polymer, mineral fillers, and pigments. Provide acrylic polymer that meets or exceeds the following minimum performance requirements:

| PROPERTY | REQUIREMENT (min. or max.) | TEST PROCEDURE |
|---|---|---|
| Tensile Strength | 4000 psi (max.) | ASTM D638 |
| Hardness | 55-Barcol Impressor (min.) | ASTM D2583 |
| Thermal Expansion | .000023 in/in/F (max.) | ASTM D696 |

| PROPERTY | REQUIREMENT (min. or max.) | TEST PROCEDURE |
|---|---|---|
| Boiling Water Surface Resistance | No Change | ANSI/NEMA LD 3-3.05 |
| High Temperature Resistance | No Change | ANSI/NEMA LD 3-3.06 |
| Impact Resistance (Ball drop) | | ANSI/NEMA LD 3-303 |
| 1/4 inch sheet | 36-inches, 1/2 lb ball, no failure | |
| 1/2 inch sheet | 140-inches, 1/2 lb ball, no failure | |
| 3/4 inch sheet | 200-inches, 1/2 lb ball, no failure | |
| Mold & Mildew Growth | No growth | ASTM G21 |
| Bacteria Growth | No growth | ASTM G21 |
| Liquid Absorption (Weight in 24 hrs.) | 0.1 percent max. | ASTM D570 |
| Flammability | | ASTM E84 |
| Flame Spread | 25 max. | |
| Smoke Developed | 30 max. | |
| Sanitation | "Food Contact" approval | NSF/ANSI 51 |
| Flexural Strength | 10,400 psi (min.) | ASTM D790 |

### 2.1.3 Material Patterns and Colors

Provide pattern and color for all solid surfacing material components and fabrications as indicated; colors listed are not intended to limit the selection of equal colors from other manufacturers. Provide products with consistent patterned color throughout thickness of the product.

### 2.1.4 Surface Finish

Provide a uniform appearance on exposed finished surfaces and edges. Exposed surface finish is matte; gloss rating of 5-20 .

## 2.2 ACCESSORY PRODUCTS

Provide accessory products, as specified below, as manufactured by the solid surfacing material manufacturer or as approved by the solid surfacing material manufacturer for use with the solid surfacing materials being specified.

### 2.2.1 Adhesives

Provide a two-part seam adhesive kit to create permanent, inconspicuous, non-porous, hard seams and joints by chemical bond between solid surfacing materials and components to create a monolithic appearance of the fabrication. Provide adhesive approved by the solid surfacing material manufacturer. Color-match adhesive to the surfaces being bonded where solid-colored, solid surfacing materials are being bonded together. Provide clear or color matched seam adhesive where particulate patterned, solid surfacing materials are being bonded together.

### 2.2.2 Seam and Sealant Emissions

Provide seam and other accessory materials that meet the emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type). Provide validation of indoor air quality for solid surface seam and sealant products.

### 2.2.3 Silicone Sealant

Provide silicone sealant, mildew-resistant, single-component, nonsag, plus 25 percent and minus 25 percent movement capability, acid-curing; ASTM C920, Type S, Grade NS, Class 25, Use NT; clear formulation; approved for use by the solid surfacing material manufacturer.

### 2.2.4 Conductive Tape

Provide manufacturer's standard conductive foil tape, 4 mils thick, applied around the edges of cut outs containing hot or cold appliances.

### 2.2.5 Insulating Tape

Provide manufacturer's standard insulating tape for use with drop-in food wells used in commercial food service applications to insulate solid surfacing material from hot or cold appliances.

### 2.2.6 Heat Reflective Tape

Provide heat reflective tape as recommended by the solid surfacing material manufacturer for use with cutouts for heat sources.

### 2.2.7 Mounting Hardware

Provide mounting hardware, including sink/bowl clips, inserts and fasteners for attachment of undermount sinks and lavatories.

## 2.3 FABRICATIONS

Provide factory or shop fabricate components to sizes and shapes indicated, to the greatest extent practical, in accordance with approved Shop Drawings and manufacturer's requirements. Provide factory cutouts for sinks, lavatories, and plumbing fixtures where indicated on the drawings. Contours and radii must be routed to template, with edges smooth. Defective and inaccurate work will be rejected. Submit product data indicating product description, fabrication information, and compliance with specified performance requirements for solid surfacing material, joint adhesive, sealants, and heat reflective tape. Both the manufacturer of materials and the fabricator are required to submit a detailed description of operations and processes in place that support

efficient use of natural resources, energy efficiency, emissions of ozone depleting chemicals, management of water and operational waste, indoor environmental quality, and other production techniques supporting sustainable design and products.

2.3.1 Joints and Seams

Form joints and seams between solid surfacing material components using manufacturer's approved seam adhesive. Provide inconspicuous joints in appearance without voids to create a monolithic appearance.

2.3.2 Edge Finishing

Rout and finish component edges to a smooth, uniform appearance and finish. Provide edge shapes and treatments, including any inserts, as detailed on the drawings. Rout all cutouts, then sand all edges smooth. Repair or reject defective or inaccurate work.

2.3.3 Counter Top Splashes

Fabricate backsplashes and end splashes from 1/2 inch thick solid surfacing material to be 4 inches high . Provide backsplashes and end splashes for all counter tops . Shop fabricate backsplashes and provide loose, to be field attached.

2.3.3.1 End Splashes

Provide end splashes loose for installation at the jobsite after horizontal surfaces to which they are to be attached have been installed.

2.3.4 Counter Tops

Fabricate all solid surfacing material, counter top components from 3/4 inch thick material. Indicate details, dimensions, locations, and quantities on the drawings. Provide counter tops with 4 inch high at all locations as indicated. Attach 2 inch wide reinforcing strip of solid surfacing material under each horizontal counter top seam. Submit a minimum 1 foot wide by 6 inch deep, full size sample for each type of counter top shown on the project drawings; include the edge profile and backsplash as detailed on the drawings and at least one seam. Retain approved sample as standard for this work. Provide square edge profile.

2.3.4.1 Counter Tops with Sinks

a. Provide manufacturer's standard solid polymer sinks, pre-molded product specifically designed for attachment to solid surfacing material counter tops. See paragraph SOLID POLYMER SINKS for additional requirements.

2.3.4.2 Counter Tops with Bowls

a. Provide manufacturer's standard solid polymer bowls, pre-molded product specifically designed for attachment to solid surfacing material counter tops. See paragraph SOLID POLYMER BOWLS for additional requirements

c. Provide manufacturer's standard pre-fabricated one-piece counter top and bowl fabrications. Each unit includes a counter top with integral

backsplash and sink bowl. See paragraph SOLID POLYMER BOWLS for additional requirements.

#### 2.3.5 Solid Polymer Sinks

Provide solid polymer sinks that are a standard product of the solid polymer manufacturer, in compliance with CSA B45.5-17/IAPMO Z124 requirements, designed specifically to be installed in solid surfacing material counter tops. Provide sinks of the same polymer composition as the adjoining counter top. Sink design must support a seam adhesive undermount installation method. Sinks must be single bowl configuration. Bowl dimensions must be as indicated.

#### 2.3.6 Solid Polymer Bowls

Provide solid polymer bowls that are a standard product of the solid polymer manufacturer, in compliance with CSA B45.5-17/IAPMO Z124 requirements, designed specifically to be installed in solid surfacing material counter tops. Provide bowls of the same polymer composition as the adjoining counter top. Bowl design must support a seam adhesive undermount installation method. Bowl dimensions must be as indicated.

## PART 3 EXECUTION

### 3.1 INSTALLATION

#### 3.1.1 Components

Install all components and fabricated units plumb, level, and rigid. Make field joints between solid surfacing material components using solid surfacing material manufacturer's approved seam adhesives, to provide a monolithic appearance with joints inconspicuous in the finished work. Attach metal or vitreous china sinks and lavatory bowls to counter tops using solid surfacing material manufacturer's recommended clear silicone sealant and mounting hardware. Install solid polymer sinks and bowls using a color-matched seam adhesive.

##### 3.1.1.1 Loose Counter Top Splashes

Mount loose splashes in the locations noted on the drawings. Adhere loose splashes to the counter top with a color matched silicone sealant when the solid surfacing material components are solid colors. Use a clear silicone sealant to provide adhesion of particulate patterned solid surfacing material splashes to counter tops.

#### 3.1.2 Silicone Sealant

Use specified silicone sealant to seal all expansion joints between solid surfacing material components and all joints between solid surfacing material components and other adjacent surfaces such as walls, floors, ceiling, and plumbing fixtures. Provide sealant bead smooth and uniform in appearance and minimum size necessary to bridge any gaps between the solid surfacing material and the adjacent surface. Provide continuous bead and run the entire length of the joint being sealed.

#### 3.1.3 Plumbing

Make plumbing connections to sinks and lavatories in accordance with Section 22 00 00 PLUMBING, GENERAL PURPOSE .

## 3.2 CLEAN-UP

Components must be cleaned after installation and covered to protect against damage during completion of the remaining project items. Damaged components must be repaired or replaced at the Contractor's sole expense.

-- End of Section --

SECTION 07 92 00

JOINT SEALANTS
**08/23**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM C509 | (2006; R 2021) Standard Specification for Elastomeric Cellular Preformed Gasket and Sealing Material |
| ASTM C734 | (2015; R 2019) Standard Test Method for Low-Temperature Flexibility of Latex Sealants After Artificial Weathering |
| ASTM C919 | (2022) Standard Practice for Use of Sealants in Acoustical Applications |
| ASTM C920 | (2018) Standard Specification for Elastomeric Joint Sealants |
| ASTM C1193 | (2016) Standard Guide for Use of Joint Sealants |
| ASTM C1521 | (2019; R 2020) Standard Practice for Evaluating Adhesion of Installed Weatherproofing Sealant Joints |
| ASTM D217 | (2021a) Standard Test Methods for Cone Penetration of Lubricating Grease |
| ASTM D1056 | (2020) Standard Specification for Flexible Cellular Materials - Sponge or Expanded Rubber |
| ASTM E84 | (2023) Standard Test Method for Surface Burning Characteristics of Building Materials |

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

| | |
|---|---|
| CDPH SECTION 01350 | (2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers |

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

| | |
|---|---|
| SCS | SCS Global Services (SCS) Indoor Advantage |

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

| | |
|---|---|
| SCAQMD Rule 1168 | (2022) Adhesive and Sealant Applications |

UNDERWRITERS LABORATORIES (UL)

| | |
|---|---|
| UL 2818 | (2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings |

1.2 SUBMITTALS

Government approval is required for all submittals.Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Sealants

PrimersBond BreakersBackstops

Cleaning Solvents

SD-06 Test Reports

Field Adhesion

SD-07 Certificates

Indoor Air Quality For Interior Sealants

Indoor Air Quality For Interior Floor Joint SealantsIndoor Air Quality For Interior Acoustical Sealants

1.3 PRODUCT DATA

Include storage requirements, shelf life, curing time, instructions for mixing and application, and accessories. Provide manufacturer's Safety Data Sheets (SDS) for each solvent, primer and sealant material proposed.

1.4 CERTIFICATIONS

1.4.1 Indoor Air Quality Certifications

Submit required indoor air quality certifications in one submittal package.

1.4.1.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party program that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

## 1.5 ENVIRONMENTAL CONDITIONS

Apply sealant when the ambient temperature is between 40 and 90 degrees F.

## 1.6 DELIVERY AND STORAGE

Deliver materials to the jobsite in unopened manufacturers' sealed shipping containers, with brand name, date of manufacture, color, and material designation clearly marked thereon. Label elastomeric sealant containers to identify type, class, grade, and use. Handle and store materials in accordance with manufacturer's printed instructions. Prevent exposure to foreign materials or subjection to sustained temperatures exceeding 90 degrees F or lower than 0 degrees F. Keep materials and containers closed and separated from absorptive materials such as wood and insulation.

## 1.7 QUALITY ASSURANCE

### 1.7.1 Compatibility with Substrate

Verify that each sealant is compatible for use with each joint substrate in accordance with sealant manufacturer's printed recommendations for each application.

### 1.7.2 Joint Tolerance

Provide joint tolerances in accordance with manufacturer's printed instructions.

### 1.7.3 Mock-Up

Provide a mock-up of each type of sealant using materials, colors, and techniques approved for use on the project. Approved mock-ups may be incorporated into the Work.

### 1.7.4 Adhesion

Provide in accordance with ASTM C1193 or ASTM C1521.

# PART 2 PRODUCTS

## 2.1 SEALANTS

Provide sealant products that have been tested, found suitable, and documented as such by the manufacturer for the particular substrates to which they will be applied.

### 2.1.1 Interior Sealants

Provide ASTM C920, Type S or M, Grade NS, Class 12.5, Use NT. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior sealants. Location(s) and color(s) of sealant for the following (Note, color "as selected" refers to manufacturer's full range of color options):

| LOCATION | COLOR |
|---|---|
| a. Small voids between walls or partitions and adjacent lockers, casework, shelving, door frames, built-in or surface mounted equipment and fixtures, and similar items. | As selected |
| b. Perimeter of frames at doors, windows, and access panels which adjoin exposed interior concrete and masonry surfaces. | As selected |
| | |
| d. Joints between edge members for acoustical tile and adjoining vertical surfaces. | As selected |
| e. Interior locations, not otherwise indicated or specified, where small voids exist between materials specified to be painted. | As selected |
| | |
| g. Joints formed between tile floors and tile base cove; joints between tile and dissimilar materials; joints occurring where substrates change. | As selected |
| h. Behind escutcheon plates at valve pipe penetrations and showerheads in showers. | As selected |
| | |

2.1.2 Exterior Sealants

For joints in vertical surfaces, provide ASTM C920, Type S or M, Grade NS, Class 25, Use T. For joints in horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T. Hybrid sealant meeting ASTM C920, Type S, Grade NS, Class 50, use T, M, A, G, or O. Provide location(s) and color(s) of sealant as follows (Note, color "as selected" refers to manufacturer's full range of color options):

| LOCATION | COLOR |
|---|---|
| a. Joints and recesses formed where frames and subsills of windows, doors, louvers, and vents adjoin masonry, concrete, or metal frames. Use sealant at both exterior and interior surfaces of exterior wall penetrations. | As selected |

| LOCATION | COLOR |
|---|---|
| | |
| c. Masonry joints where shelf angles occur. | As selected |
| | |
| | |
| | |
| | |
| h. Metal reglets, where flashing is inserted into masonry joints, and where flashing is penetrated by coping dowels. | As selected |
| i. Metal-to-metal joints where sealant is indicated or specified. | As selected |
| | |
| | |

2.1.3 Floor Joint Sealants

ASTM C920, Type S or M, Grade P, Class 25, Use T. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior floor joint sealants. Provide location(s) and color(s) of sealant as follows (Note, color "as selected" refers to manufacturer's full range of color options):

| LOCATION | COLOR |
|---|---|
| a. Seats of metal thresholds for exterior doors. | As selected |

| LOCATION | COLOR |
|---|---|
| b. Control and expansion joints in floors, slabs, ceramic tile, and walkways. | As selected |

2.1.4 Acoustical Sealants

Rubber or polymer based acoustical sealant in accordance with ASTM C919 to have a flame spread of 25 or less and a smoke developed rating of 50 or less when tested in accordance with ASTM E84. Provide non-staining acoustical sealant with a consistency of 250 to 310 when tested in accordance with ASTM D217. Acoustical sealant must remain flexible and adhesive after 500 hours of accelerated weathering as specified in ASTM C734. Provide sealant products used on the interior of the building (defined as inside of the weatherproofing system) meeting either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide certification or validation of indoor air quality for interior acoustical sealants.

2.2 PRIMERS

Non-staining, quick drying type and consistency as recommended by the sealant manufacturer for the particular application. Provide primers for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

2.3 BOND BREAKERS

Type and consistency as recommended by the sealant manufacturer to prevent adhesion of the sealant to the backing or to the bottom of the joint. Provide bond breakers for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

2.4 BACKSTOPS

Provide glass fiber roving, neoprene, butyl, polyurethane, or polyethylene foams free from oil or other staining elements as recommended by sealant manufacturer. Provide 25 to 33 percent oversized backing for closed cell and 40 to 50 percent oversized backing for open cell material, unless otherwise indicated. Provide backstop material that is compatible with sealant. Do not use oakum or other types of absorptive materials as backstops.

2.4.1 Rubber

Provide in accordance with ASTM D1056, Type 2, closed cell, Class A, Grade 1, round cross section for cellular rubber sponge backing.

2.4.2 Synthetic Rubber

Provide in accordance with ASTM C509, Option I, Type I preformed rods or tubes for synthetic rubber backing.

2.4.3 Neoprene

Provide in accordance with ASTM D1056, closed cell expanded neoprene cord

Type 2, Class C, Grade 2C2 for neoprene backing.

### 2.4.4 Silicone Rubber Base

Provide in accordance with ASTM C920, from a single component, with solvent release, Non-sag, Type S, Grade P, Class 25. Color as selected from manufacturer's full range of color choices .

## 2.5 CLEANING SOLVENTS

Provide type(s) recommended by the sealant manufacturer and in accordance with environmental requirements herein. Protect adjacent aluminum and bronze surfaces from solvents. Provide solvents for interior applications that meet the indoor air quality requirements of the paragraph INTERIOR SEALANTS above.

# PART 3 EXECUTION

## 3.1 FIELD QUALITY CONTROL

Perform a field adhesion test in accordance with manufacturer's instructions and ASTM C1193, Method A or ASTM C1521, Method A, Tail Procedure. Remove sealants that fail adhesion testing; clean substrates, reapply sealants, and re-test. Test sealants adjacent to failed sealants. Submit field adhesion test report indicating tests, locations, dates, results, and remedial actions taken.

## 3.2 SURFACE PREPARATION

Prepare surfaces according to manufacturer's printed installation instructions. Clean surfaces from dirt, frost, moisture, grease, oil, wax, lacquer, paint, or other foreign matter that would destroy or impair adhesion. Remove oil and grease with solvent; thoroughly remove solvents prior to sealant installation. Wipe surfaces dry with clean cloths. When resealing an existing joint, remove existing caulk or sealant prior to applying new sealant. For surface types not listed below, provide in accordance with sealant manufacturer's printed instructions for each specific surface.

### 3.2.1 Steel Surfaces

Remove loose mill scale by sandblasting or, if sandblasting is impractical or would damage finished work, scraping and wire brushing. Remove protective coatings by sandblasting or using a residue free solvent. Remove resulting debris and solvent residue prior to sealant installation.

### 3.2.2 Aluminum or Bronze Surfaces

Remove temporary protective coatings from surfaces that will be in contact with sealant. When masking tape is used as a protective coating, remove tape and residual adhesive prior to sealant application. For removing protective coatings and final cleaning, use non-staining solvents recommended by the manufacturer of the item(s) containing aluminum or bronze surfaces.

### 3.2.3 Concrete and Masonry Surfaces

Where surfaces have been treated with curing compounds, oil, or other such materials, remove materials by sandblasting or wire brushing. Remove

laitance, efflorescence and loose mortar from the joint cavity. Remove resulting debris prior to sealant installation.

3.2.4 Wood Surfaces

Ensure wood surfaces that will be in contact with sealants are free of splinters, sawdust and other loose particles.

3.3 SEALANT PREPARATION

Do not add liquids, solvents, or powders to sealants. Mix multicomponent elastomeric sealants in accordance with manufacturer's printed instructions.

3.4 APPLICATION

3.4.1 Joint Width-To-Depth Ratios

Acceptable Ratios:

| JOINT WIDTH | JOINT DEPTH | |
|---|---|---|
| | Minimum | Maximum |
| For metal, glass, or other nonporous surfaces: | | |
| 1/4 inch (minimum) | 1/4 inch | 1/4 inch |
| over 1/4 inch | 1/2 of width | Equal to width |
| For wood, concrete,and masonry : | | |
| 1/4 inch (minimum) | 1/4 inch | 1/4 inch |
| over 1/4 inch to 1/2 inch | 1/4 inch | Equal to width |
| over 1/2 inch to 1 inch | 1/2 inch | 5/8 inch |
| Over 1 inch | prohibited | |

Unacceptable Ratios: Where joints of acceptable width-to-depth ratios have not been provided, clean out joints to acceptable depths and grind or cut to acceptable widths without damage to the adjoining work. Grinding is prohibited at metal surfaces.

3.4.2 Unacceptable Sealant Use

Do not install sealants in lieu of other required building enclosure weatherproofing components such as flashing, drainage components, and joint closure accessories, or to close gaps between walls, floors, roofs, windows, and doors, that exceed acceptable installation tolerances. Remove sealants that have been used in an unacceptable manner and correct building enclosure deficiencies to comply with contract documents requirements.

3.4.3 Masking Tape

Place masking tape on the finished surface on one or both sides of joint cavities to protect adjacent finished surfaces from primer or sealant smears. Remove masking tape within 10 minutes of joint filling and tooling.

3.4.4 Backstops

Provide backstops dry and free of tears or holes. Tightly pack the back or bottom of joint cavities with backstop material to provide joints in specified depths. Provide backstops where indicated and where backstops are not indicated but joint cavities exceed the acceptable maximum depths specified in JOINT WIDTH-TO-DEPTH RATIOS Table.

3.4.5 Primer

Clean out loose particles from joints immediately prior to application of. Apply primer to joints in concrete masonry units, wood, and other porous surfaces in accordance with sealant manufacturer's printed instructions. Do not apply primer to exposed finished surfaces.

3.4.6 Bond Breaker

Provide bond breakers to surfaces not intended to bond in accordance with, sealant manufacturer's printed instructions for each type of surface and sealant combination specified.

3.4.7 Sealants

Provide sealants compatible with the material(s) to which they are applied. Do not use a sealant that has exceeded its shelf life or has jelled and cannot be discharged in a continuous flow from the sealant gun. Apply sealants in accordance with the manufacturer's printed instructions with a gun having a nozzle that fits the joint width. Work sealant into joints so as to fill the joints solidly without air pockets. Tool sealant after application to ensure adhesion. Apply sealant uniformly smooth and free of wrinkles. Upon completion of sealant application, roughen partially filled or unfilled joints, apply additional sealant, and tool smooth as specified. Apply sealer over sealants in accordance with the sealant manufacturer's printed instructions.

3.5 PROTECTION AND CLEANING

3.5.1 Protection

Protect areas adjacent to joints from sealant smears. Masking tape may be used for this purpose if removed 5 to 10 minutes after the joint is filled and no residual tape marks remain.

3.5.2 Final Cleaning

Upon completion of sealant application, remove remaining smears and stains and leave the work in a clean and neat condition.

a. Masonry and Other Porous Surfaces: Immediately remove fresh sealant that has been smeared on adjacent masonry, rub clean with a solvent, and remove solvent residue, in accordance with sealant manufacturer's printed instructions. Allow excess sealant to cure for 24 hours then

remove by wire brushing or sanding. Remove resulting debris.

b. Metal and Other Non-Porous Surfaces: Remove excess sealant with a solvent moistened cloth. Remove solvent residue in accordance with solvent manufacturer's printed instructions.

-- End of Section --

SECTION 08 11 13

STEEL DOORS AND FRAMES
**08/20**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM A653/A653M | (2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process |
| ASTM A879/A879M | (2012; R 2017) Standard Specification for Steel Sheet, zinc Coated by the Electrolytic Process for Applications Requiring Designation of the Coating Mass on Each Surface |
| ASTM A924/A924M | (2022a) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process |
| ASTM C578 | (2023) Standard Specification for Rigid, Cellular Polystyrene Thermal Insulation |
| ASTM C591 | (2022) Standard Specification for Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation |
| ASTM C612 | (2014; R 2019) Standard Specification for Mineral Fiber Block and Board Thermal Insulation |
| ASTM D2863 | (2019) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index) |
| ASTM F2247 | (2018) Standard Test Method for Metal Doors Used in Blast Resistant Applications (Equivalent Static Load Method) |
| ASTM F2927 | (2012) Standard Test Method for Door Systems Subject to Airblast Loadings |

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)

| | |
|---|---|
| ANSI/BHMA A156.115 | (2016) Hardware Preparation in Steel Doors and Steel Frames |

STEEL DOOR INSTITUTE (SDI/DOOR)

| | |
|---|---|
| SDI/DOOR 111 | (2009) Recommended Details for Standard Steel Doors, Frames, and Accessories and Related Components |
| SDI/DOOR 113 | (2023) Standard Practice for Determining the Steady-State Thermal Transmittance of Steel Door and Frame Assemblies |
| SDI/DOOR A250.4 | (2022) Test Procedure and Acceptance Criteria for Physical Endurance for Steel Doors, Frames and Frame Anchors |
| SDI/DOOR A250.6 | (2020) Recommended Practice for Hardware Reinforcing on Standard Steel Doors and Frames |
| SDI/DOOR A250.8 | (2023) Specifications for Standard Steel Doors and Frames |
| SDI/DOOR A250.11 | (2022) Recommended Erection Instructions for Steel Frames |

U.S. DEPARTMENT OF DEFENSE (DOD)

| | |
|---|---|
| UFC 4-010-01 | (2018; with Change 2, 2022) DoD Minimum Antiterrorism Standards for Buildings |

## 1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Doors

Frames

Schedule of Doors

Schedule of Frames

SD-03 Product Data

Doors

Recycled Content for Steel Door Product
Frames

Recycled Content for Steel Frame Product

## 1.3 DELIVERY, STORAGE, AND HANDLING

Deliver doors, frames, and accessories undamaged and with protective wrappings or packaging. Strap knock-down frames in bundles. Store doors and frames on platforms under cover in clean, dry, ventilated, and accessible locations, with 1/4 inch airspace between doors. Remove damp or wet packaging immediately and wipe affected surfaces dry. Replace damaged materials with new.

# PART 2 PRODUCTS

## 2.1 STANDARD STEEL DOORS

SDI/DOOR A250.8, except as specified otherwise. Prepare doors to receive door hardware as specified in Section 08 71 00 DOOR HARDWARE. Undercut where indicated. Provide exterior doors with top edge closed flush and sealed to prevent water intrusion. Provide doors at 1-3/4 inch thick, unless otherwise indicated. Provide door material that uses a minimum of 25 percent recycled content. Provide data indicating percentage of recycled content for steel door product. Exterior doors must be tested in accordance with ASTM F2247 or ASTM F2927 to meet requirements of UFC 4-010-01.

### 2.1.1 Classification - Level, Performance, Model

#### 2.1.1.1 Extra Heavy Duty Doors

SDI/DOOR A250.8, Level 3, physical performance Level A, Model 2 with core construction as required by the manufacturer for indicated exterior doors, of size(s) and design(s) indicated. Where vertical stiffener cores are required, the space between the stiffeners must be filled with board insulation.

## 2.2 INSULATED STEEL DOOR SYSTEMS

Provide insulated steel doors and frames in accordance with SDI/DOOR 113 at entrances to dwelling units and where indicated. Meet energy requirements including Solar Heat Gain Coefficient (SHGC) and U-factor. Provide insulated steel doors with a core of polyurethane foam; face sheets, edges, and frames of galvanized steel not lighter than 23 gage, 16 gage, and 16 gage respectively; magnetic weatherstripping; nonremovable-pin hinges; thermal-break aluminum threshold; and vinyl door bottom. Provide to doors and frames a phosphate treatment, rust-inhibitive primer, and baked acrylic enamel finish. Test doors in accordance with SDI/DOOR A250.4 and meet the requirements for Level C. Prepare doors to receive specified hardware. Provide doors 1-3/4 inch thick.

## 2.3 INSULATION CORES

Provide insulating cores at all exterior doors, and provide an apparent U-factor of .48 in accordance with SDI/DOOR 113 and conforming to:

a. Rigid Cellular Polyisocyanurate Foam: ASTM C591, Type I or II, foamed-in-place or in board form, with oxygen index of not less than 22 percent when tested in accordance with ASTM D2863; or

b. Rigid Polystyrene Foam Board: ASTM C578, Type I or II; or

c. Mineral board: ASTM C612, Type I.

## 2.4 STANDARD STEEL FRAMES

SDI/DOOR A250.8, Level 3, except as otherwise specified. Form frames to sizes and shapes indicated, with knock-down field-assembled corners. Provide steel frames for doors, unless otherwise indicated. Provide frame product that uses a minimum of 25 percent recycled content. Provide data indicating percentage of recycled content for steel frame product.

### 2.4.1 Knock-Down Frames

Design corners for simple field assembly by concealed tenons, splice plates, or interlocking joints that produce square, rigid corners and a tight fit and maintain the alignment of adjoining members. Provide locknuts for bolted connections.

### 2.4.2 Anchors

Provide anchors to secure the frame to adjoining construction. Provide steel anchors, zinc-coated not lighter than 18 gage.

#### 2.4.2.1 Wall Anchors

Provide at least three anchors for each jamb. For frames which are more than 7.5 feet in height, provide one additional anchor for each jamb for each additional 2.5 feet or fraction thereof.

a. Masonry: Provide anchors of corrugated or perforated steel straps or 3/16 inch diameter steel wire, adjustable or T-shaped;

b. Completed openings: Secure frames to previously placed concrete or masonry with expansion bolts in accordance with SDI/DOOR 111; and

## 2.5 EXTERIOR FRAMES

Provide thermal insulation in all exterior frames. Provide frames of a minimum Level 4, with frames of a minimum thickness of 0.067 inch, 14 gage.

## 2.6 HARDWARE PREPARATION

Drill and tap doors and frames to receive finish hardware. Prepare doors and frames for hardware in accordance with the applicable requirements of SDI/DOOR A250.8 and SDI/DOOR A250.6. For additional requirements refer to ANSI/BHMA A156.115. Drill and tap for surface-applied hardware at the project site. Build additional reinforcing for surface-applied hardware into the door at the factory. Punch door frames, with the exception of frames that will have weatherstripping gasketing, to receive a minimum of two rubber or vinyl door silencers on lock side of single doors and one silencer for each leaf at heads of double doors. Set lock strikes out to provide clearance for silencers.

## 2.7 FINISHES

### 2.7.1 Hot-Dip Zinc-Coated and Factory-Primed Finish

Fabricate exterior doors and frames from hot dipped zinc coated steel, alloyed type, that complies with ASTM A924/A924M and ASTM A653/A653M. The coating weight must meet or exceed the minimum requirements for coatings having 0.4 ounces per square foot, total both sides, i.e., A40. Repair damaged zinc-coated surfaces by the application of zinc dust paint. Thoroughly clean and chemically treat to insure maximum paint adhesion. Factory prime as specified in SDI/DOOR A250.8. Provide for exterior doors.

### 2.7.2 Electrolytic Zinc-Coated Anchors and Accessories

Provide electrolytically deposited zinc-coated steel in accordance with ASTM A879/A879M, Commercial Quality, Coating Class A. Phosphate treat and factory prime zinc-coated surfaces as specified in SDI/DOOR A250.8.

## 2.8 FABRICATION AND WORKMANSHIP

Provide finished doors and frames that are strong and rigid, neat in appearance, and free from defects, waves, scratches, cuts, dents, ridges, holes, warp, and buckle. Provide molded members that are clean cut, straight, and true, with joints coped or mitered, well formed, and in true alignment. Dress exposed welded and soldered joints smooth. Design door frame sections for use with the wall construction indicated. Corner joints must be well formed and in true alignment. Conceal fastenings where practicable.

# PART 3 EXECUTION

## 3.1 INSTALLATION

### 3.1.1 Frames

Set frames in accordance with SDI/DOOR A250.11. Plumb, align, and brace securely until permanent anchors are set. Anchor bottoms of frames with expansion bolts or powder-actuated fasteners. Build in or secure wall anchors to adjoining construction.

### 3.1.2 Doors

Hang doors in accordance with clearances specified in SDI/DOOR A250.8. After erection and glazing, clean and adjust hardware.

## 3.2 PROTECTION

Protect doors and frames from damage. Repair damaged doors and frames prior to completion and acceptance of the project or replace with new, as directed. Wire brush rusted frames until rust is removed. Clean thoroughly. Apply an all-over coat of rust-inhibitive paint of the same type used for shop coat.

## 3.3 CLEANING

Upon completion, clean exposed surfaces of doors and frames thoroughly. Remove mastic smears and other unsightly marks.

-- End of Section --

SECTION 08 14 00

WOOD DOORS
**08/16, CHG 1: 08/18**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM E90 | (2023) Standard Test Method for Laboratory Measurement of Airborne Sound Transmission Loss of Building Partitions and Elements |

WINDOW AND DOOR MANUFACTURERS ASSOCIATION (WDMA)

| | |
|---|---|
| ANSI/WDMA I.S.1A | (2013) Interior Architectural Wood Flush Doors |

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Doors

Submit drawings or catalog data showing each type of door unit . Indicate within drawings and data the door types and construction, sizes, thickness, .

SD-03 Product Data

Doors

Recycled Content for Door Cores

Accessories

Water-resistant Sealer

Sample Warranty

Sound Transmission Class Rating

SD-04 Samples

Doors

Prior to the delivery of wood doors, submit a sample section of each type of door which shows the stile, rail, veneer, finish, and core construction.

Door Finish Colors

Submit a minimum of three color selection samples , minimum 3 by 5 inches in size for selection by the Contracting Officer.

SD-06 Test Reports

SD-07 Certificates

Certificates of Grade

SD-11 Closeout Submittals

Warranty

1.3 CERTIFICATIONS

1.3.1 Certified Wood Grades

Provide certificates of grade from the grading agency on , acoustical doors, and .

1.4 DELIVERY, STORAGE, AND HANDLING

Deliver doors to the site in an undamaged condition and protect against damage and dampness. Stack doors flat under cover. Support on blocking, a minimum of 4 inch thick, located at each end and at the midpoint of the door. Store doors in a well-ventilated building so that they will not be exposed to excessive moisture, heat, dryness, direct sunlight, or extreme changes of temperature and humidity. Replace defective or damaged doors with new ones.

1.5 WARRANTY

Warrant doors free of defects as set forth in the door manufacturer's standard door warranty.

PART 2 PRODUCTS

2.1 DOORS

Provide doors of the types, sizes, and designs indicated free of urea-formaldehyde resins.

2.1.1 Flush Doors

Conform to ANSI/WDMA I.S.1A for flush doors. Provide hollow core doors with lock blocks and 1 inch minimum thickness hinge stile. Hardwood stile edge bands of doors receives a natural finish, compatible with face veneer. Provide mill option for stile edge of doors scheduled to be

painted. No visible finger joints will be accepted in stile edge bands. When used, locate finger-joints under hardware.

2.1.1.1 Interior Flush Doors

Provide particleboard core, Type II flush doors conforming to ANSI/WDMA I.S.1A with faces of sound grade hardwood or hardboard for painted finish . Hardwood veneers must be book matched. Door cores must have a minimum recycled content of 45 percent. Provide data identifying percentage of recycled content for door cores. Products must contain no added urea-formaldehyde resins.

2.1.2 Acoustical Doors

ANSI/WDMA I.S.1A, solid core, constructed to provide Sound Transmission Class rating of 35 when tested in accordance with ASTM E90.

2.2 ACCESSORIES

2.2.1 Additional Hardware Reinforcement

Provide the minimum lock blocks to secure the specified hardware. The measurement of top, bottom, and intermediate rail blocks are a minimum 125 mm 5 inch by full core width. Comply with the manufacturer's labeling requirements for reinforcement blocking, but not mineral material similar to the core.

2.3 FABRICATION

2.3.1 Marking

Stamp each door with a brand, stamp, or other identifying mark indicating quality and construction of the door.

2.3.2 Quality and Construction

Identify the standard on which the construction of the door was based , identify the standard under which preservative treatment was made, and identify doors having a Type I glue bond.

2.3.3 Preservative Treatment

Treat doors scheduled for restrooms, janitor closets and other possible wet locations including exterior doors with a water-repellent preservative treatment and so marketed at the manufacturer's plant.

2.3.4 Adhesives and Bonds

ANSI/WDMA I.S.1A. Use Type I bond for exterior doors and Type II bond for interior doors. Provide a nonstaining adhesive on doors with a natural finish.

2.3.5 Prefitting

Provide factory prefinished and factory prefitted doors for the specified hardware, door frame and door-swing indicated. Machine and size doors at the factory by the door manufacturer in accordance with the standards under which the doors are produced and manufactured. The work includes sizing, beveling edges, mortising, and drilling for hardware and providing

necessary beaded openings for glass and louvers. Provide the door manufacturer with the necessary hardware samples, and frame and hardware schedules to coordinate the work.

2.3.6 Finishes

2.3.6.1 Field Painting

Factory prime or seal doors, and field paint.

2.3.6.2 Color

Provide door finish colors as shown on drawings.

2.3.7 Water-Resistant Sealer

Provide manufacturer's standard water-resistant sealer compatible with the specified finish.

PART 3 EXECUTION

3.1 INSTALLATION

Do not install building construction materials that show visual evidence of biological growth.

Before installation, seal top and bottom edges of doors with the approved water-resistant sealer. Seal cuts made on the job immediately after cutting using approved water-resistant sealer. Fit, trim, and hang doors with a 1/16 inch minimum, 1/8 inch maximum clearance at sides and top, and a 3/16 inch minimum, 1/4 inch maximum clearance over thresholds. Provide 3/8 inch minimum, 7/16 inch maximum clearance at bottom where no threshold occurs. Bevel edges of doors at the rate of 1/8 inch in 2 inch. Door warp must not exceed 1/4 inch when measured in accordance with ANSI/WDMA I.S.1A.

3.2 SCHEDULE

Some metric measurements in this section are based on mathematical

conversion of inch-pound measurements, and not on metric measurement commonly agreed to by the manufacturers or other parties. The inch-pound and metric measurements are as follows:

| PRODUCTS | INCH-POUND | METRIC |
|---|---|---|
| Closet doors | 1-1/8 inch | 28.5 mm |
| | 1-3/8 inch | 35 mm |
| X-Ray resistant doors | 1-3/4 inch | 44.5 mm |
| | 2 inches | 50 mm |
| | 2-1/4 inch | 57 mm |
| | 2-1/2 inch | 64 mm |
| Weatherstripping | 0.0089 inch | 0.23 mm |
| | 0.0063 inch | 0.16 mm |

-- End of Section --

SECTION 08 71 00

DOOR HARDWARE
**02/16, CHG 4: 02/22**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM E283 | (2019) Standard Test Method for Determining the Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen |

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)

| | |
|---|---|
| ANSI/BHMA A156.1 | (2021) Butts and Hinges |
| ANSI/BHMA A156.2 | (2022) Bored and Preassembled Locks and Latches |
| ANSI/BHMA A156.3 | (2020) Exit Devices |
| ANSI/BHMA A156.4 | (2024) Door Controls - Closers |
| ANSI/BHMA A156.6 | (2021) Architectural Door Trim |
| ANSI/BHMA A156.7 | (2016) Template Hinge Dimensions |
| ANSI/BHMA A156.8 | (2021) Door Controls - Overhead Stops and Holders |
| ANSI/BHMA A156.13 | (2022) Mortise Locks & Latches Series 1000 |
| ANSI/BHMA A156.16 | (2023) Auxiliary Hardware |
| ANSI/BHMA A156.18 | (2020) Materials and Finishes |
| ANSI/BHMA A156.21 | (2019) Thresholds |
| ANSI/BHMA A156.22 | (2021) Gasketing |
| ANSI/BHMA A156.36 | (2010) Auxiliary Locks |

STEEL DOOR INSTITUTE (SDI/DOOR)

| | |
|---|---|
| SDI/DOOR A250.8 | (2023) Specifications for Standard Steel Doors and Frames |

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

36 CFR 1191 Americans with Disabilities Act (ADA) Accessibility Guidelines for Buildings and Facilities; Architectural Barriers Act (ABA) Accessibility Guidelines

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Manufacturer's Detail Drawings

Verification of Existing Conditions

Hardware Schedule

SD-03 Product Data

Hardware Items

SD-08 Manufacturer's Instructions

Installation

SD-10 Operation and Maintenance Data

Hardware Schedule Items, Data Package 1

SD-11 Closeout Submittals

1.3 SHOP DRAWINGS

Submit manufacturer's detail drawings indicating all hardware assembly components and interface with adjacent construction. Base shop drawings on verified field measurements and include verification of existing conditions.

1.4 PRODUCT DATA

Indicate fire-ratings at applicable components. Provide documentation of ABA/ADA accessibility compliance of applicable components, as required by 36 CFR 1191 Appendix D - Technical.

1.5 HARDWARE SCHEDULE

Provide Hardware Item List and Hardware Schedule containing the following information, and additional information as needed to identify the complete make up of each hardware set and its application to each opening:

1.5.1 Hardware Item List:

a. Hardware Type

b. Item Number

c. Quantity

d. Size(s)

e. Reference Publication / Type Number

f. Manufacturer's Name / Catalog Number

g. Key Control Symbols

h. UL Mark (If fire rated and listed)

i. BHMA Finish(es)

j. Remarks

1.5.2 Hardware Schedule

a. Hardware Set Number

b. Opening Number(s)

c. Opening Description (single/double leaf, hand, size, door/frame material)

d. Fire Rating

e. Sound Rating

f. Hardware Items

g. Quantity

h. Size

i. BHMA Finish

j. Remarks

In addition, submit hardware schedule data package 1 in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

1.6 QUALITY ASSURANCE

1.6.1 Hardware Manufacturers and Modifications

Provide, as far as feasible, locks, hinges, and closers of one lock, hinge, or closer manufacturer's make. Modify hardware as necessary to provide features indicated or specified.

1.6.2 Key Shop Drawings Coordination Meeting

Prior to the submission of the key shop drawing, the Contracting Officer,

Contractor, Door Hardware Subcontractor, using Activity and Base Locksmith must meet to discuss and coordinate key requirements for the facility.

## 1.7 DELIVERY, STORAGE, AND HANDLING

Deliver hardware in original individual containers, complete with necessary appurtenances including fasteners and instructions. Mark each individual container with item number as shown on hardware schedule. Deliver permanent keys and removable cores to the Contracting Officer, either directly or by certified mail. Deliver construction master keys with the locks.

# PART 2 PRODUCTS

## 2.1 TEMPLATE HARDWARE

Hardware applied to metal or to prefinished doors must be manufactured using a template. Provide templates to door and frame manufacturers in accordance with ANSI/BHMA A156.7 for template hinges. Coordinate hardware items to prevent interference with other hardware.

## 2.2 HARDWARE ITEMS

Clearly and permanently mark with the manufacturer's name or trademark, hinges, pivots, locks, latches, exit devices, bolts and closers where the identifying mark is visible after the item is installed. For closers with covers, the name or trademark may be beneath the cover. Coordinate electrified door hardware components with corresponding components specified in Division 28 ELECTRONIC SECURITY SYSTEMS (ESS).

### 2.2.1 Hinges

Provide in accordance with ANSI/BHMA A156.1. Provide hinges that are 4-1/2 by 4-1/2 inch unless otherwise indicated. Construct loose pin hinges for interior doors and reverse-bevel exterior doors so that pins are non-removable when door is closed. Other anti-friction bearing hinges may be provided in lieu of ball bearing hinges.

### 2.2.2 Locks and Latches

.

a. In non-air-conditioned interior environments or humid interior environments, provide interior locksets on the same Marine Grade I, fully non-ferrous as exterior locksets.

#### 2.2.2.1 Mortise Locks and Latches

Provide knobs and roses of mortise locks with screwless shanks and no exposed screws.

#### 2.2.2.2 Bored Locks and Latches

Provide in accordance with ANSI/BHMA A156.2, Series 4000, Grade 1. Provide factory installed lead lining in locks for lead -shielded doors.

#### 2.2.2.3 Auxiliary Locks

Provide in accordance with ANSI/BHMA A156.36, Grade 1.

### 2.2.3 Cylinders and Cores

Provide cylinders and cores for new locks, including locks provided under other sections of this specification. Provide cylinders and cores with seven pin tumblers. Provide cylinders from the products of one manufacturer, and provide cores from the products of one manufacturer. Rim cylinders, mortise cylinders, and knobs of bored locksets have interchangeable cores which are removable by special control keys. Stamp each interchangeable core with a key control symbol in a concealed place on the core.

### 2.2.4 Lock Trim

Provide cast, forged, or heavy wrought construction and commercial plain design for lock trim.

#### 2.2.4.1 Lever Handles

Provide lever handles . Provide in accordance with ANSI/BHMA A156.3 for mortise locks of lever handles for exit devices. Provide lever handle locks with a breakaway feature (such as a weakened spindle or a shear key) to prevent irreparable damage to the lock when force in excess of that specified in ANSI/BHMA A156.13 is applied to the lever handle. Provide lever handles return to within 1/2 inch of the door face.

### 2.2.5 Closers

Provide in accordance with ANSI/BHMA A156.4, Series C02000, Grade 1, with PT 4C. Provide with brackets, arms, mounting devices, fasteners, full size covers, except at storefront mounting, and other features necessary for the particular application. Size closers in accordance with manufacturer's printed recommendations, or provide multi-size closers, Sizes 1 through 6, and list sizes in the Hardware Schedule. Provide manufacturer's 10 year warranty.

Use stainless steel inside bracketed or door mounted closers on exterior doors. 2.2.5.1 Identification Marking

Engrave each closer with manufacturer's name or trademark, date of manufacture, and manufacturer's size designation in locations that will be visible after installation.

### 2.2.6 Overhead Holders

Provide in accordance with ANSI/BHMA A156.8.

### 2.2.7 Door Protection Plates

Provide in accordance with ANSI/BHMA A156.6.

#### 2.2.7.1 Sizes of Kick Plates

2 inch less than door width for single doors; 1 inch less than door width for pairs of doors. Provide 16 inch kick plates for flush doors .

### 2.2.8 Thresholds

Provide in accordance with ANSI/BHMA A156.21. Use J35100, with vinyl or

silicone rubber insert in face of stop, for exterior doors opening out, unless specified otherwise.

2.2.9 Weatherstripping Gasketing

Provide in accordance with ANSI/BHMA A156.22. Provide the type and function designation where specified in paragraph HARDWARE SCHEDULE. Provide a set to include head and jamb seals, sweep strips, . Air leakage of weatherstripped doors not to exceed 0.5 cubic feet per minute of air per square foot of door area when tested in accordance with ASTM E283. Provide weatherstripping with one of the following:

2.2.9.1 Extruded Aluminum Retainers

Extruded aluminum retainers not less than 0.050 inch wall thickness with vinyl, neoprene, silicone rubber, or polyurethane inserts. Provide clear (natural) anodized aluminum.

2.2.10 Soundproofing Gasketing

Provide in accordance with ANSI/BHMA A156.22. Provide adjustable doorstops at heads,jambs and automatic door bottoms in accordance with the hardware set, of extruded aluminum, clear (natural) anodized, surface applied, with vinyl fin seals between plunger and housing. Provide doorstops with solid neoprene tube, silicone rubber, or closed cell sponge gasket. Provide door bottoms with adjustable operating rod and silicone rubber or closed cell sponge neoprene gasket. Provide doorstops that are mitered at corners. Provide type and function designation where specified in paragraph HARDWARE SETS.

2.2.11 Rain Drips

Provide in accordance with ANSI/BHMA A156.22. Provide extruded aluminum rain drips, not less than 0.08 inch thick, clear anodized finish. Provide the manufacturer's full range of color choices to the Contracting Officer for color selection. Set drips in sealant and fasten with stainless steel screws.

2.2.11.1 Door Rain Drips

Approximately 1-1/2 inch high by 5/8 inch projection. Align bottom with bottom edge of door.

2.2.12 Auxiliary Hardware (Other than locks)

Provide in accordance with ANSI/BHMA A156.16, Grade 1.

2.2.13 Special Tools

Provide special tools, such as spanner and socket wrenches and dogging keys, as required to service and adjust hardware items.

2.3 FASTENERS

Provide fasteners of type, quality, size, and quantity appropriate to the specific application. Fastener finish to match hardware. Provide stainless steel or nonferrous metal fasteners in locations exposed to weather. Verify metals in contact with one another are compatible and will avoid galvanic corrosion when exposed to weather.

## 2.4 FINISHES

Provide in accordance with ANSI/BHMA A156.18. Provide hardware in BHMA 630 finish (satin stainless steel), unless specified otherwise. Provide items not manufactured in stainless steel in BHMA 626 finish (satin chromium plated) over brass or bronze, except BHMA 652 finish (satin chromium plated) for steel hinges. Provide hinges for exterior doors in stainless steel with BHMA 630 finish.

# PART 3 EXECUTION

## 3.1 INSTALLATION

Provide hardware in accordance with manufacturers' printed installation instructions. Fasten hardware to wood surfaces with full-threaded wood screws or sheet metal screws. Provide machine screws set in expansion shields for fastening hardware to solid concrete and masonry surfaces. Provide toggle bolts where required for fastening to hollow core construction. Provide through bolts where necessary for satisfactory installation.

### 3.1.1 Weatherstripping Installation

Provide full contact, weathertight seals that allow operation of doors without binding the weatherstripping.

#### 3.1.1.1 Stop Applied Weatherstripping

Fasten in place with color matched sheet metal screws not more than 9 inch on center after doors and frames have been finish painted.

### 3.1.2 Soundproofing Installation

Provide as specified for stop applied weatherstripping.

### 3.1.3 Threshold Installation

Extend thresholds the full width of the opening and notch end for jamb stops. Set thresholds in a full bed of sealant and anchor to floor with cadmium-plated, countersunk, steel screws in expansion sleeves. For aluminum thresholds placed on top of concrete surfaces, coat the underside surfaces that are in contact with the concrete with fluid applied waterproofing as a separation measure prior to placement.

## 3.2 HARDWARE LOCATIONS

Provide in accordance with SDI/DOOR A250.8, unless indicated or specified otherwise.

a. Kick Plates: Push side of single-acting doors.

## 3.3 FIELD QUALITY CONTROL

After installation, protect hardware from paint, stains, blemishes, and other damage until acceptance of work. Submit notice of testing 15 days before scheduled, so that testing can be witnessed by the Contracting

Officer. Adjust hinges, locks, latches, bolts, holders, closers, and other items to operate properly. Demonstrate that permanent keys operate respective locks, and give keys to the Contracting Officer. Correct, repair, and finish, errors in cutting and fitting and damage to adjoining work.

## 3.4 HARDWARE SETS

Provide

| | |
|---|---|
| HW-1 | |
| 1-1/2 PAIR HINGES | A5111 by MSP, ANTI-LIGATURE |
| 1 CLOSER | C02021 by CAM-MOTION |
| 1 KICKPLATE | J102 |
| 1 THRESHOLD | J36130 |
| 1 DOOR VIEWER | L13171 |
| 1 SET WEATHERSTRIPPING | R0D165 |
| 1 SET DOOR RAIN DRIPS | 1-1/2 INCH HIGH X 2-1/2 INCH PROJECTION |
| HW-2 | |
| 1-1/2 PAIR HINGES | A5112, ANTI-LIGATURE |
| 1 LOCKSET | F75, GRADE 1 |
| HW-3 | |
| 1-1/2 PAIR HINGES | A5112, ANTI-LIGATURE |
| 1 LOCKSET | F92, GRADE 1 |
| HW-4 | |
| 1-1/2 PAIR HINGES | A5112, ANTI-LIGATURE |
| 1 LOCKSET | 2DT, GRADE 1 |
| 1 OVERHEAD HOLDER | C05511 |

-- End of Section --

SECTION 09 51 00

ACOUSTICAL CEILINGS
**08/20**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM C423 | (2023) Sound Absorption and Sound Absorption Coefficients by the Reverberation Room Method |
| ASTM E413 | (2022) Classification for Rating Sound Insulation |
| ASTM E795 | (2023) Standard Practices for Mounting Test Specimens During Sound Absorption Tests |
| ASTM E1111/E1111M | (2014; R 2022) Standard Test Method for Measuring the Interzone Attenuation of Open Office Components |
| ASTM E1264 | (2023) Standard Classification for Acoustical Ceiling Products |
| ASTM E1414/E1414M | (2021a) Standard Test Method for Airborne Sound Attenuation Between Rooms Sharing a Common Ceiling Plenum |
| ASTM E1477 | (1998; R 2022a) Standard Test Method for Luminous Reflectance Factor of Acoustical Materials by Use of Integrating-Sphere Reflectometers |

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product DataAcoustical Ceiling SystemsRecycled Content for Type III Ceiling Tiles

Acoustical Performance

SD-04 Samples

Acoustical Units;

Acoustical Ceiling Tiles;

SD-06 Test Reports

Indoor Air Quality for Type III Ceiling Tiles1.3 DELIVERY, STORAGE. AND HANDLING

Deliver materials to the site in the manufacturer's original unopened containers with brand name and type clearly marked. Carefully handle and store materials in dry, watertight enclosures. Immediately before installation, store acoustical units for not less than 24 hours at the same temperature and relative humidity as the space where they will be installed in order to assure proper temperature and moisture acclimation.

1.4 ENVIRONMENTAL REQUIREMENTS

Maintain a uniform temperature of not less than 60 degrees F nor more than 85 degrees F and a relative humidity of not more than 70 percent for 24 hours before, during, and 24 hours after installation of acoustical units.

1.5 SCHEDULING

Complete and dry interior finish work such as plastering, concrete and terrazzo work before ceiling installation. Complete mechanical, electrical, and other work above the ceiling line; install and start operating heating, ventilating, and air conditioning systems in order to maintain temperature and humidity requirements.

1.6 WARRANTY

Provide manufacturer's warranty to repair or replace defective materials and workmanship including but not limited to, sagging and warping of panels and rusting and of grid systems, for a period of ten years from date of final acceptance of the work.

1.7 EXTRA MATERIALS

Furnish spare tiles, from the same lot as those installed, of each color at the rate of 1 tile for each 1 tile installed.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide sound controlling units mechanically mounted on a ceiling suspension system for acoustical treatment. Provide the unit size, texture, finish, and color as specified. Coordinate the entire ceiling system with other details, like the location of access panels and ceiling penetrations, for instance, shown on the drawings.

2.1.1 Acoustical Performance

2.1.1.1 Ceiling Sound Transmission

Provide ceiling systems with the specified Ceiling Attenuation Class (CAC) ratings as determined in accordance with ASTM E1414/E1414M and ASTM E413. Provide sound attenuators over light fixtures, air terminals and other

ceiling penetrations, provide acoustical blanket insulation on top of the ceiling or adjacent to partitions to provide lightweight acoustical plenum barriers above partitions as required to achieve the specified CAC ratings. Provide test ceiling continuous at the partition and assembled in the suspension system in the same manner that the ceiling will be installed on the project.

2.1.1.2 Ceiling Sound Absorption

Determine the Noise Reduction Coefficient (NRC) in accordance with ASTM C423. Determine Articulation Class (AC) in accordance with ASTM E1111/E1111M.

2.1.2 Light Reflectance

Determine light reflectance factor in accordance with ASTM E1477 test method.

2.2 ACOUSTICAL UNITS

Submit samples of each type of acoustical unit and each type of suspension grid tee section showing texture, finish, and color. Conform acoustical units to ASTM E1264, Class A, and the following requirements:

2.2.1 Units for Exposed-Grid System

2.2.1.1 TypeIII (non-asbestos mineral fiber with painted finish).Provide Type III Acoustical Ceiling Tiles containing a minimum of 30 percent recycled content. Provide data identifying percentage of recycled content for Type III ceiling tiles. Provide certification of indoor air quality for Type III Ceiling Tiles.

2.2.1.2 Flame Spread

Class A, 25 or less

2.2.1.3 Pattern

E

2.2.1.4 Minimum NRC

0.50 when tested on mounting Type E-400 of ASTM E795.

2.2.1.5 Minimum Light Reflectance Coefficient
LR-1, 0.75 or greater

2.2.1.6 Nominal Size

24 by 24 inch

2.2.1.7 Edge Detail

Square

#### 2.2.1.8 Finish

Factory-applied standard finish. See paragraph COLORS AND STANDARDS.

#### 2.2.1.9 Minimum CAC

30

### 2.3 FINISHES

Use manufacturer's standard textures, patterns and finishes as specified for acoustical units and suspension system members. Treat ceiling suspension system components to inhibit corrosion.

### 2.4 COLORS AND PATTERNS

Use colors and patterns for acoustical units as indicated; colors listed are not intended to limit the selection of equal colors from other manufacturers.

## PART 3 EXECUTION

### 3.1 INSTALLATION

Do not install building construction materials that show visual evidence of biological growth.

Examine surfaces to receive directly attached acoustical units for unevenness, irregularities, and dampness that would affect quality and execution of the work. Rid areas, where acoustical units will be cemented, of oils, form residue, or other materials that reduce bonding capabilities of the adhesive. Complete and dry interior finish work such as plastering, concrete, and terrazzo work before installation. Complete and approve mechanical, electrical, and other work above the ceiling line prior to the start of acoustical ceiling installation. Provide acoustical work complete with necessary fastenings, clips, and other accessories required for a complete installation. Do not expose mechanical fastenings in the finished work. Lay out hangers for each individual room or space. Provide hangers to support framing around beams, ducts, columns, grilles, and other penetrations through ceilings. Keep main runners and carrying channels clear of abutting walls and partitions. Provide at least two main runners for each ceiling span. Wherever required to bypass an object with the hanger wires, install a subsuspension system so that all hanger wires will be plumb.

#### 3.1.1 Acoustical Units

Install acoustical units in accordance with the approved installation instructions of the manufacturer. Ensure that edges of acoustical units are in close contact with metal supports, with each other, and in true alignment. Arrange acoustical units so that units less than one-half width are minimized. Hold units in exposed-grid system in place with manufacturer's standard hold-down clips, if units weigh less than 1 psf or if required for fire resistance rating.

### 3.2 CEILING ACCESS PANELS

Locate ceiling access panels directly under the items which require access.

## 3.3 CLEANING

Following installation, clean dirty or discolored surfaces of acoustical units and leave them free from defects. Remove units that are damaged or improperly installed and provide new units as directed.

-- End of Section --

SECTION 09 65 00

RESILIENT FLOORING
**08/10, CHG 3: 08/18**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM D4078 | (2002; R 2015) Water Emulsion Floor Polish |
| ASTM E648 | (2023) Standard Test Method for Critical Radiant Flux of Floor-Covering Systems Using a Radiant Heat Energy Source |
| ASTM F710 | (2022) Standard Practice for Preparing Concrete Floors to Receive Resilient Flooring |
| ASTM F1482 | (2021) Standard Practice for Installation and Preparation of Panel Type Underlayments to Receive Resilient Flooring |
| ASTM F1700 | (2020) Standard Specification for Solid Vinyl Floor Tile |
| ASTM F1861 | (2021) Standard Specification for Resilient Wall Base |
| ASTM F1869 | (2023) Standard Test Method for Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride |
| ASTM F2170 | (2019a) Standard Test Method for Determining Relative Humidity in Concrete Floor Slabs Using in situ Probes |

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

| | |
|---|---|
| CDPH SECTION 01350 | (2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers |

GREEN SEAL (GS)

| | |
|---|---|
| GS-36 | (2013) Adhesives for Commercial Use |

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

| | |
|---|---|
| SCS | SCS Global Services (SCS) Indoor Advantage |

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

| | |
|---|---|
| SCAQMD Rule 1168 | (2017) Adhesive and Sealant Applications |

UNDERWRITERS LABORATORIES (UL)

| | |
|---|---|
| UL 2818 | (2022) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings |

1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Resilient Flooring and Accessories

SD-03 Product Data

Resilient Flooring and Accessories

Adhesives

Luxury Vinyl Tile

Recycled content for Luxury Vinyl Tile

Wall Base

SD-04 Samples

Resilient Flooring and Accessories

SD-06 Test Reports

Moisture, Alkalinity and Bond Tests

SD-07 Certificates

Indoor Air Quality for Luxury Vinyl Tile

Indoor Air Quality for Wall Base

Indoor Air Quality for Adhesives

SD-08 Manufacturer's Instructions

Surface Preparation

Installation

SD-10 Operation and Maintenance Data

Resilient Flooring and Accessories

1.3 CERTIFICATES

1.3.1 Indoor Air Quality

Submit required indoor air quality certifications and validations in one submittal package.

1.3.1.1 Floor Covering Materials

Provide Luxury Vinyl Tile, and wall base products certified to meet indoor air quality requirements by FLOORSCORE, UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification by other third-party programs. Provide current product certification documentation from certification body.

1.3.1.2 Adhesives, Caulking and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

1.4 DELIVERY, STORAGE, AND HANDLING

Deliver materials to the building site in original unopened containers bearing the manufacturer's name, style name, pattern color name and number, production run, project identification, and handling instructions. Store materials in a clean, dry, secure, and well-ventilated area free from strong contaminant sources and residues with ambient air temperature maintained above 68 degrees F and below 85 degrees F, stacked according to manufacturer's recommendations. Remove resilient flooring products from packaging to allow ventilation prior to installation. Protect materials from the direct flow of heat from hot-air registers, radiators and other heating fixtures and appliances. Observe ventilation and safety procedures specified in the MSDS. Do not store rubber surface products with materials that have a high capacity to adsorb volatile organic compound (VOC) emissions. Do not store exposed rubber surface materials in occupied spaces. Do not store near materials that may offgas or emit harmful fumes, such as kerosene heaters, fresh paint, or adhesives.

1.5 ENVIRONMENTAL REQUIREMENTS

Maintain areas to receive resilient flooring at a temperature above 68 degrees F and below 85 degrees F for 3 days before application, during application and 2 days after application, unless otherwise directed by the flooring manufacturer for the flooring being installed. Maintain a

minimum temperature of 55 degrees F thereafter. Provide adequate ventilation to remove moisture from area and to comply with regulations limiting concentrations of hazardous vapors.

1.6 SCHEDULING

Schedule resilient flooring application after the completion of other work which would damage the finished surface of the flooring.

1.7 WARRANTY

Provide manufacturer's standard performance guarantees or warranties that extend beyond a one year period.

1.8 EXTRA MATERIALS

Provide extra flooring material of each color and pattern at the rate of 5 tiles for each 1000 tiles and 5 square feet for each 1000 square feet of sheet flooring installed. Provide extra wall base material composed of 20 linear feet of each type, color and pattern. Package all extra materials in original properly marked containers bearing the manufacturer's name, brand name, pattern color name and number, production run, and handling instructions. Provide extra materials from the same lot as those installed. Leave extra stock at the site in location assigned by Contracting Officer.

PART 2 PRODUCTS

2.1 LUXURY VINYL TILE

Conform to ASTM F1700 Class III printed film with a minimum wear layer thickness 0.030 inch (30 mil) and minimum overall thickness 0.118 inch , Type B (embossed). Provide 6 x 48 inch tile. Provide tile with a factory protective finish that enhances cleanability and durability.

Provide Luxury Vinyl Tile containing a minimum of 35 percent recycled content. Provide data identifying percentage of recycled content for Luxury Vinyl Tile.

Provide certification of indoor air quality for Luxury Vinyl Tile.

2.2 WALL BASE

Conform to ASTM F1861, Type TP (thermoplastic rubber) , Style B (coved - installed with resilient flooring) . Provide 4 inch high and a minimum 1/8 inch thick wall base. Provide job formed corners in matching height, shape, and color.

Provide certification of indoor air quality for Wall Base.

2.3 MOULDING

Provide tapered mouldings of rubber and types as recommended by flooring manufacturer for both edges and transitions of flooring materials specified. Provide vertical lip on moulding of maximum 1/4 inch. Provide bevel change in level between 1/4 and 1/2 inch with a slope no greater than 1:2.

## 2.4 ADHESIVES

Provide adhesives for flooring, base and accessories as recommended by the manufacturer and comply with local indoor air quality standards. Submit manufacturer's descriptive data, documentation stating physical characteristics, and mildew and germicidal characteristics.

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168. Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

## 2.5 SURFACE PREPARATION MATERIALS

Provide surface preparation materials, such as panel type underlayment, lining felt, and floor crack fillers as recommended by the flooring manufacturer for the subfloor conditions. Comply with ASTM F1482 for panel type underlayment products. Use one of the following substrates:

f. Concrete.

## 2.6 POLISH/FINISH

Provide polish finish as recommended by the manufacturer and conform to ASTM D4078 for polish.

## 2.7 CAULKING AND SEALANTS

Provide caulking and sealants in accordance with Section 07 92 00 JOINT SEALANTS.

## 2.8 MANUFACTURER'S COLOR, PATTERN AND TEXTURE

Provide color, pattern and texture for resilient flooring and accessories as indicated on the drawings . Provide flooring in any one continuous area or replacement of damaged flooring in continuous area from same production run with same shade and pattern. Submit scaled drawings indicating patterns (including location of patterns and colors) and dimensions. Submit manufacturer's descriptive data and three samples of each indicated color and type of flooring, base, mouldings, and accessories sized a minimum 2-1/2 by 4 inch. Submit Data Package 1 in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

## 2.9 FIRE RESISTANCE TESTING REQUIREMENTS

Provide a minimum average critical radiant flux of 0.45 watts per square centimeter for flooring in corridors and exits when tested in accordance with ASTM E648.

## PART 3 EXECUTION

### 3.1 EXAMINATION

Examine and verify that site conditions are in agreement with the design package. Report all conditions that will prevent a proper installation. Do not take any corrective action without written permission from the Government. Work will proceed only when conditions have been corrected and accepted by the installer. Submit manufacturer's printed installation instructions for all flooring materials and accessories, including preparation of substrate, seaming techniques, and recommended adhesives.

### 3.2 SURFACE PREPARATION

Provide a smooth, true, level plane for surface preparation of the flooring, except where indicated as sloped. Floor to be flat to within 3/16 inch in 10 feet. Prepare subfloor in accordance with flooring manufacturer's recommended instructions. Prepare the surfaces of lightweight concrete slabs (as defined by the flooring manufacturer) as recommended by the flooring manufacturer. Comply with ASTM F710 for concrete subfloor preparation. Floor fills or toppings may be required as recommended by the flooring manufacturer. Install underlayments, when required by the flooring manufacturer, in accordance with manufacturer's recommended printed installation instructions. Comply with ASTM F1482 for panel type underlayments. Before any work under this section is begun, correct all defects such as rough or scaling concrete, chalk and dust, cracks, low spots, high spots, and uneven surfaces. Repair all damaged portions of concrete slabs as recommended by the flooring manufacturer. Remove concrete curing and sealer compounds from the slabs, other than the type that does not adversely affect adhesion. Remove paint, varnish, oils, release agents, sealers, waxes, and adhesives, as required by the flooring product in accordance with manufacturer's printed installation instructions.

### 3.3 MOISTURE, ALKALINITY AND BOND TESTS

Determine the suitability of the concrete subfloor for receiving the resilient flooring with regard to moisture content and pH level by moisture and alkalinity tests. Conduct moisture testing in accordance with ASTM F1869 or ASTM F2170, unless otherwise recommended by the flooring manufacturer. Conduct alkalinity testing as recommended by the flooring manufacturer. Determine the compatibility of the resilient flooring adhesives to the concrete floors by a bond test in accordance with the flooring manufacturer's recommendations. Submit copy of test reports for moisture and alkalinity content of concrete slab, and bond test stating date of test, person conducting the test, and the area tested.

### 3.4 GENERAL INSTALLATION

Do not install building construction materials that show visual evidence of biological growth.

### 3.5 PLACING LUXURY VINYL TILES

Install luxury vinyl tile flooring using glue down installation. Install flooring and accessories in accordance with manufacturer's printed installation instructions. Prepare and apply adhesives in accordance with manufacturer's directions for installation method specified. Keep tile lines and joints square, symmetrical, tight, and even. Keep each floor in

true, level plane, except where slope is indicated. Vary edge width as necessary to maintain full-size tiles in the field, no edge tile to be less than one-half the field tile size, except where irregular shaped rooms make it impossible. Cut flooring to fit around all permanent fixtures, built-in furniture and cabinets, pipes, and outlets. Cut, fit, and scribe edge tile to walls and partitions after field flooring has been applied.

## 3.6 PLACING MOULDING

Provide moulding where flooring termination is higher than the adjacent finished flooring and at transitions between different flooring materials. When required, locate moulding under door centerline. Moulding is not required at doorways where thresholds are provided. Secure moulding with adhesive as recommended by the manufacturer. Prepare and apply adhesives in accordance with manufacturer's printed directions.

## 3.7 PLACING WALL BASE

Install wall base in accordance with manufacturer's printed installation instructions. Prepare and apply adhesives in accordance with manufacturer's printed directions. Tighten base joints and make even with adjacent resilient flooring. Fill voids along the top edge of base at masonry walls with caulk. Roll entire vertical surface of base with hand roller, and press toe of base with a straight piece of wood to ensure proper alignment. Avoid excess adhesive in corners.

## 3.8 CLEANING

Immediately upon completion of installation of flooring in a room or an area, dry and clean the flooring and adjacent surfaces to remove all surplus adhesive. Clean flooring as recommended in accordance with manufacturer's printed maintenance instructions and within the recommended time frame. As required by the manufacturer, apply the recommended number of coats and type of polish and finish in accordance with manufacturer's written instructions.

## 3.9 PROTECTION

From the time of installation until acceptance, protect flooring from damage as recommended by the flooring manufacturer. Remove and replace flooring which becomes damaged, loose, broken, or curled and wall base which is not tight to wall or securely adhered.

-- End of Section --

SECTION 09 90 00

PAINTS AND COATINGS
**02/21**

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

1.1.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.

b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.

c. Existing coated surfaces that are damaged during performance of the work.

1.1.1.1 Exterior Painting

Includes new surfaces, existing coated surfaces, of the building and appurtenances. Also included are existing coated surfaces made bare by cleaning operations.

1.1.1.2 Interior Painting

Includes new surfacesexisting coated surfaces of the building and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

a. Exposed columns, girders, beams, joists, and metal deck; and

b. Other contiguous surfaces.

1.1.2 Painting Excluded

Do not paint the following unless indicated otherwise.

a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.

b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.

c. Steel to be embedded in concrete.

d. Copper, stainless steel, aluminum, anodized aluminum, brass, and lead except existing coated surfaces.

e. Hardware, fittings, and other factory finished items.

## 1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

| | |
|---|---|
| ACGIH 0100 | (2017; Suppl 2020) Documentation of the Threshold Limit Values and Biological Exposure Indices |

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM C920 | (2018) Standard Specification for Elastomeric Joint Sealants |
| ASTM D235 | (2022) Standard Specification for Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent) |
| ASTM D523 | (2014; R 2018) Standard Test Method for Specular Gloss |
| ASTM D4214 | (2007; R 2015) Standard Test Method for Evaluating the Degree of Chalking of Exterior Paint Films |
| ASTM D4263 | (1983; R 2018) Standard Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method |
| ASTM D4444 | (2013; R 2018) Standard Test Method for Laboratory Standardization and Calibration of Hand-Held Moisture Meters |
| ASTM F1869 | (2023) Standard Test Method for Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride |

CENTERS FOR DISEASE CONTROL AND PREVENTION (CDC)

| | |
|---|---|
| Intelligence Bulletin 65 | (2013) Occupational Exposure to Carbon Nanotubes and Nanofibers |

MASTER PAINTERS INSTITUTE (MPI)

| | |
|---|---|
| MPI 9 | (2016) Alkyd, Exterior Gloss (MPI Gloss Level 6) |
| MPI 23 | (2015) Primer, Metal, Surface Tolerant |
| MPI 39 | (2018) Primer, Latex, for Interior Wood |
| MPI 45 | (2016) Primer Sealer, Interior Alkyd |

| | |
|---|---|
| MPI 47 | (2016) Alkyd, Interior, Semi-Gloss (MPI Gloss Level 5) |
| MPI 76 | (2016) Primer, Alkyd, Quick Dry, for Metal |
| MPI 101 | (2016) Primer, Epoxy, Anti-Corrosive, for Metal |
| MPI 107 | (2016) Primer, Rust-Inhibitive, Water Based |
| MPI 141 | (2016) Latex, Interior, High Performance Architectural, Semi-Gloss (MPI Gloss Level 5) |
| MPI 144 | (2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 2) |
| MPI 146 | (2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 4) |
| MPI 147 | (May 2016) Latex, Interior, Institutional Low Odor/VOC, Semi-Gloss (MPI Gloss Level 5) |
| MPI ASM | (2019) Architectural Painting Specification Manual |
| MPI GPS-1-14 | (2014) Green Performance Standard GPS-1-14 |
| MPI GPS-2-14 | (2014) Green Performance Standard GPS-2-14 |
| MPI MRM | (2015) Maintenance Repainting Manual |

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

| | |
|---|---|
| SSPC 7/NACE No.4 | (2007) Brush-Off Blast Cleaning |
| SSPC Glossary | (2011) SSPC Protective Coatings Glossary |
| SSPC Guide 6 | (2021) Guide for Containing Surface Preparation Debris Generated During Paint Removal Operations |
| SSPC Guide 7 | (2015) Guide to the Disposal of Lead-Contaminated Surface Preparation Debris |
| SSPC PA 1 | (2024) Shop, Field, and Maintenance Coating of Metals |
| SSPC SP 1 | (2015) Solvent Cleaning |
| SSPC SP 2 | (2018) Hand Tool Cleaning |
| SSPC SP 3 | (2018) Power Tool Cleaning |
| SSPC SP 6/NACE No.3 | (2007) Commercial Blast Cleaning |
| SSPC SP 10/NACE No. 2 | (2015) Near-White Blast Cleaning |

| | |
|---|---|
| SSPC VIS 1 | (2002; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Dry Abrasive Blast Cleaning |
| SSPC VIS 3 | (2004) Guide and Reference Photographs for Steel Surfaces Prepared by Hand and Power Tool Cleaning |
| SSPC VIS 4/NACE VIS 7 | (1998; E 2000; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Waterjetting |
| SSPC-SP WJ-1/NACE WJ-1 | (2012) Clean to Bare Substrate, Waterjet Cleaning of Metals |
| SSPC-SP WJ-2/NACE WJ-2 | (2012) Very Thorough Cleaning, Waterjet Cleaning of Metals |
| SSPC-SP WJ-3/NACE WJ-3 | (2012) Thorough Cleaning, Waterjet Cleaning of Metals |
| SSPC-SP WJ-4/NACE WJ-4 | (2012) Light Cleaning, Waterjet Cleaning of Metals |

U.S. ARMY CORPS OF ENGINEERS (USACE)

| | |
|---|---|
| EM 385-1-1 | (2024) Safety and Occupational Health (SOH) Requirements |

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

| | |
|---|---|
| EPA Method 24 | (2000) Determination of Volatile Matter Content, Water Content, Density, Volume Solids, and Weight Solids of Surface Coatings |

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

| | |
|---|---|
| FED-STD-313 | (2018) Material Safety Data, Transportation Data and Disposal Data for Hazardous Materials Furnished to Government Activities |

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

| | |
|---|---|
| 29 CFR 1910.1000 | Air Contaminants |
| 29 CFR 1910.1025 | Lead |
| 29 CFR 1926.62 | Lead |

## 1.3 DEFINITIONS

### 1.3.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be

accomplished by Contractor's third-party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.3.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing must be accomplished by an MPI testing lab.

1.3.3 Coating

SSPC Glossary; (1) A liquid, liquefiable, or mastic composition that is converted to a solid protective, decorative, or functional adherent film after application as a thin layer; (2) Generic term for paint, lacquer, enamel.

1.3.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.3.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five levels are generically defined under the Assessment sections in the MPI MRM, MPI Maintenance Repainting Manual.

1.3.6 EXT

MPI short term designation for an exterior coating system.

1.3.7 INT

MPI short term designation for an interior coating system.

1.3.8 Loose Paint

Paint or coating that can be removed with a dull putty knife.

1.3.9 mil / mils

The English measurement for 0.001 in or one one-thousandth of an inch.

1.3.10 MPI Gloss Levels

MPI system of defining gloss. Seven gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

| Gloss Level | Description | Units at 60 degree angle | Units at 80 degree angle |
|---|---|---|---|
| G1 | Matte or Flat | 0 to 5 | 10 max |
| G2 | Velvet | 0 to 10 | 10 to 35 |

| Gloss Level | Description | Units at 60 degree angle | Units at 80 degree angle |
|---|---|---|---|
| G3 | Eggshell | 10 to 25 | 10 to 35 |
| G4 | Satin | 20 to 35 | 35 min |
| G5 | Semi-Gloss | 35 to 70 | |
| G6 | Gloss | 70 to 85 | |
| G7 | High Gloss | | |

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.3.11 MPI System Number

The MPI coating system number in each MPI Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN).

1.3.12 Paint

SSPC Glossary; (1) Any pigmented liquid, liquefiable, or mastic composition designed for application to a substrate in a thin layer that is converted to an opaque solid film after application. Used for protection, decoration, identification, or to serve some other functional purposes; (2) Application of a coating material.

1.3.13 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

1.4 SCHEDULING

Allow paint, polyurethane, varnish, and wood stain installations to cure prior to the installation of materials that adsorb VOCs, including resilient flooring.

1.5 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Samples of specified materials may be taken and tested for compliance with specification requirements.

SD-03 Product Data

Coating

Product Data Sheets

SealantSD-04 Samples

Color

SD-07 Certificates

Qualification Testing laboratory for coatings

Indoor Air Quality for Paints and Primers

SD-08 Manufacturer's Instructions

Application Instructions

Mixing

Manufacturer's Safety Data Sheets

SD-10 Operation and Maintenance Data

Coatings, Data Package 1

1.6 QUALITY ASSURANCE

1.6.1 Regulatory Requirements

1.6.1.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.6.1.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.6.1.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.6.1.4 Asbestos Content

Provide asbestos-free materials.

1.6.1.5 Mercury Content

Provide materials free of mercury or mercury compounds.

1.6.1.6 Silica

Provide abrasive blast media containing no free crystalline silica.

1.6.1.7 Human Carcinogens

Provide materials that do not contain ACGIH 0100 confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.6.1.8 Carbon Based Fibers / Tubes

Materials must not contain carbon based fibers such as carbon nanotubes or carbon nanofibers. Intelligence Bulletin 65 ranks toxicity of carbon nanotubes on a par with asbestos.

1.6.2 Coating Contractor's Qualification

Submit the name, address, telephone number, and e-mail address of the Contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application of coatings on walls and ceilings on a minimum of three similar projects within the past three years. List information by individual and include the following:

a. Name of individual and proposed position for this work.

b. Information about each previous assignment including:

Position or responsibility

Employer (if other than the Contractor)

Name of facility owner

Mailing address and telephone number of facility owner

Name of individual in facility owner's organization who can be contacted as a reference

Location, size and description of structure

Dates work was carried out

Description of work carried out on structure

1.6.3 Approved Products List

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of Contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire Contract and each coating system is to be from a single manufacturer. Provide all coats on a particular substrate from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

1.6.4 Paints and Coatings Indoor Air Quality Certifications

Provide paint and coating products certified to meet indoor air quality requirements by MPI GPS-1-14, MPI GPS-2-14 or provide certification by other third-party programs. Provide current product certification

documentation from certification body.

Provide certification of Indoor Air Quality for Paints and Primers. Submit required indoor air quality certifications in one submittal package.

1.6.5 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph SAMPLING PROCEDURE. Test each chosen product as specified in the paragraph TESTING PROCEDURE. Remove products from the job site which do not conform, and replace with new products that conform to the referenced specification. Test replacement products that failed initial testing as specified in the paragraph TESTING PROCEDURE at no cost to the Government.

1.6.5.1 Sampling Procedure

Select paint at random from the products that have been delivered to the job site for sample testing. The Contractor must provide one quart samples of the selected paint materials. Take samples in the presence of the Contracting Officer, and label, and identify each sample. Provide labels in accordance with the paragraph PACKAGING, LABELING, AND STORAGE.

1.6.5.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance Testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph QUALIFICATION TESTING laboratory for coatings. Include the backup data and summary of the test results within the qualification testing lab report. Provide a summary listing of all the reference specification requirements and the result of each test. Clearly indicate in the summary whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If MPI is chosen to perform the Batch Quality Conformance testing, the above submittal information is not required, only a letter is required from the Contractor stating that MPI will perform the testing.

1.7 PACKAGING, LABELING, AND STORAGE

Provide paints in sealed containers that legibly show the Contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Furnish pigmented paints in containers not larger than 5 gallons. Store paints and thinners in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient

ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F. Do not store paint, polyurethane, varnish, or wood stain products with materials that have a high capacity to absorb VOC emissions. Do not store paint, polyurethane, varnish, or wood stain products in occupied spaces.

## 1.8 SAFETY AND HEALTH

Comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and in Appendix A of EM 385-1-1. Include in the Activity Hazard Analysis the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

### 1.8.1 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

a. The applicable manufacturer's Safety Data Sheets (SDS) or local regulation.

b. 29 CFR 1910.1000.

c. ACGIH 0100, threshold limit values.

d. The appropriate OSHA standard in 29 CFR 1910.1025 and 29 CFR 1926.62 for surface preparation on painted surfaces containing lead. Removal and disposal of coatings which contain lead is given in SSPC Guide 6 and SSPC Guide 7. Refer to drawings for list of hazardous materials located on this project. Coordinate paint preparation activities with this specification section.

Submit manufacturer's Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

## 1.9 ENVIRONMENTAL REQUIREMENTS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation.

### 1.9.1 Coatings

Do not apply coating when air or substrate conditions are:

a. Less than 5 degrees F above dew point;

b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Do not, under any circumstances, violate the manufacturer's application recommendations.

### 1.9.2 Post-Application

Vacate space for as long as possible after application. Wait a minimum of 48 hours before occupying freshly painted rooms. Maintain one of the following ventilation conditions during the curing period, or for 72 hours after application:

a. Supply 100 percent outside air 24 hours a day.

b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 85 degrees F and humidity is between 30 percent and 60 percent.

c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

## PART 2 PRODUCTS

### 2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit Product Data Sheets for specified coatings and solvents. Provide preprinted cleaning and maintenance instructions for all coating systems. Submit Manufacturer's Instructions on Mixing: Detailed mixing instructions, minimum and maximum application temperature and humidity, pot life, and curing and drying times between coats.

### 2.2 COLOR SELECTION OF FINISH COATS

Provide colors of finish coats as indicated or specified. Allow Contracting Officer to select colors not indicated or specified. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors are approximately the colors indicated and the product conforms to specified requirements.

Provide color, texture, and pattern of wall coating systems as indicated . Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated. Submit color stencil codes. Tint each coat progressively darker to enable confirmation of the number of coats.

## PART 3 EXECUTION

### 3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, reinstall removed items by workmen skilled in the trades. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

### 3.2 RESEALING OF EXISTING EXTERIOR JOINTS

#### 3.2.1 Surface Condition

Begin with surfaces that are clean, dry to the touch, and free from frost and moisture; remove grease, oil, wax, lacquer, paint, defective backstop, or other foreign matter that would prevent or impair adhesion. Where adequate grooves have not been provided, clean out to a depth of 1/2 inch and grind to a minimum width of 1/4 inch without damage to adjoining work. Grinding is not required on metal surfaces.

### 3.2.2 Backstops

In joints more than 1/2 inch deep, install glass fiber roving or neoprene, butyl, polyurethane, or polyethylene foams free of oil or other staining elements as recommended by sealant manufacturer. Provide backstop material compatible with sealant. Do not use oakum and other types of absorptive materials as backstops.

### 3.2.3 Primer and Bond Breaker

Install the type recommended by the sealant manufacturer.

### 3.2.4 Ambient Temperature

Between 38 degrees F and 95 degrees F when applying sealant.

### 3.2.5 Exterior Sealant

For joints in vertical surfaces, provide ASTM C920, Type S or M, Grade NS, Class 25, Use NT. For joints in horizontal surfaces, provide ASTM C920, Type S or M, Grade P, Class 25, Use T. Color(s) will be selected by the Contracting Officer. Apply the sealant in accordance with the manufacturer's printed instructions. Force sealant into joints with sufficient pressure to fill the joints solidly. Apply sealant uniformly smooth and free of wrinkles.

### 3.2.6 Cleaning

Immediately remove fresh sealant from adjacent areas using a solvent recommended by the sealant manufacturer. Upon completion of sealant application, remove remaining smears and stains and leave the work in a clean condition. Allow sealant time to cure, in accordance with manufacturer's recommendations, prior to coating.

## 3.3 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, disintegrated coatings, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Remove oil and grease prior to mechanical cleaning. Schedule cleaning so that dust and other contaminants will not fall on wet, newly painted surfaces. Spot-prime exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas. Refer to MPI ASM and MPI MRM for additional more specific substrate preparation requirements.

### 3.3.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.

b. Wipe previously painted surfaces to receive solvent-based coatings, except stucco and similarly rough surfaces clean with a clean, dry cloth saturated with mineral spirits, ASTM D235 or as specified in MPI MRM. Wipe the surfaces dry with a clean, dry, lint free cloth. Wipe immediately preceding the application of the first coat of any coating, unless specified otherwise.

c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.

d. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer and specific surface preparation requirements as outlined in MPI MRM Exterior Surface Preparation and Interior Surface Preparation.

e. Thoroughly clean previously painted surfaces specified to be repainted of all grease, dirt, dust or other foreign matter.

f. Remove blistering, cracking, flaking and peeling or otherwise deteriorated coatings.

g. Remove chalk so that when tested in accordance with ASTM D4214, the chalk resistance rating is no less than 8.

h. Roughen slick surfaces. Repair damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls with suitable material to match adjacent undamaged areas.

i. Feather and sand smooth edges of chipped paint.

j. Clean rusty metal surfaces in accordance with SSPC requirements. Use solvent, mechanical, or chemical cleaning methods to provide surfaces suitable for painting.

k. Provide new, proposed coatings that are compatible with existing coatings.

3.3.2 Existing Coated Surfaces with Minor Defects

Sand, spackle, and treat minor defects to render them smooth. Minor defects are defined as scratches, nicks, cracks, gouges, spalls, alligatoring, chalking, and irregularities due to partial peeling of previous coatings. Remove chalking by sanding so that when tested in accordance with ASTM D4214, the chalk rating is not less than 8.

3.3.3 Substrate Repair

a. Repair substrate surface damaged during coating removal;

b. Sand edges of adjacent soundly-adhered existing coatings so they are tapered as smooth as practical to areas involved with coating removal; and

c. Clean and prime the substrate as specified.

## 3.4 PREPARATION OF METAL SURFACES

### 3.4.1 Existing and New Ferrous Surfaces

a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean or detergent wash in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 2, or .; Protect shop-coated ferrous surfaces from corrosion by treating and touching up corroded areas immediately upon detection.

### 3.4.2 Final Ferrous Surface Condition:

#### 3.4.2.1 Tool Cleaned Surfaces

Comply with SSPC SP 2 and SSPC SP 3. Use as a visual reference, photographs in SSPC VIS 3 for the appearance of cleaned surfaces.

#### 3.4.2.2 Abrasive Blast Cleaned Surfaces

Comply with SSPC 7/NACE No.4, SSPC SP 6/NACE No.3, and SSPC SP 10/NACE No. 2. Use as a visual reference, photographs in SSPC VIS 1 for the appearance of cleaned surfaces.

#### 3.4.2.3 Waterjet Cleaned Surfaces

Comply with SSPC-SP WJ-1/NACE WJ-1, SSPC-SP WJ-2/NACE WJ-2, SSPC-SP WJ-3/NACE WJ-3 or SSPC-SP WJ-4/NACE WJ-4. Use as a visual reference, photographs in SSPC VIS 4/NACE VIS 7 for the appearance of cleaned surfaces.

### 3.4.3 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

### 3.4.4 Terne-Coated Metal Surfaces

Solvent clean surfaces with mineral spirits, ASTM D235. Wipe dry with clean, dry cloths.

### 3.4.5 Existing Surfaces with a Bituminous or Mastic-Type Coating

Remove chalk, mildew, and other loose material by washing with a solution of 1/2 cup trisodium phosphate, 1/4 cup household detergent, one quart 5 percent sodium hypochlorite solution and 3 quarts of warm water.

## 3.5 PREPARATION OF CONCRETE AND CEMENTITIOUS SURFACE

### 3.5.1 Concrete and Masonry

a. Curing: Allow concrete, stucco and masonry surfaces to cure at least

30 days before painting, and concrete slab on grade to cure at least 90 days before painting.

b. Surface Cleaning: Remove the following deleterious substances.

(1) Dirt, Chalking, Grease, and Oil: Wash new and existing uncoated surfaces with a solution composed of 1/2 cup trisodium phosphate, 1/4 cup household detergent, and 4 quarts of warm water. Then rinse thoroughly with fresh water. Wash existing coated surfaces with a suitable detergent and rinse thoroughly. For large areas, water blasting may be used.

(2) Fungus and Mold: Wash , existing coated, and existing uncoated surfaces with a solution composed of 1/2 cup trisodium phosphate, 1/4 cup household detergent, one quart 5 percent sodium hypochlorite solution and 3 quarts of warm water. Rinse thoroughly with fresh water.

(3) Paint and Loose Particles: Remove by wire brushing.

(4) Efflorescence: Remove by scraping or wire brushing followed by washing with a 5 to 10 percent by weight aqueous solution of hydrochloric (muriatic) acid. Do not allow acid to remain on the surface for more than five minutes before rinsing with fresh water. Do not acid clean more than 4 square feet of surface, per workman, at one time.

c. Cosmetic Repair of Minor Defects: Repair or fill mortar joints and minor defects, including but not limited to spalls, in accordance with manufacturer's recommendations and prior to coating application.

d. Allowable Moisture Content: Latex coatings may be applied to damp surfaces, but not to surfaces with droplets of water. Do not apply epoxies to damp vertical surfaces as determined by ASTM D4263 or horizontal surfaces that exceed 3 lbs of moisture per 1000 square feet in 24 hours as determined by ASTM F1869. In all cases follow manufacturer's recommendations. Allow surfaces to cure a minimum of 30 days before painting.

### 3.5.2 Gypsum Board, Plaster, and Stucco

#### 3.5.2.1 Surface Cleaning

Verify that plaster and stucco surfaces are free from loose matter and that gypsum board is dry. Remove loose dirt and dust by brushing with a soft brush, rubbing with a dry cloth, or vacuum-cleaning prior to application of the first coat material. A damp cloth or sponge may be used if paint is water-based.

#### 3.5.2.2 Repair of Minor Defects

Prior to painting, repair joints, cracks, holes, surface irregularities, and other minor defects with patching plaster or spackling compound and sand smooth.

#### 3.5.2.3 Allowable Moisture Content

Latex coatings may be applied to damp surfaces, but not surfaces with droplets of water. Do not apply epoxies to damp surfaces as determined by

ASTM D4263. Verify that new plaster to be coated has a maximum moisture content of 8 percent, when measured in accordance with ASTM D4444, Method A, unless otherwise authorized. In addition to moisture content requirements, allow new plaster to age a minimum of 30 days before preparation for painting.

## 3.6 PREPARATION OF WOOD AND PLYWOOD SURFACES

### 3.6.1 New and Existing Coated Plywood and Wood Surfaces, Except Floors:

a. Surface Cleaning: Clean wood surfaces of foreign matter. Verify that surfaces are free from dust and other deleterious substances and in a condition approved by the Contracting Officer prior to receiving paint or other finish. Do not use water to clean uncoated wood. Scrape to remove loose coatings. Lightly sand to roughen the entire area of previously enamel-coated wood surfaces.

b. Do not exceed 12 percent moisture content of the wood as measured by a moisture meter in accordance with ASTM D4444, Method A, unless otherwise authorized.

c. Prime or touch up wood surfaces adjacent to surfaces to receive water-thinned paints before applying water-thinned paints.

d. Cracks and Nailheads: Set and putty stop nailheads and putty cracks after the prime coat has dried.

e. Cosmetic Repair of Minor Defects:

(1) Knots and Resinous Wood: Prior to application of coating, cover knots and stains with two or more coats of 3-pound-cut shellac varnish, plasticized with 5 ounces of castor oil per gallon. Scrape away existing coatings from knotty areas, and sand before treating. Prime before applying any putty over shellacked area.

(2) Open Joints and Other Openings: Fill with whiting putty, linseed oil putty. Sand smooth after putty has dried.

(3) Checking: Where checking of the wood is present, sand the surface, wipe and apply a coat of pigmented orange shellac. Allow to dry before paint is applied.

## 3.7 APPLICATION

### 3.7.1 Coating Application

a. Comply with applicable federal, state and local laws enacted to ensure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

b. At the time of application, paint must show no signs of deterioration. Maintain uniform suspension of pigments during application.

c. Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated

spray nozzles for water hoses. Use rollers for applying paints and enamels of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.

d. Only apply paints, except water-thinned types, to surfaces that are completely free of moisture as determined by sight or touch.

e. Thoroughly work coating materials into joints, crevices, and open spaces. Pay special attention to ensure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

f. Apply each coat of paint so that dry film is of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Completely hide all blemishes.

g. Touch up damaged coatings before applying subsequent coats. Broom clean and clear dust from interior areas before and during the application of coating material.

m. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.

n. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Cover each preceding coat or surface completely by ensuring visually perceptible difference in shades of successive coats.

o. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

p. Thermosetting Paints: Apply topcoats over thermosetting paints (epoxies and urethanes) within the overcoat window recommended by the manufacturer.

### 3.7.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. Verify that the written permission includes quantities and types of thinners to use.

When thinning is allowed, thin paints immediately prior to application with not more than one pint of suitable thinner per gallon. The use of thinner does not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning cannot cause the paint to exceed limits on volatile organic compounds. Do not mix paints of different manufacturers.

3.7.3 Two-Component Systems

Mix two-component systems in accordance with manufacturer's instructions. Follow recommendation by the manufacturer for any thinning of the first coat to ensure proper penetration and sealing for each type of substrate.

3.7.4 Coating Systems

a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

| Table for Exterior Applications | |
|---|---|
| MPI Division | Substrate Application |
| MPI Division 3 | Exterior Concrete Paint Table |
| MPI Division 4 | Exterior Concrete Masonry Units Paint Table |
| MPI Division 5 | Exterior Metal, Ferrous and Non-Ferrous Paint Table |
| MPI Division 6 | Exterior Wood; Dressed Lumber, Paneling, Decking, Shingles Paint Table |
| MPI Division 9 | Exterior Stucco Paint Table |
| MPI Division 10 | Exterior Cloth Coverings and Bituminous Coated Surfaces Paint Table |

| Table for Interior Applications | |
|---|---|
| MPI Division | Substrate Application |
| MPI Division 3 | Interior Concrete Paint Table |
| MPI Division 4 | Interior Concrete Masonry Units Paint Table |
| MPI Division 5 | Interior Metal, Ferrous and Non-Ferrous Paint Table |
| MPI Division 6 | Interior Wood Paint Table |
| MPI Division 9 | Interior Plaster, Gypsum Board, Textured Surfaces Paint Table |

b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness, where specified, refers to the minimum dry film thickness.

c. Coatings for Surfaces Not Specified Otherwise: Coat unspecified surfaces the same as surfaces having similar conditions of exposure.

d. Existing Surfaces Damaged During Performance of the Work, Including

New Patches In Existing Surfaces: Coat surfaces with the following:

(1) One coat of primer.

(2) One coat of undercoat or intermediate coat.

(3) One topcoat to match adjacent surfaces.

e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.8 COATING SYSTEMS FOR METAL

Apply coatings of Tables in MPI Division 5 for Exterior and Interior.

a. Apply specified ferrous metal primer to steel surfaces on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.

b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.

c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.

d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.

e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat. Overcoat these items with the specified ferrous-metal primer prior to application of finish coats.

f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.9 COATING SYSTEMS FOR CONCRETE AND CEMENTITIOUS SUBSTRATES

Apply coatings of Tables in MPI Division 3, 4 and 9 for Exterior and Interior.

3.10 COATING SYSTEMS FOR WOOD AND PLYWOOD

a. Apply coatings of Tables in MPI Division 6 for Exterior and Interior.

b. Prior to erection, apply two coats of specified primer to treat and prime wood and plywood surfaces which will be inaccessible after erection.

c. Apply stains in accordance with manufacturer's printed instructions.

3.11 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate

mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

## 3.12 WASTE MANAGEMENT

As specified in the Waste Management Plan and as follows. Do not use kerosene or any such organic solvents to clean up water based paints. Properly dispose of paints or solvents in designated containers. Close and seal partially used containers of paint to maintain quality as necessary for reuse. Store in protected, well-ventilated, fire-safe area at moderate temperature. Place materials defined as hazardous or toxic waste in designated containers. Coordinate with manufacturer for take-back program. Set aside scrap to be returned to manufacturer for recycling into new product. When such a service is not available, contact local recyclers to reclaim the materials. Where local options exist for leftover paint recycling, collect all waste paint by type and provide for delivery to recycling or collection facility for reuse by local organizations.

## 3.13 PAINT TABLES

All DFT's are minimum values. Use only materials with a MPI GPS-1-14 green check mark having a minimum MPI "Environmentally Friendly" E2 rating based on VOC (EPA Method 24) content levels. Acceptable products are listed in the MPI Green Approved Products List, available at https://www.mpi.net/APL/index.asp.

### 3.13.1 Exterior Paint Tables

#### 3.13.1.1 MPI Division 5: Exterior Metal, Ferrous and Non-Ferrous Paint Table

A. Steel / Ferrous Surfaces

(1) New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

| Alkyd | | | | | |
|---|---|---|---|---|---|
| New | Existing, uncoated | Primer | Intermediate | Topcoat | System DFT |
| | | | | | |
| MPI EXT 5.1Q-G6 (Gloss) | MPI REX 5.1D-G6 (Gloss) | MPI 23 | MPI 9 | MPI 9 | 5.25 mils |
| Topcoat: Coating to match adjacent surfaces. | | | | | |

### 3.13.2 Interior Paint Tables

#### 3.13.2.1 MPI Division 4: Interior Concrete Masonry Units Paint Table

B. Existing, Previously Painted Concrete Masonry

| Institutional Low Odor / Low VOC Latex | | | | | |
|---|---|---|---|---|---|
| Existing, previously painted | Filler | Primer | Intermediate | Topcoat | System DFT |
| | | | | | |
| | | | | | |
| MPI RIN 4.2L-G4 (Satin) | N/A | MPI 146 | MPI 146 | MPI 146 | 4 mils |
| | | | | | |

#### 3.13.2.2 MPI Division 5: Interior Metal, Ferrous and Non-Ferrous Paint Table

A. Interior Steel / Ferrous Surfaces

(1) Metal, Surfaces adjacent to painted surfaces (Match surrounding finish), not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

| High Performance Architectural Latex | | | | |
|---|---|---|---|---|
| New, uncoated Existing | Primer | Intermediate | Topcoat | System DFT |
| | | | | |
| | | | | |
| MPI INT 5.1R-G5 (Semigloss) | MPI 76 | MPI 141 | MPI 141 | 5 mils |

| |
|---|
| Topcoat: Coating to match adjacent surfaces. |

### 3.13.2.3 MPI Division 6: Interior Wood Paint Table

A. Interior Wood and Plywood

(1) New and Existing, uncoated Wood and plywood not otherwise specified

| Institutional Low Odor / Low VOC Latex | | | | |
|---|---|---|---|---|
| New, uncoated Existing | Primer | Intermediate | Topcoat | System DFT |
| | | | | |
| | | | | |
| | | | | |
| MPI INT 6.3V-G5 (Semigloss) | MPI 39 | MPI 147 | MPI 147 | 4 mils |

(2) Existing, previously painted Wood and plywood not otherwise specified

| Institutional Low Odor / Low VOC Latex | | | | |
|---|---|---|---|---|
| Existing, previously painted | Primer | Intermediate | Topcoat | System DFT |
| | | | | |
| | | | | |
| | | | | |

| | | | | |
|---|---|---|---|---|
| MPI RIN 6.4D-G5 (Semigloss) | MPI 39 | MPI 147 | MPI 147 | 4 mils |

E. Interior New wood surfaces in toilets, restrooms, shower areas, areas requiring a high degree of sanitation, and other high humidity areas not otherwise specified

| Alkyd | | | | |
|---|---|---|---|---|
| New, uncoated Existing | Primer | Intermediate | Topcoat | System DFT |
| MPI INT 6.3B-G5 (Semigloss) | MPI 45 | MPI 47 | MPI 47 | 4.5 mils |
| | | | | |
| Topcoat: Coating to match adjacent surfaces. | | | | |

### 3.13.2.4 MPI Division 9: Interior Plaster, Gypsum Board, Textured Surfaces Paint Table

A. Interior New and Existing, previously painted Textured Surfaces not otherwise specified

Institutional Low Odor / Low VOC Latex, Existing, previously painted

| Institutional Low Odor / Low VOC Latex | | | | |
|---|---|---|---|---|
| Existing, previously painted | Primer | Intermediate | Topcoat | System DFT |
| | | | | |
| | | | | |
| MPI RIN 9.2M-G4 (Satin) | MPI 144 | MPI 146 | MPI 146 | 4 mils |
| | | | | |
| Topcoat: Coating to match adjacent surfaces. | | | | |

-- End of Section --

SECTION 22 00 00

PLUMBING, GENERAL PURPOSE
**05/24**

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

| | |
|---|---|
| ASME A112.6.1M | (1997; R 2017) Floor Affixed Supports for Off-the-Floor Plumbing Fixtures for Public Use |
| ASME A112.19.2/CSA B45.1 | (2018; ERTA 2018) Standard for Vitreous China Plumbing Fixtures and Hydraulic Requirements for Water Closets and Urinals |
| ASME A112.19.3/CSA B45.4 | (2022) Stainless Steel Plumbing Fixtures |
| ASME A112.36.2M | (2022) Cleanouts |
| ASME B16.34 | (2021) Valves - Flanged, Threaded and Welding End |
| ASME B40.100 | (2022) Pressure Gauges and Gauge Attachments |

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

| | |
|---|---|
| ASSE 1017 | (2023) Performance Requirements for Temperature Actuated Mixing Valves for Hot Water Distribution Systems - (ANSI approved 2010) |
| ASSE 1070 | (2020) Performance Requirements for Water Temperature Limiting Devices |

AMERICAN WATER WORKS ASSOCIATION (AWWA)

| | |
|---|---|
| AWWA 10084 | (2017) Standard Methods for the Examination of Water and Wastewater |

ASTM INTERNATIONAL (ASTM)

| | |
|---|---|
| ASTM B117 | (2019) Standard Practice for Operating Salt Spray (Fog) Apparatus |
| ASTM B370 | (2022) Standard Specification for Copper Sheet and Strip for Building Construction |
| ASTM D4586/D4586M | (2007; R 2018) Asphalt Roof Cement, Asbestos-Free |

| | |
|---|---|
| ASTM E1 | (2014) Standard Specification for ASTM Liquid-in-Glass Thermometers |

INTERNATIONAL CODE COUNCIL (ICC)

| | |
|---|---|
| ICC A117.1 | (2017) Standard And Commentary Accessible and Usable Buildings and Facilities |
| ICC IPC | (2024) International Plumbing Code |

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

| | |
|---|---|
| MSS SP-67 | (2022) Butterfly Valves |
| MSS SP-70 | (2011) Gray Iron Gate Valves, Flanged and Threaded Ends |
| MSS SP-71 | (2018) Gray Iron Swing Check Valves, Flanged and Threaded Ends |
| MSS SP-72 | (2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service |
| MSS SP-78 | (2011) Cast Iron Plug Valves, Flanged and Threaded Ends |
| MSS SP-80 | (2019) Bronze Gate, Globe, Angle and Check Valves |
| MSS SP-85 | (2011) Gray Iron Globe & Angle Valves Flanged and Threaded Ends |
| MSS SP-110 | (2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends |

SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)

| | |
|---|---|
| SAE J1508 | (2023) Hose Clamp Specifications |

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

| | |
|---|---|
| EPA SM 9223 | (2004) Enzyme Substrate Coliform Test |

## 1.2 SUBMITTALS

Government approval is required for all submittals. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Plumbing System

SD-03 Product Data

Backflow Prevention Assemblies

Fixtures

Flush Tank Water Closets

WaterSense Label for Flush Tank Water Closet

Countertop Lavatories

Kitchen Sinks

Plumbing System

Thermostatic Mixing Valves

Temperature Controlled Mixing Valve

Point-Of-Use Mixing Valve

SD-06 Test Reports

Tests, Flushing and Disinfection

Test of Backflow Prevention Assemblies.

SD-07 Certificates

Materials and Equipment

SD-10 Operation and Maintenance Data

Plumbing System

## 1.3 SHOP DRAWINGS

Submit Plumbing System Detail drawings consisting of schedules, performance charts, instructions, diagrams, and other information to illustrate the requirements and operations of systems that are not covered by the Plumbing Code. Submit Plumbing System Detail drawings for the complete plumbing system ; dimensions for roughing-in, foundation, and support points; schematic diagrams and wiring diagrams or connection and interconnection diagrams. Detail drawings must indicate clearances required for maintenance and operation. Where piping and equipment are to be supported other than as indicated, details must include loadings and proposed support methods. Mechanical drawing plans, elevations, views, and details, must be drawn to scale.

## 1.4 STANDARD PRODUCTS

Specified materials and equipment must be standard products of a manufacturer regularly engaged in the manufacture of such products. Specified equipment must essentially duplicate equipment that has performed satisfactorily at least 2 years prior to bid opening. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

Where equipment is specified to conform to requirements of the ASME Boiler and Pressure Vessel Code, the design, fabrication, and installation must conform to the code and a certificate must be submitted for the equipment.

### 1.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

### 1.4.2 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

### 1.4.3 Manufacturer's Nameplate

Each item of equipment must have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

### 1.4.4 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in

these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.4.4.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" must be interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.4.4.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

## 1.5 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

## 1.6 REGULATORY REQUIREMENTS

Unless otherwise required herein, plumbing work must be in accordance with ICC IPC.

## 1.7 PROJECT/SITE CONDITIONS

The Contractor must become familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

## 1.8 INSTRUCTION TO GOVERNMENT PERSONNEL

Furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work. Submit Plumbing System Operation and Maintenance Data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately

half of the time for classroom instruction. Use other time for instruction with the equipment or system. When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

## 1.9 ACCESSIBILITY OF EQUIPMENT

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

# PART 2 PRODUCTS

## 2.1 MATERIALS

Materials for various services must be in accordance with TABLES I and II.

### 2.1.1 Miscellaneous Materials

Miscellaneous materials must conform to the following:

b. Copper, Sheet and Strip for Building Construction: ASTM B370.

c. Asphalt Roof Cement: ASTM D4586/D4586M.

d. Hose Clamps: SAE J1508.

e. Supports for Off-The-Floor Plumbing Fixtures: ASME A112.6.1M.

f. Metallic Cleanouts: ASME A112.36.2M.

g. Plumbing Fixture Setting Compound: A preformed flexible ring seal molded from hydrocarbon wax material. The seal material must be nonvolatile nonasphaltic and contain germicide and provide watertight, gastight, odorproof and verminproof properties.

k. Gauges - Pressure and Vacuum Indicating Dial Type - Elastic Element: ASME B40.100.

l. Thermometers: ASTM E1. Mercury must not be used in thermometers.

## 2.2 VALVES

Valves must be provided on supplies to equipment and fixtures.. Valves 3 inches and larger must have flanged iron bodies and bronze trim. Pressure ratings must be based upon the application. Grooved end valves may be provided if the manufacturer certifies that the valves meet the performance requirements of applicable MSS standard. Valves must conform to the following standards:

| Description | Standard |
|---|---|
| Butterfly Valves | MSS SP-67 |

| | |
|---|---|
| Cast-Iron Gate Valves, Flanged and Threaded Ends | MSS SP-70 |
| Cast-Iron Swing Check Valves, Flanged and Threaded Ends | MSS SP-71 |
| Ball Valves with Flanged Butt-Welding Ends for General Service | MSS SP-72 |
| Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends | MSS SP-110 |
| Cast-Iron Plug Valves, Flanged and Threaded Ends | MSS SP-78 |
| Bronze Gate, Globe, Angle, and Check Valves | MSS SP-80 |
| Steel Valves, Socket Welding and Threaded Ends | ASME B16.34 |
| Cast-Iron Globe and Angle Valves, Flanged and Threaded Ends | MSS SP-85 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

2.2.1 Thermostatic Mixing Valves

Each valve must be constructed to control the mixing of hot and cold water and to deliver water at a desired temperature regardless of pressure or input temperature changes. The control element must be of an approved

type. The body must be of heavy cast bronze, and interior parts must be brass, bronze, corrosion-resisting steel or copper. The valve must be equipped with necessary stops, check valves, unions, and sediment strainers on the inlets.

### 2.2.2 Temperature Controlled Mixing Valve

Provide an ASSE 1017 temperature controlled (master mixing valve) to lower temperature per UFC 3-420-01 immediately downstream of the water heater and /or storage tank as close to the fixture(s) as possible in order to reduce the amount of stored tempered water in the pipes in accordance with ASSE 1017.

### 2.2.3 Point-of-Use Mixing Valve

Provide a point-of-use mixing valve in accordance with ASSE 1070 at lavatories, hand-wash sinks, and washfountain locations. Point-of-use mixing valve must limit the tempered water to not greater than 110 degrees F.

## 2.3 FIXTURES

Submit list of fixtures with manufacturer, model, and flow rate. Water closet replacements in major renovations may have a flush valve of up to 1.6 GPF to accommodate existing plumbing capacity. Fixtures for use by the physically handicapped must be in accordance with ICC A117.1. Vitreous China, nonabsorbent, hard-burned, and vitrified throughout the body must be provided. Porcelain enameled ware must have specially selected, clear white, acid-resisting enamel coating evenly applied on surfaces. No fixture will be accepted that shows cracks, crazes, blisters, thin spots, or other flaws. Fixtures must be equipped with appurtenances such as traps, faucets, stop valves, and drain fittings. Each fixture and piece of equipment requiring connections to the drainage system, except grease interceptors, must be equipped with a trap. Brass expansion or toggle bolts capped with acorn nuts must be provided for supports, and polished chromium-plated pipe, valves, and fittings must be provided where exposed to view. Fixtures with the supply discharge below the rim must be equipped with backflow preventers. Internal parts of flush valves and flushometer valves, shower mixing valves, shower head face plates, pop-up stoppers of lavatory waste drains, and pop-up stoppers and overflow tees and shoes of bathtub waste drains must be copper alloy with all visible surfaces chrome plated. Plastic in contact with hot water must be suitable for 180 degrees F water temperature.

### 2.3.1 Flush Tank Water Closets

ASME A112.19.2/CSA B45.1, white vitreous china, ASME A112.19.3/CSA B45.4 302 stainless steel, siphon jet, round bowl, floor-mounted, floor outlet. Top of toilet seat height above floor must be 14 to 15 inches, except 17 to 19 inches for wheelchair water closets. Nonfloat swing type flush tank valves are not acceptable. Gravity tank type water closets are not permitted. Provide wax bowl ring including plastic sleeve. Water flushing volume of the water closet must not exceed 1.28 gallons per flush. Tank-type water closets must be EPA WaterSense labeled products. Provide data identifying WaterSense label for flush tank water closet. Fixtures that require the WaterSense label but are located in countries where the label is unavailable, see table below or see https://uwla.eu/for WaterSense label substitutes.

| WaterSense Equivalencies | |
|---|---|
| Australia | WELs |
| Canada | WaterSense |
| Non-Mandatory Labels* | |
| European Union | European Water Label |
| Taiwan | Water Saving Label |
| China, Hong Kong | WELS |

*Water efficiency labels recognized as market transformational, and are recommended, but not required for LEED projects.

Provide white solid plastic round closed-front seat with cover.

### 2.3.2 Countertop Lavatories

ASME A112.19.2/CSA B45.1, under-counter mount solid polymer,, minimum dimensions of 15 inches wide by 10 inches front to rear, with supply openings for use with top mounted centerset faucets. Furnish template and mounting kit by lavatory manufacturer. Provide aerator with faucet. Provide lavatory faucets and accessories meeting the flow rate and product requirements of the paragraph LAVATORIES. Provide WaterSense labeled private faucet with a maximum flow rate of 1.5 gpm and a minimum flow rate of 0.8 gpm at a maximum flowing pressure of 60 psi. Mount counter with the top surface 34 inches above floor and with 29 inches minimum clearance from bottom of the counter face to floor. Provide top mounted washerless centerset lavatory faucets.Provide data identifying WaterSense label for lavatory faucet.

### 2.3.3 Kitchen Sinks

ASME A112.19.3/CSA B45.4, single, solid polymer under counter mount, minimum dimensions of 17 inches wide by 13 inches front to rear, with undersides fully sound deadened, with supply openings for use with top mounted washerless sink faucets, and with 3.5 inch drain outlet. Provide aerator with faucet. Water flow rate must not exceed 2.2 gpm when measured at a flowing water pressure of 60 psi. Provide stainless steel drain outlets and stainless steel cup strainers. Provide separate 1.5 inch P-trap and drain piping to vertical vent piping from each compartment. Provide top mounted washerless sink faucets with hose spray.

## 2.4 MISCELLANEOUS PIPING ITEMS

### 2.4.1 Escutcheon Plates

Provide one piece or split hinge metal plates for piping entering floors, walls, and ceilings in exposed spaces. Provide chromium-plated on copper alloy plates or polished stainless steel finish in finished spaces. Provide paint finish on plates in unfinished spaces.

### 2.4.2 Nameplates

Provide 0.125 inch thick melamine laminated plastic nameplates, black matte finish with white center core, for equipment, gages, thermometers, and valves; valves in supplies to faucets will not require nameplates. Accurately align lettering and engrave minimum of 0.25 inch high normal block lettering into the white core. Minimum size of nameplates must be 1.0 by 2.5 inches. Key nameplates to a chart and schedule for each system. Frame charts and schedules under glass and place where directed near each system. Furnish two copies of each chart and schedule.

## PART 3 EXECUTION

## 3.1 GENERAL INSTALLATION REQUIREMENTS
## 3.2 FIXTURES AND FIXTURE TRIMMINGS

Polished chromium-plated pipe, valves, and fittings must be provided where exposed to view. Angle stops, straight stops, stops integral with the faucets, or concealed type of lock-shield, and loose-key pattern stops for supplies with threaded, sweat or solvent weld inlets must be furnished and installed with fixtures. Where connections between copper tubing and faucets are made by rubber compression fittings, a beading tool must be used to mechanically deform the tubing above the compression fitting. Exposed traps and supply pipes for fixtures and equipment must be connected to the rough piping systems at the wall, unless otherwise specified under the item. Floor and wall escutcheons must be as specified. Drain lines and hot water lines of fixtures for handicapped personnel must be insulated and do not require polished chrome finish. Plumbing fixtures and accessories must be installed within the space shown.

### 3.2.1 Fixture Connections

Where space limitations prohibit standard fittings in conjunction with the cast-iron floor flange, special short-radius fittings must be provided. Connections between earthenware fixtures and flanges on soil pipe must be made gastight and watertight with a closet-setting compound or neoprene gasket and seal. Use of natural rubber gaskets or putty will not be permitted. Fixtures with outlet flanges must be set the proper distance from floor or wall to make a first-class joint with the closet-setting compound or gasket and fixture used.

### 3.2.2 Flushometer Valves

Flushometer valves must be secured to prevent movement by anchoring the long finished top spud connecting tube to wall adjacent to valve with approved metal bracket. Flushometer valves for water closets must be installed 39 inches above the floor, except at water closets intended for use by the physically handicapped where flushometer valves must be mounted at approximately 30 inches above the floor and arranged to avoid interference with grab bars. In addition, for water closets intended for handicap use, the flush valve handle must be installed on the wide side of the enclosure.

### 3.2.3 Height of Fixture Rims Above Floor

Lavatories must be mounted with rim 31 inches above finished floor. Wall-hung drinking fountains and water coolers must be installed with rim 42 inches above floor. Wall-hung service sinks must be mounted with rim 28 inches above the floor. Installation of fixtures for use by the

physically handicapped must be in accordance with ICC A117.1.

#### 3.2.4 Fixture Supports

Fixture supports for off-the-floor lavatories, urinals, water closets, and other fixtures of similar size, design, and use, must be of the chair-carrier type. The carrier must provide the necessary means of mounting the fixture, with a foot or feet to anchor the assembly to the floor slab. Adjustability must be provided to locate the fixture at the desired height and in proper relation to the wall. Support plates, in lieu of chair carrier, must be fastened to the wall structure only where it is not possible to anchor a floor-mounted chair carrier to the floor slab.

##### 3.2.4.1 Support for Solid Masonry Construction

Chair carrier must be anchored to the floor slab. Where a floor-anchored chair carrier cannot be used, a suitable wall plate must be imbedded in the masonry wall.

##### 3.2.4.2 Support for Concrete-Masonry Wall Construction

Chair carrier must be anchored to floor slab. Where a floor-anchored chair carrier cannot be used, a suitable wall plate must be fastened to the concrete wall using through bolts and a back-up plate.

##### 3.2.4.3 Support for Steel Stud Frame Partitions

Chair carrier must be used. The anchor feet and tubular uprights must be of the heavy duty design; and feet (bases) must be steel and welded to a square or rectangular steel tube upright. Wall plates, in lieu of floor-anchored chair carriers, must be used only if adjoining steel partition studs are suitably reinforced to support a wall plate bolted to these studs.

##### 3.2.4.4 Wall-Mounted Water Closet Gaskets

Where wall-mounted water closets are provided, reinforced wax, treated felt, or neoprene gaskets must be provided. The type of gasket furnished must be as recommended by the chair-carrier manufacturer.

### 3.3 IDENTIFICATION SYSTEMS

#### 3.3.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and valve number must be installed on valves, except those valves installed on supplies at plumbing fixtures. Tags must be 1-3/8 inch minimum diameter, and marking must be stamped or engraved. Indentations must be black, for reading clarity. Tags must be attached to valves with No. 12 AWG, copper wire, chrome-plated beaded chain, or plastic straps designed for that purpose.

#### 3.3.2 Pipe Color Code Marking

Color code marking of piping must be as specified in Section 09 90 00 PAINTS AND COATINGS.

## 3.4 PAINTING

Painting of pipes, hangers, supports, and other iron work, either in concealed spaces or exposed spaces, is specified in Section 09 90 00 PAINTS AND COATINGS.

### 3.4.1 Painting of New Equipment

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

#### 3.4.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

#### 3.4.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat must be aluminum or light gray.

a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.

b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F must receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.

c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F must receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

## 3.5 TESTS, FLUSHING AND DISINFECTION

Submit test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, completion and testing of the installed system. Each test report must indicate the final position of controls.

### 3.5.1 Plumbing System

#### 3.5.1.1 Test of Backflow Prevention Assemblies

Certification of proper operation must be submitted and must be as accomplished in accordance with state regulations by an individual certified by the state to perform such tests. If no state requirement exists, the Contractor must have the manufacturer's representative test the device to ensure the unit is properly installed and performing as intended. The Contractor must provide written documentation of the tests performed and signed by the individual performing the tests. Backflow prevention assembly must be tested using gauges specifically designed for the testing of backflow prevention assemblies.

Backflow prevention assembly test gauges must be tested annually for accuracy in accordance with the requirements of State or local regulatory agencies. If there is no State or local regulatory agency requirements, gauges must be tested annually for accuracy in accordance with the requirements of University of Southern California's Foundation of Cross Connection Control and Hydraulic Research or the American Water Works Association Manual of Cross Connection (Manual M-14), or any other approved testing laboratory having equivalent capabilities for both laboratory and field evaluation of backflow prevention assembly test gauges. Report form for each assembly must include, as a minimum, the following:

| Data on Device | Data on Testing Firm |
|---|---|
| Type of Assembly | Name |
| Manufacturer | Address |
| Model Number | Certified Tester |
| Serial Number | Certified Tester No. |
| Size | Date of Test |
| Location | |
| Test Pressure Readings | Serial Number and Test Data of Gauges |

If the unit fails to meet specified requirements, the unit must be repaired and retested.

#### 3.5.1.2 Shower Pans

After installation of the pan and finished floor, the drain must be

temporarily plugged below the weep holes. The floor area must be flooded with water to a minimum depth of 1 inch for a period of 24 hours. Any drop in the water level during test, except for evaporation, will be reason for rejection, repair, and retest.

3.5.2 Defective Work

If inspection or test shows defects, such defective work or material must be replaced or repaired as necessary and inspection and tests must be repeated.

3.5.3 Operational Test

Upon completion of flushing and prior to disinfection procedures, the Contractor must subject the plumbing system to operating tests to demonstrate satisfactory installation, connections, adjustments, and functional and operational efficiency. Such operating tests must cover a period of not less than 8 hours for each system and must include the following information in a report with conclusion as to the adequacy of the system:

a. Time, date, and duration of test.

b. Water pressures at the most remote and the highest fixtures.

c. Operation of each fixture and fixture trim.

d. Operation of each valve, hydrant, and faucet.

3.5.4 Disinfection

After all system components are provided and operational tests are complete, the entire domestic hot- and cold-water distribution system must be disinfected. Before introducing disinfecting chlorination material, entire system must be flushed with potable water until any entrained dirt and other foreign materials have been removed.

Feed a properly adjusted hypochlorite solution injected into the system with a hypochlorinator, or inject liquid chlorine into the system through a solution-feed chlorinator

3.5.4.1 Water Chlorination Monitoring

Test the chlorine residual level in the water at 6 hour intervals for a continuous period of 24 hours. If at the end of a 6 hour interval, the chlorine residual has dropped to less than 25 ppm, flush the piping including tanks with potable water, and repeat the above chlorination procedures. During the chlorination period, each valve and faucet must be opened and closed several times. After the second 24 hour period, verify that no less than 25 ppm chlorine residual remains in the treated system. The 24 hour chlorination procedure must be repeated until no less than 25 ppm chlorine residual remains in the treated system.

3.5.4.2 Water Chlorination Flushing

Upon the specified verification, the system including tanks must then be flushed with potable water until the residual chlorine level is reduced to less than one part per million. During the flushing period, each valve and faucet must be opened and closed several times.

3.5.4.3 Sample Testing

Take additional samples of water in disinfected containers, for bacterial examination, at locations specified by the Contracting Officer. Test these samples for total coliform organisms (coliform bacteria, fecal coliform, streptococcal, and other bacteria) in accordance with EPA SM 9223 AWWA 10084. The testing method used must be EPA approved for drinking water systems and must comply with applicable local and state requirements.

3.5.4.4 Disinfection Acceptance

Disinfection must be repeated until bacterial tests indicate the absence of coliform organisms (zero mean coliform density per 100 milliliters) in the samples for at least 2 full days. The system will not be accepted until satisfactory bacteriological results have been obtained.

3.6 TABLES

-- End of Section --