Type B-3
Volume 3 – CD Specifications (1 of 2)

Construct Alert GOV Parking Shelters

Wisconsin Air National Guard 115th Fighter Wing

Dane County Regional Airport
Truax Field Air National Guard Base
Madison, WI

NGB Contract: W9133L-16-D-0005
Task Order: W50S9F-20-F-0002
WI ANG PN: XGFG182006

June 30, 2022

FSB
FEDERAL DESIGN
GROUP JV

SEALS PAGE

DESIGN PROFESSIONALS OF RECORD (DPOR)

A. Architect:

1. Jason Holuby, AIA, LEED AP BD+C
2. Responsible for Division 01 (Portion of Specification 01 57 19), U.N.O Herein; Divisions 06, 07, 08, 09, and 10; and Specification 02 41 00
3. E-mail: jholuby@fsb-ae.com
4. Ph: 405.840.2931

B. Civil:

1. Lindsay Hausman, P.E.
2. Responsible for Divisions 31, 32, and 33
3. E-mail: lhausman@hanson-inc.com
4. Ph: 217.747.9314

C. Structural:

1. Gene Brown, P.E., LEED AP
2. Responsible for Divisions 03, 04 and 05
3. E-mail: gbrown@fsb-ae.com
4. Ph: 405.840.2931

D. Electrical:

1. John T. Little, P.E.
2. Responsible for Division 26
3. E-mail: jlittle@fsb-ae.com
4. Ph: 405.840.2931

E. Environmental:

1. Lisa Fleming, PE
2. Responsible for Portion of Specification 01 57 19; and Specification 02 61 13, 02 81 00, 02 84 16, and 02 84 33
3. E-mail: flemingl@ayresassociates.com
4. Ph: 715.834.3161

PROJECT TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

01 11 00 SUMMARY OF WORK
01 14 00 WORK RESTRICTIONS
01 20 00 PRICE AND PAYMENT PROCEDURES
01 30 00 ADMINISTRATIVE REQUIREMENTS
01 32 01.00 10 PROJECT SCHEDULE
01 33 00 SUBMITTAL PROCEDURES
01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS
01 42 00 SOURCES FOR REFERENCE PUBLICATIONS
01 45 00.00 10 QUALITY CONTROL
01 50 00 TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS
01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
01 78 00 CLOSEOUT SUBMITTALS
01 78 23 OPERATION AND MAINTENANCE DATA
01 91 00.15 10 TOTAL BUILDING COMMISSIONING

DIVISION 02 - EXISTING CONDITIONS

02 41 00 DEMOLITION AND DECONSTRUCTION
02 61 13 EXCAVATION AND HANDLING OF CONTAMINATED MATERIAL
02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS
02 84 16 HANDLING OF LIGHTING BALLASTS AND LAMPS CONTAINING PCBs AND MERCURY
02 84 33 REMOVAL AND DISPOSAL OF POLYCHLORINATED BIPHENYLS (PCBs)

DIVISION 03 - CONCRETE

03 30 00 CAST-IN-PLACE CONCRETE

DIVISION 04 - MASONRY

04 20 00 UNIT MASONRY

DIVISION 05 - METALS

05 30 00 STEEL DECKS
05 40 00 COLD-FORMED METAL FRAMING
05 50 13 MISCELLANEOUS METAL FABRICATIONS

DIVISION 06 - WOOD, PLASTICS, AND COMPOSITES

06 10 00 ROUGH CARPENTRY

DIVISION 07 - THERMAL AND MOISTURE PROTECTION

07 21 16 MINERAL FIBER BLANKET INSULATION
07 22 00 ROOF AND DECK INSULATION
07 42 13 METAL WALL PANELS
07 42 63 FABRICATED WALL PANEL ASSEMBLIES
07 60 00 FLASHING AND SHEET METAL
07 61 14.00 20 STEEL STANDING SEAM ROOFING
07 92 00 JOINT SEALANTS

DIVISION 08 - OPENINGS

08 11 13 STEEL DOORS AND FRAMES
08 33 23 OVERHEAD COILING DOORS
08 71 00 DOOR HARDWARE

DIVISION 09 - FINISHES

09 06 00 SCHEDULES FOR FINISHES
09 90 00 PAINTS AND COATINGS

DIVISION 10 - SPECIALTIES

10 53 00 MANUFACTURED CANOPY

DIVISION 26 - ELECTRICAL

26 05 00.00 40 COMMON WORK RESULTS FOR ELECTRICAL
26 05 26.00 40 GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS
26 05 73.19 ARC FLASH HAZARD ANALYSIS
26 20 00 INTERIOR DISTRIBUTION SYSTEM
26 28 01.00 10 COORDINATED POWER SYSTEM PROTECTION
26 51 00 INTERIOR LIGHTING
26 56 00 EXTERIOR LIGHTING

DIVISION 31 - EARTHWORK

31 00 00 EARTHWORK

DIVISION 32 - EXTERIOR IMPROVEMENTS

32 11 20 BASE COURSE FOR SIDEWALK AND SUBBASES FOR FLEXIBLE PAVING
32 12 13 BITUMINOUS TACK AND PRIME COATS
32 12 16.16 ROAD-MIX ASPHALT PAVING
32 16 19 CONCRETE CURBS, GUTTERS AND SIDEWALKS
32 17 23 PAVEMENT MARKINGS
32 92 19 SEEDING

DIVISION 33 - UTILITIES

33 40 00 STORM DRAINAGE UTILITIES

-- End of Project Table of Contents --

SECTION 01 11 00

SUMMARY OF WORK
08/15

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals
Salvage Plan; G

1.2 WORK COVERED BY CONTRACT DOCUMENTS

1.2.1 Project Description

The project is associated with the Ready Alert Mission of the Wisconsin Air National Guard. The Ready Alert Mission is a 24/7 mission requiring continuous operation. The work includes the removal of one (1) existing, Alert Parking Shelter and constructing two (2) new parking shelters: one (1) Alert Parking Shelter to house Pilot Transport Vehicles; and one (1) Maintenance Parking Shelter to house maintenance vehicles, miscellaneous Ground Service Equipment, and snow-removal equipment. The work also includes providing new electrical systems; new exterior and interior lighting; and the addition of two (2) motorized lifts in the Maintenance Parking Shelter for the storage of snow-removal equipment. Site work includes the removal and replacement of existing vehicular pavements in the area of the Alert Parking Shelters; modification of the existing stormwater system at the north end of the area of work; abandonment of an existing inlet structure; the addition of a new stormwater inlet structure; the addition of new sidewalk to serve the new Alert Parking Shelter; all associated earthwork and site improvements to support F-35 mission-specific operations of the facility; and incidental related work.

1.2.2 Location

The work is located at the Truax Field Air National Guard Base, Madison, Wisconsin, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.2.3 Contract Documents

These contract documents (specifications and drawings) have been created to illustrate the project's scope and to describe the design intent. These contract documents are not intended to be complete, shop drawing level documents, which will be prepared by the Contractor based upon the final materials, products and equipment selected by the contractor. The Contractor is required to provide the expertise to successfully coordinate and execute the work, including as follows:

a. Means and methods of construction are the sole domain and exclusive responsibility of the Contractor. Materials, products and equipment are indicated as generically as practical when/where sole-sourcing

such items is not permitted by the Contracting Officer. Contractor coordination is required based upon the final materials, products and equipment selected by the contractor, even when the basis of design material, product or equipment is selected for use. Contractor shall fully coordinate and modify, as required and at no additional cost or time to the Government or Architect/Engineer of Record, all dimensions, clearances, arrangements, assemblies, programming/controls, etc. which may vary based upon the final materials, products or equipment selected by the Contractor.

b. Building systems, components and functionality indicated in these contract documents are included where specific project requirements shall be adhered to in the construction of this project. Not all components, wiring, conduit, mountings, bracing, peripheral items, programming, controls, etc. are shown or indicated. In cases where no specific project requirements are indicated in the contract documents or where variations exist in the materials, products or equipment selected by the Contractor, these are delegated designs and the Contractor is responsible for providing a complete and usable building system, component and/or functionality.

1.3 OCCUPANCY OF PREMISES

Building(s) will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways.

1.4 OCCUPANCY OF PREMISES

Adjacent building(s) will be occupied during performance of work under this Contract.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways which does not restrict use of adjacent buildings.

1.5 EXISTING WORK

In addition to FAR 52.236-9 Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements:

a. Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remains.

b. Repair or replace portions of existing work whch have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to, or better than, that which existed before new work started.

1.6 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with Installation requirements for locating and marking underground utilities.

Contact local utility locating service a minimum of 7 calendar days prior to excavating, to mark utilities, and within sufficient time required if work occurs on a Monday or after a Holiday. Provide a mininum of 7 calendar days for installation personnel to mark private utility and communication lines. Verify existing utility locations indicated on contract drawings, within area of work.

1.6.1 Notification Prior to Excavation

Notify the Contracting Officer at least 15 days prior to starting excavation work.

1.7 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, and store the salvaged property at the construction site until disposition by the Contracting Officer.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Use a system of property control that is approved by the Contracting Officer. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 14 00

WORK RESTRICTIONS
11/11, CHG 12: 05/21

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel

1.2 SPECIAL SCHEDULING REQUIREMENTS

a. The project is associated with the Ready Alert Mission of the Wisconsin Air National Guard. The Ready Alert Mission is a 24/7 mission requiring continuous operation and intermittent, concurrent nighttime flight operations. The Contractor must coordinate the project construction schedule with the Ready Alert Mission through the Contracting Officer and the Contracting Officer's Representative, and must deconflict construction schedule with nighttime flight operations.

b. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Mark Contractor equipment for identification.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.1.1 Employee List

The Contractor must provide to the Contracting Officer, in writing, the names of two designated representatives authorized to request personnel and vehicle passes for employees and subcontractor's employees prior to commencement of work under this contract.

1.3.1.2 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Working Hours

Regular working hours will consist of a 9 hour period established by the Contracting Officer, between 0730 and 1630, Monday through Friday, excluding Government holidays.

1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 15 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays unless directed otherwise.

1.3.4 Utility Cutovers and Interruptions

a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.

b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.

c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, and data/communications are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS. Such interruptions are further limited to 8 hours. This time limit includes time for deactivation and reactivation.

d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services. The Government will operate the control devices as required for normal conduct of the work. The Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES
11/20

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

1.2 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.2.1 Submission of Invoices

If DFARS Clause 252.232-7006 Wide Area WorkFlow Payment Instructions is included in the Contract, provide the documents listed in above paragraph CONTENT OF INVOICE in their entirety as attachments in Wide Area Work Flow (WAWF) for each invoice submitted. The maximum size of each WAWF attachment is two megabytes, but there are no limits on the number of attachments. If a document cannot be attached in WAWF due to system or size restriction, provide it as instructed by the Contracting Officer.

1.2.2 Final Invoice

a. A final invoice must be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release must contain the corporate seal. An officer of the corporation must sign and the corporate secretary must certify the Final Release.

b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.

c. Final invoices not accompanied by the Contractor's Final Release and required certification of Transportation of Supplies by Sea will be considered incomplete and will be returned to the Contractor.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS
11/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map

Progress and Completion Pictures

SD-04 Samples

Color Boards; G

1.3 COLOR BOARDS

Submit five sets of color boards within 90 calendar days after Contract Award. Each set of boards must include samples of colors and finishes of interior surfaces, such as walls, floors, and ceilings. Present the samples on 8 by 10-1/2 inches boards (modules) with a maximum spread of 24 by 31-1/2 inches for foldouts. Design modules to fit in a standard loose-leaf, three-ring binder. Where special finishes such as architectural concrete, carpet, or prefinished textured metal panels are required, submit samples not less than 12 inches square with the board. If more space is needed, more than one board per set may be submitted. Certify that the color samples have been reviewed in detail, and that the color samples are in strict accordance with contract drawings and specifications, except as may be otherwise explicitly stated. Submittal of color samples does not relieve the Contractor of the responsibility to submit samples required elsewhere herein.

1.4 VIEW LOCATION MAP

Submit, prior to or with the first digital photograph submittals, a sketch or drawing indicating the required photographic locations. Update as required if the locations are moved.

1.5 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Include aerial photographs. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

1.6 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by Wisconsin law.

1.7 SUPERVISION

1.7.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in type, size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and contract documents. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

1.7.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work.In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

1.7.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.7.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

1.8 PRECONSTRUCTION

Immediately after award, prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction . The must take place within 35 calendar days after award of the contract, but prior to commencement of any work at the site. The purpose of this is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, quality control, schedule of prices or earned value report, shop drawings, submittals, cybersecurity, prosecution of the work, government acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

1.8.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), Quality Control Manager and major subcontractors.

1.9 PARTNERING

Contractor shall host the partnering session within 45 calendar days of contract award. To most effectively accomplish this Contract, the Contractor and Government must form a cohesive partnership with the common goal of drawing on the strength of each organization in an effort to achieve a successful project without safety mishaps, conforming to the Contract, within budget and on schedule. The partnering team must consist of personnel from both the Government and Contractor including project level and corporate level leadership positions. Key Personnel from the supported command, end user, Base personnel, Contractor, key subcontractors and the Designer of Record are required to participate in the Partnering process.

1.10 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days of contract award. Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 32 01.00 10

PROJECT SCHEDULE
02/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AACE INTERNATIONAL (AACE)

AACE 29R-03	(2011) Forensic Schedule Analysis
AACE 52R-06	(2006) Time Impact Analysis - As Applied in Construction

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11	(1995) Administration -- Progress, Schedules, and Network Analysis Systems

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Project Scheduler Qualifications; G

Preliminary Project Schedule; G

Initial Project Schedule; G

Periodic Schedule Update; G

1.3 PROJECT SCHEDULER QUALIFICATIONS

Designate an authorized representative to be responsible for the preparation of the schedule and all required updating and production of reports. The authorized representative must have a minimum of 2-years experience scheduling construction projects similar in size and nature to this project with scheduling software that meets the requirements of this specification. Representative must have a comprehensive knowledge of CPM scheduling principles and application.

PART 2 PRODUCTS

2.1 SOFTWARE

The scheduling software utilized to produce and update the schedules

required herein must be capable of meeting all requirements of this specification.

2.1.1 Government Default Software

The Government intends to use Primavera P6.

2.1.2 Contractor Software

Scheduling software used by the contractor must be commercially available from the software vendor for purchase with vendor software support agreements available. The software routine used to create the required sdef file must be created and supported by the software manufacturer.

2.1.2.1 Primavera

If Primavera P6 is selected for use, provide the "xer" export file in a version of P6 importable by the Government system.

2.1.2.2 Other Than Primavera

If the contractor chooses software other than Primavera P6, that is compliant with this specification, provide for the Government's use two licenses, two computers, and training for two Government employees in the use of the software. These computers will be stand-alone and not connected to Government network. Computers and licenses will be returned at project completion.

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Prepare for approval a Project Schedule, as specified herein, pursuant to FAR Clause 52.236-15 Schedules for Construction Contracts. Show in the schedule the proposed sequence to perform the work and dates contemplated for starting and completing all schedule activities. The scheduling of the entire project is required. The scheduling of construction is the responsibility of the Contractor. Contractor management personnel must actively participate in its development. Subcontractors and suppliers working on the project must also contribute in developing and maintaining an accurate Project Schedule. Provide a schedule that is a forward planning as well as a project monitoring tool. Use the Critical Path Method (CPM) of network calculation to generate all Project Schedules. Prepare each Project Schedule using the Precedence Diagram Method (PDM).

3.2 BASIS FOR PAYMENT AND COST LOADING

The schedule is the basis for determining contract earnings during each update period and therefore the amount of each progress payment. The aggregate value of all activities coded to a contract CLIN must equal the value of the CLIN.

3.2.1 Activity Cost Loading

Activity cost loading must be reasonable and without front-end loading. Provide additional documentation to demonstrate reasonableness if requested by the Contracting Officer.

3.2.2 Withholdings / Payment Rejection

Failure to meet the requirements of this specification may result in the disapproval of the preliminary, initial or periodic schedule updates and subsequent rejection of payment requests until compliance is met.

In the event that the Contracting Officer directs schedule revisions and those revisions have not been included in subsequent Project Schedule revisions or updates, the Contracting Officer may withhold 10 percent of pay request amount from each payment period until such revisions to the project schedule have been made.

3.3 PROJECT SCHEDULE DETAILED REQUIREMENTS

3.3.1 Level of Detail Required

Develop the Project Schedule to the appropriate level of detail to address major milestones and to allow for satisfactory project planning and execution. Failure to develop the Project Schedule to an appropriate level of detail will result in its disapproval. The Contracting Officer will consider, but is not limited to, the following characteristics and requirements to determine appropriate level of detail:

3.3.2 Activity Durations

Reasonable activity durations are those that allow the progress of ongoing activities to be accurately determined between update periods. Less than 2 percent of all non-procurement activities may have Original Durations (OD) greater than 20 work days or 30 calendar days.

3.3.3 Procurement Activities

Include activities associated with the critical submittals and their approvals, procurement, fabrication, and delivery of long lead materials, equipment, fabricated assemblies, and supplies. Long lead procurement activities are those with an anticipated procurement sequence of over 90 calendar days.

3.3.4 Mandatory Tasks

Include the following activities/tasks in the initial project schedule and all updates.

a. Submission, review and acceptance of SD-01 Preconstruction Submittals (individual activity for each).

b. Long procurement activities

c. Submission and approval of O & M manuals.

d. Submission and approval of as-built drawings.

e. Submission and approval of DD1354 data and installed equipment lists.

f. Submission and approval of testing and air balance (TAB).

g. Submission of TAB specialist design review report.

h. Submission and approval of fire protection specialist.

i. Submission and approval of Building Commissioning Plan, test data, and reports: Develop the schedule logic associated with testing and commissioning of mechanical systems to a level of detail consistent with the contract commissioning requirements. All tasks associated with all building testing and commissioning will be completed prior to submission of building commissioning report and subsequent contract completion.

j. Air and water balancing.

k. Building commissioning - Functional Performance Testing.

l. Controls testing plan submission.

m. Controls testing.

n. Performance Verification testing.

o. Other systems testing, if required.

p. Contractor's pre-final inspection.

q. Correction of punch list from Contractor's pre-final inspection.

r. Government's pre-final inspection.

s. Correction of punch list from Government's pre-final inspection.

t. Final inspection.

3.3.5 Government Activities

Show Government and other agency activities that could impact progress. These activities include, but are not limited to: approvals, acceptance, inspections, utility tie-in, and Notice to Proceed (NTP).

3.3.6 Standard Activity Coding Dictionary

Use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11. This exact structure is mandatory. Develop and assign all Activity Codes to activities as detailed herein. A template SDEF compatible schedule backup file is available on the QCS web site: http://rms.usace.army.mil.

The SDEF format is as follows:

Field	Activity Code	Length	Description
1	WRKP	3	Workers per day
2	RESP	4	Responsible party
3	AREA	4	Area of work

Field	Activity Code	Length	Description
4	MODF	6	Modification Number
5	BIDI	6	Bid Item (CLIN)
6	PHAS	2	Phase of work
7	CATW	1	Category of work
8	FOW	20	Feature of work*

*Some systems require that FEATURE OF WORK values be placed in several activity code fields. The notation shown is for Primavera P6. Refer to the specific software guidelines with respect to the FEATURE OF WORK field requirements.

3.3.6.1 Workers Per Day (WRKP)

Assign Workers per Day for all field construction or direct work activities, if directed by the Contracting Officer. Workers per day is based on the average number of workers expected each day to perform a task for the duration of that activity.

3.3.6.2 Responsible Party Coding (RESP)

Assign responsibility code for all activities to the Prime Contractor, Subcontractor(s) or Government agency(ies) responsible for performing the activity.

a. Activities coded with a Government Responsibility code include, but are not limited to: Government approvals, Government design reviews, environmental permit approvals by State regulators, Government Furnished Property/Equipment (GFP) and Notice to Proceed (NTP) for phasing requirements.

b. Activities cannot have more than one Responsibility Code. Examples of acceptable activity code values are: DOR (for the designer of record); ELEC (for the electrical subcontractor); MECH (for the mechanical subcontractor); and GOVT (for USACE).

3.3.6.3 Area of Work Coding (AREA)

Assign Work Area code to activities based upon the work area in which the activity occurs. Define work areas based on resource constraints or space constraints that would preclude a resource, such as a particular trade or craft work crew from working in more than one work area at a time due to restraints on resources or space. Examples of Work Area Coding include different areas within a floor of a building, different floors within a building, and different buildings within a complex of buildings. Activities cannot have more than one Work Area Code.

Not all activities are required to be Work Area coded. A lack of Work Area coding indicates the activity is not resource or space constrained.

3.3.6.4 Modification Number (MODF)

Assign a Modification Number Code to any activity or sequence of activities added to the schedule as a result of a Contract Modification, when approved by Contracting Officer. Key all Code values to the Government's modification numbering system. An activity can have only one Modification Number Code.

3.3.6.5 Bid Item Coding (BIDI)

Assign a Bid Item Code to all activities using the Contract Line Item Schedule (CLIN) to which the activity belongs, even when an activity is not cost loaded. An activity can have only one BIDI Code.

3.3.6.6 Phase of Work Coding (PHAS)

Assign Phase of Work Code to all activities. Examples of phase of work are procurement phase and construction phase. Each activity can have only one Phase of Work code.

a. Code proposed fast track design and construction phases proposed to allow filtering and organizing the schedule by fast track design and construction packages.

b. If the contract specifies phasing with separately defined performance periods, identify a Phase Code to allow filtering and organizing the schedule accordingly.

3.3.6.7 Category of Work Coding (CATW)

Assign a Category of Work Code to all activities. Category of Work Codes include, but are not limited to permits, construction submittal, procurement, fabrication, weather sensitive installation, non-weather sensitive installation, start-up, and testing activities. Each activity can have no more than one Category of Work Code.

3.3.6.8 Feature of Work Coding (FOW)

Assign a Feature of Work Code to appropriate activities based on the Definable Feature of Work to which the activity belongs based on the approved QC plan.

Definable Feature of Work is defined in Section 01 45 00.00 10 QUALITY CONTROL. An activity can have only one Feature of Work Code.

3.3.7 Contract Milestones and Constraints

Milestone activities are to be used for significant project events including, but not limited to, project phasing, project start and end activities, or interim completion dates. The use of artificial float constraints such as "zero free float" or "zero total float" are prohibited.

Mandatory constraints that ignore or effect network logic are prohibited. No constrained dates are allowed in the schedule other than those specified herein. Submit additional constraints to the Contracting Officer for approval on a case by case basis.

3.3.7.1 Project Start Date Milestone and Constraint

The first activity in the project schedule must be a start milestone titled "NTP Acknowledged," which must have a "Start On" constraint date equal to the date that the NTP is acknowledged.

3.3.7.2 End Project Finish Milestone and Constraint

The last activity in the schedule must be a finish milestone titled "End Project."

Constrain the project schedule to the Contract Completion Date in such a way that if the schedule calculates an early finish, then the float calculation for "End Project" milestone reflects positive float on the longest path. If the project schedule calculates a late finish, then the "End Project" milestone float calculation reflects negative float on the longest path. The Government is under no obligation to accelerate Government activities to support a Contractor's early completion.

3.3.7.3 Interim Completion Dates and Constraints

Constrain contractually specified interim completion dates to show negative float when the calculated late finish date of the last activity in that phase is later than the specified interim completion date.

3.3.7.3.1 Start Phase

Use a start milestone as the first activity for a project phase. Call the start milestone "Start Phase X" where "X" refers to the phase of work.

3.3.7.3.2 End Phase

Use a finish milestone as the last activity for a project phase. Call the finish milestone "End Phase X" where "X" refers to the phase of work.

3.3.8 Calendars

Schedule activities on a Calendar to which the activity logically belongs. Develop calendars to accommodate any contract defined work period such as a 7-day calendar for Government Acceptance activities, concrete cure times, etc. Develop the default Calendar to match the physical work plan with non-work periods identified including weekends and holidays. Develop sSeasonal Calendar(s) and assign to seasonally affected activities as applicable.

If an activity is weather sensitive it should be assigned to a calendar showing non-work days on a monthly basis, with the non-work days selected at random across the weeks of the calendar, using the anticipated adverse weather delay work days provided in the Special Contract . Assign non-work days over a seven-day week as weather records are compiled on seven-day weeks, which may cause some of the weather related non-work days to fall on weekends.

3.3.9 Open Ended Logic

Only two open ended activities are allowed: the first activity "NTP Acknowledged" may have no predecessor logic, and the last activity -"End Project" may have no successor logic.

Predecessor open ended logic may be allowed in a time impact analyses upon the Contracting Officer's approval.

3.3.10 Default Progress Data Disallowed

Actual Start and Finish dates must not automatically update with default mechanisms included in the scheduling software. Updating of the percent complete and the remaining duration of any activity must be independent functions. Disable program features that calculate one of these parameters from the other. Activity Actual Start (AS) and Actual Finish (AF) dates assigned during the updating process must match those dates provided in the Contractor Quality Control Reports. Failure to document the AS and AF dates in the Daily Quality Control report will result in disapproval of the Contractor's schedule.

3.3.11 Out-of-Sequence Progress

Activities that have progressed before all preceding logic has been satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case basis subject to approval by the Contracting Officer. Propose logic corrections to eliminate out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule. Address out of sequence progress or logic changes in the Narrative Report and in the periodic schedule update meetings.

3.3.12 Added and Deleted Activities

Do not delete activities from the project schedule or add new activities to the schedule without approval from the Contracting Officer. Activity ID and description changes are considered new activities and cannot be changed without Contracting Officer approval.

3.3.13 Original Durations

Activity Original Durations (OD) must be reasonable to perform the work item. OD changes are prohibited unless justification is provided and approved by the Contracting Officer.

3.3.14 Leads, Lags, and Start to Finish Relationships

Lags must be reasonable as determined by the Government and not used in place of realistic original durations, must not be in place to artificially absorb float, or to replace proper schedule logic.

a. Leads (negative lags) are prohibited.

b. Start to Finish (SF) relationships are prohibited.

3.3.15 Retained Logic

Schedule calculations must retain the logic between predecessors and successors ("retained logic" mode) even when the successor activity(s) starts and the predecessor activity(s) has not finished (out-of-sequence progress). Software features that in effect sever the tie between predecessor and successor activities when the successor has started and the predecessor logic is not satisfied ("progress override") are not be allowed.

3.3.16 Percent Complete

Update the percent complete for each activity started, based on the realistic assessment of earned value. Activities which are complete but for remaining minor punch list work and which do not restrain the initiation of successor activities may be declared 100 percent complete to allow for proper schedule management.

3.3.17 Remaining Duration

Update the remaining duration for each activity based on the number of estimated work days it will take to complete the activity. Remaining duration may not mathematically correlate with percentage found under paragraph entitled Percent Complete.

3.3.18 Cost Loading of Closeout Activities

Cost load the "Correction of punch list from Government pre-final inspection" activity(ies) not less than 1 percent of the present contract value. Activity(ies) may be declared 100 percent complete upon the Government's verification of completion and correction of all punch list work identified during Government pre-final inspection(s).

3.3.18.1 As-Built Drawings

If there is no separate contract line item (CLIN) for as-built drawings, cost load the "Submission and approval of as-built drawings" activity not less than $35,000 or 1 percent of the present contract value, which ever is greater, up to $200,000. Activity will be declared 100 percent complete upon the Government's approval.

3.3.18.2 O & M Manuals

Cost load the "Submission and approval of O & M manuals" activity not less than $20,000. Activity will be declared 100 percent complete upon the Government's approval of all O & M manuals.

3.3.19 Early Completion Schedule and the Right to Finish Early

An Early Completion Schedule is an Initial Project Schedule (IPS) that indicates all scope of the required contract work will be completed before the contractually required completion date.

a. No IPS indicating an Early Completion will be accepted without being fully resource-loaded (including crew sizes and manhours) and the Government agreeing that the schedule is reasonable and achievable.

b. The Government is under no obligation to accelerate work items it is responsible for to ensure that the early completion is met nor is it responsible to modify incremental funding (if applicable) for the project to meet the contractor's accelerated work.

3.4 PROJECT SCHEDULE SUBMISSIONS

Provide the submissions as described below. The data CD/DVD, reports, and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS. If the Contractor fails or refuses to furnish the information and schedule updates as set forth herein, then the Contractor will be deemed not to have provided an estimate upon which a

progress payment can be made.

Review comments made by the Government on the schedule(s) do not relieve the Contractor from compliance with requirements of the Contract Documents.

3.4.1 Preliminary Project Schedule Submission

Within 15 calendar days after the NTP is acknowledged submit the Preliminary Project Schedule defining the planned operations detailed for the first 90 calendar days for approval. The approved Preliminary Project Schedule will be used for payment purposes not to exceed 90 calendar days after NTP. Completely cost load the Preliminary Project Schedule to balance the contract award CLINS shown on the Price Schedule. The Preliminary Project Schedule may be summary in nature for the remaining performance period. It must be early start and late finish constrained and logically tied as specified. The Preliminary Project Schedule forms the basis for the Initial Project Schedule specified herein and must include all of the required plan and program preparations, submissions and approvals identified in the contract (for example, Quality Control Plan, Safety Plan, and Environmental Protection Plan) as well as design activities, planned submissions of all early design packages, permitting activities, design review conference activities, and other non-construction activities intended to occur within the first 90 calendar days. Government acceptance of the associated design package(s) and all other specified Program and Plan approvals must occur prior to any planned construction activities. Activity code any activities that are summary in nature after the first 90 calendar days with Bid Item (CLIN) code (BIDI), Responsibility Code (RESP) and Feature of Work code (FOW).

3.4.2 Initial Project Schedule Submission

Submit the Initial Project Schedule for approval within 35 calendar days after notice to proceed is issued. The schedule must demonstrate a reasonable and realistic sequence of activities which represent all work through the entire contract performance period. No payment will be made for work items not fully detailed in the Project Schedule.

3.4.3 Periodic Schedule Updates

Update the Project Schedule on a regular basis, monthly at a minimum. Provide a draft Periodic Schedule Update for review at the schedule update meetings as prescribed in the paragraph PERIODIC SCHEDULE UPDATE MEETINGS. These updates will enable the Government to assess Contractor's progress.

a. Update information including Actual Start Dates (AS), Actual Finish Dates (AF), Remaining Durations (RD), and Percent Complete is subject to the approval of the Government at the meeting.

b. AS and AF dates must match the date(s) reported on the Contractor's Quality Control Report for an activity start or finish.

3.5 SUBMISSION REQUIREMENTS

Submit the following items for the Preliminary Schedule, Initial Schedule, and every Periodic Schedule Update throughout the life of the project:

3.5.1 Data CD/DVDs

Provide two sets of data CD/DVDs containing the current project schedule and all previously submitted schedules in the format of the scheduling software (e.g. .xer). Also include on the data CD/DVDs the Narrative Report and all required Schedule Reports. Label each CD/DVD indicating the type of schedule (Preliminary, Initial, Update), full contract number, Data Date and file name. Each schedule must have a unique file name and use project specific settings.

3.5.2 Narrative Report

Provide a Narrative Report with each schedule submission. The Narrative Report is expected to communicate to the Government the thorough analysis of the schedule output and the plans to compensate for any problems, either current or potential, which are revealed through that analysis. Include the following information as minimum in the Narrative Report:

a. Identify and discuss the work scheduled to start in the next update period.

b. A description of activities along the two most critical paths where the total float is less than or equal to 20 work days.

c. A description of current and anticipated problem areas or delaying factors and their impact and an explanation of corrective actions taken or required to be taken.

d. Identify and explain why activities based on their calculated late dates should have either started or finished during the update period but did not.

e. Identify and discuss all schedule changes by activity ID and activity name including what specifically was changed and why the change was needed. Include at a minimum new and deleted activities, logic changes, duration changes, calendar changes, lag changes, resource changes, and actual start and finish date changes.

f. Identify and discuss out-of-sequence work.

3.5.3 Schedule Reports

The format, filtering, organizing and sorting for each schedule report will be as directed by the Contracting Officer. Typically, reports contain Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float, Actual Start Date, Actual Finish Date, and Percent Complete. Provide the reports electronically in .pdf format. Provide set(s) of hardcopy reports. The following lists typical reports that will be requested:

3.5.3.1 Activity Report

List of all activities sorted according to activity number.

3.5.3.2 Logic Report

List of detailed predecessor and successor activities for every activity in ascending order by activity number.

3.5.3.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. List activities which have the same amount of total float in ascending order of Early Start Dates. Do not show completed activities on this report.

3.5.3.4 Earnings Report by CLIN

A compilation of the Total Earnings on the project from the NTP to the data date, which reflects the earnings of activities based on the agreements made in the schedule update meeting defined herein. Provided a complete schedule update has been furnished, this report serves as the basis of determining progress payments. Group activities by CLIN number and sort by activity number. Provide a total CLIN percent earned value, CLIN percent complete, and project percent complete. The printed report must contain the following for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Earnings to Date, Earnings this period, Total Quantity, Quantity to Date, and Percent Complete (based on cost).

3.5.3.5 Schedule Log

Provide a Scheduling/Leveling Report generated from the current project schedule being submitted.

3.5.4 Network Diagram

The Network Diagram is required for the Preliminary, Initial and Periodic Updates. Depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

3.5.4.1 Continuous Flow

Show a continuous flow from left to right with no arrows from right to left. Show the activity number, description, duration, and estimated earned value on the diagram.

3.5.4.2 Project Milestone Dates

Show dates on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

3.5.4.3 Critical Path

Show all activities on the critical path. The critical path is defined as the longest path.

3.5.4.4 Banding

Organize activities using the WBS or as otherwise directed to assist in the understanding of the activity sequence. Typically, this flow will group activities by major elements of work, category of work, work area and/or responsibility.

3.5.4.5 Cash Flow / Schedule Variance Control (SVC) Diagram

With each schedule submission, provide a SVC diagram showing 1) Cash Flow S-Curves indicating planned project cost based on projected early and late activity finish dates, and 2) Earned Value to-date.

3.6 PERIODIC SCHEDULE UPDATE

3.6.1 Periodic Schedule Update Meetings

Conduct periodic schedule update meetings for the purpose of reviewing the proposed Periodic Schedule Update, Narrative Report, Schedule Reports, and progress payment. Conduct meetings at least monthly within five days of the proposed schedule data date. Provide a computer with the scheduling software loaded and a projector which allows all meeting participants to view the proposed schedule during the meeting. The Contractor's authorized scheduler must organize, group, sort, filter, perform schedule revisions as needed and review functions as requested by the Contractor and/or Government. The meeting is a working interactive exchange which allows the Government and Contractor the opportunity to review the updated schedule on a real time and interactive basis. The meeting will last no longer than 8 hours. Provide a draft of the proposed narrative report and schedule data file to the Government a minimum of two workdays in advance of the meeting. The Contractor's Project Manager and scheduler must attend the meeting with the authorized representative of the Contracting Officer. Superintendents, foremen and major subcontractors must attend the meeting as required to discuss the project schedule and work. Following the periodic schedule update meeting, make corrections to the draft submission. Include only those changes approved by the Government in the submission and invoice for payment.

3.6.2 Update Submission Following Progress Meeting

Submit the complete Periodic Schedule Update of the Project Schedule containing all approved progress, revisions, and adjustments, pursuant to paragraph SUBMISSION REQUIREMENTS not later than 4 work days after the periodic schedule update meeting.

3.7 WEEKLY PROGRESS MEETINGS

Conduct a weekly meeting with the Government (or as otherwise mutually agreed to) between the meetings described in paragraph entitled PERIODIC SCHEDULE UPDATE MEETINGS for the purpose of jointly reviewing the actual progress of the project as compared to the as planned progress and to review planned activities for the upcoming two weeks. Use the current approved schedule update for the purposes of this meeting and for the production and review of reports. At the weekly progress meeting, address the status of RFIs, RFPs and Submittals.

3.8 REQUESTS FOR TIME EXTENSIONS

Provide a justification of delay to the Contracting Officer in accordance with the contract provisions and clauses for approval within 10 days of a delay occurring. Also prepare a time impact analysis for each Government request for proposal (RFP) to justify time extensions.

3.8.1 Justification of Delay

Provide a description of the event(s) that caused the delay and/or impact

to the work. As part of the description, identify all schedule activities impacted. Show that the event that caused the delay/impact was the responsibility of the Government. Provide a time impact analysis that demonstrates the effects of the delay or impact on the project completion date or interim completion date(s). Evaluate multiple impacts chronologically; each with its own justification of delay. With multiple impacts consider any concurrency of delay. A time extension and the schedule fragnet becomes part of the project schedule and all future schedule updates upon approval by the Contracting Officer.

3.8.2 Time Impact Analysis (Prospective Analysis)

Prepare a time impact analysis for approval by the Contracting Officer based on industry standard AACE 52R-06. Utilize a copy of the last approved schedule prior to the first day of the impact or delay for the time impact analysis. If Contracting Officer determines the time frame between the last approved schedule and the first day of impact is too great, prepare an interim updated schedule to perform the time impact analysis. Unless approved by the Contracting Officer, no other changes may be incorporated into the schedule being used to justify the time impact.

3.8.3 Forensic Schedule Analysis (Retrospective Analysis)

Prepare an analysis for approval by the Contracting Officer based on industry standard AACE 29R-03.

3.8.4 Fragmentary Network (Fragnet)

Prepare a proposed fragnet for time impact analysis consisting of a sequence of new activities that are proposed to be added to the project schedule to demonstrate the influence of the delay or impact to the project's contractual dates. Clearly show how the proposed fragnet is to be tied into the project schedule including all predecessors and successors to the fragnet activities. The proposed fragnet must be approved by the Contracting Officer prior to incorporation into the project schedule.

3.8.5 Time Extension

The Contracting Officer must approve the Justification of Delay including the time impact analysis before a time extension will be granted. No time extension will be granted unless the delay consumes all available Project Float and extends the projected finish date ("End Project" milestone) beyond the Contract Completion Date. The time extension will be in calendar days.

Actual delays that are found to be caused by the Contractor's own actions, which result in a calculated schedule delay will not be a cause for an extension to the performance period, completion date, or any interim milestone date.

3.8.6 Impact to Early Completion Schedule

No extended overhead will be paid for delay prior to the original Contract Completion Date for an Early Completion IPS unless the Contractor actually performed work in accordance with that Early Completion Schedule. The Contractor must show that an early completion was achievable had it not been for the impact.

3.9 FAILURE TO ACHIEVE PROGRESS

Should the progress fall behind the approved project schedule for reasons other than those that are excusable within the terms of the contract, the Contracting Officer may require provision of a written recovery plan for approval. The plan must detail how progress will be made-up to include which activities will be accelerated by adding additional crews, longer work hours, extra work days, etc.

3.9.1 Artificially Improving Progress

Artificially improving progress by means such as, but not limited to, revising the schedule logic, modifying or adding constraints, shortening activity durations, or changing calendars in the project schedule is prohibited. Indicate assumptions made and the basis for any logic, constraint, duration and calendar changes used in the creation of the recovery plan. Any additional resources, manpower, or daily and weekly work hour changes proposed in the recovery plan must be evident at the work site and documented in the daily report along with the Schedule Narrative Report.

3.9.2 Failure to Perform

Failure to perform work and maintain progress in accordance with the supplemental recovery plan may result in an interim and final unsatisfactory performance rating and may result in corrective action directed by the Contracting Officer pursuant to FAR 52.236-15 Schedules for Construction Contracts, FAR 52.249-10 Default (Fixed-Price Construction), and other contract provisions.

3.9.3 Recovery Schedule

Should the Contracting Officer find it necessary, submit a recovery schedule pursuant to FAR 52.236-15 Schedules for Construction Contracts.

3.10 OWNERSHIP OF FLOAT

Except for the provision given in the paragraph IMPACT TO EARLY COMPLETION SCHEDULE, float available in the schedule, at any time, may not be considered for the exclusive use of either the Government or the Contractor including activity and/or project float. Activity float is the number of work days that an activity can be delayed without causing a delay to the "End Project" finish milestone. Project float (if applicable) is the number of work days between the projected early finish and the contract completion date milestone.

3.11 TRANSFER OF SCHEDULE DATA INTO RMS/QCS

Import the schedule data into the Quality Control System (QCS) and export the QCS data to the Government. This data is considered to be additional supporting data in a form and detail required by the Contracting Officer pursuant to FAR 52.232-5 Payments under Fixed-Price Construction Contracts. The receipt of a proper payment request pursuant to FAR 52.232-27 Prompt Payment for Construction Contracts is contingent upon the Government receiving both acceptable and approvable hard copies and matching electronic export from QCS of the application for progress payment.

3.12 PRIMAVERA P6 MANDATORY REQUIREMENTS

If Primavera P6 is being used, request a backup file template (.xer) from the Government, if one is available, prior to building the schedule. The following settings are mandatory and required in all schedule submissions to the Government:

a. Activity Codes must be Project Level, not Global or EPS level.

b. Calendars must be Project Level, not Global or Resource level.

c. Activity Duration Types must be set to "Fixed Duration & Units".

d. Percent Complete Types must be set to "Physical".

e. Time Period Admin Preferences must remain the default "8.0 hr/day, 40 hr/week, 172 hr/month, 2000 hr/year". Set Calendar Work Hours/Day to 8.0 Hour days.

f. Set Schedule Option for defining Critical Activities to "Longest Path".

g. Set Schedule Option for defining progressed activities to "Retained Logic".

h. Set up cost loading using a single lump sum labor resource. The Price/Unit must be $1/hr, Default Units/Time must be "8h/d", and settings "Auto Compute Actuals" and "Calculate costs from units" selected.

i. Activity ID's must not exceed 10 characters.

j. Activity Names must have the most defining and detailed description within the first 30 characters.

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES
08/18, CHG 4: 02/21

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors

List Of Proposed Products

Project Schedule

Submittal Register

Schedule Of Prices Or Earned Value Report

Work Plan

Quality Control (QC) plan

Environmental Protection Plan

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some

portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Fabricated or unfabricated physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged.

Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Physical samples and color samples listed in the contract documents shall be shopped by the Contractor to both the Contracting Officer and the Architect-Engineer.

Field samples and mock-ups constructed on the project site establish standards ensuring work can be judged. Includes assemblies or portions of assemblies that are to be incorporated into the project and those that will be removed at conclusion of the work.

SD-05 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accord with specified requirements. Unless specified in another section, testing must have been within three years of date of contract award for the project.

Report that includes findings of a test required to be performed on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report that includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily logs and checklists

Final acceptance test and operational test procedure

SD-07 Certificates

Statements printed on the manufacturer's letterhead and signed by responsible officials of manufacturer of product, system or material attesting that the product, system, or material meets specification requirements. Must be dated after award of project contract and clearly name the project.

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

Confined space entry permits

Text of posted operating instructions

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and (SDS)concerning impedances, hazards and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-10 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Submittals required for Guiding Principle Validation (GPV) or Third Party Certification (TPC).

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.1.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.1.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or “S” classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register; G

1.3 SUBMITTAL CLASSIFICATION

1.3.1 Government Approved (G)

Government approval is required for extensions of design, critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

1.4 FORWARDING SUBMITTALS REQUIRING GOVERNMENT APPROVAL

As soon as practicable after award of contract, and before procurement or fabrication, forward to the Architect-Engineer: Frankfurt Short Bruza Associates, P.C. at CA@fsb-ae.com, submittals required in the technical sections of this specification, including shop drawings, product data and samples. In addition, forward a copy of the submittals to the Contracting Officer.

1.4.1 O&M Data

Submit data specified for a given item within 30 calendar days after the item is delivered to the contract site.

In the event the Contractor fails to deliver O&M data within the time limits specified, the Contracting Officer may withhold from progress payments 50 percent of the price of the items to which such O&M data apply.

1.5 PREPARATION

1.5.1 Submittal Format

1.5.1.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.1.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.

b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.

Submit an electronic copy of drawings in PDF format.

1.5.1.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 3 x 3 inches on the right-hand side of each sheet for the Government disposition stamp.

1.5.1.3 Format of SD-03 Product Data

Present product data submittals for each section as a complete, bound volume. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.5.1.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.5.1.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.1.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.5.1.4 Format of SD-04 Samples

1.5.1.4.1 Sample Characteristics

Furnish samples in the following sizes, unless otherwise specified or unless the manufacturer has prepackaged samples of approximately the same size as specified:

a. Sample of Equipment or Device: Full size.

b. Sample of Materials Less Than 2 by 3 inches: Built up to 8 1/2 by 11 inches.

c. Sample of Materials Exceeding 8 1/2 by 11 inches: Cut down to 8 1/2 by 11 inches and adequate to indicate color, texture, and material variations.

d. Sample of Linear Devices or Materials: 10 inch length or length to be supplied, if less than 10 inches. Examples of linear devices or materials are conduit and handrails.

e. Sample Volume of Nonsolid Materials: Pint. Examples of nonsolid materials are sand and paint.

f. Color Selection Samples: 2 by 4 inches. Where samples are specified for selection of color, finish, pattern, or texture, submit the full set of available choices for the material or product specified. Sizes and quantities of samples are to represent their respective standard unit.

g. Sample Panel: 4 by 4 feet.

h. Sample Installation: 100 square feet.

1.5.1.4.2 Sample Incorporation

Reusable Samples: Incorporate returned samples into work only if so specified or indicated. Incorporated samples are to be in undamaged condition at the time of use.

Recording of Sample Installation: Note and preserve the notation of any area constituting a sample installation, but remove the notation at the final clean-up of the project.

1.5.1.4.3 Comparison Sample

Samples Showing Range of Variation: Where variations in color, finish, pattern, or texture are unavoidable due to nature of the materials, submit sets of samples of not less than three units showing extremes and middle of range. Mark each unit to describe its relation to the range of the variation.

When color, texture, or pattern is specified by naming a particular manufacturer and style, include one sample of that manufacturer and style, for comparison.

1.5.1.5 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.5.1.6 Format of SD-06 Test Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.1.7 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper. Provide a bound volume for submittals containing numerous pages.

1.5.1.8 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section as a complete, bound volume. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.1.8.1 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC),

submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.1.9 Format of SD-09 Manufacturer's Field Reports

Provide reports on 8 1/2 by 11 inch paper in a complete bound volume.

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.1.10 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format.

1.5.1.11 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.2 Source Drawings for Shop Drawings

1.5.2.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.2.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic

source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

1.5.3 Electronic File Format

Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

1.6 QUANTITY OF SUBMITTALS

1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

1.6.2 Number of SD-04 Samples

a. Submit three samples, or three sets of samples showing the range of variation, of each required item. Mail one sample to the Architect-Engineer and mail two samples to the BCE. One sample will be returned to the Contractor at the project site by the BCE.

b. Submit one sample panel or provide one sample installation where directed. Include components listed in the technical section or as directed.

c. Submit one sample installation, where directed.

d. Submit one sample of nonsolid materials.

1.6.3 Number of SD-10 Operation and Maintenance Data Copies

Submit three hard copies of the final, approved O&M data to the Contracting Officer for review and approval.

1.7 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Appendix A - Submittal Register."

1.7.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number. and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

1.7.2 Preconstruction Use of Submittal Register

Submit the submittal register as an electronic database. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.7.3 Contractor Use of Submittal Register

Update the following fields in the program used by the Contractor with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.7.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.7.5 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.8 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.8.1 Considering Variations

Discussion of variations with the Contracting Officer before submission will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.8.2 Proposing Variations

The Contracting Officer will indicate an approval or disapproval of the variation request; and if not approved as submitted, will indicate the Government's reasons therefore. Any work done before such approval is received is performed at the Contractor's risk."

1.8.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.8.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14days will be allowed for the Government to consider submittals with variations.

1.9 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals.

a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.

b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."

c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within 14 days after the date of submission.

1.10 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

a. Note the date on which the submittal was received.

b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.

c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. 1 copy of the submittal will be retained by the Contracting Officer and 4 copies of the submittal will be returned to the Contractor.

1.10.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.

b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.

c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.

d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.

e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.11 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.12 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that the general method of construction, materials, detailing, and other information are satisfactory.

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained with in each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.13 APPROVED SAMPLES

Approval of a sample is only for the characteristics or use named in such approval and is not be construed to change or modify any contract requirements. Before submitting samples, provide assurance that the materials or equipment will be available in quantities required in the project. No change or substitution will be permitted after a sample has been approved.

Match the approved samples for materials and equipment incorporated in the work. If requested, approved samples, including those that may be damaged in testing, will be returned to the Contractor, at its expense, upon completion of the contract. Unapproved samples will also be returned to the Contractor at its expense, if so requested.

Failure of any materials to pass the specified tests will be sufficient cause for refusal to consider, under this contract, any further samples of the same brand or make as that material. The Government reserves the right to disapprove any material or equipment that has previously proved unsatisfactory in service.

Samples of various materials or equipment delivered on the site or in place may be taken by the Contracting Officer for testing. Samples failing to meet contract requirements will automatically void previous approvals. Replace such materials or equipment to meet contract requirements.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 11 00	SD-01 Preconstruction Submittals															
			Salvage Plan	1.7	G													
		01 14 00	SD-01 Preconstruction Submittals															
			List of Contact Personnel	1.3.1.1														
		01 30 00	SD-01 Preconstruction Submittals															
			View Location Map	1.4														
			Progress and Completion	1.5														
			Pictures															
			SD-04 Samples															
			Color Boards	1.3	G													
		01 32 01.00 10	SD-01 Preconstruction Submittals															
			Project Scheduler Qualifications	1.3	G													
			Preliminary Project Schedule	3.4.1	G													
			Initial Project Schedule	3.4.2	G													
			Periodic Schedule Update	3.6.2	G													
		01 33 00	SD-01 Preconstruction Submittals															
			Submittal Register	1.7	G													
		01 35 26	SD-01 Preconstruction Submittals															
			APP - Construction	1.7.1	G													
			SD-06 Test Reports															
			Monthly Exposure Reports	1.4														
			Notifications and Reports	1.12														
			Accident Reports	1.12.2	G													
			LHE Inspection Reports	1.12.3														
			SD-07 Certificates															
			Crane Operators/Riggers	1.6.1.4														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 35 26	Standard Lift Plan	1.7.3.2	G													
			Critical Lift Plan	1.7.3.3	G													
			Activity Hazard Analysis (AHA)	1.8														
			Confined Space Entry Permit	1.9.1														
			Hot Work Permit	1.9.1														
			Certificate of Compliance	1.12.4														
			License Certificates	1.14														
			Radiography Operation Planning	1.14.1	G													
			Work Sheet															
			Portable Gauge Operations	1.14.1	G													
			Planning Worksheet															
		01 45 00.00 10	SD-01 Preconstruction Submittals															
			Contractor Quality Control (CQC)	3.2	G													
			Plan															
			SD-06 Test Reports															
			Verification Statement	3.9.2														
		01 50 00	SD-01 Preconstruction Submittals															
			Construction Site Plan	1.3	G													
			Traffic Control Plan	3.3.1	G													
			Haul Road Plan	2.2.1	G													
			SD-07 Certificates															
			Backflow Tester	1.4.1														
			Backflow Preventers	1.4														
		01 57 19	SD-01 Preconstruction Submittals															
			Preconstruction Survey	1.6.1														
			Solid Waste Management Permit	1.10	G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY						
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 57 19	Regulatory Notifications	1.6.2	G													
			Environmental Protection Plan	1.7	G													
			Stormwater Pollution Prevention	3.2.1.1	G													
			Plan															
			Stormwater Notice of Intent	3.2.1.2	G													
			Dirt and Dust Control Plan	1.7.9.1	G													
			Employee Training Records	1.6.5	G													
			Environmental Manager	1.6.4	G													
			Qualifications															
			SD-06 Test Reports															
			Laboratory Analysis															
			Inspection Reports	3.2.1.3														
			Monthly Solid Waste Disposal	1.10.1	G													
			Report															
			SD-07 Certificates															
			Employee Training Records	1.6.5	G													
			Erosion and Sediment Control	1.6.5														
			Inspector															
			SD-11 Closeout Submittals															
			Stormwater Pollution Prevention	3.2.1.4	G													
			Plan Compliance Notebook															
			Stormwater Notice of Termination	3.2.1.5	G													
			Waste Determination	3.7.1	G													
			Documentation															
			Disposal Documentation for	3.7.3.6	G													
			Hazardous and Regulated Waste															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 57 19	Assembled Employee Training	1.6.5	G													
			Records															
			Solid Waste Management Permit	1.10	G													
			Project Solid Waste Disposal	3.7.2.1	G													
			Documentation Report															
			Hazardous Waste/Debris	3.7.3.1	G													
			Management															
			Regulatory Notifications	1.6.2	G													
			Sales Documentation	3.7.2.1	G													
			Contractor Certification	3.7.2.1														
			As-Built Topographic Survey	3.2.1.5														
		01 74 19	SD-01 Preconstruction Submittals															
			Construction Waste Management	1.7	G													
			Plan															
			SD-11 Closeout Submittals															
			Final Construction Waste		S													
			Diversion Report															
		01 78 00	SD-03 Product Data															
			Warranty Management Plan	1.5.1														
			Warranty Tags															
			Spare Parts Data	1.4														
			SD-08 Manufacturer’s Instructions															
			Instructions	1.5.1														
			SD-10 Operation and Maintenance															
			Data															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 78 00	Operation and Maintenance Manuals	3.3	G													
			SD-11 Closeout Submittals															
			As-Built Drawings	3.1	G													
			As-Built Record of Equipment and Materials	1.5.1														
			Certification of EPA Designated Items		G													
			Certification Of USDA Designated Items		G													
		01 78 23	SD-10 Operation and Maintenance Data															
			Training Plan	3.1.1	G													
			Training Outline	3.1.3	G													
			Training Content	3.1.2	G													
			SD-11 Closeout Submittals															
			Training Video Recording	3.1.4	G													
			Validation of Training Completion	3.1.6	G													
		01 91 00.15 10	SD-01 Preconstruction Submittals															
			Commissioning Firm	1.7	G													
			Lead Commissioning Specialist	1.7.1	G													
			Technical Commissioning Specialists	1.7.2	G													
			Commissioning Firm's Contract	1.7	G													
			SD-06 Test Reports															
			Design Review Report	3.1.4	G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		01 91 00.15 10	Interim Construction Phase	3.1.3.1	G													
			Commissioning Plan															
			Final Construction Phase	3.1.3.2	G													
			Commissioning Plan															
			Pre-Functional Checklists	3.1.6.2	G													
			Issues Log	1.8														
			Commissioning Report	3.2	G													
			Post-Construction Trend Log		G													
			Report															
			SD-07 Certificates															
			Certificate of Readiness	1.9	G													
			SD-10 Operation and Maintenance															
			Data															
			Training Plan	3.1.7	G													
			Training Attendance Rosters	3.1.7	G													
			Systems Manual	3.1.8	G													
			Systems Manual	3.1.8	G													
			SD-11 Closeout Submittals															
			Final Commissioning Report	3.2	S													
			Final Construction Phase	3.1.3.2	S													
			Commissioning Plan															
		02 41 00	SD-01 Preconstruction Submittals															
			Demolition Plan	1.2.2	G													
			Deconstruction Plan		G													
			Existing Conditions	1.11														
			SD-07 Certificates															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		02 41 00	Notification	1.7	G														
			SD-11 Closeout Submittals																
			Receipts	3.3.3															
		02 61 13	SD-02 Shop Drawings																
			Surveys	3.1															
			SD-03 Product Data																
			Work Plan	1.3	G														
			Closure Report	3.10	G														
			SD-06 Test Reports																
			Backfill	2.2															
			Surveys	3.1															
			Confirmation Sampling and	3.4															
			Analysis																
			Sampling of Stored Material	3.6.1	G														
			Sampling Liquid	3.6.2	G														
			Compaction	3.8.2															
		02 81 00	SD-03 Product Data																
			Packaging Notifications	2.1.1															
			Hazardous Waste Management	3.1	G														
			Plan																
			Onsite Hazardous Waste	3.2	G														
			Management																
			Notices of Non-Compliance and	3.3															
			Notices of Violation																
			SD-06 Test Reports																
			Recordkeeping	3.6	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		02 81 00	Exception Report	3.6	G														
			Spill Response	3.7															
			SD-07 Certificates																
			Transportation and Disposal Coordinator	1.4.1	G														
			Training	1.4.2	G														
			Certification	1.4.3															
			Shipping Documents and Packagings Certification	3.3.3	G														
			Security Plan	3.3.4															
			Certificates of Disposal	3.3.5															
			Waste Minimization	3.5															
		02 84 16	SD-07 Certificates																
			Qualifications of CIH	1.8.1	G														
			Training Certification	1.8.1	G														
			PCB and Lamp Removal Work Plan	1.8.2	G														
			PCB and Lamp Disposal Plan	1.8.3	G														
			SD-11 Closeout Submittals																
			Transporter Certification	3.5.2	G														
			Certification of Decontamination	3.2.4															
			Certificate of Disposal and/or recycling	3.5.2.1															
			DD Form 1348-1	3.5.3.2															
		02 84 33	SD-07 Certificates																
			Training certification	1.7.1															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		02 84 33	Qualifications of CIH	1.7.2															
			PCB Removal Work Plan	1.7.3															
			PCB Disposal Plan	1.7.4															
			Notification	1.7.5															
			Transporter Certification	3.8															
			Certificate of Disposal	3.8.1															
		03 30 00	SD-01 Preconstruction Submittals																
			Concrete Curing Plan	1.6.3.1															
			Quality Control Plan	1.6.6	G														
			Quality Control Personnel	1.6.7	G														
			Certifications																
			Quality Control Organizational	1.6.7															
			Chart																
			Laboratory Accreditation	1.6.9	G														
			Form Removal Schedule		G														
			SD-02 Shop Drawings																
			Formwork	1.6.2.1															
			Reinforcing Steel	1.6.2.2	G														
			Construction Joint Plan	2.2.5	G														
			SD-03 Product Data																
			Joint Sealants	2.4.5															
			Joint Filler	2.4.4															
			Formwork Materials	2.1															
			Recycled Aggregate Materials	2.3.3.2															
			Cementitious Materials	2.3.1															
			Vapor Retarder	2.4.6															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY						REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		03 30 00	Concrete Curing Materials	2.4.1														
			Reinforcement	2.6														
			Liquid Chemical Floor Hardeners	2.4.3.1														
			and Sealers															
			Admixtures	2.3.4														
			Reinforcing Fibers	2.6.5														
			Waterstops	2.2.2														
			Local/Regional Materials															
			Biodegradable Form Release	2.2.3														
			Agent															
			Pumping Concrete	1.6.3.2														
			Nonshrink Grout	2.4.2														
			Biobased Materials															
			SD-05 Design Data															
			Concrete Mix Design	1.6.1.1	G													
			Formwork Calculations															
			SD-06 Test Reports															
			Concrete Mix Design	1.6.1.1	G													
			Fly Ash	1.6.4.1														
			Pozzolan	1.6.4.1														
			Slag Cement	1.6.4.2														
			Aggregates	1.6.4.3														
			Tolerance Report	3.10.2.1														
			Compressive Strength Tests	3.13.3.3	G													
			Unit Weight of Structural	3.13.3.5														
			Concrete															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY						REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		03 30 00	Chloride Ion Concentration	3.13.3.6														
			Air Content	3.13.3.4														
			Slump Tests	3.13.3.1														
			Water	2.3.2														
			SD-07 Certificates															
			Reinforcing Bars	2.6.1														
			Welder Qualifications	1.8														
			VOC Content for Form Release	1.6.3.3														
			Agents, Curing Compounds, and															
			Concrete Penetrating Sealers															
			Safety Data Sheets	1.6.3.4														
			Field Testing Technician and	1.6.7.2														
			Testing Agency															
			SD-08 Manufacturer’s Instructions															
			Liquid Chemical Floor Hardeners	2.4.3.1														
			and Sealers															
			Joint Sealants	2.4.5														
			Curing Compound	2.4.1														
		04 20 00	SD-02 Shop Drawings															
			Cut CMU	3.3.3.1	G													
			Detail Drawings	3.4.1.1	G													
			SD-03 Product Data															
			Hot Weather Procedures	1.5.1	G													
			Cold Weather Procedures	1.5.2	G													
			Cement	2.2.2.2.1	G													
			Cementitious Materials	2.4.1.1	G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		04 20 00	SD-05 Design Data															
			Masonry Compressive Strength	2.1.2	G													
			Fire-Rated Concrete Masonry	2.2.2.3														
			Units															
			SD-06 Test Reports															
			Field Testing of Grout	3.6.1.1														
			SD-07 Certificates															
			Special Masonry Inspector															
			Qualifications															
			Concrete Brick															
			Precast Concrete Units															
			Cementitious Materials	2.4.1.1														
			Admixtures for Masonry Mortar	2.4.1.4														
			Admixtures for Grout	2.4.2.2														
			Anchors, Ties, and Bar	2.6.2														
			Positioners															
			SD-08 Manufacturer's Instructions															
			Admixtures for Masonry Mortar	2.4.1.4														
			Admixtures for Grout	2.4.2.2														
			SD-10 Operation and Maintenance															
			Data															
			Take-Back Program	3.8														
			SD-11 Closeout Submittals															
			Recycled Content	2.2.2.2.2	S													
		05 30 00	SD-02 Shop Drawings															
			Fabrication Drawings	1.3.4	G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY						REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	MAILED TO CONTR/ DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		05 30 00	SD-03 Product Data															
			Accessories	2.2														
			Deck Units	2.1														
			Galvanizing Repair Paint	2.1.4														
			Mechanical Fasteners	2.2.6														
			Touch-Up Paint	2.1.4														
			Welding Rods and Accessories	1.3.2														
			Recycled Content of Steel	2.1	S													
			Products															
			Flexible Closure Strips	2.2.3														
			Volatile Organic Compounds		S													
			(VOC) Contents															
			SD-05 Design Data															
			Deck Units	2.1	G													
			SD-07 Certificates															
			Welder Qualifications	1.3.2														
			Welding Procedures	1.3.2														
			Manufacturer's Certificate	1.3.1														
		05 40 00	SD-02 Shop Drawings															
			Framing Components	1.6.1	G													
			SD-03 Product Data															
			Studs, Joists	2.1														
			Recycled Content of Steel	2.1	S													
			Products															
			SD-05 Design Data															
			Metal Framing Calculations	1.6.2	G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		05 40 00	SD-07 Certificates																
			Load-Bearing Cold-Formed Metal	1.4															
			Framing																
			Welds	3.2.1															
		05 50 13	SD-02 Shop Drawings																
			Bollards/Pipe Guards		G														
			Angles and Plates	2.4	G														
			SD-03 Product Data																
			Downspout Terminations		G														
			Volatile Organic Compounds		S														
			(Voc) Contents																
			SD-04 Samples																
			Certificates of Compliance		G														
			Recycled Content		S														
		06 10 00	SD-02 Shop Drawings																
			Nailing Strips	2.1.1	G														
			Nailing Strips	2.2.1.1	G														
			SD-03 Product Data																
			Fire-retardant Treatment S																
			Adhesives S																
			Recycled Content for Lumber		S														
			SD-06 Test Reports																
			Preservative-treated	1.4.3															
			SD-07 Certificates																
			Certified Sustainably Harvested	2.2.1.1	S														
			Plywood for Other Uses																

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		06 10 00	Preservative Treatment	1.7															
			Indoor Air Quality for Aerosol	2.3.2	S														
			Adhesives																
			Indoor Air Quality for Non-aerosol	2.3.2	S														
			Adhesives																
			SD-10 Operation and Maintenance																
			Data																
			Take-back Program																
		07 21 16	SD-03 Product Data																
			Blanket Insulation	2.1															
			Recycled Content for Insulation	2.1.2	S														
			Materials																
			Pressure Sensitive Tape																
			Accessories																
			SD-07 Certificates																
			Indoor Air Quality for Insulation	2.1.4	S														
			Materials																
			SD-08 Manufacturer’s Instructions																
			Insulation	3.2.1															
		07 22 00	SD-02 Shop Drawings																
			Insulation Board Layout	1.3	G														
			Verification of Existing Conditions	1.3	G														
			SD-03 Product Data																
			Insulation	2.1	G														
			Cover Board	1.4	G														
			Fasteners	2.3	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		07 22 00	Recycled Content For Insulation	2.1.2	S													
			SD-06 Test Reports															
			Flame Spread Rating	1.8.1	G													
			SD-07 Certificates															
			Installer Qualifications	1.6	G													
			Indoor Air Quality For Insulation		S													
			SD-08 Manufacturer’s Instructions															
			Fasteners	2.3	G													
			Insulation	2.1	G													
		07 42 13	SD-01 Preconstruction Submittals															
			Qualification of Manufacturer	1.5.1	G													
			SD-03 Product Data															
			Recycled Content;															
			Wall Panels	2.2.1	G													
			Factory Color Finish	2.2.2														
			Closure Materials	1.5.2														
			Sealants and Caulking	2.5.3.1														
			Enamel Repair Paint	1.5.1.1														
			Accessories	1.5.2														
			Accessories	2.5														
			SD-04 Samples															
			Wall Panels	2.2.1	G													
			Fasteners	1.5.1.1	G													
			Color chart		G													
			SD-06 Test Reports															
			Coating		G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		07 42 13	Chalking		G														
			SD-07 Certificates																
			Coil Stock	1.5.1.1	G														
			Fasteners	1.5.1.1	G														
			Enamel Repair Paint	1.5.1.1	G														
			SD-08 Manufacturer’s Instructions																
			Installation	3.3	G														
			SD-09 Manufacturer’s Field																
			Reports																
			Manufacturer's Field Reports	3.8.1	G														
			SD-11 Closeout Submittals																
			Maintenance Instructions	1.5.3	G														
		07 42 63	SD-01 Preconstruction Submittals																
			Qualification of Manufacturer	1.5.3															
			Qualification of Installer	1.5.4															
			Qualifications for Welding Work	1.5.4.1															
			SD-02 Shop Drawings																
			Fabrication and Installation	1.5.1															
			drawings																
			Wall Panel Assemblies	1.5.1															
			Flashing and Accessories	1.5.1															
			Anchorage Systems	1.5.1															
			SD-03 Product Data																
			Certification																
			sustainable acquisition	1.5.1															
			Manufacturer's catalog data	1.5.1															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		07 42 63	Factory Color Finish	1.5.1														
			Sub-girts and Formed Shapes	1.5.1														
			Closure Materials	1.5.1														
			Pressure Sensitive Tape	1.5.1														
			Pressure Sensitive Tape	2.4.4.4														
			Sealants and Caulking	2.4.4.1														
			Repair Paint	1.5.1														
			Accessories	1.5.1														
			SD-04 Samples															
			Wall Panel Assemblies	1.5.1														
			Fasteners	1.5.1														
			Metal Closure Strips	1.5.1														
			manufacturer's color charts and chips	1.5.1														
			SD-05 Design Data															
			Wind Design Analysis	1.5.1														
			SD-06 Test Reports															
			Wind Load Tests	1.3.2														
			Factory Color Finish	1.5.1														
			SD-07 Certificates															
			Fasteners	1.5.1														
			Repair Paint	1.5.1														
			wall system assembly wind load and fire rating classification listings	1.5.1														
			SD-08 Manufacturer’s Instructions															
			Installation of Wall Panels	1.5.1														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		07 42 63	SD-11 Closeout Submittals																
			Warranty																
			Instructions	1.5.1															
			Safety Data Sheets	1.5.1															
			20 year 'No-Dollar-Limit' Warranty	1.5.1															
		07 60 00	SD-02 Shop Drawings																
			Exposed Sheet Metal	2.2.1	G														
			Gutters	3.1.10	G														
			Downspouts	3.1.11	G														
			Base Flashing	3.1.7	G														
			Counterflashing	3.1.8	G														
			Flashing at Roof Penetrations		G														
			and Equipment Supports																
			Recycled Content	2.1	S														
			SD-04 Samples																
			Finish Samples	1.4.2	G														
			SD-08 Manufacturer’s Instructions																
			Instructions for Installation	1.4.3	G														
			Quality Control Plan	3.5	G														
			SD-10 Operation and Maintenance																
			Data																
			Cleaning and Maintenance	1.4.3	G														
		07 61 14.00 20	SD-02 Shop Drawings																
			Roofing	1.2.5	G														
			SD-03 Product Data																
			Roofing Panels	2.1	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		07 61 14.00 20	Energy Star Label for Steel Roofing Product	2.1	S														
			Recycled Content for Steel Roofing Product	2.1.1	S														
			Heat Island Reduction		S														
			Attachment Clips	2.3															
			Closures	2.4.1															
			Accessories	2.4															
			Fasteners	2.4.2															
			Sealants	2.4.3															
			Insulation	2.5															
			Warranty	1.7	G														
			SD-04 Samples																
			Panel	2.1															
			Accessories	2.4															
			Sealants	2.4.3															
			Intermediate Support	2.2															
			SD-05 Design Data																
			Design Calculations	1.5															
			SD-06 Test Reports																
			Field Inspection	3.6															
			Structural Performance	1.3.3															
			Finish	1.6.6															
			SD-07 Certificates																
			Manufacturer's Technical Representative	1.6.3															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		07 61 14.00 20	Installer's Qualifications	1.6.4															
			Coil Stock	2.1	G														
			SD-08 Manufacturer's Instructions																
			Installation	3.3	G														
			SD-11 Closeout Submittals																
			Information Card	3.8															
			Warranty	1.7															
		07 92 00	SD-03 Product Data																
			Sealants	2.1	G														
			Primers	2.2	G														
			Bond Breakers	2.3	G														
			Backstops	2.4	G														
			Field Adhesion	3.1	G														
			SD-07 Certificates																
			Indoor Air Quality For Interior	2.1.1	S														
			Sealants																
			Indoor Air Quality For Interior	2.1.3	S														
			Floor Joint Sealants																
		08 11 13	SD-02 Shop Drawings																
			Doors	2.1	G														
			Doors	2.1	G														
			Frames	2.4	G														
			Frames	2.4	G														
			Accessories	2.2															
			SD-03 Product Data																
			Doors	2.1	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		08 11 13	Recycled Content for Steel Door	2.1	S														
			Product																
			Frames	2.4	G														
			Recycled Content for Steel Frame	2.4	S														
			Product																
			Accessories	2.2															
		08 33 23	SD-02 Shop Drawings																
			Overhead Coiling Doors	2.2.1	G														
			Counterbalancing Mechanism	2.2.3	G														
			Electric Door Operators	2.2.4	G														
			Bottom Bars	2.2.1.3	G														
			Guides	2.1.1.1	G														
			Mounting Brackets	2.2.3.1	G														
			Hood	2.2.2.2	G														
			Installation Drawings	2.1.1.1	G														
			SD-03 Product Data																
			Overhead Coiling Doors	2.2.1	G														
			Hardware	2.2.2	G														
			Counterbalancing Mechanism	2.2.3	G														
			Electric Door Operators	2.2.4	G														
			Recycled content for steel curtain	2.2.1.1	S														
			slats																
			Recycled content for stainless		S														
			steel curtain slats																
			SD-05 Design Data																
			Overhead Coiling Doors	2.2.1	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		08 33 23	Hardware	2.2.2	G														
			Counterbalancing Mechanism	2.2.3	G														
			Electric Door Operators	2.2.4	G														
			SD-10 Operation and Maintenance																
			Data																
			Operation and Maintenance	1.3.2	G														
			Manuals																
			SD-11 Closeout Submittals																
			Warranty	1.3.1	G														
		08 71 00	SD-02 Shop Drawings																
			Manufacturer's Detail Drawings	1.3	G														
			Verification of Existing Conditions	1.3	G														
			Hardware Schedule	1.5	G														
			Keying System	2.3.6	G														
			SD-03 Product Data																
			Hardware Items	2.3	G														
			SD-08 Manufacturer’s Instructions																
			Installation	3.1															
			SD-10 Operation and Maintenance																
			Data																
			Hardware Schedule	1.5	G														
			SD-11 Closeout Submittals																
			Key Bitting	1.6.1															
		09 90 00	SD-02 Shop Drawings																
			Piping Identification	3.9															
			SD-03 Product Data																

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		09 90 00	Coating	2.1	G													
			Sealant															
			SD-04 Samples															
			Color	1.10	G													
			SD-07 Certificates															
			Applicator's Qualifications	1.4														
			Qualification Testing	1.5.1.2	G													
			Indoor Air Quality for Paints and	2.1														
			Primers															
			SD-08 Manufacturer’s Instructions															
			Application Instructions															
			Mixing	3.6.2														
			Manufacturer's Safety Data	1.8.2														
			Sheets															
		10 53 00	SD-02 Shop Drawings															
			Manufactured Canopy	2.2	G													
			SD-03 Product Data															
			Manufactured Canopy	2.2	G													
		26 05 00.00 40	SD-02 Shop Drawings															
			Marking Strips	3.2.8.1	G													
			SD-03 Product Data															
			Conduits and Raceways	2.1.1	G													
			Wire and Cable	2.2.1	G													
			Splices and Connectors	3.2.7	G													
			Switches	2.2.3														
			Receptacles	2.2.5	G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		26 05 00.00 40	Outlet Boxes, Pull Boxes and	2.1.2	G														
			Junction Boxes																
			Circuit Breakers	2.1.3.1	G														
			Panelboards	2.1.3	G														
			Device Plates	2.2.2	G														
			SD-06 Test Reports																
			Continuity Test	3.5	G														
			Phase-Rotation Tests	3.5	G														
			Insulation Resistance Test	3.5	G														
			600-Volt Wiring Test	3.5	G														
			Insulation-Resistance Test	3.5	G														
			SD-08 Manufacturer’s Instructions																
			Manufacturer's Instructions	3.1															
		26 05 26.00 40	SD-03 Product Data																
			Ground Rods		G														
			Ground Wires	2.1.1	G														
			Connectors and Fasteners	2.1.2	G														
			Test Wells		G														
			Conductive Corrosion Inhibiting		G														
			Compounds																
			Ground Buses	2.1.3	G														
			SD-06 Test Reports																
			Bond Resistance Test		G														
			Ground Resistance Tests		G														
			Ground Isolation Test		G														
			Equipment Continuity Test		G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		26 05 26.00 40	SD-07 Certificates																
			Ground Resistance Test		G														
			Equipment																
			Micro-Ohmmeter Test Equipment		G														
			SD-11 Closeout Submittals																
			Record Drawings																
		26 05 73.19	SD-03 Product Data																
			Computer Software;	2.1.1															
			SD-06 Test Reports																
			Reports and Results	3.2															
		26 20 00	SD-02 Shop Drawings																
			Panelboards	2.13	G														
			Transformers		G														
			Cable trays	2.3	G														
			SD-03 Product Data																
			Receptacles	2.12	G														
			Circuit breakers	2.13.3	G														
			Switches	2.10	G														
			Transformers		G														
			Enclosed circuit breakers	2.14	G														
			Motor controllers	2.16	G														
			Combination motor controllers		G														
			Manual motor starters	2.17	G														
			CATV outlets		G														
			Grounding Busbar	2.19.3	G														
			Surge protective devices	2.23	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		26 20 00	SD-06 Test Reports																
			600-volt wiring test	3.5.2	G														
			Transformer tests	3.5.3	G														
			Ground-fault receptacle test	3.5.4	G														
		26 28 01.00 10	SD-03 Product Data																
			Fault Current Analysis	2.8															
			Protective Device Coordination Study	2.8															
			Equipment	2.1															
			System Coordinator	1.4.1															
			Installation	3.2															
			SD-06 Test Reports																
			Field Testing	3.3															
			SD-07 Certificates																
			Devices and Equipment	1.6															
		26 51 00	SD-02 Shop Drawings																
			Luminaire Drawings	1.5.1	G														
			Occupancy/Vacancy Sensor Coverage Layout	1.5.2	G														
			SD-03 Product Data																
			Luminaires	2.2	G														
			Light Sources	2.4	G														
			Drivers	2.3	G														
			LED Luminaire Warranty	1.6.1	G														
			Luminaire Design Data	1.5.4	G														
			Vacancy Sensors	2.5.3.2	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		26 51 00	Exit Signs	2.6.1	G													
			LED Emergency Drivers	2.6.2	G													
			Occupancy Sensors	2.5.3.1	G													
			SD-06 Test Reports															
			LED Luminaire - IES LM-79 Test	1.5.5	G													
			Report															
			LED Light Source - IES LM-80	1.5.6	G													
			Test Report															
			LED Light Source - IES TM-21	1.5.7	G													
			Test Report															
			Occupancy/Vacancy Sensor	1.5.8	G													
			Verification Tests															
			Energy Efficiency	1.5.11.3	G													
			SD-07 Certificates															
			Luminaire Useful Life Certificate	1.6.1.1	G													
			LED Driver and Dimming Switch	1.5.3	G													
			Compatibility Certificate															
		26 56 00	SD-02 Shop Drawings															
			Luminaire Drawings	1.5.1.1	G													
			Control System One-Line	1.7.2	G													
			Diagram															
			Sequence of Operation for		G													
			Exterior Lighting Control System															
			SD-03 Product Data															
			Luminaires	2.2	G													
			Light Sources	2.3	G													

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		26 56 00	LED Drivers	2.4	G														
			Luminaire Warranty	1.6.1	G														
			Lighting Controls Warranty	1.6.2	G														
			Photosensors		G														
			Time Clock		G														
			Lighting Contactor		G														
			Obstruction Marker Luminaires		G														
			SD-05 Design Data																
			Luminaire Design Data	1.5.2	G														
			SD-06 Test Reports																
			ANSI/IES LM-79 Test Report	1.5.3	G														
			ANSI/IES LM-80 Test Report	1.5.4	G														
			ANSI/IES TM-21 Test Report	1.5.5	G														
			SD-10 Operation and Maintenance																
			Data																
			Lighting System	1.7.1	G														
			Exterior Lighting Control System	1.7.2	G														
			Maintenance Staff Training Plan	3.3.1.1	G														
			End-User Training Plan	3.3.1.2	G														
		31 00 00	SD-03 Product Data																
			Utilization of Excavated Materials	3.8	G														
			Opening of any Excavation or	3.4															
			Borrow Pit																
			SD-06 Test Reports																
			Testing	3.16															
			SD-07 Certificates																

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		31 00 00	Testing	3.16															
		32 11 20	SD-03 Product Data																
			Plant, Equipment, and Tools	1.5	G														
			Waybills and Delivery Tickets	1.1.3															
			SD-06 Test Reports																
			Initial Tests	2.2.1	G														
			In-Place Tests	3.11.1	G														
		32 12 13	SD-03 Product Data																
			Waybills and Delivery Tickets	1.1.3															
			Local/Regional Materials	2.2.2															
			SD-06 Test Reports																
			Sampling and Testing	3.7															
		32 12 16.16	SD-02 Shop Drawings																
			Placement Plan	2.1	G														
			SD-03 Product Data																
			Diamond Grinding Plan	2.1.5	G														
			Mix Design	2.4	G														
			Contractor Quality Control	3.1	G														
			SD-04 Samples																
			Aggregates	2.2															
			Asphalt Cement Binder	2.3															
			SD-06 Test Reports																
			Aggregates	2.2	G														
			QC Monitoring	3.1.3.9															
			SD-07 Certificates																
			Asphalt Cement Binder	2.3	G														

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAG # RAPH	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY					MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)		(q)	(r)
		32 12 16.16	Laboratory Accreditation and	1.5.11															
			Validation																
		32 16 19	SD-03 Product Data																
			Concrete	2.1															
			SD-06 Test Reports																
			Field Quality Control	3.6															
		32 17 23	SD-03 Product Data																
			Surface Preparation Equipment	2.1.1.1	G														
			List																
			Application Equipment List	2.1.2	G														
			Exterior Surface Preparation	3.2															
			Safety Data Sheets	1.4.1	G														
			Waterborne Paint	2.2.1	G														
			SD-06 Test Reports																
			Waterborne Paint	2.2.1	G														
			SD-07 Certificates																
			Qualifications	1.4.2	G														
			Waterborne Paint	2.2.1															
			Volatile Organic Compound	1.4.1	G														
			SD-08 Manufacturer’s Instructions																
			Waterborne Paint	2.2.1	G														
		32 92 19	SD-03 Product Data																
			Wood Cellulose Fiber Mulch	2.5.1															
			Fertilizer	2.4															
			SD-06 Test Reports																
			Topsoil Composition Tests	2.2.3															

SUBMITTAL REGISTER

CONTRACT NO.
XGFG182006

TITLE AND LOCATION
Construct Alert GOV Parking Shelters

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH #	CLASSIFICATION	GOVT OR A/E REVWR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION			APPROVING AUTHORITY				MAILED TO CONTR/	REMARKS
							SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION	DATE RCD FRM APPR AUTH	
(a)	(b)	(c)	(d)	(e)	(f)		(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)
		32 92 19	SD-07 Certificates															
			Seed	2.1														
		33 40 00	SD-04 Samples															
			Pipe for Culverts and Storm	2.1														
			Drains															
			SD-07 Certificates															
			Resin Certification															
			Oil Resistant Gasket	2.3.5.1														
			Leakage Test	3.9.1.1														
			Determination of Density	3.9.1.2														
			Frame and Cover for Gratings	2.3.4														
			Post-Installation Inspection	3.9.2.1.2														
			Report															
			Placing Pipe	3.3														

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS
11/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B30.3	(2020) Tower Cranes
ASME B30.5	(2018) Mobile and Locomotive Cranes
ASME B30.7	(2016) Winches
ASME B30.8	(2015) Floating Cranes and Floating Derricks
ASME B30.9	(2018) Slings
ASME B30.20	(2018) Below-the-Hook Lifting Devices
ASME B30.22	(2016) Articulating Boom Cranes
ASME B30.23	(2016) Personnel Lifting Systems Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings
ASME B30.26	(2015; R 2020) Rigging Hardware

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.22	(2007; R 2017) Safety Requirements for Rope-Guided and Non-Guided Workers' Hoists
ASSP A10.34	(2001; R 2012) Protection of the Public on or Adjacent to Construction Sites
ASSP A10.44	(2020) Control of Energy Sources (Lockout/Tagout) for Construction and Demolition Operations
ASSP Z359.0	(2018) Definitions and Nomenclature Used for Fall Protection and Fall Arrest
ASSP Z359.1	(2016) The Fall Protection Code
ASSP Z359.2	(2017) Minimum Requirements for a Comprehensive Managed Fall Protection Program
ASSP Z359.3	(2019) Safety Requirements for Lanyards

and Positioning Lanyards

ASSP Z359.4 (2013) Safety Requirements for Assisted-Rescue and Self-Rescue Systems, Subsystems and Components

ASSP Z359.6 (2016) Specifications and Design Requirements for Active Fall Protection Systems

ASSP Z359.7 (2019) Qualification and Verification Testing of Fall Protection Products

ASSP Z359.11 (2014) Safety Requirements for Full Body Harnesses

ASSP Z359.12 (2019) Connecting Components for Personal Fall Arrest Systems

ASSP Z359.13 (2013) Personal Energy Absorbers and Energy Absorbing Lanyards

ASSP Z359.14 (2014) Safety Requirements for Self-Retracting Devices for Personal Fall Arrest and Rescue Systems

ASSP Z359.15 (2014) Safety Requirements for Single Anchor Lifelines and Fall Arresters for Personal Fall Arrest Systems

ASSP Z359.16 (2016) Safety Requirements for Climbing Ladder Fall Arrest Systems

ASSP Z359.18 (2017) Safety Requirements for Anchorage Connectors for Active Fall Protection Systems

ASTM INTERNATIONAL (ASTM)

ASTM F855 (2019) Standard Specifications for Temporary Protective Grounds to Be Used on De-energized Electric Power Lines and Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048 (2016) Guide for Protective Grounding of Power Lines

IEEE C2 (2017; Errata 1-2 2017; INT 1 2017) National Electrical Safety Code

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 10 (2018; ERTA 1-2 2018) Standard for Portable Fire Extinguishers

NFPA 51B (2019) Standard for Fire Prevention During Welding, Cutting, and Other Hot Work

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 70E	(2018; TIA 18-1; TIA 18-2) Standard for Electrical Safety in the Workplace
NFPA 241	(2019) Standard for Safeguarding Construction, Alteration, and Demolition Operations

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-222	(2018H; Add 1 2019) Structural Standard for Antenna Supporting Structures and Antennas and Small Wind Turbine Support Structures
TIA-1019	(2012; R 2016) Standard for Installation, Alteration and Maintenance of Antenna Supporting Structures and Antennas

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

10 CFR 20	Standards for Protection Against Radiation
29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1910.146	Permit-required Confined Spaces
29 CFR 1910.147	The Control of Hazardous Energy (Lock Out/Tag Out)
29 CFR 1910.333	Selection and Use of Work Practices
29 CFR 1915	Confined and Enclosed Spaces and Other Dangerous Atmospheres in Shipyard Employment
29 CFR 1915.89	Control of Hazardous Energy (Lockout/Tags-Plus)
29 CFR 1926	Safety and Health Regulations for Construction
29 CFR 1926.16	Rules of Construction
29 CFR 1926.450	Scaffolds
29 CFR 1926.500	Fall Protection
29 CFR 1926.552	Material Hoists, Personal Hoists, and Elevators

29 CFR 1926.553	Base-Mounted Drum Hoists
29 CFR 1926.1400	Cranes and Derricks in Construction
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
CPL 02-01-056	(2014) Inspection Procedures for Accessing Communication Towers by Hoist
CPL 2.100	(1995) Application of the Permit-Required Confined Spaces (PRCS) Standards, 29 CFR 1910.146

1.2 DEFINITIONS

1.2.1 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working conditions that are dangerous to personnel, and who has authorization to take prompt corrective measures with regards to such hazards.

1.2.2 Competent Person, Confined Space

The CP, Confined Space, is a person meeting the competent person requirements as defined EM 385-1-1 Appendix Q, with thorough knowledge of OSHA’s Confined Space Standard, 29 CFR 1910.146, and designated in writing to be responsible for the immediate supervision, implementation and monitoring of the confined space program, who through training, knowledge and experience in confined space entry is capable of identifying, evaluating and addressing existing and potential confined space hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.3 Competent Person, Cranes and Rigging

The CP, Cranes and Rigging, as defined in EM 385-1-1 Appendix Q, is a person meeting the competent person, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the Crane and Rigging Program, who through training, knowledge and experience in crane and rigging is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.4 Competent Person, Excavation/Trenching

A CP, Excavation/Trenching, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and 29 CFR 1926, who has been designated in writing to be responsible for the immediate supervision, implementation and monitoring of the excavation/trenching program, who through training, knowledge and experience in excavation/trenching is capable of identifying, evaluating and addressing existing and potential hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.5 Competent Person, Fall Protection

The CP, Fall Protection, is a person meeting the competent person requirements as defined in EM 385-1-1 Appendix Q and in accordance with ASSP Z359.0, who has been designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the fall protection program, who through training, knowledge and experience in fall protection and rescue systems and equipment, is capable of identifying, evaluating and addressing existing and potential fall hazards and, who has the authority to take prompt corrective measures with regard to such hazards.

1.2.6 Competent Person, Scaffolding

The CP, Scaffolding is a person meeting the competent person requirements in EM 385-1-1 Appendix Q, and designated in writing by the employer to be responsible for immediate supervising, implementing and monitoring of the scaffolding program. The CP for Scaffolding has enough training, knowledge and experience in scaffolding to correctly identify, evaluate and address existing and potential hazards and also has the authority to take prompt corrective measures with regard to these hazards. CP qualifications must be documented including experience on the specific scaffolding systems/types being used, assessment of the base material that the scaffold will be erected upon, load calculations for materials and personnel, and erection and dismantling. The CP for scaffolding must have a documented minimum of 8-hours of scaffold training to include training on the specific type of scaffold being used (e.g. mast-climbing, adjustable, tubular frame), in accordance with EM 385-1-1 Section 22.B.02.

1.2.7 Competent Person (CP) Trainer

A competent person trainer as defined in EM 385-1-1 Appendix Q, who is qualified in the training material presented, and who possesses a working knowledge of applicable technical regulations, standards, equipment and systems related to the subject matter on which they are training Competent Persons. A competent person trainer must be familiar with the typical hazards and the equipment used in the industry they are instructing. The training provided by the competent person trainer must be appropriate to that specific industry. The competent person trainer must evaluate the knowledge and skills of the competent persons as part of the training process.

1.2.8 High Risk Activities

High Risk Activities are activities that involve work at heights, crane and rigging, excavations and trenching, scaffolding, electrical work, and confined space entry.

1.2.9 High Visibility Accident

A High Visibility Accident is any mishap which may generate publicity or high visibility.

1.2.10 Load Handling Equipment (LHE)

LHE is a term used to describe cranes, hoists and all other hoisting equipment (hoisting equipment means equipment, including crane, derricks, hoists and power operated equipment used with rigging to raise, lower or horizontally move a load).

1.2.11 Medical Treatment

Medical Treatment is treatment administered by a physician or by registered professional personnel under the standing orders of a physician. Medical treatment does not include first aid treatment even when provided by a physician or registered personnel.

1.2.12 Near Miss

A Near Miss is a mishap resulting in no personal injury and zero property damage, but given a shift in time or position, damage or injury may have occurred (e.g., a worker falls off a scaffold and is not injured; a crane swings around to move the load and narrowly misses a parked vehicle).

1.2.13 Operating Envelope

The Operating Envelope is the area surrounding any crane or load handling equipment. Inside this "envelope" is the crane, the operator, riggers and crane walkers, other personnel involved in the operation, rigging gear between the hook, the load, the crane's supporting structure (i.e. ground or rail), the load's rigging path, the lift and rigging procedure.

1.2.14 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.2.15 Qualified Person, Fall Protection (QP for FP)

A QP for FP is a person meeting the definition requirements of EM 385-1-1 Appendix Q, and ASSP Z359.2 standard, having a recognized degree or professional certificate and with extensive knowledge, training and experience in the fall protection and rescue field who is capable of designing, analyzing, and evaluating and specifying fall protection and rescue systems.

1.2.16 Recordable Injuries or Illnesses

Recordable Injuries or Illnesses are any work-related injury or illness that results in:

a. Death, regardless of the time between the injury and death, or the length of the illness;

b. Days away from work (any time lost after day of injury/illness onset);

c. Restricted work;

d. Transfer to another job;

e. Medical treatment beyond first aid;

f. Loss of consciousness; or

g. A significant injury or illness diagnosed by a physician or other

licensed health care professional, even if it did not result in (a) through (f) above

1.2.17 Government Property and Equipment

Interpret "USACE" property and equipment specified in USACE EM 385-1-1 as Government property and equipment.

1.2.18 Load Handling Equipment (LHE) Accident or Load Handling Equipment Mishap

A LHE accident occurs when any one or more of the eight elements in the operating envelope fails to perform correctly during operation, including operation during maintenance or testing resulting in personnel injury or death; material or equipment damage; dropped load; derailment; two-blocking; overload; or collision, including unplanned contact between the load, crane, or other objects. A dropped load, derailment, two-blocking, overload and collision are considered accidents, even though no material damage or injury occurs. A component failure (e.g., motor burnout, gear tooth failure, bearing failure) is not considered an accident solely due to material or equipment damage unless the component failure results in damage to other components (e.g., dropped boom, dropped load, or roll over).

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

APP - Construction; G

SD-06 Test Reports

Monthly Exposure Reports

Notifications and Reports

Accident Reports; G

LHE Inspection Reports

SD-07 Certificates

Crane Operators/Riggers

Standard Lift Plan; G

Critical Lift Plan ; G

Activity Hazard Analysis (AHA)

Confined Space Entry Permit

Hot Work Permit

Certificate of Compliance

License Certificates

Radiography Operation Planning Work Sheet; G

Portable Gauge Operations Planning Worksheet; G

1.4 MONTHLY EXPOSURE REPORTS

Provide a Monthly Exposure Report and attach to the monthly billing request. This report is a compilation of employee-hours worked each month for all site workers, both Prime and subcontractor. Failure to submit the report may result in retention of up to 10 percent of the voucher.

1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent requirements govern.

1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.6.1 Personnel Qualifications

1.6.1.1 Site Safety and Health Officer (SSHO)

Provide an SSHO that meets the requirements of EM 385-1-1 Section 1. The SSHO must ensure that the requirements of 29 CFR 1926.16 are met for the project. Provide a Safety oversight team that includes a minimum of one person at each project site to function as the Site Safety and Health Officer (SSHO). The SSHO or an equally-qualified Alternate SSHO must be at the work site at all times to implement and administer the Contractor's safety program and Government-accepted Accident Prevention Plan. The SSHO and Alternate SSHO must have the required training, experience, and qualifications in accordance with EM 385-1-1 Section 01.A.17, and all associated sub-paragraphs.

If the SSHO is off-site for a period longer than 24 hours, an equally-qualified alternate SSHO must be provided and must fulfill the same roles and responsibilities as the primary SSHO. When the SSHO is temporarily (up to 24 hours) off-site, a Designated Representative (DR), as identified in the AHA may be used in lieu of an Alternate SSHO, and must be on the project site at all times when work is being performed. Note that the DR is a collateral duty safety position, with safety duties in addition to their full time occupation.

1.6.1.1.1 Additional Site Safety and Health Officer (SSHO) Requirements and Duties

The SSHO may also serve as the Quality Control Manager. The SSHO may also serve as the Superintendent.

1.6.1.2 Competent Person Qualifications

Provide Competent Persons in accordance with EM 385-1-1, Appendix Q and herein. Competent Persons for high risk activities include confined space, cranes and rigging, excavation/trenching, fall protection, and electrical work. The CP for these activities must be designated in writing, and meet the requirements for the specific activity (i.e. competent person, fall protection).

The Competent Person identified in the Contractor's Safety and Health Program and accepted Accident Prevention Plan, must be on-site at all times when the work that presents the hazards associated with their professional expertise is being performed. Provide the credentials of the Competent Persons(s) to the Contracting Officer for information in consultation with the Safety Office.

1.6.1.2.1 Competent Person for Confined Space Entry

Provide a Confined Space (CP) Competent Person who meets the requirements of EM 385-1-1, Appendix Q, and herein. The CP for Confined Space Entry must supervise the entry into each confined space in accordance with EM 385-1-1, Section 34.

1.6.1.2.2 Competent Person for Scaffolding

Provide a Competent Person for Scaffolding who meets the requirements of EM 385-1-1, Section 22.B.02 and herein.

1.6.1.2.3 Competent Person for Fall Protection

Provide a Competent Person for Fall Protection who meets the requirements of EM 385-1-1, Section 21.C.04, 21.B.03, and herein.

1.6.1.3 Qualified Trainer Requirements

Individuals qualified to instruct the 40 hour contract safety awareness course, or portions thereof, must meet the definition of a Competent Person Trainer, and, at a minimum, possess a working knowledge of the following subject areas: EM 385-1-1, Electrical Standards, Lockout/Tagout, Fall Protection, Confined Space Entry for Construction; Excavation, Trenching and Soil Mechanics, and Scaffolds in accordance with 29 CFR 1926.450, Subpart L.

Instructors are required to:

a. Prepare class presentations that cover construction-related safety requirements.

b. Ensure that all attendees attend all sessions by using a class roster signed daily by each attendee. Maintain copies of the roster for at least five years. This is a certification class and must be attended 100 percent. In cases of emergency where an attendee cannot make it to a session, the attendee can make it up in another class session for the same subject.

c. Update training course materials whenever an update of the EM 385-1-1 becomes available.

d. Provide a written exam of at least 50 questions. Students are

required to answer 80 percent correctly to pass.

e. Request, review and incorporate student feedback into a continuous course improvement program.

1.6.1.4 Crane Operators/Riggers

Provide Operators, Signal Persons, and Riggers meeting the requirements in EM 385-1-1, Section 15.B for Riggers and Section 16.B for Crane Operators and Signal Persons. In addition, for mobile cranes with Original Equipment Manufacturer (OEM) rated capacities of 50,000 pounds or greater, designate crane operators qualified by a source that qualifies crane operators (i.e., union, a Government agency, or an organization that tests and qualifies crane operators). Provide proof of current qualification.

1.6.2 Personnel Duties

1.6.2.1 Duties of the Site Safety and Health Officer (SSHO)

The SSHO must:

a. Conduct daily safety and health inspections and maintain a written log which includes area/operation inspected, date of inspection, identified hazards, recommended corrective actions, estimated and actual dates of corrections. Attach safety inspection logs to the Contractors' daily production report.

b. Conduct mishap investigations and complete required accident reports. Report mishaps and near misses.

c. Use and maintain OSHA's Form 300 to log work-related injuries and illnesses occurring on the project site for Prime Contractors and subcontractors, and make available to the Contracting Officer upon request. Post and maintain the Form 300A on the site Safety Bulletin Board.

d. Maintain applicable safety reference material on the job site.

e. Attend the pre-construction, pre-work meetings including preparatory meetings, and periodic in-progress meetings.

f. Review the APP and AHAs for compliance with EM 385-1-1, and approve, sign, implement and enforce them.

g. Establish a Safety and Occupational Health (SOH) Deficiency Tracking System that lists and monitors outstanding deficiencies until resolution.

h. Ensure subcontractor compliance with safety and health requirements.

i. Maintain a list of hazardous chemicals on site and their material Safety Data Sheets (SDS).

j. Maintain a weekly list of high hazard activities involving energy, equipment, excavation, entry into confined space, and elevation, and be prepared to discuss details during QC Meetings.

k. Provide and keep a record of site safety orientation and indoctrination for Contractor employees, subcontractor employees, and

site visitors.

Superintendent, QC Manager, and SSHO are subject to dismissal if the above or any other required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.6.3 Meetings

1.6.3.1 Preconstruction

a. Contractor representatives who have a responsibility or significant role in accident prevention on the project must attend the preconstruction. This includes the project superintendent, Site Safety and Occupational Health Officer, quality control manager, or any other assigned safety and health professionals who participated in the development of the APP (including the Activity Hazard Analyses (AHAs) and special plans, program and procedures associated with it).

b. Discuss the details of the submitted APP to include incorporated plans, programs, procedures and a listing of anticipated AHAs that will be developed and implemented during the performance of the Contract. This list of proposed AHAs will be reviewed and an agreement will be reached between the Contractor and the Contracting Officer as to which phases will require an analysis. In addition, establish a schedule for the preparation, submittal, and Government review of AHAs to preclude project delays.

c. Deficiencies in the submitted APP, identified during the Contracting Officer's review, must be corrected, and the APP re-submitted for review prior to the start of construction. Work is not permitted to begin until an APP is established that is acceptable to the Contracting Officer.

1.6.3.2 Safety Meetings

Conduct safety meetings to review past activities, plan for new or changed operations, review pertinent aspects of appropriate AHA (by trade), establish safe working procedures for anticipated hazards, and provide pertinent Safety and Occupational Health (SOH) training and motivation. Conduct meetings at least once a month for all supervisors at the project location. The SSHO, supervisors, foremen, or CDSOs must conduct meetings at least once a week for the trade workers. Document meeting minutes to include the date, persons in attendance, subjects discussed, and names of individual(s) who conducted the meeting. Maintain documentation on-site and furnish copies to the Contracting Officer on request. Notify the Contracting Officer of all scheduled meetings 7 calendar days in advance.

1.7 ACCIDENT PREVENTION PLAN (APP)

1.7.1 APP - Construction

A qualified person must prepare the written site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, Appendix A, and as supplemented herein. Cover all paragraph and subparagraph elements in EM 385-1-1, Appendix A. The APP must be job-specific and address any unusual or unique aspects of the project or

activity for which it is written. The APP must interface with the Contractor's overall safety and health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling authority" for all work site safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed by an officer of the firm (Prime Contractor senior person), the individual preparing the APP, the on-site superintendent, the designated SSHO, the Contractor Quality Control Manager, and any designated Certified Safety Professional (CSP) or Certified Health Physicist (CIH). The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer 15 calendar days prior to the date of the preconstruction for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e. imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ASSP A10.34), and the environment.

1.7.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.

b. Qualifications of competent and of qualified persons. As a minimum, designate and submit qualifications of competent persons for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard recognition, evaluation and control of chemical, physical and biological agents;

and personal protective equipment and clothing to include selection, use and maintenance.

1.7.3 Plans

Provide plans in the APP in accordance with the requirements outlined in Appendix A of EM 385-1-1, including the following:

1.7.3.1 Confined Space Entry Plan

Develop a confined or enclosed space entry plan in accordance with EM 385-1-1, applicable OSHA standards 29 CFR 1910, 29 CFR 1915, and 29 CFR 1926, OSHA Directive CPL 2.100, and any other federal, state and local regulatory requirements identified in this Contract. Identify the qualified person's name and qualifications, training, and experience. Delineate the qualified person's authority to direct work stoppage in the event of hazardous conditions. Include procedure for rescue by Contractor personnel and the coordination with emergency responders. (If there is no confined space work, include a statement that no confined space work exists and none will be created.)

1.7.3.2 Standard Lift Plan (SLP)

Plan lifts to avoid situations where the operator cannot maintain safe control of the lift. Prepare a written SLP in accordance with EM 385-1-1, Section 16.A.03, using Form 16-2 for every lift or series of lifts (if duty cycle or routine lifts are being performed). The SLP must be developed, reviewed and accepted by all personnel involved in the lift in conjunction with the associated AHA. Signature on the AHA constitutes acceptance of the plan. Maintain the SLP on the LHE for the current lift(s) being made. Maintain historical SLPs for a minimum of three months.

1.7.3.3 Critical Lift Plan - Crane or Load Handling Equipment

Provide a Critical Lift Plan as required by EM 385-1-1, Section 16.H.01, using Form 16-3. In addition, Critical Lift Plans are required for the following:

a. Lifts over 50 percent of the capacity of barge mounted mobile crane's hoist.

b. When working around energized power lines where the work will get closer than the minimum clearance distance in EM 385-1-1 Table 16-1.

c. For lifts with anticipated binding conditions.

d. When erecting cranes.

1.7.3.3.1 Critical Lift Plan Planning and Schedule

Critical lifts require detailed planning and additional or unusual safety precautions. Develop and submit a critical lift plan to the Contracting Officer 30 calendar days prior to critical lift. Comply with load testing requirements in accordance with EM 385-1-1, Section 16.F.03.

1.7.3.3.2 Lifts of Personnel

In addition to the requirements of EM 385-1-1, Section 16.H.02, for lifts

of personnel, demonstrate compliance with the requirements of 29 CFR 1926.1400 and EM 385-1-1, Section 16.T.

1.7.3.4 Multi-Purpose Machines, Material Handling Equipment, and Construction Equipment Lift Plan

Multi-purpose machines, material handling equipment, and construction equipment used to lift loads that are suspended by rigging gear, require proof of authorization from the machine OEM that the machine is capable of making lifts of loads suspended by rigging equipment. Written approval from a qualified registered professional engineer, after a safety analysis is performed, is allowed in lieu of the OEM's approval. Demonstrate that the operator is properly trained and that the equipment is properly configured to make such lifts and is equipped with a load chart.

1.7.3.5 Fall Protection and Prevention (FP&P) Plan

The plan must be in accordance with the requirements of EM 385-1-1, Section 21.D and ASSP Z359.2, be site specific, and address all fall hazards in the work place and during different phases of construction. Address how to protect and prevent workers from falling to lower levels when they are exposed to fall hazards above 6 feet. A competent person or qualified person for fall protection must prepare and sign the plan documentation. Include fall protection and prevention systems, equipment and methods employed for every phase of work, roles and responsibilities, assisted rescue, self-rescue and evacuation procedures, training requirements, and monitoring methods. Review and revise, as necessary, the Fall Protection and Prevention Plan documentation as conditions change, but at a minimum every six months, for lengthy projects, reflecting any changes during the course of construction due to changes in personnel, equipment, systems or work habits. Keep and maintain the accepted Fall Protection and Prevention Plan documentation at the job site for the duration of the project. Include the Fall Protection and Prevention Plan documentation in the Accident Prevention Plan (APP).

1.7.3.6 Rescue and Evacuation Plan

Provide a Rescue and Evacuation Plan in accordance with EM 385-1-1 Section 21.N and ASSP Z359.2, and include in the FP&P Plan and as part of the APP. Include a detailed discussion of the following: methods of rescue; methods of self-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility.

1.7.3.7 Excavation Plan

Identify the safety and health aspects of excavation, and provide and prepare the plan in accordance with EM 385-1-1, Section 25.A and Section 31 00 00 EARTHWORK.

1.7.3.8 Polychlorinated Biphenyls (PCB) Plan

Identify the safety and health aspects of Polychlorinated Biphenyls work, and prepare in accordance with Sections 02 84 33 REMOVAL AND DISPOSAL OF POLYCHLORINATED BIPHENYLS (PCBs).

1.7.3.9 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with

Section 02 41 00 DEMOLITION AND DECONSTRUCTION and referenced sources.

1.8 ACTIVITY HAZARD ANALYSIS (AHA)

Before beginning each activity, task or Definable Feature of Work (DFOW) involving a type of work presenting hazards not experienced in previous project operations, or where a new work crew or subcontractor is to perform the work, the Contractor(s) performing that work activity must prepare an AHA. AHAs must be developed by the Prime Contractor, subcontractor, or supplier performing the work, and provided for Prime Contractor review and approval before submitting to the Contracting Officer. AHAs must be signed by the SSHO, Superintendent, QC Manager and the subcontractor Foreman performing the work. Format the AHA in accordance with EM 385-1-1, Section 1 or as directed by the Contracting Officer. Submit the AHA for review at least 15 working days prior to the start of each activity task, or DFOW. The Government reserves the right to require the Contractor to revise and resubmit the AHA if it fails to effectively identify the work sequences, specific anticipated hazards, site conditions, equipment, materials, personnel and the control measures to be implemented.

AHAs must identify competent persons required for phases involving high risk activities, including confined entry, crane and rigging, excavations, trenching, electrical work, fall protection, and scaffolding.

1.8.1 AHA Management

Review the AHA list periodically (at least monthly) at the Contractor supervisory safety meeting, and update as necessary when procedures, scheduling, or hazards change. Use the AHA during daily inspections by the SSHO to ensure the implementation and effectiveness of the required safety and health controls for that work activity.

1.8.2 AHA Signature Log

Each employee performing work as part of an activity, task or DFOW must review the AHA for that work and sign a signature log specifically maintained for that AHA prior to starting work on that activity. The SSHO must maintain a signature log on site for every AHA. Provide employees whose primary language is other than English, with an interpreter to ensure a clear understanding of the AHA and its contents.

1.9 DISPLAY OF SAFETY INFORMATION

1.9.1 Safety Bulletin Board

Prior to commencement of work, erect a safety bulletin board at the job site. Where size, duration, or logistics of project do not facilitate a bulletin board, an alternative method, acceptable to the Contracting Officer, that is accessible and includes all mandatory information for employee and visitor review, may be deemed as meeting the requirement for a bulletin board. Include and maintain information on safety bulletin board as required by EM 385-1-1, Section 01.A.07. Additional items required to be posted include:

a. Confined space entry permit.

b. Hot work permit.

1.9.2 Safety and Occupational Health (SOH) Deficiency Tracking System

Establish a SOH deficiency tracking system that lists and monitors the status of SOH deficiencies in chronological order. Use the tracking system to evaluate the effectiveness of the APP. A monthly evaluation of the data must be discussed in the QC or SOH meeting with everyone on the project. The list must be posted on the project bulletin board and updated daily, and provide the following information:

a. Date deficiency identified;

b. Description of deficiency;

c. Name of person responsible for correcting deficiency;

d. Projected resolution date;

e. Date actually resolved.

1.10 SITE SAFETY REFERENCE MATERIALS

Maintain safety-related references applicable to the project, including those listed in paragraph REFERENCES. Maintain applicable equipment manufacturer's manuals.

1.11 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. Government has no responsibility to provide emergency medical treatment.

1.12 NOTIFICATIONS and REPORTS

1.12.1 Mishap Notification

Notify the Contracting Officer as soon as practical, but no more than twenty-four hours, after any mishaps, including recordable accidents, incidents, and near misses, as defined in EM 385-1-1 Appendix Q, any report of injury, illness, or any property damage. For LHE or rigging mishaps, notify the Contracting Officer as soon as practical but not more than four hours after mishap. The Contractor is responsible for obtaining appropriate medical and emergency assistance and for notifying fire, law enforcement, and regulatory agencies. Immediate reporting is required for electrical mishaps, to include Arc Flash; shock; uncontrolled release of hazardous energy (includes electrical and non-electrical); load handling equipment or rigging; fall from height (any level other than same surface); and underwater diving. These mishaps must be investigated in depth to identify all causes and to recommend hazard control measures.

Within notification include Contractor name; Contractt title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any mishap.

1.12.2 Accident Reports

a. Conduct an accident investigation for recordable injuries and illnesses, property damage, and near misses as defined in EM 385-1-1, to establish the root cause(s) of the accident. The Contracting Officer will provide copies of any required or special forms.

b. Near Misses: Near miss reports are considered positive and proactive Contractor safety management actions.

1.12.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.12.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a FORM 16-1 Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1, Section 16.H.03 using Form 16-2 Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.13 HOT WORK

1.13.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e. welding or cutting) or operating other flame-producing/spark producing devices, from the Fire Department. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. CONTRACTORS ARE REQUIRED TO MEET ALL CRITERIA BEFORE A PERMIT IS ISSUED. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of one hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Fire Department phone number. REPORT ANY FIRE, NO MATTER HOW SMALL, TO THE RESPONSIBLE FIRE DEPARTMENT IMMEDIATELY.

1.13.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist for "HOT WORK" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation

systems that are sufficient to reduce and maintain personal exposures to within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1, Section 06.H

1.14 RADIATION SAFETY REQUIREMENTS

Submit License Certificates, employee training records, and Leak Test Reports for radiation materials and equipment to the Contracting Officer and Radiation Safety Office (RSO) for all specialized and licensed material and equipment proposed for use on the construction project (excludes portable machine sources of ionizing radiation including moisture density and X-Ray Fluorescence (XRF)). Maintain on-site records whenever licensed radiological materials or ionizing equipment are on Government property.

Protect workers from radiation exposure in accordance with 10 CFR 20, ensuring any personnel exposures are maintained As Low As Reasonably Achievable.

1.14.1 Radiography Operation Planning Work Sheet

Submit a Gamma and X-Ray Radiography Operation Planning Work Sheet to Contracting Officer 14 days prior to commencement of operations involving radioactive materials or radiation generating devices. For portable machine sources of ionizing radiation, including moisture density and XRF, use and submit the Portable Gauge Operations Planning Worksheet instead. The Contracting Officer will review the submitted worksheet and provide questions and comments.

Contractors must use primary dosimeters process by a National Voluntary Laboratory Accreditation Program (NVLAP) accredited laboratory.

1.14.2 Site Access and Security

Coordinate site access and security requirements with the Contracting Officer for all radiological materials and equipment containing ionizing radiation that are proposed for use on a government facility. For gamma radiography materials and equipment, a Government escort is required for any travels on the Installation. The Government authorized representative will meet the Contractor at a designated location outside the Installation, ensure safety of the materials being transported, and will escort the Contractor for gamma sources onto the Installation, to the job site, and off the Installation. For portable machine sources of ionizing radiation, including moisture density and XRF, the Government authorized representative will meet the Contractor at the job site.

Provide a copy of all calibration records, and utilization records for radiological operations performed on the site.

1.14.3 Loss or Release and Unplanned Personnel Exposure

Loss or release of radioactive materials, and unplanned personnel exposures must be reported immediately to the Contracting Officer, RSO, and Base Security Department Emergency Number.

1.14.4 Site Demarcation and Barricade

Properly demark and barricade an area surrounding radiological operations

to preclude personnel entrance, in accordance with EM 385-1-1, Nuclear Regulatory Commission, and Applicable State regulations and license requirements, and in accordance with requirements established in the accepted Radiography Operation Planning Work Sheet.

Do not close or obstruct streets, walks, and other facilities occupied and used by the Government without written permission from the Contracting Officer.

1.14.5 Security of Material and Equipment

Properly secure the radiological material and ionizing radiation equipment at all times, including keeping the devices in a properly marked and locked container, and secondarily locking the container to a secure point in the Contractor's vehicle or other approved storage location during transportation and while not in use. While in use, maintain a continuous visual observation on the radiological material and ionizing radiation equipment. In instances where radiography is scheduled near or adjacent to buildings or areas having limited access or one-way doors, make no assumptions as to building occupancy. Where necessary, the Contracting Officer will direct the Contractor to conduct an actual building entry, search, and alert. Where removal of personnel from such a building cannot be accomplished and it is otherwise safe to proceed with the radiography, position a fully instructed employee inside the building or area to prevent exiting while external radiographic operations are in process.

1.14.6 Transportation of Material

Comply with 49 CFR 173 for Transportation of Regulated Amounts of Radioactive Material. Notify Local Fire authorities and the site Radiation Safety Officer (RSO) of any Radioactive Material use.

1.14.7 Schedule for Exposure or Unshielding

Actual exposure of the radiographic film or unshielding the source must not be initiated until after 5 p.m. on weekdays.

1.14.8 Transmitter Requirements

Adhere to the base policy concerning the use of transmitters, such as radios and cell phones. Obey Emissions control (EMCON) restrictions.

1.15 CONFINED SPACE ENTRY REQUIREMENTS

Confined space entry must comply with Section 34 of EM 385-1-1, OSHA 29 CFR 1926, OSHA 29 CFR 1910, OSHA 29 CFR 1910.146, and OSHA Directive CPL 2.100. Any potential for a hazard in the confined space requires a permit system to be used.

1.15.1 Entry Procedures

Prohibit entry into a confined space by personnel for any purpose, including hot work, until the qualified person has conducted appropriate tests to ensure the confined or enclosed space is safe for the work intended and that all potential hazards are controlled or eliminated and documented. Comply with EM 385-1-1, Section 34 for entry procedures. Hazards pertaining to the space must be reviewed with each employee during review of the AHA.

1.15.2 Forced Air Ventilation

Forced air ventilation is required for all confined space entry operations and the minimum air exchange requirements must be maintained to ensure exposure to any hazardous atmosphere is kept below its action level.

1.15.3 Sewer Wet Wells

Sewer wet wells require continuous atmosphere monitoring with audible alarm for toxic gas detection.

1.15.4 Rescue Procedures and Coordination with Local Emergency Responders

Develop and implement an on-site rescue and recovery plan and procedures. The rescue plan must not rely on local emergency responders for rescue from a confined space.

1.16 SEVERE STORM PLAN

In the event of a severe storm warning, the Contractor must comply with the applicable Storm Plan and:

a. Secure outside equipment and materials and place materials that could be damaged in protected areas.

b. Check surrounding area, including roof, for loose material, equipment, debris, and other objects that could be blown away or against existing facilities.

c. Ensure that temporary erosion controls are adequate.

PART 2 PRODUCTS

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

a. Hard Hat

b. Long Pants

c. Appropriate Safety Shoes

d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers

must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Hazardous Material Exclusions

Notwithstanding any other hazardous material used in this Contract, radioactive materials or instruments capable of producing ionizing/non-ionizing radiation (with the exception of radioactive material and devices used in accordance with EM 385-1-1 such as nuclear density meters for compaction testing and laboratory equipment with radioactive sources) as well as materials which contain asbestos, mercury or polychlorinated biphenyls, di-isocyanates, lead-based paint, and hexavalent chromium, are prohibited. The Contracting Officer, upon written request by the Contractor, may consider exceptions to the use of any of the above excluded materials. Low mercury lamps used within fluorescent lighting fixtures are allowed as an exception without further Contracting Officer approval. Notify the Radiation Safety Officer (RSO) prior to excepted items of radioactive material and devices being brought on base.

3.1.3 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e. 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during construction operations, stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification pursuant to FAR 52.243-4 Changes and FAR 52.236-2 Differing Site Conditions.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages at least 15 days in advance. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill energy isolation requirements in accordance with EM 385-1-1, Section 11.A.02 (Isolation). Some examples of energy isolation devices and procedures are highlighted in EM 385-1-1, Section 12.D. In accordance with EM 385-1-1, Section 12.A.01, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of HECP and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1, Section 11.A.02 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 OUTAGE COORDINATION MEETING

After the utility outage request is approved and prior to beginning work on the utility system requiring shut-down, conduct a pre-outage coordination meeting in accordance with EM 385-1-1, Section 12.A. This meeting must include the Prime Contractor, the Prime and subcontractors performing the work, the Contracting Officer, and the Public Utilities representative. All parties must fully coordinate HEC activities with one another. During the coordination meeting, all parties must discuss and coordinate on the scope of work, HEC procedures (specifically, the lock-out/tag-out procedures for worker and utility protection), the AHA, assurance of trade personnel qualifications, identification of competent persons, and compliance with HECP training in accordance with EM 385-1-1, Section 12.C. Clarify when personal protective equipment is required during switching operations, inspection, and verification.

3.4 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1 Section 12, 29 CFR 1910.333, 29 CFR 1915.89, ASSP A10.44, NFPA 70E, and paragraph HAZARDOUS ENERGY CONTROL PROGRAM (HECP).

3.4.1 Safety Preparatory Inspection Coordination Meeting with the Government or Utility

For electrical distribution equipment that is to be operated by Government or Utility personnel, the Prime Contractor and the subcontractor performing the work must attend the safety preparatory inspection coordination meeting, which will also be attended by the Contracting Officer's Representative, and required by EM 385-1-1, Section 12.A.02. The meeting will occur immediately preceding the start of work and following the completion of the outage coordination meeting. Both the safety preparatory inspection coordination meeting and the outage coordination meeting must occur prior to conducting the outage and commencing with lockout/tagout procedures.

3.4.2 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1, Sections 05.I and 11.B. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1, Section 12.E.06.

3.4.3 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, Section 12.E.08, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been

conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.5 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with ASSP Z359.2 and EM 385-1-1, Sections 21.A and 21.D.

3.5.1 Training

Institute a fall protection training program. As part of the Fall Protection Program, provide training for each employee who might be exposed to fall hazards and using personal fall protection equipment. Provide training by a competent person for fall protection in accordance with EM 385-1-1, Section 21.C. Document training and practical application of the competent person in accordance with EM 385-1-1, Section 21.C.04 and ASSP Z359.2 in the AHA.

3.5.2 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1, Section 21.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 Section 21.I, 29 CFR 1926.500 Subpart M,ASSP Z359.0, ASSP Z359.1, ASSP Z359.2, ASSP Z359.3, ASSP Z359.4, ASSP Z359.6, ASSP Z359.7, ASSP Z359.11, ASSP Z359.12, ASSP Z359.13, ASSP Z359.14, ASSP Z359.15, ASSP Z359.16 and ASSP Z359.18.

3.5.2.1 Additional Personal Fall Protection Measures

In addition to the required fall protection systems, other protective measures such as safety skiffs, personal floatation devices, and life rings, are required when working above or next to water in accordance with EM 385-1-1, Sections 21.O through 21.O.06. Personal fall protection systems and equipment are required when working from an articulating or extendible boom, swing stages, or suspended platform. In addition, personal fall protection systems are required when operating other equipment such as scissor lifts. The need for tying-off in such equipment is to prevent ejection of the employee from the equipment during raising, lowering, travel, or while performing work.

3.5.2.2 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap

hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1, Section 21.I.06.

3.5.3 Fall Protection for Roofing Work

Implement fall protection controls based on the type of roof being constructed and work being performed. Evaluate the roof area to be accessed for its structural integrity including weight-bearing capabilities for the projected loading.

a. Low Sloped Roofs:

(1) For work within 6 feet from unprotected edge of a roof having a slope less than or equal to 4:12 (vertical to horizontal), protect personnel from falling by the use of conventional fall protection systems (personal fall arrest/restraint systems, guardrails, or safety nets) in accordance with EM 385-1-1, Section 21 and 29 CFR 1926.500. A safety monitoring system is not adequate fall protection and is not authorized.

(2) For work greater than 6 feet from the unprotected roof edge, addition to the use of conventional fall protection systems the use of a warning line system is also permitted, in accordance with 29 CFR 1926.500 and EM 385-1-1, Section 21.L.

b. Steep-Sloped Roofs: Work on a roof having a slope greater than 4:12 (vertical to horizontal) requires a personal fall arrest system, guardrails with toe-boards, or safety nets. This requirement also applies to residential or housing type construction.

3.5.4 Horizontal Lifelines (HLL)

Provide HLL in accordance with EM 385-1-1, Section 21.I.08.d.2. Commercially manufactured horizontal lifelines (HLL) must be designed, installed, certified and used, under the supervision of a qualified person, for fall protection as part of a complete fall arrest system which maintains a safety factor of 2 (29 CFR 1926.500). The competent person for fall protection may (if deemed appropriate by the qualified person) supervise the assembly, disassembly, use and inspection of the HLL system under the direction of the qualified person. Locally manufactured HLLs are not acceptable unless they are custom designed for limited or site specific applications by a Registered Professional Engineer who is qualified in designing HLL systems.

3.5.5 Guardrails and Safety Nets

Design, install and use guardrails and safety nets in accordance with EM 385-1-1, Section 21.F.01 and 29 CFR 1926 Subpart M.

3.5.6 Rescue and Evacuation Plan and Procedures

When personal fall arrest systems are used, ensure that the mishap victim can self-rescue or can be rescued promptly should a fall occur. Prepare a Rescue and Evacuation Plan and include a detailed discussion of the following: methods of rescue; methods of self-rescue or assisted-rescue; equipment used; training requirement; specialized training for the rescuers; procedures for requesting rescue and medical assistance; and transportation routes to a medical facility. Include the Rescue and Evacuation Plan within the Activity Hazard Analysis (AHA) for the phase of work, in the Fall Protection and Prevention (FP&P) Plan, and the Accident Prevention Plan (APP). The plan must be in accordance with the requirements of EM 385-1-1, ASSP Z359.2, and ASSP Z359.4.

3.6 WORK PLATFORMS

3.6.1 Scaffolding

Provide employees with a safe means of access to the work area on the scaffold. Climbing of any scaffold braces or supports not specifically designed for access is prohibited. Comply with the following requirements:

a. Scaffold platforms greater than 20 feet in height must be accessed by use of a scaffold stair system.

b. Ladders commonly provided by scaffold system manufacturers are prohibited for accessing scaffold platforms greater than 20 feet maximum in height.

c. An adequate gate is required.

d. Employees performing scaffold erection and dismantling must be qualified.

e. Scaffold must be capable of supporting at least four times the maximum intended load, and provide appropriate fall protection as delineated in the accepted fall protection and prevention plan.

f. Stationary scaffolds must be attached to structural building components to safeguard against tipping forward or backward.

g. Special care must be given to ensure scaffold systems are not overloaded.

h. Side brackets used to extend scaffold platforms on self-supported scaffold systems for the storage of material are prohibited. The first tie-in must be at the height equal to 4 times the width of the smallest dimension of the scaffold base.

i. Scaffolding other than suspended types must bear on base plates upon wood mudsills (2 in x 10 in x 8 in minimum) or other adequate firm foundation.

j. Scaffold or work platform erectors must have fall protection during the erection and dismantling of scaffolding or work platforms that are more than 6 feet.

k. Delineate fall protection requirements when working above 6 feet or above dangerous operations in the Fall Protection and Prevention

(FP&P) Plan and Activity Hazard Analysis (AHA) for the phase of work.

3.6.2 Elevated Aerial Work Platforms (AWPs)

Workers must be anchored to the basket or bucket in accordance with manufacturer's specifications and instructions (anchoring to the boom may only be used when allowed by the manufacturer and permitted by the CP). Lanyards used must be sufficiently short to prohibit worker from climbing out of basket. The climbing of rails is prohibited. Lanyards with built-in shock absorbers are acceptable. Self-retracting devices are not acceptable. Tying off to an adjacent pole or structure is not permitted unless a safe device for 100 percent tie-off is used for the transfer.

Use of AWPs must be operated, inspected, and maintained as specified in the operating manual for the equipment and delineated in the AHA. Operators of AWPs must be designated as qualified operators by the Prime Contractor. Maintain proof of qualifications on site for review and include in the AHA.

3.7 EQUIPMENT

3.7.1 Material Handling Equipment (MHE)

a. Material handling equipment such as forklifts must not be modified with work platform attachments for supporting employees unless specifically delineated in the manufacturer's printed operating instructions. Material handling equipment fitted with personnel work platform attachments are prohibited from traveling or positioning while personnel are working on the platform.

b. The use of hooks on equipment for lifting of material must be in accordance with manufacturer's printed instructions. Material Handling Equipment Operators must be trained in accordance with OSHA 29 CFR 1910, Subpart N.

c. Operators of forklifts or power industrial trucks must be licensed in accordance with OSHA.

3.7.2 Load Handling Equipment (LHE)

The following requirements apply. In exception, these requirements do not apply to commercial truck mounted and articulating boom cranes used solely to deliver material and supplies (not prefabricated components, structural steel, or components of a systems-engineered metal building) where the lift consists of moving materials and supplies from a truck or trailer to the ground; to cranes installed on mechanics trucks that are used solely in the repair of shore-based equipment; to crane that enter the activity but are not used for lifting; nor to other machines not used to lift loads suspended by rigging equipment. However, LHE accidents occurring during such operations must be reported.

a. Equip cranes and derricks as specified in EM 385-1-1, Section 16.

b. Notify the Contracting Officer 15 working days in advance of any LHE entering the activity, in accordance with EM 385-1-1, Section 16.A.02, so that necessary quality assurance spot checks can be coordinated. Contractor's operator must remain with the crane during the spot check. Rigging gear must be in accordance with OSHA, ASME B30.9 Standards safety standards.

c. Comply with the LHE manufacturer's specifications and limitations for erection and operation of cranes and hoists used in support of the work. Perform erection under the supervision of a designated person (as defined in ASME B30.5). Perform all testing in accordance with the manufacturer's recommended procedures.

d. Comply with ASME B30.5 for mobile and locomotive cranes, ASME B30.22 for articulating boom cranes, ASME B30.3 for construction tower cranes, ASME B30.8 for floating cranes and floating derricks, ASME B30.9 for slings, ASME B30.20 for below the hook lifting devices and ASME B30.26 for rigging hardware.

e. When operating in the vicinity of overhead transmission lines, operators and riggers must be alert to this special hazard and follow the requirements of EM 385-1-1 Section 11, and ASME B30.5 or ASME B30.22 as applicable.

f. Do not use crane suspended personnel work platforms (baskets) unless the Contractor proves that using any other access to the work location would provide a greater hazard to the workers or is impossible. Do not lift personnel with a line hoist or friction crane. Additionally, submit a specific AHA for this work to the Contracting Officer. Ensure the activity and AHA are thoroughly reviewed by all involved personnel.

g. Inspect, maintain, and recharge portable fire extinguishers as specified in NFPA 10, Standard for Portable Fire Extinguishers.

h. All employees must keep clear of loads about to be lifted and of suspended loads, except for employees required to handle the load.

i. Use cribbing when performing lifts on outriggers.

j. The crane hook/block must be positioned directly over the load. Side loading of the crane is prohibited.

k. A physical barricade must be positioned to prevent personnel access where accessible areas of the LHE's rotating superstructure poses a risk of striking, pinching or crushing personnel.

l. Maintain inspection records in accordance by EM 385-1-1, Section 16.D, including shift, monthly, and annual inspections, the signature of the person performing the inspection, and the serial number or other identifier of the LHE that was inspected. Records must be available for review by the Contracting Officer.

m. Maintain written reports of operational and load testing in accordance with EM 385-1-1, Section 16.F, listing the load test procedures used along with any repairs or alterations performed on the LHE. Reports must be available for review by the Contracting Officer.

n. Certify that all LHE operators have been trained in proper use of all safety devices (e.g. anti-two block devices).

o. Take steps to ensure that wind speed does not contribute to loss of control of the load during lifting operations. At wind speeds greater than 20 mph, the operator, rigger and lift supervisor must cease all crane operations, evaluate conditions and determine if the lift may

proceed. Base the determination to proceed or not on wind calculations per the manufacturer and a reduction in LHE rated capacity if applicable. Include this maximum wind speed determination as part of the activity hazard analysis plan for that operation.

q. Follow FAA guidelines when required based on project location.

3.7.3 Machinery and Mechanized Equipment

a. Proof of qualifications for operator must be kept on the project site for review.

b. Manufacture specifications or owner's manual for the equipment must be on-site and reviewed for additional safety precautions or requirements that are sometimes not identified by OSHA or USACE EM 385-1-1. Incorporate such additional safety precautions or requirements into the AHAs.

3.7.4 Base Mounted Drum Hoists

a. Operation of base mounted drum hoists must be in accordance with EM 385-1-1 and ASSP A10.22.

b. Rigging gear must be in accordance with applicable ASME/OSHA standards.

c. When used on telecommunication towers, base mounted drum hoists must be in accordance with TIA-1019, TIA-222, ASME B30.7, 29 CFR 1926.552, and 29 CFR 1926.553.

d. When used to hoist personnel, the AHA must include a written standard operating procedure. Operators must have a physical examination in accordance with EM 385-1-1 Section 16.B.05 and trained, at a minimum, in accordance with EM 385-1-1 Section 16.U and 16.T. The base mounted drum hoist must also comply with OSHA Instruction CPL 02-01-056 and ASME B30.23.

e. Material and personnel must not be hoisted simultaneously.

f. Personnel cage must be marked with the capacity (in number of persons) and load limit in pounds.

g. Construction equipment must not be used for hoisting material or personnel or with trolley/tag lines. Construction equipment may be used for towing and assisting with anchoring guy lines.

3.7.5 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.8 EXCAVATIONS

Soil classification must be performed by a competent person in accordance with 29 CFR 1926 and EM 385-1-1.

3.8.1 Utility Locations

Provide a third party, independent, private utility locating company to positively identify underground utilities in the work area in addition to any station locating service and coordinated with the station utility department.

3.8.2 Utility Location Verification

Physically verify underground utility locations, including utility depth, by hand digging using wood or fiberglass handled tools when any adjacent construction work is expected to come within 3 feet of the underground system.

3.8.3 Utilities Within and Under Concrete, Bituminous Asphalt, and Other Impervious Surfaces

Utilities located within and under concrete slabs or pier structures, bridges, parking areas, and the like, are extremely difficult to identify. Whenever Contract work involves chipping, saw cutting, or core drilling through concrete, bituminous asphalt or other impervious surfaces, the existing utility location must be coordinated with station utility departments in addition to location and depth verification by a third party, independent, private locating company. The third party, independent, private locating company must locate utility depth by use of Ground Penetrating Radar (GPR), X-ray, bore scope, or ultrasound prior to the start of demolition and construction. Outages to isolate utility systems must be used in circumstances where utilities are unable to be positively identified. The use of historical drawings does not alleviate the Contractor from meeting this requirement.

3.9 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1, Sections 11 and 12.

3.9.1 Conduct of Electrical Work

As delineated in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers

are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety shoes, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.147.

3.9.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

3.9.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.9.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

Check grounding circuits to ensure that the circuit between the ground and a grounded power conductor has a resistance low enough to permit sufficient current flow to allow the fuse or circuit breaker to interrupt the current.

3.9.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

SECTION 01 42 00

SOURCES FOR REFERENCE PUBLICATIONS
02/19

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the standards producing organization (e.g., ASTM B564 Standard Specification for Nickel Alloy Forgings). However, when the standards producing organization has not assigned a number to a document, an identifying number has been assigned for reference purposes.

1.2 ORDERING INFORMATION

The addresses of the standards publishing organizations whose documents are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided.

AACE INTERNATIONAL (AACE)
1265 Suncrest Towne Centre Drive
Morgantown, WV 26505-1876 USA
Ph: 304-296-8444
Fax: 304-291-5728
Internet: https://web.aacei.org/

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)
2111 Wilson Blvd, Suite 400
Arlington, VA 22201
Ph: 703-524-8800
Internet: http://www.ahrinet.org

ALUMINUM ASSOCIATION (AA)
1400 Crystal Drive
Suite 430
Arlington, VA 22202
Ph: 703-358-2960
E-Mail: info@aluminum.org
Internet: https://www.aluminum.org/

AMERICAN ARCHITECTURAL MANUFACTURERS ASSOCIATION (AAMA)
1900 E Golf Rd, Suite 1250
Schaumburg, IL 60173
Ph: 847-303-5664
E-mail: customerservice@aamanet.org
Internet: https://aamanet.org/

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)
444 North Capital Street, NW, Suite 249
Washington, DC 20001

Ph: 202-624-5800
Fax: 202-624-5806
E-Mail: info@aashto.org
Internet: https://www.transportation.org/

AMERICAN CONCRETE INSTITUTE (ACI)
38800 Country Club Drive
Farmington Hills, MI 48331-3439
Ph: 248-848-3700
Fax: 248-848-3701
Internet: https://www.concrete.org/

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)
1330 Kemper Meadow Drive
Cincinnati, OH 45240
Ph: 513-742-2020
Fax: 513-742-3355
Internet: https://www.acgih.org/

AMERICAN FOREST FOUNDATION (AFF)
American Tree Farm System
2000 M Street, NW, Suite 550
Washington, DC 20036
Ph: 202-765-3660
Fax: 202-827-7924
Email: info@forestfoundation.org
Internet: https://www.treefarmsystem.org

AMERICAN HARDBOARD ASSOCIATION (AHA)
1210 West Northwest Highway
Palatine, IL 60067
Ph: 847-934-8800
Fax: 847-934-8803
E-mail: aha@hardboard.org
Internet: http://domensino.com/AHA/

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)
130 East Randolph, Suite 2000
Chicago, IL 60601
Ph: 312-670-5444
Fax: 312-670-5403
Steel Solutions Center: 866-275-2472
E-mail: solutions@aisc.org
Internet: https://www.aisc.org/

AMERICAN IRON AND STEEL INSTITUTE (AISI)
25 Massachusetts Avenue, NW Suite 800
Washington, DC 20001
Ph: 202-452-7100
Internet: https://www.steel.org/

AMERICAN LUMBER STANDARDS COMMITTEE (ALSC)
7470 New Technology Way, Suite F
Frederick, MD 21703
Ph: 301-972-1700
Fax: 301-540-8004
E-mail: alsc@alsc.org
Internet: http://www.alsc.org

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)
1801 Alexander Bell Drive
Reston, VA 20191
Ph: 800-548-2723; 703-295-6300
Internet: https://www.asce.org/

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)
1791 Tullie Circle, NE
Atlanta, GA 30329
Ph: 404-636-8400 or 800-527-4723
Fax: 404-321-5478
E-mail: ashrae@ashrae.org
Internet: https://www.ashrae.org/

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)
Two Park Avenue
New York, NY 10016-5990
Ph: 800-843-2763
Fax: 973-882-1717
E-mail: customercare@asme.org
Internet: https://www.asme.org/

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)
520 N. Northwest Highway
Park Ridge, IL 60068
Ph: 847-699-2929
E-mail: customerservice@assp.org
Internet: https://www.assp.org/

AMERICAN WATER WORKS ASSOCIATION (AWWA)
6666 W. Quincy Avenue
Denver, CO 80235 USA
Ph: 303-794-7711 or 800-926-7337
Fax: 303-347-0804
Internet: https://www.awwa.org/

AMERICAN WELDING SOCIETY (AWS)
8669 NW 36 Street, #130
Miami, FL 33166-6672
Ph: 800-443-9353
Internet: https://www.aws.org/

AMERICAN WOOD COUNCIL (AWC)
222 Catoctin Circle SE, Suite 201
Leesburg, VA 20175
Ph: 800-890-7732
Fax: 412-741-0609
E-mail: publications@awc.org
Internet: https://www.awc.org/

AMERICAN WOOD PROTECTION ASSOCIATION (AWPA)
P.O. Box 361784
Birmingham, AL 35236-1784
Ph: 205-733-4077
Fax: 205-733-4075
Internet: http://www.awpa.com

APA - THE ENGINEERED WOOD ASSOCIATION (APA)
7011 South 19th St.
Tacoma, WA 98466-5333
Ph: 253-565-6600
Fax: 253-565-7265
Internet: https://www.apawood.org/

ASPHALT INSTITUTE (AI)
2696 Research Park Drive
Lexington, KY 40511-8480
Ph: 859-288-4960
Fax: 859-288-4999
E-mail: info@asphaltinstitute.org
Internet: http://www.asphaltinstitute.org

ASSOCIATED AIR BALANCE COUNCIL (AABC)
1220 19th St NW, Suite 410
Washington, DC 20036
Ph: 202-737-0202
Fax: 202-315-0285
E-mail: info@aabc.com
Internet: https://www.aabc.com/

ASTM INTERNATIONAL (ASTM)
100 Barr Harbor Drive, P.O. Box C700
West Conshohocken, PA 19428-2959
Ph: 610-832-9500
Fax: 610-832-9555
E-mail: service@astm.org
Internet: https://www.astm.org/

BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)
355 Lexington Avenue, 15th Floor
New York, NY 10017
Ph: 212-297-2122
Fax: 212-370-9047
Internet: https://www.buildershardware.com/

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)
PO Box 997377, MS 0500
Sacramento, CA 95899-7377
Ph: 916-558-1784
Internet: https://www.cdph.ca.gov/

CALIFORNIA ENERGY COMMISSION (CEC)
Media and Public Communications Office
1516 Ninth Street, MS-29
Sacramento, CA 95814-5512
Ph: 916-654-5106
E-mail: appliances@energy.ca.gov
Internet: https://www.energy.ca.gov/

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)
933 North Plum Grove Road
Schaumburg, IL 60173-4758
Ph: 847-517-1200
Fax: 847-517-1206
Internet: http://www.crsi.org/

CSA GROUP (CSA)
178 Rexdale Blvd.
Toronto, ON, Canada M9W 1R3
Ph: 416-747-4044
Fax: 416-747-2510
E-mail: member@csagroup.org
Internet: https://www.csagroup.org/

DOOR AND ACCESS SYSTEM MANUFACTURERS ASSOCIATION (DASMA)
1300 Sumner Avenue
Cleveland, OH 44115-2851
Ph: 216-241-7333
Fax: 216-241-0105
Internet: https://www.dasma.com/

EUROPEAN UNION (EU)
European Commission
Rue de la Loi 200
1000 Bruxelle
Belgium
Ph: +32 2 299 96 96
Internet: https://ec.europa.eu/info/index_en

FM GLOBAL (FM)
270 Central Avenue
Johnston, RI 02919-4949
Ph: 401-275-3000
Fax: 401-275-3029
Internet: https://www.fmglobal.com/

FOREST STEWARDSHIP COUNCIL (FSC)
708 First Street North, Suite 235
Minneapolis, MN 55401
Ph: 612-353-4511
E-mail: info@us.fcs.org
Internet: https://us.fsc.org/

GREEN SEAL (GS)
1001 Connecticut Avenue, NW
Suite 827
Washington, DC 20036-5525
Ph: 202-872-6400
Fax: 202-872-4324
E-mail: greenseal@greenseal.org
Internet: https://www.greenseal.org/

ILLUMINATING ENGINEERING SOCIETY (IES)
120 Wall Street, Floor 17
New York, NY 10005-4001
Ph: 212-248-5000
Fax: 212-248-5018
E-mail: membership@ies.org
Internet: https://www.ies.org/

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)
445 and 501 Hoes Lane
Piscataway, NJ 08854-4141
Ph: 732-981-0060 or 800-701-4333

Fax: 732-981-9667
E-mail: onlinesupport@ieee.org
Internet: https://www.ieee.org/

INTERNATIONAL AIR TRANSPORT ASSOCIATION (IATA)
800 Place Victoria
PO Box 113
Montréal, Quebec, H4Z 1M1
Ph: 514-390-6726 or 800-716-6326
Fax: 514-874-9659
E-mail: custserv@iata.org
Internet: https://www.iata.org/

INTERNATIONAL CODE COUNCIL (ICC)
500 New Jersey Avenue, NW
6th Floor, Washington, DC 20001
Ph: 800-786-4452 or 888-422-7233
Fax: 202-783-2348
E-mail: order@iccsafe.org
Internet: https://www.iccsafe.org/

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)
3050 Old Centre Ave. Suite 101
Portage, MI 49024
Ph: 269-488-6382
Fax: 269-488-6383
Internet: https://www.netaworld.org/

MASTER PAINTERS INSTITUTE (MPI)
2800 Ingleton Avenue
Burnaby, BC CANADA V5C 6G7
Ph: 1-888-674-8937
Fax: 1-888-211-8708
E-mail: info@paintinfo.com or techservices@mpi.net
Internet: http://www.mpi.net/

METAL BUILDING MANUFACTURERS ASSOCIATION (MBMA)
1300 Sumner Avenue
Cleveland, OH 44115-2851
Ph: 216-241-7333
Fax: 216-241-0105
Internet: https://www.mbma.com/

NATIONAL ASSOCIATION OF ARCHITECTURAL METAL MANUFACTURERS (NAAMM)
800 Roosevelt Road, Bldg C, Suite 312
Glen Ellyn, IL 60137
Ph: 630-942-6591
Fax: 630-790-3095
E-mail: info@naamm.org
Internet: http://www.naamm.org

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)
1300 North 17th Street, Suite 900
Arlington, VA 22209
Ph: 703-841-3200
Internet: https://www.nema.org

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)
8575 Grovemont Circle

Gaithersburg, MD 20877
Ph: 301-977-3698
Fax: 301-977-9589
Internet: http://www.nebb.org

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)
1 Batterymarch Park
Quincy, MA 02169-7471
Ph: 800-344-3555
Fax: 800-593-6372
Internet: https://www.nfpa.org

NATIONAL HARDWOOD LUMBER ASSOCIATION (NHLA)
6830 Raleigh LaGrange Road
PO Box 34518
Memphis, TN 38184
Ph: 901-377-1818
Internet: https://nhla.com/

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)
100 Bureau Drive
Gaithersburg, MD 20899
Ph: 301-975-2000
Internet: https://www.nist.gov/

NORTHEASTERN LUMBER MANUFACTURERS ASSOCIATION (NELMA)
272 Tuttle Road
Cumberland, ME 04021
Ph: 207-829-6901
Fax: 207-829-4293
E-mail: info@nelma.org
Internet: https://www.nelma.org/

PROGRAMME FOR ENDORSEMENT OF FOREST CERTIFICATION (PEFC)
10, Route de l'Aeroport
Case Postale 638
1215 Geneva - Switzerland
Ph: +41 (22) 799-4540
Fax: +41 (22) 799-4550
Internet: https://www.pefc.org/

REDWOOD INSPECTION SERVICE (RIS) OF THE CALIFORNIA REDWOOD ASSOCIATION (CRA)
818 Grayson Road, Suite 201
Pleasant Hill, CA 94523
Ph: 925-935-1499
Fax: 925-935-1496
Internet:
https://www.wwpa.org/about-wwpa/redwood-inspection-service

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)
2000 Powell Street, Suite 600
Emeryville, CA 94608
Ph: 510-452-8000
Fax: 510-452-8001
E-mail: info@SCSglobalservices.com
Internet: https://www.scsglobalservices.com/

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)
4201 Lafayette Center Drive
Chantilly, VA 20151-1219
Ph: 703-803-2980
Fax: 703-803-3732
Internet: https://www.smacna.org/

SOCIETY FOR PROTECTIVE COATINGS (SSPC)
800 Trumbull Drive
Pittsburgh, PA 15205
Ph: 877-281-7772 or 412-281-2331
Fax: 412-444-3591
E-mail: customerservice@sspc.org
Internet: http://www.sspc.org

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)
21865 Copley Drive
Diamond Bar, CA 91765
Ph: 909-396-2000
E-mail: webinquiry@aqmd.gov
Internet: http://www.aqmd.gov

SOUTHERN CYPRESS MANUFACTURERS ASSOCIATION (SCMA)
665 Rodi Road, Suite 305
Pittsburgh, PA 15235
Ph: 412-244-0440
Fax: 412-244-9090
Internet: http://www.cypressinfo.org

SOUTHERN PINE INSPECTION BUREAU (SPIB)
P.O. Box 10915
Pensacola, FL 32524-0915
Ph: 850-434-2611 or 800-995-7742
Fax: 850-434-1290
E-mail: spib@spib.org
Internet: https://www.spib.org/

STEEL DECK INSTITUTE (SDI)
P.O. Box 426
Glenshaw, PA 15116
Ph: 412-487-3325
Fax: 412-487-3326
Internet: https://www.sdi.org/

STEEL DOOR INSTITUTE (SDI/DOOR)
30200 Detroit Road
Westlake, OH 44145
Ph: 440-899-0010
Fax: 440-892-1404
E-mail: info@steeldoor.org
Internet: https://www.steeldoor.org/

SUSTAINABLE FOREST INITIATIVE (SFI)
2121 K Street NW
Suite 750
Washington, DC 20037
Ph: 202-596-3450
Fax: 202-596-3451

E-mail: info@sfiprogram.org
Internet: http://www.sfiprogram.org

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)
1320 North Courthouse Rosd, Suite 200
Arlington, VA 22201
Ph: 703-907-7700
Fax: 703-907-7727
E-mail: marketing@tiaonline.org
Internet: https://www.tiaonline.org/

THE MASONRY SOCIETY (TMS)
105 South Sunset Street, Suite Q
Longmont, CO 80501-6172
Ph: 303-939-9700
Fax: 303-541-9215
E-mail: info@masonrysociety.org
https://masonrysociety.org/

U.S. ARMY CORPS OF ENGINEERS (USACE)
CRD-C DOCUMENTS available on Internet:
http://www.wbdg.org/ffc/army-coe/standards
Order Other Documents from:
Official Publications of the Headquarters, USACE
E-mail: hqpublications@usace.army.mil
Internet: http://www.publications.usace.army.mil/
or
https://www.hnc.usace.army.mil/Missions/Engineering-Directorate/TECHINFO/

U.S. DEFENSE LOGISTICS AGENCY (DLA)
Andrew T. McNamara Building
8725 John J. Kingman Road
Fort Belvoir, VA 22060-6221
Ph: 877-352-2255
E-mail: dlacontactcenter@dla.mil
Internet: http://www.dla.mil

U.S. DEPARTMENT OF AGRICULTURE (USDA)
Order AMS Publications from:
AGRICULTURAL MARKETING SERVICE (AMS)
Seed Regulatory and Testing Branch
801 Summit Crossing Place, Suite C
Gastonia, NC 28054-2193
Ph: 704-810-8884
E-mail: PA@ams.usda.gov
Internet: https://www.ams.usda.gov/
Order Other Publications from:
USDA Rural Development
Rural Utilities Service
STOP 1510, Rm 5135
1400 Independence Avenue SW
Washington, DC 20250-1510
Phone: (202) 720-9540
Internet:
https://www.rd.usda.gov/about-rd/agencies/rural-utilities-service

U.S. DEPARTMENT OF COMMERCE (DOC)
1401 Constitution Avenue, NW
Washington, DC 20230

Ph: 202-482-2000
Internet: https://www.commerce.gov/
Order Publications From:
National Technical Information Service (NTIS)
5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. DEPARTMENT OF DEFENSE (DOD)
Order DOD Documents from:
Room 3A750-The Pentagon
1400 Defense Pentagon
Washington, DC 20301-1400
Ph: 703-571-3343
Fax: 215-697-1462
E-mail: customerservice@ntis.gov
Internet: https://www.ntis.gov/
Obtain Military Specifications, Standards and Related Publications from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Department of Defense Single Stock Point (DODSSP)
Document Automation and Production Service (DAPS)
Building 4/D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Ph: 215-697-6396 - for account/password issues
Internet: https://assist.dla.mil/online/start/; account registration required
Obtain Unified Facilities Criteria (UFC) from:
Whole Building Design Guide (WBDG)
National Institute of Building Sciences (NIBS)
1090 Vermont Avenue NW, Suite 700
Washington, DC 20005
Ph: 202-289-7800
Fax: 202-289-1092
Internet:
https://www.wbdg.org/ffc/dod/unified-facilities-criteria-ufc

U.S. DEPARTMENT OF ENERGY (DOE)
1000 Independence Avenue Southwest
Washington, D.C. 20585
Ph: 202-586-5000
Fax: 202-586-4403
E-mail: The.Secretary@hq.doe.gov
Internet: https://www.energy.gov/

U.S. DEPARTMENT OF TRANSPORTATION (DOT)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
Internet: https://www.transportation.gov/

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)
1200 Pennsylvania Avenue, N.W.

Washington, DC 20004
Ph: 202-564-4700
Internet: https://www.epa.gov
--- Some EPA documents are available only from:
National Technical Information Service (NTIS)
5301 Shawnee Road
Alexandria, VA 22312
Ph: 703-605-6060 or 1-800-363-2068
Fax: 703-605-6880
TDD: 703-487-4639
E-mail: info@ntis.gov
Internet: https://www.ntis.gov/

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)
Order for sale documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/
Order free documents from:
U.S. Department of Transportation
Federal Aviation Administration
800 Independence Avenue, SW
Washington, DC 20591
Ph: 866-835-5322
Internet: https://www.faa.gov/

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)
1200 New Jersey Ave., SE
Washington, DC 20590
Ph: 202-366-4000
E-mail: ExecSecretariat.FHWA@dot.gov
Internet: https://www.fhwa.dot.gov/
Order from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

U.S. GENERAL SERVICES ADMINISTRATION (GSA)
General Services Administration
1800 F Street, NW
Washington, DC 20405
Ph: 1-844-472-4111
Internet: https://www.gsaelibrary.gsa.gov/ElibMain/home.do
Obtain documents from:
Acquisition Streamlining and Standardization Information System (ASSIST)
Internet: https://assist.dla.mil/online/start/; account registration required

U. S. GREEN BUILDING COUNCIL (USGBC)
2101 L St NW, Suite 500

Washington, DC 20037
Ph: 202-828-7422
Internet: https://new.usgbc.org/

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)
8601 Adelphi Road
College Park, MD 20740-6001
Ph: 866-272-6272
Internet: https://www.archives.gov/
Order documents from:
Superintendent of Documents
U.S. Government Publishing Office (GPO)
732 N. Capitol Street, NW
Washington, DC 20401
Ph: 202-512-1800 or 866-512-1800
Bookstore: 202-512-0132
Internet: https://www.gpo.gov/

UNDERWRITERS LABORATORIES (UL)
2600 N.W. Lake Road
Camas, WA 98607-8542
Ph: 877-854-3577 or 360-817-5500
E-mail: CustomerExperienceCenter@ul.com
Internet: https://www.ul.com/
UL Directories available through IHS at https://ihsmarkit.com/

WEST COAST LUMBER INSPECTION BUREAU (WCLIB)
6980 S.W. Varns
Tigard, OR 97223
Ph: 503-639-0651
Fax: 503-684-8928
E-mail: info@wclib.org
Internet: http://www.wclib.org

WESTERN WOOD PRODUCTS ASSOCIATION (WWPA)
1500 SW First Ave., Suite 870
Portland, OR 97201
Ph: 503-224-3930
E-mail: info@wwpa.org
Internet: http://www.wwpa.org

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

-- End of Section --

SECTION 01 45 00.00 10

QUALITY CONTROL
11/16

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D3740	(2019) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E329	(2020) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Bid Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contractor Quality Control (CQC) Plan; G

SD-06 Test Reports

Verification Statement

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC consist of plans, procedures, and organization necessary to produce an end product which complies with the Contract requirements. The QC system covers all

construction operations, both onsite and offsite, and be keyed to the proposed construction sequence. The project superintendent will be held responsible for the quality of work and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. In this context the highest level manager responsible for the overall construction activities at the site, including quality and production is the project superintendent. The project superintendent maintains a physical presence at the site at all times and is responsible for all construction and related activities at the site, except as otherwise acceptable to the Contracting Officer.

3.2 CONTRACTOR QUALITY CONTROL (CQC) PLAN

Submit no later than 15 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. The Government will consider an interim plan for the first days of operation. Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional work.

3.2.1 Content of the CQC Plan

Include, as a minimum, the following to cover all construction-operations, both onsite and offsite, including work by subcontractors fabricators, suppliers and purchasing agents:

a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified. Include a CQC System Manager that reports to the project superintendent.

b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.

c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the Contract. Letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities will be issued by the CQC System Manager. Furnish copies of these letters to the Contracting Officer.

d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. These procedures must be in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer are required to be used.)

f. Procedures for tracking preparatory, initial, and follow-up control

phases and control, verification, and acceptance tests including documentation.

g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected.

h. Reporting procedures, including proposed reporting formats.

i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and is identified by different trades or disciplines, or it is work by the same trade in a different environment. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

3.2.2 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in the Contractor Quality Control(CQC) Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

3.2.3 Notification of Changes

After acceptance of the CQC Plan, notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

3.3 COORDINATION MEETING

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, meet with the Contracting Officer and discuss the Contractor's quality control system. Submit the CQC Plan a minimum of 15 calendar days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details must be developed, including the forms for recording the CQC operations,, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting will be prepared by the Government, signed by both the Contractor and the Contracting Officer and will become a part of the contract file. There can be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings or address deficiencies in the CQC system or procedures which can require corrective action by the Contractor.

3.4 QUALITY CONTROL ORGANIZATION

3.4.1 Personnel Requirements

The requirements for the CQC organization are a Safety and Health Manager, CQC System Manager, and sufficient number of additional qualified personnel to ensure safety and Contract compliance. The Safety and Health

Manager reports directly to a senior project (or corporate) official independent from the CQC System Manager. The Safety and Health Manager will also serve as a member of the CQC Staff Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization. The Contractor's CQC staff maintains a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure Contract compliance. The CQC staff will be subject to acceptance by the Contracting Officer. Provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Promptly complete and furnish all letters, material submittals, shop drawing submittals, schedules and all other project documentation to the CQC organization. The CQC organization is responsible to maintain these documents and records at the site at all times, except as otherwise acceptable to the Contracting Officer.

3.4.2 CQC System Manager

Identify as CQC System Manager an individual within the onsite work organization that is responsible for overall management of CQC and has the authority to act in all CQC matters for the Contractor. The CQC System Manager is required to be a graduate engineer, graduate architect, or a graduate of construction management, with a minimum of 10 years construction experience on construction similar to this Contract. This CQC System Manager is on the site at all times during construction and is employed by the prime Contractor. The CQC System Manager is assigned as CQC System Manager but has duties as project superintendent in addition to quality control. Identify in the plan an alternate to serve in the event of the CQC System Manager's absence. The requirements for the alternate are the same as the CQC System Manager.

3.4.3 CQC Personnel

In addition to CQC personnel specified elsewhere in the contract, provide as part of the CQC organization specialized personnel to assist the CQC System Manager for the following areas: electrical, mechanical, environmental, submittals clerk, Cx Agent/LEED Specialist, . These individuals or specialized technical companies are directly employed by the prime Contractor and can not be employed by a supplier or subcontractor on this project ; be responsible to the CQC System Manager; be physically present at the construction site during work on the specialized peronnel's areas of responsibility; have the necessary education or experience in accordance with the experience matrix listed herein. These individuals can perform other duties but need to be allowed sufficient time to perform the specialized personnel's assigned quality control duties as described in the Quality Control Plan. A single person can cover more than one area provided that the single person is qualified to perform quality control activities in each designated and that workload allows.

Experience Matrix	
Area	Qualifications
Civil	Graduate Civil Engineer or Construction Manager with 2 years experience in the type of work being performed on this project or technician with 5 yrs related experience
Mechanical	Graduate Mechanical Engineer with 2 yrs experience or person with 5 years of experience supervising mechanical features of work in the field with a construction company
Electrical	Graduate Electrical Engineer with 2 years related experience or person 5 years of experience supervising electrical features of work in the field with a construction company
Structural	Graduate Civil Engineer (with Structural Track or Focus) or Construction Manager with 2 years experience or person 5 years of experience supervising structural features of work in the field with a construction company
Architectural	Graduate Architect with 2 years experience or person with 5 years related experience
Environmental	Graduate Environmental Engineer with 3 years experience
Submittals	Submittal Clerk with 1 year experience
Occupied Family Housing	Person, customer relations type, coordinator experience
Concrete, Pavements and Soils	Materials Technician with 2 years experience for the appropriate area
Testing, Adjusting and Balancing (TAB) Personnel	Specialist must be a member of AABC or an experienced technician of the firm certified by the NEBB

3.4.4 Additional Requirement

In addition to the above experience and education requirements, the Contractor Quality Control(CQC) System Manager and Alternate CQC System Manager are required to have completed the Construction Quality Management (CQM) for Contractors course. If the CQC System Manager does not have a current certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

The Construction Quality Management Training certificate expires after 5 years. If the CQC System Manager's certificate has expired, retake the course to remain current.

3.4.5 Organizational Changes

Maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

3.5 SUBMITTALS AND DELIVERABLES

Submittals, if needed, have to comply with the requirements in Section 01 33 00 SUBMITTAL PROCEDURES. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the contract requirements. When Section 01 91 00.15 10 TOTAL BUILDING COMMISSIONING is included in the contract, the submittals required by those sections have to be coordinated with Section 01 33 00 SUBMITTAL PROCEDURES to ensure adequate time is allowed for each type of submittal required.

3.6 CONTROL

CQC is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control are required to be conducted by the CQC System Manager for each definable feature of the construction work as follows:

3.6.1 Preparatory Phase

This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase includes:

a. A review of each paragraph of applicable specifications, reference codes, and standards. Make available during the preparatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

b. Review of the Contract drawings.

c. Check to assure that all materials and equipment have been tested, submitted, and approved.

d. Review of provisions that have been made to provide required control

inspection and testing.

e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the Contract.

f. Examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.

g. Review of the appropriate activity hazard analysis to assure safety requirements are met.

h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.

i. Check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.

j. Discussion of the initial control phase.

k. The Government needs to be notified at least 24 hours in advance of beginning the preparatory control phase. Include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. Document the results of the preparatory phase actions by separate minutes prepared by the CQC System Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

3.6.2 Initial Phase

This phase is accomplished at the beginning of a definable feature of work. Accomplish the following:

a. Check work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.

b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing are in compliance with the contract.

c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.

d. Resolve all differences.

e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.

f. The Government needs to be notified at least 48 hours in advance of beginning the initial phase for definable feature of work. Prepare separate minutes of this phase by the CQC System Manager and attach to the daily CQC report. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases.

g. The initial phase for each definable feature of work is repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.3.6.3 Follow-up Phase

Perform daily checks to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. Record the checks in the CQC documentation. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work. Do not build upon nor conceal non-conforming work.

3.6.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

3.7 TESTS

3.7.1 Testing Procedure

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. Perform the following activities and record and provide the following data:

a. Verify that testing procedures comply with contract requirements.

b. Verify that facilities and testing equipment are available and comply with testing standards.

c. Check test instrument calibration data against certified standards.

d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.

e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

3.7.2 Testing Laboratories

All testing laboratories must be validated by the USACE Material Testing

Center (MTC) for the tests to be performed. Information on the USACE MTC with web-links to both a list of validated testing laboratories and for the laboratory inspection request for can be found at: https://mtc.erdc.dren.mil/.

3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel is required to meet criteria detailed in ASTM D3740 and ASTM E329.

3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge of the amount of the failed test to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the Contract amount due the Contractor.

3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

3.8 COMPLETION INSPECTION

3.8.1 Punch-Out Inspection

Conduct an inspection of the work by the CQC System Manager near the end of the work, or any increment of the work established by a time stated in FAR 52.211-10 Commencement, Prosecution, and Completion of Work, or by the specifications. Prepare and include in the CQC documentation a punch list of items which do not conform to the approved drawings and specifications, as required by paragraph DOCUMENTATION. Include within the list of deficiencies the estimated date by which the deficiencies will be corrected. Make a second inspection the CQC System Manager or staff to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government Pre-Final inspection.

3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. Ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Correct any items noted on the Pre-Final inspection in a timely manner. These inspections and any deficiency corrections required by this paragraph need to be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative is required to be in attendance at the final acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands can also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notify the Contracting Officer at least 14 days prior to the final acceptance inspection and include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the Contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance FAR 52.246-12 Inspection of Construction.

3.9 DOCUMENTATION

3.9.1 Quality Control Activities

Maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

a. The name and area of responsibility of the Contractor/Subcontractor.

b. Operating plant/equipment with hours worked, idle, or down for repair.

c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.

d. Test and control activities performed with results and references to specifications/drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.

e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.

f. Submittals and deliverables reviewed, with Contract reference, by whom, and action taken.

g. Offsite surveillance activities, including actions taken.

h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.

i. Instructions given/received and conflicts in plans and specifications.

3.9.2 Verification Statement

Indicate a description of trades working on the project; the number of

personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract. Furnish the original and one copy of these records in report form to the Government daily within 40 hours after the date covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the Contractor Quality Control(CQC) System Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the CQC System Manager Report.

3.10 SAMPLE FORMS

Sample forms enclosed at the end of this section.

3.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, will be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer can issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS
11/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2020; ERTA 20-1 2020; ERTA 20-2 2020; TIA 20-1; TIA 20-2; TIA 20-3; TIA 20-4) National Electrical Code
NFPA 241	(2019) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. FEDERAL HIGHWAY ADMINISTRATION (FHWA)

MUTCD	(2009; Rev 2012) Manual on Uniform Traffic Control Devices

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Site Plan; G

Traffic Control Plan; G

Haul Road Plan; G

SD-07 Certificates

Backflow Tester Certification

Backflow Preventers Certificate of Full Approval

1.3 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan

showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

1.4 BACKFLOW PREVENTERS CERTIFICATE

1.4.1 Backflow Tester Certificate

Prior to testing, submit to the Contracting Officer certification issued by the State or local regulatory agency attesting that the backflow tester has successfully completed a certification course sponsored by the regulatory agency. Tester must not be affiliated with a company participating in other phases of this Contract.

1.4.2 Backflow Prevention Training Certificate

Submit a certificate recognized by the State or local authority that states the Contractor has completed at least 10 hours of training in backflow preventer installations. The certificate must be current.

1.5 DOD CONDITION OF READINESS (COR)

DOD will set the Condition of Readiness (COR) based on the weather forecast for sustained winds 50 knots (58 mph) or greater. Contact the Contracting Officer for the current COR setting.

Monitor weather conditions a minimum of twice a day and take appropriate actions according to the approved Emergency Plan in the accepted Accident Prevention Plan, EM 385-1-1 Section 01 Emergency Planning and the instructions below.

Unless otherwise directed by the Contracting Officer, comply with:

a. Condition FOUR (Sustained winds of 58 mph or greater expected within 72 hours): Normal daily jobsite cleanup and good housekeeping practices. Collect and store in piles or containers scrap lumber, waste material, and rubbish for removal and disposal at the close of each work day. Maintain the construction site including storage areas, free of accumulation of debris. Stack form lumber in neat piles less than 3.3 feet high. Remove all debris, trash, or objects that could become missile hazards. Review requirements pertaining to "Condition THREE" and continue action as necessary to attain "Condition FOUR" readiness. Contact Contracting Officer for weather and COR updates and completion of required actions.

b. Condition THREE (Sustained winds of 58 mph or greater expected within 48 hours): Maintain "Condition FOUR" requirements and commence securing operations necessary for "Condition ONE" which cannot be completed within 18 hours. Cease all routine activities which might interfere with securing operations. Commence securing and stow all gear and portable equipment. Make preparations for securing buildings. Reinforce or remove formwork and scaffolding. Secure machinery, tools, equipment, materials, or remove from the jobsite. Expend every effort to clear all missile hazards and loose equipment

from general base areas. Contact Contracting Officer for weather and COR updates and completion of required actions. Review requirements pertaining to "Condition TWO" and continue action as necessary to attain "Condition THREE" readiness.

c. Condition TWO (Sustained winds of 58 mph or greater expected within 24 hours): Secure the jobsite, and leave Government premises.

d. Condition ONE. (Sustained winds of 58 mph or greater expected within 12 hours): Contractor access to the jobsite and Government premises is prohibited.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 Section 01 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

2.1.2 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1 Section 04. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1 Section 04. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit haul road plan for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to

paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

2.3.1 Polyethylene Mesh Safety Fencing

Temporary safety fencing must be a high visibility orange colored, high density polyethylene grid, a minimum of 48 inches high and maximum mesh size of 2 inches. Fencing must extend from the grade to a minimum of 48 inches above the grade and be tightly secured to T-posts spaced as necessary to maintain a rigid and taut fence. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.2 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels 8 feet high. Multiple fencing panels may be linked together at the bases to form long spans as needed. Each panel base must be weighted down using sand bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.3 Post-Driven Chain Link Fencing

Temporary post-driven fencing must be galvanized chain link fencing 8 feet high supported by an tightly secured to galvanized steel posts driven below grade. Fence posts must be located on minimum 10 foot centers. Posts may be set in various surfaces such as sand, soil, asphalt or concrete as necessary. Chain link fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection. Completely remove fencing and posts at the completion of construction and restore surfaces disturbed or damaged to its original condition. Locate and identify underground utilities prior to setting fence posts. Equip fence with a lockable gate. Gate must remain locked when construction personnel are not present.

2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1 Section 11, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an

area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1 Section 02. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.3 Telephone

Make arrangements and pay all costs for telephone facilities desired.

3.2.4 Fire Protection

Provide temporary fire protection equipment for the protection of personnel and property during construction. Remove debris and flammable materials weekly to minimize potential hazards.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

a. Conduct operations in a manner that will not close a thoroughfare or interfere with traffic on railways or highways except with written permission of the Contracting Officer at least 15 calendar days prior to the proposed modification date, and provide a Traffic Control Plan for Government approval detailing the proposed controls to traffic movement for approval. The plan must be in accordance with State and local regulations and the MUTCD, Part VI. Contractor may move oversized and slow-moving vehicles to the worksite provided requirements of the highway authority have been met.

b. Conduct work so as to minimize obstruction of traffic, and maintain traffic on at least half of the roadway width at all times. Obtain approval from the Contracting Officer prior to starting any activity that will obstruct traffic.

c. Provide, erect, and maintain, at Contractor's expense, lights, barriers, signals, passageways, detours, and other items, that may be

required by the Life Safety Signage, overhead protection authority having jurisdiction.

d. Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic. Do not use foil-backed material for temporary pavement marking because of its potential to conduct electricity during accidents involving downed power lines.

3.3.2 Protection of Traffic

Maintain and protect traffic on all affected roads during the construction period except as otherwise specifically directed by the Contracting Officer. Measures for the protection and diversion of traffic, including the provision of watchmen and flagmen, erection of barricades, placing of lights around and in front of equipment the work, and the erection and maintenance of adequate warning, danger, and direction signs, will be as required by the State and local authorities having jurisdiction. Provide self-illuminated (lighted) barricades during hours of darkness. Brightly-colored (orange) vests are required for all personnel working in roadways. Protect the traveling public from damage to person and property. Minimize the interference with public traffic on roads selected for hauling material to and from the site. Investigate the adequacy of existing roads and their allowable load limit. Contractor is responsible for the repair of damage to roads caused by construction operations.

3.3.3 Rush Hour Restrictions

Do not interfere with the peak traffic flows preceding and during normal operations without notification to and approval by the Contracting Officer.

3.3.4 Dust Control

Dust control methods and procedures must be approved by the Contracting Officer. Coordinate dust control methods with 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

3.4 CONTRACTOR'S TEMPORARY FACILITIES

Contractor-owned or -leased trailers must be identified by Government assigned numbers. Size and location of the number will comply with applicable standards. Apply the number to the trailer within 14 calendar days of notification, or sooner, if directed by the Government. Temporary facilities must meet requirements as identified in EM 385-1-1 Section 04.

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1 Section 04. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

3.4.1 Administrative Field Offices

Provide and maintain administrative field office facilities within the construction area at the designated site. Government office and warehouse facilities will not be available to the Contractor's personnel.

3.4.2 Storage Area

Construct a temporary 6 foot high chain link fence around trailers and materials. Include plastic strip inserts, colored green, so that visibility through the fence is obstructed. Fence posts may be driven, in lieu of concrete bases, where soil conditions permit. Do not place or store trailers, materials, or equipment outside the fenced area unless such trailers, materials, or equipment are assigned a separate and distinct storage area by the Contracting Officer away from the vicinity of the construction site but within the installation boundaries. Trailers, equipment, or materials must not be open to public view with the exception of those items which are in support of ongoing work on the current day. Do not stockpile materials outside the fence in preparation for the next day's work. Park mobile equipment, such as tractors, wheeled lifting equipment, cranes, trucks, and like equipment within the fenced area at the end of each work day.

Keep fencing in a state of good repair and proper alignment. Grassed or unpaved areas, which are not established roadways, and will be traversed with construction equipment or other vehicles, must be covered with a layer of gravel as necessary to prevent rutting and the tracking of mud onto paved or established roadways, should the Contractor elect to traverse them with construction equipment or other vehicles. Mow and maintain grass located within the boundaries of the construction site for the duration of the project. Grass and vegetation along fences, buildings, under trailers, and in areas not accessible to mowers must be edged or trimmed neatly.

3.4.3 Supplemental Storage Area

Upon request, and pending availability, the Contracting Officer will designate another or supplemental area for the use and storage of trailers, equipment, and materials. This area may not be in close proximity of the construction site but will be within the installation boundaries. Maintain the area in a clean and orderly fashion and secured if needed to protect supplies and equipment. Utilities will not be provided to this area by the Government.

3.4.4 Appearance of Trailers

a. Trailers must be roadworthy and comply with all appropriate state and local vehicle requirements. Trailers which are rusted, have peeling paint or are otherwise in need of repair will not be allowed on Installation property. Trailers must present a clean and neat exterior appearance and be in a state of good repair.

b. Maintain the temporary facilities. Failure to do so will be sufficient reason to require their removal at the Contractor's expense.

3.4.5 Safety Systems

Protect the integrity of all installed safety systems or personnel safety devices. Obtain prior approval from the Contracting Officer if entrance into systems serving safety devices is required. If it is temporarily necessary to remove or disable personnel safety devices in order to accomplish Contract requirements, provide alternative means of protection prior to removing or disabling any permanently installed safety devices or equipment and obtain approval from the Contracting Officer.

3.4.6 Security Provisions

Provide adequate outside security lighting at temporary facilities. The Contractor shall be responsible for the security of its own equipment.

3.4.7 Weather Protection of Temporary Facilities and Stored Materials

Take necessary precautions to ensure that roof openings and other critical openings in the building are monitored carefully. Take immediate actions required to seal off such openings when rain or other detrimental weather is imminent, and at the end of each workday. Ensure that the openings are completely sealed off to protect materials and equipment in the building from damage.

3.4.7.1 Building and Site Storm Protection

When a warning of gale force winds is issued, take precautions to minimize danger to persons, and protect the work and nearby Government property. Precautions must include, but are not limited to, closing openings; removing loose materials, tools and equipment from exposed locations; and removing or securing scaffolding and other temporary work. Close openings in the work when storms of lesser intensity pose a threat to the work or any nearby Government property.

3.5 PLANT COMMUNICATIONS

Whenever the individual elements of the plant are located so that operation by normal voice between these elements is not satisfactory, install a satisfactory means of communication, such as telephone or other suitable devices and make available for use by Government personnel.

3.6 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.7 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.8 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 57 19

TEMPORARY ENVIRONMENTAL CONTROLS
11/15

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

STATE OF WISCONSIN, WISCONSIN ADMINISTRATIVE CODES (WIS. ADMIN. CODE)

WIS. ADMIN. CODE CH NR 718	(October 2013;Department of Natural Resources) Management of Contaminated Soil or Solid Wastes Excavated During Response Actions

STATE OF WISCONSIN, WISCONSIN STATUTES (WIS. STAT.)

WIS. STAT. CH 292	(2019-2020)Remedial Action

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.120	Hazardous Waste Operations and Emergency Response
29 CFR 1910.1053	Respirable Crystalline Silica
29 CFR 1926.1153	Respirable Crystalline Silica
40 CFR 50	National Primary and Secondary Ambient Air Quality Standards
40 CFR 60	Standards of Performance for New Stationary Sources
40 CFR 63	National Emission Standards for Hazardous Air Pollutants for Source Categories
40 CFR 64	Compliance Assurance Monitoring
40 CFR 112	Oil Pollution Prevention
40 CFR 122.26	Storm Water Discharges (Applicable to State NPDES Programs, see section 123.25)
40 CFR 241	Guidelines for Disposal of Solid Waste
40 CFR 243	Guidelines for the Storage and Collection of Residential, Commercial, and Institutional Solid Waste
40 CFR 258	Subtitle D Landfill Requirements

40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 261.7	Residues of Hazardous Waste in Empty Containers
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 262.31	Standards Applicable to Generators of Hazardous Waste-Labeling
40 CFR 262.34	Standards Applicable to Generators of Hazardous Waste-Accumulation Time
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 273.2	Standards for Universal Waste Management - Batteries
40 CFR 273.4	Standards for Universal Waste Management - Mercury Containing Equipment
40 CFR 273.5	Standards for Universal Waste Management - Lamps
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 300.125	National Oil and Hazardous Substances Pollution Contingency Plan - Notification and Communications
40 CFR 355	Emergency Planning and Notification
40 CFR 403	General Pretreatment Regulations for Existing and New Sources of Pollution

40 CFR 745	Lead-Based Paint Poisoning Prevention in Certain Residential Structures
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 172.101	Hazardous Material Regulation-Purpose and Use of Hazardous Material Table
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Class I and II Ozone Depleting Substance (ODS)

Class I ODS is defined in Section 602(a) of The Clean Air Act. A list of Class I ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

Class II ODS is defined in Section 602(s) of The Clean Air Act. A list of Class II ODS can be found on the EPA website at the following weblink. https://www.epa.gov/ozone-layer-protection/ozone-depleting-substances.

1.2.2 Contaminated Soil

Soil that is defined and regulated in accordance with WIS. ADMIN. CODE CH NR 718.

1.2.3 Contractor Generated Hazardous Waste

Contractor generated hazardous waste is materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene), waste thinners, excess paints, excess solvents, waste solvents, excess pesticides, and contaminated pesticide equipment rinse water.

1.2.4 Electronics Waste

Electronics waste is discarded electronic devices intended for salvage, recycling, or disposal.

1.2.5 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical,

or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally or historically.

1.2.6 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.7 Hazardous Debris

As defined in paragraph SOLID WASTE, debris that contains listed hazardous waste (either on the debris surface, or in its interstices, such as pore structure) in accordance with 40 CFR 261. Hazardous debris also includes debris that exhibits a characteristic of hazardous waste in accordance with 40 CFR 261.

1.2.8 Hazardous Materials

Hazardous materials as defined in 49 CFR 171 and listed in 49 CFR 172.

Hazardous material is any material that: Is regulated as a hazardous material in accordance with 49 CFR 173; or requires a Safety Data Sheet (SDS) in accordance with 29 CFR 1910.120; or during end use, treatment, handling, packaging, storage, transportation, or disposal meets or has components that meet or have potential to meet the definition of a hazardous waste as defined by 40 CFR 261 Subparts A, B, C, or D. Designation of a material by this definition, when separately regulated or controlled by other sections or directives, does not eliminate the need for adherence to that hazard-specific guidance which takes precedence over this section for "control" purposes. Such material includes ammunition, weapons, explosive actuated devices, propellants, pyrotechnics, chemical and biological warfare materials, medical and pharmaceutical supplies, medical waste and infectious materials, bulk fuels, radioactive materials, and other materials such as asbestos, mercury, and polychlorinated biphenyls (PCBs).

1.2.9 Hazardous Waste

Hazardous Waste is any material that meets the definition of a solid waste and exhibit a hazardous characteristic (ignitability, corrosivity, reactivity, or toxicity) as specified in 40 CFR 261, Subpart C, or contains a listed hazardous waste as identified in 40 CFR 261, Subpart D. Contaminated soil as defined in WIS. ADMIN. CODE CH NR 718.

1.2.10 Land Application

Land Application means spreading or spraying discharge water at a rate that allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" must occur. Comply with federal, state, and local laws and regulations.

1.2.11 Municipal Separate Storm Sewer System (MS4) Permit

MS4 permits are those held by installations to obtain NPDES permit coverage for their stormwater discharges.

1.2.12 National Pollutant Discharge Elimination System (NPDES)

The NPDES permit program controls water pollution by regulating point sources that discharge pollutants into waters of the United States.

1.2.13 Oily Waste

Oily waste are those materials that are, or were, mixed with Petroleum, Oils, and Lubricants (POLs) and have become separated from that POLs. Oily wastes also means materials, including wastewaters, centrifuge solids, filter residues or sludges, bottom sediments, tank bottoms, and sorbents which have come into contact with and have been contaminated by, POLs and may be appropriately tested and discarded in a manner which is in compliance with other state and local requirements.

This definition includes materials such as oily rags, "kitty litter" sorbent clay and organic sorbent material. These materials may be land filled provided that: It is not prohibited in other state regulations or local ordinances; the amount generated is "de minimus" (a small amount); it is the result of minor leaks or spills resulting from normal process operations; and free-flowing oil has been removed to the practicable extent possible. Large quantities of this material, generated as a result of a major spill or in lieu of proper maintenance of the processing equipment, are a solid waste. As a solid waste, perform a hazardous waste determination prior to disposal. As this can be an expensive process, it is recommended that this type of waste be minimized through good housekeeping practices and employee education.

1.2.14 Regulated Waste

Regulated waste are solid wastes that have specific additional federal, state, or local controls for handling, storage, or disposal.

1.2.15 Sediment

Sediment is soil and other debris that have eroded and have been transported by runoff water or wind.

1.2.16 Solid Waste

Solid waste is a solid, liquid, semi-solid or contained gaseous waste. A solid waste can be a hazardous waste, non-hazardous waste, or non-Resource Conservation and Recovery Act (RCRA) regulated waste. Types of solid waste typically generated at construction sites may include:

1.2.16.1 Debris

Debris is non-hazardous solid material generated during the construction, demolition, or renovation of a structure that exceeds 2.5-inch particle size that is: a manufactured object; plant or animal matter; or natural geologic material (for example, cobbles and boulders), broken or removed concrete, masonry, and rock asphalt paving; ceramics; roofing paper and shingles. Inert materials may not be reinforced with or contain ferrous wire, rods, accessories and weldments. A mixture of debris and other

material such as soil or sludge is also subject to regulation as debris if the mixture is comprised primarily of debris by volume, based on visual inspection.

1.2.16.2 Green Waste

Green waste is the vegetative matter from landscaping, land clearing and grubbing, including, but not limited to, grass, bushes, scrubs, small trees and saplings, tree stumps and plant roots. Marketable trees, grasses and plants that are indicated to remain, be re-located, or be re-used are not included.

1.2.16.3 Material Not Regulated As Solid Waste

Material not regulated as solid waste is nuclear source or byproduct materials regulated under the Federal Atomic Energy Act of 1954 as amended; suspended or dissolved materials in domestic sewage effluent or irrigation return flows, or other regulated point source discharges; regulated air emissions; and fluids or wastes associated with natural gas or crude oil exploration or production.

1.2.16.4 Non-Hazardous Waste

Non-hazardous waste is waste that is excluded from, or does not meet, hazardous waste criteria in accordance with 40 CFR 263.

1.2.16.5 Recyclables

Recyclables are materials, equipment and assemblies such as doors, windows, door and window frames, plumbing fixtures, glazing and mirrors that are recovered and sold as recyclable, wiring, insulated/non-insulated copper wire cable, wire rope, and structural components. It also includes commercial-grade refrigeration equipment with Freon removed, household appliances where the basic material content is metal, clean polyethylene terephthalate bottles, cooking oil, used fuel oil, textiles, high-grade paper products and corrugated cardboard, stackable pallets in good condition, clean crating material, and clean rubber/vehicle tires. Metal meeting the definition of lead contaminated or lead based paint contaminated may not be included as recyclable if sold to a scrap metal company. Paint cans that meet the definition of empty containers in accordance with 40 CFR 261.7 may be included as recyclable if sold to a scrap metal company.

1.2.16.6 Surplus Soil

Surplus soil is existing soil that is in excess of what is required for this work, including aggregates intended, but not used, for on-site mixing of concrete, mortars, and paving. Contaminated soil meeting the definition of hazardous material or hazardous waste is not included and must be managed in accordance with paragraph HAZARDOUS MATERIAL MANAGEMENT.

1.2.16.7 Scrap Metal

This includes scrap and excess ferrous and non-ferrous metals such as reinforcing steel, structural shapes, pipe, and wire that are recovered or collected and disposed of as scrap. Scrap metal meeting the definition of hazardous material or hazardous waste is not included.

1.2.16.8 Wood

Wood is dimension and non-dimension lumber, plywood, chipboard, hardboard. Treated or painted wood that meets the definition of lead contaminated or lead based contaminated paint is not included. Treated wood includes, but is not limited to, lumber, utility poles, crossties, and other wood products with chemical treatment.

1.2.17 Surface Discharge

Surface discharge means discharge of water into drainage ditches, storm sewers, creeks or "waters of the United States". Surface discharges are discrete, identifiable sources and require a permit from the governing agency. Comply with federal, state, and local laws and regulations.

1.2.18 Wastewater

Wastewater is the used water and solids from a community that flow to a treatment plant.

1.2.18.1 Stormwater

Stormwater is any precipitation in an urban or suburban area that does not evaporate or soak into the ground, but instead collects and flows into storm drains, rivers, and streams.

1.2.19 Waters of the United States

Waters of the United States means Federally jurisdictional waters, including wetlands, that are subject to regulation under Section 404 of the Clean Water Act or navigable waters, as defined under the Rivers and Harbors Act.

1.2.20 Wetlands

Wetlands are those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

1.2.21 Universal Waste

The universal waste regulations streamline collection requirements for certain hazardous wastes in the following categories: batteries, pesticides, mercury-containing equipment (for example, thermostats), and lamps (for example, fluorescent bulbs). The rule is designed to reduce hazardous waste in the municipal solid waste (MSW) stream by making it easier for universal waste handlers to collect these items and send them for recycling or proper disposal. These regulations can be found at 40 CFR 273.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Preconstruction Survey

Solid Waste Management Permit; G

Regulatory Notifications; G

Environmental Protection Plan; G

Stormwater Pollution Prevention Plan (SWPPP); G

Stormwater Notice of Intent (for NPDES coverage under the general permit for construction activities); G

Dirt and Dust Control Plan; G

Employee Training Records; G

Environmental Manager Qualifications; G

SD-06 Test Reports

Laboratory Analysis

Inspection Reports

Monthly Solid Waste Disposal Report; G

SD-07 Certificates

Employee Training Records; G

Erosion and Sediment Control Inspector Qualifications

SD-11 Closeout Submittals

Stormwater Pollution Prevention Plan Compliance Notebook; G

Stormwater Notice of Termination (for NPDES coverage under the general permit for construction activities); G

Waste Determination Documentation; G

Disposal Documentation for Hazardous and Regulated Waste; G

Assembled Employee Training Records; G

Solid Waste Management Permit; G

Project Solid Waste Disposal Documentation Report; G

Hazardous Waste/Debris Management; G

Regulatory Notifications; G

Sales Documentation; G

Contractor Certification

1.4 ENVIRONMENTAL PROTECTION REQUIREMENTS

Provide and maintain, during the life of the contract, environmental protection as defined. Plan for and provide environmental protective measures to control pollution that develops during construction practice. Plan for and provide environmental protective measures required to correct conditions that develop during the construction of permanent or temporary environmental features associated with the project. Protect the environmental resources within the project boundaries and those affected outside the limits of permanent work during the entire duration of this Contract. Comply with federal, state, and local regulations pertaining to the environment, including water, air, solid waste, hazardous waste and substances, oily substances, and noise pollution.

Tests and procedures assessing whether construction operations comply with Applicable Environmental Laws may be required. Analytical work must be performed by qualified laboratories; and where required by law, the laboratories must be certified.

1.4.1 Conformance with the Environmental Management System

Perform work under this contract consistent with the policy and objectives identified in the installation's Environmental Management System (EMS). Perform work in a manner that conforms to objectives and targets of the environmental programs and operational controls identified by the EMS. Support Government personnel when environmental compliance and EMS audits are conducted by escorting auditors at the Project site, answering questions, and providing proof of records being maintained. Provide monitoring and measurement information as necessary to address environmental performance relative to environmental, energy, and transportation management goals. In the event an EMS nonconformance or environmental noncompliance associated with the contracted services, tasks, or actions occurs, take corrective and preventative actions. In addition, employees must be aware of their roles and responsibilities under the installation EMS and of how these EMS roles and responsibilities affect work performed under the contract.

Coordinate with the installation's EMS coordinator to identify training needs associated with environmental aspects and the EMS, and arrange training or take other action to meet these needs. Provide training documentation to the Contracting Officer. The Installation Environmental Office will retain associated environmental compliance records. Make EMS Awareness training completion certificates available to Government auditors during EMS audits and include the certificates in the Employee Training Records. See paragraph EMPLOYEE TRAINING RECORDS.

1.5 SPECIAL ENVIRONMENTAL REQUIREMENTS

Comply with the special environmental requirements listed herein and attached at the end of this section.

1.6 QUALITY ASSURANCE

1.6.1 Preconstruction Survey and Protection of Features

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to

start of any onsite construction activities, perform a Preconstruction Survey of the project site with the Contracting Officer, and take photographs showing existing environmental conditions in and adjacent to the site. Submit a report for the record. Include in the report a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work and adjacent to the Contractor's assigned storage area and access route(s), as applicable. The Contractor and the Contracting Officer will sign this survey report upon mutual agreement regarding its accuracy and completeness. Protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference that their preservation may cause to the work under the Contract.

1.6.2 Regulatory Notifications

Provide regulatory notification requirements in accordance with federal, state and local regulations. In cases where the Government will also provide public notification (such as stormwater permitting), coordinate with the Contracting Officer. Submit copies of regulatory notifications to the Contracting Officer at least 14 days prior to commencement of work activities. Typically, regulatory notifications must be provided for the following (this listing is not all-inclusive): demolition, renovation, NPDES defined site work, construction, removal or use of a permitted air emissions source, and remediation of controlled substances (asbestos, hazardous waste, lead paint).

1.6.3 Environmental Brief

Attend an environmental brief to be included in the preconstruction meeting. Provide the following information: types, quantities, and use of hazardous materials that will be brought onto the installation; and types and quantities of wastes/wastewater that may be generated during the Contract. Discuss the results of the Preconstruction Survey at this time.

Prior to initiating any work on site, meet with the Contracting Officer and installation Environmental Office to discuss the proposed Environmental Protection Plan (EPP). Develop a mutual understanding relative to the details of environmental protection, including measures for protecting natural and cultural resources, required reports, required permits, permit requirements (such as mitigation measures), and other measures to be taken.

1.6.4 Environmental Manager

Appoint in writing an Environmental Manager for the project site. The Environmental Manager is directly responsible for coordinating contractor compliance with federal, state, local, and installation requirements. The Environmental Manager must ensure compliance with Hazardous Waste Program requirements (including hazardous waste handling, storage, manifesting, and disposal); implement the EPP; ensure environmental permits are obtained, maintained, and closed out; ensure compliance with Stormwater Program requirements; ensure compliance with Hazardous Materials (storage, handling, and reporting) requirements; and coordinate any remediation of regulated substances (lead, asbestos, PCB transformers). This can be a collateral position; however, the person in this position must be trained to adequately accomplish the following duties: ensure waste segregation and storage compatibility requirements are met; inspect and manage

Satellite Accumulation areas; ensure only authorized personnel add wastes to containers; ensure Contractor personnel are trained in 40 CFR requirements in accordance with their position requirements; coordinate removal of waste containers; and maintain the Environmental Records binder and required documentation, including environmental permits compliance and close-out. Submit Environmental Manager Qualifications to the Contracting Officer.

1.6.5 Employee Training Records

Prepare and maintain Employee Training Records throughout the term of the contract meeting applicable 40 CFR requirements. Provide Employee Training Records in the Environmental Records Binder. Ensure every employee completes a program of classroom instruction or on-the-job training that teaches them to perform their duties in a way that ensures compliance with federal, state and local regulatory requirements for RCRA Large Quantity Generator. Provide a Position Description for each employee, by subcontractor, based on the Davis-Bacon Wage Rate designation or other equivalent method, evaluating the employee's association with hazardous and regulated wastes. This Position Description will include training requirements as defined in 40 CFR 265 for a Large Quantity Generator facility. Submit these Assembled Employee Training Records to the Contracting Officer at the conclusion of the project, unless otherwise directed.

Train personnel to meet EPAstate requirements. Conduct environmental protection/pollution control meetings for personnel prior to commencing construction activities. Contact additional meetings for new personnel and when site conditions change. Include in the training and meeting agenda: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, waters of the United States, and endangered species and their habitat that are known to be in the area. Provide copy of the Erosion and Sediment Control Inspector Qualifications as defined by EPA Certification as required by state.

1.6.6 Non-Compliance Notifications

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with federal, state or local environmental laws or regulations, permits, and other elements of the Contractor's EPP. After receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. FAR 52.242-14 Suspension of Work provides that a suspension, delay, or interruption of work due to the fault or negligence of the Contractor allows for no adjustments to the contract for time extensions or equitable adjustments. In addition to a suspension of work, the Contracting Officer may use additional authorities under the contract or law.

1.7 ENVIRONMENTAL PROTECTION PLAN

The purpose of the EPP is to present an overview of known or potential environmental issues that must be considered and addressed during

construction. Incorporate construction related objectives and targets from the installation's EMS into the EPP. Include in the EPP measures for protecting natural and cultural resources, required reports, and other measures to be taken. Meet with the Contracting Officer or Contracting Officer Representative to discuss the EPP and develop a mutual understanding relative to the details for environmental protection including measures for protecting natural resources, required reports, and other measures to be taken. Submit the EPP within 15 days after notice to proceed and not less than 10 days before the preconstruction meeting. Revise the EPP throughout the project to include any reporting requirements, changes in site conditions, or contract modifications that change the project scope of work in a way that could have an environmental impact. No requirement in this section will relieve the Contractor of any applicable federal, state, and local environmental protection laws and regulations. During Construction, identify, implement, and submit for approval any additional requirements to be included in the EPP. Maintain the current version onsite.

The EPP includes, but is not limited to, the following elements:

1.7.1 General Overview and Purpose

1.7.1.1 Descriptions

A brief description of each specific plan required by environmental permit or elsewhere in this Contract such as stormwater pollution prevention plan, spill control plan, solid waste management plan, wastewater management plan, air pollution control plan, contaminant prevention plan, Non-Hazardous Solid Waste Disposal Plan.

1.7.1.2 Duties

The duties and level of authority assigned to the person(s) on the job site who oversee environmental compliance, such as who is responsible for adherence to the EPP, who is responsible for spill cleanup and training personnel on spill response procedures, who is responsible for manifesting hazardous waste to be removed from the site (if applicable), and who is responsible for training the Contractor's environmental protection personnel.

1.7.1.3 Procedures

A copy of any standard or project-specific operating procedures that will be used to effectively manage and protect the environment on the project site.

1.7.1.4 Communications

Communication and training procedures that will be used to convey environmental management requirements to Contractor employees and subcontractors.

1.7.1.5 Contact Information

Emergency contact information contact information (office phone number, cell phone number, and e-mail address).

1.7.2 General Site Information

1.7.2.1 Drawings

Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, jurisdictional wetlands, material storage areas, structures, sanitary facilities, storm drains and conveyances, and stockpiles of excess soil.

1.7.2.2 Work Area

Work area plan showing the proposed activity in each portion of the area and identify the areas of limited use or nonuse. Include measures for marking the limits of use areas, including methods for protection of features to be preserved within authorized work areas and methods to control runoff and to contain materials on site, and a traffic control plan.

1.7.2.3 Documentation

A letter signed by an officer of the firm appointing the Environmental Manager and stating that person is responsible for managing and implementing the Environmental Program as described in this contract. Include in this letter the Environmental Manager's authority to direct the removal and replacement of non-conforming work.

1.7.3 Management of Natural Resources

a. Land resources

b. Tree protection

c. Replacement of damaged landscape features

d. Temporary construction

e. Stream crossings

f. Fish and wildlife resources

g. Wetland areas

1.7.4 Protection of Historical and Archaeological Resources

a. Objectives

b. Methods

1.7.5 Stormwater Management and Control

a. Ground cover

b. Erodible soils

c. Temporary measures

(1) Structural Practices

(2) Temporary and permanent stabilization

d. Effective selection, implementation and maintenance of Best Management Practices (BMPs).

1.7.6 Protection of the Environment from Waste Derived from Contractor Operations

Control and disposal of solid and sanitary waste. Control and disposal of hazardous waste. Control and disposal of contaminated soil.

This item consist of the management procedures for hazardous waste to be generated. The elements of those procedures will coincide with the Installation Hazardous Waste Management Plan and WIS. ADMIN. CODE CH NR 718 Soil Management Plan. The Contracting Officer will provide a copy of the Installation Hazardous Waste Management Plan and the Soil Management Plan. As a minimum, include the following:

a. List of the types of hazardous wastes expected to be generated

b. Procedures to ensure a written waste determination is made for appropriate wastes that are to be generated

c. Sampling/analysis plan, including laboratory method(s) that will be used for waste determinations and copies of relevant laboratory certifications

d. Methods and proposed locations for hazardous waste accumulation/storage (that is, in tanks or containers)

e. Management procedures for storage, labeling, transportation, and disposal of waste (treatment of waste is not allowed unless specifically noted)

f. Management procedures and regulatory documentation ensuring disposal of hazardous waste complies with Land Disposal Restrictions (40 CFR 268)

g. Management procedures for recyclable hazardous materials such as lead-acid batteries, used oil, and similar

h. Used oil management procedures in accordance with 40 CFR 279; Hazardous waste minimization procedures

i. Plans for the disposal of hazardous waste by permitted facilities; and Procedures to be employed to ensure required employee training records are maintained.

1.7.7 Prevention of Releases to the Environment

Procedures to prevent releases to the environment in accordance with federal, state and local regulations. Any spills cleanup actions shall be in compliance with WIS. STAT. CH 292.

Notifications in the event of a release to the environment

1.7.8 Regulatory Notification and Permits

List what notifications and permit applications must be made. Some permits require up to 180 days to obtain. Demonstrate that those permits

have been obtained or applied for by including copies of applicable environmental permits. The EPP will not be approved until the permits have been obtained.

1.7.9 Clean Air Act Compliance

1.7.9.1 Haul Route

Submit truck and material haul routes along with a Dirt and Dust Control Plan for controlling dirt, debris, and dust on Installation roadways. As a minimum, identify in the plan the subcontractor and equipment for cleaning along the haul route and measures to reduce dirt, dust, and debris from roadways.

1.7.9.2 Pollution Generating Equipment

Identify air pollution generating equipment or processes that may require federal, state, or local permits under the Clean Air Act. Determine requirements based on any current installation permits and the impacts of the project. Provide a list of all fixed or mobile equipment, machinery or operations that could generate air emissions during the project to the Installation Environmental Office (Air Program Manager).

1.7.9.3 Stationary Internal Combustion Engines

Identify portable and stationary internal combustion engines that will be supplied, used or serviced. Comply with 40 CFR 60 Subpart IIII, 40 CFR 60 Subpart JJJJ, 40 CFR 63 Subpart ZZZZ, and local regulations as applicable. At minimum, include the make, model, serial number, manufacture date, size (engine brake horsepower), and EPA emission certification status of each engine. Maintain applicable records and log hours of operation and fuel use. Logs must include reasons for operation and delineate between emergency and non-emergency operation.

1.7.9.4 Refrigerants

Identify management practices to ensure that heating, ventilation, and air conditioning (HVAC) work involving refrigerants complies with 40 CFR 82 requirements. Technicians must be certified, maintain copies of certification on site, use certified equipment and log work that requires the addition or removal of refrigerant. Any refrigerant reclaimed is the property of the Government, coordinate with the Installation Environmental Office to determine the appropriate turn in location.

1.7.9.5 Air Pollution-engineering Processes

Identify planned air pollution-generating processes and management control measures (including, but not limited to, spray painting, abrasive blasting, demolition, material handling, fugitive dust, and fugitive emissions). Log hours of operations and track quantities of materials used.

1.7.9.6 Compliant Materials

Provide the Government a list of SDSs for all hazardous materials proposed for use on site. Materials must be compliant with all Clean Air Act regulations for emissions including solvent and volatile organic compound contents, and applicable National Emission Standards for Hazardous Air Pollutants requirements. The Government may alter or limit use of

specific materials as needed to meet installation permit requirements for emissions.

1.8 LICENSES AND PERMITS

Obtain licenses and permits required for the construction of the project and in accordance with FAR 52.236-7 Permits and Responsibilities. Notify the Government of all general use permitted equipment the Contractor plans to use on site. This paragraph supplements the Contractor's responsibility under FAR 52.236-7 Permits and Responsibilities.

1.9 ENVIRONMENTAL RECORDS BINDER

Maintain on-site a separate three-ring Environmental Records Binder and submit at the completion of the project. Make separate parts within the binder that correspond to each submittal listed under paragraph CLOSEOUT SUBMITTALS in this section.

1.10 SOLID WASTE MANAGEMENT PERMIT

Provide the Contracting Officer with written notification of the quantity of anticipated solid waste or debris that is anticipated or estimated to be generated by construction. Include in the report the locations where various types of waste will be disposed or recycled. Include letters of acceptance from the receiving location or as applicable; submit one copy of the receiving location state and local Solid Waste Management Permit or license showing such agency's approval of the disposal plan before transporting wastes off Government property.

1.10.1 Monthly Solid Waste Disposal Report

Monthly, submit a solid waste disposal report to the Contracting Officer. For each waste, the report will state the classification (using the definitions provided in this section), amount, location, and name of the business receiving the solid waste.

1.11 FACILITY HAZARDOUS WASTE GENERATOR STATUS

is designated as a. Meet the regulatory requirements of this generator designation for any work conducted within the boundaries of this Installation. Comply with provisions of federal, state, and local regulatory requirements applicable to this generator status regarding training and storage, handling, and disposal of construction derived wastes.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PROTECTION OF NATURAL RESOURCES

Minimize interference with, disturbance to, and damage to fish, wildlife, and plants, including their habitats. Prior to the commencement of activities, consult with the Installation Environmental Office, regarding rare species or sensitive habitats that need to be protected. The protection of rare, threatened, and endangered animal and plant species identified, including their habitats, is the Contractor's responsibility.

Preserve the natural resources within the project boundaries and outside the limits of permanent work. Restore to an equivalent or improved condition upon completion of work that is consistent with the requirements of the Installation Environmental Office or as otherwise specified. Confine construction activities to within the limits of the work indicated or specified.

3.1.1 Flow Ways

Do not alter water flows or otherwise significantly disturb the native habitat adjacent to the project and critical to the survival of fish and wildlife, except as specified and permitted.

3.1.2 Vegetation

Except in areas to be cleared, do not remove, cut, deface, injure, or destroy trees or shrubs without the Contracting Officer's permission. Do not fasten or attach ropes, cables, or guys to existing nearby trees for anchorages unless authorized by the Contracting Officer. Where such use of attached ropes, cables, or guys is authorized, the Contractor is responsible for any resultant damage.

Protect existing trees that are to remain to ensure they are not injured, bruised, defaced, or otherwise damaged by construction operations. Remove displaced rocks from uncleared areas. Coordinate with the Contracting Officer and Installation Environmental Office to determine appropriate action for trees and other landscape features scarred or damaged by equipment operations.

3.1.3 Streams

Stream crossings must allow movement of materials or equipment without violating water pollution control standards of the federal, state, and local governments. Construction of stream crossing structures must be in compliance with any required permits including, but not limited to, Clean Water Act Section 404, and Section 401 Water Quality.

The Contracting Officer's approval and appropriate permits are required before any equipment will be permitted to ford live streams. In areas where frequent crossings are required, install temporary culverts or bridges. Obtain Contracting Officer's approval prior to installation. Remove temporary culverts or bridges upon completion of work, and repair the area to its original condition unless otherwise required by the Contracting Officer.

3.2 STORMWATER

Do not discharge stormwater from construction sites to the sanitary sewer. If the water is noted or suspected of being contaminated, it may only be released to the storm drain system if the discharge is specifically permitted. Obtain authorization in advance from the Installation Environmental Office for any release of contaminated water.

3.2.1 Construction General Permit

Provide a Construction General Permit as required by 40 CFR 122.26 or the State of Wisconsin General Permit. Under the terms and conditions of the permit, install, inspect, maintain BMPs, prepare stormwater erosion and

sediment control inspection reports, and submit SWPPP inspection reports. Maintain construction operations and management in compliance with the terms and conditions of the general permit for stormwater discharges from construction activities.

3.2.1.1 Stormwater Pollution Prevention Plan

Submit a project-specific Stormwater Pollution Prevention Plan (SWPPP) to the Contracting Officer for approval, within 30 days of Contract Award and prior to the commencement of work. The SWPPP must meet the requirements of 40 CFR 122.26 and the Wisconisin State General Permit for stormwater discharges from construction sites.

Include the following:

a. Comply with terms of the state general permit for stormwater discharges from construction activities. Prepare SWPPP in accordance with state requirements. Use state

b. Select applicable BMPs from EPA Fact Sheets located at https://www.epa.gov/npdes/national-menu-best-management-practices-bmps-stormwater#constr or in accordance with applicable state or local requirements.

c. Include a completed copy of the Notice of Intent, BMP Inspection Report Template, and Stormwater Notice of Termination, except for the effective date.

3.2.1.2 Stormwater Notice of Intent for Construction Activities

Prepare and submit the Notice of Intent for NPDES coverage under the general permit for construction activities to the Contracting Officer for review and approval.

Submit the approved NOI and appropriate permit fees onto the appropriate federal or state agency for approval. No land disturbing activities may commence without permit coverage. Maintain an approved copy of the SWPPP at the onsite construction office, and continually update as regulations require, reflecting current site conditions.

3.2.1.3 Inspection Reports

Submit "Inspection Reports" to the Contracting Officer in accordance with the State of WisconsinConstruction General Permit.

3.2.1.4 Stormwater Pollution Prevention Plan Compliance Notebook

Create and maintain a three ring binder of documents that demonstrate compliance with the Construction General Permit. Include a copy of the permit Notice of Intent, proof of permit fee payment, SWPPP and SWPPP update amendments, inspection reports and related corrective action records, copies of correspondence with the the WisconsinState Permitting Agency, and a copy of the permit Notice of Termination in the binder. At project completion, the notebook becomes property of the Government. Provide the compliance notebook to the Contracting Officer.

3.2.1.5 Stormwater Notice of Termination for Construction Activities

Submit a Notice of Termination to the Contracting Officer for approval once construction is complete and final stabilization has been achieved on

all portions of the site for which the permittee is responsible. Once approved, submit the Notice of Termination to the appropriate state or federal agency. Prepare as-built topographic survey information required by the permitting agency for certification of the stormwater management system, and provide to the Contracting Officer.

3.2.2 Erosion and Sediment Control Measures

Provide erosion and sediment control measures in accordance with state and local laws and regulations. Preserve vegetation to the maximum extent practicable.

Erosion control inspection reports may be compiled as part of a stormwater pollution prevention plan inspection reports.

3.2.2.1 Erosion Control

Prevent erosion by mulching, Compost Blankets, Geotextiles, temporary slope drains, or stone tracking pads. Stabilize slopes by chemical stabilization, sodding, seeding, or such combination of these methods necessary for effective erosion control. Use of hay bales is prohibited.

Provide seeding in accordance with Section 32 92 19 SEEDING.

3.2.2.2 Sediment Control Practices

Implement sediment control practices to divert flows from exposed soils, temporarily store flows, or otherwise limit runoff and the discharge of pollutants from exposed areas of the site. Implement sediment control practices prior to soil disturbance and prior to creating areas with concentrated flow, during the construction process to minimize erosion and sediment laden runoff. Include the following devices: silt fence, temporary diversion dikes, storm drain inlet protection. Location and details of installation and construction are indicated on the drawings.

3.2.3 Work Area Limits

Mark the areas that need not be disturbed under this Contract prior to commencing construction activities. Mark or fence isolated areas within the general work area that are not to be disturbed. Protect monuments and markers before construction operations commence. Where construction operations are to be conducted during darkness, any markers must be visible in the dark. Personnel must be knowledgeable of the purpose for marking and protecting particular objects.

3.2.4 Contractor Facilities and Work Areas

Place field offices, staging areas, stockpile storage, and temporary buildings in areas designated on the drawings or as directed by the Contracting Officer. Move or relocate the Contractor facilities only when approved by the Government. Provide erosion and sediment controls for onsite borrow and spoil areas to prevent sediment from entering nearby waters. Control temporary excavation and embankments for plant or work areas to protect adjacent areas.

3.2.5 Municipal Separate Storm Sewer System (MS4) Management

Comply with the Installation's MS4 permit requirements.

3.3 SURFACE AND GROUNDWATER

3.3.1 Cofferdams, Diversions, and Dewatering

Construction operations for dewatering, removal of cofferdams, tailrace excavation, and tunnel closure must be constantly controlled to maintain compliance with existing state water quality standards and designated uses of the surface water body. Comply with the State of Wisconsin water quality standards and anti-degradation provisions . Do not discharge excavation ground water to the sanitary sewer, storm drains, or to surface waters without prior specific authorization in writing from the Installation Environmental Office. Discharge of hazardous substances will not be permitted under any circumstances. Use sediment control BMPs to prevent construction site runoff from directly entering any storm drain or surface waters.

If the construction dewatering is noted or suspected of being contaminated, it may only be released to the sanitary sewer system or storm drain system if the discharge is specifically permitted and authorized prior to excavation. Obtain authorization for any contaminated groundwater release in advance from the Installation Environmental Officer and the federal or state authority, as applicable. Discharge of hazardous substances will not be permitted under any circumstances.

3.3.2 Waters of the United States

Do not enter, disturb, destroy, or allow discharge of contaminants into waters of the United States

3.4 PROTECTION OF CULTURAL RESOURCES

3.4.1 Archaeological Resources

If, during excavation or other construction activities, any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, activities that may damage or alter such resources will be suspended. Resources covered by this paragraph include, but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other human activities. Upon such discovery or find, immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. Cease all activities that may result in impact to or the destruction of these resources. Secure the area and prevent employees or other persons from trespassing on, removing, or otherwise disturbing such resources. The Government retains ownership and control over archaeological resources.

3.5 AIR RESOURCES

Equipment operation, activities, or processes will be in accordance with 40 CFR 64 and state air emission and performance laws and standards.

3.5.1 Preconstruction Air Permits

Notify the Air Program Manager, through the Contracting Officer, at least 6 months prior to bringing equipment, assembled or unassembled, onto the

Installation, so that air permits can be secured. Necessary permitting time must be considered in regard to construction activities. Clean Air Act (CAA) permits must be obtained prior to bringing equipment, assembled or unassembled, onto the Installation.

Permits will be provided by the Government.

3.5.2 Oil or Dual-fuel Boilers and Furnaces

Provide product data and details for new, replacement, or relocated fuel fired boilers, heaters, or furnaces to the Installation Environmental Office (Air Program Manager) through the Contracting Officer. Data to be reported include: equipment purpose (water heater, building heat, process), manufacturer, model number, serial number, fuel type (oil type, gas type) size (MMBTU heat input). Provide in accordance with paragraph PRECONSTRUCTION AIR PERMITS.

3.5.3 Burning

Burning is prohibited on the Government premises.

3.5.4 Class I and II ODS Prohibition

Class I and II ODS are Government property and must be returned to the Government for appropriate management. Coordinate with the Installation Environmental Office to determine the appropriate location for turn in of all reclaimed refrigerant.

3.5.5 Accidental Venting of Refrigerant

Accidental venting of a refrigerant is a release and must be reported immediately to the Contracting Officer.

3.5.6 EPA Certification Requirements

Heating and air conditioning technicians must be certified through an EPA-approved program. Maintain copies of certifications at the employees' places of business; technicians must carry certification wallet cards, as provided by environmental law.

3.5.7 Dust Control

Keep dust down at all times, including during nonworking periods. Sprinkle or treat, with dust suppressants, the soil at the site, haul roads, and other areas disturbed by operations. Dry power brooming will not be permitted. Instead, use vacuuming, wet mopping, wet sweeping, or wet power brooming. Air blowing will be permitted only for cleaning nonparticulate debris such as steel reinforcing bars. Only wet cutting will be permitted for cutting concrete blocks, concrete, and bituminous concrete. Do not unnecessarily shake bags of cement, concrete mortar, or plaster. Since these products contain Crystalline Silica, comply with the applicable OSHA standard, 29 CFR 1910.1053 or 29 CFR 1926.1153 for controlling exposure to Crystalline Silica Dust.

3.5.7.1 Particulates

Dust particles, aerosols and gaseous by-products from construction activities, and processing and preparation of materials (such as from asphaltic batch plants) must be controlled at all times, including

weekends, holidays, and hours when work is not in progress. Maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates that would exceed 40 CFR 50, state, and local air pollution standards or that would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators, or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp. Provide sufficient, competent equipment available to accomplish these tasks. Perform particulate control as the work proceeds and whenever a particulate nuisance or hazard occurs. Comply with state and local visibility regulations.

3.5.7.2 Abrasive Blasting

Blasting operations cannot be performed without prior approval of the Installation Air Program Manager. The use of silica sand is prohibited in sandblasting.

Provide tarpaulin drop cloths and windscreens to enclose abrasive blasting operations to confine and collect dust, abrasive agent, paint chips, and other debris. Perform work involving removal of hazardous material in accordance with 29 CFR 1910.

3.5.8 Odors

Control odors from construction activities. The odors must be in compliance with state regulations and local ordinances and may not constitute a health hazard.

3.6 WASTE MINIMIZATION

Minimize the use of hazardous materials and the generation of waste. Include procedures for pollution prevention/ hazardous waste minimization in the Hazardous Waste Management Section of the EPP. Obtain a copy of the installation's Pollution Prevention/Hazardous Waste Minimization Plan for reference material when preparing this part of the EPP. If no written plan exists, obtain information by contacting the Contracting Officer. Describe the anticipated types of the hazardous materials to be used in the construction when requesting information.

3.6.1 Salvage, Reuse and Recycle

Identify anticipated materials and waste for salvage, reuse, and recycling. Describe actions to promote material reuse, resale or recycling. To the extent practicable, all scrap metal must be sent for reuse or recycling and will not be disposed of in a landfill.

Include the name, physical address, and telephone number of the hauler, if transported by a franchised solid waste hauler. Include the destination and, unless exempted, provide a copy of the state or local permit (cover) or license for recycling.

3.6.2 Nonhazardous Solid Waste Diversion Report

Maintain an inventory of nonhazardous solid waste diversion and disposal of construction and demolition debris. Submit a report to the Contracting Officer on the first working day after each fiscal year quarter, starting

the first quarter that nonhazardous solid waste has been generated. Include the following in the report:

Construction and Demolition (C&D) Debris Disposed	cubic yardstons,cubic meters as appropriate
C&D Debris Recycled	cubic yardstons,cubic meters as appropriate
C&D Debris Composted	cubic yardstons,cubic meters as appropriate
Total C&D Debris Generated	cubic yardstons,cubic meters as appropriate
Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount)	cubic yardstons,cubic meters as appropriate

3.7 WASTE MANAGEMENT AND DISPOSAL

3.7.1 Waste Determination Documentation

Complete a Waste Determination form (provided at the pre-construction conference) for Contractor-derived wastes to be generated. All potentially hazardous solid waste streams that are not subject to a specific exclusion or exemption from the hazardous waste regulations (e.g. scrap metal, domestic sewage) or subject to special rules, (lead-acid batteries and precious metals) must be characterized in accordance with the requirements of 40 CFR 261 or corresponding applicable state or local regulations. Base waste determination on user knowledge of the processes and materials used, and analytical data when necessary. Consult with the Installation environmental staff for guidance on specific requirements. Attach support documentation to the Waste Determination form. As a minimum, provide a Waste Determination form for the following waste (this listing is not inclusive): oil- and latex -based painting and caulking products, solvents, adhesives, aerosols, petroleum products, and containers of the original materials.

3.7.2 Solid Waste Management

3.7.2.1 Project Solid Waste Disposal Documentation Report

Provide copies of the waste handling facilities' weight tickets, receipts, bills of sale, and other sales documentation. In lieu of sales documentation, a statement indicating the disposal location for the solid waste that is signed by an employee authorized to legally obligate or bind the firm may be submitted. The sales documentation Contractor certification must include the receiver's tax identification number and business, EPA or state registration number, along with the receiver's delivery and business addresses and telephone numbers. For each solid waste retained for the Contractor's own use, submit the information previously described in this paragraph on the solid waste disposal report. Prices paid or received do not have to be reported to the Contracting Officer unless required by other provisions or specifications of this Contract or public law.

3.7.2.2 Control and Management of Solid Wastes

Pick up solid wastes, and place in covered containers that are regularly emptied. Do not prepare or cook food on the project site. Prevent contamination of the site or other areas when handling and disposing of wastes. At project completion, leave the areas clean. Employ segregation measures so that no hazardous or toxic waste will become co-mingled with non-hazardous solid waste. Transport solid waste off Government property and dispose of it in compliance with 40 CFR 260, state, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill is the minimum acceptable offsite solid waste disposal option. Verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate. Haul waste materials to the Government landfill site designated by the Contracting Officer. Comply with site procedures. Segregate and separate treated wood components disposed at a lined landfill approved to accept this waste in accordance with local and state regulations Solid waste disposal offsite must comply with most stringent local, state, and federal requirements, including 40 CFR 241, 40 CFR 243, and 40 CFR 258.

Manage hazardous material used in construction, including but not limited to, aerosol cans, waste paint, cleaning solvents, contaminated brushes, and used rags, in accordance with 49 CFR 173.

3.7.3 Control and Management of Hazardous Waste

Do not dispose of hazardous waste on Government property. Do not discharge any waste to a sanitary sewer, storm drain, or to surface waters or conduct waste treatment or disposal on Government property without written approval of the Contracting Officer.

3.7.3.1 Hazardous Waste/Debris Management

Identify construction activities that will generate hazardous waste or debris. Provide a documented waste determination for resultant waste streams. Identify, label, handle, store, and dispose of hazardous waste or debris in accordance with federal, state, and local regulations, including 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, 40 CFR 266, and 40 CFR 268.

Manage hazardous waste in accordance with the approved Hazardous Waste Management Section of the EPP. Store hazardous wastes in approved containers in accordance with 49 CFR 173 and 49 CFR 178. Hazardous waste generated within the confines of Government facilities is identified as being generated by the Government. Prior to removal of any hazardous waste from Government property, hazardous waste manifests must be signed by personnel from the Installation Environmental Office. Do not bring hazardous waste onto Government property. Provide the Contracting Officer with a copy of waste determination documentation for any solid waste streams that have any potential to be hazardous waste or contain any chemical constituents listed in 40 CFR 372-SUBPART D.

3.7.3.2 Waste Storage/Satellite Accumulation/90 Day Storage Areas

Accumulate hazardous waste at satellite accumulation points and in compliance with 40 CFR 262.34 and applicable state or local regulations. Individual waste streams will be limited to 55 gallons of accumulation (or 1 quart for acutely hazardous wastes). If the Contractor expects to generate hazardous waste at a rate and quantity that makes satellite

accumulation impractical, the Contractor may request a temporary 90 day accumulation point be established. Submit a request in writing to the Contracting Officer and provide the following information (Attach Site Plan to the Request):

Contract Number	
Contractor	
Haz/Waste or Regulated Waste POC	
Phone Number	
Type of Waste	
Source of Waste	
Emergency POC	
Phone Number	
Location of the Site	

Attach a Waste Determination form for the expected waste streams. Allow 10 working days for processing this request. Additional compliance requirements (e.g. training and contingency planning) that may be required are the responsibility of the Contractor. Barricade the designated area where waste is being stored and post a sign identifying as follows:

"DANGER - UNAUTHORIZED PERSONNEL KEEP OUT"

3.7.3.3 Hazardous Waste Disposal

3.7.3.3.1 Responsibilities for Contractor's Disposal

Provide hazardous waste manifest to the Installations Environmental Office for review, approval, and signature prior to shipping waste off Government property.

3.7.3.3.1.1 Services

Provide service necessary for the final treatment or disposal of the hazardous material or waste in accordance with 40 CFR 260, local, and state, laws and regulations, and the terms and conditions of the Contract within 60 days after the materials have been generated. These services include necessary personnel, labor, transportation, packaging, detailed analysis (if required for disposal or transportation, include manifesting or complete waste profile sheets, equipment, and compile documentation).

3.7.3.3.1.2 Samples

Obtain a representative sample of the material generated for each job done to provide waste stream determination.

3.7.3.3.1.3 Analysis

Analyze each sample taken and provide analytical results to the Contracting Officer. See paragraph WASTE DETERMINATION DOCUMENTATION.

3.7.3.3.1.4 Labeling

Determine the Department of Transportation's (DOT's) proper shipping names for waste (each container requiring disposal) and demonstrate to the Contracting Officer how this determination is developed and supported by the sampling and analysis requirements contained herein. Label all containers of hazardous waste with the words "Hazardous Waste" or other words to describe the contents of the container in accordance with 40 CFR 262.31 and applicable state or local regulations.

3.7.3.3.2 Contractor Disposal Turn-In Requirements

Hazardous waste generated must be disposed of in accordance with the following conditions to meet installation requirements:

a. Drums must be compatible with waste contents and drums must meet DOT requirements for 49 CFR 173 for transportation of materials.

b. Band drums to wooden pallets.

c. No more than three 55 gallon drums or two 85 gallon over packs are to be banded to a pallet.

d. Band using 1-1/4 inch minimum band on upper third of drum.

e. Provide label in accordance with 49 CFR 172.101.

f. Leave 3 to 5 inches of empty space above volume of material.

3.7.3.4 Universal Waste Management

Manage the following categories of universal waste in accordance with federal, state, and local requirements and installation instructions:

a. Batteries as described in 40 CFR 273.2

b. Lamps as described in 40 CFR 273.5

c. Mercury-containing equipment as described in 40 CFR 273.4

Mercury is prohibited in the construction of this facility, unless specified otherwise, and with the exception of mercury vapor lamps and fluorescent lamps. Dumping of mercury-containing materials and devices such as mercury vapor lamps, fluorescent lamps, and mercury switches, in rubbish containers is prohibited. Remove without breaking, pack to prevent breakage, and transport out of the activity in an unbroken condition for disposal as directed.

3.7.3.5 Electronics End-of-Life Management

Recycle or dispose of electronics waste, including, but not limited to, used electronic devices such computers, monitors, hard-copy devices, televisions, mobile devices, in accordance with 40 CFR 260-262, state, and local requirements, and installation instructions.

3.7.3.6 Disposal Documentation for Hazardous and Regulated Waste

Contact the Contracting Officer for the facility RCRA identification

number that is to be used on each manifest.

Submit a copy of the applicable EPA and or state permit(s), manifest(s), or license(s) for transportation, treatment, storage, and disposal of hazardous and regulated waste by permitted facilities. Hazardous or toxic waste manifests must be reviewed, signed, and approved by the Contracting Officer before the Contractor may ship waste. To obtain specific disposal instructions, coordinate with the Installation Environmental Office.

3.7.4 Releases/Spills of Oil and Hazardous Substances

3.7.4.1 Response and Notifications

Exercise due diligence to prevent, contain, and respond to spills of hazardous material, hazardous substances, hazardous waste, sewage, regulated gas, petroleum, lubrication oil, and other substances regulated in accordance with 40 CFR 300. Maintain spill cleanup equipment and materials at the work site. In the event of a spill, take prompt, effective action to stop, contain, curtail, or otherwise limit the amount, duration, and severity of the spill/release. In the event of any releases of oil and hazardous substances, chemicals, or gases; immediately (within 15 minutes) notify the Installation Fire Department, the Installation Command Duty Officer, the Installation Environmental Office, the Contracting Officer and the state or local authority.

Submit verbal and written notifications as required by the federal (40 CFR 300.125 and 40 CFR 355), state, local regulations and instructions. Provide copies of the written notification and documentation that a verbal notification was made within 20 days. Spill response must be in accordance with 40 CFR 300 and applicable state and local regulations. Contain and clean up these spills without cost to the Government.

3.7.4.2 Clean Up

Clean up hazardous and non-hazardous waste spills. Reimburse the Government for costs incurred including sample analysis materials, clothing, equipment, and labor if the Government will initiate its own spill cleanup procedures, for Contractor- responsible spills, when: Spill cleanup procedures have not begun within one hour of spill discovery/occurrence; or, in the Government's judgment, spill cleanup is inadequate and the spill remains a threat to human health or the environment.

3.7.5 Mercury Materials

Immediately report to the Environmental Office and the Contracting Officer instances of breakage or mercury spillage. Clean mercury spill area to the satisfaction of the Contracting Officer.

Do not recycle a mercury spill cleanup; manage it as a hazardous waste for disposal.

3.7.6 Wastewater

3.7.6.1 Disposal of Wastewater

Disposal of wastewater must be as specified below.

3.7.6.1.1 Treatment

Do not allow wastewater from construction activities, such as onsite material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, and forms to enter water ways or to be discharged prior to being treated to remove pollutants. Dispose of the construction- related waste water off-Government property in accordance with 40 CFR 403, state, regional, and local laws and regulations. by collecting and placing it in a retention pond where suspended material can be settled out or the water can evaporate to separate pollutants from the water. The site for the retention pond must be coordinated and approved with the Contracting Officer. The residue left in the pond prior to completion of the project must be removed, tested, and disposed of off-Government property in accordance with federal, state, and local laws and regulations. Backfill the area to the original grade, top-soiled, and seeded or sodded. Test the water in the retention pond for hazardous waste and have the results reviewed and approved by the Contracting Officer prior to being discharged or disposed of off- Government property.

3.7.6.1.2 Surface Discharge

For discharge of ground water, obtain a state or federal permit specific for pumping and discharging ground water prior to surface discharging. Surface discharge in accordance with federal, state, and local laws and regulations. Surface discharge in accordance with the requirements of the NPDES or state STORMWATER DISCHARGES FROM CONSTRUCTION SITES permit.

3.7.6.1.3 Land Application

Water generated from the flushing of lines after disinfection or disinfection in conjunction with hydrostatic testing hydrostatic testing must be land- applied in accordance with federal, state, and local laws and regulations for land application.

3.8 HAZARDOUS MATERIAL MANAGEMENT

Include hazardous material control procedures in the Safety Plan, in accordance with Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Address procedures and proper handling of hazardous materials, including the appropriate transportation requirements. Do not bring hazardous material onto Government property that does not directly relate to requirements for the performance of this contract. Submit an SDS and estimated quantities to be used for each hazardous material to the Contracting Officer prior to bringing the material on the installation. Typical materials requiring SDS and quantity reporting include, but are not limited to, oil and latex based painting and caulking products, solvents, adhesives, aerosol, and petroleum products. Use hazardous materials in a manner that minimizes the amount of hazardous waste generated. Containers of hazardous materials must have National Fire Protection Association labels or their equivalent. Certify that hazardous materials removed from the site are hazardous materials and do not meet the definition of hazardous waste, in accordance with 40 CFR 261.

3.9 PREVIOUSLY USED EQUIPMENT

Clean previously used construction equipment prior to bringing it onto the project site. Equipment must be free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. Consult with the U.S. Department of Agriculture jurisdictional office for additional cleaning

requirements.

3.10 CONTROL AND MANAGEMENT OF CONTAMINATED SOIL

Manage and dispose of contaminated soil in accordance with WIS. ADMIN. CODE CH NR 718 and Section 02 61 13 EXCAVATION AND HANDLING OF CONTAMINATED MATERIAL and Section 02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIAL. Manifest any contaminated soil and provide manifest to the Contracting Officer.

3.11 CONTROL AND MANAGEMENT OF LEAD-BASED PAINT (LBP)

Manage and dispose of lead-contaminated waste in accordance with 40 CFR 745. Manifest any lead-contaminated waste and provide the manifest to the Contracting Officer.

3.12 CONTROL AND MANAGEMENT OF POLYCHLORINATED BIPHENYLS (PCBS)

Manage and dispose of PCB-contaminated waste in accordance with 40 CFR 761 and Section 02 84 33 REMOVAL AND DISPOSAL OF POLYCHLORINATED BIPHENYLS (PCBS).

3.13 CONTROL AND MANAGEMENT OF LIGHTING BALLAST AND LAMPS CONTAINING PCBS

Manage and dispose of contaminated waste in accordance with 40 CFR 761.

3.14 PETROLEUM, OIL, LUBRICANT (POL) STORAGE AND FUELING

POL products include flammable or combustible liquids, such as gasoline, diesel, lubricating oil, used engine oil, hydraulic oil, mineral oil, and cooking oil. Store POL products and fuel equipment and motor vehicles in a manner that affords the maximum protection against spills into the environment. Manage and store POL products in accordance with EPA 40 CFR 112, and other federal, state, regional, and local laws and regulations. Use secondary containments, dikes, curbs, and other barriers, to prevent POL products from spilling and entering the ground, storm or sewer drains, stormwater ditches or canals, or navigable waters of the United States. Describe in the EPP (see paragraph ENVIRONMENTAL PROTECTION PLAN) how POL tanks and containers must be stored, managed, and inspected and what protections must be provided. Storage of fuel on the project site must be in accordance with EPA, state, and local laws and regulations and paragraph OIL STORAGE INCLUDING FUEL TANKS.

3.14.1 Used Oil Management

Manage used oil generated on site in accordance with 40 CFR 279. Determine if any used oil generated while onsite exhibits a characteristic of hazardous waste. Used oil containing 1,000 parts per million of solvents is considered a hazardous waste and disposed of at the Contractor's expense. Used oil mixed with a hazardous waste is also considered a hazardous waste. Dispose in accordance with paragraph HAZARDOUS WASTE DISPOSAL.

3.14.2 Oil Storage Including Fuel Tanks

Provide secondary containment and overfill protection for oil storage tanks. A berm used to provide secondary containment must be of sufficient size and strength to contain the contents of the tanks plus 5 inches freeboard for precipitation. Construct the berm to be impervious to oil

for 72 hours that no discharge will permeate, drain, infiltrate, or otherwise escape before cleanup occurs. Use drip pans during oil transfer operations; adequate absorbent material must be onsite to clean up any spills and prevent releases to the environment. Cover tanks and drip pans during inclement weather. Provide procedures and equipment to prevent overfilling of tanks. If tanks and containers with an aggregate aboveground capacity greater than 1320 gallons will be used onsite (only containers with a capacity of 55 gallons or greater are counted), provide and implement a SPCC plan meeting the requirements of 40 CFR 112. Do not bring underground storage tanks to the installation for Contractor use during a project. Submit the SPCC plan to the Contracting Officer for approval.

Monitor and remove any rainwater that accumulates in open containment dikes or berms. Inspect the accumulated rainwater prior to draining from a containment dike to the environment, to determine there is no oil sheen present.

3.15 INADVERTENT DISCOVERY OF PETROLEUM-CONTAMINATED SOIL OR HAZARDOUS WASTES

If petroleum-contaminated soil, or suspected hazardous waste is found during construction that was not identified in the Contract documents, immediately notify the Contracting Officer. Do not disturb this material until authorized by the Contracting Officer.

3.16 CHLORDANE

Evaluate excess soils and concrete foundation debris generated during the demolition of housing units or other wooden structures for the presence of chlordane or other pesticides prior to reuse or final disposal.

3.17 SOUND INTRUSION

Make the maximum use of low-noise emission products, as certified by the EPA. Blasting or use of explosives are not permitted without written permission from the Contracting Officer, and then only during the designated times. Confine pile-driving operations to the period between 8 a.m. and 4 p.m., Monday through Friday, exclusive of holidays, unless otherwise specified.

Keep construction activities under surveillance and control to minimize environment damage by noise. Comply with the provisions of the State of Wisconsin rules.

3.18 POST CONSTRUCTION CLEANUP

Clean up areas used for construction in accordance with Contract Clause: "Cleaning Up". Unless otherwise instructed in writing by the Contracting Officer, remove traces of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. Grade parking area and similar temporarily used areas to conform with surrounding contours.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL
02/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 273	Standards for Universal Waste Management
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.2.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.2.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of Intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.2.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.2.5 Diversion

The practice of diverting waste from disposal in a landfill, by means of eliminating or minimizing waste, or reuse of materials.

1.2.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying

constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.2.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.2.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.2.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.2.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.3 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project construction waste and demolition debris/waste from the landfill. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.4 CONSTRUCTION WASTE MANAGEMENT

Implement a construction waste management program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the construction waste management program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.4.1 Implementation of Construction Waste Management Program

Develop and document how the construction waste management program will be implemented in a construction waste management plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to

provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.4.2 Special Programs

Implement any special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.4.3 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.4.4 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the construction waste management plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste steams not listed:

a. Land Clearing Debris

b. Asphalt

c. Masonry and CMU

d. Concrete

e. Metals (e.g. banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze, etc.)

f. Wood (nails and staples allowed)

g. Glass

h. Paper

i. Plastics (PET, HDPE,PVC,LDPE,PP,PS, Other)

j. Gypsum

k. Non-hazardous paint and paint cans

l. Carpet

m. Ceiling Tiles

n. Insulation

o. Beverage Containers

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan; G

SD-11 Closeout Submittals

Final Construction Waste Diversion Report; S

1.6 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed construction waste management plan and to develop a mutual understanding relative to the management of the construction waste management program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

a. Preconstruction meeting.

b. Regular site meetings.

c. Work safety meeting (if applicable).

1.7 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 15 days after contract award. Revise and resubmit Construction Waste Management Plan until it receives final approval from the Contracting Officer, in order for construction to begin. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION AND DECONSTRUCTION. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved construction waste management plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project..

b. Actions that will be taken to reduce solid waste generation, including coordination with subcontractors to ensure awareness and participation.

c. Description of the regular meetings to be held to address waste management.

d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.

e. Name of landfill and/or incinerator to be used.

f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.

g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.

h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.

i. Description of the means by which any materials identified in item (g) above will be protected from contamination.

j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).

k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.

l. Facilities or subcontractors offering construction waste transport on-site or off-site must ensure that proper shipping orders, bill of lading, manifests, or other shipping documents containing waste diversion information meet requirements of 40 CFR 273 Universal Waste Management, 49 CFR 173 Shippers - General Requirements for Shipments and Packagings, and 49 CFR 178 Specifications for Packaging. Individuals signing manifests or other shipping documents should meet the minimum training requirements.

m. List each supplier who deliver construction materials, in bulk, or package products in returnable containers or returnable packaging, or have take-back programs. List each program and the applicable material to actively monitor and track to assist in meeting waste diversion requirements on the project.

Distribute copies of the waste management plan to each subcontractor, , and the Contracting Officer.

1.8 RECORDS (DOCUMENTATION)

1.8.1 General

Maintain records to document the types and quantities of waste generated and diverted though re-use, recycling and/or sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for any materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.8.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.9 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the construction waste management plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations and coordinate with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS and Section 02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS. Separate materials by one of the following methods described herein:

1.9.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the construction waste management plan.

1.9.2 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.10 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting

Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.10.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved construction waste management plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation.

1.10.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.10.3 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 01 78 00

CLOSEOUT SUBMITTALS
05/19

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.1.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.2 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.2.1 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse shall be at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CAD drawing files are not construction documents. Differences may exist between the CAD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CAD files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished Source drawing files, the signed and sealed construction documents govern. The Contractor is responsible for determining if any conflict exists. Use of these Source Drawing files does not relieve the

Contractor of duty to fully comply with the contract documents, including and without limitation, the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indicia of ownership (seals, logos, signatures, initials and dates).

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan

Warranty Tags

Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

SD-11 Closeout Submittals

As-Built Drawings; G

As-Built Record of Equipment and Materials

Certification of EPA Designated Items; G

Certification Of USDA Designated Items; G

1.4 SPARE PARTS DATA

Submit electronic copies of the Spare Parts Data list.

a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

1.5 WARRANTY MANAGEMENT

1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail

to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.

b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.

c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.

d. As-Built Record of Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:

(1) Name of item.
(2) Model and serial numbers.
(3) Location where installed.
(4) Name and phone numbers of manufacturers or suppliers.
(5) Names, addresses and telephone numbers of sources of spare parts.
(6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
(7) Cross-reference to warranty certificates as applicable.
(8) Starting point and duration of warranty period.
(9) Summary of maintenance procedures required to continue the warranty in force.
(10) Cross-reference to specific pertinent Operation and Maintenance manuals.
(11) Organization, names and phone numbers of persons to call for warranty service.
(12) Typical response time and repair time expected for various warranted equipment.

e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.

f. Procedure and status of tagging of equipment covered by warranties longer than one year.

g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.5.2 Performance Bond

The Performance Bond must remain effective throughout the construction and warranty period.

a. In the event the Contractor fails to commence and diligently pursue any construction warranty work required, the Contracting Officer will have the work performed by others, and after completion of the work, will charge the remaining construction warranty funds of expenses incurred by the Government while performing the work, including, but not limited to administrative expenses.

b. In the event sufficient funds are not available to cover the construction warranty work performed by the Government at the Contractor's expense, the Contracting Officer will have the right to recoup expenses from the bonding company.

c. Following oral or written notification of required construction warranty repair work, respond in a timely manner. Written verification will follow oral instructions. Failure to respond will be cause for the Contracting Officer to proceed against the Contractor.

1.5.3 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

PART 2 PRODUCTS

2.1 GOVERNMENT-FURNISHED MATERIALS

The Government will provide an optical disc (CD or DVD) or an electronic transfer, after award of the construction contract, that contains the following:

a. One set of "as-designed" electronic media (BIM files) reflecting all amendments and the final Contract Documents. The sealed Contract Documents are alone the A/E's instruments of professional services and the electronic media are not substitutions for these instruments as differences may exist. The electronic media were developed by the A/E in support of the Contract Documents, for Proof of Concept and to the appropriate Level of Development (LoD) as required by the A/E's contract. The electronic media were not developed to depict actual

conditions to a "shop drawing" level of development and are being provided to the Contractor to support preparation of as-built drawings. If discrepancies exist between the electronic media and the Contract Documents, the Contractor is to correct the electronic media as required to complete as-built drawings and other contract requirements. Since Level of Development of the electronic media are not developed to a level required for construction, and since the electronic media do not incorporate the contractor's means and methods, equipment selections, etc., it is fully anticipated the Contractor will have to augment and/or alter the electronic media as required to complete as-built drawings and other contract requirements. The Contractor accepts all risk of incomplete, inaccurate, defective and variant information contained in the electronic media, and waives, quits, and forever discharges and releases the Government, the A/E and their officers, directors, employees and successors from every claim arising out of or related to any error, discrepancy, inaccuracy, variation or other defect in the electronic media, whether or not resulting in whole or in part from an act, error or omission of A/E, and whether or not such claim is known or unknown as of the date of this waiver and release. The electronic media is not suitable for reuse in any way outside of this project. Contractor agrees that re-publication or distribution of the electronic media is not authorized by the Government or by the A/E. Contractor shall; to the fullest extent permitted by law; defend, indemnify, and hold the A/E and their officers, directors, employees and successors harmless from all claims, damages, including bodily injury or death, losses and expenses, including attorney's fees, arising out of or resulting in whole or in part from the use, reuse, re-publication or distribution of the electronic media. A/E claims their copyright to the electronic media, reserves same, and release of copies to Contractor shall not be construed as publication in derogation of the A/E's reserved rights.

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

a. Use base colors of red, green, and blue. Color code for changes as follows:

(1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

(2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

(3) Additions (Green) - Added items, lettering in notes and leaders.

b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.

c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.

d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.

e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.

f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.

g. For deletions, cross out all features, data and captions that relate to that revision.

h. For changes on small-scale drawings and in restricted areas, provide large-scale inserts, with leaders to the applicable location.

i. Indicate one of the following when attaching a print or sketch to a markup print:

1) Add an entire drawing to contract drawings

2) Change the contract drawing to show

3) Provided for reference only to further detail the initial design.

j. Incorporate all shop and fabrication drawings into the markup drawings.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.

b. The location and dimensions of any changes within the building structure.

c. Layout and schematic drawings of electrical circuits and piping.

d. Correct grade, elevations, cross section, or alignment of roads,

earthwork, structures or utilities if any changes were made from contract plans.

e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.

f. The topography, invert elevations and grades of drainage installed or affected as part of the project construction.

g. Changes or Revisions which result from the final inspection.

h. Where contract drawings or specifications present options, show only the option selected for construction on the working as-built markup drawings.

i. If borrow material for this project is from sources on Government property, or if Government property is used as a spoil area, furnish a contour map of the final borrow pit/spoil area elevations.

j. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

k. Changes in location of equipment and architectural features.

l. Modifications.

m. Actual location of anchors, construction and control joints, etc., in concrete.

n. Unusual or uncharted obstructions that are encountered in the contract work area during construction.

o. Location, extent, thickness, and size of stone protection particularly where it will be normally submerged by water.

3.2 RECORD DRAWINGS

3.3 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide electronic copies of the Operation and Maintenance Manual files. Submit to the Contracting Officer for approval within 14 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

3.4 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. Replace filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site..

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA
08/15, CHG 1: 11/20

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Training Plan; G

Training Outline; G

Training Content; G

SD-11 Closeout Submittals

Training Video Recording; G

Validation of Training Completion; G

1.2 OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data for the provided equipment, product, or system, defining the importance of system interactions, troubleshooting, and long-term preventive operation and maintenance. Compile, prepare, and aggregate O&M data to include clarifying and updating the original sequences of operation to as-built conditions. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01 33 00 SUBMITTAL PROCEDURES.

1.2.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.2.2 Package Content

Provide data package content in accordance with paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES. Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission, except as follows. Use Data Package 4 for commissioned items without a specified data package requirement in the individual technical sections. Provide a Data Package 3 instead of Data Package 1 or 2, as specified in the individual technical section, for items that are commissioned.

1.2.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

1.3 OPERATION AND MAINTENANCE MANUAL FILE FORMAT

Assemble data packages into electronic Operation and Maintenance Manuals. Assemble each manual into a composite electronically indexed file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete electronically linked operation and maintenance directory.

1.3.1 Organization

Bookmark Product and Drawing Information documents using the current version of CSI MasterFormat numbering system, and arrange submittals using the specification sections as a structure. Use CSI MasterFormat and UFGS numbers along with descriptive bookmarked titles that explain the content of the information that is being bookmarked.

1.3.2 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

a. Building Number

b. Project Title

c. Activity and Location

d. Construction Contract Number

e. Prepared For: (Contracting Agency)

f. Prepared By: (Name, title, phone number and email address)

g. Include the disk content on the disk label

h. Date

i. Virus scanning program used

1.4 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

The following are a detailed description of the data package items listed in paragraph SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES.

1.4.1 Operating Instructions

Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each

system:

1.4.1.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENT SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard.

1.4.1.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.4.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.4.1.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.4.1.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.4.1.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.4.1.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.4.1.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.4.2 Preventive Maintenance

Provide the following information for preventive and scheduled maintenance to minimize repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials.

1.4.2.1 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

a. A table showing recommended lubricants for specific temperature ranges and applications.

b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.

c. A Lubrication Schedule showing service interval frequency.

1.4.2.2 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

a. Define the anticipated time required to perform each of each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.

b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.4.3 Repair

Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs.

1.4.3.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.4.3.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including

factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.4.3.3 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

1.4.3.4 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.4.3.5 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.4.3.6 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.4.4 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

1.4.4.1 Product Submittal Data

Provide a copy of SD-03 Product Data submittals documented with the required approval.

1.4.4.2 Manufacturer's Instructions

Provide a copy of SD-08 Manufacturer's Instructions submittals documented with the required approval.

1.4.4.3 O&M Submittal Data

Provide a copy of SD-10 Operation and Maintenance Data submittals documented with the required approval.

1.4.4.4 Parts Identification

Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model,

serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog.

1.4.4.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.4.4.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.4.4.7 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.4.4.8 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.4.4.9 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.4.4.10 Field Test Reports and Manufacturer's Field Reports

Provide a copy of Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals documented with the required approval.

1.4.4.11 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service

organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.5 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. The information required in each type of data package follows:

1.5.1 Data Package 1

a. Safety precautions and hazards

b. Cleaning recommendations

c. Maintenance and repair procedures

d. Warranty information

e. Extended warranty information

f. Contractor information

g. Spare parts and supply list

1.5.2 Data Package 2

a. Safety precautions and hazards

b. Normal operations

c. Environmental conditions

d. Lubrication data

e. Preventive maintenance plan, schedule, and procedures

f. Cleaning recommendations

g. Maintenance and repair procedures

h. Removal and replacement instructions

i. Spare parts and supply list

j. Parts identification

k. Warranty information

l. Extended warranty information

m. Contractor information

1.5.3 Data Package 3

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Environmental conditions

g. Operating log

h. Lubrication data

i. Preventive maintenance plan, schedule, and procedures

j. Cleaning recommendations

k. Troubleshooting guides and diagnostic techniques

l. Wiring diagrams and control diagrams

m. Maintenance and repair procedures

n. Removal and replacement instructions

o. Spare parts and supply list

p. Product submittal data

q. O&M submittal data

r. Parts identification

s. Warranty information

t. Extended warranty information

u. Testing equipment and special tool information

v. Testing and performance data

w. Contractor information

x. Field test reports

1.5.4 Data Package 4

a. Safety precautions and hazards

b. Operator prestart

c. Startup, shutdown, and post-shutdown procedures

d. Normal operations

e. Emergency operations

f. Operator service requirements

g. Environmental conditions

h. Operating log

i. Lubrication data

j. Preventive maintenance plan, schedule, and procedures

k. Cleaning recommendations

l. Troubleshooting guides and diagnostic techniques

m. Wiring diagrams and control diagrams

n. Repair procedures

o. Removal and replacement instructions

p. Spare parts and supply list

q. Repair work-hours

r. Product submittal data

s. O&M submittal data

t. Parts identification

u. Warranty information

v. Extended warranty information

w. Personnel training requirements

x. Testing equipment and special tool information

y. Testing and performance data

z. Contractor information

aa. Field test reports

1.5.5 Data Package 5

a. Safety precautions and hazards

b. Operator prestart

c. Start-up, shutdown, and post-shutdown procedures

d. Normal operations

e. Environmental conditions

f. Preventive maintenance plan, schedule, and procedures

g. Troubleshooting guides and diagnostic techniques

h. Wiring and control diagrams

i. Maintenance and repair procedures

j. Removal and replacement instructions

k. Spare parts and supply list

l. Product submittal data

m. Manufacturer's instructions

n. O&M submittal data

o. Parts identification

p. Testing equipment and special tool information

q. Warranty information

r. Extended warranty information

s. Testing and performance data

t. Contractor information

u. Field test reports

v. Additional requirements for HVAC control systems

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS.. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the Quality Control Manager (QC) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC. Include within the plan the following elements:

a. Equipment included in training

b. Intended audience

c. Location of training

d. Dates of training

e. Objectives

f. Outline of the information to be presented and subjects covered including description

g. Start and finish times and duration of training on each subject

h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)

i. Instructor names and instructor qualifications for each subject

j. List of texts and other materials to be furnished by the Contractor that are required to support training

k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The QC is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.

b. Relevant health and safety issues.

c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.

d. Design intent.

e. Use of O&M Manual Files.

f. Review of control drawings and schematics.

g. Interactions with other systems.

h. Special maintenance and replacement sources.

i. Tenant interaction issues.

3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the

course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

3.1.7 Quality Control Coordination

Coordinate this training with the QC in accordance with Section 01 45 00.00 10 QUALITY CONTROL.

-- End of Section --

SECTION 01 91 00.15 10

TOTAL BUILDING COMMISSIONING
05/19, CHG 2: 08/20

PART 1 GENERAL

1.1 SUMMARY

Commission the building systems listed herein. Employ the services of an independent Commissioning Firm. The Commissioning Firm must be a 1st tier subcontractor of the General or Prime Contractor and must be financially and corporately independent of all other subcontractors. The Commissioning Firm must employ a Lead Commissioning Specialist that coordinates all aspects of the commissioning process. Conform to the commissioning procedures outlined in this specification.

1.2 SYSTEMS TO BE COMMISSIONED

Commission the following systems:

Lighting Systems
Power Distribution Systems
Energy and Water Utility Metering Systems and Sub-Meters

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 202	(2018) Commissioning Process for Buildings and Systems

ASSOCIATED AIR BALANCE COUNCIL (AABC)

ACG Commissioning Guideline	(2005) Commissioning Guideline

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB Commissioning Standard	(2009) Procedural Standards for Whole Building Systems Commissioning of New Construction; 3rd Edition

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 014	(2013) HVAC Systems Commissioning Manual, 2nd Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 25-345-1	(2019) Commissioning -- Systems Manual

1.4 COMMUNICATION WITH THE GOVERNMENT

The Lead Commissioning Specialist (CxC) must submit all plans, schedules, reports, and documentation directly to the Contracting Officer Representative concurrent with submission to the CQC System Manager. The Lead Commissioning Specialist must have direct communication with the Contracting Officer's Representative regarding all elements of the commissioning process; however, the Government has no direct contract authority with the Lead Commissioning Specialist.

1.5 SEQUENCING AND SCHEDULING

1.5.1 Sequencing

Complete the following prior to starting Functional Performance Tests of the electrical systems:

a. All electrical, power generation, and lighting equipment and systems have been completed, calibrated, tested, and operate in accordance with contract documents and construction plans and specifications.

b. The building envelope is enclosed according to contract documents with final construction completed.

c. Ceiling tiles, floor coverings, and window coverings are in place.

d. The Certificate of Readiness for electrical systems has been submitted and approved.

e. Lamps have completed a minimum 100 hour burn-in period.

f. Furniture is in place.

1.5.2 Project Schedule

Include the following tasks in the project schedule required by Section 01 32 01.00 10 PROJECT SCHEDULE. Ensure sufficient time is scheduled to accommodate the requirements of this specification section. The order of items listed below is not intended to imply a specified sequence:

a. Submission and approval of the Commissioning Firm and Commissioning Specialist

b. Submission of the Design Review Report specified herein.

c. Submission and approval of the Construction Phase Commissioning Plan

d. Installation of permanent utilities (gas, water, electric)

e. Factory Acceptance Testing for each of the systems to be commissioned as required by technical specifications

f. Manufacturer's Equipment Start-Up for each of the systems to be commissioned.

g. Pre-Functional Checklist Submittal

h. Functional Performance Testing for each system to be commissioned

i. Post-Test Deficiency Correction for each system to be commissioned

j. Re-Testing

k. Training for each of the systems to be commissioned

l. Systems Manual, submission and approval

m. Submission and approval of the Commissioning Report

n. Seasonal Testing

o. Post-Construction Endurance Testing

p. Post-Construction Site Visit

1.6 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Commissioning Firm; G

Lead Commissioning Specialist; G

Technical Commissioning Specialists; G

Commissioning Firm's Contract; G

SD-06 Test Reports

Design Review Report; G

Interim Construction Phase Commissioning Plan; G

Final Construction Phase Commissioning Plan; G

Pre-Functional Checklists; G

Issues Log

Commissioning Report; G

Post-Construction Trend Log Report; G

SD-07 Certificates

Certificate of Readiness; G

SD-10 Operation and Maintenance Data

Training Plan; G

Training Attendance Rosters; G

Systems Manual; G

Systems Manual G

SD-11 Closeout Submittals

Final Commissioning Report; S

Final Construction Phase Commissioning Plan; S

1.7 COMMISSIONING FIRM

Provide a Commissioning Firm that is certified in commissioning by one of the following: the AABC Commissioning Group (ACG); the National Environmental Balancing Bureau (NEBB); the International Certification Board/Testing, Adjusting, and Balancing Bureau (ICB/TABB), the Building Commissioning Association (BCA); the Association of Energy Engineers (AEE). The Commissioning Firm may employ a commissioning professional certified by the University of Wisconsin-Madison or the American Society of Heating, Refrigeration, and Air Conditioning Engineers (ASHRAE) as required in paragraph LEAD COMMISSIONING SPECIALIST as an alternative to certification of the Commissioning Firm. The Commissioning Firm must be certified in all systems to be commissioned to the extent such certifications are available from the certifying body. Describe any lapses in certification or disciplinary action taken by the certifying body against the proposed Commissioning Firm or Lead Commissioning Specialist in detail. Any firm or commissioning professional that has been the subject of disciplinary action by the certifying body within the five years preceding contract award is not eligible to perform any duties related to commissioning.

a. Submit the Commissioning Firm's certification of qualifications including the name of the firm and certifications no later than 30 calendar days after Notice to Proceed. Submit one hard copy and an electronic copy.

b. The Commissioning Firm's and Commissioning Specialists' certifications must be maintained for the entire duration of the duties specified herein. If, for any reason, the firm or a specialist loses a certification during this period, immediately notify the Contracting Officer's Representative and submit another Commissioning Firm or Commissioning Specialist for approval. All work specified in this specification section performed by the Commissioning Firm or associated Commissioning Specialists is invalid if the Commissioning Firm or Commissioning Specialist loses its certification prior to contract completion and must be performed by an approved successor.

c. The Commissioning Firm must oversee and assist the General or Prime Contractor with the work specified herein. Submit the Commissioning Firm's Contract including the Scope of Work associated with the paragraph POST-CONSTRUCTION SUPPORT no later than 30 calendar days after approval of the Commissioning Firm. Submit one hard copy and an electronic copy.

1.7.1 Lead Commissioning Specialist

The Commissioning Firm must provide a Lead Commissioning Specialist (CxC) that has a minimum of five years of commissioning experience, including two projects of similar size and complexity, and that is one of the following: a NEBB qualified Systems Commissioning Administrator (SCA);

ACG Certified Commissioning Authority (CxA); ICB/TABB Certified Commissioning Supervisor; BCA Certified Commissioning Professional (CCP); AEE Certified Building Commissioning Professional (CBCP); University of Wisconsin-Madison Qualified Commissioning Process Provider (QCxP); Building Commissioning Professional (BCxP).

a. Submit the Lead Commissioning Specialist's certification of qualifications including the name of the specialist and firm; certifications; years of experience; and a listing of representative projects of similar size and complexity no later than 30 calendar days after Notice to Proceed. Submit one hard copy and an electronic copy.

b. The Lead Commissioning Specialists certifications must be maintained for the entire duration of the duties specified herein. If, for any reason, the specialist loses a certification during this period, immediately notify the Contracting Officer's Representative and submit another Lead Commissioning Specialist for approval. All work specified in this specification section to be performed by the Lead Commissioning Specialist is invalid if the Lead Commissioning Specialist loses its certification prior to contract completion and must be performed by an approved successor.

c. The Lead Commissioning Specialist must lead and oversee the commissioning work specified herein and be the primary point of contact for the Government regarding the commissioning work. One of the Technical Commissioning Specialists may be the Lead Commissioning Specialist provided that all of the qualification requirements are met.

1.7.2 Technical Commissioning Specialists

Technical Commissioning Specialists, employed by the Commissioning Firm and that have the following qualifications, must perform the technical work specified herein associated with each system to be commissioned:

a. Mechanical Technical Commissioning Specialist: The technical work associated with mechanical systems including Heating, Ventilating, Air Conditioning, and Refrigeration Systems; Building Automation System; Utility Monitoring and Control System; Plumbing Systems; Water Pumping and Mixing Systems; Compressed Air and Vacuum Systems; Energy and Water Utility Metering Systems must be performed by a Commissioning Specialist certified by NEBB, ACG, ICB/TABB, or BCA in the commissioning of HVAC systems with five years of experience in the commissioning of HVAC systems.

b. Electrical Technical Commissioning Specialist: The technical work associated with electrical systems including Lighting Systems; Power Distribution Systems; Electrical Utility Metering Systems must be performed by an engineering technician certified by the InterNational Electrical Testing Association (NETA) with five years of experience inspecting, testing, and calibrating electrical distribution and generation equipment, systems, and devices.

d. Submit the Technical Commissioning Specialist's certification of qualifications including the name of the specialist and firm; certifications; years of experience; and a listing of representative projects of similar size and complexity no later than 30 calendar days after Notice to Proceed. Submit one hard copy and an electronic copy.

1.7.3 Commissioning Standard

Comply with the requirements of the commissioning standard under which the Commissioning Firm and Specialists qualifications are approved. When the firm and specialists are certified by BCA, AEE, ASHRAE, or the University of Wisconsin-Madison, comply with the requirements of one of the acceptable standards unless otherwise stated herein. The acceptable standards are ACG Commissioning Guideline, NEBB Commissioning Standard, ANSI/SMACNA 014, or ASHRAE 202. Comply with applicable NETA testing standards for electrical systems.

a. Implement all recommendations and suggested practices contained in the Commissioning Standard and electrical test standards.

b. Use the Commissioning Standard for all aspects of Commissioning, including calibration of instruments.

c. Where the instrument manufacturer calibration recommendations are more stringent than those listed in the Commissioning Standard, adhere to the manufacturer calibration recommendations.

d. All quality assurance provisions of the Commissioning Standard such as performance guarantees are part of this contract.

e. The Commissioning Specialists must develop commissioning procedures for any systems or system components not covered in the Commissioning Standard.

f. Use any new requirements, recommendations, and procedures published or adopted prior to contract solicitation by the body responsible for the Commissioning Standard.

1.8 ISSUES LOG

The Lead Commissioning Specialist must develop and maintain an Issues Log for tracking and resolution of all deficiencies discovered through submittal reviews, inspection, and testing. Include the date of final resolution of issues as confirmed by the Commissioning Specialist. Submit the Issues Log on a monthly basis at a minimum. At any point during construction, any commissioning team member finding deficiencies may communicate those deficiencies in writing to the Commissioning Specialist for inclusion into the Issues Log.

1.9 CERTIFICATE OF READINESS

Prior to scheduling Functional Performance Tests for each system, issue a Certificate of Readiness for the system certifying that the system is ready for Functional Performance Testing. The Certificate of Readiness must include, for each system to be commissioned, all equipment and system start-up reports; Performance Verification Test Reports; completed Pre-Functional Checklists; Testing, Adjusting, and Balancing (TAB) Report; HVAC Controls Start-Up Reports; and the Air Leakage Test Reports and Diagnostic Test Reports to the extent applicable to the system. The Contractor; the Lead Commissioning Specialist; the Contractor's Quality Control Representative; the Mechanical, Electrical, Controls, and TAB subcontractor representatives must sign and date the Certificate of Readiness. Submit the Certificate of Readiness for each system no later than 14 calendar days prior to Functional Performance Tests of that system. Submit one hard copy and an electronic copy. Do not schedule

Functional Performance Tests for a system until the Certificate of Readiness for that system receives approval by the Government.

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

3.1 CONSTRUCTION PHASE

3.1.1 Construction Commissioning Coordination Meeting

The Lead Commissioning Specialist must lead a Construction Commissioning Coordination Meeting no later than 14 days after approval of the Commissioning Firm and Commissioning Specialists to discuss the commissioning process including contract requirements, lines of communication, roles and responsibilities, schedules, documentation requirements, inspection and test procedures, and logistics as specified in this specification section. The Contractor's Superintendent or Project Manager, the Contractor's Quality Control Representative, and the Government must attend this meeting. Invite the User and a Base Civil Engineer Office Representative, to attend this meeting.

3.1.2 Design Phase Commissioning Plan

A commissioning plan developed during design phase is provided as Appendix C for information only. The design phase commissioning plan does not form a part of this contract and is provided for commissioning review purposes only.

3.1.3 Construction Phase Commissioning Plan

3.1.3.1 Interim Construction Phase Commissioning Plan

The Lead Commissioning Specialist (CxC) must prepare the Interim Construction Phase Commissioning Plan. Submit the Interim Construction Phase Commissioning Plan no later than 30 calendar days after the Construction Commissioning Coordination Meeting and no later than 14 days prior to the start of construction of the building envelope. Submit one hard copy and an electronic copy.

Identify the commissioning and testing standards and outline the overall commissioning process, the commissioning schedule, the commissioning team members and responsibilities, lines of communication, documentation requirements for the construction phase of the project in the Interim Construction Phase Commissioning Plan.

3.1.3.1.1 Checklists

Download example Pre-Functional Checklists and Functional Performance Test Checklists for specification section 01 91 00.15 10 TOTAL BUILDING COMMISSIONING at the following location: http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic The checklists submitted in the Interim and Final Construction Phase Commissioning Plans must contain the same level of detail shown in the examples. The submitted checklists are not required to match the format of the examples.

3.1.3.2 Final Construction Phase Commissioning Plan

The Lead Commissioning Specialist (CxC) must prepare the Final Construction Phase Commissioning Plan. Submit the Final Construction Phase Commissioning Plan no later than 30 calendar days prior to the start of Pre-Functional Checks. Submit one hard copy and an electronic copy. Once approved, file the approved plan in the Sustainability eNotebook.

Include the information provided in the Interim Construction Phase Commissioning Plan. In addition, the Technical Commissioning Specialist must develop the Pre-Functional Checklists and Functional Performance Test Checklists for each building, for each system required to be commissioned, and for each component for inclusion in the Final Construction Phase Commissioning Plan.

3.1.3.2.1 Pre-Functional Checklists

The Pre-Functional Checklists must include items for physical inspection or testing that demonstrate that installation and start-up of equipment and systems is complete. Refer to paragraph Pre-Functional Checks for more information.

3.1.3.2.2 Functional Performance Test Checklists

Functional Performance Test Checklists must include procedures that explain, step-by-step, the actions and expected results that will demonstrate that the system performs in accordance with the contract. Refer to paragraph Functional Performance Tests for more information. Include the following sections and details appropriate to the systems being tested in the Functional Performance Test Checklists:

a. Notable system features including information about controls to facilitate understanding of system operation

b. Conclusions and recommendations. Conclusions must clearly indicate if system does or does not perform in accordance with contract requirements. Recommendation must clearly indicate that the system should or should not be accepted by the Government.

c. Test conditions including date, beginning and ending time, and beginning and ending outdoor air conditions

d. Attendees

e. Identification of the equipment involved in the test

f. Control system feature identification

g. Point-to-point observations including demonstrating system flow meters and sensors have been calibrated and are correctly displayed on the Operator work station

h. Actuator operation observations demonstrating actuator responses to commands from the control system

i. As-found condition of the system operation

j. List of test items with step numbers along with the corresponding feature or control operation, intended test procedure, expected system

response, and pass/fail indication.

k. Space for comments for each test item.

3.1.4 Design Review

The Lead Commissioning Specialist and Technical Commissioning Specialists must review the construction contract plans and specifications, the Owner's Project Requirements Document, and the Basis of Design. The Owner's Project Requirements Document is attached as Appendix A. The Basis of Design is attached as Appendix B. The Owner's Project Requirements Document and Basis of Design documents are not contract documents and are provided for commissioning review purposes only.

a. Advise the Contracting Officer's Representative of any discrepancies between the Basis of Design and Owner's Project Requirements, deficiencies of the design to comply with the Owner's Project Requirements or Basis of Design, and deficiencies that would prevent the building systems and features from operating or performing effectively and from being adequately maintainable.

b. The Commissioning Specialists must provide a Design Review Report individually listing each deficiency and the corresponding proposed corrective action necessary for proper system operation or performance. Submit one hard copy and an electronic copy of the report to the Contracting Officer's Representative no later than 14 days after approval of the Commissioning Specialists.

c. The Lead Commissioning Specialist must participate in a meeting to discuss any items contained in the report no later than 14 calendar days after submission of the report.

3.1.5 Construction Submittals

Provide all submittals associated with the systems to be commissioned, including shop drawings; equipment submittals; test plans, procedures, and reports; and resubmittal's to the Commissioning Specialists. The Technical Commissioning Specialist must review the submittals to the extent necessary verify that the equipment and system installation will comply with the contract requirements and the requirements of the Basis of Design and the Owner's Project Requirements Document.

3.1.6 Inspection and Testing

Demonstrate that all system components have been installed, that each control device and item of equipment operates, and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents and the Owner's Project Requirements. Requirements in related specification sections are independent from the requirements of this section and do not satisfy any of the requirements specified in this specification section. Provide all materials, services, and labor required to perform the Pre-Functional Checks and Functional Performance Tests.

3.1.6.1 Commissioning Team

Provide a commissioning representative for each sub-contractor associated with the systems to be commissioned. Each commissioning representative is responsible for coordination of their respective sub-contractor's

execution of the commissioning activities and participation in the inspection and testing required by this specification section. The designers listed below are the designers of record for their respective systems. Substitutes must be approved by the Contracting Officer's Representative.

3.1.6.1.1 Electrical System Pre-Functional Checks Team

The following team members must participate in Pre-Functional checks of electrical systems:

Designation	Function
CxE	Electrical System Technical Commissioning Specialist
QAR	Contracting Officer's Quality Assurance Representative
CQC	Contractor's Quality Control Personnel
EC	Contractor's Electrical Commissioning Representative

3.1.6.1.2 Electrical Systems Test Team

The following team members must participate in Functional Performance Testing of electrical systems:

Designation	Function
CxE	Electrical System Technical Commissioning Specialist
QAR	Contracting Officer's Quality Assurance Representative
CQC	Contractor's Quality Control Personnel
EC	Contractor's Electrical Commissioning Representative
ED	Electrical Designer

3.1.6.1.3 Other Pre-Functional and Functional Performance Participants

The following may participate as team members during Pre-Functional Checks and Functional Performance Testing:

Designation	Function
BCE	Base Civil Engineer Office Representative
RSC	Reserve Support Command Representative

Designation	Function
User	Using Agent's Representative

3.1.6.2 Pre-Functional Checks

Pre-Functional Checklists from the approved Final Construction Phase Commissioning Plan must be completed by the commissioning team. Complete one Pre-Functional Checklist for each individual item of equipment or system for each system required to be commissioned including, but not limited to, ductwork, piping, equipment, fixtures (lighting and plumbing), and controls. Indicate commissioning team member inspection and acceptance of each Pre-Functional Checklist item by initials. Acceptance of each Pre-Functional Checklist item by each team member indicates that item conforms to the construction contract requirements in their area of responsibility. Technical Commissioning Specialist acceptance of each Pre-Functional Checklist item indicates that each item has been installed correctly and in accordance with contract documents and the Owner's Project Requirements. Submit the completed and initialed Pre-Functional Checklists no later than 7 calendar days after completion of inspection of all checklists items for each system. Submit one hard copy and an electronic copy. Include manufacturer start-up checklists associated with equipment with the submission of the Pre-Functional Checklists.

3.1.6.3 Tests

3.1.6.3.1 Functional Performance Tests

Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Functional Performance Tests.

a. Functional Performance Tests must be performed with the Contracting Officer's Quality Assurance Representative present.

b. Abort Functional Performance Tests when any system deficiency prevents the successful completion of the test.

c. Technical Commissioning Specialists must lead and document all Functional Performance Tests for the systems to be commissioned with the Contractor and appropriate sub-contractors performing the Functional Performance Tests. The representatives listed in the paragraph Commissioning Team must attend the tests. Abort Functional Performance Tests when any required commissioning team member is not present for the test.

3.1.6.3.1.1 Checklist

Use the Functional Performance Test Checklists from the approved Final Construction Phase Commissioning Plan to guide the Functional Performance Tests. Functional Performance Tests must be performed for each item of equipment and each system required to be commissioned and verify all sensor calibrations, control responses, safeties, interlocks, operating modes, sequences of operation, capacities, lighting levels, and all other performance requirements comply with construction contract regardless of

the specific items listed within the Functional Performance Test provided. Testing must progress from equipment or components to subsystems to systems to interlocks and connections between systems. The order of components and systems to be tested must be determined by the Technical Commissioning Specialists.

3.1.6.3.1.2 Acceptance

Indicate acceptance of each item of equipment and systems tested by signature of each commissioning team member for each Functional Performance Test. The Contractor's Quality Control Representative and the Technical Commissioning Specialists must indicate acceptance after the equipment and systems are free of deficiencies.

3.1.6.3.2 Sample Strategy

Perform Functional Performance Tests using the following sample strategy. Prepare and complete a Functional Performance Test Checklist for each item of equipment or system to be tested. For sample sizes less than 100 percent for all similar equipment, the Government will select the specific equipment or system to be tested during testing. Equipment Identifiers are as indicated on the design drawings:

Equipment Identifier	Sample Size (Percent)
Lighting Controls	100

3.1.6.3.3 Seasonal Tests

3.1.6.3.3.1 System Acceptance

Systems may be partially accepted by the Government prior to seasonal testing if they comply with all construction contract that can be tested during initial Functional Performance Tests. All Functional Performance Test procedures must be completed prior to full systems acceptance.

3.1.6.3.4 Aborted Tests and Re-Testing

Abort Functional Performance Tests or Seasonal Tests if any deficiency prevents successful completion of the test or if any required commissioning team member is not present for the test. Reimburse the Government for all costs associated with effort lost due to re-testing due to test failures and aborted tests. These costs must include salary, travel costs, and per diem for Government commissioning team members. Re-test only after all deficiencies identified during the original tests have been corrected.

3.1.6.3.4.1 100 Percent Sample

Systems or equipment for which 100 percent sample size are tested fail if one or more of the test procedures results in discovery of a deficiency and the deficiency cannot be resolved within 5 minutes during the test.

Re-test to the extent necessary to confirm that the deficiencies have been corrected without negatively impacting the performance of the rest of the system.

3.1.7 Training Plan

Develop a training plan which identifies all training required by specification sections associated with commissioned systems. Include a matrix listing each training requirement, content of the training, the trainer name, trainer contact information, and schedule and location of training. Submit one hard copy and an electronic copy of the Training Plan to the Commissioning Specialists and the Government no later than 30 calendar days prior to the associated training.

Document training attendance using training attendance rosters and provide completed attendance rosters to the Commissioning Specialists and the Government no later than 7 calendar days following the completion of training for each system to be commissioned. Submit one hard copy and an electronic copy..

3.1.8 Systems Manual

Prepare and submit a Systems Manual including a signed certification or letter from the Technical Commissioning Specalists and the Lead Commissioning Specialist stating that the Systems Manual is complete, clear, and accurate. The Systems Manual, for all commissioned systems, must conform to Appendix A SYSTEMS MANUAL ORGANIZATION AND CONTENT to ER 25-345-1, available at the USACE Publications website at the following location: https://www.publications.usace.army.mil/USACE-Publications/Engineer-Regulations/. Update and resubmit the Systems Manual based on any corrective action taken during the warranty period.

Submit Systems Manual no later than 30 calendar days following completion of Functional Performance Tests. Submit three hard copies and an electronic copy.

3.2 COMMISSIONING REPORT

Following the completion of Functional Performance Tests, with the exception of Seasonal Tests, the Lead Commissioning Specialist must prepare a Commissioning Report.

a. Include an executive summary describing the overall commissioning process, the results of the commissioning process, any outstanding deficiencies and recommended resolutions, and any seasonal testing that must be scheduled for a later date. Indicate, in the executive summary, whether the systems meet the requirements of the construction contract and the Owner's Project Requirements.

b. Detail any deficiencies discovered during the commissioning process and the corrective actions taken in the report. Include the completed Pre-Functional Checklists, Functional Performance Test Checkliststhe Commissioning Plans, the Issues Log, Performance Verification Test Reports, Training Attendance Rosters, the Design Review Report, the final TAB Report.

c. Submit the Commissioning Report no later than 14 calendar days following commissioning team acceptance of all Functional Performance Tests with the exception of Seasonal Tests. Submit three hard copies and an electronic copy.

d. Following any Seasonal Tests or Post-Construction Activities, update

the Final Commissioning Report to reflect any changes and resubmit. File the approved, updated, Final Commissioning Report in the Sustainability eNotebook.

APPENDIX A - OWNER'S PROJECT REQUIREMENTS DOCUMENT

OWNER'S PROJECT REQUIREMENTS DOCUMENT

Project: Project, Location, PN #####

Approved:

Name	Design Agent's Representative	Date
Name	Owner's Representative	Date

OWNER'S PROJECT REQUIREMENTS DOCUMENT

Contents

1. Owner and User Requirements
   a. Primary Purpose, Program, and Use
   b. Project History
   c. Broad Goals
      i. Future Expansion
     ii. Flexibility
    iii. Quality of Materials
     iv. Construction Costs
      v. Operational Costs
2. Environmental and Sustainability Goals
   a. LEED or Green Globes Goal
   b. Other
3. Energy Efficiency Goals
   a. Goals/Policy
   b. Systems and Feature Energy Impact
4. Indoor Environmental Quality Requirements
   a. Space Type 1
      i. Intended Use
     ii. Occupancy Schedule
    iii. Environmental Requirements
     iv. Occupant System Control Ability
      v. Type of Lighting
     vi. After-hour Use Accommodation
   b. Space Type 2
      i. Intended Use
     ii. Occupancy Schedule
    iii. Environmental Requirements
     iv. Occupant System Control Ability
      v. Type of Lighting
     vi. After-hour Use Accommodation
5. Equipment and System Expectations
   a. HVAC Systems
      i. Quality and Reliability
     ii. Type
    iii. Automation
     iv. Flexibility
      v. Maintenance Requirements
   b. Lighting Systems
      i. Quality and Reliability
     ii. Type
    iii. Automation
     iv. Flexibility
      v. Maintenance Requirements
   c. Domestic Hot Water Systems
      i. Quality and Reliability
     ii. Type
    iii. Automation
     iv. Flexibility
      v. Maintenance Requirements

Contents (continued)

d. On-site Power Systems
    i. Quality and Reliability
    ii. Type
    iii. Automation
    iv. Flexibility
    v. Maintenance Requirements
e. Other Systems
    i. Quality and Reliability
    ii. Type
    iii. Automation
    iv. Flexibility
    v. Maintenance Requirements

6. Building Occupant and O&M Personnel Requirements
   a. Facility Operation
   b. UMCS (EMCS or FMCS)
   c. Occupant Training and Orientation
   d. O&M Staff Training and Orientation

1. Owner and User Requirements

a. Primary Purpose, Program, and Use

Explain the purpose, program, and use of the facility. (i.e. Army Reserve Center used for training reserve units. Training includes spaces such as weapons, medical, vehicle repair, cooking, etc.)

b. Project History

Explain the history of the project related to design/construction (i.e. D/B/B, D/B, IDIQ, JOC, COE in-house, A/E, etc.). Explain any additional project background that would impact energy/sustainability goals.

c. Broad Goals

i. Future Expansion: Explain goals related to potential future expansion.

ii. Flexibility: Explain goals related to flexibility for layout and use of the building. (i.e. high rate of office churn, expected frequency of renovation, etc.)

iii. Quality of Materials: Explain goals related to quality of materials. (i.e. highest quality materials, 50 yr life, 25 yr life, highest quality within budget, etc.)

iv. Construction Costs: Explain goals related to construction costs. (i.e. how low can you go, set project amount, select simplest systems for low cost, etc.)

v. Operational Costs: Explain goals related to operational costs. (i.e. low utilities based on water and energy conservation, trade-off allowable on maintenance costs to reduce utility cost, utility cost unimportant compared to construction cost, etc.)

2. Environmental and Sustainability Goals

a. LEED/Green Globes Goal

Set LEED/Green Globes goal and explain sustainable features permissible or preferred to be incorporated. Explain relative importance of LEED/Green Globes goal within project scope. Indicate requirement from service or agency specific criteria and policy.

b. Other

Explain any special sustainability or environmental goals associated with the project. Identify specific sustainability features that may be required or desired. (i.e. hydro-power, solar power, on-site water treatment, on-site water infiltration, impervious cover reduction, parking capacity, etc.)

3. Energy Efficiency Goals

a. Goals/Policy

Explain the specific project goals and requirements regarding energy efficiency. Incorporate the requirements of UFC 1-200-02 High Performance and Sustainable Building Requirements and/or other relevant agency policies.

b. Systems and Feature Energy Impacts

Identify and explain envelope, system, or site and building features that will be incorporated to maximize energy efficiency. Identify features that must be incorporated that will reduce or limit energy efficiency.

4. Indoor Environmental Quality Requirements

a. Space Type 1

i. Intended Use: Explain how the space will be used (i.e. classroom occasionally used as conference room).

ii. Occupancy Schedule: Describe the occupancy including number of people at various times (i.e. drill weekend-maximum capacity, weekdays-20 percent; or 0700-0900 - none, 0900-1400 - 30 people, 1400-1600 - none).

iii. Environmental Requirements: Describe the environmental requirements of the space. Include description of temperatures, humidity levels, ventilation rates, air quality, lighting levels, or any other specific parameters desired (i.e. 75 deg F, 50 percent rh, 30 fc, etc.).

iv. Occupant System Control Ability: Describe the desired level of control the occupants will have over the thermal comfort and lighting systems. (i.e. adjustable thermostat for every person, adjustable thermostat in all private offices, no adjustable thermostats, adjustable thermostat in senior rank also controlling other offices, occupancy sensors for lighting, adjustable dimming, etc.)

v. Type of Lighting: Describe the type of lighting desired (i.e. task lighting with minimal overhead, maximize daylight with dimming on overhead, accent lighting, particular fixtures, etc.).

vi. After-hour Use Accommodations: Describe whether and how often the space may be used after hours. Describe the systems that activate when an occupant uses the building after-hours. Describe the level of control of after-hour use HVAC.

(Example: Space is rarely used after-hours by few occupants. HVAC and lighting system should activate when occupants enter after-hours. The HVAC operation will be limited to that required to provide heating, A/C, and ventilation to the occupied space alone.) (Example: Space is rarely used after-hours by few occupants. Lighting and heating systems should activate. Ventilation and cooling should remain in normal after-hour operation.)

b. Space Type 2

5. Equipment and System Expectations

a. HVAC Systems

i. Quality and Reliability: Explain the level of quality and reliability required of the HVAC systems.

(Example: Equipment efficiency should meet ASHRAE 90.1 and FEMP/Energy Star requirements. Due to critical nature of facility, additional redundancy in the cooling and heating systems is required, i.e. multiple chillers, boilers, and pumps.) (Example: No specific quality or reliability requirements specified. Equipment should remain serviceable over life of building or to the extent typical of the type of equipment.)

ii. Type: Explain the type of equipment desired.

(Example: Boilers should be condensing type. Use hydronic heating and cooling. Use self-contained A/C units in computer rooms.)

iii. Automation: Explain the level of automation in the HVAC System desired.

(Example: Single loop HVAC systems permissible. Use packaged controls only.) (Example: Control HVAC systems from DDC system connected to the base UMCS.) (Example: Boilers should have packaged controls connected to the DDC system.)

iv. Flexibility: Describe the desired level of flexibility of the HVAC system.

(Example: System should accommodate frequent office layout changes including private office wall movement.) (Example: Layout will remain mostly unchanged; no flexibility required.) (Example: Accommodate potential for conference and classrooms to change to offices.)

v. Maintenance Requirements: Describe the level of maintenance available or the requirements of the equipment regarding maintainability.

(Example: Equipment should be located to allow easy maintenance access. Equipment vendors or repair service should be able to respond within 24 hrs.)

b. Lighting Systems

i. Quality and Reliability: Explain the level of quality and reliability required of the lighting system controls.

(Example: The building lighting system should meet ASHRAE 90.1 - IP requirements.)

ii. Type: Explain the type of lighting or control equipment desired.

(Example: High-efficiency fluorescent lamps with high-efficiency ballasts will be specified. Indirect lighting will be used in all office and classroom spaces. Lighting foot-candle levels may be reduced to 45 foot-candles in lieu of the typical 50 foot-candles when indirect lighting is used.)

iii. Automation: Explain the level of automation in the lighting control

system desired.

(Example: Provide occupancy sensors in restrooms, corridors, and storage areas.)

iv. Flexibility: Describe the desired level of flexibility of the lighting system and control systems.

(Example: Provide dual level switching in classrooms and conference rooms.)

v. Maintenance Requirements: Describe the level of maintenance available or the requirements of the equipment regarding maintainability.

(Example:)

c. Domestic Hot Water Systems

i. Quality and Reliability: Explain the level of quality and reliability required of the domestic hot water systems.

(Example: Equipment efficiency should meet ASHRAE and FEMP/Energy Star requirements. Due to critical nature of facility, additional redundancy in the water heating systems is required, i.e. multiple hot water heaters and circulation pumps.) (Example: No specific quality or reliability requirements specified. Equipment should remain serviceable over life of building or to the extent typical of the type of equipment.)

ii. Type: Explain the type of equipment desired.

(Example: Gas-fired storage tank water heater with mixing valve for temperature control.) (Example: Instantaneous electric water heater at lavatories.) (Example: Instantaneous electric water heater with integral control system for eyewash/showers.)

iii. Automation: Explain the level of automation in the domestic hot water control system desired.

(Example: Occupancy schedule control for recirculation loop and gas burner. Connect package controls to DDC system.)

iv. Flexibility: Describe the desired level of flexibility of the domestic hot water systems.

(Example: No anticipated changes to restroom layout; no additional flexibility required.)

v. Maintenance Requirements: Describe the level of maintenance available or the requirements of the equipment regarding maintainability.

(Example: Equipment should be located to allow easy maintenance access. Equipment vendors or repair service should be able to respond within 24 hrs.)

d. On-site Power Systems

i. Quality and Reliability: Explain the level of quality and reliability required of the on-site power system.

ii. Type: Explain the type of on-site power system desired.

iii. Automation: Explain the level of automation in the on-site power system desired.

iv. Flexibility: Describe the desired level of flexibility of the on-site power system.

v. Maintenance Requirements: Describe the level of maintenance available or the requirements of the on-site power system regarding maintainability.

e. Other Systems

i. Quality and Reliability: Explain the level of quality and reliability required of the system.

ii. Type: Explain the type of system desired.

iii. Automation: Explain the level of automation in the system desired.

iv. Flexibility: Describe the desired level of flexibility of the system.

v. Maintenance Requirements: Describe the level of maintenance available or the requirements of the system regarding maintainability.

6. Building Occupant and O&M Personnel Requirements

a. Facility Operation

Describe how the facility will be operated. Who operates the facility? Who maintains the facility? Who pays the utility bills?

b. UMCS (EMCS or FMCS)

Will the building be tied to an UMCS/EMCS/FMCS? What system will be connected to? Provide information regarding connection requirements, protocols, and control, scheduling and monitoring points.

c. Occupant Training and Orientation

How much training and orientation is desired for building occupants? Will training need to be provided for all systems? To what extent do the occupants need to understand and use the systems?

d. O&M Staff Training and Orientation

How much training and orientation is desired for building occupants? Will training need to be provided for all systems? To what extent do the occupants need to understand and use the systems?

APPENDIX B - BASIS OF DESIGN

APPENDIX C - DESIGN PHASE COMMISSIONING PLAN

-- End of Section --

SECTION 02 41 00

DEMOLITION AND DECONSTRUCTION
05/10, CHG 2: 02/19

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI Guideline K	(2009) Guideline for Containers for Recovered Non-Flammable Fluorocarbon Refrigerants

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ASSP A10.6	(2006) Safety & Health Program Requirements for Demolition Operations - American National Standard for Construction and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. DEFENSE LOGISTICS AGENCY (DLA)

DLA 4145.25	(Jun 2000; Reaffirmed Oct 2010) Storage and Handling of Liquefied and Gaseous Compressed Gases and Their Full and Empty Cylinders http://www.aviation.dla.mil/UserWeb/aviationengineerir

U.S. DEPARTMENT OF DEFENSE (DOD)

DOD 4000.25-1-M	(2006) MILSTRIP - Military Standard Requisitioning and Issue Procedures
MIL-STD-129	(2014; Rev R; Change 1 2018; Change 2 2019) Military Marking for Shipment and Storage

U.S. FEDERAL AVIATION ADMINISTRATION (FAA)

FAA AC 70/7460-1	(2016; Rev L; Change 2) Obstruction Marking and Lighting

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous Air Pollutants

40 CFR 82	Protection of Stratospheric Ozone
49 CFR 173.301	Shipment of Compressed Gases in Cylinders and Spherical Pressure Vessels

1.2 PROJECT DESCRIPTION

1.2.1 Definitions

1.2.1.1 Demolition

Demolition is the process of wrecking or taking out any load-supporting structural member of a facility together with any related handling and disposal operations.

1.2.1.2 Deconstruction

Deconstruction is the process of taking apart a facility with the primary goal of preserving the value of all useful building materials.

1.2.1.3 Demolition Plan

Demolition Plan is the planned steps and processes for managing demolition activities and identifying the required sequencing activities and disposal mechanisms.

1.2.1.4 Deconstruction Plan

Deconstruction Plan is the planned steps and processes for dismantling all or portions of a structure or assembly, to include managing sequencing activities, storage, re-installation activities, salvage and disposal mechanisms.

1.2.2 Demolition/Deconstruction Plan

Prepare a Demolition Plan and submit proposed salvage, demolition, deconstruction, and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a disconnection schedule of utility services, a detailed description of methods and equipment to be used for each operation and of the sequence of operations. Coordinate with Waste Management Plan in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Include statements affirming Contractor inspection of the existing roof deck and its suitability to perform as a safe working platform or if inspection reveals a safety hazard to workers, state provisions for securing the safety of the workers throughout the performance of the work. Provide procedures for safe conduct of the work in accordance with EM 385-1-1. Plan shall be approved by Structural PE prior to work beginning.

1.2.3 General Requirements

Do not begin demolition or deconstruction until authorization is received from the Contracting Officer. Verify with Contracting Officer all hazardous and universal waste is properly handled per respective sections prior to demolition or deconstruction efforts of clean material disposal. Remove rubbish and debris from the project site; do not allow

accumulations inside or outside the building . In the interest of occupational safety and health, perform the work in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections.

1.3 ITEMS TO REMAIN IN PLACE

Take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government. Repair or replace damaged items as approved by the Contracting Officer. Coordinate the work of this section with all other work indicated. Construct and maintain shoring, bracing, and supports as required. Ensure that structural elements are not overloaded. Increase structural supports or add new supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract. Do not overload structural elements or pavements to remain. Provide new supports and reinforcement for existing construction weakened by demolition, deconstruction, or removal work. Repairs, reinforcement, or structural replacement require approval by the Contracting Officer prior to performing such work.

1.3.1 Existing Construction Limits and Protection

Do not disturb existing construction beyond the extent indicated or necessary for installation of new construction. Provide temporary shoring and bracing for support of building components to prevent settlement or other movement. Provide protective measures to control accumulation and migration of dust and dirt in all work areas. Remove snow, dust, dirt, and debris from work areas daily.

1.3.2 Weather Protection

For portions of the building to remain, protect building interior and materials and equipment from the weather at all times. Where removal of existing roofing is necessary to accomplish work, have materials and workmen ready to provide adequate and temporary covering of exposed areas.

1.3.3 Trees

Protect trees within the project site which might be damaged during demolition or deconstruction, and which are indicated to be left in place, by a 6 foot high fence. Erect and secure fence a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Replace any tree designated to remain that is damaged during the work under this contract with like-kind or as approved by the Contracting Officer.

1.3.4 Utility Service

Maintain existing utilities indicated to stay in service and protect against damage during demolition and deconstruction operations. Prior to start of work, utilities serving each area of alteration or removal will be shut off by the Government and disconnected and sealed by the Contractor.

1.3.5 Facilities

Protect electrical and mechanical services and utilities. Where removal of existing utilities and pavement is specified or indicated, provide approved barricades, temporary covering of exposed areas, and temporary services or connections for electrical and mechanical utilities. Floors,

roofs, walls, columns, pilasters, and other structural components that are designed and constructed to stand without lateral support or shoring, and are determined to be in stable condition, must remain standing without additional bracing, shoring, or lateral support until demolished or deconstructed, unless directed otherwise by the Contracting Officer. Ensure that no elements determined to be unstable are left unsupported and place and secure bracing, shoring, or lateral supports as may be required as a result of any cutting, removal, deconstruction, or demolition work performed under this contract.

1.4 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.

1.5 AVAILABILITY OF WORK AREAS

Areas in which the work is to be accomplished will be available in accordance with the following schedule:

Schedule	
Area	Date
Alert GOV Parking Shelters	At the date of Notice-To-Proceed

1.6 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Demolition Plan; G
Deconstruction Plan; G
Existing Conditions

SD-07 Certificates

Notification; G

SD-11 Closeout Submittals

Receipts

1.7 QUALITY ASSURANCE

Submit timely notification of demolition projects to Federal, State, regional, and local authorities in accordance with 40 CFR 61, Subpart M. Notify the State's environmental protection agency and the Contracting Officer in writing 10 working days prior to the commencement of work in accordance with 40 CFR 61, Subpart M. Comply with federal, state, and local hauling and disposal regulations. In addition to the requirements of the "Contract Clauses," conform to the safety requirements contained in ASSP A10.6. Comply with the Environmental Protection Agency requirements specified. Use of explosives will not be permitted.

1.7.1 Dust and Debris Control

Prevent the spread of dust and debris to occupied portions of the building and avoid the creation of a nuisance or hazard in the surrounding area. Do not use water if it results in hazardous or objectionable conditions such as, but not limited to, ice, flooding, or pollution. Sweep pavements as often as necessary to control the spread of debris that may result in foreign object damage potential to aircraft.

1.8 PROTECTION

1.8.1 Traffic Control Signs

a. Where pedestrian, driver, and aircraft safety is endangered in the area of removal work, use traffic barricades with flashing lights. Anchor barricades in a manner to prevent displacement by wind, jet or prop blast. Notify the Contracting Officer prior to beginning such work.

Provide a minimum of 2 FAA type L-810 steady burning red obstruction lights on temporary structures (including cranes) over 100 feet, but less than 200 ft, above ground level. The use of LED based obstruction lights are not permitted. For temporary structures (including cranes) over 200 ft above ground level provide obstruction lighting in accordance with FAA AC 70/7460-1. Light construction and installation shall comply with FAA AC 70/7460-1. Lights shall be operational during periods of reduced visibility, darkness, and as directed by the Contracting Officer. Maintain the temporary services during the period of construction and remove only after permanent services have been installed and tested and are in operation.

1.8.2 Protection of Personnel

Before, during and after the demolition and deconstruction work continuously evaluate the condition of the structure being demolished and deconstructed and take immediate action to protect all personnel working in and around the project site. No area, section, or component of floors, roofs, walls, columns, pilasters, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.9 FOREIGN OBJECT DAMAGE (FOD)

Aircraft and aircraft engines are subject to FOD from debris and waste material lying on airfield pavements. Remove all such materials that may appear on operational aircraft pavements due to the Contractor's operations. If necessary, the Contracting Officer may require the Contractor to install a temporary barricade at the Contractor's expense to control the spread of FOD potential debris. The barricade shall include a fence covered with a fabric designed to stop the spread of debris. Anchor the fence and fabric to prevent displacement by winds or jet/prop blasts. Remove barricade when no longer required.

1.10 RELOCATIONS

Perform the removal and reinstallation of relocated items as indicated with workmen skilled in the trades involved. Repair or replace items to be relocated which are damaged by the Contractor with new undamaged items

as approved by the Contracting Officer.

1.11 EXISTING CONDITIONS

Before beginning any demolition or deconstruction work, survey the site and examine the drawings and specifications to determine the extent of the work. Record existing conditions in the presence of the Contracting Officer showing the condition of structures and other facilities adjacent to areas of alteration or removal. Photographs sized 4 inch will be acceptable as a record of existing conditions. Include in the record the elevation of the top of foundation walls, finish floor elevations, possible conflicting electrical conduits, plumbing lines, alarms systems, the location and extent of existing cracks and other damage and description of surface conditions that exist prior to before starting work. It is the Contractor's responsibility to verify and document all required outages which will be required during the course of work, and to note these outages on the record document. Submit survey results.

PART 2 PRODUCTS

2.1 FILL MATERIAL

a. Comply with excavating, backfilling, and compacting procedures for soils used as backfill material to fill basements, voids, depressions or excavations resulting from demolition or deconstruction of structures.

PART 3 EXECUTION

3.1 EXISTING FACILITIES TO BE REMOVED

Inspect and evaluate existing structures onsite for reuse. Existing construction scheduled to be removed for reuse shall be disassembled. Dismantled and removed materials are to be separated, set aside, and prepared as specified, and stored or delivered to a collection point for reuse, remanufacture, recycling, or other disposal, as specified. Materials shall be designated for reuse onsite whenever possible.

3.1.1 Structures

a. Remove existing structures indicated to be removed as indicated in Contract Documents. Interior walls, other than retaining walls and partitions, shall be removed as indicated in Contract Documents. Break up basement slabs to permit drainage. Remove sidewalks, curbs, gutters and street light bases as indicated.

b. Demolish structures in a systematic manner from the top of the structure to the ground. Complete demolition work above each tier or floor before the supporting members on the lower level are disturbed. Demolish concrete and masonry walls in small sections. Remove structural framing members and lower to ground by means of derricks, platforms hoists, or other suitable methods as approved by the Contracting Officer.

c. Locate demolition and deconstruction equipment throughout the structure and remove materials so as to not impose excessive loads to supporting walls, floors, or framing.

3.1.2 Utilities and Related Equipment

3.1.2.1 General Requirements

Do not interrupt existing utilities serving occupied or used facilities, except when authorized in writing by the Contracting Officer. Do not interrupt existing utilities serving facilities occupied and used by the Government except when approved in writing and then only after temporary utility services have been approved and provided. Do not begin demolition or deconstruction work until all utility disconnections have been made. Shut off and cap utilities for future use, as indicated.

3.1.2.2 Disconnecting Existing Utilities

Remove existing utilities , as indicated and terminate in a manner conforming to the nationally recognized code covering the specific utility and approved by the Contracting Officer. When utility lines are encountered but are not indicated on the drawings, notify the Contracting Officer prior to further work in that area. Remove meters and related equipment and deliver to a location on the station in accordance with instructions of the Contracting Officer.

3.1.3 Chain Link Fencing

Remove chain link fencing, gates and other related salvaged items scheduled for removal and transport to designated areas. Remove gates as whole units. Cut chain link fabric to 25 foot lengths and store in rolls off the ground.

3.1.4 Paving and Slabs

Remove ground and/or sawcut concrete and asphaltic concrete paving and slabs as indicated in the Contract Documents. Provide neat sawcuts at limits of pavement removal as indicated. Pavement and slabs designated to be recycled and utilized in this project shall be moved, ground and stored as directed by the Contracting Officer. Pavement and slabs not to be used in this project shall be removed from the Installation at Contractor's expense.

3.1.5 Concrete

Saw concrete along straight lines to a depth of a minimum 2 inch. Make each cut in walls perpendicular to the face and in alignment with the cut in the opposite face. Break out the remainder of the concrete provided that the broken area is concealed in the finished work, and the remaining concrete is sound. At locations where the broken face cannot be concealed, grind smooth or saw cut entirely through the concrete.

3.1.6 Miscellaneous Metal

Recycle scrap metal as part of demolition and deconstruction operations. Provide separate containers to collect scrap metal and transport to a scrap metal collection or recycling facility, in accordance with the Waste Management Plan.

3.1.7 Patching

Where removals leave holes and damaged surfaces exposed in the finished work, patch and repair these holes and damaged surfaces to match adjacent

finished surfaces, using on-site materials when available. Where new work is to be applied to existing surfaces, perform removals and patching in a manner to produce surfaces suitable for receiving new work. Finished surfaces of patched area shall be flush with the adjacent existing surface and shall match the existing adjacent surface as closely as possible as to texture and finish. Patching shall be as specified and indicated, and shall include:

a. Concrete and Masonry: Completely fill holes and depressions, caused by previous physical damage or left as a result of removals in existing masonry walls to remain, with an approved masonry patching material, applied in accordance with the manufacturer's printed instructions.

3.1.8 Cylinders and Canisters

Remove all fire suppression system cylinders and canisters and dispose of in accordance with the paragraph entitled "Disposal of Ozone Depleting Substance (ODS)."

3.1.9 Mechanical Equipment and Fixtures

Disconnect mechanical hardware at the nearest connection to existing services to remain, unless otherwise noted. Disconnect mechanical equipment and fixtures at fittings. Remove service valves attached to the unit. Salvage each item of equipment and fixtures as a whole unit; listed, indexed, tagged, and stored. Salvage each unit with its normal operating auxiliary equipment. Transport salvaged equipment and fixtures, including motors and machines, to a designated storage area as directed by the Contracting Officer. Do not remove equipment until approved. Do not offer low-efficiency equipment for reuse.

3.1.9.1 Preparation for Storage

Remove water, dirt, dust, and foreign matter from units; tanks, piping and fixtures shall be drained; interiors, if previously used to store flammable, explosive, or other dangerous liquids, shall be steam cleaned. Seal openings with caps, plates, or plugs. Secure motors attached by flexible connections to the unit. Change lubricating systems with the proper oil or grease.

3.1.9.2 Piping

Disconnect piping at unions, flanges and valves, and fittings as required to reduce the pipe into straight lengths for practical storage. Store salvaged piping according to size and type. If the piping that remains can become pressurized due to upstream valve failure, end caps, blind flanges, or other types of plugs or fittings with a pressure gage and bleed valve shall be attached to the open end of the pipe to ensure positive leak control. Carefully dismantle piping that previously contained gas, gasoline, oil, or other dangerous fluids, with precautions taken to prevent injury to persons and property. Store piping outdoors until all fumes and residues are removed. Box prefabricated supports, hangers, plates, valves, and specialty items according to size and type. Wrap sprinkler heads individually in plastic bags before boxing. Classify piping not designated for salvage, or not reusable, as scrap metal.

3.1.9.3 Ducts

Classify removed duct work as scrap metal

3.2 CONCURRENT EARTH-MOVING OPERATIONS

Do not begin excavation, filling, and other earth-moving operations that are sequential to demolition or deconstruction work in areas occupied by structures to be demolished or deconstructed until all demolition and deconstruction in the area has been completed and debris removed. Fill holes, open basements and other hazardous openings.

3.3 DISPOSITION OF MATERIAL

3.3.1 Title to Materials

Except for salvaged items specified in related Sections, and for materials or equipment scheduled for salvage, all materials and equipment removed and not reused or salvaged, shall become the property of the Contractor and shall be removed from Government property. Title to materials resulting from demolition and deconstruction, and materials and equipment to be removed, is vested in the Contractor upon approval by the Contracting Officer of the Contractor's demolition, deconstruction, and removal procedures, and authorization by the Contracting Officer to begin demolition and deconstruction. The Government will not be responsible for the condition or loss of, or damage to, such property after contract award. Showing for sale or selling materials and equipment on site is prohibited.

3.3.2 Reuse of Materials and Equipment

Remove and store materials and equipment listed in the Demolition Plan to be reused or relocated to prevent damage, and reinstall as the work progresses. Coordinate the re-use of materials and equipment with the re-use requirements in accordance with Section 01 74 19 CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL. Capture re-use of materials in the diversion calculations for the project.

3.3.3 Disposal of Ozone Depleting Substance (ODS)

Class I and Class II ODS are defined in Section, 602(a) and (b), of The Clean Air Act. Prevent discharge of Class I and Class II ODS to the atmosphere. Place recovered ODS in cylinders meeting AHRI Guideline K suitable for the type ODS (filled to no more than 80 percent capacity) and provide appropriate labeling. Recovered ODS shall be removed from Government property and disposed of in accordance with 40 CFR 82. Products, equipment and appliances containing ODS in a sealed, self-contained system (e.g. residential refrigerators and window air conditioners) shall be disposed of in accordance with 40 CFR 82. Submit Receipts or bills of lading, as specified. Submit a shipping receipt or bill of lading for all containers of ozone depleting substance (ODS) shipped to the Defense Depot, Richmond, Virginia.

3.3.3.1 Special Instructions

No more than one type of ODS is permitted in each container. A warning/hazardous label shall be applied to the containers in accordance with Department of Transportation regulations. All cylinders including but not limited to fire extinguishers, spheres, or canisters containing an ODS shall have a tag with the following information:

a. Activity name and unit identification code

b. Activity point of contact and phone number

c. Type of ODS and pounds of ODS contained

d. Date of shipment

e. National stock number (for information, call (804) 279-4525).

3.3.3.2 Fire Suppression Containers

Deactivate fire suppression system cylinders and canisters with electrical charges or initiators prior to shipment. Also, safety caps must be used to cover exposed actuation mechanisms and discharge ports on these special cylinders.

3.3.4 Transportation Guidance

Ship all ODS containers in accordance with MIL-STD-129, DLA 4145.25 (also referenced one of the following: Army Regulation 700-68, Naval Supply Instruction 4440.128C, Marine Corps Order 10330.2C, and Air Force Regulation 67-12), 49 CFR 173.301, and DOD 4000.25-1-M.

3.3.5 Unsalvageable and Non-Recyclable Material

Dispose of unsalvageable and non-recyclable noncombustible materialDispose of unsalvageable and non-recyclable combustible material off the site .

3.4 CLEANUP

Remove debris and rubbish from basement and similar excavations. Remove and transport the debris in a manner that prevents spillage on streets or adjacent areas. Apply local regulations regarding hauling and disposal.

3.5 DISPOSAL OF REMOVED MATERIALS

3.5.1 Regulation of Removed Materials

Dispose of debris, rubbish, scrap, and other nonsalvageable materials resulting from removal operations with all applicable federal, state and local regulations as contractually specified in the Waste Management Plan . Storage of removed materials on the project site is prohibited.

3.5.2 Burning on Government Property

Burning of materials removed from demolished and deconstructed structures will not be permitted on Government property .

3.5.3 Removal to Spoil Areas on Government Property

Transport noncombustible materials removed from demolition and deconstruction structures to designated spoil areas on Government property.

3.5.4 Removal from Government Property

Transport waste materials removed from demolished and deconstructed structures, except waste soil, from Government property for legal disposal. Dispose of waste soil as directed.

3.6 REUSE OF SALVAGED ITEMS

Recondition salvaged materials and equipment designated for reuse before installation. Replace items damaged during removal and salvage operations or restore them as necessary to usable condition.

-- End of Section --

THIS PAGE INTENTIONALLY LEFT BLANK

SECTION 02 61 13

EXCAVATION AND HANDLING OF CONTAMINATED MATERIAL
02/10

PART 1 GENERAL

1.1 MEASUREMENT AND PAYMENT

1.1.1 Measurement

Measurement for excavation and onsite transportation shall be based on the actual number of cubic yards of contaminated material in-place prior to excavation. Determination of the volume of contaminated material excavated shall be based on cross-sectional volume determination reflecting the differential between the original elevations of the top of the contaminated material and the final elevations after removal of the contaminated material. Measurement for backfilling of excavated areas shall be based on in-place cubic yards of compacted fill. Measurement for construction of stockpile areas shall be based on the number of square yards of stockpile liner constructed.

1.1.2 Payment

1.1.2.1 Excavation and Transportation

Compensation for excavation and onsite transportation of contaminated material will be paid as a unit cost. This unit cost shall include any other items incidental to excavation and handling not defined as having a specific unit cost.

1.1.2.2 Backfilling

Compensation for backfill soil, transportation of backfill, backfill soil conditioning, backfilling, compaction, and geotechnical testing will be paid as a single unit cost.

1.1.2.3 Stockpiling

Compensation for construction of stockpile areas will be paid for as a unit cost. This unit cost shall include all aspects of grading, preparation, handling, placement, maintenance, removal, treatment, and disposal of stockpile cover materials and liner materials and all other items incidental to construction of stockpiles.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D422	(1963; R 2007; E 2014; E 2014) Particle-Size Analysis of Soils
ASTM D698	(2012; E 2014; E 2015) Laboratory

	Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/cu. ft. (600 kN-m/cu. m.))
ASTM D1556/D1556M	(2015; E 2016) Standard Test Method for Density and Unit Weight of Soil in Place by Sand-Cone Method
ASTM D2167	(2015) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D2487	(2017; E 2020) Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D5434	(2012) Field Logging of Subsurface Explorations of Soil and Rock
ASTM D6938	(2017a) Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

STATE OF WISCONSIN, WISCONSIN ADMINISTRATIVE CODES (WIS. ADMIN. CODE)

WIS. ADMIN. CODE CH NR 718	(October 2013;Department of Natural Resources) Management of Contaminated Soil or Solid Wastes Excavated During Response Actions
WIS. ADMIN. CODE CH NR 726	(November 2013; Department of Natural Resources) Case Closure

STATE OF WISCONSIN, WISCONSIN STATUTES (WIS. STAT.)

WIS. STAT. CH 292	(2019-2020) Remedial Action

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2014) Safety and Health Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1926	Safety and Health Regulations for Construction
40 CFR 302	Designation, Reportable Quantities, and Notification

1.3 DESCRIPTION OF WORK

The work consists of excavation and temporary storage of approximately 1232 cubic yards of contaminated material, including contaminated soil as defined and regulated in accordance with WIS. ADMIN. CODE CH NR 718. Approximate locations of contaminated material are shown on the drawings attached to this section. Characterization data on the nature and extent

of the contaminated material is shown in the environmental reports attached to this section . Subsurface conditions are shown in the environmental reports attached to this section . Submit a Work Plan as specified below. Notify the Contracting Officer within 24 hours, and before excavation, if contaminated material is discovered that has not been previously identified or if other discrepancies between data provided and actual field conditions are discovered. Backfill material is not available onsite. Ground water is approximately 4 feet below pre-excavation ground surface. The project objective is to manage environmental media during construction in accordance with WIS. ADMIN. CODE CH NR 726 for efforts expended during this redevelopment project. To obtain closure, it will be necessary to follow state regulatory requirements
detailed in State of Wisconsin, Wisconsin Administrative Codes.

1.3.1 Scheduling

Notify the Contracting Officer 30 calendar days prior to the start of excavation of contaminated material. The Contractor shall be responsible for contacting regulatory agencies in accordance with the applicable reporting requirements.

1.3.2 Work Plan

Submit a Work Plan within 30calendar days after notice to proceed. No work at the site, with the exception of site inspections and surveys, shall be performed until the Work Plan is approved. Allow 30 calendar days in the schedule for the Government's review. No adjustment for time or money will be made if resubmittals of the Work Plan are required due to deficiencies in the plan. At a minimum, the Work Plan shall include:

a. Schedule of activities.

b. Method of excavation and equipment to be used.

c. Shoring or side-wall slopes proposed.

d. Dewatering plan.

e. Storage methods and locations for liquid and solid contaminated material.

f. Borrow sources and haul routes.

g. Decontamination procedures.

h. Spill contingency plan.

1.3.3 Other Submittal Requirements

Submit separate cross-sections of each area before and after excavation and after backfilling, test results, and copies of the Closure Report within 14 calendar days of work completion at the site.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Surveys

SD-03 Product Data

Work Plan; G
Closure Report; G

SD-06 Test Reports

Backfill
Surveys
Confirmation Sampling and Analysis
Sampling of Stored Material; G
Sampling Liquid; G
Compaction

1.5 REGULATORY REQUIREMENTS

1.5.1 Permits and Licenses

Obtain required federal, state, and local permits for excavation and storage of contaminated material. Permits shall be obtained at no additional cost to the Government.

1.5.2 Air Emissions

Air emissions shall be monitored and controlled in accordance with Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

PART 2 PRODUCTS

2.1 SPILL RESPONSE MATERIALS

Provide appropriate spill response materials including, but not limited to the following: containers, adsorbents, shovels, and personal protective equipment. Spill response materials shall be available at all times when contaminated materials/wastes are being handled or transported. Spill response materials shall be compatible with the type of materials and contaminants being handled.

2.2 BACKFILL

Backfill material shall be obtained from offsite sources approved by the Contracting Officer. Backfill shall be classified in accordance with ASTM D2487 as GW, GP, GM, GC, SW, SP, SM, SC, ML, MH, CL, or CH and shall be free from roots and other organic matter, trash, debris, snow, ice or frozen materials. Backfill material shall be tested for the parameters listed below at a frequency of once per 3000 cubic yards. A minimum of one set of classification tests shall be performed per borrow source. One backfill sample per borrow source shall also be collected and tested for the chemical parameters listed below.

Physical Parameter	Criteria	Test Method
Grain Size	Free of aggregate larger than 2 inches	ASTM D422
Compaction	95%	ASTM D698

Do not use material for backfill until borrow source chemical and physical test results have been submitted and approved.

PART 3 EXECUTION

3.1 SURVEYS

Perform surveys immediately prior to and after excavation of contaminated material to determine the volume of contaminated material removed. Also, perform surveys immediately after backfill of each excavation. Provide cross-sections on 25 foot intervals and at break points for all excavated areas. Locations of confirmation samples shall also be surveyed and shown on the drawings.

3.2 EXISTING STRUCTURES AND UTILITIES

No excavation shall be performed until site utilities have been field located. Take the necessary precautions to ensure no damage occurs to existing structures and utilities. Damage to existing structures and utilities resulting from the Contractor's operations shall be repaired at no additional cost to the Government. Utilities encountered that were not previously shown or otherwise located shall not be disturbed without approval from the Contracting Officer.

3.3 CONTAMINATED MATERIAL REMOVAL

3.3.1 Excavation

Areas of contamination shall be excavated to the depth and extent shown on the drawings and not more than 0.2ft beyond the depth and extent shown on the drawings unless directed by the Contracting Officer. Excavation shall be performed in a manner that will limit spills and the potential for contaminated material to be mixed with uncontaminated material. An excavation log describing visible signs of contamination encountered shall be maintained for each area of excavation. Excavation logs shall be prepared in accordance with ASTM D5434.

3.3.2 Shoring

If workers must enter the excavation, it shall be evaluated, shored, sloped or braced as required by EM 385-1-1 and 29 CFR 1926 section 650.

3.3.3 Dewatering

Surface water shall be diverted to prevent entry into the excavation. Dewatering shall be limited to that necessary to assure adequate access, a safe excavation, prevent the spread of contamination, and to ensure that compaction requirements can be met. No dewatering shall be performed

without prior approval of the Contracting Officer.It may be released to the sanitary sewer system or storm drain system if the discharge is specifically permitted and authorized by the Contracting Officer prior to excavation

3.4 CONFIRMATION SAMPLING AND ANALYSIS

The Contracting Officer shall be present to inspect the removal of contaminated material from each site. After all material suspected of being contaminated has been removed, the excavation shall be examined for evidence of contamination in regards to the known contaminants and respective action levels identified in the WIS. ADMIN. CODE CH NR 718 soil management plan, refer to Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS. Site material has been well characterized prior to the project to meet the requirements of WIS. ADMIN. CODE CH NR 718. Soil samples were conducted as required to be collected of relocated contaminated soil at a frequency of one sample per 100 cy of soil for the first 600 cy, followed by one sample for additional 300 cy quantities removed. Excavation of additional material shall be as directed by the Contracting Officer. After all suspected contaminated material is removed, the Contracting Officer may request additional environmental assessment by confirmation samples to be collected and analyzed for the contaminants identified in the WIS. ADMIN. CODE CH NR 718 soil management plan, refer to Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

Samples shall be collected at a frequency identified in WIS. ADMIN. CODE CH NR 718, or as directed by the Contracting Officer. A minimum of one sample shall be collected from the bottom and each side wall of the excavation. Based on test results, propose any additional excavation which may be required to remove material which is contaminated above action levels. Additional excavation shall be subject to approval by the Contracting Officer. Locations of samples shall be marked in the field and documented on the as-built drawings.

3.5 CONTAMINATED MATERIAL STORAGE

Material shall be placed in temporary storage immediately after excavation . The following paragraphs describe acceptable methods of material storage. Storage units shall be in good condition and constructed of materials that are compatible with the material or liquid to be stored. If multiple storage units are required, each unit shall be clearly labeled with an identification number and a written log shall be kept to track the source of contaminated material in each temporary storage unit.

3.5.1 Stockpiles

Stockpiles shall be constructed to isolate stored contaminated material from the environment. The maximum stockpile size shall be 2500 cubic yards with maximum side slopes of 4H:1V slope. Stockpiles shall be constructed to include:

a. Placement on an impervious base such as cocnrete, asphalt or geomembrane liner plastic sheeting. A chemically resistant geomembrane liner free of holes and other damage. Non-reinforced geomembrane liners shall have a minimum thickness of 20 mils. Scrim reinforced geomembrane liners shall have a minimum weight of 40 lbs/1000 square feet. The ground surface on which the geomembrane is to be placed shall be free of rocks greater than 0.5 inches in diameter and any other object which could damage the membrane.

b. Geomembrane cover free of holes or other damage to prevent precipitation from entering the stockpile. Non-reinforced geomembrane covers shall have a minimum thickness of 10 mils. Scrim reinforced geomembrane covers shall have a minimum weight of 26 lbs/1000 square feet. The cover material shall be extended over the berms and anchored or ballasted to prevent it from being removed or damaged by wind.

c. Berms surrounding the stockpile, a minimum of 12 inches in height. Vehicle access points shall also be bermed.

d. The impervious base and berm system shall be sloped to allow collection of leachate. Storage and removal of liquid which collects in the stockpile, in accordance with paragraph Liquid Storage.

3.5.2 Roll-Off Units

Roll-off units used to temporarily store contaminated material shall be water tight. A cover shall be placed over the units to prevent precipitation from contacting the stored material. The units shall be located by the Contracting Officer and Contractor. Liquid which collects inside the units shall be removed and stored in accordance with paragraph Liquid Storage.

3.5.3 Liquid Storage

Liquid collected from excavations and stockpiles shall be temporarily stored in 55 gallon barrels, 500 gallon tanks or other container approved by Contracting Officer prior to the construction of the project. Liquid storage containers shall be water-tight and shall be located on a impervious base determined by the Contracting Officer and Contractor.

3.6 SAMPLING

3.6.1 Sampling of Stored Material

Additional samples of stored material shall be collected at a frequency per WIS. ADMIN CODE CH NR 718 management of contaminated soil. Samples shall be tested for the parameters identified in the WIS. ADMIN. CODE CH NR 718 soil management plan, refer to Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

Stored material with contaminant levels that exceed the action levels shall be treated offsite. Analyses for contaminated material to be taken to an offsite treatment facility shall conform to local, state, and federal criteria as well as to the requirements of the treatment facility. Documentation of all analyses performed shall be furnished to the Contracting Officer. Additional sampling and analyses to the extent required by the approved offsite treatment, storage or disposal (TSD) facility shall be the responsibility of the Contractor and shall be subject to approval by the Contracting Officer.

3.6.2 Sampling Liquid

Liquid collected from excavations and storage areas, if requested by the Contracting Officer, shall be sampled at a frequency of once for every 500 gal of liquid collected. Samples shall be tested for contaminatns identified in the WIS. ADMIN. CODE CH NR 718 soil management plan, refer

to Section 01 57 19 TEMPORARY ENVIRONMENTAL CONTROLS.

Liquid with contaminant levels that exceed action levels shall be treated offsite. Analyses for contaminated liquid to be taken to an offsite treatment facility shall conform to local, state, and federal criteria as well as to the requirements of the treatment facility. Documentation of all analyses performed shall be furnished to the Contracting Officer. Additional sampling and analysis to the extent required by the approved offsite treatment, storage or disposal (TSD) facility receiving the material shall be the responsibility of the Contractor and shall be subject to approval by the Contracting Officer.

3.6.3 Sampling Beneath Storage Units

Additional samples, if requested by the Contracting Officer, from beneath each storage unit shall be collected prior to construction of and after removal of the storage unit. Samples shall be collected at a frequency of one per each 500 square yards from a depth interval of 0 to 0.5 feet and shall be tested for the following:

Based on test results, soil which has become contaminated above action levels shall be removed at no additional cost to the Government. Contaminated material which is removed from beneath the storage unit shall be handled in accordance with paragraph Sampling of Stored Material. As directed by the Contracting Officer and at no additional cost to the Government, additional sampling and testing shall be performed to verify areas of contamination found beneath stockpiles have been cleaned up to below action levels.

3.7 SPILLS

In the event of a spill or release of a hazardous substance (as designated in 40 CFR 302), pollutant, contaminant, or oil (as governed by the Oil Pollution Act (OPA), 33 U.S.C. 2701 et seq.), notify the Contracting Officer immediately. If the spill exceeds the reporting threshold, follow the pre-established procedures as described in the RCRA Contingency Plan and state and local regulations for immediate reporting and containment. Immediate containment actions shall be taken to minimize the effect of any spill or leak. Cleanup shall be in accordance with applicable federal, state, and local regulations. Remedial action, notification, documentation and contingency planning to be in accordance with WIS. STAT. CH 292 and other federal, state and local regulations. As directed by the Contracting Officer, additional sampling and testing shall be performed to verify spills have been cleaned up. Spill cleanup and testing shall be done at no additional cost to the Government.

3.8 BACKFILLING

3.8.1 Confirmation Test Results

Excavations shall be backfilled immediately after all contaminated materials have been removed and confirmation test results have been approved. Backfill shall be placed and compacted to the lines and grades shown on the drawings.

3.8.2 Compaction

Place approved backfill in lifts with a maximum loose thickness of 8 inches. Compact soil to 95 percent of ASTM D698 maximum dry density. Perform

density tests at a frequency of once per 10,000 square feet per lift. conduct a minimum of one density test on each lift of backfill placed. Determine field in-place dry density in accordance with ASTM D1556/D1556M, ASTM D2167, or ASTM D6938. If ASTM D6938 is used, a minimum of one in ten tests shall be checked using ASTM D1556/D1556M or ASTM D2167. Test results from ASTM D1556/D1556M or ASTM D2167 shall govern if there is a discrepancy with the ASTM D6938 test results.

3.9 DISPOSAL REQUIREMENTS

Offsite disposal of contaminated material shall be in accordance with Section 02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS.

3.10 CLOSURE REPORT

Submit copies of a Closure Report within 14 calendar days of completing work at the site. The report shall be labeled with the contract number, project name, location, date, name of general Contractor, and the Corps of Engineers District contracting for the work. The Closure Report shall include the following information as a minimum:

a. A cover letter signed by a responsible company official certifying that all services involved have been performed in accordance with the terms and conditions of the contract documents and regulatory requirements.

b. A narrative report including, but not limited to, the following:

(1) site conditions, ground water elevation, and cleanup criteria;

(2) excavation logs;

(3) field screening readings;

(4) quantity of materials removed from each area of contamination;

(5) quantity of water/product removed during dewatering;

(6) sampling locations and sampling methods;

(7) sample collection data such as time of collection and method of preservation;

(8) sample chain-of-custody forms; and

(9) source of backfill.

c. Copies of all chemical and physical test results.

d. Copies of all manifests and land disposal restriction notifications.

e. Copies of all certifications of final disposal signed by the responsible disposal facility official.

f. Waste profile sheets.

g. Scale drawings showing limits of each excavation, limits of contamination, known underground utilities within 50 feet of excavation, sample locations, and sample identification numbers.

On-site stockpile, storage, treatment, loading, and disposal areas shall also be shown on the drawings.

h. Progress Photographs. Color photographs shall be used to document progress of the work. A minimum of four views of the site showing the location of the area of contamination, entrance/exit road, and any other notable site conditions shall be taken before work begins. After work has been started, activities at each work location shall be photographically recorded weekly. Photographs shall be a minimum of 3 by 5 inches and shall include:

(1) Soil removal and sampling.

(2) Dewatering operations.

(3) Unanticipated events such as spills and the discovery of additional contaminated material.

(4) Contaminated material/water storage, handling, treatment, and transport.

(5) Site or task-specific employee respiratory and personal protection.

(6) Fill placement and grading.

(7) Post-construction photographs. After completion of work at each site, take a minimum of four views of each excavation site.

A digital version of all photos shown in the report shall be included with the Closure Report. Photographs shall be a minimum of 3 inches by 5 inches and shall be mounted back-to-back in double face plastic sleeves punched to fit standard three ring binders. Each print shall have an information box attached. The box shall be typewritten and arranged as follows:

Project Name:	Direction of View:
Location:	Date/Time:
Photograph No.:	Description of View:

-- End of Section --

SECTION 02 81 00

TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS
11/18

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INTERNATIONAL AIR TRANSPORT ASSOCIATION (IATA)

IATA DGR	(2018) Dangerous Goods Regulations

STATE OF WISCONSIN, WISCONSIN ADMINISTRATIVE CODES (WIS. ADMIN. CODE)

WIS. ADMIN. CODE CH NR 157	(February 2021; Department of Natural Resources) Management of PCBs and Products Containing PCBs
WIS. ADMIN. CODE CH NR 427	(December 1996;Department of Natural Resources)Control of Lead Emissions
WIS. ADMIN. CODE CH NR 447	(June 2004;Department of Natural Resources)Control of Asbestos Emissions
WIS. ADMIN. CODE CH NR 502	(August 2020;Department of Natural Resources)Solid Waste Storage, Transportation, Transfer, Incineration, Air Curtain Destructors, Processing, Wood Burning, Composting and Municipal Solid Waste Combustors
WIS. ADMIN. CODE CH NR 663	(April 2021; Department of Natural Resources) Hazardous Waste Trasnporter Standards
WIS. ADMIN CODE CH NR 673	(April 2021; Department of Natural Resources) Universal Waste Management Standards

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 415-1-266	(2000) Resident Engineer Management Guide (REMG) for Hazardous, Toxic, and Radioactive Waste (HTRW) Projects

U.S. DEPARTMENT OF TRANSPORTATION (DOT)

DOT 4500.9R	Defense Transportation Regulation, Part 2, Cargo Movement, Chapter 204, Hazardous Material

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61	National Emission Standards for Hazardous Air Pollutants
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 270	EPA Administered Permit Programs: The Hazardous Waste Permit Program
40 CFR 273	Standards for Universal Waste Management
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 302	Designation, Reportable Quantities, and Notification
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 107	Hazardous Materials Program Procedures
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Hazardous Material

A substance or material which has been determined by the Secretary of Transportation to be capable of posing an unreasonable risk to health, safety, and property when transported in commerce, and which has been so designated pursuant to the Hazardous Materials Transportation Act, 49 U.S.C. Appendix Section 1801 et seq. The term includes materials designated as hazardous materials under the provisions of 49 CFR 172, Sections .101 and .102 and materials which meet the defining criteria for hazard classes and divisions in 49 CFR 173. EPA designated hazardous wastes are also hazardous materials.

1.2.2 Hazardous Waste

A waste which meets criteria established in RCRA or specified by the EPA in 40 CFR 261 or which has been designated as hazardous by a RCRA authorized state program.

1.2.3 Universal Waste

Universal Waste means any of the following hazardous wastes that are managed under the universal waste requirements 40 CFR 273

(1) Batteries as described in Sec. 273.2 of this chapter;

(2) Pesticides as described in Sec. 273.3 of this chapter;

(3) Mercury containing equipment as described in Sec. 273.4 of this chapter; and

(4) Lamps as described in Sec. 273.5 of this chapter.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Packaging Notifications

Hazardous Waste Management Plan; G

Onsite Hazardous Waste Management; G

Notices of Non-Compliance and Notices of Violation

SD-06 Test Reports

Recordkeeping; G

Exception Report; G

Spill Response

SD-07 Certificates

Transportation and Disposal Coordinator; G

Training; G

Certification

Shipping Documents and Packagings Certification; G

Security Plan

Certificates of Disposal

Waste Minimization

1.4 QUALITY ASSURANCE

1.4.1 Transportation and Disposal Coordinator

Designate, by position and title, one person to act as the Transportation and Disposal Coordinator (TDC) for this contract. The TDC must serve as the single point of contact for all environmental regulatory matters and have overall responsibility for total environmental compliance at the site including, but not limited to, accurate identification and classification of hazardous waste and hazardous materials; determination of proper shipping names; identification of marking, labeling, packaging and placarding requirements; completion of waste profiles, hazardous waste manifests, asbestos waste shipment records, PCB manifests, bill of ladings, exception and discrepancy reports; and all other environmental documentation. The TDC must have, at a minimum, one year of specialized experience in the management and transportation of universal and hazardous waste and have been Department of Transportation certified under 49 CFR 172, Subpart H.

1.4.2 Training

Hazardous materials employees must be trained, tested, and certified to safely and effectively carry out their assigned duties. Employees transporting hazardous materials or preparing hazardous materials for transportation, including samples, must be trained, tested, and certified in accordance with 49 CFR 172, Subpart H, including security awareness and any applicable security plans. Hazardous material employees must also be trained in accordance with IATA DGR when shipping hazardous materials by air. Employees must be trained, tested, and certified in accordance with 49 CFR 172, Subpart H to determine that shipments do not constitute DOT regulated hazardous materials.

1.4.3 Certification

The hazardous materials transporter must possess a current certificate of registration issued by the Research and Special Programs Administration (RSPA), U.S. Department of Transportation, when required by 49 CFR 107, Subpart G. Submit copies of the certificates or written statements certifying exemption from these requirements.

1.4.4 Laws and Regulations Requirements

Comply with Federal, state, and local laws and regulations which are

applicable. These requirements are amended frequently and compliance with amendments is required as they become effective. Notify the Contracting Officer immediately if compliance exceeds the scope of work or conflicts with specific requirements of the contract. The following laws, ordinances,criteria, rules and regulations regarding removal, handling, storing, transporting and disposing of hazardous and universal waste apply:

a. WIS. ADMIN. CODE CH NR 157

b. WIS. ADMIN. CODE CH NR 427

c. WIS. ADMIN. CODE CH NR 447

d. WIS. ADMIN. CODE CH NR 502

e. WIS. ADMIN. CODE CH NR 663

f. WIS. ADMIN CODE CH NR 673

PART 2 PRODUCTS

2.1 MATERIALS

Provide all the materials required for the packaging, labeling, marking, placarding and transportation of hazardous wastes and hazardous materials in conformance with Department of Transportation standards and IATA DGR and EP 415-1-266. Details in this specification must not be construed as establishing the limits of the Contractor's responsibility.

2.1.1 Packagings

Provide bulk and non-bulk containers for packaging hazardous materials/wastes consistent with the authorizations referenced in the Hazardous Materials Table in 49 CFR 172, Section .101, Column 8. Bulk and non-bulk packaging must meet the corresponding specifications in 49 CFR 173 referenced in the Hazardous Materials Table, 49 CFR 172, Section .101. Packaging must conform to the general packaging requirements of Subpart B of 49 CFR 173, to the requirements of 49 CFR 178 at the specified packing group performance level, to the requirements of special provisions of column 7 of the Hazardous Materials Table in 49 CFR 172, Section .101, and be compatible with the material to be packaged as required by 40 CFR 262. Also provide other packaging related materials such as materials used to cushion or fill voids in overpacked containers. The hazardous materials being packaged must not react dangerously with, decompose or ignite the sorbent packaging materials. Additionally, sorbents used to treat free liquids to be disposed of in landfills must be non-biodegradable as specified in 40 CFR 264, Section .314. In addition, packaging notifications will be provided to the Government in accordance with 49 CFR 172, Section .178.2(c) regarding type and dimensions of closures, including gaskets, needed to satisfy performance test requirements.

2.1.2 Markings

Provide markings for each hazardous material/waste package, freight container, and transport vehicle consistent with the requirements of 49 CFR 172, Subpart D and 40 CFR 262, Section .32 (for hazardous waste) 40 CFR 761, Section .45 (for PCBs),40 CFR 61, Section .149(d) (for asbestos),EP 415-1-266 (for FUSRAP radionuclides). Markings must withstanda 180 day exposure to conditions reasonably expected to be

encountered during container storage and transportation, without deterioration or substantial color change.

2.1.3 Labeling

Provide primary and subsidiary labels for hazardous materials/wastes consistent with the requirements in the Hazardous Materials Table in 49 CFR 172, Section .101, Column 6. Labels must meet design specifications required by 49 CFR 172, Subpart E including size, shape, color, printing, and symbol requirements. Labels must be durable weather resistant and withstanding a 180 day exposure to conditions reasonably expected to be encountered during container storage and transportation, without deterioration or substantial color change.

2.1.4 Placards

For each offsite shipment of hazardous material/waste, provide primary and subsidiary placards consistent with the requirements of 49 CFR 172, Subpart F. Provide placards for each side and each end of bulk packaging, freight containers, transport vehicles, and rail cars requiring such placarding. Placards may be plastic, metal, or other material capable of withstanding, without deterioration, a 30 day exposure to open weather conditions and must meet design requirements specified in 49 CFR 172, Subpart F.

2.1.5 Spill Response Materials

Provide spill response materials including, but not limited to, containers, adsorbent, shovels, and personal protective equipment. Spill response materials must be available at all times when hazardous materials/wastes are being handled or transported. Spill response materials must be compatible with the type of material being handled.

2.2 EQUIPMENT AND TOOLS

Provide miscellaneous equipment and tools necessary to handle hazardous materials and hazardous wastes in a safe and environmentally sound manner.

PART 3 EXECUTION

3.1 HAZARDOUS WASTE MANAGEMENT PLAN

Prepare a Hazardous Waste Management Plan detailing the manner in which hazardous wastes will be managed and describing the types and volumes of hazardous wastes anticipated to be managed. The plan must address both onsite and offsite hazardous waste management. Describe the methods to be used to ensure accurate piece counts or weights of shipments; describe waste minimization methods; identify and describe facilities to be used for treatment, storage, and disposal (TSD); identify areas onsite where hazardous wastes are to be handled; and identify whether transfer facilities are to be used; and if so, how the wastes will be tracked to ultimate disposal. Submit the plan to the Contracting Officer for approval prior to start of work. Submit written documentation of weekly hazardous waste inspections on a monthly basis.

3.2 ONSITE HAZARDOUS WASTE MANAGEMENT

Coordinate the onsite management of all hazardous materials and waste with the installation environmental function and the Contracting Officer.

These paragraphs apply to Government owned waste only. The Contractor is responsible for ensuring compliance with Federal, state, and local hazardous waste laws and regulations and verifying those requirements when preparing reports, waste shipment records, hazardous waste manifests, or other documents. Identify hazardous wastes using criteria set forth in 40 CFR 261 or applicable state and local laws, regulations, and ordinances. Comply with generator requirements in 40 CFR 262 and applicable state or local law or regulations when accumulating hazardous waste onsite. Onsite accumulation times must be restricted to applicable time frames referenced in 40 CFR 262, Section .34 and applicable state or local law or regulation. Accumulation start dates commence when waste container is transferred into a 90 day accumulation site or permitted storage facility. Only use containers in good condition and compatible with the waste to be stored. Ensure containers are closed except when adding or removing waste, and immediately mark all hazardous waste containers with the words "hazardous waste" and other information required by 40 CFR 262, Section .32 and applicable state or local law or regulation as soon as the waste is containerized. An additional marking must be placed on containers of "unknowns" designating the date sampled, and the suspected hazard. Inspect containers for signs of deterioration and for responding to any spills or leaks. Inspect all hazardous waste areas weekly and provide written documentation of the inspection. Include date and time of inspection, name of individual conducting the inspection, problems noted, and corrective actions taken on the inspection logs.

3.2.1 Hazardous Waste Classification

Identify, in consultation with the Contracting Officer, all waste codes applicable to each hazardous waste stream based on requirements in 40 CFR 261 or applicable state or local law or regulation. Also identify applicable treatment standards in 40 CFR 268 and state land disposal restrictions and make a determination as to whether or not the waste meets or exceeds the standards. Submit waste profiles, analyses, classification and treatment standards information to Contracting Officer for review and approval.

3.3 OFFSITE HAZARDOUS WASTE MANAGEMENT

Coordinate the off site transfer of all hazardous materials and waste with the installation environmental function and the Contracting Officer. Use RCRA Subtitle C permitted facilities which meet the requirements of 40 CFR 264 or facilities operating under interim status which meet the requirements of 40 CFR 265. Do not use offsite treatment, storage, and disposal facilities with significant RCRA violations or compliance problems (such as facilities known to be releasing hazardous constituents into ground water, surface water, soil, or air). Submit Notices of Non-Compliance and Notices of Violation by a Federal, state, or local regulatory agency issued to the Contractor in relation to any work performed under this contract. Immediately provide copies of such notices to the Contracting Officer. Also furnish relevant documents regarding the incident and any information requested by the Contracting Officer, and coordinate its response to the notice with the Contracting Officer or the designated representative prior to submission to the notifying authority. Also furnish a copy to the Contracting Officer of all documents submitted to the regulatory authority, including the final reply to the notice, and all other materials, until the matter is resolved.

3.3.1 Treatment, Storage, and Disposal Facility and Transporter

Provide the Contracting Officer with EPA ID numbers, names, locations, and telephone numbers of TSD facilities and transporters. This information must be contained in the Hazardous Waste Management Plan and be approved by the Contracting Officer prior to waste disposal.

3.3.2 Facility Status Information

Facilities receiving hazardous waste must be permitted in accordance with 40 CFR 270 or operating under interim status in accordance with 40 CFR 265 requirements, or permitted by a state authorized by the Environmental Protection Agency to administer the RCRA permit program. Additionally, prior to using a TSD Facility, contact the EPA Regional Offsite Coordinator specified in 40 CFR 300, Section .440, to determine the facility's status, and document all information necessary to satisfy the requirements of the EPA Offsite policy and submit this information to the Contracting Officer in the Hazardous Waste Management Plan.

3.3.3 Shipping Documents and Packagings Certification

Prior to shipment of any hazardous material offsite and a minimum of 14 days prior to anticipated pickup, provide for review written certification to the Contracting Officer that hazardous materials have been properly packaged, labeled, and marked in accordance with Department of Transportation and EPA requirements. Furnish designated disposal facility packaging assurances not later than 35 days after acceptance of the shipment. The Contractor's TDC must also provide written certification regarding waste minimization efforts documenting that efforts have been taken to reduce the volume and toxicity of waste to the degree economically practicable and that the method of treatment, storage, or disposal selected minimizes threats to human health and the environment.

3.3.4 Transportation

Prior to conducting hazardous materials activities, the Contractor responsible for pre-transportation activities must either certify to the Government that a Security Plan is in place which meets the requirements of 49 CFR 172, Subpart I or in the event that the types or amounts of hazardous materials are excluded from the security planning requirements, a written statement to that effect detailing the basis for the exception. Use manifests for transporting hazardous wastes as required by 40 CFR 263 or applicable state or local law or regulation. Transportation must comply with all requirements in the Department of Transportation referenced regulations in the 49 CFR series. Prepare hazardous waste manifests for each shipment of hazardous waste shipped offsite. Complete manifests using instructions in 40 CFR 262, Subpart B and applicable state or local law or regulation. Submit manifests and waste profiles to Contracting Officer for review and approval. Prepare land disposal restriction notifications as required by 40 CFR 268 or applicable state or local law or regulation for each shipment of hazardous waste. Submit notifications with the manifest to the Contracting Officer for review and approval. In accordance with DOT 4500.9R, inspect motor vehicles used to transport hazardous materials in accordance the 49 CFR and DOT safety regulations and complete DDForm 626, Motor Vehicle Inspection.

3.3.5 Treatment and Disposal of Hazardous Wastes

Coordinate any off site shipments of hazardous materials or hazardous

wastes with the installation environmental function. Initial, or satellite hazardous waste accumulation is limited to 55 gallons (or 1 quart of acutely hazardous waste). Once a waste stream exceeds 55 gallons, it must be transferred to an on-site 90 day (180 day small quantity generator) accumulation area, or a permitted hazardous waste treatment, storage or disposal facility within three days. Ship hazardous wastes only to facilities which are properly permitted to accept the hazardous waste or operating under interim status. Ensure wastes are treated to meet land disposal treatment standards in 40 CFR 268 prior to land disposal. Propose TSD facilities via submission of the Hazardous Waste Management Plan, subject to the approval of the Contracting Officer. Submit Certificates of Disposal documenting the ultimate disposal, destruction or placement of hazardous wastes,CERCLA remediation waste,polychlorinated biphenyls (PCBs), and asbestos within 180 days of initial shipment. Receipt of these certificates will be required for final payment.

3.3.6 Storage Time Limits

Comply with Federal, State and local storage time limits for hazardous and universal waste transport.

3.4 RADIOACTIVE MATERIALS MANAGEMENT

Consult with the Contracting Officer, to evaluate, prior to shipment of any material offsite, whether the material is regulated as a hazardous waste in addition to being regulated as a radioactive material. Perform the evaluation to determine proper shipping descriptions, marking requirements, and other criteria, as described below.

3.4.1 Identification of Proper Shipping Names

Use 49 CFR 172, Section .101 to identify proper shipping names for each hazardous material (including hazardous wastes) to be shipped offsite. Submit proper shipping names to the Contracting Officer in the form of draft shipping documents for review and approval.

3.4.2 Packaging, Labeling, and Marking

Package, label, and mark hazardous materials/wastes using the specified materials and in accordance with the referenced authorizations. Mark each container of hazardous waste of 110 gallons or less with the following:

"HAZARDOUS WASTE - Federal Law Prohibits Improper Disposal.
If found, contact the nearest police or public safety authority or the U.S. Environmental Protection Agency.
Generator's name _____
Manifest Document Number _____".

3.4.3 Shipping Documents

Ensure that each shipment of hazardous material sent offsite is accompanied by properly completed shipping documents. This includes shipments of samples that may potentially meet the definition of a Department of Transportation regulated hazardous material.

3.4.3.1 PCB Waste Shipment Documents

Prepare hazardous waste manifests for each shipment of PCB waste shipped

offsite. Complete manifests using instructions in 40 CFR 761, Sections .207 and .208 and other applicable requirements. Submit documents to Contracting Officer for review and approval.

3.4.3.2 Asbestos Waste Shipment Documents

Prepare waste shipment records, as required by 40 CFR 61, for shipments of asbestos. Submit waste shipment records to the Contracting Officer for review and approval. Waste shipment records must be signed by the Contractor.

3.4.3.3 Other Hazardous Material Shipment Documents

Prepare a bill of lading for each shipment of hazardous material which is not accompanied by a hazardous waste manifest or asbestos waste shipment record which fulfills the shipping paper requirements. The bill of lading must satisfy the requirements of 49 CFR 172, Subpart C, and applicable state or local law or regulation, and must be submitted to the Contracting Officer for review and approval. For laboratory samples and treatability study samples, prepare bills of lading and other documentation as necessary to satisfy conditions of the sample exclusions in 40 CFR 261, Section .4(d) and (e) and any applicable state or local law or regulation. Bill of ladings requiring shipper's certifications must be signed by the Contractor.

3.5 WASTE MINIMIZATION

Minimize the generation of hazardous waste to the maximum extent practicable and take all necessary precautions to avoid mixing clean and contaminated wastes. Identify and evaluate recycling and reclamation options as alternatives to land disposal. Requirements of 40 CFR 266 apply to: hazardous wastes recycled in a manner constituting disposal; hazardous waste burned for energy recovery; lead-acid battery recycling; and hazardous wastes with economically recoverable precious metals. Submit written certification that waste minimization efforts have been undertaken to reduce the volume and toxicity of waste to the degree economically practicable and that the method of treatment, storage, or disposal selected minimizes threats to human health and the environment.

3.6 RECORDKEEPING

Maintain adequate records to support information provided to the Contracting Officer regarding exception reports, annual reports, and biennial reports; maintain asbestos waste shipment records for a minimum of 3 years from the date of shipment or any longer period required by applicable law or regulation or other provision of this contract; and maintain bill of ladings for a minimum of 375 days from the date of shipment or longer period required by applicable law or regulation or other provision of this contract. Submit information necessary to file state annual or EPA biennial reports for hazardous waste transported, treated, stored, or disposed of under this contract. Do not forward these data directly to the regulatory agency but to the Contracting Officer at the specified time. Submit the information necessary for filing of the formal reports in the form and format required by the governing Federal or state regulatory agency. A cover letter must accompany the data to include the contract number, Contractor name, and project location. In the events that a manifest copy documenting receipt of hazardous waste at the treatment storage and disposal facility is not received within 35 days of shipment initiation, or that a manifest copy documenting receipt of PCB

waste at the designated facility is not received within 35 days of shipment initiation, prepare and submit an exception report to the Contracting Officer within 37 days of shipment initiation.

3.7 SPILL RESPONSE

In the event of a spill or release of a hazardous substance (as designated in 40 CFR 302), or pollutant or contaminant, or oil (as governed by the Oil Pollution Act (OPA), 33 U.S.C. 2701 et seq.), notify the Contracting Officer immediately. Direction from the Contracting Officer concerning a spill or release is not considered a change under the contract. If the spill exceeds a reporting threshold, follow the pre-established procedures for immediate reporting to the Contracting Officer. Comply with applicable requirements of Federal, state, or local laws or regulations regarding any spill incident.

3.8 EMERGENCY CONTACTS

Comply with the emergency contact provisions in 49 CFR 172, Section .604. Whenever the Contractor ships hazardous materials, provide a 24 hr emergency response contact and phone number of a person knowledgeable about the hazardous materials being shipped and who has comprehensive emergency response and incident mitigation information for that material, or has immediate access to a person who possesses such knowledge and information. Monitor the phone on a 24 hour basis at all times when the hazardous materials are in transportation, including during storage incidental to transportation. Ensure that information regarding this emergency contact and phone number are placed on all hazardous material shipping documents. Designate an emergency coordinator and post the following information at areas in which hazardous wastes are managed:

a. The name of the emergency coordinator.

b. Phone number through which the emergency coordinator can be contacted on a 24 hour basis.

c. The telephone number of the local fire department.

d. The location of fire extinguishers and spill control materials.

Attachment A SAMPLE OFF-SITE POLICY CERTIFICATION MEMO	
Project/Contract #:	
Waste Stream:	
Primary TSD Facility, EPA ID # and Location:	
Alter. TSD Facility, EPA ID # and Location:	
EPA Region	Contact
I	888-372-7341
II	212-673-4040
III	800-438-2474 or 215-814-5000
IV	800-241-1754 or 404-562-9900
V	312-353-2000
VI	800-887-6063 or 214-665-2210
VII	800-223-0425
VIII	800-424-8802
IX	415-947-8713
X	800-424-4372 or 206-553-4973
EPA representative contacted:	
EPA representative phone number:	
Date contacted:	
Comment:	
The above EPA representative was contacted on _____. As of that date the above sites were considered acceptable in accordance with the Off-Site Policy in 40 CFR 300.440.	
Date:	Signature:
Phone number:	

-- End of Section --

SECTION 02 84 16

HANDLING OF LIGHTING BALLASTS AND LAMPS CONTAINING PCBs AND MERCURY
05/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

STATE OF WISCONSIN, WISCONSIN ADMINISTRATIVE CODES (WIS. ADMIN. CODE)

WIS. ADMIN. CODE CH NR 157	(February 2021; Department of Natural Resources) Management of PCBs and Products Containing PCBs

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000	Air Contaminants
40 CFR 260	Hazardous Waste Management System: General
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable to Generators of Hazardous Waste
40 CFR 263	Standards Applicable to Transporters of Hazardous Waste
40 CFR 264	Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 270	EPA Administered Permit Programs: The Hazardous Waste Permit Program
40 CFR 273	Standards for Universal Waste Management
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 178	Specifications for Packagings

1.2 REQUIREMENTS

The work includes the removal and disposal of PCB containing lighting ballasts and associated mercury-containing lamps. Contractor may encounter leaking PCB ballasts.Perform work in accordance with 40 CFR 761, WIS. ADM. CODE CH NR 157, WIS. ADMIN CODE CH NR 673 and the requirements specified herein. Regulation of PCB waste under WIS. ADMIN. CODE CH NR 157 and other Wisconsin regulations do not supersede 40 CFR 761 rules.

1.3 DEFINITIONS

1.3.1 Certified Industrial Hygienist (CIH)

A industrial hygienist hired by the contractor shall be certified by the American Board of Industrial Hygiene.

1.3.2 Leak

Leak or leaking means any instance in which a PCB article, PCB container, or PCB equipment has any PCBs on any portion of its external surface.

1.3.3 Lamps

Lamp is defined as the bulb or tube portion of an electric lighting device. A lamp is specifically designed to produce radiant energy, most often in the ultraviolet, visible, and infra-red regions of the electromagnetic spectrum. Examples of common electric lamps include, but are not limited to, fluorescent, high intensity discharge, neon, mercury vapor, high pressure sodium, and metal halide lamps.

1.3.4 Polychlorinated Biphenyls (PCBs)

PCBs as used in this specification shall mean the same as PCBs, and all related items, as defined in 40 CFR 761, Section 3, Definitions.

1.3.5 Spill

Spill means both intentional and unintentional spills, leaks, and other uncontrolled discharges when the release results in any quantity of PCBs running off or about to run off the external surface of the equipment or other PCB source, as well as the contamination resulting from those releases.

1.3.6 Universal Waste

Universal Waste means any of the following hazardous wastes that are managed under the universal waste requirements 40 CFR 273:

(1) Batteries as described in Sec. 273.2 of this chapter;

(2) Pesticides as described in Sec. 273.3 of this chapter;

(3) Mercury containing equipment as described in Sec. 273.4 of this chapter; and

(4) Lamps as described in Sec. 273.5 of this chapter.

1.4 QUALITY ASSURANCE

1.4.1 Regulatory Requirements

Perform PCB related work in accordance with 40 CFR 761. Perform mercury-containing lamps storage and transport in accordance with 40 CFR 261, 40 CFR 264, 40 CFR 265, 40 CFR 273.

1.4.2 Training

Certified industrial hygienist (CIH) shall instruct and certify the training of all persons involved in the removal of PCB containing lighting ballasts and mercury-containing lamps. The instruction shall include: The dangers of PCB and mercury exposure, decontamination, safe work practices, and applicable OSHA and EPA regulations. The CIH shall review and approve the PCB and Mercury-Containing Lamp Removal Work Plans.

1.4.3 Regulation Documents

Maintain at all times one copy each at the office and one copy each in view at the job site of 29 CFR 1910.1000, 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 265, 40 CFR 268, 40 CFR 270, 40 CFR 273 and of the Contractor removal work plan and disposal plan for PCB and for associated mercury-containing lamps.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-07 Certificates

Qualifications of CIH; G

Training Certification; G

PCB and Lamp Removal Work Plan; G

PCB and Lamp Disposal Plan; G

SD-11 Closeout Submittals

Transporter Certification of notification to EPA of their PCB waste activities and EPA ID numbers; G

Certification of Decontamination

Certificate of Disposal and/or recycling. Submit to the Government before application for payment within 30 days of the date that the disposal of the PCB and mercury-containing lamp waste identified on the manifest was completed.

DD Form 1348-1

1.6 ENVIRONMENTAL REQUIREMENTS

Use special clothing:

a. Disposable gloves (polyethylene)

b. Eye protection

c. PPE as required by CIH

1.7 SCHEDULING

Notify the Contracting Officer 20 days prior to the start of PCB and mercury-containing lamp removal work.

1.8 QUALITY ASSURANCE

1.8.1 Qualifications of CIH

Submit the name, address, and telephone number of the Industrial Hygienist selected to perform the duties in paragraph CERTIFIED INDUSTRIAL HYGIENIST. Submit training certification that the Industrial Hygienist is certified, including certification number and date of certification or re certification.

1.8.2 PCB and Lamp Removal Work Plan

Submit a job-specific plan within 20 calendar days after award of contract of the work procedures to be used in the removal, packaging, and storage of PCB-containing lighting ballasts and associated mercury-containing lamps. Include in the plan: Requirements for Personal Protective Equipment (PPE), spill cleanup procedures and equipment, eating, smoking and restroom procedures. The plan shall be approved and signed by the Certified Industrial Hygienist. Obtain approval of the plan by the Contracting Officer prior to the start of PCB and/or lamp removal work.

1.8.3 PCB and Lamp Disposal Plan

Submit a PCB and lamp Disposal Plan with 45 calendar days after award of contract. The PCB and Lamp Disposal Plan shall comply with applicable requirements of federal, state, and local PCB and Universal waste regulations and address:

a. Estimated quantities of wastes to be generated, disposed of, and recycled.

b. Names and qualifications of each Contractor that will be transporting, storing, treating, and disposing of the wastes. Include the facility location. Furnish two copies of EPA and state PCB and mercury-containing lamp waste permit applications and EPA identification numbers, as required.

c. Names and qualifications (experience and training) of personnel who will be working on-site with PCB and mercury-containing lamp wastes.

d. Spill prevention, containment, and cleanup contingency measures to be implemented.

e. Work plan and schedule for PCB and mercury-containing lamp waste removal, containment, storage, transportation, disposal and or recycling. Wastes shall be cleaned up and containerize daily.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 WORK PROCEDURE

Furnish labor, materials, services, and equipment necessary for the removal of PCB containing lighting ballasts, associated mercury-containing fluorescent lamps, and high intensity discharge (HID) lamps in accordance with local, state, or federal regulations. Do not expose PCBs to open flames or other high temperature sources since toxic decomposition by-products may be produced. Do not break mercury containing fluorescent lamps or high intensity discharge lamps.

3.1.1 Work Operations

Ensure that work operations or processes involving PCB or PCB-contaminated materials are conducted in accordance with 40 CFR 761, 40 CFR 262 40 CFR 263, and the applicable requirements of this section, including but not limited to:

a. Obtaining suitable PCB and mercury-containing lamp storage sites.

b. Notifying Contracting Officer prior to commencing the operation.

c. Reporting leaks and spills to the Contracting Officer.

d. Cleaning up spills.

e. Inspecting PCB and PCB-contaminated items and waste containers for leaks and forwarding copies of inspection reports to the Contracting Officer.

f. Maintaining inspection, inventory and spill records.

3.2 PCB SPILL CLEANUP REQUIREMENTS

3.2.1 PCB Spills

Immediately report to the Contracting Officer any PCB spills.

3.2.2 PCB Spill Control Area

Rope off an area around the edges of a PCB leak or spill and post a "PCB Spill Authorized Personnel Only" caution sign. Immediately transfer leaking items to a drip pan or other container.

3.2.3 PCB Spill Cleanup

40 CFR 761, subpart G. Initiate cleanup of spills as soon as possible, but no later than 24 hours of its discovery. Mop up the liquid with rags or other conventional absorbent. The spent absorbent shall be properly contained and disposed of as solid PCB waste.

3.2.4 Records and Certification

Document the cleanup with records of decontamination in accordance with

40 CFR 761, Section 125, Requirements for PCB Spill Cleanup. Provide test results of cleanup and certification of decontamination.

3.3 REMOVAL

3.3.1 Ballasts

As ballast are removed from the lighting fixture, inspect label on ballast. Ballasts without a "No PCB" label shall be assumed to contain PCBs and containerized and disposed of as required under paragraphs STORAGE FOR DISPOSAL and DISPOSAL. If there are less than 1600 "No PCB" labeled lighting ballasts, dispose of them as normal demolition debris.

3.3.2 Lighting Lamps

Remove lighting tubes/lamps from the lighting fixture and carefully place (unbroken) into appropriate containers (original transport boxes or equivalent). In the event of a lighting tube/lamp breaking, sweep and place waste in double plastic taped bags and dispose of as universal waste as specified herein.

3.4 STORAGE FOR DISPOSAL

3.4.1 Storage Containers for PCBs

49 CFR 178. Store PCB in containers approved by DOT for PCB.

3.4.2 Storage Containers for lamps

Store mercury containing lamps in appropriate DOT containers. The boxes shall be stored and labeled for transport in accordance with 40 CFR 273.

3.4.3 Labeling of Waste Containers

Label with the following:

a. Date the item was placed in storage and the name of the cognizant activity/building.

b. "Caution Contains PCB," conforming to 40 CFR 761, CFR Subpart C. Affix labels to PCB waste containers.

c. Label mercury-containing lamp waste in accordance with 40 CFR 273. Affix labels to all lighting waste containers.

3.4.4 Storage Time Limits

Comply with Federal, State and local storage time limits for universal waste transport.

3.5 DISPOSAL

Dispose of off Government property in accordance with EPA, DOT, state and local regulations at a permitted site. Refer to Section 02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS for Transporter responsibilities of universal waste products for disposal.

3.5.1 Identification Number

Federal regulations 40 CFR 761, and 40 CFR 263 require that generators, transporters, commercial storers, and disposers of PCB waste posses U.S. EPA identification numbers. The contractor shall verify that the activity has a U.S. EPA generator identification number for use on the Uniform Hazardous Waste manifest. If not, the contractor shall advise the activity that it must file and obtain an I.D. number with EPA prior to commencement of removal work. For mercury containing lamp removal, Federal regulations 40 CFR 273 require that large quantity handlers of Universal waste (LQHUW) must provide notification of universal waste management to the appropriate EPA Region (or state director in authorized states), obtain an EPA identification number, and retain for three years records of off-site shipments of universal waste. The contractor shall verify that the activity has a U.S. EPA generator identification number for use on the Universal Waste manifest. If not, the contractor shall advise the activity that it must file and obtain an I.D. number with EPA prior to commencement of removal work.

3.5.2 Transporter Certification

Comply with disposal and transportation requirements outlined in 40 CFR 761 and 40 CFR 263. Before transporting the PCB waste, sign and date the manifest acknowledging acceptance of the PCB waste from the Government. Return a signed copy to the Government before leaving the job site. Ensure that the manifest accompanies the PCB waste at all times. Submit transporter certification of notification to EPA of their PCB waste activities (EPA Form 7710-53).

3.5.2.1 Certificate of Disposal and/or Recycling

40 CFR 761. Certificate for the PCBs and PCB items disposed shall include:

a. The identity of the disposal and or recycling facility, by name, address, and EPA identification number.

b. The identity of the PCB waste affected by the Certificate of Disposal including reference to the manifest number for the shipment.

c. A statement certifying the fact of disposal and or recycling of the identified PCB waste, including the date(s) of disposal, and identifying the disposal process used.

d. A certification as defined in 40 CFR 761.

3.5.3 Disposal by the Government

Comply with disposal and transportation requirements outlined in 40 CFR 761 and 40 CFR 263. Coordinate delivery of PCBs on-site with local Environmental for subsequent disposal on Defense Logistics Agency Disposition Services (DLA DS) contracts. If the primary disposal site is filled to capacity, contact the Contracting Officer. The transport distance to any storage site will not exceed the distance between the project site and the DLA DS storage site.

3.5.3.1 Delivery

Contact DLA DS at least 5 working days in advance to make arrangements for delivery of PCB to the storage site.

3.5.3.2 DD Form 1348-1

Prepare DD Form 1348-1 Turn-in Document (TID), which will accompany the PCB to the storage site. Ensure that a responsible person from the activity that owns the PCB signs the DD Form 1348-1.

-- End of Section --

SECTION 02 84 33

REMOVAL AND DISPOSAL OF POLYCHLORINATED BIPHENYLS (PCBs)
05/20

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

STATE OF WISCONSIN, WISCONSIN ADMINISTRATIVE CODES (WIS. ADMIN. CODE)

WIS. ADMIN. CODE CH NR 157	(February 2021; Department of Natural Resources) Management of PCBs and Products Containing PCBs

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.145	Specifications for Accident Prevention Signs and Tags
29 CFR 1910.1000	Air Contaminants
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 174	Carriage by Rail
49 CFR 175	Carriage by Aircraft
49 CFR 176	Carriage by Vessel
49 CFR 177	Carriage by Public Highway
49 CFR 178	Specifications for Packagings
49 CFR 179	Specifications for Tank Cars

1.2 REQUIREMENTS

The work includes the removal and disposal of incidental PCBs encountered

during demolition and deconstruction operations. Perform work in accordance with 40 CFR 761, and WIS. ADMIN. CODE CH NR 157 and the requirements specified herein. Regulation of PCB waste under WIS. ADMIN. CODE CH NR 157 and other Wisconsin regulations do not supersede 40 CFR 761 rules.

1.3 DEFINITIONS

1.3.1 Leak

Leak or leaking means any instance in which a PCB Article, PCB Container, or PCB Equipment has any PCBs on any portion of its external surface.

1.3.2 PCBs

PCBs as used in this specification shall mean the same as PCBs, PCB Article, PCB Article Container, PCB Container, PCB Equipment, PCB Item, PCB Transformer, PCB-Contaminated Electrical Equipment, as defined in 40 CFR 761, Section 3, Definitions.

1.3.3 Spill

Spill means both intentional and unintentional spills, leaks, and other uncontrolled discharges when the release results in any quantity of PCBs running off or about to run off the external surface of the equipment or other PCB source, as well as the contamination resulting from those releases.

1.4 QUALITY ASSURANCE

1.4.1 Training

Instruct employees on the dangers of PCB exposure, on respirator use, decontamination, and applicable OSHA and EPA regulations.

1.4.2 Certified Industrial Hygienist (CIH)

Obtain the services of an industrial hygienist certified by the American Board of Industrial Hygiene to certify training, review and approve the PCB removal plan, including determination of the need for personnel protective equipment (PPE) in performing PCB removal work.

1.4.3 Regulation Documents

Maintain at all times one copy each at the office and one copy each in view at the job site 29 CFR 1910.1000, 40 CFR 761, and Contractor work practices for removal, storage and disposal of PCBs.

1.4.4 Surveillance Personnel

Surveillance personnel may enter PCB control areas for brief periods of time provided they wear disposable polyethylene gloves and disposal polyethylene foot covers, as a minimum. Additional protective equipment may be required if respiratory hazard is involved or if skin contact with PCB is involved.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S"

classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-07 Certificates

Training certification

Qualifications of CIH

PCB Removal Work Plan

PCB Disposal Plan

Notification

Transporter Certification of notification to EPA of their PCB waste activities and EPA ID numbers

Certificate of Disposal

1.6 EQUIPMENT

1.6.1 Special Clothing

Work clothes shall consist of PPE as required by OSHA regulations, including, but not limited to the following:

a. Disposable coveralls

b. Gloves (Disposable rubber gloves may be worn under these)

c. Disposable foot covers (polyethylene)

d. Chemical safety goggles

e. Half mask cartridge respirator.

1.6.2 Special Clothing for Government Personnel

Provide PPE specified in paragraph SPECIAL CLOTHING to the Contracting Officer as required for inspection of the work.

1.6.3 PCB Spill Kit

Assemble a spill kit to include the following items:

ITEM	MINIMUM QUANTITY
1. Disposable gloves (polyethylene)	6 prs
2. Gloves with a high degree of impermeability to PCB	6 prs

3. Disposable coveralls with permeation resistance to PCB	4 ea
4. Chemical safety goggles	2 ea
5. Disposable foot covers (polyethylene)	6 prs
6. PCB Caution Sign: "PCB Spill--Authorized Personnel Only"	2 ea
7. Banner guard or equivalent banner material	100 feet
8. Absorbent material	
9. Blue polyethylene waste bags	5 bags
10. Cloth backed tape	5 ea
11. Area access logs, blank	1 roll
12. Brattice cloth, 6' x 6'	10 ea
13. Rags	1 piece
14. Ball point pens	20 ea
15. Herculite, 4' x 4' and 8' x 8'	2 ea and 1 ea
16. Blank metal signs and grease pencils	
17. Waste containers 55 gallon drum, may be used as container for kit	2 ea ea

1.7 QUALITY ASSURANCE

1.7.1 Training Certification

Submit certificates, prior to the start of work but after the main abatement submittals, signed and dated by the CIH and by each employee stating that the employee has received training. Certificates shall be organized by individual worker, not grouped by type of certificates.

1.7.2 Qualifications of CIH

Submit the name, address, and telephone number of the Industrial Hygienist selected to perform the duties in paragraph CERTIFIED INDUSTRIAL HYGIENIST. Submit proper documentation that the Industrial Hygienist is certified, including certification number and date of certification/recertification.

1.7.3 PCB Removal Work Plan

Submit a detailed job-specific plan of the work procedures to be used in the removal of PCB-containing materials, not to be combined with other

hazardous abatement plans. Provide a Table of Contents for each abatement submittal which shall follow the sequence of requirements in the contract. The plan shall include a sketch showing the location, size, and details of PCB control areas, location and details of decontamination rooms, change rooms, shower facilities, and mechanical ventilation system. Include in the plan, eating, drinking, smoking and restroom procedures, interface of trades, sequencing of PCB related work, PCB disposal plan, respirators, protective equipment, and a detailed description of the method of containment of the operation to ensure that PCB contamination is not spread or carried outside of the control area. Include provisions to ensure that airborne PCB concentrations of 3.10 E-08 pound per cubic feet of air are not exceeded outside of the PCB control area. Include air sampling, training and strategy, sampling methodology, frequency, duration of sampling, and qualifications of air monitoring personnel in the air sampling portion of the plan. Obtain approval of the plan prior to the start of PCB removal work.

1.7.4 PCB Disposal Plan

Submit a PCB Disposal Plan within 45 calendar days after award of contract for Contracting Officer's approval. The PCB Disposal Plan shall comply with applicable requirements of Federal, State, and local PCB waste regulations and address:

a. Identification of PCB wastes associated with the work.

b. Estimated quantities of wastes to be generated and disposed of.

c. Names and qualifications of each contractor that will be transporting, storing, treating, and disposing of the wastes. Include the facility location and a 24-hour point of contact. Furnish two copies of EPA, State, and local PCB waste permit applications, permits, and EPA Identification numbers.

d. Names and qualifications (experience and training) of personnel who will be working on-site with PCB wastes.

e. List of waste handling equipment to be used in performing the work, to include cleaning, volume reduction, and transport equipment.

f. Spill prevention, containment, and cleanup contingency measures to be implemented.

g. Work plan and schedule for PCB waste containment, removal and disposal. Wastes shall be cleaned up and containerized daily.

1.7.5 Notification

Notify the Contracting Officer 20 days prior to the start of PCB removal work.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 PROTECTION

3.1.1 Decontamination Room, Clean Room and Shower Facilities

a. Provide material and labor for construction of a decontamination room, a clean room, and shower facilities. Provide rooms with doors and attach to the exit ways of PCB work areas. Rooms shall be of sufficient size to accommodate the Contractor's operation within. Provide portable toilet and shower facilities. Locate shower facilities between the clean room and decontamination room. Provide separate clothing lockers or containers in each room to prevent contamination of street and work clothes.

b. Remove PCB-contaminated PPE in the decontamination room. Workers shall then proceed to showers. Workers shall shower before lunch and at the end of each day's work. Hot water, towels, soap, and hygienic conditions are the responsibility of the Contractor.

3.1.2 PCB Control Area

Isolate PCB control area by physical boundaries to prevent unauthorized entry of personnel. Food, drink and smoking materials shall not be permitted in areas where PCBs are handled or PCB items are stored.

3.1.3 Personnel Protection

Workers shall wear and use PPE, as recommended by the Industrial Hygienist, upon entering a PCB control area. If PPE is not required per the CIH, specify in the PCB removal work plan.

3.1.4 Footwear

Work footwear shall remain inside work area until completion of the job.

3.1.5 Permissible Exposure Limits (PEL)

PEL for PCBs is 3.1 E-08 lb/cubic foot on an 8-hour time weighted average basis.

3.1.6 Special Hazards

a. PCBs shall not be exposed to open flames or other high temperature sources since toxic decomposition by-products may be produced.

b. PCBs shall not be heated to temperatures of 135 degrees F or higher without Contracting Officer's concurrence.

3.1.7 PCB Caution Label

40 CFR 761, Subpart C. Affix labels to PCB waste containers and other PCB-contaminated items. Provide label with sufficient print size to be clearly legible, with bold print on a contrasting background, displaying the following: CAUTION: Contains PCBs (Polychlorinated Biphenyls).

3.1.8 PCB Caution Sign

29 CFR 1910.145. Provide signs at approaches to PCB control areas.

Locate signs at such a distance that personnel may read the sign and take the necessary precautions before entering the area.

3.2 WORK PROCEDURE

Furnish labor, materials, services, and equipment necessary for the complete removal of PCBs located at the site as indicated or specified in accordance with local, State, or Federal regulations. Package and mark PCB as required by EPA and DOT regulations and dispose of off Government property in accordance with EPA, DOT, and local regulations at a permitted site.

3.2.1 No Smoking

Smoking is not permitted within 50 feet of the PCB control area. Provide "No Smoking" signs as directed by the Contracting Officer.

3.2.2 Work Operations

Ensure that work operations or processes involving PCB or PCB-contaminated materials are conducted in accordance with 40 CFR 761 and the applicable requirements of this section, including but not limited to:

a. Obtaining advance approval of PCB storage sites.

b. Notifying Contracting Officer prior to commencing the operation.

c. Reporting leaks and spills to the Contracting Officer.

d. Cleaning up spills.

e. Maintaining an access log of employees working in a PCB control area and providing a copy to the Contracting Officer upon completion of the operation.

f. Inspecting PCB and PCB-contaminated items and waste containers for leaks and forwarding copies of inspection reports to the Contracting Officer.

g. Maintaining a spill kit as specified in paragraph PCB SPILL KIT.

h. Maintaining inspection, inventory and spill records.

3.3 PCB TRANSFORMERS

3.3.1 Draining of Transformer Liquid

Perform work in accordance with 49 CFR 171, 49 CFR 172, 49 CFR 173, 49 CFR 174, 49 CFR 175, 49 CFR 176, 49 CFR 177, 49 CFR 178, and 49 CFR 179, Subchapter C and as specified herein. Drain the transformer, switches, and regulators of free flowing liquid prior to transportation. Place the drained liquids in DOT Spec 17E drums. The drums shall not contain more than 50 gallons of oil. If the equipment cannot be drained, then place it in DOT Spec 17C drums.

3.3.2 Markings

Provide drums and drained PCB-contaminated electrical equipment with caution label markings as specified in paragraph PCB CAUTION LABEL.

3.3.3 Laboratory Analysis

All transformers shall have a laboratory analysis for turn-in. DLA DS prefers a gas chromatograph test. The only two exceptions to this rule are:

a. The transformer is hermetically sealed (solder sealed or fusion sealed. No access ports or openings).

b. The name plate states that the transformer contains Pyranol, Interteen, etc.

Attach a copy of the lab analysis to both the DD 1348-1 and the transformer itself.

3.3.4 Markings

3.3.4.1 Transformers, Less Than 50 ppm

Add absorbent material to absorb residue oil remaining after draining. Write the date drained on the transformer. Turn in transformers to DLA DS.

3.3.4.2 Transformers, 50-499 ppm

Same procedure as transformers in the less than 50 ppm range.

3.3.4.3 Transformers, Greater Than 500 ppm

Stencil date drained on the transformer. Turn in transformer to DLA DS.

3.3.4.4 Drums

Stencil on DOT-approved 55 gallon drums containing PCB liquid the following:

a. ppm

b. Date drum filled

c. Serial number of transformer liquid came from

d. National Stock Number

(1) "9999-00-OIL" for <50 ppm

(2) "9999-00-CONPCB" for 50-499 ppm

(3) "9999-00-PCBOIL" for >500 ppm

Do not mix different ppms in the same drum. Drums must have a 2 inch ullage space from the top of the drum.

3.4 PCB REMOVAL

Select PCB removal procedure to minimize contamination of work areas with PCB or other PCB-contaminated debris/waste. Handle PCBs such that no skin contact occurs. PCB removal process should be described in the work plan. Refer to Section 02 81 00 TRANSPORTATION AND DISPOSAL OF HAZARDOUS

MATERIALS for Transporter responsibilities of PCBs or products containing PCBs for disposal.

3.4.1 Confined Spaces

As feasible, do not carry out PCB handling operations in confined spaces. A confined space shall mean a space having limited means of egress and inadequate cross ventilation.

3.4.2 Control Area

Establish a PCB control area around the PCB item as specified in paragraph PCB CONTROL AREA. Only personnel briefed on the elements in the paragraph TRAINING and on the handling precautions shall be allowed into the area.

3.4.3 Exhaust Ventilation

If used, exhaust ventilation for PCB operations shall discharge to the outside and away from personnel.

3.4.4 Temperatures

As feasible, handle PCBs at ambient temperatures and not at elevated temperatures.

3.4.5 Solvent Cleaning

Clean contaminated tools, containers, etc., after use by rinsing three times with an appropriate solvent or by wiping down three times with a solvent wetted rag. Suggested solvents are Stoddard solvent or hexane.

3.4.6 Drip Pans

Drip pans are required under portable PCB transformers and rectifiers in use or stored for use. The pans shall have a containment volume of at least one and one-half times the internal volume of PCBs in the item.

3.4.7 Evacuation Procedures

Procedures shall be written for evacuation of injured workers. Aid for a seriously injured worker shall not be delayed for reasons of decontamination.

3.5 PCB SPILL CLEANUP REQUIREMENTS

3.5.1 PCB Spills

Immediately report to the Contracting Officer any PCB spills on the ground or in the water, PCB spills in drip pans, or PCB leaks.

3.5.2 PCB Spill Control Area

Rope off an area around the edges of a PCB leak or spill and post a "PCB Spill Authorized Personnel Only" caution sign. Immediately transfer leaking items to a drip pan or other container.

3.5.3 PCB Spill Cleanup

40 CFR 761, Subpart G. Initiate cleanup of spills as soon as possible,

but no later than 48 hours of its discovery. To clean up spills, personnel shall wear the PPE prescribed in paragraph SPECIAL CLOTHING of this section. If misting, elevated temperatures or open flames are present, or if the spill is situated in a confined space, notify the Contracting Officer. Mop up the liquid with rags or other conventional absorbent. The spent absorbent shall be properly contained and disposed of as solid PCB waste.

3.5.4 Records and Certification

Document the cleanup with records of decontamination in accordance with 40 CFR 761, Section 125, Requirements for PCB Spill Cleanup. Provide certification of decontamination.

3.5.5 Sampling Requirements

Perform post cleanup sampling as required by WIS. ADM. CODE CH NR 157 and 40 CFR 761, Section 130, Sampling Requirements. Do not remove boundaries of the PCB control area until site is determined satisfactorily clean by the Contracting Officer.

3.6 STORAGE FOR DISPOSAL

3.6.1 Storage Containers for PCBs

49 CFR 178. Store liquid PCBs in Department of Transportation (DOT) Specification 17E containers. Store nonliquid PCB mixtures, articles, or equipment in DOT Specification 5, 5B, or 17C containers with removable heads.

3.6.2 Waste Containers

Label with the following:

a. "Solid (or Liquid) Waste Polychlorinated Biphenyls"

b. The PCB Caution Label, paragraph PCB CAUTION LABEL

c. The date the item was placed in storage and the name of the cognizant activity/building.

3.6.3 PCB Articles and PCB-Contaminated Items

Label with items b. through c. above.

3.6.4 Approval of Storage Site

Obtain in advance Contracting Officer approval using the following criteria without exception. Comply with Federal, State and local storage time limits for hazardous waste transport.

a. Adequate roof and walls to prevent rainwater from reaching the stored PCBs.

b. An adequate floor which has continuous curbing with a minimum 6 inch high curb. Such floor and curbing shall provide a containment volume equal to at least two times the internal volume of the largest PCB article or PCB container stored therein or 25 percent of the total internal volume of all PCB equipment or containers stored therein,

whichever is greater.

c. No drain valves, floor drains, expansion joints, sewer lines, or other openings that would permit liquids to flow from the curbed area.

d. Floors and curbing constructed of continuous smooth and impervious materials such as portland cement, concrete or steel to prevent or minimize penetrations of PCBs.

e. Not located at a site which is below the 100-year flood water elevation.

f. Each storage site shall be posted with the PCB Caution Sign, paragraph PCB CAUTION SIGN.

3.7 CLEANUP

Maintain surfaces of the PCB control area free of accumulations of PCBs. Restrict the spread of dust and debris; keep waste from being distributed over work area.

Do not remove the PCB control area and warning signs prior to the Contracting Officer's approval. Reclean areas showing residual PCBs.

3.8 DISPOSAL

Comply with disposal requirements and procedures outlined in 40 CFR 761. Do not accept PCB waste unless it is accompanied by a manifest signed by the Government. Before transporting the PCB waste, sign and date the manifest acknowledging acceptance of the PCB waste from the Government. Return a signed copy to the Government before leaving the job site. Ensure that the manifest accompanies the PCB waste at all times. Submit transporter certification of notification to EPA of their PCB waste activities.

3.8.1 Certificate of Disposal

40 CFR 761. Submit to the Government within 30 days of the date that the disposal of the PCB waste identified on the manifest was completed. Certificate for the PCBs and PCB items disposed shall include:

a. The identity of the disposal facility, by name, address, and EPA identification number.

b. The identity of the PCB waste affected by the Certificate of Disposal including reference to the manifest number for the shipment.

c. A statement certifying the fact of disposal of the identified PCB waste, including the date(s) of disposal, and identifying the disposal process used.

d. A certification as defined in 40 CFR 761, Section 3.

3.8.1.1 Payment Upon Furnishing Certificate of Disposal of PCBs

Payment will not be made until the certificate of disposal has been furnished to the Contracting Officer.

3.8.2 Disposal by the Government

Coordinate delivery of PCBs on-site with local Environmental for subsequent disposal on DLA DS contracts. If the primary disposal site is filled to capacity, contact the Public Works Center Hazardous Waste Branch Environmental Engineer to determine an alternate storage site. The transport distance to any storage site shall not exceed the distance between the project site and the DLA DS storage site.

3.8.2.1 Delivery

Contact DRMO at least 5 working days in advance to make arrangements for delivery of the PCBs to the storage site.

3.8.2.2 DD Form 1348-1

Prepare DD Form 1348-1 Turn-in Document (TID), which will accompany the PCBs to the storage site. Ensure that a responsible person from the activity that owns the PCBs signs the DD Form 1348-1.

3.8.2.3 Payment Upon Furnishing DD Form 1348-1

Payment will not be made until a completed DD Form 1348-1 has been furnished to the Contracting Officer.

-- End of Section --