NAVFAC MIDLANT

WORK ORDER NO. 1929728

DECENTRALIZE STEAM AT BOILER PLANT A6

At the

NAVAL HEALTH CARE NEW ENGLAND (NHCNE) NEWPORT, RI

BID DOC SUBMISSION

PREPARED BY:

AMES & WHITAKER ARCHITECTS
31 LIBERTY STREET SUITE 208
SOUTHINGTON, CT, 06489

Architectural:	ROGER SAULNIER	Mechanical:	KURT KUEGLER
Civil:	ERIC ZAWATSKI	Electrical:	RIC KUEGLER
Structural:	JOHN SMOLEN, JR.	Fire Protection:	
Hazardous Mat:	JOHN LUBY	Safety:	

Submitted By:

Date: 06/24/2026

APPROVED BY:

Specifications:

For Commander, NAVFAC:
Date:

THIS PAGE HAS BEEN INTENTIONALLY LEFT BLANK

PROJECT TABLE OF CONTENTS

DIVISION 00 - PROCUREMENT AND CONTRACTING REQUIREMENTS

00 01 15 LIST OF DRAWINGS
00 22 13.00 20 SUPPLEMENTARY INSTRUCTIONS TO OFFERORS

DIVISION 01 - GENERAL REQUIREMENTS

01 11 00 SUMMARY OF WORK
01 14 00 WORK RESTRICTIONS
01 20 00.00 20 PRICE AND PAYMENT PROCEDURES
01 30 00 ADMINISTRATIVE REQUIREMENTS
01 31 23.13 20 ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT MANAGEMENT SYSTEM
01 32 01.00 10 PROJECT SCHEDULE
01 32 17.00 20 COST-LOADED NETWORK ANALYSIS SCHEDULES (NAS)
01 33 00 SUBMITTAL PROCEDURES
01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS
01 42 00 SOURCES FOR REFERENCE PUBLICATIONS
01 45 00.00 20 QUALITY CONTROL
01 50 00 TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS
01 58 00 PROJECT IDENTIFICATION
01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
01 78 00 CLOSEOUT SUBMITTALS
01 78 23 OPERATION AND MAINTENANCE DATA
01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI)
01 91 00.15 TOTAL BUILDING COMMISSIONING

DIVISION 02 - EXISTING CONDITIONS

02 41 00 DEMOLITION
02 82 00 ASBESTOS REMEDIATION
02 83 00 LEAD REMEDIATION

DIVISION 03 - CONCRETE

03 30 53 MISCELLANEOUS CAST-IN-PLACE CONCRETE

DIVISION 05 - METALS

05 50 13 MISCELLANEOUS METAL FABRICATIONS

DIVISION 07 - THERMAL AND MOISTURE PROTECTION

07 13 53 ELASTOMERIC SHEET WATERPROOFING
07 51 13 BUILT-UP ASPHALT ROOFING

DIVISION 09 - FINISHES

09 90 00 PAINTS AND COATINGS

DIVISION 22 - PLUMBING

22 00 00 PLUMBING, GENERAL PURPOSE

DIVISION 23 - HEATING, VENTILATING, AND AIR CONDITIONING (HVAC)

23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS
23 05 48.19 SEISMIC BRACING FOR MECHANICAL SYSTEMS
23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC
23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS
23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC
23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC
23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS
23 09 93 SEQUENCES OF OPERATION FOR HVAC CONTROL
23 11 25 FACILITY GAS PIPING
23 21 13.00 20 LOW TEMPERATURE WATER (LTW) HEATING SYSTEM
23 52 43.00 20 LOW PRESSURE WATER HEATING BOILERS
23 52 46.00 20 LOW PRESSURE WATER HEATING BOILERS (OVER 800,000 BTU/HR OUTPUT)

DIVISION 25 - INTEGRATED AUTOMATION

25 08 10 UTILITY MONITORING AND CONTROL SYSTEM TESTING
25 10 10 UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION

DIVISION 26 - ELECTRICAL

26 00 00.00 20 BASIC ELECTRICAL MATERIALS AND METHODS
26 05 48.00 10 SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT
26 20 00 INTERIOR DISTRIBUTION SYSTEM
26 29 23 VARIABLE FREQUENCY DRIVE SYSTEMS UNDER 600 VOLTS

DIVISION 31 - EARTHWORK

31 00 00 EARTHWORK

DIVISION 32 - EXTERIOR IMPROVEMENTS

32 12 16 HOT-MIX ASPHALT (HMA) FOR ROADS
32 16 19 CONCRETE CURBS, GUTTERS AND SIDEWALKS
32 17 23 PAVEMENT MARKINGS
32 92 19 SEEDING

-- End of Project Table of Contents --

DOCUMENT 00 01 15

LIST OF DRAWINGS

PART 1 GENERAL

1.1 SUMMARY

This section lists the drawings for the project pursuant to contract clause "DFARS 252.236-7001, Contract Drawings, Maps and Specifications."

1.2 CONTRACT DRAWINGS

Contract drawings are as follows:

DRAWING NO.	NAVSTA NO.	NAVFAC DWG NO.	TITLE
G-100	44490-412	12799431	COVER SHEET
HM100	44491-412	12799432	MECHANICAL ROOMS ABATEMENT PLAN
C-100	44495-412	12799436	GENERAL NOTES, LEGEND & ABBREVIATIONS
C-101	44496-412	12799437	OVERALL EXISTING CONDITIONS
C-102	44497-412	12799438	EXISTING CONDITIONS
C-103	44498-412	12799439	EXISTING CONDITIONS
C-104	44499-412	12799440	EXISTING CONDITIONS
C-109	44504-412	12799445	SITE LAYOUT
C-110	44505-412	12799446	SITE LAYOUT
C-501	44506-412	12799447	SITE DETAILS
C-502	44507-412	12799448	SITE DETAILS
S-101	44508-412	12799449	PLANS, SECTIONS & DETAILS
S-102	44509-412	12799450	SECTIONS & DETAILS AND GENERAL NOTES
P001	44510-412	12799451	PLUMBING NOTES & SYMBOLS
PD100	44511-412	12799452	B23A PLUMBING DEMOLITION PLAN
P100	44512-412	12799453	B23A PLUMBING PLAN
P600	44513-412	12799454	PLUMBING PIPING DIAGRAMS
M001	44514-412	12799455	MECHANICAL NOTES & SYMBOLS
MD100	44515-412	12799456	B23A MECHANICAL DEMOLITION PLAN
MD101	44516-412	12799457	B43 MECHANICAL DEMOLITION PLAN
MD102	44517-412	12799458	B44 MECHANICAL DEMOLITION PLAN
MD103	44523-412	12799459	B49 MECHANICAL DEMOLITION PLAN
MD600	44524-412	12799460	MECHANICAL DEMO PIPING DIAGRAMS
MD601	44525-412	12799461	MECHANICAL DEMO PIPING DIAGRAMS
MD602	44526-412	12799467	MECHANICAL DEMO PIPING DIAGRAMS
MD603	44527-412	12799468	MECHANICAL DEMO PIPING DIAGRAMS
M100	44528-412	12799469	B23A MECHANICAL PLAN
M101	44529-412	12799470	B23A MECHANICAL PLAN
M102	44530-412	12799471	B43 MECHANICAL PLAN
M103	44531-412	12799472	B44 MECHANICAL PLAN
M104	44532-412	12799473	B49 MECHANICAL PLAN
M105	44533-412	12799474	A6 MECHANICAL PLAN
M500	44534-412	12799475	MECHANICAL DETAILS

Drawing NO.	NAVSTA No.	NAVFAC DWG. NO.	TITLE
M600	44535-412	12799476	MECHANICAL PIPING DIAGRAMS
M601	44536-412	12799477	MECHANICAL PIPING DIAGRAMS
M602	44537-412	12799478	MECHANICAL PIPING DIAGRAMS
M603	44538-412	12799479	MECHANICAL CONTROL DIAGRAMS
M604	44539-412	12799480	MECHANICAL CONTROL DIAGRAMS
M605	44540-412	12799481	MECHANICAL CONTROL DIAGRAMS
M606	44541-412	12799482	MECHANICAL CONTROL DIAGRAMS
M607	44542-412	12799483	MECHANICAL CONTROL DIAGRAMS
M608	44543-412	12799484	MECHANICAL SCHEDULES
M609	44544-412	12799485	MECHANICAL SCHEDULES
E001	44545-412	12799486	ELECTRICAL NOTES & SYMBOLS
E100	44546-412	12799487	B23A ELECTRICAL PLAN
E101	44547-412	12799488	B43 ELECTRICAL PLAN
E102	44548-412	12799489	B44 ELECTRICAL PLAN
E103	44549-412	12799490	B49 ELECTRICAL PLAN
E104	44550-412	12799491	A6 ELECTRICAL PLAN

-- End of Document --

THIS PAGE INTENTIONALLY LEFT BLANK

BID SCHEDULE

PART 1 GENERAL

1.1 CONTRACT LINE ITEMS

The terms Offeror and Bidder and versions thereof (offer/bid) have the same definition as used within this contract.

Provide the Contract Line Item (CLIN) lump sum / firm fixed price for the following item:

CLIN 0001. BASE BID. Price for providing all work in connection with the provision of all demolition and new work in Bldgs. 23A, 43, 44, and 49, new natural gas service to Bldgs. 23A, 43, 44, and 49, unit heaters in A6, complete in accordance with the drawings and specification.

CLIN	DESCRIPTION	PRICE FOR CLIN
0001AA	Demolition and new work for boilers in Bldgs. 23A, 43, 44, and 49. Provision of unit heaters in A6.	$_____
0001AB	Trenching and site work for new natural gas line installation from Third Street to B23A, 43, 44, and 49.	$_____
0001AC	Asbestos abatement and trench demolition for natural gas line crossing. Refer to drawing C-109.	$_____
0001	Total of 0001AA+0001AB+ 0001AC	$_____

1.2 GENERAL BID NOTES

a. Award will be made based on the total of CLINS 0001AA+0001AB+0001AC.

b. Base Bid work shall be completed within 365 days of award

c. The Government may reject an offer as non-responsive if it is materially unbalanced as to prices for the basic requirement and the option quantities. An offer is unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated for other work.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

SECTION 01 11 00

SUMMARY OF WORK

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals
Salvage Plan; G

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The work includes removal of (4) facilities from the building A6 steam supply system. The (4) buildings being taken off of steam service (23A, 43, 44, and 49) shall have their steam to heating hotwater exchangers removed and natural gas boilers provided in their place. Building B23A shall also have its domestic hot water heaters replaced.

Work includes the trenching and backfilling for the installation of gas piping to each of four buildings, restoration of paving due to gas piping installation, The contractor shall be responsible for connecting to the utility meter and provide gas distribution to the installed boilers.

NATURAL GAS LINE WORK:

Summary: Provision of natural gas to the buildings getting new boilers shall be accomplished as follows: The construction contractor to whom this specification applies shall provide all site work (excavation, trench preparation, backfill, paving,site restoration, etc.) and Rhode Island Energy (RIE) will provide the gas line/piping installation up to and including the gas meters in the prepared trenches under a separate contract with the Navy. (Note 'National Grid' shall be interpreted as 'Rhode Island Energy' in all instances in this specification and on the drawings). As such, for bidding purposes, the construction contractor shall include costs for site work required for gas line installation, but shall <u>not</u> include costs related to the actual pipe installation. The trench shall be prepared in accordance with specifications provided by RIE.

NAVFAC will assist in coordination between the construction contractor and RIE, however the construction contractor shall establish working level communication with RIE to ensure proper site coordination and scheduling. The construction contractor shall not direct RIE to perform or not perform any work. The construction contractor shall immediately bring to the attention of the Contracting Officer any and all issues with gas line installation.

The construction contractor shall be responsible for providing all natural gas piping and appurtenances from the exterior meter through the exterior wall into the building up to the boilers.

Controls for each facility shall tie into the existing BACnet through the existing Johnson Controls MetaSYS controllers. Each boiler shall have its own factory furnished controller to manage internal functions. The MetaSYS shall perform supervisory control and accept all alarms from the boilers.

Work also includes pouring concrete housekeeping pads for new equipment along with removal of some interior building trenches no longer needed. They shall be filled in and have a new concrete slab poured. Holes in foundations where pipes are removed shall be filled in with concrete. Additionally penetrations through roofs for piping shall be reinforced and flashing and roofing repairs shall be made along with incidental related work.

1.2.2 Location

The work is located at the NHCNE (Naval Health Care New England) at Naval Station Newport, approximately as indicated. NHCNE is also sometimes referred to as Navy Medical Readiness and Training Command Newport (NMRTC), or also sometimes as Naval Hospital Newport.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 EXISTING WORK

In addition to FAR 52.236-9 Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements:

a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

b. Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.5 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with Installation requirements for locating and marking underground utilities. Contact local utility locating service a minimum of 48 hours prior to excavating, to mark utilities, and within sufficient time required if work occurs on a Monday or after a Holiday. Verify existing utility locations indicated on contract drawings, within area of work.

Identify and mark all other utilities not managed and located by the local utility companies. Scan the construction site with Ground Penetrating Radar (GPR), electromagnetic, or sonic equipment, and mark the surface of the ground or paved surface where existing underground utilities are discovered. Verify the elevations of existing piping, utilities,and any

type of underground or encased obstruction not indicated, or specified to be removed, that is indicated or discovered during scanning, in locations to be traversed by piping, ducts, and other work to be conducted or installed. Verify elevations before installing new work closer than nearest manhole or other structure at which an adjustment in grade can be made.

1.5.1 Notification Prior to Excavation

Notify the Contracting Officer at least 48 hours prior to starting excavation work.

1.6 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at the Government designated storage area located within 5 miles of the construction site.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Use a system of property control that is approved by the Contracting Officer. Store and protect salvaged materials and equipment until disposition by the Contracting Officer. **All scrap metal shall be turned over to the Government. NAVSTA Recycling Coordinator will provide a scrap metal dumpster for contractor use. The Government will haul off the dumpster when full and provide additional dumpster(s) as needed.**

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 14 00

WORK RESTRICTIONS
11/11

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel; G

1.2 SPECIAL SCHEDULING REQUIREMENTS

a. Contractor shall keep all building heating systems operational throughout the duration of the project. Facilities require year round heating (Existing heating system is 365 days heating.) The Contractor may request one or two cutovers for each building heating systems during construction. Number of cutovers shall be determined by contractor due to methodology of phasing the work and decision should be based on most cost effective method (or least impact to the customer). Cutover duration shall not exceed 7 days for buildings 43, 44 and 49 and a maximum of a 3 day holiday weekend for building 23A to minimize impact to the customer and mission function. Cutover from central steam generation to local building steam production shall take place during the late spring and summer months. Contractor is fully responsible for additional costs incurred due to increased utility costs.

   Contractor shall require cutovers to complete the following SOW for the new heating system, cutovers NOT to exceed 2 per building.

b. The following buildings; 23A, 43, 44, and 49, will remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity.

d. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear hard hats in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Mark Contractor equipment for identification.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 Installation Access

Obtain access to Navy installations through participation in the Defense Biometrics Identification System (DBIDS). Requirements for Contractor employee registration, and transition for employees currently under Navy Commercial Access Control System (NCACS), are available at https://www.cnic.navy.mil/om/dbids.html. No fees are associated with obtaining a DBIDS credential.

Participation in the DBIDS is not mandatory, and Contractor personnel may apply for One-Day Passes at the Base Visitor Control Office to access an installation.

1.3.1.2.1 Registration for DBIDS

Registration for DBIDS is available at https://www.cnic.navy.mil/om/dbids.html. Procedure includes:

a. Present a letter or official award document (i.e. DD Form 1155 or SF 1442) from the Contracting Officer, that provides the purpose for access, to the base Visitor Control Center representative.

b. Present valid identification, such as a passport or Real ID Act-compliant state driver's license.

c. Provide completed SECNAV FORM 5512/1 to the base Visitor Control Center representative to obtain a background check. This form is available for download at https://www.cnic.navy.mil/om/dbids.html.

d. Upon successful completion of the background check, the Government will complete the DBIDS enrollment process, which includes Contractor employee photo, finger prints, base restriction and several other assessments.

e. Upon successful completion of the enrollment process, the Contractor employee will be issued a DBIDS credential, and will be allowed to proceed to worksite.

1.3.1.2.2 DBIDS Eligibility Requirements

Throughout the length of the contract, the Contractor employee must continue to meet background screen standards. Periodic background screenings are conducted to verify continued DBIDS participation and installation access privileges. DBIDS access privileges will be immediately suspended or revoked if at any time a Contractor employee becomes ineligible.

An adjudication process may be initiated when a background screen failure results in disqualification from participation in the DBIDS, and Contractor employee does not agree with the reason for disqualification. The Government is the final authority.

1.3.1.2.3 DBIDS Notification Requirements

a. Immediately report instances of lost or stolen badges to the Contracting Officer.

b. Immediately collect DBIDS credentials and notify the Contracting Officer in writing under the following circumstances:

(1) An employee has departed the company without having properly returned or surrendered their DBIDS credentials.

(2) There is a reasonable basis to conclude that an employee, or former employee, might pose a risk, compromise, or threat to the safety or security of the Installation or anyone therein.

1.3.1.2.4 One-Day Passes

Personnel applying for One-Day passes at the Base Visitor Control Office are subject to daily mandatory vehicle inspection, and will have limited access to the installation. The Government is not responsible for any cost or lost time associated with obtaining daily passes or added vehicle inspections incurred by non-participants in the DBIDS.

1.3.1.3 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Working Hours

Regular working hours must consist of an 8 1/2 hour period , between 7 a.m. and 3:30 p.m., Monday through Friday, and 7 a.m. to 11 p.m. on Saturday, excluding Government holidays.

1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.3.4 Occupied Buildings

The Contractor shall be working in an existing buildings which are occupied. Do not enter the buildings without prior approval of the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains and Government material located in the mechanical rooms during the

construction period.

1.3.5 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.

c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, and compressed air are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS.

d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

e. Connection to Existing Sanitary Sewer Line: Provide positive verification that the existing line conveys sanitary sewer; verify line is not incorrectly connected to a storm drain.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 20 00.00 20

PRICE AND PAYMENT PROCEDURES
11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 1110-1-8	(2016) Construction Equipment Ownership and Operating Expense Schedule

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Earned Value Report; G

1.3 EARNED VALUE REPORT

1.3.1 Data Required

This contract requires the use of a cost-loaded Network Analysis Schedule (NAS). The information required for the Schedule of Prices will be entered as an integral part of the Network Analysis Schedule. Within 15 calendar days of notice of award, prepare and deliver to the Contracting Officer an Earned Value Report (construction contract) as directed by the Contracting Officer. Provide a detailed breakdown of the contract price, giving quantities for each of the various kinds of work, unit prices, and extended prices. Costs shall be summarized and totals provided for each construction category.

1.3.2 Schedule Instructions

Payments will not be made until the Earned Value Report from the cost-loaded NAS has been submitted to and accepted by the Contracting Officer. Identify the cost for site work, and include incidental work to the 5 ft line. Identify costs for the building(s), and include work out to the 5 ft line. Work out to the 5 ft line shall include construction encompassed within a theoretical line 5 ft from the face of exterior walls and shall include attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 ft line.

1.3.3 Schedule Requirements for HVAC TAB

The field work Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC shall be broken down in the Earned Value Report from the cost-loaded NAS by

separate line items which reflect measurable deliverables. Specific payment percentages for each line item shall be determined on a case by case basis for each contract. The line items shall be as follows:

a. Approval of Design Review Report: The TABS Agency is required to conduct a review of the project plans and specifications to identify any feature, or the lack thereof, that would preclude successful testing and balancing of the project HVAC systems. The resulting findings shall be submitted to the Government to allow correction of the design. The progress payment shall be issued after review and approval of the report.

b. Approval of the pre-field engineering report: The TABS Agency submits a report which outlines the scope of field work. The report shall contain details of what systems will be tested, procedures to be used, sample report forms for reporting test results and a quality control checklist of work items that must be completed before TABS field work commences.

c. Season I field work: Incremental payments are issued as the TABS field work progresses. The TABS Agency mobilizes to the project site and executes the field work as outlined in the pre-field engineering report. The HVAC water and air systems are balanced and operational data shall be collected for one seasonal condition (either summer or winter depending on project timing).

d. Approval of Season I report: On completion of the Season I field work, the data is compiled into a report and submitted to the Government. The report is reviewed, and approved, after ensuring compliance with the pre-field engineering report scope of work.

e. Completion of Season I field QA check: Contract QC and Government representatives meet the TABS Agency at the jobsite to retest portions of the systems reported in the Season I report. The purpose of these tests are to validate the accuracy and completeness of the previously submitted Season I report.

f. Approval of Season II report: The TABS Agency completes all Season II field work, which is normally comprised mainly of taking heat transfer temperature readings, in the season opposite of that under which Season I performance data was compiled. This data shall be compiled into a report and submitted to the Government. On completion of submittal review to ensure compliance with the pre-field engineering report scope, progress payment is issued. Progress payment is less than that issued for the Season I report since most of the water and air balancing work effort is completed under Season I.

1.4 CONTRACT MODIFICATIONS

In conjunction with the Contract Clause DFARS 252.236-7000 Modification Proposals-Price Breakdown, and where actual ownership and operating costs of construction equipment cannot be determined from Contractor accounting records, equipment use rates shall be based upon the applicable provisions of the EP 1110-1-8.

1.5 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.5.1 Content of Invoice

Requests for payment will be processed in accordance with the Contract Clause FAR 52.232-27 Prompt Payment for Construction Contracts and FAR 52.232-5 Payments Under Fixed-Price Construction Contracts. The requests for payment shall include the documents listed below.

a. The Contractor's invoice, on NAVFAC Form 7300/30 furnished by the Government, showing in summary form, the basis for arriving at the amount of the invoice. Form 7300/30 shall include certification by Contractor and Quality Control (QC) Manager.

b. The Earned Value Report from the cost-loaded NAS, showing in detail: the estimated cost, percentage of completion, and value of completed performance for each of the construction categories stated in this contract. Use NAVFAC Form 43300/54 on NAVFAC contracts when a Monthly Estimate for Voucher is required.

c. Updated Project Schedule and reports required by the contract.

d. Contractor Safety Self Evaluation Checklist.

e. Other supporting documents as requested.

f. Updated copy of submittal register.

g. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies.

1.5.2 Submission of Invoices

If DFARS Clause 5252.232-7006 is included in the contract, provide the documents listed in paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

1.5.3 Final Invoice

a. A final invoice shall be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release shall contain the corporate seal. An officer of the corporation shall sign and the corporate secretary shall certify the Final Release.

b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor shall then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.

c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

1.6 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.6.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.503-6 Suspension or Reduction of Payments:

a. Reasonable deductions due to defects in material or workmanship;

b. Claims which the Government may have against the Contractor under or in connection with this contract;

c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and

d. Failure to provide up to date record drawings not current as stated in Contract Clause "FAC 5252.236-9310, Record Drawings."

1.6.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.

b. Materials delivered on the site but not installed, including completed preparatory work, and off-site materials to be considered for progress payment shall be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings,precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

c. Materials to be considered for progress payment prior to installation shall be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Earned Value Report requirement of this contract. Requests for progress payment consideration for such items shall be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.

d. Materials are adequately insured and protected from theft and exposure.

e. Provide a written consent from the surety company with each payment request for offsite materials.

f. Materials to be considered for progress payments prior to installation shall be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
08/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map; G

Progress and Completion Pictures; G

1.3 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.4 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten views from points located by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Cross reference submittals in the appropriate daily report. Photographs provided are for unrestricted use by the Government.

1.5 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability,

during the entire period of performance under this contract. Provide other insurance coverage as required by State law.

1.6 FIRST TIER CONTRACTOR REQUIREMENTS FOR ASBESTOS CONTAINING MATERIALS

Accomplish all contract requirements of Section 02 82 00 ASBESTOS REMEDIATION, assigned to the Private Qualified Person, directly with a first tier subcontractor.

1.7 SUPERVISION

1.7.1 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of contract work. In addition, if a Quality Control (QC) representative is required on the contract, then that individual must also have fluent English communication skills.

1.7.1.1 Project Supervision

The three roles of Superintendent, QC Manager, and SSHO may be filled by two persons if and only if those individuals meet all of the qualification and experience requirements of the respective specification sections. The two or three people assigned shall be full time and shall have no other duties assigned.

1.7.2 Superintendent Qualifications

The project superintendent must have a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

1.7.2.1 Duties

The project superintendent is primarily responsible for managing and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend NAVFAC Red Zone meetings, partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.7.3 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to insure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

1.8 PRECONSTRUCTION MEETING

After award of the contract but prior to commencement of any work at the site, meet with the Contracting Officer to discuss and develop a mutual understanding relative to the administration of the value engineering and safety program, preparation of the schedule of prices or earned value report, shop drawings, and other submittals, scheduling programming, prosecution of the work, and clear expectations of the "Interim DD Form 1354" Submittal. Major subcontractors who will engage in the work must also attend.

1.9 FACILITY TURNOVER PLANNING MEETINGS (NAVFAC Red Zone - NRZ)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start the turnover process at the Pre-Construction Conference meeting with a discussion of the NAVFAC Red Zone (NRZ) process and convene at regularly scheduled NRZ Meetings. Include the following in the facility Turnover effort:

1.9.1 NRZ Checklist

a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the NRZ Checklist template prior to 75 percent completion.

b. Prior to 75 percent completion add/delete critical activities to the NRZ Checklist template as necessary to match the project scope, and schedule critical activities and insert planned completion dates in the NRZ checklist for each critical activity. Present the NRZ Checklist to COTR and review during a regularly scheduled QC Meeting.

1.9.2 Meetings

a. Upon Government acceptance of the NRZ Checklist, the Project Superintendent is required to lead regular NRZ Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.

b. The Contracting Officer will determine the frequency of the meetings, which is expected to increase as the project completion draws nearer.

c. Using the NRZ Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.

d. Coordinate with the COTR any upcoming activities that require Government involvement.

e. Maintain the NRZ Checklist by documenting the actual completion dates as work is completed and update the NRZ Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current NRZ Checklist to attendees at each NRZ Meeting.

1.10 PARTNERING

To most effectively accomplish this contract, the Government requires the formation of a cohesive partnership within the Project Team whose members

are from the Government, the Contractor and their Subcontractors. Key personnel from the Supported Command, the End User (who will occupy the facility), the Government Design and Construction team and Subject Matter Experts, the Installation, the Contractor and Subcontractors, and the Designer of Record will be invited to participate in the Partnering process. The Partnership will draw on the strength of each organization in an effort to achieve a project that is without any safety mishaps, conforms to the Contract, and stays within budget and on schedule.

The Contracting Officer will provide Information on the Partnering Process and a list of key and optional personnel who should attend the Partnering meeting.

1.10.1 Informal Partnering

The Contracting Officer will organize the Partnering Sessions with key personnel of the project team, including Contractor personnel and Government personnel.

The Initial Partnering session should be a part of the Pre-Construction Meeting. Partnering sessions will be held at a location agreed to by the Contracting Officer and the Contractor (typically a conference room provided by the PWD FEAD/ROICC office or the Contractor). The Initial Informal Partnering Session will be conducted and facilitated using electronic media (a video and accompanying forms) provided by the Contracting Officer. The Partners will determine the frequency of the follow-on sessions, at no more than 3 to six month intervals.

1.11 ELECTRONIC MAIL (E-MAIL) ADDRESS

Establish and maintain electronic mail (e-mail) capability along with the capability to open various electronic attachments as text files, pdf files, and other similar formats. Within 10 days after contract award, provide the Contracting Officer a single (only one) e-mail address for electronic communications from the Contracting Officer related to this contract including, but not limited to contract documents, invoice information, request for proposals, and other correspondence. The Contracting Officer may also use email to notify the Contractor of base access conditions when emergency conditions warrant, such as hurricanes or terrorist threats. Multiple email addresses are not allowed.

It is the Contractor's responsibility to make timely distribution of all Contracting Officer initiated e-mail with its own organization including field office(s). Promptly notify the Contracting Officer, in writing, of any changes to this email address.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 31 23.13 20

ELECTRONIC CONSTRUCTION AND FACILITY SUPPORT CONTRACT MANAGEMENT SYSTEM
05/17

PART 1 GENERAL

1.1 CONTRACT ADMINISTRATION

Utilize the Naval Facilities Engineering Command's (NAVFAC's) Electronic Construction and Facility Support Contract Management System (eCMS) for the transfer, sharing and management of electronic technical submittals and documents. The web-based eCMS is the designated means of transferring technical documents between the Contractor and the Government. Paper media or e-mail submission, including originals or copies, of the documents identified in Table 1 are not permitted, except where eCMS is unavailable, non-functional or specifically requested in addition to electronic submission. Contact the Contracting Officer's Representative (COR) regarding availability of eCMS training and reference materials.

1.2 USER PRIVILEGES

The Contractor will be provided access to eCMS. All technical submittals and documents must be transmitted to the Government via the COR. Project roles and system roles will be established to control each user's menu, application, and software privileges, including the ability to create, edit, or delete objects.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contractor's Personnel; G

1.4 SYSTEM REQUIREMENTS AND CONNECTIVITY

1.4.1 General

The eCMS requires a web-browser (platform-neutral) and Internet connection. Obtain from an approved vendor an External Certification Authority (ECA), Primary Key Infrastructure (PKI) certificate, or other similar digital identification to support two-factor authentication and access to eCMS. Provide and maintain computer hardware and software for the eCMS access throughout the duration of the contract for all Contractor-designated users. Provide connectivity, speed, bandwidth, and access to the Internet to ensure adequate functionality. Neither upgrading of the Contractor's computer system nor delays associated from the usage of the eCMS will be justification or grounds for a time extension or cost adjustment to the Contract.

1.4.2 Contractor Personnel List

Within 20 calendar days of contract award, provide to the Contracting

Officer a list of Contractor's personnel who will have the responsibility for the transfer, sharing and management of electronic technical submittals and documents and will require access to the eCMS. Project personnel roles to be filled in the eCMS include the Contractor's Project Manager, Superintendent, Quality Control (QC) Manager, and Site Safety and Health Officer (SSHO). Personnel must be capable of electronic document management. Notify the COR immediately of any personnel changes to the project. The Contracting Officer reserves the right to perform a security check on all potential users. Provide the following information:

First Name
Last Name
E-mail Address
Office Address
Project Role (e.g. Project Manager, QC Manager, Superintendent)

1.4.3 Field Administration

Within 30 days of Contract Award, provide a tablet computer with a Common Access Card (CAC) reader at the job site for Government use only. The tablet computer must have a web-browser, built-in camera, and cellular data connectivity. Provide tablet computer with a rugged case suitable for use in a construction environment. The tablet computer must be fully charged and made available at all times for Government use to facilitate the input of construction data at the job site. After completion of the work, reset the tablet computer to factory default settings. The tablet computer remains the property of the Contractor and must be removed from the site. There are restrictions on use of WIFI on military bases. Cellular data connectivity and availability, and use of WIFI requires coordination with and approval by the Contracting Officer.

1.5 SECURITY CLASSIFICATION

In accordance with Department of Navy guidance, all military construction contract data are unclassified, unless specified otherwise by a properly designated Original Classification Authority (OCA) and in accordance with an established Security Classification Guide (SCG). Refer to the project's OCA when questions arise about the proper classification of information.

The eCMS and tablet computer must only be used for the transaction of unclassified information associated with construction projects. In conformance with the Freedom of Information Act (FOIA), Department of Defense Manual 5200.01-V4: DoD Information Security Program: Controlled Unclassified Information (CUI), and DoD requirements, any unclassified project documentation uploaded into the eCMS must be designated either "U - UNCLASSIFIED" (U) or "FOUO - UNCLASSIFIED-FOR OFFICIAL USE ONLY" (FOUO).

1.6 ECMS UTILIZATION

Establish, maintain, and update data and documentation in the eCMS throughout the duration of the contract.

Personally Identifiable Information (PII) transmittal is not permitted in the eCMS.

1.6.1 Information Security Classification/Identification

The eCMS must be used for the transmittal of the following documents. This requirement supersedes conflicting requirements in other sections, however,

submittal review times in Section 01 33 00 SUBMITTAL PROCEDURES remain applicable. Table 1 - Project Documentation Types provides the appropriate U and FOUO designations for various types of project documents. Construction documents requiring FOUO status must be marked accordingly. Apply the appropriate markings before any document is uploaded into eCMS. Markings are not required on U documents.

Table 1 also identifies which eCMS application is to be used in the transmittal of data (these are subject to change based on the latest software configuration). If a designated application is not functional within 4 hours of initial attempt, defer to the Submittal application and submit the required data as an uploaded portable document (e.g. PDF), word processor, spreadsheet, drawing, or other appropriate format. Hard copy or e-mail submission of these items is acceptable only if eCMS is documented to be not available or not functional. After uploading documents to the Submittal application, transmit the submittals and attachments to the COR via the Transmittal application. For Submittals, select the following:

Preparation by = Contractor personnel assigned to prepare the submittal
Approval by = Contracting Officer Representative (COR)
Returned by = Design Lead/Manager
Forwarded to = Contractor project manager

Table 1 - Project Documentation Types

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
As-Built Drawings	U	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Document management,docum Submittals
Base Access Request/Approval	FOUO	1. Verify applicability with local installation security procedures via the Administering Contracting Office 2. Redact Social Security Numbers (SSNs) prior to upload into eCMS, unless SSNs are required by the local security office to support security clearance investigations or verifications	Communications management, communications
Building Information Modeling (BIM)	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Document management, documents, Submittals

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
Construction Permits	U	Refer to rules of the issuing activity, state or jurisdiction	Document management, documents, Submittals
Construction Schedules (Activities and Milestones)	U	After the schedule submittal is approved by the COR, import the schedule file into the scheduling application, and select "Approve" to establish a new schedule baseline	Document management, documents, Submittals
Construction Schedules (Cost-Loaded)	FOUO		Document management, documents, Submittals
DD 1354 Transfer of Real Property	U		Document management, documents, Submittals
Daily Production Reports	FOUO	Provide weather conditions, crew size, man-hours, equipment, and materials information	Site Management, Daily Report
Daily Quality Control (QC) Reports	FOUO	Provide QC Phase, Definable Features of Work Identify visitors	Site Management, Daily Report
Designs and Specifications	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Document management, documents, Submittals
Environmental Notice of Violation (NOV), Corrective Action Plan	U	Refer to rules of the issuing activity, state or jurisdiction	Document management, documents, Submittals

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
Environmental Protection Plan (EPP)	FOUO		Document management, documents, Submittals
Jobsite Documentation, Bulletin Board, Labor Laws, SDS	U		Document management, documents, Submittals
Meeting Minutes	FOUO		Communication management, Meeting Minutes
Operations & Maintenance Support Information (OMSI/eOMSI), Facility Data Worksheet	U	1. Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager 2. Design reviews will be performed in existing "Dr Checks"	Document management, documents, Submittals
Photographs	U	Subject to base/installation restrictions	Document management, documents, Submittals
QCM Initial Phase Checklists	FOUO		Checklists (Site Management)
QCM Preparatory Phase Checklists	FOUO		Checklists (Site Management)
Quality Control Plans	FOUO		Document management, documents, Submittals
QC Certifications	U		Document management, documents, Submittals

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
QC Punch List	U		Site management, Punch Lists
Red-Zone Checklist	U		Checklists (Site Management)
Rework Items List	FOUO		Site management, Punch Lists
Request for Information (RFI) Post-Award	FOUO		Communication management, RFIs
Safety Plan	FOUO		Site management, Daily Report
Safety - Activity Hazard Analyses (AHA)	FOUO		Site management, Daily Report
Safety - Mishap Reports	FOUO		Site management, Daily Report
SCIF/SAPF Accreditation Support Documents	FOUO	Note: Some Construction Security plans may be classified as Secret. Classified information must not be uploaded into eCMS. Refer to the Site Security Manager, as applicable.	Document management, documents, Submittals
Shop Drawings	U	Locations of sensitive areas must be labeled as either "Controlled Area" or "Restricted Area" and may be shown on unclassified documents with the approval from Site Security Manager	Document management, documents, Submittals
Storm Water Pollution Prevention (Notice of Intent - Notice of Termination)	U	Refer to rules of the issuing activity, state or jurisdiction	Document management, documents, Submittals

SUBJECT/NAME	CLASS	REMARKS	ECMS APPLICATION
Submittals and Submittal Log	U		Document management, documents, Submittals
Testing Plans, Logs, and Reports	FOUO		Site management, QC inspection and testing plan
Training/Reference Materials	U		Document management, documents, Submittals
Training Records (Personnel)	FOUO		Document management, documents, Submittals
Utility Outage/Tie-In Request/Approval	FOUO		Document management, documents, Submittals
Warranties/BOD Letter	FOUO		Document management, documents, Submittals
Quality Assurance Reports	FOUO		GOV ONLY
Other Government-prepared documents	FOUO		GOV ONLY
All Othere Documents	FOUO	Refer to FOIA guidelines and contact the FOIA official to determine whether exemptions exist	As applicable

1.6.2 Markings on FOUO documents

a. Only FOUO documents being electronically uploaded into the eCMS (.docx, .xlsx, .pptx and others as appropriate), and associated paper documents described in the paragraph CONTRACT ADMINISTRATION require FOUO markings as indicated in the subparagraphs below.

b. FOUO documents that are originally created within the eCMS application using the web-based forms (RFIs, Daily Reports, and others as appropriate) will be automatically watermarked by the eCMS software,

and these do not require additional markings.

c. FOUO documents must be marked "UNCLASSIFIED//FOR OFFICIAL USE ONLY" at the bottom of the outside of the front cover (if there is one), the title page, the first page, and the outside of the back cover (if there is one).

d. FOUO documents must be marked on the internal pages of the document as "UNCLASSIFIED//FOR OFFICIAL USE ONLY"' at top and bottom.

e. Where Installations require digital photographs to be designated FOUO, place the markings on the face of the photograph.

f. For visual documentation, other than photographs and audio documentation, mark with either visual or audio statements as appropriate at both the beginning and end of the file.

1.7 QUALITY ASSURANCE

Requested Government response dates on Transmittals and Submittals must be in accordance with the terms and conditions of the Contract. Requesting response dates earlier than the required review and response time, without concurrence by the Government COR, may be cause for rejection.

Incomplete submittals will be rejected without further review and must be resubmitted. Required Government response dates for resubmittals must reflect the date of resubmittal, not the original submittal date.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

-- End of Section --

SECTION 01 32 01.00 10

PROJECT SCHEDULE
02/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AACE INTERNATIONAL (AACE)

AACE 29R-03	(2011) Forensic Schedule Analysis
AACE 52R-06	(2006) Time Impact Analysis - As Applied in Construction

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11	(1995) Administration -- Progress, Schedules, and Network Analysis Systems

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G

Preliminary Project Schedule; G

Initial Project Schedule; G

Periodic Schedule Update; G

1.3 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The authorized representative must have a minimum of 2-years experience scheduling construction projects similar in size and nature to this project with scheduling software that meets the requirements of this specification. Representative must have a comprehensive knowledge of CPM scheduling principles and application.

PART 2 PRODUCTS

2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules

required herein must be capable of meeting all requirements of this specification.

2.1.1 Government Default Software

The Government intends to use Primavera P6.

2.1.2 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available. The software routine used to create the required sdef file must be created and supported by the software manufacturer.

2.1.2.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file in a version of P6 importable by the Government system.

2.1.2.2 Other Than Primavera

If the contractor chooses software other than Primavera P6, that is compliant with this specification, provide for the Government's use two licenses, two computers, and training for two Government employees in the use of the software. These computers will be stand-alone and not connected to Government network. Computers and licenses will be returned at project completion.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Subcontractors and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM).

3.2 BASIS FOR PAYMENT AND COST LOADING

The schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN.

3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

3.2.2 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the preliminary, initial or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, the Contracting Officer may withhold 10 percent of pay request amount from each payment period until such revisions to the project schedule have been made.

3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Less than 2 percent of all non-procurement activities may have Original Durations (OD) greater than 20 work days or 30 calendar days.

3.3.3 Procurement Activities

Include activities associated with the critical submittals and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

3.3.4 Mandatory Tasks

Include the following activities/tasks in the initial project schedule and all updates.

a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).

b. Submission, review and acceptance of features require design completion

c. Submission of mechanical/electrical/information systems layout drawings.

d. Long procurement activities

e. Submission and approval of O & M manuals.

f. Submission and approval of as-built drawings.

g. Submission and approval of DD1354 data and installed equipment lists.

h. Submission and approval of testing and air balance (TAB).

i. Submission of TAB specialist design review report.

j. Submission and approval of fire protection specialist.

k. Submission and approval of Building Commissioning Plan, test data, and reports: Develop the schedule logic associated with testing and commissioning of mechanical systems to a level of detail consistent with the contract commissioning requirements. All tasks associated with all building testing and commissioning will be completed prior to submission of building commissioning report and subsequent contract completion.

l. Air and water balancing.

m. Building commissioning - Functional Performance Testing.

n. Controls testing plan submission.

o. Controls testing.

p. Performance Verification testing.

q. Other systems testing, if required.

r. Contractor's pre-final inspection.

s. Correction of punch list from Contractor's pre-final inspection.

t. Government's pre-final inspection.

u. Correction of punch list from Government's pre-final inspection.

v. Final inspection.

3.3.5 Government Activities

Show Government and other agency activities that could impact progress. These activities include, but are not limited to: approvals, environmental permit approvals by State regulators, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

3.3.6 Standard Activity Coding Dictionary

Use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11. This exact structure is mandatory. Develop and assign all Activity Codes to activities as detailed herein. A template SDEF compatible schedule backup file is available on the QCS web site: http://rms.usace.army.mil.

The SDEF format is as follows:

Field	Activity Code	Length	Description
1	WRKP	3	Workers per day

Field	Activity Code	Length	Description
2	RESP	4	Responsible party
3	AREA	4	Area of work
4	MODF	6	Modification Number
5	BIDI	6	Bid Item (CLIN)
6	PHAS	2	Phase of work
7	CATW	1	Category of work
8	FOW	20	Feature of work*
*Some systems require that FEATURE OF WORK values be placed in several activity code fields. The notation shown is for Primavera P6. Refer to the specific software guidelines with respect to the FEATURE OF WORK field requirements.			

3.3.6.1 Workers Per Day (WRKP)

Assign Workers per Day for all field construction or direct work activities, if directed by the Contracting Officer. Workers per day is based on the average number of workers expected each day to perform a task for the duration of that activity.

3.3.6.2 Responsible Party Coding (RESP)

Assign responsibility code for all activities to the Prime Contractor, Subcontractor(s) or Government agency(ies) responsible for performing the activity.

a. Activities coded with a Government Responsibility code include, but are not limited to: Government approvals, Government design reviews, environmental permit approvals by State regulators, Government Furnished Property/Equipment (GFP) and Notice to Proceed (NTP) for phasing requirements.

b. Activities cannot have more than one Responsibility Code. Examples of acceptable activity code values are: DOR (for the designer of record); ELEC (for the electrical subcontractor); MECH (for the mechanical subcontractor); and GOVT (for USACE).

3.3.6.3 Area of Work Coding (AREA)

Assign Work Area code to activities based upon the work area in which the activity occurs. Define work areas based on resource constraints or space constraints that would preclude a resource, such as a particular trade or craft work crew from working in more than one work area at a time due to restraints on resources or space. Examples of Work Area Coding include different areas within a floor of a building, different floors within a building, and different buildings within a complex of buildings. Activities cannot have more than one Work Area Code.

Not all activities are required to be Work Area coded. A lack of Work Area coding indicates the activity is not resource or space constrained.

3.3.6.4 Modification Number (MODF)

Assign a Modification Number Code to any activity or sequence of activities added to the schedule as a result of a Contract Modification, when approved by Contracting Officer. Key all Code values to the Government's modification numbering system. An activity can have only one Modification Number Code.

3.3.6.5 Bid Item Coding (BIDI)

Assign a Bid Item Code to all activities using the Contract Line Item Schedule (CLIN) to which the activity belongs, even when an activity is not cost loaded. An activity can have only one BIDI Code.

3.3.6.6 Phase of Work Coding (PHAS)

Assign Phase of Work Code to all activities. Examples of phase of work are procurement phase and construction phase. Each activity can have only one Phase of Work code.

a. Code proposed fast track design and construction phases proposed to allow filtering and organizing the schedule by fast track design and construction packages.

b. If the contract specifies phasing with separately defined performance periods, identify a Phase Code to allow filtering and organizing the schedule accordingly.

3.3.6.7 Category of Work Coding (CATW)

Assign a Category of Work Code to all activities. Category of Work Codes include, but are not limited to construction submittal, procurement, fabrication, weather sensitive installation, non-weather sensitive installation, start-up, and testing activities. Each activity can have no more than one Category of Work Code.

3.3.6.8 Feature of Work Coding (FOW)

Assign a Feature of Work Code to appropriate activities based on the Definable Feature of Work to which the activity belongs based on the approved QC plan.

Definable Feature of Work is defined in Section 01 45 00.00 10 QUALITY CONTROL. An activity can have only one Feature of Work Code.

3.3.7 Contract Milestones and Constraints

Milestone activities are to be used for significant project events including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or effect network logic are prohibited. No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for

approval on a case by case basis.

3.3.7.1 Project Start Date Milestone and Constraint

The first activity in the project schedule must be a start milestone titled "NTP Acknowledged," which must have a "Start On" constraint date equal to the date that the NTP is acknowledged.

3.3.7.2 End Project Finish Milestone and Constraint

The last activity in the schedule must be a finish milestone titled "End Project."

Constrain the project schedule to the Contract Completion Date in such a way that if the schedule calculates an early finish, then the float calculation for "End Project" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "End Project" milestone float calculation reflects negative float on the longest path. The Government is under no obligation to accelerate Government activities to support a Contractor's early completion.

3.3.7.3 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

3.3.7.3.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

3.3.7.3.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

3.3.8 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc. Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and holidays. Develop sSeasonal Calendar(s) and assign to seasonally affected activities as applicable.

If an activity is weather sensitive it should be assigned to a calendar showing non-work days on a monthly basis, with the non-work days selected at random across the weeks of the calendar, using the anticipated adverse weather delay work days provided in the Special Contract Requirementns. Assign non-work days over a seven-day week as weather records are compiled on seven-day weeks, which may cause some of the weather related non-work days to fall on weekends.

3.3.9 Open Ended Logic

Only two open ended activities are allowed: the first activity "NTP Acknowledged" may have no predecessor logic, and the last activity -"End

Project" may have no successor logic.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

3.3.10 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

3.3.11 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

3.3.12 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

3.3.13 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

3.3.14 Leads, Lags, and Start to Finish Relationships

Lags must be reasonable as determined by the Government and not used in place of realistic original durations, must not be in place to artificially absorb float, or to replace proper schedule logic.

a. Leads (negative lags) are prohibited.

b. Start to Finish (SF) relationships are prohibited.

3.3.15 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

3.3.16 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management.

3.3.17 Remaining Duration

Update the remaining duration for each activity based on the number of estimated work days it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete.

3.3.18 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

3.3.18.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than $35,000 or 1 percent of the present contract value, which ever is greater, up to $200,000. Activity will be declared 100 percent complete upon the Government's approval.

3.3.18.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than $20,000. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

3.3.19 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is an Initial Project Schedule (IPS) that indicates all scope of the required contract work will be completed before the contractually required completion date.

a. No IPS indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and manhours) and the Government agreeing that the schedule is reasonable and achievable.

b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The data CD/DVD, reports, and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, then the Contractor will be deemed not to have provided an estimate upon which a progress

payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

3.4.1 Preliminary Project Schedule Submission

Within 15 calendar days after the NTP is acknowledged submit the Preliminary Project Schedule defining the planned operations detailed for the first 90 calendar days for approval. The approved Preliminary Project Schedule will be used for payment purposes not to exceed 90 calendar days after NTP. Completely cost load the Preliminary Project Schedule to balance the contract award CLINS shown on the Price Schedule. The Preliminary Project Schedule may be summary in nature for the remaining performance period. It must be early start and late finish constrained and logically tied as specified. The Preliminary Project Schedule forms the basis for the Initial Project Schedule specified herein and must include all of the required plan and program preparations, submissions and approvals identified in the contract (for example, Quality Control Plan, Safety Plan, and Environmental Protection Plan) as well as design activities, planned submissions of all early design packages, permitting activities, design review conference activities, and other non-construction activities intended to occur within the first 90 calendar days. Government acceptance of the associated design package(s) and all other specified Program and Plan approvals must occur prior to any planned construction activities. Activity code any activities that are summary in nature after the first 90 calendar days with Bid Item (CLIN) code (BIDI), Responsibility Code (RESP) and Feature of Work code (FOW).

3.4.2 Initial Project Schedule Submission

Submit the Initial Project Schedule for approval within 42 calendar days after notice to proceed is issued. The schedule must demonstrate a reasonable and realistic sequence of activities which represent all work through the entire contract performance period. No payment will be made for work items not fully detailed in the Project Schedule.

3.4.3 Periodic Schedule Updates

Update the Project Schedule on a regular basis, monthly at a minimum. Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress.

a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete is subject to the approval of the Government at the meeting.

b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Preliminary Schedule, Initial Schedule, and every Periodic Schedule Update throughout the life of the project:

3.5.1 Data CD/DVDs

Provide two sets of data CD/DVDs containing the current project schedule

and all previously submitted schedules in the format of the scheduling software (e.g. .xer). Also include on the data CD/DVDs the Narrative Report and all required Schedule Reports. Label each CD/DVD indicating the type of schedule (Preliminary, Initial, Update), full contract number, Data Date and file name. Each schedule must have a unique file name and use project specific settings.

3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for any problems, either current or potential, which are revealed through that analysis. Include the following information as minimum in the Narrative Report:

a. Identify and discuss the work scheduled to start in the next update period.

b. A description of activities along the two most critical paths where the total float is less than or equal to 20 work days.

c. A description of current and anticipated problem areas or delaying factors and their impact and an explanation of corrective actions taken or required to be taken.

d. Identify and explain why activities based on their calculated late dates should have either started or finished during the update period but did not.

e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.

f. Identify and discuss out-of-sequence work.

3.5.3 Schedule Reports

The format, filtering, organizing and sorting for each schedule report will be as directed by the Contracting Officer. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. Provide one set(s) of hardcopy reports. The following lists typical reports that will be requested:

3.5.3.1 Activity Report

List of all activities sorted according to activity number.

3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in ascending order by activity number.

3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

3.5.3.5 Schedule Log

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

3.5.4 Network Diagram

The Network Diagram is required for the Preliminary, Initial and Periodic Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

3.5.4.4 Banding

Organize activities using the WBS or as otherwise directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.

3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow

S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.

3.6 PERIODIC SCHEDULE UPDATE

3.6.1 Periodic Schedule Update Meetings

Conduct periodic schedule update meetings for the purpose of reviewing the proposed Periodic Schedule Update, Narrative Report, Schedule Reports, and progress payment. Conduct meetings at least monthly within five days of the proposed schedule data date. Provide a computer with the scheduling software loaded and a projector which allows all meeting participants to view the proposed schedule during the meeting. The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. The meeting will last no longer than 8 hours. Provide a draft of the proposed narrative report and schedule data file to the Government a minimum of two workdays in advance of the meeting. The Contractor's Project Manager and scheduler must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the periodic schedule update meeting, make corrections to the draft submission. Include only those changes approved by the Government in the submission and invoice for payment.

3.6.2 Update Submission Following Progress Meeting

Submit the complete Periodic Schedule Update of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than 4 work days after the periodic schedule update meeting.

3.7 WEEKLY PROGRESS MEETINGS

Conduct a weekly meeting with the Government (or as otherwise mutually agreed to) between the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the actual progress of the project as compared to the as planned progress and to review planned activities for the upcoming two weeks. Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At the weekly progress meeting, address the status of RFIs, RFPs and Submittals.

3.8 REQUESTS FOR TIME EXTENSIONS

Provide a justification of delay to the Contracting Officer in accordance with the contract provisions and clauses for approval within 10 days of a delay occurring. Also prepare a time impact analysis for each Government request for proposal (RFP) to justify time extensions.

3.8.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a time impact analysis that

demonstrates the effects of the delay or impact on the project completion date or interim completion date(s). Evaluate multiple impacts chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

3.8.2 Time Impact Analysis (Prospective Analysis)

Prepare a time impact analysis for approval by the Contracting Officer based on industry standard AACE 52R-06. Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the time impact analysis. If Contracting Officer determines the time frame between the last approved schedule and the first day of impact is too great, prepare an interim updated schedule to perform the time impact analysis. Unless approved by the Contracting Officer, no other changes may be incorporated into the schedule being used to justify the time impact.

3.8.3 Forensic Schedule Analysis (Retrospective Analysis)

Prepare an analysis for approval by the Contracting Officer based on industry standard AACE 29R-03.

3.8.4 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

3.8.5 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("End Project" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay will not be a cause for an extension to the performance period, completion date, or any interim milestone date.

3.8.6 Impact to Early Completion Schedule

No extended overhead will be paid for delay prior to the original Contract Completion Date for an Early Completion IPS unless the Contractor actually performed work in accordance with that Early Completion Schedule. The Contractor must show that an early completion was achievable had it not been for the impact.

3.9 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the

Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include which activities will be accelerated by adding additional crews, longer work hours, extra work days, etc.

3.9.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

3.9.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

3.9.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

3.10 OWNERSHIP OF FLOAT

Except for the provision given in the paragraph IMPACT TO EARLY COMPLETION SCHEDULE, float available in the schedule, at any time, may not be considered for the exclusive use of either the Government or the Contractor including activity and/or project float. Activity float is the number of work days that an activity can be delayed without causing a delay to the "End Project" finish milestone. Project float (if applicable) is the number of work days between the projected early finish and the contract completion date milestone.

3.11 TRANSFER OF SCHEDULE DATA INTO RMS/QCS

Import the schedule data into the Quality Control System (QCS) and export the QCS data to the Government. This data is considered to be additional supporting data in a form and detail required by the Contracting Officer pursuant to FAR 52.232-5 Payments under Fixed-Price Construction Contracts. The receipt of a proper payment request pursuant to FAR 52.232-27 Prompt Payment for Construction Contracts is contingent upon the Government receiving both acceptable and approvable hard copies and matching electronic export from QCS of the application for progress payment.

3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

If Primavera P6 is being used, request a backup file template (.xer) from the Government, if one is available, prior to building the schedule. The following settings are mandatory and required in all schedule submissions to the Government:

a. Activity Codes must be Project Level, not Global or EPS level.

b. Calendars must be Project Level, not Global or Resource level.

c. Activity Duration Types must be set to "Fixed Duration & Units".

d. Percent Complete Types must be set to "Physical".

e. Time Period Admin Preferences must remain the default "8.0 hr/day, 40 hr/week, 172 hr/month, 2000 hr/year". Set Calendar Work Hours/Day to 8.0 Hour days.

f. Set Schedule Option for defining Critical Activities to "Longest Path".

g. Set Schedule Option for defining progressed activities to "Retained Logic".

h. Set up cost loading using a single lump sum labor resource. The Price/Unit must be $1/hr, Default Units/Time must be "8h/d", and settings "Auto Compute Actuals" and "Calculate costs from units" selected.

i. Activity ID's must not exceed 10 characters.

j. Activity Names must have the most defining and detailed description within the first 30 characters.

-- End of Section --

SECTION 01 32 17.00 20

COST-LOADED NETWORK ANALYSIS SCHEDULES (NAS)
05/18

PART 1 GENERAL

1.1 DEFINITIONS

The cost-loaded Network Analysis Schedule (NAS) is a tool to manage the project, both for Contractor and Government activities. The NAS is also used to report progress, evaluate time extensions, and provide the basis for progress payments.

For consistency, when scheduling software terminology is used in this section, the terms in Primavera's scheduling programs are used.

1.2 SCHEDULE REQUIREMENTS PRIOR TO THE START OF WORK

1.2.1 Preliminary Scheduling Meeting

Before preparation of the Project Baseline Schedule, and prior to the start of work, meet with the Contracting Officer to discuss the proposed schedule and the requirements of this section.

1.2.2 Project Baseline Schedule

Submit the Baseline NAS at the PreConstruction Meeting. Government review comments on the Contractor's schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents. Only bonds may be paid prior to acceptance of the Baseline NAS. The acceptance of a Baseline NAS is a condition precedent to:

a. The Contractor starting demolition work or construction stage(s) of the contract.

b. Processing Contractor's invoices(s) for construction activities/items of work.

c. Review of any schedule updates.

Submittal of the Baseline NAS, and subsequent schedule updates, is understood to be the Contractor's certification that the submitted schedule meets the requirements of the Contract Documents, represents the Contractor's plan on how the work will be accomplished, and accurately reflects the work that has been accomplished and how it was sequenced (as-built logic).

1.3 THREE-WEEK LOOK AHEAD SCHEDULE

1.3.1 Weekly CQC Coordination and Production Meeting

Deliver three hard copies and one electronic file of the 3-Week Look Ahead Schedule to the Contracting Officer no later than 8 a.m. each Monday, for review during the weekly CQC Coordination or Production Meeting.

1.3.2 Look Ahead Schedule Requirements

Prepare and issue a 3-Week Look Ahead schedule to provide a more detailed day-to-day plan of upcoming work identified on the Project Network Analysis Schedule. Requirements include:

a. Key the work plans mapped to NAS activity numbers and updated each week to show the planned work for the current and following two-week period.

b. Include upcoming outages, closures, field evaluation tests, preparatory meetings, and initial meetings.

c. Identify critical path activities on the Three-Week Look Ahead Schedule.

d. The detail work plans are to be bar chart type schedules, derived from but maintained separately from the Project NAS on an electronic spreadsheet program and printed on 8-1/2 by 11 inch sheets as directed by the Contracting Officer.

e. Activities must not exceed 5 working days in duration and have sufficient level of detail to assign crews, tools and equipment required to complete the work.

1.4 MONTHLY NETWORK ANALYSIS

1.4.1 Monthly Network Analysis Updates

Meet with Government representatives at monthly intervals to review and agree on the information presented in the updated project schedule. The submission of an acceptable, updated schedule to the Government is a condition precedent to the processing of the Contractor's invoice. Submit an acceptable, updated schedule to the Government regardless of whether a Contractor's invoice is submitted for the given period. The Contractor and Government must consent to agree on unit quantities of work completed equating to a percentage of payment for each activity progressed during the update period. Monthly update schedules must incorporate as-built events as they occurred and provide ongoing status of anticipated finish dates. As-built events must correspond to contemporaneous records including but not limited to submittals, daily production reports and quality control reports.

Provide the following with each Schedule submittal:

a. Time-Scaled Logic Diagram.

b. Reports listed in paragraph REQUIRED TABULAR REPORTS.

c. Data disks containing the project schedule. Include the back-up native .xer program files.

1.4.2 As-Built Schedule

As a condition precedent to the release of retention and making final payment, submit an "As-Built Schedule," as the last schedule update showing all activities at 100 percent completion. This schedule must reflect the exact manner in which the project was actually constructed.

1.5 CORRESPONDENCE AND TEST REPORTS

Reference Schedule activity IDs that are being addressed in each correspondence (e.g., letters, Requests for Information (RFIs), e-mails, meeting minute items, Production and QC Daily Reports, material delivery tickets, photographs) and test report (e.g., concrete, soil compaction, weld, pressure).

1.6 ADDITIONAL SCHEDULING REQUIREMENTS

References to additional scheduling requirements, including systems to be inspected, tested and commissioned, that are located throughout the remainder of the Contract Documents, are subject to the requirements of this section.

1.7 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Designated Project Scheduler; G

Baseline NAS; G

SD-07 Certificates

Monthly Network Analysis Updates; G

SD-11 Closeout Submittals

As-Built Schedule; G

1.8 SOFTWARE

Prepare and maintain project schedules using Primavera P6 software in a version compatible with Government's current version. Importing data into P6 using data conversion techniques or third party software is cause for rejection of the submitted schedule.

1.9 DESIGNATED PROJECT SCHEDULER

Submit to the Contracting Officer for approval an individual who will serve as the Designated Project Scheduler. Include a copy of the candidate's resume with qualifications. The Contracting Officer may remove the Designated Project Scheduler, and require replacement, if the scheduler does not effectively fulfill their duties in accordance with the contract requirements. Payment request will not be processed without an approved Designated Project Scheduler.

1.9.1 Qualifications

The Designated Project Scheduler must have prepared and maintained at least three previous construction schedules, of similar size and complexity to this contract, using Primavera P6.

1.9.2 Duties

Duties of the Designated Project Scheduler:

a. Prepare Baseline NAS.

b. Prepare monthly schedule updates.

c. Prepare tabular reports.

d. Prepare Time Impact Analysis (TIA) as necessary.

e. Provide certification that NAS and TIA submittals conform to the contract requirements.

f. Participate with the Prime Contractor and Government Representative in a monthly teleconference call, and scheduled with sufficient time to support the Monthly Network Analysis Updates process, to discuss project status, schedule updates, critical activities, potential delays, and contract modifications impacting the schedule.

1.10 NETWORK SYSTEM FORMAT

The system must include time-scaled logic diagrams and specified reports.

Prepare the schedule in accordance with the following Primavera P6 settings and parameters. Deviation from these settings and parameters, without prior consent of the Contracting Officer, is cause for rejection of schedule submission.

1.10.1 Diagrams

Provide 11 by 17 inch hard-copy of Time-scaled Logic Diagram in color and landscape-oriented. Clearly show activities on the longest path. Include the following information for each activity and include accompanying Gantt chart:

a. Activity ID

b. Activity Name

c. Original Duration in Work Days

d. Remaining duration in Work Days

e. Physical Percent Complete

f. Start Date

g. Finish Date

h. Total Float

1.10.2 Schedule Activity Properties and Level of Detail

1.10.2.1 Activity Identification and Organization

a. Identify construction activities planned for the project and other activities that could impact project completion if delayed in the NAS.

b. Identify administrative type activity/milestones, including all pre-construction submittal and permit requirements prior to demolition or construction stage.

c. Include times for procurement, Contractor quality control and construction, acceptance testing and training in the schedule.

d. Include the Government approval time required for the submittals that require Government Approval prior to construction, as indicated in Section 01 33 00 SUBMITTAL PROCEDURES.

e. Create separate activities for each Phase, Area, Floor Level and Location the activity is occurring.

f. Do not use construction category activity to represent non-work type reference (e.g. Serial Letter, Request for Information) in NAS. Place Non-work reference within the P6 activity details notebook.

Activity categories included in the schedule are specified below.

1.10.2.2 Activity Logic

a. With the exception of the Contract Award and Contract Completion Date (CCD) milestone activities, activity must not be open-ended; each activity must have predecessor and successor ties.

b. Activities must not have open start or open finish (dangling) logic.

c. Do not use lead or lag logic without Contracting Officer prior approval.

d. Minimize redundant logic ties.

e. Once an activity exists on the schedule it must not be deleted or renamed to change the scope of the activity and must not be removed from the schedule logic without approval from the Contracting Officer.

(1) While an activity cannot be deleted, where said activity is no longer applicable to the schedule, but must remain within the logic stream for historical record, change the activity to a milestone and clearly label "NO LONGER REQUIRED" after the activity name. Redistribute accordingly any remaining budget associated with that activity.

(2) Document any such change in the milestone's "Notebook," including a date and explanation for the change.

(3) The ID number for a "NO LONGER REQUIRED" activity must not be re-used for another activity.

1.10.2.3 Critical and Near Critical Activity Baseline Limitation

For P6 settings, critical activities are defined as being on the Longest Path. "Near Critical" is defined as having total float, of up to 14 days more, than the greatest float value found on the Longest Path. Longest Path (Critical) and Near Critical Activities must not make up more than 20 percent of all activity within the Baseline Schedule.

1.10.2.4 Assigned Calendars

All NAS activity must be assigned calendars that reflect required and anticipated non-work days.

1.10.2.5 Activity Categories

1.10.2.5.1 Pre-construction Activities

Examples of pre-construction activities include, but are not limited to, bond approval, permits, pre-construction submittals and approvals. Include pre-construction activities that are required to be completed prior to the Contractor starting the demolition or construction stage of work.

1.10.2.5.2 Procurement Activities

Examples of procurement activities include, but are not limited to: Material/equipment submittal preparation, submittal and approval of material/equipment; material/equipment fabrication and delivery, and material/equipment on-site. As a minimum, separate procurement activities must be provided for critical items, long lead items, items requiring Government approval and material/equipment procurement for which payment will be requested in advance of installation. Show each delivery with relationship tie to the Construction Activity specifically for the delivery.

1.10.2.5.3 Government Activities

Government and other agency activities that could impact progress must be clearly identified. Government activities include, but are not limited to; Government approved submittal reviews, Government conducted inspections/tests, environmental permit approvals by State regulators, utility outages, and delivery of Government Furnished Material/Equipment.

1.10.2.5.4 Construction Quality Management (CQM) Activities

The Preparatory and Initial Phase meetings for each Definable Feature of Work identified in the Contractor's Quality Control Plan must be included in the Three-Week Look Ahead Schedule. Preparatory and Initial phase meetings are not required in the NAS, but can be represented by a start milestone linked to successor parent Construction Activity. The Follow-up Phase must be represented by the Construction Activities themselves in the NAS.

1.10.2.5.5 Construction Activities

No on-site construction activity may have a duration in excess of 20 working days. Contractor activities must be driven by calendars that reflect Saturdays, Sundays and all Federal Holidays as non-work days, unless otherwise defined in this contract.

1.10.2.5.6 Turnover and Closeout Activities

Include activities or milestones for items on the NAVFAC Red Zone Checklist/POAM that are applicable to this project. As a minimum, include required Contractor testing, required Government acceptance inspections on equipment, Pre-Final Inspection, Punch List Completion, Final Inspection and Acceptance. Add an unconstrained start milestone for the initial NAVFAC Red Zone - Facility Turnover Planning Meeting at approximately 75 percent construction contract completion or six months prior to Contract

Completion Date (CCD), whichever is sooner.

1.10.2.5.7 Testing of HVAC - DALT, TAB, and PVT Activities

Include in the baseline schedule, activities and milestones associated with Government acceptance of Testing, Adjusting, and Balancing (TAB) and Performance Verification Test (PVT) as required and in accordance with Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC and Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

a. Identify the general area or location(s) for Government Acceptance Testing of TAB and PVT.

b. Incorporate into the baseline schedule, time periods required for advance notification of work, and Government submittal review in accordance with Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC, paragraph TAB SUBMITTAL AND WORK SCHEDULE.

c. Include the following as schedule activities or milestones:

(1) Pre-TAB/PVT Meeting

(2) Pre-construction TAB Field Work

(3) Pre-construction TAB Certified Report

(4) TAB Design Review Report, Government review(5) TAB Pre-Field Engineering Report, Government review(6) Control Contractors PVT Plan, Government review

(7) Equipment Suppliers PVT Plan, Government review

(8) TAB Field Work

(9) Certified Final TAB Report, Government review

(10) Endurance Testing, Government review

(11) PVT Field Work

(12) PVT Report, Government review

(13) TAB Field Acceptance Testing

(14) Post-Occupancy Endurance Testing Government review

(15) Post-Occupancy PVT Field Work

1.10.2.5.8 Commissioning Activities

Include in the baseline schedule activities and milestones associated with Commissioning.

a. Identify the general area or location(s) of systems for Commissioning Inspection and Testing

b. Incorporate into the baseline schedule time periods for Government submittal review

1.10.2.6 Contract Milestones and Constraints

1.10.2.6.1 Project Start Date Milestones

Include as the first activity on the schedule a start milestone titled "Contract Award," which must have a Mandatory Start constraint equal to the Contract Award Date.

1.10.2.6.2 NAVFAC Red Zone - Facility Turnover Planning Meeting Milestones

See paragraph TURNOVER AND CLOSEOUT ACTIVITIES above.

1.10.2.6.3 Substantial Completion Milestone

Include an unconstrained finish milestone on the schedule titled "Substantial Completion." Substantial Completion is defined as the point in time the Government would consider the project ready for beneficial occupancy wherein by mutual agreement of the Government and Contractor, Government use of the facility is allowed while construction access continues in order to complete remaining items (e.g. punch list and other close out submittals). Include a separate Substantial Completion Milestone for each phase if the contract requires construction to be completed in phases.

1.10.2.6.4 DD-1354 Finish Milestone

Add unconstrained finish milestone, titled "DD-1354" and scheduled 30 calendar days prior to Substantial Completion, whenever a Form DD-1354 is required in accordance with Section 01 20 00.00 20 PRICE AND PAYMENT PROCEDURES.

1.10.2.6.5 Projected Completion Milestone

Include an unconstrained finish milestone on the schedule titled "Projected Completion." Projected Completion is defined as the point in time all contract requirements are complete and verified by the Government with a successful Final Inspection in accordance with Section 01 45 00.00 20 QUALITY CONTROL. This milestone must have the Contract Completion Date (CCD) milestone as its only successor.

1.10.2.6.6 Contract Completion Date (CCD) Milestone

Last schedule entry must be an unconstrained finish milestone titled "Contract Completion (CCD: DD-MM-YY)." DD-MM-YYYY is the current contract completion date at data date, day-month-year corresponding to P6 Must Finish Date. NAS milestone updates of Project Completion finish date for longest path must reflect calculated float as positive or negative based on CCD. Calculation of schedule updates must be such that if the finish of the "Projected Completion" milestone falls after the contract completion date, then negative float is calculated on the longest path. If the finish of the "Projected Completion" milestone falls before the contract completion date, the float calculation must reflect positive float on the longest path.

1.10.2.7 Work Breakdown Structure & Activity Code

At a minimum, establish a Work Breakdown Structure (WBS) and provide activity codes identified as follows:

1.10.2.7.1 Work Breakdown Structure (WBS)

Group all activities and milestones within appropriate WBS categories including, at a minimum, the following:

a. Project Milestones:

(1) Management Milestones

(2) Project Administrative Meetings

(3) Permits

b. Pre-Construction Phase:

(1) Submittals and Reviews

(2) Procurement

(3) Mobilization

c. Construction Phase: Create multiple sub-sections in accordance with project specific categories of work including in WBS descending order as follows:

(1) General Area

(a) Type of Work Item

1. Location

d. Commissioning & Testing:

(1) Specific area/locations of commissioning

(2) Final Testing

(3) Training

d. Project Closeout: Include activity items such as Punchlist, Demobilization, O&M, As-built Drawings, Training, and As-built NAS.

e. Modifications: Create sub-category of Conformed and Non-Conformed under Modification WBS. Create multiple sub-sections as the project progresses identified by issue and Fragnet placed in Conformed for modifications issued prior data date, or Non-Conformed for issues not modified to contract prior data date.

f. Removed Activity: Activity "removed" by remaining within logic sequence and changing to Finish Milestone. Actualize finish date to date activity removed from schedule and provide reason(s) for removal explained in Activity Notebook.

1.10.2.7.2 Responsibility Code

All activities in the project schedule must be identified with the party responsible for completing the task. Activities must not belong to more than one responsible party.

1.10.2.7.3 Activity Category Code

Provide user defined "CAT" codes for Project Level activity codes. Use the following codes:

a. Assign "Procure" to Procurement type activity

b. Assign "Construct" Construction type activity

c. Assign "Close Out" to dedicated Commissioning, Testing & Close Out type activity.

d. Assign "Other" to other activity not otherwise designated.

1.10.2.7.4 Construction Specification Institute (CSI) Masterformat Code

Identify all activities in the project schedule with its respective Specification Section number. Activities must not belong to more than one Section number. If an activity does not have an applicable CSI Code (e.g. Mobilize), the code must be "0000".

1.10.2.8 Anticipated Weather Lost Work Days

Use the National Oceanic and Atmospheric Administration's (NOAA) Summary of Monthly Normals report to obtain the historical average number of days each month with precipitation, using a nominal 30-year, greater than 0.10 inch precipitation amount parameter, as indicated on the Station Report for the NOAA location closest to the project site as the basis for establishing a "Weather Calendar" showing the number of anticipated non-workdays for each month due to adverse weather, in addition to Saturdays, Sundays and all Federal Holidays as non-work days.

Assign the Weather Calendar to any activity that could be impacted by adverse weather. The Contracting Officer will issue a modification in accordance with the contract clauses, giving the Contractor a time extension for the difference of days between the anticipated and actual adverse weather delay if the number of actual adverse weather delay days exceeds the number of days anticipated for the month in which the delay occurs and the adverse weather delayed activities are critical to contract completion. A lost workday due to weather conditions is defined as a day in which the Contractor cannot work at least 50 percent of the day on the impacted activity.

1.10.2.9 Cost Loading

The Project Network Analysis Schedule (NAS) must be cost-loaded and will provide the basis for progress payments. Earned Value Reports must be derived from and correspond to cost loaded NAS. Use the Critical Path Method (CPM) and the Precedence Diagram Method (PDM) to satisfy time and cost applications.

1.10.2.9.1 Cost Loading Activities

Assign Material and Equipment Costs, for which payment will be requested in advance of installation, to their respective procurement activity (i.e., the material/equipment on-site activity). Assign cost for material/equipment, paid for after installation; labor; and construction equipment to their respective Construction Activities. Provide breakdown

of definable features of work for cost loaded activities comprising Mobilization and De-Mobilization (Lump sum not allowed). The value of commissioning, testing and closeout WBS section may not be less than 10 percent of the total costs for Procurement and Construction Activities. Evenly disperse overhead and profit to each activity over the duration of the project.

1.10.2.9.2 Partial Payment

Breakdown unit of measure and cost must be defined within P6 Activity Detail Expenses for partial payment of any cost loaded activity. Lump sum cost loaded activity will not be partially paid.

1.10.3 Schedule Software Settings and Restrictions

a. Activity Constraints: Date/time constraint(s), other than those required by the contract, are not allowed unless accepted by the Contracting Officer. Identify any constraints proposed and provide an explanation for the purpose of the constraint in the Narrative Report as described in paragraph REQUIRED TABULAR REPORTS.

b. Default Progress Data Disallowed: Actual Start and Actual Finish dates on the CPM schedule must match the dates on the Contractor Quality Control and Production Reports.

c. Software Settings: Handle schedule calculations and Out-of-Sequence progress (if applicable) through Retained Logic, not Progress Override. Show all activity durations and float values in days. Show activity progress using Remaining Duration. Set default activity type to "Task Dependent".

d. At a minimum, include the following settings and parameters in P6 Schedule preparation:

(1) General: Define or establish Calendars and Activity Codes at the "Project" level, not the "Global" level.

(2) Admin Drop-Down Menu, Admin Preferences, Time Periods Tab:

(a) Set time periods for P6 to 8.0 Hours/Day, 40.0 Hours/Week, 172.0 Hours/Month and 2000.0 Hours/Year.

(b) Use assigned calendar to specify the number of work hours for each time period: Must be checked.

(3) Admin Drop-Down Menu, Admin Preferences, Earned Value Tab:

(a) Earned Value Calculation: Use "Budgeted values with current dates".

(4) Project Level, Dates Tab:

(a) Set "Must Finish By" date to "Contract Completion Date", and set "Must Finish By" time to 05:00pm.

(5) Project Level, Defaults Tab:

(a) Duration Type: Set to "Fixed Duration & Units".

(b) Percent Complete Type: Set to "Physical".

(c) Activity Type: Set to "Task Dependent".

(d) Calendar: Set to "Standard 5 Day Workweek". Calendar must reflect Saturday, Sunday and all Federal holidays as non-work days. Alternative calendars may be used with Contracting Officer approval.

(6) Project Level, Calculations Tab:

(a) Activity percent complete based on activity steps: Must be Checked.

(b) Reset Remaining Duration and Units to Original: Must be Checked.

(c) Subtract Actual from At Completion: Must be Checked.

(d) Recalculate Actual units and Cost when duration percent complete changes: Must be Checked.

(e) Link Actual to Date and Actual This Period Units and Cost: Must be Checked.

(f) Price/Unit: Set to "$1/h".

(g) Update units when costs change on resource assignments: Must be Unchecked.

(7) Project Level, Settings Tab:

(a) Define Critical Activities: Check "Longest Path".

(8) Work Breakdown Structure Level, Earned Value Tab:

(a) Technique for Computing Performance Percent Complete: "Activity percent complete" is selected.

(b) Technique for Computing Estimate to Complete (ETC): "PF = 1" is selected.

1.10.4 Required Tabular Reports

Include the following reports with the Baseline, Monthly Update and any other required schedule submittals:

a. Log Report: P6 Scheduling/Leveling Report.

b. Narrative Report: Identify and justify:

(1) Progress made in each area of the project;

(2) Longest Path;

(3) Date/time constraint(s), other than those required by the contract

(4) Listing of all changes made between the previous schedule and current updated schedule include: added or deleted activities,

original and remaining durations for activities that have not started, logic (sequence constraint lag/lead), milestones, planned sequence of operations, longest path, calendars or calendar assignments, and cost loading;

(5) Any decrease in previously reported activity Earned Amount;

(6) Pending items and status thereof, including permits, changes orders, and time extensions;

(7) Status of Contract Completion Date and interim milestones;

(8) Current and anticipated delays (describe cause of delay and corrective actions(s) and mitigation measures to minimize);

(9) Description of current and potential future schedule problem areas.

Each entry in the narrative report must cite the respective Activity ID and Activity Name, the date and reason for the change, and description of the change.

c. Earned Value Report: Derive from and correspond to P6 cost loaded schedule. List all activities having a budget amount cost loaded. Compile total earnings on the project from notice to proceed to current progress payment request. Show current budget, previous physical percent complete, to-date physical percent complete, previous earned value, to-date earned value and cost to complete on the report for each activity.

d. Schedule Variance Control (SVC) Diagram: With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates and 2) Earned Value to-date. Revise Cash Flow S-Curves when the contract is modified, or as directed by the Contracting Officer.

1.11 CONTRACT MODIFICATION

1.11.1 Time Impact Analysis (TIA)

Submit a Time Impact Analysis with each cost and time proposal for a proposed change. TIA must illustrate the influence of each change or delay on the Contract Completion Date or milestones. No time extensions will be granted nor delay damages paid unless a delay occurs which consumes all available Project Float, and extends the Projected Completion beyond the Contract Completion Date.

a. Each TIA must be in both narrative and schedule form. The narrative must define the scope and conditions of the change; provide start and finish dates of impact, successor and predecessor activity to impact period, responsible party; describe how it originated, and how it impacts the schedule. The schedule submission must consist of three native files:

(1) Fragnet used to define the scope of the changed condition

(2) Most recent accepted schedule update as of the time of the proposal or claim submission that has been updated to show all activity progress as of the time of the impact start date.

(3) The impacted schedule that has the fragnet inserted in the updated schedule and the schedule "run" so that the new completion date is determined.

b. For claimed as-built project delay, the inserted fragnet TIA method must be modified to account for as-built events known to occur after the data date of schedule update used.

c. All TIAs must include any mitigation, and must determine the apportionment of the overall delay assignable to each individual delay. Apportionment must provide identification of delay type and classification of delay by compensable and non-compensable events. The associated narrative must clearly describe analysis methodology used, and the findings in a chronological listing beginning with the earliest delay event.

(1) Identify and classify types of delay defined as follows:

(a) Force majeure delay (e.g. weather delay): Any delay event caused by something or someone other than the Government or the Contractor, or the risk of which has not been assigned solely to the Government or the Contractor. If the force majeure delay is on the longest path, in absence of other types of concurrent delays, the Contractor is granted an extension of contract time, classified as a non-compensable event.

(b) A Contractor-delay: Any delay event caused by the Contractor, or the risk of which has been assigned solely to the Contractor. If the contractor-delay is on the longest path, in absence of other types of concurrent delays, Contractor is not granted extension of contract time, and classified as a non-compensable event. Where absent other types of delays, and having impact to project completion, Contractor must provide to Contracting Officer a Corrective Action Plan identifying plan to mitigate delay.

(c) A Government-delay: Any delay event caused by the Government, or the risk of which has been assigned solely to the Government. If the Government-delay is on the longest path, in absence of other types of concurrent delays, the Contractor is granted an extension of contract time, and classified as a compensable event.

(2) Functional theory must be used to analyze concurrent delays, where: separate delay issues delay project completion, do not necessarily occur at same time, rather occur within same monthly schedule update period at minimum, or within same as-built period under review. If a combination of functionally concurrent delay types occurs, it is considered Concurrent Delay, which is defined in the following combinations:

(a) Government-delay concurrent with contractor-delay: excusable time extension, classified non-compensable event.

(b) Government-delay concurrent with force majeure delay: excusable time extension, classified non-compensable event.

(c) Contractor-delay concurrent with force majeure delay: excusable time extension, classified non-compensable event.

(3) Pacing delay reacting to another delay (parent delay) equally or more critical than paced activity must be identified prior to pacing. Contracting Officer will notify Contractor prior to pacing. Contractor must notify Contracting Officer prior to pacing. Notification must include identification of parent delay issue, estimated parent delay time period, paced activity(s) identity, and pacing reason(s). Pacing Concurrency is defined as follows:

(a) Government-delay concurrent with contractor-pacing: excusable time extension, classified compensable event.

(b) Contractor-delay concurrent with Government-pacing: inexcusable time extension, classified non-compensable event

d. Submit Data disks containing the narrative and the source schedule files used in the time impact analysis.

e. All as-built and known planned activity must be included in NAS. Add cost loading or change Contract Completion Date to NAS in accordance to conformed contract modifications issued prior to Data Date of NAS update.

1.11.2 No Reservation of Rights

All direct costs, indirect cost, and time extensions will be negotiated and made full, equitable and final at the time of modification issuance.

1.12 PROJECT FLOAT

Project Float is the length of time between the Contractor's Projected Completion Milestone and the Contract Completion Date Milestone. Project Float available in the schedule will not be for the exclusive use of either the Government or the Contractor.

The use of Resource Leveling or other techniques used for the purpose of artificially adjusting activity durations to consume float and influence critical path is prohibited.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Submittals that are required prior to or commencing with the start of work on site.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Baseline Network Analysis Schedule (NAS)

Submittal Register

Schedule Of Prices Or Earned Value Report

Accident Prevention Plan

Work Plan

Quality Control (QC) plan

Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification

requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS)concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor QC approval. Submit the following in accordance with this section.

SD-01 Preconstruction Submittals

Submittal Register; G

1.3 SUBMITTAL CLASSIFICATION

1.3.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.3.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

As soon as practicable after award of contract, and before procurement or fabrication, forward to the Architect-Engineer: Ames & Whitaker Architects, 31 Liberty Street, Suite 208, Southington, CT 06489, submittals required in the technical sections of this specification, including shop drawings, product data and samples. In addition, forward a copy of the submittals to the Contracting Officer.

1.4.1 O&M Data

Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the items to which such O&M data apply.

1.5 PREPARATION

1.5.1 Transmittal Form

Transmit each submittal, except sample installations and sample panels to the office of the approving authority using the transmittal form prescribed by the Contracting Officer. Include all information prescribed by the transmittal form and required in paragraph IDENTIFYING SUBMITTALS. Use the submittal transmittal forms to record actions regarding samples.

1.5.2 Identifying Submittals

The Contractor's Quality Control Manager must prepare, review and stamp submittals, including those provided by a subcontractor, before submittal to the Government.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

a. Project title and location

b. Construction contract number

c. Dates of the drawings and revisions

d. Name, address, and telephone number of Subcontractor, supplier, manufacturer, and any other Subcontractor associated with the submittal.

e. Section number of the specification by which submittal is required

f. Submittal description (SD) number of each component of submittal

g. For a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission

h. Product identification and location in project.

1.5.3 Submittal Format

1.5.3.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.3.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Submit an electronic copy of drawings in PDF format.

1.5.3.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 3-1/2 x 3-1/2 inches on the right-hand side of each sheet for the Government disposition stamp.

1.5.3.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.5.3.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.5.3.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.5.3.4 Format of SD-04 Samples

1.5.3.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.5.3.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.5.3.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit

sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.5.3.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.6 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.3.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.9 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.5.3.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.4 Source Drawings for Shop Drawings

1.5.4.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.4.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5.5 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all

information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

E-mail electronic submittal documents smaller than 10MB to an e-mail address as directed by the Contracting Officer. Provide electronic documents over 10 MB on an optical disc or through an electronic file sharing system such as the AMRDEC SAFE Web Application located at the following website: https://safe.amrdec.army.mil/safe/.

1.6 QUANTITY OF SUBMITTALS

1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit two sets of administrative submittals.

1.6.2 Number of SD-04 Samples

a. Submit one samples, or one sets of samples showing the range of variation, of each required item. One approved sample or set of samples will be retained by the approving authority and one will be returned to the Contractor.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.7 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is

provided as "Appendix A - Submittal Register."

1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Column (f): Lists the approving authority for each submittal. Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.8.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.8.5 Action Codes

1.8.5.1 Government Review Action Codes

"A" - "Approved as submitted"

"AN" - "Approved as noted"

"RR" - "Disapproved as submitted"; "Completed"

"NR" - "Not Reviewed"

"RA" - "Receipt Acknowledged"

1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. When contemplating a variation that results in lower cost, consider submission of the variation as a Value Engineering Change Proposal (VECP).

Specifically point out variations from contract requirements in transmittal letters. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.9.2 Proposing Variations

When proposing variation, deliver a written request to the Contracting

Officer, with documentation of the nature and features of the variation and why the variation is desirable and beneficial to Government. Include the DOR's written analysis and approval. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

1.9.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.9.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 working days will be allowed for the Government to consider submittals with variations.

1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. Allow an additional 14 working days for review and approval of submittals for refrigeration and HVAC control systems.

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 14 working days after the date of submission.

d. Except as specified otherwise, allow a review period, beginning with receipt by the approving authority, that includes at least 15 working days for submittals for QC manager approval and 20 working days for submittals where the Contracting Officer is the approving authority. The period of review for submittals with Contracting Officer approval begins when the Government receives the submittal from the QC organization.

1.10.1 Reviewing, Certifying, and Approving Authority

The QC Manager is responsible for reviewing all submittals and certifying that they are in compliance with contract requirements. The approving authority on submittals is the QC Manager unless otherwise specified. At each "Submittal" paragraph in individual specification sections, a notation "G" following a submittal item indicates that the Contracting Officer is the approving authority for that submittal item. Provide an additional copy of the submittal to the Government Approving authority

1.10.2 Constraints

Conform to provisions of this section, unless explicitly stated otherwise for submittals listed or specified in this contract.

Submit complete submittals for each definable feature of the work. At the same time, submit components of definable features that are interrelated as a system.

When acceptability of a submittal is dependent on conditions, items, or materials included in separate subsequent submittals, the submittal will be returned without review.

Approval of a separate material, product, or component does not imply approval of the assembly in which the item functions.

1.10.3 QC Organization Responsibilities

a. Review submittals for conformance with project design concepts and compliance with contract documents.

b. Process submittals based on the approving authority indicated in the submittal register.

   (1) When the QC manager is the approving authority, take appropriate action on the submittal from the possible actions defined in paragraph APPROVED SUBMITTALS.

   (2) When the Contracting Officer is the approving authority or when variation has been proposed, forward the submittal to the Government, along with a certifying statement, or return the submittal marked "not reviewed" or "revise and resubmit" as appropriate. The QC organization's review of the submittal determines the appropriate action.

c. Ensure that material is clearly legible.

d. Stamp each sheet of each submittal with a QC certifying statement or an approving statement, except that data submitted in a bound volume or on one sheet printed on two sides may be stamped on the front of the first sheet only.

   (1) When the approving authority is the Contracting Officer, the QC organization will certify submittals forwarded to the Contracting Officer with the following certifying statement:

"I hereby certify that the (equipment) (material) (article) shown and marked in this submittal is that proposed to be incorporated with Contract Number [_____] is in compliance with the contract drawings and

specification, can be installed in the allocated spaces, and is submitted for Government approval.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Certified by QC Manager _____, Date _____"
(Signature)

(2) When approving authority is the QC manager, the QC manager will use the following approval statement when returning submittals to the Contractor as "Approved" or "Approved as Noted."

"I hereby certify that the (material) (equipment) (article) shown and marked in this submittal and proposed to be incorporated with Contract Number [_____] is in compliance with the contract drawings and specification, can be installed in the allocated spaces, and is approved for use.

Certified by Submittal Reviewer _____, Date _____
(Signature when applicable)

Approved by QC Manager _____, Date _____"
(Signature)

e. Sign the certifying statement or approval statement. The QC organization member designated in the approved QC plan is the person signing certifying statements. The use of original ink for signatures is required. Stamped signatures are not acceptable.

f. Update the submittal register as submittal actions occur, and maintain the submittal register at the project site until final acceptance of all work by the Contracting Officer.

g. Retain a copy of approved submittals and approved samples at the project site.

1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received from the QC manager.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. One copies of the submittal will be retained by the Contracting Officer and remaining copies of the submittal will be returned to the Contractor.

1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer,

no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.14 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.22	(2007; R 2017) Safety Requirements for Rope-Guided and Non-Guided Workers' Hoists
ASSP A10.34	(2001; R 2012) Protection of the Public on or Adjacent to Construction Sites
ASSP A10.44	(2014) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSP Z244.1	(2016) The Control of Hazardous Energy Lockout, Tagout and Alternative Methods
ASSP Z359.0	(2012) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSP Z359.1	(2016) The Fall Protection Code
ASSP Z359.11	(2014) Safety Requirements for Full Body Harnesses
ASSP Z359.12	(2009) Connecting Components for Personal Fall Arrest Systems
ASSP Z359.13	(2013) Personal Energy Absorbers and Energy Absorbing Lanyards
ASSP Z359.14	(2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems
ASSP Z359.15	(2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems
ASSP Z359.2	(2017) Minimum Requirements for a Comprehensive Managed Fall Protection Program
ASSP Z359.3	(2017) Safety Requirements for Lanyards and Positioning Lanyards
ASSP Z359.4	(2013) Safety Requirements for

	Assisted-Rescue and Self-Rescue Systems, Subsystems and Components
ASSP Z359.6	(2016) Specifications and Design Requirements for Active Fall Protection Systems
ASSP Z359.7	(2011) Qualification and Verification Testing of Fall Protection Products

ASME INTERNATIONAL (ASME)

ASME B30.20	(2013; INT Oct 2010 - May 2012) Below-the-Hook Lifting Devices
ASME B30.22	(2016) Articulating Boom Cranes
ASME B30.23	(2011) Personnel Lifting Systems Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings
ASME B30.26	(2015; INT Jun 2010 - Jun 2014) Rigging Hardware
ASME B30.3	(2016) Tower Cranes
ASME B30.5	(2014) Mobile and Locomotive Cranes
ASME B30.7	(2011) Winches
ASME B30.8	(2015) Floating Cranes and Floating Derricks
ASME B30.9	(2014; INT Feb 2011 - Nov 2013) Slings

ASTM INTERNATIONAL (ASTM)

ASTM F855	(2015) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048	(2003) Guide for Protective Grounding of Power Lines
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA Z535.2	(2011) Environmental and Facility Safety Signs

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10	(2018; TIA 18-1) Standard for Portable Fire Extinguishers

NFPA 241	(2013; Errata 2015) Standard for Safeguarding Construction, Alteration, and Demolition Operations
NFPA 51B	(2014) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work
NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code
NFPA 70E	(2018; TIA 18-1; TIA 81-2) Standard for Electrical Safety in the Workplace

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-1019	(2012; R 2016) Standard for Installation, Alteration and Maintenance of Antenna Supporting Structures and Antennas
TIA-222	(2005G; Add 1 2007; Add 2 2009; Add 3 2014; Add 4 2014; R 2014; R 2016) Structural Standards for Steel Antenna Towers and Antenna Supporting Structures

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.333	Selection and Use of Work Practices
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1915.89	Control of Hazardous Energy (Lockout/Tags-Plus)
29 CFR 1926	Safety and Health Regulations for Construction
29 CFR 1926.1400	Cranes and Derricks in Construction

29 CFR 1926.16	Rules of Construction
29 CFR 1926.450	Scaffolds
29 CFR 1926.500	Fall Protection
29 CFR 1926.552	Material Hoists, Personal Hoists, and Elevators
29 CFR 1926.553	Base-Mounted Drum Hoists
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 02-01-056	(2014) Inspection Procedures for Accessing Communication Towers by Hoist
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA’s Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.4 Competent Person, Excavation/Trenching

A CP, Excavation/Trenching, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and 29 CFR 1926, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the excavation/trenching program, who

through training, knowledge and experience in excavation/trenching is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.5 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSP Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.6 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented and include experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented, minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

1.2.7 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

1.2.8 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

1.2.9 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

1.2.10 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists and power operated equipment used with rigging to raise, lower or horizontally move a load).

1.2.11 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even through provided by a physician or registered personnel.

1.2.12 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

1.2.13 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

1.2.14 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.2.15 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the requirements of EM 385-1-1 Appendix Q, and ASSP Z359.0, with a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

1.2.16 USACE Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

1.2.17 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment,

two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over). Document an LHE mishap or accident using the NAVFAC prescribed Navy Crane Center (NCC) accident form.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

SD-06 Test Reports

Monthly Exposure Reports

Notifications and Reports

Accident Reports; G

LHE Inspection Reports

SD-07 Certificates

Contractor Safety Self-Evaluation Checklist

Crane Operators/Riggers

Standard Lift Plan; G

Critical Lift Plan ; G

Naval Architecture Analysis; G

Activity Hazard Analysis (AHA)

Confined Space Entry Permit

Hot Work Permit

Certificate of Compliance

License Certificates

Radiography Operation Planning Work Sheet; G

Portable Gauge Operations Planning Worksheet; G

1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month

for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

1.5 CONTRACTOR SAFETY SELF-EVALUATION CHECKLIST

Contracting Officer will provide a "Contractor Safety Self-Evaluation checklist" to the Contractor at the pre-construction conference. Complete the checklist monthly and submit with each request for payment voucher. An acceptable score of 90 or greater is required. Failure to submit the completed safety self-evaluation checklist or achieve a score of at least 90 may result in retention of up to 10 percent of the voucher.

1.6 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6.1 Subcontractor Safety Requirements

For this contract, neither Contractor nor any subcontractor may enter into contract with any subcontractor that fails to meet the following requirements. The term subcontractor in this and the following paragraphs means any entity holding a contract with the Contractor or with a subcontractor at any tier.

1.6.1.1 Experience Modification Rate (EMR)

Subcontractors on this contract must have an effective EMR less than or equal to 1.10, as computed by the National Council on Compensation Insurance (NCCI) or if not available, as computed by the state agency's rating bureau in the state where the subcontractor is registered, when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable EMR range cannot be achieved. Relaxation of the EMR range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain the certified EMR ratings for all subcontractors on the project and make them available to the Government at the Government's request.

1.6.1.2 OSHA Days Away from Work, Restricted Duty, or Job Transfer (DART) Rate

Subcontractors on this contract must have a DART rate, calculated from the most recent, complete calendar year, less than or equal to 3.4 when entering into a subcontract agreement with the Prime Contractor or a subcontractor at any tier. The OSHA Dart Rate is calculated using the following formula:

$(N/EH) \times 200,000$

where:

N = number of injuries and illnesses with days away, restricted work, or job transfer

EH = total hours worked by all employees during most recent, complete calendar year

200,000 = base for 100 full-time equivalent workers (working 40 hours per week, 50 weeks per year)

The Prime Contractor may submit a written request for additional consideration to the Contracting Officer where the specified acceptable OSHA Dart rate range cannot be achieved for a particular subcontractor. Relaxation of the OSHA DART rate range will only be considered for approval on a case-by-case basis for special conditions and must not be anticipated as tacit approval. Contractor's Site Safety and Health Officer (SSHO) must collect and maintain self-certified OSHA DART rates for all subcontractors on the project and make them available to the Government at the Government's request.

1.7 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.7.1 Personnel Qualifications

1.7.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one (1) person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to implement and administer the Contractor's safety program and government-accepted Accident Prevention Plan. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

1.7.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The three roles of Superintendent, QC Manager, and SSHO may be filled by two persons if and only if those individuals meet all of the qualification and experience requirements of the respective specification sections. The two or three people assigned shall be full time and shall have no other duties assigned.

1.7.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space,

cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted Accident Prevention Plan, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the the Contracting Officer for information in consultation with the Safety Office.

1.7.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

1.7.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

1.7.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

1.7.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards,Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics, and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

a. Prepare class presentations that cover construction-related safety requirements.

b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five (5) years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.

c. Update training course materials whenever an update of the EM 385-1-1 becomes available.

d. Provide a written exam of at least 50 questions. Students are required to answer 80 percent correctly to pass.

e. Request, review and incorporate student feedback into a continuous course improvement program.

1.7.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. Provide proof of current qualification.

1.7.2 Personnel Duties

1.7.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.

b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.

c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.

d. Maintain applicable safety reference material on the job site.

e. Attend the pre-construction conference, pre-work meetings including preparatory meetings, and periodic in-progress meetings.

f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.

g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.

h. Ensure subcontractor compliance with safety and health requirements.

i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).

j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.

k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above duties are not being effectively carried out. If Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.7.3 Meetings

1.7.3.1 Preconstruction Conference

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction conference. This includes the project superintendent, Site Safety and Occupational Health officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the contract. This list of proposed AHAs will be reviewed at the conference and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.7.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors on the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.8 ACCIDENT PREVENTION PLAN (APP)

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other

crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the contract. Disregarding the provisions of this contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

1.8.1 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.

b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance.

1.8.2 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

1.8.2.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with

EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

1.8.2.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of 3 months.

1.8.2.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. In addition, Critical Lift Plans are required for the following:

a. Lifts over 50 percent of the capacity of barge mounted mobile crane's hoist.

b. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.

c. For lifts with anticipated binding conditions.

d. When erecting cranes.

1.8.2.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

1.8.2.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

1.8.2.4 Barge Mounted Mobile Crane Lift Plan

Provide a Naval Architecture Analysis and include an LHE Manufacturer's Floating Service Load Chart in accordance with EM 385-1-1, Section 16.L.03.

1.8.2.5 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction

equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

1.8.2.6 Fall Protection and Prevention (FP&P) Plan

The plan must comply with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the Fall Protection and Prevention Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Plan documentation at the job site for the duration of the project. Include the Fall Protection and Prevention Plan documentation in the Accident Prevention Plan (APP).

1.8.2.7 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

1.8.2.8 Hazardous Energy Control Program (HECP)

Develop a HECP in accordance with EM 385-1-1 Section 12, 29 CFR 1910.147, 29 CFR 1910.333, 29 CFR 1915.89, ASSP Z244.1, and ASSP A10.44. Submit this HECP as part of the Accident Prevention Plan (APP). Conduct a preparatory meeting and inspection with all effected personnel to coordinate all HECP activities. Document this meeting and inspection in accordance with EM 385-1-1, Section 12.A.02. Ensure that each employee is familiar with and complies with these procedures.

1.8.2.9 Excavation Plan

Identify the safety and health aspects of excavation, and provide and prepare the plan in accordance with EM 385-1-1, Section 25.A and Section 31 00 00 EARTHWORK.

1.8.2.10 Lead Compliance Plan

Identify the safety and health aspects of lead work, and prepare in accordance with Section 02 83 00 LEAD REMEDIATION.

1.8.2.11 Asbestos Hazard Abatement Plan

Identify the safety and health aspects of asbestos work, and prepare in accordance with Section 02 82 00 ASBESTOS REMEDIATION.

1.8.2.12 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with Section 02 41 00 DEMOLITION and referenced sources. Include engineering survey as applicable.

1.9 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

1.9.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.9.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.10 DISPLAY OF SAFETY INFORMATION

1.10.1 Safety Bulletin Board

Within one calendar day(s) after commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as

meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

a. Confined space entry permit.

b. Hot work permit.

1.10.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

a. Date deficiency identified;

b. Description of deficiency;

c. Name of person responsible for correcting deficiency;

d. Projected resolution date;

e. Date actually resolved.

1.11 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.12 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

1.13 NOTIFICATIONS and REPORTS

1.13.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than 4 hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; contract title; type of contract; name of activity, installation or location where accident

occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

1.13.2 Accident Reports

a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. Complete the applicable NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Complete and submit an accident investigation report in ESAMS within 5 days for mishaps defined in EM 385-1-1 01.D.03 and 10 days for accidents defined by EM 385-1-1 01.D.05. Complete an investigation report within 30 days for those mishaps defined by EM 385-1-1 01.D.04. Mishaps defined by EM 385-1-1 01.D.04 and 01.D.05 must include a written report submitted as an attachment in ESAMS using the following outline: (1) Mishap summary description to include process, findings and outcomes; (2) Root Cause; (3) Direct Factors; (4) Indirect and Contributing Factors; (5) Corrective Actions; and (6) Recommendations. The Contracting Officer will provide copies of any required or special forms.

b. Near Misses: For Navy Projects, complete the applicable documentation in NAVFAC Contractor Incident Reporting System (CIRS), and electronically submit via the NAVFAC Enterprise Safety Applications Management System (ESAMS). Near miss reports are considered positive and proactive Contractor safety management actions.

c. Conduct an accident investigation for any load handling equipment accident (including rigging accidents) to establish the root cause(s) of the accident. Complete the LHE Accident Report (Crane and Rigging Accident Report) form and provide the report to the Contracting Officer within 30 calendar days of the accident. Do not proceed with crane operations until cause is determined and corrective actions have been implemented to the satisfaction of the Contracting Officer. The Contracting Officer will provide a blank copy of the accident report form.

1.13.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.13.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.14 HOT WORK

1.14.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the Naval Station Newport Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Naval Station Newport Fire Department phone number. REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE RESPONSIBLE Naval Station Newport Fire Department IMMEDIATELY.

1.14.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

1.15 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO), and Contracting Oversight Technician (COT) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

1.15.1 Radiography Operation Planning Work Sheet

Submit a Gamma and X-Ray Radiography Operation Planning Work Sheet to Contracting Officer 14 days prior to commencement of operations involving radioactive materials or radiation generating devices. For portable

machine sources of ionizing radiation, including moisture density and XRF, use and submit the Portable Gauge Operations Planning Worksheet instead. The Contracting Officer and COT will review the submitted worksheet and provide questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

1.15.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer and COT for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Navy COT or Government authorized representative will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Navy COT or Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records, and utilization records to the COT for radiological operations performed on the site.

1.15.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

1.15.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

1.15.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent

exiting while external radiographic operations are in process.

1.15.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety officer (RSO) of any Radioactive Material use.

1.15.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

1.15.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

1.16 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

1.16.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

1.16.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

1.16.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

1.16.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

1.17 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

PART 2 PRODUCTS

2.1 CONFINED SPACE SIGNAGE

Provide permanent signs integral to or securely attached to access covers for new permit-required confined spaces. Signs for confined spaces must comply with NEMA Z535.2. Signs wording: "DANGER--PERMIT-REQUIRED CONFINED SPACE, DO NOT ENTER" in bold letters a minimum of one inch in height and constructed to be clearly legible with all paint removed. The signal word "DANGER" must be red and readable from 5 feet.

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Hard Hat

b. Long Pants

c. Appropriate Safety Shoes

d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. An employee check-in/check-out communication procedure must be developed to ensure employee safety.

3.1.2 Hazardous Material Use

Each hazardous material must receive approval from the Contracting Office or their designated representative prior to being brought onto the job site or prior to any other use in connection with this contract. Allow a minimum of 10 working days for processing of the request for use of a hazardous material.

3.1.3 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.4 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 14 calendar days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECP and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additonal or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Installation representative. All parties must fully coordinate HEC activites with one another. During the

coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSP A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection,

storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSP Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

3.5.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSP Z359.2 in the AHA.

3.5.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M,ASSP Z359.0, ASSP Z359.1, ASSP Z359.2, ASSP Z359.3, ASSP Z359.4, ASSP Z359.6, ASSP Z359.7, ASSP Z359.11, ASSP Z359.12, ASSP Z359.13, ASSP Z359.14, and ASSP Z359.15.

3.5.2.1 Additional Personal Fall Protection

In addition to the required fall protection systems, other protection such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1, Sections 21.O through 21.O.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.2.2 Personal Fall Protection Harnesses

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabiners must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. All full body harnesses must be equipped with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

3.5.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

a. Low Sloped Roofs:

(1) For work within 6 feet of an edge, on a roof having a slope less than or equal to 4:12 (vertical to horizontal), protect personnel from falling by use of personal fall arrest/restraint systems, guardrails, or safety nets. A safety monitoring system is not adequate fall protection and is not authorized. Provide in accordance with 29 CFR 1926.500.

(2) For work greater than 6 feet from an edge, erect and install warning lines in accordance with 29 CFR 1926.500 and EM 385-1-1, Section L.

b. Steep-Sloped Roofs: Work on a roof having a slope greater than 4:12 (vertical to horizontal) requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also applies to residential or housing type construction.

3.5.4 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

3.5.5 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

3.5.6 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP). The plan must comply with the requirements of EM 385-1-1, ASSP Z359.2, and ASSP Z359.4.

3.6 WORK PLATFORMS

3.6.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.

b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.

c. An adequate gate is required.

d. Employees performing scaffold erection and dismantling must be qualified.

e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted fall protection and prevention plan.

f. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.

g. Special care must be given to ensure scaffold systems are not overloaded.

h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.

i. Scaffolding other than suspended types must bear on base plates upon wood mudsills (2 in x 10 in x 8 in minimum) or other adequate firm foundation.

j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than 6 feet.

k. Delineate fall protection requirements when working above 6 feet or above dangerous operations in the Fall Protection and Prevention (FP&P) Plan and Activity Hazard Analysis (AHA) for the phase of work.

3.6.2 Elevated Aerial Work Platforms (AWPs)

Workers must be anchored to the basket or bucket in accordance with manufacturer's specifications and instructions (anchoring to the boom may only be used when allowed by the manufacturer and permitted by the CP). Lanyards used must be sufficiently short to prohibit worker from climbing out of basket. The climbing of rails is prohibited. Lanyards with built-in shock absorbers are acceptable. Self-retracting devices are not acceptable. Tying off to an adjacent pole or structure is not permitted unless a safe device for 100 percent tie-off is used for the transfer.

Use of AWPs must be operated, inspected, and maintained as specified in the

operating manual for the equipment and delineated in the AHA. Operators of AWPs must be designated as qualified operators by the Prime Contractor. Maintain proof of qualifications on site for review and include in the AHA.

3.7 EQUIPMENT

3.7.1 Material Handling Equipment (MHE)

a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.

b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.

c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

3.7.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to crane that enter the activity but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during such operations must be reported.

a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.

b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Prior to cranes entering federal activities, a Crane Access Permit must be obtained from the Contracting Officer. A copy of the permitting process will be provided at the Preconstruction Conference. Contractor's operator must remain with the crane during the spot check. Rigging gear must comply with OSHA, ASME B30.9 Standards safety standards.

c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.

d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.

e. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.

f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.

g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.

h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.

i. Use cribbing when performing lifts on outriggers.

j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.

k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching or crushing personnel.

l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.

m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.

n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).

o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if applicable. Include this maximum wind speed determination as part of the activity hazard analysis plan for that operation.

p. On mobile cranes, lifts where the load weight is greater than 90 percent of the equipment's capacity are prohibited.

3.7.3 Machinery and Mechanized Equipment

a. Proof of qualifications for operator must be kept on the project site for review.

b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

3.7.4 Base Mounted Drum Hoists

a. Operation of base mounted drum hoists must comply with EM 385-1-1 and ASSP A10.22.

b. Rigging gear must comply with applicable ASME/OSHA standards

c. When used on telecommunication towers, base mounted drum hoists must comply with TIA-1019, TIA-222, ASME B30.7, 29 CFR 1926.552, and 29 CFR 1926.553.

d. When used to hoist personnel, the AHA must include a written standard operating procedure. Operators must have a physical examination in accordance with EM 385-1-1 Section 16.B.05 and trained, at a minimum, in accordance with EM 385-1-1 Section 16.U and 16.T. The base mounted drum hoist must also comply with OSHA Instruction CPL 02-01-056 and ASME B30.23.

e. Material and personnel must not be hoisted simultaneously.

f. Personnel cage must be marked with the capacity (in number of persons) and load limit in pounds.

g. Construction equipment must not be used for hoisting material or personnel or with trolley/tag lines. Construction equipment may be used for towing and assisting with anchoring guy lines.

3.7.5 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.8 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

3.8.1 Utility Locations

Provide a third party, independent, private utility locating company to positively identify underground utilities in the work area in addition to any station locating service and coordinated with the station utility department.

3.8.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within 3 feet of the underground system.

3.8.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third party, independent, private locating company. The third party, independent, private locating company must locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the Contractor from meeting this requirement.

3.9 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Appendix A, Sections 11 and 12.

3.9.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

3.9.2 Qualifications

Electrical work must be performed by QP personnel with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

3.9.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.9.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

3.9.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
02/19

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g. ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AACE INTERNATIONAL (AACE)
1265 Suncrest Towne Centre Drive
Morgantown, WV 26505-1876 USA
Ph: 304-296-8444
Fax: 304-291-5728
Internet: https://web.aacei.org/

ALUMINUM ASSOCIATION (AA)
1400 Crystal Drive
Suite 430
Arlington, VA 22202
Ph: 703-358-2960
E-Mail: info@aluminum.org
Internet: https://www.aluminum.org/

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)
444 North Capital Street, NW, Suite 249
Washington, DC 20001
Ph: 202-624-5800
Fax: 202-624-5806
E-Mail: info@aashto.org
Internet: https://www.transportation.org/

AMERICAN CONCRETE INSTITUTE (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331-3439
Ph: 248-848-3700
Fax: 248-848-3701
Internet: https://www.concrete.org/

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)
1330 Kemper Meadow Drive
Cincinnati, OH 45240
Ph: 513-742-2020
Fax: 513-742-3355
Internet: https://www.acgih.org/

AMERICAN GAS ASSOCIATION (AGA)
400 North Capitol Street, NW
Suite 450
Washington, D.C. 20001
Ph: 202-824-7000
Internet: https://www.aga.org/

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
130 East Randolph, Suite 2000
Chicago, IL 60601
Ph: 312-670-5444
Fax: 312-670-5403
Steel Solutions Center: 866-275-2472
E-mail: solutions@aisc.org
Internet: https://www.aisc.org/

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)
1899 L Street, NW,11th Floor
Washington, DC 20036
Ph: 202-293-8020
Fax: 202-293-9287
E-mail: storemanager@ansi.org
Internet: https://www.ansi.org/

AMERICAN PETROLEUM INSTITUTE (API)
1220 L Street, NW
Washington, DC 20005-4070
Ph: 202-682-8000
Internet: https://www.api.org/

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300
Internet: https://www.asce.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
1791 Tullie Circle, NE
Atlanta, GA 30329
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
Internet: https://www.assp.org/

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)
18927 Hickory Creek Drive, Suite 220
Mokena, IL 60448
Ph: 708-995-3019
Fax: 708-479-6139
Internet: http://www.asse-plumbing.org

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 W. Quincy Avenue
Denver, CO 80235 USA
Ph: 303-794-7711 or 800-926-7337
Fax: 303-347-0804
Internet: https://www.awwa.org/

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130
Miami, FL 33166-6672
Ph: 800-443-9353
Internet: https://www.aws.org/

AMERICAN WOOD PROTECTION ASSOCIATION (AWPA)
P.O. Box 361784
Birmingham, AL 35236-1784
Ph: 205-733-4077
Fax: 205-733-4075
Internet: http://www.awpa.com

ASME INTERNATIONAL (ASME)
Two Park Avenue
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: https://www.asme.org/

ASPHALT INSTITUTE (AI)
2696 Research Park Drive
Lexington, KY 40511-8480
Ph: 859-288-4960
Fax: 859-288-4999
E-mail: info@asphaltinstitute.org
Internet: http://www.asphaltinstitute.org

ASSOCIATED AIR BALANCE COUNCIL (AABC)
1220 19th St NW, Suite 410
Washington, DC 20036
Ph: 202-737-0202
Fax: 202-315-0285
E-mail: info@aabc.com
Internet: https://www.aabc.com/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

BACNET INTERNATIONAL (BTL)
BACnet Testing Laboratories
1827 Powers Ferry Road
Building 14, Suite 100
Atlanta, GA 30339
Ph: 770-971-6003
Fax: 678-229-2777
E-mail: info@bacnetinternational.org
Internet: https://www.bacnetlabs.org/

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)
PO Box 997377, MS 0500
Sacramento, CA 95899-7377
Ph: 916-558-1784
Internet: https://www.cdph.ca.gov/

COMPRESSED GAS ASSOCIATION (CGA)
14501 George Carter Way, Suite 103
Chantilly, VA 20151-1788
Ph: 703-788-2700
Fax: 703-961-1831
E-mail: cga@cganet.com
Internet: https://www.cganet.com/

CONSUMER ELECTRONICS ASSOCIATION (CEA)
1919 South Eads St.
Arlington, VA 22202
Ph: 703-907-7600
E-mail: CTA@CTA.tech
Internet: https://www.cta.tech/

COPPER DEVELOPMENT ASSOCIATION (CDA)
Internet: https://www.copper.org/

FM GLOBAL (FM)
270 Central Avenue
Johnston, RI 02919-4949
Ph: 401-275-3000
Fax: 401-275-3029
Internet: https://www.fmglobal.com/

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH (FCCCHR)
USC Foundation Office
Research Annex 219
Los Angeles, CA 90089-7700
Ph: 866-545-6340
Fax: 213-740-8399
E-mail: fccchr@usc.edu
Internet: https://fccchr.usc.edu/

GREEN SEAL (GS)
1001 Connecticut Avenue, NW
Suite 827
Washington, DC 20036-5525
Ph: 202-872-6400
Fax: 202-872-4324
E-mail: greenseal@greenseal.org

Internet: https://www.greenseal.org/

ICC EVALUATION SERVICE, INC. (ICC-ES)
3060 Saturn Street, Suite 100
Brea, CA 92821
Ph: 800-423-6587
Fax: 562-695-4694
E-mail: es@icc-es.org
Internet: https://icc-es.org/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333
Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL CODE COUNCIL (ICC)
500 New Jersey Avenue, NW
6th Floor, Washington, DC 20001
Ph: 800-786-4452 or 888-422-7233
Fax: 202-783-2348
E-mail: order@iccsafe.org
Internet: https://www.iccsafe.org/

INTERNATIONAL CONCRETE REPAIR INSTITUTE (ICRI)
1000 Westgate Drive, Suite 252
St. Paul, MN 55114
Ph: 651-366-6095
Fax: 651-290-2266
E-mail: info@icri.org
Internet: https://www.icri.org/

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)
1901 North Moore Street
Arlington, VA 22209-1762
Ph: 703-525-1695
Fax: 703-528-2148
Internet: https://safetyequipment.org/

INTERNET ENGINEERING TASK FORCE (IETF)
c/o Association Management Solutions, LLC (AMS)
5177 Brandin Court
Fremont, California 94538
Ph: 510-492-4080
Fax: 510-492-4001
E-mail: ietf-info@ietf.org
Internet: https://www.ietf.org/

LONMARK INTERNATIONAL (LonMark)
3600 Peterson Way
Santa Clara, CA 95054
Ph: 866-566-6275 or 408-790-3247
Fax: 408-790-3838
Internet: http://www.lonmark.org

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)
127 Park Street, NE
Vienna, VA 22180-4602
Ph: 703-281-6613
E-mail: info@msshq.org
Internet: http://msshq.org

MASTER PAINTERS INSTITUTE (MPI)
2800 Ingleton Avenue
Burnaby, BC CANADA V5C 6G7
Ph: 1-888-674-8937
Fax: 1-888-211-8708
E-mail: info@paintinfo.com or techservices@mpi.net
Internet: http://www.mpi.net/

METAL FRAMING MANUFACTURERS ASSOCIATION (MFMA)
330 N. Wabash Avenue
Chicago, IL 60611
Ph: 312-644-6610
E-mail: MFMAstats@smithbucklin.com
Internet: http://www.metalframingmfg.org/

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)
16712 Elm Circle
Omaha, NE 68130
Ph: 402-342-3463 or 800-747-6422
Fax: 402-330-9702
Internet: https://www.micainsulation.org/

MODBUS ORGANIZATION, INC (MODBUS)
PO Box 628
Hopkinton, MA 01748
Ph: 508-435-7170
Fax: 508-435-7172
Internet: http://www.modbus.org

NATIONAL BOARD OF BOILER AND PRESSURE VESSEL INSPECTORS (NBBI)
1055 Crupper Avenue
Columbus, OH 43229-1183
Ph: 614-888-8320
Fax: 614-888-0750
E-mail: information@nationalboard.org
Internet: https://www.nationalboard.org

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Internet: https://www.nema.org

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)
8575 Grovemont Circle
Gaithersburg, MD 20877
Ph: 301-977-3698
Fax: 301-977-9589
Internet: http://www.nebb.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH (NIOSH)
Patriots Plaza 1
395 E Street, SW, Suite 9200
Washington, DC 20201
Ph: 800-232-4636
Fax: 513-533-8347
Internet: https://www.cdc.gov/niosh/

NATIONAL ROOFING CONTRACTORS ASSOCIATION (NRCA)
10255 West Higgins Road, Suite 600
Rosemont, IL 60018-5607
Ph: 847-299-9070
Fax: 847-299-1183
Internet: http://www.nrca.net

NSF INTERNATIONAL (NSF)
789 North Dixboro Road
P.O. Box 130140
Ann Arbor, MI 48105
Ph: 734-769-8010 or 800-NSF-MARK
Fax: 734-769-0109
E-mail: info@nsf.org
Internet: http://www.nsf.org

OPC FOUNDATION (OPC)
16101 N. 82nd Street
Suite 3B
Scottsdale, AZ 85260-1868
Ph: 480-483-6644
Fax: 480-483-7202
Internet: https://opcfoundation.org/

PLUMBING AND DRAINAGE INSTITUTE (PDI)
800 Turnpike Street, Suite 300
North Andover, MA 01845
Ph: 978-557-0720 or 800-589-8956
E-Mail: pdi@PDIonline.org
Internet: http://www.pdionline.org

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)
2000 Powell Street, Suite 600
Emeryville, CA 94608
Ph: 510-452-8000
Fax: 510-452-8001
E-mail: info@SCSglobalservices.com
Internet: https://www.scsglobalservices.com/

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)
4201 Lafayette Center Drive
Chantilly, VA 20151-1219

Ph: 703-803-2980
Fax: 703-803-3732
Internet: https://www.smacna.org/

SOCIETY FOR PROTECTIVE COATINGS (SSPC)
800 Trumbull Drive
Pittsburgh, PA 15205
Ph: 877-281-7772 or 412-281-2331
Fax: 412-444-3591
E-mail: customerservice@sspc.org
Internet: http://www.sspc.org

SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)
400 Commonwealth Drive
Warrendale, PA 15096
Ph: 877-606-7323 or 724-776-4841
Fax: 724-776-0790
E-mail: customerservice@sae.org
Internet: https://www.sae.org/

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)
21865 Copley Drive
Diamond Bar, CA 91765
Ph: 909-396-2000
E-mail: webinquiry@aqmd.gov
Internet: http://www.aqmd.gov

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS)
Publication Distribution Unit
1801 30th Street
Sacramento, CA 95816-8041
Ph: 916-227-5966
E-mail: chris.mooring@dot.ca.gov
Internet: http://www.dot.ca.gov/des/techpubs/

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)
1320 North Courthouse Rosd, Suite 200
Arlington, VA 22201
Ph: 703-907-7700
Fax: 703-907-7727
E-mail: marketing@tiaonline.org
Internet: https://www.tiaonline.org/

TRIDIUM, INC (TRIDIUM)
3951 Westerre Parkway, Suite 350
Richmond, VA 23233
Ph: 804-747-4771
Fax: 804-747-5204
E-mail: support@tridium.com
Internet: https://www.tridium.com/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or

https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEPARTMENT OF AGRICULTURE (USDA)
Order AMS Publications from:
AGRICULTURAL MARKETING SERVICE (AMS)
Seed Regulatory and Testing Branch
801 Summit Crossing Place, Suite C
Gastonia, NC 28054-2193
Ph: 704-810-8884
E-mail: PA@ams.usda.gov
Internet: https://www.ams.usda.gov/
Order Other Publications from:
USDA Rural Development
Rural Utilities Service
STOP 1510, Rm 5135
1400 Independence Avenue SW
Washington, DC 20250-1510
Phone: (202) 720-9540
Internet:
https://www.rd.usda.gov/about-rd/agencies/rural-utilities-service

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)
Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. DEPARTMENT OF ENERGY (DOE)
1000 Independence Avenue Southwest
Washington, D.C. 20585
Ph: 202-586-5000
Fax: 202-586-4403
E-mail: The.Secretary@hq.doe.gov
Internet: https://www.energy.gov/

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)
HUD User
P.O. Box 23268
Washington, DC 20026-3268
Ph: 800-245-2691 or 202-708-3178
TDD: 800-927-7589
Fax: 202-708-9981
E-mail: helpdesk@huduser.gov
Internet: https://www.huduser.gov

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
1200 Pennsylvania Avenue, N.W.
Washington, DC 20004
Ph: 202-564-4700
Internet: https://www.epa.gov
--- Some EPA documents are available only from:
National Technical Information Service (NTIS)
5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)
Order for sale documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/
Order free documents from:
U.S. Department of Transportation
Federal Aviation Administration
800 Independence Avenue, SW
Washington, DC 20591
Ph: 866-835-5322
Internet: https://www.faa.gov/

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)
445 12th Street SW
Washington, DC 20554
Ph: 888-225-5322
TTY: 888-835-5322
Fax: 866-418-0232
Internet: https://www.fcc.gov/
Order Publications From:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
E-mail: ExecSecretariat.FHWA@dot.gov
Internet: https://www.fhwa.dot.gov/
Order from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. GENERAL SERVICES ADMINISTRATION (GSA)
General Services Administration
1800 F Street, NW
Washington, DC 20405
Ph: 1-844-472-4111
Internet: https://www.gsaelibrary.gsa.gov/ElibMain/home.do
Obtain documents from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Internet: https://assist.dla.mil/online/start/; account registration required

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)
1322 Patterson Ave. SE, Suite 1000
Washington Navy Yard, DC 20374-5065
Ph: 202-685-9387
Internet: http://www.navfac.navy.mil

UNDERWRITERS LABORATORIES (UL)
2600 N.W. Lake Road
Camas, WA 98607-8542
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://ihsmarkit.com/

VIBRATION ISOLATION AND SEISMIC CONTROL MANUFACTURERS ASSOCIATION (VISCMA)
994 Old Eagle School Road
Suite 1019

Wayne, PA 19087-1866
Ph: 610-971-4850
E-mail: info@viscma.com
Internet: http://www.viscma.com

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00.00 20

QUALITY CONTROL
11/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2	(2012) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size

ASTM INTERNATIONAL (ASTM)

ASTM D6245	(2012) Using Indoor Carbon Dioxide Concentrations to Evaluate Indoor Air Quality and Ventilation
ASTM D6345	(2010) Standard Guide for Selection of Methods for Active, Integrative Sampling of Volatile Organic Compounds in Air

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 008	(2007) IAQ Guidelines for Occupied Buildings Under Construction, 2nd Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES

SD-01 Preconstruction Submittals

Construction Quality Control (QC) Plan; G

Submit a Construction QC Plan prior to start of construction.

Indoor Air Quality (IAQ) Management Plan; G

Basis of Design and Design Intent

Contract Document Review

SD-07 Certificates

CA Resume

1.3 INFORMATION FOR THE CONTRACTING OFFICER

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the Contracting Officer. The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the Contracting Officer during Construction:

a. CQC Report: Submit the report electronically by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.

b. Contractor Production Report: Submit the report electronically by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.

c. Preparatory Phase Checklist: Submit the report electronically in the same manner as the CQC Report for each Preparatory Phase held.

d. Initial Phase Checklist: Submit the report electronically in the same manner as the CQC Report for each Initial Phase held.

f. Field Test Reports: Within two working days after the test is performed, submit the report as an electronic attachment to the CQC Report.

g. Monthly Summary Report of Tests: Submit the report as an electronic attachment to the CQC Report at the end of each month.

h. Testing Plan and Log: Submit the report as an electronic attachment to the CQC Report, at the end of each month. Provide a copy of the final Testing Plan and Log to the OMSI preparer for inclusion into the OMSI documentation.

i. Rework Items List: Submit lists containing new entries daily, in the same manner as the CQC Report.

j. CQC Meeting Minutes: Within two working days after the meeting is held, submit the report as an electronic attachment to the CQC Report.

k. QC Certifications: As required by the paragraph entitled "QC Certifications."

1.4 QC PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of NAVFAC Commissioning. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases

of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.4.1 Commissioning

Commissioning (Cx) is a systematic process of ensuring that all building systems meet the requirements and perform interactively according to the Contract. The QC Program is a key to this process by coordinating, verifying and documenting measures to achieve the following objectives:

a. Verify and document that the applicable equipment and systems are installed in accordance with the design intent as expressed through the Contract and according to the manufacturer's recommendations and industry accepted minimum standards.

b. Verify and document that equipment and systems receive complete operational checkout by the installing contractors.

c. Verify and document proper performance of equipment and systems.

d. Verify that Operation and Maintenance (O&M) documentation is complete.

e. Verify the Training Plan and training materials are accurate and provide correct instruction and documentation on the critical elements of the products, materials, and systems in the constructed facility. Verify that all identified Government operating personnel are trained.

f. Verify and document that all contract requirements for LEED fundamental commissioning are met.

1.4.2 Acceptance of the Construction Quality Control (QC) Plan

Acceptance of the QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time in order to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.4.3 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying.

1.4.4 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel, a minimum of 10 work days prior to a proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

1.5 QC ORGANIZATION

1.5.1 QC Manager

1.5.1.1 Duties

The three roles of Superintendent, QC Manager, and SSHO may be filled by two persons if and only if those individuals meet all of the qualification and experience requirements of the respective specification sections. The two or three people assigned shall be full time and shall have no other duties assigned.

Provide a QC Manager at the work site to implement and manage the QC program. The QC Manager is required to attend the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control , perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities. The QC manager is responsible for notifying the of activities which require their review.

1.5.1.2 Qualifications

An individual with a minimum of 5 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1, and have experience in the areas of hazard identification, safety compliance, and sustainability.

1.5.2 Commissioning Authority

1.5.2.1 Duties

Provide a Commissioning Authority (CA) as key person for the Cx and documentation thereof, who is subordinate to the QC Manager. The CA directs and coordinates Cx activities and submits Cx reports to the Contracting Officer to meet the submittal and reporting requirements of Commissioning and develops the commissioning plan. The CA coordinates the actions of the QC Specialists, Testing Laboratory personnel, eOMSI Preparer, and other inspection and testing personnel required by this Contract for building Cx.

1.5.2.2 Qualifications

The CA must be certified as a commissioning professional by the Associated Air Balance Council (AABC) Commissioning Group (ACG), the Association of

Energy Engineers (AEE), the American Society of Heating, Refrigeration, and Air Conditioning Engineers (ASHRAE), the Commissioning Process Management Professional (CPMP), the Building Commissioning Association (BCA), the National Environmental Balancing Bureau (NEBB), or the University of Wisconsin - Madison (UWM). CA resume is required, providing education, experience and management capabilities on at least two similar size and type contracts. The CA may not have been involved with the project design, construction management, or supervision , and must be with a third-party firm that is not on the design team.

1.5.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager must have completed the course entitled "Construction Quality Management (CQM) for Contractors." If the QC Manager does not have a current certification, they must obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

1.5.4 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

1.6 QUALITY CONTROL (QC) PLAN

1.6.1 Construction Quality Control (QC) Plan

1.6.1.1 Requirements

Provide, for acceptance by the Contracting Officer, a Construction QC Plan submitted in a three-ring binder that includes a table of contents, with major sections identified with tabs, with pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control commissioning activities during the construction of the project:

a. QC ORGANIZATION: A chart showing the QC organizational structure.

b. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, for each person in the QC organization. Include the CQM for Contractors course certifications for the QC Manager and Alternate QC Manager as required by the paragraphs entitled "Construction Quality Management Training" and "Alternate QC Manager Duties and Qualifications".

c. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.

d. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.

e. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work which is not in compliance with the Contract.

f. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, and managing submittals. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

g. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraphs entitled "Accreditation Requirements", as applicable.

h. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test. Use Government forms to log and track tests.

i. PROCEDURES TO COMPLETE REWORK ITEMS: Procedures to identify, record, track, and complete rework items. Use Government forms to record and track rework items.

j. DOCUMENTATION PROCEDURES: Use Government form.

k. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines and is an item or activity on the construction schedule. Include in the list of DFOWs, but not be limited to, all critical path activities on the NAS. Include all activities for which this specification requires QC Specialists or specialty inspection personnel. Provide separate DFOWs in the Network Analysis Schedule for each design development stage and submittal package.

l. PROCEDURES FOR PERFORMING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

m. PERSONNEL MATRIX: Not Applicable

n. PROCEDURES FOR COMPLETION INSPECTION: Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.

o. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.

p. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications on subcontractors, testing laboratories, suppliers, personnel, etc. QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the contract that the work is being performed.

1.7 COORDINATION AND MUTUAL UNDERSTANDING MEETING

After submission of the QC Plan, and prior to Government approval and the start of construction, the QC Manager will meet with the Contracting Officer to present the QC program required by this Contract. When a new QC Manager is appointed, the coordination and mutual understanding meeting must be repeated.

1.7.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, Cx, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor will be required to explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

a. Waste Management Plan.

b. IAQ Management Plan.

c. Procedures for noise and acoustics management.

d. Environmental Protection Plan.

e. Environmental regulatory requirements.

f. Cx Plan.

1.7.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan. Coordinate prefunctional tests and startup testing with Cx.

1.7.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, CA, Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor and the Contracting Officer. Provide a copy of the signed minutes to all attendees and include in the QC Plan.

1.8 QC MEETINGS

After the start of construction, conduct QC meetings once every two weeks by the QC Manager at the work site with the Project Superintendent. the CA, and the foremen who are performing the work of the DFOWs. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

a. Review the minutes of the previous meeting.

b. Review the schedule and the status of work and rework.

c. Review the status of submittals.

d. Review the work to be accomplished in the next two weeks and documentation required.

e. Resolve QC and production problems (RFI, etc.).

f. Address items that may require revising the QC Plan.

g. Review Accident Prevention Plan (APP).

h. Review environmental requirements and procedures.

i. Review Waste Management Plan.

j. Review IAQ Management Plan.

k. Review Environmental Management Plan.

l. Review the status of training completion.

m. Review Cx Plan and progress.

1.9 DESIGN REVIEW AND DOCUMENTATION

1.9.1 Basis of Design and Design Intent

The CA must review the basis of design received from the Contracting Officer and the design intent. The Basis of Design is not part of the contract documents, but will be provided by the Contracting Officer upon request. Document the Basis of Design review in the Design Review report required below.

1.9.2 Contract Document Review

The CA must review the Contract documents to verify that Cx is adequately specified, and that each commissioned system is likely to meet the design intent relative to functionality, energy performance, water performance, maintainability, sustainability, system cost, indoor environmental quality, and local environmental impacts. Fully document contract document review in written report.

1.10 THREE PHASES OF CONTROL

Adequately cover both on-site and off-site work with the Three Phases of

Control and include the following for each DFOW.

1.10.1 Preparatory Phase

Notify the Contracting Officer at least two work days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the Project Superintendent, the CA, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. Document the results of the preparatory phase actions in the daily Contractor Quality Control Report and in the Preparatory Phase Checklist. Perform the following prior to beginning work on each DFOW:

a. Review each paragraph of the applicable specification sections.

b. Review the Contract drawings.

c. Verify that field measurements are as indicated on construction and/or shop drawings before confirming product orders, in order to minimize waste due to excessive materials.

d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.

e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.

g. Examine the work area to ensure that the required preliminary work has been completed.

h. Coordinate the schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.

i. Arrange for the return of shipping/packaging materials, such as wood pallets, where economically feasible.

j. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.

k. Discuss specific controls used and construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW.

l. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.

m. Review the Cx Plan and ensure all preliminary work items have been completed and documented.

1.10.2 Initial Phase

Notify the Contracting Officer at least two work days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the Project Superintendent, and the foreman

responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the daily CQC Report and in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site, or when acceptable levels of specified quality are not being met. Perform the following for each DFOW:

a. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

b. Resolve any workmanship issues.

c. Ensure that testing is performed by the approved laboratory.

d. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.

e. Review project specific work plans (i.e. Cx, HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.10.3 Follow-Up Phase

Perform the following for on-going work daily, or more frequently as necessary, until the completion of each DFOW and document in the daily CQC Report:

a. Ensure the work is in compliance with Contract requirements.

b. Maintain the quality of workmanship required.

c. Ensure that testing is performed by the approved laboratory.

d. Ensure that rework items are being corrected.

e. Assure manufacturers representatives have performed necessary inspections if required and perform safety inspections.

f. Review the Cx Plan and ensure all work items, testing, and documentation has been completed.

1.10.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFOW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFOW is resumed after substantial period of inactivity, or if other problems develop.

1.10.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

1.11 SUBMITTAL REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES.

1.12 TESTING

Except as stated otherwise in the specification sections, perform sampling and testing required under this Contract.

1.12.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and will be required to submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (E 329, C 1077, D 3666, D 3740, A 880, E 543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

1.12.2 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract.

1.12.3 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, in accordance with paragraph INFORMATION FOR THE CONTRACTING OFFICER.

1.12.4 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily Contractor Quality Control Report of each month. Provide a copy of the signed test reports and certifications to the OMSI preparer for inclusion into the OMSI documentation.

1.13 QC CERTIFICATIONS

1.13.1 CQC Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.13.2 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, is in compliance with Contract requirements.

1.13.3 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the OMSI preparer for inclusion into the OMSI documentation.

1.14 COMPLETION INSPECTIONS

1.14.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager and the CA must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications and Contract. Include in the punch list any remaining items on the "Rework Items List", which were not corrected prior to the Punch-Out Inspection. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer. The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.14.2 Pre-Final Inspection

The Government and QCM will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the CQM as a result of this inspection. The QC Manager will ensure that all items on this list are corrected prior to notifying the Government that a "Final" inspection with the Client can be scheduled. Any items noted on the "Pre-Final" inspection must be corrected in a timely manner and be accomplished before the contract completion date for the work,or any particular increment thereof, if the project is divided into increments by separate completion dates.

1.14.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, the CA, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other FEAD/ROICC personnel, and personnel representing the Client. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.15 DOCUMENTATION

Maintain current and complete records of on-site and off-site QC program operations and activities.

1.15.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. The forms identified under the paragraph "INFORMATION FOR THE CONTRACTING OFFICER" will be used. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. The reporting of work must be identified by terminology consistent with the construction schedule. In the "remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered and a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.15.2 Quality Control Validation

Establish and maintain the following in a series of three ring binders. Binders must be divided and tabbed as shown below. These binders must be readily available to the Contracting Officer during all business hours.

a. All completed Preparatory and Initial Phase Checklists, arranged by specification section.

b. All milestone inspections, arranged by Activity Number.

c. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section.

d. Copies of all contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.

e. An up-to-date copy of the Rework Items List.

f. Maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and Sub-Contractors and all punch lists issued by the Government.

g. Commissioning documentation including Cx checklists, schedules, tests, and reports.

1.15.3 Testing Plan and Log

As tests are performed, the CA and the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the

test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month, per the paragraph "INFORMATION FOR THE CONTRACTING OFFICER". Provide a copy of the final "Testing Plan and Log" to the OMSI preparer for inclusion into the OMSI documentation.

1.15.4 Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be reworked, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected. There is no requirement to report a rework item that is corrected the same day it is discovered. Attach a copy of the "Rework Items List" to the last daily CQC Report of each month. The Contractor is responsible for including those items identified by the Contracting Officer.

1.15.5 As-Built Drawings

The QC Manager is required to ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation (e.g. PC No., Modification No., Request for Information No., etc.). The QC Manager must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.16 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time for excess costs or damages by the Contractor.

1.17 CONSTRUCTION INDOOR AIR QUALITY (IAQ) MANAGEMENT PLAN

Submit an IAQ Management Plan within 15 days after Contract award and not less than 10 days before the preconstruction meeting. Revise and resubmit Plan as required by the Contracting Officer. Make copies of the final plan available to all workers on site. Include provisions in the Plan to meet the requirements specified below and to ensure safe, healthy air for construction workers and building occupants.

1.17.1 Requirements During Construction

Provide for evaluation of indoor Carbon Dioxide concentrations in accordance with ASTM D6245. Provide for evaluation of volatile organic compounds (VOCs) in indoor air in accordance with ASTM D6345. Use filters with a Minimum Efficiency Reporting Value (MERV) of 8 in permanently installed air handlers during construction.

1.17.1.1 Control Measures

Meet or exceed the requirements of ANSI/SMACNA 008, Chapter 3, to help minimize contamination of the building from construction activities. The five requirements of this manual which must be adhered to are described below:

a. HVAC protection: Isolate return side of HVAC system from surrounding environment to prevent construction dust and debris from entering the duct work and spaces.

b. Source control: Use low emitting paints and other finishes, sealants, adhesives, and other materials as specified. When available, cleaning products must have a low VOC content and be non-toxic to minimize building contamination. Utilize cleaning techniques that minimize dust generation. Cycle equipment off when not needed. Prohibit idling motor vehicles where emissions could be drawn into building. Designate receiving/storage areas for incoming material that minimize IAQ impacts.

c. Pathway interruption: When pollutants are generated use strategies such as 100 percent outside air ventilation or erection of physical barriers between work and non-work areas to prevent contamination.

d. Housekeeping: Clean frequently to remove construction dust and debris. Promptly clean up spills. Remove accumulated water and keep work areas dry to discourage the growth of mold and bacteria. Take extra measures when hazardous materials are involved.

e. Scheduling: Control the sequence of construction to minimize the absorption of VOCs by other building materials.

1.17.1.2 Moisture Contamination

a. Remove accumulated water and keep work dry.

b. Use dehumidification to remove moist, humid air from a work area.

c. Do not use combustion heaters or generators inside the building.

d. Protect porous materials from exposure to moisture.

e. Remove and replace items which remain damp for more than a few hours.

1.17.2 Requirements after Construction

After construction ends and prior to occupancy, conduct a building flush-out or test the indoor air contaminant levels. Flush-out must be a minimum two-weeks with MERV-13 filtration media as determined by ASHRAE 52.2 at 100 percent outside air. Air contamination testing must be consistent with EPA's current Compendium of Methods for the Determination of Air Pollutants in Indoor Air. After building flush-out or testing and prior to occupancy, replace filtration media. Filtration media must have a MERV of 13 as determined by ASHRAE 52.2.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PREPARATION

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

-- End of Section --

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
05/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA C511	(2017) Reduced-Pressure Principle Backflow Prevention Assembly

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH (FCCCHR)

FCCCHR List	(continuously updated) List of Approved Backflow Prevention Assemblies
FCCCHR Manual	(10th Edition) Manual of Cross-Connection Control

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 241	(2013; Errata 2015) Standard for Safeguarding Construction, Alteration, and Demolition Operations
NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1	(2015; Rev L) Obstruction Marking and Lighting

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2015) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G

Traffic Control Plan; G

Haul Road Plan; G

SD-06 Test Reports

Backflow Preventer Tests

SD-07 Certificates

Backflow Tester Certification

Backflow Preventers Certificate of Full Approval

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit a site plan showing proposed phasing of roads and sidewalks to be demolished and rebuilt. This must be coordinated with Contracting Officer prior to any commencement of work involving closure of any roads or sidewalks. Site plan shall also include the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 BACKFLOW PREVENTERS CERTIFICATE

Certificate of Full Approval from FCCCHR List, University of Southern California, attesting that the design, size and make of each backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. Certificate of Provisional Approval will not be acceptable.

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with any company participating in any other phase of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states

the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (60mph or 95 km/hr) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the aproved Emergency Plan in the accepted Accident Prevention Plan, EM-385-1-1 Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 50 knots or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 4 feet high. Remove all debris, trash, or objects that could become missile hazards.

b. Condition THREE (Sustained winds of 50 knots or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 50 knots or greater expected within 24 hours): Curtail or cease routine activities until securing operation is complete. Reinforce or remove form work and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment from general base areas.

d. Condition ONE. (Sustained winds of 50 knots or greater expected within 12 hours): Secure the jobsite, and leave Government premises.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Within one calendar day of mobilization on site and prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS.

2.1.2 Project Identification Signs

The requirements for the signs, their content, and location are as specified in Section 01 58 00 PROJECT IDENTIFICATION. Erect signs within 15 days after receipt of the notice to proceed. Correct the data required by the safety sign daily, with light colored metallic or non-metallic numerals.

2.1.3 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of contruction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this contract in accordance with EM 385-1-1 Section 04. Construct with suitable grades and widths; sharp curves, blind corners, and dangerous cross traffic are be avoided. Submit haul road plan for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and hauling roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic barricades will be required. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. Specific fencing requirements are as described herein. All fencing will meet the requirements of EM 385-1-1.

2.3.1 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels 6 feet high. Multiple fencing panels may be linked together at the bases toform long spens as needed. Each panel base must be weighted down using sand bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.2 Post-Driven Chain Link Fencing

Temporary post-driven fencing must be galvanized chain link fencing 6 feet high supported by an tightly secured to galvanized steel posts driven below grade. Fence posts must be located on minimum 10 foot centers. Posts may be set in various sufaces such as sand, soil, ashpalt or concrete as necessary. Chain link fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection. Fencing and posts must be completely removed at the completion of construction and any surfaces disturbed or damaged must be restored to its original condition. Underground utilities must be located and identified prior to setting fence posts. Fence must be equipped with a lockable gate. Gate must remain locked when construction personnel are not present.

2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

2.5 BACKFLOW PREVENTERS

Reduced pressure principle type conforming to the applicable requirements AWWA C511. Provide backflow preventers complete with 150 pound flanged , brass mounted gate valve and strainer, 304 stainless steel or bronze, internal parts. The particular make, model/design, and size of backflow preventers to be installed must be included in the latest edition of the List of Approved Backflow Prevention Assemblies issued by the FCCCHR List and be accompanied by a Certificate of Full Approval from FCCCHR List. After installation conduct Backflow Preventer Tests and provide test reports verifying that the installation meets the FCCCHR Manual Standards.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction contract employees will park privately owned vehicles in an area designated by the Contracting Officer. This area will be within reasonable walking distance of the construction site. Employee parking must not interfere with existing and established parking requirements of the government installation.

3.2 TEMPORARY BULLETIN BOARD

Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer.

3.3 AVAILABILITY AND USE OF UTILITY SERVICES

3.3.1 Temporary Utilities

Provide temporary utilities required for construction. This includes temporary heating and domestic hot water for each of the five buildings. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.3.2 Payment for Utility Services

a. The Government will make all reasonably required utilities available from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed will be charged to or paid at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. Carefully conserve any utilities furnished without charge.

b. Reasonable amounts of the following utilities will be made available without charge.

Utility Services		
	Cost ($) per	Unit
Electricity		
Potable Water		
Salt Water		
Compressed Air		
Steam		
Natural Gas		
Sanitary Sewer		

c. The point at which the Government will deliver such utilities or services and the quantity available is as indicated. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including providing backflow-preventing devices on connections to domestic water lines; and providing transformers; and make disconnections. Under no circumstances will taps to base fire hydrants be allowed for obtaining domestic water.

3.3.3 Meters and Temporary Connections

Provide and maintain necessary temporary connections, distribution lines, and meter bases (Government will provide meters) required to measure the amount of each utility used for the purpose of determining charges. Notify the Contracting Officer, in writing, 5 working days before final electrical connection is desired so that a utilities contract can be established. The Government will provide a meter and make the final hot connection after inspection and approval of the Contractor's temporary wiring installation. Do not make the final electrical connection.

3.3.4 Advance Deposit

An advance deposit for utilities consisting of an estimated month's usage or a minimum of $50.00 will be required. The last monthly bills for the fiscal year will normally be offset by the deposit and adjustments will be billed or returned as appropriate. Services to be rendered for the next fiscal year, beginning 1 October, will require a new deposit. Notification of the due date for this deposit will be mailed prior to the end of the current fiscal year.

3.3.5 Final Meter Reading

Before completion of the work and final acceptance of the work by the Government, notify the Contracting Officer, in writing, 5 working days before termination is desired. The Government will take a final meter reading, disconnect service, and remove the meters. Then remove all the temporary distribution lines, meter bases, and associated paraphernalia. Pay all outstanding utility bills before final acceptance of the work by the Government.

3.3.6 Sanitation

a. Provide and maintain within the construction area minimum field-type sanitary facilities approved by the Contracting Officer and periodically empty wastes into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into any municipal, district, or commercial sanitary sewer system. Any penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.3.7 Telephone

Make arrangements and pay all costs for telephone facilities desired.

3.3.8 Obstruction Lighting of Cranes

Provide a minimum of 2 aviation red or high intensity white obstruction lights on temporary structures (including cranes) over 100 feet above ground level. Light construction and installation must comply with FAA AC 70/7460-1. Lights must be operational during periods of reduced visibility, darkness, and as directed by the Contracting Officer.

3.3.9 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials weekly to minimize potential hazards.

3.4 TRAFFIC PROVISIONS

3.4.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close any thoroughfare or interfere in any way with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. . Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at contractors expense, lights, barriers, signals, passageways, detours, and other items, that may be required by the Life Safety Signage, overhead protection authority having jurisdiction.

3.4.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of any damage to roads caused by construction operations.

3.4.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations for deliveries without notification to and approval by the Contracting Officer.

3.4.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.5 CONTRACTOR'S TEMPORARY FACILITIES

Contractor-owned or -leased trailers must be identified by Government assigned numbers. Size and location of the number will comply with Naval Station Standards. Apply the number to the trailer within 14 calendar days of notification, or sooner, if directed by the Government. Temporary facilities will meet requirements as identified in EM 385-1-1 Section 04.

3.5.1 Quality Control Manager Records and Field Office

Provide on the jobsite an office with approximately 100 square feet of useful floor area for the exclusive use of the QC Manager. Provide a weathertight structure with adequate heating and cooling, toilet facilities, lighting, ventilation, a 4 by 8 foot plan table, a standard size office desk and chair, computer station, and working communications facilities. Provide either a 1,500 watt radiant heater and a window-mounted air conditioner rated at 9,000 Btus minimum or a window-mounted heat pump of the same minimum heating and cooling ratings. Provide a door with a cylinder lock and windows with locking hardware. Make utility connections. Locate as directed. File quality control records in the office and make available at all times to the Government. After completion of the work, remove the entire structure from the site.

3.5.2 Safety Systems

Protect the integrity of any installed safety systems or personnel safety devices. Obtain prior approval from Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.5.3 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

3.5.4 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored green, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on any given day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

3.5.5 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. The area will be maintained in an clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.5.6 Appearance of Trailers

a. Trailers which are rusted, have peeling paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.

b. and maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal.

3.5.7 Maintenance of Storage Area

a. Keep fencing in a state of good repair and proper alignment. Grassed or unpaved areas, which are not established roadways, and will be traversed with construction equipment or other vehicles, will be

covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways, should the Contractor elect to traverse them with construction equipment or other vehicles. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers will be edged or trimmed neatly.

3.5.8 New Building

In the event a new building is constructed for the temporary project field office, it will be a minimum 12 feet in width, 16 feet in length and have a minimum of 7 feet headroom. Equip the building with approved electrical wiring, at least one double convenience outlet and the required switches and fuses to provide 110-120 volt power. Provide a work table with stool, desk with chair, two additional chairs, and one legal size file cabinet that can be locked. The building must be waterproof, supplied with a heater, have a minimum of two doors, electric lights, a telephone, a battery operated smoke detector alarm, a sufficient number of adjustable windows for adequate light and ventilation, and a supply of approved drinking water. Approved sanitary facilities must be furnished. Screen the windows and doors and provide the doors with dead bolt type locking devices or a padlock and heavy duty hasp bolted to the door. Door hinge pins will be non-removable. Arrange the windows to open and to be securely fastened from the inside. Protect glass panels in windows by bars or heavy mesh screens to prevent easy access. In warm weather, furnish air conditioning capable of maintaining the office at 50 percent relative humidity and a room temperature 20 degrees F below the outside temperature when the outside temperature is 95 degrees F. Any new building erected for a temporary field office must be maintained during the life of the contract. Unless otherwise directed by the Contracting Officer, remove the building from the site upon completion and acceptance of the work.

3.5.9 Security Provisions

Provide adequate outside security lighting at the temporary facilities. The Contractor will be responsible for the security of its own equipment.

3.5.10 Storage Size and Location

The open site available for storage must be within 1,000 feet of the operations area. The storage area will be approximately 600 square feet.

3.5.11 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.5.11.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings

in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.6 GOVERNMENT FIELD OFFICE

3.6.1 Resident Engineer's Office

Provide the Government Engineer with an office, approximately 200 square feet in floor area, located where directed and providing space heat, air conditioning unit, electric light and power, and toilet facilities consisting of one lavatory and one water closet complete with connections to water and sewer mains. Provide a mail slot in the door or a lockable mail box mounted on the surface of the door. Include a 4 by 8 foot plan table, computer work space a standard size office desk and chair, and telephone. At completion of the project, the office will remain the property of the Contractor and be removed from the site. Utilities will be connected and disconnected in accordance with local codes and to the satisfaction of the Contracting Officer. Compliance with safety and appearance requirements for temporary facilities stated in previous paragraphs is required.

3.6.2 Trailer-Type Mobile Office

The option is available to, furnish and maintain a trailer-type mobile office acceptable to the Contracting Officer to meet the requirements of the minimum facilities specified above. Securely anchor the trailer to the ground at all four corners to guard against movement during high winds. Coordinate requirements for proper anchoring with EM 383-1-1 Section 04.

3.7 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

3.8 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the contract and, upon completion and acceptance of the work, removefrom the work site.

3.9 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store any salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.10 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and any other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the

contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.120	Hazardous Waste Operations and Emergency Response
40 CFR 112	Oil Pollution Prevention
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.31	Standards Applicable to Generators of Hazardous Waste-Labeling
40 CFR 262.34	Standards Applicable to Generators of Hazardous Waste-Accumulation Time
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of

	Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 61	National Emission Standards for Hazardous Air Pollutants
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 64	Compliance Assurance Monitoring
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

1.2.2 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

1.2.3 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.4 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally or historically.

1.2.5 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.6 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

1.2.7 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that: Is regulated as a hazardous

material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.120; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.8 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibit a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D.

1.2.9 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.10 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by installations to obtain NPDES permit coverage for their stormwater discharges.

1.2.11 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

1.2.12 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste

determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.13 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.14 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.15 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.15.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.15.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.

1.2.15.3 Material not regulated as solid waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.15.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 263.

1.2.15.5 Recyclables

Recyclables are materials, equipment and assemblies such as doors, windows,

door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.15.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.15.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.15.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.16 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, creeks or "waters of the United States". Surface discharges are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.17 Wastewater

Wastewater is the used water and solids from a community that flow to a treatment plant.

1.2.17.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.18 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the

Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.19 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.20 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey

Solid Waste Management Permit; G

Regulatory Notifications; G

Environmental Protection Plan; G

Dirt and Dust Control Plan; G

Employee Training Records; G

SD-06 Test Reports

Solid Waste Management Report; G

SD-07 Certificates

Employee Training Records; G

ECATTS Certificate Of Completion; G

Erosion and Sediment Control Inspector Qualifications

SD-11 Closeout Submittals

Waste Determination Documentation; G

Disposal Documentation for Hazardous and Regulated Waste; G

Assembled Employee Training Records; G

Solid Waste Management Permit; G

Solid Waste Management Report; G

Contractor Hazardous Material Inventory Log; G

Hazardous Waste/Debris Management; G

Regulatory Notifications; G

Sales Documentation; G

Contractor Certification

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.4.1 Training in Environmental Compliance Assessment Training and Tracking System (ECATTS)

1.4.1.1 Personnel Requirements

The Environmental Manager is responsible for environmental compliance on projects. The Environmental Manager and other staff, must complete applicable ECATTS training modules (installation specific or general) prior to starting respective portions of on-site work under this Contract. If personnel changes occur for any of these positions after starting work, replacement personnel must complete applicable ECATTS training within 14 days of assignment to the project.

1.4.1.2 Certification

Submit an ECATTS certificate of completion for personnel who have completed the required ECATTS training. This training is web-based and can be accessed from any computer with Internet access using the following instructions.

Register for NAVFAC Environmental Compliance Training and Tracking System, by logging on to https://environmentaltraining.ecatts.com/. Obtain the password for registration from the Contracting Officer.

1.4.1.3 Refresher Training

This training has been structured to allow contractor personnel to receive credit under this contract and to carry forward credit to future contracts. Ensure the Environmental Manager review their training plans for new modules or updated training requirements prior to beginning work. Some training modules are tailored for specific state regulatory requirements; therefore, Contractors working in multiple states will be required to retake modules tailored to the state where the contract work is being performed.

1.4.2 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

1.5 QUALITY ASSURANCE

1.5.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

1.5.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 14 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.5.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP). Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

1.5.4 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet state requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Contact additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area. Provide copy of the Erosion and Sediment Control Inspector Certification as required by state.

1.5.5 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions will be granted or equitable adjustments allowed for any such suspensions. This is in addition to any other actions the Contracting Officer may take under the contract, or in accordance with the Federal Acquisition Regulation or Federal Law.

1.6 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after Contract award and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

1.6.1 General Overview and Purpose

1.6.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as stormwater pollution prevention plan, solid waste management plan, traffic control plan Non-Hazardous Solid Waste Disposal Plan borrowing material plan.

1.6.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.6.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.6.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.6.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

1.6.2 General Site Information

1.6.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.6.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

1.6.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.6.3 Management of Natural Resources

a. Land resources

b. Tree protection

c. Replacement of damaged landscape features

d. Temporary construction

e. Stream crossings

f. Fish and wildlife resources

g. Wetland areas

1.6.4 Protection of Historical and Archaeological Resources

a. Objectives

b. Methods

1.6.5 Stormwater Management and Control

a. Ground cover

b. Erodible soils

c. Temporary measures

(1) Structural Practices

(2) Temporary and permanent stabilization

d. Effective selection, implementation and maintenance of Best Management Practices (BMPs).

1.6.6 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste. Control and disposal of hazardous waste.

This item consist of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan. As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and

Procedures to be employed to ensure required employee training records are maintained.

1.6.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment

Notifications in the event of a release to the environment

1.6.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.6.9 Clean Air Act Compliance

1.6.9.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on Installation roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

1.6.9.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager).

1.6.9.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between emergency and non-emergency operation.

1.6.9.4 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government, coordinate with the Installation Environmental Office to determine the appropriate turn in location.

1.6.9.5 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control

measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

1.6.9.6 Compliant Materials

Provide the Government a list of and SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of specific materials as needed to meet installation permit requirements for emissions.

1.7 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all general use permitted equipment the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and Responsibilities.

1.8 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

1.9 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

1.9.1 Solid Waste Management Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

1.10 FACILITY HAZARDOUS WASTE GENERATOR STATUS

Naval Station Newport is designated as a Large Quantity Generator. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the Installation Environmental Office, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the Installation Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and Installation Environmental Office to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in compliance with any required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. If the water is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization in advance from the Installation Environmental Office for any release of contaminated water.

3.2.1 Erosion and Sediment Control Measures

Provide erosion and sediment control measures in accordance with state and local laws and regulations. Preserve vegetation to the maximum extent practicable.

Erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports.

3.2.1.1 Erosion Control

Prevent erosion by Geotextiles,. Stabilize slopes by seeding, or such combination of these methods necessary for effective erosion control. Use of hay bales is prohibited.

Provide seeding in accordance with Section 32 92 19 SEEDING.

3.2.1.2 Sediment Control Practices

Implement sediment control practices to divert flows from exposed soils, temporarily store flows, or otherwise limit runoff and the discharge of pollutants from exposed areas of the site. Implement sediment control practices prior to soil disturbance and prior to creating areas with concentrated flow, during the construction process to minimize erosion and sediment laden runoff. Include the following devices: silt fence, storm drain inlet protection, Location and details of installation and construction are indicated on the drawings.

3.2.2 Work Area Limits

Mark the areas that need not be disturbed under this Contract prior to commencing construction activities. Mark or fence isolated areas within the general work area that are not to be disturbed. Protect monuments and markers before construction operations commence. Where construction operations are to be conducted during darkness, any markers must be visible in the dark. Personnel must be knowledgeable of the purpose for marking and protecting particular objects.

3.2.3 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

3.3 SURFACE AND GROUNDWATER

3.3.1 Cofferdams, Diversions, and Dewatering

Construction operations for dewatering must be constantly controlled to maintain compliance with existing state water quality standards and designated uses of the surface water body. Comply with the State of Rhode Island water quality standards and anti-degradation provisions . Do not discharge excavation ground water to the sanitary sewer, storm drains, or to surface waters without prior specific authorization in writing from the Installation Environmental Office. Discharge of hazardous substances will not be permitted under any circumstances. Use sediment control BMPs to prevent construction site runoff from directly entering any storm drain or surface waters.

If the construction dewatering is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization for any contaminated groundwater release in advance from the Installation Environmental Officer and the federal or state authority, as applicable. Discharge of hazardous substances will not be permitted under any circumstances.

3.3.2 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States.

3.4 PROTECTION OF CULTURAL RESOURCES

3.4.1 Archaeological Resources

If, during excavation or other construction activities, any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, activities that may damage or alter such resources will be suspended. Resources covered by this paragraph include, but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. Cease all activities that may result in impact to or the destruction of these resources. Secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources. The Government retains ownership and control over archaeological resources.

3.5 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

3.5.1 Preconstruction Air Permits

Notify the Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled

or unassembled, onto the Installation.

3.5.2 Oil or Dual-fuel Boilers and Furnaces

Provide product data and details for new, replacement, or relocated fuel fired boilers, heaters, or furnaces to the Installation Environmental Office (Air Program Manager) through the Contracting Officer. Data to be reported include: equipment purpose (water heater, building heat, process), manufacturer, model number, serial number, fuel type (oil type, gas type) size (MMBTU heat input). Provide in accordance with paragraph PRECONSTRUCTION AIR PERMITS.

3.5.3 Burning

Burning is prohibited on the Government premises.

3.5.4 Class I ODS Prohibition

Class I ODS are Government property and must be returned to the Government for appropriate management. Coordinate with the Installation Environmental Office to determine the appropriate location for turn in of all reclaimed refrigerant.

3.5.5 Accidental Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer.

3.5.6 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

3.5.7 Dust Control

Keep dust down at all times, including during nonworking periods. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster.

3.5.7.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be

permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

3.5.7.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the Installation Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.5.8 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

3.6 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

3.6.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale or recycling. All scrap metal shall be placed in government provided, site delivered and removed,40 cubic yard dumpsters. All scrap shal be cut to fit inside 7'-0" wide dumpsters. Coordinate dumpster delivery and pick up with Contracting Officer.

3.6.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	, as appropriate
C&D Debris Recycled	, as appropriate
Total C&D Debris Generated	, as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	, as appropriate

3.7 WASTE MANAGEMENT AND DISPOSAL

3.7.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g. scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 261 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not inclusive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.7.2 Solid Waste Management

3.7.2.1 Solid Waste Management Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation Contractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.7.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of

wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Comply with site procedures. Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.7.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer.

3.7.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.7.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262.34 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or 1 quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite accumulation impractical, the Contractor may request a temporary 90 day accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	
Contractor	

Contract Number	
Haz/Waste or Regulated Waste POC	
Phone Number	
Type of Waste	
Source of Waste	
Emergency POC	
Phone Number	
Location of the Site	

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g. training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.7.3.3 Hazardous Waste Disposal

3.7.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.7.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.7.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.7.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.7.3.3.1.4 Labeling

Determine the Department of Transportation's (DOT's) proper shipping names for waste (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all

containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262.31 and applicable state or local regulations.

3.7.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

a. Batteries as described in 40 CFR 273.2

b. Lamps as described in 40 CFR 273.5

c. Mercury-containing equipment as described in 40 CFR 273.4

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.7.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

3.7.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer for the facility RCRA identification number that is to be used on each manifest.

3.7.4 Releases/Spills of Oil and Hazardous Substances

3.7.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, the Contracting Officer and the state or local authority.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

3.7.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

3.7.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

3.7.6 Wastewater

3.7.6.1 Disposal of wastewater must be as specified below.

3.7.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations.

3.7.6.1.2 Surface Discharge

For discharge of ground water, obtain a state or federal permit specific for pumping and discharging ground water prior to surface discharging. Surface discharge in accordance with federal, state, and local laws and regulations.

3.7.6.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing must be discharged into the sanitary sewer with prior approval and notification to the Wastewater Treatment Plant's Operator.

3.8 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum

products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261.

3.8.1 Contractor Hazardous Material Inventory Log

Submit the "Contractor Hazardous Material Inventory Log"(found at: https://www.wbdg.org/FFC/NAVGRAPH/graphtoc.pdf), which provides information required by (EPCRA Sections 312 and 313) along with corresponding SDS, to the Contracting Officer at the start and at the end of construction (30 days from final acceptance), and update no later than January 31 of each calendar year during the life of the contract. Keep copies of the SDSs for hazardous materials onsite. At the end of the project, provide the Contracting Officer with copies of the SDSs, and the maximum quantity of each material that was present at the site at any one time, the dates the material was present, the amount of each material that was used during the project, and how the material was used.

The Contracting Officer may request documentation for any spills or releases, environmental reports, or off-site transfers.

3.9 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning requirements.

3.10 CONTROL AND MANAGEMENT OF ASBESTOS-CONTAINING MATERIAL (ACM)

Manage and dispose of asbestos- containing waste in accordance with 40 CFR 61. Refer to Section 02 82 00 ASBESTOS REMEDIATION. Manifest asbestos-containing waste and provide the manifest to the Contracting Officer. Notifications to the state and Installation Air Program Manager are required before starting any asbestos work.

3.11 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745 and Section 02 83 00 LEAD REMEDIATION. Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

3.12 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline, diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the environment. Manage and store POL products in accordance with EPA 40 CFR 112, and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers, to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of oil, including fuel, on

the project site is not allowed. Fuel must be brought to the project site each day that work is performed.

3.12.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

3.12.2 Oil Storage Including Fuel Tanks

Provide secondary containment and overfill protection for oil storage tanks. A berm used to provide secondary containment must be of sufficient size and strength to contain the contents of the tanks plus 5 inches freeboard for precipitation. Construct the berm to be impervious to oil for 72 hours that no discharge will permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Use drip pans during oil transfer operations; adequate absorbent material must be onsite to clean up any spills and prevent releases to the environment. Cover tanks and drip pans during inclement weather. Provide procedures and equipment to prevent overfilling of tanks. If tanks and containers with an aggregate aboveground capacity greater than 1320 gallons will be used onsite (only containers with a capacity of 55 gallons or greater are counted), provide and implement a SPCC plan meeting the requirements of 40 CFR 112. Do not bring underground storage tanks to the installation for Contractor use during a project. Submit the SPCC plan to the Contracting Officer for approval.

Monitor and remove any rainwater that accumulates in open containment dikes or berms. Inspect the accumulated rainwater prior to draining from a containment dike to the environment, to determine there is no oil sheen present.

3.13 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material until authorized by the Contracting Officer.

3.14 CHLORDANE

Evaluate excess soils and concrete foundation debris generated during the demolition of housing units or other wooden structures for the presence of chlordane or other pesticides prior to reuse or final disposal.

3.15 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times. Confine pile-driving operations to the period between 8 a.m. and 4 p.m., Monday through Friday, exclusive of holidays, unless otherwise specified.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of Rhode Island rules.

3.16 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

SECTION 01 58 00

PROJECT IDENTIFICATION
08/09

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN WOOD PROTECTION ASSOCIATION (AWPA)

AWPA C1	(2003) All Timber Products - Preservative Treatment by Pressure Processes
AWPA C2	(2003) Lumber, Timber, Bridge Ties and Mine Ties - Preservative Treatment by Pressure Processes

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Preliminary Drawing Indicating Layout And Text Content; G

1.3 QUALITY ASSURANCE

1.4 PROJECT SIGN

Prior to initiating any work on site, provide one project identification sign at the location designated. Construct the sign in accordance with project sign detail, which can be downloaded at: https://www.wbdg.org/FFC/NAVGRAPH/graphtoc.pdf. Maintain sign throughout the life of the project. Upon completion of the project, remove the sign from the site.

1.4.1 Project Identification Signboard (Navy)

A project identification signboard shall be provided in accordance with attached Plates 1, 3, and 4. Provide preliminary drawing indicating layout and text content. The signboard shall be provided at a conspicuous location on the job site where directed by the Contracting Officer.

a. The field of the sign shall consist of a 4 by 8 foot sheet of grade B-B medium density overlaid exterior plywood.

b. Lumber shall be B or better Southern pine, pressure-preservative treated in accordance with AWPA C1 and AWPA C2. Nails shall be aluminum or galvanized steel.

c. The entire signboard and supports shall be given one coat of exterior alkyd primer and two coats of exterior alkyd enamel paint. The lettering and sign work shall be performed by a skilled sign painter using paint known in the trade as bulletin colors. The colors, lettering sizes, and lettering styles shall be as indicated. Where preservative-treated lumber is required, utilize only cured pressure-treated wood which has had the chemicals leached from the surface of the wood prior to painting.

d. Use spray applied automotive quality high gloss acrylic white enamel paint as background for the NAVFAC logo. NAVFAC logo shall be an applied 2 mil film sticker/decal with either transparent or white background or paint the logo by stencil onto the sign. The weather resistant sticker/decal film shall be rated for a minimum of 2-year exterior vertical exposure. The self-adhering sticker shall be mounted to the sign with pressure sensitive, permanent acrylic adhesive. Shop cut sticker/decal to rectangular shape and provide pull-off backing sheet on adhesive side of design sticker for shipping.

e. Sign paint colors (manufacturer's numbers/types listed below for color identification only)

(1) Blue = To match dark blue color in the NAVFAC logo.

(2) White = To match Brilliant White color in the NAVFAC logo.

f. NAVFAC logo must retain proportions and design integrity. NAVFAC logos in electronic format may be obtained from the NAVFAC web portal via the following link: https://www.navfac.navy.mil/about_us/logos_and_seals.html . Use the following to choose color values for the paint to be used:

(1) Dark Blue = equivalent to CMYK values 100, 72, 0, 8 .

(2) Light Blue = equivalent to CMYK values 69, 34, 0, 0.

(3) Cyan = equivalent to CMYK values 100, 9, 0, 6.

(4) Yellow = equivalent to CMYK values 0.9,94, 0.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
02/19

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.1.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.1.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.1.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.1.5 Diversion

The practice of diverting waste from disposal in a landfill, by means of eliminating or minimizing waste, or reuse of materials.

1.1.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.1.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.1.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.1.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.1.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.2 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project from the landfill. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of .

1.3 CONSTRUCTION WASTE MANAGEMENT

Implement a construction waste management program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the construction waste management program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.3.1 Implementation of Construction Waste Management Program

Develop and document how the construction waste management program will be implemented in a construction waste management plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.3.2 Oversight

The Environmental Manager, as specified in Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, is responsible for overseeing and documenting results from executing the construction waste management plan for the project.

1.3.3 Special Programs

Implement any special programs involving rebates or similar incentives related to recycling of . Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the

extent required by federal, state, and local regulations.

1.3.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.3.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the construction waste management plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris
b. Asphalt
c. Masonry and CMU
d. Concrete
e. Metals (e.g. banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze, etc.)
f. Wood (nails and staples allowed)
g. Glass
h. Paper
i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)
j. Gypsum
k. Non-hazardous paint and paint cans
l. Carpet
m. Ceiling Tiles
n. Insulation
o. Beverage Containers

1.4 SUBMITTALSGovernment approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:SD-01 Preconstruction Submittals

Construction Waste Management Plan; G

SD-11 Closeout Submittals

Final Construction Waste Diversion Report; S

1.5 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed construction waste management plan and to develop a mutual understanding relative to the management of the construction waste management program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00.00 20 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Preconstruction meeting.

b. Regular Quality Control meetings.

c. Work safety meeting (if applicable).

1.6 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 15 days after contract award. Revise and resubmit Construction Waste Management Plan until it receives final approval from the Contracting Officer, in order for construction to begin. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION . Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved construction waste management plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project..

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and/or incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which any materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials

(whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

Distribute copies of the waste management plan to each subcontractor, Environmental Manager, and the Contracting Officer.

1.7 RECORDS (DOCUMENTATION)

1.7.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and/or sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for any materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.7.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.8 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 60 days prior to the Beneficial Occupancy Date (BOD).

1.9 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the construction waste management plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS. Separate materials by one of the following methods described herein:

1.9.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further

processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the construction waste management plan.

1.9.2 Co-Mingled Method

Place waste products and recyclable materials into a single container and then transport to an authorized recycling facility, which meets all applicable requirements to accept and dispose of recyclable materials in accordance with all applicable local, state and federal regulations. The Co-mingled materials must be sorted and processed in accordance with the approved construction waste management plan.

1.9.3 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.10 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.10.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved construction waste management plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation.

1.10.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.10.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 78 00

CLOSEOUT SUBMITTALS
08/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

GREEN SEAL (GS)

GS-37	(2017) Cleaning Products for Industrial and Institutional Use

U.S. DEPARTMENT OF DEFENSE (DOD)

FC 1-300-09N	(2014; with Change 2) Navy and Marine Corps Design Procedures
UFC 1-300-08	(2009, with Change 2) Criteria for Transfer and Acceptance of DoD Real Property

1.2 DEFINITIONS

1.2.1 As-Built Drawings

As-built drawings are developed and maintained by the Contractor and depict actual conditions, including deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to Contractor submitted Requests for Information; direction from the Contracting Officer; designs which are the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan

Warranty Tags

Final Cleaning

Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-11 Closeout Submittals

As-Built Drawings; G

As-Built Record of Equipment and Materials

Interim DD FORM 1354; G

Checklist for DD FORM 1354; G

1.4 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, nomenclature, and stock level required for maintenance and repair. List those items that may be standard to the normal maintenance of the system.

1.5 WARRANTY MANAGEMENT

1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction in each section. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan must be in narrative form and contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Warranty information made available during the construction phase must be submitted to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period will begin on the date of project acceptance and continue for the full product warranty period. A joint 4 month and 9 month warranty inspection will be conducted, measured from time of acceptance, by the Contractor, Contracting Officer and the Customer Representative. Include within the warranty management plan , but not limited to, the following:

a. Roles and responsibilities of all personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. Furnish with each warranty the name, address, and telephone number of each of the guarantor's representatives nearest to the project location.

c. Listing and status of delivery of all Certificates of Warranty for extended warranty items, to include roofs, HVAC balancing, pumps, motors, transformers, and for all commissioned systems such as fire protection and alarm systems, sprinkler systems, lightning protection systems, etc.

d. As-Built Record of Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have extended warranties must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of all equipment covered by extended warranties.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty and/or safety reasons.

1.5.2 Performance Bond

The Performance Bond must remain effective throughout the construction period .

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction

warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.5.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. Communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty will be established/reviewed at this meeting. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact will be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

1.5.4 Warranty Tags

At the time of installation, tag each warranted item with a durable, oil and water resistant tag approved by the Contracting Officer. Attach each tag with a copper wire and spray with a silicone waterproof coating. Also, submit two record copies of the warranty tags showing the layout and design. The date of acceptance and the QC signature must remain blank until the project is accepted for beneficial occupancy. Show the following information on the tag.

Type of product/material	
Model number	
Serial number	
Contract number	
Warranty period from/to	
Inspector's signature	
Construction Contractor	
Address	
Telephone number	

Warranty contact	
Address	
Telephone number	
Warranty response time priority code	
WARNING - PROJECT PERSONNEL TO PERFORM ONLY OPERATIONAL MAINTENANCE DURING THE WARRANTY PERIOD.	

PART 2 PRODUCTS

2.1 Not Used

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. At a minimum of 30 days prior to Beneficial Occupancy Date (BOD), certify both sets of as-built drawings as correct, sign, and submit the As-Built Drawings for Contracting Officer approval.

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

(1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

(2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

(3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking

prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

   1) Add an entire drawing to contract drawings

   2) Change the contract drawing to show

   3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

j. Modifications (include within change order price the cost to change working as-built markup drawings to reflect modifications) and compliance with FC 1-300-09N procedures.

l. Actual location of anchors, construction and control joints, etc., in concrete.

m. Unusual or uncharted obstructions that are encountered in the contract work area during construction.

n. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 CLEANUP

Provide final cleaning in accordance with ASTM E1971 and submit two copies of the listing of completed final clean-up items. Leave premises "broom clean." Comply with GS-37 for general purpose cleaning. Use only nonhazardous cleaning materials, including natural cleaning materials, in the final cleanup. Remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Clean filters of operating equipment and comply with the Indoor Air Quality (IAQ) Management Plan. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site. Recycle, salvage, and return construction and demolition waste from project in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS, and 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.

3.3 REAL PROPERTY RECORD

Near the completion of Project, but a minimum of 60 days prior to final acceptance of the work, complete and submit an accounting of all installed property with Interim DD FORM 1354. Include any additional assets, improvements, and alterations from the Draft DD FORM 1354. Contact the Contracting Officer for any project specific information necessary to complete the DD FORM 1354. Refer to UFC 1-300-08 for instruction on completing the DD FORM 1354. For convenience, a blank fillable PDF DD FORM 1354 may be obtained at the following link:
www.esd.whs.mil/Portals/54/Documents/DD/forms/dd/dd1354.pdf

Submit the completed Checklist for DD FORM 1354 of Installed Building Equipment items. Attach this list to the updated DD FORM 1354.

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
08/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM E1971	(2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Training Content ; G

1.3 OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data for the provided equipment, product, or system, defining the importance of system interactions, troubleshooting, and long-term preventive operation and maintenance. Compile, prepare, and aggregate O&M data to include clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.3.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.3.2 Package Content

Provide data package content in accordance with paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES. Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows. Use Data Package 3 for commissioned items without a specified data package requirement in the individual technical sections. Provide a Data Package 3 instead of Data Package 1 or 2, as specified in the individual technical

section, for items that are commissioned.

1.3.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

1.4 OPERATION AND MAINTENANCE MANUAL FILE FORMAT

Assemble data packages into electronic Operation and Maintenance Manuals. Assemble each manual into a composite electronically indexed file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete electronically linked operation and maintenance directory.

1.4.1 Organization

Bookmark Product and Drawing Information documents using the current version of CSI Masterformat numbering system, and arrange submittals using the specification sections as a structure. Use CSI Masterformat and UFGS numbers along with descriptive bookmarked titles that explain the content of the information that is being bookmarked.

1.4.2 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number

b. Project Title

c. Activity and Location

d. Construction Contract Number

e. Prepared For: (Contracting Agency)

f. Prepared By: (Name, title, phone number and email address)

g. Include the disk content on the disk label

h. Date

i. Virus scanning program used

1.5 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

The following are a detailed description of the data package items listed in paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES.

1.5.1 Operating Instructions

Provide specific instructions, procedures, and illustrations for the

following phases of operation for the installed model and features of each system:

1.5.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENT SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard.

1.5.1.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.5.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.5.1.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.5.1.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.5.1.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.5.1.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.5.1.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.5.1.9 Additional Requirements for HVAC Control Systems

Provide Data Package 5 and the following for control systems:

a. Narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal

changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.

b. Full as-built sequence of operations.

c. Copies of checkout tests and calibrations performed by the Contractor (not Cx tests).

d. Full points list. Provide a listing of rooms with the following information for each room:

(1) Floor

(2) Room number

(3) Room name

(4) Air handler unit ID

(5) Reference drawing number

(6) Air terminal unit tag ID

(7) Heating or cooling valve tag ID

(8) Minimum cfm

(9) Maximum cfm

e. Full print out of all schedules and set points after testing and acceptance of the system.

f. Full as-built print out of software program.

g. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.5.2 Preventive Maintenance

Provide the following information for preventive and scheduled maintenance to minimize repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.5.2.1 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.

c. A Lubrication Schedule showing service interval frequency.

1.5.2.2 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each of each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.5.2.3 Cleaning Recommendations

Provide environmentally preferable cleaning recommendations in accordance with ASTM E1971.

1.5.3 Repair

Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.5.3.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.5.3.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.5.3.3 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

1.5.3.4 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.5.3.5 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.5.3.6 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.5.4 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

1.5.4.1 Product Submittal Data

Provide a copy of SD-03 Product Data submittals documented with the required approval.

1.5.4.2 Manufacturer's Instructions

Provide a copy of SD-08 Manufacturer's Instructions submittals documented with the required approval.

1.5.4.3 O&M Submittal Data

Provide a copy of SD-10 Operation and Maintenance Data submittals documented with the required approval.

1.5.4.4 Parts Identification

Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the

parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog.

1.5.4.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.5.4.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.5.4.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.5.4.8 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.5.4.9 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.5.4.10 Field Test Reports

Provide a copy of Field Test Reports (SD-06) submittals documented with the required approval.

1.5.4.11 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. The information required in each type of data package follows:

1.6.1 Data Package 3

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Environmental conditions

g. Operating log

h. Lubrication data

i. Preventive maintenance plan, schedule, and procedures

j. Cleaning recommendations

k. Troubleshooting guides and diagnostic techniques

l. Wiring diagrams and control diagrams

m. Maintenance and repair procedures

n. Removal and replacement instructions

o. Spare parts and supply list

p. Product submittal data

q. O&M submittal data

r. Parts identification

s. Warranty information

t. Extended warranty information

u. Testing equipment and special tool information

v. Testing and performance data

w. Contractor information

x. Field test reports

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the eOMSI Manual, as submitted in Section 01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI). Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The QC is responsible for overseeing and approving the content and adequacy of the training. Provide a brief summary of the FACILITY INFORMATION manual, and a more detailed presentation of the PRODUCT AND DRAWING MANUAL, specified in Section 01 78 24.00 20 FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI). Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

j. Control Software and setpoint adjustments.

3.1.2 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.3 Quality Control Coordination

Coordinate this training with the QC in accordance with Section 01 45 00.00 20 QUALITY CONTROL.

-- End of Section --

SECTION 01 78 24.00 20

FACILITY ELECTRONIC OPERATION AND MAINTENANCE SUPPORT INFORMATION (eOMSI)
02/15

PART 1 GENERAL

1.1 DEFINITIONS AND ABBREVIATIONS

1.1.1 eOMSI Manual

Manual (PDF file) provided by the Contractor that includes, but is not limited to, product information, a facility description with photos, and a list of primary facility systems.

1.1.2 eOMSI Facility Data Workbook (FDW)

A Microsoft Excel file containing required facility information populated by the Contractor.

1.1.3 Systems

The words "system", "systems", and "equipment", when used in this document refer to as-built systems and equipment.

1.1.4 Computer Assisted Design and Drafting (CADD)

Electronic Computer Assisted Design and Drafting graphic software program that is used to create facility design contract documents and Record Drawings.

1.1.5 KTR

An abbreviation for "Contractor."

1.2 EOMSI MEETINGS

1.2.1 Pre-Construction Meeting

Be prepared to discuss the following during this meeting:

a. eOMSI Manual and eOMSI Facility Data Workbook Development Meetings

b. Processes and methods of gathering eOMSI Manual and eOMSI Facility Data Workbook information during construction.

c. The eOMSI Submittals schedule.

d. Electronic eOMSI Facility Data Workbook file for Contractor's use and completion.

1.2.2 eOMSI Manual and Facility Data Workbook Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the eOMSI Progress Submittal. Meeting attendance must include the Contractor's eOMSI Manual and Facility Data Workbook Preparer, and Quality Control Manager, the Commissioning Authority (CxA), and the Government's Design Manager (DM), Contracting Officer's Representative, and

NAVFAC Public Works (PW) Facilities Management Division (FMD). Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for eOMSI and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation and timely Government review of eOMSI.

1.2.3 Facility Turnover Meeting

Include eOMSI in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

1.3 SUBMITTAL SCHEDULING

1.3.1 eOMSI, Progress Submittal

Submit the Progress submittal when construction is approximately 50 percent complete, to the Contracting Officer for approval. Provide eOMSI Manual Files (Bookmarked PDF) and eOMSI Facility Data Workbook (Excel). Include the elements and portions of system construction completed up to this point.

The purpose of this submittal is to verify progress is in accordance with contract requirements as discussed during the eOMSI Coordination Meeting. Field verify a portion of the eOMSI information in accordance with paragraph FIELD VERIFICATION.

1.3.2 eOMSI, Prefinal Submittal

Submit the 100 percent submittal of the eOMSI Prefinal Submittal to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of eOMSI Progress submittal must be corrected prior to the Prefinal submission.

The eOMSI Prefinal Submittal must include eOMSI Manual Files (Bookmarked PDF) and eOMSI Facility Data Workbook (Excel).

1.3.3 eOMSI, Final Submittal

Submit completed eOMSI Manual Files (Bookmarked PDF) and eOMSI Facility Data Workbook (Excel). The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal eOMSI submittal, including the Field Verification, must be corrected prior to the Final eOMSI submission.

1.4 UNITS OF MEASURE

Provide eOMSI utilizing the units of measure used in the Government generated contract documents.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00

SUBMITTAL PROCEDURES:

SD-11 Closeout Submittals

eOMSI, Progress Submittal; G

eOMSI, Prefinal Submittal; G

eOMSI, Final Submittal; G

PART 2 PRODUCTS

2.1 eOMSI FILES FORMAT

Format eOMSI manuals and files in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Include a complete electronically linked operation and maintenance directory. Provide four electronic copies of the eOMSI Manuals to the Contracting Officer for approval.

Provide eOMSI Facility Data Workbook on compact disks (CD) or data digital versatile disk (DVD) disks in (EXCEL) format. Scan eOMSI Manual Files and eOMSI Facility Data Workbook for viruses, malware, and spyware using a commercially available scanning program that is routinely updated to identify and remove current virus threats.

2.1.1 eOMSI Manual Organization

Organize the eOMSI Manuals into two parts: 1) Product and Drawing Information, and 2) Facility Information. Bookmark the PDF files for easy access to the information.

a. Bookmark Product and Drawing Information documents in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

b. Bookmark Facility Information to at least one level lower than the major system.

2.1.2 eOMSI Manual CD or DVD Disk Label and Disk Holder or Case

Provide disks in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

2.2 eOMSI MANUAL

2.2.1 Product and Drawing Information

Provide an organized record of the facility products, materials, equipment, and minimum information necessary to operate the facility. Provide Product and Drawing Information for the systems in the final constructed facility.

2.2.1.1 O&M Data

As a minimum, provide the approved O&M Data, submitted in the technical specification sections, in accordance with paragraph TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES in Section 01 78 23 OPERATION AND MAINTENANCE DATA.

2.2.1.2 Utility Record Drawings

Using Record Source Drawings, show and document details of the actual installation of the utility systems; annotate and highlight the eOMSI information. Provide Utility Record Drawings in PDF format. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

a. Utility Schematic Diagrams - Provide a one line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the five-foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/ handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

b. Enlarged Connection and Cutoff Plans - Provide enlarged floor plans that provide information between the five foot utility connection point and where utilities connect to facility distribution. Enlarge floor plans/ elevations of the rooms where the utility enters the building and indicate on these plans locations of the main interior and exterior connection and cutoff points for the utilities. Also enlarge floor plans / elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number, of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

2.2.2 Facility Information

Provide the following in Facility Information:

2.2.2.1 Equipment Listing

Provide a table that lists the major equipment shown on the design equipment schedules. Show the item descriptions, locations, model numbers; and the names, addresses, and telephone numbers of the manufacturers, suppliers, contractors, and subcontractors.

2.2.2.2 System Flow Diagrams

Provide a flow diagram indicating system liquid, air or gas flow during normal operations. Integrate the system components into the diagram. A compilation of non-integrated, flow diagrams for the individual system components are not acceptable.

2.2.2.3 Valve List

Provide a list of all valves associated with the system. Show valve type, identification number, function, location and normal operating position.

2.2.2.4 Riser Diagrams

Provide riser diagrams and settings of equipment.

2.3 eOMSI FACILITY DATA WORKBOOK

An initial, pre-edited draft of the Model & Facility Data Matrix tab within the eOMSI Facility Data Workbook is attached to this section. The Government will provide this eOMSI Facility Data Workbook electronically to the Contractor upon award. Add, delete, and update Mastersystems, Systems, and Subsystems that may have changed during construction, or any items that may have been omitted or missed during design, at no additional cost to the Government. Complete the KTR Facility Data File tab based on the selection of Mastersystems, Systems, and Subsystems installed. The following tabs are included in the eOMSI Facility Data File Workbook and serve the purpose stated:

a. Instructions Tab: Instructions for completing Model & Facility Data Matrix Tab and KTR Facility Data File Tab. If a discrepancy exists between what is required in this section and the Workbook, the instructions within the workbook take precedence.

b. Model & Facility Data Matrix Tab: - The Matrix lists Required Facility Asset Fields for each SYSTEM and SUBSYSTEM. The Designer of Record selects SYSTEMS and SUBSYSTEMS that are within the project scope, which the Contractor needs to include and populate in KTR Facility Data File tab. The "Required Facility Asset Field Position Numbers," one through seventeen, are pre-populated, and are not editable.

c. Required Facility Asset Fields Tab: Defines the 17 Required Facility Asset Field Position Numbers used in Model and Facility Data Matrix and KTR Facility Data File tabs.

d. KTR Sample Facility Data File Tab: Sample KTR eOMSI facility data file. This tab provides an example of the mandatory fields of equipment installed by the Contractor, and populated in the KTR eOMSI Facility Data File Tab, along with their descriptions.

e. KTR Facility Data File Tab: Required eOMSI facility data file deliverable provided to the Government. Provide a separate and unique new row for each facility component or piece of equipment installed.

PART 3 EXECUTION

3.1 FIELD VERIFICATION

Field verify eOMSI Facility Data Workbook information with Contractor and Government personnel. Include the following personnel in this meeting: Contractor's eOMSI Manual and Facility Data Workbook Preparer and Quality Control Manager, Commissioning Authority, and the Government's Contracting Officer's Representative and NAVFAC PW FMD. Request, and provide, an eOMSI Field Verification Meeting no sooner than 14 calendar days after submission of the Progress eOMSI submittal, and another, no sooner than 14 calendar days after submission of the Prefinal eOMSI submittal. During this meeting, the Government and Contractor will verify that the eOMSI Facility Data Workbook is complete and accurate.

Field verify that at least 5 Subsystems under each of the Mastersystems are accurate, for a total of 25 Subsystems. For each of these items, verify that the required facility asset field, as defined in the "Model & Facility Data Matrix" tab, contains the specified data and it is accurate (i.e. item description, manufacturer, model no., serial no.). 100 percent accuracy of eOMSI information is required for successful field verification. If data

discrepancies are discovered amongst the 25 Subsystems verified, resubmit an updated eOMSI FDW, and request a make-up field verification meeting. At the make-up field verification meeting 25 new Subsystems and their associated required facility asset fields will be field verified; the 25 new Subsystems must be 100% accurate. Any discrepancies discovered must be corrected prior to next eOMSI Facility Data Workbook Submittal.

(1) D10 - CONVEYING
(2) D20 - PLUMBING
(3) D30 - HVAC
(4) D40 - FIRE PROTECTION
(5) D50 - ELECTRICAL

3.2 eOMSI TRAINING

Provide training on eOMSI Manuals and Facility Data Workbook in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

-- End of Section --

SECTION 01 91 00.15

TOTAL BUILDING COMMISSIONING
05/16

PART 1 GENERAL

1.1 SUMMARY

Commission the building systems listed herein. Employ the services of an independent Commissioning Firm. The Commissioning Firm must be a 1st tier subcontractor of the General or Prime Contractor and must be financially and corporately independent of all other subcontractors. The Commissioning Firm must employ a Lead Commissioning Specialist that coordinates all aspects of the commissioning process. Conform to the commissioning procedures outlined in this specification.

1.2 SYSTEMS TO BE COMMISSIONED

Commission the following systems:

Heating, Ventilating, Air Conditioning, and Refrigeration Systems (HVAC)
Building Automation System
Service Water Heating Systems

Natural Gas and Propane Systems

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 202	(2013; Addenda B 2018) Commissioning Process for Buildings and Systems

ASSOCIATED AIR BALANCE COUNCIL (AABC)

ACG Commissioning Guideline	(2005) Commissioning Guideline

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB Commissioning Standard	(2009) Procedural Standards for Whole Building Systems Commissioning of New Construction; 3rd Edition

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1429	(1994) HVAC Systems Commissioning Manual, 1st Edition

1.4 COMMUNICATION WITH THE GOVERNMENT

The Lead Commissioning Specialist (CxC) must submit all plans, schedules, reports, and documentation directly to the Contracting Officer's Technical Representative concurrent with submission to the QC Manager. The Lead Commissioning Specialist must have direct communication with the Contracting Officer's Technical Representative regarding all elements of the commissioning process; however, the Government has no direct contract authority with the Lead Commissioning Specialist.

1.5 SEQUENCING AND SCHEDULING

1.5.1 Sequencing

Complete Functional Performance Tests of HVAC systems prior to Performance Verification Tests required by Specification Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. Complete the following prior to starting Functional Performance Tests of mechanical systems:

a. All equipment and systems have been completed, cleaned, flushed, disinfected, calibrated, tested, and operate in accordance with contract documents and construction plans and specifications.

b. Testing, Adjusting, and Balancing has been completed and the Testing, Adjusting, and Balancing Report, and all TAB and DALT related submittals prerequisite to the TAB Report, have been submitted and approved in accordance with Specification Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC.

c. The building envelope is enclosed according to contract documents with final construction completed.

d. The Pre-Functional Checklists have been submitted and approved.

e. The Certificate of Readiness for mechanical systems has been submitted and approved.

Complete the following prior to starting Functional Performance Tests of the electrical systems:

a. All electrical, power generation, and lighting equipment and systems have been completed, calibrated, tested, and operate in accordance with contract documents and construction plans and specifications.

b. The building envelope is enclosed according to contract documents with final construction completed.

c. Ceiling tiles, floor coverings, and window coverings are in place.

d. The Certificate of Readiness for electrical systems has been submitted and approved.

1.5.2 Project Schedule

Include the following tasks in the project schedule required by Section 01 32 01.00 10 PROJECT SCHEDULE. Ensure sufficient time is scheduled to accommodate the requirements of this specification section. The order of items listed below is not intended to imply a specified sequence:

a. Submission and approval of the Commissioning Firm and Commissioning Specialist

b. Submission and approval of the Testing, Adjusting, and Balancing (TAB) Firm and TAB Specialist specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC

c. Submission of the Design Review Report specified herein.

d. Submission of the Design Review Report specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC.

e. Submission and approval of the Construction Phase Commissioning Plan

f. Installation of permanent utilities (gas, water, electric)

l. Manufacturer's Equipment Start-Up for each of the systems to be commissioned.

t. Testing, Adjusting, and Balancing (TAB) Field Work required by Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC

u. Submission and approval of the TAB Report specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC

v. TAB Field Acceptance Testing required by Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC

w. Submission and approval of the Start-Up Testing Report specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

aa. Pre-Functional Checklist Submittal

bb. Functional Performance Testing for each system to be commissioned

gg. Training for each of the systems to be commissioned

1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Commissioning Firm; G

Lead Commissioning Specialist; G

Technical Commissioning Specialists; G

SD-06 Test Reports

Design Review Report; G

Interim Construction Phase Commissioning Plan; G

Final Construction Phase Commissioning Plan; G S

Pre-Functional Checklists; G

Issues Log

Commissioning Report; G

SD-07 Certificates

Certificate of Readiness; G

SD-10 Operation and Maintenance Data

Training Plan; G

Training Attendance Rosters; G
Systems Manual
SD-11 Closeout Submittals

Final Commissioning Report; S

1.7 COMMISSIONING FIRM

Provide a Commissioning Firm that is certified in commissioning by one of the following: the AABC Commissioning Group (ACG); the National Environmental Balancing Bureau (NEBB); the International Certification Board/Testing, Adjusting, and Balancing Bureau (ICB/TABB), the Building Commissioning Association (BCA); the Association of Energy Engineers (AEE). The Commissioning Firm must be certified in all systems to be commissioned to the extent such certifications are available from the certifying body. Describe any lapses in certification or disciplinary action taken by the certifying body against the proposed Commissioning Firm or Lead Commissioning Specialist in detail. Any firm or commissioning professional that has been the subject of disciplinary action by the certifying body within the five years preceding contract award is not eligible to perform any duties related to commissioning.

a. Submit the Commissioning Firm's certification of qualifications including the name of the firm and certifications no later than 30 calendar days after Notice to Proceed. Submit one hard copy and an electronic copy.

b. The Commissioning Firm's and Commissioning Specialists' certifications must be maintained for the entire duration of the duties specified herein. If, for any reason, the firm or a specialist loses a certification during this period, immediately notify the Contracting Officer's Technical Representative and submit another Commissioning Firm or Commissioning Specialist for approval. All work specified in this specification section performed by the Commissioning Firm or associated Commissioning Specialists is invalid if the Commissioning Firm or Commissioning Specialist loses its certification prior to contract completion and must be performed by an approved successor.

c. The Commissioning Firm must oversee and assist the General or Prime Contractor with the work specified herein.

1.7.1 Lead Commissioning Specialist

The Commissioning Firm must provide a Lead Commissioning Specialist (CxC)

that has a minimum of five years of commissioning experience, including two projects of similar size and complexity, and that is one of the following: a NEBB qualified Systems Commissioning Administrator (SCA); ACG Certified Commissioning Authority (CxA); ICB/TABB Certified Commissioning Supervisor; BCA Certified Commissioning Professional (CCP); AEE Certified Building Commissioning Professional (CBCP); University of Wisconsin-Madison Qualified Commissioning Process Provider (QCxP); ASHRAE Commissioning Process Management Professional (CPMP).

a. Submit the Lead Commissioning Specialist's certification of qualifications including the name of the specialist and firm; certifications; years of experience; and a listing of representative projects of similar size and complexity no later than 30 calendar days after Notice to Proceed. Submit one hard copy and an electronic copy.

b. The Lead Commissioning Specialists certifications must be maintained for the entire duration of the duties specified herein. If, for any reason, the specialist loses a certification during this period, immediately notify the Contracting Officer's Technical Representative and submit another Lead Commissioning Specialist for approval. All work specified in this specification section to be performed by the Lead Commissioning Specialist is invalid if the Lead Commissioning Specialist loses its certification prior to contract completion and must be performed by an approved successor.

c. The Lead Commissioning Specialist must lead and oversee the commissioning work specified herein and be the primary point of contact for the Government regarding the commissioning work.

1.7.2 Technical Commissioning Specialists

Technical Commissioning Specialists, employed by the Commissioning Firm and that have the following qualifications, must perform the technical work specified herein associated with each system to be commissioned:

a. The technical work associated with mechanical systems including ; Building Automation System; ; Service Water Heating Systems; Plumbing Systems; must be performed by a Commissioning Specialist certified by NEBB, ACG, ICB/TABB, AEE, University of Wisconsin-Madison, ASHRAE, or BCA in the commissioning of HVAC systems with five years of experience in the commissioning of HVAC systems.

b. Submit the Technical Commissioning Specialist's certification of qualifications including the name of the specialist and firm; certifications; years of experience; and a listing of representative projects of similar size and complexity no later than 30 calendar days after Notice to Proceed. Submit one hard copy and an electronic copy.

1.7.3 Commissioning Standard

Comply with the requirements of the commissioning standard under which the Commissioning Firm and Specialists qualifications are approved. When the firm and specialists are certified by BCA, AEE, ASHRAE, or the University of Wisconsin-Madison, comply with the requirements of one of the acceptable standards unless otherwise stated herein. The acceptable standards are ACG Commissioning Guideline, NEBB Commissioning Standard, SMACNA 1429, or ASHRAE 202. Comply with applicable NETA and NICET testing standards for electrical systems.

a. Implement all recommendations and suggested practices contained in the Commissioning Standard and electrical test standards.

b. Use the Commissioning Standard for all aspects of Commissioning, including calibration of instruments.

c. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the Commissioning Standard, adhere to the manufacturer calibration recommendations.

d. All quality assurance provisions of the Commissioning Standard such as performance guarantees are part of this contract.

e. The Commissioning Specialists must develop commissioning procedures for any systems or system components not covered in the Commissioning Standard.

f. Use any new requirements, recommendations, and procedures published or adopted prior to contract solicitation by the body responsible for the Commissioning Standard.

1.8 GOVERNMENT ACCEPTANCE ENGINEER

A Government Acceptance Engineer will perform many of the Government Quality Assurance functions for the project including review of select submittals, plans, procedures, and reports and inspection and testing of systems. The Government Acceptance Engineer will participate in TAB Field Acceptance Testing and Performance Verification Tests. Coordinate submittal transmission and testing schedules with the Contracting Officer's Technical Representative and the Government Acceptance Engineer.

1.9 ISSUES LOG

The Lead Commissioning Specialist must develop and maintain an Issues Log for tracking and resolution of all deficiencies discovered through commissioning review, inspection, and testing. Include the date of final resolution of issues as confirmed by the Commissioning Specialist. Submit the Issues Log to the Contracting Officer's Technical Representative on a monthly basis at a minimum, and provide an electronic copy to the Government Acceptance Engineer concurrently. At any point during construction, any commissioning team member finding deficiencies may communicate those deficiencies in writing to the Commissioning Specialist for inclusion into the Issues Log.

Track construction deficiencies identified in the Issues Log in accordance with the Quality Control Plan required by Specification Section 01 45 00.00 20 QUALITY CONTROL.

1.10 CERTIFICATE OF READINESS

Prior to scheduling Functional Performance Tests for each system, issue a Certificate of Readiness for the system certifying that the system is ready for Functional Performance Testing. The Certificate of Readiness must include, for each system to be commissioned, all equipment and system start-up reports; completed Pre-Functional Checklists; Testing, Adjusting, and Balancing (TAB) Report; HVAC Controls Start-Up Reports to the extent applicable to the system. The Contractor; the Lead Commissioning Specialist; the Contractor's Quality Control Representative; the Mechanical, Electrical, Controls, and TAB subcontractor representatives

must sign and date the Certificate of Readiness. Submit the Certificate of Readiness for each system no later than 14 calendar days prior to Functional Performance Tests of that system. Submit one hard copy and an electronic copy. Do not schedule Functional Performance Tests for a system until the Certificate of Readiness for that system receives approval by the Government.

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

3.1 CONSTRUCTION PHASE

3.1.1 Construction Commissioning Coordination Meeting

The Lead Commissioning Specialist must lead a Construction Commissioning Coordination Meeting no later than 14 days after approval of the Commissioning Firm and Commissioning Specialists to discuss the commissioning process including contract requirements, lines of communication, roles and responsibilities, schedules, documentation requirements, inspection and test procedures, and logistics as specified in this specification section. The Contractor's Superintendent or Project Manager, the Contractor's Quality Control Representative, and the Government must attend this meeting. Invite the User and a Public Works Division Representative, to attend this meeting.

3.1.2 Construction Phase Commissioning Plan

3.1.2.1 Interim Construction Phase Commissioning Plan

The Lead Commissioning Specialist (CxC) must prepare the Interim Construction Phase Commissioning Plan. Submit the Interim Construction Phase Commissioning Plan no later than 30 calendar days after the Construction Commissioning Coordination Meeting and no later than 14 days prior to the start of construction of the building envelope. Submit one hard copy and an electronic copy.

Identify the commissioning and testing standards and outline the overall commissioning process, the commissioning schedule, the commissioning team members and responsibilities, lines of communication, documentation requirements for the construction phase of the project in the Interim Construction Phase Commissioning Plan.

3.1.2.1.1 Checklists

Download example Pre-Functional Checklists, Functional Performance Test Checklists for specification section 01 91 00.15 TOTAL BUILDING COMMISSIONING at the following location: http://www.wbdg.org/FFC/NAVGRAPH/graphtoc.pdf. The checklists submitted in the Interim and Final Construction Phase Commissioning Plans must contain the same level of detail shown in the examples. The submitted checklists are not required to match the format of the examples.

3.1.2.1.2 Contents

In addition, include the following in the Interim Construction Phase Commissioning Plan:

a. Plan purpose

b. Commissioning scope

c. Systems to be commissioned

d. Examples and description of development of pre-functional, and functional performance test checklists

e. Building information

f. Contact information for the Commissioning Specialists, the Government Acceptance Engineer, the Contracting Officer's Technical Representative, and the Commissioning Team listed in paragraph Commissioning Team

g. Roles and responsibilities

h. Management plan

i. Owner's Project Requirements

j. Basis of Design

k. Description of design reviews by the Commissioning Specialists

l. Description of design review by Acceptance Engineer

m. Description and templates for site observation reports and the issues log

n. Listing and description of required meetings

o. Identification and sequence of commissioning and acceptance tasks for incorporation into the Project Schedule

p. Listing of required submittals to Government, Government Acceptance Engineer, and Commissioning Specialists

q. Description of execution of pre-functional checks, and functional performance tests

r. Description of Endurance Tests

s. Acceptance testing of critical systems as identified in contract specifications

t. Operation and maintenance manual requirements

u. Description of training requirements

v. Description of required Systems Manual

w. Description of the Commissioning Report

3.1.2.2 Final Construction Phase Commissioning Plan

The Lead Commissioning Specialist (CxC) must prepare the Final Construction

Phase Commissioning Plan. Submit the Final Construction Phase Commissioning Plan no later than 30 calendar days prior to the start of Pre-Functional Checks. Submit hard copy and an electronic copy.Once approved, file the approved plan in the Sustainability eNotebook.

Include the information provided in the Interim Construction Phase Commissioning Plan. In addition, the Technical Commissioning Specialist must develop the Pre-Functional Checklists, and Functional Performance Test Checklists for each building, for each system required to be commissioned, and for each component for inclusion in the Final Construction Phase Commissioning Plan.

3.1.2.2.1 Pre-Functional Checklists

The Pre-Functional Checklists must include items for physical inspection or testing that demonstrate that installation and start-up of equipment and systems is complete. See paragraph Pre-Functional Checks for more information. Functional Performance test procedures must explain, step-by-step, the actions and expected results that will demonstrate that the system performs in accordance with the contract in the Functional Performance Test Checklists. See paragraph Functional Performance Tests for more information.

3.1.2.2.2 Functional Performance Test Checklists

Functional Performance Test Checklists must include procedures that explain, step-by-step, the actions and expected results that will demonstrate that the system performs in accordance with the contract. See paragraph Functional Performance Tests for more information. Include the following sections and details appropriate to the systems being tested in the Functional Performance Test Checklists:

a. Notable system features including information about such attributes as system sizing and controls to facilitate understanding of system operation

b. Conclusions and recommendations based on control system feature, point-to-point, actuator, and system operation observations. Conclusions must clearly indicate if system does or does not perform in accordance with contract requirements. Recommendation must clearly indicate that the system should or should not be accepted by the Government.

c. Test conditions including date, beginning and ending time, and beginning and ending outdoor air conditions

d. Attendees present throughout the entire system test

e. Identification of the equipment involved in the test

f. Control system feature identification including control point description, embedded/visible type, adjustable/monitoring type, actual value, and setpoint value/alarm range

g. Point-to-point observations including demonstrating system flow meters and sensors have been calibrated and are correctly displayed on the Operator work station

h. Actuator operation observations demonstrating actuator responses to

commands from the control system

i. System operation observations for system-based tests demonstrating each control algorithm, operation mode, and alarm condition resulting from control point(s) manipulation. System operation observations must contain the following:

(1) introduction identifying testing methodology

(2) as-found conditions prior to control point(s) manipulation

(3) clear list of test items (step numbers)

(4) control algorithm (design control sequence) segmented by unique functions

(5) intended test procedures following each segmented control algorithm identifying control point(s) required to be manipulated to initiate system response

(6) expected system response

(7) space for comments for each test item complete including resulting control signal such as 0-volts, 10-volts, active, or inactive

(8) pass or fail indication for each test item

3.1.3 Design Review

The Lead Commissioning Specialist and Technical Commissioning Specialists must review the construction contract plans and specifications, the Owner's Project Requirements, and the Basis of Design. The Owner's Project Requirements are attached as Appendix A. The Basis of Design is attached as Appendix B. The Owner's Project Requirements and Basis of Design documents are not contract documents and are provided for commissioning review purposes only.

a. Advise the Contracting Officer's Technical Representative of any discrepancies between the Basis of Design and Owner's Project Requirements, deficiencies of the design to comply with the Owner's Project Requirements or Basis of Design, and deficiencies that would prevent the building systems and features from operating or performing effectively and from being adequately maintainable.

b. The Commissioning Specialists must provide a Design Review Report individually listing each deficiency and the corresponding proposed corrective action necessary for proper system operation or performance. Submit one hard copy and an electronic copy of the report to the Contracting Officer's Technical Representative no later than 14 days after approval of the Commissioning Specialists.

c. The Lead Commissioning Specialist must participate in a meeting to discuss any items contained in the report no later than 14 calendar days after submission of the report.

3.1.4 Construction Submittals

Provide all submittals associated with the systems to be commissioned, including shop drawings; equipment submittals; test plans, procedures, and

reports; and resubmittal's to the Commissioning Specialists. The Technical Commissioning Specialist must review the submittals to the extent necessary verify that the equipment and system installation will comply with the contract requirements and the requirements of the Basis of Design and the Owner's Project Requirements.

3.1.5 Inspection and Testing

Demonstrate that all system components have been installed, that each control device and item of equipment operates, and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents and the Owner's Project Requirements. Requirements in related specification sections are independent from the requirements of this section and do not satisfy any of the requirements specified in this specification section. Provide all materials, services, and labor required to perform the Pre-Functional Checks and Functional Performance Tests.

3.1.5.1 Commissioning Team

Provide a commissioning representative for each sub-contractor associated with the systems to be commissioned. Each commissioning representative is responsible for coordination of their respective sub-contractor's execution of the commissioning activities and participation in the inspection and testing required by this specification section. The designers listed below are the designers of record for their respective systems. Substitutes must be approved by the Contracting Officer's Technical Representative.

3.1.5.1.1 Mechanical System Pre-Functional Checks Team

The following team members must participate in Pre-Functional checks of mechanical systems:

Designation	Function
CxM	Mechanical System Technical Commissioning Specialist
QAR	Contracting Officer's Quality Assurance Representative
CQC	Contractor's Quality Control Personnel
MC	Contractor's Mechanical Commissioning Representative
EC	Contractor's Electrical Commissioning Representative
CC	Contractor's Controls Commissioning Representative
TABC	Contractor's TAB Commissioning Representative
PC	Contractor's Plumbing Commissioning Representative
IC	Contractor's Irrigation Commissioning Representative

3.1.5.1.2 Mechanical Systems Test Team

The following team members must participate in Functional Performance Testing of mechanical systems:

Designation	Function
CxM	Mechanical System Technical Commissioning Specialist
QAR	Contracting Officer's Quality Assurance Representative
CQC	Contractor's Quality Control Personnel
MC	Contractor's Mechanical Commissioning Representative
EC	Contractor's Electrical Commissioning Representative
CC	Contractor's Controls Commissioning Representative
TABC	Contractor's TAB Commissioning Representative
PC	Contractor's Plumbing Commissioning Representative
IC	Contractor's Irrigation Commissioning Representative

3.1.5.1.3 Other Pre-Functioanl and Functional Performance Participants

The following may participate as team members during Pre-Functional Checks and Functional Performance Testing:

Designation	Function
PWD	Public Works Division Representative
User	Using Agent's Representative

3.1.5.2 Pre-Functional Checks

Pre-Functional Checklists from the approved Final Construction Phase Commissioning Plan must be completed by the commissioning team. Complete one Pre-Functional Checklist for each individual item of equipment or system for each system required to be commissioned including, but not limited to, ductwork, piping, equipment, fixtures (lighting and plumbing), and controls. Indicate commissioning team member inspection and acceptance of each Pre-Functional Checklist item by initials. Acceptance of each

Pre-Functional Checklist item by each team member indicates that item conforms to the construction contract requirements in their area of responsibility. Technical Commissioning Specialist acceptance of each Pre-Functional Checklist item indicates that each item has been installed correctly and in accordance with contract documents and the Owner's Project Requirements. Submit the completed and initialed Pre-Functional Checklists no later than 7 calendar days after completion of inspection of all checklists items for each system. Submit one hard copy and an electronic copy. Include manufacturer start-up checklists associated with equipment with the submission of the Pre-Functional Checklists.

3.1.5.3 Tests

3.1.5.3.1 Functional Performance Tests

Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Functional Performance Tests.

a. Technical Commissioning Specialists must lead and document all Functional Performance Tests for the systems to be commissioned with the Contractor and appropriate sub-contractors performing the Functional Performance Tests. The representatives listed in the paragraph Commissioning Team must attend the tests. Abort Functional Performance Tests when any required commissioning team member is not present for the test.

3.1.5.3.1.1 Checklist

Use the Functional Performance Test Checklists from the approved Final Construction Phase Commissioning Plan to guide the Functional Performance Tests. Functional Performance Tests must be performed for each item of equipment and each system required to be commissioned and verify all sensor calibrations, control responses, safeties, interlocks, operating modes, sequences of operation, capacities, lighting levels, and all other performance requirements comply with construction contract regardless of the specific items listed within the Functional Performance Test Checklists provided. Testing must progress from equipment or components to subsystems to systems to interlocks and connections between systems. The order of components and systems to be tested must be determined by the Technical Commissioning Specialists.

3.1.5.3.1.2 Acceptance

Indicate acceptance of each item of equipment and systems tested by signature of each commissioning team member for each Functional Performance Test . The Contractor's Quality Control Representative and the Technical Commissioning Specialists must indicate acceptance after the equipment and systems are free of deficiencies.

3.1.5.3.2 HVAC Test Methods

Perform Functional Performance Tests in accordance with the following:

3.1.5.3.2.1 Prior to Testing

Prior to system testing, complete control system feature, point-to-point,

and actuator observations.

3.1.5.3.2.2 Simulating Conditions

Over-writing control input (actual) values through the controls system is not acceptable, unless approved by the Contracting Officer's Technical Representative. Identify proposed exceptions in a protocol submitted to the Contracting Officer's Technical Representative for approval. Before simulating conditions, overwriting values (if approved), or changing set-points, calibrate all sensors, transducers and devices. Below are several examples of exceptions that would be considered acceptable:

a. When varying static pressures inside ductwork can not be simulated within the duct, and where a sensor signals the controls system to initiate sequences at various duct static pressures, it is acceptable to simulate the various pressures with a Pneumatic Squeeze-Bulb Type Signaling Device with gauge temporarily attached to the sensing tube leading to the transmitter. It is not acceptable to reset the various set-points, nor to simulate an electric analog signal (unless approved as noted above).

b. Dirty filter pressure drops can be simulated using sheets of cardboard at filter face.

c. Freeze-stat safeties can be simulated by packing portion of sensor with ice.

d. High outside air temperatures can be simulated with a hair blower.

e. High entering cooling coil temperatures can be used to simulate entering cooling coil conditions.

f. Do not use signal generators to simulate sensor signals unless approved by the Contracting Officer's Technical Representative, as noted above, for special cases.

g. Control set points can be altered. For example, to see the air conditioning compressor lockout work at an outside air temperature below 55 degrees F, when the outside air temperature is above 55 degrees F, temporarily change the lockout set point to be 0 degrees F above the current outside air temperature. Caution: Set points are not to be raised or lowered to a point such that damage to the components, systems, or the building structure and/or contents will occur.

h. Test duct mounted smoke detectors in accordance with the manufacturer's recommendations. Perform the tests with air system at minimum airflow condition in ductwork.

i. Test current sensing relays used for fan and pump status signals to control system to indicate unit failure and run status by resetting the set point on the relay to simulate a lost belt or unit failure while the unit is running. Confirm that the failure alarm was generated and received at the control system. After the test is conducted, return the set point to its original set-point or a set-point as indicated by the Contracting Officer's Technical Representative.

3.1.5.3.2.3 Setup

Perform each test under conditions that simulate actual conditions as close

as is practically possible. Provide all necessary materials and system modifications to produce the necessary flows, pressures, temperatures, and other conditions necessary to execute the test according to the specified conditions. At completion of the test, return the affected building equipment and systems to their pre-test condition.

3.1.5.3.3 Sample Strategy

Prepare and complete a Functional Performance Test Checklist for each item of equipment or system to be tested. All equipment shall be tested.

3.1.5.3.4 Re-Testing

3.1.5.3.4.1 100 Percent Sample

Systems or equipment for which 100 percent sample size are tested fail if one or more of the test procedures results in discovery of a deficiency and the deficiency cannot be resolved within 5 minutes during the test.

Re-test to the extent necessary to confirm that the deficiencies have been corrected without negatively impacting the performance of the rest of the system.

3.1.6 Training Plan

The Technical Commissioning Specialists must develop training plans which identify all training required by specification sections associated with commissioned systems. Include a matrix listing each training requirement, content of the training, the trainer name, trainer contact information, and schedule and location of training. Submit one hard copy and an electronic copy of the Training Plan to the Commissioning Specialists and the Government no later than 30 calendar days prior to the associated training.

Document training attendance using training attendance rosters and provide completed attendance rosters to the Commissioning Specialists and the Government no later than 7 calendar days following the completion of training for each system to be commissioned. Submit one hard copy and an electronic copy..

3.1.7 Systems Manual

The Technical Commissioning Specialists must prepare and submit Systems Manual including, for all commissioned systems, the Basis of Design, system single line diagrams, as-built sequences of operation and controls drawings, as-built control setpoints, recommended schedule for sensor and actuator calibration, recommended schedule of maintenance when not in the O&M manuals, recommended re-testing schedule with proposed testing forms, and full equipment warranty information. Update and resubmit the Systems Manual based on any corrective action taken during the warranty period.

Submit Systems Manual no later than 30 calendar days following completion of Functional Performance Tests. Submit three hard copies and an electronic copy.

3.2 COMMISSIONING REPORT

Following the completion of Functional Performance Tests, with the exception of Seasonal Tests, and following the Endurance Tests the Lead Commissioning Specialist must prepare a Commissioning Report.

a. Include an executive summary describing the overall commissioning process, the results of the commissioning process, any outstanding deficiencies and recommended resolutions, and any seasonal testing that must be scheduled for a later date. Indicate, in the executive summary, whether the systems meet the requirements of the construction contract and the Owner's Project Requirements.

b. Detail any deficiencies discovered during the commissioning process and the corrective actions taken in the report. Include the completed Pre-Functional Checklists, Functional Performance Test Checklists, the Endurance Test Report, the Commissioning Plans, the Issues Log, Training Attendance Rosters, the Design Review Report, the final TAB Report.

c. Submit the Commissioning Report no later than 14 calendar days following commissioning team acceptance of all Functional Performance Tests with the exception of Seasonal Tests and following completion of the Endurance Test. Submit three hard copies and an electronic copy.

d. Following any Seasonal Tests or Post-Construction Activities, update the Final Commissioning Report to reflect any changes and resubmit. File the approved, updated, Final Commissioning Report in the Sustainability eNotebook.

-- End of Section --

SECTION 02 41 00

DEMOLITION
05/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 145	(1991; R 2012) Standard Specification for Classification of Soils and Soil-Aggregate Mixtures for Highway Construction Purposes
AASHTO T 180	(2017) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSE/SAFE A10.6	(2006) Safety Requirements for Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous Air Pollutants

1.2 PROJECT DESCRIPTION

1.2.1 Demolition/Deconstruction Plan

Prepare a Demolition Plan and submit proposed demolition, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress. Identify components and materials to be salvaged for reuse or recycling with reference to paragraph Existing Facilities to be Removed. Append tracking forms for all removed materials indicating type, quantities, condition, destination, and end use. Coordinate with Waste Management Plan. Provide procedures for safe conduct of the work in accordance with EM 385-1-1. Plan shall be approved by Contracting Officer prior to work beginning.

1.2.2 General Requirements

Do not begin demolition or deconstruction until authorization is received from the Contracting Officer. The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials. Remove rubbish and debris from the project site. In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government. Repair or replace damaged items as approved by the Contracting Officer. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove snow, dust, dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Trees

Protect trees within the project site which might be damaged during demolition or deconstruction, and which are indicated to be left in place, by a 6 foot high fence. Erect and secure fence a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Replace any tree designated to remain that is damaged during the work under this contract with like-kind or as approved by the Contracting Officer.

1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations

1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved

barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors, roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted. Where burning is permitted, adhere to federal, state, and local regulations.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Demolition Plan; G
Existing Conditions

SD-07 Certificates

Notification; G

1.6 QUALITY ASSURANCE

Submit timely notification of demolition projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSE/SAFE A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

1.6.1 Dust and Debris Control

Prevent the spread of dust and debris and avoid the creation of a nuisance in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution.

1.7 PROTECTION

1.7.1 Traffic Control Signs

a. Where pedestrian and driver safety is endangered in the area of

removal work, use traffic barricades with flashing lights. Notify the Contracting Officer prior to beginning such work.

1.8 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items as approved by the Contracting Officer.

1.9 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs sized 4 inch will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to before starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results.

PART 2 PRODUCTS

2.1 FILL MATERIAL

a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures. Fill material shall be waste products from demolition or deconstruction until all waste appropriate for this purpose is consumed.

b. Fill material shall conform to the definition of satisfactory soil material as defined in AASHTO M 145, Soil Classification Groups A-1, A-2-4, A-2-5 and A-3. In addition, fill material shall be free from roots and other organic matter, trash, debris, frozen materials, and stones larger than 2 inches in any dimension.

c. Proposed fill material must be sampled and tested by an approved soil testing laboratory, as follows:

Soil classification	AASHTO M 145
Moisture-density relations	AASHTO T 180, Method B or D

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Existing construction scheduled to be removed for reuse shall be disassembled. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for

reuse, remanufacture, recycling, or other disposal, as specified. Materials shall be designated for reuse onsite whenever possible.

3.1.1 Utilities and Related Equipment

3.1.1.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.1.2 Disconnecting Existing Utilities

Remove existing utilities , as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area.

3.1.2 Paving and Slabs

Remove sawcut concrete and asphaltic concrete paving and slabs as indicated to a depth of 12 inches below new finish grade. Provide neat sawcuts at limits of pavement removal as indicated. Pavement and slabs designated to be recycled and utilized in this project shall be moved, ground and stored as directed by the Contracting Officer. Pavement and slabs not to be used in this project shall be removed from the Installation at Contractor's expense.

3.1.3 Roofing

Remove built-up roofing to effect the connections with new flashing or roofing. Remove gravel surfacing from existing roofing felts for a minimum distance of 18 inches back from the cut. Remove gravel without damaging felts. Cut existing felts and insulation along straight lines. Remove roofing system and insulation without damaging the roof deck. Sequence work to minimize building exposure between demolition or deconstruction and new roof materials installation.

3.1.3.1 Reroofing

When removing the existing roofing system from the roof deck, remove only as much roofing as can be recovered by the end of the work day, unless approved otherwise by the Contracting Officer. Do not attempt to open the roof covering system in threatening weather. Reseal all openings prior to suspension of work the same day.

3.1.4 Concrete

Saw concrete along straight lines to a depth of a minimum 2 inch. Break out the remainder of the concrete provided that the broken area is concealed in the finished work, and the remaining concrete is sound. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through the concrete.

3.1.5 Miscellaneous Metal

Turn over all scrap metal to the Government. Place scrap metal in 40 cubic yard dumpsters delivered to the project site and removed from the project site by the government. Cut all scrap metal to enable fitting in 7 foot wide 40 cubic yard dumpsters.

3.2 CONCURRENT EARTH-MOVING OPERATIONS

Do not begin excavation, filling, and other earth-moving operations that are sequential to demolition or deconstruction work in areas occupied by structures to be demolished or deconstructed until all demolition and deconstruction in the area has been completed and debris removed. Fill holes, open basements and other hazardous openings.

3.3 DISPOSITION OF MATERIAL

3.3.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition, deconstruction, and removal procedures, and authorization by the Contracting Officer to begin demolition and deconstruction. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.3.2 Salvaged Materials and Equipment

Remove materials and equipment that are listed in the Demolition Plan to be removed by the Contractor and that are to remain the property of the Government, and keep on site.

a. Salvage items and material to the maximum extent possible.

b. Store all materials salvaged for the Contractor as approved by the Contracting Officer and remove from Government property before completion of the contract. On site sales of salvaged material is prohibited.

c. Remove salvaged items to remain the property of the Government in a manner to prevent damage. Items damaged during removal or storage must be repaired or replaced to match existing items.

3.4 CLEANUP

Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.5 DISPOSAL OF REMOVED MATERIALS

3.5.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified.

3.5.2 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal disposal. Dispose of waste soil as directed.

3.6 REUSE OF SALVAGED ITEMS

Recondition salvaged materials and equipment designated for reuse before installation. Replace items damaged during removal and salvage operations or restore them as necessary to usable condition.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 02 82 00

ASBESTOS REMEDIATION
11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP Z9.2	(2018) Fundamentals Governing the Design and Operation of Local Exhaust Ventilation Systems

ASTM INTERNATIONAL (ASTM)

ASTM C732	(2006; R 2012) Aging Effects of Artificial Weathering on Latex Sealants
ASTM D4397	(2016) Standard Specification for Polyethylene Sheeting for Construction, Industrial, and Agricultural Applications
ASTM E119	(2018) Standard Test Methods for Fire Tests of Building Construction and Materials
ASTM E1368	(2014) Visual Inspection of Asbestos Abatement Projects
ASTM E736/E736M	(2017) Standard Test Method for Cohesion/Adhesion of Sprayed Fire-Resistive Materials Applied to Structural Members
ASTM E84	(2018a) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2016) Standard Test Methods for Water Vapor Transmission of Materials

COMPRESSED GAS ASSOCIATION (CGA)

CGA G-7	(2014) Compressed Air for Human Respiration; 6th Edition

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)

ANSI/ISEA Z87.1	(2015) Occupational and Educational Personal Eye and Face Protection Devices

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 701	(2019) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films

NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH (NIOSH)

NIOSH NMAM	(2016; 5th Ed) NIOSH Manual of Analytical Methods

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA 340/1-90/018	(1990) Asbestos/NESHAP Regulated Asbestos Containing Materials Guidance
EPA 560/5-85-024	(1985) Guidance for Controlling Asbestos-Containing Materials in Buildings (Purple Book)

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1926.103	Respiratory Protection
29 CFR 1926.1101	Asbestos
29 CFR 1926.200	Accident Prevention Signs and Tags
29 CFR 1926.51	Sanitation
29 CFR 1926.59	Hazard Communication
40 CFR 61-SUBPART A	General Provisions
40 CFR 61-SUBPART M	National Emission Standard for Asbestos
40 CFR 763	Asbestos
42 CFR 84	Approval of Respiratory Protective Devices
49 CFR 107	Hazardous Materials Program Procedures
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)

ND OPNAVINST 5100.23	(2005; Rev G) Navy Occupational Safety and Health (NAVOSH) Program Manual

UNDERWRITERS LABORATORIES (UL)

UL 586	(2009; Reprint Dec 2017) UL Standard for Safety High-Efficiency Particulate, Air Filter Units

1.2 DEFINITIONS

1.2.1 ACM

Asbestos Containing Materials.

1.2.2 Amended Water

Water containing a wetting agent or surfactant with a maximum surface tension of 0.00042 psi.

1.2.3 Area Sampling

Sampling of asbestos fiber concentrations which approximates the concentrations of asbestos in the theoretical breathing zone but is not actually collected in the breathing zone of an employee.

1.2.4 Asbestos

The term asbestos includes chrysotile, amosite, crocidolite, tremolite asbestos, anthophyllite asbestos, and actinolite asbestos and any of these minerals that has been chemically treated or altered. Materials are considered to contain asbestos if the asbestos content of the material is determined to be at least one percent.

1.2.5 Asbestos Control Area

That area where asbestos removal operations are performed which is isolated by physical boundaries which assist in the prevention of the uncontrolled release of asbestos dust, fibers, or debris.

1.2.6 Asbestos Fibers

Those fibers having an aspect ratio of at least 3:1 and longer than 5 micrometers as determined by National Institute for Occupational Safety and Health (NIOSH) Method 7400.

1.2.7 Asbestos Permissible Exposure Limit

0.1 fibers per cubic centimeter of air as an 8-hour time weighted average measured in the breathing zone as defined by 29 CFR 1926.1101 or other Federal legislation having legal jurisdiction for the protection of workers health.

1.2.8 Authorized Person

Any person authorized by the Contractor and required by work duties to be

present in the regulated areas.

1.2.9 Background

The ambient airborne asbestos concentration in an uncontaminated area as measured prior to any asbestos hazard abatement efforts. Background concentrations for other (contaminated) areas are measured in similar but asbestos free locations.

1.2.10 Competent Person (CP)

A person meeting the requirements for competent person as specified in 29 CFR 1926.1101 including a person capable of identifying existing asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, who has the authority to take prompt corrective measures to eliminate them, and is specifically trained in a training course which meet the criteria of EPA's Model Accreditation Plan (40 CFR 763) for project designer or supervisor, or its equivalent. The competent person must have a current State of Rhode Island asbestos contractors or supervisors license.

1.2.11 Contractor

The Contractor is that individual, or entity under contract to perform the herein listed work.

1.2.12 Disposal Bag

A 6 mil thick, leak-tight plastic bag, pre-labeled in accordance with 29 CFR 1926.1101, used for transporting asbestos waste from containment to disposal site.

1.2.13 Disturbance

Activities that disrupt the matrix of ACM, crumble or pulverize ACM, or generate visible debris from ACM. Disturbance includes cutting away small amounts of ACM, no greater than the amount which can be contained in one standard sized glovebag or waste bag, not larger than 60 inches in length and width in order to access a building component.

1.2.14 Encapsulation

The abatement of an asbestos hazard through the appropriate use of chemical encapsulants.

1.2.15 Encapsulants

Specific materials in various forms used to chemically or physically entrap asbestos fibers in various configurations to prevent these fibers from becoming airborne. There are four types of encapsulants as follows which must comply with performance requirements as specified herein.

a. Removal Encapsulant (can be used as a wetting agent)

b. Bridging Encapsulant (used to provide a tough, durable surface coating to asbestos containing material)

c. Penetrating Encapsulant (used to penetrate the asbestos containing material encapsulating all asbestos fibers and preventing fiber release

due to routine mechanical damage)

d. Lock-Down Encapsulant (used to seal off or "lock-down" minute asbestos fibers left on surfaces from which asbestos containing material has been removed).

1.2.16 Friable Asbestos Material

A term defined in 40 CFR 61-SUBPART M and EPA 340/1-90/018 meaning any material which contains more than 1 percent asbestos, as determined using the method specified in 40 CFR 763, Polarized Light Microscopy (PLM), that when dry, can be crumbled, pulverized, or reduced to powder by hand pressure.

1.2.17 Glovebag Technique

Those asbestos removal and control techniques put forth in 29 CFR 1926.1101.

1.2.18 Government Consultant (GC)

That qualified person employed directly by the Government to monitor, sample, inspect the work or in some other way advise the Contracting Officer. The GC is normally a private consultant, but can be an employee of the Government.

1.2.19 HEPA Filter Equipment

High efficiency particulate air (HEPA) filtered vacuum and exhaust ventilation equipment with a filter system capable of collecting and retaining asbestos fibers. Filters must retain 99.97 percent of particles 0.3 microns or larger as indicated in UL 586.

1.2.20 Model Accreditation Plan (MAP)

USEPA training accreditation requirements for persons who work with asbestos as specified in 40 CFR 763.

1.2.21 Negative Pressure Enclosure (NPE)

That engineering control technique described as a negative pressure enclosure in 29 CFR 1926.1101.

1.2.22 NESHAP

National Emission Standards for Hazardous Air Pollutants. The USEPA NESHAP regulation for asbestos is at 40 CFR 61-SUBPART M.

1.2.23 Nonfriable Asbestos Material

Material that contains asbestos in which the fibers have been immobilized by a bonding agent, coating, binder, or other material so that the asbestos is well bound and will not normally release asbestos fibers during any appropriate use, handling, storage or transportation. It is understood that asbestos fibers may be released under other conditions such as demolition, removal, or mishap.

1.2.24 Permissible Exposure Limits (PELs)

1.2.24.1 PEL-Time Weighted Average(TWA)

Concentration of asbestos not in excess of 0.1 fibers per cubic centimeter of air (f/cc) as an 8-hour time weighted average (TWA).

1.2.24.2 PEL-Excursion Limit

An airborne concentration of asbestos not in excess of 1.0 f/cc of air as averaged over a sampling period of 30 minutes.

1.2.25 Personal Sampling

Air sampling which is performed to determine asbestos fiber concentrations within the breathing zone of a specific employee, as performed in accordance with 29 CFR 1926.1101.

1.2.26 Private Qualified Person (PQP)

That qualified person hired by the Contractor to perform the herein listed tasks.

1.2.27 Qualified Person (QP)

A Registered Architect, Professional Engineer, Certified Industrial Hygienist, consultant or other qualified person who has successfully completed training and is therefore accredited under a legitimate State Model Accreditation Plan as described in 40 CFR 763 as a Building Inspector, Contractor/Supervisor Abatement Worker, and Asbestos Project Designer; and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The QP must be qualified to perform visual inspections as indicated in ASTM E1368. The QP must be appropriately licensed in the State of Rhode Island.

1.2.28 TEM

Refers to Transmission Electron Microscopy.

1.2.29 Time Weighted Average (TWA)

The TWA is an 8-hour time weighted average airborne concentration of asbestos fibers.

1.2.30 Transite

A generic name for asbestos cement wallboard and pipe.

1.2.31 Wetting Agent

A chemical added to water to reduce the water's surface tension thereby increasing the water's ability to soak into the material to which it is applied. An equivalent wetting agent must have a surface tension of at most 0.00042 psi.

1.2.32 Worker

Individual (not designated as the Competent Person or a supervisor) who

performs asbestos work and has completed asbestos worker training required by 29 CFR 1926.1101, to include EPA Model Accreditation Plan (MAP) "Worker" training; accreditation, if required by the OSHA Class of work to be performed or by the state where the work is to be performed. The worker must be appropriately licensed in the State of Rhode Isalnd.

1.3 REQUIREMENTS

1.3.1 Description of Work

The work covered by this section includes the handling and control of asbestos containing materials and describes some of the resultant procedures and equipment required to protect workers, the environment and occupants of the building or area, or both, from contact with airborne asbestos fibers. The work also includes the disposal of any asbestos containing materials generated by the work. More specific operational procedures must be outlined in the Asbestos Hazard Abatement Plan called for elsewhere in this specification. Provide negative pressure enclosure techniques as outlined in this specification. The work area will be evacuated during the asbestos abatement work. A competent person must supervise asbestos removal work as specified herein.

Also refer to attached Soil Management Plan, Naval Station Newport, Newport, RI for further information and regulation regarding site contamination.

1.3.1.1 Wallboard/Joint Compound

Discrete samples of the wallboard were tested and found to contain no asbestos. Discrete samples of the joint compound were tested and found to contain no asbestos.

1.3.2 Unexpected Discovery of Asbestos

Notify the Contracting Officer if any previously untested building components suspected to contain asbestos are impacted by the work.

1.3.3 Medical Requirements

Provide medical requirements including but not limited to medical surveillance and medical record keeping as listed in 29 CFR 1926.1101.

1.3.3.1 Medical Examinations

Before exposure to airborne asbestos fibers, provide workers with a comprehensive medical examination as required by 29 CFR 1926.1101 or other pertinent State or local directives. This requirement must have been satisfied within the 12 months prior to the start of work on this contract. The same medical examination must be given on an annual basis to employees engaged in an occupation involving asbestos and within 30 calendar days before or after the termination of employment in such occupation. Specifically identify x-ray films of asbestos workers to the consulting radiologist and mark medical record jackets with the word "ASBESTOS."

1.3.3.2 Medical Records

Maintain complete and accurate records of employees' medical examinations, medical records, and exposure data for a period of 50 years after

termination of employment and make records of the required medical examinations and exposure data available for inspection and copying to: The Assistant Secretary of Labor for Occupational Safety and Health (OSHA), or authorized representatives of them, and an employee's physician upon the request of the employee or former employee.

1.3.4 Employee Training

Submit certificates, prior to the start of work but after the main abatement submittal, signed by each employee indicating that the employee has received training in the proper handling of materials and wastes that contain asbestos in accordance with 40 CFR 763; understands the health implications and risks involved, including the illnesses possible from exposure to airborne asbestos fibers; understands the use and limits of the respiratory equipment to be used; and understands the results of monitoring of airborne quantities of asbestos as related to health and respiratory equipment as indicated in 29 CFR 1926.1101 on an initial and annual basis. Organize certificates by individual worker, not grouped by type of certification. Post appropriate evidence of compliance with the training requirements of 40 CFR 763. Train personnel involved in the asbestos control work in accordance with United States Environmental Protection Agency (USEPA) Asbestos Hazard Emergency Response Act (AHERA) training criteria or State training criteria whichever is more stringent. Document the training by providing: dates of training, training entity, course outline, names of instructors, and qualifications of instructors upon request by the Contracting Officer. Furnish each employee with respirator training and fit testing administered by the PQP as required by 29 CFR 1926.1101 and 29 CFR 1926.103. Fully cover engineering and other hazard control techniques and procedures. Asbestos workers must have a current State of Rhode Island asbestos worker's license.

1.3.5 Permits, Licenses, and Notifications

Prior to the start of work, obtain necessary permits and licenses in conjunction with asbestos removal, encapsulation, hauling, and disposition, and furnish notification of such actions required by Federal, State, regional, and local authorities. Notify the State's health department and the Contracting Officer in writing 10 working days prior to commencement of work. Notify the Contracting Officer and other appropriate Government agencies in writing 20 working days prior to the start of asbestos work as indicated in applicable laws, ordinances, criteria, rules, and regulations. Submit copies of all Notifications to the Contracting Officer.

1.3.6 Environment, Safety and Health Compliance

In addition to detailed requirements of this specification, comply with those applicable laws, ordinances, criteria, rules, and regulations of Federal, State, regional, and local authorities regarding handling, storing, transporting, and disposing of asbestos waste materials. Comply with the applicable requirements of the current issue of EM 385-1-1, 29 CFR 1926.1101, 40 CFR 61-SUBPART A, 40 CFR 61-SUBPART M, 40 CFR 763 and ND OPNAVINST 5100.23. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting the work. Where the requirements of this specification, applicable laws, rules, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirement as defined by the Government apply. The following laws, ordinances, criteria, rules and regulations regarding removal, handling, storing, transporting and disposing of asbestos materials apply:

a. Rhode Island Rules and Regulations for Asbestos Control R23-24.5-ASB.

b. Rhode Island Rules and Regulations for Licensing Analytical Laboratories.

c. Rhode Island Rules and Regulations for Solid Waste Management Facilities.

d. Rhode Island Air Pollution COntrol Regulation No. 7, Emission of Air Contaminants Detrimental to Person or Property.

1.3.7 Respiratory Protection Program

Establish and implement a respirator program as required by 29 CFR 1926.1101, and 29 CFR 1926.103. Submit a written description of the program to the Contracting Officer. Submit a written program manual or operating procedure including methods of compliance with regulatory statutes.

1.3.7.1 Respirator Program Records

Submit records of the respirator program as required by 29 CFR 1926.103, and 29 CFR 1926.1101.

1.3.7.2 Respirator Fit Testing

The Contractor's PQP must conduct a qualitative or quantitative fit test conforming to 29 CFR 1926.103 for each worker required to wear a respirator, and any authorized visitors who enter a regulated area where respirators are required to be worn. A respirator fit test must be performed prior to initially wearing a respirator and every 12 months thereafter. If physical changes develop that will affect the fit, a new fit test must be performed. Functional fit checks must be performed each time a respirator is put on and in accordance with the manufacturer's recommendation.

1.3.7.3 Respirator Selection and Use Requirements

Provide respirators, and ensure that they are used as required by 29 CFR 1926.1101 and in accordance with CGA G-7 and the manufacturer's recommendations. Respirators must be approved by the National Institute for Occupational Safety and Health NIOSH, under the provisions of 42 CFR 84, for use in environments containing airborne asbestos fibers. For air-purifying respirators, the particulate filter must be high-efficiency particulate air (HEPA)/(N-,R-,P-100). The initial respirator selection and the decisions regarding the upgrading or downgrading of respirator type must be made by the Contractor's Designated IH based on the measured or anticipated airborne asbestos fiber concentrations to be encountered.

1.3.8 Asbestos Hazard Control Supervisor

The Contractor must be represented on site by a supervisor, trained using the model Contractor accreditation plan as indicated in the Federal statutes for all portions of the herein listed work.

1.3.9 Hazard Communication

Adhere to all parts of 29 CFR 1926.59 and provide the Contracting Officer with a copy of the Safety Data Sheets (SDS) for all materials brought to the site.

1.3.10 Asbestos Hazard Abatement Plan

Submit a detailed plan of the safety precautions such as lockout, tagout, tryout, fall protection, and confined space entry procedures and equipment and work procedures to be used in the removal of materials containing asbestos. The plan, not to be combined with other hazard abatement plans, must be prepared, signed, and sealed by the PQP. Provide a Table of Contents for each abatement submittal, which follows the sequence of requirements in the contract. The plan must include but not be limited to the precise personal protective equipment to be used including, but not limited to, respiratory protection, type of whole-body protection, the location of asbestos control areas including clean and dirty areas, buffer zones, showers, storage areas, change rooms, removal method, interface of trades involved in the construction, sequencing of asbestos related work, disposal plan, type of wetting agent and asbestos sealer to be used, locations of local exhaust equipment, planned air monitoring strategies, and a detailed description of the method to be employed in order to control environmental pollution. The plan must also include (both fire and medical emergency) response plans and an Activity Hazard Analyses (AHAs) in accordance with EM 385-1-1. The Asbestos Hazard Abatement Plan must be approved in writing prior to starting any asbestos work. The Contractor, Asbestos Hazard Control Supervisor,, CP and PQP must meet with the Contracting Officer prior to beginning work, to discuss in detail the Asbestos Hazard Abatement Plan, including work procedures and safety precautions. Once approved by the Contracting Officer, the plan will be enforced as if an addition to the specification. Any changes required in the specification as a result of the plan must be identified specifically in the plan to allow for free discussion and approval by the Contracting Officer prior to starting work.

1.3.11 Testing Laboratory

Submit the name, address, and telephone number of each testing laboratory selected for the analysis, and reporting of airborne concentrations of asbestos fibers along with evidence that each laboratory selected holds the appropriate State license and permits and certification that each laboratory is American Industrial Hygiene Association (AIHA) accredited and that persons counting the samples have been judged proficient by current inclusion on the AIHA Asbestos Analysis Registry (AAR) and successful participation of the laboratory in the Proficiency Analytical Testing (PAT) Program. Where analysis to determine asbestos content in bulk materials or transmission electron microscopy is required, submit evidence that the laboratory is accredited by the National Institute of Science and Technology (NIST) under National Voluntary Laboratory Accreditation Program (NVLAP) for asbestos analysis. The testing laboratory firm must be independent of the asbestos contractor and must have no employee or employer relationship which could constitute a conflict of interest.

1.3.12 Landfill Approval

Submit written evidence that the landfill is approved for asbestos disposal by the U.S. Environmental Protection Agency, Region 1, and local regulatory agencies. Within three working days after delivery, submit detailed delivery tickets, prepared, signed, and dated by an agent of the landfill, certifying the amount of asbestos materials delivered to the landfill. Submit a copy of the waste shipment records within one day of the shipment leaving the project site.

1.3.13 Transporter Certification

Submit written evidence that the transporter is approved to transport asbestos waste in accordance with the DOT requirements of 49 CFR 171, 49 CFR 172 and 49 CFR 173 as well as registration requirements of 49 CFR 107 and all other State and local regulatory agency requirements.

1.3.14 Medical Certification

Provide a written certification for each worker and supervisor, signed by a licensed physician indicating that the worker and supervisor has met or exceeded all of the medical prerequisites listed herein and in 29 CFR 1926.1101 and 29 CFR 1926.103 as prescribed by law. Submit certificates prior to the start of work but after the main abatement submittal.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Amended Water; G

Safety Data Sheets (SDS) for All Materials; G

Respirators; G

Local Exhaust Equipment; G

Pressure Differential Automatic Recording Instrument; G

Vacuums; G

Glovebags; G

SD-06 Test Reports

Air Sampling Results; G

Pressure Differential Recordings for Local Exhaust System; G

Clearance Sampling; G

Asbestos Disposal Quantity Report; G

SD-07 Certificates

Employee Training; G

Notifications; G

Respiratory Protection Program; G

Asbestos Hazard Abatement Plan; G

Testing Laboratory; G

Landfill Approval; G

Delivery Tickets; G

Waste Shipment Records; G

Transporter Certification; G

Medical Certification; G

Private Qualified Person Documentation; G

Competent Person; G

Worker's License; G

Contractor's License; G

Encapsulants; G

Equipment Used to Contain Airborne Asbestos Fibers; G

Water Filtration Equipment; G

Vacuums; G

Ventilation Systems; G

SD-11 Closeout Submittals

Permits and Licenses; G

Notifications; G

Respirator Program Records; G

Rental Equipment; G

1.5 QUALITY ASSURANCE

1.5.1 Private Qualified Person Documentation

Submit the name, address, and telephone number of the Private Qualified Person (PQP) selected to prepare the Asbestos Hazard Abatement Plan, direct monitoring and training, and documented evidence that the PQP has successfully completed training in and is accredited and where required is certified as, a Building Inspector, Contractor/Supervisor Abatement Worker, and Asbestos Project Designer as described by 40 CFR 763 and has successfully completed the National Institute of Occupational Safety and Health (NIOSH) 582 course "Sampling and Evaluating Airborne Asbestos Dust" or equivalent. The PQP must be appropriately licensed in the State of Rhode Island as an Asbestos Project manager. The PQP and the asbestos contractor must not have an employee/employer relationship or financial relationship which could constitute a conflict of interest. The PQP must be a first tier subcontractor.

1.5.2 Competent Person Documentation

The Competent Person must be experienced in the administration and supervision of asbestos abatement projects including exposure assessment and monitoring, work practices, abatement methods, protective measures for personnel, setting up and inspecting asbestos abatement work areas, evaluating the integrity of containment barriers, placement and operation of local exhaust systems, ACM generated waste containment and disposal procedures, decontamination units installation and maintenance requirements, site safety and health requirements, notification of other employees onsite, . The Competent Person must be on-site at all times when asbestos abatement activities are underway.Submit training certification and a current State of Rhode Island Asbestos Contractor's and Supervisor's License. Submit evidence that the Competent Person has a minimum of 2 years of on-the-job asbestos abatement experience relevant to OSHA competent person requirements.

1.5.3 Worker's License

Submit documentation that workers meet the requirements of 29 CFR 1926.1101, 40 CFR 61-SUBPART M and have a current State of Rhode Island Asbestos Workers License.

1.5.4 Contractor's License

Submit a copy of the asbestos contractor's license issued by the State of Rhode Island.

1.5.5 Air Sampling Results

Complete fiber counting and provide results to the PQP for review within 16 hours of the "time off" of the sample pump. Notify the Contracting Officer immediately of any airborne levels of asbestos fibers in excess of the acceptable limits. Submit sampling results to the Contracting Officer and the affected Contractor employees where required by law within three working days, signed by the testing laboratory employee performing air sampling, the employee that analyzed the sample, and the PQP. Notify the Contractor and the Contracting Officer immediately of any variance in the pressure differential which could cause adjacent unsealed areas to have asbestos fiber concentrations in excess of 0.01 fibers per cubic centimeter or background whichever is higher. In no circumstance must levels exceed 0.1 fibers per cubic centimeter.

1.5.6 Pressure Differential Recordings for Local Exhaust System

Provide a local exhaust system that creates a negative pressure of at least 0.02 inches of water relative to the pressure external to the enclosure and operate it continuously, 24-hours a day, until the temporary enclosure of the asbestos control area is removed. Submit pressure differential recordings for each work day to the PQP for review and to the Contracting Officer within 24-hours from the end of each work day.

1.5.7 Preconstruction Conference

Conduct a safety preconstruction conference to discuss the details of the Asbestos Hazard Abatement Plan, Accident Prevention Plan (APP) including the AHAs required in specification Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS . The safety preconstruction conference must include the Contractor and their Designated Competent Person, Designated IH and Project

Supervisor and the Contracting Officer. Deficiencies in the APP will be discussed. Onsite work must not begin until the APP has been accepted.

1.6 SECURITY

A log book must be kept documenting entry into and out of the regulated area. Entry into regulated areas must only be by personnel authorized by the Contractor and the Contracting Officer. Personnel authorized to enter regulated areas must be trained, medically evaluated, and wear the required personal protective equipment.

1.7 EQUIPMENT

1.7.1 Rental Equipment

Provide a copy of the written notification to the rental company concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

PART 2 PRODUCTS

2.1 ENCAPSULANTS

Encapsulants must conform to current USEPA requirements, contain no toxic or hazardous substances as defined in 29 CFR 1926.59, and conform to the following performance requirements.

2.1.1 Lock-down Encapsulant

Requirement	Test Standard
Flame Spread - 25, Smoke Emission - 50	ASTM E84
Life Expectancy - 20 years	ASTM C732 Accelerated Aging Test
Permeability - Minimum 0.4 perms	ASTM E96/E96M
Fire Resistance - Negligible affect on fire resistance rating over 3-hour test (Tested with fireproofing over encapsulant applied directly to steel member)	ASTM E119
Bond Strength: 100 pounds of force/foot	ASTM E736/E736M
(Tests compatibility with cementitious and fibrous fireproofing)	

2.2 ENCASEMENT PRODUCTS

Encasement must consist of primary cellular polymer coat, polymer finish coat, and any other finish coat as approved by the Contracting Officer.

2.3 DUCT TAPE

Industrial grade duct tape of appropriate widths suitable for bonding sheet plastic and disposal container.

2.4 DISPOSAL CONTAINERS

Leak-tight (defined as solids, liquids, or dust that cannot escape or spill out) disposal containers must be provided for ACM wastes as required by 29 CFR 1926.1101. Disposal containers can be in the form of:

a. Disposal Bags

b. Fiberboard Drums

c. Cardboard Boxes

2.5 SHEET PLASTIC

Sheet plastic must be polyethylene of 6 mil minimum thickness and must be provided in the largest sheet size necessary to minimize seams. Film must be clearfrosted or black and conform to ASTM D4397, except as specified below

2.5.1 Flame Resistant

Where a potential for fire exists, flame-resistant sheets must be provided. Film must be frosted or black and must conform to the requirements of NFPA 701.

2.5.2 Reinforced

Reinforced sheets must be provided where high skin strength is required, such as where it constitutes the only barrier between the regulated area and the outdoor environment. The sheet stock must consist of translucent, nylon-reinforced or woven-polyethylene thread laminated between 2 layers of polyethylene film. Film must meet flame resistant standards of NFPA 701.

2.6 MASTIC REMOVING SOLVENT

Mastic removing solvent must be nonflammable and must not contain methylene chloride, glycol ether, or halogenated hydrocarbons. Solvents used onsite must have a flash point greater than 140 degrees F.

2.7 LEAK-TIGHT WRAPPING

Two layers of 6 mil minimum thick polyethylene sheet stock must be used for the containment of removed asbestos-containing components or materials such as large tanks, boilers, insulated pipe segments and other materials. Upon placement of the ACM component or material, each layer must be individually leak-tight sealed with duct tape.

2.8 VIEWING INSPECTION WINDOW

Where feasible, a minimum of one clear, 1/8 inch thick, acrylic sheet, 18 by 24 inches, must be installed as a viewing inspection window at eye level on a wall in each containment enclosure. The windows must be sealed leak-tight with industrial grade duct tape.

PART 3 EXECUTION

3.1 EQUIPMENT

Provide the Contracting Officer or the Contracting Officer's Representative, with at least two complete sets of personal protective equipment as required for entry to and inspection of the asbestos control area. Provide equivalent training to the Contracting Officer or a designated representative as provided to Contractor employees in the use of the required personal protective equipment. Provide manufacturer's certificate of compliance for all equipment used to contain airborne asbestos fibers.

3.1.1 Air Monitoring Equipment

The Contractor's PQP must approve air monitoring equipment. The equipment must include, but must not be limited to:

a. High-volume sampling pumps that can be calibrated and operated at a constant airflow up to 16 liters per minute.

b. Low-volume, battery powered, body-attachable, portable personal pumps that can be calibrated to a constant airflow up to approximately 3.5 liters per minute, and a self-contained rechargeable power pack capable of sustaining the calibrated flow rate for a minimum of 10 hours. The pumps must also be equipped with an automatic flow control unit which must maintain a constant flow, even as filter resistance increases due to accumulation of fiber and debris on the filter surface.

c. Single use standard 25 mm diameter cassette, open face, 0.8 micron pore size, mixed cellulose ester membrane filters and cassettes with 50 mm electrically conductive extension cowl, and shrink bands for personal air sampling.

e. A flow calibrator capable of calibration to within plus or minus 2 percent of reading over a temperature range of minus 4 to plus 140 degrees F and traceable to a NIST primary standard.

3.1.2 Respirators

Select respirators from those approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services.

3.1.2.1 Respirators for Handling Asbestos

Provide personnel engaged in pre-cleaning, cleanup, handling, removal of asbestos materials with respiratory protection as indicated in 29 CFR 1926.1101 and 29 CFR 1926.103. Breathing air must comply with CGA G-7.

3.1.3 Exterior Whole Body Protection

3.1.3.1 Outer Protective Clothing

Provide personnel exposed to asbestos with disposable "non-breathable," whole body outer protective clothing, head coverings, gloves, and foot coverings. Provide disposable plastic or rubber gloves to protect hands. Cloth gloves may be worn inside the plastic or rubber gloves for comfort, but must not be used alone. Make sleeves secure at the wrists, make foot

coverings secure at the ankles, and make clothing secure at the neck by the use of tape.

3.1.3.2 Work Clothing

Provide cloth work clothes for wear under the outer protective clothing and foot coverings and either dispose of or properly decontaminate them as recommended by the PQP after each use.

3.1.3.3 Personal Decontamination Unit

Provide a temporary, negative pressure unit with a separate decontamination locker room and clean locker room with a shower that complies with 29 CFR 1926.51(f)(4)(ii) through (V) in between for personnel required to wear whole body protective clothing. Provide two separate lockers for each asbestos worker, one in each locker room. Keep street clothing and street shoes in the clean locker. HEPA vacuum and remove asbestos contaminated disposable protective clothing while still wearing respirators at the boundary of the asbestos work area and seal in impermeable bags or containers for disposal. Do not wear work clothing between home and work. Locate showers between the decontamination locker room and the clean locker room and require that all employees shower before changing into street clothes. Collect used shower water and filter with approved water filtration equipment to remove asbestos contamination. Wastewater filters must be installed in series with the first stage pore size 100 microns and the second stage pore size of 5 microns. Dispose of filters and residue as asbestos waste. Discharge clean water to the sanitary system. Dispose of asbestos contaminated work clothing as asbestos contaminated waste. Keep the floor of the decontamination unit's clean room dry and clean at all times. Proper housekeeping and hygiene requirements must be maintained. Provide soap and towels for showering, washing and drying. Cloth towels provided must be disposed of as ACM waste or must be laundered in accordance with 29 CFR 1926.1101. Physically attach the decontamination units to the asbestos control area. Construct both a personnel decontamination unit and an equipment decontamination unit onto and integral with each asbestos control area.

3.1.3.4 Eye Protection

Provide eye protection that complies with ANSI/ISEA Z87.1 when operations present a potential eye injury hazard. Provide goggles to personnel engaged in asbestos abatement operations when the use of a full face respirator is not required.

3.1.4 Regulated Areas

All Class I, II, and III asbestos work must be conducted within regulated areas. The regulated area must be demarcated to minimize the number of persons within the area and to protect persons outside the area from exposure to airborne asbestos. Control access to regulated areas, ensure that only authorized personnel enter, and verify that Contractor required medical surveillance, training and respiratory protection program requirements are met prior to allowing entrance.

3.1.5 Load-out Unit

Provide a temporary load-out unit that is adjacent and connected to the regulated area. Attach the load-out unit in a leak-tight manner to each regulated area.

3.1.6 Warning Signs and Labels

Provide warning signs at all approaches to asbestos control areas. Locate signs at such a distance that personnel may read the sign and take the necessary protective steps required before entering the area. Provide labels and affix to all asbestos materials, scrap, waste, debris, and other products contaminated with asbestos. Containers with preprinted warning labels conforming to the requirements are acceptable

3.1.6.1 Warning Sign

Provide vertical format conforming to 29 CFR 1926.200, and 29 CFR 1926.1101 minimum 20 by 14 inches displaying the following legend in the lower panel:

Legend	Notation
DANGER	one inch Sans Serif Gothic or Block
ASBESTOS	one inch Sans Serif Gothic or Block
MAY CAUSE CANCER	one inch Sans Serif Gothic or Block
CAUSES DAMAGE TO LUNGS	1/4 inch Sans Serif Gothic or Block
AUTHORIZED PERSONNEL ONLY	1/4 inch Sans Serif Gothic or Block
WEAR RESPIRATORY PROTECTION AND PROTECTIVE CLOTHING IN THIS AREA	1/4 inch Sans Serif Gothic or Block

Spacing between lines must be at least equal to the height of the upper of any two lines.

3.1.6.2 Warning Labels

Provide labels conforming to 29 CFR 1926.1101 of sufficient size to be clearly legible, displaying the following legend:

DANGER
CONTAINS ASBESTOS FIBERS
MAY CAUSE CANCER
CAUSES DAMAGE TO LUNGS
DO NOT BREATHE DUST AVOID CREATING DUST

3.1.7 Local Exhaust System

Provide a local exhaust system in the asbestos control area in accordance with ASSP Z9.2 and 29 CFR 1926.1101 that will provide at least four air changes per hour inside of the negative pressure enclosure. Local exhaust equipment must be operated 24-hours per day, until the asbestos control area is removed and must be leak proof to the filter and equipped with HEPA filters. Maintain a minimum pressure differential in the control area of minus 0.02 inch of water column relative to adjacent, unsealed areas. Provide continuous 24-hour per day monitoring of the pressure differential with a pressure differential automatic recording instrument. The building ventilation system must not be used as the local exhaust system for the asbestos control area. Filters on exhaust equipment must conform to ASSP Z9.2 and UL 586. Terminate the local exhaust system out of doors and remote from any public access or ventilation system intakes.

3.1.8 Tools

Vacuums must be leak proof to the filter and equipped with HEPA filters. Filters on vacuums must conform to ASSP Z9.2 and UL 586. Do not use power tools to remove asbestos containing materials unless the tool is equipped with effective, integral HEPA filtered exhaust ventilation systems. Remove all residual asbestos from reusable tools prior to storage or reuse. Reusable tools must be thoroughly decontaminated prior to being removed from the regulated areas.

3.1.9 Rental Equipment

If rental equipment is to be used, furnish written notification to the rental agency concerning the intended use of the equipment and the possibility of asbestos contamination of the equipment.

3.1.10 Glovebags

Submit written manufacturers proof that glovebags will not break down under expected temperatures and conditions.

3.1.11 Single Stage Decontamination Area

A decontamination area (equipment room/area) must be provided for Class I work involving less than 25 feet or 10 square feet of TSI or surfacing ACM, and for Class II and Class III asbestos work operations where exposures exceed the PELs or where there is no negative exposure assessment. The equipment room or area must be adjacent to the regulated area for the decontamination of employees, material, and their equipment which could be contaminated with asbestos. The area must be covered by an impermeable drop cloth on the floor or horizontal working surface. The area must be of sufficient size to accommodate cleaning of equipment and removing personal protective equipment without spreading contamination beyond the area.

3.1.12 Decontamination Area Exit Procedures

Ensure that the following procedures are followed:

a. Before leaving the regulated area, remove all gross contamination and debris from work clothing using a HEPA vacuum.

b. Employees must remove their protective clothing in the equipment room and deposit the clothing in labeled impermeable bags or containers for

disposal.

c. Employees must not remove their respirators until showering.

d. Employees must shower prior to entering the clean room. If a shower has not been located between the equipment room and the clean room or the work is performed outdoors, ensure that employees engaged in Class I asbestos jobs: a) Remove asbestos contamination from their work suits in the equipment room or decontamination area using a HEPA vacuum before proceeding to a shower that is not adjacent to the work area; or b) Remove their contaminated work suits in the equipment room, without cleaning worksuits, and proceed to a shower that is not adjacent to the work area.

3.2 WORK PROCEDURE

Perform asbestos related work in accordance with 29 CFR 1926.1101, 40 CFR 61-SUBPART M, and as specified herein. Use wet removal procedures and negative pressure enclosure techniques. Wear and utilize protective clothing and equipment as specified herein. No eating, smoking, drinking, chewing gum, tobacco, or applying cosmeticsis permitted in the asbestos work or control areas. Personnel of other trades not engaged in the removal of asbestos containing material must not be exposed at any time to airborne concentrations of asbestos unless all the personnel protection and training provisions of this specification are complied with by the trade personnel. Seal all roof top penetrations, except plumbing vents, prior to asbestos roofing work. Shut down the building heating, ventilating, and air conditioning system, cap the openings to the system, prior to the commencement of asbestos work. Power to the regulated area must be locked-out and tagged in accordance with 29 CFR 1910.147. Disconnect electrical service when wet removal is performed and provide temporary electrical service with verifiable ground fault circuit interrupter (GFCI) protection prior to the use of any water. All electrical work must be performed by a licensed electrician. Stop abatement work in the regulated area immediately when the airborne total fiber concentration: (1) equals or exceeds 0.01 f/cc, or the pre-abatement concentration, whichever is greater, outside the regulated area; or (2) equals or exceeds 1.0 f/cc inside the regulated area. Correct the condition to the satisfaction of the Contracting Officer, including visual inspection and air sampling. Work must resume only upon notification by the Contracting Officer. Corrective actions must be documented. If an asbestos fiber release or spill occurs outside of the asbestos control area, stop work immediately, correct the condition to the satisfaction of the Contracting Officer including clearance sampling, prior to resumption of work.

3.2.1 Building Ventilation System and Critical Barriers

Building ventilation system supply and return air ducts in a regulated area must be shut down and isolated by lockable switch or other positive means in accordance with 29 CFR 1910.147. The airtight seals must consist of 2 layers of polyethylene. Edges to wall, ceiling and floor surfaces must be sealed with industrial grade duct tape.

a. A Competent Person must supervise the work.

b. For indoor work, critical barriers must be placed over all openings to the regulated area.

c. Impermeable dropcloths must be placed on surfaces beneath all removal

activity.

3.2.2 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent work. Where such work is damaged or contaminated as verified by the Contracting Officer using visual inspection or sample analysis, it must be restored to its original condition or decontaminated by the Contractor at no expense to the Government as deemed appropriate by the Contracting Officer. This includes inadvertent spill of dirt, dust, or debris in which it is reasonable to conclude that asbestos may exist. When these spills occur, stop work immediately. Then clean up the spill. When satisfactory visual inspection and air sampling results are obtained from the PQP work may proceed at the discretion of the Contracting Officer.

3.2.3 Furnishings

Furniture and equipment will be removed from the area of work by the Government before asbestos work begins.

3.2.4 Precleaning

Wet wipe and HEPA vacuum all surfaces potentially contaminated with asbestos prior to establishment of an enclosure.

3.2.5 Asbestos Control Area Requirements

3.2.5.1 Negative Pressure Enclosure

Removal of interior ACM require the use of a negative pressure enclosure. Block and seal openings in areas where the release of airborne asbestos fibers can be expected. Establish an asbestos negative pressure enclosure with the use of curtains, portable partitions, or other enclosures in order to prevent the escape of asbestos fibers from the contaminated asbestos work area. Negative pressure enclosure development must include protective covering of uncontaminated walls, and ceilings with a continuous membrane of two layers of minimum 6-mil plastic sheet sealed with tape to prevent water or other damage. Provide two layers of 6-mil plastic sheet over floors and extend a minimum of 12 inches up walls. Seal all joints with tape. Provide local exhaust system in the asbestos control area. Openings will be allowed in enclosures of asbestos control areas for personnel and equipment entry and exit, the supply and exhaust of air for the local exhaust system and the removal of properly containerized asbestos containing materials. Replace local exhaust system filters as required to maintain the efficiency of the system.

3.2.6 Removal Procedures

Wet asbestos material with a fine spray of amended water during removal, cutting, or other handling so as to reduce the emission of airborne fibers. Remove material and immediately place in 6 mil plastic disposal bags. Remove asbestos containing material in a gradual manner, with continuous application of the amended water or wetting agent in such a manner that no asbestos material is disturbed prior to being adequately wetted. Where unusual circumstances prohibit the use of 6 mil plastic bags, submit an alternate proposal for containment of asbestos fibers to the Contracting Officer for approval. For example, in the case where both piping and insulation are to be removed, the Contractor may elect to wet the insulation, wrap the pipes and insulation in plastic and remove the

pipe by sections. Containerize asbestos containing material while wet. Do not allow asbestos material to accumulate or become dry. Lower and otherwise handle asbestos containing material as indicated in 40 CFR 61-SUBPART M.

3.2.7 Methods of Compliance

3.2.7.1 Mandated Practices

The specific abatement techniques and items identified must be detailed in the Contractor's AHAP. Use the following engineering controls and work practices in all operations, regardless of the levels of exposure:

a. Vacuum cleaners equipped with HEPA filters.

b. Wet methods or wetting agents except where it can be demonstrated that the use of wet methods is unfeasible due to the creation of electrical hazards, equipment malfunction, and in roofing.

c. Prompt clean-up and disposal.

d. Inspection and repair of polyethylene.

e. Cleaning of equipment and surfaces of containers prior to removing them from the equipment room or area.

3.2.7.2 Control Methods

Use the following control methods:

a. Local exhaust ventilation equipped with HEPA filter;

b. Enclosure or isolation of processes producing asbestos dust;

c. Where the feasible engineering and work practice controls are not sufficient to reduce employee exposure to or below the PELs, use them to reduce employee exposure to the lowest levels attainable and must supplement them by the use of respiratory protection.

3.2.7.3 Unacceptable Practices

The following work practices must not be used:

a. High-speed abrasive disc saws that are not equipped with point of cut ventilator or enclosures with HEPA filtered exhaust air.

b. Compressed air used to remove asbestos containing materials, unless the compressed air is used in conjunction with an enclosed ventilation system designed to capture the dust cloud created by the compressed air.

c. Dry sweeping, shoveling, or other dry clean up.

d. Employee rotation as a means of reducing employee exposure to asbestos.

3.2.8 Class I Work Procedures

In addition to requirements of paragraphs MANDATED PRACTICES and CONTROL METHODS, the following engineering controls and work practices must be used:

a. A Competent Person must supervise the installation and operation of the control methods.

b. For jobs involving the removal of more than 3 feet or 3 square feet of TSI or surfacing material, place critical barriers over all openings to the regulated area.

c. HVAC systems must be isolated in the regulated area by sealing with a double layer of plastic or air-tight rigid covers.

d. Impermeable dropcloths (6 mil or greater thickness) must be placed on surfaces beneath all removal activity.

e. Where a negative exposure assessment has not been provided or where exposure monitoring shows the PEL was exceeded, the regulated area must be ventilated with a HEPA unit and employees must use PPE.

3.2.9 Specific Control Methods for Class I Work

Use Class I work procedures, control methods and removal methods for the following ACM:

a. Spray Applied Fireproofing

b. Gypsum Wallboard and Joint Compound

c. Thermal System Insulation and Mudded Pipe Fittings

d. Plaster and Textured Ceilings and Walls

e. Vermiculite

3.2.9.1 Negative Pressure Enclosure (NPE) System

The system must provide at least four air changes per hour inside the containment. The local exhaust unit equipment must be operated 24-hours per day until the containment is removed. The NPE must be smoke tested for leaks at the beginning of each shift and be sufficient to maintain a minimum pressure differential of minus 0.02 inch of water column relative to adjacent, unsealed areas. Pressure differential must be monitored continuously, 24-hours per day, with an automatic manometric recording instrument and Records must be provided daily on the same day collected to the Contracting Officer. The Contracting Officer must be notified immediately if the pressure differential falls below the prescribed minimum. The building ventilation system must not be used as the local exhaust system for the regulated area. The NPE must terminate outdoors unless an alternate arrangement is allowed by the Contracting Officer. All filters used must be new at the beginning of the project and must be periodically changed as necessary and disposed of as ACM waste.

3.2.9.2 Glovebag Systems

Glovebags must be used without modification, smoke-tested for leaks, and completely cover the circumference of pipe or other structures where the work is to be done. Glovebags must be used only once and must not be moved. Glovebags must not be used on surfaces that have temperatures exceeding 150 degrees F. Prior to disposal, glovebags must be collapsed using a HEPA vacuum. Before beginning the operation, loose and friable material adjacent to the glovebag operation must be wrapped and sealed in 2

layers of plastic or otherwise rendered intact. At least two persons must perform glovebag removal. Asbestos regulated work areas must be established for glovebag abatement. Designated boundary limits for the asbestos work must be established with rope or other continuous barriers and all other requirements for asbestos control areas must be maintained, including area signage and boundary warning tape.

a. Attach HEPA vacuum systems to the bag to prevent collapse during removal of ACM.

b. The negative pressure glove boxes must be fitted with gloved apertures and a bagging outlet and constructed with rigid sides from metal or other material which can withstand the weight of the ACM and water used during removal. A negative pressure must be created in the system using a HEPA filtration system. The box must be smoke tested for leaks prior to each use.

3.2.9.3 Class I Removal Method

Class I ACM must be removed using a control method described above. Prepare work area as previously specified. Establish designated limits for the asbestos regulated work area with the use of red barrier tape, critical barriers, signs, and maintain all other requirements for asbestos control area. Spread one layer of 6-mil seamless plastic sheeting on the floor below the work area. Remove ACM thermal system insulation and mudded pipe fittings using mechanical means and wet methods and immediately place into 6-mil thickness disposal bag. Continue wet cleaning until surfaces are free of visible debris. Bag all asbestos debris which has fallen to the floor as asbestos-containing debris. Place all debris in plastic disposal bags of 6-mil minimum thickness. Once the material is in the disposal bag, apply additional water as needed to achieve "adequately wet" conditions for NESHAP compliance. Place bagged asbestos waste under negative pressure with the use of a HEPA vacuum, goose neck and duck tape to seal the bag, wash to remove any visible contamination and place into a second 6-mil minimum thickness disposal bag. Containerize asbestos containing waste while wet. Lower and otherwise handle asbestos containing materials as indicated in 40 CFR 61-SUBPART M. Conduct area monitoring of airborne fibers during the work shift at the designated limits of the asbestos work area and conduct personal samples of each worker engaged in the work. If the quantity of airborne asbestos fibers monitored at the breathing zone of the workers or the designated limits at any time exceeds background or 0.01 fibers per cubic centimeter, whichever is greater, stop work, and immediately correct the situation.

3.2.10 Specific Control Methods for Class II Work

3.2.10.1 Pipe Trench Abatement

Establish designated limits for the asbestos regulated work area with the use of red barrier tape, critical barriers, and signs. When removing pipe trench materials which contain ACM as described in 29 CFR 1926.1101(g)(8)(ii), use the following practices. Pipe trench material must be removed in an intact state. Wet methods must be used to remove pipe trench materials that are not intact, or that will be rendered not intact during removal, unless such wet methods are not feasible or will create safety hazards. ACM material must be transferred to a closed receptacle lined with bladder bag by the end of the work shift. Conduct area monitoring of airborne fibers during the work shift at the designated limits of the asbestos work area and conduct personal samples of each

worker engaged in the work. If the airborne fiber concentration of the workers or designated limits at any time exceeds background or 0.01 fibers per cubic centimeter, whichever is greater, stop work immediately and correct the situation.

3.2.10.2 Gaskets

Establish designated limits for the asbestos regulated work area with the use of red barrier tape, critical barriers, signs, and maintain all other requirements for asbestos control area except local exhaust. Gaskets must be thoroughly wetted with amended water prior to removal and immediately placed in a disposal container. If a gasket is visibly deteriorated and unlikely to be removed intact, removal must be undertaken within a glovebag. Any scraping to remove residue must be performed wet. Place debris into a 6-mil minimum thickness disposal bag or other approved container. Once the material is in the disposal bag, apply additional water as needed to achieve "adequately wet" conditions for NESHAP compliance. Place bagged asbestos waste under negative pressure with the use of a HEPA vacuum, goose neck and duck tape to seal the bag, wash to remove any visible contamination and place into a second 6-mil minimum thickness disposal bag. Containerize asbestos containing waste while wet. Conduct area monitoring of airborne fibers during the work shift at the designated limits of the asbestos work area and conduct personal samples of each worker engaged in the work. If the airborne fiber concentration of the workers or designated limits at any time exceeds background or 0.01 fibers per cubic centimeter, whichever is greater, stop work immediately and correct the situation.

3.2.11 Air Sampling

Perform sampling of airborne concentrations of asbestos fibers in accordance with 29 CFR 1926.1101, the Contractor's air monitoring plan and as specified herein. Sampling performed in accordance with 29 CFR 1926.1101 must be performed by the PQP. Sampling performed for environmental and quality control reasons must be performed by the GC. Unless otherwise specified, use NIOSH Method 7400 for sampling and analysis. Monitoring may be duplicated by the Government at the discretion of the Contracting Officer. If the air sampling results obtained by the Government differ from those results obtained by the Contractor, the Government will determine which results predominate. Results of breathing zone samples must be posted at the job site and made available to the Contracting Officer. Submit all documentation regarding initial exposure assessments, negative exposure assessments, and air-monitoring results.

3.2.11.1 Sampling Prior to Asbestos Work

Provide area air sampling and establish the baseline one day prior to the masking and sealing operations for each removal site. Establish the background by performing area sampling in similar but uncontaminated sites in the building.

3.2.11.2 Sampling During Asbestos Work

The PQP must provide personal and area sampling as indicated in 29 CFR 1926.1101 and governing environmental regulations. Breathing zone samples must be taken for at least 25 percent of the workers in each shift, or a minimum of two, whichever is greater. Air sample fiber counting must be completed and results provided within 24-hours (breathing zone samples),

and 24 hours (environmental/clearance monitoring) after completion of a sampling period. In addition, provided the same type of work is being performed, provide area sampling at least once every work shift close to the work inside the enclosure, outside the clean room entrance to the enclosure, and at the exhaust opening of the local exhaust system. If sampling outside the enclosure shows airborne levels have exceeded background or 0.01 fibers per cubic centimeter, whichever is greater, stop all work, correct the condition(s) causing the increase, and notify the Contracting Officer immediately. Where alternate methods are used, perform personal and area air sampling at locations and frequencies that will accurately characterize the evolving airborne asbestos levels. The written results must be signed by testing laboratory analyst, testing laboratory principal and the Contractor's PQP. The air sampling results must be documented on a Contractor's daily air monitoring log.

3.2.11.3 Final Clearance Requirements, NIOSH PCM Method

For PCM sampling and analysis using NIOSH NMAM Method 7400, the fiber concentration inside the abated regulated area, for each airborne sample, must be less than 0.01 f/cc. The abatement inside the regulated area is considered complete when every PCM final clearance sample is below the clearance limit. If any sample result is greater than 0.01 total f/cc, the asbestos fiber concentration (asbestos f/cc) must be confirmed from that same filter using NIOSH NMAM Method 7402 (TEM) at Contractor's expense. If any confirmation sample result is greater than 0.01 asbestos f/cc, abatement is incomplete and cleaning must be repeated at the Contractor's expense. Upon completion of any required recleaning, resampling with results to meet the above clearance criteria must be done at the Contractor's expense.

3.2.11.4 Final Clearance Requirements, EPA TEM Method

For EPA TEM sampling and analysis, using the EPA Method specified in 40 CFR 763, abatement inside the regulated area is considered complete when the arithmetic mean asbestos concentration of the five inside samples is less than or equal to 70 structures per square millimeter (70 S/mm). When the arithmetic mean is greater than 70 S/mm, the three blank samples must be analyzed. If the three blank samples are greater than 70 S/mm, resampling must be done. If less than 70 S/mm, the five outside samples must be analyzed and a Z-test analysis performed. When the Z-test results are less than 1.65, the decontamination must be considered complete. If the Z-test results are more than 1.65, the abatement is incomplete and cleaning must be repeated. Upon completion of any required recleaning, resampling with results to meet the above clearance criteria must be done at the Contractor's expense.

3.2.11.5 Sampling After Final Clean-Up (Clearance Sampling)

Provide area sampling of asbestos fibers using aggressive air sampling techniques as defined in the EPA 560/5-85-024 and establish an airborne asbestos concentration of less than 0.01 fibers per cubic centimeter after final clean-up but before removal of the enclosure or the asbestos work control area. After final cleanup and the asbestos control area is dry but prior to clearance sampling, the PQP must perform a visual inspection in accordance with ASTM E1368 to ensure that the asbestos control and work area is free of any accumulations of dirt, dust, or debris. Prepare a written report signed and dated by the PQP documenting that the asbestos control area is free of dust, dirt, and debris and all waste has been removed. Perform at least 5 samples. Use transmission electron microscopy

(TEM) to analyze clearance samples and report the results in accordance with current NIOSH criteria. The asbestos fiber counts from these samples must be less than 0.01 fibers per cubic centimeter or be not greater than the background, whichever is greater. Should any of the final samples indicate a higher value take appropriate actions to re-clean the area and repeat the sampling and TEM analysis at the Contractor's expense.

3.2.11.6 Air Clearance Failure

If clearance sampling results fail to meet the final clearance requirements, pay all costs associated with the required recleaning, resampling, and analysis, until final clearance requirements are met.

3.2.12 Lock-Down

Prior to removal of plastic barriers and after pre-clearance clean up of gross contamination, the PQP must conduct a visual inspection of all areas affected by the removal in accordance with ASTM E1368. Inspect for any visible fibers. Spray apply a post removal (lock-down) encapsulant to ceiling, walls, floors and other areas exposed in the removal area. The exposed areaincludes but is not limited to plastic barriers, furnishings and articles to be discarded as well as dirty change room, air locks for bag removal and decontamination chambers.

3.2.13 Site Inspection

While performing asbestos engineering control work, the Contractor must be subject to on-site inspection by the Contracting Officer who may be assisted by or represented by safety or industrial hygiene personnel. If the work is found to be in violation of this specification, the Contracting Officer or his representative will issue a stop work order to be in effect immediately and until the violation is resolved. All related costs including standby time required to resolve the violation must be at the Contractor's expense.

3.3 CLEAN-UP AND DISPOSAL

3.3.1 Housekeeping

Essential parts of asbestos dust control are housekeeping and clean-up procedures. Maintain surfaces of the asbestos control area free of accumulations of asbestos fibers. Give meticulous attention to restricting the spread of dust and debris; keep waste from being distributed over the general area. Use HEPA filtered vacuum cleaners. DO NOT BLOW DOWN THE SPACE WITH COMPRESSED AIR. When asbestos removal is complete, all asbestos waste is removed from the work-site, and final clean-up is completed, the Contracting Officer will attest that the area is safe before the signs can be removed. After final clean-up and acceptable airborne concentrations are attained but before the HEPA unit is turned off and the enclosure removed, remove all pre-filters on the building HVAC system and provide new pre-filters. Dispose of filters as asbestos contaminated materials. Reestablish HVAC mechanical, and electrical systems in proper working order. The Contracting Officer will visually inspect all surfaces within the enclosure for residual material or accumulated dust or debris. The Contractor must re-clean all areas showing dust or residual materials. If re-cleaning is required, air sample and establish an acceptable asbestos airborne concentration after re-cleaning. The Contracting Officer must agree that the area is safe in writing before unrestricted entry will be permitted. The Government must have the option to perform monitoring to

determine if the areas are safe before entry is permitted.

3.3.2 Title to Materials

All waste materials, except as specified otherwise, become the property of the Contractor and must be disposed of as specified in applicable local, State, and Federal regulations and herein.

3.3.3 Disposal of Asbestos

3.3.3.1 Procedure for Disposal

Coordinate all waste disposal manifests with the Contracting Officer and NAVFAC EV. Collect asbestos waste, contaminated waste water filters, asbestos contaminated water, scrap, debris, bags, containers, equipment, and asbestos contaminated clothing which may produce airborne concentrations of asbestos fibers and place in sealed fiber-proof, waterproof, non-returnable containers (e.g. double plastic bags 6 mils thick, cartons, drums or cans). Wastes within the containers must be adequately wet in accordance with 40 CFR 61-SUBPART M. Affix a warning and Department of Transportation (DOT) label to each container including the bags or use at least 6 mils thick bags with the approved warnings and DOT labeling preprinted on the bag. Clearly indicate on the outside of each container the name of the waste generator and the location at which the waste was generated. Prevent contamination of the transport vehicle (especially if the transport vehicle is a rented truck likely to be used in the future for non-asbestos purposes). These precautions include lining the vehicle cargo area with plastic sheeting (similar to work area enclosure) and thorough cleaning of the cargo area after transport and unloading of asbestos debris is complete. Dispose of waste asbestos material at an Environmental Protection Agency (EPA) or State-approved asbestos landfill off Government property. For temporary storage, store sealed impermeable bags in asbestos waste drums or skids. An area for interim storage of asbestos waste-containing drums or skids will be assigned by the Contracting Officer or his authorized representative. Comply with 40 CFR 61-SUBPART M, State, regional, and local standards for hauling and disposal. Sealed plastic bags may be dumped from drums into the burial site unless the bags have been broken or damaged. Damaged bags must remain in the drum and the entire contaminated drum must be buried. Uncontaminated drums may be recycled. Workers unloading the sealed drums must wear appropriate respirators and personal protective equipment when handling asbestos materials at the disposal site.

3.3.3.2 Asbestos Disposal Quantity Report

Direct the PQP to record and report, to the Contracting Officer, the amount of asbestos containing material removed and released for disposal. Deliver the report for the previous day at the beginning of each day shift with amounts of material removed during the previous day reported in cubic yards for the amount of asbestos containing material released for disposal.

-- End of Section --

SECTION 02 83 00

LEAD REMEDIATION
11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP Z9.2	(2018) Fundamentals Governing the Design and Operation of Local Exhaust Ventilation Systems

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 701	(2019) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)

HUD 6780	(1995; Errata Aug 1996;Rev Ch. 7 - 1997) Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926.103	Respiratory Protection
29 CFR 1926.1126	Chromium
29 CFR 1926.1127	Cadmium
29 CFR 1926.21	Safety Training and Education
29 CFR 1926.33	Access to Employee Exposure and Medical Records
29 CFR 1926.55	Gases, Vapors, Fumes, Dusts, and Mists
29 CFR 1926.59	Hazard Communication
29 CFR 1926.62	Lead
29 CFR 1926.65	Hazardous Waste Operations and Emergency Response
40 CFR 260	Hazardous Waste Management System: General

40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 178	Specifications for Packagings

U.S. NAVAL FACILITIES ENGINEERING COMMAND (NAVFAC)

ND OPNAVINST 5100.23	(2005; Rev G) Navy Occupational Safety and Health (NAVOSH) Program Manual

UNDERWRITERS LABORATORIES (UL)

UL 586	(2009; Reprint Dec 2017) UL Standard for Safety High-Efficiency Particulate, Air Filter Units

1.2 DEFINITIONS

1.2.1 Abatement

Measures defined in 40 CFR 745, Section 223, designed to permanently eliminate lead-based paint hazards.

1.2.2 Action Level

Employee exposure, without regard to use of respirators, to an airborne concentration of lead of 30 micrograms per cubic meter of air averaged over an 8-hour period; to an airborne concentration of cadmium of 2.5 micrograms per cubic meter of air averaged over an 8-hour period; to an airborne concentration of chromium (VI) of 2.5 micrograms per cubic meter of air averaged over an 8-hour period.

1.2.3 Area Sampling

Sampling of lead, cadmium, chromium concentrations within the lead, cadmium, chromium control area and inside the physical boundaries which is representative of the airborne lead, cadmium, chromium concentrations but is not collected in the breathing zone of personnel (approximately 5 to 6 feet above the floor).

1.2.4 Cadmium Permissible Exposure Limit (PEL)

Five micrograms per cubic meter of air as an 8-hour time weighted average as determined by 29 CFR 1926.1127. If an employee is exposed for more than 8-hours in a work day, determine the PEL by the following formula:

PEL (micrograms/cubic meter of air) = 40/No. hrs worked per day

1.2.5 Certified Industrial Hygienist (CIH)

As used in this section refers to a person retained by the Contractor who is certified as an industrial hygienist and who is trained in the recognition and control of lead, cadmium and chromium hazards in accordance with current federal, State, and local regulations. CIH must be certified for comprehensive practice by the American Board of Industrial Hygiene. The Certified Industrial Hygienist must be independent of the Contractor and must have no employee or employer relationship which could constitute a conflict of interest.

1.2.6 Chromium Permissible Exposure Limit (PEL)

Five micrograms per cubic meter of air as an 8-hour time weighted average as determined by 29 CFR 1926.1126. If an employee is exposed for more than 8-hours in a work day, determine the PEL by the following formula:

PEL (micrograms/cubic meter of air) = 40/No. hrs worked per day

1.2.7 Competent Person (CP)

As used in this section, refers to a person employed by the Contractor who is trained in the recognition and control of lead, cadmium and chromium hazards in accordance with current federal, State, and local regulations and has the authority to take prompt corrective actions to control the lead, cadmium and chromium hazard. The Contractor may provide more than one CP as required to supervise and monitor the work. The CP must be a Certified Industrial Hygienist (CIH) certified by the American Board of Industrial Hygiene or a Certified Safety Professional (CSP) certified by the Board of Certified Safety Professionals or a licensed lead-based paint abatement Supervisor/Project Designer in the State of Rhode Island.

1.2.8 Contaminated Room

Refers to a room for removal of contaminated personal protective equipment (PPE).

1.2.9 Decontamination Shower Facility

That facility that encompasses a clean clothing storage room, and a contaminated clothing storage and disposal rooms, with a shower facility in between.

1.2.10 Deleading

Activities conducted by a person who offers to eliminate lead-based paint or lead-based paint hazards or paints containing cadmium/chromium or to plan such activities in commercial buildings, bridges or other structures.

1.2.11 Eight-Hour Time Weighted Average (TWA)

Airborne concentration of lead, cadmium, chromium to which an employee is exposed, averaged over an 8-hour workday as indicated in 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127.

1.2.12 High Efficiency Particulate Air (HEPA) Filter Equipment

HEPA filtered vacuuming equipment with a UL 586 filter system capable of collecting and retaining lead, cadmium, chromiumcontaminated particulate. A high efficiency particulate filter demonstrates at least 99.97 percent efficiency against 0.3 micron or larger size particles.

1.2.13 Lead

Metallic lead, inorganic lead compounds, and organic lead soaps. Excludes other forms of organic lead compounds. The use of the term Lead in this section also refers to paints which contain detectable concentrations of Cadmium and Chromium. For the purposes of the section lead-based paint (LBP) and paint with lead (PWL) also contains cadmium and chromium.

1.2.14 Lead-Based Paint (LBP)

Paint or other surface coating that contains lead in excess of 1.0 milligrams per centimeter squared or 0.5 percent by weight.

1.2.15 Lead-Based Paint Activities

In the case of target housing or child occupied facilities, lead-based paint activities include; a lead-based paint inspection, a risk assessment, or abatement of lead-based paint hazards.

1.2.16 Lead-Based Paint Hazards

Paint-lead hazard, dust-lead hazard or soil-lead hazard as identified in 40 CFR 745, Section 65. Any condition that causes exposure to lead from lead-contaminated dust, lead-contaminated soil, lead-based paint that is deteriorated or present in accessible surfaces, friction surfaces, or impact surfaces that would result in adverse human health effects.

1.2.17 Lead, Cadmium, Chromium Control Area

A system of control methods to prevent the spread of lead, cadmium, chromium dust, paint chips or debris to adjacent areas that may include temporary containment, floor or ground cover protection, physical boundaries, and warning signs to prevent unauthorized entry of personnel. HEPA filtered local exhaust equipment may be used as engineering controls to further reduce personnel exposures or building/outdoor environmental contamination.

1.2.18 Lead Permissible Exposure Limit (PEL)

Fifty micrograms per cubic meter of air as an 8-hour time weighted average

as determined by 29 CFR 1926.62. If an employee is exposed for more than 8-hours in a work day, determine the PEL by the following formula:

PEL (micrograms/cubic meter of air) = 400/No. hrs worked per day

1.2.19 Material Containing Lead/Paint with Lead (MCL/PWL)

Any material, including paint, which contains lead as determined by the testing laboratory using a valid test method. The requirements of this section does not apply if no detectable levels of lead are found using a quantitative method for analyzing paint or MCL using laboratory instruments with specified limits of detection (usually 0.01 percent). An X-Ray Fluorescence (XRF) instrument is not considered a valid test method.

1.2.20 Personal Sampling

Sampling of airborne lead, cadmium, chromium concentrations within the breathing zone of an employee to determine the 8-hour time weighted average concentration in accordance with 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127. Samples must be representative of the employees' work tasks. Breathing zone must be considered an area within a hemisphere, forward of the shoulders, with a radius of 6 to 9 inches and centered at the nose or mouth of an employee.

1.2.21 Physical Boundary

Area physically roped or partitioned off around lead, cadmium, chromium control area to limit unauthorized entry of personnel.

1.3 DESCRIPTION

Construction activities impacting PWL or material containing lead, cadmium, chromium which are covered by this specification include the demolition or removal of material containing lead, cadmium, chromium in failed condition, located in Building A6 and as indicated on the drawings. The work covered by this section includes work tasks and the precautions specified in this section for the protection of building occupants and the environment during and after the performance of the hazard abatement activities.

1.3.1 Protection of Existing Areas To Remain

Project work including, but not limited to, lead, cadmium, chromium hazard abatement work, storage, transportation, and disposal must be performed without damaging or contaminating adjacent work and areas. Where such work or areas are damaged or contaminated, restore work and areas to the original condition.

1.3.2 Coordination with Other Work

Coordinate with work being performed in adjacent areas to ensure there are no exposure issues. Explain coordination procedures in the Lead, Cadmium, Chromium Compliance Plan and describe how the Contractor will prevent lead, cadmium and chromium exposure to other contractors and Government personnel performing work unrelated to lead, cadmium and chromium activities.

1.3.3 Sampling and Analysis

Submit a log of the analytical results from sampling conducted during the

abatement. Keep the log of results current with project activities and brief the results to the Contracting Officer as analytical results are reported.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Competent Person Qualifications; G

Training Certification; G

Occupational and Environmental Assessment Data Report; G

Medical Examinations; G

Lead, Cadmium, Chromium Waste Management Plan; G

Licenses, Permits and Notifications; G

Lead, Cadmium, Chromium Compliance Plan; G

Written Evidence of TSD Approval; G

SD-03 Product Data

SDS sheets

Respirators; G

Vacuum Filters; G

Negative Air Pressure System; G

Materials and Equipment; G

Expendable Supplies; G

Local Exhaust Equipment; G

Pressure Differential Automatic Recording Instrument; G

Pressure Differential Log; G

SD-06 Test Reports

Sampling and Analysis; G

Occupational and Environmental Assessment Data Report; G

Sampling Results; G

Pressure Differential Recordings For Local Exhaust System; G

SD-07 Certificates

Testing Laboratory; G

Clearance Certification; G

SD-11 Closeout Submittals

Hazardous Waste Manifest; G

Turn-In Documents or Weight Tickets; G

1.5 QUALITY ASSURANCE

1.5.1 Qualifications

1.5.1.1 Competent Person (CP)

Submit name, address, and telephone number of the CP selected to perform responsibilities specified in paragraph COMPETENT PERSON (CP) RESPONSIBILITIES. Provide documented construction project-related experience with implementation of OSHA's Lead in Construction standard (29 CFR 1926.62), Chromium standard (29 CFR 1926.1126), Cadmium standard (29 CFR 1926.1127) which shows ability to assess occupational and environmental exposure to lead, cadmium, chromium; experience with the use of respirators, personal protective equipment and other exposure reduction methods to protect employee health. Demonstrate a minimum of 3 years experience implementing OSHA's Lead in Construction standard (29 CFR 1926.62), Chromium standard (29 CFR 1926.1126), and Cadmium standard (29 CFR 1926.1127). Submit proper documentation that the CP is trained and certified in accordance with federal, State Rhode Island and local laws. The competent person must be a licensed lead-based paint abatement Supervisor/Project Designer in the State of Rhode Island.

1.5.1.2 Training Certification

Submit a certificate for each worker and supervisor, signed and dated by the training provider, stating that the employee has received the required lead, cadmium and chromium training specified in 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 and is certified to perform or supervise deleading, lead removal or demolition activities in the State of Rhode Island.

1.5.1.3 Testing Laboratory

Submit the name, address, and telephone number of the testing laboratory selected to perform the air analysis, testing, and reporting of airborne concentrations of lead, cadmium and chromium. Use a laboratory participating in the EPA National Lead Laboratory Accreditation Program (NLLAP) by being accredited by either the American Association for Laboratory Accreditation (A2LA) or the American Industrial Hygiene Association (AIHA) and that is successfully participating in the Environmental Lead Proficiency Analytical Testing (ELPAT) program to perform sample analysis. Laboratories selected to perform blood lead analysis must be OSHA approved.

1.5.2 Requirements

1.5.2.1 Competent Person (CP) Responsibilities

a. Verify training meets all federal, State, and local requirements.

b. Review and approve Lead, Cadmium, Chromium Compliance Plan for conformance to the applicable referenced standards.

c. Continuously inspect LBP/PWL or MCL work for conformance with the approved plan.

d. Perform (or oversee performance of) air sampling. Recommend upgrades or downgrades (whichever is appropriate based on exposure) on the use of PPE (respirators included) and engineering controls.

e. Ensure work is performed in strict accordance with specifications at all times.

f. Control work to prevent hazardous exposure to human beings and to the environment at all times.

g. Supervise final cleaning of the lead, cadmium, chromium control area, and make recommendations for further cleaning.

h. Certify the conditions of the work as called for elsewhere in this specification.

i. The CP must be certified pursuant to 40 CFR 745, Section 226 and is responsible for development and implementation of the occupant protection plan, the abatement report and supervise lead, cadmium and chromium hazard abatement work activities.

1.5.2.2 Lead, Cadmium, Chromium Compliance Plan

Submit a detailed job-specific plan of the work procedures to be used in the disturbance of lead, cadmium and chromium, LBP/PWL or MCL. Include in the plan a sketch showing the location, size, and details of lead, cadmium, chromium control areas, critical barriers, physical boundaries, location and details of decontamination facilities, viewing ports, and mechanical ventilation system. Include a description of equipment and materials, work practices, controls and job responsibilities for each activity from which lead, cadmium, chromium is emitted. Include in the plan, eating, drinking, smoking, hygiene facilities and sanitary procedures, interface of trades, sequencing of lead, cadmium, chromium related work, collected waste water and dust containing lead, cadmium, chromium and debris, air sampling, respirators, personal protective equipment, and a detailed description of the method of containment of the operation to ensure that lead, cadmium, chromium is not released outside of the lead, cadmium, chromium control area. Include site preparation, cleanup and clearance procedures. Include occupational and environmental sampling, training and strategy, sampling and analysis strategy and methodology, frequency of sampling, duration of sampling, and qualifications of sampling personnel in the air sampling portion of the plan. Include a description of arrangements made among contractors on multicontractor worksites to inform affected employees and to clarify responsibilities to control exposures.

The plan must be developed and signed by a certified Lead Project Designer in the State of Rhode Island. The plan must include the name and

certification number of the person signing the plan.

1.5.2.3 Occupational and Environmental Assessment Data Report

If initial monitoring is necessary, submit occupational and environmental sampling results to the Contracting Officer within three working days of collection, signed by the testing laboratory employee performing the analysis, the employee that performed the sampling, and the CP.

In order to reduce the full implementation of 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 the Contractor must provide documentation. Submit a report that supports the determination to reduce full implementation of the requirements of 29 CFR 1926.62,29 CFR 1926.1126, 29 CFR 1926.1127 and supporting the Lead, Cadmium, Chromium Compliance Plan.

a. The initial monitoring must represent each job classification, or if working conditions are similar to previous jobs by the same employer, provide previously collected exposure data that can be used to estimate worker exposures per 29 CFR 1926.62,29 CFR 1926.1126, 29 CFR 1926.1127. The data must represent the worker's regular daily exposure to lead, cadmium, chromium for stated work.

b. Submit worker exposure data gathered during the task based trigger operations of 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 with a complete process description. This includes manual demolition, manual scraping, manual sanding, heat gun, power tool cleaning, rivet busting, cleanup of dry expendable abrasives, abrasive blast enclosure removal, abrasive blasting, welding, cutting and torch burning where lead, cadmium and chromium containing coatings are present.

c. The initial assessment must determine the requirement for further monitoring and the need to fully implement the control and protective requirements including the lead, cadmium, chromium compliance plan per 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127.

1.5.2.4 Medical Examinations

Submit pre-work blood lead levels and post-work blood lead levels for all workers performing lead, cadmium, chromium activities during the execution of the work. Initial medical surveillance as required by 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 must be made available to all employees exposed to lead, cadmium, chromium at any time (one day) above the action level. Full medical surveillance must be made available to all employees on an annual basis who are or may be exposed to lead, cadmium and chromium in excess of the action level for more than 30 days a year or as required by 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127. Adequate records must show that employees meet the medical surveillance requirements of 29 CFR 1926.33, 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 and 29 CFR 1926.103. Provide medical surveillance to all personnel exposed to lead, cadmium, chromium as indicated in 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127. Maintain complete and accurate medical records of employees for the duration of employment plus 30 years.

1.5.2.5 Training

Train each employee performing work that disturbs lead, cadmium, chromium, who performs LBP/MCL/PWL disposal, and air sampling operations prior to the time of initial job assignment and annually thereafter, in accordance with 29 CFR 1926.21, 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127,

40 CFR 745 and State of Rhode Island and local regulations where appropriate.

1.5.2.6 Respiratory Protection Program

a. Provide each employee required to wear a respirator a respirator fit test at the time of initial fitting and at least annually thereafter as required by 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127.

b. Establish and implement a respiratory protection program as required by 29 CFR 1926.103, 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 and 29 CFR 1926.55.

1.5.2.7 Hazard Communication Program

Establish and implement a Hazard Communication Program as required by 29 CFR 1926.59.

1.5.2.8 Lead, Cadmium, Chromium Waste Management

The Lead, Cadmium, Chromium Waste Management Plan must comply with applicable requirements of federal, State, and local hazardous waste regulations and address:

a. Identification and classification of wastes associated with the work.

b. Estimated quantities of wastes to be generated and disposed of.

c. Names and qualifications of each contractor that will be transporting, storing, treating, and disposing of the wastes. Include the facility location and a 24-hour point of contact. Furnish two copies of State hazardous waste permits.

d. Names and qualifications (experience and training) of personnel who will be working on-site with hazardous wastes.

e. List of waste handling equipment to be used in performing the work, to include cleaning, volume reduction, and transport equipment.

f. Spill prevention, containment, and cleanup contingency measures including a health and safety plan to be implemented in accordance with 29 CFR 1926.65.

g. Work plan and schedule for waste containment, removal and disposal. Proper containment of the waste includes using acceptable waste containers (e.g., 55-gallon drums) as well as proper marking/labeling of the containers. Clean up and containerize wastes daily.

h. Include any process that may alter or treat waste rendering a hazardous waste non hazardous.

i. Unit cost for hazardous waste disposal according to this plan.

1.5.2.9 Environmental, Safety and Health Compliance

In addition to the detailed requirements of this specification, comply with laws, ordinances, rules, and regulations of federal, State, and local authorities regarding lead, cadmium and chromium. Comply with the applicable requirements of the current issue of 29 CFR 1926.62,

29 CFR 1926.1126, 29 CFR 1926.1127, EM 385-1-1, ND OPNAVINST 5100.23. Submit matters regarding interpretation of standards to the Contracting Officer for resolution before starting work. Where specification requirements and the referenced documents vary, the most stringent requirements apply. The following State laws, ordinances, criteria, rules and regulations regarding removing, handling, storing, transporting, and disposing of lead, cadmium and chromium-contaminated materials apply:

a. Rhode Island Air Pollution Regulation No. 24 - RIDEM NO. 24.

b. Rules and Regulations for Hazardous Waste Management - Regulation No. DEM-DAHM-WMB-01-92-State of Rhode Island - Department of Environmental Management.

c. Rules and Regulations for Licensing Analytical Laboratories - R23-16.2A/LAB - DOH - State of Rhode Island.

1.5.3 Pressure Differential Recordings for Local Exhaust System

Provide a local exhaust system that creates a negative pressure of at least 0.02 inches of water relative to the pressure external to the enclosure and operate it continuously, 24-hours a day, until the temporary enclosure of the lead, cadmium, chromium control area is removed. Submit pressure differential recordings for each work day to the PQP for review and to the Contracting Officer within 24-hours from the end of each work day.

1.5.4 Licenses, Permits and Notifications

Certify and submit in writing to the the Contracting Officer at least 10 days prior to the commencement of work that licenses, permits and notifications have been obtained. All associated fees or costs incurred in obtaining the licenses, permits and notifications are included in the contract price.

1.5.5 Pre-Construction Conference

Along with the CP, meet with the Contracting Officer to discuss in detail the Lead, Cadmium, Chromium Waste Management Plan and the Lead, Cadmium, Chromium Compliance Plan, including procedures and precautions for the work.

1.6 EQUIPMENT

1.6.1 Respirators

Furnish appropriate respirators approved by the National Institute for Occupational Safety and Health (NIOSH), Department of Health and Human Services, for use in atmospheres containing lead, cadmium and chromium dust, fume and mist. Respirators must comply with the requirements of 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127.

1.6.2 Special Protective Clothing

Personnel exposed to lead, cadmium, chromiumcontaminated dust must wear proper disposable protective whole body clothing, head covering, gloves, eye, and foot coverings as required by 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127. Furnish proper disposable plastic or rubber gloves to protect hands. Reduce the level of protection only after obtaining approval from the CP.

1.6.3 Rental Equipment Notification

If rental equipment is to be used during PWL or MCL handling and disposal, notify the rental agency in writing concerning the intended use of the equipment.

1.6.4 Vacuum Filters

UL 586 labeled HEPA filters.

1.6.5 Equipment for Government Personnel

Furnish the Contracting Officer with two complete sets of personal protective equipment (PPE) daily, as required herein, for entry into and inspection of the lead, cadmium and chromium removal work within the lead, cadmium and chromium controlled area. Personal protective equipment must include disposable whole body covering, including appropriate foot, head, eye, and hand protection. PPE remains the property of the Contractor. The Government will provide respiratory protection for the Contracting Officer.

1.6.6 Abrasive Removal Equipment

The use of powered machine for vibrating, sanding, grinding, or abrasive blasting is prohibited unless equipped with local exhaust ventilation systems equipped with high efficiency particulate air (HEPA) filters.

1.6.7 Negative Air Pressure System

1.6.7.1 Minimum Requirements

Do not proceed with work in the area until containment is set up and HEPA filtration systems are in place. The negative air pressure system must meet the requirements of ASSP Z9.2 including approved HEPA filters in accordance with UL 586. Negative air pressure equipment must be equipped with new HEPA filters, and be sufficient to maintain a minimum pressure differential of minus 0.02 inch of water column relative to adjacent, unsealed areas. Negative air pressure system minimum requirements are listed as follows:

a. The unit must be capable of delivering its rated volume of air with a clean first stage filter, an intermediate filter and a primary HEPA filter in place.

b. The HEPA filter must be certified as being capable of trapping and retaining mono-disperse particles as small as 0.3 micrometers at a minimum efficiency of 99.97 percent.

c. The unit must be capable of continuing to deliver no less than 70 percent of rated capacity when the HEPA filter is 70 percent full or measures 2.5 inches of water static pressure differential on a magnehelic gauge.

d. Equip the unit with a manometer-type negative pressure differential monitor with minor scale division of 0.02 inch of water and accuracy within plus or minus 1.0 percent. The manometer must be calibrated daily as recommended by the manufacturer.

e. Equip the unit with a means for the operator to easily interpret the

readings in terms of the volumetric flow rate of air per minute moving through the machine at any given moment.

f. Equip the unit with an electronic mechanism that automatically shuts the machine off in the event of a filter breach or absence of a filter.

g. Equip the unit with an audible horn that sounds an alarm when the machine has shut itself off.

h. Equip the unit with an automatic safety mechanism that prevents a worker from improperly inserting the main HEPA filter.

1.6.7.2 Auxiliary Generator

Provide an auxiliary generator with capacity to power a minimum of 50 percent of the negative air machines at any time during the work. When power fails, the generator controls must automatically start the generator and switch the negative air pressure system machines to generator power. The generator must not present a carbon monoxide hazard to workers.

1.6.8 Vacuum Systems

Vacuum systems must be suitably sized for the project, and filters must be capable of trapping and retaining all mono-disperse particles as small as 0.3 micrometers (mean aerodynamic diameter) at a minimum efficiency of 99.97 percent. Properly dispose of used filters that are being replaced.

1.7 PROJECT/SITE CONDITIONS

1.7.1 Protection of Existing Work to Remain

Perform work without damage or contamination of adjacent areas. Where existing work is damaged or contaminated, restore work to its original condition or better as determined by the Contracting Officer.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Keep materials and equipment needed to complete the project available and on the site. Submit a description of the materials and equipment required; including Safety Data Sheets (SDSs) for material brought onsite to perform the work.

2.1.1 Expendable Supplies

Submit a description of the expendable supplies required.

2.1.1.1 Polyethylene Bags

Disposable bags must be polyethylene plastic and be a minimum of 6 mils thick (4 mils thick if double bags are used) or any other thick plastic material shown to demonstrate at least equivalent performance; and capable of being made leak-tight. Leak-tight means that solids, liquids or dust cannot escape or spill out.

2.1.1.2 Polyethylene Leak-tight Wrapping

Wrapping used to wrap lead, cadmium, chromium contaminated debris must be

polyethylene plastic that is a minimum of 6 mils thick or any other thick plastic material shown to demonstrate at least equivalent performance.

2.1.1.3 Polyethylene Sheeting

Sheeting must be polyethylene plastic with a minimum thickness of 6 mil, or any other thick plastic material shown to demonstrate at least equivalent performance; and be provided in the largest sheet size reasonably accommodated by the project to minimize the number of seams. Where the project location constitutes an out of the ordinary potential for fire, or where unusual fire hazards cannot be eliminated, provide flame-resistant polyethylene sheets which conform to the requirements of NFPA 701.

2.1.1.4 Tape and Adhesive Spray

Tape and adhesive must be capable of sealing joints between polyethylene sheets and for attachment of polyethylene sheets to adjacent surfaces. After dry application, tape or adhesive must retain adhesion when exposed to wet conditions, including amended water. Tape must be minimum 2 inches wide, industrial strength.

2.1.1.5 Containers

When used, containers must be leak-tight and be labeled in accordance with EPA, DOT and OSHA standards.

2.1.1.6 Detergents and Cleaners

Detergents or cleaning agents must not contain trisodium phosphate and have demonstrated effectiveness in lead, cadmium and chromium control work using cleaning techniques specified by HUD 6780 guidelines.

2.1.1.7 Lead Paint Encapsulant

Provide lead paint encapsulant with the following performance charactreistics.

a. Exposure: Interior/Exterior
b. Dry Film Thickness Compliance to ASTM E 1795 (Interior Use): 7 mils DFT
c. Finish: Matte
d. Specular Gloss: 5.5° ± 1 @ 60°
e. Volume Solids: 45.0% ± 2
f. Weight Solids: 59.0% ± 2
g. Viscosity @ 77°F: 95-120 KU @ 70°F
h. Maximum VOC: 88 g/l
i. Flame Spread (UL 723): 0
j. Smoke Development (UL 723): 0
k. Contains FDA-approved Anti-Ingestant: No
l. Underwriter's Laboratories (UL®): Classified

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Protection

3.1.1.1 Notification

a. Notify the Contracting Officer 20 days prior to the start of any lead, cadmium and chromium work.

3.1.1.2 Lead, Cadmium, Chromium Control Area

a. Physical Boundary - Provide physical boundaries around the lead, cadmium, chromium control area by roping off the area designated in the work plan or providing curtains, portable partitions or other enclosures to ensure that lead, cadmium and chromium will not escape outside of the lead, cadmium and chromium control area. Prohibit the general public from accessing the lead, cadmium, chromium control areas.

b. Warning Signs - Provide warning signs at approaches to lead, cadmium, chromium control areas. Locate signs at such a distance that personnel may read the sign and take the necessary precautions before entering the area. Signs must comply with the requirements of 29 CFR 1926.62.

3.1.1.3 Furnishings

The Government will remove furniture and equipment from the building before lead, cadmium and chromium work begins.

3.1.1.4 Heating, Ventilating and Air Conditioning (HVAC) Systems

Shut down, lock out, and isolate HVAC systems that supply, exhaust, or pass through the lead, cadmium, chromium control areas. Seal intake and exhaust vents in the lead, cadmium, chromium control area with 6 mil plastic sheet and tape. Seal seams in HVAC components that pass through the lead, cadmium, chromium control area.

3.1.1.5 Local Exhaust System

Provide a local exhaust system in the lead, cadmium, chromium control area in accordance with ASSP Z9.2, 29 CFR 1926.62, 29 CFR 1926.1126 and 29 CFR 1926.1127 that will provide at least 4 air changes per hour inside of the negative pressure enclosure. Local exhaust equipment must be operated 24-hours per day, until the lead, cadmium, chromium control area is removed and must be leak proof to the filter and equipped with HEPA filters. Maintain a minimum pressure differential in the lead, cadmium, chromium control area of minus 0.02 inch of water column relative to adjacent, unsealed areas. Provide continuous 24-hour per day monitoring of the pressure differential with a pressure differential automatic recording instrument. The building ventilation system must not be used as the local exhaust system for the lead, cadmium, chromium control area. Filters on exhaust equipment must conform to ASSP Z9.2 and UL 586. Terminate the local exhaust system out of doors and remote from any public access or ventilation system intakes.

3.1.1.6 Negative Air Pressure System Containment

a. Operate the negative air pressure systems to provide at least 4 air changes per hour inside the containment. Operate the local exhaust unit equipment continuously until the containment is removed. Smoke test the negative air pressure system for leaks at the beginning of each shift. The certified supervisor is responsible to continuously monitor and keep a pressure differential log with an automatic manometric recording instrument. Notify the Contracting Officer immediately if the pressure differential falls below the prescribed minimum. Submit the continuously monitored pressure differential log, as specified. Do not use the building ventilation system as the local exhaust system. Terminate the local exhaust system out of doors unless the Contracting Officer allows an alternate arrangement. All filters must be new at the beginning of the project and be periodically changed as necessary to maintain specified pressure differential and disposed of as lead, cadmium and chromium contaminated waste.

b. Discontinuing Negative Air Pressure System. Operate the negative air pressure system continuously during abatement activities unless otherwise authorized by the Contracting Officer. At the completion of the project, units must be run until full cleanup has been completed and final clearance testing requirements have been met. Dismantling of the negative air pressure systems must be approved by the Contracting Officer be as presented in the Lead, Cadmium, Chromium Compliance Plan. Seal the HEPA filter machine intakes with polyethylene to prevent environmental contamination.

3.1.1.7 Decontamination Shower Facility

Provide clean and contaminated change rooms and shower facilities in accordance with this specification and 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127.

3.1.1.8 Eye Wash Station

Provide suitable facilities within the work area for quick drenching or flushing of the eyes where eyes may be exposed to injurious corrosive materials.

3.1.1.9 Mechanical Ventilation System

a. Use adequate ventilation to control personnel exposure to lead, cadmium and chromium in accordance with 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127. To the extent feasible, use local exhaust ventilation or other collection systems, approved by the CP. Evaluate and maintain local exhaust ventilation systems in accordance with 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127.

b. Vent local exhaust outside the building and away from building ventilation intakes or ensure system is connected to HEPA filters.

c. Use locally exhausted, power actuated tools or manual hand tools.

3.1.1.10 Personnel Protection

Personnel must wear and use protective clothing and equipment as specified herein. Eating, smoking, or drinking or application of cosmetics is not permitted in the lead, cadmium, chromium control area. No one will be

permitted in the lead, cadmium, chromium control area unless they have been appropriately trained and provided with protective equipment.

3.2 ERECTION

3.2.1 Lead, Cadmium, Chromium Control Area Requirements

Full containment - Contain removal operations by the use of critical barriers and HEPA filtered exhaust a negative pressure enclosure system with decontamination facilities and with HEPA filtered exhaust if required by the CP. For containment areas larger than 1,000 square feet install a minimum of two 18 inch square viewing ports. Locate ports to provide a view of the required work from the exterior of the enclosed contaminated area. Glaze ports with laminated safety glass.

3.3 APPLICATION

3.3.1 Lead, Cadmium, Chromium Work

Perform lead, cadmium, chromium work in accordance with approved Lead, Cadmium, Chromium Compliance Plan. Use procedures and equipment required to limit occupational exposure and environmental contamination with lead, cadmium, chromium when the work is performed in accordance with 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127, and as specified herein. Dispose of all PWL or MCL and associated waste in compliance with federal, State, and local requirements.

3.3.2 Paint with Lead, Cadmium, Chromium or Material Containing Lead, Cadmium, Chromium Removal

Manual or power sanding or grinding of lead, cadmium, chromium surfaces or materials is not permitted unless tools are equipped with HEPA attachments or wet methods. The dry sanding or grinding of surfaces that contain lead, cadmium, chromium is prohibited. Provide methodology for removing lead, cadmium, chromium in the Lead, Cadmium, Chromium Compliance Plan. Select lead, cadmium, chromium removal processes to minimize contamination of work areas outside the control area with lead, cadmium, chromium contaminated dust or other lead, cadmium, chromium contaminated debris or waste and to ensure that unprotected personnel are not exposed to hazardous concentrations of lead, cadmium, chromium. Describe this removal process in the Lead, Cadmium, Chromium Compliance Plan.

Provide methodology for lead, cadmium and chromium, LBP/PWL abatement/control and processes to minimize contamination of work areas outside the control area with lead, cadmium, chromium contaminated dust or other lead, cadmium, chromium contaminated debris/waste and to ensure that unprotected personnel are not exposed to hazardous concentrations of lead, cadmium, chromium. Describe this lead,, cadmium and chromium, LBP/PWL removal/control process in the Lead, Cadmium, Chromium Compliance Plan.

3.3.2.1 Paint with Lead, Cadmium, Chromium or Material Containing Lead, Cadmium, Chromium - Indoor Removal

Perform manual removal in the lead, cadmium, chromium control areas using enclosures, barriers or containments and powered locally exhausted tools equipped with HEPA filters. Collect residue and debris for disposal in accordance with federal, State, and local requirements.

3.3.2.2 Paint with Lead, Cadmium, Chromium or Material Containing Lead, Cadmium, Chromium - Outdoor Removal

Perform outdoor removal as indicated in federal, State, and local regulations and in the Lead, Cadmium, Chromium Compliance Plan. The worksite preparation (barriers or containments) must be job dependent and presented in the Lead, Cadmium, Chromium Compliance Plan.

3.3.3 Personnel Exiting Procedures

Whenever personnel exit the lead, cadmium, chromium controlled area, they must perform the following procedures and must not leave the work place wearing any clothing or equipment worn in the control area:

a. Vacuum all clothing before entering the contaminated change room.

b. Remove protective clothing in the contaminated change room, and place them in an approved impermeable disposal bag.

c. Wash hands and face at the site, don appropriate disposable or uncontaminated reusable clothing, move to an appropriate shower facility, shower.

d. Change to clean clothes prior to leaving the clean clothes storage area.

3.3.4 Lead Encapsulant Application

Prime surfaces to receive encapsulant as follows.

a. Spot prime bare brick with a stain blocking primer
b. Spot prime bare steel with a rust inhibiting metal primer

Use the following airless spray equipment settings for encapsulant:

a. Tip Orifice 0.021" to 0.025"
b. Tip Fan Size 521
c. Operating Air Pressure 1800 - 2000 psi
d. Airless Hose ID 1/4"
e. Min. Pump G.P.M. 0.50
f. Hose Length 50' -100'

Use the following spraying technique for encapsulant:

a. Apply encapsulants in sweeping strokes always keeping the tip of the gun parallel to the surface at a distance between 12" to 18" inches.

b. The speed at which the product is applied depends on the system used.

c. Normally a slow to moderate sweeping stroke of first horizontal followed by vertical passes will afford the desired results.

d. If necessary, an angular mist coat may be applied to even out irregularities.

Apply encapsulant in fth following mil thickness:

a. 14 mils wet that results in 7 mils dry thickness

3.4 FIELD QUALITY CONTROL

3.4.1 Tests

3.4.1.1 Air and Wipe Sampling

Conduct sampling for lead, cadmium, chromium in accordance with 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 and as specified herein. Air and wipe sampling must be directed or performed by the CP.

a. The CP must be on the job site directing the air and wipe sampling and inspecting the PWL or MCL removal work to ensure that the requirements of the contract have been satisfied during the entire PWL or MCL operation.

b. Collect personal air samples on employees who are anticipated to have the greatest risk of exposure as determined by the CP. In addition, collect air samples on at least twenty-five percent of the work crew or a minimum of two employees, whichever is greater, during each work shift.

c. Submit results of air samples, signed by the CP, within 72-hours after the air samples are taken.

d. Conduct area air sampling daily, on each shift in which lead, cadmium and chromium and lead-based paint removal operations are performed, in areas immediately adjacent to the lead, cadmium and chromium control area. Conduct sufficient area monitoring to ensure unprotected personnel are not exposed at or above 30 micrograms of lead per cubic meter of air or 2.5 micrograms of cadmium/chromium per cubic meter of air. If 30 micrograms of lead per cubic meter of air or 2.5 micrograms of cadmium/chromium per cubic meter of air is reached or exceeded, stop work, correct the conditions(s) causing the increased levels. Notify the Contracting Officer immediately. Determine if condition(s) require any further change in work methods. Resume removal work only after the CP and the Contracting Officer give approval.

3.4.1.2 Testing of Material Containing Lead, Cadmium, Chromium Residue

Test residue in accordance with 40 CFR 261 for hazardous waste.

3.5 CLEANING AND DISPOSAL

3.5.1 Cleanup

Maintain surfaces of the lead, cadmium, chromium control area free of accumulations of dust and debris. Restrict the spread of dust and debris; keep waste from being distributed over the work area. Do not dry sweep or use pressurized air to clean up the area. At the end of each shift and when the lead, cadmium, chromium operation has been completed, clean the controlled area of all visible contamination by vacuuming with a HEPA filtered vacuum cleaner, wet mopping the area and wet wiping the area as indicated by the Lead, Cadmium, Chromium Compliance Plan. Reclean areas showing dust or debris. After visible dust and debris is removed, wet wipe and HEPA vacuum all surfaces in the controlled area. If adjacent areas become contaminated at any time during the work, clean, visually inspect, and then wipe sample all contaminated areas. The CP must then certify in writing that the area has been cleaned of lead, cadmium and chromium

contamination before clearance testing.

3.5.1.1 Clearance Certification

The CP must certify in writing that air samples collected outside the lead, cadmium, chromium control area during paint removal operations are less than 30 micrograms of lead per cubic meter of airand less than 2.5 micrograms of cadmium/chromium per cubic meter of air; the respiratory protection used for the employees was adequate; the work procedures were performed in accordance with 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127; and that there were no visible accumulations of material and dust containing lead, cadmium, chromium left in the work site. Do not remove the lead, cadmium, chromium control area or roped off boundary and warning signs prior to the Contracting Officer's acknowledgement of receipt of the CP certification.

3.5.2 Disposal

a. Dispose of material, whether hazardous or non-hazardous in accordance with all laws and provisions and all federal, State or local regulations. Ensure all waste is properly characterized. The result of each waste characterization (TCLP for RCRA materials) will dictate disposal requirements.

b. Contractor is responsible for segregation of waste. Collect lead, cadmium, chromium contaminated waste, scrap, debris, bags, containers, equipment, and lead, cadmium, chromiumcontaminated clothing that may produce airborne concentrations of lead, cadmium, chromium particles. Label the containers in accordance with 29 CFR 1926.62, 29 CFR 1926.1126, 29 CFR 1926.1127 and 40 CFR 261, 40 CFR 262 and corresponding state regulations.

c. Dispose of lead, cadmium, chromiumcontaminated material classified as hazardous waste at an State approved hazardous waste treatment, storage, or disposal facility off Government property.

d. Accumulate waste materials in U.S. Department of Transportation (49 CFR 178) approved 55 gallon drums or appropriately sized container for smaller volumes. Properly label each drum to identify the type of hazardous material (49 CFR 172). For hazardous waste, the collection container requires marking/labeling in accordance with 40 CFR 262 and corresponding state regulations during the accumulation/collection timeframe. The Contracting Officer or an authorized representative will assign an area for accumulation of waste containers. Coordinate authorized accumulation volumes and time limits with the host installation environmental function.

e. Handle, store, transport, and dispose lead, cadmium, chromium or lead, cadmium, chromium contaminated waste in accordance with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, and 40 CFR 265. Comply with land disposal restriction notification requirements as required by 40 CFR 268.

f. All lead, cadmium, and chromium waste generation, management,and disposal will be coordinated with the host installation environmental function.

3.5.2.1 Disposal Documentation

Coordinate all disposal or off-site shipments of lead, cadmium, and chromium waste with the host installation environmental function. Submit written evidence of TSD approval to demonstrate the hazardous waste treatment, storage, or disposal facility (TSD) is approved for lead, cadmium, chromium disposal by the EPA, State or local regulatory agencies. Submit one copy of the completed hazardous waste manifest, signed and dated by the initial transporter in accordance with 40 CFR 262. Provide a certificate that the waste was accepted by the disposal facility. Provide turn-in documents or weight tickets for non-hazardous waste disposal.

3.5.2.2 Payment for Hazardous Waste

Payment for disposal of hazardous and non-hazardous waste will not be made until a signed copy of the manifest from the treatment or disposal facility is received and approved by the Contracting Officer. The manifest must detail and certify the amount of lead, cadmium, chromium containing materials or non-hazardous waste delivered to the treatment or disposal facility.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 03 30 53

MISCELLANEOUS CAST-IN-PLACE CONCRETE
05/14

PART 1 GENERAL

1.1 SUMMARY

Perform all work in accordance withACI 318.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; Errata 2011) Specifications for Tolerances for Concrete Construction and Materials and Commentary
ACI 301	(2016) Specifications for Structural Concrete
ACI 304R	(2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete
ACI 305R	(2010) Guide to Hot Weather Concreting
ACI 306R	(2016) Guide to Cold Weather Concreting
ACI 318	(2014; Errata 1-2 2014; Errata 3-5 2015; Errata 6 2016; Errata 7-9 2017) Building Code Requirements for Structural Concrete (ACI 318-14) and Commentary (ACI 318R-14)
ACI 347R	(2014; Errata 1 2017) Guide to Formwork for Concrete
ACI SP-66	(2004) ACI Detailing Manual

ASTM INTERNATIONAL (ASTM)

ASTM A1064/A1064M	(2017) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM A615/A615M	(2016) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM C1064/C1064M	(2017) Standard Test Method for Temperature of Freshly Mixed Hydraulic-Cement Concrete

ASTM C143/C143M	(2015) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2018) Standard Specification for Portland Cement
ASTM C1602/C1602M	(2012) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2016) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2017a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a; R 2016) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C309	(2011) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C31/C31M	(2018b) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2018) Standard Specification for Concrete Aggregates
ASTM C39/C39M	(2018) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C494/C494M	(2017) Standard Specification for Chemical Admixtures for Concrete
ASTM C618	(2017a) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C685/C685M	(2017) Standard Specification for Concrete Made by Volumetric Batching and Continuous Mixing
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C94/C94M	(2017a) Standard Specification for Ready-Mixed Concrete
ASTM D1752	(2004a; R 2013) Standard Specification for Preformed Sponge Rubber Cork and Recycled PVC Expansion

ASTM D412	(2016) Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers - Tension
ASTM D471	(2016a) Standard Test Method for Rubber Property - Effect of Liquids
ASTM D75/D75M	(2014) Standard Practice for Sampling Aggregates

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 513	(1974) Corps of Engineers Specifications for Rubber Waterstops
COE CRD-C 572	(1974) Corps of Engineers Specifications for Polyvinylchloride Waterstops

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 247	Comprehensive Procurement Guideline for Products Containing Recovered Materials

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Installation Drawings; G

SD-03 Product Data

Air-Entraining Admixture
Water-Reducing or Retarding Admixture
Curing Materials
Expansion Joint Filler Strips, Premolded
Joint Sealants - Field Molded Sealants
Waterstops
Chemical Floor Hardener
Batching and Mixing Equipment
Conveying and Placing Concrete
Formwork
Mix Design Data; G
Ready-Mix Concrete
Curing Compound
Mechanical Reinforcing Bar Connectors

SD-06 Test Reports

Aggregates
Concrete Mixture Proportions; G
Compressive Strength Testing; G
Slump; G
Air Content

Water

SD-07 Certificates

Cementitious Materials
Aggregates
Delivery Tickets

SD-08 Manufacturer's Instructions

Chemical Floor Hardener
Curing Compound

1.4 QUALITY ASSURANCE

Indicate specific locations of Concrete Placement Forms Steel Reinforcement on installation drawings and include, but not be limited to, square feet of concrete placements, thicknesses and widths, plan dimensions, and arrangement of cast-in-place concrete section.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

The Government retains the option to sample and test joint sealer, joint filler material, waterstop, aggregates and concrete to determine compliance with the specifications. Provide facilities and labor as may be necessary to assist the Government in procurement of representative test samples. Obtain samples of aggregates at the point of batching in accordance with ASTM D75/D75M. Sample concrete in accordance with ASTM C172/C172M. Determine slump and air content in accordance with ASTM C143/C143M and ASTM C231/C231M, respectively, when cylinders are molded. Prepare, cure, and transport compression test specimens in accordance with ASTM C31/C31M. Test compression test specimens in accordance with ASTM C39/C39M. Take samples for strength tests not less than once each shift in which concrete is produced from each strength of concrete required. Provide a minimum of five specimens from each sample; two to be tested at 28 days (90 days if pozzolan is used) for acceptance, two will be tested at 7 days for information and one held in reserve.

2.1.1 Strength

Acceptance test results are the average strengths of two specimens tested at 28 days (90 days if pozzolan is used). The strength of the concrete is considered satisfactory so long as the average of three consecutive acceptance test results equal or exceed the specified compressive strength, f'c, but not more than 20 percent, and no individual acceptance test result falls below f'c by more than 500 psi.

2.1.2 Construction Tolerances

Apply a Class "C" finish to all surfaces except those specified to receive a Class "D" finish. Apply a Class "D" finish to all post-construction surfaces which will be permanently concealed. Surface requirements for the classes of finish required are as specified in ACI 117.

2.1.3 Concrete Mixture Proportions

Concrete mixture proportions are the responsibility of the Contractor.

Mixture proportions must include the dry weights of cementitious material(s); the nominal maximum size of the coarse aggregate; the specific gravities, absorptions, and saturated surface-dry weights of fine and coarse aggregates; the quantities, types, and names of admixtures; and quantity of water per yard of concrete. Provide materials included in the mixture proportions of the same type and from the same source as will be used on the project. The specified compressive strength f'c is 4,000 psi at 28 days (90 days if pozzolan is used). The maximum nominal size coarse aggregate is 3/4 inch, in accordance with ACI 304R. The air content must be between 4.5 and 7.5 percent with a slump between 2 and 5 inches. The maximum water-cementitious material ratio is 0.50 . Submit the applicable test reports and mixture proportions that will produce concrete of the quality required, ten days prior to placement of concrete.

2.2 MATERIALS

Submit manufacturer's literature from suppliers which demonstrates compliance with applicable specifications for the specified materials.

2.2.1 Cementitious Materials

Submit Manufacturer's certificates of compliance, accompanied by mill test reports, attesting that the concrete materials meet the requirements of the specifications in accordance with the Special Clause "CERTIFICATES OF COMPLIANCE". Also, certificates for all material conforming to EPA's Comprehensive Procurement Guidelines (CPG), in accordance with 40 CFR 247. Provide cementitious materials that conform to the appropriate specifications listed:

2.2.1.1 Portland Cement

ASTM C150/C150M, Type III, with tri-calcium aluminates (C3A) content less than 10 percent and a maximum cement-alkali content of 0.80 percent Na2Oe (sodium oxide) equivalent.

2.2.1.2 Pozzolan

Provide pozzolan that conforms to ASTM C618, Class F, including requirements of Tables 1A and 2A.

2.2.2 Aggregates

For fine and coarse aggregates meet the quality and grading requirements of ASTM C33/C33M. Submit certificates of compliance and test reports for aggregates showing the material(s) meets the quality and grading requirements of the specifications under which it is furnished.

2.2.3 Admixtures

Provide admixtures, when required or approved, in compliance with the appropriate specification listed. Retest chemical admixtures that have been in storage at the project site, for longer than 6 months or that have been subjected to freezing, at the expense of the Contractor at the request of the Contracting Officer and will be rejected if test results are not satisfactory.

2.2.3.1 Air-Entraining Admixture

Provide air-entraining admixture that meets the requirements of

ASTM C260/C260M.

2.2.3.2 Water-Reducing or Retarding Admixture

Provide water-reducing or retarding admixture meeting the requirements of ASTM C494/C494M, Type A, B, or D.

2.2.4 Water

Mixing and curing water in compliance with the requirements of ASTM C1602/C1602M; potable, and free of injurious amounts of oil, acid, salt, or alkali. Submit test report showing water complies with ASTM C1602/C1602M.

2.2.5 Reinforcing Steel

Provide reinforcing bars conforming to the requirements of ASTM A615/A615M, Grade 60, deformed. Provide welded steel wire reinforcement conforming to the requirements of ASTM A1064/A1064M. Detail reinforcement not indicated in accordance with ACI 301 and ACI SP-66. Provide mechanical reinforcing bar connectors in accordance with ACI 301 and provide 125 percent minimum yield strength of the reinforcement bar.

2.2.6 Expansion Joint Filler Strips, Premolded

Expansion joint filler strips, premolded of sponge rubber conforming to ASTM D1752, Type I.

2.2.7 Joint Sealants - Field Molded Sealants

Conform to ASTM C920, Type M, Grade NS, Class 25, use NT for vertical joints and Type M, Grade P, Class 25, use T for horizontal joints. Provide polyethylene tape, coated paper, metal foil, or similar type bond breaker materials. The backup material needs to be compressible, nonshrink, nonreactive with the sealant, and a nonabsorptive material such as extruded butyl or polychloroprene foam rubber. Immediately prior to installation of field-molded sealants, clean the joint of all debris and further cleaned using water, chemical solvents, or other means as recommended by the sealant manufacturer or directed.

2.2.8 Formwork

Design and engineer the formwork as well as its construction in accordance with ACI 301 Section 2 and 5 and ACI 347R. Fabricate of wood, steel, or other approved material. Submit formwork design prior to the first concrete placement.

2.2.9 Form Coatings

Provide form coating in accordance with ACI 301.

2.2.10 Curing Materials

Provide curing materials in accordance with ACI 301, Section 5.

2.3 READY-MIX CONCRETE

Provide ready-mix concrete with mix design data conforming to ACI 301 Part 2. Submit delivery tickets in accordance with ASTM C94/C94M for each

ready-mix concrete delivery, include the following additional information: .

a. Type and brand cement

b. Cement content in 94-pound bags per cubic yard of concrete

c. Maximum size of aggregate

d. Amount and brand name of admixture

e. Total water content expressed by water cementitious material ratio

2.4 ACCESSORIES

2.4.1 Waterstops

2.4.1.1 PVC Waterstop

Polyvinylchloride waterstops conforming to COE CRD-C 572.

2.4.1.2 Rubber Waterstop

Rubber waterstops conforming to COE CRD-C 513.

2.4.1.3 Thermoplastic Elastomeric Rubber Waterstop

Thermoplastic elastomeric rubber waterstops conforming to ASTM D471.

2.4.1.4 Hydrophilic Waterstop

Swellable strip type compound of polymer modified chloroprene rubber that swells upon contact with water conforming to ASTM D412 as follows: Tensile strength 420 psi minimum; ultimate elongation 600 percent minimum. Minimum hardness of 50 on the type A durometer and the volumetric expansion ratio in distilled water at 70 degrees F; 3 to 1 minimum.

2.4.2 Chemical Floor Hardener

Provide hardener which is a colorless aqueous solution containing a blend of inorganic silicate or siliconate material and proprietary components combined with a wetting agent; that penetrates, hardens, and densifies concrete surfaces. Submit manufactures instructions for placement of liquid chemical floor hardener.

2.4.3 Curing Compound

Provide curing compound conforming to ASTM C309. Submit manufactures instructions for placing curing compound.

PART 3 EXECUTION

3.1 PREPARATION

Prepare construction joints to expose coarse aggregate. The surface must be clean, damp, and free of laitance. Construct ramps and walkways, as necessary, to allow safe and expeditious access for concrete and workmen. Remove snow, ice, standing or flowing water, loose particles, debris, and foreign matter. Satisfactorily compact earth foundations. Make spare vibrators available. Placement cannot begin until the entire preparation

has been accepted by the Government.

3.1.1 Embedded Items

Secure reinforcement in place after joints, anchors, and other embedded items have been positioned. Arrange internal ties so that when the forms are removed the metal part of the tie is not less than 2 inches from concrete surfaces permanently exposed to view or exposed to water on the finished structures. Prepare embedded items so they are be free of oil and other foreign matters such as loose coatings or rust, paint, and scale. The embedding of wood in concrete is permitted only when specifically authorized or directed. Provide all equipment needed to place, consolidate, protect, and cure the concrete at the placement site and in good operating condition.

3.1.2 Formwork Installation

Forms must be properly aligned, adequately supported, and mortar-tight. Provide smooth form surfaces, free from irregularities, dents, sags, or holes when used for permanently exposed faces. Chamfer all exposed joints and edges , unless otherwise indicated.

3.1.3 Production of Concrete

3.1.3.1 Ready-Mixed Concrete

Provide ready-mixed concrete conforming to ASTM C94/C94M except as otherwise specified.

3.1.3.2 Concrete Made by Volumetric Batching and Continuous Mixing

Conform to ASTM C685/C685M.

3.1.3.3 Batching and Mixing Equipment

The option of using an on-site batching and mixing facility is available. The facility must provide sufficient batching and mixing equipment capacity to prevent cold joints. Submit the method of measuring materials, batching operation, and mixer for review, and manufacturer's data for batching and mixing equipment demonstrating compliance with the applicable specifications.

3.2 CONVEYING AND PLACING CONCRETE

Convey and place concrete in accordance with ACI 301, Section 5.

3.2.1 Cold-Weather Requirements

Place concrete in cold weather in accordance with ACI 306R

3.2.2 Hot-Weather Requirements

Place concrete in hot weather in accordance with ACI 305R

3.3 FINISHING

3.3.1 Temperature Requirement

Do not finish or repair concrete when either the concrete or the ambient

temperature is below 50 degrees F.

3.3.2 Finishing Formed Surfaces

Remove all fins and loose materials , and surface defects including filling of tie holes. Repair all honeycomb areas and other defects. Remove all unsound concrete from areas to be repaired. Ream or chip surface defects greater than 1/2 inch in diameter and holes left by removal of tie rods in all surfaces not to receive additional concrete and fill with dry-pack mortar. Brush-coat the prepared area with an approved epoxy resin or latex bonding compound or with a neat cement grout after dampening and filling with mortar or concrete. Use a blend of portland cement and white cement in mortar or concrete for repairs to all surfaces permanently exposed to view shall be so that the final color when cured is the same as adjacent concrete.

3.3.3 Finishing Unformed Surfaces

Finish unformed surfaces in accordance with ACI 301, Section 5.

FINISH	LOCATION
Float	
Trowel	
Broom or Belt	

3.3.3.1 Expansion and Contraction Joints

Make expansion and contraction joints in accordance with the details shown or as otherwise specified. Provide 1/2 inch thick transverse expansion joints where new work abuts an existing concrete. Provide expansion joints at a maximum spacing of 30 feet on center in sidewalks , unless otherwise indicated. Provide contraction joints at a maximum spacing of 6 linear feet in sidewalks , unless otherwise indicated. Cut contraction joints at a minimum of 1 inch(es) deep with a jointing tool after the surface has been finished.

3.4 CURING AND PROTECTION

Cure and protect in accordance with ACI 301, Section 5.

3.5 FORM WORK

Provide form work in accordance with ACI 301, Section 2 and Section 5.

3.5.1 Removal of Forms

Remove forms in accordance with ACI 301, Section 2.

3.6 STEEL REINFORCING

Reinforcement must be free from loose, flaky rust and scale, and free from oil, grease, or other coating which might destroy or reduce the reinforcement's bond with the concrete.

3.6.1 Fabrication

Shop fabricate steel reinforcement in accordance with ACI 318 and ACI SP-66. Provide shop details and bending in accordance with ACI 318 and ACI SP-66.

3.6.2 Splicing

Perform splices in accordance with ACI 318 and ACI SP-66.

3.6.3 Supports

Secure reinforcement in place by the use of metal or concrete supports, spacers, or ties.

3.7 EMBEDDED ITEMS

Before placing concrete, take care to determine that all embedded items are firmly and securely fastened in place. Provide embedded items free of oil and other foreign matter, such as loose coatings of rust, paint and scale. Embedding of wood in concrete is permitted only when specifically authorized or directed.

3.8 CHEMICAL FLOOR HARDENER

Apply Chemical Floor Hardener where indicated, after curing and drying concrete surface. Dilute liquid hardener with water and apply in three coats. First coat is one-third strength, second coat one-half strength, and third coat two-thirds strength. Apply each coat evenly and allow it to dry 24 hours before applying next coat. Apply proprietary chemical hardeners in accordance with manufacturer's printed directions.

3.9 TESTING AND INSPECTING

Report the results of all tests and inspections conducted at the project site informally at the end of each shift. Submit written reports weekly. Deliver within three days after the end of each weekly reporting period. See Section 01 45 00.00 10 QUALITY CONTROL.

3.9.1 Field Testing Technicians

The individuals who sample and test concrete must have demonstrated a knowledge and ability to perform the necessary test procedures equivalent to the ACI minimum guidelines for certification of Concrete Field Testing Technicians, Grade I.

3.9.2 Preparations for Placing

Inspect foundation or construction joints, forms, and embedded items in sufficient time prior to each concrete placement to certify that it is ready to receive concrete.

3.9.3 Sampling and Testing

a. Obtain samples and test concrete for quality control during placement. Sample fresh concrete for testing in accordance with ASTM C172/C172M. Make six test cylinders.

b. Test concrete for compressive strength at 7 and 28 days for each design mix and for every 100 cubic yards of concrete. Test two cylinders at 7

days; two cylinders at 28 days; and hold two cylinders in reserve. Conform test specimens to ASTM C31/C31M. Perform compressive strength testing conforming to ASTM C39/C39M.

c. Test slump at the site of discharge for each design mix in accordance with ASTM C143/C143M. Check slump once during each shift that concrete is produced for each strength of concrete required.

d. Test air content for air-entrained concrete in accordance with ASTM C231/C231M. Test concrete using lightweight or extremely porous aggregates in accordance with ASTM C173/C173M. Check air content at least once during each shift that concrete is placed for each strength of concrete required.

e. Determine temperature of concrete at time of placement in accordance with ASTM C1064/C1064M. Check concrete temperature at least once during each shift that concrete is placed for each strength of concrete required.

3.9.4 Action Required

3.9.4.1 Placing

Do not begin placement until the availability of an adequate number of acceptable vibrators, which are in working order and have competent operators, has been verified. Discontinue placing if any lift is inadequately consolidated.

3.9.4.2 Air Content

Whenever an air content test result is outside the specification limits, adjust the dosage of the air-entrainment admixture prior to delivery of concrete to forms.

3.9.4.3 Slump

Whenever a slump test result is outside the specification limits, adjust the batch weights of water and fine aggregate prior to delivery of concrete to the forms. Make the adjustments so that the water-cementitious material ratio does not exceed that specified in the submitted concrete mixture proportion and the required concrete strength is still met.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 05 50 13

MISCELLANEOUS METAL FABRICATIONS
05/17

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ALUMINUM ASSOCIATION (AA)

AA DAF45	(2003; Reaffirmed 2009) Designation System for Aluminum Finishes

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 303	(2016) Code of Standard Practice for Steel Buildings and Bridges

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M	(2015; Errata 1 2015; Errata 2 2016) Structural Welding Code - Steel

ASTM INTERNATIONAL (ASTM)

ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A153/A153M	(2016) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A36/A36M	(2014) Standard Specification for Carbon Structural Steel
ASTM A500/A500M	(2018) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A653/A653M	(2017) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A924/A924M	(2017a) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process
ASTM B108/B108M	(2015) Standard Specification for Aluminum-Alloy Permanent Mold Castings

ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B209M	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate (Metric)
ASTM B221	(2014) Standard Specification for Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes
ASTM B221M	(2013) Standard Specification for Aluminum and Aluminum-Alloy Extruded Bars, Rods, Wire, Profiles, and Tubes (Metric)
ASTM B26/B26M	(2014; E 2015) Standard Specification for Aluminum-Alloy Sand Castings
ASTM D1187/D1187M	(1997; E 2011; R 2011) Asphalt-Base Emulsions for Use as Protective Coatings for Metal

MASTER PAINTERS INSTITUTE (MPI)

MPI 79	(2012) Primer, Alkyd, Anti-Corrosive for Metal

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC SP 3	(1982; E 2004) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

1.2 QUALIFICATION OF WELDERS

Qualify welders in accordance with AWS D1.1/D1.1M. Use procedures, materials, and equipment of the type required for the work.

1.3 DELIVERY, STORAGE, AND PROTECTION

Protect from corrosion, deformation, and other types of damage. Store items in an enclosed area free from contact with soil and weather. Remove and replace damaged items with new items.

1.4 MISCELLANEOUS REQUIREMENTS

1.4.1 Fabrication Drawings

Submit fabrication drawings showing layout(s), connections to structural system, and anchoring details as specified in AISC 303.

1.4.2 Installation Drawings

Submit templates, erection, and installation drawings indicating thickness, type, grade, class of metal, and dimensions. Show construction details,

reinforcement, anchorage, and installation in relation to the building construction.

PART 2 PRODUCTS

2.1 MATERIALS

Provide exposed fastenings of compatible materials (avoid contact of dissimilar metals). Coordinate color and finish with the material to which fastenings are applied.

2.1.1 Structural Carbon Steel

Provide in accordance with ASTM A36/A36M.

2.1.2 Structural Tubing

Provide in accordance with ASTM A500/A500M.

2.1.3 Aluminum Alloy Products

Provide in accordance with ASTM B209M, ASTM B209 for sheet plate, ASTM B221M, ASTM B221M, ASTM B221 for extrusions and ASTM B26/B26M or ASTM B108/B108M for castings. Provide aluminum extrusions at least 1/8 inch thick and aluminum plate or sheet at least 0.050 inch thick.

2.2 FABRICATION FINISHES

2.2.1 Galvanizing

Hot-dip galvanize items specified to be zinc-coated, after fabrication where practicable. Provide galvanizing in accordance with ASTM A123/A123M, ASTM A153/A153M, ASTM A653/A653M or ASTM A924/A924M, Z275 G90.

2.2.2 Galvanize

Anchor bolts, grating fasteners, washers, and parts or devices necessary for proper installation, unless indicated otherwise.

2.2.3 Shop Cleaning and Painting

2.2.3.1 Surface Preparation

Blast clean surfaces in accordance with SSPC SP 6/NACE No.3. Surfaces that will be exposed in spaces above ceiling or in attic spaces, crawl spaces, furred spaces, and chases may be cleaned in accordance with SSPC SP 3 in lieu of being blast cleaned. Wash cleaned surfaces which become contaminated with rust, dirt, oil, grease, or other contaminants with solvents until thoroughly clean. Steel to be embedded in concrete must be free of dirt and grease prior to embed. Do not paint or galvanize bearing surfaces, including contact surfaces within slip critical joints. Shop coat these surfaces with rust prevention.

2.2.3.2 Pretreatment, Priming and Painting

Apply pre-treatment, primer, and paint in accordance with manufacturer's printed instructions.

2.2.4 Nonferrous Metal Surfaces

Protect by plating, anodic, or organic coatings.

2.2.5 Aluminum Surfaces

2.2.5.1 Surface Condition

Before finishes are applied, remove roll marks, scratches, rolled-in scratches, kinks, stains, pits, orange peel, die marks, structural streaks, and other defects which will affect uniform appearance of finished surfaces.

2.2.5.2 Aluminum Finishes

Unexposed sheet, plate and extrusions may have mill finish as fabricated. Sandblast castings' finish, medium, AA DAF45. Unless otherwise specified, provide all other aluminum items with a standard mill finish. Provide a coating thickness not less than that specified for protective and decorative type finishes for items used in interior locations or architectural Class I type finish for items used in exterior locations. Provide in accordance with AA DAF45. Provide a polished satin finish on items to be anodized.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Install items at locations indicated in accordance with manufacturer's instructions. Verify all field dimensions prior to fabrication. Include materials and parts necessary to complete each assembly, whether indicated or not. Miss-alignment and miss-sizing of holes for fasteners is cause for rejection. Conceal fastenings where practicable. Joints exposed to weather must be watertight.

3.2 WORKMANSHIP

Provide miscellaneous metalwork that is true and accurate in shape, size, and profile. Make angles and lines continuous and straight. Make curves consistent, smooth and unfaceted. Provide continuous welding along the entire area of contact except where tack welding is permitted. Do not tack weld exposed connections. Unless otherwise indicated and approved, provide a smooth finish on exposed surfaces. Provide countersuck rivets where exposed. Provide coped and mitered corner joints aligned flush and without gaps.

3.3 ANCHORAGE, FASTENINGS, AND CONNECTIONS

Provide anchorage as necessary, whether indicated or not, for fastening miscellaneous metal items securely in place. Include slotted inserts, expansion shields, powder-driven fasteners, toggle bolts (when approved for concrete), through bolts for masonry, headed shear studs, machine and carriage bolts for steel, through bolts, lag bolts, and screws for wood. Do not use wood plugs. Provide non-ferrous attachments for non-ferrous metal. Provide exposed fastenings of compatible materials (avoid contact of dissimilar metals), that generally match in color and finish the surfaces to which they are applied. Conceal fastenings where practicable. Provide all fasteners flush with the surfaces they fasten, unless indicated otherwise.

3.4 BUILT-IN WORK

Where necessary and not otherwise indicated, form built-in metal work for anchorage with concrete or masonry. Provide built-in metal work in ample time for securing in place as the work progresses.

3.5 WELDING

Perform welding, welding inspection, and corrective welding in accordance with AWS D1.1/D1.1M. Use continuous welds on all exposed connections. Grind visible welds smooth in the finished installation. Provide welded headed shear studs in accordance with AWS D1.1/D1.1M, Clause 7, except as otherwise specified. Provide in accordance with the safety requirements of EM 385-1-1.

3.6 DISSIMILAR METALS

Where dissimilar metals are in contact, protect surfaces with a coating in accordance with MPI 79 to prevent galvanic or corrosive action. Where aluminum is in contact with concrete, plaster, mortar, masonry, wood, or absorptive materials subject to wetting, protect in accordance with ASTM D1187/D1187M, asphalt-base emulsion. Clean surfaces with metal shavings from installation at the end of each work day.

3.7 PREPARATION

3.7.1 Material Coatings and Surfaces

Remove rust preventive coating just prior to field erection, using a remover approved by the metal manufacturer. Surfaces, when assembled, must be free of rust, grease, dirt and other foreign matter.

3.7.2 Environmental Conditions

Do not clean or paint surfaces when damp or exposed to foggy or rainy weather, when metallic surface temperature is less than minus 5 degrees F above the dew point of the surrounding air, or when surface temperature is below 45 degrees F or over 95 degrees F, unless approved by the Contracting Officer. Metal surfaces to be painted must be dry for a minimum of 48 hours prior to the application of primer or paint.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 07 13 53

ELASTOMERIC SHEET WATERPROOFING
02/16

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C1305	(2008) Standard Test Method for Crack Bridging Ability of Liquid-Applied Waterproofing Membrane
ASTM D146/D146M	(2004; E 2012; R 2012) Sampling and Testing Bitumen-Saturated Felts and Woven Fabrics for Roofing and Waterproofing
ASTM D1876	(2008; R 2015; E 2015) Standard Test Method for Peel Resistance of Adhesives (T-Peel Test)
ASTM D41/D41M	(2011; R 2016) Standard Specification for Asphalt Primer Used in Roofing, Dampproofing, and Waterproofing
ASTM D412	(2016) Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers - Tension
ASTM D5385/D5385M	(1993; R 2014; E 2014) Standard Test Method for Hydrostatic Pressure Resistance of Waterproofing Membranes
ASTM D570	(1998; E 2010; R 2010) Standard Test Method for Water Absorption of Plastics
ASTM D903	(1998; R 2017) Standard Test Method for Peel or Stripping Strength of Adhesive Bonds
ASTM E154/E154M	(2008a; R 2013; E 2013) Water Vapor Retarders Used in Contact with Earth Under Concrete Slabs, on Walls, or as Ground Cover
ASTM E96/E96M	(2016) Standard Test Methods for Water Vapor Transmission of Materials

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control

approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Manufacturer's Standard Details; G

Elastomeric Waterproofing Sheet Material; G

Protection Board; G

Primers, Adhesives, and Mastics; G

SD-06 Test Reports

Elastomeric Waterproofing Sheet Material; G

Protective Covering; G
Protective Coverings; G

Draft Special Warranties; G

Final Special Warranties; G

SD-08 Manufacturer's Instructions

Primers, Adhesives, and Mastics; G

SD-11 Closeout Submittals

1.3 MANUFACTURER'S DETAILS

Submit manufacturer's standard details indicating methods of attachment and spacing, transition and termination details, and installation details. Include verification of existing conditions.

1.4 PRODUCT DATA

Include data for material descriptions, recommendations for product shelf life, requirements for protective coverings, and manufacturer's Safety Data Sheets (SDS) for primers, adhesives, and mastics.

1.5 DELIVERY, STORAGE, HANDLING, IDENTIFICATION

Deliver and store materials in accordance with manufacturer's printed instructions, out of the weather, in manufacturer's original packaging with brand name and product identification clearly marked. Keep materials wrapped and separated from off-gassing materials (such as drying paints and adhesives). Do not use materials that have visible moisture or biological growth. Do not permit unidentified materials in the work area or in the project.

1.6 ENVIRONMENTAL CONDITIONS

Do not apply waterproofing during inclement weather or when there is ice, frost, surface moisture, or visible dampness on the surface to receive waterproofing for when ambient and surface temperatures are 40 degrees F or below.

1.7 SPECIAL WARRANTIES

1.7.1 Guarantee

Guarantee waterproofing membrane installation against failure due to leaks for a period of two years from the date of Beneficial Occupancy. Submit draft and final guarantees in accordance with Sections 01 78 00 CLOSEOUT SUBMITTALS .

1.7.2 Warranty

Provide manufacturer's material warranty for all system components for a period of ten years from the date of Beneficial Occupancy. Submit draft and final warranties in accordance with Sections 01 78 00 CLOSEOUT SUBMITTALS .

PART 2 PRODUCTS

2.1 SUSTAINABILITY CRITERIA

Where allowed by performance criteria:

2.1.1 Reduced Volatile Organic Compound (VOC) Content

Provide products with reduced VOC content.

2.1.2 Recycled Content

Provide products with recycled content.

2.2 MATERIALS

Provide one of the types of elastomeric waterproofing sheet material and related primers, adhesives, and mastics as specified herein. Ensure compatibility of waterproofing materials with each other, and with materials on which they are applied. Provide materials that comply with applicable requirements cited below when tested in accordance with the referenced ASTM publications.

2.3 COMPOSITE, SELF-ADHERING MEMBRANE SHEETING

Cold applied composite sheet consisting of rubberized asphalt and cross laminated, high density polyethylene film. Not less than 60 mils minimum thickness is required.

2.3.1 Composite, Self-Adhering Sheeting Performance Requirements

a. Tensile Strength ASTM D412, Die C: 250 psi minimum.

b. Ultimate Elongation, ASTM D412, Die C: 200 percent minimum.

c. Water Vapor Transmission, ASTM E96/E96M 80 degrees F Permeance, Procedure B: 0.1 perm maximum.

d. Pliability degrees, ASTM D146/D146M: (180 degrees Bend Over 1 Inch Mandrel): No cracks at minus minus 25 degrees F.

e. Provide test report data for crack bridging ability: Either in

accordance with ASTM C1305 as modified for a dry film thickness specified by the manufacturer and conducted at low temperature; or in accordance with a cycling over crack test also conducted for the specified dry film thickness at low temperature. Using either test, verify crack bridging up to 1/4 inch without damage to the membrane system.

f. Puncture Resistance, ASTM E154/E154M REV A: 40 lb minimum.

g. Lap Adhesion at Minimum Application Temperature, ASTM D1876 Modified, 5 lbs/in..

h. Peel Strength, ASTM D903: Modified 9 lbs/in.

i. Resistance to Hydrostatic Head, ASTM D5385/D5385M: 231 ft of water.

j. Water Absorption, ASTM D570; 0.1 percent maximum.

2.3.2 Primers

Asphalt composition, ASTM D41/D41M, or synthetic polymer in solvent as recommended by the membrane manufacturer.

2.3.3 Mastics

Polymer modified asphalt in suitable solvent of trowel grade consistency and as recommended by the membrane manufacturer.

2.4 Protection Board

Provide protection board that is compatible with the waterproofing membrane. Use a minimum 1/2 inch thick fir bitumen impregnated board 1 inch for polystyrene 1/8 inch thick for vertical and 1/4 inch for horizontal premolded bituminous protection board as recommended by the manufacturer.

PART 3 EXECUTION

3.1 VERIFICATION OF CONDITIONS

Before starting the work, verify surfaces that must be waterproofed are in satisfactory condition. Notify the Contracting Officer of defects or conditions anticipated to prevent a satisfactory application. Do not start application until defects and conditions have been corrected.

3.2 SURFACE PREPARATION

Ensure surfaces to receive treatment are clean, dry, smooth, and free from deleterious materials and projections. Thoroughly wet holes, joints, cracks, and voids in concrete with water and fill with Portland cement mortar, strike flush, and permit to dry. Cut off high spots or grind smooth. Finish top surfaces of projecting masonry or concrete ledges below grade, except footings, to a steep bevel with Portland cement mortar. Sweep surfaces to receive covering before applying waterproofing to remove dust and foreign matter. Cure concrete by a method compatible with the waterproofing system.

3.3 APPLICATION

3.3.1 Building Envelope Requirements

Provide a waterproofing system at all new concrete infill of wall penetrations through concrete foundation walls. Lap, wrap, fasten and seal products in accordance with manufacturer's printed instructions. Envelope assembly variations are not permitted without written approval from the Contracting Officer's Representative.

3.3.2 General Installation Requirements

Provide sheet waterproofing in accordance with manufacturer's printed installation instructions. Ensure the surface to receive membrane is clean, smooth and dry without surface irregularities; correct deficiencies prior to installation. When using solvent welding liquid, avoid prolonged contact with skin and breathing of vapor and provide adequate ventilation. Avoid wrinkles and buckles in applying membrane and joint reinforcement.

3.3.2.1 Self-Adhering Membrane

Apply composite, self-adhering membrane on surfaces primed at a uniform coverage rate in accordance with membrane manufacturer's printed instructions. Remove release sheet and apply with tacky surface in contact with dried primer.

3.4 COMPOSITE, SELF-ADHERING MEMBRANE

Lap sheets at edges and ends a minimum of 2-1/2 inches over the preceding sheet. Provide all side laps a minimum 2-1/2 inches and end laps 5 inches. Provide self-adhesive, mastic laps in accordance with manufacturer's recommendation. Roll or firmly press to adhere membrane to substrate. Cover corners and joints with two layers of reinforcement by first applying a 12 inch width of membrane centered along the axis. Flash drains and projections with a second ply of membrane for a distance of 6 inches from the drain or projection. Finish exposed, terminated edges of membrane on horizontal or vertical surfaces with a toweled bead of mastic. Apply mastic around edges of membrane, and drains and projections. Apply mastic at end of each work day.

3.5 PROTECTIVE COVERING

After installation has been inspected and approved by the Contracting Officer, apply a protective covering to the membrane waterproofing prior to backfilling. Protect vertical membrane waterproofing with a 1/2 inch minimum thickness of asphalt plank; 1/2 inch minimum thickness of fiberboard; or 1/8 inch minimum thickness of compatible water resistant bitumen type protection board with edges abutting adjacent edges and exposed surfaces covered by a taping system recommended by manufacturer of protection board. Cover horizontal membrane waterproofing with similar protection board and Portland cement mortar not less than 3/4 inch thick; place uniformly and allow to set before installing subsequent construction.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 07 51 13

BUILT-UP ASPHALT ROOFING
05/12

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7	(2017) Minimum Design Loads for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM D1863/D1863M	(2005; R 2011; E 2012) Mineral Aggregate Used on Built-Up Roofs
ASTM D1864/D1864M	(1989; E 2009; R 2009) Moisture in Mineral Aggregate Used on Built-Up Roofs
ASTM D1970/D1970M	(2018) Standard Specification for Self-Adhering Polymer Modified Bituminous Sheet Materials Used as Steep Roofing Underlayment for Ice Dam Protection
ASTM D2170/D2170M	(2010) Kinematic Viscosity of Asphalts (Bitumens)
ASTM D2178/D2178M	(2015a) Asphalt Glass Felt Used in Roofing and Waterproofing
ASTM D312/D312M	(2016a) Standard Specification for Asphalt Used in Roofing
ASTM D3617	(2007; R 2015; E 2015) Sampling and Analysis of New Built-Up Roof Membranes
ASTM D41/D41M	(2011; R 2016) Standard Specification for Asphalt Primer Used in Roofing, Dampproofing, and Waterproofing
ASTM D4263	(1983; R 2012) Indicating Moisture in Concrete by the Plastic Sheet Method
ASTM D4402/D4402M	(2015) Viscosity Determination of Asphalt at Elevated Temperatures Using a Rotational Viscometer
ASTM D448	(2012; R 2017) Standard Classification for Sizes of Aggregate for Road and Bridge Construction

ASTM D4586/D4586M	(2007; E 2012; R 2012) Asphalt Roof Cement, Asbestos-Free
ASTM D4601/D4601M	(2004; R 2012) Asphalt-Coated Glass Fiber Base Sheet Used in Roofing
ASTM D4637/D4637M	(2015) EPDM Sheet Used in Single-Ply Roof Membrane
ASTM D4869/D4869M	(2016a) Standard Specification for Asphalt-Saturated Organic Felt Underlayment Used in Steep Slope Roofing
ASTM D6163/D6163M	(2016) Standard Specification for Styrene Butadiene Styrene (SBS) Modified Bituminous Sheet Materials Using Glass Fiber Reinforcements
ASTM D6757/D6757M	(2018) Standard Specification for Underlayment Felt Containing Inorganic Fibers Used in Steep-Slope Roofing
ASTM E108	(2011) Fire Tests of Roof Coverings

FM GLOBAL (FM)

FM 4470	(2010) Single-Ply, Polymer-Modified Bitumen Sheet, Built-up Roof (BUR), and Liquid Applied Roof Assemblies for Use in Class 1 and Noncombustible Roof Deck Construction
FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

NATIONAL ROOFING CONTRACTORS ASSOCIATION (NRCA)

NRCA RoofMan	(2017) The NRCA Roofing Manual

UNDERWRITERS LABORATORIES (UL)

UL 790	(2004; Reprint Jul 2014) Standard Test Methods for Fire Tests of Roof Coverings
UL RMSD	(2012) Roofing Materials and Systems Directory

1.2 DESCRIPTION OF ROOF MEMBRANE SYSTEM

Asphalt applied, four-ply felt, aggregate surfaced built-up roof membrane system.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Wind Uplift Calculations; G

Asphalt

Felts, including ply felt, base sheet and ventilating felt as applicable; G

Flashing Membrane; G

Fasteners

Primer

Asphalt Roof Cement

Certificate attesting that the fiberboard furnished for the project contains recovered material, and showing an estimated percent of such recovered material.

Pre-Manufactured Accessories to be incorporated in the system installation; G

Sample Warranties certificates; G

Submit all data required with requirements of this section. Include in Data written acceptance by the roof membrane manufacturer of the products and accessories provided. List products in the applicable wind uplift and fire rating classification listings, unless approved otherwise by the Contracting Officer.

SD-06 Test Reports

Samples of Built-Up Roofing

Submit test results on roofing field samples as required, verifying composition of sample. Submit six copies of laboratory analysis within 30 calendar days after samples are taken. Submit reports in accordance with ASTM D3617.

SD-07 Certificates

Bill of Lading

Submit when labels of asphalt containers do not indicate the finished blowing temperature, flash point and equiviscous temperature.

Qualifications of Applicator

Submit evidence of the roofing system manufacturer's approval.

SD-08 Manufacturer's Instructions

Felts; G

Flashings; G

Asphalt

Primer

Roof Cement

Fasteners

Cold Weather Conditions installation; G

Include detailed application instructions and standard manufacturer drawings altered as required by these specifications. Explicitly identify in writing, differences between manufacturer's instructions and the specified requirements.

SD-11 Closeout Submittals

Warranty

Information Card

1.4 QUALITY ASSURANCE

1.4.1 Qualifications of Applicator

The roofing system applicator must be approved, authorized, or licensed in writing by the roofing system manufacturer and must have a minimum of 3 years experience as an approved, authorized, or licensed applicator with the manufacturer and be approved at a level capable of providing the specified warranty.

1.4.2 Fire Resistance

Complete roof covering assembly must:

a. Be Class A rated in accordance with ASTM E108 , FM 4470, or UL 790; and

b. Be listed as part of Fire-Classified roof deck construction in UL RMSD, or Class I roof deck construction in FM APP GUIDE.

1.4.3 Wind Uplift Resistance

Provide a complete roof system assembly that is rated and installed to resist wind loads calculated in accordance with ASCE 7 and validated by uplift resistance testing in accordance with Factory Mutual (FM) test procedures. Do not install non-rated systems except as approved by the Contracting Officer. Submit licensed engineer's wind uplift calculations and substantiating data to validate any non-rated roof system. Base wind uplift measurements on a design wind speed of 150 mph in accordance with ASCE 7 and other applicable building code requirements.

1.4.4 Preroofing Conference

After approval of submittals and before performing roofing system installation work, hold a preroofing conference to review the following:

a. Drawings and specifications and submittals related to the roof work;

b. Roof system components installation;

c. Procedure for the roof manufacturer's technical representative's onsite inspection and acceptance of the roofing substrate, the name of the manufacturer's technical representatives, the frequency of the onsite visits, distribution of copies of the inspection reports from the manufacturer's technical representatives to roof manufacturer;

d. Contractor's plan for coordination of the work of the various trades involved in providing the roofing system and other components secured to the roofing; and

e. Quality control plan for the roof system installation;

f. Safety requirements.

Coordinate preroofing conference scheduling with the Contracting Officer. The conference must be attended by the Contractor, the Contracting Officer's designated personnel, and personnel directly responsible for the installation of roofing, flashing and sheet metal work, mechanical work, other trades interfacing with the roof work, Fire Marshall, and a representative of the roofing materials manufacturer. Before beginning roofing work, provide a copy of meeting notes and action items to all attending parties. Note action items requiring resolution prior to start of roof work.

1.5 DELIVERY, STORAGE, AND HANDLING

1.5.1 Delivery

Deliver materials in manufacturers' original unopened containers and rolls with manufacturer's labels intact and legible. Mark and remove wet or damaged materials from site. Where materials are covered by a referenced specification, container must bear specification number, type, and class, as applicable. Indicate on labels or bill of lading for roofing asphalt the asphalt type, finished blowing temperature (FBT), flash point (FP), and equiviscous temperature (EVT), that is, the temperature at which the viscosity is either 125 centistokes when tested in accordance with ASTM D2170/D2170M or 75 centipoise when tested in accordance with ASTM D4402/D4402M. Deliver materials in sufficient quantity to allow work to proceed without interruption.

1.5.2 Storage

Protect materials against moisture absorption, contamination, or other damage. Avoid crushing or crinkling of roll materials. Store roll materials on end on clean raised platforms in dry locations in enclosed buildings or trailers with adequate ventilation. Do not store roll materials in buildings under construction until concrete, mortar, and plaster work are finished and dry. Do not store materials outdoors unless approved by the Contracting Officer. Completely cover felts stored outdoors, on and off roof, with waterproof canvas protective covering. Do not use polyethylene sheet as a covering. Tie covering securely to pallets to make completely weatherproof and yet provide sufficient ventilation to prevent condensation. Maintain roll materials at temperature above 50 degrees F for a 24-hour period immediately prior to application. Keep aggregate dry as defined by ASTM D1863/D1863M. Place only those materials to be used during one day's work on the roof at one time. Remove unused materials from the roof at the end of each day's work. Immediately remove

wet, contaminated or otherwise damaged or unsuitable materials from the site. Damaged materials may be marked by the Contracting Officer.

1.5.3 Handling

Prevent damage to edges and ends of roll materials. Do not install damaged materials in the work. Select and operate material handling equipment so as not to damage materials or applied roofing.

1.6 ENVIRONMENTAL CONDITIONS

Do not install roofing during precipitation, or fog, or when air temperature is below 40 degrees F, or when there is ice, frost, moisture or visible dampness on roof deck. Restriction on application of roofing materials below 40 degrees F may be waived if Contractor devises a means, satisfactory to Contracting Officer, of: (1) maintaining surrounding temperature above 40 degrees F; (2) maintaining application temperature of heated materials without exceeding maximum specified heating temperature; and follows other recommendations of the membrane manufacturer for application in cold weather conditions.

1.7 SEQUENCING

Coordinate the work with other trades to ensure that components which are to be secured to or stripped into the roofing system are available and that permanent flashing and counterflashing are installed as the work progresses. Ensure temporary protection measures are in place to preclude moisture intrusion or damage to installed materials.

1.8 WARRANTY

Provide roof system material and workmanship warranties meeting specified requirements. Provide revision or amendment to standard membrane manufacturer warranty to comply with the specified requirements. Provide a manufacturer's warranty that has no dollar limit, covers full system water-tightness and has a minimum duration of 20 years.

1.8.1 Roof Membrane Manufacturer Warranty

Furnish the roof membrane manufacturer's 20-year no dollar limit roof system materials and installation workmanship warranty, including flashing, insulation, and accessories necessary for a watertight roof system construction. Write the warranty directly to the Government commencing at the time of Government's acceptance of the roof work. Provide the following statements for such warranty:

a. If within the warranty period the roof system, as installed for its intended use in the normal climatic and environmental conditions of the facility, becomes non-watertight, shows evidence of moisture intrusion within the assembly, blisters, splits, tears, delaminates, separates at the seams, or shows evidence of excessive weathering due to defective materials or installation workmanship, the repair or replacement of the defective and damaged materials of the roof system assembly and correction of defective workmanship are the responsibility of the roof membrane manufacturer. All costs associated with the repair or replacement work are the responsibility of the roof membrane manufacturer.

b. The warranty remains in full force and effect, including emergency

temporary repairs performed by others, when the manufacturer or his approved applicator fail to perform the repairs within 72 hours of notification.

1.8.2 Roofing System Installer Warranty

The roof system installer must warrant for a minimum period of two years that the roof system, as installed, is free from defects in installation workmanship, to include the roof membrane, flashing, insulation, accessories, attachments, and sheet metal installation integral to a complete watertight roof system assembly. Write the warranty directly to the Government. The roof system installer is responsible for correction of defective workmanship and replacement of damaged or affected materials. The roof system installer is responsible for all costs associated with the repair or replacement work.

1.8.3 Continuance of Warranty

Approve repair or replacement work that becomes necessary within the warranty period and accomplished in a manner so as to restore the integrity of the roof system assembly and validity of the roof membrane manufacturer warranty for the remainder of the manufacturer warranty period.

1.9 CONFORMANCE AND COMPATIBILITY

Provide the entire roofing and flashing system in accordance with specified and indicated requirements, including fire and wind resistance requirements. Work not specifically addressed and any deviation from specified requirements must be in general accordance with recommendations of the NRCA RoofMan, membrane manufacturer published recommendations and details, and compatible with surrounding components and construction. Submit any deviation from specified or indicated requirements to the Contracting Officer for approval prior to installation.

PART 2 PRODUCTS

2.1 GENERAL

Furnish a combination of specified materials that comprise the membrane manufacturer's standard system of the number and type of plies specified. Provide materials approved by the roof membrane manufacturer and suitable for the service and climatic conditions of the installation.

2.2 FIBERGLASS FELT MATERIALS

b. Fiberglass Felt Base Sheet: ASTM D4601/D4601M, Type II, without perforations and as approved by the roof membrane manufacturer.

c. Ply Felt: ASTM D2178/D2178M, Type IV.

2.3 BASE FLASHING MEMBRANE

ASTM D6163/D6163M. Membrane manufacturer's standard, minimum two-ply modified bitumen membrane flashing system compatible with the built-up roof membrane and as recommended in membrane manufacturer's published literature. Provide a minimum base ply of flashing membrane of 70 mils thick. Provide a minimum granule surface modified bitumen flashing cap sheet of 120 mils thick on the selvage edge.

2.4 ASPHALT

ASTM D312/D312M, Type II or III or IV, in accordance with membrane manufacturer requirements and compatible with the slope conditions of the installation.

2.5 SURFACING MATERIAL

2.5.1 Aggregate for Surfacing Built-up Roofing

Water-worn gravel, crushed stone, or crushed slag, conforming to ASTM D1863/D1863M, or marble, expanded slag, or expanded shale, conforming to ASTM D1863/D1863M except density not less than 55 pcf. Aggregate conforming to gradation sizes No. 6, No. 7, and No. 67 in conformance with ASTM D448 is acceptable provided other requirements of ASTM D1863/D1863M are met. Provide 2 percent maximum moisture content as determined by ASTM D1864/D1864M. Provide light colored and opaque aggregate. Limestone, volcanic rock, crushed shells, and cinders are prohibited.

2.6 PRIMER

ASTM D41/D41M for asphalt roofing systems and as approved by the membrane manufacturer.

2.7 ASPHALT ROOF CEMENT

ASTM D4586/D4586M for use with asphalt roofing systems, Type II for vertical surfaces and built-up bituminous flashings; Type I for horizontal surfaces and as recommended by the membrane manufacturer.

2.8 FASTENERS AND PLATES

Coated, corrosion resistant fasteners compatible with components being attached and contact surfaces. Conform to FM 4470 for fasteners for attachment to deck substrate of Class I roof deck construction and FM APP GUIDE for the wind resistance specified. Use hard copper fasteners in contact with copper; aluminum or stainless steel fasteners in contact with aluminum; and stainless steel fasteners in contact with stainless steel. For fastening only roofing felts, use fasteners driven through metal discs, or one-piece composite fasteners with heads not less than 1 inch in diameter or 1 inch square with rounded or 45-degree tapered corners.

2.8.1 Metal Plates

Flat corrosion-resistant round stress plates as recommended by the modified bitumen sheet manufacturer's printed instructions and meeting the requirements of FM 4470; minimum 2 inch in diameter. Form discs to prevent dishing or cupping.

2.9 PRE-MANUFACTURED ACCESSORIES

Pre-manufactured accessories must be manufacturer's standard for intended purpose, compatible with the membrane roof system and approved for use by the roof membrane manufacturer.

2.9.1 Pre-fabricated Curbs

Provide 18 gauge G90 galvanized curbs with minimum 4 inch flange for attachment to roof nailers. Provide minimum height of 10 inch above the

finished roof membrane surface.

2.10 MEMBRANE LINER

Self-adhering modified bitumen underlayment conforming to ASTM D1970/D1970M, EPDM membrane liner conforming to ASTM D4637/D4637M, or other waterproof membrane liner material conforming to ASTM D4869/D4869M, or ASTM D6757/D6757M, and as approved by the Contracting Officer.

PART 3 EXECUTION

3.1 VERIFICATION OF CONDITIONS

Before applying roofing materials, ensure that the following exist:

a. Do not install items that show visual evidence of biological growth.

b. curbs, cants, are in place.

c. Surfaces are rigid, clean, dry, smooth, and free of cracks, holes, and sharp changes in elevation. Joints in substrate are sealed to prevent drippage of bitumen into building or down exterior walls. Inspect surfaces and approve immediately before application of roofing and flashings. Apply the roofing and flashings to a smooth and firm surface free from ice, frost, visible moisture, dirt, projections, and foreign materials.

d. The plane of the substrate does not vary more than 1/4 inch within an area 10 by 10 feet when checked with a 10 foot straight edge placed anywhere on the substrate.

e. Substrate is sloped as indicated to provide drainage.

g. Treated wood nailers are in place on non-nailable surfaces, to permit nailing of base flashing at minimum height of 8 inch above finished roofing surface.

h. Treated wood nailers are fastened in place at eaves, gable ends, openings, and intersections with vertical surfaces for securing of felts, edging strips, attachment flanges of sheet metal, and roof fixtures.

i. Cants are securely fastened in place in the angles formed by walls and other vertical surfaces. The angle of the cant is approximately 45 degrees and the height of the vertical leg is not less than nominal 3-1/2 inch. Lay cants in a solid asphalt mopping or coat of asphalt cement just prior to laying the roofing plies.

m. No viable moisture present when conducting ASTM D4263.

n. Prior to application of primer on precast concrete decks, cover joints with a minimum 4 inch strip of felt or bituminous stripping membrane set in bituminous cement.

3.2 PREPARATION

Verify that work of other trades that penetrates the roof deck or requires men and equipment to traverse the roof deck is complete.

Examine deck surfaces for inadequate anchorage, foreign material, moisture, and unevenness which would prevent the execution and quality of application.

Proceed with the roofing application only after defects have been corrected.

Starting work designates acceptance of the surfaces by the Contractor.

3.2.1 Protection of Property

3.2.1.1 Protective Coverings

Install protective coverings at paving and building walls adjacent to hoists and kettles prior to starting the work. Lap protective coverings not less than six inch, secure against wind, and vent to prevent collection of moisture on covered surfaces. Keep protective coverings in place for the duration of the roofing work.

3.2.1.2 Bitumen Stops

Provide felt bitumen stops or other means to prevent bitumen drippage at roof edges, openings, and vertical projections before hot mopped application of the roofing membrane. Form felt bitumen stops with two 12 inch wide strips of organic ply felt. Laminate with and set strips into a coating of asphalt roof cement with one-half of the width overhanging the edge of the roof or opening. Where nailers are provided, nail the strips with roofing nails spaced 12 inch on center in addition to embedding in asphalt roof cement. Protect the free portion of each strip from damage throughout the roofing period. After the plies of felt are in place, fold free portion of the strips back over the roofing membrane and embed in a continuous coating of asphalt roof cement. Secure with roofing nails spaced 3 inch on center.

3.2.2 Equipment

3.2.2.1 Mechanical Application Devices

Provide and maintain mechanical application devices with pneumatic tires that operate without damaging the insulation, roofing membrane, or structural components.

3.2.2.2 Flame-Heated Equipment

Do not place flame-heated equipment on roof. Provide and maintain a fire extinguisher adjacent to flame-heated equipment and on the roof.

3.2.3 Priming of Surfaces

Prime all surfaces to be in contact with adhered membrane materials. Apply primer at the rate of 3 liters per 0.75 gallon per 100 sq. ft.or as recommended by roof membrane manufacturer's printed instructions to promote adhesion of membrane materials. Allow primer to dry prior to application of membrane materials to primed surface. Avoid flammable primer material conditions in torch applied membrane base flashing applications.

3.2.3.1 Priming of Metal Surfaces

Prime flanges of metal components to be embedded into the roofing system prior to setting in bituminous materials or stripping into roofing system.

3.2.4 Covering of Wood Substrate

Cover wood substrate with a layer of unsaturated felt or rosin-sized building paper lapped 2 inch at sides and 4 inch at ends. Nail to hold in place prior to application of roofing system.

3.2.5 Heating of Asphalt

Break up solid asphalt on a surface free of dirt and debris. Heat asphalt in kettle designed to prevent contact of flame with surfaces in contact with the asphalt. Provide visible working thermometer and thermostatic controls set to the temperature limits. Keep controls in working order and calibrated. Use immersion thermometer, accurate within a tolerance of plus or minus 2 degrees F, to check temperatures of the asphalt frequently. When temperatures exceed maximum specified, remove asphalt from the site. Do not permit cutting back, adulterating, or fluxing of asphalt.

3.2.5.1 Temperature Limitations for Asphalt

Heat and apply asphalt at the temperatures specified below unless specified otherwise by manufacturer's printed application instructions. Use thermometer to check temperature during heating and application. Have kettle attended constantly during heating process to ensure specified temperatures are maintained. Do not heat asphalt above its finished blowing temperature (FBT). Do not heat asphalt between 500 and 525 degrees F for longer than four consecutive hours. Do not heat asphalt to the flash point (FP). Apply asphalt and embed membrane sheets when temperature of asphalt is within plus or minus 25 degrees F of the equiviscous temperature (EVT). Before heating and application of asphalt refer to the asphalt manufacturer's label or bill of lading for FP, FBT, and EVT of the asphalt used.

3.3 APPLICATION

Apply roofing materials as specified unless approved otherwise by the Contracting Officer. Keep roofing materials dry before and during application. Except for aggregate surfacing, complete application of roofing in a continuous operation. Begin and apply only as much roofing in one day as can be completed that same day. Maintain specified temperature for asphalt. Do not apply aggregate surfacing until the other roofing application procedures specified herein are completed.

3.3.1 Phased Membrane Construction

Phased application of membrane plies is prohibited.

3.3.2 Ply Felts

Ensure proper alignment of felts prior to installation. Bucking or backwater laps are prohibited. Apply felts in a continuous operation. Provide starter sheets of felt to maintain the specified number of plies throughout the roofing. Apply felts with side laps in accordance with the material manufacturer's printed instructions for the number of plies to be installed and in uniform alignment. Lap ends not less than 6 inch and stagger 36 inch minimum. Place the full width of each ply in hot bitumen immediately behind the bitumen applicator. Lay plies free of wrinkles, creases, ridges, or fishmouths. Extend felts approximately 2 inch above top of cant strips at vertical surfaces and to top of cant strips

elsewhere. Trim felts to a neat fit around vent pipes, roof drains, and other projections. Avoid traffic on mopped surfaces when the bitumen is fluid and for a minimum of one hour after ply application.

3.3.2.1 Hot-Mopping of Ply Felts

Bond plies to each other and to the substrate with hot asphalt. Apply felts immediately following application of asphalt. Do not work ahead with asphalt. At the instant felts come into contact with asphalt, asphalt must be completely fluid, with asphalt temperatures within specified EVT range. Apply asphalt uniformly in a full, continuous mopping and firmly bonding film. Apply asphalt at the rate of approximately 25 pounds per 100 sq. feet plus or minus 25 percent. Require application rate on the high end of the application range when mopping directly to absorptive insulation substrates of perlite and woodfiber. As felts are rolled into the hot asphalt, immediately squeegee, roll or broom down to eliminate trapped air and to provide tight, smooth laminations without wrinkles, buckles, kinks, or fish mouths. Bitumen must be visible beyond all edges of each ply as it is being installed. Install individual ply and the completed roof membrane system free of air pockets, felt delaminations, ridges, creases, fishmouths, dry laps, or blisters. Do not lay felts dry or turn back laps for mopping between plies.

3.3.2.2 Valleys and Ridges

Valleys: Apply roofing at valleys and waterways in the following manner:

Continue base sheets across valleys and terminate 18 inchfrom the valley.

Continue felt plies across valleys and terminate 12 inch from the valley. Terminate exposed laps on a line 12 inch from, and parallel to, the gutter valley. Provide two plies of felt, 9 and 12 inch wide, successively mop in over each felt line of the termination.

If the application can be completed without wrinkles, buckles, or fishmouths and if side laps do not face the direction of drainage, roofing felts and base sheets may be laid continuously across or parallel to shallow valleys such as those formed by reverse-slope roofs. For this application, reinforce valleys with one ply of felt, wide, center on the valley gutter and lay in a solid mopping of asphalt over the top ply of roofing.

3.3.3 Membrane Flashing

Provide two plies of modified bitumen membrane strip flashing and sheet flashing in the angles formed where the roof deck abuts walls, curbs, ventilators, pipes, and other vertical surfaces, and where necessary to make the work watertight. Top ply of flashing must be granule-surfaced modified bitumen membrane. Install flashing after plies of roof membrane felt have been applied but before aggregate surfacing is applied. Cut at a 45 degree angle across terminating end lap area of cap membrane prior to applying adjacent overlapping cap membrane. Press flashing into place to ensure full adhesion and avoid bridging. Ensure full lap seal in all lap areas. Mechanically fasten top edge of base flashing 6 inch on center through minimum 1 inch diameter tin caps with fasteners of sufficient length to embed minimum 1 inch into attachment substrate. Apply membrane liner over top of exposed nailers and blocking and to overlap top edge of base flashing installation at curbs, parapet walls, expansion joints and as

otherwise indicated to serve as waterproof lining under sheet metal flashing components.

3.3.3.1 Strip Flashing

Set primed flanges of sheet metal flashings to be incorporated into roofing system in a uniform coating of asphalt roof cement not less than 1/16 inch thick applied over the ply felts. Strip-in with one layer of smooth surface modified bitumen membrane and cap with granule-surfaced modified bitumen membrane. Set strip flashing in hot asphalt or cement to the tops of the flanges, roofing membrane, and to each other. Use coatings of asphalt roof cement not less than 1/16 inch thick for ply felt. Use hot asphalt or modified bitumen cement for modified bitumen sheets. Extend first stripping ply not less than 4 inch beyond outer edge of flange onto roof membrane. Extend each additional ply 4 inch beyond the edge of the previous ply.

3.3.3.2 Set-On Accessories

Where pipe or conduit blocking, supports and similar roof accessories are set on the membrane, adhere walkpad material to bottom of accessories prior to setting on roofing membrane. Install set-on accessories to permit normal movement due to expansion, contraction, vibration, and similar occurrences without damaging roofing membrane. Do not mechanically secure set-on accessories through roofing membrane into roof deck substrate.

3.3.3.3 Lightning Protection

Flash or attach lightning protection system components to the roof membrane in a manner acceptable to the roof membrane manufacturer.

3.3.4 Aggregate Surfacing

After completion of roof membrane ply and flashing installation, and correction of tears, gouges or other deficiencies in the installed work, apply aggregate surfacing. Uniformly flood coat the surface with hot asphalt at a rate of approximate 60 pounds per square. While asphalt is still hot, apply gravel aggregate surfacing material at a rate of 400 pounds per square. Provide for full and uniform coverage of the roof surface. Approximately 50 percent of the aggregate must be solidly adhered in the asphalt.

3.3.5 Correction of Deficiencies

Where any form of deficiency is found, take additional measures to determine the extent of the deficiency and perform corrective actions as directed by the Contracting Officer. Where interply moppings are too light, apply additional two plies of felt in full moppings of asphalt. Apply with4 inch side and end laps. Where free water, skips, excessive voids, dry laps, desponding or any form of delamination are discovered between the plies, remove and rebuild affected area. Correction of inadequate number of plies, improper lap widths, or non-uniform or excessive asphalt mopping must be as directed by the Contracting Officer. Where insulation is found to be wet, remove insulation and provide new built-up roofing and insulation.

3.3.6 Clean Up

Remove debris, scraps, containers and other rubbish and trash resulting from installation of the roofing system from job site each day.

3.4 PROTECTION OF APPLIED ROOFING

3.4.1 Protection Against Moisture Absorption

When precipitation is imminent and at the end of each day's work, protect applied roofing as follows:

3.4.2 Temporary Flashing for Permanent Roofing

Provide temporary flashing at drains, curbs, walls and other penetrations and terminations of roofing sheets until permanent flashings can be applied. Remove temporary flashing before applying permanent flashing.

3.4.3 Temporary Walkways, Runways, and Platforms

Do not permit storing, walking, wheeling, and trucking directly on applied roofing materials. Provide temporary walkways, runways, and platforms of smooth clean boards, mats or planks as necessary to avoid damage to applied roofing materials, and to distribute weight to conform to live load limits of roof construction. Use rubber-tired equipment for roofing work.

3.5 FIELD QUALITY CONTROL

Perform field tests in the presence of the Contracting Officer. Notify the Contracting Officer one day before performing tests.

3.5.1 Test for Surface Dryness

Before application of insulation or membrane materials and starting work on the area to be roofed, perform test for surface dryness in accordance with the following:

a. Foaming: When poured on the surface to which materials are to be applied, one pint of asphalt when heated in the range of 176 to 350 to 400 degrees F, must not foam upon contact.

b. Strippability: After asphalt used in the foaming test application has cooled to ambient temperatures, test coating for adherence. Should a portion of the sample be readily stripped clean from the surface, do not consider the surface to be dry and do not start application. Should rain occur during application, stop work and do not resume until surface has been tested by the method above and found dry.

c. Prior to installing any roof system on a concrete deck, conduct a test per ASTM D4263. The deck is acceptable for roof system application when there is no visible moisture on underside of plastic sheet after 24 hours.

3.5.2 Construction Monitoring

During progress of the roof work, perform visual inspections to ensure compliance with specified parameters. Additionally, verify the following:

a. Equipment is in working order. Metering devices are accurate.

b. Materials are not installed in adverse weather conditions.

c. Substrates are in acceptable condition, in compliance with specification, prior to application of subsequent materials.

Nailers and blocking are provided where and as needed.

Insulation substrate is smooth, properly secured to its substrate, and without excessive gaps prior to membrane application.

The proper number, type, and spacing of fasteners are installed.

Materials comply with the specified requirements.

All materials are properly stored, handled and protected from moisture or other damages.

Asphalt is heated and applied within the specified temperature parameters.

Hot asphalt application is provided uniformly for voidless coverage and as necessary to ensure full adhesion of materials. Materials are set in place while asphalt is within the specified temperature range.

The proper number and types of plies are installed, with the specified overlaps.

Applied membrane surface is inspected, cleaned, dry, and repaired as necessary prior to cap sheet installation.

Membrane is without ridges, wrinkles, kinks, fishmouths, or other voids or delaminations.

Installer adheres to specified and detailed application parameters.

Associated flashings and sheet metal are installed in a timely manner in accord with the specified requirements.

Temporary protection measures are in place at the end of each work shift.

3.5.3 Samples of Built-Up Roofing

After application of specified roofing felts and prior to applying surfacing, take field samples of built-up roofing as directed by the Contracting Officer. Take and test samples in accordance with ASTM D3617 and at locations selected by the Contracting Officer immediately prior to cutting. Cut 4 inch by 40 inch samples across felt laps in a manner to expose the specified number of plies. The 4 inch edge must coincide with an edge lap of felt and not be positioned over an end lap. Use 4 inch by 40 inch samples for visual inspection. The Contracting Officer will inspect the samples for the specified number of plies, bond between plies, skips in interply moppings, uniform asphalt mopping, presence of excessive voids or large voids in the ply construction, presence of harmful foreign materials, visible presence of moisture in the sandwich and wet insulation. Use 300 mm by 12 inch by 12 inch cut samples to calculate bitumen quantities in accordance with ASTM D3617 and directed by the Contracting

Officer. Do not proceed with surfacing until all deficiencies disclosed as a result of cut tests have been corrected and approved by the Contracting Officer. Where cuts are not retained by the Contracting Officer or disposed, set cut strip back in cut area and patch as specified.

3.5.3.1 Number of Cut Tests

Take cut samples as directed by the Contracting Officer for quality assurance validation or as necessary to determine the extent of deficiencies discovered in the construction. Except where cut samples are taken to investigate deficiencies, provide no more than two cut samples per 100 squares or one cut sample from each day's work.

3.5.3.2 Sample Cutting Device

Provide a rectangular, 4 inch by 40 inch template and 12 inch by 12 inch template, of a type that will permit accurate cutting of samples with standard roofing knives. Keep cutting edge of knife clean by washing in solvent after each cut.

3.5.3.3 Patching Cut-Out Area

Immediately after inspection, replace cut-out sample. When sample is needed for laboratory analysis or other circumstance makes it unavailable, substitute a new section of equivalent size and structure. For non-nailable decks, replace sample in hot asphalt. For nailable decks, insert one ply of ply felt into opening from which sample was taken and sprinkle nail to hold in place; coat felt heavily with asphalt roof cement and press cutout sample firmly into asphalt roof cement. Repair area of cut with new patch of the same number of plies as the primary roof membrane. Extend the first ply minimum 6 inch all around the cut area. Extend each additional ply minimum 4 inch beyond the previous ply.

3.6 INFORMATION CARD

For each roof, furnish a typewritten information card for facility records and a photoengraved 0.032 inch thick aluminum card for exterior display. Card must be 8-1/2 by 11 inch minimum, identifying facility name and number; location; contract number; approximate roof area; detailed roof system description, including deck type, membrane, number of plies, method of application, manufacturer, insulation and cover board system and thickness; presence of tapered insulation for primary drainage, presence of vapor retarder; date of completion; installing contractor identification and contact information; membrane manufacturer warranty expiration, warranty reference number, and contact information. Install card at roof top or access location as directed by the Contracting Officer and provide a paper copy to the Contracting Officer.

-- End of Section --

SECTION 09 90 00

PAINTS AND COATINGS
05/11

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH 0100	(2015; Suppl 2002-2016) Documentation of the Threshold Limit Values and Biological Exposure Indices

ASTM INTERNATIONAL (ASTM)

ASTM D4214	(2007; R 2015) Standard Test Method for Evaluating the Degree of Chalking of Exterior Paint Films
ASTM D523	(2014; R 2018) Standard Test Method for Specular Gloss
ASTM D6386	(2016) Standard Practice for Preparation of Zinc (Hot-Dip Galvanized) Coated Iron and Steel Product and Hardware Surfaces for Painting

MASTER PAINTERS INSTITUTE (MPI)

MPI 107	(2012) Primer, Rust-Inhibitive, Water Based
MPI 163	(2012) Light Industrial Coating, Exterior, Water Based, Semi-Gloss (MPI Gloss Level 5)
MPI 23	(2012) Primer, Metal, Surface Tolerant
MPI 47	(2012) Alkyd, Interior, Semi-Gloss (MPI Gloss Level 5)
MPI 79	(2012) Primer, Alkyd, Anti-Corrosive for Metal
MPI 94	(2012) Alkyd, Exterior, Semi-Gloss (MPI Gloss Level 5)

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC 7/NACE No.4	(2007; E 2004) Brush-Off Blast Cleaning

SSPC Guide 6	(2015) Guide for Containing Surface Preparation Debris Generated During Paint Removal Operations
SSPC Guide 7	(2004; E 2004) Guide to the Disposal of Lead-Contaminated Surface Preparation Debris
SSPC PA 1	(2016) Shop, Field, and Maintenance Coating of Metals
SSPC PA Guide 3	(1982; E 1995) A Guide to Safety in Paint Application
SSPC SP 1	(2015) Solvent Cleaning
SSPC SP 10/NACE No. 2	(2007) Near-White Blast Cleaning
SSPC SP 12/NACE No.5	(2002) Surface Preparation and Cleaning of Metals by Waterjetting Prior to Recoating
SSPC SP 2	(1982; E 2000; E 2004) Hand Tool Cleaning
SSPC SP 3	(1982; E 2004) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning
SSPC VIS 1	(2002; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Dry Abrasive Blast Cleaning
SSPC VIS 3	(2004) Guide and Reference Photographs for Steel Surfaces Prepared by Hand and Power Tool Cleaning
SSPC VIS 4/NACE VIS 7	(1998; E 2000; E 2004) Guide and Reference Photographs for Steel Surfaces Prepared by Waterjetting

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101	(2014; Rev C) Color Code for Pipelines and for Compressed Gas Cylinders

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA Method 24	(2000) Determination of Volatile Matter Content, Water Content, Density, Volume Solids, and Weight Solids of Surface Coatings

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FED-STD-313	(2014; Rev E) Material Safety Data, Transportation Data and Disposal Data for Hazardous Materials Furnished to Government Activities

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000	Air Contaminants
29 CFR 1910.1025	Lead
29 CFR 1926.62	Lead

UNDERWRITERS LABORATORIES (UL)

UL 2818	(2013) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire contract and each coating system is to be from a single manufacturer. Provide all coats on a particular substrate from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

Samples of specified materials may be taken and tested for compliance with specification requirements.

SD-02 Shop Drawings

Piping Identification

SD-03 Product Data

Coating; G

SD-07 Certificates

Applicator's Qualifications

Qualification Testing laboratory for coatings; G

Indoor Air Quality for Paints and Primers

SD-08 Manufacturer's Instructions

Application Instructions

Mixing

Manufacturer's Safety Data Sheets

SD-10 Operation and Maintenance Data

Coatings; G

1.3 CERTIFICATES

1.3.1 Indoor Air Quality

Submit required indoor air quality certifications in one submittal package.

1.3.1.1 Paints and Coatings

Provide paint and coating products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification by other third-party programs. Provide current product certification documentation from certification body.

1.4 APPLICATOR'S QUALIFICATIONS

1.4.1 Contractor Qualification

Submit the name, address, telephone number, FAX number, and e-mail address of the contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application of coatings on piping on a minimum of three similar projects within the past three years. List information by individual and include the following:

a. Name of individual and proposed position for this work.

b. Information about each previous assignment including:

Position or responsibility

Employer (if other than the Contractor)

Name of facility owner

Mailing address, telephone number, and telex number (if non-US) of facility owner

Name of individual in facility owner's organization who can be contacted as a reference

Location, size and description of structure

Dates work was carried out

Description of work carried out on structure

1.5 QUALITY ASSURANCE

1.5.1 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph SAMPLING PROCEDURES. Test each chosen product as specified in the paragraph TESTING PROCEDURE. Remove products from the job site which do not conform, and replace with new products that conform to the referenced specification. Test replacement products that failed initial testing at no cost to the Government.

1.5.1.1 Sampling Procedure

The Contracting Officer will select paint at random from the products that have been delivered to the job site for sample testing. The Contractor will provide one quart samples of the selected paint materials. Take samples in the presence of the Contracting Officer, and label, and identify each sample. Provide labels in accordance with the paragraph PACKAGING, LABELING, AND STORAGE of this specification.

1.5.1.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance Testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph "Qualification Testing" laboratory for coatings. Include the backup data and summary of the test results within the qualification testing lab report. Provide a summary listing of all the reference specification requirements and the result of each test. Clearly indicate in the summary whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If MPI is chosen to perform the Batch Quality Conformance testing, the above submittal information is not required, only a letter is required from the Contractor stating that MPI will perform the testing.

1.6 REGULATORY REQUIREMENTS

1.6.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.6.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of

nonvolatile content.

1.6.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.6.4 Asbestos Content

Provide asbestos-free materials.

1.6.5 Mercury Content

Provide materials free of mercury or mercury compounds.

1.6.6 Silica

Provide abrasive blast media containing no free crystalline silica.

1.6.7 Human Carcinogens

Provide materials that do not contain ACGIH 0100 confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.7 PACKAGING, LABELING, AND STORAGE

Provide paints in sealed containers that legibly show the contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Furnish pigmented paints in containers not larger than 5 gallons. Store paints and thinners in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F. Do not store paint, polyurethane, varnish, or wood stain products in occupied spaces.

1.8 SAFETY AND HEALTH

Apply coating materials using safety methods and equipment in accordance with the following:

Comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01 35 26 GOVERNMENT SAFETY REQUIREMENTS and in Appendix A of EM 385-1-1. Include in the Activity Hazard Analysis the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.8.1 Safety Methods Used During Coating Application

Comply with the requirements of SSPC PA Guide 3.

1.8.2 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

a. The applicable manufacturer's Safety Data Sheets (SDS) or local

regulation.

b. 29 CFR 1910.1000.

c. ACGIH 0100, threshold limit values.

d. The appropriate OSHA standard in 29 CFR 1910.1025 and 29 CFR 1926.62 for surface preparation on painted surfaces containing lead. Removal and disposal of coatings which contain lead is specified in Section 02 83 00 LEAD REMEDIATION. Additional guidance is given in SSPC Guide 6 and SSPC Guide 7. Refer to drawings for list of hazardous materials located on this project. Coordinate paint preparation activities with this specification section.

Submit manufacturer's Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

1.9 ENVIRONMENTAL CONDITIONS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation.

1.9.1 Coatings

Do not apply coating when air or substrate conditions are:

a. Less than 5 degrees F above dew point;

b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Do not, under any circumstances, violate the manufacturer's application recommendations.

1.9.2 Post-Application

Vacate space for as long as possible after application. Wait a minimum of 48 hours before occupying freshly painted rooms. Maintain one of the following ventilation conditions during the curing period, or for 72 hours after application:

a. Supply 100 percent outside air 24 hours a day.

b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 85 degrees F and humidity is between 30 percent and 60 percent.

c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

1.10 LOCATION AND SURFACE TYPE TO BE PAINTED

1.10.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.

b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.

c. Existing coated surfaces that are damaged during performance of the work.

1.10.1.1 Exterior Painting

Includes new surfaces, existing coated surfaces, and existing uncoated surfaces, of the building appurtenances. Also included are existing coated surfaces made bare by cleaning operations.

1.10.1.2 Interior Painting

Includes new surfaces, existing uncoated surfaces, and existing coated surfaces of the buildings and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

a. Exposed columns, girders, beams, joists, and metal deck; and

b. Other contiguous surfaces.

1.10.2 Painting Excluded

Do not paint the following unless indicated otherwise.

a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.

b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.

c. Steel to be embedded in concrete.

d. Copper, stainless steel, aluminum, brass, and lead except existing coated surfaces.

e. Hardware, fittings, and other factory finished items.

1.10.3 Mechanical and Electrical Painting

Includes field coating of interior and exterior new and existing surfaces.

a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.

(1) Exposed piping, conduit, and ductwork;

(2) Supports, hangers, air grilles, and registers;

(3) Miscellaneous metalwork and insulation coverings.

b. Do not paint the following, unless indicated otherwise:

(1) New zinc-coated, aluminum, and copper surfaces under insulation

(2) New aluminum jacket on piping

(3) New interior ferrous piping under insulation.

1.10.4 Definitions and Abbreviations

1.10.4.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.10.4.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing must be accomplished by an MPI testing lab.

1.10.4.3 Coating

A film or thin layer applied to a base material called a substrate. A coating may be a metal, alloy, paint, or solid/liquid suspensions on various substrates (such as metals, plastics, wood, paper, leather, cloth). They may be applied by electrolysis, vapor deposition, vacuum, or mechanical means such as brushing, spraying, calendaring, and roller coating. A coating may be applied for aesthetic or protective purposes or both. The term "coating" as used herein includes emulsions, enamels, stains, varnishes, sealers, epoxies, and other coatings, whether used as primer, intermediate, or finish coat. The terms paint and coating are used interchangeably.

1.10.4.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.10.4.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five (5) levels are generically defined under the Assessment sections in the MPI Maintenance Repainting Manual.

1.10.4.6 EPP

Environmentally Preferred Products, a standard for determining environmental preferability in support of Executive Order 13101.

1.10.4.7 EXT

MPI short term designation for an exterior coating system.

1.10.4.8 INT

MPI short term designation for an interior coating system.

1.10.4.9 micron / microns

The metric measurement for 0.001 mm or one/one-thousandth of a millimeter.

1.10.4.10 mil / mils

The English measurement for 0.001 in or one/one-thousandth of an inch, equal to 25.4 microns or 0.0254 mm.

1.10.4.11 mm

The metric measurement for millimeter, 0.001 meter or one/one-thousandth of a meter.

1.10.4.12 MPI Gloss Levels

MPI system of defining gloss. Seven (7) gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degrees	Units at 85 degrees
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.10.4.13 MPI System Number

The MPI coating system number in each Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN). The Division number follows the CSI Master Format.

1.10.4.14 Paint

See Coating definition.

1.10.4.15 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.10.4.16 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit product data sheets for specified coatings and solvents. Provide preprinted cleaning and maintenance instructions for all coating systems.

Submit Manufacturer's Instructions on Mixing: Detailed mixing instructions, minimum and maximum application temperature and humidity, potlife, and curing and drying times between coats.

Provide certification of Indoor Air Quality for paints and primers.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, reinstall removed items by workmen skilled in the trades. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

3.2 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Remove oil and grease prior to mechanical cleaning. Schedule cleaning so that dust and other contaminants will not fall on wet, newly painted surfaces. Spot-prime exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas.

3.2.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.

c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.

d. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer.

e. Thoroughly clean previously painted surfaces damaged during construction of all grease, dirt, dust or other foreign matter.

f. Remove blistering, cracking, flaking and peeling or otherwise deteriorated coatings.

g. Remove chalk so that when tested in accordance with ASTM D4214, the chalk resistance rating is no less than 8.

h. Roughen slick surfaces. Repair damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls with suitable material to match adjacent undamaged areas.

i. Feather and sand smooth edges of chipped paint.

j. Clean rusty metal surfaces as per SSPC requirements. Use solvent, mechanical, or chemical cleaning methods to provide surfaces suitable for painting.

k. Provide new, proposed coatings that are compatible with existing coatings.

3.2.2 Removal of Existing Coatings

Remove existing coatings from the following surfaces:

a. Surfaces containing large areas of minor defects;

b. Surfaces containing more than 20 percent peeling area; and

c. Surfaces designated by the Contracting Officer, such as surfaces where rust shows through existing coatings.

3.3 PREPARATION OF METAL SURFACES

3.3.1 Existing and New Ferrous Surfaces

a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: detergent wash in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 6/NACE No.3, or SSPC SP 10/NACE No. 2.; Water jetting to SSPC SP 12/NACE No.5 WJ-4 may be used to remove loose coating and other loose materials. Use inhibitor as recommended by coating manufacturer to prevent premature rusting. Protect shop-coated ferrous surfaces from corrosion by treating and touching up corroded areas immediately upon detection.

b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign Substances: Clean entire surface in accordance with SSPC SP 6/NACE No.3 /SSPC SP 12/NACE No.5 WJ-3.

3.3.2 Final Ferrous Surface Condition:

For tool cleaned surfaces, the requirements are stated in SSPC SP 2 and SSPC SP 3. Use as a visual reference, photographs in SSPC VIS 3 for the appearance of cleaned surfaces.

For abrasive blast cleaned surfaces, the requirements are stated in SSPC 7/NACE No.4, SSPC SP 6/NACE No.3, and SSPC SP 10/NACE No. 2. Use as a visual reference, photographs in SSPC VIS 1 for the appearance of cleaned surfaces.

For waterjet cleaned surfaces, the requirements are stated in SSPC SP 12/NACE No.5. Use as a visual reference, photographs in

SSPC VIS 4/NACE VIS 7 for the appearance of cleaned surfaces.

3.3.3 Galvanized Surfaces

a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with non-alkaline detergent solution in accordance with SSPC SP 1. Completely remove coating by brush-off abrasive blast if the galvanized metal has been passivated or stabilized. Do not "passivate" or "stabilize" new galvanized steel to be coated. If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D6386, Appendix X2, and remove by one of the methods described therein.

b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to SSPC SP 12/NACE No.5 WJ3 to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.

c. Galvanized With Severe Deteriorated Coating or Severe Rusting: Water jet to SSPC SP 12/NACE No.5 WJ3 degree of cleanliness.

3.3.4 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

3.4 APPLICATION

3.4.1 Coating Application

Comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

At the time of application, paint must show no signs of deterioration. Maintain uniform suspension of pigments during application.

Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Use rollers for applying paints and enamels of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.

Only apply paints, except water-thinned types to surfaces that are completely free of moisture as determined by sight or touch.

Thoroughly work coating materials into joints, crevices, and open spaces. Pay special attention to ensure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

Apply each coat of paint so that dry film is of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush

marks, and variations in color, texture, and finish. Completely hide all blemishes.

Touch up damaged coatings before applying subsequent coats. Broom clean and clear dust from interior areas before and during the application of coating material.

a. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.

b. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Cover each preceding coat or surface completely by ensuring visually perceptible difference in shades of successive coats.

c. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.

3.4.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. Verify that the written permission includes quantities and types of thinners to use.

When thinning is allowed, thin paints immediately prior to application with not more than 1 pint of suitable thinner per gallon. The use of thinner does not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning cannot cause the paint to exceed limits on volatile organic compounds. Do not mix paints of different manufacturers.

3.4.3 Coating Systems

a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table

Division 5. Exterior Metal, Ferrous and Non-Ferrous Paint Table
Division 5. Interior Metal, Ferrous and Non-Ferrous Paint Table

b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness where specified, refers to the minimum dry film thickness.

c. Coatings for Surfaces Not Specified Otherwise: Coat surfaces which have not been specified, the same as surfaces having similar conditions of exposure.

d. Existing Surfaces Damaged During Performance of the Work, Including New

Patches In Existing Surfaces: Coat surfaces with the following:

(1) One coat of primer.

(2) One coat of undercoat or intermediate coat.

(3) One topcoat to match adjacent surfaces.

e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.5 COATING SYSTEMS FOR METAL

Apply coatings of Tables in Division 5 for Exterior and Interior.

a. Apply specified ferrous metal primer on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.

b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.

c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.

d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.

e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat. Overcoat these items with the specified ferrous-metal primer prior to application of finish coats.

f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.6 PIPING IDENTIFICATION

Piping Identification, Including Surfaces In Concealed Spaces: Provide in accordance with MIL-STD-101. Place stenciling in clearly visible locations. On piping not covered by MIL-STD-101, stencil approved names or code letters, in letters a minimum of 1/2 inch high for piping and a minimum of 2 inches high elsewhere. Stencil arrow-shaped markings on piping to indicate direction of flow using black stencil paint.

3.7 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.8 WASTE MANAGEMENT

As specified in the Waste Management Plan and as follows. Do not use kerosene or any such organic solvents to clean up water based paints. Properly dispose of paints or solvents in designated containers. Close and seal partially used containers of paint to maintain quality as necessary for reuse. Store in protected, well-ventilated, fire-safe area at moderate temperature. Place materials defined as hazardous or toxic waste in designated containers. Coordinate with manufacturer for take-back program. Set aside scrap to be returned to manufacturer for recycling into new product. When such a service is not available, contact local recyclers to reclaim the materials.

3.9 PAINT TABLES

All DFT's are minimum values. Use only materials with a GPS green check mark having a minimum MPI "Environmentally Friendly" E2 rating based on VOC (EPA Method 24) content levels. Acceptable products are listed in the MPI Green Approved Products List, available at http://www.specifygreen.com/APL/ProductIdxByMPInum.asp.

3.9.1 Exterior Paint Tables

DIVISION 5: EXTERIOR METAL, FERROUS AND NON-FERROUS PAINT TABLE

STEEL / FERROUS SURFACES

A. New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

1. Alkyd
   New; MPI EXT 5.1Q-G5 (Semigloss) Existing; MPI REX 5.1D-G5
   Primer: Intermediate: Topcoat:
   MPI 23 MPI 94 MPI 94
   System DFT: 5.25 mils

C. Existing steel that has been spot-blasted to SSPC SP 6/NACE No.3:

1. Surface previously coated with alkyd or latex:

   Waterborne Light Industrial Coating
   MPI REX 5.1C-G5 (Semigloss)
   Spot Primer: Intermediate: Topcoat:
   MPI 79 MPI 163 MPI 163
   System DFT: 5 mils

3.9.2 Interior Paint Tables

DIVISION 5: INTERIOR METAL, FERROUS AND NON-FERROUS PAINT TABLE

INTERIOR STEEL / FERROUS SURFACES

A. Metal, Mechanical, Electrical, Surfaces adjacent to painted surfaces (Match surrounding finish), and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment:

1. Alkyd
   MPI INT 5.1E-G5 (Semigloss)

INTERIOR STEEL / FERROUS SURFACES

Primer:	Intermediate:	Topcoat:
MPI 79	MPI 47	MPI 47

System DFT: 5.25 mils

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 22 00 00

PLUMBING, GENERAL PURPOSE
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.10.1/CSA 4.1	(2017) Gas Water Heaters Vol. I, Storage Water Heaters with Input Ratings of 75,000 Btu Per Hour or Less
ANSI Z21.10.3/CSA 4.3	(2017) Gas-Fired Water Heaters Vol.III, Storage Water Heaters With Input Ratings Above 75,000 Btu Per Hour, Circulating and Instantaneous
ANSI Z21.22/CSA 4.4	(2015) Relief Valves for Hot Water Supply Systems

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

ASSE 1003	(2009) Performance Requirements for Water Pressure Reducing Valves for Domestic Water Distribution Systems - (ANSI approved 2010)
ASSE 1010	(2004) Performance Requirements for Water Hammer Arresters (ANSI approved 2004)
ASSE 1018	(2001) Performance Requirements for Trap Seal Primer Valves - Potable Water Supplied (ANSI Approved 2002

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA 10084	(2017) Standard Methods for the Examination of Water and Wastewater
AWWA C651	(2014) Standard for Disinfecting Water Mains
AWWA C652	(2011) Disinfection of Water-Storage Facilities

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2011; Amendment 2012) Specification for Filler Metals for Brazing and Braze Welding
AWS B2.2/B2.2M	(2016) Specification for Brazing Procedure

and Performance Qualification

ASME INTERNATIONAL (ASME)

ASME A112.14.1	(2003; R 2017) Backwater Valves
ASME B1.20.1	(2013) Pipe Threads, General Purpose (Inch)
ASME B16.15	(2013) Cast Copper Alloy Threaded Fittings Classes 125 and 250
ASME B16.18	(2012) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.21	(2011) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.34	(2017) Valves - Flanged, Threaded and Welding End
ASME B16.5	(2017) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.50	(2013) Wrought Copper and Copper Alloy Braze-Joint Pressure Fittings
ASME B31.1	(2016; Errata 2016) Power Piping
ASME B31.5	(2016) Refrigeration Piping and Heat Transfer Components
ASME BPVC SEC IV	(2017) BPVC Section IV-Rules for Construction of Heating Boilers
ASME CSD-1	(2016) Control and Safety Devices for Automatically Fired Boilers

ASTM INTERNATIONAL (ASTM)

ASTM A105/A105M	(2014) Standard Specification for Carbon Steel Forgings for Piping Applications
ASTM A193/A193M	(2016) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A515/A515M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Intermediate- and Higher-Temperature Service
ASTM A516/A516M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Moderate- and Lower-Temperature Service
ASTM B32	(2008; R 2014) Standard Specification for Solder Metal

ASTM B813	(2016) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube
ASTM B88	(2016) Standard Specification for Seamless Copper Water Tube
ASTM B88M	(2018) Standard Specification for Seamless Copper Water Tube (Metric)
ASTM D2564	(2012) Standard Specification for Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
ASTM D2657	(2007; R 2015) Heat Fusion Joining Polyolefin Pipe and Fittings
ASTM D2665	(2014) Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Drain, Waste, and Vent Pipe and Fittings
ASTM D2855	(2015) Standard Practice for Making Solvent-Cemented Joints with Poly(Vinyl Chloride) (PVC) Pipe and Fittings
ASTM F1290	(1998a; R 2011) Electrofusion Joining Polyolefin Pipe and Fittings
ASTM F1760	(2016) Standard Specification for Coextruded Poly(Vinyl Chloride) (PVC) Non-Pressure Plastic Pipe Having Reprocessed-Recycled Content
ASTM F2389	(2017a) Standard Specification for Pressure-rated Polypropylene (PP) Piping Systems
ASTM F493	(2014) Solvent Cements for Chlorinated Poly (Vinyl Chloride) (CPVC) Plastic Pipe and Fittings
ASTM F891	(2016) Standard Specification for Coextruded Poly (Vinyl Chloride) (PVC) Plastic Pipe with a Cellular Core

COPPER DEVELOPMENT ASSOCIATION (CDA)

CDA A4015	(2016; 14/17) Copper Tube Handbook

INTERNATIONAL CODE COUNCIL (ICC)

ICC IPC	(2018) International Plumbing Code

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-110	(2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends

MSS SP-25	(2013) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-58	(2009) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MSS SP-67	(2017; Errata 1 2017) Butterfly Valves
MSS SP-70	(2011) Gray Iron Gate Valves, Flanged and Threaded Ends
MSS SP-71	(2011; Errata 2013) Gray Iron Swing Check Valves, Flanged and Threaded Ends
MSS SP-72	(2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service
MSS SP-78	(2011) Cast Iron Plug Valves, Flanged and Threaded Ends
MSS SP-80	(2013) Bronze Gate, Globe, Angle and Check Valves
MSS SP-85	(2011) Gray Iron Globe & Angle Valves Flanged and Threaded Ends

NSF INTERNATIONAL (NSF)

NSF/ANSI 14	(2017b) Plastics Piping System Components and Related Materials
NSF/ANSI 61	(2017) Drinking Water System Components - Health Effects

PLUMBING AND DRAINAGE INSTITUTE (PDI)

PDI WH 201	(2010) Water Hammer Arresters Standard

U.S. DEPARTMENT OF ENERGY (DOE)

Energy Star	(1992; R 2006) Energy Star Energy Efficiency Labeling System (FEMP)

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 430	Energy Conservation Program for Consumer Products
40 CFR 141.80	National Primary Drinking Water Regulations; Control of Lead and Copper; General Requirements

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00

SUBMITTAL PROCEDURES:

SD-03 Product Data

Water Heaters; G

Energy Star Label for Gas Storage Water Heater; S

SD-06 Test Reports

Tests, Flushing and Disinfection

Test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, completion and testing of the installed system. Each test report shall indicate the final position of controls.

.

SD-07 Certificates

Materials and Equipment

Where equipment is specified to conform to requirements of the ASME Boiler and Pressure Vessel Code, the design, fabrication, and installation shall conform to the code.

Bolts

Written certification by the bolt manufacturer that the bolts furnished comply with the specified requirements.

SD-10 Operation and Maintenance Data

Plumbing System; G

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

1.3 STANDARD PRODUCTS

Specified materials and equipment shall be standard products of a manufacturer regularly engaged in the manufacture of such products. Specified equipment shall essentially duplicate equipment that has performed satisfactorily at least two years prior to bid opening. Standard products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.3.1 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.3.2 Service Support

The equipment items shall be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations shall be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.3.3 Manufacturer's Nameplate

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.3.4 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.3.4.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions shall be considered mandatory, the word "should" shall be interpreted as "shall." Reference to the "code official" shall be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" shall be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" shall be interpreted to mean the "lessor." References to the "permit holder" shall be interpreted to mean the "Contractor."

1.3.4.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, shall be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.5 PERFORMANCE REQUIREMENTS

1.6 REGULATORY REQUIREMENTS

Unless otherwise required herein, plumbing work shall be in accordance with ICC IPC.

1.7 PROJECT/SITE CONDITIONS

The Contractor shall become familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.8 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work.

Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.9 ACCESSIBILITY OF EQUIPMENT

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

PART 2 PRODUCTS

2.1 MATERIALS

Materials for various services shall be in accordance with TABLES I and II. Pipe schedules shall be selected based on service requirements. Pipe fittings shall be compatible with the applicable pipe materials. Plastic pipe, fittings, and solvent cement shall meet NSF/ANSI 14 and shall be NSF listed for the service intended. Plastic pipe, fittings, and solvent cement used for potable hot and cold water service shall bear the NSF seal "NSF-PW." Polypropylene pipe and fittings shall conform to dimensional requirements of Schedule 40, Iron Pipe size and shall comply with NSF/ANSI 14, NSF/ANSI 61 and ASTM F2389.

2.1.1 Pipe Joint Materials

Solder containing lead shall not be used with copper pipe. Joints and gasket materials shall conform to the following:

d. Flange Gaskets: Gaskets shall be made of non-asbestos material in accordance with ASME B16.21. Gaskets shall be flat, 1/16 inch thick, and contain Aramid fibers bonded with Styrene Butadiene Rubber (SBR) or Nitro Butadiene Rubber (NBR). Gaskets shall be the full face or self centering flat ring type. Gaskets used for hydrocarbon service shall be bonded with NBR.

e. Brazing Material: Brazing material shall conform to AWS A5.8/A5.8M, BCuP-5.

f. Brazing Flux: Flux shall be in paste or liquid form appropriate for use with brazing material. Flux shall be as follows: lead-free; have a 100 percent flushable residue; contain slightly acidic reagents; contain potassium borides; and contain fluorides.

g. Solder Material: Solder metal shall conform to ASTM B32.

h. Solder Flux: Flux shall be liquid form, non-corrosive, and conform to ASTM B813, Standard Test 1.

i. PTFE Tape: PTFE Tape, for use with Threaded Metal or Plastic Pipe.

p. Plastic Solvent Cement for PVC Plastic Pipe: ASTM D2564 and ASTM D2855.

q. Plastic Solvent Cement for CPVC Plastic Pipe: ASTM F493.

r. Flanged fittings including, but not limited to, flanges, bolts, nuts and bolt patterns shall be in accordance with ASME B16.5 class 150 and shall have the manufacturer's trademark affixed in accordance with MSS SP-25. Flange material shall conform to ASTM A105/A105M. Blind flange material shall conform to ASTM A516/A516M cold service and ASTM A515/A515M for hot service. Bolts shall be high strength or intermediate strength with material conforming to ASTM A193/A193M.

u. Copper tubing shall conform to ASTM B88, Type K, L or M.

2.1.2 Pipe Insulation Material

Insulation shall be as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.2 PIPE HANGERS, INSERTS, AND SUPPORTS

Pipe hangers, inserts, and supports shall conform to MSS SP-58.

2.3 VALVES

Valves shall be provided on supplies to equipment and fixtures. Valves 2-1/2 inches and smaller shall be bronze with threaded bodies for pipe and solder-type connections for tubing. Valves 3 inches and larger shall have flanged iron bodies and bronze trim. Pressure ratings shall be based upon the application. Grooved end valves may be provided if the manufacturer certifies that the valves meet the performance requirements of applicable MSS standard. Valves shall conform to the following standards:

Description	Standard
Butterfly Valves	MSS SP-67
Cast-Iron Gate Valves, Flanged and Threaded Ends	MSS SP-70
Cast-Iron Swing Check Valves, Flanged and Threaded Ends	MSS SP-71
Ball Valves with Flanged Butt-Welding Ends for General Service	MSS SP-72
Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends	MSS SP-110
Cast-Iron Plug Valves, Flanged and Threaded Ends	MSS SP-78
Bronze Gate, Globe, Angle, and Check Valves	MSS SP-80
Steel Valves, Socket Welding and Threaded Ends	ASME B16.34
Cast-Iron Globe and Angle Valves, Flanged and Threaded Ends	MSS SP-85
Backwater Valves	ASME A112.14.1

Vacuum Relief Valves	ANSI Z21.22/CSA 4.4
Water Pressure Reducing Valves	ASSE 1003
Water Heater Drain Valves	ASME BPVC SEC IV, Part HLW-810: Requirements for Potable-Water Heaters Bottom Drain Valve
Trap Seal Primer Valves	ASSE 1018
Temperature and Pressure Relief Valves for Hot Water Supply Systems	ANSI Z21.22/CSA 4.4
Temperature and Pressure Relief Valves for Automatically Fired Hot Water Boilers	ASME CSD-1 Safety Code No., Part CW, Article 5

2.3.1 Relief Valves

Water heaters and hot water storage tanks shall have a combination pressure and temperature (P&T) relief valve. The pressure relief element of a P&T relief valve shall have adequate capacity to prevent excessive pressure buildup in the system when the system is operating at the maximum rate of heat input. The temperature element of a P&T relief valve shall have a relieving capacity which is at least equal to the total input of the heaters when operating at their maximum capacity. Relief valves shall be rated according to ANSI Z21.22/CSA 4.4. Relief valves for systems where the maximum rate of heat input is less than 200,000 Btuh shall have 3/4 inch minimum inlets, and 3/4 inch outlets. Relief valves for systems where the maximum rate of heat input is greater than 200,000 Btuh shall have 1 inch minimum inlets, and 1 inch outlets. The discharge pipe from the relief valve shall be the size of the valve outlet.

2.4 WATER HEATERS

Water heater types and capacities shall be as indicated. Each water heater shall have replaceable anodes. Each primary water heater shall have controls with an adjustable range that includes 90 to 160 degrees F. Each gas-fired water heater and booster water heater shall have controls with an adjustable range that includes 120 to 180 degrees F. Hot water systems utilizing recirculation systems shall be tied into building off-hour controls. The thermal efficiencies and standby heat losses shall conform to TABLE III in PART 3 of this Section for each type of water heater specified. The only exception is that storage water heaters and hot water storage tanks having more than 500 gallons storage capacity need not meet the standard loss requirement if the tank surface area is insulated to R-12.5 and if a standing light is not used. Plastic materials polyetherimide (PEI) and polyethersulfone (PES) are forbidden to be used for vent piping of combustion gases.

2.4.1 Automatic Storage Type

Heaters shall be complete with internal control system, temperature gauge, and pressure gauge, and shall have ASME rated combination pressure and temperature relief valve.

2.4.1.1 Gas-Fired Type

Gas-fired water heaters shall conform to ANSI Z21.10.1/CSA 4.1 when input is 75,000 BTU per hour or less or ANSI Z21.10.3/CSA 4.3 for heaters with input greater than 75,000 BTU per hour. Provide data identifying Energy Star label for gas storage water heater.

2.5 MISCELLANEOUS PIPING ITEMS

2.5.1 Pipe Hangers (Supports)

Provide MSS SP-58 Type 1 with adjustable type steel support rods, except as specified or indicated otherwise. Attach to steel joists with Type 19 or 23 clamps and retaining straps. Attach to Steel W or S beams with Type 21, 28, 29, or 30 clamps. Attach to steel angles and vertical web steel channels with Type 20 clamp with beam clamp channel adapter. Attach to horizontal web steel channel and wood with drilled hole on centerline and double nut and washer. Attach to concrete with Type 18 insert or drilled expansion anchor. Provide Type 40 insulation protection shield for insulated piping.

2.5.2 Nameplates

Provide 0.125 inch thick melamine laminated plastic nameplates, black matte finish with white center core, for equipment, gages, thermometers, and valves; valves in supplies to faucets will not require nameplates. Accurately align lettering and engrave minimum of 0.25 inch high normal block lettering into the white core. Minimum size of nameplates shall be 1.0 by 2.5 inches. Key nameplates to a chart and schedule for each system. Frame charts and schedules under glass and place where directed near each system. Furnish two copies of each chart and schedule.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

The plumbing system shall be installed complete with necessary fixtures, fittings, traps, valves, and accessories.

3.1.1 Water Pipe, Fittings, and Connections

3.1.1.1 Utilities

The piping shall be extended to fixtures, outlets, and equipment. The hot-water and cold-water piping system shall be arranged and installed to permit draining. The supply line to each item of equipment or fixture, except faucets, flush valves, or other control valves which are supplied with integral stops, shall be equipped with a shutoff valve to enable isolation of the item for repair and maintenance without interfering with operation of other equipment or fixtures. Supply piping to fixtures, faucets, hydrants, shower heads, and flushing devices shall be anchored to prevent movement.

3.1.1.2 Cutting and Repairing

The work shall be carefully laid out in advance, and unnecessary cutting of construction shall be avoided. Damage to building, piping, wiring, or equipment as a result of cutting shall be repaired by mechanics skilled in the trade involved.

3.1.1.3 Protection of Fixtures, Materials, and Equipment

Pipe openings shall be closed with caps or plugs during installation. Fixtures and equipment shall be tightly covered and protected against dirt, water, chemicals, and mechanical injury. Upon completion of the work, the fixtures, materials, and equipment shall be thoroughly cleaned, adjusted, and operated. Safety guards shall be provided for exposed rotating equipment.

3.1.1.4 Mains, Branches, and Runouts

Piping shall be installed as indicated. Pipe shall be accurately cut and worked into place without springing or forcing. Structural portions of the building shall not be weakened. Aboveground piping shall run parallel with the lines of the building, unless otherwise indicated. Branch pipes from service lines may be taken from top, bottom, or side of main, using crossover fittings required by structural or installation conditions. Supply pipes, valves, and fittings shall be kept a sufficient distance from other work and other services to permit not less than 1/2 inch between finished covering on the different services. Bare and insulated water lines shall not bear directly against building structural elements so as to transmit sound to the structure or to prevent flexible movement of the lines. Water pipe shall not be buried in or under floors unless specifically indicated or approved. Changes in pipe sizes shall be made with reducing fittings. Use of bushings will not be permitted except for use in situations in which standard factory fabricated components are furnished to accommodate specific accepted installation practice. Change in direction shall be made with fittings, except that bending of pipe 4 inches and smaller will be permitted, provided a pipe bender is used and wide sweep bends are formed. The center-line radius of bends shall be not less than six diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be acceptable.

3.1.1.5 Pipe Drains

Pipe drains indicated shall consist of 3/4 inch hose bibb with renewable seat and ball valve ahead of hose bibb. At other low points, 3/4 inch brass plugs or caps shall be provided. Disconnection of the supply piping at the fixture is an acceptable drain.

3.1.1.6 Expansion and Contraction of Piping

Allowance shall be made throughout for expansion and contraction of water pipe. Each hot-water and hot-water circulation riser shall have expansion loops or other provisions such as offsets and changes in direction where indicated and required. Risers shall be securely anchored as required or where indicated to force expansion to loops. Branch connections from risers shall be made with ample swing or offset to avoid undue strain on fittings or short pipe lengths. Horizontal runs of pipe over 50 feet in length shall be anchored to the wall or the supporting construction about midway on the run to force expansion, evenly divided, toward the ends. Sufficient flexibility shall be provided on branch runouts from mains and

risers to provide for expansion and contraction of piping. Flexibility shall be provided by installing one or more turns in the line so that piping will spring enough to allow for expansion without straining. If mechanical grooved pipe coupling systems are provided, the deviation from design requirements for expansion and contraction may be allowed pending approval of Contracting Officer.

3.1.1.7 Thrust Restraint

Plugs, caps, tees, valves and bends deflecting 11.25 degrees or more, either vertically or horizontally, in waterlines 4 inches in diameter or larger shall be provided with thrust blocks, where indicated, to prevent movement. Thrust blocking shall be concrete of a mix not leaner than: 1 cement, 2-1/2 sand, 5 gravel; and having a compressive strength of not less than 2000 psi after 28 days. Blocking shall be placed between solid ground and the fitting to be anchored. Unless otherwise indicated or directed, the base and thrust bearing sides of the thrust block shall be poured against undisturbed earth. The side of the thrust block not subject to thrust shall be poured against forms. The area of bearing will be as shown. Blocking shall be placed so that the joints of the fitting are accessible for repair. Steel rods and clamps, protected by galvanizing or by coating with bituminous paint, shall be used to anchor vertical down bends into gravity thrust blocks.

3.1.1.8 Commercial-Type Water Hammer Arresters

Commercial-type water hammer arresters shall be provided on hot- and cold-water supplies and shall be located as generally indicated, with precise location and sizing to be in accordance with PDI WH 201. Water hammer arresters, where concealed, shall be accessible by means of access doors or removable panels. Commercial-type water hammer arresters shall conform to ASSE 1010. Vertical capped pipe columns will not be permitted.

3.1.2 Joints

Installation of pipe and fittings shall be made in accordance with the manufacturer's recommendations. Mitering of joints for elbows and notching of straight runs of pipe for tees will not be permitted. Joints shall be made up with fittings of compatible material and made for the specific purpose intended.

3.1.2.1 Threaded

Threaded joints shall have American Standard taper pipe threads conforming to ASME B1.20.1. Only male pipe threads shall be coated with graphite or with an approved graphite compound, or with an inert filler and oil, or shall have a polytetrafluoroethylene tape applied.

3.1.2.2 Mechanical Couplings

Mechanical couplings may be used in conjunction with grooved pipe for aboveground, ferrous or non-ferrous, domestic hot and cold water systems, in lieu of unions, brazed, soldered, welded, flanged, or threaded joints.

Mechanical couplings are permitted in accessible locations including behind access plates. Flexible grooved joints will not be permitted, except as vibration isolators adjacent to mechanical equipment. Rigid grooved joints shall incorporate an angle bolt pad design which maintains metal-to-metal contact with equal amount of pad offset of housings upon installation to

ensure positive rigid clamping of the pipe.

Designs which can only clamp on the bottom of the groove or which utilize gripping teeth or jaws, or which use misaligned housing bolt holes, or which require a torque wrench or torque specifications will not be permitted.

Grooved fittings and couplings, and grooving tools shall be provided from the same manufacturer. Segmentally welded elbows shall not be used. Grooves shall be prepared in accordance with the coupling manufacturer's latest published standards. Grooving shall be performed by qualified grooving operators having demonstrated proper grooving procedures in accordance with the tool manufacturer's recommendations.

The Contracting Officer shall be notified 24 hours in advance of test to demonstrate operator's capability, and the test shall be performed at the work site, if practical, or at a site agreed upon. The operator shall demonstrate the ability to properly adjust the grooving tool, groove the pipe, and to verify the groove dimensions in accordance with the coupling manufacturer's specifications.

3.1.2.3 Unions and Flanges

Unions, flanges and mechanical couplings shall not be concealed in walls, ceilings, or partitions. Unions shall be used on pipe sizes 2-1/2 inches and smaller; flanges shall be used on pipe sizes 3 inches and larger.

3.1.2.4 Cast Iron Soil, Waste and Vent Pipe

Bell and spigot compression and hubless gasketed clamp joints for soil, waste and vent piping shall be installed per the manufacturer's recommendations.

3.1.2.5 Copper Tube and Pipe

a. Brazed. Brazed joints shall be made in conformance with AWS B2.2/B2.2M, ASME B16.50, and CDA A4015 with flux and are acceptable for all pipe sizes. Copper to copper joints shall include the use of copper-phosphorus or copper-phosphorus-silver brazing metal without flux. Brazing of dissimilar metals (copper to bronze or brass) shall include the use of flux with either a copper-phosphorus, copper-phosphorus-silver or a silver brazing filler metal.

b. Soldered. Soldered joints shall be made with flux and are only acceptable for piping 2 inches and smaller. Soldered joints shall conform to ASME B31.5 and CDA A4015. Soldered joints shall not be used in compressed air piping between the air compressor and the receiver.

c. Copper Tube Extracted Joint. Mechanically extracted joints shall be made in accordance with ICC IPC.

3.1.2.6 Plastic Pipe

Acrylonitrile-Butadiene-Styrene (ABS) pipe shall have joints made with solvent cement. PVC and CPVC pipe shall have joints made with solvent cement elastomeric, threading, (threading of Schedule 80 Pipe is allowed only where required for disconnection and inspection; threading of Schedule

40 Pipe is not allowed), or mated flanged.

3.1.2.7 Glass Pipe

Joints for corrosive waste glass pipe and fittings shall be made with corrosion-resisting steel compression-type couplings with acrylonitrile rubber gaskets lined with polytetrafluoroethylene.

3.1.2.8 Corrosive Waste Plastic Pipe

Joints for polyolefin pipe and fittings shall be made by mechanical joint or electrical fusion coil method in accordance with ASTM D2657 and ASTM F1290. Joints for filament-wound reinforced thermosetting resin pipe shall be made in accordance with manufacturer's instructions. Unions or flanges shall be used where required for disconnection and inspection.

3.1.2.9 Polypropylene Pipe

Joints for polypropylene pipe and fittings shall be made by heat fusion welding socket-type or butt-fusion type fittings and shall comply with ASTM F2389.

3.1.2.10 Other Joint Methods

3.1.3 Dissimilar Pipe Materials

Connections between ferrous and non-ferrous copper water pipe shall be made with dielectric unions or flange waterways. Dielectric waterways shall have temperature and pressure rating equal to or greater than that specified for the connecting piping. Waterways shall have metal connections on both ends suited to match connecting piping. Dielectric waterways shall be internally lined with an insulator specifically designed to prevent current flow between dissimilar metals. Dielectric flanges shall meet the performance requirements described herein for dielectric waterways. Connecting joints between plastic and metallic pipe shall be made with transition fitting for the specific purpose.

3.1.4 Pipe Sleeves and Flashing

Pipe sleeves shall be furnished and set in their proper and permanent location.

3.1.5 Supports

3.1.5.1 General

Hangers used to support piping 2 inches and larger shall be fabricated to permit adequate adjustment after erection while still supporting the load. Pipe guides and anchors shall be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. Piping subjected to vertical movement when operating temperatures exceed ambient temperatures shall be supported by variable spring hangers and supports or by constant support hangers. In the support of multiple pipe runs on a common base member, a clip or clamp shall be used where each pipe crosses the base support member. Spacing of the base support members shall not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run. Threaded sections of rods shall not be formed or bent.

3.1.5.2 Pipe Supports and Structural Bracing, Seismic Requirements

Piping and attached valves shall be supported and braced to resist seismic loads as specified in Section 23 05 48.19 SEISMIC BRACING FOR HVAC. Structural steel required for reinforcement to properly support piping, headers, and equipment, but not shown, shall be provided. Material used for supports shall be as specified in Section 05 50 13 MISCELLANEOUS METAL FABRICATIONS.

3.1.5.3 Pipe Hangers, Inserts, and Supports

Installation of pipe hangers, inserts and supports shall conform to MSS SP-58 except as modified herein.

a. Types 5, 12, and 26 shall not be used.

b. Type 3 shall not be used on insulated pipe.

c. Type 18 inserts shall be secured to concrete forms before concrete is placed. Continuous inserts which allow more adjustment may be used if they otherwise meet the requirements for type 18 inserts.

d. Type 19 and 23 C-clamps shall be torqued per MSS SP-58 and shall have both locknuts and retaining devices furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.

e. Type 20 attachments used on angles and channels shall be furnished with an added malleable-iron heel plate or adapter.

f. Type 24 may be used only on trapeze hanger systems or on fabricated frames.

g. Type 39 saddles shall be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Type 39 saddles shall be welded to the pipe.

h. Type 40 shields shall:

(1) Be used on insulated pipe less than 4 inches.

(2) Be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or less.

(3) Have a high density insert for all pipe sizes. High density inserts shall have a density of 8 pcf or greater.

i. Horizontal pipe supports shall be spaced as specified in MSS SP-58 and a support shall be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports shall be spaced not over 5 feet apart at valves. Operating temperatures in determining hanger spacing for PVC or CPVC pipe shall be 120 degrees F for PVC and 180 degrees F for CPVC. Horizontal pipe runs shall include allowances for expansion and contraction.

j. Vertical pipe shall be supported at each floor, except at slab-on-grade, at intervals of not more than 15 feet nor more than 8 feet from end of risers, and at vent terminations. Vertical pipe risers shall include allowances for expansion and contraction.

k. Type 35 guides using steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides shall be provided to allow longitudinal pipe movement. Slide materials shall be suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered. Lateral restraints shall be provided as needed. Where steel slides do not require provisions for lateral restraint the following may be used:

(1) On pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher, a Type 39 saddle, welded to the pipe, may freely rest on a steel plate.

(2) On pipe less than 4 inches a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.

(3) On pipe 4 inches and larger carrying medium less that 60 degrees F a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.

l. Pipe hangers on horizontal insulated pipe shall be the size of the outside diameter of the insulation. The insulation shall be continuous through the hanger on all pipe sizes and applications.

m. Where there are high system temperatures and welding to piping is not desirable, the type 35 guide shall include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe shall be separated from the slide material by at least 4 inches or by an amount adequate for the insulation, whichever is greater.

n. Hangers and supports for plastic pipe shall not compress, distort, cut or abrade the piping, and shall allow free movement of pipe except where otherwise required in the control of expansion/contraction.

3.1.5.4 Structural Attachments

Attachment to building structure concrete and masonry shall be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors shall be applied with a safety factor not less than 5. Supports shall not be attached to metal decking. Supports shall not be attached to the underside of concrete filled floor or concrete roof decks unless approved by the Contracting Officer. Masonry anchors for overhead applications shall be constructed of ferrous materials only.

3.1.6 Welded Installation

Plumbing pipe weldments shall be as indicated. Changes in direction of piping shall be made with welding fittings only; mitering or notching pipe to form elbows and tees or other similar type construction will not be permitted. Branch connection may be made with either welding tees or forged branch outlet fittings. Branch outlet fittings shall be forged, flared for improvement of flow where attached to the run, and reinforced against external strains. Beveling, alignment, heat treatment, and inspection of weld shall conform to ASME B31.1. Weld defects shall be removed and repairs made to the weld, or the weld joints shall be entirely removed and rewelded. After filler metal has been removed from its original package, it shall be protected or stored so that its characteristics or welding properties are not affected. Electrodes that have been wetted or that have lost any of their coating shall not be used.

3.2 WATER HEATERS AND HOT WATER STORAGE TANKS

3.2.1 Relief Valves

No valves shall be installed between a relief valve and its water heater or storage tank. The P&T relief valve shall be installed where the valve actuator comes in contact with the hottest water in the heater. Whenever possible, the relief valve shall be installed directly in a tapping in the tank or heater; otherwise, the P&T valve shall be installed in the hot-water outlet piping. A vacuum relief valve shall be provided on the cold water supply line to the hot-water storage tank or water heater and mounted above and within 6 inches above the top of the tank or water heater.

3.2.2 Heat Traps

Piping to and from each water heater and hot water storage tank shall be routed horizontally and downward a minimum of 2 feet before turning in an upward direction.

3.2.3 Connections to Water Heaters

Connections of metallic pipe to water heaters shall be made with dielectric unions or flanges.

3.2.4 Domestic Water Heaters

Notify the Contracting Officer when any domestic water heater over 400,000 BTU/hour is operational and ready to be inspected and certified.

3.3 IDENTIFICATION SYSTEMS

3.3.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and valve number shall be installed on valves, except those valves installed on supplies at plumbing fixtures. Tags shall be 1-3/8 inch minimum diameter, and marking shall be stamped or engraved. Indentations shall be black, for reading clarity. Tags shall be attached to valves with No. 12 AWG, copper wire, chrome-plated beaded chain, or plastic straps designed for that purpose.

3.4 TESTS, FLUSHING AND DISINFECTION

3.4.1 Plumbing System

The following tests shall be performed on the plumbing system in accordance with ICC IPC, except that the drainage and vent system final test shall include the smoke test. The Contractor has the option to perform a peppermint test in lieu of the smoke test. If a peppermint test is chosen, the Contractor must submit a testing procedure and reasons for choosing this option in lieu of the smoke test to the Contracting Officer for approval.

3.4.2 Defective Work

If inspection or test shows defects, such defective work or material shall be replaced or repaired as necessary and inspection and tests shall be

repeated. Repairs to piping shall be made with new materials. Caulking of screwed joints or holes will not be acceptable.

3.4.3 System Flushing

3.4.3.1 During Flushing

Before operational tests or disinfection, potable water piping system shall be flushed with hot potable water. Sufficient water shall be used to produce a water velocity that is capable of entraining and removing debris in all portions of the piping system. This requires simultaneous operation of all fixtures on a common branch or main in order to produce a flushing velocity of approximately 4 fps through all portions of the piping system. In the event that this is impossible due to size of system, the Contracting Officer (or the designated representative) shall specify the number of fixtures to be operated during flushing. Contractor shall provide adequate personnel to monitor the flushing operation and to ensure that drain lines are unobstructed in order to prevent flooding of the facility. Contractor shall be responsible for any flood damage resulting from flushing of the system. Flushing shall be continued until entrained dirt and other foreign materials have been removed and until discharge water shows no discoloration. All faucets and drinking water fountains, to include any device considered as an end point device by NSF/ANSI 61, Section 9, shall be flushed a minimum of 0.25 gallons per 24 hour period, ten times over a 14 day period.

3.4.3.2 After Flushing

System shall be drained at low points. Strainer screens shall be removed, cleaned, and replaced. After flushing and cleaning, systems shall be prepared for testing by immediately filling water piping with clean, fresh potable water. Any stoppage, discoloration, or other damage to the finish, furnishings, or parts of the building due to the Contractor's failure to properly clean the piping system shall be repaired by the Contractor. When the system flushing is complete, the hot-water system shall be adjusted for uniform circulation. Flushing devices and automatic control systems shall be adjusted for proper operation according to manufacturer's instructions. Flow rates on fixtures must not exceed those stated in PART 2 of this Section. Unless more stringent local requirements exist, lead levels shall not exceed limits established by 40 CFR 141.80 (c)(1). The water supply to the building shall be tested separately to ensure that any lead contamination found during potable water system testing is due to work being performed inside the building.

3.4.4 Operational Test

Upon completion of flushing and prior to disinfection procedures, the Contractor shall subject the plumbing system to operating tests to demonstrate satisfactory installation, connections, adjustments, and functional and operational efficiency. Such operating tests shall cover a period of not less than 8 hours for each system and shall include the following information in a report with conclusion as to the adequacy of the system:

a. Time, date, and duration of test.

d. Operation of each valve, hydrant, and faucet.

e. Pump suction and discharge pressures.

f. Temperature of each domestic hot-water supply.

g. Operation of each floor and roof drain by flooding with water.

h. Operation of each vacuum breaker and backflow preventer.

3.4.5 Disinfection

After all system components are provided and operational tests are complete, the entire domestic hot- and cold-water distribution system shall be disinfected. Before introducing disinfecting chlorination material, entire system shall be flushed with potable water until any entrained dirt and other foreign materials have been removed.

Water chlorination procedure shall be in accordance with AWWA C651 and AWWA C652 as modified and supplemented by this specification. The chlorinating material shall be hypochlorites or liquid chlorine. The chlorinating material shall be fed into the water piping system at a constant rate at a concentration of at least 50 parts per million (ppm). Feed a properly adjusted hypochlorite solution injected into the system with a hypochlorinator, or inject liquid chlorine into the system through a solution-feed chlorinator and booster pump until the entire system is completely filled.

Test the chlorine residual level in the water at 6 hour intervals for a continuous period of 24 hours. If at the end of a 6 hour interval, the chlorine residual has dropped to less than 25 ppm, flush the piping including tanks with potable water, and repeat the above chlorination procedures. During the chlorination period, each valve and faucet shall be opened and closed several times.

After the second 24 hour period, verify that no less than 25 ppm chlorine residual remains in the treated system. The 24 hour chlorination procedure must be repeated until no less than 25 ppm chlorine residual remains in the treated system.

Upon the specified verification, the system including tanks shall then be flushed with potable water until the residual chlorine level is reduced to less than one part per million. During the flushing period, each valve and faucet shall be opened and closed several times.

Take additional samples of water in disinfected containers, for bacterial examination, at locations specified by the Contracting Officer Test these samples for total coliform organisms (coliform bacteria, fecal coliform, streptococcal, and other bacteria) in accordance with AWWA 10084. The testing method used shall be EPA approved for drinking water systems and shall comply with applicable local and state requirements.

Disinfection shall be repeated until bacterial tests indicate the absence of coliform organisms (zero mean coliform density per 100 milliliters) in the samples for at least 2 full days. The system will

not be accepted until satisfactory bacteriological results have been obtained.

3.5 POSTED INSTRUCTIONS

Framed instructions under glass or in laminated plastic, including wiring and control diagrams showing the complete layout of the entire system, shall be posted where directed. Condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system shall be prepared in typed form, framed as specified above for the wiring and control diagrams and posted beside the diagrams. The framed instructions shall be posted before acceptance testing of the systems.

3.6 PERFORMANCE OF WATER HEATING EQUIPMENT

Standard rating condition terms are as follows:

EF = Energy factor, minimum overall efficiency.

ET = Minimum thermal efficiency with 70 degrees F delta T.

SL = Standby loss is maximum (Btu/h) based on a 70 degrees F temperature difference between stored water and ambient requirements.

V = Rated volume in gallons

Q = Nameplate input rate in kW (Btu/h)

3.6.1 Storage Water Heaters

3.6.1.1 Gas

a. Storage capacity of 50 gallons or less shall have a minimum energy factor (EF) of 0.67 or higher per FEMP requirements.

b. Storage capacity of 20 gallons - or more and input rating of 75,000 Btu/h or less: minimum EF shall be 0.62 - 0.0019V per 10 CFR 430.

c. Rating of less than 22980 W: (75,000 Btu/h) ET shall be 80 percent; maximum SL shall be (Q/800+110x(V^^1/2)), per ANSI Z21.10.3/CSA 4.3

3.7 TABLES

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
20	Polyvinyl Chloride plastic drain, waste and vent pipe and fittings, ASTM D2665, ASTM F891, (Sch 40) ASTM F1760							X

SERVICE:

A - Underground Building Soil, Waste and Storm Drain
B - Aboveground Soil, Waste, Drain In Buildings
C - Underground Vent
D - Aboveground Vent
E - Interior Rainwater Conductors Aboveground
F - Corrosive Waste And Vent Above And Belowground
G - Combustion Condensate Drain Aboveground

* - Hard Temper

TABLE II					
PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS					
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
8	Seamless copper water tube, ASTM B88, ASTM B88M	X**	X**	X**	X***
9	Cast bronze threaded fittings, ASME B16.15 for use with Items 5 and 7	X	X		X
11	Cast copper alloy solder-joint pressure fittings, ASME B16.18 for use with Item 8	X	X	X	X

TABLE II

PIPE AND FITTING MATERIALS FOR PRESSURE PIPING SYSTEMS

Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D
38	Press Fittings	X	X		

SERVICE:
A - Cold Water Service Aboveground
B - Hot and Cold Water Distribution
180 degrees F Maximum Aboveground
C - Compressed Air Lubricated
D - Cold Water Service Belowground
Indicated types are minimum wall thicknesses.
** - Type L - Hard
*** - Type K - Hard temper with brazed joints only or type K-soft
temper without joints in or under floors
**** - In or under slab floors only brazed joints

TABLE III

STANDARD RATING CONDITIONS AND MINIMUM PERFORMANCE RATINGS FOR WATER HEATING EQUIPMENT

FUEL	STORAGE CAPACITY GALLONS	INPUT RATING	TEST PROCEDURE	REQUIRED PERFORMANCE
A. STORAGE WATER HEATERS				
Gas	50 max.		10 CFR 430	EF = 0.67
Gas	20 min.	75,000 Btu/h max.	10 CFR 430	EF = 0.67-0.0019V min.
Gas	1,000 (Btu/h)/gal max.	75,000 Btu/h	ANSI Z21.10.3	ET = 80 percent min. SL = 1.3+38/V max.

TERMS:

EF = Energy factor, minimum overall efficiency.
ET = Minimum thermal efficiency with 70 degrees F delta T.
SL = Standby loss is maximum Btu/h based on a 70 degree F temperature difference between stored water and ambient requirements.
V = Rated storage volume in gallons
Q = Nameplate input rate in Btu/h

-- End of Section --

SECTION 23 03 00.00 20

BASIC MECHANICAL MATERIALS AND METHODS
08/10

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B117	(2016) Standard Practice for Operating Salt Spray (Fog) Apparatus

1.2 RELATED REQUIREMENTS

This section applies to all sections of Divisions: 21, FIRE SUPPRESSION; 22, PLUMBING; and 23, HEATING, VENTILATING, AND AIR CONDITIONING of this project specification, unless specified otherwise in the individual section.

1.3 QUALITY ASSURANCE

1.3.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.3.2 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.3.3 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.3.4 Manufacturer's Nameplate

For each item of equipment, provide a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be

acceptable.

1.3.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.3.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.3.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.4 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.5 ELECTRICAL REQUIREMENTS

Furnish motors, controllers, disconnects and contactors with their respective pieces of equipment. Motors, controllers, disconnects and contactors must conform to and have electrical connections provided under Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Furnish internal wiring for components of packaged equipment as an integral part of the equipment. Extended voltage range motors will not be permitted. Controllers and contactors shall have a maximum of 120 volt control circuits, and must have auxiliary contacts for use with the controls furnished. When motors and equipment furnished are larger than sizes indicated, the cost of additional electrical service and related work must be included under the section that specified that motor or equipment. Power wiring and conduit for field installed equipment must be provided under and conform to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

1.6 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel

in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.7 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PAINTING OF NEW EQUIPMENT

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

3.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

3.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces

subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat must be aluminum or light gray.

a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of 1 mil; and two coats of enamel applied to a minimum dry film thickness of 1 mil per coat.

b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F must receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.

c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F must receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

-- End of Section --

SECTION 23 05 48.19

SEISMIC BRACING FOR HVAC
05/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE INTERNATIONAL (ACI)

ACI 355.2	(2007) Qualification of Post-Installed Mechanical Anchors in Concrete and Commentary
ACI 355.4	(2011) Qualification of Post-Installed Adhesive Anchors in Concrete (ACI 355.4) and Commentary

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325	(2017) Steel Construction Manual

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7	(2017) Minimum Design Loads for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM A153/A153M	(2016) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A307	(2014; E 2017) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A36/A36M	(2014) Standard Specification for Carbon Structural Steel
ASTM A500/A500M	(2018) Standard Specification for Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A53/A53M	(2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A563	(2015) Standard Specification for Carbon and Alloy Steel Nuts

ASTM A572/A572M	(2018) Standard Specification for High-Strength Low-Alloy Columbium-Vanadium Structural Steel
ASTM A603	(1998; R 2014) Standard Specification for Zinc-Coated Steel Structural Wire Rope
ASTM A653/A653M	(2017) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM E488/E488M	(2015) Standard Test Methods for Strength of Anchors in Concrete and Masonry Elements
ASTM F1554	(2017; E 2018) Standard Specification for Anchor Bolts, Steel, 36, 55, and 105-ksi Yield Strength

ICC EVALUATION SERVICE, INC. (ICC-ES)

ICC ES AC193	(2012) Acceptance Criteria for Mechanical Anchors in Concrete Elements

METAL FRAMING MANUFACTURERS ASSOCIATION (MFMA)

MFMA-4	(2004) Metal Framing Standards Publication

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-310-04	(2013; with Change 1) Seismic Design of Buildings
UFC 4-010-01	(2012; with Change 1) DoD Minimum Antiterrorism Standards for Buildings

VIBRATION ISOLATION AND SEISMIC CONTROL MANUFACTURERS ASSOCIATION (VISCMA)

VISCMA 412	(2014) Installing Seismic Restraints for Mechanical Equipment

1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

Apply the requirements for seismic protection measures described in this section and on the drawings to the mechanical equipment and mechanical systems both inside and outside of the building along with exterior utilities and systems listed below. Where there is a conflict between the specifications and the drawings, the specifications will take precedence. Accomplish resistance to lateral forces induced by earthquakes without consideration of friction resulting from gravity loads.

1.2.2 Mechanical Equipment

Mechanical equipment to be seismically protected must include the following items to the extent required on the drawings or in other sections of these specifications:

Equipment/Components with Ip = 1.0

Boilers and furnaces	
Water Heaters	
Expansion Air Separator Tanks	Valves and Fittings for Piping
Pumps with Motors	
	Pumps

1.2.3 Mechanical Systems

Mechanical systems to be seismically protected must include the following items to the extent required on the drawings or in this or other sections of these specifications:

Mechanical systems with Ip = 1.0

a. All Piping Inside the Building Except as Specifically Stated Below Under "Items Not Covered By This Section".
b. Gas Piping Outside of Buildings.

1.2.4 Contractor Designed Bracing

Submit copies of the design calculations with the drawings. Calculations must be approved, certified, stamped and signed by a registered Professional Structural Engineer. Calculations must verify the capability of structural members to which bracing is attached for carrying the load from the brace. Design the bracing in accordance with UFC 3-310-04, UFC 4-010-01 and additional data furnished by the Contracting Officer. Resistance to lateral forces induced by earthquakes must be accomplished without consideration of friction resulting from gravity loads. UFC 3-310-04 uses parameters for the building, not for the equipment in the building; therefore, corresponding adjustments to the formulas must be required. Loadings determined using UFC 3-310-04 are based on strength design; therefore, AISC 325 Specifications must be used for the design. The bracing for the mechanical equipment designated in paragraph 1.2.2 and systems designated in paragraph 1.2.3 must be developed by the Contractor.

The design shall be based on the following parameters per Standard ASCE/SEI 7-16 which is referenced by the UFC Guides.

Mapped Acceleration Parameters
Ss=0.20
S1=0.06

Site Class: "D"

Risk Category: III

1.2.5 Items Not Covered By This Section

1.2.5.1 Items Requiring No Seismic Restraints

Seismic restraints are not required for the following items:

a. Gas piping less than 1 inch nominal pipe size.

b. Piping in boiler and mechanical equipment rooms less than 1-1/4 inches nominal pipe size.

c. All other piping equal to or less than 3inches nominal pipe size.

f. Piping suspended by individual hangers 12 inches or less in length from the top of pipe to the bottom of the supporting structural member where the hanger is attached, except as noted below.

In exemptions f. and g. all hangers must meet the length requirements. If the length requirement is exceeded by one hanger in the run, brace the entire run. Seismically protect interior piping and ducts not listed above in accordance with the provisions of this specification.

Non-critical items may require seismic restraints if adjacent to critical equipment or systems that must remain operational after an earthquake and could be compromised by impact with non-critical adjacent components.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. When shown in this specification, detailed shop drawings for all required equipment, piping and ductwork with calculations certified by a registered structural engineer will be provided. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Coupling and Bracing

Equipment Restraint
Contractor Designed Bracing; G

SD-03 Product Data

Coupling and Bracing; G

Equipment Restraint; G
Contractor Designed Bracing; G
Snubbers
Anchor Bolts
Vibration Isolators

SD-05 Design Data

Design Calculations

SD-06 Test Reports

Anchor Bolts; G

PART 2 PRODUCTS

2.1 GENERAL DESIGN REQUIREMENTS

Submit detailed seismic restraint drawings for mechanical equipment, duct systems, piping systems and any other mechanical systems along with calculations, catalog cuts, templates, and erection and installation details, as appropriate, for the items listed below. Indicate thickness, type, grade, class of metal, and dimensions; and show construction details, reinforcement, anchorage, and installation with relation to the building construction. Calculations must be stamped, by a registered structural engineer, and verify the capability of structural members to which bracing is attached for carrying the load from the brace. Include drawing for Mission Critical Equipment indicating the equipment location in the facility sufficient to be used for the installation. Design must be based on actual equipment and system layout. Design must include calculated dead loads, static seismic loads and capacity of materials utilized for the connection of the equipment or system to the structure. Analysis must detail anchoring methods.

2.2 EQUIPMENT RESTRAINT

Equipment must be rigidly or flexibly mounted as indicated in the specifications and/or drawings depending on vibration isolation requirements as follows below.

Roof mounted equipment such as cooling towers and condensers, both vibration isolated and nonisolated, must have support members designed and anchored to building structural steel or concrete as required for seismic restraint and wind loads.

2.2.1 Rigidly (Base and Suspended) Mounted Equipment

HVAC equipment furnished under this contract must be rigidly mounted using cast-in-place anchor bolts or post-installed anchors that are qualified for earthquake loading in accordance with ACI 355.2 and ACI 355.4. Anchor bolts must conform to ASTM F1554. For any rigid equipment which is rigidly anchored, provide flexible joints for piping, ductwork, electrical conduit, etc., that are capable of accommodating displacements equal to the full width of the joint in both orthogonal directions. Suspended equipment bracing attachments should be located just above the center of gravity to minimize swinging. Use the ratio of the overturning moment from seismic forces to the resisting moment due to gravity loads to determine if overturning forces need to be considered in the sizing of anchor bolts. Provide calculations to verify the adequacy of the anchor bolts for combined shear and overturning.

2.2.2 Nonrigid or Flexibly-Mounted Equipment

Select vibration isolation devices so that the maximum movement of equipment from the static deflection point is 1/4 inch. Equipment flexibly mounted on vibration isolators must have a bumper restraint or snubber in each horizontal direction and vertical restraints must be provided where required to resist overturning. Isolator housing and restraints must be constructed of ductile materials. A viscoelastic pad or similar material of appropriate thickness must be used between the bumper and components to limit the impact load. Restraints must be designed to resist the calculated horizontal lateral and vertical forces.

Spring vibration isolators must be seismically rated, restrained isolators for equipment subject to load variations and large external forces. The seismically rated housing must be sized to meet or exceed the force requirements applicable to the project and meet the required isolation criteria. Spring vibration isolator manufacturer's will be a member of VISCMA. Design force, Fp, must be doubled for vibration isolators with an air gap greater than 0.25 inches as specified in ASCE 7, Chapter 13. Housed springs must not be used for seismic restraint applications because they cannot resist uplift.

2.3 BOLTS AND NUTS

Hex head bolts, and heavy hexagon nuts must be ASTM A307 Grade A bolts and ASTM A563 nuts. Provide bolts and nuts galvanized in accordance with ASTM A153/A153M when used underground and/or exposed to weather.

2.4 SWAY BRACING MATERIALS

Material used for members listed in this section , must be structural steel conforming with the following:

a. Plates, rods, and rolled shapes, ASTM A36/A36M . If the Contractor does the design, both ASTM A36/A36M and ASTM A572/A572M, grade 503 will be allowed.

b. Wire rope, ASTM A603 pre-stretched. Class B galv coating Ferrule clamps must be qualified by testing for use in seismic applications per VISCMA 412. A minimum of two clamps are required on each end of wire rope.

c. Tubes, ASTM A500/A500M, Grade B.

d. Pipes, ASTM A53/A53M, Type E, Grade B.

e. Light gauge andles, less than 1/4 inch thickness, ASTM A653/A653M.

f. Channels (Strut) with in-turned lips and associated hardware for fastening to channels at random points conforming to MFMA-4

2.5 MULTIDIRECTIONAL SEISMIC SNUBBERS

Install multidirectional seismic snubbers employing elastomeric pads on floor- or slab-mounted equipment as detailed on drawings. These snubbers must provide 1/4 inch free vertical and horizontal movement from the static deflection point. Snubber medium must consist of multiple pads of cotton duct and neoprene or other suitable materials arranged around a flanged steel trunnion so both horizontal and vertical forces are resisted by the snubber medium.

PART 3 EXECUTION

3.1 COUPLING AND BRACING

a. Submit detail drawings, as specified here and throughout this specification, along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals must be complete in detail; must indicate thickness, type, grade, class of metal, and dimensions; and must show construction details, reinforcement, anchorage, and installation with relation to the

building construction.

b. Provide coupling installation conforming to the details shown on the drawings. Provisions of this paragraph apply to all piping within a 5 foot line around outside of building unless buried in the ground. Piping grouped for support on trapeze-type hangers must be braced at the most frequent interval as determined by applying the requirements of this specification to each piping run on the common support.

c. Size bracing components as required for the total load carried by the common supports. Bracing rigidly attached to pipe flanges, or similar, must not be used where it would interfere with thermal expansion of piping.

d. Adjust isolators and restraints after piping systems has been filled and equipment is at its operating weight, following the manufacturer's written instructions.

e. Install cables at a 45-degree slope. Where interference is present, the slope may be minimum of 30 degrees or a maximum of 60 degrees per VISCMA 412.

3.2 SWAY BRACES FOR PIPING

Provide sway braces to prevent movement of the pipes under seismic loading. Provide braces in both the longitudinal and transverse directions, relative to the axis of the pipe. Provide sufficient braces for equipment to resist a horizontal force as specified in UFC 3-310-04 without exceeding safe working stress of bracing components. Provide bracing that does not interfere with thermal expansion requirements for the pipes as described in other sections of these specifications. For seismic analysis of horizontal pipes, the equivalent static force should be considered to act concurrently with the full dead load of the pipe, including contents.

3.2.1 Transverse Sway Bracing

Provide transverse sway bracing for steel and copper pipe at intervals not to exceed those shown on the drawings. All runs (length of pipe between end joints) must have a minimum of transverse bracing at each end. Provide transverse sway bracing for pipes of materials other than steel and copper at intervals not to exceed the hanger spacing as specified in Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.2.2 Longitudinal Sway Bracing

Provide longitudinal sway bracing at 40 foot intervals unless otherwise indicated. All runs (length of pipe between end joints) must have one longitudinal brace minimum. Construct sway braces in accordance with the drawings. Do not use branch lines, walls, or floors as sway braces.

3.2.3 Clamps and Hangers

Apply clamps or hangers on uninsulated pipes directly to pipe. Insulated piping must have clamps or hangers applied over insulation in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

Hanger rod stiffener angle or strut bracing must be securely attached by a series of attachment clamps manufactured from a one piece metal stamping

and must include all require attachment hardware and locking nuts. Attachment clamps made from aluminum or cast iron must not be used in seismic applications. Do not weld vertical braces to hanger rods.

3.3 EQUIPMENT

3.3.1 General

Ensure housekeeping pads have adequate space to mount equipment and seismic restraint devices allowing adequate edge distance and embedment depth for restraint anchor bolts. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Install neoprene grommet washers or till the gap with epoxy on equipment anchor bolts where clearance between anchor and equipment support hole exceeds 0.125 inches.

3.3.2 Controls

Ensure that controls for critical equipment that must remain operational after an earthquake are certified per paragraph 3.11 SPECIAL TESTING FOR SEISMIC-RESISTING EQUIPMENT and are served by emergency power as required.

3.4 ANCHOR BOLTS

3.4.1 Cast-in-Place Anchor Bolts

Use templates to locate cast-in-place bolts accurately and securely in formwork. Anchor bolts must have an embedded straight length equal to at least 12 times nominal diameter of the bolt. Anchor bolts that exceed the normal depth of equipment foundation piers or pads must either extend into concrete floor or the foundation or be increased in depth to accommodate bolt lengths.

3.4.2 Drilled-In Anchor Bolts

Drill holes with rotary impact hammer drills Drill bits must be of diameters as specified by the anchor manufacturer. Unless otherwise shown on the Drawings, all holes must be drilled perpendicular to the concrete surface. Where anchors are permitted to be installed in cored holes, use core bits with matched tolerances as specified by the manufacturer. Properly clean cored hole per manufacturer's instructions. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Exercise care in coring or drilling to avoid damaging existing reinforcing or embedded items. Notify the COR if reinforcing steel or other embedded items are encountered during drilling. Take precautions as necessary to avoid damaging prestressing tendons, electrical and telecommunications conduit, and gas lines. Unless otherwise specified, do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength. Perform anchor installation in accordance with manufacturer instructions.

3.4.2.1 Wedge Anchors, Heavy-Duty Sleeve Anchors, and Undercut Anchors

Protect threads from damage during anchor installation. Heavy-duty sleeve anchors must be installed with sleeve fully engaged in part to be fastened. Set anchors to manufacturer's recommended torque, using a torque wrench. Following attainment of 10% of the specified torque, 100% of the specified torque must be reached within 7 or fewer complete turns of the

nut. If the specified torque is not achieved within the required number of turns, the anchor must be removed and replaced unless otherwise directed by the Engineer.

3.4.2.2 Cartridge Injection Adhesive Anchors

Where approved for seismic application, clean all holes per manufacturer instructions to remove loose material and drilling dust prior to installation of adhesive. Inject adhesive into holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive. Follow manufacturer recommendations to ensure proper mixing of adhesive components. Sufficient adhesive must be injected in the hole to ensure that the annular gap is filled to the surface. Remove excess adhesive from the surface. Shim anchors with suitable device to center the anchor in the hole. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

3.4.2.3 Capsule Anchors

Where approved for seismic application, perform drilling and setting operations in accordance with manufacturer instructions. Clean all holes to remove loose material and drilling dust prior to installation of adhesive. Remove water from drilled holes in such a manner as to achieve a surface dry condition. Capsule anchors must be installed with equipment conforming to manufacturer recommendations. Do not disturb or load anchors before manufacturer specified cure time has elapsed.

Observe manufacturer recommendations with respect to installation temperatures for cartridge injection adhesive anchors and capsule anchors.

3.5 ANCHOR BOLT TESTING

Test in place expansion and chemically bonded anchors not more than 24 hours after installation of the anchor, conducted by an independent testing agency; testing must be performed on random anchor bolts as described below.

3.5.1 Torque Wrench Testing

Perform torque wrench testing on not less than 50 percent of the total installed applied torque expansion anchors and at least one anchor for every piece of equipment containing more than two anchors. The test torque must equal the minimum required installation torque as required by the bolt manufacturer. Calibrate torque wrenches at the beginning of each day the torque tests are performed. Recalibrate torque wrenches for each bolt diameter whenever tests are run on bolts of various diameters. Apply torque between 20 and 100 percent of wrench capacity. Reach the test torque within one half turn of the nut, except for 3/8 inch sleeve anchors which must reach their torque by one quarter turn of the nut. If any anchor fails the test, test similar anchors not previously tested until 20 consecutive anchors pass. Failed anchors must be retightened and retested to the specified torque; if the anchor still fails the test it must be replaced.

3.5.2 Pullout Testing

Test expansion and chemically bonded anchors by applying a pullout load using a hydraulic ram attached to the anchor bolt. Testing must be in accordance with ASTM E488/E488M or ICC ES AC193. At least 10 percent of each type and size of anchors, but not less than 3 per day must be tested.

Apply the load to the anchor without removing the nut; when that is not possible, the nut must be removed and a threaded coupler must be installed of the same tightness as the original nut. Check the test setup to verify that the anchor is not restrained from withdrawing by the baseplate, the test fixture, or any other fixtures. The support for the testing apparatus must be at least 1.5 times the embedment length away from the bolt being tested. Load each tested anchor to 1 times the design tension value for the anchor. The anchor must have no observable movement at the test load. If any anchor fails the test, similar type and size anchors not previously tested must be tested until 10 percent of those type consecutive anchors pass. Remove and replace failed anchors. Fill empty anchor holes and patch failed anchor locations with high-strength non-shrink, nonmetallic grout.

-- End of Section --

SECTION 23 05 93

TESTING, ADJUSTING, AND BALANCING FOR HVAC
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 62.1	(2010) Ventilation for Acceptable Indoor Air Quality

ASSOCIATED AIR BALANCE COUNCIL (AABC)

AABC MN-1	(2002; 6th ed) National Standards for Total System Balance

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB MASV	(2006) Procedural Standards for Measurements and Assessment of Sound and Vibration
NEBB PROCEDURAL STANDARDS	(2015) Procedural Standards for TAB (Testing, Adjusting and Balancing) Environmental Systems

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1780	(2002) HVAC Systems - Testing, Adjusting and Balancing, 3rd Edition
SMACNA 1858	(2004) HVAC Sound And Vibration Manual - First Edition

1.2 DEFINITIONS

a. AABC: Associated Air Balance Council

b. COTR: Contracting Officer's Technical Representative

c. DALT: Duct air leakage test

d. DALT'd: Duct air leakage tested

e. HVAC: Heating, ventilating, and air conditioning; or heating, ventilating, and cooling

f. NEBB: National Environmental Balancing Bureau

g. Out-of-tolerance data: Pertains only to field acceptance testing of Final DALT or TAB report. When applied to DALT work, this phase means "a leakage rate measured during DALT field acceptance testing which exceeds the leakage rate allowed by Appendix D REQUIREMENTS FOR DUCT AIR LEAK TESTING." When applied to TAB work this phase means "a measurement taken during TAB field acceptance testing which does not fall within the range of plus 5 to minus 5 percent of the original measurement reported on the TAB Report for a specific parameter."

h. Season of maximum heating load: The time of year when the outdoor temperature at the project site remains within plus or minus 30 degrees Fahrenheit of the project site's winter outdoor design temperature, throughout the period of TAB data recording.

i. Season of maximum cooling load: The time of year when the outdoor temperature at the project site remains within plus or minus 20 degrees Fahrenheit of the project site's summer outdoor design temperature, throughout the period of TAB data recording.

j. Season 1, Season 2: Depending upon when the project HVAC is completed and ready for TAB, Season 1 is defined, thereby defining Season 2. Season 1 could be the season of maximum heating load, or the season of maximum cooling load.

k. Sound measurements terminology: Defined in AABC MN-1, NEBB MASV, or SMACNA 1858 (TABB).

l. TAB: Testing, adjusting, and balancing (of HVAC systems)

m. TAB'd: HVAC Testing/Adjusting/Balancing procedures performed

n. TAB Agency: TAB Firm

o. TAB team field leader: TAB team field leader

p. TAB team supervisor: TAB team engineer

q. TAB team technicians: TAB team assistants

r. TABB: Testing Adjusting and Balancing Bureau

1.2.1 Similar Terms

In some instances, terminology differs between the Contract and the TAB Standard primarily because the intent of this Section is to use the industry standards specified, along with additional requirements listed herein to produce optimal results.

The following table of similar terms is provided for clarification only. Contract requirements take precedent over the corresponding AABC, NEBB, or TABB requirements where differences exist.

SIMILAR TERMS			
Contract Term	AABC Term	NEBB Term	TABB Term
TAB Standard	National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems	Procedural Standards for Testing, Adjusting and Balancing of Environmental Systems	International Standards for Environmental Systems Balance
TAB Specialist	TAB Engineer	TAB Supervisor	TAB Supervisor
Systems Readiness Check	Construction Phase Inspection	Field Readiness Check & Preliminary Field Procedures	Field Readiness Check & Prelim. Field Procedures

1.3 WORK DESCRIPTION

The work includes pre and post testing, adjusting, and balancing (TAB) of boilers and pumps installed in existing heating systems. The contractor shall temporarly take control of the existing control system to open control vales and other devices to properly balance the equipment being installed.

The contractor shall.

Perform TAB in accordance with the requirements of the TAB procedural standard recommended by the TAB trade association that approved the TAB Firm's qualifications. Comply with requirements of AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 (TABB) as supplemented and modified by this specification section. All recommendations and suggested practices contained in the TAB procedural standards are considered mandatory.

Conduct TAB of the indicated existing systems and equipment and submit the specified TAB reports for approval. Conduct TAB work in accordance with the requirements of this section.

1.3.1 Water Distribution Systems

TAB systems in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to water distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. At Contractor's option and with Contracting Officer's written approval, the piping systems may be insulated before systems are TAB'd.

Terminate piping insulation immediately adjacent to each flow control valve, automatic control valve, or device. Seal the ends of pipe insulation and the space between ends of pipe insulation and piping, with waterproof vapor barrier coating.

After completion of work under this section, insulate the flow control valves and devices as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

1.3.2 TAB SCHEMATIC DRAWINGS

Show the following information on TAB Schematic Drawings:

1. A unique number or mark for each piece of equipment or terminal.

4. Water quantities and temperatures in thermal energy transfer equipment schedules.

5. Water quantities and heads in pump schedules.

6. Water flow measurement fittings and balancing fittings.

The Testing, Adjusting, and Balancing (TAB) Specialist must review the Contract Plans and Specifications and advise the Contracting Officer of any deficiencies that would prevent the effective and accurate TAB of the system, including records of existing conditions, and systems readiness check. The TAB Specialist must provide a Design Review Report individually listing each deficiency and the corresponding proposed corrective action necessary for proper system operation.

Submit three copies of the TAB Schematic Drawings and Report Forms to the Contracting Officer, no later than 21 days prior to the start of TAB field measurements.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval.Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Records of Existing Conditions; G

Independent TAB Agency and Personnel Qualifications; G

TAB Design Review Report; G

Tab Procedures Summary

SD-02 Shop Drawings

TAB Schematic Drawings and Report Forms; G

SD-03 Product Data

TAB Related HVAC Submittals; G

A list of the TAB Related HVAC Submittals, no later than 7 days after the approval of the TAB team engineer .

SD-06 Test Reports

Prerequisite HVAC Work Checkout List For Proportional Balancing; G

Certified Final TAB Report for Proportional Balancing; G

Prerequisite HVAC Work Checkout List; G

Certified Final TAB Report; G

SD-07 Certificates

Independent TAB Agency and Personnel Qualifications; G

TAB Submittal and Work Schedule; G

TAB Pre-Field Engineering Report; G

Instrument Calibration Certificates; G

Advance Notice of TAB Field Work for Proportional Balancing; G

Advance Notice of TAB Field Work; G

1.5 QUALITY ASSURANCE

1.5.1 Independent TAB Agency and Personnel Qualifications

To secure approval for the proposed agency, submit information certifying that the TAB agency is a first tier subcontractor who is not affiliated with any other company participating in work on this contract, including design, furnishing equipment, or construction. Further, submit the following, for the agency, to Contracting Officer for approval:

a. Independent AABC or NEBB or TABB TAB agency:

TAB agency: AABC registration number and expiration date of current certification; or NEBB certification number and expiration date of current certification; or TABB certification number and expiration date of current certification.

TAB team supervisor: Name and copy of AABC or NEBB or TABB TAB supervisor certificate and expiration date of current certification.

TAB team field leader: Name and documented evidence that the team field leader has satisfactorily performed full-time supervision of TAB work in the field for not less than 3 years immediately preceding this contract's bid opening date.

TAB team field technicians: Names and documented evidence that each field technician has satisfactorily assisted a TAB team field leader in performance of TAB work in the field for not less than one year immediately preceding this contract's bid opening date.

Current certificates: Registrations and certifications are current, and valid for the duration of this contract. Renew Certifications which expire prior to completion of the TAB work,

in a timely manner so that there is no lapse in registration or certification. TAB agency or TAB team personnel without a current registration or current certification are not to perform TAB work on this contract.

b. TAB Team Members: TAB team approved to accomplish work on this contract are full-time employees of the TAB agency. No other personnel is allowed to do TAB work on this contract.

c. Replacement of TAB team members: Replacement of members may occur if each new member complies with the applicable personnel qualifications and each is approved by the Contracting Officer.

1.5.1.1 TAB Standard

Perform TAB in accordance with the requirements of the standard under which the TAB Firm's qualifications are approved, i.e., AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 unless otherwise specified herein. All recommendations and suggested practices contained in the TAB Standard are considered mandatory. Use the provisions of the TAB Standard, including checklists, report forms, etc., as nearly as practical, to satisfy the Contract requirements. Use the TAB Standard for all aspects of TAB, including qualifications for the TAB Firm and Specialist and calibration of TAB instruments. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the TAB Standard, adhere to the manufacturer's recommendations.

All quality assurance provisions of the TAB Standard such as performance guarantees are part of this contract. For systems or system components not covered in the TAB Standard, TAB procedures must be developed by the TAB Specialist. Where new procedures, requirements, etc., applicable to the Contract requirements have been published or adopted by the body responsible for the TAB Standard used (AABC, NEBB, or TABB), the requirements and recommendations contained in these procedures and requirements are considered mandatory, including the latest requirements of ASHRAE 62.1.

1.5.1.2 Qualifications

a. TAB Firm

The TAB Firm must be either a member of AABC or certified by the NEBB or the TABB and certified in all categories and functions where measurements or performance are specified on the plans and specifications, including building systems commissioning .

Certification must be maintained for the entire duration of duties specified herein. If, for any reason, the firm loses subject certification during this period, the Contractor must immediately notify the Contracting Officer and submit another TAB Firm for approval. Any firm that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not be eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections to be performed by the TAB Firm will be considered invalid if the TAB Firm loses its certification prior to Contract completion and must be performed by an approved successor.

These TAB services are to assist the prime Contractor in performing the

quality oversight for which it is responsible. The TAB Firm must be a prime subcontractor of the Contractor and be financially and corporately independent of the mechanical subcontractor, reporting directly to and paid by the Contractor.

b. TAB Specialist

The TAB Specialist must be either a member of AABC,an experienced technician of the Firm certified by the NEBB, or a Supervisor certified by the TABB. The certification must be maintained for the entire duration of duties specified herein. If, for any reason, the Specialist loses subject certification during this period, immediately notify the Contracting Officer and submit another TAB Specialist for approval. Any individual that has been the subject of disciplinary action by either the AABC, the NEBB, or the TABB within the five years preceding Contract Award is not eligible to perform any duties related to the HVAC systems, including TAB. All work specified in this Section and in other related Sections performed by the TAB Specialist will be considered invalid if the TAB Specialist loses its certification prior to Contract completion and must be performed by the approved successor.

c. TAB Specialist Responsiblities

TAB Specialist responsibilities include all TAB work specified herein and in related sections under his direct guidance. The TAB specialist is required to be onsite on a daily basis to direct TAB efforts. The TAB Specialist must participate in the commissioning process.

1.5.1.3 TAB Related HVAC Submittals

The TAB Specialist must prepare a list of the submittals from the Contract Submittal Register that relate to the successful accomplishment of all HVAC TAB. Accompany the submittals identified on this list with a letter of approval signed and dated by the TAB Specialist when submitted to the Government. Ensure that the location and details of ports, terminals, connections, etc., necessary to perform TAB are identified on the submittals.

1.5.2 Responsibilities

The Contractor is responsible for ensuring compliance with the requirements of this section. The following delineation of specific work responsibilities is specified to facilitate TAB execution of the various work efforts by personnel from separate organizations. This breakdown of specific duties is specified to facilitate adherence to the schedule listed in the paragraph TAB SUBMITTAL AND WORK SCHEDULE.

1.5.2.1 Contractor

a. TAB personnel: Ensure that the the TAB work is accomplished by a group meeting the requirements specified in the paragraph TAB PERSONNEL QUALIFICATION REQUIREMENTS.

b. TAB meeting: Attend the meeting with the TAB Supervisor, and ensure that a representative is present for the sheetmetal contractor, mechanical contractor, electrical contractor, and automatic temperature controls contractor.

c. HVAC documentation: Furnish one complete set of the following

HVAC-related documentation to the TAB agency:

(1) Contract drawings and specifications

(2) Approved submittal data for equipment

(3) Construction work schedule

(4) Up-to-date revisions and change orders for the previously listed items

d. Submittal and work schedules: Ensure that the schedule for submittals and work required by this section and specified in the paragraph TAB SUBMITTAL AND WORK SCHEDULE is met.

e. Coordination of supporting personnel:

Provide the technical personnel, such as factory representatives or HVAC controls installer required by the TAB field team to support the TAB field measurement work.

Provide equipment mechanics to operate HVAC equipment to provide the field designated test ports to enable TAB field team to accomplish the TAB field measurement work. Ensure these support personnel are present at the times required by the TAB team, and cause no delay in the TAB field work.

Conversely, ensure that the HVAC controls installer has required support from the TAB team field leader to complete the controls check out.

f. Deficiencies: Ensure that the TAB Agency supervisor submits all Design/Construction deficiency notifications directly to the Contracting officer within 3 days after the deficiency is encountered. Further, ensure that all such notification submittals are complete with explanation, including documentation, detailing deficiencies.

g. Prerequisite HVAC work: Complete check out and debugging of HVAC equipment, ducts, and controls prior to the TAB engineer arriving at the project site to begin the TAB work. Debugging includes searching for and eliminating malfunctioning elements in the HVAC system installations, and verifying all adjustable devices are functioning as designed. Include as prerequisite work items, the deficiencies pointed out by the TAB team supervisor in the design review report.

h. Prior to the TAB field team's arrival, ensure completion of the applicable inspections and work items listed in the TAB team supervisor's pre-field engineering report. Do not allow the TAB team to commence TAB field work until all of the following are completed.

(1) HVAC system installations are fully complete.

(2) HVAC prerequisite checkout work lists specified in the paragraph PRE-FIELD TAB ENGINEERING REPORT are completed, submitted, and approved. Ensure that the TAB Agency gets a copy of the approved prerequisite HVAC work checklist.

(3) DALT field checks for all systems are completed.

(4) HVAC system filters are clean for both Season 1 and Season 2 TAB field work.

i. Advance notice: Furnish to the Contracting Officer with advance written notice for the commencement of the DALT field work and for the commencement of the TAB field work.

j. Insulation work: For required DALT work , ensure that insulation is not installed on ducts to be DALT'd until DALT work on the subject ducts is complete. Later, ensure that openings in duct and machinery insulation coverings for TAB test ports are marked, closed and sealed.

1.5.2.2 TAB Agency

Provide the services of a TAB team which complies with the requirements of the paragraph INDEPENDENT TAB AGENCY PERSONNEL QUALIFICATIONS. The work to be performed by the TAB agency is limited to testing, adjusting, and balancing of HVAC air and water systems to satisfy the requirements of this specification section.

1.5.2.3 TAB Team Supervisor

a. Overall management: Supervise and manage the overall TAB team work effort, including preliminary and technical TAB procedures and TAB team field work.

b. Pre-TAB meeting: Attend meeting with Contractor.

c. Design review report: Review project specifications and accompanying drawings to verify that the air systems and water systems are designed in such a way that the TAB engineer can accomplish the work in compliance with the requirements of this section. Verify the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, circuit setters, balancing valves, and manual volume dampers.

d. Support required: Specify the technical support personnel required from the Contractor other than the TAB agency; such as factory representatives for temperature controls or for complex equipment. Inform the Contractor in writing of the support personnel needed and when they are needed. Furnish the notice as soon as the need is anticipated, either with the design review report, or the pre-field engineering report, the during the DALT or TAB field work.

f. Pre-field engineering report: Utilizing the following HVAC-related documentation; contract drawings and specifications, approved submittal data for equipment, up-to-date revisions and change orders; prepare this report.

g. Prerequisite HVAC work checklist: Ensure the Contractor gets a copy of this checklist at the same time as the pre-field engineering report is submitted.

j. Technical Assistance for TAB Work: Provide immediate technical assistance to the TAB field team for the TAB work.

(1) TAB field visit: Near the end of the TAB field work effort, visit the contract site to inspect the HVAC installation and the

progress of the TAB field work. Conduct site visit full-time for a minimum of one 8 hour workdays duration. Review the TAB final report data and certify the TAB final report.

k. Certified TAB report: Certify the TAB report. This certification includes the following work:

(1) Review: Review the TAB field data report. From this field report, prepare the certified TAB report.

(2) Verification: Verify adherence, by the TAB field team, to the TAB plan prescribed by the pre-field engineering report and verify adherence to the procedures specified in this section.

l. Design/Construction deficiencies: Within 3 working days after the TAB Agency has encountered any design or construction deficiencies, the TAB Supervisor must submit written notification directly to the Contracting Officer, with a separate copy to the Contractor, of all such deficiencies. Provide in this submittal a complete explanation, including supporting documentation, detailing deficiencies. Where deficiencies are encountered that are believed to adversely impact successful completion of TAB, the TAB Agency must issue notice and request direction in the notification submittal.

m. TAB Field Check: The TAB team supervisor must attend and supervise Season 1 and Season 2 TAB field check.

1.5.2.4 TAB Team Field Leader

a. Field manager: Manage, in the field, the accomplishment of the work specified in Part 3, EXECUTION.

b. Full time: Be present at the contract site when DALT field work or TAB field work is being performed by the TAB team; ensure day-to-day TAB team work accomplishments are in compliance with this section.

c. Prerequisite HVAC work: Do not bring the TAB team to the contract site until a copy of the prerequisite HVAC Checklist, with all work items certified by the Contractor to be working as designed, reaches the office of the TAB Agency.

1.5.3 Project/Site Conditions

1.5.3.1 TAB Services to Obtain Existing Conditions

Conduct TAB of the indicated existing systems and equipment and submit the specified TAB reports for approval. Conduct this TAB work in accordance with the requirements of this section.

1.5.4 Sequencing and Scheduling

1.5.4.1 TAB Submittal and Work Schedule

Comply with additional requirements specified in Appendix C: TAB SUBMITTAL AND WORK SCHEDULE included at the end of this section.

Submit this schedule,and TAB Schematic Drawings, adapted for this particular contract, to the Contracting Officer (CO) for review and approval. Include with the submittal the planned calendar dates for each

submittal or work item.

Qualify TAB Personnel: Within 30 calendar days after date of contract award, submit TAB agency and personnel qualifications.

Pre-TAB Meeting: Within 30 calendar days after the date of approval of the TAB agency and personnel, meet with the COTR.

Design Review Report: Within 45 calendar days after the date of the TAB agency personnel qualifications approval, submit design review report.

Pre-Field TAB Engineering Report: Within 14 calendar days after approval of the TAB agency Personnel Qualifications, submit the Pre-Field TAB Engineering Report.

Prerequisite HVAC Work Check Out List and Advanced Notice For TAB Field Work: At a minimum of 30 calendar days prior to CCD, submit prerequisite HVAC work check out list certified as complete, and submit advance notice of commencement of TAB field work.

a. TAB Design Review Report

Submit typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the duct leakage testing work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. State that no deficiencies are evident if that is the case.

1.5.4.2 TAB Pre-Field Engineering Report

Submit report containing the following information:

a. Step-by-step TAB procedure:

(1) Strategy: Describe the method of approach to the TAB field work from start to finish. Include in this description a complete methodology for accomplishing each seasonal TAB field work session.

(3) Procedural steps: Delineate fully the intended procedural steps to be taken by the TAB field team to accomplish the required TAB work of each air distribution system and each water distribution system. Include intended procedural steps for TAB work for subsystems and system components.

b. Pre-field data: Submit AABC or NEBB or SMACNA 1780 data report forms with the following pre-field information filled in:

(1) Design data obtained from system drawings, specifications, and approved submittals.

(2) Notations detailing additional data to be obtained from the contract site by the TAB field team.

(3) Designate the actual data to be measured in the TAB field work.

(4) Provide a list of the types of instruments, and the measuring range of each, which are anticipated to be used for measuring in

the TAB field work. By means of a keying scheme, specify on each TAB data report form submitted, which instruments will be used for measuring each item of TAB data. If the selection of which instrument to use, is to be made in the field, specify from which instruments the choice will be made. Place the instrument key number in the blank space where the measured data would be entered.

c. Prerequisite HVAC work checkout list: Provide a list of inspections and work items which are to be completed by the Contractor. This list must be acted upon and completed by the Contractor and then submitted and approved by the Contracting Officer prior to the TAB team coming to the contract site.

At a minimum, a list of the applicable inspections and work items listed in the NEBB PROCEDURAL STANDARDS, Section III, "Preliminary TAB Procedures" under paragraphs titled, "Air Distribution System Inspection" and "Hydronic Distribution System Inspection" must be provided for each separate system to be TAB'd.

1.5.5 Subcontractor Special Requirements

Perform all work in this section in accordance with the paragraph SUBCONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS, stating that all contract requirements of this section must be accomplished directly by a first tier subcontractor. No work may be performed by a second tier subcontractor.

1.5.6 Instrument Calibration Certificates

It is the responsibility of the TAB firm to provide instrumentation that meets the minimum requirements of the standard under which the TAB Firm's qualifications are approved for use on a project. Instrumentation must be in proper operating condition and must be applied in accordance with the instrumentation's manufacturer recommendations.

All instrumentation must bear a valid NIST traceable calibration certificate during field work and during government acceptance testing. All instrumentation must be calibrated within no later than one year of the date of TAB work or government acceptance testing field work.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 WORK DESCRIPTIONS OF PARTICIPANTS

Comply with requirements of this section as specified in Appendix A WORK DESCRIPTIONS OF PARTICIPANTS.

3.2 PRE-DALT/TAB MEETING

Meet with the Contracting Officer's technical representative (COTR) to develop a mutual understanding relative to the details of the DALT work and TAB work requirements. Ensure that the TAB supervisor is present at this meeting. Requirements to be discussed include required submittals, work schedule, and field quality control.

3.3 TAB PROCEDURES

3.3.1 TAB Field Work

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents.

That is, comply with the the requirements of AABC MN-1 or SMACNA 1780 (TABB) and SMACNA 1858 (TABB),except as supplemented and modified by this section.

Provide instruments and consumables required to accomplish the TAB work. Calibrate and maintain instruments in accordance with manufacturer's written procedures.

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. Conduct TAB work, including measurement accuracy, and sound measurement work in conformance with the AABC MN-1 and AABC MN-4, or NEBB TABES and NEBB MASV, or SMACNA 1780 (used by TABB) and SMACNA 1858 sound measurement procedures, except as supplemented and modified by this section.

3.3.2 Preliminary Procedures

Use the approved pre-field engineering report as instructions and procedures for accomplishing TAB field work. TAB engineer is to locate, in the field, test ports required for testing. It is the responsibility of the sheet metal contractor to provide and install test ports as required by the TAB engineer.

3.3.3 TAB Water Distribution Systems

3.3.3.1 Heating Hot Water

Heating hot water systems including boilers, hot water converters (e.g., heat exchangers), pumps, coils, system balancing valves and flow measuring devices.

3.3.4 TAB Work on Performance Tests Without Seasonal Limitations

3.3.4.1 Performance Tests

In addition to the TAB proportionate balancing work on the air distribution systems and the water distribution systems, accomplish TAB work on the HVAC systems which directly transfer thermal energy. TAB the operational performance of the heating systems .

3.3.4.2 Ambient Temperatures

On each tab report form used for recording data, record the outdoor and indoor ambient dry bulb temperature range and the outdoor and indoor ambient wet bulb temperature range within which the report form's data was recorded. Record these temperatures at beginning and at the end of data taking.

3.3.5 Workmanship

Conduct TAB work on the HVAC systems until measured flow rates are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. This TAB work includes adjustment of balancing valves, balancing dampers, and sheaves. Further, this TAB work includes changing out fan sheaves and pump impellers if required to obtain air and water flow rates specified or indicated. If, with these adjustments and equipment changes, the specified or indicated design flow rates cannot be attained, contact the Contracting Officer for direction.

3.3.6 Deficiencies

Strive to meet the intent of this section to maximize the performance of the equipment as designed and installed. However, if deficiencies in equipment design or installation prevent TAB work from being accomplished within the range of design values specified in the paragraph WORKMANSHIP, provide written notice as soon as possible to the Contractor and the Contracting Officer describing the deficiency and recommended correction.

Responsibility for correction of installation deficiencies is the Contractor's. If a deficiency is in equipment design, call the TAB team supervisor for technical assistance. Responsibility for reporting design deficiencies to Contractor is the TAB team supervisor's.

3.3.7 TAB Reports

Additional requirements for TAB Reports are specified in Appendix B REPORTS - TAB

3.3.8 Quality Assurance - COTR TAB Field Acceptance Testing

3.3.8.1 TAB Field Acceptance Testing

During the field acceptance testing, verify, in the presence of the COTR, random selections of data (water, air quantities, air motion,) recorded in the TAB Report. Points and areas for field acceptance testing are to be selected by the COTR. Measurement and test procedures are the same as approved for TAB work for the TAB Report.

Field acceptance testing includes verification of TAB Report data recorded for the following equipment groups:

Group 1: All boilers and pumps.

Further, if any data on the TAB Report for Groups 2 through 5 is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, additional group data verification is required in the presence of the COTR. Verify TAB Report data for one additional piece of equipment in that group. Continue this additional group data verification until out-of-tolerance data ceases to be found.

3.3.8.2 Additional COTR TAB Field Acceptance Testing

If any of the acceptance testing measurements for a given equipment group is found not to fall within the range of plus 5 to minus 5 percent of the

TAB Report data, terminate data verification for all affected data for that group. The affected data for the given group will be disapproved. Make the necessary corrections and prepare a revised TAB Report. Reschedule acceptance testing of the revised report data with the COTR.

3.3.8.3 Prerequisite for Approval

Compliance with the field acceptance testing requirements of this section is a prerequisite for the final Contracting Officer approval of the TAB Report submitted.

3.4 MARKING OF SETTINGS

Upon the final TAB work approval, permanently mark the settings of HVAC adjustment devices including valves, gauges, splitters, and dampers so that adjustment can be restored if disturbed at any time. Provide permanent markings clearly indicating the settings on the adjustment devices which result in the data reported on the submitted TAB report.

3.5 APPENDICES

Appendix A WORK DESCRIPTIONS OF PARTICIPANTS
Appendix B REPORTS - TAB

Appendix A

WORK DESCRIPTIONS OF PARTICIPANTS

The Contractor is responsible for ensuring compliance with all requirements of this specification section. However, the following delineation of specific work items is provided to facilitate and co-ordinate execution of the various work efforts by personnel from separate organizations.

1. Contractor

a. HVAC documentation: Provide pertinent contract documentation to the TAB Firm, to include the following: the contract drawings and specifications; copies of the approved submittal data for all HVAC equipment, air distribution devices, and air/water measuring/balancing devices; the construction work schedule; and other applicable documents requested by the TAB Firm. Provide the TAB Firm copies of contract revisions and modifications as they occur.

b. Schedules: Ensure the requirements specified under the paragraph "TAB Schedule" are met.

c. Pre-TAB meeting: Arrange and conduct the Pre-TAB meeting. Ensure that a representative is present for the sheet metal contractor, the mechanical contractor, the electrical contractor, and the automatic temperature controls contractor.

d. Coordinate Support: Provide and coordinate support personnel required by the TAB Firm in order to accomplish the TAB field work. Support personnel may include factory representatives, HVAC controls installers, HVAC equipment mechanics, sheet metal workers, pipe fitters, and insulators. Ensure support personnel are present at the work site at the times required.

e. Correct Deficiencies: Ensure the notifications of Construction Deficiencies are provided as specified herein. Refer to the paragraph CONSTRUCTION DEFICIENCIES. Correct each deficiency as soon as practical with the Contracting Officer, and submit revised schedules and other required documentation.

f. Pre-TAB Work Checklists: Complete check out and debugging of HVAC equipment, ducts, and controls prior to the TAB engineer arriving at the project site to begin the TAB work. Debugging includes searching for and eliminating malfunctioning elements in the HVAC system installations, and verifying all adjustable devices are functioning as designed. Include as pre-TAB work checklist items, the deficiencies pointed out by the TAB team supervisor in the design review report.

   Prior to the TAB field team's arrival, ensure completion of the applicable inspections and work items listed in the TAB team supervisor's TAB Work Procedures Summary. Do not allow the TAB team to commence TAB field work until all of the following are completed.

g. Give Notice of Testing: Submit advance notice of proportional balancing, TAB field work accompanied by completed prerequisite HVAC Work List

   Ensure the duct and piping systems are properly insulated and vapor sealed upon the successful completion and acceptance of the TAB work.

2. TAB Team Supervisor

a. Overall management: Supervise and manage the overall TAB team work effort, including preliminary and technical TAB procedures and TAB team field work.

b. Schedule: Ensure the requirements specified under the paragraph "TAB Schedule" are met.

c. Submittals: Provide the submittals specified herein.

d. Pre-TAB meeting: Attend meeting with Contractor. Ensure TAB personnel that will be involved in the TAB work under this contract attend the meeting.

e. Design Review Report: Submit typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the duct leakage testing work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. State that no deficiencies are evident if that is the case.

f. Support required: Specify the technical support personnel required from the Contractor other than the TAB agency; such as factory representatives for temperature controls or for complex equipment. Inform the Contractor in writing of the support personnel needed and when they are needed. Furnish the notice as soon as the need is anticipated, either with the design review report, or the TAB Procedures Summary, the during the DALT or TAB field work.

   Ensure the Contractor is properly notified and aware of all support personnel needed to perform the TAB work. Maintain communication with the Contractor regarding support personnel throughout the duration of the TAB field work, including the TAB field acceptance testing checking.

   Ensure all inspections and verifications for the Pre-Final DALT and Pre-TAB Checklists are completely and successfully conducted before TAB field work is performed.

g. Advance Notice: Monitor the completion of the duct system installations and provide the Advance Notice for Pre-Final DALT field work as specified herein.

h. Technical Assistance: Provide technical assistance to the TAB field work.

i. Deficiencies Notification: Ensure the notifications of Construction Deficiencies are provided as specified herein. Comply with requirements of the paragraph CONSTRUCTION DEFICIENCIES. Resolve each deficiency as soon as practical and submit revised schedules and other required documentation.

j. Procedures: Develop the required TAB procedures for systems or system components not covered in the TAB Standard.

3. TAB Team Field Leader

a. Field manager: Manage, in the field, the accomplishment of the work

specified in Part 3, EXECUTION.

b. Full time: Be present at the contract site when DALT field work or TAB field work is being performed by the TAB team; ensure day-to-day TAB team work accomplishments are in compliance with this section.

c. Prerequisite HVAC work: Do not bring the TAB team to the contract site until a copy of the prerequisite HVAC work list, with all work items certified by the Contractor to be working as designed, reaches the office of the TAB Agency.

Appendix B

REPORTS - TAB

All submitted documentation must be typed, neat, and organized. All reports must have a waterproof front and back cover, a title page, a certification page, sequentially numbered pages throughout, and a table of contents. Tables, lists, and diagrams must be titled. Generate and submit for approval the following documentation:

1. TAB Work Execution Schedule

Submit a detailed schedule indicating the anticipated calendar date for each submittal and each portion of work required under this section. For each work entry, indicate the support personnel (such as controls provider, HVAC mechanic, etc.) that are needed to accomplish the work. Arrange schedule entries chronologically.

2. TAB Procedures Summary

Submit a detailed narrative describing all aspects of the TAB field work to be performed. Clearly distinguish between DALT information and TAB information. Include the following:

a. A list of the intended procedural steps for the TAB field work from start to finish. Indicate how each type of data measurement will be obtained. Include what Contractor support personnel are required for each step, and the tasks they need to perform.

b. A list of the project's submittals that are needed by the TAB Firm in order to meet this Contract's requirements.

c. The schematic drawings to be used in the required reports, which may include building floor plans, mechanical room plans, duct system plans, and equipment elevations. Indicate intended TAB measurement locations, including where test ports need to be provided by the Contractor.

d. The data presentation forms to be used in the report, with the preliminary information and initial design values filled in.

e. A list of TAB instruments to be used, edited for this project, to include the instrument name and description, manufacturer, model number, scale range, published accuracy, most recent calibration date, and what the instrument will be used for on this project.

f. A thorough checklist of the work items and inspections that need to be accomplished before DALT field work can be performed. The Contractor must complete, submit, and receive approval of the Completed Pre-Final DALT Work Checklist before DALT field work can be accomplished.

g. A thorough checklist of the work items and inspections that need to be accomplished before the TAB field work can be performed. The Contractor must complete, submit, and receive approval of the Completed Pre-TAB Work Checklist before the TAB field work can be accomplished.

i. The checklists specified above shall be individually developed and tailored specifically for the work under this contract. Refer to NEBB PROCEDURAL STANDARDS, Section III, "Preliminary TAB Procedures"

under the paragraphs titled, "Air Distribution System Inspection" and "Hydronic Distribution System Inspection" for examples of items to include in the checklists.

3. Design Review Report

Submit report containing the following information:

a. Review the contract specifications and drawings to verify that the TAB work can be successfully accomplished in compliance with the requirements of this section. Verify the presence and location of permanently installed test ports and other devices needed, including gauge cocks, thermometer wells, flow control devices, circuit setters, balancing valves, and manual volume dampers.

b. Submit a typed report describing omissions and deficiencies in the HVAC system's design that would preclude the TAB team from accomplishing the DALT work and the TAB work requirements of this section. Provide a complete explanation including supporting documentation detailing the design deficiency. If no deficiencies are evident, state so in the report.

5. Final DALT Report

On successful completion of all COTR field checks of the Pre-final DALT Report data for all systems, the TABS Supervisor shall assemble, review, sign and submit the Final DALT Report to the Contracting Officer for approval.

6. TAB Reports: Submit TAB Report for Proportional Balancing, Season 1, and Season 2 in the following manner:

a. Procedure Summary: Submit a copy of the approved TAB Procedures Summary. When applicable, provide notations describing how actual field procedures differed from the procedures listed.

b. Report format: Submit the completed data forms approved in the pre-field TAB Engineering Report completed by TAB field team, reviewed, approved and signed by the TAB supervisor. Bind the report with a waterproof front and back cover. Include a table of contents identifying by page number the location of each report. Report forms and report data shall be typewritten. Handwritten report forms or report data are not acceptable.

g. Instruments: List the types of instruments actually used to measure the tab data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date.

Instrumentation, used for taking wet bulb temperature readings shall provide accuracy of plus or minus 5 percent at the measured face velocities. Submit instrument manufacturer's literature to document instrument accuracy performance is in compliance with that specified.

h. Performance Curves: The TAB Supervisor shall include, in the TAB Reports, factory pump curves and fan curves for pumps and fans TAB'd on the job.

i. Calibration Curves: The TAB Supervisor shall include, in the TAB Reports, a factory calibration curve for installed flow control balancing valves, flow venturis and flow orifices TAB'd on the job.

j. Data From TAB Field Work: After completion of the TAB field work, prepare the TAB field data for TAB supervisor's review and approval signature, using the reporting forms approved in the pre-field engineering report. Data required by those approved data report forms shall be furnished by the TAB team. Except as approved otherwise in writing by the Contracting Officer, the TAB work and thereby the TAB report shall be considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph WORKMANSHIP.

Appendix C

TAB SUBMITTAL AND WORK SCHEDULE

Perform the following items of work in the order listed adhering to the dates schedule specified below. Include the major items listed in this schedule in the project network analysis schedule required by Section 01 32 17.00 20 COST-LOADED NETWORK ANALYSIS SCHEDULES (NAS).

Submit TAB Agency and TAB Personnel Qualifications: Within 30 calendar days after date of contract award.

Submit the TAB Work Execution Schedule: within 14 days after receipt of the TAB agency and TAB personnel qualifications approval. Revise and re-submit this schedule 28 days prior to commencement of DALT work and 28 days prior to the commencement of TAB Season 1 work and TAB Season 2 work.

Submit the TAB Work Procedures Summary: within 14 days after receipt of the initial approved TAB Work Execution Schedule.

Meet with the COTR at the Pre-DALT/TAB Meeting: Within 28 calendar days after receipt of the approved initial DALT/TAB Execution Schedule.

Submit Design Review Report: Within 30 calendar days after the receipt of the approved initial TAB Work Execution Schedule.

Advance Notice of TAB Field Work: At a minimum of 14 calendar days prior to TAB Field Work, submit advance notice of TAB field work accompanied by completed Pre-TAB Work Checklist.

TAB Field Work: At a minimum of 30 calendar days prior to CCD, accomplish TAB field work.

Submit TAB Report: Within 14 calendar days after completion of TAB field work, submit initial TAB report.

Quality Assurance - COTR TAB Field Check: 30 calendar days after initial TAB report is approved by the Contracting Officer, conduct field check.

Complete TAB Work: Prior to CCD, complete all TAB work and submit final.

Receive the approved TAB report: Within 21 calendar days, receive the report from Contracting Officer approved TAB report.

-- End of Section --

SECTION 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS
02/13

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 90.1 - SI	(2013) Energy Standard for Buildings Except Low-Rise Residential Buildings
ASHRAE 90.2	(2007; Addendum B 2010) Energy Efficient Design of Low-Rise Residential Buildings

ASTM INTERNATIONAL (ASTM)

ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A580/A580M	(2018) Standard Specification for Stainless Steel Wire
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM C1136	(2017a) Standard Specification for Flexible, Low Permeance Vapor Retarders for Thermal Insulation
ASTM C1710	(2011) Standard Guide for Installation of Flexible Closed Cell Preformed Insulation in Tube and Sheet Form
ASTM C195	(2007; R 2013) Standard Specification for Mineral Fiber Thermal Insulating Cement
ASTM C450	(2008) Standard Practice for Fabrication of Thermal Insulating Fitting Covers for NPS Piping, and Vessel Lagging
ASTM C547	(2017) Standard Specification for Mineral Fiber Pipe Insulation
ASTM C795	(2008; R 2013) Standard Specification for

	Thermal Insulation for Use in Contact with Austenitic Stainless Steel
ASTM C916	(2014) Standard Specification for Adhesives for Duct Thermal Insulation
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C921	(2010) Standard Practice for Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM D2863	(2017a) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics (Oxygen Index)
ASTM D5590	(2000; R 2010; E 2012) Standard Test Method for Determining the Resistance of Paint Films and Related Coatings to Fungal Defacement by Accelerated Four-Week Agar Plate Assay
ASTM E2231	(2018) Standard Practice for Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics
ASTM E84	(2018) Standard Test Method for Surface Burning Characteristics of Building Materials
ASTM E96/E96M	(2016) Standard Test Methods for Water Vapor Transmission of Materials

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2010; Version 1.1) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

FM GLOBAL (FM)

FM APP GUIDE	(updated on-line) Approval Guide http://www.approvalguide.com/

GREEN SEAL (GS)

GS-36	(2013) Adhesives for Commercial Use

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58	(2009) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)

MICA Insulation Stds	(8th Ed) National Commercial & Industrial Insulation Standards

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS	SCS Global Services (SCS) Indoor Advantage

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-A-24179	(1969; Rev A; Am 2 1980; Notice 1 1987) Adhesive, Flexible Unicellular-Plastic Thermal Insulation
MIL-A-3316	(1987; Rev C; Am 2 1990) Adhesives, Fire-Resistant, Thermal Insulation

UNDERWRITERS LABORATORIES (UL)

UL 2818	(2013) GREENGUARD Certification Program For Chemical Emissions For Building Materials, Finishes And Furnishings
UL 723	(2018) UL Standard for Safety Test for Surface Burning Characteristics of Building Materials
UL 94	(2013; Reprint Sep 2017) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances

1.2 SYSTEM DESCRIPTION

1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated. Field applied insulation materials required for use on Government-furnished items as listed in the SPECIAL CONTRACT REQUIREMENTS shall be furnished and installed by the Contractor.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and SD-08 Manufacturer's Instructions at the same time for each system.

SD-02 Shop Drawings

Pipe Insulation Systems and Associated Accessories

Equipment Insulation Systems and Associated Accessories

Recycled content for insulation materials; S

SD-03 Product Data

Pipe Insulation Systems; G

Equipment Insulation Systems; G

SD-04 Samples

Thermal Insulation; G

SD-07 Certificates

Indoor air quality for adhesives; S

SD-08 Manufacturer's Instructions

Pipe Insulation Systems; G

Equipment Insulation Systems; G

1.4 CERTIFICATIONS

1.4.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

1.5 QUALITY ASSURANCE

1.5.1 Installer Qualification

Qualified installers shall have successfully completed three or more similar type jobs within the last 5 years.

1.6 DELIVERY, STORAGE, AND HANDLING

Materials shall be delivered in the manufacturer's unopened containers.

Materials delivered and placed in storage shall be provided with protection from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval shall have manufacturer's stamp or label attached giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable). Insulation packages and containers shall be asbestos free.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. The product number, k-value, thickness and furnished accessories including adhesives, sealants and jackets for each mechanical system requiring insulation shall be included. The product data must be copyrighted, have an identifying or publication number, and shall have been published prior to the issuance date of this solicitation. Materials furnished under this section shall be submitted together in a booklet.

2.1.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) air distribution systems and piping systems that are located within, on, under, and adjacent to buildings; and for plumbing systems. Provide CFC and HCFC free insulation.

2.1.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flame spread, and smoke developed indexes, shall be determined by ASTM E84 or UL 723. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to ASTM E2231.

2.2 MATERIALS

Provide insulation that meets or exceed the requirements of ASHRAE 90.2. Insulation exterior shall be cleanable, grease resistant, non-flaking and non-peeling. Materials shall be compatible and shall not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Materials to be used on stainless steel surfaces shall meet ASTM C795 requirements. Calcium silicate shall not be used on chilled or cold water systems. Materials shall be asbestos free. Provide product recognized under UL 94 (if containing plastic) and listed in FM APP GUIDE.

2.2.1 Adhesives

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants must meet limit requirements of "Other" category within SCAQMD Rule 1168 sealants table). Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

2.2.1.1 Acoustical Lining Insulation Adhesive

Adhesive shall be a nonflammable, fire-resistant adhesive conforming to ASTM C916, Type I.

2.2.1.2 Mineral Fiber Insulation Cement

Cement shall be in accordance with ASTM C195.

2.2.1.3 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. To resist mold/mildew, lagging adhesive shall meet ASTM D5590 with 0 growth rating. Lagging adhesives shall be nonflammable and fire-resistant and shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Adhesive shall be MIL-A-3316, Class 1, pigmented white and be suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bonding glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or Class 2 for attaching fibrous glass insulation to metal surfaces. Lagging adhesives shall be applied in strict accordance with the manufacturer's recommendations for pipe and duct insulation.

2.2.1.4 Contact Adhesive

Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. The adhesive shall not adversely affect, initially or in service, the insulation to which it is applied, nor shall it cause any corrosive effect on metal to which it is applied. Any solvent dispersing medium or volatile component of the adhesive shall have no objectionable odor and shall not contain any benzene or carbon tetrachloride. The dried adhesive shall not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive shall be nonflammable and fire resistant. Flexible Elastomeric Adhesive: Comply with MIL-A-24179, Type II, Class I. Provide product listed in FM APP GUIDE.

2.2.2 Caulking

ASTM C920, Type S, Grade NS, Class 25, Use A.

2.2.3 Corner Angles

Nominal 0.016 inch aluminum 1 by 1 inch with factory applied kraft backing. Aluminum shall be ASTM B209, Alloy 3003, 3105, or 5005.

2.2.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from chilled-water and refrigeration systems. Flexible elastomeric, fabricated fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, properly installed protective vapor retarder/barriers and vapor stops shall be used on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

2.2.5 Finishing Cement

ASTM C450: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must comply with ASTM C795.

2.2.6 Fibrous Glass Cloth and Glass Tape

Fibrous glass cloth, with 20X20 maximum mesh size, and glass tape shall have maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Tape shall be 4 inch wide rolls. Class 3 tape shall be 4.5 ounces/square yard. Elastomeric Foam Tape: Black vapor-retarder foam tape with acrylic adhesive containing an anti-microbial additive.

2.2.7 Staples

Outward clinching type ASTM A167, Type 304 or 316 stainless steel.

2.2.8 Jackets

2.2.8.1 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers shall have high impact strength, ultraviolet (UV) resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch.

2.2.9 Vapor Retarder Required

ASTM C921, Type I, minimum puncture resistance 50 Beach units on all surfaces where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Jackets used on insulation exposed in finished areas shall have white finish suitable for painting without sizing. Based on the application, insulation materials that require

manufacturer or fabricator applied pipe insulation jackets are cellular glass, when all joints are sealed with a vapor barrier mastic, and mineral fiber. All non-metallic jackets shall have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible elastomerics require (in addition to vapor barrier skin) vapor retarder jacketing for high relative humidity and below ambient temperature applications.

2.2.9.1 White Vapor Retarder All Service Jacket (ASJ)

ASJ is for use on hot/cold pipes, ducts, or equipment indoors or outdoors if covered by a suitable protective jacket. The product shall meet all physical property and performance requirements of ASTM C1136, Type I, except the burst strength shall be a minimum of 85 psi. ASTM D2863 Limited Oxygen Index (LOI) shall be a minimum of 31.

In addition, neither the outer exposed surface nor the inner-most surface contacting the insulation shall be paper or other moisture-sensitive material. The outer exposed surface shall be white and have an emittance of not less than 0.80. The outer exposed surface shall be paintable.

2.2.10 Wire

Soft annealed ASTM A580/A580M Type 302, 304 or 316 stainless steel, 16 or 18 gauge.

2.2.11 Insulation Bands

Insulation bands shall be 1/2 inch wide; 26 gauge stainless steel.

2.2.12 Sealants

Sealants shall be chosen from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Sealants shall have a maximum permeance of 0.02 perms based on Procedure B for ASTM E96/E96M, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.3 PIPE INSULATION SYSTEMS

Conform insulation materials to Table 1 and minimum insulation thickness as listed in Table 2 and meet or exceed the requirements of ASHRAE 90.1 - SI. Limit pipe insulation materials to those listed herein and meeting the following requirements:

2.3.1 Recycled Materials

Provide insulation materials containing the following minimum percentage of recycled material content by weight:

Rock Wool: 75 percent slag of weight
Fiberglass: 20 percent glass cullet
Rigid Foam: 9 percent recovered material
Phenolic Rigid Foam: 9 percent recovered material

Provide data identifying percentage of recycled content for insulation materials.

2.3.2 Aboveground Hot Pipeline (Above 60 deg. F)

Insulation for outdoor, indoor, exposed or concealed applications shall meet the following requirements. Supply the insulation with manufacturer's recommended factory-applied jacket/vapor barrier.

2.3.2.1 Mineral Fiber

ASTM C547, Types I, II or III, supply the insulation with manufacturer's recommended factory-applied jacket.

2.4 EQUIPMENT INSULATION SYSTEMS

Insulate equipment and accessories as specified in Tables 5 and 6. In outside locations, provide insulation 1/2 inch thicker than specified. Increase the specified insulation thickness for equipment where necessary to equal the thickness of angles or other structural members to make a smooth, exterior surface. Submit a booklet containing manufacturer's published installation instructions for the insulation systems. The instructions must be copyrighted, have an identifying or publication number, and shall have been published prior to the issuance date of this solicitation. A booklet is also required by paragraphs titled: Pipe Insulation Systems and Duct Insulation Systems.

PART 3 EXECUTION

3.1 APPLICATION - GENERAL

Insulation shall only be applied to unheated and uncooled piping and equipment. Flexible elastomeric cellular insulation shall not be compressed at joists, studs, columns, ducts, hangers, etc. The insulation shall not pull apart after a one hour period; any insulation found to pull apart after one hour, shall be replaced.

3.1.1 Installation

Except as otherwise specified, material shall be installed in accordance with the manufacturer's written instructions. Insulation materials shall not be applied until tests specified in other sections of this specification are completed. Material such as rust, scale, dirt and moisture shall be removed from surfaces to receive insulation. Insulation shall be kept clean and dry. Insulation shall not be removed from its shipping containers until the day it is ready to use and shall be returned to like containers or equally protected from dirt and moisture at the end of each workday. Insulation that becomes dirty shall be thoroughly cleaned prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, the insulation will be rejected, and shall be immediately removed from the jobsite. Joints shall be staggered on multi layer insulation. Mineral fiber thermal insulating cement shall be mixed with demineralized water when used on stainless steel surfaces. Insulation, jacketing and accessories shall be installed in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

3.1.2 Welding

No welding shall be done on piping, or equipment without written approval of the Contracting Officer. .

3.1.3 Pipes/Equipment That Require Insulation

Insulation is required on all pipes, or equipment, except for omitted items as specified.

3.2 PIPE INSULATION SYSTEMS INSTALLATION

3.2.1 Pipe Insulation

3.2.1.1 General

Pipe insulation shall be installed on aboveground hot and cold pipeline systems as specified below to form a continuous thermal retarder/barrier, including straight runs, fittings and appurtenances unless specified otherwise. Installation shall be with full length units of insulation and using a single cut piece to complete a run. Cut pieces or scraps abutting each other shall not be used. Pipe insulation shall be omitted on the following:

a. Pipe used solely for fire protection.

b. Chromium plated pipe to plumbing fixtures. However, fixtures for use by the physically handicapped shall have the hot water supply and drain, including the trap, insulated where exposed.

c. Sanitary drain lines.

d. Air chambers.

e. Adjacent insulation.

f. ASME stamps.

g. Access plates of fan housings.

h. Cleanouts or handholes.

3.2.1.2 Pipes Passing Through Walls, Roofs, and Floors

Pipe insulation shall be continuous through the sleeve.

Provide an aluminum jacket or vapor barrier/weatherproofing self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 ply standard grade, silver, white, black and embossed with factory applied moisture retarder over the insulation wherever penetrations require sealing.

3.2.1.2.1 Penetrate Interior Walls

The aluminum jacket or vapor barrier/weatherproofing - self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 plies standard grade, silver, white, black and embossed shall extend 2 inches beyond either side of the wall and shall be secured on each end with a band.

3.2.1.2.2 Penetrating Floors

Extend the aluminum jacket from a point below the backup material to a point 10 inches above the floor with one band at the floor and one not more than

1 inch from the end of the aluminum jacket.

3.2.1.2.3 Penetrating Waterproofed Floors

Extend the aluminum jacket rom below the backup material to a point 2 inches above the flashing with a band 1 inch from the end of the aluminum jacket.

3.2.1.2.4 Penetrating Exterior Walls

Continue the aluminum jacket required for pipe exposed to weather through the sleeve to a point 2 inches beyond the interior surface of the wall.

3.2.1.2.5 Penetrating Roofs

Insulate pipe as required for interior service to a point flush with the top of the flashing and sealed with flashing sealant. Tightly butt the insulation for exterior application to the top of flashing and interior insulation. Extend the exterior aluminum jacket 2 inches down beyond the end of the insulation to form a counter flashing. Seal the flashing and counter flashing underneath with metal jacketing/flashing sealant.

3.2.1.3 Pipes Passing Through Hangers

Insulation, whether hot or cold application, shall be continuous through hangers. All horizontal pipes 2 inches and smaller shall be supported on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with MSS SP-58. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near the support shield, insulation inserts as specified below for piping larger than 2 inches shall be installed, or factory insulated hangers (designed with a load bearing core) can be used.

3.2.1.3.1 Horizontal Pipes Larger Than 2 Inches at 60 Degrees F and Above

Supported on hangers in accordance with MSS SP-58, and Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.2.1.3.2 Vertical Pipes

Supported with either Type 8 or Type 42 riser clamps with the addition of two Type 40 protection shields in accordance with MSS SP-58 covering the 360-degree arc of the insulation. An insulation insert of cellular glass or calcium silicate shall be installed between each shield and the pipe. The insert shall cover the 360-degree arc of the pipe. Inserts shall be the same thickness as the insulation, and shall extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the hanger from crushing the insulation, as an option instead of installing insulation inserts. The insulation jacket shall be continuous over the wooden dowel, wooden block, or insulation insert. The vertical weight of the pipe shall be supported with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, the weight of the pipe shall be additionally supported with hangers in the vertical run of the pipe that are directly clamped to the pipe, penetrating the pipe insulation. These hangers shall be insulated and the insulation jacket sealed as indicated herein for anchors in a similar service.

3.2.1.3.3 Inserts

Covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, overlap the adjoining pipe jacket 1-1/2 inches, and seal as required for the pipe jacket. The jacket material used to cover inserts in flexible elastomeric cellular insulation shall conform to ASTM C1136, Type 1, and is allowed to be of a different material than the adjoining insulation material.

3.2.1.4 Pipes in high abuse areas.

In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, welded PVC , aluminum or flexible laminate cladding (comprised of elastomeric, plastic or metal foil laminate) laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket, - less than 0.0000 permeability; (greater than 3 ply, standard grade, silver, white, black and embossed) jackets shall be utilized. Pipe insulation to the 6 foot level shall be protected.

3.2.1.5 Pipe Insulation Material and Thickness

Pipe insulation materials must be as listed in Table 1 and must meet or exceed the requirements of ASHRAE 90.2.

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
Heating Hot Water Supply & Return, Heated Oil (Max 250 F)					
	Mineral Fiber	ASTM C547	I	1	No
Note: VR/VB = Vapor Retarder/Vapor Barrier					

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
Heating Hot Water Supply & Return, Heated Oil (Max 250 F)						
	Mineral Fiber	1.5	1.5	2	2	2

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
Hot Domestic Water Supply & Recirculating Piping (Max 200 F)						
	Mineral Fiber	1	1	1	1.5	1.5

3.2.2 Aboveground Hot Pipelines

3.2.2.1 General Requirements

All hot pipe lines above 60 degrees F, except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted, shall be insulated in accordance with Table 2. This includes but is not limited to the following:

a. Domestic hot water supply & re-circulating system.
d. Hot water heating.

Insulation shall be covered, in accordance with manufacturer's recommendations, with a factory applied Type I jacket or field applied aluminum where required or seal welded PVC.

3.2.2.2 Insulation for Fittings and Accessories

Pipe insulation shall be tightly butted to the insulation of the fittings and accessories. The butted joints and ends shall be sealed with joint sealant. Insulation shall be marked showing the location of unions, strainers, check valves and other components that would otherwise be hidden from view by the insulation.

3.2.2.2.1 Precut or Preformed

Place precut or preformed insulation around all fittings and accessories. Insulation shall be the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity.

3.2.2.2.2 Rigid Preformed

Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Insulation of the same thickness and conductivity as the adjoining pipe insulation shall be used. If nesting size insulation is used, the insulation shall be overlapped 2 inches or one pipe diameter. Elbows insulated using segments shall conform to MICA Tables 12.20 "Mitered Insulation Elbow".

3.3 EQUIPMENT INSULATION SYSTEMS INSTALLATION

3.3.1 General

Removable insulation sections shall be provided to cover parts of equipment that must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Equipment insulation shall be omitted on the following:

a. Hand-holes.
b. Boiler manholes.
c. Cleanouts.
d. ASME stamps.
e. Manufacturer's nameplates.
f. Duct Test/Balance Test Holes.

3.3.2 Insulation for Hot Equipment

Insulation shall be furnished on equipment handling media above 60 degrees F including the following:

e. Pumps handling media above 130 degrees F.
h. Air separation tanks.

3.3.2.1 Insulation

Insulation shall be suitable for the temperature encountered. Shell and tube-type heat exchangers shall be insulated for the temperature of the shell medium.

Insulation thickness for hot equipment shall be determined using Table 6:

TABLE 6		
Insulation Thickness for Hot Equipment (inches)		
Equipment handling steam or media at indicated pressure or temperature limit		
	Material	Thickness (inches)
15 psig or 250 degrees F		
	Rigid Mineral Fiber	2
600 degrees F: Thickness necessary to limit the external temperature of the insulation to 120 F. Heat transfer calculations shall be submitted to substantiate insulation and thickness selection.		

3.3.2.2 Insulation of Pumps

Insulate pumps by forming a box around the pump housing. The box shall be constructed by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Bottom and sides shall be banded to form a rigid housing that does not rest on the pump. Joints between top cover and sides shall fit tightly. The top cover shall have a joint forming a female

shiplap joint on the side pieces and a male joint on the top cover, making the top cover removable. Two coats of Class I adhesive shall be applied over insulation, including removable sections, with a layer of glass cloth embedded between the coats. A parting line shall be provided between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. The total dry thickness of the finish shall be 1/16 inch. Caulking shall be applied to parting line of the removable sections and penetrations.

3.3.2.3 Other Equipment

a. Insulation shall be formed or fabricated to fit the equipment. To ensure a tight fit on round equipment, edges shall be beveled and joints shall be tightly butted and staggered.

b. Insulation shall be secured in place with bands or wires at intervals as recommended by the manufacturer but not greater than 12 inch centers except flexible elastomeric cellular which shall be adhered. Insulation corners shall be protected under wires and bands with suitable corner angles.

e. Exposed insulation corners shall be protected with corner angles.

f. On equipment with ribs, such as boiler flue gas connection, draft fans, and fly ash or soot collectors, insulation shall be applied over 6 by 6 inch by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot welded to the equipment over the ribs. Insulation shall be secured to the fabric with J-hooks and 2 by 2 inch washers or shall be securely banded or wired in place on 12 inch (maximum) centers.

g. On equipment handling media above 600 degrees F, insulation shall be applied in two or more layers with joints staggered.

h. Upon completion of installation of insulation, penetrations shall be caulked. Two coats of adhesive shall be applied over insulation, including removable sections, with a layer of glass cloth embedded between the coats. The total dry thickness of the finish shall be 1/16 inch. Caulking shall be applied to parting line between equipment and removable section insulation.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 23 09 00

INSTRUMENTATION AND CONTROL FOR HVAC
11/15

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system suitable for the control of the boiler systems as indicated and shown and in accordance with Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS and other referenced Sections.

1.1.1 System Requirements

Provide systems meeting the requirements this Section and other Sections referenced by this Section, and which have the following characteristics:

a. The system implements the control sequences of operation shown in the Contract Drawings using DDC hardware to control mechanical and electrical equipment

b. The system meet the requirements of this specification as a stand-alone system and does not require connection to any other system.

c. Control sequences reside in DDC hardware in the building. The building control network is not dependent upon connection to a Utility Monitoring and Control System (UMCS) Front End or to any other system for performance of control sequences. To the greatest extent practical, the hardware performs control sequences without reliance on the building network.

d. The hardware is installed such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

e. All necessary documentation, configuration information, programming tools, programs, drivers, and other software are licensed to and otherwise remain with the Government such that the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

f. Sufficient documentation and data, including rights to documentation and data, are provided such that the Government or their agents can execute work to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.

g. Hardware is installed and configured such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the Contractor, Vendor or Manufacturer.

1.1.2 End to End Accuracy

Select products, install and configure the system such that the maximum error of a measured value as read from the DDC Hardware over the network is less than the maximum allowable error specified for the sensor or instrumentation.

1.1.3 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.4 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere:

a. 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS

b. Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC

g. Section 01 91 00.15 TOTAL BUILDING COMMISSIONING

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2016) BACnet—A Data Communication Protocol for Building Automation and Control Networks
ASHRAE FUN IP	(2017) Fundamentals Handbook, I-P Edition

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41	(1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2018) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code
NFPA 90A	(2018) Standard for the Installation of Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 5085-3	(2006; Reprint Nov 20121) Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

1.4 DEFINITIONS

The following list of definitions includes terms used in Sections referenced by this Section and are included here for completeness.

The definitions contained in this Section may disagree with how terms are defined or used in other documents, including documents referenced by this Section. The definitions included here are the authoritative definitions for this Section and all Sections referenced by this Section.

1.4.1 Alarm Generation

Alarm Generation is the monitoring of a value, comparison of the value to alarm conditions and the creation of an alarm when the conditions set for the alarm are met. Note that this does NOT include delivery of the alarm to the final destination (such as a user interface) - see paragraph ALARM ROUTING below.

In BACnet, Alarm Generation is the creation of alarm events using Event Reporting as defined in ASHRAE 135 in one of three ways:

a. Intrinsic Alarm Generation using Intrinsic Reporting

b. Local Algorithmic Alarm Generation using Algorithmic Reporting where the referenced property is in the same device as the Event Enrollment Object

c. Remote Algorithmic Alarm Generation using Algorithmic Alarming where the referenced property is in a different device than the Event Enrollment Object.

1.4.2 Alarm Routing

Alarm routing is M&C software functionality that starts with a notification that an alarm exists (typically as the output of an Alarm Generation process) and sends a specific message to a specific alarm recipient or device.

1.4.3 Building Automation and Control Network (BACnet)

The term BACnet is used in two ways. First meaning the BACnet Protocol Standard - the communication requirements as defined by ASHRAE 135 including all annexes and addenda. The second to refer to the overall technology related to the ASHRAE 135 protocol.

1.4.4 BACnet Advanced Application Controller (B-AAC)

A hardware device BTL Listed as a B-AAC, which is required to support BACnet Interoperability Building Blocks (BIBBs) for scheduling and alarming, but is not required to support as many BIBBs as a B-BC.

1.4.5 BACnet Application Specific Controller (B-ASC)

A hardware device BTL Listed as a B-ASC, with fewer BIBB requirements than a B-AAC. It is intended for use in a specific application.

1.4.6 BACnet Building Controller (B-BC)

A hardware device BTL Listed as a B-BC. A general-purpose, field-programmable device capable of carrying out a variety of building automation and control tasks including control and monitoring via direct digital control (DDC) of specific systems and data storage for trend information, time schedules, and alarm data. Like the other BTL Listed controller types (B-AAC, B-ASC etc.) a B-BC device is required to support the server ("B") side of the ReadProperty and WriteProperty services, but unlike the other controller types it is also required to support the client ("A") side of these services. Communication between controllers requires that one of them support the client side and the other support the server side, so a B-BC is often used when communication between controllers is needed.

1.4.7 BACnet Broadcast Management Device (BBMD)

A communications device, typically combined with a BACnet router. A BBMD forwards BACnet broadcast messages to BACnet/IP devices and other BBMDs connected to the same BACnet/IP network. Each IP subnet that is part of a BACnet/IP network must have at least one BBMD. Note there are additional restrictions when multiple BBMDs share an IP subnet.

1.4.8 BACnet/IP

An extension of BACnet, Annex J, defines the use of a reserved UDP socket to transmit BACnet messages over IP networks. A BACnet/IP network is a collection of one or more IP subnets that share the same BACnet network number. See also paragraph BACNET BROADCAST MANAGEMENT DEVICE.

1.4.9 BACnet Internetwork

Two or more BACnet networks, connected with BACnet routers. In a BACnet internetwork, there exists only one message path between devices.

1.4.10 BACnet Interoperability Building Blocks (BIBBs)

A BIBB is a collection of one or more ASHRAE 135 Services intended to define a higher level of interoperability. BIBBs are combined to build the BACnet functional requirements for a device in a specification. Some BIBBs define additional requirements (beyond requiring support for specific

services) in order to achieve a level of interoperability. For example, the BIBB DS-V-A (Data Sharing-View-A), which would typically be used by a front-end, not only requires the client to support the ReadProperty Service, but also provides a list of data types (Object / Properties) which the client must be able to interpret and display for the user.

In the BIBB shorthand notation, -A is the client side and -B is the server side.

The following is a list of some BIBBs used by this or referenced Sections:	
DS-COV-A	Data Sharing-Change of Value (A side)
DS-COV-B	Data Sharing-Change of Value (B side)
NM-RC-B	Network Management-Router Configuration (B side)
DS-RP-A	Data Sharing-Read Property (A side)
DS-RP-B	Data Sharing-Read Property (B side)
DS-RPM-A	Data Sharing-Read Property Multiple (A Side)
DS-RPM-B	Data Sharing-Read Property Multiple (B Side)
DS-WP-A	Data Sharing-Write Property (A Side)
DM-TS-B	Device Management-Time Synchronization (B Side)
DM-UTC-B	Device Management-UTC Time Synchronization (B Side)
DS-WP-B	Data Sharing-Write Property (B side)
SCHED-E-B	Scheduling-External (B side)
DM-OCD-B	Device Management-Object Creation and Deletion (B side)
AE-N-I-B	Alarm and Event-Notification Internal (B Side)
AE-N-E-B	Alarm and Event-Notification External (B Side)
T-VMT-I-B	Trending-Viewing and Modifying Trends Internal (B Side)
T-VMT-E-B	Trending-Viewing and Modifying Trends External (B Side)

1.4.11 BACnet Network

In BACnet, a portion of the control internetwork consisting of one or more segments connected by repeaters. Networks are separated by routers.

1.4.12 BACnet Operator Display (B-OD)

A basic operator interface with limited capabilities relative to a B-OWS. It is not intended to perform direct digital control. A B-OD profile could

be used for LCD devices, displays affixed to BACnet devices, handheld terminals or other very simple user interfaces.

1.4.13 BACnet Segment

One or more physical segments interconnected by repeaters (ASHRAE 135).

1.4.14 BACnet Smart Actuator (B-SA)

A simple actuator device with limited resources intended for specific applications.

1.4.15 BACnet Smart Sensor (B-SS)

A simple sensing device with limited resources.

1.4.16 BACnet Testing Laboratories (BTL)

Established by BACnet International to support compliance testing and interoperability testing activities and consists of BTL Manager and the BTL Working Group (BTL-WG). BTL also publishes Implementation Guidelines.

1.4.17 BACnet Testing Laboratories (BTL) Listed

A device that has been listed by BACnet Testing Laboratory. Devices may be certified to a specific device profile, in which case the listing indicates that the device supports the required capabilities for that profile, or may be listed as "other".

1.4.18 Binary

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.4.19 Broadcast

Unlike most messages, which are intended for a specific recipient device, a broadcast message is intended for all devices on the network.

1.4.20 Building Control Network (BCN)

The network connecting all DDC Hardware within a building (or specific group of buildings).

1.4.21 Building Point of Connection (BPOC)

A FPOC for a Building Control System. (This term is being phased out of use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware.)

1.4.22 Commandable

See Overridable.

1.4.23 Commandable Objects

Commandable Objects have a Commandable Property, Priority_Array, and Relinquish_Default Property as defined in ASHRAE 135, Clause 19.2, Command Prioritization.

1.4.24 Configurable

A property, setting, or value is configurable if it can be changed via hardware settings on the device, via the use of engineering software or over the control network from the front end, and is retained through (after) loss of power.

In a BACnet system, a property, setting, or value is configurable if it can be changed via one or more of:

1) via BACnet services (including proprietary BACnet services)
2) via hardware settings on the device.

Note this is more stringent than the ASHRAE 135 definition.

1.4.25 Control Logic Diagram

A graphical representation of control logic for multiple processes that make up a system.

1.4.26 Device

A Digital Controller that contains a BACnet Device Object and uses BACnet to communicate with other devices.

1.4.27 Device Object

Every BACnet device requires one Device Object, whose properties represent the network visible properties of that device. Every Device Object requires a unique Object Identifier number on the BACnet internetwork. This number is often referred to as the device instance or device ID.

1.4.28 Device Profile

A collection of BIBBs determining minimum BACnet capabilities of a device, defined in ASHRAE 135. Standard device profiles include BACnet Advanced Workstations (B-AWS), BACnet Building Controllers (B-BC), BACnet Advanced Application Controllers (B-AAC), BACnet Application Specific Controllers (B-ASC), BACnet Smart Actuator (B-SA), and BACnet Smart Sensor (B-SS).

1.4.29 Digital Controller

An electronic controller, usually with internal programming logic and digital and analog input/output capability, which performs control functions.

1.4.30 Direct Digital Control (DDC)

Digital controllers performing control logic. Usually the controller directly senses physical values, makes control decisions with internal programs, and outputs control signals to directly operate switches, valves, dampers, and motor controllers.

1.4.31 Field Point of Connection (FPOC)

The FPOC is the point of connection between the UMCS IP Network and the field control network (either an IP network, a non-IP network, or a combination of both). The hardware at this location which provides the connection is generally an IT device such as a switch, IP router, or firewall.

In general, the term "FPOC Location" means the place where this connection occurs, and "FPOC Hardware" means the device that provides the connection. Sometimes the term "FPOC" is used to mean either and its actual meaning (i.e. location or hardware) is determined by the context in which it is used.

1.4.32 Gateway

A device that translates from one protocol application data format to another. Devices that change only the transport mechanism of the protocol - "translating" from TP/FT-10 to Ethernet/IP or from BACnet MS/TP to BACnet over IP for example - are not gateways as the underlying data format does not change. Gateways are also called Communications Bridges or Protocol Translators.

1.4.33 IEEE 802.3 Ethernet

A family of local-area-network technologies providing high-speed networking features over various media, typically Cat 5, 5e or Cat 6 twisted pair copper or fiber optic cable.

1.4.34 Internet Protocol (IP, TCP/IP, UDP/IP)

A communication method, the most common use is the World Wide Web. At the lowest level, it is based on Internet Protocol (IP), a method for conveying and routing packets of information over various LAN media. Two common protocols using IP are User Datagram Protocol (UDP) and Transmission Control Protocol (TCP). UDP conveys information to well-known "sockets" without confirmation of receipt. TCP establishes connections, also known as "sessions", which have end-to-end confirmation and guaranteed sequence of delivery.

1.4.35 Input/Output (I/O)

Physical inputs and outputs to and from a device, although the term sometimes describes network or "virtual" inputs or outputs. See also "Points".

1.4.36 I/O Expansion Unit

An I/O expansion unit provides additional point capacity to a digital controller

1.4.37 IP subnet

A group of devices which share a defined range IP addresses. Devices on a common IP subnet can share data (including broadcasts) directly without the need for the traffic to traverse an IP router.

1.4.38 Local-Area Network (LAN)

A communication network that spans a limited geographic area and uses the same basic communication technology throughout.

1.4.39 Local Display Panels (LDPs)

A DDC Hardware with a display and navigation buttons, and must provide display and adjustment of points as shown on the Points Schedule and as indicated.

1.4.40 MAC Address

Media Access Control address. The physical device address that identifies a device on a Local Area Network.

1.4.41 Master-Slave/Token-Passing (MS/TP)

Data link protocol as defined by the BACnet standard. Multiple speeds (data rates) are permitted by the BACnet MS/TP standard.

1.4.42 Monitoring and Control (M&C) Software

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.4.43 Network Number

A site-specific number assigned to each network. This network number must be unique throughout the BACnet internetwork.

1.4.44 Object

An ASHRAE 135 Object. The concept of organizing BACnet information into standard components with various associated Properties. Examples include Analog Input objects and Binary Output objects.

1.4.45 Object Identifier

A grouping of two Object properties: Object Type (e.g. Analog Value, Schedule, etc.) and Object Instance (in this case, a number). Object Identifiers must be unique within a device.

1.4.46 Object Instance

See paragraph OBJECT IDENTIFIER

1.4.47 Object Properties

Attributes of an object. Examples include present value and high limit properties of an analog input object. Properties are defined in ASHRAE 135; some are optional and some are required. Objects are controlled by reading from and writing to object properties.

1.4.48 Operator Configurable

For BACnet systems, a property, setting, or value in a device is Operator

Configurable when it is Configurable and is either:

a. a Writeable Property of a Standard BACnet Object; or

b. a Property of a Standard BACnet Object that is Writeable when Out_Of_Service is TRUE and Out_Of_Service is Writeable.

1.4.49 Override

Changing the value of a point outside of the normal sequence of operation where the change has priority over the sequence and where there is a mechanism for releasing the change such that the point returns to the normal value. Overrides persist until released or overridden at the same or higher priority but are not required to persist through a loss of power. Overrides are often used by operators to change values, and generally originate at a user interface (workstation or local display panel).

1.4.50 Packaged Equipment

Packaged equipment is a single piece of equipment provided by a manufacturer in a substantially complete and operable condition, where the controls (DDC Hardware) are factory installed, and the equipment is sold and shipped from the manufacturer as a single entity. Dissassembly and reassembly of a large piece of equipment for shipping does not prevent it from being packaged equipment. Package units may require field installation of remote sensors. Packaged equipment is also called a "packaged unit".

Note industry may use the term "Packaged System" to mean a collection of equipment that is designed to work together where each piece of equipment is packaged equipment and there is a network that connects the equipment together. A "packaged system" of this type is NOT packaged equipment; it is a collection of packaged equipment, and each piece of equipment must individually meet specification requirements.

1.4.51 Packaged Unit

See packaged equipment.

1.4.52 Performance Verification Test (PVT)

The procedure for determining if the installed BAS meets design criteria prior to final acceptance. The PVT is performed after installation, testing, and balancing of mechanical systems. Typically the PVT is performed by the Contractor in the presence of the Government.

1.4.53 Physical Segment

A single contiguous medium to which BACnet devices are attached (ASHRAE 135).

1.4.54 Polling

A device periodically requesting data from another device.

1.4.55 Points

Physical and virtual inputs and outputs. See also paragraph INPUT/OUTPUT (I/O).

1.4.56 Proportional, Integral, and Derivative (PID) Control Loop

Three parameters used to control modulating equipment to maintain a setpoint. Derivative control is often not required for HVAC systems (leaving "PI" control).

1.4.57 Proprietary

Within the context of BACnet, any extension of or addition to object types, properties, PrivateTransfer services, or enumerations specified in ASHRAE 135. Objects with Object_Type values of 128 and above are Proprietary Objects. Properties with Property_Identifier of 512 and above are proprietary Properties.

1.4.58 Protocol Implementation Conformance Statement (PICS)

A document, created by the manufacturer of a device, which describes which portions of the BACnet standard may be implemented by a given device. ASHRAE 135 requires that all ASHRAE 135 devices have a PICS, and also defines a minimum set of information that must be in it. A device as installed for a specific project may not implement everything in its PICS.

1.4.59 Repeater

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.4.60 Router

A device that connects two ASHRAE 135 networks and controls traffic between the two by retransmitting signals received from one side onto the other based on the signal destination. Routers are used to subdivide a control internetwork and to limit network traffic.

1.4.61 Segment

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type.

1.4.62 Standard BACnet Objects

Objects with Object_Type values below 128 and specifically enumerated in Clause 21 of ASHRAE 135. Objects which are not proprietary. See paragraph PROPRIETARY.

1.4.63 Standard BACnet Properties

Properties with Property_Identifier values below 512 and specifically enumerated in Clause 21 of ASHRAE 135. Properties which are not proprietary. See Proprietary.

1.4.64 Standard BACnet Services

ASHRAE 135 services other than ConfirmedPrivateTransfer or UnconfirmedPrivateTransfer. See paragraph PROPRIETARY.

1.4.65 UMCS

UMCS stands for Utility Monitoring and Control System. The term refers to all components by which a project site monitors, manages, and controls real-time operation of HVAC and other building systems. These components include the UMCS "front-end" and all field building control systems connected to the front-end. The front-end consists of Monitoring and Montrol Software (user interface software), browser-based user interfaces and network infrastructure.

The network infrastructure (the "UMCS Network"), is an IP network connecting multiple building or facility control networks to the Monitoring and Control Software.

1.4.66 UMCS Network

The UMCS Network connects multiple building or facility control networks to the Monitoring and Control Software.

1.4.67 Writeable Property

A Property is Writeable when it can be changed through the use of one or more of the WriteProperty services defined in ASHRAE 135, Clause 15 regardless of the value of any other Property. Note that in the ASHRAE 135 standard, some Properties may be writeable when the Out of Service Property is TRUE; for purposes of this Section, Properties that are only writeable when the Out of Service Property is TRUE are not considered to be Writeable.

1.5 PROJECT SEQUENCING

TABLE I: PROJECT SEQUENCING lists the sequencing of submittals as specified in paragraph SUBMITTALS (denoted by an 'S' in the 'TYPE' column) and activities as specified in PART 3 EXECUTION (denoted by an 'E' in the 'TYPE' column). TABLE I does not specify overall project milestone and completion dates.

a. Sequencing for Submittals: The sequencing specified for submittals is the deadline by which the submittal must be initially submitted to the Government. Following submission there will be a Government review period as specified in Section 01 33 00 SUBMITTAL PROCEDURES. If the submittal is not accepted by the Government, revise the submittal and resubmit it to the Government within 14 days of notification that the submittal has been rejected. Upon resubmittal there will be an additional Government review period. If the submittal is not accepted the process repeats until the submittal is accepted by the Government.

b. Sequencing for Activities: The sequencing specified for activities indicates the earliest the activity may begin.

c. Abbreviations: In TABLE I the abbreviation AAO is used for 'after approval of' and 'ACO' is used for 'after completion of'.

TABLE I. PROJECT SEQUENCING			
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE
1	S	Existing Conditions Report	
2	S	DDC Contractor Design Drawings	
3	S	Manufacturer's Product Data	
4	S	Pre-construction QC Checklist	
5	E	Install Building Control System	AAO #1 thru #4
6	E	Start-Up and Start-Up Testing	ACO #5
7	S	Post-Construction QC Checklist	ACO #6
8	S	Programming Software Configuration Software	ACO #6
9	S	Draft As-Built Drawings	ACO #6
10	S	Start-Up Testing Report	ACO #6
11	S	PVT Procedures	before schedule start of #12 and AAO #10
12	E	Execute PVT	AAO #9 and #11
13	S	PVT Report	ACO #12
14	S	Controller Application Programs Controller Configuration Settings	AAO #13
15	S	Final As-Built Drawings	AAO #13

TABLE I. PROJECT SEQUENCING			
ITEM #	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY OR DEADLINE
16	S	O&M Instructions	AAO #15
17	S	Training Documentation	AAO #10 and before scheduled start of #18
18	E	Training	AAO #16 and #17
19	S	Closeout QC Checklist	ACO #18

1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

DDC Contractor Design Drawings; G
Draft As-Built Drawings; G
Final As-Built Drawings; G

SD-03 Product Data

Programming Software; G
Configuration Software; G
Controller Configuration Settings; G
Manufacturer's Product Data; G

SD-06 Test Reports

Existing Conditions Report
Start-Up Testing Report; G
PVT Procedures; G
PVT Report; G
Pre-Construction Quality Control (QC) Checklist; G
Post-Construction Quality Control (QC) Checklist; G

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G
Training Documentation; G

SD-11 Closeout Submittals

Enclosure Keys; G
Password Summary Report; G
Closeout Quality Control (QC) Checklist; G

1.7 DATA PACKAGE AND SUBMITTAL REQUIREMENTS

Technical data packages consisting of technical data and computer software (meaning technical data which relates to computer software) which are specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All submittals not specified as technical data packages are considered 'shop drawings' under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and be delivered with unrestricted rights.

1.8 SOFTWARE FOR DDC HARDWARE AND GATEWAYS

Provide all software related to the programing and configuration of DDC Hardware and Gateways as indicated. License all Software to the project site. The term "controller" as used in these requirements means both DDC Hardware and Gateways.

1.8.1 Configuration Software

For type of controller, provide the configuration tool software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of the software user manuals for each software with the software submittal.

Submit Configuration Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.8.2 Controller Configuration Settings

For each controller, provide copies of the installed configuration settings as source code compatible with the configuration tool software for that controller in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Controller Configuration Settings on CD-ROM as a Technical Data Package. Include on the CD-ROM a list or table of contents clearly indicating which files are associated with each device. Submit 2 copies of the Controller Configuration Settings CD-ROM.

1.8.3 Programming Software

For each type of programmable controller, provide the programming software in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS. Submit hard copies of software user manuals for each software with the software submittal.

Submit Programming Software on CD-ROM as a Technical Data Package. Submit 3 hard copies of the software user manual for each piece of software.

1.9 QUALITY CONTROL CHECKLISTS

The QC Checklist for BACnet Systems in APPENDIX A of this Section must be completed by the Contractor's Chief Quality Control (QC) Representative and submitted as indicated. The QC Representative must verify each item indicated and initial in the space provided to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.9.1 Pre-Construction Quality Control (QC) Checklist

Complete items indicated as Pre-Construction QC Checklist items in the QC Checklist. Submit four copies of the Pre-Construction QC Checklist.

1.9.2 Post-Construction Quality Control (QC) Checklist

Complete items indicated as Post-Construction QC Checklist items in the QC Checklist. Submit four copies of the Post-Construction QC Checklist.

1.9.3 Closeout Quality Control (QC) Checklist

Complete items indicated as Closeout QC Checklist items in the QC Checklist. Submit four copies of the Closeout QC Checklist.

PART 2 PRODUCTS

Provide products meeting the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, and this Section.

2.1 GENERAL PRODUCT REQUIREMENTS

Units of the same type of equipment must be products of a single manufacturer. Each major component of equipment must have the manufacturer's name and address, and the model and serial number in a conspicuous place. Materials and equipment must be standard products of a manufacturer regularly engaged in the manufacturing of these and similar products. The standard products must have been in a satisfactory commercial or industrial use for two years prior to use on this project. The two year use must include applications of equipment and materials under similar circumstances and of similar size. DDC Hardware not meeting the two-year field service requirement is acceptable provided it has been successfully used by the Contractor in a minimum of two previous projects. The equipment items must be supported by a service organization. Items of the same type and purpose must be identical, including equipment, assemblies, parts and components.

2.2 PRODUCT DATA

Provide manufacturer's product data sheets documenting compliance with product specifications for each product provided under Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, or this Section. Provide product data for all products in a single indexed compendium, organized by product type. For each manufacturer, model and version (revision) of DDC Hardware provide the Protocol Implementation Conformance Statement (PICS) in accordance with Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

Submit Manufacturer's Product Data on CD-ROM.

2.3 OPERATION ENVIRONMENT

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

a. Pressure: Pressure conditions normally encountered in the installed location.

b. Vibration: Vibration conditions normally encountered in the installed location.

c. Temperature:

(1) Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.

(2) Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

d. Humidity: 10 to 95 percent relative humidity, noncondensing and humidity conditions outside this range normally encountered at the installed location.

2.4 Wireless Capability

For products incorporating any wireless capability (including but not limited to radio frequency (RF), infrared and optical), provide products for which wireless capability can be permanently disabled at the device. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate.

2.5 ENCLOSURES

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

2.5.1 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

2.6 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.6.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide

terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.6.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.6.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.6.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.6.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

PART 3 EXECUTION

3.1 EXISTING CONDITIONS

3.1.1 Existing Conditions Survey

Perform a field survey, including testing and inspection of the equipment to be controlled and submit an Existing Conditions Report documenting the current status and its impact on the Contractor's ability to meet this specification. For those items considered nonfunctional, document the definciency in the report including explanation of the deficiencies and estimated costs to correct the deficiencies. As part of the report, define the scheduled need date for connection to existing equipment. Make written requests and obtain Government approval prior to disconnecting any controls and obtaining equipment downtime.

Submit four copies of the Existing Conditions Report.

3.1.2 Existing Equipment Downtime

Make written requests and obtain Government approval prior to disconnecting any controls and obtaining equipment downtime.

3.1.3 Existing Control System Devices

Inspect, calibrate, and adjust as necessary to place in proper working order all existing devices which are to be reused.

3.2 INSTALLATION

Fully install and test the control system in accordance Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, and this Section.

3.2.1 Dielectric Isolation

Provide dielectric isolation where dissimilar metals are used for connection and support. Install control system in a matter that provides clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Install control system such that it does not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.2.2 Penetrations in Building Exterior

Make all penetrations through and mounting holes in the building exterior watertight.

3.2.3 Device Mounting Criteria

Install devices in accordance with the manufacturer's recommendations and as indicated and shown. Provide a weathershield for all devices installed outdoors. Provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Provide clearance for mechanical and electrical system maintenance; do not not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.2.4 Labels and Tags

Key all labels and tags to the unique identifiers shown on the As-Built drawings. For labels exterior to protective enclosures provide engraved plastic labels mechanically attached to the enclosure or DDC Hardware. Labels inside protective enclosures may be attached using adhesive, but must not be hand written. For tags, provide plastic or metal tags mechanically attached directly to each device or attached by a metal chain or wire.

a. Label all Enclosures and DDC Hardware.

b. Tag Airflow measurement arrays (AFMA) with flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient.

c. Tag duct static pressure taps at the location of the pressure tap

3.2.5 SURGE PROTECTION

3.2.5.1 Power-Line Surge Protection

Protect equipment connected to AC circuits to withstand power-line surges in accordance with IEEE C62.41. Do not use fuses for surge protection.

3.2.5.2 Surge Protection for Transmitter and Control Wiring

Protect DDC hardware against or provided DDC hardware capable of withstanding surges induced on control and transmitter wiring installed outdoors and as shown. Protect equipment againnst the following two waveforms:

a. A waveform with a 10-microsecond rise time, a 1000-microsecond decay time and a peak current of 60 amps.

b. A waveform with an 8-microsecond rise time, a 20-microsecond decay time and a peak current of 500 amperes.

3.2.6 Basic Cybersecurity Requirements

3.2.6.1 Passwords

For all devices with a password, change the password from the default password. Do not use the same password for more than one device. Coordinate selection of passwords with contracting officer. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each device.

Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.2.6.2 Wireless Capability

Unless otherwise indicated, disable wireless capability (including but not limited to radio frequency (RF), infrared and optical) for all devices with wireless capability. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate. Password protecting a wireless connections does not meet this requirement; the wireless capability must be disabled.

3.2.6.3 IP Network Physical Security

Install all IP Network media in conduit. Install all IP devices including but not limited to IP-enabled DDC hardware and IP Network Hardware in lockable enclosures.

3.3 DRAWINGS AND CALCULATIONS

Provide drawings in the form and arrangement indicated and shown. Use the same abbreviations, symbols, nomenclature and identifiers shown. Assign a unique identifier as shown to each control system element on a drawing. When packaging drawings, group schedules by system. When space allows, it is permissible to include multiple schedules for the same system on a single sheet. Except for drawings covering all systems, do not put information for different systems on the same sheet.

Submit hardcopy drawings on ISO A1 34 by 22 inches sheets, and electronic

drawings in PDF and in AutoCAD format. In addition, submit electronic drawings in editable Excel format for all drawings that are tabular, including but not limited to the Point Schedule and Equipment Schedule.

a. Submit DDC Contractor Design Drawings consisting of each drawing indicated with pre-contruction information depicting the intended control system design and plans. Submit DDC Contractor Design Drawings as a single complete package: 2 hard copies and 2 copies on CD-ROM.

b. Submit Draft As-Built Drawings consisting of each drawing indicated updated with as-built data for the system prior to PVT. Submit Draft As-Built Drawings as a single complete package: 2 hard copies and 2 copies on CD-ROM.

c. Submit Final As-Built Drawings consisting of each drawing indicated updated with all final as-built data. Final As-Built Drawings as a single complete package: 2 hard copies and 2 copies on CD-ROM.

3.3.1 Sample Drawings

Sample drawings in electronic format are available via a link in the "Graphical Table of Contents" online at: http://www.wbdg.org/FFC/NAVGRAPH/graphtoc.pdf. These drawings may prove useful in demonstrating expected drawing formatting and example content and are provided for illustrative purposes only. Note that these drawings do not meet the content requirements of this Section and must be completed to meet project requirements.

3.3.2 Drawing Index and Legend

Provide an HVAC Control System Drawing Index showing the name and number of the building, military site, State or other similar designation, and Country. In the Drawing Index, list all Contractor Design Drawings, including the drawing number, sheet number, drawing title, and computer filename when used. Int he Design Drawing Legend, show and describe all symbols, abbreviations and acronyms used on the Design Drawings. Provide a single Index and Legend for the entire drawing package.

3.3.3 Valve Schedule

Provide a valve schedule containing each valve's unique identifier, size, flow coefficient Kv (Cv), pressure drop at specified flow rate, spring range, positive positioner range, actuator size, close-off pressure to torque data, dimensions, and access and clearance requirements data. In the valve schedule include actuator selection data supported by calculations of the force required to move and seal the valve, access and clearance requirements. Provide a single valve schedule for the entire project.

3.3.4 Project Summary Equipment Schedule

Provide a project summary equipment schedule containing the manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a single project equipment schedule for the entire project.

3.3.5 Equipment Schedule

Provide system equipment schedules containing the unique identifier,

manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a separate equipment schedule for each HVAC system.

3.3.6 DDC Hardware Schedule

Provide a single DDC Hardware Schedule for the entire project and including following information for each device.

3.3.6.1 DDC Hardware Identifier

The Unique DDC Hardware Identifier for the device.

3.3.6.2 HVAC System

The system "name" used to identify a specific system (the name used on the system schematic drawing for that system).

3.3.6.3 Device Object Identifier

The Device Object Identifier: The Object_Identifier of the Device Object

3.3.6.4 Network Number

The Network Number for the device.

3.3.6.5 MAC Address

The MAC Address for the device

3.3.6.6 BTL Listing

The BTL Listing of the device. If the device is listed under multiple BTL Profiles, indicate the profile that matches the use and configuration of the device as installed.

3.3.6.7 Proprietary Services Information

If the device uses non-standard ASHRAE 135 services as defined and permitted in Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, indicate that the device uses non-standard services and include a description of all non-standard services used. Describe usage and content such that a device from another vendor can interoperate with the device using the non-standard service. Provide descriptions with sufficient detail to allow a device from a different manufacturer to be programmed to both read and write the non-standard service request:

a. read: interpret the data contained in the non-standard service and;

b. write: given similar data, generate the appropriate non-standard service request.

3.3.6.8 Alarming Information

Indicate whether the device is used for alarm generation, and which types of alarm generation the device implements: instrinsic, local algorithmic, remote algorithmic.

3.3.6.9 Scheduling Information

Indicate whether the device is used for scheduling.

3.3.6.10 Trending Information

Indicate whether the device is used for trending, and indicate if the device is used to trend local values, remote values, or both.

3.3.7 Points Schedule

Provide a Points Schedule in tabular form for each HVAC system, with the indicated columns and with each row representing a hardware point, network point or configuration point in the system.

a. When a Points Schedule was included in the Contract Drawing package, use the same fields as the Contract Drawing with updated information in addition to the indicated fields.

b. When Point Schedules are included in the contract package, items requiring contractor verification or input have been shown in angle brackets ("<" and ">"), such as <___> for a required entry or <value> for a value requiring confirmation. Complete all items in brackets as well as any blank cells. Do not modify values which are not in brackets without approval.

Points Schedule Columns must include:

3.3.7.1 Point Name

The abbreviated name for the point using the indicated naming convention.

3.3.7.2 Description

A brief functional description of the point such as "Supply Air Temperature".

3.3.7.3 DDC Hardware Identifier

The Unique DDC Hardware Identifier shown on the DDC Hardware Schedule and used across all drawings for the DDC Hardware containing the point.

3.3.7.4 Settings

The value and units of any setpoints, configured setpoints, configuration parameters, and settings related to each point.

3.3.7.5 Range

The range of values, including units, associated with the point, including but not limited to a zone temperature setpoint adjustment range, a sensor measurement range, occupancy values for an occupancy input, or the status of a safety.

3.3.7.6 Input or Output (I/O) Type

The type of input or output signal associated with the point. Use the following abbreviations for entires in this column:

a. AI: The value comes from a hardware (physical) Analog Input

b. AO: The value is output as a hardware (physical) Analog Output

c. BI: The value comes from a hardware (physical) Binary Input

d. BO: The value is output as a hardware (physical) Binary Output

e. PULSE: The value comes from a hardware (physical) Pulse Accumulator Input

f. NET-IN: The value is provided from the network (generally from another device). Use this entry only when the value is received from another device as part of scheduling or as part of a sequence of operation, not when the value is received on the network for supervisory functions such as trending, alarming, override or display at a user interface.

g. NET-OUT: The value is provided to another controller over the network. Use this entry only when the value is transmitted to another device as part of scheduling or as part of a sequence of operation, not when the value is transmitted on the network for supervisory functions such as trending, alarming, override or display at a user interface.

3.3.7.7 Object and Property Information

The Object Type and Instance Number for the Object associated with the point. If the value of the point is not in the Present_Value Property, then also provide the Property ID for the Property containing the value of the point. Any point that is displayed at the front end or on an LDP, is trended, is used by another device on the network, or has an alarm condition must be documented here.

3.3.7.8 Network Data Exchange Information (Gets Data From, Sends Data To)

Provide the DDC Hardware Identifier of other DDC Hardware the point is shared with.

3.3.7.9 Override Information (Object Type and Instance Number)

For each point requiring an Override, indicate if the Object for the point is Commandable or, if the use of a separate Object was specifically approved by the Contracting Officer, provide the Object Type and Instance Number of the Object to be used in overriding the point.

3.3.7.10 Trend Object Information

For each point requiring a trend, indicate if the trend is Local or Remote, the trend Object type and the trend Object instance number. For remote trends provide the DDC Hardware Identifier for the device containing the trend Object in the Points Schedule notes.

3.3.7.11 Alarm Information

Indicate the Alarm Generation Type, Event Enrollment Object Instance Number, and Notification Class Object Instance Number for each point requiring an alarm. (Note that not all alarms will have Event Enrollment Objects.

3.3.7.12 Configuration Information

Indicate the means of configuration associated with each point.

a. For Operator Configurable Points indicate BACnet Object and Property information (Name, Type, Identifiers) containing the configurable value. Indicate whether the property is writable always, or only when Out_Of_Service is TRUE.

b. For Configurable Points indicate the BACnet Object and Property information as for Operator Configurable points, or identification of the configurable settings from within the engineering software for the device or identification of the hardware settings on the device.

3.3.8 Riser Diagram

The Riser Diagram of the Building Control Network may be in tabular form, and must show all DDC Hardware and all Network Hardware, including network terminators. For each item, provide the unique identifier, common descriptive name, physical sequential order (previous and next device on the network), room identifier and location within room. A single riser diagram must be submitted for the entire system.

3.3.9 Control System Schematics

Provide control system schematics in the same form as the control system schematic Contract Drawing with Contractor updated information. Provide a control system schematic for each HVAC system.

3.3.10 Sequences of Operation

Provide HVAC control system sequence of operation and in the same format as the Contract Drawings. Within these drawings, refer to devices by their unique identifiers. Submit sequences of operation for each HVAC systemm

3.3.11 Controller, Motor Starter and Relay Wiring Diagram

Provide controller wiring diagrams as functional wiring diagrams which show the interconnection of conductors and cables to each controller and to the identified terminals of input and output devices, starters and package equipment. Show necessary jumpers and ground connections and the labels of all conductors. Identify sources of power required for control systems and for packaged equipment control systems back to the panel board circuit breaker number, controller enclosures, magnetic starter, or packaged equipment control circuit. Show each power supply and transformer not integral to a controller, starter, or packaged equipment. Show the connected volt-ampere load and the power supply volt-ampere rating. Provide wiring diagrams for each HVAC system.

3.4 CONTROLLER TUNING

Tune each controller in a manner consistent with that described in the ASHRAE FUN IP and in the manufacturer's instruction manual. Tuning must consist of adjustment of the proportional, integral, and where applicable, the derivative (PID) settings to provide stable closed-loop control. Each loop must be tuned while the system or plant is operating at a high gain (worst case) condition, where high gain can generally be defined as a low-flow or low-load condition. Upon final adjustment of the PID settings,

in response to a change in controller setpoint, the controlled variable must settle out at the new setpoint with no more than two (2) oscillations above and below setpoint. Upon settling out at the new setpoint the controller output must be steady. With the exception of naturally slow processes such as zone temperature control, the controller must settle out at the new setpoint within five (5) minutes. Set the controller to its correct setpoint and record and submit the final PID configuration settings with the O&M Instructions and on the associated Points Schedule.

3.5 START-UP

3.5.1 Start-Up Test

Perform the following startup tests for each control system to ensure that the described control system components are installed and functioning per this specification.

Adjust, calibrate, measure, program, configure, set the time schedules, and otherwise perform all necessary actions to ensure that the systems function as indicated and shown in the sequence of operation and other contract documents.

3.5.1.1 Systems Check

An item-by-item check must be performed for each HVAC system

3.5.1.1.1 Step 1 - System Inspection

With the system in unoccupied mode and with fan hand-off-auto switches in the OFF position, verify that power and main air are available where required and that all output devices are in their failsafe and normal positions. Inspect each local display panel to verify that all displays indicate shutdown conditions.

3.5.1.1.2 Step 2 - Calibration Accuracy Check

Perform a two-point accuracy check of the calibration of each HVAC control system sensing element and transmitter by comparing the value from the test instrument to the network value provided by the DDC Hardware. Use digital indicating test instruments, such as digital thermometers, motor-driven psychrometers, and tachometers. Use test instruments with accuracy at least twice as accurate as the specified sensor accuracy and with calibration traceable to National Institute of Standards and Technology standards. Check one the first check point in the bottom one-third of the sensor range, and the second in the top one-third of the sensor range. Verify that the sensing element-to-DDC readout accuracies at two points are within the specified product accuracy tolerances, and if not recalibrate or replace the device and repeat the calibration check.

3.5.1.1.3 Step 3 - Actuator Range Check

With the system running, apply a signal to each actuator through the DDC Hardware controller. Verify proper operation of the actuators and positioners for all actuated devices and record the signal levels for the extreme positions of each device. Vary the signal over its full range, and verify that the actuators travel from zero stroke to full stroke within the signal range. Where applicable, verify that all sequenced actuators move from zero stroke to full stroke in the proper direction, and move the connected device in the proper direction from one extreme position to the

other. For valve actuators and damper actuators, perform the actuator range check under normal system pressures.

3.5.1.2 Weather Dependent Test

Perform weather dependent test procedures in the appropriate climatic season.

3.5.2 Start-Up Testing Report

Submit 4 copies of the Start-Up Testing Report. The report may be submitted as a Technical Data Package documenting the results of the tests performed and certifying that the system is installed and functioning per this specification, and is ready for the Performance Verification Test (PVT).

3.6 PERFORMANCE VERIFICATION TEST (PVT)

3.6.1 PVT Procedures

Prepare PVT Procedures based on Section 25 08 10 UTILITY MONITORING AND CONTROL SYSTEM TESTING explaining step-by-step, the actions and expected results that will demonstrate that the control system performs in accordance with the sequences of operation, and other contract documents. Submit 4 copies of the PVT Procedures. The PVT Procedures may be submitted as a Technical Data Package.

3.6.1.1 Sensor Accuracy Checks

Include a one-point accuracy check of each sensor in the PVT procedures.

3.6.1.2 Endurance Test

Include a a one-week endurance test as part of the PVT during which the system is operated continuously. Use the building control system BACnet Trend Log or Trend Log Multiple Objects to trend all points shown as requiring a trend on the Point Schedule for the entire endurance test. If insufficient buffer capacity exists to trend the entire endurance test, upload trend logs during the course of the endurance test to ensure that no trend data is lost.

3.6.1.3 PVT Equipment List

Include in the PVT procedures a control system performance verification test equipment list that lists the equipment to be used during performance verification testing. For each piece of equipment, include manufacturer name, model number, equipment function, the date of the latest calibration, and the results of the latest calibration

3.6.2 PVT Execution

Demonstrate compliance of the control system with the contract documents. Using test plans and procedures approved by the Government, software capable of reading and writing COV Notification Subscriptions, Notification Class Recipient List Properties, event enrollments, demonstrate all physical and functional requirements of the project. Show, step-by-step, the actions and results demonstrating that the control systems perform in accordance with the sequences of operation. Do not start the performance verification test until after receipt of written permission by the

Government, based on Government approval of the PVT Plan and Draft As-Builts and completion of balancing. UNLESS GOVERNMENT WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. Do not conduct tests during scheduled seasonal off periods of base heating and cooling systems. If the system experiences any failures during the endurance test portion of the PVT, repair the system repeat the endurance test portion of the PVT until the system operates continuously and without failure for the specified endurance test period.

3.6.3 PVT Report

Prepare and submit a PVT report documenting all tests performed during the PVT and their results. Include all tests in the PVT procedures and any additional tests performed during PVT. Document test failures and repairs conducted with the test results.

Submit four copies of the PVT Report. The PVT Report may be submitted as a Technical Data Package.

3.7 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide HVAC control System Operation and Maintenance Instructions which include:

a. "Data Package 3" as indicated in Section 01 78 23 OPERATION AND MAINTENANCE DATA for each piece of control equipment.

b. "Data Package 4" as described in Section 01 78 23 OPERATION AND MAINTENANCE DATA for all air compressors.

c. HVAC control system sequences of operation formatted as indicated.

d. Procedures for the HVAC system start-up, operation and shut-down including the manufacturer's supplied procedures for each piece of equipment, and procedures for the overall HVAC system.

e. As-built HVAC control system detail drawings formatted as indicated.

f. Routine maintenance checklist. Provide the routine maintenance checklist arranged in a columnar format, where the first column lists all installed devices, the second column states the maintenance activity or that no maintenance required, the third column states the frequency of the maintenance activity, and the fourth column is used for additional comments or reference.

g. Qualified service organization list, including at a minimum company name, contact name and phone number.

h. Start-Up Testing Report.

i. Performance Verification Test (PVT) Procedures and Report.

Submit 2 copies of the Operation and Maintenance Instructions, indexed and in booklet form. The Operation and Maintenance Instructions may be submitted as a Technical Data Package.

3.8 MAINTENANCE AND SERVICE

Provide services, materials and equipment as necessary to maintain the entire system in an operational state as indicated for a period of one year after successful completion and acceptance of the Performance Verification Test. Minimize impacts on facility operations.

a. The integration of the system specified in this section into a Utility Monitoring and Control System must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period. Integration into a UMCS includes but is not limited to establishing communication between devices in the control system and the front end or devices in another system.

b. The changing of configuration properties must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period.

3.8.1 Description of Work

Provide adjustment and repair of the system including the manufacturer's required sensor and actuator (including transducer) calibration, span and range adjustment.

3.8.2 Personnel

Use only service personnel qualified to accomplish work promptly and satisfactorily. Advise the Government in writing of the name of the designated service representative, and of any changes in personnel.

3.8.3 Emergency Service

The Government will initiate service calls when the system is not functioning properly. Qualified personnel must be available to provide service to the system. A telephone number where the service supervisor can be reached at all times must be provided. Service personnel must be at the site within 24 hours after receiving a request for service. The control system must be restored to proper operating condition as required per Section 01 78 00 CLOSEOUT SUBMITTALS.

3.8.4 Operation

After performing scheduled adjustments and repairs, verify control system operation as demonstrated by the applicable tests of the performance verification test.

3.8.5 Records and Logs

Keep dated records and logs of each task, with cumulative records for each major component, and for the complete system chronologically. Maintain a continuous log for all devices, including initial analog span and zero calibration values and digital points. Keep complete logs and provide logs for inspection onsite, demonstrating that planned and systematic adjustments and repairs have been accomplished for the control system.

3.8.6 Work Requests

Record each service call request as received and include its location, date and time the call was received, nature of trouble, names of the service

personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion. Submit a record of the work performed within 5 days after work is accomplished.

3.8.7 System Modifications

Submit recommendations for system modification in writing. Do not make system modifications, including operating parameters and control settings, without prior approval of the Government.

3.9 TRAINING

Conduct a training course for 6 operating staff members designated by the Government in the maintenance and operation of the system, including specified hardware and software. Conduct 8 hours of training at the project site within 30 days after successful completion of the performance verification test. The Government reserves the right to make audio and visual recordings (using Governemnt supplied equipment)of the training sessions for later use. Provide audiovisual equipment and other training materials and supplies required to conduct training. A training day is defined as 8 hours of classroom instruction, including two 15 minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

3.9.1 Training Documentation

Prepare training documentation consisting of:

a. Course Attendee List: Develop the list of course attendees in coordination with and signed by the HVAC shop supervisor.

b. Training Manuals: Provide training manuals which include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson. When presenting portions of the course material by audiovisuals, deliver copies of those audiovisuals as a part of the printed training manuals.

3.9.2 Training Course Content

For guidance in planning the required instruction, assume that attendees will have a high school education, and are familiar with HVAC systems. During the training course, cover all of the material contained in the Operating and Maintenance Instructions, the layout and location of each controller enclosure, the layout of one of each type of equipment and the locations of each, the location of each control device external to the panels, the location of the compressed air station, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. Present the results of the performance verification test and the Start-Up Testing Report as benchmarks of HVAC control system performance by which to measure operation and maintenance effectiveness.

3.9.3 Training Documentation Submittal Requirements

Submit hardcopy training manuals and all training materials on CD-ROM. Provide one hardcopy manual for each trainee on the Course Attendee List and 2 additional copies for archive at the project site. Provide 2 copies

of the Course Attendee List with the archival copies. Training Documentation may be submitted as a Technical Data Package.

APPENDIX A

QC CHECKLIST FOR BACNET SYSTEMS		
This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such. Instructions: Initial each item in the space provided (|____|) verifying that the requirement has been met.		
This checklist is for (circle one:) Pre-Construction QC Checklist Submittal Post-Construction QC Checklist Submittal Close-out QC Checklist Submittal		
Items verified for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:		
1	All DDC Hardware is numbered on Control System Schematic Drawings.	|____|
2	Signal lines on Control System Schematic are labeled with the signal type.	|____|
3	Local Display Panel (LDP) Locations are shown on Control System Schematic drawings.	|____|
Items verified for Post-Construction and Closeout QC Checklist Submittals:		
4	All sequences are performed as specified using DDC Hardware.	|____|
5	Training schedule and course attendee list has been developed and coordinated with shops and submitted.	|____|
Items verified for Closeout QC Checklist Submittal:		
6	Final As-built Drawings, including all Points Schedule drawings, accurately represent the final installed system.	|____|
7	Programming software has been submitted for all programmable controllers.	|____|
8	All software has been licensed to the Government.	

QC CHECKLIST FOR BACNET SYSTEMS		
9	O&M Instructions have been completed and submitted.	|____|
10	Training course has been completed.	|____|
11	All DDC Hardware is installed on a BACnet ASHRAE 135 network using either MS/TP in accordance with Clause 9 or IP in accordance with Annex J.	|____|
12	All DDC Hardware is BTL listed.	|____|
13	Communication between DDC Hardware is only via BACnet using standard services, except as specifically permitted by the specification. Non-standard services have been fully documented in the DDC Hardware Schedule.	|____|
14	Scheduling, Alarming, and Trending have been implemented using the standard BACnet Objects for these functions.	|____|
15	All Properties indicated as required to be Writeable are Writeable and Overrides have been provided as indicated	|____|
	_____ _____	
	(QC Representative Signature) (Date)	

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 23 09 13

INSTRUMENTATION AND CONTROL DEVICES FOR HVAC
11/15

PART 1 GENERAL

1.1 SUMMARY

This section provides for the instrumentation control system components excluding direct digital controllers, network controllers, gateways etc. that are necessary for a completely functional automatic control system. When combined with a Direct Digital Control (DDC) system, the Instrumentation and Control Devices covered under this section must be a complete system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and indicated.

a. Install hardware to perform the control sequences as specified and indicated and to provide control of the equipment as specified and indicated.

b. Install hardware such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.

c. Install and configure hardware such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the installing Contractor.

1.1.1 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.2 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere.

Section 01 30 00 ADMINISTRATIVE REQUIREMENTS

Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASME INTERNATIONAL (ASME)

ASME B40.100	(2013) Pressure Gauges and Gauge Attachments

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 142	(2007; Errata 2014) Recommended Practice for Grounding of Industrial and Commercial Power Systems - IEEE Green Book

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code
NFPA 90A	(2018) Standard for the Installation of Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 5085-3	(2006; Reprint Nov 20121) Low Voltage Transformers - Part 3: Class 2 and Class 3 Transformers

1.4 SUBMITTALS

Submittal requirements are specified in Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

1.5 DELIVERY AND STORAGE

Store and protect products from the weather, humidity, and temperature variations, dirt and dust, and other contaminants, within the storage condition limits published by the equipment manufacturer.

1.6 INPUT MEASUREMENT ACCURACY

Select, install and configure sensors, transmitters and DDC Hardware such that the maximum error of the measured value at the input of the DDC hardware is less than the maximum allowable error specified for the sensor or instrumentation.

1.7 SUBCONTRACTOR SPECIAL REQUIREMENTS

Perform all work in this section in accordance with the paragraph entitled CONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS.

PART 2 PRODUCTS

2.1 EQUIPMENT

2.1.1 General Requirements

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1.2 Operation Environment Requirements

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

2.1.2.1 Pressure

Pressure conditions normally encountered in the installed location.

2.1.2.2 Vibration

Vibration conditions normally encountered in the installed location.

2.1.2.3 Temperature

a. Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.

b. Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.

2.1.2.4 Humidity

10 to 95 percent relative humidity, noncondensing and also humidity conditions outside this range normally encountered at the installed location.

2.2 WEATHERSHIELDS

Provide weathershields constructed of galvanized steel painted white, unpainted aluminum, aluminum painted white, or white PVC.

2.3 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.3.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates

for separation.

2.3.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.3.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

a. minimum 2 inch lay of twist

b. 100 percent shielded pairs

c. at least 300-volt insulation

d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation

e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.3.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.3.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

2.4 SENSORS AND INSTRUMENTATION

Unless otherwise specified, provide sensors and instrumentation which incorporate an integral transmitter. Sensors and instrumentation, including their transmitters, must meet the specified accuracy and drift requirements at the input of the connected DDC Hardware's analog-to-digital conversion.

2.4.1 Analog and Binary Transmitters

Provide transmitters which match the characteristics of the sensor. Transmitters providing analog values must produce a linear 4-20 mAdc, 0-10 Vdc signal corresponding to the required operating range and must have zero and span adjustment. Transmitters providing binary values must have dry contacts rated at 1A at 24 Volts AC.

2.4.2 Network Transmitters

Sensors and Instrumentation incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS when used in a BACnet network.

2.4.3 Temperature Sensors

Provide the same sensor type throughout the project. Temperature sensors may be provided without transmitters. Where transmitters are used, the range must be the smallest available from the manufacturer and suitable for the application such that the range encompasses the expected range of temperatures to be measured. The end to end accuracy includes the combined effect of sensitivity, hysterisis, linearity and repeatability between the measured variable and the end user interface (graphic presentation) including transmitters if used.

2.4.3.1 Sensor Accuracy and Stability of Control

2.4.3.1.1 Conditioned Space Temperature

Plus or minus 0.5 degree F over the operating range.

2.4.3.1.2 Unconditioned Space Temperature

a. Plus or minus 1 degree F over the range of 30 to 131 degrees F AND

b. Plus or minus 4 degrees F over the rest of the operating range.

2.4.3.1.3 Duct Temperature

Plus or minus 0.5 degree F

2.4.3.1.4 Outside Air Temperature

a. Plus or minus 2 degrees F over the range of -30 to +130 degrees F AND

b. Plus or minus 1 degree F over the range of 30 to 130 degrees F.

2.4.3.1.5 High Temperature Hot Water

Plus or minus 3.6 degrees F.

2.4.3.1.6 Chilled Water

Plus or minus 0.8 degrees F over the range of 35 to 65 degrees F.

2.4.3.1.7 Dual Temperature Water

Plus or minus 2 degrees F.

2.4.3.1.8 Heating Hot Water

Plus or minus 2 degrees F.

2.4.3.1.9 Condenser Water

Plus or minus 2 degrees F.

2.4.3.2 Transmitter Drift

The maximum allowable transmitter drift: 0.25 degrees F per year.

2.4.3.3 Point Temperature Sensors

Point Sensors must be encapsulated in epoxy, series 300 stainless steel, anodized aluminum, or copper.

2.4.3.4 Temperature Sensor Details

2.4.3.4.1 Room Type

Provide the sensing element components within a decorative protective cover suitable for surrounding decor.

2.4.3.4.2 Duct Probe Type

Ensure the probe is long enough to properly sense the air stream temperature.

2.4.3.4.3 Duct Averaging Type

Continuous averaging sensors must be one foot in length for each 1 square foot of duct cross-sectional area, and a minimum length of 5 feet.

2.4.3.4.4 Pipe Immersion Type

Provide minimum 3 inch immersion. Provide each sensor with a corresponding pipe-mounted sensor well, unless indicated otherwise. Sensor wells must be stainless steel when used in steel piping, and brass when used in copper piping.

2.4.3.4.5 Outside Air Type

Provide the sensing element rated for outdoor use

2.4.4 Differential Pressure Instrumentation

2.4.4.1 Differential Pressure Sensors

Provide Differential Pressure Sensors with ranges as indicated or as required for the application. Pressure sensor ranges must not exceed the high end range indicated on the Points Schedule by more than 50 percent. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The accuracy must be plus or minus 1 percent of full scale. The sensor must have a maximum drift of 2 percent per year

2.4.4.2 Differential Pressure Switch

Provide differential pressure switches with a user-adjustable setpoint which are sized for the application such that the setpoint is between 25 percent and 75 percent of the full range. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The switch must have two sets of contacts and each contact must have a rating greater than it's connected load. Contacts must open or close upon rise of pressure above the setpoint or drop of pressure below the setpoint as indicated.

2.4.5 Flow Sensors

2.4.5.1 Flow Switch

Flow switch must have a repetitive accuracy of plus or minus 10 percent of actual flow setting. Switch actuation must be adjustable over the operating flow range, and must be sized for the application such that the setpoint is between 25 percent and 75 percent of the full range.. The switch must have Form C snap-action contacts, rated for the application. The flow switch must have non flexible paddle with magnetically actuated contacts and be rated for service at a pressure greater than the installed conditions. Flow switch for use in sewage system must be rated for use in corrosive environments encountered.

2.4.6 Electrical Instruments

Provide Electrical Instruments with an input range as indicated or sized for the application. Unless otherwise specified, AC instrumentation must be suitable for 60 Hz operation.

2.4.6.1 Current Transducers

Current transducers must accept an AC current input and must have an accuracy of plus or minus 0.5 percent of full scale. The device must have a means for calibration. Current transducers for variable frequency applications must be rated for variable frequency operation.

2.4.6.2 Current Sensing Relays (CSRs)

Current sensing relays (CSRs) must provide a normally-open contact with a voltage and amperage rating greater than its connected load. Current sensing relays must be of split-core design. The CSR must be rated for operation at 200 percent of the connected load. Voltage isolation must be a minimum of 600 volts. The CSR must auto-calibrate to the connected load or be adjustable and field calibrated. Current sensors for variable frequency applications must be rated for variable frequency operation.

2.4.6.3 Voltage Transducers

Voltage transducers must accept an AC voltage input and have an accuracy of plus or minus 0.25 percent of full scale. The device must have a means for calibration. Line side fuses for transducer protection must be provided.

2.4.7 Oxygen Analyzer

Oxygen analyzer must consist of a zirconium oxide sensor for continuous sampling and an air-powered aspirator to draw flue gas samples. The analyzer must be equipped with filters to remove flue air particles. Sensor probe temperature rating must be 815 degrees F. The sensor assembly must be equipped for flue flange mounting.

2.5 INDICATING DEVICES

All indicating devices must display readings in English (inch-pound) units.

2.5.1 Thermometers

Provide bi-metal type thermometers at locations indicated. Thermometers must have either 9 inch long scales or 3.5 inch diameter dials, with

insertion, immersion, or averaging elements. Provide matching thermowells for pipe-mounted installations. Select scale ranges suitable for the intended service, with the normal operating temperature near the scale's midpoint. The thermometer's accuracy must be plus or minus 2 percent of the scale range.

2.5.1.1 Piping System Thermometers

Piping system thermometers must have brass, malleable iron or aluminum alloy case and frame, clear protective face, permanently stabilized glass tube with indicating-fluid column, white face, black numbers, and a 9 inch scale. Piping system thermometers must have an accuracy of plus or minus 1 percent of scale range. Thermometers for piping systems must have rigid stems with straight, angular, or inclined pattern. Thermometer stems must have expansion heads as required to prevent breakage at extreme temperatures. On rigid-stem thermometers, the space between bulb and stem must be filled with a heat-transfer medium.

2.5.1.2 Air-Duct Thermometers

Air-duct thermometers must have perforated stem guards and 45-degree adjustable duct flanges with locking mechanism.

2.5.2 Pressure Gauges

Provide pipe-mounted pressure gauges at the locations indicated. Gauges must conform to ASME B40.100 and have a 4 inch diameter dial and shutoff cock. Select scale ranges suitable for the intended service, with the normal operating pressure near the scale's midpoint. The gauge's accuracy must be plus or minus 2 percent of the scale range.

Gauges must be suitable for field or panel mounting as required, must have black legend on white background, and must have a pointer traveling through a 270-degree arc. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus 3 percent of scale range. Gauges must meet requirements of ASME B40.100.

2.5.3 Low Differential Pressure Gauges

Gauges for low differential pressure measurements must be a minimum of 3.5 inch (nominal) size with two sets of pressure taps, and must have a diaphragm-actuated pointer, white dial with black figures, and pointer zero adjustment. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus two percent of scale range.

2.6 OUTPUT DEVICES

2.6.1 Relays

Relays must have contacts rated for the intended application, indicator light, and dust proof enclosure. The indicator light must be lit when the coil is energized and off when coil is not energized.

Control relay contacts must have utilization category and ratings selected for the application. Each set of contacts must incorporate a normally open (NO), normally closed (NC) and common contact. Relays must be rated for a minimum life of one million operations.

2.7 USER INPUT DEVICES

User Input Devices, including potentiometers, switches and momentary contact push-buttons. Potentiometers must be of the thumb wheel or sliding bar type. Momentary Contact Push-Buttons may include an adjustable timer for their output. User input devices must be labeled for their function.

2.8 MULTIFUNCTION DEVICES

Multifunction devices are products which combine the functions of multiple sensor, user input or output devices into a single product. Unless otherwise specified, the multifunction device must meet all requirements of each component device. Where the requirements for the component devices conflict, the multifunction device must meet the most stringent of the requirements.

2.8.1 Current Sensing Relay Command Switch

The Current Sensing Relay portion must meet all requirements of the Current Sensing Relay input device. The Command Switch portion must meet all requirements of the Relay output device except that it must have at least one normally-open (NO) contact.

Current Sensing Relays used for Variable Frequency Drives must be rated for Variable Frequency applications unless installed on the source side of the drive. If used in this situation, the threshold for showing status must be set to allow for the VFD's control power when the drive is not enabled and provide indication of operation when the drive is enabled at minimum speed.

2.8.2 Space Sensor Module

Space Sensor Modules must be multifunction devices incorporating a temperature sensor and one or more of the following as specified and indicated on the Space Sensor Module Schedule:

a. A temperature indicating device.

b. A User Input Device which must adjust a temperature setpoint output.

c. A User Input Momentary Contact Button and an output to the control system indicating zone occupancy.

d. A three position User Input Switch labeled to indicate heating, cooling and off positions ('HEAT-COOL-OFF' switch) and providing corresponding outputs to the control system.

e. A two position User Input Switch labeled with 'AUTO' and 'ON' positions and providing corresponding output to the control system..

f. A multi-position User Input Switch with 'OFF' and at least two fan speed positions and providing corresponding outputs to the control system.

Space Sensor Modules cannot contain mercury (Hg).

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 General Installation Requirements

Perform the installation under the supervision of competent technicians regularly employed in the installation of DDC systems.

3.1.1.1 Device Mounting Criteria

All devices must be installed in accordance with manufacturer's recommendations and as specified and indicated. Control devices to be installed in piping and ductwork must be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Strap-on temperature sensing elements must not be used except as specified. Spare thermowells must be installed adjacent to each thermowell containing a sensor and as indicated. Devices located outdoors must have a weathershield.

3.1.1.2 Labels and Tags

Match labels and tags to the unique identifiers indicated on the As-Built drawings. Label all enclosures and instrumentation. Tag all sensors and actuators in mechanical rooms. Tag airflow measurement arrays to show flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient. Tag duct static pressure taps at the location of the pressure tap. Provide plastic or metal tags, mechanically attached directly to each device or attached by a metal chain or wire. Labels exterior to protective enclosures must be engraved plastic and mechanically attached to the enclosure or instrumentation. Labels inside protective enclosures may attached using adhesive, but must not be hand written.

3.1.2 Weathershield

Provide weathershields for sensors located outdoors. Install weathershieldssuch that they prevent the sun from directly striking the sensor and prevent rain from directly striking or dripping onto the sensor. Install weather shields with adequate ventilation so that the sensing element responds to the ambient conditions of the surroundings. When installing weathershields near outside air intake ducts, install them such that normal outside air flow does not cause rainwater to strike the sensor.

3.1.3 Indication Devices Installed in Piping and Liquid Systems

Provide snubbers for gauges in piping systems subject to pulsation. For gauges for steam service use pigtail fittings with cock. Install thermometers and temperature sensing elements in liquid systems in thermowells. Provide spare Pressure/Temperature Ports (Pete's Plug) for all temperature and pressure sensing elements installed in liquid systems for calibration/testing.

3.1.4 Switches

3.1.4.1 Temperature Limit Switch

Provide a temperature limit switch (freezestat) to sense the temperature at the location indicated. Provide a sufficient number of temperature limit

switches (freezestats) to provide complete coverage of the duct section but no less than 1 foot in length per square foot of cross sectional area. Install manual reset limit switches in approved, accessible locations where they can be reset easily. Install temperature limit switch (freezestat) sensing elements in a side-to-side (not top-to-bottom) serpentine pattern with the relay section at the highest point and in accordance with the manufacturer's installation instructions.

3.1.4.2 Hand-Off Auto Switches

Wire safety controls such as smoke detectors and freeze protection thermostats to protect the equipment during both hand and auto operation.

3.1.5 Temperature Sensors

Install temperature sensors in locations that are accessible and provide a good representation of sensed media. Installations in dead spaces are not acceptable. Calibrate and install sensors according to manufacturer’s instructions. Select sensors only for intended application as designated or recommended by manufacturer.

3.1.5.1 Immersion Temperature Sensors

Provide thermowells for sensors measuring piping, tank, or pressure vessel temperatures. Locate wells to sense continuous flow conditions. Do not install wells using extension couplings. When installed on insulated piping, provide stand enclosure or stand off fitting to accomodate the thickness of the pipe insulation and allow for maintenance or replacement of the sensor or wiring terminations. Where piping diameters are smaller than the length of the wells, provide wells in piping at elbows to sense flow across entire area of well. Wells must not restrict flow area to less than 70 percent of pipe area. Increase piping size as required to avoid restriction. Provide the sensor well with a heat-sensitive transfer agent between the sensor and the well interior ensuring contact between the sensor and the well.

3.1.5.2 Outside Air Temperature Sensors

Provide outside air temperature sensors on the building's north side with a protective weather shade that does not inhibit free air flow across the sensing element, and protects the sensor from snow, ice, and rain. Location must not be near exhaust hoods and other areas such that it is not influenced by radiation or convection sources which may affect the reading. Provide a shield to shade the sensor from direct sunlight.

3.1.6 Thermometers and Gauges

3.1.6.1 Thermometers

Mount devices to allow reading while standing on the floor or ground, as applicable.

3.1.7 Wire and Cable

Provide complete electrical wiring for the Control System, including wiring to transformer primaries. Wire and Cable must be installed without splices between control devices and in accordance with NFPA 70 and NFPA 90A. Instrumentation grounding must be installed per the device manufacturer's instructions and as necessary to prevent ground loops, noise, and surges

from adversely affecting operation of the system. Test installed ground rods as specified in IEEE 142. Cables and conductor wires must be tagged at both ends, with the identifier indicated on the shop drawings. Electrical work must be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and as indicated. Wiring external to enclosures must be run in raceways

Install control circuit wiring not in raceways in a neat and safe manner. Wiring must not use the suspended ceiling system (including tiles, frames or hangers) for support. Where conduit or raceways are required, control circuit wiring must not run in the same conduit/raceway as power wiring over 50 volts. Run all circuits over 50 volts in conduit, metallic tubing, covered metal raceways, or armored cable.

-- End of Section --

SECTION 23 09 23.02

BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system, except for the front end which is existing. Modify existing front end control system to integrate the systems being provided. Provide Other building-level systems are specified and shown and in accordance with Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

1.1.1 System Requirements

Provide a system meeting the requirements of both Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC and this Section and with the following characteristics:

a. Except for Gateways, the control system must be an open implementation of BACnet technology using ASHRAE 135 as the communications protocol. The system must use standard ASHRAE 135 Objects and Properties . The system must use standard ASHRAE 135 Services exclusively for communication over the network. Gateways to packaged units must communicate with other DDC hardware using ASHRAE 135 exclusively and may communicate with packaged equipment using other protocols. The control system must be installed such that any two devices on the internetwork can communicate using standard ASHRAE 135 Services.

b. Install and configure control hardware to provide ASHRAE 135 Objects and Properties as indicated and as needed to meet the requirements of this specification.

c. DDC requirements for Newport:
- Direct Digital Controls (DDC) shall be a first-tier subcontractor to the prime contractor and shall not be affiliated with any other subcontractor.
- DDC first-tier subcontractor shall be Johnson Controls, Inc. (JCI) or a JCI-certified Metasys ABCS (Authorized Building Controls Specialist) company. If an ABCS company is selected, the prime contractor shall submit ABCS certificate to the Government for review. The Government reserves the right to reject an ABCS for lack of qualifications and if this occurs, the prime contractor shall hire JCI directly.
- All new controls shall be Johnson Controls, Inc. (JCI) Metasys Extended Architecture with Metasys "U/I" ("MUI") graphics. All controllers (primary, secondary, unitary, etc.) shall be of the latest Metasys line, (SNE, CGM, CVM, XPM, etc.). [Note 'SNC' controllers shall NOT be used.]
- Factory controls are prohibited with the exception of chillers, boilers, closed loop cooling towers, chemical treatment systems, and stand-alone DX equipment. Provide BACnet MS/TP integration to DDC.
- All new building control systems shall be tied into the existing Naval Station Newport DDC LAN, currently at Metasys Version 12 with ADX server.
- Control network shall be MS/TP; provide cabling, BACnet

communication cards, etc. as required for a fully functioning network. Supervisory controller(s) shall be the only IP addressable item(s).
- Provide CISCO communication switches (models IE-2000-4TS-G-B, IE-2000-8TC-G-B, WS-C3850-12S, C9300-24S, or newer versions) as required with CISCO power supply. Provide SFP modules as required to make all connections. Minimum one switch per network engine + one at fiber entry point. To connect the new system to the base wide network, also provide Cisco switch/SFP modules, fiber optic cables, etc. as required in the nearest network hub building.
- Provide lockable enclosures for supervisory DDC controller and separate lockable enclosure for CISCO communication switch.
- Provide Cat 6e cable and fiber optic cable / patch cords as required to complete the building's DDC infrastructure and connect to the base-wide network.
- Provide a full points list as part of the DDC submittal. For third party points connected via BACnet (such as chillers, boilers, etc.), the prime contractor shall obtain points list from the manufacturer, and coordinate handoff/point translation from manufacturer to JCI to ensure only necessary and fully described points are mapped to Metasys.
- Comply with Naval Station Newport point naming standardization (mimics JCI standard naming convention) as well as Naval Station Newport alarm standardization.
- Coordinate all work, point naming (mimics JCI standard naming convention), alarm structure, uploads/downloads, etc. during construction with the Navy's DDC Expert on site at Naval Station Newport.
- All work shall conform to the existing Naval Station Newport DDC standards as outlined in the NSN DDC Standards document. This includes but is not limited to alarm priorities, alarm message text, Graphics page configuration and naming, UI equipment definition configuration and naming, Complete UI configuration of relationships between equipment and equipment serving spaces and updating overview graphics pages as they relate to this project.
- All work at any of the Naval War College buildings shall have every DDC component under the Navy War College authorization category.
- Provide two separate communication buses, each with its own supervisory controller/engine. All JCI secondary controllers shall be on one BACnet MS/TP bus with dedicated SNE(s) (Series Network Engine(s)). All third-party devices (chillers, boilers, VFDs, any terminal unit with factory controls shall be configured for BACnet MS/TP and be on a dedicated bus separate from the secondary controller bus and have its own dedicated SNE. Unless otherwise specified, provide 2200 series SNEs.
- Submit pre-bid RFI's if any of the specification language is unclear.
- COORDINATION MEETINGS: A series of special coordination meetings are required prior to DDC programming. The purpose of these meetings is to at a minimum (1) ensure the DOR's intent is met, (2) ensure written sequences match the actual programming, (3) provide an opportunity for the DDC subcontractor to make recommendations for minor sequence changes to NAVFAC and the DOR for discussion. The intent is to collaborate as early as possible to avoid problematic mechanical acceptance testing at the end of the project. Specifically, the aim is to refine the language in the sequences of operation to ensure equipment is programmed effectively and efficiently and in keeping with the engineer's intent. Prior to formal submission of the DDC submittal package, a meeting or meetings will be held to review the DDC design requirements and sequences of operation. Then, after

submission of DDC submittal package and prior to any DDC programming, additional meetings shall occur to review the content of the DDC submittal to ensure that all parties agree moving forward. The DDC subcontractor (JCI's system engineer, and others as required) and the Prime contractor shall attend these meeting which will also include the NAVFAC mechanical engineer, the NAVFAC DDC technician, the Designer of Record mechanical engineer. In addition, as required, the Prime contractor shall direct any manufacturer who has third party BACnet controls (e.g. chiller manufacturer, etc.) to attend the appropriate parts of these meetings. Expect minor modifications to be made to the sequence of operation language - this, and the resulting adjustments to how systems will be programmed, will be done at no additional cost to the government. Expect the total hours of these coordination meetings not to exceed 16 hours. (Note: These meetings are solely for discussion of sequences of operation and DDC drawing submittal. DDC attendance at other typical coordination meetings with the Prime contractor, for TAB and Commissioning support, etc., shall also be provided). All programming shall exactly reflect the narrative language in the written sequence of operation in the DDC submittal. After approval of the DDC submittal, any changes to the sequences or programming shall be RFI'd (Request for Information) by the DDC subcontractor to the Government as soon as possible.
- DDC requirements for Performance Verification Testing (PVT): In addition to the various requirements in the specifications for PVT (such as 23 09 00, 3.6 PERFORMANCE VERIFICATION TESTING, and other sections), the following is required. In general, the PVT shall be performed in 2 phases: pre-functional (normally not Government-witnessed) and functional (Government-witnessed). The functional PVT shall be a demonstration BY the Contractor TO the Government that all systems are working properly, efficiently, and in accordance with the contract documents, and approved DDC submittal. Functional PVT shall show that all aspects of the controls (graphics, programming, sequences, point-to-point operation, alarms, schedules, trends, BACnet connections, etc.) have been pre-checked, vetted, proven, etc. PRIOR TO the functional PVT demonstration to the Government. The functional PVT shall NOT be a troubleshooting session. If, during functional PVT, it becomes apparent that systems have not been pre-verified, and a significant amount of real-time troubleshooting is required, the Government reserves the unilateral right to terminate the PVT session and the Contractor shall attend to all issues and reschedule functional PVT at no additional cost to the Government.
- During the functional phase of PVT, in addition to the JCI 'system technician' attending, the JCI 'system engineer' shall also attend.

1.1.2 Verification of Specification Requirements

Review all specifications related to the control system installation and advise the Contracting Officer of any discrepancies before performing any work. If Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC or any other Section referenced in this specification is not included in the project specifications advise the Contracting Officer and either obtain the missing Section or obtain Contracting Officer approval before performing any work.

1.2 REFERENCES

The publications listed below form a part of this specification to the

extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2016) BACnet—A Data Communication Protocol for Building Automation and Control Networks

BACNET INTERNATIONAL (BTL)

BTL Guide	(v.49; 2017) BACnet Testing Laboratory Implementation Guidelines

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.3	(2015; CORR 2017; AMD 1 2017) Ethernet

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-485	(1998a; R 2012) Electrical Characteristics of Generators and Receivers for Use in Balanced Digital Multipoint Systems

TRIDIUM, INC (TRIDIUM)

Niagara Framework	(2012) NiagaraAX User's Guide
Tridium Open NiCS	(2005) Understanding the NiagaraAX Compatibility Statement (NiCS)

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC Part 15	Radio Frequency Devices (47 CFR 15)

UNDERWRITERS LABORATORIES (UL)

UL 916	(2007; Reprint Aug 2014) Standard for Energy Management Equipment

1.3 DEFINITIONS

For definitions related to this section, see Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

1.4 SUBMITTALS

Submittal requirements related to this Section are specified in Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

PART 2 PRODUCTS

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1 NETWORK HARDWARE

2.1.1 BACnet Router

All BACnet Routers must be BACnet/IP Routers and must perform layer 3 routing of ASHRAE 135 packets over an IP network in accordance with ASHRAE 135 Annex J and Clause 6. The router must provide the appropriate connection to the IP network and connections to one or more ASHRAE 135 MS/TP networks. Devices used as BACnet Routers must meet the requirements for DDC Hardware, and must support the NM-RC-B BIBB.

2.1.2 BACnet Gateways

In addition to the requirements for DDC Hardware, the BACnet Gateway must meet the following requirements:

a. It must perform bi-directional protocol translation from one non-ASHRAE 135 protocol to ASHRAE 135. BACnet Gateways must incorporate a network connection to an ASHRAE 135 network (either BACnet over IP in accordance with Annex J or MS/TP) and a separate connection appropriate for the non-ASHRAE 135 protocol and media.

b. It must retain its configuration after a power loss of an indefinite time, and must automatically return to their pre-power loss state once power is restored.

c. It must allow bi-directional mapping of data between the non-ASHRAE 135 protocol and Standard Objects as defined in ASHRAE 135. It must support the DS-RP-B BIBB for Objects requiring read access and the DS-WP-B BIBB for Objects requiring write access.

d. It must support the DS-COV-B BIBB.

Although Gateways must meet DDC Hardware requirements they are not DDC Hardware and must not be used when DDC Hardware is required.

2.1.3 Ethernet Switch

Ethernet Switches must autoconfigure between 10,100 and 1000 megabits per second (MBPS).

2.2 CONTROL NETWORK WIRING

a. BACnet MS/TP communications wiring must be in accordance with ASHRAE 135. The wiring must use shielded, three wire (twisted-pair with reference) cable with characteristic impedance between 100 and 120 ohms. Distributed capacitance between conductors must be less than 30 pF per foot.

b. Building Control Network Backbone IP Network must use Ethernet media. Ethernet cables must be CAT-5e at a minimum and meet all requirements of IEEE 802.3 .

2.3 DIRECT DIGITAL CONTROL (DDC) HARDWARE

2.3.1 General Requirements

All DDC Hardware must meet the following requirements:

a. It must be locally powered and must incorporate a light to indicate the device is receiving power.

b. It must conform to the BTL Guide

c. It must be BACnet Testing Laboratory (BTL) Listed.

d. The Manufacturer's Product Data submittal for each piece of DDC Hardware must include the Protocol Implementation Conformance Statement (PICS) for that hardware as specified in Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

e. It must communicate and be interoperable in accordance with ASHRAE 135 and have connections for BACnet IP or MS/TP control network wiring.

f. Other than devices controlling terminal units or functioning solely as a BACnet Router, it must support DS-COV-B, DS-RPM-A and DS-RPM-B BIBBs.

g. Devices supporting the DS-RP-A BIBB must also support the DS-COV-A BIBB.

h. Application programs, configuration settings and communication information must be stored in a manner such that they persist through loss of power:

   (1) Application programs must persist regardless of the length of time power is lost.

   (2) Configured settings must persist for any loss of power less than 2,500 hours.

   (3) Communication information, including but not limited to COV subscriptions, event reporting destinations, Notification Class Object settings, and internal communication settings, must persist for any loss of power less than 2,500 hours.

i. Internal Clocks:

   (1) Clocks in DDC Hardware incorporating a Clock must continue to function for 120 hours upon loss of power to the DDC Hardware.

   (2) DDC Hardware incorporating a Clock must support the DM-TS-B or DM-UTC-B BIBB.

j. It must have all functionality indicated and required to support the application (Sequence of Operation or portion thereof) in which it is used, including but not limited to providing Objects as specified and as indicated on the Points Schedule.

k. In addition to these general requirements and the DDC Hardware Input-Output (I/O) Function requirements, all DDC Hardware must also meet any additional requirements for the application in which it is used (e.g. scheduling, alarming, trending, etc.).

l. It must meet FCC Part 15 requirements and have UL 916 or equivalent safety listing.

m. Device must support Commandable Objects to support Override requirements as detailed in PART 3 EXECUTION

n. User interfaces which allow for modification of Properties or settings must be password-protected.

o. Devices communicating BACnet MS/TP must meet the following requirements:

(1) Must have a configurable Max_Master Property.

(2) DDC Hardware other than hardware controlling a single terminal unit must have a configurable Max_Info_Frames Property.

(3) Must respond to any valid request within 50 msec with either the appropriate response or with a response of "Reply Postponed".

(4) Must use twisted pair with reference and shield (3-wire media) wiring.

p. Devices communicating BACnet/IP must use UDP Port 0xBAC0. Devices with configurable UDP Ports must default to 0xBAC0.

q. All Device IDs, Network Numbers, and BACnet MAC addresses of devices must be fully configurable without limitation, except MS/TP MAC addresses may be limited by ASHRAE 135 requirements.

r. DDC Hardware controlling a single terminal unit must have:

(1) Objects (including the Device Object) with an Object Name Property of at least 8 characters in length.

(2) A configurable Device Object Name.

(3) A configurable Device Object Description Property at least 16 characters in length.

s. Except for Objects in DDC Hardware controlling a single terminal unit, all Objects (including Device Objects) must:

(1) Have a configurable Object Name Property of at least 12 characters in length.

(2) Have a configurable Object Description Property of at least 24 characters in length.

t. For programmable DDC Harware, provide and license to the project site all programming software required to program the Hardware in accordance with Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

u. For programmable DDC Hardware, provide copies of the installed application programs (all software that is not common to every controller of the same manufacturer and model) as source code compatible with the supplied programming software in accordance with Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC. The submitted application program must be the complete application necessary for controller to function as installed and be sufficient to allow replacement of the installed controller with another controller of the same type.

2.3.2 Hardware Input-Output (I/O) Functions

DDC Hardware incorporating hardware input-output (I/O) functions must meet the following requirements:

2.3.2.1 Analog Inputs

DC Hardware analog inputs (AIs) must be implemented using ASHRAE 135 Analog Input Objects and perform analog to digital (A-to-D) conversion with a minimum resolution of 8 bits plus sign or better as needed to meet the accuracy requirements specified in Section 23 09 00. Signal conditioning including transient rejection must be provided for each analog input. Analog inputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. The AI must incorporate common mode noise rejection of at least 50 dB from 0 to 100 Hz for differential inputs, and normal mode noise rejection of at least 20 dB at 60 Hz from a source impedance of 10,000 ohms.

2.3.2.2 Analog Outputs

DDC Hardware analog outputs (AOs) must be implemented using ASHRAE 135 Analog Output Objects and perform digital to analog (D-to-A) conversion with a minimum resolution of 8 bits plus sign, and output a signal with a range of 4-20 mAdc or 0-10 Vdc. Analog outputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. DDC Hardware with Hand-Off-Auto (H-O-A) switches for analog outputs must provide for overriding the output through the range of 0 percent to 100 percent

2.3.2.3 Binary Inputs

DDC Hardware binary inputs (BIs) must be implemented using ASHRAE 135 Binary Input Objects and accept contact closures and must ignore transients of less than 5 milli-second duration. Protection against a transient 50VAC must be provided.

2.3.2.4 Binary Outputs

DDC Hardware binary outputs (BOs) must be implemented using ASHRAE 135 Binary Output Objects and provide relay contact closures or triac outputs for momentary and maintained operation of output devices. DDC Hardware with H-O-A switches for binary outputs must provide for overriding the output open or closed.

2.3.2.4.1 Relay Contact Closures

Closures must have a minimum duration of 0.1 second. Relays must provide at least 180V of isolation. Electromagnetic interference suppression must be provided on all output lines to limit transients to 50 Vac. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.4.2 Triac Outputs

Triac outputs must provide at least 180 V of isolation. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.5 Pulse Accumulator

DDC Hardware pulse accumulators must be implemented using either an ASHRAE 135 Accumulator Object or an ASHRAE 135 Analog Value Object where the Present_Value is the totalized pulse count. Pulse accumulators must accept contact closures, ignore transients less than 5 msec duration, protect against
transients of 50 VAC, and accept rates of at least 20 pulses per second.

2.3.2.6 ASHRAE 135 Objects for Hardware Inputs and Outputs

The requirements for use of ASHRAE 135 objects for hardware input and outputs includes devices where the hardware sensor or actuator is integral to the controller (e.g. a VAV box with integral damper actuator, a smart sensor, a VFD, etc.)

2.3.3 Local Display Panel (LDP)

The Local Display Panels (LDPs) must be DDC Hardware with a display and navigation buttons or a touch screen display, and must provide display and adjustment of ASHRAE 135 Properties as indicated on the Points Schedule and as specified. LDPs must be either BTL Listed as a B-OD, B-OWS, B-AWS, or be an integral part of another piece of DDC Hardware listed as a B-BC. For LDPs listed as B-OWS or B-AWS, the hardware must be BTL listed and the product must come factory installed with all applications necessary for the device to function as an LDP.

The adjustment of values using display and navigation buttons must be password protected.

2.3.4 Expansion Modules and Tethered Hardware

A single piece of DDC Hardware may consist of a base unit and also:

a. An unlimited number of hardware expansion modules, where the individual hardware expansion modules are designed to directly connect, both mechanically and electrically, to the base unit hardware. The expansion modules must be commercially available as an optional add-on to the base unit.

b. A single piece of hardware connected (tethered) to a base unit by a single cable where the cable carries a proprietary protocol between the base unit and tethered hardware. The tethered hardware must not contain control logic and be commercially available as an optional add-on to the base unit as a single package.

   Note that this restriction on tethered hardware does not apply to sensors or actuators using standard binary or analog signals (not a communications protocol); sensors or actuators using standard binary or analog signals are not considered part of the DDC Hardware.

Hardware capable of being installed stand-alone, or without a separate base unit, is DDC Hardware and must not be used as expansion modules or tethered hardware.

2.3.5 Supervisory Control Requirements

2.3.5.1 Scheduling Hardware

DDC Hardware used for scheduling must meet the following requirements:

a. It must be BTL Listed as a B-BC and support the SCHED-E-B BIBB.

b. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Calendar and Schedule Objects, such that a front end BTL listed as a B-AWS may create or delete Calendar and Schedule Objects. It is also preferred but not required that devices supporting the DM-OCD-B BIBB accept any valid value for properties of Calendar and Schedule Objects. Note that there are additional requirements in the EXECUTION Part of this Section for Devices which do not support the DM-OCD-B BIBB as specified.

c. The Date_List property of all Calendar Objects must be writeable.

d. The Present_Value Property of Schedule must support the following values: 1, 2, 3, 4.

2.3.5.2 Alarm Generation Hardware

DDC Hardware used for alarm generation must meet the following requirements:

a. Device must support the AE-N-I-B BIBB

b. The Recipient_List Property must be Writeable for all Notification Class Objects used for alarm generation.

c. For Event Enrollement Objects used for alarm generation, the following Properties must be Writeable:

   (1) Event_Parameters
   (2) Event_Enable
   (3) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writeable.

d. For all Objects implementing Intrinsic Alarming, the following Properties must be Writeable:

   (1) Time_Delay
   (2) High_Limit
   (3) Low_Limit
   (4) Deadband
   (5) Event_Enable
   (6) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writeable.

e. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Notification Class Objects and Event Enrollment Objects, such that a front end BTL listed as a B-AWS may create or delete Notification Class Objects and Event Enrollment Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Notification Class Objects Note that there are additional requirements in the EXECUTION Part of this Section for devices which

do not support the DM-OCD-B BIBB as specified.

f. Devices provided to meet the the requirements indicated under "Support for Future Alarm Generation" in the EXECUTION part of this specification must support the AE-N-E-B BIBB.

2.3.5.3 Trending Hardware

DDC Hardware used for collecting trend data must meet the following requirements:

a. Device must support Trend Log or Trend Log Multiple Objects.

b. Device must support the T-VMT-I-B BIBB.

c. Devices provided to meet the EXECUTION requirement for support of Future Trending must support the T-VMT-E-B BIBB.

d. The following properties of all Trend Log or Trend Log Multiple Objects must be present and Writeable:
   Start_Time
   Stop_Time
   Log_DeviceObjectProperty
   Log Interval Log interval must support an interval of at least 60 minutes duration.

e. Trend Log Objects must support using Intrinsic Reporting to send a BUFFER_FULL event.

f. The device must have a Notification Class Object for the BUFFER_FULL event. The Recipient_List Property must be Writeable.

g. Devices must support values of at least 1,000 for Buffer_Size Properties.

h. It is preferred, but not required, that devices support the DM-OCD-B BIBB on all Trend Log Objects, such that a front end BTL listed as a A-AWS may create or delete Trend Log Objects. It is also preferred, but not required that devices supporting the DM-OCD-B BIBB accept any valid value as an initial value for properties of Trend Log Objects. Note that there are additional EXECUTION requirements for devices which do not support the DM-OCD-B BIBB as specified.

PART 3 EXECUTION

3.1 CONTROL SYSTEM INSTALLATION3.1.1 Building Control Network (BCN)

Install the Building Control Network (BCN) as a single BACnet internetwork consisting of a single IP network as the BCN Backbone and zero or more BACnet MS/TP networks. Note that in some cases there may only be a single device on the BCN Backbone.

Except as permitted for the non-BACnet side of Gateways, use exclusively ASHRAE 135 networks.

3.1.1.1 Building Control Network IP Backbone

Install IP Network Cabling in conduit. Install Ethernet Switches in lockable enclosures. Install the Building Control Network (BCN) IP

Backbone such that it is available at the Facility Point of Connection (FPOC) location . When the FPOC location is a room number, provide sufficient additional media to ensure that the Building Control Network (BCN) IP Backbone can be extended to any location in the room.

Use UDP port 0xBAC0 for all BACnet traffic on the IP network.

3.1.1.2 BACnet MS/TP Networks

When using MS/TP, provide MS/TP networks in accordance with ASHRAE 135 and in accordance with the ASHRAE 135 figure "Mixed Devices on 3-Conductor Cable with Shield" (Figure 9-1.4 in the 2012 version of ASHRAE 135). Ground the shield at the BACnet Router and at no other point. Ground the reference wire at the BACnet Router through a 100 ohm resistor and do not ground it at any other point. In addition:

a. Provide each segment in a doubly terminated bus topology in accordance with TIA-485.

b. Provide each segment with 2 sets of network bias resistors in accordance with ASHRAE 135, with one set of resistors at each end of the MS/TP network.

c. Use 3 wire (twisted pair and reference) with shield media for all MS/TP media installed inside. Use fiber optic isolation in accordance with ASHRAE 135 for all MS/TP media installed outside buildings, or between multiple buildings.

d. For 18 AWG cable, use segments with a maximum length of 4000 ft. When using greater distances or different wire gauges comply with the electrical specifications of TIA-485.

e. For each controller that does not use the reference wire provide transient suppression at the network connection of the controller if the controller itself does not incorporate transient suppression.

f. Install no more than 32 devices on each MS/TP segment. Do not use MS/TP to MS/TP routers.

g. Connect each MS/TP network to the BCN backbone via a BACnet Router.

h. For BACnet Routers, configure the MS/TP MAC address to 0. Assign MAC Addresses to other devices consecutively beginning at 1, with no gaps.

i. Configure the Max_Master Property of all devices to be 31.

3.1.1.3 Building Control Network (BCN) Installation

Provide a building control network meeting the following requirements:

a. Install all DDC Hardware connected to the Building Control Network.

b. Where multiple pieces of DDC Hardware are used to execute one sequence, install all DDC Hardware executing that sequence on a single MS/TP network dedicated to that sequence.

c. Traffic between BACnet networks must be exclusively via BACnet routers.

3.1.2 DDC Hardware

Install all DDC Hardware that connects to an IP network in lockable enclosure. Install other DDC Hardware that is not in suspended ceilings in lockable enclosures. For all DDC hardware with a user interface, coordinate with site to determine proper passwords and configure passwords into device.

a. Except for zone sensors (thermostats), install all Tethered Hardware within 6 feet of its base unit.

b. Install and configure all BTL-Listed devices in a manner consistent with their BTL Listing such that the device as provided still meets all requirements necessary for its BTL Listing.

c. Install and configure all BTL-Listed devices in a manner consistent with the BTL Device Implementation Guidelines such that the device as provided meets all those Guidelines.

3.1.2.1 Device Identifiers, Network Addresses, and IP addresses

a. Do not use any Device Identifier or Network Number already used by another BACnet system at the project site. Coordinate Device IDs and Network Numbers with the installation. .

3.1.2.2 Object Name Property and Object Description Property

Configure the Object_Names and Object_Descriptions properties of all Objects (including Device Objects) as indicated on the Points Schedule (Point Name and Point Description) and as specified. At a minimum:

a. Except for DDC Hardware controlling a single terminal unit, configure the Object_Name and Object_Description properties of all Objects (including Device Objects) as indicated on the Points Schedule and as specified.

b. In DDC Hardware controlling a single terminal unit, configure the Device Object_Name and Device Object_Description as indicated on the Points Schedule and as specified.

When Points Schedule entries exceed the length limitations in the device, notify the contracting officer and provide recommended alternatives for approval.

3.1.2.3 Hand-Off-Auto (H-O-A) Switches

Provide Hand-Off-Auto (H-O-A) switches as specified and as indicated on the Points Schedule. Provide H-O-A switches that are integral to the controller hardware, an external device co-located with (in the same enclosure as) the controller, integral to the controlled equipment, or an external device co-located with (in the same enclosure as) the controlled equipment.

a. For H-O-A switches integral to DDC Hardware, meet the requirements specified in paragraph DIRECT DIGITAL CONTROL (DDC) HARDWARE.

b. For external H-O-A switches used for binary outputs, provide for overriding the output open or closed.

c. For eternal H-O-A switches used for analog outputs, provide for

overriding through the range of 0 percent to 100 percent.

3.1.2.4 Local Display Panels

Provide LDPs to display and override values of ASHRAE 135 Object Properties as indicated on the Points Schedule. Install LDPs displaying points for anything other than a terminal unit in the same room as the equipment. Install LDPs displaying points for only terminal units . For LDPs using WriteProperty to commandable objects to implement an override, write values with priority 10.

3.1.2.5 MS/TP Slave Devices

Configure all MS/TP devices as Master devices. Do not configure any devices to act as slave devices.

3.1.2.6 Change of Value (COV) and Read Property

a. To the greatest extent possible, configure all devices to support the SubscribeCOV service (the DS-COV-B BIBB). At a minimum, all devices supporting the DS-RP-B BIBB, other than devices controlling only a single terminal unit, must be configured to support the DS-COV-B BIBB.

b. Whenever supported by the server side, configure client devices to use the DS-COV-A BIBB.

3.1.2.7 Engineering Units

Configuee devices to use English (Inch-Pound) engineering units as follows:

a. Temperature in degrees F

b. Air or natural gas flows in cubic feet per minute (CFM)

c. Water in gallons per minute (GPM)

d. Steam flow in pounds per hour (pph)

e. Differential Air pressures in inches of water column (IWC)

f. Water, steam, and natural gas pressures in PSI

g. Enthalpy in BTU/lb

h. Heating and cooling energy in MBTU (1MBTU = 1,000,000 BTU))

i. Cooling load in tons (1 ton = 12,000 BTU/hour)

j. Heating load in MBTU/hour (1MBTU = 1,000,000 BTU)

k. Electrical Power: kilowatts (kW)

l. Electrical Energy: kilowatt-hours (kWh)

3.1.2.8 Occupancy Modes

Use the following correspondence between value and occupancy mode whenever an occupancy state or value is required:

a. OCCUPIED mode: a value of one
b. UNOCCUPIED mode: a value of two
c. WARM-UP/COOL-DOWN (PRE-OCCUPANCY) mode: a value of three

Note that elsewhere in this Section the Schedule Object is required to also support a value of four, which is reserved for future use. Also note that the behavior of a system in each of these occupancy modes is indicated in the sequence of operation for the system.

3.1.2.9 Use of BACnet Objects

Use only standard non-proprietary ASHRAE 135 Objects and services to accomplish the project scope of work as follows:

a. Use Analog Input or Analog Output Objects for all analog hardware I/O. Do not use Analog Value Object for analog hardware I/O) .

b. Use Binary Input or Binary Output Objects for all binary hardware I/O. Do not use Binary Value Objects for binary hardware I/O.

c. Use Analog Value Objects for analog setpoints.

d. Use Accumulator Objects or Analog Value Objects for pulse inputs.

e. For occupancy modes, use Multistate Value Objects and the correspondence between value and occupancy mode specified in paragraph OCCUPANCY MODES.

f. Use Schedule Objects and Calendar Objects for all scheduling. Use Trend Log Objects or Trend Log Multiple Objects for all trending and Notification Class Objects for trend log upload. Use a combination of Event Enrollment Objects,Intrinsic Alarming, and Notification Class Objects for alarm generation.

g. For all other points shown on the Points Schedule as requiring an ASHRAE 135 Object, use the Object type shown on the Points Schedule or, if no Object Type is shown, use a standard Object appropriate to the point.

3.1.2.10 Use of Standard BACnet Services

Except as noted in this paragraph, for all DDC Hardware use Standard BACnet Services as defined in this specification (which excludes some ASHRAE 135 services) exclusively for application control functionality and communication.

DDC Hardware that cannot meet this requirement may use non-standard services provided they can provide identical functionality using Standard BACnet Services when communicating with BACnet devices from a different vendor. When implementing non-standard services, document all non-standard services in the DDC Hardware Schedule as specified and as specified in Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

3.1.2.11 Device Application Configuration

a. For every property, setting or value shown on the Points Schedule or otherwise indicated as Configurable, provide a value that is retained through loss of power and can be changed via one or more of:

(1) BACnet services (including proprietary services)

(2) Hardware settings on the device

b. For every property, setting or value shown on the Points Schedule or otherwise indicated as Operator Configurable, provide a value that is retained through loss of power and can be changed via one or more of:

(1) A Writeable Property of a standard BACnet Object

(2) A Property of a standard BACnet Object that is Writeable when Out_Of_Service is TRUE and Out_Of_Service is Writeable.

3.1.3 Scheduling, Alarming, Trending, and Overrides

3.1.3.1 Scheduling

Configure schedules in BACnet Scheduling Objects to schedule systems as indicated on the Points Schedule and as specified using the indicated correspondence between value and occupancy mode. If no devices supports both the SCHED-E-B and DM-OCD-B BIBBS for Schedule Objects, provide 5 blank Schedule Objects in DDC Hardware BTL listed as B-BCs and supporting the SCHED-E-B BIBB for later use by the site.

3.1.3.2 Configuration of Alarm Generation

Configure alarm generation as indicated on the Points Schedule and as specified using Intrinsic Alarming in accordance with ASHRAE 135 or Algorithmic Alarming in accordance with ASHRAE 135. Alarmgeneration must meet the following requirements:

a. Send alarm events as Alarms (not Events).

b. Use the ConfirmedNotification Service for alarm events.

c. For alarm generation, support two priority levels for alarms: critical and non-critical. Configure the Priority of Notification Class Objects to use Priority 112 for critical and 224 for non-critical alarms.

d. Number of Notification Class Objects for Alarm Generation:

(1) If the device implements non-critical alarms, or if any Object in the device supports Intrinsic Alarms, then provide a single Notification Class Object specifically for (shared by) all non-critical alarms.

(2) If the device implements critical alarms, provide a single Notification Class Object specifically for (shared by) all critical alarms.

(3) If the device implements both critical and non-critical alarms, provide both Notification Class Objects (one for critical, one for non-critical).

(4) If the device controls equipment other than a single terminal unit, provide both Notification Class Objects (one for critical, one for non-critical) even if no alarm generation is required at time of installation.

e. For all intrinsic alarms configure the Limit_Enable Property to set both HighLimitEnable and LowLimitEnable to TRUE. If the specified alarm conditions are for a single-sided alarm (only High_Limit used or only Low_Limit used) assign a value to the unused limit such that the unused alarm condition will not occur.

f. For all objects supporting intrinsic alarming, even if no alarm generation is required during installation, configure the following Properties as follows:

(1) Notification_Class to point to the non-Critical Notification Class Object in that device.

(2) Limit_Enable to enable both the HighLimitEnable and LowLimitEnable

(3) Notify_Type to Alarm

g. Use of alarm generation types:

(1) Only use algorithmic alarm generation when intrinsic alarm generation is not supported by the device or object, or when the specific alarm conditions cannot be implemented using intrinsic alarm generation.

(2) Only use remote alarm generation when the alarm cannot be generated using intrinsic or local algorithmic alarm generation on the device containing the referenced property. If remote alarm generation is used, use the same DDC Hardware for all remote alarm generation within a single sequence.

3.1.3.3 Support for Future Alarm Generation

For every piece of DDC Hardware, support future alarm generation capabilities by supporting either intrinsic or additional algorithmic alarming. Provide one of the following:

a. Support intrinsic alarming for every Object used by the application in that device.

b. Support additional Event_Enrollment Objects. For DDC hardware controlling a single terminal unit, support at least one additional object. Otherwise, support at least 4 additional Objects. Support additional Event_Enrollment Objects via one of the following:

(1) Provide unused Event_Enrollment Objects on that device.

(2) Support the DM-OCD-B BIBB and the creation of sufficient Event_Enrollment Objects on that device.

(3) Provide one or more devices in the IP network that support the AE-N-E-B BIBB and have unused Event_Enrollment Objects.

(4) Provide one or more devices on the IP network that support the AE-N-E-B BIBB, the DM-OCD-B BIBB, and the creation of sufficient Event_Enrollment Objects.

The total number of Event_Enrollement Objects required by the project is the sum of the individual device requirements, and the distribution of

Event_Enrollement Objects among devices is not further restricted. (Note this allows a single device to contain many Event_Enrollement Objects satisfying the requirements for multiple devices.)

3.1.3.4 Trend Log Configuration

a. Configure trends in Trend Log or Trend Log Multiple Objects as indicated on the Points Schedule and as specified.

b. Configure all trend logs (including any provided to support future trends) to save data on regular intervals using the BUFFER_FULL event to request trend upload from the front end.

c. Configure Trend Log Objects with a minimum Buffer_Size property value of 1,000 and Trend Log Multiple Objects with a minimum Buffer_Size property value of 1,000 per point trended (for example, a Trend Log Multiple Object used to trend 3 points must have a Buffer_Size Property value of at least 3,000).

d. Configure a Notification Class Object in devices doing trending (including devices supporting future trends) to handle the BUFFER_FULL event.

e. When possible, trend each point using an Object in the device containing the point. When it is necessary to trend using a an Object in another device, all trends not on the same Device as the Object being trended must be on a singe device (i.e. all Trend Log and Trend Log Multiple Objects used for remote trending within a sequence must be on the same device).

f. For each trend log, including any trend logs provided to support future trending, configure the following properties as specified:

(1) Logging_Type: Set to Polling

(2) Stop_When_Full: Set to Wrap Around

(3) Buffer_Size: Set to 400 or greater.

(4) Notification_Threshold: Set to 90 percent of full

(5) Notification_Class: Set to the Notification Class Object in that device

(6) Event_Enable: Set to TRUE

(7) Log_Interval: Set to 15 minutes.

g. Future Trending support. Provide support for future trending:

(1) Provide one or more devices on the Building Control Network Backbone IP network which support both the T-VMT-E-B and DM-OCD-B BIBBs for Trend Log Objects. Provide sufficient devices to support the creation of at least one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit.

(2) Provide one additional Trend Log Object for every terminal unit plus 4 additional Trend Log Objects for every non-terminal unit in

one or more devices on the Building Control Network Backbone IP network that support the T-VMT-E-B BIBB for later use by the site.

(3) A combination of these two methods is permitted provided the total required number of Trend Log Objests is met.

3.1.3.5 Overrides

Provide an override for each point shown on the Points Schedule as requiring an override.

Unless otherwise approved, provide Commandable Objects to support all Overrides . With specific approval from the contracting officer, Overrides for points which are not hardware outputs and which are in DDC hardware controlling a single terminal unit may support overrides via an additional Object provided for the override. No other means of implementing Overrides may be used.

a. Where Commandable Objects are used, ensure that WriteProperty service requests with a Priority of 10 or less take precedence over the SEQUENCE VALUE and that WriteProperty service request with a priority of 11 or more have a lower precedence than the SEQUENCE VALUE.

b. For devices implementing overrides via additional Objects, provide Objects which are NOT Written to as part of the normal Sequence of Operations and are Writeable when Out_Of_Service is TRUE and Out_Of_Service is Writeable. Use this point as an Override of the normal value when Out_Of_Service is TRUE and the normal value otherwise. Note these Objects may be modified as part of the sequence via local processes, but must not be modified by local processes when Out_Of_Service is TRUE.

3.1.4 BACnet Gateways

The requirements in this paragraph do not permit the installation of hardware not meeting the other requirements of this section. All control hardware installed under this project must meet the requirements of this specification, including control hardware provided as part of a package unit or as part of equipment specified under another section. Only use gateways to connect to pre-existing control devices.

Provide BACnet Gateways to non-BACnet control hardware as required to connect existing non-BACnet packaged units and in accordance with the following:

a. Each gateway must communicate with and perform protocol translation for non-BACnet control hardware controlling one and only one package unit.

b. Connect one network port on the gateway to the Building Control Backbone IP Network or to a BACnet MS/TP network and the other port to the single piece of controlled equipment.

c. Configure gateways to map writeable data points in the controlled equipment to Writeable Properties of Standard Objects as indicated in the Points Schedule and as specified.

d. Configure gateway to map readable data points in the controlled equipment to Readable Properties of Standard Objectsas indicated in

the Points Schedule and as specified.

e. Configure gateway to support the DS-COV-B BIBB for all points mapped to BACnet Objects.

f. Do not use non-BACnet control hardware for controlling built-up units or any other equipment that was not furnished with factory-installed controls.

g. Do not use non-BACnet control hardware for system scheduling functions.

h. Non-BACnet network wiring connecting the gateway to the package unit must not exceed 10 feet in length and must connect to exactly two devices: the controlled equipment (packaged unit) and the gateway.

-- End of Section --

SECTION 23 09 93

SEQUENCES OF OPERATION FOR HVAC CONTROL
11/15

PART 1 GENERAL

1.1 DEFINITIONS

For definitions related to this Section, see Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

1.2 SUBMITTALS

Submittals related to this Section are specified in Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC.

PART 2 PRODUCTS

Products related to this Section are specified in Section 23 09 00 INTRUMENTATION AND CONTROL FOR HVAC and related Sections 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC and 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

PART 3 EXECUTION

3.1 SEQUENCES FOR HEAT GENERATION SYSTEMS3.1.1 Hydronic Secondary with Variable Speed Pump

Install DDC hardware to perform this Sequence of Operation and to provide SNVT inputs and outputs as specified and shown on the Points Schedule. Unless otherwise specified, all modulating control shall be proportional-integral (PI) control.

3.1.1.1 System Enable and Loop Enable:

a. This system shall monitor the enabled status of all systems served by this system. If one or more systems served by this system are enabled, this system shall be enabled (SYS-ENA). If all systems served by this system are not enabled, this system shall not be enabled.

b. When this system is enabled (SYS-ENA) the Pressure Control loop shall be enabled.

3.1.1.2 HAND-OFF-AUTO Switch

The hot water pump variable frequency drive (VFD) unit shall have an integral H-O-A switch:

3.1.1.2.1 HAND

With the H-O-A switch in HAND position, the pump starts and runs continuously. Pump speed shall be under manual-operator control.

3.1.1.2.2 OFF

With the H-O-A switch in OFF position, the pump stops.

3.1.1.2.3 AUTO

With the H-O-A switch in AUTO position, the pump shall run subject to the Hot Water Pump Start/Stop (HW-PMP-SS) command and pump speed shall be under control of the DDC system.

3.1.1.3 Proofs and Safeties

DDC Hardware shall monitor all proofs and safeties.

3.1.1.3.1 Proofs

None

3.1.1.3.2 Safeties

None

3.1.1.3.3 DDC Hardware Reset

DDC Hardware reset of all proofs and safeties shall be via a local binary push-button (RST-BUT) input to the DDC Hardware, via a remote command to the DDC Hardware via SNVT or both (where the Contractor provides both reset functions and the operator can use either one to perform the reset), as shown on the Points Schedule drawing.

3.1.1.4 Pressure Control

When this loop is enabled the DDC Hardware shall modulate the pump variable frequency drive unit to maintain the pipe system pressure at setpoint as shown, as measured by the differential pressure tap and sensor as shown. When this loop is disabled, the DDC Hardware capacity modulation output to the VFD shall be zero percent.

-- End of Section --

SECTION 23 11 25

FACILITY GAS PIPING
11/08

PART 1 GENERAL

1.1 SUMMARY

This specification section applies to gas piping installed within buildings incidental underground piping under building, above ground steel piping and corrugated stainless steel tubing (CSST) both outside (up to 5 feet beyond exterior walls) and within buildings in compliance with NFPA 54/AGA Z223.1, "National Fuel Gas Code" , "Fuel Gas Piping".

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN GAS ASSOCIATION (AGA)

AGA ANSI B109.4	(2016) Self-Operated Diaphragm-Type Natural Gas Service Regulators for Nominal Pipe Size 1¼ inches (32 mm) and Smaller with Outlet Pressures of 2 psig (13.8 kPa) and Less
AGA XR0603	(2006; 8th Ed) AGA Plastic Pipe Manual for Gas Service
AGA Z223.1	(2012) National Fuel Gas Code

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.15/CSA 9.1	(2009; Addenda A 2012, Addenda B 2013; R 2014) Manually Operated Gas Valves for Appliances, Appliance Connector Valves and Hose End Valves
ANSI Z21.80/CSA 6.22	(2011; Addenda A 2012; R 2016) Line Pressure Regulators

AMERICAN PETROLEUM INSTITUTE (API)

API RP 2009	(2002; R 2007; 7th Ed) Safe Welding, Cutting, and Hot Work Practices in Refineries, Gasoline Plants, and Petrochemical Plants
API Spec 6D	(2014; Errata 1-2 2014; Errata 3-6 2015; ADD 1 2015; ADD 2 2016; Errata 7-8 2016; Errata 9 2017) Specification for Pipeline and Piping Valves
API Std 598	(2009) Valve Inspecting and Testing

API Std 607	(2016) Testing of Valves: Fire Test for Soft-Seated Quarter-Turn Valves

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 25-16	(2016) Earthquake-Activated Automatic Gas Shutoff Devices

ASME INTERNATIONAL (ASME)

ASME B1.1	(2003; R 2008) Unified Inch Screw Threads (UN and UNR Thread Form)
ASME B1.20.1	(2013) Pipe Threads, General Purpose (Inch)
ASME B16.11	(2016) Forged Fittings, Socket-Welding and Threaded
ASME B16.21	(2011) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.3	(2011) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.33	(2012; R 2017) Manually Operated Metallic Gas Valves for Use in Gas Piping Systems Up to 125 psi, (Sizes NPS 1/2 - NPS 2)
ASME B16.39	(2014) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300
ASME B16.5	(2017) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.9	(2012) Standard for Factory-Made Wrought Steel Buttwelding Fittings
ASME B18.2.1	(2012; Errata 2013) Square and Hex Bolts and Screws (Inch Series)
ASME B18.2.2	(2015) Nuts for General Applications: Machine Screw Nuts, Hex, Square, Hex Flange, and Coupling Nuts (Inch Series)
ASME B31.8	(2014; Supplement 2014) Gas Transmission and Distribution Piping Systems
ASME B31.9	(2017) Building Services Piping
ASME BPVC SEC IX	(2010) BPVC Section IX-Welding and Brazing Qualifications
ASME BPVC SEC VIII D1	(2015) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

ASTM INTERNATIONAL (ASTM)

ASTM A193/A193M	(2016) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A194/A194M	(2017a) Standard Specification for Carbon Steel, Alloy Steel, and Stainless Steel Nuts for Bolts for High-Pressure or High-Temperature Service, or Both
ASTM A53/A53M	(2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25	(2013) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-58	(2009) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54	(2018) National Fuel Gas Code
NFPA 58	(2017; ERTA 17-1) Liquefied Petroleum Gas Code
NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1981	(2008) Seismic Restraint Manual Guidelines for Mechanical Systems, 3rd Edition

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101	(2014; Rev C) Color Code for Pipelines and for Compressed Gas Cylinders
UFC 3-310-04	(2013; with Change 1) Seismic Design of Buildings

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

49 CFR 192	Transportation of Natural and Other Gas by Pipeline: Minimum Federal Safety Standards

UNDERWRITERS LABORATORIES (UL)

UL FLAMMABLE & COMBUSTIBLE	(2012) Flammable and Combustible Liquids and Gases Equipment Directory

1.3 SYSTEM DESCRIPTION

The gas piping system includes natural gas and piping and appurtenances from point of connection with supply system, as indicated, to gas operated equipment within the facility. Submit operation and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA, in three separate packages.

1.3.1 Gas Facility System and Equipment Operation

Include shop drawings showing piping layout, locations of system valves, gas line markers; step-by-step procedures for system start up, operation and shutdown (index system components and equipment to the system drawings); isolation procedures including valve operation to shutdown or isolate each section of the system (index valves to the system maps and provide separate procedures for normal operation and emergency shutdown if required to be different). Submit Data package No. 4.

1.3.2 Gas Facility System Maintenance

Include maintenance procedures and frequency for system and equipment; identification of pipe materials and manufacturer by locations, pipe repair procedures, and jointing procedures at transitions to other piping material or material from a different manufacturer. Submit Data Package No.4.

1.3.3 Gas Facility Equipment Maintenance

Include identification of valves, shut-offs, disconnects, and other equipment by materials, manufacturer, vendor identification and location; maintenance procedures and recommended tool kits for valves and equipment; recommended repair methods (i.e., field repair, factory repair, or replacement) for each valve and piece of equipment; and preventive maintenance procedures, possible failure modes and troubleshooting guide. Submit Data Package No. 3.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Gas Piping System; G

SD-03 Product Data

Pipe and Fittings; G

Gas Equipment Connectors; G

Gas Piping System; G

Pipe Coating Materials; G

Pressure Regulators; G

Risers; G

Transition Fittings; G

Valves; G

Warning and Identification Tape; G

SD-06 Test Reports

Testing; G

Pressure Tests; G

Test with Gas; G

SD-07 Certificates

Welders Procedures and Qualifications; G

Assigned Number, Letter, or Symbol; G

SD-08 Manufacturer's Instructions

PE Pipe and Fittings; G

Pipe Coating Materials; G

SD-10 Operation and Maintenance Data

Gas Facility System and Equipment Operation; G

Gas Facility System Maintenance; G

Gas Facility Equipment Maintenance; G

1.5 QUALITY ASSURANCE

Submit manufacturer's descriptive data and installation instructions for approval for compression-type mechanical joints used in joining dissimilar materials and for insulating joints. Mark all valves, flanges and fittings in accordance with MSS SP-25.

1.5.1 Welding Qualifications

a. Weld piping in accordance with qualified procedures using performance qualified welders and welding operators in accordance with API RP 2009, ASME BPVC SEC IX, and ASME B31.9. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.9. Notify the Contracting Officer at least 24 hours in advance of tests, and perform at the work site if practicable.

b. Submit a certified copy of welders procedures and qualifications metal and PE in conformance with ASME B31.9 for each welder and welding

operator. Submit the assigned number, letter, or symbol that will be used in identifying the work of each welder to the Contracting Officer.

1.5.2 Jointing Thermoplastic and Fiberglass Piping

Perform all jointing of piping using qualified joiners and qualified procedures in accordance with AGA XR0603. Furnish the Contracting Officer with a copy of qualified procedures and list of and identification symbols of qualified joiners. Submit manufacturer's installation instructions and manufacturer's visual joint appearance chart, including all PE pipe and fittings.

1.5.3 Shop Drawings

Submit drawings for complete Gas Piping System, within 30 days of contract award, showing location, size and all branches of pipeline; location of all required shutoff valves; and instructions necessary for the installation of gas equipment connectors and supports.

1.6 DELIVERY, STORAGE, AND HANDLING

1.6.1 Plastic Pipe

Handle, transport, and store plastic pipe and fittings carefully. Plug or cap pipe and fittings ends during transportation or storage to minimize dirt and moisture entry. Do not subject piping to abrasion or concentrated external loads. Discard PE pipe sections and fittings that have been damaged.

1.6.2 CSST Tubing

Handle, transport and store CSST tubing on the wooden spool or shipping container provided by the manufacturer. Insure tubing ends are capped during transportation and storage to minimize dirt and moisture entry. Discard any tubing segment and fitting that has been damaged.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Provide materials and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of the products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Asbestos or products containing asbestos are not allowed. Submit catalog data and installation instructions for pipe, valves, all related system components, pipe coating materials and application procedures. Conform to NFPA 54 and with requirements specified herein. Provide supply piping to appliances or equipment at least as large as the inlets thereof.

2.2 GAS PIPING SYSTEM AND FITTINGS

2.2.1 Steel Pipe, Joints, and Fittings

a. Pipe: Black carbon steel in accordance with ASTM A53/A53M, Schedule 40 , threaded ends for sizes 2 inches and smaller; otherwise, plain end beveled for butt welding.

b. Threaded Fittings: ASME B16.3, black malleable iron.

c. Socket-Welding Fittings: ASME B16.11, forged steel.

d. Butt-Welding Fittings: ASME B16.9, with backing rings of compatible material.

e. Unions: ASME B16.39, black malleable iron.

f. Flanges and Flanged Fittings: ASME B16.5 steel flanges or convoluted steel flanges conforming to ASME BPVC SEC VIII D1, with flange faces having integral grooves of rectangular cross sections which afford containment for self-energizing gasket material.

2.2.2 Sealants for Steel Pipe Threaded Joints

Provide joint sealing compound as listed in UL FLAMMABLE & COMBUSTIBLE, Class 20 or less. For taping, use tetrafluoroethylene tape conforming to UL FLAMMABLE & COMBUSTIBLE.

2.2.3 Warning and Identification

Provide pipe flow markings, warning and identification tape, and metal tags as required.

2.2.4 Flange Gaskets

Provide gaskets of nonasbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self-centering flat ring type, containing aramid fibers bonded with styrene butadiene rubber (SBR) or nitrile butadiene rubber (NBR) suitable for a maximum 600 degree F service, to be used for hydrocarbon service.

2.2.5 Pipe Threads

Provide pipe threads conforming to ASME B1.20.1.

2.2.6 Escutcheons

Provide chromium-plated steel or chromium-plated brass escutcheons, either one piece or split pattern, held in place by internal spring tension or set screw.

2.2.7 Gas Transition Fittings

a. Provide steel to plastic (PE) designed for steel-to-plastic with tapping tee or sleeve conforming to AGA XR0603 requirements for transitions fittings.. Coat or wrap exposed steel pipe with heavy plastic coating.

2.2.8 Insulating Pipe Joints

2.2.8.1 Insulating Joint Material

Provide insulating joint material between flanged or threaded metallic pipe systems where shown to control galvanic or electrical action.

2.2.8.2 Threaded Pipe Joints

Provide threaded pipe joints of steel body nut type dielectric unions with insulating gaskets.

2.2.8.3 Flanged Pipe Joints

Provide joints for flanged pipe consisting of full face sandwich-type flange insulating gasket of the dielectric type, insulating sleeves for flange bolts, and insulating washers for flange nuts.

2.3 VALVES

Provide lockable shutoff or service isolation valves conforming to the following:

2.3.1 Valves 2 Inches and Smaller

Provide valves 2 inches and smaller conforming to ASME B16.33 of materials and manufacture compatible with system materials used.

2.3.2 Valves 2-1/2 Inches and Larger

Provide valves 2-1/2 inches and larger of carbon steel conforming to API Spec 6D, Class 150.

2.4 RISERS

Provide manufacturer's standard riser, transition from plastic to steel pipe with 7 to 12 mil thick epoxy coating. Use swaged gas-tight construction with O-ring seals, metal insert, and protective sleeve. Provide wall-mounted riser supports .

2.5 PIPE HANGERS AND SUPPORTS

Provide pipe hangers and supports conforming to MSS SP-58.

2.6 LINE AND APPLIANCE REGULATORS AND SHUTOFF VALVES

Provide regulators conforming to , and ANSI Z21.80/CSA 6.22 for line pressure regulators. Provide shutoff valves conforming to ANSI Z21.15/CSA 9.1 for manually controlled gas shutoff valves .

2.7 NATURAL GAS SERVICE

2.7.1 Service Regulators

a. Provide ferrous bodied pressure regulators for individual service lines, capable of reducing distribution line pressure to pressures required for users. Provide service regulators conforming to AGA ANSI B109.4 CGA-6.18-M95 with full capacity internal relief . Set pressure relief at a lower pressure than would cause unsafe operation of any connected user.

b. Adjust regulators for liquified petroleum gas to 2.5 to 3 kPa 10 to 12 inches of water column, with pressure relief set at 4 kPa 16 inches of water column.

c. Provide regulator(s) having a single port with orifice diameter no

greater than that recommended by the manufacturer for the maximum gas flow rate at the regulator inlet pressure. Provide regulator valve vent of resilient materials designed to withstand flow conditions when pressed against the valve port, capable of regulating downstream pressure within limits of accuracy and limiting the buildup of pressure under no-flow conditions to 50 percent or less of the discharge pressure maintained under flow conditions. Provide a self-contained service regulator, and pipe not exceeding exceed 2 inch size.

2.8 SEISMIC PROVISIONS

Provide earthquake automatic gas shutoff valve conforming to ASCE 25-16, SMACNA 1981 or excess flow valve (EFV) conforming with CSA US 3-92 IAS U.S. Requirements 3-92 for Excess Flow Valves and UL listed or AGA listed or International Association of Plumbing and Mechanical Officials (IAPMO) listed. The earthquake valve may be either pendulum or ball construction .

2.9 BOLTING (BOLTS AND NUTS)

Stainless steel bolting; ASTM A193/A193M, Grade B8M or B8MA, Type 316, for bolts; and ASTM A194/A194M, Grade 8M, Type 316, for nuts. Dimensions of bolts, studs, and nuts must conform with ASME B18.2.1 and ASME B18.2.2 with coarse threads conforming to ASME B1.1, with Class 2A fit for bolts and studs and Class 2B fit for nuts. Bolts or bolt-studs must extend through the nuts and may have reduced shanks of a diameter not less than the diameter at root of threads. Bolts must have American Standard regular square or heavy hexagon heads; nuts must be American Standard heavy semifinished hexagonal.

2.10 GASKETS

Fluorinated elastomer, compatible with flange faces.

2.11 IDENTIFICATION FOR ABOVEGROUND PIPING

MIL-STD-101 for legends and type and size of characters. For pipes 3/4 inch od and larger, provide printed legends to identify contents of pipes and arrows to show direction of flow. Color code label backgrounds to signify levels of hazard. Make labels of plastic sheet with pressure-sensitive adhesive suitable for the intended application. For pipes smaller than 3/4 inch od, provide brass identification tags 1 1/2 inches in diameter with legends in depressed black-filled characters.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy or areas of conflict before performing the work.

3.2 GAS PIPING SYSTEM

Provide a gas piping system from the point of delivery, defined as the outlet of the service regulator , to the connections to each gas utilization device that is in compliance with NFPA 54..

3.2.1 Protection and Cleaning of Materials and Components

Protect equipment, pipe, and tube openings by closing with caps or plugs during installation. At the completion of all work, thoroughly clean the entire system.

3.2.2 Workmanship and Defects

Piping, tubing and fittings must be clear and free of cutting burrs and defects in structure or threading and must be thoroughly brushed and chip-and scale-blown. Repair of defects in piping, tubing or fittings is not allowed; replace defective items when found.

3.3 PROTECTIVE COVERING

3.3.1 Aboveground Metallic Piping Systems

3.3.1.1 Ferrous Surfaces

Touch up shop primed surfaces with ferrous metal primer. Solvent clean surfaces that have not been shop primed . Mechanically clean surfaces that contain loose rust, loose mill scale and other foreign substances and prime with ferrous metal primer . Finish primed surfaces with two coats of exterior oil paint .

3.3.1.2 Nonferrous Surfaces

Except for aluminum alloy pipe, do not paint nonferrous surfaces. Paint surfaces of aluminum alloy pipe and fittings to protect against external corrosion where they contact masonry, plaster, insulation, or are subject to repeated wettings by such liquids as water, detergents or sewage. Solvent-clean the surfaces and treat with vinyl type wash coat. Apply a first coat of aluminum paint and a second coat of alkyd gloss enamel or silicone alkyd copolymer enamel.

3.4 INSTALLATION

Install the gas system in conformance with the manufacturer's recommendations and applicable provisions of NFPA 54 and AGA XR0603, and as indicated. Perform all pipe cutting without damage to the pipe, with an approved type of mechanical cutter, unless otherwise authorized. Use wheel cutters where practicable. On steel pipe 6 inches and larger, an approved gas cutting and beveling machine may be used. Cut thermoplastic and fiberglass pipe in accordance with AGA XR0603.

3.4.1 Metallic Piping Installation

Bury underground piping a minimum of 18 inches below grade. Make changes in direction of piping with fittings only; mitering or notching pipe to form elbows and tees or other similar type construction is not permitted. Branch connection may be made with either tees or forged branch outlet fittings. Provide branch outlet fittings which are forged, flared for improvement of flow where attached to the run, and reinforced against external strains. Do not use aluminum alloy pipe in exterior locations or underground.

3.4.2 Connections Between Metallic and Plastic Piping

Connections between metallic and plastic piping are only allowed outside,

underground, and with approved transition fittings.

3.4.3 Aboveground Piping

Run aboveground piping as straight as practicable along the alignment and elevation indicated, with a minimum of joints, and separately supported from other piping system and equipment. Install exposed horizontal piping no farther than 6 inches from nearest parallel wall and at an elevation which prevents standing, sitting, or placement of objects on the piping.

3.4.4 Final Gas Connections

Unless otherwise specified, make final connections with rigid metallic pipe and fittings. Provide accessible gas shutoff valve and coupling for each gas equipment item.

3.4.5 Seismic Requirements

Support and brace piping and attached valves to resist seismic loads in conformance with ASCE 25-16as specified in UFC 3-310-04, and Sections 23 05 48.19 SEISMIC BRACING FOR HVAC.

3.5 PIPE JOINTS

Design and install pipe joints to effectively sustain the longitudinal pull-out forces caused by contraction of the piping or superimposed loads.

3.5.1 Threaded Metallic Joints

Provide threaded joints in metallic pipe with tapered threads evenly cut and made with UL approved graphite joint sealing compound for gas service or tetrafluoroethylene tape applied to the male threads only. Threaded joints up to 1-1/2 inches in diameter may be made with approved tetrafluoroethylene tape. Threaded joints up to 2 inches in diameter may be made with approved joint sealing compound. After cutting and before threading, ream pipe and remove all burrs. Caulking of threaded joints to stop or prevent leaks is not permitted.

3.5.2 Welded Metallic Joints

Conform beveling, alignment, heat treatment, and inspection of welds to NFPA 54. Remove weld defects and make repairs to the weld, or remove the weld joints entirely and reweld. After filler metal has been removed from its original package, protect and store so that its characteristics or welding properties are not affected adversely. Do not use electrodes that have been wetted or have lost any of their coating.

3.6 PIPE SLEEVES

Provide pipes passing through concrete or masonry walls or concrete floors or roofs with pipe sleeves fitted into place at the time of construction. Do not install sleeves in structural members except where indicated or approved. Make all rectangular and square openings as detailed. Extend each sleeve through its respective wall, floor or roof, and cut flush with each surface, except in mechanical room floors not located on grade where clamping flanges or riser pipe clamps are used. Extend sleeves in mechanical room floors above grade at least 4 inches above finish floor. Unless otherwise indicated, use sleeves large enough to provide a minimum clearance of 1/4 inch all around the pipe. Provide steel pipe for sleeves

in bearing walls, waterproofing membrane floors, and wet areas . Provide sleeves in nonbearing walls, floors, or ceilings of steel pipe, galvanized sheet metal with lock-type longitudinal seam, or moisture-resistant fiber or plastic.

3.7 PIPES PENETRATING WATERPROOFING MEMBRANES

Install pipes penetrating waterproofing membranes as specified in Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.8 ESCUTCHEONS

Provide escutcheons for all finished surfaces where gas piping passes through floors, walls, or ceilings except in boiler, utility, or equipment rooms.

3.9 SPECIAL REQUIREMENTS

Provide drips, grading of the lines, freeze protection, and branch outlet locations as shown and conforming to the requirements of NFPA 54.

3.10 BUILDING STRUCTURE

Do not weaken any building structure by the installation of any gas piping. Do not cut or notch beams, joists or columns. Attach piping supports to metal decking. Do not attach supports to the underside of concrete filled floors or concrete roof decks unless approved by the Contracting Officer.

3.11 PIPING SYSTEM SUPPORTS

Support gas piping systems in buildings with pipe hooks, metal pipe straps, bands or hangers suitable for the size of piping or tubing. Do not support any gas piping system by other piping. Conform spacing of supports in gas piping and tubing installations to the requirements of NFPA 54. Conform the selection and application of supports in gas piping and tubing installations to the requirements of MSS SP-58. In the support of multiple pipe runs on a common base member, use a clip or clamp where each pipe crosses the base support member. Spacing of the base support members is not to exceed the hanger and support spacing required for any of the individual pipes in the multiple pipe run. Rigidly connect the clips or clamps to the common base member. Provide a clearance of 1/8 inch between the pipe and clip or clamp for all piping which may be subjected to thermal expansion.

3.12 ELECTRICAL BONDING AND GROUNDING

Provide a gas piping system within the building that is electrically continuous and bonded to a grounding electrode as required by NFPA 54, NFPA 58, and NFPA 70.

3.13 SHUTOFF VALVE

Install the main gas shutoff valve controlling the gas piping system to be easily accessible for operation, as indicated, protected from physical damage, and marked with a metal tag to clearly identify the piping system controlled. Install valves approximately at locations indicated. Orient stems vertically, with operators on top, or horizontally. Provide stop valve on service branch at connection to main and shut-off valve on riser

outside of building.

3.14 LINE AND APPLIANCE PRESSURE REGULATORS

Install line pressure regulators and appliance regulators in accordance with the manufacturer's requirements and in accordance with NFPA 54. Install each regulator in an accessible location and install shutoff valves ahead of each line and appliance regulator to allow for maintenance. Where vent limiting devices are not included in the regulators, install a vent pipe to the exterior of the building. Terminate all service regulator vents and relief vents in the outside air in rain and insect resistant fittings. Locate the open end of the vent where gas can escape freely into the atmosphere, away from any openings into the building and above areas subject to flooding.

3.15 GAS SERVICE INSTALLATION

Installations must be in accordance with 49 CFR 192 and ASME B31.8. Contractor must submit and use only tested and approved work procedures. Contractor must use only welders and jointers who have been recently qualified by training and test for joining and installing the gas pipe material used on this job. The finished product must be inspected by a person qualified to inspect joints made by the particular procedures used to make joints.

3.16 TESTING

Submit test procedures and reports in booklet form tabulating test and measurements performed; dated after award of this contract, and stating the Contractor's name and address, the project name and location, and a list of the specific requirements which are being certified. Test entire gas piping system to ensure that it is gastight prior to putting into service. Prior to testing, purge the system, clean, and clear all foreign material. Test each joint with an approved gas detector, soap and water, or an equivalent nonflammable solution. Inspect and test each valve in conformance with API Std 598 and API Std 607. Complete testing before any work is covered, enclosed, or concealed, and perform with due regard for the safety of employees and the public during the test. Install bulkheads, anchorage and bracing suitably designed to resist test pressures if necessary, and as directed and or approved by the Contracting Officer. Do not use oxygen as a testing medium.

3.16.1 Pressure Tests

Submit test procedures and reports in booklet form tabulating test and measurements performed; dated after award of this contract, and stating the Contractor's name and address, the project name and location, and a list of the specific requirements which are being certified. Before appliances are connected, test by filling the piping systems with air or an inert gas to withstand a minimum pressure of 3 pounds gauge for a period of not less than 10 minutes as specified in NFPA 54 without showing any drop in pressure. Do not use Oxygen for test. Measure pressure with a mercury manometer, slope gauge, or an equivalent device calibrated to be read in increments of not greater than 0.1 pound. Isolate the source of pressure before the pressure tests are made.

3.16.2 Test With Gas

Before turning on gas under pressure into any piping, close all openings from which gas can escape. Immediately after turning on the gas, check the piping system for leakage by using a laboratory-certified gas meter, an appliance orifice, a manometer, or equivalent device. Conform all testing to the requirements of NFPA 54. If leakage is recorded, shut off the gas supply, repair the leak , and repeat the tests until all leaks have been stopped.

3.16.3 Purging

After testing is completed, and before connecting any appliances, fully purge all gas piping. Do not purge piping into the combustion chamber of an appliance. Do not purge the open end of piping systems into confined spaces or areas where there are ignition sources unless the safety precautions recommended in NFPA 54 are followed.

3.16.4 Labor, Materials and Equipment

Furnish all labor, materials and equipment necessary for conducting the testing and purging.

-- End of Section --

SECTION 23 21 13.00 20

LOW TEMPERATURE WATER (LTW) HEATING SYSTEM
04/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

ASSE 1003	(2009) Performance Requirements for Water Pressure Reducing Valves for Domestic Water Distribution Systems - (ANSI approved 2010)
ASSE 1017	(2009) Performance Requirements for Temperature Actuated Mixing Valves for Hot Water Distribution Systems - (ANSI approved 2010)

AMERICAN WELDING SOCIETY (AWS)

AWS Z49.1	(2012) Safety in Welding and Cutting and Allied Processes

ASME INTERNATIONAL (ASME)

ASME B1.1	(2003; R 2008) Unified Inch Screw Threads (UN and UNR Thread Form)
ASME B1.20.1	(2013) Pipe Threads, General Purpose (Inch)
ASME B16.1	(2015) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.11	(2016) Forged Fittings, Socket-Welding and Threaded
ASME B16.18	(2012) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.21	(2011) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.22	(2013) Standard for Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.24	(2011) Cast Copper Alloy Pipe Flanges and Flanged Fittings: Classes 150, 300, 600, 900, 1500, and 2500
ASME B16.3	(2011) Malleable Iron Threaded Fittings, Classes 150 and 300

ASME B16.34	(2017) Valves - Flanged, Threaded and Welding End
ASME B16.39	(2014) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300
ASME B16.5	(2017) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.9	(2012) Standard for Factory-Made Wrought Steel Buttwelding Fittings
ASME B18.2.2	(2015) Nuts for General Applications: Machine Screw Nuts, Hex, Square, Hex Flange, and Coupling Nuts (Inch Series)
ASME B31.9	(2017) Building Services Piping
ASME B40.100	(2013) Pressure Gauges and Gauge Attachments
ASME BPVC SEC VIII D1	(2015) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

ASTM INTERNATIONAL (ASTM)

ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A194/A194M	(2017a) Standard Specification for Carbon Steel, Alloy Steel, and Stainless Steel Nuts for Bolts for High-Pressure or High-Temperature Service, or Both
ASTM A307	(2014; E 2017) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A53/A53M	(2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM B32	(2008; R 2014) Standard Specification for Solder Metal
ASTM B88	(2016) Standard Specification for Seamless Copper Water Tube

COPPER DEVELOPMENT ASSOCIATION (CDA)

CDA A4015	(2016; 14/17) Copper Tube Handbook

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH (FCCCHR)

FCCCHR List	(continuously updated) List of Approved

Backflow Prevention Assemblies

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-110	(2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends
MSS SP-58	(2009) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MSS SP-67	(2017; Errata 1 2017) Butterfly Valves
MSS SP-69	(2003; Notice 2012) Pipe Hangers and Supports - Selection and Application (ANSI Approved American National Standard)
MSS SP-70	(2011) Gray Iron Gate Valves, Flanged and Threaded Ends
MSS SP-72	(2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service
MSS SP-80	(2013) Bronze Gate, Globe, Angle and Check Valves
MSS SP-85	(2011) Gray Iron Globe & Angle Valves Flanged and Threaded Ends

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 2	(2000; R 2005; Errata 2008) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA MG 1	(2016; SUPP 2016) Motors and Generators

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-V-12003	(1980; Rev F; Am 1; CANC Notice 1) Valves, Plug, Cast-Iron or Steel, Manually Operated

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

CID A-A-1689	(Rev B) Tape, Pressure-Sensitive Adhesive, (Plastic Film)
CID A-A-50560	(Basic) Pumps, Centrifugal, Water Circulating, Electric-Motor-Driven
CID A-A-59617	(Basic, Notice 1) Unions, Brass or Bronze, Threaded Pipe Connections and Solder-Joint Tube Connections

FS WW-S-2739	(Basic; Notice 1; Notice 2) Strainers, Sediment: Pipeline, Water, Air, Gas, Oil, or Steam

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.144	Safety Color Code for Marking Physical Hazards
29 CFR 1910.219	Mechanical Power Transmission Apparatus

1.2 RELATED REQUIREMENTS

Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS applies to this section with additions and modifications specified herein.

1.3 SYSTEM DESCRIPTION

Except as specified otherwise, equipment and piping components shall be suitable for use in low temperature water heating system. Except as modified herein, the pressure temperature limitations shall be as specified in the referenced standards and specifications. Pressures in this specification are pressures in pounds per square inch above atmospheric pressure, and temperatures are in degrees Fahrenheit (F).

1.3.1 Hot Water Heating System

Submit plan, elevations, dimensions, capacities, and ratings. Include the following:

a. Unit heaters

b. Convectors

c. Finned tube radiators

d. Pumps

e. Valves

f. Expansion tanks

g. Flow measuring equipment

h. Backflow preventer

i. Air separating tank

j. Boilers

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Hot water heating system

SD-03 Product Data

Pumps

Include pump speed and characteristic curve for performance of impeller selected for each pump. Curves shall indicate capacity vs head, efficiency, and brake power for full range, from shut-off to free delivery.

Expansion tanks

Backflow preventers

External air separation tanks

Hot water heating pipe

SD-06 Test Reports

Hydrostatic test of piping system

Auxiliary equipment and accessory tests

Submit test reports in accordance with the paragraph entitled "Field Quality Control."

SD-07 Certificates

Backflow preventer certification

Report of prior installations

Welding procedures

Welder's qualifications

1.5 QUALITY ASSURANCE

1.5.1 Standard Commercial Product for Terminal Units

Terminal units provided shall comply with features called out in this specification and shall be the manufacturer's standard commercial product. Additional or better features which are not prohibited by this specification but which are a part of the manufacturer's standard commercial product, shall be included in the terminal units being furnished. A standard commercial product is a product which has been sold or is currently being offered for sale, on the commercial market through advertisements or manufacturer's catalogs, or brochures. Provide Institute of Boiler and Radiator Manufacturer (IBR) or Steel Boiler Institute (SBI) rating for required capacity.

1.5.2 Welding

1.5.2.1 Report of Prior Installations

Submit a Certificate of Full Approval or a current Certificate of Approval for each design, size, and make of backflow preventer being provided for the project. Certificate shall be from the Foundation for Cross-Connection Control and Hydraulic Research, University of Southern California, and shall attest that this design, size, and make of backflow preventer has satisfactorily passed the complete sequence of performance testing and evaluation for the respective level of approval. A Certificate of Provisional Approval is not acceptable in lieu of the above.

1.5.2.2 Welding Procedures

Before performing welding, submit three copies of welding procedure specification for all metals to be used in the work, together with proof of welder's qualification as outlines in ASME B31.9.

1.5.2.3 Welder's Qualifications

Before welder or operator performs welding, submit three copies of Welder's Performance Qualification Record in conformance with ASME B31.9showing that the welder was tested under the approved procedure specification submitted by the Contractor. In addition, submit each welder's assigned number, letter, or symbol used to identify the work of the welder.

1.5.2.4 Identification of Welder's Work

Ensure that each welder's assigned number, letter or symbol is affixed immediately upon completion of the weld. To welders making defective welds after passing a qualification test, give a requalification test. Upon failing to pass the test, do not permit welder to work in this contract.

1.5.2.5 Previous Qualifications

Welding procedures, welders, and welding operators previously qualified by test may be accepted for this contract without requalification subject to the approval and provided that all the conditions specified in ASME B31.9 are met before a procedure can be used.

1.5.3 Brazing and Soldering

1.5.3.1 Brazing Procedure

ASME B31.9. Brazing procedure for joints shall be as outlined in CDA A4015.

1.5.3.2 Soldering, Soldering Preparation, and Procedures for Joints

ASME B31.9 and as outlined in CDA A4015.

1.5.4 Backflow Preventer Certification

Submit a Certificate of Full Approval or a current Certificate of Approval for backflow preventers.

1.6 SAFETY STANDARDS

1.6.1 Welding

Safety in welding and cutting of pipe shall conform to AWS Z49.1.

1.6.2 Guards

Couplings, motor shafts, gears and other moving parts shall be guarded, in accordance with OSHA 29 CFR 1910.219. Guards shall be cast iron or expanded metal. Guard parts shall be rigid and removable without disassembling the guarded unit.

PART 2 PRODUCTS

2.1 PIPE AND FITTINGS

2.1.1 Hot Water Heating Pipe (Supply and Return)

ASTM A53/A53M electric resistance welded or seamless Schedule 40 steel pipe or ASTM B88 Type L hard drawn Copper tubing.

2.1.2 Fittings

Provide fittings compatible with the pipe being provided and shall conform to the following requirements.

2.1.2.1 Steel or Malleable Iron Pipe

Sizes 1/8 to 2 inches. ASME B16.11 steel socket welding or screwed type or ASME B16.3 for screwed type malleable iron fittings.

2.1.2.2 Steel, Cast Iron, or Bronze

Sizes 2 1/2 inches and above. Steel fitting butt welding type ASME B16.9 or ASME B16.5 flanged type. Cast iron fittings flanged type ASME B16.1. Bronze fittings up to 8 inch size flanged type ASME B16.24.

2.1.2.3 Fittings for Copper Tubing

ASME B16.18 cast bronze solder joint type or ASME B16.22 wrought copper solder joint type. Fittings may be flared or compression joint type.

2.1.3 Unions

2.1.3.1 Steel Pipe

Provide ASME B16.39, malleable iron unions, threaded connections.

2.1.3.2 Copper Tubing

Provide CID A-A-59617, bronze unions, solder joint end.

2.1.3.3 Dielectric Union

Provide insulated union with galvanized steel female pipe-threaded end and a copper solder joint end conforming with ASME B16.39, Class 1, dimensional, strength and pressure requirements. Union shall have a water-impervious insulation barrier capable of limiting galvanic current to

one percent of the short-circuit current in a corresponding bimetallic joint. When dry, insulation barrier shall be able to withstand a 600-volt breakdown test.

2.1.4 Flanges

Remove raised faces when used with flanges having a flat face.

2.1.4.1 Steel Flanges

ASME B16.5 forged steel, welding type.

2.1.4.2 Cast Iron Screwed Flanges

ASME B16.1.

2.1.4.3 Bronze Screwed Flanges

ASME B16.24.

2.1.5 Drains and Overflows

2.1.5.1 Steel Pipe

ASTM A53/A53M, Seamless Schedule 40, Malleable iron or forged steel fittings, screwed or welded joints.

2.1.5.2 Copper Tubing

ASTM B88, Type L, hard drawn, cast brass or wrought copper fittings, Grade Sb5 solder joints.

2.1.6 Valves

Valves shall have rising stems and shall open when turned counterclockwise.

2.1.6.1 Gate Valves

a. Bronze Gate Valves: MSS SP-80, 2 inches and smaller, wedge disc, inside screw type not less than Class 150. Use solder joint ends with copper tubing.

b. Steel Gate Valves: ASME B16.34, provide with open stem and yoke type with solid wedge or flexible wedge disc and heat and corrosion-resistant steel trim.

c. Cast Iron Gate Valves: MSS SP-70, 2 1/2 inches and larger, open stem and yoke type with bronze trim.

2.1.6.2 Globe and Angle Valves

a. Bronze Globe and Angle Valves: MSS SP-80, 2 inches and smaller, Class 200, except use Class 150 with solder ends for copper tubing. Valves shall have renewable seat and discs except solder end valves which shall have integral seats.

b. Steel Globe and Angle Valves: ASME B16.34, provide with heat and corrosion-resistant trim.

c. Cast Iron Globe and Angle Valves: MSS SP-85, 2 1/2 inches and larger, with bronze trim, tapped drains and brass plug.

2.1.6.3 Check Valves

a. Bronze Check Valves: MSS SP-80, 2 inches and smaller, regrinding swing check type, Class 200.

b. Steel Swing Check Valves: ASME B16.34, regrinding swing check type, Class 200.

(1) Swing check valves shall have bolted caps.

(2) Steel Lift check valves 2 inches and smaller shall have bolted caps. Lift check valves 2 1/2 inches and larger shall have pressure seal caps.

c. Cast Iron Check Valves: ASME B16.34, 2 1/2 inches and larger, bronze trim, non-slam, eccentric disc type for centrifugal pump discharge service.

2.1.6.4 Temperature Regulating Valves

Provide ASSE 1017 copper alloy body with adjustable range thermostat.

2.1.6.5 Water Pressure-Reducing Valves

ASSE 1003.

2.1.6.6 Plug Valves

MIL-V-12003, except that a replaceable valve seat will not be required. Type I - lubricated, tapered plug valves.

2.1.6.7 Ball Valves

Flanged or butt-welding ends ball valve shall conform to MSS SP-72, bronze . Threaded, socket-welding, solder joint, grooved and flared ends shall conform to MSS SP-110.

2.1.6.8 Butterfly Valves

Conform with MSS SP-67, Type I - Tight shut off valve, and flanged valve ends. Valve body material shall be cast iron and shall be bubble tight for shutoff at 150 psig. Flanged and flangeless type valves shall have Type 300 series corrosion resistant steel stems and corrosion resistant or bronze discs with molded elastomer disc seals. Flow conditions shall be for the regulation from maximum flow to complete shutoff by way of throttling effect. Valves shall be provided in closed system. Valves smaller than 8 inches shall have throttling handles. Valves 8 inches and larger shall have totally enclosed manual gear operators with adjustable balance return stops and indicators. Valves shall have a minimum of 7 locking positions and shall be suitable for water temperatures up to 200 degrees F.

2.1.6.9 Butterfly Valves 2 Inches and Smaller

Valves shall be one-piece and three-piece design with male or female threaded or soldered end connections and shall be bubble tight for shutoff

at 150 psig. Stem and disc assembly shall be of 300 series corrosion resistant steel. Disc seal assembly shall be of 300 series corrosion resistant steel. Disc seal shall be suitable for the liquid being used in the system in which the valve is to be installed. Valves shall be suitable for water temperature up to 200 degrees F and shall be capable of operating at the rated pressure of 125 psig. Valves shall be designed for throttling service use by valve lever and indicator adjustment.

2.1.6.10 Relief Valves

Bronze body, teflon seat, stainless steel stem and springs, automatic, direct pressure actuated, capacities ASME certified and labelled.

2.1.7 End Connections

2.1.7.1 Flexible Connectors

Provide flexible pipe connectors on piping connected to equipment. Flexible section shall consist of rubber, tetrafluoroethylene resin, corrosion-resistant steel, bronze, monel, or galvanized steel. Material provided and configuration shall be suitable for pressure, temperature, and circulating medium. Flexible section shall have threaded, welding, soldering, flanged or socket-weld ends and shall be suitable for service intended. Flexible section may be reinforced with metal retaining rings, with built-in braided wire reinforcement and restriction bolts or with wire braid cover suitable for service intended.

2.1.7.2 Steel Piping

Screwed or socket welded for 2 inches and smaller and flanged or butt welded for 2 1/2 inches and larger.

a. Screwed Joints With Taper Threads: ASME B1.20.1.

b. Flanged Joints: Bolting and gaskets shall be as follows:

(1) Bolting: Bolt and stud material ASTM A307, Grade B, and nut material ASTM A194/A194M, Grade 2. Bolt, stud, and nut dimensions ASME B18.2.2 threads ASME B1.1coarse type with Class 2A fit for bolts and studs, and Class 2B fit for nuts. Bolts or bolt studs shall extend completely through the nuts and may have reduced shanks of a diameter not less than the diameter at root of threads. Carbon steel bolts shall have American Standard regular square or heavy hexagon heads and shall have American Standard heavy semifinished hexagonal nuts conforming to ASME B18.2.2.

(2) Gaskets: ASME B16.21, Nonasbestos compressed material 1/16 inch thickness full face or self-centering flat ring type and suitable for pressure and temperature of the piping system.

c. Butt Weld Joints: ASME B31.9. Backing rings shall conform to ASME B31.9. Ferrous rings shall not exceed 0.05 percent sulfur; for alloy pipe, backing rings shall be of material compatible with the chemical composition of the parts to be welded and preferably of the same composition. Provide continuous machined or split band backing rings.

d. Socket Weld Joints: ASME B31.9.

2.1.7.3 Joints for Copper Tubing

a. Solder conforming to ASTM B32 alloy grade Sb5 or Sn96. Solder and flux shall be lead free (less than 0.2 percent of lead).

b. Copper Tube Extracted Joint: An extracted mechanical tee joint may be made in copper tube. Make joint with an appropriate tool by drilling a pilot hole and drawing out the tube surface to form a collar having a minimum height of three times the thickness of the tube wall. To prevent the branch tube from being inserted beyond the depth of the extracted joint, provide dimpled depth stops. Notch the branch tube for proper penetration into fitting to assure a free flow joint. Braze extracted joints using a copper phosphorous classification brazing filler metal. Soldered joints shall not be permitted.

2.1.8 Instrumentation

2.1.8.1 Pressure and Vacuum Gauges

Provide ASME B40.100 with restrictor.

2.1.8.2 Indicating Thermometers

Thermometers shall be dial type with an adjustable angle suitable for the service. Provide thermowell sized for each thermometer in accordance with the thermowell specification. Fluid-filled thermometers (mercury is not acceptable) shall have a nominal scale diameter of 5 inches. Construction shall be stainless-steel case with molded glass cover, stainless-steel stem and bulb. Stem shall be straight, length as required to fit well. Bimetal thermometers shall have a scale diameter of 3 1/2 inches. Case shall be hermetic. Case and stem shall be constructed of stainless steel. Bimetal stem shall be straight and of a length as required to fit the well.

2.1.8.3 Pressure/Temperature Test Ports

Pressure/Temperature Test Ports shall have brass body and EPDM and/or Neoprene valve seals. Ports shall be rated for service between 35 and 275 degrees F and up to 500 psig. Ports shall be provided in lengths appropriate for the insulation thickness specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS and installed to allow a minimum of 12 inches of access for probe insertion. Provide with screw-on cap attached with a strap or chain to prevent loss when removed. Ports shall be 1/4 inch NPT and accept 1/8 inch diameter probes.

2.1.9 Miscellaneous Pipeline Components

2.1.9.1 Air Vent

Provide float type air vent in hydronic systems. Vent shall be constructed of brass or semi-steel body, copper float, and stainless steel valve and valve seat. Design air vent to suit system operating temperature and pressure. Provide isolating valve to permit service without draining the system. Pipe discharge of vent to a drain.

2.1.9.2 Strainers

Strainers for classes 125 and 250 piping in IPS 1/2 to 8 inches, inclusive, FS WW-S-2739 and locate as indicated.

2.1.9.3 Hangers and Supports

Design and fabrication of pipe hangers, supports, and welding attachments shall conform to MSS SP-58 and ASME B31.9. Hanger types and supports for bare and covered pipe shall conform to MSS SP-69 for the temperature range.

2.1.9.4 Escutcheon Plates

Provide one piece or split hinge metal plates for piping passing through floors, walls, and ceilings in exposed spaces. Provide polished stainless steel plates or chromium-plated finish on copper alloy plates in finished spaces and paint finish on metal plates in unfinished spaces.

2.2 CENTRAL MECHANICAL EQUIPMENT

2.2.1 Boilers

Provide as specified in Section 23 52 43.00 20 LOW PRESSURE WATER HEATING BOILERS.

2.3 PIPING SYSTEM EQUIPMENT

2.3.1 Pumps

Provide hot water circulating pumps, CID A-A-50560, Service A. Pump casing and flange shall be made of close-grained cast iron. Shaft shall be carbon or alloy steel with lubricated bearings and impeller shall be bronze. Select pumps so that the operating point on selected impeller-curve will lie at or to the left of shutoff side of, and not more than 5 percent below, point of maximum efficiency for impeller. Provide motors of open type conforming to NEMA MG 1 and suitable for electrical characteristic as indicated. Motor starters shall conform to NEMA ICS 2 across the line type with NEMA ICS 6general purpose enclosure.

2.3.2 Expansion Tanks

Provide welded steel, constructed and tested hydrostatically in accordance with ASME BPVC SEC VIII D1. Tank shall be equipped with all necessary fittings. The tank and fittings shall be pressure rated at least equal to the test pressure of the total system. Zinc coat the tank inside and out after fabrication by the hot dip process ASTM A123/A123M.

2.3.3 External Air Separation Tanks

Provide tank constructed of steel, designed for not less than 75 psig, and constructed and tested in accordance with the requirements of ASME BPVC SEC VIII D1. Provide tangential inlet and outlet connections, flanged for sizes 2 1/2 inches and larger. Each unit shall have an internal design suitable for creating the required vortex and subsequent air separation. Provide with automatic air release device and galvanized steel strainer. Provide a blow down connection with a gate valve and piped to nearest floor drain.

2.3.4 Backflow Preventers

Reduced pressure principle type. Furnish proof that each make, model/design, and size of backflow preventer being furnished for the project is approved by and has a current "Certificate of Approval" from the FCCCHR List . Listing of a particular make, model/design, and size in the

current FCCCHR List will be acceptable as the required proof.

2.4 ELECTRICAL EQUIPMENT

Provide complete with motors, motor starters, thermal overload protection, and controls. Equipment and wiring shall be in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.5 INSULATION

Provide shop and field applied insulation as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.6 ASBESTOS PROHIBITION

Asbestos and asbestos containing products are prohibited.

PART 3 EXECUTION

3.1 PREPARATION

Provide storage for equipment and material at the project site. All parts shall be readily accessible for inspection, repair, and renewal. Protect material and equipment from the weather.

3.2 INSTALLATION

Piping fabrication, assembly, welding, soldering, and brazing shall conform to ASME B31.9. Piping shall follow the general arrangement shown. Route piping and equipment within buildings out of the way of lighting fixtures and doors, windows, and other openings. Run overhead piping in buildings in inconspicuous positions. Provide adequate clearances from walls, ceilings, and floors to permit welding of joints and application of insulation. Make provision for expansion and contraction of pipe lines. Make changes in size of water lines with reducing fittings. Do not bury, conceal, or insulate until piping has been inspected, tested, and approved. Do not run piping concealed in walls, partitions, underground, or under the floor except as otherwise indicated. Where pipe passes through building structure, locate pipe joints and expansion joints where they may be inspected. Provide flanged joints where necessary for normal maintenance and where required to match valves and equipment. Furnish gaskets, packing, and thread compounds suitable for the service. Provide long radius ells where possible to reduce pressure drops. Pipe bends in lieu of welding fittings may be used where space permits. Pipe bends shall have a uniform radius of at least five times the pipe diameter and shall be free from appreciable flattening, wrinkling, or thinning of the pipe. Do not use mitering of pipe to form elbows, notching straight runs to form full sized tees, or any similar construction. Make branch connections over 2 inches with welding tees except factory made forged welding branch outlets or nozzles having integral reinforcements conforming to ASME B31.9 may be used, provided the nominal diameter of the branch is at least one pipe size less than the nominal diameter of the run. Branch connections 2 inches and under can be threaded or welded. Run vertical piping plumb and straight and parallel to walls. Provide sleeves for lines passing through building structure. Provide a fire seal where pipes pass through fire wall, fire partitions, fire rated pipe chase walls, or floors above grade. Install piping connected to equipment with flexibility for thermal stresses and for vibration, and support and anchor so that strain from weight and thermal movement of piping is not imposed on the equipment.

3.2.1 Hangers and Supports

Unless otherwise indicated, horizontal and vertical piping attachments shall conform to MSS SP-58. Band and secure insulation protection shields without damaging pipe insulation. Continuous inserts and expansion bolts may be used.

3.2.2 Grading of Pipe Lines

Unless otherwise indicated, install horizontal lines of hot water piping to grade down in the direction of flow with a pitch of not less than one inch in 30 feet, except in loop mains and main headers where the flow may be in either direction.

3.2.3 Pipe Sleeves

Provide sleeves where pipes and tubing pass through masonry or concrete walls, floors, roof, and partitions. Annular space between pipe, tubing, or insulation and the sleeve shall not be less than 1/4 inch. Hold sleeves securely in proper position and location before and during construction. Sleeves shall be of sufficient length to pass through entire thickness of walls, partitions, or slabs. Sleeves in floor slabs shall extend 2 inches above finished floor. Firmly pack space between pipe or tubing and sleeve with oakum and caulk on both ends of the sleeve with plastic waterproof cement which will dry to a firm but pliable mass, or provide a segmented elastomeric seal. Seal both ends of penetrations through fire walls and fire floors to maintain fire resistive integrity with UL listed fill, void, or cavity material.

3.2.4 Flashing for Buildings

Provide flashing where pipes pass through building roofs, and make outside walls tight and waterproof.

3.2.5 Unions and Flanges

Provide unions and flanges to permit easy disconnection of piping and apparatus. Each connection having a screwed-end valve shall have a union. Place unions and flanges no farther apart than 100 feet. Install unions downstream of valves and at equipment or apparatus connections. Provide unions on piping under 2 inches in diameter, and provide flanges on piping 2 inches and over in diameter. Provide dielectric unions or flanges between ferrous and non-ferrous piping, equipment, and fittings; except that bronze valves and fittings may be used without dielectric couplings for ferrous-to-ferrous or non-ferrous-to-non-ferrous connections.

3.2.6 Connections for Future Equipment

Locate capped or plugged outlets for connections to future equipment as indicated.

3.2.7 Changes in Pipe Size

Provide reducing fittings for changes in pipe size; reducing bushings are not permitted. In horizontal lines, provide eccentric reducing fittings to maintain the top of the lines in the same plane.

3.2.8 Cleaning of Pipe

Thoroughly clean each section of pipe, fittings, and valves free of foreign matter before erection. Prior to erection, hold each piece of pipe in an inclined position and tap along its full length to loosen sand, mill scale and other foreign matter. For pipe 2 inches and larger, draw wire brush, of a diameter larger than that of the inside of the pipe, several times through the entire length of pipe. Before making final connections to apparatus, wash out interior of piping thoroughly with water. Plug or cap open ends of mains during shutdown periods. Do not leave lines open where foreign matter might enter the pipe.

3.2.9 Valves

Install valves in conformance with ASME B31.9. Provide gate valves unless otherwise directed. Install valves with stems horizontal or above. Locate or equip stop valves to permit operation from floor level, or provide with safe access in the form of walkways or ladders. Install valves in positions accessible for operation and repair.

3.2.9.1 Globe Valves

Install globe valves so that the pressure is below the disk and the stem horizontal.

3.2.9.2 Radiators Valves

Provide radiator valves on water inlet and balancing valves on water outlet of terminal heating units such as radiation, unit heaters, and fan coil unit.

3.2.9.3 Relief Valves

Provide valves on pressure tanks, low pressure side of reducing valves, heat exchangers, and expansion tanks. Select system relief valve so that capacity is greater than make-up pressure reducing valve capacity. Select equipment relief valve capacity to exceed rating of connected equipment. Pipe relief valve outlet to the nearest floor drain.

3.2.10 Pressure Gage

Provide a shut-off valve or pet cock between pressure gages and the line.

3.2.11 Thermometers

Provide thermometers and thermal sensing elements of control valves with a separable socket. Install separable sockets in pipe lines in such a manner to sense the temperature of flowing the fluid and minimize obstruction to flow.

3.2.12 Strainers

Provide strainers, with meshes suitable for the services, where indicated, or where dirt might interfere with the proper operation of valve parts, orifices, or moving parts of equipment.

3.2.13 Pumps

Select pumps for specified fluid temperatures, are non-overloading in

parallel or individual operation, and operate within 25 percent of midpoint of published maximum efficiency curve. Support piping adjacent to pump such that no weight is carried on pump casings. Install close coupled and base mounted pumps on concrete base, with anchor bolts, set and level, and grout in place and provide supports under elbows on pump suction and discharge line sizes 4 inches and over. Lubricate pump before start-up.

3.2.14 Equipment Foundations

Locate equipment foundations as shown on the drawings. Size, weight, and design shall preclude shifting of equipment under operating conditions. Foundations shall meet the requirements of the equipment manufacturer. Concrete shall conform to specifications detailed on drawing sheet S102, and grout shall be approved non-shrinking.

3.2.15 Equipment Installation

Install equipment in accordance with installation instructions of the manufacturers. Grout equipment mounted on concrete foundations before installing piping. Install piping in such a manner as not to place a strain on the equipment. Do not bolt flanged joints tight unless they match. Grade, anchor, guide, and support piping without low pockets.

3.2.16 Cleaning of Systems

As installation of the various system components is completed, fill, start, and vent prior to cleaning. Place terminal control valves in open position. Add cleaner to closed system at concentration as recommended by manufacturer. Apply heat while circulating, slowly raising temperature to 160 degrees F and maintain for 12 hours minimum. Remove heat and circulate to 100 degrees F or less; drain systems as quickly as possible and refill with clean water. Circulate for 6 hours at design temperatures, then drain. Refill with clean water and repeat until system cleaner is removed. Use neutralizer agents on recommendation of system cleaner supplier and approval of Contracting Officer. Remove, clean, and replace strainer screens. Inspect, remove sludge, and flush low points with clean water after cleaning process is completed. Include disassembly of components as required. Preliminary or final tests are not permitted until cleaning is approved.

3.2.17 Identification of Piping

Identify piping in accordance with OSHA 29 CFR 1910.144, except that labels or tapes shall be used . Spacing of identification marking on runs shall not exceed 50 feet. Materials for labels and tapes shall conform to CID A-A-1689, and shall be general purpose type and color class.

3.3 FIELD QUALITY CONTROL

Perform inspections and tests as specified herein to demonstrate that piping and equipment, as installed, is in compliance with contract requirements. Start up and operate the system. During this time, periodically clean the various strainers until no further accumulation of foreign material occurs. Exercise care so that minimum loss of water occurs when strainers are cleaned. Adjust safety and automatic control instruments to place them in proper operation and sequence.

3.3.1 Hydrostatic Test of Piping System

Test piping system hydrostatically using water not exceeding 100 degrees F. Conduct tests in accordance with the requirements of ASME B31.9 and as follows. Test piping system after all lines have been cleaned and before applying insulation covering. Remove or valve off from the system, gages, and other apparatus which may be damaged by the test before the tests are made. Install calibrated test pressure gage in the system to observe any loss in pressure. Maintain test pressure for a sufficient length of time to enable an inspection of each joint and connection. Perform tests after installation and prior to acceptance.Notify the Contracting Officer in writing 7 days prior to the time scheduled for the tests.

3.3.2 Auxiliary Equipment and Accessory Tests

Observe and check pumps, accessories, and equipment during operational and capacity tests for leakage, malfunctions, defects, noncompliance with referenced standards, or overloading.

3.3.2.1 Backflow Preventers

Backflow preventers shall be tested by locally approved and certified backflow assembly testers. A copy of the test report shall be provided to the Contracting Officer prior to placing the domestic water system into operation, or no later than 5 days after the test.

3.4 TESTING, ADJUSTING, AND BALANCING

Test, adjust, and balance the hydronic system in accordance with Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC.

3.4.1 Markings of Settings

Following final acceptance of the balancing report, the settings of all valves, splitters, dampers, and other adjustment devices shall be permanently marked so that adjustment can be restored if disturbed at anytime.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 23 52 43.00 20

LOW PRESSURE WATER HEATING BOILERS
05/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.13/CSA 4.9	(2017; Errata 2018) Gas-Fired Low Pressure Steam and Hot Water Boilers

ASME INTERNATIONAL (ASME)

ASME BPVC SEC IV	(2017) BPVC Section IV-Rules for Construction of Heating Boilers
ASME CSD-1	(2016) Control and Safety Devices for Automatically Fired Boilers

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

NATIONAL BOARD OF BOILER AND PRESSURE VESSEL INSPECTORS (NBBI)

NBBI NB-23 PART 1	(2013) National Board Inspection Code - Part 1 Installation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 31	(2016) Standard for the Installation of Oil-Burning Equipment
NFPA 54	(2018) National Fuel Gas Code
NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 795	(2016) UL Standard for Safety Commercial-Industrial Gas Heating Equipment

1.2 RELATED REQUIREMENTS

Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS, applies to this section, with the additions and modifications specified herein.

1.3 DESIGN REQUIREMENTS

Boiler must be suitable for installation in the space shown with ample room for opening doors and cleaning and removal. Boiler must be designed and tested in accordance with ASME BPVC SEC IV, ASME CSD-1, NFPA 54, NFPA 31, NFPA 70 and ANSI Z21.13/CSA 4.9. Boiler must be installed in accordance with NBBI NB-23 PART 1.

1.3.1 Boiler Installation Requirements

1.3.1.1 Location

Install Boiler(s) and associated hot water pumps in a mechanical room inside the facility in accordance with NBBI NB-23 PART 1. Provide ample clearance around boilers to allow access for inspection, maintenance and repair. Passageways around all sides of boilers must have an unobstructed minimum width of 36 inches or the clearances recommended by the boiler manufacturer whichever is greater.

1.3.1.2 Combustion Air

Provide supply of air for combustion. In accordance with manufacturer's installation manual.

1.3.1.3 Sequence of Operation

Local, manual starting of boilers is required. Remote starting and stopping of the boiler by the HVAC control system is not permitted. This is to ensure that an operator witness the initial firing of the boiler at the beginning of each heating season to verify proper operation of the boiler and to promote proper maintenance.

1.3.2 Detail Drawings

1.3.2.1 Drawings

Show boiler hot water isolation valves, emergency disconnect switch, and complete boiler gas train on the contract drawings.

1.3.2.2 Fuel Train / Wiring Diagram

Submit fuel train and wiring diagram.

1.3.3 Water Analysis

Provide test reports of water analysis. UFC 3-240-13FN Industrial Water Treatment must be followed for all boiler installations.

1.4 SAFETY STANDARDS

Hot water boilers, burners and supplementary control devices, safety interlocks, or limit controls required under this specification, must meet requirements of the following standards as applicable:

b. Gas-Fired Units: ASME CSD-1, NFPA 54, NFPA 70, ANSI Z21.13/CSA 4.9 or UL 795.

d. All Units: ASME BPVC SEC IV, NFPA 70 and ASME CSD-1.

Controls not covered by the above must have a UL label, UL listing mark, or must be listed in the Factory Mutual Approval Guide.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Fuel Train
Wiring Diagram

SD-03 Product Data

Boilers
Boiler Trim and Control Equipment
Burners and Control Equipment
Vent, Combustion Air Intake and Supports

SD-06 Test Reports

Operational Tests
Water Analysis

SD-07 Certificates

Boilers
Burners and Control Equipment
Boiler Trim and Control Equipment

Boiler manufacturer's certificate of boiler performance including evidence that the burners provided must be a make, model, and type certified and approved by the manufacturer of the boiler being provided.

SD-08 Manufacturer's Instructions

Boilers
Feedwater Treatment Feeder

SD-10 Operation and Maintenance Data

Boilers, Data Package 4

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

SD-11 Closeout Submittals

Posted Operating Instructions for Heating Water Boilers

PART 2 PRODUCTS

2.1 BOILERS

Provide hot water heating boiler complete with firing equipment, combustion chamber, insulation with steel jacket, safety and operating controls, integral electrical wiring and other appurtenances, to make the boiler a complete, self-contained, fully-automatic unit, ready for service upon completion of utility connections.

2.1.1 General Requirements

Design, construction, installation, testing, and operation of boiler and appurtenances shall comply with NBBI NB-23 PART 1, ASME BPVC SEC IV, ASME CSD-1, NFPA 54, NFPA 31, ANSI Z21.13/CSA 4.9, and the manufacturer's instructions.

2.2 BURNERS AND CONTROL EQUIPMENT

2.2.1 Gas-Fired Power Burner

Gas-fired power burner (over 400,000 BTU/hr input). Interrupted pilot type ignition system, and pilot must be the electrode-ignited natural gas type. Design burner and combustion control equipment for firing natural gas having a specific gravity of 0.6 and a heating value of approximately 1000 BTU per cubic foot and be an integral part of the boiler. Burner controls and safety equipment must conform to applicable requirements of ASME CSD-1, NFPA 54, ANSI Z21.13/CSA 4.9 and UL 795. Mount controls; including operating switches, indicating lights, gages, alarms, motor starters, fuses, and circuit elements of control systems on a single control panel or cabinet designed for separate mounting not on the burner.

2.3 BOILER TRIM AND CONTROL EQUIPMENT

Provide in accordance with ASME CSD-1 and ASME BPVC SEC IV and additional requirements specified below.

2.3.1 Emergency Disconnect Switch

Provide and locate on wall outside boiler room entrance or just inside door, when boiler room door is on building exterior as required by ASME CSD-1 to allow rapid and complete shutdown of the boiler in the event of an emergency. Emergency switch must be a 20-amp. fuse-type safety switch. Switch must be red and furnished with a label indicating function of switch.

2.3.2 Relief Valves

Provide relieving capacity for the full output of boiler installed. Safety relief-valve piping must conform to ASTM A53/A53M, schedule 40 steel pipe and be piped full-size to a floor drain .

2.3.3 Pressure and Altitude Gage or Combination Pressure/Altitude Gage

Provide one located on supply water piping and one on return water piping.

2.3.4 Thermometer

Provide thermometer with a scale equivalent to 1.5 times outlet water

temperature. Provide one located on supply water piping and one on return water piping.

2.3.5 Drain Tapping

Provide drain valve and piping to a floor drain .

2.3.6 Make-up Water Station

2.3.6.1 Pressure Reducing Station

Provide a water pressure-reducing valve and relief valve, or a combination of the two in the makeup water line to the boiler to maintain a water pressure of 12 psig in the hot water system. Provide a 3/4 inch globe valve by-pass around this valve.

2.3.6.2 Backflow Preventers

Section 22 00 00 PLUMBING, GENERAL PURPOSE. Locate upstream of by-pass.

2.3.7 Feedwater Treatment Feeder

Provide floor mounted, Type II - Shot-Type Feeder (manual, intermittent feed), as indicated for use with pressures up to 200 psig maximum.

2.3.8 Air Vent Valve

Provide with screwed connection, stainless steel disk, and stainless steel seats to vent entrapped air.

2.3.9 High Temperature Limit Switch

Provide adjustable immersible aquastat type with a temperature setting above that of the combustion regulator and below that of the lowest relief valve setting. Aquastat must function to cause a safety shutdown by closing fuel valves, shutting down burner equipment , producing an alarm on the boiler controller, and sending an alarm to the central control system in the event that boiler water temperature rises to the high temperature limit setting. A safety shutdown due to high temperature must require manual reset before operation can resume and prevent recycling of burner equipment. Pre-set high temperature limit devices that cannot be easily tested are not allowed.

2.3.10 Low Water Level Cutoff Switch

Low water level cutoff must cause a safety shutdown by closing fuel valves, shutting down burner equipment , producing an alarm on the boiler controller, and sending an alarm to the central control system. in the event that water level drops below the lowest safe permissible water level established by the boiler manufacturer and ASME BPVC SEC IV. A safety shutdown due to low water must require manual reset before operation can resume and prevent recycling of burner equipment.

2.3.11 Boiler Safety Control Circuits

Provide boiler safety control circuits, including control circuits for burner , must be single-phase, two-wire one-side grounded, and not over 120 volts. Provide safety control switching in ungrounded conductors. Provide overcurrent protection. In addition to circuit grounds, ground metal parts

which do not carry current to a grounding conductor.

2.3.12 Vent, Combustion Air Intake and Supports

See boiler schedule on contract drawings for required vent and combustion air intake materials. Matereials shall meet the requirements of the boiler manufacturer's requirements.

PART 3 EXECUTION

3.1 EQUIPMENT INSTALLATION

Install equipment in accordance with manufacturer's installation instructions and NBBI NB-23 PART 1. Grout equipment mounted on concrete foundations before installing piping. Install piping in such a manner as not to place a strain on equipment. Do not bolt flanged joints tight unless they match. Grade, anchor, guide, and support piping without low pockets. Feedwater treatment feeders must be mounted so that the top of the feeder is no higher than 48 inches above the finished floor.

3.2 EQUIPMENT FOUNDATIONS

Locate equipment foundations as indicated, designed, and made of sufficient size and weight to preclude shifting of equipment under operating conditions or under abnormal conditions that could be imposed upon the equipment. Foundations must meet requirements of the equipment manufacturer. Concrete and grout must conform to specifications detailed on drawing sheet S102

3.3 FIELD QUALITY CONTROL

Perform and furnish everything required for inspections and tests as specified herein to demonstrate that boiler and auxiliary equipment, as installed, are in compliance with contract requirements. Start-up and operate the system. During this time, clean strainers until no further accumulation of foreign material occurs. Exercise care to minimize loss of water when strainers are cleaned. Adjust safety and automatic control instruments as necessary to place them in proper operation and sequence. Test instrumentation must be calibrated and have full scale readings from 1.5 to 2 times test values.

3.3.1 Operational Tests

Furnish the services of an engineer or technician approved by the boiler manufacturer of installation, startup, operational and safety testing. This person must remain on the job until each boiler has been successfully operated. Furnish and perform everything required for inspections and tests of the boiler and auxiliary equipment. Test instrumentation must be calibrated and have full-scale reading from 1.5 to 2 times test values. Demonstrate proper operability of combustion control, flame safeguard control and safety interlocks icluding the bemergency boiler shutdown. Provide a detailed description of all boiler startup and operational tests in the Commissioning Plan.

3.3.1.1 Preliminary Operational Test

Operate the boilers continuously for a period of at least 8 hours to

demonstrate proper operability of the combustion control, flame safeguard control, and safety interlocks.

3.3.1.2 Acceptance Operational Test and Inspection

Prior to requesting an acceptance test, conduct a satisfactory operational test for at least 8 hours, and provide a certified statement that the equipment is installed per all requirements of this guide. Contracting Officer, upon receipt of the notice from the Contractor, will request a boiler inspection by a Naval Facilities Engineering and Expeditionary Warfare Center (EXWC) NAVFAC boiler inspector. Fifteen days advance notice is required for scheduling inspector to conduct acceptance operational test and inspection.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 23 52 46.00 20

LOW PRESSURE WATER HEATING BOILERS (OVER 800,000 BTU/HR OUTPUT)
05/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.13/CSA 4.9	(2017; Errata 2018) Gas-Fired Low Pressure Steam and Hot Water Boilers

ASME INTERNATIONAL (ASME)

ASME BPVC SEC IV	(2017) BPVC Section IV-Rules for Construction of Heating Boilers
ASME CSD-1	(2016) Control and Safety Devices for Automatically Fired Boilers

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2018) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless

NATIONAL BOARD OF BOILER AND PRESSURE VESSEL INSPECTORS (NBBI)

NBBI NB-23 PART 1	(2013) National Board Inspection Code - Part 1 Installation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 211	(2016) Standard for Chimneys, Fireplaces, Vents, and Solid Fuel-Burning Appliances
NFPA 31	(2016) Standard for the Installation of Oil-Burning Equipment
NFPA 54	(2018) National Fuel Gas Code
NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code
NFPA 85	(2015; Errata 1 2015; ERTA 2 2016) Boiler and Combustion Systems Hazards Code

UNDERWRITERS LABORATORIES (UL)

UL 296	(2017) UL Standard for Safety Oil Burners
UL 726	(1995; Reprint Oct 2013) Oil-Fired Boiler Assemblies
UL 795	(2016) UL Standard for Safety Commercial-Industrial Gas Heating Equipment

1.2 RELATED REQUIREMENTS

Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS, applies to this section, with the additions and modifications specified herein.

1.3 DESIGN REQUIREMENTS

Boiler must be suitable for installation in the space shown with ample room for opening doors and cleaning and removal and replacement of tubes. Boiler must have an output of BTU per hour with an efficiency of not less than required by the applicable military specification. Boiler must be designed and tested in accordance with ASME BPVC SEC IV, ASME CSD-1, NFPA 70, NFPA 54, NFPA 31, and ANSI Z21.13/CSA 4.9. Install boiler in accordance with NBBI NB-23 PART 1. Boiler shall be complete with an explosion-relief door, located in accordance with manufacturer's recommendations. Paint boiler in accordance with manufacturer's standard requirements. Boiler design parameters must be as follows: working pressure of 160 psig; operating pressure of 30 psig; operating temperature of 180 degrees F ; and return water temperature of 160 degrees F . Provide a thermostatically controlled three-way mixing valve on the water supply to the boiler suitable for operating conditions of the boiler.

1.3.1 Boiler Installation Requirements

1.3.1.1 Location

Install Boiler(s) and associated hot water pumps in a mechanical room inside the facility in accordance with NBBI NB-23 PART 1. Provide ample clearance around boilers to allow access for inspection, maintenance and repair. Passageways around all sides of boilers must have an unobstructed minimum width of 36 inches or the clearances recommended by the boiler manufacturer whichever is greater.

1.3.1.2 Combustion Air

Provide supply of air for combustion and ventilation. In accordance with NFPA 54, NFPA 211 and manufacturer's installation manual, calculate the amount of combustion air necessary to operate the boiler. Install and locate properly sized combustion air dampers and louvers.

1.3.1.3 Sequence of Operation

Local, manual starting of boilers is required. Remote starting and stopping of the boiler by the HVAC control system is not permitted. This is to ensure that an operator witness the initial firing of the boiler at the beginning of each heating season to verify proper operation of the boiler and to promote proper maintenance.

1.3.2 Detail Drawings

1.3.2.1 Drawings

Show boiler hot water isolation valves, emergency disconnect switch, and complete boiler gas train on the contract drawings.

1.3.2.2 Fuel Train / Wiring Diagram

Submit fuel train and wiring diagram.

1.3.3 Water Analysis

Provide test reports of water analysis. Follow UFC 3-240-13FN Industrial Water Treatment for all boiler installations.

1.3.4 Safety Standards

Hot water boilers, burners, and any supplementary control devices, safety interlocks, or limit controls required under this specification must meet requirements of the following standards as applicable:

a. Oil-Fired Units: UL 726 or NFPA 70, NFPA 31, ASME CSD-1.

b. Gas-Fired Units: ASME CSD-1, NFPA 70, NFPA 54, ANSI Z21.13/CSA 4.9 or UL 795.

c. Combination Gas and Oil-Fired Units: ASME CSD-1, NFPA 54, NFPA 31, NFPA 70, UL 726, ANSI Z21.13/CSA 4.9, UL 795 or UL 296..

d. All Units: ASME BPVC SEC IV, NFPA 70 and ASME CSD-1.

Controls not covered by the above must have a UL label, UL listing mark, or be listed in the Factory Mutual Approval Guide.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Deliver submittals for this section to the project Contracting Officer, who will forward two complete sets of copies to the appropriate approving official for review and approval.

SD-02 Shop Drawings

Fuel Train

Wiring Diagram

SD-03 Product Data

Boilers: power output, efficiency, ASME certification, allowable working pressure, model number

Boiler Trim and Control Equipment

Burners and Control Equipment

SD-06 Test Reports

Operational Tests

SD-07 Certificates

Boilers

Burners and Control Equipment

Boiler Trim and Control Equipment

Water Analysis

Boiler manufacturer's certificate of boiler performance including evidence that the burners provided are a make, model, and type certified and approved by the manufacturer of the boiler being provided.

SD-08 Manufacturer's Instructions

Boilers

Feedwater Treatment System

SD-10 Operation and Maintenance Data

Boilers, Data Package 4

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

SD-11 Closeout Submittals

Posted Operating Instructions for Heating Water Boilers

PART 2 PRODUCTS

2.1 BOILERS

Conform to the applicable requirements of ASME BPVC SEC IV and ASME CSD-1. Hot-water boilers must be horizontal firetube, multipass, modified scotch-type of the dry or wet-back type, packaged units mounted on a skid-type structural steel base. Provide each boiler complete burner and fuel system, a forced or induced draft fan, an automatic electronic control system complete with combustion and flame safeguard controls, firing sequence programmer, safety interlocks, limit controls and central control panel, and such trim and appurtenances as are peculiar to water units as specified herein. Units must be factory-wired and assembled except for such readily installed appurtenances as safety valves, water columns, and pressure gages. Units must be complete and ready for operation when connected to water, fuel, and electrical supplies.

2.2 BURNERS AND CONTROL EQUIPMENT

2.2.1 Gas-Fired Power Burner

Automatic recycling burner. Interrupted pilot type ignition system, and pilot must be electrode-ignited natural gas type. The combustion control system must be the positioning type. Design burner and combustion-control equipment for firing natural gas having a specific gravity of 0.6 and a heating value of approximately 1000 BTU per cubic foot and be an integral part of the boiler. Burner controls and safety equipment must conform to the applicable requirements of ASME CSD-1, NFPA 54, ANSI Z21.13/CSA 4.9 and UL 795. Mount controls; including operating switches, indicating lights, gages, alarms, motor starters, fuses, and circuit elements of control systems on a single control panel or cabinet designed for separate mounting not on the burner. Locate flame scanner such that testing and cleaning of scanner can be accomplished without disassembly of burner. Provide fuel train as indicated. Gas pressure available: 14 in. wc for B43, B44, B49 and 2 PSI for B23A.

2.3 BOILER TRIM AND CONTROL EQUIPMENT

Provide in accordance with ASME CSD-1 and ASME BPVC SEC IV. Boiler trim must comply with ASME BPVC SEC IV and additional appurtenances specified below. Non-recycling control interlocks must have the reset located on control interlock.

2.3.1 Emergency Disconnect Switch

Provide and locate on wall outside boiler room entrance or just inside door, when boiler room door is on the building exterior to allow rapid and complete shutdown of the boiler in the event of an emergency as required by ASME CSD-1. Emergency switch must be a 20-amp. fuse-type safety switch. Switch must be red and furnished with a label indicating function of switch.

2.3.2 Relief Valves

Provide relieving capacity for the full output of boiler installed. Safety relief-valve piping must conform to ASTM A53/A53M, schedule 40 steel pipe and be piped full size to a floor drain .

2.3.3 Pressure Gage

Provide with a scale equivalent to 1.5 time outlet water pressure with a 6 inch diameter. Locate one on supply water piping and one on the return water piping.

2.3.4 Thermometers

Provide thermometers with a scale equivalent to 1.5 times the outlet water temperature. Provide one located on supply water piping and one on return water piping.

2.3.5 Drain Tapping

Provide drain valve and piping to a floor drain .

2.3.6 Make-up Water Station

2.3.6.1 Pressure Reducing Station

Provide a water pressure-reducing valve and relief valve, or a combination of the two in the makeup water line to the boiler to maintain a water pressure of 12 psig in the hot water system. Provide a 3/4 inch globe valve by-pass around this valve.

2.3.6.2 Backflow Preventers

Section 22 00 00 PLUMBING, GENERAL PURPOSE. Locate upstream of by-pass.

2.3.7 Stack Thermometer

Provide flue gas-dial type thermometer with scale calibrated from 150 to 750 degrees F and mount in flue gas outlet.

2.3.8 Air Vent Valve

Provide with screwed connections, stainless steel disk, and stainless steel seats to vent entrapped air from boiler.

2.3.9 Feedwater Treatment System

Provide floor mounted Type II - shot-type feeder (manual, intermittent feed), Style A - as indicated with pressure up to 200 psig maximum.

2.3.10 Combustion Regulator

Provide adjustable temperature, thermostatic immersion type that limits boiler water temperature to a maximum of 250 degrees F. Control must actuate burner through an electric relay system to maintain boiler water temperature within normal prescribed limits at loads within rated capacity of boiler.

2.3.11 High Temperature Limit Switch

Provide adjustable immersible aquastat type with a temperature setting above that of the combustion regulator and below that of the lowest relief valve setting. Aquastat will function to cause a safety shutdown by closing fuel valves, shutting down burner equipment, activating a red indicating light, and sounding an alarm in the event that boiler water temperature rises to the high temperature limit setting. A safety shutdown due to high temperature will require manual reset before operation can resume and prevent recycling of the burner equipment. Pre-set high temperature limit devices that cannot be easily tested are not allowed.

2.3.12 Low Water Level Cutoff Switch

Provide float actuated type. Low water level cutoff must cause a safety shutdown by closing fuel valves, shutting down burner equipment, activating a red indicating light, and sounding an alarm in the event that water level drops below the lowest safe permissible water level established by the boiler manufacturer and ASME BPVC SEC IV. A safety shutdown due to low water level will require manual reset before operation can resume and prevent recycling of burner equipment.

2.3.13 Boiler Safety Control Circuits

Provide boiler safety control circuits, including control circuits for burner and draft fan, that are single-phase, two-wire one-side grounded, and not over 120 volts. Provide safety control switching in ungrounded conductors. Provide overcurrent protection. In addition to circuit grounds, ground metal parts which do not carry current to a grounding conductor.

2.3.14 Indicating Lights

Each safety interlock requiring a manual reset must have an individually labeled red indicating light. Non-recycling control interlocks must have the reset located on the control itself. Red indicating lights on the control panel may be omitted if the burner combustion control system has a Keyboard Display Module installed that will identify the lockout information required in Item c. below. Indicating light colors are as follows
:

a. Amber: Ignition on

b. Blue: Draft

c. Green: Main fuel safety shut-off valves open

d. Red (One for Each): Safety lockout, flame failure, low water level, and high temperature.

2.3.15 Alarm Bell

Provide alarm bell, electrically operated, with a manual disconnect switch. Disconnect switch must be type and wired so that switching off alarm following a safety shutdown will not prevent alarm from sounding again upon recurrence of a subsequent safety shutdown condition.

2.3.16 Post-Combustion Purge

Provide a post-combustion purge in accordance with ASME CSD-1. Provide controls and wiring necessary to assure operation of draft fan for a period of not less than 15 seconds or of sufficient duration to provide eight complete air changes in the boiler combustion chamber (whichever is greater) following shutdown of burner upon satisfaction of heat demand. Upon completion of post-combustion purge period, draft fan must automatically shutdown until next restart.

2.3.17 Draft

Comply with boiler manufacturer's recommendations.

2.3.18 Smoke Density Indicator

2.3.19 Annunciator

Provide in accordance with ASME CSD-1 or NFPA 85.

2.4 ELECTRIC MOTORS

Motors which are not an integral part of a packaged boiler must be rated

for high efficiency service per Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Motors which are an integral part of the packaged boiler system must be the highest efficiency available by the manufacturer of the packaged boiler.

PART 3 EXECUTION

3.1 EQUIPMENT INSTALLATION

Install equipment in accordance with the manufacturer's installation instructions and NBBI NB-23 PART 1. Grout equipment mounted on concrete foundations before installing piping. Install piping in such a manner as not to place a strain on equipment. Do not bolt flanged joints tight unless they match. Grade, anchor, guide, and support piping without low pockets. Mount feedwater treatment feeders so that the top of the feeder is not higher than 48 inches above the finished floor.

3.2 EQUIPMENT FOUNDATIONS

Locate equipment foundations as indicated, designed, and made of sufficient size and weight to preclude shifting of equipment under operating conditions or under any abnormal conditions that could be imposed upon the equipment. Foundations must meet requirements of the equipment manufacturer. Concrete and grout must conform to specifications detailed on drawing sheet S102

3.3 MANUFACTURER'S FIELD SERVICES

Furnish the services of an engineer or technician approved by the boiler manufacture for installation inspection, startup, and tests of equipment as specified below. After installation of equipment the engineer or technician must provide a signed certificate or certified written statement that the equipment is installed in accordance with the manufacturer's recommendations. Services of more than one engineer or technician may be required based on types of specific equipment. One engineer or technician as appointed by the Contractor must supervise and be responsible for the overall installation, start-up, test, and check out of systems. This person must remain on the job until each unit has been in successful operation for 3 days and accepted.

3.4 BOILER CLEANING

Before being placed in service, boiler must be boiled out for a period of 24 hours at a pressure not exceeding 12 psig. Solution to be used in the boiler for the boiling out process will consist of two pounds of trisodium phosphate per 100 gallons of water. Upon completion of boiling out, flush out boiler with potable water, drain, and charge with chemically treated water. Protect boiler and appurtenances against internal corrosion until testing is completed and boiler is accepted. Professional services are required for cleaning/treatment process.

3.5 FIELD QUALITY CONTROL

Perform and furnish everything required for inspections and tests as specified herein to demonstrate that boiler and auxiliary equipment, as installed, are in compliance with contract requirements. Start up and operate the system. During this time, clean strainers until no further accumulation of foreign material occurs. Exercise care to minimum loss of water occurs when strainers are cleaned. Adjust safety and automatic

control instruments as necessary to place them in proper operation and sequence. During startup and during tests, factory-trained engineers or technicians employed by individual suppliers of such components as the burner, flame safeguard and combustion controls, and other auxiliary equipment must be present as required, to insure proper functioning, adjustment, and testing of individual components and systems. Test instrumentation must be calibrated and have full scale reading from 1.5 to 2 times test values.

3.5.1 Operational Tests

Furnish the services of an engineer or technician approved by the boiler manufacturer of installation, startup, operational and safety testing. This person must remain on the job until each boiler has been successfully operated. Furnish and perform everything required for inspections and tests of the boiler and auxiliary equipment. Test instrumentation must be calibrated and have full-scale reading from 1.5 to 2 times test values. Demonstrate proper operability of combustion control, flame safeguard control and safety interlocks. Provide a detailed description of all boiler startup and operational tests in the Commissioning Plan.

3.5.1.1 Preliminary Operational Test

Operate boilers continuously for a period of at least 8 hours to demonstrate proper operability of the combustion control, flame safeguard control, and safety interlocks.

3.5.1.2 Acceptance Operational Test and Inspection

Prior to requesting an acceptance test, conduct a satisfactory operational test for at least 8 hours, and provide a certified statement that the equipment is installed per all requirements of this guide. The Contracting Officer, upon receipt of the notice from the Contractor, will request a boiler be inspected by a Naval Facilities Engineering and Expeditionary Warfare Center (EXWC) NAVFAC boiler inspector. Fifteen days advance notice is required for scheduling inspector to conduct acceptance operational test and inspection.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 25 08 10

UTILITY MONITORING AND CONTROL SYSTEM TESTING
04/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

CONSUMER ELECTRONICS ASSOCIATION (CEA)

CEA-709.1-D	(2014) Control Network Protocol Specification
CEA-709.3	(1999; R 2004) Free-Topology Twisted-Pair Channel Specification
CEA-852-C	(2014) Tunneling Component Network Protocols Over Internet Protocol Channels

1.2 DEFINITIONS

1.2.1 Algorithm

A set of well-defined rules or procedures for solving a problem or providing an output from a specific set of inputs.

1.2.2 Analog

A continuously varying signal value (temperature current, velocity, etc.).

1.2.3 Analog to Digital (A/D) Converter

An A/D converter is a circuit or device whose input is information in analog form and whose output is the same information in digital form.

1.2.4 CEA-709.1-D

"Control Network Protocol Specification", Standard communication protocol for networked control systems that provides peer-to-peer communications.

1.2.5 Application Specific Controller

A device that is furnished with a pre-established built in application that is configurable but not re-programmable.

1.2.6 Architecture

Architecture is the general organization and structure of hardware and software.

1.2.7 Binary

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level.

1.2.8 Building Point of Connection (BPOC)

The point of connection between the UMCS network backbone and the building network backbone. The hardware at this location, which performs/provides the connection is referred to as the BPOC Hardware.

1.2.9 Control Wiring

This includes conduit, wire, and wiring devices to install complete HVAC control systems, including motor control circuits, interlocks, sensors, PE and EP switches, and like devices. This also includes all wiring from node to node, and nodes to all sensors and points defined in the I/O summary shown on drawings or specified herein, and required to execute the sequence of operation. Does not include line voltage power wiring.

1.2.10 Demand

The maximum rate of use of electrical energy averaged over a specific interval of time, usually expressed in kW.

1.2.11 Diagnostic Program

Machine-executable instructions used to detect and isolate system and component malfunctions.

1.2.12 Distributed Control

A system whereby all control processing is decentralized and independent of a central computer. In regards to a LonWorks based system, it also means where the control logic for a single piece of building level control resides in more than one controller (node).

1.2.13 Graphical User Interface (GUI)

Human-machine interfacing allows the operator to manage, command, monitor, and program the system.

1.2.14 Integration

Establishing communication between two or more systems to create a single system.

1.2.15 Interoperable

Two devices are interoperable if installed into the same system and they communicate with each other without the use of another device (such as a gateway).

1.2.16 LonTalk(r)

Open communication protocol developed by the Echelon(r) Corporation.

1.2.17 LONWORKS(r)

The communication technology developed by Echelon(r) Corporation for control systems developed. The technology is based on the CEA-709.1-D protocol and employs interoperable devices along with the capability to openly manage these devices using a network configuration tool.

1.2.18 LONMARK(r) International (LONMARK(r) Interoperability Assoc.)

Standards committee consisting of numerous independent product developers and systems integrators dedicated to determining and maintaining the interoperability guidelines for the LONWORKS(r) industry.

1.2.19 LonMarked(r)

A device that has been certified for compliance with LonMark(r) standards by the LonMark(r) International.

1.2.20 LONWORKS(r) Application Specific Controller (ASC)

A networked device or node that contains a complete, configurable application that is specific to a particular task.

1.2.21 LONWORKS(r) General Purpose Programmable Controller

A programmable control product, that unlike an ASC, is not installed with a fixed factory-installed application program. The application in the controller is custom software produced by the integrator specifically for the project.

1.2.22 LONWORKS(r) Network Services (LNS)

The database format for addressing nodes and variable bindings node-to-node.

1.2.23 Network

A system of distributed control units that are linked together on a communication bus. A network allows sharing of point information between all control units. Additionally, a network provides central monitoring and control of the entire system from any distributed control unit location.

1.2.24 Network Configuration Tool

Software used to create and modify the control network database and configure controllers.

1.2.25 Node ID

A unique 48-bit node identification (ID) tag given to each node by Echelon Corporation.

1.2.26 Node

An intelligent LONWORKS(r) device with a node ID and communicates via CEA-709.1-D and is connected to an CEA-709.1-D network.

1.2.27 Operating System (OS)

Software which controls the execution of computer programs and which

provides scheduling, debugging, input/output controls, accounting, compilation, storage assignment, data management, and related services.

1.2.28 Operator Workstation (OWS)

The OWS consists of a high-level processing desktop or laptop computer that provides a graphic user interface to network.

1.2.29 Peripheral

Input/Output (I/O) equipment used to communicate to and from the computer and make hard copies of system outputs and magnetic files.

1.2.30 Router

A device which routes messages destined for a node on another segment subnet or domain of the control network. The device controls message traffic based on node address and priority. Routers may also serve as communication links between powerline, twisted pair, fiber, coax, and RF media.

1.2.31 Standard Network Variable Type (SNVT)

A network variable of a standard format type used to define data information transmitted and receive by the individual nodes.

1.2.32 UMCS Network Media

Transmission equipment including cables and interface modules (excluding MODEMs) permitting transmission of digital information.

1.2.33 XIF

"External Interface File" contains the contents of the manufacturer's product documentation.

1.2.34 Gateway

A device that translates from one protocol to another. Gateways are also called Communications Bridges or Protocol Translators.

1.3 SYSTEM DESCRIPTION

a. The purpose of this Specification is to define generic Factory, Performance Verification, and Endurance Test procedures for Utility Monitoring and Control Systems (UMCS) and building level DDC. These tests are to be used to assure that the physical and performance requirements of UMCS and building level DDC are tested, and that the test results are adequately documented. The Government will base certain contractual decisions on the results of these tests.

b. This document covers the factory, performance verification, and endurance test procedures for the Utility Monitoring and Control System (UMCS) and Direct Digital Control for HVAC. It has been written for a host based system where the LONWORKS(r) LNS database resides on the main computer (server) and communicates over the Ethernet (TCP/IP) connection to the field level controller nodes. The system shall be comprised of the server hardware and software, IP network hardware and software, and building point of connection (BPOC) hardware and software.

c. The contractor who provided building level DDC under Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC is responsible for testing the building level DDC. All control testing and controller tuning required under Section 23 09 00 shall be completed and approved before performing Performance Verification and Endurance Tests under this section.

d. The following UFGS: Section 25 10 10 UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION and Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC shall be part of the contract documents.

1.3.1 Test Equipment and Setup

All test equipment calibrations shall be traceable to NIST. The accuracy of the test equipment and overall test method shall be at least twice the maximum accuracy required for the test. For example, if a temperature sensor has an accuracy of +1 degree F over the executed range, the test instrument used shall have an accuracy of at least +0.5 degree F or better. Provide all test equipment unless otherwise noted in the contract documents.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

SD-06 Test Reports

UMCS and Building Level DDC Testing Sequence
Performance Verification Test; G
Endurance Testing

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 UMCS AND BUILDING LEVEL DDC TESTING SEQUENCE

Perform a successful factory test prior to start of installation work, as described in this section. During the installation phase, perform all required field testing requirements on the UMCS and building level DDC as specified in Sections 25 10 10 UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION and 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC, to verify that systems are functioning and installed in accordance with specifications. Submit field test report prior to start of PVT and endurance testing. After completing all required field testing, perform a successful PVT and endurance test. All tests shall be successfully completed, and test reports received, prior to final acceptance of the UMCS

and building level DDC. Perform and document Contractor field test on UMCS and building level DDC.

3.2 COORDINATION

Coordinate the testing schedule with the Government. Coordination shall include controls specified in other sections or divisions which include controls and control devices that are to be part of or interfaced to the UMCS specified in this section.

3.3 PROTECTION

Protect all work and material from damage by the work or workers. The Contractor is liable for any damage caused and responsible for the work and equipment until finally inspected, tested, and accepted. Protect the work against theft, and carefully store material and equipment received onsite that is not immediately installed.

3.4 FIELD TEST REQUIREMENTS

The UMCS contractor shall perform and document contractor start-up and field tests as required by Sections 25 10 10 UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION and 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The field test validates that the UMCS and building level DDC are in operation without any problems or system errors prior to starting a PVT. Validate that all software along with all hardware is installed to meet or exceed the contract document requirements. This includes all LONWORKS(r) networking and monitoring hardware and all peripherals associated with the network and hardware. Start-up and field testing shall include:

3.4.1 Start-up Testing

All testing listed in Sections 25 10 10 and 23 09 00 shall be completed.

3.4.2 Point-to-Point Testing

All point-to-point testing of end field devices through proper input/output to graphic and operator interface shall be completed and approved.

3.4.3 Field Calibration

All field calibration shall be completed and approved.

3.4.4 Detailed Functional Testing

Detailed functional tests, verified by the Government that the system operation adheres to the Sequences of Operation.

3.4.5 Alarms and InterlocksAll alarm limits and testing shall be completed.

3.4.6 System Schedules and Setpoints

All schedule start/stops and system setpoints shall be entered, operating, and approved.

3.5 PERFORMANCE VERIFICATION TEST

3.5.1 Test Plan

Prior to the scheduling of the performance verification tests, provide the Government with a Performance Verification and Endurance Test Plan and Procedures for approval, and receive notification of approval of the Test Plan and Procedures. The plan shall include the following, as a minimum:

a. Installed system one-line block diagram, indicating servers, workstations, peripherals, network equipment, controllers, and instrumentation.

b. Installed system hardware description.

c. Installed system software description, including any software revisions made since the factory test.

d. Listing of control and status points installed in the system; plus a table with the following information:

(1) Input and output variables.
(2) SNVTs for each variable.
(3) Expected engineering units for each variable.
(4) Node ID.
(5) Domain & subnet addressing.

e. Required passwords for each operator access level.

f. List of other test equipment.

3.5.2 Test Procedures

Develop the performance verification test procedures from the generic test procedures in ATTACHMENT A. The test procedures shall consist of detailed instructions for test setup, execution, and evaluation of test results. Edit the generic test procedure for the provided UMCS and building level DDC. Perform a performance verification test (PVT) on the completed UMCS and building level DDC for the Government to verify the system is completely functional. If, during testing, the system fails a portion of a test, the Government will inform the Contractor if the entire test or only the portion that failed shall be re-performed. Give the Government a written report of those items which failed, what the problem was, and what was done to correct it. Provide on-site technical support to perform the PVT. ATTACHMENT A presents the generic UMCS Performance Verification Test Procedures with the following information:

a. Test identification number.

b. Test title.

c. Objective.

d. Initial conditions (if applicable).

e. Test equipment (if required).

f. Sequence of events.

g. Expected results.

3.5.3 Test Report

Submit a final, complete PVT test report, after completing the test, consisting of the following, as a minimum:

a. Section one of the submittal shall be a short summary of the performance verification test.

b. Section two of the submittal shall be a copy of the test plans.

c. Section three shall be the executed test procedure and shall be divided using tabs. Each tab section shall include all pertinent information pertaining to the executed and approved test, showing date and Government representative who witnessed/approved the test.

3.6 ENDURANCE TESTING

3.6.1 General

Endurance Test shall be designed to demonstrate the specified overall system reliability requirement of the completed system. Conduct the Endurance Test in four phases as described below. The Endurance Test shall not be started until the Government notifies the Contractor, in writing, that the Performance Verification Tests have been satisfactorily completed, training as specified has been completed, correction of all outstanding deficiencies has been satisfactorily completed, and that the Contractor has permission to start the Endurance Test. Provide an operator to man the system eight hours per day during first shift operations, including weekends and holidays, during Phase I and Phase III Endurance testing, in addition to any Government personnel that may be made available. The Government may terminate testing at any time if the system fails to perform as specified. Upon termination of testing by the Government or by the Contractor, commence an assessment period as described for Phase II and Phase IV. Upon successful completion of the Endurance Test, submit test reports to the Government explaining in detail the nature of any failures, corrective action taken, and results of tests performed, prior to acceptance of the system. Keep a record of the time and cause of each outage that takes place during the test period.

3.6.2 Phase I

During the Phase I testing, operate the system as specified for 24 hours per day, 7 days per week, for 15 consecutive calendar days, including holidays. Do not make repairs during this phase of testing unless authorized by the Government, in writing. If the system experiences no failures during the Phase I test, proceed directly to Phase III testing, after receiving written permission from the Government.

3.6.3 Phase II

In Phase II, which occurs after the conclusion of Phase I, identify all failures, determine the causes of all failures, repair all failures, and submit a test failure report to the Government. After submitting the written report, convene a test review meeting at the job site to present the results and recommendations to the Government. The meeting shall be scheduled no earlier than five business days after receipt of the report by the Government. As a part of this test review meeting, demonstrate that

all failures have been corrected by performing appropriate Performance Verification Tests. Based on the Contractor's report, the test review meeting, and the Contractor's recommendation, the Government will independently determine the restart point and may require that the Phase I test be totally or partially rerun. Do not commence any required retesting until after receipt of written notification by the Government.

3.6.4 Phase III

After the conclusion of any retesting which the Government may require, repeat the Phase II assessment as if Phase I had just been completed. If the retest is completed without any failures, proceed directly to Phase III testing, after receiving written permission from the Government. During Phase III testing, operate the system as specified for 24 hours per day, 7 days per week, for 15 consecutive calendar days, including holidays. Do not make repairs during this phase of testing unless authorized by the Government, in writing.

3.6.5 Phase IV

In Phase IV, which occurs after the conclusion of Phase III, identify all failures, determine the causes of all failures, repair all failures, and submit a test failure report to the Government. After submitting the written report, convene a test review meeting at the job site to present the results and recommendations to the Government. The meeting shall not be scheduled earlier than five business days after receipt of the report by the Government. As a part of this test review meeting, demonstrate that all failures have been corrected by performing appropriate Performance Verification Tests. Based on the Contractor's report, the test review meeting, and the Contractor's recommendation, the Government will independently determine the restart point and may require that the Phase III test be totally or partially rerun. Do not commence any required retesting until after receipt of written notification by the Government. After the conclusion of any retesting which the Government may require, the Phase IV assessment shall be repeated as if Phase III had just been completed. The Contractor will not be held responsible for failures resulting from the following:

a. An outage of the main power supply in excess of the capability of any backup power source, provided that the automatic initiation of all backup sources was accomplished and that automatic shutdown and restart of the UMCS performed as specified.

b. Failure of a Government-furnished communications link, provided that the LON nodes and LON routers automatically and correctly operate in the stand-alone mode as specified, and that the failure was not due to contractor furnished equipment, installation, or software.

c. Failure of existing Government-owned equipment, provided that the failure was not due to contractor-furnished equipment, installation, or software.

3.6.6 Failure Reports

Provide UMCS Endurance Test Failure Reports. UMCS Test Failure Reports shall explain in detail the nature of each failure, corrective action taken, results of tests performed. If any failures occur during Phase I or Phase III testing, recommend the point at which the Phase I or Phase III testing, as applicable, should be resumed.

3.7 ATTACHMENT A

TEST PROCEDURES

TITLE: Test Index
OBJECTIVE: The following is an index of tests.

NOTES: Tests one through twenty contain specific "item(s)" that apply to Sections 25 10 10 UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION) and 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The following index of tests provides a summary of which "items numbers" apply to which specification.

Test No.	Test Title	Section 23 09 00, Section 25 10 10	DDC for HVAC
One	Initial System Equipment Verification	Items 1 through 15	Items 16 through 32
Two	System Start-up	Items 1 through 4	Items 5 and 6
Three	Monitor and Control Software	Items 1 through 5	Not Applicable
Four	Graphic Display of Data	Items 1 through 18	Not Applicable
Five	Graphic Navigation Scheme	Items 1 and 2	Not Applicable
Six	Command Functions	Items 1 through 6	Not Applicable
Seven	Command Input Errors	Items 1 through 6	Items 1 through 6
Eight	Special Functions	Item 1	Not Applicable
Nine	Software Editing Tools	Items 1 through 42	Items 1 through 42
Ten	Scheduling	Items 1 through 7	Items 8 through 10
Eleven	Alarm function	Items 1 through 15	item 16
Twelve	Trending	Items 1 through 8	Not Applicable
Thirteen	Demand Limiting	Items 1 through 8	Not Applicable
Fourteen	Report Generation	Items 1 through 6	Not Applicable
Fifteen	UPS Test	Items 1 through 5	Not Applicable
Sixteen	CEA-709.1-D to IP Router Test	Items 1 through 3	Not Applicable
Seventeen	CEA-709.1-D Router and Repeater	Not Applicable	Items 1 through 4
Eighteen	CEA-709.1-D Gateway Test	Items 1 through 5	Items 1 through 5
Nineteen	Local Display Panel	Not Applicable	Items 1 through 5

Test No.	Test Title	Section 23 09 00, Section 25 10 10	DDC for HVAC
Twenty	Network Configuration Tool	Items 1 through 8	Items 1 through 8
Twenty-One	Custom Tests	Item 1 and 2	Item 1 and 2

PVT Checklist

OBJECTIVE:

1. Inspect/test/verify that building-level DDC system is compliant with Section 23 09 00 and capable of integration with UMCS

INITIAL REQUIREMENTS/CONDITIONS

1. The following tests shall be completed and documentation shall be submitted to the Government.

2. Date of Checklist: _____
3. Time of Checklist: _____
4. Contractor's Representative: _____
5. Government's Representative: _____

CHECKLIST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS AND DDC FOR HVAC			
1	Draft or Final As-Built Drawings	Drawings submitted and approved	_____
		Point schedule(s) showing all required UMCS SNVTs submitted	_____
		Point schedules(s) showing device network addresses submitted	_____
		Local display panel (LDP) locations indicated on drawings submitted	_____
	Notes: _____		
2	Network Bandwidth Test Report	Test completed, accepted, and a report documenting results submitted	_____
	Notes: _____		
3	Programming software	Most recent version of the programming software for each type of GPPC has been submitted	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
4	XIF Files	External interface files (XIF) files for each model of LONWORKS®-based DDC hardware has been submitted	_____
	Notes: _____		
5	LNS Database	Copies of the LNS database for the completed control network has been submitted	_____
	Notes: _____		
6	LNS Plug-in	LNS Plug-ins for each application specific controller has been submitted	_____
	Notes: _____		
7	Start-up testing report	Start-up has been successfully completed and testing report submitted	_____
		Controller tuning has been completed and document on point schedule	_____
		Calibration accuracy check completed and documented in test report	_____
		Actuator range check completed and documented in test report	_____
		Functional test to demonstrate control sequence completed and documented in test report	_____
	Notes: _____		
8	Software License	Software licenses received for all software on the project	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: One
TITLE: Initial System Equipment Verification

OBJECTIVE:

1. To verify that the hardware and software components of the system provided by the Contractor are in accordance with the contract plans and specifications and all approved submittals.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Submit a detailed list of all approved hardware with Manufacturer, model number and location. This list is based on the contract plans, specifications, change orders (if any) and approved submittals which shall be available for reference purposes during the test.

b. Submit a detailed list of all approved software with revision number and purpose of software. This list is based on the contract plans, specifications, change orders (if any) and approved submittals which shall be available for reference purposes during the test.

2. Equipment

a. Verify all equipment is functional.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	The workstation hardware is installed and complies with specification paragraph titled "Workstation Hardware".	_____	_____
	Notes: _____		
2	The Server hardware is installed and complies with specification paragraph titled "Server Hardware".	_____	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
3	The fiber optic patch panel is installed and complies with specification paragraph titled "Fiber Optic Patch Panel".	_____	_____
	Notes: _____		
4	The fiber optic media converter is installed and complies with specification paragraph titled "Fiber Optic Media Converter".	_____	_____
	Notes: _____		
5	The Ethernet switch is installed and complies with specification paragraph titled "Ethernet Switch".	_____	_____
	Notes: _____		
6	The IP router is installed and complies with specification paragraph titled "IP Router".	_____	_____
	Notes: _____		
7	The CEA-709.1-D to IP router is installed and complies with specification paragraph titled "CEA-709.1-D to IP Router".	_____	_____
	Notes: _____		
8	The CEA-709.1-D gateway is installed and complies with specification paragraph titled "CEA-709.1-D Gateway".	_____	_____
	Notes: _____		
9	The alarm printer is installed and complies with specification		

Item	Action Item	Expected Results	Approved
	paragraphs titled "PRINTERS" and "Alarm Printer".	_____	_____
	Notes: _____		
10	The laser printer is installed and complies with specification paragraphs titled "PRINTERS" and "Laser Printer".	_____	_____
	Notes: _____		
11	The color printer is installed and complies with specification paragraphs titled "PRINTERS" and "Color Printer".	_____	_____
	Notes: _____		
12	The operating system is installed and complies with specification paragraph titled "Operating System (OS)".	_____	_____
	Notes: _____		
13	The office automation software is installed and complies with specification paragraph titled "Office Automation Software".	_____	_____
	Notes: _____		
14	The virus protection software is installed and complies with specification paragraph titled "Virus Protection Software".	_____	_____
	Notes: _____		
15	The configuration server is installed and complies with specification paragraph titled "CEA-852-C Configuration Server".	_____	_____

Item	Action Item	Expected Results	Approved
	Notes: _____		

DDC FOR HVAC

16	The CEA-709.1-D Router is installed and complies with specification paragraph titled "CEA-709.1-D Router".	_____	_____
	Notes: _____		
17	The CEA-709.3 Repeater is installed and complies with specification paragraph titled "CEA-709.3 Repeater".	_____	_____
	Notes: _____		
18	The TP/FT-10 network is installed in accordance with CEA-709.3, with double-terminated bus topology.	_____	_____
	Notes: _____		
19	Network wiring extends to the location of UMCS BPOC.	_____	_____
	Notes: _____		
20	The Gateway is installed and complies with specification paragraph titled "Gateway".	_____	_____
	Notes: _____		
21	All control valves are installed and comply with their associated specification paragraph under the section titled "Control Valves".	_____	_____
	Notes: _____		
22	All dampers are installed and		

Item	Action Item	Expected Results	Approved
	comply with their associated specification paragraph under the section titled "Dampers".	_____	_____
	Notes: _____		
23	All sensors are installed and comply with their associated specification paragraph under the section titled "Sensors".	_____	_____
	Notes: _____		
24	All indicating devices are installed and comply with their associated specification paragraph under the section titled "Indicating Devices".	_____	_____
	Notes: _____		
25	All user input devices are installed and comply with their associated specification paragraph under the section titled "User Input Devices".	_____	_____
	Notes: _____		
26	All output devices are installed and comply with their associated specification paragraph under the section titled "Output Devices".	_____	_____
	Notes: _____		
27	All multifunction devices are installed and comply with their associated specification paragraph under the section titled "Multifunction Devices".	_____	_____
	Notes: _____		
28	All compressed air equipment is		

Item	Action Item	Expected Results	Approved
	installed and complies with their associated specification paragraph under the section titled "Compressed Air".	_____	_____
	Notes: _____		
29	All ASCs are installed and comply with the specification paragraph titled "Application Specific Controller".	_____	_____
	Notes: _____		
30	All LDPs and laptop computers are provided and comply with the specification paragraph titled "Local Display Panel".	_____	_____
	Notes: _____		
31	All GPPCs are installed and comply with the specification paragraph titled "General Purpose Programmable Controller".	_____	_____
	Notes: _____		
32	LNS-based system used to address nodes, bind variables, and LNS database of network exists on system.	_____	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Two
TITLE: System Start-up

OBJECTIVE:

1. To validate that the system properly initializes and that the GUI properly reconnects to all communicating devices.
2. To validate that both application specific and programmable devices retain all vital information upon a power cycle.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide a list of all software that will be used to verify point connection at field level controllers and user interface.

b. Provide a list of all software need to verify application specific and programmable controller start-up.

2. Equipment

a. All peripherals and cables shall be connected in accordance with manufacturer's requirements.

b. The workstation shall be in the off mode.

c. All controls shall be fully functional and tested.

d. A programmable and application specific controller shall be randomly selected for the test.

3. Date of Test: _____
4. Time of Test: _____
5. Contractor's Representative: _____
6. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Energize the workstation.	The workstation will power-up and perform its start-up procedure without generating any errors or problems.	_____
	a) Operating system	Operating system shall be latest version of windows.	_____
	b) Start Network Configuration Tool.	The Network Configuration Tool drawing will open.	_____
	c) Start the System Plug-in.	The System plug-in will open.	_____
	d) Start the Server.	The Server will start.	_____
	e) Start the Workstation.	The Workstation will start. The operator shall now have the ability to view data from any device on the	

Item	Action Item	Expected Results	Approved
		network.	_____
	Notes: _____		
2	Check the communication from the server to the controllers.	Within the workstation software, when a device is selected, dynamic points lists become visible. Dynamic data represents success. A completion event failure message represents failure.	_____
	Notes: _____		
3	Verify on-line status.	All devices shall have on-line status indicated by the workstation software (green indicator).	_____
	Notes: _____		
4	View data from the graphical environment.	When a graphics page is opened, the points on the page should update. Question marks in lieu of data reflect failure.	_____
	Notes: _____		
DDC FOR HVAC			
5	Verify that configuration data in application specific controllers is written to EEPROM.	All configuration parameters should be accessible.	_____
	a) Open the LONWORKS® plug-in.	Software should open without errors.	_____
	b) Note several parameters such as temperature setpoints and flow settings.	Operator is able to view a sample of parameters (data values and setpoints).	_____
	c) Remove power from the controller for a minimum of 3 minutes.	Device should go off-line in Network Configuration Tool and workstation/server.	_____
	d) Replace power to the controller.	Device should return to on-line status.	_____
	e) Using the plug-in, verify that the parameters have not	Parameters shall not have changed.	_____

Item	Action Item	Expected Results	Approved
	changes.		
	Notes: _____		
6	Verify that configuration data in programmable controllers is retained after a power cycle.	_____	_____
	a) From the Workstation view several configuration parameters and note the values.	Values of the parameters can be viewed from the tree structure.	_____
	b) Remove power for a minimum of 3 minutes.	Controller will go offline in workstation software.	_____
	c) Replace power to the controller.	Controller will return to online status.	_____
	d) From the Workstation view the same configuration parameters and note the values.	Parameters values shall not have changed.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Three
TITLE: Monitor and Control (M&C) Software Passwords

OBJECTIVE:

1. To validate that the system utilizes four basic password levels
2. To validate that each password level has the specified authority

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of M&C user password capacity in comparison with specification.

b. Provide a complete list of all users along with their passwords and user level prior to testing.

2. Equipment

a. Server and Workstation

3. Reference Documentation

a. Provide user manual documentation for setting up passwords

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Create password for new users.	New users shall exist in the server Database.	_____
	a) Set-up 4 users.	_____	_____
	b) Assign different levels to each.	_____	_____
	Notes: _____		
2	Demonstrate level 1 authority.	_____	_____
	a) Sign in as the level 1 user.	Sign in shall be successful.	_____
	b) Attempt to view a system graphic.	Action shall be possible.	_____
	c) Attempt to acknowledge an alarm.	Action shall be denied.	_____
	d) Attempt to configure a trend.	Action shall be denied.	_____
	e) Attempt to configure a report.	Action shall be denied.	_____

Item	Action Item	Expected Results	Approved
	f) Attempt to override a point.	Action shall be denied.	_____
	g) Attempt to configure an alarm.	Action shall be denied.	_____
	h) Attempt to configure a schedule.	Action shall be denied.	_____
	i) Attempt to configure a demand limiting parameter.	Action shall be denied.	_____
	j) Attempt to modify a graphic page.	Action shall be denied.	_____
	k) Attempt to create a custom program.	Action shall be denied.	_____
	Notes: _____		
3	Demonstrate level 2 authority.	_____	_____
	a) Sign in as the level 2 user.	Sign in shall be successful.	_____
	b) Attempt to view a system graphic.	Action shall be possible.	_____
	c) Attempt to acknowledge an alarm.	Action shall be possible.	_____
	d) Attempt to configure a trend.	Action shall be possible.	_____
	e) Attempt to configure a report.	Action shall be possible.	_____
	f) Attempt to override a point.	Action shall be denied.	_____
	g) Attempt to configure an alarm.	Action shall be denied.	_____
	h) Attempt to configure a schedule.	Action shall be denied.	_____
	i) Attempt to configure a demand limiting parameter.	Action shall be denied.	_____
	j) Attempt to modify a graphic page.	Action shall be denied.	_____
	k) Attempt to create a custom program.	Action shall be denied.	_____
	Notes: _____		
4	Demonstrate level 3 authority.	_____	_____
	a) Sign in as the level 3 user.	Sign in shall be successful.	_____
	b) Attempt to view a system graphic.	Action shall be possible.	_____
	c) Attempt to acknowledge an alarm.	Action shall be possible.	_____
	d) Attempt to configure a	Action shall be possible.	

Item	Action Item	Expected Results	Approved
	trend.		_____
	e) Attempt to configure a report.	Action shall be possible.	_____
	f) Attempt to override a point.	Action shall be possible.	_____
	g) Attempt to configure an alarm.	Action shall be possible.	_____
	h) Attempt to configure a schedule.	Action shall be possible.	_____
	i) Attempt to configure a demand limiting parameter.	Action shall be possible.	_____
	j) Attempt to modify a graphic page.	Action shall be denied.	_____
	k) Attempt to create a custom program.	Action shall be denied.	_____

Notes: _____

_____

5	Demonstrate level 4 authority.	_____	_____
	a) Sign in as the level 3 user.	Sign in shall be successful.	_____
	b) Attempt to view a system graphic.	Action shall be possible.	_____
	c) Attempt to acknowledge an alarm.	Action shall be possible.	_____
	d) Attempt to configure a trend.	Action shall be possible.	_____
	e) Attempt to configure a report.	Action shall be possible.	_____
	f) Attempt to override a point.	Action shall be possible.	_____
	g) Attempt to configure an alarm.	Action shall be possible.	_____
	h) Attempt to configure a schedule.	Action shall be possible.	_____
	i) Attempt to configure a demand limiting parameter.	Action shall be possible.	_____
	j) Attempt to modify a graphic page program.	Action shall be possible.	_____
	k) Attempt to create a custom program.	Action shall be possible.	_____

Notes: _____

_____

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Four
TITLE: Graphic Display of Data

OBJECTIVE:

1. To validate that floor plans and equipment can be graphically displayed through GUI.
2. To validate the proper display of alarms on GUI.
3. To validate the proper display of trend data on GUI.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide hard copies of "snap shots" of sample graphics pages prior to testing.

2. Equipment

a. Complete all graphics.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Notes

a. Different types of data and states should be clearly distinguishable from each other.

5. Date of Test: _____
6. Time of Test: _____
7. Contractor's Representative: _____
8. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
1	Demonstrate the use of a three dimensional representation of a mechanical system.	Equipment shall be represented in a three dimensional manner.	_____
	Notes: _____		
2	Demonstrate the presentation of real time data.	Dynamic real time data shall be presented on a graphics page.	_____
	Notes: _____		
3	Demonstrate the presentation of user	A user defined parameter such as a setpoint shall be	

Item	Action Item	Expected Results	Approved
	entered data.	presented on a graphics page. Different types of data and states should be clearly distinguishable from each other.	_____
	Notes: _____		
4	Demonstrate the presentation of a point in override.	An indication of override condition shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	_____
	Notes: _____		
5	Demonstrate the presentation of a device in the alarm state.	An indication of the alarm state shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	_____
	Notes: _____		
6	Demonstrate the presentation of data that is out of range.	An indication of out of range condition shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	_____
	Notes: _____		
7	Demonstrate the presentation of missing data (controller is offline).	An indication of missing data shall be viewable on the graphic page. Different types of data and states should be clearly distinguishable from each other.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
8	Demonstrate an error message when the operator attempts to execute in improper command.	An error message shall be displayed.	_____
	Notes: _____		
9	Demonstrate point and click access to context sensitive help.	Operator shall be able to easily access context sensitive help using the mouse.	_____
	Notes: _____		
10	Demonstrate point and click access to an engineering diagram.	Operator shall be able to access an engineering diagram using the mouse.	_____
	Notes: _____		
11	Demonstrate the creation of an engineering diagram.	Operator shall be able to create an engineering diagram.	_____
	Notes: _____		
12	Demonstrate the printing of a prepared report.	Operator shall be able to print a report using the mouse.	_____
	Notes: _____		
13	Demonstrate the display of one or more points.	Operator shall be able to request the display of one or more points.	_____
	Notes: _____		
14	Demonstrate the operator override of a point.	Operator shall be able to override a point.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
15	Demonstrate the modification of a time schedule.	Operator shall be able to modify a time schedule.	_____
	Notes: _____		
16	Demonstrate the execution of a report.	Operator shall be able to initiate a report.	_____
	Notes: _____		
17	Demonstrate the presentation of an alarm to include:	Operator shall be able to view an alarm with all of the required data.	_____
	a) Identification	_____	_____
	b) Date and time	_____	_____
	c) Alarm Type	_____	_____
	d) Set Points	_____	_____
	e) Units	_____	_____
	f) Current Value	_____	_____
	g) Priority	_____	_____
	h) Associated message & Secondary message	_____	_____
	Notes: _____		
18	Demonstrate the presentation of real time trend data.	Operator shall be able to view real time trend data as a function of time.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Five
TITLE: Graphic Navigation Scheme

OBJECTIVE:

1. To validate hierarchical graphic displays from main screen to end devices.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

   a. Provide a hierarchical block diagram of the system network prior to testing.

2. Equipment

   a. Have all programming completed to demonstrate graphic display.

3. Reference Documentation

   a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Demonstrate the creation of a hierarchical tree structure for the presentation of point data with at least five levels.	Operator shall be able to organize point data graphic display in a hierarchical tree structure based on any organization desired. A typical organization could be: - Installation - Building - Building sub area - Main System-Unit - Terminal Unit	_____
	Notes: _____		
2	Demonstrate the creation of a hierarchical navigation structure for the graphic pages.	Operator shall be able or organize the graphical navigation from page to page using any hierarchical structure desired.	

Item	Action Item	Expected Results	Approved
		Examples: Home page to building 1 Building 1 to AHU 1 Building 1 back to Home Page Building 1 to 1st Floor Plan AHU 1 back to Building 1 AHU 1 back to Home Page AHU 1 to Terminal Unit Summary 1st Floor Plan back to Building 1 1st Floor Plan back to Home Page 1st Floor Plan to Any Terminal Device Terminal Unit Summary back to AHU 1 Terminal Unit Summary back to Building 1 Terminal Unit Summary back to Home Page Terminal Unit Summary to Individual Device	_____

Notes: _____

_____

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Six
TITLE: Command Functions

OBJECTIVE:

1. To demonstrate the functionality and ability to execute command to the end devices.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

   a. Provide documentation of all command functions prior to testing.

2. Equipment

   a. Have all command functions programmed and functional.

3. Reference Documentation

   a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS AND DDC FOR HVAC			
1	From the tree structure, modify a parameter such as a set point.	The modified value shall be downloaded to the controller without delay and the controller performance shall be viewable by the monitoring of other dynamic points.	_____
	Notes: _____		
2	From a graphic page, modify a parameter such as a set point.	The modified value shall be downloaded to the controller without delay and the controller performance shall be viewable by the monitoring of dynamic points.	_____
	Notes: _____		
3	From the tree structure, place an analog output point under operator	The analog output point shall accept the assigned value and ignore changes	

Item	Action Item	Expected Results	Approved
	override and assign a fixed value.	from application logic until the point is taken out of override.	_____
	Notes: _____		
4	From a graphic page, place an analog output point under operator override and assign a fixed value.	The analog output point shall accept the assigned value and ignore changes from application logic until the point is taken out of override.	_____
	Notes: _____		
5	From the tree structure, place a digital output point under operator override and assign a fixed value.	The digital output point shall accept the assigned value and ignore changes from application logic until the point is taken out of override.	_____
	Notes: _____		
6	From a graphic page, place a digital output point under operator override and assign a fixed value.	The digital output point shall accept the assigned value and ignore changes from application logic until the point is taken out of override.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Seven
TITLE: Command Input Errors

OBJECTIVE:

1. To validate that the system ensures the necessary authority for command inputs
2. To validate that the system can control the range of command input values

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

   a. Provide all command input error messages prior to testing.

2. Equipment

   a. UMCS and DDC hardware and software

3. Reference Documentation

   a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS AND DDC FOR HVAC			
1	Login using a password with point command.	Login occurs.	_____
	Notes: _____		
2	Request a display of a SNVT.	The system displays the controllers SNVT value.	_____
	Notes: _____		
3	Override the SNVT point to a selected value.	The SNVT value override changes the value in the controller.	_____
	Notes: _____		
4	Release the override of	The SNVT value returns to	

Item	Action Item	Expected Results	Approved
	a SNVT.	normal.	_____
	Notes: _____		
5	For an nvi to a controller with a limit of 50 to 80, command the nvi to a value of 90.	The value will go the maximum of 80.	_____
	Notes: _____		
6	For an nvi to a controller for which the operator only has read privileges, command the nvi to a value of 90.	The operator will be denied the ability to command the nvi to any value.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Eight
TITLE: Special Functions

OBJECTIVE:

1. Verify system has special integration as defined.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

   a. Provide documentation of all integrations prior to testing.

2. Equipment

   a. Have all UMCS and DDC hardware and software programmed, integrated, and completed.

3. Reference Documentation

   a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Verify that a building that uses controls from a vendor other than the one being installed can be integrated into the GUI without any loss of functionality. (A simulated building will be set up using an IP-L router and controllers from Honeywell, TAC, Trane, etc.)	Data from the other vendors controllers shall be integrated into the GUI and the same functionality that would exist if the controllers were from the same manufacture shall exist.	_____

Notes: _____

_____

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Nine
TITLE: Software editing tools

OBJECTIVE:

1. To validate the performance of the M & C application programming tool for the GPPC.
2. To validate the performance of the display editing tool.
3. To validate the performance of the report generation display tool.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation and a backup softcopy of the editing tool prior to testing.

b. Provide documentation of any future software upgrade versions that pertain to the software-editing tool.

2. Equipment

a. Have working knowledge of the full capability of the software-editing tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS and DDC for HVAC			
1	Demonstrate the programming of an override function in a GPPC.	Operator shall be able to use the programmed function to override an output point in a GPPC.	_____
	Notes: _____		
2	Demonstrate software that enables the monitoring of data from a GPPC.	Operator shall be able to monitor points from a GPPC.	_____
	Notes: _____		
3	Demonstrate timer	Control logic shall honor	

Item	Action Item	Expected Results	Approved
	functions within applications of GPPC.	the built in timers.	_____
	a) delay on	_____	_____
	b) delay off	_____	_____
	c) one second delays	_____	_____
	d) interval timers	_____	_____
	Notes: _____		
4	Demonstrate logic loops ("for" and "while") in GPPC.	Control logic shall honor the criteria.	_____
	Notes: _____		
5	Demonstrate if-then-else logic in GPPC.	Control logic shall properly follow the if, then, else requirements.	_____
	Notes: _____		
6	Demonstrate basic math functions in GPPC.	Control logic shall properly execute math functions.	_____
	Notes: _____		
7	Demonstrate Boolean math functions in GPPC.	Control logic shall properly execute the functions.	_____
	Notes: _____		
8	Demonstrate exponential math functions in GPPC.	Control logic shall properly execute the functions.	_____
	Notes: _____		
9	Demonstrate trigonometric math functions in GPPC.	Control logic shall properly execute the functions.	_____
	Notes: _____		
10	Demonstrate bitwise math functions in GPPC.	Control logic shall properly execute the functions.	_____

Item	Action Item	Expected Results	Approved
	Notes: _____		
11	Create a user defined subroutine/function in GPPC.	Subroutine/function shall work correctly and be easily reused.	_____
	Notes: _____		
12	Create alarm conditions in GPPC.	Alarm variables shall be created according to the criteria.	_____
	Notes: _____		
13	Create and save a graphic symbol at the server.	Symbol shall be reusable on a new graphic.	_____
	Notes: _____		
14	Modify a graphic symbol at the server.	Operator shall be able to open an existing symbol and make changes.	_____
	Notes: _____		
15	Save a graphic symbol to a library at the server.	Symbol shall be available from the library for reuse.	_____
	Notes: _____		
16	Delete a graphic symbol at the server.	Symbol shall no longer exist for use.	_____
	Notes: _____		
17	Place a graphic symbol on a new graphic page at server.	When the new page is opened, the symbol shall be there.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
18	Associate particular conditions with particular displays at the server.	When the conditional variable changes, the display should change.	_____
	Notes: _____		
19	Overlay alphanumeric text on a graphic at the server.	Text shall properly display.	_____
	Notes: _____		
20	Create a new graphic from an old one at the server.	New graphic shall properly display.	_____
	Notes: _____		
21	Place dynamic data on a graphic at the server.	The dynamic data shall be viewable on the graphic.	_____
	Notes: _____		
22	Define the background color of a new graphic at the server.	The new graphic shall show the selected background color.	_____
	Notes: _____		
23	Define a foreground color for an element on a graphic to distinguish it from the background color at the server.	The color of the dynamic data that uses the foreground color shall display in the foreground color.	_____
	Notes: _____		
24	Position a symbol on a graphic at the server.	The operator shall be able to place a symbol at any location on a graphic.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
25	Position and edit alphanumeric descriptors at the server.	The alphanumeric display shall be as designed.	_____
	Notes: _____		
26	Draw lines on a graphic at the server.	Lines shall display as drawn.	_____
	Notes: _____		
27	Associate source of dynamic data for presentation on a graphic at the server.	Correct data shall be displayed.	_____
	Notes: _____		
28	Display analog data on a graphic page at the server.	Correct data shall be displayed.	_____
	Notes: _____		
29	Demonstrate the movement of the curser (crosshairs) by the use of the mouse at the server.	Crosshairs shall follow the commands from the mouse.	_____
	Notes: _____		
30	Demonstrate the simultaneous use of multiple graphics (coincident graphics)at the server.	Operator shall see the use of the tile function and the use of the tab function to manage multiple graphics.	_____
	Notes: _____		
31	Associate graphic properties such as color with the values from dynamic variables at	Graphic properties shall change as the value of the dynamic variable changes.	

Item	Action Item	Expected Results	Approved
	the server.		_____
	Notes: _____		
32	Create conditional displays based on the value of a dynamic variable at the server.	The graphic display shall change as the dynamic variable changes.	_____
	Notes: _____		
33	Review the standard symbol library at the	Operator shall see how to access symbols from the standard symbol library.	_____
	Notes: _____		
34	Demonstrate how to move data from the database to a report at the server.	The executed report shall contain data from the database.	_____
	Notes: _____		
35	Add comments and headers to a report at the server.	The executed report shall contain the comments and headers.	_____
	Notes: _____		
36	Demonstrate the time stamping of data in a report at the server.	Data presented in a report shall include the date and time the data was sampled.	_____
	Notes: _____		
37	Demonstrate the time stamping of the report generation at the server.	A report shall include the date and time it executed.	_____
	Notes: _____		
38	Demonstrate basic mathematical manipulation	Report shall display the results of the mathematical	

Item	Action Item	Expected Results	Approved
	of data within a report (daily averages, highs, lows, etc.) at the server.	manipulations.	_____
	Notes: _____		
39	Demonstrate the operator's ability to select either automatic or manual generation of a report.	Reports shall execute per the operator's instructions. Report one shall execute per the operator's instructions. Report two shall execute automatically on a time basis per operator's instructions.	_____
	Notes: _____		
40	Demonstrate the selection of either display, print to printer or print to file.	Reports shall execute per the operator's instructions. Report one is printed to printer. Report two is printed to file.	_____
	Notes: _____		
41	Demonstrate how a modified application program is imported into the server database for presentation to the workstations.	Modified list of variables shall be available from a workstation.	_____
	Notes: _____		
42	Demonstrate how a new device is added to the server database for presentation to the workstations.	New list of variables from the new device shall be available from a workstation.	_____
	Notes: _____		

End of Test

Item	Action Item	Expected Results	Approved

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Ten
TITLE: Scheduling

OBJECTIVE:

1. Verify that M&C software has ability to operate end devices off a time of day schedule utilizing defined parameters.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of the minimum programmable schedules in comparison to the specification requirement prior to testing.

b. Provide documentation of all schedules programmed in the UMCS prior to testing.

c. Provide a trend or report log of all equipment on a schedule prior to testing.

2. Equipment

a. Have GPPC and ASC with all scheduling completed for testing.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Demonstrate the basic functionality of a time schedule by monitoring the value of SNVT_occupancy as the time changes through a start time or a stop time.	The value of SNVT_occupancy shall properly track the time schedule.	_____
	Notes: _____		
2	Setup a weekly time schedule for a demo system with independent times for each day of the week and with up to 6 events per day.	Scheduling software shall accommodate the described requirements.	_____

Item	Action Item	Expected Results	Approved
	Notes: _____		
3	Setup a special event or date specific time schedule and verify that this schedule takes precedence over the weekly schedule.	The special event schedule shall take precedence.	_____
	Notes: _____		
4	Setup a group time schedule for a collection of systems. This group schedule shall take precedence over the individual time schedules.	The group schedule shall take precedence.	_____
	Notes: _____		
5	Demonstrate operator access to a time schedule from a graphic page.	Operator shall be able to access the time scheduling editor from a graphic page.	_____
	Notes: _____		
6	Display the current date and time on a graphic page.	Operator shall be able to view the current date and time from a graphic page.	_____
	Notes: _____		
7	Demonstrate automatic daylight savings time adjustment.	Time of day shifts automatically.	_____
	Notes: _____		
HVAC			
8	Demonstrate the ability of GPPC to accept an occupied, unoccupied and standby command from the UMCS.	Equipment shall change modes based on the UMCS or from "system scheduler" SNVT schedule data.	_____

Item	Action Item	Expected Results	Approved
	Notes: _____		
9	Demonstrate the ability of ASC to accept an occupied, unoccupied and standby command from the UMCS.	Equipment shall change modes based on the UMCS SVNT schedule data.	_____
	Notes: _____		
10	Demonstrate use of the default schedule when communication is lost to the UMCS.	Equipment should use the default schedule until communication is reestablished.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Eleven
TITLE: Alarm Function

OBJECTIVE:

1. Verify M&C software is capable of alarm notification and routing.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of alarm managing capacity in caparison with specification.

b. Provide documentation of all alarm types and priorities utilized in the M&C prior to testing.

c. Provide documentation of the alarm routing in this particular M&C.

2. Equipment

a. Provide GPPC and ASC will alarms programmed.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Initiate a basic binary alarm condition such as a fan fail to start.	The nvo (SNVT) displayed on designated server/workstation shall change from a value of 0 to a value of 1. The alarm shall be presented in the alarm window. The alarm shall define the source of the alarm. The alarm shall define the time of the alarm. The alarm shall present its assigned priority. The alarm shall display a text message.	_____

Item	Action Item	Expected Results	Approved
	Notes: _____		
2	Demonstrate the capability of associating a secondary text message with the alarm.	With a simple point and click, the operator shall have access to the secondary text message.	_____
	Notes: _____		
3	Acknowledge the alarm.	The status of the alarm shall changed to acknowledged. The user that acknowledged the alarm shall be recorded along with the date and time of the action.	_____
	Notes: _____		
4	Demonstrate the "pop up" of the alarm window when an alarm occurs.	When the alarm occurs, the alarm window shall automatically open.	_____
	Notes: _____		
5	Demonstrate the capability to send a numeric page when an alarm occurs.	The numeric page is received.	_____
	Notes: _____		
6	Demonstrate the capability to send an e-mail when an alarm occurs.	The e-mail shall be received.	_____
	Notes: _____		
7	Demonstrate the printing of an alarm on the alarm printer.	The printer shall print the alarm.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
8	Identify the file on the hard disk that contains all of the alarms.	Opening the file shall display a list of all of the alarms.	_____
	Notes: _____		
9	Execute a user sort on the alarm file.	The presentation shall follow the defined sort.	_____
	Notes: _____		
10	Print the alarm file.	Paper copy shall be printed.	_____
	Notes: _____		
11	Take an application specific controller off-line.	An alarm should be generated.	_____
	Notes: _____		
12	Take a programmable controller off line.	An alarm should be generated.	_____
	Notes: _____		
13	Simulate a data circuit going off line.	An alarm should be generated.	_____
	Notes: _____		
14	Simulate a point not responding to a command.	An alarm should be generated.	_____
	Notes: _____		
15	Simulate a change of state without command.	An alarm should be generated.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
DDC FOR HVAC			
16	Initiate an alarm condition such as a fan fail to start.	DDC system shall dial a pager and send a numerical alarm. DDC system shall dial an e-mail server. The node shall be able to dial and connect to a remote server and send an e-mail via Simple Mail Transfer Protocol (SMTP). DDC system shall send an e-mail over IP Network. The alarm handling node shall be capable of connecting to an IP network and sending e-mail via Simple Mail Transfer Protocol (SMTP).	_____

Notes: _____

_____

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Twelve
TITLE: Trending

OBJECTIVE:

1. To validate the capability for historical trend data collection and presentation

2. To validate the capability for real time trend data collection and presentation

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of trending capability in comparison with specification.

2. Equipment

a. Provide GPPC or ASC and workstation/server programmed with trend data.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Set up a trend with a 1 second sample rate.	It shall be possible to collect data on a 1 second sample rate.	_____
	Notes: _____		
2	Set up a trend to start and stop at specific times.	It shall be possible to start and stop a trend based on time.	_____
	Notes: _____		
3	Open a trend data display that has 8 values trended versus time.	Trend plots shall show all 8 variables as a function of time.	_____
	a) historical data	_____	_____

Item	Action Item	Expected Results	Approved
	b) instantaneous data	_____	_____
	Notes: _____		
4	Open a pre-programmed trend data presentation.	Trend plot shall open without operator programming.	_____
	Notes: _____		
5	Open the trend configuration dialog box and set up a trend.	Operator shall be able to configure a trend plot.	_____
	Notes: _____		
6	Set up a trend for a randomly selected binary value and a randomly selected analog value.	Any binary or analog variable shall be trendable.	_____
	Notes: _____		
7	Verify that historical trend data is stored on the hard drive.	With the controller offline, historical trend data from that controller shall be presented in a graphical form.	_____
	Notes: _____		
8	Export trend log data to Microsoft Excel for manipulation and printing by the operator.	Data shall be presented in a ****.xls form.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Thirteen
TITLE: Demand Limiting

OBJECTIVE:

1. Verify M&C software has the capability of performing demand-limiting strategies

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of the specific equipment being monitored.

b. Provide documentation of the load shed priority and the equipment associated with the priorities.

2. Equipment

a. Provide GPPC and ASC programmed for demand-limit strategies.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	From the home page of the M&C go to or click on the graphical demand-limiting page.	The demand-limiting page will open without any errors.	_____
	Notes: _____		
2	Document the present kW load_____.	The M&C will display the actual kW.	_____
	Notes: _____		
3	Set kW limit setpoint to cause program to shed load.	_____	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
4	Turn off 25% of the mechanical equipment being monitored.	The kW usage will decrease.	_____
	Notes: _____		
5	Allow the building(s) to remain at 75% for a given time as to generate a temperature load.	The building(s) will warm-up/cool down.	_____
	Notes: _____		
6	After time period has expired, turn all equipment on at the same time.	The kW usage will greatly increase. The M&C will stop other pieces of equipment as to shed the load. The equipment shut down will be priority based. After the building(s) come under temperature control the M&C will start all of the equipment. The equipment start up will be priority based.	_____
	Notes: _____		
7	Verify the building(s) remain under temperature control and go back to the home page.	The building(s) will come under control. The home page will be displayed.	_____
	Notes: _____		
8	Reset kW setpoint to normal limits.	The UMCS goes back to normal control.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
End of Test			

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Fourteen
TITLE: Report Generation

OBJECTIVE:

1. To demonstrate that M&C software has ability to generate reports in a fixed format initialized by operator request

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide documentation of all report logs set-up and the equipment associated with the report logs.

2. Equipment

a. Provide server/workstation, GPPC, ASC and I/O to create reports.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Manually generate a report for viewing on the workstation.	Report shall present itself for viewing without disrupting the operation of the control system.	_____
	Notes: _____		
2	Manually generate a report and direct it to a specific printer.	Report shall print on the specified printer.	_____
	Notes: _____		
3	Verify that the report contains the date and time associated with the raw data.	Data samples listed in the report shall have the associated date and time the samples were collected.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
4	Verify that the report has the date and time the report was generated.	The report shall include the date and time of the report generation.	_____
	Notes: _____		
5	Save a report to a file that is compatible with Microsoft Office products.	The report shall be saved in a ***.xls format.	_____
	Notes: _____		
6	Generate a comma delimited file with trend log data.	The comma delimited data shall be produced.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Fifteen
TITLE: UPS Test

OBJECTIVE:

1. Validate UPS requirements

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

   a. The Contractor provides documentation on UPS.

2. Equipment

   a. The server/workstation and the UPS needs to be on and operating for a minimum of one week.

3. Reference Documentation

   a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	The UMCS home graphic page is called up.	The home page is displayed.	_____
	Notes: _____		
2	Unplug the UPS from the wall outlet.	The UMCS home page remains displayed. UPS LED-warning lights if applicable. UPS sound audible warning alarm if applicable.	_____
	Notes: _____		
3	Log out of the home page of the M&C and then log back into it.	The UPS will not affect the UMCS hardware and all associated software.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
4	Allow the UPS to be unplugged for 20 minutes.	The UPS will not affect the UMCS hardware and all associated software.	_____
	Notes: _____		
5	Return the UPS plug to the wall outlet.	The UPS will not affect the UMCS hardware and all associated software.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Sixteen
TITLE: CEA-709.1-D to IP Router Test

OBJECTIVE:

1. Validate CEA-709.1-D to IP Router requirements

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Submittal information on router and O&M manual on network analysis tool.

2. Equipment

a. The router needs to be on and operating.

b. Provide a LONWORKS® network analysis tool and router configuration tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS			
1	Connect and open network analysis tool and verify router.	Tool shall identify function, network address, and identifier of the device.	_____
	Notes: _____		
2	Using router configuration tool, open network properties dialog box.	Router shall be utilizing a static IP address and shall not be configured for DHCP.	_____
	Notes: _____		
3	Confirm LON data is transmitted to/from LON bus to IP network.	All LONWORKS® network data is being transmitted to/from the IP network.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Seventeen
TITLE: CEA-709.1-D Router and Repeater

OBJECTIVE:

1. Validate EIA-709.1B Router and Repeater requirements

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Submittal information on router/repeater and O&M Manual on network analysis tool.

2. Equipment

a. The router needs to be on and operating for a minimum of one week.

b. The repeater needs to be on and operating for a minimum of one week.

c. Provide a LONWORKS® network analysis tool and router/repeater configuration tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
DDC FOR HVAC			
1	Connect and open network analysis tool and verify router and repeater.	Tool shall identify function, network address, and identifier of the devices.	_____
	Notes: _____		
2	Using router configuration tool, open the properties dialog box. Verify what data is configured to pass through router.	Only the data that is configured to pass through the router is being sent.	_____
	Notes: _____		
3	Using repeater	Dialog box opens.	

Item	Action Item	Expected Results	Approved
	configuration tool, open the properties dialog box.		_____
	Notes: _____		
4	Verify that repeater is configured as a repeater and that all data is being sent.	Verify that all data is being sent through the repeater.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Eighteen
TITLE: CEA-709.1-D Gateway Test

OBJECTIVE:

1. Validate CEA-709.1-D Gateway requirements.

INITIAL REQUIREMENTS/CONDITIONS

1. Submittals

a. Provide a list of all software that will be used to verify CEA-709.1-D Gateway configuration.

b. Provide a LonMark external interface file (XIF) for the gateway.

2. Equipment

a. The gateway needs to be on and operating.

b. Provide a LonWorks® network analysis tool and gateway configuration tool.

3. Reference Documentation

a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS and DDC FOR HVAC			
1	Connect a LONWORKS® Network Analysis Tool to the network.	a. Tool shall identify function, network address, and identifier of the device. b. All network traffic from gateway shall be utilizing the CEA-709.1-D protocol.	_____
	Notes: _____		
2	Use gateway configuration tool to verify or create a binding from gateway to a LONWORKS® controller on the network.	a. Gateway allows binding of the Standard Network Variable Types from the gateway to a LONWORKS® controller. b. Information from gateway should be bounded and	

Item	Action Item	Expected Results	Approved
		LONWORKS® controller should be receiving data.	_____
	Notes: _____		
3	Using gateway or network configuration tool verify the following:		
	Open the properties dialog box for one of the configured SNVTs.	Gateway should allow the SNVT to be transmitted on "min", "max" and "delta".	_____
	Rename one of the SNVTs from the gateway.	Gateway should allow all variable names to be customized.	_____
	Check total capacity of Gateway.	Gateway shall have 50% extra capacity to map over additional points.	_____
	Notes: _____		
4	Press service pin on gateway.	Gateway should broadcast the neuron ID and Program ID over the network.	_____
	Notes: _____		
5	Remove power source from gateway for two hours. Then return power to gateway.	Gateway should retain all configuration data.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Nineteen
TITLE: Local Display Panel (LDP)

OBJECTIVE:

1. To demonstrate capability of the Local display panel to view and override control points

INITIAL REQUIREMENTS/CONDITIONS

1. Submittal

   a. O & M Manual for LDP

2. Equipment

   a. Hardware and software to connect and demo LDP configuration tool

3. Reference Documentation

   a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
DDC FOR HVAC			
1	Connect LDP to LON bus. Push service pin button on LDP.	LDP Controller should broadcast its neuron ID.	_____
	Notes: _____		
2	Use navigation buttons on LDP to display a status point such as a temperature or fan status.	LCP should allow user to read all status points.	_____
	Notes: _____		
3	Use navigation buttons to display a control point such as a discharge air temperature setpoint.	LCP should allow user to read all control points.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
4	Use LDP to override setpoint.	System accepts new setpoint. Verify system reacts to new setpoint.	_____
	Notes: _____		
5	Use LDP to release local control override.	Verify system returns to normal control.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Twenty
TITLE: Network Configuration Tool

OBJECTIVE:

1. To validate the performance of the network configuration tool

INITIAL REQUIREMENTS/CONDITIONS

1. Submittal

   a. Network configuration tool manuals

2. Equipment

   a. Hardware, network connection, LNS database, and network configuration tool

3. Reference Documentation

   a. List user manual documentation and sections pertaining to the testing.

4. Date of Test: _____
5. Time of Test: _____
6. Contractor's Representative: _____
7. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS AND DDC FOR HVAC			
1	Open network configuration tool and verify LNS data for project opens is being used.	The Network Configuration Tool is being used and entire LNS database for project is exposed.	_____
	Notes: _____		
2	Open a typical LNS plug-in.	Plug-in shall open and enable configuration of the device.	_____
	Notes: _____		
3	Reconstruct a database by connecting to an existing network and uploading the data.	The database and drawing shall be created.	_____
	Notes: _____		

Item	Action Item	Expected Results	Approved
4	Verify that a graphical interface is use.	Note that Network Configuration Tool uses Visio (type) as a graphical interface.	_____
	Notes: _____		
5	Print the graphical representation.	Printing shall be successful.	_____
	Notes: _____		
6	Merge two LNS databases into a single database.	The merge shall be successful.	_____
	Notes: _____		
7	Print reports from network configuration tool.	Address table, SNVT I/O table, and SCPT/UCPT table reports shall be successfully printed.	_____
	Notes: _____		
8	Randomly select a sample of network variable and confirm they are using correct SNVT types.	Correct SNVT types were used.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

TEST NUMBER: Twenty one
TITLE: Custom Tests

OBJECTIVE:

1. To test custom applications for UMCS and/or DDC for HVAC, that are specific to a project

INITIAL REQUIREMENTS/CONDITIONS

1. Submittal

   a. Documents related to custom application - to be identified

2. Equipment

   a. Equipment to be provided related to custom application - to be identified

3. Date of Test: _____
4. Time of Test: _____
5. Contractor's Representative: _____
6. Government's Representative: _____

TEST PROCEDURES

Item	Action Item	Expected Results	Approved
UMCS AND DDC FOR HVAC			
1	Identify special tests for the UMCS that relate to a custom application for a specific project - to be completed by designer.	To be completed by designer.	_____
	Notes: _____		
2	Identify special tests for the DDC for HVAC systems that relate to a custom application for a specific project - to be completed by designer.	To be completed by designer.	_____
	Notes: _____		

End of Test

Specific Abbreviations:
Y = Yes
N = No
NA = Not Applicable

-- End of Section --

SECTION 25 10 10

UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION
11/15

PART 1 GENERAL

1.1 SUMMARY

Provide a Utility Monitoring and Control System (UMCS) which performs supervisory monitoring and supervisory control of base-wide building control systems and utility control systems using one or more of: CEA-709.1-D (LonWorks) with LonWorks Network Services (LNS), ASHRAE 135 (BACnet), MODBUS Protocol, MODBUS TCP/IP, OPC DA, or the Niagara Framework with Fox protocol as indicated and shown. Integrate ASHRAE 135 (BACnet) field control systems installed per Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS as specified.

1.1.1 System Requirements

Provide a UMCS as specified and indicated, and in accordance with the following characteristics:

1.1.1.1 General System Requirements

a. The system performs supervisory monitoring and control functions including but not limited to Scheduling, Alarm Handling, Trending, Overrides, Report Generation, and Electrical Demand Limiting as specified.

b. The system includes a Graphical User Interface which allows for graphical navigation between systems, graphical representations of systems, access to real-time data for systems, ability to override points in a system, and access to all supervisory monitoring and control functions.

c. All software used by the UMCS and all software used to install and configure the UMCS is licensed to and delivered to the installation.

d. All necessary documentation, configuration information, configuration tools, programs, drivers, and other software is licensed to and otherwise remains with the Government such that the Government or their agents are able to repair, replace, upgrade, and expand the system without subsequent or future dependence on the Contractor. Software licenses must not require periodic fees and must be valid in perpetuity.

e. Provide sufficient documentation and data, including rights to documentation and data, such that the Government or their agents can execute work to repair, replace, upgrade, and expand the system without subsequent or future dependence on the Contractor.

f. The UMCS interfaces directly to ASHRAE 135, CEA-709.1-D, MODBUS Protocol, MODBUS TCP/IP, OPC DA, and Niagara Framework field control systems as specified and may interface to field control systems using other protocols via an M&C Software protocol driver or a Gateway.

g. For UMCS systems with Monitoring and Control Software functionality implemented in Monitoring and Control (M&C) Controller Hardware, provide sufficient additional controller hardware to support the full capacity requirements as specified.

1.1.1.2 BACnet Requirements

a. The UMCS must communicate using ASHRAE 135 Annex J over the Navy PSNet as specified.

b. All communication between the UMCS and ASHRAE 135 field control networks must be via the ASHRAE 135 protocol over the IP network.

c. All communication between the M&C Software and the field control system devices must be via standard ASHRAE 135 services other than PrivateTransfer and ConfirmedPrivateTransfer except as follows:

(1) PrivateTransfer and ConfirmedPrivateTransfer may be used for device configuration and device programming.

(2) PrivateTransfer and ConfirmedPrivateTransfer may be used for communication between the M&C Software and the field control system if and only if both the M&C Software and the field control system devices automatically (without requiring reconfiguration) revert to the use of other standard ASHRAE 135 services when one of the components is modified or replaced.

1.1.2 Symbols, Definition and Abbreviations

Use symbols, definitions, and engineering unit abbreviations indicated in the contract drawings for displays, submittals and reports. For symbols, definitions and abbreviations not in the contract drawings use terms conforming at a minimum to IEEE Stds Dictionary and the ASHRAE FUN IP, as applicable.

1.1.3 System Units and Accuracy

Use English (inch-pound) units for displays, print-outs and calculations. Perform calculations with an accuracy of at least three significant figures. For displays and printouts present values to at least three significant figures.

1.1.4 Data Packages/Submitals Requirements

Technical data packages consisting of computer software and technical data (meaning technical data which relates to computer software) which are specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All submittals not specified as technical data packages are considered shop drawings under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and must be delivered with unrestricted rights.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135	(2016) BACnet—A Data Communication Protocol for Building Automation and Control Networks
ASHRAE FUN IP	(2017) Fundamentals Handbook, I-P Edition

CONSUMER ELECTRONICS ASSOCIATION (CEA)

CEA-709.1-D	(2014) Control Network Protocol Specification
CEA-709.3	(1999; R 2004) Free-Topology Twisted-Pair Channel Specification
CEA-852-C	(2014) Tunneling Component Network Protocols Over Internet Protocol Channels

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41	(1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNET ENGINEERING TASK FORCE (IETF)

IETF RFC 7465	(2015) Prohibiting RC4 Cipher Suites
RFC 821	(2001) Simple Mail Transfer Protocol (SMTP)

LONMARK INTERNATIONAL (LonMark)

LonMark Interoperability Guide	(2005) LonMark Application-Layer Interoperability Guide and LonMark Layer 1-6 Interoperability Guide; Version 3.4

MODBUS ORGANIZATION, INC (MODBUS)

MODBUS Protocol	(2012) Modbus Application Protocol Specification; Version 1.1b3
MODBUS TCP/IP	(2006) Modbus Messaging on TCP/IP Implementation Guide; Version V1.0b

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2018) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 262	(2015) Standard method of Test for Flame Travel and Smoke of Wires and Cables for Use in Air-Handling Spaces
NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code

OPC FOUNDATION (OPC)

OPC DA	(Ver 3.0; Errata) OPC Data Access (DA)

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-568-C.1	(2009; Add 2 2011; Add 1 2012) Commercial Building Telecommunications Cabling Standard
TIA-606	(2017c) Administration Standard for the Telecommunications Infrastructure
TIA-607	(2015c; Addendum 1 2017) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises

TRIDIUM, INC (TRIDIUM)

Niagara Framework	(2012) NiagaraAX User's Guide

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC EMC	(2002) FCC Electromagnetic Compliance Requirements
FCC Part 15	Radio Frequency Devices (47 CFR 15)

UNDERWRITERS LABORATORIES (UL)

UL 60950	(2000; Reprint Oct 2007) Safety of Information Technology Equipment

1.3 DEFINITIONS

The following list of definitions may contain terms not found elsewhere in this Section but are included here for completeness. Some terms are followed with a protocol reference in parenthesis indicating to which protocol the term and definition applies. Inclusion of protocol-specific definitions does not create a requirement to support that protocol, nor does it relax any requirements to support specific protocols as indicated elsewhere in this section."

1.3.1 Alarm Generation

The process of comparing a point value (the point being alarmed) with a pre-defined alarm condition (e.g. a High Limit) and performing some action based on the result of the comparison.

1.3.2 Alarm Handling

see Alarm Routing

1.3.3 Alarm Routing

Alarm routing is M&C software functionality that starts with a notification that an alarm exists (typically as the output of an Alarm Generation process) and sends a specific message to a specific alarm recipient or device.

1.3.4 Application Generic Controller (AGC)(LonWorks)

A device that is furnished with a (limited) pre-established application that also has the capability of being programmed. Further, the ProgramID and XIF file of the device are fixed. The programming capability of an AGC may be less flexible than that of a General Purpose Programmable Controller (GPPC).

1.3.5 Application Specific Controller (ASC)(LonWorks)

A device that is furnished with a pre-established built in application that is configurable but not re-programmable. An ASC has a fixed factory-installed application program (i.e Program ID) with configurable settings.

1.3.6 BACnet (BACnet)

The term BACnet is used in two ways. First meaning the BACnet Protocol Standard - the communication requirements as defined by ASHRAE 135 including all annexes and addenda. The second to refer to the overall technology related to the ASHRAE 135 protocol.

1.3.7 BACnet Advanced Application Controller (B-AAC)(BACnet)

A hardware device BTL Listed as a B-AAC. A control device which contains BIBBs in support of scheduling and alarming but otherwise has limited resources relative to a B-BC. It may be intended for specific applications and supports some degree of programmability.

1.3.8 BACnet Advanced Operator Workstation (B-AWS)(BACnet)

Monitoring and Control (M&C) Software BTL Listed as an Advanced Operator Workstation and includes the ability to manage scheduling, alarming and trending in an open manner. The B-AWS is the advanced operator's window into a BACnet system. It is primarily used to monitor the performance of a system and to modify parameters that affect the operation of a system.

1.3.9 BACnet Application Specific Controller (B-ASC)(BACnet)

A hardware device BTL Listed as a B-ASC. A controller with limited resources relative to a B-AAC. It is intended for use in a specific application and supports limited programmability.

1.3.10 BACnet Building Controller (B-BC)(BACnet)

A hardware device BTL Listed as a B-BC. A general-purpose, field-programmable device capable of carrying out a variety of building automation and control tasks including control and monitoring via direct digital control (DDC) of specific systems and data storage for trend information, time schedules, and alarm data. Like the other BTL Listed controller types (B-AAC, B-ASC etc.) a B-BC device is required to support the server ("B") side of the ReadProperty and WriteProperty services, but unlike the other controller types it is also required to support the client ("A") side of these services. Communication between controllers requires that one of them support the client side and the other support the server side, so a B-BC is often used when communication between controllers is needed.

1.3.11 BACnet Internetwork (BACnet)

Two or more BACnet networks connected with BACnet routers. In a BACnet Internetwork, there exists only one message path between devices.

1.3.12 BACnet Interoperability Building Blocks (BIBBs) (BACnet)

A BIBB is a collection of one or more BACnet services intended to define a higher level of interoperability. BIBBs are combined to build the BACnet functional requirements for a device in a specification. Some BIBBs define additional requirements (beyond requiring support for specific services) in order to achieve a level of interoperability. For example, the BIBB DS-V-A (Data Sharing-View-A), which would typically be used by an M&C client, not only requires the client to support the ReadProperty Service, but also provides a list of data types (Object / Properties) which the client must be able to interpret and display for the user.

1.3.13 BACnet Operator Display (B-OD)(BACnet)

A hardware device BTL Listed as a B-OD. A basic operator interface with limited capabilities relative to a B-OWS. It is not intended to perform direct digital control. The B-OD profile could be used for wall-mounted LCD devices, displays affixed to BACnet devices; hand-held terminals or other very simple user interfaces.

1.3.14 BACnet Operator Workstation (B-OWS)(BACnet)

Monitoring and Control (M&C) Software BTL Listed as a B-OWS. An operator interface with limited capabilities relative to a B-AWS. The B-OWS is used for monitoring and basic control of a system, but differs from a B-AWS in that it does not support configuration activities, nor does it provide advanced troubleshooting capabilities.

1.3.15 BACnet Smart Actuator (B-SA)(BACnet)

A hardware device BTL Listed as a B-SA. A simple control output device with limited resources; it is intended for specific applications.

1.3.16 BACnet Smart Sensor (B-SS)(BACnet)

A hardware device BTL Listed as a B-SS. A simple sensing device with very limited resources.

1.3.17 BACnet Testing Laboratories (BTL)(BACnet)

Established by BACnet International to support compliance testing and interoperability testing activities and consists of BTL Manager and the BTL Working Group (BTL-WG). BTL also publishes Implementation Guidelines.

1.3.18 BACnet Testing Laboratories (BTL) Listed (BACnet)

A device that has been certified by BACnet® Testing Laboratory. Devices may be certified to a specific device profile, in which case the certification indicates that the device supports the required capabilities for that profile, or may be certified as "other".

1.3.19 Binary

A two-state system or signal; for example one where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.3.20 Binding (LonWorks)

The act of establishing communications between CEA-709.1-D devices by associating the output of a device to the input of another so that information is automatically (and regularly) sent without being requested by the recipient.

1.3.21 Broadcast

Unlike most messages, which are intended for a specific recipient device, a broadcast message is intended for all devices on the network.

1.3.22 Building Control Network (BCN)

The network used by the Building Control System. Typically the BCN is a BACnet ASHRAE 135 or LonWorks CEA-709.1-D network installed by the building control system contractor.

1.3.23 Building Control System (BCS)

One type of Field Control System. A control system for building electrical and mechanical systems, typically HVAC (including central plants) and lighting. A BCS generally uses Direct Digital Control (DDC) Hardware and generally does NOT include its own local front end.

1.3.24 Building Point of Connection (BPOC)

A FPOC for a Building Control System. (This term is being phased out of use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware)

1.3.25 Channel (LonWorks)

A portion of the control network consisting of one or more segments connected by repeaters. Channels are separated by routers. The device quantity limitation is dependent on the topology/media and device type. For example, a TP/FT-10 network with locally powered devices is limited to

128 devices per channel.

1.3.26 Commandable (BACnet)

A point (Object) is commandable if its Present_Value Property is writable and it supports the optional Priority_Array Property. This functionality is useful for Overrides.

1.3.27 Configuration Property (LonWorks)

Controller parameter used by the application which is usually set during installation/testing and seldom changed. For example, the P and I settings of a P-I control loop. Also see 'Standard Configuration Property Type (SCPT)'

1.3.28 Control Logic Diagram

A graphical representation of control logic for multiple processes that make up a system.

1.3.29 Device Object (BACnet)

Every BACnet device requires one Device Object, whose properties represent the network visible properties of that device. Every Device Object requires a unique Object_Identifier number on the BACnet internetwork. This number is often referred to as the device instance or device ID.

1.3.30 Explicit Messaging (LonWorks)

A non-standard and often vendor (application) specific method of communication between devices.

1.3.31 External Interface File (XIF) (LonWorks)

A file which documents a device's external interface, specifically the number and types of LonMark objects, the number, types, directions, and connection attributes of network variables, and the number of message tags.

1.3.32 Field Point Of Connection (FPOC)

The FPOC is part of the UMCS IP network and acts as the point of connection between the UMCS IP Network and the field control IP network. The FPOC is an IT device such as a switch, IP router, or firewall, typically managed by the site IT staff. (Note that the field control IP network may consist of a single IP device, or that integration may require installation of a field control network IP device.)

1.3.33 Field Control Network

The network used by a field control system.

1.3.34 Field Control System (FCS)

A building control system or utility control system.

1.3.35 Fox Protocol (Niagara Framework)

The protocol used for communication between components in the Niagara Framework. By default, Fox uses TCP port 1911

1.3.36 Functional Profile (LonWorks)

A standard description, defined by LonMark International, of a LonMark Object used to classify and certify devices.

1.3.37 Gateway

A device that translates from one protocol to another. Devices that change only the transport mechanism of the protocol - "translating" from LonWorks over TP/FT-10 to LonWorks over IP for example - are not gateways as the underlying protocol (data format) does not change. Gateways are also called Communications Bridges or Protocol Translators.

1.3.38 General Purpose Programmable Controller (GPPC) (LonWorks)

Unlike an ASC or AGC, a GPPC is not furnished with a fixed application program and does not have a fixed ProgramID or XIF file. A GPPC can be (re-)programmed, usually using vendor-supplied software. When a change to the program affects the external interface (and the XIF file) the ProgramID will change.

1.3.39 Internetwork (BACnet)

See BACnet Internetwork.

1.3.40 JACE (Niagara Framework)

Java Application Control Engine. See Niagara Framework Supervisory Gateway

1.3.41 LonMark Object (LonWorks)

A collection of network variables, configuration properties, and associated behavior defined by LonMark International and described by a Functional Profile. It defines how information is exchanged between devices on a network (inputs from and outputs to the network).

1.3.42 LNS Plug-in (LonWorks)

Software which runs in an LNS compatible software tool, typically a network configuration tool. Device configuration plug-ins provide a 'user friendly' method to edit a device's configuration properties.

1.3.43 LonMark (LonWorks)

See LonMark International. Also, a certification issued by LonMark International to CEA-709.1-D devices.

1.3.44 LonMark International (LonWorks)

Standards committee consisting of independent product developers, system integrators and end users dedicated to determining and maintaining the interoperability guidelines for LonWorks. Maintains guidelines for the interoperability of CEA-709.1-D devices and issues the LonMark Certification for CEA-709.1-D devices.

1.3.45 LonWorks (LonWorks)

The term used to refer to the overall technology related to the CEA-709.1-D

protocol (sometimes called "LonTalk"), including the protocol itself, network management, interoperability guidelines and products.

1.3.46 LonWorks Network Services (LNS) (LonWorks)

A network management and database standard for CEA-709.1-D devices.

1.3.47 LonWorks Network Services (LNS) Database (LonWorks)

The standard database created and used by LonWorks Network Services (LNS) compatible tools, such as LNS Network Configuration tools.

1.3.48 Modbus

A basic protocol for control network communications generally used in utility control systems. The Modbus protocol standard is maintained by The Modbus Organization.

1.3.49 Master-Slave/Token Passing (MS/TP)(BACnet)

Data link protocol as defined by the BACnet standard. Multiple speeds (data rates) are permitted by the BACnet MS/TP standard.

1.3.50 Monitoring and Control (M&C) Software

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.3.51 Network (BACnet)

In BACnet, a portion of the control internetwork consisting of one or more segments of the same media connected by repeaters. Networks are separated by routers.

1.3.52 Network Variable (LonWorks)

See 'Standard Network Variable Type (SNVT)'.

1.3.53 Network Configuration Tool (LonWorks)

The software used to configure the control network and set device configuration properties. This software creates and modifies the control network database (LNS Database).

1.3.54 Niagara Framework

A set of hardware and software specifications for building and utility control owned by Tridium Inc. and licensed to multiple vendors. The Framework consists of front end (M&C) software, web based clients, field level control hardware, and engineering tools. While the Niagara Framework is not adopted by a recognized standards body and does not use an open licensing model, it is sufficiently well-supported by multiple HVAC vendors to be considered a de-facto Open Standard.

1.3.55 Niagara Framework Supervisory Gateway (Niagara Framework)

DDC Hardware component of the Niagara Framework. A typical Niagara architecture has Niagara specific supervisory gateways at the IP level and

other (non-Niagara specific) controllers on field networks (TP/FT-10, MS/TP, etc.) beneath the Niagara supervisory gateways. The Niagara specific controllers function as a gateway between the Niagara framework protocol (Fox) and the field network beneath. These supervisory gateways may also be used as general purpose controllers and also have the capability to provide a web-browser based user interface.

Note that different vendors refer to this component by different names. The most common name is "JACE"; other names include "EC-BOS", "FX-40", and "UNC".

1.3.56 Node (LonWorks)

A device that communicates using the CEA-709.1-D protocol and is connected to a CEA-709.1-D network.

1.3.57 Node Address (LonWorks)

The logical address of a node on the network, consisting of a Domain number, Subnet number and Node number. Note that the "Node number" portion of the address is the number assigned to the device during installation and is unique within a subnet. This is not the factory-set unique Node ID (see Node ID).

1.3.58 Node ID (LonWorks)

A unique 48-bit identifier assigned (at the factory) to each CEA-709.1-D device. Sometimes called the Neuron ID.

1.3.59 Object (BACnet)

A BACnet Object. The concept of organizing BACnet information into standard components with various associated Properties. Examples include Analog Input objects and Binary Output objects.

1.3.60 Override

To change the value of a point outside of the normal sequence of operation where this change has priority over the sequence. An override can be accomplished in one of two ways: the point itself may be Commandable and written to with a priority or there may be a separate point on the controller for the express purpose of implementing the override.

Typically this override is from the Utility Monitoring and Control System (UMCS) Monitoring and Control (M&C) Software. Note that this definition is not standard throughout industry.

1.3.61 Point, Calculated

A value within the M&C Software that is not a network point but has been calculated by logic within the software based on the value of network points or other calculated points. Calculated points are sometimes called virtual points or internal points.

1.3.62 Point, Network

A value that the M&C Software reads from or writes to a field control network.

1.3.63 Polling

A requested transmission of data between devices, rather than an unrequested transmission such as Change-Of-Value (COV) or Binding where data is automatically transmitted under certain conditions.

1.3.64 Program ID (LonWorks)

An identifier (number) stored in the device (usually EEPROM) that identifies the node manufacturer, functionality of device (application & sequence), transceiver used, and intended device usage.

1.3.65 Property (BACnet)

A BACnet Property - a data element associated with an Object. Different Objects have different Properties, for example an Analog Input Object has a Present_Value Property (which provides the value of the underlying hardware analog input), a High_Limit Property (which contains a high limit for alarming), as well as other properties.

1.3.66 Protocol Implementation Conformance Statement (PICS)(BACnet)

A document, created by the manufacturer of a device, which describes which potions of the BACnet standard are implemented by a given device.

1.3.67 Repeater

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.3.68 Router (LonWorks)

A device that connects two channels and controls traffic between the channels by retransmitting signals received from one subnet onto the other based on the signal destination. Routers are used to subdivide a control network and to control bandwidth usage.

1.3.69 Router (BACnet)

A device that connects two or more BACnet networks and controls traffic between the networks by retransmitting signals received from one network onto another based on the signal destination. Routers are used to subdivide an internetwork and to control bandwidth usage.

1.3.70 Segment

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type. For example, a TP/FT-10 segment with locally powered devices is limited to 64 devices, and a BACnet MS/TP segment is limited to 32 devices.

1.3.71 Service (BACnet)

A BACnet Service. A defined method for sending a specific type of data between devices. Services are always defined in a Client-Server manner, with a Client initiating a Service request and a Server Executing the Service. Some examples are ReadProperty (a client requests a data value from a server), WriteProperty (a client writes a data value to a server),

and CreateObject (a client requests that a server create a new object within the server device).

1.3.72 Service Pin (LonWorks)

A hardware push-button on a device which causes the device to broadcast a message containing its Node ID and Program ID. This broadcast can also be initiated via software.

1.3.73 Standard BACnet Object/Property/Service (BACnet)

BACnet Objects, Properties, or Services that are standard Objects, Properties, or Services enumerated and defined in ASHRAE 135. Clause 23 of ASHRAE 135 defines methods to extend ASHRAE 135 to non-standard or proprietary information. Standard BACnet Objects/Properties/Services specifically exclude any vendor specific extensions.

1.3.74 Standard Configuration Property Type (SCPT) (LonWorks)

Pronounced 'skip-it'. A standard format type (maintained by LonMark International) for Configuration Properties.

1.3.75 Standard Network Variable Type (SNVT) (LonWorks)

Pronounced 'snivet'. A standard format type (maintained by LonMark International) used to define data information transmitted and received by the individual nodes. The term SNVT is used in two ways. Technically it is the acronym for Standard Network Variable Type, and is sometimes used in this manner. However, it is often used to indicate the network variable itself (i.e. it can mean "a network variable of a standard network variable type"). In general, the intended meaning should be clear from the context.

1.3.76 Subnet (LonWorks)

Consists of a logical grouping of up to 127 nodes, where the logical grouping is defined by node addressing. Each subnet is assigned a number which is unique within the Domain. See also Node Address.

1.3.77 Supervisory Controller

A controller implementing a combination of supervisory logic (global control strategies or optimization strategies), scheduling, alarming, event management, trending, web services or network management. Note this is defined by use; many supervisory controllers have the capability to also directly control equipment.

1.3.78 Supervisory Gateway

A device that is both a supervisory controller and a gateway.

1.3.79 TP/FT-10 (LonWorks)

A Free Topology Twisted Pair network (at 78 kbps) defined by CEA-709.3. This is the most common media type for a CEA-709.1-D control network.

1.3.80 TP/XF-1250 (LonWorks)

A high speed (1.25 Mbps) twisted pair, doubly-terminated bus network defined by the LonMark Interoperability Guidelines. This media is

typically used only as a backbone media to connect multiple TP/FT-10 networks.

1.3.81 UMCS Network

An IP network connecting multiple field control systems to the Monitoring and Control Software using one or more of: LonWorks (CEA-709.1-D and CEA-852-C), BACnet (ASHRAE 135 Annex J), MODBUS Protocol, MODBUS TCP/IP or OPC DA.

1.3.82 User-defined Configuration Property Type (UCPT) (LonWorks)

Pronounced 'u-keep-it'. A Configuration Property format type that is defined by the device manufacturer.

1.3.83 User-defined Network Variable Type (UNVT) (LonWorks)

A network variable format defined by the device manufacturer. Note that UNVTs create non-standard communications (other vendor's devices may not correctly interpret it) and may close the system and therefore are not permitted by this specification.

1.3.84 Utility Control System (UCS)

One type of field control system. Used for control of utility systems such as an electrical substation, sanitary sewer lift station, water pump station, etc. Building controls are excluded from a UCS, however it is possible to have a Utility Control System and a Building Control System in the same facility, and for those systems to share components such as the FPOC. A UCS may include its own local front-end.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submittals with an "S" are for inclusion in the Sustainability eNotebook, in conformance to Section 01 33 29 SUSTAINABILITY REPORTING. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES and TABLE 1: PROJECT SEQUENCING:

SD-02 Shop Drawings

UMCS Contractor Design Drawings; G

UMCS Contractor Design Drawings as a single complete package: 4 hard copies and 1 copies on CDROM. Submit hardcopy drawings on ISO A1 34 by 22 inches sheets, and electronic drawings in both PDF and AutoCAD format.

Draft As-Built Drawings; G

Draft As-Built Drawings as a single complete package: 4 hard copies and 1 copies on CDROM. Submit hardcopy drawings must on ISO A1 34 by 22 inches sheets, and electronic drawings in both PDF and AutoCAD format.

Final As-Built Drawings; G

Final As-Built Drawings as a single complete package: 4 hard

copies and 1 copies on CDROM. Submit hardcopy drawings on ISO A1 34 by 22 inches sheets, and electronic drawings in both PDF and AutoCAD format.

SD-03 Product Data

Product Data Sheets; G

Computer Software; G

The most recent versions of all computer software provided under this specification delivered as a Technical Data Package. Submit the user manuals for all software delivered for this project with the software.

Enclosure Keys

SD-06 Test Reports

Pre-Construction QC Checklist; G

1 copies of the Pre-Construction QC Checklist.

Post-Construction QC Checklist; G

1 copies of the Post-Construction QC Checklist.

Existing Conditions Report; G

Four copies of the Existing Conditions Report.

Start-Up and Start-Up Testing Report; G

Four copies of the Start-Up and Start-Up Testing Report. The Start-Up and Testing report may be submitted as a Technical Data Package.

PVT Phase I Procedures; G

Four copies of the PVT Phase I Procedures. The PVT Procedures may be submitted as a Technical Data Package.

PVT Phase I Report; G

Four copies of the PVT Phase I Report. The PVT Phase I Report may be submitted as a Technical Data Package.

PVT Phase II Report; G

Four copies of the PVT Phase II Report. The PVT Phase II Report may be submitted as a Technical Data Package.

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G

2 bound O&M Instructions. Index and tab bound instructions. O&M Instructions may be submitted as a Technical Data Package.

Basic Training Documentation; G

Training manuals for Basic Training delivered for each trainee on the Course Attendance List with two additional copies delivered for archival at the project site. Submit two copies of the Course Attendance List with the archival copies. The Basic Training Documentation may be submitted as a Technical Data Package.

SD-11 Closeout Submittals

Closeout QC Checklist; G

Four copies of the Closeout QC Checklist.

1.5 PROJECT SEQUENCING

TABLE I: PROJECT SEQUENCING specifies the sequencing of submittals as specified in paragraph SUBMITTALS (denoted by an 'S' in the 'TYPE' column) and activities as specified in PART 3 EXECUTION (denoted by an 'E' in the 'TYPE' column).

1.5.1 Sequencing for Submittals

The sequencing specified for submittals is the deadline by which the submittal must be initially submitted to the Government. Following submission there will be a Government review period as specified in Section 01 33 00 SUBMITTAL PROCEDURES. If the submittal is not accepted by the Government, revise the submittal and resubmit it to the Government within 14 days of notification that the submittal has been rejected. Upon re-submittal there will be an additional Government review period. If the submittal is not accepted the process repeats until the submittal is accepted by the Government.

1.5.2 Sequencing for Activities

The sequencing specified for activities indicates the earliest the activity may begin.

1.5.3 Abbreviations

In TABLE I the abbreviation AAO is used for 'after approval of' and 'ACO' is used for 'after completion of'.

TABLE I. PROJECT SEQUENCING

ITEM	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY or DEADLINE FOR SUBMITTAL)
1		Notice to proceed	
2	S	Existing Conditions Report	days after #1
3	S	Design Drawings	days after #1
4	S	Product Data Sheets	days after #1
5	S	UMCS IP Network Bandwidth Usage Estimate	days after #1
6	S	Pre-construction QC Checklist	days after #1
7	E	Install UMCS	AAO #2 thru #6
8	E	Start-Up and Start-Up Testing	ACO #7
9	S	Post-Construction QC Checklist	days ACO #8
10	S	Computer Software	days ACO #8
11	S	Start-Up and Start-Up Testing Report	days ACO #8
12	S	Draft As-Built Drawings	days ACO #8
13	S	PVT Phase I Procedures	days before scheduled start of #14 and AAO #11
14	E	PVT Phase I	AAO #13 and #12
15	S	PVT Phase I Report	days ACO #14
16	S	Preventive Maintenance Work Plan	AAO #11
17	S	O&M Instructions	AAO #11
18	S	Basic Training Documentation	AAO #11 and days before scheduled start of #19

TABLE I. PROJECT SEQUENCING

ITEM	TYPE	DESCRIPTION	SEQUENCING (START OF ACTIVITY or DEADLINE FOR SUBMITTAL)
19	E	Basic Training (PVT Phase II)	AAO #16, #17 and #18
20	S	PVT Phase II Report	days ACO #19
21	S	Final As-Built Drawings	days AAO #20
22	S	Advanced Training Documentation	days before schedule start of #23 and AAO #18
23	E	Advanced Training	ACO #19, days AAO #22, and no later than days ACO #19
24	S	Refresher Training Documentation	days before #25 and AAO #18 and #22
25	E	Refresher Training	between and days ACO #19 and AAO #24
26	S	Closeout QC Checklist	ACO #23

1.6 QUALITY CONTROL (QC) CHECKLISTS

The Contractor's Chief Quality Control (QC) Representative must complete the QC Checklist in APPENDIX A, and must submit the Pre-Construction QC Checklist, Post-Construction QC Checklist and Closeout QC Checklist as specified. The QC Representative must verify each item in the Checklist and initial in the provided area to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.7 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide UMCS Operation and Maintenance Instructions which include:

a. Procedures for the UMCS system start-up, operation and shut-down.

b. Final As-Built drawings.

c. Routine maintenance checklist, arranged in a columnar format: The first column listing all installed devices, the second column stating the maintenance activity or stating that no maintenance required, the third column stating the frequency of the maintenance activity, and the fourth column providing any additional comments or reference.

d. Qualified service organization list including points of contact with phone numbers.

e. Start-Up and Start-Up Testing Report.

f. Performance Verification Test (PVT) Procedures and Reports.

PART 2 PRODUCTS

2.1 EQUIPMENT REQUIREMENTS

2.1.1 Product Certifications

For computing devices, as defined in FCC Part 15, supplied as part of the UMCS provide devices which are certified to comply with the requirements of Class B computing devices.

2.1.2 Product Sourcing

For units of the same type of equipment, provide products of a single manufacturer. For each major component of equipment provide equipment with the manufacturer's name and the model and serial number in a conspicuous place. For materials and equipment, provide new standard unmodified products of a manufacturer regularly engaged in the manufacturing of such products.

2.1.3 General Requirements

Provide components that meet the following requirements:

a. Portions of the data communications equipment system installed in unconditioned spaces must operate properly in an environment with ambient temperatures between 32 and 120 degrees F and ambient relative humidity between 10 percent and 90 percent noncondensing.

b. Components must accept 100 to 125 volts AC (Vac), 60 Hz, single phase, three wire with a three-pronged, dedicated circuit outlet or be provided with a transformer to meet the component's power requirements.

c. The equipment must meet the requirements of NFPA 70, UL 60950, NFPA 262, FCC EMC, and FCC Part 15.

2.1.4 Nameplates

Provide nameplates of laminated plastic identifying the function, network address, if applicable, and identifier of the device. Laminated plastic must be at least 0.125 inch thick, white with black center core. Nameplates must be a minimum of 1 by 3 inch with minimum 0.25 inch high engraved block lettering.

2.1.5 Product Data Sheets

For all products (equipment) specified in PART 2 and supplied under this contract, submit copies of all manufacturer catalog cuts and specification sheets to indicate conformance to product requirements. For M&C Software also include the PICS verifying BTL Listing as a B-AWS.

2.2 COMPUTER SOFTWARE2.2.1 Monitoring and Control (M&C) Software

Provide monitoring and control (M&C) software which is a client-server software package with a graphical user interface (GUI) using web-browser based clients. communicates viaASHRAE 135, and The M&C Software may support other field control protocols. Provide M&C Software which is

BACnet Testing Laboratories Certified ("Listed") as a B-AWS.

Provide a single software package which implements the Scheduling, Alarming, Trending, Graphical System Display, and System Display Editor functionality. Other specified M&C functionality may be implemented in the same software package or in additional software packages. As specified in PART 3 EXECUTION, the M&C Software must operate on Server hardware, except that software for Point Calculations and Demand Limiting may operate on M&C Controller Hardware.

2.2.1.1 M&C Software License

License the M&C Software as specified. Use of multiple copies of M&C Server software working in coordination and sharing data between them such that they function as, and appear to an operator as, a single M&C Server is permitted to meet these requirements.

2.2.1.2 Supported Field Control Protocols

Provide M&C Software which supports field control protocols as follows:

b. The M&C Software must include a driver to ASHRAE 135 over IP in accordance with ASHRAE 135 Annex J.

f. The M&C Software may, in addition, include drivers to other protocols.

Provide M&C Software capable of reading values from and writing values to points via any supported field protocol, and capable of reading values from one field protocol and writing them to another. All points obtained from any field protocol must be available to all M&C Software functionality.

2.2.1.3 Supported Enterprise Protocols

Provide M&C Software which supports oBIX, BACnet Web Services or OPC as an enterprise protocol and which meets the following requirements:

a. It is able to read values from any point or collection of points (network point, internal point, trend log or schedule) and transmit these values via the enterprise protocol.

b. It is able to receive data via the enterprise protocol and use this data to change the value of any point.

c. License the enterprise protocol interface to the project site and document he interface such that any system capable of communicating with that protocol can be used to read and write data from the M&C Software.

2.2.1.4 Point Information

Every point, both network and internal, in the M&C Software must contain the following fields:

2.2.1.4.1 Name

A configurable name used for identification of the point within the M&C Software.

2.2.1.4.2 Description

A configurable description of no less than 80 alpha-numeric characters.

2.2.1.4.3 Value

A field containing the current point value.

2.2.1.4.4 Units

A field containing the engineering units.

2.2.1.4.5 Source

A field identifying the source of the point. For network points, this is generally the address or identification of the field device (for example, the Domain-Subnet-Node address for LonWorks field control devices or the DeviceID for BACnet devices).

2.2.1.5 Point Calculations

Provide M&C software capable of performing calculations and computing the value of a calculated point based on the values of two or more network points and calculated points. Mathematical operators must include: addition, subtraction, multiplication, division, exponentiation (y^x, power), square root, reciprocal, natural logarithm, sin, cos, tan, arcsin, arccos, arctan, and parenthesis. Pi and e must be available as constants for use in calculations.

2.2.1.6 Browser-Based Graphical User Interface (GUI)

Provide M&C Software which includes a web-browser based (client-server) graphical user interface through which all M&C Software functionality, except for the Graphics Editor, System Display Editor, report configuration, point calculation configuration, and enterprise protocol configuration, is accessible.

Provide graphical user interface web server and web clients meeting the following requirements:

a. The web server must use HTTPS based on the Transport Layer Security (TLS) Protocol in accordance with IETF RFC 7465 using a Government-furnished certificate.

b. The graphical user interface must be Common Access Card (CAC) enabled: It must support web client authentication using certificates obtained from a Department of Defense Common Access Card (CAC) Smart Card.

c. The web client must operate on any version of Windows currently supported by Microsoft.

d. The web client must function in the most recent three version of Internet Explorer and the most recent three versions of Firefox.

e. The web client must not require a connection to any server other than the M&C Server.

f. The web client must function in a browser with Java, Shockwave, Silverlight, and Flash installed. The client may require a download of

mobile code from the M&C Server, but must not require the download of additional browser plug-ins or add-ins and there must be no limit on the number of downloads. The client must not require ActiveX.

2.2.1.7 Passwords

Provide M&C software with user-based access control to M&C functionality. The M&C Software must recognize at least 20 separate users and have at least 4 levels of user permissions. User permission levels (from most restrictive to most permissive) must include:

a. Permission Level 1: View-only access to the graphical user interface.

b. Permission Level 2: Permission Level 1 plus acknowledge alarms and set up (configure) trends and reports.

c. Permission Level 3: Permission Level 2 plus override points and set up (configure) alarms, schedules and demand limiting.

d. Permission Level 4: Permission Level 3 plus create and modify Graphical System Displays using the System Display Editor.

Passwords must not be displayed and must not be logged. The system must maintain a disk file on the server hardware logging all activity of the system. This file must maintain, as a minimum, a record of all operators logged onto the system, alarm acknowledgments, commands issued and all database modifications. If the file format is not plain ASCII text, provide a means to export or convert the file to plain ASCII text. Provide a mechanism for archiving the log files for long term record storage.

2.2.1.8 Graphical System Displays

Provide graphical displays consisting of building system (air handler units, VAV boxes, chillers, cooling towers, boilers, etc.) graphic displays. Data associated with an active display must be updated at least once every 5 seconds.

2.2.1.8.1 Navigation Scheme

System graphic displays of building systems and points must be hierarchical displays using a building-to-equipment point-and-click navigation scheme which allows navigation from a garrison-wide display, through a building-wide display to the individual units. Each display must show the building name and number. Each display must show system wide data such as outside air temperature and humidity in the case of an HVAC system application.

a. For each Building or Building Sub-Area display, show the building foot print and basic floor plan, and clearly show and distinguish between the individual zones and the equipment serving each zone and space. Show all space sensor and status readings, as applicable, for the individual zones such as space temperature, humidity, occupancy status, etc. Show the locations of individual pieces of monitored and controlled equipment.

b. For each equipment display show a one-line diagram control schematic representation of the individual pieces of equipment using the symbols and M&C point data types as specified. Use different colors and textures to indicate various components and real time data. Use

consistent color and texture meanings across all displays.

c. Provide displays which clearly distinguish between the following point data types and information:

(1) Real-time data.

(2) Other user-entered data.

(3) Devices in alarm (unacknowledged).

(4) Out-of-range, bad, or missing data.

(5) Points which are overridden.

2.2.1.8.2 Navigation Commands

Provide system displays which support English language operator commands via point-and-click mouse or keyboard entry for defining and selecting points, parameters, graphics, report generation, and all other functions associated with operation. The operator commands must be usable from any operator workstation with individual operator passwords as specified.

2.2.1.9 Graphic Editor

Provide a fully featured graphics editor and capable of creating custom graphics and graphic symbols for use by the System Display Editor.

2.2.1.10 System Display Editor

Provide a system display editor which allows the user to create, modify, and delete graphic displays. The display editor may have a separate user interface and is not required to be accessible via the web browser interface. Provide a display editor which includes the following functions:

a. Create and save displays. Save an existing or modified display as a new display (i.e. "save as")

b. Group and ungroup graphics, where graphics include both alphanumerics and graphic symbols, and where a grouped graphic is manipulated as a single graphic.

c. Place, locate, resize, move, remove, reposition, rotate and mirror a graphic on a display.

d. Overlay graphics over other graphics and assign depths such that when there are coincident graphics the one on top is visible.

e. Modify graphic properties based on the value of network points and create conditions governing the display of a graphics such that different graphics are visible based on the value of network points or calculated points

f. Integrate real-time data with the display.

g. Establish connecting lines.

h. Establish sources of latest data and location of readouts.

i. Display analog values as specified.

j. Assign conditions which automatically initiate a system display.

k. Include library of display symbols which include: Pump, Motor, Two- and Three-way Valves, Flow Sensing Element, Point and Averaging Temperature Sensors, Pressure Sensor, Humidity Sensor, Single and Double Deck Air Handling Unit, Fan, Chiller, Boiler, Air Compressor, Chilled Water Piping, Steam Piping, Hot Water Piping, Ductwork, Unit Heater, Pressure Reducing Valve, Damper, Electric Meter, Limit Switch, Flow Switch, High- and Low- Point and Averaging Temperature Switches, High- and Low-Pressure Switches, Coil, Solenoid Valve, Filter, Condensing Unit, Cooling Tower, Variable Frequency Drive (VFD), Heat Exchanger, Current Sensing Relays, Generator, Circuit Breaker, Transformer, Tank. Symbols must at a minimum conform to ASHRAE FUN IP where applicable.

2.2.1.11 Scheduling

c. The M&C software must be capable of performing time synchronization and configuring Schedule Objects in ASHRAE 135 field devices in accordance with the DM-MTS-A (Device Management-Manual Time Synchronization-A).

e. The M&C Software must include a scheduling graphic display, accessible via the graphical user interface, with the following fields and functions:

(1) Current date and time.

(2) System identifier(s) and name(s), including location information such as Building name(s) and number(s).

(3) System group. Systems grouped by the user to perform according to a common schedule.

(4) Weekly schedules. For each system, a weekly schedule based on a seven day per week schedule with independent schedules for each day of the week including no less than 6 value changes per day.

(5) Holiday and special event schedules. Support for holiday and special event calendar schedules independent of the daily schedule. Special event schedules include one-time events and recurring events. Scheduling of one-time events include the beginning and ending dates and times of the event. Holiday and special event schedules must have precedence over device weekly schedules.

2.2.1.12 Alarms

Provide M&C Software meeting the following minimum requirments for alarms:

a. The M&C software must be capable of configuring alarms in ASHRAE 135 field devices in accordance with the B-AWS BIBBs

b. The M&C software must be capable of handling (routing) alarms received as an ASHRAE 135 Alarm Event Notifications.

d. The M&C software must support at least two alarm priority levels: critical and informational. Critical alarms must remain in alarm until acknowledged by an operator and the alarm condition no longer exists;

informational alarms must remain in alarm until the alarm condition no longer exists or until the alarm is acknowledged.

e. The creation, modification, and handling (routing) of alarms must be fully accessible and fully adjustable from the graphical user interface.

f. Alarm Data. Alarm data to be displayed and stored must include:

(1) Identification of alarm including building, system (or sub-system), and device name.

(2) Date and time to the nearest second of occurrence.

(3) Alarm type:

(a) Unreliable: Indicates that the source device has failed due to the sensing device or alarm parameter being out-of-range or bad data.

(b) High Alarm.

(c) Low Alarm.

(4) Current value or status of the alarm point, including engineering units

(5) Alarm limits, including engineering units.

(6) Alarm priority.

(7) Alarm Message: A unique message with a field of at least 60 characters. Assignment of messages to an alarm must be an operator editable function.

(8) Acknowledgement status of the alarm including the time, date and user of acknowledgement.

g. Alarm Notification and Routing: The M&C software must be capable of performing alarm notification and routing functions. Upon receipt of ASHRAE 135 event notification, the M&C software must immediately perform alarm notification and routing according to an assigned routing for that alarm. The M&C software must support at least 100 alarm routes, where an alarm route is a unique combination of any of the following activities:

(1) Generate a pop-up up active clients. The pop-up display must include the Alarm Data. Alarms must be capable of being acknowledged from the pop-up display by operators with sufficient permissions. Pop-up must be displayed until acknowledged.

(2) Send an e-mail message via simple mail transfer protocol (SMTP; RFC 821). The e-mail must contain a configurable message and all alarm data. The e-mail recipient and scripted message must be user configurable for each alarm route.

(3) Print alarms to designated alarm printers. The printed message must be the same as the pop-up message.

h. Alarm Display and Acknowledgement. The M&C software must include an

alarm display. Alarms must be available for display at each workstation as shown, along with all associated alarm data. Alarms must be capable of being acknowledged from this display. Multiple alarms must be capable of being acknowledged using a single command. Operator acknowledgment of one alarm must not automatically be considered as acknowledgment of any other alarm nor may it inhibit reporting of subsequent alarms.

i. Alarm Storage and Reports: The M&C software must store each alarm and its associated alarm data to hard disk and retain this information after the alarm no longer exists. The stored data must be sortable, searchable, and printable.

2.2.1.13 Trending

Provide M&C software capable of creating, modifying, uploading and archiving ASHRAE 135 Trend Objects in field devices in accordance with the B-AWS BIBBs .

a. The M&C Software must include a graphical display for trend configuration, creation and deletion accessible through the graphical user interface. Each trend must be user-configurable for:

(1) Point to trend.

(2) Sampling interval: adjustable between 1 second and 1 hour.

(3) Start and Stop Time of Trend: Start and stop times determined by one or more of the following methods:

(a) Start time and stop time

(b) Start time and duration

(c) Start time and number of samples

b. The M&C software must be capable of displaying and printing a graphical representation of each trend, and of multiple trended points on the same graph. The software must be capable of saving trend logs to a file. If the file format is not plain ASCII text in a Comma-Separated-Value (CSV) format, provide a means to export or convert the file to plain ASCII text in a CSV format.

2.2.1.14 Report Generation

Provide M&C Software capable of generating, saving and printing reports. Dynamic operation of the system must not be interrupted to generate a report. The report must contain the time and date when the samples were taken, and the time and date when the report was generated. The software must be capable of saving reports to a PDF file and to a file compatible with the provided Office Automation Software.

The software must allow for automatic and manual generation of reports. For automatic reports an operator must be able to specify the time the initial report is to be generated, the time interval between reports, end of period, and the output format for the report. Manual report generation must allow for the operator to request at any time the output of any report.

2.2.1.15 Custom Report Generation

Provide M&C software capable of generating custom reports, including but not limited to the following standard reports:

2.2.1.15.1 Energy usage Report

An energy usage summary, operator selectable, for a unit, building, area, installation, and the entire UMCS. The report must be divided by utility, and must be capable of reporting on at least four separate utilities. The report must include the following information:

a. Beginning and ending dates and times.

b. Total energy usage for each utility for the current and previous day.

c. Total energy usage for each utility for the current and previous month.

d. Maximum 15-minute interval average rate of consumption for each utility for the current and previous day and current and previous month.

e. Outside air (OA) temperature and OA humidity for current and previous month and current and previous day:

(1) Average temperature and humidity.

(2) Temperature and humidity at maximum and minimum OA temperature with time and date of occurrence.

(3) Temperature and humidity at maximum and minimum humidity with time and date of occurrence.

(4) Temperature and humidity at the installation's peak demand interval with the time and date of occurrence

f. Calculated degree days. Reports which include humidity must be configurable to report either dewpoint or relative humidity.

2.2.1.15.2 Alarm Report

Outstanding alarms by building or unit, including time of occurrence.

2.2.1.15.3 M&C Software Override Report

Points overridden by the M&C Software, including time overridden, and identification of operator overriding the point.

2.2.1.15.4 Run Time Reports

A report totalizing the accumulated run time of individual pieces of equipment. The operator must be able to define equipment groupings and to generate reports based on these groupings.

2.2.1.15.5 Device Offline Report

A report listing all offline devices in all ASHRAE 135 building control systems integrated to the M&C Software

2.3 RACKS AND ENCLOSURES

2.3.1 Enclosures

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

2.3.1.1 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

2.3.2 Equipment Racks

Provide standard 19 inch equipment racks compatible with the electronic equipment provided. Racks must be either aluminum or steel with bolted or welded construction. Steel equipment racks must be painted with a flame-retardant paint. Guard rails must be included with each equipment rack and have a copper grounding bar installed and grounded to the earth.

PART 3 EXECUTION

3.1 EXISTING CONDITIONS SURVEY

Perform a field survey, including but not limited to testing and inspection of equipment to be part of the UMCS, and submit an Existing Conditions Report documenting the current status and its impact on the Contractor's ability to meet this specification. For field control systems to be integrated to the UMCS which are not already connected to the UMCS IP network, verify the availability of the building network backbone at the FPOC location, and verify that FPOCs shown as existing are installed at the FPOC location.

3.2 DRAWINGS AND CALCULATIONS

3.2.1 UMCS Contractor Design Drawings

Revise and update the Contract Drawings to include details of the system design and all hardware components, including contractor provided and Government furnished components. Details to be shown on the Design Drawing include:

a. The logical structure of the network, including but not limited to the location of all Control Hardware (including but not limited to each BACnet Supervisory Controller, Control Protocol Gateway, Control Protocol Router, and Monitoring and Control (M&C) Controller).

b. Manufacturer and model number for each piece of Computer Hardware and Control Hardware.

c. Physical location for each piece of Computer Hardware and Control Hardware.

d. Version and service pack number for all software and for all Control Hardware firmware.

3.2.2 As-Built Drawings

Prepare draft as-built drawings consisting of Points Schedule drawings for the entire UMCS, including Points Schedules for each Gateway, and an updated Design Drawing including details of the actual installed system as it is at the conclusion of Start-Up and Start-Up Testing. Provide As-Built Drawings which include details of all hardware components, including contractor provided and Government furnished components. In addition to the details shown in the design drawings, the as-built drawing must include:

a. IP address(es) and Ethernet MAC address(es) as applicable for each piece of Control Hardware (including but not limited to each BACnet Supervisory Controller, Control Protocol Gateway, Control Protocol Router, and Monitoring and Control (M&C) Controller).

b. IP address and Ethernet MAC address for each computer server, workstation, and networked printer.

c. Network identifier (name) for each printer, computer server and computer workstation.

d. List of ports, protocols and network services for each device connected to an IP network.

e. Network Addresses: ASHRAE 135 address and Object_ID of the Device Object for all Control Hardware using ASHRAE 135.

Prepare Draft As-Built Drawings upon the completion of Start-Up and Start-Up Testing and Final As-Built Drawings upon completion of PVT Phase II.

3.3 INSTALLATION REQUIREMENTS

3.3.1 General

Install system components as shown and specified and in accordance with the manufacturer's instructions and provide necessary interconnections, services, and adjustments required for a complete and operable system. Install communication equipment and cable grounding as necessary to preclude ground loops, noise, and surges from adversely affecting system operation. Install Fiber Optic cables and wiring in exposed areas, including low voltage wiring but not including network cable in telecommunication closets, in metallic raceways or EMT conduit as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Do not install equipment in any space which experiences temperatures or humidity outside of the rated operating range of the equipment.

3.3.2 Nameplates

Provide Nameplates for all Control Hardware and all Computer Hardware. Attach Nameplates to the device in a conspicuous location.

3.4 INSTALLATION OF EQUIPMENT

3.4.1 Wire and Cable Installation

Install system components and appurtenances in accordance with NFPA 70, manufacturer's instructions and as indicated. Provide necessary interconnections, services, and adjustments required for a complete and

operable signal distribution system. Label components in accordance with TIA-606. Install conduits, outlets and raceways in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Install wiring in accordance with TIA-568-C.1 and as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Mark wiringm terminal blocks and outlets in accordance with TIA-606. Do not install non-fiber-optic cables in the same cable tray, utility pole compartment, or floor trench compartment with power cables. Properly secure and install neat in appearance cables not installed in conduit or raceways.

3.4.2 Grounding

Install signal distribution system ground in accordance with TIA-607 and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Connect equipment racks to the electrical safety ground.

3.4.3 Power-Line Surge Protection

Protect equipment connected to ac circuits must be protected against or withstand power-line surges. Provide equipment protection which meets the requirements of IEEE C62.41. Do not use fuses for surge protection.

3.4.4 IP Addresses

For all Control Hardware requiring an IP address on the UMCS IP Network, coordinate with the Navy.

3.5 INTEGRATION OF FIELD CONTROL SYSTEMS

Fully integrate the field control systems in accordance with the following three step sequence and as specified and shown.

STEP 1: Install and configure Control Hardware as necessary to connect the field control system to the FPOC, which is part of the UMCS IP network, and to provide control protocol translation and supervisory functionality.

STEP 2: Add Field Control System to M&C Software: Perform system discovery, system database merges, or any other actions necessary to allow M&C Software access to the field control system.

STEP 3: Configure M&C Software to provide monitoring and control of the field control system, including but not limited to the creation of system displays and the configuration of scheduling, alarming, and trending.

3.5.1 Integration Step 1: Install Control Hardware

Install Control Hardware as specified at the FPOC location as shown to connect the field control system to the UMCS IP network via the FPOC and, if necessary, to provide control protocol translation and supervisory functionality. Coordinate all connections and other activities related to an FPOC with Navy. Depending on the field control system media and protocol this must be accomplished through one of the following:

a. Connect the existing field control network hardware at the FPOC location to the FPOC. =

b. Install a Control Protocol Gateway connected to both the field control

network and the FPOC.c. Install a Control Protocol Router connected to both the field control network and the FPOC.

d. Install a Control Protocol Gateway connected to the field control network. Then install a Control Protocol Router connected to both the Control Protocol Gateway and the FPOC.

In addition, for integration of field control systems via ASHRAE 135, also install a BACnet Supervisory Controller as needed to implement scheduling, alarming and trending in the field control system. The BACnet supervisory controller may be the same device as the control protocol gateway or router.

3.5.2 Integration Step 2: Add Field Control System to M&C Software

Perform system discovery, system database merges, or any other actions necessary to allow M&C Software access to points and data in the field control system.

3.5.2.1 Integration of Field Control Systems Via ASHRAE 135

Use the M&C Software to fully discover the field control system. Full discovery of a field control system includes but is not limited to discovery of all ASHRAE 135 devices, all standard ASHRAE 135 Objects and Properties of each device, and all standard ASHRAE 135 services supported by each device.

3.5.2.2 Integration of Field Control Systems Via Other (non-ASHRAE 135) Protocols

Perform all actions necessary to make all points as shown on the Points Schedule from the field control system available in the M&C Software.

3.5.3 Integration Step 3: Configure M&C Software

Configure M&C Software to provide monitoring and control of the field control system, including but not limited to the creation of system displays and the configuration of scheduling, alarming, and trending.

3.5.3.1 Configure M&C Software Communication

Create and configure points and establish network communication between M&C Software and Field Control Systems as specified to support M&C Software functionality:

a. Update points on currently active displays via polling as necessary to meet M&C Software display refresh requirements.

b. Send points used for overrides to the device receiving the override as shown on the Points Schedule. For BACnet systems write operator overrides with a priority of 8 and demand limiting overrides with a priority of 10.

c. events from ASHRAE 135 field control systems used for alarms.

3.5.3.2 Configure M&C Software Functionality

Fully configure M&C Software functionality using the M&C Software capabilities specified in PART 2 of this Section.

a. Create System Displays including overrides, as shown on the Points Schedule and as specified. Label all points on displays with the point name as shown on the Points Schedule. Configure user permissions for access to and executions of action using graphic pages. Coordinate user permissions with the Controls shop supervisor

b. Configure alarm handling as shown on the Points Schedule, as shown on the Alarm Routing Schedule, and as specified. Create and configure Objects in BACnet Supervisory Controllers and in the field control system to support alarming as shown on the Points Schedule and as specified. Alarm events with priority 112 are critical and events with priority 224 are non-critical. For alarm events with other priorities, treat events with priorities of 200 or above as non-critical, and all others as critical. For alarms requiring notification via text message or email, configure the alarm notification to use the specified Government furnished SMTP server to send the alarm notification.

c. Configure scheduling as indicated and as shown on the points schedule. Create and configure Schedule Objects in BACnet Supervisory Controllers or in the field control system.

   Create and configure displays for configuration of Schedule Objects. Label schedules and scheduled points with full English-language descriptors. Provide a separate configuration capability for each schedule. A single configuration display may be used to configured multiple schedules, provided that each schedule is separately configurable from the display.

d. Create and configure Trend Objects in BACnet Supervisory Controllers and in the field control system as shown on the Points Schedule and as specified. Trend points at 15 minute intervals.

   Create and configure displays for creation and configuration of trends and for display of all trended points.

e. Configure Demand Limiting as shown on the Demand Limit Schedule and Points Schedule and as specified.

f. Configure M&C Software standard reports.

3.6 START-UP AND START-UP TESTING

Test all equipment and perform all other tests necessary to ensure the system is installed and functioning as specified. Prepare a Start-Up and Start-Up Testing Report documenting all tests performed and their results and certifying that the system meets the requirements specified in the contract documents.

3.7 PERFORMANCE VERIFICATION TEST (PVT)

3.7.1 PVT Phase I Procedures

Provide PVT Procedures which include:.

a. Network bandwidth usage and available bandwidth (throughput) measurements. Network bandwidth usage must reference the normal usage network Bandwidth Calculations.

b. Test System Reaction during PVT: The total system response time from initiation of a control action command from the workstation, to display of the resulting status change on the workstation must not exceed 20 seconds under system normal heavy load conditions assuming a zero response time for operation of the node's control device.

c. Verification of IP Connectivity.

d. Verification of configuration of M&C Software functionality.

3.7.2 PVT Phase I

Demonstrate compliance of the control system with the contract documents. Using test plans and procedures previously approved by the Government, demonstrate all physical and functional requirements of the project. Upon completion of PVT Phase I and as specified, prepare and submit the PVT Phase I Report documenting all tests performed during the PVT and their results. In the PVT report, include all tests in the PVT Procedures and any other testing performed during the PVT. Docuemnt failures and repairs with test results.

3.7.3 PVT Phase II

Include Basic Training as part of PVT Phase II. Failures or deficiencies of the UMCS during Basic Training are considered PVT failures. Upon completion of PVT Phase II, and as specified, prepare and submit the PVT Phase II Report documenting any failures which occurred and repairs performed during PVT Phase II.

3.8 MAINTENANCE AND SERVICE

Perform inspection, testing, cleaning, and part or component replacement as specified and as required to maintain the warranty. Work includes providing necessary preventive and unscheduled maintenance and repairs to keep the UMCS operating as specified, and accepted by the Government, and other services as specified. Perform work in compliance with manufacturer's recommendations and industry standards. Provide technical support via telephone during regular working hours.

3.8.1 Work Coordination

Schedule and arrange work to cause the least interference with the normal Government business and mission. In those cases where some interference may be essentially unavoidable, coordinate with the Government to minimize the impact of the interference, inconvenience, equipment downtime, interrupted service and personnel discomfort.

3.8.2 Work Control

Upon completion of work on a system or piece of equipment, that system or piece of equipment must be free of missing components or defects which would prevent it from functioning as originally intended and designed. Replacements must conform to the same specifications as the original equipment. During and at completion of work, do not allow debris to spread unnecessarily into adjacent areas nor accumulate in the work area itself.

3.8.3 Working Hours

Working hours are from 7:30 A.M. to 4:00 P.M. local time Mondays through

Fridays except Federal holidays.

3.8.4 Replacement, Modernization, Renovation

The Government may replace, renovate, or install new equipment as part of the UMCS at Government expense and by means not associated with this contract without voiding the system warranty. Replaced, improved, updated, modernized, or renovated systems and equipment interfaced to the system may be added to the Contractor's maintenance and service effort as a modification.

3.8.5 Access To UMCS Equipment

Access to UMCS equipment must be in accordance with the following:

a. Coordinate access to facilities and arrange that they be opened and closed during and after the accomplishment of the work effort. For access to a controlled facility contact the Government for assistance.

b. The Government may provide keys for access to UMCS equipment where the Government determines such key issuance is appropriate. Establish and implement methods of ensuring that keys issued by the Government are not lost or misplaced, are not used by unauthorized persons, and are not duplicated.

c. The Government may provide passwords or issue Common Access Cards (CAC) for access to UMCS computer equipment where the Government determines such issuance is appropriate. Establish and implement methods of ensuring that passwords and Common Access Cards issued by the Government are not used by unauthorized persons.

3.8.6 Records, Logs, and Progress Reports

Keep records and logs of each task, and organize cumulative chronological records for each major component, and for the complete system. Maintain a continuous log for the UMCS. Keep complete logs and be available for inspection on site, demonstrating that planned and systematic adjustments and repairs have been accomplished for the UMCS.

3.8.7 Service Call Reception

a. A Government representative will advise the Contractor by phone or in person of all maintenance and service requests, as well as the classification of each based on the definitions specified. A description of the problem or requested work, date and time notified, location, classification, and other appropriate information will be placed on a Service Call Work Authorization Form by the Government.

b. Submit procedures for receiving and responding to service calls 24 hours per day, seven days a week, including weekends and holidays . Provide a single telephone number for receipt of service calls during regular working hours; service calls are to be considered received at the time and date the telephone call is placed by the authorized Government representative.

c. Separately record each service call request, as received on the Service Call Work Authorization form and complete the Service Call Work Authorization form for each service call. Inclue the following information in the completed form: the serial number identifying the

component involved, its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion.

d. Respond to each service call request within two working hours. Provide the status of any item of work within four hours of the inquiry during regular working hours, and within 16 hours after regular working hours or as needed to meet the Equipment Repair requirements as specified.

3.8.8 Service Call Work Warranty

Provide a 1 year unconditional warranty on service call work which includes labor and material necessary to restore the equipment involved in the initial service call to a fully operable condition. In the event that service call work causes damage to additional equipment, restore the system to full operation without cost to the Government. Provide response times for service call warranty work equivalent to the response times required by the initial service call.

3.8.9 System Modifications

Make recommendations for system modification in writing to the Government. Do not make system modifications without prior approval of the Government. Incorporate any modifications made to the system into the Operations and Maintenance Instructions, and any other documentation affected. Make available to the Government software updates for all software furnished under this specification during the life of this contract. Schedule at least one update near the end of the contract period, at which time make available the latest released version of all software provided under this specification, and install and validate it upon approval by the Government.

3.9 TRAINING

Conduct training courses for designated personnel in the maintenance, service, and operation of the system as specified, including specified hardware and software. The training must be oriented to the specific system provided under this contract. Provide audiovisual equipment and other training material and supplies required for the training. When training is conducted at Government facilities, the Government reserves the right to record the training sessions for later use. A training day is defined as 8 hours of classroom instruction, excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility. For guidance in planning the required instruction, the Contractor should assume that attendees will be tradesmen such as electricians or boiler operators. Obtain approval of the training schedule from the Government at least 30 days prior to the first day of training.

3.9.1 Training Documentation

Prepare and submit one set of Training manuals for each of Basic Training Documentation where each set of documentation consists of:

3.9.1.1 Course Attendance List

Course Attendance List developed in coordination with and signed by the Controls shop supervisor.

3.9.1.2 Training Manuals

Include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson in the training manuals. Where portions of the course material are presented by audiovisuals, incldue copies of those audiovisuals as a part of the printed training manuals.

3.9.2 Basic Training

Conduct a Basic Training course at the project site on the installed system for a period of no less than training days during Phase 2 of the PVT. A maximum of ten personnel will attend this course. Design training targeted towards training personnel in the day-to-day operation and basic maintenance of the system. Upon completion of this course, each student, using appropriate documentation, should be able to start the system, operate the system, recover the system after a failure, perform routine maintenance and describe the specific hardware architecture and operation of the system. Include the following topics at a minimum:

a. General system architecture.

b. Functional operation of the system, including workstations and system navigation.

c. System start-up procedures.

d. Failure recovery procedures.

e. Schedule configuration.

f. Trend configuration.

g. Perform point overrides and override release.

h. Reports generation.

i. Alarm reporting and acknowledgements.

j. Diagnostics.

k. Historical files.

l. Maintenance procedures:

(1) Physical layout of each piece of hardware.

(2) Troubleshooting and diagnostic procedures.

(3) Preventive maintenance procedures and schedules.

APPENDIX A

QC CHECKLIST		
This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such.		
This checklist is for (check one:)		
	Pre-Construction QC Checklist Submittal (Items 1-2)	( )
	Post-Construction QC Checklist Submittal (Items 1-6)	( )
	Close-out QC Checklist Submittal (Items 1-14)	( )
Instructions: Initial each item in the space provided (|____|) verifying that the requirement has been met.		
Verify the following items for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:		
1	Contractor Design Drawing Riser Diagram includes location and types of all Control Hardware and Computer Hardware.	|____|
2	M&C Software supports , and ASHRAE 135 . M&C Software is BTL Listed as a B-AWS.	|____|
Verify the following items for Post-Construction and Closeout QC Checklist Submittal:		
3	Communication between the M&C Software and ASHRAE 135 field control systems uses only ASHRAE 135.	|____|

QC CHECKLIST		
4	Connections to non-ASHRAE 135field control systems are via a Gateway from the field control system to ASHRAE 135 or via a UMCS supported protocol without the use of a hardware Gateway.	|____|
5	Computer workstations and servers are installed as shown on the UMCS Riser Diagram.	|____|
6	Training schedule and course attendee lists have been developed and coordinated with shops and submitted.	|____|
Verify the following items for Closeout QC Checklists Submittal:		
7	All points in field control systems have been discovered and are available at the M&C Software.	|____|
8	All software has been licensed to the Government.	|____|
9	M&C software monitoring displays have been created for all building systems, including all override and display points indicated on Points Schedule drawings.	|____|
10	Final As-built Drawings accurately represent the final installed system.	|____|
11	Default trends have been set up (per Points Schedule drawings).	|____|
12	Scheduling has been configured at the M&C Software (per Occupancy Schedule drawing).	|____|
13	O&M Instructions have been completed and submitted.	|____|

QC CHECKLIST		
14	Basic Operator and Advanced Training courses have been completed.	|____|
_____	_____	
	(QC Representative Signature) (Date)	

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 26 00 00.00 20

BASIC ELECTRICAL MATERIALS AND METHODS
07/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code
IEEE C57.12.28	(2014) Standard for Pad-Mounted Equipment - Enclosure Integrity
IEEE C57.12.29	(2014) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2018) Enclosures for Electrical Equipment (1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code

1.2 RELATED REQUIREMENTS

This section applies to certain sections of Division 02, EXISTING CONDITIONS Divisions 22 and 23, PLUMBING and HEATING VENTILATING AND AIR CONDITIONING. This section applies to all sections of Division 26 and 33, ELECTRICAL and UTILITIES, of this project specification unless specified otherwise in the individual sections. This section has been incorporated into, and thus, does not apply to, and is not referenced in the following sections.

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

1.3 DEFINITIONS

a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, shall be as defined in IEEE 100.

b. The technical sections referred to herein are those specification sections that describe products, installation procedures, and equipment operations and that refer to this section for detailed description of submittal types.

c. The technical paragraphs referred to herein are those paragraphs in PART 2 - PRODUCTS and PART 3 - EXECUTION of the technical sections that describe products, systems, installation procedures, equipment, and test methods.

1.4 ELECTRICAL CHARACTERISTICS

Electrical characteristics for this project shall be upt0 480volts , three phase, three wire. Final connections to the power distribution system at the existing exisitng building interior panel shall be made by the Contractor as directed by the Contracting Officer .

1.5 ADDITIONAL SUBMITTALS INFORMATION

Submittals required in other sections that refer to this section must conform to the following additional requirements as applicable.

1.5.1 Shop Drawings (SD-02)

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Wiring diagrams shall identify circuit terminals and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Drawings shall indicate adequate clearance for operation, maintenance, and replacement of operating equipment devices.

1.5.2 Product Data (SD-03)

Submittal shall include performance and characteristic curves.

1.6 QUALITY ASSURANCE

1.6.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship shall be in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.6.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products shall have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period shall include applications of equipment and materials under similar circumstances and of similar size. The product shall have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items shall be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in the technical section.

1.6.2.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.6.2.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site shall not be used, unless specified otherwise.

1.7 WARRANTY

The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.8 POSTED OPERATING INSTRUCTIONS

Provide for each system and principal item of equipment as specified in the technical sections for use by operation and maintenance personnel. The operating instructions shall include the following:

a. Wiring diagrams, control diagrams, and control sequence for each principal system and item of equipment.

b. Start up, proper adjustment, operating, lubrication, and shutdown procedures.

c. Safety precautions.

d. The procedure in the event of equipment failure.

e. Other items of instruction as recommended by the manufacturer of each system or item of equipment.

Print or engrave operating instructions and frame under glass or in approved laminated plastic. Post instructions where directed. For operating instructions exposed to the weather, provide weather-resistant materials or weatherproof enclosures. Operating instructions shall not fade when exposed to sunlight and shall be secured to prevent easy removal or peeling.

1.9 MANUFACTURER'S NAMEPLATE

Each item of equipment shall have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.10 FIELD FABRICATED NAMEPLATES

ASTM D709. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified in the technical sections or as indicated on the drawings. Each nameplate inscription shall identify the function and, when applicable, the position. Nameplates shall be melamine plastic, 0.125 inch thick, white with black center core. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be one by 2.5 inches. Lettering shall be a minimum of 0.25 inch high normal block style.

1.11 WARNING SIGNS

Provide warning signs for the enclosures of electrical equipment including substations, pad-mounted transformers, pad-mounted switches, generators, and switchgear having a nominal rating exceeding 600 volts.

a. When the enclosure integrity of such equipment is specified to be in accordance with IEEE C57.12.28 or IEEE C57.12.29, such as for pad-mounted transformers, provide self-adhesive warning signs on the outside of the high voltage compartment door(s). Sign shall be a decal and shall have nominal dimensions of 7 by 10 inches with the legend "DANGER HIGH VOLTAGE" printed in two lines of nominal 2 inch high letters. The word "DANGER" shall be in white letters on a red background and the words "HIGH VOLTAGE" shall be in black letters on a white background. Decal shall be Panduit No. PPSO710D72 or approved equal.

1.12 ELECTRICAL REQUIREMENTS

Electrical installations shall conform to IEEE C2, NFPA 70, and requirements specified herein.

1.13 INSTRUCTION TO GOVERNMENT PERSONNEL

Where specified in the technical sections, furnish the services of competent instructors to give full instruction to designated Government personnel in the adjustment, operation, and maintenance of the specified systems and equipment, including pertinent safety requirements as required. Instructors shall be thoroughly familiar with all parts of the installation and shall be trained in operating theory as well as practical operation and maintenance work. Instruction shall be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished shall be as specified in the individual section.

PART 2 PRODUCTS

2.1 FACTORY APPLIED FINISH

Electrical equipment shall have factory-applied painting systems which

shall, as a minimum, meet the requirements of NEMA 250 corrosion-resistance test and the additional requirements specified in the technical sections.

PART 3 EXECUTION

3.1 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting shall be as specified in the section specifying the associated electrical equipment.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side, but space the signs a maximum of 30 feet apart.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 26 05 48.00 10

SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT
10/07

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325 (2017) Steel Construction Manual

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-310-04 (2013; with Change 1) Seismic Design of Buildings

1.2 SYSTEM DESCRIPTION

1.2.1 General Requirements

The requirements for seismic protection measures described in this section shall be applied to the electrical equipment and systems listed below.

1.2.2 Electrical Equipment

Electrical equipment shall include the following items to the extent required on the drawings or in other sections of these specifications:

Control Panels	Air Handling Units
Pumps with Motors	Switchgear
Light Fixtures	Unit Substations
Motor Control Centers	Transformers
Switchboards (Floor Mounted)	Storage Racks
Solar Heating Units	

1.2.3 Electrical Systems

The following electrical systems shall be installed as required on the drawings and other sections of these specifications and shall be seismically protected in accordance with this specification:

1.2.4 Contractor Designed Bracing

Submit copies of the Design Calculations with the Drawings. Calculations shall be approved, certified, stamped and signed by a Registered

Professional Engineer. Calculations shall verify the capability of structural members to which bracing is attached for carrying the load from the brace. Design the bracing in accordance with UFC 3-310-04 and additional data furnished by the Contracting Officer. Resistance to lateral forces induced by earthquakes shall be accomplished without consideration of friction resulting from gravity loads. UFC 3-310-04 uses parameters for the building, not for the equipment in the building; therefore, corresponding adjustments to the formulas shall be required. Loadings determined using UFC 3-310-04 are based on strength design; therefore, AISC 325 shall be used for the design.

The design shall be based on the following parameters per Standard ASCE/SEI 7-16 which is referenced by the UFC Guides.

Mapped Acceleration Parameters
Ss=0.20
S1=0.06

Site Class: "D"

Risk Category: III

1.2.5 Conduits Requiring No Special Seismic Restraints

Seismic restraints may be omitted from electrical conduit less than 2-1/2 inches trade size and . All other interior conduit, shall be seismically protected as specified.

1.3 EQUIPMENT REQUIREMENTS

Submit detail drawings along with catalog cuts, templates, and erection and installation details, as appropriate, for the items listed. Submittals shall be complete in detail, indicating thickness, type, grade, class of metal, and dimensions; and shall show construction details, reinforcement, anchorage, and installation with relation to the building construction. Submit copies of the design calculations with the detail drawings. Calculations shall be stamped by a registered engineer and shall verify the capability of structural members to which bracing is attached for carrying the load from the brace.

1.3.1 Rigidly Mounted Equipment

The following specific items of equipment: to be furnished under this contract shall be constructed and assembled to withstand the seismic forces specified in UFC 3-310-04. Each item of rigid electrical equipment shall be entirely located and rigidly attached on one side only of a building expansion joint. Piping, electrical conduit, etc., which cross the expansion joint shall be provided with flexible joints that are capable of accommodating displacements equal to the full width of the joint in both orthogonal directions.

Engine-Generators
Substations
Transformers
Switch Boards and Switch Gears
Motor Control Centers
Free Standing Electric Motors

1.3.2 Nonrigid or Flexibly-Mounted Equipment

The following specific items of equipment to be furnished: shall be constructed and assembled to resist a horizontal lateral force of times the operating weight of the equipment at the vertical center of gravity of the equipment.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Equipment Requirements

SD-03 Product Data

Equipment Requirements; G
Contractor Designed Bracing; G

PART 2 PRODUCTS

2.1 SWAY BRACING MATERIALS

Sway bracing materials (e.g. rods, plates, rope, angles, etc.) shall be based on similar materialsas specified in
23 05 48.19 SEISMIC BRACING FOR HVAC

PART 3 EXECUTION

3.1 SWAY BRACES FOR CONDUIT

Conduit shall be braced as for an equivalent weight pipe in accordance with Section 23 05 48.19 BRACING FOR HVAC.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM
02/14

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM B1	(2013) Standard Specification for Hard-Drawn Copper Wire
ASTM B8	(2011; R 2017) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D709	(2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1	(2005) American National Standard for Electrical Rigid Steel Conduit (ERSC)
ANSI C80.3	(2015) American National Standard for Electrical Metallic Tubing (EMT)
ANSI C80.5	(2015) American National Standard for Electrical Rigid Aluminum Conduit
NEMA 250	(2018) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2000; R 2015) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2005; Errata 2008) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 4	(2015) Application Guideline for Terminal Blocks
NEMA ICS 6	(1993; R 2016) Industrial Control and

	Systems: Enclosures
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA TC 2	(2013) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2016) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA Z535.4	(2011; R 2017) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code
NFPA 70E	(2018; TIA 18-1; TIA 81-2) Standard for Electrical Safety in the Workplace

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-607	(2015c; Addendum 1 2017) Generic Telecommunications Bonding and Grounding (Earthing) for Customer Premises

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)

UNDERWRITERS LABORATORIES (UL)

UL 1	(2005; Reprint Aug 2017) UL Standard for Safety Flexible Metal Conduit
UL 1063	(2017) UL Standard for Safety Machine-Tool Wires and Cables
UL 1242	(2006; Reprint Mar 2014) Standard for Electrical Intermediate Metal Conduit -- Steel
UL 1660	(2014) Liquid-Tight Flexible Nonmetallic Conduit
UL 1699	(2017) UL Standard for Safety Arc-Fault Circuit-Interrupters
UL 360	(2013; Reprint Nov 2018) UL Standard for Safety Liquid-Tight Flexible Metal Conduit

UL 44	(2018) UL Standard for Safety Thermoset-Insulated Wires and Cables
UL 486A-486B	(2018) UL Standard for Safety Wire Connectors
UL 486C	(2018) UL Standard for Safety Splicing Wire Connectors
UL 489	(2016) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 50	(2015) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 506	(2017) UL Standard for Safety Specialty Transformers
UL 508	(2018) UL Standard for Safety Industrial Control Equipment
UL 510	(2017) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Aug 2017) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Nov 2014) Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Nov 2018) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 6	(2007; Reprint Nov 2014) Electrical Rigid Metal Conduit-Steel
UL 651	(2011; Reprint Nov 2018) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 67	(2018) UL Standard for Safety Panelboards
UL 6A	(2008; Reprint Nov 2014) Electrical Rigid Metal Conduit - Aluminum, Red Brass, and Stainless Steel
UL 797	(2007; Reprint Mar 2017) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 83	(2017) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 869A	(2006) Reference Standard for Service Equipment

UL 870	(2016) UL Standard for Safety Wireways, Auxiliary Gutters, and Associated Fittings
UL 943	(2016; Reprint Feb 2018) UL Standard for Safety Ground-Fault Circuit-Interrupters

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE 100.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval.Submit the following in accordance with Section 01 33 00.

SD-02 Shop Drawings

Panelboards; G

Wireways; G

Marking strips drawings; G

SD-03 Product Data

Circuit breakers; G

Combination motor controllers; G

SD-06 Test Reports

600-volt wiring test; G

SD-10 Operation and Maintenance Data

Submit operation and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein.

1.4 QUALITY ASSURANCE

1.4.1 Fuses

Submit coordination data as specified in paragraph, FUSES of this section.

1.4.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" or "must" had been

substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.4.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.

b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.

c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.4.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.4.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.5 MAINTENANCE

1.6 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.1.2 Rigid Aluminum Conduit

ANSI C80.5, UL 6A.

2.2.2 Rigid Nonmetallic Conduit

PVC Type EPC-40 in accordance with NEMA TC 2,UL 651.

2.2.3 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

2.2.4 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.5 Plastic-Coated Rigid Steel and IMC Conduit

NEMA RN 1, Type 40(40 mils thick).

2.2.6 Flexible Metal Conduit

UL 1.

2.2.6.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360.

2.2.7 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.7.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.7.2 Fittings for EMT

Steelcompression type.

2.2.8 Fittings for Rigid Nonmetallic Conduit

NEMA TC 3 for PVC, and UL 514B.

2.2.9 Liquid-Tight Flexible Nonmetallic Conduit

UL 1660.

2.3 SURFACE RACEWAY

2.4 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

2.5 CABINETS, JUNCTION BOXES, AND PULL BOXES

Volume greater than 100 cubic inches, UL 50, hot-dip, zinc-coated, if sheet steel.

2.6 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 12 months prior to date of delivery to site.

2.6.1 Conductors

Provide the following:

a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.

b. Conductors No. 8 AWG and larger diameter: stranded.

c. Conductors No. 10 AWG and smaller diameter: solid.

d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.

e. All conductors: copper.2.6.1.1 Aluminum Conductors

Provide aluminum conductors of AA-8000 series electrical grade aluminum alloy conductors. Type EC/1350 aluminum is not acceptable. If Contractor choosees to provide aluminum for conductors No. 4 AWG and larger diameter, Contractor is responsible for increasing conductor size to have same ampacity as copper size indicated; increasing conduit and pull box sizes to accommodate larger size aluminum conductors in accordance with NFPA 70; ensuring that pulling tension rating of aluminum conductor is sufficient; providing panelboards that are UL listed for use with aluminum, and so labeled; relocating equipment, modifying equipment terminations, resizing equipment; and resolving problems that are direct results of providing aluminum conductors in lieu of copper.

2.6.1.2 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

a. Branch circuits: No. 12 AWG.

b. Class 1 remote-control and signal circuits: No. 14 AWG.

c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.

d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.

2.6.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.6.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

a. Grounding conductors: Green.

b. Neutral conductors: White.

c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

2.6.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

a. 208/120 volt, three-phase

(1) Phase A - black

(2) Phase B - red

(3) Phase C - blue

b. 480/277 volt, three-phase

(1) Phase A - brown

(2) Phase B - orange

(3) Phase C - yellow

c. 120/240 volt, single phase: Black and red

2.6.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.6.4 Bonding Conductors

ASTM B1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.6.4.1 Telecommunications Bonding Backbone (TBB)

Provide a copper conductor TBB in accordance with TIA-607 with No. 6 AWG

minimum size, and sized at 2 kcmil per linear foot of conductor length up to a maximum size of 3/0 AWG.

2.6.4.2 Bonding Conductor for Telecommunications

Provide a copper conductor Bonding Conductor for Telecommunications between the telecommunications main grounding busbar (TMGB) and the electrical service ground in accordance with TIA-607. Size the bonding conductor for telecommunications the same as the TBB.

2.7 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

2.8 PANELBOARDS

Provide panelboards in accordance with the following:

a. UL 67 and UL 50 having a short-circuit current rating of 10,000 amperes symmetrical minimum.

b. Panelboards for use as service disconnecting means: additionally conform to UL 869A.

c. Panelboards: circuit breaker-equipped.

d. Designed such that individual breakers can be removed without disturbing adjacent units or without loosening or removing supplemental insulation supplied as means of obtaining clearances as required by UL.

e. "Specific breaker placement" is required in panelboards to match the breaker placement indicated in the panelboard schedule on the drawings.

f. Use of "Subfeed Breakers" is not acceptable unless specifically indicated otherwise.

h. Where "space only" is indicated, make provisions for future installation of breakers.

i. Directories: indicate load served by each circuit in panelboard.

j. Directories: indicate source of service to panelboard (e.g., Panel PA served from Panel MDP).

k. Provide new directories for existing panels modified by this project as indicated.

l. Type directories and mount in holder behind transparent protective covering.

m. Panelboards: listed and labeled for their intended use.

n. Panelboard nameplates: provided in accordance with paragraph FIELD FABRICATED NAMEPLATES.

2.8.1 Enclosure

Provide panelboard enclosure in accordance with the following:

a. UL 50.

b. Cabinets mounted outdoors or flush-mounted: hot-dipped galvanized after fabrication.

c. Cabinets: painted in accordance with paragraph PAINTING.

d. Outdoor cabinets: NEMA 3R raintight with a removable steel plate 1/4 inch thick in the bottom for field drilling for conduit connections.

e. Front edges of cabinets: form-flanged or fitted with structural shapes welded or riveted to the sheet steel, for supporting the panelboard front.

f. All cabinets: fabricated such that no part of any surface on the finished cabinet deviates from a true plane by more than 1/8 inch.

g. Holes: provided in the back of indoor surface-mounted cabinets, with outside spacers and inside stiffeners, for mounting the cabinets with a 1/2 inch clear space between the back of the cabinet and the wall surface.

h. Flush doors: mounted on hinges that expose only the hinge roll to view when the door is closed.

i. Each door: fitted with a combined catch and lock, except that doors over 24 inches long provided with a three-point latch having a knob with a T-handle, and a cylinder lock.

j. Keys: two provided with each lock, with all locks keyed alike.

k. Finished-head cap screws: provided for mounting the panelboard fronts on the cabinets.

2.8.2 Panelboard Buses

Support bus bars on bases independent of circuit breakers. Design main buses and back pans so that breakers may be changed without machining, drilling, or tapping. Provide isolated neutral bus in each panel for connection of circuit neutral conductors. Provide separate ground bus identified as equipment grounding bus per UL 67 for connecting grounding conductors; bond to steel cabinet.

2.8.3 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

2.8.3.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker such that overload in one pole automatically causes all poles to open. Maintain

phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.8.3.2 Circuit Breaker With Ground-Fault Circuit Interrupter

UL 943 and NFPA 70. Provide with "push-to-test" button, visible indication of tripped condition, and ability to detect and trip on current imbalance of 6 milliamperes or greater per requirements of UL 943 for Class A ground-fault circuit interrupter.

2.8.3.3 Circuit Breakers for HVAC Equipment

Provide circuit breakers for HVAC equipment having motors (group or individual) marked for use with HACR type and UL listed as HACR type.

2.8.3.4 Arc-Fault Circuit Interrupters

UL 489, UL 1699 and NFPA 70. Molded case circuit breakers: rated as indicated. Provide with "push-to-test" button.

2.9 Combination motor controllers

Provide motor controllers in accordance with the following:

a. UL 508, NEMA ICS 1, and NEMA ICS 2,.

b. Provide controllers with thermal overload protection in each phase, and one spare normally open auxiliary contact, and one spare normally closed auxiliary contact.

c. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage.

d. Provide protection for motors from immediate restart by a time adjustable restart relay.

e. When used with pressure, float, or similar automatic-type or maintained-contact switch, provide a hand/off/automatic selector switch with the controller.

f. Connections to selector switch: wired such that only normal automatic regulatory control devices are bypassed when switch is in "hand" position.

g. Safety control devices, such as low and high pressure cutouts, high temperature cutouts, and motor overload protective devices: connected in motor control circuit in "hand" and "automatic" positions.

h. Control circuit connections to hand/off/automatic selector switch or to more than one automatic regulatory control device: made in accordance with indicated or manufacturer's approved wiring diagram.

i. Provide selector switch with the means for locking in any position.

j. Provide a disconnecting means, capable of being locked in the open position, for the motor that is located in sight from the motor location and the driven machinery location. As an alternative, provide a motor controller disconnect, capable of being locked in the open

position, to serve as the disconnecting means for the motor if it is in sight from the motor location and the driven machinery location.

l. Overload protective devices: provide adequate protection to motor windings; be thermal inverse-time-limit type; and include manual reset-type pushbutton on outside of motor controller case.

m. Cover of combination motor controller and manual switch or circuit breaker: interlocked with operating handle of switch or circuit breaker so that cover cannot be opened unless handle of switch or circuit breaker is in "off" position.

n. Minimum short circuit withstand rating of combination motor controller: 10 kaic rms symmetrical amperes.

2.9.1 Control Wiring

Provide control wiring in accordance with the following:

a. All control wire: stranded tinned copper switchboard wire with 600-volt flame-retardant insulation Type SIS meeting UL 44, or Type MTW meeting UL 1063, and passing the VW-1 flame tests included in those standards.

b. Hinge wire: Class K stranding.

c. Current transformer secondary leads: not smaller than No. 10 AWG.

d. Control wire minimum size: No. 14 AWG.

e. Power wiring for 480-volt circuits and below: the same type as control wiring with No. 12 AWG minimum size.

f. Provide wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

2.9.2 Control Circuit Terminal Blocks

Provide control circuit terminal blocks in accordance with the following:

a. NEMA ICS 4.

b. Control circuit terminal blocks for control wiring: molded or fabricated type with barriers, rated not less than 600 volts.

c. Provide terminals with removable binding, fillister or washer head screw type, or of the stud type with contact and locking nuts.

d. Terminals: not less than No. 10 in size with sufficient length and space for connecting at least two indented terminals for 10 AWG conductors to each terminal.

e. Terminal arrangement: subject to the approval of the Contracting Officer with not less than four (4) spare terminals or 10 percent, whichever is greater, provided on each block or group of blocks.

f. Modular, pull apart, terminal blocks are acceptable provided they are of the channel or rail-mounted type.

g. Submit data showing that any proposed alternate will accommodate the specified number of wires, are of adequate current-carrying capacity, and are constructed to assure positive contact between current-carrying parts.

2.9.2.1 Types of Terminal Blocks

a. Short-Circuiting Type: Short-circuiting type terminal blocks: furnished for all current transformer secondary leads with provision for shorting together all leads from each current transformer without first opening any circuit. Terminal blocks: comply with the requirements of paragraph CONTROL CIRCUIT TERMINAL BLOCKS above.

b. Load Type: Load terminal blocks rated not less than 600 volts and of adequate capacity: provided for the conductors for NEMA Size 3 and smaller motor controllers and for other power circuits, except those for feeder tap units. Provide terminals of either the stud type with contact nuts and locking nuts or of the removable screw type, having length and space for at least two indented terminals of the size required on the conductors to be terminated. For conductors rated more than 50 amperes, provide screws with hexagonal heads. Conducting parts between connected terminals must have adequate contact surface and cross-section to operate without overheating. Provide eEach connected terminal with the circuit designation or wire number placed on or near the terminal in permanent contrasting color.

2.9.3 Control Circuits

Control circuits: maximum voltage of 120 volts derived from control transformer in same enclosure. Transformers: conform to UL 506, as applicable. Transformers, other than transformers in bridge circuits: provide primaries wound for voltage available and secondaries wound for correct control circuit voltage. Size transformers so that 80 percent of rated capacity equals connected load. Provide disconnect switch on primary side.. Provide one fused secondary lead with the other lead grounded.

2.9.4 Enclosures for Motor Controllers

NEMA ICS 6.

2.9.5 Multiple-Speed Motor Controllers and Reversible Motor Controllers

Across-the-line-type, electrically and mechanically interlocked. Multiple-speed controllers: include compelling relays and multiple-button, station-type with pilot lights for each speed.

2.9.6 Pushbutton Stations

Provide with "start/stop" momentary contacts having one normally open and one normally closed set of contacts, and red lights to indicate when motor is running. Stations: heavy duty, oil-tight design.

2.9.7 Pilot and Indicating Lights

Provide LED cluster lamps.

2.9.8 Reduced-Voltage Controllers

Provide for polyphase motors 5 horsepower and larger. Reduced-voltage starters: single-step, closed transition solid state-type, or as indicated, with an adjustable time interval between application of reduced and full voltages to motors.

2.10 LOCKOUT REQUIREMENTS

Provide disconnecting means capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147. Comply with requirements of Division 23, "Mechanical" for mechanical isolation of machines and other equipment.

2.11 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.12 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

a. ASTM D709.

b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.

c. Each nameplate inscription: identify the function and, when applicable, the position.

d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.

e. Provide red laminated plastic label with white center core where indicated.

f. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.

g. Minimum size of nameplates: one by 2.5 inches.

h. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.13 WARNING SIGNS

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.14 WIREWAYS

UL 870. Material: steel galvanized 16 gauge for heights and depths up to 6 by 6 inches, and 14 gauge for heights and depths up to 12 by 12 inches. Provide in length required for the application with hinged- cover NEMA 1 or 3R enclosure per NEMA ICS 6.

2.15 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.

b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.

c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.

d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.

e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.

f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.

g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

2.16 SOURCE QUALITY CONTROL

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

3.1.1 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches.

3.1.1.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.2 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project.

3.1.2.1 Restrictions Applicable to Aluminum Conduit

a. Do not install underground or encase in concrete or masonry.

b. Do not use brass or bronze fittings.

c. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.2 Restrictions Applicable to EMT

a. Do not install underground.

b. Do not encase in concrete, mortar, grout, or other cementitious materials.

c. Do not use in areas subject to severe physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.

d. Do not use in hazardous areas.

e. Do not use outdoors.

f. Do not use in fire pump rooms.

g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

Restrictions Applicable to Nonmetallic Conduits (1) Do not use 3.1.2.3 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.2.4 Conduit for Circuits Rated Greater Than 600 Volts

Rigid metal conduit or IMC only.

3.1.2.5 Stub-Ups

Provide conduits stubbed up through concrete floor for connection to free-standing equipment with adjustable top or coupling threaded inside for

plugs, set flush with finished floor. Extend conductors to equipment in rigid steel conduit, except that flexible metal conduit may be used 6 inches above floor. Where no equipment connections are made, install screwdriver-operated threaded flush plugs in conduit end.

3.1.2.6 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Coordinate installationwith above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used for lighting branch circuit conduit supports in suspended ceilings in dry locations. Where conduit crosses building expansion joints, provide suitable watertight expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.2.7 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.2.8 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.2.9 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquidtight flexible conduit in wet and damp locations

for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections.

3.1.3 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet locations, when surface mounted on outside of exterior surfaces, when surface mounted on interior walls exposed up to 7 feet above floors and walkways, and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixturesfor access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. Threaded studs driven in by powder charge and provided with lockwashers and nuts or nail-type nylon anchors may be used in lieu of wood screws, expansion shields, or machine screws. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.3.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet. Telecommunications outlets: a minimum of 4 inches square by 2 1/8 inches deep. Mount outlet boxes flush in finished walls.

3.1.3.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge aluminum or galvanized sheet steel, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.4 Mounting Heights

Mount panelboards, enclosed circuit breakers, motor controller and

disconnecting switches so height of operating handle at its highest position is maximum 78 inches above floor. Mount lighting switches. Mount receptacles 18 inches above finished floor.

3.1.5 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves.

3.1.5.1 Marking Strips

Provide marking strips in accordance with the following:

a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.

b. Use permanent ink for the wire numbers

c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.

d. Size marking strips to accommodate the two sets of wire numbers.

e. Assign a device designation in accordance with NEMA ICS 1 to each device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.

f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.

g. Prints of the marking strips drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

3.1.6 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

3.1.6.1 Splices of Aluminum Conductors

Make with solderless circumferential compression-type, aluminum-bodied connectors UL listed for AL/CU. Remove surface oxides from aluminum conductors by wire brushing and immediately apply oxide-inhibiting joint compound and insert in connector. After joint is made, wipe away excess joint compound, and insulate splice.

3.1.7 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.1.8 Grounding and Bonding

Provide in accordance with NFPA 70. Ground exposed, non-current-carrying metallic parts of electrical equipment, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, and neutral conductor of wiring systems. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

3.1.9 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.10 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

3.1.10.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.10.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.10.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, back to equipment's power source as indicated.

3.1.10.4 Continuation of Service

Maintain continuity of existing circuits of equipment to remain. Maintain existing circuits of equipment energized. Restore circuits wiring and power which are to remain but were disturbed during demolition back to original condition.

3.1.11 Surge Protective Devices

Connect the surge protective devices in parallel to the power source, keeping the conductors as short and straight as practically possible. Maximum allowed lead length is 3 feet.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Where field painting of enclosures for panelboards, load centers or the like is specified to match adjacent surfaces, to correct damage to the manufacturer's factory applied coatings, or to meet the indicated or specified safety criteria, provide manufacturer's recommended coatings and apply in accordance to manufacturer's instructions.

3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to each tests.

3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of approximately 500 volts to provide direct reading of resistance. Minimum resistance: 250,000 ohms.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 26 29 23

VARIABLE FREQUENCY DRIVE SYSTEMS UNDER 600 VOLTS
04/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 519	(2014) Recommended Practices and Requirements for Harmonic Control in Electrical Power Systems
IEEE C62.41.1	(2002; R 2008) Guide on the Surges Environment in Low-Voltage (1000 V and Less) AC Power Circuits
IEEE C62.41.2	(2002) Recommended Practice on Characterization of Surges in Low-Voltage (1000 V and Less) AC Power Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2018) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2000; R 2015) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 3.1	(2009; R 2014) Guide for the Application, Handling, Storage, Installation and Maintenance of Medium-Voltage AC Contactors, Controllers and Control Centers
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA ICS 7	(2014) Adjustable-Speed Drives

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2017; ERTA 1-2 2017; TIA 17-1; TIA 17-2; TIA 17-3; TIA 17-4; TIA 17-5; TIA 17-6; TIA 17-7; TIA 17-8; TIA 17-9; TIA 17-10; TIA 17-11; TIA 17-12; TIA 17-13; TIA 17-14; TIA 17-15; TIA 17-16; TIA 17-17) National Electrical Code

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-461	(2015; Rev G) Requirements for the Control of Electromagnetic Interference

Characteristics of Subsystems and Equipment

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

47 CFR 15	Radio Frequency Devices

UNDERWRITERS LABORATORIES (UL)

UL 489	(2016) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 508C	(2002; Reprint Nov 2010) Power Conversion Equipment

1.2 RELATED REQUIREMENTS

Section 26 00 00.00 20 BASIC ELECTRICAL MATERIALS AND METHODS, and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM apply to this section with additions and modifications specified herein.

1.3 SYSTEM DESCRIPTION

1.3.1 Performance Requirements

1.3.1.1 Electromagnetic Interference Suppression

Computing devices, as defined by 47 CFR 15, MIL-STD-461 rules and regulations, shall be certified to comply with the requirements for class A computing devices and labeled as set forth in part 15.

1.3.1.2 Electromechanical and Electrical Components

Electrical and electromechanical components of the Variable Frequency Drive (VFD) shall not cause electromagnetic interference to adjacent electrical or electromechanical equipment while in operation.

1.3.2 Electrical Requirements

1.3.2.1 Power Line Surge Protection

IEEE C62.41.1 and IEEE C62.41.2, IEEE 519 Control panel shall have surge protection, included within the panel to protect the unit from damaging transient voltage surges. Surge arrestor shall be mounted near the incoming power source and properly wired to all three phases and ground. Fuses shall not be used for surge protection.

1.3.2.2 Sensor and Control Wiring Surge Protection

I/O functions as specified shall be protected against surges induced on control and sensor wiring installed outdoors and as shown. The inputs and outputs shall be tested in both normal mode and common mode using the following two waveforms:

a. A 10 microsecond by 1000 microsecond waveform with a peak voltage of 1500 volts and a peak current of 60 amperes.

b. An 8 microsecond by 20 microsecond waveform with a peak voltage of 1000 volts and a peak current of 500 amperes.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Schematic Diagrams; G

Interconnecting Diagrams; G

Installation Drawings; G

Submit drawings for government approval prior to equipment construction or integration. Modifications to original drawings made during installation shall be immediately recorded for inclusion into the as-built drawings.

SD-03 Product Data

Variable Frequency Drives; G

Wires and Cables

Equipment Schedule

Include data indicating compatibility with motors being driven.

SD-06 Test Reports

VFD Test

Performance Verification Tests

Endurance Test

SD-08 Manufacturer's Instructions

Installation instructions

SD-09 Manufacturer's Field Reports

VFD Factory Test Plan; G

Factory test results

SD-10 Operation and Maintenance Data

Variable Frequency Drives, Data Package 4

Submit in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide service and maintenance information including preventive maintenance, assembly, and disassembly procedures. Include electrical drawings from electrical general sections. Submit additional information necessary to provide complete operation, repair, and maintenance information, detailed

to the smallest replaceable unit. Include copies of as-built submittals. Provide routine preventative maintenance instructions, and equipment required. Provide instructions on how to modify program settings, and modify the control program. Provide instructions on drive adjustment, trouble-shooting, and configuration. Provide instructions on process tuning and system calibration.

1.5 QUALITY ASSURANCE

1.5.1 Schematic Diagrams

Show circuits and device elements for each replaceable module. Schematic diagrams of printed circuit boards are permitted to group functional assemblies as devices, provided that sufficient information is provided for government maintenance personnel to verify proper operation of the functional assemblies.

1.5.2 Interconnecting Diagrams

Show interconnections between equipment assemblies, and external interfaces, including power and signal conductors. Include for enclosures and external devices.

1.5.3 Installation Drawings

Show floor plan of each site, with V.F.D.'s and motors indicated. Indicate ventilation requirements, adequate clearances, and cable routes.

1.5.4 Equipment Schedule

Provide schedule of equipment supplied. Schedule shall provide a cross reference between manufacturer data and identifiers indicated in shop drawings. Schedule shall include the total quantity of each item of equipment supplied. For complete assemblies, such as VFD's, provide the serial numbers of each assembly, and a sub-schedule of components within the assembly. Provide recommended spare parts listing for each assembly or component.

1.5.5 Installation instructions

Provide installation instructions issued by the manufacturer of the equipment, including notes and recommendations, prior to shipment to the site. Provide operation instructions prior to acceptance testing.

1.5.6 Factory Test Results

Document test results and submit to government within 7 working days after completion of test.

1.6 DELIVERY AND STORAGE

Equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

1.7 WARRANTY

The complete system shall be warranted by the manufacturer for a period of

one year, or the contracted period of any extended warrantee agreed upon by the contractor and the Government, after successful completion of the acceptance test. Any component failing to perform its function as specified and documented shall be repaired or replaced by the contractor at no additional cost to the Government. Items repaired or replaced shall be warranted for an additional period of at least one year from the date that it becomes functional again, as specified in FAR 52.246-21 Warranty of Construction.

1.8 MAINTENANCE

1.8.1 Spare Parts

Manufacturers provide spare parts in accordance with recommended spare parts list.

1.8.2 Maintenance Support

During the warranty period, the Contractor shall provide on-site, on-call maintenance services by Contractor's personnel on the following basis: The service shall be on a per-call basis with 36 hour response. Contractor shall support the maintenance of all hardware and software of the system. Various personnel of different expertise shall be sent on-site depending on the nature of the maintenance service required. Costs shall include travel, local transportation, living expenses, and labor rates of the service personnel while responding to the service request. The provisions of this Section are not in lieu of, nor relieve the Contractor of, warranty responsibilities covered in this specification. Should the result of the service request be the uncovering of a system defect covered under the warranty provisions, all costs for the call, including the labor necessary to identify the defect, shall be borne by the Contractor.

PART 2 PRODUCTS

2.1 VARIABLE FREQUENCY DRIVES (VFD)

Provide frequency drive to control the speed of induction motor(s). The VFD shall include the following minimum functions, features and ratings.

a. Input circuit breaker per UL 489 with a minimum of 10,000 amps symmetrical interrupting capacity and door interlocked external operator.

b. A converter stage per UL 508C shall change fixed voltage, fixed frequency, ac line power to a fixed dc voltage. The converter shall utilize a full wave bridge design incorporating diode rectifiers. Silicon Controlled Rectifiers (SCR) are not acceptable. The converter shall be insensitive to three phase rotation of the ac line and shall not cause displacement power factor of less than .95 lagging under any speed and load condition.

c. An inverter stage shall change fixed dc voltage to variable frequency, variable voltage, ac for application to a standard NEMA design B squirrel cage motor. The inverter shall be switched in a manner to produce a sine coded pulse width modulated (PWM) output waveform.

d. The VFD shall be capable of supplying 120 percent of rated full load current for one minute at maximum ambient temperature.

e. The VFD shall be designed to operate from a voltage as specified on plans, plus or minus 10 percent, three phase, 60 Hz supply, and control motors with a corresponding voltage rating.

f. Acceleration and deceleration time shall be independently adjustable from one second to 60 seconds.

g. Adjustable full-time current limiting shall limit the current to a preset value which shall not exceed 120 percent of the controller rated current. The current limiting action shall maintain the V/Hz ratio constant so that variable torque can be maintained. Short time starting override shall allow starting current to reach 175 percent of controller rated current to maximum starting torque.

h. The controllers shall be capable of producing an output frequency over the range of 3 Hz to 60 Hz (20 to one speed range), without low speed cogging. Over frequency protection shall be included such that a failure in the controller electronic circuitry shall not cause frequency to exceed 110 percent of the maximum controller output frequency selected.

i. Minimum and maximum output frequency shall be adjustable over the following ranges: 1) Minimum frequency 3 Hz to 50 percent of maximum selected frequency; 2) Maximum frequency 40 Hz to 60 Hz.

j. The controller efficiency at any speed shall not be less than 96 percent.

k. The controllers shall be capable of being restarted into a motor coasting in the forward direction without tripping.

l. Protection of power semiconductor components shall be accomplished without the use of fast acting semiconductor output fuses. Subjecting the controllers to any of the following conditions shall not result in component failure or the need for fuse replacement:

(1) Short circuit at controller output

(2) Ground fault at controller output

(3) Open circuit at controller output

(4) Input undervoltage

(5) Input overvoltage

(6) Loss of input phase

(7) AC line switching transients

(8) Instantaneous overload

(9) Sustained overload exceeding 115 percent of controller rated current

(10) Over temperature

(11) Phase reversal

m. Solid state motor overload protection shall be included such that current exceeding an adjustable threshold shall activate a 60 second timing circuit. Should current remain above the threshold continuously for the timing period, the controller will automatically shut down.

n. A slip compensation circuit shall be included which will sense changing motor load conditions and adjust output frequency to provide speed regulation of NEMA B motors to within plus or minus 0.5 percent of maximum speed without the necessity of a tachometer generator.

o. The VFD shall be factory set for manual restart after the first protective circuit trip for malfunction (overcurrent, undervoltage, overvoltage or overtemperature) or an interruption of power. The VFD shall be capable of being set for automatic restart after a selected time delay. If the drive faults again within a specified time period (adjustable 0-60 seconds), a manual restart will be required.

p. The VFD shall include external fault reset capability. All the necessary logic to accept an external fault reset contact shall be included.

q. Provide critical speed lockout circuitry to prevent operating at frequencies with critical harmonics that cause resonant vibrations. The VFD shall have a minimum of three user selectable bandwidths.

r. Provide the following operator control and monitoring devices mounted on the front panel of the VFD:

(1) Manual speed potentiometer.

(2) Hand-Off-Auto (HOA) switch.

(3) Power on light.

(4) Drive run power light.

(5) Local display.

s. Provide properly sized NEMA rated by-pass and isolation contactors to enable operation of motor in the event of VFD failure. Mechanical and electrical interlocks shall be installed between the by-pass and isolation contactors. Provide a selector switch and transfer delay timer.

2.2 ENCLOSURES

Provide equipment enclosures conforming to NEMA 250, NEMA ICS 7, NEMA ICS 6.

2.3 WIRES AND CABLES

All wires and cables shall conform to NEMA 250, NEMA ICS 7, NFPA 70.

2.4 NAMEPLATES

Nameplates external to NEMA enclosures shall conform with the requirements of Section 26 00 00.00 20 BASIC ELECTRICAL MATERIALS AND METHODS. Nameplates internal to enclosures shall be manufacturer's standard, with the exception that they must be permanent.

2.5 SOURCE QUALITY CONTROL

2.5.1 VFD Factory Test Plan

To ensure quality, each VFD shall be subject to a series of in-plant quality control inspections before approval for shipment from the manufacturer's facilities. Provide test plans and test reports.

PART 3 EXECUTION

3.1 INSTALLATION

Per NEMA ICS 3.1, install equipment in accordance with the approved manufacturer's printed installation drawings, instructions, wiring diagrams, and as indicated on project drawings and the approved shop drawings. A field representative of the drive manufacturer shall supervise the installation of all equipment, and wiring.

3.2 FIELD QUALITY CONTROL

Specified products shall be tested as a system for conformance to specification requirements prior to scheduling the acceptance tests. Contractor shall conduct performance verification tests in the presence of Government representative, observing and documenting complete compliance of the system to the specifications. Contractor shall submit a signed copy of the test results, certifying proper system operation before scheduling tests.

3.2.1 VFD Test

A proposed test plan shall be submitted to the contracting officer at least 28 calendar days prior to proposed testing for approval. The tests shall conform to NEMA ICS 1, NEMA ICS 7, and all manufacturer's safety regulations. The Government reserves the right to witness all tests and review any documentation. The contractor shall inform the Government at least 14 working days prior to the dates of testing. Contractor shall provide video tapes, if available, of all training provided to the Government for subsequent use in training new personnel. All training aids, texts, and expendable support material for a self-sufficient presentation shall be provided, the amount of which to be determined by the contracting officer.

3.2.2 Performance Verification Tests

"Performance Verification Test" plan shall provide the step by step procedure required to establish formal verification of the performance of the VFD. Compliance with the specification requirements shall be verified by inspections, review of critical data, demonstrations, and tests. The Government reserves the right to witness all tests, review data, and request other such additional inspections and repeat tests as necessary to ensure that the system and provided services conform to the stated requirements. The contractor shall inform the Government 14 calendar days prior to the date the test is to be conducted.

3.2.3 Endurance Test

Immediately upon completion of the performance verification test, the endurance test shall commence. The system shall be operated at varying rates for not less than 192 consecutive hours, at an average effectiveness

level of .9998, to demonstrate proper functioning of the complete PCS. Continue the test on a day-to-day basis until performance standard is met. During the endurance test, the contractor shall not be allowed in the building. The system shall respond as designed.

3.3 DEMONSTRATION

3.3.1 Training

Coordinate training requirements with the Contracting Officer.

3.3.1.1 Instructions to Government Personnel

Provide the services of competent instructors who will give full instruction to designated personnel in operation, maintenance, calibration, configuration, and programming of the complete control system. Orient the training specifically to the system installed. Instructors shall be thoroughly familiar with the subject matter they are to teach. The Government personnel designated to attend the training will have a high school education or equivalent. The number of training days of instruction furnished shall be as specified. A training day is defined as eight hours of instruction, including two 15-minute breaks and excluding lunch time; Monday through Friday. Provide a training manual for each student at each training phase which describes in detail the material included in each training program. Provide one additional copy for archiving. Provide equipment and materials required for classroom training. Provide a list of additional related courses, and offers, noting any courses recommended. List each training course individually by name, including duration, approximate cost per person, and location of course. Unused copies of training manuals shall be turned over to the Government at the end of last training session.

3.3.1.2 Operating Personnel Training Program

Provide one 2-hour training session at the site at a time and place mutually agreeable between the Contractor and the Government. Provide session to train 4 operation personnel in the functional operations of the system and the procedures that personnel will follow in system operation. This training shall include:

a. System overview

b. General theory of operation

c. System operation

d. Alarm formats

e. Failure recovery procedures

f. Troubleshooting

3.3.1.3 Engineering/Maintenance Personnel Training

Accomplish the training program as specified. Training shall be conducted on site at a location designated by the Government. Provide a one-day training session to train 4 engineering personnel in the functional operations of the system. This training shall include:

a. System overview

b. General theory of operation

c. System operation

d. System configuration

e. Alarm formats

f. Failure recovery procedures

g. Troubleshooting and repair

h. Maintenance and calibration

i. System programming and configuration

-- End of Section --

SECTION 31 00 00

EARTHWORK
08/08

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO T 180	(2017) Standard Method of Test for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop
AASHTO T 224	(2010) Standard Method of Test for Correction for Coarse Particles in the Soil Compaction Test

ASTM INTERNATIONAL (ASTM)

ASTM C136/C136M	(2014) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM D1140	(2014) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve
ASTM D1556/D1556M	(2015) Standard Test Method for Density and Unit Weight of Soil in Place by Sand-Cone Method
ASTM D1557	(2012; E 2015) Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3) (2700 kN-m/m3)
ASTM D2487	(2011) Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D422	(1963; R 2007; E 2014; E 2014) Particle-Size Analysis of Soils
ASTM D4318	(2010; E 2014) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D6938	(2015) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
ASTM D698	(2012; E 2014; E 2015) Laboratory

	Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/cu. ft. (600 kN-m/cu. m.))

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA 600/4-79/020	(1983) Methods for Chemical Analysis of Water and Wastes
EPA SW-846.3-3	(1999, Third Edition, Update III-A) Test Methods for Evaluating Solid Waste: Physical/Chemical Methods

1.2 DEFINITIONS

1.2.1 Satisfactory Materials

Satisfactory materials comprise any materials classified by ASTM D2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, . Satisfactory materials for grading comprise stones less than 8 inches, except for fill material for pavements and railroads which comprise stones less than 3 inches in any dimension.

1.2.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material. Notify the Contracting Officer when encountering any contaminated materials.

1.2.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be identified as cohesionless only when the fines are nonplastic. Perform testing, required for classifying materials, in accordance with ASTM D4318, ASTM C136/C136M, ASTM D422, and ASTM D1140.

1.2.4 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D1557 abbreviated as a percent of laboratory maximum density. Since ASTM D1557 applies only to soils that have 30 percent or less by weight of their particles retained on the 3/4 inch sieve, express the degree of compaction for material having more than 30 percent by weight of their particles retained on the 3/4 inch sieve as a percentage of the maximum density in accordance with AASHTO T 180 and corrected with AASHTO T 224. To maintain the same percentage of coarse material, use the "remove and replace" procedure as described in NOTE 8 of Paragraph 7.2 in AASHTO T 180.

1.2.5 Topsoil

Material suitable for topsoils obtained from offsite areas is defined as: Natural, friable soil representative of productive, well-drained soils in

the area, free of subsoil, stumps, rocks larger than one inch diameter, brush, weeds, toxic substances, and other material detrimental to plant growth. Amend topsoil pH range to obtain a pH of 5.5 to 7.

1.2.6 Hard/Unyielding Materials

Hard/Unyielding materials comprise weathered rock, dense consolidated deposits, or conglomerate materials which are not included in the definition of "rock" with stones greater than 3 inch in any dimension or as defined by the pipe manufacturer, whichever is smaller. These materials usually require the use of heavy excavation equipment, ripper teeth, or jack hammers for removal.

1.2.7 Rock

Solid homogeneous interlocking crystalline material with firmly cemented, laminated, or foliated masses or conglomerate deposits, neither of which can be removed without systematic drilling and blasting, drilling and the use of expansion jacks or feather wedges, or the use of backhoe-mounted pneumatic hole punchers or rock breakers; also large boulders, buried masonry, or concrete other than pavement exceeding 1/2 cubic yard in volume. Removal of hard material will not be considered rock excavation because of intermittent drilling and blasting that is performed merely to increase production.

1.2.8 Unstable Material

Unstable materials are too wet to properly support the utility pipe, conduit, or appurtenant structure.

1.2.9 Select Granular Material

1.2.9.1 General Requirements

Select granular material consist of materials classified as GW, GP, SW, SP, or by ASTM D2487 where indicated.

1.2.10 Initial Backfill Material

Initial backfill consists of select granular material or satisfactory materials free from rocks 3 inches or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, free the initial backfill material of stones larger than 1.5 inches in any dimension or as recommended by the pipe manufacturer, whichever is smaller.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Shoring; G

SD-03 Product Data

Utilization of Excavated Materials; G
Opening of any Excavation or Borrow Pit

SD-06 Test Reports

Testing

Borrow Site Testing

Within 24 hours of conclusion of physical tests, submit 3 copies of test results, including calibration curves and results of calibration tests.

SD-07 Certificates

Testing

PART 2 PRODUCTS

2.1 REQUIREMENTS FOR OFFSITE SOILS

Test offsite soils brought in for use as backfill for Total Petroleum Hydrocarbons (TPH), Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and full Toxicity Characteristic Leaching Procedure (TCLP) including ignitability, corrosivity and reactivity. Backfill shall contain a maximum of 100 parts per million (ppm) of total petroleum hydrocarbons (TPH) and a maximum of 10 ppm of the sum of Benzene, Toluene, Ethyl Benzene, and Xylene (BTEX) and shall pass the TCPL test. Determine TPH concentrations by using EPA 600/4-79/020 Method 418.1. Determine BTEX concentrations by using EPA SW-846.3-3 Method 5030/8020. Perform TCLP in accordance with EPA SW-846.3-3 Method 1311. Provide Borrow Site Testing for TPH, BTEX and TCLP from a composite sample of material from the borrow site, with at least one test from each borrow site. Do not bring material onsite until tests have been approved by the Contracting Officer.

PART 3 EXECUTION

3.1 STRIPPING OF TOPSOIL

Where indicated or directed, strip topsoil to a depth of 4 inches. Spread topsoil on areas already graded and prepared for topsoil, or transported and deposited in stockpiles convenient to areas that are to receive application of the topsoil later, or at locations indicated or specified. Keep topsoil separate from other excavated materials, brush, litter, objectionable weeds, roots, stones larger than 2 inches in diameter, and other materials that would interfere with planting and maintenance operations. Remove from the site any surplus of topsoil from excavations and gradings.

3.2 GENERAL EXCAVATION

Perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Perform the grading in accordance with the typical sections shown and the tolerances specified in paragraph FINISHING. Transport satisfactory excavated materials and place in fill or embankment within the limits of the work. Excavate unsatisfactory materials encountered within the limits of the work below grade and replace with satisfactory materials

as directed. Include such excavated material and the satisfactory material ordered as replacement in excavation. Dispose surplus satisfactory excavated material not required for fill or embankment in areas approved for surplus material storage or designated waste areas. Dispose unsatisfactory excavated material in designated waste or spoil areas. During construction, perform excavation and fill in a manner and sequence that will provide proper drainage at all times. Excavate material required for fill or embankment in excess of that produced by excavation within the grading limits from other approved areas selected by the Contractor as specified.

3.2.1 Drainage Structures

Make excavations to the lines, grades, and elevations shown, or as directed. Provide trenches and foundation pits of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Clean rock or other hard foundation material of loose debris and cut to a firm, level, stepped, or serrated surface. Remove loose disintegrated rock and thin strata. Do not disturb the bottom of the excavation when concrete or masonry is to be placed in an excavated area. Do not excavate to the final grade level until just before the concrete or masonry is to be placed. Where pile foundations are to be used, stop the excavation of each pit at an elevation 1 foot above the base of the footing, as specified, before piles are driven. After the pile driving has been completed, remove loose and displaced material and complete excavation, leaving a smooth, solid, undisturbed surface to receive the concrete or masonry.

3.2.2 Drainage

Provide for the collection and disposal of surface and subsurface water encountered during construction. Completely drain construction site during periods of construction to keep soil materials sufficiently dry. Construct storm drainage features (ponds/basins) at the earliest stages of site development, and throughout construction grade the construction area to provide positive surface water runoff away from the construction activity and provide temporary ditches, swales, and other drainage features and equipment as required to maintain dry soils. When unsuitable working platforms for equipment operation and unsuitable soil support for subsequent construction features develop, remove unsuitable material and provide new soil material as specified herein. It is the responsibility of the Contractor to assess the soil and ground water conditions presented by the plans and specifications and to employ necessary measures to permit construction to proceed.

3.2.3 Dewatering

Control groundwater flowing toward or into excavations to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. Do not permit French drains, sumps, ditches or trenches within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Take control measures by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, maintain the water level continuously, at least 1 feet below the working level.

3.2.4 Underground Utilities

The Contractor is responsible for movement of construction machinery and equipment over pipes and utilities during construction. Report damage to utility lines or subsurface construction immediately to the Contracting Officer.

3.2.5 Structural Excavation

Ensure that footing subgrades have been inspected and approved by the Contracting Officer prior to concrete placement. Excavate to bottom of pile cap prior to placing or driving piles, unless authorized otherwise by the Contracting Officer. Backfill and compact over excavations and changes in grade due to pile driving operations to 95 percent of ASTM D698 maximum density.

3.3 OPENING AND DRAINAGE OF EXCAVATION

Notify the Contracting Officer sufficiently in advance of the opening of any excavation or borrow pit to permit elevations and measurements of the undisturbed ground surface to be taken. Except as otherwise permitted, excavation areas providing adequate drainage. Transport overburden and other spoil material to designated spoil areas or otherwise dispose of as directed. Ensure that excavation of any area, or dumping of spoil material results in minimum detrimental effects on natural environmental conditions.

3.4 SHORING

3.4.1 General Requirements

Submit a Shoring and Sheeting plan for approval 15 days prior to starting work. Submit drawings and calculations, certified by a registered professional engineer, describing the methods for shoring and sheeting of excavations. Finish shoring, including sheet piling, and install as necessary to protect workmen, banks, adjacent paving, structures, and utilities. Remove shoring, bracing, and sheeting as excavations are backfilled, in a manner to prevent caving.

3.5 GRADING AREAS

Where indicated, divide work into grading areas within which satisfactory excavated material will be placed in embankments, fills, and required backfills. Do not haul satisfactory material excavated in one grading area to another grading area except when so directed in writing. Place and grade stockpiles of satisfactory and unsatisfactory and wasted materials as specified. Keep stockpiles in a neat and well drained condition, giving due consideration to drainage at all times. Clear, grub, and seal by rubber-tired equipment, the ground surface at stockpile locations; separately stockpile excavated satisfactory and unsatisfactory materials. Protect stockpiles of satisfactory materials from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, remove and replace such material with satisfactory material from approved sources.

3.6 GROUND SURFACE PREPARATION

3.6.1 General Requirements

Remove and replace unsatisfactory material with satisfactory materials, as directed by the Contracting Officer, in surfaces to receive fill or in excavated areas. Scarify the surface to a depth of 6 inches before the fill is started. Plow, step, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so that the fill material will bond with the existing material. When subgrades are less than the specified density, break up the ground surface to a minimum depth of 6 inches, pulverizing, and compacting to the specified density. When the subgrade is part fill and part excavation or natural ground, scarify the excavated or natural ground portion to a depth of 12 inches and compact it as specified for the adjacent fill.

3.6.2 Frozen Material

Do not place material on surfaces that are muddy, frozen, or contain frost. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Moisten material as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used.

3.7 UTILIZATION OF EXCAVATED MATERIALS

Dispose unsatisfactory materials removing from excavations into designated waste disposal or spoil areas. Use satisfactory material removed from excavations, insofar as practicable, in the construction of fills, embankments, subgrades, shoulders, bedding (as backfill), and for similar purposes. Submit procedure and location for disposal of unused satisfactory material. . Do not waste any satisfactory excavated material without specific written authorization. Dispose of satisfactory material, authorized to be wasted, in designated areas approved for surplus material storage or designated waste areas as directed. Clear and grub newly designated waste areas on Government-controlled land before disposal of waste material thereon. Stockpile and use coarse rock from excavations for constructing slopes or embankments adjacent to streams, or sides and bottoms of channels and for protecting against erosion. Do not dispose excavated material to obstruct the flow of any stream, endanger a partly finished structure, impair the efficiency or appearance of any structure, or be detrimental to the completed work in any way.

3.8 BACKFILLING AND COMPACTION

Place backfill adjacent to any and all types of structures, in successive horizontal layers of loose materia not more than 8 inches in depth. Compact to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials, to prevent wedging action or eccentric loading upon or against the structure. Backfill material must be within the range of -2 to +2 percent of optimum moisture content at the time of compaction.

Prepare ground surface on which backfill is to be placed and provide compaction requirements for backfill materials in conformance with the applicable portions of paragraphs GROUND SURFACE PREPARATION. Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.8.1 Trench Backfill

Backfill trenches to the grade shown.

3.8.1.1 Replacement of Unyielding Material

Replace unyielding material removed from the bottom of the trench with select granular material or initial backfill material.

3.8.1.2 Replacement of Unstable Material

Replace unstable material removed from the bottom of the trench or excavation with select granular material placed in layers not exceeding 6 inches loose thickness.

3.8.1.3 Final Backfill

Fill the remainder of the trench, except for special materials for roadways, railroads and airfields, with satisfactory material. Place backfill material and compact as follows:

3.8.1.3.1 Roadways, Railroads, and Airfields

Place backfill up to the required elevation as specified. Do not permit water flooding or jetting methods of compaction.

3.8.1.3.2 Sidewalks, Turfed or Seeded Areas and Miscellaneous Areas

Deposit backfill in layers of a maximum of 12 inches loose thickness, and compact it to 85 percent maximum density for cohesive soils and 90 percent maximum density for cohesionless soils. Apply this requirement to all other areas not specifically designated above.

3.8.2 Backfill for Appurtenances

After the manhole, catchbasin, inlet, or similar structure has been constructed , place backfill in such a manner that the structure is not be damaged by the shock of falling earth. Deposit the backfill material, compact it as specified for final backfill, and bring up the backfill evenly on all sides of the structure to prevent eccentric loading and excessive stress.

3.9 SPECIAL REQUIREMENTS

Special requirements for both excavation and backfill relating to the specific utilities are as follows:

3.10 SUBGRADE PREPARATION

3.10.1 Proof Rolling

Finish proof rolling on an exposed subgrade free of surface water (wet conditions resulting from rainfall) which would promote degradation of an otherwise acceptable subgrade. proof roll the existing subgrade with six passes of a 15 ton, pneumatic-tired roller. Operate the roller in a systematic manner to ensure the number of passes over all areas, and at speeds between 2-1/2 to 3-1/2 mph. When proof rolling, provide one-half of the passes made with the roller in a direction perpendicular to the other

passes. Notify the Contracting Officer a minimum of 3 days prior to proof rolling. Perform proof rolling in the presence of the Contracting Officer. Undercut rutting or pumping of material as directed by the Contracting Officer and replace with fill and backfill material.

3.10.2 Construction

Shape subgrade to line, grade, and cross section, and compact as specified. Include plowing, disking, and any moistening or aerating required to obtain specified compaction for this operation. Remove soft or otherwise unsatisfactory material and replace with satisfactory excavated material or other approved material as directed. Excavate rock encountered in the cut section to a depth of 6 inches below finished grade for the subgrade. Bring up low areas resulting from removal of unsatisfactory material or excavation of rock to required grade with satisfactory materials, and shape the entire subgrade to line, grade, and cross section and compact as specified. After rolling, the surface of the subgrade for roadways shall not show deviations greater than 1/2 inch when tested with a 12-foot straightedge applied both parallel and at right angles to the centerline of the area. Do not vary the elevation of the finish subgrade more than 0.05 foot from the established grade and cross section.

3.10.3 Compaction

Finish compaction by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Except for paved areas and railroads, compact each layer of the embankment to at least 95 percent of laboratory maximum density.

3.10.3.1 Subgrade for Pavements

Compact subgrade for pavements to at least 95 percentage laboratory maximum density for the depth below the surface of the pavement shown. When more than one soil classification is present in the subgrade, thoroughly blend, reshape, and compact the top of subgrade.

3.11 FINISHING

Finish the surface of excavations, embankments, and subgrades to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. Provide the degree of finish for graded areas within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades specified in paragraph SUBGRADE PREPARATION. Finish gutters and ditches in a manner that will result in effective drainage. Finish the surface of areas to be turfed from settlement or washing to a smoothness suitable for the application of turfing materials. Repair graded, topsoiled, or backfilled areas prior to acceptance of the work, and re-established grades to the required elevations and slopes.

3.11.1 Subgrade and Embankments

During construction, keep embankments and excavations shaped and drained. Maintain ditches and drains along subgrade to drain effectively at all times. Do not disturb the finished subgrade by traffic or other operation. Protect and maintain the finished subgrade in a satisfactory condition until ballast, subbase, base, or pavement is placed. Do not permit the storage or stockpiling of materials on the finished subgrade. Do not lay subbase, base course, ballast, or pavement until the subgrade

has been checked and approved, and in no case place subbase, base, surfacing, pavement, or ballast on a muddy, spongy, or frozen subgrade.

3.12 PLACING TOPSOIL

On areas to receive topsoil, prepare the compacted subgrade soil to a 2 inches depth for bonding of topsoil with subsoil. Spread topsoil evenly to a thickness of 4 inch and grade to the elevations and slopes shown. Do not spread topsoil when frozen or excessively wet or dry. Obtain material required for topsoil in excess of that produced by excavation within the grading limits from offsite areas.

3.13 TESTING

Perform testing by a Corps validated commercial testing laboratory or the Contractor's validated testing facility. Submit qualifications of the Corps validated commercial testing laboratory or the Contractor's validated testing facilities. If the Contractor elects to establish testing facilities, do not permit work requiring testing until the Contractor's facilities have been inspected, Corps validated and approved by the Contracting Officer.

a. Determine field in-place density in accordance with ASTM D1556/D1556M. When test results indicate, as determined by the Contracting Officer, that compaction is not as specified, remove the material, replace and recompact to meet specification requirements.

c. Perform tests on recompacted areas to determine conformance with specification requirements. Appoint a registered professional civil engineer to certify inspections and test results. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.

3.13.1 Fill and Backfill Material Gradation

One test per 500 cubic yards stockpiled or in-place source material. Determine gradation of fill and backfill material in accordance with ASTM C136/C136M.

3.13.2 In-Place Densities

a. One test per 500 linear feet, or fraction thereof, of each lift of embankment or backfill for roads.

3.13.3 Check Tests on In-Place Densities

If ASTM D6938 is used, check in-place densities by ASTM D1556/D1556M as follows:

c. One check test per lift for each 500 linear feet, or fraction thereof, of embankment or backfill for roads.

3.13.4 Moisture Contents

In the stockpile, excavation, or borrow areas, perform a minimum of two tests per day per type of material or source of material being placed during stable weather conditions. During unstable weather, perform tests as dictated by local conditions and approved by the Contracting Officer.

3.13.5 Optimum Moisture and Laboratory Maximum Density

Perform tests for each type material or source of material to determine the optimum moisture and laboratory maximum density values. One representative test per 500 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

3.13.6 Tolerance Tests for Subgrades

Perform continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION during construction of the subgrades.

3.14 DISPOSITION OF SURPLUS MATERIAL

Remove surplus material or other soil material not required or suitable for filling or backfilling, and brush, refuse, stumps, roots, and timber from Government property to an approved location .

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 32 12 16

HOT-MIX ASPHALT (HMA) FOR ROADS
08/09

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 156	(2013; R 2017) Standard Specification for Requirements for Mixing Plants for Hot-Mixed, Hot-Laid Bituminous Paving Mixtures
AASHTO T 304	(2011; R 2015) Standard Method of Test for Uncompacted Void Content of Fine Aggregate

ASPHALT INSTITUTE (AI)

AI MS-2	(2015) Asphalt Mix Design Methods
AI MS-22	(2001; 2nd Ed) Construction of Hot-Mix Asphalt Pavements
AI SP-2	(2001; 3rd Ed) Superpave Mix Design

ASTM INTERNATIONAL (ASTM)

ASTM C117	(2017) Standard Test Method for Materials Finer than 75-um (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C127	(2015) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Coarse Aggregate
ASTM C128	(2015) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Fine Aggregate
ASTM C131/C131M	(2014) Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C136/C136M	(2014) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C142/C142M	(2017) Standard Test Method for Clay Lumps and Friable Particles in Aggregates

ASTM C29/C29M	(2017a) Standard Test Method for Bulk Density ("Unit Weight") and Voids in Aggregate
ASTM C566	(2013) Standard Test Method for Total Evaporable Moisture Content of Aggregate by Drying
ASTM C88	(2013) Standard Test Method for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate
ASTM D140/D140M	(2016) Standard Practice for Sampling Asphalt Materials
ASTM D1461	(2017) Standard Test Method for Moisture or Volatile Distillates in Asphalt Mixtures
ASTM D2172/D2172M	(2017; E 2018) Standard Test Methods for Quantitative Extraction of Asphalt Binder from Asphalt Mixtures
ASTM D2419	(2014) Sand Equivalent Value of Soils and Fine Aggregate
ASTM D242/D242M	(2009; R 2014) Mineral Filler for Bituminous Paving Mixtures
ASTM D2489/D2489M	(2016) Standard Test Method for Estimating Degree of Particle Coating of Asphalt Mixtures
ASTM D2950/D2950M	(2014) Density of Bituminous Concrete in Place by Nuclear Methods
ASTM D3665	(2012; R 2017) Standard Practice for Random Sampling of Construction Materials
ASTM D3666	(2016) Standard Specification for Minimum Requirements for Agencies Testing and Inspecting Road and Paving Materials
ASTM D4125/D4125M	(2010) Asphalt Content of Bituminous Mixtures by the Nuclear Method
ASTM D4791	(2010) Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate
ASTM D4867/D4867M	(2009; R 2014) Effect of Moisture on Asphalt Concrete Paving Mixtures
ASTM D5444	(2015) Mechanical Size Analysis of Extracted Aggregate
ASTM D6307	(2016) Standard Test Method for Asphalt Content of Hot Mix Asphalt by Ignition Method

ASTM D6925	(2014) Standard Test Method for Preparation and Determination of the Relative Density of Hot Mix Asphalt (HMA) Specimens by Means of the Superpave Gyratory Compactor
ASTM D6926	(2016) Standard Practice for Preparation of Asphalt Mixture Specimens Using Marshall Apparatus
ASTM D6927	(2015) Standard Test Method for Marshall Stability and Flow of Bituminous Mixtures

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS)

CTM 526	(2012) Methof of Test for Operation of California Profilograph and Evaluation of Profiles

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 171	(1995) Standard Test Method for Determining Percentage of Crushed Particles in Aggregate

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Mix Design; G

Quality Control; G

Material Acceptance; G

SD-04 Samples

Asphalt Cement Binder

Aggregates

SD-06 Test Reports

Aggregates; G

QC Monitoring

SD-07 Certificates

Asphalt Cement Binder; G

Testing Laboratory

1.3 ENVIRONMENTAL REQUIREMENTS

Do not place the hot-mix asphalt upon a wet surface or when the surface temperature of the underlying course is less than specified in Table 3. The temperature requirements may be waived by the Contracting Officer, if requested; however, meet all other requirements, including compaction.

Table 3. Surface Temperature Limitations of Underlying Course

Mat Thickness, inches	Degrees F
3 or greater	40
Less than 3	45

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Perform the work consisting of pavement courses composed of mineral aggregate and asphalt material heated and mixed in a central mixing plant and placed on a prepared course. HMA designed and constructed in accordance with this section shall conform to the lines, grades, thicknesses, and typical cross sections indicated. Construct each course to the depth, section, or elevation required by the drawings and roll, finish, and approve it before the placement of the next course.

2.1.1 Asphalt Mixing Plant

Plants used for the preparation of hot-mix asphalt shall conform to the requirements of AASHTO M 156 with the following changes:

2.1.1.1 Truck Scales

Weigh the asphalt mixture on approved, certified scales at the Contractor's expense. Inspect and seal scales at least annually by an approved calibration laboratory.

2.1.1.2 Testing Facilities

Provide laboratory facilities at the plant for the use of the Government's acceptance testing and the Contractor's quality control testing.

2.1.1.3 Inspection of Plant

Provide the Contracting Officer with access at all times, to all areas of the plant for checking adequacy of equipment; inspecting operation of the plant; verifying weights, proportions, and material properties; checking the temperatures maintained in the preparation of the mixtures and for taking samples. Provide assistance as requested, for the Government to procure any desired samples.

2.1.1.4 Storage bins

Use of storage bins for temporary storage of hot-mix asphalt will be permitted as follows:

a. The asphalt mixture may be stored in non-insulated storage bins for a period of time not exceeding 3 hours.

b. The asphalt mixture may be stored in insulated storage bins for a period of time not exceeding 8 hours. The mix drawn from bins shall meet the same requirements as mix loaded directly into trucks.

2.1.2 Hauling Equipment

Provide trucks for hauling hot-mix asphalt having tight, clean, and smooth metal beds. To prevent the mixture from adhering to them, the truck beds shall be lightly coated with a minimum amount of paraffin oil, lime solution, or other approved material. Petroleum based products shall not be used as a release agent. Each truck shall have a suitable cover to protect the mixture from adverse weather. When necessary to ensure that the mixture will be delivered to the site at the specified temperature, truck beds shall be insulated or heated and covers (tarps) shall be securely fastened.

2.1.3 Asphalt Pavers

Provide asphalt pavers which are self-propelled, with an activated screed, heated as necessary, and capable of spreading and finishing courses of hot-mix asphalt which will meet the specified thickness, smoothness, and grade. The paver shall have sufficient power to propel itself and the hauling equipment without adversely affecting the finished surface.

2.1.3.1 Receiving Hopper

Provide paver with a receiving hopper of sufficient capacity to permit a uniform spreading operation and equipped with a distribution system to place the mixture uniformly in front of the screed without segregation. The screed shall effectively produce a finished surface of the required evenness and texture without tearing, shoving, or gouging the mixture.

2.1.3.2 Automatic Grade Controls

Equip the paver with a control system capable of automatically maintaining the specified screed elevation. The control system shall be automatically actuated from either a reference line and/or through a system of mechanical sensors or sensor-directed mechanisms or devices which will maintain the paver screed at a predetermined transverse slope and at the proper elevation to obtain the required surface. The transverse slope controller shall be capable of maintaining the screed at the desired slope within plus or minus 0.1 percent. A transverse slope controller shall not be used to control grade. Provide controls capable of working in conjunction with any of the following attachments:

a. Ski-type device of not less than 30 feet in length.

b. Taut stringline set to grade.

c. Short ski or shoe for joint matching.

d. Laser control.

2.1.4 Rollers

Rollers shall be in good condition and shall be operated at slow speeds to

avoid displacement of the asphalt mixture. The number, type, and weight of rollers shall be sufficient to compact the mixture to the required density while it is still in a workable condition. Do not use equipment which causes excessive crushing of the aggregate.

2.2 AGGREGATES

Provide aggregates consisting of crushed stone, crushed gravel, crushed slag, screenings, natural sand and mineral filler, as required. Submit sufficient materials to produce 200 lb of blended mixture for mix design verification. The portion of material retained on the No. 4 sieve is coarse aggregate. The portion of material passing the No. 4 sieve and retained on the No. 200 sieve is fine aggregate. The portion passing the No. 200 sieve is defined as mineral filler. Submit all aggregate test results and samples to the Contracting Officer at least 14 days prior to start of construction.

2.2.1 Coarse Aggregate

Provide coarse aggregate consisting of sound, tough, durable particles, free from films of material that would prevent thorough coating and bonding with the asphalt material and free from organic matter and other deleterious substances. All individual coarse aggregate sources shall meet the following requirements:

a. The percentage of loss shall not be greater than 40 percent after 500 revolutions when tested in accordance with ASTM C131/C131M.

b. The percentage of loss shall not be greater than 18 percent after five cycles when tested in accordance with ASTM C88 using magnesium sulfate .

c. At least 75 percent by weight of coarse aggregate shall have at least two or more fractured faces when tested in accordance with COE CRD-C 171. Fractured faces shall be produced by crushing.

d. The particle shape shall be essentially cubical and the aggregate shall not contain more than 20 percent percent, by weight, of flat and elongated particles (3:1 ratio of maximum to minimum) when tested in accordance with ASTM D4791.

e. Slag shall be air-cooled, blast furnace slag, with a compacted weight of not less than 75 lb/cu ft when tested in accordance with ASTM C29/C29M.

f. Clay lumps and friable particles shall not exceed 0.3 percent, by weight, when tested in accordance with ASTM C142/C142M.

2.2.2 Fine Aggregate

Fine aggregate shall consist of clean, sound, tough, durable particles free from coatings of clay, silt, or any objectionable material and containing no clay balls.

a. All individual fine aggregate sources shall have a sand equivalent value not less than 45 when tested in accordance with ASTM D2419.

b. The fine aggregate portion of the blended aggregate shall have an uncompacted void content not less than 45.0 percent when tested in accordance with AASHTO T 304 Method A.

c. The quantity of natural sand (noncrushed material) added to the aggregate blend shall not exceed 25 percent by weight of total aggregate.

d. Clay lumps and friable particles shall not exceed 0.3 percent, by weight, when tested in accordance with ASTM C142/C142M

2.2.3 Mineral Filler

Mineral filler shall be nonplastic material meeting the requirements of ASTM D242/D242M.

2.2.4 Aggregate Gradation

The combined aggregate gradation shall conform to gradations specified in Table 4, when tested in accordance with ASTM C136/C136M and ASTM C117, and shall not vary from the low limit on one sieve to the high limit on the adjacent sieve or vice versa, but grade uniformly from coarse to fine.

Table 4. Aggregate Gradations

Sieve Size, inch	Gradation 1 Percent Passing by Mass	Gradation 2 Percent Passing by Mass	Gradation 3 Percent Passing by Mass
1	100	---	---
3/4	76-96	100	---
1/2	68-88	76-96	100
3/8	60-82	69-89	76-96
No. 4	45-67	53-73	58-78
No. 8	32-54	38-60	40-60
No. 16	22-44	26-48	28-48
No. 30	15-35	18-38	18-38
No. 50	9-25	11-27	11-27
No. 100	6-18	6-18	6-18
No. 200	3-6	3-6	3-6

2.3 ASPHALT CEMENT BINDER

Test data indicating grade certification shall be provided by the supplier at the time of delivery of each load to the mix plant. Submit copies of these certifications to the Contracting Officer. The supplier is defined as the last source of any modification to the binder. The Contracting Officer may sample and test the binder at the mix plant at any time before

or during mix production. Obtain samples for this verification testing in accordance with ASTM D140/D140M and in the presence of the Contracting Officer. Furnish these samples to the Contracting Officer for the verification testing, which shall be at no cost to the Contractor. Submit samples of the asphalt cement specified for approval not less than 14 days before start of the test section. Submit copies of certified test data, amount, type and description of any modifiers blended into the asphalt cement binder.

2.4 MIX DESIGN

a. Develop the mix design. The asphalt mix shall be composed of a mixture of well-graded aggregate, mineral filler if required, and asphalt material. The aggregate fractions shall be sized, handled in separate size groups, and combined in such proportions that the resulting mixture meets the grading requirements of the job mix formula (JMF). Submit proposed JMF; do not produce hot-mix asphalt for payment until a JMF has been approved. The hot-mix asphalt shall be designed in accordance with Marshall (MS-02), Superpave (SP-2), or Hveem (MS-02) procedures and the criteria shown in Table 5. Use the hand-held hammer to compact the specimens for Marshall mix design. If the Tensile Strength Ratio (TSR) of the composite mixture, as determined by ASTM D4867/D4867M is less than 75, the aggregates shall be rejected or the asphalt mixture treated with an approved anti-stripping agent. The amount of anti-stripping agent added shall be sufficient to produce a TSR of not less than 75. Provide an antistrip agent, if required, at no additional cost. Sufficient materials to produce 200 pound of blended mixture shall be provided to the Contracting Officer for verification of mix design at least 14 days prior to construction of test section.

b. At the option of the Contractor, a currently used DOT Superpave hot mix may be used in lieu of developing a Marshall hot mix design as described herein. Design the Superpave volumetric mix in accordance with AI SP-2 and ASTM D6925.

c. Design Superpave mixes with the number of gyrations specified in Table 5, unless the DOT option is chosen.

2.4.1 JMF Requirements

Submit in writing the job mix formula for approval at least 14 days prior to the start of the test section including as a minimum:

a. Percent passing each sieve size.

b. Percent of asphalt cement.

c. Percent of each aggregate and mineral filler to be used.

d. Asphalt viscosity grade, penetration grade, or performance grade.

e. Number of blows of hand-held hammer per side of molded specimen. (NA for Superpave)

f. Number of gyrations of Superpave gyratory compactor, (NA for Marshall mix design)

g. Laboratory mixing temperature.

h. Lab compaction temperature.

i. Temperature-viscosity relationship of the asphalt cement.

j. Plot of the combined gradation on the 0.45 power gradation chart, stating the nominal maximum size.

k. Graphical plots of stability (NA for Superpave), flow (NA for Superpave), air voids, voids in the mineral aggregate, and unit weight versus asphalt content as shown in AI MS-2.

l. Specific gravity and absorption of each aggregate.

m. Percent natural sand.

n. Percent particles with 2 or more fractured faces (in coarse aggregate).

o. Fine aggregate angularity.

p. Percent flat or elongated particles (in coarse aggregate).

q. Tensile Strength Ratio(TSR).

r. Antistrip agent (if required) and amount.

s. List of all modifiers and amount.

t. Correlation of hand-held hammer with mechanical hammer (NA for Superpave).

u. Percentage and properties (asphalt content, binder properties, and aggregate properties) of reclaimed asphalt pavement (RAP) in accordance with paragraph RECYCLED HOT-MIX ASPHALT, if RAP is used.

Table 5. Mix Design Criteria

Test Property	50 Blows or Mix Gyrations	75 Blows or Mix Gyrations
Stability, pounds, minimum (NA for Superpave)	*1000	*1800
Flow, 0.01 inch, (NA for Superpave)	8-18	8-16
Air voids, percent	3-5	3-5
Percent Voids in mineral aggregate (VMA),(minimum)		
Gradation 1	13.0	13.0
Gradation 2	14.0	14.3
Gradation 3	15.0	15.0

Table 5. Mix Design Criteria		
Test Property	50 Blows or Mix Gyrations	75 Blows or Mix Gyrations
TSR, minimum percent	75	75
* This is a minimum requirement. The average during construction shall be significantly higher than this number to ensure compliance with the specifications.		
** Calculate VMA in accordance with AI MS-2, based on ASTM C127 and ASTM C128 bulk specific gravity for the aggregate.		

2.4.2 Adjustments to Field JMF

Keep the Laboratory JMF for each mixture in effect until a new formula is approved in writing by the Contracting Officer. Should a change in sources of any materials be made, perform a new laboratory jmf design and a new JMF approved before the new material is used. The Contractor will be allowed to adjust the Laboratory JMF within the limits specified below to optimize mix volumetric properties with the approval of the Contracting Officer. Adjustments to the Laboratory JMF shall be applied to the field (plant) established JMF and limited to those values as shown. Adjustments shall be targeted to produce or nearly produce 4 percent voids total mix (VTM).

TABLE 6. Field (Plant) Established JMF Tolerances	
Sieves	Adjustments (plus or minus), percent
1/2 inch	3
No. 4	3
No. 8	3
No. 200	1
Binder Content	0.4

If adjustments are needed that exceed these limits, develop a new mix design. Tolerances given above may permit the aggregate grading to be outside the limits shown in Table 4; while not desirable, this is acceptable, except for the No. 200 sieve, which shall remain within the aggregate grading of Table 4.

2.5 RECYCLED HOT MIX ASPHALT

Recycled HMA shall consist of reclaimed asphalt pavement (RAP), coarse aggregate, fine aggregate, mineral filler, and asphalt cement to produce a consistent gradation and asphalt content and properties. When RAP is fed into the plant, the maximum RAP chunk size shall not exceed 2 inches. Design the recycled HMA mix using procedures contained in AI MS-2 and AI MS-22. The job mix shall meet the requirements of paragraph MIX DESIGN. The amount of RAP shall not exceed 30 percent.

2.5.1 RAP Aggregates and Asphalt Cement

The blend of aggregates used in the recycled mix shall meet the requirements of paragraph AGGREGATES. Establish the percentage of asphalt in the RAP for the mixture design according to ASTM D2172/D2172M or ASTM D6307 using the appropriate dust correction procedure.

2.5.2 RAP Mix

The blend of new asphalt cement and the RAP asphalt binder shall meet requirements in paragraph ASPHALT CEMENT BINDER. The virgin asphalt cement shall not be more than two standard asphalt material grades different than that specified in paragraph ASPHALT CEMENT BINDER.

PART 3 EXECUTION

3.1 PREPARATION OF ASPHALT BINDER MATERIAL

Heat the asphalt cement material avoiding local overheating and providing a continuous supply of the asphalt material to the mixer at a uniform temperature. The temperature of unmodified asphalts shall be no more than 325 degrees F when added to the aggregates.

3.2 PREPARATION OF MINERAL AGGREGATE

Heat and dry the aggregate for the mixture prior to mixing. No damage shall occur to the aggregates due to the maximum temperature and rate of heating used. The temperature of the aggregate and mineral filler shall not exceed 350 degrees F when the asphalt cement is added. The temperature shall not be lower than is required to obtain complete coating and uniform distribution on the aggregate particles and to provide a mixture of satisfactory workability.

3.3 PREPARATION OF HOT-MIX ASPHALT MIXTURE

The aggregates and the asphalt cement shall be weighed or metered and introduced into the mixer in the amount specified by the JMF. Mix the combined materials until the aggregate obtains a uniform coating of asphalt binder and is thoroughly distributed throughout the mixture. Wet mixing time shall be the shortest time that will produce a satisfactory mixture, but no less than 25 seconds for batch plants. Establish the wet mixing time for all plants based on the procedure for determining the percentage of coated particles described in ASTM D2489/D2489M, for each individual plant and for each type of aggregate used. The wet mixing time will be set to at least achieve 95 percent of coated particles. The moisture content of all hot-mix asphalt upon discharge from the plant shall not exceed 0.5 percent by total weight of mixture as measured by ASTM D1461.

3.4 PREPARATION OF THE UNDERLYING SURFACE

Immediately before placing the hot mix asphalt, clean the underlying course of dust and debris. Apply a tack coat in accordance with the contract specifications.

3.5 TEST SECTION

Prior to full production, place a test section for each JMF used. Construct a test section 250 - 500 feet long and two paver passes wide placed for two lanes, with a longitudinal cold joint. The test section

shall be of the same thickness as the course which it represents. The underlying grade or pavement structure upon which the test section is to be constructed shall be the same as the remainder of the course represented by the test section. The equipment and personnel used in construction of the test section shall be the same equipment to be used on the remainder of the course represented by the test section. Place the test section as part of the project pavement, as approved by the Contracting Officer.

3.5.1 Sampling and Testing for Test Section

Take one random sample at the plant, triplicate specimens compacted, and tested for stability, flow, and laboratory air voids. Test a portion of the same sample for theoretical maximum density (TMD), aggregate gradation and asphalt content. Take four randomly selected cores from the finished pavement mat, and four from the longitudinal joint, and tested for density. Random sampling shall be in accordance with procedures contained in ASTM D3665. The test results shall be within the tolerances shown in Table 7 for work to continue. If all test results meet the specified requirements, the test section shall remain as part of the project pavement. If test results exceed the tolerances shown, the test section shall be removed and replaced at no cost to the Government and another test section shall be constructed. The test section shall be paid for with the first lot of paving

Table 7. Test Section Requirements for Material and Mixture Properties

Property	Specification Limit
Aggregate Gradation-Percent Passing (Individual Test Result)	
No. 4 and larger	JMF plus or minus 8
No. 8, No. 16, No. 30, and No. 50	JMF plus or minus 6
No. 100 and No. 200	JMF plus or minus 2.0
Asphalt Content, Percent (Individual Test Result)	JMF plus or minus 0.5
Laboratory Air Voids, Percent (Average of 3 specimens)	JMF plus or minus 1.0
VMA, Percent (Average of 3 specimens)	14 minimum
Stability, pounds (Average of 3 specimens) (NA for Superpave)	1000 minimum for 50 blows
Flow, 0.01 inch (Average of 3 specimens) (NA for Superpave)	8 - 18 for 50 blows
Mat Density, Percent of TMD (Average of 4 Random Cores)	92.0 - 96.0
Joint Density, Percent of TMD (Average of 4 Random Cores)	90.5 - 92.5

3.5.2 Additional Test Sections

If the initial test section should prove to be unacceptable, make the necessary adjustments to the JMF, plant operation, placing procedures, and/or rolling procedures and place a second test section. Additional test sections, as required, shall be constructed and evaluated for conformance to the specifications. Full production shall not begin until an acceptable section has been constructed and accepted.

3.6 TESTING LABORATORY

Submit certification of compliance and Plant Scale Calibration Certification. Use a laboratory to develop the JMF that meets the requirements of ASTM D3666. The Government will inspect the laboratory equipment and test procedures prior to the start of hot mix operations for conformance to ASTM D3666. The laboratory shall maintain the Corps certification for the duration of the project. A statement signed by the manager of the laboratory stating that it meets these requirements or clearly listing all deficiencies shall be submitted to the Contracting Officer prior to the start of construction. The statement shall contain as a minimum:

a. Qualifications of personnel; laboratory manager, supervising technician, and testing technicians.

b. A listing of equipment to be used in developing the job mix.

c. A copy of the laboratory's quality control system.

d. Evidence of participation in the AASHTO Materials Reference Laboratory (AMRL) program.

3.7 TRANSPORTING AND PLACING

3.7.1 Transporting

Transport the hot-mix asphalt from the mixing plant to the site in clean, tight vehicles. Schedule deliveries so that placing and compacting of mixture is uniform with minimum stopping and starting of the paver. Provide adequate artificial lighting for night placements. Hauling over freshly placed material will not be permitted until the material has been compacted as specified, and allowed to cool to 140 degrees F. To deliver mix to the paver, use a material transfer vehicle operated to produce continuous forward motion of the paver.

3.7.2 Placing

Place and compact the mix at a temperature suitable for obtaining density, surface smoothness, and other specified requirements. Upon arrival, place the mixture to the full width by an asphalt paver; it shall be struck off in a uniform layer of such depth that, when the work is completed, it will have the required thickness and conform to the grade and contour indicated. Regulate the speed of the paver to eliminate pulling and tearing of the asphalt mat. Unless otherwise permitted, placement of the mixture shall begin along the centerline of a crowned section or on the high side of areas with a one-way slope. Place the mixture in consecutive adjacent strips having a minimum width of 10 feet. The longitudinal joint in one course shall offset the longitudinal joint in the course immediately

below by at least 1 foot; however, the joint in the surface course shall be at the centerline of the pavement. Transverse joints in one course shall be offset by at least 10 feet from transverse joints in the previous course. Transverse joints in adjacent lanes shall be offset a minimum of 10 feet. On isolated areas where irregularities or unavoidable obstacles make the use of mechanical spreading and finishing equipment impractical, the mixture may be spread and luted by hand tools.

3.8 COMPACTION OF MIXTURE

After placing, the mixture shall be thoroughly and uniformly compacted by rolling. Compact the surface as soon as possible without causing displacement, cracking or shoving. The sequence of rolling operations and the type of rollers used shall be at the discretion of the Contractor. The speed of the roller shall, at all times, be sufficiently slow to avoid displacement of the hot mixture and be effective in compaction. Any displacement occurring as a result of reversing the direction of the roller, or from any other cause, shall be corrected at once. Furnish sufficient rollers to handle the output of the plant. Continue rolling until the surface is of uniform texture, true to grade and cross section, and the required field density is obtained. To prevent adhesion of the mixture to the roller, keep the wheels properly moistened but excessive water will not be permitted. In areas not accessible to the roller, the mixture shall be thoroughly compacted with hand tampers. Any mixture that becomes loose and broken, mixed with dirt, contains check-cracking, or is in any way defective shall be removed full depth, replaced with fresh hot mixture and immediately compacted to conform to the surrounding area. This work shall be done at the Contractor's expense. Skin patching will not be allowed.

3.9 JOINTS

The formation of joints shall be performed ensuring a continuous bond between the courses and to obtain the required density. All joints shall have the same texture as other sections of the course and meet the requirements for smoothness and grade.

3.9.1 Transverse Joints

Do not pass the roller over the unprotected end of the freshly laid mixture, except when necessary to form a transverse joint. When necessary to form a transverse joint, it shall be made by means of placing a bulkhead or by tapering the course. The tapered edge shall be cut back to its full depth and width on a straight line to expose a vertical face prior to placing material at the joint. Remove the cutback material from the project. In both methods, all contact surfaces shall be given a light tack coat of asphalt material before placing any fresh mixture against the joint.

3.9.2 Longitudinal Joints

Longitudinal joints which are irregular, damaged, uncompacted, cold (less than 175 degrees F at the time of placing adjacent lanes), or otherwise defective, shall be cut back a maximum of 3 inches from the top of the course with a cutting wheel to expose a clean, sound vertical surface for the full depth of the course. All cutback material shall be removed from the project. All contact surfaces shall be given a light tack coat of asphalt material prior to placing any fresh mixture against the joint. The Contractor will be allowed to use an alternate method if it can be demonstrated that density, smoothness, and texture can be met.

3.10 QUALITY CONTROL

3.10.1 General Quality Control Requirements

Develop and submit an approved Quality Control Plan. Submit aggregate and QC test results. Do not produce hot-mix asphalt for payment until the quality control plan has been approved addressing all elements which affect the quality of the pavement including, but not limited to:

a. Mix Design

b. Aggregate Grading

c. Quality of Materials

d. Stockpile Management

e. Proportioning

f. Mixing and Transportation

g. Mixture Volumetrics

h. Moisture Content of Mixtures

i. Placing and Finishing

j. Joints

k. Compaction

l. Surface Smoothness

3.10.2 Testing Laboratory

Provide a fully equipped asphalt laboratory located at the plant or job site and meeting the pertinent requirements in ASTM D3666. Laboratory facilities shall be kept clean and all equipment maintained in proper working condition. The Contracting Officer shall be permitted unrestricted access to inspect the Contractor's laboratory facility, to witness quality control activities, and to perform any check testing desired. The Contracting Officer will advise the Contractor in writing of any noted deficiencies concerning the laboratory facility, equipment, supplies, or testing personnel and procedures. When the deficiencies are serious enough to adversely affect test results, the incorporation of the materials into the work shall be suspended immediately and will not be permitted to resume until the deficiencies are corrected.

3.10.3 Quality Control Testing

Perform all quality control tests applicable to these specifications and as set forth in the Quality Control Program. The testing program shall include, but shall not be limited to, tests for the control of asphalt content, aggregate gradation, temperatures, aggregate moisture, moisture in the asphalt mixture, laboratory air voids, stability (NA for Superpave), flow (NA for Superpave), in-place density, grade and smoothness. Develop a Quality Control Testing Plan as part of the Quality Control Program.

3.10.3.1 Asphalt Content

A minimum of two tests to determine asphalt content will be performed per lot (a lot is defined in paragraph MATERIAL ACCEPTANCE and PERCENT PAYMENT) by one of the following methods: the extraction method in accordance with ASTM D2172/D2172M, Method A or B, the ignition method in accordance with ASTM D6307, or the nuclear method in accordance with ASTM D4125/D4125M. Calibrate the ignition oven or the nuclear gauge for the specific mix being used. For the extraction method, determine the weight of ash, as described in ASTM D2172/D2172M, as part of the first extraction test performed at the beginning of plant production; and as part of every tenth extraction test performed thereafter, for the duration of plant production. The last weight of ash value obtained shall be used in the calculation of the asphalt content for the mixture.

3.10.3.2 Gradation

Determine aggregate gradations a minimum of twice per lot from mechanical analysis of recovered aggregate in accordance with ASTM D5444. When asphalt content is determined by the ignition oven or nuclear method, aggregate gradation shall be determined from hot bin samples on batch plants, or from the cold feed on drum mix plants. For batch plants, test aggregates in accordance with ASTM C136/C136M using actual batch weights to determine the combined aggregate gradation of the mixture.

3.10.3.3 Temperatures

Check temperatures at least four times per lot, at necessary locations, to determine the temperature at the dryer, the asphalt cement in the storage tank, the asphalt mixture at the plant, and the asphalt mixture at the job site.

3.10.3.4 Aggregate Moisture

Determine the moisture content of aggregate used for production a minimum of once per lot in accordance with ASTM C566.

3.10.3.5 Moisture Content of Mixture

Determine the moisture content of the mixture at least once per lot in accordance with ASTM D1461 or an approved alternate procedure.

3.10.3.6 Laboratory Air Voids, Marshall Stability and Flow

Take mixture samples at least four times per lot compacted into specimens, using 50 blows per side with the hand-held Marshall hammer as described in ASTM D6926. When the Superpave gyratory compactor is used, mixes will be compacted to 50 gyrations in accordance with ASTM D6925. Hot-mix provided under the DOT Superpave option shall be compacted in accordance with the DOT requirements. After compaction, determine the laboratory air voids of each specimen. Stability and flow shall be determined for the Marshall-compacted specimens, in accordance with ASTM D6927.

3.10.3.7 In-Place Density

Conduct any necessary testing to ensure the specified density is achieved. A nuclear gauge may be used to monitor pavement density in accordance with ASTM D2950/D2950M.

3.10.3.8 Grade and Smoothness

Conduct the necessary checks to ensure the grade and smoothness requirements are met in accordance with paragraphs MATERIAL ACCEPTANCE and PERCENT PAYMENT.

3.10.3.9 Additional Testing

Any additional testing, which the Contractor deems necessary to control the process, may be performed at the Contractor's option.

3.10.3.10 QC Monitoring

Submit all QC test results to the Contracting Officer on a daily basis as the tests are performed. The Contracting Officer reserves the right to monitor any of the Contractor's quality control testing and to perform duplicate testing as a check to the Contractor's quality control testing.

3.10.4 Sampling

When directed by the Contracting Officer, sample and test any material which appears inconsistent with similar material being produced, unless such material is voluntarily removed and replaced or deficiencies corrected by the Contractor. All sampling shall be in accordance with standard procedures specified.

3.10.5 Control Charts

For process control, establish and maintain linear control charts on both individual samples and the running average of last four samples for the parameters listed in Table 8, as a minimum. These control charts shall be posted as directed by the Contracting Officer and kept current at all times. The control charts shall identify the project number, the test parameter being plotted, the individual sample numbers, the Action and Suspension Limits listed in Table 8 applicable to the test parameter being plotted, and the Contractor's test results. Target values from the JMF shall also be shown on the control charts as indicators of central tendency for the cumulative percent passing, asphalt content, and laboratory air voids parameters. When the test results exceed either applicable Action Limit, take immediate steps to bring the process back in control. When the test results exceed either applicable Suspension Limit, halt production until the problem is solved. Use the control charts as part of the process control system for identifying trends so that potential problems can be corrected before they occur. Make decisions concerning mix modifications based on analysis of the results provided in the control charts. The Quality Control Plan shall indicate the appropriate action to be taken to bring the process into control when certain parameters exceed their Action Limits.

Table 8. Action and Suspension Limits for the Parameters to be Plotted on Individual and Running Average Control Charts

	Individual Samples		Running Average of Last Four Samples	
Parameter to be Plotted	Action Limit	Suspension Limit	Action Limit	Suspension Limit
No. 4 sieve, Cumulative percent passing, deviation for JMF target; plus or minus values	6	8	4	5

Table 8. Action and Suspension Limits for the Parameters to be Plotted on Individual and Running Average Control Charts				
	Individual Samples		Running Average of Last Four Samples	
Parameter to be Plotted	Action Limit	Suspension Limit	Action Limit	Suspension Limit
No. 30 sieve, Cumulative percent passing, deviation for JMF target; plus or minus values	4	6	3	4
No. 200 sieve, Cumulative percent passing, deviation for JMF target; plus or minus values	1.4	2.0	1.1	1.5
Stability, pounds (minimum) (NA for Superpave)				
75 Blow JMF	1800	1700	1900	1800
50 Blow JMF	1000	900	1100	1000
Flow, 0.01 inch (NA for Superpave)				
75 Blow JMF	8 min.	7 min.	9 min.	8 min.
	16 max.	17 max.	15 max.	16 max.
50 Blow JMF	8 min.	7 min.	9 min.	8 min.
	18 max.	19 max.	17 max.	18 max.
Asphalt content, percent deviation from JMF target; plus or minus value	0.4	0.5	0.2	0.3
Laboratory Air Voids, percent deviation from JMF target value	No specific action and suspension limits set since this parameter is used to determine percent payment			
In-place Mat Density, percent of TMD	No specific action and suspension limits set since this parameter is used to determine percent payment			
In-place Joint Density, percent of TMD	No specific action and suspension limits set since this parameter is used to determine percent payment			

3.11 MATERIAL ACCEPTANCE

Testing for acceptability of work will be performed by an independent laboratory hired by the Contractor. Forward test results and payment calculations daily to the Contracting Officer. Acceptance of the plant produced mix and in-place requirements will be on a lot to lot basis. A standard lot for all requirements will be equal to 8 hours of production. Where appropriate, adjustment in payment for individual lots of hot-mix asphalt will be made based on in-place density, laboratory air voids, grade and smoothness in accordance with the following paragraphs. Grade and surface smoothness determinations will be made on the lot as a whole. Exceptions or adjustments to this will be made in situations where the mix within one lot is placed as part of both the intermediate and surface

courses, thus grade and smoothness measurements for the entire lot cannot be made. In order to evaluate laboratory air voids and in-place (field) density, each lot will be divided into four equal sublots.

3.11.1 Sublot Sampling

One random mixture sample for determining laboratory air voids, theoretical maximum density, and for any additional testing the Contracting Officer desires, will be taken from a loaded truck delivering mixture to each sublot, or other appropriate location for each sublot. All samples will be selected randomly, using commonly recognized methods of assuring randomness conforming to ASTM D3665 and employing tables of random numbers or computer programs. Laboratory air voids will be determined from three laboratory compacted specimens of each sublot sample in accordance with ASTM D6926. The specimens will be compacted within 2 hours of the time the mixture was loaded into trucks at the asphalt plant. Samples will not be reheated prior to compaction and insulated containers will be used as necessary to maintain the temperature.

3.11.2 Additional Sampling and Testing

The Contracting Officer reserves the right to direct additional samples and tests for any area which appears to deviate from the specification requirements. The cost of any additional testing will be paid for by the Government. Testing in these areas will be in addition to the lot testing, and the requirements for these areas will be the same as those for a lot.

3.11.3 Grade

The final wearing surface of pavement shall conform to the elevations and cross sections shown and shall vary not more than 0.05 foot from the plan grade established and approved at site of work. Finished surfaces at juncture with other pavements shall coincide with finished surfaces of abutting pavements. Deviation from the plan elevation will not be permitted in areas of pavements where closer conformance with planned elevation is required for the proper functioning of drainage and other appurtenant structures involved. The grade will be determined by running lines of levels at intervals of 25 feet, or less, longitudinally and transversely, to determine the elevation of the completed pavement surface. Within 5 working days, after the completion of a particular lot incorporating the final wearing surface, test the final wearing surface of the pavement for conformance with the specified plan grade. Diamond grinding may be used to remove high spots to meet grade requirements. Skin patching for correcting low areas or planing or milling for correcting high areas will not be permitted.

3.11.4 Surface Smoothness

Use one of the following methods to test and evaluate surface smoothness of the pavement. Perform all testing in the presence of the Contracting Officer. Keep detailed notes of the results of the testing and furnish a copy to the Government immediately after each day's testing. Where drawings show required deviations from a plane surface (crowns, drainage inlets, etc.), the surface shall be finished to meet the approval of the Contracting Officer.

3.11.4.1 Smoothness Requirements

3.11.4.1.1 Straightedge Testing

The finished surfaces of the pavements shall have no abrupt change of 1/4 inch or more, and all pavements shall be within the tolerances of 1/4 inch in both the longitudinal and transverse directions, when tested with an approved 12 feet straightedge.

3.11.4.1.2 Profilograph Testing

The finished surfaces of the pavements shall have no abrupt change of 1/8 inch or more, and each 0.1 mile segment of each pavement lot shall have a Profile Index not greater than 9 inches/mile when tested with an approved California-type profilograph. If the extent of the pavement in either direction is less than 200 feet, that direction shall be tested by the straightedge method and shall meet requirements specified above.

3.11.4.2 Testing Method

After the final rolling, but not later than 24 hours after placement, test the surface of the pavement in each entire lot in such a manner as to reveal all surface irregularities exceeding the tolerances specified above. Separate testing of individual sublots is not required. If any pavement areas are ground, these areas shall be retested immediately after grinding. Test each lot of the pavement in both a longitudinal and a transverse direction on parallel lines. Set the transverse lines 15 feet or less apart, as directed. The longitudinal lines shall be at the centerline of each paving lane for lanes less than 20 feet wide and at the third points for lanes 20 feet or wider. Also test other areas having obvious deviations. Longitudinal testing lines shall be continuous across all joints.

3.11.4.2.1 Straightedge Testing

Hold the straightedge in contact with the surface and move it ahead one-half the length of the straightedge for each successive measurement. Determine the amount of surface irregularity by placing the freestanding (unleveled) straightedge on the pavement surface and allowing it to rest upon the two highest spots covered by its length, and measuring the maximum gap between the straightedge and the pavement surface in the area between these two high points.

3.11.4.2.2 Profilograph Testing

Perform profilograph testing using approved equipment and procedures described in CTM 526. The equipment shall utilize electronic recording and automatic computerized reduction of data to indicate "must-grind" bumps and the Profile Index for each 0.1 mile segment of each pavement lot. Grade breaks on parking lots shall be accommodated by breaking the profile segment into shorter sections and repositioning the blanking band on each segment. The "blanking band" shall be 0.2 inches wide and the "bump template" shall span 1 inch with an offset of 0.3 inch. Compute the Profile Index for each pass of the profilograph in each 0.1 mile segment. The Profile Index for each segment shall be the average of the Profile Indices for each pass in each segment. The profilograph shall be operated by a DOT approved operator. Furnish a copy of the reduced tapes to the Government at the end of each day's testing.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 32 16 19

CONCRETE CURBS, GUTTERS AND SIDEWALKS
05/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 182	(2005; R 2017) Standard Specification for Burlap Cloth Made from Jute or Kenaf and Cotton Mats

ASTM INTERNATIONAL (ASTM)

ASTM A1064/A1064M	(2017) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM A615/A615M	(2016) Standard Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement
ASTM C143/C143M	(2015) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C171	(2016) Standard Specification for Sheet Materials for Curing Concrete
ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2016) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2017a) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C309	(2011) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C31/C31M	(2018b) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants

ASTM D1751	(2004; E 2013; R 2013) Standard Specification for Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D1752	(2004a; R 2013) Standard Specification for Preformed Sponge Rubber Cork and Recycled PVC Expansion
ASTM D5893/D5893M	(2016) Standard Specification for Cold Applied, Single Component, Chemically Curing Silicone Joint Sealant for Portland Cement Concrete Pavements

INTERNATIONAL CODE COUNCIL (ICC)

ICC A117.1 COMM	(2017) Standard And Commentary Accessible and Usable Buildings and Facilities

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Concrete

Biodegradable Form Release Agent

SD-06 Test Reports

Field Quality Control

1.3 EQUIPMENT, TOOLS, AND MACHINES

1.3.1 General Requirements

Plant, equipment, machines, and tools used in the work will be subject to approval and must be maintained in a satisfactory working condition at all times. Use equipment capable of producing the required product, meeting grade controls, thickness control and smoothness requirements as specified. Discontinue using equipment that produces unsatisfactory results. Allow the Contracting Officer access at all times to the plant and equipment to ensure proper operation and compliance with specifications.

1.3.2 Slip Form Equipment

Slip form paver or curb forming machines, will be approved based on trial use on the job and must be self-propelled, automatically controlled, crawler mounted, and capable of spreading, consolidating, and shaping the plastic concrete to the desired cross section in one pass.

1.4 ENVIRONMENTAL REQUIREMENTS

1.4.1 Placing During Cold Weather

Do not place concrete when the air temperature reaches 40 degrees F and is falling, or is already below that point. Placement may begin when the air temperature reaches 35 degrees F and is rising, or is already above 40 degrees F. Make provisions to protect the concrete from freezing during the specified curing period. If necessary to place concrete when the temperature of the air, aggregates, or water is below 35 degrees F, placement and protection must be approved in writing. Approval will be contingent upon full conformance with the following provisions. Prepare and protect the underlying material so that it is entirely free of frost when the concrete is deposited. Heat mixing water and aggregates as necessary to result in the temperature of the in-place concrete being between 50 and 85 degrees F. Methods and equipment for heating must be approved. Use only aggregates that are free of ice, snow, and frozen lumps before entering the mixer. Provide covering or other means as needed to maintain the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period.

1.4.2 Placing During Warm Weather

The temperature of the concrete as placed must not exceed 85 degrees F except where an approved retarder is used. Cool the mixing water and aggregates as necessary to maintain a satisfactory placing temperature. The placing temperature must not exceed 95 degrees F at any time.

PART 2 PRODUCTS

2.1 CONCRETE

Provide concrete conforming to the applicable requirements of 03 30 53 MISCELLANEOUSCAST-IN-PLACE CONCRETE except as otherwise specified. Concrete must have a minimum compressive strength of 3500 psi at 28 days. Size of aggregate must not exceed 1-1/2 inches. Submit copies of certified delivery tickets for all concrete used in the construction.

2.1.1 Air Content

Use concrete mixtures that have an air content by volume of concrete of 5 to 7 percent, based on measurements made immediately after discharge from the mixer.

2.1.2 Slump

Use concrete with a slump of 3 inches plus or minus 1 inch for hand placed concrete or 1 inch plus or minus 1/2 inch for slipformed concrete as determined in accordance with ASTM C143/C143M.

2.1.3 Reinforcement Steel

Use reinforcement bars conforming to ASTM A615/A615M. Use wire mesh reinforcement conforming to ASTM A1064/A1064M.

2.2 CONCRETE CURING MATERIALS

2.2.1 Impervious Sheet Materials

Use impervious sheet materials conforming to ASTM C171, type optional, except that polyethylene film, if used, must be white opaque.

2.2.2 Burlap

Use burlap conforming to AASHTO M 182.

2.2.3 White Pigmented Membrane-Forming Curing Compound

Use white pigmented membrane-forming curing compound conforming to ASTM C309, Type 2.

2.3 CONCRETE PROTECTION MATERIALS

Use concrete protection materials consisting of a linseed oil mixture of equal parts, by volume, of linseed oil and either mineral spirits, naphtha, or turpentine. At the option of the Contractor, commercially prepared linseed oil mixtures, formulated specifically for application to concrete to provide protection against the action of deicing chemicals may be used, except that emulsified mixtures are not acceptable.

2.4 JOINT FILLER STRIPS

2.4.1 Contraction Joint Filler for Curb and Gutter

Use hard-pressed fiberboard contraction joint filler for curb and gutter.

2.4.2 Expansion Joint Filler, Premolded

Onless otherwise indicated, use 1/2 inch thick premolded expansion joint filler conforming to ASTM D1751 or ASTM D1752.

2.5 JOINT SEALANTS

Use cold-applied joint sealant conforming to ASTM C920 or ASTM D5893/D5893M.

2.6 FORM WORK

Design and construct form work to ensure that the finished concrete will conform accurately to the indicated dimensions, lines, and elevations, and within the tolerances specified. Use wood or steel forms that are straight and of sufficient strength to resist springing during depositing and consolidating concrete.

2.6.1 Wood Forms

Use forms that are surfaced plank, 2 inches nominal thickness, straight and free from warp, twist, loose knots, splits or other defects. Use forms with a nominal length of 10 feet. Radius bends may be formed with 3/4 inch boards, laminated to the required thickness.

2.6.2 Steel Forms

Use channel-formed sections with a flat top surface and welded braces at each end and at not less than two intermediate points. Use forms with

interlocking and self-aligning ends. Provide flexible forms for radius forming, corner forms, form spreaders, and fillers as needed. Use forms with a nominal length of 10 feet and that have a minimum of 3 welded stake pockets per form. Use stake pins consisting of solid steel rods with chamfered heads and pointed tips designed for use with steel forms.

2.6.3 Sidewalk Forms

Use sidewalk forms that are of a height equal to the full depth of the finished sidewalk.

2.6.4 Curb and Gutter Forms

Use curb and gutter outside forms that have a height equal to the full depth of the curb or gutter. Use rigid forms for curb returns, except that benders or thin plank forms may be used for curb or curb returns with a radius of 10 feet or more, where grade changes occur in the return, or where the central angle is such that a rigid form with a central angle of 90 degrees cannot be used. Back forms for curb returns may be made of 1-1/2 inch benders, for the full height of the curb, cleated together. In lieu of inside forms for curbs, a curb "mule" may be used for forming and finishing this surface, provided the results are approved.

2.7 Detectable Warning System

Detectable Warning Systems shown on the Contract plans are to meet requirements of ICC A117.1 COMM - Section 705.

PART 3 EXECUTION

3.1 SUBGRADE PREPARATION

Construct subgrade to the specified grade and cross section prior to concrete placement.

3.1.1 Sidewalk Subgrade

Place and compact the subgrade in accordance with Section 31 00 00 EARTHWORK. Test the subgrade for grade and cross section with a template extending the full width of the sidewalk and supported between side forms.

3.1.2 Curb and Gutter Subgrade

Place and compact the subgrade in accordance with Section 31 00 00 EARTHWORK. Test the subgrade for grade and cross section by means of a template extending the full width of the curb and gutter. Use subgrade materials equal in bearing quality to the subgrade under the adjacent pavement.

3.1.3 Maintenance of Subgrade

Maintain subgrade in a smooth, compacted condition in conformity with the required section and established grade until the concrete is placed. The subgrade must be in a moist condition when concrete is placed. Prepare and protect subgrade so that it is free from frost when the concrete is deposited.

3.2 FORM SETTING

Set forms to the indicated alignment, grade and dimensions. Hold forms

rigidly in place by a minimum of 3 stakes per form placed at intervals not to exceed 4 feet. Use additional stakes and braces at corners, deep sections, and radius bends, as required. Use clamps, spreaders, and braces where required to ensure rigidity in the forms. Remove forms in a manner that will not injure the concrete. Do not use bars or heavy tools against the concrete when removing the forms. Promptly and satisfactorily repair concrete found to be defective after form removal. Clean forms and coat with form oil or biodegradable form release agent each time before concrete is placed. Wood forms may, instead, be thoroughly wetted with water before concrete is placed, except that with probable freezing temperatures, oiling is mandatory.

3.2.1 Sidewalks

Set forms for sidewalks with the upper edge true to line and grade with an allowable tolerance of 1/8 inch in any 10 foot long section. After forms are set, grade and alignment must be checked with a 10 foot straightedge. Sidewalks must have a transverse slope of 1/4 inch per foot Do not remove side forms less than 12 hours after finishing has been completed.

3.2.2 Curbs and Gutters

Remove forms used along the front of the curb not less than 2 hours nor more than 6 hours after the concrete has been placed. Do not remove forms used along the back of curb until the face and top of the curb have been finished, as specified for concrete finishing. Do not remove gutter forms while the concrete is sufficiently plastic to slump in any direction.

3.3 SIDEWALK CONCRETE PLACEMENT AND FINISHING

3.3.1 Formed Sidewalks

Place concrete in the forms in one layer. When consolidated and finished, the sidewalks must be of the thickness indicated. Use a strike-off guided by side forms after concrete has been placed in the forms to bring the surface to proper section to be compacted. Consolidate concrete by tamping and spading or with an approved vibrator. Finish the surface to grade with a strike off.

3.3.2 Concrete Finishing

After straightedging, when most of the water sheen has disappeared, and just before the concrete hardens, finish the surface with a wood or magnesium float or darby to a smooth and uniformly fine granular or sandy texture free of waves, irregularities, or tool marks. Produce a scored surface by brooming with a fiber-bristle brush in a direction transverse to that of the traffic, followed by edging.

3.3.3 Edge and Joint Finishing

Finish all slab edges, including those at formed joints, with an edger having a radius of 1/8 inch. Edge transverse joints before brooming. Eliminate the flat surface left by the surface face of the edger with brooming. Clean and solidly fill corners and edges which have crumbled and areas which lack sufficient mortar for proper finishing with a properly proportioned mortar mixture and then finish.

3.3.4 Surface and Thickness Tolerances

Finished surfaces must not vary more than 5/16 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

3.4 CURB AND GUTTER CONCRETE PLACEMENT AND FINISHING

3.4.1 Formed Curb and Gutter

Place concrete to the required section in a single lift. Consolidate concrete using approved mechanical vibrators. Curve shaped gutters must be finished with a standard curb "mule".

3.4.2 Curb and Gutter Finishing

Approved slipformed curb and gutter machines may be used in lieu of hand placement.

3.4.3 Concrete Finishing

Float and finish exposed surfaces with a smooth wood float until true to grade and section and uniform in texture. Brush floated surfaces with a fine-hair brush using longitudinal strokes. Round the edges of the gutter and top of the curb with an edging tool to a radius of 1/2 inch. Immediately after removing the front curb form, rub the face of the curb with a wood or concrete rubbing block and water until blemishes, form marks, and tool marks have been removed. Brush the front curb surface, while still wet, in the same manner as the gutter and curb top. Finish the top surface of gutter to grade with a wood float.

3.4.4 Joint Finishing

Finish curb edges at formed joints as indicated.

3.4.5 Surface and Thickness Tolerances

Finished surfaces must not vary more than 1/4 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

3.5 SIDEWALK JOINTS

Construct sidewalk joints to divide the surface into rectangular areas. Space transverse contraction joints at a distance equal to the sidewalk width or 5 feet on centers, whichever is less, and continuous across the slab. Construct longitudinal contraction joints along the centerline of all sidewalks 10 feet or more in width. Construct transverse expansion joints at sidewalk returns and opposite expansion joints in adjoining curbs. Where the sidewalk is not in contact with the curb, install transverse expansion joints as indicated. Form expansion joints around structures and features which project through or into the sidewalk pavement, using joint filler of the type, thickness, and width indicated. Expansion joints are not required between sidewalks and curb that abut the sidewalk longitudinally.

3.5.1 Sidewalk Contraction Joints

Form contraction joints in the fresh concrete by cutting a groove in the

top portion of the slab to a depth of at least one-fourth of the sidewalk slab thickness. Unless otherwise approved or indicated, either use a jointer to cut the groove or saw a groove in the hardened concrete with a power-driven saw. Construct sawed joints by sawing a groove in the concrete with a 1/8 inch blade. Provide an ample supply of saw blades on the jobsite before concrete placement is started. Provide at least one standby sawing unit in good working order at the jobsite at all times during the sawing operations.

3.5.2 Sidewalk Expansion Joints

Form expansion joints using 1/2 inch joint filler strips. Joint filler in expansion joints surrounding structures and features within the sidewalk may consist of preformed filler material conforming to ASTM D1752 or building paper. Hold joint filler in place with steel pins or other devices to prevent warping of the filler during floating and finishing. Immediately after finishing operations are completed, round joint edges using an edging tool having a radius of 1/8 inch. Remove any concrete over the joint filler. At the end of the curing period, clean the top of expansion joints and fill with cold-applied joint sealant. Use joint sealant that is gray or stone in color. Thoroughly clean the joint opening before the sealing material is placed. Do not spill sealing material on exposed surfaces of the concrete. Apply joint sealing material only when the concrete at the joint is surface dry and atmospheric and concrete temperatures are above 50 degrees F. Immediately remove any excess material on exposed surfaces of the concrete and clean the concrete surfaces.

3.5.3 Reinforcement Steel Placement

Accurately and securely fasten reinforcement steel in place with suitable supports and ties before the concrete is placed.

3.6 CURB AND GUTTER JOINTS

Construct curb and gutter joints at right angles to the line of curb and gutter.

3.6.1 Contraction Joints

Construct contraction joints directly opposite contraction joints in abutting portland cement concrete pavements and spaced so that monolithic sections between curb returns will not be less than 5 feet nor greater than 15 feet in length.

a. Construct contraction joints (except for slip forming) by means of 1/8 inch thick separators and of a section conforming to the cross section of the curb and gutter. Remove separators as soon as practicable after concrete has set sufficiently to preserve the width and shape of the joint and prior to finishing.

b. When slip forming is used, cut the contraction joints in the top portion of the gutter/curb hardened concrete in a continuous cut across the curb and gutter, using a power-driven saw. Cut the contraction joint to a depth of at least one-fourth of the gutter/curb depth using a 1/8 inch saw blade.

3.6.2 Expansion Joints

Form expansion joints by means of preformed expansion joint filler material cut and shaped to the cross section of curb and gutter. Construct expansion joints in curb and gutter directly opposite expansion joints of abutting portland cement concrete pavement using the same type and thickness of joints as joints in the pavement. Where curb and gutter do not abut portland cement concrete pavement, provide expansion joints at least 1/2 inch in width at intervals not less than 30 feet nor greater than 120 feet. Seal expansion joints immediately following curing of the concrete or as soon thereafter as weather conditions permit. Seal expansion joints and the top 1 inch depth of curb and gutter contraction-joints with joint sealant. Thoroughly clean the joint opening before the sealing material is placed. Do not spill sealing material on exposed surfaces of the concrete. Concrete at the joint must be surface dry and atmospheric and concrete temperatures must be above 50 degrees F at the time of application of joint sealing material. Immediately remove excess material on exposed surfaces of the concrete and clean concrete surfaces.

3.7 CURING AND PROTECTION

3.7.1 General Requirements

Protect concrete against loss of moisture and rapid temperature changes for at least 7 days from the beginning of the curing operation. Protect unhardened concrete from rain and flowing water. All equipment needed for adequate curing and protection of the concrete must be on hand and ready for use before actual concrete placement begins. Protect concrete as necessary to prevent cracking of the pavement due to temperature changes during the curing period.

3.7.1.1 Mat Method

Cover the entire exposed surface with two or more layers of burlap. Overlap mats at least 6 inches. Thoroughly wet the mat with water prior to placing on concrete surface and keep the mat continuously in a saturated condition and in intimate contact with concrete for not less than 7 days.

3.7.1.2 Impervious Sheeting Method

Wet the entire exposed surface with a fine spray of water and then cover with impervious sheeting material. Lay sheets directly on the concrete surface with the light-colored side up and overlapped 12 inches when a continuous sheet is not used. Use sheeting that is not less than 18-inches wider than the concrete surface to be cured. Secure sheeting using heavy wood planks or a bank of moist earth placed along edges and laps in the sheets. Satisfactorily repair or replace sheets that are torn or otherwise damaged during curing. Sheeting must remain on the concrete surface to be cured for not less than 7 days.

3.7.1.3 Membrane Curing Method

Apply a uniform coating of white-pigmented membrane-curing compound to the entire exposed surface of the concrete as soon after finishing as the free water has disappeared from the finished surface. Coat formed surfaces immediately after the forms are removed and in no case longer than 1 hour after the removal of forms. Do not allow concrete surface to dry before application of the membrane. If drying has occurred, moisten the surface

of the concrete with a fine spray of water and apply the curing compound as soon as the free water disappears. Apply curing compound in two coats by hand-operated pressure sprayers at a coverage of approximately 200 square feet/gallon for the total of both coats. Apply the second coat in a direction approximately at right angles to the direction of application of the first coat. The compound must form a uniform, continuous, coherent film that will not check, crack, or peel and must be free from pinholes or other imperfections. If pinholes, abrasion, or other discontinuities exist, apply an additional coat to the affected areas within 30 minutes. Respray concrete surfaces that are subjected to heavy rainfall within 3 hours after the curing compound has been applied by the method and at the coverage specified above. Respray areas where the curing compound is damaged by subsequent construction operations within the curing period. Take precautions necessary to ensure that the concrete is properly cured at sawed joints, and that no curing compound enters the joints. Tightly seal the top of the joint opening and the joint groove at exposed edges before the concrete in the region of the joint is resprayed with curing compound. Use a method used for sealing the joint groove that prevents loss of moisture from the joint during the entire specified curing period. Provide approved standby facilities for curing concrete pavement at a location accessible to the jobsite for use in the event of mechanical failure of the spraying equipment or other conditions that might prevent correct application of the membrane-curing compound at the proper time. Adequately protect concrete surfaces to which membrane-curing compounds have been applied during the entire curing period from pedestrian and vehicular traffic, except as required for joint-sawing operations and surface tests, and from other possible damage to the continuity of the membrane.

3.7.2 Backfilling

After curing, remove debris and backfill, grade, and compact the area adjoining the concrete to conform to the surrounding area in accordance with lines and grades indicated.

3.7.3 Protection

Protect completed concrete from damage until accepted. Repair damaged concrete and clean concrete discolored during construction. Remove and reconstruct concrete that is damaged for the entire length between regularly scheduled joints. Refinishing the damaged portion will not be acceptable. Dispose of removed material as directed.

3.7.4 Protective Coating

Apply a protective coating of linseed oil mixture to the exposed-to-view concrete surface after the curing period, if concrete will be exposed to de-icing chemicals within 6 weeks after placement. Moist cure concrete to receive a protective coating.

3.7.4.1 Application

Complete curing and backfilling operation prior to applying two coats of protective coating. Concrete must be surface dry and clean before each application. Spray apply at a rate of not more than 50 square yards/gallon for first application and not more than 70 square yards/gallon for second application, except that the number of applications and coverage for each application for commercially prepared mixture must be in accordance with the manufacturer's instructions. Protect coated surfaces from vehicular and pedestrian traffic until dry.

3.7.4.2 Precautions

Do not heat protective coating by direct application of flame or electrical heaters and protect the coating from exposure to open flame, sparks, and fire adjacent to open containers or applicators. Do not apply material at ambient or material temperatures lower than 50 degrees F.

3.8 FIELD QUALITY CONTROL

Submit copies of all test reports within 24 hours of completion of the test.

3.8.1 General Requirements

Perform the inspection and tests described and meet the specified requirements for inspection details and frequency of testing. Based upon the results of these inspections and tests, take the action and submit reports as required below, and additional tests to ensure that the requirements of these specifications are met.

3.8.2 Concrete Testing

3.8.2.1 Strength Testing

Take concrete samples in accordance with ASTM C172/C172M not less than once a day nor less than once for every 250 cubic yards of concrete placed. Mold cylinders in accordance with ASTM C31/C31M for strength testing by an approved laboratory. Each strength test result must be the average of 2 test cylinders from the same concrete sample tested at 28 days, unless otherwise specified or approved. Concrete specified on the basis of compressive strength will be considered satisfactory if the averages of all sets of three consecutive strength test results equal or exceed the specified strength, and no individual strength test result falls below the specified strength by more than 500 psi.

3.8.2.2 Air Content

Determine air content in accordance with ASTM C173/C173M or ASTM C231/C231M. Use ASTM C231/C231M with concretes and mortars made with relatively dense natural aggregates. Make two tests for air content on randomly selected batches of each class of concrete placed during each shift. Make additional tests when excessive variation in concrete workability is reported by the placing foreman or the Government inspector. Notify the placing forman if results are out of tolerance. The placing foreman must take appropriate action to have the air content corrected at the plant. Additional tests for air content will be performed on each truckload of material until such time as the air content is within the tolerance specified.

3.8.2.3 Slump Test

Perform two slump tests on randomly selected batches of each class of concrete for every 250 cubic yards, or fraction thereof, of concrete placed during each shift. Perform additional tests when excessive variation in the workability of the concrete is noted or when excessive crumbling or slumping is noted along the edges of slip-formed concrete.

3.8.3 Thickness Evaluation

Determine the anticipated thickness of the concrete prior to placement by passing a template through the formed section or by measuring the depth of opening of the extrusion template of the curb forming machine. If a slip form paver is used for sidewalk placement, construct the subgrade true to grade prior to concrete placement. The thickness will be determined by measuring each edge of the completed slab.

3.8.4 Surface Evaluation

Provide finished surfaces for each category of the completed work that are uniform in color and free of blemishes and form or tool marks.

3.9 SURFACE DEFICIENCIES AND CORRECTIONS

3.9.1 Thickness Deficiency

When measurements indicate that the completed concrete section is deficient in thickness by more than 1/4 inch the deficient section will be removed, between regularly scheduled joints, and replaced.

3.9.2 High Areas

In areas not meeting surface smoothness and plan grade requirements, reduce high areas either by rubbing the freshly finished concrete with carborundum brick and water when the concrete is less than 36 hours old or by grinding the hardened concrete with an approved surface grinding machine after the concrete is 36 hours old or more. The area corrected by grinding the surface of the hardened concrete must not exceed 5 percent of the area of any integral slab, and the depth of grinding must not exceed 1/4 inch. Remove and replace pavement areas requiring grade or surface smoothness corrections in excess of the limits specified.

3.9.3 Appearance

Exposed surfaces of the finished work will be inspected by the Contracting Officer and deficiencies in appearance will be identified. Remove and replace areas which exhibit excessive cracking, discoloration, form marks, or tool marks or which are otherwise inconsistent with the overall appearances of the work.

3.10 DETECTABLE WARNING SYSTEM

Install Detectable Warning Systems required by Contract plans in accordance with ICC A117.1 COMM, Section 705, and by manufacturers' installation instructions.

-- End of Section --

SECTION 32 17 23

PAVEMENT MARKINGS
08/16

PART 1 GENERAL

1.1 REFERENCES
The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

AASHTO M 249	(2012; R2016) Standard Specification for White and Yellow Reflective Thermoplastic Striping Material (Solid Form)

ASTM INTERNATIONAL (ASTM)

ASTM D1652	(2011; E 2012) Standard Test Method for Epoxy Content of Epoxy Resins
ASTM D2074	(2007; R2013) Standard Test Methods for Total, Primary, Secondary, and Tertiary Amine Values of Fatty Amines by Alternative Indicator Method
ASTM D2240	(2015; E 2017) Standard Test Method for Rubber Property - Durometer Hardness
ASTM D2621	(1987; R 2016) Standard Test Method for Infrared Identification of Vehicle Solids from Solvent-Reducible Paints
ASTM D2697	(2003; R 2014) Volume Nonvolatile Matter in Clear or Pigmented Coatings
ASTM D3335	(1985a; R 2014) Low Concentrations of Lead, Cadmium, and Cobalt in Paint by Atomic Absorption Spectroscopy
ASTM D3718	(1985a; R 2015) Low Concentrations of Chromium in Paint by Atomic Absorption Spectroscopy
ASTM D3924	(2016) Standard Specification for Environment for Conditioning and Testing Paint, Varnish, Lacquer, and Related Materials
ASTM D3960	(2005; R 2013) Determining Volatile Organic Compound (VOC) Content of Paints and Related Coatings
ASTM D4060	(2014) Abrasion Resistance of Organic Coatings by the Taber Abraser

ASTM D4505	(2012; R 2017) Standard Specification for Preformed Retroflective Pavement Marking Tape for Extended Service Life
ASTM D4541	(2017) Standard Test Method for Pull-Off Strength of Coatings Using Portable Adhesion Testers
ASTM D471	(2016a) Standard Test Method for Rubber Property - Effect of Liquids
ASTM D476	(2015) Dry Pigmentary Titanium Dioxide Pigments
ASTM D522/D522M	(2014) Mandrel Bend Test of Attached Organic Coatings
ASTM D638	(2014) Standard Test Method for Tensile Properties of Plastics
ASTM D6628	(2003; R 2015) Standard Specification for Color of Pavement Marking Materials
ASTM D695	(2010) Standard Test Method for Compressive Properties of Rigid Plastics
ASTM D711	(2010; R 2015) No-Pick-Up Time of Traffic Paint
ASTM D7234	(2012) Standard Test Method for Pull-Off Adhesion Strength of Coatings on Concrete Using Portable Pull-Off Adhesion Testers
ASTM D823	(2018) Standard Practices for Producing Films of Uniform Thickness of Paint, Varnish, and Related Products on Test Panels.
ASTM E1347	(2006; R 2011) Color and Color Difference Measurement by Tristimulus (Filter) Colorimetry
ASTM G154	(2016) Standard Practice for Operating Fluorescent Light Apparatus for UV Exposure of Nonmetallic Materials

INTERNATIONAL CONCRETE REPAIR INSTITUTE (ICRI)

ICRI 03732	(1997) Selecting and Specifying Concrete Surface Preparation for Sealers, Coatings, and Polymer Overlays

MASTER PAINTERS INSTITUTE (MPI)

MPI 32	(2012) Traffic Marking Paint, S.B.
MPI 97	(2012) Traffic Marking Paint, Latex

SOCIETY OF AUTOMOTIVE ENGINEERS INTERNATIONAL (SAE)

SAE AMS-STD-595A	(2017) Colors used in Government Procurement

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2015) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Surface Preparation Equipment List; G

Application Equipment List; G

Exterior Surface Preparation

Safety Data Sheets; G

Waterborne Paint; G

Solventborne Paint; G

Thermoplastic compound; G

SD-06 Test Reports

Waterborne Paint; G

Solventborne Paint; G

High Build Acrylic Coating (HBAC); G

Thermoplastic Compound; G

SD-07 Certificates

Qualifications; G

Waterborne Paint

Solventborne Paint

Volatile Organic Compound, (VOC); G

Thermoplastic Compound

SD-08 Manufacturer's Instructions

Waterborne Paint; G

Solventborne Paint; G

Thermoplastic Compound; G

1.3 QUALITY ASSURANCE

1.3.1 Regulatory Requirements

Submit certificate stating that the proposed pavement marking paint meets the Volatile Organic Compound, (VOC) regulations of the local Air Pollution Control District having jurisdiction over the geographical area in which the project is located. Submit Safety Data Sheets for each product.

1.3.2 Qualifications

Submit documentation certifying that pertinent personnel are qualified for equipment operation and handling of applicable chemicals. The documentation should include experience on five projects of similar size and scope with references for all personnel.

1.4 DELIVERY AND STORAGE

Deliver paint materials, thermoplastic compound materials, and reflective media in original sealed containers that plainly show the designated name, specification number, batch number, color, date of manufacture, manufacturer's directions, and name of manufacturer.

Provide storage facilities at the job site, only in areas approved by the Contracting Officer, for maintaining materials at temperatures recommended by the manufacturer. Make available paint stored at the project site or segregated at the source for sampling not less than 30 days prior to date of required approval for use to allow sufficient time for testing. Notify the Contracting Officer when paint is available for sampling.

1.5 PROJECT/SITE CONDITIONS

1.5.1 Environmental Requirements

1.5.1.1 Weather Limitations for Application

Apply pavement markings to clean, dry surfaces, and unless otherwise approved, only when the air and pavement surface temperature is at least 5 degrees F above the dew point and the air and pavement temperatures are within the limits recommended by the pavement marking manufacturer. Allow pavement surfaces to dry after water has been used for cleaning or rainfall has occurred prior to striping or marking. Test the pavement surface for moisture before beginning work each day and after cleaning. Do not commence marking until the pavement is sufficiently dry and the pavement condition has been approved by the Contracting Officer. Employ the "plastic wrap method" to test the pavement for moisture as specified in paragraph TESTING FOR MOISTURE.

1.5.1.2 Weather Limitations for Removal of Pavement Markings on Roads and Automotive Parking Areas

Pavement surface must be free of snow, ice, or slush; with a surface

temperature of at least 40 degrees F and rising at the beginning of operations, except those involving shot or sand blasting or grinding. Cease operation during thunderstorms, or during rainfall, except for waterblasting and removal of previously applied chemicals. Cease waterblasting where surface water accumulation alters the effectiveness of material removal.

1.5.2 Traffic Controls

Place warning signs conforming to MUTCD near the beginning of the worksite and well ahead of the worksite for alerting approaching traffic from both directions. Place small markers along newly painted lines or freshly placed raised markers to control traffic and prevent damage to newly painted surfaces or displacement of raised pavement markers. Mark painting equipment with large warning signs indicating slow-moving painting equipment in operation.

When traffic must be rerouted or controlled to accomplish the work, provide necessary warning signs, flag persons, and related equipment for the safe passage of vehicles.

1.5.3 Lighting

When night operations are necessary, provide all necessary lighting and equipment. The Government reserves the right to accept or reject night work on the day following night activities by the Contractor.

PART 2 PRODUCTS

2.1 EQUIPMENT

2.1.1 Surface Preparation and Paint Removal

2.1.1.1 Surface Preparation Equipment for Roads and Automotive Parking Areas

Submit a surface preparation equipment list by serial number, type, model, and manufacturer. Include descriptive data indicating area of coverage per pass, pressure adjustment range, tank and flow capacities, and safety precautions required for the equipment operation. Mobile equipment must allow for removal of markings without damaging the pavement surface or joint sealant. Maintain machines, tools, and equipment used in the performance of the work in satisfactory operating condition.

2.1.2 Application Equipment

Submit application equipment list appropriate for the material(s) to be used. Include manufacturer's descriptive data and certification for the planned use that indicates area of coverage per pass, pressure adjustment range, tank and flow capacities, and all safety precautions required for operating and maintaining the equipment. Provide and maintain machines, tools, and equipment used in the performance of the work in satisfactory operating condition, or remove them from the work site. Provide mobile and maneuverable application equipment to the extent that straight lines can be followed and normal curves can be made in a true arc.

2.1.2.1 Paint Application Equipment

2.1.2.1.1 Hand-Operated, Push-Type Machines

Provide hand-operated push-type applicator machine of a type commonly used for application of water based paint or two-component, chemically curing paint, thermoplastic, or preformed tape, to pavement surfaces for small marking projects, such as legends and cross-walks, automotive parking areas, or surface painted signs. Provide applicator machine equipped with the necessary tanks and spraying nozzles capable of applying paint uniformly at coverage specified. Hand operated spray guns may be used in areas where push-type machines cannot be used.

2.1.2.1.2 Self-Propelled or Mobile-Drawn Spraying Machines

Provide self-propelled or mobile-drawn spraying machine with suitable arrangements of atomizing nozzles and controls to obtain the specified results. Provide machine having a speed during application capable of applying the stripe widths indicated at the paint coverage rate specified herein and of even uniform thickness with clear-cut edges.

2.1.2.1.2.1 Road Marking

Provide equipment used for marking roads capable of placing the prescribed number of lines at a single pass as solid lines, intermittent lines, or a combination of solid and intermittent lines using a maximum of three different colors of paint as specified.

2.1.2.1.2.2 Hand Application

Provide spray guns for hand application of paint in areas where the mobile paint applicator cannot be used.

2.1.2.2 Thermoplastic Application Equipment

2.1.2.2.1 Thermoplastic Material

Apply thermoplastic material with equipment that is capable of providing continuous uniformity in the dimensions and reflectorization of the marking.

2.1.2.2.2 Application Equipment

a. Provide application equipment capable of continuous mixing and agitation of the material, with conveying parts which prevent accumulation and clogging between the main material reservoir and the extrusion shoe or spray gun. All parts of the equipment which come into contact with the material must be easily accessible and exposed for cleaning and maintenance. All mixing and conveying parts up to and including the extrusion shoes and spray guns must maintain the material at the required temperature with heat-transfer oil or electrical-element-controlled heat.

b. Provide application equipment constructed to ensure continuous uniformity in the dimensions of the stripe. Provide an applicator with a means for cleanly cutting off stripe ends squarely and providing a method of applying "skiplines." Provide equipment capable of applying varying widths of traffic markings.

c. Provide mobile and maneuverable application equipment allowing straight

lines to be followed and normal curves to be made in a true arc. Provide equipment used for the placement of thermoplastic pavement markings of two general types: mobile applicator and portable applicator.

d. Equip the applicator with a pressurized or drop-on type bead dispenser capable of uniformly dispensing reflective glass spheres at controlled rates of flow. The bead dispenser must operate automatically to begin flow prior to the flow of binder to assure that the strip is fully reflectorized.

2.1.2.2.3 Mobile Application Equipment

Provide a truck-mounted, self-contained pavement marking machine that is capable of hot applying thermoplastic by either the extrusion or spray method.

a. Equip the unit to apply the thermoplastic marking material at temperatures according to the manufacturer's instructions, at widths varying from 3 to 12 inches, with an automatic pressurized or drop-on bead dispensing system, capable of operating continuously, and of installing a minimum of 20,000 lineal feet of longitudinal markings in an 8-hour day.

b. Equip the mobile unit with a melting kettle which holds a minimum of 6000 pounds of molten thermoplastic material; capable of heating the thermoplastic composition to temperatures as recommended by the manufacturer. Use a thermostatically controlled heat transfer liquid. Heating of the composition by direct flame is not allowed. Oil and material temperature gauges must be visible at both ends of the kettle.

c. Equip mobile units for application of extruded markings with a minimum of two extrusion shoes; located one on each side of the truck, capable of marking simultaneous edge line and centerline stripes; each being a closed, oil-jacketed unit; holding the molten thermoplastic at a temperature as recommended by the manufacturer; and capable of extruding a line of 3 to 8 inches in width; and at a thickness of not less than 0.120 inch nor more than 0.190 inch, of generally uniform cross section.

d. Equip mobile units for application of spray markings with a spray gun system capable of marking simultaneous edgeline and centerline stripes. Surround (jacket) the spray system with heating oil to maintain the molten thermoplastic at a temperature of 375 to 425 degrees F, capable of spraying a stripe of 3 to 12 inches in width, and in thicknesses varying from 0.060 inch to 0.098 inch, of generally uniform cross section.

e. Equip the mobile unit with an electronic programmable line pattern control system, capable of applying skip or solid lines in any sequence, through any and all of the extrusion shoes, or the spray guns, and in programmable cycle lengths. In addition, equip the mobile unit with an automatic counting mechanism capable of recording the number of lineal feet of thermoplastic markings applied to the pavement surface with an accuracy of 0.5 percent.

2.1.2.2.4 Portable Application Equipment

Provide portable hand-operated equipment, specifically designed for placing

special markings such as crosswalks, stop bars, legends, arrows, and short lengths of lane, edge and centerlines; and capable of applying thermoplastic pavement markings by the extrusion method. Equip the portable applicator with all the necessary components, including a materials storage reservoir, bead dispenser, extrusion shoe, and heating accessories, capable of holding the molten thermoplastic at the temperature recommended by the manufacturer, and of extruding a line of 3 to 12 inches in width, and in thickness of not less than 0.120 inch nor more than 0.190 inch and of generally uniform cross section.

2.1.2.3 Reflective Media Dispenser

Attach the dispenser for applying the reflective media to the paint dispenser and designed to operate automatically and simultaneously with the applicator through the same control mechanism. The bead applicator must be capable of adjustment and designed to provide uniform flow of reflective media over the full length and width of the stripe at the rate of coverage specified in paragraph APPLICATION.

2.1.2.4 Preformed Tape Application Equipment

Provide and use mechanical application equipment for the placement of preformed marking tape which is a mobile pavement marking machine specifically designed for use in applying pressure-sensitive pavement marking tape of varying widths. Equip the applicator with rollers, or other suitable compaction device to provide initial adhesion of the material with the pavement surface. Use additional tools and devices as needed to properly seat the applied material as recommended by the manufacturer.

2.2 MATERIALS

Use non-reflectorized waterborne or solventborne paint for automotive parking areas. The maximum allowable VOC content of pavement markings is 150 grams per liter. Color of markings are indicated on the drawings and must conform to ASTM D6628 for roads and automotive parking areas and SAE AMS-STD-595A for airfields. Provide materials conforming to the requirements specified herein.

2.2.1 Waterborne Paint

MPI 97.

2.2.2 Solventborne Paint

MPI 32.

2.2.3 Epoxy Paint

2.2.3.1 Formulation

Epoxy pavement marking material will be a two component, 100 percent solids, material formulated to provide simple volumetric mixing ratio of two volumes of component A and one volume of component B unless otherwise recommended by the manufacturer.

2.2.3.2 Composition

The component A of both white and yellow must be within the following

limits:

TABLE I		
	White	Yellow
Pigments	Minimum 18 percent by weight Titanium Dioxide (ASTM D476, Type II)	21-27 percent by weight
Epoxy Resin	75-82 percent	73-79 percent

The epoxy resign must be free of lead, cadmium, mercury, hexavalent chromium and other toxic heavy metals as defined by the Environmental Protection Agency. Submit a manufacturer's certification of compliance with this requirement.

2.2.3.3 Epoxide Value

Determine epoxide epoxy number of the epoxy resin in accordance with ASTM D1652 for white and yellow component A on pigment free basis. The epoxide number must be within plus or minus 50 of the published manufacturer's standard.

2.2.3.4 Total Amine Value

Determine the amine number on the curing agent (component B) in accordance with ASTM D2074. The amine number must be within plus or minus 50 of the published manufacturer's standard.

2.2.3.5 Toxicity

Upon heating to application temperature, the material must not produce fumes which are toxic or injurious to persons or property.

2.2.3.6 Daylight Directional Reflectance

Directional reflectance of white and yellow paint (without glass beads) in accordance with ASTM E1347: White 84 percent Yellow 55 percent.

2.2.3.7 Laboratory Drying Time

The epoxy pavement marking material must have a maximum no-pick-up time of 30 minutes when tested in accordance with ASTM D711.

2.2.3.8 Curing

The epoxy material must be capable of fully curing under a constant surface temperature of 45 Degrees F or above.

2.2.3.9 Adhesion to Concrete

The catalyzed epoxy pavement marking material must have a high degree of adhesion to the specified concrete surface (100 percent concrete failure) when tested according to ASTM D7234. The concrete substrate must have a minimum compressive strength of 4,000 psi. Condition prepared specimens at a temperature of 75 plus or minus 2 Degrees F for a minimum of 24 hours and

a maximum of 72 hours prior to performance of the test.

2.2.3.10 Hardness

Epoxy pavement marking materials must have a Shore D Hardness between 75 and 100 when tested in accordance with ASTM D2240. Cure the samples at 75 plus or minus 2 Degrees F for a minimum of 72 hours and a maximum of 96 hours prior to performing the tests.

2.2.3.11 Abrasion Resistance

The wear index for a catalyzed sample must not exceed 82 when tested in accordance with ASTM D4060 using a 1000 gram load, CS-17 wheels and a test duration of 1000 cycles. Run the test on cured samples of material which have been applied at a film thickness of 15 plus or minus 0.5 mils to code S-16 stainless steel plates. Cure the samples at 75 plus or minus 2 Degrees F for a minimum of 48 hours prior to performing the tests.

2.2.3.12 Tensile Strength

Epoxy pavement marking materials must have a tensile strength of at least 6,000 psi when tested in accordance with ASTM D638. Cast the Type IV specimens in a suitable mold and pull at the rate of 1/4 inch per minute using a suitable dynamic testing machine. Cure the samples at 75 plus or minus 2 Degrees F for a minimum of 12 hours and a maximum of 48 hours prior to performing the tests.

2.2.3.13 Compressive Strength

Catalyzed epoxy pavement marking materials must have a compressive strength of at least 12,000 psi when tested in accordance with ASTM D695. Condition the cast sample at 75 plus or minus 2 Degrees F for a minimum of 12 hours and a maximum of 48 hours prior to performing the tests. The rate of compression of these samples must not exceed 1/4 inch per minute.

2.2.4 Thermoplastic Compound

2.2.4.1 Composition Requirements

Thermoplastic compound must conform to AASHTO M 249. Formulate the binder component as an alkyd resin.

2.2.5 High Build Acrylic Coating (HBAC)

Formulate High Build Acrylic Coating (HBAC) to meet the requirements of Table II.

TABLE II - REQUIREMENTS FOR HIGH BUILD ACRYLIC COATINGS (HBAC)	
TEST	MINIMUM REQUIREMENT (AND MAXIMUM WHERE INDICATED)
Resin System (ASTM D2621)	Waterborne 100 percent Acrylic
Percent Volume Solids (ASTM D2697)	58 percent

TABLE II - REQUIREMENTS FOR HIGH BUILD ACRYLIC COATINGS (HBAC)	
TEST	MINIMUM REQUIREMENT (AND MAXIMUM WHERE INDICATED)
Volatile Organic Compound, max. (ASTM D3960)	1.25 lbs/gal
White (SAE AMS-STD-595A)	37925
Yellow (SAE AMS-STD-595A)	33538
Shore D Hardness (ASTM D2240)	45
1/8 inch Mandrel Bend at 5 mils Dry Film Thickness (DFT, one-week cure (ASTM D522/D522M, Method B)	No visual defects at bend (Conditions at ASTM D3924)
Adhesion to Concrete and Asphaltic Pavements (ASTM D4541)	140 psi or 100 percent cohesive failure in pavement
Accelerated Weathering, Yellow, 2500 Hours UV Exposure (ASTM G154: see note 1)	Max. color loss to 33655 (SAE AMS-STD-595A)
Water Absorption at 168 Hours Immersion Tap Water (ASTM D471)	9.0 percent max. weight increase (conditions at ASTM D3924)
Application at 65 mils Wet,One Coat, One-week Cure, (see note 2)	No visual cracking or curling (conditions at ASTM D3924)
No Pick-Up at 25 mils (ASTM D711)	Wet 10 minutes max.
Lead (ASTM D3335)	0.06 percent max.
Cadmium (ASTM D3335)	0.06 percent max.
Chromium (ASTM D3718)	0.00 percent
Notes:	

TABLE II - REQUIREMENTS FOR HIGH BUILD ACRYLIC COATINGS (HBAC)	
TEST	MINIMUM REQUIREMENT (AND MAXIMUM WHERE INDICATED)
(1) Properly mix and apply yellow paint at 10 mils plus or minus 2 mils DFT over a suitably sized, clean aluminum substrate (ASTM D823), and cure for a minimum of 48 hours: prepare four individual yellow samples. Expose three samples to continuous Ultraviolet (UV) light for 2500 hours, without cycles condensation, in accordance to ASTM G154: use UVA-340 lamps in the testing apparatus. Following exposure, compare the three exposed samples to the "one" non-exposed sample using SAE AMS-STD-595A colors 33538 and 33655 as visual references: evaluate exposed samples for degree of visual color loss. Yellow paint is rated as passing if each exposed sample appears equivalent to the non-exposed sample, and in addition, displays color loss no greater than SAE AMS-STD-595A color 33655.	
(2) Using double-stick, foam mounting tape (or equal) with a nominal thickness of 65 mils, apply a rectangular mold with inner dimensions of 3 in by 10 in to a clean aluminum sample approximately sized at 6 in by 12 in by 1/8 in. Do not remove the tape's plastic backing. Mix and apply excess paint into mold. Remove excess paint, by squeegee or other appropriate draw down technique, to a uniform thickness equal to the tape's height. Perform paint application and draw down within a period of no more than 60 seconds. Approximately one to two minutes following the draw down, remove tape from sample and allow coating to cure for a minimum period of one week ASTM D3924. Using a micrometer or other appropriate device, measure cured coating thickness (less sample thickness) to confirm resulting coating application was at or above 38 mils DFT. Inspect coating for visual signs of cracking and curling. Following a one week cure, the coating is rated as passing if applied greater than 38 mils DFT and visually free of both cracking and curling.	

2.2.6 Preformed Tape

Provide adherent reflectorized strip preformed tape in accordance with ASTM D4505 Retroreflectivity Level II, Class 1, 2 or 3, Skid Resistance Level B.

PART 3 EXECUTION

3.1 EXAMINATION

3.1.1 Testing for Moisture

Test the pavement surface for moisture before beginning pavement marking after each period of rainfall, fog, high humidity, or cleaning, or when the ambient temperature has fallen below the dew point. Do not commence

marking until the pavement is sufficiently dry and the pavement condition has been approved by the Contracting Officer or authorized representative.

Employ the "plastic wrap method" to test the pavement for moisture as follows: Cover the pavement with a 12 inch by 12 inch section of clear plastic wrap and seal the edges with tape. After 15 minutes, examine the plastic wrap for any visible moisture accumulation inside the plastic. Do not begin marking operations until the test can be performed with no visible moisture accumulation inside the plastic wrap. Re-test surfaces when work has been stopped due to rain.

3.1.2 Surface Preparation Demonstration

Prior to surface preparation, demonstrate the proposed procedures and equipment. Prepare areas large enough to determine cleanliness, adhesion of remaining coating and rate of cleaning. Approved demonstration area establishes the standard for the remainder of the work.

3.1.3 Test Stripe Demonstration

Prior to paint application, demonstrate test stripe application within the work area using the proposed materials and equipment. Apply separate test stripes in each of the line widths and configurations required herein using the proposed equipment. Make the test stripes long enough to determine the proper speed and operating pressures for the vehicle(s) and machinery, but not less than 50 feet long.

3.1.4 Application Rate Demonstration

During the Test Stripe Demonstration, demonstrate compliance with the application rates specified herein. Document the equipment speed and operating pressures required to meet the specified rates in each configuration of the equipment and provide a copy of the documentation to the Contracting Officer prior to proceeding with the work.

3.1.5 Level of Performance Demonstration

The Contracting Officer will be present at the application demonstrations to observe the results obtained and to validate the operating parameters of the vehicle(s) and equipment. If accepted by the Contracting Officer, the test stripe is the measure of performance required for this project. Do not proceed with the work until the demonstration results are satisfactory to the Contracting Officer.

3.2 EXTERIOR SURFACE PREPARATION

Allow new pavement surfaces to cure for a period of not less than 30 days before application of marking materials. Thoroughly clean surfaces to be marked before application of the paint. Remove dust, dirt, and other granular surface deposits by sweeping, blowing with compressed air, rinsing with water, or a combination of these methods as required.

a. For Portland Cement Concrete pavement, grinding, light shot blasting, or light scarification, to a resulting profile equal to ICRI 03732 CSP 2, CSP 3, and CSP 4, respectively, can be used in addition to water blasting on most pavements, to either remove existing coatings, or for surface preparation.

b. Do not use shot blasting on airfield pavements due to the potential of

Foreign Object Damage (FOD) to aircraft. Scrub affected areas, where oil or grease is present on old pavements to be marked, with several applications of trisodium phosphate solution or other approved detergent or degreaser and rinse thoroughly after each application. After cleaning oil-soaked areas, seal with shellac or primer recommended by the manufacturer to prevent bleeding through the new paint. Do not commence painting in any area until pavement surfaces are dry and clean.

3.2.1 Early Painting of Rigid Pavements

Pretreat rigid pavements that require early painting with an aqueous solution containing 3 percent phosphoric acid and 2 percent zinc chloride. Apply the solution to the areas to be marked.

3.2.2 Early Painting of Asphalt Pavements

For asphalt pavement systems requiring painting application at less than 30 days, apply the paint and beads at half the normal application rate, followed by a second application at the normal rate after 30 days.

3.3 APPLICATION

Apply pavement markings to dry pavements only.

3.3.1 Paint

Apply paint pneumatically with approved equipment at rate of coverage specified herein. Provide guidelines and templates as necessary to control paint application. Take special precautions in marking numbers, letters, and symbols. Manually paint numbers, letters, and symbols. Sharply outline all edges of markings. The maximum drying time requirements of the paint specifications will be strictly enforced, to prevent undue softening of bitumen, and pickup, displacement, or discoloration by tires of traffic. If there is a deficiency in drying of the markings, painting operations must cease until the cause of the slow drying is determined and corrected.

3.3.1.1 Waterborne Paint

3.3.1.1.1 Roads

Apply paint at a rate of 105 plus or minus 5 square feet per gallon.

3.3.1.2 Solventborne Paint

Apply paint at a minimum wet film thickness of 15 mils.

3.3.1.3 Epoxy Paint

Apply paint evenly to the pavement surface at a wet film thickness of 20 mils plus or minus 1 mil to cover 80 plus or minus 4 square feet per gallon. Apply glass spheres uniformly to the wet paint on road and street pavement at a rate of 7 plus or minus 0.5 pounds of glass spheres per gallon.

3.3.2 Thermoplastic Compound

Place thermoplastic pavement markings, free from dirt or tint, upon dry pavement. The temperature must be a minimum of 40 degrees F and rising at the time of installation. Apply all centerline, skipline, edgeline, and

other longitudinal type markings with a mobile applicator. Place all special markings, crosswalks, stop bars, legends, arrows, and similar patterns with a portable applicator, using the extrusion method.

3.3.3 Cleanup and Waste Disposal

Keep the worksite clean and free of debris and waste from the removal and application operations. Dispose of debris at approved sites.

3.4 FIELD QUALITY CONTROL

3.4.1 Sampling and Testing

As soon as the paint and thermoplastic materials are available for sampling, obtain by random selection from the sealed containers, two quart samples of each batch in the presence of the Contracting Officer. Accomplish adequate mixing prior to sampling to ensure a uniform, representative sample. A batch is defined as that quantity of material processed by the manufacturer at one time and identified by number on the label. Clearly identify samples by designated name, specification number, batch number, project contract number, intended use, and quantity involved.

3.4.2 Material Inspection

Examine material at the job site to determine that it is the material referenced in the report of test results or certificate of compliance.Provide test results substantiating conformance to the specified requirements with each certificate of compliance.

3.4.3 Dimensional Tolerances

Apply all markings in the standard dimensions provide in the drawings. New markings may deviate a maximum of 10 percent larger than the standard dimension. The maximum deviation allowed when painting over an old marking is up to 20 percent larger than the standard dimensions.

3.4.4 Bond Failure Verification

Inspect newly applied markings for signs of bond failure based on visual inspection and comparison to results from Test Stripe Demonstration paragraph.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 32 92 19

SEEDING
10/06

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C 602	(2007) Agricultural Liming Materials
ASTM D 4427	(2007) Peat Samples by Laboratory Testing
ASTM D 4972	(2001; R 2007) pH of Soils

U.S. DEPARTMENT OF AGRICULTURE (USDA)

AMS Seed Act	(1940; R 1988; R 1998) Federal Seed Act
DOA SSIR 42	(1996) Soil Survey Investigation Report No. 42, Soil Survey Laboratory Methods Manual, Version 3.0

1.2 DEFINITIONS

1.2.1 Stand of Turf

95 percent ground cover of the established species.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. The following shall be submitted in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data
Wood cellulose fiber mulch; G;
Fertilizer; G;
Include physical characteristics, and recommendations.

SD-06 Test Reports
Topsoil composition tests; G;
(reports and recommendations).

SD-07 Certificates
State certification and approval for seed; G;

SD-08 Manufacturer's Instructions
Erosion Control Materials; G;

1.4 DELIVERY, STORAGE, AND HANDLING

1.4.1 Delivery

1.4.1.1 Seed Protection

Protect from drying out and from contamination during delivery, on-site storage, and handling.

1.4.1.2 Fertilizer Gypsum Sulfur Iron and Lime Delivery

Deliver to the site in original, unopened containers bearing manufacturer's chemical analysis, name, trade name, trademark, and indication of conformance to state and federal laws. Instead of containers, fertilizer gypsum sulphur iron and lime may be furnished in bulk with certificate indicating the above information.

1.4.2 Storage

1.4.2.1 Seed, Fertilizer Gypsum Sulfur Iron and Lime Storage

Store in cool, dry locations away from contaminants.

1.4.2.2 Topsoil

Prior to stockpiling topsoil, treat growing vegetation with application of appropriate specified non-selective herbicide. Clear and grub existing vegetation three to four weeks prior to stockpiling topsoil.

1.4.2.3 Handling

Do not drop or dump materials from vehicles.

1.5 TIME RESTRICTIONS AND PLANTING CONDITIONS

1.5.1 Restrictions

Do not plant when the ground is frozen, snow covered, muddy, or when air temperature exceeds 90 degrees Fahrenheit.

1.6 TIME LIMITATIONS

1.6.1 Seed

Apply seed within twenty four hours after seed bed preparation.

PART 2 PRODUCTS

2.1 SEED

2.1.1 Classification

Provide State-certified seed of the latest season's crop delivered in original sealed packages, bearing producer's guaranteed analysis for percentages of mixtures, purity, germination, weedseed content, and inert material. Label in conformance with AMS Seed Act and applicable state seed laws. Wet, moldy, or otherwise damaged seed will be rejected. Field mixes will be acceptable when field mix is performed on site in the presence of the Contracting Officer.

2.1.2 Planting Dates

Planting Season	Planting Dates
Spring	March 15 to June 15
Fall	August 15 to October 15

2.1.3 Seed Mixture by Weight

Planting Season	Variety	Percent (by Weight)
All	Tall Fescue (turf type)	70%
	Kentucky Bluegrass	15%
	Creeping Red Fescue	15%

Proportion seed mixtures by weight. Temporary seeding must later be replaced by plantings for a permanent stand of grass.

2.2 TOPSOIL

2.2.1 On-Site Topsoil

Surface soil stripped and stockpiled on site and modified as necessary to meet the requirements specified for topsoil in paragraph entitled "Composition." When available topsoil shall be existing surface soil stripped and stockpiled on-site in accordance with Section 31 00 00 EARTHWORK.

2.2.2 Off-Site Topsoil

Conform to requirements specified in paragraph entitled "Composition." Additional topsoil shall be furnished by the Contractor.

2.2.3 Composition

Containing from 5 to 10 percent organic matter as determined by the topsoil composition tests of the Organic Carbon, 6A, Chemical Analysis Method described in DOA SSIR 42. Maximum particle size, 3/4 inch, with maximum 3 percent retained on 1/4 inch screen. The pH shall be tested in accordance with ASTM D 4972. Topsoil shall be free of sticks, stones, roots, and other debris and objectionable materials. Other components shall conform to the following limits:

Silt	10-30 percent
Clay	5-15 percent
Sand	60-70 percent
pH	6 to 7
Soluble Salts	200 ppm maximum

2.3 SOIL CONDITIONERS

Add conditioners to topsoil as required to bring into compliance with "composition" standard for topsoil as specified herein.

2.3.1 Lime

Commercial grade hydrate or burnt limestone containing a calcium carbonate

equivalent (C.C.E.) as specified in ASTM C 602 of not less than 80 percent.

2.3.2 Aluminum Sulfate

Commercial grade.

2.3.3 Sulfur

100 percent elemental

2.3.4 Iron

100 percent elemental

2.3.5 Peat

Natural product of peat moss derived from a freshwater site and conforming to ASTM D 4427. Shred and granulate peat to pass a 1/2 inch mesh screen and condition in storage pile for minimum 6 months after excavation.

2.3.6 Sand

Clean and free of materials harmful to plants.

2.3.7 Perlite

Horticultural grade.

2.3.8 Composted Derivatives

Ground bark, nitrolized sawdust, humus or other green wood waste material free of stones, sticks, and soil stabilized with nitrogen and having the following properties:

2.3.8.1 Particle Size

Minimum percent by weight passing:

No. 4 mesh screen	95
No. 8 mesh screen	80

2.3.8.2 Nitrogen Content

Minimum percent based on dry weight:

Fir Sawdust	0.7
Fir or Pine Bark	1.0

2.3.9 Gypsum

Coarsely ground gypsum comprised of calcium sulfate dihydrate 61 percent, calcium 22 percent, sulfur 17 percent; minimum 96 percent passing through 20 mesh screen, 100 percent passing thru 16 mesh screen.

2.3.10 Calcined Clay

Calcined clay shall be granular particles produced from montmorillonite clay calcined to a minimum temperature of 1200 degrees F. Gradation: A minimum 90 percent shall pass a No. 8 sieve; a minimum 99 percent shall be

retained on a No. 60 sieve; and a maximum 2 percent shall pass a No. 100 sieve. Bulk density: A maximum 40 pounds per cubic foot.

2.4 FERTILIZER

2.4.1 Hydroseeding Fertilizer

Controlled release fertilizer, to use with hydroseeding and composed of pills coated with plastic resin to provide a continuous release of nutrients for at least 6 months and containing the minimum percentages, by weight, of plant food nutrients as determined by laboratory soil analysis of the soils at the job site.

2.5 MULCH

Mulch shall be free from noxious weeds, mold, and other deleterious materials.

2.5.1 Straw

Stalks from oats, wheat, rye, barley, or rice. Furnish in air-dry condition and of proper consistency for placing with commercial mulch blowing equipment. Straw shall contrain no fertile seed.

2.5.2 Hay

Air-dry condition and of proper consistency for placing with commercial mulch blowing equipment. Hay shall be sterile, containing no fertile seed.

2.5.3 Wood Cellulose Fiber Mulch

Use recovered materials of either paper-based (100 percent) or wood-based (100 percent) hydraulic mulch. Processed to contain no growth or germination-inhibiting factors and dyed an appropriate color to facilitate visual metering of materials application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 5.5 to 8.2. Use with hydraulic application of grass seed and fertilizer.

2.6 WATER

Source of water shall be approved by Contracting Officer and of suitable quality for irrigation, containing no elements toxic to plant life.

2.7 EROSION CONTROL MATERIALS

Erosion control material shall conform to the following:

2.7.1 Erosion Control Blanket

100 percent agricultural straw stitched with a degradable nettings, designed to degrade within 18 months.

2.7.2 Erosion Control Fabric

Fabric shall be knitted construction of polypropylene yarn with uniform mesh openings 3/4 to 1 inch square with strips of biodegradable paper. Filler paper strips shall have a minimum life of 6 months.

2.7.3 Erosion Control Net

Net shall be heavy, twisted jute mesh, weighing approximately 1.22 pounds per linear yard and 4 feet wide with mesh openings of approximately 1 inch square.

2.7.4 Hydrophilic Colloids

Hydrophilic colloids shall be physiologically harmless to plant and animal life without phytotoxic agents. Colloids shall be naturally occurring, silicate powder based, and shall form a water insoluble membrane after curing. Colloids shall resist mold growth.

2.7.5 Erosion Control Material Anchors

Erosion control anchors shall be as recommended by the manufacturer.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 EXTENT OF WORK

Provide soil preparation (including soil conditioners as required), fertilizing, seeding, and surface topdressing of all newly graded finished earth surfaces, unless indicated otherwise, and at all areas inside or outside the limits of construction that are disturbed by the Contractor's operations.

3.1.1.1 Topsoil

Provide 6 inches of topsoil to meet indicated finish grade. After areas have been brought to indicated finish grade, incorporate fertilizer, pH adjusters, and soil conditioners into soil a minimum depth of 6 inches by disking, harrowing, tilling or other method approved by the Contracting Officer. Remove debris and stones larger than 1/2 inch in any dimension remaining on the surface after finish grading. Correct irregularities in finish surfaces to eliminate depressions. Protect finished topsoil areas from damage by vehicular or pedestrian traffic.

3.1.1.2 Soil Conditioner Application Rates

Apply soil conditioners at rates as determined by laboratory soil analysis of the soils at the job site.

3.1.1.3 Fertilizer Application Rates

Apply fertilizer at rates as determined by laboratory soil analysis of the soils at the job site.

3.2 SEEDING

3.2.1 Seed Application Seasons and Conditions

Immediately before seeding, restore soil to proper grade. Do not seed when ground is muddy frozen snow covered or in an unsatisfactory condition for seeding. If special conditions exist that may warrant a variance in the above seeding dates or conditions, submit a written request to the Contracting Officer stating the special conditions and proposed variance.

Apply seed within twenty four hours after seedbed preparation. Sow seed by approved sowing equipment. Sow one-half the seed in one direction, and sow remainder at right angles to the first sowing.

3.2.2 Seed Application Method

Seeding method shall be hydroseeding for areas greater than 1,000 square feet. Smaller areas may be broadcasted and drop seeded.

3.2.2.1 Hydroseeding

First, mix water and fiber. Wood cellulose fiber, paper fiber, or recycled paper shall be applied as part of the hydroseeding operation. Fiber shall be added at 1,000 pounds, dry weight, per acre. Then add and mix seed and fertilizer to produce a homogeneous slurry. Seed shall be mixed to ensure broadcasting at the rate of 5-8 pounds minimum per 1000 square feet, or greater as required based on site conditions and topography. When hydraulically sprayed on the ground, material shall form a blotter like cover impregnated uniformly with grass seed. Spread with one application with no second application of mulch.

3.2.3 Mulching

3.2.3.1 Hay or Straw Mulch

Hay or straw mulch shall be spread uniformly at the rate of 2 tons per acre. Mulch shall be spread by hand, blower-type mulch spreader, or other approved method. Mulching shall be started on the windward side of relatively flat areas or on the upper part of steep slopes, and continued uniformly until the area is covered. The mulch shall not be bunched or clumped. Sunlight shall not be completely excluded from penetrating to the ground surface. All areas installed with seed shall be mulched on the same day as the seeding. Mulch shall be anchored immediately following spreading.

3.2.3.2 Non-Asphaltic Tackifier

Hydrophilic colloid shall be applied at the rate recommended by the manufacturer, using hydraulic equipment suitable for thoroughly mixing with water. A uniform mixture shall be applied over the area.

3.2.4 Erosion Control Material

Install in accordance with manufacturer's instructions, where indicated or as directed by the Contracting Officer. Provide erosion control blankets on seeded areas sloped 4:1 or greater.

3.2.5 Watering

Start watering areas seeded as required by temperature and wind conditions. Apply water at a rate sufficient to insure thorough wetting of soil to a depth of 2 inches without run off. During the germination process, seed is to be kept actively growing and not allowed to dry out.

3.3 PROTECTION OF TURF AREAS

Immediately after turfing, protect area against traffic and other use.

3.4 RESTORATION

Restore to original condition existing turf areas which have been damaged during turf installation operations at the Contractor's expense. Keep clean at all times at least one paved pedestrian access route and one paved vehicular access route to each building. Clean other paving when work in adjacent areas is complete.

-- End of Section --

Soil Management Plan (SMP) Version 2 FInal
Naval station Newport, Newport, Rhode Island
Effective February 4, 2026

SMP Version 2 replaces the original SMP implemented in June 2010. The purpose of the updated SMP is to incorporate the new arsenic standards. The arsenic Direct Exposure Criteria for soil has increased from 7 to 19 ppm for both residential and commercial use.

This Soil Management Plan (SMP) has been prepared to establish procedures to manage disturbed or excavated soil at Naval Station Newport and Fort Adams. This includes soil from work, such as, construction, demolition or maintenance activities as well as soils believed to be clean fill brought on Navy property from outside sites. Additional requirements not covered by the SMP may be necessary to manage soils on Navy Environmental Restoration (ER) sites, and soils with known contamination, such as PCBs, PFAS and asbestos.

Background

NAVSTA Newport occupies land along a 6-mile stretch of shoreline on the west side of Aquidneck Island, within the City of Newport and Towns of Middletown and Portsmouth, all of which lie in Newport County, Rhode Island. It also owns property on Gould Island in Jamestown and at Fort Adams in Newport. NAVSTA property in Rhode Island can be divided into eight areas (From north to south these areas are (1) Melville North (MELN), Melville South (MELS), Midway (MID), and Defense Highway; (2) Naval Underwater Warfare Center (NUWC) Division Newport; (3) Coddington Cove (CC); (4) Coddington Point (CP); (5) Coasters Harbor Island (CHI); (6) Naval Hospital (NH); (7) the Fort Adams Housing (FA); and (8) Gould Island. The property was established in 1869 with the construction of a torpedo station. The Naval War College was added in 1884 and the site was used for sailor training, housing, and fueling facilities during World War II. Post WWII the property footprint and training activities were reduced while research / development and training efforts were increased.

The soils on the NAVSTA Newport property may contain contaminants that exceed the Table 1 and Total Petroleum Hydrocarbon (TPH) Direct Exposure Criteria (RIDEC) and Table 2 LEACHABILITY CRITERIA in 250-RICR-140-30-1, the Rules and Regulations for the Investigation and Remediation of Hazardous Material Releases. These soils must be removed offsite for proper disposal, or covered with Department (i.e. State of Rhode Island Department of Environmental Management) approved engineered controls, consisting of building foundations, asphalt pavement, and landscaping and environmental land use controls (ELUR) in order to prevent direct exposure to regulated soils.

NAVSTA Newport (formerly the Naval Education & Training Center) is a National Priorities List (NPL) site for various areas of contamination (AsOC). These AsOC are being studied and cleaned up under the Navy's Environmental Restoration Program in

accordance with the Comprehensive Environmental Response Compensation and Liabilities Act (CERCLA). Site conditions are described in the Administrative Record at http://go.usa.gov/DyNw and in Naval Station Newport Instructions 5090.27B Land Use Restrictions for Polychlorinated Biphenyl (PCB) Releases at Naval Station Newport and 5090.15D Land Use Restrictions For Environmental Restoration Program Sites and Other Contaminated Properties.

Applicable Area

This SMP, and affiliated ELUR (when applicable), restricts the property to industrial or commercial usage except that Navy and privatized housing is restricted to residential use. See attached site figure.

Soil Management

The direct exposure pathway is the primary concern at the site. Individuals engaged in activities that disturb or contact soil are at risk of exposure to contaminants by incidental ingestion, dermal contact, or inhalation. In order to minimize the potential of exposure during all site/earth work, access must be restricted and controlled, and dust suppression (i.e. watering) techniques must be employed as necessary. Soil disturbing activities must be immediately stopped in the event that an unexpected observation or discovery occurs during site work, such as, olfactory or visual evidence of a waste material or contamination, PCB articles, rubble or asbestos debris. Workers should not attempt to handle the situation themselves and should contact the appropriate Navy authority for further direction.

NO CHANGE FROM PREVIOUS SMP

EXCESS SOILS - If excess soil is generated /excavated from NAVSTA Newport property, the soil is to remain on-site for analytical testing, to be performed by an environmental professional, in order to determine the appropriate disposal and/or management options. The soil must be placed on and covered with polyethylene/plastic sheeting during the entire duration of its staging and secured with appropriate controls to limit the loss of the cover and protect it against storm-water and/or wind erosion (i.e. hay bales, silt fencing, rocks, etc.).

STAGING - Excavated soils will be staged and temporarily stored in a designated area of the property approved by the Real Property Management Branch of the Public Works Department. The storage location will be selected to limit the unauthorized access to the materials (i.e. away from public roadways/walkways). No petroleum contaminated soil will be stockpiled on-site for greater than 30 days without prior Navy approval. No soil characterized as a hazardous waste will be stockpiled on-site for greater than 90 days without prior Navy approval. No soil of any kind will be stockpiled on-site for greater than 180 days without prior Navy approval. Best management practices for soil should be employed at all times. Regulated soils should be segregated into separate piles (or cells or containers) as appropriate based upon the results of analytical testing and the planned reuse options (i.e. reuse onsite, reuse at industrial/commercial property, or disposal at an approved licensed facility).

RESIDENTIAL REUSE PROHIBITION - Soils excavated from all areas of NAVSTA Newport shall not be reused as fill at offsite residential property. Soils excavated from commercial/industrial areas of NAVSTA Newport may not be reused as fill at onsite residential property (Navy or privatized housing).

COMMERCIAL AND INDUSTRIAL REUSE - Excavated fill material will not be reused as fill on commercial or industrial offsite properties unless it meets the Table 1 and TPH residential RIDEC and the Table 2 GA Leachability Criteria for all constituents in 250-RICR-140-30-1, the Rules and Regulations for the Investigation and Remediation of Hazardous Material Releases. Excavated fill material will not be reused as fill on commercial or industrial onsite properties unless it meets the Table 1 and TPH commercial / industrial RIDEC and the Table 2 GB Leachability Criteria for all constituents in 250-RICR-140-30-1, the Rules and Regulations for the Investigation and Remediation of Hazardous Material Releases. Excavated soil to be reused at commercial or industrial properties must be sampled and analyzed, by a qualified environmental professional, at a frequency of one 10-point composite sample per 500 tons or 375 cubic yards for all constituents in Tables 1 and TPH and Table 2. Copies of the laboratory analysis results shall be maintained by the Navy Environmental Office. In the event that the soil does not meet any of these criteria, the material must be properly managed and disposed of offsite at a licensed facility.

DE MINIMUS ACTIVITIES - Disturbed or excavated soil in amounts less than 50 tons or 38 cubic yards shall be exempt from the requirements in the above paragraph entitled, “COMMERCIAL AND INDUSTRIAL REUSE” pertaining only to reuse at NAVSTA Newport. For these de minimus activities, excavations can be backfilled with the soils that were removed.

OFFSITE DISPOSAL - Onsite soils, which are to be disposed of offsite and not reused offsite, must be done so at a licensed solid waste disposal facility in accordance with all local, state, and federal laws. Copies of the material shipping records associated with the disposal of the material shall be maintained by the Navy Environmental Office.

EXPOSED SOILS IN COMMERCIAL AND INDUSTRIAL AREAS OF NAVSTA NEWPORT- At the completion of site work, all exposed soils that remain on the site (i.e. have not been removed to licensed disposal facility or do not meet the Table 1 and TPH **Commercial/Industrial** RIDEC and the Table 2 **GB** Leachability Criteria) are required to be capped with the following engineering controls: 6 inches of clean fill; the building foundations; 2 inches of pavement/concrete underlain with 4 inches of gravel ; or 4 inches of clean fill underlain with a geotextile liner with a minimum pound puncture strength of 120 pounds and burst strength of 400 psi.

EXPOSED SOILS IN RESIDENTIAL AND RECREATIONAL AREAS OF NAVSTA NEWPORT- At the completion of site work, all exposed soils that remain on the site (i.e. have not been removed to licensed disposal facility or do not meet the Table 1 and TPH **Residential** RIDEC and the Table 2 **GB** Leachability Criteria) are

required to be capped with the following engineering controls: 6 inches of clean fill; the building foundations; 2 inches of pavement/concrete underlain with 4 inches of gravel ; or 4 inches of clean fill underlain with a geotextile liner with a minimum pound puncture strength of 120 pounds and burst strength of 400 psi.

CLEAN FILL - Any clean fill material brought onsite is required to meet the Table 1 and TPH Residential Direct Exposure Criteria and Table 2 GA Leachability Criteria or be designated by an Environmental Professional as Non-Jurisdictional under 250-RICR-140-30-1, the Rules and Regulations for the Investigation and Remediation of Hazardous Material Releases. If multiple borrow pits are involved, each source shall be sampled and tested. The Navy's Environmental Office shall review the certificate of analysis report and/or the Environmental Professional's designation and approve the material as clean fill prior to it being brought on NAVSTA Newport property. Copies of the laboratory analysis results shall be maintained by the Navy Environmental Office.

DECONTAMINATION - All non-disposable equipment used during the soil disturbance activities will be properly decontaminated as appropriate prior to removal from the site. All disposable equipment used during the soil disturbance activities will be properly containerized and disposed of following completion of the work. All vehicles utilized during the work shall be properly decontaminated as appropriate prior to leaving the site.

Worker Health and Safety

To ensure the health and safety of on-site workers, persons involved in the excavation and handling of the material on site are required to wear a minimum of Level D personal protection equipment, including gloves, work boots and eye protection. Workers are also required to wash their hands with soap and water prior to eating, drinking, smoking, or leaving the site.

Engineering Controls

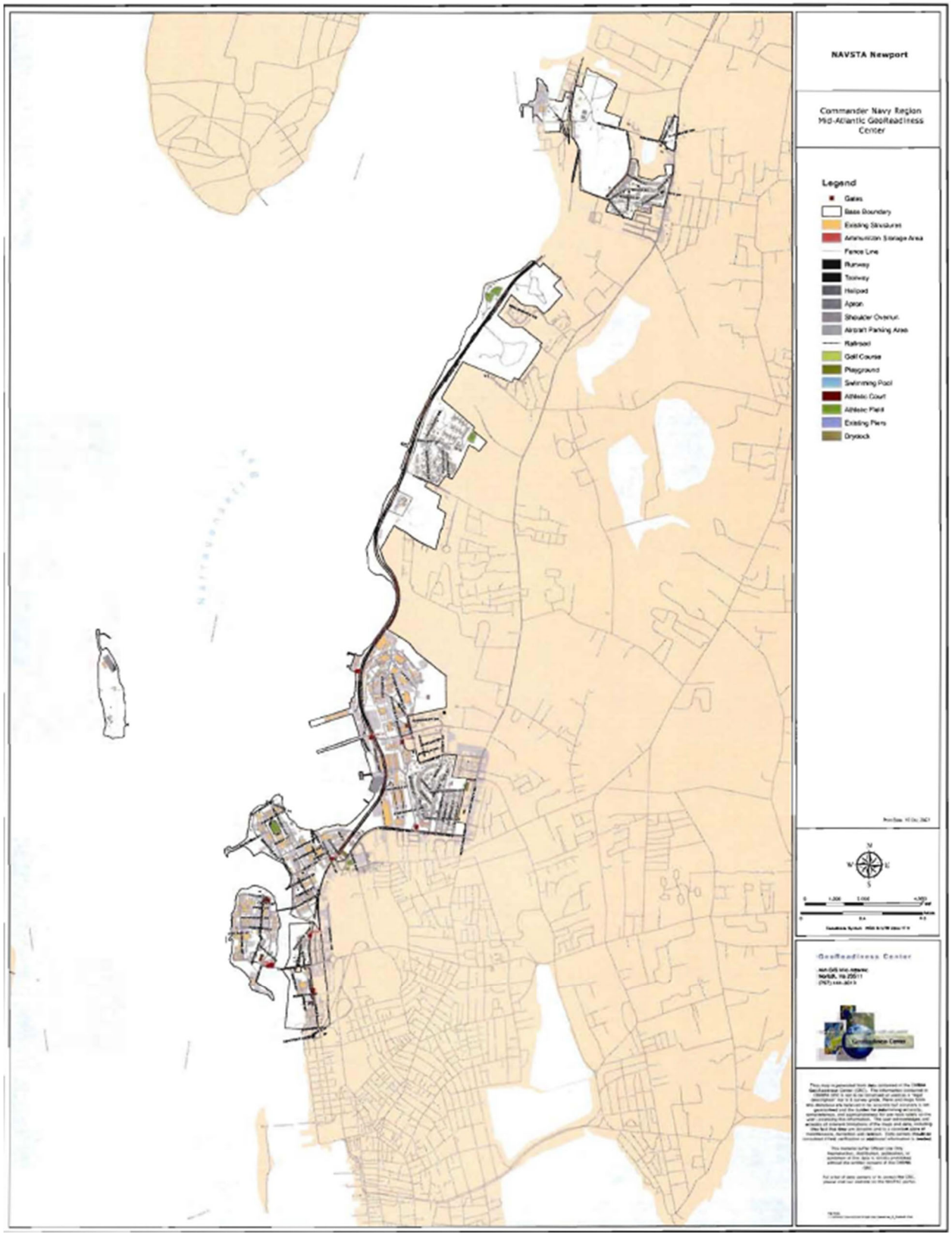
No soil at the property is to be disturbed after an engineering control has been implemented without prior approval from of the Navy's Environmental Office, except for minor inspections, maintenance, and landscaping activities that do not disturb the contaminated soil below the engineering control.

February 4, 2025

Signature | Date

David Dorocz, P.E.
Installation Environmental Program Director
Naval Station Newport

Site Figure


NAVSTA Newport
Commander Navy Region
Mid-Atlantic GeoReadiness
Center
Legend
Gates
Base Boundary
Existing Structures
Ammunition Storage Area
Fence Line
Runway
Taxiway
Helipad
Apron
Shoulder Overrun
Aircraft Parking Area
Railroad
Golf Course
Playground
Swimming Pool
Athletic Court
Athletic Field
Existing Piers
Drydock
N
W
E
S
GeoReadiness Center